Form **990-PF**

Department of the Treasury
Internal Revenue Service

Change of Accounting Period

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2011

For calendar year 2011 or tax year beginning AUG 1, 2011 **, and ending** DEC 31, 2011

Name of foundation
John C. and Eunice B. Morrison
Charitable Foundation

A Employer identification number
04-3310863

Number and street (or P O box number if mail is not delivered to street address): % P.Sorgi, Tr., 50 Staniford St.
Room/suite: #804

B Telephone number
(617)742-2150

City or town, state, and ZIP code
Boston, MA 02114-2517

C If exemption application is pending, check here ▶☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 10,994,504.

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶☐

Part I	**Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	**(a)** Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
Revenue 1	Contributions, gifts, grants, etc., received			N/A	
2	Check ▶ ☒ if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	62,708.	62,708.		Statement 1
4	Dividends and interest from securities	92,761.	92,761.		Statement 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	-11,472.			
b	Gross sales price for all assets on line 6a 1,570,012.				
7	Capital gain net income (from Part IV, line 2)		0.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income				
12	**Total.** Add lines 1 through 11	143,997.	155,469.		
Operating and Administrative Expenses 13	Compensation of officers, directors, trustees, etc	25,265.	15,165.		10,100.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees				
c	Other professional fees				
17	Interest				
18	Taxes Stmt 3	634.	634.		0.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses Stmt 4	25,090.	23,427.		0.
24	**Total operating and administrative expenses.** Add lines 13 through 23	50,989.	39,226.		10,100.
25	Contributions, gifts, grants paid	182,500.			182,500.
26	**Total expenses and disbursements.** Add lines 24 and 25	233,489.	39,226.		192,600.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	-89,492.			
b	**Net investment income** (if negative, enter -0-)		116,243.		
c	**Adjusted net income** (if negative, enter -0-)			N/A	

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12-02-11 LHA **For Paperwork Reduction Act Notice, see instructions.**

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	8.		
	2	Savings and temporary cash investments	737,258.	641,426.	641,426.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations Stmt 6	959,958.	1,020,088.	1,078,011.
	b	Investments - corporate stock Stmt 7	4,792,701.	4,669,956.	5,169,720.
	c	Investments - corporate bonds Stmt 8	1,989,715.	2,098,564.	2,048,701.
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other Stmt 9	1,976,847.	1,932,518.	2,056,646.
	14	Land, buildings, and equipment: basis ▶			
		Less accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers)	10,456,487.	10,362,552.	10,994,504.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	10,456,487.	10,362,552.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30	**Total net assets or fund balances**	10,456,487.	10,362,552.	
	31	**Total liabilities and net assets/fund balances**	10,456,487.	10,362,552.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	10,456,487.
2	Enter amount from Part I, line 27a	2	-89,492.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	10,366,995.
5	Decreases not included in line 2 (itemize) ▶ See Statement 5	5	4,443.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	10,362,552.

John C. an[illegible]Eunice B. Morrison
Charitable Foundation

04-3310863

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	See Attached Schedule	P	Various	Various
b	See Attached Schedule	P	Various	Various
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	521,845.		608,487.	-86,642.
b	1,048,167.		972,997.	75,170.
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				-86,642.
b				75,170.
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 -11,472.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 } 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	586,700.	11,307,694.	.051885
2009	519,830.	10,576,654.	.049149
2008	442,340.	9,434,718.	.046884
2007	633,269.	12,158,237.	.052086
2006	622,520.	13,469,418.	.046217

2	**Total** of line 1, column (d)	2	.246221
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.049244
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	10,786,847.
5	Multiply line 4 by line 3	5	531,187.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,162.
7	Add lines 5 and 6	7	532,349.
8	Enter qualifying distributions from Part XII, line 4	8	192,600.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	2,325.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3	Add lines 1 and 2			3	2,325.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	2,325.
6	Credits/Payments:				
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a	3,000.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	10,000.		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	13,000.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	10,675.
11	Enter the amount of line 10 to be: **Credited to 2012 estimated tax** ▶ 10,675. **Refunded** ▶			11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*	1b		X
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV.*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ Peter Sorgi, Trustee Telephone no. ▶ (617) 742-2150

Located at ▶ 50 Staniford Street, Suite 804, Boston, MA ZIP+4 ▶ 02114-2517

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception**. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?		4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Peter Sorgi 50 Staniford Street Boston, MA 02114	Trustee 1.60	10,575.	0.	0.
James G. Walsh III 6 Rail Tree Terrace Westford, MA 01886	Trustee 1.60	10,130.	0.	0.
A. Dennis Barbo 75 Federal Street Boston, MA 02109	Trustee 0.75	4,560.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

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Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities			1a	0.
b	Average of monthly cash balances			1b	704,137.
c	Fair market value of all other assets			1c	10,246,977.
d	**Total** (add lines 1a, b, and c)			1d	10,951,114.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.		
2	Acquisition indebtedness applicable to line 1 assets			2	0.
3	Subtract line 2 from line 1d			3	10,951,114.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	164,267.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4			5	10,786,847.
6	**Minimum investment return.** Enter 5% of line 5 Adjusted for Short Tax Period			6	226,082.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	226,082.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	2,325.		
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	2,325.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	223,757.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	223,757.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	223,757.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	192,600.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	192,600.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	192,600.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

		(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7					223,757.
2 Undistributed income, if any, as of the end of 2011					
a Enter amount for 2010 only				0.	
b Total for prior years: _____ , _____ , _____			0.		
3 Excess distributions carryover, if any, to 2011:					
a From 2006					
b From 2007	33,395.				
c From 2008					
d From 2009	1,508.				
e From 2010	33,071.				
f **Total** of lines 3a through e		67,974.			
4 Qualifying distributions for 2011 from Part XII, line 4: ▶ $	192,600.				
a Applied to 2010, but not more than line 2a				0.	
b Applied to undistributed income of prior years (Election required - see instructions)			0.		
c Treated as distributions out of corpus (Election required - see instructions)		0.			
d Applied to 2011 distributable amount					192,600.
e Remaining amount distributed out of corpus		0.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)		31,157.			31,157.
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		36,817.			
b Prior years' undistributed income. Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions			0.		
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instr.				0.	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)		0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7		0.			
9 **Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a		36,817.			
10 Analysis of line 9:					
a Excess from 2007	2,238.				
b Excess from 2008					
c Excess from 2009	1,508.				
d Excess from 2010	33,071.				
e Excess from 2011					

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2011	**(b)** 2010	**(c)** 2009	**(d)** 2008	**(e) Total**
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test - enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
A Place to Turn Food Pantry 99 Hartford Street, No. 1 Natick, MA 01760	PUBLIC	N/A	Support	5,000.
Boston Rescue Mission P.O. Box 120069 Boston, MA 02112	PUBLIC	N/A	Programs	5,000.
Camp Fatima-Exceptional Children's Week P. O. Box 206 Gilmanton Iron Works, MA 03837	PUBLIC	N/A	Programs	12,500.
Cor Unum 191 Salem Street Lawrence, MA 01843	PUBLIC	N/A	Programs and support	5,000.
DCF Kids Fund, Inc. 24 Farnsworth Street Boston, MA 02210	PUBLIC	N/A	Programs	10,000.
Total See continuation sheet(s) ▶ 3a				182,500.
b Approved for future payment None				
Total ▶ 3b				0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					62,708.
4 Dividends and interest from securities					92,761.
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					-11,472.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		0.	143,997.

13 **Total.** Add line 12, columns (b), (d), and (e) 13 143,997.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
3,4	Funds are invested in stocks and bonds to obtain income to
3,4	be able to pay out the required amount to be distributed and to
3,4	retain the trust principal.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date | TRUSTEE Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self- employed	PTIN
		07/31/12		

Firm's name ▶ Sullivan, Sorgi and Dimmock, LLP

Firm's EIN ▶ 04-3246745

Firm's address ▶ 50 Staniford Street, Suite 804
Boston, MA 02114-2537

Phone no. 617) 742-2150

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Signature of officer or trustee | Date | Title: TRUSTEE

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self- employed	PTIN
JAMES F. MURAN		07/31/12		P00227460

Firm's name ▶ Sullivan, Sorgi and Dimmock, LLP

Firm's EIN ▶ 04-3246745

Firm's address ▶ 50 Staniford Street, Suite 804
Boston, MA 02114-2537

Phone no. 617) 742-2150

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	Amount
UBS - INTEREST	55,887.
UBS - INTEREST PAID	-2,490.
UBS - OID	9,311.
Total to Form 990-PF, Part I, line 3, Column A	62,708.

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	Column (A) Amount
UBS	92,761.	0.	92,761.
Total to Fm 990-PF, Part I, ln 4	92,761.	0.	92,761.

Form 990-PF Taxes Statement 3

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Foreign Taxes Paid	634.	634.		0.
To Form 990-PF, Pg 1, ln 18	634.	634.		0.

Form 990-PF Other Expenses Statement 4

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
UBS - Investment advisory fees	22,995.	22,995.		0.
Foregin Fees	307.	307.		0.
Filing fee	125.	125.		0.
Insurance premium	1,663.	0.		0.
To Form 990-PF, Pg 1, ln 23	25,090.	23,427.		0.

Form 990-PF Other Decreases in Net Assets or Fund Balances Statement 5

Description	Amount
IRS - Payments made	4,236.
Rounding Differences	207.
Total to Form 990-PF, Part III, line 5	4,443.

Form 990-PF U.S. and State/City Government Obligations Statement 6

Description	U.S. Gov't	Other Gov't	Book Value	Fair Market Value
U.S. T-Notes and Bonds	X		1,020,088.	1,078,011.
Total U.S. Government Obligations			1,020,088.	1,078,011.
Total State and Municipal Government Obligations				
Total to Form 990-PF, Part II, line 10a			1,020,088.	1,078,011.

Form 990-PF Corporate Stock Statement 7

Description	Book Value	Fair Market Value
Stocks	4,669,956.	5,169,720.
Total to Form 990-PF, Part II, line 10b	4,669,956.	5,169,720.

Form 990-PF Corporate Bonds Statement 8

Description	Book Value	Fair Market Value
FHLMC & FNMA	1,289,037.	1,320,456.
Corporate Bonds	809,527.	728,245.
Total to Form 990-PF, Part II, line 10c	2,098,564.	2,048,701.

Form 990-PF Other Investments Statement 9

Description	Valuation Method	Book Value	Fair Market Value
Mutual Funds	COST	1,932,518.	2,056,646.
Total to Form 990-PF, Part II, line 13		1,932,518.	2,056,646.

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Father Bill's Place 422 Washington Street Quincy, MA 02169	PUBLIC	N/A	Support	5,000.
Food for the Poor 550 S.W. 12th Avenue Deerfield Beach, FL 33442	PUBLIC	N/A	Support	5,000.
Greater Boston Food Bank 99 Atkinson Street Boston, MA 02118	PUBLIC	N/A	Support	5,000.
Hingham Food Pantry 685 Main Street Hingham, MA 02043	PUBLIC	N/A	Programs	5,000.
Home for Little Wanderers 271 Huntington Avenue Boston, MA 02115	PUBLIC	N/A	Programs and Support	5,000.
Interfaith Social Services 105 Adams Street Quincy, MA 02169	PUBLIC	N/A	Programs	5,000.
Lazarus House Ministries P.O. Box 408 Lawrence, MA 01842	PUBLIC	N/A	Support	5,000.
Littleton/Ayer Loaves and Fishes 234 Barnum Road Devens, MA 01434	PUBLIC	N/A	Aid to Needy	5,000.
Lowell Open Pantry 200 Central Street Lowell, MA 01852	PUBLIC	N/A	Support	5,000.
Marge Crispin Food Pantry Center 74 Pond Street Braintree, MA 02184	PUBLIC	N/A	Support	5,000.
Total from continuation sheets				145,000.

John C. and Eunice B. Morrison
Charitable Foundation 04-3310863

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Marine Toys for Tots Foundation P. O. Box 1947 Quantico, VA 22134	PUBLIC	N/A	Programs	5,000.
MEDA, Inc. 92 Pearl Street Newton, MA 02458	PUBLIC	N/A	Support and programs	5,000.
Mitrochrondial Foundation 8085 Saltsburg Road, Ste. 201 Pittsburge, PA 15239	PUBLIC	N/A	Research	5,000.
N.E. Shelter for Homeless Veterans 17 Court Street Boston, MA 02108	PUBLIC	N/A	Support and programs	5,000.
Naivasha Project 275 Billerica Road Chelmsford, MA 01824	PUBLIC	N/A	Programs	5,000.
Nephcure Foundation 16 Quonahassit Trail Cohasset, MA 02205	PUBLIC	N/A	Research	5,000.
Pine Street Inn 444 Harrison Avenue Boston, MA 02118	PUBLIC	N/A	Support	5,000.
Project Bread 145 Border Street East Boston, MA 02128	PUBLIC	N/A	Support	5,000.
Quincy Point Congregational Church 444 Washington Street Quincy, MA 02169	PUBLIC	N/A	Various Programs	5,000.
Rosie's Place 869 Harrison Avenue Boston, MA 02118	PUBLIC	N/A	Support	5,000.
Total from continuation sheets				

John C. and Eunice B. Morrison
Chari[illegible]ble Foundation 04-3310863

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Salvation Army 147 Berkley Street Boston, MA 02116	PUBLIC	N/A	Support	10,000.
See A New Sun Foundation P. O. Box 1344 Littleton, MA 01460	PUBLIC	N/A	Programs	5,000.
St. Catherine's Food Pantry 107 North Main Street Westford, MA 01886	PUBLIC	N/A	Supplies	5,000.
VNA Hospice Care P. O. Box 114 Woburn, MA 01801	PUBLIC	N/A	Supplies	5,000.
Westford Academy Scholarship Trust, c/o Enterprise Bank 237 Littleton Road Westford, MA 01886	PUBLIC	N/A	Scholarships	10,000.
Westford Food Pantry 55 Main Street Westford, MA 01886	PUBLIC	N/A	Support	5,000.
Women's Lunch Place P. O. Box 170900 Boston, MA 02117	PUBLIC	N/A	Programs	5,000.
Total from continuation sheets				

John C. & Eunice B. Morrison Charitable Foundation

SUMMARY OF CAPITAL TRANSACTIONS FOR SHORT YEAR: 8/1/2011 THROUGH 12/31/2011

SHORT TERM CAPIAL GAINS (LOSSES):

# shares	Municipal Bond/Stock	Date Purchased	Purchase Price	Date Sold	Sale Proceeds	Gain	Loss
32219	See Attached Schedule	Various	51,907.91	Various	51,907.91	-	-
32219	Healthways Settlment Fund	Various	-	10/2011	115.26	115.26	
02408	See Attached Schedule	Various	72,174.08	Various	69,193.42	17.62	(2,998 28)
00114	See Attached Schedule	Various	7,262.50	11/2011	7,218.75		(43.75)
00115	See Attached Schedule	Various	1,997.77	09/2011	1,999.05	80.12	(78.84)
00116	See Attached Schedule	Various	78,942 57	08/2011	59,334.83	46.71	(19,654.45)
00116	See Attached Schedule	Various	21,963 55	09/2011	16,400.11	1,126.27	(6,689.71)
00116	See Attached Schedule	Various	73,845.37	10/2011	53,829.65	549 21	(20,564.93)
00116	See Attached Schedule	Various	19,536.31	11/2011	14,438.79	565.12	(5,662 64)
00116	See Attached Schedule	Various	23,866.78	12/2011	20,899.46	1,760.12	(4,727 44)
00117	See Attached Schedule	Various	2,883.05	08/2011	1,710.31		(1,172.74)
00117	See Attached Schedule	Various	15,194.47	09/2011	15,626.31	1,111.15	(679.31)
00117	See Attached Schedule	Various	6,520.11	10/2011	7,077.60	557.49	
00117	See Attached Schedule	Various	8,669 57	11/2011	5,698.51		(2,971.06)
00118	See Attached Schedule	Various	2,597 95	12/2011	1,587.11		(1,010.84)
00119	See Attached Schedule	Various	17,836.00	08/2011	13,518.39	688.74	(5,006.35)
00119	See Attached Schedule	Various	14,687.97	09/2011	11,442.94	1,080.47	(4,325.50)
00119	See Attached Schedule	Various	8,015.94	10/2011	7,096.52	284.46	(1,203.88)
00119	See Attached Schedule	Various	13,159.20	11/2011	10,981.81	559.78	(2,737.17)
00119	See Attached Schedule	Various	9,326.08	12/2011	7,949.44	329.30	(1,705.94)
00120	See Attached Schedule	Various	555.46	08/2011	1,002.00	446 54	
00120	See Attached Schedule	Various	3,869 27	12/2011	4,588.50	719.23	
00121	See Attached Schedule	Various	1,137 67	08/2011	1,110 70		(26 97)
00121	See Attached Schedule	Various	1,803.61	09/2011	1,657.46		(146.15)
00121	See Attached Schedule	Various	8,565.59	10/2011	6,818.23	429.34	(2,176.70)
00121	See Attached Schedule	Various	1,284.64	11/2011	1,826.29	541.65	
00121	See Attached Schedule	Various	2,299.72	12/2011	1,582.65		(717.07)
00122	See Attached Schedule	Various	13,943 79	08/2011	10,189 39	696.21	(4,450.61)
00122	See Attached Schedule	Various	14,228.44	11/2011	16,626.23	2,933.17	(535.38)
00122	See Attached Schedule	Various	2,513.35	12/2011	2,701.44	188.09	
00123	See Attached Schedule	Various	2,840.46	11/2011	2,010 17		(830 29)
00124	See Attached Schedule	Various	32,539.14	8/2011	29,121.08	328.84	(3,746.90)
00124	See Attached Schedule	Various	31,664.88	9/2011	27,199.46	0 35	(4,465.77)
00124	See Attached Schedule	Various	6,259.26	10/2011	4,639.31	547 86	(2,167.81)
00124	See Attached Schedule	Various	24,605.28	11/2011	24,075.83	783 81	(1,313.26)
00124	See Attached Schedule	Various	9,989 59	12/2011	8,669.61	357 85	(1,677.83)
	TOTALS FOR SHORT TERM CAPITAL GAIN/(LOSSES)		$ 608,487.33		$ 521,844.52	$ 16,844.76	$ (103,487.57)
	NET SHORT TERM CAPITAL LOSS						$ (86,642.81)

John C. & Eunice B. Morrison Charitable Foundation

SUMMARY OF CAPITAL TRANSACTIONS FOR SHORT YEAR: 8/1/2011 THROUGH 12/31/2011

LONG TERM CAPITAL GAINS (LOSSES)

# shares	Municipal Bond/Stock	Date Purchased	Purchase Price	Date Sold	Sale Proceeds	Gain	Loss
32219	See Attached Schedule	Various	16,139.55	Various	16,139.55	-	-
32219	U.S. Trsy., 2.375%	Various	1,484.93	Various	-		(1,484 93)
02408	See Attached Schedule	Various	335,412.18	Various	346,621.39	11,980 62	(771.41)
00114	See Attached Schedule	Various	27,563.75	08/2011	28,332 15	768 40	
00114	Peatec Holding Corp.	Various	-	11/2011	13 00	13.00	
00114	See Attached Schedule	Various	6,585.00	09/2011	6,258 78		(326.22)
00114	See Attached Schedule	Various	8,132.50	11/2011	8,140 00	7.50	
00114	Community Health Systems	Various	-	11/2011	240 00	240.00	
00114	See Attached Schedule	Various	13,840 00	12/2011	13,793.78	15.65	(61.87)
00114	Plains Exploration	Various	-	12/2011	70 00	70.00	
00115	See Attached Schedule	Various	20,314 48	09/2011	16,507.87	35.18	(3,841.79)
00116	See Attached Schedule	Various	34,057 55	08/2011	40,403.93	6,422.98	(76.60)
00116	See Attached Schedule	Various	9,125.32	09/2011	11,171.52	4,057.90	(2,011.70)
00116	See Attached Schedule	Various	83,496.79	10/2011	75,271 98	10,236 03	(18,460.84)
00116	See Attached Schedule	Various	14,749.69	11/2011	15,488.47	2,489.33	(1,750.55)
00116	See Attached Schedule	Various	24,868.68	12/2011	25,677 03	4,213.80	(3,405.45)
00117	See Attached Schedule	Various	12,173.89	08/2011	6,767 10		(5,406.79)
00117	See Attached Schedule	Various	22,965.62	09/2011	13,229.66	449.75	(10,185.71)
00117	See Attached Schedule	Various	14,679.59	10/2011	20,084.83	5,938.48	(533 24)
00118	See Attached Schedule	Various	8,654.97	08/2011	10,214.04	2,688.29	(1,129.22)
00118	See Attached Schedule	Various	2,549.30	09/2011	4,550.78	2,001.48	
00118	See Attached Schedule	Various	5,446.96	10/2011	6,658.34	1,709.03	(497 65)
00118	See Attached Schedule	Various	4,525.58	11/2011	5,116.87	1,128.96	(537 67)
00118	See Attached Schedule	Various	13,148 83	12/2011	14,142.44	3,084 97	(2,091.36)
00119	See Attached Schedule	Various	19,688 80	08/2011	19,615.70	1,990 70	(2,063.80)
00119	See Attached Schedule	Various	3,972.48	09/2011	4,924.30	951 82	
00119	See Attached Schedule	Various	2,914.67	10/2011	2,253 03		(661.64)
00119	See Attached Schedule	Various	16,168.35	11/2011	12,148 29	511.40	(4,531.46)
00119	See Attached Schedule	Various	6,938.28	12/2011	6,551 43	913.39	(1,300.24)
00120	See Attached Schedule	Various	10,581.76	08/2011	13,026 00	2,444.24	
00120	See Attached Schedule	Various	11,798.23	12/2011	25,336.46	13,538.23	
00121	See Attached Schedule	Various	28,258 92	08/2011	26,521 02	823.90	(2,561.80)
00121	See Attached Schedule	Various	15,148 67	09/2011	9,526.12	131.90	(5,754.45)
00121	See Attached Schedule	Various	20,422.88	10/2011	18,977.15	1,971.18	(3,416.91)
00121	See Attached Schedule	Various	10,866 41	11/2011	9,921.06	8.38	(953.73)
00121	See Attached Schedule	Various	15,330.24	12/2011	18,266.23	3,575.69	(639.70)

John C. & Eunice B. Morrison Charitable Foundation

SUMMARY OF CAPITAL TRANSACTIONS FOR SHORT YEAR: 8/1/2011 THROUGH 12/31/2011

LONG TERM CAPITAL GAINS (LOSSES)

(contineud)

# shares	Municipal Bond/Stock	Date Purchased	Purchase Price	Date Sold	Sale Proceeds	Gain	Loss
00122	See Attached Schedule	Various	11,679.71	08/2011	25,143 56	13,463 85	
00122	See Attached Schedule	Various	3,722.35	09/2011	6,136.07	2,944.39	(530.67)
00122	See Attached Schedule	Various	11,957 12	10/2011	17,002.06	6,367 23	(1,322.29)
00122	See Attached Schedule	Various	36,663 36	11/2011	63,992.25	29,378.56	(2,049.67)
00122	See Attached Schedule	Various	4,741 67	12/2011	9,083 69	4,342.02	
00123	See Attached Schedule	Various	7,849 88	8/2011	8,510.96	1,300.43	(639.35)
00123	See Attached Schedule	Various	3,249 83	09/2011	3,659.73	806.75	(396.85)
00123	See Attached Schedule	Various	3,736.81	11/2011	4,819.60	1,148.57	(65 78)
00123	See Attached Schedule	Various	1,740.58	12/2011	2,054.56	396.42	(82.44)
00124	See Attached Schedule	Various	23,590.08	8/2011	28,236.90	6,429 56	(1,782 74)
00124	See Attached Schedule	Various	4,674.82	9/2011	6,053.46	1,754.03	(375 39)
00124	See Attached Schedule	Various	4,603 84	10/2011	7,270.95	2,715.89	(48.78)
00124	See Attached Schedule	Various	1,930.93	11/2011	2,758.06	827.13	
00124	See Attached Schedule	Various	10,850.74	12/2011	11,485.26	2,174.21	(1,539.69)
	TOTALS FOR LONG TERM CAPITAL GAIN/(LOSSES)		$ 972,996.57		$ 1,048,167.41	$ 158,461 22	$ (83,290.38)

NET LONG TERM CAPITAL GAIN $ 75,170.84

John C. & Eunice B. Morrison Charitable Foundation

UBS Account No. PF 32219 JR

SHORT TERM CAPITAL GAINS (LOSSES):

