Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2010

For calendar year 2010, or tax year beginning 12/01 **, 2010, and ending** 11/30 **, 2011**

G Check all that apply ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

DEA FAMILY FOUNDATION
1520 W CANAL CT STE 220
LITTLETON, CO 80120

A Employer identification number: 20-8005948

B Telephone number (see the instructions): 303-297-2142

C If exemption application is pending, check here ▶ ☐

D 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization [X] Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, column (c), line 16)* ▶ $ 4,488,432.

J Accounting method [X] Cash ☐ Accrual ☐ Other (specify) ______________ *(Part I, column (d) must be on cash basis)*

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc, received (att sch)	3,183,456.			
	2 Ck ▶ ☐ if the foundn is **not** req to att Sch B				
	3 Interest on savings and temporary cash investments	9,641.	9,641.		
	4 Dividends and interest from securities	110,586.	110,586.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	274,532.			
	b Gross sales price for all assets on line 6a 1,448,888.				
	7 Capital gain net income (from Part IV, line 2)		274,532.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less: Cost of goods sold				
	c Gross profit/(loss) (att sch)				
	11 Other income (attach schedule) SEE STATEMENT 1	-17,349.	-17,349.		
	12 **Total.** Add lines 1 through 11	3,560,866.	377,410.	0.	
OPERATING AND ADMINISTRATIVE EXPENSES	13 Compensation of officers, directors, trustees, etc	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch) SEE ST 2	13,947.			6,974.
	c Other prof fees (attach sch) SEE ST 3	11,232.	11,232.		
	17 Interest	304.	304.		
	18 Taxes (attach schedule)(see instr) SEE STM 4	9,593.	593.		
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) SEE STATEMENT 5	114.			104.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	35,190.	12,129.		7,078.
	25 Contributions, gifts, grants paid PART XV	366,454.			366,454.
	26 **Total expenses and disbursements.** Add lines 24 and 25	401,644.	12,129.	0.	373,532.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	3,159,222.			
	b **Net investment income** (if negative, enter -0-)		365,281.		
	c **Adjusted net income** (if negative, enter -0-)			0.	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash – non-interest-bearing			
	2 Savings and temporary cash investments	54,331.	794,438.	794,438.
	3 Accounts receivable ►			
	Less allowance for doubtful accounts ►			
	4 Pledges receivable ►			
	Less allowance for doubtful accounts ►			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7 Other notes and loans receivable (attach sch) ►			
	Less allowance for doubtful accounts ►			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U S and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule)	1,597,805.	3,189,042.	3,693,994.
	c Investments – corporate bonds (attach schedule)			
	11 Investments – land, buildings, and equipment basis ►			
	Less: accumulated depreciation (attach schedule) ►			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule)			
	14 Land, buildings, and equipment basis ►			
	Less: accumulated depreciation (attach schedule) ►			
	15 Other assets (describe ►)			
	16 Total assets (to be completed by all filers – see instructions Also, see page 1, item I)	1,652,136.	3,983,480.	4,488,432.
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ►)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
NET ASSETS OR FUND BALANCES	**Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.** ► [X]			
	24 Unrestricted	1,652,136.	3,983,480.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ► [ ]			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see the instructions)	1,652,136.	3,983,480.	
	31 **Total liabilities and net assets/fund balances** (see the instructions)	1,652,136.	3,983,480.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,652,136.
2	Enter amount from Part I, line 27a	2	3,159,222.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	4,811,358.
5	Decreases not included in line 2 (itemize) ► SEE STATEMENT 6	5	827,878.
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	3,983,480.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	SEE STATEMENT 7			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	274,532.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0- in Part I, line 8	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2009	64,562.	1,648,133.	0.039173
2008	145,046.	1,604,378.	0.090406
2007	77,879.	1,912,144.	0.040729
2006			
2005			

2	**Total** of line 1, column (d)	2	0.170308
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.056769
4	Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	4,756,859.
5	Multiply line 4 by line 3	5	270,042.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	3,653.
7	Add lines 5 and 6	7	273,695.
8	Enter qualifying distributions from Part XII, line 4	8	373,532.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ► [ ] and enter 'N/A' on line 1 Date of ruling or determination letter. ________ **(attach copy of letter if necessary – see instr.)**		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► [X] and enter 1% of Part I, line 27b	1	3,653.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
3 Add lines 1 and 2	3	3,653.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	3,653.
6 Credits/Payments:		
a 2010 estimated tax pmts and 2009 overpayment credited to 2010 — 6a: 10,328.		
b Exempt foreign organizations – tax withheld at source — 6b:		
c Tax paid with application for extension of time to file (Form 8868) — 6c:		
d Backup withholding erroneously withheld — 6d:		
7 Total credits and payments Add lines 6a through 6d	7	10,328.
8 Enter any **penalty** for underpayment of estimated tax Check here [X] if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►	9	0.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►	10	6,675.
11 Enter the amount of line 10 to be **Credited to 2011 estimated tax** ► 6,675. **Refunded** ►	11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1b		X
*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ► $ 0. **(2)** On foundation managers ► $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see the instructions) ► CO			
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities (Continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► SPRINKLE & ASSOCIATES LLC Telephone no. ► 303-297-2121

Located at ► 1520 W CANAL CT STE 220 LITTLETON CO ZIP + 4 ► 80120

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here N/A ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

			Yes	No
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

				Yes	No
1 a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)?		1b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here	► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).				
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010?	☐ Yes ☒ No			
	If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see the instructions)		2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20__ , 20__ , 20__ , 20__				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2010 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)*		3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ► ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
PETER A DEA 1520 W CANAL CT STE 220 LITTLETON, CO 80120	PRESIDENT/CH 2	0.	0.	0.
CATHY CARPENTER DEA 1520 W CANAL CT STE 220 LITTLETON, CO 80120	SECRETARY/TR 3	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	**(b)** Title and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ► 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ►		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ►	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes			
a Average monthly fair market value of securities		1a	4,530,123.
b Average of monthly cash balances		1b	299,175.
c Fair market value of all other assets (see instructions)		1c	
d **Total** (add lines 1a, b, and c)		1d	4,829,298.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2 Acquisition indebtedness applicable to line 1 assets		2	0.
3 Subtract line 2 from line 1d		3	4,829,298.
4 Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)		4	72,439.
5 **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	4,756,859.
6 **Minimum investment return.** Enter 5% of line 5		6	237,843.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6		1	237,843.
2a Tax on investment income for 2010 from Part VI, line 5	2a 3,653.		
b Income tax for 2010 (This does not include the tax from Part VI.)	2b		
c Add lines 2a and 2b		2c	3,653.
3 Distributable amount before adjustments Subtract line 2c from line 1		3	234,190.
4 Recoveries of amounts treated as qualifying distributions		4	
5 Add lines 3 and 4		5	234,190.
6 Deduction from distributable amount (see instructions)		6	
7 **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	234,190.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	373,532.
b Program-related investments — total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 **Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	373,532.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	3,653.
6 **Adjusted qualifying distributions.** Subtract line 5 from line 4	6	369,879.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				234,190.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			46,585.	
b Total for prior years: 20___, 20___, 20___		0.		
3 Excess distributions carryover, if any, to 2010				
a From 2005				
b From 2006				
c From 2007				
d From 2008				
e From 2009				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2010 from Part XII, line 4 ► $ 373,532.				
a Applied to 2009, but not more than line 2a			46,585.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2010 distributable amount				234,190.
e Remaining amount distributed out of corpus	92,757.			
5 Excess distributions carryover applied to 2010 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	92,757.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	92,757.			
10 Analysis of line 9.				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008				
d Excess from 2009				
e Excess from 2010. 92,757.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2010	**(b)** 2009	**(c)** 2008	**(d)** 2007	**(e) Total**
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test — enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year — see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

SEE STATEMENT 8

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ► [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 9				
Total			► **3a**	366,454.
b *Approved for future payment*				
Total			► **3b**	None

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (see the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	9,641.	
4 Dividends and interest from securities			14	110,586.	
5 Net rental income or (loss) from real estate.					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	274,532.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a P/SHIP K-1 OTHER REVENUE			18	-17,349.	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				377,410.	

13 **Total.** Add line 12, columns (b), (d), and (e) 13 377,410.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See the instructions)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1 a (1)		X
	(2) Other assets	1 a (2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1 b (1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1 b (2)		X
	(3) Rental of facilities, equipment, or other assets	1 b (3)		X
	(4) Reimbursement arrangements	1 b (4)		X
	(5) Loans or loan guarantees	1 b (5)		X
	(6) Performance of services or membership or fundraising solicitations	1 b (6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Cathy L Carpenter Dea — Signature of officer or trustee | Date: 10/11/12 | Title: SECRETARY/TREAS

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
STAN G SPRINKLE	Stan Sprinkle	9-7-12		N/A
Firm's name ▶ SPRINKLE & ASSOCIATES LLC			Firm's EIN ▶ N/A	
Firm's address ▶ 1520 WEST CANAL COURT SUITE 220 LITTLETON, CO 80120			Phone no (303) 297-2121	

Schedule B
(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► **Attach to Form 990, 990-EZ, or 990-PF**

OMB No 1545-0047

2010

Name of the organization
DEA FAMILY FOUNDATION

Employer identification number
20-8005948

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)(____) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor (Complete Parts I and II)

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc, purposes, but these contributions did not aggregate to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc, purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc, contributions of $5,000 or more during the year ► $________

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2 of their Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization: DEA FAMILY FOUNDATION

Employer identification number: 20-8005948

Part I **Contributors** (see instructions.)

(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	PETER & CATHY DEA 1520 W CANAL CT STE 220 LITTLETON, CO 80120	$ 3,183,456.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Name of organization	Employer identification number
DEA FAMILY FOUNDATION	20-8005948

Part II Noncash Property (see instructions.)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	COMMON STOCK	$ 3,183,456.	12/23/10

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization

DEA FAMILY FOUNDATION

Employer identification number

20-8005948

Part III ***Exclusively* religious, charitable, etc, individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than $1,000 for the year.** Complete cols **(a)** through **(e)** and the following line entry

For organizations completing Part III, enter total of *exclusively* religious, charitable, etc, contributions of **$1,000 or less** for the year (Enter this information once See instructions) ► $ N/A

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	N/A		

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

STATEMENT 1
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
P/SHIP K-1 OTHER REVENUE	$ -17,349.	$ -17,349.	
TOTAL	$ -17,349.	$ -17,349.	$ 0.

