

See a Social Security Number? Say Something! Report Privacy Problems to https://public.resource.org/privacy Or call the IRS Identity Theft Hotline at 1-800-908-4490



 ∞

LHA For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** Department of the Treasury Internal Revenue Service Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation
Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

For calendar year 2011 or tax year beginning		, and ending		
Name of foundation			A Employer identification	number
THE SWANTON FAMILY FOUNDAT			03-0471427	
Number and street (or P O box number if mail is not delivered to street	•	Room/suite	B Telephone number	
C/O N.SWANTON, 100 OCEANGE	ATE	950	(212)697-9	
City or town, state, and ZIP code LONG BEACH, CA 90802-4350)		C if exemption application is p	ending, check here
G Check all that apply: Initial return		ormer public charity	D 1. Foreign organizations	s, check here
Final return	Amended return	,		
Address change	Name change		Foreign organizations me 2. check here and attach co	eting the 85% test, mputation
H Check type of organization: X Section 501(c)(3) e	exempt private foundation	· · · ·	E If private foundation sta	tus was terminated
Section 4947(a)(1) nonexempt charitable trust	Other taxable private found	ation	under section 507(b)(1)	
	ting method: X Cash	Accrual	F If the foundation is in a	60-month termination
	Other (specify)		under section 507(b)(1)	
▶\$ 508,531. (Part I, col	umn (d) must be on cash	basis.)		
Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received	148,000.	4 - 1 4 18 - 2	を発すN/A	
2 Check I if the foundation is not required to attach Sch. 8	4.4. Y	11 11 11	44 F	10000000000000000000000000000000000000
3 Interest on savings and temporary cash investments	33.	33.		STATEMENT 1
4 Dividends and interest from securities				
5a Gross rents				
Net rental income or (loss)	建基板类	14.5		18 18 18 18 18 18 18 18 18 18 18 18 18 1
68 Net gain or (loss) from sale of assets not on line 10	<277,850.	> 数键 下	4 2 2 3	** **********************************
b Gross sales price for all 147,922.	14.7	Lile see	(A) (B)	* 17
b Gross sales price for all assets on line 6a 147,922. 7 Capital gain net income (from Part IV, line 2)	24 1 11	0.	4 51	3 3 3 -
8 Net short-term capital gain	3	经净担益		
9 Income modifications	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1			Ar.
Gross sales less returns and allowances	-14 T			《《神》
b Less Cost of goods sold	and the second s	用作工作		
c Gross profit or (loss)	<u> </u>	建建 定		i a grena a sa
11 Other income	100 045	33		The second se
12 Total. Add lines 1 through 11	<129,817.			
13 Compensation of officers, directors, trustees, etc.	(a) 0.	0.		0.
14 Other employee salaries and wages 15 Pension plans, employee benefits 2 18 Legal fees	/ <u>@</u> /			
15 Pension plans, employee benefits 2 2	10E/			
16a Legal fees Accounting fees	1			
b Accounting fees c Other professional fees				
		-		+
18 Taxes			 	
19 Depreciation and depletion	·			
E 20 Occupancy				The state of the s
17 Interest 18 Taxes 19 Depreciation and depletion 20 Occupancy 21 Travel, conferences, and meetings			 	
	-			
23 Other expenses STMT 2	14,030.	0.		0.
문 24 Total operating and administrative			 	
Printing and publications Other expenses STMT 2 Total operating and administrative expenses. Add lines 13 through 23	14,030.	0.		0.
25 Contributions, gifts, grants paid		11.7	7 - 12 - 1	79,700.
26 Total expenses and disbursements.	,			1
Add lines 24 and 25	93,730.	0.		79,700.
27 Subtract line 26 from line 12:	F 5.4	i b Mini R	RATE Y	AS A SEPTEMENT
Excess of revenue over expenses and disbursements	<223,547.	> 14	1 1	
b Net Investment income (if negative, enter -0-)		33.	报题 。	14 Jak 5
c Adjusted net income (if negative, enter -0-).	A I	1. 4 E()		L PERMIT
123501 12-02-11 LHA For Paperwork Reduction Act Notice, se	e instructions.			Form 990-PF (2011)

2

For	m 99	0-PF (2011) THE SWANTON FAMILY FOUN	DATION INC.	03-	0471427 Page 2
	art.	Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year		of year
		column should be for end-of-year amounts only	(a) Book Value	(b) Book Value	(c) Fair Market Value
1	1	Cash - non-interest-bearing	4014	400 004	400 004
		Savings and temporary cash investments	184,156.	138,381.	
	3	Accounts receivable	17739-1217	****	
		Less; allowance for doubtful accounts			
	4	Pledges receivable ►	<i>9</i> 6		
		Less: allowance for doubtful accounts			
	5	Grants receivable	<u> </u>		
	6	Receivables due from officers, directors, trustees, and other			
		disqualified persons			
	7	Other notes and loans receivable	\$ 10 a.	1 1 1 1 1 2	
		Less: allowance for doubtful accounts		· ··	
ş		Inventories for sale or use			
Assets		Prepaid expenses and deferred charges			
⋖		Investments - U.S. and state government obligations		202 125	0.00 4.50
		Investments - corporate stock STMT 3	576,967.	299,195.	270,150.
	C	Investments - corporate bonds			
	11	Investments - land, buildings, and equipment basis	71		
		Less accumulated depreciation	ļ		
	12	Investments - mortgage loans		100 000	100 000
	13	Investments - other STMT 4	0.	100,000.	
	14	Land, buildings, and equipment basis		4.16	ALCO INC.
		Less accumulated depreciation	-		
	15	Other assets (describe)			
	١	Mark to the second state of the self-discontinuous	761,123.	537,576.	508,531.
_	16	Total assets (to be completed by all filers)	/01,123.	337,370.	300,331.
	17	Accounts payable and accrued expenses	·		
	18	Grants payable			4.24 3.25
Liabilities	19	Deferred revenue			
Ē	20	Loans from officers, directors, trustees, and other disqualified persons			4 1
Ē	21	Mortgages and other notes payable Other liabilities (describe ▶)		! <u></u>	
	22	Other dabilities (describe			
	23	Total liabilities (add lines 17 through 22)	0.	٥.	14 14
_	23	Foundations that follow SFAS 117, check here		ļ	
	1	and complete lines 24 through 26 and lines 30 and 31.			
es	24	Unrestricted	761,123.	537,576.	
auc	25	Temporarily restricted	100,000		
Bali	26	Permanently restricted	- 		
ᅙ		Foundations that do not follow SFAS 117, check here			
Ē		and complete lines 27 through 31.			
Net Assets or Fund Balance	27	Capital stock, trust principal, or current funds			
sets	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
Ass	29	Retained earnings, accumulated income, endowment, or other funds	- "		
let	30	Total net assets or fund balances	761,123.	537,576.	
_					
	31	Total liabilities and net assets/fund balances	761,123.	537,576.	3 4
	8 e 240 e 2	Analysis of Changes in Net Assets or Fund I	Balances		
					
1		I net assets or fund balances at beginning of year - Part II, column (a), lin	e 30		
		st agree with end-of-year figure reported on prior year's return)	•	. 1	761,123.
2		er amount from Part I, line 27a		2	
3		er increases not included in line 2 (itemize)		3	
4		lines 1, 2, and 3	•	4	
5		reases not included in line 2 (itemize)			
6	Tota	al net assets or fund balances at end of year (line 4 minus line 5) - Part II,	column (b), line 30	6	-
					Form 990-PF (2011)

03-0471427 THE SWANTON FAMILY FOUNDATION INC. Form 990-PF (2011) Page 3 Capital Gains and Losses for Tax on Investment Income (b) How acquired P - Purchase D - Donation (a) List and describe the kind(s) of property sold (e.g., real estate. (c) Date acquired (d) Date sold (mo., day, yr.) (mo., day, yr.) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) 1a 50,000 SHS WARREN RESOURCES INC. D 12/31/07 12/12/11C d e (f) Depreciation allowed (h) Gain or (loss) (g) Cost or other basis (e) Gross sales price (or allowable) plus expense of sale (e) plus (f) minus (g) 147,922. 425,772 <277.850·> Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Gains (Col. (h) gain minus col. (k), but not less than -0-) or (j) Adjusted basis (k) Excess of col. (i) Losses (from col. (h)) (i) F.M.V. as of 12/31/69 as of 12/31/69 over col. (j), if any <277,850. b đ If gain, also enter in Part I, line 7 2 <277,850.> 2 Capital gain net income or (net capital loss) If (loss), enter -0- in Part I, line 7 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 N/A Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income (For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.) If section 4940(d)(2) applies, leave this part blank. Yes X No Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part. 1 Enter the appropriate amount in each column for each year, see instructions before making any entries. (d)
Distribution ratio
(col. (b) divided by col. (c)) (a) Base period years Calendar year (or tax year beginning in) (b) (c) Adjusted qualifying distributions Net value of noncharitable-use assets 391,058 426,146 .917662 2010 117,310. 524,312. .2237412009 69,350. 93,250. 1,308,584. 1,263,158. .052996 2008 .073823 2007 178,717 45,578. 3.921124 2006 5.189346 2 Total of line 1, column (d) 2 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years 1.037869 the foundation has been in existence if less than 5 years 3 4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5 394,862. 409,815. 5 5 Multiply line 4 by line 3 6 Enter 1% of net investment income (1% of Part I, line 27b) 0. 6 409,815. 7 7 Add lines 5 and 6

79,700.

