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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

2011

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011, or tax year beginning , 2011, and ending

ROTHBERG FAMILY CHARITABLE  
FOUNDATION FOR CHILDREN'S DISEASES  
C/O M ROTHBERG 7551 ISLA VERDE WAY  
DELRAY BEACH, FL 33446A Employer identification number  
06-1519674B Telephone number (see the instructions)  
561-998-4657C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐G Check all that apply ☐ Initial return ☐ Initial Return of a former public charity  
☐ Final return ☐ Amended return  
☐ Address change ☐ Name changeH Check type of organization ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year (from Part II, column (c), line 16)  
\$ 26,740,261.J Accounting method: ☒ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_  
(Part I, column (d) must be on cash basis)

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc, received (att sch)

2 Ck ☒ if the foundn is not req to att Sch B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain/(loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit/(loss) (att sch)

11 Other income (attach schedule)

12 Total. Add lines 1 through 11

13 Compensation of officers, directors, trustees, etc

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

b Accounting fees (attach sch) See St 1

c Other prof fees (attach sch)

17 Interest

18 Taxes (attach schedule)(see instrs) See Stm 2

19 Depreciation (attach sch) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

See Statement 3

24 Total operating and administrative expenses. Add lines 13 through 23

25 Contributions, gifts, grants paid

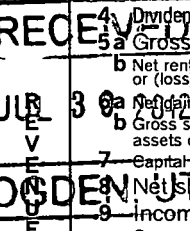
26 Total expenses and disbursements. Add lines 24 and 25

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)



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<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>ASSETS</b>	1	Cash — non-interest-bearing			
	2	Savings and temporary cash investments	16,258,503.	22,916,465.	22,916,465.
	3	Accounts receivable			
		Less: allowance for doubtful accounts			
	4	Pledges receivable			
		Less: allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch)			
		Less: allowance for doubtful accounts			
	8	Inventories for sale or use.			
	9	Prepaid expenses and deferred charges			
	10a	Investments — U.S. and state government obligations (attach schedule) <b>Statement 4</b>	2,711,349.	2,662,897.	3,103,721.
	b	Investments — corporate stock (attach schedule) <b>Statement 5</b>	2,096,589.	250,000.	50.
	c	Investments — corporate bonds (attach schedule) <b>Statement 6</b>	4,237,721.	1,161,509.	720,025.
<b>LIABILITIES</b>	11	Investments — land, buildings, and equipment: basis			
		Less: accumulated depreciation (attach schedule)			
	12	Investments — mortgage loans			
	13	Investments — other (attach schedule)			
	14	Land, buildings, and equipment: basis			
		Less: accumulated depreciation (attach schedule)			
	15	Other assets (describe)			
	16	<b>Total assets</b> (to be completed by all filers — see the instructions. Also, see page 1, item I.)	25,304,162.	26,990,871.	26,740,261.
	17	Accounts payable and accrued expenses			
	18	Grants payable			
<b>NET ASSETS OR FUND BALANCES</b>	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons.			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe)			
	23	<b>Total liabilities</b> (add lines 17 through 22)	0.	0.	
		<b>Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.</b>			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		<b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</b> <input checked="" type="checkbox"/>			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, building, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	25,304,162.	26,990,871.	
	30	<b>Total net assets or fund balances</b> (see instructions)	25,304,162.	26,990,871.	
	31	<b>Total liabilities and net assets/fund balances</b> (see instructions)	25,304,162.	26,990,871.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	25,304,162.
2	Enter amount from Part I, line 27a	2	1,674,891.
3	Other increases not included in line 2 (itemize) <b>See Statement 7</b>	3	11,818.
4	Add lines 1, 2, and 3	4	26,990,871.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	26,990,871.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a See Statement 8			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss). <span style="border: 1px solid black; padding: 2px;">If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7</span>	2	1,130,081.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): <span style="border: 1px solid black; padding: 2px;">If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8</span>	3	1,183,462.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2010	6,400.	23,637,061.	0.000271
2009	21,000.	20,321,527.	0.001033
2008	7,000.	21,053,050.	0.000332
2007	459,000.	24,137,381.	0.019016
2006	6,773,919.	26,741,603.	0.253310

2 Total of line 1, column (d)	2	0.273962
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.054792
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	25,144,824.
5 Multiply line 4 by line 3	5	1,377,735.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	16,895.
7 Add lines 5 and 6	7	1,394,630.
8 Enter qualifying distributions from Part XII, line 4	8	6,500.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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Form 990-PF (2011)

**Part VI Excise Tax Based on Investment Income** (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instrs)		1	33,789.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	33,789.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	33,789.
6 Credits/Payments			
a 2011 estimated tax prmts and 2010 overpayment credited to 2011	6a	15,000.	
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	15,000.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	18,789.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2012 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers... \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XIV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) CT FL		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses.		X

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Form 990-PF (2011)

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes', attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>MICHAEL ROTHBERG</u> Telephone no. <u>561-998-4657</u> Located at <u>7551 ISLA VERDE WAY DELRAY BEACH FL</u> ZIP + 4 <u>33446</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u> <u>N/A</u>			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country			X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
<b>1a</b> During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>b</b> If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	N/A
<b>c</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
<b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
<b>a</b> At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If 'Yes,' list the years <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>b</b> Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)	2b	N/A
<b>c</b> If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
<b>3a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>b</b> If 'Yes,' did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)	3b	N/A
<b>4a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
<b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

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Form 990-PF (2011)

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No

6b

X

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If 'Yes' to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No

7b

N/A

**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JONATHAN M ROTHBERG 215 UNCAS POINT ROAD GUILFORD, CT 06437	Trustee 0	0.	0.	0.
MICHAEL J ROTHBERG 7551 ISLA VERDE WAY DELRAY BEACH, FL 33446	Trustee 0.50	0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		
<b>Total</b> number of others receiving over \$50,000 for professional services		0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b> N/A	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B** Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
<b>1</b> N/A	
<b>2</b>	
All other program-related investments See instructions	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3	0.

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Form 990-PF (2011)



**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	9,209,521.
b	Average of monthly cash balances	1b	16,318,219.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	25,527,740.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	25,527,740.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	382,916.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	25,144,824.
6	Minimum investment return. Enter 5% of line 5	6	1,257,241.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,257,241.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	33,789.
b	Income tax for 2011 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	33,789.
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	1,223,452.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	1,223,452.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,223,452.

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	6,500.
b	Program-related investments — total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	6,500.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	6,500.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
<b>1</b> Distributable amount for 2011 from Part XI, line 7				1,223,452.
<b>2</b> Undistributed income, if any, as of the end of 2011				
<b>a</b> Enter amount for 2010 only			0.	
<b>b</b> Total for prior years: 20____, 20____, 20____		0.		
<b>3</b> Excess distributions carryover, if any, to 2011:				
<b>a</b> From 2006	4,004,609.			
<b>b</b> From 2007	459,000.			
<b>c</b> From 2008	7,000.			
<b>d</b> From 2009				
<b>e</b> From 2010				
<b>f</b> Total of lines 3a through e	4,470,609.			
<b>4</b> Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 6,500.				
<b>a</b> Applied to 2010, but not more than line 2a			0.	
<b>b</b> Applied to undistributed income of prior years (Election required — see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required — see instructions)	0.			
<b>d</b> Applied to 2011 distributable amount				6,500.
<b>e</b> Remaining amount distributed out of corpus	0.			
<b>5</b> Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	1,216,952.			1,216,952.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	3,253,657.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
<b>e</b> Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
<b>f</b> Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				0.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
<b>8</b> Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	2,787,657.			
<b>9</b> Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	466,000.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2007	459,000.			
<b>b</b> Excess from 2008	7,000.			
<b>c</b> Excess from 2009				
<b>d</b> Excess from 2010				
<b>e</b> Excess from 2011				

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Form 990-PF (2011)

<b>Part XIV</b>	<b>Private Operating Foundations</b> (see instructions and Part VII-A, question 9)
-----------------	--

N/A

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

- b 85% of line 2a**

- c** Qualifying distributions from Part XII,  
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e** Qualifying distributions made directly for active conduct of exempt activities  
Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon:

- a 'Assets' alternative test – enter:

- (1) Value of all assets . . . . .

