Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011 or tax year beginning , and ending

Name of foundation The Charles A. Dana Foundation, Incorporated	**A** Employer identification number 06-6036761
Number and street (or P O box number if mail is not delivered to street address) 505 Fifth Avenue — Room/suite 6th Fl	**B** Telephone number (see instructions) 212-223-4040
City or town, state, and ZIP code New York NY 10017	**C** If exemption application is pending, check here ▶ ☐
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change	**D** 1. Foreign organizations, check here ▶ ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation	**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 230,745,121 — **J** Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*	**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3 Interest on savings and temporary cash investments	90,022	90,022		
	4 Dividends and interest from securities	1,294,930	1,294,930		
	5 a Gross rents		0		
	b Net rental income or (loss) 0				
	6 a Net gain or (loss) from sale of assets not on line 10	2,090,770			
	b Gross sales price for all assets on line 6a 32,675,187				
	7 Capital gain net income (from Part IV, line 2)		2,090,770		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10 a Gross sales less returns and allowances 0				
	b Less Cost of goods sold 0				
	c Gross profit or (loss) (attach schedule)	0			
	11 Other income (attach schedule) Schedule 1	7,032,632	7,149,374	0	
	12 **Total.** Add lines 1 through 11	10,508,354	10,625,096	0	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	752,625	157,350		595,275
	14 Other employee salaries and wages	1,275,685	66,169		1,209,516
	15 Pension plans, employee benefits	1,199,718	68,247		717,603
	16 a Legal fees (attach schedule) Schedule 2	10,967	0	0	10,967
	b Accounting fees (attach schedule) Schedule 3	51,220	48,333	0	2,887
	c Other professional fees (attach schedule) Schedule 4	1,441,677	544,154	0	897,523
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	0	0		0
	19 Depreciation (attach schedule) and depletion	481,252	74,310		
	20 Occupancy	1,020,228	165,551		554,506
	21 Travel, conferences, and meetings	40,061			40,061
	22 Printing and publications	328,897			328,897
	23 Other expenses (attach schedule) Schedule 6	386,656	97,490	0	329,590
	24 **Total operating and administrative expenses.** Add lines 13 through 23	6,988,986	1,221,604	0	4,686,825
	25 Contributions, gifts, grants paid	9,487,167			10,615,252
	26 **Total expenses and disbursements** Add lines 24 and 25	16,476,153	1,221,604	0	15,302,077
	27 Subtract line 26 from line 12				
	a **Excess of revenue over expenses and disbursements**	-5,967,799			
	b **Net investment income** (if negative, enter -0-)		9,403,492		
	c **Adjusted net income** (if negative, enter -0-)			0	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	500	500	500
	2	Savings and temporary cash investments	3,584,459	1,933,947	1,933,947
	3	Accounts receivable ▶ 26,541			
		Less allowance for doubtful accounts ▶ 0	31,305	26,541	26,541
	4	Pledges receivable ▶ 0			
		Less allowance for doubtful accounts ▶ 0	0	0	0
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)	0	0	0
	7	Other notes and loans receivable (attach schedule) ▶ 0			
		Less allowance for doubtful accounts ▶ 0	0	0	0
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments—U S and state government obligations (attach schedule) Schedule 7	16,386,243	14,618,241	14,618,241
	b	Investments—corporate stock (attach schedule) Schedule 7	63,895,743	45,705,637	45,705,637
	c	Investments—corporate bonds (attach schedule)	0	0	0
	11	Investments—land, buildings, and equipment basis ▶ 0			
		Less accumulated depreciation (attach schedule) ▶ 0	0	0	0
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule) Schedule 8	163,977,438	167,516,144	167,516,144
	14	Land, buildings, and equipment basis ▶ 2,457,019			
		Less accumulated depreciation (attach schedule) Schedule 9 ▶ 1,850,405	733,664	606,614	606,614
	15	Other assets (describe ▶ See Atttached- Schedule 10)	39,700	337,497	337,497
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	248,649,052	230,745,121	230,745,121
Liabilities	17	Accounts payable and accrued expenses	373,398	141,792	
	18	Grants payable	17,009,313	15,881,229	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons	0	0	
	21	Mortgages and other notes payable (attach schedule)	0	0	
	22	Other liabilities (describe ▶ See Attached -Schedule 11)	3,256,153	4,033,728	
	23	**Total liabilities** (add lines 17 through 22)	20,638,864	20,056,749	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	228,010,188		
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	228,010,188	210,688,372	
	31	**Total liabilities and net assets/fund balances** (see instructions)	248,649,052	230,745,121	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	228,010,188
2	Enter amount from Part I, line 27a	2	-5,967,799
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	222,042,389
5	Decreases not included in line 2 (itemize) ▶ See Attached-Schedule 12	5	11,354,017
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	210,688,372

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Attached Schedule 13			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	32,675,187	0	30,584,417	2,090,770
b	0	0	0	0
c	0	0	0	0
d	0	0	0	0
e	0	0	0	0

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a	0	0	0	2,090,770
b	0	0	0	0
c	0	0	0	0
d	0	0	0	0
e	0	0	0	0

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	2,090,770
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	19,180,756	233,715,618	0.082069
2009	21,704,566	227,987,593	0.095201
2008	27,981,968	276,875,689	0 101063
2007	29,338,086	316,767,686	0 092617
2006	26,645,525	331,439,606	0 080393

2	**Total** of line 1, column (d)	2	0 451343
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.090269
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	239,391,997
5	Multiply line 4 by line 3	5	21,609,676
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	94,035
7	Add lines 5 and 6	7	21,703,711
8	Enter qualifying distributions from Part XII, line 4	8	15,841,123

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________________ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	188,070
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	188,070
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	188,070
6	Credits/Payments		
a	2011 estimated tax payments and 2010 overpayment credited to 2011 — 6a: 267,120		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 0		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	267,120
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	0
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	79,050
11	Enter the amount of line 10 to be **Credited to 2012 estimated tax** ▶ 79,050 **Refunded** ▶	11	0

Part VII-A Statements Regarding Activities

		Line	Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ____________ **(2)** On foundation managers ▶ $ ____________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ____________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CT, DC, MD, NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) Statement 14	11	X	
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ www.dana.org

14 The books are in care of ▶ Burton M Mirsky Telephone no ▶ 212 223 4040

Located at ▶ 505 Fifth Avenue, 6th Floor New York NY ZIP+4 ▶ 10017

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011?	☐ Yes ☒ No			
	If "Yes," list the years ▶ 20_____ , 20_____ , 20_____ , 20_____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)		2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20_____ , 20_____ , 20_____ , 20_____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)*		3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	☒ Yes ☐ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		5b		X
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Statement 15	☒ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53 4945–5(d)				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Schedule 16- Attached	.00	752,625	193,325	0
	.00	0	0	0
	.00	0	0	0
	.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Schedule 17- Attached	.00	427,575	187,725	0
	.00	0	0	0
	.00	0	0	0
	00	0	0	0
	00	0	0	0
Total number of other employees paid over $50,000 ▶				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
Cambridge Assoc 100 Summer Street, Boston, MA 02110-2112	Investment Advice	166,498
Dr McKhann 3400 North Charles St, Baltimore, MD 21228	Health Program Consultant	225,065
Carolyn Asbury 408 South Van Pelt St , Philadelphia, PA 19146	Grant Program Consultant	145,000
Internet Computers 55 West 39th Street, New York, NY 10018	Computer Consultants	265,254
Silchester Intl Investors, Inc 780 Third Avenue, NY, NY 10017	Investment Management	113,854
Total number of others receiving over $50,000 for professional services ▶		2

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 See Attached Statement 18 - Total Expenses - $4,686,825 12-1	1,296,716
2 12-2	1,282,295
3 12-3	1,086,302
4 12-4	1,021,513

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 None	
2	
All other program-related investments See instructions 3	0
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	66,642,562
b	Average of monthly cash balances	1b	16,516,172
c	Fair market value of all other assets (see instructions)	1c	159,878,826
d	**Total** (add lines 1a, b, and c)	1d	243,037,560
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	243,037,560
4	Cash deemed held for charitable activities Enter 1 ½ % of line 3 (for greater amount, see instructions)	4	3,645,563
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	239,391,997
6	**Minimum investment return.** Enter 5% of line 5	6	11,969,600

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	11,969,600
2a	Tax on investment income for 2011 from Part VI, line 5	2a	185,735		
b	Income tax for 2011 (This does not include the tax from Part VI)	2b	0		
c	Add lines 2a and 2b			2c	185,735
3	Distributable amount before adjustments Subtract line 2c from line 1			3	11,783,865
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	11,783,865
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	11,783,865

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	15,302,077
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	539,046
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	15,841,123
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	15,841,123