See the Part VI instructions.

8 Enter qualifying distributions from Part XII, line 4

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.

Exempt Operating Disurdations described in section 4494(0); check here — and enter 11% or on the 1.		990-PF (2011) THE SWANTON FAMILY FOUNDATION INC.	047		Page 4	
Date of unling or determination latter: (attach capy of latter if necessary-sea is interctions) b Domestic foundations that meet the section 4940(e) requirements in Part V, check here bid and enter 1% of Part I, line 27D 8 All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 17c, cot. (b). 2 All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 17c, cot. (b). 3 And lines 1 and 2 3 Lines (accomplish that (accomplish th	******		948	- see	Instruction)ns)
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here	1a	1,1,1		ĺέι	- 6	
of Part I, line 27b. et All other domestic foundations enter 2% of line 27b, Exempt foreign organizations enter 4% of Part I, line 12, col. (b). 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 3 Add lines 1 and 2 5 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 5 Tax based on investment income. Subtract line 4 from line 3. It zero or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3. It zero or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3. It zero or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3. It zero or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3. It zero or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3. It zero or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3. It zero or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3. It zero or less, enter -0- 6 Buttant line 7 line 7 line 1 from line 3. It zero or less, enter -0- 6 Buttant line 7 line 7 line 7 line 1 from line 3. It zero or less, enter -0- 6 Buttant line 7 line 7 line 4 from line 3. It zero or less, enter -0- 8 Buttant line 7 line 7 line 4 from line 3. It zero or less, enter -0- 8 Buttant line 7 line 7 line 4 from line 1 line 7 line 1 from or 220 s attached 8 Enter any penalty for underpayment of estimated tax. Provided to 2012 estimated 1 line 7 line 1 from ore 1 line 7 line 1 from ore 1 line 7 line 1 from 1 line 7 line 1				4.4	<u> </u>	
A li other domestic foundations enter 2% of fine 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 3 Add lines 1 and 2 4 Subtlet A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 5 Tax based on investment incomes. Subtract line 4 from line 3. It zero or less, enter -0- 5 Creditis/Payments: 2 2011 estimated tax payments and 2010 overpayment credited to 2011 8 a 1, 618. 8 b 1, 618. 8 b 2 1, 618. 8 b 6 c 6 c 7 Exp and with application for extension of time to file (Form 8868) 8 c 6 c 7 Exp and with application for extension of time to file (Form 8868) 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount ower paid 1 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount ower paid 1 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount ower paid 1 Tax tax ment of line 10 to be, Credited to 2012 estimated tax ▶ 1, 617. Refunded ▶ 10 1 Tax tax terments Regarding Activities 1 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any policial campaign? If the answer as "Yes" to 1 ₂₀ or 1 ₁₀ , attach a detailed description of the activities and copies of any materials published or distribution of the foundation have unrelated business gross income of \$1,000 or more during the year? If Yes, a fatch the statement required by General Instruction 7 in the activities of the lines of the condition managers. ▶ \$ 0. 2 Has the foundation have unrelated business gross income of \$1,000 or more during the year? If Yes, a fatch the statement required by General Instruction 7. A The transperments of section 5606 (relating to section 4941 through 4945) salistiled either: 8 by language in the governing instrument, or \$ 0. (2) On boundation managers. ▶ \$ 0. 2 Yes the foundation have unrelated	þ	Domestic foundations that meet the section 4940(e) requirements in Part V, check here and enter 1%	1			<u>l.</u>
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 3 ded lines 1 and 2 4 Substite A (income) bix (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- 6 Credits/Payments: a 2011 estimated tax payments and 201 to overpayment credited to 2011 8		of Part I, line 27b	£.,		114. 1	
3 Add lines 1 and 2 Subtite A (income) tax (domestic section 4947(p)(1) trusts and taxable foundations only. Others enter-0-) Tax based on investment incomes. Subtract line 4 from line 3. If zero or less, enter-0- Tax based on investment incomes. Subtract line 4 from line 3. If zero or less, enter-0- Tax based on investment incomes. Subtract line 4 from line 3. If zero or less, enter-0- Tax based on investment incomes. Subtract line 4 from line 3. If zero or less, enter-0- Tax based on investment incomes. Subtract line 4 from line 3. If zero or less, enter-0- Tax based on investment incomes. Subtract line 4 from line 3. If zero or less, enter-0- Tax based on investment incomes. Subtract line 4 from line 5. Tax based on line 5. Tax based on line 5. Tax based by a based on line 5. Tax based on lin	C	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		11		124
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 5 Tax based on investment income. Subtract line 4 from line 3. It zero or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3. It zero or less, enter -0- 5 Tax paid with application for extension of time to file (Form 8868) 6 Exempt foreign organizations - tax withheld at source 6 Tax paid with application for extension of time to file (Form 8868) 6 Exempt foreign organizations - tax withheld at source 7 Tax paid with application for extension of time to file (Form 8868) 6 Enter any pensity for underpayment of estimated tax. Check here ☐ if Form 2220 is attached 7 Total credits and payments. Add lines 6 a through 8d 8 Enter any pensity for underpayment of estimated tax. Check here ☐ if Form 2220 is attached 8 Tax due, if the total of lines 5 and 8, enter the amount owed 9 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount owerpaid 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 Center the amount of line 10 to be Credited to 2012 estimated tax. 1 Form 1220 is attached or credited to 2012 estimated tax. 1 Form 1220 is a tax between the sum of the activities and copies of any materials published or distributed by the foundation in connection with the activities. 1 During the tax year, did the foundation in connection with the activities. 2 Did the foundation line form 1120-90 for this year? 1 The answer is "Yes" to place 1 p	2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2			<u> </u>
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- 6 Credist/Payments: 2 2011 estimated tax payments and 2010 overpayment credited to 2011 5 Exempt foreign organizations - tax withheld at source 6 Carp and with application for extension of time to file (Form 8888) 6 Backup withholding erroneously withheld 7 Total credits and payments. Add lines 6a through 6d 8 Enter any senalty for underpayment of estimated tax. Check here If Form 2220 is attached 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 Enter the amount of line 10 to be: Credited to 2012 estimated tax. Park 11 to 0. 7 Tark 11 Enter the amount of line 10 to be: Credited to 2012 estimated tax. Park 11 to 0. 7 Tark 11 Enter the amount of line 10 to be: Credited to 2012 estimated tax. Park 11 to 0. 7 Tark 11 Enter the amount of line 10 to be: Credited to 2012 estimated tax. Park 11 to 0. 7 Tark 11 Enter the amount of line 10 to be: Credited to 2012 estimated tax. Park 11 to 0. 8 Tark 11 Enter the amount of line 10 to be: Credited to 2012 estimated tax. Park 11 to 0. 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any policial campaign? 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any policial campaign? 1b Did it speed more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? 1b Life answer is "Yes" to 1g or 1b, statch a detailed description of the activities and copies of any materials published or distribution of the foundation file form 1120-POI, for this year? 1c Enter the rembursement (if arry) year by the foundation during the year for political expenditure tax imposed on foundation managers. She she foundation flue of the	3	Add lines 1 and 2	3			1.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0. Credits/Psyments: 2 2011 estimated tax payments and 2010 overpayment credited to 2011 Exempt foreign organizations - tax withheld at source Tax paid with application for extension of time to file (Form 8968) Beakup withholding erroneously withhold Total credits and payments. Add lines 6a through 6d Beakup withholding erroneously withhold Total credits and payments. Add lines 6a through 6d Total credits and payments. Add lines 6a through 6d Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owned Total credits and payments. Add lines 5 and 8 is more than line 7, enter amount owned Total credits and payments. Add lines 5 and 8 is more than line 7, enter amount owned Total credits and payments. Add lines 5 and 8 is more than line 7, enter amount owned Total credits and payments. Add lines 5 and 8 is more than line 7, enter amount owned Total credits and payments. Add lines 5 and 8 is more than line 7, enter amount owned Total credits and payments. Add lines 5 and 8 is more than line 7, enter amount owned Total credits and payments. Add lines 5 and 8 is more than line 10 to be Credited to 2012 estimated tax. Check here if 10 covers and 10 covers	4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4			0.
8 Credits/Payments: a 2011 estimated tax payments and 2010 overpsyment credited to 2011 b Exempt foreign organizations - tax withheld at source c Tax pad with application for extension of time to file (Form 8868) d Backup withholding erroneously withheld 7 Total credits and payments. Add lines 6a through 6d 8 Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached 8 Tax dise. If the total of lines 5 and 6 is more than line 7, enter amount owed 10 Overpayment. Il line? Is more than the total of lines 5 and 8, enter the amount overpaid 10 Overpayment. Il line? Is more than the total of lines 5 and 8, enter the amount overpaid 11 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did if participate or intervene in any political campaigin? 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did if participate or intervene in any political campaigin? 1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? 1th ensures in "Yes" to 7 ator 1p. attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. 1 Did it spend more than \$100 during the year? 4 Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year? 4 Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year? 5 Lines the foundation he Form 112-POLI to this year? 6 Enter the remulsement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0. 1a Enter the remulsement of the science of the science of the changes 4 Did the foundation engaged in any activities that have not previously been reported to the IRS? 1 "Yes," attach a detailed description of the activities. 1 By state legislation t	5		5			1.
b Exempt foreign organizations - tax withheld at source c Tax paid with application for extension of time to file (Form 8868) d Backup withholding erroneously withheld 7 Total credits and payments. Add lines 6a through 66 8 Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached 8 Tax dae. If the total of lines 5 and 8 is more than line 7, enter amount over 10 Verpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 10 Verpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 10 Verpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 Enter the amount of line 5 to the 5 is and 8, enter the amount overpaid 12 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 12 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 13 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 14 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 14 Enter the amount of line 7 in 12 Pol. for this year? 15 Did the goundation. 16 Did the goundation in 120 Pol. for this year? 16 Enter the amount of line 7 in 120 Pol. for this year? 17 Enter the amount of line 7 in 120 Pol. for this year? 18 Did the foundation in 120 Pol. for this year? 19 Enter the rembursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. So Soundation makes are foundation for the activities. 19 If Yes, * attach a detailed description of the activities. 10 If Yes, * attach a detailed description of the activities.	6		ł.	167	* \$ ##	1944
b Exempt foreign organizations - tax withheld at source c Tax pad with application for extension of time to file (Form 8868) d Backup withholding erroneously withheld 7 Total credits and payments. Add lines & through 64 8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached 8 Tax due. If the total of lines 5 and 6 is more than line 7, enter amount owed 9 Tax due. If the total of lines 5 and 6 is more than line 17, enter amount owed 10 Overpayment. If line 7 is more than the total of lines 5 and 6, enter the amount overpaid 10 Overpayment. If line 7 is more than the total of lines 5 and 6, enter the amount overpaid 10 Overpayment. If line 7 is more than the total of lines 5 and 6, enter the amount overpaid 10 Overpayment. If line 7 is more than the total of lines 5 and 6, enter the amount overpaid 10 Overpayment. If line 7 is more than the total of lines 5 and 6, enter the amount overpaid 11 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political expanging? 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political expanging? 1a During the tax year, did the foundation in connection with the activities. 1b Did the foundation in the state and effect of a detailed description of the activities. 1b Did the foundation in the state and a detailed description of the activities. 1b Did the foundation in the state of the stat	a			125		
Tax paid with application for extension of time to file (Form 8868) d Backup withholding erroneously withheld 7 Total credits and payments. Add lines 6 at through 6d 8 Enter any penalty for underpayment of estimated tax. Check here ☐ If Form 2220 is attached 8 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 9 Towns and 10 Overpayment. If line 7 is more than line 7, enter amount owed 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 Enter the amount of line 10 to be: Credited to 2012 estimated tax ▶ 1, 617 . Refunded ▶ 10 12 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 12 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 12 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 12 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 13 During the tax year, did the foundation in connection with the activities. 14 Did the foundation file Form 1120-POL for this year? 15 Did the goundation and any political expenditures (section 4955) imposed during the year. 16 Line the rembursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0 - (2) On foundation managers. ▶ \$ 0 - (3) On foundation managers. ▶ \$ 0 - (4) On foundation				1		
d Backup withholding erroneously withhold 7 Total credits and payments. Add lines 6a through 6d 8 Enter any penalty for underpayment of estimated tax. Check here		Z. Compression of the compressio		 **		
7 Total credits and payments. Add lines 6a through 6d 8 Enter any penalty for underpayment of estimated tax. Check here If Form 2220 is attached 8 Enter any penalty for underpayment of estimated tax. Check here If Form 2220 is attached 9 Tax due. If the total of lines 5 and 8 is more than file 7, enter amount owed 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount owed 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 Lenter the amount of line 10 to be: Credited to 2012 estimated tax 1,617 Refunded 11 0. Park VIFA Statements Regarding Activities 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? 1f the answer is "Yes" to 1 ₁₀ or 1 ₁₀ , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. 2 Did the foundation file Form 1120-POL for this year? 3 Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: 3 If "Yes," attach a detailed description of the activities. 3 Has the foundation made any changes, not previously been reported to the IRS? 4 Did the foundation made any changes, not previously been reported to the IRS? 5 If "Yes," tast the a tax return on Form 990-T for this year? 6 Was there a liquidation, termination, dissolution, or substantial contraction during the year? 7 If "Yes," tatch the statement required by General Instruction 7. 8 Ps hanguage in the governing instrument, or 8 Ps hanguage in the governing instrument, or 9 Ps tate legislation that effectiv				17, 3	7 6 4	R (1)
8 Enter any pensity for underpayment of estimated tax. Check here 1 Tax due. If the total of lines 5 and 8 is more than fine 7, enter amount owed 1 Verpayment If line 7 is more than the total of lines 5 and 8, enter the amount owed 1 Tax due. If the total of lines 5 and 8 is more than fine 7, enter amount owed 1 Verpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 1 Enter the amount of line 10 to be; Credited to 2012 estimated tax 1			7		1.	518
9 Tax due. If the total of lines 5 and 8 is more than the total of lines 5 and 8, enter the amount overpaid 1 Center the amount of line 10 to be: Credited to 2012 estimated tax ▶ 1, 617. Refunded ▶ 1 1 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1 Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? 1 If the answer is "Yes" to 1 a or 1 1 to	_	and the contract of the contra		╁		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 Enter the amount of line 10 to be: Credited to 2012 estimated tax ▶ 1, 617. Refunded ▶ 11 12 Enter the amount of line 10 to be: Credited to 2012 estimated tax ▶ 1, 617. Refunded ▶ 11 13 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 15 Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? 15 If the answer is "Yes" to 18 or	_			 		
