



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990**Department of the Treasury
Internal Revenue Service**Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No. 1545-0047

2011Open to Public
Inspection**A** For the 2011 calendar year, or tax year beginning

and ending

B Check if applicable

- ☐ Address change
☒ Name change
☐ Initial return
☐ Terminated
☐ Amended return
☐ Application pending

C Name of organization**Alliance for Clinical Trials in Oncology Foundation**

Doing Business As

Number and street (or P.O. box if mail is not delivered to street address)

230 W. Monroe Street

Room/suite

2050

City or town, state or country, and ZIP + 4

Chicago, IL 60606-4703**F** Name and address of principal officer **Denise Brennan**
same as C above**D** Employer identification number**02-0464400****E** Telephone number**773-702-9171****G** Gross receipts \$ **23,084,923.****H(a)** Is this a group return

for affiliates?

☐ Yes ☒ No**H(b)** Are all affiliates included? ☐ Yes ☐ No

If "No," attach a list (see instructions)

H(c) Group exemption number ▶**I** Tax-exempt status ☒ 501(c)(3) ☐ 501(c) () (insert no.) ☐ 4947(a)(1) or ☐ 527**J** Website: **calgb.org/public/foundation/foundation.php****K** Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶**L** Year of formation: **1993****M** State of legal domicile: **IL****Part I Summary**

Activities & Governance	1	Briefly describe the organization's mission or most significant activities	To support research on the methods of treating, managing & preventing cancer.	
	2	Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets		
	3	Number of voting members of the governing body (Part VI, line 1a)	3	39
	4	Number of independent voting members of the governing body (Part VI, line 1b)	4	39
	5	Total number of individuals employed in calendar year 2011 (Part V, line 2a)	5	2
	6	Total number of volunteers (estimate if necessary)	6	39
		7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a
7b		Net unrelated business taxable income from Form 990-T, line 34	7b	0.
Revenue	8	Contributions and grants (Part VIII, line 1h)	Prior Year 12,994,317.	Current Year 15,889,554.
	9	Program service revenue (Part VIII, line 2g)	8,850.	9,900.
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	479,505.	539,733.
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	0.	0.
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	13,482,672.	16,439,187.
	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	10,498,476.	11,487,651.
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0.	0.
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	158,698.	128,306.
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	0.	0.
	17	b Total fundraising expenses (Part IX, column (D), line 25) ▶ 74,756.		
Expenses	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	1,184,708.	916,173.
	18	Total expenses Add lines 13-17 (must equal Part IX, column (A), line 25)	11,841,882.	12,532,130.
	19	Revenue less expenses Subtract line 18 from line 12	1,640,790.	3,907,057.
	20	Total assets (Part X, line 16)	Beginning of Current Year 35,653,350.	End of Year 39,394,220.
Net Assets or Fund Balances	21	Total liabilities (Part X, line 26)	16,724,204.	15,097,700.
	22	Net assets or fund balances Subtract line 21 from line 20	18,929,146.	24,296,520.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here ▶ **Denise Brennan** **6/29/2012**
 Signature of officer Date
Denise Brennan, Acting Treasurer
 Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check if self-employed ☐	PTIN
Hugh J. Ahern, CPA	<i>Hugh J. Ahern</i>	06/29/12		P00010788
Firm's name ▶ Desmond & Ahern, Ltd.	Firm's EIN ▶ 36-3321958			
Firm's address ▶ 10827 S. Western Ave.	Phone no. 773-779-4720			
Chicago, IL 60643				

May the IRS discuss this return with the preparer shown above? (see instructions)

☒ Yes ☐ No

618

1

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response to any question in this Part III

☒ X**1** Briefly describe the organization's mission

The Foundation was formed for the primary purpose of aiding the work of the Cancer and Leukemia Group B (CALGB). The purpose is accomplished by encouraging and supporting research on the methods of management and treatment of patients with neoplastic diseases,

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?☐ Yes ☒ No

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services?☐ Yes ☒ No

If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported**4a** (Code _____) (Expenses \$ 4,576,115. including grants of \$ 4,576,115.) (Revenue \$ _____)

Institution per-case grants- Provide support for costs institutions incur in collecting data on patients participating in clinical trials relating to cancer & Leukemia research.

4b (Code _____) (Expenses \$ 3,750,349. including grants of \$ 3,750,349.) (Revenue \$ _____)

Statistical & data management grants- Provide support for costs incurred to develop clinical trials, collect patient data from institutions & analyze data for publication of results of studies.

4c (Code _____) (Expenses \$ 2,618,088. including grants of \$ 2,618,088.) (Revenue \$ _____)

Ancillary project grants- Provide support for expenses of multidisciplinary companion studies of calgb treatment studies relating to cancer and leukemia research.

4d Other program services (Describe in Schedule O)(Expenses \$ 1,271,860. including grants of \$ 343,900.) (Revenue \$ 9,900.)**4e** Total program service expenses 12,216,412.

Form 990 (2011)

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	1 X	
2 Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> ?	2 X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>	3	X
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>	4	X
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i>	5	X
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>	6	X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>	7	X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>	8	X
9 Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>	9	X
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>	10 X	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i>	11a X	
b Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i>	11b	X
c Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i>	11c	X
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>	11d	X
e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i>	11e X	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i>	11f X	
12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI, XII, and XIII</i>	12a X	
b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional</i>	12b	X
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>	13	X
14a Did the organization maintain an office, employees, or agents outside of the United States?	14a	X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i>	14b	X
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? <i>If "Yes," complete Schedule F, Parts II and IV</i>	15 X	
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? <i>If "Yes," complete Schedule F, Parts III and IV</i>	16	X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i>	17	X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>	18	X
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>	19	X
20a Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i>	20a	X
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b	

Form 990 (2011)

Alliance for Clinical Trials in Oncology

Form 990 (2011)

Foundation

02-0464400

Page 4

Part IV Checklist of Required Schedules (continued)

		Yes	No
21 Did the organization report more than \$5,000 of grants and other assistance to any government or organization in the United States on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	21	X	
22 Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22		X
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J	23		X
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25	24a		X
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I	25a		X
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I	25b		X
26 Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? If "Yes," complete Schedule L, Part II	26		X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part III	27		X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV	28a		X
b A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV	28b		X
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV	28c		X
29 Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	29		X
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M	30		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I	31		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II	32		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I	33		X
34 Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1	34		X
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		X
b Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35b		X
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2	36		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI	37		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19?	38	X	

Note. All Form 990 filers are required to complete Schedule O

Form 990 (2011)

**Alliance for Clinical Trials in Oncology
Foundation**

Form 990 (2011)

02-0464400 Page 5

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response to any question in this Part V ☐

