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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2010

For calendar year 2010, or tax year beginning DEC 1, 2010 **, and ending** NOV 30, 2011

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation
THE VILCEK FOUNDATION INC.

A Employer identification number
51-0404790

Number and street (or P O box number if mail is not delivered to street address) 167 EAST 73RD STREET
Room/suite

B Telephone number
212-472-2500

City or town, state, and ZIP code
NEW YORK, NY 10021

C If exemption application is pending, check here ▶ ☐
D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 89,863,883.

J Accounting method ☐ Cash ☐ Accrual
☒ Other (specify) MODIFIED CASH
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received	15,530,841.			
	2	Check ▶ ☐ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	17,938.	17,938.	17,938.	STATEMENT 1
	4	Dividends and interest from securities	782,075.	782,091.	782,075.	STATEMENT 2
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	916,755.			
	b	Gross sales price for all assets on line 6a 17,508,353.				
	7	Capital gain net income (from Part IV, line 2)		3,235,131.		
	8	Net short-term capital gain			0.	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income	10,383,793.	10,384,047.	<16,830.>	STATEMENT 3
	12	**Total.** Add lines 1 through 11	27,631,402.	14,419,207.	783,183.	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	218,192.	0.	176,354.	44,489.
	14	Other employee salaries and wages	407,743.	0.	152,336.	255,407.
	15	Pension plans, employee benefits	195,045.	0.	83,376.	111,669.
	16a	Legal fees STMT 4	14,972.	0.	0.	14,972.
	b	Accounting fees STMT 5	56,933.	0.	0.	54,933.
	c	Other professional fees STMT 6	229,689.	189,279.	0.	40,410.
	17	Interest	87,042.	7,067.	0.	79,974.
	18	Taxes STMT 7	208,085.	7,835.	0.	0.
	19	Depreciation and depletion	364,206.	0.	0.	
	20	Occupancy				
	21	Travel, conferences, and meetings	58,383.	0.	0.	58,383.
	22	Printing and publications	6,500.	0.	0.	6,500.
	23	Other expenses STMT 8	1,083,821.	57,524.	371,117.	655,180.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	2,930,611.	261,705.	783,183.	1,321,917.
	25	Contributions, gifts, grants paid	590,073.			590,073.
	26	**Total expenses and disbursements.** Add lines 24 and 25	3,520,684.	261,705.	783,183.	1,911,990.
	27	Subtract line 26 from line 12				
	a	Excess of revenue over expenses and disbursements	24,110,718.			
	b	**Net investment income** (if negative, enter -0-)		14,157,502.		
	c	**Adjusted net income** (if negative, enter -0-)			0.	

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Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	25,798.	51,520.	51,520.
	2	Savings and temporary cash investments	2,438,645.	3,368,942.	3,368,942.
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations STMT 9	818,682.	569,519.	593,135.
	b	Investments - corporate stock STMT 10	5,071,554.	4,899,705.	5,351,746.
	c	Investments - corporate bonds STMT 11	4,032,983.	4,402,814.	4,342,573.
	11	Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 12	12,907,326.	17,644,285.	18,631,186.
	14	Land, buildings, and equipment basis ▶ 27,756,007. Less accumulated depreciation STMT 13▶ 1,650,337.	10,791,574.	26,105,670.	26,105,670.
	15	Other assets (describe ▶ STATEMENT 14)	1,319,590.	1,419,111.	31,419,111.
	16	**Total assets** (to be completed by all filers)	37,406,152.	58,461,566.	89,863,883.
Liabilities	17	Accounts payable and accrued expenses	7,619.		
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable	3,047,685.		
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	3,055,304.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	34,350,848.	58,461,566.	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances**	34,350,848.	58,461,566.	
	31	**Total liabilities and net assets/fund balances**	37,406,152.	58,461,566.	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	34,350,848.
2	Enter amount from Part I, line 27a	2	24,110,718.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	58,461,566.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	58,461,566.

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	17,508,353.		14,436,493.	3,235,131.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				3,235,131.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	3,235,131.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	3	<41,983.>

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	2,363,253.	53,741,946.	.043974
2008	2,430,352.	45,750,315.	.053122
2007	2,794,139.	43,646,885.	.064017
2006	4,340,028.	43,303,942.	.100222
2005	8,984,959.	15,683,884.	.572878

2	Total of line 1, column (d)	2	.834213
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.166843
4	Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	60,141,321.
5	Multiply line 4 by line 3	5	10,034,158.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	141,575.
7	Add lines 5 and 6	7	10,175,733.
8	Enter qualifying distributions from Part XII, line 4	8	17,584,580.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary-see instructions)**				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	141,575.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0.
3 Add lines 1 and 2			3	141,575.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	141,575.
6 Credits/Payments				
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	223,338.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments Add lines 6a through 6d			7	223,338.
8 Enter any **penalty** for underpayment of estimated tax Check here ☒ if Form 2220 is attached			8	
9 **Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	81,763.
11 Enter the amount of line 10 to be **Credited to 2011 estimated tax** ▶ 81,763.	**Refunded** ▶		11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
If the answer is "Yes" to **1a** *or* **1b**, *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV.*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY,DE			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9	X	
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

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Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ WWW.VILCEK.ORG

14 The books are in care of ▶ THE ORGANIZATION Telephone no ▶212-472-2500

Located at ▶167 EAST 73RD STREET, NEW YORK, NY ZIP+4 ▶10021

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☒ Yes ☐ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception**. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2010 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?	☐ Yes ☒ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b	
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No		
If "Yes," attach the statement required by Regulations section 53.4945-5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
If "Yes" to 6b, file Form 8870.			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 15		218,192.	33,910.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANDHIKA MEWENGKANG - C/O THE FDN, 167 EAST 73RD ST., NY, NY 10021	FACILITY MANAGER 35.00	72,567.	22,767.	0.
JOYCE LI - C/O THE FDN, 167 EAST 73RD ST., NY, NY 10021	ASSOCIATE PROGRAM OFFICER 35.00	57,904.	19,183.	0.
ANNE SCHRUTH - C/O THE FDN, 167 EAST 73RD ST., NY, NY 10021	ASSOCIATE PROGRAM OFFICER 35.00	58,385.	17,776.	0.
PHUONG PHAM - C/O THE FDN, 167 EAST 73RD ST., NY, NY 10021	COMMUNICATIONS COORDINATOR 35.00	56,778.	17,569.	0.
BRIAN CAVANAUGH - C/O THE FDN, 167 EAST 73RD ST., NY, NY 10021	NEWS MEDIA AND IT COORDINATOR 35.00	55,827.	15,153.	0.
Total number of other employees paid over $50,000 ▶				1

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
AHOY STUDIOS 456 BROADWAY, 3RD FL., NEW YORK, NY 10013	GRAPHIC DESIGN AND PRINTING	126,711.
CITIGROUP GLOBAL MARKETS INC. - 601 LEXINGTON AVENUE, 3RD FL., NEW YORK, NY 10022	INVESTMENT MANAGEMENT SERVICES	91,426.
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 SEE STATEMENT 16	1,703,182.
2 SEE STATEMENT 17	126,500.
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	28,456,727.
b	Average of monthly cash balances	1b	2,600,452.
c	Fair market value of all other assets	1c	30,000,000.
d	**Total** (add lines 1a, b, and c)	1d	61,057,179.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	61,057,179.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	915,858.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	60,141,321.
6	**Minimum investment return.** Enter 5% of line 5	6	3,007,066.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☒ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	
2a	Tax on investment income for 2010 from Part VI, line 5 2a		
b	Income tax for 2010 (This does not include the tax from Part VI) 2b		
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments Subtract line 2c from line 1	3	
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	
6	Deduction from distributable amount (see instructions)	6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	1,911,990.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	15,672,590.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	17,584,580.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	141,575.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	17,443,005.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

N/A

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only				
b Total for prior years ______ , ______ , ______				
3 Excess distributions carryover, if any, to 2010				
a From 2005				
b From 2006				
c From 2007				
d From 2008				
e From 2009				
f **Total** of lines 3a through e				
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ $ ______				
a Applied to 2009, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2010 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see instr				
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)				
8 Excess distributions carryover from 2005 not applied on line 5 or line 7				
9 **Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008				
d Excess from 2009				
e Excess from 2010				

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12-07-10

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶ 03/19/01

b Check box to indicate whether the foundation is a private operating foundation described in section ☒ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	0.	0.	0.	0.	0.
b 85% of line 2a	0.	0.	0.	0.	0.
c Qualifying distributions from Part XII, line 4 for each year listed	17584580.	2,363,253.	2,430,352.	2,794,139.	25172324.
d Amounts included in line 2c not used directly for active conduct of exempt activities	465,073.	372,953.	283,575.	261,070.	1,382,671.
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c	17119507.	1,990,300.	2,146,777.	2,533,069.	23789653.
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets					0.
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0.
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	2,004,711.	1,791,398.	1,525,011.	1,454,896.	6,776,016.
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0.
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0.
(3) Largest amount of support from an exempt organization					0.
(4) Gross investment income					0.

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

DR. JAN VILCEK

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

SEE ATTACHMENT E

b The form in which applications should be submitted and information and materials they should include

SEE ATTACHMENT E

c Any submission deadlines

AUGUST 14, 2012

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE ATTACHMENT E

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 18				
Total			▶ 3a	590,073.
b *Approved for future payment*				
NONE				
Total			▶ 3b	0.

023611 12-07-10

Form **990-PF** (2010)

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	17,938.	
4 Dividends and interest from securities	525990	<16.>	14	782,091.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			15	10,400,623.	
8 Gain or (loss) from sales of assets other than inventory	525990	603.	18	916,152.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a ORDINARY LOSS THRU K-1	525990	<254.>	14	<16,823.>	
b SECURITIES LITIGATION					
c PROCEEDS			14	247.	
d					
e					
12 Subtotal Add columns (b), (d), and (e)		333.		12,100,228.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 12,100,561.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)
	N/A

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Jan T. Vilcek 8/17/12 President

Signature of officer or trustee | Date | Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
THOMAS F. BLANEY		7/23/12		P00234022

Firm's name ▶ O'CONNOR DAVIES MUNNS & DOBBINS, LLP

Firm's EIN ▶ 13-3385019

Firm's address ▶ 60 EAST 42ND STREET, 36TH FL.
NEW YORK, NY 10165-3698

Phone no (212)286-2600

Form 990-PF (2010)

023622
04-26-11

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**

OMB No 1545-0047

2010

Name of the organization	**Employer identification number**
THE VILCEK FOUNDATION INC.	51-0404790

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of $5,000 or more during the year. ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Schedule B (Form 990, 990-EZ, or 990-PF) (2010) Page 1 of 1 of Part I

Name of organization	Employer identification number
THE VILCEK FOUNDATION INC.	51-0404790

Part I **Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	DR. AND MRS. JAN AND MARICA VILCEK C/O FOUNDATION, 167 EAST 73RD ST. NEW YORK, NY 10021	$ 8,081,195.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
2	DR. AND MRS. JAN AND MARICA VILCEK C/O FOUNDATION, 167 EAST 73RD ST. NEW YORK, NY 10010	$ 7,447,646.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Name of organization	Employer identification number
THE VILCEK FOUNDATION INC.	51-0404790

Part II Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
2	VARIOUS SECURITIES, SEE ATTACHMENT D	$ 7,447,646.	05/18/11
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization

THE VILCEK FOUNDATION INC.

Employer identification number

51-0404790

Part III **Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than $1,000 for the year.** Complete columns **(a)** through **(e) and** the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once. See instructions) ▶ $

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SALES OF DONATED SECURITIES - SEE ATTACHMENT C	D	VARIOUS	05/20/11
b	SALES OF PUBLICLY TRADED SECURITIES - SEE ATT. A	P	VARIOUS	VARIOUS
c	SALES OF PUBLICLY TRADED SECURITIES - SEE ATT. A	P	VARIOUS	VARIOUS
d	CAPITAL GAINS THRU K-1S, NET OF UBI	P	VARIOUS	VARIOUS
e	CAPITAL GAINS THRU K-1S, NET OF UBI	P	VARIOUS	VARIOUS
f	ADVANTAGE ADVISORS WHISTLER FUND, LLC	P	VARIOUS	VARIOUS
g	CAPITAL GAINS DIVIDENDS			
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	7,428,806.		5,128,667.	2,300,139.
b	6,645,747.		5,892,157.	753,590.
c	3,337,350.		3,413,587.	<76,237.>
d				34,254.
e				129,017.
f	17,639.		2,082.	15,557.
g	78,811.			78,811.
h				
i				
j				
k				
l				
m				
n				
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a				2,300,139.
b				753,590.
c				** <76,237.>
d				** 34,254.
e				129,017.
f				15,557.
g				78,811.
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	3,235,131.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	<41,983.>

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05-01-10

** (SHORT-TERM)

2010 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1 990-PF

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	* Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
1	COMPUTER	041505	SL	5.00	16	1,623.			1,623.	1,623.		0.
2	COMPUTER	041505	SL	5.00	16	1,623.			1,623.	1,623.		0.
3	COMPUTER	061506	SL	5.00	16	1,626.			1,626.	1,463.		163.
4	COMPUTER	061506	SL	5.00	16	1,626.			1,626.	1,463.		163.
5	MORTGAGE COSTS	031706	SL	20.00	16	114,246.			114,246.	26,656.		5,712.
6	2006 BUILDING PURCHASE AND CONSTR	031706	SL	39.00	16	8,093,104.			8,093,104.	830,060.		207,515.
7	AV FACILITIES	070907	SL	5.00	16	45,962.			45,962.	31,406.		9,192.
8	PRINTER	071607	SL	5.00	16	700.			700.	467.		140.
9	IT EQUIP	072507	SL	5.00	16	319.			319.	213.		64.
10	6 COMPUTERS	102407	SL	5.00	16	8,709.			8,709.	5,371.		1,742.
11	PHONE SYSTEM	112807	SL	5.00	16	10,612.			10,612.	6,366.		2,122.
12	OFFICE FURNITURE	081707	SL	5.00	16	82,033.			82,033.	53,323.		16,407.
13	CHAIRS, ETC.	101507	SL	5.00	16	29,559.			29,559.	18,721.		5,912.
14	OFFICE FURNITURE	110807	SL	5.00	16	22,131.			22,131.	13,647.		4,426.
15	2 ARM CHAIRS	111407	SL	5.00	16	8,771.			8,771.	5,408.		1,754.
16	OFFICE FURNITURE	111907	SL	5.00	16	69,392.			69,392.	41,634.		13,878.
17	2007 BUILDING CONSTRUCTION WIP	120107	SL	39.00	16	2,770,758.			2,770,758.	213,135.		71,045.
18	2008 BUILDING IMPROVEMENTS	022908	SL	39.00	16	934,869.			934,869.	65,920.		23,971.

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05-01-10

(D) - Asset disposed

* ITC, Section 179, Salvage, Bonus, Commercial Revitalization Deduction

2010 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1 990-PF

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	* Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
19	2011 PURCHASE OF BUILDING		SL	39.00	16	15,607,707.			15,607,707.			0.
20	2011 CONSTRUCTION IN PROGRESS LOCATIO		SL	39.00	16	64,883.			64,883.			0.
	* TOTAL 990-PF PG 1 DEPR					27,870,253.		0.	27,870,253.	1,318,499.	0.	364,206.

(D) - Asset disposed

* ITC, Section 179, Salvage, Bonus, Commercial Revitalization Deduction

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS THRU CITI SMITH BARNEY	17,771.
MONEY FUND INTEREST THRU OPPENHEIMER	139.
THRU SANFORD BERNSTEIN	28.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	17,938.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDENDS AND INTEREST THRU CITI SMITH BARNEY	499,474.	78,811.	420,663.
DIVIDENDS AND INTEREST THRU OPPENHEIMER	232,857.	0.	232,857.
DIVIDENDS AND INTEREST THRU ROCKEFELLER & CO. INC.	59,653.	0.	59,653.
DIVIDENDS AND INTEREST THRU SANFORD BERNSTEIN	1,780.	0.	1,780.
INTEREST AND DIVIDENDS THRU K-1S, NET OF UBI	67,122.	0.	67,122.
TOTAL TO FM 990-PF, PART I, LN 4	860,886.	78,811.	782,075.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
ROYALTIES - SECTION 1235	10,400,623.	10,400,623.	
ORDINARY LOSS THRU K-1	<17,077.>	<16,823.>	<17,077.>
SECURITIES LITIGATION PROCEEDS	247.	247.	247.
TOTAL TO FORM 990-PF, PART I, LINE 11	10,383,793.	10,384,047.	<16,830.>

FORM 990-PF LEGAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
WITHERS BERGMAN LLP	14,740.	0.	0.	14,740.
KROLL	232.	0.	0.	232.
TO FM 990-PF, PG 1, LN 16A	14,972.	0.	0.	14,972.

FORM 990-PF ACCOUNTING FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
O'CONNOR DAVIES MUNNS & DOBBINS LLP	29,180.	0.	0.	27,180.
VILARDI AND COMPANY	27,753.	0.	0.	27,753.
TO FORM 990-PF, PG 1, LN 16B	56,933.	0.	0.	54,933.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ARCHITECTURAL SERVICES	2,056.	0.	0.	2,056.
INVESTMENT MANAGEMENT FEES	189,279.	189,279.	0.	0.
PAYROLL SERVICES	16,514.	0.	0.	16,514.
CURATORIAL AND COPY EDITING	21,840.	0.	0.	21,840.
TO FORM 990-PF, PG 1, LN 16C	229,689.	189,279.	0.	40,410.

FORM 990-PF TAXES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAXES	200,000.	0.	0.	0.
FOREIGN TAXES	7,835.	7,835.	0.	0.
NYS UBI TAX	250.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 18	208,085.	7,835.	0.	0.

FORM 990-PF OTHER EXPENSES STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
AWARD DINNER AND EXHIBITIONS	371,117.	0.	371,117.	0.
PERMITS	300.	0.	0.	300.
POSTAGE AND SHIPPING	12,364.	0.	0.	12,364.
UTILITIES	54,980.	0.	0.	54,980.
INSURANCE	53,913.	0.	0.	53,913.
DUES AND SUBSCRIPTIONS	25,337.	0.	0.	25,337.
ADVERTISING	23,671.	0.	0.	23,671.
TEMPORARY STAFFING	1,301.	0.	0.	1,301.
BUILDING MAINTENANCE AND IMPROVEMENTS	51,231.	0.	0.	51,231.
BUILDING HOUSEKEEPING	26,901.	0.	0.	26,901.
PHOTOGRAPHY	3,350.	0.	0.	3,350.
COMPUTER AND WEBSITE	87,265.	0.	0.	87,265.
SUPPLIES AND OTHER OFFICE EXPENSES	68,257.	0.	0.	68,257.
HONORARIA	90,500.	0.	0.	90,500.
PUBLIC RELATIONS	151,310.	0.	0.	151,310.
STATE STREET CUSTODIAL FEES	370.	370.	0.	0.
USAGE FEES	3,000.	0.	0.	3,000.
OTHER EXPENSES THRU K-1S	57,017.	57,017.	0.	0.
NYS DEPT. OF LAW	1,500.	0.	0.	1,500.
BANK CHARGES	137.	137.	0.	0.
TO FORM 990-PF, PG 1, LN 23	1,083,821.	57,524.	371,117.	655,180.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 9

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
GOVERNMENT BONDS - SEE ATTACHMENT B	X		569,519.	593,135.
TOTAL U.S. GOVERNMENT OBLIGATIONS			569,519.	593,135.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			569,519.	593,135.

FORM 990-PF CORPORATE STOCK STATEMENT 10

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
PREFERRED STOCK - SEE ATTACHMENT B	1,114,136.	1,075,251.
CORPORATE STOCK - SEE ATTACHMENT B	3,785,569.	4,276,495.
TOTAL TO FORM 990-PF, PART II, LINE 10B	4,899,705.	5,351,746.

FORM 990-PF CORPORATE BONDS STATEMENT 11

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS - SEE ATTACHMENT B	4,402,814.	4,342,573.
TOTAL TO FORM 990-PF, PART II, LINE 10C	4,402,814.	4,342,573.

FORM 990-PF OTHER INVESTMENTS STATEMENT 12

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MUTUAL FUNDS - ATTACHMENT B	COST	8,986,086.	9,391,326.
ANNUITY - SEE ATTACHMENT B 26/73	COST	400,000.	491,969.
PARTNERSHIPS - SEE ATTACHMENT B	COST	4,593,456.	5,190,495.
EXCHANGE TRADED FUNDS - SEE ATTACHMENT B 22/73	COST	2,727,071.	2,612,395.
GOV'T AGENCY BONDS - SEE ATTACHMENT B 37/73	COST	937,672.	945,001.
		17,644,285.	18,631,186.

TOTAL TO FORM 990-PF, PART II, LINE 13

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 13

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
COMPUTER	1,623.	1,623.	0.
COMPUTER	1,623.	1,623.	0.
COMPUTER	1,626.	1,626.	0.
COMPUTER	1,626.	1,626.	0.
2006 BUILDING PURCHASE AND CONSTRUCTION	8,093,104.	1,037,575.	7,055,529.
AV FACILITIES	45,962.	40,598.	5,364.
PRINTER	700.	607.	93.
IT EQUIP	319.	277.	42.
6 COMPUTERS	8,709.	7,113.	1,596.
PHONE SYSTEM	10,612.	8,488.	2,124.
OFFICE FURNITURE	82,033.	69,730.	12,303.
CHAIRS, ETC.	29,559.	24,633.	4,926.
OFFICE FURNITURE	22,131.	18,073.	4,058.
2 ARM CHAIRS	8,771.	7,162.	1,609.
OFFICE FURNITURE	69,392.	55,512.	13,880.
2007 BUILDING CONSTRUCTION WIP	2,770,758.	284,180.	2,486,578.
2008 BUILDING IMPROVEMENTS	934,869.	89,891.	844,978.
2011 PURCHASE OF BUILDING	15,607,707.	0.	15,607,707.
2011 CONSTRUCTION IN PROGRESS LOCATION 2	64,883.	0.	64,883.
TOTAL TO FM 990-PF, PART II, LN 14	27,756,007.	1,650,337.	26,105,670.

FORM 990-PF OTHER ASSETS STATEMENT 14

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
ARTWORK	1,232,000.	1,232,000.	1,232,000.
PROPERTY TAX RECEIVABLE	0.	105,233.	105,233.
ASSIGNMENT ROYALTIES	0.	0.	30,000,000.
MORTGAGE COSTS	87,590.	81,878.	81,878.
TO FORM 990-PF, PART II, LINE 15	1,319,590.	1,419,111.	31,419,111.

FORM 990-PF — PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS — STATEMENT 15

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
DR. JAN VILCEK 167 EAST 73RD STREET NEW YORK, NY 10021	PRESIDENT 20.00	0.	0.	0.
MARICA VILCEK 167 EAST 73RD STREET NEW YORK, NY 10021	VICE PRESIDENT 35.00	0.	0.	0.
PETER LUDWIG 167 EAST 73RD STREET NEW YORK, NY 10021	DIRECTOR 1.00	3,000.	0.	0.
RICK KINSEL 167 EAST 73RD STREET NEW YORK, NY 10021	EXECUTIVE DIRECTOR 35.00	209,192.	33,910.	0.
JOAN MASSAGUE 167 EAST 73RD STREET NEW YORK, NY 10021	DIRECTOR 1.00	3,000.	0.	0.
RICHARD GADDES 167 EAST 73RD STREET NEW YORK, NY 10021	DIRECTOR 1.00	3,000.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		218,192.	33,910.	0.

FORM 990-PF — SUMMARY OF DIRECT CHARITABLE ACTIVITIES — STATEMENT 16

ACTIVITY ONE

AWARDS PRIZES TO FOREIGN BORN PERSONS WHO MADE OUTSTANDING CONTRIBUTIONS TO THE SCIENCES, ARTS OR HUMANITIES FOR THE BENEFIT OF MANKIND & HOLDS LECTURES, PERFORMANCES & EXHIBITS.

	EXPENSES
TO FORM 990-PF, PART IX-A, LINE 1	1,703,182.

FORM 990-PF SUMMARY OF DIRECT CHARITABLE ACTIVITIES STATEMENT 17

ACTIVITY TWO

A RESEARCH PROJECT TO DEVELOP TREATMENTS FOR CHRONIC INFLAMATORY AUTOIMMUNE DISEASES. THE PRESENT RESEARCH SEEKS TO DETERMINE THE POTENTIAL OF TSG-6 PROTEIN AS A TREATMENT AGENT

	EXPENSES
TO FORM 990-PF, PART IX-A, LINE 2	126,500.

FORM 990-PF GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR STATEMENT 18

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
AUSTIN FILM SOCIETY 1901 E51ST STREET AUSTIN, TX 78723	N/A TO SUPPORT "PIT STOP," AN INDEPENDENT FILM BY A MALAYSIAN-BORN FILMMAKER.	PUBLIC CHARITY	40,000.
CHARLES SIMIC PO BOX 192 STRAFFORD , NH 03884	NONE PRIZE	N/A	100,000.
DINAW MENGESTU 43382 FULLERTON STREET ASHBURN , VA 20147	NONE PRIZE	N/A	25,000.
DR.KATYA HELDWEIN 136 HARRISON AVENUE BOSTON, MA 02111	NONE PRIZE	N/A	1,500.
DR.KATYA HELDWEIN 136 HARRISON AVENUE BOSTON, MA 02111	NONE PRIZE	N/A	3,500.

FROM THE TOP 295 HUNTINGTON AVENUE SUITE 201 BOSTON, MA 02111	N/A TO SUPPORT BIG BREAK, A COMPETITION FOR AMERICA'S YOUNG CLASSICAL MUSICIANS	PUBLIC CHARITY	20,000.
GALIT LAHAV 200 LONGWOOD AVENUE, WARREN ALPERT 555 BOSTON , MA 02115	NONE PRIZE	N/A	5,000.
HAWAII INTERNATIONAL FILM FESTIVAL 680 IWILLI RD. SUITE 100 HONOLULU, HI 96817	N/A TO SUPPORT THE 2011 AMERICAN IMMIGRANT FILMMAKERS ON PROFILE PROGRAM	PUBLIC CHARITY	33,333.
ILYA KAMINSKY 4320 GORDON WAY LA MESA, CA 91942	NONE PRIZE	N/A	5,000.
KATHERINE FITZGERALD 17 LEDYARD STREET WELLESLEY, MA 02841	NONE PRIZE	N/A	5,000.
NEW YORK UNIVERSITY SCHOOL OF MEDICINE 650 FIRST AVENUE NEW YORK, NY 10016	N/A ALLEN KELLER LECTURE: "CARING AND ADVOCATING FOR TORTURED SURVIVORS"	PUBLIC CHARITY	500.
NYU SCHOOL OF MED. 650 FIRST AVENUE NEW YORK, NY 10016	N/A TSG-6 PROJECT	PUBLIC CHARITY	125,000.
NYU SCHOOL OF MED. 650 FIRST AVENUE NEW YORK, NY 10016	N/A ANTI-INFLAMMATORY PROJECT	PUBLIC CHARITY	20,240.
SIMON VAN BOOY 50 BAYARD STREET #3E BROOKLYN, NY 12211	NONE PRIZE	N/A	5,000.
TEA BAJRAKTARERVIC 171 E. STATE STREET ITHACA, NY 14850	NONE PRIZE	N/A	5,000.

THE ASIAN AMERICAN WRITERS' WORKSHOP 110-112 WEST 27TH STREET, 6TH FLOOR NEW YORK, NY 10001	N/A TO SUPPORT THE PRODUCTION OF THE WORKSHOP MAGAZINE.	PUBLIC CHARITY	20,000.
THE SANTA FE OPERA PO BOX 2408 SANTA FE, NM 87504	N/A GENERAL	PUBLIC CHARITY	37,500.
TITIA DE LANGE 220 EAST 70TH STREET, APT. 17PH NEW YORK, NY 10021	NONE PRIZE	N/A	100,000.
UPWARDLY GLOBAL 505 EIGHTH AVENUE, SUITE 602 NEW YORK, NY 10018	N/A 2011 PASSPORT TO POSSIBILITIES AWARDS GALA	PUBLIC CHARITY	8,500.
VU H. TRAN 1332 E. 56TH STREET, #1E CHICAGO, IL 60637	NONE PRIZE	N/A	5,000.
YIBIN KANG 255 EWING STREET PRINCETON, NJ 08540	NONE PRIZE	N/A	25,000.
TOTAL TO FORM 990-PF, PART XV, LINE 3A			590,073.

The Vilcek Foundation
Summarized Realized Gain (Loss) Schedule
November 30, 2011
EIN # 51-0404790

DESCRIPTION		**PROCEEDS**	**COST**	**GAIN/(LOSS)**	**Short-Term**	**Long-Term**
Marketable Securities						
thru Star Bradford & Marzec	LT	383,643 67	369,467 23	14,176 44		14,176 44
thru Atlanta Sosnoff	LT	237,011 74	188,472 42	48,539 32		48,539 32
thru Cambridge Financial Group	LT	302,941 23	243,001 87	59,939 36		59,939 36
thru Eagle Asset Mgmt	LT	115,348 69	52,605 38	62,743 31		62,743 31
thru Invesco	LT	52,989 61	50,155 10	2,834 51		2,834 51
thru Moody Aldrich Partners	LT	112,213 64	92,177 04	20,036 60		20,036 60
thru Schafer Cullen	LT	35,687 33	28,607 42	7,079 91		7,079 91
thru Thornburg Inv Mgmt	LT	103,072 65	114,681 57	(11,608 92)		(11,608 92)
thru Wentworth Hauser & Vio	LT	4,219 92	6,552 05	(2,332 13)		(2,332 13)
thru Citi Personal Wealth Management	LT	110,000 00	109,312 50	687 50		687 50
thru Citi Personal Wealth Management	LT	100,000 00	97,276 00	2,724 00		2,724 00
thru Citi Personal Wealth Management	LT	3,780,914 23	3,353,814 30	427,099 93		427,099 93
thru Rockefeller Financial	LT	1,307,704 35	1,186,034 20	121,670 15	-	121,670 15
Total Long-Term		6,645,747 06	5,892,157 08	753,589 98	-	753,589 98
thru Star Bradford & Marzec	ST	1,733,627 55	1,736,122 70	(2,495 15)	(2,495 15)	
thru Atlanta Sosnoff	ST	409,427 43	440,747 01	(31,319 58)	(31,319 58)	
thru Cambridge Financial Group	ST	225,598 03	269,340 71	(43,742 68)	(43,742 68)	
thru Eagle Asset Mgmt	ST	27,230 66	30,115 82	(2,885 16)	(2,885 16)	
thru Invesco	ST	2,860 01	1,685 25	1,174 76	1,174 76	
thru Moody Aldrich Partners	ST	247,946 21	245,647 48	2,298 73	2,298 73	
thru Schafer Cullen	ST	16,035 21	11,515 09	4,520 12	4,520 12	
thru Thornburg Inv Mgmt	ST	27,187 10	29,505 86	(2,318 76)	(2,318 76)	
thru Citi Personal Wealth Management	ST	11,690 67	11,602 67	88 00	88 00	
thru Rockefeller Financial	ST	635,724 10	637,280 90	(1,556 80)	(1,556 80)	
thru Sanford Bernstein	ST	22 57	22 94	(0 37)	(0 37)	-
Total Short-Term		3,337,349 54	3,413,586 43	(76,236 89)	(76,236 89)	-
Total Sales of Publically Traded Securities		9,983,096 60	9,305,743 51	677,353 09	(76,236 89)	753,589 98
Capital gain (loss) thru K-1s						
Rockefeller Access Fund 06-I, LLC				7,222 00	4,068 00	3,154 00
Advantage Advisors Whistler fund, LLC				(8,792 00)	724 00	(9,516 00)
Advantage Advisors Xanthus Fund LLC				64,285 00	23,140 00	41,145 00
Rockefeller Global Equity Fund I LP				76,432 00	3,734 00	72,698 00
Rockefeller US Small Capitalization Fund LP				24,727 00	2,589 00	22,138 00
Total capital gain (loss) thru K-1s				163,874 00	34,255 00	129,619 00
Sale of Donated Securities						
See Attachment C for proceeds and Attachment D for cost		7,428,806 04	7,447,646 36	(18,840 32)		(18,840 32)
Sale of Alternative Investment						
Sale of Advantage Advisors Whistler Fund, LLC		17,639 09	2,082 38	15,556 71	-	15,556 71
Add Capital Gain Dividends				78,811 00	-	78,811 00
Total Realized Loss per 990-PF Column A				**916,754.48**	**(41,981 89)**	**958,736.37**
Adjustment for Donated Securities (Column B based on tax basis)						
Add back loss based on book value				18,840 32		18,840 32
See Attachment C for gain based on tax basis		7,428,806 04	5,128,667 26	2,300,138 78		2,300,138 78
Adjustments for Unrelated Business Income						
Add Capital Gain thru Rockefeller Access Fund 06-I, LLC				13 00	(1)	14
Less Capital Gain thru Advantage Advisors Whistler fund, LLC				(616 00)	-	(616 00)
Total Realized Loss per 990-PF Column B				**3,235,130 58**	**(41,982.89)**	**3,277,113.47**

