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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

2011

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011 or tax year beginning

, and ending

Name of foundation <b>EDWARD C. JOHNSON FUND</b>		A Employer identification number <b>04-6108344</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>11 KEEWAYDIN DRIVE</b>	Room/suite <b>100</b>	B Telephone number <b>6036814375</b>
City or town, state, and ZIP code <b>SALEM, NH 03079</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		Foreign organizations meeting the 85% test, 2. check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$ 334,978,482.</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
(Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

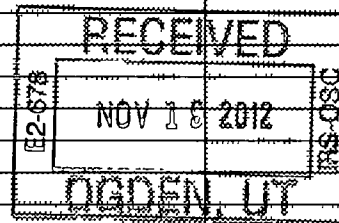
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		6,847,000.		N/A	
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		3,272,463.	3,272,463.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		37,595,740.			
b Gross sales price for all assets on line 6a <b>185416243.</b>					
7 Capital gain net income (from Part IV, line 2)			37,595,740.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		47,715,203.	40,868,203.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees STMT 2		51,959.	0.		51,959.
b Accounting fees STMT 3		20,879.	0.		20,879.
c Other professional fees STMT 4		1,706,696.	724,881.		981,815.
17 Interest					
18 Taxes STMT 5		352,741.	0.		2,741.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 6		30,142.	0.		30,142.
24 Total operating and administrative expenses. Add lines 13 through 23		2,162,417.	724,881.		1,087,536.
25 Contributions, gifts, grants paid		29,407,620.			29,407,620.
26 Total expenses and disbursements. Add lines 24 and 25		31,570,037.	724,881.		30,495,156.
27 Subtract line 26 from line 12		16,145,166.			
a Excess of revenue over expenses and disbursements			40,143,322.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				N/A	

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LHA For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2011)

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash - non-interest-bearing				
	2 Savings and temporary cash investments	21,046,675.	24,295,407.	24,295,407.	
	3 Accounts receivable				
	Less: allowance for doubtful accounts				
	4 Pledges receivable				
	Less: allowance for doubtful accounts				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable	2,528,856.			
	Less: allowance for doubtful accounts	0.	2,446,885.	2,528,856.	
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges	1,553,115.	1,471,144.	1,471,144.	
	10a Investments - U.S. and state government obligations				
	b Investments - corporate stock	STMT 7	242,686,854.	233,793,199.	290,982,708.
	c Investments - corporate bonds				
11 Investments - land, buildings, and equipment basis	25,927,257.				
Less: accumulated depreciation		4,137,168.	25,927,257.	15,700,367.	
12 Investments - mortgage loans					
13 Investments - other					
14 Land, buildings, and equipment basis					
Less: accumulated depreciation					
15 Other assets (describe)					
16 Total assets (to be completed by all filers)		271,870,697.	288,015,863.	334,978,482.	
Liabilities	17 Accounts payable and accrued expenses				
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable				
	22 Other liabilities (describe)				
23 Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/>				
	24 Unrestricted	271,870,697.	288,015,863.		
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/>				
	27 Capital stock, trust principal, or current funds				
	28 Paid-in or capital surplus, or land, bldg., and equipment fund				
	29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances		271,870,697.	288,015,863.		
31 Total liabilities and net assets/fund balances		271,870,697.	288,015,863.		

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	271,870,697.
2 Enter amount from Part I, line 27a	2	16,145,166.
3 Other increases not included in line 2 (itemize)	3	0.
4 Add lines 1, 2, and 3	4	288,015,863.
5 Decreases not included in line 2 (itemize)	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	288,015,863.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 185,416,243.		147,820,503.	37,595,740.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			37,595,740.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	37,595,740.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	{ }	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	30,535,114.	345,898,778.	.088278
2009	31,764,046.	306,396,343.	.103670
2008	22,242,050.	370,633,194.	.060011
2007	38,669,739.	399,684,537.	.096751
2006	25,200,758.	360,809,670.	.069845

2 Total of line 1, column (d)	2	.418555
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.083711
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	363,946,685.
5 Multiply line 4 by line 3	5	30,466,341.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	401,433.
7 Add lines 5 and 6	7	30,867,774.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	40,146,008.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	401,433.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	401,433.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	401,433.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	664,638.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	664,638.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	263,205.	
11 Enter the amount of line 10 to be Credited to 2012 estimated tax	11	263,205.	Refunded

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) MA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► THOMAS J. EBERHARDT Telephone no. ► 603-681-4441 Located at ► 11 KEEWAYDIN DRIVE, SUITE 100, SALEM, NH ZIP+4 ► 03079			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes No	X X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)	3b	X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☒ Yes ☐ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐**5b**

X

Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No**6b**

X

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

N/A

**7b****Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total** number of other employees paid over \$50,000 ☐

0

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**Part VIII****Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
E.L. SHEA INC		
23 CHURCH STREET, ELLSWORTH, ME 04605	BUILDING CONTRACTOR	8,891,933.
COOPERATIVE PHILANTHROPIC SERVICES LLC - 11	GRANTS	
KEEWAYDIN DRIVE, SUITE 100, SALEM, NH 03079	ADMINISTRATION & ACC	892,803.
STRATEGIC ADVISERS INC	INVESTMENT	
82 DEVONSHIRE STREET, BOSTON, MA 02109	MANAGEMENT	724,880.
C&R RIZVI INCORPORATED	CONSULTANT DESIGN	
376 BOYLSTON STREET, BOSTON, MA 02116	SERVICES	489,132.
FRONTLINE	ONSITE PROJECT	
91 POINT ROAD, BELGRADE, ME 04917	SUPERVISOR	141,223.
Total number of others receiving over \$50,000 for professional services		0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 DESIGN, PLANNING AND CONSTRUCTION FOR EDUCATIONAL SUMMER CAMP	9,650,852.
2	0.
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

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**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	357,556,383.
b Average of monthly cash balances	1b	11,932,637.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	369,489,020.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	369,489,020.
4 Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	5,542,335.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	363,946,685.
6 Minimum investment return. Enter 5% of line 5	6	18,197,334.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1 Minimum investment return from Part X, line 6	1	18,197,334.
2a Tax on investment income for 2011 from Part VI, line 5	2a	401,433.
b Income tax for 2011 (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	401,433.
3 Distributable amount before adjustments Subtract line 2c from line 1	3	17,795,901.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	17,795,901.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	17,795,901.

**Part XII** Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	30,495,156.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	9,650,852.
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	40,146,008.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	401,433.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	39,744,575.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				17,795,901.
2 Undistributed Income, if any, as of the end of 2011				
a Enter amount for 2010 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2011:				
a From 2006	8,470,010.			
b From 2007	20111534.			
c From 2008	3,287,666.			
d From 2009	16499815.			
e From 2010	13749245.			
f Total of lines 3a through e	62,118,270.			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 40,146,008.				
a Applied to 2010, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				17,795,901.
e Remaining amount distributed out of corpus	22,350,107.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	84,468,377.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2010. Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	8,470,010.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	75,998,367.			
10 Analysis of line 9				
a Excess from 2007	20111534.			
b Excess from 2008	3,287,666.			
c Excess from 2009	16499815.			
d Excess from 2010	13749245.			
e Excess from 2011	22350107.			



**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
SEE ATTACHED STATEMENT(S)				29,407,620.
<b>Total</b>			<b>3a</b>	29,407,620.
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>			<b>3b</b>	0.





**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

**2011**

Name of the organization

EDWARD C. JOHNSON FUND

Employer identification number

04-6108344

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐

501(c)( ) (enter number) organization

☐

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐

527 political organization

Form 990-PF

☒

501(c)(3) exempt private foundation

☐

4947(a)(1) nonexempt charitable trust treated as a private foundation

☐

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

**LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2011)**

Name of organization

Employer identification number

EDWARD C. JOHNSON FUND

04-6108344

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JOHNSON 2010 CHARITABLE LEAD TRUST 11 KEEWAYDIN DRIVE (SUITE 100) SALEM, NH 03079	\$ 6,847,000.	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)



Name of organization

Employer identification number

EDWARD C. JOHNSON FUND

04-6108344

**Part II Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

Employer identification number

EDWARD C. JOHNSON FUND

04-6108344

**Part III**

*Exclusively* religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once.) ▶ \$ \_\_\_\_\_

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

**EDWARD C. JOHNSON FUND**  
E.I.N. # 04-6108344  
ATTACHMENT TO 2011 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) **Grantee:** Brookfield Arts Foundation  
11 Keewaydin Drive; Salem, NH 03079

(2) **Date and Amount Paid:**

January 10, 2011                      \$4,200,000

(3) **Purpose:** Art acquisition

(4) **Amount of Grant Spent by Grantee:** \$4,200,000

(5) **Diversion:**

To the knowledge of the foundation, and based on the report furnished by the grantee, no part of the grant funds have been used for other than their intended purpose.

(6) **Date of Report(s) Received from Grantee:** September 26, 2012

(7) **Verification:**

Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. 53.4945-5(c)).

**EDWARD C. JOHNSON FUND**  
E.I.N. # 04-6108344  
ATTACHMENT TO 2011 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) **Grantee:** Camp Beech Cliff  
P.O. Box 381; Mt. Desert, ME 04660

(2) **Date and Amount Paid:**

\$5,000                      January 26, 2011

(3) **Purpose:** To support staff development

(4) **Amount of Grant Spent by Grantee:**                      \$5,000

(5) **Diversion:**

To the knowledge of the foundation, and based on the report furnished by the grantee, no part of the grant funds have been used for other than their intended purpose.

(6) **Date of Report(s) Received from Grantee:**      September 7, 2012

(7) **Verification:**

Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. §53.4945-5(c)).

**EDWARD C. JOHNSON FUND**  
E.I.N. # 04-6108344  
ATTACHMENT TO 2011 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

**(1) Grantee:** Brookfield Arts Foundation  
11 Keewaydin Drive; Salem, NH 03079

**(2) Date and Amount Paid:**

March 10, 2011	\$211,093.69
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**(3) Purpose:** Art acquisition

**(4) Amount of Grant Spent by Grantee:** \$211,093.69

**(5) Diversion:**

To the knowledge of the foundation, and based on the report furnished by the grantee, no part of the grant funds have been used for other than their intended purpose.

**(6) Date of Report(s) Received from Grantee:** September 26, 2012

**(7) Verification:**

Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. 53.4945-5(c)).

**EDWARD C. JOHNSON FUND**  
E.I.N. # 04-6108344  
ATTACHMENT TO 2011 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

**INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS**

**(1) Grantee:** Brookfield Arts Foundation  
11 Keewaydin Drive; Salem, NH 03079

**(2) Date and Amount Paid:**

April 1, 2011                      \$182,500

**(3) Purpose:** Art acquisition

**(4) Amount of Grant Spent by Grantee:** \$182,500

**(5) Diversion:**

To the knowledge of the foundation, and based on the report furnished by the grantee, no part of the grant funds have been used for other than their intended purpose.

**(6) Date of Report(s) Received from Grantee:** September 26, 2012

**(7) Verification:**

Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. 53.4945-5(c)).

**EDWARD C. JOHNSON FUND**  
E.I.N. # 04-6108344  
ATTACHMENT TO 2011 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

**INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS**

**(1) Grantee:** Brookfield Arts Foundation  
11 Keewaydin Drive; Salem, NH 03079

**(2) Date and Amount Paid:**

April 18, 2011                      \$735,000

**(3) Purpose:** Art acquisition

**(4) Amount of Grant Spent by Grantee:** \$735,000

**(5) Diversion:**

To the knowledge of the foundation, and based on the report furnished by the grantee, no part of the grant funds have been used for other than their intended purpose.

**(6) Date of Report(s) Received from Grantee:** September 26, 2012

**(7) Verification:**

Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. 53.4945-5(c)).

**EDWARD C. JOHNSON FUND**  
E.I.N. # 04-6108344  
ATTACHMENT TO 2011 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

**INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS**

**(1) Grantee:** Brookfield Arts Foundation  
11 Keewaydin Drive; Salem, NH 03079

**(2) Date and Amount Paid:**

April 19, 2011                      \$514,088.50

**(3) Purpose:** Art acquisition

**(4) Amount of Grant Spent by Grantee:** \$514,088.50

**(5) Diversion:**

To the knowledge of the foundation, and based on the report furnished by the grantee, no part of the grant funds have been used for other than their intended purpose.

**(6) Date of Report(s) Received from Grantee:** September 26, 2012

**(7) Verification:**

Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. 53.4945-5(c)).



**EDWARD C. JOHNSON FUND**  
E.I.N. # 04-6108344  
ATTACHMENT TO 2011 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) **Grantee:** Brookfield Arts Foundation  
11 Keewaydin Drive; Salem, NH 03079

(2) **Date and Amount Paid:**

May 11, 2010                      \$1,620,000

(3) **Purpose:** Art acquisition

(4) **Amount of Grant Spent by Grantee:** \$1,620,000

(5) **Diversion:**

To the knowledge of the foundation, and based on the report furnished by the grantee, no part of the grant funds have been used for other than their intended purpose.

(6) **Date of Report(s) Received from Grantee:** September 26, 2011; September 26, 2012

(7) **Verification:**

Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. 53.4945-5(c)).

**EDWARD C. JOHNSON FUND**  
E.I.N. # 04-6108344  
ATTACHMENT TO 2011 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

**INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS**

**(1) Grantee:** Brookfield Arts Foundation  
11 Keewaydin Drive; Salem, NH 03079

**(2) Date and Amount Paid:**

June 20, 2011                      \$200,000

**(3) Purpose:** Art acquisition

**(4) Amount of Grant Spent by Grantee:** \$200,000

**(5) Diversion:**

To the knowledge of the foundation, and based on the report furnished by the grantee, no part of the grant funds have been used for other than their intended purpose.

**(6) Date of Report(s) Received from Grantee:** September 26, 2012

**(7) Verification:**

Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. 53.4945-5(c)).

**EDWARD C. JOHNSON FUND**  
E.I.N. # 04-6108344  
ATTACHMENT TO 2011 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

- 1) **Grantee:** Brookfield Arts Foundation  
11 Keewaydin Drive; Salem, NH 03079
- 2) **Date and Amount Paid:**  

June 20, 2011	\$200,000
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- 3) **Purpose:** Art acquisition
- 4) **Amount of Grant Spent by Grantee:** \$200,000
- 5) **Diversion:**  
To the knowledge of the foundation, and based on the report furnished by the grantee, no part of the grant funds have been used for other than their intended purpose.
- 6) **Date of Report(s) Received from Grantee:** September 26, 2012
- 7) **Verification:**  
Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. 53.4945-5(c)).

**EDWARD C. JOHNSON FUND**  
E.I.N. # 04-6108344  
ATTACHMENT TO 2011 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) **Grantee:** Camp Beech Cliff  
P.O. Box 381; Mt. Desert, ME 04660

(2) **Date and Amount Paid:**

\$140,000                  June 22, 2011

(3) **Purpose:** To support development projects

(4) **Amount of Grant Spent by Grantee:**                  \$140,000

(5) **Diversion:**

To the knowledge of the foundation, and based on the report furnished by the grantee, no part of the grant funds have been used for other than their intended purpose.

(6) **Date of Report(s) Received from Grantee:**      September 7, 2012

(7) **Verification:**

Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. §53.4945-5(c)).

**EDWARD C. JOHNSON FUND**  
E.I.N. # 04-6108344  
ATTACHMENT TO 2011 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) **Grantee:** Brookfield Arts Foundation  
11 Keewaydin Drive; Salem, NH 03079

(2) **Date and Amount Paid:**

July 6, 2011                      \$2,098,500

(3) **Purpose:** Art acquisition

(4) **Amount of Grant Spent by Grantee:** \$2,098,500

(5) **Diversion:**

To the knowledge of the foundation, and based on the report furnished by the grantee, no part of the grant funds have been used for other than their intended purpose.

(6) **Date of Report(s) Received from Grantee:** September 26, 2012

(7) **Verification:**

Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. 53.4945-5(c)).

**EDWARD C. JOHNSON FUND**  
E.I.N. # 04-6108344  
ATTACHMENT TO 2011 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) **Grantee:** Camp Beech Cliff  
P.O. Box 381; Mt. Desert, ME 04660

(2) **Date and Amount Paid:**

\$120,000              July 21, 2011

(3) **Purpose:** To support development projects

(4) **Amount of Grant Spent by Grantee:**              \$120,000

(5) **Diversion:**

To the knowledge of the foundation, and based on the report furnished by the grantee, no part of the grant funds have been used for other than their intended purpose.

(6) **Date of Report(s) Received from Grantee:**      September 7, 2012

(7) **Verification:**

Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. §53.4945-5(c)).

**EDWARD C. JOHNSON FUND**  
E.I.N. # 04-6108344  
ATTACHMENT TO 2011 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

**INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS**

(1) **Grantee:** Alzheimer Research Forum Foundation  
11 Keewaydin Drive; Salem, NH 03079

(2) **Date and Amount Paid:**

\$350,000                      September 14, 2011

(3) **Purpose:** Operating support

(4) **Amount of Grant Spent by Grantee:**                      \$350,000

(5) **Diversion:**

To the knowledge of the foundation, and based on the report furnished by the grantee, no part of the grant funds have been used for other than their intended purpose.

(6) **Date of Report(s) Received from Grantee:**      September 10, 2012

(7) **Verification:**

Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. §53.4945-5(c)).

**EDWARD C. JOHNSON FUND**  
E.I.N. # 04-6108344  
ATTACHMENT TO 2011 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

**INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS**

(1) **Grantee:** Brookfield Arts Foundation  
11 Keewaydin Drive; Salem, NH 03079

(2) **Date and Amount Paid:**

December 8, 2011	\$3,218,500
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(3) **Purpose:** Art acquisition

(4) **Amount of Grant Spent by Grantee:** \$3,218,500

(5) **Diversion:**

To the knowledge of the foundation, and based on the report furnished by the grantee, no part of the grant funds have been used for other than their intended purpose.

(6) **Date of Report(s) Received from Grantee:** September 26, 2012

(7) **Verification:**

Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. 53.4945-5(c)).



**EDWARD C. JOHNSON FUND**  
E.I.N. # 04-6108344  
ATTACHMENT TO 2011 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

**INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS**

(1) **Grantee:** Brookfield Arts Foundation  
11 Keewaydin Drive; Salem, NH 03079

(2) **Date and Amount Paid:**

December 9, 2011	\$ 982,912.77
December 9, 2011	\$ 196,035.00
December 9, 2011	\$ 196,035.00
December 19, 2011	<u>\$4,223,087.25</u>
Total	\$5,598,070.02

(3) **Purpose:** Art acquisition

(4) **Amount of Grant Spent by Grantee:** \$5,598,070.02

(5) **Diversion:**

To the knowledge of the foundation, and based on the report furnished by the grantee, no part of the grant funds have been used for other than their intended purpose.

(6) **Date of Report(s) Received from Grantee:** September 26, 2012

(7) **Verification:**

Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. 53.4945-5(c)).

**EDWARD C. JOHNSON FUND**  
E.I.N. # 04-6108344  
ATTACHMENT TO 2011 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

**INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS**

(1) **Grantee:** Brookfield Arts Foundation  
11 Keewaydin Drive; Salem, NH 03079

(2) **Date and Amount Paid:**

December 19, 2011	\$470,808.25
May 12, 2012	<u>\$8,000.00</u>
Total	\$478,808.25

(3) **Purpose:** Art acquisition

(4) **Amount of Grant Spent by Grantee:** \$478,808.25

(5) **Diversion:**

To the knowledge of the foundation, and based on the report furnished by the grantee, no part of the grant funds have been used for other than their intended purpose.

(6) **Date of Report(s) Received from Grantee:** September 26, 2012

(7) **Verification:**

Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. 53.4945-5(c)).

**EDWARD C. JOHNSON FUND**  
E.I.N. # 04-6108344  
ATTACHMENT TO 2011 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) **Grantee:** Brookfield Arts Foundation  
11 Keewaydin Drive; Salem, NH 03079

(2) **Date and Amount Paid:**

April 20, 2010	\$8,735,000
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(3) **Purpose:** Art acquisition

(4) **Amount of Grant Spent by Grantee:** \$8,735,000

(5) **Diversion:**

To the knowledge of the foundation, and based on the report furnished by the grantee, no part of the grant funds have been used for other than their intended purpose.

(6) **Date of Report(s) Received from Grantee:** September 26, 2011; September 26, 2012

(7) **Verification:**

Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. 53.4945-5(c)).

**EDWARD C. JOHNSON FUND**  
E.I.N. # 04-6108344  
ATTACHMENT TO 2011 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) **Grantee:** Brookfield Arts Foundation  
11 Keewaydin Drive; Salem, NH 03079

(2) **Date and Amount Paid:**

April 28, 2010                      \$280,000

(3) **Purpose:** Art acquisition

(4) **Amount of Grant Spent by Grantee:** \$ 280,000

(5) **Diversion:**

To the knowledge of the foundation, and based on the report furnished by the grantee, no part of the grant funds have been used for other than their intended purpose.

(6) **Date of Report(s) Received from Grantee:** October 13, 2011; September 26, 2012

(7) **Verification:**

Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. 53.4945-5(c)).

**EDWARD C. JOHNSON FUND**

E.I.N. # 04-6108344

ATTACHMENT TO 2011 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

**INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS**

**(1) Grantee:** Brookfield Arts Foundation  
11 Keewaydin Drive; Salem, NH 03079

**(2) Date and Amount Paid:**

May 25, 2010	\$3,000,000
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**(3) Purpose:** Art acquisition

**(4) Amount of Grant Spent by Grantee:** \$3,000,000

**(5) Diversion:**

To the knowledge of the foundation, and based on the report furnished by the grantee, no part of the grant funds have been used for other than their intended purpose.

**(6) Date of Report(s) Received from Grantee:** September 26, 2011; September 26, 2012

**(7) Verification:**

Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. 53.4945-5(c)).

**EDWARD C. JOHNSON FUND**  
E.I.N. # 04-6108344  
ATTACHMENT TO 2011 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

**INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS**

**(1) Grantee:** Brookfield Arts Foundation  
11 Keewaydin Drive; Salem, NH 03079

**(2) Date and Amount Paid:**

July 15, 2010	\$138,555
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**(3) Purpose:** Art acquisition

**(4) Amount of Grant Spent by Grantee:** \$138,555

**(5) Diversion:**

To the knowledge of the foundation, and based on the report furnished by the grantee, no part of the grant funds have been used for other than their intended purpose.

**(6) Date of Report(s) Received from Grantee:** October 13, 2011; September 26, 2012

**(7) Verification:**

Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. 53.4945-5(c)).

**EDWARD C. JOHNSON FUND**  
E.I.N. # 04-6108344  
ATTACHMENT TO 2011 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

**INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS**

**(1) Grantee:** Brookfield Arts Foundation  
11 Keewaydin Drive; Salem, NH 03079

**(2) Date and Amount Paid:**

July 15, 2010	\$53,882.50
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**(3) Purpose:** Art acquisition

**(4) Amount of Grant Spent by Grantee:** \$53,882.50

**(5) Diversion:**

To the knowledge of the foundation, and based on the report furnished by the grantee, no part of the grant funds have been used for other than their intended purpose.

**(6) Date of Report(s) Received from Grantee:** October 13, 2011; September 26, 2012

**(7) Verification:**

Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. 53.4945-5(c)).

**EDWARD C. JOHNSON FUND**  
E.I.N. # 04-6108344  
ATTACHMENT TO 2011 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

**(1) Grantee:** Brookfield Arts Foundation  
11 Keewaydin Drive; Salem, NH 03079

**(2) Date and Amount Paid:**

July 15, 2010                      \$36,948

**(3) Purpose:** Art acquisition

**(4) Amount of Grant Spent by Grantee:** \$36,948

**(5) Diversion:**

To the knowledge of the foundation, and based on the report furnished by the grantee, no part of the grant funds have been used for other than their intended purpose.

**(6) Date of Report(s) Received from Grantee:** October 13, 2011; September 26, 2012

**(7) Verification:**

Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. 53.4945-5(c)).



**EDWARD C. JOHNSON FUND**  
E.I.N. # 04-6108344  
ATTACHMENT TO 2011 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

**INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS**

**(1) Grantee:** Brookfield Arts Foundation  
11 Keewaydin Drive; Salem, NH 03079

**(2) Date and Amount Paid:**

Sept. 30, 2010                      \$3,200,000

**(3) Purpose:** Art acquisition

**(4) Amount of Grant Spent by Grantee:** \$3,200,000

**(5) Diversion:**

To the knowledge of the foundation, and based on the report furnished by the grantee, no part of the grant funds have been used for other than their intended purpose.

**(6) Date of Report(s) Received from Grantee:** October 13, 2011; September 26, 2012

**(7) Verification:**

Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. 53.4945-5(c)).

**EDWARD C. JOHNSON FUND**  
E.I.N. # 04-6108344  
ATTACHMENT TO 2011 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) **Grantee:** Brookfield Arts Foundation  
11 Keewaydin Drive; Salem, NH 03079

(2) **Date and Amount Paid:**

Dec. 14, 2010                      \$1,537,449.54

(3) **Purpose:** Art acquisition

(4) **Amount of Grant Spent by Grantee:** \$1,537,449.54

(5) **Diversion:**

To the knowledge of the foundation, and based on the report furnished by the grantee, no part of the grant funds have been used for other than their intended purpose.

(6) **Date of Report(s) Received from Grantee:** October 13, 2011; September 26, 2012

(7) **Verification:**

Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. 53.4945-5(c)).

**EDWARD C. JOHNSON FUND**

E.I.N. # 04-6108344

ATTACHMENT TO 2011 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

**INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS**

**(1) Grantee:** Brookfield Arts Foundation  
11 Keewaydin Drive; Salem, NH 03079

**(2) Date and Amount Paid:**

Dec. 21, 2010                      \$2,098,500

**(3) Purpose:** Art acquisition

**(4) Amount of Grant Spent by Grantee:** \$2,098,500

**(5) Diversion:**

To the knowledge of the foundation, and based on the report furnished by the grantee, no part of the grant funds have been used for other than their intended purpose.

**(6) Date of Report(s) Received from Grantee:** October 13, 2011; September 26, 2012

**(7) Verification:**

Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. 53.4945-5(c)).

**EDWARD C. JOHNSON FUND**  
E.I.N. # 04-6108344  
ATTACHMENT TO 2011 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

**INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS**

(1) **Grantee:** Brookfield Arts Foundation  
11 Keewaydin Drive; Salem, NH 03079

(2) **Date and Amount Paid:**

July 15, 2009 \$2,322,500

(3) **Purpose:** Art acquisition

(4) **Amount of Grant Spent by Grantee:** \$2,322,500

(5) **Diversion:**

To the knowledge of the foundation, and based on the report furnished by the grantee, no part of the grant funds have been used for other than their intended purpose.

