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**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

2010

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2010, or tax year beginning **DEC 1, 2010**, and ending **NOV 30, 2011**

G Check all that apply: Initial return Initial return of a former public charity Final return
 Amended return Address change Name change

Name of foundation SWBC FOUNDATION		A Employer identification number 20-0511684
Number and street (or P O box number if mail is not delivered to street address) 9311 SAN PEDRO AVE	Room/suite 600	B Telephone number 2103217213
City or town, state, and ZIP code SAN ANTONIO, TX 78216		C If exemption application is pending, check here <input type="checkbox"/>
H Check type of organization: <input type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input checked="" type="checkbox"/> Other taxable private foundation		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 12,977,104. (Part I, column (d) must be on cash basis.)	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))</small>	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received	0.		N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	392,193.	392,193.		STATEMENT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	494,259.			
b Gross sales price for all assets on line 6a 10,796,607.				
7 Capital gain net income (from Part IV, line 2)		494,259.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income	111.	111.		STATEMENT 2
12 Total. Add lines 1 through 11	886,563.	886,563.		
13 Compensation of officers, directors, trustees, etc	0.	0.		0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees				
b Accounting fees				
c Other professional fees				
17 Interest				
18 Taxes STMT 3	48,745.	904.		0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses STMT 4	96,965.	95,099.		1,866.
24 Total operating and administrative expenses. Add lines 13 through 23	145,710.	96,003.		1,866.
25 Contributions, gifts, grants paid	763,000.			763,000.
26 Total expenses and disbursements. Add lines 24 and 25	908,710.	96,003.		764,866.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-22,147.			
b Net investment income (if negative, enter -0-)		790,560.		
c Adjusted net income (if negative, enter -0-)			N/A	

SCANNED OCT 25 2012

Revenue
Operating and Administrative Expenses

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OCT 22 2012

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Part II Balance Sheets <small>Attached schedules and amounts in the description column should be for end-of-year amounts only</small>		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	-66,920.	399.	399.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 5	10,657,948.	10,615,736.	11,173,131.
	c Investments - corporate bonds STMT 6	1,792,776.	1,745,436.	1,803,574.
	11 Investments - land, buildings, and equipment basis ▶ Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
14 Land, buildings, and equipment basis ▶ Less: accumulated depreciation ▶				
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers)	12,383,804.	12,361,571.	12,977,104.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ _____)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	12,383,804.	12,361,571.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances	12,383,804.	12,361,571.		
31 Total liabilities and net assets/fund balances	12,383,804.	12,361,571.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	12,383,804.
2 Enter amount from Part I, line 27a	2	-22,147.
3 Other increases not included in line 2 (itemize) ▶ _____	3	0.
4 Add lines 1, 2, and 3	4	12,361,657.
5 Decreases not included in line 2 (itemize) ▶ _____	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	12,361,657.

Part IV Capital Gains and Losses for Tax on Investment Income

Table with 4 columns: (a) List and describe the kind(s) of property sold, (b) How acquired, (c) Date acquired, (d) Date sold. Row 1: SEE ATTACHED STATEMENTS.

Table with 4 columns: (e) Gross sales price, (f) Depreciation allowed, (g) Cost or other basis plus expense of sale, (h) Gain or (loss) plus (f) minus (g). Row 1: 10,796,607, 10,302,348, 494,259.

Table with 4 columns: (i) F.M.V. as of 12/31/69, (j) Adjusted basis as of 12/31/69, (k) Excess of col. (i) over col. (j), (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)). Row 1: 494,259.

Summary rows for Capital gain net income or (net capital loss) and Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). Values: 494,259 and N/A.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? [X] Yes [] No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

Table with 4 columns: (a) Base period years, (b) Adjusted qualifying distributions, (c) Net value of noncharitable-use assets, (d) Distribution ratio. Rows for years 2009, 2008, 2007, 2006, 2005.

Summary rows for Part V: 2 Total of line 1, column (d); 3 Average distribution ratio; 4 Enter the net value of noncharitable-use assets for 2010; 5 Multiply line 4 by line 3; 6 Enter 1% of net investment income; 7 Add lines 5 and 6; 8 Enter qualifying distributions from Part XII, line 4.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows for excise tax calculations. Includes sub-tables for credits/payments (6a-6d). Total tax due is 4,444.

Part VII-A Statements Regarding Activities

Table with 10 rows of activity statements and Yes/No columns. Includes questions about political campaigns, unrelated business income, and substantial contributors.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)
12 Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.SWBC.COM
14 The books are in care of LISA WILSON Telephone no. 2103217213 Located at 9311 SAN PEDRO, SUITE 600, SAN ANTONIO, TX ZIP+4 78216-9459
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A
16 At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? Yes No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No X
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No X
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No X
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No X
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No X
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) Yes No X
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010? 1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? Yes No X
If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) 2b X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes No X
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.) N/A 3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010? 4b X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? Yes No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945-5(d). N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

5b		
6b		X
7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CHARLES E AMATO 9311 SAN PEDRO, SUITE 600 SAN ANTONIO, TX 78216	DIRECTOR 1.00	0.	0.	0.
GARY L DUDLEY 9311 SAN PEDRO, SUITE 600 SAN ANTONIO, TX 78216	DIRECTOR 1.00	0.	0.	0.
LISA W WILSON 9311 SAN PEDRO, SUITE 600 SAN ANTONIO, TX 78216	DIRECTOR 10.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
All other program-related investments. See instructions.	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities	1a	13,854,415.
b	Average of monthly cash balances	1b	147,312.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	14,001,727.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	14,001,727.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	210,026.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	13,791,701.
6	Minimum investment return. Enter 5% of line 5	6	689,585.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	689,585.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	15,811.
b	Income tax for 2010. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	15,811.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	673,774.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	673,774.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	673,774.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:			
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	764,866.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	764,866.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	764,866.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				673,774.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			621,121.	
b Total for prior years: 2008 , _____ , _____		138,613.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005				
b From 2006				
c From 2007				
d From 2008				
e From 2009				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2010 from Part XII, line 4: ▶ \$ 764,866.				
a Applied to 2009, but not more than line 2a			621,121.	
b Applied to undistributed income of prior years (Election required - see instructions)		138,613.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				5,132.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				668,642.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008				
d Excess from 2009				
e Excess from 2010				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling

12/19/03

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year		Prior 3 years		(e) Total
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

LISA WILSON, (210) 321-7213
9311 SAN PEDRO, SUITE 600, SAN ANTONIO, TX 78216

b The form in which applications should be submitted and information and materials they should include:

APPLICATION FORMS AND COPY OF ORGANIZATION'S EXEMPTION LETTER FROM IRS.

c Any submission deadlines:

NONE.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NONE.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE STATEMENT 8				
Total			▶ 3a	763,000.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Table with 5 columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Rows include Program service revenue, Membership dues, Interest on savings, Dividends, Net rental income, Other investment income, Gain or loss from sales, and Subtotal.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No., Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code... a Transfers from the reporting foundation to a noncharitable exempt organization of: (1) Cash (2) Other assets b Other transactions: (1) Sales of assets to a noncharitable exempt organization (2) Purchases of assets from a noncharitable exempt organization (3) Rental of facilities, equipment, or other assets (4) Reimbursement arrangements (5) Loans or loan guarantees (6) Performance of services or membership or fundraising solicitations c Sharing of facilities, equipment, mailing lists, other assets, or paid employees d If the answer to any of the above is "Yes," complete the following schedule...

Table with 4 columns: (a) Line no, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements. All entries are N/A.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? [] Yes [X] No

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship. All entries are N/A.

Sign Here: Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge. Signature of officer or trustee: [Signature], Date: 10.15.2012, Title: DIRECTOR. Paid Preparer Use Only: Firm's name: SOUTHWEST BUSINESS CORPORATION, Firm's address: 9311 SAN PEDRO AVE, SUITE 600 SAN ANTONIO, TX 78216, Phone no.: 2103217213.

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2010

Name of the organization

SWBC FOUNDATION

Employer identification number

20-0511684

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust not treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2010)

Name of organization SWBC FOUNDATION	Employer identification number 20-0511684
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Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	SOUTHWEST BUSINESS CORPORATION 9311 SAN PEDRO AVE, SUITE 600 SAN ANTONIO, TX 78216	\$ _____	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
	_____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
	_____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
	_____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
	_____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
	_____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
	_____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

Name of organization

Employer identification number

SWBC FOUNDATION

20-0511684

Part II Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	_____ _____ _____	\$ _____	_____
	_____ _____ _____	\$ _____	_____
	_____ _____ _____	\$ _____	_____
	_____ _____ _____	\$ _____	_____
	_____ _____ _____	\$ _____	_____
	_____ _____ _____	\$ _____	_____
	_____ _____ _____	\$ _____	_____
	_____ _____ _____	\$ _____	_____

Name of organization

Employer identification number

SWBC FOUNDATION

20-0511684

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee

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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee

--	--	--

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee

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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee

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SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a BARD CR INC COM	P		11/08/11
b GREEN MTN COFFEE ROASTERS INC	P		11/17/11
c CAMBIAR SMALL CAP FUND INSTL	P		10/04/11
d ISHARES S&P MIDCAP 400 GROWTH	P		10/05/11
e IVY HIGH INCOME FUND	P		10/05/11
f TEMPLETON GLOBAL BOND FUND	P		09/16/11
g LAZARD EMERGING MARKETS	P		09/30/11
h ILLINOIS TOOL WORKS INC	P		08/02/11
i HARMAN INTL	P		08/29/11
j URBAN OUTFITTERS	P		08/31/11
k LAZARD EMERGING MARKETS	P		07/21/11
l T ROWE PRICE MID-CAP	P		06/08/11
m VANGUARD SHORT TERM INV GRADE	P		06/08/11
n CAMBIAR SMALL CAP FUND INSTL	P		06/24/11
o ALLERGHAN INC	P		06/28/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 14,758.		13,770.	988.
b 14,374.		9,552.	4,822.
c 312,340.		326,167.	-13,827.
d 67,172.		75,581.	-8,409.
e 114,256.		118,802.	-4,546.
f 118,992.		124,910.	-5,918.
g 233,338.		268,761.	-35,423.
h 17,210.		15,367.	1,843.
i 15,640.		14,007.	1,633.
j 12,310.		14,576.	-2,266.
k 153,775.		98,843.	54,932.
l 552,801.		465,117.	87,684.
m 500,529.		498,677.	1,852.
n 18,861.		14,703.	4,158.
o 14,955.		11,610.	3,345.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			988.
b			4,822.
c			-13,827.
d			-8,409.
e			-4,546.
f			-5,918.
g			-35,423.
h			1,843.
i			1,633.
j			-2,266.
k			54,932.
l			87,684.
m			1,852.
n			4,158.
o			3,345.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a AMAZON.COM	P		06/28/11
b ANADARKO PETROLEUM	P		06/28/11
c APPLE INC	P		06/28/11
d BAIDU INC	P		06/28/11
e BARD CR INC COM	P		06/28/11
f BORGWARNER	P		06/28/11
g CATERPILLAR	P		06/28/11
h CELGENE CORP	P		06/28/11
i CHEVRON CORP	P		06/28/11
j COCA COLA	P		06/28/11
k COGNIZANT TECH SOLUTIONS	P		06/28/11
l COSTCO WHOLESALE	P		06/28/11
m CUMMINS INC	P		06/28/11
n DEERE & CO	P		06/28/11
o DIRECTV	P		06/28/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,725.		2,932.	1,793.
b 2,824.		2,454.	370.
c 19,391.		3,692.	15,699.
d 3,137.		2,754.	383.
e 2,680.		1,799.	881.
f 9,951.		4,426.	5,525.
g 9,729.		7,240.	2,489.
h 2,946.		2,859.	87.
i 4,878.		3,944.	934.
j 2,945.		2,195.	750.
k 19,880.		9,161.	10,719.
l 3,200.		2,296.	904.
m 4,709.		1,571.	3,138.
n 3,188.		1,851.	1,337.
o 4,948.		3,806.	1,142.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,793.
b			370.
c			15,699.
d			383.
e			881.
f			5,525.
g			2,489.
h			87.
i			934.
j			750.
k			10,719.
l			904.
m			3,138.
n			1,337.
o			1,142.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a E M C CORP	P		06/28/11
b EMERSON ELECTRIC	P		06/28/11
c EXXON MOBIL CORP	P		06/28/11
d FORTINET	P		06/28/11
e GENERAL DYNAMICS	P		06/28/11
f GREEN MTN COFFEE ROASTERS INC	P		06/28/11
g HARMAN INTL	P		06/28/11
h ILLINOIS TOOL WORKS INC	P		06/28/11
i INTEL CORP	P		06/28/11
j INTL BUSINESS MACHINES	P		06/28/11
k INVESCO LTD	P		06/28/11
l METLIFE INC	P		06/28/11
m O'REILLY AUTOMOTIVE	P		06/28/11
n ORACLE CORP	P		06/28/11
o PEPSICO	P		06/28/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,944.		2,310.	2,634.
b 2,903.		1,927.	976.
c 3,106.		2,752.	354.
d 14,884.		6,148.	8,736.
e 2,904.		3,565.	-661.
f 24,981.		8,366.	16,615.
g 5,012.		3,502.	1,510.
h 2,995.		2,270.	725.
i 2,003.		1,486.	517.
j 8,231.		6,523.	1,708.
k 2,920.		2,674.	246.
l 2,845.		2,874.	-29.
m 5,128.		1,981.	3,147.
n 7,885.		3,213.	4,672.
o 3,070.		2,357.	713.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			2,634.
b			976.
c			354.
d			8,736.
e			-661.
f			16,615.
g			1,510.
h			725.
i			517.
j			1,708.
k			246.
l			-29.
m			3,147.
n			4,672.
o			713.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PHILIP MORRIS	P		06/28/11
b PRAXAIR	P		06/28/11
c PRICELINE.COM	P		06/28/11
d ROCKWELL AUTOMATION	P		06/28/11
e SANDISK	P		06/28/11
f SCHLUMBERGER LTD	P		06/28/11
g ST JUDE MEDICAL	P		06/28/11
h STARBUCKS	P		06/28/11
i SUNCOR ENERGY	P		06/28/11
j UNION PACIFIC	P		06/28/11
k SOUTHWEST AIRLINES	P		04/08/11
l HEWLETT PACKARD	P		03/01/11
m WALMART STORES	P		03/03/11
n WEATHERFORD INTERNATIONAL	P		03/10/11
o LT CAP GAINS DIST - VANGUARD SHORT TERM	P		03/23/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,941.		3,117.	1,824.
b 3,066.		2,258.	808.
c 23,800.		4,044.	19,756.
d 4,798.		2,919.	1,879.
e 9,715.		11,426.	-1,711.
f 4,847.		6,206.	-1,359.
g 3,037.		2,452.	585.
h 5,010.		1,542.	3,468.
i 2,985.		3,017.	-32.
j 5,056.		3,182.	1,874.
k 17,318.		14,798.	2,520.
l 18,109.		20,396.	-2,287.
m 14,610.		14,894.	-284.
n 11,273.		9,291.	1,982.
o 2,496.			2,496.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,824.
b			808.
c			19,756.
d			1,879.
e			-1,711.
f			-1,359.
g			585.
h			3,468.
i			-32.
j			1,874.
k			2,520.
l			-2,287.
m			-284.
n			1,982.
o			2,496.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a CISCO SYSTEM	P		02/16/11
b MERCK & CO	P		01/20/11
c TEMPLETON GLOBAL BOND FUND	P		12/01/10
d PIMCO TOTAL RETURN	P		12/09/10
e LT CAP GAINS DIST - PIMCO TOTAL RETURN	P		12/09/10
f LT CAP GAINS DIST - IVY HIGH INCOME FUND	P		12/10/10
g INVESCO STIC LIQUID ASSET	P		12/16/10
h INVESCO STIC LIQUID ASSET	P		12/17/10
i LT CAP GAINS DIST - VANGUARD SHORT TERM	P		12/31/10
j ASTON MONTAG	P		10/11/11
k COLUMBIA ACORN	P		10/11/11
l COLUMBIA MARSICO INTERNATIONAL	P		10/11/11
m COLUMBIA DIVIDEND INCOME FUND	P		10/11/11
n COLUMBIA SMALL CAP VALUE	P		10/11/11
o COLUMBIA SMALL CAP GROWTH	P		10/11/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 18,646.		24,469.	-5,823.
b 19,969.		21,828.	-1,859.
c 187,430.		174,630.	12,800.
d 880,736.		892,562.	-11,826.
e 13,798.			13,798.
f 1,891.			1,891.
g 1,000,000.		1,000,000.	0.
h 2,000,000.		2,000,000.	0.
i 516.			516.
j 729,204.		626,213.	102,991.
k 158,396.		134,652.	23,744.
l 141,639.		151,554.	-9,915.
m 205,720.		186,206.	19,514.
n 83,215.		63,595.	19,620.
o 101,036.		70,641.	30,395.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-5,823.
b			-1,859.
c			12,800.
d			-11,826.
e			13,798.
f			1,891.
g			0.
h			0.
i			516.
j			102,991.
k			23,744.
l			-9,915.
m			19,514.
n			19,620.
o			30,395.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PIMCO COMMODITY REALRETURN	P		10/11/11
b THORNBURG INTL VALUE FUND	P		10/11/11
c ISHARES INC MSCI AUSTRALIA	P		10/11/11
d ISHARES INC MSCI CDA INDEX	P		10/13/11
e ISHARES MSCI PACIFIC EX-JAPAN	P		10/13/11
f ISHARES MSCI SINGAPORE	P		10/13/11
g ISHARES MSCI SOUTH KOREA	P		10/13/11
h ISHARES FTSE CHINA	P		10/13/11
i ISHARES TR S&P NORTH AMERICA NATURAL RESOURCES	P		10/13/11
j ISHARES RUSSELL MIDCAP	P		10/13/11
k ISHARES IBOXX \$ HIGH YIELD	P		10/13/11
l ISHARES BARCLAYS 1-3 YR BD FD	P		10/13/11
m POWERSHARES GLOBAL WATER	P		10/13/11
n JP MORGAN US LARGE CAP	P		10/13/11
o DELAWARE EMERGING MKTS	P		08/25/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 57,838.		72,145.	-14,307.
b 29,063.		32,146.	-3,083.
c 21,704.		23,502.	-1,798.
d 17,909.		18,158.	-249.
e 43,326.		44,229.	-903.
f 26,530.		27,165.	-635.
g 22,305.		22,116.	189.
h 20,985.		27,218.	-6,233.
i 9,073.		8,148.	925.
j 139,527.		105,911.	33,616.
k 188,243.		194,425.	-6,182.
l 359,396.		361,364.	-1,968.
m 29,591.		29,771.	-180.
n 100,000.		90,452.	9,548.
o 68,145.		84,869.	-16,724.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-14,307.
b			-3,083.
c			-1,798.
d			-249.
e			-903.
f			-635.
g			189.
h			-6,233.
i			925.
j			33,616.
k			-6,182.
l			-1,968.
m			-180.
n			9,548.
o			-16,724.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a VANGUARD MSCI EMERGING MKTS	P		08/29/11
b ISHARES SILVER	P		04/01/11
c ISHARES MSCI BRAZIL FREE	P		04/01/11
d ISHARES MSCI BRAZIL FREE	P		04/01/11
e ISHARES MSCI SOUTH KOREA	P		04/01/11
f ISHARES FTSE CHINA	P		04/01/11
g ISHARES TR S&P NORTH AMERICA NATURAL RESOURCES	P		04/01/11
h ISHARES RUSSELL MIDCAP	P		04/01/11
i MARKET VECTOR AGRIBUSINESS	P		04/01/11
j POWERSHARES GLOBAL WATER	P		04/01/11
k SPDR GOLD	P		04/01/11
l VANGUARD SHORT TERM INV GRADE	P		04/01/11
m VANGUARD MSCI EMERGING MKTS	P		04/01/11
n COLUMBIA ACORN	P		03/30/11
o COLUMBIA SMALL CAP VALUE	P		03/30/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 52,486.		50,334.	2,152.
b 78,129.		38,347.	39,782.
c 22,404.		21,338.	1,066.
d 1,955.		1,849.	106.
e 40,412.		33,515.	6,897.
f 19,719.		19,817.	-98.
g 48,047.		36,154.	11,893.
h 3,716.		2,958.	758.
i 45,857.		34,515.	11,342.
j 34,294.		35,489.	-1,195.
k 32,157.		26,675.	5,482.
l 440,006.		445,684.	-5,678.
m 133,269.		118,034.	15,235.
n 12,750.		10,870.	1,880.
o 4,000.		2,881.	1,119.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			2,152.
b			39,782.
c			1,066.
d			106.
e			6,897.
f			-98.
g			11,893.
h			758.
i			11,342.
j			-1,195.
k			5,482.
l			-5,678.
m			15,235.
n			1,880.
o			1,119.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a COLUMBIA SMALL CAP GROWTH	P		03/30/11
b MORGAN STANLEY	P		04/25/11
c LT CAP GAINS DIST - ISHARES BARCLAYS 1-3 YR BD FD	P		12/07/10
d NEW YORK CMTY BANCORP	P		07/28/11
e CINN FINCL CRP OHIO	P		06/03/11
f PPG INDUSTRIES	P		04/08/11
g EXXON MOBIL CORP	P		01/19/11
h HUDSON CITY BANCORP	P		01/24/11
i MARATHON OIL CORP	P		01/18/11
j RIVERBED TECHNOLOGY	P		03/18/11
k LULULEMON ATHLETICA	P		03/21/11
l ANSYS INC	P		03/22/11
m CAPELLA EDUCATION COMPANY	P		03/22/11
n RIVERBED TECHNOLOGY	P		03/22/11
o TRACTOR SUPPLY	P		03/22/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 16,800.		10,915.	5,885.
b 50,000.		49,505.	495.
c 55.			55.
d 7,394.		10,143.	-2,749.
e 9,688.		10,493.	-805.
f 13,209.		11,304.	1,905.
g 10,802.		9,979.	823.
h 16,312.		18,414.	-2,102.
i 11,759.		9,697.	2,062.
j 376.		405.	-29.
k 767.		759.	8.
l 1,067.		1,081.	-14.
m 762.		840.	-78.
n 184.		203.	-19.
o 545.		500.	45.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			5,885.
b			495.
c			55.
d			-2,749.
e			-805.
f			1,905.
g			823.
h			-2,102.
i			2,062.
j			-29.
k			8.
l			-14.
m			-78.
n			-19.
o			45.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a TUPPERWARE BRANDS	P		03/22/11
b UNITED THERAPEUTICS	P		03/22/11
c WADDELL & REED	P		03/22/11
d RIVERBED TECHNOLOGY	P		04/04/11
e STIFEL FINANCIAL STOCK SPLIT	P		04/06/11
f GULFPORT ENERGY	P		04/14/11
g WADDELL & REED	P		04/15/11
h BLACKBOARD INC	P		04/19/11
i HMS HLDGS	P		04/28/11
j EPICOR SOFTWARE	P		05/03/11
k LULULEMON ATHLETICA	P		05/11/11
l RITCHIE BROS AUCTIONEERS	P		05/11/11
m ANSYS INC	P		06/01/11
n II-VI INC	P		06/07/11
o LULULEMON ATHLETICA	P		06/21/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 287.		265.	22.
b 325.		336.	-11.
c 780.		801.	-21.
d 861.		1,013.	-152.
e 23.			23.
f 649.		582.	67.
g 401.		400.	1.
h 722.		515.	207.
i 1,206.		1,107.	99.
j 748.		604.	144.
k 483.		380.	103.
l 284.		259.	25.
m 839.		811.	28.
n 511.		478.	33.
o 1,029.		759.	270.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			22.
b			-11.
c			-21.
d			-152.
e			23.
f			67.
g			1.
h			207.
i			99.
j			144.
k			103.
l			25.
m			28.
n			33.
o			270.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a DUFF & PHELPS	P		06/30/11
b DUFF & PHELPS	P		07/05/11
c AMERON INTERNATIONAL	P		07/12/11
d MERIDIAN BIOSCIENCE	P		07/21/11
e AMERON INTERNATIONAL	P		09/15/11
f AMERON INTERNATIONAL	P		09/15/11
g HITTITE MICROWAVE	P		09/23/11
h BLACKBOARD INC MERGER DISTRIBUTION	P		10/05/11
i DRIL-QUIP	P		10/21/11
j PRIVATEBANCORP	P		10/21/11
k PRIVATEBANCORP	P		10/21/11
l BAXTER INTERNATIONAL	P		02/07/11
m BAXTER INTERNATIONAL	P		02/08/11
n CISCO SYSTEM	P		02/11/11
o CME GROUP	P		02/15/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 322.		415.	-93.
b 193.		249.	-56.
c 427.		344.	83.
d 675.		633.	42.
e 424.		308.	116.
f 424.		344.	80.
g 258.		308.	-50.
h 675.		515.	160.
i 632.		794.	-162.
j 223.		403.	-180.
k 402.		639.	-237.
l 1,858.		1,882.	-24.
m 147.		149.	-2.
n 3,007.		3,443.	-436.
o 875.		931.	-56.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-93.
b			-56.
c			83.
d			42.
e			116.
f			80.
g			-50.
h			160.
i			-162.
j			-180.
k			-237.
l			-24.
m			-2.
n			-436.
o			-56.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a BROADCOM CORP	P		02/24/11
b PROCTER & GAMBLE	P		02/25/11
c BROADCOM CORP	P		03/11/11
d SOUTHWESTERN ENERGY	P		03/21/11
e MASTERCARD	P		03/24/11
f ST JUDE MEDICAL	P		04/14/11
g MICROSOFT	P		04/29/11
h MICROSOFT	P		04/29/11
i GILEAD SCIENCES	P		06/16/11
j SCHLUMBERGER LTD	P		06/16/11
k COSTCO WHOLESALE	P		06/29/11
l OCCIDENTAL PETROLEUM	P		07/12/11
m SOUTHWESTERN ENERGY	P		07/18/11
n CHARLES SCHWAB	P		07/19/11
o CHARLES SCHWAB	P		07/19/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 652.		740.	-88.
b 503.		512.	-9.
c 1,006.		1,156.	-150.
d 1,043.		981.	62.
e 739.		718.	21.
f 987.		795.	192.
g 564.		564.	0.
h 1,769.		1,992.	-223.
i 356.		351.	5.
j 578.		606.	-28.
k 1,042.		948.	94.
l 718.		673.	45.
m 566.		471.	95.
n 420.		511.	-91.
o 1,321.		1,583.	-262.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-88.
b			-9.
c			-150.
d			62.
e			21.
f			192.
g			0.
h			-223.
i			5.
j			-28.
k			94.
l			45.
m			95.
n			-91.
o			-262.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PEPSICO	P		07/28/11
b MASTERCARD	P		08/03/11
c STAPLES	P		08/08/11
d STAPLES	P		08/10/11
e STAPLES	P		08/18/11
f SOUTHWESTERN ENERGY	P		08/19/11
g STAPLES	P		09/02/11
h JOHNSON & JOHNSON	P		09/14/11
i JOHNSON & JOHNSON	P		09/15/11
j OCCIDENTAL PETROLEUM	P		09/20/11
k OCCIDENTAL PETROLEUM	P		09/20/11
l STAPLES	P		09/23/11
m STAPLES	P		09/23/11
n STAPLES	P		10/12/11
o STAPLES	P		10/12/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,414.		1,445.	-31.
b 654.		478.	176.
c 333.		571.	-238.
d 337.		640.	-303.
e 583.		982.	-399.
f 399.		474.	-75.
g 622.		1,028.	-406.
h 569.		548.	21.
i 579.		548.	31.
j 328.		409.	-81.
k 738.		865.	-127.
l 105.		183.	-78.
m 197.		315.	-118.
n 44.		63.	-19.
o 349.		490.	-141.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-31.
b			176.
c			-238.
d			-303.
e			-399.
f			-75.
g			-406.
h			21.
i			31.
j			-81.
k			-127.
l			-78.
m			-118.
n			-19.
o			-141.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a STAPLES	P		10/12/11
b THERMO FISHER SCIENTIFIC	P		10/26/11
c AVON	P		10/27/11
d AVON	P		10/28/11
e XLINX	P		11/04/11
f GILEAD SCIENCES	P		11/21/11
g GILEAD SCIENCES	P		11/21/11
h MARRIOTT INTERNATIONAL STOCK SPINOFF	P		11/22/11
i MARRIOTT VACATIONS WORLDWIDE	P		11/29/11
j MARRIOTT VACATIONS WORLDWIDE	P		11/29/11
k MARRIOTT VACATIONS WORLDWIDE	P		11/29/11
l MARRIOTT VACATIONS WORLDWIDE	P		11/29/11
m MARRIOTT VACATIONS WORLDWIDE	P		11/29/11
n ARCHER DANIELS MIDLAND	P		02/02/11
o OCCIDENTAL PETROLEUM	P		02/04/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 742.		1,028.	-286.
b 775.		925.	-150.
c 132.		201.	-69.
d 301.		460.	-159.
e 937.		955.	-18.
f 320.		376.	-56.
g 818.		897.	-79.
h 7.			7.
i 16.		22.	-6.
j 16.		19.	-3.
k 32.		35.	-3.
l 32.		36.	-4.
m 65.		77.	-12.
n 1,692.		1,597.	95.
o 587.		577.	10.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-286.
b			-150.
c			-69.
d			-159.
e			-18.
f			-56.
g			-79.
h			7.
i			-6.
j			-3.
k			-3.
l			-4.
m			-12.
n			95.
o			10.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a BAXTER INTERNATIONAL	P		02/08/11
b BIOGEN IDEC	P		02/23/11
c OCCIDENTAL PETROLEUM	P		02/24/11
d WEATHERFORD INTERNATIONAL	P		03/02/11
e WEATHERFORD INTERNATIONAL	P		03/02/11
f CLIFFS NATURAL RESOURCES	P		03/11/11
g AFLAC INCORP	P		03/18/11
h CBS CORP	P		03/25/11
i EMC CORP	P		03/30/11
j NEWFIELD EXPLORATION	P		03/30/11
k SLM CORP	P		03/30/11
l BIOGEN IDEC	P		04/01/11
m BIOGEN IDEC	P		04/04/11
n BIOGEN IDEC	P		04/04/11
o ENTERGY	P		04/11/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,665.		1,684.	-19.
b 741.		741.	0.
c 728.		673.	55.
d 405.		486.	-81.
e 1,539.		1,762.	-223.
f 521.		515.	6.
g 1,680.		1,937.	-257.
h 720.		594.	126.
i 647.		599.	48.
j 918.		832.	86.
k 836.		780.	56.
l 1,313.		1,212.	101.
m 293.		269.	24.
n 511.		496.	15.
o 981.		1,087.	-106.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-19.
b			0.
c			55.
d			-81.
e			-223.
f			6.
g			-257.
h			126.
i			48.
j			86.
k			56.
l			101.
m			24.
n			15.
o			-106.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a ENTERGY	P		04/12/11
b ENTERGY	P		04/12/11
c HONEYWELL	P		04/21/11
d EMC CORP	P		04/27/11
e THE BANK OF NY MELLON CORP	P		04/28/11
f THE BANK OF NY MELLON CORP	P		04/28/11
g CBS CORP	P		05/04/11
h DOW CHEMICAL	P		05/04/11
i HESS CORP	P		05/04/11
j HESS CORP	P		05/04/11
k NEWFIELD EXPLORATION	P		05/04/11
l CBS CORP	P		07/06/11
m CBS CORP	P		07/06/11
n COMCAST	P		07/18/11
o COMCAST	P		07/18/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 458.		507.	-49.
b 458.		517.	-59.
c 840.		780.	60.
d 534.		474.	60.
e 400.		412.	-12.
f 1,400.		1,551.	-151.
g 892.		696.	196.
h 676.		610.	66.
i 464.		510.	-46.
j 1,392.		1,473.	-81.
k 594.		624.	-30.
l 653.		557.	96.
m 1,333.		962.	371.
n 713.		742.	-29.
o 2,401.		2,380.	21.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-49.
b			-59.
c			60.
d			60.
e			-12.
f			-151.
g			196.
h			66.
i			-46.
j			-81.
k			-30.
l			96.
m			371.
n			-29.
o			21.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a DISH NETWORK	P		07/22/11
b DOW CHEMICAL	P		08/09/11
c DOW CHEMICAL	P		08/09/11
d PEPSI	P		08/16/11
e NEWFIELD EXPLORATION	P		08/23/11
f NEWFIELD EXPLORATION	P		08/23/11
g CVS CAREMARK	P		09/14/11
h CLIFFS NATURAL RESOURCES	P		09/21/11
i CLIFFS NATURAL RESOURCES	P		09/29/11
j CLIFFS NATURAL RESOURCES	P		09/29/11
k CLIFFS NATURAL RESOURCES	P		09/29/11
l CAMERON INTERNATIONAL	P		09/30/11
m OCCIDENTAL PETROLEUM	P		09/30/11
n OCCIDENTAL PETROLEUM	P		09/30/11
o CVS CAREMARK	P		10/06/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 705.		480.	225.
b 477.		638.	-161.
c 1,066.		1,363.	-297.
d 574.		591.	-17.
e 497.		840.	-343.
f 769.		1,179.	-410.
g 585.		569.	16.
h 391.		515.	-124.
i 274.		430.	-156.
j 329.		533.	-204.
k 329.		576.	-247.
l 724.		1,055.	-331.
m 578.		818.	-240.
n 1,735.		2,307.	-572.
o 602.		611.	-9.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			225.
b			-161.
c			-297.
d			-17.
e			-343.
f			-410.
g			16.
h			-124.
i			-156.
j			-204.
k			-247.
l			-331.
m			-240.
n			-572.
o			-9.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a CVS CAREMARK	P		10/06/11
b DISH NETWORK	P		10/11/11
c DISH NETWORK	P		10/11/11
d GOOGLE	P		10/19/11
e MERCK & CO	P		10/19/11
f WELLPOINT	P		10/19/11
g CONOCOPHILLIPS	P		10/25/11
h US BANCORP	P		10/28/11
i FRANKLIN RESOURCES	P		11/01/11
j EVEREST RE GROUP	P		11/10/11
k MERCK & CO	P		11/10/11
l WELLPOINT	P		11/10/11
m BANK OF AMERICA	P		11/22/11
n MAXIM INTEGRATED PRODUCTS	P		11/22/11
o BANK OF AMERICA	P		11/23/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,506.		1,602.	-96.
b 738.		658.	80.
c 1,913.		1,526.	387.
d 590.		619.	-29.
e 491.		499.	-8.
f 659.		638.	21.
g 640.		719.	-79.
h 1,974.		2,042.	-68.
i 507.		608.	-101.
j 1,165.		1,121.	44.
k 666.		632.	34.
l 617.		574.	43.
m 497.		1,247.	-750.
n 1,901.		1,645.	256.
o 473.		1,233.	-760.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-96.
b			80.
c			387.
d			-29.
e			-8.
f			21.
g			-79.
h			-68.
i			-101.
j			44.
k			34.
l			43.
m			-750.
n			256.
o			-760.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a BANK OF AMERICA	P		11/25/11
b BANK OF AMERICA	P		11/25/11
c BANK OF AMERICA	P		11/28/11
d BANK OF AMERICA	P		11/28/11
e BANK OF AMERICA	P		11/30/11
f GS LORD ABBETT CAPITAL LOSS (SEE ATTACHED DETAIL)	P		
g GS YACKTMAN CAPITAL GAIN (SEE ATTACHED DETAIL)	P		
h RR DONNELLY	P		09/27/11
i ISHARES IBOXX \$ HIGH YIELD	P		09/27/11
j ISHARES SILVER	P		05/05/11
k LT CAP GAIN DISTRIBUTION - BANK OF AMERICA	P		
l GAIN ON SALE OF ASSETS - FROST	P		
m			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 253.		650.	-397.
b 269.		691.	-422.
c 28.		59.	-31.
d 116.		285.	-169.
e 276.		616.	-340.
f 294,321.		319,309.	-24,988.
g 88,183.		81,021.	7,162.
h 8,129.		10,143.	-2,014.
i 5,733.		5,510.	223.
j 14,846.		5,642.	9,204.
k 17,437.			17,437.
l 595.			595.
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-397.
b			-422.
c			-31.
d			-169.
e			-340.
f			-24,988.
g			7,162.
h			-2,014.
i			223.
j			9,204.
k			17,437.
l			595.
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	494,259.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
AVALON DIVIDENDS	41,238.	0.	41,238.
AVALON INTEREST	2.	0.	2.
BANK OF AMERICA DIVIDENDS	160,328.	0.	160,328.
FROST TRUST DIVIDENDS	114,165.	0.	114,165.
GS ADVISORY DIVIDENDS	15,913.	0.	15,913.
GS ADVISORY INTEREST	2.	0.	2.
GS BROKERAGE INTEREST	554.	0.	554.
GS GANNETT WELSH DIVIDENDS	918.	0.	918.
GS GANNETT WELSH INTEREST	5.	0.	5.
GS LG CAP GROWTH DIVIDENDS	1,093.	0.	1,093.
GS LG CAP GROWTH INTEREST	6.	0.	6.
GS LG CAP VALUE DIVIDENDS	1,495.	0.	1,495.
GS LG CAP VALUE INTEREST	5.	0.	5.
GS LORD ABBETT DIVIDENDS	6,960.	0.	6,960.
GS LORD ABBETT INTEREST	18.	0.	18.
GS YACKTMAN DIVIDENDS	9,148.	0.	9,148.
GS YACKTMAN INTEREST	53.	0.	53.
SWBC INVESTMENT SERVICES DIVIDENDS	5,177.	0.	5,177.
SWBC INVESTMENT SERVICES INTEREST	35,113.	0.	35,113.
TOTAL TO FM 990-PF, PART I, LN 4	392,193.	0.	392,193.

