



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form 990

Return of Organization Exempt From Income Tax

OMB No. 1545-0047

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

2011

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

The organization may have to use a copy of this return to satisfy state reporting requirements

A For the 2011 calendar year, or tax year beginning

2011, and ending

20

- B Check if applicable
- ☐ Address change
- ☐ Name change
- ☐ Initial return
- ☐ Terminated
- ☐ Amended return
- ☐ Application pending

C Name of organization KNIGHTS TEMPLAR OF THE UNITED STATES

Doing Business As Portland St Alban Commanery #2

D Employer identification number
01-6010273

Number and street (or P.O. box if mail is not delivered to street address)

Room/Suite

E Telephone number

68 WASHINGTON AVE

(207) 799-7990

City or town, state or country, and ZIP + 4

South Portland ME 04106

G Gross receipts \$ 1,017,398

F Name and address of principal officer:

H(a) Is this a group return for affiliates? ☐ Yes ☒ NoH(b) Are all affiliates included? ☐ Yes ☐ No

If "No," attach a list (see instructions)

I Tax-exempt status ☐ 501(c)(3) ☒ 501(c)(10) (insert no.) 4947(a)(1) or 527

J Website: PORTLAND-ST-ALBAN-COMMANDERY-NO2.ORG

H(c) Group exemption number

K Form of organization ☒ Corporation ☐ Trust ☐ Association ☐ Other

L Year of formation 1847

M State of legal domicile ME

Part I Summary

1 Briefly describe the organization's mission or most significant activities:

See attachment #1

2 Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.

3 Number of voting members of the governing body (Part VI, line 1a)

4 Number of independent voting members of the governing body (Part VI, line 1b)

5 Total number of individuals employed in calendar year 2011 (Part V, line 2a)

6 Total number of volunteers (estimate if necessary)

7a Total unrelated business revenue from Part VIII, column (C), line 12

b Net unrelated business taxable income from Form 990-T, line 34

8 Contributions and grants (Part VIII, line 1h)

9 Program service revenue (Part VIII, line 2g)

10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)

11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)

12 Total revenue -- add lines 8 through 11 (must equal Part VIII, column (A), line 12)

13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)

14 Benefits paid to or for members (Part IX, column (A), line 4)

15 Salaries, other compensation employee benefits (Part IX, column (A), lines 5-10)

16a Professional fundraising fees (Part IX, column (A), line 11e)

b Total fundraising expenses (Part IX, column (D), line 25)

17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)

18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)

19 Revenue less expenses. Subtract line 18 from line 12

20 Total assets (Part X, line 16)

21 Total liabilities (Part X, line 26)

22 Net assets or fund balances Subtract line 21 from line 20

Prior Year

Current Year

2,381

1,450

94,674

163,019

97,055

164,469

24,600

29,687

24,081

15,190

46,327

67,774

95,008

112,651

2,047

51,818

Beginning of Current Year

End of Year

2,220,109

2,221,162

2,220,109

2,221,162

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer

Date

PAUL A ROLLINS

TREASURER

Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name

WENDY BOWLER

Preparer's signature

Wendy Bowler

Date

09-11-2012

Check ☒ if self-employed

PTIN

P00033083

Firm's name H AN R BLOCK GORHAM

Firm's EIN 04-3384414

Firm's address 14 MAIN ST

Phone no.

GORHAM ME 04038

(207) 839-3317

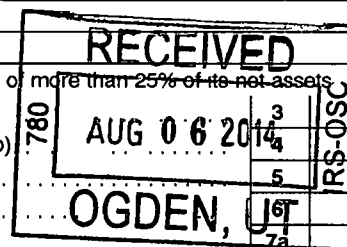
May the IRS discuss this return with the preparer shown above? (see instructions)

☒ Yes ☐ No

For Paperwork Reduction Act Notice, see the separate instructions.

Form 990 (2011)

12NE

Postmark Missing
SCANNED AUG 5 2014

Part III Statement of Program Service AccomplishmentsCheck if Schedule O contains a response to any question in this Part III ☒

- 1 Briefly describe the organization's mission:

See attachment #2

- 2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?
- ☐
- Yes
- ☒
- No

If "Yes," describe these new services on Schedule O.

- 3 Did the organization cease conducting, or make significant changes in how it conducts, any program services?
- ☐
- Yes
- ☒
- No

If "Yes," describe these changes on Schedule O

- 4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code) (Expenses \$ including grants of \$ 20,500) (Revenue \$)

See attachment #3

4b (Code) (Expenses \$ including grants of \$ 5,500) (Revenue \$)

4c (Code) (Expenses \$ including grants of \$ 2,187) (Revenue \$)

- 4d Other program services (Describe in Schedule O.)

(Expenses \$ including grants of \$) (Revenue \$)

- 4e Total program service expenses ►

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A		X
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?		X
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I		X
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II	N/A	
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	N/A	
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I		X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III		X
9 Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV		X
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V		X
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI		X
b Did the organization report an amount for investments -- other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII		X
c Did the organization report an amount for investments -- program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII		X
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX		X
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X		X
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X		X
12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI, XII, and XIII		X
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional		X
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E		X
14a Did the organization maintain an office, employees, or agents outside of the United States?		X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, & program service activities outside the United States, or aggregate foreign investments valued at \$100,00 or more? If "Yes," complete Schedule F, Parts I and IV		X
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? If "Yes," complete Schedule F, Parts II and IV		X
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? If "Yes," complete Schedule F, Parts III and IV		X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions)		X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II		X
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III		X
20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H		X
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	N/A	

Part IV Checklist of Required Schedules (continued)

	Yes	No
21 Did the organization report more than \$5,000 of grants and other assistance to any government or organization in the United States on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II		X
22 Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III		X
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J		X
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25		X
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? N/A		
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? N/A		
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? N/A		
25a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I		X
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I		X
26 Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? If "Yes," complete Schedule L, Part II		X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part III		X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV		X
b A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV		X
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV		X
29 Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M		X
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I		X
34 Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1		X
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?		X
b Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2		X
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2 N/A		
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19?		X

Note. All Form 990 filers are required to complete Schedule O

Part V Statements Regarding Other IRS Filings and Tax ComplianceCheck if Schedule O contains a response to any question in this Part V. ☐

