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**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

OMB No 1545-0052

2010Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning 12/01, 2010, and ending 11/30, 2011

G Check all that apply: ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name changeDON & COLLETTA MCMILLIAN FOUNDATION
6701 HIGHWAY BLVD. #208
KATY, TX 77494A Employer identification number
76-0364247B Telephone number (see the instructions)
(281) 391-5071C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year (from Part II, column (c), line 16) ☐ Accounting method: ☐ Cash ☒ Accrual
☐ Other (specify) _____

J \$ 1,544,069. (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE				
1 Contributions, gifts, grants, etc, received (att sch)				
2 Ck <input checked="" type="checkbox"/> if the foundn is not req to att Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	31,835.	31,835.	31,835.	
5a Gross rents				
b Net rental income or (loss)				
6a Net gain/(loss) from sale of assets not on line 10	145,611.			
b Gross sales price for all assets on line 6a 4,429,696.				
7 Capital gain net income (from Part IV, line 2)		145,611.		
8 Net short-term capital gain			688.	
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit/(loss) (att sch)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	177,446.	177,446.	32,523.	
ADMINISTRATIVE AND OPERATING EXPENSES				
13 Compensation of officers, directors, trustees, etc	1,050.			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach sch)				
c Other prof fees (attach sch) SEE ST 1	12,087.	12,087.		
17 Interest				
18 Taxes (attach schedule) (see instr)				
19 Depreciation (attach sch) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)				
24 Total operating and administrative expenses. Add lines 13 through 23	13,137.	12,087.		
25 Contributions, gifts, grants paid STMT 2	55,392.			55,392.
26 Total expenses and disbursements. Add lines 24 and 25	68,529.	12,087.	0.	55,392.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	108,917.			
b Net investment income (if negative, enter -0-)		165,359.		
c Adjusted net income (if negative, enter -0-)			32,523.	

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Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
ASSETS	1 Cash — non-interest-bearing	100,000.	442,490.	442,490.
	2 Savings and temporary cash investments			
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U S and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule)	1,305,950.	1,136,217.	1,101,579.
	c Investments — corporate bonds (attach schedule)			
	11 Investments — land, buildings, and equipment: basis			
LIABILITIES	Less: accumulated depreciation (attach schedule)			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule)			
	14 Land, buildings, and equipment basis			
	Less: accumulated depreciation (attach schedule)			
	15 Other assets (describe)			
	16 Total assets (to be completed by all filers — see instructions. Also, see page 1, item I)	1,405,950.	1,578,707.	1,544,069.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
FUNDS	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	1,405,950.	1,578,707.	
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see the instructions)	1,405,950.	1,578,707.	
	31 Total liabilities and net assets/fund balances (see the instructions)	1,405,950.	1,578,707.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,405,950.
2 Enter amount from Part I, line 27a	2	108,917.
3 Other increases not included in line 2 (itemize) SEE STATEMENT 3	3	63,840.
4 Add lines 1, 2, and 3	4	1,578,707.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	1,578,707.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE STATEMENT 4				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div style="border: 1px solid black; padding: 2px; display: inline-block;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div>	2	145,611.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)		3	688.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income) N/A

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2009			
2008			
2007			
2006			
2005			

2 Total of line 1, column (d)	2	
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	
5 Multiply line 4 by line 3	5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6	7	
8 Enter qualifying distributions from Part XII, line 4	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter. _____ (attach copy of letter if necessary – see instr.)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	3,307.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	3,307.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	3,307.
6 Credits/Payments:			
a 2010 estimated tax pmts and 2009 overpayment credited to 2010	6a		
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		78.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		3,385.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2011 estimated tax	11		
		Refunded	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0. SEE STATEMENT 5		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities.</i>	X	
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T.</i> SEE STATEMENT 6	X	
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see the instructions) TX		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If 'No,' attach explanation</i> SEE STATEMENT 7		X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses.</i>		X