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7					11,783,865
2 Undistributed income, if any, as of the end of 2011					
a Enter amount for 2010 only				0	
b Total for prior years 20____, 20____, 20____			0		
3 Excess distributions carryover, if any, to 2011					
a From 2006	10,645,872				
b From 2007	14,180,510				
c From 2008	14,446,614				
d From 2009	10,337,978				
e From 2010	7,727,384				
f **Total** of lines 3a through e		57,338,358			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ $ 15,841,123					
a Applied to 2010, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions)			0		
c Treated as distributions out of corpus (Election required—see instructions)		0			
d Applied to 2011 distributable amount					11,783,865
e Remaining amount distributed out of corpus		4,057,258			
5 Excess distributions carryover applied to 2011 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		0			0
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		61,395,616			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b Taxable amount—see instructions			0		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)		10,645,872			
9 **Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a		50,749,744			
10 Analysis of line 9					
a Excess from 2007	14,180,510				
b Excess from 2008	14,446,614				
c Excess from 2009	10,337,978				
d Excess from 2010	7,727,384				
e Excess from 2011	4,057,258				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year (a) 2011	Prior 3 years (b) 2010	(c) 2009	(d) 2008	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	0	0	0		0
b 85% of line 2a	0	0	0	0	0
c Qualifying distributions from Part XII, line 4 for each year listed	0	0	0		0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c	0	0	0	0	0
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	0	0	0		0
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

See Attached Statement 19

b The form in which applications should be submitted and information and materials they should include

See Attached Statement 19

c Any submission deadlines

See Attached Statement 19

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

See Attached Statement 19

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See attached Schedule 20				10,615,252
Total ▶ 3a				10,615,252
b *Approved for future payment*				
See attached Schedule 20				15,881,229
Total ▶ 3b				15,881,229

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions)
1 Program service revenue					
a		0		0	0
b		0		0	0
c		0		0	0
d		0		0	0
e		0		0	0
f		0		0	0
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	90,022	
4 Dividends and interest from securities			14	1,294,930	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	2,090,770	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a Partnership Investments	900099	40,898	14	7,139,888	0
b Misc		0	14	9,486	0
c		0		0	0
d		0		0	0
e		0		0	0
12 Subtotal Add columns (b), (d), and (e)		40,898		10,625,096	0

13 **Total.** Add line 12, columns (b), (d), and (e) . 13 10,665,994

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
	N/A

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of.			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions.			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 10/1/12 Title: Vice President

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Firm's name ▶			Firm's EIN ▶	
Firm's address ▶			Phone no	

The Charles A. Dana Foundation , Incorporated
2011 Index for List of Attachments
EIN 06-6036761

Form	Description:		
	Approved Extension Letter		

The Charles A Dana Foundation, Incorporated
Form 990PF
2011 Partnership/Trust Income
Part 1 Line 11

Schedule 1

	Ein #	Column (a) Income Per Books	Column (b) Net Investment Income Net of UBTI Per Actual K-1 Forms	UBTI	Taxable Income Per K-1
Knightsbridge Venture Capital	20-1463725	-$42,173	-$37,244	-$286	-$37,530
Abbott Capital Fund II	04-3396222	$76,550	169,025	241	169,266
Abbott Capital Fund IV	36-7045759	$537,244	495,672	-3,598	492,074
Abbott Capital Fund V	20-2896577	$143,179	139,426	-36,475	102,951
Abbott Capital Fund VI	26-2293853	-$40,230	-42,980	-9,009	-51,989
Sovereign New Millenium Fund	84-1460377	$0	-216,803	-2,589	-219,392
Adamas Opportunities L.P.	04-3514360	$322,700	325,905	36,017	361,922
Adamas Partners L P.	04-3514358	$189,600	201,123	9,956	211,079
Davidson Kempner	13-3597020	$566,814	566,808	6	566,814
TIFF Partners III L.P.	58-2426874	$96,767	81,677	5,750	87,427
TIFF Partners IV L.P.	54-2007544	$179,149	383,630	7,370	391,000
Oak Hill Capital Partners Bermuda	52-2265365	-$1,122	-1,121		-1,121
Oak Hill Capital Partners	22-3624482	$410,437	410,437		410,437
Silchester	36-7045759	$1,240,254	1,240,265		1,240,265
Wellington Strategic Real assets	90-6110063	$821,679	821,679		821,679
TIFF Secondary Partners (TSP) TIFF I	56-2384583	$12,290	142,037	-79	141,958
Hintz , Holman & Rubillard - Jubilee Tax Exempt	13-4036300	$318,778	318,778		318,778
Commonfund Private Equity-VI	16-1720029	$24,224	16,931	-2,522	14,409
Aberdeen-Asia Pacific	36-7180580	$339,864	339,864		339,864
Commonfund Private Equity- VII	20-8306306	-$44,244	-41,719	-2,525	-44,244
Commonfund International Private Equity VI	20-8306365	$50,576	50,588	-12	50,576
Commonfund Capital Venture Partners VIII	11-3814030	-$79,424	-79,867	203	-79,664
Brandywine Intl Fixed	84-1667486	$290,912	678,506		678,506
Adage Capital	04-3574590	$1,176,509	1,176,509		1,176,509
Forester Capital-B	13-4079780	$101,215	73,733	23,117	96,850
1607 Capital Partners	26-1761983	-$114,662	-114,662		-114,662
Flag Real Asssets	20-8736989	$36,988	10,802	15,333	26,135
Newport Asia	06-6036761	$30,889	30,889		30,889
		$6,644,763	$7,139,888	$40,898	$7,180,786
Prior Year Adjustment Per Books		$378,383			
Misc Income		$9,486	$9,486		
Total Per Line 12		$7,032,632	$7,149,374		

Line 16a (990-PF) - Legal Fees

	Name of Organization or Person Providing Service	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
		10,967	0	0	10,967
1	King & Spalding	10,000			10,000
2	White and Case	390			390
3	Robinson Cole	577			577
4					
5					
6					
7					
8					
9					
10					

Line 16b (990-PF) - Accounting Fees

	Name of Organization or Person Providing Service	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
		51,220	48,333	0	2,887
1	O'Connor Davies et al	47,370	47,370		
2	Preferred Pension	3,850	963		2,887
3					
4					
5					
6					
7					
8					
9					
10					

Line 16c (990-PF) - Other Fees

	Name of Organization or Person Providing Service	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
		1,441,677	544,154	0	897,523
1					
2	Internet Computers Inc.	266,158			266,158
3	Dr Mckhann	225,065			225,065
4	Dr Asbury	145,000			145,000
5	Dr Blakemore	50,000			50,000
6	Dr Magistetti	35,000			35,000
7	Dr Steinman	50,000			50,000
8	Dr Jamisson	37,500			37,500
9	Honoraria Less Than $5,000	51,300			51,300
10	Dr Treisman	12,500			12,500
11	Dr Albert	25,000			25,000
12	Cambridge Assoc	166,498	166,498		
13	Silchester	113,854	113,854		
14	Wellington	94,560	94,560		
15	Aberdeen Global and Fixed Inc	39,948	39,948		
16	Eagle Management	90,107	90,107		
17	BrandyWine	23,489	23,489		
18	BNY	10,403	10,403		
19	Chase	5,295	5,295		
20					
21					
22					
23					
24					
25					
26					
27					
28					

Amount of depreciation included in cost of goods sold ______

Line 19 (990-PF) - Depreciation and Depletion

	Description	Date Acquired	Method of Computation	Asset Life	Cost or Other Basis	Beginning Accumulated Depreciation	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
							481,252	74,310	0
1	Leasehold Improvements	various	various	various	2,457,019	1,850,405	481,252	74,310	
2						0			
3						0			
4						0			
5						0			
6						0			
7						0			
8						0			
9						0			
10						0			

Line 23 (990-PF) - Other Expenses

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
		386,656	97,490	0	329,590
1	Insurance	75,626	37,813		37,813
2	Computers	168,855	42,214		126,641
3	Dues/Subscription	4,297	1,074		3,223
4	Postage/FedEx	5,698			5,698
5	Prof Devel	2,200			2,200
6	Office Expense	20,822	5,206		15,616
7	Miscellaneous	44,731	11,183		33,548
8	Accrual to Cash Adjustment				40,784
9	Payroll Service	3,627			3,267
10	Telephone-Fax-Copier	60,798			60,798
11	Rounding Adj	2			2

Charles A Dana Foundation
Form 990 PF-Part II
06-6036761
Year 2011

Schedule 7

		Line	column a Book Value	column b Book Value	column c Fair Value
U.S. and state government obligations					
Total US Treasuries			$14,789,425	4,792,885	4,792,885
Money Market Fund			1,596,818	9,825,356	9,825,356
Total Government Obligations		**10a**	$16,386,243	$14,618,241	$14,618,241
Corporate Stock					
Equities- See Attached 7A			$9,275,830	$9,954,449	$9,954,448
Money Market Fund- See Statement 7A			591,393	552,431	552,432
	Sub-total		$9,867,223	$10,506,880	$10,506,880
Mutual Funds :					
Vanguard S & P 500 Index Fund			$1,251,185	$0	$0
Vanguard Emerging Mkts.-Index Fund			9,844,428	8,014,157	8,014,157
Vanguard Growth Fund			1,276,422	0	0
Vanguard Inflation Protection			7,622,787	0	0
Vanguard Devel Mkt Fund			2,313,247	0	0
Vanguard Total Bond Fund			15,539,819	11,060,790	11,060,790
PIMCO Developing Local Mkts Fund			7,121,347	3,255,543	3,255,543
Bridgeway Funds			9,042,514	9,328,738	9,328,738
State Street			16,770	0	0
Franklin Templeton			0	3,539,529	3,539,529
	Sub-total		54,028,519	35,198,757	35,198,757
Total Corporate and Mutual Funds Stock		10b	$63,895,742	$45,705,637	$45,705,637

Schedule 7A (1 of B)

THE BANK OF NEW YORK MELLON.