11 Enter the amount of line 10 to be: Credited to 2012 estimated tax ▶ 1, 61.7 - Refunded ▶ 11 PartVIFA Statements Regarding Activities 1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? 1 km answer is "Yes" to 1a or 1b, statisch a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? 1 km x x x x x x x x x x x x x x x x x x			-	 		<u> </u>
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? b Did it spend more than \$100 during the year (either directly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1 to 1 to 1,0 attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. c Did the foundation file Form 1120-POL for this year? d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ 0 . (2) On foundation managers. ▶ \$ 0 . e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0 . 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. 3 Has the foundation made any changes, not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. 4 Did the foundation have unrelated business gross income of \$1,000 or more during the year? b If Yes, has it filed a tax return on Form 990-T for this year? 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: 9 by language in the governing instrument, or 9 by state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction GF II" No," attach explanation b If the answer is "Yes" to line 7,		4 64 5 1		+		
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaigin? b Did it spend more than \$100 during the year (either directly) or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1₀ or 1♭, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. c Did the foundation file Form 1120-PDL for this year? d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ 0 . (2) On foundation managers. ► \$ 0. e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ 0. 1 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. 1 Has the foundation made any changes, not previously reported to the IRS? If "Yes," attach a detailed description of the activities. 2 X If "Yes," attach a detailed description of the activities. 2 X If "Yes," attach a detailed description of the activities. 3 X 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? If "Yes," attach a detailed business gross income of \$1,000 or more during the year? If "Yes," attach a detailed attax return on Form 990-Tor this year? By language in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument, or By state legislation that effectively amends the governing instrument on the tax text is a state to whi			111			- 0 ·
any political campaign? b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to T _a or T _b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. c Did the foundation file Form 1120-P0I. for this year? d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ 0 . (2) On foundation managers. ▶ \$ 0 . e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0 . e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0 . e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0 . e Enter the reimbursement (if any) paid by the foundation during the year? If "Yes, attach a detailed description of the activities. 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? If "Yes, attach the statement required by General instruction T. 8 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? If "Yes," complete Part XV. 8a Enter the states to which						TN-
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 16 or 16, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. c Did the foundation file Form 1120-POL for this year? d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation. s	1 a		; in		210,000,00	
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. c Did the foundation file Form 1120-POL for this year? (1) On the foundation. ▶ \$ 0. (2) On foundation managers. ▶ \$ 0. e Enter the rembursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0. 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? b If "Yes," has it filed a tax return on Form 990-T for this year? If "Yes," attach the statement required by General Instruction T. A rete the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: By language in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? The fundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. Ba Enter the states to which the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation SEE STATEMENT 5 By Is the foundation clamming status as a private operating foundation within the meaning of section 4942(i)(3) or 4942(i)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV By It is the foundation that the contributors during the tax year? I			•	-		
distributed by the foundation in connection with the activities. c Did the foundation file Form 1120-PQL for this year? d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation. © Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. © Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. © 2	b				1D	X
c Did the foundation file Form 1120-POL for this year? d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ O. (2) On foundation managers. ▶ \$ O. e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ O. e Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. 3 Has the foundation made any changes, not previously reported to the IRS? If "Yes," attach a detailed description of the activities. 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? If "Yes," has it filed a tax return on Form 990-T for this year? If "Yes," attach the statement required by General Instruction T. Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: By language in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. Ba Enter the states to which the foundation treports or with which it is registered (see instructions) ▶ NONE If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation SEE STATEMENT 5 If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) or each state as required by G			ed or		3	
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. © (2) On foundation managers. © (3) On foundation managers. © (4) On foundation managers. © (5) On foundation managers. © (6) On foundation managers. © (7) On the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? If "Yes," has it filled a tax return on Form 990-T for this year? N/A Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. 8 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: By language in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? If "Yes," complete Part II, col. (c), and Part XV. Ba Enter the states to which the foundation reports or with which it is registered (see instructions) Did any persons become substantial contributors during the tax year? If "Yes," complete Part XV. Did any persons become substantial contributors during the tax year? If "Yes," complete Part XV. 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X		distributed by the foundation in connection with the activities.				
(1) On the foundation. ▶ \$ 0 . (2) On foundation managers. ▶ \$ 0 . e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0 . e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0 . e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0 . e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0 . e Enter the reimbursement (if any) paid by the foundation of the activities. 3 Has the foundation made any changes, not previously been reported to the IRS? 1 If "Yes," attach a detailed description of the activities. 3 Has the foundation have unrelated business gross income of \$1,000 or more during the year? 1 If "Yes," attach the statement required by General Instruction T. 8 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. 8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NONE b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation SEE STATEMENT 5 8b X 10 Did any persons become substantial contributors during the tax year? If "Yes," complete Part XIV 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their nam		· · · · · · · · · · · · · · · · · · ·			1c	X
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? b If "Yes," has it filed a tax return on Form 990-T for this year? If "Yes," attach the statement required by General Instruction T. 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either. • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. 8a Enter the states to which the foundation reports or with which it is registered (see instructions) NONE b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation SEE STATEMENT 5 Bb X 10 Did any persons become substantial contributors during the tax year? If "Yes," complete Part XIV 9 X 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X	d					223
Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes A Did the foundation have unrelated business gross income of \$1,000 or more during the year? If "Yes," has it filed a tax return on Form 990-T for this year? If "Yes," attach the statement required by General Instruction T. A rether equirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: By language in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? If "Yes," complete Part II, col. (c), and Part XV. Ba Enter the states to which the foundation reports or with which it is registered (see instructions) ► NONE If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? if "No," attach explanation SEE STATEMENT 5 By Is the foundation claiming status as a private operating foundation within the meaning of section 4942(i)(3) or 4942(i)(3) or 4942(i)(3) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV Did any persons become substantial contributors during the xear? If "Yes," attach a schedule listing their names and addresses 2		(1) On the reduction P • • • • • • • • • • • • • • • • • •	_			1 - 4
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? b If "Yes," has it filed a tax return on Form 990-T for this year? b Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: By language in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? Tid the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. Ba Enter the state so which the foundation reports or with which it is registered (see instructions) If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation see STATEMENT b If the answer is "Yes" to line 7, has the foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV D Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 2 X X X X X X X A A A A A A A	е	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation				
If "Yes," attach a detailed description of the activities. 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? b If "Yes," has it filed a tax return on Form 990-T for this year? N/A 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. 8a Enter the states to which the foundation reports or with which it is registered (see instructions) NONE b If the answer is "Yes* to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation SEE STATEMENT 5 8b X 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV 10 Did any persons become substantial contributors during the tax year? if "Yes," attach a schedule listing their names and addresses					1. 1.	
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? b If "Yes," has it filed a tax return on Form 990-T for this year? Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: By language in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. Ba Enter the states to which the foundation reports or with which it is registered (see instructions) NONE b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation SEE STATEMENT 5 By It the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X	2	Has the foundation engaged in any activities that have not previously been reported to the IRS?			2	X
bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? b If "Yes," has it filed a tax return on Form 990-T for this year? 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. 8a Enter the states to which the foundation reports or with which it is registered (see instructions) b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation SEE STATEMENT 5 8b X 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV 9 X 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses						1234
4a	3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation,	or			7.7
b If "Yes," has it filed a tax return on Form 990-T for this year? 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: 8 By language in the governing instrument, or 8 By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? 7 Did the foundation have at least \$5,000 in assets at any time during the year? 8 If "Yes," complete Part II, col. (c), and Part XV. 8 Enter the states to which the foundation reports or with which it is registered (see instructions) 9 If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 If the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV 9 X 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X		bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes			3	
Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: By language in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. Ba Enter the states to which the foundation reports or with which it is registered (see instructions) ► NONE Diff the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation SEE STATEMENT 5 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses SE STATEMENT 5 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X	48	Did the foundation have unrelated business gross income of \$1,000 or more during the year?			4a	<u> </u>
If "Yes," attach the statement required by General Instruction T. Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: By language in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. 8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► NONE b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation SEE STATEMENT 5 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV 9 X 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X	b	If "Yes," has it filed a tax return on Form 990-T for this year?	N	1/A	4b	
Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: By language in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. Ba Enter the states to which the foundation reports or with which it is registered (see instructions) ► NONE If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation SEE STATEMENT 5 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses Available Ava	5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?			5	X
 By language in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. Enter the states to which the foundation reports or with which it is registered (see instructions) ► NONE If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation SEE STATEMENT 5 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses X 		If "Yes," attach the statement required by General Instruction T.				
 By language in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. Enter the states to which the foundation reports or with which it is registered (see instructions) ► NONE If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation SEE STATEMENT 5 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses X 	6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:			1.	3
remain in the governing instrument? 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. 8a Enter the states to which the foundation reports or with which it is registered (see instructions) NONE b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation SEE STATEMENT 5 8b X 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X		By language in the governing instrument, or			1.44.4	
remain in the governing instrument? 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. 8a Enter the states to which the foundation reports or with which it is registered (see instructions) NONE b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation SEE STATEMENT 5 8b X 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X		By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state.	e law		1 7 7	
7 X If "Yes," complete Part II, col. (c), and Part XV. 8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NONE b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation SEE STATEMENT 5 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X					6 X	
If "Yes," complete Part II, col. (c), and Part XV. 8a Enter the states to which the foundation reports or with which it is registered (see instructions) NONE b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation SEE STATEMENT 5 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X	7				7 X	\top
Ba Enter the states to which the foundation reports or with which it is registered (see instructions) ► NONE b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation SEE STATEMENT 5 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X						
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation SEE STATEMENT 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X	88				3	
of each state as required by General Instruction G? If "No," attach explanation SEE STATEMENT 5 8b X 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X						
of each state as required by General Instruction G? If "No," attach explanation SEE STATEMENT 5 8b X 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X	ŀ	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)	-			
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X	•		1EN7	r 5	8b	X
year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X	Ω	or outside to require to your mental and the respective to the res		_		
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X	•					
	10				———	_
	10	one any persons become substantial contributors during the tax year. If ites, attach a schedule listing their names and addresses		Fr		