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Part VII-A Statements Regarding Activities (Continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>ROBERT MCMILLIAN</u> Telephone no <u>(281) 391-5071</u> Located at <u>10497 TOWN & COUNTRY WAY STE 230 KATY TX</u> ZIP + 4 <u>77494</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <u>N/A</u>	15		N/A
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country <u></u>	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? If 'Yes,' list the years <u>20 NA</u> , <u>20 NA</u> , <u>20 NA</u> , <u>20 NA</u>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see the instructions) SEE STATEMENT 8	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20 NA</u> , <u>20 NA</u> , <u>20 NA</u> , <u>20 NA</u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If 'Yes,' did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc. organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b N/A

Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

N/A

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

6b X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If 'Yes' to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
COLLETTA R MCMILLIAN 6701 HY-BLVD SUITE 208 KATY, TX 77494	CO-TRUSTEE 0	0.	0.	0.
DON F MCMILLIAN JR 6701 HIGHWAY BLVD SUITE 208 KATY, TX 77494	CO-TRUSTEE 0	350.	0.	0.
ROBERT R MCMILLAIN 6701 HIGHWAT BLVD SUITE 208 KATY, TX 77494	CO-TRUSTEE 0	350.	0.	0.
REID R MCMILLIAN 6701 HIGHWAY BLVD SUITE 208 KATY, TX 77494	CO-TRUSTEE 0	350.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE'.

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
HOUSTON TRUST COMPANY		
HOUSTON , TX	INVESTMENT COUNCEL	
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	1,478,707.
b	Average of monthly cash balances	1b	100,000.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	1,578,707.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,578,707.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	23,681.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,555,026.
6	Minimum investment return. Enter 5% of line 5	6	77,751.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	77,751.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	3,307.
b	Income tax for 2010 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	3,307.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	74,444.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	74,444.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	74,444.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	55,392.
b	Program-related investments — total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	55,392.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	55,392.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				74,444.
2 Undistributed income, if any, as of the end of 2010.				
a Enter amount for 2009 only			0.	
b Total for prior years: 20____, 20____, 20____		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005				
b From 2006				
c From 2007				
d From 2008				
e From 2009				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2010 from Part XII, line 4. ► \$ 55,392.				
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2010 distributable amount				55,392.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				19,052.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008				
d Excess from 2009				
e Excess from 2010				

BAA

Form 990-PF (2010)

N/A

- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or

b 85% of line 2a

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a 'Assets' alternative test – enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i).

b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c 'Support' alternative test – enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year – see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc. Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed

SEE STATEMENT 9

b The form in which applications should be submitted and information and materials they should include.

SEE STATEMENT FOR LINE 2A

c Any submission deadlines

SEE STATEMENT FOR LINE 2A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE STATEMENT FOR LINE 2A

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year			<p><u>See Schedule attached</u></p> <p>All contribution were made to organizations' <u>"general Purpose Fund"</u> for which the charitable organizations were established. <u>"Unrestricted & to their <u>General Purpose Fund</u>"</u></p>	
Total			▶ 3a	<u>55,392</u>
b Approved for future payment				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (see the instructions)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities	900099	31,835.			
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory					145,611.
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)		31,835.			145,611.
13	Total. Add line 12, columns (b), (d), and (e)					177,446.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

DON & COLLETTA MCMILLIAN FOUNDATION

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STATEMENT 1
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVEST FEES	\$ 12,087.	\$ 12,087.		
TOTAL	\$ 12,087.	\$ 12,087.	\$ 0.	\$ 0.

STATEMENT 2
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

CASH GRANTS AND ALLOCATIONS

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

BAYLOR COLLEGE OF MED.

ONE BAYLOR PLAZA STE 1700

HOUSTON, TX 77030

RELATIONSHIP OF DONEE:

ORGANIZATIONAL STATUS OF DONEE:

AMOUNT GIVEN:

\$ 3,303.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

HOUSTON BAPTIST UNIVERSITY

7502 FONDREN

HOUSTON, TX 77074,

RELATIONSHIP OF DONEE:

ORGANIZATIONAL STATUS OF DONEE:

AMOUNT GIVEN:

2,303.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

TEXAS INSTITUTE/RESEARCH

4605 POST OAK BLVD

HOUSTON, , TX 77002

RELATIONSHIP OF DONEE:

ORGANIZATIONAL STATUS OF DONEE:

AMOUNT GIVEN:

5,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

OTHERS LESS THAN \$1000.