(6) **Date of Report(s) Received from Grantee:** June 14, 2010; September 26, 2011;  
October 1, 2012

(7) **Verification:**

Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. 53.4945-5(c)).

**EDWARD C. JOHNSON FUND**  
E.I.N. # 04-6108344  
ATTACHMENT TO 2011 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

**INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS**

(1) **Grantee:** Brookfield Arts Foundation  
11 Keewaydin Drive; Salem, NH 03079

(2) **Date and Amount Paid:**

September 2, 2009      \$6,725,000

(3) **Purpose:** Art acquisition

(4) **Amount of Grant Spent by Grantee:** \$6,725,000

(5) **Diversion:**

To the knowledge of the foundation, and based on the report furnished by the grantee, no part of the grant funds have been used for other than their intended purpose.

(6) **Date of Report(s) Received from Grantee:** June 14, 2010; September 26, 2011;  
October 1, 2012

(7) **Verification:**

Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. 53.4945-5(c)).

**EDWARD C. JOHNSON FUND**

E.I.N. # 04-6108344

ATTACHMENT TO 2011 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

**INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS**

**(1) Grantee:** Brookfield Arts Foundation  
11 Keewaydin Drive; Salem, NH 03079

**(2) Date and Amount Paid:**

December 8, 2009      \$9,677,419

**(3) Purpose:** Art acquisition

**(4) Amount of Grant Spent by Grantee:** \$9,677,419

**(5) Diversion:**

To the knowledge of the foundation, and based on the report furnished by the grantee, no part of the grant funds have been used for other than their intended purpose.

**(6) Date of Report(s) Received from Grantee:** June 14, 2010; September 26, 2011;  
October 1, 2012

**(7) Verification:**

Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. 53.4945-5(c)).

**EDWARD C. JOHNSON FUND**  
E.I.N. # 04-6108344  
ATTACHMENT TO 2011 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) **Grantee:** Brookfield Arts Foundation  
11 Keewaydin Drive; Salem, NH 03079

(2) **Date and Amount Paid:**

December 16, 2009     \$5,915,674.95

(3) **Purpose:** Art acquisition

(4) **Amount of Grant Spent by Grantee:** \$5,915,674.95

(5) **Diversion:**

To the knowledge of the foundation, and based on the report furnished by the grantee, no part of the grant funds have been used for other than their intended purpose.

(6) **Date of Report(s) Received from Grantee:** June 14, 2010; September 26, 2011;  
October 1, 2012

(7) **Verification:**

Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. 53.4945-5(c)).

## Edward C. Johnson Fund 2011 Grant Schedule

<u>Payment Date</u>	<u>Payee Name</u>	<u>Payee Address</u>	<u>Amount</u>	<u>Type of Support</u>	<u>Tax Status</u>
09/14/2011	Alzheimer Research Forum Foundation	11 Keewaydin Salem, NH 02109	\$350,000 00	Operating Support	U S private foundation
12/08/2011	American Friends of the Victoria and Albert Museum, Inc	61 Londonderry Drive Greenwich, CT 06830	\$24,000 00	Fellowships	501(c)(3)
01/13/2011	Beacon Hill Nursery School	74 Joy Street Boston, MA 02114	\$25,000 00	Endowment	501(c)(3)
03/10/2011	Brookfield Arts Foundation	11 Keewaydin Drive Suite 100 Salem, NH 03079	\$211,093 69	Art Acquisition	U S private foundation
01/10/2011	Brookfield Arts Foundation	11 Keewaydin Drive Suite 100 Salem, NH 03079	\$4,200,000 00	Art Acquisition	U S private foundation
04/01/2011	Brookfield Arts Foundation	11 Keewaydin Drive Suite 100 Salem, NH 03079	\$182,500 00	Art Acquisition	U S private foundation
04/18/2011	Brookfield Arts Foundation	11 Keewaydin Drive Suite 100 Salem, NH 03079	\$735,000 00	Art Acquisition	U S private foundation
04/19/2011	Brookfield Arts Foundation	11 Keewaydin Drive Suite 100 Salem, NH 03079	\$514,088 50	Art Acquisition	U S private foundation
12/08/2011	Brookfield Arts Foundation	11 Keewaydin Drive Suite 100 Salem, NH 03079	\$3,218,500 00	Art Acquisition	U S private foundation
06/20/2011	Brookfield Arts Foundation	11 Keewaydin Drive Suite 100 Salem, NH 03079	\$200,000 00	Art Acquisition	U S private foundation
06/20/2011	Brookfield Arts Foundation	11 Keewaydin Drive Suite 100 Salem, NH 03079	\$200,000 00	Art Acquisition	U S private foundation
07/06/2011	Brookfield Arts Foundation	11 Keewaydin Drive Suite 100 Salem, NH 03079	\$2,098,500 00	Art Acquisition	U S private foundation
12/09/2011	Brookfield Arts Foundation	11 Keewaydin Drive Suite 100 Salem, NH 03079	\$196,035 00	Art Acquisition	U S private foundation
12/09/2011	Brookfield Arts Foundation	11 Keewaydin Drive Suite 100 Salem, NH 03079	\$196,035 00	Art Acquisition	U S private foundation
12/09/2011	Brookfield Arts Foundation	11 Keewaydin Drive Suite 100 Salem, NH 03079	\$982,912 77	Art Acquisition	U S private foundation
12/19/2011	Brookfield Arts Foundation	11 Keewaydin Drive Suite 100 Salem, NH 03079	\$4,223,087 25	Art Acquisition	U S private foundation
12/19/2011	Brookfield Arts Foundation	11 Keewaydin Drive Suite 100 Salem, NH 03079	\$470,808 25	Art Acquisition	U S private foundation



## Edward C. Johnson Fund 2011 Grant Schedule

<u>Payment Date</u>	<u>Payee Name</u>	<u>Payee Address</u>	<u>Amount</u>	<u>Type of Support</u>	<u>Tax Status</u>
07/21/2011	Camp Beech Cliff	P O Box 381 Mount Desert, ME 04660	\$120,000 00	Salary Support	501(c)(3)
06/22/2011	Camp Beech Cliff	P O Box 381 Mount Desert, ME 04660	\$140,000 00	Organizational Development	501(c)(3)
01/26/2011	Camp Beech Cliff	P O Box 381 Mount Desert, ME 04660	\$5,000 00	Salary Support	501(c)(3)
03/03/2011	Dharma Sangha	Crestone Mountain Zen Center 2000 East Dreamway P O Box 130 Crestone, CO 81131	\$60,000 00	Operating Support	501(c)(3)
08/11/2011	Dharma Sangha	Crestone Mountain Zen Center 2000 East Dreamway P O Box 130 Crestone, CO 81131	\$50,000 00	Operating Support	501(c)(3)
12/22/2011	Freelance Players, Inc	Eight St John Street Jamaica Plain, MA 02130	\$10,000 00	Program Support	501(c)(3)
12/22/2011	Friends of the Esplanade Playspace, Inc	116 Flanders Road Suite 2000 Westborough, MA 01581	\$10,000 00	Public Spaces and Art	501(c)(3)
01/11/2011	Garrison Forest School, Inc	300 Garrison Forest Road Owings Mills, MD 21117	\$1,000,000 00	Endowment	501(c)(3)
06/09/2011	Health Leads	Boston Medical Center 88 E Newton Street, Vose 522 Boston, MA 02118	\$250,000 00	Organizational Development	501(c)(3)
12/22/2011	Hebron Academy	339 Paris Road P O Box 309 Hebron, ME 04238-0309	\$75,000 00	Debt Reduction	501(c)(3)
06/23/2011	Hebron Academy	339 Paris Road P O Box 309 Hebron, ME 04238-0309	\$500,000 00	Debt Reduction	501(c)(3)
05/12/2011	Historic New England	Otis House Museum 141 Cambridge Street Boston, MA 02114-2702	\$1,300,000 00	Land Acquisition	501(c)(3)
01/26/2011	Hobart and William Smith Colleges	Coxe Hall 300 Pulteney Street Geneva, NY 14456	\$1,500,000 00	Endowment	501(c)(3)
09/28/2011	Island Institute	386 Main Street P O Box 648 Rockland, ME 04841	\$5,000 00	Memorials	501(c)(3)
12/08/2011	Massachusetts General Hospital	100 Charles River Plaza Suite 600 Boston, MA 02114-2792	\$500,000 00	Medical Research	501(c)(3)
07/21/2011	Museum of Fine Arts	465 Huntington Avenue Boston, MA 02115-5523	\$650,000 00	Debt Reduction	501(c)(3)
03/03/2011	Museum of Fine Arts	465 Huntington Avenue Boston, MA 02115-5523	\$750,000 00	Debt Reduction	501(c)(3)
11/10/2011	National Trust for Places of Historic Interest or Natural Beauty	Heelis Kemble Drive U K	\$29,234 00	Program Support	501(c)(3)

## Edward C. Johnson Fund 2011 Grant Schedule

<u>Payment Date</u>	<u>Payee Name</u>	<u>Payee Address</u>	<u>Amount</u>	<u>Type of Support</u>	<u>Tax Status</u>
12/08/2011	Osterville Free Library Corporation	43 Wianno Avenue Osterville, MA 02655	\$50,000 00	Construction	501(c)(3)
12/22/2011	Park School Corporation	171 Goddard Avenue Brookline, MA 02445	\$12,500.00	Annual Support	501(c)(3)
11/10/2011	Peabody Essex Museum, Inc	East India Square 161 Essex Street Salem, MA 01970-3783	\$375,000 00	Art Acquisition	501(c)(3)
07/08/2011	Peabody Essex Museum, Inc	East India Square 161 Essex Street Salem, MA 01970-3783	\$32,480 00	Art Acquisition	501(c)(3)
12/22/2011	Peabody Essex Museum, Inc	East India Square 161 Essex Street Salem, MA 01970-3783	\$250,000 00	Art Acquisition	501(c)(3)
05/02/2011	Peabody Essex Museum, Inc	East India Square 161 Essex Street Salem, MA 01970-3783	\$2,828,571 00	Art Acquisition	501(c)(3)
05/02/2011	Peabody Essex Museum, Inc	East India Square 161 Essex Street Salem, MA 01970-3783	\$180,000 00	Art Acquisition	501(c)(3)
06/23/2011	Pine Street Inn, Inc	444 Harrison Avenue Boston MA 02118	\$100,000 00	Financial Stabilization	501(c)(3)
12/22/2011	San Francisco Zen Center	300 Page Street San Francisco, CA 94102	\$168,500 00	Endowment	Church
08/24/2011	San Francisco Zen Center	300 Page Street San Francisco, CA 94102	\$13,125 00	Conservation - Art & Artifacts	Church
09/15/2011	Shady Hill School	178 Coolidge Hill Cambridge, MA 02138-5598	\$50,000 00	Annual Support	501(c)(3)
07/07/2011	St Michael's Episcopal Church	112 Randolph Avenue Milton, MA 02186	\$2,500 00	Operating Support	Church
12/22/2011	The Parish of All Saints	209 Ashmont Street Dorchester, MA 02124-3803	\$98,150 00	Consulting	Church
08/11/2011	United States Equestrian Team Foundation, Inc	P O Box 355 1040 Pottersville Road Gladstone, NJ 07934-0355	\$250,000 00	Program Support	501(c)(3)
09/28/2011	Wild Care, Inc	Ten Smith Lane Eastham, MA 02642-2671	\$15,000 00	Memorials	501(c)(3)
<b>Total</b>			<b>\$29,407,620.46</b>		

Edward C. Johnson Fund

E.I.N. 04-6108344

Attachment to 2011 Form 990-PF

Part VIII – List of Officers, Directors, Trustees and Foundation Managers

The Foundation has no employees. The Foundation is party to an administrative services agreement with Cooperative Philanthropic Services LLC, 11 Keewaydin Drive, Salem, NH 03079 which provides the Foundation with the necessary administrative services to conduct its activities. Total paid to Cooperative Philanthropic Services LLC in 2010 was \$892,803. Through these arrangements, Anne-Marie Soulliere, Charles L. Nickerson, Melanie S. Sommer, Richard G. Weidmann, Thomas J. Eberhardt and Thomas B. Childs are indirectly compensated for their services devoted to the Foundation.

EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	19966.739 SHS (360) FIDELITY JAPAN SMALLER	P	05/27/97	01/07/11
b	26140.851 SHS (360) FIDELITY JAPAN SMALLER	P	05/27/97	01/31/11
c	26475.714 SHS (350) FIDELITY JAPAN FUND	P	07/14/95	01/31/11
d	25622.941 SHS (360) FIDELITY JAPAN SMALLER	P	05/27/97	02/01/11
e	26056.942 SHS (350) FIDELITY JAPAN FUND	P	07/14/95	02/01/11
f	19073.602 SHS (360) FIDELITY JAPAN SMALLER	P	05/27/97	02/25/11
g	17775.236 SHS (350) FIDELITY JAPAN FUND	P	07/14/95	02/25/11
h	18709.949 SHS (360) FIDELITY JAPAN SMALLER	P	05/27/97	03/01/11
i	18863.153 SHS (360) FIDELITY JAPAN SMALLER	P	05/27/97	03/02/11
j	19024.465 SHS (350) FIDELITY JAPAN FUND	P	07/14/95	03/02/11
k	11275 SHS COLT TELECOM GROUP SA LUXEMBOURG SHS	D	09/27/96	03/02/11
l	34539 SHS COLT TELECOM GROUP SA LUXEMBOURG SHS	D	09/27/96	03/03/11
m	39667 SHS COLT TELECOM GROUP SA LUXEMBOURG SHS	D	09/27/96	03/04/11
n	34580 SHS COLT TELECOM GROUP SA LUXEMBOURG SHS	D	09/27/96	03/07/11
o	23180 SHS COLT TELECOM GROUP SA LUXEMBOURG SHS	D	09/27/96	03/08/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	184,293.	143,205.	41,088.
b	245,724.	187,487.	58,237.
c	296,528.	327,769.	-31,241.
d	245,724.	183,772.	61,952.
e	296,528.	322,585.	-26,057.
f	184,251.	136,799.	47,452.
g	207,437.	220,057.	-12,620.
h	184,293.	134,191.	50,102.
i	184,293.	135,290.	49,003.
j	222,396.	235,523.	-13,127.
k	28,123.	9,319.	18,804.
l	86,208.	28,546.	57,662.
m	97,050.	32,785.	64,265.
n	82,885.	28,580.	54,305.
o	56,306.	19,158.	37,148.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			41,088.
b			58,237.
c			-31,241.
d			61,952.
e			-26,057.
f			47,452.
g			-12,620.
h			50,102.
i			49,003.
j			-13,127.
k			18,804.
l			57,662.
m			64,265.
n			54,305.
o			37,148.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8

2

3

## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co				(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr.)	(d) Date sold (mo, day, yr.)
1a	62412	SHS COLT TELECOM GROUP SA LUXEMBOURG SHS		D	09/27/96	03/09/11
b	27318	SHS COLT TELECOM GROUP SA LUXEMBOURG SHS		D	09/27/96	03/10/11
c	42681	SHS COLT TELECOM GROUP SA LUXEMBOURG SHS		D	09/27/96	03/11/11
d	44931	SHS COLT TELECOM GROUP SA LUXEMBOURG SHS		D	09/27/96	03/14/11
e	37445	SHS COLT TELECOM GROUP SA LUXEMBOURG SHS		D	09/27/96	03/15/11
f	42940	SHS COLT TELECOM GROUP SA LUXEMBOURG SHS		D	09/27/96	03/16/11
g	22387	SHS COLT TELECOM GROUP SA LUXEMBOURG SHS		D	09/27/96	03/17/11
h	26014	SHS COLT TELECOM GROUP SA LUXEMBOURG SHS		D	09/27/96	03/18/11
i	14319.58	SHS (360) FIDELITY JAPAN SMALLER		P	05/27/97	04/19/11
j	7310.848	SHS (350) FIDELITY JAPAN FUND		P	07/14/95	04/19/11
k	31034.78	SHS (021) FIDELITY MAGELLAN FUND		D	05/07/07	04/29/11
l	9694.619	SHS (060) FIDELITY SELECT ENERGY		P	04/16/07	04/29/11
m	21760.531	SHS (350) FIDELITY JAPAN FUND		P	07/14/95	05/12/11
n	23369.189	SHS (360) FIDELITY JAPAN SMALLER		P	05/27/97	05/12/11
o	111234.705	SHS (360) FIDELITY JAPAN SMALLER		P	05/27/97	05/13/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 149,493.		51,584.	97,909.
b 64,329.		22,578.	41,751.
c 99,258.		35,276.	63,982.
d 104,692.		37,135.	67,557.
e 85,030.		30,948.	54,082.
f 99,440.		35,490.	63,950.
g 51,490.		18,503.	32,987.
h 62,265.		21,501.	40,764.
i 122,862.		102,703.	20,159.
j 74,132.		90,508.	-16,376.
k 2,403,954.		1,755,172.	648,782.
l 600,000.		493,568.	106,432.
m 229,356.		269,395.	-40,039.
n 213,127.		167,608.	45,519.
o 1,000,000.		797,795.	202,205.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FM V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			97,909.
b			41,751.
c			63,982.
d			67,557.
e			54,082.
f			63,950.
g			32,987.
h			40,764.
i			20,159.
j			-16,376.
k			648,782.
l			106,432.
m			-40,039.
n			45,519.
o			202,205.

2 Capital gain net income or (net capital loss) ... { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8

3

EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	28208.745 SHS (022) FIDELITY CONTRAFUND	P	02/05/07	05/13/11
b	11486.331 SHS (042) FIDELITY SELECT BIOTECHNOLOGY	P	03/10/05	05/13/11
c	13550.136 SHS (039) FIDELITY VALUE FUND	P	01/02/01	05/13/11
d	11757.562 SHS (360) FIDELITY JAPAN SMALLER	P	05/27/97	05/16/11
e	14830.65 SHS (350) FIDELITY JAPAN FUND	P	07/14/95	05/16/11
f	17621.719 SHS (360) FIDELITY JAPAN SMALLER	P	05/27/97	05/19/11
g	18665.039 SHS (350) FIDELITY JAPAN FUND	P	07/14/95	05/19/11
h	18071.462 SHS (360) FIDELITY JAPAN SMALLER	P	05/27/97	05/23/11
i	17693.682 SHS (350) FIDELITY JAPAN FUND	P	12/07/98	05/23/11
j	17721.843 SHS (360) FIDELITY JAPAN SMALLER	P	05/27/97	05/26/11
k	17502.809 SHS (360) FIDELITY JAPAN SMALLER	P	05/27/97	05/27/11
l	17250.941 SHS (360) FIDELITY JAPAN SMALLER	P	05/27/97	06/06/11
m	14361.626 SHS (022) FIDELITY CONTRAFUND	P	01/02/01	08/03/11
n	48449.612 SHS (1281) FIDELITY ADVISOR NEW INSIGHT	P	12/26/06	08/03/11
o	44649.501 SHS (039) FIDELITY VALUE FUND	P	12/11/00	08/03/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,000,000.		1,802,380.	197,620.
b 1,000,000.		554,790.	445,210.
c 1,000,000.		632,930.	367,070.
d 104,172.		84,327.	19,845.
e 152,904.		183,603.	-30,699.
f 155,776.		126,386.	29,390.
g 191,130.		231,073.	-39,943.
h 155,776.		129,612.	26,164.
i 176,937.		207,611.	-30,674.
j 155,775.		127,104.	28,671.
k 155,775.		125,533.	30,242.
l 155,776.		123,727.	32,049.
m 1,000,000.		723,614.	276,386.
n 1,000,000.		634,059.	365,941.
o 3,000,000.		1,976,759.	1,023,241.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			197,620.
b			445,210.
c			367,070.
d			19,845.
e			-30,699.
f			29,390.
g			-39,943.
h			26,164.
i			-30,674.
j			28,671.
k			30,242.
l			32,049.
m			276,386.
n			365,941.
o			1,023,241.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3

## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	110436.223 SHS (818) FIDELITY INT'L SMALL CAP FUN	P	03/10/05	09/30/11
b	40338.846 SHS (325) FIDELITY DIVERSIFIED INTL	P	12/11/06	09/30/11
c	74822.297 SHS (513) FIDELITY SELECT NATURAL GAS	P	04/16/07	09/30/11
d	34734.539 SHS (818) FIDELITY INT'L SMALL CAP FUND	P	03/10/05	11/30/11
e	83685.244 SHS (360) FIDELITY JAPAN SMALLER	P	11/19/97	11/30/11
f	207409.472 SHS (325) FIDELITY DIVERSIFIED INTL	P	12/12/05	11/30/11
g	46586.25 SHS (022) FIDELITY CONTRAFUND	D	08/13/04	11/30/11
h	43924.22 SHS (1281) FIDELITY ADVISOR NEW INSIGHTS	P	09/28/04	11/30/11
i	35961.297 SHS (025) FIDELITY GROWTH CO	P	01/05/05	11/30/11
j	29581.214 SHS (093) FIDELITY OTC PORTFOLIO	P	09/11/00	11/30/11
k	16264.482 SHS (060) FIDELITY SELECT ENERGY	P	12/12/05	11/30/11
l	24173.258 SHS (513) FIDELITY SELECT NATURAL GAS	P	08/15/02	11/30/11
m	9947.453 SHS (043) FIDELITY SELECT ENERGY	P	04/16/07	11/30/11
n	16042.674 SHS (042) FIDELITY SELECT BIOTECHNOLOGY	P	03/10/05	11/30/11
o	10314.386 SHS (039) FIDELITY VALUE FUND	P	12/06/99	11/30/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,000,000.		2,860,298.	-860,298.
b 1,000,000.		1,422,097.	-422,097.
c 2,000,000.		2,050,046.	-50,046.
d 643,631.		899,625.	-255,994.
e 737,267.		576,012.	161,255.
f 5,539,907.		3,130,284.	2,409,623.
g 3,181,375.		1,764,937.	1,416,438.
h 886,830.		554,324.	332,506.
i 3,067,139.		2,125,438.	941,701.
j 1,656,548.		1,765,829.	-109,281.
k 836,157.		405,628.	430,529.
l 766,534.		424,317.	342,217.
m 687,369.		423,527.	263,842.
n 1,339,082.		774,861.	564,221.
o 658,161.		439,572.	218,589.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			-860,298.
b			-422,097.
c			-50,046.
d			-255,994.
e			161,255.
f			2,409,623.
g			1,416,438.
h			332,506.
i			941,701.
j			-109,281.
k			430,529.
l			342,217.
m			263,842.
n			564,221.
o			218,589.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 } .....	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	124270 SHS GAMMON GOLD INC	P	08/13/09	02/23/11
b	49500 SHS SILVER WHEATON CORP	P	02/12/09	02/23/11
c	15000 SHS SILVER WHEATON CORP	P	02/12/09	05/02/11
d	50000 SHS ELDORADO GOLD CORP NEW	P	09/04/09	05/06/11
e	30600 SHS ELDORADO GOLD CORP NEW	P	09/04/09	05/09/11
f	13550 SHS SILVER WHEATON CORP	P	02/12/09	05/09/11
g	25000 SHS ELDORADO GOLD CORP NEW	P	09/04/09	05/19/11
h	10000 SHS GOLDCORP INC NEW	P	09/04/09	05/19/11
i	15000 SHS SILVER WHEATON CORP	P	02/12/09	05/19/11
j	45000 SHS ELDORADO GOLD CORP NEW	P	09/04/09	06/01/11
k	36000 SHS SILVER WHEATON CORP	P	02/12/09	07/11/11
l	18000 SHS PAN AMERICAN SILVER CORP	P	08/14/09	07/22/11
m	15000 SHS SILVER WHEATON CORP	P	02/12/09	07/22/11
n	40000 SHS PAN AMERICAN SILVER CORP	P	08/14/09	08/03/11
o	21000 SHS SILVER WHEATON CORP	P	02/12/09	08/03/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,114,978.	800,751.	314,227.
b	1,993,427.	351,965.	1,641,462.
c	567,645.	106,656.	460,989.
d	797,840.	558,649.	239,191.
e	499,667.	340,390.	159,277.
f	499,547.	96,346.	403,201.
g	384,845.	276,338.	108,507.
h	482,661.	413,219.	69,442.
i	518,317.	106,656.	411,661.
j	696,114.	475,011.	221,103.
k	1,254,892.	255,974.	998,918.
l	609,411.	364,333.	245,078.
m	593,796.	106,656.	487,140.
n	1,303,659.	809,628.	494,031.
o	804,022.	149,318.	654,704.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			314,227.
b			1,641,462.
c			460,989.
d			239,191.
e			159,277.
f			403,201.
g			108,507.
h			69,442.
i			411,661.
j			221,103.
k			998,918.
l			245,078.
m			487,140.
n			494,031.
o			654,704.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	



**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr.)	(d) Date sold (mo, day, yr.)
1a	80000 SHS YAMANA GOLD INC	P	09/04/09	08/05/11
b	100000 SHS YAMANA GOLD INC	P	09/04/09	08/24/11
c	25000 SHS PAN AMERICAN SILVER CORP	P	09/02/09	09/14/11
d	25000 SHS SILVER WHEATON CORP	P	02/12/09	09/29/11
e	106025 SHS PAN AMERICAN SILVER CORP	P	09/02/09	10/06/11
f	20000 SHS AGNICO EAGLE MINES LTD	P	09/04/09	10/19/11
g	45000 SHS AGNICO EAGLE MINES LTD	P	08/13/09	11/28/11
h	220000 SHS ELDORADO GOLD CORP NEW	P	08/12/09	12/06/11
i	150766 SHS ELDORADO GOLD CORP NEW	P	02/04/09	12/19/11
j	300 SHS NETFLIX INC	P	10/29/08	01/04/11
k	400 SHS NETFLIX INC	P	10/29/08	01/04/11
l	1200 SHS NETFLIX INC	P	10/29/08	01/04/11
m	100 SHS NETFLIX INC	P	10/29/08	01/04/11
n	200 SHS NETFLIX INC	P	10/29/08	01/04/11
o	100 SHS NETFLIX INC	P	10/29/08	01/04/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,056,364.		846,364.	210,000.
b 1,494,871.		921,488.	573,383.
c 823,214.		495,326.	327,888.
d 745,724.		177,760.	567,964.
e 2,898,880.		2,026,592.	872,288.
f 921,316.		1,272,068.	-350,752.
g 1,828,400.		2,414,249.	-585,849.
h 3,728,136.		1,842,100.	1,886,036.
i 1,951,643.		1,226,163.	725,480.
j 54,482.		6,547.	47,935.
k 73,006.		8,729.	64,277.
l 216,742.		26,188.	190,554.
m 18,151.		2,182.	15,969.
n 36,425.		4,365.	32,060.
o 18,135.		2,182.	15,953.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			210,000.
b			573,383.
c			327,888.
d			567,964.
e			872,288.
f			-350,752.
g			-585,849.
h			1,886,036.
i			725,480.
j			47,935.
k			64,277.
l			190,554.
m			15,969.
n			32,060.
o			15,953.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8

