Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2010

For calendar year 2010, or tax year beginning 12/01 , 2010, and ending 11/30, 2011

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return
[ ] Amended return [ ] Address change [ ] Name change

Name of foundation: THE EUGENE GARFIELD FOUNDATION 0706-03-4/5

A Employer identification number: 23-2553258

Number and street (or P O. box number if mail is not delivered to street address): GLENMEDE TRUST CO 1650 MARKET ST, STE 1200

Room/suite:

B Telephone number (see page 10 of the instructions): (215) 419-6000

City or town, state, and ZIP code: PHILADELPHIA, PA 19103-7391

C If exemption application is pending, check here ▶ [ ]

D 1 Foreign organizations, check here ▶ [ ]

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 3,813,704.

J Accounting method: [X] Cash [ ] Accrual
[ ] Other (specify) ____________
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc, received (attach schedule)				
	2 Check ▶ [X] if the foundation is **not** required to attach Sch B				
	3 Interest on savings and temporary cash investments	11.	11.	N/A	
	4 Dividends and interest from securities	105,957.	105,957.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	131,415.			
	b Gross sales price for all assets on line 6a 1,653,540.				
	7 Capital gain net income (from Part IV, line 2)		131,415.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 **Total.** Add lines 1 through 11	237,383.	237,383.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	2,200.	NONE	NONE	2,200.
	c Other professional fees (attach schedule)	18,027.	18,027.		
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)	1,485.			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) STMT 1	35.	35.		
	24 **Total operating and administrative expenses.** Add lines 13 through 23	21,747.	18,062.	NONE	2,200.
	25 Contributions, gifts, grants paid	205,000.			205,000.
	26 **Total expenses and disbursements** Add lines 24 and 25	226,747.	18,062.	NONE	207,200.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	10,636.			
	b **Net investment income** (if negative, enter -0-)		219,321.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	300.	316.	316.
	2	Savings and temporary cash investments	39,019.	266,257.	266,257.
	3	Accounts receivable ▶ UNSETTLED TRADE			
		Less: allowance for doubtful accounts ▶	95,563.	10,634.	10,634.
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule)			
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)	3,385,073.	3,253,387.	3,536,497.
	14	Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	3,519,955.	3,530,594.	3,813,704.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27	Capital stock, trust principal, or current funds	3,506,172.	3,517,979.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	13,783.	12,615.	
	30	**Total net assets or fund balances** (see page 17 of the instructions)	3,519,955.	3,530,594.	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	3,519,955.	3,530,594.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,519,955.
2	Enter amount from Part I, line 27a	2	10,636.
3	Other increases not included in line 2 (itemize) ▶ ROUNDING	3	3.
4	Add lines 1, 2, and 3	4	3,530,594.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,530,594.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE PART IV DETAIL	P	VARIOUS	VARIOUS
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,653,540.		1,522,125.	131,415.
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col. (h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				131,415.
b				
c				
d				
e				

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	131,415.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col. (c))
2009	264,507.	3,822,377.	0.06919961061
2008	379,508.	3,576,345.	0.10611616049
2007	253,200.	4,795,943.	0.05279462245
2006	275,400.	5,480,133.	0.05025425478
2005	240,600.	5,028,962.	0.04784287493

2 **Total** of line 1, column (d)	2	0.32620752326
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.06524150465
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	3,990,596.
5 Multiply line 4 by line 3	5	260,352.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,193.
7 Add lines 5 and 6	7	262,545.
8 Enter qualifying distributions from Part XII, line 4	8	207,200.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______________ (attach copy of ruling letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	4,386.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	4,386.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	4,386.
6	Credits/Payments:		
a	2010 estimated tax payments and 2009 overpayment credited to 2010 — 6a: 1,400.		
b	Exempt foreign organizations-tax withheld at source — 6b: NONE		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: NONE		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments. Add lines 6a through 6d	7	1,400.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	2,986.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be: **Credited to 2011 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*	1b		X
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ $ -0- (2) On foundation managers. ▶ $ -0-			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ -0-			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ PENNSYLVANIA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ THE GLENMEDE TRUST COMPANY, N.A. Telephone no. ▶ (215)419-6000
Located at ▶ 1650 MARKET ST STE 1200, PHILADELPHIA, PA ZIP + 4 ▶ 19103-7391

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)?		1b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 22 of the instructions.)		2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2010 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)*		3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 2		-0-	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over $50,000 ▶ NONE

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over $50,000 for professional services ▶		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions. 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,881,451.
b	Average of monthly cash balances	1b	169,915.
c	Fair market value of all other assets (see page 25 of the instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	4,051,366.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	4,051,366.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	4	60,770.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,990,596.
6	**Minimum investment return.** Enter 5% of line 5	6	199,530.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	199,530.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	4,386.		
b	Income tax for 2010. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	4,386.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	195,144.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	195,144.
6	Deduction from distributable amount (see page 25 of the instructions)			6	NONE
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	195,144.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	207,200.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	207,200.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	N/A
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	207,200.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1	Distributable amount for 2010 from Part XI, line 7				195,144.
2	Undistributed income, if any, as of the end of 2010:				
a	Enter amount for 2009 only			NONE	
b	Total for prior years: 20____,20____,20____		NONE		
3	Excess distributions carryover, if any, to 2010:				
a	From 2005 ... NONE				
b	From 2006 ... 10,544.				
c	From 2007 ... 15,128.				
d	From 2008 ... 202,075.				
e	From 2009 ... 75,774.				
f	**Total** of lines 3a through e	303,521.			
4	Qualifying distributions for 2010 from Part XII, line 4: ▶ $ 207,200.				
a	Applied to 2009, but not more than line 2a			NONE	
b	Applied to undistributed income of prior years (Election required - see page 26 of the instructions)		NONE		
c	Treated as distributions out of corpus (Election required - see page 26 of the instructions)	207,200.			
d	Applied to 2010 distributable amount				NONE
e	Remaining amount distributed out of corpus	NONE			
5	Excess distributions carryover applied to 2010 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	195,144.			195,144.
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	315,577.			
b	Prior years' undistributed income. Subtract line 4b from line 2b		NONE		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d	Subtract line 6c from line 6b. Taxable amount - see page 27 of the instructions		NONE		
e	Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see page 27 of the instructions			NONE	
f	Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				NONE
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)	NONE			
8	Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions)	NONE			
9	**Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a	315,577.			
10	Analysis of line 9:				
a	Excess from 2006 ... NONE				
b	Excess from 2007 ... NONE				
c	Excess from 2008 ... 32,603.				
d	Excess from 2009 ... 75,774.				
e	Excess from 2010 ... 207,200.				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year (a) 2010	Prior 3 years (b) 2009	(c) 2008	(d) 2007	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter:					
(1) Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . .					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

DR. EUGENE GARFIELD

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

SEE STATEMENT 3

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT FOR LINE 2

c Any submission deadlines:

SEE ATTACHED STATEMENT FOR LINE 2

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT FOR LINE 2

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 4				205,000.
Total . . . . . . ▶ 3a				205,000.
b *Approved for future payment*				
N/A				
Total . . . . . . ▶ 3b				-0-

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	11.	
4 Dividends and interest from securities			14	105,957.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	131,415.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				237,383.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 237,383.

(See worksheet in line 13 instructions on page 29 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee: Eugene Mc[illegible] Date: 1/24/12 Title: President

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
FRANCIS X. MEHAFFEY	Francis X. Mehaffey	1/17/12		P01072411

Firm's name ▶ THE GLENMEDE TRUST COMPANY, N.A. Firm's EIN ▶ 32-0274136

Firm's address ▶ 1650 MARKET STREET, SUITE 1200 PHILADELPHIA, PA 19103-7391 Phone no. 215-419-6000

THE EUGENE GARFIELD FOUNDATION
ACCOUNT #0706-03-4/5
E.I.N. 23-2553258
TAX YEAR ENDING 11/30/2011

ATTACHMENTS PAGE 1 FORM 990-PF
PART I ANALYSIS OF REVENUE AND EXPENSES

REVENUE:		COLUMN A	COLUMN B	COLUMN D
LINE 3	INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS - TAXABLE MONEY MARKET INTEREST	11	11	
LINE 4	DIVIDENDS AND INTEREST FROM SECURITIES - DIVIDENDS AND TAXABLE INTEREST	105,957	105,957	
EXPENSES:				
LINE 16b	ACCOUNTING FEES - THE GLENMEDE TRUST COMPANY, N.A. 11/30/2010 TAX PREPARATION FEE	2,200	0	2,200
LINE 16c	OTHER PROFESSIONAL FEES - THE GLENMEDE TRUST COMPANY, N.A. INVESTMENT MANAGEMENT FEE	18,027	18,027	0
LINE 18	TAXES - EXCISE TAX PAYMENTS	1,485	0	0
LINE 23	OTHER EXPENSES - MISCELLANEOUS CLASS ACTION SETTLEMENT FEE	35	35	0

