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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

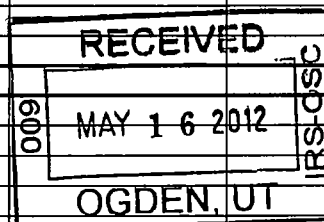
For calendar year 2011 or tax year beginning

, 2011, and ending

, 20

Name of foundation THE IRVING SIEGEL CHARITABLE FOUNDATION		A Employer identification number 11-6465350
Number and street (or P O box number if mail is not delivered to street address) C/O STAKS ENTERPRISE INC. 326 BROADWAY	Room/suite	B Telephone number (see instructions) (516) 433-6450
City or town, state, and ZIP code BETHPAGE, NY 11714-3021		C If exemption application is pending, check here ☐
G Check all that apply		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
☐ Initial return	☐ Initial return of a former public charity	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
☐ Final return	☐ Amended return	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
☐ Address change	☐ Name change	
H Check type of organization. ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 447,050.		J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check ☐ if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	15,088.	15,088.		ATCH 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	1,098.			
b	Gross sales price for all assets on line 6a	333,761.			
7	Capital gain net income (from Part IV, line 2)		1,098.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	Total. Add lines 1 through 11	16,186.	16,186.		
13	Compensation of officers, directors, trustees, etc.	0			
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule) ATCH 2	3,500.	1,750.		1,750.
c	Other professional fees (attach schedule) *	2,856.	2,856.		
17	Interest				
18	Taxes (attach schedule) (see instructions) **	818.	818.		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule) ATCH 5	345.	120.		225.
24	Total operating and administrative expenses. Add lines 13 through 23	7,519.	5,544.		1,975.
25	Contributions, gifts, grants paid	42,943.			42,943.
26	Total expenses and disbursements. Add lines 24 and 25	50,462.	5,544.	0	44,918.
27	Subtract line 26 from line 12	-34,276.			
a	Excess of revenue over expenses and disbursements				
b	Net investment income (if negative, enter -0-)		10,642.		
c	Adjusted net income (if negative, enter -0-)				



For Paperwork Reduction Act Notice, see instructions.

* ATCH 3 JSA ** ATCH 4

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Operating and Administrative Expenses

P 20

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash - non-interest-bearing	5,759.	13,972.	13,973.
	2	Savings and temporary cash investments	13,148.	100,560.	100,560.
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule)			
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)	478,315.	348,414.	332,517.
	14	Land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)				
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	497,222.	462,946.	447,050.	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
23	Total liabilities (add lines 17 through 22)	0	0		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐				
	and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒				
	27	Capital stock, trust principal, or current funds	497,222.	462,946.	
	28	Paid-in or capital surplus, or land, bldg. and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances (see instructions)	497,222.	462,946.		
31	Total liabilities and net assets/fund balances (see instructions)	497,222.	462,946.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	497,222.
2	Enter amount from Part I, line 27a	2	-34,276.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	462,946.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	462,946.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0- or Losses (from col (h)))		
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	1,098.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3	0	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	28,785.	515,075.	0.055885
2009	22,818.	410,422.	0.055596
2008	38,987.	524,543.	0.074326
2007	31,201.	655,800.	0.047577
2006	53,935.	646,256.	0.083458
2 Total of line 1, column (d)			2 0.316842
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.063368
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5			4 498,762.
5 Multiply line 4 by line 3			5 31,606.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 106.
7 Add lines 5 and 6			7 31,712.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 44,918.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)	1	106.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3 Add lines 1 and 2	3	106.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	106.
6 Credits/Payments		
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	257.
b Exempt foreign organizations - tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d	7	257.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	151.
11 Enter the amount of line 10 to be Credited to 2012 estimated tax 151. Refunded	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?	N/A	
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ (2) On foundation managers \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) NY,		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address **▶** N/A

14 The books are in care of **▶** SHELDON DANUFF Telephone no **▶** (516) 433-6450
 Located at **▶** C/O STAKS ENTERPRISE, INC. BETHPAGE, NY ZIP + 4 **▶** 11714-3021

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year **▶** 15

16 At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? ☐ Yes ☒ No
 See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country **▶**

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ 1b N/A		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011? ☐ 1c X		
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) ☐ 2b N/A		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011) ☐ 3b N/A		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? ☐ 4a X		
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011? ☐ 4b X		

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No
Organizations relying on a current notice regarding disaster assistance check here ☐**5b** N/A**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No
If "Yes," attach the statement required by Regulations section 53.4945-5(d)

N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
If "Yes" to 6b, file Form 8870**6b** X**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**7b** X**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 7		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	412,268.
b	Average of monthly cash balances	1b	94,089.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	506,357.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	506,357.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	7,595.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	498,762.
6	Minimum investment return. Enter 5% of line 5	6	24,938.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	24,938.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	106.
b	Income tax for 2011 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	106.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	24,832.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	24,832.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	24,832.

Part XII Qualifying Distributions(see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	44,918.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	44,918.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	106.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	44,812.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Page **9****Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				24,832.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only				
b Total for prior years 20 09, 20 08, 20 07				
3 Excess distributions carryover, if any, to 2011				
a From 2006 25,522.				
b From 2007				
c From 2008 13,254.				
d From 2009 2,570.				
e From 2010 3,254.				
f Total of lines 3a through e	44,600.			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 44,918.				
a Applied to 2010, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2011 distributable amount				24,832.
e Remaining amount distributed out of corpus	20,086.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	64,686.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	25,522.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	39,164.			
10 Analysis of line 9				
a Excess from 2007				
b Excess from 2008 13,254.				
c Excess from 2009 2,570.				
d Excess from 2010 3,254.				
e Excess from 2011 20,086.				

Form **990-PF** (2011)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

IRVING SIEGEL

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed:

ATTACHMENT 8

b The form in which applications should be submitted and information and materials they should include

RESUME, BUDGET AND DESCRIPTION OF PROGRAM INCLUDING MISSION STATEMENT

c Any submission deadlines.

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

NONE

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Form **990-PF** (2011)

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities				14	15,088.	
5 Net rental income or (loss) from real estate						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property .						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory				18	1,098.	
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory . . .						
11 Other revenue a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal Add columns (b), (d), and (e)					16,186.	
13 Total. Add line 12, columns (b), (d), and (e)					13	16,186.

(See worksheet in line 13 instructions to verify calculations)

[illegible]

Form 990-PF (2011)

FORM 990-PF - PART IV**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					845.	
101,542.		GS AC# 60995 ST GAIN/LOSS - SEE ATTACHED PROPERTY TYPE: SECURITIES 100,819.				P	VARIOUS	VARIOUS
							723.	
37,000.		GS AC# 60995 LT GAIN/LOSS - SEE ATTACHED PROPERTY TYPE: SECURITIES 43,503.				P	VARIOUS	VARIOUS
							-6,503.	
100,183.		GS AC# 25950 ST GAIN/LOSS - SEE ATTACHED PROPERTY TYPE: SECURITIES 98,279.				P	VARIOUS	VARIOUS
							1,904.	
45,690.		GS AC# 25950 LT GAIN/LOSS - SEE ATTACHED PROPERTY TYPE: SECURITIES 42,185.				P	VARIOUS	VARIOUS
							3,505.	
25,243.		GS AC# 25949 ST GAIN/LOSS - SEE ATTACHED PROPERTY TYPE: SECURITIES 25,193.				P	VARIOUS	VARIOUS
							50.	
23,258.		GS AC# 25949 LT GAIN/LOSS - SEE ATTACHED PROPERTY TYPE: SECURITIES 22,684.				P	VARIOUS	VARIOUS
							574.	
TOTAL GAIN (LOSS)							<u>1,098.</u>	

ATTACHMENT 1FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
DIVIDEND INCOME: GS	15,015.	15,015.
INTEREST INCOME: GS	73.	73.
TOTAL	<u>15,088.</u>	<u>15,088.</u>

ATTACHMENT 2FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ACCOUNTING FEES	3,500.	1,750.		1,750.
TOTALS	<u>3,500.</u>	<u>1,750.</u>		<u>1,750.</u>

ATTACHMENT 3

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
ADVISORY FEES	2,856.	2,856.
TOTALS	<u>2,856.</u>	<u>2,856.</u>

ATTACHMENT 4

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FOREIGN WITHHOLDING TAXES	818.	818.
TOTALS	<u>818.</u>	<u>818.</u>

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
BANK CHARGES	120.	120.	
NY ANNUAL FILING FEES	225.		225.
TOTALS	<u>345.</u>	<u>120.</u>	<u>225.</u>

ATTACHMENT 6FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
GS HIGH YIELD BOND FUND- CL A	120,020.	120,020.	83,421.
GS INTL SMALL CAP FUND	119,119.	121,565.	131,917.
GS REAL ESTATE CL A	51,010.	7,856.	13,805.
GS LARGE CAP CORE	96,947.	98,973.	103,374.
GS NON-US EQUITY	91,219.		
TOTALS	<u>478,315.</u>	<u>348,414.</u>	<u>332,517.</u>

THE IRVING SIEGEL CHARITABLE FOUNDATION

11-6465350

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 7

TITLE AND AVERAGE HOURS PER
WEEK DEVOTED TO POSITION

NAME AND ADDRESS

IRVING SIEGEL C/O STAKS ENTERPRISE INC. 326 BROADWAY BETHPAGE, NY 11714-3021	TRUSTEE 2.00	0	0	0
DIANE SIEGEL C/O STAKS ENTERPRISE INC. 326 BROADWAY BETHPAGE, NY 11714-3021	TRUSTEE 2.00	0	0	0
JOEL ZYCHICK C/O STAKS ENTERPRISE INC. 326 BROADWAY BETHPAGE, NY 11714-3021	TRUSTEE 2.00	0	0	0

GRAND TOTALS

ATTACHMENT 8

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

IRVING SIEGEL, C/O STAKS ENT. INC
326 BROADWAY, BETHPAGE NY 11714

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 9

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

RECIPIENT NAME AND ADDRESS

SEE ATTACHED SCHEDULE

NONE

501 (C) (3)

SEE SCHEDULE ATTACHED

42,943.

TOTAL CONTRIBUTIONS PAID

42,943

**SCHEDULE D
(Form 1041)**

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

► Attach to Form 1041, Form 5227, or Form 990-T. See the Instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0082

2011

Name of estate or trust

THE IRVING SIEGEL CHARITABLE FOUNDATION

Employer identification number

11-6465350

Note: Form 5227 filers need to complete *only* Parts I and II.

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day , yr)	(c) Date sold (mo , day , yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	2,677.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2010 Capital Loss Carryover Worksheet	4	()
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back	5	2,677.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day , yr)	(c) Date sold (mo , day , yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	-2,424.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	845.
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2010 Capital Loss Carryover Worksheet	11	()
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back	12	-1,579.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2011

Part III Summary of Parts I and II Caution: Read the instructions before completing this part.		(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
13	Net short-term gain or (loss)	13		2,677.
14	Net long-term gain or (loss):			
a	Total for year	14a		-1,579.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht)	14b		
c	28% rate gain	14c		
15	Total net gain or (loss). Combine lines 13 and 14 ▶	15		1,098.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

16	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of a The loss on line 15, column (3) or b \$3,000	16	()
-----------	--	-----------	-----

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part only if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** the instructions if.

- Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

Form 990-T trusts. Complete this part only if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17	
18	Enter the smaller of line 14a or 15 in column (2) but not less than zero	18	
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19	
20	Add lines 18 and 19	20	
21	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- . . . ▶	21	
22	Subtract line 21 from line 20. If zero or less, enter -0-	22	
23	Subtract line 22 from line 17. If zero or less, enter -0-	23	
24	Enter the smaller of the amount on line 17 or \$2,300	24	
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ Yes. Skip lines 25 and 26; go to line 27 and check the "No" box ☐ No. Enter the amount from line 23	25	
26	Subtract line 25 from line 24	26	
27	Are the amounts on lines 22 and 26 the same? ☐ Yes. Skip lines 27 thru 30, go to line 31 ☐ No. Enter the smaller of line 17 or line 22	27	
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)	28	
29	Subtract line 28 from line 27	29	
30	Multiply line 29 by 15% (15)	30	
31	Figure the tax on the amount on line 23. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31	
32	Add lines 30 and 31	32	
33	Figure the tax on the amount on line 17. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33	
34	Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34	

Schedule D (Form 1041) 2011

Department of the Treasury
Internal Revenue Service

**Continuation Sheet for Schedule D
(Form 1041)**

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2011

Name of estate or trust

THE IRVING SIEGEL CHARITABLE FOUNDATION

Employer identification number

11-6465350

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

[illegible]

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b 2,677.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

Employer identification number

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

[illegible]

REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)		
Net Covered Short-Term Gains (Losses)	0.00	Net Covered Long-Term Gains (Losses)		0.00	Net Ordinary Gains (Losses)	0.00
Net NonCovered Short-Term Gains (Losses)	722.73	Net NonCovered Long-Term Gains (Losses)		(6,503.47)		
Net 1099B Non Reportable Short-Term Gains (Losses)	0.00	Net 1099B Non Reportable Long-Term Gains (Losses)		0.00		
Net Miscellaneous Short-Term Gains (Losses)	0.00	Net Miscellaneous Long-Term Gains (Losses)		0.00	Net Miscellaneous Ordinary Gains (Losses)	0.00
Net Regulated Futures Contract Short-Term Gains (Losses)	0.00	Net Regulated Futures Contract Long-Term Gains (Losses)		0.00		
Total Short-Term Gains (Losses)	722.73	Total Long-Term Gains (Losses)		(6,503.47)	Total Ordinary Gains (Losses)	0.00

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ^a	Total Accretion (Amortization)	Cost Basis ^a	Total Gain (Loss)
NonCovered Short-Term Gains (Losses)							
GS LOCAL EMERGING MARKETS DEBT FUND CLASS A SHARES (38145N105)	07/29/2011	08/19/2011	13.53	132.57	0.00	134.19	(1.63)
GS LOCAL EMERGING MARKETS DEBT FUND CLASS A SHARES (38145N105)	07/18/2011	08/19/2011	10,347.89	101,409.36	0.00	100,685.00	724.36
Net NonCovered Short-Term Gains (Losses)				101,541.93	0.00	100,819.19	722.73

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ^s	Total Accretion (Amortization)	Cost Basis ^a	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
GS REAL ESTATE SECURITIES FUND CLASS A SHARES (38142V829)	12/13/2007	03/03/2011	3.73	48.92	0.00	59.37	(10.45)
GS REAL ESTATE SECURITIES FUND CLASS A SHARES (38142V829)	03/29/2007	03/03/2011	32.28	423.54	0.00	734.10	(310.56)
GS REAL ESTATE SECURITIES FUND CLASS A SHARES (38142V829)	09/27/2007	03/03/2011	38.59	506.29	0.00	813.85	(307.56)
GS REAL ESTATE SECURITIES FUND CLASS A SHARES (38142V829)	06/28/2007	03/03/2011	49.11	644.32	0.00	1,015.60	(371.28)
GS REAL ESTATE SECURITIES FUND CLASS A SHARES (38142V829)	12/13/2007	03/03/2011	139.56	1,831.02	0.00	2,221.78	(390.76)
GS REAL ESTATE SECURITIES FUND CLASS A SHARES (38142V829)	12/12/2006	03/03/2011	346.49	4,545.91	0.00	7,854.86	(3,308.95)
GS REAL ESTATE SECURITIES FUND CLASS A SHARES (38142V829)	12/13/2007	04/07/2011	691.24	9,000.00	0.00	11,004.60	(2,004.60)

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
GS REAL ESTATE SECURITIES FUND CLASS A SHARES (38142VB29)	12/13/2007	05/04/2011	725 69	10,000 00	0 00	11,552 97	(1,552 97)
GS REAL ESTATE SECURITIES FUND CLASS A SHARES (38142VB29)	06/27/2008	06/21/2011	70 79	964 81	0 00	1,024 28	(59 47)
GS REAL ESTATE SECURITIES FUND CLASS A SHARES (38142VB29)	09/29/2008	06/21/2011	95 26	1,298 35	0 00	1,318 36	(20 01)
GS REAL ESTATE SECURITIES FUND CLASS A SHARES (38142VB29)	03/28/2008	06/21/2011	99 74	1,359 42	0 00	1,521 99	(162 57)
GS REAL ESTATE SECURITIES FUND CLASS A SHARES (38142VB29)	12/13/2007	06/21/2011	112 07	1,527 55	0 00	1,784 20	(256 65)
GS REAL ESTATE SECURITIES FUND CLASS A SHARES (38142VB29)	12/09/2008	06/21/2011	355 82	4,849 87	0 00	2,597 51	2,252 36
Net NonCovered Long-Term Gains (Losses)				37,000.00	0.00	43,503.47	(6,503.47)

REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	(1,073.13)	Net Covered Long-Term Gains (Losses)		Net Ordinary Gains (Losses)	0.00
Net NonCovered Short-Term Gains (Losses)	1,136.53	Net NonCovered Long-Term Gains (Losses)			573.27
Net 1099B Non Reportable Short-Term Gains (Losses)	0.00	Net 1099B Non Reportable Long-Term Gains (Losses)			0.00
Net Miscellaneous Short-Term Gains (Losses)	0.00	Net Miscellaneous Long-Term Gains (Losses)		Net Miscellaneous Ordinary Gains (Losses)	0.00
Net Regulated Futures Contract Short-Term Gains (Losses)	0.00	Net Regulated Futures Contract Long-Term Gains (Losses)			0.00
Total Short-Term Gains (Losses)	63.40	Total Long-Term Gains (Losses)		Total Ordinary Gains (Losses)	0.00