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
OTHER INCOME	111.	111.	
TOTAL TO FORM 990-PF, PART I, LINE 11	111.	111.	

FORM 990-PF	TAXES			STATEMENT 3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	699.	699.		0.
TAX PAYMENT	47,841.	0.		0.
RECLAIMABLE FOREIGN TAX	205.	205.		0.
TO FORM 990-PF, PG 1, LN 18	48,745.	904.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ANALYSIS FEES	331.	0.		331.
INVESTMENT FEES	94,251.	94,251.		0.
PREMIUM AMORTIZED	685.	685.		0.
SUBSCRIPTIONS	750.	0.		750.
OVERDRAFT FEES	90.	0.		90.
DIVIDEND FEES	163.	163.		0.
MEMBERSHIP FEES	695.	0.		695.
TO FORM 990-PF, PG 1, LN 23	96,965.	95,099.		1,866.

FORM 990-PF	CORPORATE STOCK		STATEMENT 5
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
INVESTMENTS - CORPORATE STOCK (SEE ATTACHED DETAIL)	10,615,736.	11,173,131.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	10,615,736.	11,173,131.	

FORM 990-PF CORPORATE BONDS STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
INVESTMENTS - CORPORATE BONDS (SEE ATTACHED DETAIL)	1,745,436.	1,803,574.
TOTAL TO FORM 990-PF, PART II, LINE 10C	1,745,436.	1,803,574.

FORM 990-PF LIST OF SUBSTANTIAL CONTRIBUTORS STATEMENT 7
PART VII-A, LINE 10

NAME OF CONTRIBUTOR	ADDRESS
SOUTHWEST BUSINESS CORPORATION	9311 SAN PEDRO AVE, SUITE 600 SAN ANTONIO, TX 78216

FORM 990-PF

GRANTS AND CONTRIBUTIONS
PAID DURING THE YEAR

STATEMENT 8

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
MAKE-A-WISH FOUNDATION OF CENTRAL & SOUTH TEXAS 1931 NW MILITARY HWY, STE 210 SAN ANTONIO, TX 78213	DONATION		5,000.
HEART GIFT FOUNDATION 1010 WEST 40TH AUSTIN, TX 78756	DONATION		8,500.
CYSTIC FIBROSIS FOUNDATION 8620 NORTH NEW BRAUNFELS, STE 110 SAN ANTONIO, TX 78217	DONATION		5,000.
SAM HOUSTON STATE UNIVERSITY PO BOX 2537 HUNTSVILLE, TX 77341-2537	COLLEGE OF BUSINESS ADMINISTRATION		350,000.
UNIVERSITY OF THE INCARNATE WORD 4301 BROADWAY SAN ANTONIO, TX 78209	SCHOOL OF NURSING & HEALTH		20,000.
UNIVERSITY OF THE INCARNATE WORD 4301 BROADWAY SAN ANTONIO, TX 78209	2011-2012 ANNUAL FUND DONATION		1,000.
CTRC AT UTHSCSA 7979 WURZBACH ROAD, STE U600 SAN ANTONIO, TX 78229	PHASE 1 CLINICAL RESEARCH PROGRAM		2,500.
SOUTH TEXAS BLOOD & TISSUE CENTER 6211 IH 10 W AT FIRST PARK TEN BLVD SAN ANTONIO, TX 78201	TEXAS CORD BLOOD BANK		5,000.

ACCION TEXAS-LOUISIANA 2014 S HACKBERRY STREET SAN ANTONIO, TX 78210	MARTIN STREET BUILDING CAPITAL CAMPAIGN	25,000.
CHABAD LUBAVITCH OF SOUTH TEXAS 14535 BLANCO ROAD SAN ANTONIO, TX 78216	CHANUKAH ON THE RIVER	5,000.
SILVER & BLACK GIVE BACK ONE AT&T CENTER SAN ANTONIO, TX 78219	DONATION	1,000.
AMERICAN HEART ASSOCIATION 8415 WURZBACH RD SAN ANTONIO, TX 78229	GO RED LUNCHEON	4,500.
THE WITTE MUSEUM 3801 BROADWAY SAN ANTONIO, TX 78209	CAPITAL CAMPAIGN	250,000.
THE WITTE MUSEUM 3801 BROADWAY SAN ANTONIO, TX 78209	DONATION	2,250.
THE CHARITY BALL ASSOCIATION OF SAN ANTONIO PO BOX 6708 SAN ANTONIO, TX 78209	NOBLE PATRON COMMUNITY CONTRIBUTOR	5,000.
EVA'S HEROES 11107 WURZBACH RD, STE 203 SAN ANTONIO, TX 78209	CELEBRITY CASINO NIGHT	5,000.
AMERICAN DIABETES ASSOCIATION 1100 NE LOOP 410, STE 110 SAN ANTONIO, TX 78209	STEP OUT: WALK TO STOP DIABETES	1,500.
RESPIRE CARE OF SAN ANTONIO 605 BELKNAP PLACE, PO BOX 12633 SAN ANTONIO, TX 78212	DONATION	5,000.

KLRN-TV PO BOX 9 SAN ANTONIO, TX 78291-0009	CABERNET SPONSORSHIP OF WINE FESTIVAL	750.
KENAI RIVER SPORTFISHING ASSOCIATION 224 KENAI AVE, STE 102 SOLDOTNA, AK 99669	KENAI RIVER JR CLASSIC	2,500.
RESPITE CARE OF SAN ANTONIO 605 BELKNAP PLACE, PO BOX 12633 SAN ANTONIO, TX 78212	DONATION	2,500.
JUNIOR ACHIEVEMENT OF SOUTH TEXAS 403 E RAMSEY, STE 201 SAN ANTONIO, TX 78216	JA FINANCE PARK	25,000.
HISTORICAL CENTRE FOUNDATION PO BOX 831078 SAN ANTONIO, TX 78283	DONATION	3,500.
TEXAS STATE UNIVERSITY SYSTEM 208 E 10TH ST, STE 600 AUSTIN, TX 78707-2407	CHANCELLOR'S COUNCIL	10,000.
BAPTIST CHILD & FAMILY SERVICES 1506 BEXAR CROSSING SAN ANTONIO, TX 78232	DONATION	3,000.
CHILDREN'S ASSOCIATION FOR MAXIMUM POTENTIAL PO BOX 27086 SAN ANTONIO, TX 78227	DONATION	5,000.
SAN ANTONIO OPERA 909 NE LOOP 410, STE 636 SAN ANTONIO, TX 78209	DONATION	2,500.
SUSAN G. KOMEN FOUNDATION 1551 GASCONY RD ENCINITAS, CA 92024	RACE FOR THE CURE - IRENE OBERBAUER'S TEAM	1,000.

SUSAN G. KOMEN FOUNDATION
PO BOX 6678 SAN ANTONIO, TX
78209

RACE FOR THE CURE -
SAN ANTONIO

2,500.

AMERICAN HEART ASSOCIATION
8415 WURZBACH RD SAN ANTONIO,
TX 78229

2011 HEART BALL
UNDERWRITER

2,000.

HIGHLANDS HIGH SCHOOL
3118 ELGIN SAN ANTONIO, TX
78210

NEVER BE ABSENT
ATTENDANCE PROGRAM

1,500.

TOTAL TO FORM 990-PF, PART XV, LINE 3A

763,000.

SWBC Foundation
9311 San Pedro, Suite 600
San Antonio, TX 78216

20-0511684

SWBC Foundation
For the year ended November 30, 2011

Detail for Investments of Corporate Bonds held
Form 990-PF, Part II, line 10(c)

<u>Description</u>	<u>Tax Cost</u>	<u>Market Value</u>
Frost Total Return Bond Fund	249,767.22	241,620.11
Pimco Foreign Bond (USD Hedged)	119,886.64	118,538.33
Vanguard Inflation Protected Fund	240,921.70	249,194.48
Vanguard Short Term Inv Grade Fund	<u>578,267.53</u>	<u>570,213.66</u>
Investment in Bond Funds - Frost	1,188,843.09	1,179,566.58
Detroit Edison Co 1st Mtg	100,000.00	110,009.00
General Electric Cap Corp Mtn BE	97,327.00	103,969.00
Reynolds American Inc	44,005.00	59,519.00
Dr. Pepper Snapple Group Inc Cr	49,574.50	61,809.00
Lubrizol Corp SR NT	48,505.00	55,817.50
Simon Property Group LP	47,130.00	55,482.50
Nisource Fin Corp GTD NT	18,380.00	23,273.80
Rio Tinto Fin USA Notes	49,685.00	53,382.00
HSBC Fin Corp	<u>100,000.00</u>	<u>100,746.00</u>
Investment in Bonds - Inv Svs	554,606.50	624,007.80
Detroit Edison Co 1st Mtg	<u>1,986.60</u>	-
Investments in Bond Premium - Inv Svs	1,986.60	-
Total Investment - Corporate Bonds PII In 10c	<u>1,745,436.19</u>	<u>1,803,574.38</u>

SWBC Foundation
9311 San Pedro, Suite 600
San Antonio, TX 78216

20-0511684

2010 Form 990-PF
Part VII-B Schedules

Part VII-B, Question 1a(3):

SWBC Foundation accepted services and facilities from Southwest Business Corporation, EIN 75-1553739, a disqualified person, during the year.

However, under Regulation 53.4941(d)-2(d)(3), a disqualified person furnishing goods, services, or facilities to the Foundation without charge is not an act of self-dealing.

