



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation**  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

**2011**

For calendar year 2011 or tax year beginning

, 2011, and ending

, 20

|                                                                                                                                                                                                                                                          |                                                                    |                                                                                                                                                                                   |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Name of foundation<br><b>30-15C066471768 BOB HONG FOUNDATION</b>                                                                                                                                                                                         |                                                                    | <b>A Employer identification number</b><br><b>04-6911786</b>                                                                                                                      |
| Number and street (or P O box number if mail is not delivered to street address)                                                                                                                                                                         | Room/suite                                                         | <b>B Telephone number (see instructions)</b><br><b>(650) 210-5000</b>                                                                                                             |
| City or town, state, and ZIP code<br><b>LONG ISLAND CITY, NY 11120</b>                                                                                                                                                                                   |                                                                    | <b>C</b> If exemption application is pending, check here <input type="checkbox"/>                                                                                                 |
| <b>G</b> Check all that apply:                                                                                                                                                                                                                           |                                                                    | <b>D</b> 1 Foreign organizations, check here <input type="checkbox"/><br>2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |
| <input type="checkbox"/> Initial return                                                                                                                                                                                                                  | <input type="checkbox"/> Initial return of a former public charity | <b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>                                                              |
| <input type="checkbox"/> Final return                                                                                                                                                                                                                    | <input type="checkbox"/> Amended return                            | <b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>                                                           |
| <input type="checkbox"/> Address change                                                                                                                                                                                                                  | <input type="checkbox"/> Name change                               |                                                                                                                                                                                   |
| <b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation |                                                                    |                                                                                                                                                                                   |
| <b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$ 815,303.</b>                                                                                                                                             |                                                                    |                                                                                                                                                                                   |
| <b>J</b> Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____<br>(Part I, column (d) must be on cash basis.)                                                   |                                                                    |                                                                                                                                                                                   |

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).) |                                                                                               | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |         |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|---------|
| Revenue                                                                                                                                                             | 1 Contributions, gifts, grants, etc., received (attach schedule)                              |                                    |                           |                         |                                                             |         |
|                                                                                                                                                                     | 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B |                                    |                           |                         |                                                             |         |
|                                                                                                                                                                     | 3 Interest on savings and temporary cash investments                                          |                                    |                           |                         |                                                             |         |
|                                                                                                                                                                     | 4 Dividends and interest from securities                                                      | 12,347.                            | 12,295.                   |                         | STMT 1                                                      |         |
|                                                                                                                                                                     | 5a Gross rents                                                                                |                                    |                           |                         |                                                             |         |
|                                                                                                                                                                     | b Net rental income or (loss)                                                                 |                                    |                           |                         |                                                             |         |
|                                                                                                                                                                     | 6a Net gain or (loss) from sale of assets not on line 10                                      | 204,880.                           |                           |                         |                                                             |         |
|                                                                                                                                                                     | b Gross sales price for all assets on line 6a                                                 | 1,169,094.                         |                           |                         |                                                             |         |
|                                                                                                                                                                     | 7 Capital gain net income (from Part IV, line 2)                                              |                                    | 210,857.                  |                         |                                                             |         |
|                                                                                                                                                                     | 8 Net short-term capital gain                                                                 |                                    |                           |                         |                                                             |         |
|                                                                                                                                                                     | 9 Income modifications                                                                        |                                    |                           |                         |                                                             |         |
|                                                                                                                                                                     | 10a Gross sales less returns and allowances                                                   |                                    |                           |                         |                                                             |         |
| b Less: Cost of goods sold                                                                                                                                          |                                                                                               |                                    |                           |                         |                                                             |         |
| c Gross profit or (loss) (attach schedule)                                                                                                                          |                                                                                               |                                    |                           |                         |                                                             |         |
| 11 Other income (attach schedule)                                                                                                                                   |                                                                                               |                                    |                           |                         |                                                             |         |
| 12 Total. Add lines 1 through 11                                                                                                                                    | 217,227.                                                                                      | 223,152.                           |                           |                         |                                                             |         |
| Operating and Administrative Expenses                                                                                                                               | 13 Compensation of officers, directors, trustees, etc.                                        |                                    |                           |                         |                                                             |         |
|                                                                                                                                                                     | 14 Other employee salaries and wages                                                          |                                    | NONE                      | NONE                    |                                                             |         |
|                                                                                                                                                                     | 15 Pension plans, employee benefits                                                           |                                    | NONE                      | NONE                    |                                                             |         |
|                                                                                                                                                                     | 16a Legal fees (attach schedule)                                                              |                                    |                           |                         |                                                             |         |
|                                                                                                                                                                     | b Accounting fees (attach schedule)                                                           |                                    |                           |                         |                                                             |         |
|                                                                                                                                                                     | c Other professional fees (attach schedule)                                                   | STMT 2                             | 9,751.                    | 3,999.                  | 5,752.                                                      |         |
|                                                                                                                                                                     | 17 Interest                                                                                   |                                    |                           |                         |                                                             |         |
|                                                                                                                                                                     | 18 Taxes (attach schedule) (see instructions)                                                 | STMT 3                             | 874.                      | 537.                    |                                                             |         |
|                                                                                                                                                                     | 19 Depreciation (attach schedule) and depletion                                               |                                    |                           |                         |                                                             |         |
|                                                                                                                                                                     | 20 Occupancy                                                                                  |                                    |                           |                         |                                                             |         |
|                                                                                                                                                                     | 21 Travel, conferences, and meetings                                                          |                                    |                           | NONE                    | NONE                                                        |         |
|                                                                                                                                                                     | 22 Printing and publications                                                                  |                                    |                           | NONE                    | NONE                                                        |         |
|                                                                                                                                                                     | 23 Other expenses (attach schedule)                                                           | STMT 4                             | 109.                      | 74.                     | 35.                                                         |         |
|                                                                                                                                                                     | 24 Total operating and administrative expenses. Add lines 13 through 23                       |                                    | 10,734.                   | 4,610.                  | NONE                                                        | 5,787.  |
|                                                                                                                                                                     | 25 Contributions, gifts, grants paid                                                          |                                    | 29,000.                   |                         |                                                             | 29,000. |
| 26 Total expenses and disbursements. Add lines 24 and 25                                                                                                            |                                                                                               | 39,734.                            | 4,610.                    | NONE                    | 34,787.                                                     |         |
| 27 Subtract line 26 from line 12:                                                                                                                                   |                                                                                               |                                    |                           |                         |                                                             |         |
| a Excess of revenue over expenses and disbursements                                                                                                                 |                                                                                               | 177,493.                           |                           |                         |                                                             |         |
| b Net investment income (if negative, enter -0-)                                                                                                                    |                                                                                               |                                    | 218,542.                  |                         |                                                             |         |
| c Adjusted net income (if negative, enter -0-)                                                                                                                      |                                                                                               |                                    |                           |                         |                                                             |         |

For Paperwork Reduction Act Notice, see instructions.

JSA

Form 990-PF (2011)

1E1410 1 000

RR04825880 05/09/2012 10:56:58

30-15C066471768

45

14

| Part II Balance Sheets      |                                                                                                                               | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)              | Beginning of year | End of year    |                       |
|-----------------------------|-------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------|-------------------|----------------|-----------------------|
|                             |                                                                                                                               |                                                                                                                                 | (a) Book Value    | (b) Book Value | (c) Fair Market Value |
| Assets                      | 1                                                                                                                             | Cash - non-interest-bearing . . . . .                                                                                           |                   |                |                       |
|                             | 2                                                                                                                             | Savings and temporary cash investments . . . . .                                                                                | 46,645.           | 23,779.        | 23,779.               |
|                             | 3                                                                                                                             | Accounts receivable ▶<br>Less: allowance for doubtful accounts ▶                                                                |                   |                |                       |
|                             | 4                                                                                                                             | Pledges receivable ▶<br>Less: allowance for doubtful accounts ▶                                                                 |                   |                |                       |
|                             | 5                                                                                                                             | Grants receivable . . . . .                                                                                                     |                   |                |                       |
|                             | 6                                                                                                                             | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . |                   |                |                       |
|                             | 7                                                                                                                             | Other notes and loans receivable (attach schedule) ▶<br>Less: allowance for doubtful accounts ▶                                 |                   |                |                       |
|                             | 8                                                                                                                             | Inventories for sale or use . . . . .                                                                                           |                   |                |                       |
|                             | 9                                                                                                                             | Prepaid expenses and deferred charges . . . . .                                                                                 |                   |                |                       |
|                             | 10 a                                                                                                                          | Investments - U S and state government obligations (attach schedule) .                                                          |                   |                |                       |
|                             | b                                                                                                                             | Investments - corporate stock (attach schedule) . . . . .                                                                       |                   |                |                       |
|                             | c                                                                                                                             | Investments - corporate bonds (attach schedule) . . . . .                                                                       |                   |                |                       |
|                             | 11                                                                                                                            | Investments - land, buildings, and equipment basis<br>Less: accumulated depreciation (attach schedule) ▶                        |                   |                |                       |
|                             | 12                                                                                                                            | Investments - mortgage loans . . . . .                                                                                          |                   |                |                       |
|                             | 13                                                                                                                            | Investments - other (attach schedule) . . . . .                                                                                 | 567,566.          | 768,035.       | 791,524.              |
|                             | 14                                                                                                                            | Land, buildings, and equipment basis<br>Less: accumulated depreciation (attach schedule) ▶                                      |                   |                |                       |
| 15                          | Other assets (describe ▶ )                                                                                                    |                                                                                                                                 |                   |                |                       |
| 16                          | <b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I) . . . . .                | 614,211.                                                                                                                        | 791,814.          | 815,303.       |                       |
| Liabilities                 | 17                                                                                                                            | Accounts payable and accrued expenses . . . . .                                                                                 |                   |                |                       |
|                             | 18                                                                                                                            | Grants payable . . . . .                                                                                                        |                   |                |                       |
|                             | 19                                                                                                                            | Deferred revenue . . . . .                                                                                                      |                   |                |                       |
|                             | 20                                                                                                                            | Loans from officers, directors, trustees, and other disqualified persons .                                                      |                   |                |                       |
|                             | 21                                                                                                                            | Mortgages and other notes payable (attach schedule) . . . . .                                                                   |                   |                |                       |
|                             | 22                                                                                                                            | Other liabilities (describe ▶ )                                                                                                 |                   |                |                       |
| 23                          | <b>Total liabilities</b> (add lines 17 through 22) . . . . .                                                                  |                                                                                                                                 |                   |                |                       |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. |                                                                                                                                 |                   |                |                       |
|                             | 24                                                                                                                            | Unrestricted . . . . .                                                                                                          |                   |                |                       |
|                             | 25                                                                                                                            | Temporarily restricted . . . . .                                                                                                |                   |                |                       |
|                             | 26                                                                                                                            | Permanently restricted . . . . .                                                                                                |                   |                |                       |
|                             | Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>   |                                                                                                                                 |                   |                |                       |
|                             | 27                                                                                                                            | Capital stock, trust principal, or current funds . . . . .                                                                      | 614,211.          | 791,814.       |                       |
|                             | 28                                                                                                                            | Paid-in or capital surplus, or land, bldg., and equipment fund . . . . .                                                        |                   |                |                       |
|                             | 29                                                                                                                            | Retained earnings, accumulated income, endowment, or other funds . .                                                            |                   |                |                       |
|                             | 30                                                                                                                            | <b>Total net assets or fund balances</b> (see instructions) . . . . .                                                           | 614,211.          | 791,814.       |                       |
|                             | 31                                                                                                                            | <b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .                                              | 614,211.          | 791,814.       |                       |

### Part III Analysis of Changes in Net Assets or Fund Balances

|   |                                                                                                                                                                      |   |          |
|---|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|----------|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . . | 1 | 614,211. |
| 2 | Enter amount from Part I, line 27a . . . . .                                                                                                                         | 2 | 177,493. |
| 3 | Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 6 . . . . .                                                                                         | 3 | 137.     |
| 4 | Add lines 1, 2, and 3 . . . . .                                                                                                                                      | 4 | 791,841. |
| 5 | Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 7 . . . . .                                                                                               | 5 | 27.      |
| 6 | <b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30 . . . . .                                               | 6 | 791,814. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) |                                            |                                                 | (b) How acquired<br>P-Purchase<br>D-Donation                                                  | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|-------------------------------------------------|-----------------------------------------------------------------------------------------------|--------------------------------------|----------------------------------|
| <b>1a PUBLICLY TRADED SECURITIES</b>                                                                                               |                                            |                                                 |                                                                                               |                                      |                                  |
| <b>b</b>                                                                                                                           |                                            |                                                 |                                                                                               |                                      |                                  |
| <b>c</b>                                                                                                                           |                                            |                                                 |                                                                                               |                                      |                                  |
| <b>d</b>                                                                                                                           |                                            |                                                 |                                                                                               |                                      |                                  |
| <b>e</b>                                                                                                                           |                                            |                                                 |                                                                                               |                                      |                                  |
| (e) Gross sales price                                                                                                              | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g)                                                  |                                      |                                  |
| <b>a</b> 1,168,061.                                                                                                                |                                            | 958,237.                                        | 209,824.                                                                                      |                                      |                                  |
| <b>b</b>                                                                                                                           |                                            |                                                 |                                                                                               |                                      |                                  |
| <b>c</b>                                                                                                                           |                                            |                                                 |                                                                                               |                                      |                                  |
| <b>d</b>                                                                                                                           |                                            |                                                 |                                                                                               |                                      |                                  |
| <b>e</b>                                                                                                                           |                                            |                                                 |                                                                                               |                                      |                                  |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69                                        |                                            |                                                 | (i) Gains (Col (h) gain minus<br>col (k), but not less than -0-) or<br>Losses (from col. (h)) |                                      |                                  |
| (i) F.M.V. as of 12/31/69                                                                                                          | (j) Adjusted basis<br>as of 12/31/69       | (k) Excess of col (i)<br>over col. (j), if any  |                                                                                               |                                      |                                  |
| <b>a</b>                                                                                                                           |                                            |                                                 | 209,824.                                                                                      |                                      |                                  |
| <b>b</b>                                                                                                                           |                                            |                                                 |                                                                                               |                                      |                                  |
| <b>c</b>                                                                                                                           |                                            |                                                 |                                                                                               |                                      |                                  |
| <b>d</b>                                                                                                                           |                                            |                                                 |                                                                                               |                                      |                                  |
| <b>e</b>                                                                                                                           |                                            |                                                 |                                                                                               |                                      |                                  |
| <b>2 Capital gain net income or (net capital loss)</b>                                                                             |                                            |                                                 | <b>2</b>                                                                                      | 210,857.                             |                                  |
| If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7                                                    |                                            |                                                 |                                                                                               |                                      |                                  |
| <b>3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):</b>                                             |                                            |                                                 | <b>3</b>                                                                                      |                                      |                                  |
| If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in<br>Part I, line 8                    |                                            |                                                 |                                                                                               |                                      |                                  |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.**

| (a)<br>Base period years<br>Calendar year (or tax year beginning in)                                                                                                                  | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col. (b) divided by col. (c)) |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|----------------------------------------------|-------------------------------------------------------------|
| 2010                                                                                                                                                                                  | 33,457.                                  | 723,681.                                     | 0.046232                                                    |
| 2009                                                                                                                                                                                  | 36,878.                                  | 630,340.                                     | 0.058505                                                    |
| 2008                                                                                                                                                                                  | 47,975.                                  | 766,411.                                     | 0.062597                                                    |
| 2007                                                                                                                                                                                  | 42,290.                                  | 997,905.                                     | 0.042379                                                    |
| 2006                                                                                                                                                                                  | 41,382.                                  | 902,606.                                     | 0.045847                                                    |
| <b>2 Total of line 1, column (d)</b>                                                                                                                                                  |                                          |                                              | <b>2</b> 0.255560                                           |
| <b>3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years</b> |                                          |                                              | <b>3</b> 0.051112                                           |
| <b>4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5</b>                                                                                                 |                                          |                                              | <b>4</b> 819,668.                                           |
| <b>5 Multiply line 4 by line 3</b>                                                                                                                                                    |                                          |                                              | <b>5</b> 41,895.                                            |
| <b>6 Enter 1% of net investment income (1% of Part I, line 27b)</b>                                                                                                                   |                                          |                                              | <b>6</b> 2,185.                                             |
| <b>7 Add lines 5 and 6</b>                                                                                                                                                            |                                          |                                              | <b>7</b> 44,080.                                            |
| <b>8 Enter qualifying distributions from Part XII, line 4</b>                                                                                                                         |                                          |                                              | <b>8</b> 34,787.                                            |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

|                                                                                                                                                                                                                                                   |    |        |        |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|--------|--------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . .<br>Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instructions) |    |        |        |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .                                                                                       |    | 1      | 4,371. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).                                                                                                                        |    |        |        |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                                       |    | 2      |        |
| 3 Add lines 1 and 2 . . . . .                                                                                                                                                                                                                     |    | 3      | 4,371. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                                     |    | 4      | NONE   |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-                                                                                                                                                         |    | 5      | 4,371. |
| 6 Credits/Payments:                                                                                                                                                                                                                               |    |        |        |
| a 2011 estimated tax payments and 2010 overpayment credited to 2011 . . . . .                                                                                                                                                                     | 6a | 196.   |        |
| b Exempt foreign organizations - tax withheld at source . . . . .                                                                                                                                                                                 | 6b | NONE   |        |
| c Tax paid with application for extension of time to file (Form 8868) . . . . .                                                                                                                                                                   | 6c | 4,175. |        |
| d Backup withholding erroneously withheld . . . . .                                                                                                                                                                                               | 6d |        |        |
| 7 Total credits and payments. Add lines 6a through 6d . . . . .                                                                                                                                                                                   | 7  | 4,371. |        |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached . . . . .                                                                                                                     | 8  |        |        |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .                                                                                                                                                         | 9  |        |        |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . . .                                                                                                                                            | 10 |        |        |
| 11 Enter the amount of line 10 to be: Credited to 2012 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>                                                                                                                   | 11 |        |        |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                                                    | Yes | No |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .                                                                                                                                                                                  |     | X  |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. |     | X  |
| c Did the foundation file Form 1120-POL for this year? . . . . .                                                                                                                                                                                                                                                                                                   |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____                                                                                                                                       |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____                                                                                                                                                                                           |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .<br>If "Yes," attach a detailed description of the activities.                                                                                                                                                                                          |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .                                                                                                                             |     | X  |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .                                                                                                                                                                                                                                                           |     | X  |
| b If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .                                                                                                                                                                                                                                                                                       |     |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .<br>If "Yes," attach the statement required by General Instruction T.                                                                                                                                                                                    |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .                     | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV                                                                                                                                                                                                                                | X   |    |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> MA                                                                                                                                                                                                                                  |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation . . . . .                                                                                                                                            | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV . . . . .                                                                                                   |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .                                                                                                                                                                                                                    |     | X  |

**Part VII-A Statements Regarding Activities (continued)**

|                                |                                                                                                                                                                                                                                                                                                                                    |    |     |      |
|--------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|------|
| 11                             | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)                                                                                                                                            | 11 |     | X    |
| 12                             | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)                                                                                                                                           | 12 |     | X    |
| 13                             | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?                                                                                                                                                                                                                | 13 | X   |      |
| Website address <u>N/A</u>     |                                                                                                                                                                                                                                                                                                                                    |    |     |      |
| 14                             | The books are in care of _____ Telephone no. _____                                                                                                                                                                                                                                                                                 |    |     |      |
| Located at _____ ZIP + 4 _____ |                                                                                                                                                                                                                                                                                                                                    |    |     |      |
| 15                             | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here _____ and enter the amount of tax-exempt interest received or accrued during the year _____                                                                                                                                    | 15 |     |      |
| 16                             | At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country _____ | 16 | Yes | No X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required****File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Yes | No  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|-----|
| 1a During the year did the foundation (either directly or indirectly):                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |     |     |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                                                                                            |     |     |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                                                                    |     |     |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                                                                                        |     |     |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                                                                                              |     |     |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                                     |     |     |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                   |     |     |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                            | 1b  | N/A |
| Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>                                                                                                                                                                                                                                                                                                                                                                                                                                                           |     |     |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                         | 1c  | X   |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):                                                                                                                                                                                                                                                                                                                                                                                      |     |     |
| a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                               |     |     |
| If "Yes," list the years _____                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |     |     |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                       | 2b  | X   |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. _____                                                                                                                                                                                                                                                                                                                                                                                                                                              |     |     |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                                                     |     |     |
| b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 3b  | N/A |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                                                                | 4a  | X   |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                               | 4b  | X   |

Form 990-PF (2011)

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? . . . . . ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? . . . . . ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? . . . . . ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) . . . . . ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . . ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? . . . . . **5b** N/AOrganizations relying on a current notice regarding disaster assistance check here . . . . . ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . . ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . . ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . . **6b** X

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . . . . ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . . **7b** N/A**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (if not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| SEE STATEMENT 9      |                                                           | -0-                                       | -0-                                                                   | -0-                                   |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |

**2** Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| NONE                                                          |                                                           | NONE             | NONE                                                                  | NONE                                  |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

Total number of other employees paid over \$50,000 . . . . . **NONE**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)****3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than \$50,000                               | (b) Type of service | (c) Compensation |
|-------------------------------------------------------------------------------------------|---------------------|------------------|
| NONE                                                                                      |                     | NONE             |
|                                                                                           |                     |                  |
|                                                                                           |                     |                  |
|                                                                                           |                     |                  |
|                                                                                           |                     |                  |
|                                                                                           |                     |                  |
| <b>Total number of others receiving over \$50,000 for professional services . . . . .</b> |                     | <b>NONE</b>      |

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

Expenses

|        |  |
|--------|--|
| 1 NONE |  |
| 2      |  |
| 3      |  |
| 4      |  |

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

|                                                        |  |
|--------------------------------------------------------|--|
| 1 NONE                                                 |  |
| 2                                                      |  |
| All other program-related investments See instructions |  |
| 3 NONE                                                 |  |
| <b>Total. Add lines 1 through 3 . . . . .</b>          |  |

Form 990-PF (2011)

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|          |                                                                                                             |           |          |
|----------|-------------------------------------------------------------------------------------------------------------|-----------|----------|
| <b>1</b> | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: |           |          |
| <b>a</b> | Average monthly fair market value of securities                                                             | <b>1a</b> | 742,057. |
| <b>b</b> | Average of monthly cash balances                                                                            | <b>1b</b> | 90,093.  |
| <b>c</b> | Fair market value of all other assets (see instructions)                                                    | <b>1c</b> | NONE     |
| <b>d</b> | <b>Total</b> (add lines 1a, b, and c)                                                                       | <b>1d</b> | 832,150. |
| <b>e</b> | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)   | <b>1e</b> |          |
| <b>2</b> | Acquisition indebtedness applicable to line 1 assets                                                        | <b>2</b>  | NONE     |
| <b>3</b> | Subtract line 2 from line 1d                                                                                | <b>3</b>  | 832,150. |
| <b>4</b> | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)   | <b>4</b>  | 12,482.  |
| <b>5</b> | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 | <b>5</b>  | 819,668. |
| <b>6</b> | <b>Minimum investment return.</b> Enter 5% of line 5                                                        | <b>6</b>  | 40,983.  |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|           |                                                                                                           |           |         |
|-----------|-----------------------------------------------------------------------------------------------------------|-----------|---------|
| <b>1</b>  | Minimum investment return from Part X, line 6                                                             | <b>1</b>  | 40,983. |
| <b>2a</b> | Tax on investment income for 2011 from Part VI, line 5                                                    | <b>2a</b> | 4,371.  |
| <b>b</b>  | Income tax for 2011. (This does not include the tax from Part VI.)                                        | <b>2b</b> |         |
| <b>c</b>  | Add lines 2a and 2b                                                                                       | <b>2c</b> | 4,371.  |
| <b>3</b>  | Distributable amount before adjustments. Subtract line 2c from line 1                                     | <b>3</b>  | 36,612. |
| <b>4</b>  | Recoveries of amounts treated as qualifying distributions                                                 | <b>4</b>  | NONE    |
| <b>5</b>  | Add lines 3 and 4                                                                                         | <b>5</b>  | 36,612. |
| <b>6</b>  | Deduction from distributable amount (see instructions)                                                    | <b>6</b>  | NONE    |
| <b>7</b>  | <b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | <b>7</b>  | 36,612. |

**Part XII Qualifying Distributions** (see instructions)

|          |                                                                                                                                                      |           |         |
|----------|------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|---------|
| <b>1</b> | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:                                                           |           |         |
| <b>a</b> | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26                                                                        | <b>1a</b> | 34,787. |
| <b>b</b> | Program-related investments - total from Part IX-B                                                                                                   | <b>1b</b> |         |
| <b>2</b> | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                                            | <b>2</b>  | NONE    |
| <b>3</b> | Amounts set aside for specific charitable projects that satisfy the:                                                                                 |           |         |
| <b>a</b> | Suitability test (prior IRS approval required)                                                                                                       | <b>3a</b> | NONE    |
| <b>b</b> | Cash distribution test (attach the required schedule)                                                                                                | <b>3b</b> | NONE    |
| <b>4</b> | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                                    | <b>4</b>  | 34,787. |
| <b>5</b> | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) | <b>5</b>  | N/A     |
| <b>6</b> | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4                                                                                | <b>6</b>  | 34,787. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

|                                                                                                                                                                                      | (a)<br>Corpus | (b)<br>Years prior to 2010 | (c)<br>2010 | (d)<br>2011 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2011 from Part XI, line 7 . . . . .                                                                                                                       |               |                            |             | 36,612.     |
| 2 Undistributed income, if any, as of the end of 2011:                                                                                                                               |               |                            |             |             |
| a Enter amount for 2010 only . . . . .                                                                                                                                               |               |                            | 28,940.     |             |
| b Total for prior years: 20 <u>09</u> , 20 <u>    </u> , 20 <u>    </u> . . . . .                                                                                                    |               | NONE                       |             |             |
| 3 Excess distributions carryover, if any, to 2011:                                                                                                                                   |               |                            |             |             |
| a From 2006 . . . . .                                                                                                                                                                | NONE          |                            |             |             |
| b From 2007 . . . . .                                                                                                                                                                | NONE          |                            |             |             |
| c From 2008 . . . . .                                                                                                                                                                | NONE          |                            |             |             |
| d From 2009 . . . . .                                                                                                                                                                | NONE          |                            |             |             |
| e From 2010 . . . . .                                                                                                                                                                | NONE          |                            |             |             |
| f Total of lines 3a through e . . . . .                                                                                                                                              | NONE          |                            |             |             |
| 4 Qualifying distributions for 2011 from Part XII, line 4: ► \$ <u>34,787.</u>                                                                                                       |               |                            |             |             |
| a Applied to 2010, but not more than line 2a . . . . .                                                                                                                               |               |                            | 28,940.     |             |
| b Applied to undistributed income of prior years (Election required - see instructions) . . . . .                                                                                    |               | NONE                       |             |             |
| c Treated as distributions out of corpus (Election required - see instructions) . . . . .                                                                                            | NONE          |                            |             |             |
| d Applied to 2011 distributable amount . . . . .                                                                                                                                     |               |                            |             | 5,847.      |
| e Remaining amount distributed out of corpus . . . . .                                                                                                                               | NONE          |                            |             |             |
| 5 Excess distributions carryover applied to 2011 . . . . .<br>(If an amount appears in column (d), the same amount must be shown in column (a).)                                     | NONE          |                            |             | NONE        |
| 6 Enter the net total of each column as indicated below:                                                                                                                             |               |                            |             |             |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .                                                                                                                        | NONE          |                            |             |             |
| b Prior years' undistributed income. Subtract line 4b from line 2b . . . . .                                                                                                         |               | NONE                       |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . . |               | NONE                       |             |             |
| d Subtract line 6c from line 6b. Taxable amount - see instructions . . . . .                                                                                                         |               | NONE                       |             |             |
| e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instructions . . . . .                                                                          |               |                            | NONE        |             |
| f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012 . . . . .                                                              |               |                            |             | 30,765.     |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . . . .                                  | NONE          |                            |             |             |
| 8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions) . . . . .                                                                              | NONE          |                            |             |             |
| 9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a . . . . .                                                                                              | NONE          |                            |             |             |
| 10 Analysis of line 9:                                                                                                                                                               |               |                            |             |             |
| a Excess from 2007 . . . . .                                                                                                                                                         | NONE          |                            |             |             |
| b Excess from 2008 . . . . .                                                                                                                                                         | NONE          |                            |             |             |
| c Excess from 2009 . . . . .                                                                                                                                                         | NONE          |                            |             |             |
| d Excess from 2010 . . . . .                                                                                                                                                         | NONE          |                            |             |             |
| e Excess from 2011 . . . . .                                                                                                                                                         | NONE          |                            |             |             |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

NOT APPLICABLE

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

- 2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year

**Prior 3 years**

**(e) Total**

**b** 85% of line 2a . . . . .

**c** Qualifying distributions from Part XII, line 4 for each year listed . . .

**d** Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .

**e** Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon

**a** "Assets" alternative test - enter

**(1) Value of all assets . . . .**

**(2) Value of assets qualifying under section**

4942(j)(3)(B)(i). . . .

**b** "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

**C** "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).

(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii) . . . . .