TRDF38 F38F56312502 ANNUAL FINAL 152351 — 2011-12-31 CYCLE 4 15:23:51 RUN DATE: 13-JAN-12

INVESTMENT DETAIL — PAGE: 1

F38 F583125 — 31 DECEMBER 2011 — M1101

CHARLES A DANA FOUNDATION

SHARES/ PAR VALUE	SECURITY DESCRIPTION	COST	PRICE	MARKET VALUE	UNREALIZED GAIN/LOSS
INVESTMENTS CASH EQUIVALENTS					
552,431.3000	DREYFUS INSTL RES MM FD HAM VAR RT 12/31/2049 DD 09/10/08	552,431.30	100.0000	552,431.30	0.00
TOTAL INVESTMENTS CASH EQUIVALENTS		552,431.30		552,431.30	0.00
INVESTMENTS EQUITY					
8,800.0000	ALTERA CORP	179,046.56	37.1000	326,480.00	147,433.44
12,900.0000	AON CORP	469,428.70	46.8000	603,720.00	134,291.30
10,800.0000	WR BERKLEY CORP	261,057.78	34.3900	371,412.00	110,354.22
8,200.0000	COCA-COLA CO/THE	358,179.35	69.9700	573,754.00	215,574.65
18,050.0000	COMCAST CORP	297,568.24	23.5600	425,258.00	127,689.76
4,900.0000	ECOLAB INC	183,227.00	57.8100	283,269.00	100,042.00
4,600.0000	FIDELITY NATIONAL INFORMATION	85,229.26	26.5900	122,314.00	37,084.74
3,209.0000	GOLDMAN SACHS GROUP INC/THE	404,750.37	90.4300	290,189.87	114,560.50-
410.0000	GOOGLE INC	215,115.19	645.9000	264,819.00	49,703.81
9,600.0000	KRAFT FOODS INC	282,704.92	37.3600	358,656.00	75,951.08
2,235.0000	LIBERTY GLOBAL INC	37,957.83	41.0300	91,702.05	53,744.22

Schedule 7A (2 of 3)

THE BANK OF NEW YORK MELLON.

TRDF38 F38F58312502 ANNUAL FINAL 152351 INVESTMENT DETAIL 2011-12-31 CYCLE 4 15:23:51 RUN DATE: 13-JAN-12

F38 F583125 31 DECEMBER 2011 M1101

CHARLES A DANA FOUNDATION

SHARES/ PAR VALUE	SECURITY DESCRIPTION	COST	PRICE	MARKET VALUE	UNREALIZED GAIN/LOSS
13,399.0000	LIBERTY GLOBAL INC	208,927.83	39.5200	529,528.48	320,600.65
5,700.0000	LOEWS CORP	184,179.09	37.6500	214,605.00	30,425.91
1,700.0000	MCDONALD'S CORP	100,955.52	100.3300	170,561.00	69,605.48
20,300.0000	MICROSOFT CORP	527,242.09	25.9600	526,988.00	254.09-
2,400.0000	NESTLE SA ADR	78,003.84	57.7480	138,595.20	60,591.36
7,200.0000	NEWFIELD EXPLORATION CO	125,773.92	37.7300	271,656.00	145,882.08
24,800.0000	NEWS CORP	167,134.97	17.8400	442,432.00	275,297.03
4,800.0000	NOBLE ENERGY INC	51,604.80	94.3900	453,072.00	401,467.20
8,100.0000	ORACLE CORP	207,197.06	25.6500	207,765.00	567.94
5,700.0000	PEPSICO INC/NC	372,269.92	66.3500	378,195.00	5,925.08
4,200.0000	PRAXAIR INC	171,150.00	106.9000	448,980.00	277,830.00
10,000.0000	PROGRESSIVE CORP/THE	153,135.05	19.5100	195,100.00	41,964.95
9,300.0000	CHARLES SCHWAB CORP/THE	100,636.23	11.2600	104,718.00	4,081.77
5,300.0000	THERMO FISHER SCIENTIFIC INC	190,902.94	44.9700	238,341.00	47,438.06
4,500.0000	3M CO	339,382.35	81.7300	367,785.00	28,402.65
7,880.0000	UNITEDHEALTH GROUP INC	279,067.52	50.6800	399,358.40	120,290.88

Schedule 7A (3 of 3)

THE BANK OF NEW YORK MELLON.

TRDF38 F38F58312502 ANNUAL FINAL , 152351
INVESTMENT DETAIL
31 DECEMBER 2011

2011-12-31 CYCLE 4 15:23:51 RUN DATE: 13-JAN-12
PAGE: -3
M1101

F38 F583125
CHARLES A DANA FOUNDATION

SHARES/ PAR VALUE	SECURITY DESCRIPTION	COST	PRICE	MARKET VALUE	UNREALIZED GAIN/LOSS
10,400.0000	VODAFONE GROUP PLC ADR	238,301.44	28.0300	291,512.00	53,210.56
9,800.0000	WAL-MART STORES INC	474,804.30	59.7600	585,648.00	110,843.70
8,500.0000	WASTE MANAGEMENT INC	136,794.46	32.7100	278,035.00	141,240.54
TOTAL INVESTMENTS EQUITY		6,881,728.53		9,954,449.00	3,072,720.47
TOTAL INVESTMENT		7,434,159.83		10,506,880.30	3,072,720.47

The Charles A. Dana Foundation, Incorporated
Other Investments
Part II- Line13
2011

Schedule 8

	Net Book Value 01-Jan	Net Book Value 31-Dec
Knightsbridge Venture Capital	$3,538,263	$4,390,363
Abbott Capital Fund II	859,684	504,228
Abbott Capital Fund IV	5,163,490	4,957,345
Abbott Capital Fund V	2,813,197	3,349,833
Abbott Capital Fund VI	402,240	858,829
Sovereign New Millenium Fund	547,162	476,000
Adamas Opportunities L.P.	6,400,919	6,393,398
Adamas Partners L.P.	5,967,351	5,913,487
Davidson Kempner	12,724,667	11,239,046
TIFF Partners III L.P.	1,395,097	1,028,320
TIFF Partners IV L.P.	2,718,509	2,311,854
Oak Hill Capital Partners Bermuda	420,951	413,457
Oak Hill Capital Partners	962,784	644,018
Silchester	13,177,635	10,472,007
Wellington Real Strategic Assets	10,289,968	9,348,172
Black Bear Offshore Fund - Offshore	57,914	57,786
TIFF Secondary Partners (TSP) TIFF I	620,941	418,120
Hintz , Holman & Rubillard - Jubilee Tax Exempt	3,904,665	4,059,115
Greenlight CapitalOffshore	3,695,142	3,765,893
Commonfund Private Equity-VI	3,694,437	4,268,575
Commonfund Private Equity- VII	7,678,881	2,483,510
Commonfund International Private Equity VI	1,713,433	4,026,644
Commonfund Capital Venture Partners VIII	3,213,881	3,800,603
Brandywine Intl Fixed	2,322,908	7,049,810
Adage Capital	9,709,854	12,257,945
King Street Capital	11,553,901	6,242,436
Forester Capital-B	6,355,422	12,706,458
1607 Capital Partners	6,532,506	5,963,431
Flag Real Asssets	8,180,667	2,891,405
Chilton Global Natural Resources	1,849,258	8,019,034
Evanston Weatherlow	11,021,897	0
Newport Asia	3,215,677	5,213,344
Asian Century Quest	6,095,762	4,746,030
Prince Street Opportunities	0	5,000,000
Rounding to F/S	-2	-241
Gold Bullion	5,178,377	12,245,889
Total Investments	$163,977,438	$167,516,144

Part II, Line 14 (990-PF) - Land, Buildings, and Equipment

	Item or Category	Cost or Other Basis	Accumulated Depreciation Beg of Year	Accumulated Depreciation End of Year	Book Value Beg of Year	Book Value End of Year	FMV End of Year
		2,457,019	1,723,355	1,850,405	733,664	606,614	606,614
1	Leasehold Improvements	2,457,019	1,723,355	1,850,405	733,664	606,614	606,614
2					0	0	
3					0	0	
4					0	0	
5					0	0	
6					0	0	
7					0	0	
8					0	0	
9					0	0	
10					0	0	
11					0	0	
12					0	0	
13					0	0	
14					0	0	
15					0	0	
16					0	0	
17					0	0	

Part II, Line 15 (990-PF) - Other Assets

	Description	Beginning Balance	Ending Balance	Fair Market Value
		39,700	337,497	337,497
1	Prepaid Expenses		131,917	131,917
2	Security Deposits		138,460	138,460
3	Prepaid Taxes	39,700	67,120	67,120
4				
5				
6				
7				
8				
9				
10				

