Form **990-PF**
Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2010

For calendar year 2010, or tax year beginning 12/01 **, 2010, and ending** 11/30, 2011

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return
[ ] Amended return [ ] Address change [ ] Name change

Name of foundation: JOHN & ANNE DUFFY FOUNDATION, INC.

A Employer identification number: 22-2598324

Number and street (or P O box number if mail is not delivered to street address): 2420 E. CAMINO LA ZORRELA

Room/suite

B Telephone number (see page 10 of the instructions): (520) 299-1825

City or town, state, and ZIP code: TUCSON, AZ 85718

C If exemption application is pending, check here ▶ [ ]
D 1. Foreign organizations, check here ▶ [ ]
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]
E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 3,374,806.

J Accounting method: [X] Cash [ ] Accrual
[ ] Other (specify) ________
(Part I, column (d) must be on cash basis)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0.			
	2 Check ▶ [X] if the foundation is **not** required to attach Sch B				
	3 Interest on savings and temporary cash investments	12,382.	12,382.		
	4 Dividends and interest from securities	68,136.	68,136.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	47,627.			
	b Gross sales price for all assets on line 6a 547,464.				
	7 Capital gain net income (from Part IV, line 2)		69,387.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 **Total.** Add lines 1 through 11	128,145.	149,905.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) ATCH 1	7,800.	3,900.	0.	3,900.
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 2	26,279.	25,864.		415.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	34,079.	29,764.	0.	4,315.
	25 Contributions, gifts, grants paid	145,650.			145,650.
	26 **Total expenses and disbursements.** Add lines 24 and 25	179,729.	29,764.	0.	149,965.
	27 Subtract line 26 from line 12				
	a **Excess of revenue over expenses and disbursements**	-51,584.			
	b **Net investment income** (if negative, enter -0-)		120,141.		
	c **Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	5,270.	2,148.	2,148.
	2	Savings and temporary cash investments	40,912.	53,954.	53,954.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) ATCH 3	2,707,865.	2,766,783.	3,057,463.
	c	Investments - corporate bonds (attach schedule) ATCH 4	385,011.	264,589.	261,241.
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	3,139,058.	3,087,474.	3,374,806.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)		0.	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27	Capital stock, trust principal, or current funds	4,364,761.	4,364,761.	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	-1,225,703.	-1,277,287.	
	30	Total net assets or fund balances (see page 17 of the instructions)	3,139,058.	3,087,474.	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	3,139,058.	3,087,474.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,139,058.
2	Enter amount from Part I, line 27a	2	-51,584.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	3,087,474.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,087,474.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE 9 ATTACHED			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 69,387.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions)
If (loss), enter -0- in Part I, line 8 . . . 3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	132,934.	3,311,934.	0.040138
2008	189,558.	2,959,729.	0.064046
2007	258,000.	4,436,071.	0.058160
2006	109,235.	5,709,414.	0.019132
2005	25,765.	3,911,547.	0.006587

2	Total of line 1, column (d)	2	0.188063
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.037613
4	Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	3,552,305.
5	Multiply line 4 by line 3	5	133,613.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,201.
7	Add lines 5 and 6	7	134,814.
8	Enter qualifying distributions from Part XII, line 4	8	149,965.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1. Date of ruling or determination letter ____________ (attach copy of ruling letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	1,201.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	1,201.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	1,201.
6	Credits/Payments		
a	2010 estimated tax payments and 2009 overpayment credited to 2010 — 6a: 7,278.		
b	Exempt foreign organizations-tax withheld at source — 6b: 0.		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 0.		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	7,278.
8	Enter any **penalty** for underpayment of estimated tax. Check here [X] if Form 2220 is attached	8	0.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	6,077.
11	Enter the amount of line 10 to be **Credited to 2011 estimated tax** ▶ 6,077. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ AZ,NJ,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ JOHN DUFFY Telephone no ▶ (520)299-1825

Located at ▶ 2420 E. CAMINO LA ZORRELA TUCSON, AZ ZIP + 4 ▶ 85718

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year N/A. ▶ 15

			Yes	No
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶ N/A			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? N/A.		1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? If "Yes," list the years ▶	☐ Yes ☒ No			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 22 of the instructions.) N/A.		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2010 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)* N/A.		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)	☐ Yes ☒ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? N/A		5b	
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No		
If "Yes," attach the statement required by Regulations section 53.4945-5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
If "Yes" to 6b, file Form 8870.			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 5		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		0.	0.	0.

Total number of other employees paid over $50,000 ▶ NONE

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		0.
Total number of others receiving over $50,000 for professional services ▶		NONE

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NOT APPLICABLE	0.
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NOT APPLICABLE	0.
2	
All other program-related investments See page 24 of the instructions 3 NOT APPLICABLE	0.
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:		
a	Average monthly fair market value of securities	1a	3,515,445.
b	Average of monthly cash balances	1b	90,956.
c	Fair market value of all other assets (see page 25 of the instructions)	1c	0.
d	**Total** (add lines 1a, b, and c)	1d	3,606,401.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,606,401.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	54,096.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,552,305.
6	**Minimum investment return.** Enter 5% of line 5	6	177,615.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	177,615.
2a	Tax on investment income for 2010 from Part VI, line 5 — 2a: 1,201.		
b	Income tax for 2010 (This does not include the tax from Part VI) — 2b		
c	Add lines 2a and 2b	2c	1,201.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	176,414.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	176,414.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	176,414.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	149,965.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	149,965.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	1,201.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	148,764.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1	Distributable amount for 2010 from Part XI, line 7				176,414.
2	Undistributed income, if any, as of the end of 2010				
a	Enter amount for 2009 only				
b	Total for prior years 20 08 ,20 07 ,20 06		0.		
3	Excess distributions carryover, if any, to 2010				
a	From 2005 ... 0.				
b	From 2006 ... 0.				
c	From 2007 ... 0.				
d	From 2008 ... 2,466.				
e	From 2009 ... 0.				
f	Total of lines 3a through e	2,466.			
4	Qualifying distributions for 2010 from Part XII, line 4. ▶ $ 149,965.				
a	Applied to 2009, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c	Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d	Applied to 2010 distributable amount				149,965.
e	Remaining amount distributed out of corpus	0.			
5	Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)	2,466.			2,466.
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e Subtract line 5				
b	Prior years' undistributed income Subtract line 4b from line 2b		0.		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions		0.		
e	Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f	Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				23,983.
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8	Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions)				
9	**Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a				
10	Analysis of line 9				
a	Excess from 2006 ... 0.				
b	Excess from 2007 ... 0.				
c	Excess from 2008 ... 0.				
d	Excess from 2009 ... 0.				
e	Excess from 2010 ... 0.				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [ ] 4942(j)(3) or [ ] 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

JOHN DUFFY

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include:

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE SCHEDULE 1 ATTACHED				145,650.
Total ▶ **3a**				145,650.
b Approved for future payment NONE				0.
Total ▶ **3b**				0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	12,382.	
4 Dividends and interest from securities			14	68,136.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	47,627.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				128,145.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . . 13 128,145.

(See worksheet in line 13 instructions on page 29 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 29 of the instructions)
N/A	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

▶ [signature] Signature of officer or trustee — Date 2/28/12 — ▶ President Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
JOSEPH DE MAIO, CPA	Joseph De Maio	2-23-12	X	P00841496

Firm's name ▶ JAD CONSULTING, LLC — Firm's EIN ▶ 06-1708689

Firm's address ▶ 61 BROADWAY, SUITE 512 NEW YORK, NY 10006 — Phone no 212-509-3525

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		SEE SCHEDULE 2 ATTACHED PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
13,594.		13,594.					0.	
		SEE SCHEDULE 3 ATTACHED PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
20,227.		19,243.					984.	
		SEE SCHEDULE 3 ATTACHED PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
139,528.		113,245.					26,283.	
		SEE SCHEDULE 4 ATTACHED PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
41,361.		54,099.					-12,738.	
		SEE SCHEDULE 4 ATTACHED PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
304,261.		277,761.					26,500.	
		VARIOUS - LITIGATION SETTLEMENT PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
160.		0.					160.	
		ENRON - LITIGATION SETTLEMENT PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
418.		0.					418.	
		500 SHS COACH PROPERTY TYPE: SECURITIES				D	VARIOUS	VARIOUS
27,915.		135.					27,780.	
TOTAL GAIN(LOSS)							69,387.	