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
SIRIUS XM RADIO INC. CMN (82967N108)	01/28/2011	05/11/2011	96.00	228.47	0.00	157.77	70.70
SIRIUS XM RADIO INC. CMN (82967N108)	01/28/2011	06/02/2011	241.00	539.94	0.00	396.06	143.88
LENDER PROCESSING SERVICES, IN CMN (52602E102)	02/04/2011	07/29/2011	8.00	151.20	0.00	244.74	(93.54)
NOBLE CORPORATION (CH) CMN (H5833N103)	03/22/2011	08/03/2011	5.00	174.64	0.00	230.64	(56.00)
NOBLE CORPORATION (CH) CMN (H5833N103)	04/08/2011	08/03/2011	6.00	209.57	0.00	276.89	(67.32)
AMERICAN INTL GROUP, INC. CMN (026874784)	05/25/2011	08/04/2011	9.00	248.62	0.00	256.20	(7.58)
BANK OF AMERICA CORP CMN (060505104)	05/18/2011	08/05/2011	8.00	68.95	0.00	94.58	(25.63)
BANK OF AMERICA CORP CMN (060505104)	02/28/2011	08/05/2011	18.00	155.13	0.00	255.42	(100.29)
LEAR CORPORATION CMN (521865204)	04/08/2011	08/22/2011	2.00	82.17	0.00	96.11	(13.94)
LEAR CORPORATION CMN (521865204) Disallowed Loss							(13.94)
DARDEN RESTAURANTS INC. CMN (237194105)	01/13/2011	09/09/2011	6.00	269.21	0.00	275.95	(6.74)
LENDER PROCESSING SERVICES, IN CMN (52602E102)	05/12/2011	09/15/2011	3.00	50.44	0.00	86.27	(35.83)
LENDER PROCESSING SERVICES, IN CMN (52602E102)	03/21/2011	09/15/2011	3.00	50.45	0.00	98.33	(47.89)
LENDER PROCESSING SERVICES, IN CMN (52602E102)	03/18/2011	09/15/2011	6.00	100.89	0.00	190.71	(89.82)
LENDER PROCESSING SERVICES, IN CMN (52602E102)	02/04/2011	09/15/2011	17.00	285.85	0.00	520.08	(234.23)
AOL INC. CMN (00184X105)	01/03/2011	09/22/2011	3.00	34.39	0.00	71.83	(37.44)

STATEMENT OF CHARITABLE CONTRIBUTIONS

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
AOL INC CMN (00184X105)	05/18/2011	09/22/2011	3 00	34 39	0 00	57 88	(23 29)
AOL INC CMN (00184X105)	02/16/2011	09/22/2011	5 00	57 32	0 00	109 76	(52 44)
DOW CHEMICAL CO CMN (280543103)	01/03/2011	09/22/2011	3 00	69 21	0 00	105 49	(36 28)
HEWLETT-PACKARD CO CMN (428236103)	07/25/2011	09/22/2011	20 00	466 75	0 00	732 63	(265 88)
BEMIS COMPANY CMN (081437105)	08/04/2011	11/01/2011	16 00	444 10	0 00	491 51	(47 41)
ILLINOIS TOOL WORKS CMN (452308109)	02/08/2011	11/30/2011	6 00	271 74	0 00	331 84	(60 10)
Net Covered Short-Term Disallowed Losses							
Net Covered Short-Term Gains (Losses)							
				3,993.43	0.00	5,080.49	(1,073.13)
NonCovered Short-Term Gains (Losses)							
MC DONALDS CORP CMN (580135101)	04/15/2010	01/06/2011	2 00	149 26	0 00	138 54	10 72
AMERIPRISE FINANCIAL, INC CMN (03076C106)	04/15/2010	01/10/2011	5 00	300 53	0 00	240 20	60 33
JPMORGAN CHASE & CO CMN (46525H100)	04/15/2010	01/10/2011	2 00	86 23	0 00	95 46	(9 23)
WELLS FARGO & CO (NEW) CMN (949746101)	04/15/2010	01/10/2011	3 00	93 18	0 00	101 13	(7 95)
MC DONALDS CORP CMN (580135101)	04/15/2010	01/13/2011	7 00	510 70	0 00	484 89	25 81
VALERO ENERGY CORPORATION CMN (91913Y100)	04/15/2010	01/14/2011	5 00	123 17	0 00	100 55	22 62
AVON PRODUCTS INC CMN (054303102)	04/15/2010	01/19/2011	14 00	401 28	0 00	471 52	(70 24)
EBAY INC CMN (278642103)	04/15/2010	01/20/2011	10 00	303 67	0 00	269 70	33 97
CONOCOPHILLIPS CMN (20825C104)	04/15/2010	01/21/2011	5 00	336 67	0 00	285 90	50 77
CONOCOPHILLIPS CMN (20825C104)	04/15/2010	01/25/2011	1 00	67 37	0 00	57 18	10 19
PEPSICO INC CMN (713448108)	04/15/2010	01/26/2011	3 00	197 05	0 00	197 79	(0 74)
VALERO ENERGY CORPORATION CMN (91913Y100)	04/15/2010	01/27/2011	14 00	352 74	0 00	281 54	71 20
FORD MOTOR COMPANY CMN (345370860)	04/15/2010	01/28/2011	41 00	696 67	0 00	557 60	139 07
MASSEY ENERGY COMPANY CMN (576206106)	05/25/2010	01/31/2011	2 00	126 17	0 00	59 23	66 94
MASSEY ENERGY COMPANY CMN (576206106)	10/19/2010	01/31/2011	3 00	189 25	0 00	115 54	73 71

STATEMENT OF CHARITABLE CONTRIBUTIONS

STATEMENT OF CHARITABLE CONTRIBUTIONS

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Short-Term Gains (Losses)							
MASSEY ENERGY COMPANY CMN (576206106)	04/15/2010	01/31/2011	5.00	315.42	0.00	226.90	88.52
MICROSOFT CORPORATION CMN (594918104)	04/15/2010	02/03/2011	7.00	193.33	0.00	216.02	(22.69)
COMPUTER SCIENCES CORP CMN (205363104)	12/15/2010	02/09/2011	11.00	529.04	0.00	537.65	(8.61)
CONCOPHILLIPS CMN (20825C104)	04/15/2010	02/09/2011	3.00	212.79	0.00	171.54	41.25
NYSE EURONEXT CMN (629491101)	04/15/2010	02/09/2011	20.00	769.62	0.00	655.20	113.42
STANLEY BLACK & DECKER INC CMN (854502101)	07/15/2010	02/09/2011	1.00	72.92	0.00	52.34	20.58
BIG LOTS INC CMN (089302103)	11/05/2010	02/11/2011	5.00	205.78	0.00	150.60	55.18
EBAY INC CMN (278642103)	04/15/2010	02/11/2011	10.00	344.99	0.00	269.70	75.29
NYSE EURONEXT CMN (629491101)	04/15/2010	02/11/2011	14.00	528.57	0.00	458.64	69.93
VALERO ENERGY CORPORATION CMN (91913Y100)	04/15/2010	02/11/2011	5.00	139.77	0.00	100.55	39.22
BALL CORPORATION CMN (058498106)	04/15/2010	02/14/2011	4.00	295.99	0.00	217.64	78.35
ORACLE CORPORATION CMN (68389X105)	04/15/2010	02/16/2011	21.00	689.32	0.00	549.78	139.54
AVON PRODUCTS INC CMN (054303102)	04/15/2010	02/28/2011	9.00	249.23	0.00	303.12	(53.89)
COMCAST CORPORATION CMN CLASS A NON VOTING (20030N200)	04/15/2010	02/28/2011	3.00	72.27	0.00	53.94	18.33
AMERIPRISE FINANCIAL INC CMN (Q3076C106)	04/15/2010	03/01/2011	4.00	250.56	0.00	192.16	58.40
THE TRAVELERS COMPANIES, INC CMN (89417E109)	04/15/2010	03/01/2011	4.00	238.06	0.00	209.44	28.62
COMCAST CORPORATION CMN CLASS A NON VOTING (20030N200)	04/15/2010	03/03/2011	6.00	145.27	0.00	107.88	37.39
COMCAST CORPORATION CMN CLASS A NON VOTING (20030N200)	04/15/2010	03/03/2011	13.00	316.38	0.00	233.74	82.64
BIG LOTS INC CMN (089302103)	11/05/2010	03/04/2011	6.00	246.73	0.00	180.72	66.01
FOREST LABORATORIES INC CMN (345838106)	09/15/2010	03/15/2011	6.00	185.67	0.00	186.35	(0.69)
FOREST LABORATORIES INC CMN (345838106)	05/18/2010	03/15/2011	18.00	557.00	0.00	495.81	61.19
BIG LOTS INC CMN (089302103)	11/05/2010	03/17/2011	6.00	252.38	0.00	180.72	71.66
BIG LOTS INC CMN (089302103)	12/03/2010	03/17/2011	7.00	294.44	0.00	208.48	85.96
JOHNSON & JOHNSON CMN (478160104)	04/15/2010	03/30/2011	7.00	417.30	0.00	457.24	(39.94)
PFIZER INC CMN (717081103)	04/15/2010	03/30/2011	23.00	467.81	0.00	391.00	76.81

STATEMENT OF CHARITABLE CONTRIBUTIONS

STATEMENT OF CHARITABLE CONTRIBUTIONS

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ^s	Total Accretion (Amortization)	Cost Basis ¹	Total Gain (Loss)
NonCovered Short-Term Gains (Losses)							
MASTERCARD INCORPORATED CMN CLASS A (57636Q104)	09/15/2010	03/31/2011	1 00	253 54	0 00	207 49	46 05
MASTERCARD INCORPORATED CMN CLASS A (57636Q104)	09/13/2010	03/31/2011	1 00	253 54	0 00	192 70	60 84
MICROSOFT CORPORATION CMN (584918104)	04/15/2010	04/05/2011	16 00	413 78	0 00	493 76	(79 98)
EBAY INC CMN (278642103)	04/15/2010	04/08/2011	5 00	156 74	0 00	134 85	21 89
INTL BUSINESS MACHINES CORP CMN (459200101)	04/15/2010	04/08/2011	2 00	328 18	0 00	261 16	67 02
MASTERCARD INCORPORATED CMN CLASS A (57636Q104)	09/15/2010	04/08/2011	2 00	526 96	0 00	414 97	111 99
NORFOLK SOUTHERN CORPORATION CMN (655844108)	04/15/2010	04/08/2011	2 00	135 67	0 00	121 08	14 59
VALERO ENERGY CORPORATION CMN (91913Y100)	04/15/2010	04/08/2011	24 00	674 22	0 00	482 64	191 58
PEPSICO INC CMN (713448108)	07/14/2010	04/28/2011	1 00	68 82	0 00	63 66	5 16
PEPSICO INC CMN (713448108)	05/06/2010	04/28/2011	4 00	275 26	0 00	259 08	16 18
STANLEY BLACK & DECKER INC CMN (854502101)	07/15/2010	04/29/2011	4 00	289 59	0 00	209 35	80 24
AVON PRODUCTS INC CMN (054303102)	05/06/2010	05/04/2011	6 00	186 86	0 00	173 47	13 39
STANLEY BLACK & DECKER INC CMN (854502101)	07/15/2010	05/09/2011	3 00	220 88	0 00	157 01	63 87
GAP INC CMN (364760108)	09/13/2010	05/11/2011	11 00	249 42	0 00	195 87	53 55
NEWELL RUBBERMAID INC CMN (651229106)	08/24/2010	06/21/2011	22 00	334 08	0 00	334 31	(0 23)
STANLEY BLACK & DECKER INC CMN (854502101)	07/15/2010	07/13/2011	3 00	214 56	0 00	157 01	57 55
STANLEY BLACK & DECKER INC CMN (854502101)	07/30/2010	07/13/2011	5 00	357 59	0 00	286 69	70 90
NOBLE CORPORATION (CH) CMN (H5833N103)	12/15/2010	08/03/2011	14 00	489 00	0 00	494 40	(5 40)
BANK OF AMERICA CORP CMN (060505104)	09/13/2010	08/05/2011	6 00	51 71	0 00	84 00	(32 29)
BANK OF AMERICA CORP CMN (060505104)	08/24/2010	08/05/2011	15 00	129 28	0 00	189 97	(60 69)
GAP INC CMN (364760108)	12/01/2010	08/08/2011	7 00	112 49	0 00	150 83	(38 35)
GAP INC CMN (364760108)	10/12/2010	08/08/2011	10 00	160 69	0 00	183 38	(22 69)
GAP INC CMN (364760108)	09/13/2010	08/08/2011	42 00	674 91	0 00	747 87	(72 96)
NUCOR CORPORATION CMN (670346105)	09/15/2010	09/06/2011	2 00	66 61	0 00	78 62	(12 01)
NUCOR CORPORATION CMN (670346105)	12/02/2010	09/06/2011	2 00	66 61	0 00	80 09	(13 48)

STATEMENT OF CHARITABLE CONTRIBUTIONS

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ¹	Total Accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
NonCovered Short-Term Gains (Losses)							
NUCOR CORPORATION CMN (670346105)	12/16/2010	09/08/2011	6.00	199.84	0.00	284.63	(64.79)
MORGAN STANLEY CMN (617446448)	12/17/2010	09/19/2011	20.00	304.64	0.00	524.84	(220.20)
MORGAN STANLEY CMN (617446448)	12/22/2010	09/19/2011	38.00	578.81	0.00	1,037.62	(458.81)
DOW CHEMICAL CO CMN (260543103)	12/02/2010	09/22/2011	5.00	115.35	0.00	164.00	(48.66)
DOW CHEMICAL CO CMN (260543103)	10/08/2010	09/22/2011	23.00	530.59	0.00	691.21	(160.62)
ILLINOIS TOOL WORKS CMN (452308109)	12/07/2010	11/30/2011	20.00	905.80	0.00	1,012.55	(106.75)
Net NonCovered Short-Term Gains (Losses)				21,249.60	0.00	20,113.04	1,136.53

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ¹	Total Accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
DOVER CORPORATION CMN (260003108)	04/15/2010	04/27/2011	1.00	66.99	0.00	48.73	18.26
BALL CORPORATION CMN (058498106)	04/15/2010	04/28/2011	5.00	189.67	0.00	136.03	53.65
PEPSICO INC CMN (713448108)	04/15/2010	04/28/2011	6.00	412.89	0.00	395.58	17.31
JOHNSON & JOHNSON CMN (478160104)	04/15/2010	05/03/2011	2.00	132.41	0.00	130.64	1.77
AVON PRODUCTS INC CMN (054303102)	05/03/2010	05/04/2011	6.00	186.86	0.00	192.76	(5.90)
AVON PRODUCTS INC CMN (054303102)	04/15/2010	05/04/2011	8.00	249.15	0.00	289.44	(20.29)
AUTOZONE, INC CMN (053332102)	04/15/2010	05/10/2011	1.00	285.71	0.00	179.54	106.17
BALL CORPORATION CMN (058498106)	04/15/2010	05/10/2011	7.00	270.27	0.00	190.44	79.83
SYMANTEC CORP CMN (871503108)	04/15/2010	05/13/2011	54.00	1,082.80	0.00	914.22	168.58
AUTOZONE, INC CMN (053332102)	04/15/2010	06/01/2011	1.00	293.73	0.00	179.54	114.19
STATE STREET CORPORATION (NEW) CMN (857477103)	04/15/2010	06/28/2011	4.00	177.09	0.00	192.64	(15.55)
RAYTHEON CO CMN (755111507)	04/15/2010	07/12/2011	5.00	236.82	0.00	291.75	(54.93)
BALL CORPORATION CMN (058498106)	04/15/2010	07/14/2011	10.00	398.15	0.00	272.05	126.10

STATEMENT OF CHARITABLE CONTRIBUTIONS

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
INTL BUSINESS MACHINES CORP CMN (459200101)	04/15/2010	07/18/2011	3.00	525.35	0.00	391.74	133.61
EQT CORPORATION CMN (26884L109)	04/15/2010	07/20/2011	7.00	424.70	0.00	313.95	110.75
WALGREEN CO CMN (931422109)	04/15/2010	07/21/2011	47.00	1,912.24	0.00	1,715.03	197.21
INTL BUSINESS MACHINES CORP CMN (459200101)	04/15/2010	07/25/2011	2.00	369.24	0.00	281.16	108.08
EMERSON ELECTRIC CO CMN (291011104)	04/15/2010	07/27/2011	14.00	706.54	0.00	731.08	(24.54)
AUTOZONE, INC CMN (053332102)	04/15/2010	07/28/2011	1.00	285.86	0.00	179.54	106.32
EQT CORPORATION CMN (26884L109)	04/15/2010	07/28/2011	4.00	257.35	0.00	179.40	77.95
BANK OF AMERICA CORP CMN (060505104)	04/15/2010	08/05/2011	47.00	405.07	0.00	928.25	(523.18)
CHEVRON CORPORATION CMN (166764100)	04/15/2010	08/09/2011	2.00	183.19	0.00	162.14	21.05
INTL BUSINESS MACHINES CORP CMN (459200101)	04/15/2010	08/09/2011	3.00	501.38	0.00	391.74	109.64
MICROSOFT CORPORATION CMN (594918104)	04/15/2010	08/11/2011	14.00	349.00	0.00	432.04	(83.04)
AMGEN INC CMN (031162100)	04/15/2010	08/22/2011	1.00	52.32	0.00	60.59	(8.27)
APACHE CORP CMN (037411105)	04/15/2010	08/22/2011	1.00	96.09	0.00	106.14	(10.05)
COMCAST CORPORATION CMN CLASS A NON VOTING (20030N200)	04/15/2010	08/22/2011	3.00	59.00	0.00	53.94	5.06
EQT CORPORATION CMN (26884L109)	04/15/2010	08/22/2011	1.00	51.57	0.00	44.85	6.72
NORFOLK SOUTHERN CORPORATION CMN (655844108)	04/15/2010	08/22/2011	1.00	62.54	0.00	60.54	2.00
ORACLE CORPORATION CMN (68389X105)	04/15/2010	08/22/2011	2.00	49.75	0.00	52.36	(2.61)
Pfizer Inc CMN (717081103)	04/15/2010	08/22/2011	3.00	53.39	0.00	51.00	2.39
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	04/15/2010	08/22/2011	1.00	61.67	0.00	62.76	(1.09)
WELLS FARGO & CO (NEW) CMN (949746101)	04/15/2010	08/22/2011	2.00	46.25	0.00	67.42	(21.17)
COMCAST CORPORATION CMN CLASS A NON VOTING (20030N200)	04/15/2010	08/24/2011	6.00	120.62	0.00	107.88	12.74
DARDEN RESTAURANTS INC CMN (237194105)	06/29/2010	08/24/2011	4.00	187.79	0.00	155.27	32.52
RAYTHEON CO CMN (755111507)	04/15/2010	08/25/2011	4.00	163.51	0.00	233.40	(69.89)
NUCOR CORPORATION CMN (670346105)	04/15/2010	09/06/2011	21.00	699.45	0.00	989.47	(289.02)
AUTOZONE, INC CMN (053332102)	04/15/2010	09/07/2011	1.00	316.33	0.00	179.54	136.79