Gannett	GS Growth	GS Value	Lord Abbett	Yacktman	Total	Frost Ckg	BOA Ckg	Total
-	-	-	-	-	13,912,749	11,463	-	11,463
-	98,743	98,765	120,571	500,261	13,965,246	2,922	-	2,922
101,991	101,244	102,360	145,426	520,091	14,275,532	17,148	-	17,148
106,050	130,686	131,403	193,191	523,135	14,357,815	92,123	-	92,123
108,355	134,430	134,205	203,052	539,735	14,638,144	14,925	70,000	84,925
106,069	133,643	133,687	295,156	543,689	14,520,056	1,239	70,000	71,239
105,139	131,308	130,162	453,573	537,494	14,262,016	100,205	70,000	170,205
102,052	129,664	124,362	442,921	518,694	13,952,539	291,183	70,000	361,183
96,214	121,365	114,869	408,001	514,417	13,403,731	288,664	70,000	358,664
86,617	112,239	104,744	371,678	482,130	12,563,848	21,146	70,000	91,146
97,624	123,187	115,506	403,307	515,617	13,419,570	10,626	70,000	80,626
99,265	123,546	113,712	391,323	518,214	12,981,732	56,094	370,000	426,094
1,009,376	1,340,055	1,303,775	3,428,199	5,713,477	166,252,978	907,738	860,000	1,767,738
84,115	111,671	108,648	285,683	476,123	13,854,415	75,645	71,667	147,312

Ordinary Dividends

Payee	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Total
ABB Ltd	-	-	-	-	-	75 09	-	-	-	-	-	-	75 09
Accenture Plc	-	-	-	-	-	29 25	-	-	-	-	-	-	29 25
Alliac Inc	-	0	-	9 90	-	-	-	-	-	-	-	-	9 90
Albermarle Corp	-	30 10	-	35 48	-	-	35 48	-	-	-	35 48	-	136 54
Allergan Inc	22 25	-	-	22 25	-	-	22 25	-	-	13 00	-	-	79 75
Altria Group Inc	-	787 36	-	787 36	-	-	-	787 36	-	-	849 52	-	3,211 60
American Campus Cmnllys Inc	-	-	-	-	-	15 19	-	-	15 19	-	-	15 19	45 57
American Cap Agy Corp	-	-	-	-	-	-	30 36	-	-	30 36	1,450 40	-	1,450 40
American Electric Power Co	-	-	-	23 46	-	6 30	30 36	-	6 30	-	-	10 62	84 18
American Express Co	-	-	-	-	-	3 00	-	-	10 35	-	-	13 11	23 46
Ameriprise Financial Inc	-	-	-	-	-	3 00	-	-	3 00	-	-	-	6 00
Ameron International Corp	-	-	-	25 20	-	-	25 20	-	-	21 60	-	-	97 20
Anadarko Petroleum	25 20	-	-	-	-	-	-	-	-	28 14	-	-	57 34
Anglo American Plc	-	-	-	-	-	-	-	-	-	-	-	-	79 13
Anheuser-Busch Inbev	-	-	-	-	-	-	-	-	-	-	-	-	79 13
Annaly Capital Management Inc	-	-	-	7 69	-	-	-	-	-	-	1,000 80	-	1,000 80
ArcelorMittal	-	-	-	-	-	-	17 81	-	-	-	-	-	25 50
Artio Global High Income Fund Class I	-	-	-	-	-	-	-	-	-	-	-	431 14	431 14
Aston Montag & Caldwell Growth Fund Class I	5,616 57	-	-	-	-	-	-	-	-	-	-	611 89	5,616 57
AT&T Inc	-	-	611 89	17 94	-	-	23 46	-	611 89	-	-	-	2,447 56
Avon Products Inc	-	-	-	-	-	-	-	-	-	29 67	-	-	71 07
AXA-JAP American Depository	-	-	-	-	-	138 98	-	-	-	-	-	-	138 98
Banco Santander Brasil	-	-	-	-	-	-	-	-	-	6 15	-	-	6 15
Bank of America Corp	-	-	-	2 34	-	-	3 60	-	-	3 60	-	-	9 54
Bank of NY Mellon Corp	-	-	-	-	-	8 19	3 60	-	-	-	-	33 15	41 34
Barclays Plc	-	-	-	17 42	-	-	16 89	-	-	22 04	-	-	56 35
Bard CR	-	-	35 10	-	-	-	-	-	62 70	-	-	-	224 40
BASF SE	-	-	-	-	-	63 90	-	-	-	-	-	-	163 33
Bayer AG	-	-	-	36 90	-	70 87	-	-	-	-	-	-	70 87
Becton Dickinson & Co	-	-	-	-	-	-	36 90	-	-	36 90	-	-	110 70
BG Group	-	-	-	-	-	-	-	-	-	30 78	-	-	30 78
BHP Billiton Ltd	-	-	-	-	-	20 62	-	-	-	-	269 50	-	494 90
BIF Money Fund	9 16	0 73	0 60	0 87	225 40	0 59	0 66	-	-	-	0 16	0 30	13 37
BIF Treasury Fund	-	-	-	-	0 76	-	-	-	-	-	-	-	0 46
Blackbaud Inc	-	-	-	-	-	-	6 00	-	-	6 60	-	-	12 60
Blackrock	-	-	-	-	-	-	-	-	-	61 88	-	-	61 88
BNP Paribas	-	-	-	-	-	-	171 29	-	-	-	-	-	171 29
Boeing Company	-	-	-	13 44	-	-	21 42	-	-	21 42	-	-	56 28
BofA Cash Reserves Capital Class	21 42	25 84	13 57	12 25	13 56	11 85	7 20	2 85	2 93	5 59	14 09	15 27	146 42
Bridgestone Corp	-	-	-	-	-	-	-	-	-	47 14	-	-	47 14
Bristol-Myers Squibb Co	-	-	175 56	3 69	-	175 56	-	-	175 56	-	-	175 56	702 24
Broadcom Corp	-	-	-	-	-	-	-	-	-	98 32	-	-	98 32
Canon Inc	-	-	-	-	-	158 40	-	-	119 60	-	-	-	556 00
Caterpillar Inc	-	-	158 40	-	-	158 40	-	-	-	-	-	-	1,250
CBS Corporation	-	-	-	-	5 50	-	-	7 00	-	-	-	-	12 50
Centerpoint Energy Inc	-	-	-	254 18	-	6 96	254 18	-	-	254 18	-	-	762 54
Charles Schwab Corporation	-	-	5 28	-	-	-	-	-	-	-	-	-	12 24
Chevron Corp	201 60	-	-	377 28	-	-	408 72	-	-	369 72	-	-	1,357 32
Cinn Fincl Corp Ohio	-	131 20	-	-	131 20	-	-	-	-	-	-	-	262 40
Cisco Systems Inc	-	-	-	35 70	35 70	-	-	89 70	-	-	89 70	-	215 10
Clarcor Inc	-	-	-	4 20	4 20	-	-	4 20	-	-	4 80	-	13 20
Clearbridge Energy MLP Fund IN Mutual Fund	-	-	-	-	-	8 88	-	-	8 88	-	-	9 13	26 89
Cleco Corporation	-	-	-	-	-	18 20	-	-	18 20	-	-	20 31	56 71
Cliffs Natural Resources	-	-	-	3 22	-	-	3 22	-	-	6 44	-	-	12 88
Clorox Co	-	-	170 50	-	-	82 50	-	-	90 00	-	-	90 00	433 00
CME Group Inc	-	-	-	14 00	-	-	18 20	-	-	18 20	-	-	50 40

Ordinary Dividends

Payee	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Total
Coca Cola	143 00	-	-	-	575 75	-	-	552 25	-	-	486 56	-	1,757 56
Cognex Corp	-	-	-	3 60	-	-	4 05	-	-	4 05	-	-	11 70
Cohen & Steers Inc	-	-	-	-	8 25	-	8 25	-	-	63 25	-	-	79 75
Coheu Inc	-	-	-	-	3 90	-	-	-	3 90	-	3 90	-	11 70
Columbia Acom Select Fund Class Z Shares	-	-	-	-	-	-	3,198 51	-	-	-	-	-	3,198 51
Columbia Dividend Income Fund Class Z Shares	3,912 62	-	-	3,380 72	-	-	3,492 33	-	-	3,620 66	-	-	14,406 33
Columbia Marsico Intl Opportunities F4 Class Z Shares	2,403 89	-	-	-	-	-	-	-	-	-	-	-	2,403 89
Columbia Smallicap Value I Fund Class Z Shares	1,144 43	-	-	-	-	-	-	-	-	-	-	-	1,144 43
Comcast Corporation	-	-	-	-	78 30	-	-	78 30	-	-	63 56	-	220 16
Compania De Minas Buenaventura	-	-	-	-	-	29 04	-	-	-	-	-	-	29 04
Compass Minerals Intl	-	-	-	6 75	-	-	6 75	-	-	6 75	-	-	20 25
ConocoPhillips	-	-	-	579 48	-	-	551 76	-	-	551 76	-	-	1,683 00
Consolidated Edison	-	-	-	235 20	-	-	235 20	-	-	235 20	-	-	705 60
Corning Incorporated	-	-	-	12 75	-	-	12 75	-	-	12 75	-	-	38 25
Costco	-	-	71 75	-	-	87 60	-	-	74 88	-	-	74 88	309 11
Coviden	-	-	20 00	-	-	-	-	-	-	-	-	-	20 00
Credit Suisse Group	-	-	-	-	-	65 08	-	-	-	-	-	-	65 08
Cummins	78 75	-	-	78 75	-	-	78 75	-	-	100 00	-	-	336 25
CVS Caremark Corporation	-	-	-	-	-	9 88	-	-	9 88	-	-	-	19 76
Daimler AG	-	-	-	-	106 51	-	-	-	-	-	-	-	106 51
DBS Group Holdings	-	-	-	-	-	94 50	-	86 68	94 30	-	174 88	-	261 56
Deere & Co	-	-	-	-	-	-	207 18	-	-	-	-	-	207 18
Deutsche Post	-	-	-	5 76	-	-	10 88	-	-	14 11	-	-	30 75
Devon Energy Corporation	-	-	-	158 09	132 15	-	158 09	-	-	158 09	207 80	-	339 95
Diego	-	-	-	-	-	-	-	-	-	-	-	-	474 27
Dominion Res Inc	-	-	-	-	10 80	-	-	13 75	-	-	-	-	24 55
Dow Chemical Co	-	-	-	-	-	-	-	85 12	-	-	85 12	-	170 24
Dr Pepper Snapple Group	-	-	-	88 40	-	-	88 40	-	-	88 40	-	-	295 20
Du Pont E I De Nemours	-	-	-	3 20	-	3 20	-	-	-	-	-	-	6 40
Duff & Phelps Corp	-	-	-	256 03	-	-	256 03	-	-	281 25	-	-	773 31
Duke Energy	-	-	-	-	-	176 90	-	-	-	247 45	-	-	424 35
E.ON AG	-	-	-	132 83	-	-	144 22	-	-	133 86	-	-	543 74
Eli Lilly & Co	132 83	-	-	-	-	-	-	309 65	-	-	-	-	309 65
Enel Societa Per Azioni	-	-	-	18 26	-	-	-	-	-	-	-	-	18 26
Energy	-	-	-	-	16 80	-	-	16 80	-	-	16 80	-	50 40
EOG Resources Inc	-	-	-	-	66 49	-	-	66 49	-	-	66 49	-	199 47
Equity Residential	-	-	-	-	-	104 33	-	-	-	-	-	-	104 33
Ericsson	-	-	-	11 04	-	-	14 40	-	-	18 24	-	-	43 68
Everest Re Group Ltd	-	-	-	178 50	-	-	178 50	-	-	178 50	-	-	535 50
Exelon Corp	-	-	-	134 20	-	-	143 35	-	-	124 55	-	-	503 30
Exxon Mobil	-	-	-	9 61	13 90	5 04	5 02	5 37	9 26	4 47	7 97	30 49	133 10
Federated Prime Oblig	4 11	26 32	11 54	-	16 20	-	-	17 55	-	-	17 55	-	51 30
FedEx Corp	-	-	-	0 21	0 16	0 15	0 11	0 04	0 06	0 03	0 08	0 13	1 56
Fidelity Select Money Market	0 23	0 23	0 13	-	5 50	-	-	5 50	-	-	5 50	-	16 50
Franklin Resources Inc	-	-	-	-	-	38 37	-	-	-	-	-	-	38 37
Fresenius Medical Care	-	-	-	-	-	-	-	947 39	896 18	868 34	973 00	1,019 55	4,804 46
Frost Total Return Bond Fund	-	-	121 80	-	-	-	-	-	49 15	49 15	-	-	49 15
Gazprom	-	-	-	-	-	136 30	-	-	117 50	-	-	-	493 10
General Dynamics	-	79 94	-	-	112 70	-	-	131 10	-	-	136 95	-	460 69
General Electric	-	-	-	-	-	22 12	-	-	24 10	-	-	24 10	70 32
General Mills Inc	-	-	-	-	93 60	-	-	93 60	-	-	93 60	-	280 80
Genuine Parts	-	-	-	-	7 15	-	-	7 15	-	-	7 15	-	21 45
Glacier Bancorp Inc	-	-	-	-	270 11	-	-	249 49	-	-	276 17	-	795 77
GlaxoSmithKline	-	-	-	-	-	1,662 73	1,006 98	991 23	-	1,862 33	861 80	797 46	8,022 60
Goldman Sachs Core Plus Fixed Income Fund Cl A	-	5 24	-	834 83	-	-	-	-	-	-	-	-	-

Ordinary Dividends

Payee	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Total
Group 1 Automotive Inc	-	-	-	3 30	-	-	4 40	-	-	5 20	-	-	12 90
H&R Block Inc	-	-	-	-	114 00	-	-	89 25	-	-	89 25	-	292 50
Halliburton Co	26 10	-	-	30 24	-	31 51	-	-	-	31 50	-	-	119 35
Harman Intl	-	-	-	14 38	-	-	-	-	-	34 50	-	-	63 26
Hartford Financial Svcs Group	-	-	-	8 40	-	-	-	10 90	-	-	10 90	-	30 20
HCP Inc	-	-	312 00	-	-	312 00	-	-	312 00	-	-	312 00	1,248 00
Healthcare Svcs Group Inc	-	-	-	-	11 03	-	-	-	11 11	-	-	11 20	33 34
Heartland Express Inc	-	-	-	1 50	-	-	-	2 00	-	-	2 00	-	5 50
Heinz HJ Co	-	176 40	-	176 40	-	-	-	188 16	-	-	188 16	-	729 12
Henkel AG	-	-	-	58 72	-	-	-	-	-	-	-	-	58 72
Hewlett Packard Co	34 00	-	-	12 80	-	-	-	39 00	-	-	54 60	-	140 40
Honda Mir	-	-	-	-	-	-	-	6 90	41 70	-	-	-	48 60
Honeywell Intl Inc	-	-	-	14 96	-	-	14 63	-	-	14 63	-	-	44 22
HSBC Holdings Plc	-	-	-	-	-	39 00	-	43 20	-	-	70 20	-	152 40
IbenaBank Corporation	-	-	-	10 20	-	-	-	10 20	-	-	10 20	-	30 60
Illinois Tool Works	-	134 30	-	134 30	-	-	-	115 60	-	-	-	-	384 20
Imperial Tobacco Group	-	-	-	-	-	-	-	-	129 45	-	-	-	129 45
Intel Corp	134 66	-	-	304 60	-	-	338 12	-	-	371 91	-	-	1,149 29
Intesa San Paolo	423 73	-	-	-	-	-	522 04	-	-	-	-	-	522 04
Intl Business Machines (IBM)	133 25	-	-	133 25	-	-	153 75	-	-	116 25	-	-	536 50
Intl Paper Co	-	-	-	-	-	-	93 19	-	-	93 19	-	-	186 38
Invesco Ltd	96 80	-	-	98 80	-	-	107 80	-	-	81 88	-	-	393 28
Invesco Sltc Liquid Asset Inst	474 72	234 90	-	-	-	-	-	-	-	-	-	-	709 62
IShares Barclays 1-3 Yr Bond Fund	423 73	398 74	589 90	631 97	1,276 26	1,312 83	1,243 20	1,273 43	1,201 46	1,160 86	1,215 70	596 99	11,325 07
IShares FTSE China 25 Index Fund	186 10	-	-	-	-	-	442 86	-	-	-	-	-	628 96
IShares iBoxx \$ High Yield Corp Bond Fund	1,008 63	1,220 76	1,540 31	1,514 52	1,490 70	1,499 41	1,480 45	1,521 83	1,441 25	1,474 31	1,408 64	211 55	15,812 36
IShares iBoxx Investment Grade Corp Bond Fund	-	173 64	173 40	175 51	174 06	173 52	172 65	173 12	170 84	167 59	148 32	142 79	1,845 44
IShares MSCI Australia Index Fund	1,317 21	-	-	-	-	-	914 17	-	-	-	-	-	2,231 38
IShares MSCI Brazil Free Index Fund	1,637 96	139 26	-	-	-	-	407 50	-	-	-	-	-	2,194 72
IShares MSCI Canada Index Fund	492 94	-	-	-	-	-	318 70	-	-	-	-	-	811 64
IShares MSCI Pacific Ex-Japan Index Fund	1,084 72	-	-	-	-	-	817 74	-	-	-	-	-	1,902 46
IShares MSCI Singapore Index Fund	1,302 21	-	-	-	-	-	804 82	-	-	-	-	-	2,107 03
IShares MSCI South Korea Index Fund	186 01	-	-	-	-	-	147 76	-	-	-	-	-	333 77
IShares Russell Midcap Value Index Fund	976 52	-	-	707 49	-	-	-	852 10	-	648 68	-	-	3,184 79
IShares S&P Midcap 400 Growth	-	-	-	90 69	-	-	106 35	-	-	121 57	-	-	318 61
IShares S&P US Preferred Stock Index Fund	725 96	150 91	152 46	158 68	148 82	143 18	146 18	149 16	146 93	143 47	139 15	142 14	1,621 08
IShares TR S&P North American Natural Resources	-	-	-	116 31	-	-	91 10	-	-	84 19	-	-	1,017 56
Itau Unibanco Banco Holdings	-	-	-	16 08	0 50	0 68	0 61	0 75	1 14	26 40	0 95	-	47 09
Ivy High Income Fund Class I	3,441 00	1,123 65	1,430 90	779 12	1,065 51	881 92	783 49	802 14	874 29	862 03	176 77	-	12,220 82
Johnson & Johnson	-	-	-	191 16	-	-	201 78	-	-	201 78	-	-	594 72
Johnson Controls Inc	-	-	-	-	7 84	-	-	10 24	-	-	10 24	-	28 32
JP Morgan Chase & Co	-	-	-	-	-	34 75	-	-	34 75	-	114 75	-	184 25
JP Morgan US Large Cap Core Plus Fund Class S	1,216 23	19 40	-	-	-	97 00	-	-	97 00	-	97 00	-	1,526 63
Keyne Anderson MLP Inv Co Mutual Fund	-	-	-	-	17 15	44 92	-	17 41	124 54	-	17 59	-	52 15
Keppel Corp	-	-	-	-	154 00	-	-	154 00	-	-	154 00	-	462 00
Kimberly Clark	-	-	-	-	-	-	-	-	-	-	27 90	-	27 90
Kinross Gold	-	-	-	-	-	-	-	26 75	-	-	-	-	26 75
Komatsu	-	-	-	-	-	-	-	-	-	-	-	-	57 67
Koninklijke Ahold	-	-	-	-	-	57 67	-	-	-	-	-	-	134 65
Koninklijke Philips Electra	-	-	-	-	-	134 65	-	-	-	-	-	-	708 76
Kraft Foods Inc	-	177 19	-	-	177 19	-	-	177 19	-	-	177 19	-	23 79
Kubota Corp	-	-	-	-	8 25	-	-	8 25	-	-	8 25	-	24 75
Landauer Inc	-	-	-	-	-	-	-	-	1,070 49	-	-	-	6,243 96
Lazard Emerging Markets	5,173 47	-	-	-	-	-	-	49 60	-	-	49 60	-	99 20
Lincoln Electric Holdings Inc	-	-	-	90 00	-	-	90 00	-	-	-	-	-	270 00
Lockheed Martin	-	-	-	-	-	-	-	-	-	90 00	-	-	90 00

Ordinary Dividends

Payee	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Total
Lowes Companies	-	-	-	-	22.33	-	-	-	28.42	-	-	28.42	79.17
Lukoil Oil Co	-	-	-	-	-	-	-	-	171.31	-	-	-	171.31
LyonDellBasell Industries	-	-	-	-	-	-	-	-	-	10.40	-	-	10.40
Marathon Oil	-	-	-	-	-	-	-	-	-	78.45	-	-	78.45
Market Vectors EFT TR Agribusiness ETF	492.00	-	-	-	-	-	-	-	-	-	-	-	492.00
Marketaxess Holdings Inc	-	-	-	-	-	3.60	-	-	4.95	-	-	4.95	13.50
Marriott International Inc	-	-	-	-	-	-	-	-	6.10	-	-	-	6.10
Marsh & McLennan Inc	-	-	82.32	-	-	82.32	-	-	86.24	-	-	86.24	337.12
MasterCard Incorporated	-	-	-	-	2.10	2.10	-	-	2.10	-	-	1.80	6.00
Matthews Intl Corp	-	-	-	-	2.00	2.00	-	-	2.00	-	-	2.25	6.25
Maxim Integrated Products Inc	-	-	-	-	-	-	153.11	-	-	16.94	-	-	16.94
McDonalds	-	-	-	153.11	-	-	-	-	-	153.11	-	-	459.33
Mead Johnson Nutrition	-	-	-	-	-	-	94.50	-	-	-	29.90	-	29.90
MeacWestVaco Corp	-	-	-	94.50	-	-	-	-	-	94.50	-	-	283.50
Merck & Co	-	220.40	-	-	166.96	11.40	-	197.98	-	-	197.98	-	803.32
Meridian Bioscience Inc	-	-	-	-	-	-	-	-	5.70	-	-	-	17.10
Melife Inc	310.80	-	-	319.04	-	-	311.20	-	-	311.20	-	-	310.80
Microsoft	138.40	-	-	-	-	-	-	-	-	-	-	-	1,079.84
Mid-America Apt Cmnty Inc	-	-	-	-	18.83	-	-	18.83	-	-	18.83	-	56.49
Mitsubishi UFJ Finl Group	-	-	-	-	-	-	2.80	20.34	-	-	-	-	20.34
Monro Muffler Brake Inc	-	-	-	2.80	-	-	-	-	-	3.60	-	-	9.20
National Grid	-	-	-	-	-	-	-	-	197.65	-	-	-	197.65
National Health Investors Inc	-	-	-	-	-	18.45	-	-	18.45	-	-	18.45	55.35
Nestle	-	-	-	-	-	106.21	-	-	-	-	-	-	106.21
Neuberger Berman Equ Incm Mutual Fund	-	-	136.25	505.44	-	136.25	1,030.51	-	-	1,231.31	-	-	2,767.26
New York Cmnty Bancorp	-	-	-	-	208.13	-	-	-	-	-	321.58	-	272.50
News Corporation	-	-	-	-	8.99	-	-	13.95	-	-	13.95	-	529.71
Nike	-	-	-	-	-	-	-	32.83	-	-	-	-	36.89
Nippon Teleg & Tel	-	-	-	-	-	-	-	-	-	-	-	-	32.83
Nordson Corp	-	-	-	-	-	-	3.15	-	-	3.75	-	-	10.05
Northern Trust Corp	-	-	-	3.15	-	-	-	12.32	-	-	12.32	-	34.16
Occidental Petroleum Corp	-	-	-	-	9.52	-	-	23.92	-	-	20.70	-	63.02
Oracle Corp	-	-	-	-	18.40	-	-	-	-	-	-	-	217.51
Orx Corporation	-	-	48.25	-	-	66.42	-	-	51.42	-	-	51.42	20.67
P G & E Corporation	-	-	-	-	28.21	-	20.67	28.21	-	-	28.21	-	84.63
Paccar Inc	-	-	-	-	-	-	40.20	-	-	60.30	-	-	100.50
Peabody Energy	-	-	-	-	-	67.76	16.15	-	16.15	-	-	16.15	48.45
Pearson Plc	-	-	-	-	-	-	-	-	-	78.12	-	-	145.88
Pepsico	-	151.20	-	-	-	-	600.50	-	-	581.86	-	-	1,898.14
Petroleo Brasileiro	90.14	132.82	-	564.48	114.19	-	23.73	16.92	-	-	-	-	377.80
Pfizer Inc	-	-	-	569.80	-	-	497.80	-	-	497.80	-	-	1,565.40
Philip Morris Intl	-	603.52	-	-	603.52	-	8,939.41	603.52	-	-	668.36	-	2,478.82
Pimco Commodity RealReturn Strategy Fund	-	-	-	-	-	-	-	-	-	8,038.19	-	-	16,977.60
Pimco Emerging Local Bond Fund	-	-	-	-	-	-	-	-	-	-	-	223.69	223.69
Pimco Foreign Bond (USD Hedged) Instl	-	-	-	-	-	-	-	-	-	-	152.96	-	417.10
Pimco Global Multi-Asset Fund	-	-	-	-	-	-	-	-	-	-	-	264.14	264.14
Pimco Total Return Fund	68,066.22	3,818.52	2,577.11	2,670.36	2,771.99	2,796.42	2,812.59	2,896.54	2,534.56	2,374.26	2,682.37	3,468.29	99,259.23
Pinnacle West Cap Corp	-	-	-	122.33	-	-	122.33	-	-	122.33	-	-	366.99
Pinney Bowes Inc	-	-	13.50	139.86	-	-	139.86	-	-	139.86	-	-	419.58
Potash Corp	-	-	-	-	-	28.35	-	-	28.35	-	-	28.35	98.55
Power Integrations Inc	-	-	-	-	-	-	1.75	-	-	1.75	-	-	3.50
Powershares Global Water Portfolio	366.10	-	-	75.90	-	-	206.82	-	-	125.12	-	-	698.04
PPG Industries Inc	-	-	-	-	-	-	-	-	-	-	-	-	75.90
PPL Corporation	103.50	-	-	129.00	-	-	133.50	35.35	-	-	35.35	-	70.70
Prime Fund - Capital Reserves	0.08	0.09	0.08	0.01	0.11	0.51	0.52	0.55	0.48	0.09	0.12	0.16	2.80