VARIOUS

HOUSTON TEXAS,

RELATIONSHIP OF DONEE:

ORGANIZATIONAL STATUS OF DONEE:

AMOUNT GIVEN:

680.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

BAYLOR UNIVERSITY FOUNDATION

1311 S 5TH STREET

WACO, TX 76706

RELATIONSHIP OF DONEE:

ORGANIZATIONAL STATUS OF DONEE:

AMOUNT GIVEN:

4,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

MUSEUM OF FINE ARTS

P O BOX 6826

DON & COLLETTA MCMILLIAN FOUNDATION

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STATEMENT 2 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

RELATIONSHIP OF DONEE:	HOUSTON , TX 77265	
ORGANIZATIONAL STATUS OF DONEE:		
AMOUNT GIVEN:		\$ 2,000.
CLASS OF ACTIVITY:		
DONEE'S NAME:	AVON WALK FOR BREAST CANCER	
DONEE'S ADDRESS:	5847 SAN FELIPE SUITE 1700	
	HOUSTON , TX 77057	
	N/A	
RELATIONSHIP OF DONEE:		
ORGANIZATIONAL STATUS OF DONEE:		
AMOUNT GIVEN:		1,800.
CLASS OF ACTIVITY:		
DONEE'S NAME:	CORRIDOR RESCUE	
DONEE'S ADDRESS:	10134 HAMMERLY BLVD	
	HOUSTON , TX 77080	
RELATIONSHIP OF DONEE:		
ORGANIZATIONAL STATUS OF DONEE:		
AMOUNT GIVEN:		3,303.
CLASS OF ACTIVITY:		
DONEE'S NAME:	HOLLY SPIRIR SCHOOL	
DONEE'S ADDRESS:	7502 FRONDREN	
	HOUSTON , TX 77074	
RELATIONSHIP OF DONEE:		
ORGANIZATIONAL STATUS OF DONEE:		
AMOUNT GIVEN:		5,000.
CLASS OF ACTIVITY:		
DONEE'S NAME:	HOUSTON FOOD BANK	
DONEE'S ADDRESS:	535 PORTWALL	
	HOUSTON , TX 77029	
RELATIONSHIP OF DONEE:		
ORGANIZATIONAL STATUS OF DONEE:		
AMOUNT GIVEN:		1,503.
CLASS OF ACTIVITY:		
DONEE'S NAME:	HOUSTON METHODIST HOSPITAL	
DONEE'S ADDRESS:	P O BOX 4384	
	HOUSTON , TX 77210	
RELATIONSHIP OF DONEE:		
ORGANIZATIONAL STATUS OF DONEE:		
AMOUNT GIVEN:		2,000.
CLASS OF ACTIVITY:		
DONEE'S NAME:	NATIONAL RIFE ASSN	
DONEE'S ADDRESS:	11250 WAPLES MILL ROAD	
	FAIRFAX, VA 22030	
RELATIONSHIP OF DONEE:		
ORGANIZATIONAL STATUS OF DONEE:		
AMOUNT GIVEN:		10,000.
CLASS OF ACTIVITY:		
DONEE'S NAME:	SIRE	
DONEE'S ADDRESS:	24161 SPRING DRIVE	
	HOCKLEY , TX 77447	

DON & COLLETTA MCMILLIAN FOUNDATION

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STATEMENT 2 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

RELATIONSHIP OF DONEE:
 ORGANIZATIONAL STATUS OF DONEE:
 AMOUNT GIVEN:

\$ 3,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

EAGLE CAMP

6424 HACKBERRY RD

ROCKSPRING, TX 78880

RELATIONSHIP OF DONEE:
 ORGANIZATIONAL STATUS OF DONEE:
 AMOUNT GIVEN:

3,500.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

WHARTON COUNTY JR COLLEGE FOUNDATION

911 BOLING HIGHWAY

WHARTON, TX 77488

RELATIONSHIP OF DONEE:
 ORGANIZATIONAL STATUS OF DONEE:
 AMOUNT GIVEN:

6,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

KATY CHRISTAIN MINISTRIES

PO BOX 986

KATY, TX 77492

RELATIONSHIP OF DONEE:
 ORGANIZATIONAL STATUS OF DONEE:
 AMOUNT GIVEN:

2,000.

