Form **990-PF**
Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No. 1545-0052
2011

For calendar year 2011 or tax year beginning , 2011, and ending , 20

Name of foundation: MARY E BROCK TRUST
A Employer identification number: 02-6030934

Number and street (or P.O box number if mail is not delivered to street address): 870 WESTMINSTER STREET
Room/suite:
B Telephone number (see instructions): (603) 634-7772

City or town, state, and ZIP code: PROVIDENCE, RI 02903

C If exemption application is pending, check here ▶ ☐
D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐
E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization: ☐ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☒ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 506,050.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

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Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ▶ ☒ if the foundation is **not** required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	16,485.	15,762.		STMT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	20,352.			
	b Gross sales price for all assets on line 6a 164,816.				
	7 Capital gain net income (from Part IV, line 2)		20,350.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	85.			STMT 2
	12 **Total.** Add lines 1 through 11	36,922.	36,112.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	6,050.	3,025.		3,025.
	14 Other employee salaries and wages		NONE	NONE	
	15 Pension plans, employee benefits		NONE	NONE	
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) STMT 3	500.	NONE	NONE	500.
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) STMT 4		133.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings		NONE	NONE	
	22 Printing and publications		NONE	NONE	
	23 Other expenses (attach schedule) STMT 5	240.	100.		140.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	6,790.	3,258.	NONE	3,665.
	25 Contributions, gifts, grants paid	22,434.			22,434.
	26 **Total expenses and disbursements** Add lines 24 and 25	29,224.	3,258.	NONE	26,099.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	7,698.			
	b **Net investment income** (if negative, enter -0-)		32,854.		
	c **Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets				
1	Cash - non-interest-bearing	71.		
2	Savings and temporary cash investments	21,922.	23,977.	23,977.
3	Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶ NONE			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10 a	Investments - U.S. and state government obligations (attach schedule)			
b	Investments - corporate stock (attach schedule)			
c	Investments - corporate bonds (attach schedule)			
11	Investments - land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
12	Investments - mortgage loans			
13	Investments - other (attach schedule) STMT 6	437,663.	443,377.	482,073.
14	Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	459,656.	467,354.	506,050.
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ X			
27	Capital stock, trust principal, or current funds	452,401.	467,049.	
28	Paid-in or capital surplus, or land, bldg., and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	7,255.	305.	
30	**Total net assets or fund balances** (see instructions)	459,656.	467,354.	
31	**Total liabilities and net assets/fund balances** (see instructions)	459,656.	467,354.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	459,656.
2	Enter amount from Part I, line 27a	2	7,698.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	467,354.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	467,354.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	160,117.		144,466.	15,651.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				15,651.
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	20,350.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col. (c))
2010	26,347.	489,422.	0.053833
2009	26,749.	436,978.	0.061214
2008	28,179.	522,709.	0.053910
2007	30,919.	613,015.	0.050438
2006	33,786.	581,004.	0.058151

2 **Total** of line 1, column (d)	2	0.277546
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.055509
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	519,950.
5 Multiply line 4 by line 3	5	28,862.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	329.
7 Add lines 5 and 6	7	29,191.
8 Enter qualifying distributions from Part XII, line 4	8	26,099.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter: ________________ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	657.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	657.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	657.
6	Credits/Payments:		
a	2011 estimated tax payments and 2010 overpayment credited to 2011 — 6a: 296.		
b	Exempt foreign organizations - tax withheld at source — 6b: NONE		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: NONE		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments. Add lines 6a through 6d	7	296.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** PD EFTPS ▶	9	361.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be: **Credited to 2012 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

		Line	Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶$ ________ (2) On foundation managers. ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NH			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ RBS CITIZENS, N.A. Telephone no. ▶ (603)634-7772

