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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011 or tax year beginning

, and ending

Name of foundation CRAIG H. NEILSEN FOUNDATION		A Employer identification number 06-1695275
Number and street (or P.O. box number if mail is not delivered to street address) PO BOX 5195	Room/suite	B Telephone number 208-320-1423
City or town, state, and ZIP code TWIN FALLS, ID 83303-5195		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization. ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 425,644,218. (Part I, column (d) must be on cash basis)	J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		2,625,163.		N/A	
2 Check ☐ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		29,327.	29,327.		STATEMENT 1
4 Dividends and interest from securities		7,185,220.	7,174,311.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		-160092100.			
b Gross sales price for all assets on line 6a 914,506,153.			0.		
7 Capital gain net income (from Part IV, line 2)					
8 Net short-term capital gain					
9 Income modifications				390,118.	
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		76,208.	-561,560.		STATEMENT 3
12 Total (Add lines 1 through 11)		-150176182.	6,642,078.	390,118.	
13 Compensation of officers, directors, trustees, etc.		212,500.	112,500.		100,000.
14 Other employee salaries and wages		289,621.	14,481.		275,140.
15 Pension plans, employee benefits		32,997.	0.		31,684.
16a Legal fees STMT 4		271,118.	244,779.		25,993.
b Accounting fees STMT 5		50,998.	13,960.		41,612.
c Other professional fees STMT 6		7,425,799.	7,316,796.		89,499.
17 Interest					
18 Taxes STMT 7		83,220.	82,985.		235.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings		70,729.	3,944.		66,376.
22 Printing and publications					
23 Other expenses STMT 8		251,621.	29,932.		224,028.
24 Total operating and administrative expenses. Add lines 13 through 23		8,688,603.	7,819,377.		854,567.
25 Contributions, gifts, grants paid		8,167,444.			6,885,532.
26 Total expenses and disbursements. Add lines 24 and 25		16,856,047.	7,819,377.		7,740,099.
27 Subtract line 26 from line 12		-167032229.			
a Excess of revenue over expenses and disbursements			0.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				390,118.	

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LHA For Paperwork Reduction Act Notice, see instructions.

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		4,436,027.	39,784,720.	39,784,720.
	2	Savings and temporary cash investments				
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶		390,750,000.		
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges		1,284.	7,335.	7,335.
	10a	Investments - U.S. and state government obligations STMT 12		3,822,532.	120,753,391.	120,753,391.
	b	Investments - corporate stock STMT 13		4,501,552.	28,423,145.	28,423,145.
	c	Investments - corporate bonds STMT 14		720,758.	34,829,947.	34,829,947.
Liabilities	11	Investments - land, buildings, and equipment basis ▶				
		Less: accumulated depreciation ▶				
	12	Investments - mortgage loans				
	13	Investments - other STMT 15		10,280,744.	201,845,680.	201,845,680.
	14	Land, buildings, and equipment basis ▶				
		Less: accumulated depreciation ▶				
	15	Other assets (describe ▶)				
	16	Total assets (to be completed by all filers)		414,512,897.	425,644,218.	425,644,218.
	17	Accounts payable and accrued expenses		57,839.	25,583.	
	18	Grants payable		3,280,506.	4,314,968.	
Net Assets or Fund Balances	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶ STATEMENT 16)		0.	1,312.	
	23	Total liabilities (add lines 17 through 22)		3,338,345.	4,341,863.	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted		411,174,552.	421,302,355.	
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances		411,174,552.	421,302,355.		
31	Total liabilities and net assets/fund balances		414,512,897.	425,644,218.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	411,174,552.
2	Enter amount from Part I, line 27a	2	-167,032,229.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 10	3	204,750,000.
4	Add lines 1, 2, and 3	4	448,892,323.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 11	5	27,589,968.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	421,302,355.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 914,506,153.		1,074,598,253.	-160,092,100.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FM V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			-160,092,100.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-160,092,100.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	7,706,698.	20,533,233.	.375328
2009	7,586,287.	15,852,546.	.478553
2008	7,305,012.	8,271,892.	.883113
2007	6,574,161.	6,644,211.	.989457
2006	749,778.	96,093.	7.802629

2 Total of line 1, column (d)	2	10.529080
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	2.105816
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	310,331,164.
5 Multiply line 4 by line 3	5	653,500,330.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	0.
7 Add lines 5 and 6	7	653,500,330.
8 Enter qualifying distributions from Part XII, line 4	8	7,740,099.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	0.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).		3	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a 5,000.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c 15,000.		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	20,000.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	20,000.
11 Enter the amount of line 10 to be Credited to 2012 estimated tax	20,000. Refunded	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.		X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ CA, ID, NV		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation		X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.CHNFOUNDATION.ORG</u>	13	X	
14	The books are in care of ► <u>THE FOUNDATION</u> Telephone no ► <u>208-320-1423</u> Located at ► <u>PO BOX 5195, TWIN FALLS, ID</u> ZIP+4 ► <u>83303-5195</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

N/A

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 18		212,500.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BETH H GOLDSMITH - % 16633 VENTURA BLVD STE 1050, ENCINO, CA 91436-1864	EXECUTIVE DIRECTOR 40.00	237,135.	55,591.	600.
KIMBERLY M CERISE - % 16633 VENTURA BLVD STE 1050, ENCINO, CA 91436-1864	GRANTS AND FINANCE ASSISTANT 40.00	52,584.	10,249.	0.

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
CENTERVIEW PARTNERS LLC - 31 WEST 52ND STREET, 22ND FLOOR, NEW YORK, NY 10019	CONSULTING SERVICES	675,131.4.
LOEB & LOEB LLP - 10100 SANTA MONICA BLVD, SUITE 2200, LOS ANGELES, CA 90067	LEGAL SERVICES	92,023.
MARION MURRAY 1054 CROTON ROAD, WAYNE, PA 19087	CONSULTING SERVICES/SCIENTIFIC	61,000.
TCC GROUP - ONE PENN CENTER, SUITE 410, PHILADELPHIA, PA 19103	CONSULTING SERVICES	58,511.

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1	N/A	
2		
3	All other program-related investments. See instructions.	

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	108,057,019.
b	Average of monthly cash balances	1b	207,000,000.
c	Fair market value of all other assets	1c	0.
d	Total (add lines 1a, b, and c)	1d	315,057,019.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	315,057,019.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	4,725,855.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	310,331,164.
6	Minimum investment return. Enter 5% of line 5	6	15,516,558.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	15,516,558.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	
b	Income tax for 2011 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	0.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	15,516,558.
4	Recoveries of amounts treated as qualifying distributions	4	390,118.
5	Add lines 3 and 4	5	15,906,676.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	15,906,676.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	7,740,099.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	7,740,099.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	7,740,099.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				15,906,676.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2011				
a From 2006	744,973.			
b From 2007	6,241,950.			
c From 2008	6,891,417.			
d From 2009	6,771,905.			
e From 2010	6,502,944.			
f Total of lines 3a through e	27,153,189.			
4 Qualifying distributions for 2011 from Part XII, line 4. ▶ \$	7,740,099.			
a Applied to 2010, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				7,740,099.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	8,166,577.			8,166,577.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	18,986,612.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2010 Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	18,986,612.			
10 Analysis of line 9.				
a Excess from 2007				
b Excess from 2008	5,711,763.			
c Excess from 2009	6,771,905.			
d Excess from 2010	6,502,944.			
e Excess from 2011				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed . . .

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities
Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test - enter
(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i) ...

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

SEE WWW.CHNFOUNDATION.ORG

b. The form in which applications should be submitted and information and materials they should include

SEE WWW.CHNFOUNDATION.ORG

c Any submission deadlines

SEE WWW.CHNFOUNDATION.ORG

d. Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

SEE WWW.CHNFOUNDATION.ORG

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2011

Name of the organization

Employer identification number

CRAIG H. NEILSEN FOUNDATION

06-1695275

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2011)

Name of organization

Employer identification number

CRAIG H. NEILSEN FOUNDATION

06-1695275

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	THE ESTATE OF CRAIG H NEILSEN PO BOX 5478 TWIN FALLS, ID 83303	\$ 2,625,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Name of organization

Employer identification number

CRAIG H. NEILSEN FOUNDATION

06-1695275

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

Employer identification number

CRAIG H. NEILSEN FOUNDATION**06-1695275**

Part III *Exclusively* religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once.) ► \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BESSEMER TR - CS - 8H3083 - SCHEDULE ATTACHED	P	04/28/11	VARIOUS
b	BESSEMER TR - IM - 8G8400 - SCHEDULE ATTACHED	P	VARIOUS	VARIOUS
c	BESSEMER TR - IM - 8G8400 - SCHEDULE ATTACHED	P	VARIOUS	VARIOUS
d	BESSEMER TR - IM - 8G8400 - SCHEDULE ATTACHED	P	VARIOUS	VARIOUS
e	BESSEMER TR - IMFI - 8M3417 - SCHEDULE ATTACHED	P	VARIOUS	VARIOUS
f	BESSEMER TR - IMFI - 8M3417 - SCHEDULE ATTACHED	P	VARIOUS	VARIOUS
g	BESSEMER TR - NUS - 8M3720 - SCHEDULE ATTACHED	P	VARIOUS	VARIOUS
h	BESSEMER TR - 8M2609 - SET ASIDE - SEE FOOTNOTE -	P	VARIOUS	VARIOUS
i	BESSEMER TR - 8H5225 - SET ASIDE - SEE FOOTNOTE -	P	VARIOUS	VARIOUS
j	MERRILL LYNCH-4,560,055 SHS AMERISTAR CASINOS INC	D	11/19/06	05/20/11
k	BESSEMER TR - 8M2698 - SET ASIDE - SCHEDULE ATTAC	P	VARIOUS	VARIOUS
l	20,439,945 SHS AMERISTAR STK REDEMPTION - SEE FOO	D	11/19/06	04/19/11
m	OW PRIVATE EQUITY FUND XI LLC	P	VARIOUS	VARIOUS
n	CAPITAL GAINS DIVIDENDS			
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,000,000.		2,000,000.	0.
b 36,665,026.		42,196,688.	-5,531,662.
c 5,549,283.		6,100,671.	-551,388.
d 3,190,974.		2,818,707.	372,267.
e 2,432,134.		2,407,877.	24,257.
f 1,840,847.		1,724,383.	116,464.
g 19,214,266.		22,348,271.	-3,134,005.
h 46,085,933.		54,112,114.	-8,026,181.
i 315,595,125.		315,594,857.	268.
j 99,591,601.		108,620,497.	-9,028,896.
k 21,556,358.		29,794,685.	-8,238,327.
l 357,699,037.		486,879,503.	-129,180,466.
m 6.			6.
n 3,085,563.			3,085,563.
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col. (h) gain over col (k), but not less than "-0-")
a			0.
b			-5,531,662.
c			-551,388.
d			372,267.
e			24,257.
f			116,464.
g			-3,134,005.
h			-8,026,181.
i			268.
j			-9,028,896.
k			-8,238,327.
l			-129,180,466.
m			6.
n			3,085,563.
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	-160,092,100.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Bessemer Trust Company N.A.

Account 8H3083

Proceeds from Broker and Barter Exchange Transactions

2011

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part I, with Box B checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol			These columns are not reported to the IRS		
1a - Date of Sale or exchange	Quantity	2 - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss Additional Information
COLUMBUS OHIO GO WKLY PUT 0.05% 12/01/17 / CUSIP: 199491AU5 07/12/11	200,000.000	200,000.00	04/28/11	200,000.00	0.00 Sale
FAIRFAX CNTY VA ECON DEV 0.08% 12/01/33 / CUSIP: 30382EDA9 07/27/11	500,000.000	500,000.00	04/28/11	500,000.00	0.00 Sale
LOUDOUN CNTY VA SAN AUTH 0.04% 01/01/30 / CUSIP: 545904GS7 07/21/11	300,000.000	300,000.00	04/28/11	300,000.00	0.00 Sale
MASSACHUSETTS ST WTR RES 0.06% 08/01/37 / CUSIP: 5760494U1 07/27/11	100,000.000	100,000.00	04/28/11	100,000.00	0.00 Sale
NEW HAMPSHIRE HLTH&ED FAC 0.05% 06/01/32 / CUSIP: 644614FE4 07/21/11	300,000.000	300,000.00	04/28/11	300,000.00	0.00 Sale
PRIVATE COLL & UNIV AUTH 0.04% 09/01/35 / CUSIP: 74265LSG4 07/12/11	200,000.000	200,000.00	04/28/11	200,000.00	0.00 Sale
UNIVERSITY MICH UNIV REVS 0.03% 04/01/32 / CUSIP: 914455FM7 07/27/11	400,000.000	400,000.00	04/28/11	400,000.00	0.00 Sale
Totals:		2,000,000.00		2,000,000.00	0.00

Bessemer Trust Company N.A.

Account 8G8400

Proceeds from Broker and Barter Exchange Transactions

2011

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is reported to the IRS

Report on Form 8949, Part I, with Box A checked

6 - Tax lots: COVERED

9 - Description / CUSIP/ Symbol					These columns are not reported to the IRS		
1a - Date of Sale or exchange	Quantity	2 - Proceeds of* stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information	
AMERICAN EXPRESS / CUSIP: 025816109 / Symbol: AXP							
10/05/11	225.000	9,726.07	03/28/11	10,305.00	-578.93	Sale	
APPLE INC / CUSIP: 037833100 / Symbol: AAPL							
12 tax lots for 11/22/11. Total proceeds (and cost when required) reported to the IRS.							
	150.000	56,096.99	02/16/11	54,511.44	1,585.55	Sale	
	100.000	37,397.99	03/04/11	35,905.12	1,492.87	Sale	
	100.000	37,397.99	03/11/11	35,007.67	2,390.32	Sale	
	20.000	7,479.60	03/28/11	7,071.40	408.20	Sale	
	2,640.000	987,306.98	04/21/11	928,137.14	59,169.84	Sale	
	2,965.000	1,108,850.46	05/05/11	1,036,761.77	72,088.69	Sale	
	1,960.000	733,000.64	05/19/11	664,848.27	68,152.37	Sale	
	495.000	185,120.06	06/02/11	171,350.98	13,769.08	Sale	
	855.000	319,752.83	06/16/11	277,771.20	41,981.63	Sale	
	100.000	37,397.99	06/22/11	32,585.21	4,812.78	Sale	
	1,000.000	373,979.92	06/22/11	325,852.11	48,127.81	Sale	
	2,115.000	790,967.52	06/30/11	708,684.47	82,283.05	Sale	
11/22/11	12,500.000	4,674,748.97	VARIOUS	4,278,486.78	396,262.19	Total of 12 lots	
11/23/11	273.000	100,436.52	06/16/11	88,691.86	11,744.66	Sale	
11/28/11	227.000	85,017.09	06/16/11	73,747.44	11,269.65	Sale	
	Security total:	4,860,202.58		4,440,926.08	419,276.50		
ARCHER-DANIELS-MIDLAND CO / CUSIP: 039483102 / Symbol: ADM							
09/22/11	245.000	6,250.10	03/28/11	8,734.25	-2,484.15	Sale	
DEVON ENERGY CORP / CUSIP: 25179M103 / Symbol: DVN							
4 tax lots for 09/22/11. Total proceeds (and cost when required) reported to the IRS.							
	100.000	5,685.69	01/31/11	8,828.74	-3,143.05	Sale	
	450.000	25,585.61	02/01/11	40,183.20	-14,597.59	Sale	
	100.000	5,685.69	02/02/11	8,972.68	-3,286.99	Sale	
	100.000	5,685.69	03/28/11	9,283.00	-3,597.31	Sale	
09/22/11	750.000	42,642.68	VARIOUS	67,267.62	-24,624.94	Total of 4 lots	
09/23/11	400.000	22,447.81	01/31/11	35,314.96	-12,867.15	Sale	

Bessemer Trust Company N.A.

Account 8G8400

Proceeds from Broker and Barter Exchange Transactions

(continued)

2011

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is reported to the IRS

Report on Form 8949, Part I, with Box A checked

6 - Tax lots: COVERED

9 - Description / CUSIP/ Symbol				These columns are not reported to the IRS		
1a - Date of Sale or exchange	Quantity	2 - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information
DEVON ENERGY CORP / CUSIP: 25179M103 / Symbol DVN (Cont'd)						
2 tax lots for 09/26/11. Total proceeds (and cost when required) reported to the IRS.						
	400.000	22,737.16	01/25/11	33,937.08	-11,199.92	Sale
	200.000	11,368.58	01/31/11	17,657.48	-6,288.90	Sale
09/26/11	600.000	34,105.74	VARIOUS	51,594.56	-17,488.82	Total of 2 lots
	Security total.	99,196.23		154,177.14	-54,980.91	
DISNEY (WALT) HOLDING CO / CUSIP: 254687106 / Symbol: DIS						
7 tax lots for 11/22/11. Total proceeds (and cost when required) reported to the IRS.						
	205.000	6,950.27	03/28/11	8,874.45	-1,924.18	Sale
	18,915.000	641,289.39	04/21/11	800,274.74	-158,985.35	Sale
	21,285.000	721,641.27	05/05/11	916,691.74	-195,050.47	Sale
	14,045.000	476,178.14	05/19/11	581,343.62	-105,165.48	Sale
	3,555.000	120,527.83	06/02/11	143,157.72	-22,629.89	Sale
	9,700.000	328,866.35	06/16/11	368,794.00	-39,927.65	Sale
	3,300.000	111,882.37	06/30/11	128,469.99	-16,587.62	Sale
11/22/11	71,005.000	2,407,335.62	VARIOUS	2,947,606.26	-540,270.64	Total of 7 lots
EMC CORP / CUSIP: 268648102 / Symbol: EMC						
05/05/11	145.000	3,942.23	03/28/11	3,974.45	-32.22	Sale
ECOLAB INC / CUSIP: 278865100 / Symbol: ECL						
9 tax lots for 11/22/11. Total proceeds (and cost when required) reported to the IRS.						
	9,500.000	505,021.71	06/01/11	517,848.80	-12,827.09	Sale
	1,785.000	94,890.92	06/02/11	96,836.79	-1,945.87	Sale
	3,000.000	159,480.54	06/02/11	162,926.70	-3,446.16	Sale
	650.000	34,554.12	06/09/11	35,383.85	-829.73	Sale
	250.000	13,290.04	06/14/11	13,827.28	-537.24	Sale
	200.000	10,632.04	06/15/11	10,979.66	-347.62	Sale
	150.000	7,974.03	06/16/11	8,175.15	-201.12	Sale
	9,430.000	501,300.49	06/16/11	509,701.87	-8,401.38	Sale
	1,535.000	81,600.88	06/30/11	86,215.58	-4,614.70	Sale
11/22/11	26,500.000	1,408,744.77	VARIOUS	1,441,895.68	-33,150.91	Total of 9 lots

Bessemer Trust Company N.A.

Account 8G8400

Proceeds from Broker and Barter Exchange Transactions

(continued)

2011

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is reported to the IRS

Report on Form 8949, Part I, with Box A checked

6 - Tax lots: COVERED

9 - Description / CUSIP/ Symbol				These columns are not reported to the IRS		
1a - Date of Sale or exchange	Quantity	2 - Proceeds of* stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information
ECOLAB INC / CUSIP. 278865100 / Symbol. ECL (Cont'd)						
4 tax lots for 11/23/11. Total proceeds (and cost when required) reported to the IRS.						
	7,665.000	404,607.66	06/16/11	414,301.68	-9,694.02	Sale
	1,500.000	79,179.58	07/20/11	75,938.10	3,241.48	Sale
	11,335.000	598,333.70	07/20/11	573,838.91	24,494.79	Sale
	1,000.000	52,786.39	07/21/11	52,234.90	551.49	Sale
11/23/11	21,500.000	1,134,907.33	VARIOUS	1,116,313.59	18,593.74	Total of 4 lots
11/25/11	5,500.000	292,872.67	07/20/11	278,439.70	14,432.97	Sale
11/28/11	12,000.000	660,632.93	07/20/11	607,504.80	53,128.13	Sale
11/29/11	3,665.000	202,843.22	07/20/11	185,542.09	17,301.13	Sale
	Security total:	3,700,000.92		3,629,695.86	70,305.06	
GENERAL ELECTRIC CO / CUSIP. 369604103 / Symbol. GE						
6 tax lots for 11/22/11. Total proceeds (and cost when required) reported to the IRS.						
	600.000	9,032.53	03/28/11	11,886.00	-2,853.47	Sale
	59,350.000	893,467.41	04/21/11	1,184,044.37	-290,576.96	Sale
	66,775.000	1,005,244.93	05/05/11	1,344,888.57	-339,643.64	Sale
	44,085.000	663,664.88	05/19/11	880,377.45	-216,712.57	Sale
	11,150.000	167,854.45	06/02/11	214,080.00	-46,225.55	Sale
	5,165.000	77,755.00	06/30/11	97,396.92	-19,641.92	Sale
11/22/11	187,125.000	2,817,019.20	VARIOUS	3,732,673.31	-915,654.11	Total of 6 lots
GENERAL MOTORS CO / CUSIP 37045V100 / Symbol: GM						
2 tax lots for 06/30/11. Total proceeds (and cost when required) reported to the IRS.						
	1,000.000	30,324.91	03/24/11	31,452.80	-1,127.89	Sale
	250.000	7,581.23	03/28/11	7,832.92	-251.69	Sale
06/30/11	1,250.000	37,906.14	VARIOUS	39,285.72	-1,379.58	Total of 2 lots
2 tax lots for 07/01/11. Total proceeds (and cost when required) reported to the IRS.						
	1,000.000	30,524.61	03/23/11	31,035.80	-511.19	Sale
	10.000	305.25	03/28/11	313.32	-8.07	Sale
07/01/11	1,010.000	30,829.86	VARIOUS	31,349.12	-519.26	Total of 2 lots
	Security total:	68,736.00		70,634.84	-1,898.84	

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Proceeds from Broker and Barter Exchange Transactions

(continued)

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8 - SHORT-TERM GAINS AND LOSSES - Cost basis is reported to the IRS