		47142	7 Page	e 5
- ii - i	Statements Regarding Activities (continued)			_
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of	1		
	section 512(b)(13)? If "Yes," attach schedule (see instructions)	_ 11	X	<u>-</u>
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges?		1	
	If "Yes," attach statement (see instructions)	. 12	X	_
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address NONE		4400	
14	The books are in care of ► NORMAN SWANTON Telephone no. ► (21)			
		<u>>9080</u> 2	2	—
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here	٠.	▶∟_	_
	and enter the amount of tax-exempt interest received or accrued during the year		N/A	_
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank,		Yes N	
	securities, or other financial account in a foreign country?	. 16	<u> </u>) (27.24
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign	4		
· 10000	country	14.3	1	
R	art VII-B Statements Regarding Activities for Which Form 4720 May Be Required	D-94672	281 a a a a	
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.	715	Yes N	0
18	a During the year did the foundation (either directly or indirectly):		1	
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)			
	a disqualified person?	1000mg Ave		
	(4) / 5	MSS: 54'+47		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	NO	并分接	
	(5) Transfer any income or assets to a disqualified person (or make any of either available			
	for the benefit or use of a disqualified person)?	NO .		
	(6) Agree to pay money or property to a government official? (Exception. Check "No"			
	if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	No.		
	• , , , , , , , , , , , , , , , , , , ,	NO		
	b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations	/ 7		
	section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	'A 1b	. 19	7
	Organizations relying on a current notice regarding disaster assistance check here			
(c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected	10	X	
	before the first day of the tax year beginning in 2011?	. <u>1c</u>		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation		line d	1
	defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning		- A de la	
,	before 2011?	No 🏻		
	If "Yes," list the years ▶ , , ,			
	b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect			
	valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach		d Maria	
	otatament - see instructions)	/A 2b		750
	c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		24.11	3
3	a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time			
·	during the year?	No -		
	b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after		1	Ŷ
	May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose			
	of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C,			
	Form 4720, to determine if the foundation had excess business holdings in 2011.)	/A 3b		
4	a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	Х	<u> </u>
	b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that		1 5	
	had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		