3

EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
1a	100 SHS NETFLIX INC	P	10/29/08	01/04/11
b	2300 SHS NETFLIX INC	P	10/29/08	01/05/11
c	100 SHS NETFLIX INC	P	10/29/08	01/05/11
d	700 SHS NETFLIX INC	P	10/29/08	01/05/11
e	200 SHS NETFLIX INC	P	10/29/08	01/05/11
f	500 SHS NETFLIX INC	P	10/29/08	01/05/11
g	900 SHS NETFLIX INC	P	10/29/08	01/05/11
h	100 SHS NETFLIX INC	P	10/29/08	01/07/11
i	1200 SHS NETFLIX INC	P	10/29/08	01/10/11
j	400 SHS NETFLIX INC	P	10/29/08	01/10/11
k	900 SHS NETFLIX INC	P	10/29/08	01/10/11
l	100 SHS MYRIAD GENETICS INC	P	05/03/10	01/13/11
m	100 SHS MYRIAD GENETICS INC	P	05/03/10	01/13/11
n	100 SHS MYRIAD GENETICS INC	P	05/03/10	01/13/11
o	100 SHS MYRIAD GENETICS INC	P	05/03/10	01/13/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 18,136.		2,182.	15,954.
b 413,775.		50,194.	363,581.
c 18,185.		2,182.	16,003.
d 127,269.		15,277.	111,992.
e 36,367.		4,365.	32,002.
f 90,916.		10,912.	80,004.
g 163,712.		19,641.	144,071.
h 18,034.		2,182.	15,852.
i 216,256.		26,188.	190,068.
j 73,224.		8,729.	64,495.
k 164,808.		19,641.	145,167.
l 2,189.		2,438.	-249.
m 2,184.		2,438.	-254.
n 2,169.		2,438.	-269.
o 2,177.		2,438.	-261.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col. (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			15,954.
b			363,581.
c			16,003.
d			111,992.
e			32,002.
f			80,004.
g			144,071.
h			15,852.
i			190,068.
j			64,495.
k			145,167.
l			-249.
m			-254.
n			-269.
o			-261.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
1a	100 SHS MYRIAD GENETICS INC	P	05/03/10	01/13/11
b	200 SHS MYRIAD GENETICS INC	P	05/03/10	01/13/11
c	2300 SHS MYRIAD GENETICS INC	P	05/03/10	01/13/11
d	600 SHS SHANDA INTERACTIVE ENTMT LTD	P	04/16/09	01/13/11
e	100 SHS SHANDA INTERACTIVE ENTMT LTD	P	04/16/09	01/13/11
f	700 SHS SHANDA INTERACTIVE ENTMT LTD	P	04/16/09	01/13/11
g	2700 SHS MYRIAD GENETICS INC	P	04/30/10	01/14/11
h	500 SHS SHANDA INTERACTIVE ENTMT LTD	P	04/16/09	01/14/11
i	100 SHS SHANDA INTERACTIVE ENTMT LTD	P	04/16/09	01/14/11
j	1000 SHS SHANDA INTERACTIVE ENTMT LTD	P	04/16/09	01/14/11
k	400 SHS SHANDA INTERACTIVE ENTMT LTD	P	04/16/09	01/14/11
l	5000 SHS MYRIAD GENETICS INC	P	05/04/10	01/18/11
m	3400 SHS SHANDA INTERACTIVE ENTMT LTD	P	04/16/09	01/18/11
n	800 SHS ELDORADO GOLD CORP NEW	P	06/30/08	01/19/11
o	25600 SHS ELDORADO GOLD CORP NEW	P	06/30/08	01/19/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,187.		2,438.	-251.
b 4,369.		4,876.	-507.
c 50,358.		55,909.	-5,551.
d 24,876.		30,937.	-6,061.
e 4,115.		5,156.	-1,041.
f 29,020.		36,093.	-7,073.
g 58,013.		65,188.	-7,175.
h 20,527.		25,781.	-5,254.
i 4,107.		5,156.	-1,049.
j 41,040.		51,561.	-10,521.
k 16,417.		20,624.	-4,207.
l 104,333.		120,565.	-16,232.
m 136,636.		175,307.	-38,671.
n 13,628.		6,990.	6,638.
o 435,745.		202,463.	233,282.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			-251.
b			-507.
c			-5,551.
d			-6,061.
e			-1,041.
f			-7,073.
g			-7,175.
h			-5,254.
i			-1,049.
j			-10,521.
k			-4,207.
l			-16,232.
m			-38,671.
n			6,638.
o			233,282.

2 Capital gain net income or (net capital loss) ... { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	600 SHS SHANDA INTERACTIVE ENTMT LTD	P	04/16/09	01/19/11
b	1000 SHS SHANDA INTERACTIVE ENTMT LTD	P	04/16/09	01/19/11
c	4800 SHS ELDORADO GOLD CORP NEW	P	03/11/08	01/20/11
d	13600 SHS ELDORADO GOLD CORP NEW	P	03/11/08	01/20/11
e	2200 SHS SHANDA INTERACTIVE ENTMT LTD	P	04/16/09	01/20/11
f	4000 SHS ELDORADO GOLD CORP NEW	P	03/11/08	01/21/11
g	4800 SHS ELDORADO GOLD CORP NEW	P	03/11/08	01/21/11
h	1021 SHS BAKER HUGHES INC.	P	04/30/10	01/21/11
i	1479 SHS BAKER HUGHES INC.	P	04/30/10	01/21/11
j	1200 SHS BAKER HUGHES INC.	P	04/30/10	01/21/11
k	600 SHS BAKER HUGHES INC.	P	04/30/10	01/21/11
l	400 SHS BAKER HUGHES INC.	P	04/30/10	01/21/11
m	100 SHS BAKER HUGHES INC.	P	04/30/10	01/21/11
n	4200 SHS BRIDGEPOINT EDUCATION INC	P	07/10/09	01/21/11
o	6200 SHS OLD REP INTL CORP	P	04/02/09	01/21/11

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	23,913.		30,937.	-7,024.
b	39,800.		51,561.	-11,761.
c	80,762.		34,216.	46,546.
d	227,393.		96,944.	130,449.
e	88,068.		113,434.	-25,366.
f	65,398.		28,513.	36,885.
g	78,583.		34,216.	44,367.
h	60,452.		26,824.	33,628.
i	87,584.		38,856.	48,728.
j	71,053.		31,526.	39,527.
k	35,526.		15,763.	19,763.
l	23,688.		10,509.	13,179.
m	5,923.		2,627.	3,296.
n	72,294.		64,417.	7,877.
o	79,819.		70,402.	9,417.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			-7,024.
b			-11,761.
c			46,546.
d			130,449.
e			-25,366.
f			36,885.
g			44,367.
h			33,628.
i			48,728.
j			39,527.
k			19,763.
l			13,179.
m			3,296.
n			7,877.
o			9,417.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8

3

EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 3400 SHS PROGRESSIVE CORP OHIO		P	02/05/09	01/21/11
b 100 SHS PROGRESSIVE CORP OHIO		P	02/05/09	01/21/11
c 100 SHS PROGRESSIVE CORP OHIO		P	02/05/09	01/21/11
d 1400 SHS PROGRESSIVE CORP OHIO		P	02/05/09	01/21/11
e 600 SHS SHANDA INTERACTIVE ENTMT LTD		P	04/16/09	01/21/11
f 100 SHS SHANDA INTERACTIVE ENTMT LTD		P	04/16/09	01/21/11
g 11000 SHS ELDORADO GOLD CORP NEW		P	03/11/08	01/24/11
h 2700 SHS OLD REP INTL CORP		P	04/02/09	01/24/11
i 1700 SHS SHANDA INTERACTIVE ENTMT LTD		P	04/16/09	01/24/11
j 700 SHS ELDORADO GOLD CORP NEW		P	03/11/08	01/25/11
k 2700 SHS ELDORADO GOLD CORP NEW		P	03/11/08	01/25/11
l 3700 SHS OLD REP INTL CORP		P	04/02/09	01/25/11
m 100 SHS OLD REP INTL CORP		P	04/02/09	01/25/11
n 1100 SHS SHANDA INTERACTIVE ENTMT LTD		P	04/16/09	01/25/11
o 16400 SHS ELDORADO GOLD CORP NEW		P	03/11/08	01/26/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 67,023.		43,266.	23,757.
b 1,977.		1,273.	704.
c 1,978.		1,273.	705.
d 27,459.		17,770.	9,689.
e 24,080.		30,937.	-6,857.
f 4,008.		5,156.	-1,148.
g 176,281.		78,411.	97,870.
h 34,718.		30,659.	4,059.
i 67,966.		87,654.	-19,688.
j 11,122.		4,990.	6,132.
k 42,171.		19,246.	22,925.
l 47,501.		42,014.	5,487.
m 1,285.		1,136.	149.
n 43,913.		56,717.	-12,804.
o 256,140.		116,904.	139,236.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			23,757.
b			704.
c			705.
d			9,689.
e			-6,857.
f			-1,148.
g			97,870.
h			4,059.
i			-19,688.
j			6,132.
k			22,925.
l			5,487.
m			149.
n			-12,804.
o			139,236.

2 Capital gain net income or (net capital loss) ... { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

2

3

## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	4800 SHS ELDORADO GOLD CORP NEW	P	03/11/08	01/26/11
b	15600 SHS ELDORADO GOLD CORP NEW	P	03/11/08	01/26/11
c	100 SHS OLD REP INTL CORP	P	04/02/09	01/26/11
d	100 SHS OLD REP INTL CORP	P	04/02/09	01/26/11
e	300 SHS OLD REP INTL CORP	P	04/02/09	01/26/11
f	100 SHS OLD REP INTL CORP	P	04/02/09	01/26/11
g	100 SHS OLD REP INTL CORP	P	04/02/09	01/26/11
h	100 SHS OLD REP INTL CORP	P	04/02/09	01/26/11
i	3400 SHS OLD REP INTL CORP	P	04/06/09	01/26/11
j	1100 SHS SHANDA INTERACTIVE ENTMT LTD	P	04/16/09	01/26/11
k	100 SHS NETFLIX INC	P	10/29/08	01/27/11
l	700 SHS NETFLIX INC	P	10/29/08	01/27/11
m	10600 SHS OLD REP INTL CORP	P	04/06/09	01/27/11
n	100 SHS OLD REP INTL CORP	P	04/03/09	01/27/11
o	100 SHS OLD REP INTL CORP	P	04/03/09	01/27/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 74,824.		34,216.	40,608.
b 246,864.		111,071.	135,793.
c 1,285.		1,136.	149.
d 1,289.		1,136.	153.
e 3,853.		3,407.	446.
f 1,286.		1,136.	150.
g 1,287.		1,136.	151.
h 1,285.		1,136.	149.
i 43,529.		37,733.	5,796.
j 43,852.		56,717.	-12,865.
k 21,026.		2,182.	18,844.
l 146,879.		15,277.	131,602.
m 135,066.		117,456.	17,610.
n 1,284.		1,106.	178.
o 1,283.		1,106.	177.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col. (k), but not less than "-0-")
a			40,608.
b			135,793.
c			149.
d			153.
e			446.
f			150.
g			151.
h			149.
i			5,796.
j			-12,865.
k			18,844.
l			131,602.
m			17,610.
n			178.
o			177.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	900 SHS SHANDA INTERACTIVE ENTMT LTD	P	04/16/09	01/27/11
b	3700 SHS OLD REP INTL CORP	P	04/03/09	01/28/11
c	700 SHS OLD REP INTL CORP	P	04/03/09	01/28/11
d	400 SHS OLD REP INTL CORP	P	04/03/09	01/28/11
e	100 SHS OLD REP INTL CORP	P	04/03/09	01/28/11
f	1300 SHS SHANDA INTERACTIVE ENTMT LTD	P	04/16/09	01/28/11
g	1600 SHS OLD REP INTL CORP	P	04/03/09	01/31/11
h	2100 SHS OLD REP INTL CORP	P	04/03/09	01/31/11
i	100 SHS OLD REP INTL CORP	P	04/01/09	01/31/11
j	100 SHS OLD REP INTL CORP	P	04/01/09	01/31/11
k	3900 SHS SHANDA INTERACTIVE ENTMT LTD	P	04/16/09	01/31/11
l	6700 SHS OLD REP INTL CORP	P	04/07/09	02/01/11
m	400 SHS OLD REP INTL CORP	P	03/25/09	02/01/11
n	100 SHS OLD REP INTL CORP	P	03/25/09	02/01/11
o	100 SHS OLD REP INTL CORP	P	03/25/09	02/01/11

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	35,941.		46,405.	-10,464.
b	46,289.		40,913.	5,376.
c	8,781.		7,736.	1,045.
d	5,020.		4,420.	600.
e	1,255.		1,105.	150.
f	51,892.		67,029.	-15,137.
g	19,843.		17,682.	2,161.
h	25,730.		23,199.	2,531.
i	1,234.		1,105.	129.
j	1,233.		1,105.	128.
k	155,793.		201,088.	-45,295.
l	82,457.		72,908.	9,549.
m	4,906.		4,319.	587.
n	1,226.		1,080.	146.
o	1,225.		1,080.	145.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			-10,464.
b			5,376.
c			1,045.
d			600.
e			150.
f			-15,137.
g			2,161.
h			2,531.
i			129.
j			128.
k			-45,295.
l			9,549.
m			587.
n			146.
o			145.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3

EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
1a	100 SHS OLD REP INTL CORP	P	03/25/09	02/01/11
b	300 SHS OLD REP INTL CORP	P	03/25/09	02/01/11
c	100 SHS OLD REP INTL CORP	P	03/25/09	02/01/11
d	400 SHS SHANDA INTERACTIVE ENTMT LTD	P	04/16/09	02/01/11
e	16798 SHS ACCRETIVE HEALTH INC	P	01/28/11	02/02/11
f	1000 SHS OLD REP INTL CORP	P	03/31/09	02/02/11
g	100 SHS OLD REP INTL CORP	P	03/31/09	02/02/11
h	5900 SHS SHANDA INTERACTIVE ENTMT LTD	P	04/16/09	02/02/11
i	12100 SHS MCDONALDS CORP	P	07/30/08	02/03/11
j	100 SHS NETFLIX INC	P	10/29/08	02/03/11
k	100 SHS NETFLIX INC	P	10/29/08	02/03/11
l	200 SHS NETFLIX INC	P	10/29/08	02/03/11
m	100 SHS OLD REP INTL CORP	P	03/31/09	02/03/11
n	100 SHS OLD REP INTL CORP	P	03/31/09	02/03/11
o	900 SHS SHANDA INTERACTIVE ENTMT LTD	P	04/16/09	02/03/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,231.	1,080.	151.
b	3,684.	3,239.	445.
c	1,233.	1,080.	153.
d	15,953.	20,624.	-4,671.
e	313,361.	277,042.	36,319.
f	12,297.	10,790.	1,507.
g	1,231.	1,079.	152.
h	235,541.	304,210.	-68,669.
i	895,806.	725,044.	170,762.
j	21,176.	2,182.	18,994.
k	21,130.	2,182.	18,948.
l	42,248.	4,365.	37,883.
m	1,218.	1,079.	139.
n	1,218.	1,079.	139.
o	35,908.	45,318.	-9,410.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			151.
b			445.
c			153.
d			-4,671.
e			36,319.
f			1,507.
g			152.
h			-68,669.
i			170,762.
j			18,994.
k			18,948.
l			37,883.
m			139.
n			139.
o			-9,410.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3



## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 15200 SHS MCDONALDS CORP		P	12/01/08	02/03/11
b 2600 SHS MCDONALDS CORP		P	01/31/08	02/03/11
c 1800 SHS NETFLIX INC		P	10/29/08	02/03/11
d 600 SHS NETFLIX INC		P	10/29/08	02/03/11
e 1700 SHS NETFLIX INC		P	10/29/08	02/03/11
f 300 SHS NETFLIX INC		P	10/29/08	02/03/11
g 500 SHS OLD REP INTL CORP		P	03/31/09	02/03/11
h 21090 SHS MARVELL TECHNOLOGY GROUP LTD		P	01/18/11	02/04/11
i 400 SHS AFLAC INC		P	01/30/09	02/04/11
j 100 SHS AFLAC INC		P	01/30/09	02/04/11
k 100 SHS AFLAC INC		P	01/30/09	02/04/11
l 20300 SHS CAMPBELL SOUP CO		P	04/23/09	02/04/11
m 100 SHS DOLLAR GEN CORP NEW		P	01/18/11	02/04/11
n 100 SHS DOLLAR GEN CORP NEW		P	01/18/11	02/04/11
o 200 SHS DOLLAR GEN CORP NEW		P	01/18/11	02/04/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,124,400.		860,568.	263,832.
b 192,537.		137,063.	55,474.
c 381,433.		39,282.	342,151.
d 127,076.		13,094.	113,982.
e 359,750.		37,100.	322,650.
f 63,463.		6,547.	56,916.
g 6,072.		5,393.	679.
h 417,840.		460,773.	-42,933.
i 22,922.		9,264.	13,658.
j 5,732.		2,316.	3,416.
k 5,731.		2,316.	3,415.
l 698,658.		525,409.	173,249.
m 2,747.		2,958.	-211.
n 2,747.		2,958.	-211.
o 5,493.		5,916.	-423.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col. (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			263,832.
b			55,474.
c			342,151.
d			113,982.
e			322,650.
f			56,916.
g			679.
h			-42,933.
i			13,658.
j			3,416.
k			3,415.
l			173,249.
m			-211.
n			-211.
o			-423.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	4200 SHS DOLLAR GEN CORP NEW	P	01/18/11	02/04/11
b	3300 SHS FLUOR CORP NEW	P	11/18/09	02/04/11
c	200 SHS FLUOR CORP NEW	P	11/18/09	02/04/11
d	100 SHS FLUOR CORP NEW	P	11/18/09	02/04/11
e	100 SHS FLUOR CORP NEW	P	11/18/09	02/04/11
f	33400 SHS MEDTRONIC, INC	P	04/28/08	02/04/11
g	6300 SHS MYRIAD GENETICS INC	P	05/04/10	02/04/11
h	32428 SHS NORTHERN TR CORP	P	06/29/10	02/04/11
i	5700 SHS OLD REP INTL CORP	P	03/31/09	02/04/11
j	100 SHS OLD REP INTL CORP	P	03/19/09	02/04/11
k	100 SHS OLD REP INTL CORP	P	03/18/09	02/04/11
l	100 SHS OLD REP INTL CORP	P	03/18/09	02/04/11
m	100 SHS OLD REP INTL CORP	P	03/18/09	02/04/11
n	100 SHS OLD REP INTL CORP	P	03/18/09	02/04/11
o	2700 SHS SHANDA INTERACTIVE ENTMT LTD	P	04/15/09	02/04/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 115,263.		124,236.	-8,973.
b 226,499.		150,602.	75,897.
c 13,745.		9,071.	4,674.
d 6,873.		4,535.	2,338.
e 6,875.		4,535.	2,340.
f 1,309,676.		1,745,048.	-435,372.
g 124,605.		150,888.	-26,283.
h 1,683,766.		1,552,427.	131,339.
i 69,574.		60,734.	8,840.
j 1,223.		1,057.	166.
k 1,223.		1,013.	210.
l 1,223.		1,013.	210.
m 1,223.		1,013.	210.
n 1,223.		1,013.	210.
o 107,811.		131,880.	-24,069.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			-8,973.
b			75,897.
c			4,674.
d			2,338.
e			2,340.
f			-435,372.
g			-26,283.
h			131,339.
i			8,840.
j			166.
k			210.
l			210.
m			210.
n			210.
o			-24,069.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8 }

3

EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 14500 SHS KONTRON AG		P	09/20/07	02/07/11
b 9800 SHS KONTRON AG		P	09/19/07	02/07/11
c 500 SHS KONTRON AG		P	09/19/07	02/07/11
d 1342 SHS KONTRON AG		P	09/19/07	02/07/11
e 31000 SHS WANT WANT CHINA HOLDINGS LIMITED		P	03/13/09	02/07/11
f 28600 SHS ELDORADO GOLD CORP NEW		P	03/11/08	02/07/11
g 2400 SHS ELDORADO GOLD CORP NEW		P	03/11/08	02/07/11
h 4000 SHS FLUOR CORP NEW		P	11/18/09	02/07/11
i 6300 SHS MYRIAD GENETICS INC		P	05/04/10	02/07/11
j 800 SHS NETFLIX INC		P	10/29/08	02/07/11
k 200 SHS NETFLIX INC		P	10/29/08	02/07/11
l 100 SHS NETFLIX INC		P	10/29/08	02/07/11
m 100 SHS NETFLIX INC		P	10/29/08	02/07/11
n 100 SHS NETFLIX INC		P	10/29/08	02/07/11
o 100 SHS NETFLIX INC		P	10/29/08	02/07/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 171,738.		315,869.	-144,131.
b 116,403.		212,765.	-96,362.
c 5,946.		10,855.	-4,909.
d 15,960.		29,136.	-13,176.
e 25,434.		13,773.	11,661.
f 474,390.		202,504.	271,886.
g 39,691.		16,963.	22,728.
h 282,382.		181,052.	101,330.
i 123,575.		150,116.	-26,541.
j 174,646.		17,459.	157,187.
k 43,650.		4,365.	39,285.
l 21,832.		2,182.	19,650.
m 21,833.		2,182.	19,651.
n 21,838.		2,182.	19,656.
o 21,834.		2,182.	19,652.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			-144,131.
b			-96,362.
c			-4,909.
d			-13,176.
e			11,661.
f			271,886.
g			22,728.
h			101,330.
i			-26,541.
j			157,187.
k			39,285.
l			19,650.
m			19,651.
n			19,656.
o			19,652.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	2700 SHS SHANDA INTERACTIVE ENTMT LTD	P	04/15/09	02/07/11
b	4100 SHS MYRIAD GENETICS INC	P	04/29/10	02/08/11
c	400 SHS NETFLIX INC	P	10/29/08	02/08/11
d	1000 SHS NETFLIX INC	P	10/29/08	02/08/11
e	400 SHS NETFLIX INC	P	10/29/08	02/08/11
f	2600 SHS NETFLIX INC	P	10/29/08	02/08/11
g	500 SHS NETFLIX INC	P	10/29/08	02/08/11
h	100 SHS NETFLIX INC	P	10/29/08	02/08/11
i	100 SHS NETFLIX INC	P	10/29/08	02/08/11
j	2600 SHS SHANDA INTERACTIVE ENTMT LTD	P	04/15/09	02/08/11
k	6538 SHS KONTRON AG	P	09/19/07	02/09/11
l	9300 SHS ALLSCRIPTS HEALTHCARE	P	04/29/09	02/09/11
m	6500 SHS MYRIAD GENETICS INC	P	05/05/10	02/09/11
n	2300 SHS NETFLIX INC	P	10/29/08	02/09/11
o	8500 SHS KONTRON AG	P	09/19/07	02/10/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 107,967.		131,880.	-23,913.
b 80,085.		96,975.	-16,890.
c 87,003.		8,729.	78,274.
d 217,415.		21,824.	195,591.
e 86,996.		8,729.	78,267.
f 565,297.		56,741.	508,556.
g 108,743.		10,912.	97,831.
h 21,737.		2,182.	19,555.
i 21,749.		2,182.	19,567.
j 105,012.		125,853.	-20,841.
k 77,992.		141,945.	-63,953.
l 205,119.		120,558.	84,561.
m 123,544.		143,482.	-19,938.
n 510,129.		50,194.	459,935.
o 99,027.		178,825.	-79,798.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-23,913.
b			-16,890.
c			78,274.
d			195,591.
e			78,267.
f			508,556.
g			97,831.
h			19,555.
i			19,567.
j			-20,841.
k			-63,953.
l			84,561.
m			-19,938.
n			459,935.
o			-79,798.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 1800 SHS CERNER CORP		P	03/26/09	02/10/11
b 4800 SHS KONTRON AG		P	09/18/07	02/11/11
c 2700 SHS KONTRON AG		P	04/30/08	02/11/11
d 4458 SHS KONTRON AG		P	04/30/08	02/11/11
e 1800 SHS CERNER CORP		P	03/25/09	02/11/11
f 5300 SHS PATTERSON UTI ENERGY INC		P	03/30/10	02/14/11
g 100 SHS PATTERSON UTI ENERGY INC		P	03/30/10	02/14/11
h 100 SHS PATTERSON UTI ENERGY INC		P	03/30/10	02/14/11
i 100 SHS PATTERSON UTI ENERGY INC		P	03/30/10	02/14/11
j 4200 SHS KONTRON AG		P	01/05/10	02/15/11
k 25962 SHS KONTRON AG		P	01/05/10	02/15/11
l 1000 SHS LITTLE SHEEP GROUP LIMITED		P	08/13/09	02/15/11
m 2100 SHS NETFLIX INC		P	10/29/08	02/15/11
n 2200 SHS PATTERSON UTI ENERGY INC		P	03/30/10	02/15/11
o 100 SHS PATTERSON UTI ENERGY INC		P	03/30/10	02/15/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 176,358.		83,417.	92,941.
b 55,588.		99,545.	-43,957.
c 31,013.		55,219.	-24,206.
d 51,046.		73,253.	-22,207.
e 176,602.		82,787.	93,815.
f 137,224.		73,753.	63,471.
g 2,599.		1,392.	1,207.
h 2,598.		1,392.	1,206.
i 2,599.		1,392.	1,207.
j 48,096.		58,711.	-10,615.
k 297,153.		262,145.	35,008.
l 571.		484.	87.
m 505,340.		45,830.	459,510.
n 56,309.		30,614.	25,695.
o 2,537.		1,392.	1,145.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col. (h) gain over col (k), but not less than "-0-")
a			92,941.
b			-43,957.
c			-24,206.
d			-22,207.
e			93,815.
f			63,471.
g			1,207.
h			1,206.
i			1,207.
j			-10,615.
k			35,008.
l			87.
m			459,510.
n			25,695.
o			1,145.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3

EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	100 SHS PATTERSON UTI ENERGY INC	P	03/30/10	02/15/11
b	2300 SHS PATTERSON UTI ENERGY INC	P	03/30/10	02/15/11
c	37 SHS GEMALTO NV	P	01/04/10	02/16/11
d	36 SHS GEMALTO NV	P	01/04/10	02/16/11
e	2 SHS GEMALTO NV	P	01/04/10	02/16/11
f	214 SHS GEMALTO NV	P	01/04/10	02/16/11
g	8772 SHS GEMALTO NV	P	01/04/10	02/16/11
h	10851 SHS GEMALTO NV	P	12/31/09	02/16/11
i	4300 SHS PATTERSON UTI ENERGY INC	P	03/30/10	02/16/11
j	300 SHS PATTERSON UTI ENERGY INC	P	03/30/10	02/16/11
k	700 SHS PATTERSON UTI ENERGY INC	P	03/30/10	02/16/11
l	500 SHS PATTERSON UTI ENERGY INC	P	03/30/10	02/16/11
m	1100 SHS PATTERSON UTI ENERGY INC	P	03/30/10	02/16/11
n	1000 SHS PATTERSON UTI ENERGY INC	P	03/30/10	02/16/11
o	400 SHS PATTERSON UTI ENERGY INC	P	03/30/10	02/16/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,574.	1,392.	1,182.
b	59,178.	32,006.	27,172.
c	1,841.	1,672.	169.
d	1,794.	1,627.	167.
e	99.	90.	9.
f	10,615.	9,672.	943.
g	433,038.	389,327.	43,711.
h	537,540.	470,047.	67,493.
i	110,351.	59,837.	50,514.
j	7,734.	4,175.	3,559.
k	17,986.	9,741.	8,245.
l	12,835.	6,958.	5,877.
m	28,217.	15,307.	12,910.
n	25,750.	13,916.	11,834.
o	10,301.	5,566.	4,735.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col. (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			1,182.
b			27,172.
c			169.
d			167.
e			9.
f			943.
g			43,711.
h			67,493.
i			50,514.
j			3,559.
k			8,245.
l			5,877.
m			12,910.
n			11,834.
o			4,735.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	100 SHS PATTERSON UTI ENERGY INC	P	03/30/10	02/16/11
b	100 SHS PATTERSON UTI ENERGY INC	P	03/30/10	02/16/11
c	300 SHS PATTERSON UTI ENERGY INC	P	03/30/10	02/16/11
d	6605 SHS GEMALTO NV	P	12/30/09	02/16/11
e	2000 SHS VESTAS WIND SYS AS	P	12/15/09	02/16/11
f	100 SHS VESTAS WIND SYS AS	P	12/15/09	02/16/11
g	200 SHS VESTAS WIND SYS AS	P	12/15/09	02/16/11
h	300 SHS VESTAS WIND SYS AS	P	12/15/09	02/16/11
i	6400 SHS VESTAS WIND SYS AS	P	12/15/09	02/16/11
j	2500 SHS VESTAS WIND SYS AS	P	12/15/09	02/16/11
k	12600 SHS VESTAS WIND SYS AS	P	12/16/09	02/17/11
l	400 SHS PATTERSON UTI ENERGY INC	P	03/30/10	02/17/11
m	1000 SHS PATTERSON UTI ENERGY INC	P	03/30/10	02/17/11
n	700 SHS PATTERSON UTI ENERGY INC	P	03/30/10	02/17/11
o	100 SHS PATTERSON UTI ENERGY INC	P	03/30/10	02/17/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,575.		1,392.	1,183.
b 2,570.		1,392.	1,178.
c 7,749.		4,175.	3,574.
d 326,425.		283,556.	42,869.
e 63,133.		125,847.	-62,714.
f 3,152.		6,292.	-3,140.
g 6,293.		12,585.	-6,292.
h 9,451.		18,877.	-9,426.
i 201,209.		402,711.	-201,502.
j 78,882.		157,309.	-78,427.
k 388,793.		801,729.	-412,936.
l 10,416.		5,566.	4,850.
m 25,938.		13,916.	12,022.
n 18,215.		9,741.	8,474.
o 2,603.		1,392.	1,211.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,183.
b			1,178.
c			3,574.
d			42,869.
e			-62,714.
f			-3,140.
g			-6,292.
h			-9,426.
i			-201,502.
j			-78,427.
k			-412,936.
l			4,850.
m			12,022.
n			8,474.
o			1,211.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3

## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	1400 SHS PATTERSON UTI ENERGY INC	P	03/30/10	02/17/11
b	500 SHS PATTERSON UTI ENERGY INC	P	03/30/10	02/17/11
c	300 SHS PATTERSON UTI ENERGY INC	P	03/30/10	02/17/11
d	1000 SHS LITTLE SHEEP GROUP LIMITED	P	08/13/09	02/18/11
e	5000 SHS LITTLE SHEEP GROUP LIMITED	P	08/13/09	02/18/11
f	600 SHS PATTERSON UTI ENERGY INC	P	03/30/10	02/18/11
g	3600 SHS PATTERSON UTI ENERGY INC	P	03/30/10	02/18/11
h	136000 SHS VERIZON COMMUNICATIONS	P	03/31/09	02/18/11
i	2000 SHS LITTLE SHEEP GROUP LIMITED	P	09/16/09	02/22/11
j	6000 SHS LITTLE SHEEP GROUP LIMITED	P	10/06/09	02/22/11
k	9000 SHS LITTLE SHEEP GROUP LIMITED	P	10/06/09	02/23/11
l	2000 SHS LITTLE SHEEP GROUP LIMITED	P	09/23/09	02/24/11
m	9000 SHS LITTLE SHEEP GROUP LIMITED	P	09/23/09	02/25/11
n	5000 SHS LITTLE SHEEP GROUP LIMITED	P	09/23/09	02/28/11
o	14000 SHS LITTLE SHEEP GROUP LIMITED	P	09/23/09	03/01/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 36,388.		19,482.	16,906.
b 12,937.		6,958.	5,979.
c 7,820.		4,175.	3,645.
d 582.		484.	98.
e 2,850.		2,420.	430.
f 15,604.		8,349.	7,255.
g 93,971.		50,096.	43,875.
h 4,975,736.		4,117,300.	858,436.
i 1,158.		957.	201.
j 3,470.		2,871.	599.
k 5,220.		4,305.	915.
l 1,177.		957.	220.
m 5,370.		4,304.	1,066.
n 3,025.		2,391.	634.
o 8,780.		6,696.	2,084.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			16,906.
b			5,979.
c			3,645.
d			98.
e			430.
f			7,255.
g			43,875.
h			858,436.
i			201.
j			599.
k			915.
l			220.
m			1,066.
n			634.
o			2,084.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8

3



EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	4000 SHS LITTLE SHEEP GROUP LIMITED	P	09/23/09	03/01/11
b	17000 SHS LITTLE SHEEP GROUP LIMITED	P	09/23/09	03/02/11
c	5000 SHS LITTLE SHEEP GROUP LIMITED	P	09/23/09	03/03/11
d	18000 SHS LITTLE SHEEP GROUP LIMITED	P	09/23/09	03/04/11
e	1000 SHS LITTLE SHEEP GROUP LIMITED	P	09/23/09	03/07/11
f	5000 SHS LITTLE SHEEP GROUP LIMITED	P	09/23/09	03/08/11
g	37000 SHS LITTLE SHEEP GROUP LIMITED	P	10/05/09	03/09/11
h	17000 SHS LITTLE SHEEP GROUP LIMITED	P	10/05/09	03/10/11
i	1200 SHS NETFLIX INC	P	10/29/08	03/10/11
j	16000 SHS LITTLE SHEEP GROUP LIMITED	P	10/05/09	03/11/11
k	7000 SHS LITTLE SHEEP GROUP LIMITED	P	09/22/09	03/14/11
l	12300 SHS ADOBE SYS INC	P	04/28/08	03/14/11
m	400 SHS ADOBE SYS INC	P	04/28/08	03/14/11
n	9400 SHS ADOBE SYS INC	P	04/28/08	03/14/11
o	4808 SHS BAKER HUGHES INC.	P	04/30/10	03/14/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,591.		1,913.	678.
b 10,821.		8,131.	2,690.
c 3,181.		2,391.	790.
d 11,605.		8,609.	2,996.
e 631.		478.	153.
f 3,189.		2,391.	798.
g 23,736.		17,696.	6,040.
h 10,779.		8,131.	2,648.
i 240,249.		26,188.	214,061.
j 10,056.		7,651.	2,405.
k 4,396.		3,347.	1,049.
l 413,584.		459,015.	-45,431.
m 13,461.		14,927.	-1,466.
n 315,752.		350,792.	-35,040.
o 329,770.		126,315.	203,455.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			678.
b			2,690.
c			790.
d			2,996.
e			153.
f			798.
g			6,040.
h			2,648.
i			214,061.
j			2,405.
k			1,049.
l			-45,431.
m			-1,466.
n			-35,040.
o			203,455.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3

## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	4600 SHS JUNIPER NETWORKS INC	P	03/27/09	03/14/11
b	500 SHS JUNIPER NETWORKS INC	P	03/27/09	03/14/11
c	3400 SHS JUNIPER NETWORKS INC	P	03/27/09	03/14/11
d	1100 SHS JUNIPER NETWORKS INC	P	03/27/09	03/14/11
e	100 SHS JUNIPER NETWORKS INC	P	03/27/09	03/14/11
f	600 SHS JUNIPER NETWORKS INC	P	03/27/09	03/14/11
g	500 SHS JUNIPER NETWORKS INC	P	03/27/09	03/14/11
h	16000 SHS UNITED TECHNOLOGIES CORP	P	12/22/06	03/14/11
i	13000 SHS LITTLE SHEEP GROUP LIMITED	P	10/02/09	03/15/11
j	17400 SHS JUNIPER NETWORKS INC	P	03/27/09	03/15/11
k	4000 SHS LITTLE SHEEP GROUP LIMITED	P	09/24/09	03/16/11
l	7000 SHS LITTLE SHEEP GROUP LIMITED	P	09/24/09	03/17/11
m	23000 SHS LITTLE SHEEP GROUP LIMITED	P	09/24/09	03/18/11
n	100 SHS NETFLIX INC	P	10/29/08	03/18/11
o	100 SHS NETFLIX INC	P	10/29/08	03/18/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 198,650.		73,806.	124,844.
b 21,536.		8,022.	13,514.
c 146,419.		54,552.	91,867.
d 47,314.		17,649.	29,665.
e 4,311.		1,604.	2,707.
f 25,816.		9,627.	16,189.
g 21,495.		8,022.	13,473.
h 1,289,300.		1,016,978.	272,322.
i 8,176.		6,207.	1,969.
j 734,643.		274,239.	460,404.
k 2,478.		1,901.	577.
l 4,111.		3,324.	787.
m 13,728.		10,921.	2,807.
n 21,353.		2,182.	19,171.
o 20,920.		2,182.	18,738.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col. (k), but not less than "-0-")
a			124,844.
b			13,514.
c			91,867.
d			29,665.
e			2,707.
f			16,189.
g			13,473.
h			272,322.
i			1,969.
j			460,404.
k			577.
l			787.
m			2,807.
n			19,171.
o			18,738.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8 }

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	200 SHS NETFLIX INC	P	10/29/08	03/18/11
b	100 SHS NETFLIX INC	P	10/29/08	03/18/11
c	400 SHS NETFLIX INC	P	10/29/08	03/18/11
d	900 SHS NETFLIX INC	P	10/29/08	03/18/11
e	200 SHS NETFLIX INC	P	10/29/08	03/18/11
f	1700 SHS NETFLIX INC	P	10/29/08	03/18/11
g	400 SHS NETFLIX INC	P	10/29/08	03/18/11
h	5000 SHS LITTLE SHEEP GROUP LIMITED	P	09/24/09	03/21/11
i	100 SHS NETFLIX INC	P	10/29/08	03/21/11
j	100 SHS NETFLIX INC	P	10/29/08	03/21/11
k	100 SHS NETFLIX INC	P	10/29/08	03/21/11
l	100 SHS NETFLIX INC	P	10/29/08	03/21/11
m	100 SHS NETFLIX INC	P	10/29/08	03/21/11
n	1000 SHS NETFLIX INC	P	10/29/08	03/21/11
o	700 SHS NETFLIX INC	P	10/29/08	03/21/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	41,876.	4,365.	37,511.
b	20,846.	2,182.	18,664.
c	83,618.	8,729.	74,889.
d	187,970.	19,641.	168,329.
e	41,785.	4,365.	37,420.
f	356,187.	37,100.	319,087.
g	83,888.	8,729.	75,159.
h	3,128.	2,374.	754.
i	21,188.	2,182.	19,006.
j	21,226.	2,182.	19,044.
k	20,983.	2,182.	18,801.
l	20,984.	2,182.	18,802.
m	20,976.	2,182.	18,794.
n	212,932.	21,824.	191,108.
o	150,006.	15,277.	134,729.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			37,511.
b			18,664.
c			74,889.
d			168,329.
e			37,420.
f			319,087.
g			75,159.
h			754.
i			19,006.
j			19,044.
k			18,801.
l			18,802.
m			18,794.
n			191,108.
o			134,729.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
1a 200 SHS NETFLIX INC		P	10/29/08	03/21/11
b 100 SHS NETFLIX INC		P	10/29/08	03/21/11
c 100 SHS NETFLIX INC		P	10/29/08	03/21/11
d 200 SHS NETFLIX INC		P	10/29/08	03/21/11
e 100 SHS NETFLIX INC		P	10/29/08	03/21/11
f 100 SHS NETFLIX INC		P	10/29/08	03/21/11
g 200 SHS NETFLIX INC		P	10/29/08	03/21/11
h 200 SHS NETFLIX INC		P	10/29/08	03/21/11
i 100 SHS NETFLIX INC		P	10/29/08	03/21/11
j 100 SHS NETFLIX INC		P	10/29/08	03/21/11
k 200 SHS NETFLIX INC		P	10/29/08	03/21/11
l 4000 SHS LITTLE SHEEP GROUP LIMITED		P	09/24/09	03/22/11
m 117000 SHS LITTLE SHEEP GROUP LIMITED		P	09/28/09	03/23/11
n 75000 SHS LITTLE SHEEP GROUP LIMITED		P	09/25/09	03/24/11
o 400 SHS CERNER CORP		P	03/25/09	03/24/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 42,601.		4,365.	38,236.
b 21,304.		2,182.	19,122.
c 21,300.		2,182.	19,118.
d 42,972.		4,365.	38,607.
e 21,484.		2,182.	19,302.
f 21,494.		2,182.	19,312.
g 43,012.		4,365.	38,647.
h 42,274.		4,365.	37,909.
i 21,102.		2,182.	18,920.
j 21,118.		2,182.	18,936.
k 42,489.		4,365.	38,124.
l 2,414.		1,899.	515.
m 73,124.		55,352.	17,772.
n 47,400.		34,639.	12,761.
o 43,324.		18,397.	24,927.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			38,236.
b			19,122.
c			19,118.
d			38,607.
e			19,302.
f			19,312.
g			38,647.
h			37,909.
i			18,920.
j			18,936.
k			38,124.
l			515.
m			17,772.
n			12,761.
o			24,927.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3

EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
1a 500 SHS CERNER CORP		P	03/25/09	03/24/11
b 200 SHS CERNER CORP		P	03/25/09	03/24/11
c 200 SHS CERNER CORP		P	03/25/09	03/24/11
d 1300 SHS CERNER CORP		P	03/25/09	03/24/11
e 200 SHS CERNER CORP		P	03/25/09	03/24/11
f 21000 SHS LITTLE SHEEP GROUP LIMITED		P	08/18/09	03/25/11
g 600 SHS CERNER CORP		P	03/25/09	03/25/11
h 600 SHS CERNER CORP		P	03/25/09	03/25/11
i 600 SHS CERNER CORP		P	03/25/09	03/25/11
j 200 SHS CERNER CORP		P	03/25/09	03/25/11
k 100 SHS CERNER CORP		P	03/25/09	03/25/11
l 10000 SHS LITTLE SHEEP GROUP LIMITED		P	08/17/09	03/28/11
m 500 SHS CERNER CORP		P	03/25/09	03/28/11
n 400 SHS CERNER CORP		P	03/25/09	03/28/11
o 2800 SHS CERNER CORP		P	03/25/09	03/29/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 54,268.		22,996.	31,272.
b 21,716.		9,199.	12,517.
c 21,689.		9,199.	12,490.
d 141,151.		59,773.	81,378.
e 21,713.		9,161.	12,552.
f 13,274.		9,444.	3,830.
g 65,361.		27,483.	37,878.
h 65,473.		27,480.	37,993.
i 65,423.		27,465.	37,958.
j 21,797.		9,155.	12,642.
k 10,906.		4,577.	6,329.
l 6,300.		4,468.	1,832.
m 54,288.		22,887.	31,401.
n 43,548.		18,272.	25,276.
o 306,930.		127,413.	179,517.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			31,272.
b			12,517.
c			12,490.
d			81,378.
e			12,552.
f			3,830.
g			37,878.
h			37,993.
i			37,958.
j			12,642.
k			6,329.
l			1,832.
m			31,401.
n			25,276.
o			179,517.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3

EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	5300 SHS ASPEN PHARMACARE HLDGS PLC	P	05/22/09	03/31/11
b	7200 SHS ASPEN PHARMACARE HLDGS PLC	P	05/22/09	04/04/11
c	800 SHS ASPEN PHARMACARE HLDGS PLC	P	05/22/09	04/04/11
d	1000 SHS NETFLIX INC	P	10/29/08	04/08/11
e	100 SHS NETFLIX INC	P	10/29/08	04/08/11
f	200 SHS NETFLIX INC	P	10/29/08	04/08/11
g	100 SHS NETFLIX INC	P	10/29/08	04/08/11
h	900 SHS NETFLIX INC	P	10/29/08	04/08/11
i	1400 SHS NETFLIX INC	P	10/29/08	04/11/11
j	100 SHS NETFLIX INC	P	10/29/08	04/12/11
k	100 SHS NETFLIX INC	P	10/29/08	04/12/11
l	200 SHS NETFLIX INC	P	10/29/08	04/12/11
m	92000 SHS KINGDEE INTL SOFTWARE GROUP	P	01/19/10	04/18/11
n	121500 SHS TIME WARNER INC	P	02/17/11	04/18/11
o	2000 SHS KINGDEE INTL SOFTWARE GROUP	P	01/19/10	04/19/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 61,853.		31,143.	30,710.
b 83,584.		42,308.	41,276.
c 9,310.		4,701.	4,609.
d 236,153.		21,717.	214,436.
e 23,608.		2,161.	21,447.
f 47,134.		4,322.	42,812.
g 23,596.		2,161.	21,435.
h 211,482.		19,449.	192,033.
i 321,794.		30,254.	291,540.
j 22,479.		2,161.	20,318.
k 22,808.		2,161.	20,647.
l 45,938.		4,322.	41,616.
m 56,638.		22,611.	34,027.
n 4,295,671.		4,461,144.	-165,473.
o 1,188.		492.	696.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			30,710.
b			41,276.
c			4,609.
d			214,436.
e			21,447.
f			42,812.
g			21,435.
h			192,033.
i			291,540.
j			20,318.
k			20,647.
l			41,616.
m			34,027.
n			-165,473.
o			696.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	18000 SHS KINGDEE INTL SOFTWARE GROUP	P	01/19/10	04/19/11
b	16000 SHS KINGDEE INTL SOFTWARE GROUP	P	01/19/10	04/20/11
c	16000 SHS KINGDEE INTL SOFTWARE GROUP	P	01/19/10	04/21/11
d	40000 SHS KINGDEE INTL SOFTWARE GROUP	P	01/19/10	04/26/11
e	678000 SHS KINGDEE INTL SOFTWARE GROUP	P	01/25/10	04/27/11
f	60000 SHS KINGDEE INTL SOFTWARE GROUP	P	01/22/10	04/28/11
g	300 SHS CERNER CORP	P	03/24/09	04/29/11
h	91 SHS CERNER CORP	P	03/24/09	04/29/11
i	100 SHS CERNER CORP	P	03/24/09	04/29/11
j	200 SHS CERNER CORP	P	03/24/09	04/29/11
k	1309 SHS CERNER CORP	P	03/24/09	04/29/11
l	2200 SHS CERNER CORP	P	03/24/09	04/29/11
m	34300 SHS NORFOLK SOUTHERN CORP	P	12/01/08	05/04/11
n	7500 SHS EBAY INC	P	04/22/10	05/10/11
o	1300 SHS EBAY INC	P	04/22/10	05/10/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	10,777.	4,424.	6,353.
b	9,845.	3,932.	5,913.
c	9,988.	3,932.	6,056.
d	25,165.	9,657.	15,508.
e	438,056.	145,774.	292,282.
f	39,606.	12,668.	26,938.
g	36,359.	13,626.	22,733.
h	11,040.	4,133.	6,907.
i	12,120.	4,542.	7,578.
j	24,226.	9,084.	15,142.
k	162,331.	59,453.	102,878.
l	267,212.	99,492.	167,720.
m	2,499,280.	2,088,451.	410,829.
n	256,761.	185,787.	70,974.
o	44,311.	32,180.	12,131.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			6,353.
b			5,913.
c			6,056.
d			15,508.
e			292,282.
f			26,938.
g			22,733.
h			6,907.
i			7,578.
j			15,142.
k			102,878.
l			167,720.
m			410,829.
n			70,974.
o			12,131.

2 Capital gain net income or (net capital loss) ... { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8

3

## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 15600 SHS EBAY INC		P	04/23/10	05/10/11
b 600 SHS EBAY INC		P	04/23/10	05/10/11
c 2000 SHS EBAY INC		P	04/23/10	05/10/11
d 500 SHS EBAY INC		P	04/23/10	05/10/11
e 8200 SHS MCDONALDS CORP		P	01/31/08	05/13/11
f 26500 SHS DISNEY WALT CO		P	03/23/09	05/16/11
g 19500 SHS DISNEY WALT CO		P	03/23/09	05/16/11
h 1400 SHS EBAY INC		P	04/23/10	05/16/11
i 5200 SHS EBAY INC		P	04/23/10	05/16/11
j 300 SHS EBAY INC		P	04/23/10	05/16/11
k 300 SHS EBAY INC		P	04/23/10	05/16/11
l 100 SHS EBAY INC		P	04/23/10	05/16/11
m 100 SHS EBAY INC		P	04/23/10	05/16/11
n 400 SHS EBAY INC		P	04/23/10	05/16/11
o 100 SHS EBAY INC		P	04/23/10	05/16/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 530,423.		383,480.	146,943.
b 20,352.		14,739.	5,613.
c 67,923.		49,130.	18,793.
d 16,988.		12,282.	4,706.
e 661,105.		375,338.	285,767.
f 1,089,466.		491,122.	598,344.
g 804,048.		361,392.	442,656.
h 45,666.		34,391.	11,275.
i 170,352.		127,737.	42,615.
j 9,852.		7,369.	2,483.
k 9,811.		7,369.	2,442.
l 3,271.		2,456.	815.
m 3,306.		2,454.	852.
n 13,062.		9,817.	3,245.
o 3,253.		2,454.	799.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col. (k), but not less than "-0-")
a			146,943.
b			5,613.
c			18,793.
d			4,706.
e			285,767.
f			598,344.
g			442,656.
h			11,275.
i			42,615.
j			2,483.
k			2,442.
l			815.
m			852.
n			3,245.
o			799.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8

3



EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	8700 SHS ORTHOVITA INC	P	01/11/10	05/16/11
b	92400 SHS ORTHOVITA INC	P	01/11/10	05/16/11
c	8200 SHS ORTHOVITA INC	P	01/11/10	05/16/11
d	1700 SHS EBAY INC	P	04/23/10	05/17/11
e	12000 SHS EBAY INC	P	04/23/10	05/17/11
f	10500 SHS EBAY INC	P	04/22/10	05/20/11
g	900 SHS EBAY INC	P	04/22/10	05/20/11
h	800 SHS EBAY INC	P	04/22/10	05/20/11
i	1300 SHS EBAY INC	P	04/22/10	05/20/11
j	500 SHS EBAY INC	P	04/22/10	05/20/11
k	500 SHS EBAY INC	P	04/22/10	05/20/11
l	200 SHS EBAY INC	P	04/22/10	05/20/11
m	4900 SHS EBAY INC	P	04/28/10	05/23/11
n	300 SHS EBAY INC	P	04/28/10	05/23/11
o	100 SHS EBAY INC	P	04/28/10	05/23/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	33,146.	30,146.	3,000.
b	352,037.	320,166.	31,871.
c	31,241.	28,413.	2,828.
d	54,926.	41,724.	13,202.
e	387,600.	293,959.	93,641.
f	342,748.	256,765.	85,983.
g	29,357.	21,870.	7,487.
h	26,105.	19,440.	6,665.
i	42,427.	31,591.	10,836.
j	16,315.	12,150.	4,165.
k	16,311.	12,150.	4,161.
l	6,521.	4,860.	1,661.
m	155,501.	118,936.	36,565.
n	9,519.	7,189.	2,330.
o	3,173.	2,396.	777.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			3,000.
b			31,871.
c			2,828.
d			13,202.
e			93,641.
f			85,983.
g			7,487.
h			6,665.
i			10,836.
j			4,165.
k			4,161.
l			1,661.
m			36,565.
n			2,330.
o			777.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	900 SHS EBAY INC	P	04/28/10	05/23/11
b	800 SHS EBAY INC	P	04/28/10	05/23/11
c	300 SHS EBAY INC	P	04/28/10	05/23/11
d	300 SHS EBAY INC	P	04/28/10	05/23/11
e	400 SHS EBAY INC	P	04/28/10	05/23/11
f	11900 SHS EBAY INC	P	04/28/10	05/23/11
g	500 SHS EBAY INC	P	04/28/10	05/24/11
h	1400 SHS EBAY INC	P	04/28/10	05/24/11
i	2500 SHS EBAY INC	P	04/28/10	05/24/11
j	3500 SHS EBAY INC	P	04/28/10	05/24/11
k	400 SHS EBAY INC	P	04/28/10	05/24/11
l	200 SHS EBAY INC	P	04/28/10	05/24/11
m	2200 SHS EBAY INC	P	04/28/10	05/24/11
n	600 SHS EBAY INC	P	04/28/10	05/25/11
o	11200 SHS EBAY INC	P	04/28/10	05/25/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	28,587.	21,566.	7,021.
b	25,373.	19,169.	6,204.
c	9,520.	7,189.	2,331.
d	9,515.	7,189.	2,326.
e	12,696.	9,585.	3,111.
f	377,776.	285,144.	92,632.
g	15,670.	11,981.	3,689.
h	44,403.	33,546.	10,857.
i	77,630.	59,904.	17,726.
j	109,698.	83,866.	25,832.
k	12,492.	9,585.	2,907.
l	6,240.	4,792.	1,448.
m	68,220.	52,716.	15,504.
n	18,399.	14,377.	4,022.
o	343,327.	241,245.	102,082.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			7,021.
b			6,204.
c			2,331.
d			2,326.
e			3,111.
f			92,632.
g			3,689.
h			10,857.
i			17,726.
j			25,832.
k			2,907.
l			1,448.
m			15,504.
n			4,022.
o			102,082.