Report on Form 8949, Part I, with Box A checked

6 - Tax lots: COVERED

9 - Description / CUSIP/Symbol				These columns are not reported to the IRS		
1a - Date of Sale or exchange	Quantity	2 - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information
GOOGLE INC / CUSIP: 38259P508 / Symbol: GOOG						
2 tax lots for 08/09/11 Total proceeds (and cost when required) reported to the IRS.						
	70.000	39,019.33	03/21/11	40,315.83	-1,296.50	Sale
	5.000	2,787.09	03/28/11	2,911.00	-123.91	Sale
08/09/11	75.000	41,806.42	VARIOUS	43,226.83	-1,420.41	Total of 2 lots
10/05/11	5.000	2,503.00	03/21/11	2,879.70	-376.70	Sale
	Security total:	44,309.42		46,106.53	-1,797.11	
HESS CORP / CUSIP: 42809H107 / Symbol: HES						
05/04/11	20.000	1,548.92	03/28/11	1,673.60	-124.68	Sale
HONEYWELL INTL INC / CUSIP: 438516106 / Symbol: HON						
09/22/11	170.000	7,180.47	03/28/11	9,924.60	-2,744.13	Sale
INTERNATIONAL PAPER / CUSIP: 460146103 / Symbol: IP						
11/22/11	15,000.000	400,942.35	05/19/11	477,204.00	-76,261.65	Sale
2 tax lots for 11/23/11. Total proceeds (and cost when required) reported to the IRS.						
	15,925.000	409,536.94	05/05/11	502,913.09	-93,376.15	Sale
	30,075.000	773,426.90	05/19/11	956,794.02	-183,367.12	Sale
11/23/11	46,000.000	1,182,963.84	VARIOUS	1,459,707.11	-276,743.27	Total of 2 lots
3 tax lots for 11/25/11. Total proceeds (and cost when required) reported to the IRS.						
	8,220.000	213,900.84	04/21/11	245,652.23	-31,751.39	Sale
	1,500.000	39,033.00	05/05/11	47,370.15	-8,337.15	Sale
	5,280.000	137,396.16	06/02/11	160,259.62	-22,863.46	Sale
11/25/11	15,000.000	390,330.00	VARIOUS	453,282.00	-62,952.00	Total of 3 lots
2 tax lots for 11/28/11. Total proceeds (and cost when required) reported to the IRS.						
	305.000	8,095.34	03/28/11	8,479.00	-383.66	Sale
	9,900.000	262,766.68	04/21/11	295,858.53	-33,091.85	Sale
11/28/11	10,205.000	270,862.02	VARIOUS	304,337.53	-33,475.51	Total of 2 lots
	Security total:	2,245,098.21		2,694,530.64	-449,432.43	

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Proceeds from Broker and Barter Exchange Transactions

(continued)

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is reported to the IRS

Report on Form 8949, Part I, with Box A checked

6 - Tax lots: COVERED

9 - Description / CUSIP/ Symbol

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1a - Date of Sale or exchange	Quantity	2 - Proceeds of* stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information
JPMORGAN CHASE & CO / CUSIP: 46625H100 / Symbol: JPM						
4 tax lots for 11/22/11. Total proceeds (and cost when required) reported to the IRS.						
	205.000	6,088.34	03/28/11	9,450.50	-3,362.16	Sale
	19,595.000	561,956.43	04/21/11	876,680.30	-294,723.87	Sale
	22,040.000	654,571.05	05/05/11	1,004,052.04	-349,480.99	Sale
	7,160.000	212,646.49	05/19/11	314,220.90	-101,574.41	Sale
11/22/11	49,000.000	1,455,262.31	VARIOUS	2,204,403.74	-749,141.43	Total of 4 lots
3 tax lots for 11/23/11. Total proceeds (and cost when required) reported to the IRS.						
	7,390.000	211,915.26	05/19/11	324,314.58	-112,399.32	Sale
	3,680.000	105,527.49	06/02/11	152,826.35	-47,298.86	Sale
	13,430.000	385,117.99	06/30/11	550,609.85	-165,491.86	Sale
11/23/11	24,500.000	702,560.74	VARIOUS	1,027,750.78	-325,190.04	Total of 3 lots
11/25/11	35.000	1,017.93	06/30/11	1,434.95	-417.02	Sale
	Security total:	2,158,840.98		3,233,589.47	-1,074,748.49	
JOHNSON CONTROLS / CUSIP: 478366107 / Symbol: JCI						
7 tax lots for 11/22/11. Total proceeds (and cost when required) reported to the IRS.						
	850.000	24,440.94	06/29/11	35,133.39	-10,692.45	Sale
	9,780.000	281,214.60	06/29/11	404,240.65	-123,026.05	Sale
	7,520.000	216,230.45	06/30/11	314,002.86	-97,772.41	Sale
	700.000	20,127.83	07/01/11	29,549.87	-9,422.04	Sale
	14,500.000	416,933.72	07/01/11	612,104.45	-195,170.73	Sale
	150.000	4,313.11	07/05/11	6,344.70	-2,031.59	Sale
	4,000.000	115,016.20	07/05/11	169,192.00	-54,175.80	Sale
11/22/11	37,500.000	1,078,276.85	VARIOUS	1,570,567.92	-492,291.07	Total of 7 lots
5 tax lots for 11/23/11. Total proceeds (and cost when required) reported to the IRS.						
	8,720.000	244,507.60	06/29/11	360,427.25	-115,919.65	Sale
	500.000	14,019.93	07/22/11	20,033.00	-6,013.07	Sale
	8,500.000	238,338.83	07/22/11	340,561.00	-102,222.17	Sale
	250.000	7,009.97	07/25/11	9,921.38	-2,911.41	Sale
	5,030.000	141,040.50	07/25/11	199,618.07	-58,577.57	Sale
11/23/11	23,000.000	644,916.83	VARIOUS	930,560.70	-285,643.87	Total of 5 lots
11/25/11	2,470.000	68,556.74	07/25/11	98,023.18	-29,466.44	Sale

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Proceeds from Broker and Barter Exchange Transactions

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2011

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is reported to the IRS

Report on Form 8949, Part I, with Box A checked

6 - Tax lots: COVERED

9 - Description / CUSIP/ Symbol 1a - Date of Sale or exchange	Quantity	2 - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	These columns are not reported to the IRS	
					Gain or loss	Additional Information
Security total:		1,791,750.42		2,599,151.80	-807,401.38	
KRAFT FOODS INC / CUSIP: 50075N104 / Symbol. KFT						
2 tax lots for 11/22/11. Total proceeds (and cost when required) reported to the IRS.						
24,855.000		857,058.52	04/21/11	828,511.60	28,546.92	Sale
20,585.000		709,818.94	05/05/11	691,781.57	18,037.37	Sale
45,440.000		1,566,877.46	VARIOUS	1,520,293.17	46,584.29	Total of 2 lots
11/22/11						
2 tax lots for 11/25/11. Total proceeds (and cost when required) reported to the IRS.						
220.000		7,556.30	03/28/11	6,914.60	641.70	Sale
880.000		30,225.22	04/21/11	29,333.74	891.48	Sale
1,100.000		37,781.52	VARIOUS	36,248.34	1,533.18	Total of 2 lots
11/25/11						
11/28/11		60.000	03/28/11	1,885.80	201.42	Sale
Security total:		1,606,746.20		1,558,427.31	48,318.89	
MASTERCARD CL A / CUSIP: 57636Q104 / Symbol. MA						
07/28/11		40.000	03/28/11	9,999.60	2,279.44	Sale
METLIFE INC COM / CUSIP: 59156R108 / Symbol. MET						
5 tax lots for 10/05/11. Total proceeds (and cost when required) reported to the IRS.						
450.000		12,757.61	07/07/11	19,913.54	-7,155.93	Sale
1,050.000		29,767.77	07/08/11	45,686.97	-15,919.20	Sale
150.000		4,252.54	07/11/11	6,383.95	-2,131.41	Sale
750.000		21,262.69	07/14/11	31,430.10	-10,167.41	Sale
500.000		14,175.13	07/15/11	20,838.95	-6,663.82	Sale
10/05/11		2,900.000	VARIOUS	124,253.51	-42,037.77	Total of 5 lots
MORGAN STANLEY GRP INC / CUSIP: 617446448 / Symbol: MS						
2 tax lots for 08/03/11. Total proceeds (and cost when required) reported to the IRS.						
1,250.000		26,123.25	03/23/11	34,468.13	-8,344.88	Sale
250.000		5,224.65	03/25/11	6,847.02	-1,622.37	Sale
1,500.000		31,347.90	VARIOUS	41,315.15	-9,967.25	Total of 2 lots
08/03/11						
08/04/11		225.000	03/28/11	6,120.00	-1,556.48	Sale
Security total:		35,911.42		47,435.15	-11,523.73	

Bessemer Trust Company N.A.

Account 8G8400

Proceeds from Broker and Barter Exchange Transactions

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2011

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is reported to the IRS

Report on Form 8949, Part I, with Box A checked

6 - Tax lots: COVERED

9 - Description / CUSIP/ Symbol				These columns are not reported to the IRS		
1a - Date of Sale or exchange	Quantity	2 - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information
MOTOROLA SOLUTIONS INC / CUSIP: 620076307 / Symbol: MSI						
2 tax lots for 02/23/11 Total proceeds (and cost when required) reported to the IRS						
	1,000.000	37,303.58	01/04/11	38,359.70	-1,056.12	Sale
	250.000	9,325.90	01/05/11	10,011.45	-685.55	Sale
02/23/11	1,250.000	46,629.48	VARIOUS	48,371.15	-1,741.67	Total of 2 lots
MOTOROLA MOBILITY HLDG INC / CUSIP: 620097105 / Symbol: MMI						
01/04/11	0 500	17 64	01/04/11	16.46	1.18	Sale
3 tax lots for 03/11/11. Total proceeds (and cost when required) reported to the IRS.						
	1,149.500	28,161.87	01/04/11	37,847.87	-9,686.00	Sale
	250.000	6,124.81	01/05/11	8,144.30	-2,019.49	Sale
	250.000	6,124.81	01/19/11	9,058.00	-2,933.19	Sale
03/11/11	1,649 500	40,411 49	VARIOUS	55,050 17	-14,638 68	Total of 3 lots
	Security total:	40,429.13		55,066.63	-14,637.50	
NATIONAL OILWELL VARCO INC / CUSIP: 637071101 / Symbol: NOV						
5 tax lots for 11/22/11. Total proceeds (and cost when required) reported to the IRS.						
	13,865.000	895,862.84	04/21/11	1,099,146.49	-203,283.65	Sale
	2,225.000	143,764.50	05/19/11	150,050 89	-6,286.39	Sale
	1,480.000	95,627.62	06/02/11	106,828.32	-11,200 70	Sale
	4,050 000	261,683.70	06/16/11	281,949.26	-20,265.56	Sale
	1,380.000	89,166.30	06/30/11	106,781.50	-17,615.20	Sale
11/22/11	23,000.000	1,486,104 96	VARIOUS	1,744,756 46	-258,651.50	Total of 5 lots
11/23/11	6,555.000	417,032.90	05/19/11	442,060.02	-25,027.12	Sale
	Security total:	1,903,137 86		2,186,816 48	-283,678.62	
NEWS CORP CL A / CUSIP: 65248E104 / Symbol: NWSA						
07/06/11	1,750.000	30,459.73	03/04/11	31,179 58	-719.85	Sale
6 tax lots for 07/12/11. Total proceeds (and cost when required) reported to the IRS.						
	110 000	1,691.86	01/25/11	1,713.49	-21.63	Sale
	2,250 000	34,606.13	02/02/11	35,699 85	-1,093.72	Sale
	250.000	3,845.13	03/04/11	4,454.22	-609 09	Sale
	1,500.000	23,070 75	03/21/11	25,461.75	-2,391 00	Sale

Bessemer Trust Company N.A.

Account 8G8400

Proceeds from Broker and Barter Exchange Transactions

(continued)

2011

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is reported to the IRS

Report on Form 8949, Part I, with Box A checked

6 - Tax lots: COVERED

9 - Description / CUSIP / Symbol

These columns are not reported to the IRS

1a - Date of Sale
or exchange1b - Date of
acquisition2 - Proceeds of
stocks, bonds, etc.3 - Cost or
other basis

Gain or loss

Additional Information

NEWS CORP CL A / CUSIP: 65248E104 / Symbol: NWSA (Cont'd)

Quantity	11,535.38	03/22/11	12,559.65	-1,024.27	Sale
750.000					
390.000	5,998.40	03/28/11	6,720.09	-721.69	Sale
5,250.000	80,747.65	VARIOUS	86,609.05	-5,861.40	Total of 6 lots
2,140.000	33,526.95	01/25/11	33,335.21	191.74	Sale
Security total:	144,734.33		151,123.84	-6,389.51	

OCCIDENTAL PETROLEUM / CUSIP: 674599105 / Symbol: OXY

Quantity	28,804.21	05/26/11	41,898.44	-13,094.23	Sale
400.000					
5 tax lots for 11/22/11. Total proceeds (and cost when required) reported to the IRS.					
Quantity	9,204.76	05/26/11	10,474.61	-1,269.85	Sale
100.000					
170.000	15,648.10	06/02/11	17,672.20	-2,024.10	Sale
350.000	32,216.68	06/29/11	36,051.05	-3,834.37	Sale
1,750.000	161,083.37	06/29/11	180,255.25	-19,171.88	Sale
3,630.000	334,132.94	06/30/11	377,037.57	-42,904.63	Sale
6,000.000	552,285.85	VARIOUS	621,490.68	-69,204.83	Total of 5 lots

2 tax lots for 11/23/11. Total proceeds (and cost when required) reported to the IRS.

Quantity	88,224.60	06/16/11	102,392.10	-14,167.50	Sale
1,000.000					
3,250.000	286,729.96	06/29/11	334,759.75	-48,029.79	Sale
4,250.000	374,954.56	VARIOUS	437,151.85	-62,197.29	Total of 2 lots
800.000	70,248.32	06/16/11	81,913.68	-11,665.36	Sale
75.000	6,751.82	06/16/11	7,679.41	-927.59	Sale
Security total:	1,033,044.76		1,190,134.06	-157,089.30	

PFIZER INC / CUSIP: 717081103 / Symbol: PFE

6 tax lots for 11/22/11. Total proceeds (and cost when required) reported to the IRS.

Quantity	8,030.77	03/28/11	8,695.50	-664.73	Sale
425.000					
44,540.000	841,625.02	04/21/11	874,177.67	-32,552.65	Sale
50,105.000	946,780.90	05/05/11	1,028,846.05	-82,065.15	Sale
33,085.000	625,172.06	05/19/11	697,309.39	-72,137.33	Sale
8,365.000	158,064.51	06/02/11	175,999.60	-17,935.09	Sale
30,615.000	578,499.10	06/30/11	631,951.77	-53,452.67	Sale
167,135.000	3,158,172.36	VARIOUS	3,416,979.98	-258,807.62	Total of 6 lots

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Proceeds from Broker and Barter Exchange Transactions

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2011

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is reported to the IRS

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6 - Tax lots: COVERED

9 - Description / CUSIP/ Symbol		2 - Proceeds of #		1b - Date of		3 - Cost or		These columns are not reported to the IRS	
1a - Date of Sale or exchange	Quantity	stocks, bonds, etc.	Symbol. PCP	acquisition	reported to the IRS	other basis	Gain or loss	Additional Information	
PRECISION CASTPARTS CORP / CUSIP: 740189105 / Symbol: PCP									
7 tax lots for 10/05/11. Total proceeds (and cost when required) reported to the IRS									
	100.000	14,926.05		02/14/11	15,097.98		-171.93	Sale	
	75.000	11,194.54		02/15/11	11,327.12		-132.58	Sale	
	75.000	11,194.54		02/16/11	11,250.44		-55.90	Sale	
	100.000	14,926.05		03/11/11	14,376.18		549.87	Sale	
	100.000	14,926.05		03/14/11	14,367.59		558.46	Sale	
	50.000	7,463.03		03/15/11	7,099.31		363.72	Sale	
	25.000	3,731.51		03/28/11	3,657.00		74.51	Sale	
10/05/11	525.000	78,361.77		VARIOUS	77,175.62		1,186.15	Total of 7 lots	

PROCTER & GAMBLE CO / CUSIP: 742718109 / Symbol: PG

8 tax lots for 11/22/11. Total proceeds (and cost when required) reported to the IRS.

	9,260.000	572,235.72		04/21/11	584,715.29		-12,479.57	Sale	
	650.000	40,167.73		04/27/11	41,579.79		-1,412.06	Sale	
	2,500.000	154,491.29		04/27/11	159,922.25		-5,430.96	Sale	
	16,900.000	1,044,361.08		05/05/11	1,119,728.09		-75,367.01	Sale	
	10,015.000	618,892.09		05/19/11	673,721.07		-54,828.98	Sale	
	2,535.000	156,654.16		06/02/11	167,538.15		-10,883.99	Sale	
	6,915.000	427,322.90		06/16/11	443,830.98		-16,508.08	Sale	
	725.000	44,802.47		06/30/11	45,740.25		-937.78	Sale	
11/22/11	49,500.000	3,058,927.44		VARIOUS	3,236,775.87		-177,848.43	Total of 8 lots	
11/23/11	1,630.000	99,581.81		06/30/11	102,836.70		-3,254.89	Sale	
	Security total:	3,158,509.25			3,339,612.57		-181,103.32		

QUALCOMM INC / CUSIP: 747525103 / Symbol: QCOM

11/22/11	13,100.000	712,951.24		04/21/11	745,780.38		-32,829.14	Sale	
11/25/11	135.000	7,098.10		03/28/11	7,159.05		-60.95	Sale	
	Security total:	720,049.34			752,939.43		-32,890.09		

ST JUDE MEDICAL INC / CUSIP: 790849103 / Symbol: STJ

06/14/11	210.000	10,341.00		03/28/11	10,711.60		-370.60	Sale	
3 tax lots for 11/22/11 Total proceeds (and cost when required) reported to the IRS									
	13,600.000	482,008.75		04/21/11	715,973.36		-233,964.61	Sale	

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Proceeds from Broker and Barter Exchange Transactions

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6 - Tax lots: COVERED

9 - Description / CUSIP/ Symbol 1a - Date of Sale or exchange				These columns are not reported to the IRS		
Quantity	2 - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information	
ST JUDE MEDICAL INC / CUSIP: 790849103 / Symbol: STJ (Cont'd)						
2,170.000	76,908.75	05/05/11	113,905.47	-36,996.72	Sale	
10,730.000	380,290.72	05/05/11	563,228.43	-182,937.71	Sale	
11/22/11	26,500.000	VARIOUS	1,393,107.26	-453,899.04	Total of 3 lots	
11/23/11	255.000	05/05/11	13,385.21	-4,461.76	Sale	
11/25/11	215.000	05/05/11	11,285.56	-3,751.92	Sale	
11/28/11	30.000	05/05/11	1,574.73	-502.33	Sale	
2 tax lots for 12/19/11. Total proceeds (and cost when required) reported to the IRS.						
1,670.000	54,455.98	05/05/11	87,659.97	-33,203.99	Sale	
17,830.000	581,407.29	05/19/11	925,672.98	-344,265.69	Sale	
12/19/11	19,500.000	VARIOUS	1,013,332.95	-377,469.68	Total of 2 lots	
2 tax lots for 12/20/11. Total proceeds (and cost when required) reported to the IRS.						
10,120.000	333,155.12	05/19/11	525,395.99	-192,240.87	Sale	
2,880.000	94,810.94	06/02/11	141,291.36	-46,480.42	Sale	
12/20/11	13,000.000	VARIOUS	666,687.35	-238,721.29	Total of 2 lots	
12/21/11	830.000	06/02/11	40,719.39	-13,119.18	Sale	
Security total:				3,150,804.05	-1,092,295.80	
STANLEY BLACK & DECKER INC / CUSIP: 854502101 / Symbol: SWK						
3 tax lots for 09/07/11. Total proceeds (and cost when required) reported to the IRS.						
150.000	8,845.90	06/30/11	10,745.67	-1,899.77	Sale	
150.000	8,845.90	07/01/11	11,102.76	-2,256.86	Sale	
100.000	5,897.27	07/05/11	7,378.62	-1,481.35	Sale	
09/07/11	400.000	VARIOUS	29,227.05	-5,637.98	Total of 3 lots	
2 tax lots for 09/08/11. Total proceeds (and cost when required) reported to the IRS						
150.000	8,610.88	06/24/11	10,489.58	-1,878.70	Sale	
100.000	5,740.59	06/29/11	7,013.77	-1,273.18	Sale	
09/08/11	250.000	VARIOUS	17,503.35	-3,151.88	Total of 2 lots	
2 tax lots for 09/09/11. Total proceeds (and cost when required) reported to the IRS						
150.000	8,354.17	06/22/11	10,447.20	-2,093.03	Sale	

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Account 8G8400

Proceeds from Broker and Barter Exchange Transactions

(continued)

2011

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is reported to the IRS

Report on Form 8949, Part I, with Box A checked

6 - Tax lots: COVERED

9 - Description / CUSIP/ Symbol				These columns are not reported to the IRS		
1a - Date of Sale or exchange	Quantity	2 - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information
STANLEY BLACK & DECKER INC / CUSIP: 854502101 / Symbol: SWK (Cont'd)						
	50 000	2,784.73	06/24/11	3,496.52	-711.79	Sale
09/09/11	200.000	11,138.90	VARIOUS	13,943.72	-2,804.82	Total of 2 lots
2 tax lots for 09/12/11. Total proceeds (and cost when required) reported to the IRS.						
	200.000	10,742.75	06/22/11	13,929.60	-3,186.85	Sale
	50.000	2,685.69	06/23/11	3,479.11	-793.42	Sale
09/12/11	250.000	13,428.44	VARIOUS	17,408.71	-3,980.27	Total of 2 lots
09/13/11						
	150.000	8,208.52	06/23/11	10,437.33	-2,228.81	Sale
	Security total:	70,716.40		88,520.16	-17,803.76	
TD-AMERITRADE HLDGS / CUSIP: 87236Y108 / Symbol: AMTD						
07/26/11	750.000	14,700.39	03/04/11	16,870.50	-2,170.11	Sale
07/27/11	500.000	9,293.07	03/08/11	11,230.75	-1,937.68	Sale
2 tax lots for 07/28/11. Total proceeds (and cost when required) reported to the IRS.						
	250.000	4,673.34	03/08/11	5,615.38	-942.04	Sale
	250.000	4,673.33	03/09/11	5,518.48	-845.15	Sale
07/28/11	500.000	9,346.67	VARIOUS	11,133.86	-1,787.19	Total of 2 lots
2 tax lots for 07/29/11. Total proceeds (and cost when required) reported to the IRS						
	250.000	4,615.71	03/09/11	5,518.47	-902.76	Sale
	250.000	4,615.71	03/28/11	5,232.28	-616.57	Sale
07/29/11	500.000	9,231.42	VARIOUS	10,750.75	-1,519.33	Total of 2 lots
2 tax lots for 08/01/11. Total proceeds (and cost when required) reported to the IRS.						
	95.000	1,756.59	01/13/11	1,975.59	-219.00	Sale
	155.000	2,866.02	03/28/11	3,244.01	-377.99	Sale
08/01/11	250.000	4,622.61	VARIOUS	5,219.60	-596.99	Total of 2 lots
2 tax lots for 08/03/11. Total proceeds (and cost when required) reported to the IRS.						
	395.000	7,127.01	01/12/11	8,024.50	-897.49	Sale
	105.000	1,894.52	01/13/11	2,183.55	-289.03	Sale
08/03/11	500.000	9,021.53	VARIOUS	10,208.05	-1,186.52	Total of 2 lots
3 tax lots for 08/04/11. Total proceeds (and cost when required) reported to the IRS.						
	395.000	6,827.57	01/06/11	7,844.94	-1,017.37	Sale