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)**

- b** 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c 'Support' alternative test – enter:

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . .

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization . . .

- (4) Gross investment income .**

[illegible]

**Part XV. Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year – see instructions.)**

### 1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

JONATHAN M ROTHBERG

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number of the person to whom applications should be addressed

- b** The form in which applications should be submitted and information and materials they should include

- c Any submission deadlines

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

N/A

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
<b>Total</b>			<b>3a</b>	
<i>b Approved for future payment</i>				
<b>Total</b>			<b>3b</b>	

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue.					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	580,047.	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	1,130,081.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue:					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				1,710,128.	
13	Total. Add line 12, columns (b), (d), and (e)					1,710,128.

(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]



2011

**Federal Statements**  
**ROTHBERG FAMILY CHARITABLE**  
**FOUNDATION FOR CHILDREN'S DISEASES**

Page 1

06-1519674

**Statement 1**  
**Form 990-PF, Part I, Line 16b**  
**Accounting Fees**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
	\$ 6,500.			\$ 6,500.
Total	\$ 6,500.	\$ 0.	\$ 0.	\$ 6,500.

**Statement 2**  
**Form 990-PF, Part I, Line 18**  
**Taxes**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
2011 990PF ESTIMATED TAX	\$ 8,070.			
FOREIGN TAX ON DIVIDENDS.	20,591.	\$ 20,591.		
Total	\$ 28,661.	\$ 20,591.	\$ 0.	\$ 0.

**Statement 3**  
**Form 990-PF, Part I, Line 23**  
**Other Expenses**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
INVESTMENT FEES	\$ 76.	\$ 76.		
Total	\$ 76.	\$ 76.	\$ 0.	\$ 0.

**Statement 4**  
**Form 990-PF, Part II, Line 10a**  
**Investments - U.S. and State Government Obligations**

U.S. Government Obligations	Valuation Method	Book Value	Fair Market Value
CT STATE GO BOND SER 2010B	Mkt Val	\$ 2,662,897.	\$ 3,103,721.
		\$ 2,662,897.	\$ 3,103,721.
Total		\$ 2,662,897.	\$ 3,103,721.

**Statement 5**  
**Form 990-PF, Part II, Line 10b**  
**Investments - Corporate Stocks**

<u>Corporate Stocks</u>	<u>Valuation Method</u>	<u>Book Value</u>	<u>Fair Market Value</u>
LEHMAN BROTHERS HOLDINGS 7.95%	Mkt Val	\$ 250,000.	\$ 50.
	Total	\$ 250,000.	\$ 50.

**Statement 6**  
**Form 990-PF, Part II, Line 10c**  
**Investments - Corporate Bonds**

<u>Corporate Bonds</u>	<u>Valuation Method</u>	<u>Book Value</u>	<u>Fair Market Value</u>
R J TOWER CORP	Mkt Val	\$ 92,750.	\$ 0.
SEAGATE TECHNOLOGY HDD H	Mkt Val	249,259.	267,500.
LEHMAN BROTHERS HOLDINGS	Mkt Val	244,405.	25.
MIRANT AMERICAS GENERATION LLC	Mkt Val	575,095.	452,500.
	Total	\$ 1,161,509.	\$ 720,025.

**Statement 7**  
**Form 990-PF, Part III, Line 3**  
**Other Increases**

UNREALIZED GAIN ON INVESTMENTS	Total	\$ 11,818.
		\$ 11,818.

**Statement 8**  
**Form 990-PF, Part IV, Line 1**  
**Capital Gains and Losses for Tax on Investment Income**

<u>Item</u>	<u>(a) Description</u>	<u>(b) How Acquired</u>	<u>(c) Date Acquired</u>	<u>(d) Date Sold</u>
1	300 ALTRIA	Purchased	1/31/2008	1/07/2011
2	5465 American Intl Group	Purchased	6/04/2007	1/07/2011
3	200 American Intl Group	Purchased	6/04/2007	1/07/2011
4	1300 American Intl Group	Purchased	6/04/2007	1/07/2011
5	135 American Intl Group	Purchased	6/04/2007	1/07/2011
6	200 American Intl Group	Purchased	6/04/2007	1/07/2011
7	1700 American Intl Group	Purchased	6/04/2007	1/07/2011
8	1000 American Intl Group	Purchased	6/04/2007	1/07/2011
9	100 ASTRAZENECA	Purchased	8/11/2011	11/15/2011
10	500 ASTRAZENECA	Purchased	8/11/2011	11/15/2011
11	1446 ASTRAZENECA	Purchased	8/11/2011	11/15/2011
12	7954 ASTRAZENECA	Purchased	8/11/2011	11/15/2011
13	200 ASTRAZENECA	Purchased	10/04/2011	11/15/2011
14	267 ASTRAZENECA	Purchased	10/04/2011	11/15/2011
15	4533 ASTRAZENECA	Purchased	10/04/2011	11/15/2011
16	300 AT&T INC COMMON	Purchased	1/07/2011	6/10/2011
17	100 AT&T INC COMMON	Purchased	1/07/2011	6/10/2011
18	300 AT&T INC COMMON	Purchased	1/07/2011	6/10/2011



**Federal Statements**  
**ROTHBERG FAMILY CHARITABLE**  
**FOUNDATION FOR CHILDREN'S DISEASES**

**Statement 8 (continued)**  
**Form 990-PF, Part IV, Line 1**  
**Capital Gains and Losses for Tax on Investment Income**

