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Form 990-PF

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2011

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011, or tax year beginning 01-01-2011 , and ending 12-31-2011

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

Name of foundation
JESSE & DOROTHY HARTMAN FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)
30 COMMERCE ROAD NO 100

Room/suite

City or town, state, and ZIP code
STAMFORD, CT 06902

A Employer identification number
06-6044501

B Telephone number (see page 10 of the instructions)
(203) 708-8500

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 508,090

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	120,000			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	52	52		
	4 Dividends and interest from securities.	14,119	14,119		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	14,933			
	b Gross sales price for all assets on line 6a _____ 257,955				
	7 Capital gain net income (from Part IV , line 2)		14,933		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	149,104	29,104		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).				
	b Accounting fees (attach schedule).	10,000	10,000		0
	c Other professional fees (attach schedule)	14,044	14,044		0
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)	32	32		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule).	102	2		100
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	24,178	24,078		100
	25 Contributions, gifts, grants paid	204,100			204,100
	26 Total expenses and disbursements. Add lines 24 and 25	228,278	24,078		204,200
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-79,174			
	b Net investment income (if negative, enter -0-)		5,026		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	136,317	103,428	103,428
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	451,353	405,068	404,662
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
Liabilities	15	Other assets (describe ▶ _____)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	587,670	508,496	508,090
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
Net Assets or Fund Balances	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	587,670	508,496	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	0	0	
	30	Total net assets or fund balances (see page 17 of the instructions)	587,670	508,496	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	587,670	508,496	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	587,670
2	Enter amount from Part I, line 27a	2	-79,174
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	508,496
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	508,496

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	14,933
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	121,100	563,209	0 215018
2009	131,100	571,711	0 229312
2008	106,567	778,159	0 136948
2007	104,821	902,280	0 116173
2006	132,721	926,012	0 143325


2	Total of line 1, column (d).	2	0 840776
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 168155
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5.	4	523,381
5	Multiply line 4 by line 3.	5	88,009
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	50
7	Add lines 5 and 6.	7	88,059
8	Enter qualifying distributions from Part XII, line 4.	8	204,200

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1				
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)				
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	50	
c		All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0	
3		Add lines 1 and 2.		3	50	
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0	
5		Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	50	
6		Credits/Payments				
a		2011 estimated tax payments and 2010 overpayment credited to 2011	6a	696		
b		Exempt foreign organizations—tax withheld at source	6b			
c		Tax paid with application for extension of time to file (Form 8868)	6c			
d		Backup withholding erroneously withheld	6d			
7		Total credits and payments. Add lines 6a through 6d.		7	696	
8		Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.		8		
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9		
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	646	
11		Enter the amount of line 10 to be Credited to 2012 estimated tax <input checked="" type="checkbox"/>	646	Refunded <input checked="" type="checkbox"/>	11	0

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input checked="" type="checkbox"/> \$ 0 (2) On foundation managers <input checked="" type="checkbox"/> \$ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <input checked="" type="checkbox"/> NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 	10	Yes	

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Yes	
14	The books are in care of JESSE DOROTHY HARTMAN FOUNDATION Telephone no (203) 708-8500 Located at 30 COMMERCE ROAD SUITE 100 STAMFORD CT ZIP+4 06902			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year.			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	15		
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country	16	Yes	No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>	5b	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870.</i>		6b	No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARGOT HARTMAN TENNEY 30 COMMERCE ROAD SUITE 100 STAMFORD, CT 06902	CHAIRPERSON 1 00	0	0	0
IAN CALDERON 125 EAST 71ST STREET NEW YORK, NY 10021	PRESIDENT/ TREASURER 1 00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	0
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NOT APPLICABLE	0
2	
All other program-related investments. See page 24 of the instructions.	
3	0
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	453,732
b	Average of monthly cash balances.	1b	77,619
c	Fair market value of all other assets (see page 24 of the instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	531,351
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	531,351
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions).	4	7,970
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	523,381
6	Minimum investment return. Enter 5% of line 5.	6	26,169

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	26,169
2a	Tax on investment income for 2011 from Part VI, line 5.	2a	50
b	Income tax for 2011 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	50
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	26,119
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	26,119
6	Deduction from distributable amount (see page 25 of the instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	26,119