		Yes	No
1a Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable.	1a 23		
b Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable.	1b 0		
c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c	X	
2a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return.	2a 2		
b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions).	2b	X	
3a Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a		X
b If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O.	3b		
4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a		X
b If "Yes," enter the name of the foreign country: _____ See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.			
5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a		X
b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b		X
c If "Yes," to line 5a or 5b, did the organization file Form 8886-T?	5c		
6a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible?	6a		X
b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b		
7 Organizations that may receive deductible contributions under section 170(c).			
a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a		X
b If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b		
c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c		X
d If "Yes," indicate the number of Forms 8282 filed during the year.	7d		
e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e		X
f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f		X
g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g		
h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h		
8 Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	8		
9 Sponsoring organizations maintaining donor advised funds.			
a Did the organization make any taxable distributions under section 4966?	9a		
b Did the organization make a distribution to a donor, donor advisor, or related person?	9b		
10 Section 501(c)(7) organizations. Enter:			
a Initiation fees and capital contributions included on Part VIII, line 12.	10a		
b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities.	10b		
11 Section 501(c)(12) organizations. Enter:			
a Gross income from members or shareholders.	11a		
b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b		
12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a		
b If "Yes," enter the amount of tax-exempt interest received or accrued during the year.	12b		
13 Section 501(c)(29) qualified nonprofit health insurance issuers.			
a Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O.	13a		
b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans.	13b		
c Enter the amount of reserves on hand.	13c		
14a Did the organization receive any payments for indoor tanning services during the tax year?	14a		X
b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O.	14b		

Form 990 (2011)

Alliance for Clinical Trials in Oncology

Form 990 (2011)

Foundation

02-0464400 Page 6

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response to any question in this Part VI

☒ X

Section A. Governing Body and Management

		Yes	No
1a Enter the number of voting members of the governing body at the end of the tax year If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.	1a 39		
b Enter the number of voting members included in line 1a, above, who are independent	1b 39		
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2		X
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?	3		X
4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4	X	
5 Did the organization become aware during the year of a significant diversion of the organization's assets?	5		X
6 Did the organization have members or stockholders?	6		X
7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a		X
b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b		X
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:			
a The governing body?	8a	X	
b Each committee with authority to act on behalf of the governing body?	8b	X	
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9		X

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code)

		Yes	No
10a Did the organization have local chapters, branches, or affiliates?	10a		X
b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b		
11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a		X
b Describe in Schedule O the process, if any, used by the organization to review this Form 990			
12a Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	X	
b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	X	
c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	12c	X	
13 Did the organization have a written whistleblower policy?	13	X	
14 Did the organization have a written document retention and destruction policy?	14	X	
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a The organization's CEO, Executive Director, or top management official	15a		X
b Other officers or key employees of the organization	15b	X	
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)			
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		X
b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b		

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed ► IL

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
☐ Own website ☒ Another's website ☒ Upon request

19 Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization ►
Sylvia Hrbek - 773-702-9904
230 W. Monroe, Suite 2050, Chicago, IL 60606-4703

132006
01-23-12

Form 990 (2011)

Alliance for Clinical Trials in Oncology

Form 990 (2011)

Foundation

02-0464400

Page 7

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response to any question in this Part VII ☐

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
 - List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
 - List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
 - List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
 - List all of the organization's **former** directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.
- List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☒ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) Monica Bertagnolli, M.D. President	1.00	X		X				0.	0.	0.
(2) Gini Fleming Vice President	1.00	X		X				0.	0.	0.
(3) Trinidad Ajazi Secretary	1.00	X		X				0.	0.	0.
(4) Denise Brennan Interim Treasurer	16.00	X		X				0.	0.	0.
(5) Rafat Ansari, M.D. Trustee	1.00	X						0.	0.	0.
(6) James Atkins, M.D. Trustee	1.00	X						0.	0.	0.
(7) Nancy Bartlett, M.D. Trustee	1.00	X						0.	0.	0.
(8) Clara D. Bloomfield, MD Trustee	1.00	X						0.	0.	0.
(9) Daniel Budman, M.D. Trustee	1.00	X						0.	0.	0.
(10) Harold Burstein, M.D. Trustee	1.00	X						0.	0.	0.
(11) Jeffrey Crawford, M.D. Trustee	1.00	X						0.	0.	0.
(12) Konstantin Dragnev, M.D. Trustee	1.00	X						0.	0.	0.
(13) Martin Edelman, M.D. Trustee	1.00	X						0.	0.	0.
(14) John A. Ellerton, M.D. Trustee	1.00	X						0.	0.	0.
(15) Stephen Graziano, M.D. Trustee	1.00	X						0.	0.	0.
(16) Stephen Grubbs, M.D. Trustee	1.00	X						0.	0.	0.
(17) Steven Grunberg, M.D. Trustee	1.00	X						0.	0.	0.

**Alliance for Clinical Trials in Oncology
Foundation**

Form 990 (2011)

02-0464400 Page **8**

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(18) Clifford Hudis, M.D. Trustee	1.00	X						0.	0.	0.
(19) David Hurd, M.D. Trustee	1.00	X						0.	0.	0.
(20) Anne Kessinger, M.D. Trustee	1.00	X						0.	0.	0.
(21) Hedy Kindler, M.D. Trustee	1.00	X						0.	0.	0.
(22) Jeffrey Kirshner, M.D. Trustee	1.00	X						0.	0.	0.
(23) John Leonard, M.D. Trustee	1.00	X						0.	0.	0.
(24) Ellis G. Levine, M.D. Trustee	1.00	X						0.	0.	0.
(25) John Lister, M.D. Trustee	1.00	X						0.	0.	0.
(26) Minetta Liu, M.D. Trustee	1.00	X						0.	0.	0.
1b Sub-total								0.	0.	0.
c Total from continuation sheets to Part VII, Section A								0.	0.	0.
d Total (add lines 1b and 1c)								0.	0.	0.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization 0

	Yes	No
3 Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual		X
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
NONE		

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization 0

See Part VII, Section A Continuation sheets

Form **990** (2011)

**Alliance for Clinical Trials in Oncology
Foundation**

Form 990 (2011)

02-0464400

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(27) Alan Lyss, M.D. Trustee	1.00	X						0.	0.	0.
(28) Shubham Pant, M.D. Trustee	1.00	X						0.	0.	0.
(29) Barbara Parker, M.D. Trustee	1.00	X						0.	0.	0.
(30) David J. Peace, M.D. Trustee	1.00	X						0.	0.	0.
(31) Michael C. Perry, M.D. Trustee	1.00	X						0.	0.	0.
(32) Bruce Petersen, M.D. Trustee	1.00	X						0.	0.	0.
(33) Charles Ryan, M.D. Trustee	1.00	X						0.	0.	0.
(34) Thomas Shea, M.D. Trustee	1.00	X						0.	0.	0.
(35) Michael Schwartz, M.D. Trustee from June 2011	1.00	X						0.	0.	0.
(36) William Sikov, M.D. Trustee	1.00	X						0.	0.	0.
(37) Lewis Silverman, M.D. Trustee	1.00	X						0.	0.	0.
(38) Daniel Vaena, M.D. Trustee	1.00	X						0.	0.	0.
(39) David Van Echo, M.D. Trustee thru June 2011	1.00	X						0.	0.	0.
(40) Rogerio Lilenbaum, M.D. Trustee thru June 2011	1.00	X						0.	0.	0.
(41) Jeremy Perkins, M.D. Trustee from June 2011	1.00	X						0.	0.	0.
Total to Part VII, Section A, line 1c										