# shares	Municipal Bond/Stock	Date Purchased	Purchase Price	Date Sold	Sale Proceeds	Gain	Loss
88,000	FHLMC, 4.5%, Due 02/01/41	2/10/2011	1,099.87	8/15/2011	1,099.87	-	
75,000	FHLMC, 4.5%, Due 02/01/41	2/10/2011	401.46	8/15/2011	401.46	-	
84,000	FNMA, 4.5%, Due 08/01/40	3/10/2011	542.83	8/25/2011	542.83	-	
50,000	FHLMC, 4.5%, Due 02/01/41	2/10/2011	483.39	8/15/2011	483.39	-	
92,000	FNMA, 5.5%, Due 12/01/35	4/25/2011	1,396.68	8/25/2011	1,396.68	-	
160,000	FNMA, 5.5%, Due 12/01/36	5/12/2011	1,720.93	8/25/2011	1,720.93	-	
10,000	FNMA, 4.5%, Due 05/01/41	5/12/2011	34.32	8/25/2011	34.32	-	
18,000	FNMA, 4.5%, Due 03/01/33	7/14/2011	54 19	8/25/2011	54.19	-	
			5,733 67		5,733 67	-	-
88,000	FHLMC, 4.5%, Due 02/01/41	2/10/2011	1,226.31	9/15/2011	1,226.31	-	
75,000	FHLMC, 4.5%, Due 02/01/41	2/10/2011	812.62	8/15/2011	812.62	-	
84,000	FNMA, 4.5%, Due 08/01/40	3/10/2011	806.27	9/26/2011	806.27	-	
50,000	FHLMC, 4.5%, Due 02/01/41	2/10/2011	383.22	9/26/2011	383.22	-	
92,000	FNMA, 5.5%, Due 12/01/35	4/25/2011	1,455.76	9/26/2011	1,455.76	-	
160,000	FNMA, 5 5%, Due 12/01/36	5/12/2011	1,947.03	9/26/2011	1,947.03	-	
10,000	FNMA, 4 5%, Due 05/01/41	5/12/2011	62.51	9/26/2011	62 51	-	
6,000	FNMA, 5 5%, Due 12/01/38	9/12/2011	104.47	9/26/2011	104.47	-	
18,000	FNMA, 4.5%, Due 03/01/33	7/14/2011	13 77	9/26/2011	13.77	-	
			6,811 96		6,811 96	-	-
88,000	FHLMC, 4.5%, Due 02/01/41	2/10/2011	2,792.91	10/17/2011	2,792.91	-	
75,000	FHLMC, 4.5%, Due 02/01/41	2/10/2011	1,685.75	10/17/2011	1,685.75	-	
84,000	FNMA, 4.5%, Due 08/01/40	3/10/2011	2,808.61	10/25/2011	2,808.61	-	
50,000	FHLMC, 4.5%, Due 02/01/41	2/10/2011	932.96	10/25/2011	932.96	-	
92,000	FNMA, 5.5%, Due 12/01/35	4/25/2011	1,367.24	10/25/2011	1,367.24	-	
14,000	FNMA, 5 5%, Due 04/01/35	9/13/2011	102.02	10/25/2011	102.02	-	
160,000	FNMA, 5.5%, Due 12/01/36	5/12/2011	1,326.34	10/25/2011	1,326.34	-	
10,000	FNMA, 4.5%, Due 05/01/41	5/12/2011	54.99	10/25/2011	54.99	-	
6,000	FNMA, 5.5%, Due 12/01/38	9/12/2011	116.95	10/25/2011	116.95	-	
18,000	FNMA, 4.5%, Due 03/01/33	7/14/2011	67 69	10/25/2011	67.69	-	
			11,255 46		11,255.46	-	-

John C. & Eunice B. Morrison Charitable Foundation

UBS Account No. PF 32219 JR

SHORT TERM CAPITAL GAINS (LOSSES):

# shares	Municipal Bond/Stock	Date Purchased	Purchase Price	Date Sold	Sale Proceeds	Gain	Loss
	(continued)						
88,000	FHLMC, 4.5%, Due 02/01/41	2/10/2011	2,849.18	11/15/2011	2,849.18	-	
75,000	FHLMC, 4.5%, Due 02/01/41	2/10/2011	1,867.89	11/15/2011	1,867.89	-	
182,000	FNMA, 5%, Due 07/01/35	10/13/2011	1,756 55	11/25/2011	1,756.55	-	
84,000	FNMA, 4.5%, Due 08/01/40	3/10/2011	2,749.67	11/25/2011	2,749.67	-	
50,000	FHLMC, 4.5%, Due 02/01/41	2/10/2011	879.19	11/25/2011	879.19	-	
92,000	FNMA, 5 5%, Due 12/01/35	4/25/2011	1,502.44	11/25/2011	1,502.44	-	
14,000	FNMA, 5.5%, Due 04/01/35	9/13/2011	110.21	11/25/2011	110.21	-	
160,000	FNMA, 5.5%, Due 12/01/36	5/12/2011	2,455.88	11/25/2011	2,455.88	-	
10,000	FNMA, 4.5%, Due 05/01/41	5/12/2011	20.63	11/25/2011	20.63	-	
6,000	FNMA, 5.5%, Due 12/01/38	9/12/2011	114.20	11/25/2011	114.20	-	
18,000	FNMA, 4.5%, Due 03/01/33	7/14/2011	37 92	11/25/2011	37.92	-	
9,000	FNMA, 5.5%, Due 03/01/36	10/13/2011	62 92	11/25/2011	62.92	-	
			14,406 68		14,406 68	-	-
88,000	FHLMC, 4.5%, Due 02/01/41	2/10/2011	2,798.43	12/15/2011	2,798.43	-	
75,000	FHLMC, 4.5%, Due 02/01/41	2/10/2011	1,690.19	12/15/2011	1,690.19	-	
12,000	FHLMC, 4.5%, Fur 03/01/41	11/14/2011	237.85	12/15/2011	237.85	-	
11,000	FHLMC, 5%, Fur 03/01/38	11/14/2011	132.60	12/15/2011	132.60	-	
26,000	FHLMC, 5.5%, Fur 09/01/38	11/14/2011	299.50	12/15/2011	299.50	-	
182,000	FNMA, 5%, Due 07/01/35	10/13/2011	1,791.72	12/27/2011	1,791.72	-	
84,000	FNMA, 4.5%, Due 08/01/40	3/10/2011	2,168.10	12/27/2011	2,168.10	-	
50,000	FHLMC, 4.5%, Due 02/01/41	2/10/2011	1,000 42	12/27/2011	1,000.42	-	
92,000	FNMA, 5.5%, Due 12/01/35	4/25/2011	1,450.06	12/27/2011	1,450.06	-	
14,000	FNMA, 5.5%, Due 04/01/35	9/13/2011	116.02	12/27/2011	116.02	-	
160,000	FNMA, 5.5%, Due 12/01/36	5/12/2011	1,630.46	12/27/2011	1,630.46	-	
10,000	FNMA, 4.5%, Due 05/01/41	5/12/2011	101.12	12/27/2011	101.12	-	
6,000	FNMA, 5.5%, Due 12/01/38	9/12/2011	112.41	12/27/2011	112.41	-	
18,000	FNMA, 4.5%, Due 03/01/33	7/14/2011	86 18	12/27/2011	86.18	-	
9,000	FNMA, 5.5%, Due 03/01/36	10/13/2011	85 08	12/27/2011	85.08	-	
			13,700 14		13,700 14	-	-
	TOTALS FOR SHORT TERM CAPITAL GAIN/(LOSSES)		$ 51,907 91		$ 51,907 91	$ -	$ -

John C. & Eunice B. Morrison Charitable Foundation

UBS Account No. PF 32219 JR

LONG TERM CAPITAL GAINS (LOSSES)

# shares	Municipal Bond/Stock	Date Purchased	Purchase Price	Date Sold	Sale Proceeds	Gain	Loss
206,000	FHLMC, 5.5%, Due 11/01/37	1/1/2008	1,399.18	8/15/2011	1,399 18	-	
161,000	FNMA, 5%, Due 02/01/36	4/28/2009	1,296.46	8/25/2011	1,296 46	-	
			2,695.64		2,695.64	-	-
206,000	FHLMC, 5.5%, Due 11/01/37	1/1/2008	1,592.19	9/15/2011	1,592.19	-	
161,000	FNMA, 5%, Due 02/01/36	4/28/2009	1,538.91	9/26/2011	1,538.91	-	
			3,131.10		3,131.10	-	-
206,000	FHLMC, 5.5%, Due 11/01/37	1/1/2008	1,827.82	10/17/2011	1,827 82	-	
161,000	FNMA, 5%, Due 02/01/36	4/28/2009	1,421.30	10/25/2011	1,421 30	-	
			3,249.12		3,249.12	-	-
206,000	FHLMC, 5 5%, Due 11/01/37	1/1/2008	1,906.98	11/15/2012	1,906 98	-	
161,000	FNMA, 5%, Due 02/01/36	4/28/2009	1,677.59	11/25/2011	1,677 59	-	
			3,584 57		3,584.57	-	-
206,000	FHLMC, 5.5%, Due 11/01/37	1/1/2008	1,616.20	12/15/2011	1,616 20	-	
161,000	FNMA, 5%, Due 02/01/36	4/28/2009	1,862.92	12/27/2011	1,862 92	-	
			3,479.12		3,479.12	-	-
	TOTALS FOR LONG TERM CAPITAL GAIN/(LOSSES)		$ 16,139.55		$ 16,139.55	-	-

John C. & Eunice B. Morrison Charitable Foundation

UBS Account No. PF 02408 JR

SHORT TERM CAPITAL GAINS (LOSSES):

# shares	Municipal Bond/Stock	Date Purchased	Purchase Price	Date Sold	Sale Proceeds	Gain	Loss
148,000	FNMA, 4%	12/21/2010	820.99	8/25/2011	820.99	-	
48,000	U S Trsy. Note, 4.5%	1/15/2010	50,998.28	9/30/2011	48,000.00		(2,998.28)
148,000	FNMA, 4%	12/21/2010	1,249.05	9/26/2011	1,249.05	-	
35,000	FNMA, 4.5%	8/16/2011	276.70	9/26/2011	276.70	-	
			52,524.03		49,525.75	-	(2,998.28)
2,000	FNMA, 5.5%, Due 12/01/35	4/25/2011	2,002.28	11/8/2011	2,009.68	7.40	
1,000	FNMA, 5.5%, Due 12/01/36	5/12/2011	1,004.26	11/8/2011	1,007.22	2.96	
1,000	FNMA, 4 5%, Due 05/01/41	5/12/2011	993.01	11/10/2011	1,000.27	7.26	
148,000	FNMA, 4%	12/21/2010	6,917.69	11/25/2011	6,917.69	-	
35,000	FNMA, 4 5%	8/16/2011	1,054.38	11/25/2011	1,054.38	-	
			11,971 62		11,989 24	17 62	
148,000	FNMA, 4%	12/21/2010	5,712.89	12/25/2011	5,712.89	-	
35,000	FNMA, 4.5%	8/16/2011	1,144.55	12/25/2011	1,144 55	-	
			6,857.44		6,857.44	-	-
	TOTALS FOR SHORT TERM CAPITAL GAINS/LOSSES		$ 72,174.08		$ 69,193.42	$ 17.62	$ (2,998.28)
							$ (2,980.66)

John C. & Eunice B. Morrison Charitable Foundation

UBS Account No. PF 02408 JR

LONG TERM CAPITAL GAINS (LOSSES)

# shares	Municipal Bond/Stock	Date Purchased	Purchase Price	Date Sold	Sale Proceeds	Gain	Loss
111,111	U S. Trsy. Note, 1%	1/5/2010	111,412 29	8/1/2011	111,000 00		(412.29)
69,000	U S. Trsy. Note, 1%	1/5/2010	69,196.99	8/31/2011	69,000 00		(196.99)
177,000	FHLMC, 5 5%	1/13/2010	1,033.08	8/15/2011	1,033.08	-	
167,000	FHLMC, 4.5%	1/13/2010	1,290.66	8/15/2011	1,290.66	-	
278,000	FHLMC, 5.5%	12/15/2009	1,888.21	8/15/2011	1,888.21	-	
122,000	FNMA, 5%	12/15/2009	889.59	8/25/2011	889 59	-	
105,000	FNMA, 6%	12/15/2009	989.48	8/25/2011	989 48	-	
144,000	FNMA, 4.5%	1/28/2010	1,227 30	8/25/2011	1,227.30	-	
41,000	FNMA, 5.5%	1/13/2010	296.31	8/25/2011	296.31	-	
			188,223.91		187,614.63	-	(609.28)
177,000	FHLMC, 5.5%	1/13/2010	1,414.82	9/15/2011	1,414.82	-	
167,000	FHLMC, 4 5%	1/13/2010	1,356.13	9/15/2011	1,356 13	-	
278,000	FHLMC, 5.5%	12/15/2009	2,466.67	9/15/2011	2,466.67	-	
122,000	FNMA, 5%	12/15/2009	1,050.54	9/26/2011	1,050 54	-	
105,000	FNMA, 6%	12/15/2009	1,125 69	9/26/2011	1,125.69	-	
144,000	FNMA, 4.5%	1/28/2010	1,415.74	9/26/2011	1,415 74	-	
41,000	FNMA, 5.5%	1/13/2010	336.38	9/26/2011	336 38	-	
			9,165.97		9,165.97	-	-
177,000	FHLMC, 5.5%	1/13/2010	1,473.22	10/17/2011	1,473.22	-	
167,000	FHLMC, 4.5%	1/13/2010	1,369.93	10/17/2011	1,369.93	-	
278,000	FHLMC, 5 5%	12/15/2009	2,148.69	10/17/2011	2,148.69	-	
122,000	FNMA, 5%	12/15/2009	1,034.14	10/27/2011	1,034.14	-	
105,000	FNMA, 6%	12/15/2009	969.69	10/27/2011	969 69	-	
144,000	FNMA, 4.5%	1/28/2010	2,539 89	10/27/2011	2,539.89	-	
41,000	FNMA, 5.5%	1/13/2010	342 18	10/27/2011	342.18	-	
			9,877.74		9,877.74	-	-

John C. & Eunice B. Morrison Charitable Foundation

UBS Account No. PF 02408 JR

LONG TERM CAPITAL GAINS (LOSSES)

(continued)

# shares	Municipal Bond/Stock	Date Purchased	Purchase Price	Date Sold	Sale Proceeds	Gain	Loss
4,000	U S, Trsy Note, 1.750%	1/6/2010	3,893 66	11/8/2011	4,460.61	566.95	
6,000	U S. Trsy Note, 1.375%	4/15/2010	6,033 99	11/8/2011	6,041.23	7 24	
2,000	U S. Trsy Bond, 4 375%	1/26/2010	1,944 06	11/8/2011	2,515.38	571.32	
1,000	U.S. Trsu. Note, 3.625%	3/16/2010	991.72	11/8/2011	1,152.81	161.09	
1,000	U.S. Trsy. Bond, 4 625%	3/16/2010	995 31	11/8/2011	1,307 85	312 54	
12,000	FHLMC, 4 875%	1/6/2010	13,195 31	11/8/2011	13,075 56		(119 75)
2,029	Blackrock Bd. Allocation Target Shs., CL C	1/6/2010	19,863 91	11/8/2011	21,243.63	1,379.72	
2,179	Blackrock Bd. Allocation Target Shs., CL M	1/6/2010	19,850.69	11/8/2011	22,814.13	2,963.44	
1,000	U.S. Trsy. Bond, Due 02/15/16	1/6/2010	2,105.52	11/10/2011	2,691.27	585.75	
1,000	Gen Elec. Cap Corp., 5 25%	1/8/2010	1,068.77	11/10/2011	1,038 67		(30.10)
1,000	J.P. Morgan Chase, 3.150%	1/8/2010	1,063.68	11/10/2011	1,051.40		(12.28)
177,000	FHLMC, 5.5%	1/13/2010	1,445.72	11/15/2011	1,445.72	-	
167,000	FHLMC, 4.5%	1/13/2010	1,513.30	11/15/2011	1,513.30	-	
278,000	FHLMC, 5.5%	12/15/2009	2,573.50	11/15/2011	2,573.50	-	
122,000	FNMA, 5%	12/15/2009	1,271.35	11/25/2011	1,271.35	-	
105,000	FNMA, 6%	12/15/2009	839.59	11/25/2011	839.59	-	
144,000	FNMA, 4 5%	1/28/2010	3,210 57	11/25/2011	3,210.57	-	
41,000	FNMA, 5 5%	1/13/2010	342.18	11/27/2011	342 18	-	
			82,202.83		88,588.75	6,548.05	(162.13)
177,000	FHLMC, 5.5%	1/13/2010	1,292.79	12/15/2011	1,292.79	-	
167,000	FHLMC, 4.5%	1/13/2010	1,417 32	12/15/2011	1,417.32	-	
278,000	FHLMC, 5.5%	12/15/2009	2,181.09	12/15/2011	2,181.09	-	
2,810	Blackrock Bd. Allocation Target Shs., CL M	1/6/2010	34,608 89	12/19/2011	40,041.46	5,432.57	
122,000	FNMA, 5%	12/15/2009	1,176.94	12/27/2011	1,176.94	-	
105,000	FNMA, 6%	12/15/2009	877 62	12/27/2011	877.62	-	
144,000	FNMA, 4.5%	1/28/2010	4,078.47	12/27/2011	4,078 47	-	
41,000	FNMA, 5.5%	1/13/2010	308 61	12/27/2011	308.61	-	
			45,941 73		51,374 30	5,432 57	-
	TOTALS FOR SHORT TERM CAPITAL GAIN/(LOSSES)		$ 335,412.18		$ 346,621 39	$ 11,980 62	$ (771.41)
							$ 11,209.21

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order See *Important information about your statement* at the end of this document for more information We may not adjust gains and losses for all capital changes We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
HCA INC 09 250% 111516 DTD051507 CALL@MW+50BP	FIFO	7,000 000	Nov 06, 07	Aug 26, 11	7,455.89	7,376.25			79 64
	FIFO	5,000.000	Mar 24, 08	Aug 26, 11	5,325 63	5,212.50			113 13
	FIFO	5,000 000	Nov 03, 09	Aug 26, 11	5,325.63	5,275.00			50 63
PENN NATL GAMING INC NTS 06 750% 030115 DTD030905 FC090105 B/E MW+50BP	FIFO	6,000 000	Nov 17, 09	Aug 19, 11	6,135 00	5,820 00			315.00
	FIFO	4,000.000	Nov 18, 09	Aug 19, 11	4,090 00	3,880.00			210.00
Total					**$28,332.15**	**$27,563.75**			**$768.40**
Net long-term capital gains or losses									**$768.40**
Net capital gains/losses:									**$768.40**

End of statement for account number PF 00114 JR

Account activity this month (continued)

	Date	Activity	Description	Amount ($)
Money balance activities (continued)	**Sep 30**	**Closing RMA Money Mkt. Portfolio**		**$33,300.31**
	The RMA Money Market Portfolio is your primary sweep option			

Unsettled transaction activity

The following transaction(s) are pending settlement

Trade date	Settlement date	Activity	Description	Quantity	Price / Value ($)	Amount ($)
Sep 29, 11	Oct 04, 11	Bought	CIMAREX ENERGY CO NTS 07 125% 050117 DTD050107 CALL@MW+50BP YTM = 6.688 UNSOLICITED ACCRUED INT PAID $211 97	7,000 000	102 0000000	-7,351 97
Total pending investments purchased						**-$7,351.97**

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order See *Important information about your statement* at the end of this document for more information We may not adjust gains and losses for all capital changes We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
WINDSTREAM CORP 08 625% 080116 DTD122906 FC020107	FIFO	6,000 000	Apr 13, 07	Sep 19, 11	6,258 78	6,585 00		-326 22	

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Realized gains and losses

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Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
COMMUNITY HEALTH SYSTEMS 08 875% 071515 DTD072507 FC011508 CALL @M/W +50BP	FIFO	5,000 000	Dec 19, 07	Nov 22, 11	5,087 50	5,087 50			
	FIFO	3,000 000	Jun 23, 08	Nov 22, 11	3,052 50	3,045 00			7 50
Total					**$8,140.00**	**$8,132.50**			**$7.50**
Net long-term capital gains or losses									**$7.50**
Net capital gains/losses:									**$7.50**

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
PLAINS EXPLORATION & 07 750% 061515 DTD061907 FC121507 PRODUCTN CO NTS	FIFO	7,000 000	Jun 14, 11	Dec 01, 11	7,218 75	7,262.50		-43.75	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
BIOMET INC 10.000% 101517 DTD041508 CALL@MW+50BP FC101508	FIFO	3,000.000	Jul 24, 08	Dec 01, 11	3,225 00	3,232 50		-7.50	
	FIFO	4,000.000	Jul 24, 08	Dec 08, 11	4,310 00	4,310 00			
WINDSTREAM CORP 08 625% 080116 DTD122906 FC020107	FIFO	1,000.000	Apr 13, 07	Dec 22, 11	1,043 13	1,097 50		-54 37	
	FIFO	5,000.000	Jul 22, 10	Dec 22, 11	5,215 65	5,200 00			15 65
Total					**$13,793.78**	**$13,840.00**		**-$61.87**	**$15.65**
Net long-term capital gains or losses								**-$46.22**	
Net capital gains/losses:								**-$89.97**	

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
MICROCHIP TECHNOLOGY INC	FIFO	17 000	Oct 20, 10	Sep 01, 11	561 62	523.43			38 19
	FIFO	18 000	Oct 21, 10	Sep 01, 11	594 65	552 72			41 93
PRUDENTIAL FINANCIAL INC	FIFO	8 000	Oct 20, 10	Sep 01, 11	396.60	431 57		-34 97	
	FIFO	9 000	Oct 21, 10	Sep 01, 11	446 18	490 05		-43.87	
Total					**$1,999.05**	**$1,997.77**		**-$78.84**	**$80.12**
Net short-term capital gains and losses									**$1.28**

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
JOHNSON & JOHNSON COM	FIFO	56 000	Oct 06, 06	Sep 01, 11	3,672 38	3,637 20			35 18
MICROCHIP TECHNOLOGY INC	FIFO	110 000	Jan 03, 07	Sep 01, 11	3,634 00	3,726 18		-92 18	
NEWS CORP INC DELA CL A	FIFO	349 000	Oct 06, 06	Sep 01, 11	5,979 09	7,000 94		-1,021 85	
PRUDENTIAL FINANCIAL INC	FIFO	60 000	Apr 10, 07	Sep 01, 11	2,974.52	5,518 55		-2,544.03	
	FIFO	5 000	Aug 10, 07	Sep 01, 11	247 88	431 61		-183 73	
Total					**$16,507.87**	**$20,314.48**		**-$3,841.79**	**$35.18**
Net long-term capital gains or losses								**-$3,806.61**	
Net capital gains/losses:								**-$3,805.33**	

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Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ALLSCRIPTS HEALTHCARE SOLUTNS COM	FIFO	85 000	Feb 09, 11	Aug 03, 11	1,527 52	1,876.75		-349 23	
	FIFO	85 000	Feb 09, 11	Aug 03, 11	1,527.52	1,877 00		-349 48	
	FIFO	65 000	Feb 10, 11	Aug 03, 11	1,168 10	1,435.52		-267 42	
	FIFO	106 000	Feb 10, 11	Aug 04, 11	1,912 09	2,341 00		-428 91	
	FIFO	73 000	Feb 24, 11	Aug 04, 11	1,316 82	1,520 05		-203 23	
	FIFO	2 000	Feb 24, 11	Aug 04, 11	36.08	41 99		-5 91	
	FIFO	105.000	Feb 24, 11	Aug 19, 11	1,548 16	2,204.69		-656 53	
	FIFO	88.000	Feb 25, 11	Aug 19, 11	1,297.51	1,856.86		-559.35	
	FIFO	94 000	Feb 28, 11	Aug 19, 11	1,385 98	1,994 10		-608 12	
	FIFO	91 000	May 02, 11	Aug 19, 11	1,341 74	1,980.01		-638 27	
HONEYWELL INTL INC	FIFO	25 000	Sep 01, 10	Aug 18, 11	1,068 64	1,021 93			46 71
	FIFO	34 000	Jan 05, 11	Aug 18, 11	1,453 35	1,851.87		-398 52	
	FIFO	4 000	Jan 06, 11	Aug 18, 11	170 98	215.84		-44.86	
	FIFO	73 000	Mar 09, 11	Aug 18, 11	3,120 43	4,169 39		-1,048 96	
JONES LANG LASALLE INC	FIFO	6 000	Sep 27, 10	Aug 22, 11	369 10	523 26		-154 16	
	FIFO	12 000	Sep 30, 10	Aug 22, 11	738.19	1,035.44		-297.25	
	FIFO	24.000	Oct 28, 10	Aug 22, 11	1,476 38	1,854.40		-378 02	
	FIFO	12 000	Oct 29, 10	Aug 22, 11	738 20	933 75		-195 55	
	FIFO	7 000	Nov 03, 10	Aug 22, 11	430 61	549 16		-118.55	
MDC HOLDINGS INC DEL	FIFO	25 000	Apr 26, 11	Aug 09, 11	425 20	712 75		-287 55	
	FIFO	106 000	Apr 27, 11	Aug 09, 11	1,802.83	3,034.64		-1,231 81	
	FIFO	36 000	May 27, 11	Aug 09, 11	612 28	963 94		-351 66	

