



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2011Department of the Treasury
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011 or tax year beginning , and ending

Name of foundation BILL AND JACKIE LOCKWOOD FAMILY FOUNDATION		A Employer identification number 03-6100828
Number and street (or P O box number if mail is not delivered to street address) 239 SPIREA DRIVE	Room/suite	B Telephone number (see instructions) 937-294-8318
City or town, state, and ZIP code DAYTON OH 45419-3540		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 3,270,765	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	2	2	2	
	4 Dividends and interest from securities				
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10 STMT 1	9,287			
	b Gross sales price for all assets on line 6a 114,821				
	7 Capital gain net income (from Part IV, line 2)		0		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns & allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	9,289	2	2		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) STMT 2	1,600			
	c Other professional fees (attach schedule) STMT 3	5,400			
	17 Interest	452			
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (att. sch)				
	24 Total operating and administrative expenses. Add lines 13 through 23	7,452	0	0	0
	25 Contributions, gifts, grants paid	175,106			175,106
26 Total expenses and disbursements. Add lines 24 and 25	182,558	0	0	175,106	
27 Subtract line 26 from line 12	-173,269				
a Excess of revenue over expenses and disbursements					
b Net investment income (if negative, enter -0-)		2			
c Adjusted net income (if negative, enter -0-)			2		

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2011)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	58,668	57,625	57,625
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (att. schedule) ▶			
	Less allowance for doubtful accounts ▶	0		
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule) SEE STMT 4	3,493,050	3,213,140	3,213,140
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶			
Liabilities	Less accumulated depreciation (attach sch.) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach sch.) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	3,551,718	3,270,765	3,270,765
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶) SEE STATEMENT 5)		66,692	
	23 Total liabilities (add lines 17 through 22)	0	66,692	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	3,551,718	3,204,073	
	30 Total net assets or fund balances (see instructions)	3,551,718	3,204,073	
	31 Total liabilities and net assets/fund balances (see instructions)	3,551,718	3,270,765	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,551,718
2 Enter amount from Part I, line 27a	2	-173,269
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	3,378,449
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 6	5	174,376
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	3,204,073

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	N/A			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div style="border-left: 1px solid black; border-right: 1px solid black; padding: 0 10px;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div>	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	149,360	3,186,007	0.046880
2009	139,173	2,946,480	0.047234
2008	142,401	3,642,678	0.039092
2007	154,894	3,678,640	0.042106
2006	107,750	2,084,152	0.051700

2 Total of line 1, column (d)	2	0.227012
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.045402
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	3,302,799
5 Multiply line 4 by line 3	5	149,954
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6	7	149,954
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	175,106

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/>	1	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	0
6	Credits/Payments		
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a	244
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	244
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	244
11	Enter the amount of line 10 to be Credited to 2012 estimated tax 244 Refunded	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation \$ (2) On foundation managers \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) NONE		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	<input type="checkbox"/>	<input checked="" type="checkbox"/>
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	<input type="checkbox"/>	<input checked="" type="checkbox"/>
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	<input checked="" type="checkbox"/>	<input type="checkbox"/>

14 The books are in care of ► **WILLIAM D. LOCKWOOD** Telephone no ► **937-294-8318**
239 SPIREA DRIVE
 Located at ► **DAYTON** OH ZIP+4 ► **45419-3540**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year
 ► **15** ☐

16 At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
--	-----------	---------------------------------	---

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a During the year did the foundation (either directly or indirectly) <ul style="list-style-type: none"> (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 			
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	N/A ► <input type="checkbox"/>	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	N/A	
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) <ul style="list-style-type: none"> a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years ► 20 , 20 , 20 , 20 <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20 	2b		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011) N/A	3b		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	<input type="checkbox"/>	<input checked="" type="checkbox"/>
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No **N/A**