Part II, Line 22 (990-PF) - Other Liabilities

	Description	Beginning Balance	Ending Balance
		3,256,153	4,033,728
1			
2	Deferred Taxes	625,000	410,000
3	Deferred Rent	399,046	977,753
4	Postretirement Benefit Obligation	2,232,107	2,645,975
5			
6			
7			
8			
9			
10			

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 5 - Decreases not included in Part III, Line 2

1	Excise Taxes- Current and Deferred	1	8,141
2	Net Unrealized Appreciation in Investment Assets	2	11,345,876
3	Total	3	11,354,017

The Charles A Dana Foundation, Incorporated

Schedule 13

06-6036761

Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount
Long Term CG Distributions	
Short Term CG Distributions	

Totals	Gross Sales	Cost, Other Basis and Expenses	Net Gain or Loss
Securities	0	0	0
Other sales	32,675,187	30,584,417	2,090,770

Index	Check "X" if Sale of Security	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improve-ments	Depreciation	Net Gain or Loss
1		See attached BONY SCH 13A				various	P	various	1,102,064	665,372				436,692
2		State Street Fund							854	0				854
3		PIMCO Devel Bond Fund							3,500,000	3,706,134				-206,134
4		Weatherlow Ptrs							3,019,120	3,000,000				19,120
5		Aberdeen Asia							6,998,238	7,176,564				-178,326
6		Vanguard Tips							7,842,369	7,108,176				734,193
7		Vanguard Growth Index							1,319,386	1,152,370				167,016
8		Vanguard Devel Mkts							2,218,293	1,586,211				632,082
9		Vanguard Total Bond Fund							5,400,000	5,169,632				230,368
10		Vanguard Inst Index							1,274,863	1,019,958				254,905

Schedule 13 A (1 of 2)

THE BANK OF NEW YORK MELLON.

TRANSACTION REPORT
FOR THE PERIOD 01 JANUARY 2011 THROUGH 31 DECEMBER 2011

F38 F583125
CHARLES A DANA FOUNDATION

TRAN CODE	EFFECTIVE/ CONTRACTUAL/ SETTLEMENT DATE	SECURITY DESCRIPTION (LOCAL CURR/SETTLE CURR)	SHARES PAR VALUE	TRADE DATE BASE AMOUNT	INVESTMENT BASE COST	REALIZED GAIN/LOSS IN BASE CURRENCY
		TOTAL				
		CASH & CASH EQUIVALENTS				
		TRADED - SETTLED CURRENT PERIOD				
		U.S. DOLLAR		1,195,416.71	1,195,416.71-	0.00
		TRADED - PENDING SETTLEMENT				
		U.S. DOLLAR		0.00	0.00	0.00
		SETTLED - TRADED PRIOR PERIOD				
		U.S. DOLLAR		0.00	0.00	
		EQUITY				
		U.S. DOLLAR				
S	27-APR-11 02-MAY-11	WILLIS GROUP HOLDINGS PUBLIC LIMITED COMPANY	2,900.000-	118,817.96	99,444.77-	19,373.19
S	28-APR-11 03-MAY-11	COMCAST CORP	5,300.000-	129,286.24	99,315.97-	29,970.27
S	20-DEC-11 23-DEC-11	COMCAST CORP	5,300.000-	123,361.48	111,056.56-	12,304.92
S	06-DEC-11 09-DEC-11	DISCOVERY COMMUNICATIONS INC	7,200.000-	273,707.06	88,621.40-	185,085.66
S	07-DEC-11 12-DEC-11	DISCOVERY COMMUNICATIONS INC	20.000-	758.63	248.00-	510.63
S	04-FEB-11 09-FEB-11	L-3 COMMUNICATIONS HOLDINGS IN	2,000.000-	157,386.78	90,989.40-	66,397.38

Schedule 13A (2 of 2)

THE BANK OF NEW YORK MELLON.

TRDF38 F38F58312502 ANNUAL FINAL 152351

2011-12-31 CYCLE 4 15:23:51 RUN DATE: 13-JAN-12

M25701

TRANSACTION REPORT
FOR THE PERIOD 01 JANUARY 2011 THROUGH 31 DECEMBER 2011

F38 F583125
CHARLES A DANA FOUNDATION

TRAN CODE	EFFECTIVE/ CONTRACTUAL/ SETTLEMENT DATE	SECURITY DESCRIPTION (LOCAL CURR/SETTLE CURR)	SHARES PAR VALUE	TRADE DATE BASE AMOUNT	INVESTMENT BASE COST	REALIZED GAIN/LOSS IN BASE CURRENCY
S	04-MAY-11 09-MAY-11	LIBERTY MEDIA CORP - STARZ	1,138.000-	85,009.01	19,750.80-	65,258.21
S	12-MAY-11 17-MAY-11	PRAXAIR INC	1,100.000-	114,457.75	44,825.00-	69,632.75
S	14-DEC-11 19-DEC-11	TEVA PHARMACEUTICAL INDUSTRIES ADR	2,400.000-	99,278.89	111,118.80-	11,839.91-

TOTAL
EQUITY

TRADED - SETTLED CURRENT PERIOD U.S. DOLLAR		1,102,063.80	685,370.70-	436,693.10
TRADED - PENDING SETTLEMENT U.S. DOLLAR		0.00	0.00	0.00
SETTLED - TRADED PRIOR PERIOD U.S. DOLLAR		0.00	0.00	

THE CHARLES A. DANA FOUNDATION, INCOROPORATED
EIN 06-6036761

2011

Statement 14

Part VII-A, Line 11: Statement of Information Regarding Ownership/Transfers to Controlled Entity

(A) Name of Controlled Entity:
Dana Alliance for Brain Initiatives, Inc
505 Fifth Avenue, 6th Fl
New York, New York 10017

(B) Employer EIN: 06-1360140

(C) Description of Transfer: Cash Grant paid during the year

(D) Amount of Transfer: $2,890,913

The Charles A. Dana Foundation, Incorporated
ID No. 06-603671

Statement 15

Year 2011

PART VII-B
Question 5c

FORM 990-PF

STATEMENT on EXPENDITURE RESPONSIBILITY

1. Grantee's name and address·

   Dana Alliance for Brain Initiatives, Inc.
   505 Fifth Avenue, 6th Fl
   New York, New York 10017

2. Date and amount of grant.

   Grants totaling $2,890,913 were paid to Dana Allıance during 2011

3. Purpose of grant:

   The purpose of the grants was to provide for the general support of Dana Alliance to enable ıt to carry out its chantable purposes.

4. As of December 31,2011 the entire grant had been expended by Dana Allıance

5. To the knowledge of the taxpaper, no portıon of the funds contributed by Dana Foundation have been diverted by Dana Alliance from the purposes of the grant.

6. Dana Alliance provided Dana Foundation with an Annual Report ın June 2012

The purpose of this statement is to satisfy the report-making requirements of Section 4945 (h) (3) of the Internal Revenue Code of 1986, as amended, regarding taxpaper expenditure responsibility.

The Charles A. Dana Foundation, Incorporated
2011
Part VIII Line 1 **Schedule 16**
List of Officers and Directors

Name and Address	Title/ Average Hours	Compensation	Employee Benefits	Expense Account
Edward F. Rover 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Chm and Pres President/Director Hours - 26	$346,773	$56,172	$0
Burton M.Mirsky 505 Fifth Avenue 6th Floor New York, N.Y. 10017	VP and Treasurer Hours- 26	181,996	55,158	0
Barbara E. Gill 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Vice President Hours- 3	23,601	8,720	0
Rosemary Shields 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Asst. Secretary Hours -26	87,300	28,308	0
Patricia Mangini 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Asst Treasurer Hours -26	56381	44967	0
LaSalle D. Lefall, Jr. MD 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Director Hours-2	6,000	0	0
Steven E. Hyman, MD 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Director Hours-2	6,325	0	0
Charles A. Dana III 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Director 2 Hours	6,325	0	0
Edward Bleier	Director	6533	0	0

The Charles A. Dana Foundation, Incorporated
2011
Part VIII Line 1 **Schedule 16**
List of Officers and Directors

505 Fifth Avenue 6th Floor New York, N.Y. 10017	2 Hours			
Wallace Cook 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Secretary and Director 2 Hours	6325	0	0
Hildegarde Mahoney 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Director 2 Hours	6,000	0	0
Ann McLaughlin Korologos 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Director 2 Hours	6,533	0	0
Herbert J. Siegel 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Director 2 Hours	6,533	0	0
Peter Nadosy 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Director 2 Hours	6,000	0	0
	Grand Total	$752,625	$193,325	$0

Dana Alliance for Brain Initiatives, Inc. **Statement/Schedule 16A**

06-1360140

Year 2011

Compensation reported in the 2011 Form 990PF of The Dana Alliance For Brain Initiatives, Inc. for directors and officers who are also directors and officers of the The Charles A. Dana Foundation, Incorporated, is as follows:

