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Form **990-PF**Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2011

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011 or tax year beginning , 2011, and ending , 20

Name of foundation HERBERT HARRIS TR B/O RIDGE CH		A Employer identification number 01-6080166						
Number and street (or P O box number if mail is not delivered to street address) P O BOX 1802		B Telephone number (see instructions) () -						
City or town, state, and ZIP code PROVIDENCE, RI 02901-1802		C If exemption application is pending, check here ☐						
G Check all that apply: <table border="1"> <tr> <td>☐ Initial return</td> <td>☐ Initial return of a former public charity</td> </tr> <tr> <td>☐ Final return</td> <td>☐ Amended return</td> </tr> <tr> <td>☐ Address change</td> <td>☐ Name change</td> </tr> </table>		☐ Initial return	☐ Initial return of a former public charity	☐ Final return	☐ Amended return	☐ Address change	☐ Name change	D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
☐ Initial return	☐ Initial return of a former public charity							
☐ Final return	☐ Amended return							
☐ Address change	☐ Name change							
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐						
Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 412,137.		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐						
J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)								

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	16,553	16,539		STMT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	6,436			
	b Gross sales price for all assets on line 6a	222,810			
	7 Capital gain net income (from Part IV, line 2)		6,436		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	22,989	22,975			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	7,105	4,263		2,842
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) STMT 6	845	NONE	NONE	845
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) STMT 7	920	235		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	8,870	4,498	NONE	3,687
	25 Contributions, gifts, grants paid	15,671			15,671
26 Total expenses and disbursements. Add lines 24 and 25	24,541	4,498	NONE	19,358	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-1,552				
b Net investment income (if negative, enter -0-)		18,477			
c Adjusted net income (if negative, enter -0-)					

For Paperwork Reduction Act Notice, see instructions.

JSA

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing	NONE	NONE		
	2	Savings and temporary cash investments	11,289.	37,328.	37,328.	
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ * Less: allowance for doubtful accounts ▶	NONE	* NONE NONE	NONE	NONE
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U S and state government obligations (attach schedule) . .	NONE	NONE	NONE	NONE
	b	Investments - corporate stock (attach schedule)	386,213.	359,646.	374,809.	
	c	Investments - corporate bonds (attach schedule)	NONE	NONE	NONE	NONE
	11	Investments - land, buildings, and equipment basis Less: accumulated depreciation (attach schedule) ▶	NONE	NONE	NONE	
	12	Investments - mortgage loans	NONE	NONE	NONE	
	13	Investments - other (attach schedule)	NONE	NONE	NONE	NONE
	14	Land, buildings, and equipment basis Less: accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶)	NONE	NONE	NONE	NONE	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	397,502.	396,974.	412,137.		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons .				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)					
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒					
	27	Capital stock, trust principal, or current funds	397,502.	396,974.		
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds . .	NONE	NONE		
30	Total net assets or fund balances (see instructions)	397,502.	396,974.			
31	Total liabilities and net assets/fund balances (see instructions)	397,502.	396,974.			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	397,502.
2	Enter amount from Part I, line 27a	2	-1,552.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 8	3	1,049.
4	Add lines 1, 2, and 3	4	396,999.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 9	5	25.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	396,974.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 220,480.		216,374.	4,106.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
a			4,106.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)			2	6,436.	
If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7					
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):			3		
If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8					

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	26,197.	405,720.	0.064569
2009	20,815.	362,175.	0.057472
2008	39,852.	441,726.	0.090219
2007			
2006			
2 Total of line 1, column (d)			0.212260
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			0.070753
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5			433,505.
5 Multiply line 4 by line 3			30,672.
6 Enter 1% of net investment income (1% of Part I, line 27b)			185.
7 Add lines 5 and 6			30,857.
8 Enter qualifying distributions from Part XII, line 4			19,358.
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	370.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3 Add lines 1 and 2		3	370.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	370.
6 Credits/Payments			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	500.	
b Exempt foreign organizations - tax withheld at source	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868)	6c	NONE	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	500.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	130.	
11 Enter the amount of line 10 to be. Credited to 2012 estimated tax ▶ 130. Refunded ▶	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ ME		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ☐ N/A				
14	The books are in care of ☐ PRIVATE BANK TAX SERVICES Telephone no ☐ (888) 866-3275			
Located at ☐ PO BOX 1802, PROVIDENCE, RI ZIP + 4 ☐ 02901-1802				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐			
and enter the amount of tax-exempt interest received or accrued during the year ☐ 15				
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ☐				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐	1b	X
Organizations relying on a current notice regarding disaster assistance check here ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No		
If "Yes," list the years ☐			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ☐		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		7,105.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		NONE	NONE	NONE

Total number of other employees paid over \$50,000 ☐ NONE

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
SEE STATEMENT 12		NONE
Total number of others receiving over \$50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	418,572.
b	Average of monthly cash balances	1b	21,535.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	Total (add lines 1a, b, and c)	1d	440,107.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	440,107.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	6,602.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	433,505.
6	Minimum investment return. Enter 5% of line 5	6	21,675.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	21,675.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	370.
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	370.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	21,305.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	21,305.
6	Deduction from distributable amount (see instructions)	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	21,305.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	19,358.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	19,358.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	19,358.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				21,305.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			NONE	
b Total for prior years 20____, 20____, 20____		NONE		
3 Excess distributions carryover, if any, to 2011:				
a From 2006	NONE			
b From 2007	NONE			
c From 2008	17,997.			
d From 2009	2,908.			
e From 2010	6,326.			
f Total of lines 3a through e	27,231.			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 19,358.				
a Applied to 2010, but not more than line 2a . . .			NONE	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2011 distributable amount				19,358.
e Remaining amount distributed out of corpus . . .	NONE			
5 Excess distributions carryover applied to 2011 . (If an amount appears in column (d), the same amount must be shown in column (a))	1,947.			1,947.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	25,284.			
b Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount - see instructions		NONE		
e Undistributed income for 2010 Subtract line 4a from line 2a. Taxable amount - see instructions			NONE	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . .	NONE			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions) . . .	NONE			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	25,284.			
10 Analysis of line 9				
a Excess from 2007 . . .	NONE			
b Excess from 2008 . . .	16,050.			
c Excess from 2009 . . .	2,908.			
d Excess from 2010 . . .	6,326.			
e Excess from 2011 . . .				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)**NOT APPLICABLE****1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling**b** Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year

Prior 3 years

(e) Total

(a) 2011

(b) 2010

(c) 2009

(d) 2008

b 85% of line 2a**c** Qualifying distributions from Part XII, line 4 for each year listed**d** Amounts included in line 2c not used directly for active conduct of exempt activities**e** Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c**3** Complete 3a, b, or c for the alternative test relied upon**a** "Assets" alternative test - enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i).

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed**c** "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:****a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.**a** The name, address, and telephone number of the person to whom applications should be addressed:**b** The form in which applications should be submitted and information and materials they should include:**c** Any submission deadlines:**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE STATEMENT 13				
Total			▶ 3a	15,671.
b Approved for future payment				
Total			▶ 3b	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|--------------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Clinton Whitcraft
Signature of officer or trustee

05/01/2012
Date

SVP Tax
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	Firm's name ▶			Firm's EIN ▶	
	Firm's address ▶			Phone no.	

HERBERT HARRIS TR B/O RIDGE CH

01-6080166

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
AT&T INC	32.	32.
ABBOTT LABORATORIES	18.	18.
ABERCROMBIE & FITCH CO CL A	7.	7.
AIR PRODUCTS AND CHEMICALS INC	17.	17.
ALLERGAN INCORPORATED	2.	2.
AMERICAN ELECTRIC POWER CO	12.	12.
AMGEN INC COM	11.	11.
ANADARKO PETROLEUM CORP	1.	1.
ANALOG DEVICES INC	5.	5.
APACHE CORPORATION	6.	6.
ARCH COAL INC	2.	2.
AUTOLIV INC	4.	4.
BOEING CO	11.	11.
BRISTOL MYERS SQUIBB CO COM	8.	8.
BROADCOM CORP CL A	4.	
CBS CORP CL B COM	2.	2.
CF INDS HLDGS INC COM	1.	1.
CIGNA CORPORATION	1.	1.
CVS CAREMARK CORP COM	6.	6.
CARDINAL HEALTH INCORPORATED	14.	14.
CARNIVAL CORP PAIRED CTF 1 COM	8.	8.
CELANESE CORP DEL SER A COM	4.	4.
CHESAPEAKE ENERGY CORPORATION	6.	6.
CHEVRONTXACO CORP COM	108.	108.
CHICAGO BRDG & IRON CO N V N Y REGISTRY	1.	1.
CISCO SYSTEMS INCORPORATED	5.	5.
CITIGROUP INC NEW COM	1.	1.
COACH INC COM	2.	2.
COLUMBIA ACORN FUND CLASS Z SHARES	44.	44.
COLUMBIA ACORN INTERNATIONAL FUND CL Z	261.	261.
COLUMBIA SHORT TERM BOND FUND CLASS Z SH	77.	77.
COLUMBIA SMALL CAP VALUE FUND II CLASS Z	41.	41.

