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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

2011

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011 or tax year beginning

, and ending

Name of foundation <b>ABBOT &amp; DOROTHY H. STEVENS FOUNDATION</b>		A Employer identification number <b>04-6107991</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>P.O. BOX 111</b>	Room/suite	B Telephone number <b>(978) 688-7211</b>
City or town, state, and ZIP code <b>NORTH ANDOVER, MA 01845</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply. <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ <b>20727639</b>	J Accounting method. <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> If the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		536765.	536765.		Statement 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		1018919.			
b Gross sales price for all assets on line 6a		6497649.			
7 Capital gain net income (from Part IV, line 2)			1018919.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		1555684.	1555684.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees Stmt 2		7275.	0.		0.
c Other professional fees Stmt 3		69131.	69131.		0.
17 Interest					
18 Taxes Stmt 4		27437.	14437.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses Stmt 5		103350.	0.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		207193.	83568.		0.
25 Contributions, gifts, grants paid		1051400.			1051400.
26 Total expenses and disbursements. Add lines 24 and 25		1258593.	83568.		1051400.
27 Subtract line 26 from line 12		297091.			
a Excess of revenue over expenses and disbursements			1472116.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				N/A	

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**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing	8464.	16789.	16789.
	2 Savings and temporary cash investments	41180.	206365.	206365.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations Stmt 6	499536.	499536.	641210.
	b Investments - corporate stock Stmt 7	14676387.	15435829.	16526376.
	c Investments - corporate bonds Stmt 8	3873382.	3237521.	3336899.
11 Investments - land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ... ▶				
15 Other assets (describe ▶)				
<b>16 Total assets (to be completed by all filers)</b>	<b>19098949.</b>	<b>19396040.</b>	<b>20727639.</b>	
<b>Liabilities</b>	17 Accounts payable and accrued expenses ..			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
<b>23 Total liabilities (add lines 17 through 22)</b>	<b>0.</b>	<b>0.</b>		
<b>Net Assets or Fund Balances</b>	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	19098949.	19396040.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
<b>30 Total net assets or fund balances</b>	<b>19098949.</b>	<b>19396040.</b>		
<b>31 Total liabilities and net assets/fund balances</b>	<b>19098949.</b>	<b>19396040.</b>		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	19098949.
2 Enter amount from Part I, line 27a	2	297091.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	19396040.
5 Decreases not included in line 2 (itemize) ▶	5	0.
<b>6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30</b>	<b>6</b>	<b>19396040.</b>

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b See Attached Statements				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 6497649.		5478730.	1018919.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			1018919.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	1018919.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	971750.	19867180.	.048912
2009	1145450.	18013856.	.063587
2008	1471565.	21246902.	.069260
2007	1318638.	22930736.	.057505
2006	1595076.	21838302.	.073040

2 Total of line 1, column (d)	2	.312304
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.062461
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	20940084.
5 Multiply line 4 by line 3	5	1307939.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	14721.
7 Add lines 5 and 6	7	1322660.
8 Enter qualifying distributions from Part XII, line 4	8	1051400.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	29442.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		3	29442.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	29442.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	10412.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	19030.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	29442.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2012 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> MA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <b>▶ N/A</b>				
14	The books are in care of <b>▶ JOSHUA L. MINER</b> Telephone no. <b>▶ 978-688-7211</b> Located at <b>▶ P.O. BOX 111, NORTH ANDOVER, MA</b> ZIP+4 <b>▶ 01845</b>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <b>▶</b> and enter the amount of tax-exempt interest received or accrued during the year <b>▶ 15</b> <b>N/A</b>			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country <b>▶</b>	16	Yes	No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <b>N/A</b> <b>▶</b> <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <b>▶</b> _____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) <b>N/A</b>	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <b>▶</b> _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.) <b>N/A</b>	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOSHUA L MINER 356 MAIN STREET BOXFORD, MA 01921	TRUSTEE 1.00	0.	0.	0.
DEBORAH D PUTNAM 32 ARBOROUGH ROAD ROSLINDALE, MA 02131	TRUSTEE 1.00	0.	0.	0.
CHRISTOPHER W ROGERS 46 OCEAN STREET NAHANT, MA 01908	TRUSTEE 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
BROWN BROTHERS HARRIMAN 40 WATER STREET, BOSTON, MA 02110	INVESTMENT ADVICE	69061.