4b X Form **990-PF** (2011)

ParkWIES Statements Regarding Activities for Which Form 4720 May Be Required (continued) 5 During the year did the foundation pay inclusing any amount to: (2) Influence the outcome of any sporting units electric (see section 4945(c))? (2) Influence the outcome of any sporting units electric (see section 4955); or to carry on, directly, any voter registration drie? (3) Provide a grant to an individual for travel, study, or other similar purposes? (4) Provide a grant to an individual for travel, study, or other similar purposes? (5) Provide for any purpose other than religious, fourtable, etc., organization described in Section 595(4)(1), (2), or (3), or section 4946(6)(2)? (6) Provide a grant to an individual for travel, study, and the prevention of crubity to children or animals? b I day answers of vice 15 (4)(5) did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notic regarding disaster assistance (see instructions?) Organizations religion an current notic regarding disaster assistance (see instructions?) Organizations religion an current notic regarding disaster assistance (see instructions?) If vice, a state the statement required by Regulations section 53.4945-5(6). B Old the foundation, during the year, pay premiums on a personal benefit contract? If vice 1 to 65, the Form 8870. 7. At any time during the bx year, receive any funds, directly or indirectly, on a personal benefit contract? If vice 1 to 65, the Form 8870. 7. At any time during the bx year, receive any funds, directly or indirectly, on a personal benefit contract? If vice 1 to 65, the Form 8870. 7. At any time during the bx year, receive any funds, directly or indirectly, on a personal benefit contract? If vice 1 to 65, the Form 8870. 7. At any time during the bx year, receive any funds, directly or indirectly, on a personal benefit contract? If year 1 to 65, the Form 8870. NORMAN F . SWANTON PRESIDENT 2. Compensation of five highest-paid employees (o	Form 990-PF (2011) THE SWANTON FAMILY FOUND			03-04714	27 Page 6
(1) Carry on propagancia, or otherwise attempt to influence legislation (section 4945(s)?? (2) Influence the outcome of any specific public election (see section 4945(s)?? (3) Provide a grant to an individual for travel, study, or other similar purposes? (4) Provide a grant to an individual for travel, study, or other similar purposes? (5) Provide to any purpose other than a charlable, etc., organization described in section \$50(4)(1), (2), or (3), or section 4940(d)(2)? (5) Provide to any purpose other than religious, charlable, etc., organization described in Regulations \$10(4), (2), or (3), or section 4940(d)(2)? (6) Provide a grant to an organization other than a charlable, etc., organization described in Regulations \$10(4), (2), or (3), or section 4940(d)(2)? (7) Provide a grant to an individual for travel, study, or other similar purposes, or for the prevention of crucity to children or animals? In it may a series of the provided	Part VII-B Statements Regarding Activities for Which F	orm 4720 May Be F	Required (contin	ued)	
b If Yes,* did the foundation receive any proceeds or have any net income attributable to the transaction? Part VIII	 (1) Carry on propaganda, or otherwise attempt to influence legislation (section (2) Influence the outcome of any specific public election (see section 4955); or any voter registration drive? (3) Provide a grant to an individual for travel, study, or other similar purposes? (4) Provide a grant to an organization other than a charitable, etc., organization 509(a)(1), (2), or (3), or section 4940(d)(2)? (5) Provide for any purpose other than religious, charitable, scientific, literary, the prevention of cruelty to children or animals? b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify und section 53.4945 or in a current notice regarding disaster assistance (see instruction organizations relying on a current notice regarding disaster assistance check here. c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945. 6a Did the foundation, during the year, receive any funds, directly or indirectly, to provide a personal benefit contract? b Did the foundation, during the year, pay premiums, directly or indirectly, on a purif "Yes" to 6b, file Form 8870. 	to carry on, directly or indirectly or indirectly or indirectly or indirectly or indirectly or indirectly or described in section. The entry exceptions described in sections. The entry exception is a section of the entry exception in sections. The entry exception is a section of the entry exception in sections. The entry exception is a section of the entry exception in section in section in sections.	ectly, Ye Ye or Regulations I/A Ye	X No	
Part Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors List all officers, directors, trustees, foundation managers and their compensation. (a) Name and address (b) Title, and average hours per week devoted to position (d) Compensation (if not paid, enter -0-) (e) Expense account, other compensation of the highest-paid employees (other than those included on line 1). If none, enter "NONE." (e) Expense account, other compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE." (e) Expense account, other compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE." (e) Expense account, other compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE." (e) Expense account, other compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE." (e) Expense account, other compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE." (e) Expense account, other compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE." (e) Expense account, other compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE." (e) Expense account, other compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE." (e) Expense account, other compensation of five highest paid employees (other than those included on line 1). If none, enter "NONE." (e) Expense account, other compensation of five highest paid employees (other than those included on line 1). If none, enter "NONE." (e) Expense account, other compensation of five highest paid employees (other than those included on line 1). If none, enter "NONE." (e) Expense account, other compensation of five highest paid employees (other than those inclu	7a At any time during the tax year, was the foundation a party to a prohibited tax sl	nelter transaction?	. 🗀 Ye	s 🗶 No 📳	
Park Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors 1 List all officers, directors, trustees, foundation managers and their compensation. (a) Name and address (b) Title, and average hours per week devoted to position (d) Compensation (if not paid, enter -0-) (d) Compensation (d) Compensation (if not paid, enter -0-) (d) Compensation (d) Compensati				N/A	7b
(a) Name and address (a) Name and address (b) Title, and average hours per week devoted to position (f) to rapid, enter -0-) (e) Expense account, other allowances (e) Expense account (e) Expense a	Part VIII Information About Officers, Directors, Trusto Paid Employees, and Contractors	ees, Foundation Ma	inagers, Highly	y	
(a) Name and address by the position of five highest-pald employees (other than those included on line 1). If none, enter "NONE." (a) Name and address of each employee paid more than \$50,000 by Tile, and average hours per week devoted to position by Tile, and the Tile, and Tile,	1 List all officers, directors, trustees, foundation managers and their		L(c) Compensation	(d) Contributions to	(a) Eynense
C/O WARREN RESOURCES, INC, 1114 AVE O NEW YORK, NY 10036 JOHN F. INGALLS C/O WARREN RESOURCES, INC, 1114 AVE O NEW YORK, NY 10036 20.00 0. 0. 0. 0. 0. 0. 0. 0. 0. 0. 0. 0.		to position	(If not paid,	and deterred	account, other
NEW YORK, NY 10036 JOHN F. INGALLS C/O WARREN RESOURCES, INC, 1114 AVE O NEW YORK, NY 10036 20.00 0. 0. 0. 0. 0. O. O. O. NEW YORK, NY 10036 20.00 O. O. O. O.		PRESIDENT			
JOHN F. INGALLS C/O WARREN RESOURCES, INC, 1114 AVE O NEW YORK, NY 10036 2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE." (a) Name and address of each employee paid more than \$50,000 (b) Title, and earning the highest and deterring devoted to position (c) Compensation (d) Contributions to employee benefit plans and deterring compensation of the property of the pro	C/O WARREN RESOURCES, INC, 1114 AVE O				
2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE." (a) Name and address of each employee paid more than \$50,000 NONE (b) Title, and average hours per week devoted to position (c) Compensation (d) Contributions to employee benefit pains and deferrant and defendences. (e) Expense account, other allowances.			0.	0.	0.
2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE." (a) Name and address of each employee paid more than \$50,000 NONE (b) Title, and average hours per week devoted to position (c) Compensation (d) Combutants to enter paid efferted compensation (e) Expense account, other allowances		DIRECTOR			
2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE." (a) Name and address of each employee paid more than \$50,000 (b) Title, and average hours per week devoted to position NONE (c) Compensation (c) Compensation of five highest-paid employees point plans account, other allowances					
(a) Name and address of each employee paid more than \$50,000 NONE (b) Title, and average hours per week devoted to position (c) Compensation (d) Communication employee benefit plans and deferred compensation (e) Expense account, other allowances	NEW YORK, NY 10036	20.00	0.	0.	0.
(a) Name and address of each employee paid more than \$50,000 NONE (b) Title, and average hours per week devoted to position (c) Compensation (d) Communication employee benefit plans and deferred compensation (e) Expense account, other allowances					
(a) Name and address of each employee paid more than \$50,000 NONE (b) Title, and average hours per week devoted to position (c) Compensation (d) Communication employee benefit plans and deferred compensation (e) Expense account, other allowances					
(a) Name and address of each employee paid more than \$50,000 NONE (b) Title, and average hours per week devoted to position (c) Compensation (d) Commbutons to employee benefit plans address addr					
(a) Name and address of each employee paid more than \$50,000 NONE (b) Title, and average hours per week devoted to position (c) Compensation (d) Communication employee benefit plans and deferred compensation (e) Expense account, other allowances					
(a) Name and address of each employee paid more than \$50,000 NONE (b) Title, and average hours per week devoted to position (c) Compensation (d) Communication employee benefit plans and deferred compensation (e) Expense account, other allowances					
(a) Name and address of each employee paid more than \$50,000 NONE (b) Title, and average hours per week devoted to position (c) Compensation (d) Communication employee benefit plans and deferred compensation (e) Expense account, other allowances					
NONE devoted to position and deserted compensation allowances	2 Compensation of five highest-paid employees (other than those inc	luded on line 1). If none	enter "NONE."		
	(a) Name and address of each employee paid more than \$50,000	'hours per week	(c) Compensation	t and deterred	account, other
	NONE				
Total number of other employees paid over \$50,000					
Total number of other employees paid over \$50,000		-			
Total number of other employees paid over \$50,000				1	
Total number of other employees paid over \$50,000					
Total number of other employees paid over \$50,000					
Total number of other employees paid over \$50,000			1		
Total number of other employees paid over \$50,000					
Total number of other employees paid over \$50,000			_		
Total number of other employees paid over \$50,000			1		
	Total number of other employees paid over \$50.000	·		• I	0