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b** X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If Yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WILLIAM D LOCKWOOD DAYTON 239 SPIREA DRIVE OH 45419-3540	TRUSTEE 2.00	0	0	0
JACQUELYN E. LOCKWOOD DAYTON 239 SPIREA DRIVE OH 45419-3540	TRUSTEE 2.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	3,353,095
b	Average of monthly cash balances	1b	0
c	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	3,353,095
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	3,353,095
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	50,296
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,302,799
6	Minimum investment return. Enter 5% of line 5	6	165,140

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	165,140
2a	Tax on investment income for 2011 from Part VI, line 5	2a	
b	Income tax for 2011 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	165,140
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	165,140
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	165,140

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	175,106
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	175,106
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	175,106

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				165,140
2 Undistributed income, if any, as of the end of 2011:				
a Enter amount for 2010 only				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2011:				
a From 2006	2,562			
b From 2007				
c From 2008				
d From 2009				
e From 2010				
f Total of lines 3a through e	2,562			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 175,106				
a Applied to 2010, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2011 distributable amount				165,140
e Remaining amount distributed out of corpus	9,966			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	12,528			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	2,562			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	9,966			
10 Analysis of line 9:				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011	9,966			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed
N/A

b The form in which applications should be submitted and information and materials they should include
N/A

c Any submission deadlines
N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
N/A

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE STATEMENT 7				175,106
Total			► 3a	175,106
b Approved for future payment N/A				
Total			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						2
4 Dividends and interest from securities						
5 Net rental income or (loss) from real estate						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory						9,287
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)			0		0	9,289
13 Total. Add line 12, columns (b), (d), and (e)					13	9,289

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Federal Statements

03-6100828

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets

Whom Sold	Description	Date Acquired	Date Sold	How Received		Cost	Expense	Depreciation	Net Gain / Loss
					Sale Price				
100 SH BERKSHIRE HTWY		12/29/05	12/30/11		PURCHASE \$ 114,821	\$ 105,534	\$	\$	\$ 9,287
TOTAL					\$ 114,821	\$ 105,534	\$ 0	\$ 0	\$ 9,287

Statement 2 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
ACCOUNTING FEES	\$ 1,600	\$	\$	\$
TOTAL	\$ 1,600	\$ 0	\$ 0	\$ 0

Statement 3 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
MANAGEMENT FEES	\$ 5,000	\$	\$	\$
FILING FEES	400			
TOTAL	\$ 5,400	\$ 0	\$ 0	\$ 0

Federal Statements

03-6100828

Statement 4 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
BERKSHIRE HATHAWAY	\$ 3,493,050	\$ 3,213,140	MARKET	\$ 3,213,140
TOTAL	\$ 3,493,050	\$ 3,213,140		\$ 3,213,140

Federal Statements**Statement 5 - Form 990-PF, Part II, Line 22 - Other Liabilities**

Description	Beginning of Year	End of Year
MARGIN LOAN	\$	\$ 66,692
TOTAL	\$ 0	\$ 66,692

Statement 6 - Form 990-PF, Part III, Line 5 - Other Decreases

Description	Amount
UNREALIZED LOSS ON INVESTMENTS	\$ 174,376
TOTAL	\$ 174,376

03-6100828

Statement 7 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the
Year

	Name		Address		Amount
	Address	Relationship	Status	Purpose	
AMERICAN HOSPICE FOUNDATION		SEE LIST		SEE LIST	25
AMERICAN RED CROSS		SEE LIST		SEE LIST	104
BALL STATE UNIVERSITY		SEE LIST		SEE LIST	200
BOX 21		SEE LIST		SEE LIST	25
BUILDING BRIDGES		SEE LIST		SEE LIST	5,500
COLORADO UPLIFT		SEE LIST		SEE LIST	2,000
CULTURE WORKS		SEE LIST		SEE LIST	6,050
DAYTON ART INSTITUTE		SEE LIST		SEE LIST	5,000
DAYTON EARLY COLLEGE ACAD		SEE LIST		SEE LIST	32,000
DAYTON OPERA ASSOCIATION		SEE LIST		SEE LIST	43,698
DAYTON PHILHARMONIC		SEE LIST		SEE LIST	4,320
DAYTON PUBLIC RADIO		SEE LIST		SEE LIST	2,000
DDN VALLEY FOOD RELIEF		SEE LIST		SEE LIST	5,000
DIANA DUTIEL SCHOLARSHIP FUND		SEE LIST		SEE LIST	50
DROP IN THE BUCKET		SEE LIST		SEE LIST	4,000
DPVA		SEE LIST		SEE LIST	100
ENGINEERS CLUB FOUNDATION		SEE LIST		SEE LIST	17,538
EVERY CHILD'S HOPE		SEE LIST		SEE LIST	2,000
FRIENDS OF SMITH GARDENS		SEE LIST		SEE LIST	100