**Total number of others receiving over \$50,000 for professional services**

<b>Part IX-A</b>	<b>Summary of Direct Charitable Activities</b>
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List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

1	N/A	
2		
3		
4		

## Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

1	N/A	
2		
	All other program-related investments. See instructions.	
3		

**Total.** Add lines 1 through 3

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	20953631.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	305338.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	
<b>d</b>	Total (add lines 1a, b, and c)	<b>1d</b>	21258969.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	21258969.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	318885.
<b>5</b>	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	20940084.
<b>6</b>	Minimum investment return. Enter 5% of line 5	<b>6</b>	1047004.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	1047004.
<b>2a</b>	Tax on investment income for 2011 from Part VI, line 5	<b>2a</b>	29442.
<b>b</b>	Income tax for 2011. (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	29442.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	1017562.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0.
<b>5</b>	Add lines 3 and 4	<b>5</b>	1017562.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	1017562.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	1051400.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	1051400.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	0.
<b>6</b>	Adjusted qualifying distributions. Subtract line 5 from line 4	<b>6</b>	1051400.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
<b>1</b> Distributable amount for 2011 from Part XI, line 7				1017562.
<b>2</b> Undistributed income, if any, as of the end of 2011				
<b>a</b> Enter amount for 2010 only			0.	
<b>b</b> Total for prior years		0.		
<b>3</b> Excess distributions carryover, if any, to 2011				
<b>a</b> From 2006	527573.			
<b>b</b> From 2007	276297.			
<b>c</b> From 2008	427890.			
<b>d</b> From 2009	253568.			
<b>e</b> From 2010				
<b>f</b> Total of lines 3a through e	1485328.			
<b>4</b> Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 1051400.				
<b>a</b> Applied to 2010, but not more than line 2a			0.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2011 distributable amount				1017562.
<b>e</b> Remaining amount distributed out of corpus	33838.			
<b>5</b> Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	1519166.			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instr.			0.	
<b>f</b> Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				0.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
<b>8</b> Excess distributions carryover from 2006 not applied on line 5 or line 7	527573.			
<b>9</b> Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	991593.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2007	276297.			
<b>b</b> Excess from 2008	427890.			
<b>c</b> Excess from 2009	253568.			
<b>d</b> Excess from 2010				
<b>e</b> Excess from 2011	33838.			

N/A

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling

**b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(1)(3) or ☐ 4942(1)(5)

**2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

**b 85% of line 2a**

**c** Qualifying distributions from Part XII,  
line 4 for each year listed

**d** Amounts included in line 2c not used directly for active conduct of exempt activities

**e Qualifying distributions made directly for active conduct of exempt activities.**

**Subtract line 2d from line 2c**

**3** Complete 3a, b, or c for the alternative test relied upon.

a "Assets" alternative test - enter:  
(1) Value of all assets

**(2) Value of assets qualifying under section 4942(j)(3)(B)(i)**

**b "Endowment" alternative test** - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

**c "Support" alternative test - enter**

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(iii)

**(3) Largest amount of support from an exempt organization**

**(4) Gross investment income**

**Part XV** **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

### 1 Information Regarding Foundation Managers:

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

**JOSHUA L MINER, 978-688-7211**

P.O. BOX 111, NORTH ANDOVER, MA 01845

b The form in which applications should be submitted and information and materials they should include

SEE ATTACHED STATEMENT

**c Any submission deadlines:**

SEE ATTACHED STATEMENT

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
PLEASE SEE ATTACHED SCHEDULE	UNRELATED ORGANIZATION	501(C)(3) CHARITABLE ORGANIZ.	CHARITABLE PURPOSE	1051400.
<b>Total</b>			<b>3a</b>	1051400.
<b>b Approved for future payment</b>				
PLEASE SEE ATTACHED SCHEDULE	UNRELATED ORGANIZATION	501(C)(3) CHARITABLE ORGANIZ.	CHARITABLE PURPOSE	503850.
<b>Total</b>			<b>3b</b>	503850.