JSA
0E1730 1 000

UBS

Prepared for Fdn Pace
BW 15480 • Fdn Pace • Pace Multi Advisor
Risk profile. Moderate
Return objective Current Income and Capital Appreciation

Realized gain/(loss)

from 12/01/2010 to 11/30/2011

	CUSIP	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	Percent gain/(loss) (%)	Term
PACE MONEY MARKET INVESTMENTS FUND CLASS P	69373W764	3,310 16	10/13/2009	3,310.16	10/24/2011	3,310 16	0.00	0.00%	L
PACE MONEY MARKET INVESTMENTS FUND CLASS P	69373W764	3,638.23	10/13/2009	3,638.23	07/22/2011	3,638.23	0 00	0 00%	L
PACE MONEY MARKET INVESTMENTS FUND CLASS P	69373W764	3,562.05	10/13/2009	3,562 05	04/21/2011	3,562 05	0 00	0 00%	L
PACE MONEY MARKET INVESTMENTS FUND CLASS P	69373W764	3,083.54	10/13/2009	3,083 54	01/24/2011	3,083.54	0.00	0 00%	L
TOTAL REALIZED GAIN/(LOSS)				13,593 98		13,593 98	0.00	0 00%	

TOTAL GAINS	0 00
TOTAL LOSSES	0 00
SHORT TERM - TOTAL REALIZED GAIN/(LOSS):	0 00
LONG TERM - TOTAL REALIZED GAIN/(LOSS)·	0 00

SCHEDULE 2 PG 1 OF 1

UBS

Prepared for Fdn Oak Rdg
BW 16136 • Fdn Oak Rdg • ACCESS
Risk profile. Moderate
Return objective Capital Appreciation

Realized gain/(loss)

from 12/01/2010 to 11/30/2011

	CUSIP	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	Percent gain/(loss) (%)	Term
ABBOTT LABS	002824100	250.00	08/28/2009	11,457.50	12/27/2010	11,878.19	420.69	3.67%	L
ALTERA CORP	021441100	96.00	03/25/2010	2,359.37	01/11/2011	3,437.16	1,077.79	45.68%	S
ANSYS INC	03662Q105	112 00	08/28/2009	4,076.55	03/18/2011	5,728.73	1,652 18	40.53%	L
APPLE INC	037833100	1.00	06/15/2006	57.43	01/18/2011	336.85	279 42	486 54%	L
APPLE INC	037833100	20 00	08/02/2006	1,358.00	01/18/2011	6,737.08	5,379.08	396 10%	L
APPLE INC	037833100	26.00	03/10/2008	3,151.46	01/18/2011	8,758.21	5,606.75	177 91%	L
CISCO SYSTEMS INC	17275R102	68.00	01/30/2007	1,782 96	03/18/2011	1,166.35	-616.61	-34 58%	L
CISCO SYSTEMS INC	17275R102	160.00	10/29/2007	5,171.20	03/18/2011	2,744.36	-2,426.84	-46.93%	L
CISCO SYSTEMS INC	17275R102	288 00	08/28/2009	6,358 92	03/18/2011	4,939 85	-1,419 07	-22.32%	L
CITRIX SYSTEMS INC	177376100	86.00	06/17/2010	3,905.05	01/11/2011	5,895.91	1,990.86	50 98%	S
COGNIZANT TECH SOLUTIONS CRP	192446102	49.00	10/07/2009	1,921.10	01/11/2011	3,687.43	1,766.33	91 94%	L
ECOLAB INC	278865100	218.00	08/28/2009	9,129.73	03/18/2011	10,406.49	1,276.76	13.98%	L
HITTITE MICROWAVE CORP	43365Y104	212.00	08/28/2009	7,304.14	01/11/2011	12,908.12	5,603 98	76.72%	L
O REILLY AUTOMOTIVE INC	67103H107	74.00	08/28/2009	2,841.27	04/29/2011	4,328.93	1,487.66	52 36%	L
PACCAR INC	693718108	199 00	12/20/2010	11,260.67	08/30/2011	7,532.62	-3,728 05	-33 11%	S
RESMED INC	761152107	208.00	08/28/2009	4,760.97	01/25/2011	6,630.33	1,869.36	39 26%	L
RESMED INC	761152107	224.00	08/28/2009	5,127.19	01/31/2011	7,030 03	1,902 84	37.11%	L
SCHWAB CHARLES CORP NEW	808513105	554 00	08/28/2009	9,849.12	12/10/2010	9,206.82	-642.30	-6 52%	L
SKYWORKS SOLUTIONS INC	83088M102	108.00	06/01/2010	1,717.66	01/11/2011	3,360 87	1,643 21	95.67%	S
SKYWORKS SOLUTIONS INC	83088M102	271.00	06/01/2010	4,310.07	08/23/2011	5,409.05	1,098.98	25.50%	L
STAPLES INC	855030102	559.00	08/28/2009	12,168.42	05/18/2011	9,257.47	-2,910.95	-23 92%	L
STERICYCLE INC	858912108	66 00	08/28/2009	3,300.37	03/21/2011	5,656.71	2,356.34	71 40%	L
THERMO FISHER SCIENTIFIC INC	883556102	245 00	08/28/2009	11,078.53	02/01/2011	14,110.30	3,031.77	27 37%	L
WARNACO GROUP INC NEW	934390402	173.00	03/26/2010	8,040.11	08/24/2011	8,606.80	566.69	7.05%	L
TOTAL REALIZED GAIN/(LOSS)				132,487 79		159,754 66	27,266 87	20 58%	