Part XII

Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	204,200
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	204,200
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions).	5	50
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	204,150
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1		Distributable amount for 2011 from Part XI, line 7			
2		Undistributed income, if any, as of the end of 2011			
a		Enter amount for 2010 only.			
b		Total for prior years 20__, 20__, 20__			
3		Excess distributions carryover, if any, to 2011			
a		From 2006.			
b		From 2007.			
c		From 2008.			
d		From 2009.			
e		From 2010.			
f		Total of lines 3a through e.			
4		Qualifying distributions for 2011 from Part XII, line 4 \$ 204,200			
a		Applied to 2010, but not more than line 2a			
b		Applied to undistributed income of prior years (Election required—see page 26 of the instructions)			
c		Treated as distributions out of corpus (Election required—see page 26 of the instructions).			
d		Applied to 2011 distributable amount.			
e		Remaining amount distributed out of corpus			
5		Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)			
6		Enter the net total of each column as indicated below:			
a		Corpus Add lines 3f, 4c, and 4e Subtract line 5			
b		Prior years' undistributed income Subtract line 4b from line 2b.			
c		Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			
d		Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions.			
e		Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions.			
f		Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011.			
7		Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions).			
8		Excess distributions carryover from 2006 not applied on line 5 or line 7 (see page 27 of the instructions).			
9		Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a.			
10		Analysis of line 9			
a		Excess from 2007.			
b		Excess from 2008.			
c		Excess from 2009.			
d		Excess from 2010.			
e		Excess from 2011.			

Part XIV

Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2011	(b) 2010	(c) 2009	(d) 2008	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

MARGOT HARTMAN TENNEY

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	204,100
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments. . . .					
3	Interest on savings and temporary cash investments			14	52	
4	Dividends and interest from securities. . . .			14	14,119	
5	Net rental income or (loss) from real estate					
a	Debt-financed property.					
b	Not debt-financed property.					
6	Net rental income or (loss) from personal property					
7	Other investment income.					
8	Gain or (loss) from sales of assets other than inventory			18	14,933	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory. .					
11	Other revenue a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal. Add columns (b), (d), and (e). .		0		29,104	0
13	Total. Add line 12, columns (b), (d), and (e).			13		29,104

[illegible]

Part XVII

1

(a)

2.

1

4.

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2011

Name of organization JESSE & DOROTHY HARTMAN FOUNDATION	Employer identification number 06-6044501
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Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	<input type="checkbox"/> 501(c)() (enter number) organization
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	<input type="checkbox"/> 527 political organization
Form 990-PF	<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation
	<input type="checkbox"/> 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule—

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An Organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2 of its Form 990, or check the box in the heading of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization JESSE & DOROTHY HARTMAN FOUNDATION	Employer identification number 06-6044501
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Part I Contributors (see instructions) Use duplicate copies of Part III
 additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
<u>1</u>	THE FIRST STAMFORD CORPORATION <hr/> 30 COMMERCE ROAD SUITE 100 <hr/> STAMFORD, CT 06902 <hr/>	\$ <u>25,000</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
<u>2</u>	<hr/> MARGOT HARTMAN TENNEY C/O FIRST STAMFORD CORP 30 COMMERCE <hr/> STAMFORD, CT 06902 <hr/>	\$ <u>75,000</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
<u>3</u>	<hr/> DELBERT TENNEY C/O FIRST STAMFORD CORP 30 COMMERCE <hr/> STAMFORD, CT 06902 <hr/>	\$ <u>20,000</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
<u> </u>	<hr/> <hr/> <hr/> <hr/>	\$ <u> </u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
<u> </u>	<hr/> <hr/> <hr/> <hr/>	\$ <u> </u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
<u> </u>	<hr/> <hr/> <hr/> <hr/>	\$ <u> </u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

Name of organization JESSE & DOROTHY HARTMAN FOUNDATION	Employer identification number 06-6044501
--	--

<div>Part II</div> <div>Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed</div>			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—	<div></div> <div></div> <div></div> <div></div>	\$ <div></div>	<div></div>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—	<div></div> <div></div> <div></div> <div></div>	\$ <div></div>	<div></div>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—	<div></div> <div></div> <div></div> <div></div>	\$ <div></div>	<div></div>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—	<div></div> <div></div> <div></div> <div></div>	\$ <div></div>	<div></div>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—	<div></div> <div></div> <div></div> <div></div>	\$ <div></div>	<div></div>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—	<div></div> <div></div> <div></div> <div></div>	\$ <div></div>	<div></div>