## ABBOT &amp; DOROTHY H. STEVENS FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BBH BROAD MARKET FIXED INCOME FUND	P	Various	04/01/11
b	BBH BROAD MARKET FIXED INCOME FUND	P	Various	06/07/11
c	BBH BROAD MARKET FIXED INCOME FUND	P	11/16/10	06/24/11
d	BBH BROAD MARKET FIXED INCOME FUND	P	11/16/10	06/27/11
e	BBH BROAD MARKET FIXED INCOME FUND	P	11/16/10	10/14/11
f	VISA INC	P	Various	11/15/11
g	WALGREEN CO	P	09/02/10	08/11/11
h	AT&T INC 5.875% 2.1.12 BOND	P	01/16/08	11/28/11
i	BBH INTERNATIONAL EQUITY FUND	P	02/03/04	05/09/11
j	BBH INTERNATIONAL EQUITY FUND	P	02/03/04	06/07/11
k	BBH INTERNATIONAL EQUITY FUND	P	Various	12/05/11
l	CISCO SYSTEMS INC 5.25% 2.22.11 BOND	P	11/06/08	02/22/11
m	COCA COLA CO	P	01/10/05	04/15/11
n	COCA COLA CO	P	Various	09/19/11
o	COCA COLA CO	P	09/15/08	10/17/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 170000.		170817.	-817.
b 350000.		350354.	-354.
c 150000.		150144.	-144.
d 135000.		135130.	-130.
e 115000.		116225.	-1225.
f 94886.		77582.	17304.
g 65214.		53861.	11353.
h 252478.		253845.	-1367.
i 50000.		37374.	12626.
j 25000.		18687.	6313.
k 820000.		672480.	147520.
l 100000.		100150.	-150.
m 61278.		37389.	23889.
n 126648.		77523.	49125.
o 68566.		56487.	12079.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-817.
b			-354.
c			-144.
d			-130.
e			-1225.
f			17304.
g			11353.
h			-1367.
i			12626.
j			6313.
k			147520.
l			-150.
m			23889.
n			49125.
o			12079.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3

ABBOT &amp; DOROTHY H. STEVENS FOUNDATION

04-6107991

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**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	COCA COLA CO	P	Various	10/18/11
b	COSTCO WHOLESALE CORP	P	04/19/07	02/04/11
c	COSTCO WHOLESALE CORP	P	04/19/07	02/15/11
d	COSTCO WHOLESALE CORP	P	04/19/07	04/08/11
e	COSTCO WHOLESALE CORP	P	04/19/07	04/15/11
f	COSTCO WHOLESALE CORP	P	Various	05/10/11
g	COSTCO WHOLESALE CORP	P	Various	10/27/11
h	COSTCO WHOLESALE CORP	P	05/12/09	11/30/11
i	DENTSPLY INTL INC	P	10/29/08	06/07/11
j	EMERSON ELECTRIC CO 5.75% 11.1.11 BOND	P	03/24/09	11/01/11
k	EOG RESOURCES INC	P	10/20/09	03/24/11
l	EOG RESOURCES INC	P	10/20/09	12/22/11
m	LIBERTY GLOBAL INC	P	07/10/07	11/28/11
n	LIBERTY GLOBAL INC	P	07/10/07	11/29/11
o	LIBERTY GLOBAL INC	P	07/10/07	11/30/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 92216.		70798.	21418.
b 103484.		76944.	26540.
c 59462.		43968.	15494.
d 47196.		33690.	13506.
e 60450.		43254.	17196.
f 90695.		61095.	29600.
g 92111.		59229.	32882.
h 103775.		56794.	46981.
i 33547.		26912.	6635.
j 250000.		255651.	-5651.
k 80046.		65785.	14261.
l 49160.		46990.	2170.
m 31371.		34728.	-3357.
n 58180.		65116.	-6936.
o 58642.		65116.	-6474.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			21418.
b			26540.
c			15494.
d			13506.
e			17196.
f			29600.
g			32882.
h			46981.
i			6635.
j			-5651.
k			14261.
l			2170.
m			-3357.
n			-6936.
o			-6474.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8 }

3



## ABBOT &amp; DOROTHY H. STEVENS FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	LIBERTY GLOBAL INC	P	07/10/07	12/05/11
b	LIBERTY GLOBAL INC	P	07/10/07	12/06/11
c	LIBERTY MEDIA CORP	P	Various	06/07/11
d	MORGAN STANLEY 5.05% 1.21.11 BOND	P	11/04/05	01/21/11
e	NESTLE	P	04/20/04	06/01/11
f	NOVARTIS	P	03/19/07	05/31/11
g	OCCIDENTAL PETROLEUM CORP	P	03/19/07	02/22/11
h	OCCIDENTAL PETROLEUM CORP	P	03/19/07	02/23/11
i	ORACLE CORP 5% 1.15.11 BOND	P	04/03/08	01/15/11
j	PROGRESSIVE CORP	P	04/21/08	06/07/11
k	UNITED TECHNOLOGIES CORP 6.1% 5.15.12 BOND	P	08/10/09	12/16/11
l	VISA INC	P	12/07/10	12/19/11
m	WALGREEN CO	P	06/27/08	01/03/11
n	WALGREEN CO	P	06/27/08	02/04/11
o	WALGREEN CO	P	06/27/08	02/11/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 37740.		40893.	-3153.
b 113538.		124067.	-10529.
c 21000.		25293.	-4293.
d 250000.		249921.	79.
e 110056.		43017.	67039.
f 57591.		50913.	6678.
g 41852.		18786.	23066.
h 113621.		50112.	63509.
i 125000.		125050.	-50.
j 26978.		23221.	3757.
k 230454.		236593.	-6139.
l 59042.		46654.	12388.
m 117926.		99298.	18628.
n 63436.		49649.	13787.
o 59199.		46339.	12860.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-3153.
b			-10529.
c			-4293.
d			79.
e			67039.
f			6678.
g			23066.
h			63509.
i			-50.
j			3757.
k			-6139.
l			12388.
m			18628.
n			13787.
o			12860.