ATTACHMENT A

The Vilcek Foundation
EIN # 51-0404790
11/30/2011

Schedule of Investment Balances at 11/30/11

	Corporate Bonds		Partnerships		Preferred Stock		Corporate Stock	
Rockefeller & Co	*COST*	*MARKET*	*COST*	*MARKET*	*COST*	*MARKET*	*COST*	*MARKET*
Attachment B 3/73	1,147,179 47	1,157,667 35	213,368 00	458,563 69				
Attachment B 3/73			2,768,765 00	2,930,258 72				
Attachment B 4/73			250,000 00	242,057 04				
Attachment B 4/73			250,000 00	246,867 56				
Attachment B 4/73			615,667 62	707,903 31				
Sub-total	1,147,179 47	1,157 667 35	4 097,800 62	4 585,650 32	-	-	-	-
Citi								
Attachment B 7/73	1,727,566 01	1,637,798 65						
Attachment B 9/73					1,059,280 74	1,020,914 13		
Attachment B 19/73								
Attachment B 22/73								
Attachment B 24/73	374,531 25	359,644 00						
Attachment B 25/73					49,981 47	49,568 40		
Attachment B 26/73								
Sub-total	2,102,097 26	1 997,442 65	-	-	1,109,262 21	1,070 482 53	-	-
Oppenheimer								
Attachment B 28/73							402,547 02	481,241 68
Attachment B 32/73							620,125 56	668,091 89
Attachment B 33/73			495,655 00	604,845 17				
Attachment B 34/73								
Attachment B 37/73								
Attachment B 43/73	1,153,536 81	1,187,462 88						
Attachment B 46/73							222,921 32	209,030 10
Attachment B 51/73							362,236 15	396,169 16
Attachment B 57/73							215,779 57	233,221 06
Attachment B 58/73					4,874 23	4,768 50		
Attachment B 64/73							322,300 56	422,323 68
Attachment B 69/73							323,432 80	367,540 60
Attachment B 72/73							1,316,226 41	1,498,877 30
Sub-total	1,153,536 81	1,187,462 88	495 655 00	604,845 17	4,874 23	4,768 50	3,785,569 39	4,276,495 47
Sanford C Bernstein & Co , LLC								
Attachment B 73/73								
Sub-total	-	-	-	-	-	-	-	-
Total	**4,402,813 54**	**4,342,572 88**	**4,593,455.62**	**5,190,495.49**	**1,114,136 44**	**1,075,251 03**	**3,785,569 39**	**4,276,495 47**

	Mutual Funds		Gov't & Municipal		Other Investments		Total	
Rockefeller & Co	*COST*	*MARKET*	*COST*	*MARKET*	*COST*	*MARKET*	*COST*	*MARKET*
Attachment B 3/73	288,354 94	293,711 56	410,752 44	425,294 40			2,059,654 85	2,335,237 00
Attachment B 3/73							2,768,765 00	2,930,258 72
Attachment B 4/73							250,000 00	242,057 04
Attachment B 4/73							250,000 00	246,867 56
Attachment B 4/73							615,667 62	707,903 31
Sub-total	288 354 94	293,711 56	410 752 44	425,294 40	-	-	5,944,087 47	6,462,323 63
Citi								
Attachment B 7/73							1,727,566 01	1,637,798 65
Attachment B 9/73							1,059,280 74	1,020,914 13
Attachment B 19/73	8 685,945 29	9,086,394 44					8,685,945 29	9,086,394 44
Attachment B 22/73					2,727,071 34	2,612,395 43	2,727,071 34	2,612,395 43
Attachment B 24/73							374,531 25	359,644 00
Attachment B 25/73							49,981 47	49,568 40
Attachment B 26/73					400,000 00	491,969 27	400,000 00	491,969 27
Sub-total	8,685,945 29	9,086 394 44	-	-	3,127,071 34	3,104,364 70	15,024,376 10	15 258 684 32
Oppenheimer								
Attachment B 28/73							402,547 02	481,241 68
Attachment B 32/73							620,125 56	668,091 89
Attachment B 33/73							495,655 00	604,845 17
Attachment B 34/73			158,766 56	167,840 88			158,766 56	167,840 88
Attachment B 37/73					937,671 57	945,000 60	937,671 57	945,000 60
Attachment B 43/73							1,153,536 81	1,187,462 88
Attachment B 46/73							222,921 32	209,030 10
Attachment B 51/73							362,236 15	396,169 16
Attachment B 57/73							215,779 57	233,221 06
Attachment B 58/73							4,874 23	4,768 50
Attachment B 64/73							322,300 56	422,323 68
Attachment B 69/73							323,432 80	367,540 60
Attachment B 72/73							1,316,226 41	1,498,877 30
Sub-total	-	-	158,766 56	167,840 88	937,671 57	945 000 60	6,536 073 56	7,186 413 50
Sanford C Bernstein & Co , LLC								
Attachment B 73/73	11,785 90	11,219 59					11,785 90	11,219 59
Sub-total	11,785 90	11,219 59	-	-	-	-	11 785 90	11,219 59
Total	**8,986,086.13**	**9,391,325 59**	**569,519 00**	**593,135.28**	**4,064,742 91**	**4,049,365.30**	**27,616,323 03**	**28,918,641 04**

ROCKEFELLER FINANCIAL

Vilcek Foundation Consolidated Reporting (R9739T) Period Ending November 30, 2011

Detailed Statement of Assets

Security Name	Shares	Price (US)	Cost (US)	Market Value	Unrealized Gain/Loss	% of Value	Current Yield	Est Ann. Income
New York St Dorm Auth St Pers Income Tax Direct PMT Build America Bonds 4.90% Due 03/15/2023	25,000	111 37	27,463 00	27,841.50	378 50	0 42	4 40	1,225
Ohio State Build America Bonds-Infral Impt B Direct PMT 4 772% Due 09/01/2023	40,000	111 54	42,792 27	44,617 20	1,824 93	0 67	4.28	1,909
PA ST Build America Bonds-Taxable Third Ser B-Issuer Subsidy Direct Pay 4 75% Due 07/15/2022	50,000	109 43	52,588.26	54,716 00	2,127 74	0 83	4 34	2 375
Pepsico Inc Notes, 5 15% Due 05/15/2012	35,000	102.06	35,757.36	35,720.86	(36 50)	0 54	5.05	1,803
Toronto Dominion Bank 2 375% Due 10/19/2016	40,000	100 97	40,602.65	40,387 80	(214 85)	0 61	2 35	950
① U S Treasury Bonds, 6.250%, Due 05/15/30	25,000	151 05	32,560 11	37,761 73	5,201 62	0.57	4 14	1,563
U S Treasury Infl Index Notes, 3 375%, Due 01/15/12	25,000	127 91	31,491 59	31,977 95	486 36	0.48	3.37	1,078
United Parcel Service 5.125% Due 4/1/19 Due on 4/1/19	30,000	119.53	35,688 36	35,858 79	170 43	0.54	4 29	1,538
US Treasury N/B 2.125% Due on 01/15/19	30,000	125 24	37,376.81	37,571 34	194 53	0 57	1 79	674
US Treasury N/B 3 75% Due 08/15/2041	70,000	113.78	77,190 94	79,646 84	2,455.90	1.20	3 30	2,625
US Treasury N/B 1.75% Due 04/15/2013	35,000	102.13	35,627.16	35,743 75	116.59	0 54	1 71	613
① US Treasury N/B 2.625% Due 11/15/2020	75,000	105.86	77,781 47	79,394 55	1,613 08	1 20	2 48	1 969
US Treasury N/B 4 25% Due 11/15/2017	50,000	117.56	55,783.14	58,781 25	2,998.11	0.89	3 62	2,125
US Treasury Note Inflation Indexed 1 625%, Due 01/15/2015	50 000	128 83	62,941 22	64,416 99	1,475 77	0.97	1.50	965
② Vanguard GNMA Fund-ADM mutual fund	26,318	11 16	288,354 94	293,711 56	5,356 62	4 44	3.01	8,841
Verizon Communications 6.35% Due 4/01/19 Due on 4/01/19	15,000	119 33	18,289 42	17,899 71	(389 71)	0.27	5 32	953
Wal-Mart Stores Inc. 5 8% 2/15/18	35,000	120.99	41,295 39	42,348 22	1,052 83	0 64	4.79	2,030
Walgreen Co. 5.25% Due 01/15/2019	35,000	117 67	40,230 84	41,184 15	953 31	0 62	4 46	1,836
Wells Fargo & Company, 5.625% Due 12/11/2017	15,000	112 28	16,928 25	16,842.00	(86 25)	0 25	5 01	844
Total North America			1,828,952.38	1,859,168.50	30,216 12	28 12	3 67	68,182
United Kingdom								
Diageo Cap Plc 5 750% due 10/23/17	15,000	116 70	17,334 47	17 504 81	170.34	0.26	4.93	863
Total Fixed Income			1,846,286 85	1,876,673.31	30,386 46	28 39	3.68	69,044
Equity	less ①		410,752.44	425,294.40				
North America	less ②		288,354 94	293,711.56				
	Corporate bonds		1,147,179.47	1,157,667.35				
Rockefeller & Co U.S Small Capitalizat Fund (LP)			213,368 e	458,563.69				
Multi Markets Fund								
Rockefeller Global Equity Fund I, L P. (LP)			2,768,765 ›	2,930,258.72				

e - Cost basis is estimated tax cost

U.S. Gov't

	Cost	Mkt
∑① =	410,752 44	425,294 40

Vilcek Foundation Consolidated Reporting (R9739T)

Period Ending November 30, 2011

Detailed Statement of Assets

Security Name	Shares	Price (US)	Cost (US)	Market Value	Unrealized Gain/Loss	% of Value	Current Yield	Est. Ann. Income
Hedge								
North America								
Rockefeller Financial Capital Alpha Sele (LP)			250,000 e	242,057.04				
Rockefeller Opportunistic Credit Fund, L LLC (LP)			250,000 e	246 867.56				
Total North America			500,000	488,924 60				
Venture Capital								
North America								
Pockefeller Access Fund 06-I, LLC (LP)			615,667.62	707 903 31				

e - Cost basis is estimated tax cost.

Statement Period: 11/01/2011 - 11/30/2011

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income **11.00% of Portfolio** *(In Maturity Date Sequence)*									
Corporate Bonds									
GENERAL ELEC CAP CORP MEDIUM TERM NTS TRANCHE # TR 00521 5 875% 02/15/12 B/E DTD 02/15/02 1ST CPN DTE 08/15/02CPN PMT SEMI ANNUAL ON FEB 15 AND AUG 15 Moody Rating AA2 S & P Rating AA+		*Security Identifier:* 36962GXS8							
100,000 000	09/10/02*	106 0720	[3]100,768 43	101 0160	101,016.00	247.57	1,713.54	5,875 00	5 81%
Original Cost Basis. $106,072 00									
WELLS FARGO & CO NEW SUB NT 5 125% 09/15/16 B/E DTD 09/15/04 1ST CPN DTE 03/15/05 CPN PMT SEMI ANNUALON MAR 15 AND SEP 15 Moody Rating A3 S & P Rating A		*Security Identifier.* 949746JE2							
200,000 000	11/02/07*	98 4800	[3]197,929.35	105.4920	210,984 00	13,054 65	2,135 42	10,250.00	4.85%
Original Cost Basis· $196,960 00									
GENERAL ELEC CAP CORP INTERNOTES INTERNOTES 5.625% 12/15/17 B/E DTD 12/12/02 CALLABLE 11/30/11@ 100 000 1ST CPN DTE 03/15/03 CPN PMT QUARTERLY ON M,J,S,D 15 Moody Rating AA2 S & P Rating AA+		*Security Identifier* 36966RAJ5							
80,000.000	12/09/02*	100 0000	[3]80,000 00	98.3360	78,668 80	-1,331 20	937 50	4,500.00	5.72%
Original Cost Basis: $80,000 00									
BERKSHIRE HATHAWAY FIN CORP BD SR NT ISIN#US084664BE04 5 400% 05/15/18 B/E DTD 11/15/08 CALLABLE1ST CPN DTE 05/15/09 CPN PMT SEMI ANNUAL ON MAY 15 AND NOV 15 Moody Rating AA2 S & P Rating AA+		*Security Identifier:* 084664BE0							
200,000 000	03/25/09*	100 8650	[3]201,434.56	113 8410	227,682 00	26,247 44	450 00	10,800 00	4.74%
Original Cost-Basis. $201,730 00									

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Corporate Bonds *(continued)*									
CREDIT SUISSE MEDIUM TERM SUB NTS SR NT 5 400% 01/14/20 B/E DTD 01/14/10 FOREIGN SECURITY 1ST CPN DTE 07/14/10CPN PMT SEMI ANNUAL ON JAN 14 AND JUL 14 Moody Rating AA2 S & P Rating BBB+			*Security Identifier* 22546QAD9						
106,000 000	07/08/10*	101.4080	$107,416 37	91.5600	97,053 60	-10,362 77	2,162 40	5,724 00	5 89%
Original Cost Basis $107,492 48									
MORGAN STANLEY FIXED RT GLOBAL MEDIUM TERM NT SER F 5 500% 01/26/20 B/E DTD 01/26/10 1ST CPN DTE 07/26/10CPN PMT SEMI ANNUAL ON JAN 26 AND JUL 26 Moody Rating A2 S & P Rating A-			*Security Identifier* 61747YCM5						
200,000 000	09/24/10*	101.9280	$203,725.22	88 1330	176,266 00	-27,459 22	3,788 89	11,000 00	6.24%
Original Cost Basis $203,856 00									
GOLDMAN SACHS GROUP INC MEDIUM TERM NTS FIXED RT SER D 5 375% 03/15/20 B/E DTD 03/08/10 1ST CPN DTE 09/15/10CPN PMT SEMI ANNUAL ON MAR 15 AND SEP 15 Moody Rating A1 S & P Rating A-			*Security Identifier* 38141EA58						
210,000 000	04/06/10*	99 6090	$209,235 03	94 3400	198,114 00	-11,121 03	2,351 56	11,287 50	5 69%
Original Cost Basis $209,178 90									
BANK AMER CORP SR INTERNOTES FIXED RATE NOTES 5 550% 06/15/20 B/E DTD 06/24/10 1ST CPN DTE 12/15/10CPN PMT SEMI ANNUAL ON JUN 15 AND DEC 15 Moody Rating BAA1 S & P Rating A-			*Security Identifier* 06050WDD4						
100,000.000	07/01/10*	101.5080	$101,341 19	85 5580	85,558 00	-15,783 19	2,543 75	5,550 00	6 48%
Original Cost Basis $101,508 00									
BNP PARIBAS BNP PARIBAS US MEDIUM TERM NT ISIN#US05567LT315 5.000% 01/15/21 B/E DTD 01/18/11FOREIGN SECURITY 1ST CPN DTE 07/15/11 CPN PMT SEMI ANNUAL ON JAN 15 AND JUL 15 Moody Rating AA2 S & P Rating AA-			*Security Identifier* 05567LT31						
150,000 000	06/30/11*	101.8790	152,723 95	100 7740	151,161.00	-1,562 93	2,812 50	7,500 00	4 96%
Original Cost Basis $152,818.50									

Statement Period: 11/01/2011 - 11/30/2011

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Corporate Bonds *(continued)*									
MBIA INC SR NT 6.400% 08/15/22 B/E DTD 08/22/02 CALLABLE 11/30/11 @ 100 000 1ST CPN DTE 11/15/02CPN PMT QUARTERLY ON F,M,A,N 15 Moody Rating BA3 S & P Rating B-			*Security Identifier* 55262CAH3						
200,000 000	04/09/07*	99 9500	$199,916 88	69.3490	138,698 00	-61,218 88	533 33	12,800.00	9.22%
Original Cost Basis $199,900 00									
GENERAL ELEC CAP CORP MEDIUM TERM NTS MEDIUM TERM NOTES 5 000% 04/30/24 B/E DTD 04/30/04 CALLABLE 04/30/12@ 100.000 1ST CPN DTE 11/01/04 CPN PMT SEMI ANNUAL ON MAY 01 AND NOV 01 Moody Rating AA2 S & P Rating AA+			*Security Identifier.* 36962GH98						
175,000 000	09/23/05*	98 9000	$173,075.00	98 6270	172,597.25	-477 75	775 35	8,750 00	5 06%
Original Cost Basis $173,075.00									
Total Corporate Bonds **1,721,000.000**			**$1,727,566.01**		**$1,637,798.65**	**-$89,767.36**	**$20,204.24**	**$94,036.50**	
Total Fixed Income **1,721,000.000**			**$1,727,566.01**		**$1,637,798.65**	**-$89,767.36**	**$20,204.24**	**$94,036.50**	

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Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 7.00% of Portfolio								
Preferred Stocks *(Listed by expiration date)*								
ASSURED GTY MUN HLDGS INC NT CUSIP: 04623A304 Dividend Option: Cash			*Security Identifier* AGO PRE					
4,400.000	09/03/10*	22 4580	$98,813 00	23 1500	101,860.00	3,047.00	6,864.00	6.73%

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Preferred Stocks *(continued)*								
BAC CAP TR TX 6.25% MAT 3/29/55 CAP SEC PFD CALLABLE 3/29/11 DTD 3/28/06 CUMULATIVE CUSIP 055189203 Dividend Option Cash			*Security Identifier* BAC PRB					
6,000 000	11/15/06*	24.8650	$149,190 00	20 0900	120,540 00	-28,650 00	9,375.00	7 77%
BARCLAYS BK PLC 8.125% SPON ADR PREF SHS SER 5 CALLABLE 6/15/13@25 00 CUSIP 06739H362 Dividend Option. Cash			*Security Identifier.* BCS PRD					
3,900 000	01/19/11*	25 4270	$99,166 47	22 7000	88,530 00	-10,636 47	7,921 87	8.94%
GOLDMAN SACHS GROUP INC NT 6 1/8% EXP 11/01/2060 CUSIP 38145X111 Dividend Option Cash			*Security Identifier* GSF					
4,000 000	10/26/10*	25 0000	$100,000 00	24 4400	97,760 00	-2,240 00	6,125 00	6 26%
HSBC HLDGS PLC PERP SUB CAP SECS EXCH PREF SHS SER 2 8% ISIN#US4042808026 CALLABLE CUSIP 404280802 Dividend Option Cash			*Security Identifier* HCS PRB					
6,000 000	06/17/10*	25 0000	$150,000 00	25 8000	154,800 00	4,800 00	12,000 00	7 75%
LLOYDS BANKING GROUP PLC PUBLIC INCOME NT-PINES 7 75 % 07/15/50 SERIES CALL 7/15/15 @ 25 ISIN#US5394398029 CUSIP 539439802 Dividend Option Cash			*Security Identifier* LYG PRA					
4,000 000	06/30/10*	25 0000	$100,000 00	25 5100	102,040 00	2,040 00	7,680 00	7 52%
MORGAN STANLEY CAP 6.60% TR VII CAP SEC MAT 10/15/2066 CALLABLE 10/15/11 @25 00 CUSIP 61750K208 Dividend Option Cash			*Security Identifier* MSZ					
4,400 000	11/28/06*	25 6100	$112,684 00	21 4600	94,424 00	-18,260.00	7,260 00	7.68%
SAFETY FIRST TR SER 2008-4 PRINCIPAL PROTECTED TR CTFS LKD TO EXP 10/10/13 CUSIP 78647A102 Dividend Option Cash			*Security Identifier* AHY					
10,000.000	09/24/08*	10 0000	$100,000 00	10 1700	101,700 00	1,700 00		

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Preferred Stocks *(continued)*								
VORNADO RLTY-LP PUBLIC INCOME NT			*Security Identifier* VNOD					
REIT 7.875% 10/01/39 CALLABLE 10/01/14@25								
CUSIP: 929043602								
Dividend Option: Cash								
3,900 000	05/12/10*	25 5000	$99,450 00	27 2100	106,119.00	6,669 00	7,678 12	7.23%
1,953 000	07/09/10*	25 5900	$49,977.27	27 2100	53,141.13	3,163 86	3,844 97	7.23%
5,853.000	**Total**		**$149,427.27**		**$159,260.13**	**$9,832.86**	**$11,523.09**	
Total Preferred Stocks			**$1,059,280.74**		**$1,020,914.13**	**-$38,366.61**	**$68,748.96**	
Total Equities			**$1,059,280.74**		**$1,020,914.13**	**-$38,366.61**	**$68,748.96**	

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds 63.00% of Portfolio								
Mutual Funds								
INVESCO DIVERSIFIED DIVIDEND FUND CLASS Y			*Security Identifier* LCEYX					
CUSIP: 00141B204								
Open End Fund								
Dividend Option: Reinvest; Capital Gains Option Reinvest								
12,184.165	01/26/09*	8.2070	$100,000 00	11 9100	145,113.40	45,113 40	3,232 46	2 22%
86.911	03/20/09*	7 3670	$640.24	11.9100	1,035 10	394 86	23 06	2.22%
63 898	06/19/09*	8 9380	$571.12	11.9100	761.02	189 90	16.95	2.22%
11,644.540	07/10/09*	8 5880	$100,000.00	11.9100	138,686.47	38,686 47	3,089.30	2.22%
83 792	09/21/09*	10 5700	$885.64	11 9100	997.96	112 32	22 23	2.22%
107.343	12/11/09*	10.8600	$1,165 71	11 9100	1,278 45	112 74	28 48	2 22%
105.208	03/18/10*	11.5200	$1,212 04	11 9100	1,253 03	40 99	27.91	2 22%
8,865 142	06/15/10*	11.2800	$100,000 00	11 9100	105,583 83	5,583.83	2,351.92	2 22%
148.645	06/17/10*	11 1800	$1,661 86	11 9100	1,770.37	108 51	39.44	2.22%
136 603	09/16/10*	11 2200	$1,532 70	11 9100	1,626 94	94 24	36.24	2 22%
273 028	12/03/10*	11 9000	$3,249 03	11 9100	3,251 76	2 73	72.43	2.22%
26,054 591	11/08/11*	12 0900	315,000 00	11 9100	310,310 18	-4,689.82	6,912.28	2.22%

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Accounts carried by Pershing LLC, member FINRA, NYSE, SIPC.

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds *(continued)*								
Mutual Funds *(continued)*								
INVESCO DIVERSIFIED DIVIDEND FUND CLASS *(continued)*								
470.163	Reinvestments to Date*	12.1030	5,690.42	11.9100	5,599.64	-90.78	124.73	2.22%
60,224.028	**Total**		**$631,608.76**		**$717,268.17**	**$85,659.39**	**$15,977.43**	
INVESCO CHARTER FUND CLASS Y			*Security Identifier* CHTYX					
CUSIP 00141B303								
Open End Fund								
Dividend Option Reinvest, Capital Gains Option Reinvest								
6,479.787	09/04/08*	15.4330	[3]100,000.00	16.3700	106,074.11	6,074.11	722.50	0.68%
88.231	12/12/08*	11.3560	[3]1,001.95	16.3700	1,444.33	442.38	9.84	0.68%
2,535.129	01/26/09*	11.0450	[3]28,000.00	16.3700	41,500.06	13,500.06	282.67	0.68%
8,645.341	04/09/09*	11.5670	[3]100,000.00	16.3700	141,524.23	41,524.23	963.95	0.68%
110.345	12/11/09*	14.8900	[3]1,643.08	16.3700	1,806.34	163.26	12.30	0.68%
6,974.392	07/08/10*	14.3380	[3]100,000.00	16.3700	114,170.79	14,170.79	777.64	0.68%
174.145	12/10/10*	15.9000	[3]2,768.91	16.3700	2,850.75	81.84	19.42	0.68%
25,007.368	**Total**		**$333,413.94**		**$409,370.61**	**$75,956.67**	**$2,788.32**	
INVESCO INTERNATIONAL SMALL			*Security Identifier* IEGYX					
COMPANY CLASS Y								
CUSIP 00141L780								
Open End Fund								
Dividend Option Reinvest, Capital Gains Option Reinvest								
3,346.405	05/26/09*	11.9530	[3]40,000.00	18.1900	60,871.10	20,871.10	626.78	1.02%
38.118	12/14/09*	14.7230	[3]561.22	18.1900	693.37	132.15	7.14	1.02%
3,421.676	06/15/10*	14.6130	[3]50,000.00	18.1900	62,240.29	12,240.29	640.88	1.02%
70.082	12/10/10*	18.1900	[3]1,274.79	18.1900	1,274.79	0.00	13.12	1.02%
6,876.281	**Total**		**$91,836.01**		**$125,079.55**	**$33,243.54**	**$1,287.92**	
INVESCO REAL ESTATE FUND CLASS Y			*Security Identifier:* IARYX					
CUSIP 00142C144								
Open End Fund								
Dividend Option Reinvest, Capital Gains Option Reinvest								
7,270.965	09/03/10*	20.6300	[3]150,000.00	21.8900	159,161.42	9,161.42	2,090.40	1.31%
27.209	09/16/10*	20.4700	[3]556.97	21.8900	595.61	38.64	7.82	1.31%
31.748	12/03/10*	21.0800	[3]669.25	21.8900	694.96	25.71	9.13	1.31%
3,318.584	06/16/11*	22.6000	75,000.00	21.8900	72,643.80	-2,356.20	954.09	1.31%
2,046.664	07/22/11*	24.4300	50,000.00	21.8900	44,801.47	-5,198.53	588.42	1.31%
78.954	Reinvestments to Date*	22.0090	1,737.7	21.8900	1,728.30	-9.41	22.70	1.31%
12,774.124	**Total**		**$277,963.93**		**$279,625.57**	**$1,661.63**	**$3,672.56**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds *(continued)*								
Mutual Funds *(continued)*								
INVESCO MID CAP CORE EQUITY FUND		*Security Identifier.* GTAYX						
CLASS Y								
CUSIP· 00888U514								
Open End Fund								
Dividend Option. Reinvest, Capital Gains Option Reinvest								
Ratings CITI-IDB CA								
138 960	07/10/09*	16 9180	[3]2,350.90	22.2100	3,086 31	735 41	2 04	0.06%
16 766	12/11/09*	20 5980	[3]345.34	22.2100	372 36	27 02	0.25	0.06%
11,910.835	12/22/09*	20 9890	[3]250,000.00	22.2100	264,539 65	14,539 65	175.08	0 06%
4,399 472	11/04/10*	22 7300	[3]100,000.00	22.2100	97,712.27	-2,287 73	64 67	0.06%
22.118	12/10/10*	22 9600	[3]507 83	22.2100	491 24	-16.59	0.33	0.06%
577.037	12/10/10*	22 9600	[3]13,248.77	22.2100	12,816 00	-432.77	8.48	0.06%
17,065.188	**Total**		**$366,452.84**		**$379,017.83**	**$12,564.99**	**$250.85**	
INVESCO INTERNATIONAL		*Security Identifier* AIIYX						
GROWTH FUND CLASS Y								
CUSIP: 008882532								
Open End Fund								
Dividend Option. Reinvest, Capital Gains Option Reinvest								
4,871 740	05/26/09*	20 5270	[3]100,000.00	26 2200	127,737.03	27,737.03	1,613.03	1.26%
4,927.100	06/23/09*	20 2960	[3]100,000 00	26.2200	129,188 57	29,188.57	1,631 36	1 26%
117.650	12/11/09*	24 5380	[3]2,886 85	26.2200	3,084 78	197.93	38.95	1.26%
4,177 818	06/15/10*	23 9360	[3]100,000 00	26.2200	109,542 40	9,542 40	1,383.28	1 26%
172 519	12/10/10*	27.0500	[3]4,666.64	26.2200	4,523 45	-143 19	57 12	1.26%
3,629 764	03/18/11*	27.5500	100,000.00	26.2200	95,172 41	-4,827 59	1,201.82	1.26%
17,896.592	**Total**		**$407,553.49**		**$469,248.64**	**$61,695.15**	**$5,925.56**	
INVESCO ASIA PACIFIC GROWTH FUND		*Security Identifier* ASIYX						
CLASS Y								
CUSIP. 008882581								
Open End Fund								
Dividend Option Reinvest; Capital Gains Option. Reinvest ·								
2,986.065	10/07/10*	30 1400	[3]90,000.00	28 3000	84,505 64	-5,494 36	871 03	1 03%
29.279	12/10/10*	29.7500	[3]871.05	28 3000	828 60	-42 45	8.54	1 03%

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds *(continued)*								
Mutual Funds *(continued)*								
INVESCO ASIA PACIFIC GROWTH FUND *(continued)*								
25 494	12/10/10*	29 7500	[3]758 45	28 3300	721 48	-36 97	7 44	1 03%
3,040.838	**Total**		**$91,629.50**		**$86,055.72**	**-$5,573.78**	**$887.01**	
ALLIANCE BERNSTEIN HIGH INCOME FUND ADVISOR CLASS CUSIP 01859M408 Open End Fund Dividend Option Cash, Capital Gains Option Cash			*Security Identifier* AGDYX					
16,854 101	09/07/10*	8 9000	[3]150,000 00	8 4900	143,091 31	-6,908 69	11,466 21	8 01%
10,928 962	03/18/11*	9 1500	100,000.00	8 4900	92,786 89	-7,213 11	7,435 21	8.01%
10,976 948	06/16/11*	9 1100	100,000 00	8 4900	93,194 29	-6,805 71	7,467 86	8 01%
38,760.011	**Total**		**$350,000.00**		**$329,072.49**	**-$20,927.51**	**$26,369.28**	
BLACKROCK BUILD AMER BD TR SHS CUSIP 09248X100 Closed End Fund Dividend Option Cash, Capital Gains Option Cash			*Security Identifier* BBN					
5,000 000	08/26/10*	20 0000	[3]100,000 00	20 5200	102,600 00	2,600 00	7,788 00	7 59%
31 665	11/16/10*	18 6800	[3]591 50	20 5200	649.76	58 26	49 32	7 59%
5,031.665	**Total**		**$100,591.50**		**$103,249.76**	**$2,658.26**	**$7,837.32**	
COHEN & STEERS PREFERRED SECURITIES INCOME FUND CLASS I CUSIP 19248X307 Open End Fund Dividend Option Reinvest, Capital Gains Option: Reinvest			*Security Identifier* CPXIX					
8,025 682	06/10/11*	12 4600	100,000 00	11 5400	92,616 37	-7,383 63	6,837 87	7.38%
240 292	Reinvestments to Date*	11 9960	2,882 51	11 5400	2,772.97	-109 54	204 73	7 38%
8,265.974	**Total**		**$102,882.51**		**$95,389.34**	**-$7,493.17**	**$7,042.60**	
FRANKLIN UTILITIES FUND ADVISOR CLASS CUSIP 353496854 Open End Fund Dividend Option Reinvest, Capital Gains Option Reinvest			*Security Identifier* FRUAX					
19,685 039	09/20/11*	12 7000	250,000 00	13 1400	258,661 41	8,661 41	8,627 95	3 33%
7,575 758	11/08/11*	13 2000	100,000.00	13 1400	99,545 46	-454 54	3,320.45	3 33%
27,260.797	**Total**		**$350,000.00**		**$358,206.87**	**$8,206.87**	**$11,948.40**	
THE HARTFORD MIDCAP FUND CLASS I CUSIP 41664M870 Open End Fund Dividend Option Reinvest, Capital Gains Option Reinvest			*Security Identifier* HFMIX					
5,058 096	05/04/09*	14.8280	[3]75,000.00	17 9900	90,995 15	15,995 15		
3,550 174	07/10/09*	14 0840	[3]50,000 00	17.9900	63,867 63	13,867 63		

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds *(continued)*								
Mutual Funds *(continued)*								
THE HARTFORD MIDCAP FUND CLASS I *(continued)*								
5,183.735	06/15/10*	19.2910	$100,000.00	17.9900	93,255.40	-6,744.60		
2,013.149	Reinvestments to Date*	17.1100	34,444.98	17.9900	36,216.55	1,771.57		
15,805.155	**Total**		**$259,444.98**		**$284,334.74**	**$24,889.75**	**$0.00**	
THE HARTFORD FLOATING RATE FD		Security Identifier HFLIX						
CLASS I								
CUSIP 416649804								
Open End Fund								
Dividend Option. Reinvest, Capital Gains Option Reinvest								
19,382.611	06/24/09*	7.7390	$150,000.00	8.5700	166,108.98	16,108.98	8,650.05	5.20%
6.047	07/01/09*	7.7790	$47.04	8.5700	51.83	4.79	2.70	5.20%
89.204	08/03/09*	7.9890	$712.67	8.5700	764.48	51.81	39.81	5.20%
88.733	09/01/09*	8.1090	$719.56	8.5700	760.44	40.88	39.60	5.20%
94.338	10/01/09*	8.2800	$781.08	8.5700	808.48	27.40	42.10	5.20%
106.324	11/02/09*	8.3100	$883.50	8.5700	911.19	27.69	47.45	5.20%
91.361	12/01/09*	8.3000	$758.25	8.5700	782.96	24.71	40.77	5.20%
88.723	01/04/10*	8.4800	$752.35	8.5700	760.36	8.01	39.60	5.20%
89.872	02/01/10*	8.5800	$771.09	8.5700	770.20	-0.89	40.11	5.20%
87.004	03/01/10*	8.5800	$746.48	8.5700	745.62	-0.86	38.83	5.20%
46,136.284	03/12/10*	8.6700	$400,000.00	8.5700	395,387.96	-4,612.04	20,589.65	5.20%
180.937	04/01/10*	8.7400	$1,581.40	8.5700	1,550.63	-30.77	80.75	5.20%
292.538	05/03/10*	8.8300	$2,583.16	8.5700	2,507.05	-76.11	130.55	5.20%
305.119	06/01/10*	8.5600	$2,611.77	8.5700	2,614.87	3.10	136.17	5.20%
304.298	07/01/10*	8.5000	$2,586.46	8.5700	2,607.83	21.37	135.80	5.20%
298.902	08/02/10*	8.6400	$2,582.49	8.5700	2,561.59	-20.90	133.39	5.20%
316.484	09/01/10*	8.6400	$2,734.40	8.5700	2,712.27	-22.13	141.24	5.20%
79.930	10/01/10*	8.7200	$696.99	8.5700	685.00	-11.99	35.67	5.20%
297.858	11/01/10*	8.8200	$2,627.11	8.5700	2,552.65	-74.46	132.93	5.20%
300.177	12/01/10*	8.8100	$2,644.56	8.5700	2,572.52	-72.04	133.96	5.20%
315.914	01/03/11*	8.8800	$2,805.32	8.5700	2,707.38	-97.94	140.99	5.20%
297.056	02/01/11*	9.0000	$2,673.50	8.5700	2,545.77	-127.73	132.57	5.20%
199.453	03/01/11*	8.9900	$1,793.08	8.5700	1,709.31	-83.77	89.01	5.20%
9.497	03/18/11*	8.9900	$85.38	8.5700	81.39	-3.99	4.24	5.20%