Alliance for Clinical Trials in Oncology

Form 990 (2011)

Foundation

02-0464400

Page 9

Part VIII Statement of Revenue

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514	
Contributions, Gifts, Grants and Other Similar Amounts	1 a	Federated campaigns	1a	224,000.			
	b	Membership dues	1b				
	c	Fundraising events	1c				
	d	Related organizations	1d				
	e	Government grants (contributions)	1e	2,125.			
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	15663429.			
	g	Noncash contributions included in lines 1a-1f \$					
	h	Total. Add lines 1a-1f		15889554.			
	Program Service Revenue	2 a	Grant processing fee	Business Code 900099	9,900.	9,900.	
b							
c							
d							
e							
f		All other program service revenue					
g		Total. Add lines 2a-2f		9,900.			
Other Revenue		3	Investment income (including dividends, interest, and other similar amounts)		331,037.		
	4	Income from investment of tax-exempt bond proceeds					
	5	Royalties					
	6 a	Gross rents	(i) Real (ii) Personal				
		b Less rental expenses					
		c Rental income or (loss)					
		d Net rental income or (loss)					
	7 a	Gross amount from sales of assets other than inventory	(i) Securities (ii) Other				
		b Less cost or other basis and sales expenses					
		c Gain or (loss)					
		d Net gain or (loss)		208,696.			208,696.
	8 a	Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c) See Part IV, line 18	a				
		b Less direct expenses	b				
		c Net income or (loss) from fundraising events					
	9 a	Gross income from gaming activities See Part IV, line 19	a				
		b Less direct expenses	b				
		c Net income or (loss) from gaming activities					
10 a	Gross sales of inventory, less returns and allowances	a					
	b Less cost of goods sold	b					
	c Net income or (loss) from sales of inventory						
Miscellaneous Revenue		Business Code					
11 a							
	b						
	c						
	d All other revenue						
e	Total. Add lines 11a-11d						
12	Total revenue. See instructions.		16439187.	9,900.	0.	539,733.	

132009
01-23-12

Form 990 (2011)

Alliance for Clinical Trials in Oncology

Form 990 (2011)

Foundation

02-0464400 Page 10

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Check if Schedule O contains a response to any question in this Part IX ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21	11,235,001.	11,235,001.		
2 Grants and other assistance to individuals in the United States. See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16	252,650.	252,650.		
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees				
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	118,141.		63,534.	54,607.
8 Pension plan accruals and contributions (include section 401(k) and section 403(b) employer contributions)				
9 Other employee benefits				
10 Payroll taxes	10,165.		5,467.	4,698.
11 Fees for services (non-employees)				
a Management				
b Legal	22,157.		22,157.	
c Accounting	21,000.		21,000.	
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees	78,439.		78,439.	
g Other	164,783.	149,417.	15,366.	
12 Advertising and promotion				
13 Office expenses	9,454.	6,788.	2,666.	
14 Information technology	9,068.		9,068.	
15 Royalties				
16 Occupancy	27,868.		14,987.	12,881.
17 Travel	70,019.	68,209.	1,810.	
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	160,814.	160,096.	718.	
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization				
23 Insurance	8,103.		5,533.	2,570.
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a <u>Accrual Solutions-clini</u>	319,518.	319,518.		
b <u>Staff retention program</u>	22,500.	22,500.		
c <u>Gifts and flowers</u>	2,325.	2,233.	92.	
d <u>Miscellaneous</u>	125.		125.	
e All other expenses				
25 Total functional expenses. Add lines 1 through 24e	12,532,130.	12,216,412.	240,962.	74,756.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				

Check here ☐ if following SOP 98-2 (ASC 958-720)

**Alliance for Clinical Trials in Oncology
Foundation**

Form 990 (2011)

02-0464400 Page 11

Part X Balance Sheet

		(A) Beginning of year		(B) End of year
Assets	1 Cash - non-interest-bearing		1	
	2 Savings and temporary cash investments	18,903,171.	2	23,349,519.
	3 Pledges and grants receivable, net	3,206,235.	3	5,086,204.
	4 Accounts receivable, net		4	
	5 Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L		5	
	6 Receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions)		6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use		8	
	9 Prepaid expenses and deferred charges	25,000.	9	25,000.
	10a Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D	25,799.		
	10b Less accumulated depreciation	25,799.	10c	0.
	11 Investments - publicly traded securities	13,479,154.	11	10,899,426.
	12 Investments - other securities See Part IV, line 11	0.	12	
	13 Investments - program-related See Part IV, line 11		13	
	14 Intangible assets		14	
	15 Other assets See Part IV, line 11	39,790.	15	34,071.
16 Total assets. Add lines 1 through 15 (must equal line 34)	35,653,350.	16	39,394,220.	
Liabilities	17 Accounts payable and accrued expenses	2,255,665.	17	4,441,288.
	18 Grants payable		18	
	19 Deferred revenue		19	
	20 Tax-exempt bond liabilities		20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22 Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L		22	
	23 Secured mortgages and notes payable to unrelated third parties		23	
	24 Unsecured notes and loans payable to unrelated third parties		24	
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D	14,468,539.	25	10,656,412.
	26 Total liabilities. Add lines 17 through 25	16,724,204.	26	15,097,700.
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here ☒ and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets	13,712,802.	27	18,403,291.
	28 Temporarily restricted net assets	5,216,344.	28	5,893,229.
	29 Permanently restricted net assets		29	
	Organizations that do not follow SFAS 117, check here ☐ and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building, or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds		32	
	33 Total net assets or fund balances	18,929,146.	33	24,296,520.
34 Total liabilities and net assets/fund balances	35,653,350.	34	39,394,220.	

Form 990 (2011)

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response to any question in this Part XI

☒

1	Total revenue (must equal Part VIII, column (A), line 12)	1	16,439,187.
2	Total expenses (must equal Part IX, column (A), line 25)	2	12,532,130.
3	Revenue less expenses Subtract line 2 from line 1	3	3,907,057.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	18,929,146.
5	Other changes in net assets or fund balances (explain in Schedule O)	5	1,460,317.
6	Net assets or fund balances at end of year Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B))	6	24,296,520.