STATEMENT 2
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
SPRINKLE & ASSOCIATES LLC	$ 13,947.			$ 6,974.
TOTAL	$ 13,947.	$ 0.	$ 0.	$ 6,974.

STATEMENT 3
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MERRILL LYNCH BANK & INVESTMT FEES	$ 456.	$ 456.		
MERRILL LYNCH INVESTMENT MGMT FEES	10,776.	10,776.		
TOTAL	$ 11,232.	$ 11,232.	$ 0.	$ 0.

STATEMENT 4
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID	$ 593.	$ 593.		
FYE 11/30/11 ESTIMATED TAX PYMTS	9,000.			
TOTAL	$ 9,593.	$ 593.	$ 0.	$ 0.

STATEMENT 5
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ANNUAL REPORT FEES	$ 10.			
BANK FEES - FIRSTBANK	104.			$ 104.
TOTAL	$ 114.	$ 0.	$ 0.	$ 104.

STATEMENT 6
FORM 990-PF, PART III, LINE 5
OTHER DECREASES

EXCESS OF FMV OVER BASIS ON STK CONTRIB	$ 827,878.
TOTAL	$ 827,878.

STATEMENT 7
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	0.592 JANUS FLEXIBLE BD CL C	PURCHASED	6/18/2010	12/09/2010
2	5,586 JANUS FLEXIBLE BD CL C	PURCHASED	11/16/2009	12/09/2010
3	0.43 PIMCO UNCONSTRAINED BOND	PURCHASED	12/08/2010	12/09/2010
4	2,747 PIMCO UNCONSTRAINED BOND	PURCHASED	4/01/2010	12/09/2010
5	0.682 COLUMBIA MAR 21 CNTRY C	PURCHASED	6/18/2010	11/16/2011
6	0.229 COLUMBIA MAR 21 CNTRY C	PURCHASED	6/18/2010	5/27/2011
7	4,670 COLUMBIA MAR 21 CNTRY C	PURCHASED	10/07/2008	11/16/2011
8	11 COLUMBIA MAR 21 CNTRY C	PURCHASED	1/11/2008	5/27/2011
9	4,509 COLUMBIA MAR 21 CNTRY C	PURCHASED	1/11/2008	11/16/2011
10	1 COLUMBIA MAR 21 CNTRY C	PURCHASED	12/14/2007	5/27/2011
11	43 COLUMBIA MAR 21 CNTRY C	PURCHASED	12/13/2007	5/27/2011
12	209 COLUMBIA MAR 21 CNTRY C	PURCHASED	12/13/2007	5/27/2011
13	1,259 COLUMBIA MAR 21 CNTRY C	PURCHASED	7/20/2007	5/27/2011
14	0.093 HART FLOATING RATE CL C	PURCHASED	6/18/2010	11/16/2011
15	1,160 HART FLOATING RATE CL C	PURCHASED	4/26/2010	11/16/2011
16	0.451 JANUS FLEXIBLE BD CL C	PURCHASED	12/22/2010	11/16/2011
17	1,408 JANUS FLEXIBLE BD CL C	PURCHASED	11/16/2009	11/16/2011
18	0.093 JP MORGAN STRATEGIC	PURCHASED	12/15/2010	11/16/2011
19	825 JP MORGAN STRATEGIC	PURCHASED	4/26/2010	11/16/2011
20	0.472 JP MORGAN STRATEGIC	PURCHASED	4/26/2010	11/16/2011
21	1 JP MORGAN STRATEGIC	PURCHASED	4/26/2010	11/16/2011
22	40 JP MORGAN STRATEGIC	PURCHASED	4/26/2010	11/16/2011
23	3 JP MORGAN STRATEGIC	PURCHASED	4/26/2010	11/16/2011
24	0.511 MFS EMGING MKTS DEBT C	PURCHASED	6/18/2010	11/16/2011
25	509 MFS EMGING MKTS DEBT C	PURCHASED	11/25/2009	11/16/2011
26	0.13 OPPEN INTL BD FD C	PURCHASED	6/18/2010	11/16/2011
27	42 OPPEN INTL BD FD C	PURCHASED	3/31/2010	11/16/2011
28	0.627 PIMCO TOTAL RETURN FD	PURCHASED	11/16/2009	11/16/2011
29	0.032 PIMCO TOTAL RTN FD CL C	PURCHASED	12/08/2010	11/16/2011
30	921 PIMCO TOTAL RTN FD CL C	PURCHASED	11/16/2009	11/16/2011
31	1 PIMCO UNCONSTRAINED BOND	PURCHASED	12/08/2010	11/16/2011

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
32	1 PIMCO UNCONSTRAINED BOND	PURCHASED	12/08/2010	11/16/2011
33	0.427 PIMCO UNCONSTRAINED BOND	PURCHASED	12/08/2010	11/16/2011
34	12 PIMCO UNCONSTRAINED BOND	PURCHASED	12/08/2010	11/16/2011
35	4,059 PIMCO UNCONSTRAINED BOND	PURCHASED	4/01/2010	11/16/2011
36	0.483 PRINCIPAL HIGH YIELD C	PURCHASED	10/31/2011	11/16/2011
37	1,193 PRINCIPAL HIGH YIELD C	PURCHASED	2/03/2009	11/16/2011
38	31 PRINCIPAL HIGH YIELD C	PURCHASED	1/30/2009	11/16/2011
39	1 PRINCIPAL HIGH YIELD C	PURCHASED	1/02/2009	11/16/2011
40	34 PRINCIPAL HIGH YIELD C	PURCHASED	12/31/2008	11/16/2011
41	31 PRINCIPAL HIGH YIELD C	PURCHASED	11/28/2008	11/16/2011
42	1 PRINCIPAL HIGH YIELD C	PURCHASED	11/03/2008	11/16/2011
43	14 PRINCIPAL HIGH YIELD C	PURCHASED	10/31/2008	11/16/2011
44	12 KIMBERLY CLARK	PURCHASED	6/01/2010	12/03/2010
45	2 AIR PRODUCTS&CHEM	PURCHASED	11/12/2009	12/10/2010
46	1 AMER EXPRESS COMPANY	PURCHASED	11/12/2009	12/10/2010
47	8 AT& T INC	PURCHASED	12/12/2008	12/10/2010
48	2 BEST BUY CO INC	PURCHASED	11/12/2009	12/10/2010
49	2 BHP BILLITON LTD ADR	PURCHASED	11/12/2009	12/10/2010
50	3 BOEING COMPANY	PURCHASED	1/22/2010	12/10/2010
51	9 CARNIVAL CORP PAIRED SHS	PURCHASED	8/25/2009	12/10/2010
52	3 CATERPILLAR INC DEL	PURCHASED	11/10/2009	12/10/2010
53	7 CISCO SYSTEMS INC COM	PURCHASED	11/12/2009	12/10/2010
54	2 FREEPRT-MCMRAN CPR & GLD	PURCHASED	11/12/2009	12/10/2010
55	2 HESS CORP	PURCHASED	3/24/2009	12/10/2010
56	3 INTEL CORP	PURCHASED	9/09/2009	12/10/2010
57	2 INTL BUSINESS MACHINES	PURCHASED	11/12/2009	12/10/2010
58	4 KELLOGG CO	PURCHASED	11/30/2009	12/10/2010
59	1 MASTERCARD INC	PURCHASED	9/09/2009	12/10/2010
60	3 PACCAR INC	PURCHASED	10/26/2009	12/10/2010
61	1 PNC FINCL SERVICES GROUP	PURCHASED	11/12/2009	12/10/2010
62	3 PRUDENTIAL FINANCIAL INC	PURCHASED	11/12/2009	12/10/2010
63	34 STAPLES INC	PURCHASED	11/12/2009	12/10/2010
64	1 TYCO INTL LTD NAMEN-AKT	PURCHASED	10/26/2009	12/10/2010
65	5 UNITED TECHS CORP COM	PURCHASED	11/12/2009	12/10/2010
66	4 VERIZON COMMUNICATNS COM	PURCHASED	2/06/2009	12/10/2010
67	13 WASTE MANAGEMENT INC NEW	PURCHASED	11/12/2009	12/10/2010
68	13 ROGERS COMMUNICATIONS B	PURCHASED	2/01/2010	12/17/2010
69	11 ROGERS COMMUNICATIONS B	PURCHASED	2/01/2010	12/20/2010
70	4 ROGERS COMMUNICATIONS B	PURCHASED	2/02/2010	12/21/2010
71	7 ROGERS COMMUNICATIONS B	PURCHASED	2/01/2010	12/21/2010
72	8 MASTERCARD INC	PURCHASED	11/12/2009	1/03/2011
73	8 PACCAR INC	PURCHASED	5/21/2010	1/26/2011
74	16 PACCAR INC	PURCHASED	10/26/2009	1/26/2011
75	17 PARKER HANNIFIN CORP	PURCHASED	8/13/2010	1/26/2011
76	16 ABBOTT LABS	PURCHASED	11/25/2008	2/04/2011
77	5 KELLOGG CO	PURCHASED	8/13/2010	2/04/2011
78	8 KELLOGG CO	PURCHASED	3/05/2010	2/04/2011
79	17 KELLOGG CO	PURCHASED	11/30/2009	2/04/2011
80	15 NORTHN TRUST CORP	PURCHASED	2/06/2009	2/04/2011
81	9 NORTHN TRUST CORP	PURCHASED	2/06/2009	2/07/2011
82	21 INTEL CORP	PURCHASED	10/01/2009	2/15/2011
83	33 INTEL CORP	PURCHASED	9/09/2009	2/15/2011
84	9 ROGERS COMMUNICATIONS B	PURCHASED	2/26/2010	2/15/2011
85	3 ROGERS COMMUNICATIONS B	PURCHASED	2/02/2010	2/15/2011
86	2 TRAVELERS COS INC	PURCHASED	11/12/2009	2/15/2011
87	27 PUB SVC ENTERPRISE GRP	PURCHASED	7/28/2010	2/16/2011