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8 }

2

3

ABBOT &amp; DOROTHY H. STEVENS FOUNDATION

04-6107991

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**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	WALGREEN CO	P	06/27/08	07/20/11
b	WALGREEN CO	P	06/23/10	08/11/11
c	WALMART STORES INC	P	04/25/06	06/07/11
d	WALMART STORES INC BOND	P	10/04/04	02/15/11
e	WASTE MANAGEMENT INC	P	Various	06/07/11
f	WW GRAINGER INC	P	02/15/08	01/05/11
g	WW GRAINGER INC	P	02/15/08	03/30/11
h	WW GRAINGER INC	P	Various	03/31/11
i	WW GRAINGER INC	P	Various	04/18/11
j	WW GRAINGER INC	P	09/09/08	04/19/11
k	CLASS ACTION M LYNCH BOND	P	Various	01/12/11
l	Capital Gains Dividends			
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 79680.		62889.	16791.
b 130429.		107192.	23237.
c 43245.		36680.	6565.
d 500000.		499964.	36.
e 41293.		38841.	2452.
f 32169.		17404.	14765.
g 52115.		28356.	23759.
h 57989.		32958.	25031.
i 114407.		67606.	46801.
j 71087.		42916.	28171.
k 1176.			1176.
l 152221.			152221.
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			16791.
b			23237.
c			6565.
d			36.
e			2452.
f			14765.
g			23759.
h			25031.
i			46801.
j			28171.
k			1176.
l			152221.
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	1018919.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Form 990-PF	Dividends and Interest from Securities	Statement	1
Source	Gross Amount	Capital Gains Dividends	Column (A) Amount
DIVIDENDS	463303.	152221.	311082.
INTEREST	235476.	0.	235476.
LESS DIVIDENDS RECEIVED IN JANUARY 2012	-9793.	0.	-9793.
Total to Fm 990-PF, Part I, ln 4	688986.	152221.	536765.

Form 990-PF	Accounting Fees			Statement	2
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
CARL B. BINDMAN, CPA, PC - AUDIT AND TAX	7275.	0.			0.
To Form 990-PF, Pg 1, ln 16b	7275.	0.			0.

Form 990-PF	Other Professional Fees			Statement	3
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
INVESTMENT FEES	69131.	69131.			0.
To Form 990-PF, Pg 1, ln 16c	69131.	69131.			0.

Form 990-PF	Taxes			Statement	4
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
FEDERAL EXCISE TAXES	12750.	0.		0.	
FOREIGN TAXES	14437.	14437.		0.	
STATE FILING FEE	250.	0.		0.	
To Form 990-PF, Pg 1, ln 18	27437.	14437.		0.	

Form 990-PF	Other Expenses			Statement	5
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
OFFICE EXPENSE REIMBURSEMENT TO AFFILIATED 501(C)(3)	103350.	0.		0.	
To Form 990-PF, Pg 1, ln 23	103350.	0.		0.	

Form 990-PF	U.S. and State/City Government Obligations			Statement	6
Description	U.S. Gov't	Other Gov't	Book Value	Fair Market Value	
US TREASURY OBLIGATIONS	X		499536.	641210.	
Total U.S. Government Obligations			499536.	641210.	
Total State and Municipal Government Obligations					
Total to Form 990-PF, Part II, line 10a			499536.	641210.	

Form 990-PF	Corporate Stock	Statement	7
Description	Book Value	Fair Market Value	
CORPORATE STOCK	15435829.	16526376.	
Total to Form 990-PF, Part II, line 10b	15435829.	16526376.	

Form 990-PF	Corporate Bonds	Statement	8
Description	Book Value	Fair Market Value	
CORPORATE BONDS	3237521.	3336899.	
Total to Form 990-PF, Part II, line 10c	3237521.	3336899.	