Part XII Financial Statements and Reporting

Check if Schedule O contains a response to any question in this Part XII

☐

- 1 Accounting method used to prepare the Form 990 ☐ Cash ☒ Accrual ☐ Other _____
If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O
- 2a Were the organization's financial statements compiled or reviewed by an independent accountant?
- b Were the organization's financial statements audited by an independent accountant?
- c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?
If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O
- d If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both
☒ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis
- 3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?
- b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits

	Yes	No
2a		X
2b	X	
2c	X	
3a		X
3b		

Form 990 (2011)

Department of the Treasury
Internal Revenue Service

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ **Attach to Form 990 or Form 990-EZ.** ▶ **See separate instructions.**

OMB No. 1545-0047

2011

**Open to Public
Inspection**

Name of the organization Alliance for Clinical Trials in Oncology Foundation

Employer identification number
02-0464400

Part I	Reason for Public Charity Status (All organizations must complete this part) See instructions
---------------	---

The organization is not a private foundation because it is (For lines 1 through 11, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state _____

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II)

9 ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III)

10 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h.

a ☐ Type I b ☐ Type II c ☐ Type III - Functionally integrated d ☐ Type III - Other

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).

f ☐ If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box _____

g ☐ Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?

(ii) A family member of a person described in (i) above?

(iii) A 35% controlled entity of a person described in (i) or (ii) above?

h ☐ Provide the following information about the supported organization(s)

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

[illegible]**Total**

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2011

132021
01-24-12

Alliance for Clinical Trials in Oncology

Schedule A (Form 990 or 990-EZ) 2011 **Foundation**

02-0464400 Page 2

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")	4492338.	6805207.	8997405.	10409026.	11592518.	42296494.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3	4492338.	6805207.	8997405.	10409026.	11592518.	42296494.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						26706427.
6 Public support. Subtract line 5 from line 4						15590067.

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
7 Amounts from line 4	4492338.	6805207.	8997405.	10409026.	11592518.	42296494.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	822,863.	715,751.	456,302.	390,852.	331,035.	2716803.
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
11 Total support. Add lines 7 through 10						45013297.
12 Gross receipts from related activities, etc. (see instructions)					12	64,153.

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ► ☐**Section C. Computation of Public Support Percentage**

14 Public support percentage for 2011 (line 6, column (f) divided by line 11, column (f))	14	34.63	%
15 Public support percentage from 2010 Schedule A, Part II, line 14	15	34.28	%
16a 33 1/3% support test - 2011. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ► ☒			
b 33 1/3% support test - 2010. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ► ☐			
17a 10% -facts-and-circumstances test - 2011. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ► ☐			
b 10% -facts-and-circumstances test - 2010. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ► ☐			
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ► ☐			

Schedule A (Form 990 or 990-EZ) 2011

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support. (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
13 Total support. (Add lines 9, 10c, 11, and 12)						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ☐						

Section C. Computation of Public Support Percentage

15 Public support percentage for 2011 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2010 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2011 (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from 2010 Schedule A, Part III, line 17	18	%

19a 33 1/3% support tests - 2011. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ☐

b 33 1/3% support tests - 2010. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ☐

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ☐

SCHEDULE D
(Form 990)Department of the Treasury
Internal Revenue Service**Supplemental Financial Statements**▶ Complete if the organization answered "Yes," to Form 990,
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2011Open to Public
InspectionName of the organization **Alliance for Clinical Trials in Oncology
Foundation**Employer identification number
02-0464400**Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the
organization answered "Yes" to Form 990, Part IV, line 6

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate contributions to (during year)		
3 Aggregate grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?		☐ Yes ☐ No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?		☐ Yes ☐ No

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7

1 Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e.g., recreation or education)	☐ Preservation of an historically important land area
☐ Protection of natural habitat	☐ Preservation of a certified historic structure
☐ Preservation of open space	

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Tax Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ _____

4 Number of states where property subject to conservation easement is located ▶ _____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ _____

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 8

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1	▶ \$ _____
(ii) Assets included in Form 990, Part X	▶ \$ _____

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

a Revenues included in Form 990, Part VIII, line 1	▶ \$ _____
b Assets included in Form 990, Part X	▶ \$ _____

Alliance for Clinical Trials in Oncology

Schedule D (Form 990) 2011

Foundation

02-0464400 Page 2

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

- a ☐ Public exhibition
 b ☐ Scholarly research
 c ☐ Preservation for future generations
 d ☐ Loan or exchange programs
 e ☐ Other _____

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV and complete the following table

- c Beginning balance
 d Additions during the year
 e Distributions during the year
 f Ending balance

	Amount
1c	
1d	
1e	
1f	

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	9,235,035.	7,213,694.	5,244,850.	6,188,288.	
b Contributions	0.	1,451,235.	995,292.	483,727.	
c Net investment earnings, gains, and losses	-232,469.	639,361.	1,024,937.	-1,371,312.	
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses	77,549.	69,254.	51,385.	55,853.	
g End of year balance	8,925,016.	9,235,035.	7,213,694.	5,244,850.	

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

- a Board designated or quasi-endowment ▶ 100.00 %
 b Permanent endowment ▶ _____ %
 c Temporarily restricted endowment ▶ _____ %

The percentages in lines 2a, 2b, and 2c should equal 100%

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

- (i) unrelated organizations
 (ii) related organizations

	Yes	No
3a(i)		X
3a(ii)		X
3b		

b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

4 Describe in Part XIV the intended uses of the organization's endowment funds

Part VI Land, Buildings, and Equipment. See Form 990, Part X, line 10

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements				
d Equipment				
e Other		25,799.	25,799.	0.
Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c))				0.

Schedule D (Form 990) 2011

Alliance for Clinical Trials in Oncology

Schedule D (Form 990) 2011

Foundation

02-0464400 Page 3

Part VII Investments - Other Securities. See Form 990, Part X, line 12

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation. Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
(I)		
Total. (Col (b) must equal Form 990, Part X, col (B) line 12.) ▶		

Part VIII Investments - Program Related. See Form 990, Part X, line 13

(a) Description of investment type	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		
Total. (Col (b) must equal Form 990, Part X, col (B) line 13.) ▶		

Part IX Other Assets. See Form 990, Part X, line 15

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
Total. (Column (b) must equal Form 990, Part X, col (B) line 15.) ▶	

Part X Other Liabilities. See Form 990, Part X, line 25

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) Grant advances	10,656,412.
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
(11)	
Total. (Column (b) must equal Form 990, Part X, col (B) line 25.) ▶	

FIN 48 (ASC 740) Footnote In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under

FIN 48 (ASC 740)

132053
01-23-12

Schedule D (Form 990) 2011

Alliance for Clinical Trials in Oncology

Schedule D (Form 990) 2011

Foundation

02-0464400 Page 4

Part XI Reconciliation of Change in Net Assets from Form 990 to Audited Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	16,439,187.
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	12,532,130.
3	Excess or (deficit) for the year Subtract line 2 from line 1	3	3,907,057.
4	Net unrealized gains (losses) on investments	4	-603,942.
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	2,064,259.
8	Other (Describe in Part XIV)	8	
9	Total adjustments (net) Add lines 4 through 8	9	1,460,317.
10	Excess or (deficit) for the year per audited financial statements Combine lines 3 and 9	10	5,367,374.