Information About Officers, Directors, Trustees, Foundation Paid Employees, and Contractors (continued)		14/142/ Page 7
3 Five highest-paid independent contractors for professional services. If none, enter	"NONE."	
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
	<u> </u>	
		
	-	1
		
Total number of others receiving over \$50,000 for professional services		▶ 0
Partition Summary of Direct Charitable Activities		
List the foundation's four largest direct charitable activities during the tax year. Include relevant statist number of organizations and other beneficiaries served, conferences convened, research papers produced to the foundation of the foundati	ical information such as the luced, etc.	Expenses
1 N/A		
2		
3		
<u> </u>		
4		
Part XCB Summary of Program-Related Investments		
Describe the two largest program-related investments made by the foundation during the tax year on	lines 1 and 2.	Amount
1 N/A		
		··
2		
		
All other program-related investments. See instructions.		
3		
·		
Total. Add lines 1 through 3		0.

B	Minimum Investment Return (All domestic foundations must complete this part. Foreign fou	ndations, see	instructions.)
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
8	Average monthly fair market value of securities	1a	295,353. 105,522.
b	Average of monthly cash balances	1b	105,522.
C	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	400,875.
е	Reduction claimed for blockage or other factors reported on lines 1a and		. — — -
	1c (attach detailed explanation)	100	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	400,875.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	6,013.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	394,862.
6	Minimum investment return. Enter 5% of line 5	6	19,743.
P	Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations a foreign organizations check here and do not complete this part.)	nd certain	
1	Minimum investment return from Part X, line 6	1	19,743.
2a	Tax on investment income for 2011 from Part VI, line 5		
ь	Income tax for 2011. (This does not include the tax from Part VI.)		
C	Add lines 2a and 2b	2c	1.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	19,742.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	19,742.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	19,742.
P	art XII, Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	7	
a	Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1a	79,700.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
а	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	79,700.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment		
	income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	79,700.
	Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation	qualifies for th	e section

Form **990-PF** (2011)

Part XIII Undistributed Income (see instructions)

			(a)	(d)
	(a) Corpus	(b) Years prior to 2010	(c) 2010	2011
1 Distributable amount for 2011 from Part XI,				
line 7			¢.	19,742.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			0.	
b Total for prior years:	40	_	.4	
,,	»	0.	,	
3 Excess distributions carryover, if any, to 2011:				ľ
aFrom 2006 181,250.				
b From 2007 31, 108.	41. 5. A	· ,	1 1. 22	
c From 2008 3,948.		, '	* 7	ĬŸ.
dFrom 2009 91,182.				1
eFrom 2010 369,753.	677,241.		<i>i</i> , ,	25
f Total of lines 3a through e	011,241.	<u> </u>		* 7
4 Qualifying distributions for 2011 from Part XII, line 4: ▶\$ 79,700.	**		ĺ	, ,
Part XII, line 4: ►\$ 79,700. a Applied to 2010, but not more than line 2a			0.	l j
b Applied to undistributed income of prior	<u></u>			,
years (Election required - see instructions)		0	. ***	, , ,
c Treated as distributions out of corpus				
(Election required - see instructions)	0.			* *
d Applied to 2011 distributable amount	á	1 y 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	AND S	19,742.
e Remaining amount distributed out of corpus	59,958.		*	
5 Excess distributions carryover applied to 2011	0.			0.
(If an amount appears in column (d), the same amount must be shown in column (a).)			ء ۽	
6 Enter the net total of each column as				
indicated below:			7	• • • • • • • • • • • • • • • • • • • •
& Corpus Add Ilnes 3f, 4c, and 4e Subtract line 5	737,199	·		
b Prior years' undistributed income. Subtract			÷	
line 4b from line 2b		0		
c Enter the amount of prior years'				
undistributed income for which a notice of deficiency has been issued, or on which				4
the section 4942(a) tax has been previously	*	0		
assessed		<u> </u>		
d Subtract line 6c from line 6b. Taxable		0		
amount - see instructions e Undistributed income for 2010. Subtract line	()	*		
4a from line 2a. Taxable amount - see instr.		**	0.	
1 Undistributed income for 2011. Subtract				
lines 4d and 5 from line 1. This amount must				
be distributed in 2012	1.	# # # # # # # # # # # # # # # # # # #	ins	0.
7 Amounts treated as distributions out of		*	*	*
corpus to satisfy requirements imposed by				
section 170(b)(1)(F) or 4942(g)(3)	0			
8 Excess distributions carryover from 2006		(å. »	* (*	\$
not applied on line 5 or line 7	181,250	•		
9 Excess distributions carryover to 2012.	555 040			
Subtract lines 7 and 8 from line 6a	555,949			
10 Analysis of line 9:			>	
a Excess from 2007 31,108				
b Excess from 2008 3,948				
c Excess from 2009 91,182 dExcess from 2010 369,753		<u> </u>	*	
		1	*	
e Excess from 2011 59,958	<u> </u>	_1		Form 990-PF (2011)

	NTON FAMILY			03-047	7 1427 Page 10
Part XIV Private Operating F			A, question 9)	N/A	
1 a If the foundation has received a ruling of	r determination letter that	it is a private operating			
foundation, and the ruling is effective fo	r 2011, enter the date of t	he ruling .	▶	·	
b Check box to indicate whether the found	dation is a private operatin	ig foundation described in	n section	4942(j)(3) or 494	2(j)(5)
2 a Enter the lesser of the adjusted net	Tax year		Prior 3 years		
income from Part I or the minimum	(a) 2011	(b) 2010	(c) 2009	(d) 2008	(e) Total
investment return from Part X for	<u></u>				
each year listed]				
b 85% of line 2a					
c Qualifying distributions from Part XII,					
line 4 for each year listed					
d Amounts included in line 2c not				 	
used directly for active conduct of	İ				
exempt activities				-	····
e Qualifying distributions made directly					
for active conduct of exempt activities.					
Subtract line 2d from line 2c					··-
3 Complete 3a, b, or c for the alternative test relied upon:				ļ	
a "Assets" alternative test - enter:				1	
(1) Value of all assets		· . .		<u> </u>	
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)			1		
b "Endowment" alternative test - enter				i i	
2/3 of minimum investment return					
shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross		İ			
investment income (interest,				ļ	
dividends, rents, payments on				1	
securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public					
and 5 or more exempt					
organizations as provided in					
section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from		İ			
an exempt organization				 	
(4) Gross investment income Part XV. Supplementary Info	L. Comple	to this part only	if the foundation	bod \$5 000 or mo	ro in accote
at any time during			ii iiie iounuatioi	i nad \$5,000 or inc	16 111 922612
		ructions.)			
1 Information Regarding Foundati					
a List any managers of the foundation w			ributions received by the	toundation before the clos	e of any tax
year (but only if they have contributed	more man 45,000). (See s	section 507 (a)(2).)			
NORMAN F. SWANTON					
b List any managers of the foundation w			(or an equally large porti	on of the ownership of a pa	rtnership or
other entity) of which the foundation h	as a 10% or greater intere	SL			
NONE					
2 Information Regarding Contribu			_		
Check here ► 🗶 if the foundation					
the foundation makes gifts, grants, etc	<u> </u>			omplete items 2a, b, c, and	a.
a The name, address, and telephone nur	nber of the person to who	m applications should be	addressed:		
	 				
b The form in which applications should	be submitted and informa	ition and materials they s	hould include:		
c Any submission deadlines:					
d Any restrictions or limitations on awar	ds, such as by geographic	al areas, charitable fields	, kınds of ınstitutions, or	other factors:	

