Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011 or tax year beginning , and ending

Name of foundation
THE MCININCH FOUNDATION
C/O DOUGLAS A. MCININCH

A Employer identification number 02-6006053

Number and street (or P O box number if mail is not delivered to street address): 555 CANAL STREET — Room/suite: 710

B Telephone number: 603-622-4052

City or town, state, and ZIP code: MANCHESTER, NH 03101-1517

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 5,238,443.

J Accounting method [X] Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc, received			N/A	
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	22,778.	22,778.		STATEMENT 1
	4 Dividends and interest from securities	88,589.	86,826.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	105,945.			
	b Gross sales price for all assets on line 6a 2,650,048.				
	7 Capital gain net income (from Part IV, line 2)		105,945.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	98.	98.		STATEMENT 3
	12 **Total.** Add lines 1 through 11	217,410.	215,647.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	28,000.	18,000.		10,000.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 4	2,600.	2,600.		0.
	c Other professional fees STMT 5	36,128.	27,158.		8,970.
	17 Interest	334.	334.		0.
	18 Taxes STMT 6	6,840.	6,840.		0.
	19 Depreciation and depletion	146.	117.		
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 7	67,529.	61,265.		6,264.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	141,577.	116,314.		25,234.
	25 Contributions, gifts, grants paid	322,636.			322,636.
	26 **Total expenses and disbursements.** Add lines 24 and 25	464,213.	116,314.		347,870.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	<246,803.>			
	b **Net investment income** (if negative, enter -0-)		99,333.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	179,066.	158,394.	158,394.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S and state government obligations STMT 8	467,573.	395,089.	393,936.
	b Investments - corporate stock STMT 9	2,219,997.	1,246,976.	1,429,660.
	c Investments - corporate bonds STMT 10	98,726.	35,794.	36,766.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 11	2,234,130.	3,106,759.	3,212,210.
	14 Land, buildings, and equipment basis ▶ 4,987.			
	Less accumulated depreciation STMT 12 ▶ 4,410.	723.	577.	577.
	15 Other assets (describe ▶ STATEMENT 13)	891.	6,900.	6,900.
	16 Total assets (to be completed by all filers)	5,201,106.	4,950,489.	5,238,443.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	5,201,106.	4,950,489.	
	30 Total net assets or fund balances	5,201,106.	4,950,489.	
	31 Total liabilities and net assets/fund balances	5,201,106.	4,950,489.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,201,106.
2	Enter amount from Part I, line 27a	2	<246,803.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	4,954,303.
5	Decreases not included in line 2 (itemize) ▶ BOOK INCOME DIFFERENCE	5	3,814.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,950,489.

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr.)
1a				
b	SEE ATTACHED STATEMENTS			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	2,650,048.		2,544,103.	105,945.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M.V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				105,945.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 105,945.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter -0- in Part I, line 8 } 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	278,354.	5,569,473.	.049979
2009	227,590.	5,117,772.	.044471
2008	353,351.	6,729,177.	.052510
2007	463,335.	8,005,426.	.057878
2006	267,108.	6,560,670.	.040714

2	Total of line 1, column (d)	2	.245552
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.049110
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	5,661,552.
5	Multiply line 4 by line 3	5	278,039.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	993.
7	Add lines 5 and 6	7	279,032.
8	Enter qualifying distributions from Part XII, line 4	8	347,870.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate
See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ______ **(attach copy of letter if necessary-see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	993.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
3	Add lines 1 and 2	3	993.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	993.
6	Credits/Payments		
a	2011 estimated tax payments and 2010 overpayment credited to 2011 — 6a: 1,500.		
b	Exempt foreign organizations - tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	1,500.
8	Enter any **penalty** for underpayment of estimated tax Check here [X] if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	507.
11	Enter the amount of line 10 to be **Credited to 2012 estimated tax** ▶ 507. **Refunded** ▶	11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NH			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ NOT APPLICABLE

14 The books are in care of ▶ SHARI RIDLON, MC ININCH FOUNDATION Telephone no ▶(603)622-4052

Located at ▶555 CANAL ST, STE 710, MANCHESTER, NH ZIP+4 ▶03101-1517

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ______ , ______ , ______ , ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______ , ______ , ______ , ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No
If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X |
If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DOUGLAS A. MC ININCH 6 HAWK DRIVE BEDFORD, NH 03110	PRES/TREAS/TRUSTEE 10.00	24,000.	0.	0.
JAMES A. SHANAHAN 200 HEATHER STREET MANCHESTER, NH 03104	TRUSTEE 1.00	2,000.	0.	0.
NANCY M. MC ININCH 6 HAWK DRIVE BEDFORD, NH 03110	SECRETARY/TRUSTEE 1.00	2,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NOT APPLICABLE	0.00	0.	0.	0.

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NOT APPLICABLE		0.

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions 3	
Total. Add lines 1 through 3 ▶	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	134,004.
b	Average of monthly cash balances	1b	5,611,595.
c	Fair market value of all other assets	1c	2,170.
d	**Total** (add lines 1a, b, and c)	1d	5,747,769.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	5,747,769.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	86,217.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	5,661,552.
6	**Minimum investment return.** Enter 5% of line 5	6	283,078.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	283,078.
2a	Tax on investment income for 2011 from Part VI, line 5 — 2a: 993.		
b	Income tax for 2011 (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	993.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	282,085.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	282,085.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	282,085.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	347,870.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	347,870.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	993.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	346,877.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

		(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7					282,085.
2 Undistributed income, if any, as of the end of 2011					
a Enter amount for 2010 only				0.	
b Total for prior years ____ , ____ , ____			0.		
3 Excess distributions carryover, if any, to 2011					
a From 2006					
b From 2007	1,899.				
c From 2008	18,052.				
d From 2009					
e From 2010	2,784.				
f **Total** of lines 3a through e		22,735.			
4 Qualifying distributions for 2011 from Part XII, line 4: ▶ $ 347,870.					
a Applied to 2010, but not more than line 2a				0.	
b Applied to undistributed income of prior years (Election required - see instructions)			0.		
c Treated as distributions out of corpus (Election required - see instructions)		0.			
d Applied to 2011 distributable amount					282,085.
e Remaining amount distributed out of corpus		65,785.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)		0.			0.
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		88,520.			
b Prior years' undistributed income Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.		
d Subtract line 6c from line 6b Taxable amount - see instructions			0.		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instr				0.	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)		0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7		0.			
9 **Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a		88,520.			
10 Analysis of line 9					
a Excess from 2007	1,899.				
b Excess from 2008	18,052.				
c Excess from 2009					
d Excess from 2010	2,784.				
e Excess from 2011	65,785.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

SEE STATEMENT 14

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHED STATEMENT 17				322,636.
Total			▶ 3a	322,636.
b *Approved for future payment*				
SEE ATTACHED STATEMENT 18				42,770.
Total			▶ 3b	42,770.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	22,778.	
4 Dividends and interest from securities			14	88,589.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	98.	
8 Gain or (loss) from sales of assets other than inventory			18	105,945.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		217,410.	0.

13 Total. Add line 12, columns (b), (d), and (e) . 13 217,410.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

D McInnich | 5.12.12 | President

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
LUCY S. POTTER, CPA	Lucy S Potter, CPA	05/08/12		P00035609
Firm's name ▶ LUCY S. POTTER, CPA, PLLC			Firm's EIN ▶ 02-0445053	
Firm's address ▶ 82 PALOMINO LANE, SUITE 704 BEDFORD, NH 03110-6448			Phone no 603-666-5535	

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
GOLDMAN SACHS-#25118	12,072.
GOLDMAN SACHS-#25118-OID	709.
GOLDMAN SACHS-#45434	43.
GOLDMAN SACHS-#58118	23.
TD BANK, N.A.	3,841.
TD BANK, N.A.-US TREASURY	6,090.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	22,778.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
GOLDMAN SACHS-#25118	21,167.	2,678.	18,489.
GOLDMAN SACHS-#45434	22,772.	0.	22,772.
GOLDMAN SACHS-#58118	18,199.	2.	18,197.
TD BANK, N.A.	37,755.	8,624.	29,131.
TOTAL TO FM 990-PF, PART I, LN 4	99,893.	11,304.	88,589.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
STOCK LITIGATION SETTLEMENT	98.	98.	
TOTAL TO FORM 990-PF, PART I, LINE 11	98.	98.	

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION AND TAX SERVICES	2,600.	2,600.		0.
TO FORM 990-PF, PG 1, LN 16B	2,600.	2,600.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION-TD BANKNORTH	250.	250.		0.
BOOKKEEPING FEES	35,878.	26,908.		8,970.
TO FORM 990-PF, PG 1, LN 16C	36,128.	27,158.		8,970.

FORM 990-PF TAXES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX (REFUND)	2,512.	2,512.		0.
FOREIGN TAX-GOLDMAN SACHS #25118	83.	83.		0.
FOREIGN TAX-GOLDMAN SACHS #45434	2,236.	2,236.		0.
FOREIGN TAX-GOLDMAN SACHS #58118	409.	409.		0.
FOREIGN TAX-TD BANKNORTH	445.	445.		0.
FOREIGN TAX/RECLAIMABLE-GOLDMAN SACHS	1,155.	1,155.		0.
TO FORM 990-PF, PG 1, LN 18	6,840.	6,840.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES-#25118	1,000.	1,000.		0.
INVESTMENT MANAGEMENT FEES-#45434	12,909.	12,909.		0.
INVESTMENT MANAGEMENT FEES-#58118	12,674.	12,674.		0.
AGENCY FEES-TD BANKNORTH	7,313.	7,313.		0.
OFFICE & INVESTMENT ADMIN. EXPENSES	25,057.	18,793.		6,264.
AMORTIZATION OF BOND PREMIUM	7,475.	7,475.		0.
INVESTMENT EXPENSE-SPDR GOLD TR	205.	205.		0.
INVESTMENT EXPENSE-ADR	821.	821.		0.
STATE FILING FEES	75.	75.		0.
TO FORM 990-PF, PG 1, LN 23	67,529.	61,265.		6,264.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 8

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENT 15	X		33,426.	33,143.
SEE ATTACHED STATEMENT 16	X		361,663.	360,793.
TOTAL U.S. GOVERNMENT OBLIGATIONS			395,089.	393,936.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			395,089.	393,936.

FORM 990-PF CORPORATE STOCK STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENT 15	600,969.	719,691.
SEE ATTACHED STATEMENT 16	646,007.	709,969.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,246,976.	1,429,660.

FORM 990-PF CORPORATE BONDS STATEMENT 10

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENT 15	35,794.	36,766.
TOTAL TO FORM 990-PF, PART II, LINE 10C	35,794.	36,766.

FORM 990-PF OTHER INVESTMENTS STATEMENT 11

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENT 15	COST	564,349.	538,856.
SEE ATTACHED STATEMENT 16	COST	2,542,410.	2,673,354.
TOTAL TO FORM 990-PF, PART II, LINE 13		3,106,759.	3,212,210.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 12

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
COMPUTER EQUIP FOR INVESTMENTS	3,964.	3,964.	0.
FAX MACHINE	315.	251.	64.
MERLIN PHONE SYSTEM	610.	174.	436.
ADDITIONAL PHONE	98.	21.	77.
TOTAL TO FM 990-PF, PART II, LN 14	4,987.	4,410.	577.

FORM 990-PF OTHER ASSETS STATEMENT 13

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
INVESTMENT INCOME RECEIVABLE	391.	391.	391.
SECURITY DEPOSIT	500.	500.	500.
DIVIDEND RECEIVABLE	0.	6,009.	6,009.
TO FORM 990-PF, PART II, LINE 15	891.	6,900.	6,900.

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 14
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

THE MC ININCH FOUNDATION, ATTN: DOUGLAS A. MC ININCH
555 CANAL ST, SUITE #710
MANCHESTER, NH 03101

TELEPHONE NUMBER

603-622-4052

FORM AND CONTENT OF APPLICATIONS

A COMPLETED GRANT APPLICATION AND A TAX EXEMPT STATUS LETTER-SEE ATTACHMENT ON BACK OF TAX RETURN FOR GRANT APPLICATION

ANY SUBMISSION DEADLINES

APRIL 15 AND OCTOBER 15

RESTRICTIONS AND LIMITATIONS ON AWARDS

THE ORGANIZATION MUST BE IN COMPLIANCE WITH THE REGISTRATION AND REPORTING REQUIREMENTS OF THE NH DIRECTOR OF CHARITABLE TRUSTS, THE IRS AND THE NH SECRETARY STATE. IN ADDITION, THE ORGANIZATION MUST BE LOCATED IN NH.

		END OF YEAR (b) BOOK VALUE	END OF YEAR (c) FMV
LINE 10a - INVESTMENTS - U.S. AND STATE GOVERNMENT OBLIGATIONS			
10,000	FEDERAL HOME LOAN MTG 5%-1/30/2014	10,955 00	10,933 40
20,000	US TREAS BONDS 4 25%-11/15/2014	22,471 09	22,209 40
	TOTAL	33,426.09	33,142.80
LINE 10b - INVESTMENTS - CORPORATE STOCK			
230	AFLAC INCORPORATED	9,145 95	9,949 80
350	AT&T INC	8,791 37	10,584 00
310	ABBOTT LABS CO	15,934 59	17,431 30
470	AMERICAN EXPRESS CO	21,877 78	22,169 90
430	ANALOG DEVICES INC	8,070 67	15,385 40
185	APACHE CORPORATION	13,185 69	16,757 30
390	AUTODESK INC	6,345 46	11,828 70
340	AUTO DATA PROCESSING INC	12,607 12	18,363 40
550	BANK OF NEW YORK MELLON CORP	17,643 16	10,950 50
205	CHEVRON CORPORATION	14,029 87	21,812 00
755	CISCO SYSTEMS INC	14,199 56	13,650 40
190	COLGATE PALMOLIVE CO	12,924 97	17,554 10
265	CONOCOPHILLIPS	15,982 15	19,310.55
450	WALT DISNEY CO	15,291 61	16,875 00
745	EMC CORPORATION/MASS	8,127 95	16,047.30
210	EMERSON ELECTRIC CO	9,372 97	9,783.90
255	EXXON MOBIL CORP	14,354.95	21,613 80
520	GENERAL ELECTRIC CO	18,408.00	9,313 20
270	HEWLETT PACKARD CO	9,026.18	6,955 20
390	HOME DEPOT INC	10,076 86	16,395 60
300	ILLINOIS TOOL WKS INC	10,246 55	14,013 00
700	INTEL CORP	9,974 30	16,975 00
415	JP MORGAN CHASE & CO	12,321 93	13,798 75
230	JOHNSON & JOHNSON CO	13,485 99	15,083 40
180	MCDONALDS CORP	11,569 12	18,059 40
335	MEDTRONIC INC	17,018 00	12,813.75
620	MICROSOFT CORPORATION	11,686 55	16,095 20
115	MONSANTO CO NEW	7,797 37	8,058 05
260	ORACLE CORPORATION	8,689 16	6,669 00
230	PEPSICO INC	12,711 32	15,260 50
305	PHILIP MORRIS INTL INC	13,158 63	23,936 40
210	PROCTER & GAMBLE CO	11,847 08	14,009 10
220	QUEST DIAGNOSTICS INC	11,067 98	12,773 20
340	SPDR GOLD TRUST	46,646 41	51,676 60
240	SEMPRA ENERGY	9,642 63	13,200 00
220	SIGMA-ALDRICH CORP	8,090 42	13,741 20
280	SOUTHERN CO	9,816.52	12,961 20
290	STATE STREET CORP	10,472 13	11,689 90
200	3M CO	16,900 00	16,346 00
250	ULTRA PETROLEUM CORP	12,701 68	7,407 50
150	UNITED PARCEL SERVICES CL B	6,741 97	10,978 50
195	UNITED TECHNOLOGIES	9,860 66	14,252 55
270	UNITEDHEALTH GROUP INC	11,885 40	13,683 60
300	VERIZON COMMUNICATIONS	8,480 79	12,036 00
220	WALMART STORES INC	12,114 30	13,147 20
490	WELLS GARGO & CO	14,303 15	13,504 40
230	YUM BRANDS INC	7,927 20	13,572 30
210	ZIMMER HLDGS INC	8,415 02	11,218.20
	TOTAL	600,969.12	719,691.25

THE MC ININCH FOUNDATION
02-6006053
FORM 990-PF, PART II
DECEMBER 31, 2011

STATEMENT 15

		END OF YEAR (b) BOOK VALUE	END OF YEAR (c) FMV
LINE 10c - INVESTMENTS - CORPORATE BONDS			
10,000	BANK OF NY MELLON 4 95%-11/1/2012	10,342 30	10,368 50
15,000	CATERPILLAR FIN SR 4 25%-2/8/2013	15,198 30	15,597 75
10,000	CONOCOPHILLIPS 4 75%-2/1/2014	10,253 30	10,800 20
	TOTAL	**35,793.90**	**36,766.45**
LINE 13 - INVESTMENTS - OTHER			
3,499 730	INVESCO INTERNATIONAL GROWTH FD INSTL CL	98,307 41	89,628 09
705 020	BUFFALO SMALL CAP FD #1444	17,223 64	17,576.15
1,595 506	HARBOR INTL FD-INST CL FUND #11	92,794 65	83,684 29
753 972	HARTFORD MUTUAL FDS INC MIDCAP FD CL Y #229	17,122 71	14,717.53
818 417	PERKINS MID CAP VALUE FUND	18,037 91	16,515.66
900 714	ROYCE SPECIAL EQUITY FD #327	17,897 18	17,744 07
8,602 284	FIDELITY HIGH INCOME FUND #455	77,046 09	74,323 73
8,940 657	PIMCO INVESTMENT GRADE CORP BD FD CL I	97,761 45	92,535 80
932 415	VANGUARD SHORT-TERM FEDERAL #49	10,017 54	10,107 38
6,171 237	VANGUARD INTERMEDIATE TERM TREASURY FD #35	71,030 48	72,203 47
3,530 817	VANGUARD INFLATION PROTECTED SEC 119	47,109 78	49,819 83
	TOTAL	**564,348.84**	**538,856.00**

LINE 10a - INVESTMENTS - US AND STATE GOVERNMENT OBLIGATIONS

		END OF YEAR (b) BOOK VALUE	END OF YEAR (c) FMV
100,000	FHLB 2.25% 04/13/2012 AO	101,199.08	100,591.00
100,000	FHLB 3.375% 02/27/2013 FA	103,956.09	103,528.00
100,000	FHLB 3.625% 10/18/2013 AO SR LIEN	105,465.30	105,688.00
50,000	FHLB 1.375 05/28/2014	51,042.76	50,985.50
	TOTAL	**361,663.23**	**360,792.50**

LINE 10b - INVESTMENTS - CORPORATE STOCK

		END OF YEAR (b) BOOK VALUE	END OF YEAR (c) FMV
357	ADIDAS AG ADR CMN	12,941.04	11,646.05
241	ARM HOLDINGS PLC SPON ADR SPONSORED ADR CMN	1,488.21	6,668.47
1,360	ASSA ABLOY AB UNSPONSORED ADR (SWEDEN)	18,500.50	17,121.04
159	BG GROUP PLC SPON ADR ADR CMN	13,343.37	17,006.80
226	CANADIAN NATIONAL RAILWAY CO. CMN	11,344.50	17,754.56
252	CANADIAN NATURAL RESOURCES CMN	7,552.53	9,417.24
421	CANON INC ADR ADR CMN	17,317.26	18,540.84
588	CARNIVAL CORPORATION CMN	19,404.94	19,192.32
138	CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES	7,632.27	7,250.52
89	CNOOC LIMITED SPONSORED ADR CMN	13,815.79	15,546.52
577	CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN	23,562.71	13,547.96
94	DASSAULT SYSTEMES SA SPONSORED ADR CMN	4,968.69	7,557.04
539	EMBRAER SA ADR CMN	11,363.67	13,593.58
559	FANUC CORP UNSPONSORED ADR CMN	7,480 92	14,264 56
1,330	FLSMIDTH & CO. A/S SPONSORED ADR CMN	8,150.70	7,840 35
256	FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN	10,758.81	17,402.88
491	HANG LUNG PPTYS LTD SPONSORED ADR CMN	6,234.95	6,985 95
2,963	HENNES & MAURITZ AB ADR CMN	15,044.79	19,129.13
622	HONG KONG EXCHANGES & CLEARING UNSPONSORED ADR CMN	5,289.89	9,938.94
1,032	INDUSTRIAL & COMMERCIAL BANK O ADR CMN	15,031.06	12,250.87
1,485	ING GROEP N V. SPONS ADR SPONSORED ADR CMN	11,895.26	10,647.45
897	ITAU UNIBANCO BANCO HLDNG S.A SPONSORED ADR CMN	17,203.31	16,648.32
551	KDDI CORP UNSPONSORED ADR CMN	9,442.45	8,862.28
2,254	KINGFISHER PLC SPONSORED ADR CMN	11,516.30	17,563.17
678	KOMATSU LTD ADR (NEW) SPONSORED GDS CMN	8,225.77	15,853.00
87	LAS VEGAS SANDS CORP. CMN	3,662.27	3,717 51
3,203	LI & FUNG LIMITED UNSPONSORED ADR CMN	11,790.15	11,860.71
783	LVMH MOET HENNESSY LOUIS VUITTON S A ADR CMN	11,327.33	22,239.55
114	MERCADOLIBRE INC. CMN	7,210.84	9,067 56
2,769	MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN	16,356.89	11,602.11
293	NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS)	12,583.54	16,920.16
382	NOVARTIS AG-ADR SPONSORED ADR CMN	19,008.29	21,838.94
174	NOVO-NORDISK A/S ADR ADR CMN	10,063.81	20,055.24
340	POTASH CORP OF SASKATCHEWAN INC	13,286.65	14,035.20
498	PUBLICIS GROUPE SA SPONSORED ADR CMN	11,189.22	11,489.36
2,190	RECKITT BENCKISER GROUP PLC SLOUGH UNSPONSORED ADR (UK)	20,448.35	21,645.96
229	SABMILLER PLC SPONSORED ADR	4,803.70	8,066.30
381	SAP AG (SPON ADR)	16,811.35	20,173.95
923	SBERBANK SPONSORED ADR CMN	9,851.20	9,156.16
280	SCHLUMBERGER LTD CMN	14,736.86	19,126 80
169	SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN	19,883.84	16,158.09
119	SOUTHERN COPPER CORPORATION CMN	3,747 13	3,591.42
461	SWATCH GROUP SA (THE) ADR CMN	8,687.22	8,664.50
233	TECK RESOURCES LIMITED CMN CLASS B	11,781.46	8,199.27
603	TELEFONICA S A ADR SPONSORED ADR CMN	12,928 56	10,365 57
636	TENCENT HOLDINGS LIMITED UNSPONSORED ADR CMN	13,096.12	12,782.96