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements	1	15,835,245.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12.		
a	Net unrealized gains on investments	2a	-603,942.
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIV)	2d	
e	Add lines 2a through 2d	2e	-603,942.
3	Subtract line 2e from line 1	3	16,439,187.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV)	4b	
c	Add lines 4a and 4b	4c	0.
5	Total revenue Add lines 3 and 4c. (This must equal Form 990, Part I, line 12)	5	16,439,187.

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements	1	12,532,130.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25.		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIV)	2d	
e	Add lines 2a through 2d	2e	0.
3	Subtract line 2e from line 1	3	12,532,130.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV)	4b	
c	Add lines 4a and 4b	4c	0.
5	Total expenses Add lines 3 and 4c. (This must equal Form 990, Part I, line 18)	5	12,532,130.

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information.

Part V, line 4: The board designated endowment fund is specifically

designated to support future activities. In case of a funding emergency,

assess to these funds may only be authorized by vote of the trustees.

Part X, Line 2: FIN 48 Footnote

The Foundation has been determined to be exempt from federal income tax

under Section 501(c)(3) of the Internal Revenue Code. The tax-exempt

purpose of the Foundation and the nature in which it operates is described

Schedule D (Form 990) 2011

132054
01-23-12

Part XIV Supplemental Information (continued)

in the first paragraph of Note 1. The Foundation continues to operate in compliance with their tax-exempt purpose. The Foundation's annual information returns filed with the federal and state governments are subject to examination for the statutory period.

Department of the Treasury
Internal Revenue Service

▶ **Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.**
▶ **Attach to Form 990. ▶ See separate instructions.**

OMB No 1545-0047

2011

Open to Public Inspection

Alliance for Clinical Trials in Oncology
Foundation

Employer identification number

02-0464400

Part I **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 14b

- 1 **For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☐ Yes ☒ No

- 2 For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States

- 3 Activities per Region** (The following Part I, line 3 table can be duplicated if additional space is needed)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e g , fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
3 a Sub-total	0	0			0
b Total from continuation sheets to Part I	0	0			0
c Totals (add lines 3a and 3b)	0	0			0

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2011

Part II Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any

recipient who received more than \$5,000 Check this box if no one recipient received more than \$5,000

Part II can be duplicated if additional space is needed

[illegible]

**Alliance for Clinical Trials in Oncology
Foundation**

02-0464400

Part III Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 16

Part III can be duplicated if additional space is needed

[illegible]

Schedule F (Form 990) 2011

Part V Supplemental Information

Complete this part to provide the information required by Part I, line 2 (monitoring of funds), Part I, line 3, column (f) (accounting method, amounts of investments vs expenditures per region), Part II, line 1 (accounting method), Part III (accounting method), and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information.

Schedule F, Part I, Line 2: All institutional research grants are proposed to and approved by the Board of Trustees. Disbursement of funds is monitored by the board treasurer and tracked through the accounting system.

**SCHEDULE I
(Form 990)**

Department of the Treasury
Internal Revenue Service

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

OMB No 1545-0047

2011

Open to Public
Inspection

Name of the organization **Alliance for Clinical Trials in Oncology
Foundation**

Employer identification number
02-0464400

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☐ Yes ☒ No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Part II can be duplicated if additional space is needed. ▶ ☐

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Advocate Illinois Masonic Medical Center - 836 W. Wellington Avenue - Chicago, IL 60657	36-2169147	501(c)(3) Public	Cha 9,000.	0.			Institutional Support for cancer research
American College of Radiology 1818 Market Street, Suite 1600 Philadelphia, PA 19103	36-3922596	501(c)(3) Public	Cha 8,250.	0.			Institutional Support for cancer research
Baltimore Research & Education Foundation - 10 N. Green Street - Baltimore, MD 21201	52-1705976	501(c)(3) Public	Cha 7,000.	0.			Institutional Support for cancer research
Bay Area Tumor Institute 400 30th St Ste 301 Oakland, CA 94609	94-2176753	501(c)(3) Public	Cha 15,125.	0.			Institutional Support for cancer research
Buffalo Institute for Medical Research, Inc. - 3495 Bailey - Buffalo, NY 14215	37-6000511	501(c)(3) Public	Cha 10,250.	0.			Institutional Support for cancer research
Christiana Care Health System, Inc. - 4755 Ogletown-Stanton Rd - Newark, DE 19718	51-0103684	501(c)(3) Public	Cha 83,439.	0.			Institutional Support for cancer research

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶ **58.**

3 Enter total number of other organizations listed in the line 1 table ▶ **75.**

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2011)

Alliance for Clinical Trials in Oncology

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II)							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Dana-Farber Cancer Institute 44 Binney Street Boston, MA 02115-6084	16-1365231	501(c)(3) Public	195,000.	0.			Institutional Support for cancer research
Duke University Medical Center 2301 Erwin Road Durham, NC 27710	56-0532129	501(c)(3) Public	256,768.	0.			Institutional Support for cancer research
East Carolina University East Fifth Street Greenville, NC 27858	56-6000403	501(c)(3) Public	22,819.	0.			Institutional Support for cancer research
Eastern Maine Medical Center 489 State Street Bangor, ME 04401	01-0211501	501(c)(3) Public	20,000.	0.			Institutional Support for cancer research
Exeter Hospital Five Alumni Drive Exeter, NH 03833	22-2674014	501(c)(3) Public	6,000.	0.			Institutional Support for cancer research
Feinstein Institute for Medical Research - 350 Community Drive - Manhasset, NY 11030	11-2673595	501(c)(3) Public	46,250.	0.			Institutional Support for cancer research
Florida Hospital 2501 N. Orange Ave, Suite 381 Orlando, FL 32804	59-0724459	501(c)(3) Public	14,000.	0.			Institutional Support for cancer research
Frontier Science & Technology Research Foundation - 900 Commonwealth Avenue - Boston, MA 02215	16-1056814	501(c)(3) Public	685,150.	0.			Institutional Support for cancer research
Ft. Wayne Medical Oncology/Hematology, Inc - 11143 Parkview Plaza Drive, Suite 100 - Ft. Wayne, IN 46845	35-1400631		23,950.	0.			Institutional Support for cancer research