SHORT TERM = 19,242.75 20,226.56 983.81

LONG TERM = 113,245.04 139,528.10 26,283.06

SCHEDULE 3 PG 1 OF 1

SCHEDULE D

Acct No	Account Name	CUSIP No	Security Name	Shares	Acquisition Date	Sell Date	Sale Proceeds	Investment Cost Basis	Short Term Gain/Loss	Long Term Gain/Loss
1954	DUFFY FOUNDATION	38146FAH4	GOLDMAN SACHS 1 7-11	70000 00	3/23/2009	12/8/2010	70,084 00	(70191 80)		(107 80)
1954	DUFFY FOUNDATION	17275R102	CISCO SYSTEMS INC	105.00	3/1/2006	12/9/2010	2,070 47	(2198 17)		(127 70)
1954	DUFFY FOUNDATION	46120E602	INTUITIVE SURGICAL	15 00	10/27/2009	12/9/2010	3,906 66	(3900 30)		6 36
1954	DUFFY FOUNDATION	46120E602	INTUITIVE SURGICAL	35.00	10/27/2009	1/5/2011	9,488 92	(9100 70)		388 22
1954	DUFFY FOUNDATION	761152107	RESMED INC	450 00	10/27/2009	2/3/2011	14,361 83	(11117 23)		3,244.60
1954	DUFFY FOUNDATION	651639106	NEWMONT MINING CORP	177.00	5/19/2010	3/29/2011	9,386 50	(9607 19)	(220 69)	
1954	DUFFY FOUNDATION	001055102	AFLAC INC	300 00	8/28/2007	4/8/2011	16,100.46	(15907 56)		192.90
1954	DUFFY FOUNDATION	472319102	JEFFRIES GROUP	330 00	7/15/2009	4/8/2011	8,111.27	(6689.59)		1,421 68
1954	DUFFY FOUNDATION	87612E106	TARGET CORP	101.00	10/27/2009	6/3/2011	4,810 42	(4892 43)		(82 01)
1954	DUFFY FOUNDATION	46625H100	JPMORGAN CHASE	90.00	5/18/2009	6/6/2011	3,653.13	(3277 80)		375 33
1954	DUFFY FOUNDATION	46625H100	JPMORGAN CHASE	9 00	10/27/2009	6/6/2011	365 31	(398 34)		(33 03)
1954	DUFFY FOUNDATION	884903105	THOMSON-REUTERS	17 00	6/29/2010	6/6/2011	640 06	(617 78)	22.28	
1954	DUFFY FOUNDATION	G47791101	INGERSOLL RAND	172 00	11/30/2009	7/28/2011	6,524 66	(6070 74)		453 92
1954	DUFFY FOUNDATION	472319102	JEFFRIES GROUP	115 00	7/15/2009	7/28/2011	2,174 03	(2331 22)		(157 19)
1954	DUFFY FOUNDATION	884903105	THOMSON-REUTERS	122.00	6/29/2010	7/28/2011	4,250.65	(4433.47)		(182 82)
1954	DUFFY FOUNDATION	037833100	APPLE INC	8.00	10/21/2009	8/4/2011	3,086 50	(1645.44)		1,441 06
1954	DUFFY FOUNDATION	219023108	CORN PRODUCTS INTL	273.00	4/30/2010	8/4/2011	12,956 09	(9997 24)		2,958 85
1954	DUFFY FOUNDATION	717081103	PFIZER INC	296.00	2/3/2011	8/4/2011	5,245 05	(5601.77)	(356 72)	
1954	DUFFY FOUNDATION	884903105	THOMSON-REUTERS	53.00	6/29/2010	8/4/2011	1,693 61	(1926 01)		(232.40)
1954	DUFFY FOUNDATION	354613101	FRANKLIN RESOURCES	40 00	5/12/2009	8/10/2011	4,497.13	(2498 00)		1,999 13
1954	DUFFY FOUNDATION	369604103	GENERAL ELECTRIC CO	57.00	12/31/2010	8/10/2011	879.49	(1041.26)	(161.77)	
1954	DUFFY FOUNDATION	534187109	LINCOLN NATIONAL CRP	136 00	9/9/2009	8/10/2011	2,967 03	(3300 82)		(333 79)
1954	DUFFY FOUNDATION	231021106	CUMMINS	49.00	5/12/2009	8/23/2011	3,971 19	(1540 91)		2,430 28
1954	DUFFY FOUNDATION	G47791101	INGERSOLL RAND	23.00	11/30/2009	8/23/2011	637 45	(811 79)		(174 34)
1954	DUFFY FOUNDATION	G47791101	INGERSOLL RAND	115.00	11/19/2010	8/23/2011	$3,187 27	($4,700.42)	(1,513 15)	
1954	DUFFY FOUNDATION	534187109	LINCOLN NATIONAL CRP	130 00	9/9/2009	8/23/2011	2,455 50	(3155 19)		(699 69)
1954	DUFFY FOUNDATION	H84989104	TE CONNECTIVITY LTD	100.00	6/18/2009	8/23/2011	2,794.24	(1987 18)		807 06
1954	DUFFY FOUNDATION	H84989104	TE CONNECTIVITY LTD	256.00	10/27/2009	8/23/2011	7,153.27	(5647 34)		1,505 93
1954	DUFFY FOUNDATION	037833100	APPLE INC	36.00	10/21/2009	9/6/2011	13,454 16	(7404 48)		6,049 68
1954	DUFFY FOUNDATION	534187109	LINCOLN NATIONAL CRP	217.00	9/9/2009	9/6/2011	3,928 28	(5266 74)		(1,338 46)
1954	DUFFY FOUNDATION	534187109	LINCOLN NATIONAL CRP	116.00	5/12/2011	9/6/2011	2,099 91	(3534 51)	(1,434.60)	
1954	DUFFY FOUNDATION	015351109	ALEXION PHARMA	97.00	5/19/2010	9/28/2011	6,487 70	(2442 13)		4,045 57
1954	DUFFY FOUNDATION	219023108	CORN PRODUCTS INTL	187.00	4/30/2010	9/28/2011	7,567 24	(6847 92)		719 32
1954	DUFFY FOUNDATION	219023108	CORN PRODUCTS INTL	90 00	11/9/2010	9/28/2011	3,641 99	(4003 73)	(361 74)	
1954	DUFFY FOUNDATION	25271C102	DIAMOND OFFSHORE	164.00	3/9/2011	9/28/2011	9,351 36	(12551 33)	(3,199.97)	
1954	DUFFY FOUNDATION	48203R104	JUNIPER NETWORKS	427.00	10/27/2009	9/28/2011	8,244 87	(10969 59)		(2,724 72)
1954	DUFFY FOUNDATION	472319102	JEFFRIES GROUP	185.00	7/15/2009	11/4/2011	2,114 58	(3750 23)		(1,635 65)
1954	DUFFY FOUNDATION	866796105	SUN LIFE FINANCIAL I	376 00	2/24/2011	11/21/2011	6,929 43	(12440 64)	(5,511.21)	
1954	DUFFY FOUNDATION	740189105	PRECISION CASTPARTS	140 00	10/27/2009	11/23/2011	21,443 38	(13978 99)		7,464 39
1954	DUFFY FOUNDATION	884903105	THOMSON-REUTERS	106.00	6/29/2010	11/23/2011	2,736.95	(3852.03)		(1,115 08)
1954	DUFFY FOUNDATION	481247AK0	JP MORG CHAS 2.2-12	50000 00	3/23/2009	11/29/2011	50,169 50	(50229 50)		(60 00)
							$345,621.54	$331,859.51	($12,737 57)	26,499.60
					TOTAL SHORT TERM		41,361.06	54,098.63	(12,737.57)	
					TOTAL LONG TERM		304,260.48	277,760.88		26,499.60

SCHEDULE 4 PG 1 OF 1

ATTACHMENT 1

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
JAD CONSULTING, LLC	7,800.	3,900.		3,900.
TOTALS	7,800.	3,900.	0.	3,900.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
FILING FEES	415.		415.
INVESTMENT ADVISORY FEES	25,864.	25,864.	
TOTALS	26,279.	25,864.	415.

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
SEE SCHEDULE 5 ATTACHED	470,860.	545,632.
SEE SCHEDULE 6 ATTACHED	1,751,893.	1,797,802.
SEE SCHEDULE 7 ATTACHED	544,030.	714,029.
TOTALS	2,766,783.	3,057,463.

For the Account of: *JOHN & ANNE DUFFY FOUNDATION, INC.*

Account Number: *52 00 1954 0 12*
Date: *NOVEMBER 1, 2011 - NOVEMBER 30, 2011*

Portfolio Assets Detail

BEACON TRUST
A subsidiary of The Provident Bank
163 Madison Avenue, 6th Floor
Morristown, NJ 07960
(973) 377-8090

DOMESTIC EQUITIES

Description	Shares	Total Cost	Current Share Price	Current Mkt Value	% of Category	% of Portfolio	Unrealized Gain/Loss	Est. Ann Income	Est. Ann Yield(%)
CONSUMER-DISCRETIONARY									
EXPEDIA INC DEL COM (EXPE)	615	14,424 89	27 815	17,106 22	3 22	2 05	2,681 33	172 20	1 01
TARGET CORP COM (TGT)	364	18,499 90	52 700	19,182 80	3 61	2 30	682 90	436 80	2 28
TUPPERWARE BRANDS CORP COM (TUP)	130	3,126 77	58.260	7,573 80	1 43	91	4,447 03	156 00	2 06
YUM BRANDS INC (YUM)	429	19,622 98	56.040	24,041 16	4 53	2 88	4,418 18	489 06	2 03
TOTAL CONSUMER DISCRETIONARY		**55,674.54**		**67,903.98**	**12.79**	**8.13**	**12,229.44**	**1,254.06**	**1.85**
CONSUMER STAPLES									
COCA COLA CO (KO)	241	17,036 29	67.230	16,202 43	3 05	1 94	-833 86	453 08	2 80
HEINZ H J CO (HNZ)	364	17,614 23	52.650	19,164 60	3 61	2 29	1,550 37	698 88	3 65
KRAFT FOODS INC (KFT)	360	11,179 98	36.150	13,014 00	2 45	1 56	1,834 02	417.60	3 21

SCHEDULE 5 PG 1 OF 5

For the Account of: *JOHN & ANNE DUFFY FOUNDATION, INC.*

Account Number: *52 00 1954 0 12*
Date: *NOVEMBER 1, 2011 - NOVEMBER 30, 2011*

Portfolio Assets Detail

BEACON TRUST
A subsidiary of The Provident Bank
163 Madison Avenue, 6th Floor
Morristown, NJ 07960
(973) 377-8090

DOMESTIC EQUITIES

Description	Shares	Total Cost	Current Share Price	Current Mkt Value	% of Category	% of Portfolio	Unrealized Gain/Loss	Est. Ann Income	Est. Ann Yield(%)
TOTAL CONSUMER STAPLES		**45,830.50**		**48,381.03**	**9.12**	**5.79**	**2,550.53**	**1,569.56**	**3.24**
ENERGY									
APACHE CORP (APA)	150	15,376.49	99.440	14,916 00	2 81	1 78	-460 49	90 00	60
CHEVRON CORP COM (CVX)	125	10,126 80	102 820	12,852.50	2 42	1.54	2,725.70	405 00	3 15
DUKE ENERGY CORP NEW COM (DUK)	725	14,618 39	20.850	15,116.25	2.85	1 81	497.86	725 00	4 80
EXXON MOBIL CORP (XOM)	215	15,383.25	80.440	17,294.60	3 26	2 07	1,911 35	404 20	2 34
NOBLE CORP (NE)	350	15,036.34	34 530	12,085 50	2 28	1 45	-2,950 84	207 20	1 71
SCHLUMBERGER LTD (SLB)	225	11,103.94	75.330	16,949 25	3 19	2 03	5,845 31	225 00	1 33
TOTAL ENERGY		**81,645.21**		**89,214.10**	**16.81**	**10.68**	**7,568.89**	**2,056.40**	**2.31**
FINANCIALS									
FRANKLIN RES INC COM (BEN)	120	10,224 60	100.820	12,098 40	2.28	1 45	1,873 80	120 00	.99
JPMORGAN CHASE & CO COM (JPM)	171	7,568 46	30.970	5,295 87	1 00	63	-2,272 59	171 00	3 23
TRAVELERS COS INC (TRV)	230	12,746.37	56.250	12,937.50	2 44	1 55	191 13	377 20	2 92