COMBINED STATEMENT OF ASSETS
THE EUGENE GARFIELD FOUNDATION
11/30/11
0706-03-4/5

Shares/Par	Description of Assets	Bond Rtg	Unit Tax Cost	Tax Cost	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Current Yield
Cash & Reserves									
283726	GLENMEDE FUND INC-GOVT CASH PORTFOLIO (GOVTCASH)		1.00	283,726	1.00	283,726		0	0.00 *
316+	Cash		1.00	316	1.00	316		0	0.00
Cash & Reserves Total				284,042		284,042		0	0.00
Fixed Income									
U S Government Obligations									
40000	US TREASURY N/B DTD 6/30/2007 4.875% 6/30/2012 (912828GW4)	Aaa	1.00	39,834	102.78	41,111	1,276	1,950	4.74
50000	US TREASURY N/B DTD 5/15/2004 4.75% 5/15/2014 (912828CJ7)	Aaa	0.99	49,250	110.78	55,391	6,141	2,375	4.29
10000	US TREASURY N/B DTD 6/30/2009 2.625% 6/30/2014 (912828KY5)	Aaa	1.02	10,243	105.84	10,584	342	263	2.48
	Total			99,327		107,086	7,759	4,588	4.28
U S Government Agencies									
20000	FFCB DTD 2/13/2008 3.3% 2/13/2012 (31331YTQ3)	Aaa	1.00	19,988	100.64	20,127	139	660	3.28
40000	FHLB DTD 1/17/2008 3.375% 2/27/2013 (3133XP2W3)	Aaa	1.05	41,916	103.78	41,512	403-	1,350	3.25

Shares/Par	Description of Assets	Bond Rtg	Unit Tax Cost	Tax Cost	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Current Yield
50000	FHLB DTD 7/23/2003 5% 7/23/2013 (31339YJU7)	Aaa	0.98	48,994	107.52	53,761	4,767	2,500	4.65
50000	FNMA DTD 3/25/2004 4.125% 4/15/2014 (31359MUT8)	Aaa	1.03	51,340	108.12	54,062	2,722	2,063	3.82
30000	FHLMC DTD 7/16/2004 5% 7/15/2014 (3134A4UU6)	Aaa	1.12	33,724	111.40	33,419	305-	1,500	4.49
40000	FHLB DTD 5/3/2006 5.375% 5/18/2016 (3133XFJF4)	Aaa	1.16	46,508	118.33	47,332	824	2,150	4.54
	Total			242,470		250,213	7,745	10,223	4.09
Corporate Bonds - Industrial									
35000	HEWLETT-PACKARD CO DTD 12/5/2008 6.125% 3/1/2014 (428236AT0)	A2	1.13	39,447	109.82	38,437	1,010-	2,144	5.58
35000	UNITED TECHNOLOGIES CORP DTD 4/29/2005 4.875% 5/1/2015 (913017BH1)	A2	1.10	38,388	111.86	39,150	761	1,706	4.36
40000	JOHNSON & JOHNSON DTD 8/16/2007 5.55% 8/15/2017 (478160AQ7)	Aaa	1.11	44,571	120.30	48,121	3,550	2,220	4.61
25000	PEPSICO INC DTD 10/24/2008 7.9% 11/1/2018 (713448BJ6)	Aa3	1.27	31,737	132.56	33,139	1,402	1,975	5.96
25000	CATERPILLAR INC DTD 12/5/2008 7.9% 12/15/2018 (149123BQ3)	A2	1.30	32,423	132.63	33,158	734	1,975	5.96

Shares/Par	Description of Assets	Bond Rtg	Unit Tax Cost	Tax Cost	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Current Yield	
35000	IBM CORP DTD 11/1/1989 8.375% 11/1/2019 (459200AG6)	A1	1.38	48,403	139.81	48,932	529	2,931	5.99	
	Total			234,969		240,937	5,966	12,951	5.38	
Corporate Bonds - Finance										
50000	JPMORGAN CHASE & CO DTD 10/4/2005 5.15% 10/1/2015 (46625HDF4)	A1	1.05	52,718	104.38	52,190	529-	2,575	4.93	
35000	GOLDMAN SACHS GROUP INC DTD 1/18/2008 5.95% 1/18/2018 (38141GFG4)	A1	1.07	37,340	99.39	34,785	2,555-	2,083	5.99	
35000	HONEYWELL INTERNATIONAL DTD 2/29/2008 5.3% 3/1/2018 (438516AX4)	A2	1.07	37,522	116.58	40,803	3,281	1,855	4.55	
35000	ORACLE CORP DTD 4/9/2008 5.75% 4/15/2018 (68389XAC9)	A1	1.10	38,502	119.26	41,741	3,239	2,013	4.82	
	Total			166,082		169,519	3,436	8,526	5.03	
Other Taxable Bond Mutual Funds										
6970+	PAYDEN HIGH INCOME FUND (PYHRX)		7.17	50,000	6.85	47,747	2,253-	3,764	7.88	#
10638+	TEMPLETON GLOBAL BOND FD-AD (TGBAX)		13.63	145,000	12.69	134,992	10,008-	6,744	5.00	#

COMBINED STATEMENT OF ASSETS
THE EUGENE GARFIELD FOUNDATION
11/30/11
0706-03-4/5

Shares/Par	Description of Assets	Bond Rtg	Unit Tax Cost	Tax Cost	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Current Yield	
4616+	LEGG MASON BRANDYWINE GLOBAL OPPS BOND DTD 6/1/2009 (GOBSX)		10.98	50,664	10.97	50,640	24-	1,605	3.17	#
6253+	TIAA CREF HIGH YIELD FUND - IN DTD 1/1/2012 (TIHYX)		9.59	60,000	9.51	59,470	531-	4,296	7.22	#
	Total			305,664		292,849	12,816-	16,409	5.60	
Fixed Income Total				1,048,512		1,060,604	12,090	52,697	4.97	
Equities										
Basic Industries										
200	AIR PRODUCTS & CHEMICALS INC. (APD)		90.73	18,146	83.75	16,750	1,396-	464	2.77	
295	E I DU PONT DE NEMOURS & CO. (DD)		46.83	13,816	47.72	14,077	262	484	3.44	
390	EMERSON ELECTRIC CO. (EMR)		34.87	13,601	52.25	20,378	6,777	624	3.06	
485	HONEYWELL INTERNATIONAL INC (HON)		33.40	16,197	54.15	26,263	10,066	723	2.75	#
405	J. B. HUNT (JBHT)		35.51	14,380	45.72	18,517	4,137	211	1.14	
230	PARKER-HANNIFIN CORP. (PH)		87.17	20,050	82.78	19,039	1,010-	340	1.79	#
125	PRECISION CASTPARTS CORP (PCP)		81.20	10,150	164.75	20,594	10,444	15	0.07	
235	ROCKWELL COLLINS (COL)		63.72	14,975	54.90	12,902	2,074-	226	1.75	#
170	SIGMA-ALDRICH CORP. (SIAL)		60.75	10,327	64.81	11,018	691	122	1.11	
	Total			131,642		159,538	27,896	3,209	2.01	

Consumer Discretionary

COMBINED STATEMENT OF ASSETS
THE EUGENE GARFIELD FOUNDATION
11/30/11
0706-03-4/5

Shares/Par	Description of Assets	Bond Rtg	Unit Tax Cost	Tax Cost	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Current Yield	
320	COACH INC (COH)		16.89	5,406	62.59	20,029	14,622	288	1.44	#
470	DARDEN RESTAURANTS INC. (DRI)		47.04	22,109	47.71	22,424	315	808	3.61	#
225	DOLLAR TREE INC (DLTR)		50.20	11,296	81.49	18,335	7,039	0	0.00	#
600	HOME DEPOT INC. (HD)		32.37	19,422	39.22	23,532	4,110	696	2.96	
221	ITT EDUCATIONAL SERVICES INC (ESI)		70.73	15,631	54.96	12,146	3,485-	0	0.00	#
490	OMNICOM GROUP (OMC)		45.42	22,256	43.17	21,153	1,103-	490	2.32	#
440	YUM BRANDS INC (YUM)		43.11	18,970	56.04	24,658	5,687	502	2.03	#
	Total			115,090		142,277	27,187	2,784	1.96	
Consumer Staples										
235	COLGATE PALMOLIVE CO. (CL)		60.86	14,301	91.50	21,503	7,202	545	2.54	#
430	KELLOGG CO. (K)		51.72	22,238	49.16	21,139	1,099-	740	3.50	#
455	PHILIP MORRIS INTERNATIONAL (PM)		59.09	26,885	76.24	34,689	7,805	1,401	4.04	#
700	WALGREEN CO. (WAG)		40.74	28,518	33.72	23,604	4,914-	630	2.67	#
	Total			91,942		100,935	8,993	3,316	3.29	
Energy										
310	CHEVRON CORP (CVX)		83.33	25,831	102.82	31,874	6,043	1,004	3.15	#
255	ENSCO INTERNATIONAL PLC ADR (ESV)		54.16	13,810	51.97	13,252	558-	357	2.69	#
325	HALLIBURTON CO. (HAL)		31.73	10,311	36.80	11,960	1,649	117	0.98	
190	NATIONAL OILWELL VARCO INC (NOV)		65.63	12,469	71.70	13,623	1,154	91	0.67	#
185	OCCIDENTAL PETROLEUM CORP. (OXY)		103.10	19,073	98.90	18,297	777-	340	1.86	
405	SCHLUMBERGER LTD. (SLB)		64.92	26,294	75.33	30,509	4,214	405	1.33	#