Name of organization JESSE & DOROTHY HARTMAN FOUNDATION	Employer identification number 06-6044501
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Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. (Complete columns (a) through (e) and the following line entry)

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ➤ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div>	<div></div>	<div></div>
	<div></div>	<div></div>	<div></div>
	<div></div>	<div></div>	<div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div>	<div></div>	
	<div></div>	<div></div>	
—	<div></div>	<div></div>	<div></div>
	<div></div>	<div></div>	<div></div>
	<div></div>	<div></div>	<div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div>	<div></div>	
	<div></div>	<div></div>	
—	<div></div>	<div></div>	<div></div>
	<div></div>	<div></div>	<div></div>
	<div></div>	<div></div>	<div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div>	<div></div>	
	<div></div>	<div></div>	
—	<div></div>	<div></div>	<div></div>
	<div></div>	<div></div>	<div></div>
	<div></div>	<div></div>	<div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div>	<div></div>	
	<div></div>	<div></div>	
—	<div></div>	<div></div>	<div></div>
	<div></div>	<div></div>	<div></div>
	<div></div>	<div></div>	<div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div>	<div></div>	
	<div></div>	<div></div>	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
263 713 SHS ARTISAN SMALL CAP FD		2005-04-05	2011-04-25
75 758 SHS GOLDMAN SACHS GRTH OPP FD		2010-01-29	2011-04-25
82 169 SHS LAUDUS MONDRIAN INTL		2009-05-22	2011-04-25
67 904 SHS LAZARD EMERGING MKTS		2009-07-23	2011-04-25
105 263 SHS PIMCO HIGH YIELD FD		2006-04-06	2011-04-25
390 190 SHS PIMCO HIGH YIELD FD		2006-04-06	2011-11-14
94 877 SHS VANGUARD S/T BD		2009-01-07	2011-04-25
8,742 325 SHS VANGUARD S/T BD		2009-01-07	2011-11-14
203 114 SHS WELLS FARGO ADV DS US CR FD		1996-09-17	2011-04-25
128 866 SHS WELLS FARGO ADV INTL EQ FD		1996-09-16	2011-04-25
384 859 SHS WELLS FARGO ADV INTL EQ FD		2000-11-27	2011-11-14
551 675 SHS WELLS FARGO ADV INTL EQ FD		1996-09-16	2011-11-14
22 2 SHS COLUMBIA SMALL CAP FD		2010-04-30	2011-04-18
13 92 SHS COLUMBIA INTERMEDIATE		2011-01-31	2011-04-18
21 3 SHS WESTCORE SMALL-CAP VALUE FD		2011-01-31	2011-04-18
18 07 SHS T ROWE PRICE INTERNATIONAL BOND		2010-09-30	2011-04-18
88 36 SHS PIMCO FDS PAC INVT MGMT SER		2011-01-31	2011-04-18
17 2 SHS OLD MUT ADVISOR FDS II OLD MUT		2011-01-31	2011-04-18
11 11 SHS NATIXIS AEW REAL ESTATE FD		2011-01-31	2011-04-18
3 03 SHS COLUMBIA SMALL CAP FD		2011-01-31	2011-04-18
718 23 SHS COLUMBIA BOND FD		2010-04-30	2011-04-18
72 17 SHS DELAWARE EMERGING MKTS FUND		2011-01-31	2011-04-18