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
88	6 ROGERS COMMUNICATIONS B	PURCHASED	8/13/2010	2/16/2011
89	11 ROGERS COMMUNICATIONS B	PURCHASED	2/26/2010	2/16/2011
90	16 TRAVELERS COS INC	PURCHASED	11/12/2009	2/16/2011
91	20 VORNADO REALTY TRUST COM	PURCHASED	10/19/2009	2/16/2011
92	2 VORNADO REALTY TRUST COM	PURCHASED	10/19/2009	2/17/2011
93	5 KIMBERLY CLARK	PURCHASED	8/24/2010	2/25/2011
94	8 KIMBERLY CLARK	PURCHASED	6/21/2010	2/25/2011
95	10 KIMBERLY CLARK	PURCHASED	6/01/2010	2/25/2011
96	29 TYCO INTL LTD NAMEN-AKT	PURCHASED	10/26/2009	2/25/2011
97	17 AMN ELEC POWER CO	PURCHASED	11/12/2009	3/15/2011
98	15 CATERPILLAR INC DEL	PURCHASED	11/10/2009	3/15/2011
99	19 PG&E CORP	PURCHASED	10/19/2009	3/15/2011
100	50 STAPLES INC	PURCHASED	11/12/2009	3/15/2011
101	25 ACCENTURE PLC SHS	PURCHASED	4/21/2010	3/16/2011
102	17 AIR PRODUCTS&CHEM	PURCHASED	11/12/2009	3/16/2011
103	7 AMER EXPRESS COMPANY	PURCHASED	5/21/2010	3/16/2011
104	43 AMER EXPRESS COMPANY	PURCHASED	11/12/2009	3/16/2011
105	19 AMERIPRISE FINL INC	PURCHASED	2/04/2011	3/16/2011
106	4 AMGEN INC COM PV $0.0001	PURCHASED	8/24/2010	3/16/2011
107	18 AMGEN INC COM PV $0.0001	PURCHASED	6/21/2010	3/16/2011
108	28 AMGEN INC COM PV $0.0001	PURCHASED	2/06/2009	3/16/2011
109	13 AMN ELEC POWER CO	PURCHASED	5/21/2010	3/16/2011
110	60 AMN ELEC POWER CO	PURCHASED	11/12/2009	3/16/2011
111	5 APACHE CORP	PURCHASED	5/21/2010	3/16/2011
112	35 APACHE CORP	PURCHASED	11/12/2009	3/16/2011
113	4 APACHE CORP	PURCHASED	8/03/2009	3/16/2011
114	4 APPLE INC	PURCHASED	2/04/2011	3/16/2011
115	24 AVALONBAY CMMUN INC	PURCHASED	11/12/2009	3/16/2011
116	43 BEST BUY CO INC	PURCHASED	11/12/2009	3/16/2011
117	3 BHP BILLITON LTD ADR	PURCHASED	6/21/2010	3/16/2011
118	31 BHP BILLITON LTD ADR	PURCHASED	11/12/2009	3/16/2011
119	18 BOEING COMPANY	PURCHASED	1/22/2010	3/16/2011
120	14 BOSTON PPTYS INC	PURCHASED	11/12/2009	3/16/2011
121	41 CARNIVAL CORP PAIRED SHS	PURCHASED	11/12/2009	3/16/2011
122	1 CARNIVAL CORP PAIRED SHS	PURCHASED	8/25/2009	3/16/2011
123	46 CISCO SYSTEMS INC COM	PURCHASED	11/12/2009	3/16/2011
124	317 CITIGROUP INC	PURCHASED	2/22/2011	3/16/2011
125	288 CITIGROUP INC	PURCHASED	12/17/2010	3/16/2011
126	22 COVIDIEN PLC SHS	PURCHASED	6/21/2010	3/16/2011
127	21 COVIDIEN PLC SHS	PURCHASED	11/12/2009	3/16/2011
128	15 CVS CAREMARK CORP	PURCHASED	8/13/2010	3/16/2011
129	13 CVS CAREMARK CORP	PURCHASED	6/21/2010	3/16/2011
130	32 CVS CAREMARK CORP	PURCHASED	6/11/2010	3/16/2011
131	30 DISNEY (WALT) CO COM STK	PURCHASED	3/05/2010	3/16/2011
132	86 ERICSSON LM TEL CL B ADR	PURCHASED	11/12/2009	3/16/2011
133	7 EXXON MOBIL CORP COM	PURCHASED	6/21/2010	3/16/2011
134	11 EXXON MOBIL CORP COM	PURCHASED	2/26/2010	3/16/2011
135	6 EXXON MOBIL CORP COM	PURCHASED	9/09/2009	3/16/2011
136	9 EXXON MOBIL CORP COM	PURCHASED	5/15/2009	3/16/2011
137	12 EXXON MOBIL CORP COM	PURCHASED	2/06/2009	3/16/2011
138	14 EXXON MOBIL CORP COM	PURCHASED	2/03/2009	3/16/2011
139	88 FIFTH THIRD BANCORP	PURCHASED	8/10/2009	3/16/2011
140	12 FREEPRT-MCMRAN CPR & GLD	PURCHASED	2/26/2010	3/16/2011
141	48 FREEPRT-MCMRAN CPR & GLD	PURCHASED	11/12/2009	3/16/2011
142	44 GENERAL ELECTRIC	PURCHASED	2/26/2010	3/16/2011
143	35 GENERAL ELECTRIC	PURCHASED	11/10/2009	3/16/2011

STATEMENT 7 (CONTINUED)
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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
144	52 GENERAL ELECTRIC	PURCHASED	8/25/2009	3/16/2011
145	62 GENERAL ELECTRIC	PURCHASED	8/10/2009	3/16/2011
146	35 GENL DYNAMICS CORP COM	PURCHASED	11/12/2009	3/16/2011
147	1 GENL DYNAMICS CORP COM	PURCHASED	10/19/2009	3/16/2011
148	21 GOLDMAN SACHS GROUP INC	PURCHASED	11/12/2009	3/16/2011
149	3 GOLDMAN SACHS GROUP INC	PURCHASED	9/09/2009	3/16/2011
150	28 HALLIBURTON COMPANY	PURCHASED	1/26/2011	3/16/2011
151	13 HESS CORP	PURCHASED	6/21/2010	3/16/2011
152	16 HESS CORP	PURCHASED	11/12/2009	3/16/2011
153	17 HESS CORP	PURCHASED	9/09/2009	3/16/2011
154	14 HESS CORP	PURCHASED	3/24/2009	3/16/2011
155	52 HEWLETT PACKARD CO DEL	PURCHASED	11/12/2009	3/16/2011
156	14 HSBC HLDG PLC SP ADR	PURCHASED	3/15/2011	3/16/2011
157	4 HUMANA INC	PURCHASED	3/15/2011	3/16/2011
158	18 ILLINOIS TOOL WORKS INC	PURCHASED	1/26/2011	3/16/2011
159	20 INTL BUSINESS MACHINES	PURCHASED	11/12/2009	3/16/2011
160	5 JOHNSON AND JOHNSON COM	PURCHASED	8/24/2010	3/16/2011
161	21 JOHNSON AND JOHNSON COM	PURCHASED	8/13/2010	3/16/2011
162	25 JOHNSON AND JOHNSON COM	PURCHASED	6/01/2010	3/16/2011
163	10 JOHNSON AND JOHNSON COM	PURCHASED	4/21/2010	3/16/2011
164	16 JOHNSON AND JOHNSON COM	PURCHASED	4/13/2010	3/16/2011
165	113 JPMORGAN CHASE & CO	PURCHASED	11/12/2009	3/16/2011
166	70 KEYCORP NEW COM	PURCHASED	5/21/2010	3/16/2011
167	126 KEYCORP NEW COM	PURCHASED	1/26/2010	3/16/2011
168	10 KOHLS CORP WISC PV 1CT	PURCHASED	3/15/2011	3/16/2011
169	45 KRAFT FOODS INC VA CL A	PURCHASED	8/24/2010	3/16/2011
170	22 LINCOLN NTL CORP IND NPV	PURCHASED	6/21/2010	3/16/2011
171	45 LINCOLN NTL CORP IND NPV	PURCHASED	8/10/2009	3/16/2011
172	41 MERCK AND CO INC SHS	PURCHASED	8/13/2010	3/16/2011
173	67 MERCK AND CO INC SHS	PURCHASED	11/12/2009	3/16/2011
174	14 METLIFE INC COM	PURCHASED	1/26/2010	3/16/2011
175	28 METLIFE INC COM	PURCHASED	11/12/2009	3/16/2011
176	15 METLIFE INC COM	PURCHASED	10/26/2009	3/16/2011
177	19 METLIFE INC COM	PURCHASED	4/29/2009	3/16/2011
178	5 MICROSOFT CORP	PURCHASED	8/13/2010	3/16/2011
179	74 MICROSOFT CORP	PURCHASED	11/12/2009	3/16/2011
180	27 NATIONAL GRID PLC SP ADR	PURCHASED	9/13/2010	3/16/2011
181	61 NESTLE S A REP RG SH ADR	PURCHASED	11/12/2009	3/16/2011
182	15 NORTHROP GRUMMAN CORP	PURCHASED	1/26/2011	3/16/2011
183	53 OCCIDENTAL PETE CORP CAL	PURCHASED	11/12/2009	3/16/2011
184	40 ORACLE CORP $0.01 DEL	PURCHASED	11/12/2009	3/16/2011
185	20 PEABODY ENERGY CORP COM	PURCHASED	6/21/2010	3/16/2011
186	7 PEABODY ENERGY CORP COM	PURCHASED	3/12/2010	3/16/2011
187	13 PEABODY ENERGY CORP COM	PURCHASED	2/26/2010	3/16/2011
188	27 PEABODY ENERGY CORP COM	PURCHASED	1/06/2010	3/16/2011
189	34 PEPSICO INC	PURCHASED	11/12/2009	3/16/2011
190	18 PFIZER INC	PURCHASED	5/21/2010	3/16/2011
191	205 PFIZER INC	PURCHASED	11/12/2009	3/16/2011
192	44 PG&E CORP	PURCHASED	10/19/2009	3/16/2011
193	76 PNC FINCL SERVICES GROUP	PURCHASED	11/12/2009	3/16/2011
194	71 PRUDENTIAL FINANCIAL INC	PURCHASED	11/12/2009	3/16/2011
195	1 RESEARCH IN MOTION LTD	PURCHASED	1/04/2011	3/16/2011
196	22 RESEARCH IN MOTION LTD	PURCHASED	1/03/2011	3/16/2011
197	18 REYNOLDS AMERICAN INC	PURCHASED	12/06/2010	3/16/2011
198	21 REYNOLDS AMERICAN INC	PURCHASED	12/03/2010	3/16/2011
199	20 SEMPRA ENERGY	PURCHASED	5/04/2010	3/16/2011