COMBINED STATEMENT OF ASSETS
THE EUGENE GARFIELD FOUNDATION
11/30/11
0706-03-4/5

Shares/Par	Description of Assets	Bond Rtg	Unit Tax Cost	Tax Cost	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Current Yield	
	Total			107,788		119,515	11,726	2,314	1.94	
Financials										
420	AMERICAN EXPRESS CO. (AXP)		32.45	13,629	48.04	20,177	6,548	302	1.50	#
1480	CHARLES SCHWAB CORP. (SCHW)		16.87	24,964	11.96	17,701	7,263-	355	2.01	#
190	FRANKLIN RESOURCES INC. (BEN)		101.47	19,279	100.82	19,156	123-	190	0.99	#
190	GOLDMAN SACHS GROUP INC (GS)		154.06	29,271	95.86	18,213	11,058-	266	1.46	#
644	JPMORGAN CHASE & CO (JPM)		36.24	23,338	30.97	19,945	3,393-	644	3.23	
1050	PROGRESSIVE CORP OHIO (PGR)		19.50	20,480	18.86	19,803	677-	419	2.12	#
835	US BANCORP (USB)		19.40	16,203	25.92	21,643	5,440	418	1.93	#
	Total			147,164		136,638	10,526-	2,594	1.90	
Health Care										
430	BAXTER INTL. INC. (BAX)		52.63	22,630	51.66	22,214	416-	576	2.59	#
460	EXPRESS SCRIPTS (ESRX)		50.68	23,312	45.65	20,999	2,313-	0	0.00	#
230	LABORATORY CORP OF AMERICA HOLDINGS (LH)		72.79	16,742	85.72	19,716	2,974	0	0.00	#
310	UNITEDHEALTH GROUP INC (UNH)		44.53	13,804	48.77	15,119	1,314	202	1.33	#
325	VARIAN MEDICAL SYSTEMS INC (VAR)		42.21	13,717	62.23	20,225	6,508	0	0.00	#
300	WATERS CORP (WAT)		57.36	17,207	80.00	24,000	6,793	0	0.00	#
	Total			107,412		122,273	14,860	778	0.64	

Technology

COMBINED STATEMENT OF ASSETS
THE EUGENE GARFIELD FOUNDATION
11/30/11
0706-03-4/5

Shares/Par	Description of Assets	Bond Rtg	Unit Tax Cost	Tax Cost	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Current Yield	
345	ACCENTURE PLC (ACN)		25.55	8,815	57.93	19,986	11,171	466	2.33	
360	AMPHENOL CORP-CL A (APH)		46.29	16,664	45.33	16,319	345-	22	0.13	#
130	APPLE INC. (AAPL)		46.18	6,004	382.20	49,686	43,682	0	0.00	
375	AVAGO TECHNOLOGIES LTD (AVGO)		32.70	12,261	29.92	11,220	1,041-	165	1.47	#
160	COGNIZANT TECH SOLUTIONS CRP (CTSH)		68.17	10,907	67.35	10,776	131-	0	0.00	
415	MICROCHIP TECHNOLOGY INC. (MCHP)		28.54	11,843	34.91	14,488	2,645	578	3.99	#
1160	MICROSOFT CORP. (MSFT)		23.07	26,765	25.58	29,673	2,907	928	3.13	#
970	ORACLE CORP (ORCL)		13.74	13,328	31.35	30,410	17,082	233	0.77	
555	QUALCOMM CORP. (QCOM)		55.55	30,833	54.80	30,414	419-	477	1.57	#
	Total			137,420		212,972	75,551	2,869	1.35	
Other Assets										
365	COOPER INDUSTRIES PLC CL A (CBE)		40.67	14,843	55.53	20,268	5,426	423	2.09	#
	Total			14,843		20,268	5,426	423	2.09	
U S Mutual Funds										
1886+	GLENMEDE FUND INC - ADVISOR SMALL CAP EQUITY PORTFOLIO (GTCSX)		16.98	32,039	16.31	30,767	1,272-	9	0.03	*#
3659+	GLENMEDE FUND INC SECURED OPTIONS (GTSOX)		11.13	40,710	12.33	45,114	4,405	0	0.00	*#
2085+	HARBOR SMALL CAP VALUE - INSTI (HASCX)		11.69	24,375	19.77	41,222	16,847	158	0.38	#
688+	ROYCE SPECIAL EQUITY FUND -IN (RSEIX)		14.54	10,000	20.90	14,374	4,374	56	0.39	

Shares/Par	Description of Assets	Bond Rtg	Unit Tax Cost	Tax Cost	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Current Yield	
	Total			107,124		131,477	24,355	223	0.17	
International Mutual Funds										
4000	ISHARES MSCI EAFE INDEX FUND (EFA)		41.09	164,348	51.24	204,960	40,612	6,716	3.28	
1175	ISHARES MSCI EMERGING MKT IN (EEM)		22.07	25,932	40.01	47,012	21,080	964	2.05	
2501+	MATTHEWS PACIFIC TIGER FD - IS (MIPTX)		19.99	50,000	21.18	52,977	2,977	215	0.41	
5679+	THORNBURG INTL VALUE FD-I (TGVIX)		22.48	127,647	25.23	143,289	15,642	2,107	1.47	#
7014+	TWEEDY BROWNE GLOBAL VALUE FUND (TBGVX)		21.44	150,385	22.52	157,947	7,562	1,781	1.13	#
1000	VANGUARD EMERGING MARKET ETF (VWO)		39.71	39,710	40.82	40,820	1,110	815	2.00	
	Total			558,022		647,005	88,982	12,598	1.95	
Equities Total				1,518,447		1,792,898	274,449	31,108	1.74	
Other										
Alternative Assets										
44179+	PIMCO ALL ASSETS AUTH -IS (PAUIX)		10.67	471,177	10.51	464,325	6,852-	33,135	7.14	#
8687+	THIRD AVENUE REAL ESTATE VAL (TAREX)		21.62	187,832	20.53	178,348	9,485-	8,018	4.50	#
836+	VAN ECK GLOBAL HARD ASSETS -I (GHAIX)		32.82	27,419	48.26	40,322	12,903	184	0.46	#

COMBINED STATEMENT OF ASSETS
THE EUGENE GARFIELD FOUNDATION
11/30/11
0706-03-4/5

Shares/Par	Description of Assets	Bond Rtg	Unit Tax Cost	Tax Cost	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Current Yield
	Total			686,428		682,995	3,434-	41,337	6.05
Other Total				686,428		682,995	3,434-	41,337	6.05
-12469	Cash Payable			12,469-		12,469-		0	0.00
10634	Cash Receivable			10,634		10,634		0	0.00

COMBINED STATEMENT OF ASSETS
THE EUGENE GARFIELD FOUNDATION
11/30/2011
0706-03-4/5

Shares/Par	Description of Assets	Bond Rtg	Unit Tax Cost	Tax Cost	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Current Yield
Grand Total				3,535,594		3,818,704	283,110	125,142	3.28
ADJUSTMENTS:									
OTHER									
-5,000	**CASH PAYABLE**			**-5,000**		**-5,000**	**0**		
ADJUSTED GRAND TOTAL				**3,530,594**		**3,813,704**	**283,110**		