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds *(continued)*								
Mutual Funds *(continued)*								
THE HARTFORD FLOATING RATE FD *(continued)*								
2,421.447	Reinvestments to Date*	8 7570	21,204.35	8.5700	20,751 80	-452.55	1,080 64	5.20%
71,880.111	**Total**		**$605,381.99**		**$616,012.55**	**$10,630.57**	**$32,078.58**	
THE HARTFORD SMALL COMPANY FUND CLASS I CUSIP. 416649838 Open End Fund Dividend Option Reinvest, Capital Gains Option Reinvest			*Security Identifier* IHSIX					
11,759.540	03/12/10*	17 0070	[3]200,000 00	18 0800	212,612 49	12,612 49		
669 045	Reinvestments to Date*	17.2400	11,534 34	18 0800	12,096 33	561 99		
12,428.585	**Total**		**$211,534.34**		**$224,708.82**	**$13,174.48**	**$0.00**	
LOOMIS SAYLES BOND FUND INSTL CLASS CUSIP. 543495840 Open End Fund Dividend Option Reinvest, Capital Gains Option Reinvest Ratings CITI-IDB CA			*Security Identifier* LSBDX					
13,477 089	06/10/11*	14 8400	200,000 00	13 9600	188,140 16	-11,859.84	10,770 88	5 72%
361.273	Reinvestments to Date*	14 4140	5,207.30	13 9600	5,043 37	-163 93	288 73	5 72%
13,838.362	**Total**		**$205,207.30**		**$193,183.53**	**-$12,023.77**	**$11,059.61**	
LORD ABBETT INVESTMENT TRUST INCOME FUND CLASS F CUSIP 543916365 Open End Fund Dividend Option. Reinvest; Capital Gains Option Reinvest			*Security Identifier* LAUFX					
86,805.556	09/20/11*	2.8800	250,000 00	2 8400	246,527 78	-3,472.22	13,295 13	5 39%
493 778	Reinvestments to Date*	2.8800	1,421.86	2 8400	1,402 33	-19.53	75 63	5 39%
87,299.334	**Total**		**$251,421.86**		**$247,930.11**	**-$3,491.75**	**$13,370.76**	
LORD ABBETT SHORT DURATION INCOME FD CLASS F CUSIP 543916464 Open End Fund Dividend Option Reinvest, Capital Gains Option Reinvest Ratings CITI-IDB CA			*Security Identifier* LDLFX					
21,975 529	03/12/10*	4 5900	[3]100,867 68	4 5200	99,329 39	-1,538 29	4,515 73	4 54%
115.647	04/01/10*	4 5900	[3]530 82	4 5200	522.72	-8 10	23 76	4 54%
239 599	05/03/10*	4 6100	[3]1,104.55	4 5200	1,082 99	-21 56	49 23	4 54%
233 362	06/01/10*	4 5800	[3]1,068 80	4.5200	1,054 79	-14.01	47 95	4.54%
236 264	07/01/10*	4 5900	[3]1,084.45	4 5200	1,067 91	-16 54	48 55	4 54%
230 197	08/02/10*	4 6300	[3]1,065 81	4 5200	1,040.49	-25 32	47 30	4 54%
233.748	09/01/10*	4 6400	[3]1,084 59	4.5200	1,056.54	-28 05	48 03	4 54%

Statement Period: 11/01/2011 - 11/30/2011

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds *(continued)*								
Mutual Funds *(continued)*								
LORD ABBETT SHORT DURATION INCOME FD *(continued)*								
43,103 448	09/03/10*	4 6400	[3]200,000.00	4.5200	194,827.58	-5,172 42	8,857.28	4 54%
108 770	10/01/10*	4.6500	[3]505 78	4.5200	491.64	-14 14	22.35	4 54%
403 749	11/01/10*	4 6700	[3]1,885 51	4.5200	1,824 94	-60 57	82 97	4 54%
401 310	12/01/10*	4 6400	[3]1,862.08	4.5200	1,813 92	-48 16	82 46	4 54%
583 466	12/17/10*	4 5900	[3]2,678 11	4 5200	2,637.27	-40 84	119.90	4 54%
26.521	12/17/10*	4 5900	[3]121.73	4 5200	119 87	-1 86	5 45	4 54%
408.209	01/03/11*	4 6000	[3]1,877.76	4 5200	1,845 10	-32 66	83 88	4 54%
403.510	02/01/11*	4.6100	[3]1,860 18	4.5200	1,823 87	-36 31	82 92	4.54%
308 605	03/01/11*	4 6100	[3]1,422 67	4.5200	1,394 89	-27 78	63 41	4.54%
2,507 790	Reinvestments to Date*	4.5820	11,490.49	4.5200	11,335.21	-155.28	515.32	4 54%
71,519.724	**Total**		**$330,511.01**		**$323,269.15**	**-$7,241.89**	**$14,696.51**	
METROPOLITAN WEST TOTAL RETURN BOND			*Security Identifier.* MWTRX					
FUND CLASS M								
CUSIP: 592905103								
Open End Fund								
Dividend Option. Reinvest; Capital Gains Option Reinvest								
Ratings· CITI-IDB· CF								
19,011.407	06/10/11*	10 5200	200,000.00	10.3800	197,338 41	-2,661 59	8,728.24	4 42%
327 373	Reinvestments to Date*	10.4850	3,432 38	10.3800	3,398 13	-34 25	150.30	4 42%
19,338.780	**Total**		**$203,432.38**		**$200,736.54**	**-$2,695.84**	**$8,878.54**	
MUTUAL GLOBAL DISCOVERY CLASS Z			*Security Identifier* MDISX					
CUSIP: 628380404								
Open End Fund								
Dividend Option: Reinvest, Capital Gains Option. Reinvest								
5,646 431	09/16/09*	26 5650	[3]150,000 00	27 7400	156,631 99	6,631 99	3,189 10	2.03%
3,723 093	12/01/09*	26.8590	[3]100,000 00	27 7400	103,278 61	3,278 61	2,102 80	2 03%
3,703.528	12/22/09*	27.0010	[3]100,000 00	27 7400	102,735 86	2,735 86	2,091 75	2.03%
80 868	12/23/09*	26 7280	[3]2,161 42	27.7400	2,243.29	81 87	45 67	2.03%
3 479	09/09/10*	27 9750	[3]97.32	27 7400	96 50	-0 82	1.96	2.03%
252 019	12/22/10*	29.3200	[3]7,389.20	27 7400	6,991 01	-398 19	142.34	2.03%
335.761	Reinvestments to Date*	26 8100	9,001.74	27.7400	9,314 01	312.27	189.64	2.03%
13,745.179	**Total**		**$368,649.68**		**$381,291.27**	**$12,641.59**	**$7,763.27**	

Attachment B 15/73

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#1 Brokerage Statement, 2009, 2010
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Accounts carried by Pershing LLC, member FINRA, NYSE, SIPC

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds *(continued)*								
Mutual Funds *(continued)*								
OPPENHEIMER QUEST OPPORTUNITY VALUE FUND CLASS Y CUSIP 68380E841 Open End Fund Dividend Option. Reinvest, Capital Gains Option Reinvest			*Security Identifier* QOPYX					
4,526 626	01/26/09*	22 0920	[3]100,000.00	25.3600	114,795.24	14,795 24		
3,201 055	05/04/09*	23 4300	[3]75,000 00	25.3600	81,178 76	6,178 76		
150 433	12/29/10*	26 7860	[3]4,029 42	25 3600	3,814 97	-214 45		
7,878.114	**Total**		**$179,029.42**		**$199,788.97**	**$20,759.55**	**$0.00**	
OPPENHEIMER RISING DIVIDENDS FUND CLASS Y CUSIP 68380H406 Open End Fund Dividend Option Reinvest, Capital Gains Option Reinvest			*Security Identifier* OYRDX					
2,320.758	06/16/08*	17.2360	[3]40,000 00	16 0900	37,341 00	-2,659 00	572.32	1.53%
8 768	07/01/08*	16 3450	[3]143 31	16 0900	141 07	-2.24	2.16	1.53%
3,735.963	09/04/08*	16 0600	[3]60,000.00	16 0900	60,111.64	111.64	921 33	1.53%
19 625	09/26/08*	15 8450	[3]310.96	16 0900	315 76	4 80	4 84	1.53%
34.162	12/18/08*	12.2370	[3]418 03	16 0900	549.66	131 63	8.42	1.53%
29 107	03/20/09*	10 8770	[3]316 60	16 0900	468 33	151 73	7.18	1 53%
6,206 851	05/04/09*	12 0830	[3]75,000.00	16 0900	99,868.23	24,868 23	1,530 67	1.53%
8,318 024	05/27/09*	12 0220	[3]100,000 00	16 0900	133,837 01	33,837 01	2,051 31	1.53%
94.284	06/22/09*	12.3080	[3]1,160.48	16.0900	1,517 03	356 55	23 25	1.53%
134 405	09/25/09*	13 4530	[3]1,808 18	16 0900	2,162 57	354 39	33 15	1.53%
112 532	12/17/09*	14 1690	[3]1,594 45	16 0900	1,810 64	216 19	27 75	1 53%
49 836	03/19/10*	14 7210	[3]733.64	16 0900	801 86	68 22	12.29	1.53%
65.690	06/25/10*	13 6270	[3]895 16	16.0900	1,056 95	161 79	16.20	1 53%
118 382	12/17/10*	15 7500	[3]1,864 52	16 0900	1,904 77	40.25	29 19	1 53%
19,183 922	11/08/11*	16 4200	315,000 00	16 0900	308,669 30	-6,330 70	4,730 94	1 53%
212.744	Reinvestments to Date*	15 8670	3,375 71	16 0900	3,423 05	47.34	52 46	1 53%
40,645.053	**Total**		**$602,621.04**		**$653,978.90**	**$51,357.83**	**$10,023.47**	
OPPENHEIMER INTERNATIONAL GROWTH FUND CLASS Y CUSIP: 68380L407 Open End Fund Dividend Option Reinvest; Capital Gains Option Reinvest Ratings CITI-IDB: CA			*Security Identifier* OIGYX					
5,134.996	07/10/09*	19.4740	[3]100,000 00	26.3800	135,461 18	35,461 18	1,412 07	1.04%
4,041 738	12/01/09*	24 7420	[3]100,000 00	26.3800	106,621 06	6,621 06	1,111 44	1.04%
57.670	12/15/09*	24 4130	[3]1,407 88	26.3800	1,521 34	113 46	15 86	1 04%
1,026 213	01/28/11*	28 0400	[3]28,775 00	26.3800	27,071 50	-1,703 50	282.20	1 04%

Statement Period: 11/01/2011 - 11/30/2011

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds *(continued)*								
Mutual Funds *(continued)*								
OPPENHEIMER INTERNATIONAL *(continued)*								
11,401.250	11/08/11*	27 1900	310,000.00	26 3800	300,764 97	-9,235 03	3,135.22	1.04%
21,661.867	**Total**		**$540,182.88**		**$571,440.05**	**$31,257.17**	**$5,956.79**	
OPPENHEIMER INTERNATIONAL BOND			*Security Identifier* OIBYX					
FD CL Y								
CUSIP: 68380T509								
Open End Fund								
Dividend Option Reinvest, Capital Gains Option Reinvest								
Ratings CITI-IDB CA								
33,327.762	06/23/09*	6 0010	[3]200,000 00	6.2900	209,631 62	9,631.62	9,079 01	4 33%
328 021	06/29/09*	6.0610	[3]1,988 11	6.2900	2,063.25	75 14	89.36	4.33%
20.744	07/01/09*	6 0510	[3]125 52	6.2900	130 48	4 96	5.65	4.33%
178 352	08/03/09*	6 2010	[3]1,105 91	6.2900	1,121 83	15.92	48 59	4 33%
171 360	09/01/09*	6 3010	[3]1,079 66	6.2900	1,077 85	-1 81	46 68	4.33%
168 030	10/01/09*	6 5300	[3]1,097.27	6.2900	1,056 91	-40 36	45 77	4.33%
183 919	11/02/09*	6 5300	[3]1,201.03	6.2900	1,156 85	-44.18	50 10	4.33%
159 162	12/01/09*	6.6900	[3]1,064 79	6.2900	1,001 13	-63 66	43.36	4 33%
177 115	12/30/09*	6 3800	[3]1,130 07	6.2900	1,114 05	-16.02	48 25	4.33%
514 172	01/06/10*	6 3800	[3]3,280 64	6.2900	3,234 14	-46 50	140 07	4 33%
14 917	01/26/10*	6 3810	[3]95.18	6.2900	93 83	-1 35	4.06	4.33%
235 402	02/01/10*	6 3310	[3]1,490.22	6.2900	1,480 68	-9 54	64 13	4 33%
220 553	03/01/10*	6.3600	[3]1,402.82	6.2900	1,387.28	-15 54	60 08	4.33%
258.678	04/01/10*	6 4200	[3]1,660 81	6.2900	1,627 08	-33.73	70 47	4 33%
272.987	05/03/10*	6 4300	[3]1,755 40	6 2900	1,717 09	-38.31	74 37	4 33%
128 304	06/01/10*	6 1310	[3]786 61	6.2900	807 03	20 42	34.95	4 33%
127.452	07/01/10*	6.2010	[3]790.29	6.2900	801 67	11.38	34.72	4 33%
119.149	08/02/10*	6 4800	[3]772.12	6.2900	749 45	-22 67	32 46	4 33%
127 957	09/01/10*	6.5300	[3]835 58	6.2900	804.85	-30.73	34 86	4 33%
34.181	10/01/10*	6 8000	[3]232 43	6.2900	215.00	-17.43	9.31	4 33%
137.276	11/01/10*	6.9100	[3]948.58	6.2900	863 47	-85 11	37 40	4 33%
134.728	12/01/10*	6.4700	[3]871 69	6.2900	847 44	-24.25	36 70	4.33%
156 546	12/31/10*	6 5200	[3]1,020 68	6.2900	984 67	-36 01	42.65	4.33%
354.836	12/31/10*	6.5200	[3]2,313.53	6.2900	2,231 92	-81 61	96 66	4 33%
129.536	02/01/11*	6.4600	[3]836 80	6.2900	814 78	-22.02	35.29	4 33%

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds *(continued)*								
Mutual Funds *(continued)*								
OPPENHEIMER INTERNATIONAL BOND *(continued)*								
32 090	03/01/11*	6 4800	^{3}596 74	6 2900	579.25	-17 49	25 09	4 33%
1,055 827	Reinvestments to Date*	6 6300	7,000.22	6 2900	6,641 15	-359 07	287 62	4 33%
38,829.056	**Total**		**$235,482.70**		**$244,234.76**	**$8,752.05**	**$10,577.65**	
OPPENHEIMER DEVELOPING MARKETS			*Security Identifier* ODVYX					
FUND CLASS Y								
CUSIP 683974505								
Open End Fund								
Dividend Option Reinvest, Capital Gains Option Reinvest								
Ratings CITI-IDB CA								
4,579.190	06/29/09*	21 8380	^{3}100,000 00	30 7500	140,810 10	40,810 10	656 33	0.46%
2,887 982	09/16/09*	25 9700	^{3}75 000 00	30 7500	88,805 45	13,805 45	413 93	0 46%
1,773 732	12/01/09*	28 1890	^{3}50,000 00	30 7500	54,542 25	4,542.25	254 23	0 46%
255 728	12/01/09*	28.1890	^{3}7 208 76	30 7500	7,863 63	654 87	36 65	0 46%
38 682	12/08/09*	28.2680	^{3}1 093 47	30 7500	1,189 47	96 00	5 54	0.46%
5,372 180	12/22/09*	27.9220	^{3}150,000 00	30 7500	165,194.53	15,194.53	769.99	0 46%
3,253 558	09/03/10*	30 7360	^{3}100,000 00	30 7500	100,046 90	46 90	466 33	0 46%
74 330	12/22/10*	35.0200	^{3}2,603 04	30.7500	2,285 64	-317 40	10 65	0 46%
7,016 559	01/14/11*	35.6300	^{3}250,000 00	30 7500	215,759 19	-34,240 81	1,005 68	0 46%
1,371.366	05/03/11*	36 4600	50,000 00	30 7500	42,169 50	-7,830 50	196 58	0 46%
26,623.306	**Total**		**$785,905.27**		**$818,666.66**	**$32,761.39**	**$3,815.91**	
TEMPLETON GLOBAL BOND FUND ADVISOR			*Security Identifier* TGBAX					
CLASS								
CUSIP: 880208400								
Open End Fund								
Dividend Option Reinvest, Capital Gains Option Reinvest								
Ratings CITI-IDB CF								
6,281 979	07/24/09*	12 0740	^{3}100,000 00	12 6900	105,098 31	5,098.31	5,251 60	4 99%
30 801	08/20/09*	12 0640	^{3}371.59	12 6900	390.86	19 27	19 53	4 99%
30 339	09/18/09*	12.2940	^{3}372 98	12 6900	385 00	12.02	19.24	4 99%
29 539	10/20/09*	12 6720	^{3}374 33	12 6900	374.85	0.52	18 73	4 99%
29.504	11/19/09*	12 7330	^{3}375 66	12 6900	374.40	-1.26	18 71	4 99%
3,957.963	12/01/09*	12.6330	^{3}50,000 00	12 6900	50,226 55	226 55	2,509.74	4 99%
43 969	12/18/09*	12 6130	^{3}554 58	12 6900	557 97	3.39	27 88	4 99%
43 003	01/21/10*	12.9420	^{3}556 54	12 6900	545 71	-10 83	27 27	4 99%
43 454	02/19/10*	12 8520	^{3}558 47	12 6900	551 42	-7.05	27 55	4 99%
42 517	03/18/10*	13 1810	^{3}560 42	12 6900	539 54	-20.83	26 96	4 99%
41 561	04/20/10*	13 5300	^{3}562 33	12 6900	527 41	-34 92	26 35	4 99%
47.415	05/20/10*	13 2210	^{3}626 88	12 6900	601 70	-25 18	30 07	4 99%
7,697 219	06/15/10*	12.9920	^{3}100,000 00	12 6900	97,677.71	-2,322 29	4,880.81	4 99%
43 434	06/18/10*	12.9920	^{3}629.24	12 6900	614.63	-14 61	30 71	4 99%

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#1 Brokerage Statement, 2009, 2010 DALBAR RATED
Accounts carried by Pershing LLC, member FINRA, NYSE, SIPC

Statement Period: 11/01/2011 - 11/30/2011

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds *(continued)*								
Mutual Funds *(continued)*								
TEMPLETON GLOBAL BOND FUND ADVISOR *(continued)*								
15,370.845	07/08/10*	13 0120	[3]200,000.00	12.6900	195,056 03	-4,943 97	9,746.65	4.99%
136 929	07/20/10*	13 0120	[3]1,781.68	12 6900	1,737 63	-44 05	86 83	4.99%
134 064	08/23/10*	13.3410	[3]1,788.50	12.6900	1,701 27	-87 23	85.01	4.99%
132.975	09/20/10*	13 5000	[3]1,795 19	12.6900	1,687 45	-107 74	84 32	4.99%
138.747	10/20/10*	13 7800	[3]1,911 93	12 6900	1,760 70	-151 23	87.98	4.99%
7,241.130	11/04/10*	13 8100	[3]100,000 00	12 6900	91,889 94	-8,110 06	4,591 60	4.99%
169 593	11/18/10*	13 5500	[3]2,297 99	12 6900	2,152.14	-145 85	107.54	4.99%
603.459	12/20/10*	13.3800	[3]8,074.28	12.6900	7,657 89	-416 39	382.65	4.99%
173 444	01/21/11*	13 5100	[3]2,343 23	12 6900	2,201 00	-142 23	109 98	4 99%
173 264	02/18/11*	13 5000	[3]2,339 06	12 6900	2,198 72	-140 34	109 87	4.99%
1,601 676	Reinvestments to Date*	13 4660	21,568 36	12 6900	20,325 27	-1,243 09	1,015 62	4 99%
46,243.824	**Total**		**$599,443.24**		**$586,834.13**	**-$12,609.14**	**$29,323.20**	
TEMPLETON GLOBAL TOTAL RETURN FUND ADVISOR CLASS CUSIP: 880208855 Open End Fund Dividend Option: Reinvest; Capital Gains Option Reinvest		*Security Identifier* TTRZX						
14,892 033	06/16/11*	13 4300	200,000 00	12 4100	184,810 13	-15,189.87	8,979.89	4 85%
289.249	Reinvestments to Date*	13 0150	3,764 72	12 4100	3,589 58	-175 14	174 42	4 85%
15,181.282	**Total**		**$203,764.72**		**$188,399.71**	**-$15,365.01**	**$9,154.31**	
Total Mutual Funds			**$8,685,945.29**		**$9,086,394.44**	**$400,449.02**	**$240,685.85**	
Total Mutual Funds			**$8,685,945.29**		**$9,086,394.44**	**$400,449.02**	**$240,685.85**	

Citi Investment Manager Research rating codes (CF, CA or NL) may be shown for certain Mutual Funds. Please refer to the "Guide to Investment Ratings" at the end of this statement for a description of these ratings codes. All research ratings represent the "opinions" of Citi and are not representations or guarantees of performance

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DALBAR RATED FOR COMMUNICATION
Accounts carried by Pershing LLC, member FINRA, NYSE, SIPC.

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products 18.00% of Portfolio								
Exchange-Traded Products								
ISHARES TR S&P 500 INDEX FD			*Security Identifier* IVV					
CUSIP 464287200								
Dividend Option Cash, Capital Gains Option. Cash								
765 000	10/07/10*	116 4780	^{3}89,105.67	125 4000	95,931.00	6,825.33	1,874.45	1.95%
2,367.000	12/22/10*	126 6890	^{3}299,871.68	125 4000	296,821.80	-3,049.88	5,799.78	1.95%
1,468 000	05/03/11*	136 1560	199,876.86	125 4000	184,087.20	-15,789 66	3,597.00	1 95%
4,600.000	**Total**		**$588,854.21**		**$576,840.00**	**-$12,014.21**	**$11,271.23**	
ISHARES TR S&P U S PFD STK INDEX FD			*Security Identifier* PFF					
CUSIP 464288687								
Dividend Option Cash, Capital Gains Option Cash								
Ratings. CITI-IDB CA								
2,545 000	06/10/11*	39.2700	99,941.90	35.9400	91,467 30	-8,474 60	6,588 81	7.20%
VANGUARD BD INDEX FD INC INTERMEDIATE			*Security Identifier* BIV					
TERM BD ETF								
CUSIP: 921937819								
Dividend Option Cash, Capital Gains Option Cash								
Ratings. CITI-IDB CA								
1,010 000	10/07/10*	87.3080	^{3}88,181 08	86.8900	87,758 90	-422.18	3,258 96	3 71%
1,200 000	05/03/11*	83 3100	99,971 88	86 8900	104,268 00	4,296 12	3,872 03	3 71%
2,210.000	**Total**		**$188,152.96**		**$192,026.90**	**$3,873.94**	**$7,130.99**	
VANGUARD INTL EQUITY INDEX FD INC FTSE			*Security Identifier* VEU					
ALL WORLD EX US INDEX FD ETFS SHS								
CUSIP 922042775								
Dividend Option Cash, Capital Gains Option Cash								
Ratings CITI-IDB: CA								
2,125 000	12/22/10*	47.0560	^{3}99,995 06	42 0600	89,377 50	-10,617.56	2,165 37	2 42%
VANGUARD INDEX FDS REIT ETF			*Security Identifier* VNQ					
CUSIP: 922908553								
Dividend Option Cash; Capital Gains Option: Cash								
Ratings CITI-IDB CA								
2,693 000	01/19/11*	55 6680	^{3}149,913 12	55 9300	150,619 49	706 37	5,394 07	3 58%
1,273 000	06/16/11*	58 9700	75,068 68	55 9300	71,198 89	-3,869 79	2,549 82	3 58%
790 000	07/22/11*	63 0110	49,778 93	55 9300	44,184 70	-5,594 23	1,582 37	3 58%
4,756.000	**Total**		**$274,760.73**		**$266,003.08**	**-$8,757.65**	**$9,526.26**	
VANGUARD INDEX FDS VANGUARD SMALL CAP			*Security Identifier* VBK					
GROWTH ETF								
CUSIP 922908595								
Dividend Option Cash, Capital Gains Option. Cash								
Ratings CITI-IDB CA								
1,265 000	12/22/10*	78 9880	^{3}99,919 82	77.2280	97,693 42	-2,226 40	456.66	0 46%

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#1 Brokerage Statement, 2009, 2010
DALBAR RATED FOR COMMUNICATION

Accounts carried by Pershing LLC, member FINRA, NYSE, SIPC.

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
VANGUARD INDEX FDS VANGUARD SMALL CAP *(continued)*								
1,250.000	01/19/11*	80.1130	[3]100,141.13	77.2280	96,535.00	-3,606.13	451.25	0.46%
619.000	03/18/11*	80.7600	49,990.38	77.2280	47,804.13	-2,186.25	223.46	0.46%
1,150.000	05/03/11*	86.9500	99,992.39	77.2280	88,812.20	-11,180.19	415.15	0.46%
4,284.000	**Total**		**$350,043.72**		**$330,844.75**	**-$19,198.97**	**$1,546.52**	
VANGUARD INDEX FDS VANGUARD MID CAP ETF CUSIP: 922908629 Dividend Option: Cash, Capital Gains Option: Cash Ratings CITI-IDB. CA			*Security Identifier* VO					
1,970.000	01/14/11*	76.3010	[3]150,313.36	73.1700	144,144.90	-6,168.46	1,745.42	1.21%
1,950.000	03/18/11*	76.9100	149,974.31	73.1700	142,681.50	-7,292.81	1,727.70	1.21%
2,450.000	05/03/11*	81.6200	199,968.76	73.1700	179,266.50	-20,702.26	2,170.70	1.21%
6,370.000	**Total**		**$500,256.43**		**$466,092.90**	**-$34,163.53**	**$5,643.82**	
VANGUARD INDEX FDS VANGUARD GROWTH ETF CUSIP: 922908736 Dividend Option: Cash, Capital Gains Option. Cash Ratings CITI-IDB CA			*Security Identifier.* VUG					
1,520.000	05/03/11*	65.8000	100,016.00	62.3000	94,696.00	-5,320.00	1,127.84	1.19%
5,100.000	11/08/11*	63.7460	325,103.58	62.3000	317,730.00	-7,373.58	3,784.20	1.19%
6,620.000	**Total**		**$425,119.58**		**$412,426.00**	**-$12,693.58**	**$4,912.04**	
VANGUARD INDEX FDS VANGUARD TOTAL STK MKT ETF CUSIP: 922908769 Dividend Option Cash; Capital Gains Option. Cash Ratings: CITI-IDB. CA			*Security Identifier* VTI					
365.000	06/30/11*	68.3500	24,947.71	64.0400	23,374.60	-1,573.11	444.57	1.90%

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
VANGUARD INDEX FDS VANGUARD TOTAL STK *(continued)*								
2,560 000	06/30/11*	68 3590	174,939 04	64 0400	163,942 40	-11,056.64	3,118 08	1.90%
2,925.000	**Total**		**$199,946.75**		**$187,317.00**	**-$12,629.75**	**$3,562.65**	
Total Exchange-Traded Products			**$2,727,071.34**		**$2,612,395.43**	**-$114,675.91**	**$52,347.69**	
Total Exchange-Traded Products			**$2,727,071.34**		**$2,612,395.43**	**-$114,675.91**	**$52,347.69**	

Citi Investment Manager Research rating codes (CF, CA or NL) may be shown for certain ETFs Please refer to the "Guide to Investment Ratings" at the end of this statement for a description of these ratings codes All research ratings represent the "opinions" of Citi and are not representations or guarantees of performance

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$14,278,392.69**	**$14,436,031.96**	**$157,639.14**	**$20,204.24**	**$455,826.66**

* Uncovered under the cost basis rules as defined below

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P L 110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered " uncovered," under the new cost basis reporting rules, and marked or denoted as such All other securities in this section are securities which are "covered" under the new cost basis reporting rules Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury

Cost Basis on fixed income securities is adjusted for amortization, accretion or principal paydowns The method of calculation is based upon the type of fixed income security and certain attributes, obtained from sources believed to be reliable In the event, one or more of these attributes is changed, there may be a temporary incorrect adjusted cost basis reflected until the portfolio system is amended to reflect this change. These calculations will not be performed under certain circumstances, including those involving continuously callable bonds, foreign bonds, variable rates, bonds in default, index-linked bonds, bonds sold short or bonds that have a negative yield This information is meant as a general guide and you should consult your tax advisor in the preparation of your tax returns

[3] The cost basis of this security has been provided to us by you or your introducing firm and Pershing makes no representation as to the accuracy of this information.

Portfolio diversification is an important element to consider when making investment decisions. Academic studies and real-world experience have shown that asset allocation is the key factor in long-term investment performance By choosing a diversified mix of stocks, bonds and other asset classes, investors may create the portfolios that best match their financial goals and tolerance for risk. Concentrated positions entail greater risks than a diversified portfolio. A concentrated position may be defined as the significant presence of a single security, securities of a single issuer, sector or a certain class of security in an investor's portfolio. No single formula or percentage of a particular security or class of securities suits all investors If you wish to discuss portfolio diversification in further detail, please contact your Financial Advisor

Disclosures and Other Information

Pricing - This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period The market prices have been obtained from quotation services, which we believe to be reliable, however, we cannot guarantee their accuracy Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only These figures are not considered to be a forecast or guarantee of future results These figures are computed using information from providers believed to be reliable, however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time,

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2009, 2010
DALBAR RATED
Accounts carried by Pershing LLC, member FINRA, NYSE, SIPC

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Additional Information

	This Period	Year-to-Date
Securities Bought and Sold	$0.00	-$224,622 40

Summary of Gains and Losses

	Realized		
	This Period	Year-to-Date	Unrealized
Short-Term Gain/Loss	0 00	0 00	-12,491.75
Long-Term Gain/Loss	0 00	0 00	-2,808 57
Net Gain/Loss	**0.00**	**0.00**	**-15,300.32**

This summary excludes transactions where cost basis information is not available.
Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Your reinvestment activity has been summarized. Detailed information will be available at year-end in your 1099 Year-end summary

Client Service Information

Your Financial Advisor: 23A	Contact Information	Client Service Information
JAMES TUITES 601 LEXINGTON AVENUE 3RD FLOOR NEW YORK NY 10022	**Telephone Number:** (212) 559-6040	**Service Hours:** 24 hours a day, 7 days a week **Client Service Telephone Number:** (800) 846-5200 **Web Site:** If you use your linked Citibank account as a settlement account go to www.citibank.com, otherwise go to investments.citi.com/pwm.

Default Tax Lot Disposition Method for Mutual Funds: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

Portfolio Holdings

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income 40.00% of Portfolio *(In Maturity Date Sequence)*									
Corporate Bonds									
CITIGROUP FDG INC MED TERM NTS LKD TO CPURSNA INDEX 0.000% 08/28/13 B/E DTD 08/28/08 1ST CPN DTE 09/28/08CPN PMT MONTHLY ON 28 Moody Rating A3			*Security Identifier:* 1730T0GJ2						
150,000 000	08/25/08*	100.0000	[3]150,000 00	98.4030	147,604 50	-2,395 50	0.00		
Original Cost Basis: $150,000 00									

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Corporate Bonds *(continued)*									
CITIGROUP FDG INC MEDIUM TERM SR NTS BOOK ENTRY NON-CALLABLE FIXED 4 000% 10/27/16 B/E DTD 10/27/11 1ST CPN DTE 01/27/12 CPN PMT QUARTERLY ON J,A,J,O 27 S & P Rating A-	*Security Identifier* 1730T0PJ2								
100,000.000	10/24/11*	100.0000	100,000 00	94 1720	94,172.00	-5,828.00	366.67	4,000 00	4.24%
Original Cost Basis $100,000 00									
CITIGROUP FDG INC MEDIUM TERM NOTES 5.250% 03/30/25 B/E DTD 03/30/10 CALLABLE 12/30/11 @ 100 000 1ST CPN DTE 06/30/10 CPN PMT QUARTERLY ON M,J,S,D 30 Moody Rating A3	*Security Identifier.* 1730T0HK8								
125,000 000	03/31/11*	99 6250	124,531.25	94.2940	117,867.50	-6,663 75	1,093.75	6,562 50	5.56%
Original Cost Basis: $124,531.25									
Total Corporate Bonds **375,000.000**			**$374,531.25**		**$359,644.00**	**-$14,887.25**	**$1,460.42**	**$10,562.50**	
Total Fixed Income **375,000.000**			**$374,531.25**		**$359,644.00**	**-$14,887.25**	**$1,460.42**	**$10,562.50**	

The research rating for Moody's Investors Service and Standard & Poor's may be shown for certain fixed income securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Your advisor will be pleased to provide you with further information or assistance in interpreting research ratings

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2009, 2010
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Accounts carried by Pershing LLC, member FINRA, NYSE, SIPC.

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 5.00% of Portfolio								
Preferred Stocks *(Listed by expiration date)*								
CITIGROUP CAP XII TR PFD SECS FIXED/FLTG 03/30/15 @ 25 8.5% CUSIP: 17315D204 Dividend Option: Cash		*Security Identifier:* C PRJ						
1,967.000	07/09/10*	25.4100	$49,981 47	25.2000	49,568 40	-413.07	4,179.87	8 43%
Total Preferred Stocks			**$49,981.47**		**$49,568.40**	**-$413.07**	**$4,179.87**	
Total Equities			**$49,981.47**		**$49,568.40**	**-$413.07**	**$4,179.87**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$424,512.72**	**$409,212.40**	**-$15,300.32**	**$1,460.42**	**$14,742.37**

* Uncovered under the cost basis rules as defined below.