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
PACIFIC BIOSCIENCES OF CALIF	FIFO	79.000	Jun 03, 11	Aug 09, 11	456.47	910 88		-454.41	
	FIFO	16 000	Jun 14, 11	Aug 09, 11	92 45	188 29		-95 84	
	FIFO	54.000	Jun 14, 11	Aug 10, 11	314.56	635 47		-320 91	
SALESFORCE.COM INC	FIFO	26.000	Jun 15, 11	Aug 18, 11	3,073 32	3,596.52		-523 20	
	FIFO	24 000	Jun 16, 11	Aug 18, 11	2,836 92	3,352.63		-515.71	
ULTRA PETROLEUM CORP (CANADA) ORD CAD	FIFO	76.000	Feb 07, 11	Aug 11, 11	2,800.06	3,797 86		-997 80	
	FIFO	73.000	Feb 08, 11	Aug 11, 11	2,689 53	3,576.58		-887.05	
	FIFO	2 000	Feb 08, 11	Aug 12, 11	75 75	97.99		-22 24	
	FIFO	8.000	Mar 09, 11	Aug 12, 11	303.01	344.72		-41 71	
	FIFO	81 000	Mar 09, 11	Aug 15, 11	3,122.31	3,490.33		-368.02	
	FIFO	16.000	Mar 09, 11	Aug 23, 11	526.73	689.45		-162.72	
	FIFO	114.000	Mar 15, 11	Aug 23, 11	3,752.96	5,210 51		-1,457 55	
	FIFO	15.000	Mar 18, 11	Aug 23, 11	493 81	715.05		-221.24	
	FIFO	30.000	Mar 23, 11	Aug 23, 11	987.62	1,426.79		-439.17	
	FIFO	47.000	Mar 25, 11	Aug 23, 11	1,547.27	2,290.49		-743 22	
	FIFO	36.000	Apr 20, 11	Aug 23, 11	1,185 14	1,735.55		-550 41	
	FIFO	54.000	Apr 26, 11	Aug 23, 11	1,777.72	2,581.10		-803 38	
	FIFO	18 000	May 06, 11	Aug 23, 11	592 57	833.71		-241 14	
WALT DISNEY CO (HOLDING CO) DISNEY COM	FIFO	30 000	Feb 28, 11	Aug 23, 11	944.20	1,310 79		-366 59	
	FIFO	68.000	Mar 14, 11	Aug 23, 11	2,140 21	2,854 67		-714 46	
	FIFO	23.000	Apr 06, 11	Aug 23, 11	723 89	971.74		-247.85	
	FIFO	29 000	May 03, 11	Aug 23, 11	912.73	1,249.03		-336.30	
	FIFO	80.000	Jun 10, 11	Aug 23, 11	2,517.89	3,088.08		-570.19	
Total					**$59,334.83**	**$78,942.57**		**-$19,654.45**	**$46.71**
Net short-term capital gains and losses								**-$19,607.74**	

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Realized gains and losses (continued)

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
HONEYWELL INTL INC	FIFO	106 000	May 26, 10	Aug 18, 11	4,544 34	4,511 16			33 18
	FIFO	30 000	May 27, 10	Aug 18, 11	1,286 14	1,293 10		-6 96	
	FIFO	29.000	Jul 02, 10	Aug 18, 11	1,243 26	1,113.29			129 97
	FIFO	86 000	Jul 02, 10	Aug 18, 11	3,676.12	3,301 49			374 63
	FIFO	32 000	Jul 28, 10	Aug 18, 11	1,367 86	1,388 33		-20 47	
	FIFO	36.000	Aug 05, 10	Aug 18, 11	1,538 84	1,588 01		-49 17	
WALT DISNEY CO (HOLDING CO) DISNEY COM	FIFO	94 000	May 06, 09	Aug 16, 11	3,153 37	2,370 75			782 62
	FIFO	48 000	May 06, 09	Aug 16, 11	1,610 23	1,239 93			370 30
	FIFO	30 000	May 06, 09	Aug 16, 11	1,006 39	773 40			232.99
	FIFO	112 000	May 12, 09	Aug 16, 11	3,757 20	2,721 68			1,035.52
	FIFO	97 000	May 15, 09	Aug 17, 11	3,225 44	2,338.29			887 15
	FIFO	73 000	May 21, 09	Aug 17, 11	2,427 39	1,686 30			741 09
	FIFO	22 000	Jul 15, 09	Aug 17, 11	731.54	518 43			213 11
	FIFO	5 000	Jul 16, 09	Aug 17, 11	166.26	123 30			42 96
	FIFO	62 000	Jul 16, 09	Aug 23, 11	1,951 36	1,528.95			422.41
	FIFO	33 000	Jul 28, 09	Aug 23, 11	1,038.63	871.13			167 50
	FIFO	32 000	Jul 31, 09	Aug 23, 11	1,007 16	818 85			188 31
	FIFO	19 000	Aug 06, 09	Aug 23, 11	597 99	477.83			120 16
	FIFO	34 000	Sep 01, 09	Aug 23, 11	1,070 11	872 76			197.35
	FIFO	37 000	Sep 18, 09	Aug 23, 11	1,164 52	1,052 16			112 36
	FIFO	122 000	Oct 13, 09	Aug 23, 11	3,839 78	3,468 41			371.37
Total					**$40,403.93**	**$34,057.55**		**-$76.60**	**$6,422.98**
Net long-term capital gains or losses									**$6,346.38**
Net capital gains/losses:								**-$13,261.36**	

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
GENERAL MOTORS CO	FIFO	261 000	Nov 18, 10	Sep 15, 11	5,912 63	9,260 44		-3,347.81	
	FIFO	74 000	Nov 19, 10	Sep 15, 11	1,676 38	2,503 50		-827 12	
	FIFO	24 000	Nov 19, 10	Sep 15, 11	543 69	816 00		-272 31	
	FIFO	66 000	Nov 19, 10	Sep 16, 11	1,489 76	2,244 00		-754 24	
	FIFO	32.000	Nov 29, 10	Sep 16, 11	722 31	1,079 84		-357 53	
	FIFO	29 000	Nov 30, 10	Sep 16, 11	654 60	981 36		-326 76	
	FIFO	2.000	Dec 14, 10	Sep 16, 11	45 14	67 71		-22 57	
GLACIER BANCORP INC NEW	FIFO	56 000	Apr 26, 11	Sep 15, 11	582 99	841 16		-258.17	
OPENTABLE INC	FIFO	16 000	Feb 11, 11	Aug 31, 11	980 77	1,503 97		-523 20	
ULTA SALON COSMETICS & FRAGRANCE INC	FIFO	52 000	Apr 07, 11	Sep 20, 11	3,791 84	2,665 57			1,126 27
Total					**$16,400.11**	**$21,963.55**		**-$6,689.71**	**$1,126.27**
Net short-term capital gains and losses								**-$5,563.44**	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
GLACIER BANCORP INC NEW	FIFO	36.000	Apr 14, 10	Sep 15, 11	374 78	620 10		-245.32	
	FIFO	178 000	Apr 15, 10	Sep 15, 11	1,853 09	3,098 36		-1,245 27	
	FIFO	43 000	May 04, 10	Sep 15, 11	447 66	791 16		-343 50	
	FIFO	34 000	May 25, 10	Sep 15, 11	353 96	531 57		-177 61	
OPENTABLE INC	FIFO	14 000	Apr 09, 10	Aug 31, 11	858 18	532 60			325 58
	FIFO	28 000	Jun 14, 10	Aug 31, 11	1,716 35	1,168 86			547 49
PRECISION CASTPARTS CORP	FIFO	27 000	Mar 26, 09	Sep 07, 11	4,294 93	1,720 27			2,574.66
	FIFO	5 000	Apr 24, 09	Sep 07, 11	795 36	361 81			433 55
	FIFO	3 000	Sep 25, 09	Sep 07, 11	477 21	300 59			176 62
Total					**$11,171.52**	**$9,125.32**		**-$2,011.70**	**$4,057.90**
Net long-term capital gains or losses									**$2,046.20**
Net capital gains/losses:								**-$3,517.24**	

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Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
BB&T CORP	FIFO	61 000	May 11, 11	Oct 04, 11	1,233.46	1,658.37		-424.91	
	FIFO	87.000	May 11, 11	Oct 05, 11	1,816 27	2,365.22		-548.95	
	FIFO	270.000	May 11, 11	Oct 07, 11	5,651.91	7,340.33		-1,688 42	
	FIFO	132.000	May 12, 11	Oct 07, 11	2,763.15	3,551.86		-788.71	
	FIFO	3.000	May 12, 11	Oct 11, 11	65.29	80.72		-15.43	
	FIFO	36 000	May 13, 11	Oct 11, 11	783 44	962.39		-178.95	
	FIFO	93 000	May 17, 11	Oct 11, 11	2,023.89	2,501.41		-477.52	
	FIFO	64.000	May 18, 11	Oct 11, 11	1,392.78	1,732.51		-339.73	
	FIFO	73.000	May 19, 11	Oct 11, 11	1,588.65	1,985.87		-397.22	
	FIFO	63 000	May 24, 11	Oct 11, 11	1,371.02	1,642.76		-271.74	
	FIFO	18 000	Jun 07, 11	Oct 11, 11	391.72	466 38		-74.66	
	FIFO	43.000	Jun 10, 11	Oct 11, 11	935.78	1,101.11		-165.33	
	FIFO	17.000	Jun 13, 11	Oct 11, 11	369.96	443.69		-73.73	
COLONY FINANCIAL INC REIT SBI	FIFO	85.000	May 03, 11	Oct 14, 11	1,108.69	1,576.75		-468.06	
	FIFO	160.000	May 03, 11	Oct 24, 11	2,256.08	2,968.00		-711.92	
	FIFO	79.000	May 05, 11	Oct 24, 11	1,113.94	1,460.79		-346.85	
GENERAL MOTORS CO	FIFO	22.000	Dec 14, 10	Oct 03, 11	443.33	744.81		-301.48	
	FIFO	88 000	Dec 28, 10	Oct 03, 11	1,773.33	3,122.73		-1,349.40	
	FIFO	4.000	Jan 11, 11	Oct 03, 11	80.61	156.95		-76 34	
	FIFO	30.000	Feb 04, 11	Oct 03, 11	604.54	1,088.51		-483.97	
	FIFO	30 000	Feb 04, 11	Oct 05, 11	655.53	1,088.51		-432.98	
	FIFO	39.000	Feb 07, 11	Oct 05, 11	852.18	1,441.26		-589.08	
	FIFO	48 000	Feb 24, 11	Oct 05, 11	1,048.85	1,583.16		-534.31	
	FIFO	31.000	Feb 24, 11	Oct 10, 11	709.50	1,022.45		-312.95	

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	26 000	Mar 02, 11	Oct 10, 11	595 07	855.98		-260.91	
	FIFO	20 000	Mar 02, 11	Oct 18, 11	458.39	658.45		-200 06	
	FIFO	34.000	Mar 15, 11	Oct 18, 11	779.25	1,089.31		-310.06	
	FIFO	57 000	Apr 11, 11	Oct 18, 11	1,306.40	1,747.75		-441 35	
	FIFO	77 000	May 05, 11	Oct 18, 11	1,764 78	2,513.36		-748 58	
	FIFO	57.000	Jun 10, 11	Oct 18, 11	1,306.40	1,646.11		-339 71	
JEFFERIES GROUP INC NEW	FIFO	36 000	Mar 23, 11	Oct 13, 11	451.74	891.08		-439.34	
	FIFO	25.000	Mar 24, 11	Oct 13, 11	313.70	627.24		-313 54	
	FIFO	70 000	Apr 06, 11	Oct 13, 11	878.37	1,749 18		-870.81	
	FIFO	5.000	Apr 06, 11	Oct 21, 11	63 66	124 94		-61.28	
	FIFO	222 000	Apr 12, 11	Oct 21, 11	2,826.42	5,483.29		-2,656.87	
	FIFO	144 000	Apr 12, 11	Oct 24, 11	1,896.68	3,556.73		-1,660 05	
	FIFO	100 000	Apr 26, 11	Oct 24, 11	1,317.14	2,396 40		-1,079.26	
PANERA BREAD COMP CL A	FIFO	13 000	Aug 25, 11	Oct 14, 11	1,437.72	1,393.20			44.52
ULTA SALON COSMETICS & FRAGRANCE INC	FIFO	24 000	Apr 07, 11	Oct 19, 11	1,729 28	1,230.27			499 01
WENDYS CO	FIFO	376 000	Jan 31, 11	Oct 11, 11	1,736.26	1,821.27		-85 01	
	FIFO	67 000	Feb 01, 11	Oct 11, 11	309 39	329.19		-19 80	
	FIFO	302 000	Feb 01, 11	Oct 26, 11	1,489.50	1,483.82			5.68
	FIFO	374 000	Feb 02, 11	Oct 26, 11	1,844 61	1,861.81		-17 20	
	FIFO	59.000	Feb 03, 11	Oct 26, 11	290.99	299.45		-8.46	
Total					**$53,829.65**	**$73,845.37**		**-$20,564.93**	**$549.21**
Net short-term capital gains and losses								**-$20,015.72**	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AMYRIS INC COM	FIFO	110.000	Sep 30, 10	Oct 17, 11	1,803.39	1,894.35		-90.96	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
APPLE INC	FIFO	11.000	Jan 27, 10	Oct 10, 11	4,196.88	2,304.07			1,892 81
	FIFO	6.000	Jan 29, 10	Oct 10, 11	2,289.21	1,170.93			1,118.28
	FIFO	12.000	Apr 21, 10	Oct 10, 11	4,578.42	3,107.97			1,470.45
	FIFO	8.000	May 25, 10	Oct 10, 11	3,052.28	1,929.44			1,122.84
	FIFO	2.000	Aug 13, 10	Oct 10, 11	763.07	499.81			263.26
FIRST HORIZON NATL CORP	FIFO	229.000	Dec 03, 09	Oct 05, 11	1,368.06	2,994.61		-1,626.55	
	FIFO	228.000	Dec 03, 09	Oct 05, 11	1,362.09	2,960.77		-1,598.68	
	FIFO	13.000	Jan 12, 10	Oct 05, 11	77.66	169.86		-92.20	
	FIFO	124.000	Jan 12, 10	Oct 10, 11	788.13	1,620.24		-832.11	
	FIFO	120 000	Mar 18, 10	Oct 10, 11	762.70	1,645.19		-882.49	
	FIFO	106.000	Mar 26, 10	Oct 10, 11	673.73	1,467.31		-793.58	
	FIFO	113.000	Apr 07, 10	Oct 10, 11	718.21	1,597.83		-879.62	
	FIFO	71.000	Apr 13, 10	Oct 10, 11	451.27	1,032.14		-580.87	
	FIFO	12.000	Apr 16, 10	Oct 10, 11	76.27	165.84		-89.57	
	FIFO	73.000	Apr 16, 10	Oct 11, 11	463.31	1,008.87		-545.56	
	FIFO	36 000	Apr 19, 10	Oct 11, 11	228.48	501.17		-272.69	
	FIFO	71.000	Apr 26, 10	Oct 11, 11	450.61	981.82		-531.21	
	FIFO	59.000	Apr 28, 10	Oct 11, 11	374.46	812.63		-438.17	
	FIFO	53.000	May 25, 10	Oct 11, 11	336 37	569.55		-233.18	
	FIFO	80.000	Sep 01, 10	Oct 11, 11	507.73	746.89		-239.16	
	FIFO	73 000	Sep 14, 10	Oct 11, 11	463.31	801.19		-337.88	
FIRST NIAGARA FINL GROUP NEW	FIFO	297.000	Sep 25, 09	Oct 12, 11	2,813.22	3,566.97		-753.75	
	FIFO	19.000	Oct 13, 09	Oct 12, 11	179.97	250.11		-70.14	
	FIFO	61 000	Oct 13, 09	Oct 24, 11	526.51	802.99		-276.48	
	FIFO	100.000	Oct 14, 09	Oct 24, 11	863 13	1,318.06		-454.93	
	FIFO	11.000	Oct 14, 09	Oct 25, 11	94.09	144.99		-50.90	
	FIFO	106.000	Jan 14, 10	Oct 25, 11	906.72	1,529.86		-623.14	
	FIFO	60 000	Apr 13, 10	Oct 25, 11	513.23	879.62		-366.39	
	FIFO	48.000	Apr 16, 10	Oct 25, 11	410.59	689.68		-279.09	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	54 000	Apr 19, 10	Oct 25, 11	461 91	774.54		-312 63	
	FIFO	35 000	May 11, 10	Oct 25, 11	299.39	474.17		-174 78	
INFORMATICA CORP	FIFO	18.000	Sep 24, 10	Oct 24, 11	803.47	689 52			113 95
	FIFO	43.000	Sep 27, 10	Oct 24, 11	1,919.41	1,633.27			286.14
JEFFERIES GROUP INC NEW	FIFO	44.000	Aug 31, 07	Oct 10, 11	547 40	1,137 30		-589 90	
	FIFO	78 000	Oct 17, 07	Oct 10, 11	970.38	1,988.70		-1,018 32	
	FIFO	12 000	Oct 17, 07	Oct 12, 11	153 95	305.95		-152 00	
	FIFO	55 000	Nov 20, 07	Oct 12, 11	705 61	1,294.03		-588.42	
	FIFO	40.000	Nov 21, 07	Oct 12, 11	513.17	915 00		-401.83	
	FIFO	32.000	Nov 26, 07	Oct 12, 11	410.53	749.73		-339 20	
	FIFO	19 000	Feb 08, 08	Oct 12, 11	243 76	360.67		-116.91	
	FIFO	59 000	Feb 08, 08	Oct 13, 11	740.34	1,119 97		-379 63	
	FIFO	40 000	Feb 14, 08	Oct 13, 11	501.93	734.40		-232.47	
	FIFO	28.000	Jun 29, 10	Oct 13, 11	351.34	595.22		-243.88	
PNC FINANCIAL SERVICES GROUP	FIFO	46 000	Apr 24, 09	Oct 04, 11	2,106 24	1,971.88			134.36
	FIFO	41.000	Apr 24, 09	Oct 04, 11	1,865.74	1,757.55			108.19
	FIFO	180.000	Apr 24, 09	Oct 05, 11	8,359.77	7,716.06			643.71
	FIFO	53.000	May 06, 09	Oct 05, 11	2,461 49	2,395.91			65.58
	FIFO	5.000	Oct 16, 09	Oct 05, 11	232 22	225.40			6 82
PRECISION CASTPARTS CORP	FIFO	18.000	Nov 12, 09	Oct 10, 11	2,886 90	1,841.14			1,045 76
	FIFO	5 000	Mar 30, 10	Oct 10, 11	801 92	635.37			166 55
VAIL RESORTS INC	FIFO	14 000	Apr 03, 07	Oct 10, 11	566.30	784.47		-218.17	
	FIFO	5 000	Apr 09, 07	Oct 10, 11	202.25	277.36		-75.11	
	FIFO	34 000	Jun 08, 07	Oct 10, 11	1,375.29	2,053.58		-678.29	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
WILLIAMS SONOMA INC	FIFO	76.000	Mar 25, 10	Oct 10, 11	2,473.45	2,107.38			366 07
	FIFO	39.000	Mar 25, 10	Oct 11, 11	1,250.16	1,081.42			168.74
	FIFO	25.000	Apr 08, 10	Oct 11, 11	801.39	697.42			103.97
	FIFO	26.000	Apr 28, 10	Oct 11, 11	833.44	766.04			67.40
	FIFO	57.000	May 03, 10	Oct 11, 11	1,827.16	1,733.77			93.39
	FIFO	2.000	May 03, 10	Oct 12, 11	66.12	60.83			5.29
	FIFO	62.000	May 04, 10	Oct 12, 11	2,049.64	1,826.37			223 27
	FIFO	31.000	May 25, 10	Oct 12, 11	1,024.82	902.21			122.61
	FIFO	82.000	Jul 27, 10	Oct 12, 11	2,710.82	2,194.84			515.98
	FIFO	20.000	Jul 30, 10	Oct 12, 11	661.17	530.56			130.61
Total					**$75,271.98**	**$83,496.79**		**-$18,460.84**	**$10,236.03**
Net long-term capital gains or losses								**-$8,224.81**	
Net capital gains/losses:								**-$28,240.53**	

End of statement for account number PF 00116 JR

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
EXPEDITORS INTL WASH INC	FIFO	62.000	Jun 15, 11	Nov 02, 11	2,702 36	2,794 22	-111 55	-91 86	
GREEN MTN COFFEE ROASTERS INC	FIFO	31 000	Aug 23, 11	Nov 22, 11	1,566 92	2,884 03		-1,317.11	
	FIFO	16 000	Oct 10, 11	Nov 22, 11	808 74	1,515 55		-706.81	
OPENTABLE INC	FIFO	34.000	Feb 11, 11	Nov 01, 11	1,471.38	3,195.94		-1,724.56	
	FIFO	12 000	Feb 28, 11	Nov 01, 11	519 32	1,057.39		-538 07	
	FIFO	20 000	May 04, 11	Nov 01, 11	865.52	1,818.19		-952 67	
	FIFO	9 000	Jun 10, 11	Nov 01, 11	389.48	721 04		-331 56	
ROSS STORES INC	FIFO	28 000	May 04, 11	Oct 27, 11	2,462.73	2,052 00			410 73
WENDYS CO	FIFO	284 000	Feb 03, 11	Nov 01, 11	1,445.36	1,441.41			3.95
	FIFO	12 000	Feb 07, 11	Nov 01, 11	61 07	60 56			0 51
	FIFO	367 000	Feb 07, 11	Nov 04, 11	1,993.79	1,852.06			141 73
	FIFO	28 000	Apr 06, 11	Nov 04, 11	152 12	143.92			8 20
Total					**$14,438.79**	**$19,536.31**		**-$5,662.64**	**$565.12**
Net short-term capital gains and losses								**-$5,097.52**	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ANSYS INC	FIFO	33 000	Jan 06, 10	Nov 02, 11	1,771.41	1,438.44			332.97
	FIFO	21 000	Jan 19, 10	Nov 02, 11	1,127 26	923.45			203 81
FIRST HORIZON NATL CORP	FIFO	23 000	Sep 14, 10	Nov 15, 11	165 54	252 43		-86 89	
	FIFO	154 000	Sep 23, 10	Nov 15, 11	1,108 42	1,647 73		-539 31	
	FIFO	42.000	Oct 01, 10	Nov 15, 11	302.30	475 10		-172 80	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	134 000	Oct 01, 10	Nov 15, 11	964 22	1,515 78		-551 56	
	FIFO	85 000	Oct 20, 10	Nov 15, 11	611 63	845 04		-233 41	
	FIFO	91 000	Nov 03, 10	Nov 15, 11	654 80	821 38		-166 58	
FULTON FINCL CORP PA	FIFO	216 000	Oct 05, 10	Oct 27, 11	2,133 38	1,985 26			148 12
WILLIAMS SONOMA INC	FIFO	48 000	Jul 30, 10	Nov 02, 11	1,800 94	1,273 34			527 60
	FIFO	12 000	Aug 03, 10	Nov 02, 11	450 24	331 31			118 93
	FIFO	27 000	Aug 03, 10	Nov 11, 11	1,027 01	745 45			281 56
	FIFO	52 000	Aug 05, 10	Nov 11, 11	1,977 95	1,424 98			552 97
	FIFO	15 000	Aug 05, 10	Nov 18, 11	550 01	411 05			138 96
	FIFO	22 000	Aug 09, 10	Nov 18, 11	806 69	627 20			179 49
	FIFO	1.000	Sep 24, 10	Nov 18, 11	36 67	31 75			4 92
Total					**$15,488.47**	**$14,749.69**		**-$1,750.55**	**$2,489.33**
Net long-term capital gains or losses									**$738.78**
Net capital gains/losses:								**-$4,358.74**	

End of statement for account number PF 00116 JR

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
CARMAX INC	FIFO	68.000	Sep 15, 11	Dec 05, 11	2,171 12	1,942.01			229 11
	FIFO	44 000	Sep 15, 11	Dec 14, 11	1,317 86	1,256.59			61 27
	FIFO	4 000	Sep 16, 11	Dec 14, 11	119.80	117 67			2.13
HOMEAWAY INC	FIFO	89.000	Jun 29, 11	Dec 01, 11	2,314 10	3,628 48		-1,314 38	
	FIFO	39.000	Aug 24, 11	Dec 01, 11	1,014 05	1,431 19		-417.14	
PANERA BREAD COMP CL A	FIFO	9.000	Aug 25, 11	Nov 29, 11	1,257.30	964 52			292 78
	FIFO	7 000	Aug 25, 11	Dec 14, 11	934 08	750 18			183 90
RALPH LAUREN CORP CL A	FIFO	18 000	Aug 12, 11	Dec 21, 11	2,454 71	2,409.29			45 42
ROSS STORES INC	FIFO	23.000	May 04, 11	Dec 13, 11	2,158.14	1,685 57			472 57
	FIFO	15.000	May 05, 11	Dec 13, 11	1,407 48	1,182 05			225.43
RUE21 INC	FIFO	62.000	Jun 13, 11	Dec 22, 11	1,318 76	1,912.75		-593 99	
ULTA SALON COSMETICS & FRAGRANCE INC	FIFO	21.000	Apr 20, 11	Dec 29, 11	1,350.45	1,102 94			247 51
ZIPCAR INC COM	FIFO	55.000	Apr 20, 11	Dec 02, 11	831 79	1,567 50		-735 71	
	FIFO	37.000	Apr 20, 11	Dec 05, 11	554.96	1,054 50		-499 54	
	FIFO	84 000	Apr 27, 11	Dec 05, 11	1,259 89	2,160 19		-900 30	
	FIFO	29 000	May 03, 11	Dec 05, 11	434 97	701 35		-266.38	
Total					**$20,899.46**	**$23,866.78**		**-$4,727.44**	**$1,760.12**
Net short-term capital gains and losses								**-$2,967.32**	

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Realized gains and losses (continued)

