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Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation
## or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

**Note.** The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

**2011**

**For calendar year 2011 or tax year beginning , and ending**

Name of foundation: Naugatuck Savings Bank Foundation, Inc.

A Employer identification number: 06-1513293

Number and street (or P O box number if mail is not delivered to street address): 251 Church Street | Room/suite

B Telephone number: (203)729-5291

City or town, state, and ZIP code: Naugatuck, CT 06770

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 6,474,411.

J Accounting method [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| Revenue | 1 Contributions, gifts, grants, etc, received | 300,226. | | N/A | |
| | 2 Check ▶ ☐ if the foundation is not required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | 2,305. | 2,305. | | Statement 1 |
| | 4 Dividends and interest from securities | 149,879. | 149,879. | | Statement 2 |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | | | | |
| | b Gross sales price for all assets on line 6a | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 0. | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) | | | | |
| | 11 Other income | | | | |
| | 12 **Total.** Add lines 1 through 11 | 452,410. | 152,184. | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc | 0. | 0. | | 0. |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees | | | | |
| | b Accounting fees | | | | |
| | c Other professional fees Stmt 3 | 19,362. | 0. | | 19,362. |
| | 17 Interest | | | | |
| | 18 Taxes | | | | |
| | 19 Depreciation and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | 91. | 0. | | 91. |
| | 23 Other expenses Stmt 4 | 8,310. | 0. | | 8,310. |
| | 24 **Total operating and administrative expenses.** Add lines 13 through 23 | 27,763. | 0. | | 27,763. |
| | 25 Contributions, gifts, grants paid | 436,579. | | | 436,579. |
| | 26 **Total expenses and disbursements.** Add lines 24 and 25 | 464,342. | 0. | | 464,342. |
| | 27 Subtract line 26 from line 12 | | | | |
| | a Excess of revenue over expenses and disbursements | -11,932. | | | |
| | b **Net investment income** (if negative, enter -0-) | | 152,184. | | |
| | c **Adjusted net income** (if negative, enter -0-) | | | N/A | |

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**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only

| | | Beginning of year | End of year | |
|---|---|---|---|---|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 Cash - non-interest-bearing | | | |
| | 2 Savings and temporary cash investments | 780,694. | 768,762. | 768,762. |
| | 3 Accounts receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 4 Pledges receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 Other notes and loans receivable ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments - U S and state government obligations | | | |
| | b Investments - corporate stock Stmt 5 | 3,263,861. | 3,263,861. | 5,705,649. |
| | c Investments - corporate bonds | | | |
| | 11 Investments - land, buildings, and equipment: basis ▶ | | | |
| | Less: accumulated depreciation ▶ | | | |
| | 12 Investments - mortgage loans | | | |
| | 13 Investments - other | | | |
| | 14 Land, buildings, and equipment: basis ▶ | | | |
| | Less accumulated depreciation ▶ | | | |
| | 15 Other assets (describe ▶ ) | | | |
| | 16 **Total assets** (to be completed by all filers) | 4,044,555. | 4,032,623. | 6,474,411. |
| Liabilities | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable | | | |
| | 22 Other liabilities (describe ▶ ) | | | |
| | 23 **Total liabilities** (add lines 17 through 22) | 0. | 0. | |
| Net Assets or Fund Balances | **Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 Unrestricted | 4,044,555. | 4,032,623. | |
| | 25 Temporarily restricted | 0. | | |
| | 26 Permanently restricted | | | |
| | **Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31.** | | | |
| | 27 Capital stock, trust principal, or current funds | | | |
| | 28 Paid-in or capital surplus, or land, bldg., and equipment fund | | | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | | | |
| | 30 **Total net assets or fund balances** | 4,044,555. | 4,032,623. | |
| | 31 **Total liabilities and net assets/fund balances** | 4,044,555. | 4,032,623. | |

**Part III Analysis of Changes in Net Assets or Fund Balances**

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 4,044,555. |
| 2 | Enter amount from Part I, line 27a | 2 | -11,932. |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | 0. |
| 4 | Add lines 1, 2, and 3 | 4 | 4,032,623. |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | 0. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 4,032,623. |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co ) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr ) | (d) Date sold (mo., day, yr ) |
|---|---|---|---|---|
| 1a | | | | |
| b | NONE | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col (h)) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter -0- in Part I, line 8 } 3

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col (c)) |
|---|---|---|---|
| 2010 | 447,581. | 6,183,808. | .072380 |
| 2009 | 447,937. | 5,684,668. | .078797 |
| 2008 | 485,519. | 7,289,106. | .066609 |
| 2007 | 473,254. | 8,109,683. | .058357 |
| 2006 | 410,466. | 6,400,792. | .064127 |

| | | |
|---|---|---|
| 2 Total of line 1, column (d) | 2 | .340270 |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .068054 |
| 4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5 | 4 | 6,490,766. |
| 5 Multiply line 4 by line 3 | 5 | 441,723. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 1,522. |
| 7 Add lines 5 and 6 | 7 | 443,245. |
| 8 Enter qualifying distributions from Part XII, line 4 | 8 | 464,342. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate
See the Part VI instructions

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | | |
|---|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1. Date of ruling or determination letter. _____ (attach copy of letter if necessary-see instructions) | | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b | | 1 | 1,522. |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | 2 | 0. |
| 3 | Add lines 1 and 2 | | 3 | 1,522. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 4 | 0. |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- | | 5 | 1,522. |
| 6 | Credits/Payments | | | |
| a | 2011 estimated tax payments and 2010 overpayment credited to 2011 | 6a 5,127. | | |
| b | Exempt foreign organizations - tax withheld at source | 6b | | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | | |
| d | Backup withholding erroneously withheld | 6d | | |
| 7 | Total credits and payments Add lines 6a through 6d | | 7 | 5,127. |
| 8 | Enter any **penalty** for underpayment of estimated tax Check here [ ] if Form 2220 is attached | | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | | 9 | |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | | 10 | 3,605. |
| 11 | Enter the amount of line 10 to be **Credited to 2012 estimated tax** ▶ 0. **Refunded** ▶ | | 11 | 3,605. |

## Part VII-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? | 1b | | X |
| | *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers. ▶ $ 0. | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0. | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.* | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.* | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either. • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.* | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CT | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | 10 | | X |

**Part VII-A Statements Regarding Activities** *(continued)*

| | | | |
|---|---|---|---|
| 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ naugatucksavingsbank.com/about-us/our-foundation

14 The books are in care of ▶ Naugatuck Savings Bank Telephone no. ▶ (203)729-5291

Located at ▶ 251 Church St, Naugatuck, CT ZIP+4 ▶ 06770

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

| | | Yes | No |
|---|---|---|---|
| 16 At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶ | 16 | | X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | Yes | No |
|---|---|---|---|
| 1a During the year did the foundation (either directly or indirectly): | | | |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No | | | |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No | | | |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No | | | |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No | | | |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No | | | |
| (6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) ☐ Yes ☒ No | | | |
| b If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A | 1b | | |
| Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011? | 1c | | X |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | |
| a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No | | | |
| If "Yes," list the years ▶ _____, _____, _____, _____ | | | |
| b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions ) N/A | 2b | | |
| c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ _____, _____, _____, _____ | | | |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No | | | |
| b If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)* N/A | 3b | | |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011? | 4b | | X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

*If "Yes," attach the statement required by Regulations section 53.4945-5(d).*

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X

*If "Yes" to 6b, file Form 8870.*

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| See Statement 6 | | 0. | 0. | 0. |
| | | | | |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ 0

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services ▶ | | 0 |

**Part IX-A** **Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B** **Summary of Program-Related Investments**

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 N/A | |
| 2 | |
| All other program-related investments See instructions<br>3 | |
| **Total.** Add lines 1 through 3 ▶ | 0. |