19 04 SHS MFS EMERGING MKTS DEBT FD		2010-09-30	2011-04-18
30 3 SHS NATIXIS AEW REAL ESTATE FD		2011-01-31	2011-10-27
57 38 SHS OLD MUT ADVISOR FDS II OLD MUT		2011-01-31	2011-10-27
10 04 SHS COLUMBIA SMALL CAP FD		2011-01-31	2011-10-27
104 19 SHS MFS EMERGING MKTS DEBT FD		2011-01-31	2011-10-27
69 24 SHS WESTCORE SMALL-CAP VALUE FD		2011-01-31	2011-10-27
0 11 SHS MFS EMERGING MKTS DEBT FD		2011-01-31	2011-11-03
246 01 SHS COLUMBIA LARGE CAP FD		2008-04-11	2011-01-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
262 31 SHS COLUMBIA CONSERVATIVE		2006-04-10	2011-01-31
56 54 SHS COLUMBIA MID CAP FD		2003-03-20	2011-01-31
408 39 SHS COLUMBIA DISCIPLINED VALUE FD		2008-04-11	2011-01-31
383 07 SHS COLUMBIA LARGE VALUE		2008-04-11	2011-04-18
118 55 SHS COLUMBIA LARGE CAP FD		2008-04-11	2011-04-18
132 14 SHS COLUMBIA INCOME OPPORTUNITIES		2006-04-10	2011-04-18
19 27 SHS COLUMBIA MID CAP VALUE FD		2003-03-20	2011-04-18
21 3 SHS COLUMBIA MID CAP FD		2003-03-20	2011-04-18
147 27 SHS COLUMBIA MULTI-ADVISOR		2001-06-26	2011-04-18
534 08 SHS COLUMBIA INTERMEDIATE		2005-07-27	2011-04-18
758 53 SHS COLUMBIA BOND FD		2009-05-07	2011-10-27
54 82 SHS MFS EMERGING MKTS DEBT FD		2010-09-30	2011-10-27
60 7 SHS NATIXIS AEW REAL ESTATE FD		2010-09-30	2011-10-27
2,360 43 SHS COLUMBIA BOND FD		2006-04-10	2011-10-27
2,564 43 SHS COLUMBIA BOND FD		2006-10-17	2011-10-27
264 33 SHS COLUMBIA BOND FD		2010-04-30	2011-10-27
156 02 SHS COLUMBIA LARGE CAP FD		2010-04-30	2011-10-27
235 94 SHS COLUMBIA LARGE CAP FD		2008-04-11	2011-10-27
16 62 SHS COLUMBIA SMALL CAP FD		2010-09-30	2011-10-27
215 46 SHS COLUMBIA MULTI-ADVISOR		2009-05-07	2011-10-27
309 54 SHS COLUMBIA MULTI-ADVISOR		2010-04-30	2011-10-27
74 43 SHS COLUMBIA MULTI-ADVISOR		2001-06-26	2011-10-27
228 32 SHS COLUMBIA INCOME OPPORTUNITIES		2006-04-10	2011-10-27
1,089 7 SHS COLUMBIA LARGE VALUE		2010-04-30	2011-10-27
141 08 SHS COLUMBIA LARGE VALUE		2008-04-11	2011-10-27
104 76 SHS WESTCORE SMALL-CAP VALUE FD		2010-09-30	2011-10-27
83 31 SHS OLD MUT ADVISOR FDS II OLD MUT		2010-09-30	2011-10-27
0 14 SHS WESTCORE SMALL-CAP VALUE FD		2010-09-30	2011-11-03
0 17 SHS NATIXIS AEW REAL ESTATE FD		2010-09-30	2011-11-03
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,000		4,201	799
2,000		1,491	509
1,000		902	98
1,500		1,044	456
1,000		1,027	-27
3,500		3,808	-308
1,000		975	25
93,368		89,871	3,497
3,000		3,272	-272
1,500		1,511	-11
3,664		4,120	-456
5,252		6,470	-1,218
295		238	57
127		126	1
266		254	12
184		187	-3
865		838	27
162		154	8
184		179	5
105		96	9
6,672		6,591	81
1,186		1,152	34
276		289	-13
515		490	25
522		513	9
313		317	-4
1,534		1,496	38
841		827	14
2		2	0
5,951		5,643	308