Yes No

1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable.	1a	0		
1b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable.	1b	0		
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c			X
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return.	2a	0		
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions).	2b	N/A		
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a			X
b	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O.	3b	N/A		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a			X
b	If "Yes," enter the name of the foreign country: See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.				
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a			X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b			X
c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?	5c	N/A		
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible?	6a			X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b	N/A		
7	Organizations that may receive deductible contributions under section 170(c).				
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a			X
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b	N/A		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c			X
d	If "Yes," indicate the number of Forms 8282 filed during the year.	7d			
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e			X
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f			X
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g			X
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h			X
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	8			X
9	Sponsoring organizations maintaining donor advised funds.				
a	Did the organization make any taxable distributions under section 4966?	9a			X
b	Did the organization make a distribution to a donor, donor advisor, or related person?	9b			X
10	Section 501(c)(7) organizations. Enter:				
a	Initiation fees and capital contributions included on Part VIII, line 12.	10a			
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities.	10b			
11	Section 501(c)(12) organizations. Enter:				
a	Gross income from members or shareholders.	11a			
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b			
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a			X
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year.	12b			
13	Section 501(c)(29) qualified nonprofit health insurance issuers.				
a	Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O.	13a			X
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans.	13b			
c	Enter the amount of reserves on hand.	13c			
14a	Did the organization receive any payments for indoor tanning services during the tax year?	14a			X
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O.	14b	N/A		

Part VI

Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response to any question in this Part VI ☐

Section A. Governing Body and Management

	Yes	No
1a Enter the number of voting members of the governing body at the end of the tax year. 1a		
If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.		
b Enter the number of voting members included in line 1a, above, who are independent ... 1b		
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	X
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?	3	X
4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4	X
5 Did the organization become aware during the year of a significant diversion of the organization's assets?	5	X
6 Did the organization have members or stockholders?	6	X
7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a	X
b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b	X
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
a The governing body?	8a	X
b Each committee with authority to act on behalf of the governing body?	8b	X
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9	X

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code)

	Yes	No
10a Did the organization have local chapters, branches, or affiliates?	10a	X
b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? N/A	10b	
11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	X
b Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12a Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	X
b Were officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	
c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done N/A	12c	
13 Did the organization have a written whistleblower policy?	13	X
14 Did the organization have a written document retention and destruction policy?	14	X
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a The organization's CEO, Executive Director, or top management official	15a	X
b Other officers or key employees of the organization	15b	X
If "Yes" to line 15a or 15b, describe the process in Schedule O (See instructions)		
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	X
b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements? N/A	16b	

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed ► **NONE**

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
☐ Own website ☐ Another's website ☐ Upon request

19 Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization ► **See attachment #4**

Part VII**Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response to any question in this Part VII

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees****1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.

- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."

- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations

- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.

- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons

☐ Check this box if neither the organization nor any related organizations compensated any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week (describe hours for related organizations in Schedule C)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)							(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		INDIVIDUAL	DIRECTOR	INSTITUTIONAL	OFFICER	KEY EMPLOYEE	HIGHEST COMPENSATED	FORMER			
Raymond McLellan Treasurer	5.00				X				9,000	0	0
Walter Hayes Recorder	5.00				X				6,000	0	0
Kenneth Caldwell Eminent Commander	2.00				X				0	0	0
Tom Emery Generalissimo	1.00				X				0	0	0
Timothy Libby Captain General	1.00				X				0	0	0
Hiram Davis Senior Warden	1.00								0	0	0
Wyette Lowe Junior Warden	1.00				X				0	0	0
Paul Rollins Associate Treasurer	2.00				X				0	0	0
Ray McLellan Prelate	1.00				X				0	0	0
Lincoln Turner Warder	1.00				X				0	0	0
Harold Clough Standard Bearer	1.00				X				0	0	0
Paul Rollins Sword Bearer	1.00				X				0	0	0
Fred Lombard Sentinel	1.00				X				0	0	0

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (describe hours for related organiza- tions in Schedule O)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)							(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		INDIVIDUAL	DIRECTOR	TRUSTEE	OFFICER	KEY EMPLOYEE	HIGHEST COMPENSATED	FORMER			
1b Sub-total									15000	0	0
c Total from continuation sheets to Part VII, Section A										0	0
d Total (add lines 1b and 1c)									15000	0	0

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ►

	Yes	No
3 Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual		X
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ►

Part VIII Statement of Revenue

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
OTHER CONTRIBUTIONS SIMILAR AMOUNTS	1a Federated campaigns	1a					
	b Membership dues	1b	1,298				
	c Fundraising events	1c					
	d Related organizations	1d					
	e Government grants (contributions)	1e					
	f All other contributions, gifts, grants, & similar amounts not included above	1f	152				
	g Noncash contributions included in lines 1a-1f		\$				
	h Total. Add lines 1a-1f			1,450			
PROGRAM REVENUE			Business Code				
	2a						
	b						
	c						
	d						
	e						
	f All other program service revenue						
g Total. Add lines 2a-2f							
OTHER REVENUE	3 Investment income (including dividends, interest, and other similar amounts)			53,498	53,498		
	4 Income from investment of tax-exempt bond proceeds						
	5 Royalties						
		(i) Real	(ii) Personal				
	6a Gross Rents						
	b Less: rental expenses						
	c Rental income or (loss)						
	d Net rental income or (loss)						
		(i) Securities	(ii) Other				
	7a Gross amount from sales of assets other than inventory	962,450					
	b Less cost or other basis and sales expenses	852,929					
	c Gain or (loss)	109,521					
	d Net gain or (loss)			109,521	109,521		
	8a Gross income from fundraising events (not including \$ of contributions reported on line 1c). See Part IV, line 18	a					
	b Less: direct expenses	b					
	c Net income or (loss) from fundraising events						
	9a Gross income from gaming activities. See Part IV, line 19	a					
	b Less direct expenses	b					
	c Net income or (loss) from gaming activities						
	10a Gross sales of inventory, less returns and allowances	a					
	b Less cost of goods sold	b					
c Net income or (loss) from sales of inventory							
Miscellaneous Revenue			Business Code				
11a							
b							
c							
d All other revenue							
e Total. Add lines 11a-11d							
12 Total revenue. See instructions			164,469	163,019			

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Check if Schedule O contains a response to any question in this Part IX. ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.