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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | | |
|---|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes | | | |
| a | Average monthly fair market value of securities | | 1a | 5,860,391. |
| b | Average of monthly cash balances | | 1b | 729,219. |
| c | Fair market value of all other assets | | 1c | |
| d | **Total** (add lines 1a, b, and c) | | 1d | 6,589,610. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e 0. | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | | 2 | 0. |
| 3 | Subtract line 2 from line 1d | | 3 | 6,589,610. |
| 4 | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions) | | 4 | 98,844. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | | 5 | 6,490,766. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | | 6 | 324,538. |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part )

| | | | | |
|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | 1 | 324,538. |
| 2a | Tax on investment income for 2011 from Part VI, line 5 | 2a 1,522. | | |
| b | Income tax for 2011 (This does not include the tax from Part VI ) | 2b | | |
| c | Add lines 2a and 2b | | 2c | 1,522. |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | | 3 | 323,016. |
| 4 | Recoveries of amounts treated as qualifying distributions | | 4 | 0. |
| 5 | Add lines 3 and 4 | | 5 | 323,016. |
| 6 | Deduction from distributable amount (see instructions) | | 6 | 0. |
| 7 | **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | | 7 | 323,016. |

**Part XII Qualifying Distributions** (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes | | |
| a | Expenses, contributions, gifts, etc - total from Part I, column (d), line 26 | 1a | 464,342. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 464,342. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b | 5 | 1,522. |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 462,820. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

## Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2010 | (c) 2010 | (d) 2011 |
|---|---|---|---|---|
| 1 Distributable amount for 2011 from Part XI, line 7 | | | | 323,016. |
| 2 Undistributed income, if any, as of the end of 2011 | | | | |
| a Enter amount for 2010 only | | | 0. | |
| b Total for prior years: ____, ____, ____ | | 0. | | |
| 3 Excess distributions carryover, if any, to 2011: | | | | |
| a From 2006 100,950. | | | | |
| b From 2007 88,648. | | | | |
| c From 2008 131,528. | | | | |
| d From 2009 167,340. | | | | |
| e From 2010 141,299. | | | | |
| f Total of lines 3a through e | 629,765. | | | |
| 4 Qualifying distributions for 2011 from Part XII, line 4 ▶ $ 464,342. | | | | |
| a Applied to 2010, but not more than line 2a | | | 0. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2011 distributable amount | | | | 323,016. |
| e Remaining amount distributed out of corpus | 141,326. | | | |
| 5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a) ) | 0. | | | 0. |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 771,091. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instr | | | 0. | |
| f Undistributed income for 2011 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012 | | | | 0. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) | 0. | | | |
| 8 Excess distributions carryover from 2006 not applied on line 5 or line 7 | 100,950. | | | |
| 9 **Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a | 670,141. | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2007 88,648. | | | | |
| b Excess from 2008 131,528. | | | | |
| c Excess from 2009 167,340. | | | | |
| d Excess from 2010 141,299. | | | | |
| e Excess from 2011 141,326. | | | | |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2011 | (b) 2010 | (c) 2009 | (d) 2008 | (e) Total |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2) )

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed.

June M. Jarvis
251 Church St, PO Box 370, Nagatuck, CT 06770

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| SEE<br>Detail<br>Schedule attached | | various | detail attached | 436,579. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| **Total** . . . . ▶ **3a** | | | | 436,579. |
| **b** *Approved for future payment* | | | | |
| None | | | | |
| | | | | |
| | | | | |
| **Total** . . . . . ▶ **3b** | | | | 0. |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated | Unrelated business income (a) Business code | (b) Amount | Excluded by section 512, 513, or 514 (c) Exclusion code | (d) Amount | (e) Related or exempt function income |
|---|---|---|---|---|---|
| 1 Program service revenue. | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 2,305. | |
| 4 Dividends and interest from securities | | | 14 | 149,879. | |
| 5 Net rental income or (loss) from real estate. | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | | | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e) | | 0. | | 152,184. | 0. |
| 13 Total. Add line 12, columns (b), (d), and (e) | | | | 13 | 152,184. |

(See worksheet in line 13 instructions to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) |
|---|---|

**Part XVII** **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | *Transfers from the reporting foundation to a noncharitable exempt organization of* | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | N/A | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| N/A | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| Barbara J. Snyder CPA | B Snyder, CPA | 5/10/2012 | | P00621730 |

Firm's name ▶ Whittlesey & Hadley, P.C. | Firm's EIN ▶ 06-0903326

Firm's address ▶ 147 Charter Oak Ave. Hartford, CT 06106-5100 | Phone no 860-522-3111



123622
12-02-11

**Schedule B**
**(Form 990, 990-EZ, or 990-PF)**
Department of the Treasury
Internal Revenue Service

# Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**

OMB No 1545-0047

**2011**

| **Name of the organization** | **Employer identification number** |
|---|---|
| Naugatuck Savings Bank Foundation, Inc. | 06-1513293 |

**Organization type** (check one):

| **Filers of:** | **Section:** |
|---|---|
| Form 990 or 990-EZ | ☐ 501(c)( ) (enter number) organization |
| | ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation |
| | ☐ 527 political organization |
| Form 990-PF | ☒ 501(c)(3) exempt private foundation |
| | ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation |
| | ☐ 501(c)(3) taxable private foundation |

Check if your organization is covered by the **General Rule** or a **Special Rule.**
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively *religious, charitable, etc., contributions of $5,000 or more during the year.* ▶ $ _____

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA **For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.**

| Name of organization | Employer identification number |
|---|---|
| Naugatuck Savings Bank Foundation, Inc. | 06-1513293 |

**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
|---|---|---|---|
| 1 | Naugatuck Savings Bank<br>251 Church St<br>Naugatuck, CT 06770 | $ 300,226. | Person [X]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II if there is a noncash contribution.) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II if there is a noncash contribution.) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II if there is a noncash contribution.) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II if there is a noncash contribution.) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II if there is a noncash contribution.) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II if there is a noncash contribution.) |

| Name of organization | Employer identification number |
|---|---|
| Naugatuck Savings Bank Foundation, Inc. | 06-1513293 |

**Part II** **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

Name of organization: Naugatuck Savings Bank Foundation, Inc.

Employer identification number: 06-1513293

**Part III** *Exclusively* religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once) ▶ $

Use duplicate copies of Part III if additional space is needed.

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

| Source | Amount |
|---|---|
| Interest | 2,305. |
| Total to Form 990-PF, Part I, line 3, Column A | 2,305. |

Form 990-PF Dividends and Interest from Securities Statement 2

| Source | Gross Amount | Capital Gains Dividends | Column (A) Amount |
|---|---|---|---|
| Dividend | 149,879. | 0. | 149,879. |
| Total to Fm 990-PF, Part I, ln 4 | 149,879. | 0. | 149,879. |

Form 990-PF Other Professional Fees Statement 3

| Description | (a) Expenses Per Books | (b) Net Investment Income | (c) Adjusted Net Income | (d) Charitable Purposes |
|---|---|---|---|---|
| Administrative Fees | 19,362. | 0. | | 19,362. |
| To Form 990-PF, Pg 1, ln 16c | 19,362. | 0. | | 19,362. |

Form 990-PF Other Expenses Statement 4

| Description | (a) Expenses Per Books | (b) Net Investment Income | (c) Adjusted Net Income | (d) Charitable Purposes |
|---|---|---|---|---|
| Community Award Program | 8,310. | 0. | | 8,310. |
| To Form 990-PF, Pg 1, ln 23 | 8,310. | 0. | | 8,310. |