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HERBERT HARRIS TR B/O RIDGE CH

01-6080166

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
COLUMBIA MID CAP VALUE FUND CLASS Z SHAR	126.	126.
COLUMBIA CORPORATE INCOME FUND CLASS Z S	5,078.	5,078.
COLUMBIA SMALL CAP GROWTH I FUND CLASS Z	58.	58.
COMCAST CORP NEW CL A COM	34.	34.
CONSTELLATION ENERGY GROUP INC	5.	5.
CORNING INC	2.	2.
COSTCO WHOLESALE CORP	7.	7.
CUMMINS ENGINE INC	2.	2.
DARDEN RESTAURANTS INC	13.	13.
DISCOVER FINL SVCS COM	7.	7.
DISH NETWORK CORP COM CL A	50.	50.
DOVER CORPORATION	21.	21.
EOG RESOURCES INC	1.	1.
EMBRAER S A SPONSORED ADR REPSTG PFD SHS	6.	6.
EMERSON ELEC CO	16.	16.
ENSCO PLC SPONSORED ADR	5.	5.
ENTERGY CORP NEW COM	8.	8.
EXELON CORP COM	16.	16.
EXPEDIA INC COMMON STOCK	7.	7.
EXXON MOBIL CORPORATION	19.	19.
FIFTH THIRD BANCORP COM	2.	2.
FLUOR CORP NEW COM	1.	1.
FLOWERVE CORP	1.	1.
FRANKLIN RES INC COM	31.	31.
FREEMPORT-MCMORAN COPPER & GOLD INC COM	23.	23.
GENERAL ELEC CO	26.	26.
GOLDMAN SACHS GROUP INC	19.	19.
GOODRICH B F CO	6.	6.
HALLIBURTON CO	7.	7.
HARBOR INTERNATIONAL FUND INSTL CL	854.	854.
HARTFORD FINANCIAL SVCS GRP	13.	13.
HERSHEY FOODS CORP	10.	10.
HESS CORP COM	2.	2.

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HERBERT HARRIS TR B/O RIDGE CH

01-6080166

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
HUNT J B TRANSPORT SERVICES INC COM	9.	9.
ILLINOIS TOOL WORKS INCORPORATED	12.	12.
INTEL CORPORATION	15.	15.
INTERNATIONAL BUSINESS MACHS	32.	32.
INTUIT INC	2.	2.
J P MORGAN CHASE & CO COM	57.	57.
JOHNSON & JOHNSON	71.	71.
JOHNSON CONTROLS INCORPORATED	4.	4.
KBR INC COM	2.	2.
KELLOGG CO	31.	31.
KIMBERLY CLARK CORP COM	14.	14.
KINDER MORGAN INC DEL COM	3.	3.
KOHL'S CORPORATION	6.	6.
KRAFT FOODS INC CL A COM	9.	9.
L-3 COMMUNICATIONS HLDGS INC COM	5.	5.
LIMITED BRANDS INC COM	79.	79.
LINCOLN NATL CORP IND	1.	1.
LOWES COMPANIES INCORPORATED	4.	4.
MACYS INC COM	11.	11.
MCDONALDS CORP	27.	27.
MCKESSON HBOC INC	5.	5.
MEAD JOHNSON NUTRITION CO COM	9.	9.
MERCK & CO INC NEW COM	76.	76.
MICROSOFT CORPORATION	26.	26.
MONSANTO CO NEW COM	1.	1.
MURPHY OIL CORP COM	4.	4.
NATIONAL OILWELL VARCO INC COM	6.	6.
NORDSTROM INCORPORATED	3.	3.
NORTHERN TRUST CORPORATION	4.	4.
OCCIDENTAL PETROLEUM CORPORATION	44.	44.
OCEANEERING INTL INC COM	2.	2.
ORACLE SYS CORP	14.	14.
P G & E CORPORATION	7.	7.

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HERBERT HARRIS TR B/O RIDGE CH

01-6080166

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
PNC BK CORP	27.	27.
PPG INDUSTRIES INC	14.	14.
PPL CORPORATION	18.	18.
PACKAGING CORP OF AMERICA	9.	9.
PARKER HANFIFIN CORPORATION	5.	5.
PEPSICO INCORPORATED	57.	57.
PFIZER INC	26.	26.
PIMCO COMMODITY REALRETURN STRATEGY FUND	7,037.	7,037.
POTASH CORP SASK INC	1.	1.
POWERSHARES WATER RESOURCES PORT	25.	25.
PRICE T ROWE GROUP INC COM	12.	12.
PROCTER & GAMBLE CO COM	19.	19.
PUBLIC SERVICE ENTERPRISE GROUP INC	10.	10.
QUALCOMM INC	24.	24.
RAYTHEON CO COM NEW	11.	11.
RIO TINTO PLC SPONSORED ADR	6.	6.
ROCKWELL COLLINS COM	4.	4.
ROYAL DUTCH SHELL PLC SPONSORED ADR CL A	42.	42.
SPDR METALS & MNG ETF	83.	83.
SAFEWAY INC COM NEW	15.	15.
ST JUDE MEDICAL INCORPORATED	11.	11.
SCHLUMBERGER LIMITED	16.	16.
SEMPRA ENERGY	12.	12.
STANLEY BLACK & DECKER INC COM	2.	2.
STAPLES INCORPORATED	3.	3.
TD AMERITRADE HLDG CORP COM	4.	4.
TARGET CORP	5.	5.
UNION PAC CORP COM	11.	11.
UNITED PARCEL SERVICE CL B	26.	26.
UNITED TECHNOLOGIES CORP	35.	35.
VANGUARD MSCI EMERGING MKTS ETF	962.	962.
VANGUARD REIT ETF	31.	31.
VERIZON COMMUNICATIONS	47.	47.

HERBERT HARRIS TR B/O RIDGE CH

01-6080166

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
VIACOM INC CL B COM	21.	21.
VISA INC CL A COM	11.	11.
WAL-MART STORES INCORPORATED	18.	18.
WALGREEN CO	11.	11.
WELLS FARGO COMPANY	33.	33.
XEROX CORPORATION	4.	4.
AXIS CAPITAL HOLDINGS LTD COM	6.	6.
ACCENTURE PLC CL A COM	17.	17.
COOPER INDS PLC NEW COM	3.	3.
COVIDIEN PLC COM	14.	14.
XL GROUP PLC COM	8.	8.
AVAGO TECHNOLOGIES LTD COM	4.	4.
	-----	-----
TOTAL	16,553.	16,539.
	=====	=====

HERBERT HARRIS TR B/O RIDGE CH

01-6080166

FORM 990PF, PART I - ACCOUNTING FEES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
TAX PREPARATION FEE (NON-ALLOC	845.			845.
TOTALS	845.	NONE	NONE	845.
	=====	=====	=====	=====

HERBERT HARRIS TR B/O RIDGE CH

01-6080166

FORM 990PF, PART I - TAXES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FOREIGN TAXES	7.	7.
FEDERAL TAX PAYMENT - PRIOR YE	185.	
FEDERAL ESTIMATES - PRINCIPAL	500.	
FOREIGN TAXES ON QUALIFIED FOR	172.	172.
FOREIGN TAXES ON NONQUALIFIED	56.	56.
	-----	-----
TOTALS	920.	235.
	=====	=====

HERBERT HARRIS TR B/O RIDGE CH

01-6080166

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

=====

DESCRIPTION

AMOUNT

TYE INC ADJ

1,049.

TOTAL

1,049.

=====



HERBERT HARRIS TR B/O RIDGE CH

01-6080166

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

=====

DESCRIPTION

AMOUNT

ROUNDING

10.

SECURITIES ADJ

15.

TOTAL

25.

=====

XXXXXX

HERBERT HARRIS TR B/O RIDGE CH

01-6080166

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

BANK OF AMERICA

ADDRESS:

TWO PORTLAND SQUARE

PORTLAND, ME 04101

TITLE:

TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40

COMPENSATION 7,105.

TOTAL COMPENSATION:

7,105.

=====



HERBERT HARRIS TR B/O RIDGE CH

01-6080166

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

=====

EMPLOYEE NAME:

NONE



HERBERT HARRIS TR B/O RIDGE CH

01-6080166

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

=====

NAME :

NONE



HERBERT HARRIS TR B/O RIDGE CH 01-6080166
FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID
=====

RECIPIENT NAME:

SECOND BAPTIST CH OF ST GEORGE

ADDRESS:

ATTN: RICHARD F WRIGHT, TRUSTEE
TENANTS HARBOR, ME 04860-5827

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORTS NAMED ORGANIZATION

FOUNDATION STATUS OF RECIPIENT:

N/A

AMOUNT OF GRANT PAID 15,671.

TOTAL GRANTS PAID:

15,671.

=====


SCHEDULE D
(Form 1041)

 Department of the Treasury
 Internal Revenue Service

Capital Gains and Losses
▶ Attach to Form 1041, Form 5227, or Form 990-T. See the Instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

2011

Name of estate or trust

HERBERT HARRIS TR B/O RIDGE CH

Employer identification number

01-6080166

Note: Form 5227 filers need to complete *only* Parts I and II.

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b **1b** 703.

2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 **2**
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts **3**
4 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2010 Capital Loss Carryover Worksheet **4** ()

5 **Net short-term gain or (loss).** Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back **5** 703.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					
LONG-TERM CAPITAL GAIN DIVIDENDS					2,330.

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b **6b** 3,403.