Item	(a) Description	(b) How Acquired	(c) Date Acquired	(d) Date Sold
19	300 AT&T INC COMMON	Purchased	1/07/2011	6/10/2011
20	100 AT&T INC COMMON	Purchased	1/07/2011	6/10/2011
21	100 AT&T INC COMMON	Purchased	1/07/2011	6/10/2011
22	100 AT&T INC COMMON	Purchased	1/07/2011	6/10/2011
23	100 AT&T INC COMMON	Purchased	1/07/2011	6/10/2011
24	100 AT&T INC COMMON	Purchased	1/07/2011	6/10/2011
25	100 AT&T INC COMMON	Purchased	1/07/2011	6/10/2011
26	100 AT&T INC COMMON	Purchased	1/07/2011	6/10/2011
27	100 AT&T INC COMMON	Purchased	1/07/2011	6/10/2011
28	100 AT&T INC COMMON	Purchased	1/07/2011	6/10/2011
29	100 AT&T INC COMMON	Purchased	1/07/2011	6/10/2011
30	100 AT&T INC COMMON	Purchased	1/07/2011	6/10/2011
31	200 AT&T INC COMMON	Purchased	1/07/2011	6/10/2011
32	200 AT&T INC COMMON	Purchased	1/07/2011	6/10/2011
33	200 AT&T INC COMMON	Purchased	1/07/2011	6/10/2011
34	300 AT&T INC COMMON	Purchased	1/07/2011	6/10/2011
35	300 AT&T INC COMMON	Purchased	1/07/2011	6/10/2011
36	300 AT&T INC COMMON	Purchased	1/07/2011	6/10/2011
37	300 AT&T INC COMMON	Purchased	1/07/2011	6/10/2011
38	400 AT&T INC COMMON	Purchased	1/07/2011	6/10/2011
39	400 AT&T INC COMMON	Purchased	1/07/2011	6/10/2011
40	500 AT&T INC COMMON	Purchased	1/07/2011	6/10/2011
41	600 AT&T INC COMMON	Purchased	1/07/2011	6/10/2011
42	1000 AT&T INC COMMON	Purchased	1/07/2011	6/10/2011
43	1200 AT&T INC COMMON	Purchased	1/07/2011	6/10/2011
44	1800 AT&T INC COMMON	Purchased	1/07/2011	6/10/2011
45	3400 AT&T INC COMMON	Purchased	1/07/2011	6/10/2011
46	1490 AT&T INC COMMON	Purchased	1/07/2011	6/10/2011
47	20310 AT&T INC COMMON	Purchased	1/07/2011	6/10/2011
48	333 AT&T INC COMMON	Purchased	10/04/2011	11/15/2011
49	17 AT&T INC COMMON	Purchased	10/04/2011	11/15/2011
50	483 AT&T INC COMMON	Purchased	10/04/2011	11/15/2011
51	17 AT&T INC COMMON	Purchased	10/04/2011	11/15/2011
52	619 AT&T INC COMMON	Purchased	10/04/2011	11/15/2011
53	18531 AT&T INC COMMON	Purchased	10/04/2011	11/15/2011
54	250000 BANK OF AMERICA CORP 5.25%	Purchased	9/28/2005	6/24/2011
55	250000 BEAR STERNS COS INSCR 6.4%	Purchased	1/10/2008	6/24/2011
56	100 BIOMED RLTY TR INC	Purchased	1/11/2007	1/07/2011
57	1800 BIOMED RLTY TR INC	Purchased	1/11/2007	1/07/2011
58	8100 BIOMED RLTY TR INC	Purchased	1/11/2007	1/07/2011
59	2500 BLACKROCK CORE BD TR	Purchased	6/21/2005	1/07/2011
60	2000 BLACKROCK LTD DURATION INCOME TR	Purchased	Various	6/10/2011
61	300 BLACKROCK LTD DURATION INCOME TR	Purchased	Various	6/10/2011
62	994 BLACKROCK LTD DURATION INCOME TR	Purchased	Various	6/10/2011
63	1325 BLACKROCK LTD DURATION INCOME TR	Purchased	Various	6/10/2011
64	200 BLACKROCK LTD DURATION INCOME TR	Purchased	Various	6/10/2011
65	200 BLACKROCK LTD DURATION INCOME TR	Purchased	Various	6/10/2011
66	100 BLACKROCK LTD DURATION INCOME TR	Purchased	Various	6/10/2011
67	881 BLACKROCK LTD DURATION INCOME TR	Purchased	Various	6/21/2011
68	2100 BLACKROCK LTD DURATION INCOME TR	Purchased	Various	6/21/2011
69	100 BLACKROCK LTD DURATION INCOME TR	Purchased	Various	6/21/2011
70	10300 BLACKROCK LTD DURATION INCOME TR	Purchased	Various	6/24/2011
71	400 BLACKROCK LTD DURATION INCOME TR	Purchased	Various	6/24/2011
72	1100 BLACKROCK LTD DURATION INCOME TR	Purchased	Various	6/24/2011
73	500 CHEVRON CORP	Purchased	3/29/2007	1/07/2011
74	100 CONOCOPHILLIPS	Purchased	8/11/2011	11/15/2011

**Statement 8 (continued)**  
**Form 990-PF, Part IV, Line 1**  
**Capital Gains and Losses for Tax on Investment Income**

Item	(a) Description	(b) How Acquired	(c) Date Acquired	(d) Date Sold
75	100 CONOCOPHILLIPS	Purchased	8/11/2011	11/15/2011
76	100 CONOCOPHILLIPS	Purchased	8/11/2011	11/15/2011
77	200 CONOCOPHILLIPS	Purchased	8/11/2011	11/15/2011
78	2100 CONOCOPHILLIPS	Purchased	8/11/2011	11/15/2011
79	2112 CONOCOPHILLIPS	Purchased	8/11/2011	11/15/2011
80	2334 CONOCOPHILLIPS	Purchased	8/11/2011	11/15/2011
81	2954 CONOCOPHILLIPS	Purchased	8/11/2011	11/15/2011
82	100 WALT DISNEY CO	Purchased	11/21/2006	1/07/2011
83	250 WALT DISNEY CO	Purchased	11/21/2006	1/07/2011
84	400 WALT DISNEY CO	Purchased	11/21/2006	1/07/2011
85	1000 EMC CORP	Purchased	2/01/2007	1/07/2011
86	10000 EATON VANCE TAX MGD GLOBAL	Purchased	2/22/2007	1/07/2011
87	250000 EMBARQ CORP	Purchased	3/13/2007	6/24/2011
88	200 ENI ADR	Purchased	10/04/2011	11/15/2011
89	300 ENI ADR	Purchased	10/04/2011	11/15/2011
90	100 ENI ADR	Purchased	10/04/2011	11/15/2011
91	800 ENI ADR	Purchased	10/04/2011	11/15/2011
92	400 ENI ADR	Purchased	10/04/2011	11/15/2011
93	3000 ENI ADR	Purchased	10/04/2011	11/15/2011
94	7100 ENI ADR	Purchased	10/04/2011	11/15/2011
95	8100 ENI ADR	Purchased	10/04/2011	11/15/2011
96	10000 ENTERTAINMENT PPTYS TR	Purchased	5/04/2007	1/07/2011
97	200 EXXON MOBIL CORP	Purchased	11/21/2007	1/07/2011
98	200 FAMILY DOLLAR STORES INC	Purchased	10/05/2006	1/07/2011
99	RTRN PRIN FEDL HOME LN MTG	Purchased	5/17/2004	2/15/2011
100	RTRN PRIN FEDL HOME LN MTG	Purchased	5/17/2004	3/15/2011
101	RTRN PRIN FEDL HOME LN MTG	Purchased	5/17/2004	4/15/2011
102	RTRN PRIN FEDL HOME LN MTG	Purchased	5/17/2004	5/15/2011
103	RTRN PRIN FEDL HOME LN MTG	Purchased	5/17/2004	6/15/2011
104	RTRN PRIN FEDL HOME LN MTG	Purchased	5/17/2004	7/15/2011
105	150000 FEDL HOME LN MTG	Purchased	5/17/2004	8/08/2011
106	RTRN PRIN FEDL HOME LN MTG	Purchased	5/17/2004	8/15/2011
107	RTRN PRIN FEDL HOME LN MTG CRPSER	Purchased	7/27/2004	2/15/2011
108	RTRN PRIN FEDL HOME LN MTG CRPSER	Purchased	7/27/2004	3/15/2011
109	RTRN PRIN FEDL HOME LN MTG CRPSER	Purchased	7/27/2004	4/15/2011
110	RTRN PRIN FEDL HOME LN MTG CRPSER	Purchased	7/27/2004	5/15/2011
111	RTRN PRIN FEDL HOME LN MTG CRPSER	Purchased	7/27/2004	6/15/2011
112	RTRN PRIN FEDL HOME LN MTG CRPSER	Purchased	7/27/2004	7/15/2011
113	RTRN PRIN FEDL HOME LN MTG CRPSER	Purchased	7/27/2004	8/15/2011
114	EXP RIGHT FEDL HOME LN MTG CRPSER	Purchased	7/27/2004	8/15/2011
115	250 FREEPORT MCMORAN	Purchased	1/25/2008	1/07/2011
116	100000 GENERAL ELECTRIC CAP CORP	Purchased	12/16/2003	7/07/2011
117	500 GENERAL ELECTRIC CO.	Purchased	4/10/2007	1/07/2011
118	100 GLAXOSMITHKLINE ADR	Purchased	8/11/2011	11/15/2011
119	100 GLAXOSMITHKLINE ADR	Purchased	8/11/2011	11/15/2011
120	100 GLAXOSMITHKLINE ADR	Purchased	8/11/2011	11/15/2011
121	100 GLAXOSMITHKLINE ADR	Purchased	8/11/2011	11/15/2011
122	100 GLAXOSMITHKLINE ADR	Purchased	8/11/2011	11/15/2011
123	200 GLAXOSMITHKLINE ADR	Purchased	8/11/2011	11/15/2011
124	600 GLAXOSMITHKLINE ADR	Purchased	8/11/2011	11/15/2011
125	1500 GLAXOSMITHKLINE ADR	Purchased	8/11/2011	11/15/2011
126	1900 GLAXOSMITHKLINE ADR	Purchased	8/11/2011	11/15/2011
127	2600 GLAXOSMITHKLINE ADR	Purchased	8/11/2011	11/15/2011
128	2700 GLAXOSMITHKLINE ADR	Purchased	8/11/2011	11/15/2011
129	3000 GLAXOSMITHKLINE ADR	Purchased	10/04/2011	11/15/2011
130	2000 INTEL CORP	Purchased	1/14/2008	1/07/2011