Located at ▶ 875 ELM STREET, MANCHESTER, NH ZIP + 4 ▶ 03101

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)*	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? . . . . . . ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? . . . . . ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? . . . . . ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) . . . . . ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . . ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? . . . . . **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here . . . . . ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . . N/A . . . . ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . . ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . . **6b** No: X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . . **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7		6,050.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over $50,000 . . . . . ▶ NONE

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over $50,000 for professional services ▶		NONE

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	509,188.
b	Average of monthly cash balances	1b	18,680.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	527,868.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	527,868.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	7,918.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	519,950.
6	**Minimum investment return.** Enter 5% of line 5	6	25,998.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	25,998.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	657.		
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	657.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	25,341.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	25,341.
6	Deduction from distributable amount (see instructions)			6	NONE
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	25,341.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	26,099.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	26,099.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	N/A
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	26,099.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1	Distributable amount for 2011 from Part XI, line 7				25,341.
2	Undistributed income, if any, as of the end of 2011:				
a	Enter amount for 2010 only			NONE	
b	Total for prior years: 20 09 ,20____,20____		NONE		
3	Excess distributions carryover, if any, to 2011:				
a	From 2006 ...... 5,288.				
b	From 2007 ...... 1,172.				
c	From 2008 ...... 2,283.				
d	From 2009 ...... 5,074.				
e	From 2010 ...... 2,169.				
f	**Total** of lines 3a through e	15,986.			
4	Qualifying distributions for 2011 from Part XII, line 4: ▶ $ 26,099.				
a	Applied to 2010, but not more than line 2a			NONE	
b	Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c	Treated as distributions out of corpus (Election required - see instructions)	NONE			
d	Applied to 2011 distributable amount				25,341.
e	Remaining amount distributed out of corpus	758.			
5	Excess distributions carryover applied to 2011 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	NONE			NONE
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e. Subtract line 5	16,744.			
b	Prior years' undistributed income. Subtract line 4b from line 2b		NONE		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d	Subtract line 6c from line 6b. Taxable amount - see instructions		NONE		
e	Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instructions			NONE	
f	Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				NONE
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	NONE			
8	Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	5,288.			
9	**Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a	11,456.			
10	Analysis of line 9:				
a	Excess from 2007 ... 1,172.				
b	Excess from 2008 ... 2,283.				
c	Excess from 2009 ... 5,074.				
d	Excess from 2010 ... 2,169.				
e	Excess from 2011 ... 758.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [ ] 4942(j)(3) or [ ] 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter: (1) Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . .					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 10				
Total ▶ **3a**				22,434.
b *Approved for future payment*				
Total ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	16,485.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	20,352.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b FED TAX REFUND			01	85.	
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				36,922.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 36,922.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Gregory J. Suchy (signature) | 05/08/2012 | TAX OFFICER

Signature of officer or trustee | Date | Title

GREGORY J. SUCHY

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
JEFFREY E. KUHLIN	Jeffrey E Kuhlin	05/08/2012		P00353001

Firm's name ▶ KPMG LLP | Firm's EIN ▶ 13-5565207

Firm's address ▶ 100 WESTMINSTER ST, 6TH FLOOR STE A PROVIDENCE, RI 02903-2321 | Phone no. 855-549-6955

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
DIVIDENDS & INTEREST		16,485.	15,762.
	TOTAL	16,485.	15,762.

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS
FED TAX REFUND	85.
TOTALS	85.

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
TAX PREP FEE	500.			500.
TOTALS	500.	NONE	NONE	500.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES		133.
TOTALS		133.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
BOND PREMIUM EXPENSE	100.	100.	
NH FILING FEE	75.		75.
NH COURT ACCOUNTING FEE	65.		65.
TOTALS	240.	100.	140.

MARY E BROCK TRUST 02-6030934

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	COST/ FMV C OR F	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
SEE ATTACHED STATEMENT	C	437,663.	443,377.	482,073.
TOTALS		437,663.	443,377.	482,073.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:
RBS CITIZENS, N.A.
ADDRESS:
875 ELM STREET
MANCHESTER, NH 03101
TITLE:
TRUSTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5
COMPENSATION .... 6,050.