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property / Gross sale price less expenses of sale	Depreciation allowed/ allowable	Description / Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis	P or D	Date acquired / Gain or (loss)	Date sold
372.00		TOTAL SHORT-TERM CAPITAL GAIN DIVIDENDS					372.	
1,672.00		TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS					1,672.	
		110. ABBOTT LABORATORIES PROPERTY TYPE: SECURITIES					10/21/2009	12/08/2010
5,128.00		5,667.00					-539.00	
		160. CISCO SYSTEMS PROPERTY TYPE: SECURITIES					04/17/1997	12/20/2010
3,142.00		876.00					2,266.00	
		170. CISCO SYSTEMS PROPERTY TYPE: SECURITIES					04/17/1997	12/21/2010
3,323.00		930.00					2,393.00	
		35. COACH INC PROPERTY TYPE: SECURITIES					10/14/2008	12/21/2010
2,025.00		720.00					1,305.00	
		75. SEI INVESTMENTS CO PROPERTY TYPE: SECURITIES					01/15/2010	12/21/2010
1,794.00		1,476.00					318.00	
		5. WATERS CORP PROPERTY TYPE: SECURITIES					02/14/2007	12/21/2010
400.00		287.00					113.00	
		1110. CISCO SYSTEMS PROPERTY TYPE: SECURITIES					04/17/1997	12/22/2010
21,636.00		6,074.00					15,562.00	
		530. ABBOTT LABORATORIES PROPERTY TYPE: SECURITIES					10/21/2009	01/11/2011
25,346.00		27,306.00					-1,960.00	
		295. MICROSOFT CORP. PROPERTY TYPE: SECURITIES					05/04/2009	01/11/2011
8,301.00		5,983.00					2,318.00	
		15. PRECISION CASTPARTS CORP PROPERTY TYPE: SECURITIES					08/05/2009	01/11/2011
2,123.00		1,218.00					905.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		35. COOPER INDUSTRIES PLC CL A PROPERTY TYPE: SECURITIES					10/21/2009	01/11/2011
2,101.00		1,395.00					706.00	
		30. ENERGIZER HOLDINGS INC -W/I PROPERTY TYPE: SECURITIES					02/20/2007	01/21/2011
2,198.00		2,626.00					-428.00	
		65. MCGRAW HILL INC. PROPERTY TYPE: SECURITIES					10/14/2008	01/21/2011
2,441.00		1,840.00					601.00	
		65. PRECISION CASTPARTS CORP PROPERTY TYPE: SECURITIES					08/05/2009	01/21/2011
9,253.00		5,278.00					3,975.00	
		20. VARIAN MEDICAL SYSTEMS INC PROPERTY TYPE: SECURITIES					12/07/2007	01/21/2011
1,428.00		1,000.00					428.00	
		160. COOPER INDUSTRIES PLC CL A PROPERTY TYPE: SECURITIES					10/21/2009	01/21/2011
9,593.00		6,377.00					3,216.00	
		35. AMPHENOL CORP-CL A PROPERTY TYPE: SECURITIES					06/03/2008	01/26/2011
1,925.00		1,711.00					214.00	
		30. COACH INC PROPERTY TYPE: SECURITIES					10/14/2008	01/26/2011
1,626.00		617.00					1,009.00	
		30. VARIAN MEDICAL SYSTEMS INC PROPERTY TYPE: SECURITIES					12/07/2007	01/26/2011
2,151.00		1,500.00					651.00	
		40. COACH INC PROPERTY TYPE: SECURITIES					10/14/2008	02/01/2011
2,166.00		823.00					1,343.00	
		85. ORACLE SYSTEMS PROPERTY TYPE: SECURITIES					03/07/2005	02/01/2011
2,812.00		1,168.00					1,644.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		50000. BOTTLING GROUP LLC DTD 5/15/2003 PROPERTY TYPE: SECURITIES					03/31/2005	02/03/2011
53,238.00		49,233.00					4,005.00	
		10000. FHLB DTD 10/19/2007 5% 11/17/2017 PROPERTY TYPE: SECURITIES					06/23/2010	02/03/2011
11,183.00		11,345.00					-162.00	
		95. BHP LIMITED - SPONS ADR PROPERTY TYPE: SECURITIES					03/19/2009	02/08/2011
9,065.00		4,339.00					4,726.00	
		1150. INTEL CORP. PROPERTY TYPE: SECURITIES					03/23/2010	02/09/2011
24,644.00		25,953.00					-1,309.00	
		710. INTEL CORP. PROPERTY TYPE: SECURITIES					03/23/2010	02/11/2011
15,446.00		16,023.00					-577.00	
		27. NORDSTROM INC. PROPERTY TYPE: SECURITIES					11/13/2009	02/14/2011
1,236.00		895.00					341.00	
		305. NORDSTROM INC. PROPERTY TYPE: SECURITIES					11/13/2009	02/14/2011
13,934.00		10,110.00					3,824.00	
		208. NORDSTROM INC. PROPERTY TYPE: SECURITIES					11/13/2009	02/14/2011
9,517.00		6,895.00					2,622.00	
		5. NORDSTROM INC. PROPERTY TYPE: SECURITIES					10/22/2010	02/14/2011
229.00		183.00					46.00	
		26. COACH INC PROPERTY TYPE: SECURITIES					10/14/2008	02/17/2011
1,488.00		535.00					953.00	
		20. COACH INC PROPERTY TYPE: SECURITIES					10/14/2008	02/17/2011
1,142.00		411.00					731.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		84. COACH INC PROPERTY TYPE: SECURITIES					10/14/2008	02/17/2011
4,781.00		1,728.00					3,053.00	
		323. SYSCO CORP PROPERTY TYPE: SECURITIES					10/21/2009	02/17/2011
9,133.00		8,833.00					300.00	
		327. SYSCO CORP PROPERTY TYPE: SECURITIES					10/22/2009	02/17/2011
9,237.00		8,923.00					314.00	
		95. MCGRAW HILL INC. PROPERTY TYPE: SECURITIES					10/14/2008	02/23/2011
3,569.00		2,689.00					880.00	
		320. EXXON MOBIL CORPORATION PROPERTY TYPE: SECURITIES					08/03/1992	02/25/2011
27,308.00		3,990.00					23,318.00	
		52. MCDERMOTT INTERNATIONAL INC. PROPERTY TYPE: SECURITIES					11/21/2006	03/01/2011
1,181.00		648.00					533.00	
		31. MCDERMOTT INTERNATIONAL INC. PROPERTY TYPE: SECURITIES					11/21/2006	03/01/2011
698.00		386.00					312.00	
		50. MCDERMOTT INTERNATIONAL INC. PROPERTY TYPE: SECURITIES					11/21/2006	03/01/2011
1,123.00		623.00					500.00	
		107. MCDERMOTT INTERNATIONAL INC. PROPERTY TYPE: SECURITIES					11/21/2006	03/01/2011
2,394.00		1,333.00					1,061.00	
		55. WATERS CORP PROPERTY TYPE: SECURITIES					02/14/2007	03/04/2011
4,760.00		3,160.00					1,600.00	
		50000. CITIGROUP INC FDIC DTD 12/9/2008 PROPERTY TYPE: SECURITIES					11/04/2009	03/17/2011
50,936.00		51,646.00					-710.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		45000. US TREASURY N/B DTD 8/15/2007 4.7 PROPERTY TYPE: SECURITIES					11/04/2009	03/17/2011
51,360.00		49,676.00					1,684.00	
		55. COLGATE PALMOLIVE CO. PROPERTY TYPE: SECURITIES					03/10/2005	03/22/2011
4,329.00		2,849.00					1,480.00	
		30. COACH INC PROPERTY TYPE: SECURITIES					10/14/2008	03/25/2011
1,567.00		617.00					950.00	
		697.674 HARBOR FD SMALL CAP VALUE FD PROPERTY TYPE: SECURITIES					04/02/2009	03/30/2011
15,000.00		8,156.00					6,844.00	
		3780.969 ABSOLUTE STRATEGIES FUND-I PROPERTY TYPE: SECURITIES					07/16/2010	03/31/2011
40,834.00		40,000.00					834.00	
		5875.313 ABSOLUTE STRATEGIES FUND-I PROPERTY TYPE: SECURITIES					04/02/2009	03/31/2011
63,453.00		60,011.00					3,442.00	
		9420.287 ABSOLUTE STRATEGIES FUND-I PROPERTY TYPE: SECURITIES					11/19/2010	03/31/2011
101,739.00		102,096.00					-357.00	
		6. ITT EDUCATIONAL SERVICES INC PROPERTY TYPE: SECURITIES					07/22/2010	04/05/2011
454.00		512.00					-58.00	
		49. ITT EDUCATIONAL SERVICES INC PROPERTY TYPE: SECURITIES					07/02/2010	04/05/2011
3,708.00		3,980.00					-272.00	
		40. VARIAN MEDICAL SYSTEMS INC PROPERTY TYPE: SECURITIES					12/07/2007	04/07/2011
2,744.00		2,000.00					744.00	
		155. BHP LIMITED - SPONS ADR PROPERTY TYPE: SECURITIES					03/19/2009	04/11/2011
16,083.00		6,998.00					9,085.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		70. COACH INC PROPERTY TYPE: SECURITIES					10/14/2008	04/19/2011
3,857.00		1,440.00					2,417.00	
		155. EOG RESOURCES INC PROPERTY TYPE: SECURITIES					04/07/2010	04/19/2011
16,966.00		17,929.00					-963.00	
		105. EOG RESOURCES INC PROPERTY TYPE: SECURITIES					11/05/2010	04/19/2011
11,493.00		9,382.00					2,111.00	
		351. SEI INVESTMENTS CO PROPERTY TYPE: SECURITIES					01/15/2010	04/20/2011
7,976.00		6,902.00					1,074.00	
		554. SEI INVESTMENTS CO PROPERTY TYPE: SECURITIES					01/15/2010	04/20/2011
12,571.00		10,875.00					1,696.00	
		15000. HEWLETT-PACKARD CO DTD 12/5/2008 PROPERTY TYPE: SECURITIES					11/12/2009	05/18/2011
16,876.00		16,906.00					-30.00	
		10000. JOHNSON & JOHNSON DTD 8/16/2007 5 PROPERTY TYPE: SECURITIES					11/12/2009	05/18/2011
11,634.00		11,143.00					491.00	
		30000. FFCB DTD 2/13/2008 3.3% 2/13/2012 PROPERTY TYPE: SECURITIES					02/22/2008	05/26/2011
30,668.00		29,996.00					672.00	
		15000. GOLDMAN SACHS GROUP INC DTD 1/18/ PROPERTY TYPE: SECURITIES					11/12/2009	05/26/2011
16,277.00		16,003.00					274.00	
		15000. HONEYWELL INTERNATIONAL DTD 2/29/ PROPERTY TYPE: SECURITIES					11/12/2009	05/26/2011
16,887.00		16,081.00					806.00	
		15000. ORACLE CORP DTD 4/9/2008 5.75% 4/ PROPERTY TYPE: SECURITIES					11/12/2009	05/26/2011
17,283.00		16,501.00					782.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		15000. UNITED TECHNOLOGIES CORP DTD 4/29 PROPERTY TYPE: SECURITIES					11/12/2009	05/26/2011
16,802.00		16,452.00					350.00	