STATEMENT OF CHARITABLE CONTRIBUTIONS

STATEMENT OF CHARITABLE CONTRIBUTIONS

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
WALGREEN CO CMN (931422109)	04/15/2010	09/07/2011	26 00	935 44	0 00	948 74	(13 30)
DARDEN RESTAURANTS INC CMN (237194105)	06/29/2010	09/08/2011	2 00	89 74	0 00	77 64	12 10
DARDEN RESTAURANTS INC CMN (237194105)	07/15/2010	09/08/2011	4 00	179 47	0 00	161 91	17 56
APACHE CORP CMN (037411105)	04/30/2010	09/12/2011	2 00	184 02	0 00	200 66	(16 64)
APACHE CORP CMN (037411105)	04/15/2010	09/12/2011	3 00	276 03	0 00	318 42	(42 39)
APACHE CORP CMN (037411105)	05/25/2010	09/12/2011	3 00	276 03	0 00	256 82	19 21
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	04/15/2010	09/19/2011	17 00	1,085 01	0 00	1,066 92	18 09
AOL INC CMN (00184X105)	04/15/2010	09/22/2011	19 00	217 80	0 00	535 61	(317 81)
STATE STREET CORPORATION (NEW) CMN (857477103)	07/21/2010	10/03/2011	2 00	61 27	0 00	75 62	(14 35)
STATE STREET CORPORATION (NEW) CMN (857477103)	06/03/2010	10/03/2011	5 00	153 17	0 00	194 01	(40 84)
STATE STREET CORPORATION (NEW) CMN (857477103)	04/15/2010	10/03/2011	17 00	520 78	0 00	818 72	(297 94)
BALL CORPORATION CMN (058498106)	04/15/2010	10/12/2011	8 00	269 81	0 00	217 64	52 17
GENERAL MILLS INC CMN (370334104)	08/12/2010	10/14/2011	5 00	198 29	0 00	171 12	27 17
WALGREEN CO CMN (931422109)	09/28/2010	10/14/2011	7 00	229 54	0 00	230 93	(1 40)
WALGREEN CO CMN (931422109)	04/15/2010	10/14/2011	20 00	655 82	0 00	729 80	(73 99)
INTL BUSINESS MACHINES CORP CMN (459200101)	04/15/2010	10/18/2011	2 00	354 03	0 00	261 16	92 87
CONOCOPHILLIPS CMN (20825C104)	04/15/2010	10/28/2011	8 00	578 54	0 00	457 44	121 10
HONEYWELL INTL INC CMN (438516106)	04/15/2010	10/28/2011	2 00	108 10	0 00	92 82	15 28
INTEL CORPORATION CMN (458140100)	04/15/2010	10/28/2011	23 00	573 83	0 00	555 22	18 61
PFIZER INC CMN (717081103)	04/15/2010	10/28/2011	21 00	414 70	0 00	357 00	57 70
JPMORGAN CHASE & CO CMN (46625H100)	04/15/2010	11/04/2011	6 00	201 05	0 00	286 38	(85 33)
GILEAD SCIENCES CMN (375556103)	04/15/2010	11/21/2011	8 00	285 65	0 00	365 60	(79 95)
INTL BUSINESS MACHINES CORP CMN (459200101)	04/15/2010	11/22/2011	2 00	363 34	0 00	261 16	102 18
MICROSOFT CORPORATION CMN (594918104)	04/15/2010	11/22/2011	9 00	222 93	0 00	277 74	(54 81)
EQT CORPORATION CMN (26884109)	04/23/2010	12/08/2011	2 00	114 00	0 00	89 57	24 43

STATEMENT OF CHARITABLE CONTRIBUTIONS

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
EQT CORPORATION CMN (26884L109)	09/13/2010	12/08/2011	2.00	114.00	0.00	69.42	44.58
EQT CORPORATION CMN (26884L109)	04/15/2010	12/08/2011	4.00	228.00	0.00	179.40	48.60
EQT CORPORATION CMN (26884L109)	05/10/2010	12/08/2011	7.00	399.01	0.00	290.82	108.19
INTEL CORPORATION CMN (458140100)	04/15/2010	12/13/2011	19.00	454.97	0.00	458.66	(3.69)
ORACLE CORPORATION CMN (68389X105)	04/15/2010	12/21/2011	19.00	482.82	0.00	497.42	(14.60)
CONOCOPHILLIPS CMN (20825C104)	04/15/2010	12/28/2011	7.00	502.55	0.00	400.26	102.29
PFIZER INC CMN (717081103)	04/15/2010	12/28/2011	28.00	605.22	0.00	476.00	129.22
Net NonCovered Long-Term Gains (Losses)				23,257.50	0.00	22,684.22	573.27

REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	(575.97)	Net Covered Long-Term Gains (Losses)		0.00	0.00
Net NonCovered Short-Term Gains (Losses)	2,479.68	Net NonCovered Long-Term Gains (Losses)		3,504.40	
Net 1099B Non Reportable Short-Term Gains (Losses)	0.00	Net 1099B Non Reportable Long-Term Gains (Losses)		0.00	
Net Miscellaneous Short-Term Gains (Losses)	0.00	Net Miscellaneous Long-Term Gains (Losses)		0.00	0.00
Net Regulated Futures Contract Short-Term Gains (Losses)	0.00	Net Regulated Futures Contract Long-Term Gains (Losses)		0.00	
Total Short-Term Gains (Losses)	1,903.71	Total Long-Term Gains (Losses)		3,504.40	0.00

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ^a	Total Accretion (Amortization)	Cost Basis ^a	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN (251561304)	01/24/2011	03/11/2011	1.00	20.60	0.00	21.42	(0.82)
DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN (251561304)	01/21/2011	03/11/2011	4.00	82.41	0.00	86.28	(3.88)
DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN (251561304)	01/24/2011	03/14/2011	2.00	41.38	0.00	42.83	(1.45)
DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN (251561304)	01/25/2011	03/14/2011	6.00	124.15	0.00	128.15	(4.00)
RESMED INC CMN (761152107)	02/01/2011	04/20/2011	2.00	66.16	0.00	60.84	5.32
RESMED INC CMN (761152107)	02/25/2011	04/26/2011	2.00	67.00	0.00	62.73	4.27
RESMED INC CMN (761152107)	02/01/2011	04/26/2011	9.00	301.49	0.00	273.77	27.72
BANCO SANTANDER BRASIL SA ADR CMN (05967A107)	04/01/2011	05/05/2011	25.00	278.05	0.00	311.33	(33.28)
E ON AG SPONSORED ADR CMN (268780103)	03/23/2011	05/05/2011	4.00	125.23	0.00	119.94	5.29
E ON AG SPONSORED ADR CMN (268780103)	03/24/2011	05/05/2011	5.00	156.54	0.00	151.06	5.48
E ON AG SPONSORED ADR CMN (268780103)	03/24/2011	05/13/2011	1.00	29.30	0.00	30.21	(0.91)
E ON AG SPONSORED ADR CMN (268780103)	03/28/2011	05/13/2011	15.00	439.50	0.00	449.63	(10.14)
E ON AG SPONSORED ADR CMN (268780103)	03/25/2011	05/13/2011	17.00	498.10	0.00	513.06	(14.97)
KEPPEL CORP LTD (ADR) SPONSORED ADR CMN (492051305)	05/16/2011	05/16/2011	0.60	10.57	0.00	0.00	10.57
KUBOTA CORP ADR ADR CMN (501173207)	01/18/2011	06/02/2011	2.00	87.45	0.00	99.36	(11.91)
KUBOTA CORP ADR ADR CMN (501173207)	02/01/2011	06/02/2011	3.00	131.18	0.00	157.84	(26.66)

STATEMENT OF CHARITABLE CONTRIBUTIONS

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
KUBOTA CORP ADR ADR CMN (501173207)	03/24/2011	06/02/2011	3.00	131.18	0.00	153.40	(22.22)
KUBOTA CORP ADR ADR CMN (501173207)	03/23/2011	06/02/2011	6.00	262.36	0.00	308.97	(46.61)
KUBOTA CORP ADR ADR CMN (501173207)	03/29/2011	06/03/2011	2.00	89.42	0.00	95.46	(6.05)
KUBOTA CORP ADR ADR CMN (501173207)	03/30/2011	06/03/2011	2.00	89.42	0.00	96.85	(7.44)
COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN (204448104)	02/18/2011	06/06/2011	1.00	37.29	0.00	45.08	(7.79)
MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN (606822104)	04/26/2011	06/20/2011	28.00	125.01	0.00	130.95	(5.94)
LUKOIL OIL CO SPONS ADR SPONSORED ADR CMN CLASS (677862104)	01/06/2011	06/21/2011	1.00	62.17	0.00	59.43	2.74
LUKOIL OIL CO SPONS ADR SPONSORED ADR CMN CLASS (677862104)	01/04/2011	06/21/2011	1.00	62.17	0.00	58.79	3.38
LUKOIL OIL CO SPONS ADR SPONSORED ADR CMN CLASS (677862104)	01/05/2011	06/21/2011	6.00	373.00	0.00	354.13	18.87
LUKOIL OIL CO SPONS ADR SPONSORED ADR CMN CLASS (677862104)	01/06/2011	06/22/2011	5.00	312.44	0.00	297.14	15.30
COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN (204448104)	03/04/2011	06/23/2011	4.00	142.66	0.00	184.03	(41.38)
COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN (204448104)	02/18/2011	06/23/2011	9.00	320.98	0.00	405.74	(84.76)
GAZPROM ADR SPONSORED ADR CMN (368287207)	03/29/2011	06/23/2011	8.00	110.67	0.00	127.53	(16.86)
GAZPROM ADR SPONSORED ADR CMN (368287207)	03/30/2011	06/23/2011	28.00	387.33	0.00	451.03	(63.70)
GAZPROM ADR SPONSORED ADR CMN (368287207)	03/31/2011	06/23/2011	28.00	387.33	0.00	453.17	(65.84)
BAYER AG-SPONSORED ADR SPONSORED ADR CMN (072730302)	02/01/2011	07/01/2011	3.00	242.19	0.00	224.32	17.87
BAYER AG-SPONSORED ADR SPONSORED ADR CMN (072730302)	02/11/2011	07/06/2011	1.00	81.23	0.00	75.49	5.74
BAYER AG-SPONSORED ADR SPONSORED ADR CMN (072730302)	02/01/2011	07/06/2011	2.00	162.46	0.00	149.55	12.91
ABB LTD SPONSORED ADR CMN (000375204)	01/21/2011	07/13/2011	5.00	131.10	0.00	117.06	14.04
ABB LTD SPONSORED ADR CMN (000375204)	02/11/2011	07/13/2011	7.00	183.54	0.00	163.73	19.81
ABB LTD SPONSORED ADR CMN (000375204)	03/04/2011	07/13/2011	8.00	209.76	0.00	194.32	15.44
ABB LTD SPONSORED ADR CMN (000375204)	06/10/2011	07/13/2011	19.00	498.17	0.00	486.95	11.22

STATEMENT OF CHARITABLE CONTRIBUTIONS

STATEMENT OF CHARITABLE CONTRIBUTIONS

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ³	Total Accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
ANHEUSER-BUSCH INBEV S A SPONSORED ADR CMN (03524A108)	03/04/2011	07/13/2011	3 00	164 43	0 00	173 67	(9 24)
ANHEUSER-BUSCH INBEV S A SPONSORED ADR CMN (03524A108)	03/29/2011	07/13/2011	3 00	164 43	0 00	171 36	(6 93)
ANHEUSER-BUSCH INBEV S A SPONSORED ADR CMN (03524A108)	04/12/2011	07/13/2011	5 00	274 04	0 00	296 93	(22 89)
ANHEUSER-BUSCH INBEV S A SPONSORED ADR CMN (03524A108)	06/17/2011	07/13/2011	8 00	438 47	0 00	457 82	(19 35)
ARCELORMITTAL CMN (03938L104)	02/09/2011	07/13/2011	10 00	328 79	0 00	374 97	(46 18)
AXA-UAP AMERICAN DEPOSITARY SHARES (054536107)	03/09/2011	07/13/2011	7 00	137 90	0 00	147 16	(9 26)
AXA-UAP AMERICAN DEPOSITARY SHARES (054536107)	03/04/2011	07/13/2011	12 00	236 39	0 00	249 86	(13 47)
BANK OF YOKOHAMA LTD JAPAN(ADR ADR CMN (066011206)	06/28/2011	07/13/2011	5 00	252 74	0 00	242 56	10 18
BANK OF YOKOHAMA LTD JAPAN(ADR ADR CMN (066011206)	07/05/2011	07/13/2011	8 00	404 39	0 00	407 65	(3 26)
BANK OF YOKOHAMA LTD JAPAN(ADR ADR CMN (066011206)	06/24/2011	07/13/2011	9 00	454 94	0 00	434 39	20 55
BANK OF YOKOHAMA LTD JAPAN(ADR ADR CMN (066011206)	06/21/2011	07/13/2011	11 00	556 04	0 00	519 23	36 81
BAYER AG-SPONSORED ADR SPONSORED ADR CMN (072730302)	02/14/2011	07/13/2011	2 00	156 64	0 00	150 45	6 19
BAYER AG-SPONSORED ADR SPONSORED ADR CMN (072730302)	06/13/2011	07/13/2011	4 00	313 29	0 00	321 69	(8 40)
BNP PARIBAS SPONSORED ADR CMN (05565A202)	02/09/2011	07/13/2011	8 00	261 98	0 00	313 12	(51 14)
BRIDGESTONES CORP ADR ADR CMN (108441205)	07/11/2011	07/13/2011	2 00	97 88	0 00	96 77	1 11
BRIDGESTONES CORP ADR ADR CMN (108441205)	05/13/2011	07/13/2011	6 00	293 63	0 00	271 71	21 92
BRIDGESTONES CORP ADR ADR CMN (108441205)	06/03/2011	07/13/2011	6 00	293 63	0 00	263 88	29 75
BRIDGESTONES CORP ADR ADR CMN (108441205)	05/06/2011	07/13/2011	29 00	1,419 23	0 00	1,312 61	106 62
CANON INC ADR ADR CMN (138006309)	05/03/2011	07/13/2011	6 00	285 77	0 00	285 80	(0 03)
CANON INC ADR ADR CMN (138006309)	05/02/2011	07/13/2011	23 00	1,095 47	0 00	1,098 41	(2 94)
COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADR (20441A102)	03/11/2011	07/13/2011	3 00	178 65	0 00	156 82	21 83
CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN (225401108)	04/26/2011	07/13/2011	2 00	74 94	0 00	89 81	(14 87)
CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN (225401108)	07/01/2011	07/13/2011	10 00	374 69	0 00	396 66	(21 97)