STATEMENT 7 (CONTINUED)
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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
200	21 SEMPRA ENERGY	PURCHASED	5/03/2010	3/16/2011
201	13 SIMON PROPERTY GROUP DEL	PURCHASED	2/16/2011	3/16/2011
202	1 SIMON PROPERTY GROUP DEL	PURCHASED	2/15/2011	3/16/2011
203	16 TARGET CORP COM	PURCHASED	2/25/2011	3/16/2011
204	44 TARGET CORP COM	PURCHASED	11/12/2009	3/16/2011
205	24 THERMO FISHER SCIENTIFIC	PURCHASED	11/12/2009	3/16/2011
206	16 TIME WARNER CABLE INC	PURCHASED	2/16/2011	3/16/2011
207	36 TJX COS INC NEW	PURCHASED	11/12/2009	3/16/2011
208	38 UNION PACIFIC CORP	PURCHASED	11/10/2009	3/16/2011
209	31 UNITED STS STL CORP NEW	PURCHASED	11/10/2009	3/16/2011
210	19 UNITEDHEALTH GROUP INC	PURCHASED	2/04/2011	3/16/2011
211	6 UNITEDHEALTH GROUP INC	PURCHASED	8/24/2010	3/16/2011
212	34 UNITEDHEALTH GROUP INC	PURCHASED	11/12/2009	3/16/2011
213	24 US BANCORP (NEW)	PURCHASED	3/22/2010	3/16/2011
214	32 US BANCORP (NEW)	PURCHASED	1/06/2010	3/16/2011
215	61 US BANCORP (NEW)	PURCHASED	11/12/2009	3/16/2011
216	61 VERIZON COMMUNICATNS COM	PURCHASED	2/06/2009	3/16/2011
217	33 VODAFONE GROP PLC SP ADR	PURCHASED	2/16/2011	3/16/2011
218	2 VODAFONE GROP PLC SP ADR	PURCHASED	2/15/2011	3/16/2011
219	29 VODAFONE GROP PLC SP ADR	PURCHASED	11/02/2010	3/16/2011
220	7 VODAFONE GROP PLC SP ADR	PURCHASED	11/01/2010	3/16/2011
221	3 WAL-MART STORES INC	PURCHASED	8/13/2010	3/16/2011
222	21 WAL-MART STORES INC	PURCHASED	7/28/2010	3/16/2011
223	20 WAL-MART STORES INC	PURCHASED	6/21/2010	3/16/2011
224	29 WAL-MART STORES INC	PURCHASED	11/12/2009	3/16/2011
225	169 WELLS FARGO & CO NEW DEL	PURCHASED	11/12/2009	3/16/2011
226	44 ALTRIA GROUP INC	PURCHASED	3/16/2011	6/10/2011
227	71 MICROCHIP TECHNOLOGY INC	PURCHASED	3/16/2011	6/10/2011
228	12 ONEOK INC (OKLAHOMA)	PURCHASED	3/16/2011	7/28/2011
229	108 PAYCHEX INC	PURCHASED	3/16/2011	7/28/2011
230	77 AGL RESOURCES INC COM	PURCHASED	3/16/2011	9/16/2011
231	18 NEXTERA ENERGY INC SHS	PURCHASED	3/16/2011	9/16/2011
232	31 PROCTER & GAMBLE CO	PURCHASED	3/16/2011	9/16/2011
233	53 BRISTOL-MYERS SQUIBB CO	PURCHASED	3/16/2011	10/26/2011
234	56 HEALTH CARE SVCS GROUP	PURCHASED	3/16/2011	10/26/2011
235	43 CONOCOPHILLIPS	PURCHASED	4/21/2010	11/14/2011
236	15 CRESCENT POINT ENERGY	PURCHASED	3/16/2011	11/14/2011
237	45 VERMILION ENERGY INC SHS	PURCHASED	3/16/2011	11/14/2011
238	15 BAYERISCHE MOTORENWERKE	PURCHASED	1/28/2010	12/03/2010
239	1 ADIDAS AG SPONSORED ADR	PURCHASED	9/17/2009	12/10/2010
240	2 AMERICA MOVIL SAB DE CV	PURCHASED	11/01/2007	12/10/2010
241	4 BANCO BRADESCO S A ADR	PURCHASED	5/04/2010	12/10/2010
242	1 BAYER AG SP ADR	PURCHASED	1/14/2008	12/10/2010
243	3 BAYERISCHE MOTORENWERKE	PURCHASED	1/28/2010	12/10/2010
244	2 BHP BILLITON LTD ADR	PURCHASED	1/14/2008	12/10/2010
245	1 BNP PARIBAS SPONSORD ADR	PURCHASED	7/23/2007	12/10/2010
246	1 BRITISH AMN TOBACO SPADR	PURCHASED	11/13/2009	12/10/2010
247	1 BUNZL PLC ADR	PURCHASED	7/23/2007	12/10/2010
248	1 CANON INC ADR REP5SH	PURCHASED	8/12/2010	12/10/2010
249	5 CENTRICA PLC	PURCHASED	3/03/2010	12/10/2010
250	4 CHEUNG KONG HLDG ADR	PURCHASED	7/23/2007	12/10/2010
251	1 CNOOC LTD ADR	PURCHASED	11/13/2009	12/10/2010
252	2 COCA COLA AMATIL SPD ADR	PURCHASED	11/13/2009	12/10/2010
253	2 COCA COLA HELLENIC S ADS	PURCHASED	7/23/2007	12/10/2010
254	10 COMPASS GROUP PLC ADR	PURCHASED	5/22/2008	12/10/2010
255	3 CSL LTD SHS	PURCHASED	11/13/2009	12/10/2010

STATEMENT 7 (CONTINUED)
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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
256	4 DANONE-SPONS ADR	PURCHASED	9/14/2009	12/10/2010
257	9 DENSO CP UNSPONSORED ADR	PURCHASED	11/13/2009	12/10/2010
258	3 ERICSSON LM TEL CL B ADR	PURCHASED	11/02/2010	12/10/2010
259	1 FANUC LTD-UNSP	PURCHASED	7/30/2010	12/10/2010
260	1 FRESENIUS MEDICAL CARE	PURCHASED	11/06/2009	12/10/2010
261	2 GRUPO TELEVISA SA ADR	PURCHASED	7/21/2008	12/10/2010
262	1 HUTCHISN WHAMPOA ADR	PURCHASED	7/23/2007	12/10/2010
263	2 IMPERIAL TOB GRP SPS ADR	PURCHASED	7/23/2007	12/10/2010
264	1 INFOSYS TECH LTD ADR	PURCHASED	1/14/2008	12/10/2010
265	2 INTL POWER PLC SPON ADR	PURCHASED	7/23/2007	12/10/2010
266	9 JULIUS BAER GROUP ADR	PURCHASED	5/11/2010	12/10/2010
267	2 KEPPEL LTD SPONS ADR	PURCHASED	11/01/2007	12/10/2010
268	10 KINGFISHER PLC SP ADR	PURCHASED	6/24/2010	12/10/2010
269	1 KOMATSU NEW NEW SPNSDADR	PURCHASED	8/15/2007	12/10/2010
270	4 KONINKLIJKE AHOLD NV ADR	PURCHASED	8/25/2009	12/10/2010
271	2 NESTLE S A REP RG SH ADR	PURCHASED	2/29/2008	12/10/2010
272	4 NIDEC CORPORATION ADR	PURCHASED	11/13/2009	12/10/2010
273	2 NOVARTIS ADR	PURCHASED	10/19/2009	12/10/2010
274	1 NOVO NORDISK A S ADR	PURCHASED	11/13/2009	12/10/2010
275	1 OAO GAZPROM SPON ADR	PURCHASED	4/01/2010	12/10/2010
276	1 PETRLEO BRAS VTG SPD ADR	PURCHASED	1/14/2008	12/10/2010
277	1 PHILPPNE L D TEL ADR	PURCHASED	4/13/2009	12/10/2010
278	1 PHILPPNE L D TEL ADR	PURCHASED	2/23/2009	12/10/2010
279	1 PUBLICIS GROUPE SPON ADR	PURCHASED	12/01/2010	12/10/2010
280	1 REED ELSEVIER P L C	PURCHASED	7/01/2008	12/10/2010
281	1 REED ELSEVIER P L C	PURCHASED	6/23/2008	12/10/2010
282	3 ROCHE HLDG LTD SPN ADR	PURCHASED	7/23/2007	12/10/2010
283	1 ROYAL DUTCH SHEL PLC	PURCHASED	8/05/2010	12/10/2010
284	3 ROYAL KPN N V SP ADR	PURCHASED	11/13/2009	12/10/2010
285	1 SAP AG SHS	PURCHASED	7/31/2009	12/10/2010
286	1 SHARP CORP ADR	PURCHASED	7/23/2007	12/10/2010
287	1 SHIRE PLC-ADR	PURCHASED	7/23/2007	12/10/2010
288	2 SMITH-NPHW PLC SPADR NEW	PURCHASED	11/13/2009	12/10/2010
289	7 TAIWAN S MANUFCTRING ADR	PURCHASED	8/21/2007	12/10/2010
290	2 TALISMAN ENERGY INC COM	PURCHASED	9/09/2009	12/10/2010
291	5 TESCO PLC SPNRD ADR	PURCHASED	7/23/2007	12/10/2010
292	3 TEVA PHARMACTCL INDS ADR	PURCHASED	8/28/2008	12/10/2010
293	2 TNT N V ADR	PURCHASED	1/14/2008	12/10/2010
294	1 TOTAL S.A. SP ADR	PURCHASED	1/14/2008	12/10/2010
295	1 TRANSCANADA CORP	PURCHASED	7/23/2007	12/10/2010
296	1 TREND MICRO INC ADR NEW	PURCHASED	7/23/2007	12/10/2010
297	1 UNILEVER NV NY REG SHS	PURCHASED	2/19/2010	12/10/2010
298	3 VIMPELCOM LTD	PURCHASED	7/23/2007	12/10/2010
299	1 VODAFONE GROP PLC SP ADR	PURCHASED	7/01/2008	12/10/2010
300	3 VODAFONE GROP PLC SP ADR	PURCHASED	6/11/2008	12/10/2010
301	1 WPP PLC ADR	PURCHASED	7/23/2007	12/10/2010
302	4 NESTLE S A REP RG SH ADR	PURCHASED	2/29/2008	1/21/2011
303	4 NESTLE S A REP RG SH ADR	PURCHASED	2/20/2009	1/24/2011
304	9 ROCHE HLDG LTD SPN ADR	PURCHASED	7/23/2007	2/02/2011
305	7 ROCHE HLDG LTD SPN ADR	PURCHASED	7/23/2007	2/03/2011
306	1 TEVA PHARMACTCL INDS ADR	PURCHASED	11/13/2009	2/08/2011
307	5 TEVA PHARMACTCL INDS ADR	PURCHASED	8/28/2008	2/08/2011
308	12 ROCHE HLDG LTD SPN ADR	PURCHASED	7/23/2007	2/10/2011
309	2 NOVO NORDISK A S ADR	PURCHASED	11/13/2009	2/25/2011
310	7 PHILPPNE L D TEL ADR	PURCHASED	11/13/2009	3/25/2011
311	5 PHILPPNE L D TEL ADR	PURCHASED	11/13/2009	3/25/2011