7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 **7**
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts **8**
9 Capital gain distributions **9**
10 Gain from Form 4797, Part I **10**
11 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2010 Capital Loss Carryover Worksheet **11** ()

12 **Net long-term gain or (loss).** Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back **12** 5,733.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2011

**Part III Summary of Parts I and II****Caution:** Read the instructions before completing this part.

	(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
13 Net short-term gain or (loss)	13		703.
14 Net long-term gain or (loss):			
a Total for year	14a		5,733.
b Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b		
c 28% rate gain	14c		
15 Total net gain or (loss). Combine lines 13 and 14	15		6,436.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of:	16	()
a The loss on line 15, column (3) or		
b \$3,000		

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part only if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if

- Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

Form 990-T trusts. Complete this part only if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

17 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) . . .	17		
18 Enter the smaller of line 14a or 15 in column (2) but not less than zero	18		
19 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19		
20 Add lines 18 and 19	20		
21 If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0-	21		
22 Subtract line 21 from line 20. If zero or less, enter -0-	22		
23 Subtract line 22 from line 17. If zero or less, enter -0-	23		
24 Enter the smaller of the amount on line 17 or \$2,300	24		
25 Is the amount on line 23 equal to or more than the amount on line 24?			
☐ Yes. Skip lines 25 and 26; go to line 27 and check the "No" box.			
☐ No. Enter the amount from line 23	25		
26 Subtract line 25 from line 24	26		
27 Are the amounts on lines 22 and 26 the same?			
☐ Yes. Skip lines 27 thru 30, go to line 31			
☐ No. Enter the smaller of line 17 or line 22	27		
28 Enter the amount from line 26 (If line 26 is blank, enter -0-)	28		
29 Subtract line 28 from line 27	29		
30 Multiply line 29 by 15% (.15)		30	
31 Figure the tax on the amount on line 23. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)		31	
32 Add lines 30 and 31		32	
33 Figure the tax on the amount on line 17. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)		33	
34 Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)		34	

Schedule D (Form 1041) 2011


SCHEDULE D-1
(Form 1041)

 Department of the Treasury
 Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

 ▶ See instructions for Schedule D (Form 1041).
 ▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust

HERBERT HARRIS TR B/O RIDGE CH

Employer identification number

01-6080166

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 25. AT&T INC	09/21/2010	03/30/2011	766.00	716.00	50.00
5. ABBOTT LABORATORIES	05/24/2010	03/30/2011	245.00	240.00	5.00
5. ABERCROMBIE & FITCH CO	12/03/2010	05/16/2011	367.00	278.00	89.00
5. ABERCROMBIE & FITCH CO	01/13/2011	08/02/2011	359.00	261.00	98.00
5. ABERCROMBIE & FITCH CO	01/31/2011	08/02/2011	359.00	249.00	110.00
10. ABERCROMBIE & FITCH CO	11/04/2011	11/23/2011	452.00	576.00	-124.00
5. ABERCROMBIE & FITCH CO	11/14/2011	11/23/2011	226.00	288.00	-62.00
25. ADVANCED MICRO DEVICES	10/12/2010	02/22/2011	221.00	183.00	38.00
35. ADVANCED MICRO DEVICES	01/13/2011	06/15/2011	267.00	291.00	-24.00
15. ADVANCED MICRO DEVICES	01/13/2011	08/04/2011	101.00	125.00	-24.00
25. ADVANCED MICRO DEVICES	10/12/2010	08/04/2011	168.00	183.00	-15.00
35. ADVANCED MICRO DEVICES	10/18/2010	08/04/2011	235.00	245.00	-10.00
5. AIR PRODUCTS AND CHEMIC	01/27/2011	10/05/2011	388.00	433.00	-45.00
5. AIR PRODUCTS AND CHEMIC	01/27/2011	10/28/2011	448.00	433.00	15.00
10. AKAMAI TECHNOLOGIES IN	03/12/2010	01/21/2011	495.00	313.00	182.00
70. ALCATEL ALSTHOM SPONSO REPSTG 1/5 SH	05/09/2011	06/15/2011	369.00	443.00	-74.00
35. ALCATEL ALSTHOM SPONSO REPSTG 1/5 SH	06/03/2011	06/15/2011	184.00	197.00	-13.00
90. ALCATEL ALSTHOM SPONSO REPSTG 1/5 SH	07/21/2011	08/01/2011	340.00	464.00	-124.00
10. ALEXION PHARMACEUTICAL	04/29/2011	07/13/2011	518.00	487.00	31.00
5. ALLEGHENY TECHNOLOGIES	06/29/2011	09/09/2011	223.00	326.00	-103.00
5. ALLEGHENY TECHNOLOGIES	08/02/2011	09/09/2011	223.00	272.00	-49.00
5. ALLERGAN INCORPORATED	05/26/2010	01/27/2011	351.00	298.00	53.00
5. ALLERGAN INCORPORATED	12/01/2010	05/06/2011	406.00	334.00	72.00
5. ALPHA NATURAL RESOURCES	02/01/2011	04/14/2011	268.00	280.00	-12.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

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**SCHEDULE D-1
(Form 1041)**Department of the Treasury
Internal Revenue Service**Continuation Sheet for Schedule D
(Form 1041)**

OMB No 1545-0092

2011

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

Name of estate or trust

HERBERT HARRIS TR B/O RIDGE CH

Employer identification number

01-6080166

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 5. ALPHA NATURAL RESOURCES	03/14/2011	04/14/2011	268.00	259.00	9.00
6. AMERICAN ELECTRIC POWER	08/10/2010	03/30/2011	213.00	218.00	-5.00
9. AMERICAN ELECTRIC POWER	08/10/2010	03/30/2011	319.00	327.00	-8.00
5. AMERICAN ELECTRIC POWER	03/16/2011	03/30/2011	177.00	172.00	5.00
25. AMERICAN ELECTRIC POWE	08/29/2011	10/24/2011	977.00	955.00	22.00
10. AMERICAN TOWER SYSTEMS	09/02/2010	03/10/2011	510.00	475.00	35.00
10. AMERICAN TOWER SYSTEMS	11/08/2010	04/14/2011	497.00	522.00	-25.00
5. AMERICAN TOWER SYSTEMS	01/27/2011	04/14/2011	249.00	259.00	-10.00
20. ANALOG DEVICES INC	08/04/2011	11/10/2011	713.00	646.00	67.00
5. ANALOG DEVICES INC	09/02/2011	11/10/2011	178.00	161.00	17.00
5. APACHE CORPORATION	01/13/2011	06/13/2011	581.00	624.00	-43.00
5. APACHE CORPORATION	07/22/2010	06/13/2011	581.00	447.00	134.00
20. ARCH COAL INC	05/16/2011	08/19/2011	356.00	593.00	-237.00
10. AUTODESK INC (DEL)	11/17/2010	08/29/2011	278.00	351.00	-73.00
5. AUTOLIV INC	05/28/2010	03/14/2011	356.00	241.00	115.00
5. AUTOLIV INC	06/08/2010	03/14/2011	356.00	230.00	126.00
15. BAKER HUGHES INCORPORA	08/19/2010	01/07/2011	861.00	595.00	266.00
10. BEAM INC COM	10/05/2011	10/24/2011	498.00	450.00	48.00
5. BERKSHIRE HATHAWAY INC COM	07/13/2011	11/04/2011	386.00	383.00	3.00
15. BERKSHIRE HATHAWAY INC B COM	07/13/2011	11/10/2011	1,137.00	1,150.00	-13.00
5. BLACKROCK INC CL A	01/27/2011	01/31/2011	979.00	1,002.00	-23.00
10. BOEING CO	08/01/2011	10/27/2011	675.00	701.00	-26.00
5. BOEING CO	08/29/2011	10/28/2011	338.00	322.00	16.00
5. BOEING CO	08/16/2011	11/23/2011	314.00	314.00	

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011


SCHEDULE D-1
(Form 1041)
Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2011
Department of the Treasury
Internal Revenue Service

▶ See instructions for Schedule D (Form 1041).
 ▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

Name of estate or trust

HERBERT HARRIS TR B/O RIDGE CH

Employer identification number

01-6080166

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 5. BOEING CO	08/05/2011	11/23/2011	314.00	309.00	5.00
5. BORG WARNER INC	03/03/2010	01/31/2011	340.00	194.00	146.00
95. BOSTON SCIENTIFIC CORP	06/27/2011	08/09/2011	580.00	655.00	-75.00
10. BRISTOL MYERS SQUIBB C	08/29/2011	10/13/2011	327.00	294.00	33.00
15. BRISTOL MYERS SQUIBB C	08/29/2011	10/25/2011	486.00	441.00	45.00
15. BROADCOM CORP CL A	11/10/2010	02/10/2011	655.00	631.00	24.00
20. BROADCOM CORP CL A	04/29/2011	09/14/2011	688.00	700.00	-12.00
35. CBS CORP CL B COM	11/16/2010	02/22/2011	769.00	576.00	193.00
5. CF INDS HLDGS INC COM	09/01/2010	03/28/2011	646.00	462.00	184.00
10. CVS CAREMARK CORP COM	11/24/2010	01/31/2011	344.00	315.00	29.00
15. CVS CAREMARK CORP COM	11/24/2010	03/25/2011	508.00	472.00	36.00
25. CVS CAREMARK CORP COM	12/01/2010	03/25/2011	847.00	799.00	48.00
10. CARDINAL HEALTH INCORP	04/27/2010	04/15/2011	408.00	359.00	49.00
10. CARDINAL HEALTH INCORP	10/28/2010	04/15/2011	408.00	340.00	68.00
10. CARNIVAL CORP PAIRED C	03/14/2011	05/23/2011	387.00	396.00	-9.00
10. CARNIVAL CORP PAIRED C	03/14/2011	06/06/2011	369.00	396.00	-27.00
10. CARNIVAL CORP PAIRED C	03/30/2011	06/06/2011	369.00	384.00	-15.00
5. CELANESE CORP DEL SER A	03/28/2011	04/27/2011	244.00	217.00	27.00
10. CELGENE CORP	04/14/2010	01/18/2011	570.00	602.00	-32.00
5. CELGENE CORP	04/14/2010	01/18/2011	285.00	301.00	-16.00 *
5. CELGENE CORP	12/07/2010	04/29/2011	297.00	287.00	10.00
10. CELGENE CORP	07/01/2010	06/07/2011	596.00	490.00	106.00
5. CHESAPEAKE ENERGY CORPO	03/30/2011	05/25/2011	156.00	172.00	-16.00
5. CHESAPEAKE ENERGY CORPO	03/30/2011	11/08/2011	132.00	172.00	-40.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

SCHEDULE D-1
(Form 1041)**Continuation Sheet for Schedule D**
(Form 1041)

OMB No 1545-0092

2011Department of the Treasury
Internal Revenue Service▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