**Application for Extension of Time To File an  
Exempt Organization Return**

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Electronic filing (e-file)** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on **e-file for Charities & Nonprofits**.

**Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).**

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.*

<b>Type or print</b>  <small>File by the due date for filing your return. See instructions.</small>	Name of exempt organization or other filer, see instructions. <b>ABBOT &amp; DOROTHY H. STEVENS FOUNDATION</b>	Employer identification number (EIN) or <input checked="" type="checkbox"/> <b>04-6107991</b>
	Number, street, and room or suite no. If a P.O. box, see instructions. <b>P.O. BOX 111</b>	Social security number (SSN) <input type="checkbox"/>
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. <b>NORTH ANDOVER, MA 01845</b>	

Enter the Return code for the return that this application is for (file a separate application for each return)

**0 4**

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**JOSHUA L. MINER**

- The books are in the care of ► **P.O. BOX 111 - NORTH ANDOVER, MA 01845**

Telephone No. ► **978-688-7211**

FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **August 15, 2012**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:  
► ☒ calendar year **2011** or  
► ☐ tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_.

- 2** If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return  
☐ Change in accounting period

<b>3a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>3a</b>	\$	<b>29442.</b>
<b>b</b> If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	<b>3b</b>	\$	<b>10412.</b>
<b>c</b> <b>Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>3c</b>	\$	<b>19030.</b>

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.**LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.**Form **8868** (Rev. 1-2012)

*Attachment to 2011 Form 990-PF*

Abbot and Dorothy H. Stevens Foundation, 04-610799/

Post Office Box 111 - North Andover, MA 01845  
Telephone 978-688-7211

**Mission statement:** *The Stevens Foundations are committed to improving the quality of life for residents of Lawrence, Massachusetts and surrounding communities. By initiating and participating in collaboration with community partners, the Foundations strive to understand the needs of the community and to be proactive contributors. Efforts are directed toward supporting and promoting education, housing, healthcare, environment, historical preservation and the arts; and providing emergency assistance.*

**Eligibility Requirements:**

1. Any organization applying to The Stevens Foundations must be a Massachusetts charitable corporation. The Trustees focus their giving to organizations serving the residents of the Greater Lawrence/Merrimack Valley area.
2. An organization must have been determined tax-exempt under Section 501 (c) (3) of the Internal Revenue Code and received a permanent or advance I.R.S. Determination Letter that describes the agency as a publicly supported charity as defined in Sections 170 (b) (1) (A) (6) or (a) (6).
3. No grants to individuals.
4. Applications will be considered for experimental and demonstration projects, program expansion, evaluation, renovations, new construction projects and capital funding.
5. The Trustees will not consider a proposal from an organization previously funded until a full report of the expenditures of the previous grant has been submitted.
6. The Trustees will not consider more than one application from an agency in the same calendar year, except for summer youth programs.
7. No grants will be made to national organizations or "annual giving campaigns".
8. No grants will be made to State or Federal agencies.
9. Grants may be made to a 501 (c) (3) organization for the benefit of another organization awaiting its own tax-exempt status.

*Attachment to 2011 Form 990-PF*

**Abbot and Dorothy H. Stevens Foundation, 01-6107991**

### **Application Procedures**

- 1. One complete written proposal should be submitted to the Foundations' Office attention: Joshua L. Miner, Executive Director. It will be considered by the appropriate Stevens Foundation automatically. In addition to the mailed "hard copy" of the proposal, the proposal narrative, organizational budget and budget for the project for which you are seeking funding, should be e-mailed to: [grantprocess@stevensfoundation.com](mailto:grantprocess@stevensfoundation.com). No personal interviews will be entertained unless requested by the Trustees.**
- 2. The Associated Grant Makers of Massachusetts' Common Proposal format is accepted and welcomed by the Trustees.**
- 3. The body of the proposal should be no more than five pages plus appendices. A one-page cover letter, summarizing the proposal and with a request for a specific grant amount should also be included.**
- 4. The proposal will not be considered if the following is missing and has not been previously supplied:**
  - a) Copy of I.R.S. Determination Letter**
  - b) Proof of Incorporation in Massachusetts**
  - c) Names of Officers, Directors or Trustees of the organization**
- 5. A complete proposal should include:**
  - a. Most recent annual financial statement (preferably audited)**
  - b. Institutional Income & Expense budget for current fiscal year**
  - c. Detailed program budget for which support is being requested**
  - d. Starting & completion dates of proposed program and planned cash flow.**
- 6. If an organization's proposal is complete and fits within the guidelines of The Stevens Foundations, the Director or other officer of that organization may be contacted to provide further details or information. Please include the name, address and telephone number of whom you wish contacted.**
- 7. The Trustees of The Stevens Foundations generally meet monthly, with the exceptions of July and August. While awaiting a decision from The Stevens Foundations, applicants are encouraged to seek other sources of funding.**