Form 990-PF

List of Officers and Directors

Name and Address	Title/ Average Hours	Compensation	Employee Benefits	Expense Account
Edward F. Rover 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Chm President/Director Hours - 9	$115,591	$18,724	$0
Burton M.Mirsky 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Treasurer Hours- 9	60,664	18,386	0
Barbara E. Gill 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Vice President Hours- 32	212,409	78,488	0
Rosemary Shields 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Secretary Hours -9	29,100	9,436	0
LaSalle D. Leffall,Jr. MD 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Director Hours-2	3,000	0	0
Steven E. Hyman, MD 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Director Hours-2	3,000	0	0
	Totals	$423,764	$125,034	0

The Charles A. Dana Foundation, Incorporated

Schedule 17

06-6036761

Year 2011

Form 990-PF Part VIII, Line 2

List of Highest Paid Employees

Name and Address	Title/ Average Hours	Compensation	Employee Benefits	Expense Account
James Rutt 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Director, IT 24 Hours	$128,300	$58,281	0
Mary Lucas 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Grants Manager 35 Hours	90,200	38,600	0
Nicky Penttila 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Web Editor 32 Hours	69,750	41,475	
Barbara Peterson 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Exec-Asst 26 Hours	75,800	12,711	0
Brigida Gay 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Controller 32 Hours	63,525	36,658	0
Total		$427,575	$187,725	$0

The Charles A. Dana Foundation, Incorporated

Year 2011

EIN # 06-6036761

Statement/Schedule 17A

Certain of the individuals listed on Part VIII , line 2 also perform services for DANA ALLIANCE FOR BRAIN INITIATIVES , INC. (Alliance), EIBN 06-1360140. Foundation exercises expenditure responsibility over Alliance. Compensation is paid by a common paymaster. Compensation information for these individuals for 2011 from Alliance is as follows:

Employee	Title/Hours	Comp	Benefits	Other
Jim Rutt	Director, IT/ 9	$22,650	$8,957	$0
Brigida Gay	Controller/8	21,175	10,584	0
Nicky Pentilla	Web Editor/3	7,750	4,016	0
		$51,575	$23,557	$0

Charles A. Dana Foundation, Inc
2011

Statement 18
Form 990-PF, Part IX-A
Summary of Four Largest Direct Charitable Activities: Total Expenses $ **4,686,825**

1. The Communications Department is a full-service news bureau that identifies media opportunities and facilitates news coverage of areas of interest to the Foundation. It provides a resource service for journalists seeking background and experts in the neurosciences. In 2011, the Communications Department: (1) published two briefing papers, four Q&A's, and five primers (overviews of brain related topics), which were sent to select media; (2) sent tailored Brain Awareness Week pitches to science, health, feature, and education reporters; (3) wrote and distributed media advisories for the Staying Sharp series; (4) wrote media alerts about Dana programs; and (5) and maintained the online Expert Directory of Dana Alliance members, specifically for the media. The Communications Department has editorial responsibility for *Brain in the News* and *Cerebrum*. *Brain in the News* (BITN) and *Cerebrum* are published monthly. BITN is published in print, with select items published online and *Cerebrum* is an online-only publication. The News Office also acts as an in-house resource for science-based materials. The staff serves as editors for the web resource sections: BrainWeb, Brainy Kids Online, and Brain Resources for Seniors, as well as for the neuroeducation and neuroethics sections.

   Expenses, $1,296,716

2. The Grants department administers the various programs that support research in science and health, through the specifically designed objectives established by the Foundation's Board of Directors. The Foundation's principal interest is in neuroscience.

   Expenses, $1,282,295

3. The Dana Press publishes the "Report on Progress" and ebooks. The Dana Press provides editorial assistance for scientist/authors.

   Expenses, $1,086,302

Statement 18
Form 990-PF, Part IX-A
Summary of Four Largest Direct Charitable Activities

4. The Dana Foundation continued to enhance its Website, which features weekly and often daily stories on brain science; blogs; a user-friendly search engine; grants information; subscription features, including RSS feeds; a Twitter account; and all Dana publications. The European Dana Alliance for the Brain Website provided users access to publications in multiple languages and links to international neuroscience research organizations. The Brain Awareness Week Website offers partners and the general pubic access to the international calendar; free downloadable materials; educational resources; and current information.

Expenses, **$1,021,513**

Statement 19 [1 of 3]

Grant Guidelines

(Revised January 2012)

The Dana Foundation, established in 1950 by the industrialist, philanthropist, and legislator Charles A. Dana, is a private philanthropic foundation with principal interests in science, health, and education. The Foundation's current area of research emphasis is in neuroscience. Selected proposals have the potential to improve human health or functioning. Grants also support improvement in K-12 education. No applications are considered apart from these grant programs.

GENERAL POLICIES

The Foundation:

1. Supports programs in science, health, and education through specifically defined objectives in each field.
2. Requires grantee institutions, in many cases, to share the cost of a project or raise matching funds.
3. Makes no grants directly to individuals.
4. Does not support annual operating costs of organizations, deficit reduction, capital campaigns, or individual sabbaticals.
5. Does not schedule meetings with applicants, other than by specific invitation initiated by the Foundation.

APPLICATION GUIDELINES

Neuroscience Grants

Brain and Immuno-imaging. Investigators use physiological and structural, cellular and molecular imaging techniques to pilot-test novel clinical hypotheses on the brain or interactions between brain and immune cells. Requests for Proposals (RFPs) are sent annually to deans of U.S. Medical Schools and other invited biomedical research institutions.

Clinical Neuroscience Research. Translational researchers apply to test promising therapies, from animal model research, in a small number of patients with devastating, currently untreatable, brain diseases. Also supported are studies to develop ethical guidelines for clinical brain research, and prognostic data based on treatment outcomes in patients with severe brain injuries or disorders.

All other Neuroscience Grants are made solely by invitation. For information, please contact:

Mary Lucas
Grants Office, The Dana Foundation
505 Fifth Avenue, 6th floor
New York, NY 10017
(212) 223-4040 ext. 669

Education Grants

The Foundation has supported advances in education throughout its history. The Foundation's continuing interest in fostering innovations in K-12 education is maintained through grant support for the Dana Center for Education Innovation at the University of Texas in Austin. Other Foundation support for select education projects is internally generated or invited.

For information on Education grants, please contact:

Mary Lucas
Grants Office, The Dana Foundation
509 5th Avenue
New York, NY 10017
212 223-4040 ext 669

FULL PROPOSAL FORMAT
Brain and Immuno-imaging Program
Deadline: Thursday, July 12, 2012

Cover page: Institution name. Project title. Principal investigator(s) name(s), title, phone and fax numbers, and street and e-mail addresses. Grant administration contact person, address, and phone number. Please number all pages of the proposal *including* appendices. In addition, please indicate whether you are a **new investigator** (have not yet received an RO1), a **newly independent investigator** (received one RO1), an **established investigator** (two or more RO1's), or a **senior investigator** (professor). Please specify the total funding requested. **A comprehensive and clear cover page is essential.**

For proposals using conventional imaging of gray or white matter and measures of anatomical or physiological brain functioning: Studies should involve patient-oriented clinical research. Applicants conducting research in animal models or in human tissue, because the study cannot yet be safely and effectively conducted in humans, must demonstrate direct clinical relevance. **Promising career investigators who have not yet been awarded their first independent research grant (RO1 from the NIH or equivalent from another Federal agency) or have received only one such grant at the time of applying are eligible to apply for funding of up to $200,000 payable over three years. Those applicants with two or more RO1's are eligible to receive funding totaling up to $100,000 payable over three years.**

For proposals using cellular and molecular imaging of biochemical actions of specific brain cells, or their interactions with immune cells: These studies may involve human tissues or animal models, but must have **direct clinical relevance to the human** Applicants for all cellular and molecular imaging studies can request **up to $200,000 total, payable over three years.**

Cover Letter: *If your invitation letter includes feedback or concerns to be addressed within your full proposal,* please briefly respond to the points **in a cover letter**, and indicate where in the proposal (page #) these points are fully addressed.

Abstract· An abstract of the project's aims and methods. Abstracts of funded grants will be included on the Foundation's Web site to inform scientists about the project. While the general public may read these, abstracts should be written for the scientific community. Please also send on electronic copy of your abstract to amarin@dana.org.

Introduction. A one-page summary of the research, **written in lay language** that can be easily understood by the general public. Lay Summaries of funded studies will be posted on the Foundation's Web site to inform the public The summary should describe:

1) The question(s) are you investigating
2) How you will study the question(s), using imaging
3) The potential significance to human health

Section I. State your hypothesis(es) clearly and succinctly.

Section II: List up to three specific research aims.

Section III. Describe the methods to be used. **If a new methodology is being proposed, please provide preliminary evidence of its use by those involved in the application. If a new technique or**

assay is being developed, please provide at least preliminary evidence of its feasibility and use, as well as evidence of your experience with this technology. *If you do not have preliminary evidence, we encourage you to reapply after feasibility has been demonstrated.* **If your proposed study involves patients, please also provide evidence of the feasibility of your enrollment targets for patients and controls.** This should include a retrospective review of the number of patients with the condition who have been seen at the proposed participating institution(s) within the projected time needed to complete the study, and, an indication of how you will recruit the requisite number of appropriate controls. **If your proposed project involves a study of normal brain functioning, please indicate how you will recruit the necessary number of participants.**

Section IV: Explain how the proposed research relates to ongoing activities of your laboratory and clinical work.