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[3] The cost basis of this security has been provided to us by you or your introducing firm and Pershing makes no representation as to the accuracy of this information.

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The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy Since interest and dividend rates are subject to change at any time,

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Transactions by Type of Activity

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
Cash Withdrawals and Deposits							
11/29/11	BANK SETTLEMENT ACTIVITY 1730T0GJ2	SEND TO BANK FOR BIN INT $150000 CITIGROU				-720.62	USD
Total Cash Withdrawals and Deposits					**$0.00**	**-$720.62**	
Dividends and Interest							
11/29/11	BOND INTEREST RECEIVED 1730T0GJ2	150000 CITIGROUP FDG INC MED TERM NTS LKD TO CPURSNA INDEX 0 000% 08/28/13 B/E DTD 08/28/08 RD 11/13 PD 11/28/11				720.62	USD
Total Dividends and Interest					**$0.00**	**$720.62**	
Total Value of all Transactions					**$0.00**	**$0.00**	

The price and quantity displayed may have been rounded.

Annuities

Quantity	Description	Market Price	Market Value	% Allocation
Variable Annuities				
HARTFORD LIFE INSURANCE COMPAN				
THE DIRECTOR 7				
Contract Number: 711354862	*Security Identifier* 416594224			
4,810.524	HARTFORD SMALL/MID CAP EQUITY	9.5720	46,046.46	9 36%
57,357.617	HARTFORD DISCIPLINED EQUITY HL	1.2088	69,331.25	14.09%
30,248 429	HARTFORD MIDCAP VALUE HLS FUND	1.5160	45,855.92	9.32%
15,708.229	HARTFORD HEALTHCARE HLS FUND	2 0744	32,585.28	6.62%
26,819 422	HARTFORD DIVIDEND AND GROWTH H	3 4494	92,511 37	18 80%
4,098 847	HARTFORD CAPITAL APPRECIATION	11.0041	45,104 17	9 17%
38,387 201	HARTFORD SMALL COMPANY HLS FUN	2 3767	91,235 90	18 55%
15,412.099	HARTFORD INDEX HLS FUND	4.4964	69,298.92	14 09%
Total THE DIRECTOR 7 Valuation as of 11/28/11			**$491,969.27**	**100.00%**
Total of All Variable Annuities			**$491,969.27**	

Description	Total Value
Total of All Annuities	**$491,969.27**

Accounts carried by Pershing LLC, member FINRA, NYSE, SIPC.

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60 EAST 42ND STREET 36TH FLR

$33033 DUPLICATE FOR:
THE VILCEK FOUNDATION
PAG/IAS-CAMBRIDGE FINANCIAL GROUP

Page	Account Number	Financial Advisor	Period Ending
2 of 4	G03-1351773	THE SOOS/HORKY GROUP-PAG - KF6	11/30/11

Portfolio Holdings

Some prices, current values and income estimates may be approximations, resulting in gains and losses not being accurately reflected. Unrealized gains and/or losses are computed from the supplied cost basis data and may not be accurate for tax reporting purposes. Items for which a cost basis was not available as of the statement period ending date are indicated by the symbol N/A. The total gains and/ or losses do not reflect positions which we do not have cost information. Please contact your Financial Advisor if you believe any cost basis related data is inaccurate or if you require additional information.

Estimated Annual Income ("EAI") and Estimated Yield ("EY") are estimates only and do not indicate actual income or performance of investments. EAI and EY for certain types of securities could include a return of principal or capital gains, in which case the EAI and EY would be overstated. As EAI and EY are estimates, the actual income and yield might be lower or higher than the estimated amounts. EY reflects only the income generated by an investment and it does not reflect changes in its price, which may fluctuate.

Money Market Funds *(NOT FDIC INSURED)*

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	EY	EAI	Portfolio Percent
ADVANTAGE PRIMARY LIQ FD	CASH	216,099.19	ADLXX	1 0000	1.0000	216,099 19	216,099.19	0 020%	43	30 99
TOTAL MONEY MARKET FUNDS...						**216,099.19**	**216,099.19**		**43**	**30.99**

Equities

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
APPLE INC	(Q)CASH	60	AAPL	189.1062	382.2000	11,346 37	22,932.00	11,586			3 29
BAXTER INTL INC	(L)CASH	455	BAX	60.6055	51.6600	27,575.50	23,505.30	(4,070)	2.593%	609	3 37
BEMIS INC	(F)CASH	805	BMS	25.3422	29.4900	20,400.44	23,739.45	3,339	3.255%	772	3.40
BOEING CO	(S)CASH	385	BA	51.2776	68.6900	19,741.86	26,445 65	6,704	2.445%	646	3 79
BRISTOL MYERS SQUIBB CO	(L)CASH	710	BMY	31.6554	32.7200	22,475.33	23,231.20	756	4 034%	937	3 33
CARDINAL HEALTH INC	(L)CASH	590	CAH	26.1467	42.4600	15,426.54	25,051.40	9,625	2 025%	507	3 59
CONOCOPHILLIPS	(G)CASH	365	COP	52.7584	71.3200	19,256.82	26,031.80	6,775	3.701%	963	3.73
COSTCO WHSL CORP NEW	(P)CASH	270	COST	82.8307	85.3000	22,364.29	23,031 00	667	1.125%	259	3 30
E M C CORP MASS	(Q)CASH	1,120	EMC	12.7088	23.0100	14,233.91	25,771 20	11,537			3 70
GENERAL DYNAMICS CORP	(E)CASH	355	GD	71.1649	66.0600	25,263.54	23,451.30	(1,812)	2 845%	667	3 36

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Page	Account Number	Financial Advisor	Period Ending
3 of 4	G03-1351773	THE SOOS/HORKY GROUP-PAG - KF6	11/30/11

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
HOME DEPOT INC	(E)CASH	670	HD	24.1457	39 2200	16,177 60	26,277 40	10,100	2 957%	777	3.77
INTERNATIONAL BUSINESS MACHS	(Q)CASH	125	IBM	175.5630	188 0000	21,945 38	23,500 00	1,555	1 595%	375	3 37
MARATHON OIL CORP	(G)CASH	245	MRO	19.6696	27.9600	4,819 06	6,850 20	2,031	2 145%	147	0 98
MARATHON PETE CORP	(G)CASH	317	MPC	25.9021	33 3900	8,210 96	10,584 63	2,374	2 994%	317	1 52
MCDONALDS CORP	(J)CASH	255	MCD	87.2509	95 5200	22,248 98	24,357 60	2,109	2 931%	714	3 49
ORACLE CORP	(Q)CASH	845	ORCL	20.3123	31.3500	17,163.93	26,490.75	9,327	0 765%	202	3 80
SYSCO CORP	(J)CASH	860	SYY	25.2793	28.5400	21,740.20	24,544 40	2,804	3 784%	928	3.52
TARGET CORP	(P)CASH	455	TGT	57 3840	52.7000	26,109 73	23,978 50	(2,131)	2.277%	546	3.44
UNITEDHEALTH GROUP INC	(I)CASH	520	UNH	51.2841	48.7700	26,667.73	25,360 40	(1,307)	1 332%	338	3 64
WAL MART STORES INC	(P)CASH	425	WMT	51.7906	58.9000	22,010.99	25,032 50	3,022	2.478%	620	3 59
WALGREEN CO	(L)CASH	625	WAG	27 7886	33.7200	17,367 86	21,075 00	3,707	2 669%	562	3 03
SUB-TOTAL COMMON STOCK						402,547.02	481,241 68	78,698		10892	69.01
TOTAL EQUITIES..........						402,547.02	481,241 68	78,698		10892	69.01

COMMON STOCK HOLDINGS SUMMARY BY INDUSTRY CODE

(Q)	20% TECHNOLOGY	(L)	19% HEALTHCARE	(F)	4% CONSUMER GOODS
(S)	5% TRANSPORTATION	(G)	9% ENERGY	(P)	14% RETAIL SERVICES
(E)	10% CONSTRUCTION & BUILDING	(J)	10% FOOD & BEVERAGES	(I)	5% FINANCIAL

	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
Total Portfolio Value	$618,646.21	$697,340.87	$78,698	1.568	10936	100%

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$33033 DUPLICATE FOR:
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PAG/IAS-ATALANTA SOSNOFF

Page	Account Number	Financial Advisor	Period Ending
2 of 9	G03-1351781	THE SOOS/HORKY GROUP-PAG - KF6	11/30/11

Portfolio Holdings

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Money Market Funds *(NOT FDIC INSURED)*

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	EY	EAI	Portfolio Percent
ADVANTAGE PRIMARY LIQ FD	CASH	15,323 74	ADLXX	1 0000	1 0000	15,323 74	15,323 74	0.020%	3	2.24
TOTAL MONEY MARKET FUNDS...						15,323.74	15,323.74		3	2.24

Equities

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
TRANSOCEAN LTD REG SHS	(G)CASH	62	RIG	55.4300	42.8500	3,436 66	2,656.70	(780)	7 374%	195	0.39
ALLERGAN INC	(L)CASH	155	AGN	81.9171	83.7200	12,697.15	12,976.60	279	0.238%	31	1 90
AMAZON COM INC	(F)CASH	105	AMZN	184.0571	192.2900	19,326 00	20,190 45	864			2.95
AMERICAN EXPRESS CO	(I)CASH	299	AXP	49.9469	48.0400	14,934.12	14,363.96	(570)	1 498%	215	2.10
AMERICAN TOWER CORP CL A	(R)CASH	187	AMT	43.2832	59.0000	8,093.95	11,033.00	2,939			1 61
APPLE INC	(Q)CASH	91	AAPL	157.3121	382.2000	14,315.40	34,780.20	20,465			5.09
BAIDU INC SPON ADR REP A	(N)CASH	59	BIDU	144.5820	130.9900	8,530.34	7,728 41	(802)			1.13
BOEING CO	(S)CASH	110	BA	73.0952	68 6900	8,040 47	7,555.90	(485)	2 445%	184	1 11
CVS CAREMARK CORPORATION	(L)CASH	475	CVS	36.7071	38.8400	17,435.85	18,449.00	1,013	1 287%	237	2.70

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Page	Account Number	Financial Advisor	Period Ending
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Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
CAPITAL ONE FINL CORP	(I)CASH	412	COF	44.9489	44.6600	18,518.96	18,399 92	(119)	0 447%	82	2.69
CISCO SYS INC	(R)CASH	550	CSCO	17.5771	18.6400	9,667.40	10,252.00	585	1 287%	132	1.50
CITIGROUP INC COM NEW	(I)CASH	578	C	36 7406	27 4800	21,236 09	15,883 44	(5,353)	0 145%	23	2.32
COACH INC	(C)CASH	60	COH	53 7478	62 5900	3,224.87	3,755 40	531	1 437%	54	0.55
DISNEY WALT CO COM DISNEY	(H)CASH	209	DIS	38.2183	35.8500	7,987.63	7,492 65	(495)	1 115%	83	1.10
DIRECTV COM CL A	(R)CASH	490	DTV	36.5512	47.2200	17,910.08	23,137.80	5,228			3.39
DU PONT E I DE NEMOURS & CO	(C)CASH	152	DD	42.9153	47.7200	6,523 12	7,253.44	730	3.436%	249	1.06
E M C CORP MASS	(Q)CASH	566	EMC	26.2491	23.0100	14,857 00	13,023.66	(1,833)			1.91
ENSCO PLC SPONSORED ADR	(G)CASH	154	ESV	43 5399	51.9700	6,705 14	8,003.38	1,298	2.693%	215	1.17
EXPRESS SCRIPTS INC	(L)CASH	162	ESRX	49 9760	45.6500	8,096 12	7,395.30	(701)			1 08
EXXON MOBIL CORP	(G)CASH	277	XOM	86 1035	80.4400	23,850.66	22,281.88	(1,569)	2.337%	520	3.26
GENERAL MTRS CO	(S)CASH	279	GM	24.4684	21 2900	6,826 67	5,939.91	(887)			0.87
GOOGLE INC CL A	(Q)CASH	59	GOOG	523 3046	599 3900	30,874 97	35,364 01	4,489			5.17
HALLIBURTON CO	(G)CASH	242	HAL	46.8282	36 8000	11,332 43	8,905 60	(2,427)	0 978%	87	1 30
HOME DEPOT INC	(E)CASH	352	HD	36.3453	39.2200	12,793.55	13,805.44	1,012	2.957%	408	2.02
INTEL CORP	(Q)CASH	274	INTC	22.3129	24 9100	6,113.74	6,825.34	712	3.372%	230	1 00
INTERNATIONAL BUSINESS MACHS	(Q)CASH	144	IBM	119.8981	188.0000	17,265 32	27,072 00	9,807	1 595%	432	3 96
JPMORGAN CHASE & CO COM	(I)CASH	570	JPM	39.8464	30.9700	22,712 45	17,652 90	(5,060)	3.228%	570	2 58

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Page	Account Number	Financial Advisor	Period Ending
4 of 9	G03-1351781	THE SOOS/HORKY GROUP-PAG - KF6	11/30/11

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
JOHNSON & JOHNSON	(L)CASH	159	JNJ	66.6790	64.7200	10,601 96	10,290.48	(311)	3 522%	362	1.51
KRAFT FOODS INC CL A	(J)CASH	193	KFT	34.6017	36.1500	6,678.13	6,976.95	299	3 208%	223	1 02
MASTERCARD INC CL A	(P)CASH	38	MA	346.3395	374 5500	13,160 90	14,232 90	1,072	0 160%	22	2 08
MCDONALDS CORP	(J)CASH	138	MCD	79.0253	95.5200	10,905.49	13,181 76	2,276	2.931%	386	1 93
METLIFE INC	(I)CASH	516	MET	42 9035	31.4800	22,138.22	16,243 68	(5,895)	2.350%	381	2 38
MICROSOFT CORP	(Q)CASH	834	MSFT	26.8333	25 5800	22,378 99	21,333 72	(1,045)	3 127%	667	3 12
MONSANTO CO NEW	(A)CASH	46	MON	75.0200	73.4500	3,450 92	3,378 70	(72)	1.633%	55	0 49
NEWS CORP CL A	(M)CASH	1,100	NWSA	16.5335	17.4400	18,186 85	19,184.00	997	1 089%	209	2 81
NIKE INC CL B	(F)CASH	71	NKE	92.9303	96.1800	6,598.05	6,828 78	231	1 497%	102	1.00
ORACLE CORP	(Q)CASH	617	ORCL	23.3065	31 3500	14,380.10	19,342.95	4,963	0 765%	148	2.83
PNC FINL SVCS GROUP INC	(I)CASH	133	PNC	51.7072	54.2100	6,877.06	7,209 93	333	2 582%	186	1 05
PFIZER INC	(L)CASH	575	PFE	19.9721	20.0700	11,483.95	11,540.25	56	3.986%	460	1.69
PHILIP MORRIS INTL INC	(C)CASH	147	PM	69.5001	76.2400	10,216.51	11,207.28	991	4 039%	452	1 64
PRECISION CASTPARTS CORP	(C)CASH	83	PCP	113.1600	164.7500	9,392 28	13,674.25	4,282	0 072%	9	2.00
PROCTER & GAMBLE CO	(F)CASH	55	PG	66.9524	64.5700	3,682.38	3,551.35	(131)	3 252%	115	0.52
QUALCOMM INC	(M)CASH	330	QCOM	54.3942	54.8000	17,950.08	18,084.00	134	1.569%	283	2.65
SCHLUMBERGER LTD	(G)CASH	293	SLB	70.4688	75.3300	20,647 35	22,071.69	1,424	1.327%	293	3 23
UNION PAC CORP	(S)CASH	157	UNP	58.4401	103.4100	9,175 10	16,235.37	7,060	2 320%	376	2 38

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Page	Account Number	Financial Advisor	Period Ending
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Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
UNITED PARCEL SERVICE INC CL B	(P)CASH	102	UPS	68.8365	71 7500	7,021 32	7,318 50	297	2 898%	212	1 07
UNITED TECHNOLOGIES CORP	(S)CASH	246	UTX	63.1059	76 6000	15,524.04	18,843 60	3,320	2.506%	472	2 76
UNITEDHEALTH GROUP INC	(I)CASH	144	UNH	50.4044	48 7700	7,258 23	7,022 88	(235)	1 332%	93	1 03
VIACOM INC NEW CL B	(B)CASH	163	VIAB	51.2271	44 7600	8,350 02	7,295 88	(1,054)	2.234%	163	1 07
WELLPOINT INC	(I)CASH	154	WLP	69 8798	70 5500	10,761.49	10,864 70	103	1 417%	154	1.59
SUB-TOTAL COMMON STOCK..						620,125.56	668,091.89	47,966		9785	97.76
TOTAL EQUITIES..						620,125 56	668,091.89	47,966		9785	97.76

COMMON STOCK HOLDINGS SUMMARY BY INDUSTRY CODE

(G) 9% ENERGY
(Q) 23% TECHNOLOGY
(E) 2% CONSTRUCTION & BUILDING
(B) 1% APPAREL & ACCESSORIES

(L) 9% HEALTHCARE
(N) 1% PUBLIC SERVICES
(J) 3% FOOD & BEVERAGES

(F) 4% CONSUMER GOODS
(S) 7% TRANSPORTATION
(P) 3% RETAIL SERVICES

(I) 16% FINANCIAL
(C) 5% BASIC INDUSTRY
(A) 50% AGRICULTURE

(R) 6% TELECOMMUNICATIONS
(H) 1% ENTERTAINMENT
(M) 5% MEDIA & COMMUNICATION

	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
Total Portfolio Value	$635,449.30	$683,415.63	$47,966	1.432	9788	100%

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$33033 DUPLICATE FOR
THE VILCEK FOUNDATION INC
PAG ACCOUNT

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Direct Investments

This section is provided for information purposes only and lists selected direct investments such as partnerships, investments pools, insurance products and annuities processed through your account and may or may not reflect all such direct investment products purchased or currently being serviced through our firm. Since certain direct investments are not held by us, such valuations and tax forms will be provided by the entity that issued your direct investments. There is no active secondary market for direct investments. These securities are generally illiquid, and the prices listed above may not be realized if the customer seeks to liquidate the securities. Since the approximate values of such direct investments indicated above are provided for informational purposes only, they are not included in the net value quoted in the Asset Summary. For further details on the current values and underlying position holdings for non-Oppenheimer Asset Management sponsored direct investments, please refer to the communications or statements provided to you directly from the entity that issued and/or manages your direct investment. For further information on any Oppenheimer Asset Management sponsored direct investments, please contact your Financial Advisor.

ANNUITIES: Contract values may fluctuate and may not be the same as cash surrender value of the policies. Variable contracts will fluctuate based on investment performance

INVESTMENT PARTNERSHIPS: The appropriate values listed reflect the valuation of your interest in the sponsored partnership as calculated in accordance with the relevant offering memorandum Committed amounts are estimates and are not representative of the actual investment value. Please refer to the partnership"s audited financial statements and form K-1 for additional information

Investment Partnerships

Description	Account Type	Quantity	Security Number	Current Price	Estimated Current Value
XANTHUS FUND VALUE AT PRIOR MONTH END NET OF FEES	CASH	604,845 17	983678913	1 00	604,845 17

	Estimated Current Value
Total Direct Investments......................................	$604,845.17

Transactions/Activity Detail

Date	Type	Quantity	Transaction	Description	Price/Entry	Amount
				** MISCELLANEOUS ACTIVITY **		
11-10	CASH	49,554.12	RECEIVED	XANTHUS FUND VALUE AT PRIOR MONTH END NET OF FEES GAIN FOR OCTOBER 2011		
11-14	CASH		JOURNAL LTR	TRANSFER TO G031351765		17,653 49 DEBIT
11-17	CASH		JOURNAL LTR	TRANSFER TO G031351765		0 25 DEBIT
				Net Miscellaneous Credits/Debits.............		$17,653.74 DEBIT

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PAG/STAR BRADFORD & MARZEC

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Portfolio Holdings

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Money Market Funds *(NOT FDIC INSURED)*

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	EY	EAI	Portfolio Percent
ADVANTAGE PRIMARY LIQ FD	CASH	239,368.40	ADLXX	1.0000	1.0000	239,368.40	239,368.40	0 020%	47	9 43
TOTAL MONEY MARKET FUNDS..						239,368.40	239,368.40		47	9.43

Fixed Income

Government Bonds in Maturity Date Sequence

Description	Account Type	Quantity	CUSIP #	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
UNITED STATES TREAS NTS B/E 3.5% DUE 05/15/20 NOTE	CASH	148,000	912828ND8	107 2747	113.4060	158,766.56	167,840 88	9,074	3.500%	5180	6.61
SUB-TOTAL GOVERNMENT BONDS		148,000				158,766.56	167,840.88	9,074		5180	6.61

Due to credit market volatility this month, there may be some unreliable pricing of fixed income securities compared to prior months.
Call your Financial Advisor if you have any questions.

Government Agency Bonds in Maturity Date Sequence

Description	Account Type	Quantity	CUSIP #	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
FNMA PASS-THRU LNG 30 YEAR 5% DUE 06/01/35 PL 735578 FNMA MTHLY 24 DAY DELAY PASS THROUGH CVTL 30Y SFAM AMORTIZED AMOUNT = 62,702 FACTOR = 0.31828785	CASH	197,000	31402RFT1	108.7126	107.5800	68,165.74	67,455.57	(710)	5.000%	3135	2.66

ODMD
ATTN GIANNA COLOMBO
60 EAST 42ND STREET 36TH FLR

$33033

DUPLICATE FOR:
THE VILCEK FOUNDATION INC
PAG/STAR BRADFORD & MARZEC

Page	Account Number	Financial Advisor	Period Ending
3 of 21	G03-1351708	THE SOOS/HORKY GROUP-PAG - KF6	11/30/11

Government Agency Bonds in Maturity Date Sequence

Description	Account Type	Quantity	CUSIP #	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
FNMA PASS-THRU LNG 30 YEAR 5% DUE 10/01/35 PL 735925 FNMA MTHLY 24 DAY DELAY PASS THROUGH CVTL 30Y SFAM AMORTIZED AMOUNT = 19,757 FACTOR = 0.37995257	CASH	52,000	31402RSN0	104 5248	107 5800	20,651 52	21,255.15	604	5 000%	987	0.84
FNMA PASS-THRU LNG 30 YEAR 5% DUE 02/01/36 PL 745275 FNMA MTHLY 24 DAY DELAY PASS THROUGH CVTL 30Y SFAM AMORTIZED AMOUNT = 5,615 FACTOR = 0 37437014	CASH	15,000	31403C6L0	105 5366	107 5800	5,926.46	6,041 21	115	5 000%	280	0 24
CSFB COML MTG PTC 2006-C3 CL A-3 6.01% DUE 06/15/38 CMO COMML MTG AMORTIZED AMOUNT = 29,000 FACTOR = 1.00000000	CASH	29,000	22545DAD9	103 5898	109.7420	30,041 05	31,825 18	1,784	6 010%	1742	1.25
FNMA PASS-THRU LNG 30 YEAR 5.5% DUE 06/01/39 PL AD0527 FNMA MTHLY 24 DAY DELAY PASS THROUGH CVTL 30Y SFAM AMORTIZED AMOUNT = 157,559 FACTOR = 0.51658906	CASH	305,000	31418MSR4	110 3878	108 7190	173,926 72	171,297.29	(2,629)	5 500%	8665	6.74
FNMA PASS-THRU LNG 30 YEAR 4.5% DUE 04/01/41 PL AI1190 FNMA MTHLY 24 DAY DELAY PASS THROUGH CVTL 30Y SFAM AMORTIZED AMOUNT = 46,149 FACTOR = 0.96144365	CASH	48,000	3138AEKC6	104 8018	106.6590	48,365.31	49,222.37	857	4 500%	2076	1 94
FNMA PASS-THRU LNG 30 YEAR 4.5% DUE 06/01/41 PL AL0393 FNMA MTHLY 24 DAY DELAY PASS THROUGH CVTL 30Y SFAM AMORTIZED AMOUNT = 152,237 FACTOR = 0 96352769	CASH	158,000	3138EGNK6	106.2031	106 4710	161,680.81	162,088 65	408	4.500%	6850	6 38

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Page	Account Number	Financial Advisor	Period Ending
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Government Agency Bonds in Maturity Date Sequence

Description	Account Type	Quantity	CUSIP #	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
FNMA PASS-THRU LNG 30 YEAR 4% DUE 07/01/41 PL AB3307 FNMA MTHLY 24 DAY DELAY PASS THROUGH CVTL 30Y SFAM AMORTIZED AMOUNT = 44,667 FACTOR = 0.93057185	CASH	48,000	31416YU97	104 7444	104.3910	46,786.66	46,628.79	(158)	4.000%	1786	1 84
FNMA PASS-THRU LNG 30 YEAR 4% DUE 11/01/41 PL MA0907 FNMA MTHLY 24 DAY DELAY PASS THROUGH CVTL 30Y SFAM AMORTIZED AMOUNT = 223,211 FACTOR = 0.99647840	CASH	224,000	31418AAH1	104.3750	104.3910	232,976 67	233,012 36	36	4.000%	8928	9.17
GS MTG SECS TR 2011-GC5 CL A-4 3.707% DUE 08/12/44 CMO COMML MTG AMORTIZED AMOUNT = 34,000 FACTOR = 1.00000000	CASH	34,000	36191YBB3	100.9936	102.6140	34,337.81	34,888 76	551	3.707%	1260	1 37
WACHOVIA CMBS 2007-C34 CL A-3 5.678% DUE 05/17/46 CMO COMML MTG AMORTIZED AMOUNT = 29,000 FACTOR = 1.00000000	CASH	29,000	92979FAD2	97.0000	107.6920	28,130.00	31,230.68	3,101	5.678%	1646	1 23
JP MORGAN COM MTG 2006-LDP9 CL A-3 5.336% DUE 05/15/47 CMO COMML MTG AMORTIZED AMOUNT = 28,000 FACTOR = 1.00000000	CASH	28,000	46629PAC2	99.0938	103 9010	27,746.25	29,092 28	1,346	5 336%	1494	1.15
CITIGROUP COML MTG 2007-C6 CL A-4 5.886% DUE 12/10/49 CMO COMML MTG AMORTIZED AMOUNT = 27,000 FACTOR = 1.00000000	CASH	27,000	17311QBK5	101.7969	108.3170	27,485.16	29,245 59	1,760	5.886%	1589	1.15
COMM 2007-C9 CL A-4 6.007% DUE 12/10/49 CMO COMML MTG AMORTIZED AMOUNT = 29,000 FACTOR = 1 00000000	CASH	29,000	20047RAE3	108.4531	109.3680	31,451 41	31,716.72	265	6 007%	1742	1 25

Government Agency Bonds in Maturity Date Sequence

Description	Account Type	Quantity	CUSIP #	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
SUB-TOTAL GOVERNMENT AGENCY BONDS		1,223,000				937,671.57	945,000.60	7,330		42187	37.21

Due to credit market volatility this month, there may be some unreliable pricing of fixed income securities compared to prior months
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Corporate Bonds in Maturity Date Sequence

Description	Account Type	Quantity	CUSIP/ Rating	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
BANK OF AMERICA CORPORATION B/E 8% CALL 01/30/18 @100	CASH	23,000	060505DR2 BA3 /BB+	89 6496	85 2810	20,619.40	19,614 63	(1,005)	8 000%	1840	0 77
PHH CORP B/E 7 125% DUE 03/01/13 NOTE	CASH	16,000	693320AF0 BA2 /BB+	100 7500	100 7500	16,120 00	16,120.00	0	7 125%	1140	0 63
AIRGAS INC B/E 2 85% DUE 10/01/13 NOTE	CASH	35,000	009363AH5 BAA2 /BBB	100 0325	102 1370	35,011 39	35,747 95	737	2 850%	997	1 41
QWEST COMMUNICATIONS INTL INC B/E 7 5% DUE 02/15/14 NOTE CALL 02/15/12 @100	CASH	10,000	749121BV0 BAA3 /BB	102.3750	100 6250	10,237 50	10,062 50	(175)	7 500%	750	0 40
RUSSEL METALS INC B/E 6 375% DUE 03/01/14 NOTE CALL 03/01/12 @100	CASH	13,000	781903AG4 BA1 /BB	100.5000	100.0000	13,065 00	13,000 00	(65)	6 375%	828	0.51
ABBEY NATL TREAS SVCS PLC FGN 2.875% DUE 04/25/14 MTN	CASH	25,000	002799AK0 A1 /AA-	99.7700	94 3300	24,942.50	23,582 50	(1,360)	2 875%	718	0 93
BERRY PETE CO B/E 10.25% DUE 06/01/14 NOTE	CASH	10,000	085789AD7 B2 /BB-	101 7500	113 0000	10,175.00	11,300 00	1,125	10 250%	1025	0.44
HOSPITALITY PPTYS TR B/E 7 875% DUE 08/15/14 NOTE CALL 02/15/14 @100	CASH	22,000	44106MAP7 BAA2 /BBB-	102.0339	108.6280	22,447.46	23,898 16	1,451	7.875%	1732	0 94

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Page	Account Number	Financial Advisor	Period Ending
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Corporate Bonds in Maturity Date Sequence

Description	Account Type	Quantity	CUSIP/ Rating	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
ZIONS BANCORPORATION B/E 7.75% DUE 09/23/14 NOTE	CASH	18,000	989701AX5 NR /BBB-	103.9500	106.1260	18,711.00	19,102 68	392	7 750%	1395	0 75
REGIONS FINANCIAL CORP NEW B/E 7.75% DUE 11/10/14 DEB	CASH	13,000	7591EPAF7 BA3 /BB+	99.7500	101.2500	12,967.50	13,162 50	195	7 750%	1007	0 52
ARAMARK CORP B/E 8.5% DUE 02/01/15 NOTE CALL 01/03/12 @104.250	CASH	12,000	038521AD2 B3 /B	92.3333	102 5000	11,080.00	12,300 00	1,220	8 500%	1020	0 48
HOST MARRIOTT L P B/E 6.375% DUE 03/15/15 NT,L P CALL 03/15/12 @101.063	CASH	11,000	44108EAY4 BA1 /BB+	96.5000	101 3750	10,615 00	11,151 25	536	6.375%	701	0.44
LAZARD LLC B/E 7 125% DUE 05/15/15 NOTE	CASH	32,000	52107QAC9 BA2 /BBB	107.9304	107.4600	34,537.72	34,387.20	(151)	7 125%	2280	1 35
CHS / CMNTY HEALTH SYS INC B/E 8.875% DUE 07/15/15 NOTE CALL 07/15/12 @102.219	CASH	5,000	12543DAF7 B3 /B	102.5000	102 7500	5,125 00	5,137.50	13	8 875%	443	0 20
REVLON CONSUMER PRODUCTS CORP B/E 9 75% DUE 11/15/15 NOTE CALL 11/15/12 @104.875	CASH	12,000	761519BB2 B2 /B	107 1500	106.5000	12,858.00	12,780.00	(78)	9.750%	1170	0.50
AVIS BUDGET CAR RENT LLC /AVIS B/E 7.75% DUE 05/15/16 NOTE CALL 01/03/12 @103.875	CASH	16,000	053773AF4 B2 /B	94.0000	99 5000	15,040.00	15,920.00	880	7 750%	1240	0.63
GOODYEAR TIRE & RUBR CO B/E 10.5% DUE 05/15/16 NOTE CALL 05/15/12 @107.875	CASH	11,000	382550AZ4 B1 /B+	105.7909	109 7500	11,637 00	12,072.50	436	10 500%	1155	0 48

Corporate Bonds in Maturity Date Sequence

Description	Account Type	Quantity	CUSIP/ Rating	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
METLIFE INC B/E 6 75% DUE 06/01/16 NOTE	CASH	16,000	59156RAU2 A3 /A-	103 5196	113.5970	16,563 13	18,175.52	1,612	6 750%	1080	0 72
BARRETT BILL CORP B/E 9.875% DUE 07/15/16 NOTE CALL 07/15/13 @104.938	CASH	10,000	06846NAB0 B1 /BB-	106 5000	109.5000	10,650 00	10,950.00	300	9.875%	987	0 43
HEWLETT PACKARD CO B/E 3% DUE 09/15/16 NOTE	CASH	25,000	428236BP7 A2 /A	100.5400	102.4910	25,135 00	25,622 75	488	3 000%	750	1.01
SEAGATE TECHNOLOGY HDD HLDGS FGN 6.8% DUE 10/01/16 MTN	CASH	12,000	81180RAE2 BA1 /BB+	106 5000	103.0000	12,780.00	12,360.00	(420)	6 800%	816	0.49
BP CAP MKTS P L C FGN 2.248% DUE 11/01/16 NOTE	CASH	25,000	05565QBT4 A2 /A	100.0000	99.7350	25,000.00	24,933.75	(66)	2.248%	562	0 98
NATIONAL MONEY MART COMPANY FGN 10.375% DUE 12/15/16 NOTE CALL 12/15/13 @105.188	CASH	12,000	637004AC6 B2 /B+	107.5000	106.2500	12,900 00	12,750 00	(150)	10.375%	1245	0 50
DOMTAR CORP B/E 10 75% DUE 06/01/17 NOTE	CASH	15,000	257559AG9 BAA3 /BBB-	101 7213	125 7500	15,258.20	18,862.50	3,604	10 750%	1612	0.74
RAILAMERICA INC B/E 9.25% DUE 07/01/17 NOTE CALL 07/01/13 @104 625	CASH	10,000	750753AC9 B1 /BB+	106 7500	109 0000	10,675 00	10,900 00	225	9 250%	925	0.43
HORNBECK OFFSHORE SVCS INC NEW B/E 8% DUE 09/01/17 NOTE CALL 09/01/13 @104	CASH	13,000	440543AH9 BA3 /BB-	100.7500	102 0000	13,097 50	13,260 00	163	8.000%	1040	0.52

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60 EAST 42ND STREET 36TH FLR

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Page	Account Number	Financial Advisor	Period Ending
8 of 21	G03-1351708	THE SOOS/HORKY GROUP-PAG - KF6	11/30/11