	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21	23,393	23,393		
2 Grants and other assistance to individuals in the United States. See Part IV, line 22	6,294	6,294		
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees				
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	15,000		15,000	
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)				
9 Other employee benefits				
10 Payroll taxes	190		190	
11 Fees for services (non-employees):				
a Management				
b Legal				
c Accounting				
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees	26,974		26,974	
g Other				
12 Advertising and promotion	1,000	1,000		
13 Office expenses	1,707	1,707		
14 Information technology				
15 Royalties				
16 Occupancy	11,400	11,400		
17 Travel	5,851	5,851		
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	4,520	4,420	100	
20 Interest				
21 Payments to affiliates	3,201	3,201		
22 Depreciation, depletion, and amortization				
23 Insurance	426		426	
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a Uniforms	12,613	12,613		
b Foreign Tax Paid	52		52	
c Bank Fees	30		30	
d				
e All other expenses				
25 Total functional expenses. Add lines 1 through 24e	112,651	69,879	42,772	
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ☐ if following SOP 98-2 (ASC 958-720)				

Part X Balance Sheet

		(A) Beginning of year		(B) End of year
A S S E T S	1 Cash -- non-interest-bearing	25,053	1	28,432
	2 Savings and temporary cash investments		2	10,009
	3 Pledges and grants receivable, net		3	
	4 Accounts receivable, net		4	
	5 Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		5	
	6 Receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501 (c)(9) voluntary employees' beneficiary organizations (see instructions)		6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use		8	
	9 Prepaid expenses and deferred charges		9	
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D.	10a 375,000		
	b Less: accumulated depreciation	10b	10c	375,000
	11 Investments -- publicly traded securities	1,820,056	11	1,807,721
	12 Investments -- other securities See Part IV, line 11		12	
	13 Investments -- program-related See Part IV, line 11		13	
	14 Intangible assets		14	
	15 Other assets See Part IV, line 11	375,000	15	
16 Total assets. Add lines 1 through 15 (must equal line 34)	2,220,109	16	2,221,162	
L I A B I L I T I E S	17 Accounts payable and accrued expenses		17	
	18 Grants payable		18	
	19 Deferred revenue		19	
	20 Tax-exempt bond liabilities		20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D.		21	
	22 Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L.		22	
	23 Secured mortgages and notes payable to unrelated third parties		23	
	24 Unsecured notes and loans payable to unrelated third parties		24	
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D		25	
	26 Total liabilities. Add lines 17 through 25	0	26	0
N E T A S S E T S O R F U N D B A L A N C E S	Organizations that follow SFAS 117, check here ☐ and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets		27	
	28 Temporarily restricted net assets		28	
	29 Permanently restricted net assets		29	
	Organizations that do not follow SFAS 117, check here ☒ and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building, or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds	2,220,109	32	2,221,162
	33 Total net assets or fund balances	2,220,109	33	2,221,162
34 Total liabilities and net assets/fund balances.	2,220,109	34	2,221,162	

Part XI Reconciliation of Net AssetsCheck if Schedule O contains a response to any question in this Part XI ☐

1	Total revenue (must equal Part VIII, column (A), line 12)	1	164,469
2	Total expenses (must equal Part IX, column (A), line 25)	2	112,651
3	Revenue less expenses Subtract line 2 from line 1	3	51,818
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A)).	4	2,220,109
5	Other changes in net assets or fund balances (explain in Schedule O)	5	-50,765
6	Net assets or fund balances at end of year. Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B))	6	2,221,162

Part XII Financial Statements and ReportingCheck if Schedule O contains a response to any question in this Part XII ☐

	Yes	No
1 Accounting method used to prepare the Form 990 ☒ Cash ☐ Accrual ☐ Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
2a Were the organization's financial statements compiled or reviewed by an independent accountant?		X
b Were the organization's financial statements audited by an independent accountant?		X
c If "Yes" to lines 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? N/A If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.		
d If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both: ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis		
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		X
b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits N/A		

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

OMB No. 1545-0047

2011

**Open to Public
Inspection**

Name of the organization

KNIGHTS TEMPLAR OF THE UNITED STATES OF AMERICA 2 POR

Employer identification number

01-6010273

Part III - Line 4

Operates as a Fraternal Order that will review all requests for assistance from any individual or organization & provide assistance when the Charity Committee approves a request.

Part VI Section C Line 19

Upon a requested to view documents, the Treasurer would make an appointment with the individual/group and have available for review the appropriate documents. The organization has an annual review with the Grand Commandery of Maine and also must have any & all by-law changes approved by that group prior to making the change.

Part VI Section C Line 20

Due to change in Treasurer in 2012 there are still some receipts in the possession of the prior Treasurer, Ray McLellan at 52 Bates St, Yarmouth ME 04096

Part VII - 2 officers have paid positions due to the need to have them working year round on their tasks and the extended time & responsibilities required in these positions

Part XI, Line 5 - Adjustment due to market value of investments

990 PRIMARY EXEMPT PURPOSE

Attachment 1: Form 990 Page 1, Part I

Open to Public Inspection	For calendar year 2011 or tax period beginning , and ending
Name of Organization KNIGHTS TEMPLAR OF THE UNITED STATES OF AMERICA 2 PORTL	Employer Identification Number 01-6010273
Primary Purpose Service to Fraternal Order - promoting truth, faith, self-sacrifice & reverence to Christian ideas. The primary purpose is to provide charity to the community, members and individuals in need. This is accomplished by donating to food banks, churches & local missions, Salvation Army, Knights Templar Eye Foundation. Provided scholarships to promote higher educations. Proved grants for those who are unable to pay or receive adequate assistance from other sources or government agencies, especially with home repairs, heating & electrical needs & funeral services.	

990 PRIMARY EXEMPT PURPOSE

Attachment 2: Form 990 Page 2, Part III

Open to Public Inspection	For calendar year 2011 or tax period beginning , and ending
Name of Organization KNIGHTS TEMPLAR OF THE UNITED STATES OF AMERICA 2 PORTL	Employer Identification Number 01-6010273
Primary Purpose Service to Fraternal Order - promoting truth, faith, self-sacrifice & reverence to Christian ideas. The primary purpose is to provide charity to the community, members and individuals in need. This is accomplished by donating to food banks, churches & local missions, Salvation Army, Knights Templar Eye Foundation. Provided scholarships to promote higher educations. Proved grants for those who are unable to pay or receive adequate assistance from other sources or government agencies, especially with home repairs, heating & electrical needs & funeral services.	

990 PART III – STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENT

Attachment 3: Form 990 Page 2, Part III

Open to Public Inspection	For calendar year 2011, or tax period beginning , and ending .	
Name of Organization	Employer Identification Number	
KNIGHTS TEMPLAR OF THE UNITED STATES OF AMERICA 2 PORTL	01-6010273	

Part III - Statement of Program Service Accomplishments

Code.	Expenses	including Grants of.	20,500	Revenue.
Exempt Purpose Achievements				
Providing funding to food pantries, local missions, disadvantage families and to support those in need. Providing funds to the Knights Templar Eye Foundation for new research developments in curing eye diseases as well as providing assistance to those who cannot afford medical eye care.				