Ordinary Dividends

Payee	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Total
PrivateBancorp Inc	-	-	-	0.45	-	-	0.70	-	-	0.70	-	-	1.85
ProAssurance Corp	-	-	-	-	-	-	-	-	-	-	6.25	-	6.25
Proctor & Gamble	-	-	139.24	-	-	522.91	-	-	522.91	-	-	522.91	1,707.97
Progress Energy Inc	-	-	133.30	-	-	133.30	-	-	133.30	-	-	133.30	533.20
Prudential Corp	-	-	-	-	-	-	79.45	-	-	81.06	-	-	160.51
Qualcomm	79.80	-	-	99.18	-	-	118.90	-	-	118.90	-	-	416.78
R R Donnelly Sons	-	-	-	144.56	-	-	144.56	-	-	144.56	-	-	433.68
Reed Elsevier Group	-	-	-	-	-	81.68	-	-	-	91.05	-	-	172.73
Reynolds American	-	-	-	-	669.92	-	-	669.92	-	-	669.92	-	2,009.76
Rio Tinto Plc	-	-	-	21.51	-	-	-	-	-	-	-	-	21.51
Ritchie Bros Auctioneers Inc	-	-	-	-	-	-	3.15	-	-	3.38	-	-	6.53
Rockwell Automation	117.25	-	-	117.25	-	-	117.25	-	-	116.88	-	-	468.63
Royal Dutch	-	-	-	424.20	-	-	424.20	-	-	424.20	-	-	1,272.60
Ruddick Corp	-	-	-	-	5.85	-	-	5.85	-	-	5.85	-	17.55
Safran	-	-	-	-	-	21.51	-	-	-	-	-	-	21.51
SAP	-	-	-	-	-	-	72.25	-	-	-	-	-	72.25
Scana Corp	-	-	-	-	113.01	-	-	113.01	-	-	113.01	-	339.03
Schlumberger Limited	-	66.15	-	-	95.50	-	-	100.50	-	-	83.75	-	345.90
Schnitzer Steel Industries Inc	-	-	-	-	-	-	0.51	-	-	0.60	-	-	1.11
Seadrill Ltd	-	-	-	43.75	-	-	66.75	-	-	116.25	-	-	226.75
Secom	-	-	-	5.50	-	-	5.50	-	-	5.50	-	-	16.50
Silgan Holdings Inc	-	-	-	-	-	-	16.80	-	226.05	16.80	-	-	33.60
Siliconware Precision	-	-	-	-	-	-	-	-	-	-	-	-	39.60
SLM Corporation	-	-	-	-	-	-	-	-	-	2.50	-	-	4.38
Smith & Nephew	-	-	-	-	-	-	1.88	-	-	2.50	-	-	4.38
Solera Holdings Inc	-	-	-	-	-	-	10.27	-	-	235.31	-	-	10.27
Sony Corporation	-	-	-	226.59	-	-	235.31	-	-	-	-	-	697.21
Southern Company	-	-	-	6.30	-	-	-	-	-	-	-	-	12.60
Southwest Airlines	-	6.30	-	-	-	-	-	-	-	-	-	-	12.60
SPDR Dow Jones Reit	1,104.71	-	-	842.25	-	-	1,081.83	-	-	1,053.10	-	-	4,081.89
St Jude Medical Inc	-	-	-	-	23.52	-	-	19.53	-	-	19.53	-	62.58
St Jude Medical Inc	-	-	-	-	80.85	-	-	67.20	-	-	67.20	-	215.25
Staples Inc	-	-	-	-	16.70	-	-	24.20	-	-	10.10	-	51.00
Starbucks	92.30	-	-	-	-	92.30	-	-	74.75	-	-	-	351.65
Starwood Hotels & Resorts	52.50	-	-	-	-	-	-	-	-	-	-	-	52.50
Stifel Financial Corp	-	-	-	-	22.96	-	-	-	-	-	-	-	22.96
Stryker Corp	-	-	-	-	30.60	-	-	30.60	-	-	36.00	-	97.20
Sumitomo Corporation	-	-	-	-	-	-	-	57.80	-	-	-	-	57.80
Suncor Energy	52.84	-	-	54.26	-	-	59.13	-	-	47.74	-	-	213.97
Suntrust Banks Inc	-	-	-	0.52	-	-	1.20	-	-	6.00	-	-	7.72
Syngenta AG	-	-	-	-	-	95.99	-	-	-	-	-	-	95.99
Sysco Corp	-	76.18	-	-	245.18	-	-	245.18	-	-	245.18	-	811.72
T Rowe Price Instl High Yield Mutual Fund Class I	1,873.05	-	-	551.03	-	1,022.86	508.46	502.46	-	1,041.76	469.09	491.70	4,587.36
T Rowe Price Intl Discovery Fund	9,213.35	-	-	-	-	-	62.43	-	-	-	-	-	1,935.48
T Rowe Price Midcap Value Fund	-	-	-	-	-	-	-	-	-	-	-	-	9,213.35
Teco Energy Inc	-	-	110.09	-	-	115.46	-	-	115.46	-	-	115.46	456.47
Telecom Italia	-	-	-	-	142.63	-	-	-	474.35	-	-	-	142.63
Templeton Global Bond Fund	-	-	-	-	-	-	474.35	475.25	474.35	473.46	-	-	1,897.41
Tesco	-	-	-	-	-	-	44.81	64.19	-	-	-	-	64.19
Teva Pharmaceutical	-	-	-	23.42	-	-	-	6.40	60.74	-	-	-	128.97
Texas Roadhouse Inc	-	-	-	4.80	-	-	-	6.40	-	-	-	-	17.60
The Ryland Group Inc	-	-	-	1.05	-	-	-	1.05	-	1.05	-	-	3.15
Thornburg Intl Value Fund Class I	-	128.77	-	211.70	-	-	870.83	-	-	487.65	-	-	1,598.95
Toro Co	-	-	-	-	5.00	-	10.95	5.00	-	-	5.00	-	15.00
Torolise Energy Infrastructure Corp	-	-	-	-	-	-	-	-	-	-	-	13.88	13.88
Total Sys Svc Inc	-	-	-	-	40.95	-	-	20.37	-	-	20.37	-	81.69

Ordinary Dividends

Payee	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Total
Tractor Supply Co	-	-	-	-	-	-	3 60	-	3 60	-	-	3 60	10 80
Tulow Oil	-	-	-	-	-	5 63	-	-	-	-	-	16 99	22 62
Upperware Brands Corporation	-	-	-	12 00	-	-	-	10 50	-	-	10 50	-	33 00
Umpqua Holdings Corp	-	-	-	3 75	-	-	-	3 75	-	-	5 25	-	12 75
Unilever	-	-	-	18 88	-	-	27 96	-	-	86 40	-	-	133 24
Union Pacific Corp	-	98 80	-	-	98 80	-	-	123 50	-	-	99 75	-	420 85
UnitedHealth Group Incorporated	-	-	-	23 75	-	-	30 88	-	-	30 88	-	-	85 51
Universal Forest Products Inc	-	-	-	-	-	-	6 00	-	-	-	-	-	6 00
US Bancorp	-	-	-	76 75	-	-	-	79 50	-	-	79 50	-	235 75
US Ecology Inc	-	-	-	7 20	-	-	-	7 20	-	-	7 20	-	21 60
Vale SA	-	-	82 30	-	-	220 70	-	-	-	321 22	-	324 67	948 89
Valley Natl Bancorp	-	-	-	130 14	-	-	1,731 76	130 93	-	-	130 93	-	392 00
Vanguard Inflat Protected	-	-	-	-	-	-	-	-	-	819 82	-	-	2,551 58
Vanguard Intl Equity Index	5,357 00	-	-	-	-	-	-	-	-	-	-	-	5,357 00
Vanguard Short Term Bond ETF	2,152 56	-	770 82	747 63	-	-	-	-	-	-	-	-	3,671 01
Vanguard Short Term Inv Grade Instl	-	1,789 14	2,521 55	3,070 70	2,372 07	2,300 77	2,307 14	1,286 99	1,161 72	1,375 33	1,308 69	1,331 16	20,825 26
Ventas	-	-	-	156 40	-	-	156 40	34 38	-	122 02	-	-	469 20
Verizon Communications	-	-	565 99	-	-	565 99	-	-	565 99	-	-	580 50	2,278 47
Viacom Inc	-	-	-	88 50	-	-	-	147 50	-	-	147 50	-	383 50
Vinci	-	-	-	-	-	-	119 44	-	-	-	-	-	119 44
Visa	31 50	-	-	31 50	-	-	31 50	-	771 73	31 50	-	-	126 00
Vodafone	-	-	-	-	-	2 00	-	-	-	-	-	-	2 00
Waddell & Reed Fin Inc	-	-	-	-	-	-	-	-	-	63 88	-	-	276 34
Wal Mart	-	84 70	-	63 88	-	-	63 88	-	-	92 48	-	-	277 44
Waste Management	-	-	-	92 48	-	-	92 48	-	-	-	6 75	-	20 25
WD-40 Co	-	-	-	-	6 75	-	-	6 75	-	-	-	-	369 60
Weingarten Rity Invs	-	-	-	123 20	-	-	123 20	-	-	123 20	-	-	30 50
Wellpoint Inc	-	-	-	8 50	-	-	11 00	-	-	11 00	-	-	17 35
West Pharmaceutical Services Inc	-	-	-	-	-	5 10	-	-	5 95	-	-	6 30	30 50
Wisdomtree Japan Total Dividend Fund	-	-	-	-	-	-	535 29	-	-	-	-	-	535 29
WPP	-	-	-	-	-	-	-	56 74	-	-	-	-	56 74
Xinx Incorporated	-	-	-	-	-	-	21 66	-	26 03	-	-	20 52	82 13
Xstrata	-	-	-	13 92	-	-	26 40	-	-	-	29 20	-	55 60
Yamana Gold Inc	-	-	-	-	-	-	-	-	-	-	28 67	-	28 67
YPF Sociedad Anonima	-	-	-	-	-	-	-	-	-	-	-	258 29	258 29

Total 123,583 58 12,212 70 12,962 69 24,705 94 16,121 24 17,494 75 45,417 91 18,706 04 15,301 97 37,533 22 19,159 56 13,236 24 356,435 84

SWBC Foundation
For the year ended November 30, 2011

Detail for Investments of Corporate Stock held
Form 990-PF, Part II, line 10(b)

<u>Description</u>	<u>Tax Cost</u>	<u>Market Value</u>
Federated Prime Oblig FD Instl - Income	321.78	321.78
Invesco STIC Liquid Asset Instl - Principal	<u>34,376.74</u>	<u>34,376.74</u>
Investments in Money Market Funds - Frost	34,698.52	34,698.52
Ablemarle Corporation	9,216.71	11,723.95
Allergan Inc	15,720.60	21,767.20
Amazon.Com Inc	14,936.65	23,074.80
Anadarko Petroleum Corp	15,287.80	19,504.80
Annaly Capital Mgmt Inc	91,302.90	94,170.20
Apple Inc	15,757.49	30,576.00
Baidu Inc	16,525.10	19,648.50
BHP Billiton Ltd	18,019.73	18,416.65
Blackrock Inc	8,444.98	7,741.80
Borgwarner Inc	13,519.42	24,390.40
Cameron International Corp	7,641.55	8,638.40
Caterpillar Inc	15,790.63	25,448.80
Celgene Corp	15,258.81	16,085.40
Chevron Corporation	17,706.98	23,648.60
Coca Cola Co	14,631.89	18,824.40
Cognizant Tech Solutions	16,327.95	25,256.25
Costco Wholesale Corp	14,964.16	22,178.00
Cummins Inc	13,527.76	24,082.50
Deere & Co	12,634.62	18,227.50
DirectTV Com	14,318.05	18,651.90
E M C Corp Massachusetts	14,928.60	20,478.90
Edwards LifeSciences Corp	8,429.08	7,263.30
Emerson Electric Co	14,945.89	17,242.50
EOG Resources Inc	11,804.08	10,892.70
Exxon Mobil Corp	12,627.88	15,283.60
FedEx Corp	12,220.19	11,215.80
Fortinet Inc	11,993.74	28,548.10
F5 Networks Inc	11,515.90	12,433.30
General Dynamics Corp	18,866.22	16,515.00
Google Inc	20,586.49	23,975.60
Halliburton Co	9,235.92	10,672.00
Intel Corp	15,185.06	18,931.60
Intl Business Machines Corp	20,220.28	29,140.00
Invesco Ltd	15,428.97	15,187.50

SWBC Foundation
For the year ended November 30, 2011

Detail for Investments of Corporate Stock held
 Form 990-PF, Part II, line 10(b)

<u>Description</u>	<u>Tax Cost</u>	<u>Market Value</u>
JPMorgan Chase & Co	16,312.60	12,016.36
Juniper Networks Inc	8,499.59	7,721.40
Kinross Gold Corp	8,122.16	6,496.05
LAM Research Corporation	11,402.57	8,969.40
Lincoln Electric Holdings Inc	11,865.50	12,633.60
Mead Johnson Nutrition Co	7,811.55	8,666.40
Metlife Inc	14,448.82	11,018.00
NetApp Inc	11,510.09	7,734.30
O'Reilly Automotive Inc	14,788.83	29,737.40
Oracle Corporation	15,749.21	22,415.25
Paccar Inc	18,043.10	13,590.95
Peabody Energy Corp	12,289.13	7,453.70
Pepsico Inc	16,823.30	17,280.00
Philip Morris Intl Inc	15,979.88	25,540.40
Potash Corp SASK Inc	19,200.01	17,552.70
Praxair Inc	15,734.46	20,400.00
Priceline com Incorporated	13,334.55	31,582.85
Qualcomm Inc	17,423.11	23,016.00
Rockwell Automation Inc	14,755.48	20,633.25
Salix Pharmaceuticals Ltd	8,022.36	10,372.90
Schlumberger Limited	17,890.61	19,209.15
SPDR Dow Jones REIT	105,107.15	130,204.25
SPDR Gold Trust	131,007.19	170,130.00
St Jude Medical Inc	12,323.34	12,300.80
Starbucks Corp	12,768.15	25,001.00
Starwood Hotels & Resorts	9,261.47	8,344.00
Suncor Energy Inc	14,653.19	13,509.00
Union Pacific Corp	14,583.25	21,716.10
Vale SA	13,868.29	13,020.00
Visa Inc	17,837.90	20,363.70
VMWare Inc	12,345.70	13,051.80
Investments in Stocks - Frost	1,193,284.62	1,471,516.66
Cambiar Small Cap Fund	143,900.00	175,200.00
Frost LKCM Small Mid-Cap EQ Fund	548,703.66	530,157.20
Mainstay Large Cap Growth Fund	100,000.00	109,687.04
T Rowe Price Growth Stock Fund	300,000.00	328,629.86
T Rowe Price Intl Discovery Fund	122,715.91	120,780.43
Investments in Common Trust Funds - Frost	1,215,319.57	1,264,454.53

SWBC Foundation
For the year ended November 30, 2011

Detail for Investments of Corporate Stock held
 Form 990-PF, Part II, line 10(b)

<u>Description</u>	<u>Tax Cost</u>	<u>Market Value</u>
BOFA Cash Reserves Capital Class	17,216.61	17,216.61
Investments in Money Market Funds - BOA	17,216.61	17,216.61
Columbia Dividend Income Fund	305,189.39	397,841.44
Columbia Select Large Cap Growth Fund	435,317.47	448,563.15
iShares TR S&P N Amer Nat Res Fund	14,840.80	20,763.60
JP Morgan US Large Cap Core Plus Fund	176,315.62	196,134.05
Vanguard Index Total Stock Mkt Vipers	314,683.80	332,303.56
Columbia Acorn Select Fund	105,340.41	110,645.89
Vanguard Index Mid-Cap Value	98,174.12	105,693.28
Vanguard Mid-Cap	51,743.40	55,243.35
Cambiar Small Cap Fund	67,718.83	75,435.82
Columbia Acorn USA Fund	75,243.15	79,118.70
Vanguard Small-Cap	36,360.43	39,516.90
Columbia Acorn International Fund	50,162.10	50,766.29
Invesco Intl Growth Fund	100,324.20	104,137.00
iShares Inc MSCI Australia Index Fund	25,214.36	26,237.28
iShares Inc MSCI CDA Index Fund	22,056.71	24,054.96
iShares Inc MSCI Ex-Japan Index Fund	1,093.50	1,132.92
iShares Inc MSCI Singapore Index Fund	22,951.50	22,951.50
Market Vectors ETF TR Agribusiness	45,035.72	55,516.02
Thornburg Intl Value Fund	81,809.05	87,437.19
Delaware Emerging Mkts Fund	182,709.40	145,306.01
iShares MSCI Brazil Free Index Fund	36,805.90	32,815.12
iShares MSCI South Korea Index Fund	456.70	560.60
Vanguard MSCI Emerging Mkts	139,197.87	148,339.88
Investments in Common Trust Funds - BOA	2,388,744.43	2,560,514.51
iShares Barclays 1-3 Yr Bond Fund	276,927.90	275,562.45
Pimco Total Return Fund Instl	1,359,280.06	1,365,964.28
Artio Global High Income Fund	72,735.04	74,970.59
Pimco Emerging Local Bond Fund	100,324.20	99,162.14
Investment in Fixed Income Funds - BOA	1,809,267.20	1,815,659.46
Hussman Invt Strategic Growth Fund	100,000.00	101,831.20
Ivy Asset Strategy Fund	75,243.15	79,038.31
Pimco Global Multi-Asset Fund	230,611.38	230,460.50
Investment in Hedge Funds - BOA	405,854.53	411,330.01
Vanguard Global Ex-US Real Estate	10,352.29	10,537.51

SWBC Foundation
For the year ended November 30, 2011

Detail for Investments of Corporate Stock held
 Form 990-PF, Part II, line 10(b)

<u>Description</u>	<u>Tax Cost</u>	<u>Market Value</u>
Vanguard REIT	15,554.51	16,890.86
Investment in Real Estate Funds - BOA	25,906.80	27,428.37
iShares Silver TR	22,678.23	32,800.00
Pimco Commodity RealReturn Strategy Fund	107,077.51	88,327.65
SPDR Gold TR Gold SHS	44,398.36	65,159.79
United STS Commodity Index Fund	51,054.62	51,576.75
Investments in Tangible Assets - BOA	225,208.72	237,864.19
Fidelity Select Money Mkt	2,475.10	2,475.10
Prime Fund - Capital Reserves Class	20,130.39	20,130.39
Investments in Money Market Funds - Inv Svs	22,605.49	22,605.49
iShares Barclays 1-3 Yr CD Bond Fund	137,904.57	136,380.06
Investments in Common Trust Funds - Inv Svs	137,904.57	136,380.06
HSBC BK USA NA CD FLT	50,000.00	48,445.00
Investment in Fixed Income Funds - Inv Svs	50,000.00	48,445.00
American Cap Agy Corp Com	29,959.32	29,722.84
Annaly Capital Management Inc	29,957.24	26,804.76
Investment in Other Securities - Inv Svs	59,916.56	56,527.60
Cash	0.98	0.98
BIF Treasury Fund	15,812.00	15,812.00
Investments in Money Market Funds - Avalon	15,812.98	15,812.98
Altria Group	51,737.22	59,445.68
AT&T Inc	41,523.42	41,238.54
Bristol-Myers Squibb Co	14,161.84	17,407.04
Centerpoint Energy Inc	20,434.15	25,611.30
Chevron Corp	21,637.92	25,088.08
Coca Cola	26,863.20	27,564.30
ConocoPhillips	33,131.76	36,230.56
Consolidated Edison Inc	19,360.88	23,292.64
Diageo Plc	9,618.33	11,214.91
Dominion Res Inc	13,645.71	16,570.02
Dr Pepper Snapple Group	10,749.11	9,716.98
Du Pont	11,949.60	11,452.80
Duke Energy Corp	18,485.32	21,788.25

SWBC Foundation
For the year ended November 30, 2011

Detail for Investments of Corporate Stock held
 Form 990-PF, Part II, line 10(b)

<u>Description</u>	<u>Tax Cost</u>	<u>Market Value</u>
Eli Lilly & Co	17,720.45	19,114.25
Equity Residential	10,179.56	10,872.43
Exelon Corporation	13,950.20	15,065.40
General Electric	16,054.90	15,241.78
Genuine Parts Co	10,660.00	12,168.00
Glaxosmithkline Plc	15,591.85	17,747.52
HCP Inc	22,522.50	25,122.50
Heinz	19,807.76	20,638.80
Intel Corp	21,485.47	25,184.01
Intl Paper Co	10,767.82	10,082.00
JP Morgan Chase & Co	10,682.11	9,910.40
Kimberly Clark	13,743.40	15,723.40
Kraft Foods Inc	19,483.75	22,087.65
Lockheed Martin Corp	15,381.24	16,724.10
Marathon Oil Corp	16,381.25	14,623.08
Marsh & McLennan Cos Inc	10,627.12	11,834.48
McDonalds Corp	19,306.92	23,975.52
MeadWestVaco Corp	9,510.48	11,283.30
Merck & Co	14,364.77	14,085.50
Microsoft Corp	15,584.90	15,936.34
Pfizer Inc	20,795.70	24,364.98
Philip Morris Intl Inc	31,900.05	40,635.92
Pinnacle West Cap Corp	9,620.57	11,046.53
Pitney Bowes Inc	9,261.00	7,042.14
Procter & Gamble	18,767.66	18,660.73
Progress Energy Inc	9,367.55	11,691.70
Reynolds American Inc	41,155.84	52,911.04
Royal Dutch	32,629.11	36,455.95
Scana Corp	9,492.42	10,163.46
Southern Company	18,914.04	21,867.18
Sysco Corporation	8,579.04	8,362.22
Teco Energy Inc	9,424.35	10,084.86
Valley Natl Bancorp	10,105.55	8,956.20
Ventas Inc	13,474.88	14,350.72
Verizon Communications	40,072.15	43,804.53
Vodafone Group	14,451.85	14,389.50
Waste Management	9,838.24	8,513.60
Weingarten Rlty	10,407.04	9,269.12
Investments in Stocks - Avalon	915,361.95	1,006,611.94
1Shares iBoxx \$ Invt Grade Corp BD Fund	38,356.01	39,294.95

SWBC Foundation
For the year ended November 30, 2011

Detail for Investments of Corporate Stock held
 Form 990-PF, Part II, line 10(b)

<u>Description</u>	<u>Tax Cost</u>	<u>Market Value</u>
iShares iBoxx \$ High Yield Corp BD Fund	35,966.94	34,813.20
S&P Pfd Stk Index Fund iShares	<u>26,787.32</u>	<u>24,870.48</u>
Investment in Mutual Funds - Avalon	101,110.27	98,978.63
Goldman Sachs Bank Deposit	<u>3,987.10</u>	<u>3,987.10</u>
Investments in Money Market Funds - Brokerage	3,987.10	3,987.10
US Dollar	1,289.16	1,289.16
Goldman Sachs Bank Deposit	<u>7,423.30</u>	<u>7,423.30</u>
Investments in Money Market Funds - Advisory	8,712.46	8,712.46
GS Core Plus Fixed Income Fund	419,365.51	425,213.25
T Rowe Price Funds T Rowe Price Instl High Yield	81,082.02	74,291.93
Wisdom Tree Japan Hedged Equity Fund	40,102.31	36,258.75
Neuberger Berman Equ Incm Mutual Fund	<u>152,767.26</u>	<u>152,562.96</u>
Investments in Mutual Funds - Advisory	693,317.10	688,326.89
US Dollar	13.88	13.88
Goldman Sachs Bank Deposit	<u>2,416.22</u>	<u>2,416.22</u>
Investments in Money Market Funds - Gannett Welsh	2,430.10	2,430.10
Air Methods Corp	1,071.91	1,210.80
Blackbaud Inc	1,411.65	1,624.15
Cardtronics Inc	1,210.41	1,359.00
Cavium Inc	1,585.16	1,305.60
Clarcor Inc	1,672.17	1,936.80
Clearbridge Energy	539.46	547.25
Cleco Corporation	2,041.31	2,349.10
Cognex Corp	1,253.25	1,609.65
Cohen & Steers	1,543.12	1,497.10
Cohu Inc	939.33	661.70
Compass Minerals Intl	1,370.95	1,156.50
Costar Group	1,053.79	998.10
Dril-Quip	1,587.74	1,422.60
FEI Company	1,571.38	1,614.40
Glacier Bancorp	825.00	660.55
Group 1 Automotive	1,634.77	1,969.60
GulfPort Energy	1,747.22	1,905.00
Hanger Orthopedic Group	1,821.78	1,120.00
Harmonic Inc	1,255.54	724.95