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
CITY NATIONAL CORP	FIFO	7.000	Sep 01, 09	Dec 21, 11	301 00	266.75			34.25
	FIFO	8 000	Dec 15, 09	Dec 21, 11	344 00	326 94			17.06
FIRST NIAGARA FINL GROUP NEW	FIFO	49 000	May 11, 10	Dec 15, 11	416 92	663 84		-246.92	
	FIFO	61.000	Jul 27, 10	Dec 15, 11	519.02	835 85		-316 83	
	FIFO	79 000	Aug 17, 10	Dec 15, 11	672.17	1,021 47		-349 30	
	FIFO	82.000	Aug 19, 10	Dec 15, 11	697 70	990 53		-292 83	
	FIFO	132.000	Aug 20, 10	Dec 15, 11	1,123 13	1,558 26		-435 13	
	FIFO	13.000	Aug 20, 10	Dec 15, 11	110 61	156.15		-45 54	
INFORMATICA CORP	FIFO	2.000	Sep 27, 10	Dec 05, 11	95 35	75 97			19 38
	FIFO	16.000	Sep 30, 10	Dec 05, 11	762 77	611.62			151.15
	FIFO	21.000	Sep 30, 10	Dec 14, 11	840 25	802 74			37 51
	FIFO	15.000	Oct 13, 10	Dec 14, 11	600.17	566.36			33 81
	FIFO	15 000	Oct 13, 10	Dec 22, 11	552.74	566 36		-13.62	
	FIFO	50 000	Oct 20, 10	Dec 22, 11	1,842 48	1,854 86		-12.38	
INTUITIVE SURGICAL INC NEW	FIFO	4 000	Sep 18, 09	Nov 30, 11	1,728 25	987 97			740 28
	FIFO	2 000	Sep 18, 09	Dec 14, 11	858 74	493.98			364 76
	FIFO	5 000	Sep 25, 09	Dec 14, 11	2,146 85	1,289 29			857 56
	FIFO	3 000	Sep 25, 09	Dec 21, 11	1,321 05	773 58			547.47
	FIFO	5 000	Oct 21, 09	Dec 21, 11	2,201 76	1,264 01			937.75
RUE21 INC	FIFO	22 000	Feb 26, 10	Dec 22, 11	467 95	638 34		-170 39	
	FIFO	33 000	Mar 04, 10	Dec 22, 11	701 92	981 80		-279 88	
	FIFO	28 000	May 04, 10	Dec 22, 11	595 57	952 19		-356 62	
	FIFO	13 000	May 10, 10	Dec 22, 11	276 51	425 88		-149 37	
	FIFO	68 000	Aug 26, 10	Dec 22, 11	1,446 39	1,428 90			17 49
VAIL RESORTS INC	FIFO	30 000	Jun 08, 07	Dec 16, 11	1,279 55	1,811 99		-532 44	
	FIFO	9 000	Jul 20, 07	Dec 16, 11	383 87	556 33		-172 46	
	FIFO	3.000	Aug 08, 07	Dec 16, 11	127 95	159 69		-31 74	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
WILLIAMS SONOMA INC	FIFO	29.000	Sep 24, 10	Dec 14, 11	1,075.10	920.61			154 49
	FIFO	23 000	Sep 30, 10	Dec 14, 11	852 66	741.98			110 68
	FIFO	36 000	Oct 01, 10	Dec 14, 11	1,334 60	1,144 44			190.16
Total					**$25,677.03**	**$24,868.68**		**-$3,405.45**	**$4,213.80**
Net long-term capital gains or losses									**$808.35**
Net capital gains/losses:								**-$2,158.97**	

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
BANK OF AMER CORP	FIFO	250 000	Nov 03, 10	Aug 08, 11	1,710 31	2,883 05		-1,172 74	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
BANK OF AMER CORP	FIFO	375.000	Mar 25, 10	Aug 08, 11	2,565 47	6,862 99		-4,297 52	
	FIFO	10.000	Mar 26, 10	Aug 08, 11	68.42	180 50		-112.08	
FEDERATED INVESTORS INC PA CL B	FIFO	194 000	Aug 11, 09	Jul 27, 11	4,133 21	5,130 40		-997 19	
Total					**$6,767.10**	**$12,173.89**		**-$5,406.79**	
Net long-term capital gains or losses								**-$5,406.79**	
Net capital gains/losses:								**-$6,579.53**	

UBS

ACCESS
September 2011

Account name: JOHN C AND EUNICE B MORRISON
Friendly account name: NFJ, ACV
Account number: PF 00117 JR

Your Financial Advisor:
THE MCDONALD GROUP
978-975-1100/800-445-0195

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
SUPERVALU INC	FIFO	205 000	Nov 03, 10	Sep 07, 11	1,589 25	2,185 63		-596 38	
	FIFO	645.000	Feb 04, 11	Sep 07, 11	5,000 33	5,081 37		-81 04	
	FIFO	25 000	Feb 08, 11	Sep 07, 11	193 81	195 70		-1 89	
XILINX INC	FIFO	293 000	Oct 05, 10	Sep 07, 11	8,842 92	7,731 77			1,111 15
Total					**$15,626.31**	**$15,194.47**		**-$679.31**	**$1,111.15**

UBS

Friendly account name: NFJ, ACV
Account number: PF 00117 JR

Realized gains and losses (continued)

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
FEDERATED INVESTORS INC PA CL B	FIFO	66 000	Aug 11, 09	Sep 07, 11	1,117 44	1,745.40		-627 96	
	FIFO	185 000	Aug 17, 09	Sep 07, 11	3,132.23	4,726 84		-1,594 61	
	FIFO	85 000	Apr 16, 10	Sep 07, 11	1,439.14	2,275 30		-836.16	
	FIFO	55 000	May 17, 10	Sep 07, 11	931 20	1,280.54		-349 34	
SUPERVALU INC	FIFO	190.000	Jan 11, 08	Sep 07, 11	1,472.96	5,312.19		-3,839 23	
	FIFO	380.000	May 17, 10	Sep 07, 11	2,945.93	5,217 29		-2,271 36	
	FIFO	45.000	Jun 18, 10	Sep 07, 11	348.86	583.65		-234.79	
	FIFO	130 000	Jul 22, 10	Sep 07, 11	1,007 82	1,440 08		-432.26	
YAMANA GOLD INC CAD	FIFO	50 000	Apr 22, 09	Sep 02, 11	834 08	384 33			449 75
Total					**$13,229.66**	**$22,965.62**		**-$10,185.71**	**$449.75**

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
XILINX INC	FIFO	237.000	Oct 05, 10	Sep 28, 11	6,791.06	6,254.03			537.03
	FIFO	10 000	Oct 11, 10	Sep 28, 11	286 54	266 08			20.46
Total					**$7,077.60**	**$6,520.11**			**$557.49**
Net short-term capital gains and losses									**$557.49**

continued next page

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
CHEVRON CORP	FIFO	22.000	Oct 06, 06	Oct 24, 11	2,336.01	1,401.84			934.17
GLAXO SMITHKLINE PLC ADR	FIFO	55.000	Oct 06, 06	Oct 24, 11	2,454.36	2,987.60		-533.24	
LIMITED BRANDS INC CL A	FIFO	60.000	Jul 01, 09	Oct 24, 11	2,617.24	753.07			1,864.17
NISOURCE INC	FIFO	250.000	Dec 11, 07	Oct 20, 11	5,594.09	4,780.50			813.59
	FIFO	75.000	Dec 12, 07	Oct 20, 11	1,678.23	1,452.52			225.71
	FIFO	125.000	Dec 13, 07	Oct 20, 11	2,797.04	2,402.48			394.56
VF CORP	FIFO	19.000	Nov 25, 08	Oct 24, 11	2,607.86	901.58			1,706.28
Total					**$20,084.83**	**$14,679.59**		**-$533.24**	**$5,938.48**
Net long-term capital gains or losses									**$5,405.24**
Net capital gains/losses:									**$5,962.73**

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AVERY DENNISON CORP	FIFO	208 000	Mar 03, 11	Oct 28, 11	5,698 51	8,669 57		-2,971 06	

End of statement for account number PF 00117 JR

Realized gains and losses

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Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
BAYERISCHE MOTOREN WERKE A G ADR	FIFO	47.000	Feb 04, 10	Aug 03, 11	1,471 39	664 97			806 42
CENTRICA PLC NEW 2004 SPON ADR	FIFO	116 000	Mar 04, 10	Aug 24, 11	2,341 07	1,990 30			350 77
FANUC CORP ADR	FIFO	32 000	Jul 29, 10	Aug 05, 11	905 62	645 56			260 06
IMPERIAL TOBACCO GROUP PLC SPON ADR	FIFO	23.000	Oct 10, 06	Jul 29, 11	1,600 46	1,529 73			70 73
INTL POWER PLC ADR	FIFO	6 000	Oct 10, 06	Aug 09, 11	272 57	361 38		-88 81	
	FIFO	39.000	Aug 26, 08	Aug 09, 11	1,771 71	2,783.96		-1,012 25	
	FIFO	1 000	Aug 28, 08	Aug 09, 11	45 43	73 59		-28.16	
NOVO NORDISK ADR DENMARK ADR	FIFO	14 000	Oct 10, 06	Aug 04, 11	1,580.07	528.43			1,051 64
	FIFO	2 000	Oct 19, 06	Aug 04, 11	225 72	77 05			148 67
Total					**$10,214.04**	**$8,654.97**		**-$1,129.22**	**$2,688.29**
Net long-term capital gains or losses									**$1,559.07**
Net capital gains/losses:									**$1,559.07**

Account activity this month (continued)

	Date	Activity	Description	Amount ($)
Money balance activities (continued)	Sep 26	Bought	RMA MONEY MKT PORTFOLIO AS OF 09/23/11	0.32
	Sep 26	Sold	RMA MONEY MKT PORTFOLIO	-1,612 46
	Sep 27	Sold	RMA MONEY MKT. PORTFOLIO	-3,026 60
	Sep 30	Sold	RMA MONEY MKT PORTFOLIO	-1,336 40
	Sep 30	**Closing RMA Money Mkt. Portfolio**		**$28,232.41**

The RMA Money Market Portfolio is your primary sweep option

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order See *Important information about your statement* at the end of this document for more information We may not adjust gains and losses for all capital changes We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR	FIFO	34 000	Oct 10, 06	Aug 31, 11	2,081 53	1,184 56			896 97
SHIRE PLC SPON ADR	FIFO	26 000	Oct 10, 06	Sep 07, 11	2,469 25	1,364 74			1,104 51
Total					**$4,550.78**	**$2,549.30**			**$2,001.48**
Net long-term capital gains or losses									**$2,001.48**
Net capital gains/losses:									**$2,001.48**

Realized gains and losses

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Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
BHP BILLITON LTD SPON ADR	FIFO	30.000	Oct 10, 06	Oct 05, 11	2,036.19	1,156.50			879.69
CSL LTD ADR	FIFO	244.000	Feb 23, 09	Oct 18, 11	3,728.48	2,899 14			829.34
INDUSTRIAL & COML BK CHINA ADR	FIFO	93.000	Jan 28, 10	Oct 06, 11	893.67	1,391.32		-497.65	
Total					**$6,658.34**	**$5,446.96**		**-$497.65**	**$1,709.03**
Net long-term capital gains or losses									**$1,211.38**
Net capital gains/losses:									**$1,211.38**

End of statement for account number PF 00118 JR

Realized gains and losses

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Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
BAYER A G SPON ADR	FIFO	29 000	Feb 04, 08	Oct 31, 11	1,884 83	2,422 50		-537 67	
	FIFO	25 000	Apr 27, 09	Oct 31, 11	1,624 85	1,268 32			356 53
BAYERISCHE MOTOREN WERKE A G ADR	FIFO	59.000	Feb 04, 10	Nov 03, 11	1,607 19	834 76			772 43
Total					**$5,116.87**	**$4,525.58**		**-$537.67**	**$1,128.96**
Net long-term capital gains or losses									**$591.29**
Net capital gains/losses:									**$591.29**

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
CAP GEMINI SA ADR	FIFO	12.000	Feb 10, 11	Dec 12, 11	207.19	318.12		-110.93	
	FIFO	14 000	Feb 10, 11	Dec 13, 11	238.18	371.13		-132.95	
	FIFO	8 000	Feb 10, 11	Dec 16, 11	127.28	212.08		-84.80	
	FIFO	16.000	Feb 10, 11	Dec 19, 11	252.85	424 16		-171.31	
	FIFO	14 000	Feb 10, 11	Dec 20, 11	225 63	371 13		-145.50	
	FIFO	10.000	Feb 10, 11	Dec 21, 11	158 48	265 10		-106 62	
	FIFO	15 000	Feb 10, 11	Dec 22, 11	237 69	397.65		-159 96	
	FIFO	9.000	Feb 10, 11	Dec 28, 11	139 81	238.58		-98.77	
Total					**$1,587.11**	**$2,597.95**		**-$1,010.84**	
Net short-term capital gains and losses								**-$1,010.84**	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
BAYERISCHE MOTOREN WERKE A G ADR	FIFO	13.000	Feb 04, 10	Dec 28, 11	286.13	183.93			102 20
	FIFO	99.000	Apr 06, 10	Dec 28, 11	2,179 03	1,558 81			620.22
CDN NATL RAILWAY CO CAD	FIFO	32.000	Oct 10, 06	Dec 06, 11	2,493.64	1,337.92			1,155.72
CSL LTD ADR	FIFO	119.000	Feb 23, 09	Dec 29, 11	1,922 47	1,413.92			508.55
	FIFO	124 000	Apr 20, 09	Dec 29, 11	2,003.24	1,455.76			547 48
NOVARTIS AG SPON ADR	FIFO	30.000	Oct 19, 09	Dec 20, 11	1,684.43	1,561.62			122.81
	FIFO	7.000	Oct 20, 09	Dec 20, 11	393.03	365.04			27.99
TOYOTA MOTOR CORP NEW JAPAN SPON ADR	FIFO	25.000	Oct 10, 06	Dec 12, 11	1,662.35	2,867.50		-1,205.15	
VOLVO AKTIEBOLAGET ADR B ADR	FIFO	148.000	Dec 15, 10	Dec 20, 11	1,518 12	2,404.33		-886.21	
Total					**$14,142.44**	**$13,148.83**		**-$2,091.36**	**$3,084.97**
Net long-term capital gains or losses									**$993.61**
Net capital gains/losses:								**-$17.23**	

Account activity this month (continued)

	Date	Activity	Description	Amount ($)
Money balance activities (continued)	Aug 25	Bought	RMA MONEY MKT PORTFOLIO AS OF 08/24/11	0 04
	Aug 25	Bought	RMA MONEY MKT PORTFOLIO	1,723 17
	Aug 26	Bought	RMA MONEY MKT. PORTFOLIO	23 16
	Aug 29	Bought	RMA MONEY MKT PORTFOLIO	58 25
	Aug 30	Bought	RMA MONEY MKT PORTFOLIO	170 75
	Aug 31	Bought	RMA MONEY MKT PORTFOLIO	2,051 01
	Aug 31	**Closing RMA Money Mkt. Portfolio**		**$16,285.17**

The RMA Money Market Portfolio is your primary sweep option

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ADVANCED INFO SVC PUB CO LTD SPONS ADR THAILAND ADR	FIFO	219 000	Feb 04, 11	Jul 28, 11	830 38	581.69			248 69
AIA GROUP LTD SPON ADR	FIFO	64 000	Feb 28, 11	Jul 29, 11	931 83	745 82			186 01
ANGLO AMERN PLC NEW ADR	FIFO	25 000	Nov 09, 10	Aug 08, 11	483 48	602 09		-118 61	
	FIFO	50 000	Jul 14, 11	Aug 08, 11	966 95	1,205 73		-238 78	
BARCLAYS PLC ADR	FIFO	152 000	Mar 21, 11	Aug 03, 11	2,121 25	2,121.25	-770 23		
BNP PARIBAS SA ADR	FIFO	56 000	Mar 16, 11	Aug 03, 11	1,678.73	1,678 73	-274 70		
CANON INC ADR JAPAN SPON ADR	FIFO	28 000	Feb 16, 11	Aug 18, 11	1,262 39	1,328 17		-65 78	
CARNIVAL CORP NEW (PAIRED STOCK)	FIFO	89.000	Apr 12, 11	Aug 25, 11	2,732 86	3,458 12		-725 26	
INPEX CORP ADR	FIFO	29 000	Nov 09, 10	Aug 10, 11	476 11	388 82			87 29

continued next page

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
MAKITA CORP ADR JAPAN ADR	FIFO	24 000	Nov 12, 10	Aug 04, 11	1,051.74	884 99			166 75
PANDORA A/S ADR	FIFO	75 000	Mar 04, 11	Aug 04, 11	173 38	1,105 59		-932 21	
	FIFO	149 000	Mar 07, 11	Aug 04, 11	344 45	2,247 53		-1,903.08	
	FIFO	107 000	Mar 15, 11	Aug 04, 11	247 36	1,199 92		-952 56	
TURKCELL ILETISIM HIZMETLERI A S NEW ADR	FIFO	17 000	Dec 08, 10	Jul 29, 11	217 48	287 55		-70 07	
Total					**$13,518.39**	**$17,836.00**		**-$5,006.35**	**$688.74**
Net short-term capital gains and losses								**-$4,317.61**	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ANGLO AMERN PLC NEW ADR	FIFO	115 000	Nov 20, 09	Aug 04, 11	2,510 34	2,396.27			114 07
	FIFO	103 000	Nov 20, 09	Aug 08, 11	1,991 92	2,146 22		-154 30	
	FIFO	5 000	Nov 25, 09	Aug 08, 11	96 69	111 93		-15 24	
	FIFO	3 000	Nov 27, 09	Aug 08, 11	58.02	65 66		-7 64	
	FIFO	7 000	Dec 21, 09	Aug 08, 11	135 37	149.03		-13.66	
	FIFO	21 000	Aug 03, 10	Aug 08, 11	406 12	433 83		-27.71	
CANON INC ADR JAPAN SPON ADR	FIFO	21 000	Nov 20, 09	Aug 18, 11	946 80	797 13			149 67
	FIFO	11 000	Dec 02, 09	Aug 18, 11	495 94	425 21			70 73
	FIFO	24 000	Feb 18, 10	Aug 18, 11	1,082 05	989 86			92.19
	FIFO	7 000	Jul 28, 10	Aug 18, 11	315 60	294.08			21 52
GOME ELECTRICAL APPLIANCES HLDGS LTD ADR	FIFO	14 000	Jun 22, 10	Aug 16, 11	679 55	487 27			192 28
INPEX CORP ADR	FIFO	72 000	Nov 20, 09	Aug 10, 11	1,182 07	1,455 12		-273 05	
	FIFO	72 000	Nov 27, 09	Aug 10, 11	1,182 06	1,420 72		-238 66	
	FIFO	72 000	Jul 23, 10	Aug 10, 11	1,182 07	828 38			353 69
MAKITA CORP ADR JAPAN ADR	FIFO	60 000	Jun 17, 10	Aug 04, 11	2,629 36	1,748 78			880 58

continued next page

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
NINTENDO LTD ADR NEW JAPAN ADR	FIFO	56 000	Nov 20, 09	Aug 19, 11	1,084 24	1,732 08		-647 84	
	FIFO	6 000	Mar 02, 10	Aug 19, 11	116 17	216 89		-100 72	
	FIFO	27 000	Mar 03, 10	Aug 19, 11	522 76	986 28		-463 52	
ROCHE HLDG LTD SPONS ADR SWITZ ADR	FIFO	10 000	Nov 20, 09	Jul 28, 11	445 99	399 04			46 95
STANDARD CHARTERED PLC	FIFO	76 000	Nov 20, 09	Aug 01, 11	1,910 79	2,032 25		-121 46	
SYNGENTA AG SPON ADR	FIFO	11 000	Nov 20, 09	Aug 18, 11	641 79	572 77			69.02
Total					**$19,615.70**	**$19,688.80**		**-$2,063.80**	**$1,990.70**
Net long-term capital gains or losses								**-$73.10**	
Net capital gains/losses:								**-$4,390.71**	

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ADVANCED INFO SVC PUB CO LTD SPONS ADR THAILAND ADR	FIFO	84.000	Feb 04, 11	Sep 09, 11	325 91	223 11			102.80
	FIFO	98 000	Feb 04, 11	Sep 12, 11	385 79	260 30			125 49
	FIFO	48 000	Feb 07, 11	Sep 12, 11	188 96	128 45			60 51
BNP PARIBAS SA ADR	FIFO	7 000	Mar 16, 11	Sep 07, 11	154 30	244 18		-89 88	
	FIFO	46 000	Mar 21, 11	Sep 07, 11	1,013 98	1,744.40		-730.42	
	FIFO	52 000	Jul 21, 11	Sep 07, 11	1,146 25	2,092.24	255 08	-945 99	
	FIFO	31 000	Aug 08, 11	Sep 07, 11	683 33	894 56	19 62	-211 23	
	FIFO	32.000	Aug 11, 11	Sep 07, 11	705.38	839 32		-133 94	
INTESA SANPAOLO SPON ADR	FIFO	204 000	Jul 22, 11	Sep 07, 11	1,776 70	3,285 09		-1,508 39	
	FIFO	308 000	Aug 08, 11	Sep 07, 11	2,682.47	3,388 12		-705 65	
YAMANA GOLD INC CAD	FIFO	139 000	Sep 28, 10	Sep 09, 11	2,379 87	1,588 20			791 67
Total					**$11,442.94**	**$14,687.97**		**-$4,325.50**	**$1,080.47**
Net short-term capital gains and losses								**-$3,245.03**	

continued next page

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
BANK OF AYUDHYA PCL NVDR THB	FIFO	6,300.000	Nov 20, 09	Sep 15, 11	4,772 78	3,843 00			929 78
	FIFO	200 000	Mar 03, 10	Sep 15, 11	151 52	129.48			22 04
Total					**$4,924.30**	**$3,972.48**			**$951.82**
Net long-term capital gains or losses									**$951.82**
Net capital gains/losses:								**-$2,293.21**	

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AIA GROUP LTD SPON ADR	FIFO	55 000	Feb 28, 11	Oct 06, 11	608 39	640 93		-32 54	
IMAGINATION TECH GROUP PLC ORD GBP	FIFO	43.000	Nov 26, 10	Oct 07, 11	279 20	229 31			49.89
	FIFO	22 000	Nov 29, 10	Oct 07, 11	142 84	117 63			25 21
	FIFO	154 000	Nov 29, 10	Oct 10, 11	1,018 51	823 42			195 09
	FIFO	13 000	Nov 30, 10	Oct 10, 11	85 98	71 71			14 27
SBM OFFSHORE NV ADR	FIFO	133 000	Jul 29, 11	Oct 20, 11	2,699 61	3,230.48		-530 87	
STANDARD CHARTERED PLC	FIFO	14 000	Nov 09, 10	Oct 03, 11	263 90	289 41		-25 51	
	FIFO	45 000	Mar 18, 11	Oct 03, 11	848 24	1,150 10		-301 86	
	FIFO	31 000	Jul 01, 11	Oct 03, 11	584 35	828 43		-244 08	
	FIFO	30 000	Sep 20, 11	Oct 03, 11	565 50	634 52		-69 02	
Total					**$7,096.52**	**$8,015.94**		**-$1,203.88**	**$284.46**
Net short-term capital gains and losses								**-$919.42**	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
MITSUBISHI CORP SPONS ADR ADR	FIFO	11.000	Nov 20, 09	Oct 06, 11	412.35	466 95		-54.60	
	FIFO	20 000	Dec 07, 09	Oct 06, 11	749 73	996.95		-247.22	
	FIFO	11.000	May 26, 10	Oct 06, 11	412 35	488.12		-75.77	
STANDARD CHARTERED PLC	FIFO	36.000	Nov 20, 09	Oct 03, 11	678.60	962.65		-284.05	
Total					**$2,253.03**	**$2,914.67**		**-$661.64**	
Net long-term capital gains or losses								**-$661.64**	
Net capital gains/losses:								**-$1,581.06**	

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ADVANCED INFO SVC PUB CO LTD SPONS ADR THAILAND ADR	FIFO	190 000	Feb 07, 11	Nov 15, 11	856 60	508.46			348 14
AMADEUS IT HLDG SA EUR	FIFO	18.000	Jun 01, 11	Nov 07, 11	320.72	374.62		-53.90	
	FIFO	38 000	Jun 29, 11	Nov 07, 11	677 08	765.24		-88 16	
HYPERMARCAS S A SPON ADR	FIFO	52 000	Dec 20, 10	Nov 14, 11	242 58	732 68		-490.10	
	FIFO	86 000	Jul 14, 11	Nov 14, 11	401.18	738 74		-337 56	
NIPPON TELEG & TEL CORP SPON ADR	FIFO	142 000	Aug 18, 11	Nov 15, 11	3,577.31	3,365 67			211 64
SOFTBANK CORP ADR	FIFO	91 000	Mar 28, 11	Nov 18, 11	1,556 13	1,716 82		-160.69	
	FIFO	94.000	Jun 07, 11	Nov 18, 11	1,607.43	1,791.32		-183 89	
SUMCO CORP ADR	FIFO	22 000	Nov 29, 10	Nov 25, 11	345 42	713 74		-368.32	
	FIFO	5 000	Feb 15, 11	Nov 25, 11	78 50	158 39		-79 89	
	FIFO	35.000	Mar 31, 11	Nov 25, 11	549.53	1,404 47		-854 94	
	FIFO	49 000	Nov 10, 11	Nov 25, 11	769 33	889.05		-119.72	
Total					**$10,981.81**	**$13,159.20**		**-$2,737.17**	**$559.78**
Net short-term capital gains and losses								**-$2,177.39**	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AMADEUS IT HLDG SA EUR	FIFO	164 000	Jun 29, 10	Nov 07, 11	2,922.12	2,571.96			350 16
BANK OF AYUDHYA PCL NVDR THB	FIFO	600 000	Mar 03, 10	Nov 11, 11	352 97	388 44		-35 47	
	FIFO	1,300.000	Mar 17, 10	Nov 11, 11	764 78	881 79		-117 01	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	1,500 000	Jul 23, 10	Nov 11, 11	882.43	976 35		-93.92	
BARRICK GOLD CORP CAD	FIFO	24 000	Nov 20, 09	Nov 21, 11	1,141 99	1,039 80			102 19
HYPERMARCAS S A SPON ADR	FIFO	299.000	Aug 06, 10	Nov 14, 11	1,394 81	3,954.60		-2,559 79	
KIRIN HOLDINGS LTD SPON ADR	FIFO	61 000	Nov 20, 09	Nov 15, 11	711.32	949.77		-238 45	
ROCHE HLDG LTD SPONS ADR WITZ ADR	FIFO	18 000	Nov 20, 09	Nov 14, 11	698 38	718 26		-19 88	
SOFTBANK CORP ADR	FIFO	82.000	Oct 18, 10	Nov 18, 11	1,402 23	1,384.51			17 72
	FIFO	40 000	Nov 04, 10	Nov 18, 11	684.01	642 68			41 33
SUMCO CORP ADR	FIFO	76 000	Jun 28, 10	Nov 25, 11	1,193.25	2,660 19		-1,466 94	
Total					**$12,148.29**	**$16,168.35**		**-$4,531.46**	**$511.40**
Net long-term capital gains or losses								**-$4,020.06**	
Net capital gains/losses:								**-$6,197.45**	