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Account 8G8400

Proceeds from Broker and Barter Exchange Transactions

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2011

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is reported to the IRS

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TD-AMERITRADE HLDGS / CUSIP: 87236Y108 / Symbol: AMTD (Cont'd)							
	250 000	4,321.24	01/11/11	4,980.77	-659.53	Sale	
	105 000	1,814.92	01/12/11	2,133.10	-318.18	Sale	
08/04/11	750 000	12,963.73	VARIOUS	14,958.81	-1,995.08	Total of 3 lots	
08/05/11	650.000	10,855.44	01/06/11	12,909.39	-2,053.95	Sale	
2 tax lots for 08/08/11. Total proceeds (and cost when required) reported to the IRS.							
	205 000	3,190.03	01/06/11	4,071.42	-881.39	Sale	
	295 000	4,590.52	01/10/11	5,810.67	-1,220.15	Sale	
08/08/11	500.000	7,780.55	VARIOUS	9,882.09	-2,101.54	Total of 2 lots	
2 tax lots for 08/09/11. Total proceeds (and cost when required) reported to the IRS.							
	500.000	7,490.81	01/07/11	9,836.40	-2,345.59	Sale	
	205.000	3,071.23	01/10/11	4,037.93	-966.70	Sale	
08/09/11	705.000	10,562.04	VARIOUS	13,874.33	-3,312.29	Total of 2 lots	
	Security total:	98,377.45		117,038.13	-18,660.68		
TEVA PHARM INDS LTD ADR / CUSIP: 881624209 / Symbol: TEVA							
2 tax lots for 08/04/11. Total proceeds (and cost when required) reported to the IRS							
	55.000	2,298.45	03/28/11	2,770.16	-471.71	Sale	
	750.000	31,342.49	04/06/11	38,152.80	-6,810.31	Sale	
08/04/11	805.000	33,640.94	VARIOUS	40,922.96	-7,282.02	Total of 2 lots	
09/22/11	105.000	3,725.08	03/28/11	5,288.50	-1,563.42	Sale	
	Security total:	37,366.02		46,211.46	-8,845.44		
WHIRLPOOL CORP / CUSIP 963320106 / Symbol: WHR							
3 tax lots for 09/07/11. Total proceeds (and cost when required) reported to the IRS.							
	150 000	8,478.73	06/29/11	12,060.87	-3,582.14	Sale	
	150.000	8,478.72	07/01/11	12,371.42	-3,892.70	Sale	
	50.000	2,826.24	07/05/11	4,091.90	-1,265.66	Sale	
09/07/11	350.000	19,783.69	VARIOUS	28,524.19	-8,740.50	Total of 3 lots	
2 tax lots for 09/08/11. Total proceeds (and cost when required) reported to the IRS.							
	200.000	10,908.27	06/09/11	14,916.82	-4,008.55	Sale	

Bessemer Trust Company N.A.

Account 8G8400

Proceeds from Broker and Barter Exchange Transactions

(continued)

2011

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is reported to the IRS

Report on Form 8949, Part I, with Box A checked

6 - Tax lots: COVERED

9 - Description / CUSIP/ Symbol				These columns are not reported to the IRS		
1a - Date of Sale or exchange	Quantity	2 - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information
WHIRLPOOL CORP / CUSIP: 963320106 / Symbol: WHR (Cont'd)						
	50.000	2,727.07	06/29/11	4,020.29	-1,293.22	Sale
09/08/11	250.000	13,635.34	VARIOUS	18,937.11	-5,301.77	Total of 2 lots
09/09/11	225.000	11,873.11	06/09/11	16,781.43	-4,908.32	Sale
09/12/11	25.000	1,297.33	06/09/11	1,864.60	-567.27	Sale
	Security total:	46,589.47		66,107.33	-19,517.86	
INGERSOLL-RAND PUBLIC LTD / CUSIP: G47791101 / Symbol: IR						
07/22/11	70.000	2,824.49	03/28/11	3,370.50	-546.01	Sale
ACE LIMITED / CUSIP: H0023R105 / Symbol: ACE						
3 tax lots for 11/22/11. Total proceeds (and cost when required) reported to the IRS.						
	5,560.000	366,856.77	05/05/11	376,814.54	-9,957.77	Sale
	9,930.000	655,195.63	05/19/11	685,068.71	-29,873.08	Sale
	2,510.000	165,613.40	06/02/11	171,316.54	-5,703.14	Sale
11/22/11	18,000.000	1,187,665.80	VARIOUS	1,233,199.79	-45,533.99	Total of 3 lots
3 tax lots for 11/23/11. Total proceeds (and cost when required) reported to the IRS.						
	2,205.000	143,416.40	04/21/11	143,686.18	-269.78	Sale
	9,465.000	615,617.32	05/05/11	641,465.77	-25,848.45	Sale
	2,330.000	151,546.58	06/30/11	153,168.14	-1,621.56	Sale
11/23/11	14,000.000	910,580.30	VARIOUS	938,320.09	-27,739.79	Total of 3 lots
11/25/11	170.000	11,040.21	04/21/11	11,077.85	-37.64	Sale
	Security total:	2,109,286.31		2,182,597.73	-73,311.42	
	Totals:	36,665,026.44		42,196,688.52	-5,531,662.08	

Bessemer Trust Company N.A.

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Proceeds from Broker and Barter Exchange Transactions

(continued)

2011

6 - Tax lots: NONCOVERED**

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part I, with Box B checked

9 - Description / CUSIP/ Symbol				These columns are not reported to the IRS		
1a - Date of Sale or exchange	Quantity	2 - Proceeds of* stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information
AMERICAN EXPRESS / CUSIP: 025816109 / Symbol: AXP						
01/06/11	265.000	11,838.01	08/02/10	11,929.88	-91.87	Sale
10/05/11	150.000	6,484.04	11/01/10	6,291.00	193.04	Sale
	Security total:	18,322.05		18,220.88	101.17	
APACHE CORP / CUSIP: 037411105 / Symbol: APA						
5 tax lots for 01/28/11. Total proceeds (and cost when required) reported to the IRS.						
	55.000	6,167.22	03/15/10	5,682.91	484.31	Sale
	55.000	6,167.23	05/13/10	5,350.95	816.28	Sale
	150.000	16,819.70	08/02/10	14,732.84	2,086.86	Sale
	50.000	5,606.57	10/01/10	4,933.00	673.57	Sale
	50.000	5,606.57	11/01/10	5,079.00	527.57	Sale
01/28/11	360.000	40,367.29	VARIOUS	35,778.70	4,588.59	Total of 5 lots
ARCHER-DANIELS-MIDLAND CO / CUSIP: 039483102 / Symbol: ADM						
3 tax lots for 01/06/11. Total proceeds (and cost when required) reported to the IRS.						
	780.000	24,721.60	10/29/10	25,770.58	-1,048.98	Sale
	220.000	6,972.76	11/01/10	7,403.00	-430.24	Sale
	250.000	7,923.59	11/01/10	8,402.90	-479.31	Sale
01/06/11	1,250.000	39,617.95	VARIOUS	41,576.48	-1,958.53	Total of 3 lots
2 tax lots for 09/22/11. Total proceeds (and cost when required) reported to the IRS.						
	120.000	3,061.27	10/01/10	3,820.80	-759.53	Sale
	220.000	5,612.33	10/29/10	7,268.62	-1,656.29	Sale
09/22/11	340.000	8,673.60	VARIOUS	11,089.42	-2,415.82	Total of 2 lots
	Security total:	48,291.55		52,665.90	-4,374.35	
BOEING COMPANY / CUSIP: 097023105 / Symbol: BA						
4 tax lots for 01/06/11. Total proceeds (and cost when required) reported to the IRS.						
	250.000	17,195.75	03/12/10	17,413.78	-218.03	Sale
	50.000	3,439.15	05/13/10	3,627.50	-188.35	Sale
	40.000	2,751.32	08/02/10	2,784.00	-32.68	Sale
	50.000	3,439.15	11/01/10	3,544.50	-105.35	Sale
01/06/11	390.000	26,825.37	VARIOUS	27,369.78	-544.41	Total of 4 lots

Bessemer Trust Company N.A.

Account 8G8400

2011

(continued)

Proceeds from Broker and Barter Exchange Transactions

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part I, with Box B checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol			These columns are not reported to the IRS			
1a - Date of Sale or exchange	2 - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information	
BOEING COMPANY / CUSIP. 097023105 / Symbol. BA (Cont'd)						
3 tax lots for 01/19/11. Total proceeds (and cost when required) reported to the IRS.						
	630.000	03/04/10	41,221.85	3,973.99	Sale	
	115.000	08/02/10	8,004.00	246.03	Sale	
	55.000	10/01/10	3,683.35	262.32	Sale	
01/19/11	800.000	VARIOUS	52,909.20	4,482.34	Total of 3 lots	
01/20/11	170.000	03/04/10	11,123.35	976.76	Sale	
	Security total:		91,402.33	4,914.69		
CISCO SYSTEMS INC / CUSIP. 17275R102 / Symbol: CSCO						
3 tax lots for 01/06/11. Total proceeds (and cost when required) reported to the IRS.						
	325.000	03/15/10	8,469.50	-1,705.88	Sale	
	555.000	08/02/10	13,236.42	-1,686.23	Sale	
	210.000	10/01/10	4,611.60	-241.26	Sale	
01/06/11	1,090.000	VARIOUS	26,317.52	-3,633.37	Total of 3 lots	
EMC CORP / CUSIP. 268648102 / Symbol EMC						
3 tax lots for 05/06/11. Total proceeds (and cost when required) reported to the IRS.						
	560.000	08/02/10	11,496.35	3,835.48	Sale	
	115.000	10/01/10	2,351.75	796.75	Sale	
	215.000	11/01/10	4,590.25	1,296.08	Sale	
05/06/11	890.000	VARIOUS	18,438.35	5,928.31	Total of 3 lots	
05/09/11	100.000	10/01/10	2,045.00	672.39	Sale	
	Security total:		20,483.35	6,600.70		
FEDERAL HOME LOAN BANK 1 3/8% 06/08/12 / CUSIP. 3133XWKU2						
05/18/11	70,000.000	05/25/10	70,382.90	418.60	Sale	
GENERAL ELECTRIC CO / CUSIP: 369604103 / Symbol. GE						
2 tax lots for 01/06/11. Total proceeds (and cost when required) reported to the IRS.						
	2,870.000	02/02/10	48,616.08	4,678.90	Sale	
	180.000	05/13/10	3,295.80	46.74	Sale	
01/06/11	3,050.000	VARIOUS	51,911.88	4,725.64	Total of 2 lots	

Bessemer Trust Company N.A.

Account 8G8400

Proceeds from Broker and Barter Exchange Transactions

(continued)

2011

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

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6 - Tax lots: NONCOVERED**

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1a - Date of Sale or exchange	Quantity	2 - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information
GENERAL MOTORS CO / CUSIP: 37045V100 / Symbol: GM						
06/29/11	1,500.000	45,494.73	11/18/10	52,611.15	-7,116.42	Sale
06/30/11	750.000	22,743.69	11/18/10	26,305.57	-3,561.88	Sale
	Security total:	68,238.42		78,916.72	-10,678.30	
HESS CORP / CUSIP: 42809H107 / Symbol: HES						
4 tax lots for 05/04/11. Total proceeds (and cost when required) reported to the IRS.						
	100.000	7,744.60	05/13/10	5,859.00	1,885.60	Sale
	265.000	20,523.19	08/02/10	14,799.99	5,723.20	Sale
	90.000	6,970.14	10/01/10	5,472.00	1,498.14	Sale
	90.000	6,970.14	11/01/10	5,794.20	1,175.94	Sale
05/04/11	545.000	42,208.07	VARIOUS	31,925.19	10,282.88	Total of 4 lots
HONEYWELL INTL INC / CUSIP: 438516106 / Symbol: HON						
2 tax lots for 01/06/11. Total proceeds (and cost when required) reported to the IRS.						
	715.000	38,842.21	05/18/10	32,311.64	6,530.57	Sale
	110.000	5,975.72	11/01/10	5,188.60	787.12	Sale
01/06/11	825.000	44,817.93	VARIOUS	37,500.24	7,317.69	Total of 2 lots
09/22/11	110.000	4,646.19	10/01/10	4,862.60	-216.41	Sale
	Security total:	49,464.12		42,362.84	7,101.28	
KRAFT FOODS INC / CUSIP: 50075N104 / Symbol: KFT						
3 tax lots for 01/06/11. Total proceeds (and cost when required) reported to the IRS.						
	960.000	30,020.03	04/16/10	29,527.39	492.64	Sale
	185.000	5,785.11	10/01/10	5,760.90	24.21	Sale
	180.000	5,628.75	11/01/10	5,763.49	-134.74	Sale
01/06/11	1,325.000	41,433.89	VARIOUS	41,051.78	382.11	Total of 3 lots
LOWES COS INC / CUSIP: 548661107 / Symbol: LOW						
01/04/11	235.000	5,784.71	03/15/10	5,872.65	-87.94	Sale

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Account 8G8400

Proceeds from Broker and Barter Exchange Transactions

(continued)

2011

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

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1a - Date of Sale or exchange	Quantity	2 - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information
MASTERCARD CL A / CUSIP: 57636Q104 / Symbol: MA						
2 tax lots for 01/06/11. Total proceeds (and cost when required) reported to the IRS.						
	160.000	37,098.96	10/15/10	37,464.18	-365.22	Sale
	10.000	2,318.69	11/01/10	2,393.10	-74.41	Sale
01/06/11	170.000	39,417.65	VARIOUS	39,857.28	-439.63	Total of 2 lots
3 tax lots for 07/28/11. Total proceeds (and cost when required) reported to the IRS.						
	5.000	1,534.88	09/22/10	1,086.81	448.07	Sale
	15.000	4,604.64	10/01/10	3,367.45	1,237.19	Sale
	40.000	12,279.04	10/15/10	9,366.04	2,913.00	Sale
07/28/11	60.000	18,418.56	VARIOUS	13,820.30	4,598.26	Total of 3 lots
2 tax lots for 08/09/11. Total proceeds (and cost when required) reported to the IRS.						
	55.000	16,643.99	09/21/10	11,927.73	4,716.26	Sale
	20.000	6,052.36	09/22/10	4,347.23	1,705.13	Sale
08/09/11	75.000	22,696.35	VARIOUS	16,274.96	6,421.39	Total of 2 lots
	Security total:	80,532.56		69,952.54	10,580.02	
MICROSOFT CORP / CUSIP: 594918104 / Symbol. MSFT						
2 tax lots for 01/06/11. Total proceeds (and cost when required) reported to the IRS						
	235.000	6,688.03	03/15/10	6,854.95	-166.92	Sale
	215.000	6,118.84	05/13/10	6,362.32	-243.48	Sale
01/06/11	450.000	12,806.87	VARIOUS	13,217.27	-410.40	Total of 2 lots
MORGAN STANLEY GRP INC / CUSIP. 617446448 / Symbol. MS						
01/06/11	200.000	5,752.54	03/15/10	5,912.00	-159.46	Sale
2 tax lots for 08/04/11. Total proceeds (and cost when required) reported to the IRS.						
	80.000	1,622.59	10/01/10	1,992.00	-369.41	Sale
	195.000	3,955.05	11/01/10	4,861.25	-906.20	Sale
08/04/11	275.000	5,577.64	VARIOUS	6,853.25	-1,275.61	Total of 2 lots
08/09/11	120.000	2,060.89	10/01/10	2,988.00	-927.11	Sale
	Security total:	13,391.07		15,753.25	-2,362.18	

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Proceeds from Broker and Barter Exchange Transactions

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2011

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

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1a - Date of Sale or exchange	Quantity	2 - Proceeds of* stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information
MOTOROLA SOLUTIONS INC / CUSIP: 620076307 / Symbol: MSI						
02/24/11	500 000	18,717.89	09/24/10	17,510.39	1,207.50	Sale
3 tax lots for 02/25/11. Total proceeds (and cost when required) reported to the IRS.						
	428 571	16,203.97	09/24/10	15,008.91	1,195.06	Sale
	127.857	4,834.19	09/27/10	4,447.09	387.10	Sale
	43.571	1,647.40	10/01/10	1,516.84	130.56	Sale
02/25/11	600.000	22,685.56	VARIOUS	20,972.84	1,712.72	Total of 3 lots
3 tax lots for 02/28/11. Total proceeds (and cost when required) reported to the IRS.						
	157.857	6,056.84	09/27/10	5,490.54	566.30	Sale
	107.143	4,110.98	09/28/10	3,694.76	416.22	Sale
	54.000	2,071.94	11/01/10	1,759.88	312.06	Sale
02/28/11	319.000	12,239.76	VARIOUS	10,945.18	1,294.58	Total of 3 lots
03/15/11	1 000	40.22	11/01/10	32.59	7.63	Sale
	Security total:	53,683.43		49,461.00	4,222.43	
MOTOROLA MOBILITY HLDG INC / CUSIP: 620097105 / Symbol: MMI						
03/11/11	100.500	2,462.17	09/24/10	2,949.50	-487.33	Sale
03/15/11	700.000	16,549.71	09/24/10	20,543.77	-3,994.06	Sale
5 tax lots for 03/16/11. Total proceeds (and cost when required) reported to the IRS.						
	12 000	287.94	09/24/10	352.18	-64.24	Sale
	250.000	5,998.70	09/27/10	7,286.97	-1,288.27	Sale
	93.750	2,249.52	09/28/10	2,709.26	-459.74	Sale
	38.125	914.80	10/01/10	1,112.26	-197.46	Sale
	48.125	1,154.75	11/01/10	1,314.36	-159.61	Sale
03/16/11	442 000	10,605.71	VARIOUS	12,775.03	-2,169.32	Total of 5 lots
	Security total:	29,617.59		36,268.30	-6,650.71	
NATIONAL OILWELL VARCO INC / CUSIP: 637071101 / Symbol: NOV						
3 tax lots for 01/06/11. Total proceeds (and cost when required) reported to the IRS.						
	725 000	46,881.04	05/03/10	32,073.93	14,807.11	Sale
	140.000	9,052.89	10/01/10	6,327.94	2,724.95	Sale
	135 000	8,729.57	11/01/10	7,361.55	1,368.02	Sale
01/06/11	1,000.000	64,663.50	VARIOUS	45,763.42	18,900.08	Total of 3 lots

Bessemer Trust Company N.A.

Account 8G8400

Proceeds from Broker and Barter Exchange Transactions

(continued)

2011

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part I, with Box B checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol		2 - Proceeds of stocks, bonds, etc.		Date of acquisition	These columns are not reported to the IRS		
1a - Date of Sale or exchange	Quantity	Symbol.	OWSM X		Cost or other basis	Gain or loss	Additional Information
OW GLOBAL SML & MID CAP FD / CUSIP: 680414604 / Symbol. OWSM X	86,525.158	1,234,714 00	05/19/11	1,439,778.63	-205,064.63	Sale	
OW REAL RETURN FUND / CUSIP: 680414703 / Symbol. OWRR X	217,952.673	2,201,322.00	04/21/11	2,489,019.53	-287,697.53	Sale	
OW GLOBAL OPPORTUNITIES FD / CUSIP: 680414802 / Symbol: OMGO X	120,356.381	858,141.00	04/21/11	989,329.45	-131,188.45	Sale	
PG & E CORP / CUSIP: 69331C108 / Symbol. PCG							
3 tax lots for 01/06/11 Total proceeds (and cost when required) reported to the IRS.							
	150.000	7,079.46	08/25/10	7,030.19	49.27	Sale	
	500.000	23,598.20	08/30/10	23,528.25	69.95	Sale	
	100.000	4,719.64	11/01/10	4,765.90	-46.26	Sale	
01/06/11	750.000	35,397.30	VARIOUS	35,324.34	72.96	Total of 3 lots	
03/14/11	600.000	26,709.57	08/25/10	28,120.74	-1,411.17	Sale	
2 tax lots for 03/15/11. Total proceeds (and cost when required) reported to the IRS							
	150.000	6,440.17	08/24/10	6,996.99	-556.82	Sale	
	500.000	21,467.23	08/26/10	23,414.15	-1,946.92	Sale	
03/15/11	650.000	27,907.40	VARIOUS	30,411.14	-2,503.74	Total of 2 lots	
03/16/11	400.000	17,057.47	08/24/10	18,658.64	-1,601.17	Sale	
2 tax lots for 03/17/11. Total proceeds (and cost when required) reported to the IRS.							
	200.000	8,401.20	08/24/10	9,329.32	-928.12	Sale	
	40.000	1,680.24	10/01/10	1,831.60	-151.36	Sale	
03/17/11	240.000	10,081.44	VARIOUS	11,160.92	-1,079.48	Total of 2 lots	
	Security total:	117,153.18		123,675.78	-6,522.60		
PFIZER INC / CUSIP: 717081103 / Symbol: PFE							
01/06/11	1,250.000	22,612.11	01/13/10	24,121.00	-1,508.89	Sale	
T ROWE PRICE GROUP INC / CUSIP: 74144T108 / Symbol TROW							
2 tax lots for 02/28/11. Total proceeds (and cost when required) reported to the IRS.							
	95.000	6,354.99	03/15/10	5,041.65	1,313.34	Sale	

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Account 8G8400

Proceeds from Broker and Barter Exchange Transactions

(continued)

2011

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part I, with Box B checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol			These columns are not reported to the IRS				
1a - Date of Sale or exchange	Quantity	2 - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information	
T ROWE PRICE GROUP INC / CUSIP: 74144T108 / Symbol: TROW (Cont'd)							
	60 000	4,013.68	10/01/10	3,002.40	1,011.28	Sale	
02/28/11	155.000	10,368.67	VARIOUS	8,044.05	2,324.62	Total of 2 lots	
2 tax lots for 03/01/11. Total proceeds (and cost when required) reported to the IRS.							
	75.000	4,926.73	08/02/10	3,728.25	1,198.48	Sale	
	20.000	1,313.79	10/01/10	1,000.80	312.99	Sale	
03/01/11	95.000	6,240.52	VARIOUS	4,729.05	1,511.47	Total of 2 lots	
	Security total:	16,609.19		12,773.10	3,836.09		
QUALCOMM INC / CUSIP: 747525103 / Symbol: QCOM							
3 tax lots for 01/06/11. Total proceeds (and cost when required) reported to the IRS.							
	225.000	11,859.46	04/16/10	9,647.91	2,211.55	Sale	
	360.000	18,975.13	10/01/10	15,994.80	2,980.33	Sale	
	90.000	4,743.78	11/01/10	4,095.00	648.78	Sale	
01/06/11	675.000	35,578.37	VARIOUS	29,737.71	5,840.66	Total of 3 lots	
ST JUDE MEDICAL INC / CUSIP: 790849103 / Symbol: STJ							
3 tax lots for 03/23/11. Total proceeds (and cost when required) reported to the IRS.							
	250.000	12,743.96	11/09/10	9,813.07	2,930.89	Sale	
	500.000	25,487.91	11/11/10	19,583.05	5,904.86	Sale	
	250.000	12,743.95	11/15/10	9,730.23	3,013.72	Sale	
03/23/11	1,000 000	50,975.82	VARIOUS	39,126.35	11,849.47	Total of 3 lots	
03/24/11	250.000	12,585.98	11/15/10	9,730.22	2,855.76	Sale	
06/14/11	40.000	1,969.71	11/05/10	1,551.93	417.78	Sale	
2 tax lots for 06/16/11. Total proceeds (and cost when required) reported to the IRS.							
	460.000	21,990.01	11/05/10	17,847.17	4,142.84	Sale	
	40.000	1,912.18	11/12/10	1,548.97	363.21	Sale	
06/16/11	500.000	23,902.19	VARIOUS	19,396.14	4,506.05	Total of 2 lots	
	Security total:	89,433.70		69,804.64	19,629.06		

Bessemer Trust Company N.A.