Form 990-PF Corporate Stock Statement 5

| Description | Book Value | Fair Market Value |
|---|---|---|
| Corporate Stock | 3,263,861. | 5,705,649. |
| Total to Form 990-PF, Part II, line 10b | 3,263,861. | 5,705,649. |

Form 990-PF Part VIII - List of Officers, Directors Trustees and Foundation Managers Statement 6

| Name and Address | Title and Avrg Hrs/Wk | Compen-sation | Employee Ben Plan Contrib | Expense Account |
|---|---|---|---|---|
| Mark C Yanarella<br>251 Church ST<br>Naugatuck, CT 06770 | President/Director<br>0.00 | 0. | 0. | 0. |
| David J Rotatori<br>251 Church ST<br>Naugatuck, CT 06770 | Secratary<br>0.00 | 0. | 0. | 0. |
| Charles J Boulier, III<br>251 Church ST<br>Naugatuck, CT 06770 | Treasurer/Director<br>0.00 | 0. | 0. | 0. |
| Stephen Widman<br>251 Church ST<br>Naugatuck, CT 06770 | Director<br>0.00 | 0. | 0. | 0. |
| Richard H Gesseck<br>251 Church ST<br>Naugatuck, CT 06770 | Director<br>0.00 | 0. | 0. | 0. |
| Lucille Janatka<br>251 Church ST<br>Naugatuck, CT 06770 | Director<br>0.00 | 0. | 0. | 0. |
| Francis R Powell<br>251 Church ST<br>Naugatuck, CT 06770 | Director<br>0.00 | 0. | 0. | 0. |
| John H Tobin<br>251 Church ST<br>Naugatuck, CT 06770 | Director<br>0.00 | 0. | 0. | 0. |

| | | | | |
|---|---|---|---|---|
| David Nurnberger<br>251 Church ST<br>Naugatuck, CT 06770 | Director<br>0.00 | 0. | 0. | 0. |
| Ann Merriam Feinberg<br>251 Church ST<br>Naugatuck, CT 06770 | Director<br>0.00 | 0. | 0. | 0. |
| Totals included on 990-PF, Page 6, Part VIII | | 0. | 0. | 0. |

# Portfolio Value - As of 12/31/2011

As of 12/31/2011

| Security | Shares | Quote/Price | est | Cost Basis | Gain/Loss | Balance |
|---|---|---|---|---|---|---|
| AT & T Inc. | 16,489.000 | 30.240 | | 190,867.90 | 307,759.46 | 498,627.36 |
| Bank of America Corp | 7,719.000 | 5.560 | | 66,924.27 | -24,006.63 | 42,917.64 |
| Bank of New York Mellon Corp | 7,000.000 | 19.910 | | 8,111.31 | 131,258.69 | 139,370.00 |
| E Trade Group | 800.000 | 7.960 | | 53,310.00 | -46,942.00 | 6,368.00 |
| EMC Corp Mass | 3,000.000 | 21.540 | | 17,550.00 | 47,070.00 | 64,620.00 |
| Frontier Communications | 2,131.000 | 5.150 | | 12,384.15 | -1,409.50 | 10,974.65 |
| General Electric Co | 6,000.000 | 17.910 | | 178,786.00 | -71,326.00 | 107,460.00 |
| Intel Corp | 4,000.000 | 24.250 | | 108,150.85 | -11,150.85 | 97,000.00 |
| ISHARES MSCI EAFE INDEX | 1,100.000 | 49.530 | | 49,718.80 | 4,764.20 | 54,483.00 |
| Ishares Trust Russell | 1,000.000 | 84.230 | | 63,970.00 | 20,260.00 | 84,230.00 |
| Johnson & Johnson | 2,000.000 | 65.580 | | 107,350.00 | 23,810.00 | 131,160.00 |
| JPMorgan Chase & Co | 5,500.000 | 33.250 | | 2,260.65 | 180,614.35 | 182,875.00 |
| Medtronic Inc | 5,000.000 | 38.250 | | 80,437.27 | 110,812.73 | 191,250.00 |
| Microsoft Corp | 4,000.000 | 25.960 | | 100,120.00 | 3,720.00 | 103,840.00 |
| Pepsico, Inc. | 5,500.000 | 66.350 | | 10,216.25 | 354,708.75 | 364,925.00 |
| Pfizer Inc | 2,500.000 | 21.640 | | 86,990.00 | -32,890.00 | 54,100.00 |
| POWERSHARES | 10,000.000 | 55.830 | | 353,900.00 | 204,400.00 | 558,300.00 |
| Proctor & Gamble | 9,900.000 | 66.710 | | 179,625.79 | 480,803.21 | 660,429.00 |
| Sara Lee Corp | 9,000.000 | 18.920 | | 34,511.06 | 135,768.94 | 170,280.00 |
| Standard & Poors | 9,400.000 | 125.500 | | 936,058.00 | 243,642.00 | 1,179,700.00 |
| SunTrust Banks Inc | 4,000.000 | 17.700 | | 52,700.00 | 18,100.00 | 70,800.00 |
| Texas Instruments | 4,000.000 | 29.110 | | 106,555.00 | 9,885.00 | 116,440.00 |
| Vanguar Index Funds | 2,100.000 | 71.940 | | 95,952.40 | 55,121.60 | 151,074.00 |
| VANGUARD INDEX FUNDS | 2,200.000 | 69.670 | | 98,259.60 | 55,014.40 | 153,274.00 |
| Vanguard Intl Equity | 1,600.000 | 38.210 | | 49,888.80 | 11,247.20 | 61,136.00 |
| Verizon Communications | 8,880.000 | 40.120 | | 187,418.06 | 168,847.54 | 356,265.60 |
| Walt Disney | 2,500.000 | 37.500 | | 31,845.00 | 61,905.00 | 93,750.00 |
| * -Cash- | | | | 388,366.37 | 0.00 | 388,366.37 |
| **TOTAL Investments** | | | | **3,652,227.53** | **2,441,788.09** | **6,094,015.62** |
| * less: cash | | | | (388,366.37) | | (388,366.37) |
| | | | | 3,263,861.16 | | 5,705,649.25 |

203.729.4442 | 1.877.729.4442

## Our Foundation

### The Naugatuck Savings Bank Foundation

Established in 1998, the Naugatuck Savings Bank Foundation has made a difference in the communities it serves through its support of a variety of causes The Foundation began with a $2 million contribution by Naugatuck Savings Bank and has since grown, through earnings and additional contributions, to over $6 0 million in assets

Naugatuck Savings Bank and the Naugatuck Savings Bank Foundation proudly support our area hospitals - Waterbury Hospital, St Mary's Hospital, Griffin Hospital and MidState Medical Center

We also contribute to many community driven efforts specifically in economic development, human services, education and the arts

Over the last decade, cumulative grants for purposes ranging from improving social services to enhancing the arts have exceeded $3 9 million It is the goal of the Foundation to give approximately 5% of the Foundation's monies each year to non-profit organizations involved in enriching the lives of people who live in the local communities

Where there is a need, the Foundation will try to fill it Through our grant application program, we feel we are able to identify the charities and non-profit organizations that can do the most good The vision for the Foundation is to build a legacy of good works and to preserve funds to continue those good works in perpetuity

If you are interested in reviewing the Naugatuck Savings Bank Foundation guidelines or submitting an application for a grant and proposed project budget, please click on the links below

Foundation Guidelines (PDF format)

Grant Application and Budget Proposal (MS Word format)

**Applications may be mailed to:**
Naugatuck Savings Bank Foundation
Attention June M Jarvis
251 Church Street
Naugatuck, CT 06770

### RELATED LINKS

Personal Banking »

Business Banking »

Lending »

Insurance & Wealth Management »

NAUGATUCK SAVINGS BANK
FOUNDATION
*Making a Difference*

# 2012 Grant Guidelines

251 Church Street
PO Box 370
Naugatuck, CT 06770
203.729.5291 • fax 203.720.5329

## OUR MISSION

The Naugatuck Savings Bank Foundation's mission is to support programs and organizations that will contribute to the betterment of the communities it serves. The Foundation will consider a broad range of requests to create opportunities for residents with emphasis on promoting education and the encouragement of homeownership

## ADMINISTRATION

The Naugatuck Savings Bank Foundation grantmaking is administered by the Connecticut Community Foundation.