ABBOT & DOROTHY H. STEVENS FOUNDATION, 04-6107991			
ATTACHMENT TO 2011 FORM 990-PF, PART XV, 3B			
GRANTS APPROVED FOR FUTURE PAYMENT			
AS OF DECEMBER 31, 2011			
	YEAR	TOTAL	PAYABLE
ORGANIZATION	MADE	PLEDGE	12/31/11
Christ Church Andover	2010	\$ 50,000	\$ 37,500
Merrimack Valley YMCA	2011	375,000	375,000
Project Adventure	2011	21,000	21,000
American Textile History Museum	2011	50,000	50,000
Greater Lawrence Community Boating Program	2011	30,000	15,000
Associated Grant Makers	2011	5,350	5,350
		\$ 531,350	\$ 503,850
NOTE: ALL GRANTS ARE PAID TO UNRELATED 501C(3) ORGANIZATIONS. THE			
ADDRESSES OF THE RECIPIENTS AND CHARITABLE PURPOSE OF THE GRANTS			
ARE ON FILE AND AVAILABLE UPON REQUEST.			

Abbot and Dorothy H Stevens Foundation, 04-6107991				
Attachment to 2011 Form 990-PF				
Grant Payments				
2/2/2011	Associated Grant Makers	55 Court St., Ste 520, Boston, MA 02108	2011 dues	\$ 5,350
2/2/2011	Gr Lawrence Family Health Ctr.	34 Haverhill Street, Lawrence MA 01841	EMR migration project-10/10 pledge in full	35,000
3/3/2011	Handel & Haydn Society	300 Massachusetts Ave., Boston, MA 02115	educ.outreach Lawrence	5,000
3/4/2011	Family Service	430 North Canal Street, Lawrence MA 01840	gala honoring Kay Frishman	2,500
3/4/2011	Boston Children's Museum	308 Congress Street, Boston, MA 02210	CWR discretionary	10,000
3/31/2011	Treble Chorus	492 Sutton Stree, North Andover, MA 01845	December holiday concert	1,000
3/31/2011	Commonwealth Museum, Friends of	220 Morrissey Blvd, Boston, MA 02125	busing for Lawrence schoolchildren	2,000
3/31/2011	Boston Conservatory, The	6 The Fenway, Boston, MA 02115	Factory Girls to Lowell HS	3,500
3/31/2011	Team Coordinating Agency	76 Winter Steet, Haverhill, MA 01830	Nutrition program for HIV + in Haverhill area	5,000
3/31/2011	Ballet Theatre of Boston (Jose Mateo)	400 Harvard St., Cambridge, MA 02138	Lawrence outreach	5,000
3/31/2011	New England Homes for the Deaf	154-160 Water St., Danvers, MA 01923	space redefinition	8,000
3/31/2011	Boston Symphony Orchestra	Symphony Hall, Boston, MA 02115	outreach Lawrence	10,000
3/31/2011	Catholic Schools' Foundation	260 Franklin St., ste. 630, Boston, MA 02110	Lawrence kids to Lawrence Catholic Schls	15,000
3/31/2011	Endicott College	376 Hale St., Beverly, MA 01915	Keys to Degrees	15,000
3/31/2011	Essex County Greenbelt Association	82 Eastern Ave., Essex MA 01929	land conservation local	15,000
3/31/2011	Lowell Community Health Center	585 Merrimack St., Lowell, MA 01854	capital for expansion	15,000
3/31/2011	Pingree School	537 Highland St., South Hamilton, MA 01982	Prep @ Pingree Lawrence kids	15,000
3/31/2011	Appalachian Mountain Club	5 Joy Street, Boston, MA 02108	Youth Opportunities Law/Lowl	25,000
3/31/2011	New Profit (fiscal for Teach for America)	60 Canal Street 3rd Fl., Boston, MA 02114	place teachers in Lawrence schools	25,000
3/31/2011	Massachusetts Audubon Society	208 South Great Road, Lincoln, MA 01773	Lawrence programming	25,000
3/31/2011	Chrnt Church Andover	25 Central Street, Andover, MA 01810	over 4 yrs(10/2010 vote)11,12,13,14	25,000
3/31/2011	ECCF Gr. Lawrence Summer Fund	Essex County Comm Found 175 Andover St. Ste 101, Danvers,	summer 2011	45,000
3/31/2011	Phillips Academy	180 Main Street, Andover, MA 01810	PALS/ABL program support	50,000
3/31/2011	Lawrence General Hospital	P O. Box 189, Lawrence, MA 01842	Pediatric center renovation	50,000
3/31/2011	American Textile History Museum	491 Dutton Street, Lowell, MA 01854	last on 5/2007.300K (09,10,11 payment)	50,000
3/31/2011	Lawrence Community Works	168 Newbury Street, Lawrence, MA 01841	operating support	55,000
4/26/2011	United Way of MA Bay & MV	59 Lowes Way, Ste 203, Lowell, MA 01851	Summer Experiences Lowell 2011	5,000
6/24/2011	Dana Farber Cancer Institute	10 Brookline Place West, 6th fl., Brookline, MA 02245	School Liaison Program Gr. Law/Merr Valley	5,000
6/24/2011	New England Aquarium	Central Wharf, Boston, MA 02110	Free to Learn prog Lawrence & Lowell	6,000