990 PART III - STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENT

Attachment 3: Form 990 Page 2, Part III

Open to Public Inspection	For calendar year 2011, or tax period beginning , and ending
Name of Organization KNIGHTS TEMPLAR OF THE UNITED STATES OF AMERICA 2 PORTL	Employer Identification Number 01-6010273

Part III - Statement of Program Service Accomplishments			
Code:	Expenses	including Grants of	Revenue.
	Exempt Purpose Achievements		
Scholarships for individuals attending colleges & universities as well as trade schools with the belief that promoting & encouraging any form of higher education benefits both the individual & the community.			

990 PART III - STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENT

Attachment 3: Form 990 Page 2, Part III

Open to Public Inspection	For calendar year 2011, or tax period beginning , and ending
------------------------------	--

Name of Organization KNIGHTS TEMPLAR OF THE UNITED STATES OF AMERICA 2 PORTL	Employer Identification Number 01-6010273
---	--

Part III - Statement of Program Service Accomplishments

Code:	Expenses	including Grants of	2,187	Revenue:
-------	----------	---------------------	-------	----------

Exempt Purpose Achievements

Grants provided for heating & electricity assistance as well as assisting with funeral needs and supporting youth organizations.

990 BOOKS ARE IN CARE OF

Attachment 4: Form 990 Page 6, Part VI, Section C, Line 20

Open to Public Inspection	For calendar year 2011 or tax period beginning , and ending
Name of Organization KNIGHTS TEMPLAR OF THE UNITED STATES OF AMERICA 2 PORTL	Employer Identification Number 01-6010273
Part VI - Line 20	

Individual Name Paul Rollins / Ray McLellan
or
Business Name.

Street Address 68 Washington Ave

U.S. Address

Zip code 04106 City South Portland State ME
or

Foreign Address

City

Province or State

Country

Postal code

Phone Number (207) 799-7990

Fax Number

2011 DETAIL STATEMENTSKNIGHTS TEMPLAR OF THE UNITED
01-6010273

Page 1

STATEMENT #1 - Investment income (B) (990-EO PG 9 Line 3b)

INT - MorganStanley 392-34569-11.....	3,319
DIV - MorganStanley 392-34569-11.....	428
Qualified DIV - MorganStanley 392-34569-11.....	27,408
OID- MorganStanley 392-34569-11.....	2,066
Other Income - MorganStanley 392-34569-11.....	2,957
INT - MorganStanley 392-34626-12.....	513
DIV- MorganStanley 392-34626-12.....	714
Qualified DIV - MorganStanley 392-34626-12.....	8,500
OID - MorganStanley 392-34626-12.....	319
Other Income - MorganStanley 392-34626-12.....	2,326
DIV - HM Payson.....	367
Cap Gain - HM Payson.....	971
DIV - AllianceBernstein Computershare.....	3,601
Bath Savings Inst.....	9

TOTAL CARRIED TO 990-EO PG 9 Line 3b.....	53,498
---	--------

STATEMENT #2 - Securities sales of assets (990-EO PG 9 Line 7a(i))

MorganStanley 392-34626-12 Short Term.....	146,101
MorganStanley 392-34626-12 Long Term.....	341,169
MorganStanley 392-34569-11 Short Term.....	304,051
MorganStanley 392-34569-11 Long Term.....	171,129

TOTAL CARRIED TO 990-EO PG 9 Line 7a(i).....	962,450
--	---------

STATEMENT #3 - Sec. cost other basis, s exp (990-EO PG 9 Line 7b(i))

MorganStanley 392-34626-12 Short Term.....	123,954
MorganStanley 392-34626-12 Long Term.....	296,639
MorganStanley 392-34569-11 Short Term.....	268,706
MorganStanley 392-34569-11 Long Term.....	163,561
Vodaorn ADR Fee.....	27
Komatsu ADR Fee.....	37
AllianceBernstein Computershare Fee.....	5

TOTAL CARRIED TO 990-EO PG 9 Line 7b(i).....	852,929
--	---------

STATEMENT #4 - Assist. to gov. and org in US (990 EO PG 10 Line 1a)

Food Banks, Churches, Fuel Assistance.....	20,500
Triangle Lodge - Care Package for Soldiers.....	1,000
Demolay.....	393
Portland Commandery Band.....	1,500

TOTAL CARRIED TO 990 EO PG 10 Line 1a.....	23,393
--	--------

2011 DETAIL STATEMENTSKNIGHTS TEMPLAR OF THE UNITED
01-6010273

Page 2

STATEMENT #5 - Assist. to indiv. in US (990 EO PG 10 Line 2a)

Scholarships.....	5,500
Heating Electrical Assistance.....	600
Local & Funeral Assistance.....	194

TOTAL CARRIED TO 990 EO PG 10 Line 2a..... 6,294

STATEMENT #6 - Investment manag. fees (990 EO PG 10 Line 11f)

MorganStanley 392-34569-11.....	21,129
MorganStanley 392-34626-12.....	5,845

TOTAL CARRIED TO 990 EO PG 10 Line 11f..... 26,974

STATEMENT #7 - Travel (990 EO PG 10 Line 17)

Grand Commander's Acct.....	5,851
-----------------------------	-------

TOTAL CARRIED TO 990 EO PG 10 Line 17..... 5,851

STATEMENT #8 - Management conv. and meetings (990 EO PG 10 Line 19c)

Finance Committee Annual Mtg.....	100
-----------------------------------	-----

TOTAL CARRIED TO 990 EO PG 10 Line 19c..... 100

STATEMENT #9 - Payments to affiliates (990 EO PG 10 Line 21)

Grand Commandery Per Capita Tax.....	3,201
--------------------------------------	-------

TOTAL CARRIED TO 990 EO PG 10 Line 21..... 3,201

STATEMENT #10 - Insurance (990 EO PG 10 Line 23)

Fire Contents.....	200
Bond.....	226

TOTAL CARRIED TO 990 EO PG 10 Line 23..... 426

STATEMENT #11 - Inv. pub. traded sec. end yr (990-EO PG 11 Line 11b)

	Beginning	Ending
HM Payson & Co.....	18,014	18,562
MorganStanley Smith Barney 392-34569-	1,219,540	1,202,892
MorganStanley Smith Barney 392-34626-	550,527	551,159
MorganStanley Smith Barney 392-35185-	143	143
AllianceBernstein - COMputershare....	29,621	32,754

2011 DETAIL STATEMENTS

KNIGHTS TEMPLAR OF THE UNITED
01-6010273

Page 3

TD Banknorth CD Knight Memorial Fund.	2,211	2,211
TOTAL CARRIED TO 990-EO PG 11 Line 11b.....	1,820,056	1,807,721
STATEMENT #12 - Other assets end yr (990-EO PG 11 Line 15)		
	Beginning	Ending
Loan to Masonic Trustees Outer Cong S	375,000	0
TOTAL CARRIED TO 990-EO PG 11 Line 15.....	375,000	0