STATEMENT 7 (CONTINUED)
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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
312	2 PHILPPNE L D TEL ADR	PURCHASED	11/13/2009	3/30/2011
313	5 PHILPPNE L D TEL ADR	PURCHASED	11/13/2009	3/30/2011
314	4 PHILPPNE L D TEL ADR	PURCHASED	4/13/2009	3/30/2011
315	13 TNT N V ADR	PURCHASED	11/27/2009	4/01/2011
316	16 TNT N V ADR	PURCHASED	2/04/2008	4/01/2011
317	8 TNT N V ADR	PURCHASED	1/14/2008	4/01/2011
318	14 GRUPO TELEVISA SA ADR	PURCHASED	7/21/2008	4/11/2011
319	18 KEPPEL LTD SPONS ADR	PURCHASED	11/01/2007	4/14/2011
320	13 NIDEC CORPORATION ADR	PURCHASED	11/13/2009	4/14/2011
321	5 SHIRE PLC-ADR	PURCHASED	7/23/2007	4/19/2011
322	10 TESCO PLC SPNRD ADR	PURCHASED	7/23/2007	4/21/2011
323	3 TESCO PLC SPNRD ADR	PURCHASED	7/23/2007	4/25/2011
324	4 TESCO PLC SPNRD ADR	PURCHASED	7/23/2007	4/26/2011
325	2 BHP BILLITON LTD ADR	PURCHASED	11/13/2009	4/27/2011
326	6 BHP BILLITON LTD ADR	PURCHASED	1/14/2008	4/27/2011
327	31 ROYAL KPN N V SP ADR	PURCHASED	11/13/2009	4/27/2011
328	3 BAYERISCHE MOTORENWERKE	PURCHASED	2/03/2010	4/28/2011
329	9 BAYERISCHE MOTORENWERKE	PURCHASED	1/28/2010	4/28/2011
330	18 VODAFONE GROP PLC SP ADR	PURCHASED		5/06/2011
331	35 ROYAL KPN N V SP ADR	PURCHASED	11/13/2009	5/12/2011
332	3 SHIRE PLC-ADR	PURCHASED	7/23/2007	5/13/2011
333	25 NIDEC CORPORATION ADR	PURCHASED	11/13/2009	6/06/2011
334	9 AMERICA MOVIL SAB DE CV	PURCHASED	11/01/2007	6/07/2011
335	24 SHARP CORP ADR	PURCHASED	1/14/2008	6/20/2011
336	58 SHARP CORP ADR	PURCHASED	7/23/2007	6/20/2011
337	2 BAYER AG SP ADR	PURCHASED	2/04/2008	6/23/2011
338	1 BAYER AG SP ADR	PURCHASED	1/14/2008	6/23/2011
339	3 INTL POWER PLC SPON ADR	PURCHASED	8/26/2008	6/24/2011
340	4 INTL POWER PLC SPON ADR	PURCHASED	1/14/2008	6/24/2011
341	5 INTL POWER PLC SPON ADR	PURCHASED	7/23/2007	6/24/2011
342	6 TREND MICRO INC ADR NEW	PURCHASED	1/14/2008	6/30/2011
343	14 TREND MICRO INC ADR NEW	PURCHASED	7/23/2007	6/30/2011
344	3 SHIRE PLC-ADR	PURCHASED	1/14/2008	7/06/2011
345	1 SHIRE PLC-ADR	PURCHASED	7/23/2007	7/06/2011
346	13 KOMATSU NEW NEW SPNSDADR	PURCHASED	8/15/2007	7/07/2011
347	19 PETRLEO BRAS VTG SPD ADR	PURCHASED	11/13/2009	7/11/2011
348	5 PETRLEO BRAS VTG SPD ADR	PURCHASED	1/14/2008	7/11/2011
349	3 INFOSYS TECH LTD ADR	PURCHASED	1/14/2008	7/13/2011
350	23 TAIWAN S MANUFCTRING ADR	PURCHASED	8/21/2007	7/19/2011
351	30 TALISMAN ENERGY INC COM	PURCHASED	9/09/2009	7/22/2011
352	23 FANUC LTD-UNSP	PURCHASED	7/30/2010	7/25/2011
353	5 IMPERIAL TOB GRP SPS ADR	PURCHASED	7/23/2007	8/03/2011
354	8 BAYERISCHE MOTORENWERKE	PURCHASED	2/03/2010	8/04/2011
355	8 ENCANA CORP	PURCHASED	4/22/2009	8/04/2011
356	4 ENCANA CORP	PURCHASED	1/14/2008	8/04/2011
357	4 ENCANA CORP	PURCHASED	7/23/2007	8/04/2011
358	3 NOVO NORDISK A S ADR	PURCHASED	11/13/2009	8/04/2011
359	6 FANUC LTD-UNSP	PURCHASED	7/30/2010	8/08/2011
360	3 INTL POWER PLC SPON ADR	PURCHASED	8/28/2008	8/15/2011
361	4 INTL POWER PLC SPON ADR	PURCHASED	8/26/2008	8/15/2011
362	5 NESTLE S A REP RG SH ADR	PURCHASED	11/13/2009	8/31/2011
363	1 NESTLE S A REP RG SH ADR	PURCHASED	2/20/2009	8/31/2011
364	5 SHIRE PLC-ADR	PURCHASED	1/14/2008	9/07/2011
365	16 CENTRICA PLC	PURCHASED	3/03/2010	9/21/2011
366	5 BHP BILLITON LTD ADR	PURCHASED	11/13/2009	10/05/2011
367	15 INDUSTRL AND COMMRCL BNK	PURCHASED	1/28/2010	10/07/2011

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
368	44 CSL LTD SHS	PURCHASED	11/13/2009	10/19/2011
369	4 BAYER AG SP ADR	PURCHASED	11/13/2009	11/04/2011
370	5 BAYER AG SP ADR	PURCHASED	2/04/2008	11/04/2011
371	10 BAYERISCHE MOTORENWERKE	PURCHASED	2/03/2010	11/04/2011
372	1,000 REED ELSEVIER CAP 7.75%	PURCHASED	1/14/2009	12/01/2010
373	2,000 COMCAST CORP 5.65%JUN15	PURCHASED	8/02/2007	12/13/2010
374	1,000 GOLDMAN SACHS GRO 5.15%	PURCHASED	9/14/2007	12/13/2010
375	1,000 GOLDMAN SACHS GRO 5.15%	PURCHASED	8/02/2007	12/13/2010
376	1,000 AMERICAN EXPRESS 4.87% 1	PURCHASED	4/13/2010	12/21/2010
377	1,000 KRAFT FOODS INC 6.25% 20	PURCHASED	8/02/2007	12/29/2010
378	3,000 ANHEUSER-BUSCH CO 6.45%	PURCHASED	10/22/2008	2/07/2011
379	1,000 CHESAPEAKE ENERGY 7.62%	PURCHASED	11/19/2009	4/11/2011
380	3,000 CITIGROUP INC 5.00% 2014	PURCHASED	9/14/2007	3/01/2011
381	2,000 CITIGROUP INC 5.37% 2020	PURCHASED	3/01/2011	8/16/2011
382	1,000 COMCAST CORP 5.65%JUN15	PURCHASED	8/22/2007	2/03/2011
383	2,000 CREDIT SUISSE FB 4.87%	PURCHASED	2/19/2008	5/26/2011
384	1,000 EL PASO NATURAL G 7.25%	PURCHASED	11/19/2009	8/11/2011
385	1,000 GOLDMAN SACHS GRO 5.15%	PURCHASED	10/20/2008	8/10/2011
386	1,000 GOLDMAN SACHS GRO 5.15%	PURCHASED	12/21/2007	2/03/2011
387	1,000 GOLDMAN SACHS GRO 5.15%	PURCHASED	1/14/2008	8/10/2011
388	1,000 JC PENNEY CORP IN 6.37%	PURCHASED	8/21/2007	1/26/2011
389	1,000 KRAFT FOODS INC 6.25% 20	PURCHASED	8/02/2007	1/10/2011
390	3,000 LLOYDS TSB BK PLC 6.37%	PURCHASED	1/14/2011	6/23/2011
391	1,000 MARATHON OIL CORP 6.50%	PURCHASED	3/03/2009	2/10/2011
392	1,000 MORGAN STANLEY 4.75% 201	PURCHASED	8/02/2007	5/02/2011
393	2,000 ROYAL BK OF SCOTL 5.62%	PURCHASED	9/09/2010	6/23/2011
394	2,000 SPRINT CAP CORP 6.87% 20	PURCHASED	8/02/2007	7/07/2011
395	3,000 TOTAL CAPITAL SA 3.00% 1	PURCHASED	1/19/2011	3/01/2011
396	2,000 US TSY 1.000% OCT 31 20	PURCHASED	3/01/2011	10/31/2011
397	15,000 US TSY 1.000% OCT 31 20	PURCHASED	3/16/2010	10/31/2011
398	1,000 US TSY 1.125% JUN 30 20	PURCHASED	6/24/2009	3/09/2011
399	14,000 US TSY 1.125% JUN 30 20	PURCHASED	3/16/2010	6/30/2011
400	2,000 US TSY 1.125% JUN 30 20	PURCHASED	7/10/2009	3/09/2011
401	1,000 US TSY 1.125% JUN 30 20	PURCHASED	7/10/2009	6/30/2011
402	2,000 US TSY 1.750% MAY 31 20	PURCHASED	5/26/2011	11/29/2011
403	1,000 US TSY 2.000% NOV 30 20	PURCHASED	12/21/2010	1/19/2011
404	2,000 US TSY 2.000% NOV 30 20	PURCHASED	10/20/2010	1/19/2011
405	3,000 US TSY 2.375% MAR 31 20	PURCHASED	4/07/2009	1/05/2011
406	5,000 US TSY 3.125% MAY 15 20	PURCHASED	6/24/2011	9/01/2011
407	2,000 US TSY 4.375% MAY 15 20	PURCHASED	7/05/2011	7/08/2011
408	2,000 US TSY 4.375% MAY 15 20	PURCHASED	7/05/2011	9/01/2011
409	4,000 US TSY 4.375% MAY 15 20	PURCHASED	7/05/2011	8/26/2011
410	3,000 US TSY 4.375% MAY 15 20	PURCHASED	8/12/2010	1/14/2011
411	3,000 USD TELECOM IT CA 6.00%	PURCHASED	8/02/2007	6/23/2011
412	2,000 USD TELEFONICA EM 6.22%	PURCHASED	10/17/2007	6/23/2011
413	2,000 VODAFONE GROUP PL 6.15%	PURCHASED	8/02/2007	6/23/2011
414	1,000 WACHOVIA CORP 5.75% 2018	PURCHASED	1/30/2008	3/23/2011
415	1,000 XEROX CORPORATION 6.87%	PURCHASED	8/02/2007	8/15/2011
416	6,200 BANK OF AMERICA	PURCHASED	7/31/2008	8/04/2011
417	1,145 GOLDMAN SACHS GROUP INC	PURCHASED	7/30/2008	8/04/2011
418	500 GOLDMAN SACHS GROUP INC	PURCHASED	7/30/2008	8/04/2011
419	1,664 GOLDMAN SACHS GROUP INC	PURCHASED	7/30/2008	8/04/2011
420	300 GOLDMAN SACHS GROUP INC	PURCHASED	7/30/2008	8/04/2011
421	300 GOLDMAN SACHS GROUP INC	PURCHASED	7/30/2008	8/04/2011
422	500 GOLDMAN SACHS GROUP INC	PURCHASED	7/30/2008	8/04/2011
423	700 GOLDMAN SACHS GROUP INC	PURCHASED	7/30/2008	8/04/2011