THE MC ININCH FOUNDATION
02-6006053
FORM 990-PF, PART II
DECEMBER 31, 2011

STATEMENT 16

LINE 10b - INVESTMENTS - CORPORATE STOCK (continued)

		END OF YEAR (b) BOOK VALUE	END OF YEAR (c) FMV
990	TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN	19,641.55	18,621.90
479	TEVA PHARMACEUTICAL IND LTD ADS	22,530.71	19,332 44
264	TOYOTA MOTOR CORPORATION SPON ADR	22,247 63	17,458.32
3,010	TURKIYE GARANTI BANKASI AS GDS CMN	13,532 83	9,403 24
152	VODAFONE GROUP PLC SPONSORED ADR CMN	3,975.12	4,260.56
328	VOLKSWAGEN AG, WOLFSBURG SPONSORED ADR PFD USD0.5829	7,098 61	9,857.06
443	WAL-MART DE MEXICO SAB DE CV SPON ADR SHS	4,687 81	12,158 14
299	YANDEX N.V. CMN	9,527.91	5,890 30
	TOTAL	**646,006.64**	**709,969.12**

LINE 13 - INVESTMENTS - OTHER

		END OF YEAR (b) BOOK VALUE	END OF YEAR (c) FMV
50,000	BNP PARIBAS LNK BSKT6COMMD FUT 0% CPN DUE 11/ 21/2014 STR NT	50,000.00	44,563.50
32,548.49	GS CONCENTRATED GROWTH FUND CLASS A SHS	327,196.48	414,993 29
10,163.10	GS CORE FIXED INCOME FUND INSTITUTIONAL SHS	100,000.00	104,883.18
1,786.12	GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHS	34,123.59	25,720.07
7,230.05	GS INFLATION PROTECTED SECURITIES FUND CLASS A SHS	79,772.85	80,253.58
2,194.37	GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHS	20,692 89	18,959.34
3,639 69	GS SMALL CAP VALUE FUND CLASS A SHS	126,088.48	141,583.75
31,575 33	GS STRATEGIC GROWTH FUND CLASS A SHS	252,964.82	310,701.29
6,816.90	GS STRATEGIC INCOME FUND CLASS A SHS	69,000 00	64,692.38
19	THE GOLDMAN SACHS GROUP, INC. LINKED TO DJIA 0% COUPON DUE	19,000.00	18,231.30
52,000	THE GOLDMAN SACHS GROUP, INC. LINKED TO FX BASKET VS USD 0%	52,000.00	50,530.48
55	THE GOLDMAN SACHS GROUP, INC LINKED TO MSCI EAFE 0% DUE 07/	55,000.00	43,641 12
40,000	THE GOLDMAN SACHS GROUP, INC. LINKED TO SP GSCI AGRICULTURE	40,000.00	35,228 00
9	THE GOLDMAN SACHS GROUP, INC LNKD TO THE RUSSELL 2000 INDE	9,000.00	8,204.49
10	RABOBANK NEDERLAND, UTRECHT LINKED TO MSCI TAIWAN INDX 0% I	10,000 00	8,907.51
43	RABOBANK NEDERLAND, UTRECHT LNKD TO ISHARES FTSE/CHINA 0%	43,000.00	39,925.80
6,054	SPDR S&P 500 ETF TRUST	754,570.84	759,777.00
	GS HEDGE FUND PORTFOLIO PLC CLASS B, SERIES 44	500,000 00	502,558.00
	TOTAL	**2,542,409.95**	**2,673,354.08**

Part XV, Line 3a - GRANTS & CONTRIBUTIONS PAID DURING THE YEAR:

Name & Address Of Recipient	Foundation Status of Recipient	Purpose of Grant or Contribution	$ Amount
Boys & Girls Club of Manchester 555 Union St. Manchester, NH 03104	Public	For building expansion For Kresge Grant	15,000 5,000
Canterbury Shaker Village 288 Shaker Rd. Canterbury, NH 03224	Public	For building restoration	20,000
Colby-Sawyer College 541 Main St. New London, NH 03257-7818	Public	For Fine Arts Center	10,000
Derryfield School 2108 River Rd. Manchester, NH 03104-1396	Public	For Gateway Bldg.	25,000
First Congregational Church 508 Union St Manchester, NH 03104	Public	Annual Drive	8,000
Inter-Actions, Adapted Activities 6 Chenell Dr , Ste 205 Concord, NH 03301	Public	Summer Camp	2,000
Manchester Moves, Inc. 1000 Elm St Manchester, NH 03101	Public	For Rail Trail Systems	15,000
Merrimack Concert Assn PO Box 641 Merrimack, NH 03054	Public	For website upgrade	1,876
Milford Downtown Ongoing Improvement Team 263 Union Square Milford, NH 03055	Public	To construct rail trails	3,500
Monadnock Area Transitional Shelter PO Box 3053 Peterborough, NH 03458	Public	For repairs to shelter	10,000
Nashua Symphony 6 Church St. Nashua, NH 03060	Public	Concert support	5,000
NeighborWorks of Gr Manchester 20 Merrimack St. Manchester, NH 03101-2002	Public	For accounting software	10,000

Part XV, Line 3a - GRANTS & CONTRIBUTIONS PAID DURING THE YEAR:

Name & Address Of Recipient	Foundation Status of Recipient	Purpose of Grant or Contribution	$ Amount
New Hampton School 70 Main St. New Hampton, NH 03256	Public	For new Math & Science Bldg.	25,000
New Horizons for NH POB 691 Manchester, NH 03105	Public	Walk for Hunger	500
NH Association for the Blind 25 Walker St. Concord, NH 03301	Public	For financial software	10,000
NH Center for Public Policy Studies 1 Eagle Sq., Ste 510 Concord, NH 03301	Public	Program support	1,000
NH Children's Trust 10 Ferry St. Ste 315 Concord, NH 03301	Public	For computer & software upgrade	7,500
NH Food Bank 215 Myrtle St. Manchester, NH 03104-4354	Public	For new facility and programs	12,500
NH Humanities Council 117 Pillsbury St. Concord, NH 03301	Public	For literacy program	4,500
NH Public TV 268 Mast. Rd. Durham, NH 03824	Public	For documentary	15,000
NH Writers Project 250 North River Rd. Manchester, NH 03106	Public	For office copier/fax	3,000
Opera New Hampshire PO Box 3426 Manchester, NH 03105	Public	Support for Mikado	5,000
Special Olympics NH 650 Elm St. Manchester, NH 03101	Public	Sponsorship	500
Squam Lakes Natural Science Ctr POB 173 Holderness, NH 03245	Public	Annual Fund	500

Part XV, Line 3a - GRANTS & CONTRIBUTIONS PAID DURING THE YEAR:

Name & Address Of Recipient	Foundation Status of Recipient	Purpose of Grant or Contribution	$ Amount
Star Island Corporation 30 Middle St Portsmouth, NH 03801	Public	Capital Campaign	25,000
Copper Cannon Camp POB 124 Franconia, NH 03580	Public	Trailer for mountain bikes	1,050
Durham Public Library POB 100 Durham, NH 03824	Public	For new library	25,000
Family Resource Center 123 Main Street Gorham, NH 03581	Public	For network server	1,210
Girl Scouts of the Green & White Mtns POB 10832 Bedford, NH 03110	Public	IT upgrades	5,000
Lasell College 1844 Commonwealth Ave Newton, MA 02466	Public	Scholarships	5,000
Soc. for the Protection of NH Forests 54 Portsmouth St Concord, NH 03301	Public	Website upgrades	20,000
Strawbery Banke Museum POB 300 Portsmouth, NH 03802	Public	Conversion of Cotton Tenant House	25,000
	TOTAL CONTRIBUTIONS PAID IN 2011		**$322,636**

Part XV, Line 3b - GRANTS & CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT:

Name & Address Of Recipient	Foundation Status of Recipient	Purpose of Grant or Contribution	$ Amount
Arts Rochester, Inc. POB 7400 Gonic, NH 03839	Public	Drapery System	4,770
Boys & Girls Club of Manchester 555 Union St. Manchester, NH 03104	Public	Kresge Challenge	5,000
First Congregational Church 508 Union St. Manchester, NH 03104	Public	Annual Drive	8,000
New Hampton School 70 Main St New Hampton, NH 03256	Public	For new Math & Science Bldg.	25,000
		TOTAL CONTRIBUTIONS APPROVED FOR FUTURE	**$42,770**

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE ATTACHED-GOLDMAN SACHS STMT			
b	ACCT #010-25118-9 PG 29 OF 30	P	VARIOUS	VARIOUS
c	SEE ATTACHED-GOLDMAN SACHS STMT			
d	ACCT #010-25118-9 PG 30 OF 30	P	VARIOUS	VARIOUS
e	SEE ATTACHED-GOLDMAN SACHS STMT			
f	ACCT #010-45434-6 PGS 71-73 OF 84	P	VARIOUS	VARIOUS
g	SEE ATTACHED-GOLDMAN SACHS STMT	P		
h	ACCT #010-45434-6 PGS 73-74 OF 84	P	VARIOUS	VARIOUS
i	SEE ATTACHED-GOLDMAN SACHS STMT	P		
j	ACCT #010-45434-6 PGS 74-84 OF 84	P	VARIOUS	VARIOUS
k	SEE ATTACHED-GOLDMAN SACHS STMT	P		
l	ACCT #010-58119-9 PGS 69-74 OF 85	P	VARIOUS	VARIOUS
m	SEE ATTACHED-GOLDMAN SACHS STMT	P		
n	ACCT #010-58119-9 PGS 74-77 OF 85	P	VARIOUS	VARIOUS
o	SEE ATTACHED-GOLDMAN SACHS STMT	P		

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				0.
b	226,832.		229,334.	<2,502.>
c				0.
d	259,001.		226,239.	32,762.
e				0.
f	62,567.		80,216.	<17,649.>
g				0.
h	43,166.		37,014.	6,152.
i				0.
j	392,978.		350,781.	42,197.
k				0.
l	551,126.		575,697.	<24,571.>
m				0.
n	215,669.		200,701.	14,968.
o				0.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				0.
b				<2,502.>
c				0.
d				32,762.
e				0.
f				<17,649.>
g				0.
h				6,152.
i				0.
j				42,197.
k				0.
l				<24,571.>
m				0.
n				14,968.
o				0.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo., day, yr)
1a	ACCT #010-58119-9 PGS 77-85 OF 85	P	VARIOUS	VARIOUS
b	30 ORACLE CORP	P	03/04/11	02/11/11
c	50 BANK OF NEW YORK MELLON CORP	P	03/04/11	02/11/11
d	SEE ATTACHED-TD BANK, N.A.-PG 8 OF 25	P	VARIOUS	VARIOUS
e	SEE ATTACHED-TD BANK, N.A.-PGS 9-12 OF 25	P	VARIOUS	VARIOUS
f	SEE ATTACHED-TD BANK, N.A.-PGS 12-13 OF 25	P	VARIOUS	VARIOUS
g	CAPITAL GAINS DIVIDENDS			
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	599,320.		578,770.	20,550.
b	975.		1,003.	<28.>
c	1,496.		1,496.	0.
d	68,089.		64,774.	3,315.
e	217,320.		198,078.	19,242.
f	205.			205.
g	11,304.			11,304.
h				
i				
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col. (h) gain over col (k), but not less than "-0-")
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				20,550.
b				<28.>
c				0.
d				3,315.
e				19,242.
f				205.
g				11,304.
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	105,945.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	N/A

123591
05-01-11

2011 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1 990-PF

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	* Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
1	COMPUTER EQUIP FOR INVESTMENTS	052105	SL	5.00	16	3,964.			3,964.	3,964.		0.
2	FAX MACHINE	051606	SL	7.00	16	315.			315.	206.		45.
3	MERLIN PHONE SYSTEM	122209	SL	7.00	16	610.			610.	87.		87.
4	ADDITIONAL PHONE	062010	SL	7.00	16	98.			98.	7.		14.
	* TOTAL 990-PF PG 1 DEPR					4,987.		0.	4,987.	4,264.	0.	146.

128102
05-01-11

(D) - Asset disposed

* ITC, Section 179, Salvage, Bonus, Commercial Revitalization Deduction

2011 Tax Information Statement

Account Number: 659784011
Recipient's Tax ID Number: XX-XXX6053
Payer's Federal ID Number: 03-0349319

☐ **Corrected** ☐ **2nd TIN notice**

Payer's Name and Address:
TD BANK, N.A.
C/O FIDUCIARY TAX DEPARTMENT
307 MAIN STREET, P.O. BOX 1330
HYANNIS, MA 02601

Recipient's Name and Address:
MCININCH FOUNDATION
ATTN: SHARI RIDLON
555 CANAL STREET
WALL STREET TOWER, SUITE 710
MANCHESTER, NH 03101

2011 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price less commissions and option premiums.
For noncovered securites shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service.

Cusip Number		Description	Date of Sale	Date of Acquisition	Sales Price of Stocks Bonds, etc.	Cost or Other Basis	Net Gain or Loss	Federal Income Tax Withheld
Noncovered *(Box 6 is checked)*		*(Box 9)*	*(Box 1a)*		*(Box 2)*			*(Box 4)*
Short Term Sales Reported on 1099-B								
119804102	109 8330	BUFFALO SMALL CAP FD #1444	03/04/2011	11/10/2010	3,008.33	2,683.22	325 11	0 00
411511306	244 2460	HARBOR INTERNATIONAL FUND	03/04/2011	11/16/2010	15,407.04	14,205.35	1,201 69	0 00
416645687	120 8390	HARTFORD MUT FDS INC MIDCAP FD CL Y FD# 229	03/04/2011	11/10/2010	3,097.10	2,744.25	352 85	0.00
008882771	174 5350	INVESCO INTERNATIONAL GROWTH FUND INSTITUTIONAL CLASS	03/04/2011	11/10/2010	5,030.10	4,902.69	127.41	0.00
524901105	160 0000	LEGG MASON INC	02/14/2011	05/27/2010	5,695.48	4,860 58	834.90	0 00
47103C241	83 1690	PERKINS MID CAP VALUE FUND INSTITUTIONAL CLASS	03/04/2011	11/10/2010	1,965.28	1,833 05	132.23	0.00
780905782	101.1000	ROYCE SPECIAL EQUITY FD #327	03/04/2011	11/10/2010	2,171.63	2,008.86	162.77	0 00
78463V107	10.0000	SPDR GOLD TRUST	03/04/2011	11/10/2010	1,394.41	1,371 95	22.46	0 00
922031604	2638 6060	Vanguard Short-Term Federal Fund(0049)	10/27/2011	Various	28,734 43	28,705.14	29.29	0.00
949746101	50.0000	WELLS FARGO & COMPANY COM NEW	03/04/2011	05/27/2010	1,585 47	1,459.50	125 97	0 00
Total Short Term Sales Reported on 1099-B					**68,089.27**	**64,774.59**	**3,314.68**	**0.00**

Report on Form 8949, Part I, with Box B checked

Long Term 15% Sales Reported on 1099-B

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2011 Tax Information Statement

Account Number: 659784011
Recipient's Tax ID Number: XX-XXX6053
Payer's Federal ID Number: 03-0349319

☐ **Corrected** ☐ **2nd TIN notice**

Payer's Name and Address:
TD BANK, N.A.
C/O FIDUCIARY TAX DEPARTMENT
307 MAIN STREET, P.O BOX 1330
HYANNIS, MA 02601

Recipient's Name and Address:
MCININCH FOUNDATION
ATTN: SHARI RIDLON
555 CANAL STREET
WALL STREET TOWER, SUITE 710
MANCHESTER, NH 03101

Cusip Number		Description	Date of Sale	Date of Acquisition	Sales Price of Stocks Bonds, etc.	Cost or Other Basis	Net Gain or Loss	Federal Income Tax Withheld
Noncovered *(Box 6 is checked)*		*(Box 9)*	*(Box 1a)*		*(Box 2)*			*(Box 4)*
88579Y101	20 0000	3M CO	03/04/2011	01/12/2005	1,830.44	1,690 00	140.44	0 00
002824100	30.0000	ABBOTT LABORATORIES	03/04/2011	01/12/2010	1,456.90	1,661.62	-204 72	0 00
001055102	20 0000	AFLAC INC	03/04/2011	01/12/2005	1,143.98	795.30	348 68	0 00
025816109	50 0000	AMERICAN EXPRESS CO	03/04/2011	01/12/2005	2,169 17	2,327.42	-158 25	0 00
032654105	40 0000	ANALOG DEVICES INC	03/04/2011	02/24/2009	1,618.53	750 76	867 77	0 00
037411105	10 0000	APACHE CORP COM	03/04/2011	12/26/2008	1,219.22	712 74	506 48	0.00
037604105	20 0000	APOLLO GROUP INC CL A	03/04/2011	12/26/2008	881.76	1,530.80	-649 04	0 00
037604105	175.0000	APOLLO GROUP INC CL A	05/09/2011	Various	7,099 06	11,101 06	-4,002 00	0 00
00206R102	40.0000	AT&T INC	03/04/2011	01/20/2009	1,111.05	1,004 73	106 32	0.00
052769106	90 0000	AUTODESK INC	02/11/2011	12/26/2008	3,847.89	1,707 09	2,140 80	0 00
052769106	90 0000	AUTODESK INC	02/14/2011	12/26/2008	3,856.68	1,707 09	2,149 59	0 00
052769106	40 0000	AUTODESK INC	03/04/2011	12/26/2008	1,619 80	758.71	861 09	0 00
053015103	30 0000	AUTOMATIC DATA PROCESSING INC	03/04/2011	12/26/2008	1,502.59	1,147 50	355 09	0.00
06050BAA9	10000 0000	BANK OF AMER CORP 3 125% 06/15/2012 DTD 12/04/08 FDIC GTY	02/25/2011	12/30/2008	10,343.30	10,451 70	-108 40	0 00
166764100	20 0000	CHEVRONTEXACO CORP	03/04/2011	01/20/2009	2,056.68	1,368.77	687 91	0 00
17275R102	70 0000	CISCO SYSTEMS INC	03/04/2011	01/12/2005	1,281 33	1,318 77	-37 44	0 00
194162103	20.0000	COLGATE PALMOLIVE	03/04/2011	12/26/2008	1,545 40	1,360 52	184 88	0 00
20825C104	20.0000	CONOCOPHILLIPS	03/04/2011	02/07/2006	1,590 17	1,206 20	383 97	0 00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2011 Tax Information Statement

Ref: GMS

Account Number: 659784011
Recipient's Tax ID Number: XX-XXX6053
Payer's Federal ID Number: 03-0349319

☐ Corrected ☐ 2nd TIN notice

Payer's Name and Address:
TD BANK, N.A.
C/O FIDUCIARY TAX DEPARTMENT
307 MAIN STREET, P.O. BOX 1330
HYANNIS, MA 02601

Recipient's Name and Address:
MCININCH FOUNDATION
ATTN: SHARI RIDLON
555 CANAL STREET
WALL STREET TOWER, SUITE 710
MANCHESTER, NH 03101