**Statement 8 (continued)**  
**Form 990-PF, Part IV, Line 1**  
**Capital Gains and Losses for Tax on Investment Income**

Item	(a) Description	(b) How Acquired	(c) Date Acquired	(d) Date Sold
131	500 INTEL CORP	Purchased	8/11/2011	11/15/2011
132	800 INTEL CORP	Purchased	8/11/2011	11/15/2011
133	40 INTEL CORP	Purchased	8/11/2011	11/15/2011
134	1460 INTEL CORP	Purchased	8/11/2011	11/15/2011
135	2200 INTEL CORP	Purchased	8/11/2011	11/15/2011
136	5000 INTEL CORP	Purchased	8/11/2011	11/15/2011
137	10000 INTEL CORP	Purchased	8/12/2011	11/15/2011
138	100 INTEL CORP	Purchased	10/04/2011	11/15/2011
139	200 INTEL CORP	Purchased	10/04/2011	11/15/2011
140	1900 INTEL CORP	Purchased	10/04/2011	11/15/2011
141	2200 INTEL CORP	Purchased	10/04/2011	11/15/2011
142	2600 INTEL CORP	Purchased	10/04/2011	11/15/2011
143	10000 INTEL CORP	Purchased	10/04/2011	11/15/2011
144	13000 INTEL CORP	Purchased	10/04/2011	11/15/2011
145	204000 KINDER NORGAN INC	Purchased	12/20/2006	6/24/2011
146	10000 ELI LILLY CO	Purchased	8/11/2011	11/15/2011
147	3200 ELI LILLY CO	Purchased	8/12/2011	1/15/2011
148	6800 ELI LILLY CO	Purchased	8/12/2011	11/15/2011
149	3400 ELI LILLY CO	Purchased	10/04/2011	11/15/2011
150	6600 ELI LILLY CO	Purchased	10/04/2011	11/15/2011
151	250000 Merrill Lynch & Co Inc	Purchased	10/30/2007	6/29/2011
152	10000 MERRILL LYNCH CAP TRI	Purchased	12/07/2006	1/07/2011
153	1150 MICROSOFT CORP	Purchased	Various	1/07/2011
154	600 MICROSOFT CORP	Purchased	10/04/2011	11/15/2011
155	1200 MICROSOFT CORP	Purchased	10/04/2011	11/15/2011
156	2400 MICROSOFT CORP	Purchased	10/04/2011	11/15/2011
157	1100 MICROSOFT CORP	Purchased	10/04/2011	11/15/2011
158	100 MICROSOFT CORP	Purchased	10/04/2011	11/15/2011
159	500 MICROSOFT CORP	Purchased	10/04/2011	11/15/2011
160	2600 MICROSOFT CORP	Purchased	10/04/2011	11/15/2011
161	11500 MICROSOFT CORP	Purchased	10/04/2011	11/15/2011
162	500000 NEXTEL COMMUNICATIONS INC	Purchased	6/12/2007	10/25/2011
163	300 NORTHROP GRUMMAN	Purchased	7/12/2006	1/07/2011
164	600 OCCIDENTAL PETROLEUM CORP	Purchased	3/07/2007	1/07/2011
165	300 PHILIP MORROS INTL	Purchased	1/31/2008	1/07/2011
166	100 PIMCO CORP INC FD	Purchased	Various	6/21/2011
167	500 PIMCO CORP INC FD	Purchased	Various	6/21/2011
168	980 PIMCO CORP INC FD	Purchased	Various	6/24/2011
169	100 PIMCO CORP INC FD	Purchased	Various	7/01/2011
170	800 PIMCO CORP INC FD	Purchased	Various	7/01/2011
171	7520 PIMCO CORP INC FD	Purchased	Various	7/01/2011
172	1700 PIMCO HIGH INCOME FD	Purchased	Various	6/10/2011
173	200 PIMCO HIGH INCOME FD	Purchased	Various	6/10/2011
174	15100 PIMCO HIGH INCOME FD	Purchased	Various	6/10/2011
175	2200 PIMCO HIGH INCOME FD	Purchased	Various	6/10/2011
176	800 PIMCO HIGH INCOME FD	Purchased	Various	6/10/2011
177	120 PPG INDUSTRIES	Purchased	10/05/2006	1/07/2011
178	FRAC RJ TOWER	Purchased	9/10/2004	1/12/2011
179	100 ROYAL DUTCH SHELL ADR	Purchased	1/07/2011	11/15/2011
180	100 ROYAL DUTCH SHELL ADR	Purchased	1/07/2011	11/15/2011
181	100 ROYAL DUTCH SHELL ADR	Purchased	1/07/2011	11/15/2011
182	100 ROYAL DUTCH SHELL ADR	Purchased	1/07/2011	11/15/2011
183	100 ROYAL DUTCH SHELL ADR	Purchased	1/07/2011	11/15/2011
184	200 ROYAL DUTCH SHELL ADR	Purchased	1/07/2011	11/15/2011
185	500 ROYAL DUTCH SHELL ADR	Purchased	1/07/2011	11/15/2011
186	800 ROYAL DUTCH SHELL ADR	Purchased	1/07/2011	11/15/2011