Schedule I (Form 990)

Alliance for Clinical Trials in Oncology Foundation

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Georgetown University Medical Center - 3800 Reservoir Road NW - Washington, DC 20007-2197	53-0196603	501(c)(3) Public	28,375.	0.			Institutional Support for cancer research
Hartford Hospital 80 Seymour Street Hartford, CT 06102	06-0646668	501(c)(3) Public	9,500.	0.			Institutional Support for cancer research
Health Research, Inc. Elm & Carlton Streets Buffalo, NY 14263	14-1402155	501(c)(3) Public	41,000.	0.			Institutional Support for cancer research
Hematology-Oncology Associates of CNY, PC - 5008 Brittonfield Parkway, Suite 700 - East Syracuse, NY 13057-2050	16-1184100		18,500.	0.			Institutional Support for cancer research
The Henry M. Jackson Foundation for the Advancement of Military Medicine - Walter Reed National Medical Center, 8901 Wisconsin Kansas City Community Clinical Oncology Program - 4121 W. 83rd Street, Suite 259 - Prairie Village, KS 66208	52-1317896	501(c)(3) Public	11,500.	0.			Institutional Support for cancer research
	44-0545297	501(c)(3) Public	12,600.	0.			Institutional Support for cancer research
Kinston Medical Specialists 313 Airport Road Kinston, NC 28501	56-0986098		10,000.	0.			Institutional Support for cancer research
LMEP dba Nebraska Cancer Research Center - 4600 Valley Road - Lincoln, NE 68510	47-0553011	501(c)(3) Public	10,100.	0.			Institutional Support for cancer research
Maine Center for Cancer Medicine (& Blood Disorders) - 100 U.S. Route One - Scarborough, ME 04074-9308	01-0357684	501(c)(3) Public	18,385.	0.			Institutional Support for cancer research

Schedule I (Form 990)

Alliance for Clinical Trials in Oncology

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Massachusetts General Hospital 55 Fruit Street Boston, MA 02114	04-2697983	501(c)(3) Public	58,550.	0.			Institutional Support for cancer research
Mayo Clinic 200 First Street S.W. Rochester, MN 55905	41-6011702	501(c)(3) Public	3,642,208.	0.			Institutional Support for cancer research
Mayo Foundation 200 First Street S.W. Rochester, MN 55905	41-1937751	501(c)(3) Public	293,450.	0.			Institutional Support for cancer research
Memorial Hospital of South Bend - Ccop - 615 N. Michigan Ave - South Bend, IN 46601-9986	35-0868132	501(c)(3) Public	26,392.	0.			Institutional Support for cancer research
Memorial Sloan-Kettering Cancer Center - 1275 York Avenue - New York, NY 10021	13-1624182	501(c)(3) Public	254,014.	0.			Institutional Support for cancer research
Missouri Baptist Medical Center 3015 N. Ballas Road St. Louis, MO 63131	43-0652656	501(c)(3) Public	24,000.	0.			Institutional Support for cancer research
Missouri Valley Cancer Consortium-Ccop - 6818 Grover St. 4th Flr. - Omaha, NE 68106	47-0773531	501(c)(3) Public	30,500.	0.			Institutional Support for cancer research
Mount Sinai Medical Center - Miami Ccop - 4300 Alton Rd - Miami Beach, FL 33140	59-0624424	501(c)(3) Public	20,300.	0.			Institutional Support for cancer research
Mount Sinai School of Medicine 1 Gustave L. Levy Place New York City, NY 10029	13-6171197	501(c)(3) Public	12,400.	0.			Institutional Support for cancer research

Schedule I (Form 990)

**Alliance for Clinical Trials in Oncology
Foundation**

Schedule I (Form 990) **02-0464400** Page 1

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II)							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Nadim F. Nimeh, M.D. Cancer Centers of Southwest Oklahoma Research, 104 NW 31st Street - Lawton,	73-1258311		5,000.	0.			Institutional Support for cancer research
Nebraska Methodist Hospital 8303 Dodge St. Omaha, NE 68114	47-0376604	501(c)(3) Public	10,000.	0.			Institutional Support for cancer research
Nevada Cancer Research Foundation - Ccop - 601 S. Rancho Drive Suite C26 - Las Vegas, NV 89106	88-0189404	501(c)(3) Public	15,000.	0.			Institutional Support for cancer research
New Hampshire Oncology/Hematology P.A. - 200 Technology Drive - Central Park II - Hooksett, NH 03106	02-0335060		49,611.	0.			Institutional Support for cancer research
New Hanover Regional Medical Center - Zimmer Cancer Center, 2131 South 17th Street - Wilmington, NC 28401	56-0887181	501(c)(3) Public	6,943.	0.			Institutional Support for cancer research
Newton-Wellesley Hospital 2014 Washington Street Newton, MA 02462	04-2103611	501(c)(3) Public	8,207.	0.			Institutional Support for cancer research
Northeast Medical Center 920 Church Street, North Concord, NC 28025	20-8776473	501(c)(3) Public	6,000.	0.			Institutional Support for cancer research
Oncology & Hematology Association of SC - 65 International Dr. - Greenville, SC 29615	36-3395596		27,000.	0.			Institutional Support for cancer research
Oncology Hematology Associates of Central Illinois - 8940 N. Wood Sage Rd. - Peoria, IL 61615	37-1331017		27,000.	0.			Institutional Support for cancer research

Schedule I (Form 990)

Alliance for Clinical Trials in Oncology

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II)							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Palo Alto Medical Foundation, Camino Division - 795 El Camino Real - Palo Alto, CA 94301	94-1156581	501(c)(3) Public	13,494.	0.			Institutional Support for cancer research
Queens Hospital Center 82-68 164th Street Jamaica, NY 11432	13-2655001		7,000.	0.			Institutional Support for cancer research
Regents of the University of California/San Diego - 3855 Health Sciences Drive - LaJolla, CA 92093	95-6006144	501(c)(3) Public	6,500.	0.			Institutional Support for cancer research
Regents of the University of California/ San Francisco - 1600 Divisadero Street - San Francisco, CA 94143	94-6036493	501(c)(3) Public	831,831.	0.			Institutional Support for cancer research
Rex Hospital, Inc. 4420 Lake Boone Trial Raleigh, NC 27607	05-0258954		8,000.	0.			Institutional Support for cancer research
Saint Francis Medical Center 2116 W. Fairley Avenue Grand Island, NE 68802	47-0376601	501(c)(3) Public	13,820.	0.			Institutional Support for cancer research
Sibley Memorial Hospital 5255 Loughboro Rd, NW Washington, DC 20016	53-0196602	501(c)(3) Public	8,000.	0.			Institutional Support for cancer research
Southeast Cancer Control Consortium, Inc - 2150 Country Club Road - Winston-Salem, NC 27104	62-1306159	501(c)(3) Public	102,000.	0.			Institutional Support for cancer research
St. Helena Hospital 6 Woodland Road St. Helena, CA 94574	94-1279779	501(c)(3) Public	8,000.	0.			Institutional Support for cancer research