Name of estate or trust

HERBERT HARRIS TR B/O RIDGE CH

Employer identification number

01-6080166

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 20. CHESAPEAKE ENERGY CORP	03/11/2011	11/08/2011	526.00	659.00	-133.00
10. CHICAGO BRDG & IRON CO REGISTRY SH ARD	12/13/2010	04/20/2011	408.00	320.00	88.00
5. CHICAGO BRDG & IRON CO REGISTRY SH ARD	01/13/2011	04/20/2011	204.00	173.00	31.00
30. CISCO SYSTEMS INCORPOR	08/24/2010	05/16/2011	501.00	641.00	-140.00
25. CISCO SYSTEMS INCORPOR	03/08/2011	05/16/2011	417.00	457.00	-40.00
20. CISCO SYSTEMS INCORPOR	03/08/2011	06/06/2011	321.00	366.00	-45.00
15. CISCO SYSTEMS INCORPOR	03/30/2011	06/06/2011	241.00	261.00	-20.00
3.5 CITIGROUP INC NEW COM	06/10/2010	06/08/2011	132.00	137.00	-5.00 *
6. CITIGROUP INC NEW COM	07/13/2010	06/08/2011	226.00	255.00	-29.00 *
10.5 CITIGROUP INC NEW COM	01/13/2011	06/08/2011	395.00	532.00	-137.00 *
10.5 CITIGROUP INC NEW COM	02/01/2011	08/29/2011	325.00	557.00	-232.00
5. CITIGROUP INC NEW COM	06/27/2011	09/14/2011	136.00	200.00	-64.00
5. CITIGROUP INC NEW COM	06/27/2011	10/12/2011	145.00	200.00	-55.00
10. COACH INC COM	01/05/2011	03/30/2011	522.00	520.00	2.00
5. COACH INC COM	03/16/2011	03/30/2011	261.00	252.00	9.00
5. COCA COLA CO COM	07/21/2010	01/21/2011	315.00	270.00	45.00
10. COCA COLA CO COM	08/04/2010	01/21/2011	631.00	565.00	66.00
5. COLGATE PALMOLIVE CO	09/10/2010	01/05/2011	395.00	376.00	19.00
5. COLGATE PALMOLIVE CO	10/06/2010	01/05/2011	395.00	370.00	25.00
10. COMCAST CORP NEW CL A	09/26/2011	12/05/2011	234.00	219.00	15.00
5. COMCAST CORP NEW CL A C	08/05/2011	12/05/2011	117.00	107.00	10.00
20. CONSTELLATION ENERGY G	04/11/2011	06/29/2011	757.00	663.00	94.00
5. CONTINENTAL RES INC OKL	11/23/2011	12/09/2011	346.00	316.00	30.00
5. CORNING INC	03/10/2010	03/08/2011	114.00	93.00	21.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

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SCHEDULE D-1
(Form 1041)

 Department of the Treasury
 Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust

HERBERT HARRIS TR B/O RIDGE CH

Employer identification number

01-6080166

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 10. CORNING INC	05/11/2010	05/05/2011	204.00	182.00	22.00
15. COSTCO WHOLESALE CORP	11/05/2010	07/13/2011	1,220.00	986.00	234.00
10. CROWN HLDGS INC COM 1	12/09/2010	08/29/2011	348.00	321.00	27.00
5. CUMMINS ENGINE INC	08/26/2010	01/21/2011	531.00	370.00	161.00
5. CUMMINS ENGINE INC	05/26/2011	07/26/2011	558.00	526.00	32.00
5. CUMMINS ENGINE INC	05/26/2011	08/29/2011	451.00	526.00	-75.00
15. CYPRESS SEMICONDUCTOR	02/10/2011	03/16/2011	282.00	353.00	-71.00
15. DPL INC	08/10/2010	01/05/2011	385.00	390.00	-5.00
5. DARDEN RESTAURANTS INC	05/06/2011	06/27/2011	241.00	246.00	-5.00
10. DEERE & COMPANY	01/18/2011	03/08/2011	916.00	909.00	7.00
10. DENDREON CORP COM	10/08/2010	07/13/2011	390.00	384.00	6.00
5. DEVON ENERGY CORPORATIO	09/14/2011	11/04/2011	326.00	321.00	5.00
15. DEVON ENERGY CORPORATI	09/14/2011	11/18/2011	949.00	963.00	-14.00
30. DISNEY WALT CO	03/04/2011	04/15/2011	1,239.00	1,307.00	-68.00
25. DISH NETWORK CORP COM	03/12/2010	03/04/2011	581.00	541.00	40.00
5. DISH NETWORK CORP COM C	04/15/2011	08/29/2011	115.00	117.00	-2.00
10. DOVER CORPORATION	11/24/2010	05/26/2011	633.00	563.00	70.00
5. DOVER CORPORATION	11/17/2010	05/26/2011	316.00	268.00	48.00
5. DOVER CORPORATION	08/17/2011	10/07/2011	252.00	271.00	-19.00
5. DOVER CORPORATION	08/10/2011	10/07/2011	252.00	264.00	-12.00
35. DOW CHEMICAL COMPANY	08/12/2011	09/26/2011	846.00	1,042.00	-196.00
5. EOG RESOURCES INC	01/10/2011	02/09/2011	514.00	473.00	41.00
5. EQT CORP COM	10/18/2011	11/01/2011	303.00	323.00	-20.00
10. EBAY INC	03/30/2011	05/26/2011	305.00	317.00	-12.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

SCHEDULE D-1
(Form 1041)**Continuation Sheet for Schedule D**
(Form 1041)

OMB No 1545-0092

2011Department of the Treasury
Internal Revenue Service▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

Name of estate or trust

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Employer identification number

01-6080166

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 20. EBAY INC	03/18/2011	05/26/2011	609.00	616.00	-7.00
40. EL PASO CORP COM	03/30/2011	08/09/2011	705.00	714.00	-9.00
5. EMBRAER S A SPONSORED A PFD SHS	05/06/2011	08/09/2011	111.00	169.00	-58.00
20. EMBRAER S A SPONSORED PFD SHS	04/14/2011	08/09/2011	442.00	648.00	-206.00
5. EMERSON ELEC CO	02/16/2011	07/28/2011	249.00	308.00	-59.00
20. EMERSON ELEC CO	01/18/2011	08/03/2011	953.00	1,165.00	-212.00
5. ENSCO PLC SPONSORED ADR	03/04/2011	08/05/2011	221.00	285.00	-64.00
5. ENSCO PLC SPONSORED ADR	03/11/2011	08/10/2011	220.00	279.00	-59.00
5. ENSCO PLC SPONSORED ADR	03/11/2011	08/16/2011	233.00	279.00	-46.00
5. ENSCO PLC SPONSORED ADR	06/29/2011	08/16/2011	233.00	267.00	-34.00
10. ENTERGY CORP NEW COM	11/24/2010	04/11/2011	655.00	724.00	-69.00
10. ENTERGY CORP NEW COM	03/30/2011	04/11/2011	655.00	682.00	-27.00
10. EXPEDIA INC COMMON STO	05/06/2011	08/05/2011	281.00	255.00	26.00
5. EXPEDIA INC COMMON STOC	11/08/2011	12/09/2011	144.00	141.00	3.00
5. EXPEDIA INC COMMON STOC	05/06/2011	12/09/2011	144.00	127.00	17.00
5. EXPEDIA INC DEL NEW COM	05/06/2011	12/23/2011	148.00	125.00	23.00
7.5 EXPEDIA INC DEL NEW CO	05/16/2011	12/23/2011	222.00	186.00	36.00
10. EXPRESS SCRIPTS INC CL	09/14/2010	04/15/2011	553.00	462.00	91.00
10. EXPRESS SCRIPTS INC CL	02/24/2011	04/15/2011	553.00	539.00	14.00
5. EXPRESS SCRIPTS INC CL	03/25/2011	04/15/2011	277.00	275.00	2.00
10. EXPRESS SCRIPTS INC CL	09/19/2011	10/07/2011	398.00	411.00	-13.00
5. EXXON MOBIL CORPORATION	08/19/2011	08/29/2011	367.00	357.00	10.00
10. EXXON MOBIL CORPORATIO	08/09/2011	08/29/2011	735.00	708.00	27.00
30. EXXON MOBIL CORPORATIO	08/09/2011	09/14/2011	2,163.00	2,125.00	38.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011


SCHEDULE D-1
(Form 1041)
Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2011

 Department of the Treasury
 Internal Revenue Service

▶ See instructions for Schedule D (Form 1041).
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Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 25. FAIRCHILD SEMICON INTL	01/27/2011	07/07/2011	438.00	455.00	-17.00
25. FIFTH THIRD BANCORP CO	02/22/2011	04/14/2011	336.00	361.00	-25.00
5. FLUOR CORP NEW COM	02/23/2011	03/30/2011	373.00	329.00	44.00
5. FLOWSERVE CORP	11/10/2010	01/18/2011	586.00	534.00	52.00
5. FLOWSERVE CORP	07/21/2011	08/10/2011	427.00	552.00	-125.00
25. FORD MTR CO DEL COM	12/23/2010	04/29/2011	387.00	421.00	-34.00
10. FORD MTR CO DEL COM	11/05/2010	04/29/2011	155.00	160.00	-5.00
40. FORD MTR CO DEL COM	09/10/2010	04/29/2011	619.00	476.00	143.00
20. FOREST OIL CORP COM PA	08/17/2010	01/27/2011	742.00	573.00	169.00
5. FREEPORT-MCMORAN COPPER COM	03/28/2011	05/06/2011	254.00	275.00	-21.00
5. FREEPORT-MCMORAN COPPER COM	09/08/2011	10/18/2011	171.00	225.00	-54.00
10. FREEPORT-MCMORAN COPPE COM	09/08/2011	12/21/2011	372.00	450.00	-78.00
50. GENERAL ELEC CO	04/27/2011	05/25/2011	960.00	1,030.00	-70.00
10. GENERAL ELEC CO	04/27/2011	06/06/2011	186.00	206.00	-20.00
20. GENERAL MTRS CO COM	08/17/2011	09/14/2011	441.00	504.00	-63.00
5. GOLDMAN SACHS GROUP INC	01/21/2011	09/26/2011	485.00	827.00	-342.00
5. GOODRICH B F CO	08/24/2010	02/16/2011	455.00	348.00	107.00
5. GOODRICH B F CO	08/26/2010	02/16/2011	455.00	348.00	107.00
5. GOODRICH B F CO	03/18/2011	09/28/2011	606.00	418.00	188.00
5. GOODRICH B F CO	03/18/2011	09/30/2011	605.00	418.00	187.00
10. HARTFORD FINANCIAL SVC	01/07/2011	03/16/2011	248.00	277.00	-29.00
10. HARTFORD FINANCIAL SVC	12/08/2010	10/18/2011	183.00	324.00	-141.00
5. HARTFORD FINANCIAL SVCS	02/14/2011	10/18/2011	91.00	148.00	-57.00
5. HARTFORD FINANCIAL SVCS	05/06/2011	10/18/2011	91.00	139.00	-48.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011