TOTAL COMPENSATION: 6,050.

RECIPIENT NAME:
SALEM PUBLIC LIBRARY
ADDRESS:
234 MAIN ST
SALEM, NH 03079
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
UNRESTRICTED GIFT
FOUNDATION STATUS OF RECIPIENT:
N/A
AMOUNT OF GRANT PAID .... 1,446.

RECIPIENT NAME:
MEADERBORO COMMUNITY CHURCH
ADDRESS:
83 MEADERBORO RD
ROCHESTER, NH 03867
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
UNRESTRICTED GIFT
FOUNDATION STATUS OF RECIPIENT:
N/A
AMOUNT OF GRANT PAID .... 3,625.

RECIPIENT NAME:
ORDER OF THE EASTERN STAR OF NH
ADDRESS:
P.O. BOX 4743
MANCHESTER, NH 03108-4743
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
UNRESTRICTED GIFT
FOUNDATION STATUS OF RECIPIENT:
N/A
AMOUNT OF GRANT PAID .... 2,170.

RECIPIENT NAME:
ROCHESTER PUBLIC LIBRARY
ADDRESS:
65 SOUTH MAIN STREET
ROCHESTER, NH 03867
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
UNRESTICTED GIFT
FOUNDATION STATUS OF RECIPIENT:
N/A
AMOUNT OF GRANT PAID .... 723.

RECIPIENT NAME:
PLEASANT STREET METHODIST CHURCH
ADDRESS:
8 PLEASANT ST
SALEM DEPOT, NH 03079
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
UNRESTRICTED GIFT
FOUNDATION STATUS OF RECIPIENT:
N/A
AMOUNT OF GRANT PAID .... 7,246.

RECIPIENT NAME:
SPAULDING HIGH SCHOOL
ADDRESS:
130 WAKEFIELD ST
ROCHESTER, NH 03867
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIPS
FOUNDATION STATUS OF RECIPIENT:
N/A
AMOUNT OF GRANT PAID .... 3,600.

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
SALEM HIGH SCHOOL
ADDRESS:
44 GEREMONTY DR
SALEM, NH 03079
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIPS
FOUNDATION STATUS OF RECIPIENT:
N/A
AMOUNT OF GRANT PAID .... 3,624.

TOTAL GRANTS PAID: 22,434.

990PF, PART VII-B LINE 2B – SECTION 4942a2 EXPLANATION STATEMENT

This question does not apply. The foundation does not have any undistributed income from prior years.

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T. See the Instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

2011

Name of estate or trust: MARY E BROCK TRUST

Employer identification number: 02-6030934

Note: *Form 5227 filers need to complete only Parts I and II.*

Part I — Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example· 100 shares 7% preferred of "Z" Co.)	(b) Date acquired (mo , day, yr.)	(c) Date sold (mo., day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a SHORT-TERM CAPITAL GAIN DIVIDENDS					750.

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	613.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2010 Capital Loss Carryover Worksheet	4	( )
5 **Net short-term gain or (loss).** Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ▶	5	1,363.

Part II — Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a LONG-TERM CAPITAL GAIN DIVIDENDS					3,949.

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	15,038.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2010 Capital Loss Carryover Worksheet	11	( )
12 **Net long-term gain or (loss).** Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back ▶	12	18,987.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Part III Summary of Parts I and II
Caution: *Read the instructions **before** completing this part.*

			(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
13	**Net short-term gain or (loss)**	13			1,363.
14	**Net long-term gain or (loss):**				
a	Total for year	14a			18,987.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b			
c	28% rate gain	14c			
15	**Total net gain or (loss).** Combine lines 13 and 14a ▶	15			20,350.

Note: *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and **do not** complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.*

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:
a The loss on line 15, column (3) **or** b $3,000 . . . 16 ( )

Note: *If the loss on line 15, column (3), is more than $3,000, **or** if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero.