## ELIGIBLE APPLICANTS

1. The applicant is either a public institution or a private tax-exempt organization under Sections 501(c) (3), 501(c) (4) or 501(c) (6) of the Internal Revenue Code.

2. Political, lobbying or religious activities are ineligible. Religiously affiliated organizations may propose nonsectarian projects

3. The applying organization must have an established board, representative of the community, of which a majority is neither employees nor relatives of employees.

## TYPES OF GRANTS

The Naugatuck Savings Bank Foundation awards both **designated and competitive grants**. Agencies receiving designated grants will be notified by the Foundation about the schedule and amount of their annual funding.

Through its competitive program, the Foundation makes program and capital grants. Specifically, it seeks projects that will improve the quality of life for residents, increase the sense of community and provide lasting benefits, particularly for youth and those in need.

The Foundation will consider requests as follows

- For new, enhanced or expanded projects based on a documented need, having a strong project plan, specific outcomes and a feasible budget. Priority is given to requests having the highest correlation to the Foundation's goals of promoting education and encouraging and supporting homeownership.

- For ongoing projects or programs that have proven specific benefits to the community.

- Located in or serving residents of Ansonia, Cheshire, Hamden, Meriden, Middlebury, Naugatuck, Oxford, Prospect, Southbury, Waterbury, Watertown or Woodbury.

- Give priority to providing programs or services over capital or equipment. Grants for previously incurred expenses are specifically prohibited.

- Although multi year requests will be considered, the agency must make a strong case for the need for multi year funding in its initial request.

- The Foundation gives lower priority to requests for publicly owned capital or equipment Preference is afforded to those requests with broad, visible community involvement in the design and fundraising for the project.

- Requests from schools or for programs offered in schools by nonprofit organizations must include the approval of the superintendent as indicated by signing the grant application form

- Organizations may submit only one grant request per round.

## Grantmaking Process for New Requests

The first step in the grant process is to review the grant guidelines to determine your organization's eligibility and the appropriateness of the proposed project Grant guidelines and the grant application packet are available on the Bank's website, www.naugatucksavingsbank.com. You can also contact the Naugatuck Savings Bank Foundation or the Connecticut Community Foundation to discuss the appropriateness of the grant request based on the guidelines The Foundation's willingness to consider a grant request should in no way be construed as preliminary approval of the grant request. The request will then be reviewed at the next NSBF meeting Grant applicants are notified by letter immediately following the Board meeting.

The Naugatuck Savings Bank Foundation reviews grant requests three times per year. Deadlines for 2012 are as follows·

- **February 24, 2012**
- **May 25, 2012**
- **October 26, 2012**

## Grant submission

Two copies of the application and budget (including the CRA form) and one copy of the agency's nonprofit determination letter and operating budget should be submitted to: **June M. Jarvis, Naugatuck Savings Bank Foundation, 251 Church Street, PO Box 370, Naugatuck, CT 06770**

## Contact information:

For further information about the Foundation or to discuss your proposal, please contact June M. Jarvis at 203. 729.5291, june.jarvis@nutmegfinancial.com or Josh Carey at josh@conncf org, 203.753 1315. For your own protection, please do not email to Naugatuck Savings Bank Foundation any confidential information such as account numbers or Social Security numbers If your communication contains confidential information, we suggest you call us at 203 729 4442, toll free 877.729 4442 or mail to Naugatuck Savings Bank Foundation, 251 Church Street, Naugatuck, CT 06770. Thank you

NAUGATUCK SAVINGS BANK
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# 2012 GRANT APPLICATION

| Legal Name of Organization | Date of Incorporation |
|---|---|
| | |
| Address of Organization | Telephone / Fax Numbers |
| | |
| Federal Tax ID Number | Agency Website |
| | |
| Chief Executive Officer (CEO) / Executive Director Name | Title |
| | |
| Contact for application (if different from CEO/Executive Director) | Contact Title |
| | |
| Contact E-mail address | Contact Telephone / Fax Numbers |
| | |
| # of Staff (full time/part time) _____ FT _____ PT | Frequency of Board Meetings: |
| # of Board Members | Average % attendance: |
| Agency Budget for Current Fiscal Year: $ | Agency Budget for Last Fiscal Year: $ |
| Project Name or Use of Funds: | Grant period: From: To: |
| Total Project Cost: $ | Amount requested from NSBF: $ |

Is applicant a public institution or private tax-exempt organization under Sections 501(c) (3), 501(c) (4) or 501(c) (6) of the Internal Revenue Code? Yes □ No □ (Please provide copy of verification of status)

Organizational Revenue Sources:

___% Public
___% Grants (Foundation)
___% Fees
___% Individual Gifts
___% United Way
___% Investment Income
___% Membership
___% Special Events

Signature of Board Chair/Date — Print Name and Title

Signature of Executive Director (or equivalent)/Date — Print Name and Title

Please send one original and one copy to:
June M. Jarvis
Naugatuck Savings Bank Foundation
251 Church Street, PO Box 370
Naugatuck, CT 06770

NAUGATUCK SAVINGS BANK
FOUNDATION
Making a Difference

Proposal Narrative: **Please use 12 point Garamond font and restate each question in its entirety.**

1) **SUMMARY:** In one paragraph, describe the purpose of this request. What is the target population, location, and timeframe? Is it a new, existing, or improved/expanded program? What are the specific, quantifiable results that you plan to achieve?
2) **ORGANIZATION:** In one paragraph, describe your organization, identifying its mission, programs/services, geographic focus and client base.
3) **STATEMENT OF NEED:** What is the specific community need(s) that your proposed program will address? Include data substantiating the existence/scope of this need, citing specific source materials. How does this project relate to your agency's strategic plan and/or program priorities?
4) **WORKPLAN:**

   a) **Workplan Narrative**

Describe your plans for implementing the program. For instance: **WHO** will be carrying out the activities? Provide information on their skills & experience. **WHEN** will they occur? **WHERE** will programs take place? **HOW** will clients/participants be notified, if recruitment is necessary? **HOW MANY** area residents will benefit (providing information about their age, race, special needs, towns of residence, etc)?

   b) **Workplan Timeline**

Complete the following table:

| Activities | Timeline | Results |
|---|---|---|
| | | |

- **Activities** - List the principal steps that you will take to complete the program (i.e. hire staff, conduct publicity campaign, recruit participants, hold workshops, etc.)
- **Timeline** - Assign benchmark dates (months) when principal activities will be completed.
- **Results** - List the projected results of your program. These can include changes in skill levels, knowledge, attitude, behavior, life condition, status, or numbers served that result from your program. They should be: **quantifiable and your method for collecting the information noted** (e.g., surveys, testing, etc.)

5) **SUSTAINABILITY:** What steps has your agency taken, if applicable, to plan for the continuation of this program after the initial grant period?

**If applying for a Capital Campaign, please answer the following additional questions (if not, delete this section):**

1. Include a copy of your campaign feasibility study (*required*). What impact do you think a capital campaign will have on annual giving? How have you developed your project so that your board will participate fully in the campaign? Summarize your organization's past experience with both capital and annual campaigns.
2. Provide the status of your capital campaign, listing amounts of requested versus secured contributions.
3. If your campaign involves a consultant, provide qualifications, work plan and cost estimate. Why this firm/person?