SCHEDULE D-1
(Form 1041)
Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2011
Department of the Treasury
Internal Revenue Service

▶ See instructions for Schedule D (Form 1041).
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Employer identification number

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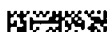
Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 5. HESS CORP COM	03/11/2011	07/28/2011	353.00	399.00	-46.00
10. HESS CORP COM	06/13/2011	08/01/2011	685.00	718.00	-33.00
25. HEWLETT PACKARD CO COM	10/24/2011	11/23/2011	644.00	651.00	-7.00
10. HOSPIRA INC	03/10/2011	04/15/2011	559.00	552.00	7.00
45. HUNTINGTON BANCSHARES	07/14/2010	03/11/2011	301.00	273.00	28.00
55. HUNTINGTON BANCSHARES	02/24/2011	03/11/2011	368.00	376.00	-8.00
10. ILLINOIS TOOL WORKS IN	04/08/2010	01/13/2011	557.00	468.00	89.00
5. INFORMATICA CORP	10/24/2011	12/09/2011	223.00	223.00	
35. INTEL CORPORATION	12/13/2010	01/27/2011	761.00	760.00	1.00
5. INTERCONTINENTALEXCHANG	04/20/2010	02/09/2011	614.00	555.00	59.00
5. INTERCONTINENTALEXCHANG	04/27/2010	02/09/2011	614.00	587.00	27.00
5. INTERCONTINENTALEXCHANG	03/21/2011	04/18/2011	597.00	624.00	-27.00 *
5. J P MORGAN CHASE & CO C	02/22/2011	09/08/2011	169.00	232.00	-63.00
10. J P MORGAN CHASE & CO	03/11/2011	12/09/2011	329.00	457.00	-128.00
5. J P MORGAN CHASE & CO C	02/23/2011	12/09/2011	165.00	228.00	-63.00
15. JOHNSON & JOHNSON	05/25/2011	08/12/2011	958.00	999.00	-41.00
5. JOHNSON & JOHNSON	05/25/2011	08/29/2011	327.00	333.00	-6.00
5. JOHNSON & JOHNSON	05/18/2011	10/11/2011	321.00	332.00	-11.00
5. JOHNSON & JOHNSON	05/06/2011	11/30/2011	320.00	327.00	-7.00
25. JOHNSON & JOHNSON	05/06/2011	12/21/2011	1,616.00	1,635.00	-19.00 *
25. JOHNSON CONTROLS INCORP	01/07/2011	05/26/2011	939.00	1,011.00	-72.00
5. JOHNSON CONTROLS INCORP	03/14/2011	05/26/2011	188.00	201.00	-13.00
5. KELLOGG CO	03/08/2011	11/04/2011	249.00	273.00	-24.00
5. KELLOGG CO	03/08/2011	11/23/2011	242.00	273.00	-31.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011


SCHEDULE D-1
(Form 1041)
Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2011

 Department of the Treasury
 Internal Revenue Service

 ▶ See instructions for Schedule D (Form 1041).
 ▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

Name of estate or trust

HERBERT HARRIS TR B/O RIDGE CH

Employer identification number

01-6080166

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 5. KELLOGG CO	03/04/2011	11/23/2011	242.00	272.00	-30.00
15. KELLOGG CO	02/24/2011	11/23/2011	725.00	802.00	-77.00
20. KINDER MORGAN INC DEL	03/30/2011	05/05/2011	561.00	595.00	-34.00
10. KOHLS CORPORATION	04/29/2011	08/05/2011	465.00	530.00	-65.00
5. KOHLS CORPORATION	04/29/2011	08/31/2011	233.00	265.00	-32.00
10. KOHLS CORPORATION	04/29/2011	09/01/2011	452.00	530.00	-78.00
10. L-3 COMMUNICATIONS HLD	01/10/2011	03/18/2011	782.00	764.00	18.00
15. LAM RESEARCH CORP	05/05/2011	06/27/2011	646.00	736.00	-90.00
5. LAS VEGAS SANDS CORP	12/15/2010	03/04/2011	218.00	211.00	7.00
5. ESTEE LAUDER COMPANIES	10/05/2011	11/01/2011	477.00	439.00	38.00
10. LIFE TECHNOLOGIES CORP	01/18/2011	05/18/2011	540.00	554.00	-14.00
10. LIFE TECHNOLOGIES CORP	03/30/2011	05/18/2011	540.00	522.00	18.00
10. LIMITED BRANDS INC COM	10/06/2010	05/25/2011	386.00	276.00	110.00
5. LIMITED BRANDS INC COM	08/10/2011	11/01/2011	212.00	165.00	47.00
20. LINCOLN NATL CORP IND	05/05/2010	01/07/2011	582.00	589.00	-7.00
40. LOWES COMPANIES INCORP	10/12/2010	03/30/2011	1,078.00	885.00	193.00
10. MACYS INC COM	03/30/2011	05/25/2011	281.00	243.00	38.00
5. MCDONALDS CORP	03/30/2011	07/07/2011	428.00	378.00	50.00
5. MCDONALDS CORP	01/21/2011	07/07/2011	428.00	374.00	54.00
15. MCDONALDS CORP	01/21/2011	07/08/2011	1,285.00	1,123.00	162.00
10. MCKESSON HBOC INC	04/15/2011	05/25/2011	842.00	803.00	39.00
10. MCKESSON HBOC INC	04/15/2011	10/07/2011	707.00	803.00	-96.00
5. MCKESSON HBOC INC	08/04/2011	10/07/2011	354.00	379.00	-25.00
5. MEAD JOHNSON NUTRITION	08/24/2010	03/30/2011	291.00	263.00	28.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

~~CONFIDENTIAL~~**SCHEDULE D-1
(Form 1041)****Continuation Sheet for Schedule D
(Form 1041)**

OMB No 1545-0092

2011Department of the Treasury
Internal Revenue Service▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

Name of estate or trust

HERBERT HARRIS TR B/O RIDGE CH

Employer identification number

01-6080166

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 5. MEAD JOHNSON NUTRITION	09/08/2011	12/23/2011	328.00	360.00	-32.00
15. MEDCO HEALTH SOLUTIONS	08/10/2010	02/24/2011	913.00	699.00	214.00
5. METLIFE INC	08/04/2010	05/06/2011	224.00	210.00	14.00
10. METLIFE INC	08/04/2010	08/02/2011	401.00	419.00	-18.00
5. METLIFE INC	08/04/2010	08/03/2011	195.00	210.00	-15.00
30. METROPCS COMMUNICATION	01/05/2011	09/08/2011	316.00	423.00	-107.00
25. METROPCS COMMUNICATION	08/05/2011	09/08/2011	263.00	232.00	31.00
30. MICROSOFT CORPORATION	06/27/2011	10/24/2011	817.00	756.00	61.00
5. MONSANTO CO NEW COM	10/12/2010	02/14/2011	377.00	259.00	118.00
20. MORGAN STANLEY DEAN WI DISCOVER & CO	08/27/2010	01/21/2011	591.00	499.00	92.00
10. MURPHY OIL CORP COM	12/13/2010	03/30/2011	728.00	721.00	7.00
5. MURPHY OIL CORP COM	02/09/2011	03/30/2011	364.00	338.00	26.00
10. MYLAN LABS INC	04/15/2011	05/25/2011	231.00	234.00	-3.00
10. MYLAN LABS INC	03/30/2011	06/03/2011	230.00	228.00	2.00
30. MYLAN LABS INC	03/30/2011	06/15/2011	673.00	683.00	-10.00
15. NCR CORP W/I	07/28/2011	10/31/2011	292.00	309.00	-17.00
5. NCR CORP W/I	08/01/2011	10/31/2011	97.00	99.00	-2.00
5. NCR CORP W/I	08/01/2011	11/01/2011	89.00	99.00	-10.00
5. NCR CORP W/I	08/01/2011	12/21/2011	80.00	99.00	-19.00
10. NCR CORP W/I	08/02/2011	12/21/2011	160.00	195.00	-35.00
15. NCR CORP W/I	09/14/2011	12/21/2011	239.00	266.00	-27.00
10. NCR CORP W/I	08/05/2011	12/21/2011	160.00	171.00	-11.00
5. NCR CORP W/I	08/08/2011	12/21/2011	80.00	84.00	-4.00
10. NETAPP INC COM	08/04/2011	10/04/2011	345.00	441.00	-96.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

SCHEDULE D-1
(Form 1041)**Continuation Sheet for Schedule D**
(Form 1041)

OMB No 1545-0092

2011Department of the Treasury
Internal Revenue Service▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