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
424	1,100 GOLDMAN SACHS GROUP INC	PURCHASED	7/30/2008	8/04/2011
425	500 GOLDMAN SACHS GROUP INC	PURCHASED	7/30/2008	8/04/2011
426	100 GOLDMAN SACHS GROUP INC	PURCHASED	7/30/2008	8/04/2011
427	91 GOLDMAN SACHS GROUP INC	PURCHASED	7/30/2008	8/04/2011
428	500 GOLDMAN SACHS GROUP INC	PURCHASED	7/30/2008	8/04/2011
429	100 GOLDMAN SACHS GROUP INC	PURCHASED	7/30/2008	8/04/2011
430	566 HSBC USA INC	PURCHASED	7/31/2008	8/04/2011
431	5,184 HSBC USA INC	PURCHASED	7/31/2008	8/04/2011
432	200 HSBC USA INC	PURCHASED	7/31/2008	8/04/2011
433	100 HSBC USA INC	PURCHASED	7/31/2008	8/04/2011
434	500 HSBC USA INC	PURCHASED	7/31/2008	8/04/2011
435	100 HSBC USA INC	PURCHASED	7/31/2008	8/04/2011
436	100 HSBC USA INC	PURCHASED	7/31/2008	8/04/2011
437	50 HSBC USA INC	PURCHASED	7/31/2008	8/04/2011
438	1,000 HSBC USA INC	PURCHASED	7/31/2008	8/04/2011
439	200 HSBC USA INC	PURCHASED	7/31/2008	8/04/2011
440	500 JPMORGAN CHASE & CO.	PURCHASED	8/14/2008	8/04/2011
441	1,000 JPMORGAN CHASE & CO.	PURCHASED	8/14/2008	8/04/2011
442	500 JPMORGAN CHASE & CO.	PURCHASED	8/14/2008	8/04/2011
443	100 JPMORGAN CHASE & CO.	PURCHASED	8/14/2008	8/04/2011
444	1,900 JPMORGAN CHASE & CO.	PURCHASED	8/14/2008	8/04/2011
445	900 JPMORGAN CHASE & CO.	PURCHASED	8/14/2008	8/04/2011
446	100 JPMORGAN CHASE & CO.	PURCHASED	8/14/2008	8/04/2011
447	500 WELLS FARGO & CO NEW	PURCHASED	11/25/2008	8/04/2011
448	1,800 WELLS FARGO & CO NEW	PURCHASED	11/25/2008	8/04/2011
449	1,200 WELLS FARGO & CO NEW	PURCHASED	11/25/2008	8/04/2011
450	100 WELLS FARGO & CO NEW	PURCHASED	11/25/2008	8/04/2011
451	400 WELLS FARGO & CO NEW	PURCHASED	11/25/2008	8/04/2011
452	200 WELLS FARGO & CO NEW	PURCHASED	11/25/2008	8/04/2011
453	100 WELLS FARGO & CO NEW	PURCHASED	11/25/2008	8/04/2011
454	200 WELLS FARGO & CO NEW	PURCHASED	11/25/2008	8/04/2011
455	1,000 WELLS FARGO & CO NEW	PURCHASED	12/18/2007	8/04/2011
456	TNT NV ADR CASH IN LIEU	PURCHASED	VARIOUS	3/24/2011
457	ROYAL DUTCH SHELL CASH IN LIEU	PURCHASED	VARIOUS	4/01/2011
458	CAPITAL GAIN DISTRIBUTIONS	PURCHASED	VARIOUS	11/30/2011
459	ML SYSTEMATIC MOMENTUM FUTURESACCESS K-1	PURCHASED	VARIOUS	12/31/2010

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I)-(J)	(L) GAIN (LOSS)
1	6.		6.	0.				$ 0.
2	59,994.		58,485.	1,509.				1,509.
3	5.		5.	0.				0.
4	30,464.		30,272.	192.				192.
5	8.		8.	0.				0.
6	3.		3.	0.				0.
7	52,678.		45,999.	6,679.				6,679.
8	144.		161.	-17.				-17.
9	50,861.		65,831.	-14,970.				-14,970.
10	13.		16.	-3.				-3.
11	565.		688.	-123.				-123.
12	2,744.		3,344.	-600.				-600.
13	16,531.		19,502.	-2,971.				-2,971.
14	1.		1.	0.				0.
15	9,999.		10,208.	-209.				-209.

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I)-(J)	(L) GAIN (LOSS)
16	5.		5.	0.				$ 0.
17	14,995.		14,742.	253.				253.
18	1.		1.	0.				0.
19	9,488.		9,686.	-198.				-198.
20	5.		6.	-1.				-1.
21	12.		12.	0.				0.
22	460.		470.	-10.				-10.
23	35.		35.	0.				0.
24	8.		7.	1.				1.
25	7,492.		7,248.	244.				244.
26	1.		1.	0.				0.
27	266.		269.	-3.				-3.
28	7.		7.	0.				0.
29	0.		0.	0.				0.
30	9,993.		10,131.	-138.				-138.
31	11.		11.	0.				0.
32	11.		11.	0.				0.
33	5.		5.	0.				0.
34	132.		133.	-1.				-1.
35	44,608.		44,730.	-122.				-122.
36	4.		4.	0.				0.
37	9,138.		7,408.	1,730.				1,730.
38	237.		192.	45.				45.
39	8.		6.	2.				2.
40	260.		205.	55.				55.
41	237.		179.	58.				58.
42	8.		6.	2.				2.
43	107.		85.	22.				22.
44	744.		737.	7.				7.
45	178.		165.	13.				13.
46	46.		39.	7.				7.
47	231.		222.	9.				9.
48	84.		84.	0.				0.
49	180.		143.	37.				37.
50	193.		177.	16.				16.
51	399.		280.	119.				119.
52	270.		180.	90.				90.
53	138.		163.	-25.				-25.
54	224.		161.	63.				63.
55	149.		131.	18.				18.
56	66.		59.	7.				7.
57	289.		253.	36.				36.
58	199.		210.	-11.				-11.
59	254.		209.	45.				45.
60	170.		119.	51.				51.
61	61.		54.	7.				7.
62	170.		141.	29.				29.
63	755.		752.	3.				3.
64	41.		35.	6.				6.
65	393.		333.	60.				60.
66	135.		120.	15.				15.
67	465.		415.	50.				50.
68	443.		411.	32.				32.

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I)-(J)	(L) GAIN (LOSS)
69	375.		348.	27.				$ 27.
70	135.		124.	11.				11.
71	237.		221.	16.				16.
72	1,763.		1,889.	-126.				-126.
73	450.		319.	131.				131.
74	900.		637.	263.				263.
75	1,489.		1,081.	408.				408.
76	736.		810.	-74.				-74.
77	267.		254.	13.				13.
78	428.		423.	5.				5.
79	909.		892.	17.				17.
80	779.		925.	-146.				-146.
81	469.		555.	-86.				-86.
82	450.		400.	50.				50.
83	707.		652.	55.				55.
84	316.		297.	19.				19.
85	105.		93.	12.				12.
86	119.		106.	13.				13.
87	869.		929.	-60.				-60.
88	209.		212.	-3.				-3.
89	383.		363.	20.				20.
90	952.		847.	105.				105.
91	1,773.		1,257.	516.				516.
92	177.		126.	51.				51.
93	325.		325.	0.				0.
94	519.		505.	14.				14.
95	649.		614.	35.				35.
96	1,299.		1,003.	296.				296.
97	595.		533.	62.				62.
98	1,516.		899.	617.				617.
99	819.		816.	3.				3.
100	995.		1,106.	-111.				-111.
101	1,230.		1,098.	132.				132.
102	1,433.		1,399.	34.				34.
103	299.		276.	23.				23.
104	1,837.		1,693.	144.				144.
105	1,120.		1,104.	16.				16.
106	212.		205.	7.				7.
107	956.		1,037.	-81.				-81.
108	1,487.		1,621.	-134.				-134.
109	449.		407.	42.				42.
110	2,073.		1,882.	191.				191.
111	577.		445.	132.				132.
112	4,039.		3,413.	626.				626.
113	462.		348.	114.				114.
114	1,333.		1,381.	-48.				-48.
115	2,772.		1,737.	1,035.				1,035.
116	1,340.		1,795.	-455.				-455.
117	255.		212.	43.				43.
118	2,640.		2,214.	426.				426.
119	1,226.		1,062.	164.				164.
120	1,270.		902.	368.				368.
121	1,592.		1,282.	310.				310.