Grants and Contributions Paid During the				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of	Purpose of grant or contribution	Amount
	or substantial contributor	recipient	 	
Paid during the year				
	1			
HILLSIDES HOME FOR CHILDREN BENEFIT	NONE	TAX-EXEMPT	CHARITY	
940 AVENUE 64				
PASADENA , CA 91105			1	27,90
			[
YESHEVA BETH JOSEPH NOWAREDOK	NONE	TAX-EXEMPT	CHARITY	
268 EAST BROADWAY A307	1	ļ	j	2 00
NEW YORK, NY 10002			- 	2,00
			}	
ROSLYN DOWNTOWN ASSOCIATION	NONE	TAX-EXEMPT	CHARITY	
P.O. BOX 546				
ROSLYN, WA 98941	<u> </u>	<u> </u>		15,00
		İ		
			ļ	
JUNIOR BLIND OF AMERICA	NONE	TAX-EXEMPT	CHARITY	
5300 ANGELES VISTA BOULEVARD	HONE	TAN DAGAL		
LOS ANGELES, CA 90043		1	1	5,00
			1	
L.A.'S BEST AFTERSHCOOL PROGRAM	NONE	TAX-EXEMPT	CHARITY	
200 NORTH SPRING STREET		-		
Total SEE CO	NTINUATION SHE			5,00
b Approved for future payment	MILINUALIUM SHE	<u> </u>	▶ 3a	79,70
• Approved for luture payment				
		,]	
NONE		1	ļ	
]	ļ	
	_ _		- 	
	}	1		
		1		
		1	. [
		Ì		
			1	
		1		
Tabl				
Total	·		<u></u> ▶ 3b	rm 990-PF (201

Form 990-PF (2011) THE	SWANTON FA	MILY FOUR	IDATION I	NC.	03-0	0471427 Page 12
PartXVIIA Analysis o	f Income-Produ	_				
Enter gross amounts unless otherwise	indicated.	Unrelated t	usiness income		oy section 512, 513, or 514	(e)
•		(a) Business	(b)	(C) Exclu-	(d)	Related or exempt
1 Program service revenue:		code	Amount	sion code	Amount	function income
a						
b		-				
c		-		 		
	-	·			_ 	
d		·				
<u> </u>		·				
g Fees and contracts from govern	ment agencies	·	· · · · · ·	- - - - - - - - - - 		 -
2 Membership dues and assessment		 		- - -		
3 Interest on savings and temporary		 		- -		
	Casii]		14	33.	
investments 4 Dividends and interest from securit	· · · ·			 - 		
5 Net rental income or (loss) from re	•		· · · · · · · · · · · · · · · · · · ·		· · · · · · · · · · · · · · · · · · ·	
	ar estate.	<u> </u>				
Debt-financed property	•			-+		
b Not debt-financed property						
6 Net rental income or (loss) from pe	ersonai	,		1 1	}	
property		 			····	 -
7 Other investment income						
8 Gain or (loss) from sales of assets	otner			14	-277 050	
than inventory		 	 -		<u><277,850.</u>	<u> </u>
9 Net income or (loss) from special e						
10 Gross profit or (loss) from sales of	inventory					
11 Other revenue:						
<u> </u>	····	-				
D		-		- - -		 -
c		-				
a						
0	1/->			0.	<277,817.	> 0.
12 Subtotal. Add columns (b), (d), and		3 Edick		U • E		<277,817.
13 Total. Add line 12, columns (b), (d		•			13	<2//
(See worksheet in line 13 instructions	to verify calculations.)					
Relations	nip of Activities	to the Accon	plishment of	Exempt P	urposes	
Line No. Explain below how ear	ch activity for which inc	ome is reported in o	column (e) of Part X	VI-A contribute	d importantly to the accom	plishment of
the foundation's exem	pt purposes (other tha	n by providing fund	s for such purposes)).		
		-				
				_		
	· · · · · · · · · · · · · · · · · · ·					
				 		·
			·····			
						
						- · · · · · · · · · · · · · · · · · · ·

Form 990-PF (2011) Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

	organization directly or indi					on 501(c) of	Yes	No
the Code	e (other than section 501(c)(3) organizations) or	in section 52	7, relating to political orgar	nizations?			
a Transfer	s from the reporting found	ation to a noncharitab	ole exempt org	janization of:			<u> </u>	
(1) Cas	•						1a(1)	X
(2) Oth	er assets				•		1a(2)	X
	ansactions:							
(1) Sale	es of assets to a noncharita	ıble exempt organızatı	ion				15(1)	X
(2) Pur	chases of assets from a no	ncharitable exempt or	rganization				1b(2)	X
(3) Ren	ital of facilities, equipment,	or other assets			•		1b(3)	X
(4) Reir	mbursement arrangements	;					1b(4)	X
(5) Loa	ns or loan guarantees						1b(5)	X
(6) Per	formance of services or me	embership or fundrais	ing solicitatio	ns			1b(6)	X
•	of facilities, equipment, ma	• .		•			1c	X
d if the an	swer to any of the above is	"Yes," complete the fo	ollowing sche	dule. Column (b) should al	ways show the fair m	arket value of the goods, (other assets,	
	ces given by the reporting f			ed less than fair market valu	e in any transaction	or sharing arrangement, s	how in	
	(d) the value of the goods,							
(a)Line no.	(b) Amount involved	(c) Name of		exempt organization	(d) Description	of transfers, transactions, and	sharing arrangem	ents
		<u></u> _	N/A					
				- · · · · · · · · · · · · · · · · · · ·				
					" L			
2a Is the fo	undation directly or indirec	tly affiliated with, or r	elated to, one	or more tax-exempt organ	izations described			
ın sectio	on 501(c) of the Code (othe	er than section 501(c)	(3)) or in sect	ion 527?		. [Yes 🔀	∑ No
b If "Yes,"	complete the following sch	redule.						
	(a) Name of or	ganization		(b) Type of organization		(c) Description of relations	hip	
	N/A	· · · · · · · · · · · · · · · · · · ·						
							·	
						-		
	der penalties of perjury, I declare						y the IRS discuss	this
Sign and	i belief, it is true, correct, and co	implete Declaration of pre	eparer (other tha	n taxpayer) is based on all infor	mation of which preparer	nas any knowledge reti	um with the prepared	erer
Here	Morman ?	Lesuradon		11/17/2013	PRES		X Yes	No
Šī	gnature of officer or trusted	e 7 Till		Date	Title			
	Print/Type preparer's n	ame	Preparer's a	ignature	Date	Check if PTIN		
	THOMAS "	TUDDIN	الرس	\mathcal{P}	1.1.1	self- employed		
Paid	11/01/04/2	·-orch	$ \sim \mu$	2MM RMZ	/ " ロテ	P0	0850244	1
Prepare	Firm's name ►RA]	CH ENDE M	ALTER	& CO., LLP	 	Firm's EIN ▶ 11-2		
Use Onl				, 				
	Firm's address ▶ 13	75 BROADW	AY			 		
	· ·	EW YORK, N		8		Phone no. 212-	944-443	3 3
		I OALL / II		· · · · · · · · · · · · · · · · · · ·			rm QQALDE	

Schedule B (Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

Name of the organization

Schedule of Contributors

► Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2011

Employer identification number

03-0471427 THE SWANTON FAMILY FOUNDATION INC. Organization type (check one): Filers of: Section: Form 990 or 990-EZ 501(c)() (enter number) organization 4947(a)(1) nonexempt charitable trust not treated as a private foundation 527 political organization 501(c)(3) exempt private foundation Form 990-PF 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundation Check if your organization is covered by the General Rule or a Special Rule. Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions. General Rule For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. **Special Rules** For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II. For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III. ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to

certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

Employer identification number

THE SWANTON FAMILY FOUNDATION INC.

03-0471427

Partl	Contributors (see instructions). Use duplicate copies of Part I if additional	l space is needed.	
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	NORMAN F. SWANTON 100 OCEANGATE STE. 950 LONG BEACH, CA 90802	s <u>148,000</u> .	Person X Payroll Noncash (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Oncash Occash (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Oncash Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroli Noncash (Complete Part II if there is a noncash contribution.)

Employer identification number

THE SWANTON FAMILY FOUNDATION INC.