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Proceeds from Broker and Barter Exchange Transactions

(continued)

2011

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part I, with Box B checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol		2 - Proceeds of #		Date of acquisition	These columns are not reported to the IRS		
1a - Date of Sale or exchange	Quantity	stocks, bonds, etc.	Symbol		Cost or other basis	Gain or loss	Additional Information
TEVA PHARM INDS LTD ADR / CUSIP. 881624209 / Symbol. TEVA							
2 tax lots for 08/04/11. Total proceeds (and cost when required) reported to the IRS.							
	95.000	3,970.05		10/01/10	5,090.10	-1,120.05	Sale
	100.000	4,179.00		11/01/10	5,156.00	-977.00	Sale
08/04/11	195.000	8,149.05		VARIOUS	10,246.10	-2,097.05	Total of 2 lots
WAL-MART STORES INC / CUSIP: 931142103 / Symbol: WMT							
01/06/11	60.000	3,236.94		11/01/10	3,267.00	-30.06	Sale
2 tax lots for 04/19/11. Total proceeds (and cost when required) reported to the IRS.							
	140.000	7,461.11		08/02/10	7,173.42	287.69	Sale
	480.000	25,580.97		08/16/10	24,199.05	1,381.92	Sale
04/19/11	620.000	33,042.08		VARIOUS	31,372.47	1,669.61	Total of 2 lots
04/20/11	270.000	14,498.05		08/16/10	13,611.97	886.08	Sale
	Security total:	50,777.07			48,251.44	2,525.63	
INGERSOLL-RAND PUBLIC LTD / CUSIP G47791101 / Symbol. IR							
3 tax lots for 07/26/11. Total proceeds (and cost when required) reported to the IRS.							
	460.000	18,226.37		08/02/10	17,341.95	884.42	Sale
	35.000	1,386.79		10/01/10	1,249.15	137.64	Sale
	160.000	6,339.61		11/01/10	6,287.06	52.55	Sale
07/26/11	655.000	25,952.77		VARIOUS	24,878.16	1,074.61	Total of 3 lots
07/27/11	125.000	4,737.35		10/01/10	4,461.25	276.10	Sale
	Security total:	30,690.12			29,339.41	1,350.71	
ACE LIMITED / CUSIP. H0023R105 / Symbol ACE							
3 tax lots for 01/06/11. Total proceeds (and cost when required) reported to the IRS.							
	345.000	21,351.17		04/29/10	18,412.44	2,938.73	Sale
	250.000	15,471.86		08/17/10	13,637.58	1,834.28	Sale
	80.000	4,950.99		11/01/10	4,836.00	114.99	Sale
01/06/11	675.000	41,774.02		VARIOUS	36,886.02	4,888.00	Total of 3 lots
	Totals:	5,549,283.17			6,100,671.23	-551,388.06	

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Account 8G8400

Proceeds from Broker and Barter Exchange Transactions

(continued)

2011

8 - LONG-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part II, with Box B checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol			These columns are not reported to the IRS			
1a - Date of Sale or exchange	2 - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information	
AMERICAN EXPRESS / CUSIP: 025816109 / Symbol: AXP						
4 tax lots for 01/06/11. Total proceeds (and cost when required) reported to the IRS.						
	338 000	15,099.05	08/19/08	12,498.60		
	292.000	13,044.15	10/06/08	8,946.88	2,600.45 Sale	
	140.000	6,254.04	08/17/09	4,293.55	4,097.27 Sale	
	65.000	2,903.66	09/15/09	2,224.01	1,960.49 Sale	
01/06/11	835.000	37,300.90	VARIOUS	27,963.04	679.65 Sale	
				9,337.86	Total of 4 lots	
6 tax lots for 10/05/11. Total proceeds (and cost when required) reported to the IRS.						
	428.000	18,501.14	10/06/08	13,113.92		
	750.000	32,420.23	06/10/09	20,110.80	5,387.22 Sale	
	100.000	4,322.70	07/15/09	2,681.00	12,309.43 Sale	
	165.000	7,132.45	03/15/10	6,677.55	1,641.70 Sale	
	1,000.000	43,226.96	06/09/10	38,738.30	454.90 Sale	
	150.000	6,484.05	10/01/10	6,287.51	4,488.66 Sale	
10/05/11	2,593.000	112,087.53	VARIOUS	87,609.08	196.54 Sale	
				24,478.45	Total of 6 lots	
	Security total:	149,388.43		115,572.12	33,816.31	
APACHE CORP / CUSIP: 037411105 / Symbol: APA						
4 tax lots for 01/06/11. Total proceeds (and cost when required) reported to the IRS						
	210.000	25,858.45	05/20/09	17,341.67		
	45.000	5,541.10	08/17/09	3,775.71	8,516.78 Sale	
	15.000	1,847.03	09/15/09	1,378.57	1,765.39 Sale	
	100.000	12,313.55	10/09/09	10,017.26	468.46 Sale	
01/06/11	370.000	45,560.13	VARIOUS	32,513.21	2,296.29 Sale	
				13,046.92	Total of 4 lots	
4 tax lots for 01/28/11. Total proceeds (and cost when required) reported to the IRS.						
	350 000	39,245.98	05/04/09	27,717.62		
	100.000	11,213.13	05/05/09	7,878.32	11,528.36 Sale	
	90.000	10,091.82	05/20/09	7,432.15	3,334.81 Sale	
	30.000	3,363.94	07/15/09	2,218.80	2,659.67 Sale	
01/28/11	570.000	63,914.87	VARIOUS	45,246.89	1,145.14 Sale	
				18,667.98	Total of 4 lots	
	Security total:	109,475.00		77,760.10	31,714.90	

Bessemer Trust Company N.A.

Account 8G8400

Proceeds from Broker and Barter Exchange Transactions

(continued)

2011

8 - LONG-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part II, with Box B checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol				These columns are not reported to the IRS		
1a - Date of Sale or exchange	Quantity	2 - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information
ARCHER-DANIELS-MIDLAND CO / CUSIP: 039483102 / Symbol: ADM						
09/22/11	915 000	23,342.21	08/02/10	25,805.28	-2,463.07	Sale
3 tax lots for 09/26/11. Total proceeds (and cost when required) reported to the IRS.						
	505.000	12,674.50	07/30/10	13,780.74	-1,106.24	Sale
	310.000	7,780.38	08/02/10	8,732.51	-952.13	Sale
	335.000	8,407.84	08/02/10	9,447.84	-1,040.00	Sale
09/26/11	1,150.000	28,862.72	VARIOUS	31,961.09	-3,098.37	Total of 3 lots
09/27/11	745.000	19,416.49	07/30/10	20,330.01	-913.52	Sale
	Security total:	71,621.42		78,096.38	-6,474.96	
CISCO SYSTEMS INC / CUSIP: 17275R102 / Symbol: CSCQ						
01/06/11	235.000	4,890.62	08/19/08	5,761.45	-870.83	Sale
4 tax lots for 02/11/11. Total proceeds (and cost when required) reported to the IRS.						
	262.000	4,884.92	08/19/08	6,423.40	-1,538.48	Sale
	2,600 000	48,476.33	10/06/08	52,481.00	-4,004.67	Sale
	790.000	14,729.34	08/17/09	16,446.38	-1,717.04	Sale
	130 000	2,423.82	09/15/09	2,986.10	-562.28	Sale
02/11/11	3,782 000	70,514.41	VARIOUS	78,336.88	-7,822.47	Total of 4 lots
	Security total:	75,405.03		84,098.33	-8,693.30	
DISNEY (WALT) HOLDING CO / CUSIP: 254687106 / Symbol: DIS						
5 tax lots for 01/06/11. Total proceeds (and cost when required) reported to the IRS.						
	125 000	4,949.00	11/14/07	4,021.25	927.75	Sale
	181.000	7,166.16	01/02/08	5,854.44	1,311.72	Sale
	95.000	3,761.24	01/09/08	2,893.22	868.02	Sale
	171.000	6,770.24	08/04/08	5,185.97	1,584.27	Sale
	403.000	15,955.58	08/19/08	12,866.50	3,089.08	Sale
01/06/11	975.000	38,602.22	VARIOUS	30,821.38	7,780.84	Total of 5 lots
5 tax lots for 11/22/11. Total proceeds (and cost when required) reported to the IRS.						
	179.000	6,068.77	08/04/08	5,428.59	640.18	Sale
	1,401.000	47,499.15	10/06/08	38,307.41	9,191.74	Sale
	150.000	5,085.56	08/02/10	5,173.31	-87.75	Sale
	130.000	4,407.49	10/01/10	4,329.00	78.49	Sale

Bessemer Trust Company N.A.

Account 8G8400

Proceeds from Broker and Barter Exchange Transactions

(continued)

2011

8 - LONG-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part II, with Box B checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol				These columns are not reported to the IRS		
1a - Date of Sale or exchange	Quantity	2 - Proceeds of* stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information
DISNEY (WALT) HOLDING CO / CUSIP: 254687106 / Symbol: DIS (Cont'd)						
	135,000	4,577.01	11/01/10	4,878.90	-301.89	Sale
11/22/11	1,995,000	67,637.98	VARIOUS	58,117.21	9,520.77	Total of 5 lots
11/23/11	229,000	7,663.15	10/06/08	6,261.52	1,401.63	Sale
11/29/11	210,000	7,158.65	10/06/08	5,742.01	1,416.64	Sale
	Security total:	121,062.00		100,942.12	20,119.88	
EMC CORP / CUSIP: 268648102 / Symbol: EMC						
3 tax lots for 01/06/11. Total proceeds (and cost when required) reported to the IRS.						
	100,000	2,358.96	10/06/08	1,069.25	1,289.71	Sale
	1,255,000	29,604.95	08/17/09	18,674.40	10,930.55	Sale
	220,000	5,189.71	09/15/09	3,721.30	1,468.41	Sale
01/06/11	1,575,000	37,153.62	VARIOUS	23,464.95	13,688.67	Total of 3 lots
2 tax lots for 02/16/11. Total proceeds (and cost when required) reported to the IRS.						
	965,000	26,120.50	10/06/08	10,318.26	15,802.24	Sale
	285,000	7,714.35	10/27/08	2,874.34	4,840.01	Sale
02/16/11	1,250,000	33,834.85	VARIOUS	13,192.60	20,642.25	Total of 2 lots
05/05/11	1,605,000	43,636.38	10/27/08	16,187.07	27,449.31	Sale
05/06/11	110,000	3,011.61	10/27/08	1,109.39	1,902.22	Sale
	Security total:	117,636.46		53,954.01	63,682.45	
FEDERAL HOME LOAN BANK 4 1/2% 09/14/12 / CUSIP: 3133XCTG8						
01/04/11	100,000,000	106,401.00	08/22/08	102,058.00	4,343.00	Sale
01/20/11	100,000,000	106,314.00	08/22/08	102,058.00	4,256.00	Sale
	Security total:	212,715.00		204,116.00	8,599.00	
GENERAL ELECTRIC CO / CUSIP: 369604103 / Symbol: GE						
7 tax lots for 11/22/11. Total proceeds (and cost when required) reported to the IRS.						
	3,750,000	56,453.29	02/01/10	61,077.37	-4,624.08	Sale
	380,000	5,720.60	02/02/10	6,436.97	-716.37	Sale
	250,000	3,763.55	02/03/10	4,191.90	-428.35	Sale
	1,250,000	18,817.76	03/12/10	20,885.50	-2,067.74	Sale
	1,215,000	18,290.87	08/02/10	19,974.60	-1,683.73	Sale
	420,000	6,322.77	10/01/10	6,871.20	-548.43	Sale

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Account 8G8400

Proceeds from Broker and Barter Exchange Transactions

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2011

8 - LONG-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

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6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol			These columns are not reported to the IRS			
1a - Date of Sale or exchange	Quantity	2 - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information
GENERAL ELECTRIC CO / CUSIP. 369604103 / Symbol. GE (Cont'd)						
	415.000	6,247.50	11/01/10	6,669.05	-421.55	Sale
11/22/11	7,680.000	115,616.34	VARIOUS	126,106.59	-10,490.25	Total of 7 lots
GOOGLE INC / CUSIP. 38259P508 / Symbol. GOOG						
4 tax lots for 01/06/11. Total proceeds (and cost when required) reported to the IRS.						
	35.000	21,522.52	07/09/09	14,397.44	7,125.08	Sale
	10.000	6,149.29	07/15/09	4,370.30	1,778.99	Sale
	10.000	6,149.29	08/17/09	4,468.20	1,681.09	Sale
	5.000	3,074.65	09/15/09	2,388.45	686.20	Sale
01/06/11	60.000	36,895.75	VARIOUS	25,624.39	11,271.36	Total of 4 lots
5 tax lots for 10/05/11. Total proceeds (and cost when required) reported to the IRS.						
	106.000	53,063.69	07/09/09	43,603.66	9,460.03	Sale
	10.000	5,006.01	03/15/10	5,601.00	-594.99	Sale
	10.000	5,006.01	05/13/10	5,152.70	-146.69	Sale
	25.000	12,515.02	08/02/10	12,305.50	209.52	Sale
	10.000	5,006.01	10/01/10	5,269.50	-263.49	Sale
10/05/11	161.000	80,596.74	VARIOUS	71,932.36	8,664.38	Total of 5 lots
	Security total:	117,492.49		97,556.75	19,935.74	
HESS CORP / CUSIP: 42809H107 / Symbol. HES						
2 tax lots for 01/06/11. Total proceeds (and cost when required) reported to the IRS.						
	375.000	29,719.14	09/17/09	21,322.92	8,396.22	Sale
	300.000	23,775.31	10/09/09	17,120.88	6,654.43	Sale
01/06/11	675.000	53,494.45	VARIOUS	38,443.80	15,050.65	Total of 2 lots
4 tax lots for 03/11/11. Total proceeds (and cost when required) reported to the IRS						
	320.000	25,427.96	08/21/09	16,625.98	8,801.98	Sale
	5.000	397.31	09/15/09	270.03	127.28	Sale
	375.000	29,798.39	09/17/09	21,322.91	8,475.48	Sale
	50.000	3,973.12	09/18/09	2,788.32	1,184.80	Sale
03/11/11	750.000	59,596.78	VARIOUS	41,007.24	18,589.54	Total of 4 lots

Bessemer Trust Company N.A.

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Proceeds from Broker and Barter Exchange Transactions

(continued)

2011

8 - LONG-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part II, with Box B checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol			These columns are not reported to the IRS			
1a - Date of Sale or exchange	Quantity	2 - Proceeds of * stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information
HESS CORP / CUSIP: 42809H107 / Symbol: HES (Cont'd)						
2 tax lots for 05/04/11. Total proceeds (and cost when required) reported to the IRS.						
	280.000	21,684.88	08/21/09	14,547.74	7,137.14	Sale
	70.000	5,421.22	03/15/10	4,219.36	1,201.86	Sale
05/04/11	350.000	27,106.10	VARIOUS	18,767.10	8,339.00	Total of 2 lots
Security total:		140,197.33		98,218.14	41,979.19	
HONEYWELL INTL INC / CUSIP: 438516106 / Symbol: HON						
3 tax lots for 09/22/11. Total proceeds (and cost when required) reported to the IRS.						
	235.000	9,925.95	05/18/10	10,619.91	-693.96	Sale
	700.000	29,566.66	05/19/10	30,742.25	-1,175.59	Sale
	35.000	1,478.33	08/02/10	1,534.02	-55.69	Sale
09/22/11	970.000	40,970.94	VARIOUS	42,896.18	-1,925.24	Total of 3 lots
2 tax lots for 09/23/11. Total proceeds (and cost when required) reported to the IRS.						
	355.000	15,017.35	06/09/10	14,579.14	438.21	Sale
	195.000	8,248.96	08/02/10	8,546.70	-297.74	Sale
09/23/11	550.000	23,266.31	VARIOUS	23,125.84	140.47	Total of 2 lots
09/26/11	395.000	17,142.16	06/09/10	16,221.86	920.30	Sale
Security total.		81,379.41		82,243.88	-864.47	
INTERNATIONAL PAPER / CUSIP: 460146103 / Symbol: IP						
3 tax lots for 01/06/11 Total proceeds (and cost when required) reported to the IRS						
	760.000	21,188.66	09/12/08	23,059.16	-1,870.50	Sale
	750.000	20,909.87	09/16/08	22,289.62	-1,379.75	Sale
	40.000	1,115.19	10/06/08	964.12	151.07	Sale
01/06/11	1,550.000	43,213.72	VARIOUS	46,312.90	-3,099.18	Total of 3 lots
7 tax lots for 11/28/11. Total proceeds (and cost when required) reported to the IRS.						
	1,460.000	38,751.45	10/06/08	35,190.23	3,561.22	Sale
	500.000	13,271.05	10/20/08	9,409.30	3,861.75	Sale
	885.000	23,489.75	12/23/08	10,137.68	13,352.07	Sale
	265.000	7,033.65	07/15/09	4,205.55	2,828.10	Sale
	305.000	8,095.34	08/17/09	5,956.10	2,139.24	Sale

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Account 8G8400

Proceeds from Broker and Barter Exchange Transactions

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2011

8 - LONG-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part II, with Box B checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol			These columns are not reported to the IRS			
1a - Date of Sale or exchange	Quantity	2 - Proceeds of* stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information
INTERNATIONAL PAPER / CUSIP: 460146103 / Symbol: IP (Cont'd)						
	165.000	4,379.44	10/01/10	3,667.95	711.49	Sale
	215.000	5,706.55	11/01/10	5,445.91	260.64	Sale
11/28/11	3,795.000	100,727.23	VARIOUS	74,012.72	26,714.51	Total of 7 lots
11/29/11	115.000	3,092.00	12/23/08	1,317.32	1,774.68	Sale
	Security total:	147,032.95		121,642.94	25,390.01	
JPMORGAN CHASE & CO / CUSIP: 46625H100 / Symbol: JPM						
3 tax lots for 01/06/11 Total proceeds (and cost when required) reported to the IRS.						
	920.000	40,852.36	08/21/09	39,857.16	995.20	Sale
	50.000	2,220.24	08/24/09	2,191.26	28.98	Sale
	55.000	2,442.26	09/15/09	2,404.50	37.76	Sale
01/06/11	1,025.000	45,514.86	VARIOUS	44,452.92	1,061.94	Total of 3 lots
6 tax lots for 11/25/11. Total proceeds (and cost when required) reported to the IRS						
	780.000	22,685.40	08/21/09	33,791.94	-11,106.54	Sale
	1,000.000	29,083.85	12/24/09	41,853.70	-12,769.85	Sale
	70.000	2,035.87	05/13/10	2,886.67	-850.80	Sale
	405.000	11,778.95	08/02/10	16,786.76	-5,007.81	Sale
	135.000	3,926.32	10/01/10	5,202.90	-1,276.58	Sale
	75.000	2,181.29	11/01/10	2,837.95	-656.66	Sale
11/25/11	2,465.000	71,691.68	VARIOUS	103,359.92	-31,668.24	Total of 6 lots
11/28/11	65.000	1,901.50	11/01/10	2,459.55	-558.05	Sale
	Security total:	119,108.04		150,272.39	-31,164.35	
KOHLS CORP / CUSIP: 500255104 / Symbol: KSS						
6 tax lots for 01/06/11. Total proceeds (and cost when required) reported to the IRS.						
	770.000	40,396.75	01/13/09	28,192.32	12,204.43	Sale
	600.000	31,477.99	02/03/09	23,108.46	8,369.53	Sale
	100.000	5,246.33	02/04/09	3,784.32	1,462.01	Sale
	125.000	6,557.91	07/15/09	5,695.80	862.11	Sale
	105.000	5,508.65	08/17/09	5,332.95	175.70	Sale
	50.000	2,623.17	09/15/09	2,730.96	-107.79	Sale
01/06/11	1,750.000	91,810.80	VARIOUS	68,844.81	22,965.99	Total of 6 lots

Bessemer Trust Company N.A.