Section V: Describe the potential research significance and clinical applications of your research. **(Note: Sections I through V should be no longer than five pages total.** You may use up to two more pages for references.)

Appendix I: A one-page budget reflecting the expense categories and timeline of your research project. The budget should begin **October 1, 2012.** *(Note: The Foundation will not provide support for indirect costs; a maximum of ten percent of the grant award may be used to purchase equipment.)*

Appendix II: Principal Investigator(s) CVs using the NIH four-page format.

Appendix III. A list of all active grants and pending proposals by the applicant(s) *Please include an abstract, specifying the aims, for any existing or pending grants that potentially involve overlap between these sources of support and the proposed Dana project.*

Appendix IV: A letter from the appropriate university or medical school official (or research institute president if from an invited free-standing institute) endorsing the application on behalf of the institution. *(Note: A copy of the letter submitted with the preliminary application is sufficient.)*

Appendix V. A letter from the person responsible for ensuring that the imaging technology required for this study will be available during the proposed time frame.

Appendix VI: Please provide a copy of the transmittal letter **seeking** IRB approval if your research involves humans. **Please note that IRB approval is not required at the time of full proposal submission, but notice of IRB approval is required prior to release of Foundation funds for any project involving humans.**

Appendix VII: *Optional:* If high resolution photographs are vital to illustrate or support the methodology proposed, please enclose 10 glossy originals.

Please use Times New Roman 12 point or Arial 11 point font. Reviewers will not consider proposals provided in smaller font sizes. Please adhere to 1 inch margins. The proposal must have titled subheads corresponding to these format instructions.

Due: By noon on Thursday, July 12th. Please send 10 complete copies to the following address:

Attn: Angie Marin
The Dana Foundation
505 Fifth Ave., 6th Floor
New York, NY 10017

Statement 20

The Charles A. Dana Foundation, Inc.
Summary of Appropriations and Payments in 2011

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Acadiana Arts Council				
Lafayette, LA				
Arts Education	11,000		$11,000	
American Association for the Advancement of Science				
Washington, DC				
Neuroscience Research		124,200	124,200	
Arizona Community for Foundation				
Phoenix, AZ				
Arts Education	5,000			5,000
Arts Alliance of Northern New Hampshire				
Littleton, NH				
Arts Education	18,750			18,750
ArtsBridge America				
Appleton, WI				
Arts Education	6,000		6,000	
Arts Council of Greater Baton Rouge				
Baton Rouge, Louisiana				
Arts Education	22,000		22,000	

* Equivalency determination made, all others are public charities

The Charles A. Dana Foundation, Inc.
Summary of Appropriations and Payments in 2011

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Baylor College of Medicine				
Houston, TX				
Brain and Immuno-imaging	230,000			230,000
Neuroimmunology	100,000	200,000	150,000	150,000
Beth Israel Deaconess Medical Center				
Boston, MA				
Clinical Neuroscience Research	150,000		150,000	
Borderlands Theatre				
Tuscon, AZ				
Arts Education	1,350		1,350	
Boston College				
Chestnut Hill, MA				
Brain and Immuno-Imaging		300,000	150,000	150,000
Brigham and Women's Hospital				
Boston, MA				
Neuroimmunology	200,000		200,000	
Burke Medical Research Institute				
White Plains, NY				
Clinical Neuroscience Research		250,000		250,000

The Charles A Dana Foundation, Inc.
Summary of Appropriations and Payments in 2011

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Carnegie Mellon University				
Pittsburgh, PA				
Brain and Immuno-imaging				
Neuroimmunology	150,000		150,000	
Case Western University				
Cleveland, OH				
Brain and Immuno-imaging	50,000			50,000
Children's Hospital Medical Center				
Boston, MA				
Brain and Immuno-imaging	150,000		50,000	100,000
Human Immunology				
Children's Hospital of Philadelphia				
Philadelphia, PA				
Brain and Immuno-imaging	50,000			50,000
Choral Arts Society of Washington				
Washington, DC				
Arts Education	6,000		6,000	
CityDance Ensemble				
Washington, DC				
Arts Education	3,750		3,750	
ClancyWorks Dance Company				
Washington, DC				

The Charles A Dana Foundation, Inc.
Summary of Appropriations and Payments in 2011

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Arts Education				
Cold Spring Harbor Laboratory				
Cold Spring Harbor, NY				
Genes to Cognition Web site development				
Science Enrichment and Neuroscience Mentoring	333,334		333,334	
Brain and Immuno-imaging	150,000		50,000	100,000
Columbia University College of Physicians & Surgeons				
New York, NY				
Brain and Immuno-imaging	400,000		50,000	350,000
Clinical Neuroscience Research				
Human Immunology				
Neuroimmunology	150,000		50,000	100,000
Creative Arts Team, Inc				
New York, NY				
Arts Education	6,000		6,000	
Dana Alliance for Brain Initiatives, Inc		2,890,913	2,890,913	
New York, NY [See statement on expenditure responsibility]				
Public education campaign on neuroscience research				
Dana Farber Cancer Institute				
Boston, MA				
Brain and Immuno-imaging				
Clinical and Translational Research	4,750,000			4,750,000
Human Immunology	72,000		72,000	

* Equivalency determination made, all others are public charities

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
DreamYard Project, Inc				
Bronx, NY				
Arts Education	4,300		4,300	
Duke University School of Medicine				
Durham, NC				
Brain and Immuno-imaging	200,000		100,000	100,000
Neuroimmunology	100,000			100,000
Education Through Music				
New York, NY				
Arts Education	20,625		20,625	
Emory University School of Medicine				
Atlanta, GA				
Clinical Neuroscience Research	150,000			150,000
Neuroimmunology	150,000		50,000	100,000
Fairfield University				
Fairfield, CT				
Arts Education	5,000		5,000	
Feinstein Institute for Medical Research				
Manhasset, NY				
Clinical Neuroscience Research				
Brain and Immuno-Imaging	100,000			100,000
Human Immunology	100,000	(89,227)	10,773	(0)

* Equivalency determination made, all others are public charities

The Charles A. Dana Foundation, Inc.
Summary of Appropriations and Payments in 2011

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Fred Hutchinson Cancer Research Center				
Seattle, WA				
Human Immunology	100,000	(12)	99,988	
George Washington University Medical Center				
Washington, D C				
Neuroimmunology	150,000		50,000	100,000
Harvard Medical School				
Cambridge, MA				
Brain and Immuno-imaging	350,000		150,000	200,000
Human Immunology	95,000			95,000
Harvard University				
Cambridge, MA				
Arts & Cognition	362,000			362,000
Howard University College of Medicine				
Washington, D C				
Clinical Neuroscience Research	250,000		125,000	125,000
Institut de Transplantation et de Recherche en Transplantation*				
Nantes, France				
Human Immunology	40,000			40,000

* Equivalency determination made, all others are public charities

The Charles A. Dana Foundation, Inc.
Summary of Appropriations and Payments in 2011

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
International Neuroethics Society				
Philadelphia, PA				
Neuroethics Operating Expenses		120,000	120,000	
Jazz House Kids, Inc				
New York, NY				
Arts Education	17,875			17,875
Johns Hopkins University				
Baltimore, MD				
Brain and Immuno-imaging				
Human Immunology	200,000			200,000
Neuroimmunology				
Neurological problems with bypass surgery				
Clinical Neuroscience Research	150,000	150,000	50,000	250,000
Neuroscience-Related		500,000		500,000
Lied Center for Performing Arts				
Lincoln, NB				
Arts Education	13,750		11,250	2,500
Lincoln Center Institute				
New York, NY				
Arts Education	3,000		3,000	
Loyola University, Maryland				
Baltimore, MD				

The Charles A Dana Foundation, Inc.
Summary of Appropriations and Payments in 2011

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Ad Hoc		5,000	5,000	
Massachusetts General Hospital				
Boston, MA				
Brain and Immuno-imaging	150,000		50,000	100,000
Human Immunology				
Neuroimmunology				
Massachusetts Institute of Technology				
Cambridge, MA				
Brain and Immuno-imaging				
Human Immunology	200,000		200,000	
Maui Academy of Performing Arts				
Wailuki, HI				
Arts Education	13,750		13,750	
Mayo Clinic				
Rochester, MN				
Human Immunology	60,000		60,000	
Brain and Immuno-imaging	100,000			100,000
M D Anderson Cancer Center				
Houston, TX				
Brain and Immuno-imaging				
Human Immunology	100,000	(1,034)	98,966	
Neuroimmunology	150,000		50,000	100,000

The Charles A. Dana Foundation, Inc.
Summary of Appropriations and Payments in 2011

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Medical University of South Carolina				
Charlestown, SC				
Brain and Immuno-imaging	150,000		50,000	100,000
Memorial Sloan Kettering Cancer Center				
New York, NY				
Brain and Immuno-imaging	150,000	300,000	300,000	150,000
Michigan State University				
Lansing, MI				
Brain and Immuno-Imaging		200,000		200,000
Mississippi Alliance for Arts Education				
Hattiesburg, MS				
Arts Education	2,500		2,500	
New York Academy of Sciences		3,000	3,000	
New York, NY				
Ad hoc				
New York Presbyterian Hospital Neurological Institute				
New York, NY				
Clinical Neuroscience Research	75,000		75,000	