SCHEDULE 5 PG 2 OF 5

For the Account of: *JOHN & ANNE DUFFY FOUNDATION, INC.*

Account Number: *52 00 1954 0 12*
Date: *NOVEMBER 1, 2011 - NOVEMBER 30, 2011*

Portfolio Assets Detail

BEACON TRUST
A subsidiary of The Provident Bank
163 Madison Avenue, 6th Floor
Morristown, NJ 07960
(973) 377-8090

DOMESTIC EQUITIES

Description	Shares	Total Cost	Current Share Price	Current Mkt Value	% of Category	% of Portfolio	Unrealized Gain/Loss	Est. Ann Income	Est. Ann Yield(%)
TOTAL FINANCIALS		**30,539.43**		**30,331.77**	**5.72**	**3.63**	**-207.66**	**668.20**	**2.20**
HEALTH CARE									
ALEXION PHARMACEUTICALS (ALXN)	349	10,603 13	68.660	23,962 34	4 52	2.87	13,359 21	00	00
BAXTER INTL INC (BAX)	313	17,526 07	51 660	16,169 58	3 05	1 93	-1,356 49	419 42	2 59
PFIZER INC (PFE)	581	11,453.31	20 070	11,660 67	2 20	1.40	207 36	464 80	3 99
ZIMMER HLDGS INC COM (ZMH)	220	12,040.60	50.550	11,121 00	2 10	1 33	-919 60	00	00
TOTAL HEALTH CARE		**51,623.11**		**62,913.59**	**11.85**	**7.53**	**11,290.48**	**884.22**	**1.41**
INDUSTRIALS									
CUMMINS INC (CMI)	141	4,434 04	96.330	13,582 53	2 56	1 63	9,148 49	225 60	1 66
GENERAL ELECTRIC CO (GE)	858	15,673 78	15.910	13,650.78	2 57	1 63	-2,023 00	514 80	3 77
ILLINOIS TOOL WKS INC COM (ITW)	311	16,334 74	45 440	14,131 84	2 66	1 69	-2,202 90	447 84	3 17
NORFOLK SOUTHERN CORP (NSC)	95	2,662 85	75 540	7,176 30	1 35	86	4,513 45	163 40	2 28
UNITED PARCEL SERVICE (UPS)	229	15,935 93	71 750	16,430 75	3 10	1 97	494 82	476 32	2 90

SCHEDULE 5 PG 3 OF 5

For the Account of: *JOHN & ANNE DUFFY FOUNDATION, INC.*

Account Number: *52 00 1954 0 12*
Date: *NOVEMBER 1, 2011 - NOVEMBER 30, 2011*

BEACON TRUST
A subsidiary of The Provident Bank
163 Madison Avenue, 6th Floor
Morristown, NJ 07960
(973) 377-8090

Portfolio Assets Detail

DOMESTIC EQUITIES

Description	Shares	Total Cost	Current Share Price	Current Mkt Value	% of Category	% of Portfolio	Unrealized Gain/Loss	Est. Ann Income	Est. Ann Yield(%)
TOTAL INDUSTRIALS		**55,041.34**		**64,972.20**	**12.24**	**7.78**	**9,930.86**	**1,827.96**	**2.81**
MATERIALS									
DUPONT E I.DE NEMOURS & CO (DD)	308	14,786.96	47.720	14,697.76	2.77	1.76	-89 20	505 12	3 44
NEWMONT MINING CORP (NEM)	205	13,612 82	68 880	14,120.40	2.66	1.69	507 58	287 00	2 03
TOTAL MATERIALS		**28,399.78**		**28,818.16**	**5.43**	**3.45**	**418.38**	**792.12**	**2.75**
INFORMATION TECHNOLOGY									
ADOBE SYSTEMS INC DELAWARE (ADBE)	408	14,347 58	27.420	11,187 36	2.11	1 34	-3,160.22	00	00
APPLE INC COM (AAPL)	36	7,404 47	382 200	13,759.20	2 59	1 65	6,354 73	00	00
AUTOMATIC DATA PROCESSING (ADP)	373	15,810 54	51.090	19,056 57	3 59	2.28	3,246 03	589 34	3 09
CISCO SYSTEMS INC (CSCO)	625	12,423.52	18.640	11,650 00	2.20	1 39	-773 52	150 00	1 29
COGNIZANT TECHNOLOGY SOLUTIONS CL A (CTSH)	236	5,327.60	67 350	15,894 60	2.99	1.90	10,567.00	00	00
GOOGLE INC CL A (GOOG)	23	13,549.76	599 390	13,785.97	2 60	1 65	236 21	00	00
INTEL CORP (INTC)	668	13,551 58	24 910	16,639.88	3 14	1 99	3,088.30	561 12	3 37

SCHEDULE 5 PG 4 OF 5

#CNTL# 0000001504 9 18/12022011/09 32 25/507/8706

For the Account of: *JOHN & ANNE DUFFY FOUNDATION, INC.*

Account Number: *52 00 1954 0 12*
Date: *NOVEMBER 1, 2011 - NOVEMBER 30, 2011*

BEACON TRUST
A subsidiary of The Provident Bank
163 Madison Avenue, 6th Floor
Morristown, NJ 07960
(973) 377-8090

Portfolio Assets Detail

DOMESTIC EQUITIES

Description	Shares	Total Cost	Current Share Price	Current Mkt Value	% of Category	% of Portfolio	Unrealized Gain/Loss	Est. Ann Income	Est. Ann Yield(%)
SANDISK CORP (SNDK)	478	11,080 00	49 310	23,570.18	4 44	2.82	12,490 18	00	00
TOTAL INFORMATION TECHNOLOGY		**93,495.05**		**125,543.76**	**23.66**	**15.02**	**32,048.71**	**1,300.46**	**1.04**
TELECOMMUNICATION SERVICES									
VERIZON COMMUNICATIONS COM (VZ)	335	12,330 88	37 730	12,639 55	2.38	1.51	308 67	670 00	5 30
TOTAL DOMESTIC EQUITIES		**454,579.84**		**530,718.14**	**100.00**	**63.51**	**76,138.30**	**11,022.98**	**2.08**

INTERNATIONAL EQUITIES

Description	Shares	Total Cost	Current Share Price	Current Mkt Value	% of Category	% of Portfolio	Unrealized Gain/Loss	Est. Ann Income	Est. Ann Yield(%)
MATERIALS									
BHP BILLITON ADR (BHP)	125	12,441 40	75.170	9,396 25	63.00	1 12	-3,045 15	252 50	2 69
INFORMATION TECHNOLOGY									
TE CONNECTIVITY LTD (TEL)	174	3,838.42	31.710	5,517 54	37 00	.66	1,679 12	125 28	2 27
TOTAL INTERNATIONAL EQUITIES		**16,279.82**		**14,913.79**	**100.00**	**1.78**	**-1,366.03**	**377.78**	**2.53**
TOTAL ASSETS		470,859.66 ✓		545,631.93 ✓		**100.00**	**71,423.47**	**21,893.63**	**2.62**

SCHEDULE 5 PG 5 OF 5

Your PACE assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected Gains and losses for zero-coupon investments are not shown See Important information about your statement at the end of this document for more information

Investment return is the current value minus the amount you invested It does not include any cash dividends that were not reinvested

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized gain or loss is the difference between the current value and the cost basis The unrealized gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Equities