SWBC Foundation
For the year ended November 30, 2011

Detail for Investments of Corporate Stock held
Form 990-PF, Part II, line 10(b)

<u>Description</u>	<u>Tax Cost</u>	<u>Market Value</u>
Healthcare Svcs Group	1,211.70	1,264.20
Heartland Express Inc	1,660.66	1,375.00
Hibbett Sports Inc	1,381.05	2,047.95
Hittite Microwave Corporation	2,155.91	1,904.35
HMSC Holdings Corp	1,844.40	2,274.75
IberiaBank Corporation	1,681.92	1,494.60
ICU Medical Inc	1,622.83	1,760.40
II-VI Inc	1,435.29	1,174.20
IPC - The Hospitalist Company	1,226.91	1,152.50
Kayne Anderson	1,065.30	981.05
Landauer Inc	920.25	760.95
Life Time Fitness	1,330.22	1,425.55
Markettaxess Holdings	1,323.33	1,591.70
Matthews Intl	912.66	829.25
Meridian Bioscience	633.30	579.60
Middleby Corp	2,610.35	2,738.40
Monro Muffler Brake	1,301.02	1,606.00
Nordson Corp	1,670.17	1,411.80
Peets Coffee & Tea	629.96	871.80
Portfolio Recovery Assocs	1,644.80	1,387.40
Power Integrations	1,395.80	1,232.00
Proassurance Corp	1,584.75	1,990.25
Pros Holdings	496.61	640.40
PSS World Medical	1,526.73	1,462.80
RBC Bearings Inc	1,196.38	1,478.75
Rofin-Sinar Technologies	1,872.38	1,203.00
Ruddick Corp	1,643.66	1,793.25
Schnitzer Steel Industries	2,126.00	1,624.25
Sciqwest Inc	443.73	438.00
Signature Bank	2,089.82	2,337.20
Silgan Holdings	1,815.00	1,952.50
Solera Holdings	1,255.75	1,185.50
SourceFire	710.10	993.60
Steiner Leisure Limited	941.96	940.00
Stifel Financial Corp	2,281.79	1,648.40
SVB Financial Group	1,333.50	1,176.00
Texas Roadhouse	1,337.70	1,071.20
The Ryland Group	602.52	526.40
Toro Co	1,485.58	1,411.75
Tortoise Energy Infrastructure Corp	987.16	983.75
Tractor Supply Co	1,500.74	2,166.90

SWBC Foundation
For the year ended November 30, 2011

Detail for Investments of Corporate Stock held
 Form 990-PF, Part II, line 10(b)

<u>Description</u>	<u>Tax Cost</u>	<u>Market Value</u>
Tupperware Brands Corp	1,857.56	2,039.10
Umpqua Hldgs Corp	841.50	937.50
United Therapeutics Corp	1,622.55	1,022.75
Universal Forest Products	990.20	842.10
US Ecology Inc	653.60	737.60
WD-40 Co	1,005.75	1,035.25
West Pharmaceutical Services	1,414.73	1,348.55
American Campus Cmnty	1,455.36	1,770.30
Mid-America Apt Cmnty	1,850.52	1,719.60
National Health Investors	1,342.54	1,269.30
Ritchie Bros Auctioneers	778.20	619.97
Tesco Corporation	1,171.30	933.10
Investment in Stocks - Gannett Welsh	98,009.44	96,835.32
US Dollar	(841.30)	(841.30)
Goldman Sachs Bank Deposit	4,464.25	4,464.25
Investment in Money Market Funds - Lg Cap Growth	3,622.95	3,622.95
American Express Co	2,949.64	3,074.56
American Tower Corporation	5,753.24	6,608.00
Apple Inc	8,652.15	9,555.00
Avon Products	2,986.36	1,826.38
Cameron	1,245.96	1,457.73
CBRE Group	4,140.25	3,143.47
CME Group	4,544.26	3,739.20
Costco Wholesale	3,773.15	4,435.60
Crown Castle Intl Corp	3,403.15	3,385.60
Devon Energy Corp	3,081.48	2,356.56
Emerson Electric	3,259.46	3,053.70
Equinix Inc	2,736.63	3,100.62
Google Inc	6,601.09	6,593.29
Halliburton Company	3,385.39	2,914.31
Johnson & Johnson	1,271.72	1,371.09
Lowe's Companies	5,366.47	4,874.03
Marriott International	3,023.92	3,409.22
MasterCard Incorporated	2,906.94	4,494.60
Netapp Inc	4,254.95	3,425.19
Nike	3,658.28	4,328.10
Northern Trust	2,302.66	1,655.72
Oracle Corporation	4,654.60	4,451.70

SWBC Foundation
For the year ended November 30, 2011

Detail for Investments of Corporate Stock held
 Form 990-PF, Part II, line 10(b)

<u>Description</u>	<u>Tax Cost</u>	<u>Market Value</u>
Pepsico Inc	3,066.66	3,032.21
Praxair Inc	3,524.67	3,774.00
Proctor & Gamble	1,719.97	1,743.39
Qualcomm Inc	7,243.96	7,317.00
Schlumberger	7,001.60	6,046.40
St Jude Medical	4,211.50	3,574.92
Thermo Fisher Scientific Inc	3,176.05	2,646.00
Urban Outfitters	2,409.16	2,536.12
Xilinx Inc	3,630.40	3,532.68
Teva Pharmaceutical	3,120.98	2,466.48
Investment in Stocks - Lg Cap Growth	123,056.70	119,922.87
US Dollar	419.21	419.21
Goldman Sachs Bank Deposit	3,754.45	3,754.45
Investment in Money Market Funds - Lg Cap Value	4,173.66	4,173.66
Adobe Systems Inc	4,000.28	3,345.24
American Electric Power	2,402.91	2,649.90
Ameriprise Financial	3,271.87	2,616.87
Bank of America Corp	-	0.52
Boeing Company	3,646.39	3,524.61
Boston Scientific Corp	2,655.18	2,206.60
Cameron International	1,529.96	1,349.75
CBS Corporation	1,728.22	1,770.72
Celgene Corporation	2,126.59	2,333.96
Cisco Systems	2,218.65	2,423.20
ConocoPhillips	1,918.01	1,733.46
Devon Energy Corp	4,677.16	3,600.30
EMC Corporation	3,569.01	3,267.42
EQT Corporation	2,106.70	2,364.74
Everest RE Group	2,102.45	2,205.25
Exxon Mobil Corp	3,181.76	3,479.13
Ford Motor Company	3,161.47	1,971.60
Franklin Resources	2,058.46	1,713.94
General Electric	6,838.28	5,441.22
General Mills	2,816.55	3,156.05
Google	2,902.67	2,996.95
Hartford Financial Services Group	3,076.43	1,946.74
Honeywell Intl	2,491.86	2,398.99
Johnson Controls	2,550.95	2,014.72

SWBC Foundation
 9311 San Pedro, Suite 600
 San Antonio, TX 78216

20-0511684

SWBC Foundation
For the year ended November 30, 2011

Detail for Investments of Corporate Stock held
 Form 990-PF, Part II, line 10(b)

<u>Description</u>	<u>Tax Cost</u>	<u>Market Value</u>
JPMorgan Chase & Co	6,322.76	4,304.83
Liberty Global Inc	1,916.02	1,969.50
Maxim Integrated Products	-	16.94
Merck & Co	3,071.43	3,324.75
Morgan Stanley	1,589.45	1,449.42
Nvidia Corp	1,878.50	1,969.38
P G & E Corporation	2,870.64	2,408.08
Pepsico	2,155.89	2,129.00
Pfizer Inc	2,396.01	2,614.83
PPL Corporation	3,197.23	3,632.42
Prudential Financial	4,069.55	3,385.85
SLM Corp	2,458.95	2,180.64
Sprint Nextel Corp	3,434.94	2,011.50
Suntrust Banks	3,508.14	2,181.60
US Bancorp	2,382.58	2,332.80
Walt Disney Company	3,670.56	3,513.30
Wellpoint	1,648.90	1,763.75
LyonDellBasell Industries	2,080.80	2,569.52
Teva Pharmaceutical	3,210.59	2,347.13
Unilever	2,586.94	2,921.50
Investment in Stocks - Lg Cap Value	<u>121,481.69</u>	<u>109,538.62</u>
Goldman Sachs Bank Deposit	<u>15,988.38</u>	<u>15,988.38</u>
Investment in Money Market Funds - Lord Abbett	15,988.38	15,988.38
Seadrill Ltd	4,391.95	4,394.88
ABB Ltd	4,253.56	3,167.99
Anglo American	7,643.33	6,318.29
Anheuser-Busch	7,427.39	7,560.00
Aviva	3,408.80	2,851.80
Bank of Yokohama	6,992.03	6,733.44
Barclays Plc	6,519.85	4,302.96
BG Group	6,447.82	6,089.42
Bridgestones Corp	6,009.31	5,912.95
British Sky Broadcasting Group	5,856.03	6,477.03
Canon Inc	5,641.32	5,401.20
Cheung Kong Holdings	7,168.38	6,282.14
Coca-Cola	6,533.74	6,575.45
Credit Suisse Group	4,570.08	2,856.78
DBS Group Holdings	7,070.68	5,884.22

SWBC Foundation
For the year ended November 30, 2011

Detail for Investments of Corporate Stock held
Form 990-PF, Part II, line 10(b)

<u>Description</u>	<u>Tax Cost</u>	<u>Market Value</u>
Deutsche Post	4,891.63	3,955.41
E ON AG	5,554.56	5,511.45
East Japan Railway Company	9,960.43	10,614.24
Ericsson	5,301.50	3,996.88
Fortum OY	6,023.65	5,405.96
Fresenius Medical Care	9,969.77	9,752.56
Gafisa	4,834.02	2,666.86
Glaxosmithkline Plc	6,812.99	7,289.92
Henkel AG & Co	5,797.27	5,026.19
Hitachi Ltd	4,207.75	4,211.40
Honda Mtr Ltd	9,310.14	7,477.56
HSBC Holdings Plc	8,279.27	6,177.60
Imperial Tobacco Group	9,138.51	9,932.00
ING Groep	8,257.77	6,185.62
Intesa SanPaolo	4,345.89	3,659.74
KB Financial Group	6,864.54	4,990.70
Keppel Corp	7,095.16	5,578.28
Komatsu	-	27.10
Koninklijke Ahold	5,136.96	4,788.74
Mitsubishi Estate	3,881.12	3,739.02
National Grid	6,589.50	6,770.54
Nestle SA	6,448.00	5,844.70
Nippon Teleg & Tel	6,628.08	6,916.00
Novartis	8,561.89	7,630.92
Orix Corporation	4,465.31	3,876.14
Pearson Plc	6,225.99	6,014.27
Prudential Corp	7,953.43	6,854.26
Reed Elsevier Group	6,567.53	6,193.80
Safran SA	6,547.02	5,372.82
SAP AG	5,434.76	5,276.48
Siliconware Precision	4,233.00	3,240.54
SKF AB	4,647.25	4,398.72
Smith & Nephew	3,599.12	3,065.25
Smiths Group	4,435.61	3,677.70
Sonic Healthcare Limited	5,395.19	5,663.70
Sumitomo Corporation	8,319.38	7,640.51
Swedbank	7,329.13	6,602.31
Syngenta	6,924.95	6,068.76
Telecom Italia	2,585.56	2,001.87
Telenor ASA American	9,457.35	9,989.07
Tesco Plc	6,334.91	6,156.32

SWBC Foundation
For the year ended November 30, 2011

Detail for Investments of Corporate Stock held
 Form 990-PF, Part II, line 10(b)

<u>Description</u>	<u>Tax Cost</u>	<u>Market Value</u>
Teva Pharmaceutical	8,586.59	7,118.56
The Kansai Electric Power Comp	4,154.60	3,293.50
Total SA	4,743.37	4,863.56
Tullow Oil Plc	7,439.28	7,182.44
Unilever	5,659.21	6,049.23
Vinci	4,375.75	3,086.06
Vodafone Group	9,720.05	9,977.01
Xstrata Plc	7,053.02	5,422.30
Yamana Gold	6,353.27	7,775.46
YPF Sociedad Anonima	6,515.58	5,391.54
Volkswagen AG Wolfsburg	3,873.04	4,124.04
Investment in Stock - Lord Abbett	412,753.92	375,334.16
Goldman Sachs Bank Deposit	25,996.57	25,996.57
Investment in Money Market Funds - Yacktman	25,996.57	25,996.57
Apollo Group	7,357.63	8,241.60
Avon Products	8,493.25	7,573.15
Bard CR	14,960.42	13,950.40
Becton Dickinson & Co	7,468.68	6,640.20
Cisco Systems Inc	26,095.06	27,866.80
Clorox Co	9,559.22	9,744.00
Coca-Cola Company	19,537.69	20,987.00
Comcast Corporation	12,405.99	12,633.40
ConocoPhillips	19,759.36	21,234.10
Corning Incorporated	7,276.90	5,938.53
Exxon Mobil Corporation	5,826.64	6,068.25
H&R Block	7,807.65	9,359.35
Hewlett-Packard	16,914.59	12,717.25
Johnson & Johnson	19,788.96	20,566.35
Microsoft Corporation	41,803.47	39,056.70
News Corporation	49,630.85	59,034.40
Pepsico	51,243.41	50,321.70
Pfizer Inc	16,934.74	18,920.10
Proctor & Gamble	43,413.62	43,907.60
Stryker Corp	12,216.47	10,742.60
Sysco Corporation	19,214.89	18,551.00
The Bank of NY Mellon Corp	4,798.16	4,962.30
Total Sys Svs Inc	2,218.81	2,625.24
US Bancorp	12,424.78	12,182.40

SWBC Foundation
9311 San Pedro, Suite 600
San Antonio, TX 78216

20-0511684

SWBC Foundation
For the year ended November 30, 2011

Detail for Investments of Corporate Stock held
Form 990-PF, Part II, line 10(b)

<u>Description</u>	<u>Tax Cost</u>	<u>Market Value</u>
UnitedHealth Group Incorporated	7,565.78	9,266.30
Viacom Inc	24,893.75	26,408.40
Walmart Stores	9,767.07	10,307.50
Research In Motion Limited	<u>4,615.53</u>	<u>2,411.10</u>
Investment in Stocks - Yacktman	483,993.37	492,217.72
Total Investments - Corporate Stock Pt II in 10b	<u>10,615,736.26</u>	<u>11,173,131.36</u>

SWBC Foundation

Calculation of Undistributed Income for Excise Tax Filing

November 30, 2011

<u>Fiscal Year</u>	<u>PY Carryforward</u>	<u>Distributions Paid</u>	<u>Distrib from CY</u>	<u>CY Carryforward</u>	<u>PY Undistributed</u>
2004	17,876	(19,100)	19,803	18,579	-
2005	18,579	(20,000)	49,553	48,132	-
2006	48,132	(50,150)	60,983	58,965	-
2007	58,965	(115,000)	151,618	95,583	-
2008	95,583	(102,829)	369,896	362,650	-
2009	362,650	(224,037)	621,121	759,734	138,613
2010	759,734	(763,584)	674,756	670,906	-

PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1a: Date of Sale or Exchange Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc.	Box 3: Cost or Other Basis	Box 4: Wash Sale Loss Disallowed	Box 5: Security	Box 6: Check if a Noncovered Security	Box 7: Type of Gain or Loss	* Gain or (Loss)	Box 8: Description	Box 15: Check if Loss not Allowed Based on Amount in Box 2
20441A102 11.00	01/21/2011 01/20/2011	535.07 559.13	0.00 0.00				SHORT-TERM	(24.06)	COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
45104G104 4.00	01/21/2011 01/20/2011	183.91 181.90	0.00 0.00				SHORT-TERM	2.01	ICICI BANK LIMITED SPONS ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
584469407 8.00	01/21/2011 01/20/2011	147.25 144.56	0.00 0.00				SHORT-TERM	2.69	MEDIAJET SPA ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
20441A102 9.00	01/24/2011 01/20/2011	444.22 457.47	0.00 0.00				SHORT-TERM	(13.25)	COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
362607301 15.00	01/27/2011 01/20/2011	193.78 205.60	(9.46)				SHORT-TERM	(2.36)	GAFISA, S.A. SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
584469407 4.00	01/27/2011 01/20/2011	77.64 72.28	0.00 0.00				SHORT-TERM	5.36	MEDIAJET SPA ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
80105N105 5.00	01/27/2011 01/20/2011	176.60 170.81	0.00 0.00				SHORT-TERM	5.79	SANOFL-AVENTIS SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
584469407 2.00	01/28/2011 01/20/2011	38.64 36.14	0.00 0.00				SHORT-TERM	2.50	MEDIAJET SPA ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
584469407 2.00	01/31/2011 01/20/2011	38.89 36.14	0.00 0.00				SHORT-TERM	2.75	MEDIAJET SPA ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
03754H104 0.40	02/01/2011 01/20/2011	15.00 0.00	0.00 0.00				SHORT-TERM	15.00	ARCELORMITTAL CMN Qualified Cash in lieu proceeds on ARCELORMITTAL CMN Stock Spinoff	
584469407 7.00	02/01/2011 01/20/2011	137.35 126.49	0.00 0.00				SHORT-TERM	10.86	MEDIAJET SPA ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
80105N105 5.00	02/01/2011 01/20/2011	174.42 170.81	0.00 0.00				SHORT-TERM	3.61	SANOFL-AVENTIS SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	

The following information was furnished to the Internal Revenue Service as reportable gross proceeds less commissions for the period January 1, 2011 to December 31, 2011

* Gain or Loss information is provided for your information only and is not reported to the Internal Revenue Service. The Gain or Loss value may not sum due to rounding differences



PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1a: Date of Sale or Exchange Box 1b: Date of Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc.	Box 3: Cost or Other Basis	Box 4: Wash Sale Loss Disallowed	Box 5: Noncovered Security	Box 6: Check if a	* Gain or (Loss) Box 7: Type of Gain or Loss	Box 8: Description	Box 9: Description	Box 15: Check if
										Loss not Allowed Based on Amount in Box 2
251561304 10 00	02/11/2011 01/20/2011	210 49 215 50		(5 01)			0 00	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
358029106 5 00	02/11/2011 01/20/2011	311 74 281 54		0 00			30 20	FRESENIUS MEDICAL CARE AG & CO KGAA AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	FRESENIUS MEDICAL CARE AG & CO KGAA AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
584469407 6 00	02/11/2011 01/20/2011	118 91 108 42		0 00			10 49	MEDIASET SPA ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	MEDIASET SPA ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
055434203 4 00	02/18/2011 01/20/2011	474 17 418 24		0 00			57 93	BG GROUP PLC SPON ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	BG GROUP PLC SPON ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
251561304 15 00	02/18/2011 01/20/2011	319 63 323 25		(3 14)			(0 48)	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
358029106 5 00	02/18/2011 01/20/2011	321 14 281 54		0 00			39 60	FRESENIUS MEDICAL CARE AG & CO KGAA AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	FRESENIUS MEDICAL CARE AG & CO KGAA AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
584469407 9 00	02/18/2011 01/20/2011	172 48 162 63		0 00			9 85	MEDIASET SPA ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	MEDIASET SPA ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
80105N105 3 00	02/18/2011 01/20/2011	104 33 102 49		0 00			1 84	SANOFF-AVENTIS SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	SANOFF-AVENTIS SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
92933H101 2 00	02/18/2011 01/20/2011	136 92 125 94		0 00			10 98	WPP PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	WPP PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
251561304 7 00	02/25/2011 01/20/2011	141 69 150 85		0 00			(9 16)	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
358029106 2 00	02/25/2011 01/20/2011	130 72 112 62		0 00			18 10	FRESENIUS MEDICAL CARE AG & CO KGAA AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	FRESENIUS MEDICAL CARE AG & CO KGAA AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	



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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No Quantity	Box 1a: Date of Sale or Exchange Box 1b: Date of Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc.	Box 3: Cost or Other Basis	Box 4: Wash Sale Loss Disallowed	Box 5: Check if a Noncovered Security	Box 6: Check if a Noncovered Security	Box 7: Type of Gain or Loss	Box 8: * Gain or (Loss)	Box 9: Description	Box 15: Check if Loss not Allowed Based on Amount in Box 2
48268K101 10 00	02/25/2011 02/24/2011	198 77 200 20	0 00				(1 43)	SHORT-TERM	KT CORPORATION SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
48268K101 71 00	02/25/2011 01/20/2011	1,411 28 1,404 53	0 00				6 75	SHORT-TERM	KT CORPORATION SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
827084864 20 00	02/25/2011 01/20/2011	133 64 135 40	(1 76)				0 00	SHORT-TERM	SILICONWARE PRECISION IND CO L SPONSORED ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
03485P201 17 00	03/04/2011 01/20/2011	454 84 417 35	0 00				37 49	SHORT-TERM	ANGLO AMERICAN PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
251561304 11 00	03/04/2011 01/20/2011	221 13 237 05	0 00				(15 92)	SHORT-TERM	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
767204100 3 00	03/04/2011 01/20/2011	209 68 205 52	0 00				4 16	SHORT-TERM	RIO TINTO PLC SPONSORED ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
80105N105 2 00	03/04/2011 02/24/2011	71 03 68 08	0 00				2 95	SHORT-TERM	SANOFI-AVENTIS SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
80105N105 14 00	03/04/2011 01/20/2011	497 20 478 28	0 00				18 93	SHORT-TERM	SANOFI-AVENTIS SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
87150A100 3 00	03/04/2011 01/20/2011	200 33 183 00	0 00				17 33	SHORT-TERM	SYNGENTA AG SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
03485P201 20 00	03/07/2011 01/20/2011	537 14 491 00	0 00				46 14	SHORT-TERM	ANGLO AMERICAN PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	



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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1a: Date of Sale or Exchange	Box 2: Gross Proceeds of Stocks, Bonds, etc.	Box 3: Cost or Other Basis	Box 4: Wash Sale Loss Disallowed	Box 5: Check if a Noncovered Security	Box 6: Type of Gain or Loss	Box 7: Description	Box 15: Check if Loss not Allowed Based on Amount in Box 2	
								* Gain or (Loss)	
072730302 5 00	03/09/2011 01/20/2011	388 29 369 00	0 00			19 29	BAYER AG-SPONSORED ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
23304Y100 2 00	03/09/2011 01/20/2011	90 91 91 48	(0 57)			0 00	DBS GROUP HOLDINGS SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
251561304 11 00	03/09/2011 01/20/2011	228 79 237 05	0 00			(8 26)	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
438128308 4 00	03/09/2011 01/20/2011	165 86 161 50	0 00			4 36	HONDA MTR LTD (AMER SHS) ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
45104G104 10 00	03/09/2011 01/20/2011	455 65 454 75	0 00			0 90	ICICI BANK LIMITED SPONS ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
23304Y100 1 00	03/10/2011 01/20/2011	44 99 45 74	(0 75)			0 00	DBS GROUP HOLDINGS SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
438128308 3 00	03/10/2011 01/20/2011	122 43 121 12	0 00			1 31	HONDA MTR LTD (AMER SHS) ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
23304Y100 6 00	03/11/2011 01/20/2011	270 25 274 43	(4 18)			0 00	DBS GROUP HOLDINGS SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
251561304 1 00	03/11/2011 01/20/2011	20 60 21 55	0 00			(0 95)	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
251561304 2 00	03/11/2011 01/20/2011	41 20 43 72	0 00			(2 52)	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		