The Charles A. Dana Foundation, Inc
Summary of Appropriations and Payments in 2011

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
New York University School of Medicine				
New York, NY				
Brain and Immuno-imaging	150,000		50,000	100,000
Neuroimmunology	250,000	200,000	150,000	300,000
Human Immunology	100,000		100,000	
Nonprofit Coordinating Committee				
New York, NY				
Ad hoc		25,000	25,000	
Northwestern University Feinberg School of Medicine				
Chicago, IL				
Brain and Immuno-imaging	50,000			50,000
Neuroimmunology				
Oklahoma A+ Schools				
Edmund,OK				
Arts Education	27,500		22,500	5,000
Oregon Health Sciences University School of Medicine				
Portland, OR				
Brain and Immuno-imaging	100,000			100,000
University of Oxford				
Oxford, England				
Clinical Neuroscience Research		200,000		200,000

The Charles A. Dana Foundation, Inc.
Summary of Appropriations and Payments in 2011

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Pasadena Conservatory of Music				
Pasadena, CA				
Arts Education	2,000		2,000	
Pennsylvania State University				
Hershey, PA				
Brain and Immuno-imaging	150,000		50,000	100,000
Reseach!America				
Alexandria, VA				
Neuroscience-Related		20,000	20,000	
Research Institute of Nationwide Children's Hospital				
Columbus, OH				
Human Immunology	16,955		16,955	
Rockefeller University				
New York, NY				
Human Immunology				
Neuroimmunology				
Endowed Professorship				
Neuroscience-Related		5,000	5,000	
Science Network (Salk Institute)				
San Diego, CA				
Ad hoc		30,000	30,000	

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Stanford University				
Stanford, CA				
Brain and Immuno-imaging	100,000	300,000	100,000	300,000
Human Immunology				
Neuroimmunology				
State of Wisconsin Department of Public Instruction				
Madison, WI				
Arts Education	5,000			5,000
Stichting Federation of European Neuroscience Societies				
Berlin, Germany				
Neuroscience-Related Programs	35,000	60,000	35,000	60,000
The Hebrew University of Jerusalem*				
Jerusalem, Israel				
Brain and immuno-imaging	50,000			50,000
Human Immunology				
Uniformed Services University of the Health Sciences				
Bethesda, MD				
Brain and Immuno-imaging	100,000			100,000
University of Alabama School of Medicine				
Birmingham, AL				
Human Immunology				

* Equivalency determination made, all others are public charities

The Charles A. Dana Foundation, Inc.
Summary of Appropriations and Payments in 2011

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Brain and Immuno-imaging	200,000		100,000	100,000
University of California, Davis				
Davis, CA				
Brain and Immuno-Imaging	100,000	200,000		300,000
University of California, Irvine				
Irvine, CA				
Arts Education (ArtsBridge)	20,625		20,625	
Human Immunology				
University of California, Los Angeles				
Los Angeles, CA				
Brain and Immuno-imaging				
Clinical Neuroscience Research	326,000		326,000	
Neuroimmunology				
University of California, Riverside				
Riverside, CA				
Neuroimmunology	100,000		100,000	
University of Chicago				
Chicago, IL				
Human Immunology	100,000		100,000	
Brain and Immuno-imaging	150,000		50,000	100,000
Clinical Neuroscience Research		250,000	125,000	125,000

* Equivalency determination made, all others are public charities

The Charles A. Dana Foundation, Inc.
Summary of Appropriations and Payments in 2011

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
University of Florida College of Medicine				
Gainesville, FL				
Brain and Immuno-imaging	150,000		50,000	100,000
University of Iowa				
Iowa City, IA				
Brain and Immuno-imaging	250,000		50,000	200,000
Human Immunology				
University of Maryland School of Medicine				
Baltimore, MD				
Brain and Immuno-imaging	150,000		50,000	100,000
University of Massachusetts Medical School				
Worchester, MA				
Brain and Immuno-imaging	100,000			100,000
University of Miami Miller School of Medicine				
Miami, FL				
Brain and Immuno-imaging				
Human Immunology	60,000		60,000	
University of Michigan Medical School				
Ann Arbor, MI				
Brain and Immuno-imaging	450,000			450,000
Neuroimmunology		200,000	50,000	150,000

The Charles A. Dana Foundation, Inc
Summary of Appropriations and Payments in 2011

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
University of Nebraska				
Omaha, NB				
Human Immunology	100,000		100,000	
University of North Carolina				
Chapel Hill, NC				
Brain and Immuno-imaging				
Neuroimmunology	150,000		50,000	100,000
University of Pennsylvania				
Philadelphia, PA				
Brain and Immuno-imaging	250,000		15,146	234,854
Clinical Neuroscience Research	150,000	250,000		400,000
Supporting Neuroethics				
Neuroimmunology	150,000		50,000	100,000
Human Immunology				
University of Southern California School of Medicine				
Los Angeles, CA				
Brain and Immuno-Imaging		200,000		200,000
University of Tennessee				
Memphis, TN				
Human Immunology	100,000		100,000	
University of Texas at Austin				
Austin, TX				

The Charles A. Dana Foundation, Inc.
Summary of Appropriations and Payments in 2011

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Dana Center for Educational Innovation		25,000		25,000
University of South Florida School of Medicine				
Tampa, FL				
Clinical Neuroscience Research		300,000	150,000	150,000
University of Virginia Health Science Center				
Charlottesville, VA				
Clinical Neuroscience Research				
Human Immunology	200,000			200,000
Brain and Immuno-imaging				
University of Washington School of Medicine				
Seattle, WA				
Brain and Immuno-imaging	50,000			50,000
Human Immunology				
University of Wisconsin				
Madison, WI				
Brain and Immuno-imaging	300,000		50,000	250,000
Utah Shakespearean Festival				
Cedar City, UT				
Arts Education	4,000			4,000
Vanderbilt University				
Nashville, TN				
Neuroimmunology	100,000		100,000	
Brain and Immuno-imaging	150,000		50,000	100,000

* Equivalency determination made, all others are public charities

The Charles A. Dana Foundation, Inc
Summary of Appropriations and Payments in 2011

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Virginia Commonwealth University				
Richmond, VA				
Neuroimmunology	100,000			100,000
VSA Arts				
Washington, DC				
Arts Education	41,250			41,250
VSA Arts of Rhode Island				
Pawtuckets, RI				
Arts Education	5,000		5,000	
Wake Forest University Baptist Medical Center				
Winston-Salem, NC				
Brain and Immuno-imaging	100,000			100,000
Neuroimmunology	100,000			100,000
Washington University School of Medicine				
St Louis, MO				
Brain and Immuno-imaging	50,000			50,000
Neuroimmunology		300,000		300,000
Weill Medical College of Cornell University				
New York, NY				
Brain and Immuno-imaging	50,000			50,000
Clinical Neuroscience Research	50,000			50,000
Human Immunology training	35,000			35,000

The Charles A. Dana Foundation, Inc.
Summary of Appropriations and Payments in 2011

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Neuroimmunology	150,000		50,000	100,000
West Side YMCA of Greater New York				
New York, NY				
Ad hoc		5,000	5,000	
Yale University School of Medicine				
New Haven, CT				
Brain and Immuno-imaging	350,000	200,000	100,000	450,000
Neuroimmunology	150,000		50,000	100,000
Young Dancers in Repertory				
New York, NY				
Arts Education	3,000		3,000	
York University				
Toronto, Canada				
Brain and Immuno-Imaging	100,000		100,000	
Other Grants (Schedule attached)		1,806,000	$1,806,000	
Employee Match		31,896	$31,896	
Grants Refunds		(73,569)	-73,569	
TOTAL GRANTS	**17,009,314**	**9,487,167**	**$10,615,252**	**15,881,229**

The Charles A. Dana Foundation

Summary of "Other" Appropriations and Payments in 2011 (All are public charities, and all are for general support)

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
564 Park Avenue Preservation Foundation New York, NY		5,000	5,000	
Alliance Francaise de Washington Washington, DC		1,000	1,000	
ALS Association, Greater New York New York, NY		5,000	5,000	
American Associates of Ben Gurion University New York, NY		5,000	5,000	
American College of Surgeons Foundation Chicago, IL		10,000	10,000	
American Committee for the Weizmann Institute of Science, Inc. New York, NY		10,000	10,000	
American Ireland Fund New York, NY		10,000	10,000	
American Repertory Theater Cambridge, MA		50,000	50,000	
Amherst College Amherst, MA		25,000	25,000	
Anderson Ranch Arts Center Snowmass Village, CO		30,000	30,000	

The Charles A. Dana Foundation
Summary of "Other" Appropriations and Payments in 2011 (All are public charities, and all are for general support)