**Federal Statements**  
**ROTHBERG FAMILY CHARITABLE**  
**FOUNDATION FOR CHILDREN'S DISEASES**

**Statement 8 (continued)**  
**Form 990-PF, Part IV, Line 1**  
**Capital Gains and Losses for Tax on Investment Income**

<u>Item</u>	<u>(a) Description</u>	<u>(b) How Acquired</u>	<u>(c) Date Acquired</u>	<u>(d) Date Sold</u>
187	500 ROYAL DUTCH SHELL ADR	Purchased	1/07/2011	11/15/2011
188	400 ROYAL DUTCH SHELL ADR	Purchased	1/07/2011	11/15/2011
189	100 ROYAL DUTCH SHELL ADR	Purchased	1/07/2011	11/15/2011
190	300 ROYAL DUTCH SHELL ADR	Purchased	1/07/2011	11/15/2011
191	600 ROYAL DUTCH SHELL ADR	Purchased	1/07/2011	11/15/2011
192	700 ROYAL DUTCH SHELL ADR	Purchased	1/07/2011	11/15/2011
193	100 ROYAL DUTCH SHELL ADR	Purchased	1/07/2011	11/15/2011
194	100 ROYAL DUTCH SHELL ADR	Purchased	1/07/2011	11/15/2011
195	200 ROYAL DUTCH SHELL ADR	Purchased	1/07/2011	11/15/2011
196	200 ROYAL DUTCH SHELL ADR	Purchased	1/07/2011	11/15/2011
197	200 ROYAL DUTCH SHELL ADR	Purchased	1/07/2011	11/15/2011
198	200 ROYAL DUTCH SHELL ADR	Purchased	1/07/2011	11/15/2011
199	300 ROYAL DUTCH SHELL ADR	Purchased	1/07/2011	11/15/2011
200	300 ROYAL DUTCH SHELL ADR	Purchased	1/07/2011	11/15/2011
201	300 ROYAL DUTCH SHELL ADR	Purchased	1/07/2011	11/15/2011
202	500 ROYAL DUTCH SHELL ADR	Purchased	1/07/2011	11/15/2011
203	700 ROYAL DUTCH SHELL ADR	Purchased	1/07/2011	11/15/2011
204	600 ROYAL DUTCH SHELL ADR	Purchased	1/07/2011	11/15/2011
205	200 ROYAL DUTCH SHELL ADR	Purchased	1/07/2011	11/15/2011
206	1000 ROYAL DUTCH SHELL ADR	Purchased	1/07/2011	11/15/2011
207	1000 ROYAL DUTCH SHELL ADR	Purchased	1/07/2011	11/15/2011
208	1100 ROYAL DUTCH SHELL ADR	Purchased	1/07/2011	11/15/2011
209	1900 ROYAL DUTCH SHELL ADR	Purchased	1/07/2011	11/15/2011
210	2500 ROYAL DUTCH SHELL ADR	Purchased	1/07/2011	11/15/2011
211	400 ROYAL DUTCH SHELL ADR	Purchased	10/04/2011	11/15/2011
212	500 ROYAL DUTCH SHELL ADR	Purchased	10/04/2011	11/15/2011
213	1000 ROYAL DUTCH SHELL ADR	Purchased	10/04/2011	11/15/2011
214	1000 ROYAL DUTCH SHELL ADR	Purchased	10/04/2011	11/15/2011
215	1000 ROYAL DUTCH SHELL ADR	Purchased	10/04/2011	11/15/2011
216	100 ROYAL DUTCH SHELL ADR	Purchased	10/04/2011	11/15/2011
217	5100 ROYAL DUTCH SHELL ADR	Purchased	10/04/2011	11/15/2011
218	200 ROYAL DUTCH SHELL ADR	Purchased	10/04/2011	11/15/2011
219	200 ROYAL DUTCH SHELL ADR	Purchased	10/04/2011	11/15/2011
220	200 ROYAL DUTCH SHELL ADR	Purchased	10/04/2011	11/15/2011
221	300 ROYAL DUTCH SHELL ADR	Purchased	10/04/2011	11/15/2011
222	200 PRM INTL INC	Purchased	9/19/2006	1/07/2011
223	400 SATURNS TR	Purchased	2/20/2007	1/07/2011
224	500 SATURNS TR	Purchased	2/20/2007	1/07/2011
225	90 SATURNS TR	Purchased	2/20/2007	1/07/2011
226	200 SATURNS TR	Purchased	2/20/2007	1/10/2011
227	200 SATURNS TR	Purchased	2/20/2007	1/10/2011
228	100 SATURNS TR	Purchased	2/20/2007	1/20/2011
229	500 SATURNS TR	Purchased	2/20/2007	1/20/2011
230	1400 SATURNS TR	Purchased	2/20/2007	1/31/2011
231	3310 SATURNS TR	Purchased	2/20/2007	2/01/2011
232	250000 SCHERING PLOUGH CORP SR NT	Purchased	1/29/2008	12/02/2011
233	50 SOUTHERN COPPER CORP	Purchased	1/25/2008	1/07/2011
234	100 SOUTHERN COPPER CORP	Purchased	1/25/2008	1/07/2011
235	100 STATOIL ASA SPON	Purchased	10/04/2011	11/15/2011
236	100 STATOIL ASA SPON	Purchased	10/04/2011	11/15/2011
237	100 STATOIL ASA SPON	Purchased	10/04/2011	11/15/2011
238	142 STATOIL ASA SPON	Purchased	10/04/2011	11/15/2011
239	200 STATOIL ASA SPON	Purchased	10/04/2011	11/15/2011
240	58 STATOIL ASA SPON	Purchased	10/04/2011	11/15/2011
241	100 STATOIL ASA SPON	Purchased	10/04/2011	11/15/2011
242	42 STATOIL ASA SPON	Purchased	10/04/2011	11/15/2011

**Statement 8 (continued)**  
**Form 990-PF, Part IV, Line 1**  
**Capital Gains and Losses for Tax on Investment Income**