03-6100828

Federal Statements

Statement 7 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the
Year (continued)

Name		Address		Relationship	Status	Purpose	Amount
Address							
HABITAT FOR HUMANITY				SEE LIST		SEE LIST	100
HOSPICE OF DAYTON				SEE LIST		SEE LIST	75
HUMAN RACE THEATRE CO.				SEE LIST		SEE LIST	200
MARSHALL UNIVERSITY				SEE LIST		SEE LIST	5,000
METROPOLITAN OPERA GUILD				SEE LIST		SEE LIST	250
MICHIGAN OPERA THEATRE				SEE LIST		SEE LIST	100
MUSCULAR DYSTROPHY				SEE LIST		SEE LIST	50
MUSE MACHINE				SEE LIST		SEE LIST	9,506
OAKWOOD FRATERNAL ORDER				SEE LIST		SEE LIST	50
OAKWOOD HIGH SCHOOL				SEE LIST		SEE LIST	90
OAKWOOD UNITED METHODIST CHURCH				SEE LIST		SEE LIST	25
OPERA AMERICA				SEE LIST		SEE LIST	2,000
OPERA GUILD OF DAYTON				SEE LIST		SEE LIST	500
PHILMONT STAFF ASSOCIATIO				SEE LIST		SEE LIST	7,000
SALVATION ARMY				SEE LIST		SEE LIST	3,000
SPECIAL OLYMPICS OHIO				SEE LIST		SEE LIST	100
THINK TV				SEE LIST		SEE LIST	1,500
UNITED WAY OF DAYTON				SEE LIST		SEE LIST	250

Federal Statements

03-6100828

Statement 7 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

Name		Address		Relationship	Status	Purpose	Amount
Address							
UNIVERSITY OF CINCINNATI				SEE LIST		SEE LIST	3,000
UNIVERSITY OF ILLINOIS				SEE LIST		SEE LIST	2,000
UNIVERSITY OF TEXAS CHART CENTER				SEE LIST		SEE LIST	10,000
WIDOWS HOME FOUNDATION				SEE LIST		SEE LIST	300
WRIGHT DUNBAR INC				SEE LIST		SEE LIST	300
TOTAL							175,106

+

2011 ACCOUNTING FOUNDATION CONTRIBUTIONS

American Hospice Foundation
2120 L Street, NW, Suite 200 Washington, DC 20037
202-223-0204 / 800-347-1413

The American Hospice Foundation, a 501(c)(3) non-profit organization, supports programs that serve the needs of terminally ill and grieving individuals of all ages.

American Red Cross – Dayton Area Chapter – Schaller Runner's Fund
Designated for disaster relief in Dayton

Ball State University Foundation
Muncie, Indiana 47306-0050
765-285-1633

In support of the university's efforts to provide an excellent education at a reasonable cost and allows Ball State to focus resources on those areas where the need is greatest.

Building Bridges
2157 Salem Avenue
Dayton Ohio 45406

A non-profit organization that works with "at risk" children referred by the Montgomery County Court.

Box 21
PO Box 21
Dayton, OH 45401
937-836-3028

A non-profit rescue organization. Federal ID # 316054031

Colorado Uplift
3914 King St
Denver, CO 80211

Building long-term, life-changing relationships with urban youth.