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,091		2,214	-123
1,526		841	685
4,790		4,990	-200
2,854		2,891	-37
2,948		2,720	228
1,293		1,358	-65
273		211	62
610		317	293
1,816		2,173	-357
4,871		4,807	64
7,153		6,500	653
807		833	-26
1,032		902	130
22,259		20,685	1,574
24,182		22,668	1,514
2,493		2,426	67
3,779		3,367	412
5,714		5,412	302
519		449	70
2,486		2,007	479
3,572		3,563	9
859		1,098	-239
2,185		2,346	-161
8,031		7,296	735
1,040		1,065	-25
1,272		1,123	149
757		671	86
2		2	0
3		3	0
4,954			4,954


Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			799
			509
			98
			456
			-27
			-308
			25
			3,497
			-272
			-11
			-456
			-1,218
			57
			1
			12
			-3
			27
			8
			5
			9
			81
			34
			-13
			25
			9
			-4
			38
			14
			0
			308

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-123
			685
			-200
			-37
			228
			-65
			62
			293
			-357
			64
			653
			-26
			130
			1,574
			1,514
			67
			412
			302
			70
			479
			9
			-239
			-161
			735
			-25
			149
			86
			0
			0
			4,954

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
DOWNTOWN COMMUNITY TELEVISION CENTER87 LAFAYETTE STREET NEW YORK,NY 10013	N/A	501(C)(3) CHARITY	YOUTH MEDIA SERVICES	10,000
NEW YORK WOMEN'S AGENDA156 FIFTH AVENUE - SUITE 1100 NEW YORK,NY 10010	N/A	501(C)(3) CHARITY	COMMUNITY SERVICES	1,000
THE PALM BEACH SHAKESPEARE FESTIVAL103 SOUTH US HIGHWAY ONE JUPITER,FL 33477	N/A	501(C)(3) CHARITY	EDUCATIONAL/ PERFORMING ARTS	180,000
SUNDANCE INSTITUTEPO BOX 684429 PARK CITY,UT 84068	N/A	501(C)(3) CHARITY	SUPPORT INDEPENDENT FILM AND THEATRE ARTISTS	10,000
SHE'S THE FIRSTPO BOX 20483 COLUMBUS CIRCLE STATION NEW YORK,NY 10023	N/A	501(C)(3) CHARITY	GIRL'S EDUCATION	1,000
FRIENDS OF THE NEWBURY TOWN LIBRARY0 LUNT STREET BYFIELD,MA 01922	N/A	501(C)(3) CHARITY	COMMUNITY SERVICE/EDUCATION	1,000
POLICE ATHLETIC LEAGUE INC34 1/2 EAST 12TH STREET NEW YORK,NY 10003	N/A	501(C)(3) CHARITY	YOUTH PROGRAMS	500
NEW YORK WOMEN IN FILM AND TELEVISION6 EAST 39TH STREET SUITE 1200 NEW YORK,NY 10016	N/A	501(C)(3) CHARITY	EDUCATIONAL/ADVANCEMENT OF WOMEN IN THE MEDIA	600
Total  3a				204,100

TY 2011 Accounting Fees Schedule

Name: JESSE & DOROTHY HARTMAN FOUNDATION

EIN: 06-6044501

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	10,000	10,000		0

**TY 2011 All Other Program
Related Investments Schedule**

Name: JESSE & DOROTHY HARTMAN FOUNDATION

EIN: 06-6044501

Category	Amount
N/A	0

TY 2011 General Explanation Attachment**Name:** JESSE & DOROTHY HARTMAN FOUNDATION**EIN:** 06-6044501

Identifier	Return Reference	Explanation
QUESTION 1A(3)	FORM 990-PF, PAGE 5, PART VII- B,	AN AFFILIATE OF THE FOUNDATION, WHOSE PARTNER IS ALSO A MEMBER OF THE BOARD OF TRUSTEES, PROVIDED OFFICE SPACE ANDADMINISTRATIVE SERVICES TO THE FOUNDATION AT NO COST UNTILSEPTEMBER 2008, AND AT A FIXED MONTHLY AMOUNT THEREAFTER