End of statement for account number PF 00119 JR

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ALLIANZ SE ADR	FIFO	162 000	Oct 27, 11	Dec 13, 11	1,565.59	1,947 81		-382 22	
BARCLAYS PLC ADR	FIFO	76.000	Mar 21, 11	Dec 20, 11	815 64	815 64	-630 10		
	FIFO	65 000	Mar 29, 11	Dec 20, 11	697.58	813 26	-385.61	-115 68	
	FIFO	14 000	Apr 11, 11	Dec 20, 11	150 25	282 40		-132 15	
BNP PARIBAS SA ADR	FIFO	82.000	Nov 22, 11	Dec 05, 11	1,836 48	1,507 18			329 30
HITACHI CONSTR MACHY CO LTD ADR	FIFO	29.000	Mar 08, 11	Dec 05, 11	1,045 66	1,518 77		-473.11	
MITSUBISHI CORP SPONS ADR ADR	FIFO	6 000	Jan 04, 11	Dec 13, 11	245.10	333 83		-88 73	
	FIFO	26.000	Jan 05, 11	Dec 13, 11	1,062.09	1,466.49		-404.40	
	FIFO	13 000	Jun 29, 11	Dec 13, 11	531 05	640 70		-109 65	
Total					**$7,949.44**	**$9,326.08**		**-$1,705.94**	**$329.30**
Net short-term capital gains and losses								**-$1,376.64**	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
IMAGINATION TECH GROUP PLC ORD GBP	FIFO	53 000	Nov 30, 10	Dec 07, 11	398 40	292 35			106.05
	FIFO	38.000	Nov 30, 10	Dec 13, 11	282 99	209 60			73 39
	FIFO	76.000	Nov 30, 10	Dec 28, 11	648 66	419 22			229.44
MITSUBISHI CORP SPONS ADR ADR	FIFO	6 000	May 26, 10	Dec 13, 11	245 10	266 24		-21 14	
	FIFO	16.000	Sep 29, 10	Dec 13, 11	653 60	769 78		-116 18	
POTASH CORP SASK INC CANADA CAD	FIFO	9.000	Dec 31, 09	Dec 15, 11	351.59	329 25			22.34
	FIFO	16.000	Jul 16, 10	Dec 15, 11	625 04	519 06			105.98
ROSSI RESIDENCIAL S A BRL	FIFO	696 000	Nov 20, 09	Dec 14, 11	1,655.88	2,818.80		-1,162.92	
YAMANA GOLD INC CAD	FIFO	115 000	Sep 28, 10	Dec 20, 11	1,690.17	1,313 98			376 19
Total					**$6,551.43**	**$6,938.28**		**-$1,300.24**	**$913.39**
Net long-term capital gains or losses								**-$386.85**	
Net capital gains/losses:								**-$1,763.49**	

Realized gains and losses

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January - August realized gain and loss details

January through August estimated gains and losses have been incorporated into this statement for settled positions through 8/31/2011

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
BECKMAN COULTER INC	FIFO	12 000	Jul 28, 10	Jun 30, 11	1,002 00	555.46			446 54

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
BECKMAN COULTER INC	FIFO	156.000	Aug 18, 09	Jun 30, 11	13,026 00	10,581.76			2,444.24

UBS

Friendly account name: Earnest, MC
Account number: PF 00120 JR

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
PHARMACEUTICAL PROD **MERGER EFF 12/2011**	FIFO	138 000	Jul 12, 11	Dec 06, 11	4,588 50	3,869 27			719 23

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
CABOT OIL & GAS CORP	FIFO	220 000	May 17, 10	Nov 28, 11	17,467 68	7,175 78			10,291 90
	FIFO	12 000	Jul 28, 10	Nov 28, 11	952 78	361 44			591 34
PHARMACEUTICAL PROD **MERGER EFF. 12/2011**	FIFO	36.000	Mar 16, 09	Dec 06, 11	1,197.00	776 92			420 08
	FIFO	36.000	Apr 16, 09	Dec 06, 11	1,197.00	813 83			383 17
	FIFO	30.000	Apr 29, 09	Dec 06, 11	997 50	559 27			438 23
	FIFO	38 000	May 29, 09	Dec 06, 11	1,263.50	731.56			531 94
	FIFO	60 000	Jun 08, 09	Dec 06, 11	1,995 00	1,172 96			822.04
	FIFO	8.000	Jul 28, 10	Dec 06, 11	266.00	206.47			59.53
Total					**$25,336.46**	**$11,798.23**			**$13,538.23**
Net long-term capital gains or losses									**$13,538.23**
Net capital gains/losses:									**$14,257.46**

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
LOEWS CORP	FIFO	31 000	Aug 19, 10	Aug 18, 11	1,110 70	1,137.67		-26 97	

continued next page

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
BERKSHIRE HATHAWAY INC NEW CL B	FIFO	2 000	Aug 27, 07	Jul 27, 11	150 36	159 38		-9 02	
	FIFO	35 000	May 07, 08	Jul 27, 11	2,631 23	3,015 60		-384 37	
CENOVUS ENERGY INC CAD	FIFO	64.000	May 07, 08	Jul 27, 11	2,473 00	2,603 27		-130 27	
	FIFO	86 000	May 07, 08	Aug 04, 11	3,074 98	3,498 15		-423 17	
	FIFO	139 000	May 07, 08	Aug 09, 11	4,477 28	5,653 99		-1,176 71	
ICICI BANK LTD SPON ADR	FIFO	50 000	Sep 20, 07	Aug 03, 11	2,229 80	2,411 36		-181 56	
IMPERIAL OIL LTD NEW CANADA CAD	FIFO	103 000	Oct 06, 06	Aug 23, 11	4,095 12	3,325 87			769 25
LOEWS CORP	FIFO	62 000	Jul 14, 10	Aug 08, 11	2,301 55	2,246 90			54 65
	FIFO	6 000	Jul 14, 10	Aug 18, 11	214 97	217 44		-2 47	
	FIFO	67 000	Aug 04, 10	Aug 18, 11	2,400 54	2,551 82		-151 28	
	FIFO	69 000	Aug 11, 10	Aug 18, 11	2,472 19	2,575 14		-102 95	
Total					**$26,521.02**	**$28,258.92**		**-$2,561.80**	**$823.90**
Net long-term capital gains or losses								**-$1,737.90**	
Net capital gains/losses:								**-$1,764.87**	

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
LOEWS CORP	FIFO	48 000	Oct 04, 10	Sep 22, 11	1,657 46	1,803 61		-146 15	

continued next page

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
LOEWS CORP	FIFO	35.000	Aug 19, 10	Sep 07, 11	1,283 22	1,284 46		-1.24	
	FIFO	52 000	Aug 26, 10	Sep 07, 11	1,906 49	1,821 67			84 82
	FIFO	18 000	Aug 26, 10	Sep 13, 11	654 81	630.58			24 23
	FIFO	55 000	Sep 02, 10	Sep 13, 11	2,000 80	1,977 95			22 85
	FIFO	19 000	Sep 02, 10	Sep 22, 11	656 08	683 29		-27 21	
NYSE EURONEXT	FIFO	113 000	Oct 06, 06	Sep 20, 11	3,024 72	8,750 72		-5,726 00	
Total					**$9,526.12**	**$15,148.67**		**-$5,754.45**	**$131.90**
Net long-term capital gains or losses								**-$5,622.55**	
Net capital gains/losses:								**-$5,768.70**	

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AUTONATION INC	FIFO	31 000	Dec 08, 10	Oct 25, 11	1,242 57	813 23			429 34
LOEWS CORP	FIFO	20 000	Oct 04, 10	Sep 28, 11	698 85	751 50		-52 65	
	FIFO	57.000	Oct 12, 10	Sep 28, 11	1,991 73	2,238 11		-246 38	
SWIRE PAC LTD SPONS ADR REP 1 CL A SH (HONG KONG)	FIFO	146 000	Dec 15, 10	Oct 04, 11	1,499 01	2,400 49		-901 48	
	FIFO	135 000	Jan 05, 11	Oct 04, 11	1,386 07	2,362 26		-976 19	
Total					**$6,818.23**	**$8,565.59**		**-$2,176.70**	**$429.34**
Net short-term capital gains and losses								**-$1,747.36**	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
CARNIVAL CORP NEW (PAIRED STOCK)	FIFO	79 000	Sep 02, 08	Oct 26, 11	2,803 59	3,128.60		-325 01	
FRANCO NEV CORP CAD	FIFO	44.000	Jul 16, 10	Oct 21, 11	1,696 89	1,282 29			414 60
	FIFO	10 000	Jul 16, 10	Oct 24, 11	391 46	291 43			100.03
	FIFO	27.000	Jul 19, 10	Oct 24, 11	1,056.95	774 74			282.21
	FIFO	9 000	Aug 04, 10	Oct 24, 11	352.31	288.16			64.15
ICICI BANK LTD SPON ADR	FIFO	50.000	Sep 20, 07	Oct 04, 11	1,559.30	2,411 36		-852.06	
	FIFO	18.000	Oct 25, 07	Oct 04, 11	561 35	1,037.08		-475.73	
	FIFO	78 000	Oct 25, 07	Oct 12, 11	2,814 73	4,494.03		-1,679 30	
	FIFO	4 000	Oct 25, 07	Oct 18, 11	145 65	230 46		-84 81	
	FIFO	97 000	Jun 19, 09	Oct 18, 11	3,531.90	2,900 54			631.36
IMPERIAL OIL LTD NEW CANADA CAD	FIFO	111 000	Oct 06, 06	Oct 05, 11	4,063.02	3,584 19			478 83
Total					**$18,977.15**	**$20,422.88**		**-$3,416.91**	**$1,971.18**
Net long-term capital gains or losses								**-$1,445.73**	
Net capital gains/losses:								**-$3,193.09**	

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AUTONATION INC	FIFO	14.000	Dec 08, 10	Nov 03, 11	521.80	367 26			154.54
	FIFO	35 000	Dec 09, 10	Nov 03, 11	1,304 49	917 38			387 11
Total					**$1,826.29**	**$1,284.64**			**$541.65**
Net short-term capital gains and losses									**$541.65**

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
CARNIVAL CORP NEW (PAIRED STOCK)	FIFO	107.000	Sep 02, 08	Nov 02, 11	3,591 20	4,237 48		-646 28	
FOREST CITY ENTERPRISES INC CL A	FIFO	123 000	Mar 25, 10	Nov 11, 11	1,664.17	1,714.36		-50 19	
	FIFO	131 000	Apr 16, 10	Nov 11, 11	1,772 41	2,029 67		-257 26	
LIBERTY MEDIA CORPORATION COM	FIFO	0 027	Jun 10, 10	Nov 29, 11	2.08	1 09			0 99
LOEWS CORP	FIFO	7 000	Oct 12, 10	Nov 08, 11	277 24	274 86			2 38
	FIFO	66 000	Oct 29, 10	Nov 08, 11	2,613 96	2,608 95			5 01
Total					**$9,921.06**	**$10,866.41**		**-$953.73**	**$8.38**
Net long-term capital gains or losses								**-$945.35**	
Net capital gains/losses:								**-$403.70**	

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
SWIRE PAC LTD SPONS ADR REP 1 CL A SH (HONG KONG)	FIFO	65.000	Jan 05, 11	Dec 08, 11	756.41	1,137.38		-380 97	
	FIFO	71 000	Jan 14, 11	Dec 08, 11	826 24	1,162 34		-336 10	
Total					**$1,582.65**	**$2,299.72**		**-$717.07**	
Net short-term capital gains and losses								**-$717.07**	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
CBRE GROUP INC CL A	FIFO	94 000	Feb 12, 10	Dec 08, 11	1,424 55	1,184 32			240 23
	FIFO	28.000	Feb 16, 10	Dec 08, 11	424 34	363 56			60.78
	FIFO	134.000	Feb 17, 10	Dec 08, 11	2,030.74	1,728 98			301.76
CNOOC LTD SPON ADR	FIFO	17 000	Oct 06, 06	Dec 20, 11	3,026 00	1,378 09			1,647.91
FOREST CITY ENTERPRISES INC CL A	FIFO	78 000	Apr 16, 10	Nov 30, 11	924 87	1,208.51		-283.64	
	FIFO	42 000	Apr 19, 10	Nov 30, 11	498 00	641 40		-143 40	
FRANCO NEV CORP CAD	FIFO	24 000	Aug 04, 10	Nov 28, 11	981 57	768.43			213.14
	FIFO	14.000	Aug 04, 10	Nov 29, 11	573 05	448.25			124 80
	FIFO	2.000	Aug 04, 10	Nov 30, 11	83.53	64.04			19 49
	FIFO	14 000	Aug 05, 10	Nov 30, 11	584 68	452 48			132 20
	FIFO	18.000	Aug 05, 10	Dec 15, 11	667 09	581 76			85 33
	FIFO	46.000	Sep 17, 10	Dec 15, 11	1,704.78	1,440 83			263.95

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
GRUPO TELEVISA SA DE CV GLOBAL DEP RCT REP ORD MEXICO ADR	FIFO	163 000	Oct 26, 09	Nov 29, 11	3,113 27	3,325.93		-212 66	
IMPERIAL OIL LTD NEW CANADA CAD	FIFO	54 000	Oct 06, 06	Dec 16, 11	2,229 76	1,743 66			486.10
Total					**$18,266.23**	**$15,330.24**		**-$639.70**	**$3,575.69**
Net long-term capital gains or losses									**$2,935.99**
Net capital gains/losses:									**$2,218.92**

End of statement for account number PF 00121 JR

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
JAMES RIVER COAL NEW	FIFO	140.000	Mar 23, 11	Aug 10, 11	1,679 09	3,312 00		-1,632 91	
PANDORA MEDIA INC	FIFO	138 000	Jun 16, 11	Jul 29, 11	2,142.33	2,220 39		-78 06	
RADIANT SYSTEMS INC	FIFO	69 000	Mar 29, 11	Aug 20, 11	1,932 00	1,235.79			696 21
REALD INC COM	FIFO	125 000	May 24, 11	Aug 01, 11	1,824 00	3,565 51		-1,741 51	
	FIFO	164 000	Jun 10, 11	Aug 01, 11	2,393 09	3,305 60		-912 51	
	FIFO	15.000	Jul 13, 11	Aug 01, 11	218 88	304 50		-85.62	
Total					**$10,189.39**	**$13,943.79**		**-$4,450.61**	**$696.21**
Net short-term capital gains and losses								**-$3,754.40**	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ANSYS INC	FIFO	132.000	Oct 06, 06	Aug 03, 11	6,545.21	2,956 14			3,589.07
EMS TECHNOLOGIES INC	FIFO	175 000	Dec 28, 09	Aug 20, 11	5,775 00	2,448.91			3,326 09
	FIFO	145 000	Dec 29, 09	Aug 20, 11	4,785 00	2,043.18			2,741 82
RADIANT SYSTEMS INC	FIFO	50 000	Jan 03, 08	Aug 20, 11	1,400.00	868.84			531 16
	FIFO	160 000	May 01, 08	Aug 20, 11	4,480 00	2,177.57			2,302 43
TENNECO INC	FIFO	57.000	May 25, 10	Aug 03, 11	2,158.35	1,185.07			973.28
Total					**$25,143.56**	**$11,679.71**			**$13,463.85**
Net long-term capital gains or losses									**$13,463.85**
Net capital gains/losses:									**$9,709.45**

Realized gains and losses

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Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
REGENERON PHARMACEUTICLS INC	FIFO	69 000	Jul 28, 09	Sep 26, 11	4,434 15	1,489 76			2,944 39
SCHWAB CHARLES CORP NEW	FIFO	0.800	Nov 10, 09	Sep 02, 11	9 42	12.51		-3.09	
	FIFO	142 000	Nov 10, 09	Sep 21, 11	1,692.50	2,220 08		-527 58	
Total					**$6,136.07**	**$3,722.35**		**-$530.67**	**$2,944.39**
Net long-term capital gains or losses									**$2,413.72**
Net capital gains/losses:									**$2,413.72**

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Realized gains and losses

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Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
DECKERS OUTDOOR CORP	FIFO	48.000	Oct 12, 10	Oct 18, 11	4,889.94	2,515 23			2,374 71
ICON PLC SPON ADR	FIFO	45.000	Dec 08, 08	Oct 18, 11	700 22	1,006 42		-306 20	
	FIFO	130 000	Aug 23, 10	Oct 18, 11	2,022.84	3,038 93		-1,016 09	
NETLOGIC MICROSYSTEMS INC	FIFO	100 000	Sep 27, 10	Oct 07, 11	4,814.90	2,718.01			2,096 89
	FIFO	95 000	Sep 29, 10	Oct 07, 11	4,574 16	2,678 53			1,895 63
Total					**$17,002.06**	**$11,957.12**		**-$1,322.29**	**$6,367.23**
Net long-term capital gains or losses									**$5,044.94**
Net capital gains/losses:									**$5,044.94**

Unsettled transaction activity

The following transaction(s) are pending settlement

Trade date	Settlement date	Activity	Description	Quantity	Price / Value ($)	Amount ($)
Nov 28, 11	Dec 01, 11	Sold	ROSETTA RESOURCES INC UNSOLICITED	-55 000	49 118200	2,701 44
Nov 30, 11	Dec 05, 11	Bought	UNITED THERAPEUTICS CORP UNSOLICITED	65.000	40.293600	-2,619 08
Total pending investments purchased						**-$2,619.08**
Total pending investments sold						**$2,701.44**

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ACACIA RESEARCH CORP ACACIA TECHNOLOGIES	FIFO	10 000	May 17, 11	Nov 04, 11	393.20	380.31			12.89
ATWOOD OCEANICS INC	FIFO	5.000	Oct 11, 11	Nov 04, 11	218 34	193 83			24.51
COGNEX CORP	FIFO	15 000	Aug 23, 11	Nov 04, 11	525 81	469 79			56.02
CONCUR TECHNOLOGIES INC	FIFO	15.000	Aug 11, 11	Nov 04, 11	726 13	566 78			159.35
EZCHIP SEMICONDUCTOR LTD ORD ILS	FIFO	10 000	Nov 02, 11	Nov 04, 11	315 89	297.46			18 43
FORTINET INC	FIFO	30 000	Oct 06, 11	Nov 04, 11	744 28	529 90			214.38
FRESH MKT INC	FIFO	25 000	Nov 08, 10	Nov 04, 11	1,025 51	801 88			223 63
FUSION-IO INC COM	FIFO	40 000	Aug 02, 11	Nov 04, 11	1,524 77	1,178.08			346.69
	FIFO	71.000	Aug 02, 11	Nov 07, 11	2,681.51	2,091.08			590 43
GNC HOLDINGS INC	FIFO	65.000	Apr 04, 11	Nov 04, 11	1,713 36	1,130 49			582 87
GULFPORT ENERGY CORP NEW	FIFO	20 000	Oct 18, 11	Nov 04, 11	711.18	574 58			136.60
HEXCEL CORP NEW	FIFO	25 000	Oct 06, 11	Nov 04, 11	617 68	545 92			71.76
IPG PHOTONICS CORP	FIFO	5.000	Nov 01, 11	Nov 04, 11	257 19	245.78			11 41

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
KRATON PERFORMANCE POLYMERS INC	FIFO	15 000	Jun 06, 11	Nov 04, 11	298 87	580.36		-281 49	
MEDIDATA SOLUTIONS INC	FIFO	15 000	Dec 21, 10	Nov 04, 11	265 94	363 31		-97 37	
OASIS PETROLEUM INC	FIFO	35 000	Aug 25, 11	Nov 04, 11	1,109 05	839 63			269 42
PINNACLE ENTERTAINMENT GROUP	FIFO	15 000	Oct 27, 11	Nov 04, 11	169 72	164 37			5 35
QLIK TECHNOLOGIES INC COM	FIFO	40 000	Mar 01, 11	Nov 04, 11	1,211 11	1,038 30			172 81
QUAKER CHEMICAL CORP	FIFO	15 000	May 05, 11	Nov 04, 11	556.78	625 75		-68 97	
ROSETTA RESOURCES INC	FIFO	5 000	Aug 09, 11	Nov 04, 11	225 24	228 49		-3 25	
RTI INTL METALS INC (HOLDING COMPANY)	FIFO	15 000	Jul 14, 11	Nov 04, 11	419 09	482 64		-63 55	
SAPIENT CORP	FIFO	50 000	Dec 14, 10	Nov 04, 11	600 99	621 74		-20 75	
STIFEL FINANCIAL CORP	FIFO	10 000	Aug 26, 11	Nov 04, 11	314 59	277 97			36 62
Total					**$16,626.23**	**$14,228.44**		**-$535.38**	**$2,933.17**
Net short-term capital gains and losses									**$2,397.79**

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ALLSCRIPTS HEALTHCARE SOLUTNS COM	FIFO	40 000	Jan 27, 09	Nov 04, 11	818 49	283 87			534 62
ANSYS INC	FIFO	15 000	Oct 06, 06	Nov 04, 11	872 38	335 93			536 45
ATLAS AIR WORLDWIDE HOLDINGS INC NEW	FIFO	20 000	Sep 21, 10	Nov 04, 11	765 38	1,089 92		-324 54	
BALLY TECHNOLOGIES INC	FIFO	10 000	Oct 20, 08	Nov 04, 11	360 59	220 20			140 39
BJ'S RESTAURANTS INC	FIFO	25 000	Feb 13, 09	Nov 04, 11	1,316 91	299 33			1,017 58
BRIGHAM EXPLORATION CO	FIFO	180 000	Jan 29, 10	Oct 28, 11	6,554 12	2,427 62			4,126 50
BRUKER CORP	FIFO	70 000	Jun 25, 10	Nov 04, 11	960 65	937 21			23 44
CENTENE CORP	FIFO	65 000	Feb 08, 08	Nov 04, 11	2,298 68	1,409 79			888 89
CHICO'S FAS INC	FIFO	35 000	May 04, 10	Nov 04, 11	428 48	536 37		-107 89	
	FIFO	120 000	May 04, 10	Nov 22, 11	1,192 32	1,838 97		-646 65	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	350 000	Aug 18, 10	Nov 22, 11	3,477 60	3,231.03			246 57
CLOUD PEAK ENERGY INC	FIFO	35 000	Aug 09, 10	Nov 04, 11	821.43	624 46			196 97
COHERENT INC	FIFO	30.000	Oct 06, 06	Nov 04, 11	1,587.87	1,057.80			530 07
DECKERS OUTDOOR CORP	FIFO	10 000	Oct 12, 10	Nov 04, 11	1,152.47	524.01			628 46
	FIFO	47 000	Oct 12, 10	Nov 21, 11	4,573 87	2,462.83			2,111 04
DTS INC	FIFO	30 000	Mar 30, 09	Nov 04, 11	852.35	728.93			123 42
DUFF & PHELPS CORP NEW CL A	FIFO	35 000	May 13, 09	Nov 04, 11	497.76	549 42		-51 66	
FTI CONSULTING INC	FIFO	30 000	Jul 19, 10	Nov 04, 11	1,274 15	1,019 63			254.52
GENESCO INC	FIFO	30 000	Mar 13, 08	Nov 04, 11	1,766.51	633.81			1,132 70
GEO GROUP INC	FIFO	10 000	Oct 06, 06	Nov 04, 11	176 49	160 40			16 09
GRAFTECH INTL LTD	FIFO	40 000	May 05, 09	Nov 04, 11	628.49	397 51			230 98
HERBALIFE LTD	FIFO	102.000	Feb 12, 09	Nov 17, 11	5,726 59	1,056 62			4,669 97
HUNTSMAN CORP	FIFO	170 000	Dec 17, 08	Nov 04, 11	2,140 73	516 33			1,624.40
INFORMATICA CORP	FIFO	10 000	Mar 20, 09	Nov 04, 11	469 19	136 01			333 18
INTREPID POTASH INC	FIFO	15 000	Aug 06, 10	Nov 04, 11	396 05	389 84			6 21
JETBLUE AIRWAYS CORP	FIFO	30 000	Oct 20, 10	Nov 04, 11	130 90	212 64		-81 74	
LANDSTAR SYSTEMS INC	FIFO	5 000	Oct 06, 06	Nov 04, 11	222 94	220 10			2.84
LUFKIN INDUSTRIES INC	FIFO	70 000	Feb 12, 09	Nov 04, 11	4,554.71	1,351 69			3,203 02
MEDASSETS INC	FIFO	65.000	Apr 30, 09	Nov 04, 11	805 13	1,070 46		-265.33	
MERITOR INC	FIFO	35 000	Feb 25, 10	Nov 04, 11	310 91	384 35		-73 44	
MONSTER WORLDWIDE INC	FIFO	30 000	Sep 05, 08	Nov 04, 11	277 90	575 89		-297 99	
NORTHWEST PIPE CO	FIFO	5 000	Feb 13, 09	Nov 04, 11	125 57	165 08		-39 51	
OYO GEOSPACE CORP	FIFO	15.000	Dec 26, 06	Nov 04, 11	1,253 37	789.19			464 18
PLANTRONICS INC NEW	FIFO	10.000	Sep 22, 10	Nov 04, 11	331 19	332 67		-1 48	
QUALITY SYSTEMS INC	FIFO	10.000	Sep 28, 07	Nov 04, 11	395 94	183.28			212 66
REGAL BELOIT CORP	FIFO	15 000	May 19, 09	Nov 04, 11	831 66	591 06			240 60
RIVERBED TECHNOLOGY INC	FIFO	60 000	Jan 05, 10	Nov 04, 11	1,740.73	723 94			1,016 79
ROVI CORP COM	FIFO	5.000	Feb 18, 09	Nov 04, 11	240 04	74 74			165 30