Culture Works
126 N. Main St., Suite 210
Dayton, OH 45402-1766
937-222-2787

Culture Works is greater Dayton's United Arts Fund and Arts Council. Culture Works provides the resources necessary to ensure a stable and dependable base of support for our cultural assets. As a leader in arts and cultural development, Culture Works offers valuable services to the arts community, including

professional development and technical assistance programs, promotional services, advocacy and grant-making. Culture Works raises and distributes the largest single source of private funding for the arts in Greater Dayton.

**Dayton Art Institute
456 Belmonte Park North
Dayton, Ohio 45405-4700**

A non-profit museum featuring an encyclopedic collection of more than 12,000 objects spanning 5,000 years of art history. Celebrating more than 80 years of collecting, interpreting and celebrating visual art.

**The Dayton Early College Accademy, Inc.
300 College Park
Dayton, Oh 45469-2920**

DECA is a non-traditional charter high school singularly focused on preparing first- generation urban students to go to college

**Dayton Opera Association
138 North Main Street
Dayton, OH 45402
Phone: (937) 228-7591**

The Dayton Opera Association is a not-for-profit organization which receives funding from a variety of diverse public, corporate, individual and private sources.

**Dayton Opera Scholarship Fund for Emerging Artists
138 North Main Street
Dayton, Ohio 45492
Phone: (937-228-0662**

Established to support Emerging Artists in Opera to include coaching and opportunities for performance.

Dayton Philharmonic Volunteer Association (DPVA)

Mission: To support the Dayton Philharmonic Orchestra and the Dayton Philharmonic Youth Orchestra, the junior strings orchestra and the Dayton Philharmonic Concert Band. Thorough the DPVA, members volunteer thousands of hours to prepare and present in-school docent programs.

Dayton Philharmonic Orchestra

Mission: To support the Dayton Philharmonic Orchestra in the areas of education, promotion, fundraising and membership development

**Dayton Philharmonic Orchestra
109 N. Main Street, Suite 200
Dayton, OH 45409
937-224-3521**

Mission: To support the Dayton Philharmonic Orchestra in the areas of education, promotion, fundraising and membership development

**Dayton Daily News
Valley Food Relief
PO Box 2741
Dayton, OH 45401-2741**

Mission: To provide food, clothing and toys during the holiday season to disadvantaged adults and children.

Diana Dutiel Scholarship Fund

The Diana Duteil Memorial Scholarship Fund c/o Greene Giving is a 501 (c) (3) Public Charity.

In memory and honor of Diana, this Memorial Scholarship Fund has been established to continue the activities, attitudes, and advocacy which Diana started, supported, loved and protected. The fund will be an incentive and encouragement to those who follow her example of love, charity, and unselfish commitment. Through the fund, Diana will continue to inspire and help those to whom she would have given her hand, and to encourage those who share her vision..

**Drop in the Bucket
PO Box 3697
Los Angeles, CA 90078**

Drop in the Bucket's mission is to help children in sub-Saharan Africa by building wells and sanitation systems at schools; thus enabling everyone, especially girls to get an education and realize their full potential.

**Engineers Club Foundation
(937) 824-7511
110 E Monument Ave
Dayton, OH 45402**

A non-profit organization to support the education programs of the Engineers Club of Dayton.

**Every Child's Hope
8240 St. Charles Rock Road
St. Louis, MO 63114
(314) 427-3755**

"Partnering with the community to assist children, youth and their families in their individual quest for health and wholeness through quality, faithful, professional services.

**Friends of Smith Gardens
City of Oakwood
30 Park Avenue
Dayton, OH 45419**

Membership helps defray costs associated with the maintenance of this special public garden

**Habitat for Humanity International
Americus, GA 31709-3498**

800-422-4828

Mission: Habitat for Humanity sells houses to partner families at no profit, through no-interest mortgages. Low-income families partner with Habitat, its volunteers and donors, investing hundreds of sweat-equity labor hours into their home's construction. Habitat receives building materials and other in-kind contributions, which in turn leverage donor dollars and build more houses.

**Hospice of Dayton
324 Wilmington Avenue
Dayton, Ohio 45420-1885
937-256-4490**

Mission is to provide quality hospice care to anyone in the region who needs it, regardless of financial ability.