**(3) Largest amount of support from an exempt organization . . . . .**

**(4) Gross investment income .**

**Part XV** **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**

## 1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number of the person to whom applications should be addressed:**

- b The form in which applications should be submitted and information and materials they should include:**

- c Any submission deadlines:**

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient                                             | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount            |
|-------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|-------------------|
| Name and address (home or business)                   |                                                                                                           |                                |                                  |                   |
| <b>a Paid during the year</b><br><br>SEE STATEMENT 11 |                                                                                                           |                                |                                  |                   |
| <b>Total</b> .....                                    |                                                                                                           |                                |                                  | <b>3a</b> 29,000. |
| <b>b Approved for future payment</b>                  |                                                                                                           |                                |                                  |                   |
| <b>Total</b> .....                                    |                                                                                                           |                                |                                  | <b>3b</b>         |

**Enter gross amounts unless otherwise indicated.**

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No.<br>▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.) |
|---------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|               |                                                                                                                                                                                                                                                   |

NOT APPLICABLE

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

- | 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?                                                                                                                                          |       | Yes | No |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|-----|----|
| a Transfers from the reporting foundation to a noncharitable exempt organization of:                                                                                                                                                                                                                                                                                                           |       |     |    |
| (1) Cash . . . . .                                                                                                                                                                                                                                                                                                                                                                             | 1a(1) |     | X  |
| (2) Other assets . . . . .                                                                                                                                                                                                                                                                                                                                                                     | 1a(2) |     | X  |
| b Other transactions:                                                                                                                                                                                                                                                                                                                                                                          |       |     |    |
| (1) Sales of assets to a noncharitable exempt organization . . . . .                                                                                                                                                                                                                                                                                                                           | 1b(1) |     | X  |
| (2) Purchases of assets from a noncharitable exempt organization . . . . .                                                                                                                                                                                                                                                                                                                     | 1b(2) |     | X  |
| (3) Rental of facilities, equipment, or other assets . . . . .                                                                                                                                                                                                                                                                                                                                 | 1b(3) |     | X  |
| (4) Reimbursement arrangements . . . . .                                                                                                                                                                                                                                                                                                                                                       | 1b(4) |     | X  |
| (5) Loans or loan guarantees . . . . .                                                                                                                                                                                                                                                                                                                                                         | 1b(5) |     | X  |
| (6) Performance of services or membership or fundraising solicitations . . . . .                                                                                                                                                                                                                                                                                                               | 1b(6) |     | X  |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees . . . . .                                                                                                                                                                                                                                                                                                   | 1c    |     | X  |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |       |     |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b If "Yes," complete the following schedule.**

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
| N/A                      |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