STATEMENT OF CHARITABLE CONTRIBUTIONS

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ^s	Total Accretion (Amortization)	Cost Basis ^a	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
EAST JAPAN RAILWAY COMPANY UNSPONSORED ADR (JAPAN) (273202101)	06/22/2011	07/13/2011	48 00	483 35	0 00	448 45	34 90
EAST JAPAN RAILWAY COMPANY UNSPONSORED ADR (JAPAN) (273202101)	05/06/2011	07/13/2011	166 00	1,671 58	0 00	1,589 25	82 33
ENEL SOCIETA PER AZIONI ADR CMN (29265W207)	05/06/2011	07/13/2011	37 00	216 45	0 00	250 25	(33 81)
ENEL SOCIETA PER AZIONI ADR CMN (29265W207)	06/13/2011	07/13/2011	43 00	251 54	0 00	280 60	(29 06)
ENEL SOCIETA PER AZIONI ADR CMN (29265W207)	05/13/2011	07/13/2011	85 00	497 24	0 00	574 03	(76 79)
ENEL SOCIETA PER AZIONI ADR CMN (29265W207)	04/27/2011	07/13/2011	116 00	678 58	0 00	811 79	(133 21)
FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN (358029106)	05/12/2011	07/13/2011	1 00	73 90	0 00	71 74	2 16
FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN (358029106)	04/12/2011	07/13/2011	4 00	295 59	0 00	284 47	11 12
FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN (358029106)	05/13/2011	07/13/2011	4 00	295 59	0 00	286 51	9 08
GLAXOSMITHKLINE PLC SPONSORED ADR CMN (37733W105)	03/04/2011	07/13/2011	3 00	130 50	0 00	114 74	15 76
GLAXOSMITHKLINE PLC SPONSORED ADR CMN (37733W105)	01/21/2011	07/13/2011	4 00	174 00	0 00	149 52	24 48
GLAXOSMITHKLINE PLC SPONSORED ADR CMN (37733W105)	02/09/2011	07/13/2011	4 00	174 00	0 00	152 86	21 14
GLAXOSMITHKLINE PLC SPONSORED ADR CMN (37733W105)	01/18/2011	07/13/2011	6 00	280 99	0 00	228 95	32 04
GLAXOSMITHKLINE PLC SPONSORED ADR CMN (37733W105)	06/17/2011	07/13/2011	6 00	280 99	0 00	248 51	12 48
HITACHI LTD (ADR 10 COM) ADR CMN (433578507)	05/02/2011	07/13/2011	9 00	545 57	0 00	501 31	44 26
HITACHI LTD (ADR 10 COM) ADR CMN (433578507)	05/03/2011	07/13/2011	11 00	666 80	0 00	614 67	52 13
HONDA MTR LTD (AMER SHS) ADR CMN (438128308)	06/01/2011	07/13/2011	6 00	240 48	0 00	228 51	11 97
HONDA MTR LTD (AMER SHS) ADR CMN (438128308)	05/02/2011	07/13/2011	22 00	881 74	0 00	864 71	17 03
ING GROEP N V SPONS ADR SPONSORED ADR CMN (456837103)	03/04/2011	07/13/2011	3 00	33 24	0 00	36 96	(3 72)
INTESA SANPAOLO SPONSORED ADR CMN (46115H107)	04/26/2011	07/13/2011	1 00	13 89	0 00	19 15	(5 26)
INTESA SANPAOLO SPONSORED ADR CMN (46115H107)	04/29/2011	07/13/2011	1 00	13 89	0 00	19 99	(6 10)

STATEMENT OF CHARITABLE CONTRIBUTIONS

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ^s	Total Accretion (Amortization)	Cost Basis ^a	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
INTESA SANPAOLO SPONSORED ADR CMN (46115H107)	04/12/2011	07/13/2011	2.00	27.78	0.00	39.20	(11.42)
INTESA SANPAOLO SPONSORED ADR CMN (46115H107)	04/13/2011	07/13/2011	2.00	27.78	0.00	39.17	(11.39)
INTESA SANPAOLO SPONSORED ADR CMN (46115H107)	02/04/2011	07/13/2011	3.00	41.67	0.00	61.71	(20.04)
INTESA SANPAOLO SPONSORED ADR CMN (46115H107)	04/27/2011	07/13/2011	4.00	55.56	0.00	78.41	(22.85)
INTESA SANPAOLO SPONSORED ADR CMN (46115H107)	05/02/2011	07/13/2011	5.00	69.45	0.00	99.39	(29.94)
INTESA SANPAOLO SPONSORED ADR CMN (46115H107)	02/07/2011	07/13/2011	13.00	180.57	0.00	265.93	(85.36)
INTESA SANPAOLO SPONSORED ADR CMN (46115H107)	02/08/2011	07/13/2011	17.00	236.13	0.00	345.47	(109.35)
INTESA SANPAOLO SPONSORED ADR CMN (46115H107)	06/16/2011	07/13/2011	26.00	361.13	0.00	382.40	(21.27)
KEPPEL CORP LTD (ADR) SPONSORED ADR CMN (492051305)	01/18/2011	07/13/2011	1.00	17.61	0.00	18.09	(0.48)
KEPPEL CORP LTD (ADR) SPONSORED ADR CMN (492051305)	04/20/2011	07/13/2011	1.00	17.61	0.00	20.90	(3.29)
KEPPEL CORP LTD (ADR) SPONSORED ADR CMN (492051305)	05/16/2011	07/13/2011	3.00	52.83	0.00	54.85	(2.02)
KEPPEL CORP LTD (ADR) SPONSORED ADR CMN (492051305)	01/19/2011	07/13/2011	4.00	70.44	0.00	72.59	(2.15)
KEPPEL CORP LTD (ADR) SPONSORED ADR CMN (492051305)	01/21/2011	07/13/2011	6.00	105.66	0.00	106.92	(1.26)
KEPPEL CORP LTD (ADR) SPONSORED ADR CMN (492051305)	03/09/2011	07/13/2011	7.00	123.27	0.00	133.34	(10.07)
KEPPEL CORP LTD (ADR) SPONSORED ADR CMN (492051305)	01/05/2011	07/13/2011	8.00	140.88	0.00	141.93	(1.05)
KEPPEL CORP LTD (ADR) SPONSORED ADR CMN (492051305)	01/04/2011	07/13/2011	9.00	158.49	0.00	159.75	(1.26)
KEPPEL CORP LTD (ADR) SPONSORED ADR CMN (492051305)	06/13/2011	07/13/2011	15.00	264.14	0.00	270.11	(5.97)
KEPPEL CORP LTD (ADR) SPONSORED ADR CMN (492051305)	04/29/2011	07/13/2011	16.00	281.75	0.00	313.16	(31.41)
KEPPEL CORP LTD (ADR) SPONSORED ADR CMN (492051305)	06/03/2011	07/13/2011	30.00	528.29	0.00	556.21	(27.92)
KOMATSU LTD ADR (NEW) SPONSORED GDS CMN (500458401)	03/15/2011	07/13/2011	2.00	63.02	0.00	60.43	2.59
KOMATSU LTD ADR (NEW) SPONSORED GDS CMN (500458401)	03/14/2011	07/13/2011	3.00	94.53	0.00	95.25	(0.72)
KOMATSU LTD ADR (NEW) SPONSORED GDS CMN (500458401)	03/23/2011	07/13/2011	3.00	94.53	0.00	99.18	(4.65)
KOMATSU LTD ADR (NEW) SPONSORED GDS CMN (500458401)	03/24/2011	07/13/2011	3.00	94.53	0.00	100.69	(6.16)
KOMATSU LTD ADR (NEW) SPONSORED GDS CMN (500458401)	03/11/2011	07/13/2011	5.00	157.55	0.00	154.54	3.01
KOMATSU LTD ADR (NEW) SPONSORED GDS CMN (500458401)	03/25/2011	07/13/2011	5.00	157.55	0.00	173.66	(16.11)

STATEMENT OF CHARITABLE CONTRIBUTIONS

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
KONINKLIJKE AHOLD NV SPONSORED ADR CMN (500467402)	03/29/2011	07/13/2011	4 00	52 28	0 00	53 25	(0 97)
KONINKLIJKE AHOLD NV SPONSORED ADR CMN (500467402)	03/30/2011	07/13/2011	4 00	52 28	0 00	53 58	(1 30)
KONINKLIJKE AHOLD NV SPONSORED ADR CMN (500467402)	03/31/2011	07/13/2011	5 00	65 35	0 00	67 50	(2 15)
KONINKLIJKE AHOLD NV SPONSORED ADR CMN (500467402)	03/04/2011	07/13/2011	7 00	91 49	0 00	92 14	(0 65)
KONINKLIJKE AHOLD NV SPONSORED ADR CMN (500467402)	07/08/2011	07/13/2011	12 00	156 84	0 00	160 04	(3 20)
KONINKLIJKE AHOLD NV SPONSORED ADR CMN (500467402)	03/07/2011	07/13/2011	15 00	196 05	0 00	200 68	(4 64)
KONINKLIJKE PHILIPS ELECTRS NV ADR CMN (500472303)	01/27/2011	07/13/2011	7 00	170 59	0 00	223 05	(52 46)
LUKOIL OIL CO SPONS ADR SPONSORED ADR CMN CLASS (677862104)	02/25/2011	07/13/2011	2 00	129 54	0 00	138 53	(8 99)
LUKOIL OIL CO SPONS ADR SPONSORED ADR CMN CLASS (677862104)	02/01/2011	07/13/2011	3 00	194 31	0 00	192 44	1 87
LUKOIL OIL CO SPONS ADR SPONSORED ADR CMN CLASS (677862104)	03/09/2011	07/13/2011	3 00	194 31	0 00	216 36	(22 05)
LUKOIL OIL CO SPONS ADR SPONSORED ADR CMN CLASS (677862104)	01/06/2011	07/13/2011	4 00	259 08	0 00	237 71	21 36
LUKOIL OIL CO SPONS ADR SPONSORED ADR CMN CLASS (677862104)	03/11/2011	07/13/2011	4 00	259 08	0 00	278 83	(19 76)
MITSUBISHI ESTATE LTD ADR CMN (606783207)	06/03/2011	07/13/2011	2 00	369 99	0 00	345 64	24 35
MITSUBISHI ESTATE LTD ADR CMN (606783207)	05/02/2011	07/13/2011	6 00	1,109 98	0 00	1,068 39	41 59
NESTLE SA SPONSORED ADR (REP 1/2D CHF 10 REGD SHS) (641069406)	03/11/2011	07/13/2011	2 00	125 38	0 00	111 07	14 31
NIPPON TELEG & TEL SPON ADR SPONSORED ADR CMN (1 ADR = 1/2 COMMON SHS) (654624105)	05/02/2011	07/13/2011	6 00	145 80	0 00	139 52	6 28
NIPPON TELEG & TEL SPON ADR SPONSORED ADR CMN (1 ADR = 1/2 COMMON SHS) (654624105)	02/25/2011	07/13/2011	9 00	218 70	0 00	220 34	(1 65)
NIPPON TELEG & TEL SPON ADR SPONSORED ADR CMN (1 ADR = 1/2 COMMON SHS) (654624105)	03/04/2011	07/13/2011	11 00	267 29	0 00	272 85	(5 56)
NIPPON TELEG & TEL SPON ADR SPONSORED ADR CMN (1 ADR = 1/2 COMMON SHS) (654624105)	02/18/2011	07/13/2011	13 00	315 89	0 00	319 86	(3 97)
NIPPON TELEG & TEL SPON ADR SPONSORED ADR CMN (1 ADR = 1/2 COMMON SHS) (654624105)	02/22/2011	07/13/2011	15 00	364 49	0 00	366 08	(1 59)

STATEMENT OF CHARITABLE CONTRIBUTIONS

STATEMENT OF CHARITABLE CONTRIBUTIONS

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
NIPON TELEG & TEL SPON ADR SPONSORED ADR CMN (1 ADR = 1/2 COMMON SHS) (654624105)	02/23/2011	07/13/2011	15 00	364.49	0 00	363 26	1 23
NOVARTIS AG-ADR SPONSORED ADR CMN (66987V109)	06/22/2011	07/13/2011	2 00	123 16	0 00	121 26	1 90
NOVARTIS AG-ADR SPONSORED ADR CMN (66987V109)	04/20/2011	07/13/2011	3 00	184 74	0 00	173 38	11 36
NOVARTIS AG-ADR SPONSORED ADR CMN (66987V109)	05/02/2011	07/13/2011	4 00	246 32	0 00	237 12	9 20
NOVARTIS AG-ADR SPONSORED ADR CMN (66987V109)	03/04/2011	07/13/2011	5 00	307 89	0 00	284 06	23 83
NOVARTIS AG-ADR SPONSORED ADR CMN (66987V109)	03/23/2011	07/13/2011	5 00	307 89	0 00	271 95	35 94
NOVARTIS AG-ADR SPONSORED ADR CMN (66987V109)	03/09/2011	07/13/2011	6 00	369 47	0 00	331 74	37 73
NOVARTIS AG-ADR SPONSORED ADR CMN (66987V109)	03/11/2011	07/13/2011	8 00	492 63	0 00	439 06	53 57
PEARSON PLC SPON ADR SPONSORED ADR CMN (705015105)	06/06/2011	07/13/2011	11 00	207 86	0 00	204 84	3 02
PETROLEO BRASILEIRO S A SPON ADR (71654V101)	01/21/2011	07/13/2011	7 00	210 20	0 00	230 52	(20 32)
PRUDENTIAL CORP (ADR) ADR CMN (74435K204)	03/04/2011	07/13/2011	2 00	45 19	0 00	46 02	(0 84)
REED ELSEVIER GROUP PLC SPONSORED ADR CMN (758205207)	05/13/2011	07/13/2011	1 00	35 55	0 00	36 36	(0 81)
REED ELSEVIER GROUP PLC SPONSORED ADR CMN (758205207)	01/18/2011	07/13/2011	2 00	71 10	0 00	71 25	(0 15)
REED ELSEVIER GROUP PLC SPONSORED ADR CMN (758205207)	03/11/2011	07/13/2011	2 00	71 10	0 00	68 45	2 65
REED ELSEVIER GROUP PLC SPONSORED ADR CMN (758205207)	01/19/2011	07/13/2011	3 00	106 65	0 00	106 82	(0 17)
REED ELSEVIER GROUP PLC SPONSORED ADR CMN (758205207)	05/16/2011	07/13/2011	3 00	106 65	0 00	108 49	(1 84)
REED ELSEVIER GROUP PLC SPONSORED ADR CMN (758205207)	03/14/2011	07/13/2011	4 00	142 20	0 00	136 32	5 88
REED ELSEVIER GROUP PLC SPONSORED ADR CMN (758205207)	03/15/2011	07/13/2011	4 00	142 20	0 00	130 66	11 54
REED ELSEVIER GROUP PLC SPONSORED ADR CMN (758205207)	02/18/2011	07/13/2011	8 00	284 39	0 00	294 75	(10 36)
RIO TINTO PLC SPONSORED ADR (767204100)	07/01/2011	07/13/2011	4 00	284 03	0 00	292 44	(8 41)
SAFRAN SA SPONSORED ADR CMN (786584102)	05/16/2011	07/13/2011	5 00	205 95	0 00	195 02	10 93
SAFRAN SA SPONSORED ADR CMN (786584102)	04/26/2011	07/13/2011	9 00	370 70	0 00	322 63	48 07
SAFRAN SA SPONSORED ADR CMN (786584102)	05/06/2011	07/13/2011	11 00	453 08	0 00	415 86	37 22
SAFRAN SA SPONSORED ADR CMN (786584102)	04/13/2011	07/13/2011	15 00	617 84	0 00	520 85	96 99

STATEMENT OF CHARITABLE CONTRIBUTIONS

STATEMENT OF CHARITABLE CONTRIBUTIONS

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ¹	Total Accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
SAP AG (SPON ADR) (803054204)	06/01/2011	07/13/2011	3 00	177 33	0 00	185 06	(7 73)
SEADRILL LTD CMN (G7945E105)	03/11/2011	07/13/2011	6 00	211 19	0 00	212 06	(0 87)
SEADRILL LTD CMN (G7945E105)	01/04/2011	07/13/2011	8 00	281 59	0 00	270 33	11 26
SMITHS GROUP PLC SPONSORED ADR CMN (83238P203)	05/17/2011	07/13/2011	1 00	19 47	0 00	19 81	(0 34)
SMITHS GROUP PLC SPONSORED ADR CMN (83238P203)	05/18/2011	07/13/2011	7 00	136 26	0 00	139 64	(3 38)
SMITHS GROUP PLC SPONSORED ADR CMN (83238P203)	05/16/2011	07/13/2011	11 00	214 13	0 00	221 47	(7 34)
SMITHS GROUP PLC SPONSORED ADR CMN (83238P203)	05/20/2011	07/13/2011	14 00	272 53	0 00	284 95	(12 42)
SMITHS GROUP PLC SPONSORED ADR CMN (83238P203)	07/05/2011	07/13/2011	16 00	311 46	0 00	316 56	(5 10)
SMITHS GROUP PLC SPONSORED ADR CMN (83238P203)	05/19/2011	07/13/2011	18 00	350 39	0 00	370 59	(20 20)
SONY CORPORATION ADR CMN (835698307)	02/18/2011	07/13/2011	8 00	216 64	0 00	290 97	(74 33)
SUMITOMO CORPORATION SPONSORED ADR CMN (865613103)	02/04/2011	07/13/2011	1 00	13 84	0 00	15 40	(1 56)
SUMITOMO CORPORATION SPONSORED ADR CMN (865613103)	02/08/2011	07/13/2011	6 00	83 04	0 00	93 19	(10 15)
SUMITOMO CORPORATION SPONSORED ADR CMN (865613103)	02/07/2011	07/13/2011	11 00	152 24	0 00	171 83	(19 59)
TELECOM ITALIA SPA SPONSORED ADR CMN (87927Y102)	02/25/2011	07/13/2011	8 00	98 08	0 00	120 80	(22 72)
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	04/28/2011	07/13/2011	2 00	98 62	0 00	92 08	6 54
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	06/21/2011	07/13/2011	5 00	246 54	0 00	238 06	8 48
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	04/12/2011	07/13/2011	7 00	345 16	0 00	341 80	3 36
THE KANSAI ELECTRIC POWER COMP UNSPONSORED ADR CMN (484602305)	06/22/2011	07/13/2011	102 00	923 08	0 00	948 03	(24 95)
TULLOW OIL PLC ADR CMN (889415202)	03/11/2011	07/13/2011	1 00	10 43	0 00	11 31	(0 88)
TULLOW OIL PLC ADR CMN (889415202)	03/14/2011	07/13/2011	3 00	31 29	0 00	33 45	(2 16)
TULLOW OIL PLC ADR CMN (889415202)	03/16/2011	07/13/2011	4 00	41 72	0 00	44 46	(2 74)
TULLOW OIL PLC ADR CMN (889415202)	06/24/2011	07/13/2011	5 00	52 15	0 00	48 99	3 16
TULLOW OIL PLC ADR CMN (889415202)	03/15/2011	07/13/2011	7 00	73 01	0 00	76 60	(3 59)
TULLOW OIL PLC ADR CMN (889415202)	04/19/2011	07/13/2011	26 00	271 17	0 00	295 56	(24 39)