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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No Quantity	Box 1a Date of Sale or Exchange Box 1b Date of Acquisition	Box 2 Gross Proceeds of Stocks, Bonds, etc	Box 3: Cost or Other Basis	Box 5 Wash Sale Loss Disallowed	Box 6: Check if a Noncovered Security	Box 8: Type of Gain or Loss	Box 9: Description	Box 15 Check if Loss not Allowed Based on Amount in Box 2
251561304 2 00	03/11/2011 01/20/2011	41 20 43 20	0 00			(2 00) SHORT-TERM	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
251561304 4 00	03/11/2011 01/20/2011	82 40 88 28	0 00			(5 88) SHORT-TERM	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
251561304 4 00	03/11/2011 01/20/2011	82 40 87 66	0 00			(5 26) SHORT-TERM	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
438128308 8 00	03/11/2011 01/20/2011	317 91 323 00	(5 09)			0 00 SHORT-TERM	HONDA MTR LTD (AMER SHS) ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
45104G104 4 00	03/11/2011 01/20/2011	180 80 181 61	0 00			(0 81) SHORT-TERM	ICICI BANK LIMITED SPONS ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
45104G104 11 00	03/11/2011 01/20/2011	497 19 500 23	0 00			(3 04) SHORT-TERM	ICICI BANK LIMITED SPONS ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
705015105 12 00	03/11/2011 01/20/2011	211 13 201 40	0 00			9 73 SHORT-TERM	PEARSON PLC SPON ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
251561304 2 00	03/14/2011 01/20/2011	41 38 43 20	0 00			(1 82) SHORT-TERM	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
251561304 9 00	03/14/2011 01/20/2011	186 23 183 34	0 00			2 88 SHORT-TERM	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
438128308 12 00	03/14/2011 01/20/2011	456 52 484 50	(27 98)			0 00 SHORT-TERM	HONDA MTR LTD (AMER SHS) ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	

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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1a: Date of Sale or Exchange Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc.	Box 3: Cost or Other Basis	Box 4: Wash Sale Loss Disallowed	Box 5: Check if a Noncovered Security	Box 6: Type of Gain or Loss	Box 7: Description	Box 15: Check if Loss not Allowed Based on Amount in Box 2
705015105 18 00	03/14/2011 01/20/2011	316 16 302 10	0 00 0 00			14 07 SHORT-TERM	PEARSON PLC SPON ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
705015105 9 00	03/15/2011 01/20/2011	155 09 151 05	0 00 0 00			4 04 SHORT-TERM	PEARSON PLC SPON ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
438128308 20 00	03/23/2011 01/20/2011	766 34 807 50	(8 23)			(32 93) SHORT-TERM	HONDA MTR LTD (AMER SHS) ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
761152107 13 00	03/23/2011 01/20/2011	387 22 416 39	(29 17)			0 00 SHORT-TERM	RESMED INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
827084864 17 00	03/23/2011 01/20/2011	104 01 115 09	(11 08)			0 00 SHORT-TERM	SILICONWARE PRECISION IND CO L SPONSORED ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
835699307 19 00	03/23/2011 01/20/2011	611 47 674 27	(62 80)			0 00 SHORT-TERM	SONY CORPORATION ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
761152107 6 00	03/24/2011 01/20/2011	177 17 192 18	(15 01)			0 00 SHORT-TERM	RESMED INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
827084864 12 00	03/24/2011 01/20/2011	74 02 81 24	(7 22)			0 00 SHORT-TERM	SILICONWARE PRECISION IND CO L SPONSORED ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
835699307 16 00	03/24/2011 01/20/2011	515 98 567 80	(51 82)			0 00 SHORT-TERM	SONY CORPORATION ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
055434203 3 00	03/29/2011 01/20/2011	369 51 312 18	0 00			57 33 SHORT-TERM	BG GROUP PLC SPON ADR ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
26874R108 13 00	03/29/2011 01/20/2011	635 33 610 61	0 00			24 72 SHORT-TERM	ENI S P A SPON ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	

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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1a Date of Sale or Exchange Box 1b, Date of Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc. Box 3: Cost or Other Basis	Box 4: Wash Sale Loss Disallowed	Box 5: Noncovered Security	Box 6: Check if a	Box 7: Type of Gain or Loss	Box 8: (30/30)	Box 9: Description	Box 15
									Check if Loss not Allowed Based on Amount in Box 2
438128308 10 00	03/29/2011 01/20/2011	373 45 403 75	0 00			SHORT-TERM	(30 30)	HONDA MTR LTD (AMER SHS) ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
767204100 7 00	03/29/2011 01/20/2011	489 24 479 54	0 00			SHORT-TERM	9 70	RIO TINTO PLC SPONSORED ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
827084854 28 00	03/29/2011 01/20/2011	167 12 189 56	(22 44)			SHORT-TERM	0 00	SILICONWARE PRECISION IND CO L SPONSORED ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
055434203 2 00	03/30/2011 01/20/2011	250 94 208 12	0 00			SHORT-TERM	42 82	BG GROUP PLC SPON ADR ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
294821608 24 00	04/01/2011 01/20/2011	308 35 275 78	0 00			SHORT-TERM	32 57	ERICSSON (LM) TEL CO ADR CMN CLASS B AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
636274300 9 00	04/04/2011 01/20/2011	437 81 395 93	0 00			SHORT-TERM	41 88	NATIONAL GRID PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
761152107 21 00	04/04/2011 01/20/2011	622 59 672 63	(35 74)			SHORT-TERM	(14 30)	RESMED INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
803054204 11 00	04/04/2011 01/20/2011	684 98 599 83	0 00			SHORT-TERM	85 15	SAP AG (SPON ADR) AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
813113206 20 00	04/04/2011 01/20/2011	225 22 233 22	(8 00)			SHORT-TERM	0 00	SECOM LTD (ADR) ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
813113206 20 00	04/06/2011 01/20/2011	222 99 233 22	(10 23)			SHORT-TERM	0 00	SECOM LTD (ADR) ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
G1151C101 8 00	04/12/2011 01/20/2011	448 57 406 24	0 00			SHORT-TERM	42 33	ACCENTURE PLC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	

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											Loss not Allowed Based on Amount in Box 2
204448104 14 00	04/12/2011 01/20/2011	559 32 589 41	(30 09)				0 00	SHORT-TERM	0 00	COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
D1668R123 7 00	04/12/2011 01/20/2011	511 24 526 68	(15 44)				0 00	SHORT-TERM	0 00	DAIMLER AG CMN ISIN DE0007100000 AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
362607301 10 00	04/12/2011 01/20/2011	132 77 137 07	(4 30)				0 00	SHORT-TERM	0 00	GAFISA, S A SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
37733W105 12 00	04/12/2011 01/20/2011	482 40 444 12	0 00				38 28	SHORT-TERM	38 28	GLAXOSMITHKLINE PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
761152107 3 00	04/12/2011 01/20/2011	95 00 96 09	0 00				(1 09)	SHORT-TERM	(1 09)	RESMED INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
761152107 7 00	04/12/2011 01/20/2011	221 67 233 83	0 00				(12 16)	SHORT-TERM	(12 16)	RESMED INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
803054204 4 00	04/12/2011 01/20/2011	251 73 218 12	0 00				33 61	SHORT-TERM	33 61	SAP AG (SPON ADR) AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
000375204 22 00	04/20/2011 01/20/2011	548 15 503 86	0 00				44 29	SHORT-TERM	44 29	ABB LTD SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
26874R108 9 00	04/20/2011 01/20/2011	450 62 422 73	0 00				27 89	SHORT-TERM	27 89	ENI S P A SPON ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
37733W105 10 00	04/20/2011 01/20/2011	413 48 370 10	0 00				43 38	SHORT-TERM	43 38	GLAXOSMITHKLINE PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
761152107 1 00	04/20/2011 01/20/2011	33 08 33 66	0 00				(0 58)	SHORT-TERM	(0 58)	RESMED INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	



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CUSIP No Quantity	Box 1a: Date of Sale or Exchange Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc. Other Basis	Box 3 Cost or Loss Disallowed	Box 5 Wash Sale Noncovered Security	Box 6: Check if a	Box 8: Type of Gain or Loss	* Gain or (Loss)	Box 9 Description	Box 15 Check if Loss not Allowed Based on Amount in Box 2
761152107 4 00	04/20/2011 01/20/2011	132 31 135 48	0 00 0 00	0 00 0 00		(3 17) SHORT-TERM	RESMED INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
761152107 6 00	04/20/2011 01/20/2011	198 47 200 42	0 00 0 00	0 00 0 00		(1 95) SHORT-TERM	RESMED INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
67945E105 16 00	04/20/2011 01/20/2011	569 26 528 78	0 00 0 00	0 00 0 00		40 48 SHORT-TERM	SEADRILL LTD CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
92933H101 8 00	04/20/2011 01/20/2011	495 02 503 76	(8 74) 0 00	0 00 0 00		0 00 SHORT-TERM	WPP PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
20441A102 4 00	04/26/2011 01/20/2011	239 70 203 32	0 00 0 00	0 00 0 00		36 38 SHORT-TERM	COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
46562106 9 00	04/26/2011 01/20/2011	221 34 201 94	0 00 0 00	0 00 0 00		19 40 SHORT-TERM	ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
761152107 1 00	04/26/2011 01/20/2011	33 50 33 87	0 00 0 00	0 00 0 00		(0 37) SHORT-TERM	RESMED INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
761152107 4 00	04/26/2011 02/01/2011	134 00 121 67	0 00 0 00	0 00 0 00		12 33 SHORT-TERM	RESMED INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
761152107 15 00	04/26/2011 01/20/2011	502 49 486 49	0 00 0 00	0 00 0 00		16 00 SHORT-TERM	RESMED INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
767204100 7 00	04/26/2011 01/20/2011	511 17 479 54	0 00 0 00	0 00 0 00		31 63 SHORT-TERM	RIO TINTO PLC SPONSORED ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
92933H101 6 00	04/26/2011 01/20/2011	367 77 377 82	(10 05) 0 00	0 00 0 00		0 00 SHORT-TERM	WPP PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
813113206 64 00	04/27/2011 01/20/2011	771 55 746 30	0 00 0 00	0 00 0 00		25 25 SHORT-TERM	SECOM LTD (ADR) ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		



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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No Quantity	Box 1a: Date of Sale or Exchange Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc.	Box 3 Cost or Other Basis	Box 5: Wash Sale Loss Disallowed	Box 6: Check if a Noncovered Security	Box 8: Type of Gain or Loss	Box 9: Description	* Gain or (Loss)		Box 15: Check if Loss not Allowed Based on Amount in Box 2
								Box 1b: Date of Acquisition	Box 1c: Date of Acquisition	
813113206 20 00	05/02/2011 01/20/2011	251 67 241 00	0 00			10 67 SHORT-TERM	SECOM LTD (ADR) ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS			
813113206 20 00	05/02/2011 01/20/2011	251 67 243 23	0 00			8 44 SHORT-TERM	SECOM LTD (ADR) ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS			
813113206 27 00	05/02/2011 02/24/2011	339 75 338 85	0 00			0 90 SHORT-TERM	SECOM LTD (ADR) ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS			
813113206 36 00	05/02/2011 03/28/2011	453 00 419 40	0 00			33 60 SHORT-TERM	SECOM LTD (ADR) ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS			
813113206 62 00	05/02/2011 01/20/2011	780 17 722 98	0 00			57 19 SHORT-TERM	SECOM LTD (ADR) ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS			
865613103 21 00	05/02/2011 01/20/2011	288 31 316 68	(28 37)			0 00 SHORT-TERM	SUMITOMO CORPORATION SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS			
500458401 4 00	05/03/2011 01/20/2011	142 43 122 96	0 00			19 47 SHORT-TERM	KOMATSU LTD ADR (NEW) SPONSORED GDS CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS			
500458401 7 00	05/04/2011 01/20/2011	248 39 215 18	0 00			33 21 SHORT-TERM	KOMATSU LTD ADR (NEW) SPONSORED GDS CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS			
000375204 2 00	05/05/2011 01/21/2011	52 13 46 82	0 00			5 31 SHORT-TERM	ABB LTD SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS			
000375204 9 00	05/05/2011 02/11/2011	234 58 210 51	0 00			24 07 SHORT-TERM	ABB LTD SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS			
000375204 13 00	05/05/2011 02/24/2011	338 84 312 39	0 00			26 45 SHORT-TERM	ABB LTD SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS			
000375204 31 00	05/05/2011 01/20/2011	808 01 709 99	0 00			98 02 SHORT-TERM	ABB LTD SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS			



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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1a: Date of Sale or Exchange Box 1b: Date of Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc. Box 3: Cost or Other Basis	Box 4: Wash Sale Loss Disallowed	Box 5: Noncovered Security	Box 6: Check if a	Box 7: Type of Gain or Loss	Box 8: * Gain or (Loss)	Box 9: Description	Box 15: Check if Loss not Allowed Based on Amount in Box 2
05967A107 9 00	05/05/2011 01/20/2011	100 10 117 95	0 00			SHORT-TERM	(17 86)	BANCO SANTANDER BRASIL SA ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
05967A107 23 00	05/05/2011 03/28/2011	255 80 271 40	0 00			SHORT-TERM	(15 60)	BANCO SANTANDER BRASIL SA ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
05967A107 45 00	05/05/2011 04/01/2011	500 48 560 39	0 00			SHORT-TERM	(59 91)	BANCO SANTANDER BRASIL SA ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
05967A107 54 00	05/05/2011 01/20/2011	600 58 665 28	0 00			SHORT-TERM	(64 70)	BANCO SANTANDER BRASIL SA ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
05967A107 72 00	05/05/2011 05/03/2011	800 78 804 24	0 00			SHORT-TERM	(3 47)	BANCO SANTANDER BRASIL SA ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
268780103 6 00	05/05/2011 03/23/2011	187 85 179 92	0 00			SHORT-TERM	7 93	E ON AG SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
268780103 9 00	05/05/2011 03/24/2011	281 78 271 90	0 00			SHORT-TERM	9 88	E ON AG SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
268780103 17 00	05/05/2011 03/25/2011	532 24 513 06	0 00			SHORT-TERM	19 18	E ON AG SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
26874R108 4 00	05/05/2011 02/24/2011	202 72 191 04	0 00			SHORT-TERM	11 68	ENI S P A SPON ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
26874R108 11 00	05/05/2011 01/20/2011	557 47 516 67	0 00			SHORT-TERM	40 80	ENI S P A SPON ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	

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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511584** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1a Date of Sale or Exchange Acquisition	Box 2 Gross Proceeds of Stocks, Bonds, etc.	Box 6: Check if a		Box 5 Wash Sale Loss Disallowed	Box 3 Cost or Other Basis	Box 8: Type of Gain or Loss	Box 9: Description	Box 15: Check if Loss not Allowed Based on Amount in Box 2
			Noncovered	Security					
26874R108 14 00	05/05/2011 05/03/2011	709 50 735 70	0 00		0 00	(26 20)	SHORT-TERM	ENI S P A SPON ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
26874R108 15 00	05/05/2011 03/28/2011	760 18 730 35	0 00		0 00	29 83	SHORT-TERM	ENI S P A SPON ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
294821608 77 00	05/05/2011 01/20/2011	1,149 16 884 78	0 00		0 00	264 38	SHORT-TERM	ERICSSON (LM) TEL CO ADR CMN CLASS B AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
71654V101 13 00	05/05/2011 01/20/2011	407 14 429 52	(22 38)			0 00	SHORT-TERM	PETROLEO BRASILEIRO S A SPON ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
054536107 32 00	05/12/2011 01/20/2011	673 46 639 68	0 00		0 00	33 78	SHORT-TERM	AXA-UAP AMERICAN DEPOSITORY SHARES AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
055434203 5 00	05/12/2011 01/20/2011	546 97 520 30	0 00		0 00	26 67	SHORT-TERM	BG GROUP PLC SPON ADR ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
204448104 23 00	05/12/2011 01/20/2011	901 16 968 31	(67 15)			0 00	SHORT-TERM	COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
500472303 20 00	05/12/2011 01/20/2011	587 29 663 37	(76 08)			0 00	SHORT-TERM	KONINKLIJKE PHILIPS ELECTRS NV ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
501173207 14 00	05/12/2011 01/20/2011	636 69 710 08	(73 39)			0 00	SHORT-TERM	KUBOTA CORP ADR ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
204448104 1 00	05/13/2011 01/20/2011	38 73 42 10	(3 38)			0 00	SHORT-TERM	COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	

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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1a: Date of Sale or Exchange Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc.	Box 3: Cost or Other Basis	Box 4: Wash Sale Loss Disallowed	Box 5: Check if a Noncovered Security	Box 6: Type of Gain or Loss	* Gain or (Loss)	Box 9: Description	Box 15: Check if Loss not Allowed Based on Amount in Box 2
204448104 11.00	05/13/2011 01/20/2011	425.98 487.29	(61.31)			0.00	0.00	COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
268780103 7.00	05/13/2011 03/25/2011	205.10 211.26	0.00			(6.16)	(6.16)	E ON AG SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
268780103 20.00	05/13/2011 03/28/2011	585.99 599.50	0.00			(13.51)	(13.51)	E ON AG SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
268780103 23.00	05/13/2011 03/29/2011	673.89 687.01	0.00			(13.12)	(13.12)	E ON AG SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
268780103 44.00	05/13/2011 05/03/2011	1,289.19 1,418.12	0.00			(128.93)	(128.93)	E ON AG SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
37733W105 8.00	05/13/2011 01/20/2011	348.31 296.08	0.00			52.23	52.23	GLAXOSMITHKLINE PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
465562106 26.00	05/13/2011 01/20/2011	575.81 583.38	(7.57)			0.00	0.00	ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
500472303 8.00	05/13/2011 01/20/2011	233.96 265.35	(31.39)			0.00	0.00	KONINKLIJKE PHILIPS ELECTRS NV ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
501173207 3.00	05/13/2011 01/20/2011	133.98 154.74	(6.92)			(13.84)	(13.84)	KUBOTA CORP ADR ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
501173207 3.00	05/13/2011 01/20/2011	133.98 151.19	0.00			(17.21)	(17.21)	KUBOTA CORP ADR ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
501173207 6.00	05/13/2011 01/20/2011	267.95 303.40	0.00			(35.45)	(35.45)	KUBOTA CORP ADR ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	

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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1a: Date of Sale or Exchange Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc	Box 3: Cost or Other Basis	Box 4: Wash Sale Loss Disallowed	Box 5: Check if a Noncovered Security	Box 6: Type of Gain or Loss	Box 7: Description	Box 15: Check if	
								Loss not Allowed	Based on Amount in Box 2
71654V101 20 00	05/13/2011 01/20/2011	585 51 660 80	(75 29)			0 00	PETROLEO BRASILEIRO S A SPON ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
67945E105 14 00	05/13/2011 01/20/2011	457 83 462 69	(4 86)			0 00	SEADRILL LTD CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
05565A202 33 00	05/16/2011 01/20/2011	1,238 62 1,204 50	0 00			34 12	BNP PARIBAS SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
492051305 0 90	05/16/2011 05/16/2011	15 86 0 00	0 00			15 86	KEPPEL CORP LTD (ADR) SPONSORED ADR CMN Non-Qualified Cash In lieu proceeds on KEPPEL CORP LTD (ADR) SPONSO Stock Dividend		
204448104 2 00	06/01/2011 01/20/2011	84 56 86 04	(1 48)			0 00	COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
204448104 3 00	06/01/2011 01/20/2011	126 84 132 90	(6 06)			0 00	COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
501173207 1 00	06/01/2011 01/20/2011	44 33 52 49	0 00			(8 16)	KUBOTA CORP ADR ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
501173207 7 00	06/01/2011 01/20/2011	310 29 353 97	0 00			(43 68)	KUBOTA CORP ADR ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
501173207 1 00	06/02/2011 01/20/2011	43 73 54 16	0 00			(10 44)	KUBOTA CORP ADR ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
501173207 4 00	06/02/2011 02/01/2011	174 91 210 45	0 00			(35 54)	KUBOTA CORP ADR ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
501173207 4 00	06/02/2011 03/24/2011	174 91 204 54	0 00			(29 63)	KUBOTA CORP ADR ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
501173207 7 00	06/02/2011 02/24/2011	306 09 353 08	0 00			(46 99)	KUBOTA CORP ADR ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		

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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1a: Date of Sale or Exchange	Box 2: Gross Proceeds of Stocks, Bonds, etc.	Box 3: Cost or Other Basis	Box 4: Wash Sale Loss Disallowed	Box 5: Description	* Gain or (Loss)	Box 6: Type of Gain or Loss	Box 6: Check if Noncovered Security	Box 15: Check if Loss not Allowed Based on Amount in Box 2
501173207 7 00	06/02/2011 03/23/2011	306 09 360 47	0 00	0 00	KUBOTA CORP ADR ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	(54 38)	SHORT-TERM		
501173207 13 00	06/02/2011 01/20/2011	568 46 682 31	0 00	0 00	KUBOTA CORP ADR ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	(113 85)	SHORT-TERM		
501173207 14 00	06/02/2011 03/28/2011	612 18 683 90	0 00	0 00	KUBOTA CORP ADR ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	(71 72)	SHORT-TERM		
501173207 3 00	06/03/2011 03/29/2011	134 13 143 19	0 00	0 00	KUBOTA CORP ADR ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	(9 06)	SHORT-TERM		
501173207 7 00	06/03/2011 03/28/2011	312 96 341 95	0 00	0 00	KUBOTA CORP ADR ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	(28 99)	SHORT-TERM		
204448104 1 00	06/06/2011 01/20/2011	37 29 43 48	0 00	0 00	COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	(6 18)	SHORT-TERM		
204448104 9 00	06/06/2011 02/18/2011	335 65 405 74	(70 10)	0 00	COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	0 00	SHORT-TERM		
204448104 21 00	06/06/2011 01/20/2011	783 18 903 41	(17 18)	0 00	COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	(103 06)	SHORT-TERM		
500458401 49 00	06/07/2011 01/20/2011	1,427 82 1,506 26	(78 44)	0 00	KOMATSU LTD ADR (NEW) SPONSORED GDS CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	0 00	SHORT-TERM		
294821608 50 00	06/10/2011 01/20/2011	693 67 574 54	0 00	0 00	ERICSSON (LM) TEL CO ADR CMN CLASS B AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	119 13	SHORT-TERM		
055262505 13 00	06/13/2011 01/20/2011	1,182 32 982 80	0 00	0 00	BASF SE ADR SPONSORED ADR CMN USD0 7322 AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	199 52	SHORT-TERM		

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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No Quantity	Box 1a. Date of Sale or Exchange	Box 2: Gross Proceeds of Stocks, Bonds, etc.	Box 3 Cost or Other Basis	Box 4: Wash Sale Loss Disallowed	Box 5: Noncovered Security	Box 6: Check if a	Box 7: Type of Gain or Loss	Box 8: * Gain or (Loss)	Box 9: Description	Box 15: Check if Loss not Allowed Based on Amount in Box 2
20441A102 11 00	06/13/2011 01/20/2011	643 79 559 13	0 00 0 00	0 00 0 00			SHORT-TERM SHORT-TERM	84 66 26 22	COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS VINCI S ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
927320101 30 00	06/13/2011 01/20/2011	452 59 426 37	0 00 0 00	0 00 0 00			SHORT-TERM SHORT-TERM	1 35 15 36	ACCENTURE PLC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS ACCENTURE PLC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
G1151C101 6 00	06/17/2011 03/28/2011	324 08 322 74	0 00 0 00	0 00 0 00			SHORT-TERM SHORT-TERM	67 92 188 48	ACCENTURE PLC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS FRESENIUS MEDICAL CARE AG & CO KGAA AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
G1151C101 7 00	06/17/2011 02/24/2011	378 10 362 74	0 00 0 00	0 00 0 00			SHORT-TERM SHORT-TERM	67 92 188 48	ACCENTURE PLC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS FRESENIUS MEDICAL CARE AG & CO KGAA AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
G1151C101 21 00	06/17/2011 01/20/2011	1,134 30 1,066 38	0 00 0 00	0 00 0 00			SHORT-TERM SHORT-TERM	67 92 188 48	ACCENTURE PLC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS FRESENIUS MEDICAL CARE AG & CO KGAA AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
358029106 12 00	06/17/2011 01/20/2011	864 18 675 70	0 00 0 00	0 00 0 00			SHORT-TERM SHORT-TERM	67 92 188 48	ACCENTURE PLC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS FRESENIUS MEDICAL CARE AG & CO KGAA AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
606822104 34 00	06/17/2011 02/24/2011	151 68 183 26	0 00 0 00	0 00 0 00			SHORT-TERM SHORT-TERM	(31 58) (10 13)	MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
606822104 47 00	06/17/2011 04/26/2011	209 67 219 80	0 00 0 00	0 00 0 00			SHORT-TERM SHORT-TERM	(31 82) (5 68)	MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
606822104 103 00	06/17/2011 03/28/2011	459 50 491 31	0 00 0 00	0 00 0 00			SHORT-TERM SHORT-TERM	(67 33) (67 33)	MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
606822104 146 00	06/17/2011 06/13/2011	651 32 657 00	0 00 0 00	0 00 0 00			SHORT-TERM SHORT-TERM	(67 33) (67 33)	MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
606822104 193 00	06/17/2011 05/03/2011	861 00 928 33	0 00 0 00	0 00 0 00			SHORT-TERM SHORT-TERM	(67 33) (67 33)	MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	