  
Signature of officer or trustee

Date 6/14/2012

▶ Trustee  
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name

JEFFREY E. KUHLIN

Preparer's signature

Preparer's signature John E. Kuhlman

Date \_\_\_\_\_

05/09/201

Check ☐ if self-employed

PTIN

P00353001

Firm's name ► KPMG LLP

Firm's EIN ► 13-5565207

Firm's address ► 100 WESTMINSTER ST, 6TH FL, SUITE A  
PROVIDENCE, RI 02903-

Phone no. 800-770-8444

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                             |                    |                           |                              | P or D | Date acquired          | Date sold  |
|----------------------------------------|--------------------------------|-------------------------------------------------------------------------|--------------------|---------------------------|------------------------------|--------|------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                     | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis |        | Gain or (loss)         |            |
|                                        |                                | TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS                                  |                    |                           |                              |        | 1,033.                 |            |
| 20.00                                  |                                | .5 AMC NETWORKS INC<br>PROPERTY TYPE: SECURITIES<br>12.00               |                    |                           |                              |        | 11/09/2007<br>8.00     | 07/12/2011 |
| 2,309.00                               |                                | 62. AMC NETWORKS INC<br>PROPERTY TYPE: SECURITIES<br>1,238.00           |                    |                           |                              |        | 11/09/2007<br>1,071.00 | 07/15/2011 |
| 3,480.00                               |                                | 115. AT&T INC<br>PROPERTY TYPE: SECURITIES<br>2,830.00                  |                    |                           |                              |        | 02/23/2010<br>650.00   | 07/15/2011 |
| 641.00                                 |                                | 20. AKAMAI TECHNOLOGIES INC<br>PROPERTY TYPE: SECURITIES<br>790.00      |                    |                           |                              |        | 08/06/2010<br>-149.00  | 05/17/2011 |
| 1,217.00                               |                                | 38. AKAMAI TECHNOLOGIES INC<br>PROPERTY TYPE: SECURITIES<br>1,400.00    |                    |                           |                              |        | 03/17/2011<br>-183.00  | 05/17/2011 |
| 3,101.00                               |                                | 105. AKAMAI TECHNOLOGIES INC<br>PROPERTY TYPE: SECURITIES<br>1,747.00   |                    |                           |                              |        | 08/18/2009<br>1,354.00 | 07/15/2011 |
| 561.00                                 |                                | 19. AKAMAI TECHNOLOGIES INC<br>PROPERTY TYPE: SECURITIES<br>700.00      |                    |                           |                              |        | 03/17/2011<br>-139.00  | 07/15/2011 |
| 1,161.00                               |                                | 28.00026 ALBEMARLE CORP<br>PROPERTY TYPE: SECURITIES<br>1,644.00        |                    |                           |                              |        | 08/15/2011<br>-483.00  | 09/22/2011 |
| 2,031.00                               |                                | 48.99974 ALBEMARLE CORP<br>PROPERTY TYPE: SECURITIES<br>2,877.00        |                    |                           |                              |        | 08/15/2011<br>-846.00  | 09/22/2011 |
| 1,485.00                               |                                | 39. ALLEGHENY TECHNOLOGIES INC<br>PROPERTY TYPE: SECURITIES<br>1,911.00 |                    |                           |                              |        | 08/15/2011<br>-426.00  | 10/20/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                             |                          |                                 |                                    | P<br>or<br>D | Date<br>acquired        | Date sold  |
|----------------------------------------------|---------------------------------------|-------------------------------------------------------------------------|--------------------------|---------------------------------|------------------------------------|--------------|-------------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                               | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss)    |            |
| 1,603.00                                     |                                       | 34. ALLEGHENY TECHNOLOGIES INC<br>PROPERTY TYPE: SECURITIES<br>1,666.00 |                          |                                 |                                    |              | 08/15/2011<br>-63.00    | 11/17/2011 |
| 1,327.00                                     |                                       | 31. ALLEGHENY TECHNOLOGIES INC<br>PROPERTY TYPE: SECURITIES<br>1,519.00 |                          |                                 |                                    |              | 08/15/2011<br>-192.00   | 11/23/2011 |
| 668.00                                       |                                       | 4. AMAZON COM INC<br>PROPERTY TYPE: SECURITIES<br>113.00                |                          |                                 |                                    |              | 08/21/2006<br>555.00    | 03/10/2011 |
| 12,893.00                                    |                                       | 61. AMAZON COM INC<br>PROPERTY TYPE: SECURITIES<br>1,716.00             |                          |                                 |                                    |              | 08/21/2006<br>11,177.00 | 07/15/2011 |
| 636.00                                       |                                       | 11. AMGEN INC<br>PROPERTY TYPE: SECURITIES<br>670.00                    |                          |                                 |                                    |              | 11/01/2000<br>-34.00    | 06/17/2011 |
| 1,858.00                                     |                                       | 33. AMGEN INC<br>PROPERTY TYPE: SECURITIES<br>1,947.00                  |                          |                                 |                                    |              | 06/21/2007<br>-89.00    | 07/14/2011 |
| 4,503.00                                     |                                       | 82. AMGEN INC<br>PROPERTY TYPE: SECURITIES<br>4,528.00                  |                          |                                 |                                    |              | 06/21/2007<br>-25.00    | 07/15/2011 |
| 1,584.00                                     |                                       | 20. ANADARKO PETE CORP<br>PROPERTY TYPE: SECURITIES<br>921.00           |                          |                                 |                                    |              | 08/24/2010<br>663.00    | 07/15/2011 |
| 9,899.00                                     |                                       | 125. ANADARKO PETE CORP<br>PROPERTY TYPE: SECURITIES<br>3,839.00        |                          |                                 |                                    |              | 05/31/2005<br>6,060.00  | 07/15/2011 |
| 2,233.00                                     |                                       | 40. ANHEUSER BUSCH INBEV SA/NV<br>PROPERTY TYPE: SECURITIES<br>2,304.00 |                          |                                 |                                    |              | 12/07/2010<br>-71.00    | 07/15/2011 |
| 1,172.00                                     |                                       | 21. ANHEUSER BUSCH INBEV SA/NV<br>PROPERTY TYPE: SECURITIES<br>1,211.00 |                          |                                 |                                    |              | 01/06/2011<br>-39.00    | 07/15/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                            |                    |                           |                              | P or D | Date acquired           | Date sold  |
|----------------------------------------|--------------------------------|------------------------------------------------------------------------|--------------------|---------------------------|------------------------------|--------|-------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                    | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis |        | Gain or (loss)          |            |
| 2,110.00                               |                                | 118. ANNALY CAPITOL MGMT INC<br>PROPERTY TYPE: SECURITIES<br>2,129.00  |                    |                           |                              |        | 07/12/2011<br>-19.00    | 07/15/2011 |
| 3,802.00                               |                                | 31. APACHE CORP<br>PROPERTY TYPE: SECURITIES<br>2,860.00               |                    |                           |                              |        | 08/12/2010<br>942.00    | 07/15/2011 |
| 3,189.00                               |                                | 26. APACHE CORP<br>PROPERTY TYPE: SECURITIES<br>3,048.00               |                    |                           |                              |        | 06/17/2011<br>141.00    | 07/15/2011 |
| 1,622.00                               |                                | 4. APPLE COMPUTER INC<br>PROPERTY TYPE: SECURITIES<br>1,531.00         |                    |                           |                              |        | 08/15/2011<br>91.00     | 10/19/2011 |
| 2,387.00                               |                                | 6. APPLE COMPUTER INC<br>PROPERTY TYPE: SECURITIES<br>2,297.00         |                    |                           |                              |        | 08/15/2011<br>90.00     | 10/20/2011 |
| 4,523.00                               |                                | 365. APPLIED MATERIALS INC<br>PROPERTY TYPE: SECURITIES<br>5,739.00    |                    |                           |                              |        | 03/23/2010<br>-1,216.00 | 07/15/2011 |
| 387.00                                 |                                | 23. ARCELORMITTAL-NY REGISTERED<br>PROPERTY TYPE: SECURITIES<br>516.00 |                    |                           |                              |        | 08/11/2011<br>-129.00   | 11/28/2011 |
| 380.00                                 |                                | 20. ARCELORMITTAL-NY REGISTERED<br>PROPERTY TYPE: SECURITIES<br>448.00 |                    |                           |                              |        | 08/11/2011<br>-68.00    | 12/01/2011 |
| 714.00                                 |                                | 38. ARCELORMITTAL-NY REGISTERED<br>PROPERTY TYPE: SECURITIES<br>852.00 |                    |                           |                              |        | 08/11/2011<br>-138.00   | 12/09/2011 |
| 190.00                                 |                                | 11. ARCELORMITTAL-NY REGISTERED<br>PROPERTY TYPE: SECURITIES<br>247.00 |                    |                           |                              |        | 08/11/2011<br>-57.00    | 12/12/2011 |
| 332.00                                 |                                | 12. ARM HOLDINGS PLC-SPONS ADR<br>PROPERTY TYPE: SECURITIES<br>303.00  |                    |                           |                              |        | 08/11/2011<br>29.00     | 10/11/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                                  |                          |                                 |                                    | P<br>or<br>D | Date<br>acquired       | Date sold  |
|----------------------------------------------|---------------------------------------|------------------------------------------------------------------------------|--------------------------|---------------------------------|------------------------------------|--------------|------------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                                    | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss)   |            |
| 3,855.00                                     |                                       | 175. ATLAS COPCO AB<br>PROPERTY TYPE: SECURITIES<br>2,129.00                 |                          |                                 |                                    |              | 09/17/2009<br>1,726.00 | 07/15/2011 |
| 5,042.00                                     |                                       | 140. AUTODESK INC<br>PROPERTY TYPE: SECURITIES<br>1,308.00                   |                          |                                 |                                    |              | 03/16/2009<br>3,734.00 | 07/15/2011 |
| 3,351.00                                     |                                       | 175. AXA S A SPONSORED ADR<br>PROPERTY TYPE: SECURITIES<br>2,418.00          |                          |                                 |                                    |              | 03/16/2009<br>933.00   | 07/15/2011 |
| 864.00                                       |                                       | 9. BASF AKTIENGESELLSCHAFT-LEVEL 1<br>PROPERTY TYPE: SECURITIES<br>727.00    |                          |                                 |                                    |              | 11/05/2010<br>137.00   | 07/15/2011 |
| 4,800.00                                     |                                       | 50. BASF AKTIENGESELLSCHAFT-LEVEL 1<br>PROPERTY TYPE: SECURITIES<br>2,925.00 |                          |                                 |                                    |              | 10/28/2009<br>1,875.00 | 07/15/2011 |
| 1,208.00                                     |                                       | 59. BNP PARIBAS SPND ADR<br>PROPERTY TYPE: SECURITIES<br>1,540.00            |                          |                                 |                                    |              | 08/11/2011<br>-332.00  | 09/16/2011 |
| 582.00                                       |                                       | 34. BNP PARIBAS SPND ADR<br>PROPERTY TYPE: SECURITIES<br>887.00              |                          |                                 |                                    |              | 08/11/2011<br>-305.00  | 09/20/2011 |
| 677.00                                       |                                       | 42. BNP PARIBAS SPND ADR<br>PROPERTY TYPE: SECURITIES<br>1,096.00            |                          |                                 |                                    |              | 08/11/2011<br>-419.00  | 09/21/2011 |
| 332.00                                       |                                       | 12. BABCOCK & WILCOX CO NEW<br>PROPERTY TYPE: SECURITIES<br>374.00           |                          |                                 |                                    |              | 09/12/2008<br>-42.00   | 07/01/2011 |
| 511.00                                       |                                       | 19. BABCOCK & WILCOX CO NEW<br>PROPERTY TYPE: SECURITIES<br>453.00           |                          |                                 |                                    |              | 11/14/2008<br>58.00    | 07/05/2011 |
| 507.00                                       |                                       | 19. BABCOCK & WILCOX CO NEW<br>PROPERTY TYPE: SECURITIES<br>152.00           |                          |                                 |                                    |              | 11/14/2008<br>355.00   | 07/12/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                 | Description                                                                |                    |                           |                              | P or D | Date acquired          | Date sold  |
|----------------------------------------|---------------------------------|----------------------------------------------------------------------------|--------------------|---------------------------|------------------------------|--------|------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis                                                        | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis |        | Gain or (loss)         |            |
| 5,607.00                               |                                 | 75. BAKER HUGHES INC<br>PROPERTY TYPE: SECURITIES<br>3,935.00              |                    |                           |                              |        | 11/05/2008<br>1,672.00 | 07/15/2011 |
| 161.00                                 |                                 | 16. BANK OF AMERICA CORP<br>PROPERTY TYPE: SECURITIES<br>175.00            |                    |                           |                              |        | 06/10/2011<br>-14.00   | 07/15/2011 |
| 1,756.00                               |                                 | 175. BANK OF AMERICA CORP<br>PROPERTY TYPE: SECURITIES<br>2,656.00         |                    |                           |                              |        | 01/08/2009<br>-900.00  | 07/15/2011 |
| 4,197.00                               |                                 | 145. BANK OF NEW YORK MELLON CORP<br>PROPERTY TYPE: SECURITIES<br>3,577.00 |                    |                           |                              |        | 09/03/2010<br>620.00   | 03/11/2011 |
| 2,599.00                               |                                 | 178. BARCLAYS PLC ADR<br>PROPERTY TYPE: SECURITIES<br>3,284.00             |                    |                           |                              |        | 03/18/2011<br>-685.00  | 07/15/2011 |
| 838.00                                 |                                 | 16. BAYER A G 00<br>PROPERTY TYPE: SECURITIES<br>1,026.00                  |                    |                           |                              |        | 08/11/2011<br>-188.00  | 09/06/2011 |
| 862.00                                 |                                 | 16. BAYER A G 00<br>PROPERTY TYPE: SECURITIES<br>1,026.00                  |                    |                           |                              |        | 08/11/2011<br>-164.00  | 09/15/2011 |
| 789.00                                 |                                 | 15. BED BATH & BEYOND INC COM<br>PROPERTY TYPE: SECURITIES<br>625.00       |                    |                           |                              |        | 01/19/2005<br>164.00   | 06/17/2011 |
| 6,122.00                               |                                 | 105. BED BATH & BEYOND INC COM<br>PROPERTY TYPE: SECURITIES<br>3,818.00    |                    |                           |                              |        | 06/11/2008<br>2,304.00 | 07/15/2011 |
| 4,649.00                               |                                 | 59. BERKSHIRE HATHAWAY INC-CL B<br>PROPERTY TYPE: SECURITIES<br>2,793.00   |                    |                           |                              |        | 04/11/2002<br>1,856.00 | 05/17/2011 |
| 3,095.00                               |                                 | 34. BHP BILLITON LTD 00<br>PROPERTY TYPE: SECURITIES<br>3,162.00           |                    |                           |                              |        | 06/29/2011<br>-67.00   | 07/15/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                      |                    |                           |                              | P or D | Date acquired          | Date sold  |
|----------------------------------------|--------------------------------|------------------------------------------------------------------|--------------------|---------------------------|------------------------------|--------|------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                              | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis |        | Gain or (loss)         |            |
| 2,275.00                               |                                | 25. BHP BILLITON LTD 00<br>PROPERTY TYPE: SECURITIES<br>1,716.00 |                    |                           |                              |        | 12/23/2009<br>559.00   | 07/15/2011 |
| 14,035.00                              |                                | 135. BIOGEN IDEC INC<br>PROPERTY TYPE: SECURITIES<br>5,884.00    |                    |                           |                              |        | 07/16/2009<br>8,151.00 | 07/15/2011 |
| 11,956.00                              |                                | 115. BIOGEN IDEC INC<br>PROPERTY TYPE: SECURITIES<br>4,055.00    |                    |                           |                              |        | 07/26/2006<br>7,901.00 | 07/15/2011 |
| 2,395.00                               |                                | 13. BLACKROCK INC<br>PROPERTY TYPE: SECURITIES<br>2,162.00       |                    |                           |                              |        | 11/09/2010<br>233.00   | 07/15/2011 |
| 553.00                                 |                                | 3. BLACKROCK INC<br>PROPERTY TYPE: SECURITIES<br>499.00          |                    |                           |                              |        | 11/09/2010<br>54.00    | 07/15/2011 |
| 5,527.00                               |                                | 30. BLACKROCK INC<br>PROPERTY TYPE: SECURITIES<br>4,223.00       |                    |                           |                              |        | 05/21/2010<br>1,304.00 | 07/15/2011 |
| 2,136.00                               |                                | 30. BOEING CO<br>PROPERTY TYPE: SECURITIES<br>2,033.00           |                    |                           |                              |        | 10/06/2005<br>103.00   | 07/15/2011 |
| 427.00                                 |                                | 6. BOEING CO<br>PROPERTY TYPE: SECURITIES<br>408.00              |                    |                           |                              |        | 03/16/2011<br>19.00    | 07/15/2011 |
| 1,994.00                               |                                | 28. BOEING CO<br>PROPERTY TYPE: SECURITIES<br>1,798.00           |                    |                           |                              |        | 09/21/2010<br>196.00   | 07/15/2011 |
| 2,791.00                               |                                | 84. BROADCOM CORP-CL A<br>PROPERTY TYPE: SECURITIES<br>2,813.00  |                    |                           |                              |        | 06/08/2011<br>-22.00   | 07/15/2011 |
| 4,154.00                               |                                | 125. BROADCOM CORP-CL A<br>PROPERTY TYPE: SECURITIES<br>3,285.00 |                    |                           |                              |        | 12/27/2007<br>869.00   | 07/15/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                                       |                    |                           |                              | P or D | Date acquired          | Date sold  |
|----------------------------------------|--------------------------------|-----------------------------------------------------------------------------------|--------------------|---------------------------|------------------------------|--------|------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                               | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis |        | Gain or (loss)         |            |
| 3,494.00                               |                                | 12. CME GROUP INC<br>PROPERTY TYPE: SECURITIES<br>3,612.00                        |                    |                           |                              |        | 03/10/2011<br>-118.00  | 07/15/2011 |
| 3,532.00                               |                                | 180. CRH PLC 00<br>PROPERTY TYPE: SECURITIES<br>4,028.00                          |                    |                           |                              |        | 02/08/2010<br>-496.00  | 07/15/2011 |
| 671.00                                 |                                | 18. CVS CORPORATION (DEL)<br>PROPERTY TYPE: SECURITIES<br>566.00                  |                    |                           |                              |        | 11/20/2009<br>105.00   | 06/17/2011 |
| 6,480.00                               |                                | 177. CVS CORPORATION (DEL)<br>PROPERTY TYPE: SECURITIES<br>5,036.00               |                    |                           |                              |        | 11/20/2009<br>1,444.00 | 07/15/2011 |
| 6,458.00                               |                                | 250. CABLEVISION SYS CORP<br>PROPERTY TYPE: SECURITIES<br>3,095.00                |                    |                           |                              |        | 11/09/2007<br>3,363.00 | 07/15/2011 |
| 2,350.00                               |                                | 47. CAMERON INTL CORP<br>PROPERTY TYPE: SECURITIES<br>2,178.00                    |                    |                           |                              |        | 07/14/2011<br>172.00   | 07/15/2011 |
| 4,764.00                               |                                | 100. CANON INC ADR REPSTG 5 SHS<br>PROPERTY TYPE: SECURITIES<br>4,719.00          |                    |                           |                              |        | 12/08/2009<br>45.00    | 07/15/2011 |
| 2,102.00                               |                                | 60. CARNIVAL CORP PAIRED CTF 1 COM CARNI<br>PROPERTY TYPE: SECURITIES<br>1,482.00 |                    |                           |                              |        | 06/15/2009<br>620.00   | 07/15/2011 |
| 6,668.00                               |                                | 110. CELGENE CORP 00<br>PROPERTY TYPE: SECURITIES<br>5,539.00                     |                    |                           |                              |        | 10/12/2009<br>1,129.00 | 07/15/2011 |
| 1,576.00                               |                                | 26. CELGENE CORP 00<br>PROPERTY TYPE: SECURITIES<br>1,357.00                      |                    |                           |                              |        | 01/28/2011<br>219.00   | 07/15/2011 |
| 2,100.00                               |                                | 83. CHICAGO BRIDGE & I NLG0.01<br>PROPERTY TYPE: SECURITIES<br>2,922.00           |                    |                           |                              |        | 08/15/2011<br>-822.00  | 10/04/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                             |                          |                                 |                                    | P<br>or<br>D | Date<br>acquired       | Date sold  |
|----------------------------------------------|---------------------------------------|-------------------------------------------------------------------------|--------------------------|---------------------------------|------------------------------------|--------------|------------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                               | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss)   |            |
| 733.00                                       |                                       | 25. CHINA MERCHANTS HLDGS INTL C<br>PROPERTY TYPE: SECURITIES<br>772.00 |                          |                                 |                                    |              | 08/11/2011<br>-39.00   | 09/08/2011 |
| 568.00                                       |                                       | 20. CHINA MERCHANTS HLDGS INTL C<br>PROPERTY TYPE: SECURITIES<br>618.00 |                          |                                 |                                    |              | 08/11/2011<br>-50.00   | 09/09/2011 |
| 374.00                                       |                                       | 14. CHINA MERCHANTS HLDGS INTL C<br>PROPERTY TYPE: SECURITIES<br>432.00 |                          |                                 |                                    |              | 08/11/2011<br>-58.00   | 09/16/2011 |
| 512.00                                       |                                       | 20. CHINA MERCHANTS HLDGS INTL C<br>PROPERTY TYPE: SECURITIES<br>618.00 |                          |                                 |                                    |              | 08/11/2011<br>-106.00  | 09/19/2011 |
| 6,090.00                                     |                                       | 98. CHUBB CORP.<br>PROPERTY TYPE: SECURITIES<br>3,589.00                |                          |                                 |                                    |              | 08/10/2007<br>2,501.00 | 07/15/2011 |
| 4,223.00                                     |                                       | 271. CISCO SYS INC<br>PROPERTY TYPE: SECURITIES<br>4,581.00             |                          |                                 |                                    |              | 08/24/2005<br>-358.00  | 07/15/2011 |
| 966.00                                       |                                       | 62. CISCO SYS INC<br>PROPERTY TYPE: SECURITIES<br>1,009.00              |                          |                                 |                                    |              | 05/26/2011<br>-43.00   | 07/15/2011 |
| 2,337.00                                     |                                       | 150. CISCO SYS INC<br>PROPERTY TYPE: SECURITIES<br>2,408.00             |                          |                                 |                                    |              | 08/30/2001<br>-71.00   | 07/15/2011 |
| 1,434.00                                     |                                       | 92. CISCO SYS INC<br>PROPERTY TYPE: SECURITIES<br>1,498.00              |                          |                                 |                                    |              | 05/26/2011<br>-64.00   | 07/15/2011 |
| 3,780.00                                     |                                       | 50. CITRIX SYS INC<br>PROPERTY TYPE: SECURITIES<br>2,952.00             |                          |                                 |                                    |              | 10/15/2010<br>828.00   | 07/15/2011 |
| 532.00                                       |                                       | 8. COCA COLA COMPANY<br>PROPERTY TYPE: SECURITIES<br>451.00             |                          |                                 |                                    |              | 09/05/2000<br>81.00    | 05/26/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                                      |                    |                           |                              | P or D | Date acquired          | Date sold  |
|----------------------------------------|--------------------------------|----------------------------------------------------------------------------------|--------------------|---------------------------|------------------------------|--------|------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                              | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis |        | Gain or (loss)         |            |
| 8,218.00                               |                                | 122. COCA COLA COMPANY<br>PROPERTY TYPE: SECURITIES<br>6,809.00                  |                    |                           |                              |        | 08/21/2007<br>1,409.00 | 07/15/2011 |
| 1,217.00                               |                                | 22. COGNIZANT TECHNOLOGY SOLUTIONS CORP<br>PROPERTY TYPE: SECURITIES<br>1,390.00 |                    |                           |                              |        | 08/15/2011<br>-173.00  | 08/18/2011 |
| 3,809.00                               |                                | 67. COGNIZANT TECHNOLOGY SOLUTIONS CORP<br>PROPERTY TYPE: SECURITIES<br>4,232.00 |                    |                           |                              |        | 08/15/2011<br>-423.00  | 08/19/2011 |
| 23.00                                  |                                | 1. COMCAST CORP NEW CL A SPL<br>PROPERTY TYPE: SECURITIES<br>22.00               |                    |                           |                              |        | 08/04/2000<br>1.00     | 07/15/2011 |
| 5,622.00                               |                                | 242. COMCAST CORP NEW CL A SPL<br>PROPERTY TYPE: SECURITIES<br>5,573.00          |                    |                           |                              |        | 07/14/2011<br>49.00    | 07/15/2011 |
| 12,986.00                              |                                | 559. COMCAST CORP NEW CL A SPL<br>PROPERTY TYPE: SECURITIES<br>10,037.00         |                    |                           |                              |        | 10/05/2009<br>2,949.00 | 07/15/2011 |
| 23.00                                  |                                | 1. COMCAST CORP NEW CL A SPL<br>PROPERTY TYPE: SECURITIES<br>22.00               |                    |                           |                              |        | 06/17/2011<br>1.00     | 07/15/2011 |
| 4,980.00                               |                                | 65. CONOCOPHILLIPS<br>PROPERTY TYPE: SECURITIES<br>3,062.00                      |                    |                           |                              |        | 12/31/2009<br>1,918.00 | 07/15/2011 |
| 3,273.00                               |                                | 106. CREE INC<br>PROPERTY TYPE: SECURITIES<br>1,785.00                           |                    |                           |                              |        | 07/26/2006<br>1,488.00 | 07/15/2011 |
| 3,582.00                               |                                | 250. DANONE<br>PROPERTY TYPE: SECURITIES<br>2,408.00                             |                    |                           |                              |        | 06/15/2009<br>1,174.00 | 07/15/2011 |
| 250.00                                 |                                | 3. DASSAULT SYS S A SPD ADR<br>PROPERTY TYPE: SECURITIES<br>239.00               |                    |                           |                              |        | 08/11/2011<br>11.00    | 11/04/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                                 |                    |                           |                              | P or D | Date acquired          | Date sold  |
|----------------------------------------|--------------------------------|-----------------------------------------------------------------------------|--------------------|---------------------------|------------------------------|--------|------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                         | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis |        | Gain or (loss)         |            |
| 313.00                                 |                                | 4. DASSAULT SYS S A SPD ADR<br>PROPERTY TYPE: SECURITIES<br>318.00          |                    |                           |                              |        | 08/11/2011<br>-5.00    | 12/14/2011 |
| 78.00                                  |                                | 1. DASSAULT SYS S A SPD ADR<br>PROPERTY TYPE: SECURITIES<br>80.00           |                    |                           |                              |        | 08/11/2011<br>-2.00    | 12/23/2011 |
| 78.00                                  |                                | 1. DASSAULT SYS S A SPD ADR<br>PROPERTY TYPE: SECURITIES<br>80.00           |                    |                           |                              |        | 08/11/2011<br>-2.00    | 12/27/2011 |
| 1,540.00                               |                                | 19. DEERE & CO<br>PROPERTY TYPE: SECURITIES<br>1,555.00                     |                    |                           |                              |        | 06/29/2011<br>-15.00   | 07/15/2011 |
| 5,675.00                               |                                | 70. DEERE & CO<br>PROPERTY TYPE: SECURITIES<br>3,822.00                     |                    |                           |                              |        | 12/04/2009<br>1,853.00 | 07/15/2011 |
| 4,366.00                               |                                | 55. DEVON ENERGY CORP NEW<br>PROPERTY TYPE: SECURITIES<br>3,474.00          |                    |                           |                              |        | 08/11/2009<br>892.00   | 07/15/2011 |
| 3,621.00                               |                                | 45. DIAGEO PLC-SPONSORED ADR (NEW)<br>PROPERTY TYPE: SECURITIES<br>1,993.00 |                    |                           |                              |        | 03/16/2009<br>1,628.00 | 07/15/2011 |
| 648.00                                 |                                | 15. WALT DISNEY CO.<br>PROPERTY TYPE: SECURITIES<br>568.00                  |                    |                           |                              |        | 07/20/2000<br>80.00    | 03/09/2011 |
| 3,860.00                               |                                | 98. WALT DISNEY CO.<br>PROPERTY TYPE: SECURITIES<br>3,709.00                |                    |                           |                              |        | 07/20/2000<br>151.00   | 07/15/2011 |
| 7,366.00                               |                                | 187. WALT DISNEY CO.<br>PROPERTY TYPE: SECURITIES<br>3,840.00               |                    |                           |                              |        | 11/24/2003<br>3,526.00 | 07/15/2011 |
| 1,603.00                               |                                | 37. DISCOVERY COMMUNICATNS NEW<br>PROPERTY TYPE: SECURITIES<br>1,453.00     |                    |                           |                              |        | 08/15/2011<br>150.00   | 11/11/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                                |                          |                                 |                                    | P<br>or<br>D | Date<br>acquired       | Date sold  |
|----------------------------------------------|---------------------------------------|----------------------------------------------------------------------------|--------------------------|---------------------------------|------------------------------------|--------------|------------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                                  | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss)   |            |
| 4,419.00                                     |                                       | 85. DIRECTV COM USD0.01 CLASS 'A'<br>PROPERTY TYPE: SECURITIES<br>1,047.00 |                          |                                 |                                    |              | 08/05/2004<br>3,372.00 | 07/15/2011 |
| 2,922.00                                     |                                       | 70. DOLBY LABORATORIES INC<br>PROPERTY TYPE: SECURITIES<br>2,017.00        |                          |                                 |                                    |              | 11/14/2008<br>905.00   | 07/15/2011 |
| 2,618.00                                     |                                       | 40. DOVER CORP<br>PROPERTY TYPE: SECURITIES<br>1,986.00                    |                          |                                 |                                    |              | 06/08/2007<br>632.00   | 07/15/2011 |
| 730.00                                       |                                       | 31. DOW CHEM CO<br>PROPERTY TYPE: SECURITIES<br>924.00                     |                          |                                 |                                    |              | 08/15/2011<br>-194.00  | 09/22/2011 |
| 2,012.00                                     |                                       | 83. DOW CHEM CO<br>PROPERTY TYPE: SECURITIES<br>2,474.00                   |                          |                                 |                                    |              | 08/15/2011<br>-462.00  | 09/22/2011 |
| 3,269.00                                     |                                       | 124. DOW CHEM CO<br>PROPERTY TYPE: SECURITIES<br>3,696.00                  |                          |                                 |                                    |              | 08/15/2011<br>-427.00  | 11/17/2011 |
| 4,797.00                                     |                                       | 94. EATON CORP<br>PROPERTY TYPE: SECURITIES<br>4,496.00                    |                          |                                 |                                    |              | 06/17/2011<br>301.00   | 07/15/2011 |
| 8,639.00                                     |                                       | 265. EBAY INC<br>PROPERTY TYPE: SECURITIES<br>7,811.00                     |                          |                                 |                                    |              | 07/17/2008<br>828.00   | 07/15/2011 |
| 3,586.00                                     |                                       | 110. EBAY INC<br>PROPERTY TYPE: SECURITIES<br>2,543.00                     |                          |                                 |                                    |              | 02/05/2010<br>1,043.00 | 07/15/2011 |
| 2,445.00                                     |                                       | 75. EBAY INC<br>PROPERTY TYPE: SECURITIES<br>1,595.00                      |                          |                                 |                                    |              | 08/06/2010<br>850.00   | 07/15/2011 |
| 2,104.00                                     |                                       | 71. EBAY INC<br>PROPERTY TYPE: SECURITIES<br>2,173.00                      |                          |                                 |                                    |              | 08/15/2011<br>-69.00   | 11/17/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                 | Description                                                                |                    |                           |                              | P or D | Date acquired          | Date sold  |
|----------------------------------------|---------------------------------|----------------------------------------------------------------------------|--------------------|---------------------------|------------------------------|--------|------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis                                                        | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis |        | Gain or (loss)         |            |
| 1,631.00                               |                                 | 100. ELSTER GROUP SEADR<br>PROPERTY TYPE: SECURITIES<br>1,604.00           |                    |                           |                              |        | 06/29/2011<br>27.00    | 07/15/2011 |
| 529.00                                 |                                 | 18. EMCOR GROUP INC<br>PROPERTY TYPE: SECURITIES<br>407.00                 |                    |                           |                              |        | 08/30/2010<br>122.00   | 07/15/2011 |
| 4,676.00                               |                                 | 85. EMERSON ELEC CO<br>PROPERTY TYPE: SECURITIES<br>2,161.00               |                    |                           |                              |        | 06/17/2003<br>2,515.00 | 07/15/2011 |
| 5,544.00                               |                                 | 400. ERICSSON (LM) TEL TEL-SP ADR<br>PROPERTY TYPE: SECURITIES<br>3,955.00 |                    |                           |                              |        | 01/26/2010<br>1,589.00 | 07/15/2011 |
| 1,404.00                               |                                 | 65. EXPEDIA INC DEL<br>PROPERTY TYPE: SECURITIES<br>1,258.00               |                    |                           |                              |        | 08/05/2008<br>146.00   | 02/11/2011 |
| 981.00                                 |                                 | 49. EXPEDIA INC DEL<br>PROPERTY TYPE: SECURITIES<br>831.00                 |                    |                           |                              |        | 08/05/2008<br>150.00   | 02/24/2011 |
| 1,726.00                               |                                 | 86. EXPEDIA INC DEL<br>PROPERTY TYPE: SECURITIES<br>1,290.00               |                    |                           |                              |        | 06/19/2006<br>436.00   | 02/25/2011 |
| 682.00                                 |                                 | 24. FANUC CORPORATION<br>PROPERTY TYPE: SECURITIES<br>668.00               |                    |                           |                              |        | 08/11/2011<br>14.00    | 08/17/2011 |
| 1,044.00                               |                                 | 35. FIRST REP BK SAN FRAN CALI N<br>PROPERTY TYPE: SECURITIES<br>1,099.00  |                    |                           |                              |        | 07/01/2011<br>-55.00   | 07/15/2011 |
| 1,702.00                               |                                 | 11. FIRST SOLAR INC<br>PROPERTY TYPE: SECURITIES<br>1,366.00               |                    |                           |                              |        | 11/18/2010<br>336.00   | 03/28/2011 |
| 1,087.00                               |                                 | 7. FIRST SOLAR INC<br>PROPERTY TYPE: SECURITIES<br>868.00                  |                    |                           |                              |        | 04/09/2010<br>219.00   | 04/05/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                                  |                          |                                 |                                    | P<br>or<br>D | Date<br>acquired       | Date sold  |
|----------------------------------------------|---------------------------------------|------------------------------------------------------------------------------|--------------------------|---------------------------------|------------------------------------|--------------|------------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                                    | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss)   |            |
| 3,167.00                                     |                                       | 22. FIRST SOLAR INC<br>PROPERTY TYPE: SECURITIES<br>2,481.00                 |                          |                                 |                                    |              | 04/09/2010<br>686.00   | 04/29/2011 |
| 2,467.00                                     |                                       | 38. FLUOR CORP NEW<br>PROPERTY TYPE: SECURITIES<br>1,638.00                  |                          |                                 |                                    |              | 04/07/2009<br>829.00   | 07/15/2011 |
| 5,647.00                                     |                                       | 87. FLUOR CORP NEW<br>PROPERTY TYPE: SECURITIES<br>2,887.00                  |                          |                                 |                                    |              | 04/07/2009<br>2,760.00 | 07/15/2011 |
| 10,552.00                                    |                                       | 275. FOREST LABS INC<br>PROPERTY TYPE: SECURITIES<br>8,747.00                |                          |                                 |                                    |              | 05/11/2010<br>1,805.00 | 07/15/2011 |
| 2,346.00                                     |                                       | 194. FORTUNE BRANDS HOME & SEC IN<br>PROPERTY TYPE: SECURITIES<br>2,482.00   |                          |                                 |                                    |              | 08/15/2011<br>-136.00  | 10/05/2011 |
| 3,909.00                                     |                                       | 30. FRANKLIN RES INC<br>PROPERTY TYPE: SECURITIES<br>1,698.00                |                          |                                 |                                    |              | 03/24/2009<br>2,211.00 | 07/15/2011 |
| 5,834.00                                     |                                       | 106. FREEPORT MCMORAN COPPER & GOLD<br>PROPERTY TYPE: SECURITIES<br>1,193.00 |                          |                                 |                                    |              | 01/20/2009<br>4,641.00 | 07/15/2011 |
| 657.00                                       |                                       | 35. GAP INC. DEL<br>PROPERTY TYPE: SECURITIES<br>665.00                      |                          |                                 |                                    |              | 10/21/2010<br>-8.00    | 07/15/2011 |
| 1,314.00                                     |                                       | 70. GAP INC. DEL<br>PROPERTY TYPE: SECURITIES<br>1,330.00                    |                          |                                 |                                    |              | 07/09/2007<br>-16.00   | 07/15/2011 |
| 606.00                                       |                                       | 53. GAZPROM OAO SPD ADR<br>PROPERTY TYPE: SECURITIES<br>610.00               |                          |                                 |                                    |              | 08/11/2011<br>-4.00    | 09/09/2011 |
| 1,765.00                                     |                                       | 163. GAZPROM OAO SPD ADR<br>PROPERTY TYPE: SECURITIES<br>1,875.00            |                          |                                 |                                    |              | 08/11/2011<br>-110.00  | 09/12/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                                   |                          |                                 |                                    | P<br>or<br>D | Date<br>acquired         | Date sold  |
|----------------------------------------------|---------------------------------------|-------------------------------------------------------------------------------|--------------------------|---------------------------------|------------------------------------|--------------|--------------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                                     | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss)     |            |
| 6,793.00                                     |                                       | 370. GENERAL ELEC CO.<br>PROPERTY TYPE: SECURITIES<br>17,041.00               |                          |                                 |                                    |              | 03/28/2007<br>-10,248.00 | 07/15/2011 |
| 7,906.00                                     |                                       | 105. GENZYME CORP<br>PROPERTY TYPE: SECURITIES<br>3,449.00                    |                          |                                 |                                    |              | 07/20/2000<br>4,457.00   | 02/17/2011 |
| 2,129.00                                     |                                       | 55. GLAXO WELLCOME PLC SPONSORED ADR<br>PROPERTY TYPE: SECURITIES<br>2,321.00 |                          |                                 |                                    |              | 01/20/2010<br>-192.00    | 03/31/2011 |
| 1,941.00                                     |                                       | 45. GLAXO WELLCOME PLC SPONSORED ADR<br>PROPERTY TYPE: SECURITIES<br>1,765.00 |                          |                                 |                                    |              | 01/20/2010<br>176.00     | 07/15/2011 |
| 5,973.00                                     |                                       | 10. GOOGLE INC CL A<br>PROPERTY TYPE: SECURITIES<br>3,880.00                  |                          |                                 |                                    |              | 10/28/2008<br>2,093.00   | 07/15/2011 |
| 1,195.00                                     |                                       | 2. GOOGLE INC CL A<br>PROPERTY TYPE: SECURITIES<br>975.00                     |                          |                                 |                                    |              | 07/26/2010<br>220.00     | 07/15/2011 |
| 528.00                                       |                                       | 11. GREENHILL & CO INC<br>PROPERTY TYPE: SECURITIES<br>572.00                 |                          |                                 |                                    |              | 07/12/2011<br>-44.00     | 07/15/2011 |
| 3,246.00                                     |                                       | 150. GRUPO TELEVISIA SA DE CV GLOBAL<br>PROPERTY TYPE: SECURITIES<br>1,989.00 |                          |                                 |                                    |              | 03/16/2009<br>1,257.00   | 07/15/2011 |
| 2,417.00                                     |                                       | 50. HSBC HOLDINGS PLC-SPONS ADR<br>PROPERTY TYPE: SECURITIES<br>2,040.00      |                          |                                 |                                    |              | 08/18/2009<br>377.00     | 07/15/2011 |
| 1,895.00                                     |                                       | 41. HALLIBURTON CO<br>PROPERTY TYPE: SECURITIES<br>1,526.00                   |                          |                                 |                                    |              | 12/31/2009<br>369.00     | 05/13/2011 |
| 4,977.00                                     |                                       | 94. HALLIBURTON CO<br>PROPERTY TYPE: SECURITIES<br>2,603.00                   |                          |                                 |                                    |              | 12/31/2009<br>2,374.00   | 07/15/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                              |                          |                                 |                                    | P<br>or<br>D | Date<br>acquired        | Date sold  |
|----------------------------------------------|---------------------------------------|--------------------------------------------------------------------------|--------------------------|---------------------------------|------------------------------------|--------------|-------------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                                | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss)    |            |
| 2,191.00                                     |                                       | 63. HASBRO INC<br>PROPERTY TYPE: SECURITIES<br>2,381.00                  |                          |                                 |                                    |              | 08/15/2011<br>-190.00   | 10/13/2011 |
| 2,142.00                                     |                                       | 43. HEINZ H J CO<br>PROPERTY TYPE: SECURITIES<br>2,215.00                |                          |                                 |                                    |              | 08/15/2011<br>-73.00    | 09/13/2011 |
| 4,194.00                                     |                                       | 82. HEINZ H J CO<br>PROPERTY TYPE: SECURITIES<br>4,224.00                |                          |                                 |                                    |              | 08/15/2011<br>-30.00    | 09/15/2011 |
| 2,906.00                                     |                                       | 59. HEINZ H J CO<br>PROPERTY TYPE: SECURITIES<br>3,039.00                |                          |                                 |                                    |              | 08/15/2011<br>-133.00   | 10/05/2011 |
| 1,580.00                                     |                                       | 31. HEINZ H J CO<br>PROPERTY TYPE: SECURITIES<br>1,597.00                |                          |                                 |                                    |              | 08/15/2011<br>-17.00    | 10/11/2011 |
| 1,947.00                                     |                                       | 38. HEINZ H J CO<br>PROPERTY TYPE: SECURITIES<br>1,957.00                |                          |                                 |                                    |              | 08/15/2011<br>-10.00    | 10/12/2011 |
| 5,408.00                                     |                                       | 75. HESS CORP<br>PROPERTY TYPE: SECURITIES<br>4,018.00                   |                          |                                 |                                    |              | 08/17/2009<br>1,390.00  | 07/15/2011 |
| 3,987.00                                     |                                       | 112. HOME DEPOT INC<br>PROPERTY TYPE: SECURITIES<br>5,505.00             |                          |                                 |                                    |              | 02/24/2006<br>-1,518.00 | 07/15/2011 |
| 6,159.00                                     |                                       | 173. HOME DEPOT INC<br>PROPERTY TYPE: SECURITIES<br>5,927.00             |                          |                                 |                                    |              | 03/31/2008<br>232.00    | 07/15/2011 |
| 5,025.00                                     |                                       | 125. HONDA MTR LTD<br>PROPERTY TYPE: SECURITIES<br>4,060.00              |                          |                                 |                                    |              | 08/18/2009<br>965.00    | 07/15/2011 |
| 4,843.00                                     |                                       | 85. HONEYWELL INTERNATIONAL INC<br>PROPERTY TYPE: SECURITIES<br>2,537.00 |                          |                                 |                                    |              | 04/17/2003<br>2,306.00  | 07/15/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                                 |                    |                           |                              | P or D | Date acquired          | Date sold  |
|----------------------------------------|--------------------------------|-----------------------------------------------------------------------------|--------------------|---------------------------|------------------------------|--------|------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                         | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis |        | Gain or (loss)         |            |
| 3,296.00                               |                                | 1452. HONG KONG & CHINA GAS-SP ADR<br>PROPERTY TYPE: SECURITIES<br>2,469.00 |                    |                           |                              |        | 06/03/2009<br>827.00   | 07/15/2011 |
| 1,986.00                               |                                | 86. HUMAN GENOME SCIENCES INC<br>PROPERTY TYPE: SECURITIES<br>2,169.00      |                    |                           |                              |        | 02/28/2011<br>-183.00  | 07/15/2011 |
| 27.00                                  |                                | .6666 HUNTINGTON INGALLS INDS INC<br>PROPERTY TYPE: SECURITIES<br>17.00     |                    |                           |                              |        | 06/24/2009<br>10.00    | 04/08/2011 |
| 426.00                                 |                                | 11. HUNTINGTON INGALLS INDS INC<br>PROPERTY TYPE: SECURITIES<br>284.00      |                    |                           |                              |        | 06/24/2009<br>142.00   | 05/11/2011 |
| 4,238.00                               |                                | 200. HUTCHISON WHAMPOA LTD ADR<br>PROPERTY TYPE: SECURITIES<br>2,088.00     |                    |                           |                              |        | 03/16/2009<br>2,150.00 | 07/15/2011 |
| 2,262.00                               |                                | 92. ICON PUB LTD CO<br>PROPERTY TYPE: SECURITIES<br>2,553.00                |                    |                           |                              |        | 06/14/2010<br>-291.00  | 07/15/2011 |
| 1,057.00                               |                                | 43. ICON PUB LTD CO<br>PROPERTY TYPE: SECURITIES<br>939.00                  |                    |                           |                              |        | 09/28/2010<br>118.00   | 07/15/2011 |
| 295.00                                 |                                | 12. ICON PUB LTD CO<br>PROPERTY TYPE: SECURITIES<br>272.00                  |                    |                           |                              |        | 01/28/2011<br>23.00    | 07/15/2011 |
| 2,846.00                               |                                | 186. IMMUNOGEN INC<br>PROPERTY TYPE: SECURITIES<br>1,447.00                 |                    |                           |                              |        | 11/08/2010<br>1,399.00 | 07/15/2011 |
| 4,821.00                               |                                | 450. ING GROEP N.V.<br>PROPERTY TYPE: SECURITIES<br>2,020.00                |                    |                           |                              |        | 12/08/2009<br>2,801.00 | 07/15/2011 |
| 2,067.00                               |                                | 100. INTEL CORP<br>PROPERTY TYPE: SECURITIES<br>1,896.00                    |                    |                           |                              |        | 04/24/2006<br>171.00   | 01/06/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                                  |                    |                          |                              | P or D | Date acquired          | Date sold  |
|----------------------------------------|--------------------------------|------------------------------------------------------------------------------|--------------------|--------------------------|------------------------------|--------|------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                          | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis |        | Gain or (loss)         |            |
| 1,570.00                               |                                | 35. INTERDIGITAL INC<br>PROPERTY TYPE: SECURITIES<br>2,139.00                |                    |                          |                              |        | 09/20/2011<br>-569.00  | 10/27/2011 |
| 2,640.00                               |                                | 60. INTERDIGITAL INC<br>PROPERTY TYPE: SECURITIES<br>3,599.00                |                    |                          |                              |        | 08/15/2011<br>-959.00  | 10/28/2011 |
| 1,459.00                               |                                | 160. ISIS PHARMACEUTICALS<br>PROPERTY TYPE: SECURITIES<br>1,457.00           |                    |                          |                              |        | 10/15/2010<br>2.00     | 07/15/2011 |
| 5,589.00                               |                                | 140. J. P . MORGAN CHASE & CO F/K/A<br>PROPERTY TYPE: SECURITIES<br>4,147.00 |                    |                          |                              |        | 05/21/2008<br>1,442.00 | 07/15/2011 |
| 798.00                                 |                                | 20. J. P . MORGAN CHASE & CO F/K/A<br>PROPERTY TYPE: SECURITIES<br>751.00    |                    |                          |                              |        | 10/29/2010<br>47.00    | 07/15/2011 |
| 826.00                                 |                                | 20. JACOBS ENGR GROUP INC<br>PROPERTY TYPE: SECURITIES<br>874.00             |                    |                          |                              |        | 08/17/2009<br>-48.00   | 07/15/2011 |
| 207.00                                 |                                | 5. JACOBS ENGR GROUP INC<br>PROPERTY TYPE: SECURITIES<br>212.00              |                    |                          |                              |        | 06/29/2011<br>-5.00    | 07/15/2011 |
| 174.00                                 |                                | 3. JOHNSON & JOHNSON<br>PROPERTY TYPE: SECURITIES<br>135.00                  |                    |                          |                              |        | 11/01/2000<br>39.00    | 03/17/2011 |
| 7,791.00                               |                                | 116. JOHNSON & JOHNSON<br>PROPERTY TYPE: SECURITIES<br>5,206.00              |                    |                          |                              |        | 11/01/2000<br>2,585.00 | 07/15/2011 |
| 5,440.00                               |                                | 81. JOHNSON & JOHNSON<br>PROPERTY TYPE: SECURITIES<br>3,635.00               |                    |                          |                              |        | 11/01/2000<br>1,805.00 | 07/15/2011 |
| 906.00                                 |                                | 10. JONES LANG LASALLE INC PAR .01<br>PROPERTY TYPE: SECURITIES<br>908.00    |                    |                          |                              |        | 06/14/2011<br>-2.00    | 07/15/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                                    |                    |                          |                              | P or D | Date acquired          | Date sold  |
|----------------------------------------|--------------------------------|--------------------------------------------------------------------------------|--------------------|--------------------------|------------------------------|--------|------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                            | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis |        | Gain or (loss)         |            |
| 2,266.00                               |                                | 25. JONES LANG LASALLE INC PAR .01<br>PROPERTY TYPE: SECURITIES<br>817.00      |                    |                          |                              |        | 07/06/2009<br>1,449.00 | 07/15/2011 |
| 2,646.00                               |                                | 63. JUNIPER NETWORK INC<br>PROPERTY TYPE: SECURITIES<br>1,097.00               |                    |                          |                              |        | 11/07/2008<br>1,549.00 | 03/10/2011 |
| 4,948.00                               |                                | 162. JUNIPER NETWORK INC<br>PROPERTY TYPE: SECURITIES<br>2,521.00              |                    |                          |                              |        | 02/19/2009<br>2,427.00 | 07/15/2011 |
| 1,862.00                               |                                | 235. KEYCORP (NEW) F/K/A SOCIETY CORP<br>PROPERTY TYPE: SECURITIES<br>1,375.00 |                    |                          |                              |        | 10/28/2009<br>487.00   | 07/15/2011 |
| 95.00                                  |                                | 12. KEYCORP (NEW) F/K/A SOCIETY CORP<br>PROPERTY TYPE: SECURITIES<br>105.00    |                    |                          |                              |        | 03/16/2011<br>-10.00   | 07/15/2011 |
| 7.00                                   |                                | .1115 KINDER MORGAN MANAGEMENT LLC<br>PROPERTY TYPE: SECURITIES<br>7.00        |                    |                          |                              |        | 08/15/2011             | 11/18/2011 |
| 3,239.00                               |                                | 130. KOMATSU LTD SPNS ADR<br>PROPERTY TYPE: SECURITIES<br>3,465.00             |                    |                          |                              |        | 08/15/2011<br>-226.00  | 09/07/2011 |
| 3,488.00                               |                                | 140. KOMATSU LTD SPNS ADR<br>PROPERTY TYPE: SECURITIES<br>3,731.00             |                    |                          |                              |        | 08/15/2011<br>-243.00  | 09/07/2011 |
| 2,576.00                               |                                | 115. KOMATSU LTD SPNS ADR<br>PROPERTY TYPE: SECURITIES<br>3,065.00             |                    |                          |                              |        | 08/15/2011<br>-489.00  | 09/12/2011 |
| 25.00                                  |                                | .8352 PHILIPS ELECTRONICS-NY SHR<br>PROPERTY TYPE: SECURITIES<br>28.00         |                    |                          |                              |        | 05/04/2011<br>-3.00    | 05/09/2011 |
| 2,468.00                               |                                | 100. PHILIPS ELECTRONICS-NY SHR<br>PROPERTY TYPE: SECURITIES<br>2,268.00       |                    |                          |                              |        | 04/16/2009<br>200.00   | 06/29/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                                   |                          |                                 |                                    | P<br>or<br>D | Date<br>acquired       | Date sold  |
|----------------------------------------------|---------------------------------------|-------------------------------------------------------------------------------|--------------------------|---------------------------------|------------------------------------|--------------|------------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                                     | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss)   |            |
| 74.00                                        |                                       | 3. PHILIPS ELECTRONICS-NY SHR<br>PROPERTY TYPE: SECURITIES<br>101.00          |                          |                                 |                                    |              | 05/04/2011<br>-27.00   | 06/29/2011 |
| 8,172.00                                     |                                       | 100. L-3 COMMUNICATIONS HLDGS INC<br>PROPERTY TYPE: SECURITIES<br>2,888.00    |                          |                                 |                                    |              | 07/20/2000<br>5,284.00 | 07/15/2011 |
| 1,666.00                                     |                                       | 150. LAWSON SOFTWARE INC NEW<br>PROPERTY TYPE: SECURITIES<br>945.00           |                          |                                 |                                    |              | 04/16/2009<br>721.00   | 04/26/2011 |
| 3,870.00                                     |                                       | 225. LIBERTY INTERACTIVE CORPORATION<br>PROPERTY TYPE: SECURITIES<br>3,591.00 |                          |                                 |                                    |              | 04/24/2008<br>279.00   | 07/15/2011 |
| 6,853.00                                     |                                       | 80. LIBERTY MEDIA HLDG CORP<br>PROPERTY TYPE: SECURITIES<br>1,077.00          |                          |                                 |                                    |              | 04/07/2008<br>5,776.00 | 07/15/2011 |
| 1,146.00                                     |                                       | 15. LIBERTY MEDIA CORP NEW<br>PROPERTY TYPE: SECURITIES<br>217.00             |                          |                                 |                                    |              | 03/27/2008<br>929.00   | 05/31/2011 |
| 3,091.00                                     |                                       | 27. LORILLARD INC<br>PROPERTY TYPE: SECURITIES<br>2,837.00                    |                          |                                 |                                    |              | 08/15/2011<br>254.00   | 10/20/2011 |
| 2,871.00                                     |                                       | 25. LORILLARD INC<br>PROPERTY TYPE: SECURITIES<br>2,627.00                    |                          |                                 |                                    |              | 08/15/2011<br>244.00   | 10/21/2011 |
| 1,077.00                                     |                                       | 10. LORILLARD INC<br>PROPERTY TYPE: SECURITIES<br>1,051.00                    |                          |                                 |                                    |              | 08/15/2011<br>26.00    | 11/10/2011 |
| 1,672.00                                     |                                       | 62. MADISON SQUARE GARDEN COMPANY<br>PROPERTY TYPE: SECURITIES<br>885.00      |                          |                                 |                                    |              | 11/09/2007<br>787.00   | 03/30/2011 |
| 476.00                                       |                                       | 42. MASCO CORPORATION<br>PROPERTY TYPE: SECURITIES<br>548.00                  |                          |                                 |                                    |              | 01/03/2011<br>-72.00   | 07/15/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                                     |                    |                           |                              | P or D | Date acquired          | Date sold  |
|----------------------------------------|--------------------------------|---------------------------------------------------------------------------------|--------------------|---------------------------|------------------------------|--------|------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                             | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis |        | Gain or (loss)         |            |
| 2,048.00                               |                                | 75. MATTEL INC<br>PROPERTY TYPE: SECURITIES<br>1,487.00                         |                    |                           |                              |        | 12/22/2009<br>561.00   | 07/15/2011 |
| 737.00                                 |                                | 27. MATTEL INC<br>PROPERTY TYPE: SECURITIES<br>625.00                           |                    |                           |                              |        | 10/28/2010<br>112.00   | 07/15/2011 |
| 2,319.00                               |                                | 100. MCDERMOTT INTL INC<br>PROPERTY TYPE: SECURITIES<br>983.00                  |                    |                           |                              |        | 11/14/2008<br>1,336.00 | 04/28/2011 |
| 4,469.00                               |                                | 125. MERCK & CO INC NEW<br>PROPERTY TYPE: SECURITIES<br>3,465.00                |                    |                           |                              |        | 04/29/2009<br>1,004.00 | 07/15/2011 |
| 6,515.00                               |                                | 40. METTLER-TOLEDO INTL INC<br>PROPERTY TYPE: SECURITIES<br>1,968.00            |                    |                           |                              |        | 03/18/2009<br>4,547.00 | 07/15/2011 |
| 5,500.00                               |                                | 206. MICROSOFT CORP<br>PROPERTY TYPE: SECURITIES<br>5,610.00                    |                    |                           |                              |        | 02/19/2004<br>-110.00  | 07/15/2011 |
| 1,121.00                               |                                | 42. MICROSOFT CORP<br>PROPERTY TYPE: SECURITIES<br>1,091.00                     |                    |                           |                              |        | 06/30/2011<br>30.00    | 07/15/2011 |
| 1,068.00                               |                                | 40. MICROSOFT CORP<br>PROPERTY TYPE: SECURITIES<br>976.00                       |                    |                           |                              |        | 10/06/2010<br>92.00    | 07/15/2011 |
| 4,646.00                               |                                | 174. MICROSOFT CORP<br>PROPERTY TYPE: SECURITIES<br>4,445.00                    |                    |                           |                              |        | 03/15/2004<br>201.00   | 07/15/2011 |
| 572.00                                 |                                | 122. MITSUBISHI UFJ FINL GROUP APD ADR<br>PROPERTY TYPE: SECURITIES<br>1,495.00 |                    |                           |                              |        | 09/28/2005<br>-923.00  | 06/29/2011 |
| 3,338.00                               |                                | 678. MITSUBISHI UFJ FINL GROUP APD ADR<br>PROPERTY TYPE: SECURITIES<br>4,013.00 |                    |                           |                              |        | 10/28/2009<br>-675.00  | 07/15/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                                |                    |                           |                              | P or D | Date acquired           | Date sold  |
|----------------------------------------|--------------------------------|----------------------------------------------------------------------------|--------------------|---------------------------|------------------------------|--------|-------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                        | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis |        | Gain or (loss)          |            |
| 4,452.00                               |                                | 60. MONSANTO CO NEW<br>PROPERTY TYPE: SECURITIES<br>4,079.00               |                    |                           |                              |        | 07/07/2010<br>373.00    | 07/15/2011 |
| 528.00                                 |                                | 25. MORGAN STANLEY, DEAN WITTER,<br>PROPERTY TYPE: SECURITIES<br>634.00    |                    |                           |                              |        | 10/20/2010<br>-106.00   | 07/15/2011 |
| 3,487.00                               |                                | 165. MORGAN STANLEY, DEAN WITTER,<br>PROPERTY TYPE: SECURITIES<br>5,003.00 |                    |                           |                              |        | 04/09/2010<br>-1,516.00 | 07/15/2011 |
| 11,750.00                              |                                | 308. MOTOROLA MOBILITY HLDGS INC<br>PROPERTY TYPE: SECURITIES<br>11,756.00 |                    |                           |                              |        | 08/15/2011<br>-6.00     | 08/17/2011 |
| 5,700.00                               |                                | 150. MOTOROLA MOBILITY HLDGS INC<br>PROPERTY TYPE: SECURITIES<br>5,726.00  |                    |                           |                              |        | 08/15/2011<br>-26.00    | 08/18/2011 |
| 7,082.00                               |                                | 187. MOTOROLA MOBILITY HLDGS INC<br>PROPERTY TYPE: SECURITIES<br>7,138.00  |                    |                           |                              |        | 08/15/2011<br>-56.00    | 08/19/2011 |
| 836.00                                 |                                | 34. NASDAQ OMX GROUP INC<br>PROPERTY TYPE: SECURITIES<br>1,280.00          |                    |                           |                              |        | 05/13/2008<br>-444.00   | 03/17/2011 |
| 934.00                                 |                                | 40. NASDAQ OMX GROUP INC<br>PROPERTY TYPE: SECURITIES<br>1,506.00          |                    |                           |                              |        | 05/13/2008<br>-572.00   | 06/23/2011 |
| 3,318.00                               |                                | 141. NASDAQ OMX GROUP INC<br>PROPERTY TYPE: SECURITIES<br>4,005.00         |                    |                           |                              |        | 12/02/2009<br>-687.00   | 07/15/2011 |
| 5,253.00                               |                                | 95. NATIONAL FUEL GAS COMPANY N J<br>PROPERTY TYPE: SECURITIES<br>5,794.00 |                    |                           |                              |        | 08/15/2011<br>-541.00   | 12/21/2011 |
| 5,484.00                               |                                | 70. NATIONAL-OILWELL INC<br>PROPERTY TYPE: SECURITIES<br>1,843.00          |                    |                           |                              |        | 03/16/2009<br>3,641.00  | 07/15/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                                      |                          |                                 |                                    | P<br>or<br>D | Date<br>acquired       | Date sold  |
|----------------------------------------------|---------------------------------------|----------------------------------------------------------------------------------|--------------------------|---------------------------------|------------------------------------|--------------|------------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                                        | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss)   |            |
| 1,284.00                                     |                                       | 35. NAVISTAR INTL CORP NEW<br>PROPERTY TYPE: SECURITIES<br>1,456.00              |                          |                                 |                                    |              | 08/15/2011<br>-172.00  | 09/12/2011 |
| 1,146.00                                     |                                       | 21. NESTLE S A SPONSORED ADR REPSTG REG<br>PROPERTY TYPE: SECURITIES<br>940.00   |                          |                                 |                                    |              | 09/19/2008<br>206.00   | 01/28/2011 |
| 5,598.00                                     |                                       | 89. NESTLE S A SPONSORED ADR REPSTG REG<br>PROPERTY TYPE: SECURITIES<br>3,462.00 |                          |                                 |                                    |              | 01/21/2009<br>2,136.00 | 07/15/2011 |
| 367.00                                       |                                       | 6. NESTLE S A SPONSORED ADR REPSTG REG S<br>PROPERTY TYPE: SECURITIES<br>374.00  |                          |                                 |                                    |              | 08/11/2011<br>-7.00    | 08/31/2011 |
| 3,440.00                                     |                                       | 59. NESTLE S A SPONSORED ADR REPSTG REG<br>PROPERTY TYPE: SECURITIES<br>3,699.00 |                          |                                 |                                    |              | 08/15/2011<br>-259.00  | 10/24/2011 |
| 2,758.00                                     |                                       | 48. NESTLE S A SPONSORED ADR REPSTG REG<br>PROPERTY TYPE: SECURITIES<br>3,009.00 |                          |                                 |                                    |              | 08/15/2011<br>-251.00  | 10/26/2011 |
| 1,946.00                                     |                                       | 34. NESTLE S A SPONSORED ADR REPSTG REG<br>PROPERTY TYPE: SECURITIES<br>2,131.00 |                          |                                 |                                    |              | 08/15/2011<br>-185.00  | 11/02/2011 |
| 2,318.00                                     |                                       | 40. NESTLE S A SPONSORED ADR REPSTG REG<br>PROPERTY TYPE: SECURITIES<br>2,508.00 |                          |                                 |                                    |              | 08/15/2011<br>-190.00  | 11/03/2011 |
| 3,578.00                                     |                                       | 71. NETAPP INC<br>PROPERTY TYPE: SECURITIES<br>3,552.00                          |                          |                                 |                                    |              | 03/16/2011<br>26.00    | 07/15/2011 |
| 354.00                                       |                                       | 11. NEW ORIENTAL ED & TECH GRP ISPON ADR<br>PROPERTY TYPE: SECURITIES<br>331.00  |                          |                                 |                                    |              | 08/11/2011<br>23.00    | 09/13/2011 |
| 233.00                                       |                                       | 7. NEW ORIENTAL ED & TECH GRP ISPON ADR<br>PROPERTY TYPE: SECURITIES<br>210.00   |                          |                                 |                                    |              | 08/11/2011<br>23.00    | 09/15/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                                     |                    |                           |                              | Date acquired           | Date sold  |
|----------------------------------------|--------------------------------|---------------------------------------------------------------------------------|--------------------|---------------------------|------------------------------|-------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                             | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss)          |            |
| 211.00                                 |                                | 7. NEW ORIENTAL ED & TECH GRP ISPON ADR<br>PROPERTY TYPE: SECURITIES<br>210.00  |                    |                           |                              | 08/11/2011<br>1.00      | 10/17/2011 |
| 456.00                                 |                                | 20. NEW ORIENTAL ED & TECH GRP ISPON ADR<br>PROPERTY TYPE: SECURITIES<br>601.00 |                    |                           |                              | 08/11/2011<br>-145.00   | 11/18/2011 |
| 346.00                                 |                                | 15. NEW ORIENTAL ED & TECH GRP ISPON ADR<br>PROPERTY TYPE: SECURITIES<br>451.00 |                    |                           |                              | 08/11/2011<br>-105.00   | 11/21/2011 |
| 396.00                                 |                                | 17. NEW ORIENTAL ED & TECH GRP ISPON ADR<br>PROPERTY TYPE: SECURITIES<br>511.00 |                    |                           |                              | 08/11/2011<br>-115.00   | 11/22/2011 |
| 185.00                                 |                                | 8. NEW ORIENTAL ED & TECH GRP ISPON ADR<br>PROPERTY TYPE: SECURITIES<br>241.00  |                    |                           |                              | 08/11/2011<br>-56.00    | 11/23/2011 |
| 167.00                                 |                                | 7. NEW ORIENTAL ED & TECH GRP ISPON ADR<br>PROPERTY TYPE: SECURITIES<br>210.00  |                    |                           |                              | 08/11/2011<br>-43.00    | 11/28/2011 |
| 1,700.00                               |                                | 95. NEWS CORP<br>PROPERTY TYPE: SECURITIES<br>1,097.00                          |                    |                           |                              | 08/17/2009<br>603.00    | 06/29/2011 |
| 2,895.00                               |                                | 180. NEWS CORP<br>PROPERTY TYPE: SECURITIES<br>1,603.00                         |                    |                           |                              | 04/16/2009<br>1,292.00  | 07/15/2011 |
| 2,554.00                               |                                | 28. NIKE INC CL B<br>PROPERTY TYPE: SECURITIES<br>2,267.00                      |                    |                           |                              | 06/07/2011<br>287.00    | 07/15/2011 |
| 1,586.00                               |                                | 200. NOKIA CORP SPONSORED ADR<br>PROPERTY TYPE: SECURITIES<br>3,991.00          |                    |                           |                              | 08/17/2009<br>-2,405.00 | 03/16/2011 |
| 2,578.00                               |                                | 28. NOBLE ENERGY INC<br>PROPERTY TYPE: SECURITIES<br>1,709.00                   |                    |                           |                              | 07/02/2010<br>869.00    | 07/15/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                           |                    |                           |                              | P or D | Date acquired           | Date sold  |
|----------------------------------------|--------------------------------|-----------------------------------------------------------------------|--------------------|---------------------------|------------------------------|--------|-------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                   | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis |        | Gain or (loss)          |            |
| 1,948.00                               |                                | 400. NOMURA HOLDINGS INC ADR<br>PROPERTY TYPE: SECURITIES<br>3,548.00 |                    |                           |                              |        | 09/29/2009<br>-1,600.00 | 07/15/2011 |
| 4,481.00                               |                                | 70. NORTHROP GRUMMAN CORP<br>PROPERTY TYPE: SECURITIES<br>2,814.00    |                    |                           |                              |        | 06/24/2009<br>1,667.00  | 07/15/2011 |
| 4,776.00                               |                                | 78. NOVARTIS AG-ADR<br>PROPERTY TYPE: SECURITIES<br>3,893.00          |                    |                           |                              |        | 04/07/2008<br>883.00    | 07/15/2011 |
| 3,184.00                               |                                | 52. NOVARTIS AG-ADR<br>PROPERTY TYPE: SECURITIES<br>2,470.00          |                    |                           |                              |        | 03/19/2008<br>714.00    | 07/15/2011 |
| 4,374.00                               |                                | 35. NOVO NORDISK A S ADR<br>PROPERTY TYPE: SECURITIES<br>1,625.00     |                    |                           |                              |        | 03/16/2009<br>2,749.00  | 07/15/2011 |
| 692.00                                 |                                | 7. NOVO NORDISK A S ADR<br>PROPERTY TYPE: SECURITIES<br>784.00        |                    |                           |                              |        | 08/15/2011<br>-92.00    | 08/26/2011 |
| 3,558.00                               |                                | 36. NOVO NORDISK A S ADR<br>PROPERTY TYPE: SECURITIES<br>4,034.00     |                    |                           |                              |        | 08/15/2011<br>-476.00   | 08/26/2011 |
| 4,835.00                               |                                | 50. NOVO NORDISK A S ADR<br>PROPERTY TYPE: SECURITIES<br>5,603.00     |                    |                           |                              |        | 08/15/2011<br>-768.00   | 10/06/2011 |
| 2,407.00                               |                                | 18. NOVOZYMES A/S<br>PROPERTY TYPE: SECURITIES<br>2,690.00            |                    |                           |                              |        | 08/15/2011<br>-283.00   | 10/04/2011 |
| 3,573.00                               |                                | 91. NUCOR CORP<br>PROPERTY TYPE: SECURITIES<br>3,828.00               |                    |                           |                              |        | 12/24/2009<br>-255.00   | 07/15/2011 |
| 1,688.00                               |                                | 43. NUCOR CORP<br>PROPERTY TYPE: SECURITIES<br>1,733.00               |                    |                           |                              |        | 10/28/2009<br>-45.00    | 07/15/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                             |                          |                                 |                                    | P<br>or<br>D | Date<br>acquired        | Date sold  |
|----------------------------------------------|---------------------------------------|-------------------------------------------------------------------------|--------------------------|---------------------------------|------------------------------------|--------------|-------------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                               | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss)    |            |
| 2,770.00                                     |                                       | 160. NVIDIA CORP 00<br>PROPERTY TYPE: SECURITIES<br>3,916.00            |                          |                                 |                                    |              | 06/02/2008<br>-1,146.00 | 05/17/2011 |
| 225.00                                       |                                       | 13. NVIDIA CORP 00<br>PROPERTY TYPE: SECURITIES<br>168.00               |                          |                                 |                                    |              | 05/21/2010<br>57.00     | 05/17/2011 |
| 649.00                                       |                                       | 44. NVIDIA CORP 00<br>PROPERTY TYPE: SECURITIES<br>562.00               |                          |                                 |                                    |              | 05/21/2010<br>87.00     | 07/14/2011 |
| 2,237.00                                     |                                       | 158. NVIDIA CORP 00<br>PROPERTY TYPE: SECURITIES<br>1,905.00            |                          |                                 |                                    |              | 07/08/2008<br>332.00    | 07/15/2011 |
| 10,168.00                                    |                                       | 104. OCCIDENTAL PETROLEUM CORP<br>PROPERTY TYPE: SECURITIES<br>9,180.00 |                          |                                 |                                    |              | 08/15/2011<br>988.00    | 11/15/2011 |
| 3,801.00                                     |                                       | 38. OCCIDENTAL PETROLEUM CORP<br>PROPERTY TYPE: SECURITIES<br>3,354.00  |                          |                                 |                                    |              | 08/15/2011<br>447.00    | 11/16/2011 |
| 3,936.00                                     |                                       | 123. ORACLE CORP<br>PROPERTY TYPE: SECURITIES<br>3,536.00               |                          |                                 |                                    |              | 12/08/2010<br>400.00    | 07/15/2011 |
| 3,337.00                                     |                                       | 130. ORACLE CORP<br>PROPERTY TYPE: SECURITIES<br>3,730.00               |                          |                                 |                                    |              | 09/29/2011<br>-393.00   | 12/21/2011 |
| 647.00                                       |                                       | 25. ORACLE CORP<br>PROPERTY TYPE: SECURITIES<br>678.00                  |                          |                                 |                                    |              | 08/29/2011<br>-31.00    | 12/22/2011 |
| 6,259.00                                     |                                       | 125. ORIX CORP 00<br>PROPERTY TYPE: SECURITIES<br>1,475.00              |                          |                                 |                                    |              | 03/16/2009<br>4,784.00  | 07/15/2011 |
| 2,995.00                                     |                                       | 75. ORIX CORP 00<br>PROPERTY TYPE: SECURITIES<br>3,608.00               |                          |                                 |                                    |              | 08/15/2011<br>-613.00   | 09/15/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                                      |                          |                                 |                                    | P<br>or<br>D | Date<br>acquired       | Date sold  |
|----------------------------------------------|---------------------------------------|----------------------------------------------------------------------------------|--------------------------|---------------------------------|------------------------------------|--------------|------------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                                        | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss)   |            |
| 2,022.00                                     |                                       | 47. ORIX CORP 00<br>PROPERTY TYPE: SECURITIES<br>2,261.00                        |                          |                                 |                                    |              | 08/15/2011<br>-239.00  | 11/07/2011 |
| 3,323.00                                     |                                       | 108. OSHKOSH TRUCK CORP CL B<br>PROPERTY TYPE: SECURITIES<br>3,900.00            |                          |                                 |                                    |              | 01/21/2011<br>-577.00  | 07/15/2011 |
| 892.00                                       |                                       | 29. OSHKOSH TRUCK CORP CL B<br>PROPERTY TYPE: SECURITIES<br>1,002.00             |                          |                                 |                                    |              | 12/20/2010<br>-110.00  | 07/15/2011 |
| 5,708.00                                     |                                       | 105. PALL CORP<br>PROPERTY TYPE: SECURITIES<br>2,396.00                          |                          |                                 |                                    |              | 08/05/2004<br>3,312.00 | 07/15/2011 |
| 2,562.00                                     |                                       | 30. PARKER HANNIFIN CORP<br>PROPERTY TYPE: SECURITIES<br>1,270.00                |                          |                                 |                                    |              | 05/21/2009<br>1,292.00 | 06/17/2011 |
| 1,643.00                                     |                                       | 82. PEBBLEBROOK HOTEL TR<br>PROPERTY TYPE: SECURITIES<br>1,600.00                |                          |                                 |                                    |              | 06/29/2011<br>43.00    | 07/15/2011 |
| 6,472.00                                     |                                       | 95. PEPSICO INC<br>PROPERTY TYPE: SECURITIES<br>4,121.00                         |                          |                                 |                                    |              | 08/23/2000<br>2,351.00 | 07/15/2011 |
| 2,119.00                                     |                                       | 65. PETROLEO BRASILEIRO SA PETROBRAS 00<br>PROPERTY TYPE: SECURITIES<br>1,919.00 |                          |                                 |                                    |              | 03/16/2009<br>200.00   | 07/15/2011 |
| 3,966.00                                     |                                       | 42. PIMCO ETF TR 25YR+ ZERO U S<br>PROPERTY TYPE: SECURITIES<br>3,885.00         |                          |                                 |                                    |              | 08/18/2011<br>81.00    | 09/16/2011 |
| 4,732.00                                     |                                       | 48. PIMCO ETF TR 25YR+ ZERO U S<br>PROPERTY TYPE: SECURITIES<br>4,161.00         |                          |                                 |                                    |              | 08/18/2011<br>571.00   | 09/20/2011 |
| 2,061.00                                     |                                       | 20. PIMCO ETF TR 25YR+ ZERO U S<br>PROPERTY TYPE: SECURITIES<br>1,658.00         |                          |                                 |                                    |              | 08/15/2011<br>403.00   | 09/27/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                              |                    |                           |                              | P or D | Date acquired          | Date sold  |
|----------------------------------------|--------------------------------|--------------------------------------------------------------------------|--------------------|---------------------------|------------------------------|--------|------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                      | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis |        | Gain or (loss)         |            |
| 2,105.00                               |                                | 20. PIMCO ETF TR 25YR+ ZERO U S<br>PROPERTY TYPE: SECURITIES<br>1,658.00 |                    |                           |                              |        | 08/15/2011<br>447.00   | 09/29/2011 |
| 4,175.00                               |                                | 64. PROCTOR & GAMBLE CO<br>PROPERTY TYPE: SECURITIES<br>2,188.00         |                    |                           |                              |        | 08/30/2001<br>1,987.00 | 06/07/2011 |
| 5,559.00                               |                                | 86. PROCTOR & GAMBLE CO<br>PROPERTY TYPE: SECURITIES<br>2,672.00         |                    |                           |                              |        | 08/30/2001<br>2,887.00 | 07/15/2011 |
| 1,510.00                               |                                | 58. PROSHARES TR<br>PROPERTY TYPE: SECURITIES<br>1,383.00                |                    |                           |                              |        | 08/15/2011<br>127.00   | 08/22/2011 |
| 2,953.00                               |                                | 116. PROSHARES TR<br>PROPERTY TYPE: SECURITIES<br>2,987.00               |                    |                           |                              |        | 09/23/2011<br>-34.00   | 09/26/2011 |
| 1,424.00                               |                                | 61. PROSHARES TR<br>PROPERTY TYPE: SECURITIES<br>1,557.00                |                    |                           |                              |        | 09/22/2011<br>-133.00  | 09/27/2011 |
| 3,702.00                               |                                | 148. PROSHARES TR<br>PROPERTY TYPE: SECURITIES<br>3,832.00               |                    |                           |                              |        | 10/04/2011<br>-130.00  | 10/06/2011 |
| 2,902.00                               |                                | 120. PROSHARES TR<br>PROPERTY TYPE: SECURITIES<br>2,861.00               |                    |                           |                              |        | 09/02/2011<br>41.00    | 10/06/2011 |
| 699.00                                 |                                | 31.00201 PROSHARES TR<br>PROPERTY TYPE: SECURITIES<br>739.00             |                    |                           |                              |        | 08/15/2011<br>-40.00   | 10/11/2011 |
| 428.00                                 |                                | 18.99799 PROSHARES TR<br>PROPERTY TYPE: SECURITIES<br>453.00             |                    |                           |                              |        | 08/15/2011<br>-25.00   | 10/11/2011 |
| 3,763.00                               |                                | 192. PROSHARES TR<br>PROPERTY TYPE: SECURITIES<br>4,577.00               |                    |                           |                              |        | 08/15/2011<br>-814.00  | 10/27/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                                     |                    |                           |                              | P or D | Date acquired           | Date sold  |
|----------------------------------------|--------------------------------|---------------------------------------------------------------------------------|--------------------|---------------------------|------------------------------|--------|-------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                             | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis |        | Gain or (loss)          |            |
| 1,459.00                               |                                | 75. PROSHARES TR<br>PROPERTY TYPE: SECURITIES<br>1,788.00                       |                    |                           |                              |        | 08/15/2011<br>-329.00   | 10/28/2011 |
| 4,964.00                               |                                | 241.99957 PROSHARES TR<br>PROPERTY TYPE: SECURITIES<br>5,549.00                 |                    |                           |                              |        | 11/21/2011<br>-585.00   | 11/30/2011 |
| 349.00                                 |                                | 17.00043 PROSHARES TR<br>PROPERTY TYPE: SECURITIES<br>405.00                    |                    |                           |                              |        | 08/15/2011<br>-56.00    | 11/30/2011 |
| 5,376.00                               |                                | 263. PROSHARES TR<br>PROPERTY TYPE: SECURITIES<br>5,569.00                      |                    |                           |                              |        | 11/21/2011<br>-193.00   | 11/30/2011 |
| 1,249.00                               |                                | 63. PROSHARES TR<br>PROPERTY TYPE: SECURITIES<br>1,280.00                       |                    |                           |                              |        | 11/10/2011<br>-31.00    | 12/08/2011 |
| 1,295.00                               |                                | 62. PROSHARES TR<br>PROPERTY TYPE: SECURITIES<br>1,256.00                       |                    |                           |                              |        | 11/10/2011<br>39.00     | 12/19/2011 |
| 1,733.00                               |                                | 29. PROSHARES TR PSHS ULTSHRT QQQ<br>PROPERTY TYPE: SECURITIES<br>1,560.00      |                    |                           |                              |        | 08/15/2011<br>173.00    | 08/22/2011 |
| 342.00                                 |                                | 6.99976 PROSHARES TR PSHS ULTSHRT QQQ<br>PROPERTY TYPE: SECURITIES<br>376.00    |                    |                           |                              |        | 08/15/2011<br>-34.00    | 09/27/2011 |
| 1,270.00                               |                                | 26.00024 PROSHARES TR PSHS ULTSHRT QQQ<br>PROPERTY TYPE: SECURITIES<br>1,398.00 |                    |                           |                              |        | 08/15/2011<br>-128.00   | 09/27/2011 |
| 3,718.00                               |                                | 71. PROSHARES TR PSHS ULTSHRT QQQ<br>PROPERTY TYPE: SECURITIES<br>3,928.00      |                    |                           |                              |        | 10/04/2011<br>-210.00   | 10/06/2011 |
| 3,841.00                               |                                | 91. PROSHARES TR PSHS ULTSHRT QQQ<br>PROPERTY TYPE: SECURITIES<br>4,886.00      |                    |                           |                              |        | 09/02/2011<br>-1,045.00 | 10/27/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                                      |                    |                           |                              | Date acquired         | Date sold  |
|----------------------------------------|--------------------------------|----------------------------------------------------------------------------------|--------------------|---------------------------|------------------------------|-----------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                              | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss)        |            |
| 1,147.00                               |                                | 27. PROSHARES TR PSHS ULTSHRT QQQ<br>PROPERTY TYPE: SECURITIES<br>1,430.00       |                    |                           |                              | 09/23/2011<br>-283.00 | 10/28/2011 |
| 2,203.00                               |                                | 48. PROSHARES TR PSHS ULTSHRT QQQ<br>PROPERTY TYPE: SECURITIES<br>2,400.00       |                    |                           |                              | 11/23/2011<br>-197.00 | 11/30/2011 |
| 3,626.00                               |                                | 79. PROSHARES TR PSHS ULTSHRT QQQ<br>PROPERTY TYPE: SECURITIES<br>4,108.00       |                    |                           |                              | 09/23/2011<br>-482.00 | 11/30/2011 |
| 4,758.00                               |                                | 102.99809 PROSHARES TR PSHS ULTSHRT QQQ<br>PROPERTY TYPE: SECURITIES<br>4,887.00 |                    |                           |                              | 11/21/2011<br>-129.00 | 11/30/2011 |
| 601.00                                 |                                | 13.00191 PROSHARES TR PSHS ULTSHRT QQQ<br>PROPERTY TYPE: SECURITIES<br>612.00    |                    |                           |                              | 10/20/2011<br>-11.00  | 11/30/2011 |
| 2,234.00                               |                                | 49. PROSHARES TR PSHS ULTSHRT QQQ<br>PROPERTY TYPE: SECURITIES<br>2,268.00       |                    |                           |                              | 11/18/2011<br>-34.00  | 12/21/2011 |
| 2,698.00                               |                                | 134. PROSHARES TR<br>PROPERTY TYPE: SECURITIES<br>2,729.00                       |                    |                           |                              | 08/15/2011<br>-31.00  | 09/08/2011 |
| 2,415.00                               |                                | 99. PROSHARES TR<br>PROPERTY TYPE: SECURITIES<br>2,385.00                        |                    |                           |                              | 09/22/2011<br>30.00   | 10/06/2011 |
| 476.00                                 |                                | 21. PROSHARES TR<br>PROPERTY TYPE: SECURITIES<br>428.00                          |                    |                           |                              | 08/15/2011<br>48.00   | 10/11/2011 |
| 1,770.00                               |                                | 30. PROSHARES RUSSELL 2000 PROSHARES<br>PROPERTY TYPE: SECURITIES<br>1,564.00    |                    |                           |                              | 08/15/2011<br>206.00  | 08/22/2011 |
| 1,538.00                               |                                | 29. PROSHARES RUSSELL 2000 PROSHARES<br>PROPERTY TYPE: SECURITIES<br>1,712.00    |                    |                           |                              | 09/22/2011<br>-174.00 | 09/27/2011 |