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
SALIX PHARMACEUTICALS LTD (DELA)	FIFO	10 000	Apr 05, 10	Nov 04, 11	338 39	394 10		-55 71	
SHUFFLE MASTER INC	FIFO	5 000	Mar 13, 09	Nov 04, 11	52 41	12 55			39 86
SIRONA DENTAL SYSTEMS INC	FIFO	10 000	Sep 20, 10	Nov 04, 11	470.54	375 72			94 82
SOTHEBYS	FIFO	35 000	Jun 23, 09	Nov 04, 11	1,229 17	457 92			771 25
STEVEN MADDEN LTD	FIFO	20.000	Mar 26, 10	Nov 04, 11	720 10	436.38			283 72
SUCCESSFACTORS INC	FIFO	20 000	Mar 11, 10	Nov 04, 11	546.43	405 14			141 29
TENNECO INC	FIFO	15.000	May 25, 10	Nov 04, 11	484 94	311 86			173.08
TERADYNE INC	FIFO	70 000	Jul 14, 09	Nov 04, 11	1,023.58	484 62			538.96
THORATEC CORP NEW	FIFO	112 000	Dec 31, 07	Nov 02, 11	3,371 52	2,035 95			1,335 57
	FIFO	5 000	Dec 31, 07	Nov 04, 11	147 30	90 89			56.41
TIBCO SOFTWARE INC	FIFO	30.000	Dec 31, 07	Nov 04, 11	863 68	240 38			623 30
TRIUMPH GROUP INC	FIFO	15.000	Apr 16, 10	Nov 04, 11	862 03	539 33			322 70
UMB FINANCIAL CORP	FIFO	5 000	May 12, 09	Nov 04, 11	184 49	229 40		-44 91	
VEECO INSTRUMENTS INC DELAWARE	FIFO	15 000	Feb 01, 10	Nov 04, 11	438 95	497.77		-58.82	
WABCO HOLDINGS INC	FIFO	15 000	Jun 22, 10	Nov 04, 11	761 39	520 58			240 81
WASTE CONNECTIONS INC	FIFO	5 000	Oct 06, 06	Nov 04, 11	166 79	87 84			78.95
Total					**$63,992.25**	**$36,663.36**		**-$2,049.67**	**$29,378.56**
Net long-term capital gains or losses									**$27,328.89**
Net capital gains/losses:									**$29,726.68**

End of statement for account number PF 00122 JR

Account activity this month (continued)

	Date	Activity	Description	Amount ($)
Money balance activities (continued)	Dec 30	Sold	RMA MONEY MKT PORTFOLIO	-2,727 21
	Dec 30	**Closing RMA Money Mkt. Portfolio**		**$14,918.11**

The RMA Money Market Portfolio is your primary sweep option

Unsettled transaction activity

The following transaction(s) are pending settlement

Trade date	Settlement date	Activity	Description	Quantity	Price / Value ($)	Amount ($)
Dec 28, 11	Jan 03, 12	Bought	CONCUR TECHNOLOGIES INC UNSOLICITED	50.000	51.139500	-2,556.98
Dec 28, 11	Jan 03, 12	Bought	FORTINET INC UNSOLICITED	135.000	21.407600	-2,890.03
Total pending investments purchased						**-$5,447.01**

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we *liquidate* the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order See *Important information about your statement* at the end of this document for more information We may not adjust gains and losses for all capital changes We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ROSETTA RESOURCES INC	FIFO	55 000	Aug 09, 11	Nov 28, 11	2,701.44	2,513 35			188 09

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
BIOMARIN PHARMACEUTICAL INC	FIFO	105 000	Dec 08, 08	Dec 15, 11	3,311 64	1,804 45			1,507 19
SUCCESSFACTORS INC	FIFO	145 000	Mar 11, 10	Dec 12, 11	5,772.05	2,937 22			2,834.83
Total					**$9,083.69**	**$4,741.67**			**$4,342.02**
Net long-term capital gains or losses									**$4,342.02**
Net capital gains/losses:									**$4,530.11**

Realized gains and losses

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Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AVALONBAY COMMUNITIES INC SBI	FIFO	7 000	Oct 10, 06	Aug 16, 11	918 49	861.91			56 58
BOSTON PROPERTIES INC	FIFO	10 000	Oct 10, 06	Aug 16, 11	1,019 92	1,023 35	-30 86	-3.43	
EXTRA SPACE STORAGE INC REITS	FIFO	66.000	Oct 03, 08	Aug 16, 11	1,388 34	974.09			414.25
	FIFO	19.000	Nov 06, 08	Aug 16, 11	399 67	212 47			187 20
HEALTH CARE REIT INC	FIFO	13 000	Sep 21, 09	Aug 16, 11	615 55	560 75			54 80
	FIFO	2 000	Dec 14, 09	Aug 16, 11	94 70	91 96			2 74
LIBERTY PROPERTY TRUST	FIFO	40.000	Oct 10, 06	Aug 16, 11	1,272 37	1,908 29		-635 92	
PUBLIC STORAGE REIT	FIFO	10.000	Oct 10, 06	Aug 16, 11	1,192.16	890 70			301 46
SIMON PPTY GROUP INC SBI	FIFO	14.000	Oct 10, 06	Aug 16, 11	1,609.76	1,326.36			283.40
Total					**$8,510.96**	**$7,849.88**		**-$639.35**	**$1,300.43**
Net long-term capital gains or losses									**$661.08**
Net capital gains/losses:									**$661.08**

Realized gains and losses

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Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
HCP INC	FIFO	2.000	Dec 07, 06	Sep 20, 11	75 70	73 19			2 51
	FIFO	16 000	Mar 06, 08	Sep 20, 11	605 58	455 49			150 09
LIBERTY PROPERTY TRUST	FIFO	25.000	Oct 10, 06	Sep 20, 11	795 84	1,192 69		-396 85	
NATIONAL RETAIL PROPERTIES INC REIT	FIFO	13 000	May 21, 09	Sep 20, 11	349.83	211.99			137 84
	FIFO	25 000	Aug 19, 09	Sep 20, 11	672 76	483 20			189.56
	FIFO	1 000	Apr 23, 10	Sep 20, 11	26 91	24 21			2 70
VENTAS INC	FIFO	21.000	Dec 07, 06	Sep 20, 11	1,133 11	809 06			324 05
Total					**$3,659.73**	**$3,249.83**		**-$396.85**	**$806.75**
Net long-term capital gains or losses									**$409.90**
Net capital gains/losses:									**$409.90**

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
CORPORATE OFFICE PPTYS NEW SBI	FIFO	42 000	Dec 02, 10	Nov 22, 11	841 59	1,454 34		-612 75	
DCT INDL TRUST INC REIT SBI	FIFO	121 000	Jan 31, 11	Nov 22, 11	546 58	680 50		-133 92	
RLJ LODGING TRUST SBI	FIFO	39 000	May 20, 11	Nov 22, 11	622 00	705 62		-83 62	
Total					**$2,010.17**	**$2,840.46**		**-$830.29**	
Net short-term capital gains and losses								**-$830.29**	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
DCT INDL TRUST INC REIT SBI	FIFO	52 000	Feb 19, 09	Nov 22, 11	234 90	168.77			66.13
	FIFO	1 000	Feb 20, 09	Nov 22, 11	4.51	3 23			1.28
	FIFO	41 000	May 21, 09	Nov 22, 11	185 21	177 01			8 20
	FIFO	86.000	Jul 23, 09	Nov 22, 11	388 48	372 74			15.74
	FIFO	126 000	Jan 04, 10	Nov 22, 11	569.17	634 95		-65 78	
EXTRA SPACE STORAGE INC REITS	FIFO	45 000	Nov 06, 08	Oct 31, 11	1,017 47	503 23			514.24
FEDERAL RLTY INV TR BI MD	FIFO	13 000	Oct 10, 06	Nov 22, 11	1,112 13	986 18			125 95
PUBLIC STORAGE REIT	FIFO	10 000	Oct 10, 06	Oct 31, 11	1,307 73	890 70			417 03
Total					**$4,819.60**	**$3,736.81**		**-$65.78**	**$1,148.57**
Net long-term capital gains or losses									**$1,082.79**
Net capital gains/losses:									**$252.50**

End of statement for account number PF 00123 JR

Realized gains and losses

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Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
PEBBLEBROOK HOTEL TR	FIFO	36.000	Dec 14, 09	Dec 19, 11	673 42	755.86		-82 44	
PUBLIC STORAGE REIT	FIFO	5 000	Oct 10, 06	Dec 19, 11	644 71	445 35			199 36
VENTAS INC	FIFO	14 000	Dec 07, 06	Dec 19, 11	736 43	539 37			197.06
Total					**$2,054.56**	**$1,740.58**		**-$82.44**	**$396.42**
Net long-term capital gains or losses									**$313.98**
Net capital gains/losses:									**$313.98**

Account activity this month (continued)

	Date	Activity	Description	Amount ($)
Money balance activities (continued)	Aug 26	Bought	RMA MONEY MKT PORTFOLIO	220 81
	Aug 29	Sold	RMA MONEY MKT PORTFOLIO	-3,903 22
	Aug 30	Sold	RMA MONEY MKT. PORTFOLIO	-4,203 54
	Aug 31	Sold	RMA MONEY MKT PORTFOLIO	-1,425 32
	Aug 31	**Closing RMA Money Mkt. Portfolio**		**$10,864.13**

The RMA Money Market Portfolio is your primary sweep option

Unsettled transaction activity

The following transaction(s) are pending settlement

Trade date	Settlement date	Activity	Description	Quantity	Price / Value ($)	Amount ($)
Aug 29, 11	Sep 01, 11	Bought	CALIX NETWORKS INC UNSOLICITED	5 000	14 125400	-70 63
Aug 29, 11	Sep 01, 11	Bought	SOUTH JERSEY IND INC UNSOLICITED	1.000	50.909100	-50 91
Total pending investments purchased						**-$121.54**

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
DSW INC CL A	FIFO	3 000	Apr 19, 11	Aug 19, 11	114 69	131 31		-16 62	
	FIFO	3 000	Apr 19, 11	Aug 19, 11	114 70	131 85		-17.15	
	FIFO	1 000	Apr 20, 11	Aug 19, 11	38 23	45.35		-7 12	
	FIFO	1 000	Apr 21, 11	Aug 19, 11	38 23	45 21		-6 98	
	FIFO	2 000	Apr 21, 11	Aug 23, 11	79 41	90 41		-11 00	
	FIFO	1 000	Apr 21, 11	Aug 23, 11	39 71	45 24		-5 53	
	FIFO	4 000	Apr 25, 11	Aug 23, 11	158 82	181.24		-22 42	

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	3.000	Apr 26, 11	Aug 23, 11	119.11	137 70		-18 59	
FIFTH STREET FIN CORP	FIFO	1 000	Mar 08, 11	Aug 11, 11	8 97	13.46		-4 49	
	FIFO	7.000	Mar 08, 11	Aug 11, 11	62 76	94.30		-31 54	
	FIFO	24.000	Mar 09, 11	Aug 11, 11	215 19	321 11		-105 92	
HANESBRANDS INC	FIFO	2 000	Feb 03, 11	Aug 19, 11	48 11	48.10			0 01
	FIFO	45.000	Feb 03, 11	Aug 19, 11	1,082 36	1,083 53		-1.17	
ISHARES TRUST RUSSELL 2000 VALUE INDEX FD	FIFO	4 000	May 23, 11	Jul 27, 11	285.98	285 98	-4 93		
	FIFO	57 000	Jun 03, 11	Jul 27, 11	4,075 19	4,075 19	-45 13		
	FIFO	4 000	Jun 03, 11	Aug 02, 11	276.01	276 01	-13 13		
	FIFO	26 000	Jun 17, 11	Aug 02, 11	1,794 10	1,794.10	-30.16		
	FIFO	34 000	Jun 17, 11	Aug 03, 11	2,299 38	2,299 38	-86 20		
	FIFO	1 000	Jun 17, 11	Aug 15, 11	62.54	62 54	-7 62		
	FIFO	61 000	Jun 20, 11	Aug 15, 11	3,814 99	3,814 99	-505 40		
	FIFO	1 000	Jun 20, 11	Aug 16, 11	62.38	62 38	-8.45		
	FIFO	63 000	Aug 05, 11	Aug 16, 11	3,930 06	3,930 06	-118 38		
KBW INC	FIFO	3 000	Aug 25, 10	Aug 02, 11	45 87	45 87	-19 58		
	FIFO	1 000	Aug 25, 10	Aug 02, 11	15 37	15 37	-6 44		
	FIFO	4 000	Aug 25, 10	Aug 03, 11	61 10	61 10	-26 16		
	FIFO	5.000	Aug 26, 10	Aug 03, 11	76 37	76 37	-33 59		
	FIFO	1 000	Aug 30, 10	Aug 03, 11	15 27	15 27	-6.87		
	FIFO	3 000	Aug 30, 10	Aug 03, 11	45 97	45 97	-20 43		
	FIFO	3 000	Aug 31, 10	Aug 03, 11	45 96	45 96	-19 87		
	FIFO	1 000	Aug 31, 10	Aug 04, 11	14 97	14 97	-6 97		
	FIFO	3 000	Sep 02, 10	Aug 04, 11	44 90	69 13		-24 23	
	FIFO	1 000	Sep 03, 10	Aug 04, 11	14 96	24 21		-9 25	
	FIFO	1 000	Sep 07, 10	Aug 04, 11	14 97	23 83		-8 86	
	FIFO	8 000	Sep 07, 10	Aug 04, 11	119 86	190 60		-70 74	
	FIFO	3 000	Sep 07, 10	Aug 04, 11	44 95	71 49		-26 54	
	FIFO	4 000	Sep 09, 10	Aug 05, 11	57 04	97.57		-40 53	

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	3 000	Sep 09, 10	Aug 05, 11	42 78	73 20		-30 42	
	FIFO	1 000	Sep 24, 10	Aug 05, 11	14 26	24 05		-9 79	
	FIFO	1 000	Sep 24, 10	Aug 05, 11	14.27	24 48		-10 21	
	FIFO	3 000	Sep 27, 10	Aug 05, 11	42 78	74 54		-31 76	
	FIFO	1.000	Sep 28, 10	Aug 05, 11	14 26	24 47		-10 21	
	FIFO	5 000	Dec 01, 10	Aug 05, 11	71 30	118 70		-47 40	
	FIFO	9 000	Dec 01, 10	Aug 05, 11	128 54	213.66		-85 12	
	FIFO	2 000	Dec 01, 10	Aug 08, 11	28 46	47 48		-19 02	
	FIFO	12 000	Dec 01, 10	Aug 09, 11	161 45	284 87		-123 42	
	FIFO	7 000	Dec 01, 10	Aug 10, 11	92.18	166 18		-74.00	
	FIFO	6 000	Mar 09, 11	Aug 10, 11	79.01	156 06		-77 05	
	FIFO	6 000	Mar 09, 11	Aug 11, 11	79 31	156 06		-76 75	
	FIFO	14 000	Jul 19, 11	Aug 11, 11	185 06	336 37	92 64	-151 31	
	FIFO	4.000	Jul 19, 11	Aug 12, 11	54 25	96 68	27 05	-42 43	
	FIFO	3 000	Jul 19, 11	Aug 12, 11	41 01	72 45	20 22	-31 44	
MUELLER WATER PRODUCTS INC SER A	FIFO	5 000	Mar 23, 11	Aug 04, 11	12 21	20 84		-8 63	
	FIFO	3 000	Mar 24, 11	Aug 04, 11	7 33	12 67		-5 34	
	FIFO	10 000	Mar 24, 11	Aug 04, 11	24 41	42 63		-18 22	
	FIFO	8.000	Mar 25, 11	Aug 04, 11	19 54	34 62		-15 08	
	FIFO	41 000	Mar 29, 11	Aug 04, 11	96 79	179 86		-83 07	
	FIFO	6 000	Mar 29, 11	Aug 04, 11	15 29	26 32		-11 03	
	FIFO	5 000	Mar 29, 11	Aug 05, 11	11 13	21 93		-10 80	
	FIFO	67 000	Mar 30, 11	Aug 05, 11	149 20	298 09		-148 89	
	FIFO	13 000	Mar 31, 11	Aug 05, 11	28 95	57 99		-29 04	
	FIFO	12 000	Mar 31, 11	Aug 05, 11	26 72	53 39		-26 67	
	FIFO	15 000	Apr 01, 11	Aug 05, 11	33 40	66 72		-33 32	
	FIFO	6 000	Apr 01, 11	Aug 05, 11	13 36	26 82		-13 46	
	FIFO	1 000	Apr 04, 11	Aug 05, 11	2 23	4 50		-2 27	

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	12 000	Apr 04, 11	Aug 05, 11	26.04	53 95		-27 91	
	FIFO	7.000	Apr 05, 11	Aug 05, 11	15 18	30 77		-15 59	
	FIFO	6 000	Apr 05, 11	Aug 05, 11	13 02	26 52		-13 50	
	FIFO	7.000	Apr 05, 11	Aug 05, 11	15 46	30.95		-15 49	
	FIFO	9.000	Apr 05, 11	Aug 08, 11	19.10	39.78		-20 68	
	FIFO	26 000	Apr 05, 11	Aug 09, 11	54.58	114 93		-60.35	
	FIFO	17 000	Apr 06, 11	Aug 09, 11	35 68	75 84		-40 16	
	FIFO	4 000	Apr 06, 11	Aug 09, 11	8.45	17.85		-9.40	
	FIFO	21.000	Apr 07, 11	Aug 09, 11	44 37	93.77		-49.40	
	FIFO	71 000	Apr 07, 11	Aug 10, 11	151.58	317.04		-165.46	
	FIFO	2.000	Apr 07, 11	Aug 10, 11	4 27	9.04		-4.77	
	FIFO	13 000	May 03, 11	Aug 10, 11	27.75	59.04		-31.29	
	FIFO	27 000	May 03, 11	Aug 10, 11	58.10	122 63		-64 53	
	FIFO	40 000	May 03, 11	Aug 11, 11	88 79	181 67		-92.88	
	FIFO	37 000	May 11, 11	Aug 11, 11	82.13	158 53		-76 40	
	FIFO	5 000	May 11, 11	Aug 11, 11	10 89	21 42		-10 53	
	FIFO	8 000	May 11, 11	Aug 12, 11	18.03	34 28		-16 25	
	FIFO	13.000	May 17, 11	Aug 12, 11	29.29	55 46		-26.17	
	FIFO	36 000	May 17, 11	Aug 15, 11	81 49	153 59		-72 10	
	FIFO	21 000	May 17, 11	Aug 16, 11	47 23	89.59		-42 36	
	FIFO	19 000	May 18, 11	Aug 16, 11	42 73	81 95		-39 22	
	FIFO	31 000	May 18, 11	Aug 17, 11	65 04	133 71		-68 67	
	FIFO	27 000	May 18, 11	Aug 18, 11	56 93	116 46		-59.53	
PEP BOYS MANNY MOE&JACK	FIFO	30 000	May 25, 11	Aug 05, 11	276.92	406 50		-129.58	
	FIFO	23 000	Jun 07, 11	Aug 05, 11	212.30	261.69		-49 39	
	FIFO	20 000	Jun 07, 11	Aug 08, 11	176 51	227 56		-51 05	
	FIFO	36 000	Jun 07, 11	Aug 09, 11	313 78	409 60		-95 82	
	FIFO	9 000	Jun 07, 11	Aug 09, 11	78 45	102 25		-23 80	
	FIFO	22 000	Jun 07, 11	Aug 10, 11	193 06	249 93		-56.87	
	FIFO	5 000	Jun 08, 11	Aug 10, 11	43 88	55 52		-11 64	

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
TECH DATA CORP	FIFO	6 000	Mar 29, 11	Aug 08, 11	240.38	302 92		-62 54	
	FIFO	3 000	Mar 29, 11	Aug 09, 11	119 10	151 46		-32 36	
	FIFO	1 000	Mar 29, 11	Aug 09, 11	39 71	50 55		-10 84	
	FIFO	10 000	Mar 30, 11	Aug 09, 11	397 01	507 09		-110 08	
	FIFO	2 000	Mar 30, 11	Aug 10, 11	80 31	101 42		-21 11	
	FIFO	2 000	Mar 31, 11	Aug 10, 11	80 31	101 65		-21 34	
	FIFO	10 000	Mar 31, 11	Aug 10, 11	401 55	508 90		-107 35	
	FIFO	10 000	Apr 01, 11	Aug 10, 11	401 55	504 73		-103 18	
	FIFO	7 000	Apr 04, 11	Aug 10, 11	281.09	359 77		-78 68	
WADDELL & REED FINANCIAL INC CL A	FIFO	2 000	Sep 24, 10	Aug 05, 11	64 29	54 46			9 83
	FIFO	23 000	Sep 24, 10	Aug 05, 11	739 34	631 14			108 20
	FIFO	1 000	Sep 24, 10	Aug 05, 11	32 42	27 44			4 98
	FIFO	20 000	Sep 24, 10	Aug 05, 11	648 49	550.51			97.98
	FIFO	2 000	Sep 24, 10	Aug 08, 11	61 77	55 05			6 72
	FIFO	9 000	Sep 24, 10	Aug 11, 11	275 76	247 74			28 02
	FIFO	6 000	Sep 24, 10	Aug 17, 11	188.04	165 15			22 89
	FIFO	5 000	Sep 27, 10	Aug 17, 11	156.69	138 09			18 60
	FIFO	3 000	Oct 05, 10	Aug 17, 11	94 02	84 46			9 56
	FIFO	1 000	Oct 05, 10	Aug 18, 11	28 55	28 15			0 40
	FIFO	7.000	Oct 06, 10	Aug 18, 11	199 85	197.43			2 42
	FIFO	18 000	Oct 19, 10	Aug 18, 11	513 91	495.50			18 41
	FIFO	1 000	Oct 20, 10	Aug 18, 11	28.55	27 73			0 82
	FIFO	12 000	Nov 04, 10	Aug 18, 11	342 60	375 71		-33 11	
	FIFO	21 000	Nov 04, 10	Aug 19, 11	586 80	657 49		-70 69	
Total					**$29,121.08**	**$32,539.14**		**-$3,746.90**	**$328.84**
Net short-term capital gains and losses								**-$3,418.06**	

continued next page

Realized gains and losses (continued)

