



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form 990-EZ

**Short Form
Return of Organization Exempt From Income Tax**

OMB No. 1545-1150

2011

Open to Public
Inspection

Department of the Treasury
Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code
(except black lung benefit trust or private foundation)

► Sponsoring organizations of donor advised funds, organizations that operate one or more hospital facilities, and certain controlling organizations as defined in section 512(b)(13) must file Form 990 (see instructions). All other organizations with gross receipts less than \$200,000 and total assets less than \$500,000 at the end of the year may use this form.

► The organization may have to use a copy of this return to satisfy state reporting requirements.

POSTMARK DATE

FEB 27 2012

SCANNED MAR 21 2012

A For the 2011 calendar year, or tax year beginning		January 1	, 2011, and ending	December 31	, 2011
B Check if applicable:		C Name of organization		D Employer identification number	
<input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending		USW International Union Local 449 Number and street (or P.O. box, if mail is not delivered to street address) P.O. Box 898 City or town, state or country, and ZIP + 4 Waterville, ME 04901		01-6014196 E Telephone number (607) 872-0957 F Group Exemption Number ► 0260 0260	
G Accounting Method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual Other (specify) ►				H Check ► <input type="checkbox"/> If the organization is not required to attach Schedule B (Form 990, 990-EZ, or 990-PF).	
I Website: ►					
J Tax-exempt status (check only one) — <input type="checkbox"/> 501(c)(3) <input checked="" type="checkbox"/> 501(c) (5) <input type="checkbox"/> (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527					
K Check ► <input type="checkbox"/> If the organization is not a section 509(a)(3) supporting organization or a section 527 organization and its gross receipts are normally not more than \$50,000. A Form 990-EZ or Form 990 return is not required though Form 990-N (e-postcard) may be required (see Instructions). But if the organization chooses to file a return, be sure to file a complete return.					
L Add lines 5b, 6c, and 7b, to line 9 to determine gross receipts. If gross receipts are \$200,000 or more, or if total assets (Part II, line 25, column (B) below) are \$500,000 or more, file Form 990 instead of Form 990-EZ ► \$ 140 836					
Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (see the instructions for Part I). Check if the organization used Schedule O to respond to any question in this Part I. <input checked="" type="checkbox"/>					
Revenue	1 Contributions, gifts, grants, and similar amounts received	5a	5b	5c	6a
	2 Program service revenue including government fees and contracts				6b
	3 Membership dues and assessments				6c
	4 Investment income				6d
	5a Gross amount from sale of assets other than inventory	—			7a
	b Less: cost or other basis and sales expenses	—			7b
	c Gain or (loss) from sale of assets other than inventory (Subtract line 5b from line 5a)	—			7c
	6 Gaming and fundraising events				8
	a Gross income from gaming (attach Schedule G if greater than \$15,000)	—			8a
	b Gross income from fundraising events (not including \$ of contributions from fundraising events reported on line 1) (attach Schedule G if the sum of such gross income and contributions exceeds \$15,000)	—			8b
c Less: direct expenses from gaming and fundraising events	—			8c	
d Net income or (loss) from gaming and fundraising events (add lines 6a and 6b and subtract line 6c)	—			8d	
7a Gross sales of inventory, less returns and allowances	—			9	
b Less: cost of goods sold	—			9a	
c Gross profit or (loss) from sales of inventory (Subtract line 7b from line 7a)	—			9b	
8 Other revenue (describe in Schedule O) MAR 03 2012	—			9c	
9 Total revenue. Add lines 1, 2, 3, 4, 5c, 6d, 7c, and 8	—			9d	
Expenses	10 Grants and similar amounts paid (list in Schedule O)	10	11	12	13
	11 Benefits paid to or for members	5569	0	74411	0
	12 Salaries, other compensation, and employee benefits	0		52915	50
	13 Professional fees and other payments to independent contractors			28978	
	14 Occupancy, rent, utilities, and maintenance			161923	
	15 Printing, publications, postage, and shipping			<21087>	
	16 Other expenses (describe in Schedule O)			423395	
	17 Total expenses. Add lines 10 through 16	—		402308	
Net Assets	18 Excess or (deficit) for the year (Subtract line 17 from line 9)	18	19	20	21
	19 Net assets or fund balances at beginning of year (from line 27, column (A)) (must agree with end-of-year figure reported on prior year's return)	—	—	—	—
	20 Other changes in net assets or fund balances (explain in Schedule O)				
	21 Net assets or fund balances at end of year. Combine lines 18 through 20	—			

For Paperwork Reduction Act Notice, see the separate instructions.