		115. AMERICAN EXPRESS CO. PROPERTY TYPE: SECURITIES					08/19/2009	06/07/2011
5,631.00		3,642.00					1,989.00	
		205. PRAXAIR INC. PROPERTY TYPE: SECURITIES					10/08/2010	06/07/2011
20,555.00		18,565.00					1,990.00	
		70. PRAXAIR INC. PROPERTY TYPE: SECURITIES					02/04/2011	06/07/2011
7,019.00		6,657.00					362.00	
		40. AIR PRODUCTS & CHEMICALS INC. PROPERTY TYPE: SECURITIES					06/07/2011	06/14/2011
3,735.00		3,629.00					106.00	
		80. AMERICAN EXPRESS CO. PROPERTY TYPE: SECURITIES					08/19/2009	06/14/2011
3,862.00		2,533.00					1,329.00	
		50. AMPHENOL CORP-CL A PROPERTY TYPE: SECURITIES					06/03/2008	06/14/2011
2,571.00		2,444.00					127.00	
		20. APPLE COMPUTER INC. PROPERTY TYPE: SECURITIES					08/22/2005	06/14/2011
6,660.00		924.00					5,736.00	
		40. BMC SOFTWARE PROPERTY TYPE: SECURITIES					01/11/2011	06/14/2011
2,124.00		1,894.00					230.00	
		40. BAXTER INTL. INC. PROPERTY TYPE: SECURITIES					10/01/2008	06/14/2011
2,350.00		2,647.00					-297.00	
		20. CHEVRON CORP PROPERTY TYPE: SECURITIES					05/29/2008	06/14/2011
2,020.00		1,982.00					38.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		50. COACH INC PROPERTY TYPE: SECURITIES					10/14/2008	06/14/2011
2,989.00		1,029.00					1,960.00	
		40. COLGATE PALMOLIVE CO. PROPERTY TYPE: SECURITIES					03/10/2005	06/14/2011
3,466.00		2,072.00					1,394.00	
		80. DARDEN RESTAURANTS INC. PROPERTY TYPE: SECURITIES					02/17/2011	06/14/2011
3,808.00		3,990.00					-182.00	
		60. DOLLAR TREE INC PROPERTY TYPE: SECURITIES					02/17/2011	06/14/2011
3,788.00		3,163.00					625.00	
		100. EMERSON ELECTRIC CO. PROPERTY TYPE: SECURITIES					08/05/2009	06/14/2011
5,287.00		3,500.00					1,787.00	
		50. ENERGIZER HOLDINGS INC -W/I PROPERTY TYPE: SECURITIES					02/20/2007	06/14/2011
3,510.00		4,377.00					-867.00	
		30. ENSCO INTERNATIONAL PLC ADR PROPERTY TYPE: SECURITIES					04/19/2011	06/14/2011
1,608.00		1,668.00					-60.00	
		40. EXPRESS SCRIPTS PROPERTY TYPE: SECURITIES					01/11/2011	06/14/2011
2,274.00		2,275.00					-1.00	
		20. FRANKLIN RESOURCES INC. PROPERTY TYPE: SECURITIES					06/19/2008	06/14/2011
2,520.00		2,038.00					482.00	
		40. GOLDMAN SACHS GROUP INC PROPERTY TYPE: SECURITIES					01/21/2011	06/14/2011
5,509.00		6,651.00					-1,142.00	
		160. W W GRAINGER INC PROPERTY TYPE: SECURITIES					01/08/2010	06/14/2011
23,448.00		15,826.00					7,622.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		150. HEALTH MANAGEMENT CLASS A PROPERTY TYPE: SECURITIES					04/15/2011	06/14/2011
1,558.00		1,563.00					-5.00	
		60. HONEYWELL INTERNATIONAL INC PROPERTY TYPE: SECURITIES					05/08/2009	06/14/2011
3,442.00		2,036.00					1,406.00	
		60. J. B. HUNT PROPERTY TYPE: SECURITIES					12/01/2010	06/14/2011
2,656.00		2,259.00					397.00	
		40. ITT EDUCATIONAL SERVICES INC PROPERTY TYPE: SECURITIES					10/28/2009	06/14/2011
3,306.00		3,730.00					-424.00	
		70. ILLINOIS TOOL WORKS PROPERTY TYPE: SECURITIES					03/19/2010	06/14/2011
3,901.00		3,303.00					598.00	
		130. JP MORGAN CHASE & CO PROPERTY TYPE: SECURITIES					10/27/2005	06/14/2011
5,426.00		4,835.00					591.00	
		10. LABORATORY CORP OF AMERICAN HLDGS PROPERTY TYPE: SECURITIES					04/28/2008	06/14/2011
964.00		753.00					211.00	
		25. MCDERMOTT INTERNATIONAL INC. PROPERTY TYPE: SECURITIES					11/21/2006	06/14/2011
464.00		311.00					153.00	
		75. MCDERMOTT INTERNATIONAL INC. PROPERTY TYPE: SECURITIES					01/20/2011	06/14/2011
1,391.00		1,457.00					-66.00	
		70. MCGRAW HILL INC. PROPERTY TYPE: SECURITIES					10/14/2008	06/14/2011
2,921.00		1,981.00					940.00	
		150. MICROSOFT CORP. PROPERTY TYPE: SECURITIES					05/04/2009	06/14/2011
3,636.00		3,036.00					600.00	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		180. MICROCHIP TECHNOLOGY INC. PROPERTY TYPE: SECURITIES					01/08/2010	06/14/2011
6,568.00		5,093.00					1,475.00	
		50. NUCOR CORP. PROPERTY TYPE: SECURITIES					02/20/2007	06/14/2011
2,041.00		3,238.00					-1,197.00	
		30. OCCIDENTAL PETROLEUM CORP. PROPERTY TYPE: SECURITIES					02/25/2011	06/14/2011
3,153.00		3,093.00					60.00	
		80. OMNICOM GROUP PROPERTY TYPE: SECURITIES					04/19/2011	06/14/2011
3,674.00		3,726.00					-52.00	
		170. ORACLE SYSTEMS PROPERTY TYPE: SECURITIES					03/07/2005	06/14/2011
5,464.00		2,336.00					3,128.00	
		90. PHILIP MORRIS INTERNATIONAL PROPERTY TYPE: SECURITIES					11/11/2010	06/14/2011
6,227.00		5,371.00					856.00	
		20. PRECISION CASTPARTS CORP PROPERTY TYPE: SECURITIES					08/05/2009	06/14/2011
3,070.00		1,624.00					1,446.00	
		65. PROGRESSIVE CORP OHIO PROPERTY TYPE: SECURITIES					11/19/2010	06/14/2011
1,326.00		1,351.00					-25.00	
		115. PROGRESSIVE CORP OHIO PROPERTY TYPE: SECURITIES					04/07/2010	06/14/2011
2,346.00		2,247.00					99.00	
		90. ROCKWELL COLLINS INC. PROPERTY TYPE: SECURITIES					01/21/2011	06/14/2011
5,353.00		5,737.00					-384.00	
		60. SCHLUMBERGER LTD. PROPERTY TYPE: SECURITIES					04/07/2010	06/14/2011
5,101.00		3,970.00					1,131.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		250. CHARLES SCHWAB CORP. PROPERTY TYPE: SECURITIES					04/07/2010	06/14/2011
4,077.00		4,869.00					-792.00	
		10. SIGMA-ALDRICH CORP. PROPERTY TYPE: SECURITIES					10/22/2010	06/14/2011
684.00		621.00					63.00	
		30. SIGMA-ALDRICH CORP. PROPERTY TYPE: SECURITIES					02/09/2011	06/14/2011
2,051.00		1,841.00					210.00	
		140. U S BANCORP PROPERTY TYPE: SECURITIES					04/20/2011	06/14/2011
3,447.00		3,492.00					-45.00	
		60. VARIAN MEDICAL SYSTEMS INC PROPERTY TYPE: SECURITIES					12/07/2007	06/14/2011
4,015.00		2,999.00					1,016.00	
		90. WALGREEN CO. PROPERTY TYPE: SECURITIES					02/17/2011	06/14/2011
3,971.00		3,826.00					145.00	
		50. WATERS CORP PROPERTY TYPE: SECURITIES					02/14/2007	06/14/2011
4,670.00		2,873.00					1,797.00	
		100. YUM BRANDS INC PROPERTY TYPE: SECURITIES					12/08/2010	06/14/2011
5,395.00		5,027.00					368.00	
		70. ACCENTURE PLC PROPERTY TYPE: SECURITIES					03/07/2005	06/14/2011
4,011.00		1,789.00					2,222.00	
		50. COOPER INDUSTRIES PLC CL A PROPERTY TYPE: SECURITIES					10/21/2009	06/14/2011
3,052.00		1,993.00					1,059.00	
		80. QUALCOMM CORP. PROPERTY TYPE: SECURITIES					06/01/2011	06/15/2011
4,401.00		4,678.00					-277.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		20. MICROSOFT CORP.					05/04/2009	06/17/2011
		PROPERTY TYPE: SECURITIES						
482.00		405.00					77.00	
		11. MICROSOFT CORP.					05/04/2009	06/17/2011
		PROPERTY TYPE: SECURITIES						
265.00		223.00					42.00	
		104. MICROSOFT CORP.					05/04/2009	06/17/2011
		PROPERTY TYPE: SECURITIES						
2,493.00		2,105.00					388.00	
		60. DOLLAR TREE INC					02/14/2011	06/24/2011
		PROPERTY TYPE: SECURITIES						
3,941.00		3,056.00					885.00	
		170. MICROSOFT CORP.					05/04/2009	06/24/2011
		PROPERTY TYPE: SECURITIES						
4,116.00		3,441.00					675.00	
		35000. BARCLAYS BK PLC DTD 7/10/2009 5.2					02/03/2011	06/27/2011
		PROPERTY TYPE: SECURITIES						
37,956.00		37,929.00					27.00	
		60. ACCENTURE PLC					03/07/2005	06/28/2011
		PROPERTY TYPE: SECURITIES						
3,574.00		1,533.00					2,041.00	
		160. ILLINOIS TOOL WORKS					09/24/2010	07/20/2011
		PROPERTY TYPE: SECURITIES						
9,029.00		7,643.00					1,386.00	
		345. ILLINOIS TOOL WORKS					03/19/2010	07/20/2011
		PROPERTY TYPE: SECURITIES						
19,468.00		16,281.00					3,187.00	
		50. ENERGIZER HOLDINGS INC -W/I					02/20/2007	07/21/2011
		PROPERTY TYPE: SECURITIES						
3,991.00		4,377.00					-386.00	
		60. HALLIBURTON CO.					04/07/2010	08/04/2011
		PROPERTY TYPE: SECURITIES						
3,049.00		1,904.00					1,145.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		85. DOLLAR TREE INC PROPERTY TYPE: SECURITIES					02/14/2011	08/16/2011
5,832.00		4,282.00					1,550.00	
		353. NUCOR CORP. PROPERTY TYPE: SECURITIES					02/20/2007	08/17/2011
11,944.00		22,679.00					-10,735.00	
		80. SIGMA-ALDRICH CORP. PROPERTY TYPE: SECURITIES					10/08/2010	08/17/2011
5,038.00		4,866.00					172.00	
		616. HEALTH MANAGEMENT CLASS A PROPERTY TYPE: SECURITIES					04/15/2011	08/18/2011
4,500.00		6,348.00					-1,848.00	
		616. HEALTH MANAGEMENT CLASS A PROPERTY TYPE: SECURITIES					04/18/2011	08/18/2011
4,487.00		6,234.00					-1,747.00	
		508. HEALTH MANAGEMENT CLASS A PROPERTY TYPE: SECURITIES					04/18/2011	08/18/2011