Schedule I (Form 990)

Alliance for Clinical Trials in Oncology Foundation

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II)							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Surgical Associates of Fredericksburg, LTD - 4548 Empire Court - Fredericksburg, VA 22408	20-5641282		5,600.	0.			Institutional Support for cancer research
SWOG - Clinical Trials Initiative, LLC - 24 Frank Lloyd Wright Drive - Ann Arbor, MI 48106	26-1145046	501(c)(3) Public	882,761.	0.			Institutional Support for cancer research
The Cooper Health System One Cooper Plaza Camden, NJ 08103	21-0634462	501(c)(3) Public	5,600.	0.			Institutional Support for cancer research
The Curators of the University of Missouri - 115 Business Loop 70 West, Room 524 - Columbia, MO 65203	43-6003859	501(c)(3) Public	12,415.	0.			Institutional Support for cancer research
The Ohio State University 1960 Kenny Road Columbus, OH 43210	43-6003859	501(c)(3) Public	1,649,700.	0.			Institutional Support for cancer research
The Research Foundation of SUNY 750 E. Adams Street Syracuse, NY 13210	14-1368361	501(c)(3) Public	24,500.	0.			Institutional Support for cancer research
The University of Iowa 200 Hawkins Drive Iowa City, IA 52242-1099	42-6004813		53,297.	0.			Institutional Support for cancer research
Truman Medical Center 2301 Holmes Street Kansas City, MO 02115	44-0661018		5,600.	0.			Institutional Support for cancer research
Trustees of Dartmouth College One Medical Center Drive Lebanon, NH 03756	02-0222111	501(c)(3) Public	73,000.	0.			Institutional Support for cancer research

Schedule I (Form 990)

Alliance for Clinical Trials in Oncology Foundation

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II)							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of Chicago 5841 S. Maryland Avenue Chicago, IL 60637-1470	36-2177139	501(c)(3) Public	255,049.	0.			Institutional Support for cancer research
University of Maryland, Baltimore 22 S. Greene Street Baltimore, MD 21201-1595	52-6002033		22,600.	0.			Institutional Support for cancer research
University of Nebraska 983330 Nebraska Medical Center Omaha, NE 68198-3330	47-0049123		26,400.	0.			Institutional Support for cancer research
University of North Carolina at Chapel Hill - 3009 Old Blinic Bldg. - Chapel Hill, NC 27599-7305	56-6001393	501(c)(3) Public	267,450.	0.			Institutional Support for cancer research
University of Oklahoma Health Sciences Center - 940 Stanton L. Young Boulevard - Oklahoma City, OK 73104	73-6017987		18,200.	0.			Institutional Support for cancer research
University of Vermont 85 S. Prospect Street Burlington, VT 05405	03-0179440	501(c)(3) Public	11,835.	0.			Institutional Support for cancer research
VA Connecticut Research & Education Foundation - Cancer Center, 950 Campbell Avenue - West Haven, CT 06516	20-2206467	501(c)(3) Public	8,750.	0.			Institutional Support for cancer research
Vanderbilt University Medical Center - 110 21st Avenue S - Nashville, TN 37203	62-0476822	501(c)(3) Public	5,223.	0.			Institutional Support for cancer research
Virginia Commonwealth University 1001 W. Franklin Street Richmond, VA 23220	54-6001758	501(c)(3) Public	41,200.	0.			Institutional Support for cancer research

Schedule I (Form 990)

Alliance for Clinical Trials in Oncology Foundation

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II)							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Virginia Oncology Associates 1051 Loftis Boulevard Newport News, VA 23606	54-1768662		20,400.	0.			Institutional Support for cancer research
Wake Forest University School of Medicine - Medical Center Blvd. - Winston-Salem, NC 27157	22-3849199	501(c)(3) Public	18,500.	0.			Institutional Support for cancer research
Washington University School of Medicine - 660 S. Euclid - St. Louis, MO 63110	43-0653611	501(c)(3) Public	293,050.	0.			Institutional Support for cancer research
Weill Medical College of Cornell University - 1300 York Avenue - New York, NY 10065	13-1623978		61,584.	0.			Institutional Support for cancer research
Westat, Inc 1600 Research Blvd Rockville, MD 20850	43-0653611	501(c)(3) Public	222,950.	0.			Institutional Support for cancer research
Women and Infants Hospital of Rhode Island - 101 Dudley Street - Providence, RI 02905	05-0258937	501(c)(3) Public	6,000.	0.			Institutional Support for cancer research

Schedule I (Form 990)

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury,
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.

OMB No 1545-0047

2011

Open to Public
Inspection

Name of the organization	Alliance for Clinical Trials in Oncology Foundation	Employer identification number	02-0464400
--------------------------	---	--------------------------------	------------

Form 990, Part III, Line 1, Description of Organization Mission:

including prevention of such diseases. The Foundation also provides
education and information in connection with such research.

Form 990, Part III, Line 4d, Other Program Services:

Committee chair grants - Provide support for effort of calgb committee
chairs and support staff relating to cancer and leukemia research.

Other related programs include central office resources, Jr faculty
grants, institution grants, laboratory support, information technology,
publications, meetings and consulting.

Expenses \$ 1,271,860. including grants of \$ 343,900. Revenue \$ 9,900.

Form 990, Part VI, Section A, line 4: The name of the organization was
changed from "Cancer and Leukemia Group B Foundation" to "Alliance for
Clinical Trials in Oncology Foundation".

Form 990, Part VI, Section B, line 11: The 990 was reviewed by the Finance
Committee and the Board Acting Treasurer.

Form 990, Part VI, Section B, Line 12c: The board Compliance Officer
reviews the conflict of interest policy at each Board meeting and conflicts
are to be disclosed at the time of votes/decisions.

Form 990, Part VI, Section B, Line 15b: Compensation for employee and
independent contractor determined by an independent person and based on
comparability studies.

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule O (Form 990 or 990-EZ) (2011)

132211
01-23-12

Name of the organization **Alliance for Clinical Trials in Oncology
Foundation**Employer identification number
02-0464400

Form 990, Part VI, Section C, Line 19: Governing documents and financial
statements are available upon request.

Form 990, Part XI, line 5, Changes in Net Assets:

Net unrealized losses on investments: -603,942.

Prior period adjustments: 2,064,259.

Total to Form 990, Part XI, Line 5 1,460,317.