Cusip Number		Description (Box 9)	Date of Sale (Box 1a)	Date of Acquisition	Sales Price of Stocks, Bonds, etc. (Box 2)	Cost or Other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
Noncovered (Box 6 is checked)								
268648102	280 0000	E M C CORP MASS	02/11/2011	02/24/2009	7,562.82	3,054.80	4,508.02	0 00
268648102	70 0000	E M C CORP MASS	03/04/2011	02/24/2009	1,901.50	763.70	1,137.80	0 00
291011104	20 0000	EMERSON ELECTRIC CO	03/04/2011	01/12/2010	1,184.12	892.66	291.46	0.00
30231G102	30.0000	EXXON MOBIL CORP	03/04/2011	12/16/2009	2,544.74	2,087.09	457 65	0.00
3134A4VG6	10000.0000	FED HOME LN MTG 4 75% 11/17/2015 DTD 10/21/2005	08/26/2011	06/01/2009	11,562.80	10,704.20	858.60	0 00
3133MJUQ1	25000 0000	FEDERAL HME LN BK 4 875% 11/15/2011 DTD 11/15/01	11/15/2011	01/12/2005	25,000.00	25,777.34	-777.34	0.00
31398ADM1	10000 0000	FNMA 5 375% 06/12/2017 DTD 06/08/07	02/25/2011	06/08/2009	11,429 10	10,797 70	631.40	0 00
369604AY9	10000 0000	GE COMPANY 5 00% 02/01/2013 DTD 1/28/2003	03/29/2011	12/30/2008	10,659.10	10,357.90	301.20	0.00
369604103	50 0000	GENERAL ELECTRIC	03/04/2011	01/12/2005	1,008.72	1,770.00	-761 28	0.00
428236103	30 0000	HEWLETT PACKARD	03/04/2011	01/20/2009	1,267.18	1,002.91	264 27	0.00
437076102	30 0000	HOME DEPOT INC	03/04/2011	11/02/2009	1,108.90	744 89	364.01	0 00
452308109	30 0000	ILLINOIS TOOL WKS INC	03/04/2011	12/26/2008	1,631.83	1,024.66	607.17	0 00
458140100	70 0000	INTEL CORP	03/04/2011	12/26/2008	1,497.83	997.43	500.40	0 00
46625H100	40 0000	J P MORGAN CHASE & CO	03/04/2011	12/26/2008	1,809.34	1,187 66	621 68	0 00
478160104	20.0000	JOHNSON & JOHNSON	03/04/2011	12/26/2008	1,213.08	1,172.69	40.39	0 00
524901105	160 0000	LEGG MASON INC	02/11/2011	12/26/2008	5,678.95	3,196.64	2,482 31	0 00
524901105	60 0000	LEGG MASON INC	02/14/2011	12/26/2008	2,135.80	1,198.74	937.06	0.00

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2011 Tax Information Statement

Account Number: 659784011
Recipient's Tax ID Number: XX-XXX6053
Payer's Federal ID Number: 03-0349319

☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
MCININCH FOUNDATION
ATTN: SHARI RIDLON
555 CANAL STREET
WALL STREET TOWER, SUITE 710
MANCHESTER, NH 03101

Payer's Name and Address:
TD BANK, N A.
C/O FIDUCIARY TAX DEPARTMENT
307 MAIN STREET, P.O. BOX 1330
HYANNIS, MA 02601

Cusip Number		Description (Box 9)	Date of Sale (Box 1a)	Date of Acquisition	Sales Price of Stocks Bonds, etc. (Box 2)	Cost or Other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
Noncovered *(Box 6 is checked)*								
580135101	20 0000	MCDONALDS CORP	03/04/2011	12/26/2008	1,513 17	1,224.20	288.97	0.00
585055106	30 0000	MEDTRONIC INC	03/04/2011	01/12/2005	1,169 32	1,524.00	-354.68	0.00
594918104	60 0000	MICROSOFT CORP	03/04/2011	12/26/2008	1,548.11	1,150.79	397 32	0.00
61166W101	10 0000	MONSANTO CO NEW	03/04/2011	12/26/2008	725.19	678 03	47.16	0 00
683234WQ7	15000 0000	ONTARIO PROV CDA 4.5% 2/03/2015 DTD 2/03/2005	03/04/2011	Various	16,383.00	15,615.75	767 25	0.00
713448108	20 0000	PEPSICO	03/04/2011	01/12/2010	1,262 37	1,230.68	31 69	0 00
713448BF4	15000 0000	PEPSICO INC 5 15% 05/15/2012 DTD 05/21/2007	03/04/2011	Various	15,789.45	16,040 55	-251.10	0.00
718172109	30 0000	PHILIP MORRIS INTL INC COM	03/04/2011	01/12/2010	1,892.06	1,471.14	420 92	0 00
742718109	20 0000	PROCTER & GAMBLE CO	03/04/2011	01/12/2010	1,231.02	1,222.15	8.87	0 00
74834L100	20 0000	QUEST DIAGNOSTICS INC COM	03/04/2011	12/26/2008	1,129 18	1,006.18	123 00	0.00
816851109	20 0000	SEMPRA ENERGY COMMON	03/04/2011	12/26/2008	1,064.26	803.55	260.71	0 00
826552101	20 0000	SIGMA ALDRICH CORP	03/04/2011	01/20/2009	1,277.47	735.49	541 98	0.00
842587107	30 0000	SOUTHERN CO	03/04/2011	01/20/2009	1,131.89	1,051.77	80 12	0 00
857477103	20 0000	STATE STREET CORP COM	03/04/2011	12/26/2008	871.98	722 22	149 76	0 00
912828HC7	15000 0000	U S TREASURY NOTE 4 125% 08/31/2012 DTD 08/31/2007	08/25/2011	02/27/2009	15,609.38	16,300.78	-691 40	0 00
903914109	20 0000	ULTRA PETROLEUM CORP	03/04/2011	12/16/2009	870.64	1,016 13	-145 49	0.00
911312106	10 0000	UNITED PARCEL SVC INC CL B	03/04/2011	02/24/2009	717.58	432 98	284 60	0 00

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Recipient's Tax ID Number: XX-XXX6053
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307 MAIN STREET, P.O. BOX 1330
HYANNIS, MA 02601

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555 CANAL STREET
WALL STREET TOWER, SUITE 710
MANCHESTER, NH 03101

Cusip Number		Description	Date of Sale	Date of Acquisition	Sales Price of Stocks Bonds, etc.	Cost or Other Basis	Net Gain or Loss	Federal Income Tax Withheld
Noncovered *(Box 6 is checked)*		*(Box 9)*	*(Box 1a)*		*(Box 2)*			*(Box 4)*
913017109	20 0000	UNITED TECHNOLOGIES	03/04/2011	01/12/2005	1,645.09	1,011.35	633 74	0 00
91324P102	20 0000	UNITEDHEALTH GROUP INC COM	03/04/2011	01/12/2005	885.41	880 40	5 01	0 00
92343V104	20 0000	VERIZON COMMUNICATIONS	03/04/2011	01/20/2009	717.26	565 39	151.87	0 00
931142BV4	10000.0000	WAL-MART STORES 4.125% 02/15/2011 DTD 2/18/2004	02/15/2011	03/06/2009	10,000.00	10,465.90	-465 90	0 00
931142103	20 0000	WALMART STORES, INC	03/04/2011	12/26/2008	1,035.20	1,107.56	-72.36	0.00
254687106	40 0000	WALT DISNEY CO	03/04/2011	02/20/2007	1,725.57	1,384.70	340.87	0.00
988498101	10 0000	YUM BRANDS INC	03/04/2011	12/26/2008	506.90	303.20	203.70	0 00
98956P102	20.0000	ZIMMER HLDGS INC	03/04/2011	02/24/2009	1,248.27	801.43	446 84	0 00
Total Long Term 15% Sales Reported on 1099-B Report on Form 8949, Part II, with Box B checked					**217,319.53**	**198,078.11**	**19,241.42**	**0.00**
Long Term 28% Sales Reported on 1099-B								
78463V107	350 0000	SPDR GOLD TRUST	01/05/2011	Various	16.57	0.00	16 57	0.00
78463V107	350 0000	SPDR GOLD TRUST	02/04/2011	Various	15.58	0.00	15 58	0.00
78463V107	350 0000	SPDR GOLD TRUST	03/03/2011	Various	13.65	0 00	13.65	0 00
78463V107	340 0000	SPDR GOLD TRUST	04/07/2011	Various	15.45	0.00	15 45	0.00
78463V107	340 0000	SPDR GOLD TRUST	05/09/2011	Various	16 62	0.00	16 62	0 00
78463V107	340 0000	SPDR GOLD TRUST	06/08/2011	Various	16.40	0 00	16 40	0 00
78463V107	340 0000	SPDR GOLD TRUST	07/08/2011	Various	16 73	0.00	16.73	0 00

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Recipient's Tax ID Number: XX-XXX6053
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307 MAIN STREET, P.O. BOX 1330
HYANNIS, MA 02601

Cusip Number		Description	Date of Sale	Date of Acquisition	Sales Price of Stocks Bonds, etc.	Cost or Other Basis	Net Gain or Loss	Federal Income Tax Withheld
Noncovered *(Box 6 is checked)*		*(Box 9)*	*(Box 1a)*		*(Box 2)*			*(Box 4)*
78463V107	340 0000	SPDR GOLD TRUST	08/10/2011	Various	17.17	0.00	17.17	0.00
78463V107	340.0000	SPDR GOLD TRUST	09/09/2011	Various	19.18	0.00	19 18	0.00
78463V107	340.0000	SPDR GOLD TRUST	10/13/2011	Various	21.55	0.00	21.55	0 00
78463V107	340.0000	SPDR GOLD TRUST	11/14/2011	Various	17.95	0.00	17.95	0.00
78463V107	340 0000	SPDR GOLD TRUST	12/09/2011	Various	17.91	0.00	17.91	0.00
					204.76	**0.00**	**204.76**	**0.00**

Report on Form 8949, Part II, with Box B checked

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REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	(24,570 54)	Net Covered Long-Term Gains (Losses)	0 00	Net Ordinary Gains (Losses)	0 00
Net NonCovered Short-Term Gains (Losses)	14,968 17	Net NonCovered Long-Term Gains (Losses)	20,549 56		
Net 1099B Non Reportable Short-Term Gains (Losses)	0 00	Net 1099B Non Reportable Long-Term Gains (Losses)	0 00		
Net Miscellaneous Short-Term Gains (Losses)	0 00	Net Miscellaneous Long-Term Gains (Losses)	0 00	Net Miscellaneous Ordinary Gains (Losses)	0 00
Net Regulated Futures Contract Short-Term Gains (Losses)	0 00	Net Regulated Futures Contract Long-Term Gains (Losses)	0 00		
Total Short-Term Gains (Losses)	**(9,602.37)**	**Total Long-Term Gains (Losses)**	**20,549 56**	**Total Ordinary Gains (Losses)**	**0 00**

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
WEATHERFORD INTERNATIONAL LTD CMN (H27013103)	01/28/2011	03/02/2011	17 00	344 31	0 00	388 45	(44 14)
WEATHERFORD INTERNATIONAL LTD CMN (H27013103)	01/31/2011	03/02/2011	179 00	3,625 42	0 00	4,153 23	(527 81)
CLIFFS NATURAL RESOURCES INC CMN (18683K101)	01/14/2011	03/11/2011	33 00	2,867 52	0 00	2,868 14	(0 62)
CLIFFS NATURAL RESOURCES INC CMN (18683K101) Disallowed Loss							(0 62)
YUM BRANDS, INC CMN (988498101)	01/10/2011	04/12/2011	27 00	1,353 16	0 00	1,330 93	22 23
YUM BRANDS, INC CMN (988498101)	01/07/2011	04/12/2011	109 00	5,462 75	0 00	5,386 88	75 87
HESS CORPORATION CMN (42809H107)	02/24/2011	05/04/2011	56 00	4,330 03	0 00	4,688 71	(358 68)
HESS CORPORATION CMN (42809H107)	02/24/2011	05/04/2011	109 00	8,428 10	0 00	9,196 59	(768 49)
NIKE CLASS-B CMN CLASS B (654106103)	04/08/2011	06/22/2011	28 00	2,297 32	0 00	2,176 88	120 44
NIKE CLASS-B CMN CLASS B (654106103)	04/08/2011	06/23/2011	62 00	5,027 53	0 00	4,820 23	207 30
CLIFFS NATURAL RESOURCES INC CMN (18683K101)	01/14/2011	07/28/2011	52 00	4,718 58	0 00	4,519 49	199 09
FIFTH THIRD BANCORP CMN (316773100)	07/20/2011	08/10/2011	293 00	2,867 15	0 00	3,630 82	(763 67)
CONOCOPHILLIPS CMN (20825C104)	03/23/2011	08/12/2011	63 00	4,163 61	0 00	4,939 98	(776 37)
CONOCOPHILLIPS CMN (20825C104)	03/28/2011	08/15/2011	44 00	2,955 74	0 00	3,528 06	(572 32)
CONOCOPHILLIPS CMN (20825C104)	03/23/2011	08/15/2011	52 00	3,493 15	0 00	4,077 44	(584 29)
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	01/20/2011	08/15/2011	45 00	3,125 17	0 00	3,698 17	(573 00)

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

Goldman Sachs

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
BAKER HUGHES INC CMN (057224107)	05/31/2011	08/19/2011	98 00	5,379 16	0 00	7,339 25	(1,960 09)
PPL CORPORATION CMN (69351T106)	04/12/2011	08/29/2011	18 00	508 31	0 00	469 95	38 36
SCHLUMBERGER LTD CMN (806857108)	07/08/2011	09/23/2011	51 00	3,129 09	0 00	4,566 48	(1,437 39)
RANGE RESOURCES CORPORATION CMN (75281A109)	07/28/2011	09/28/2011	55 00	3,349 90	0 00	3,606 78	(256 88)
CLIFFS NATURAL RESOURCES INC CMN (18683K101)	03/01/2011	09/29/2011	14 00	766 51	0 00	1,350 98	(584 47)
CLIFFS NATURAL RESOURCES INC CMN (18683K101)	01/14/2011	09/29/2011	18 00	985 51	0 00	1,564 44	(578 92)
CLIFFS NATURAL RESOURCES INC CMN (18683K101)	01/14/2011	09/29/2011	33 00	1,806 78	0 00	3,185 07	(1,378 29)
RANGE RESOURCES CORPORATION CMN (75281A109)	07/28/2011	09/29/2011	27 00	1,562 28	0 00	1,770 60	(208 32)
CELGENE CORPORATION CMN (151020104)	02/16/2011	10/06/2011	71 00	4,481 32	0 00	3,818 18	663 14
LYONDELLBASELL INDUSTRIES N V CMN CLASS A (N53745100)	05/17/2011	10/10/2011	146 00	3,920 82	0 00	5,657 36	(1,736 54)
LYONDELLBASELL INDUSTRIES N V CMN CLASS A (N53745100) Disallowed Loss							(1,736 54)
MICROSOFT CORPORATION CMN (594918104)	02/18/2011	10/10/2011	143 00	3,828 28	0 00	3,865 82	(37 54)
CELGENE CORPORATION CMN (151020104)	02/16/2011	10/19/2011	52 00	3,410 39	0 00	2,796 41	613 98
CONOCOPHILLIPS CMN (20825C104)	03/28/2011	10/25/2011	14 00	994 91	0 00	1,122 57	(127 65)
CONOCOPHILLIPS CMN (20825C104)	05/03/2011	10/25/2011	45 00	3,197 94	0 00	3,382 53	(184 60)
CONOCOPHILLIPS CMN (20825C104)	03/31/2011	10/25/2011	58 00	4,121 78	0 00	4,678 06	(556 28)
CENTURYLINK INC CMN (156700106)	01/31/2011	10/27/2011	94 00	3,313 99	0 00	4,076 71	(762 72)
KLA-TENCOR CORPORATION CMN (482480100)	07/26/2011	10/28/2011	104 00	4,900 14	0 00	4,589 47	310 67
CONAGRA INC CMN (205887102)	08/01/2011	10/31/2011	256 00	6,518 74	0 00	6,526 53	(7 79)
COCA-COLA ENTERPRISES, INC CMN (19122T109)	06/22/2011	11/03/2011	102 00	2,662 23	0 00	2,983 42	(321 19)
RANGE RESOURCES CORPORATION CMN (75281A109)	07/28/2011	11/03/2011	22 00	1,556 71	0 00	1,442 71	114 00
RANGE RESOURCES CORPORATION CMN (75281A109)	08/09/2011	11/03/2011	71 00	5,023 92	0 00	3,851 47	1,172 45
CENTURYLINK INC CMN (156700106)	01/31/2011	11/04/2011	115 00	4,136 48	0 00	4,987 47	(850 99)
COCA-COLA ENTERPRISES, INC CMN (19122T109)	06/22/2011	11/04/2011	130 00	3,359 80	0 00	3,802 40	(442 60)

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
LEAR CORPORATION CMN (521865204)	10/03/2011	11/22/2011	128 00	5,101 80	0 00	5,340 99	(239 19)
BANK OF AMERICA CORP CMN (060505104)	05/17/2011	11/25/2011	63 00	332 30	0 00	746 00	(413 70)
BANK OF AMERICA CORP CMN (060505104)	05/17/2011	11/28/2011	140 00	771 77	0 00	1,657 78	(886 01)
BANK OF AMERICA CORP CMN (060505104)	05/17/2011	11/30/2011	283 00	1,501 51	0 00	3,351 08	(1,849 57)
ADOBE SYSTEMS INC CMN (00724F101)	04/27/2011	12/01/2011	153 00	4,128 51	0 00	5,133 99	(1,005 48)
ALLSTATE CORPORATION COMMON STOCK (020002101)	03/01/2011	12/01/2011	148 00	3,896 76	0 00	4,713 01	(816 25)
ALTERA CORP CMN (021441100)	10/25/2011	12/01/2011	176 00	6,559 53	0 00	6,746 54	(187 01)
AMERIPRISE FINANCIAL, INC CMN (03076C106)	08/16/2011	12/01/2011	88 00	3,971 00	0 00	3,949 76	21 24
AMERIPRISE FINANCIAL, INC CMN (03076C106)	03/24/2011	12/01/2011	115 00	5,189 38	0 00	6,904 41	(1,715 03)
BOEING COMPANY CMN (097023105)	01/27/2011	12/01/2011	67 00	4,723 12	0 00	4,739 61	(16 49)
BOSTON SCIENTIFIC CORP COMMON STOCK (101137107)	10/19/2011	12/01/2011	677 00	4,006 49	0 00	3,909 91	96 58
BOSTON SCIENTIFIC CORP COMMON STOCK (101137107)	05/17/2011	12/01/2011	763 00	4,515 44	0 00	5,181 55	(666 11)
BOSTON SCIENTIFIC CORP COMMON STOCK (101137107)	03/01/2011	12/01/2011	935 00	5,533 34	0 00	7,112 87	(1,579 53)
CAMERON INTERNATIONAL CORP CMN (13342B105)	03/07/2011	12/01/2011	150 00	8,002 54	0 00	9,306 14	(1,303 60)
CBS CORPORATION CMN CLASS B (124857202)	10/10/2011	12/01/2011	155 00	3,941 45	0 00	3,531 05	410 40
CBS CORPORATION CMN CLASS B (124857202)	08/08/2011	12/01/2011	347 00	8,823 76	0 00	7,533 33	1,290 43
CELGENE CORPORATION CMN (151020104)	02/16/2011	12/01/2011	9 00	561 68	0 00	484 00	77 69
CELGENE CORPORATION CMN (151020104)	05/17/2011	12/01/2011	111 00	6,927 44	0 00	6,693 96	233 48
CHEVRON CORPORATION CMN (166764100)	09/28/2011	12/01/2011	38 00	3,851 69	0 00	3,542 02	309 67
CHEVRON CORPORATION CMN (166764100)	09/29/2011	12/01/2011	40 00	4,054 41	0 00	3,727 99	326 42
CHEVRON CORPORATION CMN (166764100)	09/23/2011	12/01/2011	82 00	8,311 54	0 00	7,421 33	890 21
CISCO SYSTEMS, INC CMN (17275R102)	10/06/2011	12/01/2011	216 00	3,989 46	0 00	3,538 19	451 27
CISCO SYSTEMS, INC CMN (17275R102)	10/04/2011	12/01/2011	579 00	10,693 96	0 00	8,756 87	1,937 09
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	01/25/2011	12/01/2011	49 00	3,178 70	0 00	4,163 93	(985 23)
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	03/01/2011	12/01/2011	50 00	3,243 58	0 00	4,522 79	(1,279 21)