Cat. No. 10642I

Form 990-EZ (2011)

68

18

Part II Balance Sheets. (see the instructions for Part II.)

Check if the organization used Schedule Q to respond to any question in this Part II

		(A) Beginning of year	(B) End of year
22	Cash, savings, and investments	318095	297008
23	Land and buildings	95300	95300
24	Other assets (describe in Schedule O)	10000	10000
25	Total assets	423395	402308
26	Total liabilities (describe in Schedule O)	0	0
27	Net assets or fund balances (line 27 of column (B) must agree with line 21)	423395	402308

Part III Statement of Program Service Accomplishments (see the instructions for Part III.)

Check if the organization used Schedule Q to respond to any question in this Part III

What is the organization's primary exempt purpose? **COLLECTIVE BARGAINING**

Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. In a clear and concise manner, describe the services provided, the number of persons benefited, and other relevant information for each program title.

Expenses
(Required for section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts; optional for others.)

persons benefited, and other relevant information for each program title.	
28	Local enforced the collective bargaining agreement to better the working conditions of the local and provide representation to its members. The Local Union was established to negotiate collective bargaining agreements to better working conditions of the Local. Representation for members (Grants \$) If this amount includes foreign grants, check here . . . ► <input type="checkbox"/> 28a
29	_____
30	_____
31	(Grants \$) If this amount includes foreign grants, check here . . . ► <input type="checkbox"/> 30a
32	Other program services (describe in Schedule O) _____
	(Grants \$) If this amount includes foreign grants, check here . . . ► <input type="checkbox"/> 31a
32	Total program service expenses (add lines 28a through 31a) ► <input type="checkbox"/> 32

Part IV List of Officers, Directors, Trustees, and Key Employees. List each one even if not compensated. (see the instructions for Part IV.)

Check if the organization used Schedule O to respond to any question in this Part IV.

Check if the organization used Schedule C to respond to any question in this Part IV				
(a) Name and address	(b) Title and average hours per week devoted to position	(c) Reportable compensation (Forms W-2/1099-MISC) (If not paid, enter -0-)	(d) Health benefits, contributions to employee benefit plans, and deferred compensation	(e) Estimated amount of other compensation
Joseph Barney 121 Allagash Dr. Oakland ME 04901	President 10	14198		
Michael Higgins 7 Lucille St. Winslow, ME 04901	Vice President 5	7842		
Kelly Bonnell 33 Dunbar Rd. Winslow ME 04901	Rec Secretary 5	73041		
David Joseph 22 Edgewood St. Waterville ME 04901	Treasurer 5	9996		
Larry Wasmund 577 Benton Ave Winslow ME 04901	Fin Secretary 2	5952		
Charlotte Gurney 669 W. River Rd. Waterville ME 04901	Trustee 1	3267		
Marc Landry 11 Verdun St. Fairfield ME 04937	Trustee 1	15418		
Chery Schenk 334 Vassalboro Rd. So. China ME	Trustee 1	740		
Michael Namei 30 Edgemont Ave Waterville ME	Guard .5	340		
Richard Schenk 334 Vassalboro Rd. So. China ME 04358	Guard .5	1978		

Part V Other Information (Note the Schedule A and personal benefit contract statement requirements in the instructions for Part V.) Check if the organization used Schedule O to respond to any question in this Part V