**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                                       |                          |                                 |                                    | P<br>or<br>D | Date<br>acquired        | Date sold  |
|----------------------------------------------|---------------------------------------|-----------------------------------------------------------------------------------|--------------------------|---------------------------------|------------------------------------|--------------|-------------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                                         | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss)    |            |
| 3,651.00                                     |                                       | 66. PROSHARES RUSSELL 2000 PROSHARES<br>PROPERTY TYPE: SECURITIES<br>4,020.00     |                          |                                 |                                    |              | 10/04/2011<br>-369.00   | 10/06/2011 |
| 722.00                                       |                                       | 17.99992 PROSHARES RUSSELL 2000 PROSHARE<br>PROPERTY TYPE: SECURITIES<br>938.00   |                          |                                 |                                    |              | 08/15/2011<br>-216.00   | 10/27/2011 |
| 2,848.00                                     |                                       | 71.00008 PROSHARES RUSSELL 2000 PROSHARE<br>PROPERTY TYPE: SECURITIES<br>3,848.00 |                          |                                 |                                    |              | 09/23/2011<br>-1,000.00 | 10/27/2011 |
| 1,328.00                                     |                                       | 34. PROSHARES RUSSELL 2000 PROSHARES<br>PROPERTY TYPE: SECURITIES<br>1,772.00     |                          |                                 |                                    |              | 08/15/2011<br>-444.00   | 10/28/2011 |
| 3,505.00                                     |                                       | 87. PROSHARES RUSSELL 2000 PROSHARES<br>PROPERTY TYPE: SECURITIES<br>4,535.00     |                          |                                 |                                    |              | 08/15/2011<br>-1,030.00 | 11/03/2011 |
| 5,410.00                                     |                                       | 131. PROSHARES RUSSELL 2000 PROSHARES<br>PROPERTY TYPE: SECURITIES<br>6,537.00    |                          |                                 |                                    |              | 11/21/2011<br>-1,127.00 | 11/30/2011 |
| 5,206.00                                     |                                       | 125. PROSHARES RUSSELL 2000 PROSHARES<br>PROPERTY TYPE: SECURITIES<br>5,429.00    |                          |                                 |                                    |              | 11/21/2011<br>-223.00   | 11/30/2011 |
| 2,873.00                                     |                                       | 73. PROSHARES RUSSELL 2000 PROSHARES<br>PROPERTY TYPE: SECURITIES<br>3,115.00     |                          |                                 |                                    |              | 11/18/2011<br>-242.00   | 12/02/2011 |
| 1,436.00                                     |                                       | 36. PROSHARES RUSSELL 2000 PROSHARES<br>PROPERTY TYPE: SECURITIES<br>1,520.00     |                          |                                 |                                    |              | 11/15/2011<br>-84.00    | 12/02/2011 |
| 1,049.00                                     |                                       | 25. RED HAT INC 00<br>PROPERTY TYPE: SECURITIES<br>1,144.00                       |                          |                                 |                                    |              | 10/12/2011<br>-95.00    | 12/20/2011 |
| 1,271.00                                     |                                       | 30. RED HAT INC 00<br>PROPERTY TYPE: SECURITIES<br>1,271.00                       |                          |                                 |                                    |              | 10/12/2011              | 12/20/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                                    |                    |                           |                              | P or D | Date acquired           | Date sold  |
|----------------------------------------|--------------------------------|--------------------------------------------------------------------------------|--------------------|---------------------------|------------------------------|--------|-------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                            | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis |        | Gain or (loss)          |            |
| 1,782.00                               |                                | 45. RED HAT INC 00<br>PROPERTY TYPE: SECURITIES<br>1,792.00                    |                    |                           |                              |        | 09/15/2011<br>-10.00    | 12/21/2011 |
| 6,013.00                               |                                | 85. RIO TINTO PLC SPN ADR<br>PROPERTY TYPE: SECURITIES<br>3,079.00             |                    |                           |                              |        | 06/09/2010<br>2,934.00  | 07/15/2011 |
| 2,380.00                               |                                | 61. ROCHE HOLDINGS LTD-SPONSORED ADR<br>PROPERTY TYPE: SECURITIES<br>2,582.00  |                    |                           |                              |        | 08/25/2011<br>-202.00   | 10/13/2011 |
| 5,251.00                               |                                | 137. ROCHE HOLDINGS LTD-SPONSORED ADR<br>PROPERTY TYPE: SECURITIES<br>5,798.00 |                    |                           |                              |        | 08/25/2011<br>-547.00   | 11/10/2011 |
| 2,624.00                               |                                | 87. ROVI CORP<br>PROPERTY TYPE: SECURITIES<br>4,074.00                         |                    |                           |                              |        | 08/29/2011<br>-1,450.00 | 11/09/2011 |
| 1,880.00                               |                                | 68. ROVI CORP<br>PROPERTY TYPE: SECURITIES<br>3,172.00                         |                    |                           |                              |        | 08/15/2011<br>-1,292.00 | 11/10/2011 |
| 1,182.00                               |                                | 97. SLM CORP<br>PROPERTY TYPE: SECURITIES<br>1,363.00                          |                    |                           |                              |        | 08/15/2011<br>-181.00   | 11/23/2011 |
| 1,701.00                               |                                | 23. SM ENERGY COMPANY<br>PROPERTY TYPE: SECURITIES<br>1,977.00                 |                    |                           |                              |        | 10/28/2011<br>-276.00   | 11/22/2011 |
| 74.00                                  |                                | 1. SM ENERGY COMPANY<br>PROPERTY TYPE: SECURITIES<br>80.00                     |                    |                           |                              |        | 10/26/2011<br>-6.00     | 11/22/2011 |
| 5,736.00                               |                                | 34. SPDR GOLD TRUST GLD SHS<br>PROPERTY TYPE: SECURITIES<br>5,916.00           |                    |                           |                              |        | 08/18/2011<br>-180.00   | 09/22/2011 |
| 5,236.00                               |                                | 32. SPDR GOLD TRUST GLD SHS<br>PROPERTY TYPE: SECURITIES<br>5,488.00           |                    |                           |                              |        | 08/15/2011<br>-252.00   | 09/23/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                 | Description                                                       |                    |                           |                              | P or D | Date acquired          | Date sold  |
|----------------------------------------|---------------------------------|-------------------------------------------------------------------|--------------------|---------------------------|------------------------------|--------|------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis                                               | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis |        | Gain or (loss)         |            |
| 426.00                                 |                                 | 12. SABMILLER PLC SPD ADR<br>PROPERTY TYPE: SECURITIES<br>412.00  |                    |                           |                              |        | 08/11/2011<br>14.00    | 10/13/2011 |
| 464.00                                 |                                 | 13. SABMILLER PLC SPD ADR<br>PROPERTY TYPE: SECURITIES<br>446.00  |                    |                           |                              |        | 08/11/2011<br>18.00    | 10/14/2011 |
| 5,633.00                               |                                 | 239. SAFEWAY INC NEW<br>PROPERTY TYPE: SECURITIES<br>4,725.00     |                    |                           |                              |        | 09/10/2009<br>908.00   | 07/15/2011 |
| 2,258.00                               |                                 | 21. SALESFORCE COM INC<br>PROPERTY TYPE: SECURITIES<br>2,814.00   |                    |                           |                              |        | 09/19/2011<br>-556.00  | 11/21/2011 |
| 1,834.00                               |                                 | 17. SALESFORCE COM INC<br>PROPERTY TYPE: SECURITIES<br>2,207.00   |                    |                           |                              |        | 09/27/2011<br>-373.00  | 12/16/2011 |
| 3,319.00                               |                                 | 80. SANDISK CORP<br>PROPERTY TYPE: SECURITIES<br>482.00           |                    |                           |                              |        | 10/10/2001<br>2,837.00 | 07/15/2011 |
| 1,660.00                               |                                 | 40. SANDISK CORP<br>PROPERTY TYPE: SECURITIES<br>1,507.00         |                    |                           |                              |        | 10/27/2010<br>153.00   | 07/15/2011 |
| 5,187.00                               |                                 | 90. SAP AG-SPONSORED ADR<br>PROPERTY TYPE: SECURITIES<br>3,038.00 |                    |                           |                              |        | 03/16/2009<br>2,149.00 | 07/15/2011 |
| 405.00                                 |                                 | 7. SAP AG-SPONSORED ADR<br>PROPERTY TYPE: SECURITIES<br>367.00    |                    |                           |                              |        | 08/11/2011<br>38.00    | 11/21/2011 |
| 700.00                                 |                                 | 8. SCHLUMBERGER LTD<br>PROPERTY TYPE: SECURITIES<br>443.00        |                    |                           |                              |        | 08/24/2010<br>257.00   | 07/15/2011 |
| 4,815.00                               |                                 | 55. SCHLUMBERGER LTD<br>PROPERTY TYPE: SECURITIES<br>3,179.00     |                    |                           |                              |        | 06/10/2010<br>1,636.00 | 07/15/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                                   |                    |                           |                              | P or D | Date acquired          | Date sold  |
|----------------------------------------|--------------------------------|-------------------------------------------------------------------------------|--------------------|---------------------------|------------------------------|--------|------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                           | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis |        | Gain or (loss)         |            |
| 3,502.00                               |                                | 40. SCHLUMBERGER LTD<br>PROPERTY TYPE: SECURITIES<br>2,088.00                 |                    |                           |                              |        | 07/01/2010<br>1,414.00 | 07/15/2011 |
| 1,051.00                               |                                | 12. SCHLUMBERGER LTD<br>PROPERTY TYPE: SECURITIES<br>664.00                   |                    |                           |                              |        | 08/24/2010<br>387.00   | 07/15/2011 |
| 3,371.00                               |                                | 225. SCHWAB CHARLES CORP NEW<br>PROPERTY TYPE: SECURITIES<br>2,992.00         |                    |                           |                              |        | 02/19/2009<br>379.00   | 07/15/2011 |
| 734.00                                 |                                | 49. SCHWAB CHARLES CORP NEW<br>PROPERTY TYPE: SECURITIES<br>785.00            |                    |                           |                              |        | 11/05/2010<br>-51.00   | 07/15/2011 |
| 5,485.00                               |                                | 55. SHIRE PHARMACEUTICALS GR-ADR<br>PROPERTY TYPE: SECURITIES<br>1,988.00     |                    |                           |                              |        | 03/16/2009<br>3,497.00 | 07/15/2011 |
| 3,228.00                               |                                | 60. SMITH & NEPHEW P L C SPD ADR NEW<br>PROPERTY TYPE: SECURITIES<br>1,743.00 |                    |                           |                              |        | 03/07/2003<br>1,485.00 | 07/15/2011 |
| 1,770.00                               |                                | 70. STANDARD MICROSYSTEMS CORP<br>PROPERTY TYPE: SECURITIES<br>1,251.00       |                    |                           |                              |        | 08/17/2000<br>519.00   | 04/07/2011 |
| 1,114.00                               |                                | 14. STERICYCLE INC<br>PROPERTY TYPE: SECURITIES<br>1,130.00                   |                    |                           |                              |        | 08/15/2011<br>-16.00   | 11/11/2011 |
| 1,173.00                               |                                | 15. STERICYCLE INC<br>PROPERTY TYPE: SECURITIES<br>1,210.00                   |                    |                           |                              |        | 08/15/2011<br>-37.00   | 11/14/2011 |
| 1,964.00                               |                                | 25. STERICYCLE INC<br>PROPERTY TYPE: SECURITIES<br>2,017.00                   |                    |                           |                              |        | 08/15/2011<br>-53.00   | 11/16/2011 |
| 3,589.00                               |                                | 90. SUNCOR ENERGY INC<br>PROPERTY TYPE: SECURITIES<br>2,761.00                |                    |                           |                              |        | 09/01/2009<br>828.00   | 07/15/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                                  |                          |                                 |                                    | P<br>or<br>D | Date<br>acquired       | Date sold  |
|----------------------------------------------|---------------------------------------|------------------------------------------------------------------------------|--------------------------|---------------------------------|------------------------------------|--------------|------------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                                    | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss)   |            |
| 2,125.00                                     |                                       | 112. SYMANTEC CORP<br>PROPERTY TYPE: SECURITIES<br>2,120.00                  |                          |                                 |                                    |              | 05/18/2011<br>5.00     | 07/15/2011 |
| 2,320.00                                     |                                       | 105. TELEFONICA DE ESPANA SPONSORED<br>PROPERTY TYPE: SECURITIES<br>1,548.00 |                          |                                 |                                    |              | 11/17/2000<br>772.00   | 07/15/2011 |
| 751.00                                       |                                       | 43. TELEFONICA DE ESPANA SPONSORED<br>PROPERTY TYPE: SECURITIES<br>850.00    |                          |                                 |                                    |              | 08/11/2011<br>-99.00   | 11/23/2011 |
| 2,932.00                                     |                                       | 150. TESCO PLC<br>PROPERTY TYPE: SECURITIES<br>1,857.00                      |                          |                                 |                                    |              | 10/29/2003<br>1,075.00 | 07/15/2011 |
| 762.00                                       |                                       | 40. TESCO PLC<br>PROPERTY TYPE: SECURITIES<br>731.00                         |                          |                                 |                                    |              | 08/11/2011<br>31.00    | 10/11/2011 |
| 3,263.00                                     |                                       | 68. TEVA PHARMACEUTICAL INDS ADR<br>PROPERTY TYPE: SECURITIES<br>3,413.00    |                          |                                 |                                    |              | 03/31/2011<br>-150.00  | 07/15/2011 |
| 2,341.00                                     |                                       | 68. TEXAS INSTRS INC<br>PROPERTY TYPE: SECURITIES<br>3,260.00                |                          |                                 |                                    |              | 12/29/2000<br>-919.00  | 03/10/2011 |
| 6,093.00                                     |                                       | 198. TEXAS INSTRS INC<br>PROPERTY TYPE: SECURITIES<br>5,841.00               |                          |                                 |                                    |              | 03/28/2007<br>252.00   | 07/15/2011 |
| 5,662.00                                     |                                       | 184. TEXAS INSTRS INC<br>PROPERTY TYPE: SECURITIES<br>3,416.00               |                          |                                 |                                    |              | 07/08/2004<br>2,246.00 | 07/15/2011 |
| 2,637.00                                     |                                       | 42. THERMO ELECTRON CORP<br>PROPERTY TYPE: SECURITIES<br>1,933.00            |                          |                                 |                                    |              | 10/13/2010<br>704.00   | 07/15/2011 |
| 2,386.00                                     |                                       | 38. THERMO ELECTRON CORP<br>PROPERTY TYPE: SECURITIES<br>1,905.00            |                          |                                 |                                    |              | 07/01/2010<br>481.00   | 07/15/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                               |                    |                           |                              | P or D | Date acquired          | Date sold  |
|----------------------------------------|--------------------------------|---------------------------------------------------------------------------|--------------------|---------------------------|------------------------------|--------|------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                       | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis |        | Gain or (loss)         |            |
| 579.00                                 |                                | 28. TOLL BROS INC<br>PROPERTY TYPE: SECURITIES<br>548.00                  |                    |                           |                              |        | 01/03/2011<br>31.00    | 07/15/2011 |
| 2,716.00                               |                                | 50. TOTAL S A SPONSORED ADR<br>PROPERTY TYPE: SECURITIES<br>2,749.00      |                    |                           |                              |        | 02/08/2010<br>-33.00   | 07/15/2011 |
| 2,419.00                               |                                | 75. TREND MICRO INC ADR<br>PROPERTY TYPE: SECURITIES<br>2,096.00          |                    |                           |                              |        | 03/18/2009<br>323.00   | 07/15/2011 |
| 2,941.00                               |                                | 119. U S BANCORP DEL COM NEW<br>PROPERTY TYPE: SECURITIES<br>3,064.00     |                    |                           |                              |        | 12/21/2010<br>-123.00  | 07/15/2011 |
| 1,038.00                               |                                | 42. U S BANCORP DEL COM NEW<br>PROPERTY TYPE: SECURITIES<br>1,133.00      |                    |                           |                              |        | 01/04/2011<br>-95.00   | 07/15/2011 |
| 4,798.00                               |                                | 150. UNILEVER PLC SPNSRD ADR NEW<br>PROPERTY TYPE: SECURITIES<br>3,280.00 |                    |                           |                              |        | 06/15/2005<br>1,518.00 | 07/15/2011 |
| 4,683.00                               |                                | 145. UNITED OVERSEAS BK LTDADR<br>PROPERTY TYPE: SECURITIES<br>2,702.00   |                    |                           |                              |        | 06/10/2010<br>1,981.00 | 07/15/2011 |
| 4,643.00                               |                                | 64. UNITED PARCEL SERVICE -CL B<br>PROPERTY TYPE: SECURITIES<br>4,659.00  |                    |                           |                              |        | 05/17/2011<br>-16.00   | 07/15/2011 |
| 3,216.00                               |                                | 75. UNITED STS STL CORP NEW<br>PROPERTY TYPE: SECURITIES<br>3,746.00      |                    |                           |                              |        | 07/07/2010<br>-530.00  | 07/15/2011 |
| 16,864.00                              |                                | 325. UNITEDHEALTH GROUP INC<br>PROPERTY TYPE: SECURITIES<br>6,874.00      |                    |                           |                              |        | 06/12/2008<br>9,990.00 | 07/15/2011 |
| 3,794.00                               |                                | 75. VERTEX PHARMACEUTICALS INC<br>PROPERTY TYPE: SECURITIES<br>2,017.00   |                    |                           |                              |        | 11/17/2008<br>1,777.00 | 07/15/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                                       |                    |                           |                              | P or D | Date acquired           | Date sold  |
|----------------------------------------|--------------------------------|-----------------------------------------------------------------------------------|--------------------|---------------------------|------------------------------|--------|-------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                               | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis |        | Gain or (loss)          |            |
| 607.00                                 |                                | 75. VESTAS WIND SYS A/S<br>PROPERTY TYPE: SECURITIES<br>937.00                    |                    |                           |                              |        | 09/28/2010<br>-330.00   | 07/15/2011 |
| 1,296.00                               |                                | 160. VESTAS WIND SYS A/S<br>PROPERTY TYPE: SECURITIES<br>2,756.00                 |                    |                           |                              |        | 05/17/2010<br>-1,460.00 | 07/15/2011 |
| 2,380.00                               |                                | 27. VISA INC-CLASS A SHARES<br>PROPERTY TYPE: SECURITIES<br>2,022.00              |                    |                           |                              |        | 02/10/2011<br>358.00    | 07/15/2011 |
| 1,675.00                               |                                | 19. VISA INC-CLASS A SHARES<br>PROPERTY TYPE: SECURITIES<br>1,421.00              |                    |                           |                              |        | 12/01/2010<br>254.00    | 07/15/2011 |
| 4,408.00                               |                                | 50. VISA INC-CLASS A SHARES<br>PROPERTY TYPE: SECURITIES<br>4,007.00              |                    |                           |                              |        | 06/10/2010<br>401.00    | 07/15/2011 |
| 4,091.00                               |                                | 159. VODAFONE GROUP SPD ADR REP 10 ORD S<br>PROPERTY TYPE: SECURITIES<br>2,844.00 |                    |                           |                              |        | 04/24/2009<br>1,247.00  | 07/15/2011 |
| 2,985.00                               |                                | 116. VODAFONE GROUP SPD ADR REP 10 ORD S<br>PROPERTY TYPE: SECURITIES<br>1,895.00 |                    |                           |                              |        | 07/08/2002<br>1,090.00  | 07/15/2011 |
| 2,169.00                               |                                | 47. WABCO HLDGS INC<br>PROPERTY TYPE: SECURITIES<br>2,346.00                      |                    |                           |                              |        | 08/15/2011<br>-177.00   | 11/16/2011 |
| 6,171.00                               |                                | 147. WABCO HLDGS INC<br>PROPERTY TYPE: SECURITIES<br>7,175.00                     |                    |                           |                              |        | 09/27/2011<br>-1,004.00 | 11/21/2011 |
| 4,106.00                               |                                | 140. WAL-MART DE MEXICO SA-SP ADR<br>PROPERTY TYPE: SECURITIES<br>1,652.00        |                    |                           |                              |        | 03/16/2009<br>2,454.00  | 07/15/2011 |
| 416.00                                 |                                | 16. WAL-MART DE MEXICO SA-SP ADR<br>PROPERTY TYPE: SECURITIES<br>395.00           |                    |                           |                              |        | 08/11/2011<br>21.00     | 08/30/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                          |                          |                                 |                                    | P<br>or<br>D | Date<br>acquired        | Date sold  |
|----------------------------------------------|---------------------------------------|----------------------------------------------------------------------|--------------------------|---------------------------------|------------------------------------|--------------|-------------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                            | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss)    |            |
| 3,689.00                                     |                                       | 170.2922 WEYERHAEUSER CO<br>PROPERTY TYPE: SECURITIES<br>2,655.00    |                          |                                 |                                    |              | 09/01/2010<br>1,034.00  | 07/15/2011 |
| 2,160.00                                     |                                       | 99.7078 WEYERHAEUSER CO<br>PROPERTY TYPE: SECURITIES<br>4,173.00     |                          |                                 |                                    |              | 04/16/2009<br>-2,013.00 | 07/15/2011 |
| 2,596.00                                     |                                       | 23. WYNN RESORTS LTD<br>PROPERTY TYPE: SECURITIES<br>3,387.00        |                          |                                 |                                    |              | 08/15/2011<br>-791.00   | 09/30/2011 |
| 3,140.00                                     |                                       | 26. WYNN RESORTS LTD<br>PROPERTY TYPE: SECURITIES<br>3,829.00        |                          |                                 |                                    |              | 08/15/2011<br>-689.00   | 11/17/2011 |
| 733.00                                       |                                       | 50. YAHOO INC<br>PROPERTY TYPE: SECURITIES<br>767.00                 |                          |                                 |                                    |              | 12/02/2009<br>-34.00    | 06/17/2011 |
| 1,036.00                                     |                                       | 70. YAHOO INC<br>PROPERTY TYPE: SECURITIES<br>957.00                 |                          |                                 |                                    |              | 03/20/2009<br>79.00     | 07/14/2011 |
| 2,286.00                                     |                                       | 155. YAHOO INC<br>PROPERTY TYPE: SECURITIES<br>2,077.00              |                          |                                 |                                    |              | 03/27/2009<br>209.00    | 07/15/2011 |
| 3,272.00                                     |                                       | 62. YUM BRANDS INC<br>PROPERTY TYPE: SECURITIES<br>3,186.00          |                          |                                 |                                    |              | 08/15/2011<br>86.00     | 09/02/2011 |
| 4,142.00                                     |                                       | 80. COVIDIEN PLC<br>PROPERTY TYPE: SECURITIES<br>4,061.00            |                          |                                 |                                    |              | 12/07/2006<br>81.00     | 07/15/2011 |
| 2,090.00                                     |                                       | 125. SEAGATE TECHNOLOGY PLC<br>PROPERTY TYPE: SECURITIES<br>1,378.00 |                          |                                 |                                    |              | 08/12/2010<br>712.00    | 07/15/2011 |
| 4,598.00                                     |                                       | 275. SEAGATE TECHNOLOGY PLC<br>PROPERTY TYPE: SECURITIES<br>1,760.00 |                          |                                 |                                    |              | 04/16/2009<br>2,838.00  | 07/15/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                                   |                          |                                 |                                    | P<br>or<br>D | Date<br>acquired           | Date sold  |
|----------------------------------------------|---------------------------------------|-------------------------------------------------------------------------------|--------------------------|---------------------------------|------------------------------------|--------------|----------------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                                     | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss)       |            |
| 3,354.00                                     |                                       | 60. ALLIED WORLD ASS. COM USD0.03<br>PROPERTY TYPE: SECURITIES<br>2,558.00    |                          |                                 |                                    |              | 05/19/2010<br>796.00       | 07/15/2011 |
| 8,145.00                                     |                                       | 445. WEATHERFORD INT LTD COM USD1.00<br>PROPERTY TYPE: SECURITIES<br>5,156.00 |                          |                                 |                                    |              | 04/01/2009<br>2,989.00     | 07/15/2011 |
| 7,342.00                                     |                                       | 210. TE CONNECTIVITY LTD<br>PROPERTY TYPE: SECURITIES<br>7,796.00             |                          |                                 |                                    |              | 11/09/2007<br>-454.00      | 07/15/2011 |
| 9,059.00                                     |                                       | 190. TYCO INTERNATIONAL COM USD0.80<br>PROPERTY TYPE: SECURITIES<br>8,519.00  |                          |                                 |                                    |              | 11/09/2007<br>540.00       | 07/15/2011 |
| 3,560.00                                     |                                       | 76. TYCO INTERNATIONAL COM USD0.80<br>PROPERTY TYPE: SECURITIES<br>3,129.00   |                          |                                 |                                    |              | 08/15/2011<br>431.00       | 12/30/2011 |
| 3,350.00                                     |                                       | 200. UBS AG<br>PROPERTY TYPE: SECURITIES<br>2,923.00                          |                          |                                 |                                    |              | 12/08/2009<br>427.00       | 07/15/2011 |
| 3,066.00                                     |                                       | 90. ASML HOLDING NV-UY REG SHS<br>PROPERTY TYPE: SECURITIES<br>1,488.00       |                          |                                 |                                    |              | 03/16/2009<br>1,578.00     | 07/15/2011 |
| 8,031.00                                     |                                       | 70. CORE LABORATORIES N.V.<br>PROPERTY TYPE: SECURITIES<br>792.00             |                          |                                 |                                    |              | 09/27/2000<br>7,239.00     | 07/05/2011 |
| TOTAL GAIN(LOSS) .....                       |                                       | .....                                                                         |                          |                                 |                                    |              | -----<br>210,857.<br>===== |            |