2 Capital gain net income or (net capital loss) ... { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 14400 SHS EBAY INC		P	05/18/09	05/25/11
b 3300 SHS EBAY INC		P	05/18/09	05/25/11
c 5600 SHS EBAY INC		P	05/18/09	05/25/11
d 13500 SHS EBAY INC		P	06/16/09	05/25/11
e 700 SHS EBAY INC		P	06/16/09	05/25/11
f 700 SHS EBAY INC		P	06/16/09	05/25/11
g 5700 SHS EBAY INC		P	06/16/09	05/25/11
h 200 SHS NETFLIX INC		P	10/29/08	05/25/11
i 200 SHS NETFLIX INC		P	10/29/08	05/25/11
j 100 SHS NETFLIX INC		P	10/29/08	05/25/11
k 300 SHS NETFLIX INC		P	10/29/08	05/25/11
l 100 SHS NETFLIX INC		P	10/29/08	05/25/11
m 4800 SHS EBAY INC		P	06/16/09	05/26/11
n 1000 SHS EBAY INC		P	06/16/09	05/26/11
o 1400 SHS EBAY INC		P	06/16/09	05/26/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 441,310.		252,192.	189,118.
b 100,966.		57,794.	43,172.
c 171,384.		98,074.	73,310.
d 412,456.		234,720.	177,736.
e 21,473.		12,108.	9,365.
f 21,464.		12,108.	9,356.
g 175,338.		98,592.	76,746.
h 51,751.		4,322.	47,429.
i 51,827.		4,322.	47,505.
j 25,856.		2,161.	23,695.
k 77,816.		6,483.	71,333.
l 25,811.		2,161.	23,650.
m 147,328.		83,025.	64,303.
n 30,705.		17,297.	13,408.
o 42,973.		24,216.	18,757.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			189,118.
b			43,172.
c			73,310.
d			177,736.
e			9,365.
f			9,356.
g			76,746.
h			47,429.
i			47,505.
j			23,695.
k			71,333.
l			23,650.
m			64,303.
n			13,408.
o			18,757.

2 Capital gain net income or (net capital loss) ... { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8

3

EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 1100 SHS EBAY INC		P	06/16/09	05/26/11
b 400 SHS EBAY INC		P	06/16/09	05/26/11
c 700 SHS EBAY INC		P	06/16/09	05/26/11
d 900 SHS EBAY INC		P	06/16/09	05/26/11
e 400 SHS EBAY INC		P	06/16/09	05/26/11
f 17500 SHS EBAY INC		P	06/16/09	05/26/11
g 2100 SHS EBAY INC		P	06/16/09	05/26/11
h 500 SHS CERNER CORP		P	03/24/09	05/27/11
i 7800 SHS CHUBB CORP		P	11/21/08	05/27/11
j 300 SHS CHUBB CORP		P	11/21/08	05/27/11
k 600 SHS EBAY INC		P	06/16/09	05/27/11
l 900 SHS EBAY INC		P	06/16/09	05/27/11
m 4700 SHS EBAY INC		P	06/16/09	05/27/11
n 500 SHS EBAY INC		P	06/16/09	05/27/11
o 600 SHS EBAY INC		P	06/16/09	05/27/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 33,728.		19,027.	14,701.
b 12,249.		6,919.	5,330.
c 21,491.		12,108.	9,383.
d 27,553.		15,567.	11,986.
e 12,264.		6,919.	5,345.
f 536,785.		302,696.	234,089.
g 64,456.		36,323.	28,133.
h 60,321.		22,535.	37,786.
i 506,404.		338,965.	167,439.
j 19,510.		12,984.	6,526.
k 18,397.		10,378.	8,019.
l 27,605.		15,567.	12,038.
m 144,151.		81,295.	62,856.
n 15,338.		8,648.	6,690.
o 18,401.		10,378.	8,023.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			14,701.
b			5,330.
c			9,383.
d			11,986.
e			5,345.
f			234,089.
g			28,133.
h			37,786.
i			167,439.
j			6,526.
k			8,019.
l			12,038.
m			62,856.
n			6,690.
o			8,023.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3

EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr.)	(d) Date sold (mo, day, yr.)
1a	800 SHS EBAY INC	P	06/16/09	05/27/11
b	100 SHS EBAY INC	P	06/16/09	05/27/11
c	5000 SHS EBAY INC	P	06/16/09	05/27/11
d	1500 SHS OLD REP INTL CORP	P	03/18/09	05/27/11
e	400 SHS OLD REP INTL CORP	P	03/18/09	05/27/11
f	100 SHS OLD REP INTL CORP	P	03/18/09	05/27/11
g	4700 SHS OLD REP INTL CORP	P	05/11/09	05/27/11
h	1100 SHS CERNER CORP	P	03/24/09	05/31/11
i	500 SHS CERNER CORP	P	03/24/09	05/31/11
j	300 SHS CERNER CORP	P	03/24/09	05/31/11
k	100 SHS CERNER CORP	P	03/24/09	05/31/11
l	100 SHS CERNER CORP	P	03/31/09	05/31/11
m	200 SHS CERNER CORP	P	03/31/09	05/31/11
n	600 SHS CHUBB CORP	P	11/21/08	05/31/11
o	5000 SHS EBAY INC	P	06/16/09	05/31/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 24,512.		13,838.	10,674.
b 3,067.		1,730.	1,337.
c 153,380.		86,485.	66,895.
d 18,669.		15,192.	3,477.
e 4,992.		4,051.	941.
f 1,251.		1,013.	238.
g 58,444.		47,088.	11,356.
h 131,600.		49,578.	82,022.
i 59,716.		22,510.	37,206.
j 35,780.		13,445.	22,335.
k 11,974.		4,482.	7,492.
l 11,922.		4,478.	7,444.
m 23,990.		8,955.	15,035.
n 39,230.		25,968.	13,262.
o 155,835.		86,485.	69,350.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			10,674.
b			1,337.
c			66,895.
d			3,477.
e			941.
f			238.
g			11,356.
h			82,022.
i			37,206.
j			22,335.
k			7,492.
l			7,444.
m			15,035.
n			13,262.
o			69,350.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 2700 SHS EBAY INC		P	06/16/09	05/31/11
b 100 SHS EBAY INC		P	06/16/09	05/31/11
c 1000 SHS EBAY INC		P	06/16/09	05/31/11
d 8200 SHS EBAY INC		P	06/16/09	05/31/11
e 500 SHS EBAY INC		P	06/16/09	05/31/11
f 300 SHS EBAY INC		P	06/16/09	05/31/11
g 900 SHS EBAY INC		P	06/16/09	05/31/11
h 4100 SHS OLD REP INTL CORP		P	05/12/09	05/31/11
i 800 SHS EBAY INC		P	06/16/09	06/01/11
j 300 SHS EBAY INC		P	06/16/09	06/01/11
k 4100 SHS EBAY INC		P	06/16/09	06/01/11
l 400 SHS EBAY INC		P	06/16/09	06/01/11
m 1800 SHS EBAY INC		P	06/16/09	06/01/11
n 400 SHS EBAY INC		P	06/16/09	06/01/11
o 300 SHS EBAY INC		P	06/16/09	06/01/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 84,215.		46,702.	37,513.
b 3,109.		1,730.	1,379.
c 31,195.		17,297.	13,898.
d 255,340.		141,835.	113,505.
e 15,568.		8,648.	6,920.
f 9,335.		5,189.	4,146.
g 28,004.		15,567.	12,437.
h 50,832.		40,866.	9,966.
i 24,741.		13,838.	10,903.
j 9,296.		5,189.	4,107.
k 126,941.		70,917.	56,024.
l 12,410.		6,919.	5,491.
m 55,807.		31,134.	24,673.
n 12,386.		6,919.	5,467.
o 9,306.		5,189.	4,117.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			37,513.
b			1,379.
c			13,898.
d			113,505.
e			6,920.
f			4,146.
g			12,437.
h			9,966.
i			10,903.
j			4,107.
k			56,024.
l			5,491.
m			24,673.
n			5,467.
o			4,117.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a 2600 SHS EBAY INC		P	06/16/09	06/01/11
b 4900 SHS OLD REP INTL CORP		P	05/12/09	06/01/11
c 700 SHS CERNER CORP		P	03/31/09	06/01/11
d 400 SHS CERNER CORP		P	03/31/09	06/01/11
e 700 SHS CERNER CORP		P	03/31/09	06/02/11
f 300 SHS EBAY INC		P	06/16/09	06/02/11
g 2200 SHS EBAY INC		P	06/16/09	06/02/11
h 500 SHS EBAY INC		P	06/16/09	06/02/11
i 300 SHS EBAY INC		P	06/16/09	06/02/11
j 200 SHS EBAY INC		P	06/16/09	06/02/11
k 800 SHS EBAY INC		P	06/16/09	06/02/11
l 300 SHS EBAY INC		P	06/16/09	06/02/11
m 3800 SHS EBAY INC		P	06/16/09	06/02/11
n 1100 SHS EBAY INC		P	06/16/09	06/02/11
o 2800 SHS OLD REP INTL CORP		P	05/13/09	06/02/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 79,664.		44,972.	34,692.
b 59,442.		48,748.	10,694.
c 84,955.		31,344.	53,611.
d 47,705.		17,911.	29,794.
e 82,801.		31,344.	51,457.
f 9,276.		5,189.	4,087.
g 68,099.		38,053.	30,046.
h 15,473.		8,648.	6,825.
i 9,266.		5,189.	4,077.
j 6,181.		3,459.	2,722.
k 24,732.		13,838.	10,894.
l 9,286.		5,189.	4,097.
m 117,589.		65,728.	51,861.
n 34,147.		19,027.	15,120.
o 33,648.		27,832.	5,816.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			34,692.
b			10,694.
c			53,611.
d			29,794.
e			51,457.
f			4,087.
g			30,046.
h			6,825.
i			4,077.
j			2,722.
k			10,894.
l			4,097.
m			51,861.
n			15,120.
o			5,816.

2 Capital gain net income or (net capital loss) ... { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8

3

EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
1a 500 SHS CERNER CORP		P	03/31/09	06/03/11
b 2400 SHS CERNER CORP		P	03/31/09	06/03/11
c 100 SHS OLD REP INTL CORP		P	05/13/09	06/03/11
d 100 SHS OLD REP INTL CORP		P	05/13/09	06/03/11
e 100 SHS OLD REP INTL CORP		P	05/13/09	06/03/11
f 100 SHS OLD REP INTL CORP		P	05/13/09	06/03/11
g 100 SHS OLD REP INTL CORP		P	05/13/09	06/03/11
h 10600 SHS OLD REP INTL CORP		P	05/13/09	06/03/11
i 116500 SHS KINROSS GOLD CORP		P	09/22/10	06/15/11
j 500 SHS PANDORA MEDIA, INC		P	06/14/11	06/15/11
k 100 SHS CERNER CORP		P	03/31/09	06/16/11
l 100 SHS CERNER CORP		P	03/31/09	06/16/11
m 100 SHS CERNER CORP		P	03/31/09	06/16/11
n 100 SHS CERNER CORP		P	03/31/09	06/16/11
o 3900 SHS CHUBB CORP		P	11/21/08	06/16/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 58,590.		22,388.	36,202.
b 279,660.		107,417.	172,243.
c 1,201.		991.	210.
d 1,201.		991.	210.
e 1,201.		991.	210.
f 1,202.		991.	211.
g 1,201.		991.	210.
h 127,129.		104,749.	22,380.
i 1,815,055.		2,218,051.	-402,996.
j 10,992.		8,000.	2,992.
k 11,689.		4,452.	7,237.
l 11,676.		4,452.	7,224.
m 11,688.		4,452.	7,236.
n 11,688.		4,452.	7,236.
o 244,775.		166,811.	77,964.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			36,202.
b			172,243.
c			210.
d			210.
e			210.
f			211.
g			210.
h			22,380.
i			-402,996.
j			2,992.
k			7,237.
l			7,224.
m			7,236.
n			7,236.
o			77,964.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8

2

3



## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	200 SHS CHUBB CORP	P	10/17/08	06/16/11
b	100 SHS CHUBB CORP	P	10/17/08	06/16/11
c	100 SHS CHUBB CORP	P	10/17/08	06/16/11
d	100 SHS CHUBB CORP	P	10/17/08	06/16/11
e	100 SHS CHUBB CORP	P	10/17/08	06/16/11
f	100 SHS CHUBB CORP	P	10/17/08	06/16/11
g	3100 SHS CHUBB CORP	P	10/17/08	06/16/11
h	100 SHS CHUBB CORP	P	10/15/08	06/16/11
i	100 SHS CHUBB CORP	P	10/15/08	06/16/11
j	100 SHS CHUBB CORP	P	10/15/08	06/16/11
k	18000 SHS NEXTERA ENERGY INC	P	02/01/10	06/16/11
l	6900 SHS NEXTERA ENERGY INC	P	02/05/10	06/16/11
m	1600 SHS OLD REP INTL CORP	P	05/13/09	06/16/11
n	800 SHS TEVA PHARMACEUTICAL INDS LTD	P	12/23/08	06/16/11
o	2600 SHS TEVA PHARMACEUTICAL INDS LTD	P	12/23/08	06/16/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	12,574.	8,548.	4,026.
b	6,286.	4,274.	2,012.
c	6,286.	4,274.	2,012.
d	6,287.	4,274.	2,013.
e	6,284.	4,274.	2,010.
f	6,284.	4,274.	2,010.
g	193,990.	127,550.	66,440.
h	6,274.	4,044.	2,230.
i	6,274.	4,044.	2,230.
j	6,273.	4,044.	2,229.
k	1,008,321.	889,661.	118,660.
l	386,369.	330,748.	55,621.
m	19,227.	15,802.	3,425.
n	39,219.	33,941.	5,278.
o	126,898.	110,308.	16,590.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			4,026.
b			2,012.
c			2,012.
d			2,013.
e			2,010.
f			2,010.
g			66,440.
h			2,230.
i			2,230.
j			2,229.
k			118,660.
l			55,621.
m			3,425.
n			5,278.
o			16,590.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	1200 SHS TEVA PHARMACEUTICAL INDS LTD	P	12/23/08	06/16/11
b	200 SHS CERNER CORP	P	03/31/09	06/16/11
c	185800 SHS URANIUM ONE INC	P	01/06/11	06/17/11
d	100 SHS CERNER CORP	P	03/27/09	06/17/11
e	100 SHS CERNER CORP	P	03/27/09	06/17/11
f	200 SHS CERNER CORP	P	03/27/09	06/17/11
g	900 SHS CERNER CORP	P	03/27/09	06/17/11
h	100 SHS CERNER CORP	P	03/27/09	06/17/11
i	400 SHS CERNER CORP	P	03/27/09	06/17/11
j	100 SHS CERNER CORP	P	03/27/09	06/17/11
k	100 SHS CERNER CORP	P	03/27/09	06/17/11
l	100 SHS CERNER CORP	P	03/27/09	06/17/11
m	200 SHS CERNER CORP	P	03/27/09	06/17/11
n	3200 SHS CHUBB CORP	P	10/16/08	06/17/11
o	9744 SHS EBAY INC	P	06/16/09	06/17/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 58,257.		50,911.	7,346.
b 23,308.		8,905.	14,403.
c 530,351.		891,079.	-360,728.
d 11,764.		4,449.	7,315.
e 11,770.		4,449.	7,321.
f 23,542.		8,851.	14,691.
g 105,885.		39,830.	66,055.
h 11,771.		4,426.	7,345.
i 47,163.		17,702.	29,461.
j 11,790.		4,426.	7,364.
k 11,770.		4,426.	7,344.
l 11,769.		4,426.	7,343.
m 23,539.		8,851.	14,688.
n 201,073.		125,692.	75,381.
o 279,994.		168,541.	111,453.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			7,346.
b			14,403.
c			-360,728.
d			7,315.
e			7,321.
f			14,691.
g			66,055.
h			7,345.
i			29,461.
j			7,364.
k			7,344.
l			7,343.
m			14,688.
n			75,381.
o			111,453.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8 }

3

## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	53200 SHS EBAY INC	P	06/16/09	06/17/11
b	2100 SHS OLD REP INTL CORP	P	05/13/09	06/17/11
c	100 SHS OLD REP INTL CORP	P	05/13/09	06/17/11
d	100 SHS OLD REP INTL CORP	P	05/13/09	06/17/11
e	1100 SHS CERNER CORP	P	03/27/09	06/20/11
f	100 SHS CERNER CORP	P	03/27/09	06/20/11
g	100 SHS CERNER CORP	P	03/27/09	06/20/11
h	1100 SHS CERNER CORP	P	03/27/09	06/20/11
i	2300 SHS OLD REP INTL CORP	P	05/13/09	06/20/11
j	100 SHS PMC-SIERRA INC	P	12/08/09	06/20/11
k	100 SHS PMC-SIERRA INC	P	12/10/09	06/20/11
l	200 SHS PMC-SIERRA INC	P	12/08/09	06/20/11
m	48200 SHS NOBLE CORPORATION	P	10/14/08	06/21/11
n	2400 SHS QIAGEN N V	P	01/28/09	06/21/11
o	7200 SHS QIAGEN N V	P	01/28/09	06/21/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,525,752.		903,231.	622,521.
b 25,018.		20,740.	4,278.
c 1,199.		988.	211.
d 1,199.		988.	211.
e 129,081.		48,504.	80,577.
f 11,738.		4,406.	7,332.
g 11,746.		4,406.	7,340.
h 129,149.		48,464.	80,685.
i 27,286.		22,715.	4,571.
j 697.		888.	-191.
k 700.		888.	-188.
l 1,406.		1,775.	-369.
m 1,817,775.		1,506,620.	311,155.
n 46,185.		43,346.	2,839.
o 138,699.		129,667.	9,032.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col. (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			622,521.
b			4,278.
c			211.
d			211.
e			80,577.
f			7,332.
g			7,340.
h			80,685.
i			4,571.
j			-191.
k			-188.
l			-369.
m			311,155.
n			2,839.
o			9,032.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8 }

3

## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a 200 SHS CERNER CORP		P	03/27/09	06/21/11
b 600 SHS CERNER CORP		P	03/27/09	06/21/11
c 1100 SHS CERNER CORP		P	03/27/09	06/21/11
d 100 SHS CERNER CORP		P	12/24/08	06/21/11
e 900 SHS KONINKLIJKE PHILIPS ELECTRS		P	04/28/10	06/21/11
f 14400 SHS KONINKLIJKE PHILIPS ELECTRS		P	04/28/10	06/21/11
g 100 SHS NETFLIX INC		P	10/29/08	06/21/11
h 100 SHS NETFLIX INC		P	10/29/08	06/21/11
i 19200 SHS OLD REP INTL CORP		P	05/13/09	06/21/11
j 6100 SHS OLD REP INTL CORP		P	05/13/09	06/21/11
k 600 SHS OLD REP INTL CORP		P	05/04/09	06/21/11
l 170 SHS OLD REP INTL CORP		P	05/04/09	06/21/11
m 400 SHS OLD REP INTL CORP		P	05/04/09	06/21/11
n 1700 SHS OLD REP INTL CORP		P	05/04/09	06/21/11
o 500 SHS OLD REP INTL CORP		P	05/01/09	06/21/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 23,688.		8,812.	14,876.
b 70,864.		26,435.	44,429.
c 130,277.		43,525.	86,752.
d 11,843.		3,857.	7,986.
e 23,116.		30,204.	-7,088.
f 372,301.		323,839.	48,462.
g 25,386.		2,161.	23,225.
h 25,376.		2,161.	23,215.
i 228,527.		189,619.	38,908.
j 72,859.		59,472.	13,387.
k 7,155.		5,800.	1,355.
l 2,033.		1,643.	390.
m 4,777.		3,867.	910.
n 20,306.		16,379.	3,927.
o 5,976.		4,802.	1,174.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col. (h) gain over col (k), but not less than "-0-")
a			14,876.
b			44,429.
c			86,752.
d			7,986.
e			-7,088.
f			48,462.
g			23,225.
h			23,215.
i			38,908.
j			13,387.
k			1,355.
l			390.
m			910.
n			3,927.
o			1,174.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8

3

EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
1a	200 SHS OLD REP INTL CORP	P	05/01/09	06/21/11
b	100 SHS OLD REP INTL CORP	P	05/01/09	06/21/11
c	100 SHS OLD REP INTL CORP	P	05/01/09	06/21/11
d	100 SHS PMC-SIERRA INC	P	12/10/09	06/21/11
e	100 SHS PMC-SIERRA INC	P	12/10/09	06/21/11
f	100 SHS PMC-SIERRA INC	P	12/10/09	06/21/11
g	100 SHS PMC-SIERRA INC	P	12/10/09	06/21/11
h	100 SHS PMC-SIERRA INC	P	12/10/09	06/21/11
i	1200 SHS QUESTCOR PHARMACEUTICALS INC	P	01/27/11	06/21/11
j	100 SHS QUESTCOR PHARMACEUTICALS INC	P	01/27/11	06/21/11
k	100 SHS QUESTCOR PHARMACEUTICALS INC	P	01/27/11	06/21/11
l	100 SHS QUESTCOR PHARMACEUTICALS INC	P	01/27/11	06/21/11
m	59200 SHS TEVA PHARMACEUTICAL INDS LTD - S/T PORT	P	04/25/11	06/21/11
n	59200 SHS TEVA PHARMACEUTICAL INDS LTD - L/T PORT	P		06/21/11
o	51200 SHS QIAGEN N V	P	01/30/09	06/22/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,389.	1,921.	468.
b	1,196.	960.	236.
c	1,194.	960.	234.
d	709.	886.	-177.
e	709.	885.	-176.
f	710.	885.	-175.
g	709.	885.	-176.
h	710.	885.	-175.
i	28,139.	19,320.	8,819.
j	2,348.	1,605.	743.
k	2,348.	1,605.	743.
l	2,348.	1,605.	743.
m	1,000,000.	977,446.	22,554.
n	1,808,092.	1,553,996.	254,096.
o	978,777.	891,712.	87,065.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			468.
b			236.
c			234.
d			-177.
e			-176.
f			-175.
g			-176.
h			-175.
i			8,819.
j			743.
k			743.
l			743.
m			22,554.
n			254,096.
o			87,065.