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Andrew Glover Youth Program, Inc. New York, NY		5,000	5,000	
Autism Speaks New York, NY		5,000	5,000	
Barnard College New York, NY		90,000	90,000	
Bay Street Theater Sag Harbor, NY		5,000	5,000	
Beaver Country Day School Chestnut Hill, MA		10,000	10,000	
Bishop John T. Walker School for Boys Washington, DC		10,000	10,000	
The Bone Marrow Foundation, Inc. New York, NY		15,000	15,000	
Boys' Club of New York New York, NY		5,000	5,000	
Boys & Girls Club of Palm Beach County West Palm Beach, FL		5,000	5,000	
Breast Cancer Research Foundation, Inc. New York, NY		110,000	110,000	

The Charles A. Dana Foundation

Summary of "Other" Appropriations and Payments in 2011 (All are public charities, and all are for general support)

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Bridgehampton Chamber Music Festival New York, NY		5,000	5,000	
Brigham and Women's Hospital, Inc. Boston, MA		20,000	20,000	
Cambridge in America New York, NY		25,000	25,000	
Carnegie Hall Society New York, NY		5,000	5,000	
Cape Eleuthera Foundation, Inc. Princeton, NJ		5,000	5,000	
C-Change Washington, DC		10,000	10,000	
Charles River School Dover, MA		5,000	5,000	
Cold Spring Harbor Laboratory Cold Spring Harbor, NY		5,000	5,000	
College of Charleston Foundation Charleston, SC		20,000	20,000	
Columbia Business School New York, NY		5,000	5,000	
Columbia University, Department of Surgery New York, NY		5,000	5,000	

The Charles A. Dana Foundation
Summary of "Other" Appropriations and Payments in 2011 (All are public charities, and all are for general support)

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Corning Museum of Glass Corning, NY		10,000	10,000	
Cristo Rey Network Chicago, IL		5,000	5,000	
Dana-Farber Cancer Institute Boston, MA		65,000	65,000	
Dancesport Academy, Inc. West Palm Beach, FL		20,000	20,000	
Dartmouth College Hanover, NH		5,000	5,000	
DC Preparatory Academy Washington, DC		10,000	10,000	
The East Hampton Library East Hampton, NY		5,000	5,000	
Exploring the Arts, Inc New York, NY		80,000	80,000	
Fordham University New York, NY		10,000	10,000	
Friends of Hopital Albert Schweitzer Haiti Pittsburgh, PA		5,000	5,000	
Friends Academy Locust Valley, NY		5,000	5,000	

The Charles A. Dana Foundation
Summary of "Other" Appropriations and Payments in 2011 (All are public charities, and all are for general support)

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Greenwich Academy Greenwich, CT		5,000	5,000	
Guild Hall of East Hampton East Hampton, NY		5,000	5,000	
Harlem Academy New York, NY		20,000	20,000	
Harvard Art Museum Cambridge, MA		25,000	25,000	
Harvard Law School Cambridge, MA		10,000	10,000	
Hastings Education Foundation Hastings-on-Hudson		10,000	10,000	
Historic Charleston Foundation Charleston, SC		10,000	10,000	
Hospice Foundation of Palm Beach County, Inc. Palm Beach, FL		10,000	10,000	
Hotchkiss School Lakeville, CT		5,000	5,000	
Howard University Hospital Washington, DC		29,000	29,000	
International Sephardic Education Foundation New York, NY		4,000	4,000	

The Charles A. Dana Foundation
Summary of "Other" Appropriations and Payments in 2011 (All are public charities, and all are for general support)

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Investigative Project on Terrorism Foundation Washington, D.C		5,000	5,000	
Israel Venture Network Palo Alto, CA		5,000	5,000	
LaSalle D Leffall, Jr , M.D. Surgical Society Foundation Washington, D.C		10,000	10,000	
Lincoln Center Theater New York, NY		6,000	6,000	
Lyford Cay Foundation, Inc. Lyford Cay, Nassau, Bahamas		15,000	15,000	
Lyford Cay Foundation School Lyford, Cay, Nassau, Bahamas		20,000	20,000	
Marc Lustgarten Pancreatic Cancer Foundation Bethpage, NY		5,000	5,000	
Lymphoma Foundation New York, NY		5,000	5,000	
Martha Graham Center of Contemporary Dance New York, NY		5,000	5,000	
Massachusetts General Hospital Boston, MA		10,000	10,000	

The Charles A. Dana Foundation
Summary of "Other" Appropriations and Payments in 2011 (All are public charities, and all are for general support)

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Medical University of South Carolina, Children's Hospital Fund Charleston, SC		10,000	10,000	
Meridian International Center Washington, D C		5,000	5,000	
Museum of Modern Art New York, NY		5,000	5,000	
National Gallery of Art Washington, DC		20,000	20,000	
National Center on Addiction and Substance Abuse at Columbia University New York, NY		10,000	10,000	
Newport Historical Society Newport, RI		50,000	50,000	
New York Philharmonic New York, NY		5,000	5,000	
New York Stem Cell Foundation New York, NY		5,000	5,000	
Norwalk Grassroots Tennis, Inc. Norwalk, CT		5,000	5,000	
Norwalk Land Trust, Inc. Norwalk, CT		5,000	5,000	
O'Connor House Paradise Valley, AZ		10,000	10,000	

The Charles A. Dana Foundation
Summary of "Other" Appropriations and Payments in 2011 (All are public charities, and all are for general support)

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Oliver Hazard Perry Rhode Island Newport, RI		25,000	25,000	
Palm Beach Centennial Commission, Inc West Palm Beach, FL		6,000	6,000	
Paley Center for Media New York, NY		5,000	5,000	
Pardee Rand Graduate School Santa Monica, CA		25,000	25,000	
Penland School of Crafts Penland, NC		10,000	10,000	
Preservation Foundation of Palm Beach, Inc. Palm Beach, FL		10,000	10,000	
Putnam-Indian Field School, Inc. Greenwich, CT		5,000	5,000	
Rand Corporation Santa Monica, CA		5,000	5,000	
Roaring Fork Conservancy Basalt, CO		20,000	20,000	
Ronald Reagan Presidential Foundation Simi Valley, CA		25,000	25,000	
Rosemont College Rosemont, PA		10,000	10,000	

The Charles A. Dana Foundation
Summary of "Other" Appropriations and Payments in 2011 (All are public charities, and all are for general support)

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Rowayton Arts Center, Inc. Rowayton, CT		5,000	5,000	
Roxbury Latin School West Roxbury, MA		25,000	25,000	
Saint Andrew's School Boca Raton, FL		3,000	3,000	
Saint Luke's Parish Darien, CT		6,000	6,000	
St Paul's School Concord, NH		5,000	5,000	
Sarah Lawrence College Bronxville, NY		5,000	5,000	
SEED Foundation Washington, D.C.		5,000	5,000	
Skidmore College Saratoga Springs, NY		10,000	10,000	
Society of the Four Arts Palm Beach, FL		20,000	20,000	
St. Augustine's College Raleigh, NC		5,000	5,000	
St. John's Church Washington, D.C.		10,000	10,000	

The Charles A. Dana Foundation
Summary of "Other" Appropriations and Payments in 2011 (All are public charities, and all are for general support)

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
St Thomas Choir School New York, NY		25,000	25,000	
The Susan G. Komen Breast Cancer Foundation Dallas, TX		20,000	20,000	
Syracuse University, Newhouse School of Communications Syracuse, NY		45,000	45,000	
The Aspen Institute Washington, DC		10,000	10,000	
The Brearley School New York, NY		30,000	30,000	
The Cottage at Darien Darien, CT		6,000	6,000	
The Council on Foreign Relations New York, NY		20,000	20,000	
The Foundation Fighting Blindness (formerly Nat Retinitis Pigmentosa Fdtn.) Baltimore, MD		25,000	25,000	
The Green Vale School Old Bronxville, NY		10,000	10,000	
The Reading Team, Inc New York, NY		75,000	75,000	
The Rockefeller University New York, NY		10,000	10,000	

The Charles A. Dana Foundation
Summary of "Other" Appropriations and Payments in 2011 (All are public charities, and all are for general support)

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
The Winsor School Boston, MA		50,000	50,000	
United Jewish Association/Federation of Jewish Philanthropies New York, NY		25,000	25,000	
University of Pennsylvania, Penn Libraries Fund Philadelphia, PA		5,000	5,000	
University of Virginia Charlottesville, VA		5,000	5,000	
University of Virginia School of Law Charlottesville, VA		50,000	50,000	
Urban Glass Brooklyn, NY		10,000	10,000	
West Side YMCA of Greater New York New York, NY		15,000	15,000	
Washington National Cathedral Washington, D.C		10,000	10,000	
Weill Cornell University Medical College New York, NY (Siegel)		5,000	5,000	
WETA Arlington, VA		5,000	5,000	
Wharton School of the University of Pennsylvania Philadelphia, PA				

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The Charles A. Dana Foundation
Summary of "Other" Appropriations and Payments in 2011 (All are public charities, and all are for general support)

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Women's Foreign Policy Group, Inc. Washington, D.C.		10,000	10,000	
Wyly Community Art Center Basalt, CO		5,000	5,000	
YMCA of Greater New York - Grosvenor House New York, NY				
	$0.00	**$1,806,000.00**	**$1,806,000.00**	**$0.00**