Item	(a) Description	(b) How Acquired	(c) Date Acquired	(d) Date Sold
243	58 STATOIL ASA SPON	Purchased	10/04/2011	11/15/2011
244	100 STATOIL ASA SPON	Purchased	10/04/2011	11/15/2011
245	42 STATOIL ASA SPON	Purchased	10/04/2011	11/15/2011
246	58 STATOIL ASA SPON	Purchased	10/04/2011	11/15/2011
247	100 STATOIL ASA SPON	Purchased	10/04/2011	11/15/2011
248	42 STATOIL ASA SPON	Purchased	10/04/2011	11/15/2011
249	158 STATOIL ASA SPON	Purchased	10/04/2011	11/15/2011
250	142 STATOIL ASA SPON	Purchased	10/04/2011	11/15/2011
251	158 STATOIL ASA SPON	Purchased	10/04/2011	11/15/2011
252	142 STATOIL ASA SPON	Purchased	10/04/2011	11/15/2011
253	158 STATOIL ASA SPON	Purchased	10/04/2011	11/15/2011
254	300 STATOIL ASA SPON	Purchased	10/04/2011	11/15/2011
255	142 STATOIL ASA SPON	Purchased	10/04/2011	11/15/2011
256	158 STATOIL ASA SPON	Purchased	10/04/2011	11/15/2011
257	400 STATOIL ASA SPON	Purchased	10/04/2011	11/15/2011
258	142 STATOIL ASA SPON	Purchased	10/04/2011	11/15/2011
259	358 STATOIL ASA SPON	Purchased	10/04/2011	11/15/2011
260	800 STATOIL ASA SPON	Purchased	10/04/2011	11/15/2011
261	1400 STATOIL ASA SPON	Purchased	10/04/2011	11/15/2011
262	1600 STATOIL ASA SPON	Purchased	10/04/2011	11/15/2011
263	1042 STATOIL ASA SPON	Purchased	10/04/2011	11/15/2011
264	5858 STATOIL ASA SPON	Purchased	10/04/2011	11/15/2011
265	942 STATOIL ASA SPON	Purchased	10/04/2011	11/15/2011
266	6958 STATOIL ASA SPON	Purchased	10/04/2011	11/15/2011
267	2800 STATOIL ASA SPON	Purchased	10/04/2011	11/15/2011
268	5300 STATOIL ASA SPON	Purchased	10/04/2011	11/15/2011
269	9800 STATOIL ASA SPON	Purchased	10/04/2011	11/15/2011
270	57 TOTAL ADR EUR10	Purchased	1/07/2011	11/15/2011
271	2143 TOTAL ADR EUR10	Purchased	1/07/2011	11/15/2011
272	2300 TOTAL ADR EUR10	Purchased	1/07/2011	11/15/2011
273	2400 TOTAL ADR EUR10	Purchased	1/07/2011	11/15/2011
274	2100 TOTAL ADR EUR10	Purchased	1/07/2011	11/15/2011
275	100 TOTAL ADR EUR10	Purchased	1/07/2011	11/15/2011
276	128 TOTAL ADR EUR10	Purchased	1/07/2011	11/15/2011
277	300 TOTAL ADR EUR10	Purchased	1/07/2011	11/15/2011
278	348 TOTAL ADR EUR10	Purchased	1/07/2011	11/15/2011
279	52 TOTAL ADR EUR10	Purchased	1/07/2011	11/15/2011
280	700 TOTAL ADR EUR10	Purchased	1/07/2011	11/15/2011
281	700 TOTAL ADR EUR10	Purchased	1/07/2011	11/15/2011
282	2172 TOTAL ADR EUR10	Purchased	1/07/2011	11/15/2011
283	1111 TOTAL ADR EUR10	Purchased	1/07/2011	11/15/2011
284	4389 TOTAL ADR EUR10	Purchased	1/07/2011	11/15/2011
285	600 TOTAL ADR EUR10	Purchased	10/04/2011	11/15/2011
286	2682 TOTAL ADR EUR10	Purchased	10/04/2011	11/15/2011
287	16718 TOTAL ADR EUR10	Purchased	10/04/2011	11/15/2011
288	220000 UNITED REFNG CO	Purchased	1/14/2008	4/08/2011
289	4200 USB CAP XII GTD	Purchased	1/26/2007	1/07/2011
290	100 VERIZON COMMUNICATIONS COM	Purchased	1/07/2011	6/10/2011
291	1500 VERIZON COMMUNICATIONS COM	Purchased	1/07/2011	6/10/2011
292	8400 VERIZON COMMUNICATIONS COM	Purchased	1/07/2011	6/10/2011
293	300 VERIZON COMMUNICATIONS COM	Purchased	1/07/2011	6/10/2011
294	300 VERIZON COMMUNICATIONS COM	Purchased	1/07/2011	6/10/2011
295	1800 VERIZON COMMUNICATIONS COM	Purchased	1/07/2011	6/10/2011
296	17600 VERIZON COMMUNICATIONS COM	Purchased	1/07/2011	6/10/2011
297	2237.06 FHR 2480 PD 6.000 11-15-31	Purchased	7/24/2004	1/15/2011

**Federal Statements**  
**ROTHBERG FAMILY CHARITABLE**  
**FOUNDATION FOR CHILDREN'S DISEASES**

**Statement 8 (continued)**  
**Form 990-PF, Part IV, Line 1**  
**Capital Gains and Losses for Tax on Investment Income**

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
1	7,342.		6,884.	458.				\$ 458.
2	119,244.		136,625.	-17,381.				-17,381.
3	4,364.		5,000.	-636.				-636.
4	28,373.		32,500.	-4,127.				-4,127.
5	2,939.		3,375.	-436.				-436.
6	4,356.		5,000.	-644.				-644.
7	37,000.		42,500.	-5,500.				-5,500.
8	21,820.		25,000.	-3,180.				-3,180.
9	4,608.		4,338.	270.				270.
10	23,079.		21,690.	1,389.				1,389.
11	66,732.		62,729.	4,003.				4,003.
12	366,990.		345,051.	21,939.				21,939.
13	9,228.		8,682.	546.				546.
14	12,319.		11,583.	736.				736.
15	209,149.		196,687.	12,462.				12,462.
16	9,093.		8,670.	423.				423.
17	3,031.		2,888.	143.				143.
18	9,093.		8,664.	429.				429.
19	9,093.		8,676.	417.				417.
20	3,030.		2,892.	138.				138.
21	3,030.		2,888.	142.				142.
22	3,030.		2,888.	142.				142.
23	3,030.		2,888.	142.				142.
24	3,030.		2,890.	140.				140.
25	3,030.		2,890.	140.				140.
26	3,030.		2,890.	140.				140.
27	3,030.		2,890.	140.				140.
28	3,030.		2,890.	140.				140.
29	3,030.		2,890.	140.				140.
30	3,030.		2,890.	140.				140.
31	6,060.		5,779.	281.				281.
32	6,060.		5,780.	280.				280.
33	6,060.		5,780.	280.				280.
34	9,090.		8,664.	426.				426.
35	9,090.		8,670.	420.				420.
36	9,090.		8,670.	420.				420.
37	9,090.		8,670.	420.				420.
38	12,120.		11,551.	569.				569.
39	12,120.		11,552.	568.				568.
40	15,150.		14,440.	710.				710.
41	18,180.		17,338.	842.				842.
42	30,299.		28,880.	1,419.				1,419.
43	36,359.		34,655.	1,704.				1,704.
44	54,539.		52,020.	2,519.				2,519.
45	103,018.		98,192.	4,826.				4,826.
46	45,146.		43,031.	2,115.				2,115.
47	615,584.		586,553.	29,031.				29,031.
48	9,746.		9,247.	499.				499.
49	497.		472.	25.				25.
50	14,133.		13,420.	713.				713.
51	497.		472.	25.				25.
52	18,110.		17,190.	920.				920.
53	542,207.		514,606.	27,601.				27,601.