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Goldman Sachs

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	11/11/2011	12/01/2011	55 00	3,567 93	0 00	3,781 83	(213 90)
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	02/01/2011	12/01/2011	80 00	5,189 72	0 00	7,144 62	(1,954 90)
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	01/20/2011	12/01/2011	93 00	6,033 05	0 00	7,642 88	(1,609 83)
EMC CORPORATION MASS CMN (268648102)	09/14/2011	12/01/2011	198 00	4,577 43	0 00	4,376 52	200 91
EQT CORPORATION CMN (26884L109)	10/18/2011	12/01/2011	52 00	3,218 93	0 00	3,381 96	(163 03)
EQT CORPORATION CMN (26884L109)	09/01/2011	12/01/2011	61 00	3,776 05	0 00	3,714 01	62 04
EQT CORPORATION CMN (26884L109)	08/18/2011	12/01/2011	105 00	6,499 77	0 00	5,651 27	848 50
EXXON MOBIL CORPORATION CMN (30231G102)	09/30/2011	12/01/2011	43 00	3,427 69	0 00	3,181 76	245 93
EXXON MOBIL CORPORATION CMN (30231G102)	08/12/2011	12/01/2011	53 00	4,224 83	0 00	3,853 03	371 80
EXXON MOBIL CORPORATION CMN (30231G102)	08/11/2011	12/01/2011	55 00	4,384 26	0 00	3,789 61	594 65
EXXON MOBIL CORPORATION CMN (30231G102)	08/05/2011	12/01/2011	108 00	8,609 09	0 00	8,123 65	485 44
FIFTH THIRD BANCORP CMN (316773100)	07/20/2011	12/01/2011	700 00	8,343 81	0 00	8,674 32	(330 51)
FREEPORT-MCMORAN COPPER & GOLD CMN (35671D857)	11/02/2011	12/01/2011	152 00	5,920 57	0 00	5,987 08	(66 51)
GOOGLE, INC CMN CLASS A (38259P508)	01/14/2011	12/01/2011	7 00	4,282 64	0 00	4,360 07	(77 43)
GOOGLE, INC CMN CLASS A (38259P508)	06/22/2011	12/01/2011	10 00	6,118 06	0 00	4,908 17	1,209 89
JUNIPER NETWORKS, INC CMN (48203R104)	10/26/2011	12/01/2011	150 00	3,345 85	0 00	3,477 96	(132 11)
JUNIPER NETWORKS, INC CMN (48203R104)	07/27/2011	12/01/2011	269 00	6,000 22	0 00	6,633 72	(633 50)
LIBERTY GLOBAL INC CMN CLASS A (530555101)	10/10/2011	12/01/2011	21 00	828 84	0 00	784 23	44 61
LIBERTY GLOBAL INC CMN CLASS A (530555101)	10/11/2011	12/01/2011	52 00	2,052 38	0 00	1,981 62	70 76
LIBERTY GLOBAL INC CMN CLASS A (530555101)	10/12/2011	12/01/2011	74 00	2,920 69	0 00	2,847 09	73 60
LIBERTY INTERACTIVE CORP INTERACTIVE CMN CLASS A (53071M104)	06/07/2011	12/01/2011	434 00	6,992 42	0 00	7,607 63	(615 21)
LYONDELLBASELL INDUSTRIES N V CMN CLASS A (N53745100)	10/19/2011	12/01/2011	8 00	258 11	0 00	184 46	73 64
LYONDELLBASELL INDUSTRIES N V CMN CLASS A (N53745100)	05/17/2011	12/01/2011	98 00	3,161 78	0 00	3,354 30	(192 51)
LYONDELLBASELL INDUSTRIES N V CMN CLASS A (N53745100)	08/09/2011	12/01/2011	123 00	3,968 36	0 00	3,171 59	796 77
LYONDELLBASELL INDUSTRIES N V CMN CLASS A (N53745100)	05/17/2011	12/01/2011	146 00	4,710 41	0 00	5,102 95	(392 54)

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
MAXIM INTEGRATED PRODUCTS INC CMN (57772K101)	07/22/2011	12/01/2011	114 00	2,892 37	0 00	2,703 52	188 85
MAXIM INTEGRATED PRODUCTS INC CMN (57772K101)	07/25/2011	12/01/2011	199 00	5,048 95	0 00	4,672 93	376 02
MERCK & CO , INC CMN (58933Y105)	05/17/2011	12/01/2011	184 00	6,557 65	0 00	6,803 02	(245 38)
MICROSOFT CORPORATION CMN (594918104)	02/18/2011	12/01/2011	208 00	5,268 56	0 00	5,623 00	(354 44)
MICROSOFT CORPORATION CMN (594918104)	04/27/2011	12/01/2011	218 00	5,521 86	0 00	5,728 34	(206 48)
MORGAN STANLEY CMN (617446448)	11/01/2011	12/01/2011	344 00	4,966 42	0 00	5,579 30	(612 88)
NATIONAL OILWELL VARCO, INC COMMON STOCK CMN (637071101)	10/26/2011	12/01/2011	87 00	6,218 64	0 00	6,002 06	216 58
NETAPP, INC CMN (64110D104)	11/18/2011	12/01/2011	167 00	6,110 23	0 00	5,875 37	234 86
NVIDIA CORP CMN (67066G104)	06/22/2011	12/01/2011	423 00	6,582 65	0 00	6,747 54	(164 89)
OCCIDENTAL PETROLEUM CORP CMN (674599105)	04/01/2011	12/01/2011	41 00	3,962 13	0 00	4,328 32	(366 19)
PEPSICO INC CMN (713448108)	03/01/2011	12/01/2011	74 00	4,726 71	0 00	4,706 03	20 68
PEPSICO INC CMN (713448108)	10/06/2011	12/01/2011	74 00	4,726 71	0 00	4,441 87	284 84
PFIZER INC CMN (717081103)	11/07/2011	12/01/2011	191 00	3,805 87	0 00	3,799 45	6 42
PFIZER INC CMN (717081103)	10/07/2011	12/01/2011	824 00	16,419 03	0 00	15,304 73	1,114 30
PPL CORPORATION CMN (69351T106)	05/17/2011	12/01/2011	143 00	4,285 50	0 00	4,014 99	270 51
PPL CORPORATION CMN (69351T106)	04/12/2011	12/01/2011	342 00	10,249 23	0 00	8,928 96	1,320 27
PUBLIC-SVC ENTERPRISE GROUP HOLDING CO (744573106)	08/01/2011	12/01/2011	44 00	1,442 27	0 00	1,443 27	(1 00)
PUBLIC-SVC ENTERPRISE GROUP HOLDING CO (744573106)	08/02/2011	12/01/2011	154 00	5,047 95	0 00	4,986 45	61 50
SPRINT NEXTEL CORPORATION CMN (852061100)	03/03/2011	12/01/2011	1,180 00	3,133 59	0 00	5,148 55	(2,014 96)
STARWOOD HOTELS & RESORTS CMN (85590A401)	10/27/2011	12/01/2011	116 00	5,447 60	0 00	6,032 68	(585 08)
SUNTRUST BANKS INC $1 00 PAR CMN (867914103)	05/17/2011	12/01/2011	138 00	2,453 03	0 00	3,864 91	(1,411 88)
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	03/01/2011	12/01/2011	98 00	3,914 54	0 00	4,930 17	(1,015 63)
THE HOME DEPOT, INC CMN (437076102)	11/22/2011	12/01/2011	205 00	8,066 17	0 00	7,627 25	438 92
THE MOSAIC COMPANY CMN (61945C103)	07/08/2011	12/01/2011	101 00	5,335 14	0 00	7,116 73	(1,781 59)
THERMO FISHER SCIENTIFIC INC CMN (883556102)	10/13/2011	12/01/2011	261 00	12,322 17	0 00	14,081 21	(1,759 04)

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Goldman Sachs

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
UNILEVER N V NY SHS (NEW) ADR CMN (904784709)	03/01/2011	12/01/2011	181 00	6,058 21	0 00	5,479 24	578 97
UNILEVER N V NY SHS (NEW) ADR CMN (904784709)	01/06/2011	12/01/2011	202 00	6,761 10	0 00	6,154 43	606 67
WALT DISNEY COMPANY (THE) CMN (254687106)	10/12/2011	12/01/2011	56 00	2,012 99	0 00	1,903 22	109 77
WALT DISNEY COMPANY (THE) CMN (254687106)	08/16/2011	12/01/2011	107 00	3,846 25	0 00	3,585 75	260 50
WALT DISNEY COMPANY (THE) CMN (254687106)	07/18/2011	12/01/2011	482 00	17,326 11	0 00	18,610 87	(1,284 76)
WILLIAMS COMPANIES INC (THE) CMN (969457100)	11/04/2011	12/01/2011	101 00	3,244 72	0 00	3,167 46	77 26
WILLIAMS COMPANIES INC (THE) CMN (969457100)	11/02/2011	12/01/2011	160 00	5,140 15	0 00	4,811 92	328 23
TRANSOCEAN LTD CMN (H8817H100)	12/01/2011	12/02/2011	138 00	6,032 43	0 00	5,965 59	66 84
Net Covered Short-Term Disallowed Losses							**(1,737.16)**
Net Covered Short-Term Gains (Losses)				**551,125.51**	**0.00**	**577,433.22**	**(24,570.54)**
NonCovered Short-Term Gains (Losses)							
POLO RALPH LAUREN CORPORATION CLASS A COMMON STOCK (731572103)	10/25/2010	01/05/2011	53 00	5,746 07	0 00	5,042 95	703 12
KOHL'S CORP (WISCONSIN) CMN (500255104)	11/05/2010	01/13/2011	124 00	6,377 73	0 00	6,573 73	(196 00)
LYONDELLBASELL INDUSTRIES N V CMN CLASS A (N53745100)	11/05/2010	01/14/2011	125 00	4,535 83	0 00	3,525 96	1,009 87
FREEPORT-MCMORAN COPPER & GOLD CMN (35671D857)	10/12/2010	01/20/2011	41 00	4,541 35	0 00	3,882 12	659 23
FREEPORT-MCMORAN COPPER & GOLD CMN (35671D857)	10/12/2010	01/20/2011	46 00	5,065 74	0 00	4,355 55	710 19
LYONDELLBASELL INDUSTRIES N V CMN CLASS A (N53745100)	11/05/2010	01/21/2011	125 00	4,389 97	0 00	3,525 96	864 01
RANGE RESOURCES CORPORATION CMN (75281A109)	05/10/2010	01/21/2011	47 00	2,141 84	0 00	2,248 33	(106 49)
RANGE RESOURCES CORPORATION CMN (75281A109)	06/08/2010	01/21/2011	105 00	4,784 97	0 00	4,903 50	(118 53)
ARCHER DANIELS MIDLAND CO CMN (039483102)	06/08/2010	02/02/2011	40 00	1,409 74	0 00	981 60	428 14
ARCHER DANIELS MIDLAND CO CMN (039483102)	06/15/2010	02/02/2011	67 00	2,361 32	0 00	1,786 01	575 30
ARCHER DANIELS MIDLAND CO CMN (039483102)	06/16/2010	02/02/2011	212 00	7,471 63	0 00	5,649 26	1,822 37
BMC SOFTWARE INC CMN (055921100)	06/08/2010	02/22/2011	20 00	995 35	0 00	703 60	291 75
BMC SOFTWARE INC CMN (055921100)	06/16/2010	02/22/2011	80 00	3,981 38	0 00	2,996 55	984 83

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Goldman Sachs

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Short-Term Gains (Losses)							
BMC SOFTWARE INC CMN (055921100)	06/16/2010	02/23/2011	50 00	2,412 14	0 00	1,872 85	539 29
WEATHERFORD INTERNATIONAL LTD CMN (H27013103)	12/21/2010	02/28/2011	199 00	4,708 78	0 00	4,501 61	207 17
AFLAC INCORPORATED CMN (001055102)	06/08/2010	03/01/2011	27 00	1,585 55	0 00	1,085 67	499 88
BAXTER INTERNATIONAL INC CMN (071813109)	05/07/2010	03/01/2011	53 00	2,786 94	0 00	2,395 51	391 43
EMERSON ELECTRIC CO CMN (291011104)	06/08/2010	03/01/2011	97 00	5,763 41	0 00	4,232 11	1,531 30
ORACLE CORPORATION CMN (68389X105)	06/08/2010	03/01/2011	88 00	2,857 94	0 00	1,904 32	953 62
SCHLUMBERGER LTD CMN (806857108)	10/27/2010	03/01/2011	54 00	5,047 03	0 00	3,727 42	1,319 61
WEATHERFORD INTERNATIONAL LTD CMN (H27013103)	12/21/2010	03/02/2011	2 00	40 51	0 00	45 24	(4 74)
WEATHERFORD INTERNATIONAL LTD CMN (H27013103)	12/22/2010	03/02/2011	192 00	3,888 71	0 00	4,360 34	(471 63)
OCCIDENTAL PETROLEUM CORP CMN (674599105)	05/03/2010	03/23/2011	16 00	1,606 45	0 00	1,444 47	161 98
BIOGEN IDEC INC CMN (09062X103)	06/08/2010	03/25/2011	70 00	5,018 32	0 00	3,325 00	1,693 32
BAXTER INTERNATIONAL INC CMN (071813109)	05/07/2010	04/08/2011	17 00	914 66	0 00	768 37	146 29
BAXTER INTERNATIONAL INC CMN (071813109)	06/08/2010	04/08/2011	115 00	6,187 38	0 00	4,677 24	1,510 14
NEXTERA ENERGY INC CMN (65339F101)	10/14/2010	04/15/2011	60 00	3,305 18	0 00	3,323 80	(18 62)
NEXTERA ENERGY INC CMN (65339F101)	10/14/2010	04/18/2011	53 00	2,894 83	0 00	2,936 03	(41 20)
EMC CORPORATION MASS CMN (268648102)	04/30/2010	04/27/2011	163 00	4,584 56	0 00	3,154 25	1,430 31
NEWFIELD EXPLORATION CO CMN (651290108)	06/08/2010	05/04/2011	11 00	726 55	0 00	546 96	179 60
THE BANK OF NY MELLON CORP CMN (064058100)	06/08/2010	05/12/2011	91 00	2,588 07	0 00	2,317 77	270 30
DOW CHEMICAL CO CMN (260543103)	06/08/2010	05/17/2011	25 00	915 99	0 00	606 25	309 74
OCCIDENTAL PETROLEUM CORP CMN (674599105)	05/27/2010	05/25/2011	20 00	2,061 64	0 00	1,631 69	429 95
CBS CORPORATION CMN CLASS B (124857202)	06/08/2010	06/03/2011	147 00	3,998 95	0 00	1,960 98	2,037 97
NEWELL RUBBERMAID INC CMN (651229106)	06/08/2010	06/07/2011	127 00	1,840 18	0 00	2,014 49	(174 31)
PEPSICO INC CMN (713448108)	07/01/2010	06/22/2011	10 00	690 17	0 00	611 49	78 68
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	08/18/2010	06/22/2011	127 00	8,138 44	0 00	7,687 53	450 91
COMCAST CORPORATION CMN CLASS A VOTING (20030N101)	08/12/2010	07/18/2011	240 00	5,704 97	0 00	4,346 29	1,358 68

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Short-Term Gains (Losses)							
PNC FINANCIAL SERVICES GROUP CMN (693475105)	10/19/2010	07/19/2011	25 00	1,394 20	0 00	1,324 81	69 39
PNC FINANCIAL SERVICES GROUP CMN (693475105)	10/20/2010	07/19/2011	52 00	2,899 92	0 00	2,729 00	170 92
PNC FINANCIAL SERVICES GROUP CMN (693475105)	12/13/2010	07/19/2011	64 00	3,569 14	0 00	3,860 27	(291 13)
PNC FINANCIAL SERVICES GROUP CMN (693475105)	09/28/2010	07/19/2011	116 00	6,469 06	0 00	6,062 22	406 84
CBS CORPORATION CMN CLASS B (124857202)	12/08/2010	08/03/2011	179 00	4,748 73	0 00	3,217 31	1,531 42
DOW CHEMICAL CO CMN (260543103)	10/12/2010	08/04/2011	168 00	5,113 61	0 00	4,974 32	139 29
CBS CORPORATION CMN CLASS B (124857202)	12/08/2010	08/05/2011	91 00	2,172 44	0 00	1,635 62	536 82
CBS CORPORATION CMN CLASS B (124857202)	12/13/2010	08/05/2011	149 00	3,557 07	0 00	2,689 23	867 84
SUNTRUST BANKS INC $1 00 PAR CMN (867914103)	12/20/2010	08/10/2011	59 00	1,078 62	0 00	1,597 94	(519 32)
SCHLUMBERGER LTD CMN (806857108)	11/01/2010	08/11/2011	9 00	669 79	0 00	629 18	40 61
SCHLUMBERGER LTD CMN (806857108)	10/27/2010	08/11/2011	36 00	2,679 16	0 00	2,484 95	194 21
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	11/11/2010	08/29/2011	15 00	604 93	0 00	760 91	(155 98)
SCHLUMBERGER LTD CMN (806857108)	11/01/2010	09/23/2011	49 00	3,006 38	0 00	3,425 55	(419 17)
GENERAL MOTORS COMPANY CMN (37045V100)	11/18/2010	09/28/2011	154 00	3,144 93	0 00	5,472 53	(2,327 60)
GENERAL MOTORS COMPANY CMN (37045V100)	11/18/2010	09/29/2011	82 00	1,705 25	0 00	2,913 95	(1,208 70)
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	11/12/2010	11/02/2011	44 00	1,715 31	0 00	2,219 14	(503 84)
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	11/11/2010	11/02/2011	68 00	2,650 93	0 00	3,449 48	(798 55)
BOSTON PROPERTIES INC COMMON STOCK (101121101)	08/10/2011	12/01/2011	80 00	7,495 31	0 00	7,665 44	(170 13)
GENERAL ELECTRIC CO CMN (369604103)	12/23/2010	12/01/2011	235 00	3,719 73	0 00	4,242 95	(523 22)
JPMORGAN CHASE & CO CMN (46625H100)	12/13/2010	12/01/2011	109 00	3,283 76	0 00	4,505 43	(1,221 67)
P G & E CORPORATION CMN (69331C108)	12/03/2010	12/01/2011	102 00	3,940 81	0 00	4,879 48	(938 68)
SIMON PROPERTY GROUP INC CMN (828806109)	09/23/2011	12/01/2011	50 00	6,125 56	0 00	5,581 19	544 37
SUNTRUST BANKS INC $1 00 PAR CMN (867914103)	12/21/2010	12/01/2011	34 00	604 37	0 00	946 43	(342 06)
SUNTRUST BANKS INC $1 00 PAR CMN (867914103)	12/22/2010	12/01/2011	34 00	604 37	0 00	980 19	(375 82)
SUNTRUST BANKS INC $1 00 PAR CMN (867914103)	12/23/2010	12/01/2011	76 00	1,350 95	0 00	2,187 73	(836 78)

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Short-Term Gains (Losses)							
SUNTRUST BANKS INC $1 00 PAR CMN (867914103)	12/20/2010	12/01/2011	127 00	2,257 50	0 00	3,439 62	(1,182 12)
U S BANCORP CMN (902973304)	12/13/2010	12/01/2011	197 00	5,037 27	0 00	5,173 50	(136 23)
Net NonCovered Short-Term Gains (Losses)				**215,669.37**	**0.00**	**200,701.17**	**14,968.17**

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
BAXTER INTERNATIONAL INC CMN (071813109)	06/05/2009	01/13/2011	11 00	553 94	0 00	523 09	30 85
BAXTER INTERNATIONAL INC CMN (071813109)	08/26/2008	01/13/2011	40 00	2,014 33	0 00	2,735 60	(721 27)
BAXTER INTERNATIONAL INC CMN (071813109)	08/21/2008	01/13/2011	98 00	4,935 10	0 00	6,801 20	(1,866 10)
OCCIDENTAL PETROLEUM CORP CMN (674599105)	03/27/2009	01/25/2011	46 00	4,433 87	0 00	2,670 74	1,763 13
OCCIDENTAL PETROLEUM CORP CMN (674599105)	06/26/2009	01/31/2011	16 00	1,533 97	0 00	1,034 90	499 07
OCCIDENTAL PETROLEUM CORP CMN (674599105)	06/25/2009	01/31/2011	40 00	3,834 91	0 00	2,605 80	1,229 11
BIOGEN IDEC INC CMN (09062X103)	08/04/2009	02/16/2011	10 00	672 32	0 00	484 46	187 85
BIOGEN IDEC INC CMN (09062X103)	01/21/2009	02/16/2011	18 00	1,210 17	0 00	902 84	307 33
BIOGEN IDEC INC CMN (09062X103)	08/03/2009	02/16/2011	35 00	2,353 11	0 00	1,684 53	668 58
BIOGEN IDEC INC CMN (09062X103)	03/10/2009	02/16/2011	92 00	6,185 31	0 00	4,214 75	1,970 56
OCCIDENTAL PETROLEUM CORP CMN (674599105)	08/13/2009	02/24/2011	23 00	2,391 03	0 00	1,619 79	771 24
OCCIDENTAL PETROLEUM CORP CMN (674599105)	06/26/2009	02/24/2011	31 00	3,222 69	0 00	2,005 12	1,217 57
AFLAC INCORPORATED CMN (001055102)	08/26/2009	03/01/2011	29 00	1,703 00	0 00	1,193 41	509 59
AFLAC INCORPORATED CMN (001055102)	08/27/2009	03/01/2011	32 00	1,879 18	0 00	1,316 02	563 16
BAXTER INTERNATIONAL INC CMN (071813109)	06/05/2009	03/01/2011	95 00	4,995 45	0 00	4,517 56	477 89
EMERSON ELECTRIC CO CMN (291011104)	11/18/2009	03/01/2011	25 00	1,485 41	0 00	1,060 33	425 08
GENERAL MILLS INC CMN (370334104)	12/15/2008	03/01/2011	63 00	2,321 36	0 00	1,899 12	422 24