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Nov 30 ($)	Value on Nov 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
FIRST EAGLE OVERSEAS FUND CLASS A									
Symbol. SGOVX									
Trade date Aug 27, 09	4,675 417	18 880	88,271 87	88,271 87	21 960	102,672 15	14,400 28		LT
Trade date Sep 16, 09	3,810 976	19 680	75,000 00	75,000 00	21 960	83,689 03	8,689 03		LT
Trade date. Oct 7, 09	1,765 003	19 830	35,000 00	35,000 00	21 960	38,759 46	3,759 46		LT
Trade date Oct 15, 09	2,482 622	20 140	50,000 00	50,000 00	21 960	54,518 38	4,518 38		LT
Total reinvested	728 863	20 771		15,139 34	21 960	16,005 83	866 49		
EAI $4,335 Current yield 1 47%									
Security total	13,462 881	19 566	248,271 87	263,411 21		295,644 86	32,233 64	47,372 98	

continued next page

SCHEDULE 6 PG 1 OF 5

UBS

Account name: JOHN AND ANNE DUFFY FNDT
Account number: BW 15480 35

Your PACE assets › **Equities** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Nov 30 ($)	Value on Nov 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
HENDERSON GLOBAL INVESTORS INTL OPPORTUNITIES FUND A									
Symbol HFOAX									
Trade date Aug 27, 09	5,431 971	19.330	105,000 00	105,000.00	18.640	101,251 94	-3,748.06		LT
Trade date Sep 16, 09	3,685 504	20.350	75,000 00	75,000 00	18 640	68,697 79	-6,302 21		LT
Trade date. Oct 7, 09	1,764.113	19 840	35,000 00	35,000 00	18.640	32,883 07	-2,116 93		LT
Trade date Oct 15, 09	2,421.308	20 650	50,000 01	50,000.01	18 640	45,133 18	-4,866 83		LT
Trade date Jul 16, 10	70 502	18 760	1,322 62	1,322.62	18 640	1,314 16	-8 46		LT
Total reinvested	135 175	20 787		2,809 97	18 640	2,519 66	-290 31		
EAI $1,837 Current yield. 0 73%									
Security total	13,508.573	19.923	266,322 63	269,132 60		251,799 80	-17,332 80	-14,522 83	
OPPENHEIMER DEVELOPING MARKETS FUND CL A									
Symbol ODMAX									
Trade date Aug 27, 09	664.235	24.800	16,473 03	16,473.03	31 000	20,591 28	4,118 25		LT
Trade date Sep 16, 09	534.147	26.210	14,000 00	14,000 00	31.000	16,558 56	2,558 56		LT
Trade date Oct 7, 09	556 380	26 960	15,000 00	15,000 00	31 000	17,247 78	2,247 78		LT
Total reinvested	10.324	30 151		311.28	31 000	320 04	8 76		
EAI $86 Current yield 0 16%									
Security total	1,765 086	25 939	45,473 03	45,784 31		54,717 66	8,933 35	9,244 63	
PACE portfolio total			**$560,067.53**	**$578,328.12**		**$602,162.32**	**$23,834.19**	**$42,094.79**	

Total estimated annual income: $6,258

SCHEDULE 6 **PG** 2 **OF** 5

Account name: JOHN AND ANNE DUFFY FNDT
Account type: PACE Multi
Account number: BW 15480 35

Your Financial Advisor:
DOLCE GROUP
203-357-0700/800-942-2045

Your PACE assets (continued)

Fixed income

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Nov 30 ($)	Value on Nov 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
EATON VANCE GLOBAL MACRO ABSOLUTE RETURN FUND A									
Symbol EAGMX									
Trade date Jul 16, 10	16,646 881	10 330	171,962 28	171,962 28	9 900	164,804 12	-7,158 16		LT
Total reinvested	893 882	10 216		9,132 73	9 900	8,849 43	-283 30		
EAI $6,893 Current yield 3 97%									
Security total	17,540 763	10 324	171,962 28	181,095 01		173,653 55	-7,441 46	1,691 27	
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A									
Symbol TPINX									
Trade date Aug 27, 09	2,026 029	12 190	24,697 29	24,697 29	12 730	25,791 35	1,094 06		LT
Trade date Sep 16, 09	5,309 735	12 430	66,000 00	66,000 00	12 730	67,592 92	1,592 92		LT
Trade date Oct 7, 09	1,980 983	12 620	25,000 00	25,000 00	12 730	25,217 91	217 91		LT
Trade date Oct 13, 09	1,971 609	12 680	25,000 00	25,000 00	12 730	25,098 58	98 58		LT
Total reinvested	1,460 219	13 288		19,403 91	12 730	18,588 59	-815 32		
EAI $7,649 Current yield 4 71%									
Security total	12,748 575	12 558	140,697 29	160,101 20		162,289 35	2,188 15	21,592 06	
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS A									
Symbol NEFZX									
Trade date Mar 10, 10	10,587 702	14 180	150,133 61	150,133 61	14 410	152,568 78	2,435 17		LT
Total reinvested	1,167 284	14 673		17,128 13	14 410	16,820 56	-307 57		
EAI $9,921 Current yield 5 86%									
Security total	11,754 986	14 229	150,133 61	167,261 74		169,389 34	2,127 60	19,255 73	
PIMCO UNCONSTRAINED BOND FUND CLASS A									
Symbol PUBAX									

continued next page

SCHEDULE 6 PG 3 OF 5

UBS

Account name: JOHN AND ANNE DUFFY FNDT
Account number: BW 15480 35

Your PACE assets › **Fixed income** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Nov 30 ($)	Value on Nov 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Trade date Mar 10, 10	13,860.979	10 940	151,639 11	151,639.11	10.910	151,223 27	-415 84		LT
Total reinvested	480 139	11 124		5,341 43	10 910	5,238 32	-103 11		
EAI $2,510 Current yield 1.60%									
Security total	14,341 118	10.946	151,639 11	156,980.54		156,461 59	-518 95	4,822 48	
PACE portfolio total			**$614,432.29**	**$665,438.49**		**$661,793.83**	**-$3,644.66**	**$47,361.54**	
Total estimated annual income: $26,973									

Alternative strategies

Holding	Number of shares	Purchase price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Nov 30 ($)	Value on Nov 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
CALAMOS MARKET NEUTRAL INCOME FUND CLASS A									
Symbol CVSIX									
Trade date Aug 27, 09	4,976 610	11.190	55,688 27	55,688.27	12 110	60,266 75	4,578 48		LT
Trade date Sep 16, 09	4,155.615	11.310	47,000 00	47,000 00	12 110	50,324 50	3,324 50		LT
Trade date Oct 7, 09	1,762 115	11.350	20,000 00	20,000.00	12 110	21,339 21	1,339 21		LT
Trade date Oct 13, 09	1,755 926	11 390	20,000 00	20,000 00	12 110	21,264 26	1,264 26		LT
Total reinvested	403 389	11 698		4,718 85	12 110	4,885 04	166 19		
EAI $2,624 Current yield 1 66%									
Security total	13,053 655	11 292	142,688 27	147,407 12		158,079 76	10,672 64	15,391 49	
GATEWAY FUND CLASS A									
Symbol GATEX									
Trade date Aug 27, 09	2,890 955	24 560	71,001 85	71,001 85	26 130	75,540 65	4,538 80		LT
Trade date Sep 16, 09	1,940 962	24 730	48,000 00	48,000 00	26 130	50,717 34	2,717 34		LT
Trade date Oct 7, 09	1,016 673	24 590	25,000 00	25,000 00	26 130	26,565 66	1,565 66		LT
Trade date Oct 13, 09	1,012.966	24 680	25,000 00	25,000 00	26 130	26,468 80	1,468 80		LT
Total reinvested	240 846	25 445		6,128 48	26 130	6,293 31	164 83		
EAI. $3,047 Current yield 1.64%									
Security total	7,102.402	24 658	169,001 85	175,130 33		185,585 76	10,455.43	16,583 91	
PACE portfolio total			**$311,690.12**	**$322,537.45**		**$343,665.52**	**$21,128.07**	**$31,975.40**	
Total estimated annual income: $5,671									

SCHEDULE 6 PG 4 OF 5

Account name: JOHN AND ANNE DUFFY FNDT
Account type: PACE Multi
Account number: BW 15480 35

Your Financial Advisor:
DOLCE GROUP
203-357-0700/800-942-2045

Your PACE assets (continued)

Other

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Nov 30 ($)	Value on Nov 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
PIMCO GLOBAL MULTI-ASSET FUND CLASS A									
Symbol PGMAX									
Trade date Aug 27, 09	6,017 565	10 940	65,832 16	65,832 16	11 450	68,901 12	3,068.96		LT
Trade date Sep 16, 09	4,262 877	11 260	48,000 00	48,000 00	11 450	48,809 94	809 94		LT
Trade date Oct 7, 09	2,212 389	11 300	25,000 00	25,000 00	11 450	25,331 85	331 85		LT
Trade date Oct 13, 09	2,196 837	11 380	25,000 00	25,000 00	11 450	25,153 78	153 78		LT
Total reinvested	1,919 996	11 331		21,756 63	11 450	21,983 95	227 32		
EAI $6,976 Current yield 3 67%									
Security total	16,609 664	11 174	163,832 16	185,588 79		190,180 65	4,591 85	26,348 48	
			TOTALS	$1,751,892.85 ✓		$1,797,802.32 ✓			