**Federal Statements**  
**ROTHBERG FAMILY CHARITABLE**  
**FOUNDATION FOR CHILDREN'S DISEASES**

**Statement 8 (continued)**  
**Form 990-PF, Part IV, Line 1**  
**Capital Gains and Losses for Tax on Investment Income**

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
54	263,422.		254,132.	9,290.				\$ 9,290.
55	288,322.		246,253.	42,069.				42,069.
56	2,495.		2,500.	-5.				-5.
57	44,901.		45,000.	-99.				-99.
58	200,869.		202,500.	-1,631.				-1,631.
59	30,892.		35,079.	-4,187.				-4,187.
60	35,619.		37,260.	-1,641.				-1,641.
61	5,352.		5,589.	-237.				-237.
62	17,733.		18,518.	-785.				-785.
63	23,598.		24,685.	-1,087.				-1,087.
64	3,554.		3,726.	-172.				-172.
65	3,564.		3,726.	-162.				-162.
66	1,784.		1,863.	-79.				-79.
67	15,876.		16,413.	-537.				-537.
68	37,841.		39,123.	-1,282.				-1,282.
69	1,804.		1,863.	-59.				-59.
70	186,323.		191,889.	-5,566.				-5,566.
71	7,230.		7,452.	-222.				-222.
72	19,899.		20,493.	-594.				-594.
73	45,521.		35,752.	9,769.				9,769.
74	7,179.		6,430.	749.				749.
75	7,179.		6,430.	749.				749.
76	7,173.		6,430.	743.				743.
77	14,362.		12,861.	1,501.				1,501.
78	150,756.		135,032.	15,724.				15,724.
79	151,620.		135,803.	15,817.				15,817.
80	167,601.		150,078.	17,523.				17,523.
81	212,093.		189,945.	22,148.				22,148.
82	3,939.		3,244.	695.				695.
83	9,867.		8,110.	1,757.				1,757.
84	15,789.		12,976.	2,813.				2,813.
85	23,452.		13,770.	9,682.				9,682.
86	106,490.		183,566.	-77,076.				-77,076.
87	279,750.		258,687.	21,063.				21,063.
88	8,542.		6,968.	1,574.				1,574.
89	12,813.		10,446.	2,367.				2,367.
90	4,271.		3,481.	790.				790.
91	34,191.		27,848.	6,343.				6,343.
92	17,096.		13,924.	3,172.				3,172.
93	128,217.		104,490.	23,727.				23,727.
94	303,377.		247,293.	56,084.				56,084.
95	346,025.		282,123.	63,902.				63,902.
96	239,488.		250,000.	-10,512.				-10,512.
97	15,122.		17,655.	-2,533.				-2,533.
98	8,834.		6,001.	2,833.				2,833.
99	1,086.		1,086.	0.				0.
100	810.		811.	-1.				-1.
101	742.		743.	-1.				-1.
102	810.		810.	0.				0.
103	795.		795.	0.				0.
104	817.		817.	0.				0.
105	31,195.		30,925.	270.				270.
106	826.		826.	0.				0.

**Federal Statements**  
**ROTHBERG FAMILY CHARITABLE**  
**FOUNDATION FOR CHILDREN'S DISEASES**

**Statement 8 (continued)**  
**Form 990-PF, Part IV, Line 1**  
**Capital Gains and Losses for Tax on Investment Income**

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
107	1,356.		1,356.	0.				\$ 0.
108	2,018.		2,018.	0.				0.
109	1,203.		1,203.	0.				0.
110	1,586.		1,586.	0.				0.
111	1,430.		1,430.	0.				0.
112	1,195.		1,195.	0.				0.
113	142.		142.	0.				0.
114	0.		2,712.	-2,712.				-2,712.
115	29,210.		21,849.	7,361.				7,361.
116	96,500.		99,000.	-2,500.				-2,500.
117	9,292.		17,411.	-8,119.				-8,119.
118	4,468.		4,022.	446.				446.
119	4,468.		4,022.	446.				446.
120	4,469.		4,022.	447.				447.
121	4,469.		4,022.	447.				447.
122	4,469.		4,022.	447.				447.
123	8,938.		8,044.	894.				894.
124	26,819.		24,133.	2,686.				2,686.
125	67,045.		60,331.	6,714.				6,714.
126	84,909.		76,420.	8,489.				8,489.
127	116,166.		104,574.	11,592.				11,592.
128	120,607.		108,596.	12,011.				12,011.
129	134,007.		121,478.	12,529.				12,529.
130	41,071.		47,197.	-6,126.				-6,126.
131	12,665.		10,255.	2,410.				2,410.
132	20,264.		16,408.	3,856.				3,856.
133	1,013.		820.	193.				193.
134	36,984.		29,931.	7,053.				7,053.
135	55,719.		45,102.	10,617.				10,617.
136	126,648.		102,505.	24,143.				24,143.
137	253,295.		207,208.	46,087.				46,087.
138	2,533.		2,092.	441.				441.
139	5,066.		4,184.	882.				882.
140	48,126.		39,746.	8,380.				8,380.
141	55,725.		46,022.	9,703.				9,703.
142	65,857.		54,400.	11,457.				11,457.
143	253,295.		209,208.	44,087.				44,087.
144	329,284.		271,960.	57,324.				57,324.
145	213,486.		205,727.	7,759.				7,759.
146	376,293.		344,708.	31,585.				31,585.
147	120,414.		112,259.	8,155.				8,155.
148	255,927.		238,549.	17,378.				17,378.
149	127,964.		122,842.	5,122.				5,122.
150	248,400.		238,452.	9,948.				9,948.
151	256,450.		247,445.	9,005.				9,005.
152	229,088.		250,000.	-20,912.				-20,912.
153	32,709.		39,206.	-6,497.				-6,497.
154	16,074.		14,910.	1,164.				1,164.
155	32,147.		29,820.	2,327.				2,327.
156	64,293.		59,645.	4,648.				4,648.
157	29,468.		27,335.	2,133.				2,133.
158	2,679.		2,485.	194.				194.
159	13,390.		12,425.	965.				965.



**Statement 8 (continued)**  
**Form 990-PF, Part IV, Line 1**  
**Capital Gains and Losses for Tax on Investment Income**

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
160	69,652.		64,610.	5,042.				\$ 5,042.
161	308,076.		285,775.	22,301.				22,301.
162	472,250.		507,886.	-35,636.				-35,636.
163	20,452.		19,411.	1,041.				1,041.
164	57,591.		27,788.	29,803.				29,803.
165	16,915.		15,814.	1,101.				1,101.
166	1,705.		1,505.	200.				200.
167	8,522.		7,525.	997.				997.
168	16,711.		14,749.	1,962.				1,962.
169	1,745.		1,505.	240.				240.
170	13,960.		12,040.	1,920.				1,920.
171	131,146.		113,176.	17,970.				17,970.
172	21,284.		25,109.	-3,825.				-3,825.
173	2,504.		2,954.	-450.				-450.
174	189,048.		223,027.	-33,979.				-33,979.
175	27,543.		32,494.	-4,951.				-4,951.
176	10,008.		11,816.	-1,808.				-1,808.
177	9,994.		8,095.	1,899.				1,899.
178	202.		0.	202.				202.
179	7,027.		6,581.	446.				446.
180	7,027.		6,575.	452.				452.
181	7,028.		6,575.	453.				453.
182	7,031.		6,584.	447.				447.
183	7,031.		6,573.	458.				458.
184	14,058.		13,146.	912.				912.
185	35,144.		32,885.	2,259.				2,259.
186	56,223.		52,616.	3,607.				3,607.
187	35,134.		32,885.	2,249.				2,249.
188	28,107.		26,288.	1,819.				1,819.
189	7,030.		6,572.	458.				458.
190	21,093.		19,716.	1,377.				1,377.
191	42,167.		39,432.	2,735.				2,735.
192	49,188.		46,004.	3,184.				3,184.
193	7,027.		6,572.	455.				455.
194	7,030.		6,572.	458.				458.
195	14,058.		13,144.	914.				914.
196	14,059.		13,144.	915.				915.
197	14,055.		13,144.	911.				911.
198	14,064.		13,144.	920.				920.
199	21,083.		19,716.	1,367.				1,367.
200	21,083.		19,716.	1,367.				1,367.
201	21,086.		19,716.	1,370.				1,370.
202	35,139.		32,860.	2,279.				2,279.
203	49,192.		46,004.	3,188.				3,188.
204	42,185.		39,432.	2,753.				2,753.
205	14,062.		13,156.	906.				906.
206	70,279.		65,780.	4,499.				4,499.
207	70,304.		65,780.	4,524.				4,524.
208	77,329.		72,358.	4,971.				4,971.
209	133,548.		124,982.	8,566.				8,566.
210	175,709.		164,450.	11,259.				11,259.
211	28,113.		23,980.	4,133.				4,133.
212	35,147.		29,975.	5,172.				5,172.