Corporate Bonds In Maturity Date Sequence

Description	Account Type	Quantity	CUSIP/ Rating	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
NAVIOS MARITIME HLDGS INC B/E 8.875% DUE 11/01/17 MTGE CALL 11/01/13 @104.438	CASH	11,000	639365AD7 BA3 /BB-	108.7500	97 0000	11,962.50	10,670.00	(1,293)	8 875%	976	0.42
SOLUTIA INC B/E 8.75% DUE 11/01/17 NOTE CALL 11/01/13 @104.375	CASH	10,000	834376AK1 B1 /BB-	104 5000	108 0000	10,450 00	10,800.00	350	8.750%	875	0 43
ICAHN ENTERPRISES LP/CORP B/E 8% DUE 01/15/18 NT,L P CALL 01/15/14 @104	CASH	13,000	451102AH0 BA3 /BBB-	99 8750	102 0000	12,983 75	13,260.00	276	8 000%	1040	0.52
NASDAQ OMX GROUP INC B/E 5.25% DUE 01/16/18 NOTE	CASH	25,000	631103AE8 BAA3 /BBB	99 1690	104.0190	24,792.25	26,004.75	1,213	5.250%	1312	1.02
EQUINIX INC B/E 8.125% DUE 03/01/18 NOTE CALL 03/01/14 @104.063	CASH	11,000	29444UAJ5 BA2 /BB-	103.0000	106.5000	11,330.00	11,715.00	385	8 125%	893	0 46
PATRIOT COAL CORP B/E 8 25% DUE 04/30/18 NOTE CALL 04/30/14 @100	CASH	11,000	70336TAC8 B3 /B+	100 5000	95 0000	11,055.00	10,450.00	(605)	8.250%	907	0.41
LENNAR CORP B/E 6.95% DUE 06/01/18 NOTE	CASH	13,000	526057BD5 B3 /B+	100.1250	96.2500	13,016.25	12,512.50	(504)	6 950%	903	0 49
DANA HLDG CORP B/E 6.5% DUE 02/15/19 NOTE CALL 02/15/15 @103.250	CASH	12,000	235825AA4 B2 /BB-	101.6250	99.7500	12,195.00	11,970.00	(225)	6 500%	780	0.47
ENERGY TRANSFER PRTNRS L P B/E 9 7% DUE 03/15/19 NT,L P	CASH	15,000	29273RAK5 BAA3 /BBB-	106.6437	121 0840	15,996.55	18,162 60	2,166	9 700%	1455	0.72

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Page	Account Number	Financial Advisor	Period Ending
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Corporate Bonds in Maturity Date Sequence

Description	Account Type	Quantity	CUSIP/ Rating	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
RIO TINTO FIN USA LTD B/E 9% DUE 05/01/19 NOTE	CASH	18,000	767201AH9 A3 /A-	126 5990	134 5150	22,787.82	24,212 70	1,425	9.000%	1620	0 95
PRIDE INTL INC DEL B/E 8 5% DUE 06/15/19 NOTE	CASH	25,000	74153QAG7 BAA1 /BBB+	103 2415	124 7930	25,810.38	31,198 25	5,388	8.500%	2125	1 23
LINCOLN NATL CORP IND B/E 8 75% DUE 07/01/19 NOTE	CASH	24,000	534187AX7 BAA2 /A-	120 5735	116.9970	28,937 64	28,079.28	(858)	8.750%	2100	1 11
MACK-CALI RLTY L P B/E 7 75% DUE 08/15/19 NOTE	CASH	17,000	55448QAP1 BAA2 /BBB	99.1450	117 9360	16,854 65	20,049 12	3,194	7 750%	1317	0.79
WEYERHAEUSER CO B/E 7 375% DUE 10/01/19 NOTE	CASH	20,000	962166BV5 BA1 /BBB-	107.9210	109 5170	21,584.20	21,903.40	319	7 375%	1475	0.86
CREDIT SUISSE NEW YORK BRANCH B/E 5 4% DUE 01/14/20 MTN	CASH	22,000	22546QAD9 AA2 /BBB-	99.7560	91.3170	21,946.32	20,089.74	(1,857)	5 400%	1188	0.79
BOSTON SCIENTIFIC CORP B/E 6% DUE 01/15/20 NOTE	CASH	23,000	101137AK3 BA1 /BBB-	99 0310	109.2420	22,777.13	25,125 66	2,349	6.000%	1380	0.99
LIFE TECHNOLOGIES CORP B/E 6% DUE 03/01/20 NOTE	CASH	26,000	53217VAC3 BA1 /BBB	99 7960	111 6080	25,946 96	29,018 08	3,071	6 000%	1560	1.14
WYNDHAM WORLDWIDE CORP B/E 7 375% DUE 03/01/20 NOTE	CASH	22,000	98310WAE8 BAA3 /BBB-	99.9980	111 0870	21,999 56	24,439.14	2,440	7 375%	1622	0 96
MASCO CORP B/E 7.125% DUE 03/15/20 NOTE	CASH	22,000	574599BG0 BA2 /BBB-	99 9980	99.2780	21,999 56	21,841.16	(158)	7 125%	1567	0.86

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Page	Account Number	Financial Advisor	Period Ending
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Corporate Bonds in Maturity Date Sequence

Description	Account Type	Quantity	CUSIP/ Rating	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
SUNTRUST BK ATLANTA MDTMSBNTBE B/E 5.4% DUE 04/01/20 MTN	CASH	24,000	86787GAE2 BAA1 /BBB	98.5840	100 8590	23,660 16	24,206 16	546	5 400%	1296	0 95
CHESAPEAKE ENERGY CORP B/E 6 125% DUE 02/15/21 NOTE	CASH	13,000	165167CG0 BA3 /BB+	100.0000	100.2500	13,000.00	13,032.50	33	6.125%	796	0.51
HUNTSMAN INTL LLC B/E 8.625% DUE 03/15/21 NOTE CALL 09/15/15 @104.313	CASH	13,000	44701QAX0 B3 /B	101.5000	101 5000	13,195 00	13,195 00	0	8.625%	1121	0 52
NII CAPITAL CORP B/E 7.625% DUE 04/01/21 NOTE CALL 04/01/16 @103.813	CASH	12,000	67021BAE9 B2 /B+	103.6250	101.5000	12,435.00	12,180.00	(255)	7.625%	915	0 48
JEFFERIES GROUP INC NEW B/E 6 875% DUE 04/15/21 NOTE	CASH	30,000	472319AH5 BAA2 /BBB	99.0030	84 5000	29,700 90	25,350 00	(4,351)	6.875%	2062	1.00
PLAINS EXPL& PRODTN CO B/E 6.625% DUE 05/01/21 NOTE CALL 05/01/16 @103.313	CASH	13,000	726505AK6 B1 /BB	100.3750	101 0000	13,048.75	13,130.00	81	6 625%	861	0 52
BALL CORP B/E 5 75% DUE 05/15/21 NOTE CALL 11/15/15 @102.875	CASH	13,000	058498AQ9 BA1 /BB+	100.0000	102 0000	13,000.00	13,260 00	260	5 750%	747	0.52
BOSTON PPTYS LTD PARTNERSHIP B/E 4 125% DUE 05/15/21 NOTE	CASH	33,000	10112RAS3 BAA2 /A-	97.8692	98.8800	32,296.84	32,630.40	334	4 125%	1361	1 28
FISERV INC B/E 4.75% DUE 06/15/21 NOTE	CASH	24,000	337738AL2 BAA2 /BBB-	105.4670	104 1210	25,312.08	24,989.04	(323)	4.750%	1140	0 98

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Corporate Bonds in Maturity Date Sequence

Description	Account Type	Quantity	CUSIP/ Rating	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
CNA FINL CORP B/E 5.75% DUE 08/15/21 NOTE	CASH	19,000	126117AR1 BAA3 /BBB-	99 6260	99.4770	18,928.94	18,900.63	(28)	5 750%	1092	0 74
CONCHO RES INC B/E 6.5% DUE 01/15/22 NOTE CALL 01/15/17 @103 250	CASH	16,000	20605PAC5 B3 /BB	100.7500	102 0000	16,120 00	16,320 00	200	6 500%	1040	0 64
COMCAST CORP NEW B/E 6 45% DUE 03/15/37 NOTE	CASH	28,000	20030NAM3 BAA1 /BBB+	110.8320	113 4450	31,032 96	31,764.60	732	6 450%	1806	1 25
UNITEDHEALTH GROUP INC B/E 5.7% DUE 10/15/40 NOTE	CASH	32,000	91324PBN1 A3 /A-	99.3620	113 2590	31,795 84	36,242.88	4,447	5 700%	1824	1 43
PETROBRAS INTL FIN CO FGN 6.75% DUE 01/27/41 NOTE	CASH	24,000	71645WASO A3 /BBB	99.2880	110 5300	23,829 12	26,527 20	2,698	6 750%	1620	1 04
REPUBLIC SVCS INC B/E 5.7% DUE 05/15/41 NOTE CALL 11/15/40 @100	CASH	25,000	760759ANO BAA3 /BBB	100.3140	112.5330	25,078 50	28,133.25	3,055	5 700%	1425	1 11
AT&T INC B/E 5.55% DUE 08/15/41 NOTE	CASH	23,000	00206RBA9 A2 /A-	109.4700	108 4210	25,178 10	24,936.83	(241)	5 550%	1276	0 98
TIME WARNER INC B/E 5.375% DUE 10/15/41 DEB	CASH	24,000	887317AM7 BAA2 /BBB	101 0450	100.0380	24,250 80	24,009 12	(242)	5 375%	1290	0 98
SUB-TOTAL CORPORATE BONDS		1,128,000				1,153,536.81	1,187,462.88	33,929		75237	46.75

Due to credit market volatility this month, there may be some unreliable pricing of fixed income securities compared to prior months.
Call your Financial Advisor if you have any questions.

TOTAL FIXED INCOME	2,499,000	2,249,974.94	2,300,304.36	50,333		122604	90.57

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STATEMENT OF ACCOUNT

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ATTN GIANNA COLOMBO
60 EAST 42ND STREET 36TH FLR

$33033 DUPLICATE FOR:
VILCEK FOUNDATION INC
PAG/IAS WENTWORTH HAUSER & VIOLICH

Page	Account Number	Financial Advisor	Period Ending
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Portfolio Holdings

Some prices, current values and income estimates may be approximations, resulting in gains and losses not being accurately reflected. Unrealized gains and/or losses are computed from the supplied cost basis data and may not be accurate for tax reporting purposes. Items for which a cost basis was not available as of the statement period ending date are indicated by the symbol N/A. The total gains and/ or losses do not reflect positions which we do not have cost information. Please contact your Financial Advisor if you believe any cost basis related data is inaccurate or if you require additional information.

Estimated Annual Income ("EAI") and Estimated Yield ("EY") are estimates only and do not indicate actual income or performance of investments. EAI and EY for certain types of securities could include a return of principal or capital gains, in which case the EAI and EY would be overstated As EAI and EY are estimates, the actual income and yield might be lower or higher than the estimated amounts. EY reflects only the income generated by an investment and it does not reflect changes in its price, which may fluctuate.

Money Market Funds *(NOT FDIC INSURED)*

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	EY	EAI	Portfolio Percent
ADVANTAGE PRIMARY LIQ FD	CASH	19,746.70	ADLXX	1 0000	1 0000	19,746 70	19,746.70	0.020%	3	8 63
TOTAL MONEY MARKET FUNDS...						19,746.70	19,746.70		3	8.63

Equities

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
COOPER INDUSTRIES PLC	(Q)CASH	135	CBE	40 4481	55 5300	5,460 50	7,496 55	2,036	2 088%	156	3 28
INGERSOLL-RAND PLC	(Q)CASH	190	IR	32 8642	33.1200	6,244 20	6,292.80	49	1 449%	91	2 75
NABORS INDUSTRIES LTD	(G)CASH	525	NBR	25.1306	17 9400	13,193.54	9,418 50	(3,775)			4 12
PARTNERRE LTD	(I)CASH	25	PRE	74.8960	65 7200	1,872.40	1,643.00	(229)	3 651%	60	0 72
WEATHERFORD INTERNATIONAL LTD REG SHS	(G)CASH	490	WFT	26.8814	15 1600	13,171.90	7,428 40	(5,744)			3.25
NOBLE CORPORATION BAAR NAMEN -AKT	(G)CASH	345	NE	41 8089	34.5300	14,424.07	11,912.85	(2,511)	1 715%	204	5.21
TRANSOCEAN LTD REG SHS	(G)CASH	150	RIG	104.9567	42 8500	15,743 50	6,427.50	(9,316)	7.374%	474	2 81
UBS AG SHS NEW	(I)CASH	259	UBS	19.6224	12.4700	5,082.19	3,229 73	(1,852)			1 41

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Page	Account Number	Financial Advisor	Period Ending
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Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
CORE LABORATORIES N V	(G)CASH	40	CLB	53 2450	116.0500	2,129 80	4,642 00	2,512	0 861%	40	2 03
AGRIUM INC	(A)CASH	45	AGU	32.7902	69.9900	1,475 56	3,149 55	1,674	0 157%	4	1 38
AXA SA SPONSORED ADR	(I)CASH	115	AXAHY	27 2104	14.4310	3,129.20	1,659 56	(1,470)	5 808%	96	0 73
BASF SE SPONSORED ADR	(C)CASH	90	BASFY	57.1250	72.7460	5,141 25	6,547 14	1,406	3 152%	206	2 86
BHP BILLITON LTD SPONSORED ADR	(C)CASH	160	BHP	64.0500	75.1700	10,248 00	12,027 20	1,779	2 687%	323	5.26
BRITISH AMERN TOB PLC SPONSORED ADR	(C)CASH	75	BTI	67.6060	93.1500	5,070 45	6,986 25	1,916	4.124%	288	3.05
BROOKFIELD ASSET MGMT INC CL A LTD VT SH	(O)CASH	110	BAM	21 9555	27.7400	2,415 10	3,051 40	636	1 874%	57	1 33
CANADIAN NATL RY CO	(S)CASH	95	CNI	51 0663	77.5600	4,851.30	7,368 20	2,517	1.650%	121	3 22
CANADIAN NAT RES LTD	(G)CASH	100	CNQ	32 4045	37 3400	3,240.45	3,734 00	494	0.957%	35	1 63
CANADIAN PAC RY LTD	(S)CASH	142	CP	56 1217	60.1800	7,969 28	8,545 56	576	2.000%	170	3 74
DIAGEO P L C SPON ADR NEW	(J)CASH	85	DEO	70 0906	85.6100	5,957.70	7,276 85	1.319	3 031%	220	3 18
FIBRIA CELULOSE S A SP ADR REP COM	(C)CASH	13	FBR	55 2538	7.7800	718.30	101 14	(617)	4.344%	4	0.04
MANULIFE FINL CORP	(I)CASH	100	MFC	28 3320	10.7700	2,833.20	1,077 00	(1,756)	4.763%	51	0.47
NESTLE S A SPONSORED ADR	(J)CASH	230	NSRGY	46.7933	56.1990	10,762.45	12,925.77	2.163	3 144%	406	5 65
NOVARTIS A G SPONSORED ADR	(L)CASH	85	NVS	47 8765	54.1200	4,069 50	4,600.20	531	3.705%	170	2 01
POTASH CORP SASK INC	(C)CASH	255	POT	36.8552	43.3400	9,398.08	11,051.70	1,654	0 646%	71	4 83

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Page	Account Number	Financial Advisor	Period Ending
4 of 6	G03-1351716	THE SOOS/HORKY GROUP-PAG - KF6	11/30/11

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
RIO TINTO PLC SPONSORED ADR	(C)CASH	200	RIO	70.1710	53.0700	14,034.20	10,614.00	(3,420)	2 200%	233	4.64
SCHLUMBERGER LTD	(G)CASH	160	SLB	73.4878	75.3300	11,758.05	12,052.80	295	1.327%	160	5.27
SUNCOR ENERGY INC NEW	(G)CASH	265	SU	41 3030	30 0200	10,945.30	7,955 30	(2,990)	1 452%	115	3 48
TALISMAN ENERGY INC	(G)CASH	150	TLM	16 8943	13 6000	2,534.15	2,040.00	(494)	1 985%	40	0.89
TECK RESOURCES LTD CL B	(C)CASH	90	TCK	40.0600	36 4900	3,605 40	3,284 10	(321)	2.214%	72	1.44
TENARIS S A SPONSORED ADR	(C)CASH	240	TS	40.0800	37 2800	9,619 20	8,947.20	(672)	1.824%	163	3.91
UNILEVER N V N Y SHS NEW	(J)CASH	190	UN	29.3800	34 1100	5,582 20	6,480 90	899	3.091%	200	2 83
VALE S A ADR	(C)CASH	355	VALE	26.3603	23 2500	9,357.90	8,253 75	(1,104)	0 121%	10	3.61
YARA INTL ASA SPONSORED ADR	(A)CASH	20	YARIY	44 1500	40.4600	883 00	809 20	(74)	2.062%	16	0 34
SUB-TOTAL COMMON STOCK..						222,921.32	209,030.10	(13,889)		4267	91.37
TOTAL EQUITIES..						222,921.32	209,030.10	(13,889)		4267	91.37

COMMON STOCK HOLDINGS SUMMARY BY INDUSTRY CODE

(Q)	6% TECHNOLOGY	(G)	31% ENERGY	(I)	3% FINANCIAL
(A)	1% AGRICULTURE	(C)	32% BASIC INDUSTRY	(O)	1% REAL ESTATE
(S)	7% TRANSPORTATION	(J)	12% FOOD & BEVERAGES	(L)	2% HEALTHCARE

VILCEK FOUNDATION INC
PAG/IAS THORNBURG INV MGMT
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Page	Account Number	Financial Advisor	Period Ending
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Portfolio Holdings

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Money Market Funds

(NOT FDIC INSURED)

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	EY	EAI	Portfolio Percent
ADVANTAGE PRIMARY LIQ FD	CASH	14,866.64	ADLXX	1 0000	1 0000	14,866 64	14,866 64	0 020%	2	3 62
TOTAL MONEYMARKET FUNDS						**14,866.64**	**14,866 64**		**2**	**3.62**

Equities

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
CHECK POINT SOFTWARETECH LTD ORD	(Q) CASH	55	CHKP	56 3142	55 3400	3,097 28	3,043 70	(54)			0 74
YANDEX N V SHS CLASS A	(N) CASH	154	YNDX	31 6766	21 9800	4,878 20	3,384 92	(1,493)			0 82
ADIDAS AG ADR	(B) CASH	201	ADDYY	36.8327	35.1750	7,403 38	7,070 17	(333)	1 135%	80	1 72
ARCELORMITTAL SA LUXEMBOURG NY REGISTRY SH	(C) CASH	239	MT	35 7472	18 8900	8,543 59	4,514 71	(4,029)	3 374%	152	1 10
ARM HLDGS PLC SPONSOREDADR	(Q) CASH	144	ARMH	5 2074	28 2700	749 86	4,070 88	3,321	0 461%	18	0.99
ASSA ABLOY AB ADR	(C) CASH	751	ASAZY	13 6055	12 2780	10,217 72	9,220 77	(997)	2 003%	184	2 24
BG GROUPPLC ADR FIN INST N	(G) CASH	88	BRGYY	84 5945	106.8320	7,444 32	9,401 21	1,957	1 056%	99	2 29

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PAG/IAS THORNBURG INV MGMT
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Page	Account Number	Financial Advisor	Period Ending
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Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
CNOOCLTD SPONSOREDADR	(G) CASH	50	CEO	147.7092	193.3200	7,385.46	9,666.00	2,281	2 989%	288	2.35
CANADIAN NATL RY CO	(S) CASH	119	CNI	47 1700	77 5600	5,613.23	9,229 64	3,616	1 650%	152	2 25
CANADIAN NAT RES LTD	(G) CASH	136	CNQ	29.7640	37 3400	4,047.90	5,078.24	1,030	0.957%	48	1.24
CANON INC ADR	(F) CASH	229	CAJ	40.1570	45 0100	9,195 96	10,307.29	1,111	3.215%	331	2.51
CARNIVAL CORP PAIRED CTF	(S) CASH	323	CCL	35.6588	33 2000	11,517.80	10,723.60	(794)	3.012%	323	2 61
CREDIT SUISSE GROUP SPONSOREDADR	(I) CASH	320	CS	41.4242	24 2100	13,255 74	7,747.20	(5,509)	6.109%	473	1 88
DASSAULT SYS S A SPONSOREDADR	(Q) CASH	64	DASTY	54.1903	81.7110	3,468 18	5,229.50	1,761	0 700%	36	1.27
EMBRAER S A SP ADR REP 4 COM	(S) CASH	302	ERJ	28.2907	25 5200	8,543 78	7,707 04	(837)			1.88
FANUC CORPORATION ADR	(E) CASH	297	FANUY	12.7504	26 3430	3,786 87	7,823 87	4,037	1 207%	94	1 90
FLSMIDTH & CO A S SPONSOREDADR	(P) CASH	730	FLIDY	6.0890	6 4260	4,445 00	4,690.98	246	1 770%	83	1 14
FRESENIUS MED CARE AG&CO KGAA SPONSOREDADR	(L) CASH	139	FMS	40.8347	68 6800	5,676 03	9,546 52	3,870	0 950%	90	2.32
HANG LUNG PPTYS LTD SPONSOREDADR	(O) CASH	260	HLPPY	15 7162	14 6610	4,086 20	3,811.86	(274)	2 892%	110	0 93
HENNES & MAURITZ AB ADR	(P) CASH	1,648	HNNMY	5.2506	6 3470	8,653 03	10,459 85	1,807	3 721%	389	2.54
HONG KONG EXCHANGES& CLEARING ADR	(I) CASH	342	HKXCY	9.6373	15.7290	3,295 95	5,379 31	2,083	3 296%	177	1 31

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VILCEK FOUNDATION INC
PAG/IAS THORNBURG INV MGMT
ATT JAN T VILCEK

Page	Account Number	Financial Advisor	Period Ending
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Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
INDUSTRIAL & COML BK CHINA ADR	(I) CASH	556	IDCBY	13 5320	11 0860	7,523 80	6,163 81	(1,360)	4 153%	256	1 50
ING GROEP N V SPONSOREDADR	(I) CASH	838	ING	8 0806	7 8200	6,771.58	6,553 16	(218)			1 59
ITAU UNIBANCO HLDG SA SPON ADR REP PFD	(I) CASH	511	ITUB	18 2217	17 8000	9,311.27	9,095.80	(215)	0 485%	44	2 21
KDDI CORP ADR	(R) CASH	288	KDDIY	17 1369	16 2630	4,935 43	4,683.74	(252)	2 502%	117	1 14
KINGFISHER PLC SPON ADR PAR	(P) CASH	1,216	KGFHY	5 6966	8.0400	6,927 05	9,776 64	2,850	2 686%	262	2 38
KOMATSU LTD SPON ADR NEW	(E) CASH	344	KMTUY	17.2190	24 4110	5,923 35	8,397 38	2,474	1.781%	149	2 04
LI & FUNG LTD ADR	(B) CASH	1,725	LFUGY	3 6704	3 9820	6,331 40	6,868 95	538	2 335%	160	1 67
LVMH MOET HENNESSY LOU VUITTON ADR	(J) CASH	429	LVMUY	15.3670	31 3920	6,592 43	13,467 16	6,875	1.481%	199	3 28
MERCADOLIBRE INC	(P) CASH	61	MELI	63 2113	87 6900	3,855.89	5,349.09	1,493	0 364%	19	1 30
MITSUBISHI UFJ FINL GROUP INC SPONSOREDADR	(I) CASH	1,515	MTU	6 1042	4 3400	9,247.85	6,575 10	(2,673)	3 070%	201	1.60
NESTLE S A SPONSOREDADR	(J) CASH	157	NSRGY	47 3609	56 1990	7,435 66	8,823.24	1,388	3 144%	277	2.15
NEW ORIENTAL ED & TECH GRP INC SPON ADR	(N) CASH	19	EDU	21 8853	25 2400	415 82	479 56	64			0 12
NOVARTIS A G SPONSOREDADR	(L) CASH	205	NVS	48 5608	54 1200	9,954 97	11,094 60	1,140	3 705%	411	2 70
NOVO-NORDISK A S ADR	(L) CASH	95	NVO	64.7154	113.5500	6,147 96	10,787.25	4,639	1 195%	129	2 62

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Page	Account Number	Financial Advisor	Period Ending
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Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
POTASH CORP SASK INC	(C) CASH	160	POT	37 9909	43 3400	6,078.55	6,934 40	856	0 646%	44	1 69
PUBLICIS S A NEW SPONSOREDADR	(M) CASH	275	PUBGY	21 8626	23.8610	6,012.21	6,561.77	550	1 680%	110	1 60
RECKITT BENCKISER GROUPPLC ADR	(L) CASH	1,155	RBGPY	9.0772	10.1260	10,484.21	11,695 53	1,211	3.439%	402	2 85
SABMILLER PLC SPONSOREDADR	(J) CASH	114	SBMRY	21 6640	35.2310	2,469.70	4,016 33	1,547	2.252%	90	0.98
SAP AG SPON ADR	(Q) CASH	209	SAP	43.6856	59.9600	9,130.29	12,531 64	3,401	1 710%	214	3 05
SBERBANK RUSSIA SPONSOREDADR	(I) CASH	572	SBRCY	10 8083	11.4020	6,182.35	6,521 94	340	1.034%	67	1 59
SCHLUMBERGERLTD	(G) CASH	157	SLB	57.8899	75 3300	9,088 72	11,826 81	2,738	1 327%	157	2.88
SIEMENS A G SPONSOREDADR	(T) CASH	93	SI	116 4018	101 4100	10,825.37	9,431.13	(1,394)	2 913%	274	2.29
SWATCHGROUPAG ADR	(C) CASH	190	SWGAY	19 3965	19 4310	3,685.34	3,691.89	7	0 897%	33	0 90
TECK RESOURCESLTD CL B	(C) CASH	113	TCK	50.5500	36 4900	5,712.15	4,123.37	(1,589)	2.214%	91	1.00
TELEFONICA S A SPONSOREDADR	(R) CASH	307	TEF	23.4720	18 7500	7,205 91	5,756.25	(1,450)	9 132%	525	1 40
TENCENT HLDGS LTD ADR	(R) CASH	347	TCEHY	20 5468	18 6740	7,129 74	6,479.87	(650)	0.326%	21	1.58
TESCO PLC SPONSOREDADR	(J) CASH	524	TSCDY	19 8103	19 1190	10,380 59	10,018.35	(362)	3 452%	345	2 44
TEVA PHARMACEUTICAL INDS LTD ADR	(L) CASH	262	TEVA	46.4921	39 6100	12,180.92	10,377.82	(1,803)	1 981%	205	2.52

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Common Stock

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TOYOTA MOTORCORP SP ADR REP2COM	(S) CASH	142	TM	89 7170	65 9100	12,739 81	9,359 22	(3,381)	1 779%	166	2.28
TURKIYE GARANTI BANKASI A S SPONSOREDADR	(I) CASH	1,666	TKGBY	4 4884	3 4310	7,477 75	5,716 04	(1,762)	1 923%	109	1 39
VODAFONEGROUP PLC NEW SPONS ADR NEW	(R) CASH	74	VOD	26 1522	27 1500	1,935.26	2,009 10	74	5 314%	106	0 49
VOLKSWAGENAG SPON ADR PREF	(S) CASH	184	VLKPY	21 7018	34 3670	3,993 13	6,323.52	2,330	1.437%	90	1 54
WAL MART DE MEXICO S A B DE CV SPON ADR REP V	(J) CASH	243	WMMVY	11 7046	27 0430	2,844 21	6,571 44	3,727	1 215%	79	1 58
SUB-TOTAL COMMONSTOCK						**362,236.15**	**396,169.16**	**33,933**		**8501**	**96.38**
TOTAL EQUITIES						**362,236 15**	**396,169.16**	**33,933**		**8501**	**96.38**

COMMON STOCK HOLDINGS SUMMARY BY INDUSTRY CODE

(Q) 6% TECHNOLOGY	(N) .90% PUBLIC SERVICES	(B) 3% APPAREL & ACCESSORIES	(C) 7% BASIC INDUSTRY	(G) 9% ENERGY
(S) 10% TRANSPORTATION	(F) 2% CONSUMER GOODS	(I) 13% FINANCIAL	(E) 4% CONSTRUCTION & BUILDING	(P) 7% RETAIL SERVICES
(L) 13% HEALTHCARE	(O) 90% REAL ESTATE	(R) 4% TELECOMMUNICATIONS	(J) 10% FOOD & BEVERAGES	(M) 1% MEDIA & COMMUNICATIO
(T) 3% UTILITIES				

	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
Total Portfolio Value	$377,102.79	$411,035.80	$33,933	2.069	8504	100%

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STATEMENT OF ACCOUNT

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ODMD
ATTN GIANNA COLOMBO
60 EAST 42ND STREET 36TH FLR

$33033 DUPLICATE FOR:
VILCEK FOUNDATION INC
PAG/IAS/INVESCO

Page	Account Number	Financial Advisor	Period Ending
2 of 11	G03-1351732	THE SOOS/HORKY GROUP-PAG - KF6	11/30/11

Portfolio Holdings

Some prices, current values and income estimates may be approximations, resulting in gains and losses not being accurately reflected. Unrealized gains and/or losses are computed from the supplied cost basis data and may not be accurate for tax reporting purposes. Items for which a cost basis was not available as of the statement period ending date are indicated by the symbol N/A. The total gains and/ or losses do not reflect positions which we do not have cost information. Please contact your Financial Advisor if you believe any cost basis related data is inaccurate or if you require additional information.

Estimated Annual Income ("EAI") and Estimated Yield ("EY") are estimates only and do not indicate actual income or performance of investments. EAI and EY for certain types of securities could include a return of principal or capital gains, in which case the EAI and EY would be overstated. As EAI and EY are estimates, the actual income and yield might be lower or higher than the estimated amounts. EY reflects only the income generated by an investment and it does not reflect changes in its price, which may fluctuate.