Caution: *Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:*
- *Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.*

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			17			
18	Enter the **smaller** of line 14a or 15 in column (2) but not less than zero	18					
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19					
20	Add lines 18 and 19	20					
21	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶	21					
22	Subtract line 21 from line 20. If zero or less, enter -0-			22			
23	Subtract line 22 from line 17. If zero or less, enter -0-			23			
24	Enter the **smaller** of the amount on line 17 or $2,300			24			
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ **Yes.** Skip lines 25 and 26; go to line 27 and check the "No" box. ☐ **No.** Enter the amount from line 23			25			
26	Subtract line 25 from line 24			26			
27	Are the amounts on lines 22 and 26 the same? ☐ **Yes.** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the smaller of line 17 or line 22			27			
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)			28			
29	Subtract line 28 from line 27			29			
30	Multiply line 29 by 15% (.15)					30	
31	Figure the tax on the amount on line 23. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					31	
32	Add lines 30 and 31					32	
33	Figure the tax on the amount on line 17. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					33	
34	**Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)					34	

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2011

Name of estate or trust: MARY E BROCK TRUST

Employer identification number: 02-6030934

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 20.91 COLUMBIA ACORN FUND	12/15/2010	02/28/2011	654.00	617.00	37.00
56.391 DWS ALT ASSET ALLC	08/31/2010	08/31/2011	522.00	489.00	33.00
76.308 DWS FLOATING RATE P	05/31/2011	08/31/2011	679.00	722.00	-43.00
37.596 DELAWARE EMERGING M INST'L	08/31/2010	02/28/2011	604.00	503.00	101.00
693.082 JPMORGAN RESEARCH #1781	02/28/2011	05/31/2011	10,590.00	10,710.00	-120.00
613.195 JPMORGAN MORTGAGE FD	11/30/2010	11/30/2011	6,990.00	6,954.00	36.00
20.695 LEGG MASON CLEARBRI Y	11/30/2010	02/28/2011	382.00	349.00	33.00
28.683 LEGG MASON CLEARBRI Y	11/30/2010	05/31/2011	561.00	484.00	77.00
152.913 LEGG MASON CLEARBR GR Y	11/30/2010	08/31/2011	2,615.00	2,580.00	35.00
72.209 LEGG MASON CLEARBRI Y	11/30/2010	11/30/2011	1,293.00	1,218.00	75.00
11.637 DREYFUS MIDCAP INDE	12/29/2010	02/28/2011	346.00	326.00	20.00
.193 DREYFUS MIDCAP INDEX	03/29/2011	05/31/2011	6.00	6.00	
27.407 T ROWE PRICE SMALL-STOCKFD#65	12/14/2010	02/28/2011	996.00	872.00	124.00
45.859 STEELPATH MLP SELEC	05/31/2011	08/31/2011	481.00	498.00	-17.00
40.341 STEELPATH MLP SELEC	05/31/2011	11/30/2011	429.00	438.00	-9.00
11.618 TEMPLETON GLOBAL BO #616	02/28/2011	05/31/2011	162.00	157.00	5.00
137.266 TEMPLETON GLOBAL B #616	11/30/2010	08/31/2011	1,894.00	1,843.00	51.00
52.121 TEMPLETON INSTL EME #456	05/28/2010	02/28/2011	830.00	683.00	147.00
28.998 VANGUARD INTER TERM #1350	03/22/2011	05/31/2011	332.00	324.00	8.00
127.982 VANGUARD FIXED INC	02/28/2011	05/31/2011	750.00	730.00	20.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b 613.00