STATEMENT OF CHARITABLE CONTRIBUTIONS

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
VODAFONE GROUP PLC SPONSORED ADR CMN (92857W209)	03/29/2011	07/13/2011	13.00	339.03	0.00	379.46	(40.43)
WPP PLC ADR CMN (92933H101)	03/23/2011	07/13/2011	1.00	59.12	0.00	60.90	(1.78)
WPP PLC ADR CMN (92933H101)	03/24/2011	07/13/2011	2.00	118.24	0.00	122.54	(4.30)
WPP PLC ADR CMN (92933H101)	03/25/2011	07/13/2011	3.00	177.36	0.00	183.99	(6.64)
XSTRATA PLC ADR CMN (98418K105)	03/04/2011	07/13/2011	35.00	152.95	0.00	161.68	(8.73)
DBS GROUP HOLDINGS SPONSORED ADR CMN (23304Y100)	07/13/2011	07/14/2011	2.00	96.32	0.00	96.74	(0.42)
SMITH & NEPHEW PLC ADR CMN (83175M205)	05/13/2011	07/14/2011	2.00	107.64	0.00	112.56	(4.92)
SMITH & NEPHEW PLC ADR CMN (83175M205)	07/13/2011	07/14/2011	2.00	107.84	0.00	107.79	(0.15)
SMITH & NEPHEW PLC ADR CMN (83175M205)	05/16/2011	07/14/2011	16.00	861.10	0.00	896.08	(34.98)
SYNGENTA AG SPONSORED ADR CMN (87160A100)	06/10/2011	07/14/2011	3.00	201.91	0.00	200.96	0.95
YARA INTERNATIONAL ASA SPONSORED ADR CMN (984851204)	06/24/2011	07/14/2011	3.00	170.28	0.00	169.85	0.43
YARA INTERNATIONAL ASA SPONSORED ADR CMN (984851204)	07/13/2011	07/14/2011	10.00	567.59	0.00	578.42	(10.83)
YARA INTERNATIONAL ASA SPONSORED ADR CMN (984851204)	06/22/2011	07/14/2011	18.00	1,021.66	0.00	1,054.82	(33.16)
YPF SOCIEDAD ANONIMA SPONSORED ADR REPSTG CL D (984245100)	07/13/2011	07/14/2011	5.00	216.73	0.00	219.72	(2.99)
YPF SOCIEDAD ANONIMA SPONSORED ADR REPSTG CL D (984245100)	06/23/2011	07/14/2011	14.00	606.86	0.00	583.23	23.63
YPF SOCIEDAD ANONIMA SPONSORED ADR REPSTG CL D (984245100)	06/24/2011	07/14/2011	16.00	693.55	0.00	669.32	24.23
Net Covered Short-Term Gains (Losses)				47,021.35	0.00	47,597.18	(575.97)
NonCovered Short-Term Gains (Losses)							
COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN (204448104)	04/15/2010	01/04/2011	9.00	414.35	0.00	292.43	121.92
MEDIASET SPA ADR CMN (584469407)	04/15/2010	01/04/2011	3.00	53.36	0.00	78.30	(24.94)
REED ELSEVIER GROUP PLC SPONSORED ADR CMN (758205207)	04/15/2010	01/04/2011	5.00	167.92	0.00	165.58	2.34
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	05/03/2010	01/04/2011	1.00	52.45	0.00	59.66	(7.21)
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	04/15/2010	01/04/2011	2.00	104.89	0.00	125.58	(20.69)
MEDIASET SPA ADR CMN (584469407)	04/15/2010	01/05/2011	3.00	52.18	0.00	78.30	(26.12)

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Short-Term Gains (Losses)							
ENI S P A SPON ADR SPONSORED ADR CMN (28874R108)	04/15/2010	01/18/2011	5.00	234.89	0.00	241.85	(6.96)
KOMATSU LTD ADR (NEW) SPONSORED GDS CMN (500458401)	04/27/2010	01/18/2011	9.00	272.97	0.00	184.61	88.36
MEDIASET SPA ADR CMN (584469407)	04/15/2010	01/18/2011	4.00	71.43	0.00	104.40	(32.97)
MEDIASET SPA ADR CMN (584469407)	04/15/2010	01/19/2011	5.00	90.23	0.00	130.50	(40.27)
COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADR (20441A102)	04/15/2010	01/21/2011	9.00	437.79	0.00	364.99	72.80
ICICI BANK LIMITED SPONS ADR (45104G104)	04/15/2010	01/21/2011	3.00	137.93	0.00	125.84	12.09
MEDIASET SPA ADR CMN (584469407)	04/15/2010	01/21/2011	6.00	110.43	0.00	156.60	(46.17)
COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADR (20441A102)	04/15/2010	01/24/2011	7.00	345.51	0.00	283.88	61.63
GAFISA, S A SPONSORED ADR CMN (362607301)	04/15/2010	01/27/2011	7.00	90.42	0.00	99.12	(8.70)
MEDIASET SPA ADR CMN (584469407)	04/15/2010	01/27/2011	3.00	58.22	0.00	78.30	(20.08)
SANOFI SPONSORED ADR CMN (80105N105)	04/15/2010	01/27/2011	4.00	141.28	0.00	149.40	(8.12)
MEDIASET SPA ADR CMN (584469407)	07/12/2010	01/28/2011	2.00	38.64	0.00	35.30	3.35
MEDIASET SPA ADR CMN (584469407)	07/12/2010	01/31/2011	2.00	38.89	0.00	35.30	3.60
APERAM CMN (03754H104)	11/08/2010	02/01/2011	0.20	7.50	0.00	0.00	7.50
MEDIASET SPA ADR CMN (584469407)	07/12/2010	02/01/2011	5.00	98.11	0.00	88.24	9.87
SANOFI SPONSORED ADR CMN (80105N105)	04/15/2010	02/01/2011	3.00	104.65	0.00	112.05	(7.40)
APERAM CMN (03754H104)	04/15/2010	02/02/2011	1.00	39.95	0.00	52.67	(12.72)
BANCO SANTANDER BRASIL SA ADR CMN (05987A107)	11/04/2010	02/04/2011	28.00	312.30	0.00	434.05	(121.75)
GAFISA, S A SPONSORED ADR CMN (362607301)	05/03/2010	02/04/2011	2.00	23.97	0.00	28.52	(4.54)
GAFISA, S A SPONSORED ADR CMN (362607301)	04/15/2010	02/04/2011	26.00	311.64	0.00	368.16	(56.52)
ICICI BANK LIMITED SPONS ADR (45104G104)	04/15/2010	02/04/2011	4.00	172.25	0.00	167.79	4.46
ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN (465562106)	04/15/2010	02/04/2011	15.00	312.35	0.00	340.20	(27.85)
MEDIASET SPA ADR CMN (584469407)	07/12/2010	02/04/2011	4.00	77.77	0.00	70.59	7.18

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Short-Term Gains (Losses)							
GUGENHEIM CHINA REAL ESTATE ETF (183830861)	11/12/2010	02/09/2011	11.00	209.80	0.00	233.34	(23.54)
ITALU UNIBANCO BANCO HLONG S A SPONSORED ADR CMN (465562106)	04/15/2010	02/09/2011	13.00	278.33	0.00	294.84	(16.51)
MEDIASET SPA ADR CMN (584469407)	07/30/2010	02/09/2011	1.00	20.18	0.00	19.00	1.18
MEDIASET SPA ADR CMN (584469407)	08/02/2010	02/09/2011	1.00	20.18	0.00	20.07	0.11
MEDIASET SPA ADR CMN (584469407)	08/03/2010	02/09/2011	4.00	80.71	0.00	78.29	2.41
SECOM LTD (ADR) ADR CMN (813113206)	04/15/2010	02/09/2011	13.00	161.87	0.00	142.95	18.92
SILICONWARE PRECISION IND CO L SPONSORED ADR (827084864)	04/16/2010	02/09/2011	17.00	117.97	0.00	111.80	6.18
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	05/03/2010	02/09/2011	1.00	51.24	0.00	59.66	(8.41)
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	05/05/2010	02/09/2011	3.00	153.72	0.00	178.28	(24.56)
DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN (251561304)	04/15/2010	02/11/2011	9.00	189.44	0.00	163.17	26.27
FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN (358029106)	04/15/2010	02/11/2011	5.00	311.74	0.00	281.03	30.71
MEDIASET SPA ADR CMN (584469407)	08/03/2010	02/11/2011	2.00	39.64	0.00	39.15	0.49
MEDIASET SPA ADR CMN (584469407)	11/04/2010	02/11/2011	4.00	79.27	0.00	90.80	(11.53)
BG GROUP PLC SPON ADR ADR CMN (055434203)	04/15/2010	02/18/2011	3.00	355.63	0.00	269.33	86.30
DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN (251561304)	04/15/2010	02/18/2011	12.00	255.70	0.00	217.56	38.14
FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN (358029106)	04/15/2010	02/18/2011	5.00	321.14	0.00	281.03	40.11
MEDIASET SPA ADR CMN (584469407)	11/05/2010	02/18/2011	7.00	134.15	0.00	158.29	(24.14)
SANOFI SPONSORED ADR CMN (80105N105)	04/15/2010	02/18/2011	1.00	34.78	0.00	37.35	(2.57)
SANOFI SPONSORED ADR CMN (80105N105)	05/03/2010	02/18/2011	2.00	69.55	0.00	67.82	1.74
WPP PLC ADR CMN (92933H101)	04/29/2010	02/18/2011	1.00	68.46	0.00	53.07	15.39
WPP PLC ADR CMN (92933H101)	04/21/2010	02/18/2011	2.00	136.93	0.00	108.68	28.25
DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN (251561304)	04/15/2010	02/25/2011	4.00	80.96	0.00	72.52	8.44

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Short-Term Gains (Losses)							
FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN (358029106)	04/15/2010	02/25/2011	1.00	65.35	0.00	56.21	9.14
KT CORPORATION SPONSORED ADR CMN (48268K101)	12/22/2010	02/25/2011	3.00	59.63	0.00	53.67	(4.04)
KT CORPORATION SPONSORED ADR CMN (48268K101)	12/23/2010	02/25/2011	7.00	139.14	0.00	149.03	(9.89)
KT CORPORATION SPONSORED ADR CMN (48268K101)	09/15/2010	02/25/2011	14.00	278.28	0.00	289.62	8.66
KT CORPORATION SPONSORED ADR CMN (48268K101)	04/15/2010	02/25/2011	34.00	675.83	0.00	710.71	(34.88)
SILICONWARE PRECISION IND CO L SPONSORED ADR (827084864)	04/16/2010	02/25/2011	22.00	147.00	0.00	144.68	2.32
ANGLO AMERICAN PLC ADR CMN (03485P201)	04/15/2010	03/04/2011	12.00	321.06	0.00	276.96	44.10
DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN (251561304)	04/15/2010	03/04/2011	1.00	20.10	0.00	18.13	1.97
DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN (251561304)	05/11/2010	03/04/2011	1.00	20.10	0.00	14.67	5.44
DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN (251561304)	05/10/2010	03/04/2011	5.00	120.62	0.00	89.70	30.92
GUGGENHEIM CHINA REAL ESTATE ETF (183830861)	11/12/2010	03/04/2011	40.00	754.96	0.00	848.51	(93.55)
RIO TINTO PLC SPONSORED ADR (767204100)	11/09/2010	03/04/2011	2.00	139.79	0.00	140.32	(0.53)
SANOFI SPONSORED ADR CMN (80105N105)	09/24/2010	03/04/2011	2.00	71.03	0.00	67.88	3.15
SANOFI SPONSORED ADR CMN (80105N105)	05/03/2010	03/04/2011	4.00	142.06	0.00	135.63	6.43
SANOFI SPONSORED ADR CMN (80105N105)	11/01/2010	03/04/2011	6.00	213.09	0.00	211.11	1.98
SYNGENTA AG SPONSORED ADR CMN (87160A100)	10/08/2010	03/04/2011	3.00	200.33	0.00	159.79	40.54
ANGLO AMERICAN PLC ADR CMN (03485P201)	04/15/2010	03/07/2011	5.00	134.29	0.00	115.40	18.89
ANGLO AMERICAN PLC ADR CMN (03485P201)	04/21/2010	03/07/2011	10.00	269.57	0.00	219.39	49.19
BAYER AG SPONSORED ADR SPONSORED ADR CMN (072730302)	10/11/2010	03/09/2011	2.00	155.32	0.00	149.67	5.64
BAYER AG SPONSORED ADR SPONSORED ADR CMN (072730302)	10/12/2010	03/09/2011	2.00	155.32	0.00	147.41	7.91
DBS GROUP HOLDINGS SPONSORED ADR CMN (23304Y100)	04/16/2010	03/09/2011	1.00	45.45	0.00	45.46	(0.01)
DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN (251561304)	05/11/2010	03/09/2011	1.00	20.80	0.00	14.67	6.13
DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN (251561304)	05/12/2010	03/09/2011	1.00	20.80	0.00	15.10	5.70
DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN (251561304)	05/13/2010	03/09/2011	3.00	62.40	0.00	44.91	17.49

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total Accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
NonCovered Short-Term Gains (Losses)							
DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN (251561304)	05/14/2010	03/09/2011	3 00	62 40	0 00	43 34	19 06
HONDA MTR LTD (AMER SHS) ADR CMN (438128308)	07/30/2010	03/09/2011	2 00	82 93	0 00	63 55	19 38
ICICI BANK LIMITED SPONS ADR (451046104)	04/15/2010	03/09/2011	7 00	318 95	0 00	293 64	25 31
HONDA MTR LTD (AMER SHS) ADR CMN (438128308)	07/30/2010	03/10/2011	2 00	81 62	0 00	63 55	18 07
DBS GROUP HOLDINGS SPONSORED ADR CMN (23304Y100)	04/16/2010	03/11/2011	4 00	180 17	0 00	181 85	(1 68)
DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN (251561304)	05/14/2010	03/11/2011	4 00	82 40	0 00	57 79	24 62
HONDA MTR LTD (AMER SHS) ADR CMN (438128308)	07/30/2010	03/11/2011	5 00	198 69	0 00	158 87	39 82
ICICI BANK LIMITED SPONS ADR (451046104)	12/16/2010	03/11/2011	3 00	135 60	0 00	148 18	(12 58)
ICICI BANK LIMITED SPONS ADR (451046104)	04/15/2010	03/11/2011	8 00	361 60	0 00	335 58	26 02
PEARSON PLC SPON ADR SPONSORED ADR CMN (705015105)	09/15/2010	03/11/2011	8 00	140 75	0 00	125 89	14 87
HONDA MTR LTD (AMER SHS) ADR CMN (438128308)	08/02/2010	03/14/2011	3 00	114 13	0 00	98 45	15 69
HONDA MTR LTD (AMER SHS) ADR CMN (438128308)	07/30/2010	03/14/2011	6 00	228 26	0 00	190 65	37 61
PEARSON PLC SPON ADR SPONSORED ADR CMN (705015105)	09/15/2010	03/14/2011	12 00	210 77	0 00	188 83	21 94
PEARSON PLC SPON ADR SPONSORED ADR CMN (705015105)	09/16/2010	03/15/2011	3 00	51 70	0 00	47 48	4 22
PEARSON PLC SPON ADR SPONSORED ADR CMN (705015105)	09/15/2010	03/15/2011	3 00	51 70	0 00	47 21	4 49
HONDA MTR LTD (AMER SHS) ADR CMN (438128308)	08/11/2010	03/23/2011	3 00	114 95	0 00	96 58	18 36
HONDA MTR LTD (AMER SHS) ADR CMN (438128308)	08/02/2010	03/23/2011	11 00	421 48	0 00	360 97	60 52
RESMED INC CMN (761152107)	07/01/2010	03/23/2011	9 00	268 07	0 00	268 32	(0 25)
SILICONWARE PRECISION IND CO L SPONSORED ADR (827084854)	04/16/2010	03/23/2011	12 00	73 42	0 00	78 91	(5 49)
SONY CORPORATION ADR CMN (835699307)	04/15/2010	03/23/2011	13 00	418 38	0 00	478 53	(60 15)
RESMED INC CMN (761152107)	07/29/2010	03/24/2011	4 00	118 11	0 00	127 85	(9 74)
SILICONWARE PRECISION IND CO L SPONSORED ADR (827084854)	04/16/2010	03/24/2011	9 00	55 51	0 00	59 19	(3 68)
SONY CORPORATION ADR CMN (835699307)	04/15/2010	03/24/2011	11 00	354 74	0 00	404 91	(50 17)
BG GROUP PLC SPON ADR ADR CMN (055434203)	04/15/2010	03/29/2011	2 00	246 34	0 00	179 55	66 79
ENI S P A SPON ADR SPONSORED ADR CMN (26874R108)	04/15/2010	03/29/2011	7 00	342 10	0 00	338 59	3 51