Money Market Funds *(NOT FDIC INSURED)*

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	EY	EAI	Portfolio Percent
ADVANTAGE PRIMARY LIQ FD	CASH	13,815.23	ADLXX	1.0000	1.0000	13,815 23	13,815 23	0.020%	2	5.49
TOTAL MONEY MARKET FUNDS...						13,815.23	13,815.23		2	5.49

Equities

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
ABB LTD SPONSORED ADR	(G)CASH	171	ABB	23.0847	18.9700	3,947.49	3,243.87	(704)	3 547%	115	1.29
ADIDAS AG ADR	(B)CASH	112	ADDYY	25.3499	35 1750	2,839.19	3,939.60	1,100	1 135%	44	1 56
AMERICA MOVIL SAB DE CV SPON ADR L SHS	(R)CASH	146	AMX	21 5798	23.8200	3,150.65	3,477.72	327	1.199%	41	1.38
BG GROUP PLC ADR FIN INST N	(G)CASH	44	BRGYY	89 1270	106.8320	3,921.59	4,700.60	779	1 056%	49	1.87
BNP PARIBAS SPONSORED ADR	(I)CASH	99	BNPQY	39.4767	19 8350	3,908.19	1,963 66	(1,945)	5 583%	109	0 78
BAYERISCHE MOTOREN WERKE A G ADR	(S)CASH	58	BAMXY	15 5340	25.1190	900 97	1,456 90	556	1 625%	23	0.58
BHP BILLITON LTD SPONSORED ADR	(C)CASH	60	BHP	60 3602	75.1700	3,621 61	4,510.20	889	2.687%	121	1 79

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Page	Account Number	Financial Advisor	Period Ending
3 of 11	G03-1351732	THE SOOS/HORKY GROUP-PAG - KF6	11/30/11

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
BRAMBLES LTD ADR	(I)CASH	224	BMBLY	14 8596	14.5050	3,328 56	3,249 12	(79)	3 360%	109	1 29
BRITISH AMERN TOB PLC SPONSORED ADR	(C)CASH	41	BTI	59 8905	93.1500	2,455.51	3,819 15	1,364	4 124%	157	1.52
BUNZL PUB LTD CO SPON ADR NEW	(J)CASH	63	BZLFY	48 1276	65.2710	3,032 04	4,112 07	1,080	2 894%	119	1 63
CNOOC LTD SPONSORED ADR	(G)CASH	31	CEO	143 9784	193.3200	4,463 33	5,992 92	1,530	2 989%	179	2.38
CSL LTD ADR	(L)CASH	126	CMXHY	11.3744	16.0210	1,433 18	2,018 64	585	2 321%	46	0.80
CANADIAN NATL RY CO	(S)CASH	48	CNI	53.8363	77 5600	2,584 14	3,722 88	1,139	1.650%	61	1 48
CANON INC ADR	(F)CASH	120	CAJ	43.4960	45 0100	5,219 52	5,401 20	182	3 215%	173	2 14
CAP GEMINI SA ADR	(Q)CASH	162	CGEMY	26 1331	18 9100	4,233.56	3,063 42	(1,170)	2 947%	90	1 22
CENOVUS ENERGY INC	(G)CASH	43	CVE	28.3102	33.3900	1,217 34	1,435.77	218	2.384%	34	0 57
CENTRICA PLC SPON ADR NEW	(G)CASH	155	CPYYY	17.4499	18.9870	2,704 73	2,942 98	238	4 867%	143	1 17
CHEUNG KONG HLDGS LTD ADR	(I)CASH	265	CHEUY	13.6008	11.0020	3,604.20	2,915 53	(689)	3 174%	92	1.16
CHINA MOBILE LIMITED SPONSORED ADR	(R)CASH	44	CHL	47 5370	49.6700	2,091.63	2,185 48	94	3 697%	80	0.87
CIELO S A SPONSORED ADR	(I)CASH	63	CIOXY	22 9000	26.7170	1,442.70	1,683 17	240	5.876%	98	0.67
COCA COLA AMATIL LTD SPONSORED ADR	(J)CASH	160	CCLAY	15.3036	23 9980	2,448.57	3,839 68	1,391	4 150%	159	1 52

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DUPLICATE FOR:
VILCEK FOUNDATION INC
PAG/IAS/INVESCO

Page	Account Number	Financial Advisor	Period Ending
4 of 11	G03-1351732	THE SOOS/HORKY GROUP-PAG - KF6	11/30/11

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
COCA COLA HELLENIC BTTLG CO SA SPONSORED ADR	(J)CASH	89	CCH	35 0351	14.9500	3,118 12	1,330 55	(1,788)			0.53
COMPASS GROUP PLC SPON ADR NEW	(J)CASH	572	CMPGY	6.1691	9 2640	3,528.70	5,299 00	1,770	2 968%	157	2 10
DANONE SPONSORED ADR	(J)CASH	321	DANOY	11.1990	13 2040	3,594 89	4,238 48	644	1 938%	82	1.68
DENSO CORP ADR	(S)CASH	222	DNZOY	13.7631	13 8480	3,055 41	3,074 25	19	1 668%	51	1 22
ERICSSON L M TEL CO ADR B SEK 10	(M)CASH	331	ERIC	11 8119	10.6300	3,909 73	3,518 53	(391)	2 427%	85	1 40
FANUC CORPORATION ADR	(E)CASH	121	FANUY	20 1739	26 3430	2,441.04	3,187 50	746	1.207%	38	1.27
FOMENTO ECONOMICO MEXICANO SAB SPON ADR UNITS	(J)CASH	59	FMX	53 3781	68 2100	3,149.31	4,024 39	875	1 606%	64	1 60
FRESENIUS MED CARE AG&CO KGAA SPONSORED ADR	(L)CASH	40	FMS	50.7758	68.6800	2,031.03	2,747.20	716	0.950%	26	1.09
GAZPROM O A O SPON ADR	(G)CASH	220	OGZPY	11.9368	11.4900	2,626.09	2,527.80	(98)	1 792%	45	1.00
GLAXOSMITHKLINE PLC SPONSORED ADR	(L)CASH	30	GSK	45.5540	44.4800	1,366.62	1,334.40	(32)	4 958%	66	0.53
GROUPE CGI INC CL A SUB VTG	(N)CASH	99	GIB	21 9233	18.4300	2,170 41	1,824.57	(346)			0 72
GRUPO TELEVISA SA SPON ADR REP ORD	(M)CASH	161	TV	19 4539	20.7600	3,132.07	3,342 36	210	0 679%	22	1 33
HUTCHISON WHAMPOA LTD ADR	(S)CASH	210	HUWHY	16 4008	16.7830	3,444 16	3,524.43	80	2.836%	99	1 40
IMPERIAL TOBACCO GROUP PLC SPONSORED ADR	(C)CASH	78	ITYBY	69.8997	71 9710	5,452.18	5,613.73	162	4.270%	239	2 23

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Page	Account Number	Financial Advisor	Period Ending
5 of 11	G03-1351732	THE SOOS/HORKY GROUP-PAG - KF6	11/30/11

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
INDUSTRIAL & COML BK CHINA ADR	(I)CASH	330	IDCBY	14.3475	11.0860	4,734 67	3,658.38	(1,076)	4 153%	151	1 45
INFOSYS LTD SPONSORED ADR	(Q)CASH	53	INFY	35.9200	51.6200	1,903 76	2,735 86	832	1 364%	37	1 09
INTERNATIONAL PWR PLC SPONSORED ADR	(T)CASH	42	IPRPY	40.5400	52 7520	1,702.68	2,215 58	513	31.011%	687	0 88
JULIUS BAER GROUP LTD ADR	(I)CASH	441	JBAXY	5.8318·	7 1550	2,571 81	3,155.35	584	1 593%	50	1 25
KEPPEL LTD SPONSORED ADR	(O)CASH	228	KPELY	10 7746	14 3770	2,456.60	3,277.95	821	4.867%	159	1 30
KINGFISHER PLC SPON ADR PAR	(P)CASH	426	KGFHY	6.7465	8.0400	2,873.99	3,425 04	551	2 686%	92	1.36
KOMATSU LTD SPON ADR NEW	(E)CASH	113	KMTUY	20.0849	24.4110	2,269.59	2,758.44	489	1 781%	49	1 10
KONINKLIJKE AHOLD N V SPON ADR 2007	(J)CASH	196	AHONY	12 6457	12.7360	2,478.56	2,496 25	18	2 732%	68	0 99
L OREAL CO ADR	(F)CASH	97	LRLCY	19.5590	21.6240	1,897.22	2,097 52	200	1 712%	35	0.83
NESTLE S A SPONSORED ADR	(J)CASH	73	NSRGY	35 9400	56.1990	2,623 62	4,102 52	1,479	3 144%	128	1 63
NIDEC CORP SPONSORED ADR	(D)CASH	125	NJ	16.4081	22 6700	2,051 01	2,833 75	783	1 036%	29	1.13
NOVARTIS A G SPONSORED ADR	(L)CASH	81	NVS	49.0974	54.1200	3,976.89	4,383 72	407	3 705%	162	1.74
NOVO-NORDISK A S ADR	(L)CASH	31	NVO	53 4826	113 5500	1,657 96	3,520 05	1,862	1 195%	42	1 40
PUBLICIS S A NEW SPONSORED ADR	(M)CASH	84	PUBGY	23.2987	23 8610	1,957.09	2,004 32	47	1 680%	33	0 80

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$33033 DUPLICATE FOR:
VILCEK FOUNDATION INC
PAG/IAS/INVESCO

Page	Account Number	Financial Advisor	Period Ending
6 of 11	G03-1351732	THE SOOS/HORKY GROUP-PAG - KF6	11/30/11

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
REED ELSEVIER P L C SPONS ADR NEW	(M)CASH	133	RUK	35.8513	33 3000	4,768.22	4,428 90	(339)	4 026%	178	1 76
ROCHE HLDG LTD SPONSORED ADR	(L)CASH	89	RHHBY	35 0115	39.8290	3,116 02	3,544 78	429	2 837%	100	1 41
ROYAL DUTCH SHELL PLC SPON ADR B	(G)CASH	60	RDSB	61 0027	72 1900	3,660.16	4,331 40	671	4.654%	201	1.72
SAP AG SPON ADR	(Q)CASH	79	SAP	51 9096	59 9600	4,100.86	4,736 84	636	1 710%	81	1.88
SCHNEIDER ELECTRIC SA ADR	(G)CASH	121	SBGSY	12.3211	11.3080	1,490.85	1,368 26	(123)	3 042%	41	0 54
SHIRE PLC SPONSORED ADR	(L)CASH	27	SHPGY	40.7600	101 3200	1,100.52	2,735 64	1,635	0.394%	10	1.09
SMITH & NEPHEW PLC SPDN ADR NEW	(L)CASH	48	SNN	44 5890	45 7500	2,140.27	2,196.00	56	1.728%	37	0 87
SUNCOR ENERGY INC NEW	(G)CASH	107	SU	38.1527	30.0200	4,082.34	3,212 14	(870)	1.452%	46	1.28
SWEDBANK A B SPD ADR	(I)CASH	163	SWDBY	17.3879	13.3380	2,834.23	2,174 09	(660)	1 761%	38	0 86
SYNGENTA AG SPONSORED ADR	(A)CASH	52	SYT	46.6367	58.9200	2,425.11	3,063.84	639			1 22
TAIWAN SEMICONDUCTOR MFG LTD SPONSORED ADR	(Q)CASH	341	TSM	10.1975	12.9200	3,477 35	4,405.72	928	3.212%	141	1.75
TESCO PLC SPONSORED ADR	(J)CASH	199	TSCDY	20.0915	19.1190	3,998.20	3,804 68	(194)	3.452%	131	1 51
TEVA PHARMACEUTICAL INDS LTD ADR	(L)CASH	140	TEVA	46 1282	39.6100	6,457 95	5,545 40	(913)	1.981%	109	2.20
TOTAL S A SPONSORED ADR	(G)CASH	44	TOT	57.3600	51 7400	2,523 84	2,276.56	(247)	5.208%	118	0 90

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Page	Account Number	Financial Advisor	Period Ending
7 of 11	G03-1351732	THE SOOS/HORKY GROUP-PAG - KF6	11/30/11

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
TOYOTA MOTOR CORP SP ADR REP2COM	(S)CASH	39	TM	84.9218	65 9100	3,311 95	2,570.49	(741)	1.779%	45	1.02
TRANSCANADA CORP	(G)CASH	102	TRP	33 1326	41 8000	3,379 53	4,263 60	884	3 940%	168	1 69
UNILEVER N V N Y SHS NEW	(J)CASH	122	UN	31 2578	34.1100	3,813 45	4,161.42	348	3 091%	128	1.65
VIMPELCOM LTD SPONSORED ADR	(R)CASH	129	VIP	23.0580	11.9200	2,974.48	1,537.68	(1,437)	5 297%	81	0.61
VODAFONE GROUP PLC NEW SPONS ADR NEW	(R)CASH	127	VOD	18.1005	27.1500	2,298.76	3,448.05	1,149	5 314%	183	1 37
VOLKSWAGEN AG SPON ADR PREF	(S)CASH	80	VLKPY	35.8989	34 3670	2,871 91	2 749 36	(123)	1 437%	39	1.09
VOLVO AKTIEBOLAGET ADR B	(S)CASH	192	VOLVY	17.3068	11 4620	3,322 91	2.200 70	(1,122)	3 044%	67	0.87
WPP PLC ADR	(M)CASH	63	WPPGY	50.0344	52 4700	3,152 17	3,305 61	153	2 931%	96	1.31
WORLEYPARSONS LTD ADR	(P)CASH	123	WYGPY	30 5250	26.2880	3,754 58	3,233 42	(521)	3 111%	100	1 28
SUB-TOTAL COMMON STOCK..						215,779.57	233,221.06	17,440		6908	92.60

COMMON STOCK HOLDINGS SUMMARY BY INDUSTRY CODE

(G) 15% ENERGY
(C) 5% BASIC INDUSTRY
(M) 7% MEDIA & COMMUNICATION
(P) 2% RETAIL SERVICES
(B) 1% APPAREL & ACCESSORIES
(J) 16% FOOD & BEVERAGES
(E) 2% CONSTRUCTION & BUILDING
(D) 1% CAPITAL GOODS
(R) 4% TELECOMMUNICATIONS
(L) 12% HEALTHCARE
(N) .70% PUBLIC SERVICES
(A) 2% AGRICULTURE
(I) 8% FINANCIAL
(F) 3% CONSUMER GOODS
(T) .90% UTILITIES
(S) 8% TRANSPORTATION
(Q) 6% TECHNOLOGY
(O) 1% REAL ESTATE

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VILCEK FOUNDATION INC
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Page	Account Number	Financial Advisor	Period Ending
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Preferred or Other Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
BANCO BRADESCO S A SP ADR PFD NEW MOODYS NR	CASH	289	BBD	16 8658	16 5000	4,874 23	4,768 50	(106)	0 654%	31	1 89
SUB-TOTAL PREFERRED OR OTHER STOCK..						4,874.23	4,768.50	(106)		31	1.89
TOTAL EQUITIES...						220,653.80	237,989.56	17,334		6939	94.49

	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
Total Portfolio Value	$234,468.03	$251,804.79	$17,334	2.757	6942	100%

Transactions/Activity Detail

Date	Type	Quantity	Transaction	Description		Price/Entry	Amount	
				** BUY AND SELL TRANSACTIONS **				
11-01	CASH	8	BOUGHT	SAP AG COMMISSION 0 00	SPON ADR CHARGES/FEES 0 00	62.07240	496 58	DEBIT
11-03	CASH	-17	SOLD	BAYER A G COMMISSION 0 00	SPONSORED ADR CHARGES/FEES 0 03	64 99540	1,104.89	CREDIT
11-03	CASH	30	BOUGHT	GLAXOSMITHKLINE PLC COMMISSION 0 00	SPONSORED ADR CHARGES/FEES 0 00	45 55410	1,366 62	DEBIT
11-04	CASH	-8	SOLD	BAYER A G COMMISSION 0.00	SPONSORED ADR CHARGES/FEES 0.01	60.63180	485 04	CREDIT
11-08	CASH	-28	SOLD	BAYERISCHE MOTOREN WERKE A G COMMISSION 0.00	ADR CHARGES/FEES 0 02	27 24120	762 73	CREDIT
				Net Buy and Sell Transactions................			$489.46	CREDIT

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$33033 DUPLICATE FOR:
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PAG/IAS-EAGLE ASSET MGMT

Page	Account Number	Financial Advisor	Period Ending
2 of 10	G03-1351740	THE SOOS/HORKY GROUP-PAG - KF6	11/30/11

Portfolio Holdings

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Money Market Funds *(NOT FDIC INSURED)*

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	EY	EAI	Portfolio Percent
ADVANTAGE PRIMARY LIQ FD	CASH	13,510.69	ADLXX	1.0000	1.0000	13,510 69	13,510 69	0 020%	2	3.10
TOTAL MONEY MARKET FUNDS..						13,510.69	13,510.69		2	3.10

Equities

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
HERBALIFE LTD COM USD SHS	(L)CASH	77	HLF	15.0251	55 3000	1,156 93	4,258 10	3,101	1.311%	55	0.98
EZCHIP SEMICONDUCTOR LIMITED ORD	(Q)CASH	73	EZCH	30.0321	31 1087	2,192.34	2,270 93	79			0.52
ACACIA RESH CORP ACACIA TCH COM	(I)CASH	124	ACTG	38.9896	34.8200	4,834.71	4,317 68	(517)			0 99
ALLSCRIPTS HEALTHCARE SOLUTNS	(Q)CASH	210	MDRX	13.7229	19.4600	2,881 80	4,086 60	1,205			0 94
ANSYS INC	(Q)CASH	93	ANSS	32.5294	61.9700	3,025.23	5,763.21	2,738			1 32
ATLAS AIR WORLDWIDE HLDGS INC COM NEW	(S)CASH	65	AAWW	54 7482	42.2500	3,558.63	2,746.25	(812)			0 63
ATWOOD OCEANICS INC	(G)CASH	53	ATW	38.9634	41 0000	2,065 06	2,173 00	108			0 50
BALLY TECHNOLOGIES INC	(Q)CASH	161	BYI	27 9846	38.3400	4,505 52	6,172.74	1,667			1 42

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DUPLICATE FOR:
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PAG/IAS-EAGLE ASSET MGMT

Page	Account Number	Financial Advisor	Period Ending
3 of 10	G03-1351740	THE SOOS/HORKY GROUP-PAG - KF6	11/30/11

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
BIOMARIN PHARMACEUTICAL INC	(L)CASH	195	BMRN	14.4223	34 6200	2,812.35	6,750.90	3,939			1 55
BJS RESTAURANTS INC	(J)CASH	265	BJRI	14.7245	48 0800	3,901.99	12,741 20	8,839			2 92
BROADSOFT INC	(Q)CASH	63	BSFT	39 9481	35 0800	2,516 73	2,210.04	(307)			0 51
BRUKER CORP	(Q)CASH	405	BRKR	13.3914	12 5200	5,423.52	5,070 60	(353)			1 16
CASH AMER INTL INC	(I)CASH	195	CSH	25.7286	49 7100	5,017.07	9,693 45	4,676	0 281%	27	2 22
CATALYST HEALTH SOLUTIONS INC	(I)CASH	90	CHSI	43.7940	52.0200	3,941.46	4,681.80	740			1 07
CENTENE CORP DEL	(I)CASH	230	CNC	19.5608	38 7100	4,498 99	8,903 30	4,404			2 04
CLOUD PEAK ENERGY INC	(C)CASH	195	CLD	17 8522	21.3700	3,481 18	4,167 15	686			0 96
COGNEX CORP	(D)CASH	120	CGNX	33 7671	35.6700	4,052 05	4,280 40	228	1 121%	48	0 98
COHERENT INC	(Q)CASH	170	COHR	20 0803	50 8000	3,413.65	8,636 00	5,222			1.98
CONCUR TECHNOLOGIES INC	(Q)CASH	49	CNQR	37 7749	47 2300	1,850 97	2,314 27	463			0 53
CUBIST PHARMACEUTICALS INC	(L)CASH	59	CBST	35 7797	38.5700	2,111 00	2,275.63	165			0.52
CYMER INC	(D)CASH	54	CYMI	46 4443	44.7200	2,507 99	2,414 88	(93)			0 55
DTS INC	(H)CASH	195	DTSI	26.5630	28 7900	5,179.79	5,614 05	434			1 29
DUFF & PHELPS CORP NEW CL A	(I)CASH	165	DUF	15.8508	14 7800	2,615 38	2,438 70	(177)	2.165%	52	0.56
FTI CONSULTING INC	(N)CASH	200	FCN	34 6489	42 8900	6,929 78	8,578 00	1,648			1 97
FORTINET INC	(Q)CASH	116	FTNT	17 6940	23 9900	2,052 50	2,782 84	730			0 64
FRESH MKT INC	(J)CASH	171	TFM	35.5688	39.2300	6,082 26	6,708 33	626			1 54
GEO GROUP INC	(P)CASH	315	GEO	19.8478	17 7000	6,252 06	5,575.50	(677)			1 28
GNC HLDGS INC COM CL A	(F)CASH	132	GNC	17.4999	27.2700	2,309 99	3,599 64	1,290			0 83

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STATEMENT OF ACCOUNT

ODMD
ATTN GIANNA COLOMBO
60 EAST 42ND STREET FL 36

S33033 DUPLICATE FOR:
THE VILCEK FOUNDATION
PAG/IAS-EAGLE ASSET MGMT

Page	Account Number	Financial Advisor	Period Ending
4 of 10	G03-1351740	THE SOOS/HORKY GROUP-PAG - KF6	11/30/11

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
GENESCO INC	(B)CASH	305	GCO	22.9410	59.0500	6,997.01	18,010 25	11,013			4.13
GRAFTECH INTL LTD	(D)CASH	325	GTI	11.9195	14 4400	3,873 85	4,693.00	819			1 08
GULFPORT ENERGY CORP COM NEW	(G)CASH	73	GPOR	29.4470	31 7500	2,149.63	2,317.75	168			0.53
HEXCEL CORP NEW	(C)CASH	173	HXL	22 2576	24 9200	3,850.56	4,311.16	461			0.99
HUNTSMAN CORP	(C)CASH	680	HUN	6.8835	10 9300	4,680.77	7,432 40	2,752	3 659%	272	1 71
IPG PHOTONICS CORP	(Q)CASH	46	IPGP	48 4430	38.3300	2,228 38	1,763 18	(465)			0 40
ICON PUB LTD CO SPONSORED ADR	(N)CASH	130	ICLR	22.8137	17 0100	2,965.78	2,211 30	(754)			0 51
IMPAX LABORATORIES INC	(L)CASH	88	IPXL	25.6518	20 1400	2,257 36	1,772.32	(485)			0 41
INFORMATICA CORP	(Q)CASH	164	INFA	25.2010	44.9550	4,132.96	7,372.62	3,240			1 69
INTREPID POTASH INC	(C)CASH	135	IPI	25.9989	23.1600	3,509 85	3,126.60	(383)			0.72
JETBLUE AIRWAYS CORP	(S)CASH	575	JBLU	7.1677	4.1200	4,121 43	2,369.00	(1,752)			0.54
KRATON PERFORMANCE POLYMERS	(C)CASH	126	KRA	38.5438	21.0200	4,856 52	2,648.52	(2,208)			0.61
LANDSTAR SYS INC	(S)CASH	100	LSTR	39 0940	46.2700	3,909.40	4,627.00	718	0.475%	22	1.06
LUFKIN INDS INC	(D)CASH	234	LUFK	21.6233	70.0800	5,059.86	16,398.72	11,339	0 713%	117	3.76
MWI VETERINARY SUPPLY INC	(L)CASH	31	MWIV	71.2361	69.1100	2,208.32	2,142.41	(66)			0 49
MADDEN STEVEN LTD	(B)CASH	168	SHOO	22.0547	35.6600	3,705.19	5,990.88	2,286			1.37
MEDASSETS INC	(Q)CASH	298	MDAS	18.3904	9.5600	5,480 35	2,848.88	(2,631)			0 65
MEDIDATA SOLUTIONS INC	(Q)CASH	233	MDSO	23.1008	20.1550	5,382.49	4,696 11	(686)			1 08
MERITOR INC	(S)CASH	507	MTOR	12.8933	5.9500	6,536 89	3,016 65	(3,520)			0 69

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
MONSTER WORLDWIDE INC	(P)CASH	432	MWW	11 8941	7 3100	5,138.27	3,157 92	(1,980)			0 72
NORTHWEST PIPE CO	(C)CASH	70	NWPX	32.6526	23.2900	2,285 68	1,630 30	(655)			0 37
OYO GEOSPACE CORP	(D)CASH	114	OYOG	23 2350	90 9800	2,648 79	10,371 72	7,723			2 38
OASIS PETE INC NEW	(G)CASH	160	OAS	26.9675	30 4700	4,314 80	4,875 20	560			1 12
ONYX PHARMACEUTICALS INC	(L)CASH	53	ONXX	38 8104	44.1000	2,056 95	2,337 30	280			0 54
OPNET TECHNOLOGIES INC	(Q)CASH	61	OPNT	34.6200	35.6700	2,111 82	2,175 87	64	1 345%	29	0 50
PAREXEL INTL CORP	(N)CASH	156	PRXL	22 0908	20 0500	3,446 16	3,127 80	(318)			0.72
PINNACLE ENTMT INC	(K)CASH	202	PNK	10 9224	10 5700	2,206 32	2,135 14	(71)			0 49
PLANTRONICS INC NEW	(R)CASH	110	PLT	33.2212	34 4600	3,654 33	3,790 60	136	0 580%	22	0 87
POLYPORE INTL INC	(C)CASH	82	PPO	50.7779	49.0500	4,163.79	4,022 10	(142)			0 92
QUAKER CHEM CORP	(C)CASH	57	KWR	41.7937	38 9100	2,382.24	2,217.87	(164)	2.467%	54	0.51
QLIK TECHNOLOGIES INC	(Q)CASH	177	QLIK	26 1625	27 3700	4,630 76	4,844 49	214			1 11
QUALITY SYS INC	(Q)CASH	116	QSII	24 7500	35 3500	2,871 00	4,100.60	1,230	1 980%	81	0 94
RTI INTL METALS INC	(C)CASH	77	RTI	32 4857	27.3000	2,501 40	2,102 10	(399)			0 48
REDWOOD TR INC REIT	(I)CASH	175	RWT	14 6373	10 3300	2,561 53	1,807.75	(754)	9 680%	175	0.41
REGAL BELOIT CORP	(D)CASH	100	RBC	46 0260	52 6600	4,602 60	5,266 00	663	1.367%	72	1 21
RIVERBED TECHNOLOGY INC	(Q)CASH	213	RVBD	12.0253	26 0000	2,561 39	5,538.00	2,977			1 27
ROSETTA RESOURCES INC	(G)CASH	47	ROSE	42 0983	54.3400	1,978 62	2,553 98	575			0 59
ROVI CORP	(M)CASH	118	ROVI	22 3198	27.7500	2,633.74	3,274.50	641			0.75
SALIX PHARMACEUTICALS INC	(L)CASH	130	SLXP	36 7227	44.1400	4,773 95	5,738 20	964			1 32

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$33033 DUPLICATE FOR:
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PAG/IAS-EAGLE ASSET MGMT

Page	Account Number	Financial Advisor	Period Ending
6 of 10	G03-1351740	THE SOOS/HORKY GROUP-PAG - KF6	11/30/11

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
SAPIENT CORP	(Q)CASH	544	SAPE	12.3469	12.2800	6,716.69	6,680.32	(36)			1 53
SEATTLE GENETICS INC	(L)CASH	225	SGEN	13 9106	16.6300	3,129.89	3,741.75	612			0 86
SHUFFLE MASTER INC	(C)CASH	485	SHFL	4 0724	11.0900	1,975.10	5,378 65	3,404			1.23
SIRONA DENTAL SYSTEMS INC	(L)CASH	164	SIRO	38 0801	44.4400	6,245 13	7,288 16	1,043			1 67
SOTHEBYS	(P)CASH	199	BID	12 8110	31 4100	2,549.39	6,250 59	3,701	1.018%	63	1 43
STIFEL FINL CORP	(I)CASH	70	SF	27 5997	31 7000	1,931 98	2,219 00	287			0.51
SUCCESSFACTORS INC	(Q)CASH	272	SFSF	22.6802	25.6000	6,169 01	6,963 20	794			1.60
TENNECO INC	(S)CASH	118	TEN	21.4118	28.9600	2,526.59	3,417.28	891			0 78
TERADYNE INC	(T)CASH	303	TER	6.8730	13 4600	2,082 52	4,078 38	1,996			0.94
THORATEC CORP COM NEW	(L)CASH	172	THOR	23.8485	30.4200	4,101 94	5,232 24	1,130			1 20
TIBCO SOFTWARE INC	(Q)CASH	249	TIBX	6 5985	27.4000	1,643 03	6,822.60	5,180			1.57
TITANIUM METALS CORP COM NEW	(C)CASH	404	TIE	14.1255	15.5800	5,706.70	6,294 32	588	1.925%	121	1.44
TRIUMPH GROUP INC NEW	(S)CASH	150	TGI	36.1408	59.4900	5,421.12	8,923 50	3,502	0.268%	24	2 05
TWO HBRS INVT CORP REIT	(I)CASH	368	TWO	10 6873	9 3600	3,932.94	3,444.48	(488)	17.094%	588	0.79
UMB FINL CORP	(I)CASH	55	UMBF	40 7191	35.7000	2,239 55	1,963 50	(276)	2 296%	45	0.45
UNITED THERAPEUTICS CORP DEL	(L)CASH	52	UTHR	41.4688	40.9100	2,156.38	2,127.32	(29)			0.49
UNIVERSAL ELECTRS INC	(H)CASH	250	UEIC	20.8578	16 3200	5,214.45	4,080.00	(1,134)			0.94
VEECO INSTRS INC DEL	(D)CASH	109	VECO	32.7083	24.8900	3,565.20	2,713.01	(852)			0.62
VITAMIN SHOPPE INC	(J)CASH	220	VSI	20 3280	36.8100	4,472.15	8,098.20	3,626			1.86

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PAG/IAS-EAGLE ASSET MGMT

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7 of 10	G03-1351740	THE SOOS/HORKY GROUP-PAG - KF6	11/30/11

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
WABCO HLDGS INC	(S)CASH	100	WBC	34 9178	47 0100	3,491 78	4,701 00	1,209			1 08
WASTE CONNECTIONS INC	(N)CASH	240	WCN	18 4473	32 7700	4,427 35	7,864 80	3,437	1 098%	86	1 82
SUB-TOTAL COMMON STOCK						322,300 56	422,323.68	100,027		1958	96.90
TOTAL EQUITIES						322,300.56	422,323.68	100,027		1958	96.90

COMMON STOCK HOLDINGS SUMMARY BY INDUSTRY CODE

(L) 10% HEALTHCARE
(J) 6% FOOD & BEVERAGES
(P) 3% RETAIL SERVICES
(M) .70% MEDIA & COMMUNICATION
(Q) 22% TECHNOLOGY
(C) 10% BASIC INDUSTRY
(F) 80% CONSUMER GOODS
(T) 1% UTILITIES
(I) 9% FINANCIAL
(D) 10% CAPITAL GOODS
(B) 5% APPAREL & ACCESSORIES
(S) 7% TRANSPORTATION
(H) 2% ENTERTAINMENT
(K) 50% GAMING/LODGING
(G) 2% ENERGY
(N) 5% PUBLIC SERVICES
(R) 80% TELECOMMUNICATIONS

	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
Total Portfolio Value	$335,811.25	$435,834.37	$100,027	0.449	1961	100%

Transactions/Activity Detail

Date	Type	Quantity	Transaction	Description		Price/Entry	Amount
				** BUY AND SELL TRANSACTIONS **			
11-01	CASH	202	BOUGHT	PINNACLE ENTMT INC	COMMISSION 0 00 CHARGES/FEES 0 00	10 92240	2,206 32 DEBIT
11-02	CASH	-133	SOLD	BRIGHAM EXPLORATION CO	COMMISSION 0 00 CHARGES/FEES 0 10	36 40514	4,841 78 CREDIT
11-02	CASH	77	BOUGHT	OASIS PETE INC NEW	COMMISSION 0 00 CHARGES/FEES 0 00	31 27340	2,408 05 DEBIT
11-04	CASH	46	BOUGHT	IPG PHOTONICS CORP	COMMISSION 0 00 CHARGES/FEES 0.00	48 44310	2,228 38 DEBIT

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60 EAST 42ND STREET 36TH FLR

$33033 DUPLICATE FOR:
THE VILCEK FOUNDATION
PAG/IAS-MOODY ALDRICH PARTNERS

Page	Account Number	Financial Advisor	Period Ending
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Portfolio Holdings

Some prices, current values and income estimates may be approximations, resulting in gains and losses not being accurately reflected. Unrealized gains and/or losses are computed from the supplied cost basis data and may not be accurate for tax reporting purposes. Items for which a cost basis was not available as of the statement period ending date are indicated by the symbol N/A. The total gains and/ or losses do not reflect positions which we do not have cost information. Please contact your Financial Advisor if you believe any cost basis related data is inaccurate or if you require additional information.

Estimated Annual Income ("EAI") and Estimated Yield ("EY") are estimates only and do not indicate actual income or performance of investments. EAI and EY for certain types of securities could include a return of principal or capital gains, in which case the EAI and EY would be overstated. As EAI and EY are estimates, the actual income and yield might be lower or higher than the estimated amounts. EY reflects only the income generated by an investment and it does not reflect changes in its price, which may fluctuate.