Name of estate or trust

HERBERT HARRIS TR B/O RIDGE CH

Employer identification number

01-6080166

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 5. NETAPP INC COM	08/04/2011	11/18/2011	175.00	220.00	-45.00
5. NETAPP INC COM	08/05/2011	11/18/2011	175.00	204.00	-29.00
10. NETAPP INC COM	08/08/2011	11/18/2011	350.00	406.00	-56.00
45. NEWS CORP CL A	07/07/2011	08/05/2011	645.00	795.00	-150.00
15. NEWS CORP CL A	07/12/2011	08/05/2011	215.00	235.00	-20.00
10. NINTENDO LTD ADR	04/27/2010	01/21/2011	344.00	421.00	-77.00
10. NORDSTROM INCORPORATED	07/30/2010	01/13/2011	415.00	337.00	78.00
5. NORDSTROM INCORPORATED	07/30/2010	03/08/2011	220.00	169.00	51.00
10. NORDSTROM INCORPORATED	08/31/2010	03/08/2011	439.00	293.00	146.00
15. NORFOLK SOUTHERN CORP	02/16/2011	03/30/2011	1,039.00	977.00	62.00
10. OCEANEERING INTL INC C	01/13/2011	07/12/2011	424.00	365.00	59.00
10. OIL STS INTL INC COM	09/15/2010	04/07/2011	762.00	442.00	320.00
10. ORACLE SYS CORP	03/30/2011	08/03/2011	296.00	332.00	-36.00
15. ORACLE SYS CORP	03/30/2011	10/28/2011	503.00	497.00	6.00
5. ORACLE SYS CORP	03/16/2011	10/28/2011	168.00	152.00	16.00
25. ORACLE SYS CORP	03/16/2011	11/01/2011	792.00	758.00	34.00
20. ORACLE SYS CORP	12/13/2010	11/01/2011	634.00	600.00	34.00
10. OWENS CORNING INC NEW	01/13/2011	05/18/2011	341.00	329.00	12.00
10. OWENS CORNING INC NEW	10/06/2010	05/18/2011	341.00	275.00	66.00
5. P G & E CORPORATION	07/13/2010	03/16/2011	212.00	215.00	-3.00
20. PPL CORPORATION	10/12/2010	01/10/2011	522.00	550.00	-28.00
10. PPL CORPORATION	06/29/2011	08/09/2011	257.00	279.00	-22.00
30. PPL CORPORATION	04/14/2011	08/09/2011	772.00	800.00	-28.00
10. PARKER HANNIFIN CORP	12/02/2010	03/30/2011	945.00	843.00	102.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

~~SECRET~~**SCHEDULE D-1
(Form 1041)****Continuation Sheet for Schedule D
(Form 1041)**

OMB No 1545-0092

Department of the Treasury
Internal Revenue Service

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

2011

Name of estate or trust

HERBERT HARRIS TR B/O RIDGE CH

Employer identification number

01-6080166

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 5. PEABODY ENERGY CORP COM	12/03/2010	03/14/2011	322.00	318.00	4.00
5. PEABODY ENERGY CORP COM	02/09/2011	03/25/2011	364.00	308.00	56.00
10. PEPSICO INCORPORATED	10/06/2010	07/26/2011	642.00	680.00	-38.00
5. PEPSICO INCORPORATED	03/30/2011	08/29/2011	320.00	325.00	-5.00
10. PEPSICO INCORPORATED	03/30/2011	08/29/2011	640.00	650.00	-10.00 *
10. PEPSICO INCORPORATED	04/09/2011	09/09/2011	604.00	624.00	-20.00
55. PFIZER INC	03/23/2011	05/06/2011	1,126.00	1,094.00	32.00
15. PFIZER INC	03/08/2011	05/06/2011	307.00	296.00	11.00
15. PFIZER INC	03/04/2011	05/06/2011	307.00	295.00	12.00
20. PFIZER INC	02/18/2011	05/06/2011	409.00	385.00	24.00
30. PFIZER INC	02/18/2011	06/27/2011	602.00	577.00	25.00
15. PFIZER INC	02/24/2011	06/27/2011	301.00	281.00	20.00
446.302 PIMCO COMMODITY RE STRATEGY FUND	04/19/2010	02/24/2011	4,209.00	3,548.00	661.00
5. POTASH CORP SASK INC	11/08/2010	02/23/2011	825.00	703.00	122.00
10. POTASH CORP SASK INC	05/23/2011	06/27/2011	537.00	515.00	22.00
20. PROCTER & GAMBLE CO CO	12/14/2010	03/23/2011	1,215.00	1,270.00	-55.00
5. PRUDENTIAL FINL INC COM	12/10/2010	03/16/2011	296.00	281.00	15.00
5. PRUDENTIAL FINL INC COM	12/10/2010	03/23/2011	304.00	281.00	23.00
10. PRUDENTIAL FINL INC CO	12/16/2010	03/23/2011	608.00	578.00	30.00
5. QUALCOMM INC	02/10/2011	08/29/2011	255.00	285.00	-30.00
25. RAYTHEON CO COM NEW	05/25/2011	07/26/2011	1,159.00	1,256.00	-97.00
20. ROCHE HLDG LTD SPONSOR	05/09/2011	08/04/2011	861.00	826.00	35.00
10. ROCHE HLDG LTD SPONSOR	05/09/2011	08/17/2011	419.00	413.00	6.00
15. ROCKWELL COLLINS COM	07/26/2011	08/19/2011	681.00	856.00	-175.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

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Schedule D-1 (Form 1041) 2011

~~CONFIDENTIAL~~**SCHEDULE D-1
(Form 1041)****Continuation Sheet for Schedule D
(Form 1041)**

OMB No 1545-0092

2011Department of the Treasury
Internal Revenue Service▶ See instructions for Schedule D (Form 1041).
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Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 15. ROYAL DUTCH SHELL PLC ADR CL A	03/25/2011	08/10/2011	932.00	1,091.00	-159.00
5. ROYAL DUTCH SHELL PLC S CL A	06/27/2011	08/10/2011	311.00	340.00	-29.00
15. SAFEWAY INC COM NEW	07/13/2011	09/19/2011	257.00	358.00	-101.00
10. SAFEWAY INC COM NEW	02/25/2011	10/12/2011	178.00	215.00	-37.00
15. SAFEWAY INC COM NEW	01/31/2011	10/14/2011	263.00	312.00	-49.00
10. SAFEWAY INC COM NEW	01/31/2011	10/20/2011	182.00	208.00	-26.00
5. SAFEWAY INC COM NEW	08/02/2011	10/20/2011	91.00	98.00	-7.00
5. ST JUDE MEDICAL INCORPO	02/23/2011	09/19/2011	211.00	233.00	-22.00
5. ST JUDE MEDICAL INCORPO	02/23/2011	10/27/2011	205.00	233.00	-28.00
5. ST JUDE MEDICAL INCORPO	02/23/2011	12/21/2011	164.00	233.00	-69.00
10. ST JUDE MEDICAL INCORP	08/04/2011	12/21/2011	328.00	442.00	-114.00
10. SANDISK CORP	12/13/2010	01/05/2011	522.00	506.00	16.00
5. SCHLUMBERGER LIMITED	05/24/2010	01/13/2011	423.00	296.00	127.00
5. SCHLUMBERGER LIMITED	05/24/2010	03/11/2011	432.00	296.00	136.00
5. SCHLUMBERGER LIMITED	05/24/2010	04/14/2011	428.00	296.00	132.00
10. SCHLUMBERGER LIMITED	06/02/2010	04/14/2011	856.00	554.00	302.00
5. SCHLUMBERGER LIMITED	07/26/2010	04/14/2011	428.00	293.00	135.00
5. SCHLUMBERGER LIMITED	08/10/2011	10/04/2011	284.00	376.00	-92.00
10. SCHLUMBERGER LIMITED	08/10/2011	10/18/2011	688.00	752.00	-64.00
5. SILICON LABORATORIES IN	06/21/2010	01/13/2011	249.00	221.00	28.00
5. SILICON LABORATORIES IN	07/22/2010	01/13/2011	249.00	212.00	37.00
15. SKYWORKS SOLUTIONS INC	01/05/2011	04/07/2011	416.00	447.00	-31.00
140. SPRINT CORPORATION	03/23/2011	08/11/2011	427.00	634.00	-207.00
35. STAPLES INCORPORATED	12/03/2010	03/16/2011	682.00	788.00	-106.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

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Schedule D-1 (Form 1041) 2011


SCHEDULE D-1
(Form 1041)**Continuation Sheet for Schedule D**
(Form 1041)

OMB No 1545-0092

2011Department of the Treasury
Internal Revenue Service

▶ See instructions for Schedule D (Form 1041).
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1a 20. SYMANTEC CORP	06/27/2011	10/31/2011	340.00	382.00	-42.00
10. SYMANTEC CORP	06/17/2011	11/15/2011	169.00	187.00	-18.00
30. SYMANTEC CORP	06/17/2011	11/18/2011	481.00	561.00	-80.00
25. TD AMERITRADE HLDG CORP	04/18/2011	06/27/2011	462.00	535.00	-73.00 *
10. TD AMERITRADE HLDG CORP	04/20/2011	06/27/2011	185.00	219.00	-34.00
5. TD AMERITRADE HLDG CORP	04/20/2011	06/27/2011	92.00	110.00	-18.00 *
5. TD AMERITRADE HLDG CORP	05/19/2011	08/09/2011	76.00	116.00	-40.00
25. TD AMERITRADE HLDG CORP	05/17/2011	08/09/2011	378.00	568.00	-190.00
10. TARGET CORP	06/28/2010	03/04/2011	519.00	503.00	16.00
5. TERADATA CORP DELAWARE	06/27/2011	08/03/2011	269.00	290.00	-21.00 *
5. TERADATA CORP DELAWARE	07/04/2011	11/01/2011	288.00	283.00	5.00
5. THERMO ELECTRON CORP	08/12/2011	11/01/2011	245.00	269.00	-24.00 *
5. THERMO ELECTRON CORP	08/07/2011	11/23/2011	223.00	277.00	-54.00
10. THERMO ELECTRON CORP	08/12/2011	11/23/2011	446.00	538.00	-92.00
5. THERMO ELECTRON CORP	08/19/2011	11/23/2011	223.00	256.00	-33.00
10. TIBCO SOFTWARE INC COM	01/13/2011	01/21/2011	211.00	212.00	-1.00
20. TIBCO SOFTWARE INC COM	01/13/2011	02/10/2011	506.00	424.00	82.00
5. TRANSDIGM GROUP INC COM	04/08/2010	01/18/2011	386.00	272.00	114.00
5. TRANSDIGM GROUP INC COM	04/08/2010	02/16/2011	407.00	272.00	135.00
5. TRIPADVISOR INC COM	05/06/2011	12/23/2011	127.00	130.00	-3.00
7.5 TRIPADVISOR INC COM	05/16/2011	12/23/2011	191.00	192.00	-1.00
5. UNION PAC CORP COM	03/30/2011	05/05/2011	509.00	492.00	17.00
5. UNITED PARCEL SERVICE C	11/08/2010	03/07/2011	357.00	347.00	10.00
5. UNITED PARCEL SERVICE C	03/30/2011	08/10/2011	313.00	374.00	-61.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