3,693.00		4,893.00					-1,200.00	
		46. MCGRAW HILL INC. PROPERTY TYPE: SECURITIES					06/24/2011	08/18/2011
1,745.00		1,829.00					-84.00	
		5. MCGRAW HILL INC. PROPERTY TYPE: SECURITIES					10/14/2008	08/18/2011
190.00		142.00					48.00	
		4. MCGRAW HILL INC. PROPERTY TYPE: SECURITIES					06/24/2011	08/18/2011
152.00		159.00					-7.00	
		39. MCGRAW HILL INC. PROPERTY TYPE: SECURITIES					10/14/2008	08/18/2011
1,471.00		1,104.00					367.00	
		364. MCGRAW HILL INC. PROPERTY TYPE: SECURITIES					10/14/2008	08/18/2011
13,691.00		10,303.00					3,388.00	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		182. MCGRAW HILL INC. PROPERTY TYPE: SECURITIES					10/14/2008	08/18/2011
6,789.00		5,152.00					1,637.00	
		30. HALLIBURTON CO. PROPERTY TYPE: SECURITIES					04/07/2010	08/19/2011
1,141.00		952.00					189.00	
		110. HALLIBURTON CO. PROPERTY TYPE: SECURITIES					06/14/2011	08/19/2011
4,183.00		5,290.00					-1,107.00	
		40. AIR PRODUCTS & CHEMICALS INC. PROPERTY TYPE: SECURITIES					06/07/2011	08/22/2011
2,996.00		3,629.00					-633.00	
		55. AMERICAN EXPRESS CO. PROPERTY TYPE: SECURITIES					01/25/2011	08/22/2011
2,459.00		2,460.00					-1.00	
		45. AMERICAN EXPRESS CO. PROPERTY TYPE: SECURITIES					08/19/2009	08/22/2011
2,012.00		1,425.00					587.00	
		100. AMPHENOL CORP-CL A PROPERTY TYPE: SECURITIES					06/03/2008	08/22/2011
4,228.00		4,888.00					-660.00	
		30. APPLE COMPUTER INC. PROPERTY TYPE: SECURITIES					08/22/2005	08/22/2011
10,687.00		1,385.00					9,302.00	
		60. BMC SOFTWARE PROPERTY TYPE: SECURITIES					01/11/2011	08/22/2011
2,255.00		2,841.00					-586.00	
		100. BAXTER INTL. INC. PROPERTY TYPE: SECURITIES					10/01/2008	08/22/2011
5,186.00		6,618.00					-1,432.00	
		70. CHEVRON CORP PROPERTY TYPE: SECURITIES					05/29/2008	08/22/2011
6,548.00		6,936.00					-388.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		80. COACH INC PROPERTY TYPE: SECURITIES					10/14/2008	08/22/2011
3,763.00		1,646.00					2,117.00	
		60. COGNIZANT TECH SOLUTIONS CRP PROPERTY TYPE: SECURITIES					06/17/2011	08/22/2011
3,376.00		4,090.00					-714.00	
		50. COLGATE PALMOLIVE CO. PROPERTY TYPE: SECURITIES					07/29/2010	08/22/2011
4,254.00		3,919.00					335.00	
		60. DARDEN RESTAURANTS INC. PROPERTY TYPE: SECURITIES					12/22/2010	08/22/2011
2,743.00		2,874.00					-131.00	
		50. DARDEN RESTAURANTS INC. PROPERTY TYPE: SECURITIES					04/07/2011	08/22/2011
2,286.00		2,389.00					-103.00	
		70. DOLLAR TREE INC PROPERTY TYPE: SECURITIES					02/14/2011	08/22/2011
4,522.00		3,525.00					997.00	
		80. E I DU PONT DE NEMOURS & CO. PROPERTY TYPE: SECURITIES					08/17/2011	08/22/2011
3,509.00		3,747.00					-238.00	
		100. EMERSON ELECTRIC CO. PROPERTY TYPE: SECURITIES					08/05/2009	08/22/2011
4,270.00		3,487.00					783.00	
		60. ENERGIZER HOLDINGS INC -W/I PROPERTY TYPE: SECURITIES					02/20/2007	08/22/2011
4,169.00		5,252.00					-1,083.00	
		50. ENSCO INTERNATIONAL PLC ADR PROPERTY TYPE: SECURITIES					04/19/2011	08/22/2011
2,088.00		2,780.00					-692.00	
		70. EXPRESS SCRIPTS PROPERTY TYPE: SECURITIES					01/11/2011	08/22/2011
3,182.00		3,981.00					-799.00	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		50. FRANKLIN RESOURCES INC. PROPERTY TYPE: SECURITIES					06/19/2008	08/22/2011
5,634.00		5,036.00					598.00	
		10. GOLDMAN SACHS GROUP INC PROPERTY TYPE: SECURITIES					01/21/2011	08/22/2011
1,113.00		1,663.00					-550.00	
		40. GOLDMAN SACHS GROUP INC PROPERTY TYPE: SECURITIES					04/14/2011	08/22/2011
4,451.00		6,209.00					-1,758.00	
		70. HALLIBURTON CO. PROPERTY TYPE: SECURITIES					04/07/2010	08/22/2011
2,638.00		2,221.00					417.00	
		110. HONEYWELL INTERNATIONAL INC PROPERTY TYPE: SECURITIES					05/07/2009	08/22/2011
4,612.00		3,707.00					905.00	
		90. J. B. HUNT PROPERTY TYPE: SECURITIES					10/07/2010	08/22/2011
3,374.00		3,292.00					82.00	
		50. ITT EDUCATIONAL SERVICES INC PROPERTY TYPE: SECURITIES					01/31/2007	08/22/2011
3,479.00		4,814.00					-1,335.00	
		120. JP MORGAN CHASE & CO PROPERTY TYPE: SECURITIES					11/09/2010	08/22/2011
4,021.00		4,795.00					-774.00	
		20. JP MORGAN CHASE & CO PROPERTY TYPE: SECURITIES					10/27/2005	08/22/2011
670.00		725.00					-55.00	
		80. KELLOGG CO. PROPERTY TYPE: SECURITIES					09/16/2008	08/22/2011
4,219.00		4,441.00					-222.00	
		20. KELLOGG CO. PROPERTY TYPE: SECURITIES					06/14/2011	08/22/2011
1,055.00		1,101.00					-46.00	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		60. LABORATORY CORP OF AMERICAN HLDGS PROPERTY TYPE: SECURITIES					04/28/2008	08/22/2011
4,631.00		4,519.00					112.00	
		55. MCDERMOTT INTERNATIONAL INC. PROPERTY TYPE: SECURITIES					11/21/2006	08/22/2011
643.00		685.00					-42.00	
		205. MCDERMOTT INTERNATIONAL INC. PROPERTY TYPE: SECURITIES					08/04/2011	08/22/2011
2,396.00		3,268.00					-872.00	
		150. MICROSOFT CORP. PROPERTY TYPE: SECURITIES					05/04/2009	08/22/2011
3,583.00		3,036.00					547.00	
		110. MICROCHIP TECHNOLOGY INC. PROPERTY TYPE: SECURITIES					07/12/2011	08/22/2011
3,310.00		3,602.00					-292.00	
		50. OCCIDENTAL PETROLEUM CORP. PROPERTY TYPE: SECURITIES					02/25/2011	08/22/2011
4,032.00		5,155.00					-1,123.00	
		120. OMNICOM GROUP PROPERTY TYPE: SECURITIES					04/19/2011	08/22/2011
4,433.00		5,579.00					-1,146.00	
		220. ORACLE SYSTEMS PROPERTY TYPE: SECURITIES					03/07/2005	08/22/2011
5,498.00		3,023.00					2,475.00	
		60. PARKER-HANNIFIN CORP. PROPERTY TYPE: SECURITIES					07/20/2011	08/22/2011
3,822.00		5,231.00					-1,409.00	
		120. PHILIP MORRIS INTERNATIONAL PROPERTY TYPE: SECURITIES					11/11/2010	08/22/2011
8,261.00		7,161.00					1,100.00	
		30. PRECISION CASTPARTS CORP PROPERTY TYPE: SECURITIES					08/05/2009	08/22/2011
4,324.00		2,436.00					1,888.00	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		106. PROGRESSIVE CORP OHIO PROPERTY TYPE: SECURITIES					08/26/2010	08/22/2011
1,884.00		2,086.00					-202.00	
		134. PROGRESSIVE CORP OHIO PROPERTY TYPE: SECURITIES					04/07/2010	08/22/2011
2,381.00		2,619.00					-238.00	
		120. QUALCOMM CORP. PROPERTY TYPE: SECURITIES					06/01/2011	08/22/2011
5,552.00		6,915.00					-1,363.00	
		50. ROCKWELL COLLINS INC. PROPERTY TYPE: SECURITIES					01/21/2011	08/22/2011
2,293.00		3,187.00					-894.00	
		110. SCHLUMBERGER LTD. PROPERTY TYPE: SECURITIES					04/07/2010	08/22/2011
8,030.00		7,278.00					752.00	
		60. CHARLES SCHWAB CORP. PROPERTY TYPE: SECURITIES					08/25/2010	08/22/2011
680.00		809.00					-129.00	
		310. CHARLES SCHWAB CORP. PROPERTY TYPE: SECURITIES					06/07/2011	08/22/2011
3,512.00		5,025.00					-1,513.00	
		40. SIGMA-ALDRICH CORP. PROPERTY TYPE: SECURITIES					10/08/2010	08/22/2011
2,358.00		2,430.00					-72.00	
		210. U S BANCORP PROPERTY TYPE: SECURITIES					04/20/2011	08/22/2011
4,292.00		5,237.00					-945.00	
		50. UNITEDHEALTH GROUP INC PROPERTY TYPE: SECURITIES					08/18/2011	08/22/2011
2,179.00		2,199.00					-20.00	
		70. VARIAN MEDICAL SYSTEMS INC PROPERTY TYPE: SECURITIES					12/07/2007	08/22/2011
3,675.00		3,499.00					176.00	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		55. WALGREEN CO. PROPERTY TYPE: SECURITIES					02/17/2011	08/22/2011
1,919.00		2,338.00					-419.00	
		125. WALGREEN CO. PROPERTY TYPE: SECURITIES					06/21/2011	08/22/2011
4,362.00		5,375.00					-1,013.00	
		70. WATERS CORP PROPERTY TYPE: SECURITIES					02/14/2007	08/22/2011
5,091.00		4,022.00					1,069.00	
		40. YUM BRANDS INC PROPERTY TYPE: SECURITIES					12/08/2010	08/22/2011
1,999.00		2,011.00					-12.00	
		70. YUM BRANDS INC PROPERTY TYPE: SECURITIES					08/16/2011	08/22/2011
3,499.00		3,581.00					-82.00	
		75. ACCENTURE PLC PROPERTY TYPE: SECURITIES					12/20/2010	08/22/2011
3,628.00		3,653.00					-25.00	
		15. ACCENTURE PLC PROPERTY TYPE: SECURITIES					03/07/2005	08/22/2011
726.00		383.00					343.00	
		15. COOPER INDUSTRIES PLC CL A PROPERTY TYPE: SECURITIES					10/21/2009	08/22/2011
624.00		598.00					26.00	
		65. COOPER INDUSTRIES PLC CL A PROPERTY TYPE: SECURITIES					07/20/2011	08/22/2011
2,704.00		3,834.00					-1,130.00	
		90. AVAGO TECHNOLOGIES LTD PROPERTY TYPE: SECURITIES					02/11/2011	08/22/2011
2,467.00		2,994.00					-527.00	
		1666.601 BUFFALO SMALL CAP FUND PROPERTY TYPE: SECURITIES					03/24/2005	08/23/2011
37,732.00		42,499.00					-4,767.00	