2011 Year End Summary

Ref 00004472 00049322

PORTLAND-ST.ALBAN COMMAND. #2

Account Number 392-34626-12 010

01-6010273

Details of Additional Summary Information 2011 - continued

12-B1 Rebate Payments - (continued)

Reference number	Description	Amount	Federal Income tax withheld	NRA tax withheld
246000200	GROWTH FUND OF AMERICA CLASS F1 A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	\$ 82 59		
246000300	INVESTMENT COMPANY OF AMERICA FD CLASS A A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	68 59		
246000400	MORGAN STANLEY LIQUID ASSET FUND INC	2 08		
246000500	NEW PERSPECTIVE FUND CLASS A	96 39		
246000600	NEW PERSPECTIVE FUND CLASS F	99 45		
Totals		\$ 425 12		

Details of Short Term Gain (Loss) 2011

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	75	BP PLC SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction	05/27/10	04/04/11	\$ 3,438 68	\$ 3,360 00		\$ 78 68
125000020	150 40	BOSTON PRIVATE FINL HOLDINGS INC	12/07/10 12/21/10	02/25/11	1,085 98 289 59	841 47 269 20		244 51 20 39
	310	MorganStanley SmithBarney LLC acted as your agent	01/13/11		2,244 36	1,939 92		304 44
125000030	250	BOSTON PRIVATE FINL HOLDINGS INC MorganStanley SmithBarney LLC acted as your agent	01/18/11	04/06/11	1,852 46	1,714 75		137 71

2 0 1 1 Y E A R E N D S U M M A R Y

**MorganStanley
SmithBarney**

2011 Year End Summary

Ref. 00004472 00049323

PORTLAND-ST.ALBAN COMMAND. #2

Account Number 392-34626-12 010

01-6010273

Details of Short Term Gain (Loss) 2011 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000040	250	BOSTON PRIVATE FINL HOLDINGS INC MorganStanley SmithBarney LLC acted as your agent	01/18/11	05/09/11	\$ 1,652.96	\$ 1,714.75	(\$ 61.79)	
125000050	430	BREITBURN ENERGY PARTNERS L P UNIT LTD PARTNERSHIP INT MorganStanley SmithBarney LLC acted as your agent	03/08/11	08/08/11	6,750.87	9,373.74	(2,622.87)	
125000060	205	CABOT OIL & GAS CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	01/21/11	07/22/11	14,677.71	7,995.00		6,682.71
125000070	17	CATERPILLAR INC MorganStanley SmithBarney LLC acted as your agent in this transaction	01/18/11	08/05/11	1,515.89	1,635.23	(119.34)	
125000080	63	GUGGENHEIM TIMBER ETF MorganStanley SmithBarney LLC acted as your agent in this transaction	02/08/11	08/01/11	1,212.72	1,417.42	(204.70)	
125000090	65	GUGGENHEIM TIMBER ETF MorganStanley SmithBarney LLC acted as your agent in this transaction	02/08/11	08/02/11	1,220.94	1,462.42	(241.48)	
125000100	61	GUGGENHEIM TIMBER ETF MorganStanley SmithBarney LLC acted as your agent in this transaction	02/08/11	08/10/11	1,015.63	1,372.43	(356.80)	
125000110	61	GUGGENHEIM TIMBER ETF MorganStanley SmithBarney LLC acted as your agent in this transaction	02/08/11	10/05/11	963.78	1,372.43	(408.65)	
125000130	4	CUMMINS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	01/13/11	08/08/11	340.20	452.08	(111.88)	

2 0 1 1 Y E A R E N D S U M M A R Y

MorganStanley SmithBarney

2011 Year End Summary

Ref 0004472 00049324

PORTLAND-ST.ALBAN COMMAND. #2

Account Number 392-34626-12 010

01-6010273

Details of Short Term Gain (Loss) 2011 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000140	35	DEERE & CO MorganStanley SmithBarney LLC acted as your agent in this transaction	01/05/11	05/20/11	\$ 2,978 44	\$ 2,949 80		\$ 28 64
125000150	5	DEERE & CO	01/05/11	08/09/11	339 70	421 40	(81 70)	
27		MorganStanley SmithBarney LLC acted as your agent in this transaction	01/18/11		1,834 35	2,448 63	(614 28)	
125000160	8	DEERE & CO	01/18/11	08/18/11	564 86	725 52	(160 66)	
25		MorganStanley SmithBarney LLC acted as your agent in this transaction	05/09/11		1,765 18	2,322 75	(557 57)	
125000180	115	FORD MOTOR COMPANY CAPITAL TR II 6 50% CUM CONV TR PFD 6 5000% DUE 01/15/2032 NEXT CALL 03/13/11 AT 51 630	07/01/10	02/11/11	5,870 63	4,504 54		1,366 09
125000220	110	JPMORGAN CHASE & CO MorganStanley SmithBarney LLC acted as your agent in this transaction	03/04/11	11/10/11	3,574 93	5,051 20	(1,476 27)	
125000230	50	JPMORGAN CHASE & CO MorganStanley SmithBarney LLC acted as your agent in this transaction	03/04/11	11/16/11	1,579 62	2,296 00	(716 38)	
125000240	50	JPMORGAN CHASE & CO MorganStanley SmithBarney LLC acted as your agent in this transaction	03/04/11	11/17/11	1,532 07	2,296 00	(763 93)	
125000250	122	KOMATSU LTD ADR NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	03/14/11	08/04/11	3,415 95	3,894 57	(468 62)	
125000260	114	KOMATSU LTD ADR NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	03/14/11	08/09/11	3,077 94	3,629 84	(551 90)	