TOTAL \$ 55,392.

STATEMENT 3
FORM 990-PF, PART III, LINE 3
OTHER INCREASES

UNREALIZED GAIN

TOTAL \$ 63,840.
 \$ 63,840.

STATEMENT 4
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	385 BANK OF NEW YORK	PURCHASED	6/01/2011	10/13/2011
2	1487 BOMBARDIER INC	PURCHASED	6/01/2011	10/13/2011
3	319 CHICO INC	PURCHASED	6/01/2011	10/13/2011
4	152 COMERICA INC	PURCHASED	6/01/2011	10/13/2011
5	124 DEVON ENERGY	PURCHASED	6/01/2011	10/13/2011
6	2803754 SEI INST TAX FREE	PURCHASED	6/01/2011	9/28/2011
7	368 SONY CORP	PURCHASED	6/01/2011	9/28/2011
8	45 WALTER ENERGY	PURCHASED	6/01/2011	8/18/2011

DON & COLLETTA MCMILLIAN FOUNDATION

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STATEMENT 4 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
9	VARIOUS SEE SCH OF S/T GAINS ATT'D	PURCHASED	VARIOUS	VARIOUS
10	VARIOUS SEE SCH OF L/T GAINS ATT'D	PURCHASED	VARIOUS	VARIOUS

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	6,994.		9,706.	-2,712.				\$ -2,712.
2	5,935.		10,049.	-4,114.				-4,114.
3	4,087.		5,078.	-991.				-991.
4	3,552.		4,980.	-1,428.				-1,428.
5	7,995.		10,162.	-2,167.				-2,167.
6	2803754.		2803754.	0.				0.
7	6,947.		9,840.	-2,893.				-2,893.
8	3,771.		5,391.	-1,620.				-1,620.
9	99,332.		82,719.	16,613.				16,613.
10	1487329.		1342406.	144,923.				144,923.
TOTAL								\$ 145,611.

STATEMENT 5
FORM 990-PF, PART VII-A, LINE 2
ACTIVITIES NOT PREVIOUSLY REPORTED TO THE IRS

NA

STATEMENT 6
FORM 990-PF, PART VII-A, LINE 5
LIQUIDATION, DISSOLUTION, TERMINATION, OR SUBSTANTIAL CONTRACTION
LIQUIDATION, DISSOLUTION, TERMINATION, OR SUBSTANTIAL CONTRACTION

NA

STATEMENT 7
FORM 990-PF, PART VII-A, LINE 8B
COPIES OF FORM 990-PF TO STATE OFFICIALS

NA

DON & COLLETTA MCMILLIAN FOUNDATION

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STATEMENT 8
FORM 990-PF, PART VII-B, LINE 2B
SECTION 4942 (A) (2) - INCORRECT VALUATION OF ASSETS

NA

STATEMENT 9
FORM 990-PF, PART XV, LINE 2A-D
APPLICATION SUBMISSION INFORMATION

NAME OF GRANT PROGRAM:	"GENERAL PURPOSE FUNDS"
NAME:	DON & COLLETTA MCMILLIAN FOUNDATION
CARE OF:	ROBERT MAMILLIAN
STREET ADDRESS:	6701 HIGHWAY BLVD SUITE 208
CITY, STATE, ZIP CODE:	KAYY , TX 77494
TELEPHONE:	(281) 391-5071
FORM AND CONTENT:	THE IS NO PARTICULAR FORM THAT IS REQUIRED
SUBMISSION DEADLINES:	DEADLINE IS ANYTIME DURING THE FISCAL YEAR ENDING 11-30
RESTRICTIONS ON AWARDS:	THERE ARE NO RESTRICTIONS OR LIMITATIONS

**DON & COLLETTA MCMILLIAN
FOUNDATION**

FIN 76-0364247

Realized Gain or (Loss)