# Proposed Project Budget & Explanation

ELIGIBLE EXPENSES: The Foundation will fund *primarily* direct costs incurred in starting or improving a program. However, indirect/overhead expenses are permitted as a line item – overhead above 10% of request must be documented in the Budget Narrative.

Organization Name: Project Name:

## I. PROPOSED PROJECT BUDGET

Program Year: (Month/Year - Month/Year):

| LINE ITEM EXPENSE DESCRIPTION (PLEASE ADD ADDITIONAL LINES IF NEEDED) | SUPPORT FROM YOUR AGENCY* | SUPPORT FROM OTHER FUNDERS** | NAUGATUCK SAVINGS BANK FOUNDATION | PROJECT TOTAL |
|---|---|---|---|---|
| PERSONNEL (LAST NAME, POSITION, % TIME ON PROJECT): | | | | |
| 1) | $ | $ | $ | $ |
| 2) | $ | $ | $ | $ |
| 3) | $ | $ | $ | $ |
| TOTAL FRINGE BENEFITS (@ __%) | $ | $ | $ | $ |
| *SUB-TOTAL PERSONNEL* | $ | $ | $ | $ |
| | | | | |
| OTHER PROGRAM EXPENSES[1] | | | | |
| 1) | $ | $ | $ | $ |
| 2) | $ | $ | $ | $ |
| 3) | $ | $ | $ | $ |
| 4) | $ | $ | $ | $ |
| 5) | $ | $ | $ | $ |
| *Sub-Total Other Expenses* | $ | $ | $ | $ |
| | | | | |
| INDIRECT/OVERHEAD (BELOW 10%) | $ | $ | $ | $ |
| TOTAL EXPENSES | $ | $ | $ | $ |

** Revenues generated by program and agency in-kind contributions (please asterisk in-kind contributions)*
*** Total revenues requested from other sources (break out other funders in table below)*

| ** SUPPORT FROM OTHER FUNDERS (SHOULD REFLECT TOTAL OF OTHER FUNDERS COLUMN ABOVE) | | |
|---|---|---|
| FUNDER NAME | REQUEST AMOUNT | STATUS (COMMITTED / PENDING / PROJECTED). PLEASE NOTE DECISION DATE, IF KNOWN |
| 1) | $ | |
| 2) | $ | |
| 3) | $ | |
| TOTAL REVENUES (FROM OTHER FUNDERS) | $ | |

## II. Budget Narrative / Explanation

*Please use an additional page for budget narrative /explanation,* accounting for each line item request in detail (e.g., Printing: 10,000 copies @ $.03/copy, Total = $300). If you are requesting support for significant *equipment/capital expenditure*, include three quotes.

1

NAUGATUCK SAVINGS BANK
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# CRA FORM

One of the priorities of The Naugatuck Savings Bank Foundation is to provide opportunities for individuals and families who are of low or moderate income. The Foundation seeks your assistance in documenting the use of its funds for these purposes. **The following information must be provided with your grant application.**

**Name of project:** _____

**Project duration:** (month year/start/finish): _____

**Number of persons assisted who are below the federal poverty level:** _____

**Number of persons who are below the 185% federal poverty level:** _____

**Federal poverty level indices:**

| Size of family unit | One | Two | Three | Four | Five |
|---|---|---|---|---|---|
| Federal poverty level | 10,830 | 14,570 | 18,310 | 22,050 | 25,790 |
| 185% federal poverty level | 20,035.50 | 26,954.5 | 33,873.50 | 40,792.50 | 47,711.50 |

If you utilize **other indicators of need**, please describe the indicator and the process you utilize for determining need:

_____

**Ethnicity of clients:** (Number)

African- American _____ Hispanic _____ White, non Hispanic _____ Other_____

The information provided above is accurate to the best of my knowledge.

Name: _____ Title: _____

Organization: _____

Address: _____

City / State / Zip Code: _____

Signed: _____ Date: _____

Please include this form with your grant application. If you have any questions, please contact Josh Carey at 203.753.1315 or josh@conncf.org. For your own protection, please do not email to Naugatuck Savings Bank Foundation any confidential information such as account numbers or Social Security numbers. If your communication contains confidential information, we suggest you call us at 203.729.4442, toll free 877.729.4442 or mail to Naugatuck Savings Bank Foundation, 251 Church Street, Naugatuck, CT 06770. Thank you.

NAUGATUCK SAVINGS BANK
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# CHECKLIST FOR SUBMITTING A GRANT APPLICATION

Please check to see that all requested information is included.

**The full proposal, as follows:**

- ☐ Grant proposal summary sheet
- ☐ Proposal narrative
- ☐ Project budget / Budget explanation
- ☐ Signed CRA form
- ☐ Copy of your campaign feasibility study *(if applying for a capital campaign)*
- ☐ Documentation of support

  Note: For programs in schools, the application must document the school's commitment to the specific program as evidenced by the signature of the superintendent or building principal on the summary sheet. For programs in schools proposed by outside arts, cultural or other organizations, the application must include a letter signed by the superintendent or building principal committing to the specific project.
- ☐ Please mail one original and one copy to:

**June M. Jarvis**
**Naugatuck Savings Bank Foundation**
**251 Church Street, PO Box 370**
**Naugatuck, CT 06770**

ONE HARDCOPY each of:

- ☐ BOARD OF DIRECTORS LIST – Please include the most recent Board list for your organization. The applying organization must have a minimum six-member board, representative of the community, of which a majority is neither employees nor immediate relatives of employees.

- ☐ IRS TAX STATUS LETTER: The applicant must be either a public institution or a private tax-exempt organization under Sections 501(c) (3), 501(c) (4) or 501(c) (6) of the Internal Revenue Code.

**Naugatuck Savings Bank Foundation, Inc.** **06-1513293**
**2011 Form 990-PF, Part XV**
**Grants and Contributions Paid During the Year**