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
APOLLO INVESTMENT CORP	FIFO	19 000	Aug 06, 09	Aug 09, 11	148 27	144 16			4.11
	FIFO	16 000	Aug 06, 09	Aug 09, 11	124 86	120 63			4 23
	FIFO	7.000	Aug 07, 09	Aug 09, 11	54 62	63 47		-8 85	
	FIFO	110 000	Aug 07, 09	Aug 09, 11	864.82	997 35		-132 53	
	FIFO	1 000	Aug 07, 09	Aug 10, 11	7 61	9 07		-1.46	
	FIFO	62 000	Aug 17, 09	Aug 10, 11	472 04	544 19		-72 15	
BRIGHAM EXPLORATION CO	FIFO	7 000	Jun 02, 10	Aug 08, 11	166.25	116 84			49.41
	FIFO	13 000	Jul 22, 10	Aug 08, 11	308.76	216 98			91 78
CACI INTL INC CL A	FIFO	2 000	Oct 07, 08	Aug 02, 11	105 99	94 38			11 61
	FIFO	5 000	Oct 09, 08	Aug 02, 11	264 97	218 24			46 73
	FIFO	1 000	Oct 09, 08	Aug 02, 11	52.94	43.65			9.29
	FIFO	7 000	Oct 27, 08	Aug 02, 11	370 61	279 25			91 36
	FIFO	4 000	Oct 27, 08	Aug 03, 11	212.59	159.57			53.02
	FIFO	3 000	Oct 27, 08	Aug 03, 11	157.48	119.68			37.80
	FIFO	4 000	Mar 09, 09	Aug 03, 11	209 97	158.97			51.00
	FIFO	2 000	Apr 29, 09	Aug 03, 11	104.98	74 58			30.40
	FIFO	3 000	Sep 03, 09	Aug 03, 11	157 48	136 14			21 34
	FIFO	4 000	Sep 03, 09	Aug 03, 11	208 73	181 52			27 21
CNO FINL GROUP INC COM	FIFO	150 000	Oct 16, 09	Aug 10, 11	832 93	994 65		-161.72	
	FIFO	19 000	Dec 01, 09	Aug 10, 11	105 51	97 08			8.43
	FIFO	145 000	Dec 01, 09	Aug 11, 11	814 43	740 83			73 60
FIFTH STREET FIN CORP	FIFO	6 000	Aug 13, 09	Aug 08, 11	53.04	62 36		-9 32	
	FIFO	29 000	Aug 13, 09	Aug 08, 11	256.37	307 63		-51 26	
	FIFO	13.000	Aug 13, 09	Aug 09, 11	114 90	137 91		-23 01	
	FIFO	111 000	Sep 25, 09	Aug 09, 11	981 10	1,191 33		-210.23	
	FIFO	67 000	Jan 21, 10	Aug 09, 11	592 19	792 95		-200.76	
	FIFO	15.000	Mar 15, 10	Aug 09, 11	132 58	179 98		-47 40	
	FIFO	52 000	Mar 15, 10	Aug 10, 11	459 66	623 92		-164 26	
	FIFO	4 000	May 20, 10	Aug 10, 11	35 36	46 16		-10 80	
	FIFO	24.000	May 20, 10	Aug 11, 11	215.19	276 93		-61 74	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
FIRST CASH FINCL SERVICES	FIFO	5 000	Dec 21, 07	Aug 15, 11	230.12	74 93			155 19
	FIFO	4 000	Dec 21, 07	Aug 16, 11	180.66	59 95			120 71
	FIFO	10 000	Jan 30, 08	Aug 16, 11	451 65	100 28			351 37
FIRSTMERIT CORPORATION	FIFO	8 000	Jun 04, 09	Aug 10, 11	98 52	141 65		-43 13	
	FIFO	41 000	Jun 25, 09	Aug 10, 11	504 92	698 08		-193 16	
	FIFO	12 000	Jun 25, 09	Aug 11, 11	144 12	204 32		-60 20	
	FIFO	25 000	Dec 04, 09	Aug 11, 11	300 24	530 93		-230 69	
GOVERNMENT PROPERTIES INCOME TRUST REIT SBI	FIFO	22 000	Jan 15, 10	Aug 03, 11	502 16	485 70			16 46
	FIFO	8.000	Jan 15, 10	Aug 04, 11	180 61	176 62			3 99
	FIFO	11 000	Jan 15, 10	Aug 04, 11	248.34	243 80			4 54
	FIFO	4 000	Jan 19, 10	Aug 04, 11	90 31	90 25			0 06
LASALLE HOTEL PROPERTIES SBI	FIFO	38 000	Jan 07, 10	Aug 16, 11	737 29	783 27	-23 91	-45 98	
	FIFO	9 000	Jan 07, 10	Aug 17, 11	174 52	191 18		-16 66	
	FIFO	8 000	Apr 13, 10	Aug 17, 11	155 12	192.55		-37 43	
OASIS PETROLEUM INC	FIFO	21 000	Jul 01, 10	Aug 08, 11	463 10	304 44			158 66
OIL STATES INTL INC	FIFO	11 000	May 21, 09	Aug 08, 11	735 06	257.36			477 70
	FIFO	3 000	May 27, 09	Aug 08, 11	200 47	74 67			125 80
PACKAGING CORP OF AMERICA	FIFO	10 000	Jul 20, 09	Aug 11, 11	228 03	179 91			48 12
PROSPERITY BANCSHARES INC	FIFO	4 000	Dec 19, 08	Aug 10, 11	151 45	109 40			42 05
	FIFO	7 000	Jan 26, 09	Aug 10, 11	265 05	171 26			93 79
	FIFO	15 000	Jan 26, 09	Aug 10, 11	560 84	366 98			193 86
	FIFO	15 000	Jan 26, 09	Aug 11, 11	551 89	366 98			184 91
	FIFO	1 000	Jan 26, 09	Aug 11, 11	36 88	24 46			12 42
	FIFO	10 000	Jan 26, 09	Aug 23, 11	343 20	244 66			98 54
PVH CORP COM	FIFO	5 000	Jan 16, 09	Aug 17, 11	302 78	94 24			208 54
	FIFO	1 000	Feb 23, 09	Aug 17, 11	60 56	17 20			43 36

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	7 000	Mar 09, 09	Aug 17, 11	423.89	102 90			320 99
	FIFO	7.000	Mar 09, 09	Aug 18, 11	389 74	102.89			286 85
	FIFO	3.000	Mar 09, 09	Aug 19, 11	157 79	44.10			113.69
	FIFO	4 000	Apr 29, 09	Aug 19, 11	210 39	117.13			93 26
	FIFO	1.000	Mar 09, 10	Aug 19, 11	52 60	46 02			6 58
RESOLUTE ENERGY CORP	FIFO	18.000	Mar 15, 10	Aug 08, 11	246 44	218 70			27 74
	FIFO	7.000	Mar 15, 10	Aug 16, 11	93 54	85 05			8 49
	FIFO	8 000	Mar 17, 10	Aug 16, 11	106 91	95 99			10 92
	FIFO	3.000	Mar 18, 10	Aug 16, 11	40 19	35 96			4 23
	FIFO	2 000	Mar 18, 10	Aug 16, 11	26 72	23.97			2 75
	FIFO	5 000	Mar 19, 10	Aug 16, 11	66 81	58 75			8.06
	FIFO	1.000	Mar 23, 10	Aug 16, 11	13 36	11 93			1 43
	FIFO	11 000	Mar 23, 10	Aug 17, 11	146 53	131 21			15 32
	FIFO	2 000	Mar 23, 10	Aug 17, 11	26 63	23 86			2 77
TENNECO INC	FIFO	4.000	Apr 29, 10	Aug 11, 11	129 91	106 78			23 13
	FIFO	4 000	Apr 30, 10	Aug 11, 11	129.90	105 96			23 94
	FIFO	16 000	May 04, 10	Aug 11, 11	519 62	393 55			126 07
	FIFO	23 000	May 05, 10	Aug 11, 11	746 96	541.54			205 42
	FIFO	11 000	May 05, 10	Aug 22, 11	318 43	258 99			59 44
	FIFO	4 000	May 13, 10	Aug 22, 11	115 79	102 03			13 76
	FIFO	26 000	May 13, 10	Aug 23, 11	750 45	663 22			87 23
WASHINGTON FEDERAL INC	FIFO	28 000	May 13, 09	Aug 10, 11	405 83	353 20			52 63
	FIFO	23 000	May 13, 09	Aug 10, 11	334 59	290.12			44 47
	FIFO	53 000	May 13, 09	Aug 11, 11	775 32	668 55			106 77
	FIFO	6 000	May 28, 09	Aug 11, 11	87.77	76 65			11 12
WASTE CONNECTIONS INC	FIFO	19 000	Apr 29, 09	Aug 03, 11	598 55	342 25			256 30
	FIFO	21 000	May 04, 09	Aug 03, 11	661.55	355.86			305.69
	FIFO	24 000	May 04, 09	Aug 05, 11	738 42	406 70			331 72
	FIFO	21 000	Jun 11, 09	Aug 05, 11	646 11	381 82			264.29
	FIFO	7 000	Jun 11, 09	Aug 11, 11	213 41	127 27			86 14

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	21 000	May 18, 10	Aug 11, 11	640 24	497 36			142 88
	FIFO	9 000	May 18, 10	Aug 16, 11	281.96	213 16			68 80
	FIFO	16 000	Jul 22, 10	Aug 16, 11	501 27	388 82			112 45
WOLVERINE WORLD WIDE INC	FIFO	8 000	Sep 25, 09	Aug 19, 11	250 50	197.66			52 84
	FIFO	12 000	Sep 25, 09	Aug 22, 11	378 82	296 48			82 34
	FIFO	11 000	Sep 25, 09	Aug 23, 11	362 74	271 78			90.96
	FIFO	2 000	Dec 24, 09	Aug 23, 11	65 95	54.56			11.39
	FIFO	3.000	Dec 24, 09	Aug 23, 11	97 15	81.84			15 31
Total					**$28,236.90**	**$23,590.08**		**-$1,782.74**	**$6,429.56**
Net long-term capital gains or losses									**$4,646.82**
Net capital gains/losses:									**$1,228.76**

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order See *Important information about your statement* at the end of this document for more information We may not adjust gains and losses for all capital changes We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
INVESCO MORTGAGE CAPITAL	FIFO	21 000	Feb 28, 11	Sep 12, 11	336 41	336 41	-153 21		
	FIFO	15 000	Mar 01, 11	Sep 12, 11	240 30	240 30	-110 91		

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	6.000	Mar 01, 11	Sep 12, 11	96 11	96 11	-44.64		
	FIFO	3.000	Mar 02, 11	Sep 12, 11	48 06	48 06	-22 58		
	FIFO	1 000	Mar 02, 11	Sep 12, 11	16 02	16 02	-7.56		
	FIFO	5 000	Mar 03, 11	Sep 12, 11	80 10	80.10	-38 63		
	FIFO	6 000	Mar 03, 11	Sep 12, 11	96 12	119 30	-23 19	-23 18	
	FIFO	5 000	Mar 04, 11	Sep 12, 11	80 10	118 72		-38.62	
	FIFO	17 000	Mar 07, 11	Sep 12, 11	272.33	406 08		-133.75	
	FIFO	25 000	Mar 08, 11	Sep 12, 11	400 49	597.22		-196 73	
	FIFO	4 000	Mar 22, 11	Sep 12, 11	64 08	85.00		-20 92	
	FIFO	26 000	Mar 22, 11	Sep 26, 11	379 65	552.51		-172.86	
ISHARES TRUST RUSSELL 2000 VALUE INDEX FD	FIFO	32 000	Aug 05, 11	Sep 02, 11	1,962.43	1,962 43	-93 93		
	FIFO	32 000	Aug 05, 11	Sep 06, 11	1,906 23	1,906 23	-150 12		
	FIFO	28 000	Aug 08, 11	Sep 06, 11	1,667.95	1,667.95	-59 48		
	FIFO	15.000	Aug 08, 11	Sep 09, 11	899 38	899 38	-26.03		
	FIFO	33 000	Aug 08, 11	Sep 12, 11	1,962 11	2,088.36	52 46	-126 25	
	FIFO	16.000	Aug 08, 11	Sep 13, 11	974.23	1,119 83	132 73	-145 60	
	FIFO	12 000	Aug 11, 11	Sep 13, 11	730 67	799 20	61.40	-68 53	
	FIFO	19.000	Aug 11, 11	Sep 13, 11	1,156 90	1,272.65	93 97	-115.75	
	FIFO	4 000	Aug 11, 11	Sep 22, 11	229 45	278 42	30.28	-48 97	
	FIFO	32 000	Aug 24, 11	Sep 22, 11	1,835 64	2,175 04	212 41	-339.40	
	FIFO	29 000	Aug 24, 11	Sep 22, 11	1,664 56	1,999 03	220 40	-334 47	
	FIFO	39 000	Aug 25, 11	Sep 23, 11	2,227.90	2,686.01	311 76	-458.11	
	FIFO	25.000	Aug 25, 11	Sep 26, 11	1,435 08	1,782 98	261 03	-347 90	
RESOLUTE ENERGY CORP	FIFO	4 000	Oct 19, 10	Sep 26, 11	45 77	47 78		-2 01	
	FIFO	26 000	Oct 19, 10	Sep 26, 11	297.45	311 11		-13.66	
	FIFO	3 000	Oct 19, 10	Sep 27, 11	35.98	35.90			0 08
	FIFO	45 000	Dec 10, 10	Sep 27, 11	539 76	627 27		-87 51	
TECH DATA CORP	FIFO	10 000	Apr 04, 11	Sep 09, 11	450.00	513.95		-63.95	
	FIFO	1 000	Apr 25, 11	Sep 09, 11	45 00	52.58		-7.58	

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	3 000	Apr 25, 11	Sep 12, 11	133 54	157.75		-24 21	
	FIFO	12 000	May 24, 11	Sep 12, 11	534 18	552 83		-18 65	
	FIFO	8 000	May 24, 11	Sep 22, 11	359 19	368 55		-9 36	
	FIFO	2 000	Jun 10, 11	Sep 22, 11	89.80	89.53			0 27
	FIFO	7.000	Jun 10, 11	Sep 23, 11	310 14	313 34		-3 20	
	FIFO	4 000	Jun 10, 11	Sep 26, 11	177 97	179 06		-1 09	
TRIQUINT SEMICONDUCTOR INC	FIFO	2 000	Apr 27, 11	Sep 12, 11	12 14	26 64		-14.50	
	FIFO	6 000	Apr 27, 11	Sep 13, 11	35 95	79 91		-43 96	
	FIFO	22 000	Apr 27, 11	Sep 13, 11	131 64	293 01		-161 37	
	FIFO	9 000	May 11, 11	Sep 13, 11	53.85	123 99		-70 14	
	FIFO	47 000	May 11, 11	Sep 14, 11	278 72	647 49		-368 77	
	FIFO	22.000	Jun 27, 11	Sep 14, 11	130 47	232 98		-102 51	
	FIFO	6 000	Jun 27, 11	Sep 14, 11	35 99	63 54		-27 55	
	FIFO	24 000	Jul 13, 11	Sep 15, 11	145.47	241 96		-96 49	
	FIFO	27 000	Jul 28, 11	Sep 15, 11	163 65	215.74		-52 09	
	FIFO	19 000	Jul 28, 11	Sep 16, 11	114 04	151 82		-37 78	
	FIFO	13 000	Jul 28, 11	Sep 16, 11	77 99	103 88		-25 89	
	FIFO	33 000	Jul 28, 11	Sep 19, 11	188.83	263 69		-74 86	
	FIFO	26 000	Aug 03, 11	Sep 19, 11	148 77	196 30		-47 53	
	FIFO	13 000	Aug 03, 11	Sep 19, 11	73 57	98 15		-24 58	
WADDELL & REED FINANCIAL INC CL A	FIFO	17 000	Nov 04, 10	Sep 26, 11	443 12	532 25		-89 13	
	FIFO	2.000	Nov 04, 10	Sep 26, 11	51 39	62 62		-11 23	
	FIFO	7 000	Dec 09, 10	Sep 26, 11	179 86	238 24		-58 38	
	FIFO	23.000	Dec 09, 10	Sep 27, 11	646 77	782 77		-136 00	
	FIFO	18 000	Mar 09, 11	Sep 27, 11	506 16	726 91		-220 75	
Total					**$27,199.46**	**$31,664.88**		**-$4,465.77**	**$0.35**
Net short-term capital gains and losses								**-$4,465.42**	

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Realized gains and losses (continued)

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
BRIGHAM EXPLORATION CO	FIFO	18.000	Jul 22, 10	Sep 22, 11	471.32	300.43			170 89
	FIFO	14.000	Jul 22, 10	Sep 23, 11	356 76	233 67			123 09
FIRST CASH FINCL SERVICES	FIFO	6 000	Jan 30, 08	Sep 20, 11	311 19	60 17			251.02
	FIFO	2 000	Jan 30, 08	Sep 20, 11	103 81	20 06			83 75
	FIFO	4.000	Jan 30, 08	Sep 21, 11	200.78	40.11			160.67
	FIFO	6 000	Jan 30, 08	Sep 22, 11	282.72	60.17			222 55
	FIFO	12.000	Jan 30, 08	Sep 23, 11	531 91	120.34			411 57
	FIFO	10 000	Jan 30, 08	Sep 26, 11	415.56	100.28			315 28
RESOLUTE ENERGY CORP	FIFO	10 000	Mar 23, 10	Sep 22, 11	117.73	119.29		-1.56	
	FIFO	8 000	Mar 23, 10	Sep 22, 11	93.53	95 43		-1.90	
	FIFO	4 000	Mar 23, 10	Sep 22, 11	47.21	47.71		-0 50	
	FIFO	2.000	Apr 13, 10	Sep 22, 11	23 41	26 44		-3 03	
	FIFO	7 000	Apr 13, 10	Sep 23, 11	81 94	92.53		-10 59	
	FIFO	20 000	Apr 13, 10	Sep 23, 11	234 67	264 39		-29 72	
	FIFO	7 000	Apr 13, 10	Sep 26, 11	80 64	92.54		-11.90	
	FIFO	8 000	Apr 13, 10	Sep 26, 11	91 52	105 75		-14 23	
TRIQUINT SEMICONDUCTOR INC	FIFO	45 000	Feb 05, 10	Sep 09, 11	280 25	276 80			3 45
	FIFO	70 000	Feb 05, 10	Sep 09, 11	442 33	430 57			11 76
	FIFO	11 000	Feb 05, 10	Sep 12, 11	66 08	67 66		-1 58	
	FIFO	39 000	May 18, 10	Sep 12, 11	234 28	263 61		-29 33	
	FIFO	38 000	May 18, 10	Sep 12, 11	230.70	256 85		-26.15	
UNIT CORP	FIFO	8 000	Mar 08, 10	Sep 22, 11	322 71	365 72		-43 01	
	FIFO	3.000	Mar 08, 10	Sep 22, 11	122 43	137 14		-14 71	
	FIFO	3 000	Mar 08, 10	Sep 23, 11	118 11	137 15		-19 04	
	FIFO	6 000	Mar 08, 10	Sep 23, 11	226 20	274 29		-48.09	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	15 000	Mar 08, 10	Sep 26, 11	565 67	685 72		-120 05	
Total					**$6,053.46**	**$4,674.82**		**-$375.39**	**$1,754.03**
Net long-term capital gains or losses									**$1,378.64**
Net capital gains/losses:								**-$3,086.78**	

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
BRIGHAM EXPLORATION CO	FIFO	41 000	Nov 05, 10	Oct 17, 11	1,493 28	945.42			547 86
INVESCO MORTGAGE CAPITAL	FIFO	3 000	Mar 22, 11	Oct 03, 11	40 28	63.75		-23 47	
	FIFO	24 000	Mar 22, 11	Oct 04, 11	313 38	510 00		-196 62	
	FIFO	11 000	Mar 28, 11	Oct 04, 11	143 64	239 90		-96 26	
	FIFO	12 000	Mar 31, 11	Oct 04, 11	156 69	260 36		-103 67	
	FIFO	24 000	Aug 03, 11	Oct 04, 11	313 38	467 04		-153 66	
	FIFO	8 000	Aug 15, 11	Oct 04, 11	104 46	212 29	58 37	-107 83	
	FIFO	46 000	Aug 15, 11	Oct 05, 11	632 25	1,227.37	342.35	-595 12	
LENNOX INTL INC	FIFO	3 000	Nov 17, 10	Sep 30, 11	78 29	119 59		-41 30	
	FIFO	4.000	Nov 17, 10	Sep 30, 11	104 38	160 30		-55 92	
	FIFO	4 000	Nov 18, 10	Sep 30, 11	104 39	165 62		-61 23	
	FIFO	16 000	Nov 18, 10	Sep 30, 11	417.33	662.46		-245 13	
	FIFO	6 000	Nov 18, 10	Oct 03, 11	158 71	248 42		-89 71	
	FIFO	11 000	Nov 24, 10	Oct 03, 11	290 98	473.22		-182.24	
	FIFO	1 000	Nov 24, 10	Oct 05, 11	26 17	43 02		-16 85	
	FIFO	10.000	Dec 03, 10	Oct 05, 11	261 70	460 50		-198 80	
Total					**$4,639.31**	**$6,259.26**		**-$2,167.81**	**$547.86**
Net short-term capital gains and losses								**-$1,619.95**	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
BRIGHAM EXPLORATION CO	FIFO	9 000	Jul 22, 10	Oct 17, 11	327 79	150 21			177 58
	FIFO	47 000	Sep 24, 10	Oct 17, 11	1,711 81	817 63			894 18

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	37 000	Oct 12, 10	Oct 17, 11	1,347 58	785.14			562 44
LASALLE HOTEL PROPERTIES SBI	FIFO	8.000	Apr 13, 10	Oct 05, 11	143.98	192 55		-48 57	
PVH CORP COM	FIFO	13 000	Mar 09, 10	Oct 24, 11	951 17	598.22			352.95
	FIFO	5 000	Mar 09, 10	Oct 24, 11	368 30	230 08			138.22
	FIFO	4.000	Mar 09, 10	Oct 25, 11	287 60	184.07			103.53
	FIFO	6 000	May 20, 10	Oct 25, 11	431 41	315 74			115 67
	FIFO	2 000	Jul 12, 10	Oct 25, 11	143 80	93 67			50.13
	FIFO	2 000	Jul 12, 10	Oct 25, 11	143 90	93.67			50 23
SENSIENT TECHNOLOGIES CORP	FIFO	3.000	Aug 03, 09	Oct 24, 11	110.55	75.69			34.86
	FIFO	3.000	Aug 03, 09	Oct 24, 11	110 17	76.05			34 12
	FIFO	4 000	Aug 03, 09	Oct 25, 11	142.78	101 40			41 38
	FIFO	2.000	Sep 02, 09	Oct 25, 11	71.39	52.73			18 66
TENNECO INC	FIFO	7.000	May 13, 10	Sep 30, 11	186 78	178.56			8 22
	FIFO	4.000	May 13, 10	Oct 03, 11	101.82	102 03		-0.21	
	FIFO	13.000	May 20, 10	Oct 03, 11	330 93	258 33			72.60
	FIFO	15 000	May 20, 10	Oct 04, 11	359.19	298 07			61 12
Total					**$7,270.95**	**$4,603.84**		**-$48.78**	**$2,715.89**
Net long-term capital gains or losses									**$2,667.11**
Net capital gains/losses:									**$1,047.16**

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Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order See *Important information about your statement* at the end of this document for more information We may not adjust gains and losses for all capital changes We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ENERSYS	FIFO	2 000	Nov 12, 10	Nov 11, 11	51.68	58.62		-6.94	
	FIFO	1.000	Nov 15, 10	Nov 11, 11	25 83	29 30		-3 47	
	FIFO	2 000	Nov 15, 10	Nov 11, 11	51.68	58.88		-7 20	
	FIFO	1.000	Nov 15, 10	Nov 11, 11	26.07	29.44		-3.37	
	FIFO	11 000	Nov 16, 10	Nov 11, 11	286 76	322.88		-36.12	
	FIFO	5.000	Nov 17, 10	Nov 11, 11	130 35	146 93		-16.58	
	FIFO	14.000	Nov 17, 10	Nov 11, 11	364 97	411 50		-46.53	
	FIFO	10.000	Nov 24, 10	Nov 11, 11	260 69	307.57		-46 88	
	FIFO	3.000	Nov 24, 10	Nov 18, 11	74.72	92.27		-17 55	
	FIFO	2.000	Nov 24, 10	Nov 18, 11	49 91	61.51		-11.60	
	FIFO	8.000	Nov 24, 10	Nov 21, 11	193.18	246 06		-52 88	
	FIFO	2.000	Dec 09, 10	Nov 21, 11	48 30	65.85		-17.55	
	FIFO	4 000	Dec 09, 10	Nov 21, 11	95 99	131 70		-35 71	
	FIFO	1 000	Dec 10, 10	Nov 21, 11	24 00	33 12		-9.12	
	FIFO	12 000	Dec 10, 10	Nov 22, 11	283 03	397 43		-114.40	
	FIFO	3 000	Jan 24, 11	Nov 22, 11	71.63	96.04		-24 41	
	FIFO	1 000	Jan 24, 11	Nov 25, 11	22 50	32.02		-9.52	
ISHARES TRUST RUSSELL 2000 VALUE INDEX FD	FIFO	37.000	Oct 05, 11	Oct 28, 11	2,495 22	2,131 83			363 39
	FIFO	22.000	Oct 05, 11	Oct 31, 11	1,453 01	1,267.58			185.43
	FIFO	25 000	Oct 05, 11	Nov 01, 11	1,578 85	1,567.58	127.16		11 27
	FIFO	41 000	Oct 05, 11	Nov 01, 11	2,596 75	2,594 28	231 98		2 47
	FIFO	124.000	Oct 05, 11	Nov 09, 11	7,951 84	7,951.84	807.31		
	FIFO	12 000	Oct 05, 11	Nov 09, 11	769 53	720 86	27.61		48 67