	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	or (Loss)
Short Term						
HARDING LOEVNER EMERGING	482 625	05/18/2010	05/16/2011	\$24,169 86	\$20,000 00	\$4,169 86
ASTON TAMRO SMALL CAP FD	45 900	12/29/2010	05/16/2011	\$1,028 62	\$979 04	\$49 58
STEPHENS SMALL CAP GROWT	2,325 581	05/18/2010	05/16/2011	\$31,930 23	\$24,000 00	\$7,930 23
STEPHENS SMALL CAP GROWT	27 887	12/30/2010	05/16/2011	\$382 89	\$344 40	\$38 49
ABSOLUTE OPPTY FD INST	27 950	12/09/2010	05/16/2011	\$344 41	\$342 11	\$2 30
ABSOLUTE OPPTY FD INST	9 775	12/09/2010	05/16/2011	\$120 45	\$119 64	\$0 81
PIMCO LOW DURATION II	81 236	12/08/2010	05/16/2011	\$812 16	\$809 11	\$3 05
PIMCO LOW DURATION II	39 446	12/08/2010	05/16/2011	\$394 36	\$392 88	\$1 48
PIMCO INVESTMENT GRADE	944 315	12/08/2010	05/16/2011	\$10,178 51	\$9,849 21	\$329 30
PIMCO INVESTMENT GRADE	524 830	12/08/2010	05/16/2011	\$5,657 00	\$5,473 98	\$183 02
CALAMOS CONVERTIBLE FUND	20 845	06/17/2010	05/16/2011	\$425 24	\$387 30	\$37 94
CALAMOS CONVERTIBLE FUND	51 105	12/16/2010	05/16/2011	\$1,042 54	\$993 49	\$49 05
ISHARES TR RUSSELL 1000	375 000	05/18/2010	05/16/2011	\$22,845 57	\$19,028 20	\$3,817 37
Total Short Term				\$99,331.84	\$82,719.36	\$16,612.48
Long Term						
ISHARES TR BARCLAYS TIPS	250 000	11/04/2009	05/16/2011	\$27,593 27	\$25,901 45	\$1,691 82
SPDR GOLD TRUST	32 000	10/21/2009	05/16/2011	\$4,654 15	\$3,337 76	\$1,316 39
SPDR GOLD TRUST	218 000	10/21/2009	05/16/2011	\$31,706 45	\$22,738 47	\$8,967 98
PIMCO COMMODITY REAL	6,226 650	10/28/2009	05/16/2011	\$57,452 22	\$50,020 00	\$7,432 22
PIMCO COMMODITY REAL	76 543	12/09/2009	05/16/2011	\$706 25	\$616 94	\$89 31
ROYCE MICRO CAP FUND	2,608 048	10/21/2009	05/16/2011	\$47,003 11	\$35,020 00	\$11,983 11
HARDING LOEVNER EMERGING	631 313	10/28/2009	05/16/2011	\$31,616 16	\$25,000 00	\$6,616 16
DIAMOND HILL LONG SHORT	2,026 599	10/21/2009	05/16/2011	\$34,290 06	\$32,000 00	\$2,290 06

DON & COLLETTA MCMILLIAN
FOUNDATION

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CALAMOS CONVERTIBLE FUND	12 025	03/18/2010	05/16/2011	\$245 31	\$230 63	\$14 68
POWERSHS DB US DOLLAR TR	710 000	10/16/2009	05/16/2011	\$15,346 80	\$15,947 74	(\$600 94)
POWERSHS DB US DOLLAR TR	697 000	11/02/2009	05/16/2011	\$15,065 80	\$15,788 47	(\$722 67)
POWERSHS DB US DOLLAR TR	638 000	01/11/2010	05/16/2011	\$13,790 50	\$14,501 18	(\$710 68)
ISHARES TR RUSSELL 1000	125 000	10/21/2009	05/16/2011	\$7,615 19	\$6,012 72	\$1,602 47
ISHARES TR RUSSELL 1000	75 000	10/21/2009	05/16/2011	\$4,569 04	\$3,607 63	\$961 41
ISHARES TR RUSSELL 1000	500 000	10/21/2009	05/16/2011	\$30,460 75	\$24,050 90	\$6,409 85
Total Long Term				\$1,487,329.13	\$1,342,406.48	\$144,922.66
Total Realized Gain or (Loss)				\$1,586,660.97	\$1,425,125.84	\$161,535.14