**Human Race Theatre Company
126 N. Main Street Suite 300
Dayton, Ohio 45402**

Mission: To bring innovative, challenging and dynamic theatre through the Loft Series, Musical Theatre Workshops and comprehensive educational programs, to affect the conscience of our community, to see our audience as our partner in the creative experience, to provide a platform for our artists to evolve and explore and to be an educational resource to our community.

**Marshall University Autism Training Center
One John Marshall Drive
Huntington, WV 25755**

The mission of the Autism Training Center is to provide education, training and treatment programs for West Virginians who have Autism, Pervasive Development Disorder (NOS) or Asperger's Disorder and have been formally registered with the Center. This is done through appropriate education, training and support for professional personnel, family members or guardians and others important in the life of a person with autism. Training shall be provided by highly skilled and appropriately experienced staff.

**Metropolitan Museum of Art
1000 Fifth Avenue
New York, NY 10028-0198
212-570-3753**

Support enriching programs to learn about the world's cultural history through an unparalleled permanent collection of art.

**Metropolitan Opera Guild
Lincoln Center
New York, NY 10023
212-362-0068**

Mission: Secure the Metropolitan Opera's continued success as a premiere performing arts organization, both nationally and internationally. Enables the Metropolitan Opera to present more than 30 weeks of performances each year including premieres and new productions, classic repertory, and works that debut talented new artists. In addition, helps to fund Guild programs that bring Opera into public schools to nurture the next generation of opera lovers and programs that identify and develop the opera stars of tomorrow.

Michigan Opera Theaatre
1526 Broadway
Detroit, Michigan 48226
(313) 961-3500 – Fax (313) 237-3412

Michigan Opera Theatre presents opera, dance and educational activities to make a positive impact on the lives of people in metro-Detroit. Contributions ensure quality productions at affordable ticket prices and fund education programs that reach out to the community, keep the arts in our children's lives and help establish new audiences

Muscular Dystrophy Association — USA

National Headquarters

3300 E. Sunrise Drive

Tucson, AZ 85718

(800) 572-1717

MDA is the world's largest nongovernmental sponsor of research seeking the causes of, and effective treatments for, neuromuscular diseases. The Association sponsors some 300 research projects annually.

Muse Machine

126 N. Main St.

Suite 310

Dayton, Ohio 45402-1766

037-222-6873

Mission: A non-profit organization to enhance the lives of young people and their teachers by providing opportunities to experience and value the arts.

Oakwood Fraternal Order of Police Lodge 107

PO Box 107

Oakwood, OH 45419

298-8848

Mission: To provide the most complete professional service possible.

Oakwood High School

1200 Far Hills Avenue

Dayton, OH 45419

937-297-5326

Athletic Boosters

Oakwood United Methodist Church

206 East Hadley Avenue

Dayton, Ohio 45419

937.293.7279

oumc-assist@sbcglobal.net

The mission of the *United Methodist Church* is to make disciples of Jesus Christ.

OPERA America

**330 Seventh Avenue, New York, NY 10001
P 212-796-8620 • F 212-796-8631**

OPERA America, is a service organization in North America promoting the creation, presentation, and enjoyment of opera .

**Opera Guild of Dayton
P.O. Box 661
Dayton Ohio 45409-0661
937-640-0321**

Mission: The Opera Guild is a nonprofit organization. The mission of The Opera Guild of Dayton is to encourage and promote opera in the Greater Dayton area and to support Dayton Opera through fundraising, marketing and educational activities.

**Philmont Staff Association
Activity Centers Campaign
17 Deer Run Road
Cimarron, NM 87714**

Mission: PSA unites the Philmont Staff—past and present—for the purpose of serving the adventure, heritage and experience of Philmont Scout Ranch and the Boy Scouts of America.

**Salvation Army
138 S. Wilkinson Street
Dayton, OH 45402-1808**

**A non-profit organization dedicated to make life better for those in need by providing vital necessities such as food and help with utility payments, toys for disadvantaged children and sturdy clothes.
1/05/04 - # 263 -- \$100**

**Special Olympics Ohio
3303 Winchester Pike
Columbus, OH 43232**

Mission: To provide year-round sports training and athletic competition in a variety of Olympic-type sports for children and adults with mental retardation , giving them continuing opportunities to develop physical fitness, demonstrate courage, experience joy, and participate in a sharing of gifts, skills and friendship with their families and other Special Olympics athletes and the community.