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Short-Term Gains (Losses)							
HONDA MTR LTD (AMER SHS) ADR CMN (438128308)	08/11/2010	03/29/2011	7 00	261 41	0 00	225 36	36 05
HIO TINTO PLC SPONSORED ADR (767204100)	11/08/2010	03/29/2011	4 00	279 55	0 00	280 54	(1 08)
SILICONWARE PRECISION IND CO L SPONSORED ADR (827084854)	04/16/2010	03/29/2011	14 00	83 55	0 00	92 07	(8 51)
BG GROUP PLC SPON ADR CMN (055434203)	04/15/2010	03/30/2011	1 00	125 45	0 00	89 78	35 68
ERICSSON (LM) TEL CO ADR CMN CLASS B (294821808)	07/01/2010	04/01/2011	12 00	154 17	0 00	130 32	23 85
NATIONAL GRID PLC SPONSORED ADR CMN (636274300)	06/16/2010	04/04/2011	1 00	48 54	0 00	38 52	10 13
NATIONAL GRID PLC SPONSORED ADR CMN (636274300)	04/15/2010	04/04/2011	4 00	194 58	0 00	203 01	(8 43)
RESMED INC CMN (761152107)	08/11/2010	04/04/2011	2 00	59 30	0 00	63 34	(4 05)
RESMED INC CMN (761152107)	07/29/2010	04/04/2011	4 00	118 59	0 00	127 85	(9 26)
RESMED INC CMN (761152107)	10/14/2010	04/04/2011	6 00	177 89	0 00	190 47	(12 59)
SAP AG (SPON ADR) (803054204)	04/15/2010	04/04/2011	7 00	435 90	0 00	346 71	89 19
SECOM LTD (ADR) ADR CMN (813113206)	04/15/2010	04/04/2011	8 00	90 08	0 00	87 97	2 11
SECOM LTD (ADR) ADR CMN (813113206)	04/15/2010	04/06/2011	9 00	100 34	0 00	98 97	1 37
ACCENTURE PLC CMN (G1151C101)	07/29/2010	04/12/2011	5 00	280 35	0 00	197 11	83 25
COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN (204448104)	04/15/2010	04/12/2011	7 00	279 66	0 00	227 45	52 21
DAIMLER AG CMN ISIN DE0007100000 (D1668R123)	04/15/2010	04/12/2011	3 00	219 10	0 00	150 95	68 16
GAFISA, S A SPONSORED ADR CMN (362607301)	05/03/2010	04/12/2011	7 00	92 93	0 00	99 80	(6 87)
GLAXOSMITHKLINE PLC SPONSORED ADR CMN (37733W105)	05/17/2010	04/12/2011	2 00	80 40	0 00	68 67	11 73
GLAXOSMITHKLINE PLC SPONSORED ADR CMN (37733W105)	05/18/2010	04/12/2011	4 00	160 79	0 00	136 44	24 35
RESMED INC CMN (761152107)	10/14/2010	04/12/2011	1 00	31 67	0 00	31 75	(0 08)
RESMED INC CMN (761152107)	10/20/2010	04/12/2011	5 00	158 33	0 00	153 46	4 88
SAP AG (SPON ADR) (803054204)	04/15/2010	04/12/2011	2 00	125 86	0 00	99 06	26 80
ABB LTD SPONSORED ADR CMN (000375204)	12/09/2010	04/20/2011	12 00	298 99	0 00	248 45	50 54
GLAXOSMITHKLINE PLC SPONSORED ADR CMN (37733W105)	05/18/2010	04/20/2011	1 00	41 35	0 00	34 11	7 24

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ^a	Total Accretion (Amortization)	Cost Basis ^a	Total Gain (Loss)
NonCovered Short-Term Gains (Losses)							
GLAXOSMITHKLINE PLC SPONSORED ADR CMN (37733W105)	06/10/2010	04/20/2011	4 00	165 38	0 00	136 85	28 54
RESMED INC CMN (761152107)	10/20/2010	04/20/2011	3 00	99 23	0 00	92 07	7 16
SEADRILL LTD CMN (G7945E105)	12/22/2010	04/20/2011	8 00	284 63	0 00	265 02	19 61
WPP PLC ADR CMN (92933H101)	04/29/2010	04/20/2011	4 00	247 51	0 00	212 29	35 22
GUGGENHEIM CHINA REAL ESTATE ETF (18383Q861)	11/12/2010	04/26/2011	15 00	300 65	0 00	318 19	(17 54)
RIO TINTO PLC SPONSORED ADR (767204100)	11/08/2010	04/26/2011	4 00	292 10	0 00	280 64	11 46
WPP PLC ADR CMN (92933H101)	04/29/2010	04/26/2011	1 00	61 30	0 00	53 07	8 22
WPP PLC ADR CMN (92933H101)	04/30/2010	04/26/2011	1 00	61 30	0 00	53 69	7 61
WPP PLC ADR CMN (92933H101)	05/05/2010	04/26/2011	2 00	122 59	0 00	101 28	21 31
GUGGENHEIM CHINA REAL ESTATE ETF (18383Q861)	11/12/2010	04/27/2011	2 00	39 69	0 00	42 43	(2 74)
ABB LTD SPONSORED ADR CMN (000375204)	12/09/2010	04/29/2011	8 00	220 07	0 00	165 63	54 44
ABB LTD SPONSORED ADR CMN (000375204)	12/10/2010	04/29/2011	8 00	220 07	0 00	166 02	54 05
ACCENTURE PLC CMN (G1151C101)	07/29/2010	04/29/2011	8 00	461 59	0 00	315 37	146 22
BAYER AG-SPONSORED ADR SPONSORED ADR CMN (072730302)	10/21/2010	04/29/2011	1 00	85 86	0 00	76 88	8 97
BAYER AG-SPONSORED ADR SPONSORED ADR CMN (072730302)	10/14/2010	04/29/2011	3 00	257 57	0 00	234 47	23 10
GLAXOSMITHKLINE PLC SPONSORED ADR CMN (37733W105)	06/10/2010	04/29/2011	1 00	43 56	0 00	34 21	9 35
GLAXOSMITHKLINE PLC SPONSORED ADR CMN (37733W105)	06/15/2010	04/29/2011	2 00	87 13	0 00	71 72	15 41
GUGGENHEIM CHINA REAL ESTATE ETF (18383Q861)	11/12/2010	04/29/2011	9 00	178 98	0 00	190 91	(11 93)
GUGGENHEIM CHINA REAL ESTATE ETF (18383Q861)	12/09/2010	05/02/2011	11 00	219 77	0 00	223 03	(3 26)
GUGGENHEIM CHINA REAL ESTATE ETF (18383Q861)	11/12/2010	05/02/2011	17 00	339 64	0 00	360 62	(20 97)
SECOM LTD (ADRI) ADR CMN (813113206)	05/24/2010	05/02/2011	16 00	201 33	0 00	176 20	25 13
SECOM LTD (ADRI) ADR CMN (813113206)	08/09/2010	05/02/2011	16 00	201 33	0 00	186 69	14 64
GUGGENHEIM CHINA REAL ESTATE ETF (18383Q861)	12/10/2010	05/03/2011	1 00	19 66	0 00	20 33	(0 67)
GUGGENHEIM CHINA REAL ESTATE ETF (18383Q861)	12/13/2010	05/03/2011	5 00	98 28	0 00	103 00	(4 72)
GUGGENHEIM CHINA REAL ESTATE ETF (18383Q861)	12/13/2010	05/04/2011	9 00	174 57	0 00	185 40	(10 83)

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ^s	Total Accretion (Amortization)	Cost Basis ^s	Total Gain (Loss)
NonCovered Short-Term Gains (Losses)							
ABB LTD SPONSORED ADR CMN (000375204)	12/10/2010	05/05/2011	18 00	469 16	0 00	373 55	95 61
BANCO SANTANDER BRASIL SA ADR CMN (05967A107)	12/09/2010	05/05/2011	6 00	86 73	0 00	80 09	(13 36)
BANCO SANTANDER BRASIL SA ADR CMN (05967A107)	11/04/2010	05/05/2011	39 00	433 75	0 00	604 57	(170 82)
ERICSSON (LM) TEL CO ADR CMN CLASS B (294821608)	07/01/2010	05/05/2011	24 00	358 18	0 00	260 64	97 54
COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN (204448104)	05/17/2010	05/12/2011	6 00	235 08	0 00	224 37	10 71
KONINKLIJKE PHILIPS ELECTRS NV ADR CMN (500472303)	09/10/2010	05/12/2011	7 00	205 55	0 00	211 63	(6 08)
COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN (204448104)	05/17/2010	05/13/2011	1 00	38 72	0 00	37 40	1 33
COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN (204448104)	08/06/2010	05/13/2011	4 00	154 90	0 00	145 82	9 07
GLAXOSMITHKLINE PLC SPONSORED ADR CMN (37733W105)	06/15/2010	05/13/2011	3 00	130 61	0 00	107 58	23 03
ITAU UNIBANCO BANCO HLDNG S.A SPONSORED ADR CMN (465562106)	07/30/2010	05/13/2011	1 00	22 15	0 00	22 09	0 06
ITAU UNIBANCO BANCO HLDNG S.A SPONSORED ADR CMN (465562106)	07/28/2010	05/13/2011	7 00	155 02	0 00	156 68	(1 66)
KONINKLIJKE PHILIPS ELECTRS NV ADR CMN (500472303)	09/10/2010	05/13/2011	4 00	116 98	0 00	120 93	(3 95)
SEADRILL LTD CMN (67945E105)	12/22/2010	05/13/2011	3 00	98 10	0 00	99 38	(1 28)
COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN (204448104)	08/06/2010	06/01/2011	2 00	84 55	0 00	72 91	11 64
KUBOTA CORP ADR ADR CMN (501173207)	12/22/2010	06/02/2011	4 00	174 91	0 00	186 83	(11 92)
COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN (204448104)	08/06/2010	06/06/2011	1 00	37 30	0 00	36 46	0 84
COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN (204448104)	11/05/2010	06/06/2011	9 00	335 65	0 00	488 84	(153 19)
ERICSSON (LM) TEL CO ADR CMN CLASS B (294821608)	07/01/2010	06/10/2011	18 00	249 72	0 00	195 48	54 24
ACCENTURE PLC CMN (G1151C101)	07/29/2010	06/17/2011	8 00	432 11	0 00	315 37	116 74
MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN (606822104)	11/19/2010	06/17/2011	29 00	129 37	0 00	144 59	(15 22)

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Short-Term Gains (Losses)							
MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN (606822104)	11/19/2010	06/20/2011	20.00	89.29	0.00	99.71	(10.42)
TELECOM ITALIA SPA SPONSORED ADR CMN (87927Y102)	07/28/2010	06/21/2011	10.00	133.24	0.00	129.97	3.27
ANGLO AMERICAN PLC ADR CMN (03485P201)	09/14/2010	06/22/2011	1.00	23.51	0.00	19.80	3.71
ANGLO AMERICAN PLC ADR CMN (03485P201)	09/08/2010	06/22/2011	6.00	141.05	0.00	116.06	24.99
ANGLO AMERICAN PLC ADR CMN (03485P201)	09/03/2010	06/22/2011	7.00	184.56	0.00	135.70	28.86
BAYER AG-SPONSORED ADR SPONSORED ADR CMN (072730302)	10/21/2010	06/22/2011	2.00	167.09	0.00	153.76	13.33
KONINKLIJKE PHILIPS ELECTRS NV ADR CMN (500472303)	09/10/2010	06/23/2011	23.00	530.11	0.00	695.36	(165.25)
BAYER AG-SPONSORED ADR SPONSORED ADR CMN (072730302)	10/21/2010	07/01/2011	1.00	80.73	0.00	76.88	3.85
BAYER AG-SPONSORED ADR SPONSORED ADR CMN (072730302)	11/01/2010	07/01/2011	2.00	161.46	0.00	151.72	9.74
ABB LTD SPONSORED ADR CMN (000375204)	12/10/2010	07/13/2011	1.00	26.22	0.00	20.75	5.47
ABB LTD SPONSORED ADR CMN (000375204)	12/23/2010	07/13/2011	4.00	104.88	0.00	87.91	16.97
ABB LTD SPONSORED ADR CMN (000375204)	12/22/2010	07/13/2011	5.00	131.10	0.00	111.21	19.89
ABB LTD SPONSORED ADR CMN (000375204)	12/16/2010	07/13/2011	10.00	262.20	0.00	215.69	46.51
ACCENTURE PLC CMN (G1151C101)	07/29/2010	07/13/2011	1.00	60.59	0.00	39.42	21.17
ACCENTURE PLC CMN (G1151C101)	11/19/2010	07/13/2011	5.00	302.94	0.00	221.20	81.74
ACCENTURE PLC CMN (G1151C101)	10/01/2010	07/13/2011	12.00	727.06	0.00	532.54	194.52
ANGLO AMERICAN PLC ADR CMN (03485P201)	09/14/2010	07/13/2011	7.00	170.03	0.00	138.60	31.43
ANGLO AMERICAN PLC ADR CMN (03485P201)	09/15/2010	07/13/2011	9.00	218.61	0.00	178.96	39.65
ANGLO AMERICAN PLC ADR CMN (03485P201)	09/16/2010	07/13/2011	11.00	267.18	0.00	215.78	51.40
ANGLO AMERICAN PLC ADR CMN (03485P201)	10/14/2010	07/13/2011	12.00	291.47	0.00	273.90	17.57
ANGLO AMERICAN PLC ADR CMN (03485P201)	11/08/2010	07/13/2011	19.00	461.50	0.00	453.49	8.01
ARCELORMITTAL CMN (03938L 104)	11/08/2010	07/13/2011	2.00	65.76	0.00	68.05	(2.29)
AXA-UAP AMERICAN DEPOSITORY SHARES (054536107)	11/05/2010	07/13/2011	10.00	197.00	0.00	193.99	3.01
BARCLAYS PLC-AMER DEP SHS ADR CMN (06738E204)	07/30/2010	07/13/2011	11.00	162.36	0.00	227.81	(65.45)
BASF SE ADR SPONSORED ADR CMN USD0 7322 (055262505)	10/14/2010	07/13/2011	3.00	288.29	0.00	211.75	76.54

SHORT - TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Short-Term Gains (Losses)							
BNP PARIBAS SPONSORED ADR CMN (05565A202)	09/14/2010	07/13/2011	1.00	32.75	0.00	36.44	(3.69)
BNP PARIBAS SPONSORED ADR CMN (05565A202)	09/15/2010	07/13/2011	2.00	65.50	0.00	72.18	(6.68)
BNP PARIBAS SPONSORED ADR CMN (05565A202)	11/05/2010	07/13/2011	5.00	163.74	0.00	191.60	(27.86)
DEUTSCHE POST AG SPONSORED ADR CMN (25157Y202)	07/08/2011	07/13/2011	9.00	160.74	0.00	168.60	(7.86)
DEUTSCHE POST AG SPONSORED ADR CMN (25157Y202)	05/17/2011	07/13/2011	10.00	178.60	0.00	192.82	(14.23)
DEUTSCHE POST AG SPONSORED ADR CMN (25157Y202)	05/03/2011	07/13/2011	12.00	214.32	0.00	239.25	(24.94)
DEUTSCHE POST AG SPONSORED ADR CMN (25157Y202)	05/13/2011	07/13/2011	15.00	267.89	0.00	292.47	(24.58)
DEUTSCHE POST AG SPONSORED ADR CMN (25157Y202)	04/27/2011	07/13/2011	42.00	750.10	0.00	810.49	(60.39)
ERICSSON (LM) TEL CO ADR CMN CLASS B (294821608)	07/16/2010	07/13/2011	15.00	207.82	0.00	171.77	36.05
ERICSSON (LM) TEL CO ADR CMN CLASS B (294821608)	07/30/2010	07/13/2011	16.00	221.68	0.00	173.32	48.36
ERICSSON (LM) TEL CO ADR CMN CLASS B (294821608)	07/28/2010	07/13/2011	53.00	734.30	0.00	565.49	168.81
FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN (358029106)	12/22/2010	07/13/2011	3.00	221.70	0.00	170.61	51.09
GAFISA, S A SPONSORED ADR CMN (362607301)	11/17/2010	07/13/2011	7.00	60.83	0.00	107.68	(46.85)
GAFISA, S A SPONSORED ADR CMN (362607301)	07/28/2010	07/13/2011	12.00	104.28	0.00	177.05	(72.77)
GAFISA, S A SPONSORED ADR CMN (362607301)	08/06/2010	07/13/2011	19.00	165.11	0.00	268.47	(103.37)
GAFISA, S A SPONSORED ADR CMN (362607301)	11/08/2010	07/13/2011	19.00	165.11	0.00	332.50	(167.40)
HONDA MTR LTD (AMER SHS) ADR CMN (438128308)	08/11/2010	07/13/2011	3.00	120.24	0.00	96.58	23.65
HONDA MTR LTD (AMER SHS) ADR CMN (438128308)	10/01/2010	07/13/2011	4.00	160.32	0.00	144.65	15.67
HONDA MTR LTD (AMER SHS) ADR CMN (438128308)	11/19/2010	07/13/2011	6.00	240.48	0.00	227.16	13.32
HONDA MTR LTD (AMER SHS) ADR CMN (438128308)	12/03/2010	07/13/2011	7.00	280.55	0.00	266.73	13.82
HSBC HOLDINGS PLC SPONSORED ADR CMN (404280406)	11/05/2010	07/13/2011	7.00	340.40	0.00	389.26	(48.86)
IMPERIAL TOBACCO GROUP PLC SPON ADR (453142101)	08/09/2010	07/13/2011	2.00	137.44	0.00	115.99	21.45
IMPERIAL TOBACCO GROUP PLC SPON ADR (453142101)	08/10/2010	07/13/2011	6.00	412.31	0.00	342.10	70.21
ING GROEP N V SPONS ADR SPONSORED ADR CMN (456837103)	11/22/2010	07/13/2011	5.00	55.40	0.00	52.75	2.65