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
GENERAL MILLS INC CMN (370334104)	01/22/2010	03/01/2011	65 00	2,395 06	0 00	2,320 89	74 17
HONEYWELL INTL INC CMN (438516106)	10/15/2009	03/01/2011	11 00	631 48	0 00	410 55	220 94
HONEYWELL INTL INC CMN (438516106)	07/15/2009	03/01/2011	23 00	1,320 38	0 00	744 57	575 80
HONEYWELL INTL INC CMN (438516106)	10/14/2009	03/01/2011	47 00	2,698 16	0 00	1,752 67	945 49
ORACLE CORPORATION CMN (68389X105)	12/28/2009	03/01/2011	98 00	3,182 70	0 00	2,441 22	741 49
NEWFIELD EXPLORATION CO CMN (651290108)	10/05/2009	03/23/2011	24 00	1,758 33	0 00	1,049 20	709 13
OCCIDENTAL PETROLEUM CORP CMN (674599105)	08/13/2009	03/23/2011	25 00	2,510 08	0 00	1,760 64	749 44
INVESCO LTD CMN (G491BT108)	03/20/2008	03/24/2011	9 00	232 89	0 00	216 05	16 84
INVESCO LTD CMN (G491BT108)	07/18/2008	03/24/2011	24 00	621 03	0 00	553 97	67 06
INVESCO LTD CMN (G491BT108)	07/22/2008	03/24/2011	30 00	776 29	0 00	695 04	81 25
INVESCO LTD CMN (G491BT108)	07/21/2008	03/24/2011	41 00	1,060 93	0 00	960 24	100 69
INVESCO LTD CMN (G491BT108)	08/21/2008	03/24/2011	76 00	1,966 60	0 00	1,903 80	62 80
BIOGEN IDEC INC CMN (09062X103)	08/04/2009	03/25/2011	49 00	3,512 83	0 00	2,373 88	1,138 95
CBS CORPORATION CMN CLASS B (124857202)	11/18/2009	03/25/2011	20 00	499 68	0 00	272 18	227 50
CBS CORPORATION CMN CLASS B (124857202)	03/08/2010	03/25/2011	116 00	2,898 13	0 00	1,706 33	1,191 81
DISH NETWORK CORPORATION CMN CLASS A (25470M109)	05/19/2009	03/25/2011	17 00	415 64	0 00	293 39	122 25
DISH NETWORK CORPORATION CMN CLASS A (25470M109)	04/23/2009	03/25/2011	164 00	4,009 66	0 00	2,168 88	1,840 77
NEWFIELD EXPLORATION CO CMN (651290108)	10/05/2009	04/05/2011	18 00	1,363 19	0 00	786 90	576 28
NEWFIELD EXPLORATION CO CMN (651290108)	10/06/2009	04/05/2011	43 00	3,256 50	0 00	1,985 63	1,270 87
NEWFIELD EXPLORATION CO CMN (651290108)	10/06/2009	04/28/2011	57 00	3,981 74	0 00	2,632 11	1,349 63
NEWFIELD EXPLORATION CO CMN (651290108)	10/06/2009	05/04/2011	36 00	2,377 81	0 00	1,662 39	715 42
THE BANK OF NY MELLON CORP CMN (064058100)	10/28/2009	05/12/2011	134 00	3,811 00	0 00	3,710 80	100 20
DOW CHEMICAL CO CMN (260543103)	09/15/2009	05/17/2011	77 00	2,821 23	0 00	1,997 74	823 49
DOW CHEMICAL CO CMN (260543103)	11/11/2009	05/17/2011	208 00	7,620 99	0 00	5,548 58	2,072 41
SLM CORPORATION CMN (78442P106)	08/26/2008	05/17/2011	50 00	801 98	0 00	743 00	58 98

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
SLM CORPORATION CMN (78442P106)	08/25/2008	05/17/2011	202 00	3,239 99	0 00	2,885 46	354 53
SLM CORPORATION CMN (78442P106)	01/19/2010	05/18/2011	51 00	819 75	0 00	578 86	240 88
SLM CORPORATION CMN (78442P106)	08/26/2008	05/18/2011	95 00	1,526 98	0 00	1,411 70	115 28
OCCIDENTAL PETROLEUM CORP CMN (674599105)	05/03/2010	05/25/2011	23 00	2,370 89	0 00	2,076 42	294 47
CBS CORPORATION CMN CLASS B (124857202)	03/08/2010	06/03/2011	19 00	516 87	0 00	279 48	237 39
NEWELL RUBBERMAID INC CMN (651229106)	09/28/2009	06/07/2011	366 00	5,303 21	0 00	5,738 78	(435 57)
PEPSICO INC CMN (713448108)	06/08/2010	06/22/2011	25 00	1,725 42	0 00	1,546 09	179 33
PEPSICO INC CMN (713448108)	05/07/2010	06/22/2011	29 00	2,001 49	0 00	1,857 48	144 00
ENTERGY CORPORATION CMN (29364G103)	08/21/2008	07/01/2011	68 00	4,648 57	0 00	6,996 52	(2,347 95)
PEPSICO INC CMN (713448108)	07/01/2010	07/08/2011	73 00	5,090 08	0 00	4,463 86	626 22
COMCAST CORPORATION CMN CLASS A VOTING (20030N101)	06/22/2010	07/18/2011	298 00	7,083 67	0 00	5,476 88	1,606 79
COMCAST CORPORATION CMN CLASS A VOTING (20030N101)	07/02/2010	07/18/2011	402 00	9,555 82	0 00	6,988 73	2,567 09
TEXAS INSTRUMENTS INC CMN (882508104)	06/25/2010	07/21/2011	238 00	7,525 61	0 00	5,741 53	1,784 08
ENTERGY CORPORATION CMN (29364G103)	08/26/2008	08/02/2011	30 00	1,967 10	0 00	3,174 30	(1,207 20)
ENTERGY CORPORATION CMN (29364G103)	08/21/2008	08/02/2011	43 00	2,819 51	0 00	4,424 27	(1,604 76)
ENTERGY CORPORATION CMN (29364G103)	06/08/2010	08/02/2011	48 00	3,147 36	0 00	3,460 80	(313 44)
CBS CORPORATION CMN CLASS B (124857202)	06/08/2010	08/03/2011	1 00	26 53	0 00	13 34	13 19
DOW CHEMICAL CO CMN (260543103)	06/08/2010	08/04/2011	78 00	2,374 17	0 00	1,891 50	482 67
NEWFIELD EXPLORATION CO CMN (651290108)	07/06/2010	08/05/2011	15 00	834 41	0 00	721 81	112 60
NEWFIELD EXPLORATION CO CMN (651290108)	06/08/2010	08/05/2011	52 00	2,892 61	0 00	2,585 61	307 00
BANK OF AMERICA CORP CMN (060505104)	04/13/2009	08/08/2011	31 00	226 72	0 00	338 86	(112 14)
BANK OF AMERICA CORP CMN (060505104)	05/01/2009	08/08/2011	578 00	4,227 21	0 00	5,077 67	(850 46)
PEPSICO INC CMN (713448108)	07/01/2010	08/09/2011	69 00	4,227 35	0 00	4,219 27	8 09
SUNTRUST BANKS INC $1 00 PAR CMN (867914103)	02/03/2010	08/10/2011	67 00	1,224 87	0 00	1,575 77	(350 90)
SUNTRUST BANKS INC $1 00 PAR CMN (867914103)	06/08/2010	08/10/2011	87 00	1,590 50	0 00	2,170 65	(580 15)

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REALIZED GAINS AND LOSSES (Continued)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
STATE STREET CORPORATION (NEW) CMN (857477103)	05/18/2010	08/16/2011	41 00	1,420 49	0 00	1,664 04	(243 55)
STATE STREET CORPORATION (NEW) CMN (857477103)	12/15/2009	08/16/2011	70 00	2,425 22	0 00	2,789 73	(364 50)
OCCIDENTAL PETROLEUM CORP CMN (674599105)	06/08/2010	08/19/2011	21 00	1,728 30	0 00	1,649 19	79 11
OCCIDENTAL PETROLEUM CORP CMN (674599105)	05/27/2010	08/19/2011	23 00	1,892 90	0 00	1,876 44	16 46
NEWFIELD EXPLORATION CO CMN (651290108)	07/06/2010	08/22/2011	75 00	3,473 65	0 00	3,609 03	(135 38)
AMERICAN ELECTRIC POWER INC CMN (025537101)	06/10/2010	08/29/2011	14 00	532 68	0 00	453 75	78 93
BOEING COMPANY CMN (097023105)	10/14/2008	08/29/2011	11 00	702 88	0 00	528 52	174 36
CVS CAREMARK CORPORATION CMN (126650100)	03/04/2010	08/29/2011	16 00	559 18	0 00	557 69	1 49
EVEREST RE GROUP LTD CMN (G3223R108)	08/21/2008	08/29/2011	6 00	483 11	0 00	484 98	(1 87)
FRANKLIN RESOURCES INC CMN (354613101)	11/19/2008	08/29/2011	4 00	474 31	0 00	203 17	271 14
GENERAL ELECTRIC CO CMN (369604103)	12/03/2009	08/29/2011	21 00	332 21	0 00	341 53	(9 32)
GENERAL ELECTRIC CO CMN (369604103)	12/17/2009	08/29/2011	49 00	775 16	0 00	776 56	(1 40)
GENERAL MILLS INC CMN (370334104)	01/22/2010	08/29/2011	16 00	596 30	0 00	571 30	25 00
HONEYWELL INTL INC CMN (438516106)	10/15/2009	08/29/2011	11 00	508 96	0 00	410 55	98 42
JPMORGAN CHASE & CO CMN (46625H100)	03/10/2008	08/29/2011	31 00	1,149 45	0 00	1,134 19	15 26
MERCK & CO , INC CMN (58933Y105)	02/23/2010	08/29/2011	27 00	870 46	0 00	985 53	(115 07)
P G & E CORPORATION CMN (69331C108)	08/19/2010	08/29/2011	14 00	585 46	0 00	629 22	(43 76)
PRUDENTIAL FINANCIAL INC CMN (744320102)	06/03/2009	08/29/2011	13 00	644 26	0 00	531 31	112 95
SPRINT NEXTEL CORPORATION CMN (852061100)	08/21/2008	08/29/2011	169 00	574 58	0 00	1,522 69	(948 11)
THE TRAVELERS COMPANIES, INC CMN (89417E109)	08/26/2008	08/29/2011	10 00	509 29	0 00	437 30	71 99
U S BANCORP CMN (902973304)	05/24/2010	08/29/2011	34 00	776 88	0 00	817 54	(40 66)
UNILEVER N V NY SHS (NEW) ADR CMN (904784709)	08/21/2008	08/29/2011	23 00	773 24	0 00	646 76	126 48
WELLPOINT, INC CMN (94973V107)	06/05/2008	08/29/2011	13 00	798 70	0 00	735 80	62 90
GOOGLE, INC CMN CLASS A (38259P508)	03/31/2010	09/14/2011	9 00	4,765 74	0 00	5,108 41	(342 67)
ALLSTATE CORPORATION COMMON STOCK (020002101)	06/23/2010	09/20/2011	181 00	4,436 17	0 00	5,509 14	(1,072 97)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
STATE STREET CORPORATION (NEW) CMN (857477103)	05/18/2010	09/26/2011	54 00	1,686 86	0 00	2,191 66	(504 81)
STATE STREET CORPORATION (NEW) CMN (857477103)	06/08/2010	09/26/2011	60 00	1,874 28	0 00	2,169 02	(294 74)
OCCIDENTAL PETROLEUM CORP CMN (674599105)	06/08/2010	09/30/2011	45 00	3,252 26	0 00	3,533 97	(281 71)
CVS CAREMARK CORPORATION CMN (126650100)	06/08/2010	10/06/2011	100 00	3,345 93	0 00	3,107 00	238 93
CVS CAREMARK CORPORATION CMN (126650100)	03/04/2010	10/06/2011	118 00	3,948 19	0 00	4,112 94	(164 75)
CVS CAREMARK CORPORATION CMN (126650100)	05/07/2010	10/06/2011	139 00	4,650 84	0 00	4,835 07	(184 23)
DISH NETWORK CORPORATION CMN CLASS A (25470M109)	05/20/2009	10/06/2011	25 00	626 22	0 00	439 86	186 36
DISH NETWORK CORPORATION CMN CLASS A (25470M109)	05/19/2009	10/06/2011	128 00	3,206 22	0 00	2,209 02	997 20
DISH NETWORK CORPORATION CMN CLASS A (25470M109)	10/28/2009	10/06/2011	196 00	4,909 53	0 00	3,391 51	1,518 02
MERCK & CO , INC CMN (58933Y105)	02/23/2010	10/12/2011	72 00	2,337 70	0 00	2,628 09	(290 39)
WELLPOINT, INC CMN (94973V107)	06/05/2008	10/12/2011	9 00	606 89	0 00	509 40	97 49
WELLPOINT, INC CMN (94973V107)	08/21/2008	10/12/2011	60 00	4,045 93	0 00	3,184 80	861 13
WELLPOINT, INC CMN (94973V107)	08/26/2008	10/14/2011	1 00	66 77	0 00	53 03	13 74
WELLPOINT, INC CMN (94973V107)	08/21/2008	10/14/2011	35 00	2,336 91	0 00	1,857 80	479 11
P G & E CORPORATION CMN (69331C108)	08/19/2010	10/19/2011	84 00	3,611 53	0 00	3,775 30	(163 77)
MERCK & CO , INC CMN (58933Y105)	03/26/2010	10/24/2011	39 00	1,299 51	0 00	1,474 51	(175 01)
MERCK & CO , INC CMN (58933Y105)	02/23/2010	10/24/2011	101 00	3,365 39	0 00	3,686 62	(321 24)
UNILEVER N V NY SHS (NEW) ADR CMN (904784709)	08/21/2008	10/24/2011	10 00	341 72	0 00	281 20	60 52
UNILEVER N V NY SHS (NEW) ADR CMN (904784709)	08/26/2008	10/24/2011	90 00	3,075 45	0 00	2,514 60	560 85
U S BANCORP CMN (902973304)	05/24/2010	10/28/2011	15 00	389 67	0 00	360 68	28 99
U S BANCORP CMN (902973304)	06/08/2010	10/28/2011	61 00	1,584 66	0 00	1,370 06	214 60
U S BANCORP CMN (902973304)	06/18/2010	10/28/2011	129 00	3,351 16	0 00	3,026 32	324 83
WELLPOINT, INC CMN (94973V107)	08/26/2008	10/28/2011	24 00	1,653 60	0 00	1,272 72	380 88
WELLPOINT, INC CMN (94973V107)	09/03/2008	10/28/2011	27 00	1,860 30	0 00	1,425 56	434 73
DISH NETWORK CORPORATION CMN CLASS A (25470M109)	10/28/2009	11/11/2011	27 00	688 58	0 00	467 20	221 38

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
DISH NETWORK CORPORATION CMN CLASS A (25470M109)	06/08/2010	11/11/2011	195 00	4,973 06	0 00	3,878 55	1,094 51
BANK OF AMERICA CORP CMN (060505104)	05/08/2009	11/22/2011	51 00	275 44	0 00	736 86	(461 42)
BANK OF AMERICA CORP CMN (060505104)	06/02/2009	11/22/2011	216 00	1,166 59	0 00	2,478 25	(1,311 66)
BANK OF AMERICA CORP CMN (060505104)	05/01/2009	11/22/2011	228 00	1,231 40	0 00	2,002 96	(771 56)
BANK OF AMERICA CORP CMN (060505104)	06/08/2010	11/23/2011	63 00	327 18	0 00	941 85	(614 67)
BANK OF AMERICA CORP CMN (060505104)	06/02/2009	11/23/2011	132 00	685 52	0 00	1,514 49	(828 96)
BANK OF AMERICA CORP CMN (060505104)	12/07/2009	11/23/2011	293 00	1,521 65	0 00	4,668 59	(3,146 94)
BANK OF AMERICA CORP CMN (060505104)	06/08/2010	11/25/2011	470 00	2,479 03	0 00	7,026 50	(4,547 47)
ADOBE SYSTEMS INC CMN (00724F101)	10/29/2010	12/01/2011	55 00	1,484 10	0 00	1,548 73	(64 63)
ADOBE SYSTEMS INC CMN (00724F101)	10/28/2010	12/01/2011	63 00	1,699 97	0 00	1,768 90	(68 93)
ADOBE SYSTEMS INC CMN (00724F101)	11/01/2010	12/01/2011	150 00	4,047 56	0 00	4,229 10	(181 54)
AFLAC INCORPORATED CMN (001055102)	06/08/2010	12/01/2011	52 00	2,223 01	0 00	2,090 92	132 09
AFLAC INCORPORATED CMN (001055102)	06/16/2010	12/01/2011	122 00	5,215 53	0 00	5,396 14	(180 61)
ALLSTATE CORPORATION COMMON STOCK (020002101)	06/23/2010	12/01/2011	11 00	289 62	0 00	334 81	(45 19)
AMERICAN ELECTRIC POWER INC CMN (025537101)	06/15/2010	12/01/2011	48 00	1,902 89	0 00	1,597 51	305 38
AMERICAN ELECTRIC POWER INC CMN (025537101)	06/10/2010	12/01/2011	111 00	4,400 42	0 00	3,597 60	802 82
AMERICAN ELECTRIC POWER INC CMN (025537101)	06/16/2010	12/01/2011	181 00	7,175 46	0 00	6,090 29	1,085 17
BOEING COMPANY CMN (097023105)	10/14/2008	12/01/2011	13 00	916 43	0 00	624 62	291 81
BOEING COMPANY CMN (097023105)	06/08/2010	12/01/2011	60 00	4,229 66	0 00	3,600 62	629 04
BOEING COMPANY CMN (097023105)	11/18/2009	12/01/2011	70 00	4,934 60	0 00	3,661 24	1,273 36
BOEING COMPANY CMN (097023105)	05/24/2010	12/01/2011	72 00	5,075 59	0 00	4,609 17	466 42
EMC CORPORATION MASS CMN (268648102)	04/30/2010	12/01/2011	37 00	855 38	0 00	716 00	139 38
EMC CORPORATION MASS CMN (268648102)	06/08/2010	12/01/2011	147 00	3,398 39	0 00	2,626 89	771 50
EMC CORPORATION MASS CMN (268648102)	11/30/2010	12/01/2011	177 00	4,091 94	0 00	3,802 20	289 74
EMC CORPORATION MASS CMN (268648102)	07/23/2010	12/01/2011	240 00	5,548 40	0 00	4,855 41	692 99

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REALIZED GAINS AND LOSSES (Continued)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
EVEREST RE GROUP LTD CMN (G3223R108)	08/26/2008	12/01/2011	15 00	1,287 10	0 00	1,226 25	60 85
EVEREST RE GROUP LTD CMN (G3223R108)	08/21/2008	12/01/2011	36 00	3,089 03	0 00	2,909 88	179 15
EVEREST RE GROUP LTD CMN (G3223R108)	06/08/2010	12/01/2011	41 00	3,518 07	0 00	2,836 79	681 28
EVEREST RE GROUP LTD CMN (G3223R108)	01/21/2009	12/01/2011	50 00	4,290 32	0 00	3,439 01	851 31
FORD MOTOR COMPANY CMN (345370860)	06/08/2010	12/01/2011	198 00	2,094 80	0 00	2,201 76	(106 96)
FORD MOTOR COMPANY CMN (345370860)	10/28/2009	12/01/2011	568 00	6,009 32	0 00	4,068 70	1,940 62
FRANKLIN RESOURCES INC CMN (354613101)	06/08/2010	12/01/2011	13 00	1,281 08	0 00	1,158 69	122 39
FRANKLIN RESOURCES INC CMN (354613101)	11/19/2008	12/01/2011	18 00	1,773 80	0 00	914 28	859 52
FRANKLIN RESOURCES INC CMN (354613101)	06/18/2010	12/01/2011	67 00	6,602 47	0 00	6,228 16	374 31
GENERAL ELECTRIC CO CMN (369604103)	04/06/2010	12/01/2011	185 00	2,928 30	0 00	3,431 05	(502 75)
GENERAL ELECTRIC CO CMN (369604103)	04/23/2010	12/01/2011	225 00	3,561 44	0 00	4,267 90	(706 46)
GENERAL ELECTRIC CO CMN (369604103)	02/22/2010	12/01/2011	229 00	3,624 76	0 00	3,713 81	(89 05)
GENERAL ELECTRIC CO CMN (369604103)	10/19/2010	12/01/2011	282 00	4,463 67	0 00	4,522 16	(58 49)
GENERAL ELECTRIC CO CMN (369604103)	12/17/2009	12/01/2011	335 00	5,302 59	0 00	5,309 12	(6 53)
GENERAL ELECTRIC CO CMN (369604103)	06/08/2010	12/01/2011	453 00	7,170 37	0 00	6,939 96	230 41
GENERAL MILLS INC CMN (370334104)	01/22/2010	12/01/2011	47 00	1,874 76	0 00	1,678 18	196 58
GENERAL MILLS INC CMN (370334104)	04/28/2010	12/01/2011	86 00	3,430 42	0 00	3,020 66	409 76
GENERAL MILLS INC CMN (370334104)	04/29/2010	12/01/2011	134 00	5,345 07	0 00	4,761 52	583 55
GENERAL MILLS INC CMN (370334104)	06/08/2010	12/01/2011	148 00	5,903 51	0 00	5,524 10	379 41
GOOGLE, INC CMN CLASS A (38259P508)	03/31/2010	12/01/2011	2 00	1,223 61	0 00	1,135 20	88 41
GOOGLE, INC CMN CLASS A (38259P508)	06/08/2010	12/01/2011	4 00	2,447 22	0 00	1,941 96	505 26
HARTFORD FINANCIAL SRVCS GROUP CMN (416515104)	06/08/2010	12/01/2011	59 00	1,046 56	0 00	1,312 16	(265 60)
HARTFORD FINANCIAL SRVCS GROUP CMN (416515104)	03/26/2010	12/01/2011	129 00	2,288 24	0 00	3,614 68	(1,326 44)
HARTFORD FINANCIAL SRVCS GROUP CMN (416515104)	06/23/2010	12/01/2011	197 00	3,494 44	0 00	4,830 36	(1,335 92)
HONEYWELL INTL INC CMN (438516106)	10/15/2009	12/01/2011	31 00	1,675 70	0 00	1,156 99	518 71