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side.
MARY E BROCK TRUST

Employer identification number
02-6030934

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 2.32 COLUMBIA ACORN FUND C	02/26/2010	02/28/2011	73.00	57.00	16.00
22.264 COLUMBIA ACORN FUND	02/26/2010	05/31/2011	729.00	548.00	181.00
88.538 COLUMBIA ACORN FUND	09/30/2008	08/31/2011	2,546.00	2,109.00	437.00
10.468 COLUMBIA ACORN FUND	09/30/2008	11/30/2011	301.00	249.00	52.00
25.347 DREYFUS MIDCAP INDE	02/26/2010	02/28/2011	754.00	583.00	171.00
18.831 DREYFUS MIDCAP INDE	02/26/2010	05/31/2011	581.00	431.00	150.00
140.342 DREYFUS MIDCAP IND	12/31/2008	08/31/2011	3,793.00	2,239.00	1,554.00
14.771 DREYFUS MIDCAP INDE	12/31/2008	11/30/2011	404.00	247.00	157.00
103.592 PIMCO INST'L COMMO REALRETURN 45	09/30/2008	02/28/2011	1,002.00	1,416.00	-414.00
79.504 PIMCO INST'L COMMOD REALRETURN 45	09/30/2008	08/31/2011	734.00	1,087.00	-353.00
568.60352 PIMCO INST'L COM REALRETURN 45	12/10/2008	11/30/2011	4,446.00	4,681.00	-235.00
38.14848 PIMCO INST'L COMM REALRETURN 45	09/30/2008	11/30/2011	298.00	521.00	-223.00 *
14.614 T ROWE PRICE SMALL- STOCKFD#65	05/28/2010	05/31/2011	557.00	423.00	134.00
103.049 T ROWE PRICE SMALL STOCKFD#65	05/28/2010	08/31/2011	3,404.00	2,981.00	423.00
33.28 T ROWE PRICE SMALL-C STOCKFD#65	05/28/2010	11/30/2011	1,144.00	963.00	181.00
1089.435 TEMPLETON GLOBAL #616	12/31/2009	08/31/2011	15,034.00	13,376.00	1,658.00
850.086 TEMPLETON INSTL EM #456	02/26/2010	02/28/2011	13,542.00	11,155.00	2,387.00
319.443 TEMPLETON INSTITUT FOREIGN	12/17/2008	08/31/2011	5,993.00	5,396.00	597.00
237.205 THORNBURG INTERNAT I	05/28/2010	08/31/2011	6,281.00	5,586.00	695.00
150.864 VANGUARD MORGAN GR	11/30/2009	02/28/2011	2,865.00	2,236.00	629.00
28.687 VANGUARD MORGAN GRO	11/30/2009	05/31/2011	558.00	425.00	133.00
1210.535 VANGUARD MORGAN G	11/30/2009	08/31/2011	21,209.00	17,940.00	3,269.00
53.241 VANGUARD MORGAN GRO	11/30/2009	11/30/2011	951.00	789.00	162.00
1343.744 VANGUARD FIXED IN	02/26/2010	05/31/2011	7,874.00	7,192.00	682.00
590.505 VANGUARD FIXED INC	02/26/2010	08/31/2011	6,330.00	6,318.00	12.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side.	Employer identification number
MARY E BROCK TRUST	02-6030934

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 472.517 VANGUARD FIXED INC	02/26/2010	11/30/2011	5,018.00	5,056.00	-38.00
6.904 VANGUARD ADMIRAL GNM	05/28/2010	05/31/2011	76.00	75.00	1.00
635.58 VANGUARD ADMIRAL GN	05/28/2010	11/30/2011	7,093.00	6,915.00	178.00
40.136 VANGUARD 500 INDEX #1340	01/31/2002	02/28/2011	4,065.00	3,278.00	787.00
123.381 VANGUARD 500 INDEX #1340	01/31/2002	08/31/2011	11,486.00	10,076.00	1,410.00
1.664 VANGUARD 500 INDEX S #1340	01/31/2002	11/30/2011	158.00	136.00	22.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b 15,038.00