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES  
=====

| DESCRIPTION<br>-----   | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS<br>----- | NET<br>INVESTMENT<br>INCOME<br>----- |
|------------------------|--------------------------------------------------|--------------------------------------|
| INTEREST AND DIVIDENDS | 12,347.                                          | 12,295.                              |
|                        | -----                                            | -----                                |
| TOTAL                  | 12,347.                                          | 12,295.                              |
|                        | =====                                            | =====                                |

## FORM 990PF, PART I - OTHER PROFESSIONAL FEES

=====

| DESCRIPTION<br>-----    | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS<br>----- | NET<br>INVESTMENT<br>INCOME<br>----- | CHARITABLE<br>PURPOSES<br>----- |
|-------------------------|--------------------------------------------------|--------------------------------------|---------------------------------|
| OTHER PROFESSIONAL FEES | 9,751.                                           | 3,999.                               | 5,752.                          |
|                         | -----                                            | -----                                | -----                           |
| TOTALS                  | 9,751.                                           | 3,999.                               | 5,752.                          |
|                         | =====                                            | =====                                | =====                           |

## FORM 990PF, PART I - TAXES

=====

| DESCRIPTION<br>-----   | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS<br>----- | NET<br>INVESTMENT<br>INCOME<br>----- |
|------------------------|--------------------------------------------------|--------------------------------------|
| FOREIGN TAXES          | 482.                                             | 537.                                 |
| PRIOR YEAR BALANCE DUE | 196.                                             |                                      |
| ESTIMATES              | 196.                                             |                                      |
|                        | -----                                            | -----                                |
| TOTALS                 | 874.                                             | 537.                                 |
|                        | =====                                            | =====                                |

30-15C066471768 BOB HONG FOUNDATION

04-6911786

## FORM 990PF, PART I - OTHER EXPENSES

00  
01  
02  
03  
04  
05  
06  
07  
08  
09  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65  
66  
67  
68  
69  
70  
71  
72  
73  
74  
75  
76  
77  
78  
79  
80  
81  
82  
83  
84  
85  
86  
87  
88  
89  
90  
91  
92  
93  
94  
95  
96  
97  
98  
99

REVENUE  
AND  
EXPENSES  
PER BOOKS

**NET  
INVESTMENT  
INCOME**

CHARITABLE  
PURPOSES

DESCRIPTION -----

ADR FEES  
STATE FILING FEES

74.  
35.

74.

35.

TOTALS

109.

74.

35.

FORM 990PF, PART II - OTHER INVESTMENTS  
=====

| DESCRIPTION<br>-----   | COST/<br>FMV<br>C OR F<br>----- | BEGINNING<br>BOOK VALUE<br>----- | ENDING<br>BOOK VALUE<br>----- | ENDING<br>FMV<br>----- |
|------------------------|---------------------------------|----------------------------------|-------------------------------|------------------------|
|                        |                                 |                                  |                               |                        |
| SEE ATTACHED STATEMENT | C                               | 567,566.                         | 768,035.                      | 791,524.               |
|                        |                                 | -----                            | -----                         | -----                  |
| TOTALS                 |                                 | 567,566.                         | 768,035.                      | 791,524.               |
|                        |                                 | =====                            | =====                         | =====                  |

## FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

=====

DESCRIPTION

AMOUNT

-----

-----

TAX COST ADJUSTMENTS

137.

-----

TOTAL

137.

=====

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES  
=====DESCRIPTION  
-----AMOUNT  
-----

BROADCOM COST BASIS ADJUSTMENT

27.

TOTAL

-----  
27.  
=====

FORM 990PF, PART VII-A, LINE 14 - BOOKS ARE IN THE CARE OF  
=====

NAME: ANDREW HONG

ADDRESS: C/O CITI TRUST, ONE COURT SQUARE -29 FL  
NEW YORK, NY 11120

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

ANDREW HONG

ADDRESS:

P.O. BOX 990842

BOSTON, MA 02199

TITLE:

TRUSTEE, AS REQ'D

OFFICER NAME:

CITIGROUP TRUST - DELAWARE, N.A.

ADDRESS:

ONE COURT SQUARE - 29TH FLOOR

LONG ISLAND CITY, NY 11120

TITLE:

AGENT, AS REQ'D

RECIPIENT NAME:  
LEUKEMIA & LYMPHOMA SOC.  
OF AMERICA  
ADDRESS:  
C/O PAUL MCCARRON  
WEST ROXBURY, MA 02132-3254  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
GENERAL CHARITABLE PURPOSE  
FOUNDATION STATUS OF RECIPIENT:  
N/A  
AMOUNT OF GRANT PAID ..... 2,000.

RECIPIENT NAME:  
CENTER FOR INDEPENDENT DOCUMENTARY  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
GENERAL CHARITABLE PURPOSES  
FOUNDATION STATUS OF RECIPIENT:  
N/A  
AMOUNT OF GRANT PAID ..... 10,000.

RECIPIENT NAME:  
MASSACHUSETTS INSTITUTE OF  
TECHNOLOGY  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
GENERAL CHARITABLE PURPOSE  
FOUNDATION STATUS OF RECIPIENT:  
N/A  
AMOUNT OF GRANT PAID ..... 10,000.

=====

## RECIPIENT NAME:

ATRIUM SCHOOL

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL CHARITABLE PURPOSE

## FOUNDATION STATUS OF RECIPIENT:

N/A

AMOUNT OF GRANT PAID ..... 3,500.

## RECIPIENT NAME:

GIRLS ROCK CAMP BOSTON, INC

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL CHARITABLE PURPOSES

## FOUNDATION STATUS OF RECIPIENT:

N/A

AMOUNT OF GRANT PAID ..... 2,000.

## RECIPIENT NAME:

WOMEN'S AUDIO MISSION

## RELATIONSHIP:

NON

## PURPOSE OF GRANT:

GENERAL CHARITABLE PURPOSE

## FOUNDATION STATUS OF RECIPIENT:

N/A

AMOUNT OF GRANT PAID ..... 1,500.

## TOTAL GRANTS PAID:

29,000.

=====

**SCHEDULE D  
(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Capital Gains and Losses**

► Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

**2011**

Name of estate or trust

30-15C066471768 BOB HONG FOUNDATION

Employer identification number

04-6911786

**Note:** Form 5227 filers need to complete only Parts I and II.

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property<br>(Example: 100 shares 7% preferred of "Z" Co.)                                                                           | (b) Date acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss) for<br>the entire year<br>Subtract (e) from (d) |
|--------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|----------------------------------|-----------------|-----------------------------------------------|--------------------------------------------------------------------|
| <b>1a</b>                                                                                                                                              |                                      |                                  |                 |                                               |                                                                    |
|                                                                                                                                                        |                                      |                                  |                 |                                               |                                                                    |
|                                                                                                                                                        |                                      |                                  |                 |                                               |                                                                    |
|                                                                                                                                                        |                                      |                                  |                 |                                               |                                                                    |
|                                                                                                                                                        |                                      |                                  |                 |                                               |                                                                    |
| <b>b</b> Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b . . . . .                                                             |                                      |                                  |                 |                                               | <b>1b</b> -12,994.                                                 |
| <b>2</b> Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 . . . . .                                                             |                                      |                                  |                 |                                               | <b>2</b>                                                           |
| <b>3</b> Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .                                        |                                      |                                  |                 |                                               | <b>3</b>                                                           |
| <b>4</b> Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2010 Capital Loss Carryover Worksheet . . . . .               |                                      |                                  |                 |                                               | <b>4</b> ( )                                                       |
| <b>5</b> <b>Net short-term gain or (loss).</b> Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back . . . . . ► |                                      |                                  |                 |                                               | <b>5</b> -12,994.                                                  |

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property<br>(Example: 100 shares 7% preferred of "Z" Co.)                                                                             | (b) Date acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss) for<br>the entire year<br>Subtract (e) from (d) |
|----------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|----------------------------------|-----------------|-----------------------------------------------|--------------------------------------------------------------------|
| <b>6a</b><br>LONG-TERM CAPITAL GAIN DIVIDENDS                                                                                                            |                                      |                                  |                 |                                               | 1,033.                                                             |
|                                                                                                                                                          |                                      |                                  |                 |                                               |                                                                    |
|                                                                                                                                                          |                                      |                                  |                 |                                               |                                                                    |
|                                                                                                                                                          |                                      |                                  |                 |                                               |                                                                    |
|                                                                                                                                                          |                                      |                                  |                 |                                               |                                                                    |
| <b>b</b> Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b . . . . .                                                                |                                      |                                  |                 |                                               | <b>6b</b> 222,818.                                                 |
| <b>7</b> Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 . . . . .                                                          |                                      |                                  |                 |                                               | <b>7</b>                                                           |
| <b>8</b> Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .                                           |                                      |                                  |                 |                                               | <b>8</b>                                                           |
| <b>9</b> Capital gain distributions . . . . .                                                                                                            |                                      |                                  |                 |                                               | <b>9</b>                                                           |
| <b>10</b> Gain from Form 4797, Part I . . . . .                                                                                                          |                                      |                                  |                 |                                               | <b>10</b>                                                          |
| <b>11</b> Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2010 Capital Loss Carryover Worksheet . . . . .                |                                      |                                  |                 |                                               | <b>11</b> ( )                                                      |
| <b>12</b> <b>Net long-term gain or (loss).</b> Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back . . . . . ► |                                      |                                  |                 |                                               | <b>12</b> 223,851.                                                 |

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2011

**Part III Summary of Parts I and II****Caution: Read the instructions before completing this part.**

|                                                                              | (1) Beneficiaries' (see instr.) | (2) Estate's or trust's | (3) Total |
|------------------------------------------------------------------------------|---------------------------------|-------------------------|-----------|
| <b>13</b> Net short-term gain or (loss) . . . . .                            | <b>13</b>                       |                         | -12,994.  |
| <b>14</b> Net long-term gain or (loss):                                      |                                 |                         |           |
| <b>a</b> Total for year . . . . .                                            | <b>14a</b>                      |                         | 223,851.  |
| <b>b</b> Unrecaptured section 1250 gain (see line 18 of the wrksht.) . . . . | <b>14b</b>                      |                         |           |
| <b>c</b> 28% rate gain . . . . .                                             | <b>14c</b>                      |                         |           |
| <b>15</b> Total net gain or (loss). Combine lines 13 and 14a . . . . . ▶     | <b>15</b>                       |                         | 210,857.  |

**Note:** If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

**Part IV Capital Loss Limitation**

|                                                                                                                               |           |     |
|-------------------------------------------------------------------------------------------------------------------------------|-----------|-----|
| <b>16</b> Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of: | <b>16</b> | ( ) |
| <b>a</b> The loss on line 15, column (3) or <b>b</b> \$3,000 . . . . .                                                        |           |     |

**Note:** If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

**Part V Tax Computation Using Maximum Capital Gains Rates**

**Form 1041 filers.** Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

**Caution:** Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:

- Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

**Form 990-T trusts.** Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

|                                                                                                                                                                                                                                                                    |           |           |  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|-----------|--|
| <b>17</b> Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) . . .                                                                                                                                                                              | <b>17</b> |           |  |
| <b>18</b> Enter the smaller of line 14a or 15 in column (2) but not less than zero . . . . .                                                                                                                                                                       | <b>18</b> |           |  |
| <b>19</b> Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . .                                                                                           | <b>19</b> |           |  |
| <b>20</b> Add lines 18 and 19 . . . . .                                                                                                                                                                                                                            | <b>20</b> |           |  |
| <b>21</b> If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- . . . ▶                                                                                                                                                  | <b>21</b> |           |  |
| <b>22</b> Subtract line 21 from line 20. If zero or less, enter -0- . . . . .                                                                                                                                                                                      | <b>22</b> |           |  |
| <b>23</b> Subtract line 22 from line 17. If zero or less, enter -0- . . . . .                                                                                                                                                                                      | <b>23</b> |           |  |
| <b>24</b> Enter the smaller of the amount on line 17 or \$2,300 . . . . .                                                                                                                                                                                          | <b>24</b> |           |  |
| <b>25</b> Is the amount on line 23 equal to or more than the amount on line 24?<br><input type="checkbox"/> <b>Yes.</b> Skip lines 25 and 26; go to line 27 and check the "No" box.<br><input type="checkbox"/> <b>No.</b> Enter the amount from line 23 . . . . . | <b>25</b> |           |  |
| <b>26</b> Subtract line 25 from line 24 . . . . .                                                                                                                                                                                                                  | <b>26</b> |           |  |
| <b>27</b> Are the amounts on lines 22 and 26 the same?<br><input type="checkbox"/> <b>Yes.</b> Skip lines 27 thru 30, go to line 31 <input type="checkbox"/> <b>No.</b> Enter the smaller of line 17 or line 22 . . . . .                                          | <b>27</b> |           |  |
| <b>28</b> Enter the amount from line 26 (If line 26 is blank, enter -0-) . . . . .                                                                                                                                                                                 | <b>28</b> |           |  |
| <b>29</b> Subtract line 28 from line 27 . . . . .                                                                                                                                                                                                                  | <b>29</b> |           |  |
| <b>30</b> Multiply line 29 by 15% (.15) . . . . .                                                                                                                                                                                                                  |           | <b>30</b> |  |
| <b>31</b> Figure the tax on the amount on line 23. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . .                                                                             |           | <b>31</b> |  |
| <b>32</b> Add lines 30 and 31 . . . . .                                                                                                                                                                                                                            |           | <b>32</b> |  |
| <b>33</b> Figure the tax on the amount on line 17. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . .                                                                             |           | <b>33</b> |  |
| <b>34</b> Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) . . . . .                                                                                                         |           | <b>34</b> |  |

Schedule D (Form 1041) 2011

**SCHEDULE D-1**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D**  
**(Form 1041)**

► See instructions for Schedule D (Form 1041).  
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

**2011**

Name of estate or trust

30-15C066471768 BOB HONG FOUNDATION

Employer identification number

04-6911786

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example<br>100 sh. 7% preferred of "Z" Co.) | (b) Date<br>acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|--------------------------------------------------------------------------|-----------------------------------------|----------------------------------|-----------------|-----------------------------------------------|---------------------------------------------|
| 1a 20. AKAMAI TECHNOLOGIES IN                                            | 08/06/2010                              | 05/17/2011                       | 641.00          | 790.00                                        | -149.00                                     |
| 38. AKAMAI TECHNOLOGIES IN                                               | 03/17/2011                              | 05/17/2011                       | 1,217.00        | 1,400.00                                      | -183.00                                     |
| 19. AKAMAI TECHNOLOGIES IN                                               | 03/17/2011                              | 07/15/2011                       | 561.00          | 700.00                                        | -139.00                                     |
| 28.00026 ALBEMARLE CORP                                                  | 08/15/2011                              | 09/22/2011                       | 1,161.00        | 1,644.00                                      | -483.00 *                                   |
| 48.99974 ALBEMARLE CORP                                                  | 08/15/2011                              | 09/22/2011                       | 2,031.00        | 2,877.00                                      | -846.00                                     |
| 39. ALLEGHENY TECHNOLOGIES                                               | 08/15/2011                              | 10/20/2011                       | 1,485.00        | 1,911.00                                      | -426.00                                     |
| 34. ALLEGHENY TECHNOLOGIES                                               | 08/15/2011                              | 11/17/2011                       | 1,603.00        | 1,666.00                                      | -63.00                                      |
| 31. ALLEGHENY TECHNOLOGIES                                               | 08/15/2011                              | 11/23/2011                       | 1,327.00        | 1,519.00                                      | -192.00                                     |
| 20. ANADARKO PETE CORP                                                   | 08/24/2010                              | 07/15/2011                       | 1,584.00        | 921.00                                        | 663.00                                      |
| 40. ANHEUSER BUSCH INBEV S                                               | 12/07/2010                              | 07/15/2011                       | 2,233.00        | 2,304.00                                      | -71.00                                      |
| 21. ANHEUSER BUSCH INBEV S                                               | 01/06/2011                              | 07/15/2011                       | 1,172.00        | 1,211.00                                      | -39.00                                      |
| 118. ANNALY CAPITOL MGMT I                                               | 07/12/2011                              | 07/15/2011                       | 2,110.00        | 2,129.00                                      | -19.00                                      |
| 31. APACHE CORP                                                          | 08/12/2010                              | 07/15/2011                       | 3,802.00        | 2,860.00                                      | 942.00                                      |
| 26. APACHE CORP                                                          | 06/17/2011                              | 07/15/2011                       | 3,189.00        | 3,048.00                                      | 141.00                                      |
| 4. APPLE COMPUTER INC                                                    | 08/15/2011                              | 10/19/2011                       | 1,622.00        | 1,531.00                                      | 91.00                                       |
| 6. APPLE COMPUTER INC                                                    | 08/15/2011                              | 10/20/2011                       | 2,387.00        | 2,297.00                                      | 90.00                                       |
| 23. ARCELORMITTAL-NY REGIS                                               | 08/11/2011                              | 11/28/2011                       | 387.00          | 516.00                                        | -129.00                                     |
| 20. ARCELORMITTAL-NY REGIS                                               | 08/11/2011                              | 12/01/2011                       | 380.00          | 448.00                                        | -68.00                                      |
| 38. ARCELORMITTAL-NY REGIS                                               | 08/11/2011                              | 12/09/2011                       | 714.00          | 852.00                                        | -138.00                                     |
| 11. ARCELORMITTAL-NY REGIS                                               | 08/11/2011                              | 12/12/2011                       | 190.00          | 247.00                                        | -57.00                                      |
| 12. ARM HOLDINGS PLC-SPONS                                               | 08/11/2011                              | 10/11/2011                       | 332.00          | 303.00                                        | 29.00                                       |
| 9. BASF AKTIENGESELLSCHAFT                                               | 11/05/2010                              | 07/15/2011                       | 864.00          | 727.00                                        | 137.00                                      |
| 59. BNP PARIBAS SPND ADR                                                 | 08/11/2011                              | 09/16/2011                       | 1,208.00        | 1,540.00                                      | -332.00                                     |
| 34. BNP PARIBAS SPND ADR                                                 | 08/11/2011                              | 09/20/2011                       | 582.00          | 887.00                                        | -305.00                                     |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

**SCHEDULE D-1  
(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D  
(Form 1041)**

► See instructions for Schedule D (Form 1041).  
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

**2011**

|                                                                       |                                                     |
|-----------------------------------------------------------------------|-----------------------------------------------------|
| Name of estate or trust<br><b>30-15C066471768 BOB HONG FOUNDATION</b> | Employer identification number<br><b>04-6911786</b> |
|-----------------------------------------------------------------------|-----------------------------------------------------|

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co) | (b) Date<br>acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|------------------------------------------------------------------------|-----------------------------------------|----------------------------------|-----------------|-----------------------------------------------|---------------------------------------------|
| <b>1a 42. BNP PARIBAS SPND ADR</b>                                     | 08/11/2011                              | 09/21/2011                       | 677.00          | 1,096.00                                      | -419.00                                     |
| 16. BANK OF AMERICA CORP                                               | 06/10/2011                              | 07/15/2011                       | 161.00          | 175.00                                        | -14.00                                      |
| 145. BANK OF NEW YORK MELL                                             | 09/03/2010                              | 03/11/2011                       | 4,197.00        | 3,577.00                                      | 620.00                                      |
| 178. BARCLAYS PLC ADR                                                  | 03/18/2011                              | 07/15/2011                       | 2,599.00        | 3,284.00                                      | -685.00                                     |
| 16. BAYER A G 00                                                       | 08/11/2011                              | 09/06/2011                       | 838.00          | 1,026.00                                      | -188.00                                     |
| 16. BAYER A G 00                                                       | 08/11/2011                              | 09/15/2011                       | 862.00          | 1,026.00                                      | -164.00                                     |
| 34. BHP BILLITON LTD 00                                                | 06/29/2011                              | 07/15/2011                       | 3,095.00        | 3,162.00                                      | -67.00                                      |
| 13. BLACKROCK INC                                                      | 11/09/2010                              | 07/15/2011                       | 2,395.00        | 2,162.00                                      | 233.00                                      |
| 3. BLACKROCK INC                                                       | 11/09/2010                              | 07/15/2011                       | 553.00          | 499.00                                        | 54.00                                       |
| 6. BOEING CO                                                           | 03/16/2011                              | 07/15/2011                       | 427.00          | 408.00                                        | 19.00                                       |
| 28. BOEING CO                                                          | 09/21/2010                              | 07/15/2011                       | 1,994.00        | 1,798.00                                      | 196.00                                      |
| 84. BROADCOM CORP-CL A                                                 | 06/08/2011                              | 07/15/2011                       | 2,791.00        | 2,813.00                                      | -22.00                                      |
| 12. CME GROUP INC                                                      | 03/10/2011                              | 07/15/2011                       | 3,494.00        | 3,612.00                                      | -118.00                                     |
| 47. CAMERON INTL CORP                                                  | 07/14/2011                              | 07/15/2011                       | 2,350.00        | 2,178.00                                      | 172.00                                      |
| 26. CELGENE CORP 00                                                    | 01/28/2011                              | 07/15/2011                       | 1,576.00        | 1,357.00                                      | 219.00                                      |
| 83. CHICAGO BRIDGE & I NLG                                             | 08/15/2011                              | 10/04/2011                       | 2,100.00        | 2,922.00                                      | -822.00                                     |
| 25. CHINA MERCHANTS HLDGS                                              | 08/11/2011                              | 09/08/2011                       | 733.00          | 772.00                                        | -39.00                                      |
| 20. CHINA MERCHANTS HLDGS                                              | 08/11/2011                              | 09/09/2011                       | 568.00          | 618.00                                        | -50.00                                      |
| 14. CHINA MERCHANTS HLDGS                                              | 08/11/2011                              | 09/16/2011                       | 374.00          | 432.00                                        | -58.00                                      |
| 20. CHINA MERCHANTS HLDGS                                              | 08/11/2011                              | 09/19/2011                       | 512.00          | 618.00                                        | -106.00                                     |
| 62. CISCO SYS INC                                                      | 05/26/2011                              | 07/15/2011                       | 966.00          | 1,009.00                                      | -43.00                                      |
| 92. CISCO SYS INC                                                      | 05/26/2011                              | 07/15/2011                       | 1,434.00        | 1,498.00                                      | -64.00                                      |
| 50. CITRIX SYS INC                                                     | 10/15/2010                              | 07/15/2011                       | 3,780.00        | 2,952.00                                      | 828.00                                      |
| 22. COGNIZANT TECHNOLOGY S<br>CORP                                     | 08/15/2011                              | 08/18/2011                       | 1,217.00        | 1,390.00                                      | -173.00                                     |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

**SCHEDULE D-1**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D**  
**(Form 1041)**

► See instructions for Schedule D (Form 1041).  
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