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
HONEYWELL INTL INC CMN (438516106)	03/09/2010	12/01/2011	121 00	6,540 62	0 00	5,114 48	1,426 14
HONEYWELL INTL INC CMN (438516106)	06/08/2010	12/01/2011	161 00	8,702 81	0 00	6,385 26	2,317 55
ILLINOIS TOOL WORKS CMN (452308109)	08/19/2010	12/01/2011	136 00	6,133 41	0 00	5,732 03	401 38
INVESCO LTD CMN (G491BT108)	08/26/2008	12/01/2011	60 00	1,195 83	0 00	1,515 00	(319 17)
INVESCO LTD CMN (G491BT108)	06/08/2010	12/01/2011	109 00	2,172 43	0 00	1,883 02	289 41
INVESCO LTD CMN (G491BT108)	08/21/2008	12/01/2011	134 00	2,670 70	0 00	3,356 70	(686 01)
JOHNSON CONTROLS INC CMN (478366107)	04/17/2009	12/01/2011	31 00	961 36	0 00	537 81	423 55
JOHNSON CONTROLS INC CMN (478366107)	06/08/2010	12/01/2011	108 00	3,349 25	0 00	2,844 14	505 11
JOHNSON CONTROLS INC CMN (478366107)	05/18/2010	12/01/2011	134 00	4,155 55	0 00	3,950 35	205 20
JPMORGAN CHASE & CO CMN (46625H100)	03/10/2008	12/01/2011	61 00	1,837 70	0 00	2,231 79	(394 09)
JPMORGAN CHASE & CO CMN (46625H100)	08/26/2008	12/01/2011	75 00	2,259 47	0 00	2,767 50	(508 03)
JPMORGAN CHASE & CO CMN (46625H100)	11/14/2008	12/01/2011	89 00	2,681 24	0 00	3,152 34	(471 10)
JPMORGAN CHASE & CO CMN (46625H100)	09/30/2009	12/01/2011	96 00	2,892 12	0 00	4,238 25	(1,346 13)
JPMORGAN CHASE & CO CMN (46625H100)	06/08/2010	12/01/2011	218 00	6,567 52	0 00	8,074 72	(1,507 20)
JPMORGAN CHASE & CO CMN (46625H100)	08/21/2008	12/01/2011	285 00	8,585 98	0 00	10,245 75	(1,659 77)
MARSH & MCLENNAN CO INC CMN (571748102)	06/08/2010	12/01/2011	61 00	1,848 01	0 00	1,245 62	602 39
MARSH & MCLENNAN CO INC CMN (571748102)	09/10/2009	12/01/2011	179 00	5,422 84	0 00	4,167 24	1,255 60
MERCK & CO , INC CMN (58933Y105)	03/26/2010	12/01/2011	89 00	3,171 90	0 00	3,364 91	(193 01)
MERCK & CO , INC CMN (58933Y105)	06/28/2010	12/01/2011	161 00	5,737 94	0 00	5,741 05	(3 11)
MERCK & CO , INC CMN (58933Y105)	06/08/2010	12/01/2011	175 00	6,236 89	0 00	5,799 50	437 39
OCCIDENTAL PETROLEUM CORP CMN (674599105)	06/08/2010	12/01/2011	50 00	4,831 87	0 00	3,926 64	905 23
P G & E CORPORATION CMN (69331C108)	08/19/2010	12/01/2011	56 00	2,163 58	0 00	2,516 87	(353 29)
P G & E CORPORATION CMN (69331C108)	10/12/2010	12/01/2011	117 00	4,520 34	0 00	5,440 96	(920 62)
PEPSICO INC CMN (713448108)	07/01/2010	12/01/2011	35 00	2,235 61	0 00	2,140 21	95 40
PRUDENTIAL FINANCIAL INC CMN (744320102)	06/03/2009	12/01/2011	73 00	3,633 26	0 00	2,983 51	649 76

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

Goldman Sachs

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
PRUDENTIAL FINANCIAL INC CMN (744320102)	12/23/2009	12/01/2011	74 00	3,683 03	0 00	3,849 13	(166 10)
PRUDENTIAL FINANCIAL INC CMN (744320102)	06/08/2010	12/01/2011	82 00	4,081 20	0 00	4,468 41	(387 21)
PRUDENTIAL FINANCIAL INC CMN (744320102)	10/25/2010	12/01/2011	88 00	4,379 82	0 00	4,703 04	(323 22)
SLM CORPORATION CMN (78442P106)	06/08/2010	12/01/2011	298 00	3,766 68	0 00	3,066 42	700 26
SLM CORPORATION CMN (78442P106)	01/19/2010	12/01/2011	446 00	5,637 38	0 00	5,062 20	575 18
SPRINT NEXTEL CORPORATION CMN (852061100)	08/26/2008	12/01/2011	485 00	1,287 96	0 00	4,326 20	(3,038 24)
SPRINT NEXTEL CORPORATION CMN (852061100)	07/28/2009	12/01/2011	786 00	2,087 29	0 00	3,599 64	(1,512 35)
SPRINT NEXTEL CORPORATION CMN (852061100)	08/21/2008	12/01/2011	919 00	2,440 48	0 00	8,280 19	(5,839 71)
SPRINT NEXTEL CORPORATION CMN (852061100)	06/08/2010	12/01/2011	1,001 00	2,658 24	0 00	4,574 57	(1,916 33)
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	11/12/2010	12/01/2011	82 00	3,275 43	0 00	4,135 67	(860 24)
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	11/15/2010	12/01/2011	121 00	4,833 25	0 00	6,145 44	(1,312 19)
THE TRAVELERS COMPANIES, INC CMN (89417E109)	08/26/2008	12/01/2011	13 00	714 48	0 00	568 49	145 99
THE TRAVELERS COMPANIES, INC CMN (89417E109)	06/08/2010	12/01/2011	58 00	3,187 70	0 00	2,781 10	406 60
THE TRAVELERS COMPANIES, INC CMN (89417E109)	01/21/2009	12/01/2011	140 00	7,694 45	0 00	5,450 84	2,243 61
U S BANCORP CMN (902973304)	06/18/2010	12/01/2011	154 00	3,937 77	0 00	3,612 82	324 95
U S BANCORP CMN (902973304)	06/21/2010	12/01/2011	280 00	7,159 57	0 00	6,678 47	481 10
UNILEVER N V NY SHS (NEW) ADR CMN (904784709)	08/26/2008	12/01/2011	50 00	1,673 54	0 00	1,397 00	276 54
UNILEVER N V NY SHS (NEW) ADR CMN (904784709)	06/08/2010	12/01/2011	61 00	2,041 72	0 00	1,654 32	387 40
WELLPOINT, INC CMN (94973V107)	09/03/2008	12/01/2011	23 00	1,616 02	0 00	1,214 37	401 65
WELLPOINT, INC CMN (94973V107)	09/04/2008	12/01/2011	35 00	2,459 16	0 00	1,819 60	639 56
WELLPOINT, INC CMN (94973V107)	06/08/2010	12/01/2011	74 00	5,199 36	0 00	3,977 50	1,221 86
Net NonCovered Long-Term Gains (Losses)				**599,320.06**	**0.00**	**578,770.46**	**20,549.56**

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REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	(17,649 26)	Net Covered Long-Term Gains (Losses)	0 00	Net Ordinary Gains (Losses)	0 00
Net NonCovered Short-Term Gains (Losses)	6,152 20	Net NonCovered Long Term Gains (Losses)	42,197 40		
Net 1099B Non Reportable Short-Term Gains (Losses)	0 00	Net 1099B Non Reportable Long-Term Gains (Losses)	0 00		
Net Miscellaneous Short-Term Gains (Losses)	0 00	Net Miscellaneous Long-Term Gains (Losses)	0 00	Net Miscellaneous Ordinary Gains (Losses)	0 00
Net Regulated Futures Contract Short-Term Gains (Losses)	0 00	Net Regulated Futures Contract Long-Term Gains (Losses)	0 00		
Total Short-Term Gains (Losses)	**(11,497 06)**	**Total Long-Term Gains (Losses)**	**42,197 40**	**Total Ordinary Gains (Losses)**	**0 00**

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
DEUTSCHE BANK AG CMN (D18190898)	01/21/2011	02/17/2011	65 00	4,238 37	0 00	3,916 96	321 41
DEUTSCHE BANK AG CMN (D18190898)	01/21/2011	02/18/2011	4 00	260 45	0 00	241 04	19 40
DEUTSCHE BANK AG CMN (D18190898)	01/24/2011	02/18/2011	23 00	1,497 56	0 00	1,386 81	110 75
DEUTSCHE BANK AG CMN (D18190898)	02/09/2011	02/18/2011	89 00	5,794 92	0 00	5,709 69	85 23
CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN (225401108)	02/18/2011	03/02/2011	46 00	2,088 35	0 00	2,170 56	(82 21)
CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN (225401108) Disallowed Loss							(82 21)
ARCELORMITTAL CMN (03938L104)	02/24/2011	06/08/2011	66 00	2,149 57	0 00	2,359 33	(209 76)
CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN (225401108)	02/18/2011	06/08/2011	50 00	2,068 96	0 00	2,359 30	(290 34)
CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN (225401108) Disallowed Loss							(290 34)
SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN (826197501)	01/10/2011	06/08/2011	17 00	2,225 93	0 00	1,954 85	271 08
YOUKU COM INC SPONSORED ADR CMN SERIES (98742U100)	05/13/2011	06/15/2011	57 00	1,565 85	0 00	2,695 96	(1,130 11)
YOUKU COM INC SPONSORED ADR CMN SERIES (98742U100)	05/16/2011	06/15/2011	65 00	1,785 62	0 00	2,907 11	(1,121 49)
BAYER AG-SPONSORED ADR SPONSORED ADR CMN (072730302)	07/27/2011	09/06/2011	83 00	4,349 50	0 00	6,967 48	(2,617 98)
BAYER AG-SPONSORED ADR SPONSORED ADR CMN (072730302) Disallowed Loss							(1,514 01)

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
GAZPROM ADR SPONSORED ADR CMN (368287207)	03/15/2011	09/12/2011	198 00	2,143 51	0 00	2,971 61	(828 10)
BAYER AG-SPONSORED ADR SPONSORED ADR CMN (072730302)	07/27/2011	09/15/2011	39 00	2,099 93	0 00	3,273 88	(1,173 95)
BAYER AG-SPONSORED ADR SPONSORED ADR CMN (072730302)	07/27/2011	09/15/2011	48 00	2,584 52	0 00	4,538 88	(1,954 36)
BNP PARIBAS SPONSORED ADR CMN (05565A202)	03/10/2011	09/21/2011	44 00	708 85	0 00	1,597 12	(888 27)
NEW ORIENTAL ED & TECH GRP SPONSORED ADR CMN (647581107)	03/10/2011	11/22/2011	55 00	1,280 72	0 00	1,203 68	77 04
NEW ORIENTAL ED & TECH GRP SPONSORED ADR CMN (647581107)	03/10/2011	11/23/2011	36 00	832 06	0 00	787 86	44 20
ARCELORMITTAL CMN (03938L104)	02/25/2011	11/28/2011	37 00	622 20	0 00	1,333 76	(711 56)
ARCELORMITTAL CMN (03938L104)	02/24/2011	11/28/2011	62 00	1,042 60	0 00	2,216 34	(1,173 74)
NEW ORIENTAL ED & TECH GRP SPONSORED ADR CMN (647581107)	03/10/2011	11/28/2011	17 00	405 16	0 00	372 05	33 12
ADIDAS AG ADR CMN (00687A107)	05/03/2011	11/30/2011	46 00	1,610 42	0 00	1,716 58	(106 16)
ARCELORMITTAL CMN (03938L104)	02/25/2011	11/30/2011	67 00	1,255 89	0 00	2,415 18	(1,159 29)
CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN (225401108)	02/18/2011	11/30/2011	75 00	1,792 46	0 00	3,538 95	(1,746 49)
ING GROEP N V SPONS ADR SPONSORED ADR CMN (456837103)	08/12/2011	11/30/2011	205 00	1,582 56	0 00	1,763 94	(181 38)
KDDI CORP UNSPONSORED ADR CMN (48667L106)	05/10/2011	11/30/2011	97 00	1,590 76	0 00	1,662 28	(71 52)
LI & FUNG LIMITED UNSPONSORED ADR CMN (501897102)	08/31/2011	11/30/2011	274 00	1,117 89	0 00	965 80	152 09
MERCADOLIBRE INC CMN (58733R102)	09/15/2011	11/30/2011	11 00	964 79	0 00	754 45	210 34
SBERBANK SPONSORED ADR CMN TRADEABLE ON LNSE & NASDAQ UK LINE SBNCYQ L-US LINE SBRCY (80585Y308)	09/09/2011	11/30/2011	224 00	2,649 86	0 00	2,546 88	102 98
SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN (826197501)	01/10/2011	11/30/2011	18 00	1,807 52	0 00	2,069 84	(262 32)
SWATCH GROUP SA (THE) ADR CMN (870123106)	10/11/2011	11/30/2011	80 00	1,551 17	0 00	1,512 78	38 39
TECK RESOURCES LIMITED CMN CLASS B (878742204)	07/21/2011	11/30/2011	32 00	1,139 17	0 00	1,713 07	(573 90)
TECK RESOURCES LIMITED CMN CLASS B (878742204) Disallowed Loss							(573 90)
ARCELORMITTAL CMN (03938L104)	02/25/2011	12/01/2011	1 00	19 02	0 00	36 05	(17 02)
ARCELORMITTAL CMN (03938L104)	02/28/2011	12/01/2011	78 00	1,483 77	0 00	2,848 88	(1,365 11)
ARCELORMITTAL CMN (03938L104)	02/28/2011	12/09/2011	1 00	18 79	0 00	36 52	(17 74)

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5 Sale Proceeds may have been adjusted by an option premium due to an option assignment

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
ARCELORMITTAL CMN (03938L104)	05/04/2011	12/09/2011	23 00	432 13	0 00	834 14	(402 01)
ARCELORMITTAL CMN (03938L104)	03/15/2011	12/09/2011	76 00	1,427 92	0 00	2,562 52	(1,134 60)
ARCELORMITTAL CMN (03938L104)	04/01/2011	12/09/2011	76 00	1,427 92	0 00	2,740 07	(1,312 15)
ARCELORMITTAL CMN (03938L104)	05/04/2011	12/12/2011	55 00	950 50	0 00	1,994 69	(1,044 19)
Net Covered Short-Term Disallowed Losses							**(2,460.46)**
Net Covered Short-Term Gains (Losses)				**62,567.17**	**0.00**	**82,676.89**	**(17,649.26)**
NonCovered Short-Term Gains (Losses)							
MAN GROUP PLC UNSPONSORED ADR CMN (56164U107)	03/18/2010	02/03/2011	616 00	2,951 38	0 00	2,257 89	693 49
LULULEMON ATHLETICA INC CMN (550021109)	09/13/2010	02/10/2011	4 00	329 06	0 00	168 83	160 22
LULULEMON ATHLETICA INC CMN (550021109)	09/10/2010	02/10/2011	31 00	2,550 19	0 00	1,242 06	1,308 13
BRITISH SKY BROADCASTING GROUP PLC AMERICAN DEPOSITARY SHARES (1 ADS = 4 ORDS) (111013108)	04/26/2010	02/15/2011	42 00	2,029 34	0 00	1,603 40	425 94
BRITISH SKY BROADCASTING GROUP PLC AMERICAN DEPOSITARY SHARES (1 ADS = 4 ORDS) (111013108)	04/26/2010	02/16/2011	87 00	4,185 59	0 00	3,321 33	864 26
LAFARGE SPONSORED ADR CMN (505861401)	08/05/2010	02/25/2011	45 00	678 97	0 00	614 65	64 32
LAFARGE SPONSORED ADR CMN (505861401)	03/05/2010	02/25/2011	204 00	3,077 97	0 00	3,674 41	(596 44)
LAFARGE SPONSORED ADR CMN (505861401)	08/05/2010	02/28/2011	122 00	1,834 25	0 00	1,666 37	167 87
LAFARGE SPONSORED ADR CMN (505861401)	09/13/2010	02/28/2011	188 00	2,826 54	0 00	2,492 35	334 19
CANON INC ADR ADR CMN (138006309)	06/30/2010	03/02/2011	50 00	2,386 55	0 00	1,868 67	517 88
TENCENT HOLDINGS LIMITED UNSPONSORED ADR CMN (88032Q109)	04/23/2010	03/02/2011	70 00	1,896 96	0 00	1,451 98	444 99
LULULEMON ATHLETICA INC CMN (550021109)	09/13/2010	04/20/2011	26 00	2,600 48	0 00	1,097 42	1,503 06
LULULEMON ATHLETICA INC CMN (550021109)	09/13/2010	05/02/2011	8 00	772 52	0 00	337 67	434 86
LULULEMON ATHLETICA INC CMN (550021109)	09/22/2010	05/02/2011	20 00	1,931 31	0 00	848 45	1,082 86
LULULEMON ATHLETICA INC CMN (550021109)	09/22/2010	05/03/2011	10 00	934 65	0 00	424 22	510 43
LULULEMON ATHLETICA INC CMN (550021109)	09/30/2010	05/03/2011	16 00	1,495 45	0 00	703 84	791 61

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Short-Term Gains (Losses)							
ASSA ABLOY AB UNSPONSORED ADR (SWEDEN) (045387107)	11/09/2010	06/08/2011	41 00	551 03	0 00	564 27	(13 24)
ASSA ABLOY AB UNSPONSORED ADR (SWEDEN) (045387107)	11/08/2010	06/08/2011	137 00	1,841 24	0 00	1,886 90	(45 66)
CANON INC ADR ADR CMN (138006309)	06/30/2010	06/08/2011	59 00	2,768 81	0 00	2,205 03	563 78
VOLKSWAGEN AG, WOLFSBURG SPONSORED ADR PFD USD0 4941 (928662402)	08/02/2010	06/08/2011	64 00	2,302 67	0 00	1,390 81	911 86
BNP PARIBAS SPONSORED ADR CMN (05565A202)	09/30/2010	09/20/2011	31 00	531 04	0 00	1,110 03	(578 99)
BNP PARIBAS SPONSORED ADR CMN (05565A202)	09/30/2010	09/21/2011	80 00	1,288 82	0 00	2,864 60	(1,575 78)
BNP PARIBAS SPONSORED ADR CMN (05565A202)	10/21/2010	09/21/2011	87 00	1,401 60	0 00	3,219 03	(1,817 44)
Net NonCovered Short-Term Gains (Losses)				**43,166.42**	**0.00**	**37,014.21**	**6,152.20**

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
ARM HOLDINGS PLC SPON ADR SPONSORED ADR CMN (042068106)	08/21/2008	01/06/2011	95 00	2,213 30	0 00	573 65	1,639 65
SMITH & NEPHEW PLC ADR CMN (83175M205)	08/21/2008	01/10/2011	22 00	1,206 87	0 00	1,298 88	(92 01)
SMITH & NEPHEW PLC ADR CMN (83175M205)	09/30/2008	01/11/2011	8 00	419 67	0 00	420 99	(1 32)
SMITH & NEPHEW PLC ADR CMN (83175M205)	08/21/2008	01/11/2011	25 00	1,311 48	0 00	1,476 00	(164 52)
SMITH & NEPHEW PLC ADR CMN (83175M205)	09/24/2008	01/11/2011	86 00	4,511 48	0 00	4,807 40	(295 92)
SMITH & NEPHEW PLC ADR CMN (83175M205)	09/30/2008	01/12/2011	60 00	3,196 30	0 00	3,157 44	38 86
SMITH & NEPHEW PLC ADR CMN (83175M205)	10/24/2008	01/12/2011	82 00	4,368 28	0 00	3,177 30	1,190 98
ARM HOLDINGS PLC SPON ADR SPONSORED ADR CMN (042068106)	08/21/2008	01/13/2011	98 00	2,644 54	0 00	591 77	2,052 77
CANADIAN NATURAL RESOURCES CMN (136385101)	08/20/2009	02/03/2011	67 00	3,012 44	0 00	1,958 30	1,054 14
KOMATSU LTD ADR (NEW) SPONSORED GDS CMN (500458401)	08/21/2008	02/03/2011	86 00	2,667 57	0 00	1,935 00	732 57
SAP AG (SPON ADR) (803054204)	08/21/2008	02/03/2011	47 00	2,745 64	0 00	2,636 23	109 41