6/24/2011	Boston Children's Museum	308 Congress St., Boston, MA 02210	underwrite admissions Lawrence/Lowell	10,000
6/24/2011	Project Bread	145 Border St., East Boston, MA 02128	Greater Lawrence Emergency Food Network	10,000
6/24/2011	Fisher College	118 Beacon Street, Boston, MA 02116	match voted 12/10 in full-scholarships	10,000
6/24/2011	Museum of Science	Science Park, Boston, MA 02114	outreach & reduced admissions Lawrence & Lo	10,000
6/24/2011	Boston University School of Management	595 Commonwealth Avenue, Boston, MA 02215	Institute for Non-Profit Management	15,000
6/24/2011	Geiger, Jeanne, Cnsis Center	2 Harris Street, Newburyport, MA 01950	COVE program support	15,000
6/24/2011	Phillips Academy - Addison Gallery	Phillips Academy, Andover, MA 01810	educational outreach Lawrence	20,000
6/24/2011	YWCA of Greater Lawrence	38 Lawrence Street, Lawrence, MA 01840	capital for window replacement	25,000
6/24/2011	Essex Art Center	56 Island Street, Lawrence MA 01840	operating support	25,000
6/24/2011	Family Service	program support(mentoring, Stand & Deliver, Samaritans)	430 North Canal St., Lawrence, MA 01840	40,000
6/24/2011	Community Group, The	190 Hampshire Street, Lawrence, MA 01840	Promise Neighborhood planning grant(12/10)	50,000
7/6/2011	Waypoint Adventure, Inc	66 Arlington Street, Newton MA 02458	Programming at Fidelity House in Lawrence	4,000
7/28/2011	Associated Grant Makers - Summer Fund	55 Court St. Suite 520, Boston, MA 02108	Summer Fund support	500
9/21/2011	Jumpstart for Young Children	NE Region, 308 Congress St. 6th Fl., Boston, MA 02210	Lawrence and Lowell initiative	7,500
9/21/2011	After School Partners	342A Boylston St., Newton, MA 02459	programming at Esperanza & YWCA Lawr	10,000
9/21/2011	Merrimack Valley YMCA-Lawrence Branch	101 Amesbury St., 4th fl., Lawrence MA 01840	Teen Achievers program	15,000
9/21/2011	Bread & Roses	58 Newbury Street, Lawrence MA 01840	operating support	25,000
9/21/2011	Notre Dame High School	207 Hampshire St., Lawrence MA 01841	Corporate Work Study Program	50,000
10/19/2011	Boston Children's Museum	308 Congress Street, Boston, MA 02210	CWR Discretionary "Boston Stones"	1,500
12/10/2011	Boxford Trails Assoc/Open Land Trust	Post Office box 95, Boxford, MA 01921	match JL Miner	200
12/10/2011	Pike School	34 Sunset Rock Road, Andover, MA 01810	match JL Miner	250
12/10/2011	American Textile History Museum	491 Dutton St., Lowell MA 01854	match JL Miner	500
12/10/2011	ECCF Gr. Lawrence Summer Fund	175 Andover St., Suite 101, Danvers, MA 01923	match JL Miner	500
12/10/2011	Governor's Academy	One Elm Street, Byfield, MA 01922	match JL Miner	500
12/10/2011	Outward Bound USA	100 Myserty Point Rd., Garrison NY 10524	match JL Miner	500
12/13/2011	Boxford Trails Assoc/Open Land Trust	Post Office box 95, Boxford, MA 01922	discretionary/JLM	1,000
12/13/2011	ECCF Peggy Corbett Fund	175 Andover St., Suite 101, Danvers, MA 01923	discretionary/JLM	1,000
12/13/2011	ECCF Gr. Lawrence Summer Fund	175 Andover St., Suite 101, Danvers, MA 01923	discretionary/JLM	2,000
12/13/2011	Essex Art Center	56 Island Street, Lawrence MA 01840	discretionary/JLM	2,000
12/13/2011	Groundwork Lawrence	60 Island Street, Lawrence, MA 01840	discretionary/JLM	2,000
12/13/2011	Strikers Monument-Lawrence Community Works	158 Newbury Street, Lawrence MA 01840	discretionary/JLM	4,700
12/13/2011	Lawrence History Center	6 Essex Street, Lawrence, MA 01840	operating support	40,000
12/19/2011	Friends of Pleasant Bay	PO Box 1243, Harwich, MA 02645	CWR match	100
12/19/2011	Pike School	34 Sunset Rock Road, Andover, MA 01810	CWR match	100
12/19/2011	WBUR	PO Box 9235, Chelsea, MA 02150-9953	CWR match	100
12/19/2011	WGBH	125 Western Ave, Boston, MA 02134	CWR match	100
12/19/2011	Alzheimer's Assoc	311 Arsenal St., Watertown, MA 02472	CWR match	100
12/19/2011	Saengerfest Concert Chorus	24 Dunklee Street, Newton, MA 02461	CWR match	100