* INDICATES WASH SALE

Citizens Bank®

Investment Detail

3020003342 | MARY BROCK TRUST 10-Apr-2012 3:59 PM ET

Asset Types: Balanced Funds, Cash & Cash Equivalents, Equities, Fixed Income -Non Taxable, Fixed Income -Taxable, Other, Real Estate
Data Current as of: 31-Dec-2011

SUMMARY

Asset Type	Current Allocation	Market Value	Total Cost	Unrealized Gain/Loss
Cash & Cash Equivalents	4.738%	$23,976.68	$23,976.68	$0.00
Equities	63.800%	$322,858.84	$289,063.55	$33,795.29
Fixed Income -Taxable	31.462%	$159,214.17	$154,313.50	$4,900.67
Totals		**$506,049.69**	**$467,353.73**	**$38,695.96**

DETAIL

Asset Name	CUSIP ISIN SEDOL Ticker	Current Allocation	Quantity	Current Price	Date Priced	Market Value	Total Cost	Unrealized Gain/Loss
Cash & Cash Equivalents: 4.738%								
CASH - INCOME	- - - -	1.945%	9,844.3400	1.0000		$9,844.34	$9,844.34	$0.00
CASH - INVESTED INCOME	- - - -	(1.885)%	(9,539.6100)	1.0000		($9,539.61)	($9,539.61)	$0.00
RBS CITIZENS N.A. CASH SWEEP ACCOUNT Ticker:PP510QGQ0 | Asset ID:990110702	990110702 - - PP510QGQ0	2.793%	14,132.3400	100 0000%	31-Dec-2011	$14,132.34	$14,132.34	$0.00
RBS CITIZENS N.A. CASH SWEEP ACCOUNT Ticker:PP510QGQ0 | Asset ID:990110702	990110702 - - PP510QGQ0	1.885%	9,539.6100	100.0000%	31-Dec-2011	$9,539.61	$9,539.61	$0.00
Subtotal		**4.738%**				**$23,976.68**	**$23,976.68**	**$0.00**
Equities: 63.800%								
COLUMBIA ACORN FUND CL Z #492 Ticker:ACRNX | Asset ID:197199409	197199409 - - ACRNX	3.486%	640.1290	27.5600	30-Dec-2011	$17,641.96	$14,168.41	$3,473.55
DELAWARE EMERGING MARKETS INST'L Ticker:DEMIX | Asset ID:245914817	245914817 - - DEMIX	2.775%	1,126.0990	12.4700	30-Dec-2011	$14,042.45	$15,089.24	($1,046.79)