Account 8G8400

Proceeds from Broker and Barter Exchange Transactions

(continued)

2011

8 - LONG-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part II, with Box B checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol				These columns are not reported to the IRS		
1a - Date of Sale or exchange	Quantity	2 - Proceeds of* stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information
KOHLS CORP / CUSIP: 500255104 / Symbol: KSS (Cont'd)						
01/07/11	380.000	19,603.87	01/13/09	13,913.09	5,690.78	Sale
	Security total:	111,414.67		82,757.90	28,656.77	
KRAFT FOODS INC / CUSIP: 50075N104 / Symbol: KFT						
08/04/11	1,750.000	61,207.35	04/16/10	53,825.97	7,381.38	Sale
2 tax lots for 11/22/11. Total proceeds (and cost when required) reported to the IRS.						
	1,040.000	35,861.63	04/16/10	31,988.01	3,873.62	Sale
	520.000	17,930.82	08/02/10	15,344.89	2,585.93	Sale
11/22/11	1,560.000	53,792.45	VARIOUS	47,332.90	6,459.55	Total of 2 lots
	Security total:	114,999.80		101,158.87	13,840.93	
LOWES COS INC / CUSIP: 548661107 / Symbol: LOW						
3 tax lots for 01/04/11. Total proceeds (and cost when required) reported to the IRS.						
	800.000	19,692.63	11/05/08	16,861.20	2,831.43	Sale
	120.000	2,953.89	08/17/09	2,517.38	436.51	Sale
	95.000	2,338.50	09/15/09	2,016.85	321.65	Sale
01/04/11	1,015.000	24,985.02	VARIOUS	21,395.43	3,589.59	Total of 3 lots
4 tax lots for 01/05/11. Total proceeds (and cost when required) reported to the IRS						
	595.000	14,630.56	10/06/08	12,234.44	2,396.12	Sale
	260.000	6,393.19	10/17/08	5,092.00	1,301.19	Sale
	210.000	5,163.73	07/15/09	4,183.20	980.53	Sale
	85.000	2,090.08	08/17/09	1,783.15	306.93	Sale
01/05/11	1,150.000	28,277.56	VARIOUS	23,292.79	4,984.77	Total of 4 lots
2 tax lots for 01/06/11. Total proceeds (and cost when required) reported to the IRS.						
	490.000	11,921.11	10/17/08	9,596.45	2,324.66	Sale
	210.000	5,109.04	10/20/08	4,056.08	1,052.96	Sale
01/06/11	700.000	17,030.15	VARIOUS	13,652.53	3,377.62	Total of 2 lots
01/07/11	40.000	957.89	10/20/08	772.59	185.30	Sale
	Security total:	71,250.62		59,113.34	12,137.28	
MASTERCARD CL A / CUSIP: 57636Q104 / Symbol: MA						
10/05/11	270.000	83,118.59	09/21/10	58,554.30	24,564.29	Sale

Bessemer Trust Company N.A.

Account 8G8400

Proceeds from Broker and Barter Exchange Transactions

(continued)

2011

8 - LONG-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part II, with Box B checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol				These columns are not reported to the IRS			
1a - Date of Sale or exchange	Quantity	2 - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information	
MICROSOFT CORP / CUSIP. 594918104 / Symbol. MSFT							
2 tax lots for 01/06/11. Total proceeds (and cost when required) reported to the IRS.							
	500.000	14,229.85	10/23/09	14,156.00	73.85	Sale	
	1,000.000	28,459.71	11/17/09	29,984.40	-1,524.69	Sale	
01/06/11	1,500.000	42,689.56	VARIOUS	44,140.40	-1,450.84	Total of 2 lots	
MORGAN STANLEY GRP INC / CUSIP. 617446448 / Symbol: MS							
01/06/11	1,225.000	35,234.31	08/06/09	38,545.61	-3,311.30	Sale	
8 tax lots for 08/09/11 Total proceeds (and cost when required) reported to the IRS.							
	1,200.000	20,608.88	06/10/09	36,161.52	-15,552.64	Sale	
	750.000	12,880.55	07/14/09	20,932.50	-8,051.95	Sale	
	185.000	3,177.20	07/15/09	5,333.55	-2,156.35	Sale	
	25.000	429.35	08/06/09	786.64	-357.29	Sale	
	185.000	3,177.20	08/17/09	5,322.12	-2,144.92	Sale	
	85.000	1,459.80	09/15/09	2,503.71	-1,043.91	Sale	
	225.000	3,864.17	05/13/10	6,369.08	-2,504.91	Sale	
	570.000	9,789.22	08/02/10	15,697.69	-5,908.47	Sale	
08/09/11	3,225.000	55,386.37	VARIOUS	93,106.81	-37,720.44	Total of 8 lots	
	Security total:	90,620.68		131,652.42	-41,031.74		
NATIONAL OILWELL VARCO INC / CUSIP. 637071101 / Symbol: NOV							
2 tax lots for 05/05/11. Total proceeds (and cost when required) reported to the IRS.							
	775.000	53,356.72	05/03/10	34,285.92	19,070.80	Sale	
	225.000	15,490.66	05/04/10	9,803.54	5,687.12	Sale	
05/05/11	1,000.000	68,847.38	VARIOUS	44,089.46	24,757.92	Total of 2 lots	
05/06/11	250.000	17,302.14	05/04/10	10,892.83	6,409.31	Sale	
11/23/11	195.000	12,406.01	05/04/10	8,496.40	3,909.61	Sale	
4 tax lots for 11/25/11. Total proceeds (and cost when required) reported to the IRS.							
	330.000	21,434.67	05/04/10	14,378.53	7,056.14	Sale	
	90.000	5,845.82	05/13/10	3,717.81	2,128.01	Sale	
	405.000	26,306.19	06/09/10	14,788.78	11,517.41	Sale	
	175.000	11,366.87	08/02/10	7,237.76	4,129.11	Sale	
11/25/11	1,000.000	64,953.55	VARIOUS	40,122.88	24,830.67	Total of 4 lots	

Bessemer Trust Company N.A.

Account 8G8400

Proceeds from Broker and Barter Exchange Transactions

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2011

8 - LONG-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

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6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol				These columns are not reported to the IRS		
1a - Date of Sale or exchange	Quantity	2 - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information
NATIONAL OILWELL VARCO INC / CUSIP: 637071101 / Symbol: NOV (Cont'd)						
11/28/11	95.000	6,201.16	06/09/10	3,468.97	2,732.19	Sale
	Security total:	169,710.24		107,070.54	62,639.70	
PFIZER INC / CUSIP: 717081103 / Symbol: PFE						
01/06/11	3,150.000	56,982.53	10/29/09	54,863.87	2,118.66	Sale
4 tax lots for 11/22/11. Total proceeds (and cost when required) reported to the IRS						
	1,850.000	34,957.49	10/29/09	32,221.63	2,735.86	Sale
	250.000	4,723.98	10/30/09	4,271.45	452.53	Sale
	1,450.000	27,399.11	08/06/10	23,461.73	3,937.38	Sale
	315.000	5,952.22	10/01/10	5,443.20	509.02	Sale
11/22/11	3,865.000	73,032.80	VARIOUS	65,398.01	7,634.79	Total of 4 lots
2 tax lots for 11/23/11. Total proceeds (and cost when required) reported to the IRS.						
	50.000	924.45	08/02/10	771.47	152.98	Sale
	1,050.000	19,413.39	08/06/10	16,989.52	2,423.87	Sale
11/23/11	1,100.000	20,337.84	VARIOUS	17,760.99	2,576.85	Total of 2 lots
11/25/11	800.000	14,818.67	08/02/10	12,343.52	2,475.15	Sale
11/28/11	20.000	379.10	08/02/10	308.59	70.51	Sale
	Security total:	165,550.94		150,674.98	14,875.96	
T ROWE PRICE GROUP INC / CUSIP: 74144T108 / Symbol: TROW						
3 tax lots for 01/06/11. Total proceeds (and cost when required) reported to the IRS.						
	375.000	24,525.21	06/03/09	15,955.17	8,570.04	Sale
	85.000	5,559.05	08/17/09	3,762.49	1,796.56	Sale
	40.000	2,616.02	09/15/09	1,794.94	821.08	Sale
01/06/11	500.000	32,700.28	VARIOUS	21,512.60	11,187.68	Total of 3 lots
2 tax lots for 02/02/11. Total proceeds (and cost when required) reported to the IRS.						
	75.000	5,002.91	06/03/09	3,191.03	1,811.88	Sale
	75.000	5,002.91	06/04/09	3,183.25	1,819.66	Sale
02/02/11	150.000	10,005.82	VARIOUS	6,374.28	3,631.54	Total of 2 lots
2 tax lots for 02/03/11. Total proceeds (and cost when required) reported to the IRS.						
	75.000	4,936.95	06/04/09	3,183.24	1,753.71	Sale

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Account 8G8400

Proceeds from Broker and Barter Exchange Transactions

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2011

8 - LONG-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part II, with Box B checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol				These columns are not reported to the IRS		
1a - Date of Sale or exchange	Quantity	2 - Proceeds of* stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information
T ROWE PRICE GROUP INC / CUSIP: 74144T108 / Symbol: TROW (Cont'd)						
	75 000	4,936.95	07/15/09	3,137.12	1,799.83	Sale
02/03/11	150 000	9,873.90	VARIOUS	6,320.36	3,553.54	Total of 2 lots
2 tax lots for 02/04/11. Total proceeds (and cost when required) reported to the IRS.						
	130.000	8,618.77	05/05/09	5,281.35	3,337.42	Sale
	20.000	1,325.97	07/15/09	836.56	489.41	Sale
02/04/11	150.000	9,944.74	VARIOUS	6,117.91	3,826.83	Total of 2 lots
02/23/11	250 000	16,499.00	05/05/09	10,156.45	6,342.55	Sale
3 tax lots for 02/24/11. Total proceeds (and cost when required) reported to the IRS.						
	30.000	1,995.41	05/04/09	1,200.29	795.12	Sale
	70.000	4,655.95	05/05/09	2,843.81	1,812.14	Sale
	150.000	9,977.04	05/06/09	6,066.68	3,910.36	Sale
02/24/11	250.000	16,628.40	VARIOUS	10,110.78	6,517.62	Total of 3 lots
02/25/11	250.000	16,750.68	05/04/09	10,002.37	6,748.31	Sale
02/28/11	20.000	1,337.89	05/04/09	800.19	537.70	Sale
	Security total:	113,740.71		71,394.94	42,345.77	
PROCTER & GAMBLE CO / CUSIP: 742718109 / Symbol: PG						
3 tax lots for 01/06/11. Total proceeds (and cost when required) reported to the IRS.						
	225 000	14,539.79	03/24/08	15,670.55	-1,130.76	Sale
	85.000	5,492.81	08/19/08	6,031.96	-539.15	Sale
	130.000	8,400.77	10/06/08	8,929.67	-528.90	Sale
01/06/11	440 000	28,433.37	VARIOUS	30,632.18	-2,198.81	Total of 3 lots
11/23/11	370 000	22,604.46	10/06/08	25,415.23	-2,810.77	Sale
8 tax lots for 11/25/11. Total proceeds (and cost when required) reported to the IRS.						
	14.000	860.97	04/30/08	942.84	-81.87	Sale
	125.000	7,687.19	07/30/08	8,307.67	-620.48	Sale
	330.000	20,294.18	10/06/08	22,667.63	-2,373.45	Sale
	5.000	307.49	07/15/09	271.45	36.04	Sale
	66.000	4,058.83	08/17/09	3,462.90	595.93	Sale
	50.000	3,074.88	09/15/09	2,752.41	322.47	Sale
	190.000	11,684.53	08/02/10	11,753.21	-68.68	Sale

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Account 8G8400

Proceeds from Broker and Barter Exchange Transactions

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8 - LONG-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part II, with Box B checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol 1a - Date of Sale or exchange		Quantity	2 - Proceeds of stocks, bonds, etc.	PG (Cont'd)	Date of acquisition	Cost or other basis	Gain or loss	Additional Information
PROCTER & GAMBLE CO / CUSIP: 742718109 / Symbol: PG		70 000	4,304.82		10/01/10	4,204.55	100.27	Sale
11/25/11		850.000	52,272.89		VARIOUS	54,362.66	-2,089.77	Total of 8 lots
11/28/11		39.000	2,420.05		08/17/09	2,046.26	373.79	Sale
	Security total:		105,730.77			112,456.33	-6,725.56	
QUALCOMM INC / CUSIP: 747525103 / Symbol: QCOM								
06/29/11		650.000	36,028.42		04/16/10	27,871.74	8,156.68	Sale
06/30/11		100.000	5,621.51		04/16/10	4,287.96	1,333.55	Sale
2 tax lots for 11/22/11. Total proceeds (and cost when required) reported to the IRS.								
		375.000	20,408.91		04/16/10	16,079.85	4,329.06	Sale
11/22/11		25.000	1,360.59		04/22/10	988.54	372.05	Sale
		400.000	21,769.50		VARIOUS	17,068.39	4,701.11	Total of 2 lots
11/25/11		515.000	27,077.92		04/22/10	20,363.97	6,713.95	Sale
2 tax lots for 11/28/11. Total proceeds (and cost when required) reported to the IRS.								
		10.000	536.34		04/22/10	395.42	140.92	Sale
		15.000	804.50		05/13/10	575.70	228.80	Sale
11/28/11		25.000	1,340.84		VARIOUS	971.12	369.72	Total of 2 lots
	Security total:		91,838.19			70,563.18	21,275.01	
ST JUDE MEDICAL INC / CUSIP: 790849103 / Symbol: STJ								
4 tax lots for 12/21/11. Total proceeds (and cost when required) reported to the IRS.								
		1,210.000	40,236.44		11/04/10	46,123.75	-5,887.31	Sale
		250.000	8,313.32		11/08/10	9,640.25	-1,326.93	Sale
		460.000	15,296.50		11/12/10	17,813.13	-2,516.63	Sale
		250.000	8,313.32		11/16/10	9,622.33	-1,309.01	Sale
12/21/11		2,170.000	72,159.58		VARIOUS	83,199.46	-11,039.88	Total of 4 lots
12/22/11		40.000	1,398.57		11/04/10	1,524.75	-126.18	Sale
	Security total:		73,558.15			84,724.21	-11,166.06	
TEVA PHARM INDS LTD ADR / CUSIP: 881624209 / Symbol: TEVA								
6 tax lots for 01/06/11. Total proceeds (and cost when required) reported to the IRS.								
		125.000	6,705.95		08/15/08	6,037.14	668.81	Sale

Bessemer Trust Company N.A.

Account 8G8400

Proceeds from Broker and Barter Exchange Transactions

(continued)

2011

8 - LONG-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part II, with Box B checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol				These columns are not reported to the IRS		
1a - Date of Sale or exchange	Quantity	2 - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information
TEVA PHARM INDS LTD ADR / CUSIP: 881624209 / Symbol: TEVA (Cont'd)						
	125.000	6,705.94	08/18/08	6,033.35	672.59	Sale
	145.000	7,778.90	08/19/08	6,958.22	820.68	Sale
	175.000	9,388.32	07/15/09	8,738.07	650.25	Sale
	105.000	5,633.00	08/17/09	5,321.21	311.79	Sale
	50.000	2,682.38	09/15/09	2,521.37	161.01	Sale
01/06/11	725.000	38,894.49	VARIOUS	35,609.36	3,285.13	Total of 6 lots
4 tax lots for 09/22/11. Total proceeds (and cost when required) reported to the IRS.						
	50.000	1,773.85	08/19/08	2,384.49	-610.64	Sale
	537.000	19,051.10	08/19/08	25,769.39	-6,718.29	Sale
	248.000	8,798.27	10/06/08	10,695.00	-1,896.73	Sale
	160.000	5,676.31	08/02/10	8,047.87	-2,371.56	Sale
09/22/11	995.000	35,299.53	VARIOUS	46,896.75	-11,597.22	Total of 4 lots
2 tax lots for 09/23/11. Total proceeds (and cost when required) reported to the IRS.						
	432.000	15,246.50	10/06/08	18,630.00	-3,383.50	Sale
	118.000	4,164.55	01/22/09	5,045.54	-880.99	Sale
09/23/11	550.000	19,411.05	VARIOUS	23,675.54	-4,264.49	Total of 2 lots
09/26/11	32.000	1,135.29	01/22/09	1,368.28	-232.99	Sale
	Security total:	94,740.36		107,549.93	-12,809.57	
WAL-MART STORES INC / CUSIP: 931142103 / Symbol: WMT						
01/06/11	665.000	35,876.13	10/06/08	37,847.95	-1,971.82	Sale
5 tax lots for 03/23/11. Total proceeds (and cost when required) reported to the IRS.						
	181.000	9,322.29	01/02/08	8,607.45	714.84	Sale
	29.000	1,493.63	10/06/08	1,650.51	-156.88	Sale
	195.000	10,043.36	07/15/09	9,418.50	624.86	Sale
	100.000	5,150.44	08/17/09	5,183.82	-33.38	Sale
	45.000	2,317.70	09/15/09	2,255.32	62.38	Sale
03/23/11	550.000	28,327.42	VARIOUS	27,115.60	1,211.82	Total of 5 lots
3 tax lots for 04/19/11. Total proceeds (and cost when required) reported to the IRS.						
	175.000	9,326.39	11/14/07	8,148.00	1,178.39	Sale
	72.000	3,837.14	01/02/08	3,423.96	413.18	Sale

Bessemer Trust Company N.A.

Account 8G8400

Proceeds from Broker and Barter Exchange Transactions

(continued)

2011

8 - LONG-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part II, with Box B checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol		2 - Proceeds of #		These columns are not reported to the IRS		
1a - Date of Sale or exchange	Quantity	stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information
WAL-MART STORES INC / CUSIP: 931142103 / Symbol: WMT (Cont'd)						
	133 000	7,088.06	01/09/08	6,117.33	970.73	Sale
04/19/11	380.000	20,251.59	VARIOUS	17,689.29	2,562.30	Total of 3 lots
	Security total:	84,455.14		82,652.84	1,802.30	
INGERSOLL-RAND PUBLIC LTD / CUSIP: G47791101 / Symbol: IR						
2 tax lots for 01/06/11. Total proceeds (and cost when required) reported to the IRS.						
	425 000	20,007.34	11/17/09	15,501.03	4,506.31	Sale
	750.000	35,307.08	11/18/09	27,387.00	7,920.08	Sale
01/06/11	1,175.000	55,314.42	VARIOUS	42,888.03	12,426.39	Total of 2 lots
01/20/11	500.000	22,790.56	11/17/09	18,236.50	4,554.06	Sale
01/24/11	250.000	11,468.01	11/17/09	9,118.25	2,349.76	Sale
2 tax lots for 07/22/11. Total proceeds (and cost when required) reported to the IRS.						
	630.000	25,420.39	11/17/09	22,858.29	2,562.10	Sale
	50.000	2,017.49	05/13/10	2,006.50	10.99	Sale
07/22/11	680 000	27,437.88	VARIOUS	24,864.79	2,573.09	Total of 2 lots
2 tax lots for 07/25/11. Total proceeds (and cost when required) reported to the IRS.						
	195.000	7,778.67	11/17/09	7,075.18	703.49	Sale
	455.000	18,150.24	03/12/10	15,650.09	2,500.15	Sale
07/25/11	650.000	25,928.91	VARIOUS	22,725.27	3,203.64	Total of 2 lots
07/26/11	45.000	1,783.01	03/12/10	1,547.81	235.20	Sale
	Security total:	144,722.79		119,380.65	25,342.14	
ACE LIMITED / CUSIP: H0023R105 / Symbol: ACE						
3 tax lots for 11/28/11. Total proceeds (and cost when required) reported to the IRS.						
	255.000	16,806.24	04/29/10	13,609.20	3,197.04	Sale
	75.000	4,943.01	04/30/10	3,991.70	951.31	Sale
	500.000	32,953.41	08/16/10	26,681.35	6,272.06	Sale
11/28/11	830.000	54,702.66	VARIOUS	44,282.25	10,420.41	Total of 3 lots
	Totals:	3,190,973.77		2,818,706.83	372,266.94	

Bessemer Trust Company N.A.

Account 8M3417

Proceeds from Broker and Barter Exchange Transactions

2011

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part I, with Box B checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol				These columns are not reported to the IRS		
1a - Date of Sale or exchange	Quantity	2 - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information
FED HM LN MTG CP 1 1/2 08/27/14 / CUSIP: 3137EACV9	2,000,000.000	2,016,540.00	06/30/11	1,997,707.37	18,832.63	Sale
11/29/11						
US TREASURY NOTES 2 3/8 03/31/16 / CUSIP: 912828KT6						
2 tax lots for 09/28/11. Total proceeds (and cost when required) reported to the IRS.						
	60,000.000	63,937.50	10/01/10	62,964.84	972.66	Sale
	70,000.000	74,593.75	10/01/10	73,458.98	1,134.77	Sale
09/28/11	130,000.000	138,531.25	VARIOUS	136,423.82	2,107.43	Total of 2 lots
4 tax lots for 09/29/11. Total proceeds (and cost when required) reported to the IRS.						
	70,000.000	74,593.75	10/01/10	73,458.99	1,134.76	Sale
	59,000.000	62,871.87	11/01/10	62,194.30	677.57	Sale
	59,000.000	62,871.87	11/01/10	62,194.30	677.57	Sale
	72,000.000	76,725.00	11/01/10	75,898.12	826.88	Sale
09/29/11	260,000.000	277,062.49	VARIOUS	273,745.71	3,316.78	Total of 4 lots
	Security total:	415,593.74		410,169.53	5,424.21	
	Totals:	2,432,133.74		2,407,876.90	24,256.84	

8 - LONG-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part II, with Box B checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol				These columns are not reported to the IRS		
1a - Date of Sale or exchange	Quantity	2 - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information
FEDERAL HOME LOAN BANK 4 1/2 09/14/12 / CUSIP: 3133XCTG8						
2 tax lots for 11/17/11. Total proceeds (and cost when required) reported to the IRS.						
	65,000.000	67,304.25	08/22/08	66,337.70	966.55	Sale
	375,000.000	388,293.75	08/22/08	382,717.50	5,576.25	Sale
11/17/11	440,000.000	455,598.00	VARIOUS	449,055.20	6,542.80	Total of 2 lots
FEDERAL HOME LOAN BANK 4 1/4 06/14/13 / CUSIP: 3133XRFL9						
11/17/11	400,000.000	423,772.00	08/19/08	402,208.00	21,564.00	Sale

Bessemer Trust Company N.A.