2 Capital gain net income or (net capital loss) ... { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3

EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 300 SHS EBAY INC		P	04/27/09	06/22/11
b 1000 SHS EBAY INC		P	04/27/09	06/22/11
c 3300 SHS EBAY INC		P	04/27/09	06/22/11
d 100 SHS EBAY INC		P	04/27/09	06/22/11
e 200 SHS EBAY INC		P	04/27/09	06/22/11
f 400 SHS EBAY INC		P	04/27/09	06/22/11
g 600 SHS EBAY INC		P	04/27/09	06/22/11
h 100 SHS EBAY INC		P	04/27/09	06/22/11
i 7400 SHS EBAY INC		P	04/27/09	06/22/11
j 1500 SHS HASBRO INC		P	10/03/08	06/22/11
k 3800 SHS HASBRO INC		P	10/03/08	06/22/11
l 500 SHS NETFLIX INC		P	10/29/08	06/22/11
m 100 SHS NETFLIX INC		P	10/29/08	06/22/11
n 100 SHS NETFLIX INC		P	10/29/08	06/22/11
o 100 SHS NETFLIX INC		P	10/29/08	06/22/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 8,858.		4,948.	3,910.
b 29,556.		16,344.	13,212.
c 97,484.		53,934.	43,550.
d 2,956.		1,634.	1,322.
e 5,910.		3,269.	2,641.
f 11,819.		6,537.	5,282.
g 17,761.		9,806.	7,955.
h 2,957.		1,634.	1,323.
i 217,793.		120,943.	96,850.
j 65,919.		49,491.	16,428.
k 167,028.		125,377.	41,651.
l 125,873.		10,805.	115,068.
m 25,185.		2,161.	23,024.
n 25,187.		2,114.	23,073.
o 25,155.		2,114.	23,041.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FM V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col. (h) gain over col (k), but not less than "-0-")
a			3,910.
b			13,212.
c			43,550.
d			1,322.
e			2,641.
f			5,282.
g			7,955.
h			1,323.
i			96,850.
j			16,428.
k			41,651.
l			115,068.
m			23,024.
n			23,073.
o			23,041.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
1a 400 SHS NETFLIX INC		P	10/29/08	06/22/11
b 100 SHS NETFLIX INC		P	10/29/08	06/22/11
c 28400 SHS OLD REP INTL CORP		P	05/01/09	06/22/11
d 4830 SHS OLD REP INTL CORP		P	03/16/09	06/22/11
e 100 SHS PMC-SIERRA INC		P	12/10/09	06/22/11
f 100 SHS PMC-SIERRA INC		P	12/10/09	06/22/11
g 400 SHS QUESTCOR PHARMACEUTICALS INC		P	01/27/11	06/22/11
h 100 SHS QUESTCOR PHARMACEUTICALS INC		P	01/27/11	06/22/11
i 100 SHS QUESTCOR PHARMACEUTICALS INC		P	01/27/11	06/22/11
j 900 SHS EBAY INC		P	04/27/09	06/23/11
k 2800 SHS EBAY INC		P	04/27/09	06/23/11
l 900 SHS EBAY INC		P	04/27/09	06/23/11
m 3000 SHS EBAY INC		P	04/27/09	06/23/11
n 400 SHS EBAY INC		P	04/27/09	06/23/11
o 1900 SHS EBAY INC		P	04/27/09	06/23/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 100,513.		8,455.	92,058.
b 25,154.		2,114.	23,040.
c 337,491.		270,622.	66,869.
d 57,611.		44,754.	12,857.
e 701.		884.	-183.
f 701.		884.	-183.
g 9,370.		6,418.	2,952.
h 2,339.		1,605.	734.
i 2,336.		1,605.	731.
j 26,115.		14,709.	11,406.
k 81,226.		45,762.	35,464.
l 26,107.		14,709.	11,398.
m 87,049.		49,031.	38,018.
n 11,606.		6,537.	5,069.
o 55,113.		31,053.	24,060.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			92,058.
b			23,040.
c			66,869.
d			12,857.
e			-183.
f			-183.
g			2,952.
h			734.
i			731.
j			11,406.
k			35,464.
l			11,398.
m			38,018.
n			5,069.
o			24,060.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	1000 SHS EBAY INC	P	04/27/09	06/23/11
b	15100 SHS EBAY INC	P	04/27/09	06/23/11
c	2700 SHS EBAY INC	P	04/27/09	06/23/11
d	600 SHS EBAY INC	P	04/27/09	06/23/11
e	700 SHS EBAY INC	P	04/27/09	06/23/11
f	1100 SHS EBAY INC	P	04/27/09	06/23/11
g	800 SHS EBAY INC	P	04/27/09	06/23/11
h	900 SHS EBAY INC	P	04/27/09	06/23/11
i	600 SHS EBAY INC	P	04/27/09	06/23/11
j	700 SHS EBAY INC	P	04/27/09	06/23/11
k	2700 SHS EBAY INC	P	04/27/09	06/23/11
l	100 SHS NETFLIX INC	P	10/29/08	06/23/11
m	700 SHS NETFLIX INC	P	10/29/08	06/23/11
n	100 SHS NETFLIX INC	P	10/29/08	06/23/11
o	1400 SHS NETFLIX INC	P	10/29/08	06/23/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	29,002.	16,344.	12,658.
b	439,796.	246,788.	193,008.
c	79,146.	44,128.	35,018.
d	17,542.	9,806.	7,736.
e	20,470.	11,441.	9,029.
f	32,159.	17,978.	14,181.
g	23,385.	13,075.	10,310.
h	26,307.	14,709.	11,598.
i	17,540.	9,806.	7,734.
j	20,461.	11,441.	9,020.
k	78,876.	44,128.	34,748.
l	24,670.	2,114.	22,556.
m	173,176.	14,796.	158,380.
n	24,738.	2,114.	22,624.
o	356,483.	29,592.	326,891.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			12,658.
b			193,008.
c			35,018.
d			7,736.
e			9,029.
f			14,181.
g			10,310.
h			11,598.
i			7,734.
j			9,020.
k			34,748.
l			22,556.
m			158,380.
n			22,624.
o			326,891.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	



EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	100 SHS PMC-SIERRA INC	P	12/08/09	06/23/11
b	100 SHS PMC-SIERRA INC	P	12/08/09	06/23/11
c	100 SHS PMC-SIERRA INC	P	12/08/09	06/23/11
d	700 SHS PMC-SIERRA INC	P	12/08/09	06/23/11
e	2600 SHS QUESTCOR PHARMACEUTICALS INC	P	01/28/11	06/23/11
f	100 SHS PMC-SIERRA INC	P	12/08/09	06/24/11
g	700 SHS QUESTCOR PHARMACEUTICALS INC	P	01/28/11	06/24/11
h	200 SHS QUESTCOR PHARMACEUTICALS INC	P	01/24/11	06/24/11
i	100 SHS PMC-SIERRA INC	P	12/08/09	06/24/11
j	1900 SHS CERNER CORP	P	12/24/08	06/27/11
k	800 SHS CERNER CORP	P	12/24/08	06/27/11
l	3700 SHS NETFLIX INC	P	10/30/08	06/27/11
m	1700 SHS QUESTCOR PHARMACEUTICALS INC	P	01/24/11	06/27/11
n	7600 SHS URANIUM ONE INC	P	01/06/11	06/27/11
o	8000 SHS URANIUM ONE INC	P	01/06/11	06/27/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	700.	883.	-183.
b	698.	883.	-185.
c	696.	883.	-187.
d	4,889.	6,183.	-1,294.
e	60,591.	40,968.	19,623.
f	724.	883.	-159.
g	16,329.	10,913.	5,416.
h	4,650.	3,117.	1,533.
i	724.	883.	-159.
j	111,971.	36,642.	75,329.
k	47,243.	15,428.	31,815.
l	937,965.	75,903.	862,062.
m	40,344.	26,498.	13,846.
n	19,837.	36,062.	-16,225.
o	20,563.	37,960.	-17,397.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-183.
b			-185.
c			-187.
d			-1,294.
e			19,623.
f			-159.
g			5,416.
h			1,533.
i			-159.
j			75,329.
k			31,815.
l			862,062.
m			13,846.
n			-16,225.
o			-17,397.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a 7700 SHS URANIUM ONE INC		P	01/06/11	06/27/11
b 1800 SHS CERNER CORP		P	12/24/08	06/28/11
c 2700 SHS QUESTCOR PHARMACEUTICALS INC		P	02/03/11	06/28/11
d 1800 SHS URANIUM ONE INC		P	01/06/11	06/28/11
e 15400 SHS URANIUM ONE INC		P	01/06/11	06/28/11
f 1600 SHS QUESTCOR PHARMACEUTICALS INC		P	02/03/11	06/29/11
g 2100 SHS QUESTCOR PHARMACEUTICALS INC		P	02/02/11	06/30/11
h 7700 SHS URANIUM ONE INC		P	01/06/11	07/01/11
i 500 SHS URANIUM ONE INC		P	01/06/11	07/01/11
j 1900 SHS URANIUM ONE INC		P	01/06/11	07/01/11
k 15900 SHS QUESTCOR PHARMACEUTICALS INC		P	02/02/11	07/01/11
l 1600 SHS TJX COS INC NEW		P	05/27/09	07/22/11
m 5000 SHS ASPEN PHARMACARE HLDGS PLC		P	05/22/09	07/22/11
n 3200 SHS ASPEN PHARMACARE HLDGS PLC		P	05/22/09	07/22/11
o 1400 SHS ASPEN PHARMACARE HLDGS PLC		P	05/22/09	07/26/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 20,194.		36,536.	-16,342.
b 108,416.		34,713.	73,703.
c 65,052.		41,847.	23,205.
d 4,607.		8,541.	-3,934.
e 39,777.		73,073.	-33,296.
f 38,226.		24,665.	13,561.
g 50,350.		32,229.	18,121.
h 19,740.		36,536.	-16,796.
i 1,288.		2,372.	-1,084.
j 4,847.		9,015.	-4,168.
k 405,170.		243,139.	162,031.
l 89,850.		46,569.	43,281.
m 63,133.		29,380.	33,753.
n 39,573.		18,803.	20,770.
o 17,172.		8,227.	8,945.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			-16,342.
b			73,703.
c			23,205.
d			-3,934.
e			-33,296.
f			13,561.
g			18,121.
h			-16,796.
i			-1,084.
j			-4,168.
k			162,031.
l			43,281.
m			33,753.
n			20,770.
o			8,945.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr.)	(d) Date sold (mo, day, yr.)
1a	1400 SHS ASPEN PHARMACARE HLDGS PLC	P	05/22/09	07/28/11
b	1900 SHS ASPEN PHARMACARE HLDGS PLC	P	05/22/09	07/28/11
c	3600 SHS ASPEN PHARMACARE HLDGS PLC	P	05/22/09	07/28/11
d	17500 SHS ASPEN PHARMACARE HLDGS PLC	P	05/22/09	07/28/11
e	900 SHS CERNER CORP	P	12/24/08	07/29/11
f	1600 SHS NEWMONT MINING CORP	P	08/19/09	08/04/11
g	404 SHS GOLDCORP INC NEW	D	06/15/05	08/04/11
h	36596 SHS GOLDCORP INC NEW	D	06/15/05	08/04/11
i	6700 SHS BARRICK GOLD CORP	P	01/26/09	08/05/11
j	2000 SHS BARRICK GOLD CORP	P	01/26/09	08/05/11
k	49000 SHS YAMANA GOLD INC	P	03/10/08	08/05/11
l	5014 SHS NEWCREST MNG LTD	P	05/17/10	08/05/11
m	6000 SHS SUMITOMO MITSUI FINL GROUP INC	P	03/04/10	08/09/11
n	18000 SHS SUMITOMO MITSUI FINL GROUP INC	P	03/04/10	08/09/11
o	23200 SHS SUMITOMO MITSUI FINL GROUP INC	P	03/04/10	08/09/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	17,398.	8,227.	9,171.
b	23,332.	11,165.	12,167.
c	43,836.	21,154.	22,682.
d	213,169.	102,831.	110,338.
e	60,018.	17,357.	42,661.
f	87,780.	63,242.	24,538.
g	18,741.	6,014.	12,727.
h	1,705,304.	542,514.	1,162,790.
i	315,034.	270,234.	44,800.
j	91,976.	80,667.	11,309.
k	649,441.	886,759.	-237,318.
l	206,160.	151,562.	54,598.
m	173,115.	197,431.	-24,316.
n	518,322.	592,294.	-73,972.
o	667,685.	763,401.	-95,716.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FM V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col. (k), but not less than "-0-")
a			9,171.
b			12,167.
c			22,682.
d			110,338.
e			42,661.
f			24,538.
g			12,727.
h			1,162,790.
i			44,800.
j			11,309.
k			-237,318.
l			54,598.
m			-24,316.
n			-73,972.
o			-95,716.

2 Capital gain net income or (net capital loss) ... { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 } ...

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	48400 SHS DISNEY WALT CO	P	03/23/09	08/10/11
b	9700 SHS ECOLAB INC	P	08/11/11	08/15/11
c	56400 SHS LONGTOP FINL TECHNOLOGIES LT	P	06/04/10	08/19/11
d	5500 SHS GUESS INC	P	06/22/11	08/31/11
e	500 SHS GUESS INC	P	06/22/11	08/31/11
f	400 SHS GUESS INC	P	06/22/11	08/31/11
g	3600 SHS GUESS INC	P	06/22/11	09/01/11
h	72300 SHS WAL-MART STORES INC	P	03/25/09	09/01/11
i	42400 SHS WAL-MART STORES INC	P	01/30/09	09/01/11
j	7345 SHS GEMALTO NV	P	12/30/09	09/02/11
k	1003 SHS GEMALTO NV	P	05/08/09	09/02/11
l	6800 SHS GUESS INC	P	06/22/11	09/02/11
m	4207 SHS GEMALTO NV	P	05/08/09	09/05/11
n	840 SHS GEMALTO NV	P	05/08/09	09/06/11
o	3600 SHS GUESS INC	P	06/22/11	09/06/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,514,112.		896,992.	617,120.
b 456,489.		444,387.	12,102.
c 75,496.		1,849,315.	-1,773,819.
d 190,160.		235,266.	-45,106.
e 17,492.		21,368.	-3,876.
f 14,039.		17,094.	-3,055.
g 120,353.		153,850.	-33,497.
h 3,814,402.		3,781,594.	32,808.
i 2,233,725.		2,061,022.	172,703.
j 340,046.		281,051.	58,995.
k 46,085.		34,687.	11,398.
l 212,559.		290,605.	-78,046.
m 185,627.		144,486.	41,141.
n 36,865.		28,834.	8,031.
o 106,837.		153,850.	-47,013.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			617,120.
b			12,102.
c			-1,773,819.
d			-45,106.
e			-3,876.
f			-3,055.
g			-33,497.
h			32,808.
i			172,703.
j			58,995.
k			11,398.
l			-78,046.
m			41,141.
n			8,031.
o			-47,013.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8

3

EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	3000 SHS GUESS INC	P	06/22/11	09/06/11
b	31000 SHS LONGTOP FINL TECHNOLOGIES LT	P	06/04/10	09/07/11
c	10500 SHS LONGTOP FINL TECHNOLOGIES LT	P	03/16/09	09/08/11
d	15600 SHS LONGTOP FINL TECHNOLOGIES LT	P	03/12/09	09/08/11
e	500 SHS LONGTOP FINL TECHNOLOGIES LT	P	03/11/09	09/09/11
f	26000 SHS LONGTOP FINL TECHNOLOGIES LT	P	03/11/09	09/09/11
g	34500 SHS LONGTOP FINL TECHNOLOGIES LT	P	03/10/09	09/13/11
h	7289 SHS LONGTOP FINL TECHNOLOGIES LT	P	03/09/09	09/13/11
i	3303 SHS GEMALTO NV	P	05/08/09	09/15/11
j	16700 SHS COOPER INDUSTRIES PLC	P	03/29/07	09/16/11
k	3754 SHS GEMALTO NV	P	05/07/09	09/16/11
l	1647 SHS GEMALTO NV	P	05/06/09	09/19/11
m	19300 SHS AXA	P	10/06/10	09/20/11
n	11600 SHS AXA	P	10/06/10	09/20/11
o	3200 SHS AXA	P	10/06/10	09/20/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 89,430.		128,208.	-38,778.
b 9,920.		959,127.	-949,207.
c 3,255.		198,069.	-194,814.
d 4,906.		287,247.	-282,341.
e 145.		9,145.	-9,000.
f 7,540.		469,885.	-462,345.
g 8,987.		614,424.	-605,437.
h 1,969.		127,774.	-125,805.
i 158,508.		112,363.	46,145.
j 792,305.		756,963.	35,342.
k 180,208.		127,555.	52,653.
l 76,443.		55,950.	20,493.
m 239,392.		357,612.	-118,220.
n 143,284.		214,937.	-71,653.
o 39,383.		59,293.	-19,910.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			-38,778.
b			-949,207.
c			-194,814.
d			-282,341.
e			-9,000.
f			-462,345.
g			-605,437.
h			-125,805.
i			46,145.
j			35,342.
k			52,653.
l			20,493.
m			-118,220.
n			-71,653.
o			-19,910.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a 1832 SHS GEMALTO NV		P	05/06/09	09/20/11
b 10247 SHS CORNING INC		P	02/04/11	09/20/11
c 57953 SHS CORNING INC		P	02/04/11	09/20/11
d 57000 SHS CORNING INC		P	03/22/11	09/20/11
e 23000 SHS AXA		P	10/08/10	09/21/11
f 3100 SHS APPLIED MATLS INC		P	07/25/11	09/23/11
g 3000 SHS APPLIED MATLS INC		P	07/25/11	09/23/11
h 8000 SHS CORNING INC		P	03/22/11	09/23/11
i 2800 SHS DISNEY WALT CO		P	03/23/09	09/23/11
j 1600 SHS DISNEY WALT CO		P	03/23/09	09/23/11
k 1500 SHS EBAY INC		P	06/30/10	09/23/11
l 100 SHS GOOGLE INC		P	04/14/10	09/23/11
m 500 SHS MEDCO HEALTH SOLUTIONS INC		P	12/21/10	09/23/11
n 400 SHS STARBUCKS CORP		P	04/29/11	09/23/11
o 500 SHS TIME WARNER INC		P	02/03/11	09/23/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 85,434.		62,235.	23,199.
b 138,418.		239,546.	-101,128.
c 779,772.		1,354,779.	-575,007.
d 769,143.		1,227,587.	-458,444.
e 280,605.		425,754.	-145,149.
f 32,720.		40,398.	-7,678.
g 31,785.		38,793.	-7,008.
h 99,528.		167,082.	-67,554.
i 82,892.		51,892.	31,000.
j 47,607.		29,653.	17,954.
k 47,482.		29,677.	17,805.
l 52,280.		58,956.	-6,676.
m 24,044.		30,927.	-6,883.
n 15,438.		14,611.	827.
o 14,990.		17,955.	-2,965.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			23,199.
b			-101,128.
c			-575,007.
d			-458,444.
e			-145,149.
f			-7,678.
g			-7,008.
h			-67,554.
i			31,000.
j			17,954.
k			17,805.
l			-6,676.
m			-6,883.
n			827.
o			-2,965.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3

## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
1a 900 SHS VANCEINFO TECHNOLOGIES INC		P	09/10/09	09/23/11
b 100 SHS VANCEINFO TECHNOLOGIES INC		P	09/10/09	09/23/11
c 100 SHS VANCEINFO TECHNOLOGIES INC		P	09/10/09	09/23/11
d 100 SHS VANCEINFO TECHNOLOGIES INC		P	09/10/09	09/23/11
e 700 SHS VANCEINFO TECHNOLOGIES INC		P	09/10/09	09/26/11
f 5600 SHS CHUBB CORP		P	10/16/08	09/27/11
g 4800 SHS VANCEINFO TECHNOLOGIES INC		P	09/10/09	09/27/11
h 2200 SHS VANCEINFO TECHNOLOGIES INC		P	09/10/09	09/27/11
i 13613 SHS GEMALTO NV		P	05/06/09	09/28/11
j 122007 SHS AXA SA		P	05/14/09	09/28/11
k 200 SHS VANCEINFO TECHNOLOGIES INC		P	09/10/09	09/28/11
l 100 SHS VANCEINFO TECHNOLOGIES INC		P	09/10/09	09/28/11
m 100 SHS VANCEINFO TECHNOLOGIES INC		P	09/10/09	09/28/11
n 6400 SHS VANCEINFO TECHNOLOGIES INC		P	09/10/09	09/29/11
o 100 SHS VANCEINFO TECHNOLOGIES INC		P	09/10/09	09/29/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 7,687.		13,903.	-6,216.
b 861.		1,545.	-684.
c 860.		1,545.	-685.
d 856.		1,545.	-689.
e 5,757.		10,813.	-5,056.
f 338,506.		216,901.	121,605.
g 40,128.		74,149.	-34,021.
h 18,350.		33,985.	-15,635.
i 657,537.		443,776.	213,761.
j 1,629,336.		2,563,007.	-933,671.
k 1,597.		3,090.	-1,493.
l 774.		1,545.	-771.
m 774.		1,545.	-771.
n 45,991.		98,865.	-52,874.
o 755.		1,545.	-790.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			-6,216.
b			-684.
c			-685.
d			-689.
e			-5,056.
f			121,605.
g			-34,021.
h			-15,635.
i			213,761.
j			-933,671.
k			-1,493.
l			-771.
m			-771.
n			-52,874.
o			-790.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8 }

3

EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	300 SHS VANCEINFO TECHNOLOGIES INC	P	09/10/09	09/29/11
b	3500 SHS VANCEINFO TECHNOLOGIES INC	P	09/10/09	09/30/11
c	4300 SHS VANCEINFO TECHNOLOGIES INC	P	09/09/09	09/30/11
d	100 SHS CERNER CORP	P	12/24/08	10/06/11
e	600 SHS CERNER CORP	P	12/24/08	10/06/11
f	900 SHS CERNER CORP	P	12/24/08	10/06/11
g	2500 SHS CERNER CORP	P	12/24/08	10/07/11
h	1700 SHS CERNER CORP	P	12/24/08	10/10/11
i	16000 SHS KINGDEE INTL SOFTWARE GROUP	P	01/22/10	10/12/11
j	8000 SHS KINGDEE INTL SOFTWARE GROUP	P	01/22/10	10/12/11
k	700 SHS INGERSOLL-RAND PLC	P	08/26/11	10/12/11
l	500 SHS INGERSOLL-RAND PLC	P	08/26/11	10/12/11
m	550 SHS INGERSOLL-RAND PLC	P	08/25/11	10/12/11
n	100 SHS INGERSOLL-RAND PLC	P	08/25/11	10/12/11
o	8400 SHS GUESS INC	P	06/22/11	10/12/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,205.		4,634.	-2,429.
b 22,976.		53,633.	-30,657.
c 29,107.		63,107.	-34,000.
d 6,704.		1,929.	4,775.
e 40,194.		11,571.	28,623.
f 60,305.		17,357.	42,948.
g 165,560.		48,213.	117,347.
h 115,495.		32,785.	82,710.
i 5,497.		3,378.	2,119.
j 2,785.		1,689.	1,096.
k 20,298.		21,117.	-819.
l 14,749.		15,042.	-293.
m 16,481.		16,364.	117.
n 2,954.		2,975.	-21.
o 262,718.		358,982.	-96,264.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			-2,429.
b			-30,657.
c			-34,000.
d			4,775.
e			28,623.
f			42,948.
g			117,347.
h			82,710.
i			2,119.
j			1,096.
k			-819.
l			-293.
m			117.
n			-21.
o			-96,264.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	



**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	50200 SHS URANIUM ONE INC	P	01/06/11	10/12/11
b	50000 SHS KINGDEE INTL SOFTWARE GROUP	P	01/22/10	10/13/11
c	136000 SHS KINGDEE INTL SOFTWARE GROUP	P	01/05/10	10/13/11
d	92000 SHS KINGDEE INTL SOFTWARE GROUP	P	01/05/10	10/13/11
e	800 SHS ADVANCE AUTO PARTS INC	P	03/08/10	10/14/11
f	300 SHS ADVANCE AUTO PARTS INC	P	03/08/10	10/14/11
g	500 SHS INGERSOLL-RAND PLC	P	08/25/11	10/17/11
h	100 SHS INVESCO LTD	P	06/21/11	10/17/11
i	100 SHS INVESCO LTD	P	06/21/11	10/17/11
j	800 SHS INVESCO LTD	P	06/21/11	10/17/11
k	1400 SHS ADVANCE AUTO PARTS INC	P	03/08/10	10/17/11
l	600 SHS CHUBB CORP	P	03/11/09	10/17/11
m	600 SHS COGNIZANT TECHNOLOGY SOLUTIO	P	08/12/11	10/17/11
n	100 SHS GOOGLE INC	P	04/14/10	10/17/11
o	900 SHS GUESS INC	P	06/22/11	10/17/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 108,805.		238,198.	-129,393.
b 17,486.		10,435.	7,051.
c 49,165.		28,332.	20,833.
d 34,564.		19,166.	15,398.
e 48,549.		33,781.	14,768.
f 18,204.		12,668.	5,536.
g 14,533.		14,867.	-334.
h 1,756.		2,349.	-593.
i 1,754.		2,346.	-592.
j 14,019.		18,765.	-4,746.
k 83,870.		59,116.	24,754.
l 36,886.		22,728.	14,158.
m 42,722.		38,653.	4,069.
n 58,189.		58,956.	-767.
o 27,653.		38,462.	-10,809.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col. (h) gain over col (k), but not less than "-0-")
a			-129,393.
b			7,051.
c			20,833.
d			15,398.
e			14,768.
f			5,536.
g			-334.
h			-593.
i			-592.
j			-4,746.
k			24,754.
l			14,158.
m			4,069.
n			-767.
o			-10,809.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8 }

3

EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	300 SHS NVE CORP	P	05/21/10	10/17/11
b	800 SHS NXSTAGE MEDICAL INC	P	01/18/11	10/17/11
c	1900 SHS PROGRESSIVE CORP OHIO	P	02/04/09	10/17/11
d	900 SHS TJX COS INC NEW	P	05/27/09	10/17/11
e	300 SHS 3M CO	P	08/08/11	10/17/11
f	1100 SHS 3M CO	P	08/08/11	10/17/11
g	700 SHS WADDELL & REED FINL INC CL A	P	06/21/11	10/17/11
h	1500 SHS COMMUNITY HEALTH SYS INC	P	09/29/11	10/18/11
i	800 SHS GUESS INC	P	06/22/11	10/18/11
j	18783 SHS KONINKLIJKE PHILIPS ELECTRS - S/T PORTI	P	05/05/11	10/18/11
k	18783 SHS KONINKLIJKE PHILIPS ELECTRS - L/T PORTI	P		10/18/11
l	6800 SHS TJX COS INC NEW	P	05/27/09	10/18/11
m	85800 SHS AIA GROUP LTD HONG KONG	P	09/01/11	10/19/11
n	1300 SHS COMMUNITY HEALTH SYS INC	P	09/29/11	10/19/11
o	900 SHS COMMUNITY HEALTH SYS INC	P	09/30/11	10/20/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	19,855.	13,665.	6,190.
b	17,305.	21,199.	-3,894.
c	33,647.	24,018.	9,629.
d	51,490.	26,195.	25,295.
e	22,759.	24,000.	-1,241.
f	83,580.	87,999.	-4,419.
g	17,034.	25,502.	-8,468.
h	28,359.	25,436.	2,923.
i	24,160.	34,189.	-10,029.
j	200,000.	212,947.	-12,947.
k	177,043.	207,058.	-30,015.
l	388,458.	197,533.	190,925.
m	252,364.	307,935.	-55,571.
n	23,999.	22,044.	1,955.
o	15,992.	15,202.	790.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			6,190.
b			-3,894.
c			9,629.
d			25,295.
e			-1,241.
f			-4,419.
g			-8,468.
h			2,923.
i			-10,029.
j			-12,947.
k			-30,015.
l			190,925.
m			-55,571.
n			1,955.
o			790.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3

EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	300 SHS NVE CORP	P	05/21/10	10/20/11
b	0.922 SHS NEWCREST MNG LTD	P	05/17/10	10/21/11
c	169 SHS GEMALTO NV	P	04/21/09	10/25/11
d	120 SHS GEMALTO NV	P	04/21/09	10/25/11
e	130 SHS GEMALTO NV	P	04/21/09	10/25/11
f	48 SHS GEMALTO NV	P	04/21/09	10/25/11
g	218 SHS GEMALTO NV	P	04/21/09	10/25/11
h	83 SHS GEMALTO NV	P	04/21/09	10/25/11
i	325 SHS GEMALTO NV	P	04/21/09	10/26/11
j	112 SHS GEMALTO NV	P	04/21/09	10/26/11
k	62 SHS GEMALTO NV	P	04/21/09	10/26/11
l	1300 SHS TALISMAN ENERGY INC	P	08/24/11	10/26/11
m	150 SHS GEMALTO NV	P	04/21/09	10/27/11
n	156 SHS GEMALTO NV	P	04/15/09	10/27/11
o	109 SHS GEMALTO NV	P	04/15/09	10/27/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 16,779.		13,665.	3,114.
b		28.	-28.
c 7,848.		5,294.	2,554.
d 5,568.		3,759.	1,809.
e 5,976.		4,072.	1,904.
f 2,214.		1,504.	710.
g 10,047.		6,829.	3,218.
h 3,820.		2,600.	1,220.
i 14,898.		10,181.	4,717.
j 5,138.		3,509.	1,629.
k 2,831.		1,942.	889.
l 18,132.		21,175.	-3,043.
m 7,290.		4,699.	2,591.
n 7,581.		4,886.	2,695.
o 5,282.		3,414.	1,868.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			3,114.
b			-28.
c			2,554.
d			1,809.
e			1,904.
f			710.
g			3,218.
h			1,220.
i			4,717.
j			1,629.
k			889.
l			-3,043.
m			2,591.
n			2,695.
o			1,868.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a 315 SHS GEMALTO NV		P	04/15/09	10/27/11
b 1700 SHS ENSCO PLC SPON ADR		P	03/31/08	10/27/11
c 5500 SHS ENSCO PLC SPON ADR		P	03/31/08	10/27/11
d 200 SHS GOOGLE INC		P	04/14/10	10/27/11
e 5748 SHS CHUBB CORP		P	03/11/09	10/28/11
f 300 SHS 3M CO		P	08/08/11	10/28/11
g 261 SHS GEMALTO NV		P	04/23/09	10/31/11
h 166 SHS GEMALTO NV		P	04/23/09	11/01/11
i 306 SHS GEMALTO NV		P	04/23/09	11/01/11
j 170 SHS GEMALTO NV		P	04/23/09	11/01/11
k 290 SHS GEMALTO NV		P	04/23/09	11/01/11
l 25400 SHS THERMO FISHER SCIENTIFIC INC		P	05/07/08	11/01/11
m 265 SHS GEMALTO NV		P	04/23/09	11/02/11
n 158 SHS GEMALTO NV		P	04/23/09	11/02/11
o 615 SHS GEMALTO NV		P	04/23/09	11/02/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 15,224.		9,865.	5,359.
b 88,303.		106,156.	-17,853.
c 286,414.		341,774.	-55,360.
d 119,727.		117,911.	1,816.
e 395,785.		202,134.	193,651.
f 24,482.		24,000.	482.
g 11,900.		8,173.	3,727.
h 7,989.		5,199.	2,790.
i 14,492.		9,583.	4,909.
j 8,031.		5,324.	2,707.
k 12,836.		9,082.	3,754.
l 1,245,130.		1,436,555.	-191,425.
m 11,764.		8,299.	3,465.
n 7,054.		4,948.	2,106.
o 27,349.		19,259.	8,090.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			5,359.
b			-17,853.
c			-55,360.
d			1,816.
e			193,651.
f			482.
g			3,727.
h			2,790.
i			4,909.
j			2,707.
k			3,754.
l			-191,425.
m			3,465.
n			2,106.
o			8,090.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	1300 SHS CORNING INC	P	03/22/11	11/02/11
b	6100 SHS EXPEDITORS INTL WASH INC	P	09/06/11	11/02/11
c	100 SHS NVE CORP	P	05/21/10	11/02/11
d	100 SHS NVE CORP	P	05/21/10	11/02/11
e	3000 SHS DENA CO LTD TOKYO	P	07/22/11	11/02/11
f	444 SHS GEMALTO NV	P	04/23/09	11/03/11
g	6900 SHS MEDCO HEALTH SOLUTIONS INC	P	12/21/10	11/03/11
h	6000 SHS MEDCO HEALTH SOLUTIONS INC	P	12/21/10	11/03/11
i	100 SHS NVE CORP	P	05/21/10	11/03/11
j	200 SHS NVE CORP	P	05/20/10	11/03/11
k	200 SHS ENSCO PLC SPON ADR	P	03/31/08	11/04/11
l	300 SHS NVE CORP	P	05/20/10	11/04/11
m	100 SHS NVE CORP	P	05/20/10	11/04/11
n	100 SHS NVE CORP	P	05/20/10	11/04/11
o	200 SHS NVE CORP	P	05/20/10	11/04/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 18,442.		27,151.	-8,709.
b 264,028.		256,528.	7,500.
c 5,516.		4,555.	961.
d 5,502.		4,555.	947.
e 101,211.		152,974.	-51,763.
f 20,051.		13,904.	6,147.
g 368,770.		426,793.	-58,023.
h 320,614.		371,124.	-50,510.
i 5,500.		4,555.	945.
j 10,984.		9,107.	1,877.
k 10,421.		12,378.	-1,957.
l 16,438.		13,661.	2,777.
m 5,515.		4,554.	961.
n 5,503.		4,554.	949.
o 11,003.		9,107.	1,896.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			-8,709.
b			7,500.
c			961.
d			947.
e			-51,763.
f			6,147.
g			-58,023.
h			-50,510.
i			945.
j			1,877.
k			-1,957.
l			2,777.
m			961.
n			949.
o			1,896.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	7800 SHS POLYCOM INC	P	10/26/11	11/04/11
b	500 SHS ITRON INC	P	11/04/11	11/07/11
c	1090 SHS NVE CORP	P	05/20/10	11/07/11
d	5200 SHS REPUBLIC SVCS INC	P	10/17/11	11/07/11
e	200 SHS ENSCO PLC SPON ADR	P	03/31/08	11/08/11
f	6500 SHS THERMO FISHER SCIENTIFIC INC - S/T PORTI	P	06/22/11	11/10/11
g	6500 SHS THERMO FISHER SCIENTIFIC INC - L/T PORTI	P	VARIOUS	11/10/11
h	3600 SHS BROOKDALE SR LIVING INC	P	09/14/11	11/11/11
i	37200 SHS CISCO SYS INC	P	05/23/08	11/14/11
j	100 SHS COMMUNITY HEALTH SYS INC	P	09/30/11	11/14/11
k	100 SHS COMMUNITY HEALTH SYS INC	P	09/30/11	11/14/11
l	100 SHS COMMUNITY HEALTH SYS INC	P	09/30/11	11/14/11
m	600 SHS COMMUNITY HEALTH SYS INC	P	09/30/11	11/14/11
n	100 SHS WADDELL & REED FINL INC CL A	P	06/21/11	11/14/11
o	600 SHS WADDELL & REED FINL INC CL A	P	06/21/11	11/14/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 131,154.		124,890.	6,264.
b 18,839.		19,188.	-349.
c 59,224.		49,634.	9,590.
d 143,607.		149,973.	-6,366.
e 10,451.		12,378.	-1,927.
f 150,000.		201,102.	-51,102.
g 162,031.		180,240.	-18,209.
h 56,840.		54,306.	2,534.
i 705,927.		993,139.	-287,212.
j 2,159.		1,687.	472.
k 2,187.		1,687.	500.
l 2,147.		1,687.	460.
m 12,643.		10,123.	2,520.
n 2,803.		3,643.	-840.
o 16,469.		21,859.	-5,390.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			6,264.
b			-349.
c			9,590.
d			-6,366.
e			-1,927.
f			-51,102.
g			-18,209.
h			2,534.
i			-287,212.
j			472.
k			500.
l			460.
m			2,520.
n			-840.
o			-5,390.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	32082 SHS NOBEL BIOCARE HOLDING AG	P	12/10/09	11/15/11
b	200 SHS CARLSBERG AS ORD CL B	P	08/25/11	11/15/11
c	300 SHS CARLSBERG AS ORD CL B	P	08/25/11	11/15/11
d	3449 SHS UBI SOFT ENTMT SA MONTREUIL	P	12/18/09	11/18/11
e	14300 SHS HCA HOLDINGS INC	P	11/09/11	11/18/11
f	5100 SHS HEALTH MGMT ASSOC INC	P	09/30/11	11/18/11
g	1500 SHS LIFEPOINT HOSPITALS INC	P	09/30/11	11/18/11
h	8500 SHS TENET HEALTHCARE CORP	P	09/29/11	11/18/11
i	4200 SHS TENET HEALTHCARE CORP	P	09/29/11	11/18/11
j	400 SHS UNIVERSAL HLTH SVCS INC	P	09/29/11	11/18/11
k	100 SHS UNIVERSAL HLTH SVCS INC	P	09/29/11	11/18/11
l	100 SHS UNIVERSAL HLTH SVCS INC	P	09/29/11	11/18/11
m	600 SHS INGERSOLL-RAND PLC	P	08/25/11	11/21/11
n	200 SHS MARVELL TECHNOLOGY GROUP LTD	P	08/23/11	11/21/11
o	100 SHS MARVELL TECHNOLOGY GROUP LTD	P	08/23/11	11/21/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 388,926.		940,689.	-551,763.
b 14,325.		14,372.	-47.
c 21,448.		21,536.	-88.
d 22,706.		49,154.	-26,448.
e 359,115.		357,301.	1,814.
f 43,107.		36,173.	6,934.
g 56,675.		55,411.	1,264.
h 38,291.		35,743.	2,548.
i 18,943.		17,661.	1,282.
j 16,003.		13,771.	2,232.
k 4,009.		3,443.	566.
l 4,009.		3,443.	566.
m 18,673.		17,839.	834.
n 2,878.		2,570.	308.
o 1,453.		1,285.	168.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-551,763.
b			-47.
c			-88.
d			-26,448.
e			1,814.
f			6,934.
g			1,264.
h			2,548.
i			1,282.
j			2,232.
k			566.
l			566.
m			834.
n			308.
o			168.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3

## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	100 SHS MARVELL TECHNOLOGY GROUP LTD	P	08/23/11	11/21/11
b	100 SHS MARVELL TECHNOLOGY GROUP LTD	P	08/23/11	11/21/11
c	900 SHS MARVELL TECHNOLOGY GROUP LTD	P	08/23/11	11/21/11
d	500 SHS GLAXOSMITHKLINE ADR	P	03/31/11	11/21/11
e	3100 SHS HEALTH MGMT ASSOC INC	P	09/30/11	11/21/11
f	600 SHS HEALTH MGMT ASSOC INC	P	09/30/11	11/21/11
g	4100 SHS LIFEPOINT HOSPITALS INC	P	09/30/11	11/21/11
h	4500 SHS TENET HEALTHCARE CORP	P	09/29/11	11/21/11
i	5000 SHS TENET HEALTHCARE CORP	P	09/29/11	11/21/11
j	300 SHS UNIVERSAL HLTH SVCS INC	P	09/29/11	11/21/11
k	20400 SHS HEALTH MGMT ASSOC INC	P	09/30/11	11/22/11
l	500 SHS LINEAR TECHNOLOGY CORP	P	08/23/11	11/22/11
m	100 SHS LINEAR TECHNOLOGY CORP	P	08/23/11	11/22/11
n	400 SHS THERMO FISHER SCIENTIFIC INC	P	06/21/11	11/22/11
o	700 SHS BAKER HUGHES INC.	P	09/01/11	11/23/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,437.		1,285.	152.
b 1,429.		1,285.	144.
c 12,757.		11,566.	1,191.
d 21,332.		19,173.	2,159.
e 25,044.		21,987.	3,057.
f 4,914.		4,256.	658.
g 150,547.		150,818.	-271.
h 19,508.		18,923.	585.
i 21,785.		21,025.	760.
j 11,730.		10,328.	1,402.
k 158,589.		143,874.	14,715.
l 14,630.		13,610.	1,020.
m 2,930.		2,717.	213.
n 18,165.		25,494.	-7,329.
o 34,845.		43,237.	-8,392.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			152.
b			144.
c			1,191.
d			2,159.
e			3,057.
f			658.
g			-271.
h			585.
i			760.
j			1,402.
k			14,715.
l			1,020.
m			213.
n			-7,329.
o			-8,392.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3



**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	1500 SHS C R BARD INC	P	09/09/11	11/23/11
b	100 SHS UNIVERSAL HLTH SVCS INC	P	09/29/11	11/23/11
c	100 SHS UNIVERSAL HLTH SVCS INC	P	09/29/11	11/23/11
d	100 SHS UNIVERSAL HLTH SVCS INC	P	09/29/11	11/23/11
e	400 SHS UNIVERSAL HLTH SVCS INC	P	09/29/11	11/23/11
f	300 SHS UNIVERSAL HLTH SVCS INC	P	09/29/11	11/25/11
g	1500 SHS NABORS INDUSTRIES LTD	P	03/10/07	11/28/11
h	2500 SHS NABORS INDUSTRIES LTD	P	03/10/07	11/28/11
i	1500 SHS NABORS INDUSTRIES LTD	P	03/10/07	11/28/11
j	200 SHS ITRON INC	P	11/04/11	11/28/11
k	300 SHS ITRON INC	P	11/04/11	11/28/11
l	100 SHS ITRON INC	P	11/03/11	11/28/11
m	100 SHS ITRON INC	P	11/03/11	11/28/11
n	100 SHS NII HLDGS INC CL B	P	10/14/11	11/28/11
o	100 SHS NII HLDGS INC CL B	P	10/14/11	11/28/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 124,223.		136,116.	-11,893.
b 3,766.		3,443.	323.
c 3,748.		3,443.	305.
d 3,753.		3,443.	310.
e 14,996.		13,771.	1,225.
f 11,287.		10,328.	959.
g 25,346.		50,780.	-25,434.
h 42,282.		84,633.	-42,351.
i 25,198.		50,780.	-25,582.
j 6,769.		7,628.	-859.
k 10,147.		11,433.	-1,286.
l 3,386.		3,806.	-420.
m 3,381.		3,806.	-425.
n 2,253.		2,856.	-603.
o 2,254.		2,856.	-602.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			-11,893.
b			323.
c			305.
d			310.
e			1,225.
f			959.
g			-25,434.
h			-42,351.
i			-25,582.
j			-859.
k			-1,286.
l			-420.
m			-425.
n			-603.
o			-602.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	3000 SHS NII HLDGS INC CL B	P	10/17/11	11/28/11
b	1200 SHS NII HLDGS INC CL B	P	10/17/11	11/28/11
c	100 SHS PMC-SIERRA INC	P	12/08/09	11/28/11
d	100 SHS PMC-SIERRA INC	P	12/10/09	11/28/11
e	100 SHS PMC-SIERRA INC	P	12/10/09	11/28/11
f	100 SHS PMC-SIERRA INC	P	12/10/09	11/28/11
g	600 SHS PMC-SIERRA INC	P	12/10/09	11/28/11
h	100 SHS PMC-SIERRA INC	P	12/10/09	11/28/11
i	5600 SHS PATTERSON UTI ENERGY INC	P	03/30/10	11/28/11
j	100 SHS PATTERSON UTI ENERGY INC	P	03/30/10	11/28/11
k	100 SHS POLYCOM INC	P	10/26/11	11/28/11
l	200 SHS POLYCOM INC	P	10/26/11	11/28/11
m	100 SHS POLYCOM INC	P	10/26/11	11/28/11
n	3100 SHS RF MICRODEVICES INC	P	10/27/11	11/28/11
o	4800 SHS THERMO FISHER SCIENTIFIC INC	P	06/24/11	11/28/11

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	67,059.		85,368.	-18,309.
b	26,889.		33,494.	-6,605.
c	564.		883.	-319.
d	562.		880.	-318.
e	558.		880.	-322.
f	560.		880.	-320.
g	3,352.		5,277.	-1,925.
h	558.		880.	-322.
i	111,384.		77,927.	33,457.
j	1,990.		1,392.	598.
k	1,625.		1,601.	24.
l	3,246.		3,202.	44.
m	1,624.		1,601.	23.
n	17,646.		23,964.	-6,318.
o	218,965.		304,989.	-86,024.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			-18,309.
b			-6,605.
c			-319.
d			-318.
e			-322.
f			-320.
g			-1,925.
h			-322.
i			33,457.
j			598.
k			24.
l			44.
m			23.
n			-6,318.
o			-86,024.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }		2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }		3	

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	4800 SHS THERMO FISHER SCIENTIFIC INC	P	06/24/11	11/28/11
b	22100 SHS XEROX CORP	P	09/29/08	11/28/11
c	200 SHS ZOLTEK COS INC	P	01/19/11	11/28/11
d	4200 SHS TALISMAN ENERGY INC	P	08/24/11	11/29/11
e	100 SHS ITRON INC	P	11/03/11	11/29/11
f	100 SHS ITRON INC	P	11/03/11	11/29/11
g	500 SHS ITRON INC	P	11/04/11	11/29/11
h	1200 SHS NII HLDGS INC CL B	P	10/13/11	11/29/11
i	1200 SHS NVE CORP	P	05/20/10	11/29/11
j	700 SHS PMC-SIERRA INC	P	12/10/09	11/29/11
k	100 SHS PMC-SIERRA INC	P	12/11/09	11/29/11
l	100 SHS PMC-SIERRA INC	P	12/11/09	11/29/11
m	100 SHS PMC-SIERRA INC	P	12/11/09	11/29/11
n	200 SHS PMC-SIERRA INC	P	12/11/09	11/29/11
o	100 SHS PMC-SIERRA INC	P	12/11/09	11/29/11

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	218,127.		301,162.	-83,035.
b	170,843.		185,198.	-14,355.
c	1,189.		2,434.	-1,245.
d	53,769.		68,410.	-14,641.
e	3,397.		3,806.	-409.
f	3,385.		3,806.	-421.
g	16,971.		18,837.	-1,866.
h	26,175.		33,468.	-7,293.
i	65,164.		54,643.	10,521.
j	3,854.		6,156.	-2,302.
k	550.		867.	-317.
l	550.		867.	-317.
m	551.		867.	-316.
n	1,102.		1,734.	-632.
o	551.		867.	-316.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col. (k), but not less than "-0-")
a			-83,035.
b			-14,355.
c			-1,245.
d			-14,641.
e			-409.
f			-421.
g			-1,866.
h			-7,293.
i			10,521.
j			-2,302.
k			-317.
l			-317.
m			-316.
n			-632.
o			-316.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 100 SHS PMC-SIERRA INC		P	12/11/09	11/29/11
b 100 SHS POLYCOM INC		P	10/26/11	11/29/11
c 100 SHS POLYCOM INC		P	10/26/11	11/29/11
d 100 SHS POLYCOM INC		P	10/26/11	11/29/11
e 300 SHS POLYCOM INC		P	10/26/11	11/29/11
f 100 SHS POLYCOM INC		P	10/26/11	11/29/11
g 16000 SHS XEROX CORP		P	09/29/08	11/29/11
h 2900 SHS XEROX CORP		P	09/30/08	11/29/11
i 100 SHS XEROX CORP		P	09/30/08	11/29/11
j 100 SHS XEROX CORP		P	09/30/08	11/29/11
k 100 SHS XEROX CORP		P	09/30/08	11/29/11
l 1090 SHS XEROX CORP		P	09/30/08	11/29/11
m 1300 SHS XEROX CORP		P	09/30/08	11/29/11
n 400 SHS XEROX CORP		P	09/30/08	11/29/11
o 900 SHS XEROX CORP		P	09/30/08	11/29/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 548.		867.	-319.
b 1,619.		1,601.	18.
c 1,611.		1,601.	10.
d 1,611.		1,601.	10.
e 4,819.		4,803.	16.
f 1,614.		1,601.	13.
g 121,873.		134,080.	-12,207.
h 22,182.		24,302.	-2,120.
i 764.		838.	-74.
j 765.		838.	-73.
k 765.		838.	-73.
l 8,334.		9,134.	-800.
m 9,943.		10,894.	-951.
n 3,056.		3,352.	-296.
o 6,879.		7,542.	-663.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col. (k), but not less than "-0-")
a			-319.
b			18.
c			10.
d			10.
e			16.
f			13.
g			-12,207.
h			-2,120.
i			-74.
j			-73.
k			-73.
l			-800.
m			-951.
n			-296.
o			-663.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
1a	300 SHS ZOLTEK COS INC	P	01/19/11	11/29/11
b	100 SHS ZOLTEK COS INC	P	01/19/11	11/29/11
c	2700 SHS TALISMAN ENERGY INC	P	08/24/11	11/30/11
d	11600 SHS NABORS INDUSTRIES LTD	P	03/10/07	11/30/11
e	900 SHS NABORS INDUSTRIES LTD	P	03/10/07	11/30/11
f	5100 SHS NABORS INDUSTRIES LTD	P	09/20/07	11/30/11
g	300 SHS ITRON INC	P	11/02/11	11/30/11
h	100 SHS ITRON INC	P	11/02/11	11/30/11
i	100 SHS ITRON INC	P	11/02/11	11/30/11
j	100 SHS ITRON INC	P	11/02/11	11/30/11
k	100 SHS ITRON INC	P	11/02/11	11/30/11
l	600 SHS NXSTAGE MEDICAL INC	P	01/18/11	11/30/11
m	100 SHS PMC-SIERRA INC	P	12/11/09	11/30/11
n	1000 SHS PMC-SIERRA INC	P	12/14/09	11/30/11
o	100 SHS PMC-SIERRA INC	P	12/14/09	11/30/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,738.		3,649.	-1,911.
b 594.		1,216.	-622.
c 34,457.		43,978.	-9,521.
d 207,956.		392,697.	-184,741.
e 16,172.		30,468.	-14,296.
f 91,473.		170,641.	-79,168.
g 10,559.		11,225.	-666.
h 3,517.		3,742.	-225.
i 3,514.		3,742.	-228.
j 3,518.		3,742.	-224.
k 3,540.		3,742.	-202.
l 11,712.		15,823.	-4,111.
m 558.		867.	-309.
n 5,563.		8,654.	-3,091.
o 565.		858.	-293.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col. (k), but not less than "-0-")
a			-1,911.
b			-622.
c			-9,521.
d			-184,741.
e			-14,296.
f			-79,168.
g			-666.
h			-225.
i			-228.
j			-224.
k			-202.
l			-4,111.
m			-309.
n			-3,091.
o			-293.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1a	100 SHS PMC-SIERRA INC	P	12/14/09	11/30/11
b	100 SHS PMC-SIERRA INC	P	12/14/09	11/30/11
c	700 SHS PMC-SIERRA INC	P	12/15/09	11/30/11
d	1800 SHS PATTERSON UTI ENERGY INC	P	03/30/10	11/30/11
e	100 SHS POLYCOM INC	P	10/26/11	11/30/11
f	100 SHS POLYCOM INC	P	10/26/11	11/30/11
g	100 SHS POLYCOM INC	P	10/26/11	11/30/11
h	200 SHS POLYCOM INC	P	10/26/11	11/30/11
i	400 SHS POLYCOM INC	P	10/26/11	11/30/11
j	900 SHS POLYCOM INC	P	10/26/11	11/30/11
k	3400 SHS THERMO FISHER SCIENTIFIC INC	P	06/24/11	11/30/11
l	700 SHS WADDELL & REED FINL INC CL A	P	06/21/11	11/30/11
m	600 SHS C R BARD INC	P	11/08/11	12/01/11
n	2300 SHS COGNIZANT TECHNOLOGY SOLUTIO	P	08/12/11	12/01/11
o	100 SHS COGNIZANT TECHNOLOGY SOLUTIO	P	08/12/11	12/01/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	562.	858.	-296.
b	560.	857.	-297.
c	3,941.	5,940.	-1,999.
d	37,803.	25,048.	12,755.
e	1,698.	1,601.	97.
f	1,679.	1,601.	78.
g	1,696.	1,601.	95.
h	3,396.	3,202.	194.
i	6,816.	6,405.	411.
j	15,267.	14,410.	857.
k	160,499.	213,271.	-52,772.
l	19,028.	25,502.	-6,474.
m	52,567.	54,147.	-1,580.
n	153,877.	148,171.	5,706.
o	6,692.	6,442.	250.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-296.
b			-297.
c			-1,999.
d			12,755.
e			97.
f			78.
g			95.
h			194.
i			411.
j			857.
k			-52,772.
l			-6,474.
m			-1,580.
n			5,706.
o			250.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	300 SHS COGNIZANT TECHNOLOGY SOLUTIO	P	08/12/11	12/01/11
b	100 SHS COGNIZANT TECHNOLOGY SOLUTIO	P	08/12/11	12/01/11
c	100 SHS COGNIZANT TECHNOLOGY SOLUTIO	P	08/12/11	12/01/11
d	100 SHS COGNIZANT TECHNOLOGY SOLUTIO	P	08/12/11	12/01/11
e	100 SHS COGNIZANT TECHNOLOGY SOLUTIO	P	08/12/11	12/01/11
f	6000 SHS EBAY INC	P	06/30/10	12/01/11
g	8700 SHS GUESS INC	P	07/22/11	12/01/11
h	100 SHS ITRON INC	P	11/02/11	12/01/11
i	100 SHS ITRON INC	P	11/02/11	12/01/11
j	100 SHS PMC-SIERRA INC	P	11/21/11	12/01/11
k	100 SHS PMC-SIERRA INC	P	11/21/11	12/01/11
l	300 SHS POLYCOM INC	P	10/26/11	12/01/11
m	200 SHS POLYCOM INC	P	10/26/11	12/01/11
n	900 SHS POLYCOM INC	P	10/26/11	12/01/11
o	900 SHS RF MICRODEVICES INC	P	10/27/11	12/01/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	20,083.	19,327.	756.
b	6,696.	6,442.	254.
c	6,695.	6,442.	253.
d	6,695.	6,442.	253.
e	6,698.	6,442.	256.
f	177,953.	118,709.	59,244.
g	255,826.	366,847.	-111,021.
h	3,543.	3,742.	-199.
i	3,547.	3,742.	-195.
j	547.	574.	-27.
k	546.	574.	-28.
l	4,998.	4,803.	195.
m	3,337.	3,202.	135.
n	15,022.	14,410.	612.
o	5,529.	6,921.	-1,392.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			756.
b			254.
c			253.
d			253.
e			256.
f			59,244.
g			-111,021.
h			-199.
i			-195.
j			-27.
k			-28.
l			195.
m			135.
n			612.
o			-1,392.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3

EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	1500 SHS RF MICRODEVICES INC	P	11/04/11	12/01/11
b	700 SHS WADDELL & REED FINL INC CL A	P	06/21/11	12/01/11
c	3000 SHS EBAY INC	P	06/30/10	12/01/11
d	4800 SHS GUESS INC	P	06/22/11	12/01/11
e	300 SHS ITRON INC	P	11/02/11	12/01/11
f	400 SHS NXSTAGE MEDICAL INC	P	01/14/11	12/01/11
g	700 SHS PMC-SIERRA INC - S/T PORTION	P	11/21/11	12/01/11
h	700 SHS PMC-SIERRA INC - L/T PORTION	P		12/01/11
i	400 SHS RF MICRODEVICES INC	P	10/27/11	12/01/11
j	600 SHS DENA CO LTD TOKYO	P	07/22/11	12/02/11
k	300 SHS NXSTAGE MEDICAL INC	P	01/14/11	12/02/11
l	700 SHS PMC-SIERRA INC	P	11/21/11	12/02/11
m	100 SHS PMC-SIERRA INC	P	11/21/11	12/02/11
n	100 SHS PMC-SIERRA INC	P	11/21/11	12/02/11
o	100 SHS PMC-SIERRA INC	P	11/21/11	12/02/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 9,247.		11,376.	-2,129.
b 18,649.		25,502.	-6,853.
c 89,080.		59,354.	29,726.
d 140,289.		205,133.	-64,844.
e 10,629.		11,225.	-596.
f 7,890.		10,516.	-2,626.
g 2,000.		2,301.	-301.
h 1,811.		1,988.	-177.
i 2,464.		3,066.	-602.
j 18,873.		30,595.	-11,722.
k 5,962.		7,887.	-1,925.
l 3,818.		4,016.	-198.
m 547.		574.	-27.
n 544.		574.	-30.
o 546.		574.	-28.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-2,129.
b			-6,853.
c			29,726.
d			-64,844.
e			-596.
f			-2,626.
g			-301.
h			-177.
i			-602.
j			-11,722.
k			-1,925.
l			-198.
m			-27.
n			-30.
o			-28.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	



EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	400 SHS PMC-SIERRA INC	P	11/21/11	12/02/11
b	100 SHS PMC-SIERRA INC	P	11/21/11	12/02/11
c	500 SHS NXSTAGE MEDICAL INC	P	01/14/11	12/05/11
d	2400 SHS PMC-SIERRA INC	P	11/21/11	12/05/11
e	500 SHS NXSTAGE MEDICAL INC	P	01/11/11	12/06/11
f	200 SHS NXSTAGE MEDICAL INC	P	01/06/11	12/07/11
g	100 SHS NXSTAGE MEDICAL INC	P	01/06/11	12/07/11
h	800 SHS INVESCO LTD	P	06/21/11	12/08/11
i	400 SHS BAKER HUGHES INC.	P	09/01/11	12/08/11
j	1000 SHS C R BARD INC	P	11/10/11	12/08/11
k	1100 SHS BROOKDALE SR LIVING INC	P	09/14/11	12/08/11
l	8400 SHS COGNIZANT TECHNOLOGY SOLUTIO	P	08/12/11	12/08/11
m	400 SHS DANAHER CORP	P	09/23/11	12/08/11
n	500 SHS ENSCO PLC SPON ADR	P	03/31/08	12/08/11
o	600 SHS GENTEX CORP	P	10/27/11	12/08/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,192.		2,294.	-102.
b 544.		573.	-29.
c 9,775.		13,005.	-3,230.
d 13,017.		13,759.	-742.
e 9,814.		12,445.	-2,631.
f 3,946.		4,975.	-1,029.
g 1,891.		2,487.	-596.
h 16,164.		18,710.	-2,546.
i 19,685.		24,707.	-5,022.
j 84,834.		88,108.	-3,274.
k 17,115.		16,594.	521.
l 569,617.		541,146.	28,471.
m 18,486.		17,162.	1,324.
n 24,466.		30,946.	-6,480.
o 18,067.		18,569.	-502.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			-102.
b			-29.
c			-3,230.
d			-742.
e			-2,631.
f			-1,029.
g			-596.
h			-2,546.
i			-5,022.
j			-3,274.
k			521.
l			28,471.
m			1,324.
n			-6,480.
o			-502.