| Description | Amount |
|---|---|
| Griffin Hospital 130 Division st Derby, CT 06418 501 (c) (3) "2011 Partner Sponsor" | (5,000 00) |
| Naugatuck High School Grad Nite 543 Rubber Ave Naugatuck CT 06770 501 ( c ) ( 3 ) "2011 Grad Nite" | (1,000 00) |
| Cheshire H S Class of 2011-Grad Party 72 Hampshire Court, Cheshire CT 06410 501 ( c ) ( 3 ) "2011 Grad Party" | (500 00) |
| American Cancer Society 538 Preston Ave Meriden CT 501 (c) (3) "2011 Relay for Life of Cheshire" | (1,000 00) |
| Are You Dense? 96 Rowley Road,Woodbury, CT 06798 501 (c) (3) "Educational Brochures" | (1,000 00) |
| Naugatuck Economic Development Corp 195 Water St Naugatuck CT 06770 501 (c) (3) "Gold Partner" | (10,000 00) |
| Shakespearians Productions P O Box 361 Naugatuck, CT 06770 501 (c) (3) "Adoptt-A- School Naugatuck District" | (3,000 00) |
| Naugatuck Valley Community Foundation 750 Chase Parkway Waterbury CT 06708 501 ( c ) ( 3 ) "Annual Mystery Dinner Theater" | (1,000.00) |
| National Multiple Sclerosis Society 705 North Mountain Rd Suite G102 Newington CT 06111 501 (c) (3) "Travelers Walk MS" | (2,500 00) |
| The Juvenile Diabetes Foundation 20 Batterson Park Rd 3rd floor Farmington, CT 06032 501 (c ) (3 ) "2011 Promise Ball-Set Sail for the Cure" | (500 00) |
| Oxford High School 61 Quaker Farms Road, Oxford, CT 06478 501 (c ) (3 ) "Post Prom 2011" | (500 00) |
| New Haven Symphony Orchestra, Inc P O.Box 9718, New Haven, CT 06536 501 (c ) (3 ) "Aquatic Dances" | (1,500 00) |
| Human Resource Development Agency 575 Rubber Ave Naugatuck CT 06770 501 ( c ) ( 3 ) "Naugatuck Social Services " | (5,000 00) |
| Loyola Development Corp P.O Box 2209, Waterbury, CT 06720 501 ( c ) ( 3 ) "Community Building/Volunteer Day" | (4,318.00) |
| Environmental Learning Centers of Connecticut,Inc 501 Wolcott Rd Bristol CT 06010 501 ( c ) ( 3 ) "Sustaining Level-Corporate Sponsor 2011" | (1,000 00) |
| Seven Angels Theatre Plank Rd. P.O Box 3358 Waterbury CT 06705 501 ( c ) ( 3 ) "Halo Awards 2011" | (5,000.00) |
| Seymour Public Schools 98 Bank St Seymour CT 06483 501 ( c ) ( 3 ) "Halo Awards 2011" | (1,500 00) |
| American Cancer Society 538 Preston Ave Meriden CT 501 (c) (3) "2011 Relay for Life of Cheshire" | (1,000.00) |
| Naugatuck Campership Fund 87 Church St Naugatuck, CT 06770 501 (c) (3) "Contribution" | (3,500 00) |
| Campership Fund for Meriden & Wallingford, Inc 100 Hanover St Meriden CT 06451 501( c ) ( 3 ) "Contribution" | (1,300.00) |
| Franciscan Life Center 271 Finch Ave, Meriden CT 06451 501 ( c ) ( 3 ) "2011 Franciscan Land-Based Summer Experience" | (3,000 00) |
| Council of Neighborhoods Inc 77 Oak Street, Meriden CT 06450 501 ( c ) ( 3 ) "National Night Out 2011" | (1,000.00) |
| Mattatuck Museum Arts & History Center 144 West Main St Waterbury CT 06702 501 (c) (3) "2011 Gold Corporate Sponsor" | (2,500 00) |
| Meriden Daffodil Festival P O Box 40 Meriden CT 06450 501 ( c ) ( 3 ) "2011 Daffodil Festival" | (3,000 00) |

| Description | Amount |
|---|---|
| Petit Family Foundation P.O Box 356 Plainville CT 501 ( c ) ( 3 ) | (500 00) |
| "GE 5K Road Race -2011" | |
| CancerCare Of Connecticut 120 East Ave Norwalk, CT 06851 501 (c) (3) | (2,000 00) |
| "Education & Support Naugatuck Area Residends" | |
| CT Association For Dental Outreach 835 West Queen Street, Southington CT 501 (c) (3) | (7,500 00) |
| "Mission for Mercy Dental Care Event" | |
| Home Front Inc 88 Hamilton Ave Stamford CT 06902 501 (c) (3) | (5,000 00) |
| "2011 HomeFront Day-Waterbury" | |
| Naugatuck Police Department 211 Spring St. Naugatuck CT 06770 501 ( c ) ( 3 ) | (4,291 00) |
| "Laptop Computer For Forensic Use" | |
| Nutmeg Big Borthers/Big Sisters 30 Laurel St Suite 3 hartford CT 06106 501 (c) (3) | (1,500 00) |
| "Community Based Mentoring" | |
| Salvation Army -Wterbury 24 Central Ave Waterbury CT 06702 501 ( c ) ( 3 ) | (10,000 00) |
| "Family Emergency Center" | |
| Stone Chiropractic Center Baseball Camp 15 Depot St Watertown CT 06795 501 ( c ) ( 3 ) | (1,500 00) |
| "Baseball Camp" | |
| Waterbury Hospital 64 Robbins St Waterbury, CT 06721 501 (c) (3) | (4,000 00) |
| "Summer EMT Training Program" | |
| American Cancer Society 538 Preston Ave Meriden CT 501 (c) (3) | (1,000 00) |
| "2011 Relay for Life Meriden/Wallingford | |
| Middlebury Senior Center 1172 White Middlebury CT 06762 501 ( c ) ( 3 ) | (5,000 00) |
| "Community Awards Program 2011" | |
| St Francis of Assisi Church 318 Church St Naugatuck CT 06770 501( c ) ( 3 ) | (3,755 00) |
| "Community Awards Program 2011" | |
| Naugatuck YMCA 284 Church St Naugatuck CT 06770 501 ( c ) ( 3) | (3,037 00) |
| "Community Awards Program 2011" | |
| Middlebury Congregation Church 1242 Whitemore Rd Middlebury CT 06762 501 ( c ) ( 3 ) | (2,982 00) |
| "Community Awards Program 2011" | |
| St Mary's Hospital 56 Franklin St, Wtby CT 06721 501 (c) (3) | (2,264 00) |
| "Community Awards Program 2011" | |
| Ansonia Nature & Recreation Center Deerfield Rd Ansonia CT 501 ( c ) ( 3 ) | (1,877 00) |
| "Community Awards Program 2011" | |
| St. Francis of Assisi Church318 Church St Naugatuck CT 06770 501 ( c ) ( 3 ) | (1,822 00) |
| "Community Awards Program 2011" | |
| ACTS4 Ministries 150 East Aurora St Waterbury CT 06708 501 ( c) ( 3 ) | (1,767 00) |
| "Community Awards Program 2011" | |
| Naugatuck Emblem Club #160 PO Box 253 Naugatuck CT 06770 501 ( c) ( 3 ) | (1,712 00) |
| "Community Awards Program 2011" | |
| GIL Foundation 34 Waterbury Rd. Prospect CT 06712 501 ( c ) ( 3 ) | (1,712 00) |
| "Community Awards Program 2011" | |
| Ecumenical Food Bank P O. Box 796 Naugatuck CT 06770 501 (c) (3) | (1,656 00) |
| "Community Awards Program 2011" | |
| Naugatuck Ecumenical Outreach Network 210 Church St Naugatuck CT 06770 501 ( c ) ( 3 ) | (1,656 00) |
| "Community Awards Program 2011" | |
| Holy Cross High School Father's Club 587 Oronoke Rd Waterbury CT 06708 501 ( c ) ( 3 ) | (1,491 00) |
| "Community Awards Program 2011" | |
| United Way of Naugatuck & Beacon Falls 284 Church St Naugatuck CT 501 ( c ) ( 3 ) | (1,436 00) |
| "Community Awards Program 2011" | |
| Oxford United Church of Christ Congregational 3 Academy Rd Oxford CT 06478 501 ( c ) ( 3 ) | (1,436 00) |
| "Community Awards Program 2011" | |
| St. Hedwig Church 32 Golden Hill Street Naugatuck CT 501 ( c) ( 3 ) | (1,380 00) |