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I)-(J)	(L) GAIN (LOSS)
122	39.		31.	8.				$ 8.
123	792.		1,074.	-282.				-282.
124	1,392.		1,502.	-110.				-110.
125	1,264.		1,342.	-78.				-78.
126	1,110.		954.	156.				156.
127	1,059.		927.	132.				132.
128	493.		434.	59.				59.
129	427.		426.	1.				1.
130	1,052.		1,023.	29.				29.
131	1,224.		992.	232.				232.
132	993.		894.	99.				99.
133	561.		449.	112.				112.
134	881.		718.	163.				163.
135	481.		426.	55.				55.
136	721.		618.	103.				103.
137	961.		966.	-5.				-5.
138	1,122.		1,090.	32.				32.
139	1,180.		862.	318.				318.
140	599.		446.	153.				153.
141	2,394.		1,935.	459.				459.
142	837.		705.	132.				132.
143	666.		551.	115.				115.
144	989.		742.	247.				247.
145	1,180.		914.	266.				266.
146	2,600.		2,329.	271.				271.
147	74.		69.	5.				5.
148	3,252.		3,737.	-485.				-485.
149	465.		505.	-40.				-40.
150	1,207.		1,153.	54.				54.
151	1,021.		740.	281.				281.
152	1,257.		893.	364.				364.
153	1,335.		905.	430.				430.
154	1,100.		915.	185.				185.
155	2,108.		2,579.	-471.				-471.
156	700.		735.	-35.				-35.
157	254.		256.	-2.				-2.
158	959.		998.	-39.				-39.
159	3,065.		2,526.	539.				539.
160	289.		291.	-2.				-2.
161	1,215.		1,226.	-11.				-11.
162	1,446.		1,479.	-33.				-33.
163	578.		653.	-75.				-75.
164	926.		1,050.	-124.				-124.
165	4,983.		4,866.	117.				117.
166	613.		548.	65.				65.
167	1,103.		891.	212.				212.
168	530.		534.	-4.				-4.
169	1,392.		1,325.	67.				67.
170	642.		625.	17.				17.
171	1,314.		1,047.	267.				267.
172	1,285.		1,439.	-154.				-154.
173	2,100.		2,212.	-112.				-112.
174	589.		512.	77.				77.

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I)-(J)	(L) GAIN (LOSS)
175	1,179.		962.	217.				$ 217.
176	632.		561.	71.				71.
177	800.		555.	245.				245.
178	125.		123.	2.				2.
179	1,849.		2,173.	-324.				-324.
180	1,184.		1,180.	4.				4.
181	3,276.		2,844.	432.				432.
182	980.		1,038.	-58.				-58.
183	5,132.		4,318.	814.				814.
184	1,205.		881.	324.				324.
185	1,370.		863.	507.				507.
186	479.		339.	140.				140.
187	890.		588.	302.				302.
188	1,849.		1,366.	483.				483.
189	2,126.		2,080.	46.				46.
190	349.		275.	74.				74.
191	3,972.		3,616.	356.				356.
192	1,873.		1,890.	-17.				-17.
193	4,635.		4,121.	514.				514.
194	4,175.		3,346.	829.				829.
195	60.		59.	1.				1.
196	1,323.		1,296.	27.				27.
197	587.		588.	-1.				-1.
198	684.		677.	7.				7.
199	1,017.		998.	19.				19.
200	1,068.		1,058.	10.				10.
201	1,341.		1,400.	-59.				-59.
202	103.		107.	-4.				-4.
203	812.		833.	-21.				-21.
204	2,234.		2,144.	90.				90.
205	1,275.		1,065.	210.				210.
206	1,092.		1,151.	-59.				-59.
207	1,759.		1,391.	368.				368.
208	3,499.		2,358.	1,141.				1,141.
209	1,674.		1,180.	494.				494.
210	797.		809.	-12.				-12.
211	252.		187.	65.				65.
212	1,426.		976.	450.				450.
213	628.		627.	1.				1.
214	838.		759.	79.				79.
215	1,597.		1,459.	138.				138.
216	2,105.		1,824.	281.				281.
217	890.		972.	-82.				-82.
218	54.		58.	-4.				-4.
219	783.		810.	-27.				-27.
220	189.		193.	-4.				-4.
221	154.		152.	2.				2.
222	1,079.		1,078.	1.				1.
223	1,028.		1,030.	-2.				-2.
224	1,490.		1,543.	-53.				-53.
225	5,330.		4,757.	573.				573.
226	1,197.		1,073.	124.				124.
227	2,583.		2,524.	59.				59.

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I)-(J)	(L) GAIN (LOSS)
228	881.		747.	134.				$ 134.
229	3,065.		3,415.	-350.				-350.
230	3,155.		2,965.	190.				190.
231	982.		951.	31.				31.
232	1,961.		1,864.	97.				97.
233	1,700.		1,336.	364.				364.
234	960.		948.	12.				12.
235	3,077.		2,447.	630.				630.
236	603.		684.	-81.				-81.
237	2,101.		2,134.	-33.				-33.
238	428.		208.	220.				220.
239	33.		27.	6.				6.
240	113.		124.	-11.				-11.
241	77.		65.	12.				12.
242	77.		95.	-18.				-18.
243	83.		42.	41.				41.
244	180.		139.	41.				41.
245	34.		57.	-23.				-23.
246	75.		66.	9.				9.
247	56.		71.	-15.				-15.
248	49.		41.	8.				8.
249	103.		88.	15.				15.
250	59.		59.	0.				0.
251	233.		163.	70.				70.
252	44.		39.	5.				5.
253	52.		59.	-7.				-7.
254	92.		72.	20.				20.
255	54.		45.	9.				9.
256	50.		47.	3.				3.
257	150.		126.	24.				24.
258	32.		33.	-1.				-1.
259	25.		20.	5.				5.
260	59.		52.	7.				7.
261	49.		48.	1.				1.
262	52.		56.	-4.				-4.
263	120.		170.	-50.				-50.
264	70.		41.	29.				29.
265	132.		180.	-48.				-48.
266	79.		55.	24.				24.
267	33.		41.	-8.				-8.
268	80.		68.	12.				12.
269	29.		30.	-1.				-1.
270	50.		49.	1.				1.
271	113.		97.	16.				16.
272	103.		85.	18.				18.
273	109.		104.	5.				5.
274	108.		65.	43.				43.
275	25.		24.	1.				1.
276	33.		55.	-22.				-22.
277	55.		47.	8.				8.
278	55.		45.	10.				10.
279	25.		23.	2.				2.
280	33.		44.	-11.				-11.

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I)-(J)	(L) GAIN (LOSS)
281	33.		46.	-13.				$ -13.
282	108.		136.	-28.				-28.
283	65.		56.	9.				9.
284	43.		54.	-11.				-11.
285	49.		47.	2.				2.
286	10.		18.	-8.				-8.
287	70.		76.	-6.				-6.
288	103.		96.	7.				7.
289	84.		68.	16.				16.
290	40.		34.	6.				6.
291	102.		129.	-27.				-27.
292	160.		143.	17.				17.
293	52.		79.	-27.				-27.
294	52.		85.	-33.				-33.
295	37.		38.	-1.				-1.
296	33.		32.	1.				1.
297	31.		30.	1.				1.
298	43.		67.	-24.				-24.
299	26.		29.	-3.				-3.
300	79.		91.	-12.				-12.
301	61.		76.	-15.				-15.
302	219.		193.	26.				26.
303	220.		134.	86.				86.
304	345.		408.	-63.				-63.
305	262.		317.	-55.				-55.
306	51.		52.	-1.				-1.
307	257.		238.	19.				19.
308	435.		544.	-109.				-109.
309	249.		131.	118.				118.
310	326.		382.	-56.				-56.
311	233.		273.	-40.				-40.
312	93.		109.	-16.				-16.
313	232.		273.	-41.				-41.
314	185.		187.	-2.				-2.
315	333.		380.	-47.				-47.
316	410.		611.	-201.				-201.
317	205.		317.	-112.				-112.
318	320.		336.	-16.				-16.
319	360.		368.	-8.				-8.
320	271.		276.	-5.				-5.
321	453.		381.	72.				72.
322	195.		258.	-63.				-63.
323	58.		77.	-19.				-19.
324	80.		103.	-23.				-23.
325	199.		146.	53.				53.
326	597.		417.	180.				180.
327	477.		557.	-80.				-80.
328	93.		43.	50.				50.
329	280.		125.	155.				155.
330	500.		530.	-30.				-30.
331	519.		629.	-110.				-110.
332	284.		229.	55.				55.
333	550.		530.	20.				20.

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I)-(J)	(L) GAIN (LOSS)
334	438.		557.	-119.				$ -119.
335	207.		434.	-227.				-227.
336	501.		1,056.	-555.				-555.
337	155.		167.	-12.				-12.
338	78.		95.	-17.				-17.
339	147.		214.	-67.				-67.
340	195.		347.	-152.				-152.
341	244.		450.	-206.				-206.
342	186.		212.	-26.				-26.
343	435.		442.	-7.				-7.
344	284.		201.	83.				83.
345	95.		76.	19.				19.
346	409.		385.	24.				24.
347	621.		948.	-327.				-327.
348	163.		276.	-113.				-113.
349	185.		122.	63.				63.
350	278.		224.	54.				54.
351	619.		512.	107.				107.
352	713.		459.	254.				254.
353	340.		425.	-85.				-85.
354	235.		116.	119.				119.
355	221.		183.	38.				38.
356	111.		140.	-29.				-29.
357	111.		129.	-18.				-18.
358	337.		196.	141.				141.
359	169.		120.	49.				49.
360	145.		221.	-76.				-76.
361	194.		286.	-92.				-92.
362	310.		233.	77.				77.
363	62.		33.	29.				29.
364	482.		335.	147.				147.
365	294.		280.	14.				14.
366	342.		364.	-22.				-22.
367	151.		220.	-69.				-69.
368	686.		654.	32.				32.
369	248.		306.	-58.				-58.
370	309.		418.	-109.				-109.
371	271.		145.	126.				126.
372	1,155.		1,004.	151.				151.
373	1,926.		1,753.	173.				173.
374	1,074.		964.	110.				110.
375	1,074.		957.	117.				117.
376	1,068.		1,049.	19.				19.
377	1,078.		1,007.	71.				71.
378	3,289.		2,286.	1,003.				1,003.
379	1,108.		1,019.	89.				89.
380	3,153.		2,882.	271.				271.
381	2,155.		2,068.	87.				87.
382	948.		864.	84.				84.
383	2,190.		1,964.	226.				226.
384	1,162.		998.	164.				164.
385	1,043.		864.	179.				179.
386	1,082.		993.	89.				89.