2 0 1 1 Y E A R E N D S U M M A R Y

MorganStanley SmithBarney

2011 Year End Summary

Ref 00004472 00049325

PORTLAND-ST.ALBAN COMMAND. #2

Account Number 392-34626-12 010

61-6010273

Details of Short Term Gain (Loss) 2011 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000270	57	KOMATSU LTD ADR NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	03/14/11	08/18/11	\$ 1,436 37	\$ 1,814 92	(\$ 378 55)	
125000280	57	KOMATSU LTD ADR NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	03/14/11	11/07/11	1,424 97	1,814 92	(389 95)	
125000290	85 45	KRAFT FOODS INC CLASS A MorganStanley SmithBarney LLC acted as your agent in this transaction	02/18/10 03/09/10	01/27/11	2,613 70 1,383 72	2,446 30 1,320 75		167 40 62 97
125000300	100 200	LIFE TECHNOLOGIES CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	05/09/11 08/26/11	11/22/11	3,719 94 7,439 87	5,597 58 7,876 00	(1,877 64) (436 13)	
125000350	66 4734	NEW PERSPECTIVE FUND CLASS F CONFIRM #500012971108659 MorganStanley SmithBarney LLC acted as your agent in this transaction	12/28/10	10/24/11	1,803 43	1,884 69	(81 26)	
125000360	210 90	PHARMASSET INC MorganStanley SmithBarney LLC acted as your agent in this transaction	06/03/11 10/24/11	11/21/11	28,202 45 12,086 77	11,130 00 6,385 50		17,072 45 5,701 27
125000370	197	REGENERON PHARMACEUTICALS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/21/11	09/21/11	14,676 21	9,731 80		4,944 41
125000380	287	TALBOTS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	10/27/10	03/25/11	1,693 26	2,798 16	(1,104 90)	
125000390	53 40 165	TALBOTS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	10/27/10 12/07/10 01/14/11	03/28/11	320 64 242 00 998 23	516 73 354 80 1,024 65	(196 09) (112 80) (26 42)	

2 0 1 1 Y E A R E N D S U M M A R Y

MorganStanley SmithBarney

Ref 00004472 00049326

2011 Year End Summary

PORTLAND-ST.ALBAN COMMAND. #2

Account Number 392-34626-12 010

01-6010273

Details of Short Term Gain (Loss) 2011 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000400	151	TALBOTS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/14/11	04/07/11	\$ 989 03	\$ 937 71		\$ 51 32
125000410	294	TALBOTS INC	01/14/11	05/09/11	1,473 29	1,825 74	(352 45)	
	160	MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/18/11		801 79	1,042 85	(241 06)	
Total					\$ 146,101.11	\$ 123,954 19	(= 14,716 07)	\$ 36,862 99

Details of Long Term Gain (Loss) 2011

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000070	13	CATERPILLAR INC	02/18/10	08/05/11	\$ 1,159 20	\$ 752 83		\$ 406 37
	20	MorganStanley SmithBarney LLC acted as your agent in this transaction	03/11/10		1,783 39	1,171 40		611 99
125000120	45	CUMMINS INC	02/18/10	08/05/11	4,131 68	2,587 95		1,543 73
	9	MorganStanley SmithBarney LLC acted as your agent in this transaction	03/11/10		826 34	530 46		295 88
125000130	11	CUMMINS INC	03/11/10	08/08/11	935 53	648 34		287 19
	15	MorganStanley SmithBarney LLC	03/24/10		1,275 72	931 49		344 23
	5	acted as your agent in this transaction	05/25/10		425 24	313 50		111 74
125000170	290	DUKE ENERGY CORP (HOLDING COMPANY) NEW MorganStanley SmithBarney LLC acted as your agent	06/24/10	09/29/11	5,805 68	4,686 40		1,119 28

2 0 1 1 Y E A R E N D S U M M A R Y

2011 Year End Summary

PORTLAND-ST.ALBAN COMMAND. #2

Account Number 392-34626-12 010

01-6010273

Details of Long Term Gain (Loss) 2011 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000190	2,161 016	GROWTH FUND OF AMERICA CLASS A	02/10/99	01/13/11	\$ 66,926 67	\$ 49,336 00		\$ 17,590 67
	14 601	CONFIRM #500010130203304	12/20/99		452 19	394 22		57 97
	1,036 648	MorganStanley SmithBarney LLC	12/20/99		32,104 99	27,989 49		4,115 50
	16 666	acted as your agent	12/20/00		516 15	451 15		65 00
125000200	60	HOME DEPOT INC	02/22/10	06/10/11	2,025 56	1,824 00		201 56
		MorganStanley SmithBarney LLC						
		acted as your agent						
		in this transaction						
125000210	646 56	INVESTMENT COMPANY OF AMERICA	07/11/01	10/24/11	17,657 56	19,008 87	(1,351 31)	
	10 294	FD CLASS A	09/11/01		281 13	285 66	(4 53)	
	10 163	CONFIRM #500012971108568	12/19/01		277 55	287 00	(9 45)	
	27 362	MorganStanley SmithBarney LLC	12/19/01		747 26	772 69	(25 43)	
	8 736		03/06/02		238 58	246 96	(8 38)	
	10 325		03/06/02		281 98	291 88	(9 90)	
	10 866		06/12/02		296 75	294 35		2 40
	12 252		09/11/02		334 60	295 76		38 84
	12 437		12/19/02		339 65	297 36		42 29
	33 483		12/19/02		914 42	800 57		113 85
	13 614		03/06/03		371 80	303 31		68 49
	11 923		06/11/03		325 62	305 10		20 52
	11 624		09/10/03		317 45	306 64		10 81
	11 049		12/19/03		301 75	308 15	(6 40)	
	17 849		12/19/03		487 46	497 80	(10 34)	
	10 481		03/10/04		286 24	311 91	(25 67)	
	6 237		06/09/04		170 33	178 75	(8 42)	
	6 228		09/09/04		170 09	179 55	(9 46)	
	10 174		12/16/04		277 85	309 39	(31 54)	
	3 725		12/16/04		101 73	113 27	(11 54)	
	3 683		03/09/05		100 58	115 09	(14 51)	
	3 804		06/08/05		103 89	115 56	(11 67)	
	3 97		09/08/05		108 42	125 01	(16 59)	
	7 944		12/29/05		216 95	251 10	(34 15)	
	23 01		12/29/05		628 40	727 34	(96 94)	
	4 305		03/08/06		117 57	139 18	(21 61)	
	4 246		06/07/06		115 96	139 82	(23 86)	
	4 168		09/07/06		113 83	140 46	(26 63)	
	8 156		12/27/06		222 74	272 73	(49 99)	
	57 995		12/27/06		1,583 84	1,939 35	(355 51)	
	4 891		03/07/07		133 57	161 06	(27 49)	
	4 478		06/06/07		122 29	161 83	(39 54)	