SCHEDULE D-1
(Form 1041)**Continuation Sheet for Schedule D**
(Form 1041)

OMB No 1545-0092

2011Department of the Treasury
Internal Revenue Service▶ See instructions for Schedule D (Form 1041).
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(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 5. UNITED PARCEL SERVICE C	03/30/2011	08/12/2011	327.00	374.00	-47.00
15. UNITED PARCEL SERVICE	11/08/2010	08/12/2011	982.00	1,040.00	-58.00
5. UNITED TECHNOLOGIES COR	08/19/2011	09/19/2011	370.00	342.00	28.00
25. UNITEDHEALTH GROUP INC	01/07/2011	02/18/2011	1,080.00	957.00	123.00
75. VANGUARD REIT ETF	02/24/2011	06/02/2011	4,542.00	4,285.00	257.00
5. VERIZON COMMUNICATIONS	06/15/2011	09/01/2011	180.00	177.00	3.00
10. VIACOM INC CL B COM	03/30/2011	04/29/2011	511.00	472.00	39.00
5. VIACOM INC CL B COM	02/22/2011	09/26/2011	205.00	225.00	-20.00
5. VIACOM INC CL B COM	08/10/2011	09/26/2011	205.00	217.00	-12.00
5. VISA INC CL A COM	09/08/2010	07/07/2011	450.00	396.00	54.00
5. VISA INC CL A COM	04/15/2011	07/07/2011	450.00	383.00	67.00
5. WAL-MART STORES INCORPO	04/29/2010	01/07/2011	270.00	270.00	
5. WAL-MART STORES INCORPO	05/11/2010	01/07/2011	270.00	263.00	7.00
15. WAL-MART STORES INCORP	07/13/2011	08/12/2011	748.00	811.00	-63.00
5. WAL-MART STORES INCORPO	07/08/2011	08/12/2011	249.00	270.00	-21.00
20. WAL-MART STORES INCORP	07/08/2011	08/19/2011	1,047.00	1,080.00	-33.00
25. WALGREEN CO	06/06/2011	08/19/2011	874.00	1,087.00	-213.00
10. WALGREEN CO	06/06/2011	08/19/2011	350.00	435.00	-85.00
15. WALGREEN CO	06/06/2011	08/29/2011	526.00	652.00	-126.00
5. WELLS FARGO COMPANY	05/21/2010	05/18/2011	143.00	150.00	-7.00
30. XEROX CORPORATION	06/16/2011	08/08/2011	240.00	292.00	-52.00
70. XEROX CORPORATION	06/07/2011	08/08/2011	560.00	681.00	-121.00
15. ZIMMER HLDGS INC COM	04/14/2010	01/07/2011	789.00	907.00	-118.00
5. ZIMMER HLDGS INC COM	07/13/2011	08/29/2011	278.00	324.00	-46.00 *

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust

HERBERT HARRIS TR B/O RIDGE CH

Employer identification number

01-6080166

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less[illegible]

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b	703.00
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For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

JSA
1F1221 2 000

* INDICATES WASH SALE

FK7024 L775 05/01/2012 09:45:26

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

HERBERT HARRIS TR B/O RIDGE CH

01-6080166

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 15. AT&T INC	10/21/2006	03/04/2011	420.00	512.00	-92.00
5. AT&T INC	10/21/2006	03/25/2011	144.00	171.00	-27.00
20. AT&T INC	03/18/2008	03/25/2011	576.00	705.00	-129.00
5. AT&T INC	11/30/2009	03/25/2011	144.00	134.00	10.00
5. AT&T INC	11/30/2009	03/30/2011	153.00	134.00	19.00
15. ABBOTT LABORATORIES	12/21/2006	01/13/2011	710.00	715.00	-5.00
10. ABBOTT LABORATORIES	01/15/2009	02/24/2011	468.00	485.00	-17.00
10. ABBOTT LABORATORIES	10/15/2009	03/30/2011	489.00	517.00	-28.00
10. AKAMAI TECHNOLOGIES INC	03/12/2010	03/14/2011	360.00	313.00	47.00
10. AMERICAN ELECTRIC POWER	02/03/2010	03/30/2011	355.00	348.00	7.00
20. AMGEN INC COM	08/27/2010	12/07/2011	1,200.00	1,041.00	159.00
5. APACHE CORPORATION	11/26/2008	06/29/2011	610.00	374.00	236.00
5. APPLE COMPUTER INCORPORATED	05/21/2010	10/24/2011	2,020.00	1,214.00	806.00
15. AUTODESK INC (DEL)	04/07/2010	08/29/2011	417.00	459.00	-42.00
10. AVON PRODUCTS INC	04/09/2008	02/09/2011	285.00	452.00	-167.00
10. AVON PRODUCTS INC	10/30/2009	02/09/2011	285.00	325.00	-40.00
5. AVON PRODUCTS INC	12/18/2009	02/09/2011	142.00	156.00	-14.00
15. CARDINAL HEALTH INCORPORATED	02/26/2010	04/15/2011	612.00	512.00	100.00
10. CELANESE CORP DEL SER	10/01/2009	01/13/2011	432.00	249.00	183.00
5. CELANESE CORP DEL SER A	05/05/2010	10/27/2011	221.00	146.00	75.00
5. CELGENE CORP	04/27/2010	06/07/2011	298.00	274.00	24.00
10. CHEVRONTXACO CORP COM	08/11/2008	01/13/2011	920.00	834.00	86.00
4.5 CITIGROUP INC NEW COM	08/01/2010	08/29/2011	139.00	202.00	-63.00
1.5 CITIGROUP INC NEW COM	08/01/2010	09/08/2011	43.00	67.00	-24.00
3.5 CITIGROUP INC NEW COM	06/29/2010	09/08/2011	99.00	146.00	-47.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2011



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Employer identification number

HERBERT HARRIS TR B/O RIDGE CH

01-6080166

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 5. CITIGROUP INC NEW COM	06/10/2010	10/12/2011	145.00	196.00	-51.00
1031.977 COLUMBIA MULTI-AD EQUITY FUND CLASS Z SHARES	07/21/2008	09/08/2011	11,052.00	15,222.00	-4,170.00
10. COMCAST CORP NEW CL A	10/28/2010	12/05/2011	234.00	207.00	27.00
30. CORNING INC	03/10/2010	05/05/2011	611.00	558.00	53.00
50. DISCOVER FINL SVCS COM	11/03/2009	07/13/2011	1,332.00	711.00	621.00
5. FREEPORT-MCMORAN COPPER COM	03/20/2010	05/06/2011	254.00	214.00	40.00
5. FREEPORT-MCMORAN COPPER COM	03/20/2010	06/29/2011	262.00	214.00	48.00
10. FREEPORT-MCMORAN COPPE COM	03/29/2010	06/29/2011	524.00	413.00	111.00
5. GILEAD SCIENCES INC	08/10/2010	10/05/2011	192.00	179.00	13.00
20. GILEAD SCIENCES INC	06/15/2010	10/05/2011	768.00	701.00	67.00
10. HUMANA INC	10/19/2009	01/13/2011	572.00	375.00	197.00
25. ILLINOIS TOOL WORKS IN	01/12/2010	01/13/2011	1,392.00	1,230.00	162.00
5. INTERNATIONAL BUSINESS	10/24/2008	03/17/2011	765.00	416.00	349.00
5. INTERNATIONAL BUSINESS	06/15/2010	06/27/2011	835.00	646.00	189.00
5. INTERNATIONAL BUSINESS	03/19/2009	06/27/2011	835.00	465.00	370.00
5. INTERNATIONAL BUSINESS	10/24/2008	06/27/2011	835.00	416.00	419.00
10. MEAD JOHNSON NUTRITION	03/03/2010	03/30/2011	582.00	479.00	103.00
5. MEDCO HEALTH SOLUTIONS	08/31/2009	02/24/2011	304.00	276.00	28.00
10. METLIFE INC	03/12/2010	05/06/2011	449.00	423.00	26.00
10. METLIFE INC	06/29/2010	08/03/2011	391.00	384.00	7.00
20. MICROSOFT CORPORATION	04/14/2008	09/02/2011	517.00	562.00	-45.00
15. MICROSOFT CORPORATION	04/14/2008	10/24/2011	408.00	421.00	-13.00
10. MICROSOFT CORPORATION	07/01/2010	10/24/2011	272.00	230.00	42.00
15. NII HLDGS INC COM	03/12/2010	08/04/2011	610.00	601.00	9.00
10. OCCIDENTAL PETROLEUM C	10/24/2008	03/11/2011	982.00	459.00	523.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2011



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Employer identification number