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I)-(J)	(L) GAIN (LOSS)
387	1,043.		1,002.	41.				$ 41.
388	910.		928.	-18.				-18.
389	1,070.		1,007.	63.				63.
390	3,079.		3,023.	56.				56.
391	1,137.		1,003.	134.				134.
392	1,052.		930.	122.				122.
393	1,983.		2,042.	-59.				-59.
394	1,928.		1,918.	10.				10.
395	3,065.		3,076.	-11.				-11.
396	2,000.		2,000.	0.				0.
397	15,000.		15,000.	0.				0.
398	1,003.		999.	4.				4.
399	14,000.		14,000.	0.				0.
400	2,006.		2,001.	5.				5.
401	1,000.		1,000.	0.				0.
402	2,084.		2,002.	82.				82.
403	1,031.		1,028.	3.				3.
404	2,062.		2,083.	-21.				-21.
405	3,014.		2,979.	35.				35.
406	5,396.		5,104.	292.				292.
407	2,024.		2,000.	24.				24.
408	2,274.		2,000.	274.				274.
409	4,651.		3,999.	652.				652.
410	2,950.		3,231.	-281.				-281.
411	2,533.		2,704.	-171.				-171.
412	2,202.		2,042.	160.				160.
413	2,186.		1,864.	322.				322.
414	1,112.		1,000.	112.				112.
415	1,000.		1,000.	0.				0.
416	106,390.		69,087.	37,303.				37,303.
417	23,495.		17,290.	6,205.				6,205.
418	10,295.		7,550.	2,745.				2,745.
419	34,028.		25,126.	8,902.				8,902.
420	6,162.		4,530.	1,632.				1,632.
421	6,171.		4,530.	1,641.				1,641.
422	10,240.		7,550.	2,690.				2,690.
423	14,322.		10,570.	3,752.				3,752.
424	22,516.		16,610.	5,906.				5,906.
425	10,265.		7,550.	2,715.				2,715.
426	2,049.		1,510.	539.				539.
427	1,875.		1,374.	501.				501.
428	10,290.		7,550.	2,740.				2,740.
429	2,056.		1,510.	546.				546.
430	13,018.		8,231.	4,787.				4,787.
431	117,208.		75,390.	41,818.				41,818.
432	4,592.		2,909.	1,683.				1,683.
433	2,268.		1,454.	814.				814.
434	11,460.		7,271.	4,189.				4,189.
435	2,297.		1,454.	843.				843.
436	2,267.		1,454.	813.				813.
437	1,143.		727.	416.				416.
438	23,010.		14,543.	8,467.				8,467.
439	4,588.		2,909.	1,679.				1,679.

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I)-(J)	(L) GAIN (LOSS)
440	13,470.		12,500.	970.				$ 970.
441	26,969.		25,000.	1,969.				1,969.
442	13,460.		12,500.	960.				960.
443	2,700.		2,500.	200.				200.
444	51,280.		47,500.	3,780.				3,780.
445	24,263.		22,500.	1,763.				1,763.
446	2,693.		2,500.	193.				193.
447	13,730.		7,824.	5,906.				5,906.
448	49,481.		28,165.	21,316.				21,316.
449	32,891.		18,777.	14,114.				14,114.
450	2,744.		1,565.	1,179.				1,179.
451	10,988.		6,259.	4,729.				4,729.
452	5,490.		3,130.	2,360.				2,360.
453	2,750.		1,565.	1,185.				1,185.
454	5,484.		3,129.	2,355.				2,355.
455	27,409.		25,000.	2,409.				2,409.
456	15.		0.	15.				15.
457	15.		0.	15.				15.
458	5,292.		0.	5,292.				5,292.
459	45,930.		0.	45,930.				45,930.
							TOTAL	$ 274,532.

STATEMENT 8
FORM 990-PF, PART XV, LINE 1A
FOUNDATION MANAGERS - 2% OR MORE CONTRIBUTORS

PETER A DEA
CATHY CARPENTER DEA

STATEMENT 9
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUNDATION STATUS	PURPOSE OF GRANT	AMOUNT
COLORADO BRIGHT BEGINNINGS THRU COMM 1ST 730 COLORADO BLVD #202 DENVER, CO 80206		501C 3	PUBLIC CHARITY	$ 2,000.
COLO FOURTEENERS INITIATIVE 607 10TH ST STE 306 GOLDEN, CO 80401		501C 3	PUBLIC CHARITY	104.

STATEMENT 9 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND-ATION STATUS	PURPOSE OF GRANT	AMOUNT
CRESTED BUTTE MUSIC FEST P O BOX 2117 CRESTED BUTTE, CO 81224		501C 3	PUBLIC CHARITY	$ 10,000.
DENVER MUSEUM NATURE & SCI 2001 COLORADO BLVD DENVER, CO 80205		501C 3	PUBLIC CHARITY	160,000.
DZI FOUNDATION P O BOX 632 RIDGWAY, CO 81432		501C 3	PUBLIC CHARITY	1,000.
NTL WESTERN STOCK SHOW 4655 HUMBOLDT ST DENVER, CO 80216		501C 3	PUBLIC CHARITY	10,000.
DUMB FRIENDS LEAGUE 2080 S QUEBEC ST DENVER, CO 80231		501C 3	PUBLIC CHARITY	250.
FOOD BANK OF ROCKIES-THRU COMM FIRST FDN 10700 E 45TH AVE DENVER, CO 80239		501C 3	PUBLIC CHARITY	5,000.
ROUND UP RIDERS OF ROCKIES 1720 S BELLAIRE #300 DENVER, CO 80222		501C 3	PUBLIC CHARITY	2,000.
VOLUNTEERS FOR OUTDOOR CO-THRU COMM 1ST 600 S MARION PARKWAY DENVER, CO 80209		501C 3	PUBLIC CHARITY	3,500.
DENVER PUBLIC SCHOOLS FDN-THRU COMM 1ST 900 GRANT ST #503 DENVER, CO 80203		501C 3	PUBLIC CHARITY	1,000.
MISS RODEO AMERICA SCHOLARSHIP FDN 10436 CARRIAGE CLUB DR LONE TREE, CO 80124		501C 3	PUBLIC CHARITY	1,000.
UNIVERSITY OF COLORADO FDN 4740 WALNUT ST BOULDER, CO 80301		501C 3	PUBLIC CHARITY	1,000.
WINGS OVER THE ROCKIES 7711 E ACADEMY BLVD DENVER, CO 80230		501C 3	PUBLIC CHARITY	3,000.

**STATEMENT 9 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR**

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND-ATION STATUS	PURPOSE OF GRANT	AMOUNT
CRESTED BUTTE LAND TRUST P O BOX 2224 CRESTED BUTTE, CO 81224		501C 3	PUBLIC CHARITY	$ 500.
COLORADO STATE UNIV FDN 601 HOWES ST FORT COLLINS, CO 80523		501C 3	PUBLIC CHARITY	30,000.
COMM FDN OF GUNNISON VALLEY P O BOX 7057 GUNNISON, CO 81230		501C 3	PUBLIC CHARITY	1,000.
CHILDREN'S HOSPITAL FDN 13123 E 16TH AVE AURORA, CO 80045		501C 3	PUBLIC CHARITY	5,000.
AFRICAID-THRU COMM FIRST 25958 GENESEE TRAIL RD GOLDEN, CO 80401		501C 3	PUBLIC CHARITY	3,000.
NATURE CONSERVANCY 4245 N FAIRFAX DR STE 100 ARLINGTON, VA 22203		501C 3	PUBLIC CHARITY	250.
ENERGY OUTREACH COLORADO 225 E 16TH AVE #200 DENVER, CO 80203		501C 3	PUBLIC CHARITY	500.
DENVER SCHOLARSHIP FDN 303 E 17TH AVE #200 DENVER, CO 80203		501C 3	PUBLIC CHARITY	5,000.
CENTRAL ASIA INSTITUTE P O BOX 7209 BOZEMAN, MT 59771		501C 3	PUBLIC CHARITY	100.
DENVER ART MUSEUM-THRU COMM FIRST FDN 100 W 14TH AVE DENVER, CO 80204		501C 3	PUBLIC CHARITY	1,000.
PARADISE ANIMAL WELFARE SOC P O BOX 531 CRESTED BUTTE, CO 81224		501C 3	PUBLIC CHARITY	500.
DENVER STREET SCHOOL-THRU COMM FIRST P O BOX 1400609 DENVER, CO 80214		501C 3	PUBLIC CHARITY	500.

STATEMENT 9 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND-ATION STATUS	PURPOSE OF GRANT	AMOUNT
TRUST FOR PUBLIC LAND 101 MONTGOMERY ST STE 900 SAN FRANCISCO, CA 94104		501C 3	PUBLIC CHARITY	$ 6,000.
ADAPTIVE SPORT CENTER OF GUNNISON 10 CRESTED BUTTE WAY MT CRESTED BUTTE, CO 81225		501C 3	PUBLIC CHARITY	5,000.
ASPEN COMMUNITY FOUNDATION 110 E HALLAM ST STE 126 ASPEN, CO 81611		501C 3	PUBLIC CHARITY	25,750.
DENVER MUSEUM NATURE/SCI-THRU COMM FIRST 2001 COLORADO BLVD DENVER, CO 80205		501C 3	PUBLIC CHARITY	55,000.
ENCANA CARES FOUNDATION 370 17TH ST STE 1700 DENVER, CO 80202		501C 3	PUBLIC CHARITY	25,000.
TEACH FOR AMERICA 1391 SPEER BLVD STE 710 DENVER, CO 80204		501C 3	PUBLIC CHARITY	2,500.
			TOTAL	$ 366,454.