Account 8M3417

Proceeds from Broker and Barter Exchange Transactions

(continued)

2011

8 - LONG-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part II, with Box B checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol				These columns are not reported to the IRS		
1a - Date of Sale or exchange	Quantity	2 - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information
US TREASURY NOTES 4 5/8% 11/15/16 / CUSIP. 912828FY1						
09/28/11	328,000.000	386,476.25	08/19/08	350,960.00	35,516.25	Sale
2 tax lots for 09/29/11. Total proceeds (and cost when required) reported to the IRS.						
	160,000.000	188,525.00	08/19/08	171,200.00	17,325.00	Sale
	328,000.000	386,476.25	08/19/08	350,960.00	35,516.25	Sale
09/29/11	488,000.000	575,001.25	VARIOUS	522,160.00	52,841.25	Total of 2 lots
	Security total:	961,477.50		873,120.00	88,357.50	
	Totals:	1,840,847.50		1,724,383.20	116,464.30	

Bessemer Trust Company N.A.

Account 8M3720

2011

Proceeds from Broker and Barter Exchange Transactions

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is reported to the IRS

Report on Form 8949, Part I, with Box A checked

6 - Tax lots: COVERED

9 - Description / CUSIP/ Symbol

1a - Date of Sale

or exchange

Quantity

2 - Proceeds of

stocks, bonds, etc.

1b - Date of

acquisition

3 - Cost or

other basis

Gain or loss

Additional Information

These columns are not reported to the IRS

STANDARD CHARTERED / CUSIP: 0408284

6 tax lots for 11/11/11. Total proceeds (and cost when required) reported to the IRS.

21,731.000	483,152.15	04/26/11	573,653.96	-100,514.26	Sale
19,213.000	427,168.66	05/06/11	507,183.91	-76,985.70	Sale
24,129.000	536,467.63	05/20/11	636,956.26	-100,428.48	Sale
2,673.000	59,429.65	06/03/11	70,561.73	-10,772.83	Sale
5,841.000	129,864.79	06/17/11	154,190.46	-17,456.15	Sale
1,581.000	35,150.87	07/01/11	41,735.17	-6,890.32	Sale
75,168.000	1,671,233.75	VARIOUS	1,984,281.49	-313,047.74	Total of 6 lots

PEARSON PLC / CUSIP: 0677608

6 tax lots for 11/11/11. Total proceeds (and cost when required) reported to the IRS.

29,381.000	530,003.00	04/26/11	554,440.93	-26,333.45	Sale
25,977.000	468,598.34	05/06/11	490,204.97	-14,917.31	Sale
32,623.000	588,485.34	05/20/11	615,619.84	-33,202.97	Sale
3,614.000	65,192.84	06/03/11	68,198.82	-2,701.65	Sale
7,897.000	142,453.75	06/17/11	149,022.16	-5,136.99	Sale
2,138.000	38,567.32	07/01/11	40,345.62	-2,239.38	Sale
101,630.000	1,833,300.59	VARIOUS	1,917,832.34	-84,531.75	Total of 6 lots

BG GROUP ORD / CUSIP: 0876289

6 tax lots for 11/11/11. Total proceeds (and cost when required) reported to the IRS.

23,022.000	502,989.59	04/26/11	541,187.57	-83,887.06	Sale
20,356.000	444,742.24	05/06/11	478,516.82	-23,653.35	Sale
25,562.000	558,484.05	05/20/11	600,896.40	-24,474.00	Sale
2,832.000	61,874.14	06/03/11	66,572.98	-324.80	Sale
6,189.000	135,218.60	06/17/11	145,487.36	2,152.13	Sale
1,674.000	36,573.91	07/01/11	39,351.40	-1,942.92	Sale
79,635.000	1,739,882.53	VARIOUS	1,872,012.53	-132,130.00	Total of 6 lots

CARNIVAL CORP CL A / CUSIP: 143658300 / Symbol: CCL

7 tax lots for 11/11/11. Total proceeds (and cost when required) reported to the IRS.

11,288.000	378,699.48	04/25/11	420,984.83	-42,285.35	Sale
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Bessemer Trust Company N.A.

Account 8M3720

Proceeds from Broker and Barter Exchange Transactions

(continued)

2011

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is reported to the IRS

Report on Form 8949, Part I, with Box A checked

6 - Tax lots: COVERED

9 - Description / CUSIP/ Symbol				These columns are not reported to the IRS		
1a - Date of Sale or exchange	Quantity	2 - Proceeds of* stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information
CARNIVAL CORP CL A / CUSIP: 143658300 / Symbol: CCL (Cont'd)						
	1,009,000	33,850.79	05/05/11	41,217.55	-7,366.76	Sale
	8,971,000	300,966.78	05/05/11	367,367.83	-66,401.05	Sale
	18,607,000	624,243.55	05/19/11	744,626.09	-120,382.54	Sale
	1,638,000	54,953.02	06/02/11	61,342.61	-6,389.59	Sale
	3,580,000	120,104.90	06/17/11	126,051.80	-5,946.90	Sale
	969,000	32,508.84	06/30/11	36,482.85	-3,974.01	Sale
11/11/11	46,062,000	1,545,327.36	VARIOUS	1,798,073.56	-252,746.20	Total of 7 lots

BT GROUP PLC / CUSIP: 3091357

9 tax lots for 11/11/11. Total proceeds (and cost when required) reported to the IRS.						
	34,011,000	102,760.43	07/28/11	110,896.16	-8,144.72	Sale
	13,604,000	41,102.96	07/29/11	44,357.16	-3,835.58	Sale
	74,824,000	226,072.35	07/29/11	243,970.90	-21,641.27	Sale
	13,173,000	39,800.75	08/01/11	42,951.84	-4,124.44	Sale
	102,033,000	308,281.29	08/01/11	332,688.49	-30,648.26	Sale
	102,033,000	308,281.30	08/02/11	332,688.49	-28,045.73	Sale
	61,220,000	184,969.38	08/03/11	199,613.74	-15,701.43	Sale
	71,595,000	216,316.28	08/04/11	233,442.44	-13,648.46	Sale
	58,493,000	176,730.06	08/05/11	190,722.09	-1,226.62	Sale
11/11/11	530,986,000	1,604,314.80	VARIOUS	1,731,331.31	-127,016.51	Total of 9 lots

MICHELIN 'B' / CUSIP: 4588364

7 tax lots for 11/11/11. Total proceeds (and cost when required) reported to the IRS.						
	3,516,000	237,202.68	04/26/11	318,041.27	-105,957.70	Sale
	5,874,000	396,282.30	05/06/11	531,335.16	-166,240.89	Sale
	5,533,000	373,277.15	05/20/11	500,489.86	-127,300.93	Sale
	613,000	41,355.30	06/03/11	55,449.17	-15,312.41	Sale
	1,339,000	90,334.02	06/17/11	121,119.81	-30,112.67	Sale
	363,000	24,489.36	07/01/11	32,835.32	-11,163.85	Sale
	4,817,000	324,973.07	08/03/11	435,723.78	-50,992.04	Sale
11/11/11	22,055,000	1,487,913.88	VARIOUS	1,994,994.37	-507,080.49	Total of 7 lots

Bessemer Trust Company N.A.

Account 8M3720

2011

Proceeds from Broker and Barter Exchange Transactions

(continued)

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is reported to the IRS

Report on Form 8949, Part I, with Box A checked

6 - Tax lots: COVERED

9 - Description / CUSIP / Symbol or exchange		2 - Proceeds of* stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information
Itau Unibanco Holding SA / CUSIP: 465562106 / Symbol: ITUB						
6 tax lots for 11/11/11. Total proceeds (and cost when required) reported to the IRS.						
	13,525.000	244,427.21	04/25/11	327,860.88	-83,433.67	Sale
	11,958.000	216,107.99	05/05/11	266,782.98	-50,674.99	Sale
	15,017.000	271,391.00	05/19/11	328,492.37	-57,101.37	Sale
	1,663.000	30,054.16	06/02/11	37,316.89	-7,262.73	Sale
	3,636.000	65,710.71	06/17/11	80,573.76	-14,863.05	Sale
	984.000	17,783.10	06/30/11	23,104.32	-5,321.22	Sale
11/11/11	46,783.000	845,474.17	VARIOUS	1,064,131.20	-218,657.03	Total of 6 lots
THYSSEN KRUPP AG / CUSIP: 5636927						
4 tax lots for 11/11/11. Total proceeds (and cost when required) reported to the IRS.						
	13,177.000	357,219.59	04/26/11	603,823.60	-226,116.33	Sale
	11,651.000	315,850.76	05/06/11	533,896.09	-221,756.34	Sale
	14,631.000	396,636.54	05/20/11	670,451.78	-288,984.73	Sale
	1,621.000	43,944.22	06/03/11	74,280.80	-31,943.76	Sale
11/11/11	41,080.000	1,113,651.11	VARIOUS	1,882,452.27	-768,801.16	Total of 4 lots
NTT DOCOMO INC / CUSIP: 6129277						
6 tax lots for 11/11/11. Total proceeds (and cost when required) reported to the IRS.						
	191.000	343,642.66	04/22/11	344,283.29	5,147.90	Sale
	179.000	322,052.54	05/06/11	322,652.93	-8,689.93	Sale
	190.000	341,843.48	05/20/11	342,480.76	1,227.86	Sale
	35.000	62,971.17	06/03/11	63,088.56	-2,232.61	Sale
	54.000	97,155.51	06/20/11	97,336.64	1,364.53	Sale
	60.000	107,950.57	07/01/11	108,151.82	804.50	Sale
11/11/11	709.000	1,275,615.93	VARIOUS	1,277,994.00	-2,377.75	Total of 6 lots
SHINETSU CHEMICAL JPY50 / CUSIP: 6804585						
6 tax lots for 11/11/11. Total proceeds (and cost when required) reported to the IRS.						
	12,200.000	587,473.65	04/22/11	634,887.67	-44,150.85	Sale
	8,100.000	390,043.98	05/06/11	421,523.78	-51,302.90	Sale
	10,700.000	515,243.27	05/20/11	556,827.72	-26,032.23	Sale

Bessemer Trust Company N.A.

Account 8M3720

Proceeds from Broker and Barter Exchange Transactions

(continued)

2011

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is reported to the IRS

Report on Form 8949, Part I, with Box A checked

6 - Tax lots: COVERED

9 - Description / CUSIP/ Symbol				These columns are not reported to the IRS			
1a - Date of Sale or exchange	Quantity	2 - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information	
SHINETSU CHEMICAL JPY50 / CUSIP: 6804585 (Cont'd)							
	1,900.000	91,491.80	06/03/11	98,875.95	-6,857.75	Sale	
	2,700.000	130,014.66	06/20/11	140,507.93	-7,384.88	Sale	
	1,700.000	81,861.08	07/01/11	88,467.95	-9,234.19	Sale	
11/11/11	37,300.000	1,796,128.44	VARIOUS	1,941,091.00	-144,962.80	Total of 6 lots	
ROCHE HOLDINGS / CUSIP: 7110388							
3 tax lots for 11/11/11. Total proceeds (and cost when required) reported to the IRS.							
	674.000	103,723.25	08/03/11	120,821.99	-16,507.92	Sale	
	6,407.000	985,986.40	08/03/11	1,148,525.95	-164,840.48	Sale	
	2,084.000	320,711.04	08/04/11	373,580.16	-51,159.01	Sale	
11/11/11	9,165.000	1,410,420.69	VARIOUS	1,642,928.10	-232,507.41	Total of 3 lots	
AIR LIQUIDE SA / CUSIP: B1YXBJ7							
6 tax lots for 11/11/11 Total proceeds (and cost when required) reported to the IRS.							
	3,971.000	485,177.81	04/26/11	555,295.33	-84,604.53	Sale	
	3,512.000	429,097.08	05/06/11	491,109.85	-69,423.46	Sale	
	4,409.000	538,692.77	05/20/11	616,544.23	-61,959.22	Sale	
	489.000	59,746.15	06/03/11	68,380.62	-7,066.66	Sale	
	1,067.000	130,366.34	06/17/11	149,206.78	-13,326.14	Sale	
	289.000	35,310.09	07/01/11	40,413.08	-6,179.64	Sale	
11/11/11	13,737.000	1,678,390.24	VARIOUS	1,920,949.89	-242,559.65	Total of 6 lots	
SWISS RE LTD / CUSIP: B545MG5							
5 tax lots for 11/11/11 Total proceeds (and cost when required) reported to the IRS.							
	9,595.000	507,326.31	05/23/11	552,337.73	-36,308.60	Sale	
	1,962.000	103,738.85	05/24/11	112,942.85	-6,814.48	Sale	
	9,112.000	481,788.15	06/03/11	524,533.76	-55,299.36	Sale	
	1,782.000	94,221.52	06/17/11	102,581.12	-7,596.65	Sale	
	483.000	25,538.16	07/01/11	27,803.97	-1,567.35	Sale	
11/11/11	22,934.000	1,212,612.99	VARIOUS	1,320,199.43	-107,586.44	Total of 5 lots	
Totals:		19,214,266.48		22,348,271.49	-3,134,004.93		

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
BESSEMER TR - CS - SET ASIDE - SEE FOOTNOTE	1,842.
BESSEMER TR - IM - SET ASIDE - SEE FOOTNOTE	1,410.
BESSEMER TR - NUIM - SET ASIDE - SEE FOOTNOTE	1,889.
BESSEMER TR - PER 1099 - 8G8400	21,937.
BESSEMER TR - PER 1099 - 8H3083	2,243.
BESSEMER TR - PER 1099 - 8M3720	6.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	29,327.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
BESSEMER TR - CS - SET ASIDE - LESS ACRD INT PAID - SEE FOOTNOTE	-3,287.	0.	-3,287.
BESSEMER TR - CS - SET ASIDE - SEE FOOTNOTE	8,217.	0.	8,217.
BESSEMER TR - CS - SET ASIDE - SEE FOOTNOTE	13,646.	0.	13,646.
BESSEMER TR - IM - SET ASIDE - LESS ACRD INT PAID - SEE FOOTNOTE	-374,983.	0.	-374,983.
BESSEMER TR - IM - SET ASIDE - OID - SEE FOOTNOTE	13,212.	0.	13,212.
BESSEMER TR - IM - SET ASIDE - SEE FOOTNOTE	708,517.	0.	708,517.
BESSEMER TR - IM - SET ASIDE - SEE FOOTNOTE	3,009,338.	1,195,545.	1,813,793.
BESSEMER TR - LESS: PRIOR YEAR ACCRUED INTEREST	-38,538.	0.	-38,538.
BESSEMER TR - NUIM - SET ASIDE - SEE FOOTNOTE	543,055.	0.	543,055.
BESSEMER TR - PER 1099 - 8G8400	113,879.	0.	113,879.
BESSEMER TR - PER 1099 - 8G8400	4,893,824.	1,890,018.	3,003,806.
BESSEMER TR - PER 1099 - 8G8400 - ACCRUED INTEREST PAID	-793.	0.	-793.
BESSEMER TR - PER 1099 - 8G8400 - NON DIVIDEND DISTRIBUTIONS	417.	0.	417.
BESSEMER TR - PER 1099 - 8G8400 - NON DIVIDEND DISTRIBUTIONS	-417.	0.	-417.
BESSEMER TR - PER 1099 - 8H3083	261.	0.	261.
BESSEMER TR - PER 1099 - 8H3083	673.	0.	673.
BESSEMER TR - PER 1099 - 8H3083 - ACCRUED INTEREST PAID	-123.	0.	-123.

BESSEMER TR - PER 1099 - 8M3417	761,814.	0.	761,814.
BESSEMER TR - PER 1099 - 8M3417	4.	0.	4.
BESSEMER TR - PER 1099 - 8M3417			
- ACCRUED INTEREST PAID	-15,682.	0.	-15,682.
BESSEMER TR - PER 1099 - 8M3417			
- MARKET DISCOUNT	347.	0.	347.
BESSEMER TR - PER 1099 - 8M3417			
- OID	4,763.	0.	4,763.
BESSEMER TR - PER 1099 - 8M3720	311.	0.	311.
BESSEMER TR - PLUS: CURRENT YEAR			
ACCRUED INTEREST	10,545.	0.	10,545.
BESSEMER TR - PLUS: CURRENT YEAR			
ACCRUED INTEREST	26,727.	0.	26,727.
BESSEMER TR - PLUS: CURRENT YEAR			
ACCRUED INTEREST	595,055.	0.	595,055.
OW PRIVATE EQUITY FUND XI LLC	1.	0.	1.
TOTAL TO FM 990-PF, PART I, LN 4	10,270,783.	3,085,563.	7,185,220.

FORM 990-PF	OTHER INCOME	STATEMENT	3
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
FOREIGN CURRENCY LOSS	-561,560.	-561,560.	
RETURNED P/Y GRANT FUNDS	390,118.	0.	
CANCELED P/Y ACCRUED GRANTS	247,450.	0.	
WORKERS COMP INSURANCE REFUND	200.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	76,208.	-561,560.	

FORM 990-PF	LEGAL FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL	271,118.	244,779.		25,993.
TO FM 990-PF, PG 1, LN 16A	271,118.	244,779.		25,993.

FORM 990-PF	ACCOUNTING FEES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	50,998.	13,960.		41,612.
TO FORM 990-PF, PG 1, LN 16B	50,998.	13,960.		41,612.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PENSION PLAN ADMINISTRATION PROFESSIONAL	988.	0.		988.
ADMINISTRATION CONSULTING - FINANCIAL	30,000.	0.		30,000.
INVESTMENT FEES PROFESSIONAL	991,163.	991,163.		0.
REIMBURSEMENTS	172,326.	172,326.		0.
INVESTMENT FEES - SET ASIDE	54.	54.		0.
CONSULTING - SET ASIDE	384,902.	384,902.		0.
CONSULTING - STRATEGIC OD PRIVATE EQUITY FUND XI LLC	5,760,151.	5,760,151.		0.
	78,015.	0.		58,511.
	8,200.	8,200.		0.
TO FORM 990-PF, PG 1, LN 16C	7,425,799.	7,316,796.		89,499.

FORM 990-PF	TAXES			STATEMENT 7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAXES - FOREIGN WITHHELD FROM DIVIDENDS	845.	845.		0.
TAXES - CALIFORNIA FILING FEES	235.	0.		235.
TAXES - FOREIGN WITHHELD FROM DIVIDENDS - SET ASIDE	82,140.	82,140.		0.
TO FORM 990-PF, PG 1, LN 18	83,220.	82,985.		235.

FORM 990-PF	OTHER EXPENSES			STATEMENT 8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK CHARGES	310.	250.		0.
COMMUNICATION/TELEPHONE	5,481.	1,360.		4,159.
INSURANCE	43,031.	28,322.		14,710.
GRANT REVIEW FEES	151,000.	0.		151,000.
TECHINICAL SUPPORT	2,393.	0.		2,035.
MEMBERSHIPS AND				
SUBSCRIPTIONS	34,320.	0.		37,195.
OFFICE SUPPLIES AND EXPENSE	12,915.	0.		12,661.
POSTAGE	2,171.	0.		2,268.
TO FORM 990-PF, PG 1, LN 23	251,621.	29,932.		224,028.

FOOTNOTES

STATEMENT 9

INVESTMENT INCOME AND EXPENSE: SET-ASIDE ACCOUNTS

THREE BROKERAGE SET-ASIDE ACCOUNTS CONSISTING OF MONIES DUE TO THE FOUNDATION FROM THE THE ESTATE OF CRAIG H NEILSEN - TIN # 20-7200007 WERE OPENED DURING 2011. ALL INCOME AND EXPENSES FROM THESE SET-ASIDE ACCOUNTS WAS REPORTED UNDER THE ESTATE'S TIN #. HOWEVER, SINCE THE INVESTMENTS WITHIN THESE SET-ASIDE ACCOUNTS WERE OWNED BY THE ESTATE IN NAME ONLY, THE INCOME AND EXPENSES FROM THOSE INVESTMENTS HAS BEEN PROPERLY REPORTED ON THIS RETURN.

THE ACCOUNT #'S FOR THE BROKERAGE ACCOUNTS REFERENCED ABOVE, WHICH WERE REPORTED BY BESSEMER TRUST, WERE AS FOLLOWS:

8H5225
8M2609
8M2698

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	10
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DESCRIPTION	AMOUNT
PRIOR YEARS' CUMULATIVE CHANGE IN CONTRIBUTION RECEIVABLE	204,750,000.
TOTAL TO FORM 990-PF, PART III, LINE 3	204,750,000.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	11
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DESCRIPTION	AMOUNT
CURRENT YEAR'S UNREALIZED LOSS ON INVESTMENTS	27,589,968.
TOTAL TO FORM 990-PF, PART III, LINE 5	27,589,968.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	12
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
U.S. GOVERNMENT OBLIGATIONS	X		118,461,329.	118,461,329.
MUNICIPAL BONDS		X	2,292,062.	2,292,062.
TOTAL U.S. GOVERNMENT OBLIGATIONS			118,461,329.	118,461,329.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			2,292,062.	2,292,062.
TOTAL TO FORM 990-PF, PART II, LINE 10A			120,753,391.	120,753,391.

FORM 990-PF	CORPORATE STOCK	STATEMENT	13
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK	28,423,145.	28,423,145.
TOTAL TO FORM 990-PF, PART II, LINE 10B	28,423,145.	28,423,145.

FORM 990-PF	CORPORATE BONDS	STATEMENT 14
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	27,872,531.	27,872,531.
INTERNATIONAL BONDS	6,957,416.	6,957,416.
TOTAL TO FORM 990-PF, PART II, LINE 10C	34,829,947.	34,829,947.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 15
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ACCRUED INVESTMENT INCOME	FMV	663,663.	663,663.
MUTUAL FUNDS	FMV	179,460,548.	179,460,548.
STRATEGIC CURRENCY	FMV	20,766,907.	20,766,907.
ALTERNATIVE INVESTMENTS - HEDGE FUNDS	FMV	954,562.	954,562.
TOTAL TO FORM 990-PF, PART II, LINE 13		201,845,680.	201,845,680.

FORM 990-PF	OTHER LIABILITIES	STATEMENT 16
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DESCRIPTION	BOY AMOUNT	EOY AMOUNT
PAYROLL TAXES PAYABLE	0.	1,312.
TOTAL TO FORM 990-PF, PART II, LINE 22	0.	1,312.