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Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		30. ENERGIZER HOLDINGS INC -W/I PROPERTY TYPE: SECURITIES					02/20/2007	08/26/2011
2,236.00		2,626.00					-390.00	
		40. ENERGIZER HOLDINGS INC -W/I PROPERTY TYPE: SECURITIES					02/20/2007	08/26/2011
2,998.00		3,501.00					-503.00	
		65. PHILIP MORRIS INTERNATIONAL PROPERTY TYPE: SECURITIES					11/11/2010	08/30/2011
4,547.00		3,879.00					668.00	
		25. ENERGIZER HOLDINGS INC -W/I PROPERTY TYPE: SECURITIES					02/20/2007	09/07/2011
1,811.00		2,188.00					-377.00	
		21. ENERGIZER HOLDINGS INC -W/I PROPERTY TYPE: SECURITIES					02/20/2007	09/09/2011
1,458.00		1,838.00					-380.00	
		7. ENERGIZER HOLDINGS INC -W/I PROPERTY TYPE: SECURITIES					02/20/2007	09/09/2011
483.00		611.00					-128.00	
		78. ENERGIZER HOLDINGS INC -W/I PROPERTY TYPE: SECURITIES					02/20/2007	09/09/2011
5,368.00		6,805.00					-1,437.00	
		35. ENERGIZER HOLDINGS INC -W/I PROPERTY TYPE: SECURITIES					02/01/2011	09/09/2011
2,400.00		2,312.00					88.00	
		34. ENERGIZER HOLDINGS INC -W/I PROPERTY TYPE: SECURITIES					02/20/2007	09/09/2011
2,331.00		2,966.00					-635.00	
		2215.302 VAN ECK GLOBAL HARD ASSETS -I PROPERTY TYPE: SECURITIES					11/19/2010	09/28/2011
93,729.00		109,446.00					-15,717.00	
		620.907 VAN ECK GLOBAL HARD ASSETS -I PROPERTY TYPE: SECURITIES					01/19/2010	09/28/2011
26,271.00		27,581.00					-1,310.00	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