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
BRITISH SKY BROADCASTING GROUP PLC AMERICAN DEPOSITARY SHARES (1 ADS = 4 ORDS) (111013108)	05/08/2009	02/09/2011	37 00	1,809 71	0 00	1,083 50	726 21
BRITISH SKY BROADCASTING GROUP PLC AMERICAN DEPOSITARY SHARES (1 ADS = 4 ORDS) (111013108)	05/06/2009	02/09/2011	89 00	4,353 08	0 00	2,622 19	1,730 89
WAL-MART DE MEXICO SAB DE CV SPONSORED ADR REPSTG SER V SHS (93114W107)	11/20/2008	02/09/2011	49 00	1,407 46	0 00	591 67	815 79
WAL-MART DE MEXICO SAB DE CV SPONSORED ADR REPSTG SER V SHS (93114W107)	11/20/2008	02/10/2011	49 00	1,370 49	0 00	591 67	778 82
SAP AG (SPON ADR) (803054204)	08/21/2008	02/14/2011	47 00	2,825 11	0 00	2,636 23	188 88
BRITISH SKY BROADCASTING GROUP PLC AMERICAN DEPOSITARY SHARES (1 ADS = 4 ORDS) (111013108)	05/08/2009	02/15/2011	104 00	5,025 04	0 00	3,045 52	1,979 52
LAFARGE SPONSORED ADR CMN (505861401)	02/04/2010	02/24/2011	218 00	3,272 42	0 00	4,005 97	(733 54)
LAFARGE SPONSORED ADR CMN (505861401)	01/28/2010	02/24/2011	230 00	3,452 56	0 00	4,416 16	(963 61)
LAFARGE SPONSORED ADR CMN (505861401)	02/04/2010	02/25/2011	109 00	1,644 60	0 00	2,002 98	(358 38)
BG GROUP PLC SPON ADR ADR CMN (055434203)	07/02/2009	03/02/2011	25 00	2,962 94	0 00	2,139 13	823 81
CANADIAN NATURAL RESOURCES CMN (136385101)	08/20/2009	03/02/2011	40 00	2,057 16	0 00	1,169 13	888 03
CARNIVAL CORPORATION CMN (143658300)	08/21/2008	03/02/2011	60 00	2,435 46	0 00	2,160 60	274 86
CHINA MERCHANTS HOLDINGS (INTE UNSPONOSRED ADR CMN (1694EN103)	10/12/2009	03/02/2011	16 00	689 58	0 00	521 01	168 57
CHINA MERCHANTS HOLDINGS (INTE UNSPONOSRED ADR CMN (1694EN103)	10/13/2009	03/02/2011	34 00	1,465 37	0 00	1,135 17	330 20
CNOOC LIMITED SPONSORED ADR CMN (126132109)	10/05/2009	03/02/2011	8 00	1,848 76	0 00	1,077 61	771 15
FANUC CORP UNSPONSORED ADR CMN (307305102)	12/17/2008	03/02/2011	80 00	2,076 74	0 00	911 20	1,165 54
FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN (358029106)	03/13/2009	03/02/2011	35 00	2,362 86	0 00	1,351 45	1,011 42
HONG KONG EXCHANGES & CLEARING UNSPONSORED ADR CMN (43858F109)	03/13/2009	03/02/2011	100 00	2,149 95	0 00	793 52	1,356 43

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Goldman Sachs

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
KINGFISHER PLC SPONSORED ADR CMN (495724403)	08/21/2008	03/02/2011	225 00	1,790 96	0 00	1,048 50	742 46
KOMATSU LTD ADR (NEW) SPONSORED GDS CMN (500458401)	08/21/2008	03/02/2011	65 00	2,033 81	0 00	1,462 50	571 31
LOGITECH INTERNATIONAL SA ORD CMN (H50430232)	08/21/2008	03/02/2011	90 00	1,730 73	0 00	2,370 60	(639 87)
NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (641069406)	08/21/2008	03/02/2011	40 00	2,277 55	0 00	1,772 00	505 55
NOVARTIS AG-ADR SPONSORED ADR CMN (66987V109)	08/21/2008	03/02/2011	35 00	2,007 56	0 00	1,950 90	56 66
NOVO-NORDISK A/S ADR ADR CMN (670100205)	08/21/2008	03/02/2011	20 00	2,489 15	0 00	1,161 55	1,327 60
POTASH CORP OF SASKATCHEWAN INC (73755L107)	04/30/2009	03/02/2011	30 00	1,842 56	0 00	874 11	968 45
RECKITT BENCKISER GROUP PLC SLOUGH UNSPONSORED ADR (UK) (756255105)	10/13/2008	03/02/2011	200 00	2,079 96	0 00	1,739 50	340 46
SAP AG (SPON ADR) (803054204)	10/07/2008	03/02/2011	2 00	120 88	0 00	74 85	46 02
SAP AG (SPON ADR) (803054204)	08/21/2008	03/02/2011	6 00	362 63	0 00	336 54	26 09
SAP AG (SPON ADR) (803054204)	08/26/2008	03/02/2011	32 00	1,934 04	0 00	1,794 88	139 16
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	08/21/2008	03/02/2011	50 00	2,532 45	0 00	2,337 00	195 45
WAL-MART DE MEXICO SAB DE CV SPONSORED ADR REPSTG SER V SHS (93114W107)	11/20/2008	03/02/2011	8 00	236 64	0 00	96 60	140 04
WAL-MART DE MEXICO SAB DE CV SPONSORED ADR REPSTG SER V SHS (93114W107)	01/23/2009	03/02/2011	72 00	2,129 72	0 00	778 32	1,351 40
INFOSYS LTD SPONSORED ADR CMN - (456788108)	04/23/2009	03/15/2011	57 00	3,773 01	0 00	1,618 28	2,154 73
INFOSYS LTD SPONSORED ADR CMN - (456788108)	03/19/2009	03/15/2011	79 00	5,229 25	0 00	2,115 01	3,114 25
INFOSYS LTD SPONSORED ADR CMN - (456788108)	04/23/2009	03/16/2011	26 00	1,684 12	0 00	738 16	945 96
NOVO-NORDISK A/S ADR ADR CMN (670100205)	08/21/2008	03/16/2011	15 00	1,802 57	0 00	871 17	931 41
SAP AG (SPON ADR) (803054204)	10/07/2008	04/18/2011	29 00	1,835 34	0 00	1,085 36	749 98
SOUTHERN COPPER CORPORATION CMN (84265V105)	01/28/2010	04/19/2011	102 00	3,656 65	0 00	2,843 10	813 55
SOUTHERN COPPER CORPORATION CMN (84265V105)	07/20/2009	04/19/2011	149 00	5,341 57	0 00	3,521 57	1,820 00
VESTAS WIND SYSTEMS A/S ADR CMN (925458101)	09/15/2009	05/11/2011	74 00	742 65	0 00	1,858 30	(1,115 66)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
VESTAS WIND SYSTEMS A/S ADR CMN (925458101)	09/09/2008	05/11/2011	75 00	752 68	0 00	2,865 21	(2,112 53)
VESTAS WIND SYSTEMS A/S ADR CMN (925458101)	04/16/2009	05/11/2011	105 00	1,053 76	0 00	1,940 68	(886 92)
VESTAS WIND SYSTEMS A/S ADR CMN (925458101)	09/30/2008	05/11/2011	127 00	1,274 55	0 00	3,609 54	(2,335 00)
VESTAS WIND SYSTEMS A/S ADR CMN (925458101)	01/15/2009	05/11/2011	141 00	1,415 05	0 00	2,472 04	(1,056 99)
VESTAS WIND SYSTEMS A/S ADR CMN (925458101)	09/15/2009	05/12/2011	58 00	571 13	0 00	1,456 51	(885 38)
VESTAS WIND SYSTEMS A/S ADR CMN (925458101)	12/17/2009	05/12/2011	179 00	1,762 61	0 00	3,635 53	(1,872 91)
VESTAS WIND SYSTEMS A/S ADR CMN (925458101)	12/17/2009	05/13/2011	71 00	707 42	0 00	1,442 02	(734 60)
VESTAS WIND SYSTEMS A/S ADR CMN (925458101)	12/30/2009	05/13/2011	162 00	1,614 12	0 00	3,267 91	(1,653 79)
FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN (358029106)	04/22/2009	05/17/2011	4 00	281 49	0 00	150 59	130 90
FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN (358029106)	03/13/2009	05/17/2011	12 00	844 47	0 00	463 35	381 12
COCA-COLA HELLENIC BOTTLING CO SPONSORED ADR CMN (1912EP104)	08/26/2008	05/18/2011	69 00	1,663 00	0 00	1,658 24	4 75
COCA-COLA HELLENIC BOTTLING CO SPONSORED ADR CMN (1912EP104)	08/21/2008	05/18/2011	280 00	6,748 39	0 00	6,583 50	164 89
AMERICA MOVIL SAB DE CV SPONSORED ADR CMN SERIES L (02364W105)	08/21/2008	05/19/2011	134 00	6,717 61	0 00	6,886 26	(168 65)
AMERICA MOVIL SAB DE CV SPONSORED ADR CMN SERIES L (02364W105)	08/21/2008	05/25/2011	71 00	3,676 63	0 00	3,648 69	27 94
AMERICA MOVIL SAB DE CV SPONSORED ADR CMN SERIES L (02364W105)	08/26/2008	05/26/2011	48 00	2,481 09	0 00	2,424 48	56 62
AMERICA MOVIL SAB DE CV SPONSORED ADR CMN SERIES L (02364W105)	08/21/2008	05/26/2011	65 00	3,359 82	0 00	3,340 35	19 47
ARM HOLDINGS PLC SPON ADR SPONSORED ADR CMN (042068106)	08/21/2008	06/08/2011	80 00	2,235 15	0 00	483 08	1,752 07
BNP PARIBAS SPONSORED ADR CMN (05565A202)	10/17/2008	06/08/2011	6 00	229 02	0 00	233 85	(4 83)
BNP PARIBAS SPONSORED ADR CMN (05565A202)	08/21/2008	06/08/2011	12 00	458 03	0 00	511 20	(53 17)
BNP PARIBAS SPONSORED ADR CMN (05565A202)	08/26/2008	06/08/2011	47 00	1,793 95	0 00	2,029 46	(235 51)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
CANADIAN NATIONAL RAILWAY CO CMN (136375102)	08/21/2008	06/08/2011	44 00	3,348 77	0 00	2,200 00	1,148 77
CARNIVAL CORPORATION CMN (143658300)	08/21/2008	06/08/2011	60 00	2,189 95	0 00	2,160 60	29 35
CNOOC LIMITED SPONSORED ADR CMN (126132109)	10/05/2009	06/08/2011	9 00	2,171 29	0 00	1,212 31	958 98
DASSAULT SYSTEMES SA SPONSORED ADR CMN (237545108)	08/21/2008	06/08/2011	27 00	2,276 32	0 00	1,636 20	640 12
EMBRAER SA ADR CMN (29082A107)	08/21/2008	06/08/2011	83 00	2,533 11	0 00	2,700 64	(167 53)
FANUC CORP UNSPONSORED ADR CMN (307305102)	12/17/2008	06/08/2011	90 00	2,256 25	0 00	1,025 10	1,231 15
FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN (358029106)	04/22/2009	06/08/2011	37 00	2,686 51	0 00	1,393 00	1,293 51
GAZPROM ADR SPONSORED ADR CMN (368287207)	02/12/2008	06/08/2011	24 00	358 55	0 00	592 80	(234 25)
GAZPROM ADR SPONSORED ADR CMN (368287207)	08/21/2008	06/08/2011	107 00	1,598 55	0 00	2,204 20	(605 65)
HANG LUNG PPTYS LTD SPONSORED ADR CMN (41043M104)	08/21/2008	06/08/2011	120 00	2,333 95	0 00	1,752 00	581 95
HENNES & MAURITZ AB ADR CMN (425883105)	08/21/2008	06/08/2011	506 00	3,658 30	0 00	2,517 35	1,140 95
INDUSTRIAL & COMMERCIAL BANK O ADR CMN (455807107)	05/20/2009	06/08/2011	61 00	924 13	0 00	763 38	160 75
INDUSTRIAL & COMMERCIAL BANK O ADR CMN (455807107)	04/08/2009	06/08/2011	88 00	1,333 17	0 00	953 96	379 21
ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN (465562106)	06/24/2009	06/08/2011	8 00	180 16	0 00	110 47	69 68
ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN (465562106)	05/27/2009	06/08/2011	84 00	1,891 64	0 00	1,223 15	668 50
KINGFISHER PLC SPONSORED ADR CMN (495724403)	08/21/2008	06/08/2011	240 00	2,147 95	0 00	1,118 40	1,029 55
KOMATSU LTD ADR (NEW) SPONSORED GDS CMN (500458401)	08/21/2008	06/08/2011	3 00	87 15	0 00	67 50	19 65
KOMATSU LTD ADR (NEW) SPONSORED GDS CMN (500458401)	08/26/2008	06/08/2011	66 00	1,917 26	0 00	1,416 53	500 74
LVMH MOET HENNESSY LOUIS VUITTON S A ADR CMN (502441306)	11/20/2008	06/08/2011	101 00	3,441 00	0 00	995 89	2,445 11
MAN GROUP PLC UNSPONSORED ADR CMN (56164U107)	03/18/2010	06/08/2011	62 00	246 13	0 00	227 26	18 88
MAN GROUP PLC UNSPONSORED ADR CMN (56164U107)	03/25/2010	06/08/2011	488 00	1,937 32	0 00	1,817 02	120 30
MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN (606822104)	05/13/2009	06/08/2011	511 00	2,299 45	0 00	3,363 05	(1,063 60)
NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (641069406)	08/21/2008	06/08/2011	34 00	2,168 81	0 00	1,506 20	662 61
NEW ORIENTAL ED & TECH GRP SPONSORED ADR CMN (647581107)	09/14/2009	06/08/2011	8 00	875 98	0 00	596 74	279 24

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
NEW ORIENTAL ED & TECH GRP SPONSORED ADR CMN (647581107)	09/15/2009	06/08/2011	9 00	985 48	0 00	673 27	312 21
NOVARTIS AG-ADR SPONSORED ADR CMN (66987V109)	08/21/2008	06/08/2011	33 00	2,069 06	0 00	1,839 42	229 64
NOVO-NORDISK A/S ADR ADR CMN (670100205)	08/21/2008	06/08/2011	20 00	2,484 15	0 00	1,161 55	1,322 60
POTASH CORP OF SASKATCHEWAN INC (73755L107)	04/30/2009	06/08/2011	34 00	1,798 90	0 00	990 65	808 25
PUBLICIS GROUPE SA SPONSORED ADR CMN (74463M106)	05/20/2010	06/08/2011	15 00	407 69	0 00	309 12	98 57
PUBLICIS GROUPE SA SPONSORED ADR CMN (74463M106)	05/25/2010	06/08/2011	71 00	1,929 74	0 00	1,378 03	551 71
RECKITT BENCKISER GROUP PLC SLOUGH UNSPONSORED ADR (UK) (756255105)	12/17/2008	06/08/2011	47 00	532 97	0 00	366 82	166 15
RECKITT BENCKISER GROUP PLC SLOUGH UNSPONSORED ADR (UK) (756255105)	10/13/2008	06/08/2011	72 00	816 46	0 00	626 22	190 24
RECKITT BENCKISER GROUP PLC SLOUGH UNSPONSORED ADR (UK) (756255105)	11/20/2008	06/08/2011	129 00	1,462 83	0 00	1,012 65	450 18
SABMILLER PLC SPONSORED ADR (78572M105)	08/21/2008	06/08/2011	62 00	2,260 47	0 00	1,289 60	970 87
SAP AG (SPON ADR) (803054204)	10/07/2008	06/08/2011	47 00	2,858 95	0 00	1,759 04	1,099 92
SCHLUMBERGER LTD CMN (806857108)	12/15/2008	06/08/2011	7 00	587 08	0 00	295 61	291 47
SCHLUMBERGER LTD CMN (806857108)	12/09/2008	06/08/2011	23 00	1,928 97	0 00	978 13	950 84
TELEFONICA S A ADR SPONSORED ADR CMN (879382208)	08/21/2008	06/08/2011	121 00	2,900 31	0 00	2,950 78	(50 47)
TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN (881575302)	10/21/2009	06/08/2011	193 00	3,892 73	0 00	3,792 20	100 53
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	08/21/2008	06/08/2011	75 00	3,731 92	0 00	3,505 50	226 42
TOYOTA MOTOR CORPORATION SPON ADR (892331307)	08/21/2008	06/08/2011	54 00	4,425 21	0 00	4,775 22	(350 01)
TURKIYE GARANTI BANKASI AS GDS CMN (900148701)	02/04/2010	06/08/2011	555 00	2,602 90	0 00	2,405 65	197 25
NEW ORIENTAL ED & TECH GRP SPONSORED ADR CMN (647581107)	09/16/2009	07/20/2011	1 00	127 25	0 00	75 85	51 40
NEW ORIENTAL ED & TECH GRP SPONSORED ADR CMN (647581107)	09/15/2009	07/20/2011	16 00	2,036 00	0 00	1,196 92	839 09
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	08/21/2008	07/26/2011	39 00	1,828 44	0 00	1,822 86	5 58
FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN (358029106)	05/08/2009	07/27/2011	12 00	948 95	0 00	470 35	478 60

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN (358029106)	04/22/2009	07/27/2011	21 00	1,660 66	0 00	790 62	870 04
LVMH MOET HENNESSY LOUIS VUITTON S A ADR CMN (502441306)	11/20/2008	07/27/2011	69 00	2,603 23	0 00	680 36	1,922 87
CANADIAN NATIONAL RAILWAY CO CMN (136375102)	08/21/2008	08/10/2011	67 00	4,575 30	0 00	3,350 00	1,225 30
MAN GROUP PLC UNSPONSORED ADR CMN (56164U107)	03/25/2010	08/11/2011	1,090 00	3,329 55	0 00	4,058 51	(728 96)
MAN GROUP PLC UNSPONSORED ADR CMN (56164U107)	03/25/2010	08/12/2011	249 00	783 31	0 00	927 13	(143 81)
MAN GROUP PLC UNSPONSORED ADR CMN (56164U107)	05/12/2010	08/12/2011	910 00	2,862 71	0 00	3,098 64	(235 93)
FANUC CORP UNSPONSORED ADR CMN (307305102)	12/17/2008	08/17/2011	59 00	1,676 06	0 00	672 01	1,004 05
WAL-MART DE MEXICO SAB DE CV SPONSORED ADR REPSTG SER V SHS (93114W107)	01/23/2009	08/30/2011	69 00	1,793 73	0 00	745 89	1,047 84
NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (641069406)	08/21/2008	08/31/2011	19 00	1,162 20	0 00	841 70	320 50
CHINA MERCHANTS HOLDINGS (INTE UNSPONOSRED ADR CMN (1694EN103)	10/13/2009	09/08/2011	127 00	3,721 54	0 00	4,240 19	(518 65)
CHINA MERCHANTS HOLDINGS (INTE UNSPONOSRED ADR CMN (1694EN103)	10/13/2009	09/09/2011	50 00	1,420 20	0 00	1,669 37	(249 16)
CHINA MERCHANTS HOLDINGS (INTE UNSPONOSRED ADR CMN (1694EN103)	10/20/2009	09/09/2011	58 00	1,647 44	0 00	2,025 64	(378 20)
GAZPROM ADR SPONSORED ADR CMN (368287207)	08/21/2008	09/09/2011	261 00	2,985 73	0 00	5,376 60	(2,390 87)
GAZPROM ADR SPONSORED ADR CMN (368287207)	08/26/2008	09/12/2011	102 00	1,104 23	0 00	1,915 05	(810 82)
GAZPROM ADR SPONSORED ADR CMN (368287207)	08/21/2008	09/12/2011	550 00	5,954 18	0 00	11,330 00	(5,375 82)
NEW ORIENTAL ED & TECH GRP SPONSORED ADR CMN (647581107)	09/16/2009	09/13/2011	20 00	644 26	0 00	379 26	265 00
NEW ORIENTAL ED & TECH GRP SPONSORED ADR CMN (647581107)	10/01/2009	09/13/2011	39 00	1,256 30	0 00	765 77	490 54
NEW ORIENTAL ED & TECH GRP SPONSORED ADR CMN (647581107)	10/01/2009	09/15/2011	39 00	1,298 82	0 00	765 77	533 05
BNP PARIBAS SPONSORED ADR CMN (05565A202)	10/17/2008	09/16/2011	62 00	1,269 08	0 00	2,416 42	(1,147 35)
BNP PARIBAS SPONSORED ADR CMN (05565A202)	10/22/2008	09/16/2011	106 00	2,169 71	0 00	4,001 67	(1,831 96)
BNP PARIBAS SPONSORED ADR CMN (05565A202)	01/07/2009	09/16/2011	141 00	2,886 12	0 00	3,332 83	(446 71)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
CHINA MERCHANTS HOLDINGS (INTE UNSPONOSRED ADR CMN (1694EN103)	10/20/2009	09/16/2011	77 00	2,059 19	0 00	2,689 21	(630 02)
NEW ORIENTAL ED & TECH GRP SPONSORED ADR CMN (647581107)	10/01/2009	09/16/2011	10 00	327 97	0 00	196 35	131 62
NEW ORIENTAL ED & TECH GRP SPONSORED ADR CMN (647581107)	10/08/2009	09/16/2011	16 00	524 75	0 00	327 16	197 59
CHINA MERCHANTS HOLDINGS (INTE UNSPONOSRED ADR CMN (1694EN103)	09/07/2010	09/19/2011	46 00	1,177 95	0 00	1,649 67	(471 72)
CHINA MERCHANTS HOLDINGS (INTE UNSPONOSRED ADR CMN (1694EN103)	10/20/2009	09/19/2011	56 00	1,434 03	0 00	1,955 79	(521 76)
BNP PARIBAS SPONSORED ADR CMN (05565A202)	01/07/2009	09/20/2011	5 00	85 65	0 00	118 19	(32 53)
BNP PARIBAS SPONSORED ADR CMN (05565A202)	05/25/2010	09/20/2011	144 00	2,466 78	0 00	4,016 39	(1,549 61)
LOGITECH INTERNATIONAL SA ORD CMN (H50430232)	01/22/2009	09/22/2011	51 00	394 76	0 00	548 48	(153 72)
LOGITECH INTERNATIONAL SA ORD CMN (H50430232)	08/21/2008	09/22/2011	79 00	611 49	0 00	2,080 86	(1,469 37)
LOGITECH INTERNATIONAL SA ORD CMN (H50430232)	08/26/2008	09/22/2011	95 00	735 33	0 00	2,473 80	(1,738 47)
LOGITECH INTERNATIONAL SA ORD CMN (H50430232)	11/21/2008	09/22/2011	162 00	1,253 93	0 00	1,923 93	(670 00)
LOGITECH INTERNATIONAL SA ORD CMN (H50430232)	07/23/2009	09/23/2011	112 00	864 03	0 00	1,717 78	(853 75)
LOGITECH INTERNATIONAL SA ORD CMN (H50430232)	01/22/2009	09/23/2011	137 00	1,056 89	0 00	1,473 36	(416 46)
LOGITECH INTERNATIONAL SA ORD CMN (H50430232)	07/23/2009	09/26/2011	78 00	605 80	0 00	1,196 31	(590 51)
LOGITECH INTERNATIONAL SA ORD CMN (H50430232)	04/29/2010	09/26/2011	102 00	792 21	0 00	1,701 69	(909 48)
LOGITECH INTERNATIONAL SA ORD CMN (H50430232)	04/29/2010	09/27/2011	133 00	1,064 14	0 00	2,218 86	(1,154 72)
ARM HOLDINGS PLC SPON ADR SPONSORED ADR CMN (042068106)	08/21/2008	10/11/2011	38 00	1,051 00	0 00	229 46	821 54
TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN (881575302)	10/21/2009	10/11/2011	194 00	3,697 89	0 00	3,811 85	(113 96)
SABMILLER PLC SPONSORED ADR (78572M105)	08/21/2008	10/13/2011	57 00	2,023 38	0 00	1,185 60	837 78
SABMILLER PLC SPONSORED ADR (78572M105)	08/21/2008	10/14/2011	76 00	2,714 40	0 00	1,580 80	1,133 60
NEW ORIENTAL ED & TECH GRP SPONSORED ADR CMN (647581107)	10/08/2009	10/17/2011	29 00	873 53	0 00	592 98	280 55
EMBRAER SA ADR CMN (29082A107)	08/21/2008	10/31/2011	66 00	1,880 55	0 00	2,147 50	(266 95)