SCHEDULE 6 PG 5 OF 5

Account name: JOHN AND ANNE DUFFY FNDT
Account number: BW 16136 35

Your Financial Advisor:
DOLCE GROUP
203-357-0700/800-942-2045

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Nov 30 ($)	Value on Nov 30 ($)	Unrealized gain or loss ($)	Holding period
AFFILIATED MANAGERS GROUP								
Symbol AMG Exchange NYSE	Aug 28, 09	99 000	65 970	6,531 03	94 570	9,362 43	2,831 40	LT
	Dec 10, 10	61 000	97 913	5,972 71	94 570	5,768 77	-203 94	ST
Security total		160 000	78 148	12,503 74		15,131 20	2,627 46	
ALLERGAN INC								
Symbol. AGN Exchange NYSE								
EAI $46 Current yield 0 24%	Aug 28, 09	232 000	55 011	12,762 78	83 720	19,423 04	6,660 26	LT
ALLIANCE DATA SYSTEMS CORP								
Symbol ADS Exchange NYSE	Aug 28, 09	190 000	56 645	10,762 65	102 410	19,457 90	8,695 25	LT
ALTERA CORP								
Symbol ALTR Exchange OTC								
EAI $101 Current yield 0 85%	Mar 25, 10	317 000	24 576	7,790 85	37 670	11,941 39	4,150 54	LT
AMETEK INC (NEW)								
Symbol AME Exchange NYSE								
EAI $65 Current yield 0 56%	Feb 10, 11	270 000	43 981	11,874 98	42 840	11,566 80	-308 18	ST
ANSYS INC								
Symbol ANSS Exchange OTC	Aug 28, 09	210 000	36 397	7,643 54	61 970	13,013 70	5,370 16	LT
APPLE INC								
Symbol AAPL Exchange OTC	Mar 10, 08	5 000	121 210	606 05	382 200	1,911 00	1,304 95	LT
	Jun 16, 08	12 000	171 980	2,063 76	382 200	4,586 40	2,522 64	LT

continued next page

SCHEDULE 7 PG 1 OF 7

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Nov 30 ($)	Value on Nov 30 ($)	Unrealized gain or loss ($)	Holding period
	Oct 9, 08	25 000	91 340	2,283 50	382 200	9,555 00	7,271 50	LT
	May 12, 09	12 000	125 240	1,502 88	382 200	4,586 40	3,083 52	LT
	Aug 9, 10	17.000	260.692	4,431 78	382 200	6,497 40	2,065 62	LT
Security total		71 000	153 352	10,887 97		27,136 20	16,248 23	
BAXTER INTL INC								
Symbol BAX Exchange NYSE								
EAI $281 Current yield 2 59%	Dec 23, 09	210 000	58 749	12,337.44	51 660	10,848 60	-1,488 84	LT
CATALYST HEALTH SOLUTIONS INC								
Symbol CHSI Exchange OTC	Aug 28, 09	270 000	29.206	7,885 81	52 020	14,045 40	6,159 59	LT
CELGENE CORP								
Symbol CELG Exchange OTC	May 8, 07	20.000	62 890	1,257 80	63 080	1,261 60	3 80	LT
	Jul 6, 07	25 000	57 960	1,449 00	63 080	1,577 00	128 00	LT
	Jul 30, 07	20 000	61.310	1,226 20	63 080	1,261 60	35 40	LT
	Aug 15, 07	20 000	60 820	1,216 40	63 080	1,261 60	45 20	LT
	Nov 9, 07	20.000	63 440	1,268.80	63 080	1,261 60	-7 20	LT
	Dec 7, 07	35.000	58 390	2,043.65	63 080	2,207 80	164 15	LT
	Aug 28, 09	44 000	52 540	2,311.76	63 080	2,775 52	463 76	LT
Security total		184 000	58 552	10,773 61		11,606 72	833 11	
CHURCH & DWIGHT CO INC								
Symbol: CHD Exchange NYSE								
EAI $257 Current yield 1 54%	Aug 28, 09	378 000	28 601	10,811 27	44 250	16,726 50	5,915 23	LT
CITRIX SYSTEMS INC								
Symbol CTXS Exchange OTC	Jun 17, 10	123.000	45 407	5,585.12	71 390	8,780 97	3,195 85	LT
COACH INC								
Symbol COH Exchange NYSE								
EAI $243 Current yield 1 44%	Mar 16, 11	142 000	50 765	7,208 69	62 590	8,887 78	1,679 09	ST
	Oct 3, 11	128 000	52 240	6,686 82	62 590	8,011 52	1,324 70	ST
Security total		270 000	51 465	13,895 51		16,899 30	3,003 79	
COGNIZANT TECH SOLUTIONS CRP								
Symbol CTSH Exchange OTC	Oct 7, 09	30 000	39 206	1,176.18	67 350	2,020 50	844 32	LT
	Jan 8, 10	122 000	46 395	5,660 21	67 350	8,216 70	2,556 49	LT
Security total		152 000	44 976	6,836 39		10,237 20	3,400 81	

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SCHEDULE 7 PG 2 OF 7

Account name: JOHN AND ANNE DUFFY FNDT
Account number: BW 16136 35

Your Financial Advisor:
DOLCE GROUP
203-357-0700/800-942-2045

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Nov 30 ($)	Value on Nov 30 ($)	Unrealized gain or loss ($)	Holding period
CONCHO RESOURCES INC								
Symbol CXO Exchange NYSE	Oct 19, 10	98 000	67 706	6,635 23	101 620	9,958 76	3,323 53	LT
	Dec 29, 10	66 000	87 312	5,762 60	101 620	6,706 92	944 32	ST
Security total		164 000	75 597	12,397 83		16,665 68	4,267 85	
DANAHER CORP								
Symbol DHR Exchange. NYSE								
EAI $42 Current yield 0 21%	Mar 9, 09	60 000	24 500	1,470 00	48 380	2,902 80	1,432 80	LT
	May 27, 09	34 000	30 545	1,038 53	48 380	1,644 92	606 39	LT
	Jun 11, 09	26 000	31 725	824 85	48 380	1,257.88	433 03	LT
	Aug 28, 09	296 000	30 840	9,128 79	48 380	14,320 48	5,191 69	LT
Security total		416 000	29 957	12,462 17		20,126 08	7,663 91	
DECKERS OUTDOOR CORP								
Symbol DECK Exchange OTC	Jul 20, 10	130 000	45 013	5,851.77	108 945	14,162 85	8,311 08	LT
	Jul 29, 10	49 000	50 237	2,461 66	108 945	5,338 30	2,876 64	LT
Security total		179 000	46 444	8,313 43		19,501 15	11,187 72	
DENBURY RESOURCES INC NEW								
Symbol DNR Exchange NYSE	Oct 19, 10	366 000	18 189	6,657 47	16 900	6,185 40	-472 07	LT
	Mar 21, 11	229 000	24 122	5,523 94	16 900	3,870 10	-1,653 84	ST
Security total		595 000	20 473	12,181 41		10,055 50	-2,125 91	
EMC CORP MASS								
Symbol EMC Exchange NYSE	Oct 1, 10	620 000	20 358	12,622 08	23 010	14,266 20	1,644 12	LT
	Jan 18, 11	339 000	24.748	8,389 91	23 010	7,800 39	-589 52	ST
Security total		959 000	21 910	21,011 99		22,066 59	1,054 60	
EXPRESS SCRIPTS INC								
Symbol ESRX Exchange OTC	Aug 28, 09	314 000	35 951	11,288 83	45 650	14,334 10	3,045 27	LT
GILEAD SCIENCES INC								
Symbol GILD Exchange OTC	Apr 28, 06	75 000	28 830	2,162 25	39 850	2,988 75	826 50	LT
	Aug 2, 06	70 000	30 870	2,160 90	39 850	2,789 50	628 60	LT
	Feb 5, 07	60 000	35 170	2,110 20	39 850	2,391 00	280 80	LT
	Oct 9, 08	25 000	41 620	1,040 50	39 850	996 25	-44 25	LT
	Mar 19, 09	35 000	44 780	1,567 30	39 850	1,394 75	-172 55	LT
Security total		265 000	34 118	9,041 15		10,560 25	1,519 10	