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ^s	Total Accretion (Amortization)	Cost Basis ^a	Total Gain (Loss)
NonCovered Short-Term Gains (Losses)							
ING GROEP N V SPONS ADR SPONSORED ADR CMN (456837103)	11/19/2010	07/13/2011	8 00	88 64	0 00	86 79	1 85
ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN (465562106)	10/14/2010	07/13/2011	6 00	126 30	0 00	154 99	(28 69)
ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN (465562106)	07/30/2010	07/13/2011	8 00	168 40	0 00	176 70	(8 31)
ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN (465562106)	09/03/2010	07/13/2011	9 00	189 45	0 00	197 71	(8 27)
ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN (465562106)	08/06/2010	07/13/2011	12 00	252 59	0 00	260 59	(8 00)
KOMATSU LTD ADR (NEW) SPONSORED GDS CMN (500458401)	07/16/2010	07/13/2011	9 00	283 58	0 00	178 48	105 10
KONINKLIJKE PHILIPS ELECTRS NV ADR CMN (500472303)	10/05/2010	07/13/2011	1 00	24 37	0 00	31 76	(7 39)
KONINKLIJKE PHILIPS ELECTRS NV ADR CMN (500472303)	12/03/2010	07/13/2011	3 00	73 11	0 00	90 29	(17 18)
KONINKLIJKE PHILIPS ELECTRS NV ADR CMN (500472303)	09/10/2010	07/13/2011	4 00	97 48	0 00	120 93	(23 46)
KONINKLIJKE PHILIPS ELECTRS NV ADR CMN (500472303)	09/15/2010	07/13/2011	5 00	121 85	0 00	149 43	(27 58)
KONINKLIJKE PHILIPS ELECTRS NV ADR CMN (500472303)	10/01/2010	07/13/2011	10 00	243 69	0 00	317 44	(73 75)
NATIONAL GRID PLC SPONSORED ADR CMN (636274300)	08/06/2010	07/13/2011	9 00	441 26	0 00	382 08	59 18
NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (641069406)	11/05/2010	07/13/2011	2 00	125 38	0 00	116 12	9 26
ORIX CORPORATION SPONS ADR SPONSORED ADR CMN (686330101)	11/04/2010	07/13/2011	4 00	201 12	0 00	180 59	20 53
PEARSON PLC SPON ADR SPONSORED ADR CMN (705015105)	09/16/2010	07/13/2011	3 00	56 69	0 00	47 48	9 21
PEARSON PLC SPON ADR SPONSORED ADR CMN (705015105)	10/06/2010	07/13/2011	5 00	94 48	0 00	79 87	14 61
PEARSON PLC SPON ADR SPONSORED ADR CMN (705015105)	10/21/2010	07/13/2011	6 00	113 38	0 00	92 95	20 43
PEARSON PLC SPON ADR SPONSORED ADR CMN (705015105)	10/20/2010	07/13/2011	7 00	132 28	0 00	108 46	23 82
PEARSON PLC SPON ADR SPONSORED ADR CMN (705015105)	10/27/2010	07/13/2011	8 00	151 17	0 00	120 36	30 81
PEARSON PLC SPON ADR SPONSORED ADR CMN (705015105)	10/05/2010	07/13/2011	10 00	188 96	0 00	156 95	32 01
PEARSON PLC SPON ADR SPONSORED ADR CMN (705015105)	11/17/2010	07/13/2011	16 00	302 34	0 00	239 17	63 17
PEARSON PLC SPON ADR SPONSORED ADR CMN (705015105)	09/17/2010	07/13/2011	31 00	585 79	0 00	489 75	96 04
PETROLEO BRASILEIRO S A SPON ADR (71654V101)	11/04/2010	07/13/2011	7 00	210 21	0 00	232 32	(22 12)
RIO TINTO PLC SPONSORED ADR (767204100)	12/22/2010	07/13/2011	3 00	213 03	0 00	212 62	0 41
RIO TINTO PLC SPONSORED ADR (767204100)	11/08/2010	07/13/2011	11 00	781 09	0 00	771 76	9 33

SHORT - TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Short-Term Gains (Losses)							
SEADRILL LTD CMN (G7945E105)	12/23/2010	07/13/2011	18 00	633 58	0 00	601 67	31 91
SILICONWARE PRECISION IND CO L SPONSORED ADR (827084864)	10/27/2010	07/13/2011	44 00	238 70	0 00	231 63	7 07
SONY CORPORATION ADR CMN (835699307)	09/10/2010	07/13/2011	4 00	108 32	0 00	119 32	(11 00)
SONY CORPORATION ADR CMN (835699307)	12/03/2010	07/13/2011	9 00	243 71	0 00	326 08	(82 37)
SONY CORPORATION ADR CMN (835699307)	11/19/2010	07/13/2011	10 00	270 79	0 00	354 01	(83 22)
SUMITOMO CORPORATION SPONSORED ADR CMN (865613103)	08/06/2010	07/13/2011	1 00	13 84	0 00	11 82	2 02
SUMITOMO CORPORATION SPONSORED ADR CMN (865613103)	11/09/2010	07/13/2011	2 00	27 88	0 00	27 58	0 10
SUMITOMO CORPORATION SPONSORED ADR CMN (865613103)	09/15/2010	07/13/2011	3 00	41 52	0 00	37 88	3 64
SUMITOMO CORPORATION SPONSORED ADR CMN (865613103)	09/14/2010	07/13/2011	5 00	69 20	0 00	64 60	4 60
SUMITOMO CORPORATION SPONSORED ADR CMN (865613103)	09/16/2010	07/13/2011	5 00	69 20	0 00	62 84	6 36
SUMITOMO CORPORATION SPONSORED ADR CMN (865613103)	09/03/2010	07/13/2011	6 00	83 04	0 00	71 33	11 71
SUMITOMO CORPORATION SPONSORED ADR CMN (865613103)	08/09/2010	07/13/2011	13 00	179 92	0 00	154 30	25 62
SUMITOMO CORPORATION SPONSORED ADR CMN (865613103)	09/08/2010	07/13/2011	34 00	470 55	0 00	412 98	57 57
SYNGENTA AG SPONSORED ADR CMN (87160A100)	10/08/2010	07/13/2011	9 00	610 13	0 00	464 97	145 16
TELECOM ITALIA SPA SPONSORED ADR CMN (87927Y102)	12/22/2010	07/13/2011	2 00	24 52	0 00	25 71	(1 19)
TELECOM ITALIA SPA SPONSORED ADR CMN (87927Y102)	12/23/2010	07/13/2011	5 00	61 30	0 00	65 07	(3 77)
TELECOM ITALIA SPA SPONSORED ADR CMN (87927Y102)	07/28/2010	07/13/2011	14 00	171 84	0 00	181 95	(10 32)
TELECOM ITALIA SPA SPONSORED ADR CMN (87927Y102)	07/29/2010	07/13/2011	14 00	171 84	0 00	184 66	(13 02)
TELECOM ITALIA SPA SPONSORED ADR CMN (87927Y102)	08/11/2010	07/13/2011	32 00	392 31	0 00	426 35	(34 04)
TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN (881575302)	08/09/2010	07/13/2011	23 00	453 55	0 00	446 78	6 78
TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN (881575302)	08/10/2010	07/13/2011	24 00	473 27	0 00	458 72	14 55
TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN (881575302)	08/11/2010	07/13/2011	25 00	492 99	0 00	474 50	18 49
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	11/04/2010	07/13/2011	2 00	98 62	0 00	102 14	(3 52)
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	12/03/2010	07/13/2011	2 00	98 62	0 00	97 23	1 39
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	07/28/2010	07/13/2011	8 00	394 47	0 00	392 58	1 89

* Some amounts have increased by amounts of market discount and/or minimal reinvestment. This increase or decrease of basis assumes the market has adjusted to include market discount in periods currently and/or

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Short-Term Gains (Losses)							
VINCI S A ADR CMN (927320101)	11/05/2010	07/13/2011	24.00	345.83	0.00	334.74	11.09
VODAFONE GROUP PLC SPONSORED ADR CMN (92857W209)	12/22/2010	07/13/2011	3.00	78.24	0.00	79.15	(0.91)
VODAFONE GROUP PLC SPONSORED ADR CMN (92857W209)	10/27/2010	07/13/2011	6.00	156.48	0.00	158.75	(2.27)
XSTRATA PLC ADR CMN (98418K105)	09/14/2010	07/13/2011	14.00	61.18	0.00	50.45	10.73
XSTRATA PLC ADR CMN (98418K105)	09/15/2010	07/13/2011	29.00	126.73	0.00	102.96	23.77
DBS GROUP HOLDINGS SPONSORED ADR CMN (23304Y100)	11/08/2010	07/14/2011	1.00	48.16	0.00	43.96	4.20
DBS GROUP HOLDINGS SPONSORED ADR CMN (23304Y100)	11/09/2010	07/14/2011	3.00	144.48	0.00	131.70	12.78
SYNGENTA AG SPONSORED ADR CMN (87160A100)	10/08/2010	07/14/2011	2.00	134.61	0.00	103.33	31.28
SYNGENTA AG SPONSORED ADR CMN (87160A100)	10/14/2010	07/14/2011	3.00	201.91	0.00	167.92	33.99
SYNGENTA AG SPONSORED ADR CMN (87160A100)	10/27/2010	07/14/2011	5.00	338.52	0.00	272.05	64.47
SYNGENTA AG SPONSORED ADR CMN (87160A100)	11/08/2010	07/14/2011	6.00	403.82	0.00	341.45	62.37
SYNGENTA AG SPONSORED ADR CMN (87160A100)	11/01/2010	07/14/2011	7.00	471.13	0.00	376.67	94.46
Net NonCovered Short-Term Gains (Losses)				53,161.57	0.00	50,582.00	2,479.58

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
ENI S P A SPON ADR SPONSORED ADR CMN (26874R108)	04/15/2010	04/20/2011	5.00	250.34	0.00	241.85	8.49
COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADR (20441A102)	04/15/2010	04/26/2011	2.00	119.84	0.00	81.11	38.73
ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN (46556Z106)	04/15/2010	04/26/2011	6.00	147.56	0.00	136.08	11.48
SECOM LTD (ADR) ADR CMN (813113206)	04/15/2010	04/27/2011	34.00	409.89	0.00	373.87	36.02
DAIMLER AG CMN ISIN DE0007100000 (D1668R123)	04/15/2010	04/29/2011	1.00	76.92	0.00	50.32	26.61
HSBC HOLDINGS PLC SPONSORED ADR CMN (404280406)	04/15/2010	04/29/2011	10.00	543.84	0.00	550.80	(6.96)

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
KOMATSU LTD ADR (NEW) SPONSORED GDS CMN (500458401)	04/27/2010	04/29/2011	1 00	35 34	0 00	20 51	14 83
KUBOTA CORP ADR ADR CMN (501173207)	04/15/2010	04/29/2011	3 00	142 70	0 00	140 20	2 50
DAIMLER AG CMN (ISIN DE0007100000 (D1668R123)	04/15/2010	05/02/2011	7 00	544 59	0 00	352 21	192 39
KOMATSU LTD ADR (NEW) SPONSORED GDS CMN (500458401)	04/27/2010	05/02/2011	2 00	71 67	0 00	41 03	30 65
KUBOTA CORP ADR ADR CMN (501173207)	04/15/2010	05/02/2011	8 00	379 17	0 00	373 87	5 30
SECOM LTD (ADR) ADR CMN (813113206)	04/15/2010	05/02/2011	56 00	704 67	0 00	615 79	88 88
SUMITOMO CORPORATION SPONSORED ADR CMN (865613103)	04/16/2010	05/02/2011	12 00	164 74	0 00	147 47	17 27
BG GROUP PLC SPON ADR ADR CMN (055434203)	04/15/2010	05/03/2011	2 00	246 52	0 00	179 55	66 97
KOMATSU LTD ADR (NEW) SPONSORED GDS CMN (500458401)	04/27/2010	05/03/2011	2 00	71 21	0 00	41 03	30 19
BG GROUP PLC SPON ADR ADR CMN (055434203)	04/15/2010	05/04/2011	3 00	361 94	0 00	269 33	92 61
KOMATSU LTD ADR (NEW) SPONSORED GDS CMN (500458401)	04/27/2010	05/04/2011	4 00	141 93	0 00	82 05	59 88
ENI S.P.A SPON ADR SPONSORED ADR CMN (26874R108)	04/15/2010	05/05/2011	16 00	810 86	0 00	773 92	36 94
PETROLEO BRASILEIRO S.A. SPON ADR (71654V101)	04/15/2010	05/05/2011	5 00	156 58	0 00	193 50	(36 92)
AXA-UAP AMERICAN DEPOSITORY SHARES (054536107)	04/15/2010	05/12/2011	13 00	273 59	0 00	307 45	(33 86)
BG GROUP PLC SPON ADR ADR CMN (055434203)	04/15/2010	05/12/2011	2 00	218 79	0 00	179 55	39 24
COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN (204448104)	04/15/2010	05/12/2011	2 00	78 36	0 00	64 99	13 38
KUBOTA CORP ADR ADR CMN (501173207)	04/15/2010	05/12/2011	4 00	181 91	0 00	186 94	(5 03)
KUBOTA CORP ADR ADR CMN (501173207)	04/15/2010	05/13/2011	5 00	223 29	0 00	233 67	(10 38)
PETROLEO BRASILEIRO S.A. SPON ADR (71654V101)	04/15/2010	05/13/2011	7 00	204 92	0 00	270 90	(65 98)
BNP PARIBAS SPONSORED ADR CMN (05565A202)	05/11/2010	05/16/2011	3 00	112 60	0 00	99 61	12 99
BNP PARIBAS SPONSORED ADR CMN (05565A202)	05/10/2010	05/16/2011	9 00	337 81	0 00	304 92	32 89
KUBOTA CORP ADR ADR CMN (501173207)	04/15/2010	06/01/2011	2 00	88 65	0 00	93 47	(4 82)
KOMATSU LTD ADR (NEW) SPONSORED GDS CMN (500458401)	04/27/2010	06/07/2011	1 00	29 14	0 00	20 51	8 63
KOMATSU LTD ADR (NEW) SPONSORED GDS CMN (500458401)	04/29/2010	06/07/2011	3 00	87 42	0 00	60 65	26 77

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
KOMATSU LTD ADR (NEW) SPONSORED GDS CMN (500458401)	04/30/2010	06/07/2011	5.00	145.70	0.00	101.79	43.91
KOMATSU LTD ADR (NEW) SPONSORED GDS CMN (500458401)	04/28/2010	06/07/2011	8.00	233.12	0.00	161.20	71.92
BASF SE ADR SPONSORED ADR CMN USD0 7322 (055262505)	04/15/2010	06/13/2011	4.00	363.79	0.00	253.16	110.63
COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADR (20441A102)	04/15/2010	06/13/2011	4.00	234.10	0.00	162.22	71.88
VINCI S A ADR CMN (927320101)	04/15/2010	06/13/2011	9.00	135.77	0.00	137.03	(1.26)
FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN (358029106)	04/15/2010	06/17/2011	3.00	216.04	0.00	168.62	47.42
MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN (806822104)	04/15/2010	06/17/2011	32.00	142.75	0.00	177.28	(34.53)
MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN (806822104)	05/05/2010	06/17/2011	117.00	521.95	0.00	589.38	(67.44)
SONY CORPORATION ADR CMN (835699307)	04/15/2010	06/17/2011	15.00	374.84	0.00	552.15	(177.51)
SUMITOMO CORPORATION SPONSORED ADR CMN (865613103)	04/16/2010	06/20/2011	26.00	331.83	0.00	319.52	12.32
DAIMLER AG CMN ISIN DE0007100000 (D1668R123)	04/15/2010	06/21/2011	3.00	210.09	0.00	150.95	59.15
SILICONWARE PRECISION IND CO L SPONSORED ADR (827084864)	04/16/2010	06/21/2011	30.00	184.19	0.00	197.29	(13.10)
ANGLO AMERICAN PLC ADR CMN (03485P201)	04/27/2010	06/22/2011	6.00	141.05	0.00	131.63	9.42
BASF SE ADR SPONSORED ADR CMN USD0 7322 (055262505)	04/15/2010	06/23/2011	3.00	271.88	0.00	189.87	82.01
SUMITOMO CORPORATION SPONSORED ADR CMN (865613103)	04/16/2010	06/24/2011	17.00	223.59	0.00	208.91	14.68
INTESA SANPAOLO SPONSORED ADR CMN (46115H107)		06/30/2011	0.00	61.14	0.00	No Cost	61.14*
WPP PLC ADR CMN (92933H101)	05/05/2010	07/08/2011	1.00	60.42	0.00	50.64	9.78
WPP PLC ADR CMN (92933H101)	05/24/2010	07/08/2011	1.00	60.42	0.00	45.65	14.77
WPP PLC ADR CMN (92933H101)	05/18/2010	07/08/2011	4.00	241.67	0.00	192.61	49.06
WPP PLC ADR CMN (92933H101)	05/26/2010	07/11/2011	1.00	58.54	0.00	45.63	12.91
WPP PLC ADR CMN (92933H101)	05/24/2010	07/11/2011	2.00	117.08	0.00	91.30	25.78
ANHEUSER-BUSCH INBEV S A SPONSORED ADR CMN (03524A108)	05/24/2010	07/13/2011	3.00	164.43	0.00	143.80	20.63