| Description | Amount |
|---|---|
| "Community Awards Program 2011" | |
| Cheshire Dog Park PO Box 11 Cheshire CT 06410 501 ( c) ( 3 ) | (1,325.00) |
| "Community Awards Program 2011" | |
| Seven Angels Theatre Plank Rd. P.O.Box 3358 Waterbury CT 06705 501 ( c ) ( 3 ) | (1,325 00) |
| "Community Awards Program 2011" | |
| Howard Whittemore Memorial Library 243 Church St Naugatuck CT 06770 501 ( c ) ( 3 ) | (1,215.00) |
| "Community Awards Program 2011" | |
| St Augustine Church 35 Washington Ave. Seymour CT 501 ( c ) ( 3 ) | (1,215 00) |
| "Community Awards Program 2011" | |
| The Palace Theater 100 East Main St Waterbury CT 501 ( c ) ( 3 ) | (1,215.00) |
| "Community Awards Program 2011" | |
| Naugatuck Valley Community Church 159 Church St Naugatuck CT 06770 501 ( c ) ( 3 ) | (1,160 00) |
| "Community Awards Program 2011" | |
| Naugatuck Special Olympics 2132 Middlebury Rd Middlebury CT 06762 501 ( c) ( 3 ) | (1,160.00) |
| "Community Awards Program 2011" | |
| Oxford High School Booster Club 61 Quaker Farms Rd Oxford CT 501 ( c ) ( 3 ) | (1,160 00) |
| "Community Awards Program 2011" | |
| Girls Incorporated of Meriden 130 Lincoln St Meriden CT 06451 501 ( c ) ( 3 ) | (1,104 00) |
| "Community Awards Program 2011" | |
| Oxford Special Olympics 115 Foxton Court Beacon Falls CT 06403 501 (c ) ( 3) | (1,104 00) |
| "Community Awards Program 2011" | |
| Meriden Boys & Girls Club 15 Lincoln St Meriden CT 06451 501 ( c ) ( 3 ) | (1,049.00) |
| "Community Awards Program 2011" | |
| Hidden Acres Therapeutic Riding Center 45 Gabriel Drive, Naugatuck CT 501 ( c ) ( 3 ) | (994 00) |
| "Community Awards Program 2011" | |
| Hillside Conveneth Church 100 Hillside Ave Naugatuck CT 06770 501 ( c ) ( 3 ) | (994 00) |
| "Community Awards Program 2011" | |
| Woodbury Lians Club P.O.Box 314 Woodbury CT 501 ( c ) ( 3 ) | (500 00) |
| "Community Awards Program 2011" | |
| Our Lady of Mount Carmel Church 109 Goodwill Avenue, Meriden CT 501 ( c ) ( 3 ) | (500.00) |
| "Community Awards Program 2011" | |
| Watertown Fall Festival 51 Depot St Watertown CT 501 ( c ) ( 3 ) | (500 00) |
| "Community Awards Program 2011" | |
| Thresholds, Inc P.O.Box 504 Cheshire CT 501 ( c ) ( 3 ) | (500.00) |
| "Community Awards Program 2011" | |
| Big Brothers/Big Sisters 2470 Fairfield Ave Bridgeport CT 06605 501 (a) (1) | (500 00) |
| "Community Awards Program 2011" | |
| St. Vincent DePaul Mission 34 Willow St Waterbury CT 06710 501 ( c ) ( 3 ) | (500.00) |
| "Community Awards Program 2011" | |
| United Way Of Greater Waterbury P O Box 2688 Waterbury, CT 06723 501 (c) (3) | (2,500 00) |
| "Corporate Sponsor 2011" | |
| The Governor's Prevention Partnership 30 Arbur ST Hartford CT 06106 501 (c) (3) | (1,000.00) |
| "2011 Partnership" | |
| Hoops for Heart Health ,P O Box 905, Southington, CT 501 (c) (3) | (1,000 00) |
| "Refreshment Sponsor" | |
| Naugatuck Exchange Foundation P.O. Box 641 Naugatuck CT 06770 501 (c) (3) | (1,300.00) |
| "Title Sponsor - Tee Off Against Child Abuse" | |
| United Way of Naugatuck & Beacon Falls 284 Church St Naugatuck CT 501 ( c ) ( 3 ) | (4,250 00) |
| "Parent Toolbox" | |
| Seabury Society for the Preservation of the Glebe House, Inc P O. Box 245, Hollow Road, Woodbury, CT 06798 501 ( c ) ( 3 ) | (1,500.00) |
| "Contribution" | |

| Description | Amount |
|---|---|
| Blessed Sacrament Children's Theatre 182 Robbins St Waterbury CT 06708 501 ( c ) ( 3 ) | (2,000 00) |
| "2011-Jos & the Amazing Technicolor Dreamcoat" | |
| Beat the Street Community Center, Inc 121 So Colony Street, Meriden, CT 06450 501 ( c ) ( 3 ) | (2,000 00) |
| "Career Explores program" | |
| Meriden Art Council 175 East Main Street, P O Box 2334, Meriden, CT 06450 501 ( c ) ( 3 ) | (2,600 00) |
| "NSB Nature Art Shows" | |
| Little Britches Therapeutic Riding P O Box 120 Woodbury CT 501 ( c ) ( 3 ) | (2,500 00) |
| "Summer Bridges" | |
| Habit For Humanity of Greater Waterbury PO Box 1881 Waterbury CT 06722 501 ( c ) ( 3 ) | (500 00) |
| "Back Cover -6th Annual Gala | |
| Our Savior Lutheran Church 239 Graham Rd South Windsor CT 06074 501 ( c ) ( 3 ) | (1,500 00) |
| "New Beginnings" | |
| The Mari Hall Family Foundation P.O. Box 162, Cheshire, CT 06410 501 ( c ) ( 3 | (500 00) |
| "Mari's Miles of Smiles Road Race | |
| Connecticut Challenge P O.Box 566 Southport CT 501 ( c ) ( 3 ) | (500 00) |
| "Team Leever" | |
| Greater Waterbury Chamber of Commerce Foundation PO Box 1469, Waterbury, CT 06721 501 ( c ) ( 3 ) | (500 00) |
| "7th Annual Greater Waterbury Downtown Draw" | |
| Cheshire Community YMCA 961 South Main Street Cheshire CT 06410 501 ( c ) ( 3) | (1,500 00) |
| "Oktoberfest" | |
| MidState Medical Center Auxiliary 435 Lewis Avenue, Meriden, CT 06451 501 ( c ) ( 3) | (1,250.00) |
| "The Moonlight in Venice Gala" | |
| Boys & Girls Club of Meriden 15 Lincoln St Meriden CT 06451 501 ( c ) ( 3 ) | (5,000 00) |
| "Summer Safe Hevens" | |
| Children's Law Center Of CT 30 Arbur St Hartford, CT 06106 501 (c) (3) | (3,000 00) |
| "Legal Representation & Law Line" | |
| Granville Academy Redcoat Rd, Waterbury CT 06704 501 ( c ) ( 3) | (5,000 00) |
| "Economics & Literacy" | |
| Ronald McDonald House 501 George St New Haven CT 06511 501 ( c ) ( 3 ) | (750 00) |
| "2011 Tree Sponsor " | |
| Operation Fuel Inc One Regency Drive, Suite 200, Bloomfield, CT 06002 501 ( c ) ( 3 ) | (3,000 00) |
| "Annual Contribution" | |
| Waterbury Hospital 64 Robbins St Waterbury, CT 06721 501 (c) (3) | (5,000.00) |
| "12 Annual Charity Gala" | |
| Main Steet Waterbury 83 Bank St Waterbury CT 06702 501 ( c ) ( 3 ) | (500 00) |
| "6th Annual Brass City Brew Fest" | |
| Naugatuck Youth & Family Services 1 Scott Street, Naugatuck CT 06770 501 (c) (3) | (1,000 00) |
| "Annuall Wine Dinner Sponsor" | |
| Safe Haven of Greater 29 Central Ave. Waterbury CT 06702 501 ( c ) ( 3 ) | (2,500 00) |
| "Annual Contribution" | |
| Literacy Volunteers of Greater Waterbury 267 Grand St Waterbury CT 06702 501 ( c ) ( 3 ) | (1,500 00) |
| "Annual Contribution" | |
| Greater Waterbury Interfaith Ministries 16 Church St Waterbury CT 06702 501 ( c ) ( 3 ) | (3,000 00) |
| "Food Services Annual" | |
| Family Service Of Greater Waterbury 34 Murray st. Waterbury 501 ( c ) ( 3 ) | (4,000 00) |
| "Annual Contribution" | |
| Ecumenical Food Bank P.O. Box 796 Naugatuck CT 06770 501 (c) (3) | (3,000 00) |
| "Annual Contribution" | |
| Easter Seals Waterbury 22 Tompkins St Waterbury, CT 06708 501 (c) (3) | (2,000 00) |
| "Annual Contribution" | |
| Connecticut Food Bank P O. Box 8686 New Haven, CT 06531 501 (c) (3) | (2,000 00) |