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SCHEDULE 7 PG 3 OF 7

UBS

Account name: JOHN AND ANNE DUFFY FNDT
Account number: BW 16136 35

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Nov 30 ($)	Value on Nov 30 ($)	Unrealized gain or loss ($)	Holding period
GOOGLE INC CL A								
Symbol GOOG Exchange· OTC	Mar 15, 06	10 000	348 740	3,487 40	599 390	5,993 90	2,506 50	LT
	May 3, 06	6 000	396 140	2,376 84	599 390	3,596 34	1,219 50	LT
	Aug 21, 06	6 000	376 960	2,261 76	599 390	3,596 34	1,334 58	LT
	Dec 29, 06	4 000	461 050	1,844 20	599 390	2,397 56	553 36	LT
	Mar 16, 07	5.000	443 320	2,216.60	599 390	2,996 95	780 35	LT
	Feb 20, 08	5 000	504 250	2,521.25	599 390	2,996 95	475 70	LT
	Jul 25, 08	5 000	487 970	2,439.85	599 390	2,996 95	557 10	LT
	Mar 25, 10	4 000	566 292	2,265.17	599 390	2,397 56	132 39	LT
Security total		45 000	431 402	19,413.07		26,972 55	7,559 48	
IDEX CORP								
Symbol IEX Exchange NYSE								
EAI $209 Current yield 1 87%	Aug 28, 09	307 000	26 947	8,272 73	36 460	11,193 22	2,920 49	LT
ILLINOIS TOOL WORKS INC								
Symbol ITW Exchange NYSE								
EAI $305 Current yield· 3 17%	Feb 10, 11	212 000	55 636	11,794 90	45 440	9,633 28	-2,161 62	ST
INTERCONTINENTALEXCHANGE INC								
Symbol ICE Exchange NYSE	Aug 28, 09	90 000	92 550	8,329 50	121 720	10,954 80	2,625 30	LT
INTL BUSINESS MACH								
Symbol IBM Exchange NYSE								
EAI $555 Current yield 1 60%	Aug 28, 09	145 000	118 919	17,243 33	188 000	27,260 00	10,016 67	LT
	Jun 29, 10	40 000	127 406	5,096 24	188 000	7,520 00	2,423 76	LT
Security total		185 000	120 754	22,339 57		34,780 00	12,440 43	
JOHNSON CONTROLS INC								
Symbol JCI Exchange NYSE								
EAI $319 Current yield 2 29%	Aug 28, 09	346 000	25 008	8,652 94	31 480	10,892 08	2,239 14	LT
	Mar 21, 11	97.000	40 472	3,925 84	31 480	3,053 56	-872.28	ST
Security total		443 000	28 395	12,578 78		13,945 64	1,366 86	
MSC INDL DIRECT CO INC								
Symbol MSM Exchange NYSE								
EAI $187 Current yield 1 44%	Apr 19, 10	116 000	55 537	6,442.37	69 530	8,065 48	1,623 11	LT
	Apr 26, 10	71 000	56 816	4,033 94	69 530	4,936 63	902 69	LT
Security total		187 000	56 023	10,476 31		13,002 11	2,525 80	

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SCHEDULE 7 PG 4 OF 7

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Nov 30 ($)	Value on Nov 30 ($)	Unrealized gain or loss ($)	Holding period
NETAPP INC								
Symbol NTAP Exchange OTC	Jun 24, 10	227 000	39 979	9,075 41	36 830	8,360 41	-715 00	LT
	Mar 11, 11	73 000	48 246	3,521 97	36 830	2,688 59	-833 38	ST
Security total		300 000	41 991	12,597 38		11,049 00	-1,548 38	
NETLOGIC MICROSYSTEMS INC								
Symbol NETL Exchange OTC	Sep 27, 10	287 000	27 477	7,885 99	49 420	14,183 54	6,297 55	LT
O REILLY AUTOMOTIVE INC								
Symbol ORLY Exchange OTC	Aug 28, 09	71 000	38 395	2,726 09	77 240	5,484 04	2,757 95	LT
	Sep 30, 09	140 000	36 058	5,048 16	77 240	10,813 60	5,765 44	LT
Security total		211 000	36 845	7,774 25		16,297 64	8,523 39	
OCCIDENTAL PETROLEUM CRP								
Symbol OXY Exchange NYSE								
EAI $263 Current yield 1 86%	Jun 7, 11	143 000	102 170	14,610 38	98 900	14,142 70	-467 68	ST
ORACLE CORP								
Symbol ORCL Exchange OTC								
EAI $139 Current yield 0 77%	Oct 6, 10	579 000	27 680	16,026 72	31 350	18,151 65	2,124 93	LT
PEPSICO INC								
Symbol PEP Exchange NYSE								
EAI $433 Current yield 3 22%	Oct 10, 03	7 000	47 970	335 79	64 000	448 00	112 21	LT
	Apr 26, 07	35 000	66 990	2,344 65	64 000	2,240 00	-104 65	LT
	Feb 19, 08	20 000	71 770	1,435 40	64 000	1,280 00	-155 40	LT
	Nov 14, 08	33 000	55 050	1,816 65	64 000	2,112 00	295 35	LT
	Mar 9, 09	15 000	46 880	703 20	64 000	960 00	256 80	LT
	Mar 19, 09	30 000	49 400	1,482 00	64 000	1,920 00	438 00	LT
	Aug 28, 09	70 000	56 930	3,985 10	64 000	4,480 00	494 90	LT
Security total		210 000	57 632	12,102 79		13,440 00	1,337 21	
PRAXAIR INC								
Symbol PX Exchange NYSE								
EAI $310 Current yield 1 96%	Apr 26, 10	74 000	88 944	6,581 86	102 000	7,548 00	966 14	LT
	Apr 28, 10	2 000	84 045	168 09	102 000	204 00	35 91	LT
	Apr 29, 10	1 000	84 390	84 39	102 000	102 00	17 61	LT
	Feb 10, 11	78 000	95 200	7,425 66	102 000	7,956 00	530 34	ST
Security total		155 000	92 000	14,260 00		15,810 00	1,550 00	

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SCHEDULE 7 PG 5 OF 7

UBS

Account name: JOHN AND ANNE DUFFY FNDT
Account number: BW 16136 35

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Nov 30 ($)	Value on Nov 30 ($)	Unrealized gain or loss ($)	Holding period
PRECISION CASTPARTS CORP								
Symbol PCP Exchange. NYSE								
EAI $10 Current yield 0 07%	Aug 28, 09	87 000	93 320	8,118 84	164 750	14,333 25	6,214 41	LT
PRICELINE COM INC NEW								
Symbol PCLN Exchange· OTC	Mar 30, 10	25 000	256 288	6,407 20	485 890	12,147 25	5,740 05	LT
PROCTER & GAMBLE CO								
Symbol PG Exchange. NYSE								
EAI $552 Current yield 3 25%	Apr 16, 09	244 000	50 340	12,282 96	64 570	15,755 08	3,472 12	LT
	Jun 11, 09	19 000	52 440	996.36	64 570	1,226 83	230 47	LT
Security total		263 000	50 492	13,279 32		16,981 91	3,702 59	
QUALCOMM INC								
Symbol QCOM Exchange. OTC								
EAI $236 Current yield 1 57%	Mar 18, 11	274 000	52 029	14,256 03	54 800	15,015 20	759 17	ST
RIVERBED TECHNOLOGY INC								
Symbol RVBD Exchange OTC	Jul 20, 11	243 000	31 721	7,708 35	26 000	6,318 00	-1,390 35	ST
ROSS STORES INC								
Symbol ROST Exchange OTC								
EAI. $79 Current yield 0 99%	Aug 24, 11	90 000	73 826	6,644 41	89 090	8,018 10	1,373 69	ST
SCHLUMBERGER LTD NETHERLANDS ANTILLES								
Symbol SLB Exchange NYSE								
EAI $200 Current yield· 1 33%	Sep 6, 07	1 000	98 230	98.23	75 330	75 33	-22 90	LT
	Oct 30, 07	25 000	97 240	2,431.00	75.330	1,883 25	-547 75	LT
	Jan 10, 08	25.000	96 350	2,408 75	75.330	1,883 25	-525 50	LT
	Oct 9, 08	25 000	67 950	1,698.75	75 330	1,883 25	184 50	LT
	Oct 22, 08	25 000	50 770	1,269 25	75.330	1,883 25	614 00	LT
	Feb 10, 09	25.000	46 280	1,157 00	75.330	1,883 25	726 25	LT
	Mar 9, 09	25 000	36 620	915 50	75 330	1,883 25	967 75	LT
	Dec 27, 10	49.000	81 943	4,015.24	75 330	3,691 17	-324 07	ST
Security total		200 000	69 969	13,993 72		15,066 00	1,072 28	
SOWSTN ENERGY CO								
Symbol SWN Exchange NYSE	Aug 28, 09	330 000	38 476	12,697 08	38 050	12,556 50	-140 58	LT
STERICYCLE INC								
Symbol SRCL Exchange OTC	Aug 28, 09	126 000	50 005	6,300 71	81 020	10,208 52	3,907 81	LT