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
DASSAULT SYSTEMES SA SPONSORED ADR CMN (237545108)	08/21/2008	11/08/2011	26 00	2,168 02	0 00	1,575 60	592 42
NEW ORIENTAL ED & TECH GRP SPONSORED ADR CMN (647581107)	10/08/2009	11/18/2011	39 00	889 81	0 00	797 45	92 36
NEW ORIENTAL ED & TECH GRP SPONSORED ADR CMN (647581107)	12/02/2009	11/18/2011	74 00	1,688 35	0 00	1,347 26	341 09
NEW ORIENTAL ED & TECH GRP SPONSORED ADR CMN (647581107)	12/02/2009	11/21/2011	79 00	1,823 94	0 00	1,438 29	385 65
SAP AG (SPON ADR) (803054204)	10/07/2008	11/21/2011	34 00	1,967 94	0 00	1,272 49	695 45
NEW ORIENTAL ED & TECH GRP SPONSORED ADR CMN (647581107)	12/02/2009	11/22/2011	35 00	815 00	0 00	637 22	177 78
TELEFONICA S A ADR SPONSORED ADR CMN (879382208)	08/21/2008	11/23/2011	239 00	4,171 97	0 00	5,828 40	(1,656 43)
ARM HOLDINGS PLC SPON ADR SPONSORED ADR CMN (042068106)	08/21/2008	11/30/2011	50 00	1,398 72	0 00	301 92	1,096 80
ASSA ABLOY AB UNSPONSORED ADR (SWEDEN) (045387107)	11/09/2010	11/30/2011	147 00	1,775 72	0 00	2,023 12	(247 40)
BG GROUP PLC SPON ADR ADR CMN (055434203)	07/02/2009	11/30/2011	28 00	2,977 74	0 00	2,395 82	581 92
CANADIAN NATIONAL RAILWAY CO CMN (136375102)	08/21/2008	11/30/2011	21 00	1,617 59	0 00	1,050 00	567 59
CANADIAN NATURAL RESOURCES CMN (136385101)	08/20/2009	11/30/2011	29 00	1,065 43	0 00	847 62	217 81
CANON INC ADR ADR CMN (138006309)	06/30/2010	11/30/2011	30 00	1,337 97	0 00	1,121 20	216 77
CARNIVAL CORPORATION CMN (143658300)	08/21/2008	11/30/2011	48 00	1,581 56	0 00	1,728 48	(146 92)
CNOOC LIMITED SPONSORED ADR CMN (126132109)	10/05/2009	11/30/2011	12 00	2,299 27	0 00	1,616 42	682 85
FANUC CORP UNSPONSORED ADR CMN (307305102)	12/17/2008	11/30/2011	43 00	1,163 55	0 00	489 77	673 78
FLSMIDTH & CO A/S SPONSORED ADR CMN (343793105)	08/26/2009	11/30/2011	303 00	1,902 80	0 00	1,645 84	256 96
FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN (358029106)	05/08/2009	11/30/2011	28 00	1,905 36	0 00	1,097 48	807 88
HENNES & MAURITZ AB ADR CMN (425883105)	08/21/2008	11/30/2011	351 00	2,179 66	0 00	1,746 23	433 44
HONG KONG EXCHANGES & CLEARING UNSPONSORED ADR CMN (43858F109)	03/13/2009	11/30/2011	90 00	1,471 47	0 00	714 17	757 30
INDUSTRIAL & COMMERCIAL BANK O ADR CMN (455807107)	05/20/2009	11/30/2011	118 00	1,378 21	0 00	1,476 70	(98 49)
ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN (465562106)	06/24/2009	11/30/2011	145 00	2,541 80	0 00	2,002 34	539 46
KINGFISHER PLC SPONSORED ADR CMN (495724403)	08/21/2008	11/30/2011	123 00	965 53	0 00	573 18	392 35

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
LVMH MOET HENNESSY LOUIS VUITTON S A ADR CMN (502441306)	11/20/2008	11/30/2011	91 00	2,831 86	0 00	897 29	1,934 57
MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN (606822104)	05/13/2009	11/30/2011	54 00	232 37	0 00	355 39	(123 03)
MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN (606822104)	06/12/2009	11/30/2011	203 00	873 52	0 00	1,362 88	(489 36)
NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (641069406)	08/21/2008	11/30/2011	22 00	1,230 87	0 00	974 60	256 27
NOVARTIS AG-ADR SPONSORED ADR CMN (66987V109)	08/21/2008	11/30/2011	32 00	1,721 24	0 00	1,783 68	(62 44)
NOVO-NORDISK A/S ADR ADR CMN (670100205)	08/21/2008	11/30/2011	19 00	2,138 02	0 00	1,103 47	1,034 55
POTASH CORP OF SASKATCHEWAN INC (73755L107)	04/30/2009	11/30/2011	5 00	215 10	0 00	145 68	69 41
POTASH CORP OF SASKATCHEWAN INC (73755L107)	10/07/2009	11/30/2011	23 00	989 44	0 00	686 20	303 24
PUBLICIS GROUPE SA SPONSORED ADR CMN (74463M106)	05/25/2010	11/30/2011	56 00	1,322 69	0 00	1,086 90	235 79
RECKITT BENCKISER GROUP PLC SLOUGH UNSPONSORED ADR (UK) (756255105)	12/17/2008	11/30/2011	126 00	1,257 45	0 00	983 39	274 06
SAP AG (SPON ADR) (803054204)	10/07/2008	11/30/2011	9 00	535 49	0 00	336 84	198 65
SAP AG (SPON ADR) (803054204)	10/10/2008	11/30/2011	35 00	2,082 45	0 00	1,172 32	910 13
SCHLUMBERGER LTD CMN (806857108)	12/15/2008	11/30/2011	38 00	2,833 60	0 00	1,604 75	1,228 85
TENCENT HOLDINGS LIMITED UNSPONSORED ADR CMN (88032Q109)	04/23/2010	11/30/2011	63 00	1,210 20	0 00	1,306 78	(96 58)
TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN (881575302)	10/21/2009	11/30/2011	93 00	1,767 89	0 00	1,827 33	(59 44)
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	08/21/2008	11/30/2011	55 00	2,179 05	0 00	2,570 70	(391 65)
TOYOTA MOTOR CORPORATION SPON ADR (892331307)	08/21/2008	11/30/2011	19 00	1,244 66	0 00	1,680 17	(435 51)
TURKIYE GARANTI BANKASI AS GDS CMN (900148701)	02/04/2010	11/30/2011	322 00	1,072 23	0 00	1,395 71	(323 48)
VOLKSWAGEN AG, WOLFSBURG SPONSORED ADR PFD USD0 4941 (928662402)	08/02/2010	11/30/2011	41 00	1,394 79	0 00	890 99	503 80
WAL-MART DE MEXICO SAB DE CV SPONSORED ADR REPSTG SER V SHS (93114W107)	01/23/2009	11/30/2011	50 00	1,333 97	0 00	540 50	793 47
DASSAULT SYSTEMES SA SPONSORED ADR CMN (237545108)	08/21/2008	12/14/2011	14 00	1,096 32	0 00	848 40	247 92
DASSAULT SYSTEMES SA SPONSORED ADR CMN (237545108)	08/21/2008	12/20/2011	4 00	313 57	0 00	242 40	71 17

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
DASSAULT SYSTEMES SA SPONSORED ADR CMN (237545108)	08/21/2008	12/23/2011	4 00	312 50	0 00	242 40	70 10
DASSAULT SYSTEMES SA SPONSORED ADR CMN (237545108)	08/21/2008	12/27/2011	8 00	627 55	0 00	484 80	142 75
DASSAULT SYSTEMES SA SPONSORED ADR CMN (237545108)	08/21/2008	12/28/2011	4 00	314 85	0 00	242 40	72 45
Net NonCovered Long-Term Gains (Losses)				**392,977.89**	**0.00**	**350,780.57**	**42,197.40**

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Goldman Sachs

REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	0 00	Net Covered Long-Term Gains (Losses)	0 00	Net Ordinary Gains (Losses)	0 00
Net NonCovered Short-Term Gains (Losses)	(2,502 16)	Net NonCovered Long-Term Gains (Losses)	32,762 61		
Net 1099B Non Reportable Short-Term Gains (Losses)	0 00	Net 1099B Non Reportable Long-Term Gains (Losses)	0 00		
Net Miscellaneous Short-Term Gains (Losses)	0 00	Net Miscellaneous Long-Term Gains (Losses)	0 00	Net Miscellaneous Ordinary Gains (Losses)	0 00
Net Regulated Futures Contract Short-Term Gains (Losses)	0 00	Net Regulated Futures Contract Long-Term Gains (Losses)	0 00		
Total Short-Term Gains (Losses)	**(2,502.16)**	**Total Long-Term Gains (Losses)**	**32,762 61**	**Total Ordinary Gains (Losses)**	**0 00**

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Short-Term Gains (Losses)							
GS LOCAL EMERGING MARKETS DEBT FUND CLASS A SHARES (38145N105)	04/22/2010	02/23/2011	2,047 41	19,000 00	0 00	19,307 11	(307 11)
ISHARES MSCI EMERGING MKT INDEX FUND ETF (464287234)	01/20/2011	02/28/2011	230 00	10,453 39	0 00	10,875 20	(421 81)
ISHARES TR-ISHARES MSCI EAFE INDEX FUND ETF (464287465)	01/20/2011	02/28/2011	748 00	45,661 21	0 00	44,295 90	1,365 31
EKSPORTFINANS ASA LINKED TO TPX INDEX 0% COUPON DUE 10/26/2011 STRUCTURED NOTE (282649375)	04/12/2010	03/14/2011	40 00	33,084 80	0 00	40,156 00	(7,071 20)
RABOBANK NEDERLAND LINKED TO TOPIX 0% COUPON DUE 11/07/2011 STRUCTURED NOTE (216847723)	09/30/2010	05/17/2011	65 00	64,857 65	0 00	65,000 00	(142 35)
BNP PARIBAS LINKED TO EUROSTOXX 50 DIV PTS 0% COUPON DUE 12/31/2012 STRUCTURED NOTE (05565A319)	11/19/2010	05/31/2011	50 00	53,775 00	0 00	49,700 00	4,075 00
Net NonCovered Short-Term Gains (Losses)				**226,832.05**	**0.00**	**229,334.21**	**(2,502.16)**

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
EKSPORTFINANS ASA LINKED TO MSCI EAFE AND EEM 0% COUPON DUE 01/24/2011 STRUCTURED NOTE (282649821)	12/17/2008	01/24/2011	40 00	56,020 00	0 00	40,000 00	16,020 00
BNP PARIBAS LNK TO BRIC + IT VS JPY (FX) 0% COUPON DUE 9/22/2011 STRUCTURED NOTE (05567LZX8)	08/31/2009	09/22/2011	60,000 00	52,981 35	118 42	61,792 06	(8,810 71)
FHLB 4 875% 11/18/2011 MN (3133XHPH9)	02/08/2008	11/18/2011	50,000 00	50,000 00	(3,422 50)	50,000 00	0 00
GS STRATEGIC GROWTH FUND CLASS A SHARES (38142Y609)	07/09/2009	11/30/2011	10,060 36	100,000 00	0 00	74,446 68	25,553 32
Net NonCovered Long-Term Gains (Losses)				**259,001.35**	**(3,304.08)**	**226,238.74**	**32,762.61**

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

Goldman Sachs

THE McININCH FOUNDATION

555 Canal Street – Suite 710
Manchester, New Hampshire 03101-1517

Telephone
603-622-4052
Fax 603-627-2845
E-mail: FDTN555@aol.com

The McIninch Foundation was created in 1961 for general charitable purposes. **The Foundation has focused its grant making on New Hampshire-based nonprofit charitable entities which are 501 (c) (3) organizations formally registered with the NH Director of Charitable Trusts. Rare exceptions are made to this policy.**

A copy of the Grant Application accompanies this letter. In completing the Grant Application, your close attention is directed to the Organization Profile, and those responses relating to the registration and filing requirements of charitable entities. Inquiries about these registration requirements should be directed to the Charitable Trust Division of the Office of Attorney General, 33 Capitol Street, Concord, NH 03301-6397 (603-271-3591) (www.state.nh.us/nhdoj/CHARITABLE/).

In order that your time and the Trustees' time are efficiently used, you should understand that the Trustees often are called upon to review fifty or more applications at a time. Accordingly, brevity as well as clarity of purpose in describing the proposed grant will be highly regarded. A precise description of what will be purchased with the grant dollars is most important in the decision making process. Similarly, the future sustainability of the project funded by the proposed grant is of great significance. Thus, your attention is directed to Sections IV A through IV E of the Grant Application. Please verify that you are using the application form intended for use after December 8, 2009. Out of date forms may be rejected.

Grant Applications must be ***received*** **by *April 15* and *October 15*.** Two complete copies of the Grant Application packages with supporting materials should be provided to the Foundation. You should include a copy of your Tax Exempt Status Letter and ONE copy of IRS Form 990. Please do *not* submit videotapes or CDs, documents or information in binders or notebooks. Delivery of Grant Applications by certified mail is inconvenient and should be avoided, as the office is not open on a full-time basis. All grant applicants will receive written notification of the application results within 90 days of the submission deadline. Successful grant applicants will be asked to complete and return a follow-up report one year after the grant is received

Very truly yours,

Douglas McIninch, Trustee

12/8/09
NOTE: Guidelines and Grant Applications are available by e-mail.

THE MCININCH FOUNDATION - GRANT APPLICATION

(For grants exceeding $1,000)
(Please type your response)

I. APPLICANT ORGANIZATION PROFILE

Name

Address

E-Mail

Phone ( ) Fax ( )

Contact Person:

Organization's Legal Status **(Corp/LLC/NH Voluntary Association):**

Year Founded.

501 C (3) IRS Exemption Letter Date

Federal EIN

NH Dir Of Charitable Trust Registration) **#:**
(See RSA 7: 19-32-b)

Tax Return Form 990 Filed w/IRS. (Attach **ONE** Copy) ☐Y ☐N

Annual Report **(NHCT 2A) or IRS Form 990 filed with NH Director of Charitable Trusts. If yes, attach ONE copy.** ☐Y ☐N

Conflict of Interest Policy Adoption Date

BOARD OF DIRECTORS

President/Chair

VP/Chair

Treasurer

Secretary

MEMBERS·

1

2

3

4

5.

6

7

8.

9

II. SERVICE PROFILE

of registered members

# of people served in past 12 months

Area of Service (Geographic)

National Affiliations·

1

2

3

4

Description of services provided. **(Please be concise)**

Staff (Full time) (Part Time)

Compensation of 5 highest paid employees & position

Mere Reference to Tax Return Not Acceptable

1) $

2) $

3) $

4) $

5) $

GRANT REQUEST $

THE MCININCH FOUNDATION – GRANT APPLICATION

(Continued)

III. APPLICATION GUIDELINES

Please provide a concise but detailed description of the project to be funded by this grant (Section IV A-E should accurately show how the grant will be invested, what goals will be accomplished and how the project will be sustained after the grant funds are expended). Two legible copies must be received **on or before** the deadlines of April 15 or October 15.

IV. GRANT REQUEST

Application for $______________ (Please specify amount)

IV A. <u>Project Description</u> Please describe the specific project for which these funds are requested. Attach a proposed budget for <u>this</u> project showing how the grant will be spent; include a list of the project's expenses and revenues. Copies of third party bids and estimates should also be attached for all capital items. **FAILURE TO PROVIDE A WRITTEN BUDGET WILL RESULT IN INACTION ON YOUR GRANT REQUEST.**

IV B. <u>Project Goals & Objectives:</u> Please list the specific goals and objectives by which the success of this project may be measured after its completion.

THE MCININCH FOUNDATION – GRANT APPLICATION
(Continued)

IV B. <u>Project Goals & Objectives – Continued:</u>

IV C. Please provide a written analysis of the financial sustainability of this project once the grant funds have been expended. Has the Board analyzed the project's long-term financial sustainability? ☐Y ☐N

IV D. Project Commencement Date:

Project Completion Date:

Total Project Budget: $

Funds Received to Date: $

Funds Pledged to Date: $

Fundraising Commenced:

% of Board Solicited:

% of Membership Solicited:

Membership Contribution: $

Board Contribution: $

IV E. Outstanding Foundation Requests:

ENTITY	AMOUNT REQUESTED	AMOUNT GRANTED

THE MCININCH FOUNDATION – GRANT APPLICATION

(Continued)

V. FUNDING HISTORY

Prior McIninch Requests:

Date	Amount	Project: (Brief Description)	Granted
			Y N
			Y N
			Y N
			Y N
			Y N
			Y N

VI. FINANCIAL SUMMARY OF APPLICANT

Last Fiscal Year (FY) Ended	
Last FY Expenditures	$
Last FY Total Income	$
Operating Fund Balance at End of FY	$
Current FY Operating Budget	$
Endowment- Today's Value:	$
Debt	$
Dues to National	$
Real Estate Owned	$
Equipment Owned	$

Last FY Sources of Support	Amount	Percent
Government Grants	$	%
Program Fees	$	%
Endowment Income	$	%
Other Earned Income	$	%
Event Fundraising	$	%
Membership.	$	%
United Way	$	%
Contributions:		
- Business	$	%
- Individuals	$	%
- Foundations	$	%
TOTAL	$	

GRANT REQUEST $

THE MCININCH FOUNDATION – GRANT APPLICATION

(Continued)

VII. CERTIFICATION

Are copies of audited financial statements routinely provided to your Board? ☐Y ☐N

Does the Board routinely receive photocopies of each endowment's bank and investment statements? ☐Y ☐N

Is your endowment spending in compliance with NH RSA 292-B? ☐Y ☐N

I certify that we have provided precise information concerning how the requested funds will be expended. **This organization is in compliance with the registration and reporting requirements of the NH Director of Charitable Trusts, the IRS and the NH Secretary State**. This grant request along with the application guidelines in Section III has been reviewed. Two copies of this application are being provided to the McIninch Foundation office at 555 Canal Street, Suite 710, Manchester, NH 03101 ***on or before*** April 15 or October 15.

President/Chair (Signature)	Print or Type Name	Date
Executive Director (Signature)	Print or Type Name	Date

You will be informed of the Trustees' decisions within 90 days of the submission deadline.