FORM NFP 110.30 (rev. Dec. 2003)
ARTICLES OF AMENDMENT
General Not For Profit Corporation Act

Jesse White, Secretary of State
Department of Business Services
501 S. Second St., Rm. 350
Springfield, IL 62756
217-782-1832
www.cyberdriveillinois.com



CP0505251

FILED: 07/25/2011 JESSE WHITE SECRETARY OF STATE

File #

5856 8333

Filing Fee: \$25

Approved:

JR

----- Submit in duplicate ----- Type or Print clearly in black ink ----- Do not write above this line -----

1. Corporate Name (See Note 1 on back.): Cancer and Leukemia Group B Foundation

2. Manner of Adoption of Amendment:

The following amendment to the Articles of Incorporation was adopted on 06/24/2011 in the manner indicated below (check one only):
Month, Day & Year

☐ By affirmative vote of a majority of the directors in office, at a meeting of the board of directors, in accordance with Section 110.15. (See Note 2 on back.)

☐ By written consent, signed by all the directors in office, in compliance with Sections 110.15 and 108.45. (See Note 3 on back.)

☒ By members at a meeting of members entitled to vote by the affirmative vote of the members having not less than the minimum number of votes necessary to adopt such amendment, as provided by this Act, the Articles of Incorporation or the bylaws, in accordance with Section 110.20. (See Note 4 on back.)

☐ By written consent signed by members entitled to vote having not less than the minimum number of votes necessary to adopt such amendment, as provided by this Act, the Articles of Incorporation, or the bylaws, in compliance with Sections 107.10 and 110.20. (See Note 5 on back.)

3. Text of Amendment:

(a.) When an amendment effects a name change, insert the new corporate name below. Use 3(b.) below for all other amendments. *Article 1: The Name of the Corporation is:

Alliance for Clinical Trials in Oncology Foundation

New Name

(b.) All amendments other than name change.

If the amendment affects the corporate purpose, the amended purpose is required to be set forth in its entirety. If there is not sufficient space to add the full text of the amendment, attach additional sheets of this size.

WD
B

4. The undersigned Corporation has caused these Articles to be signed by a duly authorized officer who affirms, under penalties of perjury, that the facts stated herein are true and correct.

All signatures must be in BLACK INK.

Dated July 14, 11 Month & Day Year Cancer and Leukemia Group B Foundation
Monica M. Bertagnoli Exact Name of Corporation
 Any Authorized Officer's Signature
Monica M. Bertagnoli, M.D., President
 Name and Title (type or print)

5. If there are no duly authorized officers, the persons designated under Section 101.10(b)(2) must sign below and print name and title.

The undersigned affirms, under penalties of perjury, that the facts stated herein are true.

Dated _____, _____, _____
Month & Day Year

Signature	Name and Title (print)
Signature	Name and Title (print)
Signature	Name and Title (print)
Signature	Name and Title (print)

NOTES

1. State the true and exact corporate name as it appears on the records of the Secretary of State BEFORE any amendment herein is reported.
2. Directors may adopt amendments without member approval only when the corporation has no members, or no members entitled to vote pursuant to §110.15.
3. Director approval may be:
 - a. by vote at a director's meeting (either annual or special), or
 - b. by consent, in writing, without a meeting.
4. All amendments not adopted under Sec. 110.15 require that:
 - a. the board of directors adopt a resolution setting forth the proposed amendment, and
 - b. the members approve the amendment.

Member approval may be:

- a. by vote at a members meeting (either annual or special), or
b. by consent, in writing, without a meeting.

To be adopted, the amendment must receive the affirmative vote or consent of the holders of at least two-thirds of the outstanding members entitled to vote on the amendment (but if class voting applies, also at least a two-thirds vote within each class is required).

The Articles of Incorporation may supersede the two-thirds vote requirement by specifying any smaller or larger vote requirement not less than a majority of the outstanding votes of such members entitled to vote, and not less than a majority within each class when class voting applies. (Sec. 110.20)

5. When member approval is by written consent, all members must be given notice of the proposed amendment at least five days before the consent is signed. If the amendment is adopted, members who have not signed the consent must be promptly notified of the passage of the amendment. (Sec. 107.10 & 110.20)

**RESOLUTIONS TO BE SUBMITTED
TO THE BOARD OF TRUSTEES OF
CANCER AND LEUKEMIA GROUP B FOUNDATION
AT THE MEETING THEREOF TO BE HELD ON
June 24, 2011**

WHEREAS, the Board of Trustees of the Cancer and Leukemia Group B Foundation, an Illinois not-for-profit corporation (the "Corporation"), has determined that it is in the best interests of the Corporation to amend the Corporation's name; and

WHEREAS, certain amendments to the Corporation's Articles of Incorporation ("Articles") and Bylaws are necessary to effect the name change; and

WHEREAS, due notice of a meeting of the Board of Trustees for purposes of amending the Corporation's Articles and Bylaws having been given.

NOW, THEREFORE, the Board of Trustees resolves as follows:

1. RESOLVED, that Article I of the Corporation's Articles of Incorporation is hereby amended as follows:

The Name of the Corporation is: Alliance for Clinical Trials in Oncology Foundation.

2. FURTHER RESOLVED, that the Corporation's Bylaws are hereby amended and restated to change the name of the Corporation to "Alliance for Clinical Trials in Oncology Foundation" in substantially the form attached hereto as Exhibit A.

3. FURTHER RESOLVED, that the foregoing name change and amendments shall be incorporated into all relevant documents of the Corporation, and shall be maintained in the records of the Corporation.

4. FURTHER RESOLVED, that the proper officers of the Corporation be, and each of them hereby is, authorized and empowered to execute Articles of Amendment in substantially the form attached hereto as Exhibit B and to execute such other documents and take such other actions as they or any of them deem necessary, appropriate or desirable to effect the amendments contemplated herein.

5. FURTHER RESOLVED, that the Secretary of the Corporation be, and hereby is, directed to file these Resolutions with the minutes of the proceedings of the Board of Directors of the Corporation.

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions Alliance for Clinical Trials in Oncology Foundation	Employer identification number (EIN) or ☒ 02-0464400
	Number, street, and room or suite no. If a P.O. box, see instructions 230 W. Monroe Street, No. 2050	Social security number (SSN) ☐
	City, town or post office, state, and ZIP code. For a foreign address, see instructions Chicago, IL 60606-4703	

Enter the Return code for the return that this application is for (file a separate application for each return)

01

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

Sylvia Hrbek

- The books are in the care of ► **230 W. Monroe, Suite 2050 - Chicago, IL 60606-4703**

Telephone No ► **773-702-9904**

FAX No ► **312-345-0117**

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **August 15, 2012**, to file the exempt organization return for the organization named above. The extension is for the organization's return for
► ☒ calendar year **2011** or
► ☐ tax year beginning _____, and ending _____.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason. ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	0.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 1-2012)