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SCHEDULE 7 PG 6 OF 7

Account name: JOHN AND ANNE DUFFY FNDT
Account number: BW 16136 35

Your Financial Advisor:
DOLCE GROUP
203-357-0700/800-942-2045

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Nov 30 ($)	Value on Nov 30 ($)	Unrealized gain or loss ($)	Holding period
TARGET CORP								
Symbol TGT Exchange NYSE								
EAI $320 Current yield 2 27%	Apr 17, 09	40 000	40 230	1,609 20	52 700	2,108 00	498 80	LT
	May 13, 09	25 000	40 520	1,013 00	52 700	1,317 50	304 50	LT
	Aug 28, 09	202 000	47 487	9,592 54	52 700	10,645 40	1,052 86	LT
Security total		267 000	45 748	12,214 74		14,070 90	1,856 16	
VERIFONE SYSTEMS INC								
Symbol PAY Exchange NYSE	May 4, 11	252 000	49 016	12,352 06	43 850	11,050 20	-1,301 86	ST
VF CORP								
Symbol VFC Exchange NYSE								
EAI $170 Current yield 2 08%	Aug 25, 11	59 000	112 202	6,619 93	138 690	8,182 71	1,562 78	ST
VISA INC CL A								
Symbol V Exchange NYSE								
EAI $94 Current yield 0 91%	Apr 21, 09	25 000	55 640	1,391 00	96 970	2,424 25	1,033 25	LT
	May 6, 09	45 000	66 910	3,010 95	96 970	4,363 65	1,352 70	LT
	Aug 28, 09	37 000	69 525	2,572 45	96 970	3,587 89	1,015 44	LT
Security total		107 000	65 181	6,974 40		10,375 79	3,401 39	
WASTE CONNECTIONS INC								
Symbol WCN Exchange NYSE								
EAI $176 Current yield 1 10%	Aug 28, 09	489 000	18 306	8,951 96	32 770	16,024 53	7,072 57	LT
Total				**$544,029.59** ✓		**$714,029.26** ✓	**$169,999.67**	

Total estimated annual income: $5,592

SCHEDULE 7 PG 7 OF 7

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
SEE SCHEDULE 8 ATTACHED	264,589.	261,241.
TOTALS	264,589.	261,241.

For the Account of: *JOHN & ANNE DUFFY FOUNDATION, INC.*

Account Number: *52 00 1954 0 12*
Date: *NOVEMBER 1, 2011 - NOVEMBER 30, 2011*

Portfolio Assets Detail

BEACON TRUST
A subsidiary of The Provident Bank
163 Madison Avenue, 6th Floor
Morristown, NJ 07960
(973) 377-8090

TAXABLE BONDS

Description	Shares	Total Cost	Current Share Price	Current Mkt Value	% of Category	% of Portfolio	Unrealized Gain/Loss	Est. Ann Income	Est. Ann Yield(%)
CORPORATE BDS & NTS									
DIAGEO CAPITAL PLC 5.125% DUE 1/30/2012	40,000	42,645.60	100.480	40,192 00	15 39	4 81	-2,453 60	2,050.00	5 10
GEN ELEC CAP CORP SR 2 8% DUE 01/08/2013	50,000	50,086 00	101.780	50,890 00	19 48	6 09	804.00	1,400 00	2.75
GLAXOSMITHKLINE CAP INC 4.85% DUE 05/15/2013	50,000	54,261 50	105.977	52,988.50	20 28	6 34	-1,273 00	2,425 00	4 58
JP MORGAN CHASE 2 20% DUE 06/15/2012	20,000	20,091.80	101.160	20,232 00	7 74	2 42	140 20	440 00	2 17
JP MORGAN CHASE & CO SR 4 75% DUE 5/1/2013	50,000	53,944 50	104.625	52,312.50	20 02	6.26	-1,632.00	2,375 00	4 54
WAL-MART STORES 4 50% DUE 07/01/2015	40,000	43,560.00	111.564	44,625 60	17 08	5 34	1,065.60	1,800 00	4 03
	TOTALS =	$264,589.40 ✓✓		$261,240.60 ✓✓					

SCHEDULE 8 PG 1 OF 1

#CNTL# 0000001504 5 18/12022011/09 32 25/507/8702

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 5

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
JOHN DUFFY 2420 E. CAMINO LA ZORRELA TUCSON, AZ 85718	PRESIDENT/TREASURER	0.	0.	0.
ANNE DUFFY 2420 E. CAMINO LA ZORRELA TUCSON, AZ 85718	VICE PRES/SECRETARY	0.	0.	0.
PETER R. KELLOGG 39 STEWART ROAD SHORT HILLS, NJ 07078	VICE PRESIDENT	0.	0.	0.
	GRAND TOTALS	0.	0.	0.

JOHN & ANNE DUFFY FOUNDATION

22-2598324

F/Y/E

11/30/11

ATTACHMENT TO 2010 FORM 990-PF, PART IV

2010 FORM 990-PF, PART I,LINE 6a,COLUMN a

AMOUNT SOLD	SECURITY	DATE ACQ	DATE SOLD	SALE PROCEEDS	COST BASIS	BOOK GAIN/ LOSS	DONOR'S COST BASIS	TAX GAIN/ LOSS
	SEE SCHEDULE 2 ATTACHED	VARIOUS	VARIOUS	13,594	13,594	0	13,594	0
	SEE SCHEDULE 3 ATTACHED	VARIOUS	VARIOUS	159,755	132,488	27,267	132,488	27,267
	SEE SCHEDULE 4 ATTACHED	VARIOUS	VARIOUS	345,622	331,860	13,762	331,860	13,762
	VARIOUS - LITIGATION SETTLEMENT	VARIOUS	VARIOUS	160	NONE	160	NONE	160
	ENRON CORP SECURITIES - LITIGATION SETTLEMENT	VARIOUS	12/15/09	418	NONE	418	NONE	418
500	COACH	VARIOUS	04/30/10	27,915	21,895	6,020	135	27,780
				547,464	499,837	47,627	478,077	69,387

JOHN & ANNE DUFFY FOUNDATION, INC.
Charitable Contributions
December 2010 through November 2011

Date	Name	Paid Amount
CONTRIBUTIONS PAID - CASH		
01/09/2011	MISCELLANEOUS CHARITABLE CONTRIBUTION	250.00
06/10/2011	THE BUTTONWOOD FOUNDATION	1,000.00
11/28/2011	MARY'S MEALS	1,200.00
11/28/2011	WINGS	1,500.00
11/28/2011	CORNELIA CONNELLY CENTER	500.00
11/28/2011	MALI KALAR	2,000.00
11/28/2011	THE BRIDGE	500.00
11/28/2011	HABITAT FOR HUMANITY	700.00
11/28/2011	SALPOINTE CATHOLIC HIGH SCHOOL	5,000.00
11/28/2011	WILLOW SCHOOL	1,000.00
03/22/2011	MISERICORDIA UNIV FOR WOMEN WITH CHILDREN	10,000.00
11/30/2011	COVENANT HOUSE	16,000.00
11/30/2011	PART OF THE SOLUTION	10,000.00
11/30/2011	COVENANT HOUSE	20,000.00
11/30/2011	EPISCOPAL PREACHING FOUNDATION, INC.	10,000.00
11/30/2011	HOMELESS SOLUTIONS, INC.	10,000.00
11/30/2011	WASHINGTON THEOLOGICAL UNION	10,000.00
11/30/2011	BANNER ALZHEIMER'S FOUNDATION	7,500.00
11/30/2011	BUTLER HOSPITAL ALZHEIMERS RESEARCH	7,500.00
11/30/2011	PRESCHOOL ADVANTAGE, INC.	7,000.00
11/30/2011	DAYSPRING MINISTRIES	800.00
11/30/2011	OXFAM AMERICA	1,600.00
11/30/2011	THE WILLOW SCHOOL	3,500.00
11/30/2011	NATIONAL RESOURCE DEFENSE COUNCIL	3,100.00
11/30/2011	CEDPA	1,000.00
11/30/2011	HUMANE BORDERS	1,000.00
11/30/2011	NYSID	1,000.00
11/30/2011	SACRED HEART OF GREENWICH	1,000.00
11/30/2011	NATIONAL GEOGRAPHIC	1,000.00
11/30/2011	ANGEL FOUNDATION	1,000.00
11/30/2011	SOAR	1,000.00
11/30/2011	MAYO PERFORMING ARTS	1,500.00
11/30/2011	PRIMAVERA FOUNDATION	1,000.00
11/30/2011	MORRISTOWN MEMORIAL HEALTH	2,000.00
11/30/2011	SHAKESPEARE THEATRE	1,000.00
11/30/2011	NJ SEEDS	1,000.00
11/30/2011	YOUTH ON THEIR OWN	1,000.00
11/30/2011	INNERCITY CHILDREN	500.00
Total CONTRIBUTIONS PAID - CASH		145,650.00
TOTAL		**145,650.00**

THE PURPOSE OF THE ABOVE CONTRIBUTIONS IS TO AID EACH DONEE ORGANIZATION IN CARRYING OUT ITS EXEMPT FUNCTION.