2 Capital gain net income or (net capital loss) ... { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8

2

3

## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	400 SHS HASBRO INC	P	10/03/08	12/08/11
b	600 SHS LINEAR TECHNOLOGY CORP	P	08/23/11	12/08/11
c	100 SHS NXSTAGE MEDICAL INC	P	01/06/11	12/08/11
d	600 SHS NXSTAGE MEDICAL INC	P	01/06/11	12/08/11
e	1100 SHS POLYCOM INC	P	10/26/11	12/08/11
f	6300 SHS RF MICRODEVICES INC	P	11/08/11	12/08/11
g	3200 SHS RF MICRODEVICES INC	P	11/07/11	12/08/11
h	500 SHS UNIVERSAL HLTH SVCS INC	P	12/06/11	12/08/11
i	2000 SHS WADDELL & REED FINL INC CL A	P	06/21/11	12/08/11
j	7100 SHS URANIUM ONE INC	P	01/06/11	12/09/11
k	1100 SHS DENA CO LTD TOKYO	P	07/22/11	12/09/11
l	1200 SHS NXSTAGE MEDICAL INC	P	01/10/11	12/09/11
m	100 SHS ANALOG DEVICES INC	P	08/23/11	12/12/11
n	400 SHS ANALOG DEVICES INC	P	08/23/11	12/12/11
o	12700 SHS EBAY INC	P	06/30/10	12/12/11

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	14,679.		13,198.	1,481.
b	18,160.		16,294.	1,866.
c	1,847.		2,487.	-640.
d	10,726.		14,923.	-4,197.
e	17,916.		17,613.	303.
f	36,078.		45,616.	-9,538.
g	17,712.		23,093.	-5,381.
h	19,175.		19,961.	-786.
i	51,859.		72,862.	-21,003.
j	16,740.		33,689.	-16,949.
k	33,942.		56,091.	-22,149.
l	21,575.		29,838.	-8,263.
m	3,449.		3,212.	237.
n	13,714.		12,849.	865.
o	394,729.		235,437.	159,292.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,481.
b			1,866.
c			-640.
d			-4,197.
e			303.
f			-9,538.
g			-5,381.
h			-786.
i			-21,003.
j			-16,949.
k			-22,149.
l			-8,263.
m			237.
n			865.
o			159,292.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 } . . . . .	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	1000 SHS NXSTAGE MEDICAL INC	P	01/07/11	12/12/11
b	1100 SHS 3M CO	P	08/08/11	12/12/11
c	800 SHS 3M CO	P	08/08/11	12/12/11
d	3600 SHS 3M CO	P	08/23/11	12/12/11
e	3430 SHS 3M CO	P	08/23/11	12/12/11
f	1000 SHS INVESCO LTD	P	06/21/11	12/14/11
g	300 SHS BAKER HUGHES INC.	P	09/01/11	12/14/11
h	1200 SHS BROOKDALE SR LIVING INC	P	09/14/11	12/14/11
i	2500 SHS COGNIZANT TECHNOLOGY SOLUTIO	P	08/12/11	12/14/11
j	400 SHS DANAHER CORP	P	09/23/11	12/14/11
k	600 SHS ENSCO PLC SPON ADR	P	03/31/08	12/14/11
l	2400 SHS ENSCO PLC SPON ADR	P	03/31/08	12/14/11
m	1800 SHS ENSCO PLC SPON ADR	P	11/16/06	12/14/11
n	200 SHS GENTEX CORP	P	10/27/11	12/14/11
o	478 SHS GENTEX CORP	P	10/27/11	12/14/11

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	17,413.		24,842.	-7,429.
b	88,682.		87,999.	683.
c	64,771.		63,999.	772.
d	289,981.		286,581.	3,400.
e	276,161.		272,286.	3,875.
f	19,105.		23,368.	-4,263.
g	14,101.		18,530.	-4,429.
h	17,686.		18,102.	-416.
i	165,609.		161,056.	4,553.
j	18,098.		17,162.	936.
k	28,394.		37,135.	-8,741.
l	113,316.		132,476.	-19,160.
m	84,722.		90,753.	-6,031.
n	5,599.		6,190.	-591.
o	13,287.		14,794.	-1,507.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			-7,429.
b			683.
c			772.
d			3,400.
e			3,875.
f			-4,263.
g			-4,429.
h			-416.
i			4,553.
j			936.
k			-8,741.
l			-19,160.
m			-6,031.
n			-591.
o			-1,507.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	6200 SHS HASBRO INC	P	10/03/08	12/14/11
b	1100 SHS ITRON INC	P	11/03/11	12/14/11
c	600 SHS LINEAR TECHNOLOGY CORP	P	08/23/11	12/14/11
d	1000 SHS NXSTAGE MEDICAL INC	P	01/07/11	12/14/11
e	1100 SHS POLYCOM INC	P	10/26/11	12/14/11
f	200 SHS UNIVERSAL HLTH SVCS INC	P	12/06/11	12/14/11
g	1100 SHS UNIVERSAL HLTH SVCS INC	P	12/06/11	12/14/11
h	100 SHS UNIVERSAL HLTH SVCS INC	P	12/06/11	12/14/11
i	200 SHS WADDELL & REED FINL INC CL A	P	06/21/11	12/14/11
j	200 SHS WADDELL & REED FINL INC CL A	P	06/21/11	12/14/11
k	200 SHS WADDELL & REED FINL INC CL A	P	06/21/11	12/14/11
l	100 SHS WADDELL & REED FINL INC CL A	P	06/21/11	12/14/11
m	10100 SHS ALLSCRIPTS HEALTHCARE	P	04/29/09	12/15/11
n	2000 SHS ANALOG DEVICES INC	P	08/23/11	12/15/11
o	100 SHS ANALOG DEVICES INC	P	08/23/11	12/15/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 211,208.		204,563.	6,645.
b 38,429.		40,644.	-2,215.
c 17,511.		16,292.	1,219.
d 16,947.		24,831.	-7,884.
e 18,011.		17,613.	398.
f 7,141.		7,985.	-844.
g 39,663.		43,915.	-4,252.
h 3,617.		3,992.	-375.
i 5,013.		7,286.	-2,273.
j 5,005.		7,286.	-2,281.
k 4,939.		7,286.	-2,347.
l 2,457.		3,643.	-1,186.
m 174,998.		130,835.	44,163.
n 68,023.		64,246.	3,777.
o 3,395.		3,212.	183.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			6,645.
b			-2,215.
c			1,219.
d			-7,884.
e			398.
f			-844.
g			-4,252.
h			-375.
i			-2,273.
j			-2,281.
k			-2,347.
l			-1,186.
m			44,163.
n			3,777.
o			183.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	2500 SHS COGNIZANT TECHNOLOGY SOLUTIO	P	08/12/11	12/15/11
b	200 SHS COGNIZANT TECHNOLOGY SOLUTIO	P	08/12/11	12/15/11
c	200 SHS COGNIZANT TECHNOLOGY SOLUTIO	P	08/12/11	12/15/11
d	300 SHS COGNIZANT TECHNOLOGY SOLUTIO	P	08/12/11	12/15/11
e	5500 SHS GOLDCORP INC NEW	D	06/13/05	12/15/11
f	11400 SHS ORACLE CORP	P	09/26/08	12/15/11
g	1900 SHS QEP RESOURCES INC	P	11/16/11	12/15/11
h	600 SHS DENA CO LTD TOKYO	P	07/22/11	12/16/11
i	400 SHS ROSS STORES INC	P	08/18/11	12/20/11
j	2200 SHS VISA INC	P	11/15/11	12/20/11
k	49400 SHS ORACLE CORP	P	09/29/08	12/21/11
l	900 SHS INVESCO LTD	P	06/21/11	12/29/11
m	100 SHS INVESCO LTD	P	06/21/11	12/29/11
n	100 SHS INVESCO LTD	P	06/21/11	12/29/11
o	400 SHS WADDELL & REED FINL INC CL A	P	06/21/11	12/29/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 165,874.		161,056.	4,818.
b 13,283.		12,884.	399.
c 13,287.		12,884.	403.
d 19,903.		19,327.	576.
e 249,801.		80,811.	168,990.
f 331,288.		234,473.	96,815.
g 54,445.		65,729.	-11,284.
h 17,474.		30,595.	-13,121.
i 19,077.		14,148.	4,929.
j 220,625.		197,739.	22,886.
k 1,255,714.		965,126.	290,588.
l 18,057.		21,031.	-2,974.
m 2,011.		2,337.	-326.
n 2,009.		2,337.	-328.
o 10,052.		14,572.	-4,520.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			4,818.
b			399.
c			403.
d			576.
e			168,990.
f			96,815.
g			-11,284.
h			-13,121.
i			4,929.
j			22,886.
k			290,588.
l			-2,974.
m			-326.
n			-328.
o			-4,520.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr.)	(d) Date sold (mo, day, yr.)
1a	100 SHS WADDELL & REED FINL INC CL A	P	06/21/11	12/29/11
b	300 SHS WADDELL & REED FINL INC CL A	P	06/21/11	12/29/11
c	LITIGATION SETTLEMENTS	P	VARIOUS	VARIOUS
d	CAPITAL GAINS DIVIDENDS			
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,513.		3,643.	-1,130.
b 7,546.		10,929.	-3,383.
c 5,805.			5,805.
d 343,794.			343,794.
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			-1,130.
b			-3,383.
c			5,805.
d			343,794.
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	37,595,740.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

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FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
FBSI 664-010014	683,008.	343,794.	339,214.
FBSI 664-010030	522,243.	0.	522,243.
FBSI 664-010049	687.	0.	687.
FOREIGN TAXES PAID	-141,031.	0.	-141,031.
NFS AWT-003018	2,551,350.	0.	2,551,350.
TOTAL TO FM 990-PF, PART I, LN 4	3,616,257.	343,794.	3,272,463.

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FORM 990-PF	LEGAL FEES	STATEMENT	2
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
SULLIVAN & WORCESTER	18,081.	0.		18,081.
PATTERSON LAW FIRM	19,005.	0.		19,005.
HINCKLEY LAW FIRM	14,873.	0.		14,873.
TO FM 990-PF, PG 1, LN 16A	51,959.	0.		51,959.

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FORM 990-PF	ACCOUNTING FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PRICewaterhouseCOOPERS,LLP	20,879.	0.		20,879.
TO FORM 990-PF, PG 1, LN 16B	20,879.	0.		20,879.

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FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT	724,881.	724,881.		0.
PROGRAM & GRANT ADMIN	892,803.	0.		892,803.
CONSULTANTS	89,012.	0.		89,012.
TO FORM 990-PF, PG 1, LN 16C	1,706,696.	724,881.		981,815.

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FORM 990-PF	TAXES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAXES	350,000.	0.		0.
MAINE R/E TAXES	2,741.	0.		2,741.
TO FORM 990-PF, PG 1, LN 18	352,741.	0.		2,741.

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FORM 990-PF	OTHER EXPENSES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
STATE FILING FEES	1,075.	0.		1,075.
REGISTERED AGENT FEE	587.	0.		587.
OFFICE EQUIP & MAINT				
SERVICES	13,998.	0.		13,998.
DUES	9,083.	0.		9,083.
INSURANCE	5,399.	0.		5,399.
TO FORM 990-PF, PG 1, LN 23	30,142.	0.		30,142.



FORM 990-PF	CORPORATE STOCK	STATEMENT	7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
PUBLICLY TRADED SECURITIES	233,793,199.	290,982,708.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	233,793,199.	290,982,708.	

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	8
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
EDWARD C. JOHNSON 3D 82 DEVONSHIRE STREET BOSTON, MA 02109	DIRECTOR 5.00	0.	0.	0.
ABIGAIL P. JOHNSON 82 DEVONSHIRE STREET BOSTON, MA 02109	DIRECTOR 5.00	0.	0.	0.
ELIZABETH L. JOHNSON 82 DEVONSHIRE STREET BOSTON, MA 02109	DIRECTOR 5.00	0.	0.	0.
EDWARD C. JOHNSON IV 82 DEVONSHIRE STREET BOSTON, MA 02109	DIRECTOR 5.00	0.	0.	0.
CHARLES L. NICKERSON * 11 KEEWAYDIN DRIVE SALEM, NH 03079	TREASURER 5.00	0.	0.	0.
MELANIE S. SOMMER * 11 KEEWAYDIN DRIVE SALEM, NH 03079	SECRETARY 5.00	0.	0.	0.
ANNE-MARIE SOULLIERE * 11 KEEWAYDIN DRIVE SALEM, NH 03079	PRESIDENT 5.00	0.	0.	0.
THOMAS J. EBERHARDT * 11 KEEWAYDIN DRIVE SALEM, NH 03079	ASST. TREASURER 5.00	0.	0.	0.

RICHARD G. WEIDMANN \*  
11 KEEWAYDIN DRIVE  
SALEM, NH 03079

ASST. SECRETARY  
5.00

0. 0. 0.

THOMAS B. CHILDS \*  
11 KEEWAYDIN DRIVE  
SALEM, NH 03079

ASST. TREASURER  
15.00

0. 0. 0.

\* SEE ATTACHED STATEMENT

0.00 0. 0. 0.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

0. 0. 0.

# EDWARD C. JOHNSON FUND

## SCHEDULE OF INVESTMENTS

*December 31, 2011*

<b>Number of shares</b>	<b><u>Marketable equity securities</u></b>	<b><u>Tax Cost</u></b>	<b><u>Value</u></b>
155,250	Advance Auto Parts Inc.	\$ 6,310,409	\$ 10,810,058
10,700	Aflac Inc.	397,448	462,882
46,070	Agnico Eagle Mines Ltd.	2,634,595	1,673,262
245,200	AIA Group Ltd.	787,894	765,599
125,207	Allscriptss Healthcare Solutions	1,584,057	2,371,421
23,000	Analog Devices Inc.	731,986	822,940
114,853	Anglogold Ashanti Ltd.	4,330,166	4,875,510
9,497	Anheuser Busch Inbev SA	500,076	579,222
15,213	Apple Inc.	5,362,915	6,161,265
310,907	Applied Materials Inc.	3,853,353	3,329,814
26,600	Autodesk Inc.	854,611	806,778
1,700	Autozone Inc.	567,426	552,449
4,300	Baker Hughes Inc.	262,909	209,152
273,490	Barrick Gold Corp.	10,574,996	12,375,422
17,700	Brookdale Senior Living Inc.	267,005	307,803
9,200	C R Bard Inc.	804,840	786,600
5,700	Carlsberg AS	401,211	401,989
29,000	Cerner Corp.	529,645	1,776,250
61,000	Chevron Corp.	4,807,924	6,490,400
31,000	Cisco Systems Inc.	808,188	560,480
3,700	CME Group Inc.	907,633	901,579
39,085	Cognizant Technology Solutions Corp.	2,316,424	2,513,556
8,458,572	Colt Telecom Group SA	6,947,280	11,987,903
7,600	Community Health Systems Inc.	128,229	132,620
132,336	Computer Task Group Inc.	1,030,171	1,863,291
15,300	Copa Holdings SA	907,475	897,651
432,304	Corning Inc.	8,094,101	5,611,306
8,400	Danaher Corp.	360,397	395,136
5,100	Danone	312,061	320,632
4,600	DENA Co. Ltd.	222,259	138,003
68,000	Detour Gold Corp.	1,577,625	1,683,000
370,000	Digital China Holdings Ltd.	359,562	573,584
158,151	Ebay Inc.	4,246,543	4,796,720
10,100	Electronic Arts Inc.	237,719	208,060
43,600	Emerson Electric Co.	1,934,228	2,031,324
87,400	Ensco International Plc	2,707,913	4,100,808
9,597	Expeditors International of Washington Inc.	398,143	393,093
14,500	Fedex Corp.	1,232,214	1,210,895
80,000	First Quantum Minerals Ltd.	1,698,428	1,556,392
14,011	Fiserv Inc.	758,969	823,006

# EDWARD C. JOHNSON FUND

## SCHEDULE OF INVESTMENTS

December 31, 2011

<b>Number of shares</b>	<b><u>Marketable equity securities, continued</u></b>	<b><u>Tax Cost</u></b>	<b><u>Value</u></b>
35,000	Freeport McMoran Copper & Gold	1,316,151	1,287,650
9,723	Gemalto NV	297,643	472,961
6,822	Gentex Corp.	202,061	201,863
18,400	Glaxosmithkline	705,548	839,592
219,695	Goldcorp Inc.	5,782,596	9,721,503
15,081	Google Inc.	8,283,984	9,740,818
99,555	Hasbro Inc.	3,064,271	3,174,809
14,100	HCA Holdings Inc.	298,892	310,623
44,200	Honeywell International Inc.	2,627,007	2,402,270
3,850	Ingersoll-Rand Plc	114,316	117,310
48,795	Intuit Inc.	2,293,887	2,566,129
11,600	Invesco Ltd.	295,568	233,044
10,463	Itron Inc.	382,159	374,262
23,900	Kakaku.Com. Inc.	878,015	876,318
12,297	Kenedix Inc.	1,894,770	1,599,337
445,925	Kinross Gold Corp.	8,119,963	5,083,545
13,673	Kinross Gold Corp Warrant Expires 09/17/2014	65,163	17,969
3,200	KLA-Tencor Corp.	113,044	154,400
5,500	Linear Technology Corp.	147,030	165,165
296,200	Marusan Securities	1,161,126	908,247
76,700	Marvell Technology Group Ltd.	969,603	1,062,295
22,768	Mastercard Inc.	6,356,530	8,488,366
54,310	Mckesson Corp.	4,466,870	4,231,292
47,100	Medco Health Solutions Inc.	2,913,323	2,632,890
94,300	Nabors Industries Ltd	1,944,443	1,635,162
48,600	Nestle SA	1,580,775	2,806,553
6,800	Neustar Inc.	218,541	232,356
185,000	New Gold Inc.	1,878,792	1,864,800
75,308	Newcrest Mining Ltd.	2,349,631	2,279,606
165,354	Newmont Mining Corp.	6,773,606	9,922,894
21,800	NII Holdings Inc. Cl B	599,352	464,340
10,106	NVE Corp.	450,905	561,186
14,444	Nxstage Medical Inc.	351,830	256,814
8,300	O Reilly Automotive Inc.	662,292	663,585
98,900	Oracle Corp.	2,199,434	2,536,785
3,000	Parker Hannifin Corp.	209,205	228,750
21,100	Patterson Uti Energy Inc.	293,619	421,578
26,300	Pmc-Sierra Inc.	150,458	144,913
12,500	Polycom Inc.	200,140	203,750

# EDWARD C. JOHNSON FUND

## SCHEDULE OF INVESTMENTS

December 31, 2011

Number of shares	<u>Marketable equity securities, continued</u>	<u>Tax Cost</u>	<u>Value</u>
34,200	Progressive Corp.	421,425	667,242
21,800	Qep Resources Inc.	704,583	638,740
17,900	Qualcomm Inc.	856,389	979,130
30,000	Randgold Res Ltd.	2,444,669	3,063,000
37,700	Reinsurance Group America Inc.	1,575,967	1,969,825
9,500	Republic Services Inc.	270,534	261,725
14,025	Rf Microdevices Inc.	100,352	75,735
12,000	Roper Industries Inc.	819,937	1,042,440
22,600	Ross Stores Inc.	799,364	1,074,178
120,492	Royal Dutch Shell Plc	8,515,296	9,158,597
1,424	Royal Dutch Shell Plc ADR	101,040	104,080
22,700	Safeway Inc.	400,935	477,608
5,700	Schlumberger Ltd.	437,234	389,367
165,483	Silver Standard Resources Inc.	3,211,133	2,286,975
87,564	Silver Wheaton Corp.	622,615	2,535,853
80,441	Starbucks Corp.	2,936,205	3,701,090
22,800	State Street Corp.	797,588	919,068
6,400	Synta Pharmaceuticals Corp.	31,202	29,888
18,300	Talisman Energy Inc.	295,050	233,325
26,900	Tenet Healthcare Corp.	112,218	137,997
29,987	Thermo Fisher Scientific Inc.	1,775,995	1,348,515
15,770	3M Co.	1,251,883	1,288,882
141,107	Time Warner Inc.	4,912,713	5,099,607
11,500	TJX Companies Inc.	327,749	742,325
1,900	Total S.A. Spon Adr	97,273	97,109
4,400	Tsutsumi Jewelry Co Ltd.	105,838	104,047
303,208	Ubi Soft Entertainment SA	4,237,544	2,029,082
22,244	Unit Corp.	1,260,095	1,032,122
19,700	Universal Health Services Inc.	765,044	765,542
95,900	Uranium One Inc.	455,044	201,390
17,950	Visa Inc.	1,567,737	1,822,464
3,600	Waddell & Reed Financial Inc.	160,297	89,172
162,680	Walt Disney Co.	5,180,817	6,100,500
171,329	Xerox Corp.	1,424,863	1,363,779
364,105	Yamana Gold Inc.	2,708,047	5,348,702
20,300	Zoltek Companies Inc.	237,110	154,686
<b>Total marketable equity securities</b>		<b>207,283,356</b>	<b>236,477,372</b>

**EDWARD C. JOHNSON FUND**  
**SCHEDULE OF INVESTMENTS**

*December 31, 2011*

<b>Number of</b>			<b><u>Tax Cost</u></b>	<b><u>Value</u></b>
<b><u>shares</u></b>	<b><u>Mutual funds</u></b>			
150,834	Fidelity Advisor New Insights Fund		1,781,733	3,010,645
160,072	Fidelity Contrafund		3,560,898	10,798,468
225,396	Fidelity Diversified International Fund		2,441,150	5,752,099
124,301	Fidelity Growth Company Fund		4,574,595	10,054,706
119,536	Fidelity International Small Cap Fund		3,016,367	2,094,274
286,129	Fidelity Japan Smaller Companies Fund		1,613,619	2,446,401
102,474	Fidelity OTC Portfolio		2,576,324	5,605,339
55,287	Fidelity Select Biotechnology		2,670,349	4,760,187
57,248	Fidelity Select Energy		1,217,368	2,824,611
35,491	Fidelity Select Energy Services		535,597	2,307,953
84,516	Fidelity Select Natural Gas		1,000,304	2,570,976
35,917	Fidelity Value Fund		1,521,539	2,279,677
	<b>Total mutual funds</b>		<b>26,509,843</b>	<b>54,505,336</b>
	<b>Total investments</b>		<b>233,793,199</b>	<b>\$ 290,982,708</b>

Edward C. Johnson Fund

E.I.N. 04-6108344

Attachment to 2011 Form 990-PF

Part VIII – List of Officers, Directors, Trustees and Foundation Managers

The Foundation has no employees. The Foundation is party to an administrative services agreement with Cooperative Philanthropic Services LLC, 11 Keewaydin Drive, Salem, NH 03079 which provides the Foundation with the necessary administrative services to conduct its activities. Total paid to Cooperative Philanthropic Services LLC in 2010 was \$892,803. Through these arrangements, Anne-Marie Soulliere, Charles L. Nickerson, Melanie S. Sommer, Richard G. Weidmann, Thomas J. Eberhardt and Thomas B. Childs are indirectly compensated for their services devoted to the Foundation.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**
- Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

**Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).**

Enter filer's identifying number, see instructions

Type or print  File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	EDWARD C. JOHNSON FUND	<input checked="" type="checkbox"/> 04-6108344
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	11 KEEWAYDIN DRIVE, NO. 100	<input type="checkbox"/>
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	SALEM, NH 03079	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

THOMAS J. EBERHARDT

- The books are in the care of **11 KEEWAYDIN DRIVE, SUITE 100 - SALEM, NH 03079**

Telephone No. **603-681-4441**FAX No. 

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2012.**5 For calendar year **2011**, or other tax year beginning , and ending .6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return☐ Change in accounting period

7 State in detail why you need the extension

**ADDITIONAL TIME IS REQUIRED IN ORDER TO PREPARE AND FILE A COMPLETE AND ACCURATE RETURN PENDING THE RECEIPT OF EXTERNAL INFORMATION.**

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	401,316.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	664,638.
c <b>Balance due.</b> Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

**Signature and Verification must be completed for Part II only.**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature **Marlene A. Limoli** Title **C.P.A.**Date **8/15/2012**

Form 8868 (Rev. 1-2012)