Money Market Funds *(NOT FDIC INSURED)*

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	EY	EAI	Portfolio Percent
ADVANTAGE PRIMARY LIQ FD	CASH	44,245 38	ADLXX	1.0000	1 0000	44,245.38	44,245 38	0 020%	8	10 74
TOTAL MONEY MARKET FUNDS..						**44,245.38**	**44,245.38**		**8**	**10.74**

Equities

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
ALTERRA CAPITAL HOLDINGS LIMI	(I)CASH	250	ALTE	22 6910	22.9500	5,672.75	5,737.50	65	2.440%	140	1.39
ENERGY XXI (BERMUDA) LTD USD UNRS SHS	(G)CASH	170	EXXI	31.3915	31.4400	5,336.56	5,344.80	8			1 30
HELEN OF TROY CORP LTD	(F)CASH	130	HELE	31.9694	29.8700	4,156.02	3,883.10	(273)			0.94
COPA HOLDINGS SA CL A	(S)CASH	25	CPA	71.3440	64.5600	1,783 60	1,614 00	(170)	2.540%	41	0.39
ACI WORLDWIDE INC	(Q)CASH	70	ACIW	30.9921	30 0800	2,169.45	2,105 60	(64)			0 51
AMERICAN AXLE & MFG HLDGS INC	(S)CASH	360	AXL	10.1193	8 7700	3,642.94	3,157.20	(486)			0 77
AMERICAN CAMPUS CMNTYS INC REIT	(I)CASH	120	ACC	31.6391	39 3400	3,796.69	4,720.80	924	3.431%	162	1 15
ANALOGIC CORP COM PAR $0.05	(T)CASH	80	ALOG	56.5849	56.0100	4,526.79	4,480.80	(46)	0 714%	32	1 09

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PAG/IAS-MOODY ALDRICH PARTNERS

Page	Account Number	Financial Advisor	Period Ending
3 of 10	G03-1351757	THE SOOS/HORKY GROUP-PAG - KF6	11/30/11

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
ANDERSONS INC	(J)CASH	140	ANDE	37 3379	44.2900	5,227 30	6,200 60	973	0 993%	61	1 51
ASCENA RETAIL GROUP INC	(B)CASH	170	ASNA	25.8852	27 5200	4,400.48	4,678 40	278			1 14
AVIS BUDGET GROUP	(O)CASH	370	CAR	12 0480	11.8000	4,457.76	4,366 00	(92)			1 06
BANK OF THE OZARKS INC	(I)CASH	160	OZRK	23 9926	28 3500	3,838 82	4,536 00	697	1 410%	64	1.10
BARNES GROUP INC	(E)CASH	170	B	20 8121	24 9100	3,538 06	4,234.70	697	1 605%	68	1 03
BOSTON PRIVATE FINL HLDGS INC	(I)CASH	420	BPFH	6 7771	7 7700	2,846 38	3,263 40	417	0.514%	16	0 79
C&J ENERGY SVCS INC	(G)CASH	190	CJES	21 0051	19.6400	3,990.97	3,731 60	(259)			0.91
CLECO CORP NEW	(T)CASH	230	CNL	29 1859	36 1400	6,712 75	8,312 20	1,599	3.458%	287	2 02
CABELAS INC	(H)CASH	210	CAB	23 8981	23 5700	5,018 61	4,949.70	(69)			1 20
CACI INTL INC CL A	(Q)CASH	110	CACI	51.1641	56 3800	5,628 05	6,201 80	574			1.51
CALAMOS ASSET MGMT INC CL A	(I)CASH	330	CLMS	11.4884	11 8300	3,791 17	3,903 90	113	3 212%	125	0.95
CATHAY GENERAL BANCORP	(I)CASH	350	CATY	11 3403	13 8700	3,969 11	4,854 50	885	0 288%	14	1 18
COEUR D ALENE MINES CORP IDAHO COM NEW	(C)CASH	210	CDE	27.2156	29 2700	5,715 28	6,146 70	431			1 49
COHERENT INC	(Q)CASH	180	COHR	26 7635	50.8000	4,817 43	9,144 00	4,327			2.22
COMSTOCK RES INC COM NEW	(G)CASH	180	CRK	31 7376	16.6300	5,712 77	2,993 40	(2,719)			0.73
CROCS INC	(F)CASH	160	CROX	19.9852	15.5100	3,197 63	2,481 60	(716)			0 60
DARLING INTL INC	(J)CASH	280	DAR	8 4562	14.3700	2,367 74	4,023.60	1,656			0 98
DOMINOS PIZZA INC	(J)CASH	370	DPZ	17.8156	32.9400	6,591 77	12,187.80	5,596			2 96

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$33033

DUPLICATE FOR:
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PAG/IAS-MOODY ALDRICH PARTNERS

Page	Account Number	Financial Advisor	Period Ending
4 of 10	G03-1351757	THE SOOS/HORKY GROUP-PAG - KF6	11/30/11

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
DYCOM INDS INC	(T)CASH	380	DY	17.7452	20 0500	6,743.18	7,619.00	876			1 85
EAST WEST BANCORP INC	(I)CASH	320	EWBC	14.3444	19.5700	4,590 20	6,262.40	1,672	1 021%	64	1.52
EL PASO ELEC CO COM NEW	(T)CASH	120	EE	31 4443	34 5200	3,773 32	4,142.40	369	2 549%	105	1 01
ENPRO INDS INC	(C)CASH	120	NPO	44 9924	33 4700	5,399 09	4,016 40	(1,383)			0 98
FIRST POTOMAC RLTY TR REIT	(I)CASH	280	FPO	12.4507	12 7300	3,486.20	3,564 40	78	6 284%	224	0.87
FOOT LOCKER INC	(B)CASH	340	FL	12.9538	23.5900	4,404.30	8,020 60	3,616	2 797%	224	1 95
GENESCO INC	(B)CASH	100	GCO	46.0379	59 0500	4,603.79	5,905 00	1,301			1 43
GENESEE & WYO INC CL A	(S)CASH	140	GWR	30.5413	61.0700	4,275.78	8,549.80	4,274			2.08
GRACE W R & CO DEL NEW	(L)CASH	110	GRA	27.7181	41.6700	3,048.99	4,583.70	1,535			1.11
HEXCEL CORP NEW	(C)CASH	310	HXL	21 2488	24.9200	6,587.12	7,725.20	1,138			1 88
INVACARE CORP	(L)CASH	130	IVC	32.8562	20.5400	4,271 31	2,670.20	(1,601)	0.243%	6	0.65
KAISER ALUMINUM CORP COM PAR $0.01	(C)CASH	110	KALU	40 1022	46.6000	4,411.24	5,126.00	715	2 060%	105	1 24
KNOLOGY INC	(R)CASH	350	KNOL	13.3728	14.2500	4,680.47	4,987.50	307			1.21
KODIAK OIL & GAS CORP	(G)CASH	610	KOG	6 4868	8 8800	3,956.95	5,416.80	1,460			1.32
MKS INSTRUMENT INC	(D)CASH	110	MKSI	20.4170	26.8600	2,245.87	2,954.60	709	2 233%	66	0 72
MANITOWOC INC	(E)CASH	180	MTW	10.3001	11.0700	1,854.02	1,992.60	139	0.722%	14	0.48
NETGEAR INC	(R)CASH	180	NTGR	31.8386	37 9900	5,730.95	6,838.20	1,107			1 66
NEWPARK RES INC COM PAR $.01NEW	(G)CASH	630	NR	6 7841	8 9600	4,274.01	5,644 80	1,371			1 37

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
OLD DOMINION FGHT LINES INC	(S)CASH	130	ODFL	34.2731	38.8100	4,455 50	5,045.30	590			1 23
ON ASSIGNMENT INC	(P)CASH	380	ASGN	8 4026	10.4200	3,192.99	3,959 60	767			0 96
PAR PHARMACEUTICAL COS INC	(L)CASH	190	PRX	28.6881	32 4100	5,450 74	6,157.90	707			1 50
PIEDMONT NAT GAS INC	(G)CASH	230	PNY	27.8831	32 9700	6,413 11	7,583.10	1,170	3 518%	266	1 84
POLYONE CORP	(C)CASH	190	POL	13.6608	10.7600	2,595 55	2,044.40	(551)	1 486%	30	0 50
PORTFOLIO RECOVERY ASSOCS INC	(I)CASH	70	PRAA	89.0276	69 3700	6,231.93	4,855 90	(1,376)			1.18
PROSPERITY BANCSHARES INC	(I)CASH	130	PRSP	42.1461	39.9900	5,478.99	5,198 70	(280)	1.950%	101	1 26
REX ENERGY CORPORATION	(G)CASH	280	REXX	14.0239	16.1500	3,926 68	4,522.00	595			1 10
ROSETTA RESOURCES INC	(G)CASH	60	ROSE	48 2190	54.3400	2,893 14	3,260 40	367			0 79
SVB FINL GROUP	(I)CASH	100	SIVB	57 7417	47.0400	5,774 17	4,704 00	(1,070)			1 14
SCHNITZER STL INDS CL A	(C)CASH	80	SCHN	51 1689	46.3900	4,093.51	3,711 20	(382)	0.146%	5	0 90
SIGNATURE BK NEW YORK N Y	(I)CASH	220	SBNY	30 0717	58 4300	6,615.78	12,854 60	6,239			3 12
SMITH A O	(D)CASH	125	AOS	34 7054	39 2600	4,338.17	4,907 50	569	1 630%	80	1.19
SONIC AUTOMOTIVE INC CL A	(S)CASH	420	SAH	14 9250	14.7700	6,268.50	6,203 40	(65)	0 677%	42	1 51
SOVRAN SELF STORAGE INC REIT	(I)CASH	140	SSS	39 9195	41 6400	5,588 73	5,829.60	241	4 322%	252	1 42
STRATEGIC HOTELS & RESORTS INC REIT	(I)CASH	410	BEE	6.0955	5.0200	2,499 14	2,058 20	(441)			0.50
TANGER FACTORY OUTLET CTRS INC REIT	(I)CASH	180	SKT	23.2787	28.3500	4,190.17	5,103 00	913	2.821%	144	1 24
TELEDYNE TECHNOLOGIES INC	(P)CASH	70	TDY	53 5909	56.6800	3,751 36	3,967.60	216			0 96

OPPENHEIMER

Oppenheimer & Co Inc
125 Broad Street
New York, NY 10004
(212) 668-8000
Member of All Principal Exchanges

STATEMENT OF ACCOUNT

SIPC

ODMD
ATTN GIANNA COLOMBO
60 EAST 42ND STREET 36TH FLR

$33033

DUPLICATE FOR:
THE VILCEK FOUNDATION
PAG/IAS-MOODY ALDRICH PARTNERS

Page	Account Number	Financial Advisor	Period Ending
6 of 10	G03-1351757	THE SOOS/HORKY GROUP-PAG - KF6	11/30/11

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
TEREX CORP NEW	(S)CASH	240	TEX	17.9204	15 4300	4,300 89	3,703 20	(598)			0 90
TITAN INTL INC ILL	(S)CASH	150	TWI	18 4613	21.5400	2,769.20	3,231 00	462	0 092%	3	0 78
TITAN MACHY INC	(A)CASH	140	TITN	20 5820	21 7000	2,881.48	3,038 00	157			0 74
TRIUMPH GROUP INC NEW	(S)CASH	130	TGI	52 3498	59.4900	6,805 47	7,733 70	928	0 268%	20	1 88
TWIN DISC INC	(C)CASH	120	TWIN	33.5638	42 4000	4,027 66	5,088 00	1,060	0 754%	38	1 24
UIL HLDG CORP	(T)CASH	200	UIL	27.9809	34 8400	5,596.17	6,968 00	1,372	4 959%	345	1 69
U S AIRWAYS GROUP INC	(S)CASH	1,060	LCC	5 5244	4 7200	5,855.90	5,003 20	(853)			1 22
UNISOURCE ENERGY CORP	(T)CASH	150	UNS	33 0621	36 8800	4,959 32	5,532 00	573	4.555%	252	1 34
UNITED RENTALS INC	(I)CASH	210	URI	23.4477	28.1400	4,924 02	5,909 40	985			1 44
WERNER ENTERPRISES INC	(S)CASH	260	WERN	24.2725	23 4400	6,310.86	6,094 40	(216)	0.853%	52	1 44
SUB-TOTAL COMMON STOCK						323,432.80	367,540.60	44,108		3456	89.26
TOTAL EQUITIES						323,432.80	367,540.60	44,108		3456	89.26

COMMON STOCK HOLDINGS SUMMARY BY INDUSTRY CODE

(I) 22% FINANCIAL	(G) 10% ENERGY	(F) 1% CONSUMER GOODS	(S) 13% TRANSPORTATION	(Q) 4% TECHNOLOGY
(T) 10% UTILITIES	(J) 6% FOOD & BEVERAGES	(B) 5% APPAREL & ACCESSORIES	(O) 1% REAL ESTATE	(E) 1% CONSTRUCTION & BUILDING
(H) 1% ENTERTAINMENT	(C) 9% BASIC INDUSTRY	(L) 3% HEALTHCARE	(R) 3% TELECOMMUNICATIONS	(D) 2% CAPITAL GOODS
(P) 2% RETAIL SERVICES	(A) 1% AGRICULTURE			

	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
Total Portfolio Value	$367,678.18	$411,785.98	$44,108	0.841	3465	100%

OPPENHEIMER

Oppenheimer & Co. Inc.
125 Broad Street
New York, NY 10004
(212) 668-8000
Member of All Principal Exchanges

STATEMENT OF ACCOUNT

SIPC

ODMD
ATTN GIANNA COLOMBO
60 EAST 42ND STREET 36TH FLR

$33033 DUPLICATE FOR:
VILCEK FOUNDATION INC
PAG/IAS SCHAFER CULLEN

Page	Account Number	Financial Advisor	Period Ending
2 of 6	G03-1351765	THE SOOS/HORKY GROUP-PAG - KF6	11/30/11

Portfolio Holdings

Some prices, current values and income estimates may be approximations, resulting in gains and losses not being accurately reflected. Unrealized gains and/or losses are computed from the supplied cost basis data and may not be accurate for tax reporting purposes. Items for which a cost basis was not available as of the statement period ending date are indicated by the symbol N/A. The total gains and/ or losses do not reflect positions which we do not have cost information. Please contact your Financial Advisor if you believe any cost basis related data is inaccurate or if you require additional information.

Estimated Annual Income ("EAI") and Estimated Yield ("EY") are estimates only and do not indicate actual income or performance of investments. EAI and EY for certain types of securities could include a return of principal or capital gains, in which case the EAI and EY would be overstated. As EAI and EY are estimates, the actual income and yield might be lower or higher than the estimated amounts. EY reflects only the income generated by an investment and it does not reflect changes in its price, which may fluctuate.

Money Market Funds *(NOT FDIC INSURED)*

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	EY	EAI	Portfolio Percent
ADVANTAGE PRIMARY LIQ FD	CASH	38,582.06	ADLXX	1 0000	1 0000	38,582.06	38,582.06	0 020%	7	2 51
TOTAL MONEY MARKET FUNDS..						**38,582.06**	**38,582.06**		7	**2.51**

Equities

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
AT&T INC	(R)CASH	1,650	T	30 7054	28.9800	50,663.90	47,817 00	(2,847)	5 935%	2838	3 11
ALTRIA GROUP INC	(C)CASH	1,930	MO	22.2715	28.6900	42,984.00	55,371.70	12,388	5 716%	3165	3 60
ASTRAZENECA PLC SPONSORED ADR	(L)CASH	890	AZN	44.7211	45.9800	39,801.76	40,922.20	1,120	5.872%	2403	2.66
BOEING CO	(S)CASH	720	BA	53.0253	68.6900	38,178.25	49,456.80	11,279	2.445%	1209	3.22
BRISTOL MYERS SQUIBB CO	(L)CASH	1,700	BMY	22.5110	32.7200	38,268.70	55,624 00	17,355	4 034%	2244	3.62
CHEVRON CORP NEW	(G)CASH	580	CVX	78.5440	102.8200	45,555 50	59,635.60	14,080	3 151%	1879	3 88
CONOCOPHILLIPS	(G)CASH	840	COP	50.0184	71.3200	42,015.46	59,908 80	17,893	3 701%	2217	3 90
DIAGEO P L C SPON ADR NEW	(J)CASH	680	DEO	70.6631	85.6100	48,050 90	58,214.80	10,164	3 031%	1764	3 79
DOMINION RES INC VA NEW	(T)CASH	900	D	41 6432	51.6200	37,478.88	46,458 00	8,979	3 816%	1773	3.02

			Page	Account Number	Financial Advisor	Period Ending
ODMD ATTN GIANNA COLOMBO 60 EAST 42ND STREET 36TH FLR	$33033	DUPLICATE FOR: VILCEK FOUNDATION INC PAG/IAS SCHAFER CULLEN	3 of 6	G03-1351765	THE SOOS/HORKY GROUP-PAG - KF6	11/30/11

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
DU PONT E I DE NEMOURS & CO	(C)CASH	860	DD	33.5093	47 7200	28,818.02	41,039.20	12,221	3.436%	1410	2.67
GENERAL ELECTRIC CO	(T)CASH	2,450	GE	21.4184	15 9100	52,475 10	38,979.50	(13,496)	3 771%	1470	2 54
GENUINE PARTS CO	(P)CASH	675	GPC	39.0807	58 5000	26,379 50	39,487.50	13,108	3 076%	1215	2 57
HCP INC REIT	(I)CASH	1,080	HCP	32.9217	38 6500	35,555 40	41,742.00	6,187	4 967%	2073	2 71
HSBC HLDGS PLC SPON ADR NEW	(I)CASH	780	HBC	46.9387	39 1500	36,612 18	30,537 00	(6,075)	4 980%	1521	1 99
HEALTH CARE REIT INC REIT	(I)CASH	850	HCN	43 0519	50.1700	36,594 12	42,644 50	6,050	5 700%	2431	2.77
HEINZ H J CO	(J)CASH	980	HNZ	45 2883	52 6500	44,382 50	51,597.00	7,215	3 646%	1881	3 36
INTEL CORP	(Q)CASH	2,200	INTC	19 0749	24 9100	41,964 73	54,802 00	12,837	3 372%	1848	3 56
JOHNSON & JOHNSON	(L)CASH	750	JNJ	59 4676	64 7200	44,600 67	48,540.00	3,939	3 522%	1710	3 16
KIMBERLY CLARK CORP	(F)CASH	600	KMB	60.9494	71 4700	36,569.61	42,882.00	6,312	3 917%	1680	2 79
KRAFT FOODS INC CL A	(J)CASH	1,500	KFT	30 5446	36.1500	45,816 85	54,225 00	8,408	3 208%	1740	3 53
LILLY ELI & CO	(L)CASH	1,300	LLY	40 5396	37.8500	52,701 50	49,205 00	(3,497)	5 178%	2548	3 20
MERCK & CO INC NEW	(L)CASH	1,320	MRK	33.0160	35 7500	43,581 12	47,190.00	3,609	4 699%	2217	3 07
MICROSOFT CORP	(Q)CASH	1,765	MSFT	25.1081	25.5800	44,315 84	45,148.70	833	3 127%	1412	2 94
NEXTERA ENERGY INC	(T)CASH	850	NEE	50 0592	55 4400	42,550.36	47,124 00	4,574	3 968%	1870	3 07
NOKIA CORP SPONSORED ADR	(M)CASH	2,700	NOK	14 6745	5 7900	39,621 10	15,633 00	(23,988)	7.105%	1110	1.02
PHILIP MORRIS INTL INC	(C)CASH	700	PM	48.8940	76 2400	34,225 82	53,368.00	19,142	4 039%	2156	3.47
ROYAL DUTCH SHELL PLC SPON ADR B	(G)CASH	600	RDSB	73 0515	72.1900	43,830.90	43,314.00	(517)	4 654%	2016	2 82

OPPENHEIMER

Oppenheimer & Co. Inc.
125 Broad Street
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STATEMENT OF ACCOUNT

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DUPLICATE FOR.
VILCEK FOUNDATION INC
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Page	Account Number	Financial Advisor	Period Ending
4 of 6	G03-1351765	THE SOOS/HORKY GROUP-PAG - KF6	11/30/11

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
3M CO	(L)CASH	550	MMM	64 0719	81.0400	35,239 56	44,572.00	9,332	2.714%	1210	2.90
TRAVELERS COMPANIES INC	(I)CASH	780	TRV	47 4749	56 2500	37,030 41	43,875.00	6,845	2 915%	1279	2 85
UNILEVER N V N Y SHS NEW	(J)CASH	1,430	UN	30 6592	34 1100	43,842 60	48,777 30	4,935	3 091%	1507	3 17
VERIZON COMMUNICATIONS INC	(R)CASH	1,340	VZ	30 5345	37.7300	40,916.25	50,558 20	9,642	5.300%	2680	3.29
VODAFONE GROUP PLC NEW SPONS ADR NEW	(R)CASH	1,850	VOD	24 6513	27 1500	45,604 92	50,227 50	4,623	5 314%	2669	3 24
SUB-TOTAL COMMON STOCK..						1,316,226.41	1,498,877.30	182,650		61151	97.49
TOTAL EQUITIES..						1,316,226.41	1,498,877.30	182,650		61151	97.49

COMMON STOCK HOLDINGS SUMMARY BY INDUSTRY CODE

(R) 9% TELECOMMUNICATIONS	(C) 9% BASIC INDUSTRY	(L) 19% HEALTHCARE	(S) 3% TRANSPORTATION
(G) 10% ENERGY	(J) 14% FOOD & BEVERAGES	(T) 8% UTILITIES	(P) 2% RETAIL SERVICES
(I) 10% FINANCIAL	(Q) 6% TECHNOLOGY	(F) 2% CONSUMER GOODS	(M) 1% MEDIA & COMMUNICATION

	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
Total Portfolio Value	$1,354,808.47	$1,537,459.36	$182,650	3.977	61159	100%

SANFORD C. BERNSTEIN & CO., LLC
A subsidiary of AllianceBernstein L P

www.bernstein.com

Account No.	Period Ending	Last Statement
039-52816	11/30/2011	10/31/2011

THE VILCEK FOUNDATION, INC.
DR. JAN T. VILCEK PRESIDENT
MARICA VILCEK VICE PRESIDENT
167 EAST 73RD ST
NEW YORK NY 10021-3510

Bernstein Advisor:	Seth Buchwald	(212) 756-1852
Advisor Associate(s):	Frank Cassanitı	(212) 756-4126
	Chelsa Cruz	(212) 756-1832
	Henry Rauch	(212) 756-4639
	Claire Blackbum	(212) 823-2952
Fax Number:		(212) 407-5850

MEMBER, NEW YORK STOCK EXCHANGE, INC. SIPC

ACCOUNT SUMMARY

	Opening Balance	%	Closing Balance	%
Funds	11,398	100.0	11,220	100 0
Total Account Value	**11,398**	**100.0**	**11,220**	**100.0**

INCOME SUMMARY

	Current Month	Year To Date
Credit Interest	0	28
Taxable Bond Fund Dividends	0	640
Equity Dividends	0	1,140
Total Income	**0**	**1,808**

PORTFOLIO SUMMARY

Quantity	Description	Price	Market Value	Estimated Annual Income Rate	Estimated Annual Income Amount
Funds					
992.006	ALLIANCEBERNSTEIN BALANCED WEALTH STRATEGY ADV CL	11.310	11,220	$0.37	366

BERNSTEIN
Global Wealth Management

Capital Gains and Losses: Details

Account: THE VILCEK FOUNDATION, INC. (039-52816)

01/01/2011 - 12/31/2011

Capital Gains and Losses

Account No.	Qty.	Description	Type	Open Date	Close Date	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain/(Loss)
039-52816	0.99	ALLIANCEBERNSTEIN BALANCED WEALTH STRATEGY	Short-Term	06/16/11	10/14/11	$ 11.24	$ 11.88	$ 11.07	$ 11.70	$ (0.63)
039-52816	0.95	ALLIANCEBERNSTEIN BALANCED WEALTH STRATEGY	Short-Term	06/16/11	07/15/11	$ 12.16	$ 11.88	$ 11.50	$ 11.24	$ 0.26
039-52816	400.00	COOPER INDUSTRIES PLC	Long-Term	05/12/10	05/20/11	$ 62.25	$ 50.71	$ 24,899.60	$ 20,284.76	$ 4,614.84
039-52816	574.00	COOPER INDUSTRIES PLC	Long-Term	05/11/10	05/20/11	$ 62.25	$ 48.57	$ 35,730.92	$ 27,879.01	$ 7,851.91
039-52816	1,000 00	FORD MOTOR CO	Long-Term	05/10/10	05/20/11	$ 15.03	$ 12.20	$ 15,034.70	$ 12,199 70	$ 2,835.00
039-52816	1,600.00	CABLEVISION SYS CORP	Long-Term	05/06/10	05/20/11	$ 33.97	$ 25.27	$ 54,348.96	$ 40,429.76	$ 13,919.20
039-52816	147.00	COSTCO WHOLESALE CORP	Long-Term	05/06/10	05/20/11	$ 82.80	$ 58.36	$ 12,170.86	$ 8,578.21	$ 3,592.65
039-52816	4,100.00	SARA LEE CORP	Long-Term	05/06/10	05/20/11	$ 19.61	$ 13.57	$ 80,395.67	$ 55,654.63	$ 24,741.04
039-52816	500.00	NEWFIELD EXPLORATION CO	Long-Term	05/06/10	05/20/11	$ 73.95	$ 54.04	$ 36,972.50	$ 27,022.25	$ 9,950.25
039-52816	550.00	EXPRESS SCRIPTS INC	Long-Term	05/03/10	05/20/11	$ 59.89	$ 50.15	$ 32,940.99	$ 27,583.05	$ 5,357.94
039-52816	1,900 00	EMC CORP/MASS	Long-Term	04/27/10	05/20/11	$ 27.91	$ 19.45	$ 53,029.76	$ 36,958.99	$ 16,070.77
039-52816	586.00	EMC CORP/MASS	Long-Term	04/22/10	05/20/11	$ 27.91	$ 19.82	$ 16,355.49	$ 11,614 64	$ 4,740.85
039-52816	1,500.00	COMCAST CORP-CL A	Long-Term	04/19/10	05/20/11	$ 25.07	$ 18.38	$ 37,598.70	$ 27,570.60	$ 10,028.10
039-52816	362.00	INGERSOLL-RAND PLC	Long-Term	04/19/10	05/20/11	$ 49.36	$ 36.99	$ 17,869.29	$ 13,391.97	$ 4,477.32
039-52816	255.00	SCHLUMBERGER LTD	Long-Term	04/12/10	05/20/11	$ 83.29	$ 66.49	$ 21,238.06	$ 16,955.08	$ 4,282.98
039-52816	475.00	GOODRICH CORP	Long-Term	04/09/10	05/20/11	$ 89.58	$ 70.97	$ 42,552.26	$ 33,712.18	$ 8,840.08
039-52816	143.00	ALTRIA GROUP INC	Long-Term	03/25/10	05/20/11	$ 27.82	$ 20.56	$ 3,977.76	$ 2,939.67	$ 1,038.09
039-52816	3,300.00	COMCAST CORP-CL A	Long-Term	03/10/10	05/20/11	$ 25.07	$ 17.50	$ 82,717.14	$ 57,763.53	$ 24,953.61
039-52816	1,100.00	GENERAL ELECTRIC CO	Long-Term	03/04/10	05/20/11	$ 19.73	$ 16.09	$ 21,702.01	$ 17,703.84	$ 3,998.17
039-52816	899.00	GENERAL ELECTRIC CO	Long-Term	03/02/10	05/20/11	$ 19 73	$ 15 89	$ 17,736.46	$ 14,284.84	$ 3,451.62
039-52816	950.00	DOW CHEMICAL	Long-Term	02/08/10	05/20/11	$ 36.31	$ 26.60	$ 34,496 97	$ 25,273.80	$ 9,223.17
039-52816	350.00	NOBLE ENERGY INC	Long-Term	02/05/10	05/20/11	$ 89.07	$ 71.73	$ 31,172.75	$ 25,107.11	$ 6,065.64
039-52816	250.00	NOBLE ENERGY INC	Long-Term	02/04/10	05/20/11	$ 89.07	$ 73.25	$ 22,266.25	$ 18,313.33	$ 3,952.92
039-52816	850.00	ROYAL CARIBBEAN CRUISES LTD	Long-Term	01/13/10	05/20/11	$ 39.68	$ 26.28	$ 33,725.54	$ 22,341.74	$ 11,383.80
039-52816	700.00	EMC CORP/MASS	Long-Term	01/11/10	05/20/11	$ 27.91	$ 17.63	$ 19,537.28	$ 12,337.78	$ 7,199.50
039-52816	444.00	EMC CORP/MASS	Long-Term	12/31/09	05/20/11	$ 27 91	$ 17.47	$ 12,392.22	$ 7,756.68	$ 4,635.54
039-52816	901.00	GENERAL ELECTRIC CO	Long-Term	12/31/09	05/20/11	$ 19.73	$ 15.13	$ 17,775.92	$ 13,632.13	$ 4,143.79
039-52816	366.00	THE WALT DISNEY CO.	Long-Term	12/31/09	05/20/11	$ 41 32	$ 32.25	$ 15,124.14	$ 11,803.50	$ 3,320 64

BERNSTEIN
Global Wealth Management

Capital Gains and Losses: Details

Account: THE VILCEK FOUNDATION, INC. (039-52816)

01/01/2011 - 12/31/2011

Capital Gains and Losses

Account No.	Qty.	Description	Type	Open Date	Close Date	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain/(Loss)
039-52816	325.00	DANAHER CORP	Long-Term	12/31/09	05/20/11	$ 54.53	$ 37.60	$ 17,721 34	$ 12,220.00	$ 5,501.34
039-52816	409.00	CONSTELLATION BRANDS INC-A	Long-Term	12/31/09	05/20/11	$ 21.82	$ 15.93	$ 8,924.79	$ 6,515.37	$ 2,409.42
039-52816	199.00	COMCAST CORP-CL A	Long-Term	12/31/09	05/20/11	$ 25.07	$ 16.86	$ 4,988.09	$ 3,355.14	$ 1,632.95
039-52816	122.00	CONOCOPHILLIPS	Long-Term	12/31/09	05/20/11	$ 72.46	$ 51.07	$ 8,840.29	$ 6,230.54	$ 2,609.75
039-52816	23 00	AMAZON.COM INC	Long-Term	12/31/09	05/20/11	$ 197.81	$ 134.52	$ 4,549.60	$ 3,093.96	$ 1,455.64
039-52816	231.00	APPLE INC	Long-Term	12/31/09	05/20/11	$ 337.92	$ 210.86	$ 78,060.10	$ 48,708.66	$ 29,351.44
039-52816	302 00	SCHLUMBERGER LTD	Long-Term	12/31/09	05/20/11	$ 83.29	$ 65.09	$ 25,152.52	$ 19,657.18	$ 5,495.34
039-52816	141 00	TRAVELERS COS INC/THE	Long-Term	12/31/09	05/20/11	$ 62.43	$ 49.86	$ 8,803.14	$ 7,030.26	$ 1,772.88
039-52816	131.00	TIME WARNER CABLE	Long-Term	12/31/09	05/20/11	$ 77.05	$ 41.39	$ 10,092.93	$ 5,422.09	$ 4,670.84
039-52816	187 00	UNUM GROUP	Long-Term	12/31/09	05/20/11	$ 26.92	$ 19.52	$ 5,033.54	$ 3,650.24	$ 1,383.30
039-52816	198.00	NORTHROP GRUMMAN CORP	Long-Term	12/31/09	05/20/11	$ 64.56	$ 50.67	$ 12,782.23	$ 10,031.85	$ 2,750.38
039-52816	33.00	HUNTINGTON INGALLS INDUST-WI	Long-Term	12/31/09	05/20/11	$ 38.21	$ 31.10	$ 1,261.04	$ 1,026.45	$ 234.59
039-52816	198 00	JOHNSON CONTROLS INC	Long-Term	12/31/09	05/20/11	$ 38.15	$ 27.24	$ 7,554.25	$ 5,393.52	$ 2,160.73
039-52816	800.00	CONSTELLATION BRANDS INC-A	Long-Term	12/22/09	05/20/11	$ 21.82	$ 15.67	$ 17,456.80	$ 12,539.20	$ 4,917.60
039-52816	1,700.00	GENERAL ELECTRIC CO	Long-Term	12/18/09	05/20/11	$ 19.73	$ 15.71	$ 33,539.47	$ 26,703.26	$ 6,836.21
039-52816	200.00	APPLE INC	Long-Term	12/17/09	05/20/11	$ 337.92	$ 192.70	$ 67,584.50	$ 38,539.24	$ 29,045.26
039-52816	62.00	APPLE INC	Long-Term	12/16/09	05/20/11	$ 337.92	$ 195.49	$ 20,951.20	$ 12,120.11	$ 8,831.09
039-52816	420.00	COMCAST CORP-CL A	Long-Term	12/11/09	05/20/11	$ 25.07	$ 17.61	$ 10,527.64	$ 7,396.37	$ 3,131.27
039-52816	201.61	BERNSTEIN EMERGING MARKETS PORTFOLIO	Long-Term	12/08/09	05/20/11	$ 32.90	$ 28.18	$ 6,566.51	$ 5,681.26	$ 885.25
039-52816	400.00	JOHNSON CONTROLS INC	Long-Term	11/20/09	05/20/11	$ 38.15	$ 26.97	$ 15,261.12	$ 10,788.16	$ 4,472.96
039-52816	850.00	BROADCOM CORP-CL A	Long-Term	11/11/09	05/20/11	$ 33.56	$ 28 10	$ 28,530.08	$ 23,887.26	$ 4,642.82
039-52816	2,400.00	FORD MOTOR CO	Long-Term	11/11/09	05/20/11	$ 15.03	$ 8.33	$ 36,083.28	$ 19,992.48	$ 16,090 80
039-52816	169.00	INGERSOLL-RAND PLC	Long-Term	10/20/09	05/20/11	$ 49.36	$ 34.09	$ 8,342.30	$ 5,761.14	$ 2,581.16
039-52816	674.00	COOPER INDUSTRIES PLC	Long-Term	10/07/09	05/20/11	$ 62.25	$ 37.87	$ 41,955.83	$ 25,523.71	$ 16,432.12
039-52816	1,250.00	CONOCOPHILLIPS	Long-Term	10/01/09	05/20/11	$ 72.46	$ 45.20	$ 90,576.75	$ 56,503.75	$ 34,073 00
039-52816	1,125.00	DANAHER CORP	Long-Term	09/10/09	05/20/11	$ 54.53	$ 33.49	$ 61,343.10	$ 37,670.63	$ 23,672.47
039-52816	75.00	HUNTINGTON INGALLS INDUST-WI	Long-Term	08/24/09	05/20/11	$ 38.21	$ 27.63	$ 2,866.00	$ 2,071.93	$ 794.07
039-52816	450.00	NORTHROP GRUMMAN CORP	Long-Term	08/24/09	05/20/11	$ 64.56	$ 45.00	$ 29,050.51	$ 20,249.65	$ 8,800.86