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Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		87. BMC SOFTWARE PROPERTY TYPE: SECURITIES					01/11/2011	10/07/2011
3,381.00		4,120.00					-739.00	
		97. BMC SOFTWARE PROPERTY TYPE: SECURITIES					01/11/2011	10/07/2011
3,754.00		4,594.00					-840.00	
		51. BMC SOFTWARE PROPERTY TYPE: SECURITIES					01/11/2011	10/07/2011
1,946.00		2,415.00					-469.00	
		30. DOLLAR TREE INC PROPERTY TYPE: SECURITIES					02/14/2011	10/11/2011
2,399.00		1,511.00					888.00	
		137. MCDERMOTT INTERNATIONAL INC. PROPERTY TYPE: SECURITIES					11/21/2006	11/28/2011
1,443.00		1,697.00					-254.00	
		471. MCDERMOTT INTERNATIONAL INC. PROPERTY TYPE: SECURITIES					12/22/2006	11/28/2011
4,965.00		5,791.00					-826.00	
		407. MCDERMOTT INTERNATIONAL INC. PROPERTY TYPE: SECURITIES					12/22/2006	11/28/2011
4,226.00		3,149.00					1,077.00	
TOTAL GAIN(LOSS)							131,415.	

SUMMARY OF GAIN/LOSS

1,653,540.00		1,522,125.00	-0-	-0-	-0-		131,415.	

THE EUGENE GARFIELD FOUNDATION
ACCOUNT #0706-03-4/5
E.I.N. 23-2553258
TAX YEAR ENDING 11/30/2011

ATTACHMENTS PAGE 6 FORM 990-PF
PART VIII LIST OF OFFICERS, DIRECTORS, TRUSTEES, ETC.

NAME AND ADDRESS	TITLE	AVERAGE HOURS PER WEEK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCT
1. EUGENE GARFIELD C/O THE GLENMEDE TRUST COMPANY, N.A. 1650 MARKET STREET SUITE 1200 PHILADELPHIA, PA 19103-7391	PRESIDENT/ TREASURER/ CHAIRMAN	AS NEEDED	-0-	-0-	-0-
2. JOSHUA GARFIELD C/O THE GLENMEDE TRUST COMPANY, N.A. 1650 MARKET STREET SUITE 1200 PHILADELPHIA, PA 19103-7391	VICE PRESIDENT/ SECRETARY	AS NEEDED	-0-	-0-	-0-
3. ROBERT S. BRAMSON C/O THE GLENMEDE TRUST COMPANY, N.A. 1650 MARKET STREET SUITE 1200 PHILADELPHIA, PA 19103-7391	DIRECTOR	AS NEEDED	-0-	-0-	-0-
TOTAL			-0-	-0-	-0-

THE EUGENE GARFIELD FOUNDATION
ACCOUNT #0706-03-4/5
E.I.N. 23-2553258
TAX YEAR ENDING 11/30/2011

PART XV, ATTACHMENTS PAGE 10, FORM 990-PF

2a NAME, ADDRESS AND PHONE FOR APPLICATIONS
EUGENE GARFIELD
C/O THE GLENMEDE TRUST COMPANY, N.A.
1650 MARKET STREET, SUITE 1200
PHILADELPHIA, PA 19103
215-419-6000

2b THE FORM IN WHICH APPLICATIONS SHOULD BE SUBMITTED, ETC.,
IN WRITING, WITH NAME, ADDRESS & PURPOSE OF ORGANIZATION

2c NONE

2d NONE

THE EUGENE GARFIELD FOUNDATION
ACCOUNT #0706-03-4/5
E.I.N. 23-2553258
TAX YEAR ENDING 11/30/2011

PART XV, FORM 990-PF, PAGE 11
GRANTS AND CONTRIBUTIONS -PAID-

1	ACLU FOUNDATION NEW YORK, NY For General Purposes	25,000.00
2	AMERICAN ACADEMY OF ARTS & SCIENCE Cambridge, MA For General Purposes	500.00
3	AMERICAN PHILOSOPHICAL SOCIETY PHILADELPHIA, PA For the Baruch S. Blumberg Fund for the Lewis & Clark Grants for Exploration and Field Research	5,000.00
4	BETA PHI MU FLORIDA STATE UNIVERSITY TALLAHASSEE, FL 6 Eugene Gariеld Fellowship Award SCHOLARSHIPS FOR 2011	18,000.00
5	CENTER FOR ACADEMIC & SOCIAL ADVANCEMENT SAN DIEGO, CA Educational Research & Outreach Program	20,000.00
6	CENTER FOR ACADEMIC & SOCIAL ADVANCEMENT SAN DIEGO, CA For the Huichol Project	5,000.00
7	DREXEL UNIVERSITY, THE SCHOOL AT DREXEL PHILADELPHIA, PA The iSchool at Drexel	2,500.00
8	ELDERNET OF LOWER MERION BRYN MAWR, PA For General Purposes	1,000.00
9	JEFFERSON MEDICAL COLLEGE PHILADELPHIA, PA For the Centennial Fund/Urology Department	1,500.00

STATEMENT 4

THE EUGENE GARFIELD FOUNDATION
ACCOUNT #0706-03-4/5
E.I.N. 23-2553258
TAX YEAR ENDING 11/30/2011

PART XV, FORM 990-PF, PAGE 11
GRANTS AND CONTRIBUTIONS -PAID-

10	NASSER SHARIFY FOUNDATION WESTBURY, NY For General Purposes	1,000.00
11	NEW HORIZONS SENIOR CENTER NARBERTH, PA For General Purposes	1,500.00
12	OPERA COMPANY OF PHILADELPHIA PHILADELPHIA, PA A Sound, A City, A Civilization: The Campaign for The Philadelphia Orchestra	15,000.00
13	PROJECT H.O.M.E. PHILADELPHIA, PA For General Purposes	15,000.00
14	RESEARCH AMERICA ALEXANDRIA, VA For The Eugene Garfield Economic Impact Award	15,000.00
15	THE AMERICAN PHILOSOPHICAL SOCIETY PHILADELPHIA, PA For The Library Technology Endowment Fund	25,000.00
16	THE CHEMICAL HERITAGE FOUNDATION PHILADELPHIA, PA For The Eugene Garfield Doctoral Dissertation Scholarship to International Society for Scientometrics and Informetrics (ISSI) 2011	3,000.00
17	THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA PHILADELPHIA, PA For the Johnson Research Foundation in memory of Dr. Britton Chance	1,000.00

STATEMENT 4

THE EUGENE GARFIELD FOUNDATION
ACCOUNT #0706-03-4/5
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TAX YEAR ENDING 11/30/2011

PART XV, FORM 990-PF, PAGE 11
GRANTS AND CONTRIBUTIONS -PAID-

18	THE UNIVERSITY OF PENNSYLVANIA PHILADELPHIA, PA For the Eugene Garfield Library Residency Program Fund – University Libraries	50,000.00
	TOTAL CONTRIBUTIONS -PAID- THROUGH 11/30/2011	205,000.00