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	29 000	Oct 05, 11	Nov 09, 11	1,866 64	1,694 06	18 72		172 58
	FIFO	22 000	Oct 24, 11	Nov 09, 11	1,416.07	1,429 98	4 36	-13 91	
MAIDENFORM BRANDS INC	FIFO	5 000	Nov 08, 11	Nov 21, 11	86 32	86 32	-38 36		
	FIFO	16 000	Nov 08, 11	Nov 21, 11	276 24	395 14		-118 90	
	FIFO	7 000	Nov 08, 11	Nov 21, 11	121 93	211.23	38 36	-89 30	
[illegible]NNECO INC	FIFO	9 000	Feb 16, 11	Oct 28, 11	297 31	393 73		-96 42	
	FIFO	5 000	Mar 08, 11	Oct 28, 11	165 17	201.14		-35 97	
	FIFO	5 000	Mar 08, 11	Nov 21, 11	130 36	201.14		-70 78	
	FIFO	15 000	Mar 18, 11	Nov 21, 11	391 09	601 91		-210 82	
	FIFO	3 000	Apr 12, 11	Nov 21, 11	78 22	119 16		-40 94	
	FIFO	13 000	Apr 12, 11	Nov 22, 11	339 99	516 38		-176 39	
Total					**$24,075.83**	**$24,605.28**		**-$1,313.26**	**$783.81**
Net short-term capital gains and losses								**-$529.45**	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
PVH CORP COM	FIFO	4 000	Jul 12, 10	Nov 16, 11	276 70	187 35			89 35
	FIFO	12 000	Jul 12, 10	Nov 16, 11	829 02	562 03			266 99
	FIFO	1 000	Jul 19, 10	Nov 16, 11	69 08	45 76			23 32
	FIFO	8 000	Jul 19, 10	Nov 18, 11	527 38	366 06			161 32
	FIFO	7.000	Jul 19, 10	Nov 21, 11	444 04	320 31			123 73
	FIFO	6 000	Aug 03, 10	Nov 21, 11	380 60	310 32			70 28
TENNECO INC	FIFO	7 000	May 20, 10	Oct 28, 11	231 24	139 10			92 14
Total					**$2,758.06**	**$1,930.93**			**$827.13**
Net long-term capital gains or losses									**$827.13**
Net capital gains/losses:									**$297.68**

End of statement for account number PF 00124 JR

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order See *Important information about your statement* at the end of this document for more information We may not adjust gains and losses for all capital changes We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
APOLLO INVESTMENT CORP	FIFO	8 000	Mar 16, 11	Dec 15, 11	50.48	90 58		-40 10	
	FIFO	30.000	Mar 16, 11	Dec 16, 11	188 51	339 68		-151 17	
	FIFO	42 000	Mar 16, 11	Dec 19, 11	252 63	475.55		-222 92	
	FIFO	11 000	Oct 05, 11	Dec 19, 11	66 17	81 62		-15.45	
	FIFO	29.000	Oct 05, 11	Dec 19, 11	175 59	215 18		-39 59	
ATMOS ENERGY CORP	FIFO	1 000	Jun 17, 11	Dec 02, 11	33.05	31 98			1 07
	FIFO	10 000	Jun 17, 11	Dec 02, 11	324.71	319 80			4 91
	FIFO	2.000	Jun 17, 11	Dec 05, 11	66 16	63 96			2.20
	FIFO	3 000	Jun 17, 11	Dec 05, 11	99.23	95 95			3 28
	FIFO	4 000	Jun 20, 11	Dec 05, 11	132 32	128 08			4 24
	FIFO	3 000	Jun 20, 11	Dec 05, 11	99 23	96 39			2 84
	FIFO	2 000	Jun 20, 11	Dec 05, 11	66 45	64 26			2 19
	FIFO	1 000	Jun 21, 11	Dec 05, 11	33 22	32 25			0 97
ENERSYS	FIFO	5 000	Jan 24, 11	Nov 28, 11	116 47	160 07		-43 60	
	FIFO	2 000	Jan 24, 11	Nov 29, 11	46 03	64 03		-18 00	
	FIFO	1 000	Jan 26, 11	Nov 29, 11	23 01	33 56		-10 55	
	FIFO	10 000	Jan 26, 11	Nov 29, 11	230 82	335 64		-104 82	
	FIFO	1 000	Jan 26, 11	Nov 29, 11	23 09	33 56		-10 47	
	FIFO	4 000	Feb 16, 11	Nov 29, 11	92 38	146 25		-53 87	
	FIFO	21 000	Feb 16, 11	Nov 30, 11	502 32	767 83		-265 51	
	FIFO	10 000	Mar 09, 11	Nov 30, 11	239 20	362 61		-123 41	
	FIFO	1 000	Mar 09, 11	Nov 30, 11	23 85	36 26		-12 41	
	FIFO	14 000	Aug 11, 11	Nov 30, 11	333 92	260 54			73 38
	FIFO	6 000	Aug 11, 11	Dec 01, 11	146 17	111 66			34 51
	FIFO	8 000	Aug 11, 11	Dec 01, 11	193 89	148 88			45 01

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	4 000	Aug 11, 11	Dec 01, 11	96.95	73 06			23 89
	FIFO	5 000	Aug 11, 11	Dec 02, 11	122.27	91.32			30 95
	FIFO	5 000	Aug 11, 11	Dec 02, 11	122.27	91.32			30.95
HANESBRANDS INC	FIFO	9.000	Feb 03, 11	Dec 01, 11	209 63	216.70		-7 07	
	FIFO	3.000	Feb 03, 11	Dec 01, 11	69.82	72 24		-2 42	
	FIFO	18.000	Feb 03, 11	Dec 02, 11	427 96	433.41		-5.45	
	FIFO	25.000	Feb 03, 11	Dec 05, 11	589 12	601.96		-12.84	
	FIFO	4 000	Feb 03, 11	Dec 05, 11	94.36	96.31		-1.95	
	FIFO	8.000	Feb 03, 11	Dec 05, 11	188.71	193 26		-4.55	
	FIFO	14.000	Mar 09, 11	Dec 05, 11	330 25	358.87		-28.62	
	FIFO	11 000	Mar 16, 11	Dec 05, 11	259.48	288 10		-28 62	
	FIFO	11.000	Mar 28, 11	Dec 05, 11	259.49	288.61		-29.12	
	FIFO	20.000	Apr 27, 11	Dec 05, 11	471.78	644.36		-172.58	
	FIFO	1.000	May 20, 11	Dec 05, 11	23.59	30.23		-6.64	
	FIFO	6.000	May 20, 11	Dec 06, 11	140 87	181 41		-40.54	
	FIFO	14.000	May 20, 11	Dec 06, 11	328 05	423 29		-95.24	
	FIFO	7 000	May 20, 11	Dec 07, 11	162.10	211 64		-49 54	
	FIFO	19.000	Jun 17, 11	Dec 07, 11	439 99	520.77		-80.78	
SOUTH JERSEY IND INC	FIFO	1.000	Aug 15, 11	Dec 02, 11	55 26	47 81			7 45
	FIFO	2.000	Aug 16, 11	Dec 02, 11	110.51	97 08			13.43
	FIFO	2 000	Aug 16, 11	Dec 05, 11	110 88	97 08			13.80
	FIFO	2 000	Aug 16, 11	Dec 06, 11	110.87	98 42			12 45
	FIFO	2.000	Aug 16, 11	Dec 19, 11	110.43	98.42			12.01
	FIFO	5.000	Aug 18, 11	Dec 19, 11	276 07	237.75			38 32
Total					**$8,669.61**	**$9,989.59**		**-$1,677.83**	**$357.85**
Net short-term capital gains and losses								**-$1,319.98**	

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Realized gains and losses (continued)

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
APOLLO INVESTMENT CORP	FIFO	20 000	Aug 17, 09	Dec 08, 11	140 26	175 55		-35 29	
	FIFO	60.000	Aug 17, 09	Dec 08, 11	420.60	526.64		-106 04	
	FIFO	40.000	Sep 15, 09	Dec 08, 11	280 40	396.24		-115.84	
	FIFO	66.000	Sep 15, 09	Dec 09, 11	466 16	653.80		-187 64	
	FIFO	4.000	Sep 15, 09	Dec 09, 11	28 24	39 62		-11 38	
	FIFO	9.000	Oct 01, 09	Dec 09, 11	63.55	82.53		-18 98	
	FIFO	7.000	Apr 30, 10	Dec 09, 11	49.42	86 80		-37 38	
	FIFO	75 000	Apr 30, 10	Dec 12, 11	514.38	930.00		-415 62	
	FIFO	5.000	May 13, 10	Dec 12, 11	34 29	60 17		-25 88	
	FIFO	7 000	May 13, 10	Dec 12, 11	48 02	84.24		-36 22	
	FIFO	36.000	May 13, 10	Dec 13, 11	237.42	433.24		-195.82	
	FIFO	26.000	May 13, 10	Dec 14, 11	163 84	312.90		-149 06	
	FIFO	7.000	May 13, 10	Dec 15, 11	44.17	84 24		-40 07	
	FIFO	36 000	Oct 22, 10	Dec 15, 11	227 17	391 64		-164 47	
CLECO CORP (HOLDING COMPANY)	FIFO	4.000	May 20, 10	Dec 02, 11	143 91	107.52			36 39
	FIFO	13 000	May 20, 10	Dec 02, 11	468 39	349.46			118 93
	FIFO	2.000	May 20, 10	Dec 02, 11	72 06	53 90			18.16
	FIFO	3 000	May 20, 10	Dec 05, 11	108.69	80 86			27 83
	FIFO	7 000	May 20, 10	Dec 05, 11	251 58	188.65			62.93
	FIFO	17.000	May 20, 10	Dec 06, 11	610 81	458.17			152 64
	FIFO	8.000	May 20, 10	Dec 29, 11	304 72	215.61			89.11
	FIFO	1 000	May 20, 10	Dec 29, 11	38 13	26 95			11.18
EL PASO ELEC CO NEW	FIFO	1.000	May 11, 10	Dec 02, 11	33 57	20.71			12.86
	FIFO	3 000	May 11, 10	Dec 02, 11	101.10	62 11			38.99
	FIFO	1 000	May 11, 10	Dec 05, 11	33 81	20 71			13.10
	FIFO	4 000	May 11, 10	Dec 06, 11	134 83	82 82			52 01
	FIFO	4 000	May 11, 10	Dec 07, 11	132 63	82.82			49 81
	FIFO	10 000	May 11, 10	Dec 07, 11	330 54	207 05			123.49
	FIFO	1.000	May 11, 10	Dec 08, 11	32 84	20.71			12 13

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	3 000	May 14, 10	Dec 08, 11	98 53	62 88			35.65
	FIFO	5 000	May 18, 10	Dec 09, 11	163.97	104 96			59 01
	FIFO	3.000	May 19, 10	Dec 09, 11	98 38	63.44			34.94
	FIFO	1 000	May 19, 10	Dec 09, 11	32 66	21 15			11 51
	FIFO	2.000	May 19, 10	Dec 12, 11	65 67	42 29			23 38
	FIFO	3.000	May 19, 10	Dec 12, 11	98.72	63.44			35.28
	FIFO	5 000	May 19, 10	Dec 13, 11	165.50	105 73			59 77
	FIFO	1.000	May 19, 10	Dec 29, 11	35.27	21.15			14.12
	FIFO	7 000	May 19, 10	Dec 29, 11	246 58	148.02			98 56
	FIFO	1 000	May 19, 10	Dec 29, 11	35 22	21.16			14 06
NORTHWESTERN CORP NEW	FIFO	4.000	Sep 23, 10	Dec 02, 11	140 68	110 50			30.18
	FIFO	2 000	Sep 23, 10	Dec 05, 11	70.40	55 25			15 15
	FIFO	4 000	Sep 23, 10	Dec 06, 11	140.42	110.51			29 91
	FIFO	1 000	Sep 23, 10	Dec 06, 11	34.91	27.62			7 29
	FIFO	2 000	Sep 23, 10	Dec 07, 11	69 10	55 25			13.85
	FIFO	1 000	Sep 23, 10	Dec 07, 11	34.49	27 63			6 86
	FIFO	1 000	Sep 24, 10	Dec 07, 11	34 48	28 00			6 48
	FIFO	3 000	Sep 24, 10	Dec 08, 11	101.38	84.00			17 38
	FIFO	6.000	Sep 24, 10	Dec 08, 11	203.82	167 99			35 83
	FIFO	1 000	Sep 28, 10	Dec 08, 11	33.97	27 91			6.06
	FIFO	4.000	Sep 28, 10	Dec 09, 11	136 02	111 63			24.39
	FIFO	7 000	Sep 29, 10	Dec 09, 11	238 03	198 70			39.33
	FIFO	1.000	Sep 29, 10	Dec 09, 11	34 00	28.44			5 56
	FIFO	1 000	Sep 29, 10	Dec 09, 11	34 01	28 45			5.56
	FIFO	1.000	Sep 29, 10	Dec 12, 11	33 81	28.44			5.37
	FIFO	3.000	Sep 30, 10	Dec 12, 11	101 43	85.44			15.99
	FIFO	2 000	Sep 30, 10	Dec 12, 11	67.52	56 96			10.56
	FIFO	7.000	Sep 30, 10	Dec 13, 11	239 77	199 36			40.41
	FIFO	3.000	Oct 04, 10	Dec 13, 11	102.76	86 48			16 28

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	1.000	Oct 04, 10	Dec 13, 11	34 25	28 82			5 43
	FIFO	7 000	Oct 06, 10	Dec 13, 11	239 71	200 04			39 67
PACKAGING CORP OF AMERICA	FIFO	13.000	Jul 20, 09	Dec 08, 11	322 43	233.89			88 54
	FIFO	8 000	Jul 20, 09	Dec 09, 11	198 39	143 93			54.46
	FIFO	15.000	Oct 16, 09	Dec 09, 11	371 99	330.18			41.81
	FIFO	11.000	Oct 16, 09	Dec 09, 11	272 31	242 12			30 19
	FIFO	4.000	Oct 16, 09	Dec 12, 11	97 24	88.05			9.19
	FIFO	6.000	Oct 16, 09	Dec 13, 11	145.49	132.07			13 42
	FIFO	7.000	Dec 28, 09	Dec 13, 11	169 73	166 68			3 05
SENSIENT TECHNOLOGIES CORP	FIFO	12 000	Sep 02, 09	Dec 05, 11	448 70	316.35			132.35
	FIFO	14.000	Sep 02, 09	Dec 06, 11	518 70	369.07			149 63
	FIFO	6.000	Sep 25, 09	Dec 06, 11	222 30	163.80			58 50
	FIFO	1 000	Sep 25, 09	Dec 06, 11	36 99	27 30			9.69
Total					**$11,485.26**	**$10,850.74**		**-$1,539.69**	**$2,174.21**
Net long-term capital gains or losses									**$634.52**
Net capital gains/losses:								**-$685.46**	

John C. & Eunice B. Morrison Charitable Foundation

SUMMARY OF CAPITAL TRANSACTIONS FOR SHORT YEAR: 8/1/2011 THROUGH 12/31/2011

SHORT TERM CAPIAL GAINS (LOSSES):

# shares	Municipal Bond/Stock	Date Purchased	Purchase Price	Date Sold	Sale Proceeds	Gain	Loss
32219	See Attached Schedule	Various	51,907.91	Various	51,907 91	-	-
32219	Healthways Settlment Fund	Various	-	10/2011	115.26	115.26	
02408	See Attached Schedule	Various	67,384 40	Various	64,413.74	17.62	(2,998.28)
00114	See Attached Schedule	Various	7,262.50	11/2011	7,218.75		(43.75)
00115	See Attached Schedule	Various	1,997.77	09/2011	1,999.05	80.12	(78.84)
00116	See Attached Schedule	Various	78,942.57	08/2011	59,334.83	46.71	(19,654.45)
00116	See Attached Schedule	Various	21,963.55	09/2011	16,400.11	1,126.27	(6,689.71)
00116	See Attached Schedule	Various	53,829 65	10/2011	73,845.37	549.21	(20,564.93)
00116	See Attached Schedule	Various	19,536.31	11/2011	14,438.79	565.12	(5,662 64)
00116	See Attached Schedule	Various	23,866.78	12/2011	20,899.46	1,760.12	(4,727.44)
00117	See Attached Schedule	Various	2,883 05	08/2011	1,710.31		(1,172.74)
00117	See Attached Schedule	Various	15,194.47	09/2011	15,626.31	1,111.15	(679.31)
00117	See Attached Schedule	Various	6,520.11	10/2011	7,077 60	557.49	
00117	See Attached Schedule	Various	8,669 57	11/2011	5,698.51		(2,971.06)
00118	See Attached Schedule	Various	2,597 95	12/2011	1,587.11		(1,010.84)
00119	See Attached Schedule	Various	17,836 00	08/2011	13,518.39	688.74	(5,006.35)
00119	See Attached Schedule	Various	14,687.97	09/2011	11,442.94	1,080.47	(4,325.50)
00119	See Attached Schedule	Various	8,015.94	10/2011	7,096.52	284.46	(1,203.88)
00119	See Attached Schedule	Various	13,159 20	11/2011	10,981.81	559 78	(2,737 17)
00119	See Attached Schedule	Various	9,326 08	12/2011	7,949.44	329.30	(1,705 94)
00120	See Attached Schedule	Various	555.46	08/2011	1,002.00	446.54	
00120	See Attached Schedule	Various	3,869.27	12/2011	4,588.50	719.23	
00121	See Attached Schedule	Various	1,137.67	08/2011	1,110.70		(26.97)
00121	See Attached Schedule	Various	1,803 61	09/2011	1,657.46		(146.15)
00121	See Attached Schedule	Various	8,565 59	10/2011	6,818.23	429.34	(2,176.70)
00121	See Attached Schedule	Various	1,284.64	11/2011	1,826 29	541.65	
00121	See Attached Schedule	Various	2,299.72	12/2011	1,582.65		(717 07)
00122	See Attached Schedule	Various	13,943 79	08/2011	10,189.39	696 21	(4,450 61)
00122	See Attached Schedule	Various	14,228.44	11/2011	16,626.23	2,933.17	(535.38)
00122	See Attached Schedule	Various	2,513.35	12/2011	2,701.44	188.09	
00123	See Attached Schedule	Various	2,840 46	11/2011	2,010.17		(830 29)
00124	See Attached Schedule	Various	32,539 14	8/2011	29,121.08	328 84	(3,746.90)
00124	See Attached Schedule	Various	31,664.88	9/2011	27,199.46	0 35	(4,465 77)
00124	See Attached Schedule	Various	6,259 26	10/2011	4,639 31	547 86	(2,167 81)
00124	See Attached Schedule	Various	24,605.28	11/2011	24,075.83	783.81	(1,313.26)
00124	See Attached Schedule	Various	9,989.59	12/2011	8,669.61	357.85	(1,677 83)
	TOTALS FOR SHORT TERM CAPITAL GAIN/(LOSSES)		$ 583,681.93		$ 537,080.56	$ 16,844.76	$ (103,487.57)
	NET SHORT TERM CAPITAL LOSS						$ (86,642 81)

John C. & Eunice B. Morrison Charitable Foundation

SUMMARY OF CAPITAL TRANSACTIONS FOR SHORT YEAR: 8/1/2011 THROUGH 12/31/2011

LONG TERM CAPITAL GAINS (LOSSES)

# shares	Municipal Bond/Stock	Date Purchased	Purchase Price	Date Sold	Sale Proceeds	Gain	Loss
32219	See Attached Schedule	Various	16,139.55	Various	16,139 55	-	-
32219	U.S. Trsy., 2.375%	Various	1,484 93	Various	-		(1,484.93)
02408	See Attached Schedule	Various	335,412 18	Various	346,621 39	11,980.62	(771.41)
00114	See Attached Schedule	Various	27,563.75	08/2011	28,332 15	768.40	
00114	Peatec Holding Corp.	Various	-	11/2011	13.00	13.00	
00114	See Attached Schedule	Various	6,585 00	09/2011	6,258.78		(326.22)
00114	See Attached Schedule	Various	8,132.50	11/2011	8,140.00	7.50	
00114	Community Health Systems	Various	-	11/2011	240.00	240.00	
00114	See Attached Schedule	Various	13,840.00	12/2011	13,793.78	15.65	(61 87)
00114	Plains Exploration	Various	-	12/2011	70.00	70 00	
00115	See Attached Schedule	Various	20,314 48	09/2011	16,507 87	35 18	(3,841.79)
00116	See Attached Schedule	Various	34,057.55	08/2011	40,403.93	6,422.98	(76.60)
00116	See Attached Schedule	Various	9,125.32	09/2011	11,171.52	4,057 90	(2,011.70)
00116	See Attached Schedule	Various	83,496.79	10/2011	75,271.98	10,236.03	(18,460.84)
00116	See Attached Schedule	Various	15,488.47	11/2011	14,749.69	2,489.33	(1,750.55)
00116	See Attached Schedule	Various	24,868 68	12/2011	25,677.03	4,213.80	(3,405 45)
00117	See Attached Schedule	Various	12,173.89	08/2011	6,767.10		(5,406 79)
00117	See Attached Schedule	Various	22,965.62	09/2011	13,229.66	449.75	(10,185.71)
00117	See Attached Schedule	Various	14,679.59	10/2011	20,084.83	5,938.48	(533.24)
00118	See Attached Schedule	Various	8,654.97	08/2011	10,214.04	2,688.29	(1,129.22)
00118	See Attached Schedule	Various	2,549.30	09/2011	4,550.78	2,001.48	
00118	See Attached Schedule	Various	5,446.96	10/2011	6,658.34	1,709.03	(497 65)
00118	See Attached Schedule	Various	4,525.58	11/2011	5,116.87	1,128.96	(537.67)
00118	See Attached Schedule	Various	13,148.86	12/2011	14,142.44	3,084 97	(2,091.36)
00119	See Attached Schedule	Various	19,688.80	08/2011	19,615.70	1,990 70	(2,063 80)
00119	See Attached Schedule	Various	3,972.48	09/2011	4,924 30	951 82	
00119	See Attached Schedule	Various	2,914.67	10/2011	2,253.03		(661.64)
00119	See Attached Schedule	Various	16,168.35	11/2011	12,148.29	511.40	(4,531.46)
00119	See Attached Schedule	Various	6,938 28	12/2011	6,551.43	913.39	(1,300.24)
00120	See Attached Schedule	Various	10,581 76	08/2011	13,026.00	2,444.24	
00120	See Attached Schedule	Various	11,798.23	12/2011	25,336.46	13,538.23	
00121	See Attached Schedule	Various	28,258.92	08/2011	26,521.02	823.90	(2,561.80)
00121	See Attached Schedule	Various	15,148 67	09/2011	9,526 12	131.90	(5,754 45)
00121	See Attached Schedule	Various	20,422.88	10/2011	18,977.15	1,971.18	(3,416.91)
00121	See Attached Schedule	Various	10,866.41	11/2011	9,921.06	8.38	(953.73)
00121	See Attached Schedule	Various	15,330.24	12/2011	18,266.23	3,575.69	(639.70)

John C. & Eunice B. Morrison Charitable Foundation

SUMMARY OF CAPITAL TRANSACTIONS FOR SHORT YEAR: 8/1/2011 THROUGH 12/31/2011

LONG TERM CAPITAL GAINS (LOSSES)

# shares	(contineud) Municipal Bond/Stock	Date Purchased	Purchase Price	Date Sold	Sale Proceeds	Gain	Loss
00122	See Attached Schedule	Various	11,679.71	08/2011	25,143.56	13,463.85	
00122	See Attached Schedule	Various	3,722 35	09/2011	6,136.07	2,944 39	(530.67)
00122	See Attached Schedule	Various	11,957 12	10/2011	17,002.03	6,367.23	(1,322.29)
00122	See Attached Schedule	Various	36,663 36	11/2011	63,992 25	29,378.56	(2,049 67)
00122	See Attached Schedule	Various	4,741 67	12/2011	9,083.69	4,342.02	
00123	See Attached Schedule	Various	7,849.88	8/2011	8,510 96	1,300 43	(639.35)
00123	See Attached Schedule	Various	3,249.83	09/2011	3,659 73	806.75	(396 85)
00123	See Attached Schedule	Various	3,736.81	11/2011	4,819 60	1,148 57	(65 78)
00123	See Attached Schedule	Various	1,740.58	12/2011	2,054.56	396.42	(82.44)
00124	See Attached Schedule	Various	23,590.08	8/2011	28,236.90	6,429.56	(1,782.74)
00124	See Attached Schedule	Various	4,674.82	9/2011	6,053.46	1,754 03	(375 39)
00124	See Attached Schedule	Various	4,603.84	10/2011	7,270.95	2,715 89	(48.78)
00124	See Attached Schedule	Various	1,930.93	11/2011	2,758.06	827.13	
00124	See Attached Schedule	Various	10,850.74	12/2011	11,485.26	2,174.21	(1,539.69)
	TOTALS FOR LONG TERM CAPITAL GAIN/(LOSSES)		$ 973,735.38		$ 1,047,428.60	$ 158,461.22	$ (83,290 38)

NET LONG TERM CAPITAL GAIN $ 75,170.84

Form **8868**
(Rev. January 2012)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print

File by the due date for filing your return See instructions

Name of exempt organization or other filer, see instructions.
John C. and Eunice B. Morrison
Charitable Foundation

Employer identification number (EIN) or
☒ 04-3310863

Number, street, and room or suite no If a P.O box, see instructions.
% P.Sorgi, Tr., 50 Staniford St., No. #804

Social security number (SSN)
☐

City, town or post office, state, and ZIP code For a foreign address, see instructions.
Boston, MA 02114-2517

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ Peter Sorgi, Trustee
50 Staniford Street, Suite 804 - Boston, MA 02114-2517
Telephone No.▶ (617) 742-2150 FAX No ▶ 617-227-4507
- If the organization does not have an office or place of business in the United States, check this box ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ▶ ☐ If it is for part of the group, check this box ▶ ☐ and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until August 15, 2012, to file the exempt organization return for the organization named above The extension is for the organization's return for:

▶ ☐ calendar year ______ or

▶ ☒ tax year beginning AUG 1, 2011, and ending DEC 31, 2011.

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☒ Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	3a	$ 10,000.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit.	3b	$ 13,000.
c	**Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions.	3c	$ 0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA **For Privacy Act and Paperwork Reduction Act Notice, see Instructions.**