| Description | Amount |
|---|---|
| "Annual Contribution" | |
| MidState Medical Center 435 Lewis Ave Meriden, CT 06451 501 (c) (3) | (2,500.00) |
| "Annual Contribution" | |
| Griffin Hospital 130 Division st Derby, CT 06418 501 (c) (3) | (2,500 00) |
| "Annual Contribution" | |
| Waterbury Hospital 64 Robbins St Waterbury, CT 06721 501 (c) (3) | (5,000.00) |
| "Annual Contribution" | |
| Saint Mary's Hospital 56 Franklin Street Waterbury CT 06706 501 ( c ) ( 3 ) | (5,000 00) |
| "Annual Contribution" | |
| Southbury/Middlebury Youth & Family Services 1287 Strongtown Rd Southbury, CT 06488 501( c ) ( 3 ) | (1,000.00) |
| "Tasteful Event" | |
| American Warrior P O Box 337 35 Main St Versailles, CT 06383 501 ( c ) ( 3 ) | (2,000 00) |
| "Annual Contribution" | |
| Birmingham Foundation - Gala 435 East Main St Ansonia CT 06401 501 ( c ) ( 3 ) | (500 00) |
| "Tee Sponsor" | |
| The Workplace, Inc 350 Fairfield Ave Bridgeport CT 06604 501 ( c ) ( 3) | (1,000 00) |
| "Platinum Sponsor-3rd Annual Employment Awarenes Seminar" | |
| Greater Waterbury YMCA 136 West Main St Waterbury 06702 501 ( c ) ( 3 ) | (1,500 00) |
| "Kingz Athletic & Academic Program" | |
| United Way of Naugatuck & Beacon Falls 284 Church St Naugatuck CT 501 ( c ) ( 3 ) | (1,000 00) |
| "5th Annual Festival of Trees" | |
| Saint Michael's on the Green 210 Church St Naugatuck CT 06770 501 (c) (3) | (250 00) |
| "Annual Thanksgiving Dinner" | |
| Meriden Public Library 105 Miller Street Meriden CT 06450 501 ( c ) ( 3 ) | (800 00) |
| "Library's Special Funds" | |
| TEAM Toys for 4 Kids Elizabeth St Derby, CT 06418 501 (c) (3) | (500.00) |
| "Annual Toy Drive" | |
| Howard Whittemore Memorial Library 243 Church St Naugatuck CT 06770 501 ( c ) ( 3 ) | (500 00) |
| "Winter Storm Alfred Emergency Services" | |
| Valley United Way P O Box 418 Ansonia, CT 06407 501 (c) (3) | (4,000 00) |
| "Annual Contribution" | |
| United Way of Greater New Haven 900 Chapel St New Haven CT 06510 501 ( c ) ( 3 ) | (1,000 00) |
| "Annual Contribution" | |
| United Way Of Meriden & Wallingford 35 Pleasant Street Suite 1E Meriden CT 06450 501 ( c ) ( 3 ) | (3,000.00) |
| "Annual Contribution" | |
| United Way of Greater Waterbury P O Box 2688 Waterbury, CT 06723 501 (c) (3) | (12,000 00) |
| "Annual Contribution" | |
| United Way of Naugatuck & Beacon Falls 284 Church St Naugatuck CT 501 ( c ) ( 3 ) | (50,000 00) |
| "Annual Contribution" | |
| Saint Mary's Hospital Foundation 56 Franklin Street Waterbury CT 06706 501 ( c ) ( 3 ) | (2,500 00) |
| "Annual Gala" | |
| Saint Mary's Hospital Foundation 56 Franklin Street Waterbury CT 06706 501 ( c ) ( 3 ) | (30,000 00) |
| "Balance due-daVinciRobotics" | |
| Carolyn's Place, Inc 137 Grandview Avenue Waterbury CT 501 ( c ) ( 3 ) | (3,000 00) |
| "12 Cribs" | |
| Cheshire Public Library 104 Main Street Cheshire CT 501 ( c ) ( 3 ) | (5,000 00) |
| "Teen Reading Book" | |
| Flanders Nature Center & Land Trust, Inc 5 Church Hill Rd Woodbury CT 06798 501 ( c ) ( 3 ) | (2,500 00) |
| "Adult Meeting" | |
| Girls Incorporated of Meriden 130 Lincoln St Meriden CT 06451 501 ( c ) ( 3 ) | (4,000 00) |
| "Junior Leaders Program" | |
| Greater Waterbury Interfaith Ministries 16 Churchh St Waterbury CT 06702 501 ( c ) ( 3 ) | (5,000 00) |

| Description | Amount |
|---|---|
| "Contribution" | |
| Guardian Ad Litem Services 5 Church Hill Rd Woodbury CT 501 ( c ) ( 3 ) | (5,000 00) |
| " Technology Upgrade" | |
| Jane Doe No More, Inc 203 Church St Naugatuk CT 501 ( c ) ( 3 ) | (4,000 00) |
| "Escape Alive Survival Skills" | |
| Literacy Volunteers of Greater Waterbury 267 Grand St Waterbury CT 06702 501 ( c ) ( 3 ) | (3,100 00) |
| "Lending Library" | |
| Meriden Children First 105 Miller Street, Meriden CT 501 ( c ) ( 3 ) | (10,000 00) |
| "Meriden Family Zone" | |
| Neighborhood Housing Services Of Waterbury, Inc. 139 Prospect St Waterbury CT 06710 501 ( c ) ( 3 ) | (15,000.00) |
| "HELPP' | |
| New Opportunities 232 North Elm St Waterbury CT 06702 501 ( c ) ( 3 ) | (2,000 00) |
| "In the Making" | |
| Pomperaug River Watershead Coalition 39 Sherman Hill Rd. Suite C103, Woodbury CT 501 ( c ) ( 3 ) | (2,500.00) |
| "Watershead Capsule" | |
| Salvation Army -Wterbury 24 Central Ave Waterbury CT 06702 501 ( c ) ( 3 ) | (3,867 00) |
| "Shelter Laundry Facility" | |
| Southbury Food Bank-Southbury Training School, Rte 172,Thrift Shop Annex, Southbury CT 501 ( c ) ( 3 ) | (2,000.00) |
| "Relocation, Expansion, Advancement" | |
| Training Education and Manpower, Inc 30 Elizabeth St. Derby CT 501 ( c ) ( 3 ) | (5,000 00) |
| "Volunteer Income Tax Assistance" | |
| United Way of Greater Waterbury 60 No Main St Waterbury CT 501 ( c ) ( 3 ) | (6,000.00) |
| "Bridge to Success" | |
| Waterbury Symphony Orchestra P.O Box 1762 Waterbury CT 501 ( c ) ( 3 ) | (4,000 00) |
| "Music Education -General Fund" | |
| Wellmore, Inc 141 East Main St Waterbury CT 501 ( c ) ( 3 ) | (4,000 00) |
| "Child FIRST" | |
| Women's Business Development 888 Washington Blvd Stamford, CT 06901 501 (c) (3) | (5,000 00) |
| "Entrepreneurial Training Program" | |
| P L A.C E 2781 Dixwell Avenue, Hamden CT 501 ( c ) ( 3 ) | (1,000.00) |
| "The First 100" | |
| | **(436,579.00)** |