03-0471427

Parti	Noncash Property (see instructions). Use duplicate copies of P	art II if additional space is needed.	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		 \$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		s	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received

Employer identification number

	VANTON FAMILY FOUNDATION	ON INC.	03-0471427				
tanılı	Exclusively religious, charitable, etc., inc year. Complete columns (a) through (e) and the total of exclusively religious, charitable, a Use duplicate copies of Part III if addition	lividual contributions to section 501(of the following line entry. For organization etc., contributions of \$1,000 or less for	c)(7), (8), or (10) organizations that total more than \$1,000 for the ons completing Part III, enter the year. (Enter this information once)				
(a) No.							
from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held				
}							
	(e) Transfer of gift						
}	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee				
ļ							
İ							
(a) No.							
from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held				
—			· [
<u> </u>	(e) Transfer of gift						
ļ			.				
-	Transferee's name, address,	and ZIP + 4	Relationship of transferor to transferee				
(a) No.		- 					
from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held				
——							
Ī	(e) Transfer of gift						
	Transferee's name, address, and ZIP + 4		7				
-	Iransferee's name, address,	and ZIP + 4	Relationship of transferor to transferee				
(a) No.							
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held				
							
ļ							
	(e) Transfer of gift						
	Tanadarada anno address	and 71D + 4	Deletionship of transferes to transferes				
Ì	Transferee's name, address,	ang ∠IP + 4	Relationship of transferor to transferee				
İ							

Part XVI Supplementary Informatio				
3 Grants and Contributions Paid During the			-,	
Recipient	If recipient is an individual, show any relationship to any foundation manager	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	or substantial contributor	recipient		
JERSEY BATTERED WOMEN'S SERVICES	NONE	TAX-EXEMPT	CHARITY	
PO BOX 1437 MORRISTOWN, NJ 07962				5,000
			-	
THE HUMANE SOCIETY OF THE US	NONE	TAX-EXEMPT	CHARITY	
2100 L STREET NW				}
WASHINGTON, DC 20037	<u> </u>	 		500
THE BARUCH COLLEGE FUND	NONE	TAX-EXEMPT	CHARITY	
ONE BERNARD BARUCH WAY NEW YORK, NY 10010		1	1	1,000
TOTAL, NI 10010		 		
CRANES OF CREEN	NONE	TAX-EXEMPT	CHARIMY	
GRADES OF GREEN 420 27TH STREET	NONE	TAX-BARMPT	CHARITY	
MANHATTAN BEACH, CA 90266				800
		1]
BOYS AND GIRLS CLUBS OF AMERICA	NONE	TAX-EXEMPT	CHARITY	ļ
2107 N COLLINS BLVD				
RICHARDSON, TX 75080		+		1,000
ROUNDHOUSE AQUARIUM 2 MANHATTAN BEACH BOULEVARD	NONE	TAX-EXEMPT	CHARITY	
MANHATTAN BEACH, CA 90266				700
SEASIDE CENTER FOR SPIRITUAL LIVING	NONE	TAX-EXEMPT	CHARITY	
1613 LAKE DRIVE		j		15 000
ENCINITAS, CA 92024	- 	 		15,000
ANGEL AND DESCRIPTION ASSOCIATION	NO.	mr Brancom	OVA D T MY	
MUSCULAR DYSTROPHY ASSOCIATION 4510 E PACIFIC COAST HWY SUITE 120	NONE	TAX-EXEMPT	CHARITY	
LONG BEACH , CA 90804				800
	1			
		 	 	
	}			
	<u> </u>	<u> </u>		
Total from continuation sheets		<u>. </u>	<u> </u>	24,800

FORM 990-PF INTEREST ON SA	AVINGS AND TEMPOR	ARY CASH	INVESTMENTS	STATEMENT	1
SOURCE				AMOUNT	
JP MORGAN CHASE BANK			-		33.
TOTAL TO FORM 990-PF, PART	I, LINE 3, COLUM	IN A	-	· · · · · · · · · · · · · · · · · · ·	33.
FORM 990-PF	ORM 990-PF OTHER EXPENSES				2
DESCRIPTION		(B) ET INVEST- ENT INCOME	(C) ADJUSTED NET INCOM		
INVESTMENT EXPENSES	14,030.	0.	•		0.
TO FORM 990-PF, PG 1, LN 2	14,030.	0			0.
FORM 990-PF	CORPORATE S	STOCK		STATEMENT	3
DESCRIPTION		В	OOK VALUE	FAIR MARKE	r
CORPORATE STOCKS			299,195.	270,1	50.
TOTAL TO FORM 990-PF, PART	II, LINE 10B		299,195.	270,1	50.
FORM 990-PF	OTHER INVEST	MENTS		STATEMENT	4
DESCRIPTION	VALUA METI	ATION HOD BO	OOK VALUE	FAIR MARKE	T
DEUTSCHE NOTES	COS		100,000.	100,0	00.
TOTAL TO FORM 990-PF, PART	II, LINE 13		100,000.	100,0	00.
		=	:		

FORM 990-PF EXPLANATION CONCERNING PART VII-A, LINE 8B

STATEMENT

5

EXPLANATION

SWANTON FAMILY FOUNDATION, INC DID NOT CONDUCT ANY ACTIVITY IN NEW YORK STATE.

Form 886	68 (Rev. 1-2012)					Page		
	are filing for an Additional (Not Automatic) 3-Month Ex	tension, c	complete only Part II and check the	s box		▶ X		
	ly complete Part II if you have already been granted an a				8868.			
	are filing for an Automatic 3-Month Extension, complete							
Part II	Additional (Not Automatic) 3-Month E	xtensio	n of Time. Only file the origin	nal (no c	opies neede	d).		
			Enter filer's	s identifyir	ng number, see	instructions		
Type or	Name of exempt organization or other filer, see instructions			Employe	mployer identification number (EIN) or			
print		_						
File by the	THE SWANTON FAMILY FOUNDATION	ON FAMILY FOUNDATION INC.				X 03-0471427		
due date for filing your	Number, Street, and room or suite no. If a F.O. Dox, s				SSN)			
return See	C/O N.SWANTON, 100 OCEANGATI			<u> </u>				
Instructions	City, town or post office, state, and ZIP code. For a for	oreign add	lress, see instructions.					
	LONG BEACH, CA 90802-4350			·				
Enter the	Return code for the return that this application is for (file	e a separa	te application for each return)			0 4		
		,						
Applicat	ion	Return	Application			Return		
Is For		Code	Is For	88 7.87 7.47 #	*4/194*-4* //-1208**	Code		
Form 990	0	01			TENT SER			
Form 990	0-BL	02	Form 1041-A			08		
Form 990	0-EZ	01	Form 4720			09		
Form 990	0-PF	04	Form 5227			10		
	0-T (sec. 401(a) or 408(a) trust)	05	Form 6069			11		
	0-T (trust other than above)	06	Form 8870			12		
STOP! D	o not complete Part II if you were not already granted	an autor	natic 3-month extension on a pre	viously file	ed Form 8868.			
	NORMAN SWANTON	MO	OEO LONG DEACH	C3 00	902			
	cooks are in the care of \triangleright 100 OCEANGATE,	NO.		CA 30	802			
	hone No. ► (212)944-4433	- ! Al 1 I-	FAX No.					
	organization does not have an office or place of busines							
	is for a Group Return, enter the organization's four digit	7	emption Number (GEN) ach a list with the names and EINs (
box >	. If it is for part of the group, check this box		BER 15, 2012.	JI AJI III HIII	ers the extensi	JII IS IUI.		
	r calendar year 2011, or other tax year beginning	HOVE	, and endi	na				
	the tax year entered in line 5 is for less than 12 months, or	shock ross		Final:	return.			
6 If t	Change in accounting period	JIIOCK I Gas	on. — Initial return	, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	- Stairi			
7 St	ate in detail why you need the extension							
/ Si	LL REQUIRED INFORMATION TO F	ILE A	COMPLETE AND ACCU	JRATE	RETURN	IS NOT		
	ET AVAILABLE.							
8a If i	this application is for Form 990-BL, 990-PF, 990-T, 4720,	or 6069. e	enter the tentative tax, less any					
	prefundable credits. See instructions.		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	8a	s	0		
	this application is for Form 990-PF, 990-T, 4720, or 6069,	enter any	refundable credits and estimated					
	x payments made. Include any prior year overpayment a			1				
	reviously with Form 8868.		•	8b] \$	1,618		
	alance due. Subtract line 8b from line 8a. Include your p	ayment wi	th this form, if required, by using					
	TPS (Electronic Federal Tax Payment System). See instr	-	, , , , ,	8c	\$	0		
			st be completed for Part II	only.		_		
Under pe	nalties of perjury, I declare that I have examined this form, includ	ding accom			of my knowledge a	and belief,		
it is true,	correct, and complete, and that I am authorized to prepare this f							
Signature	Title >	C.P.A	. •	Date	<u> ▶</u>			
					Form 886	8 (Rev. 1-201		