	Yes	No
33 Did the organization engage in any significant activity not previously reported to the IRS? If "Yes," provide a detailed description of each activity in Schedule O	33	✓
34 Were any significant changes made to the organizing or governing documents? If "Yes," attach a conformed copy of the amended documents if they reflect a change to the organization's name. Otherwise, explain the change on Schedule O (see instructions)	34	✓
35a Did the organization have unrelated business gross income of \$1,000 or more during the year from business activities (such as those reported on lines 2, 6a, and 7a, among others)?	35a	✓
b If "Yes," to line 35a, has the organization filed a Form 990-T for the year? If "No," provide an explanation in Schedule O	35b	
c Was the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization subject to section 6033(e) notice, reporting, and proxy tax requirements during the year? If "Yes," complete Schedule C, Part III	35c	✓
36 Did the organization undergo a liquidation, dissolution, termination, or significant disposition of net assets during the year? If "Yes," complete applicable parts of Schedule N	36	✓
37a Enter amount of political expenditures, direct or indirect, as described in the instructions. ► 37a	37b	✓
b Did the organization file Form 1120-POL for this year?	38a	✓
38a Did the organization borrow from, or make any loans to, any officer, director, trustee, or key employee or were any such loans made in a prior year and still outstanding at the end of the tax year covered by this return?	38b	
b If "Yes," complete Schedule L, Part II and enter the total amount involved	39a	
39 Section 501(c)(7) organizations. Enter:	39b	
a Initiation fees and capital contributions included on line 9		
b Gross receipts, included on line 9, for public use of club facilities		
40a Section 501(c)(3) organizations. Enter amount of tax imposed on the organization during the year under: section 4911 ► _____ ; section 4912 ► _____ ; section 4955 ► _____	40b	
b Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in any section 4958 excess benefit transaction during the year, or did it engage in an excess benefit transaction in a prior year that has not been reported on any of its prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I		
c Section 501(c)(3) and 501(c)(4) organizations. Enter amount of tax imposed on organization managers or disqualified persons during the year under sections 4912, 4955, and 4958		
d Section 501(c)(3) and 501(c)(4) organizations. Enter amount of tax on line 40c reimbursed by the organization		
e All organizations. At any time during the tax year, was the organization a party to a prohibited tax shelter transaction? If "Yes," complete Form 8886-T.	40e	✓
41 List the states with which a copy of this return is filed. ► _____		
42a The organization's books are in care of ► Larry Desmond	Telephone no. ► 207-872-4941	
Located at ► 577 Benton Ave Winslow ME	ZIP + 4 ► 04901	
b At any time during the calendar year, did the organization have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)? If "Yes," enter the name of the foreign country: ► _____ See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.	Yes	No
c At any time during the calendar year, did the organization maintain an office outside the U.S.? If "Yes," enter the name of the foreign country: ► _____	42b	✓
43 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-EZ in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the tax year ► 43	42c	✓
44a Did the organization maintain any donor advised funds during the year? If "Yes," Form 990 must be completed instead of Form 990-EZ	Yes	No
b Did the organization operate one or more hospital facilities during the year? If "Yes," Form 990 must be completed instead of Form 990-EZ	44a	✓
c Did the organization receive any payments for indoor tanning services during the year?	44b	✓
d If "Yes" to line 44c, has the organization filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O	44c	✓
45a Did the organization have a controlled entity within the meaning of section 512(b)(13)?	44d	
45b Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," Form 990 and Schedule R may need to be completed instead of Form 990-EZ (see instructions)	45a	✓
	45b	✓

46 Did the organization engage, directly or indirectly, in political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I. Yes No 46 ✓

Part VI **Section 501(c)(3) organizations and section 4947(a)(1) nonexempt charitable trusts only.** All section 501(c)(3) organizations and section 4947(a)(1) nonexempt charitable trusts must answer questions 47–49b and 52, and complete the tables for lines 50 and 51.

Check if the organization used Schedule O to respond to any question in this Part VI

		Yes	No
47	Did the organization engage in lobbying activities or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II	47	
48	Is the organization a school as described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	48	
49a	Did the organization make any transfers to an exempt non-charitable related organization?	49a	
b	If "Yes," was the related organization a section 527 organization?	49b	
50	Complete this table for the organization's five highest compensated employees (other than officers, directors, trustees and key employees) who each received more than \$100,000 of compensation from the organization. If there is none, enter "None."		

f Total number of other employees paid over \$100,000 ►

51 Complete this table for the organization's five highest compensated independent contractors who each received more than \$100,000 of compensation from the organization. If there is none, enter "None."

(a) Name and address of each independent contractor paid more than \$100,000	(b) Type of service	(c) Compensation

d Total number of other independent contractors each receiving over \$100,000 . . ►

52 Did the organization complete Schedule A? **Note:** All section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	 Signature of officer	 David Joseph	 Date
	Type or print name and title		
	Treasurer		

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	Firm's name ►	Firm's EIN ►			
	Firm's address ►	Phone no.			

May the IRS discuss this return with the preparer shown above? See instructions Yes No

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.

► Attach to Form 990 or 990-EZ.

Name of the organization

USW Local 449

Employer identification number

01-6014196

990EZ Part I Line 8 Hall Rental \$ 900

990EZ Part I Line 8 Purchased Union Apparel \$ 329

990EZ Part I Line 10 Donations to Civic Organizations \$ 2967

990EZ Part I Line 10 Scholarships \$ 1000

990EZ Part I Line 10 Donations to Labor Organizations \$ 1602

990EZ Part I Line 16 Paid Per Capita \$ 2,244

990EZ Part I Line 16 Reimbursed Travel Costs \$ 1,127

990EZ Part I Line 16 Paid Taxes \$ 25,607

990EZ Part II Line 24 Office Equipment \$ 10,000