**2011**

Name of estate or trust

30-15C066471768 BOB HONG FOUNDATION

Employer identification number

04-6911786

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example:<br>100 sh 7% preferred of "Z" Co.) | (b) Date<br>acquired<br>(mo, day, yr.) | (c) Date sold<br>(mo, day, yr.) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|--------------------------------------------------------------------------|----------------------------------------|---------------------------------|-----------------|-----------------------------------------------|---------------------------------------------|
| 1a 67. COGNIZANT TECHNOLOGY S<br>CORP                                    | 08/15/2011                             | 08/19/2011                      | 3,809.00        | 4,232.00                                      | -423.00                                     |
| 242. COMCAST CORP NEW CL A                                               | 07/14/2011                             | 07/15/2011                      | 5,622.00        | 5,573.00                                      | 49.00                                       |
| 1. COMCAST CORP NEW CL A S                                               | 06/17/2011                             | 07/15/2011                      | 23.00           | 22.00                                         | 1.00                                        |
| 3. DASSAULT SYS S A SPD AD                                               | 08/11/2011                             | 11/04/2011                      | 250.00          | 239.00                                        | 11.00                                       |
| 4. DASSAULT SYS S A SPD AD                                               | 08/11/2011                             | 12/14/2011                      | 313.00          | 318.00                                        | -5.00                                       |
| 1. DASSAULT SYS S A SPD AD                                               | 08/11/2011                             | 12/23/2011                      | 78.00           | 80.00                                         | -2.00                                       |
| 1. DASSAULT SYS S A SPD AD                                               | 08/11/2011                             | 12/27/2011                      | 78.00           | 80.00                                         | -2.00                                       |
| 19. DEERE & CO                                                           | 06/29/2011                             | 07/15/2011                      | 1,540.00        | 1,555.00                                      | -15.00                                      |
| 37. DISCOVERY COMMUNICATNS                                               | 08/15/2011                             | 11/11/2011                      | 1,603.00        | 1,453.00                                      | 150.00                                      |
| 31. DOW CHEM CO                                                          | 08/15/2011                             | 09/22/2011                      | 730.00          | 924.00                                        | -194.00                                     |
| 83. DOW CHEM CO                                                          | 08/15/2011                             | 09/22/2011                      | 2,012.00        | 2,474.00                                      | -462.00                                     |
| 124. DOW CHEM CO                                                         | 08/15/2011                             | 11/17/2011                      | 3,269.00        | 3,696.00                                      | -427.00                                     |
| 94. EATON CORP                                                           | 06/17/2011                             | 07/15/2011                      | 4,797.00        | 4,496.00                                      | 301.00                                      |
| 75. EBAY INC                                                             | 08/06/2010                             | 07/15/2011                      | 2,445.00        | 1,595.00                                      | 850.00                                      |
| 71. EBAY INC                                                             | 08/15/2011                             | 11/17/2011                      | 2,104.00        | 2,173.00                                      | -69.00                                      |
| 100. ELSTER GROUP SEADR                                                  | 06/29/2011                             | 07/15/2011                      | 1,631.00        | 1,604.00                                      | 27.00                                       |
| 18. EMCOR GROUP INC                                                      | 08/30/2010                             | 07/15/2011                      | 529.00          | 407.00                                        | 122.00                                      |
| 24. FANUC CORPORATION                                                    | 08/11/2011                             | 08/17/2011                      | 682.00          | 668.00                                        | 14.00                                       |
| 35. FIRST REP BK SAN FRAN                                                | 07/01/2011                             | 07/15/2011                      | 1,044.00        | 1,099.00                                      | -55.00                                      |
| 11. FIRST SOLAR INC                                                      | 11/18/2010                             | 03/28/2011                      | 1,702.00        | 1,366.00                                      | 336.00                                      |
| 7. FIRST SOLAR INC                                                       | 04/09/2010                             | 04/05/2011                      | 1,087.00        | 868.00                                        | 219.00                                      |
| 194. FORTUNE BRANDS HOME &                                               | 08/15/2011                             | 10/05/2011                      | 2,346.00        | 2,482.00                                      | -136.00                                     |
| 35. GAP INC. DEL                                                         | 10/21/2010                             | 07/15/2011                      | 657.00          | 665.00                                        | -8.00                                       |
| 53. GAZPROM OAO SPD ADR                                                  | 08/11/2011                             | 09/09/2011                      | 606.00          | 610.00                                        | -4.00                                       |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

**SCHEDULE D-1**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D**  
**(Form 1041)**

► See instructions for Schedule D (Form 1041).  
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

**2011**

|                                                                       |                                                     |
|-----------------------------------------------------------------------|-----------------------------------------------------|
| Name of estate or trust<br><b>30-15C066471768 BOB HONG FOUNDATION</b> | Employer identification number<br><b>04-6911786</b> |
|-----------------------------------------------------------------------|-----------------------------------------------------|

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example.<br>100 sh 7% preferred of "Z" Co.) | (b) Date<br>acquired<br>(mo, day, yr) | (c) Date sold<br>(mo, day, yr) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|--------------------------------------------------------------------------|---------------------------------------|--------------------------------|-----------------|-----------------------------------------------|---------------------------------------------|
| 1a 163. GAZPROM OAO SPD ADR                                              | 08/11/2011                            | 09/12/2011                     | 1,765.00        | 1,875.00                                      | -110.00                                     |
| 2. GOOGLE INC CL A                                                       | 07/26/2010                            | 07/15/2011                     | 1,195.00        | 975.00                                        | 220.00                                      |
| 11. GREENHILL & CO INC                                                   | 07/12/2011                            | 07/15/2011                     | 528.00          | 572.00                                        | -44.00                                      |
| 63. HASBRO INC                                                           | 08/15/2011                            | 10/13/2011                     | 2,191.00        | 2,381.00                                      | -190.00                                     |
| 43. HEINZ H J CO                                                         | 08/15/2011                            | 09/13/2011                     | 2,142.00        | 2,215.00                                      | -73.00                                      |
| 82. HEINZ H J CO                                                         | 08/15/2011                            | 09/15/2011                     | 4,194.00        | 4,224.00                                      | -30.00                                      |
| 59. HEINZ H J CO                                                         | 08/15/2011                            | 10/05/2011                     | 2,906.00        | 3,039.00                                      | -133.00                                     |
| 31. HEINZ H J CO                                                         | 08/15/2011                            | 10/11/2011                     | 1,580.00        | 1,597.00                                      | -17.00                                      |
| 38. HEINZ H J CO                                                         | 08/15/2011                            | 10/12/2011                     | 1,947.00        | 1,957.00                                      | -10.00                                      |
| 86. HUMAN GENOME SCIENCES                                                | 02/28/2011                            | 07/15/2011                     | 1,986.00        | 2,169.00                                      | -183.00                                     |
| 43. ICON PUB LTD CO                                                      | 09/28/2010                            | 07/15/2011                     | 1,057.00        | 939.00                                        | 118.00                                      |
| 12. ICON PUB LTD CO                                                      | 01/28/2011                            | 07/15/2011                     | 295.00          | 272.00                                        | 23.00                                       |
| 186. IMMUNOGEN INC                                                       | 11/08/2010                            | 07/15/2011                     | 2,846.00        | 1,447.00                                      | 1,399.00                                    |
| 35. INTERDIGITAL INC                                                     | 09/20/2011                            | 10/27/2011                     | 1,570.00        | 2,139.00                                      | -569.00                                     |
| 60. INTERDIGITAL INC                                                     | 08/15/2011                            | 10/28/2011                     | 2,640.00        | 3,599.00                                      | -959.00                                     |
| 160. ISIS PHARMACEUTICALS                                                | 10/15/2010                            | 07/15/2011                     | 1,459.00        | 1,457.00                                      | 2.00                                        |
| 20. J. P . MORGAN CHASE &                                                | 10/29/2010                            | 07/15/2011                     | 798.00          | 751.00                                        | 47.00                                       |
| 5. JACOBS ENGR GROUP INC                                                 | 06/29/2011                            | 07/15/2011                     | 207.00          | 212.00                                        | -5.00                                       |
| 10. JONES LANG LASALLE INC                                               | 06/14/2011                            | 07/15/2011                     | 906.00          | 908.00                                        | -2.00                                       |
| 12. KEYCORP (NEW) F/K/A SO                                               | 03/16/2011                            | 07/15/2011                     | 95.00           | 105.00                                        | -10.00                                      |
| .1115 KINDER MORGAN MANAGE                                               | 08/15/2011                            | 11/18/2011                     | 7.00            | 7.00                                          |                                             |
| 130. KOMATSU LTD SPNS ADR                                                | 08/15/2011                            | 09/07/2011                     | 3,239.00        | 3,465.00                                      | -226.00                                     |
| 140. KOMATSU LTD SPNS ADR                                                | 08/15/2011                            | 09/07/2011                     | 3,488.00        | 3,731.00                                      | -243.00 *                                   |
| 115. KOMATSU LTD SPNS ADR                                                | 08/15/2011                            | 09/12/2011                     | 2,576.00        | 3,065.00                                      | -489.00                                     |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

**SCHEDULE D-1**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D**  
**(Form 1041)**

► See instructions for Schedule D (Form 1041).  
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

**2011**

Name of estate or trust

30-15C066471768 BOB HONG FOUNDATION

Employer identification number

04-6911786

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example:<br>100 sh 7% preferred of 'Z' Co) | (b) Date<br>acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|-------------------------------------------------------------------------|-----------------------------------------|----------------------------------|-----------------|-----------------------------------------------|---------------------------------------------|
| 1a .8352 PHILIPS ELECTRONICS-                                           | 05/04/2011                              | 05/09/2011                       | 25.00           | 28.00                                         | -3.00                                       |
| 3. PHILIPS ELECTRONICS-NY                                               | 05/04/2011                              | 06/29/2011                       | 74.00           | 101.00                                        | -27.00                                      |
| 27. LORILLARD INC                                                       | 08/15/2011                              | 10/20/2011                       | 3,091.00        | 2,837.00                                      | 254.00                                      |
| 25. LORILLARD INC                                                       | 08/15/2011                              | 10/21/2011                       | 2,871.00        | 2,627.00                                      | 244.00                                      |
| 10. LORILLARD INC                                                       | 08/15/2011                              | 11/10/2011                       | 1,077.00        | 1,051.00                                      | 26.00                                       |
| 42. MASCO CORPORATION                                                   | 01/03/2011                              | 07/15/2011                       | 476.00          | 548.00                                        | -72.00                                      |
| 27. MATTEL INC                                                          | 10/28/2010                              | 07/15/2011                       | 737.00          | 625.00                                        | 112.00                                      |
| 42. MICROSOFT CORP                                                      | 06/30/2011                              | 07/15/2011                       | 1,121.00        | 1,091.00                                      | 30.00                                       |
| 40. MICROSOFT CORP                                                      | 10/06/2010                              | 07/15/2011                       | 1,068.00        | 976.00                                        | 92.00                                       |
| 25. MORGAN STANLEY, DEAN W                                              | 10/20/2010                              | 07/15/2011                       | 528.00          | 634.00                                        | -106.00                                     |
| 308. MOTOROLA MOBILITY HLD                                              | 08/15/2011                              | 08/17/2011                       | 11,750.00       | 11,756.00                                     | -6.00                                       |
| 150. MOTOROLA MOBILITY HLD                                              | 08/15/2011                              | 08/18/2011                       | 5,700.00        | 5,726.00                                      | -26.00                                      |
| 187. MOTOROLA MOBILITY HLD                                              | 08/15/2011                              | 08/19/2011                       | 7,082.00        | 7,138.00                                      | -56.00                                      |
| 95. NATIONAL FUEL GAS COMP                                              | 08/15/2011                              | 12/21/2011                       | 5,253.00        | 5,794.00                                      | -541.00                                     |
| 35. NAVISTAR INTL CORP NEW                                              | 08/15/2011                              | 09/12/2011                       | 1,284.00        | 1,456.00                                      | -172.00                                     |
| 6. NESTLE S A SPONSORED AD<br>SH                                        | 08/11/2011                              | 08/31/2011                       | 367.00          | 374.00                                        | -7.00                                       |
| 59. NESTLE S A SPONSORED A<br>REG SH                                    | 08/15/2011                              | 10/24/2011                       | 3,440.00        | 3,699.00                                      | -259.00                                     |
| 48. NESTLE S A SPONSORED A<br>REG SH                                    | 08/15/2011                              | 10/26/2011                       | 2,758.00        | 3,009.00                                      | -251.00                                     |
| 34. NESTLE S A SPONSORED A<br>REG SH                                    | 08/15/2011                              | 11/02/2011                       | 1,946.00        | 2,131.00                                      | -185.00                                     |
| 40. NESTLE S A SPONSORED A<br>REG SH                                    | 08/15/2011                              | 11/03/2011                       | 2,318.00        | 2,508.00                                      | -190.00                                     |
| 71. NETAPP INC                                                          | 03/16/2011                              | 07/15/2011                       | 3,578.00        | 3,552.00                                      | 26.00                                       |
| 11. NEW ORIENTAL ED & TECH<br>ADR                                       | 08/11/2011                              | 09/13/2011                       | 354.00          | 331.00                                        | 23.00                                       |
| 7. NEW ORIENTAL ED & TECH<br>ADR                                        | 08/11/2011                              | 09/15/2011                       | 233.00          | 210.00                                        | 23.00                                       |
| 7. NEW ORIENTAL ED & TECH<br>ADR                                        | 08/11/2011                              | 10/17/2011                       | 211.00          | 210.00                                        | 1.00                                        |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

**SCHEDULE D-1**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D**  
**(Form 1041)**

► See instructions for Schedule D (Form 1041).  
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

**2011**

Name of estate or trust

30-15C066471768 BOB HONG FOUNDATION

Employer identification number

04-6911786

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example:<br>100 sh. 7% preferred of "Z" Co.) | (b) Date<br>acquired<br>(mo, day, yr) | (c) Date sold<br>(mo, day, yr) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|---------------------------------------------------------------------------|---------------------------------------|--------------------------------|-----------------|-----------------------------------------------|---------------------------------------------|
| 1a 20. NEW ORIENTAL ED & TECH<br>ADR                                      | 08/11/2011                            | 11/18/2011                     | 456.00          | 601.00                                        | -145.00                                     |
| 15. NEW ORIENTAL ED & TECH<br>ADR                                         | 08/11/2011                            | 11/21/2011                     | 346.00          | 451.00                                        | -105.00                                     |
| 17. NEW ORIENTAL ED & TECH<br>ADR                                         | 08/11/2011                            | 11/22/2011                     | 396.00          | 511.00                                        | -115.00                                     |
| 8. NEW ORIENTAL ED & TECH<br>ADR                                          | 08/11/2011                            | 11/23/2011                     | 185.00          | 241.00                                        | -56.00                                      |
| 7. NEW ORIENTAL ED & TECH<br>ADR                                          | 08/11/2011                            | 11/28/2011                     | 167.00          | 210.00                                        | -43.00                                      |
| 28. NIKE INC CL B                                                         | 06/07/2011                            | 07/15/2011                     | 2,554.00        | 2,267.00                                      | 287.00                                      |
| 7. NOVO NORDISK A S ADR                                                   | 08/15/2011                            | 08/26/2011                     | 692.00          | 784.00                                        | -92.00                                      |
| 36. NOVO NORDISK A S ADR                                                  | 08/15/2011                            | 08/26/2011                     | 3,558.00        | 4,034.00                                      | -476.00 *                                   |
| 50. NOVO NORDISK A S ADR                                                  | 08/15/2011                            | 10/06/2011                     | 4,835.00        | 5,603.00                                      | -768.00                                     |
| 18. NOVOZYMES A/S                                                         | 08/15/2011                            | 10/04/2011                     | 2,407.00        | 2,690.00                                      | -283.00                                     |
| 13. NVIDIA CORP 00                                                        | 05/21/2010                            | 05/17/2011                     | 225.00          | 168.00                                        | 57.00                                       |
| 104. OCCIDENTAL PETROLEUM                                                 | 08/15/2011                            | 11/15/2011                     | 10,168.00       | 9,180.00                                      | 988.00                                      |
| 38. OCCIDENTAL PETROLEUM C                                                | 08/15/2011                            | 11/16/2011                     | 3,801.00        | 3,354.00                                      | 447.00                                      |
| 123. ORACLE CORP                                                          | 12/08/2010                            | 07/15/2011                     | 3,936.00        | 3,536.00                                      | 400.00                                      |
| 130. ORACLE CORP                                                          | 09/29/2011                            | 12/21/2011                     | 3,337.00        | 3,730.00                                      | -393.00                                     |
| 25. ORACLE CORP                                                           | 08/29/2011                            | 12/22/2011                     | 647.00          | 678.00                                        | -31.00                                      |
| 75. ORIX CORP 00                                                          | 08/15/2011                            | 09/15/2011                     | 2,995.00        | 3,608.00                                      | -613.00                                     |
| 47. ORIX CORP 00                                                          | 08/15/2011                            | 11/07/2011                     | 2,022.00        | 2,261.00                                      | -239.00                                     |
| 108. OSHKOSH TRUCK CORP CL                                                | 01/21/2011                            | 07/15/2011                     | 3,323.00        | 3,900.00                                      | -577.00                                     |
| 29. OSHKOSH TRUCK CORP CL                                                 | 12/20/2010                            | 07/15/2011                     | 892.00          | 1,002.00                                      | -110.00                                     |
| 82. PEBBLEBROOK HOTEL TR                                                  | 06/29/2011                            | 07/15/2011                     | 1,643.00        | 1,600.00                                      | 43.00                                       |
| 42. PIMCO ETF TR 25YR+ ZER                                                | 08/18/2011                            | 09/16/2011                     | 3,966.00        | 3,885.00                                      | 81.00                                       |
| 48. PIMCO ETF TR 25YR+ ZER                                                | 08/18/2011                            | 09/20/2011                     | 4,732.00        | 4,161.00                                      | 571.00                                      |
| 20. PIMCO ETF TR 25YR+ ZER                                                | 08/15/2011                            | 09/27/2011                     | 2,061.00        | 1,658.00                                      | 403.00                                      |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

**SCHEDULE D-1**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D**  
**(Form 1041)**

► See instructions for Schedule D (Form 1041).  
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

**2011**

Name of estate or trust  
30-15C066471768 BOB HONG FOUNDATION

Employer identification number  
04-6911786

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example.<br>100 sh. 7% preferred of "Z" Co.) | (b) Date<br>acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|---------------------------------------------------------------------------|-----------------------------------------|----------------------------------|-----------------|-----------------------------------------------|---------------------------------------------|
| 1a 20. PIMCO ETF TR 25YR+ ZER                                             | 08/15/2011                              | 09/29/2011                       | 2,105.00        | 1,658.00                                      | 447.00                                      |
| 58. PROSHARES TR                                                          | 08/15/2011                              | 08/22/2011                       | 1,510.00        | 1,383.00                                      | 127.00                                      |
| 116. PROSHARES TR                                                         | 09/23/2011                              | 09/26/2011                       | 2,953.00        | 2,987.00                                      | -34.00                                      |
| 61. PROSHARES TR                                                          | 09/22/2011                              | 09/27/2011                       | 1,424.00        | 1,557.00                                      | -133.00                                     |
| 148. PROSHARES TR                                                         | 10/04/2011                              | 10/06/2011                       | 3,702.00        | 3,832.00                                      | -130.00                                     |
| 120. PROSHARES TR                                                         | 09/02/2011                              | 10/06/2011                       | 2,902.00        | 2,861.00                                      | 41.00                                       |
| 31.00201 PROSHARES TR                                                     | 08/15/2011                              | 10/11/2011                       | 699.00          | 739.00                                        | -40.00                                      |
| 18.99799 PROSHARES TR                                                     | 08/15/2011                              | 10/11/2011                       | 428.00          | 453.00                                        | -25.00 *                                    |
| 192. PROSHARES TR                                                         | 08/15/2011                              | 10/27/2011                       | 3,763.00        | 4,577.00                                      | -814.00 *                                   |
| 75. PROSHARES TR                                                          | 08/15/2011                              | 10/28/2011                       | 1,459.00        | 1,788.00                                      | -329.00 *                                   |
| 241.99957 PROSHARES TR                                                    | 11/21/2011                              | 11/30/2011                       | 4,964.00        | 5,549.00                                      | -585.00                                     |
| 17.00043 PROSHARES TR                                                     | 08/15/2011                              | 11/30/2011                       | 349.00          | 405.00                                        | -56.00 *                                    |
| 263. PROSHARES TR                                                         | 11/21/2011                              | 11/30/2011                       | 5,376.00        | 5,569.00                                      | -193.00                                     |
| 63. PROSHARES TR                                                          | 11/10/2011                              | 12/08/2011                       | 1,249.00        | 1,280.00                                      | -31.00                                      |
| 62. PROSHARES TR                                                          | 11/10/2011                              | 12/19/2011                       | 1,295.00        | 1,256.00                                      | 39.00                                       |
| 29. PROSHARES TR PSHS ULTS                                                | 08/15/2011                              | 08/22/2011                       | 1,733.00        | 1,560.00                                      | 173.00                                      |
| 6.99976 PROSHARES TR PSHS                                                 | 08/15/2011                              | 09/27/2011                       | 342.00          | 376.00                                        | -34.00 *                                    |
| 26.00024 PROSHARES TR PSHS                                                | 08/15/2011                              | 09/27/2011                       | 1,270.00        | 1,398.00                                      | -128.00                                     |
| 71. PROSHARES TR PSHS ULTS                                                | 10/04/2011                              | 10/06/2011                       | 3,718.00        | 3,928.00                                      | -210.00                                     |
| 91. PROSHARES TR PSHS ULTS                                                | 09/02/2011                              | 10/27/2011                       | 3,841.00        | 4,886.00                                      | -1,045.00 *                                 |
| 27. PROSHARES TR PSHS ULTS                                                | 09/23/2011                              | 10/28/2011                       | 1,147.00        | 1,430.00                                      | -283.00 *                                   |
| 48. PROSHARES TR PSHS ULTS                                                | 11/23/2011                              | 11/30/2011                       | 2,203.00        | 2,400.00                                      | -197.00                                     |
| 79. PROSHARES TR PSHS ULTS                                                | 09/23/2011                              | 11/30/2011                       | 3,626.00        | 4,108.00                                      | -482.00 *                                   |
| 102.99809 PROSHARES TR PSH<br>000                                         | 11/21/2011                              | 11/30/2011                       | 4,758.00        | 4,887.00                                      | -129.00                                     |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

**SCHEDULE D-1**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D**  
**(Form 1041)**

▶ See instructions for Schedule D (Form 1041).  
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

**2011**

Name of estate or trust

30-15C066471768 BOB HONG FOUNDATION

Employer identification number

04-6911786

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example: 100 sh 7% preferred of "Z" Co) | (b) Date acquired (mo., day, yr.) | (c) Date sold (mo., day, yr.) | (d) Sales price | (e) Cost or other basis (see instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|----------------------------------------------------------------------|-----------------------------------|-------------------------------|-----------------|--------------------------------------------|------------------------------------------|
| 1a 13.00191 PROSHARES TR PSHS                                        | 10/20/2011                        | 11/30/2011                    | 601.00          | 612.00                                     | -11.00                                   |
| 49. PROSHARES TR PSHS ULTS                                           | 11/18/2011                        | 12/21/2011                    | 2,234.00        | 2,268.00                                   | -34.00                                   |
| 134. PROSHARES TR                                                    | 08/15/2011                        | 09/08/2011                    | 2,698.00        | 2,729.00                                   | -31.00                                   |
| 99. PROSHARES TR                                                     | 09/22/2011                        | 10/06/2011                    | 2,415.00        | 2,385.00                                   | 30.00                                    |
| 21. PROSHARES TR                                                     | 08/15/2011                        | 10/11/2011                    | 476.00          | 428.00                                     | 48.00                                    |
| 30. PROSHARES RUSSELL 2000                                           | 08/15/2011                        | 08/22/2011                    | 1,770.00        | 1,564.00                                   | 206.00                                   |
| 29. PROSHARES RUSSELL 2000                                           | 09/22/2011                        | 09/27/2011                    | 1,538.00        | 1,712.00                                   | -174.00                                  |
| 66. PROSHARES RUSSELL 2000                                           | 10/04/2011                        | 10/06/2011                    | 3,651.00        | 4,020.00                                   | -369.00                                  |
| 17.99992 PROSHARES RUSSELL PROSHARES                                 | 08/15/2011                        | 10/27/2011                    | 722.00          | 938.00                                     | -216.00                                  |
| 71.00008 PROSHARES RUSSELL PROSHARES                                 | 09/23/2011                        | 10/27/2011                    | 2,848.00        | 3,848.00                                   | -1,000.00                                |
| 34. PROSHARES RUSSELL 2000                                           | 08/15/2011                        | 10/28/2011                    | 1,328.00        | 1,772.00                                   | -444.00                                  |
| 87. PROSHARES RUSSELL 2000                                           | 08/15/2011                        | 11/03/2011                    | 3,505.00        | 4,535.00                                   | -1,030.00                                |
| 131. PROSHARES RUSSELL 200                                           | 11/21/2011                        | 11/30/2011                    | 5,410.00        | 6,537.00                                   | -1,127.00                                |
| 125. PROSHARES RUSSELL 200                                           | 11/21/2011                        | 11/30/2011                    | 5,206.00        | 5,429.00                                   | -223.00                                  |
| 73. PROSHARES RUSSELL 2000                                           | 11/18/2011                        | 12/02/2011                    | 2,873.00        | 3,115.00                                   | -242.00                                  |
| 36. PROSHARES RUSSELL 2000                                           | 11/15/2011                        | 12/02/2011                    | 1,436.00        | 1,520.00                                   | -84.00                                   |
| 25. RED HAT INC 00                                                   | 10/12/2011                        | 12/20/2011                    | 1,049.00        | 1,144.00                                   | -95.00                                   |
| 30. RED HAT INC 00                                                   | 10/12/2011                        | 12/20/2011                    | 1,271.00        | 1,271.00                                   |                                          |
| 45. RED HAT INC 00                                                   | 09/15/2011                        | 12/21/2011                    | 1,782.00        | 1,792.00                                   | -10.00                                   |
| 61. ROCHE HOLDINGS LTD-SPO                                           | 08/25/2011                        | 10/13/2011                    | 2,380.00        | 2,582.00                                   | -202.00                                  |
| 137. ROCHE HOLDINGS LTD-SP                                           | 08/25/2011                        | 11/10/2011                    | 5,251.00        | 5,798.00                                   | -547.00                                  |
| 87. ROVI CORP                                                        | 08/29/2011                        | 11/09/2011                    | 2,624.00        | 4,074.00                                   | -1,450.00                                |
| 68. ROVI CORP                                                        | 08/15/2011                        | 11/10/2011                    | 1,880.00        | 3,172.00                                   | -1,292.00                                |
| 97. SLM CORP                                                         | 08/15/2011                        | 11/23/2011                    | 1,182.00        | 1,363.00                                   | -181.00                                  |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

**SCHEDULE D-1**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D**  
**(Form 1041)**

▶ See instructions for Schedule D (Form 1041).  
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

**2011**

Name of estate or trust  
30-15C066471768 BOB HONG FOUNDATION

Employer identification number  
04-6911786

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example:<br>100 sh. 7% preferred of "Z" Co) | (b) Date<br>acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|--------------------------------------------------------------------------|-----------------------------------------|----------------------------------|-----------------|-----------------------------------------------|---------------------------------------------|
| <b>1a</b> 23. SM ENERGY COMPANY                                          | 10/28/2011                              | 11/22/2011                       | 1,701.00        | 1,977.00                                      | -276.00                                     |
| 1. SM ENERGY COMPANY                                                     | 10/26/2011                              | 11/22/2011                       | 74.00           | 80.00                                         | -6.00                                       |
| 34. SPDR GOLD TRUST GLD SH                                               | 08/18/2011                              | 09/22/2011                       | 5,736.00        | 5,916.00                                      | -180.00                                     |
| 32. SPDR GOLD TRUST GLD SH                                               | 08/15/2011                              | 09/23/2011                       | 5,236.00        | 5,488.00                                      | -252.00                                     |
| 12. SABMILLER PLC SPD ADR                                                | 08/11/2011                              | 10/13/2011                       | 426.00          | 412.00                                        | 14.00                                       |
| 13. SABMILLER PLC SPD ADR                                                | 08/11/2011                              | 10/14/2011                       | 464.00          | 446.00                                        | 18.00                                       |
| 21. SALESFORCE COM INC                                                   | 09/19/2011                              | 11/21/2011                       | 2,258.00        | 2,814.00                                      | -556.00                                     |
| 17. SALESFORCE COM INC                                                   | 09/27/2011                              | 12/16/2011                       | 1,834.00        | 2,207.00                                      | -373.00                                     |
| 40. SANDISK CORP                                                         | 10/27/2010                              | 07/15/2011                       | 1,660.00        | 1,507.00                                      | 153.00                                      |
| 7. SAP AG-SPONSORED ADR                                                  | 08/11/2011                              | 11/21/2011                       | 405.00          | 367.00                                        | 38.00                                       |
| 8. SCHLUMBERGER LTD                                                      | 08/24/2010                              | 07/15/2011                       | 700.00          | 443.00                                        | 257.00                                      |
| 12. SCHLUMBERGER LTD                                                     | 08/24/2010                              | 07/15/2011                       | 1,051.00        | 664.00                                        | 387.00                                      |
| 49. SCHWAB CHARLES CORP NE                                               | 11/05/2010                              | 07/15/2011                       | 734.00          | 785.00                                        | -51.00                                      |
| 14. STERICYCLE INC                                                       | 08/15/2011                              | 11/11/2011                       | 1,114.00        | 1,130.00                                      | -16.00                                      |
| 15. STERICYCLE INC                                                       | 08/15/2011                              | 11/14/2011                       | 1,173.00        | 1,210.00                                      | -37.00                                      |
| 25. STERICYCLE INC                                                       | 08/15/2011                              | 11/16/2011                       | 1,964.00        | 2,017.00                                      | -53.00                                      |
| 112. SYMANTEC CORP                                                       | 05/18/2011                              | 07/15/2011                       | 2,125.00        | 2,120.00                                      | 5.00                                        |
| 43. TELEFONICA DE ESPANA S                                               | 08/11/2011                              | 11/23/2011                       | 751.00          | 850.00                                        | -99.00                                      |
| 40. TESCO PLC                                                            | 08/11/2011                              | 10/11/2011                       | 762.00          | 731.00                                        | 31.00                                       |
| 68. TEVA PHARMACEUTICAL IN                                               | 03/31/2011                              | 07/15/2011                       | 3,263.00        | 3,413.00                                      | -150.00                                     |
| 42. THERMO ELECTRON CORP                                                 | 10/13/2010                              | 07/15/2011                       | 2,637.00        | 1,933.00                                      | 704.00                                      |
| 28. TOLL BROS INC                                                        | 01/03/2011                              | 07/15/2011                       | 579.00          | 548.00                                        | 31.00                                       |
| 119. U S BANCORP DEL COM N                                               | 12/21/2010                              | 07/15/2011                       | 2,941.00        | 3,064.00                                      | -123.00                                     |
| 42. U S BANCORP DEL COM NE                                               | 01/04/2011                              | 07/15/2011                       | 1,038.00        | 1,133.00                                      | -95.00                                      |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

**Continuation Sheet for Schedule D  
(Form 1041)**

OMB No. 1545-0092

2011

▶ See instructions for Schedule D (Form 1041).  
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

Name of estate or trust

30-15C066471768 BOB HONG FOUNDATION

Employer identification number

04-6911786

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**[illegible]

|                                                                                                     |                   |
|-----------------------------------------------------------------------------------------------------|-------------------|
| <b>1b Total.</b> Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . . | <b>-12,994.00</b> |
|-----------------------------------------------------------------------------------------------------|-------------------|

**For Paperwork Reduction Act Notice, see the Instructions for Form 1041.**

**Schedule D-1 (Form 1041) 2011**

JSA  
1F1221 2 000

\* INDICATES WASH SALE

RR0482 5880 05/09/2012 10:56:58

30-15C066471768

80 -

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side.