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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1a: Date of Sale or Exchange Date of Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc.	Box 3: Cost or Other Basis	Box 4: Wash Sale Loss Disallowed	Box 5: Noncovered Security	Box 6: Check if a	Box 7: Type of Gain or Loss	Box 8: * Gain or (Loss)	Box 9: Description	Box 15: Check if Loss not Allowed Based on Amount in Box 2
608622104 240 00	06/17/2011 01/20/2011	1,070 67 1,312 78	(206 81)				SHORT-TERM	(35 30)	MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
835699307 1 00	06/17/2011 01/20/2011	24 98 35 04	(10 06)				SHORT-TERM	0 00	SONY CORPORATION ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
835699307 4 00	06/17/2011 01/20/2011	99 90 140 42	(40 52)				SHORT-TERM	0 00	SONY CORPORATION ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
835699307 15 00	06/17/2011 01/20/2011	374 64 584 48	(209 84)				SHORT-TERM	0 00	SONY CORPORATION ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
835699307 42 00	06/17/2011 01/20/2011	1,048 99 1,490 48	(441 49)				SHORT-TERM	0 00	SONY CORPORATION ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
608622104 205 00	06/20/2011 01/20/2011	915 24 1,129 31	0 00				SHORT-TERM	(214 07)	MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
865613103 119 00	06/20/2011 01/20/2011	1,518 78 1,794 52	(275 74)				SHORT-TERM	0 00	SUMITOMO CORPORATION SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
D1668R123 1 00	06/21/2011 01/20/2011	70 03 70 11	(0 08)				SHORT-TERM	0 00	DAIMLER AG CMN ISIN: DE0007100000 AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
D1668R123 7 00	06/21/2011 01/20/2011	490 22 526 68	(36 46)				SHORT-TERM	0 00	DAIMLER AG CMN ISIN: DE0007100000 AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
677862104 3 00	06/21/2011 02/01/2011	186 50 192 44	(5 94)				SHORT-TERM	0 00	LUKOIL OIL CO SPONS ADR SPONSORED ADR CMN CLASS AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
677862104 3 00	06/21/2011 02/24/2011	186 50 206 97	(20 47)				SHORT-TERM	0 00	LUKOIL OIL CO SPONS ADR SPONSORED ADR CMN CLASS AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	

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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1a: Date of Sale or Exchange Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc.	Box 3: Cost or Other Basis	Box 4: Wash Sale Loss Disallowed	Box 5: Noncovered Security	Box 6: Check if a	Box 7: Type of Gain or Loss	* Gain or (Loss)	Box 8: Description	Box 9: Description	Box 15: Check if
											Loss not Allowed Based on Amount in Box 2
677862104 21 00	06/22/2011 01/20/2011	1,312 25 1,363 82	0 00 0 00				SHORT-TERM	(51 57)	LUKOIL OIL CO SPONS ADR SPONSORED ADR CMN CLASS AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
055262505 1 00	06/23/2011 02/01/2011	90 63 80 12	0 00 0 00				SHORT-TERM	10 51	BASF SE ADR SPONSORED ADR CMN USDO 7322 AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
055262505 3 00	06/23/2011 02/24/2011	271 89 243 84	0 00 0 00				SHORT-TERM	28 05	BASF SE ADR SPONSORED ADR CMN USDO 7322 AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
055262505 8 00	06/23/2011 01/20/2011	725 03 604 80	0 00 0 00				SHORT-TERM	120 23	BASF SE ADR SPONSORED ADR CMN USDO 7322 AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
204448104 2 00	06/23/2011 05/03/2011	71 33 75 84	0 00 0 00				SHORT-TERM	(4 51)	COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
204448104 3 00	06/23/2011 02/18/2011	106 99 135 25	0 00 0 00				SHORT-TERM	(28 26)	COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
204448104 3 00	06/23/2011 03/28/2011	106 99 117 60	0 00 0 00				SHORT-TERM	(10 61)	COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
204448104 3 00	06/23/2011 05/03/2011	106 99 128 72	0 00 0 00				SHORT-TERM	(21 72)	COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
204448104 5 00	06/23/2011 03/04/2011	178 32 230 04	0 00 0 00				SHORT-TERM	(51 72)	COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
204448104 9 00	06/23/2011 02/24/2011	320 98 413 00	0 00 0 00				SHORT-TERM	(92 02)	COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		



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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No Country	Box 1a Date of Sale or Exchange Acquisition	Box 2 Gross Proceeds of Stocks, Bonds, etc	Box 3 Cost or Other Basis	Box 4 Wash Sale Loss Disallowed	Box 5 Noncovered Security	Box 6 Check if a	Box 7 Type of Gain or Loss	* Gain or (Loss)	Box 8 Description	Box 9 Description	Box 15
											Check if Loss not Allowed Based on Amount in Box 2
204448104 9 00	06/23/2011 02/18/2011	320 98 404 72	0 00	0 00			(83 74)	SHORT-TERM	COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
204448104 10 00	06/23/2011 03/28/2011	356 64 421 50	0 00	0 00			(64 86)	SHORT-TERM	COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
204448104 11 00	06/23/2011 03/28/2011	392 30 502 41	0 00	0 00			(110 10)	SHORT-TERM	COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
368287207 16 00	06/23/2011 03/29/2011	221 33 255 05	0 00	0 00			(33 72)	SHORT-TERM	GAZPROM ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
368287207 50 00	06/23/2011 03/31/2011	691 67 809 23	0 00	0 00			(117 56)	SHORT-TERM	GAZPROM ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
368287207 54 00	06/23/2011 03/30/2011	747 00 869 83	0 00	0 00			(122 83)	SHORT-TERM	GAZPROM ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
368287207 65 00	06/23/2011 05/03/2011	899 17 1,052 35	0 00	0 00			(153 18)	SHORT-TERM	GAZPROM ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
368287207 101 00	06/23/2011 06/13/2011	1,397 17 1,507 93	0 00	0 00			(110 76)	SHORT-TERM	GAZPROM ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
500472303 7 00	06/23/2011 01/27/2011	161 34 223 05	(61 71)				0 00	SHORT-TERM	KONINKLIJKE PHILLIPS ELECTRS NV ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
500472303 8 00	06/23/2011 01/20/2011	184 39 274 67	(90 28)				0 00	SHORT-TERM	KONINKLIJKE PHILLIPS ELECTRS NV ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		

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SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

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COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No Quantity	Box 1a: Date of Sale or Exchange 1b. Date of Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc. Box 3: Cost or Other Basis	Box 4: Wash Sale Loss Disallowed	Box 5: Check if a Noncovered Security	Box 6: Type of Gain or Loss	* Gain or (Loss)	Box 9: Description	Box 15: Check if
								Loss not Allowed Based on Amount in Box 2
500472303 12 00	06/23/2011 02/24/2011	278 59 380 28	(60 49)		SHORT-TERM	(43 20)	KONINKLIJKE PHILIPS ELECTRS NV ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
500472303 13 00	06/23/2011 03/28/2011	299 63 405 21	0 00		SHORT-TERM	(105 58)	KONINKLIJKE PHILIPS ELECTRS NV ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
500472303 20 00	06/23/2011 01/20/2011	460 98 684 28	(223 30)		SHORT-TERM	0 00	KONINKLIJKE PHILIPS ELECTRS NV ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
500472303 41 00	06/23/2011 01/20/2011	945 00 1,359 90	(414 90)		SHORT-TERM	0 00	KONINKLIJKE PHILIPS ELECTRS NV ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
865613103 9 00	06/24/2011 01/20/2011	118 37 138 39	0 00		SHORT-TERM	(20 02)	SUMITOMO CORPORATION SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
865613103 21 00	06/24/2011 01/20/2011	276 21 317 54	(41 34)		SHORT-TERM	0 00	SUMITOMO CORPORATION SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
865613103 46 00	06/24/2011 01/20/2011	605 02 693 68	(88 66)		SHORT-TERM	0 00	SUMITOMO CORPORATION SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
072730302 2 00	07/01/2011 02/11/2011	161 46 150 99	0 00		SHORT-TERM	10 47	BAYER AG-SPONSORED ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
072730302 2 00	07/01/2011 02/14/2011	161 46 150 45	0 00		SHORT-TERM	11 01	BAYER AG-SPONSORED ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
072730302 4 00	07/01/2011 02/24/2011	322 92 302 20	0 00		SHORT-TERM	20 72	BAYER AG-SPONSORED ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	

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072730302 8 00	07/01/2011 03/28/2011	645 84 598 40	0 00				SHORT-TERM	47 44	BAYER AG-SPONSORED ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
072730302 8 00	07/01/2011 05/03/2011	645 84 691 20	(45 36)				SHORT-TERM	0 00	BAYER AG-SPONSORED ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
072730302 1 00	07/08/2011 06/13/2011	81 23 80 42	0 00				SHORT-TERM	0 81	BAYER AG-SPONSORED ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
072730302 5 00	07/08/2011 05/03/2011	406 16 432 00	(25 84)				SHORT-TERM	0 00	BAYER AG-SPONSORED ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
072730302 8 00	07/08/2011 05/03/2011	649 86 688 75	(38 89)				SHORT-TERM	0 00	BAYER AG-SPONSORED ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
92933H101 2 00	07/08/2011 01/20/2011	120 84 123 99	(3 15)				SHORT-TERM	0 00	WPP PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
92933H101 2 00	07/08/2011 01/20/2011	120 84 124 73	(3 89)				SHORT-TERM	0 00	WPP PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
92933H101 4 00	07/08/2011 01/20/2011	241 68 249 69	(8 02)				SHORT-TERM	0 00	WPP PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
92933H101 4 00	07/08/2011 01/20/2011	241 68 251 24	(9 56)				SHORT-TERM	0 00	WPP PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
92933H101 14 00	07/08/2011 01/20/2011	845 86 881 58	(35 72)				SHORT-TERM	0 00	WPP PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
92933H101 2 00	07/11/2011 01/20/2011	117 08 125 62	(8 54)				SHORT-TERM	0 00	WPP PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	

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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1e: Date of Sale or Exchange Box 1b: Date of Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc. Box 3: Cost or Other Basis	Box 4: Wash Sale Loss Disallowed	Box 5: Noncovered Security	Box 6: Check if a	Box 7: Gain or (Loss) Gain or Loss	Box 8: Type of Gain or Loss	Box 9: Description	Box 15: Check if
									Loss not Allowed Based on Amount in Box 2
92953H101 10 00	07/11/2011 01/20/2011	585 41 626 71	0 00			(41 30)	SHORT-TERM	WPP PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
072730302 2 00	07/13/2011 05/03/2011	156 64 171 18	0 00			(14 54)	SHORT-TERM	BAYER AG-SPONSORED ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
072730302 3 00	07/13/2011 05/03/2011	234 96 263 45	0 00			(28 49)	SHORT-TERM	BAYER AG-SPONSORED ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
072730302 8 00	07/13/2011 05/03/2011	626 57 700 09	0 00			(73 52)	SHORT-TERM	BAYER AG-SPONSORED ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
072730302 14 00	07/13/2011 06/14/2011	1,096 49 1,157 10	0 00			(60 61)	SHORT-TERM	BAYER AG-SPONSORED ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
87160A100 39 00	07/13/2011 01/20/2011	2,643 91 2,316 60	0 00			327 31	SHORT-TERM	SYNGENTA AG SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
87927Y102 28 00	07/14/2011 01/20/2011	341 12 393 25	0 00			(52 13)	SHORT-TERM	TELECOM ITALIA SPA SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
87927Y102 33 00	07/14/2011 01/20/2011	402 04 456 70	0 00			(54 67)	SHORT-TERM	TELECOM ITALIA SPA SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
29255W207 28 00	07/15/2011 04/27/2011	156 79 195 95	0 00			(39 16)	SHORT-TERM	ENEL SOCIETA PER AZIONI ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
456837103 139 00	07/15/2011 01/20/2011	1,488 08 1,475 35	0 00			12 73	SHORT-TERM	ING GROEP N V SPONS ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	



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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1a: Date of Exchange or Date of Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc	Box 3: Cost or Other Basis	Box 5: Wash Sale Loss Disallowed	Box 6: Check if a Noncovered Security	Box 8: Type of Gain or Loss	Box 9: Description	Box 15: Check if Loss not Allowed Based on Amount in Box 2	
								* Gain or (Loss)	
46115H107 58 00	07/15/2011 01/20/2011	777 18 1,125 78	0 00 0 00			SHORT-TERM	INTESA SANPAOLO SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
92933H101 2 00	07/15/2011 01/20/2011	114 35 123 39	0 00 0 00			SHORT-TERM	WPP PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
92933H101 2 00	07/15/2011 01/20/2011	114 35 124 13	0 00 0 00			SHORT-TERM	WPP PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
92933H101 2 00	07/15/2011 03/28/2011	114 35 128 78	0 00 0 00			SHORT-TERM	WPP PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
92933H101 4 00	07/15/2011 06/13/2011	228 71 240 48	0 00 0 00			SHORT-TERM	WPP PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
92933H101 4 00	07/15/2011 01/20/2011	228 71 250 69	0 00 0 00			SHORT-TERM	WPP PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
92933H101 4 00	07/15/2011 01/20/2011	228 71 248 50	0 00 0 00			SHORT-TERM	WPP PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
92933H101 4 00	07/15/2011 03/28/2011	228 71 250 04	0 00 0 00			SHORT-TERM	WPP PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
92933H101 5 00	07/15/2011 02/24/2011	285 89 331 56	0 00 0 00			SHORT-TERM	WPP PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
92933H101 7 00	07/15/2011 03/28/2011	400 24 427 94	0 00 0 00			SHORT-TERM	WPP PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
92933H101 20 00	07/15/2011 05/03/2011	1,143 54 1,271 40	0 00 0 00			SHORT-TERM	WPP PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
054536107 8 00	07/18/2011 03/09/2011	144 11 168 18	0 00 0 00			SHORT-TERM	AXA-UAP AMERICAN DEPOSITORY SHARES AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		



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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1a: Date of Sale or Exchange		Box 2: Gross Proceeds of Stocks, Bonds, etc		Box 3: Cost or Other Basis		Box 5: Wash Sale Loss Disallowed		Box 6: Check if a Noncovered Security		* Gain or (Loss) Box 8: Type of Gain or Loss	Box 9: Description	Box 15: Check if Loss not Allowed Based on Amount in Box 2
	Box 1b: Date of Acquisition	Box 1c: Date of Exchange	Box 2a: Gross Proceeds	Box 2b: Gross Proceeds	Box 3a: Cost or Other Basis	Box 3b: Cost or Other Basis	Box 5a: Wash Sale Loss Disallowed	Box 5b: Wash Sale Loss Disallowed	Box 6a: Check if a Noncovered Security	Box 6b: Check if a Noncovered Security			
054536107 13 00	07/18/2011	02/24/2011	234 18	263 38	0 00	0 00					(29 21)	AXA-UAP AMERICAN DEPOSITORY SHARES AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
054536107 17 00	07/18/2011	03/04/2011	306 23	353 97	0 00	0 00					(47 74)	AXA-UAP AMERICAN DEPOSITORY SHARES AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
054536107 32 00	07/18/2011	01/20/2011	576 43	639 68	0 00	0 00					(63 25)	AXA-UAP AMERICAN DEPOSITORY SHARES AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
054536107 35 00	07/18/2011	03/28/2011	630 47	724 50	0 00	0 00					(94 03)	AXA-UAP AMERICAN DEPOSITORY SHARES AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
054536107 47 00	07/18/2011	05/03/2011	846 63	1,051 86	0 00	0 00					(205 23)	AXA-UAP AMERICAN DEPOSITORY SHARES AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
05565A202 8 00	07/18/2011	02/09/2011	245 98	313 12	0 00	0 00					(67 14)	BNP PARIBAS SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
05565A202 12 00	07/18/2011	02/24/2011	368 98	451 80	0 00	0 00					(82 82)	BNP PARIBAS SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
05565A202 18 00	07/18/2011	03/28/2011	553 46	674 28	0 00	0 00					(120 82)	BNP PARIBAS SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
05565A202 22 00	07/18/2011	01/20/2011	676 46	803 00	0 00	0 00					(126 54)	BNP PARIBAS SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
29265W207 73 00	07/18/2011	04/27/2011	397 84	510 87	0 00	0 00					(113 03)	ENEL SOCIETA PER AZIONI ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
46115H107 2 00	07/18/2011	02/04/2011	25 00	41 14	0 00	0 00					(16 14)	INTESA SANPAOLO SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	

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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No Quantity	Box 1a: Date of Sale or Exchange Box 1b. Date of Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc. Box 3: Cost or Other Basis	Box 4: Wash Sale Loss Disallowed	Box 5: Check if a Noncovered Security	Box 6: Type of Gain or Loss	Box 7: * Gain or (Loss)	Box 8: Description	Box 9: Check if Loss not Allowed Based on Amount in Box 2
46115H107 5 00	07/18/2011 02/24/2011	62 51 98 95	0 00		SHORT-TERM	(36 44)	INTESA SANPAOLO SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
46115H107 9 00	07/18/2011 02/07/2011	112 52 184 10	0 00		SHORT-TERM	(71 58)	INTESA SANPAOLO SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
46115H107 12 00	07/18/2011 02/08/2011	150 02 243 86	0 00		SHORT-TERM	(93 84)	INTESA SANPAOLO SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
29265W207 67 00	07/19/2011 05/03/2011	375 19 474 36	0 00		SHORT-TERM	(99 17)	ENEL SOCIETA PER AZIONI ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
29265W207 117 00	07/19/2011 04/27/2011	655 19 818 79	0 00		SHORT-TERM	(163 60)	ENEL SOCIETA PER AZIONI ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
054536107 17 00	07/20/2011 06/13/2011	328 74 360 74	0 00		SHORT-TERM	(32 00)	AXA-UAP AMERICAN DEPOSITORY SHARES AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
054536107 21 00	07/20/2011 05/03/2011	406 09 469 98	0 00		SHORT-TERM	(63 89)	AXA-UAP AMERICAN DEPOSITORY SHARES AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
05565A202 5 00	07/20/2011 05/03/2011	166 40 197 30	0 00		SHORT-TERM	(30 90)	BNP PARIBAS SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
05565A202 7 00	07/20/2011 03/28/2011	232 95 262 22	0 00		SHORT-TERM	(29 27)	BNP PARIBAS SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
29265W207 37 00	07/20/2011 05/06/2011	214 50 250 25	0 00		SHORT-TERM	(35 74)	ENEL SOCIETA PER AZIONI ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
29265W207 47 00	07/20/2011 05/03/2011	272 48 332 76	0 00		SHORT-TERM	(60 28)	ENEL SOCIETA PER AZIONI ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	

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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1a: Date of Sale or Exchange Box 1b: Date of Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc.	Box 3: Cost or Other Basis	Box 5: Wash Sale Loss Disallowed	Box 6: Check if a Noncovered Security	Box 8: Type of Gain or Loss	Box 9: Description	Box 15: Check if Loss not Allowed Based on Amount in Box 2	
								* Gain	or (Loss)
358029106 1 00	07/20/2011 01/20/2011	77 38 56 31	0 00	0 00		21 07 SHORT-TERM	FRESENIUS MEDICAL CARE AG & CO KGAA AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
358029106 1 00	07/20/2011 03/28/2011	77 38 67 24	0 00	0 00		10 14 SHORT-TERM	FRESENIUS MEDICAL CARE AG & CO KGAA AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
358029106 2 00	07/20/2011 02/24/2011	154 76 130 76	0 00	0 00		24 00 SHORT-TERM	FRESENIUS MEDICAL CARE AG & CO KGAA AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
46115H107 5 00	07/20/2011 04/12/2011	69 68 98 01	0 00	0 00		(28 33) SHORT-TERM	INTESA SANPAOLO SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
46115H107 8 00	07/20/2011 02/24/2011	111 49 158 32	0 00	0 00		(46 83) SHORT-TERM	INTESA SANPAOLO SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
46115H107 33 00	07/20/2011 03/28/2011	459 88 639 21	0 00	0 00		(179 33) SHORT-TERM	INTESA SANPAOLO SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
705015105 9 00	07/20/2011 01/20/2011	166 95 151 05	0 00	0 00		15 90 SHORT-TERM	PEARSON PLC SPON ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
29265W207 23 00	07/21/2011 05/13/2011	136 68 155 33	0 00	0 00		(18 65) SHORT-TERM	ENEL SOCIETA PER AZIONI ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
29265W207 64 00	07/21/2011 05/06/2011	380 31 432 86	0 00	0 00		(52 55) SHORT-TERM	ENEL SOCIETA PER AZIONI ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
358029106 5 00	07/21/2011 03/28/2011	388 69 336 20	0 00	0 00		52 49 SHORT-TERM	FRESENIUS MEDICAL CARE AG & CO KGAA AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		



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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1a: Date of Sale or Exchange Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc.		Box 5: Wash Sale Loss Disallowed	Box 6: Check if a Noncovered Security	* Gain or (Loss) Box 8: Type of Gain or Loss	Box 9: Description	Box 15: Check if Loss not Allowed Based on Amount in Box 2
		Box 3: Cost or Other Basis	Box 4: Proceeds					
358029106 6 00	07/25/2011 04/12/2011	470 00 426 71	0 00	0 00		43 29 SHORT-TERM	FRESENIUS MEDICAL CARE AG & CO KGAA AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
654624105 5 00	07/25/2011 02/23/2011	123 79 121 09	0 00	0 00		2 71 SHORT-TERM	NIPPON TELEG & TEL SPON ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
654624105 15 00	07/25/2011 02/18/2011	371 37 369 07	0 00	0 00		2 30 SHORT-TERM	NIPPON TELEG & TEL SPON ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
654624105 18 00	07/25/2011 02/22/2011	445 65 439 29	0 00	0 00		6 36 SHORT-TERM	NIPPON TELEG & TEL SPON ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
71654V101 3 00	07/25/2011 05/03/2011	93 18 98 13	0 00	0 00		(4 95) SHORT-TERM	PETROLEO BRASILEIRO S A SPON ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
71654V101 4 00	07/25/2011 01/20/2011	124 24 132 16	0 00	0 00		(7 92) SHORT-TERM	PETROLEO BRASILEIRO S A SPON ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
71654V101 7 00	07/25/2011 02/24/2011	217 42 244 23	0 00	0 00		(26 81) SHORT-TERM	PETROLEO BRASILEIRO S A SPON ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
71654V101 8 00	07/25/2011 01/21/2011	248 48 263 46	0 00	0 00		(14 98) SHORT-TERM	PETROLEO BRASILEIRO S A SPON ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
71654V101 13 00	07/25/2011 01/20/2011	403 78 447 61	0 00	0 00		(43 83) SHORT-TERM	PETROLEO BRASILEIRO S A SPON ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
71654V101 14 00	07/25/2011 06/13/2011	434 84 417 34	0 00	0 00		17 50 SHORT-TERM	PETROLEO BRASILEIRO S A SPON ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	