HERBERT HARRIS TR B/O RIDGE CH

01-6080166

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 5. OCCIDENTAL PETROLEUM CO	02/03/2010	10/28/2011	484.00	402.00	82.00
5. OCCIDENTAL PETROLEUM CO	10/24/2008	10/28/2011	484.00	230.00	254.00
10. P G & E CORPORATION	01/23/2009	03/16/2011	424.00	378.00	46.00
5. PNC BK CORP	02/23/2006	09/08/2011	240.00	353.00	-113.00
25. PACKAGING CORP OF AMER	10/23/2009	03/28/2011	695.00	511.00	184.00
5. PEPSICO INCORPORATED	10/01/2009	08/29/2011	320.00	293.00	27.00
5. PEPSICO INCORPORATED	07/21/2010	08/29/2011	320.00	322.00	-2.00 *
5. PEPSICO INCORPORATED	07/31/2010	10/05/2011	300.00	308.00	-8.00
10. PEPSICO INCORPORATED	10/01/2009	10/07/2011	615.00	586.00	29.00
10. PRICE T ROWE GROUP INC	10/19/2009	01/27/2011	676.00	481.00	195.00
5. PROCTER & GAMBLE CO COM	10/01/2009	02/25/2011	314.00	285.00	29.00
5. PROCTER & GAMBLE CO COM	10/01/2009	03/23/2011	304.00	285.00	19.00
10. PROCTER & GAMBLE CO CO	11/03/2009	03/23/2011	608.00	585.00	23.00
10. RIO TINTO PLC SPONSORE	12/11/2009	06/27/2011	683.00	513.00	170.00
10. SOUTHWESTERN ENERGY CO	12/23/2009	03/30/2011	437.00	497.00	-60.00
10. SOUTHWESTERN ENERGY CO	03/19/2010	03/30/2011	437.00	392.00	45.00
5. SOUTHWESTERN ENERGY CO	03/29/2010	03/30/2011	218.00	203.00	15.00
10. TARGET CORP	08/11/2009	03/04/2011	519.00	424.00	95.00
15. TERADATA CORP DELAWARE	11/06/2009	05/06/2011	815.00	426.00	389.00
5. TERADATA CORP DELAWARE	11/06/2009	11/01/2011	288.00	142.00	146.00
15. THERMO ELECTRON CORP	10/01/2009	01/18/2011	853.00	656.00	197.00
5. UNION PAC CORP COM	04/19/2010	05/05/2011	509.00	376.00	133.00
10. UNION PAC CORP COM	04/01/2010	05/16/2011	1,017.00	737.00	280.00
5. UNITED PARCEL SERVICE C	10/01/2009	01/18/2011	363.00	279.00	84.00
5. UNITED PARCEL SERVICE C	02/03/2010	03/07/2011	357.00	294.00	63.00
6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b					

Schedule D-1 (Form 1041) 2011

HERBERT HARRIS TR B/O RIDGE CH
01-6080166
Balance Sheet
12/31/2011



Cusip	Asset	Units	Basis	Market Value
Y0486S104	AVAGO TECHNOLOGIES LTD	30.000	883.85	865.80
G98290102	XL GROUP PLC	45.000	954.46	889.65
G5876H105	MARVELL TECHNOLOGY GROUP LTD	50.000	698.33	692.50
G2554F113	COVIDIEN PLC	25.000	1,239.44	1,125.25
98956P102	ZIMMER HLDGS INC	10.000	519.56	534.20
949746101	WELLS FARGO & CO	95.000	2,361.83	2,618.20
92826C839	VISA INC	20.000	1,556.72	2,030.60
92343V104	VERIZON COMMUNICATIONS INC	65.000	2,334.86	2,607.80
922042858	VANGUARD MSCI EMERGING MKTS	938.000	35,782.42	35,840.98
913017109	UNITED TECHNOLOGIES CORP	10.000	341.10	730.90
907818108	UNION PAC CORP	15.000	1,401.13	1,589.10
88076W103	TERADATA CORP	10.000	486.65	485.10
854502101	STANLEY BLACK & DECKER INC	5.000	331.39	338.00
816851109	SEMPRA ENERGY	25.000	1,225.42	1,375.00
78464A755	SPDR METALS & MNG	180.000	7,641.00	8,818.20
780259206	ROYAL DUTCH SHELL PLC	20.000	1,440.00	1,461.80
747525103	QUALCOMM INC	35.000	1,881.36	1,914.50
744573106	PUBLIC SVC ENTERPRISE GROUP	30.000	992.56	990.30
74144T108	PRICE T ROWE GROUP INC	10.000	483.36	569.50
73935X575	POWERSHARES WATER RESOURCES	229.000	5,116.89	3,858.65
73755L107	POTASH CORP SASK INC	15.000	631.73	619.20
722005667	PIMCO COMMODITY REALRETURN	3291.289	26,165.75	21,525.03
717081103	PFIZER INC	85.000	1,604.05	1,839.40
713448108	PEPSICO INC	30.000	1,880.70	1,990.50
701094104	PARKER HANNIFIN CORP	15.000	1,213.81	1,143.75
693506107	PPG INDS INC	15.000	1,246.11	1,252.35
693475105	PNC FINL SVCS GROUP INC	20.000	1,192.03	1,153.40
674599105	OCCIDENTAL PETE CORP DEL	10.000	459.35	937.00
670008101	NOVELLUS SYS INC	20.000	688.51	825.80
637071101	NATIONAL OILWELL VARCO INC	20.000	1,285.20	1,359.80
58933Y105	MERCK & CO INC	70.000	2,468.44	2,639.00
58405U102	MEDCO HLTH SOLUTIONS INC	20.000	1,144.52	1,118.00
55616P104	MACYS INC	50.000	1,212.04	1,609.00
532716107	LIMITED BRANDS INC	20.000	520.46	807.00

HERBERT HARRIS TR B/O RIDGE CH
01-6080166
Balance Sheet
12/31/2011



Cusip	Asset	Units	Basis	Market Value
53071M104	LIBERTY INTERACTIVE CORP	85.000	1,327.59	1,378.28
50075N104	KRAFT FOODS INC	30.000	1,033.80	1,120.80
494368103	KIMBERLY CLARK CORP	20.000	1,320.52	1,471.20
48242W106	KBR INC	25.000	809.99	696.75
46625H100	J P MORGAN CHASE & CO	55.000	2,202.54	1,828.75
461202103	INTUIT	20.000	963.88	1,051.80
459200101	INTERNATIONAL BUSINESS MACHS	15.000	2,708.50	2,758.20
45865V100	INTERCONTINENTAL EXCHANGE INC	5.000	637.89	602.75
458140100	INTEL CORP	70.000	1,466.81	1,697.50
445658107	HUNT J B TRANS SVCS INC	20.000	830.08	901.40
444859102	HUMANA INC	10.000	706.85	876.10
427866108	HERSHEY CO	15.000	855.21	926.70
416515104	HARTFORD FINL SVCS GROUP INC	25.000	692.96	406.25
411511306	HARBOR INTERNATIONAL FUND	572.536	31,396.88	30,029.51
406216101	HALLIBURTON CO	35.000	1,670.83	1,207.85
38259P508	GOOGLE INC	5.000	2,457.63	3,229.50
38141G104	GOLDMAN SACHS GROUP INC	10.000	1,485.09	904.30
369604103	GENERAL ELEC CO	115.000	2,202.01	2,059.65
354613101	FRANKLIN RES INC	10.000	1,133.66	960.60
316773100	FIFTH THIRD BANCORP	75.000	915.23	954.00
30161N101	EXELON CORP	35.000	1,511.52	1,517.95
278642103	EBAY INC	50.000	1,520.44	1,516.50
268648102	EMC CORP	85.000	1,743.43	1,830.90
26138E109	DR PEPPER SNAPPLE INC	20.000	773.70	789.60
260003108	DOVER CORP	15.000	784.30	870.75
25470M109	DISH NETWORK CORP	30.000	704.05	854.40
237194105	DARDEN RESTAURANTS INC	20.000	934.19	911.60
23331A109	D R HORTON INC	35.000	436.98	441.35
212015101	CONTINENTAL RES INC	10.000	614.35	667.10
20030N101	COMCAST CORP	65.000	1,154.34	1,541.15
19765P596	COLUMBIA SMALL CAP GROWTH I	375.979	9,840.45	10,204.07
19765N518	COLUMBIA CORPORATE INCOME FUND	11936.382	114,986.76	120,199.37
19765J830	COLUMBIA MID CAP VALUE FUND	1186.635	15,261.32	15,200.79
19765J764	COLUMBIA SMALL CAP VALUE FUND	827.130	9,536.49	11,067.00

HERBERT HARRIS TR B/O RIDGE CH
01-6080166
Balance Sheet
12/31/2011



Cusip	Asset	Units	Basis	Market Value
19765H362	COLUMBIA SHORT TERM BOND FUND	449.197	4,460.53	4,429.08
197199813	COLUMBIA ACORN INTERNATIONAL	239.752	8,158.75	8,225.89
197199409	COLUMBIA ACORN FUND	531.346	8,759.36	14,643.90
172967424	CITIGROUP INC	45.000	1,398.86	1,183.95
166764100	CHEVRON CORP	35.000	2,630.25	3,724.00
150870103	CELANESE CORP DEL	20.000	703.94	885.40
125509109	CIGNA CORP	25.000	874.13	1,050.00
09062X103	BIOGEN IDEC INC	10.000	1,126.83	1,100.50
037833100	APPLE INC	10.000	938.57	4,050.00
037411105	APACHE CORP	15.000	1,539.18	1,358.70
032511107	ANADARKO PETE CORP	10.000	819.95	763.30
018490102	ALLERGAN INC	10.000	606.56	877.40
002824100	ABBOTT LABS	30.000	1,623.48	1,686.90
	Cash/Cash Equivalent	37327.930	37,327.93	37,327.93
		Totals:	396,973.62	412,136.63