Employer identification number

30-15C066471768 BOB HONG FOUNDATION

04-6911786

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.) | (b) Date acquired (mo., day, yr.) | (c) Date sold (mo., day, yr.) | (d) Sales price | (e) Cost or other basis (see instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|------------------------------------------------------------------------|-----------------------------------|-------------------------------|-----------------|--------------------------------------------|------------------------------------------|
| <b>6a</b> .5 AMC NETWORKS INC                                          | 11/09/2007                        | 07/12/2011                    | 20.00           | 12.00                                      | 8.00                                     |
| 62. AMC NETWORKS INC                                                   | 11/09/2007                        | 07/15/2011                    | 2,309.00        | 1,238.00                                   | 1,071.00                                 |
| 115. AT&T INC                                                          | 02/23/2010                        | 07/15/2011                    | 3,480.00        | 2,830.00                                   | 650.00                                   |
| 105. AKAMAI TECHNOLOGIES I                                             | 08/18/2009                        | 07/15/2011                    | 3,101.00        | 1,747.00                                   | 1,354.00                                 |
| 4. AMAZON COM INC                                                      | 08/21/2006                        | 03/10/2011                    | 668.00          | 113.00                                     | 555.00                                   |
| 61. AMAZON COM INC                                                     | 08/21/2006                        | 07/15/2011                    | 12,893.00       | 1,716.00                                   | 11,177.00                                |
| 11. AMGEN INC                                                          | 11/01/2000                        | 06/17/2011                    | 636.00          | 670.00                                     | -34.00                                   |
| 33. AMGEN INC                                                          | 06/21/2007                        | 07/14/2011                    | 1,858.00        | 1,947.00                                   | -89.00                                   |
| 82. AMGEN INC                                                          | 06/21/2007                        | 07/15/2011                    | 4,503.00        | 4,528.00                                   | -25.00                                   |
| 125. ANADARKO PETE CORP                                                | 05/31/2005                        | 07/15/2011                    | 9,899.00        | 3,839.00                                   | 6,060.00                                 |
| 365. APPLIED MATERIALS INC                                             | 03/23/2010                        | 07/15/2011                    | 4,523.00        | 5,739.00                                   | -1,216.00                                |
| 175. ATLAS COPCO AB                                                    | 09/17/2009                        | 07/15/2011                    | 3,855.00        | 2,129.00                                   | 1,726.00                                 |
| 140. AUTODESK INC                                                      | 03/16/2009                        | 07/15/2011                    | 5,042.00        | 1,308.00                                   | 3,734.00                                 |
| 175. AXA S A SPONSORED ADR                                             | 03/16/2009                        | 07/15/2011                    | 3,351.00        | 2,418.00                                   | 933.00                                   |
| 50. BASF AKTIENGESELLSCHAFT                                            | 10/28/2009                        | 07/15/2011                    | 4,800.00        | 2,925.00                                   | 1,875.00                                 |
| 12. BABCOCK & WILCOX CO NE                                             | 09/12/2008                        | 07/01/2011                    | 332.00          | 374.00                                     | -42.00                                   |
| 19. BABCOCK & WILCOX CO NE                                             | 11/14/2008                        | 07/05/2011                    | 511.00          | 453.00                                     | 58.00                                    |
| 19. BABCOCK & WILCOX CO NE                                             | 11/14/2008                        | 07/12/2011                    | 507.00          | 152.00                                     | 355.00                                   |
| 75. BAKER HUGHES INC                                                   | 11/05/2008                        | 07/15/2011                    | 5,607.00        | 3,935.00                                   | 1,672.00                                 |
| 175. BANK OF AMERICA CORP                                              | 01/08/2009                        | 07/15/2011                    | 1,756.00        | 2,656.00                                   | -900.00                                  |
| 15. BED BATH & BEYOND INC                                              | 01/19/2005                        | 06/17/2011                    | 789.00          | 625.00                                     | 164.00                                   |
| 105. BED BATH & BEYOND INC                                             | 06/11/2008                        | 07/15/2011                    | 6,122.00        | 3,818.00                                   | 2,304.00                                 |
| 59. BERKSHIRE HATHAWAY INC                                             | 04/11/2002                        | 05/17/2011                    | 4,649.00        | 2,793.00                                   | 1,856.00                                 |
| 25. BHP BILLITON LTD 00                                                | 12/23/2009                        | 07/15/2011                    | 2,275.00        | 1,716.00                                   | 559.00                                   |
| 135. BIOGEN IDEC INC                                                   | 07/16/2009                        | 07/15/2011                    | 14,035.00       | 5,884.00                                   | 8,151.00                                 |

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2011

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

30-15C066471768 BOB HONG FOUNDATION

04-6911786

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example<br>100 sh. 7% preferred of "Z" Co.) | (b) Date<br>acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|--------------------------------------------------------------------------|-----------------------------------------|----------------------------------|-----------------|-----------------------------------------------|---------------------------------------------|
| 6a 115. BIOGEN IDEC INC                                                  | 07/26/2006                              | 07/15/2011                       | 11,956.00       | 4,055.00                                      | 7,901.00                                    |
| 30. BLACKROCK INC                                                        | 05/21/2010                              | 07/15/2011                       | 5,527.00        | 4,223.00                                      | 1,304.00                                    |
| 30. BOEING CO                                                            | 10/06/2005                              | 07/15/2011                       | 2,136.00        | 2,033.00                                      | 103.00                                      |
| 125. BROADCOM CORP-CL A                                                  | 12/27/2007                              | 07/15/2011                       | 4,154.00        | 3,285.00                                      | 869.00                                      |
| 180. CRH PLC 00                                                          | 02/08/2010                              | 07/15/2011                       | 3,532.00        | 4,028.00                                      | -496.00                                     |
| 18. CVS CORPORATION (DEL)                                                | 11/20/2009                              | 06/17/2011                       | 671.00          | 566.00                                        | 105.00                                      |
| 177. CVS CORPORATION (DEL)                                               | 11/20/2009                              | 07/15/2011                       | 6,480.00        | 5,036.00                                      | 1,444.00                                    |
| 250. CABLEVISION SYS CORP                                                | 11/09/2007                              | 07/15/2011                       | 6,458.00        | 3,095.00                                      | 3,363.00                                    |
| 100. CANON INC ADR REPSTG                                                | 12/08/2009                              | 07/15/2011                       | 4,764.00        | 4,719.00                                      | 45.00                                       |
| 60. CARNIVAL CORP PAIRED C<br>CARNI                                      | 06/15/2009                              | 07/15/2011                       | 2,102.00        | 1,482.00                                      | 620.00                                      |
| 110. CELGENE CORP 00                                                     | 10/12/2009                              | 07/15/2011                       | 6,668.00        | 5,539.00                                      | 1,129.00                                    |
| 98. CHUBB CORP.                                                          | 08/10/2007                              | 07/15/2011                       | 6,090.00        | 3,589.00                                      | 2,501.00                                    |
| 271. CISCO SYS INC                                                       | 08/24/2005                              | 07/15/2011                       | 4,223.00        | 4,581.00                                      | -358.00                                     |
| 150. CISCO SYS INC                                                       | 08/30/2001                              | 07/15/2011                       | 2,337.00        | 2,408.00                                      | -71.00                                      |
| 8. COCA COLA COMPANY                                                     | 09/05/2000                              | 05/26/2011                       | 532.00          | 451.00                                        | 81.00                                       |
| 122. COCA COLA COMPANY                                                   | 08/21/2007                              | 07/15/2011                       | 8,218.00        | 6,809.00                                      | 1,409.00                                    |
| 1. COMCAST CORP NEW CL A S                                               | 08/04/2000                              | 07/15/2011                       | 23.00           | 22.00                                         | 1.00                                        |
| 559. COMCAST CORP NEW CL A                                               | 10/05/2009                              | 07/15/2011                       | 12,986.00       | 10,037.00                                     | 2,949.00                                    |
| 65. CONOCOPHILLIPS                                                       | 12/31/2009                              | 07/15/2011                       | 4,980.00        | 3,062.00                                      | 1,918.00                                    |
| 106. CREE INC                                                            | 07/26/2006                              | 07/15/2011                       | 3,273.00        | 1,785.00                                      | 1,488.00                                    |
| 250. DANONE                                                              | 06/15/2009                              | 07/15/2011                       | 3,582.00        | 2,408.00                                      | 1,174.00                                    |
| 70. DEERE & CO                                                           | 12/04/2009                              | 07/15/2011                       | 5,675.00        | 3,822.00                                      | 1,853.00                                    |
| 55. DEVON ENERGY CORP NEW                                                | 08/11/2009                              | 07/15/2011                       | 4,366.00        | 3,474.00                                      | 892.00                                      |
| 45. DIAGEO PLC-SPONSORED A                                               | 03/16/2009                              | 07/15/2011                       | 3,621.00        | 1,993.00                                      | 1,628.00                                    |
| 15. WALT DISNEY CO.                                                      | 07/20/2000                              | 03/09/2011                       | 648.00          | 568.00                                        | 80.00                                       |

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2011

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

30-15C066471768 BOB HONG FOUNDATION

04-6911786

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example<br>100 sh. 7% preferred of "Z" Co.) | (b) Date<br>acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|--------------------------------------------------------------------------|-----------------------------------------|----------------------------------|-----------------|-----------------------------------------------|---------------------------------------------|
| <b>6a</b> 98. WALT DISNEY CO.                                            | 07/20/2000                              | 07/15/2011                       | 3,860.00        | 3,709.00                                      | 151.00                                      |
| 187. WALT DISNEY CO.                                                     | 11/24/2003                              | 07/15/2011                       | 7,366.00        | 3,840.00                                      | 3,526.00                                    |
| 85. DIRECTV COM USD0.01 CL                                               | 08/05/2004                              | 07/15/2011                       | 4,419.00        | 1,047.00                                      | 3,372.00                                    |
| 70. DOLBY LABORATORIES INC                                               | 11/14/2008                              | 07/15/2011                       | 2,922.00        | 2,017.00                                      | 905.00                                      |
| 40. DOVER CORP                                                           | 06/08/2007                              | 07/15/2011                       | 2,618.00        | 1,986.00                                      | 632.00                                      |
| 265. EBAY INC                                                            | 07/17/2008                              | 07/15/2011                       | 8,639.00        | 7,811.00                                      | 828.00                                      |
| 110. EBAY INC                                                            | 02/05/2010                              | 07/15/2011                       | 3,586.00        | 2,543.00                                      | 1,043.00                                    |
| 85. EMERSON ELEC CO                                                      | 06/17/2003                              | 07/15/2011                       | 4,676.00        | 2,161.00                                      | 2,515.00                                    |
| 400. ERICSSON (LM) TEL TEL-                                              | 01/26/2010                              | 07/15/2011                       | 5,544.00        | 3,955.00                                      | 1,589.00                                    |
| 65. EXPEDIA INC DEL                                                      | 08/05/2008                              | 02/11/2011                       | 1,404.00        | 1,258.00                                      | 146.00                                      |
| 49. EXPEDIA INC DEL                                                      | 08/05/2008                              | 02/24/2011                       | 981.00          | 831.00                                        | 150.00                                      |
| 86. EXPEDIA INC DEL                                                      | 06/19/2006                              | 02/25/2011                       | 1,726.00        | 1,290.00                                      | 436.00                                      |
| 22. FIRST SOLAR INC                                                      | 04/09/2010                              | 04/29/2011                       | 3,167.00        | 2,481.00                                      | 686.00                                      |
| 38. FLUOR CORP NEW                                                       | 04/07/2009                              | 07/15/2011                       | 2,467.00        | 1,638.00                                      | 829.00                                      |
| 87. FLUOR CORP NEW                                                       | 04/07/2009                              | 07/15/2011                       | 5,647.00        | 2,887.00                                      | 2,760.00                                    |
| 275. FOREST LABS INC                                                     | 05/11/2010                              | 07/15/2011                       | 10,552.00       | 8,747.00                                      | 1,805.00                                    |
| 30. FRANKLIN RES INC                                                     | 03/24/2009                              | 07/15/2011                       | 3,909.00        | 1,698.00                                      | 2,211.00                                    |
| 106. FREEPORT MCMORAN COPP                                               | 01/20/2009                              | 07/15/2011                       | 5,834.00        | 1,193.00                                      | 4,641.00                                    |
| 70. GAP INC. DEL                                                         | 07/09/2007                              | 07/15/2011                       | 1,314.00        | 1,330.00                                      | -16.00                                      |
| 370. GENERAL ELEC CO.                                                    | 03/28/2007                              | 07/15/2011                       | 6,793.00        | 17,041.00                                     | -10,248.00                                  |
| 105. GENZYME CORP                                                        | 07/20/2000                              | 02/17/2011                       | 7,906.00        | 3,449.00                                      | 4,457.00                                    |
| 55. GLAXO WELLCOME PLC SPO                                               | 01/20/2010                              | 03/31/2011                       | 2,129.00        | 2,321.00                                      | -192.00                                     |
| 45. GLAXO WELLCOME PLC SPO                                               | 01/20/2010                              | 07/15/2011                       | 1,941.00        | 1,765.00                                      | 176.00                                      |
| 10. GOOGLE INC CL A                                                      | 10/28/2008                              | 07/15/2011                       | 5,973.00        | 3,880.00                                      | 2,093.00                                    |
| 150. GRUPO TELEVISIA SA DE                                               | 03/16/2009                              | 07/15/2011                       | 3,246.00        | 1,989.00                                      | 1,257.00                                    |

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2011

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side.

Employer identification number

30-15C066471768 BOB HONG FOUNDATION

04-6911786

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example:<br>100 sh. 7% preferred of "Z" Co.) | (b) Date<br>acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|---------------------------------------------------------------------------|-----------------------------------------|----------------------------------|-----------------|-----------------------------------------------|---------------------------------------------|
| <b>6a</b> 50. HSBC HOLDINGS PLC-SPON                                      | 08/18/2009                              | 07/15/2011                       | 2,417.00        | 2,040.00                                      | 377.00                                      |
| 41. HALLIBURTON CO                                                        | 12/31/2009                              | 05/13/2011                       | 1,895.00        | 1,526.00                                      | 369.00                                      |
| 94. HALLIBURTON CO                                                        | 12/31/2009                              | 07/15/2011                       | 4,977.00        | 2,603.00                                      | 2,374.00                                    |
| 75. HESS CORP                                                             | 08/17/2009                              | 07/15/2011                       | 5,408.00        | 4,018.00                                      | 1,390.00                                    |
| 112. HOME DEPOT INC                                                       | 02/24/2006                              | 07/15/2011                       | 3,987.00        | 5,505.00                                      | -1,518.00                                   |
| 173. HOME DEPOT INC                                                       | 03/31/2008                              | 07/15/2011                       | 6,159.00        | 5,927.00                                      | 232.00                                      |
| 125. HONDA MTR LTD                                                        | 08/18/2009                              | 07/15/2011                       | 5,025.00        | 4,060.00                                      | 965.00                                      |
| 85. HONEYWELL INTERNATIONAL                                               | 04/17/2003                              | 07/15/2011                       | 4,843.00        | 2,537.00                                      | 2,306.00                                    |
| 1452. HONG KONG & CHINA GA                                                | 06/03/2009                              | 07/15/2011                       | 3,296.00        | 2,469.00                                      | 827.00                                      |
| .6666 HUNTINGTON INGALLS I                                                | 06/24/2009                              | 04/08/2011                       | 27.00           | 17.00                                         | 10.00                                       |
| 11. HUNTINGTON INGALLS IND                                                | 06/24/2009                              | 05/11/2011                       | 426.00          | 284.00                                        | 142.00                                      |
| 200. HUTCHISON WHAMPOA LTD                                                | 03/16/2009                              | 07/15/2011                       | 4,238.00        | 2,088.00                                      | 2,150.00                                    |
| 92. ICON PUB LTD CO                                                       | 06/14/2010                              | 07/15/2011                       | 2,262.00        | 2,553.00                                      | -291.00                                     |
| 450. ING GROEP N.V.                                                       | 12/08/2009                              | 07/15/2011                       | 4,821.00        | 2,020.00                                      | 2,801.00                                    |
| 100. INTEL CORP                                                           | 04/24/2006                              | 01/06/2011                       | 2,067.00        | 1,896.00                                      | 171.00                                      |
| 140. J. P. MORGAN CHASE &                                                 | 05/21/2008                              | 07/15/2011                       | 5,589.00        | 4,147.00                                      | 1,442.00                                    |
| 20. JACOBS ENGR GROUP INC                                                 | 08/17/2009                              | 07/15/2011                       | 826.00          | 874.00                                        | -48.00                                      |
| 3. JOHNSON & JOHNSON                                                      | 11/01/2000                              | 03/17/2011                       | 174.00          | 135.00                                        | 39.00                                       |
| 116. JOHNSON & JOHNSON                                                    | 11/01/2000                              | 07/15/2011                       | 7,791.00        | 5,206.00                                      | 2,585.00                                    |
| 81. JOHNSON & JOHNSON                                                     | 11/01/2000                              | 07/15/2011                       | 5,440.00        | 3,635.00                                      | 1,805.00                                    |
| 25. JONES LANG LASALLE INC                                                | 07/06/2009                              | 07/15/2011                       | 2,266.00        | 817.00                                        | 1,449.00                                    |
| 63. JUNIPER NETWORK INC                                                   | 11/07/2008                              | 03/10/2011                       | 2,646.00        | 1,097.00                                      | 1,549.00                                    |
| 162. JUNIPER NETWORK INC                                                  | 02/19/2009                              | 07/15/2011                       | 4,948.00        | 2,521.00                                      | 2,427.00                                    |
| 235. KEYCORP (NEW) F/K/A S                                                | 10/28/2009                              | 07/15/2011                       | 1,862.00        | 1,375.00                                      | 487.00                                      |
| 100. PHILIPS ELECTRONICS-N                                                | 04/16/2009                              | 06/29/2011                       | 2,468.00        | 2,268.00                                      | 200.00                                      |

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . .

Schedule D-1 (Form 1041) 2011

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side.

Employer identification number

30-15C066471768 BOB HONG FOUNDATION

04-6911786

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co ) | (b) Date<br>acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|-------------------------------------------------------------------------|-----------------------------------------|----------------------------------|-----------------|-----------------------------------------------|---------------------------------------------|
| 6a 100. L-3 COMMUNICATIONS HL                                           | 07/20/2000                              | 07/15/2011                       | 8,172.00        | 2,888.00                                      | 5,284.00                                    |
| 150. LAWSON SOFTWARE INC N                                              | 04/16/2009                              | 04/26/2011                       | 1,666.00        | 945.00                                        | 721.00                                      |
| 225. LIBERTY INTERACTIVE C                                              | 04/24/2008                              | 07/15/2011                       | 3,870.00        | 3,591.00                                      | 279.00                                      |
| 80. LIBERTY MEDIA HLDG COR                                              | 04/07/2008                              | 07/15/2011                       | 6,853.00        | 1,077.00                                      | 5,776.00                                    |
| 15. LIBERTY MEDIA CORP NEW                                              | 03/27/2008                              | 05/31/2011                       | 1,146.00        | 217.00                                        | 929.00                                      |
| 62. MADISON SQUARE GARDEN                                               | 11/09/2007                              | 03/30/2011                       | 1,672.00        | 885.00                                        | 787.00                                      |
| 75. MATTEL INC                                                          | 12/22/2009                              | 07/15/2011                       | 2,048.00        | 1,487.00                                      | 561.00                                      |
| 100. MCDERMOTT INTL INC                                                 | 11/14/2008                              | 04/28/2011                       | 2,319.00        | 983.00                                        | 1,336.00                                    |
| 125. MERCK & CO INC NEW                                                 | 04/29/2009                              | 07/15/2011                       | 4,469.00        | 3,465.00                                      | 1,004.00                                    |
| 40. METTLER-TOLEDO INTL IN                                              | 03/18/2009                              | 07/15/2011                       | 6,515.00        | 1,968.00                                      | 4,547.00                                    |
| 206. MICROSOFT CORP                                                     | 02/19/2004                              | 07/15/2011                       | 5,500.00        | 5,610.00                                      | -110.00                                     |
| 174. MICROSOFT CORP                                                     | 03/15/2004                              | 07/15/2011                       | 4,646.00        | 4,445.00                                      | 201.00                                      |
| 122. MITSUBISHI UFJ FINL G                                              | 09/28/2005                              | 06/29/2011                       | 572.00          | 1,495.00                                      | -923.00                                     |
| 678. MITSUBISHI UFJ FINL G                                              | 10/28/2009                              | 07/15/2011                       | 3,338.00        | 4,013.00                                      | -675.00                                     |
| 60. MONSANTO CO NEW                                                     | 07/07/2010                              | 07/15/2011                       | 4,452.00        | 4,079.00                                      | 373.00                                      |
| 165. MORGAN STANLEY, DEAN                                               | 04/09/2010                              | 07/15/2011                       | 3,487.00        | 5,003.00                                      | -1,516.00                                   |
| 34. NASDAQ OMX GROUP INC                                                | 05/13/2008                              | 03/17/2011                       | 836.00          | 1,280.00                                      | -444.00                                     |
| 40. NASDAQ OMX GROUP INC                                                | 05/13/2008                              | 06/23/2011                       | 934.00          | 1,506.00                                      | -572.00                                     |
| 141. NASDAQ OMX GROUP INC                                               | 12/02/2009                              | 07/15/2011                       | 3,318.00        | 4,005.00                                      | -687.00                                     |
| 70. NATIONAL-OILWELL INC                                                | 03/16/2009                              | 07/15/2011                       | 5,484.00        | 1,843.00                                      | 3,641.00                                    |
| 21. NESTLE S A SPONSORED A<br>REG SH                                    | 09/19/2008                              | 01/28/2011                       | 1,146.00        | 940.00                                        | 206.00                                      |
| 89. NESTLE S A SPONSORED A<br>REG SH                                    | 01/21/2009                              | 07/15/2011                       | 5,598.00        | 3,462.00                                      | 2,136.00                                    |
| 95. NEWS CORP                                                           | 08/17/2009                              | 06/29/2011                       | 1,700.00        | 1,097.00                                      | 603.00                                      |
| 180. NEWS CORP                                                          | 04/16/2009                              | 07/15/2011                       | 2,895.00        | 1,603.00                                      | 1,292.00                                    |
| 200. NOKIA CORP SPONSORED                                               | 08/17/2009                              | 03/16/2011                       | 1,586.00        | 3,991.00                                      | -2,405.00                                   |

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . .

Schedule D-1 (Form 1041) 2011

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

30-15C066471768 BOB HONG FOUNDATION

04-6911786

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example: 100 sh 7% preferred of "Z" Co.) | (b) Date acquired (mo., day, yr.) | (c) Date sold (mo., day, yr.) | (d) Sales price | (e) Cost or other basis (see instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|-----------------------------------------------------------------------|-----------------------------------|-------------------------------|-----------------|--------------------------------------------|------------------------------------------|
| <b>6a</b> 28. NOBLE ENERGY INC                                        | 07/02/2010                        | 07/15/2011                    | 2,578.00        | 1,709.00                                   | 869.00                                   |
| 400. NOMURA HOLDINGS INC                                              | 09/29/2009                        | 07/15/2011                    | 1,948.00        | 3,548.00                                   | -1,600.00                                |
| 70. NORTHROP GRUMMAN CORP                                             | 06/24/2009                        | 07/15/2011                    | 4,481.00        | 2,814.00                                   | 1,667.00                                 |
| 78. NOVARTIS AG-ADR                                                   | 04/07/2008                        | 07/15/2011                    | 4,776.00        | 3,893.00                                   | 883.00                                   |
| 52. NOVARTIS AG-ADR                                                   | 03/19/2008                        | 07/15/2011                    | 3,184.00        | 2,470.00                                   | 714.00                                   |
| 35. NOVO NORDISK A S ADR                                              | 03/16/2009                        | 07/15/2011                    | 4,374.00        | 1,625.00                                   | 2,749.00                                 |
| 91. NUCOR CORP                                                        | 12/24/2009                        | 07/15/2011                    | 3,573.00        | 3,828.00                                   | -255.00                                  |
| 43. NUCOR CORP                                                        | 10/28/2009                        | 07/15/2011                    | 1,688.00        | 1,733.00                                   | -45.00                                   |
| 160. NVIDIA CORP 00                                                   | 06/02/2008                        | 05/17/2011                    | 2,770.00        | 3,916.00                                   | -1,146.00                                |
| 44. NVIDIA CORP 00                                                    | 05/21/2010                        | 07/14/2011                    | 649.00          | 562.00                                     | 87.00                                    |
| 158. NVIDIA CORP 00                                                   | 07/08/2008                        | 07/15/2011                    | 2,237.00        | 1,905.00                                   | 332.00                                   |
| 125. ORIX CORP 00                                                     | 03/16/2009                        | 07/15/2011                    | 6,259.00        | 1,475.00                                   | 4,784.00                                 |
| 105. PALL CORP                                                        | 08/05/2004                        | 07/15/2011                    | 5,708.00        | 2,396.00                                   | 3,312.00                                 |
| 30. PARKER HANNIFIN CORP                                              | 05/21/2009                        | 06/17/2011                    | 2,562.00        | 1,270.00                                   | 1,292.00                                 |
| 95. PEPSICO INC                                                       | 08/23/2000                        | 07/15/2011                    | 6,472.00        | 4,121.00                                   | 2,351.00                                 |
| 65. PETROLEO BRASILEIRO SA 00                                         | 03/16/2009                        | 07/15/2011                    | 2,119.00        | 1,919.00                                   | 200.00                                   |
| 64. PROCTOR & GAMBLE CO                                               | 08/30/2001                        | 06/07/2011                    | 4,175.00        | 2,188.00                                   | 1,987.00                                 |
| 86. PROCTOR & GAMBLE CO                                               | 08/30/2001                        | 07/15/2011                    | 5,559.00        | 2,672.00                                   | 2,887.00                                 |
| 85. RIO TINTO PLC SPN ADR                                             | 06/09/2010                        | 07/15/2011                    | 6,013.00        | 3,079.00                                   | 2,934.00                                 |
| 239. SAFEWAY INC NEW                                                  | 09/10/2009                        | 07/15/2011                    | 5,633.00        | 4,725.00                                   | 908.00                                   |
| 80. SANDISK CORP                                                      | 10/10/2001                        | 07/15/2011                    | 3,319.00        | 482.00                                     | 2,837.00                                 |
| 90. SAP AG-SPONSORED ADR                                              | 03/16/2009                        | 07/15/2011                    | 5,187.00        | 3,038.00                                   | 2,149.00                                 |
| 55. SCHLUMBERGER LTD                                                  | 06/10/2010                        | 07/15/2011                    | 4,815.00        | 3,179.00                                   | 1,636.00                                 |
| 40. SCHLUMBERGER LTD                                                  | 07/01/2010                        | 07/15/2011                    | 3,502.00        | 2,088.00                                   | 1,414.00                                 |
| 225. SCHWAB CHARLES CORP N                                            | 02/19/2009                        | 07/15/2011                    | 3,371.00        | 2,992.00                                   | 379.00                                   |

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2011

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side.

Employer identification number

30-15C066471768 BOB HONG FOUNDATION

04-6911786

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example:<br>100 sh. 7% preferred of "Z" Co.) | (b) Date<br>acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|---------------------------------------------------------------------------|-----------------------------------------|----------------------------------|-----------------|-----------------------------------------------|---------------------------------------------|
| 6a 55. SHIRE PHARMACEUTICALS                                              | 03/16/2009                              | 07/15/2011                       | 5,485.00        | 1,988.00                                      | 3,497.00                                    |
| 60. SMITH & NEPHEW P L C S                                                | 03/07/2003                              | 07/15/2011                       | 3,228.00        | 1,743.00                                      | 1,485.00                                    |
| 70. STANDARD MICROSYSTEMS                                                 | 08/17/2000                              | 04/07/2011                       | 1,770.00        | 1,251.00                                      | 519.00                                      |
| 90. SUNCOR ENERGY INC                                                     | 09/01/2009                              | 07/15/2011                       | 3,589.00        | 2,761.00                                      | 828.00                                      |
| 105. TELEFONICA DE ESPANA                                                 | 11/17/2000                              | 07/15/2011                       | 2,320.00        | 1,548.00                                      | 772.00                                      |
| 150. TESCO PLC                                                            | 10/29/2003                              | 07/15/2011                       | 2,932.00        | 1,857.00                                      | 1,075.00                                    |
| 68. TEXAS INSTRS INC                                                      | 12/29/2000                              | 03/10/2011                       | 2,341.00        | 3,260.00                                      | -919.00                                     |
| 198. TEXAS INSTRS INC                                                     | 03/28/2007                              | 07/15/2011                       | 6,093.00        | 5,841.00                                      | 252.00                                      |
| 184. TEXAS INSTRS INC                                                     | 07/08/2004                              | 07/15/2011                       | 5,662.00        | 3,416.00                                      | 2,246.00                                    |
| 38. THERMO ELECTRON CORP                                                  | 07/01/2010                              | 07/15/2011                       | 2,386.00        | 1,905.00                                      | 481.00                                      |
| 50. TOTAL S A SPONSORED A                                                 | 02/08/2010                              | 07/15/2011                       | 2,716.00        | 2,749.00                                      | -33.00                                      |
| 75. TREND MICRO INC ADR                                                   | 03/18/2009                              | 07/15/2011                       | 2,419.00        | 2,096.00                                      | 323.00                                      |
| 150. UNILEVER PLC SPNSRD A                                                | 06/15/2005                              | 07/15/2011                       | 4,798.00        | 3,280.00                                      | 1,518.00                                    |
| 145. UNITED OVERSEAS BK LT                                                | 06/10/2010                              | 07/15/2011                       | 4,683.00        | 2,702.00                                      | 1,981.00                                    |
| 75. UNITED STS STL CORP NE                                                | 07/07/2010                              | 07/15/2011                       | 3,216.00        | 3,746.00                                      | -530.00                                     |
| 325. UNITEDHEALTH GROUP IN                                                | 06/12/2008                              | 07/15/2011                       | 16,864.00       | 6,874.00                                      | 9,990.00                                    |
| 75. VERTEX PHARMACEUTICALS                                                | 11/17/2008                              | 07/15/2011                       | 3,794.00        | 2,017.00                                      | 1,777.00                                    |
| 160. VESTAS WIND SYS A/S                                                  | 05/17/2010                              | 07/15/2011                       | 1,296.00        | 2,756.00                                      | -1,460.00                                   |
| 50. VISA INC-CLASS A SHARE                                                | 06/10/2010                              | 07/15/2011                       | 4,408.00        | 4,007.00                                      | 401.00                                      |
| 159. VODAFONE GROUP SPD AD<br>SH                                          | 04/24/2009                              | 07/15/2011                       | 4,091.00        | 2,844.00                                      | 1,247.00                                    |
| 116. VODAFONE GROUP SPD AD<br>SH                                          | 07/08/2002                              | 07/15/2011                       | 2,985.00        | 1,895.00                                      | 1,090.00                                    |
| 140. WAL-MART DE MEXICO SA                                                | 03/16/2009                              | 07/15/2011                       | 4,106.00        | 1,652.00                                      | 2,454.00                                    |
| 99.7078 WEYERHAEUSER CO                                                   | 04/16/2009                              | 07/15/2011                       | 2,160.00        | 4,173.00                                      | -2,013.00                                   |
| 50. YAHOO INC                                                             | 12/02/2009                              | 06/17/2011                       | 733.00          | 767.00                                        | -34.00                                      |
| 70. YAHOO INC                                                             | 03/20/2009                              | 07/14/2011                       | 1,036.00        | 957.00                                        | 79.00                                       |

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2011

Employer identification number

04-6911786

[illegible]**Schedule D-1 (Form 1041) 2011**

## FEDERAL CAPITAL GAIN DIVIDENDS

=====

## LONG-TERM CAPITAL GAIN DIVIDENDS

-----

## 15% RATE CAPITAL GAIN DIVIDENDS

WEYERHAEUSER CO  
CLASS ACTION PROCEEDS81.00  
952.00

-----

TOTAL 15% RATE CAPITAL GAIN DIVIDENDS

1,033.00

TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS

-----  
1,033.00

=====

990PF, PART VII-B LINE 2B – SECTION 4942a2 EXPLANATION STATEMENT

This question does not apply. The foundation does not have any undistributed income from prior years.

Citi Trust



Citigroup Trust - Delaware, N.A.