FORM 990-PF	LIST OF SUBSTANTIAL CONTRIBUTORS	STATEMENT 17
	PART VII-A, LINE 10	

NAME OF CONTRIBUTOR	ADDRESS
THE ESTATE OF CRAIG H NEILSEN	PO BOX 5478 TWIN FALLS, ID 83303

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT 18
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
ROBERT D BROWN JR % 16633 VENTURA BLVD STE 1050 ENCINO, CA 91436	DIRECTOR 1.00	20,000.	0.	0.
RAY H NEILSEN % 16633 VENTURA BLVD STE 1050 ENCINO, CA 91436	TRUSTEE 7.50	75,000.	0.	0.
GORDON R KANOFSKY % 16633 VENTURA BLVD STE 1050 ENCINO, CA 91436	TRUSTEE 7.50	75,000.	0.	0.
LORNE M MENDELL % 16633 VENTURA BLVD STE 1050 ENCINO, CA 91436	DIRECTOR 1.00	20,000.	0.	0.
MICHAEL K YOUNG (RESIGNED MAY 2011) % 16633 VENTURA BLVD STE 1050 ENCINO, CA 91436	DIRECTOR 1.00	7,500.	0.	0.
DANIEL LAMMERTSE % 16633 VENTURA BLVD STE 1050 ENCINO, CA 91436	DIRECTOR 1.00	15,000.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		212,500.	0.	0.

Schedule A

Grants and Contributions Paid During the Year

Recipient Name & Address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ABILITY FOUNDATION 5236 Greenpine Drive Murray, UT 84123	N/A	Public Entity	Grant to support programs/ services for persons living with a spinal cord injury	30,000
ACADEMY OF SPINAL CORD INJURY PROFESSIONALS 206 South Sixth Street Springfield, IL 62701	N/A	Public Entity	Grant to support programs/ services for persons living with a spinal cord injury	50,000
ACCESS LIVING OF METROPOLITAN CHICAGO 115 W Chicago Avenue Chicago, IL 60654	N/A	Public Entity	Grant to support programs/ services for persons living with a spinal cord injury	25,000
ALEAGENT HEALTH FOUNDATION 12809 W Dodge Road, 3rd Floor Omaha, NE 68154-2101	N/A	Public Entity	Contribution to support spinal cord injury rehabilitation services	6,011
ALEAGENT HEALTH FOUNDATION 12809 W Dodge Road, 3rd Floor Omaha, NE 68154-2101	N/A	Public Entity	Contribution to support spinal cord injury rehabilitation services	11,615
ALEAGENT HEALTH-IMMANUEL MEDICAL CENTER 12809 W Dodge Road, 3rd Floor Omaha, NE 68154-2101	N/A	Public Entity	Grant to support programs/ services for persons living with a spinal cord injury	48,230
ARKANSAS SPINAL CORD FOUNDATION INC 1501 N University, Ste 470 Little Rock, AR 72207	N/A	Public Entity	Grant to support programs/ services for persons living with a spinal cord injury	100,000
AXIS DANCE COMPANY 1428 Alice Street, Suite 200 Oakland, CA 94612	N/A	Public Entity	Grant to support programs/ services for persons living with a spinal cord injury	15,000
BAYLOR COLLEGE OF MEDICINE One Baylor Plaza, MS 310 Houston, TX 77030	N/A	Public Entity	Grant to support spinal cord injury research	4,699
BROWN UNIVERSITY OF PROVIDENCE STATE OF RI AND PROV PLANTATIONS 164 Angell St, 3rd Flr, Box 1929 Providence, RI 02912	N/A	Public Entity	Grant to support spinal cord injury research	60,000
CAPEABLE ADVENTURES 51 Chipman Road Sandwich, MA 02563	N/A	Public Entity	Grant to support programs/ services for persons living with a spinal cord injury	2,835
CASA COLINA HOSP FOR REHAB MEDICINE 255 East Bonita Avenue Pomona, CA 91769	N/A	Public Entity	Grant to support programs/ services for persons living with a spinal cord injury	48,806
CASE WESTERN RESERVE UNIVERSITY 10900 Euclid Avenue Cleveland, Ohio 44106	N/A	Public Entity	Grant to support programs/ services for persons living with a spinal cord injury	32,000
CASE WESTERN RESERVE UNIVERSITY 10900 Euclid Avenue Cleveland, Ohio 44106	N/A	Public Entity	Grant to support spinal cord injury research	114,961

Schedule A

Grants and Contributions Paid During the Year

Recipient Name & Address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CHILDRENS HOSPITAL CORPORATION 300 Longwood Avenue Boston, MA 02115	N/A	Public Entity	Grant to support spinal cord injury research	10,000
CHILDRENS HOSPITAL CORPORATION 300 Longwood Avenue Boston, MA 02115	N/A	Public Entity	Grant to support spinal cord injury research	50,000
CHRISTOPHER & DANA REEVE FOUNDATION 636 Morris Turnpike, Suite 3A Short Hills, NJ 07078	N/A	Public Entity	Grant to support the ongoing work of the CDRF	50,000
CITY OF HOPE 1500 East Duarte Road Duarte, CA 91010	N/A	Public Entity	Grant to support cancer research and treatment programs	25,000
CRAIG HOSPITAL FOUNDATION 3425 S Clarkson Street Englewood, CO 80113	N/A	Public Entity	Contribution to support spinal cord injury rehabilitation services	6,209
CRAIG HOSPITAL FOUNDATION 3425 S Clarkson Street Englewood, CO 80113	N/A	Public Entity	Contribution to support spinal cord injury rehabilitation services	29,676
CYSTIC FIBROSIS FOUNDATION 6931 Arlington Road, Ste 200 Bethesda, MD 20814	N/A	Public Entity	Contribution to support the 'Milestones to a Cure' program	50,000
DISABLED ATHLETE SPORTS ASSOCIATION 1236 Jungermann Road, Ste A St. Peters, MO 63376	N/A	Public Entity	Grant to support programs/ services for persons living with a spinal cord injury	15,000
DREXEL UNIVERSITY 3201 Arch Street, Ste 100 Philadelphia, PA 19104	N/A	Public Entity	Grant to support spinal cord injury research	60,000
DREXEL UNIVERSITY 3201 Arch Street, Ste 100 Philadelphia, PA 19104	N/A	Public Entity	Grant to support spinal cord injury research	116,140
DREXEL UNIVERSITY 3201 Arch Street, Ste 100 Philadelphia, PA 19104	N/A	Public Entity	Grant to support spinal cord injury research	125,000
DUKE UNIVERSITY Box 90077, 334 North Building Durham, NC 27708	N/A	Public Entity	Grant to support spinal cord injury research	10,000
EMORY UNIVERSITY 1599 Clifton Road NE, 4th Floor Mailstop 1599-001-1BA Atlanta, GA 30322	N/A	Public Entity	Grant to support spinal cord injury research	114,680
EMORY UNIVERSITY 1599 Clifton Road NE, 4th Floor Mailstop 1599-001-1BA Atlanta, GA 30322	N/A	Public Entity	Grant to support spinal cord injury research	115,000

Schedule A

Grants and Contributions Paid During the Year

Recipient Name & Address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GEORGETOWN UNIVERSITY 3300 White Haven Street NW Harms Building, Suite 1100 Washington, DC 20007	N/A	Public Entity	Grant to support spinal cord injury research	60,000
GOODWILL INDUSTRIES OF ORANGE CTY, CA 410 North Fairview Street Santa Ana, CA 92703	N/A	Public Entity	Grant to support programs/ services for persons living with a spinal cord injury	13,000
GRANITE STATE INDEPENDENT LIVING 21 Chenell Drive Concord, NH 03301	N/A	Public Entity	Grant to support programs/ services for persons living with a spinal cord injury	25,000
HEALTH RESEARCH, INC 150 Broadway Suite 560, MENANDS, NY 12204	N/A	Public Entity	Grant to support spinal cord injury research	114,999
HINDS COMMUNITY CLG DEVELOPMENT FDTN, INC 507 Main Street Raymond, MS 39154	N/A	Public Entity	Contribution to support the 'Education Pays' program	40,000
HUGO W. MOSER RESEARCH INSTITUTE AT KKI 707 North Broadway Baltimore, MD 21205	N/A	Public Entity	Grant to support programs/ services for persons living with a spinal cord injury	100,000
JOHNS HOPKINS UNIVERSITY 733 N Broadway BRB, Suite 117 Baltimore, MD 21205	N/A	Public Entity	Grant to support spinal cord injury research	125,000
KEEP MEMORY ALIVE 9101 West Sahara Avenue, PMB 105-177 Las Vegas, NV 89117-5772	N/A	Public Entity	Contribution to support annual fundraising event	25,000
KESSLER FOUNDATION, INC. 1199 Pleasant Valley Way West Orange, NJ 07052	N/A	Public Entity	Contribution to support SCIM Fellowship training program	75,000
KESSLER FOUNDATION, INC. 1199 Pleasant Valley Way West Orange, NJ 07052	N/A	Public Entity	Grant to support spinal cord injury research	121,346
LAKESHORE FOUNDATION 4000 Ridgeway Drive Birmingham, AL 35209	N/A	Public Entity	Grant to support programs/ services for persons living with a spinal cord injury	75,000
MARQUETTE UNIVERSITY 1250 W Wisconsin Avenue Milwaukee, WI 53201	N/A	Public Entity	Grant to support spinal cord injury research	123,619
METROHEALTH FOUNDATION, INC 2500 MetroHealth Drive, Towers Room 140A Cleveland, OH 44109	N/A	Public Entity	Contribution to support SCIM Fellowship training program	75,000
MOUNT SINAI SCHOOL OF MEDICINE OF NYU 1425 Madison Avenue, Box 1240 New York, NY 10029	N/A	Public Entity	Grant to support spinal cord injury research	125,000

Schedule A

Grants and Contributions Paid During the Year

Recipient Name & Address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MS METHODIST HOSPITAL REHAB CTR, INC 1350 East Woodrow Wilson Jackson, MS 39216	N/A	Public Entity	Contribution to support spinal cord injury rehabilitation services	2,423
MS METHODIST HOSPITAL REHAB CTR, INC 1350 East Woodrow Wilson Jackson, MS 39216	N/A	Public Entity	Contribution to support spinal cord injury rehabilitation services	20,692
NEURO ASSISTANCE FOUNDATION 2320 Bridgewood Drive Keller, TX 76262	N/A	Public Entity	Grant to support programs/ services for persons living with a spinal cord injury	42,800
NORTH JERSEY NAVIGATORS, INC. 14 Grieco Drive #1 Jersey City, NJ 07305	N/A	Public Entity	Grant to support programs/ services for persons living with a spinal cord injury	5,000
NORTHWESTERN UNIVERSITY 633 Clark Street Evanston, IL 60208	N/A	Public Entity	Grant to support spinal cord injury research	49,546
NORTHWESTERN UNIVERSITY 633 Clark Street Evanston, IL 60208	N/A	Public Entity	Grant to support spinal cord injury research	60,000
OHIO STATE UNIVERSITY Enarson Hall 154 W 12th Avenue Columbus, Ohio 43210	N/A	Public Entity	Grant to support spinal cord injury research	10,000
OHIO STATE UNIVERSITY Enarson Hall 154 W 12th Avenue Columbus, Ohio 43210	N/A	Public Entity	Grant to support spinal cord injury research	50,000
OHIO STATE UNIVERSITY Enarson Hall 154 W 12th Avenue Columbus, Ohio 43210	N/A	Public Entity	Grant to support spinal cord injury research	50,000
PEOPLE FOR PARKS Falls 7 All 5049 Park Terrace Edina, MN 55436	N/A	Public Entity	Grant to support programs/ services for persons living with a spinal cord injury	25,000
PHILADELPHIA HEALTH & EDUCATION CORP Drexel University College of Medicine 3201 Arch Street, Ste 100 Philadelphia, PA 19104	N/A	Public Entity	Grant to support spinal cord injury research	50,000
PHILADELPHIA HEALTH & EDUCATION CORP Drexel University College of Medicine 3201 Arch Street, Ste 100 Philadelphia, PA 19104	N/A	Public Entity	Grant to support spinal cord injury research	115,000
QUEEN'S UNIVERSITY AT KINGSTON 78 Fifth Field Company Lane Kingston, Ontario K7L 3N6	N/A	Public Entity	Grant to support spinal cord injury research	125,000
REBUILDING ALL GOALS EFFICIENTLY, INC 6375 W Charleston Blvd, Ste L200/WCL Las Vegas, NV 89149	N/A	Public Entity	Contribution to support spinal cord injury rehabilitation services	10,712

Schedule A

Grants and Contributions Paid During the Year

Recipient Name & Address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
REBUILDING ALL GOALS EFFICIENTLY, INC 6375 W Charleston Blvd, Ste L200/WCL Las Vegas, NV 89149	N/A	Public Entity	Contribution to support spinal cord injury rehabilitation services	29,944
REGENTS OF THE UNIVERSITY OF CALIF. 11000 Kinross Avenue, Ste 102 Box 951406 Los Angeles, CA 90095-1406	N/A	Public Entity	Grant to support spinal cord injury research	9,957
REGENTS OF THE UNIVERSITY OF CALIF. 11000 Kinross Avenue, Ste 102 Box 951406 Los Angeles, CA 90095-1406	N/A	Public Entity	Grant to support spinal cord injury research	124,879
REGENTS OF THE UNIVERSITY OF CALIF. 1855 Folsom Street, Ste 425 San Francisco, CA 94143-0897	N/A	Public Entity	Grant to support spinal cord injury research	105,600
REGENTS OF THE UNIVERSITY OF CALIF. 4199 Campus Drive, Ste 300 University Tower Irvine, CA 92612	N/A	Public Entity	Grant to support spinal cord injury research	115,000
REGENTS OF THE UNIVERSITY OF CALIF. 9500 Gilman Drive Mail Code 0012 La Jolla, CA 92093-0012	N/A	Public Entity	Grant to support spinal cord injury research	6,564
REGENTS OF THE UNIVERSITY OF CALIF. 9500 Gilman Drive Mail Code 0012 La Jolla, CA 92093-0012	N/A	Public Entity	Grant to support spinal cord injury research	49,984
REGENTS OF THE UNIVERSITY OF CALIF. 9500 Gilman Drive Mail Code 0012 La Jolla, CA 92093-0012	N/A	Public Entity	Grant to support spinal cord injury research	50,000
REGENTS OF THE UNIVERSITY OF CALIF. 9500 Gilman Drive Mail Code 0012 La Jolla, CA 92093-0012	N/A	Public Entity	Grant to support spinal cord injury research	60,000
REHABILITATION INSTITUTE OF CHICAGO 345 E Superior Street Chicago, IL 60611	N/A	Public Entity	Contribution to support spinal cord injury rehabilitation services	2,691
REHABILITATION INSTITUTE OF CHICAGO 345 E Superior Street Chicago, IL 60611	N/A	Public Entity	Contribution to support spinal cord injury rehabilitation services	6,634
REHABILITATION INSTITUTE OF KANSAS CITY 3011 Baltimore Kansas City, MO 64108	N/A	Public Entity	Contribution to support spinal cord injury rehabilitation services	5,926
REHABILITATION INSTITUTE OF KANSAS CITY 3011 Baltimore Kansas City, MO 64108	N/A	Public Entity	Grant to support programs/ services for persons living with a spinal cord injury	24,362
REHABILITATION INSTITUTE OF KANSAS CITY 3011 Baltimore Kansas City, MO 64108	N/A	Public Entity	Contribution to support spinal cord injury rehabilitation services	24,760

Schedule A

Grants and Contributions Paid During the Year

Recipient Name & Address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
REHABILITATION INSTITUTE RESEARCH CORP 345 East Superior Street Attn: Research Administration ONY-928 Chicago, IL 60611-2654	N/A	Public Entity	Grant to support spinal cord injury research	3,386
REHABILITATION INSTITUTE RESEARCH CORP 345 East Superior Street Attn: Research Administration ONY-928 Chicago, IL 60611-2654	N/A	Public Entity	Grant to support spinal cord injury research	10,000
REHABILITATION INSTITUTE RESEARCH CORP. 345 East Superior Street Attn: Research Administration ONY-928 Chicago, IL 60611-2654	N/A	Public Entity	Grant to support spinal cord injury research	48,751
RESEARCH FDN. OF THE CITY UNIV. OF NY 160 Convent Avenue New York, NY 10031	N/A	Public Entity	Grant to support spinal cord injury research	121,570
ROPER ST. FRANCIS FOUNDATION 316 Calhoun Street Charleston, SC 29401	N/A	Public Entity	Grant to support programs/ services for persons living with a spinal cord injury	45,000
SANFORD MEDICAL CENTER 1305 West 18th Street Sioux Falls, SD 57105	N/A	Public Entity	Grant to support programs/ services for persons living with a spinal cord injury	60,000
SHEPHERD CENTER FOUNDATION, INC. 2020 Peachtree Road NW Atlanta, GA 30309	N/A	Public Entity	Grant to support State of the Science in SCI Rehab conference	10,000
SOMERSET FOUNDATION, INC 329 Sycamore Road Santa Monica, CA 90402	N/A	Public Entity	Grant to support programs/ services for persons living with a spinal cord injury	20,000
SOUTHWEST WHEELCHAIR ATHLETIC ASSOCIATION 7229 Specklebelly Lane Fort Worth, TX 76120	N/A	Public Entity	Grant to support programs/ services for persons living with a spinal cord injury	45,200
SPAULDING REHABILITATION HOSPITAL CORP 125 Nashua Street Boston, MA 02114	N/A	Public Entity	Grant to support programs/ services for persons living with a spinal cord injury	30,200
ST ALPHONSUS REGIONAL MEDICAL CENTER, INC 1055 N Curtis Road Boise, ID 83706	N/A	Public Entity	Contribution to support spinal cord injury rehabilitation services	4,617
ST ALPHONSUS REGIONAL MEDICAL CENTER, INC 1055 N Curtis Road Boise, ID 83706	N/A	Public Entity	Contribution to support spinal cord injury rehabilitation services	15,786
ST. JOSEPH'S HOSPITAL 3001 Martin Luther King Jr. Boulevard Tampa, FL 33607	N/A	Public Entity	Grant to support programs/ services for persons living with a spinal cord injury	21,900
ST. LOUIS VA MEDICAL CENTER 915 North Grand Boulevard St Louis, MO 63106	N/A	Public Entity	Contribution to support spinal cord injury rehabilitation services	9,550

Schedule A

Grants and Contributions Paid During the Year

Recipient Name & Address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ST. LOUIS VA MEDICAL CENTER 915 North Grand Boulevard St. Louis, MO 63106	N/A	Public Entity	Contribution to support spinal cord injury rehabilitation services	32,217
ST. LUKE'S MAGIC VALLEY HEALTH FDN, INC. PO Box AK Twin Falls, ID 83303	N/A	Public Entity	Contribution to support spinal cord injury rehabilitation services	100,000
TELLURIDE ADAPTIVE SKI PROGRAM 568 Mountain Village Boulevard, Suite 101 PO Box 2254 Telluride, CO 81435	N/A	Public Entity	Grant to support programs/ services for persons living with a spinal cord injury	9,625
TEMPLE UNIVERSITY 1700 North Broad Street, Suite 304 Philadelphia, PA 19122	N/A	Public Entity	Grant to support spinal cord injury research	10,000
THE COLLEGE OF IDAHO INC 2112 Cleveland Blvd Caldwell, ID 83605	N/A	Public Entity	Contribution to support program for Judaic studies	100,000
THE DESERET FOUNDATION PO Box 577000 5121 Cottonwood Drive Murray, UT 84157	N/A	Public Entity	Grant to support programs/ services for persons living with a spinal cord injury	47,916
THE STATE UNIVERSITY OF IOWA 178 Mossman Business Services Bldg Iowa City, Iowa 52242-1602	N/A	Public Entity	Grant to support spinal cord injury research	114,496
THOMAS JEFFERSON UNIVERSITY 125 S 9th Street, 2nd Flr, Shendan Bldg Philadelphia, PA 19107	N/A	Public Entity	Grant to support spinal cord injury research	125,000
TRUSTEES OF THE UNIV. OF PENNSYLVANIA P221 Franklin Building 3451 Walnut Street Philadelphia, PA 19104-6205	N/A	Public Entity	Grant to support spinal cord injury research	8,889
UNITED SPINAL ASSOCIATION INC 17 Grove Avenue Flourtown, PA 19031	N/A	Public Entity	Grant to support programs/ services for persons living with a spinal cord injury	12,250
UNIV. OF LOUISVILLE RESEARCH FDN, INC. 501 E Broadway, Suite 200 Louisville, KY 40202	N/A	Public Entity	Grant to support spinal cord injury research	124,997
UNIV OF THE SCIENCES IN PHILADELPHIA 600 South 43rd Street Philadelphia, PA 19104	N/A	Public Entity	Grant to support spinal cord injury research	115,000
UNIV OF TX HEALTH SCIENCE CTR @ HOUSTON 6431 Fannin Street Houston, Texas 77030	N/A	Public Entity	Grant to support spinal cord injury research	115,000
UNIV OF TX MEDICAL BRANCH AT GALVESTON 301 University Blvd Galveston, TX 77555	N/A	Public Entity	Grant to support spinal cord injury research	115,000