TY 2011 Investments - Other Schedule

Name: JESSE & DOROTHY HARTMAN FOUNDATION
EIN: 06-6044501

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
COLUMBIA CORE BOND FUND CL Z	AT COST	0	0
COLUMBIA CONSERVATIVE HIGH YIELD FUND CL Z	AT COST	0	0
COLUMBIA INTERMEDIATE BOND FUND CL Z	AT COST	42,632	43,919
COLUMBIA INTL STOCK FUND CL Z	AT COST	0	0
COLUMBIA SMALL CAP CORE FUND CL Z	AT COST	0	0
COLUMBIA MID CAP VALUE FUND CL Z	AT COST	4,662	4,858
COLUMBIA MID CAP GROWTH FUND CL Z	AT COST	4,242	5,763
EVERGREEN ENHANCED S&P 500 FUND	AT COST	0	0
EVERGREEN INTERNATIONAL EQUITY FUND	AT COST	0	0
ARTISAN SMALL CAP FUND	AT COST	20,632	22,795
PIMCO HIGH YIELD FUND	AT COST	20,858	19,191
COLUMBIA DISCIPLINED VALUE FUND	AT COST	0	0
COLUMBIA LARGE CAP GROWTH FUND	AT COST	11,292	13,207
LAZARD EMERGING MKTS PORTFOLIO	AT COST	5,538	6,053
LAUDUS MONDRIAN INTL FIXED INCOME FD	AT COST	11,741	12,394
VANGUARD INFLATION-PROTECTED SEC FD	AT COST	10,368	11,794
VANGUARD SHORT-TERM BOND INDEX FD	AT COST	81,472	80,840
DELAWARE EMERGING MARKETS FUND CL INSTL CL	AT COST	13,397	11,240
T ROWE PRICE INTL FUNDS INC INTL BD FD	AT COST	6,144	5,780
MFS EMERGING MARKETS DEBT FUND CL I	AT COST	0	0
GOLDMAN SACHS GROWTH OPPORTUNITIES FD	AT COST	16,249	18,231
OLD MUTUAL TS&W MID CAP VALUE	AT COST	0	0
WESTCORE SMALL CAP VALUE FUND RETAIL CL	AT COST	0	0
NAXTIS FUNDS TR IV AEW RE FUND CL Y COM	AT COST	0	0
PIMCO COMMODITY REAL RETURN STRATEGY FUND	AT COST	10,936	8,499
COLUMBIA LARGE VALUE QUANTITATIVE FUND CL Z	AT COST	9,593	12,238
JOHN HANCOCK FDS III DISCIPLINED VALUE MID CAP FUND	AT COST	1,236	1,194
CAMBIAR SMALL CAP FUND INV CL	AT COST	5,132	4,831
COLUMBIA SMALL CAP GROWTH FUND II CLASS Z SHARES	AT COST	4,193	4,252
EAGLE SM CAP GROWTH FUND CL I	AT COST	812	765
COLUMBIA MULTI-ADVISOR INTL EQUITY FUND CLASS Z SHARES	AT COST	5,306	6,258
METRO WEST T/R BD CL I	AT COST	49,339	49,149
COLUMBIA INCOME OPPORTUNITIES FUND CL Z	AT COST	8,817	8,087
PIMCO EMERGING LOCAL BD FUND ISTL CL	AT COST	4,108	3,855
GOLDMAN SACHS ABSOLUTE RETURN TRACKER FUND CL I	AT COST	10,276	9,909
WELLS FARGO ADVANTAGE DISCIPLINED US CORE FUND	AT COST	38,093	31,931
DELAWARE POOLED TR INTL EQUITY PORTFOLIO	AT COST	8,000	7,629

TY 2011 Other Expenses Schedule

Name: JESSE & DOROTHY HARTMAN FOUNDATION

EIN: 06-6044501

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FILING FEE	100	0		100
OFFICE EXPENSES	2	2		0

TY 2011 Other Professional Fees Schedule**Name:** JESSE & DOROTHY HARTMAN FOUNDATION**EIN:** 06-6044501

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
AGENCY FEES	8,909	8,909		0
FIDUCIARY FEES	5,135	5,135		0

TY 2011 Substantial Contributors Schedule**Name:** JESSE & DOROTHY HARTMAN FOUNDATION**EIN:** 06-6044501

Name	Address
MARGOT HARTMAN TENNEY	C/O FIRST STAMFORD CORP 30 COMMERCE RD STE 100 STAMFORD,CT 06902
DELBERT TENNEY	C/O FIRST STAMFORD CORP 30 COMMERCE RD STE 100 STAMFORD,CT 06902

TY 2011 Taxes Schedule

Name: JESSE & DOROTHY HARTMAN FOUNDATION

EIN: 06-6044501

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	32	32		0

Additional Data

Software ID:
Software Version:
EIN: 06-6044501
Name: JESSE & DOROTHY HARTMAN FOUNDATION

Form 990PF - Special Condition Description:

Special Condition Description