**Account Summary Section**

**Statement of Value and Activity**

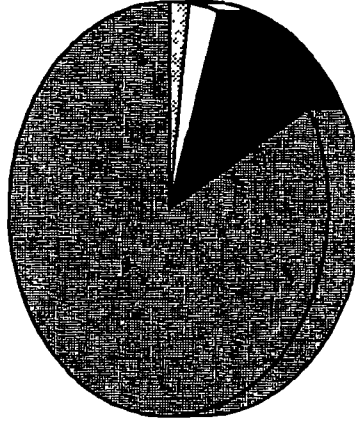
December 1, 2011 - December 31, 2011

**Market Value Reconciliation**

|                                                            | This Period         | 1/1/11 to 12/31/11  |
|------------------------------------------------------------|---------------------|---------------------|
| <b>Beginning Market Value</b>                              | <b>\$821,133.78</b> | <b>\$819,429.49</b> |
| Additions and Contributions                                | \$0.00              | \$0.00              |
| Other Receipts                                             | \$1,429.17          | \$839,147.16        |
| Interest and Dividends                                     | \$1,983.44          | \$12,372.81         |
| Distributions To and For Beneficiaries                     | -\$2,500.00         | -\$29,000.00        |
| Trust Taxes                                                | -\$29.76            | -\$908.82           |
| Fees                                                       | \$0.00              | -\$9,751.14         |
| Other Expenses/Disbursements                               | -\$1,442.83         | -\$838,214.38       |
| Corporate Actions/Non-Cash Transactions                    | \$0.00              | \$5,667.70          |
| <b>Change in Market Value</b>                              | <b>-\$5,270.38</b>  | <b>\$16,560.60</b>  |
| <b>Ending Market Value</b>                                 | <b>\$815,303.42</b> | <b>\$815,303.42</b> |
| <b>Net Realized Gains/Losses (Included in Total Above)</b> | <b>-\$5,242.19</b>  | <b>\$203,819.81</b> |

**Asset Allocation Summary**

|             | Asset Class               | Balance             |
|-------------|---------------------------|---------------------|
| 83%         | Common Equity Securities  | \$681,993.43        |
| 12%         | Equity Mutual Funds       | \$95,397.29         |
| 3%          | Cash Equivalents          | \$23,779.10         |
| 2%          | Other Assets              | \$14,133.60         |
| <b>100%</b> | <b>Total Assets Value</b> | <b>\$815,303.42</b> |



**Account Summary Section (continued)**

**Statement of Value and Activity**

December 1, 2011 - December 31, 2011

**List of Accounts Included in Consolidation**

| Account Number | Account Name                |
|----------------|-----------------------------|
| 15C066471768   | Hong Foundation Agency-Cash |
| C7B009704004   | Hong Foundation-Roosevelt   |
| C7B009712004   | Hong Foundation-Eam         |
| C7B009720004   | Hong Foundation-Thornburg   |

**Account Summary Section (continued)****Statement of Value and Activity**

December 1, 2011 - December 31, 2011

**Consolidated Activity Summary**

| Account                                        | Beginning<br>Market Value | Additions | Distributions | Income     | Change in<br>Market Value | Ending Market<br>Value |
|------------------------------------------------|---------------------------|-----------|---------------|------------|---------------------------|------------------------|
| HONG FOUNDATION-ROOSEVELT<br>C7B009704004      | \$578,419.63              | \$0.00    | -\$1,429.17   | \$980.54   | \$4,102.60                | \$582,073.60           |
| HONG FOUNDATION-THORNBURG<br>C7B009720004      | \$159,927.19              | \$0.00    | -\$43.42      | \$298.57   | -\$5,170.88               | \$155,011.46           |
| HONG FOUNDATION-EEM<br>C7B009712004            | \$81,304.02               | \$0.00    | \$0.00        | \$704.33   | -\$4,202.10               | \$77,806.25            |
| HONG FOUNDATION<br>AGENCY-CASH<br>15C066471768 | \$1,482.94                | \$0.00    | -\$2,500.00   | \$1,429.17 | \$0.00                    | \$412.11               |
| Total                                          | \$821,133.78              | \$0.00    | -\$3,972.59   | \$3,412.61 | -\$5,270.38               | \$815,303.42           |

**Consolidated Holdings Summary**

| Account                                   | Cash &<br>Equivalents | Fixed Income | Equity       | Other       | Total        | %   |
|-------------------------------------------|-----------------------|--------------|--------------|-------------|--------------|-----|
| HONG FOUNDATION-ROOSEVELT<br>C7B009704004 | \$17,564.69           | \$0.00       | \$550,375.31 | \$14,133.60 | \$582,073.60 | 71% |
| HONG FOUNDATION-THORNBURG<br>C7B009720004 | \$5,014.25            | \$0.00       | \$149,997.21 | \$0.00      | \$155,011.46 | 19% |
| HONG FOUNDATION-EEM<br>C7B009712004       | \$788.05              | \$0.00       | \$77,018.20  | \$0.00      | \$77,806.25  | 10% |

# **Account Summary Section (continued)**

## **Statement of Value and Activity**

December 1, 2011 - December 31, 2011

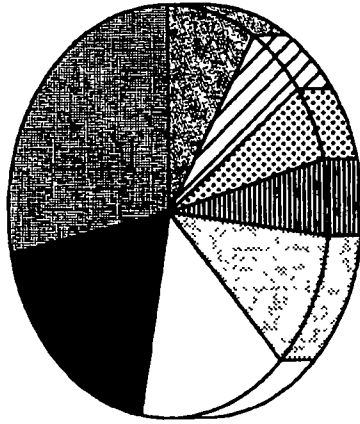
| Account                                        | Cash &<br>Equivalents | Fixed Income | Equity       | Other       | Total        | %    |
|------------------------------------------------|-----------------------|--------------|--------------|-------------|--------------|------|
| HONG FOUNDATION<br>AGENCY-CASH<br>15C066471768 | \$412.11              | \$0.00       | \$0.00       | \$0.00      | \$412.11     | 0%   |
| Total                                          | \$23,779.10           | \$0.00       | \$777,390.72 | \$14,133.60 | \$815,303.42 | 100% |
| % of Total Assets                              | 3%                    | 0%           | 95%          | 2%          |              |      |

## Analysis Section

### Statement of Value and Activity

December 1, 2011 - December 31, 2011

#### Equity Industry Diversification



| Industry Sector        | Market Value        |
|------------------------|---------------------|
| Industrial             | \$220,081.28        |
| Consumer Discretionary | \$144,946.90        |
| Others                 | \$115,781.21        |
| Financials             | \$88,158.15         |
| Materials              | \$49,974.20         |
| Information Technology | \$45,784.78         |
| Consumer Staples       | \$45,710.90         |
| Other                  | \$66,953.30         |
| <b>Total</b>           | <b>\$777,390.72</b> |

# Asset Detail Section

## Statement of Value and Activity

December 1, 2011 - December 31, 2011

### Asset Detail

| Description                                     | Shares/Par Value | Market Value | Tax Cost    | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|-------------------------------------------------|------------------|--------------|-------------|----------------------|-------------------------|-------------------------|
| <b>Common Equity Securities</b>                 |                  |              |             |                      |                         |                         |
| <b>Domestic Common Stocks</b>                   |                  |              |             |                      |                         |                         |
| Albemarle Corp<br>CUSIP: 012653101              | 134.00           | \$6,902.34   | \$7,660.59  | -\$758.25            | \$93.80                 | 0.85%                   |
| Alexion Pharmaceuticals Inc<br>CUSIP: 015351109 | 115.00           | \$8,222.50   | \$7,660.82  | \$561.68             | \$0.00                  | 1.01%                   |
| Allegheny Technologies Inc<br>CUSIP: 01741R102  | 148.00           | \$7,074.40   | \$7,245.14  | -\$170.74            | \$106.56                | 0.87%                   |
| Apple Incorporated<br>CUSIP: 037833100          | 28.00            | \$11,340.00  | \$10,718.68 | \$621.32             | \$0.00                  | 1.39%                   |
| Beam Inc<br>CUSIP: 073730103                    | 194.00           | \$9,938.62   | \$8,418.14  | \$1,520.48           | \$147.44                | 1.22%                   |
| Biogen IDEC Inc<br>CUSIP: 09062X103             | 98.00            | \$10,784.90  | \$8,887.62  | \$1,897.28           | \$0.00                  | 1.32%                   |
| Bristol Myers Squibb Co Com<br>CUSIP: 110122108 | 308.00           | \$10,853.92  | \$8,626.68  | \$2,227.24           | \$418.88                | 1.33%                   |
| Capital One Finl Corp<br>CUSIP: 14040H105       | 167.00           | \$7,062.43   | \$7,703.60  | -\$641.17            | \$33.40                 | 0.87%                   |
| Chubb Corp Com<br>CUSIP: 171232101              | 171.00           | \$11,836.62  | \$11,764.55 | \$72.07              | \$266.76                | 1.45%                   |
| Clean Hbrs Inc<br>CUSIP: 184496107              | 197.00           | \$12,554.81  | \$10,070.32 | \$2,484.49           | \$0.00                  | 1.54%                   |
| Crown Hldgs Inc<br>CUSIP: 228368106             | 268.00           | \$8,999.44   | \$9,510.65  | -\$511.21            | \$0.00                  | 1.10%                   |
| D R Horton Inc<br>CUSIP: 23331A109              | 720.00           | \$9,079.20   | \$8,840.92  | \$238.28             | \$108.00                | 1.11%                   |
| Discover Finl Svcs<br>CUSIP: 254709108          | 808.00           | \$18,392.00  | \$19,294.93 | \$97.07              | \$323.20                | 2.38%                   |

**Asset Detail Section (continued)****Statement of Value and Activity**

December 1, 2011 - December 31, 2011

| Description                                 | Shares/Par Value | Market Value | Tax Cost    | Unrealized<br>Gain/Loss | Estimated Annual<br>Income | Percent of Market<br>Value |
|---------------------------------------------|------------------|--------------|-------------|-------------------------|----------------------------|----------------------------|
|                                             |                  |              |             |                         |                            |                            |
| Dow Chem Company Com<br>CUSIP: 260543103    | 64.00            | \$1,840.64   | \$1,907.61  | -\$66.97                | \$64.00                    | 0.23%                      |
| Ebay Inc<br>CUSIP: 278642103                | 531.00           | \$16,105.23  | \$16,252.85 | -\$147.62               | \$0.00                     | 1.98%                      |
| Global Pmts Inc<br>CUSIP: 37940X102         | 64.00            | \$3,032.32   | \$2,872.32  | \$160.00                | \$5.12                     | 0.37%                      |
| Hansen Nat Corp<br>CUSIP: 411310105         | 84.00            | \$7,739.76   | \$7,666.01  | \$73.75                 | \$0.00                     | 0.95%                      |
| Hasbro Inc Com<br>CUSIP: 418056107          | 259.00           | \$8,259.51   | \$9,787.46  | -\$1,527.95             | \$310.80                   | 1.01%                      |
| Hertz Global Holdi<br>CUSIP: 42805T105      | 1,176.00         | \$13,782.72  | \$12,559.77 | \$1,222.95              | \$0.00                     | 1.69%                      |
| International Paper Com<br>CUSIP: 480146103 | 582.00           | \$17,227.20  | \$15,588.65 | \$1,638.55              | \$611.10                   | 2.11%                      |
| ITC Hldgs Corp<br>CUSIP: 485685105          | 162.00           | \$12,292.56  | \$11,602.42 | \$690.14                | \$228.42                   | 1.51%                      |
| Key Corp<br>CUSIP: 493267108                | 1,392.00         | \$10,704.48  | \$9,640.51  | \$1,063.97              | \$167.04                   | 1.31%                      |
| Kirby Corp<br>CUSIP: 497266106              | 163.00           | \$10,731.92  | \$8,671.22  | \$2,060.70              | \$0.00                     | 1.32%                      |
| Las Vegas Sands Corp<br>CUSIP: 517834107    | 18.00            | \$769.14     | \$757.71    | \$11.43                 | \$0.00                     | 0.09%                      |
| Lennar Corp Class A Com<br>CUSIP: 526057104 | 507.00           | \$9,962.55   | \$9,787.04  | \$175.51                | \$81.12                    | 1.22%                      |
| Lorillard Inc<br>CUSIP: 544147101           | 96.00            | \$10,944.00  | \$10,088.64 | \$855.36                | \$499.20                   | 1.34%                      |

# Asset Detail Section (continued)

## Statement of Value and Activity

December 1, 2011 - December 31, 2011

| Description                                      | Shares/Par Value | Market Value | Tax Cost    | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|--------------------------------------------------|------------------|--------------|-------------|----------------------|-------------------------|-------------------------|
| Marsh & McLennan Cos Inc Com<br>CUSIP: 571748102 | 507.00           | \$16,031.34  | \$14,331.63 | \$1,699.71           | \$446.16                | 1.97%                   |
| McDonalds Corp Com<br>CUSIP: 580135101           | 120.00           | \$12,039.60  | \$10,510.65 | \$1,528.95           | \$336.00                | 1.48%                   |
| Mercadolibre Inc<br>CUSIP: 58733R102             | 24.00            | \$1,908.96   | \$1,525.92  | \$383.04             | \$7.68                  | 0.23%                   |
| Microchip Technology Inc<br>CUSIP: 595017104     | 302.00           | \$11,062.26  | \$9,743.18  | \$1,319.08           | \$420.38                | 1.36%                   |
| National Fuel Gas Co N J<br>CUSIP: 636180101     | 154.00           | \$8,559.32   | \$9,392.45  | -\$833.13            | \$218.68                | 1.05%                   |
| Nike Inc Class B Com<br>CUSIP: 654106103         | 68.00            | \$8,553.16   | \$5,668.48  | \$884.68             | \$97.92                 | 0.80%                   |
| Nordstrom Inc<br>CUSIP: 655664100                | 216.00           | \$10,737.36  | \$9,607.77  | \$1,129.59           | \$198.72                | 1.32%                   |
| Nuance Communications Inc<br>CUSIP: 67020Y100    | 483.00           | \$12,152.28  | \$9,323.98  | \$2,828.30           | \$0.00                  | 1.49%                   |
| OMNICARE Inc Com<br>CUSIP: 681904108             | 281.00           | \$9,680.45   | \$8,302.53  | \$1,377.92           | \$44.96                 | 1.19%                   |
| Oneok Inc New Com<br>CUSIP: 682680103            | 108.00           | \$9,362.52   | \$7,364.68  | \$1,997.84           | \$241.92                | 1.15%                   |
| Oracle Corporation Com<br>CUSIP: 68389X105       | 123.00           | \$3,154.95   | \$3,334.22  | -\$179.27            | \$29.52                 | 0.39%                   |
| Owens Corning New<br>CUSIP: 690742101            | 389.00           | \$11,172.08  | \$11,072.30 | \$99.78              | \$0.00                  | 1.37%                   |
| Perrigo Co<br>CUSIP: 714290103                   | 121.00           | \$11,773.30  | \$10,692.52 | \$1,080.78           | \$38.72                 | 1.44%                   |
| Phv Corp<br>CUSIP: 693656100                     | 166.00           | \$11,701.34  | \$10,952.59 | \$748.75             | \$24.90                 | 1.44%                   |

# Asset Detail Section (continued)

## Statement of Value and Activity

December 1, 2011 - December 31, 2011

| Description                                               | Shares/Par Value | Market Value | Tax Cost    | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|-----------------------------------------------------------|------------------|--------------|-------------|----------------------|-------------------------|-------------------------|
| Questar Corp Com<br>CUSIP: 748356102                      | 407.00           | \$8,083.02   | \$7,655.63  | \$427.39             | \$264.55                | 0.89%                   |
| Red Hat Inc<br>CUSIP: 756577102                           | 89.00            | \$3,674.81   | \$3,320.39  | \$354.42             | \$0.00                  | 0.45%                   |
| Robert Half International Inc<br>CUSIP: 770323103         | 600.00           | \$17,076.00  | \$13,974.52 | \$3,101.48           | \$336.00                | 2.09%                   |
| Roper Inds Inc New Com<br>CUSIP: 776696106                | 79.00            | \$6,862.73   | \$5,774.11  | \$1,088.62           | \$43.45                 | 0.84%                   |
| Salesforce Com Inc<br>CUSIP: 79466L302                    | 18.00            | \$1,826.28   | \$2,227.58  | -\$401.30            | \$0.00                  | 0.22%                   |
| SLM Corp<br>CUSIP: 78442P106                              | 1,145.00         | \$15,343.00  | \$15,852.92 | -\$509.92            | \$458.00                | 1.88%                   |
| Sm Energy Company<br>CUSIP: 78454L100                     | 36.00            | \$2,631.60   | \$2,873.85  | -\$242.25            | \$3.60                  | 0.32%                   |
| Smucker J M Co New<br>CUSIP: 832696405                    | 100.00           | \$7,817.00   | \$7,562.34  | \$254.66             | \$192.00                | 0.96%                   |
| Southern Copper Corp DEL<br>CUSIP: 84265V105              | 25.00            | \$754.50     | \$787.00    | -\$32.50             | \$70.00                 | 0.09%                   |
| Tiffany & Co New<br>CUSIP: 886547108                      | 135.00           | \$8,945.10   | \$9,134.02  | -\$188.92            | \$156.60                | 1.10%                   |
| Transdigm Group Inc<br>CUSIP: 893641100                   | 160.00           | \$15,308.80  | \$14,130.31 | \$1,178.49           | \$0.00                  | 1.88%                   |
| TW Telecom Inc<br>CUSIP: 87311L104                        | 486.00           | \$9,418.68   | \$9,202.90  | \$215.78             | \$0.00                  | 1.16%                   |
| Ultra Salon Cosmetics & Fragrance Inc<br>CUSIP: 90384S303 | 122.00           | \$7,920.24   | \$7,921.54  | -\$1.30              | \$0.00                  | 0.97%                   |

# Asset Detail Section (continued)

## Statement of Value and Activity

December 1, 2011 - December 31, 2011

| Description                                             | Shares/Par Value | Market Value | Tax Cost     | Unrealized  |            | Estimated Annual | Percent of Market |
|---------------------------------------------------------|------------------|--------------|--------------|-------------|------------|------------------|-------------------|
|                                                         |                  |              |              | Gain/Loss   | Income     |                  |                   |
| United Continental Holdings<br>CUSIP: 910047109         | 549.00           | \$10,359.63  | \$11,011.37  | -\$651.74   | \$0.00     |                  | 1.27%             |
| Verifone Systems Inc<br>CUSIP: 92342Y109                | 204.00           | \$7,246.08   | \$7,480.52   | -\$234.44   | \$0.00     |                  | 0.89%             |
| Weight Watchers Intl Inc<br>CUSIP: 948626106            | 120.00           | \$6,601.20   | \$7,804.81   | -\$1,203.61 | \$84.00    |                  | 0.81%             |
|                                                         |                  |              |              |             |            |                  |                   |
| ADRs                                                    |                  | \$523,190.80 | \$492,121.56 | \$31,069.24 | \$7,178.60 |                  | 64.17%            |
| Adidas AG ADR<br>CUSIP: 00887A107                       | 79.00            | \$2,576.19   | \$2,751.57   | -\$175.38   | \$31.52    |                  | 0.32%             |
| Arm Holdings PLC-Spons ADR<br>CUSIP: 042068106          | 56.00            | \$1,549.52   | \$1,411.76   | \$137.76    | \$7.28     |                  | 0.19%             |
| Assa Abloy AB ADR<br>CUSIP: 045387107                   | 298.00           | \$3,688.16   | \$3,238.24   | \$449.92    | \$72.82    |                  | 0.45%             |
| BG Group PLC<br>CUSIP: 055434203                        | 34.00            | \$3,638.00   | \$3,459.50   | \$178.50    | \$38.39    |                  | 0.45%             |
| Canadian Nat Res LTD<br>CUSIP: 136385101                | 54.00            | \$2,017.98   | \$1,985.04   | \$32.94     | \$19.28    |                  | 0.25%             |
| Canadian Natl Railway Co<br>CUSIP: 136375102            | 48.00            | \$3,770.88   | \$3,420.96   | \$349.92    | \$61.44    |                  | 0.46%             |
| Canon Inc ADR Repetg 5 SHS<br>CUSIP: 138006309          | 88.00            | \$3,875.52   | \$4,115.76   | -\$240.24   | \$127.34   |                  | 0.48%             |
| Carnival Corp Paired CTF 1 Com Cami<br>CUSIP: 143658300 | 124.00           | \$4,047.36   | \$3,848.71   | \$198.65    | \$124.00   |                  | 0.50%             |
| Check Point Software Tech Ltd Ord<br>CUSIP: M22465104   | 29.00            | \$1,523.66   | \$1,594.22   | -\$70.56    | \$0.00     |                  | 0.19%             |

**Asset Detail Section (continued)****Statement of Value and Activity**

December 1, 2011 - December 31, 2011

| <b>Description</b>                                     | <b>Shares/Par Value</b> | <b>Market Value</b> | <b>Tax Cost</b> | <b>Unrealized<br/>Gain/Loss</b> | <b>Estimated Annual<br/>Income</b> | <b>Percent of Market<br/>Value</b> |
|--------------------------------------------------------|-------------------------|---------------------|-----------------|---------------------------------|------------------------------------|------------------------------------|
| Cnooc Ltd Spnd ADR<br>CUSIP: 126132109                 | 19.00                   | \$3,318.92          | \$3,606.20      | -\$287.28                       | \$109.80                           | 0.41%                              |
| Credit Suisse Group Spns ADR<br>CUSIP: 225401108       | 124.00                  | \$2,911.52          | \$3,750.92      | -\$839.40                       | \$183.40                           | 0.36%                              |
| Dassault Sys S A SPD ADR<br>CUSIP: 237545108           | 21.00                   | \$1,688.61          | \$1,670.34      | \$18.27                         | \$12.01                            | 0.21%                              |
| Embraer-Empresa Brasileira D<br>CUSIP: 29082A107       | 116.00                  | \$2,925.52          | \$2,720.66      | \$204.86                        | \$0.00                             | 0.36%                              |
| Fanuc Corporation<br>CUSIP: 307305102                  | 116.00                  | \$2,934.80          | \$3,228.28      | -\$293.48                       | \$43.15                            | 0.36%                              |
| Fisimith & Co A S Sponsored ADR<br>CUSIP: 343793105    | 291.00                  | \$1,684.89          | \$1,766.37      | -\$81.48                        | \$33.17                            | 0.21%                              |
| Fresenius Med Care AG & Co SPD ADR<br>CUSIP: 358029106 | 54.00                   | \$3,670.92          | \$3,645.54      | \$25.38                         | \$35.26                            | 0.45%                              |
| Hang Lung Pptys Ltd<br>CUSIP: 41043M104                | 104.00                  | \$1,476.80          | \$1,745.12      | -\$268.32                       | \$44.10                            | 0.18%                              |
| Hennes & Mauritz AB ADR<br>CUSIP: 425883105            | 637.00                  | \$4,051.32          | \$3,923.92      | \$127.40                        | \$150.33                           | 0.50%                              |
| Hong Kong Exchanges & Cleari<br>CUSIP: 43858F109       | 136.00                  | \$2,162.40          | \$2,438.48      | -\$276.08                       | \$70.45                            | 0.27%                              |
| Industrial & Coml Bk China ADR<br>CUSIP: 455807107     | 224.00                  | \$2,670.08          | \$2,900.80      | -\$230.72                       | \$103.04                           | 0.33%                              |
| ING Groep N V Sponsored ADR<br>CUSIP: 456837103        | 324.00                  | \$2,323.08          | \$2,619.05      | -\$295.97                       | \$0.00                             | 0.28%                              |
| Itau Unibanco Holding S.A<br>CUSIP: 465562106          | 198.00                  | \$3,674.88          | \$3,405.96      | \$268.92                        | \$17.03                            | 0.45%                              |
| Kddi Corp ADR<br>CUSIP: 48667L106                      | 113.00                  | \$1,819.30          | \$1,997.84      | -\$178.54                       | \$45.99                            | 0.22%                              |

# Asset Detail Section (continued)

## Statement of Value and Activity

December 1, 2011 - December 31, 2011

| Description                                           | Shares/Par Value | Market Value | Tax Cost   | Unrealized<br>Gain/Loss | Estimated Annual<br>Income | Percent of Market<br>Value |
|-------------------------------------------------------|------------------|--------------|------------|-------------------------|----------------------------|----------------------------|
| Kingfisher PLC<br>CUSIP: 495724403                    | 475.00           | \$3,652.75   | \$3,515.00 | \$137.75                | \$102.60                   | 0.45%                      |
| Komatsu Ltd Spns ADR<br>CUSIP: 500458401              | 140.00           | \$3,306.80   | \$3,728.20 | -\$421.40               | \$60.90                    | 0.41%                      |
| Li & Fung Ltd<br>CUSIP: 501897102                     | 668.00           | \$2,451.56   | \$2,451.92 | -\$0.36                 | \$62.12                    | 0.30%                      |
| Lvmh Moet Hennessey Louis Vuitton<br>CUSIP: 502441306 | 166.00           | \$4,664.60   | \$5,310.76 | -\$646.16               | \$74.87                    | 0.57%                      |
| Mitsubishi UFJ Finl Group Apd ADR<br>CUSIP: 606822104 | 585.00           | \$2,451.15   | \$2,743.65 | -\$292.50               | \$81.32                    | 0.30%                      |
| Nestle SA Spon ADR Repstg Reg<br>CUSIP: 641069406     | 61.00            | \$3,520.31   | \$3,806.40 | -\$286.09               | \$107.79                   | 0.43%                      |
| Novartis AG Spons ADR<br>CUSIP: 66987V109             | 79.00            | \$4,516.43   | \$4,316.56 | \$199.87                | \$158.40                   | 0.55%                      |
| Novo-Nordisk A S<br>CUSIP: 670100205                  | 36.00            | \$4,149.36   | \$3,862.08 | \$287.28                | \$48.89                    | 0.51%                      |
| Polash Corp Sask Inc Com<br>CUSIP: 73755L107          | 71.00            | \$2,930.88   | \$3,782.32 | -\$851.44               | \$19.88                    | 0.36%                      |
| Publicis S A New Spnd ADR<br>CUSIP: 74463M106         | 106.00           | \$2,424.22   | \$2,480.40 | -\$56.18                | \$42.51                    | 0.30%                      |
| Reckitt Benckiser Group PLC ADR<br>CUSIP: 756255105   | 447.00           | \$4,398.48   | \$4,747.14 | -\$348.66               | \$155.56                   | 0.54%                      |
| SabMiller PLC SPD ADR<br>CUSIP: 78572M105             | 44.00            | \$1,542.20   | \$1,509.20 | \$33.00                 | \$34.80                    | 0.19%                      |
| Sap AG<br>CUSIP: 803054204                            | 81.00            | \$4,288.95   | \$4,242.78 | \$46.17                 | \$83.03                    | 0.53%                      |
| Sberbank Russia<br>CUSIP: 80585Y308                   | 222.00           | \$2,208.68   | \$2,399.49 | -\$192.81               | \$26.20                    | 0.27%                      |

**Asset Detail Section (continued)****Statement of Value and Activity**

December 1, 2011 - December 31, 2011

| Description                                              | Shares/Par Value | Market Value | Tax Cost    | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|----------------------------------------------------------|------------------|--------------|-------------|----------------------|-------------------------|-------------------------|
| Schlumberger Ltd<br>CUSIP: 806857108                     | 61.00            | \$4,166.91   | \$4,654.24  | -\$487.33            | \$61.00                 | 0.51%                   |
| Siemens A G Sponsored ADR<br>CUSIP: 826197501            | 36.00            | \$3,441.96   | \$3,733.23  | -\$291.27            | \$106.34                | 0.42%                   |
| Swatch Group AG<br>CUSIP: 870123106                      | 98.00            | \$1,832.60   | \$1,853.72  | -\$21.12             | \$17.05                 | 0.22%                   |
| Teck Resources Ltd-Cls B<br>CUSIP: 878742204             | 48.00            | \$1,689.12   | \$2,001.93  | -\$312.81            | \$38.78                 | 0.21%                   |
| Telefonica S A<br>CUSIP: 879382208                       | 119.00           | \$2,045.61   | \$2,352.39  | -\$306.78            | \$203.73                | 0.25%                   |
| Tencent Hldgs Ltd<br>CUSIP: 88032Q109                    | 133.00           | \$2,678.62   | \$3,138.08  | -\$459.46            | \$8.11                  | 0.33%                   |
| Tesco PLC Sponsored ADR<br>CUSIP: 881575302              | 203.00           | \$3,824.52   | \$3,710.84  | \$113.68             | \$134.59                | 0.47%                   |
| TEVA Pharmaceutical Inds ADR<br>CUSIP: 881624209         | 101.00           | \$4,076.36   | \$4,013.39  | \$62.97              | \$79.29                 | 0.50%                   |
| Toyota Mtr Corp<br>CUSIP: 892331307                      | 55.00            | \$3,637.15   | \$4,160.20  | -\$523.05            | \$84.02                 | 0.45%                   |
| Turkiye Garanti Bankasi A S<br>CUSIP: 900148701          | 645.00           | \$2,005.95   | \$2,276.85  | -\$270.90            | \$42.57                 | 0.25%                   |
| Tyco International Com Usd0.80<br>CUSIP: H89128104       | 262.00           | \$12,238.02  | \$10,786.31 | \$1,451.71           | \$262.00                | 1.50%                   |
| Vodafone Group SPD ADR Rep 10 Ord SH<br>CUSIP: 92857W209 | 29.00            | \$812.87     | \$758.41    | \$54.46              | \$41.85                 | 0.10%                   |
| Volkswagen AG<br>CUSIP: 928662402                        | 70.00            | \$2,091.60   | \$2,334.50  | -\$242.90            | \$34.58                 | 0.26%                   |

# Asset Detail Section (continued)

## Statement of Value and Activity

December 1, 2011 - December 31, 2011

| Description                                            | Shares/Par Value | Market Value | Tax Cost     | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|--------------------------------------------------------|------------------|--------------|--------------|----------------------|-------------------------|-------------------------|
|                                                        |                  |              |              |                      |                         |                         |
| Wal-Mart DE Mexico S.A.B DE C V<br>CUSIP: 93114W107    | 94.00            | \$2,574.66   | \$2,322.74   | \$251.92             | \$30.93                 | 0.32%                   |
| Yandex N.V. Com Usd0.01 CL 'a'<br>CUSIP: N97284108     | 60.00            | \$1,182.00   | \$1,860.60   | -\$678.60            | \$0.00                  | 0.14%                   |
| <hr/>                                                  |                  |              |              |                      |                         |                         |
| Total Common Equity Securities                         |                  | \$158,802.63 | \$164,098.57 | -\$5,295.94          | \$3,513.51              | 19.52%                  |
| <hr/>                                                  |                  |              |              |                      |                         |                         |
| Equity Mutual Funds                                    |                  |              |              |                      |                         |                         |
| Closed End Equity Mutual Funds                         |                  |              |              |                      |                         |                         |
| IShares TR MSCI Emerging Mkts Indx<br>CUSIP: 464287234 | 2,030.00         | \$77,018.20  | \$82,455.15  | -\$5,436.95          | \$1,640.24              | 9.40%                   |
| Proshares Russell 2000 Proshares<br>CUSIP: 74348A202   | 71.00            | \$2,741.31   | \$2,959.47   | -\$218.16            | \$0.00                  | 0.34%                   |
| Proshares TR<br>CUSIP: 74347R883                       | 303.00           | \$5,844.57   | \$6,061.34   | -\$216.77            | \$0.00                  | 0.72%                   |
| Proshares TR Pehs Ultshrt Qqq<br>CUSIP: 74347X237      | 217.00           | \$9,793.21   | \$9,530.97   | \$262.24             | \$0.00                  | 1.20%                   |
| <hr/>                                                  |                  |              |              |                      |                         |                         |
| Total Equity Mutual Funds                              |                  | \$95,397.29  | \$101,036.93 | -\$5,639.64          | \$1,640.24              | 11.86%                  |

# **Asset Detail Section (continued)**

## **Statement of Value and Activity**

December 1, 2011 - December 31, 2011

| Description                                      | Shares/Par Value | Market Value        | Tax Cost            | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|--------------------------------------------------|------------------|---------------------|---------------------|----------------------|-------------------------|-------------------------|
| <b>Other Assets</b>                              |                  |                     |                     |                      |                         |                         |
| <b>Partnerships</b>                              |                  |                     |                     |                      |                         |                         |
| Kinder Morgan Management LLC<br>CUSIP: 49455U100 | 180.00           | \$14,133.60         | \$10,807.99         | \$3,325.61           | \$0.00                  | 1.73%                   |
| <b>Total Other Assets</b>                        |                  | <b>\$14,133.60</b>  | <b>\$10,807.99</b>  | <b>\$3,325.61</b>    | <b>\$0.00</b>           | <b>1.73%</b>            |
| <b>Cash Equivalents</b>                          |                  |                     |                     |                      |                         |                         |
| <b>Invested Cash</b>                             |                  |                     |                     |                      |                         |                         |
| Citibank M.D.A. (L)<br>CUSIP: 9900CST72          | 20,791.64        | \$20,791.64         | \$20,791.64         | \$0.00               | \$10.39                 | 2.55%                   |
| Citibank M.D.A. (L)<br>CUSIP: 9900CST72          | 2,575.35         | \$2,575.35          | \$2,575.35          | \$0.00               | \$1.28                  | 0.32%(i)                |
| Citibank M.D.A. (T)<br>CUSIP: 9913CMP64          | 412.11           | \$412.11            | \$412.11            | \$0.00               | \$0.21                  | 0.05%(i)                |
| <b>Total Cash Equivalents</b>                    |                  | <b>\$23,779.10</b>  | <b>\$23,779.10</b>  | <b>\$0.00</b>        | <b>\$11.88</b>          | <b>2.92%</b>            |
| <b>Total All Assets</b>                          |                  | <b>\$815,303.42</b> | <b>\$791,814.15</b> | <b>\$23,489.27</b>   | <b>\$12,344.23</b>      | <b>100.00%</b>          |

# Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete **only Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete **only Part II** (on page 2 of this form).

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*.

## Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

**All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.**

|                                                                                            |                                                                                          |  |                                                     |  |
|--------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|--|-----------------------------------------------------|--|
| <b>Type or print</b><br><br>File by the due date for filing your return. See instructions. | Name of exempt organization or other filer, see instructions.                            |  | Enter filer's identifying number, see instructions. |  |
|                                                                                            | 30-15C066471768 BOB HONG FOUNDATION                                                      |  | Employer identification number (EIN) or             |  |
|                                                                                            | Number, street, and room or suite no. If a P.O. box, see instructions.                   |  | 04-6911786                                          |  |
|                                                                                            | C/O CITI TRUST, ONE COURTSIDE PLAZA, 29TH FLOOR                                          |  | Social security number (SSN)                        |  |
|                                                                                            | City, town or post office, state, and ZIP code. For a foreign address, see instructions. |  |                                                     |  |
|                                                                                            | LONG ISLAND CITY, NY 11120                                                               |  |                                                     |  |

Enter the Return code for the return that this application is for (file a separate application for each return)

| Application Is For                       | Return Code | Application Is For       | Return Code |
|------------------------------------------|-------------|--------------------------|-------------|
| Form 990                                 | 01          | Form 990-T (corporation) | 07          |
| Form 990-BL                              | 02          | Form 1041-A              | 08          |
| Form 990-EZ                              | 01          | Form 4720                | 09          |
| Form 990-PF                              | 04          | Form 5227                | 10          |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05          | Form 6069                | 11          |
| Form 990-T (trust other than above)      | 06          | Form 8870                | 12          |

- The books are in the care of ► ANDREW HONG

Telephone No. ► \_\_\_\_\_ FAX No. ► \_\_\_\_\_

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 20 12, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☒ calendar year 2011 or
- ☐ tax year beginning \_\_\_\_\_, 20 \_\_\_\_\_, and ending \_\_\_\_\_, 20 \_\_\_\_\_.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
- ☐ Change in accounting period

|                                                                                                                                                                                       |       |        |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|--------|
| 3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.                                   | 3a \$ | 4,371. |
| b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit. | 3b \$ | 196.   |
| c <b>Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.      | 3c \$ | 4,175. |

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 1-2012)