Schedule A

Grants and Contributions Paid During the Year

Recipient Name & Address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UNIVERSITE DE MONTREAL C P. 6128, succursale Centre-ville Montréal (Québec) CANADA H3C 3J7	N/A	Public Entity	Grant to support spinal cord injury research	114,501
UNIVERSITY HOSPITAL FOUNDATION 50 N Medical Drve Salt Lake City, UT 84132	N/A	Public Entity	Grant to support programs/ services for persons living with a spinal cord injury	70,000
UNIVERSITY OF BRITISH COLUMBIA #102, Technology Enterprise Facility III 6190 Agronomy Road Vancouver, British Columbia V6T 1Z3	N/A	Public Entity	Grant to support spinal cord injury research	7,558
UNIVERSITY OF BRITISH COLUMBIA #102, Technology Enterprise Facility III 6190 Agronomy Road Vancouver, British Columbia V6T 1Z3	N/A	Public Entity	Grant to support spinal cord injury research	10,000
UNIVERSITY OF BRITISH COLUMBIA #102, Technology Enterprise Facility III 6190 Agronomy Road Vancouver, British Columbia V6T 1Z3	N/A	Public Entity	Grant to support spinal cord injury research	48,400
UNIVERSITY OF FLORIDA 207 Grinter Hall Gainesville, FL 32611	N/A	Public Entity	Grant to support spinal cord injury research	115,000
UNIVERSITY OF KY RESEARCH FOUNDATION 116 Kinkead Hall Lexington, KY 40506-0057	N/A	Public Entity	Grant to support spinal cord injury research	124,982
UNIVERSITY OF MANITOBA 202 Administration Building Winnipeg, Manitoba Canada R3T 2N2	N/A	Public Entity	Grant to support spinal cord injury research	10,000
UNIVERSITY OF MIAMI 1400 N.W. 10th Avenue Dominion Tower, Rm 1007 Miami, FL 33136	N/A	Public Entity	Grant to support spinal cord injury research	9,998
UNIVERSITY OF MIAMI 1400 N W 10th Avenue Dominion Tower, Rm 1007 Miami, FL 33136	N/A	Public Entity	Grant to support spinal cord injury research	10,000
UNIVERSITY OF MIAMI 1400 N.W. 10th Avenue Dominion Tower, Rm 1007 Miami, FL 33136	N/A	Public Entity	Grant to support spinal cord injury research	10,000
UNIVERSITY OF MIAMI 1400 N.W. 10th Avenue Dominion Tower, Rm 1007 Miami, FL 33136	N/A	Public Entity	Grant to support the ongoing work of The Miami Project to Cure Paralysis	50,000
UNIVERSITY OF MIAMI 1400 N W 10th Avenue Dominion Tower, Rm 1007 Miami, FL 33136	N/A	Public Entity	Grant to support spinal cord injury research	59,996
UNIVERSITY OF MIAMI 1400 N W. 10th Avenue Dominion Tower, Rm 1007 Miami, FL 33136	N/A	Public Entity	Grant to support spinal cord injury research	115,000

Schedule A

Grants and Contributions Paid During the Year

Recipient Name & Address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation's relationship to recipient	Purpose of grant or contribution	Amount
UNIVERSITY OF MIAMI 1400 N.W. 10th Avenue Dominion Tower, Rm 1007 Miami, FL 33136	N/A	Public Entity	Grant to support spinal cord injury research	115,000
UNIVERSITY OF MIAMI 1400 N W 10th Avenue Dominion Tower, Rm 1007 Miami, FL 33136	N/A	Public Entity	Grant to support spinal cord injury research	125,000
UNIVERSITY OF MIAMI 1400 N W. 10th Avenue Dominion Tower, Rm 1007 Miami, FL 33136	N/A	Public Entity	Grant to support spinal cord injury research	125,000
UNIVERSITY OF MISSOURI 310 Jesse Hall Columbia, MO 65211	N/A	Public Entity	Grant to support spinal cord injury research	10,000
UNIVERSITY OF PITTSBURGH 200 5th Ave Pittsburgh, PA 15213	N/A	Public Entity	Grant to support spinal cord injury research	115,000
UNIVERSITY OF PITTSBURGH 200 5th Ave Pittsburgh, PA 15213	N/A	Public Entity	Grant to support spinal cord injury research	50,000
UNIVERSITY OF UTAH 75 South 2000 East, Room 211 Salt Lake City, UT 84112	N/A	Public Entity	Contribution to support a scholarship program for students with a spinal cord injury	40,000
UNIVERSITY OF WASHINGTON 1100 NE 45th Street, Ste 300 Seattle, WA 98105-4683	N/A	Public Entity	Grant to support spinal cord injury research	9,558
UNIVERSITY OF WASHINGTON 1100 NE 45th Street, Ste 300 Seattle, WA 98105-4683	N/A	Public Entity	Grant to support spinal cord injury research	125,000
VERMONT STUDIO CENTER, INC. 80 Pearl Street Johnson, VT 05656	N/A	Public Entity	Grant to support programs/ services for persons living with a spinal cord injury	28,000
WASHINGTON UNIVERSITY 660 South Euclid Avenue Campus Box 8018 St Louis, MO 63110	N/A	Public Entity	Grant to support spinal cord injury research	10,000
WASHINGTON UNIVERSITY 660 South Euclid Avenue Campus Box 8018 St Louis, MO 63110	N/A	Public Entity	Grant to support spinal cord injury research	10,000
WAYNE STATE UNIVERSITY 540 E. Canfield 1367 Scott Hall Detroit, MI 48202	N/A	Public Entity	Grant to support spinal cord injury research	115,000
WHEELS OF PROGRESS, INC 1325 Fifth Avenue, Suite 4-B New York, NY 10029	N/A	Public Entity	Grant to support programs/ services for persons living with a spinal cord injury	100,000

Schedule A

Grants and Contributions Paid During the Year

Recipient Name & Address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
WILDERNESS INQUIRY, INC 808 14th Avenue SE Minneapolis, MN 55414	N/A	Public Entity	Grant to support programs/ services for persons living with a spinal cord injury	10,000
YALE UNIVERSITY 47 College Street, Ste 203 PO Box 208047 New Haven, CT 06520-8047	N/A	Public Entity	Grant to support spinal cord injury research	9,890

Total Grants and Contributions Paid During the Year 6,885,532

Schedule B

Grants and Contributions Approved for Future Payment

Recipient Name & Address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ALEGENT HEALTH FOUNDATION 12809 W Dodge Road, 3rd Floor Omaha, NE 68154-2101	N/A	Public Entity	Contribution to support spinal cord injury rehabilitation services	3,736 27
BROWN UNIVERSITY OF PROVIDENCE STATE OF RI AND PROV PLANTATIONS 164 Angell St, 3rd Flr, Box 1929 Providence, RI 02912	N/A	Public Entity	Grant to support spinal cord injury research	75,000 00
CASE WESTERN RESERVE UNIVERSITY 10900 Euclid Avenue Cleveland, Ohio 44106	N/A	Public Entity	Grant to support spinal cord injury research	10,000 00
CHILDRENS HOSPITAL CORPORATION 300 Longwood Avenue Boston, MA 02115	N/A	Public Entity	Grant to support spinal cord injury research	10,000.00
CRAIG HOSPITAL FOUNDATION 3425 S Clarkson Street Englewood, CO 80113	N/A	Public Entity	Contribution to support spinal cord injury rehabilitation services	8,653 78
CYSTIC FIBROSIS FOUNDATION 6931 Arlington Road, Ste 200 Bethesda, MD 20814	N/A	Public Entity	Contribution to support the 'Milestones to a Cure' program	50,000 00
DREXEL UNIVERSITY 3201 Arch Street, Ste 100 Philadelphia, PA 19104	N/A	Public Entity	Grant to support spinal cord injury research	10,000 00
DREXEL UNIVERSITY 3201 Arch Street, Ste 100 Philadelphia, PA 19104	N/A	Public Entity	Grant to support spinal cord injury research	75,000 00
DREXEL UNIVERSITY 3201 Arch Street, Ste 100 Philadelphia, PA 19104	N/A	Public Entity	Grant to support spinal cord injury research	141,162 00
DREXEL UNIVERSITY 3201 Arch Street, Ste 100 Philadelphia, PA 19104	N/A	Public Entity	Grant to support spinal cord injury research	150,000 00
EMORY UNIVERSITY 1599 Clifton Road NE, 4th Floor Mailstop 1599-001-1BA Atlanta, GA 30322	N/A	Public Entity	Grant to support spinal cord injury research	10,000 00
EMORY UNIVERSITY 1599 Clifton Road NE, 4th Floor Mailstop 1599-001-1BA Atlanta, GA 30322	N/A	Public Entity	Grant to support spinal cord injury research	10,000 00
EMORY UNIVERSITY 1599 Clifton Road NE, 4th Floor Mailstop 1599-001-1BA Atlanta, GA 30322	N/A	Public Entity	Grant to support spinal cord injury research	10,000 00
HEALTH RESEARCH, INC 150 Broadway Suite 560, MENANDS, NY 12204	N/A	Public Entity	Grant to support spinal cord injury research	10,000 00

Schedule B

Grants and Contributions Approved for Future Payment

Recipient Name & Address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HINDS COMMUNITY CLG DEVELOPMENT FDTN, INC 507 Main Street Raymond, MS 39154	N/A	Public Entity	Contribution to support 'Education Pays' program	20,000 00
HINDS COMMUNITY CLG DEVELOPMENT FDTN, INC 507 Main Street Raymond, MS 39154	N/A	Public Entity	Contribution to support 'Education Pays' program	25,000 00
JOHNS HOPKINS UNIVERSITY 733 N Broadway BRB, Suite 117 Baltimore, MD 21205	N/A	Public Entity	Grant to support spinal cord injury research	150,000 00
KESSLER FOUNDATION, INC. 1199 Pleasant Valley Way West Orange, NJ 07052	N/A	Public Entity	Contribution to support SCIM Fellowship training program	75,000 00
KESSLER FOUNDATION, INC 1199 Pleasant Valley Way West Orange, NJ 07052	N/A	Public Entity	Grant to support spinal cord injury research	148,738 00
MARQUETTE UNIVERSITY 1250 W Wisconsin Avenue Milwaukee, WI 53201	N/A	Public Entity	Grant to support spinal cord injury research	10,000 00
MAYO CLINIC ROCHESTER 200 First Street SW Wells Fargo Building 5-10 Rochester, MN 55905	N/A	Public Entity	Grant to support spinal cord injury research	10,000.00
METROHEALTH FOUNDATION, INC. 2500 MetroHealth Drive, Towers Room 140A Cleveland, OH 44109	N/A	Public Entity	Contribution to support SCIM Fellowship training program	75,000 00
MOUNT SINAI SCHOOL OF MEDICINE OF NYU One Gustave L. Levy Place, Box 1075 New York, NY 10029	N/A	Public Entity	Grant to support spinal cord injury research	150,000 00
MS METHODIST HOSPITAL REHAB CTR, INC 1350 East Woodrow Wilson Jackson, MS 39216	N/A	Public Entity	Contribution to support spinal cord injury rehabilitation services	2,347 16
NATIONAL SPINAL CORD INJURY ASSOCIATION One church Street, Suite 600 Rockville, MD 20850	N/A	Public Entity	Grant to support programs/ services for persons living with a spinal cord injury	12,500 00
NORTHWESTERN UNIVERSITY 633 Clark Street Evanston, IL 60208	N/A	Public Entity	Grant to support spinal cord injury research	10,000 00
NORTHWESTERN UNIVERSITY 633 Clark Street Evanston, IL 60208	N/A	Public Entity	Grant to support spinal cord injury research	75,000.00
OHIO STATE UNIVERSITY Enarson Hall 154 W 12th Avenue Columbus, Ohio 43210	N/A	Public Entity	Grant to support spinal cord injury research	10,000 00

Schedule B

Grants and Contributions Approved for Future Payment

Recipient Name & Address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
OHIO STATE UNIVERSITY Enarson Hall 154 W 12th Avenue Columbus, Ohio 43210	N/A	Public Entity	Grant to support spinal cord injury research	10,000.00
PHILADELPHIA HEALTH & EDUCATION CORP Drexel University College of Medicine 3201 Arch Street, Ste 100 Philadelphia, PA 19104	N/A	Public Entity	Grant to support spinal cord injury research	10,000 00
PHILADELPHIA HEALTH & EDUCATION CORP Drexel University College of Medicine 3201 Arch Street, Ste 100 Philadelphia, PA 19104	N/A	Public Entity	Grant to support spinal cord injury research	10,000 00
PHILADELPHIA HEALTH & EDUCATION CORP Drexel University College of Medicine 3201 Arch Street, Ste 100 Philadelphia, PA 19104	N/A	Public Entity	Grant to support spinal cord injury research	10,000 00
QUEEN'S UNIVERSITY AT KINGSTON 78 Fifth Field Company Lane Kingston, Ontario K7L 3N6	N/A	Public Entity	Grant to support spinal cord injury research	150,000.00
REBUILDING ALL GOALS EFFICIENTLY, INC 6375 W Charleston Blvd, Ste L200/WCL Las Vegas, NV 89149	N/A	Public Entity	Contribution to support spinal cord injury rehabilitation services	9,016.97
REGENTS OF THE UNIVERSITY OF CALIF 11000 Kinross Avenue, Ste 102 Box 951406 Los Angeles, CA 90095-1406	N/A	Public Entity	Grant to support spinal cord injury research	10,000 00
REGENTS OF THE UNIVERSITY OF CALIF 11000 Kinross Avenue, Ste 102 Box 951406 Los Angeles, CA 90095-1406	N/A	Public Entity	Grant to support spinal cord injury research	75,000 00
REGENTS OF THE UNIVERSITY OF CALIF. 1855 Folsom Street, Ste 425 San Francisco, CA 94143-0897	N/A	Public Entity	Grant to support spinal cord injury research	10,000.00
REGENTS OF THE UNIVERSITY OF CALIF. 1855 Folsom Street, Ste 425 San Francisco, CA 94143-0897	N/A	Public Entity	Grant to support spinal cord injury research	148,371.00
REGENTS OF THE UNIVERSITY OF CALIF 4199 Campus Drive, Ste 300 University Tower Irvine, CA 92612	N/A	Public Entity	Grant to support spinal cord injury research	10,000 00
REGENTS OF THE UNIVERSITY OF CALIF. 9500 Gilman Drive Mail Code 0012 La Jolla, CA 92093-0012	N/A	Public Entity	Grant to support spinal cord injury research	10,000.00
REGENTS OF THE UNIVERSITY OF CALIF. 9500 Gilman Drive Mail Code 0012 La Jolla, CA 92093-0012	N/A	Public Entity	Grant to support spinal cord injury research	10,000.00
REGENTS OF THE UNIVERSITY OF CALIF 9500 Gilman Drive Mail Code 0012 La Jolla, CA 92093-0012	N/A	Public Entity	Grant to support spinal cord injury research	10,000 00

Grants and Contributions Approved for Future Payment

Recipient Name & Address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
REHABILITATION INSTITUTE OF CHICAGO 345 E Superior Street Chicago, IL 60611	N/A	Public Entity	Contribution to support spinal cord injury rehabilitation services	2,171.43
REHABILITATION INSTITUTE OF CHICAGO 345 E Superior Street Chicago, IL 60611	N/A	Public Entity	Contribution to support SCIM Fellowship training program	75,000.00
REHABILITATION INSTITUTE OF KANSAS CITY 3011 Baltimore Kansas City, MO 64108	N/A	Public Entity	Contribution to support spinal cord injury rehabilitation services	6,713.56
REHABILITATION INSTITUTE RESEARCH CORP. 345 East Superior Street Attn: Research Administration ONY-928 Chicago, IL 60611-2654	N/A	Public Entity	Grant to support spinal cord injury research	10,000.00
REHABILITATION INSTITUTE RESEARCH CORP 345 East Superior Street Attn: Research Administration ONY-928 Chicago, IL 60611-2654	N/A	Public Entity	Grant to support spinal cord injury research	10,000.00
REHABILITATION INSTITUTE RESEARCH CORP 345 East Superior Street Attn: Research Administration ONY-928 Chicago, IL 60611-2654	N/A	Public Entity	Grant to support spinal cord injury research	10,000.00
REHABILITATION INSTITUTE RESEARCH CORP 345 East Superior Street Attn: Research Administration ONY-928 Chicago, IL 60611-2654	N/A	Public Entity	Grant to support spinal cord injury research	10,000.00
REHABILITATION INSTITUTE RESEARCH CORP 345 East Superior Street Attn: Research Administration ONY-928 Chicago, IL 60611-2654	N/A	Public Entity	Grant to support spinal cord injury research	10,000.00
RESEARCH FDN. OF THE CITY UNIV. OF NY 160 Convent Avenue New York, NY 10031	N/A	Public Entity	Grant to support spinal cord injury research	150,000.00
ST. ALPHONSUS REGIONAL MEDICAL CENTER, INC. 1055 N. Curtis Road Boise, ID 83706	N/A	Public Entity	Contribution to support spinal cord injury rehabilitation services	4,134.34
ST. LOUIS VA MEDICAL CENTER 915 North Grand Boulevard St. Louis, MO 63106	N/A	Public Entity	Contribution to support spinal cord injury rehabilitation services	8,578.22
ST. LUKE'S MAGIC VALLEY HEALTH FDN., INC. PO Box AK Twin Falls, ID 83303	N/A	Public Entity	Contribution to support spinal cord injury rehabilitation services	900,000.00
THE STATE UNIVERSITY OF IOWA 178 Mossman Business Services Bldg Iowa City, Iowa 52242-1602	N/A	Public Entity	Grant to support spinal cord injury research	10,000.00
THOMAS JEFFERSON UNIVERSITY 125 S 9th Street, 2nd Flr, Sherdan Bldg Philadelphia, PA 19107	N/A	Public Entity	Grant to support spinal cord injury research	150,000.00

Schedule B

Grants and Contributions Approved for Future Payment

Recipient Name & Address (home or business)	If recipient is an individual, show any relationship to any foundation manager, or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UNIV. OF LOUISVILLE RESEARCH FDN , INC. 501 E Broadway, Suite 200 Louisville, KY 40202	N/A	Public Entity	Grant to support spinal cord injury research	148,863.00
UNIV. OF THE SCIENCES IN PHILADELPHIA 600 South 43rd Street Philadelphia, PA 19104	N/A	Public Entity	Grant to support spinal cord injury research	10,000.00
UNIV OF TX HEALTH SCIENCE CTR @ HOUSTON 6431 Fannin Street Houston, Texas 77030	N/A	Public Entity	Grant to support spinal cord injury research	10,000.00
UNIV OF TX MEDICAL BRANCH AT GALVESTON 301 University Blvd Galveston, TX 77555	N/A	Public Entity	Grant to support spinal cord injury research	10,000.00
UNIVERSITE DE MONTREAL C.P. 6128, succursale Centre-ville Montréal (Québec) CANADA H3C 3J7	N/A	Public Entity	Grant to support spinal cord injury research	10,000.00
UNIVERSITY HEALTH NETWORK 550 University Avenue Toronto, Ontario M5G 2A2	N/A	Public Entity	Grant to support spinal cord injury research	135,000.00
UNIVERSITY OF FLORIDA 207 Grinter Hall Gainesville, FL 32611	N/A	Public Entity	Grant to support spinal cord injury research	10,000.00
UNIVERSITY OF KY RESEARCH FOUNDATION 116 Kinkead Hall Lexington, KY 40506-0057	N/A	Public Entity	Grant to support spinal cord injury research	10,000.00
UNIVERSITY OF KY RESEARCH FOUNDATION 116 Kinkead Hall Lexington, KY 40506-0057	N/A	Public Entity	Grant to support spinal cord injury research	10,000.00
UNIVERSITY OF KY RESEARCH FOUNDATION 116 Kinkead Hall Lexington, KY 40506-0057	N/A	Public Entity	Grant to support spinal cord injury research	149,982.00
UNIVERSITY OF MIAMI 1400 N W 10th Avenue Dominion Tower, Rm 1007 Miami, FL 33136	N/A	Public Entity	Grant to support spinal cord injury research	10,000.00
UNIVERSITY OF MIAMI 1400 N W 10th Avenue Dominion Tower, Rm 1007 Miami, FL 33136	N/A	Public Entity	Grant to support spinal cord injury research	10,000.00
UNIVERSITY OF MIAMI 1400 N W 10th Avenue Dominion Tower, Rm 1007 Miami, FL 33136	N/A	Public Entity	Grant to support spinal cord injury research	10,000.00
UNIVERSITY OF MIAMI 1400 N W. 10th Avenue Dominion Tower, Rm 1007 Miami, FL 33136	N/A	Public Entity	Grant to support spinal cord injury research	10,000.00

Schedule B

Grants and Contributions Approved for Future Payment

Recipient Name & Address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UNIVERSITY OF MIAMI 1400 N W. 10th Avenue Dominion Tower, Rm 1007 Miami, FL 33136	N/A	Public Entity	Grant to support spinal cord injury research	75,000 00
UNIVERSITY OF MIAMI 1400 N W. 10th Avenue Dominion Tower, Rm 1007 Miami, FL 33136	N/A	Public Entity	Grant to support spinal cord injury research	150,000 00
UNIVERSITY OF MIAMI 1400 N W. 10th Avenue Dominion Tower, Rm 1007 Miami, FL 33136	N/A	Public Entity	Grant to support spinal cord injury research	150,000.00
UNIVERSITY OF PITTSBURGH 200 5th Ave Pittsburgh, PA 15213	N/A	Public Entity	Grant to support spinal cord injury research	10,000.00
UNIVERSITY OF PITTSBURGH 200 5th Ave Pittsburgh, PA 15213	N/A	Public Entity	Grant to support spinal cord injury research	10,000 00
UNIVERSITY OF PUERTO RICO Medical Science Campus, Room A 767, Main Building PO Box 365067 San Juan, PR 00936-5067	N/A	Public Entity	Grant to support spinal cord injury research	10,000 00
UNIVERSITY OF WASHINGTON 1100 NE 45th Street, Ste 300 Seattle, WA 98105-4683	N/A	Public Entity	Grant to support spinal cord injury research	10,000.00
UNIVERSITY OF WASHINGTON 1100 NE 45th Street, Ste 300 Seattle, WA 98105-4683	N/A	Public Entity	Grant to support spinal cord injury research	150,000 00
UNIVERSITY OF WISCONSIN 21 North Park Street, Ste 6401 Madison, WI 53715	N/A	Public Entity	Grant to support spinal cord injury research	10,000 00
WAYNE STATE UNIVERSITY 540 E. Canfield 1367 Scott Hall Detroit, MI 48202	N/A	Public Entity	Grant to support spinal cord injury research	10,000 00

Total Grants and Contributions Approved for Future Payment 4,314,967.73

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

		Enter filer's identifying number, see instructions
Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	CRAIG H. NEILSEN FOUNDATION	☒ 06-1695275
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	PO BOX 5195	☐
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	TWIN FALLS, ID 83303-5195	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

THE FOUNDATION

- The books are in the care of **PO BOX 5195 - TWIN FALLS, ID 83303-5195**

Telephone No. **208-320-1423**

FAX No. ☐

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2012.**

5 For calendar year **2011**, or other tax year beginning ☐ , and ending ☐.

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return

☐ Change in accounting period

7 State in detail why you need the extension

ADDITIONAL TIME IS NECESSARY TO COMPILE INFORMATION NECESSARY TO PREPARE A COMPLETE AND ACCURATE RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	20,000.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	20,000.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ☐

Title **CPA**

Date ☐

Form 8868 (Rev. 1-2012)