**Statement 8 (continued)**  
**Form 990-PF, Part IV, Line 1**  
**Capital Gains and Losses for Tax on Investment Income**

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i)-(j)	(l) Gain (Loss)
213	70,294.		59,948.	10,346.				\$ 10,346.
214	70,294.		59,960.	10,334.				10,334.
215	70,294.		59,980.	10,314.				10,314.
216	7,027.		5,998.	1,029.				1,029.
217	358,370.		305,847.	52,523.				52,523.
218	14,054.		11,994.	2,060.				2,060.
219	14,058.		11,994.	2,064.				2,064.
220	14,062.		11,994.	2,068.				2,068.
221	21,084.		17,991.	3,093.				3,093.
222	4,524.		3,811.	713.				713.
223	9,287.		10,000.	-713.				-713.
224	11,687.		12,500.	-813.				-813.
225	2,098.		2,250.	-152.				-152.
226	4,638.		5,000.	-362.				-362.
227	4,640.		5,000.	-360.				-360.
228	2,337.		2,500.	-163.				-163.
229	11,719.		12,500.	-781.				-781.
230	33,101.		35,000.	-1,899.				-1,899.
231	78,272.		82,750.	-4,478.				-4,478.
232	303,500.		261,916.	41,584.				41,584.
233	2,348.		1,484.	864.				864.
234	4,689.		2,968.	1,721.				1,721.
235	2,574.		2,117.	457.				457.
236	2,582.		2,124.	458.				458.
237	2,582.		2,126.	456.				456.
238	3,665.		3,018.	647.				647.
239	5,164.		4,251.	913.				913.
240	1,498.		1,233.	265.				265.
241	2,582.		2,125.	457.				457.
242	1,084.		893.	191.				191.
243	1,505.		1,232.	273.				273.
244	2,594.		2,125.	469.				469.
245	1,089.		893.	196.				196.
246	1,505.		1,233.	272.				272.
247	2,594.		2,126.	468.				468.
248	1,089.		886.	203.				203.
249	4,098.		3,332.	766.				766.
250	3,683.		2,995.	688.				688.
251	4,100.		3,332.	768.				768.
252	3,685.		3,017.	668.				668.
253	4,080.		3,357.	723.				723.
254	7,746.		6,375.	1,371.				1,371.
255	3,666.		3,018.	648.				648.
256	4,100.		3,358.	742.				742.
257	10,379.		8,436.	1,943.				1,943.
258	3,685.		3,019.	666.				666.
259	9,244.		7,611.	1,633.				1,633.
260	20,656.		16,992.	3,664.				3,664.
261	36,149.		29,526.	6,623.				6,623.
262	41,313.		34,000.	7,313.				7,313.
263	26,905.		21,975.	4,930.				4,930.
264	151,251.		123,539.	27,712.				27,712.
265	24,322.		19,876.	4,446.				4,446.

	(e) Gross	(f) Deprec.	(g) Cost	(h) Gain	(i) FMV	(j) Adj. Bas.	(k) Excess	(l) Gain
Item	Sales	Allowed	Basis	(Loss)	12/31/69	12/31/69	(i) - (j)	(Loss)
266	180,557.		146,814.	33,743.				\$ 33,743.
267	72,631.		59,080.	13,551.				13,551.
268	137,479.		112,678.	24,801.				24,801.
269	252,933.		208,348.	44,585.				44,585.
270	2,880.		3,048.	-168.				-168.
271	108,284.		114,610.	-6,326.				-6,326.
272	116,232.		123,006.	-6,774.				-6,774.
273	121,318.		128,354.	-7,036.				-7,036.
274	106,168.		112,310.	-6,142.				-6,142.
275	5,056.		5,354.	-298.				-298.
276	6,471.		6,848.	-377.				-377.
277	15,167.		16,040.	-873.				-873.
278	17,594.		18,597.	-1,003.				-1,003.
279	2,626.		2,779.	-153.				-153.
280	35,348.		37,428.	-2,080.				-2,080.
281	35,348.		37,429.	-2,081.				-2,081.
282	109,679.		116,159.	-6,480.				-6,480.
283	56,127.		59,416.	-3,289.				-3,289.
284	221,728.		234,768.	-13,040.				-13,040.
285	30,311.		26,066.	4,245.				4,245.
286	135,492.		116,506.	18,986.				18,986.
287	844,577.		726,397.	118,180.				118,180.
288	220,000.		222,667.	-2,667.				-2,667.
289	104,948.		105,000.	-52.				-52.
290	3,527.		3,583.	-56.				-56.
291	53,024.		53,746.	-722.				-722.
292	296,850.		300,979.	-4,129.				-4,129.
293	10,602.		10,742.	-140.				-140.
294	10,602.		10,733.	-131.				-131.
295	63,611.		64,399.	-788.				-788.
296	621,972.		629,728.	-7,756.				-7,756.
297	2,237.		2,332.	-95.				-95.
							Total	\$ 1130081.

**Application for Extension of Time To File an  
Exempt Organization Return**

OMB No. 1545-1709

Department of the Treasury  
Internal Revenue Service► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*.

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension — check this box and complete Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICS, and trusts must use Form 7004 to request an extension of time to file income tax returns***Enter filer's identifying number, see instructions**

<b>Type or print</b>  File by the due date for filing your return. See instructions	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	ROTHBERG FAMILY CHARITABLE FOUNDATION FOR CHILDREN'S DISEASES	<input checked="" type="checkbox"/> 06-1519674
	Number, street, and room or suite number. If a P.O. box, see instructions	Social security number (SSN)
	C/O M ROTHBERG 7551 ISLA VERDE WAY	<input type="checkbox"/>
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	DELRAY BEACH, FL 33446	

Enter the Return code for the return that this application is for (file a separate application for each return)

**04**

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► MICHAEL ROTHBERG

Telephone No. ► 561-998-4657

FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15, 20 12, to file the exempt organization return for the organization named above. The extension is for the organization's return for
- ☒ calendar year 20 11 or
  - tax year beginning \_\_\_\_\_, 20 \_\_\_\_\_, and ending \_\_\_\_\_, 20 \_\_\_\_\_

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

<b>3a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>3a</b>	\$ 15,000.
<b>b</b> If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	<b>3b</b>	\$ 15,000.
<b>c Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>3c</b>	\$ 0.

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.**BAA For Paperwork Reduction Act Notice, see Instructions.**Form **8868** (Rev. 1-2012)