**The Salvation Army
1000 North Keowee Street Dayton, OH 45404
(937) 528-5100**

The Salvation Army, an International movement, is an evangelical part of the universal Christian church. Its message is based on the Bible. Its ministry is motivated by the love of God. Its mission is to preach the gospel of Jesus Christ.

**Think TV Network Channel 14/16
Public Television station
110 South Jefferson Street**

**Dayton, Ohio 45402-2415
937-220-1600**

A nonprofit public television station.

**United Way of the Greater Dayton Area
(937) 225-3000
184 Salem Ave
Dayton, OH 45406**

Mission

To improve lives by mobilizing the caring power of communities

**University of Cincinnati Foundation
PO Box 19970
Cincinnati, OH 45219-0970
513-556-6781**

**Louis M. Laushey Design Center Project
Department of Civil and Environmental Engineering**

University of Texas Chart Center

To support the processes of keeping medical records.

University of Illinois Foundation

**The University of Illinois Foundation is a partner with university and campus staff to secure funding to maintain and improve the excellence of the University of Illinois
- Wheelchair Basketball Team**

**WDPR/WDPG Dayton Public Radio
126 North Main Street
Dayton, Ohio 45402
937-496-3850**

Mission: The mission of Dayton Public Radio is to provide classical and fine arts programming that is entertaining, educational, informative and emotionally stimulating.

**Widows Home Foundation
50 South Findlay Street
Dayton, Ohio 45403
937-252-1661**

This Foundation exists to serve the needs of residents of Marca Terrace on the Campus of the widows home, which continues to serve low-income senior population the medical, nutritional, social and emotional care.

Wright Dunbar Inc.

The catalyst and facilitator for our urban community and economic revitalization of the historic Wright-Dunbar Village. Vision is to create a vibrant, urban, walkable community of innovation and creativity.

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension-check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions BILL AND JACKIE LOCKWOOD FAMILY FOUNDATION	Employer identification number (EIN) or <input checked="" type="checkbox"/> 03-6100828
	Number, street, and room or suite no. If a P O box, see instructions 239 SPIREA DRIVE	Social security number (SSN) <input type="checkbox"/>
	City, town or post office, state, and ZIP code. For a foreign address, see instructions DAYTON OH 45419-3540	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

WILLIAM D. LOCKWOOD
239 SPIREA DRIVE

- The books are in the care of ► **DAYTON**

OH 45419-3540Telephone No ► **937-294-8318**

FAX No ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **08/15/12**, to file the exempt organization return for the organization named above. The extension is for the organization's return for
► ☒ calendar year **2011** or

► ☐ tax year beginning _____, and ending _____

- 2** If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$ 0
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$ 244
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$ 0

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions**For Privacy Act and Paperwork Reduction Act Notice, see Instructions.**

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions BILL AND JACKIE LOCKWOOD FAMILY FOUNDATION	Employer identification number (EIN) or <input checked="" type="checkbox"/> 03-6100828
	Number, street, and room or suite no. If a P.O. box, see instructions 239 SPIREA DRIVE	Social security number (SSN) <input type="checkbox"/>
	City, town or post office, state, and ZIP code. For a foreign address, see instructions DAYTON OH 45419-3540	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

WILLIAM D. LOCKWOOD
239 SPIREA DRIVE

- The books are in the care of **DAYTON**

OH 45419-3540

Telephone No **937-294-8318**

FAX No

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until **11/15/12**

5 For calendar year **2011**, or other tax year beginning , and ending

6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period

7 State in detail why you need the extension

ADDITIONAL TIME IS REQUESTED TO GATHER INFORMATION TO PREPARE A COMPLETE AND ACCURATE RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	0
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	244
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature

Title **CPA**

Date