Y E A R E N D S U M M A R Y

MorganStanley SmithBarney

2011 Year End Summary

Ref 00004472 00049328

PORTLAND-ST,ALBAN COMMAND. #2

Account Number 392-34626-12 010

01-6010273

Details of Long Term Gain (Loss) 2011 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000300	4 914 5 337 58 331 6 254	LIFE TECHNOLOGIES CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	09/12/07 12/24/07 12/24/07 03/12/08		\$ 134 20 145 75 1,593 02 170 80	\$ 172 72 173 55 1,896 92 184 36	(\$ 38 52) (27 80) (303 90) (13 56)	
	100		08/13/10	11/22/11	3,719 94	4,374 60	(654 66)	
125000310	80	LOWES COMPANIES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	02/22/10	05/17/11	1,976 26	1,863 20		113 06
125000320	90	MICROCHIP TECHNOLOGY INC MorganStanley SmithBarney LLC acted as your agent in this transaction	02/18/10	08/18/11	2,677 44	2,337 68 R		339 86
125000330	1,962 132 20 274 34 066 724 679 72 866 650 986	NEW PERSPECTIVE FUND CLASS A CONFIRM #500010130203742 MorganStanley SmithBarney LLC acted as your agent	02/12/99 06/22/99 12/16/99 12/16/99 12/18/00 12/18/00	01/13/11	56,627 14 585 11 983 14 20,914 24 2,102 91 18,787 46	46,306 32 508 47 934 43 19,877 95 1,772 10 15,831 98		10,320 82 76 64 48 71 1,036 29 330 81 2,955 48
125000340	509 6786 41 2908 125 551 79 4617 53 614 39 8769	NEW PERSPECTIVE FUND CLASS F CONFIRM #500011580151324 MorganStanley SmithBarney LLC acted as your agent	12/18/00 06/12/01 12/21/01 12/24/02 12/23/03 12/22/04	06/07/11	14,999 85 1,215 19 3,694 97 2,338 56 1,577 86 1,173 57	12,339 90 990 25 2,684 76 1,420 74 1,272 96 1,072 25		2,659 95 224 94 1,010 21 917 82 304 90 101 32
125000350	29 3721 89 6604 410 9446 83 4938 344 1807 111 1325 373 5703 175 3359	NEW PERSPECTIVE FUND CLASS F CONFIRM #50001291108659 MorganStanley SmithBarney LLC acted as your agent	12/22/04 12/30/05 12/30/05 01/03/07 01/03/07 12/17/07 12/17/07 12/24/08	10/24/11	796 87 2,432 49 11,148 93 2,265 19 9,337 62 3,015 02 10,134 96 4,756 86	789 79 2,550 12 11,688 12 2,615 78 10,782 88 3,825 75 12,860 23 3,166 36	(117 63) (539 19) (350 59) (1,445 26) (810 73) (2,725 27)	7 08 1,590.50

2 0 1 1 Y E A R E N D S U M M A R Y

MorganStanley
SmithBarney

Ref 00004472 00049329

Page 15 of 16

2011 Year End Summary

PORTLAND-ST.ALBAN COMMAND. #2

Account Number 392-34626-12 010

04010273

Details of Long Term Gain (Loss) 2011 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
503 0971			12/24/08		\$ 13,649 02	\$ 9,085 33		\$ 4,563 69
77 3392			12/23/09		2,098 21	1,958 70		139 51
Total					\$ 341,168 76	\$ 296,638 83	(\$ 9,259.97)	\$ 53,789.90

R The basis for this tax lot has been adjusted due to a reclassification of income

Details of Deposits and Withdrawals 2011

This section reflects any deposits or withdrawals made to your account during the year.

Deposits

Reference number	Date	Description	Amount
210000100	10/31/11	INVESTMENT AND MGMT SERVICES FROM 10/20/11 TO 12/31/11	\$ 50 00
Total			\$ 50 00

Withdrawals

Reference number	Date	Description	Referral number	Amount
220000100	01/21/11	INVESTMENT AND MGMT SERVICES FROM 01/01/11 TO 03/31/11		\$ 635 98
220000300	04/15/11	INVESTMENT AND MGMT SERVICES FROM 04/01/11 TO 06/30/11		2,100 22
220000500	07/15/11	INVESTMENT AND MGMT SERVICES FROM 07/01/11 TO 09/30/11		1,612 52
220000700	10/20/11	CHECK 6611251097 BY PORTLAND, ME		25,000 00

Reference number	Date	Description	Referral number	Amount
220000200	02/04/11	VODAFONE GROUP PLC SPONS ADR NEW ADR FEE OF 01000 PER SHR RECORD 11/19/10 PAY 02/04/11		\$ 72
220000400	07/06/11	KOMATSU LTD ADR NEW ADR FEE OF 02350 PER SHR RECORD 03/30/11 PAY 07/06/11		8 23
220000600	08/05/11	VODAFONE GROUP PLC SPONS ADR NEW ADR FEE OF 02000 PER SHR RECORD 06/03/11 PAY 08/05/11		6 00
220000800	10/21/11	INVESTMENT AND MGMT SERVICES FROM 10/01/11 TO 12/31/11		1,496 61

2 0 1 1 Y E A R E N D S U M M A R Y

MorganStanley SmithBarney

Ref 00004469 00049290

2011 Year End Summary

PORTLAND-ST.ALBAN COMMAND#2

Account Number 392-34569-11 010

01-6010273

Details of Long Term Gain (Loss) 2011

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	100	AMGEN INC	07/30/07	10/27/11	\$ 5,763.48	\$ 5,621.00		\$ 142.48
		CGMI AND/OR ITS AFFILIATES						
125000020	204	BP PLC SPONS ADR	09/08/09	04/04/11	9,353.22	11,056.72	(1,703.50)	
	110		01/07/10		5,043.40	6,587.90	(1,544.50)	
125000030	15	BP PLC SPONS ADR	01/07/10	05/18/11	647.42	898.35	(250.93)	
125000060	18	CATERPILLAR INC	03/27/07	08/05/11	1,605.05	1,201.50		403.55
	173		03/18/09		15,426.34	4,755.16		10,671.18
125000110	201	CUMMINS INC	02/12/10	08/05/11	18,454.86	11,024.85		7,430.01
125000120	130	CUMMINS INC	02/12/10	08/08/11	11,056.28	7,130.50		3,925.78
125000170	50	GOLDMAN SACHS GROUP INC	08/05/09	08/23/11	5,361.07	8,340.00	(2,978.93)	
	50		08/06/10		5,361.07	7,796.50	(2,435.43)	
125000180	50	GOLDMAN SACHS GROUP INC	10/05/10	11/07/11	5,226.44	7,340.60	(2,114.16)	
125000190	50	GOLDMAN SACHS GROUP INC	10/05/10	11/17/11	4,655.24	7,340.60	(2,685.36)	
125000200	235	ISHARES MSCI BRAZIL INDEX FUND	10/28/09	02/10/11	16,543.68	15,980.00		563.68
125000300	500	LIFE TECHNOLOGIES CORP	08/13/10	11/22/11	18,599.69	21,873.00	(3,273.31)	
	250	CGMI AND/OR ITS AFFILIATES	10/19/10		9,299.85	11,368.98	(2,069.13)	
125000310	100	MICROCHIP TECHNOLOGY INC	12/15/09	08/18/11	2,974.94	2,702.13 ^R		272.81
	500	CGMI AND/OR ITS AFFILIATES	01/07/10		14,874.71	13,313.63 ^R		1,561.08
125000380	50	UGI CORP NEW	04/12/01	07/21/11	1,604.46	432.24		1,172.22 ^c
125000390	270	UNITED TECHNOLOGIES CORP	04/11/08	08/08/11	19,277.62	18,797.10		480.52
Total					\$ 171,128.82	\$ 163,560.76	(\$ 19,065.25)	\$ 26,623.31