DREYFUS MIDCAP INDEX FUND#113 Ticker:PESPX | Asset ID:712223106	712223106 - - PESPX	3.498%	682.9970	25.9200	30-Dec-2011	$17,703.28	$13,014.49	$4,688.79
DWS ALT ASSET ALLC PLUS INSTL Ticker:AAAZX | Asset ID:233376730	233376730 US2333767307 - AAAZX	1.888%	1,099.7020	8.6900	30-Dec-2011	$9,556.41	$9,594.11	($37.70)
LEGG MASON CLEARBRIDGE SMCAP GR Y Ticker:SBPYX | Asset ID:52470H765	52470H765 US52470H7659 - SBPYX	2.026%	574.3160	17.8500	30-Dec-2011	$10,251.54	$9,688.72	$562.82
PIMCO INST'L COMMODITY REALRETURN 45 Ticker:PCRIX | Asset ID:722005667	722005667 - 2645067 PCRIX	2.124%	1,643.3340	6.5400	30-Dec-2011	$10,747.40	$11,484.25	($736.85)
PRINCIPAL EQUITY INCOME INSTL Ticker:PEIIX | Asset ID:74254U499	74254U499 US74254U4994 - PEIIX	13.174%	3,739.1600	17.8300	30-Dec-2011	$66,669.22	$64,325.08	$2,344.14
STEELPATH MLP SELECT 40 CL I Ticker:MLPTX | Asset ID:858268204	858268204 US8582682048 - MLPTX	1.991%	918.3330	10.9700	30-Dec-2011	$10,074.11	$9,973.10	$101.01
T ROWE PRICE SMALL-CAP STOCKFD#65 Ticker:OTCFX | Asset ID:779572106	779572106 - - OTCFX	2.042%	330.6630	31.2500	30-Dec-2011	$10,333.22	$9,567.24	$765.98
TEMPLETON INSTITUTIONAL FOREIGN Ticker:TFEQX | Asset ID:880210505	880210505 - - TFEQX	2.786%	827.2820	17.0400	30-Dec-2011	$14,096.89	$14,557.39	($460.50)
THORNBURG INTERNATIONAL VALUE I Ticker:TGVIX | Asset ID:885215566	885215566 US8852155667 - TGVIX	2.841%	585.0030	24.5800	30-Dec-2011	$14,379.37	$15,434.93	($1,055.56)
VANGUARD 500 INDEX SIGNAL SHS #1340 Ticker:VIFSX | Asset ID:922908496	922908496 - - VIFSX	12.920%	683.5530	95.6500	30-Dec-2011	$65,381.84	$49,869.40	$15,512.44
VANGUARD MORGAN GROWTH FD#26 Ticker:VMRGX | Asset ID:921928107	921928107 - - VMRGX	12.248%	3,547.8620	17.4700	30-Dec-2011	$61,981.15	$52,297.19	$9,683.96
Subtotal		**63.800%**				**$322,858.84**	**$289,063.55**	**$33,795.29**

Fixed Income -Taxable: 31.462%								
DWS FLOATING RATE PLUS IN Ticker:DFRTX | Asset ID:23337F870	23337F870 US23337F8703 - DFRTX	1.542%	858.5890	9.0900	30-Dec-2011	$7,804.57	$8,117.32	($312.75)
JPMORGAN MORTGAGE BACKED SEC FD Ticker:OMBIX | Asset ID:4812C1215	4812C1215 US4812C12150 - OMBIX	4.694%	2,080.2540	11.4200	30-Dec-2011	$23,756.50	$23,591.73	$164.77
METROPOLITAN WEST HIGH YD BD I #514 Ticker:MWHIX | Asset ID:592905848	592905848 US5929058486 - MWHIX	3.133%	1,614.4510	9.8200	30-Dec-2011	$15,853.91	$16,492.12	($638.21)
TEMPLETON GLOBAL BOND ADVISOR #616 Ticker:TGBAX | Asset ID:880208400	880208400 - 2068404 TGBAX	1.534%	627.6350	12.3700	30-Dec-2011	$7,763.84	$8,404.33	($640.49)
VANGUARD ADMIRAL GNMA FD #536 Ticker:VFIJX | Asset ID:922031794	922031794 - - VFIJX	4.735%	2,164.3800	11.0700	30-Dec-2011	$23,959.69	$23,546.44	$413.25
VANGUARD INTER TERM BD IDX SS #1350 Ticker:VIBSX | Asset ID:921937843	921937843 - - VIBSX	12.625%	5,427.9170	11.7700	30-Dec-2011	$63,886.58	$57,875.70	$6,010.88
VANGUARD INTERMEDIATE TERM CORP#71 Ticker:VFICX | Asset ID:922031885	922031885 - - VFICX	2.142%	1,085.1690	9.9900	30-Dec-2011	$10,840.84	$10,882.27	($41.43)
VANGUARD SHORT-TERM INVEST-GRADE INV Ticker:VFSTX | Asset ID:922031406	922031406 - - VFSTX	1.057%	502.6540	10.6400	30-Dec-2011	$5,348.24	$5,403.59	($55.35)
Subtotal		**31.462%**				**$159,214.17**	**$154,313.50**	**$4,900.67**