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
ANHEUSER-BUSCH INBEV S.A. SPONSORED ADR CMN (03524A108)	06/10/2010	07/13/2011	4.00	219.24	0.00	195.86	23.38
ANHEUSER-BUSCH INBEV S.A. SPONSORED ADR CMN (03524A108)	06/15/2010	07/13/2011	4.00	219.24	0.00	205.93	13.31
ANHEUSER-BUSCH INBEV S.A. SPONSORED ADR CMN (03524A108)	06/23/2010	07/13/2011	6.00	328.85	0.00	300.80	28.05
ANHEUSER-BUSCH INBEV S.A. SPONSORED ADR CMN (03524A108)	07/01/2010	07/13/2011	7.00	383.66	0.00	342.15	41.51
ARCELORMITTAL CMN (03938L104)	04/15/2010	07/13/2011	22.00	723.34	0.00	956.91	(233.57)
AXA-UAP AMERICAN DEPOSITARY SHARES (054536107)	04/15/2010	07/13/2011	7.00	137.90	0.00	165.55	(27.65)
AXA-UAP AMERICAN DEPOSITARY SHARES (054536107)	07/12/2010	07/13/2011	25.00	492.49	0.00	424.22	68.27
BARCLAYS PLC-AMER DEP SHS ADR CMN (06738E204)	04/21/2010	07/13/2011	15.00	221.40	0.00	335.22	(113.83)
BARCLAYS PLC-AMER DEP SHS ADR CMN (06738E204)	04/15/2010	07/13/2011	62.00	915.10	0.00	1,469.30	(554.21)
BASF SE ADR SPONSORED ADR CMN USD0 7322 (055262505)	04/15/2010	07/13/2011	8.00	768.78	0.00	506.32	262.46
BG GROUP PLC SPON ADR CMN (055434203)	04/15/2010	07/13/2011	12.00	1,378.29	0.00	1,077.32	300.97
BNP PARIBAS SPONSORED ADR CMN (05565A202)	05/11/2010	07/13/2011	1.00	32.75	0.00	33.20	(0.46)
BNP PARIBAS SPONSORED ADR CMN (05565A202)	05/12/2010	07/13/2011	5.00	163.74	0.00	167.76	(4.02)
BNP PARIBAS SPONSORED ADR CMN (05565A202)	05/14/2010	07/13/2011	9.00	294.73	0.00	266.75	27.98
BNP PARIBAS SPONSORED ADR CMN (05565A202)	05/13/2010	07/13/2011	10.00	327.47	0.00	326.76	0.71
COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADR (20441A102)	06/29/2010	07/13/2011	3.00	178.65	0.00	124.32	54.33
COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADR (20441A102)	04/15/2010	07/13/2011	7.00	416.84	0.00	283.88	132.96
CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN (225401108)	04/15/2010	07/13/2011	21.00	786.85	0.00	1,118.42	(331.57)
DAIMLER AG CMN/ISIN DE0007100000 (D1668R123)	04/15/2010	07/13/2011	10.00	747.88	0.00	503.15	244.73
ERICSSON (LM) TEL CO ADR CMN CLASS B (294821608)	07/01/2010	07/13/2011	3.00	41.56	0.00	32.58	8.99
ERICSSON (LM) TEL CO ADR CMN CLASS B (294821608)	07/12/2010	07/13/2011	22.00	304.81	0.00	242.62	62.19
FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN (358029106)	04/15/2010	07/13/2011	5.00	369.49	0.00	281.03	88.46

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total Accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
NATIONAL GRID PLC SPONSORED ADR CMN (636274300)	06/24/2010	07/13/2011	5 00	245 15	0 00	189 84	55 51
NATIONAL GRID PLC SPONSORED ADR CMN (636274300)	06/16/2010	07/13/2011	6 00	294 17	0 00	231 11	63 06
NATIONAL GRID PLC SPONSORED ADR CMN (636274300)	06/23/2010	07/13/2011	7 00	343 20	0 00	265 80	77 40
NATIONAL GRID PLC SPONSORED ADR CMN (636274300)	06/29/2010	07/13/2011	10 00	490 29	0 00	373 91	116 38
NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (641069406)	06/10/2010	07/13/2011	2 00	125 38	0 00	94 73	30 65
NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (641069406)	05/18/2010	07/13/2011	3 00	188 07	0 00	141 56	46 51
NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (641069406)	06/23/2010	07/13/2011	3 00	188 07	0 00	142 01	46 06
NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (641069406)	04/15/2010	07/13/2011	4 00	250 75	0 00	202 92	47 83
NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (641069406)	05/17/2010	07/13/2011	4 00	250 75	0 00	187 92	62 83
NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (641069406)	06/29/2010	07/13/2011	7 00	438 82	0 00	338 48	100 34
ORIX CORPORATION SPONS ADR SPONSORED ADR CMN (686330101)	07/12/2010	07/13/2011	7 00	351 95	0 00	257 02	94 93
ORIX CORPORATION SPONS ADR SPONSORED ADR CMN (686330101)	04/15/2010	07/13/2011	11 00	553 07	0 00	489 85	53 21
PETROLEO BRASILEIRO S A SPON ADR (71854V101)	04/15/2010	07/13/2011	12 00	360 35	0 00	464 40	(104 05)
PRUDENTIAL CORP (ADR) ADR CMN (74435K204)	04/15/2010	07/13/2011	73 00	1,849 25	0 00	1,321 50	327 75
REED ELSEVIER GROUP PLC SPONSORED ADR CMN (758205207)	06/29/2010	07/13/2011	6 00	213 30	0 00	179 55	33 74
REED ELSEVIER GROUP PLC SPONSORED ADR CMN (758205207)	04/15/2010	07/13/2011	17 00	604 34	0 00	562 98	41 35
SAP AG (SPON ADR) (803054204)	04/30/2010	07/13/2011	12 00	709 31	0 00	580 06	129 25
SAP AG (SPON ADR) (803054204)	04/15/2010	07/13/2011	19 00	1,123 07	0 00	941 07	182 00
SILICONWARE PRECISION IND CO L SPONSORED ADR (827084864)	04/16/2010	07/13/2011	77 00	417 72	0 00	506 37	(88 65)
SONY CORPORATION ADR CMN (835699307)	04/15/2010	07/13/2011	2 00	54 16	0 00	73 62	(19 46)
SUMITOMO CORPORATION SPONSORED ADR CMN (865613103)	04/16/2010	07/13/2011	26 00	359 83	0 00	319 52	40 32
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	05/05/2010	07/13/2011	1 00	49 31	0 00	59 43	(10 12)
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	05/10/2010	07/13/2011	2 00	98 62	0 00	118 24	(19 62)
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	06/10/2010	07/13/2011	2 00	98 62	0 00	104 21	(5 59)
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	06/29/2010	07/13/2011	5 00	246 54	0 00	256 83	(10 09)

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total Accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
GAFISA, S A SPONSORED ADR CMN (362807301)	05/03/2010	07/13/2011	9 00	78 21	0 00	128 32	(50 11)
GAFISA, S A SPONSORED ADR CMN (362807301)	07/12/2010	07/13/2011	13 00	112 97	0 00	177 57	(64 60)
GLAXOSMITHKLINE PLC SPONSORED ADR CMN (37733W105)	06/15/2010	07/13/2011	1 00	43 50	0 00	35 86	7 64
GLAXOSMITHKLINE PLC SPONSORED ADR CMN (37733W105)	06/30/2010	07/13/2011	6 00	260 99	0 00	206 03	54 96
HENKEL AG AND CO KGAA SPONSORED ADR REPSGT ORDINARY SHRS (42550U109)	04/15/2010	07/13/2011	31 00	1,729 76	0 00	1,444 60	285 16
HSBC HOLDINGS PLC SPONSORED ADR CMN (404280406)	04/27/2010	07/13/2011	3 00	145 89	0 00	154 66	(8 77)
HSBC HOLDINGS PLC SPONSORED ADR CMN (404280406)	05/10/2010	07/13/2011	5 00	243 14	0 00	250 31	(7 17)
HSBC HOLDINGS PLC SPONSORED ADR CMN (404280406)	04/15/2010	07/13/2011	19 00	923 95	0 00	1,046 52	(122 57)
IMPERIAL TOBACCO GROUP PLC SPON ADR (453142101)	06/29/2010	07/13/2011	1 00	68 72	0 00	56 40	12 32
IMPERIAL TOBACCO GROUP PLC SPON ADR (453142101)	05/24/2010	07/13/2011	2 00	137 44	0 00	103 52	33 92
IMPERIAL TOBACCO GROUP PLC SPON ADR (453142101)	05/25/2010	07/13/2011	2 00	137 44	0 00	101 04	36 40
IMPERIAL TOBACCO GROUP PLC SPON ADR (453142101)	07/01/2010	07/13/2011	4 00	274 87	0 00	224 64	50 23
IMPERIAL TOBACCO GROUP PLC SPON ADR (453142101)	07/02/2010	07/13/2011	4 00	274 87	0 00	225 23	49 64
IMPERIAL TOBACCO GROUP PLC SPON ADR (453142101)	04/15/2010	07/13/2011	11 00	755 90	0 00	677 38	78 52
ING GROEP N V SPONS ADR SPONSORED ADR CMN (456837103)	04/15/2010	07/13/2011	132 00	1,462 52	0 00	1,402 70	59 82
INTESA SANPAOLO SPONSORED ADR CMN (46115H107)	04/15/2010	07/13/2011	35 00	486 14	0 00	824 25	(338 11)
KOMATSU LTD ADR (NEW) SPONSORED GDS CMN (500458401)	04/30/2010	07/13/2011	4 00	126 04	0 00	81 43	44 61
KOMATSU LTD ADR (NEW) SPONSORED GDS CMN (500458401)	05/04/2010	07/13/2011	4 00	126 04	0 00	80 76	45 28
KOMATSU LTD ADR (NEW) SPONSORED GDS CMN (500458401)	05/03/2010	07/13/2011	7 00	220 57	0 00	143 02	77 55
KOMATSU LTD ADR (NEW) SPONSORED GDS CMN (500458401)	06/10/2010	07/13/2011	7 00	220 57	0 00	126 93	93 64
KONINKLIJKE AHOLD N V SPONSORED ADR CMN (500467402)	05/18/2010	07/13/2011	7 00	91 49	0 00	89 37	2 12
KONINKLIJKE AHOLD N V SPONSORED ADR CMN (500467402)	06/10/2010	07/13/2011	7 00	91 49	0 00	90 91	0 58
KONINKLIJKE AHOLD N V SPONSORED ADR CMN (500467402)	06/16/2010	07/13/2011	9 00	117 63	0 00	116 91	0 72
KONINKLIJKE AHOLD N V SPONSORED ADR CMN (500467402)	05/21/2010	07/13/2011	15 00	196 05	0 00	189 39	6 66

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ³	Total Accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
TULLOW OIL PLC ADR CMN (899415202)	06/23/2010	07/13/2011	1 00	10 43	0 00	8 63	1 80
TULLOW OIL PLC ADR CMN (899415202)	05/03/2010	07/13/2011	3 00	31 29	0 00	26 83	4 46
TULLOW OIL PLC ADR CMN (899415202)	06/17/2010	07/13/2011	3 00	31 29	0 00	26 10	5 19
TULLOW OIL PLC ADR CMN (899415202)	06/24/2010	07/13/2011	9 00	93 87	0 00	74 89	18 98
TULLOW OIL PLC ADR CMN (899415202)	06/16/2010	07/13/2011	10 00	104 30	0 00	88 23	16 07
TULLOW OIL PLC ADR CMN (899415202)	04/30/2010	07/13/2011	11 00	114 73	0 00	97 46	17 27
TULLOW OIL PLC ADR CMN (899415202)	05/04/2010	07/13/2011	12 00	125 16	0 00	101 07	24 09
TULLOW OIL PLC ADR CMN (899415202)	05/24/2010	07/13/2011	12 00	125 16	0 00	90 99	34 17
TULLOW OIL PLC ADR CMN (899415202)	07/12/2010	07/13/2011	16 00	166 88	0 00	139 04	27 84
VINCI S A ADR CMN (927320101)	04/15/2010	07/13/2011	67 00	965 45	0 00	1,020 13	(54 68)
VODAFONE GROUP PLC SPONSORED ADR CMN (92857W209)	06/10/2010	07/13/2011	4 00	104 32	0 00	81 63	22 69
VODAFONE GROUP PLC SPONSORED ADR CMN (92857W209)	05/24/2010	07/13/2011	12 00	312 95	0 00	226 71	86 24
VODAFONE GROUP PLC SPONSORED ADR CMN (92857W209)	04/15/2010	07/13/2011	50 00	1,303 97	0 00	1,185 50	118 47
WPP PLC ADR CMN (92933H101)	05/26/2010	07/13/2011	2 00	118 24	0 00	91 27	26 97
WPP PLC ADR CMN (92933H101)	06/10/2010	07/13/2011	4 00	236 47	0 00	201 58	34 89
XSTRATA PLC ADR CMN (98418K105)	04/27/2010	07/13/2011	88 00	384 55	0 00	315 50	69 05
XSTRATA PLC ADR CMN (98418K105)	04/16/2010	07/13/2011	188 00	821 54	0 00	737 77	83 78
DBS GROUP HOLDINGS SPONSORED ADR CMN (23304Y100)	04/16/2010	07/14/2011	30 00	1,444 77	0 00	1,363 88	80 89
Net NonCovered Long-Term Gains (Losses)				45,689.69	0.00	42,185.32	3,504.40

THE IRVING SIEGEL CHARITABLE FOUNDATION
EIN 11-6465350
For The Year Ended 12/31/2011

Contributions, Gifts Grants Paid During the Year

Form 990-PF, Part XV, Line 3a

RECIPIENT: NAME AND ADDRESS	RELATIONSHIP TO ANY FOUNDATION MANAGER/SUBSTANTIAL CONTRIBUTOR	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT	AMOUNT
American Friends of the Paris Opera & Ballet 5th Ave, New York, NY 10075	972 None	501 (c) (3)-public Charity	French-American Culture	\$ 10,000 00
ASCENT FUNDING ORGANIZATION PO Box 296, MANHASSET, NY 11030	None	501 (c) (3)-public Charity	Autism Education	\$ 1,000 00
ASCENT FUNDING ORGANIZATION PO Box 296, MANHASSET, NY 11030	None	501 (c) (3)-public Charity	Autism Education	\$ 4,000 00
ASCENT FUNDING ORGANIZATION PO Box 296, MANHASSET, NY 11030	None	501 (c) (3)-public Charity	Autism Education	\$ 1,000 00
ASCENT SCHOOL FOR AUTISM 819 Grand Blvd, DEER PARK, NY 11729	None	501 (c) (3)-public Charity	Autism Education	\$ 1,000 00
AUTISM SPEAKS 1 East 33rd Street, New York, NY 10016	None	501 (c) (3)-public Charity	Autism Research	\$ 1,000 00
CHABAD OF SOUTHAMPTON 214 Hill Street, SOUTHAMPTON, NY 11968	None	501 (c) (3)-public Charity	Religious Institution	\$ 540 00
CHABAD OF SOUTHAMPTON 214 Hill Street, SOUTHAMPTON, NY 11968	None	501 (c) (3)-public Charity	Religious Institution	\$ 1,000 00
CHEMOTHERAPY FOUNDATION 40 East 34th Street, NEW YORK, NY 10016	None	501 (c) (3)-public Charity	Medical Research	\$ 300 00
FISHER ISLAND PHILANTHROPIC FUND 7957 Fisher Island Drive, MIAMI, FL 33109	None	501 (c) (3)-public Charity	Recreation & Sports	\$ 100 00
FISHER ISLAND PHILANTHROPIC FUND 7957 Fisher Island Drive, MIAMI, FL 33109	None	501 (c) (3)-public Charity	Recreation & Sports	\$ 400 00
FISHER ISLAND PHILANTHROPIC FUND 7957 Fisher Island Drive, MIAMI, FL 33109	None	501 (c) (3)-public Charity	Recreation & Sports	\$ 500 00
LITTLE FLOWER CHILDREN SERVICES 2450 N Wading River Rd, Wading River, NY 11792	None	501 (c) (3)-public Charity	Children & Youth Services	\$ 450 00
MIAMI CITY BALLET 2200 Liberty Ave, Miami Beach, FL 33139	None	501 (c) (3)-public Charity	Dance Education	\$ 5,000 00
MIAMI CITY BALLET 2200 Liberty Ave, Miami Beach, FL 33139	None	501 (c) (3)-public Charity	Dance Education	\$ 1,277 50
Montefiore Medical Center 111 East 210th Street, Bronx, NY 10467	None	501 (c) (3)-public Charity	Medical Research	\$ 1,000 00
Northside Center for Child Development 5th Ave, NEW YORK, NY 10029	1301 None	501 (c) (3)-public Charity	Child Development	\$ 4,000 00
Peconic Bay Medical Center 1300 Roanoke Ave, Riverhead, NY 11901	None	501 (c) (3)-public Charity	Medical	\$ 900 00
SID JACOBSON JCC 300 Forest Drive, GREENVALE, NY 11548	None	501 (c) (3)-public Charity	Community Center	\$ 3,000 00
SID JACOBSON JCC 300 Forest Drive, GREENVALE, NY 11548	None	501 (c) (3)-public Charity	Community Center	\$ 475 00
HILLEL FOUNDATION LOCUST VALLEY, NY 11560	None	501 (c) (3)-public Charity	Celebrate Jewish Identity	\$ 500 00
HILLEL FOUNDATION LOCUST VALLEY, NY 11560	None	501 (c) (3)-public Charity	Celebrate Jewish Identity	\$ 500 00
JEWISH INSTITUTE FOR NATIONAL SECURITY 1307 New York Ave NW, Washington, DC 20005	None	501 (c) (3)-public Charity	National Security	\$ 5,000 00
				<u>\$ 42,942 50</u>