DON & COLLETTA MCMILLIAN
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ASTON TAMRO SMALL CAP FD	2,176,617	10/21/2009	05/16/2011	\$48,777 99	\$35,000 00	\$13,777 99
ABSOLUTE STRATEGIES FUND	2,866 157	10/16/2009	05/16/2011	\$31,494 27	\$30,000 00	\$1,494 27
ABSOLUTE STRATEGIES FUND	9,082 218	10/21/2009	05/16/2011	\$99,798 38	\$95,020 00	\$4,778 38
ABSOLUTE STRATEGIES FUND	4 077	12/10/2009	05/16/2011	\$44 80	\$42 89	\$1 91
NATIXIS GATEWAY Y	4,042 037	10/21/2009	05/16/2011	\$108,185 33	\$100,020 00	\$8,165 33
FORWARD INTL SMALL CO	1,577 287	10/21/2009	05/16/2011	\$23,738 17	\$20,000 00	\$3,738 17
CAMBIAR OPPORTUNITY FUND	1,027 397	11/04/2009	05/16/2011	\$20,136 98	\$15,000 00	\$5,136 98
ABSOLUTE OPPTY FD INST	2,577 320	01/21/2010	05/16/2011	\$31,758 64	\$30,020 00	\$1,738 64
INVECO CHARTER FUND CL Y	5,985 915	10/28/2009	05/16/2011	\$104,134 92	\$85,020 00	\$19,114 92
VAUGHAN NELSON VALUE	4,273 504	10/28/2009	05/16/2011	\$70,749 38	\$50,020 00	\$20,729 38
VAUGHAN NELSON VALUE	25 920	12/17/2009	05/16/2011	\$429 11	\$317 52	\$111 59
VAUGHAN NELSON VALUE	5 613	04/13/2010	05/16/2011	\$92 93	\$76 96	\$15 97
VAUGHAN NELSON VALUE	1 850	04/13/2010	05/16/2011	\$30 63	\$25 37	\$5 26
PIMCO ALL ASSET FUND	2,100 925	10/16/2009	05/16/2011	\$26,380 93	\$25,000 00	\$1,380 93
PIMCO ALL ASSET FUND	4,180 602	10/21/2009	05/16/2011	\$52,495 05	\$50,020 00	\$2,475 05
NUVEEN TRADEWINDS INTL	2,707 205	10/21/2009	05/16/2011	\$70,502 69	\$65,020 00	\$5,482 69
EATON VANCE STRUCTURED	1,581 028	11/04/2009	05/16/2011	\$25,055 10	\$20,020 00	\$5,035 10
PIMCO LOW DURATION II	4,811 682	10/16/2009	05/16/2011	\$48,104 68	\$47,800 00	\$304 68
PIMCO LOW DURATION II	4 973	10/30/2009	05/16/2011	\$49 72	\$49 48	\$0 24
PIMCO LOW DURATION II	2,991 027	01/21/2010	05/16/2011	\$29,902 72	\$30,020 00	(\$117 28)
PIMCO INVESTMENT GRADE	11,150 758	10/21/2009	05/16/2011	\$120,190 94	\$125,020 00	(\$4,829 05)
PIMCO INVESTMENT GRADE	20 672	10/30/2009	05/16/2011	\$222 82	\$231 94	(\$9 12)
PIMCO INVESTMENT GRADE	280 495	12/09/2009	05/16/2011	\$3,023 38	\$3,082 64	(\$59 26)
PIMCO INVESTMENT GRADE	67 862	12/09/2009	05/16/2011	\$731 47	\$745 80	(\$14 33)
PIMCO INVESTMENT GRADE	2,690 583	01/21/2010	05/16/2011	\$29,001 05	\$30,020 00	(\$1,018 95)
DODGE & COX INCOME FUND	11,600 928	10/21/2009	05/16/2011	\$156,711 83	\$150,020 00	\$6,691 83
DODGE & COX INCOME FUND	2,284 844	01/21/2010	05/16/2011	\$30,864 95	\$30,020 00	\$844 95
CALAMOS CONVERTIBLE FUND	1,598 295	01/21/2010	05/16/2011	\$32,605 22	\$30,000 00	\$2,605 22