^cBased on information supplied by Client or other financial institution, not verified by us

^RThe basis for this tax lot has been adjusted due to a reclassification of income

2 0 1 1 Y E A R E N D S U M M A R Y

MorganStanley SmithBarney

2011 Year End Summary

Ref 0004469 00049289

PORTLAND-ST.ALBAN COMMAND#2

Account Number 392-34569-11 010

01-6010273

Details of Short Term Gain (Loss) 2011 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000210	395	JPMORGAN CHASE & CO	03/04/11	11/10/11	\$ 12,837.25	\$ 18,138.40	(\$ 5,301.15)	
125000220	150	JPMORGAN CHASE & CO	03/04/11	11/16/11	4,738.88	6,888.00	(2,149.12)	
125000230	150	JPMORGAN CHASE & CO	03/04/11	11/17/11	4,596.21	6,888.00	(2,291.79)	
125000240	350	KOMATSU LTD ADR NEW CGMI AND/OR ITS AFFILIATES	03/14/11	08/04/11	9,799.88	11,144.25	(1,344.37)	
125000250	325	KOMATSU LTD ADR NEW CGMI AND/OR ITS AFFILIATES	03/14/11	08/09/11	8,774.83	10,348.23	(1,573.40)	
125000260	162	KOMATSU LTD ADR NEW CGMI AND/OR ITS AFFILIATES	03/14/11	08/18/11	4,082.32	5,158.19	(1,075.87)	
125000270	163	KOMATSU LTD ADR NEW CGMI AND/OR ITS AFFILIATES	03/14/11	11/07/11	4,066.77	5,190.03	(1,123.26)	
125000280	500	KRAFT FOODS INC CLASS A	03/09/10	01/24/11	15,736.69	14,675.00		1,061.69
125000290	500	KRAFT FOODS INC CLASS A	03/09/10	01/27/11	15,374.70	14,675.00		699.70
125000300	100	LIFE TECHNOLOGIES CORP CGMI AND/OR ITS AFFILIATES	08/26/11	11/22/11	3,719.94	3,938.00	(218.06)	
125000320	190	PHARMASSET INC	06/03/11	11/21/11	25,516.51	10,070.00		15,446.51
275		CGMI AND/OR ITS AFFILIATES	09/08/11		36,931.79	20,597.50		16,334.29
235			10/21/11		31,559.89	16,560.26		14,999.63
125000330	365	REGENERON PHARMACEUTICALS INC CGMI AND/OR ITS AFFILIATES	04/21/11	09/21/11	27,191.97	18,031.00		9,160.97
125000340	875	TALBOTS INC	12/07/10	03/25/11	5,162.40	7,761.25	(2,598.85)	
125000350	787	TALBOTS INC	12/07/10	03/28/11	4,761.25	6,980.69	(2,219.44)	
125000360	459	TALBOTS INC	12/07/10	04/07/11	3,006.39	4,071.33	(1,064.94)	
125000370	539	TALBOTS INC	12/07/10	05/09/11	2,701.41	4,780.93	(2,079.52)	
840			02/02/11		4,210.00	4,477.20	(267.20)	
Total					\$ 304,050.95	\$ 268,706.42	(\$ 26,917.94)	\$ 62,262.47

2 0 1 1 Y E A R E N D S U M M A R Y

MorganStanley
SmithBarney

2011 Year End Summary

Ref 00004469 00049288

PORTLAND-ST.ALBAN COMMAND#2

Account Number 392-34569-11 010

01-6010273

Details of Additional Summary Information 2011 - continued

12-B1

Rebate Payments

The following details show how we derived 12-B1 rebate payments

Reference number	Description	Amount	Federal income tax withheld	NRA tax withheld
246000100	MORGAN STANLEY U.S. GOVERNMENT MONEY MARKET TRUST	\$ 76		
Totals		\$ 76		

Details of Short Term Gain (Loss) 2011

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000030	185	BP PLC SPONS ADR	05/27/10	05/18/11	\$ 7,984.81	\$ 8,288.00	(\$ 303.19)	
125000040	673	BOSTON PRIVATE FINL HOLDINGS INC	12/07/10	02/25/11	4,872.42	3,775.40		1,097.02
		CGMI AND/OR ITS AFFILIATES						
125000050	382	BOSTON PRIVATE FINL HOLDINGS INC	12/07/10	03/08/11	2,801.53	2,142.94		658.59
	290	CGMI AND/OR ITS AFFILIATES	12/21/10		2,126.82	1,951.70		175.12
125000070	125	GUGGENHEIM TIMBER ETF PROSPECTUS ENCLOSED	02/08/11	08/01/11	2,406.20	2,812.35	(406.15)	
125000080	131	GUGGENHEIM TIMBER ETF PROSPECTUS ENCLOSED	02/08/11	08/02/11	2,460.67	2,947.34	(486.67)	
125000090	122	GUGGENHEIM TIMBER ETF PROSPECTUS ENCLOSED	02/08/11	08/10/11	2,031.26	2,744.86	(713.60)	
125000100	122	GUGGENHEIM TIMBER ETF PROSPECTUS ENCLOSED	02/08/11	10/05/11	1,927.56	2,744.85	(817.29)	
125000130	31	DEERE & CO	01/05/11	05/20/11	2,638.04	2,612.68		25.36
125000140	29	DEERE & CO	01/05/11	08/09/11	1,970.24	2,444.12	(473.88)	
125000150	30	DEERE & CO	01/05/11	08/18/11	2,118.21	2,528.40	(410.19)	
125000160	500	FORD MOTOR COMPANY CAPITAL TR	08/06/10	02/11/11	25,524.51	23,550.00		1,974.51
	400	II 6.50% CUM CONV TR PFD	10/05/10		20,419.60	19,790.52		629.08
		6.500% DUE 01/15/2032						
		NEXT CALL 03/13/11 AT 51.630						

2 0 1 1 Y E A R E N D S U M M A R Y