Capital Gains and Losses: Details

Account: THE VILCEK FOUNDATION, INC. (039-52816)
01/01/2011 - 12/31/2011

Capital Gains and Losses

Account No.	Qty.	Description	Type	Open Date	Close Date	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain/(Loss)
039-52816	500.00	JOHNSON CONTROLS INC	Long-Term	08/07/09	05/20/11	$ 38.15	$ 26.97	$ 19,076.40	$ 13,486.50	$ 5,589.90
039-52816	500.00	JOHNSON CONTROLS INC	Long-Term	08/06/09	05/20/11	$ 38.15	$ 26.31	$ 19,076.40	$ 13,155.75	$ 5,920.65
039-52816	1,700.00	CONSTELLATION BRANDS INC-A	Long-Term	08/05/09	05/20/11	$ 21.82	$ 14.06	$ 37,095.70	$ 23,900.81	$ 13,194.89
039-52816	1,700.00	EMC CORP/MASS	Long-Term	07/24/09	05/20/11	$ 27.91	$ 15.04	$ 47,447.68	$ 25,560.86	$ 21,886.82
039-52816	250.00	NORTHROP GRUMMAN CORP	Long-Term	07/23/09	05/20/11	$ 64.56	$ 41 71	$ 16,139.18	$ 10,428.08	$ 5,711.10
039-52816	1,800.00	PFIZER INC	Long-Term	07/23/09	05/20/11	$ 20.84	$ 16.13	$ 37,505.70	$ 29,036.70	$ 8,469.00
039-52816	42.00	HUNTINGTON INGALLS INDUST-WI	Long-Term	07/23/09	05/20/11	$ 38.21	$ 25.40	$ 1,604.96	$ 1,067.00	$ 537.96
039-52816	189.00	OCCIDENTAL PETROLEUM CORP	Long-Term	07/22/09	05/20/11	$ 100.04	$ 70.20	$ 18,908.07	$ 13,268.16	$ 5,639.91
039-52816	900.00	INGERSOLL-RAND PLC	Long-Term	07/21/09	05/20/11	$ 49.36	$ 23.09	$ 44,426.43	$ 20,784.60	$ 23,641.83
039-52816	3,573.00	DELL INC	Long-Term	07/15/09	05/20/11	$ 16.19	$ 12.28	$ 57,859.38	$ 43,894.30	$ 13,965.08
039-52816	300.00	DEVON ENERGY CORPORATION	Long-Term	07/14/09	05/20/11	$ 82.14	$ 52.53	$ 24,642.93	$ 15,757.92	$ 8,885.01
039-52816	788.00	LOWE'S COS INC	Long-Term	07/14/09	05/20/11	$ 24.67	$ 19 37	$ 19,437.12	$ 15,261.20	$ 4,175.92
039-52816	40.00	NVR INC	Long-Term	07/06/09	05/20/11	$ 732.99	$ 491.19	$ 29,319.59	$ 19,647 52	$ 9,672.07
039-52816	86.00	OCCIDENTAL PETROLEUM CORP	Long-Term	07/02/09	05/20/11	$ 100.04	$ 63.32	$ 8,603.67	$ 5,445.52	$ 3,158.15
039-52816	600.00	DANAHER CORP	Long-Term	06/26/09	05/20/11	$ 54.53	$ 31.01	$ 32,716.32	$ 18,606.60	$ 14,109.72
039-52816	298.00	JPMORGAN CHASE & CO	Long-Term	06/22/09	05/20/11	$ 43.63	$ 34 12	$ 13,000.67	$ 10,167.73	$ 2,832.94
039-52816	1,236.00	SMITHFIELD FOODS INC	Long-Term	06/19/09	05/20/11	$ 20.38	$ 12.80	$ 25,188.69	$ 15,821.29	$ 9,367.40
039-52816	400.00	JPMORGAN CHASE & CO	Long-Term	06/17/09	05/20/11	$ 43.63	$ 33.19	$ 17,450.56	$ 13,276.12	$ 4,174.44
039-52816	800.00	PFIZER INC	Long-Term	06/12/09	05/20/11	$ 20.84	$ 14.72	$ 16,669.20	$ 11,779.20	$ 4,890.00
039-52816	550.00	JPMORGAN CHASE & CO	Long-Term	06/05/09	05/20/11	$ 43.63	$ 34.88	$ 23,994.52	$ 19,186.20	$ 4,808.32
039-52816	900.00	INGERSOLL-RAND PLC	Long-Term	06/03/09	05/20/11	$ 49.36	$ 20.07	$ 44,426.43	$ 18,064.79	$ 26,361.64
039-52816	175 00	AMAZON.COM INC	Long-Term	05/28/09	05/20/11	$ 197.81	$ 76.93	$ 34,616.52	$ 13,461.88	$ 21,154.64
039-52816	1,410.00	JPMORGAN CHASE & CO	Long-Term	05/28/09	05/20/11	$ 43.63	$ 36.10	$ 61,513 22	$ 50,895.36	$ 10,617.86
039-52816	1,200.00	JPMORGAN CHASE & CO	Long-Term	05/19/09	05/20/11	$ 43.63	$ 36.84	$ 52,351.68	$ 44,206.80	$ 8,144.88
039-52816	714.00	JPMORGAN CHASE & CO	Long-Term	05/18/09	05/20/11	$ 43.63	$ 35.88	$ 31,149.25	$ 25,619.75	$ 5,529.50
039-52816	445.00	APPLE INC	Long-Term	05/14/09	05/20/11	$ 337.92	$ 120.88	$ 150,375.51	$ 53,790.31	$ 96,585.20
039-52816	1,440.00	WELLS FARGO & COMPANY	Long-Term	05/08/09	05/20/11	$ 28.39	$ 22.00	$ 40,887 22	$ 31,680.00	$ 9,207.22
039-52816	244.00	TIME WARNER INC	Long-Term	04/23/09	05/20/11	$ 36.80	$ 20.40	$ 8,979.66	$ 4,978.76	$ 4,000.90
039-52816	879.00	TIME WARNER CABLE	Long-Term	04/17/09	05/20/11	$ 77.05	$ 29.12	$ 67,722.82	$ 25,592.17	$ 42,130.65

BERNSTEIN
Global Wealth Management

Capital Gains and Losses: Details

Account: THE VILCEK FOUNDATION, INC. (039-52816)

01/01/2011 - 12/31/2011

Capital Gains and Losses

Account No.	Qty.	Description	Type	Open Date	Close Date	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain/(Loss)
039-52816	761.00	CAMERON INTERNATIONAL CORP	Long-Term	04/01/09	05/20/11	$ 48.30	$ 21.27	$ 36,755.46	$ 16,186 32	$ 20,569.14
039-52816	209.00	THE WALT DISNEY CO.	Long-Term	03/31/09	05/20/11	$ 41.32	$ 18.07	$ 8,636.47	$ 3,777.20	$ 4,859.27
039-52816	47,887.99	BERNSTEIN TAX-MANAGED INTERNATIONAL PORTFOLIO	Long-Term	03/24/09	05/20/11	$ 15.88	$ 10.49	$ 760,461.27	$ 502,345.00	$ 258,116.27
039-52816	2,214.00	NEWS CORP	Long-Term	03/17/09	05/20/11	$ 17.47	$ 6.14	$ 38,674.59	$ 13,597.50	$ 25,077.09
039-52816	242.00	TIME WARNER CABLE	Long-Term	03/10/09	05/20/11	$ 77.05	$ 23.98	$ 18,644.96	$ 5,803 03	$ 12,841.93
039-52816	966.00	TIME WARNER INC	Long-Term	03/10/09	05/20/11	$ 36.80	$ 15.82	$ 35,550.64	$ 15,285 26	$ 20,265.38
039-52816	900.00	DANAHER CORP	Long-Term	03/06/09	05/20/11	$ 54.53	$ 24.98	$ 49,074.48	$ 22,483.80	$ 26,590.68
039-52816	300 00	DEVON ENERGY CORPORATION	Long-Term	03/03/09	05/20/11	$ 82.14	$ 41 02	$ 24,642.93	$ 12,306.69	$ 12,336.24
039-52816	375.00	LOWE'S COS INC	Long-Term	02/25/09	05/20/11	$ 24.67	$ 15.37	$ 9,249.90	$ 5,762.21	$ 3,487.69
039-52816	319.00	SCHLUMBERGER LTD	Long-Term	02/23/09	05/20/11	$ 83.29	$ 37.23	$ 26,568.39	$ 11,875.32	$ 14,693.07
039-52816	200.00	DEVON ENERGY CORPORATION	Long-Term	02/20/09	05/20/11	$ 82.14	$ 47.41	$ 16,428.62	$ 9,482.00	$ 6,946.62
039-52816	841.00	THE WALT DISNEY CO.	Long-Term	02/19/09	05/20/11	$ 41.32	$ 17.88	$ 34,752.47	$ 15,033.88	$ 19,718.59
039-52816	53.00	COSTCO WHOLESALE CORP	Long-Term	02/10/09	05/20/11	$ 82.80	$ 44.45	$ 4,388.14	$ 2,355.93	$ 2,032.21
039-52816	100.00	DEVON ENERGY CORPORATION	Long-Term	02/04/09	05/20/11	$ 82.14	$ 59.07	$ 8,214.31	$ 5,907.30	$ 2,307.01
039-52816	1,550.00	CORNING INC	Long-Term	01/23/09	05/20/11	$ 19.84	$ 9.80	$ 30,747.20	$ 15,186.90	$ 15,560.30
039-52816	833.00	JPMORGAN CHASE & CO	Long-Term	01/21/09	05/20/11	$ 43.63	$ 19.53	$ 36,340.79	$ 16,269.32	$ 20,071.47
039-52816	37.00	GOOGLE INC-CL A	Long-Term	01/15/09	05/20/11	$ 528.05	$ 295.99	$ 19,537.85	$ 10,951.50	$ 8,586.35
039-52816	2,118.00	NEWS CORP	Long-Term	01/12/09	05/20/11	$ 17.47	$ 8.52	$ 36,997.65	$ 18,052.35	$ 18,945.30
039-52816	88.00	TIME WARNER CABLE	Long-Term	01/12/09	05/20/11	$ 77.05	$ 31.70	$ 6,779.99	$ 2,789.40	$ 3,990.59
039-52816	134.00	TRAVELERS COS INC/THE	Long-Term	01/05/09	05/20/11	$ 62.43	$ 44.53	$ 8,366.10	$ 5,967.02	$ 2,399.08
039-52816	981.00	SCHLUMBERGER LTD	Long-Term	01/05/09	05/20/11	$ 83.29	$ 46.82	$ 81,704.06	$ 45,930.42	$ 35,773.64
039-52816	292.00	TIME WARNER CABLE	Long-Term	01/05/09	05/20/11	$ 77.05	$ 8.23	$ 22,497.23	$ 2,403.51	$ 20,093.72
039-52816	454.00	MORGAN STANLEY	Long-Term	01/05/09	05/20/11	$ 24.04	$ 17 62	$ 10,912.62	$ 7,999.48	$ 2,913.14
039-52816	875.00	JPMORGAN CHASE & CO	Long-Term	01/05/09	05/20/11	$ 43.63	$ 29.25	$ 38,173.10	$ 25,593.75	$ 12,579.35
039-52816	263.00	GOOGLE INC-CL A	Long-Term	01/05/09	05/20/11	$ 528.05	$ 328 05	$ 138,877.12	$ 86,277.15	$ 52,599.97
039-52816	139.00	CAMERON INTERNATIONAL CORP	Long-Term	01/05/09	05/20/11	$ 48.30	$ 23 27	$ 6,713.55	$ 3,234.53	$ 3,479.02

BERNSTEIN
Global Wealth Management

Capital Gains and Losses: Details

Account: THE VILCEK FOUNDATION, INC. (039-52816)
01/01/2011 - 12/31/2011

Capital Gains and Losses

Account No.	Qty.	Description	Type	Open Date	Close Date	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain/(Loss)
039-52816	75,993.27	BERNSTEIN TAX-MANAGED INTERNATIONAL PORTFOLIO	Long-Term	01/05/09	05/20/11	$ 15 88	$ 12 33	$ 1,206,773 17	$ 936,997.06	$ 269,776.11
039-52816	95,882 10	BERNSTEIN INTER DURATION INSTITUTIONAL PORTFOLIO	Long-Term	01/05/09	05/20/11	$ 15 94	$ 13 52	$ 1,528,360 67	$ 1,296,325 99	$ 232,034 68
039-52816	9,639.01	BERNSTEIN EMERGING MARKETS PORTFOLIO	Long-Term	01/05/09	05/20/11	$ 32 90	$ 16 67	$ 313,952 29	$ 160,682 33	$ 153,269.96
039-52816	357 00	APPLE INC	Long-Term	01/05/09	05/20/11	$ 337 92	$ 94 58	$ 120,638.33	$ 33,765 06	$ 86,873.27
039-52816	263 00	BUNGE LTD	Long-Term	01/05/09	05/20/11	$ 73 34	$ 54 74	$ 19,288.53	$ 14,396.62	$ 4,891 91
039-52816	2,428 23	BERNSTEIN INTER DURATION INSTITUTIONAL PORTFOLIO	Long-Term	12/09/08	05/20/11	$ 15 94	$ 13 12	$ 38,705 92	$ 31,858 33	$ 6,847.59
039-52816	2,196 27	BERNSTEIN EMERGING MARKETS PORTFOLIO	Long-Term	12/09/08	05/20/11	$ 32 90	$ 14 72	$ 71,534 55	$ 32,329 02	$ 39,205 53
039-52816	2,031 61	BERNSTEIN INTER. DURATION INSTITUTIONAL PORTFOLIO	Long-Term	11/20/08	05/20/11	$ 15 94	$ 12 81	$ 32,383.85	$ 26,024 91	$ 6,358 94
039-52816	200 00	SCHLUMBERGER LTD	Long-Term	11/13/08	05/20/11	$ 83 29	$ 46 23	$ 16,657 30	$ 9,246 92	$ 7,410 38
039-52816	299 00	TIME WARNER CABLE	Long-Term	11/10/08	05/20/11	$ 77 05	$ 31 24	$ 23,036 54	$ 9,340.65	$ 13,695 89
039-52816	200 00	SCHLUMBERGER LTD	Long-Term	10/28/08	05/20/11	$ 83 29	$ 44 98	$ 16,657 30	$ 8,996.22	$ 7,661 08
039-52816	420 00	CELGENE CORP	Long-Term	01/04/08	05/20/11	$ 60.22	$ 49 85	$ 25,294.42	$ 20,937 00	$ 4,357.42
039-52816	206 00	SCHLUMBERGER LTD	Long-Term	01/08/07	05/20/11	$ 83 29	$ 59 20	$ 17,157.02	$ 12,195.20	$ 4,961 82
039-52816	150 00	TRAVELERS COS INC/THE	Long-Term	06/16/06	05/20/11	$ 62 43	$ 43.75	$ 9,365 04	$ 6,563 12	$ 2,801 92
039-52816	125 00	TRAVELERS COS INC/THE	Long-Term	03/03/06	05/20/11	$ 62 43	$ 42.42	$ 7,804 20	$ 5,302 89	$ 2,501 31
039-52816	134.00	SCHLUMBERGER LTD	Long-Term	11/10/05	05/20/11	$ 83 29	$ 46 05	$ 11,160 39	$ 6,171 10	$ 4,989.29
		Total						**$ 7,428,828.61**	**$ 5,128,690.20**	**$ 2,300,138.41**
			Donated					$ 7,428,806.04	$ 5,128,667 26	$ 2,300,138 78
			Purchased					$ 22.57	$ 22.94	$ (0 37)

BERNSTEIN
Global Wealth Management

Capital Gains and Losses: Details

Account: THE VILCEK FOUNDATION, INC. (039-52816)

01/01/2011 - 12/31/2011

Capital Gains and Losses

Account No.	Qty.	Description	Type	Open Date	Close Date	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain/(Loss)

The capital gain/loss totals do not currently include disallowed losses due to wash sales For a comprehensive capital gains report for your taxable accounts that include the new 2011 IRS tax reporting requirements, please refer to your 2011 Form 1099 or the 2011 Year End Tax Report which will be available in the Tax Report section mid-February

The information above reflects the total gains and losses of the accounts in your relationship and therefore may include nontaxable accounts. To simplify your tax planning process, we encourage you to customize this page with a Personalized Account Analysis that aligns with your tax obligations or contact your Bernstein Advisor

Please note that prior to 2008, long-term capital gains distributions from mutual funds can be found on the Income report.

THE VILCEK FOUNDATION INC.
11/30/11
DONATED SECURITIES

Account	**Symbol**	**Trade Date**	**Held**	**Current ($)**	value date of donation
038-22930	AAPL	1/5/2009	357	338 53	120,855 21
038-22930	AAPL	5/14/2009	445	338 53	150,645 85
038-22930	AAPL	12/17/2009	200	338 53	67,706 00
038-22930	AAPL	12/16/2009	62	338 53	20,988 86
038-22930	AAPL	12/31/2009	231	338 53	78,200 43
038-22930	AMZN	5/28/2009	175	195 77	34,259 75
038-22930	AMZN	12/31/2009	23	195 77	4,502 71
038-08591	BG	1/5/2009	263	73 76	19,398 88
038-22930	BRCM	11/11/2009	850	33 69	28,636 50
038-22930	CAM	4/1/2009	761	47 97	36,505 17
038-22930	CAM	1/5/2009	139	47 97	6,667 83
038-22930	CBE	10/7/2009	674	62 48	42,111 52
038-22930	CBE	5/11/2010	574	62 48	35,863 52
038-22930	CBE	5/12/2010	400	62 48	24,992 00
038-22930	CELG	1/4/2008	420	60 55	25,431 00
038-08591	CMCSA	12/31/2009	199	25 05	4,984 95
038-08591	CMCSA	3/10/2010	3,300	25 05	82,665 00
038-08591	CMCSA	12/11/2009	420	25 05	10,521 00
038-08591	CMCSA	4/19/2010	1,500	25 05	37,575 00
038-08591	COP	10/1/2009	1,250	72 47	90,587 50
038-08591	COP	12/31/2009	122	72 47	8,841 34
038-22930	COST	2/10/2009	53	82 71	4,383 63
038-22930	COST	5/6/2010	147	82 71	12,158 37
038-08591	CVC	5/6/2010	1,600	33 84	54,144 00
038-08591	DELL	7/15/2009	3,573	16 74	59,812 02
038-22930	DHR	3/6/2009	900	54 22	48,798 00
038-22930	DHR	6/26/2009	600	54 22	32,532 00
038-22930	DHR	9/10/2009	1,125	54 22	60,997 50
038-22930	DHR	12/31/2009	325	54 22	17,621 50
038-22930	DIS	2/19/2009	841	41 18	34,632 38
038-22930	DIS	3/31/2009	209	41 18	8,606 62
038-22930	DIS	12/31/2009	366	41 18	15,071 88
038-22930	DOW	2/8/2010	950	36 76	34,922 00
038-08591	DVN	3/3/2009	300	82 75	24,825 00
038-08591	DVN	2/20/2009	200	82 75	16,550 00
038-08591	DVN	7/14/2009	300	82 75	24,825 00
038-08591	DVN	2/4/2009	100	82 75	8,275 00
038-22930	EMC	7/24/2009	1,700	27 65	47,005 00
038-22930	EMC	12/31/2009	444	27 65	12,276 60
038-22930	EMC	1/11/2010	700	27 65	19,355 00
038-22930	EMC	4/27/2010	1,900	27 65	52,535.00
038-22930	EMC	4/22/2010	586	27 65	16,202 90
038-22930	ESRX	5/3/2010	550	59 95	32,972 50
038-08591	F	11/11/2009	2,400	15 02	36,048 00
038-22930	F	5/10/2010	1,000	15 02	15,020 00
038-08591	GE	12/31/2009	901	19 64	17,695 64
038-08591	GE	12/18/2009	1,700	19 64	33,388 00
038-08591	GE	3/2/2010	899	19 64	17,656 36
038-08591	GE	3/4/2010	1,100	19 64	21,604 00
038-08591	GLW	1/23/2009	1,550	20 35	31,542 50
038-22930	GOOG	1/15/2009	37	528 02	19,536 74
038-22930	GOOG	1/5/2009	263	528 02	138,869 26
038-22930	GR	4/9/2010	475	90 73	43,096 75
038-08591	HII	7/23/2009	42	38 37	1,611 54
038-08591	HII	8/24/2009	75	38 37	2,877 75
038-08591	HII	12/31/2009	33	38 37	1,266 21
038-08591	IR	6/3/2009	900	48 64	43,776 00
038-08591	IR	7/21/2009	900	48 64	43,776 00

THE VILCEK FOUNDATION INC.
11/30/11
DONATED SECURITIES

Account	Symbol	Trade Date	Held	Current ($)	value date of donation
038-08591	IR	10/20/2009	169	48 64	
038-08591	IR	4/19/2010	362	48 64	17,607 68
038-22930	JCI	8/6/2009	500	38 45	19,225 00
038-22930	JCI	11/20/2009	400	38 45	15,380 00
038-22930	JCI	8/7/2009	500	38 45	19,225 00
038-22930	JCI	12/31/2009	198	38 45	7,613 10
038-08591	JPM	1/21/2009	833	43 83	36,510 39
038-22930	JPM	1/5/2009	875	43 83	38,351 25
038-08591	JPM	6/17/2009	400	43 83	17,532 00
038-08591	JPM	6/22/2009	298	43 83	13,061 34
038-22930	JPM	6/5/2009	550	43 83	24,106 50
038-22930	JPM	5/18/2009	714	43 83	31,294 62
038-22930	JPM	5/28/2009	1,410	43 83	61,800 30
038-22930	JPM	5/19/2009	1,200	43 83	52,596 00
038-22930	LOW	2/25/2009	375	24 87	9,326 25
038-22930	LOW	7/14/2009	788	24 87	19,597 56
038-08591	MO	3/25/2010	143	27 73	3,965 39
038-08591	MS	1/5/2009	454	24 32	11,041 28
038-22930	NBL	2/5/2010	350	89 45	31,307 50
038-22930	NBL	2/4/2010	250	89 45	22,362 50
038-08591	NFX	5/6/2010	500	71 54	35,770 00
038-08591	NOC	7/23/2009	250	64 68	16,170 00
038-08591	NOC	8/24/2009	450	64 68	29,106 00
038-08591	NOC	12/31/2009	198	64 68	12,806 64
038-08591	NVR	7/6/2009	40	729 95	29,198 00
038-08591	NWSA	3/17/2009	2,214	17 32	38,346 48
038-08591	NWSA	1/12/2009	2,118	17 32	36,683 76
038-22930	OXY	7/2/2009	86	102 38	8,804 68
038-22930	OXY	7/22/2009	189	102 38	19,349 82
038-08591	PFE	6/12/2009	800	21 11	16,888 00
038-08591	PFE	7/23/2009	1,800	21 11	37,998.00
038-08591	RCL	1/13/2010	850	40 16	34,136 00
038-08591	SNIVX	3/24/2009	47,887 99	15 95	763,813 42
038-08591	SNIVX	1/5/2009	75,993 27	15 95	1,212,092 70
038-08591	SIIDX	11/20/2008	2,031 61	15 93	32,363 53
038-08591	SIIDX	12/9/2008	2,428 23	15 93	38,681 64
038-08591	SIIDX	1/5/2009	95,882 10	15 93	1,527,401 85
038-08591	SNEMX	12/9/2008	2,196 27	33 11	72,718 33
038-08591	SNEMX	1/5/2009	9,639 01	33 11	319,147 72
038-08591	SNEMX	12/8/2009	201 606	33 11	6,675 17
038-08591	SFD	6/19/2009	1,236	20 38	25,189 68
038-22930	SLB	2/23/2009	319	83 33	26,582 27
038-22930	SLB	10/28/2008	200	83 33	16,666 00
038-22930	SLB	11/10/2005	134	83 33	11,166 22
038-22930	SLB	11/13/2008	200	83 33	16,666 00
038-22930	SLB	1/5/2009	981	83 33	81,746 73
038-22930	SLB	1/8/2007	206	83 33	17,165 98
038-22930	SLB	12/31/2009	302	83 33	25,165 66
038-22930	SLB	4/12/2010	255	83 33	21,249 15
038-08591	SLE	5/6/2010	4,100	19 46	79,786 00
038-08591	STZ	8/5/2009	1,700	22 74	38,658 00
038-08591	STZ	12/22/2009	800	22 74	18,192 00
038-08591	STZ	12/31/2009	409	22 74	9,300 66
038-08591	TRV	3/3/2006	125	62 59	7,823 75
038-08591	TRV	6/16/2006	150	62 59	9,388 50
038-08591	TRV	1/5/2009	134	62 59	8,387 06
038-08591	TRV	12/31/2009	141	62 59	8,825 19
038-08591	TWC	1/5/2009	292	77 15	22,527 80

THE VILCEK FOUNDATION INC
11/30/11
DONATED SECURITIES

Account	Symbol	Trade Date	Held	Current ($)	value date of donation
038-08591	TWC	3/10/2009	242	77 15	18,670 30
038-08591	TWC	4/17/2009	879	77 15	67,814 85
038-08591	TWC	11/10/2008	299	77 15	23,067 85
038-08591	TWC	1/12/2009	88	77 15	6,789 20
038-08591	TWC	12/31/2009	131	77 15	10,106 65
038-08591	TWX	3/10/2009	966	36 36	35,123 76
038-08591	TWX	4/23/2009	244	36 36	8,871 84
038-08591	UNM	12/31/2009	187	26 23	4,905 01
038-08591	WFC	5/8/2009	1,440	28 7	41,328 00
					$ 7,447,646 36

The Vilcek Foundation, Inc.
November 30, 2011
EIN # 51-0404790

990-PF, Part IV

The Vilcek Foundation, Inc. makes contributions to preselected organizations and only accepts requests for funds for the ***Vilcek Prize for Creative Promise in Arts and Humanities*** and the ***Vilcek Prize for Creative Promise in Biomedical Research*** (see attached application guidelines).

Attachment E

VILCEK FOUNDATION

VILCEK PRIZES FOR CREATIVE PROMISE IN BIOMEDICAL SCIENCE 2013

APPLICATION GUIDELINES

THE VILCEK PRIZES FOR CREATIVE PROMISE IN BIOMEDICAL SCIENCE

The Vilcek Foundation shall award three prizes of $35,000 each to young foreign-born biomedical scientists who demonstrate outstanding early achievement Eligible work can be in basic, applied or translational biomedical science

ABOUT THE VILCEK FOUNDATION AND VILCEK FOUNDATION PRIZES

The central mission of the Vilcek Foundation is to recognize and honor extraordinary achievements of immigrants to the United States in biomedical science and in the arts

In 2009, we inaugurated the Vilcek Prizes for Creative Promise in Biomedical Science and in the Arts These awards were established to call attention to the continuing role young immigrants play in sustaining the excellence and vibrancy of the sciences and arts in the United States The awards are paid directly to the winners and no restrictions are placed on the use of the prize money Through 2012, only one Vilcek Prize for Creative Promise was awarded in biomedical science and one in the arts, with four finalists in each category selected to receive a cash award of $5,000 each Starting with 2013, three awards of $35,000 each will be given in biomedical science and in the arts There will be no more awards for finalists

The Vilcek Prizes for Creative Promise are distinct from the Vilcek Prizes that were established in 2006 to recognize the accomplishments of more senior foreign-born artists and biomedical scientists The latter prizes include awards of $100,000 each and their winners are nominated and selected by separate committees

ELIGIBILITY

To be eligible for the Vilcek Prize for Creative Promise in Biomedical Science, applicants must meet all of the criteria listed below

- Applicant must have been born outside the United States
- Applicant must not be more than 38 years old as of December 31, 2012 (born on or after January 1, 1974)
- Applicant must be a naturalized citizen or permanent resident (green card holder) of the United States
- Applicant must have earned a doctoral degree (MD, PhD or equivalent)
- Applicant intends to pursue a professional career in the United States
- Applicant must hold a full-time position in an academic institution or other organization Eligible positions include the following Assistant or Associate Professor, Research Scientist or equivalent *The applicant must be directly responsible for the design and execution of the work submitted for consideration Graduate students and postdoctoral fellows working under supervision of a mentor are not eligible*
- Applicant must not be a past winner or finalist of the Vilcek Prize for Creative

Promise

SELECTION PROCESS

A panel of distinguished jurors—scientists and science/medical writers or editors—will evaluate each application based primarily on the individual's research contributions to progress in biomedical sciences, as demonstrated in the quality and significance of his/her research and publication record The individuals chosen will have demonstrated exceptional independence and creativity by their 38th birthday In addition, the applicants will also be judged on their ability to communicate the significance of their research to a scientifically literate audience

The prizewinners selected by the jury will be candidates whose work best exemplifies the characteristics indicated above All three selected winners will be considered equal in standing Recommendations of the jury will be submitted to the Vilcek Foundation's Board of Directors for final approval

The winners will be notified in November 2012 and will be invited to attend an award ceremony in New York City in the spring of 2013 Travel expenses and accommodations will be covered by the Vilcek Foundation

ONLINE APPLICATION

You must APPLY ONLINE at www vilcek org Please be advised that you will be required to

- Provide personal information
- Upload a PDF or Word version of your resume
- Upload a PDF file with documentation of your immigration status
- Upload PDF or Word versions of your publications
- Complete three essay questions
- Request letters of recommendations through the online application system

To complete an online application, follow the links for the ApplyYourself system on vilcek org, to create a username and login password Your completed application for the Vilcek Prize for Creative Promise in Biomedical Science must be submitted electronically by 11 59 PM EDT, August 14, 2012 No hard copies of the materials will be accepted

If you have any questions regarding the award or the application process, please contact Joyce Li, Associate Program Officer at 212-472-2500, Email creativepromise@vilcek org

VILCEK FOUNDATION

Vilcek Prize for Creative Promise in Arts 2013
Contemporary Music

APPLICATION GUIDELINES

The Vilcek Prize for Creative Promise in Arts

The Vilcek Foundation shall award three prizes of $35,000 each to foreign-born artists and professionals who work in the field of contemporary music and demonstrate outstanding early achievement   Applicants must be no more than 30 years of age as of December 31, 2012   In selecting the three prizewinners, the jury will consider the candidates' creativity, vision, and achievement

Applicants active in all contemporary musical genres are encouraged to apply   The field of contemporary music spans virtually all genres and can include any number of musical expressions   The one defining factor that distinguishes contemporary music, however, is its stylistic, compositional, and/or theoretical rupture from classical music and jazz Contemporary musicians are concerned with creating new songs, forms, or methods that may draw from - but are not derivative of - classical methods   For musicians to qualify for the Vilcek Prize for Creative Promise in Contemporary Music, they should work outside of the conventions of classical music and jazz this can include - but is not restricted to - pop, rock, R&B, hip hop, and country   Suitable examples include a DJ that appropriates samples from a Bach symphony and transforms them into a hip hop song, an experimental guitarist that builds upon the improvisational methods of John Coltrane, or many things between

To be eligible, applicants must belong to one of the following categories

- Instrumentalist
- Producer
- Vocalist
- Songwriter
- DJ

About the Vilcek Foundation and Vilcek Foundation Prizes

The central mission of the Vilcek Foundation is to recognize and honor the extraordinary achievements of immigrants in the arts and in biomedical science

The Vilcek Prizes for Creative Promise in the Arts and in Biomedical Science were inaugurated by the Vilcek Foundation in 2009 to call attention to the role young immigrants play in sustaining the excellence and vibrancy of the arts and sciences in the United States Three prizes, each consisting of a $35,000 cash award and a specially designed plaque, will be awarded in contemporary music in 2013 All three selected winners will be considered equal in standing

The awards will be paid directly to the winners and no restrictions will be placed on the use of the prize money

The Vilcek Prizes for Creative Promise are distinct from the Vilcek Prizes that were established in 2006 to recognize the accomplishments of more senior foreign-born artists and scientists The latter prizes include awards of $100,000 each and their winners are nominated and selected by separate committees

ELIGIBILITY

To be eligible for the Vilcek Prize for Creative Promise in the Arts, applicants must meet all of the criteria listed below

- Applicant must have been born outside of the United States
- Applicant must not be more than 30 years old as of December 31, 2012 (i e , born on or after January 1, 1982)
- Applicant must be a naturalized citizen or permanent resident of the United States
- Applicant intends to pursue a professional career in the United States
- Applicant must be the individual who has authored the submitted work

SELECTION PROCESS

A panel of distinguished members of the contemporary music community will evaluate each application based on its quality, level of creativity, clarity of vision, and impact

The prizewinners selected by the jury will be the candidates whose work best exemplifies the characteristics indicated above Recommendations of the jury will be submitted to the Vilcek Foundation's Board of Directors for final approval

The winners will be notified in November 2012 and will be invited to attend an awards ceremony in New York City in April 2013 Travel expenses and accommodations will be covered by the Vilcek Foundation

APPLICATION MATERIALS AND DEADLINES

- *Application form* The application form should be submitted electronically using the links available on the Vilcek Foundation website, www vilcek org To complete an online application, follow the links for the ApplyYourself system to create a username and login password You will be asked to submit information about your professional development and accomplishments In addition, we shall ask for a brief description of your immigration history You will also be asked to scan and upload reviews of your accomplishments, and documentary evidence of your status as a naturalized citizen or permanent resident of the United States The submission deadline is 11 59 PM EDT, August 14th, 2012

- *Work sample* In addition to submitting the electronic application, you will be required to send two work samples that best represent your work (in CD or DVD format) All samples should be from the last five years of your musical career The work samples may include, but are not limited to, any combination of individual tracks, full-length albums, concert footage, etc , so long as the total combined performance time of all submitted materials does not exceed two hours

The work samples may be comprised of any combination of materials that applicants think best represent and showcase their work (for example, in 60 minutes of video footage, they can submit 20 minutes worth of singles and a 40 minute full length album) Please provide two copies of each CD or DVD, making sure to label every disc and case/cover with your name and the title of the work

When burning your work samples onto a CD or DVD, please make sure that it is burned as a Mac compatible data disc Files must be in one of the following formats

Audio mp3, aac, m4a, wav, oga, ogg
Video mov, mp4, m4v

Note Songwriters may submit additional materials that demonstrate their craft - such as lyrics, compositions, or similar items

Please be sure to complete the work sample sheet and submit it with your materials The completed sheet and samples can be sent via postal service, courier mail, or hand delivered to

The Vilcek Foundation
Attn Joyce Li
167 E 73rd Street
New York, NY 10021

The work samples must reach us by 5 00 PM EDT on August 14, 2012 Your application will not be considered without the work samples

CONTACT INFORMATION

If you have questions regarding the award or the application process please contact Joyce Li, Associate Program Officer, Vilcek Foundation, Phone 212-472-2500, Email creativepromise@vilcek org

Form **8868**
(Rev. January 2011)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print

File by the due date for filing your return See instructions

Name of exempt organization: THE VILCEK FOUNDATION INC.

Employer identification number: 51-0404790

Number, street, and room or suite no. If a P O. box, see instructions.
167 EAST 73RD STREET

City, town or post office, state, and ZIP code. For a foreign address, see instructions
NEW YORK, NY 10021

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	**Return Code**	**Application Is For**	**Return Code**
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ THE ORGANIZATION
167 EAST 73RD STREET - NEW YORK, NY 10021

Telephone No. ▶ 212-472-2500 FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ________ . If this is for the whole group, check this box ▶ ☐ . If it is for part of the group, check this box ▶ ☐ and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until JULY 15, 2012 , to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶ ☐ calendar year ______ or

▶ ☒ tax year beginning DEC 1, 2010 , and ending NOV 30, 2011 .

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	$ 223,338.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	$ 223,338.
c	**Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions.	3c	$ 0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA **For Paperwork Reduction Act Notice, see Instructions.** Form **8868** (Rev. 1-2011)

023841
01-16-12

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print

File by the extended due date for filing your return See instructions

Name of exempt organization THE VILCEK FOUNDATION INC.	**Employer identification number** 51-0404790
Number, street, and room or suite no If a P.O. box, see instructions. 167 EAST 73RD STREET	
City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10021	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	**Return Code**	**Application Is For**	**Return Code**
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ THE ORGANIZATION 167 EAST 73RD STREET - NEW YORK, NY 10021

Telephone No. ▶ 212-472-2500 FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box ▶ ☐ . If it is for part of the group, check this box ▶ ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until OCTOBER 15, 2012.

5 For calendar year ______ , or other tax year beginning DEC 1, 2010 , and ending NOV 30, 2011 .

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension
ADDITIONAL TIME IS NEEDED TO COMPILE THE INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE RETURN.

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	$ 223,338.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	$ 223,338.
c	**Balance due.** Subtract line 8b from line 8a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	$ 0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶ Gianna Macari Title ▶ CPA Date ▶ 7/10/12

023842
01-16-12