Abbot and Dorothy H. Stevens Foundation, 04-6107991				
Attachment to 2011 Form 990-PF				
Grant Payments				
12/19/2011	Chatham Yacht Club	PO Box 531, North Chatham, MA 02650	CWR match	100
12/19/2011	American Cancer Society	30 Speen Street, Framingham, MA 01701	CWR match	100
12/19/2011	English Speaking Union	144 East 39th Street, NY, NY 10016	CWR match	100
12/19/2011	British Schools & Universities	575 Madison Ave., Ste 1006, NY, NY 10022-2511	CWR match	250
12/19/2011	Chatham Conservation Foundation	104 Crowell Road, Chatham, MA 02633	CWR match	250
12/19/2011	Tabor Academy	66 Spring St., Marion, MA 02738	CWR match	250
12/19/2011	Trinity Church	206 Clarendon Street, Boston, MA 02116-3722	CWR match	250
12/19/2011	Bowdoin College	4100 College Station, Brunswick, ME 04011-8432	CWR match	500
12/19/2011	Nahant Village Church	PO Box 77, Nahant, MA 01908	CWR match	1,000
12/19/2011	Boston Children's Museum	300 Congress Street	CWR match	1,000
12/19/2011	American Textile History Museum	492 Dutton St., Lowell MA 01854	CWR match	1,000
12/20/2011	Challenge Unlimited	450 Lowell Street, Andover, MA 01810	roof replacement projects	2,500
12/20/2011	Family to Family	14 Beacon St., Suite 22, Boston, MA 02108	Merrimack Valley families support	5,000
12/20/2011	Families First	99 Bishop Allen Drive, Cambridge MA 02139	parenting educ -MV homeless families	5,000
12/20/2011	Northeast Independent Living Program	20 Ballard Road, Lawrence, MA 01843	exterior signage replacement	7,000
12/20/2011	Cambridge College	1000 Mass. Ave., Cambridge MA 02138	Ladder to Language-Lawrence campus	10,000
12/20/2011	Notre Dame High School	207 Hampshire St., Lawrence MA 01841	capital campaign close	10,000
12/20/2011	South Church	41 Central St., Andover, MA 01810	capital repairs	10,000
12/20/2011	Groundwork Lawrence	60 Island Street, Lawrence, MA 01840	strategic planning process	30,000
12/22/2011	Haverhill Public Library	99 Main Street, Haverhill, MA 01830	Peek-A-Book kiosk Children's Dept	2,000
12/22/2011	Boys & Girls Club of Greater Haverhill	55 Emerson Street, Haverhill, MA 01830	afterschool program -Project Learn	4,000
12/22/2011	Greater Lawrence Community Boating	PO Box 955, Lawrence, MA 01842	operating support-15k(30k over 2) due in 2012	15,000
12/28/2011	Andover Committee for a Better Chance	PO Box 212, Andover, MA 01810	CWR Discretionary	3,500
12/28/2011	Trinity Church	206 Clarendon St, Boston MA 02116-3722	CWR Discretionary	10,000
			Total grants paid during 2011	\$ 1,051,400