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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1a: Date of Sale or Exchange Box 1b. Date of Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc.	Box 3: Cost or Other Basis	Box 4: Wash Sale Loss Disallowed	Box 5: Check if a Noncovered Security	Box 6: Type of Gain or Loss	Box 7: Description	Box 15: Check if
								Loss not Allowed Based on Amount in Box 2
71654V101 19 00	07/25/2011 03/28/2011	590 14 662 34	0 00	0 00	(72 20)	SHORT-TERM	PETROLEO BRASILEIRO S A SPON ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
71654V101 20 00	07/25/2011 01/20/2011	621 20 729 49	0 00	0 00	(108 29)	SHORT-TERM	PETROLEO BRASILEIRO S A SPON ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
054536107 77 00	07/26/2011 06/13/2011	1,523 69 1,633 94	0 00	0 00	(110 25)	SHORT-TERM	AXA-UAP AMERICAN DEPOSITORY SHARES AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
786584102 23 00	07/26/2011 04/13/2011	957 61 798 64	0 00	0 00	158 97	SHORT-TERM	SAFRAN SA SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
03938L104 21 00	07/27/2011 01/20/2011	684 27 714 34	0 00	0 00	(30 07)	SHORT-TERM	ARCELORMITTAL CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
055262505 1 00	07/27/2011 02/24/2011	94 56 81 28	0 00	0 00	13 28	SHORT-TERM	BASF SE ADR SPONSORED ADR CMN USDD 7322 AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
055262505 9 00	07/27/2011 03/28/2011	851 07 749 34	0 00	0 00	101 73	SHORT-TERM	BASF SE ADR SPONSORED ADR CMN USDD 7322 AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
055262505 17 00	07/27/2011 05/03/2011	1,607 58 1,724 14	0 00	0 00	(116 56)	SHORT-TERM	BASF SE ADR SPONSORED ADR CMN USDD 7322 AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
055262505 20 00	07/27/2011 06/14/2011	1,891 27 1,874 40	0 00	0 00	16 87	SHORT-TERM	BASF SE ADR SPONSORED ADR CMN USDD 7322 AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
D1668R123 4 00	07/27/2011 03/28/2011	297 63 271 60	0 00	0 00	26 03	SHORT-TERM	DAIMLER AG CMN ISIN DE0007100000 AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	

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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No Quantity	Box 1a: Date of Sale or Exchange Box 1b: Date of Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc.	Box 3: Cost or Other Basis	Box 4: Wash Sale Loss Disallowed	Box 5: Noncovered Security	Box 6: Check if a	* Gain or (Loss)	Box 8: Type of Gain or Loss	Box 9: Description	Box 15: Check if
										Loss not Allowed Based on Amount in Box 2
71654V101 28 00	07/27/2011 06/13/2011	863 26 834 88	0 00 0 00				28 58 28 58	SHORT-TERM	PETROLEO BRASILEIRO S A SPON ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
767204100 4 00	07/27/2011 02/24/2011	285 63 271 47	0 00 0 00				14 16 14 16	SHORT-TERM	RIO TINTO PLC SPONSORED ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
767204100 10 00	07/27/2011 03/28/2011	714 06 689 90	0 00 0 00				24 16 24 16	SHORT-TERM	RIO TINTO PLC SPONSORED ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
767204100 13 00	07/27/2011 01/20/2011	928 28 890 57	0 00 0 00				37 71 37 71	SHORT-TERM	RIO TINTO PLC SPONSORED ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
767204100 13 00	07/27/2011 06/13/2011	928 28 867 88	0 00 0 00				60 40 60 40	SHORT-TERM	RIO TINTO PLC SPONSORED ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
767204100 16 00	07/27/2011 05/03/2011	1,142 50 1,114 56	0 00 0 00				27 94 27 94	SHORT-TERM	RIO TINTO PLC SPONSORED ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
767204100 10 00	07/28/2011 07/01/2011	707 80 731 10	0 00 0 00				(23 31) 23 31	SHORT-TERM	RIO TINTO PLC SPONSORED ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
767204100 11 00	07/28/2011 06/13/2011	778 58 734 36	0 00 0 00				44 22 44 22	SHORT-TERM	RIO TINTO PLC SPONSORED ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
03938L104 4 00	07/29/2011 02/24/2011	124 39 141 68	0 00 0 00				(17 29) 17 29	SHORT-TERM	ARCELORMITTAL CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
03938L104 7 00	07/29/2011 01/20/2011	217 69 238 11	0 00 0 00				(20 42) 20 42	SHORT-TERM	ARCELORMITTAL CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
03938L104 13 00	07/29/2011 02/09/2011	404 28 487 46	0 00 0 00				(83 18) 83 18	SHORT-TERM	ARCELORMITTAL CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
D1668R123 1 00	07/29/2011 03/28/2011	72 84 67 90	0 00 0 00				4 94 4 94	SHORT-TERM	DAIMLER AG CMN ISIN DE0007100000 AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	

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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
 SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year Account No Tax ID Number Legal Name
 2011 014-16872-8 20-0511684 SWBC FOUNDATION

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No Quantity	Box 1a Date of Sale or Exchange Acquisition	Box 2 Gross Proceeds of Stocks, Bonds, etc.	Box 5 Wash Sale Loss Disallowed		Box 6 Check if a Noncovered Security	Box 8 Type of Gain or Loss	Box 9 Description	Box 15 Check if Loss not Allowed Based on Amount in Box 2
			Box 3 Cost or Other Basis	Box 4 Loss				
D1668R123 1 00	07/29/2011 03/28/2011	72 84 67 25	0 00 0 00	0 00	0 00	5 60 SHORT-TERM	DAIMLER AG CMN ISIN DE0007100000 AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
D1668R123 11 00	07/29/2011 05/03/2011	801 28 836 55	0 00 0 00	0 00	(35 27) SHORT-TERM	(35 27) SHORT-TERM	DAIMLER AG CMN ISIN DE0007100000 AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
D1668R123 11 00	07/29/2011 06/13/2011	801 28 738 87	0 00 0 00	0 00	62 41 SHORT-TERM	62 41 SHORT-TERM	DAIMLER AG CMN ISIN DE0007100000 AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
29265W207 121 00	07/29/2011 06/13/2011	685 91 789 60	0 00 0 00	0 00	(103 69) SHORT-TERM	(103 69) SHORT-TERM	ENEL SOCIETA PER AZIONI ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
29265W207 215 00	07/29/2011 05/13/2011	1,218 77 1,451 96	0 00 0 00	0 00	(233 20) SHORT-TERM	(233 20) SHORT-TERM	ENEL SOCIETA PER AZIONI ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
29265W207 424 00	07/29/2011 06/14/2011	2,403 52 2,819 60	0 00 0 00	0 00	(416 08) SHORT-TERM	(416 08) SHORT-TERM	ENEL SOCIETA PER AZIONI ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
358029106 5 00	07/29/2011 05/03/2011	385 97 379 90	0 00 0 00	0 00	6 07 SHORT-TERM	6 07 SHORT-TERM	FRESENIUS MEDICAL CARE AG & CO KGAA AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
03938L104 2 00	08/01/2011 02/24/2011	61 67 70 84	0 00 0 00	0 00	(9 17) SHORT-TERM	(9 17) SHORT-TERM	ARCELORMITTAL CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
03938L104 16 00	08/01/2011 03/28/2011	493 33 576 80	0 00 0 00	0 00	(83 47) SHORT-TERM	(83 47) SHORT-TERM	ARCELORMITTAL CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
03938L104 16 00	08/01/2011 05/03/2011	493 33 578 88	0 00 0 00	0 00	(85 55) SHORT-TERM	(85 55) SHORT-TERM	ARCELORMITTAL CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
500458401 1 00	08/02/2011 03/15/2011	30 36 30 22	0 00 0 00	0 00	0 14 SHORT-TERM	0 14 SHORT-TERM	KOMATSU LTD ADR (NEW) SPONSORED GDS CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	

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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511694** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1a: Date of Sale or Exchange Box 1b: Date of Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc.	Box 3: Cost or Other Basis	Box 4: Wash Sale Loss Disallowed	Box 5: Noncovered Security	Box 6: Check if a	* Gain or (Loss) Box 8: Type of Gain or Loss	Box 9: Description	Box 15: Check if Loss not Allowed Based on Amount in Box 2
500458401 4 00	08/02/2011 03/14/2011	121 44 127 00	0 00				(5 56) SHORT-TERM	KOMATSU LTD ADR (NEW) SPONSORED GDS CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
500458401 8 00	08/02/2011 03/11/2011	242 87 247 26	0 00				(4 39) SHORT-TERM	KOMATSU LTD ADR (NEW) SPONSORED GDS CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
500458401 11 00	08/02/2011 02/24/2011	333 95 324 39	0 00				9 56 SHORT-TERM	KOMATSU LTD ADR (NEW) SPONSORED GDS CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
500458401 49 00	08/02/2011 01/20/2011	1,487 61 1,471 02	0 00				16 59 SHORT-TERM	KOMATSU LTD ADR (NEW) SPONSORED GDS CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
000375204 13 00	08/03/2011 03/04/2011	298 36 315 77	0 00				(17 41) SHORT-TERM	ABB LTD SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
000375204 20 00	08/03/2011 05/03/2011	459 02 528 80	0 00				(69 78) SHORT-TERM	ABB LTD SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
000375204 42 00	08/03/2011 03/28/2011	963 94 997 50	0 00				(33 56) SHORT-TERM	ABB LTD SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
03938L104 16 00	08/03/2011 05/03/2011	447 01 578 88	0 00				(131 87) SHORT-TERM	ARCELORMITTAL CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
03938L104 55 00	08/03/2011 06/13/2011	1,536 60 1,762 97	0 00				(226 37) SHORT-TERM	ARCELORMITTAL CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
225401108 18 00	08/03/2011 01/20/2011	612 03 798 05	0 00				(186 02) SHORT-TERM	CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
500472303 40 00	08/03/2011 01/20/2011	933 73 1,429 98	0 00				(496 25) SHORT-TERM	KONINKLIJKE PHILIPS ELECTRS NV ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	



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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No. **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1a: Date of Sale or Exchange Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc.	Box 3: Cost or Other Basis	Box 4: Check if a Noncovered Security	Box 5: Wash Sale Loss Disallowed	Box 6: Check if a Gain or (Loss)	Box 8: Type of Gain or Loss	Box 9: Description	Box 15: Check if
									Loss not Allowed Based on Amount in Box 2
500472303 7 00	08/04/2011 02/24/2011	154 16 239 90	0 00			(85 74)	SHORT-TERM	KONINKLIKE PHILIPS ELECTRS NV ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
500472303 8 00	08/04/2011 01/20/2011	176 18 295 32	0 00			(119 13)	SHORT-TERM	KONINKLIKE PHILIPS ELECTRS NV ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
500472303 20 00	08/04/2011 03/28/2011	440 46 623 40	0 00			(182 94)	SHORT-TERM	KONINKLIKE PHILIPS ELECTRS NV ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
500472303 20 00	08/04/2011 01/20/2011	440 46 735 90	0 00			(295 44)	SHORT-TERM	KONINKLIKE PHILIPS ELECTRS NV ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
500472303 30 00	08/04/2011 05/03/2011	660 69 912 30	0 00			(251 61)	SHORT-TERM	KONINKLIKE PHILIPS ELECTRS NV ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
835699307 4 00	08/04/2011 01/20/2011	94 36 141 04	0 00			(48 67)	SHORT-TERM	SONY CORPORATION ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
835699307 7 00	08/04/2011 02/18/2011	165 14 254 60	0 00			(89 46)	SHORT-TERM	SONY CORPORATION ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
835699307 15 00	08/04/2011 01/20/2011	353 86 525 58	0 00			(171 72)	SHORT-TERM	SONY CORPORATION ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
835699307 15 00	08/04/2011 01/20/2011	353 86 586 79	0 00			(232 92)	SHORT-TERM	SONY CORPORATION ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
835699307 42 00	08/04/2011 01/20/2011	990 82 1,496 95	0 00			(506 13)	SHORT-TERM	SONY CORPORATION ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
03485P201 14 00	08/05/2011 01/20/2011	290 44 343 70	0 00			(53 26)	SHORT-TERM	ANGLO AMERICAN PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	

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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1a: Date of Sale or Exchange		Box 2: Gross Proceeds of Stocks, Bonds, etc.		Box 3 Cost or Other Basis	Box 4 Wash Sale Loss Disallowed	Box 5 Noncovered Security	Box 6 Check if a	* Gain or (Loss)	Box 8 Type of Gain or Loss	Box 9 Description	Box 15. Check if Loss not Allowed Based on Amount in Box 2
	Box 1b. Date of Acquisition	Box 1c. Date of Exchange	Box 2a. Proceeds	Box 2b. Proceeds								
03485P201 42 00	08/05/2011	01/20/2011	871 32	1,038 32	0 00				(167 00)	SHORT-TERM	ANGLO AMERICAN PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
06738E204 57 00	08/05/2011	01/20/2011	718 56	1,103 96	0 00				(385 40)	SHORT-TERM	BARCLAYS PLC.AMER DEP SHS ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
108441205 61 00	08/05/2011	05/03/2011	2,727 99	2,801 12	(49 15)				(23 98)	SHORT-TERM	BRIDGESTONES CORP ADR ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
835699307 1 00	08/05/2011	03/28/2011	23 22	35 19	0 00				(11 97)	SHORT-TERM	SONY CORPORATION ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
835699307 2 00	08/05/2011	02/18/2011	46 44	72 74	0 00				(26 30)	SHORT-TERM	SONY CORPORATION ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
835699307 4 00	08/05/2011	03/28/2011	92 89	127 20	0 00				(34 31)	SHORT-TERM	SONY CORPORATION ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
835699307 12 00	08/05/2011	06/13/2011	278 67	301 56	0 00				(22 90)	SHORT-TERM	SONY CORPORATION ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
835699307 44 00	08/05/2011	05/03/2011	1,021 77	1,249 16	0 00				(227 39)	SHORT-TERM	SONY CORPORATION ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
87160A100 5 00	08/05/2011	02/24/2011	303 72	316 72	0 00				(13 00)	SHORT-TERM	SYNGENTA AG SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
87160A100 8 00	08/05/2011	03/28/2011	485 95	502 00	0 00				(16 05)	SHORT-TERM	SYNGENTA AG SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
42550U109 17 00	08/08/2011	01/20/2011	799 70	884 00	0 00				(84 30)	SHORT-TERM	HENKEL AG AND CO KGAA SPONSORED ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	

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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1a: Date of Sale or Exchange Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc.		Box 5: Wash Sale Loss Disallowed	Box 6: Check if a Noncovered Security	* Gain or (Loss)	Box 8: Type of Gain or Loss	Box 9: Description	Box 15: Check if Loss not Allowed Based on Amount in Box 2
		Other Basis	Box 3: Cost or Other Basis						
500467402 1 00	08/09/2011 03/04/2011	11 76 13 16	(1 40)			0 00	SHORT-TERM	KONINKLIJKE AHOLD N.V. SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
500467402 8 00	08/09/2011 02/24/2011	94 07 107 52	(13 45)			0 00	SHORT-TERM	KONINKLIJKE AHOLD N.V. SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
500467402 47 00	08/09/2011 01/20/2011	552 68 611 00	(58 32)			0 00	SHORT-TERM	KONINKLIJKE AHOLD N.V. SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
G1151C101 5 00	08/10/2011 03/28/2011	262 25 268 95	0 00			(6 70)	SHORT-TERM	ACCENTURE PLC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
20441A102 3 00	08/10/2011 02/24/2011	147 64 152 34	0 00			(4 70)	SHORT-TERM	COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
20441A102 4 00	08/10/2011 01/20/2011	196 85 203 32	0 00			(6 47)	SHORT-TERM	COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
20441A102 5 00	08/10/2011 03/11/2011	246 06 261 37	0 00			(15 31)	SHORT-TERM	COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
20441A102 7 00	08/10/2011 03/28/2011	344 49 379 75	0 00			(35 26)	SHORT-TERM	COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
46115H107 62 00	08/10/2011 05/03/2011	610 86 1,228 22	0 00			(617 36)	SHORT-TERM	INTESA SANPAOLO SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
46115H107 253 00	08/10/2011 06/13/2011	2,492 71 4,085 95	0 00			(1,593 24)	SHORT-TERM	INTESA SANPAOLO SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	

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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
 SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year Account No Tax ID Number Legal Name
 2011 014-16872-8 20-0511684 SWBC FOUNDATION

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No Quantity	Box 1a: Date of Sale or Exchange Box 1b: Date of Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc. Box 3: Cost or Other Basis	Box 4: Check if a Noncovered Security	Box 5: Wash Sale Loss Disallowed	Box 6: Type of Gain or Loss	Box 7: Description	Box 8: Type of Gain or Loss	Box 9: Description	Box 15: Check if
									Loss not Allowed Based on Amount in Box 2
500467402 1 00	08/10/2011 03/04/2011	11 93 14 20		(2 27)	0 00	KONINKLIJKE AHOLD N V SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	SHORT-TERM		
500467402 2 00	08/10/2011 03/29/2011	23 86 26 63		0 00	(2 76)	KONINKLIJKE AHOLD N V SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	SHORT-TERM		
500467402 8 00	08/10/2011 03/04/2011	95 45 105 31		(9 86)	0 00	KONINKLIJKE AHOLD N V SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	SHORT-TERM		
500467402 8 00	08/10/2011 02/24/2011	95 45 115 79		(20 34)	0 00	KONINKLIJKE AHOLD N V SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	SHORT-TERM		
500467402 20 00	08/10/2011 03/07/2011	238 62 267 57		(4 34)	(24 61)	KONINKLIJKE AHOLD N V SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	SHORT-TERM		
500467402 29 00	08/10/2011 03/28/2011	348 00 385 12		0 00	(39 12)	KONINKLIJKE AHOLD N V SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	SHORT-TERM		
500467402 47 00	08/10/2011 01/20/2011	560 76 659 57		(98 81)	0 00	KONINKLIJKE AHOLD N V SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	SHORT-TERM		
677862104 2 00	08/10/2011 03/11/2011	106 33 142 22		0 00	(35 90)	LUKOIL OIL CO SPONS ADR SPONSORED ADR CMN CLASS AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	SHORT-TERM		
677862104 14 00	08/10/2011 03/28/2011	744 28 999 18		0 00	(254 91)	LUKOIL OIL CO SPONS ADR SPONSORED ADR CMN CLASS AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	SHORT-TERM		
803054204 31 00	08/10/2011 01/20/2011	1,566 48 1,690 43		0 00	(123 95)	SAP AG (SPON ADR) AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	SHORT-TERM		

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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year Account No Tax ID Number Legal Name
 2011 014-16872-8 20-0511684 SWBC FOUNDATION

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1a: Date of Sale or Exchange Acquisition	Box 2: Gross Proceeds of Stocks, Bonds etc.	Box 3: Cost or Other Basis	Box 5: Wash Sale Loss Disallowed	Box 6: Check if a Noncovered Security	Box 8: Type of Gain or Loss	* Gain or (Loss)	Box 9: Description	Box 15: Check if Loss not Allowed Based on Amount in Box 2
827084864 6 00	08/10/2011 01/20/2011	26 15 40 75	26 15 40 75	(14 60)		0 00 SHORT-TERM	0 00	SILICONWARE PRECISION IND CO L SPONSORED ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
827084864 11 00	08/10/2011 01/20/2011	47 94 80 05	47 94 80 05	(32 11)		0 00 SHORT-TERM	0 00	SILICONWARE PRECISION IND CO L SPONSORED ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
827084864 12 00	08/10/2011 01/20/2011	52 30 80 90	52 30 80 90	(28 60)		0 00 SHORT-TERM	0 00	SILICONWARE PRECISION IND CO L SPONSORED ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
827084864 20 00	08/10/2011 01/20/2011	87 17 134 28	87 17 134 28	(47 11)		0 00 SHORT-TERM	0 00	SILICONWARE PRECISION IND CO L SPONSORED ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
827084864 24 00	08/10/2011 01/20/2011	104 61 165 61	104 61 165 61	(61 00)		0 00 SHORT-TERM	0 00	SILICONWARE PRECISION IND CO L SPONSORED ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
827084864 28 00	08/10/2011 01/20/2011	122 04 194 36	122 04 194 36	(72 32)		0 00 SHORT-TERM	0 00	SILICONWARE PRECISION IND CO L SPONSORED ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
827084864 37 00	08/10/2011 01/20/2011	161 27 250 49	161 27 250 49	(89 22)		0 00 SHORT-TERM	0 00	SILICONWARE PRECISION IND CO L SPONSORED ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
899415202 2 00	08/10/2011 03/11/2011	15 75 22 61	15 75 22 61	(6 86)		0 00 SHORT-TERM	0 00	TULLOW OIL PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
899415202 3 00	08/10/2011 04/19/2011	23 62 34 10	23 62 34 10	0 00		(10 48) SHORT-TERM	(10 48)	TULLOW OIL PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
899415202 5 00	08/10/2011 03/14/2011	39 37 55 75	39 37 55 75	(16 38)		0 00 SHORT-TERM	0 00	TULLOW OIL PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
899415202 5 00	08/10/2011 03/16/2011	39 37 55 58	39 37 55 58	0 00		(16 21) SHORT-TERM	(16 21)	TULLOW OIL PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	

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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No Quantity	Box 1a. Date of Sale or Exchange Box 1b. Date of Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc. Box 3. Cost or Other Basis	Box 5 Wash Sale Loss Disallowed	Box 6. Check if a Noncovered Security	Box 8: Type of Gain or Loss	Box 9 Description	Box 15: Check if
							Loss not Allowed Based on Amount in Box 2
899415202 10 00	08/10/2011 02/24/2011	78 75 112 90	(34 15)		0 00 SHORT-TERM	TULLOW OIL PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
899415202 12 00	08/10/2011 03/15/2011	94 50 131 31	(36 82)		0 00 SHORT-TERM	TULLOW OIL PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
899415202 46 00	08/10/2011 03/28/2011	362 23 528 08	0 00		(165 85) SHORT-TERM	TULLOW OIL PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
899415202 93 00	08/10/2011 01/20/2011	732 34 983 94	(251 60)		0 00 SHORT-TERM	TULLOW OIL PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
108441205 5 00	08/15/2011 05/03/2011	216 71 247 93	0 00		(31 22) SHORT-TERM	BRIDGESTONES CORP ADR ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
108441205 6 00	08/15/2011 05/03/2011	260 05 275 52	0 00		(15 47) SHORT-TERM	BRIDGESTONES CORP ADR ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
108441205 14 00	08/15/2011 05/03/2011	606 79 719 57	0 00		(112 78) SHORT-TERM	BRIDGESTONES CORP ADR ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
83238P203 4 00	08/15/2011 05/17/2011	63 70 79 25	0 00		(15 55) SHORT-TERM	SMITHS GROUP PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS CORRECTION OF SECURITY CORR CONFIRM 0815-757454	
83238P203 13 00	08/15/2011 05/18/2011	207 02 259 32	0 00		(52 31) SHORT-TERM	SMITHS GROUP PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS CORRECTION OF SECURITY CORR CONFIRM 0815-757454	
83238P203 32 00	08/15/2011 05/16/2011	509 58 644 28	0 00		(134 70) SHORT-TERM	SMITHS GROUP PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS CORRECTION OF SECURITY CORR CONFIRM 0815-757454	
83238P203 6 00	08/16/2011 05/18/2011	95 24 119 69	0 00		(24 45) SHORT-TERM	SMITHS GROUP PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	

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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1a: Date of Sale or Exchange Box 1b: Date of Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc.		Box 5: Wash Sale Loss Disallowed	Box 6: Check if a Noncovered Security	* Gain or (Loss) Box 8: Type of Gain or Loss	Box 9: Description	Box 15: Check if Loss not Allowed Based on Amount in Box 2
		Box 3: Cost or Other Basis	Box 4: Net Proceeds					
83238P203 10 00	08/16/2011 05/20/2011	158 73 203 54	0 00 0 00	0 00 0 00		(44 81) SHORT-TERM	SMITHS GROUP PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
83238P203 52 00	08/16/2011 05/19/2011	825 40 1,070 61	0 00 0 00	0 00 0 00		(245 21) SHORT-TERM	SMITHS GROUP PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
138006309 15 00	08/18/2011 05/02/2011	676 24 716 36	0 00 0 00	0 00 0 00		(40 12) SHORT-TERM	CANON INC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
433578507 17 00	08/18/2011 05/02/2011	885 18 946 93	(61 76)			0 00 SHORT-TERM	HITACHI LTD (ADR 10 COM) ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
433578507 17 00	08/18/2011 05/03/2011	885 18 949 94	(30 48)			(34 29) SHORT-TERM	HITACHI LTD (ADR 10 COM) ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
438128308 4 00	08/18/2011 01/20/2011	125 09 179 29	(54 20)			0 00 SHORT-TERM	HONDA MTR LTD (AMER SHS) ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
438128308 8 00	08/18/2011 01/20/2011	250 18 345 02	(94 84)			0 00 SHORT-TERM	HONDA MTR LTD (AMER SHS) ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
438128308 14 00	08/18/2011 01/20/2011	437 81 565 25	(127 44)			0 00 SHORT-TERM	HONDA MTR LTD (AMER SHS) ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
803054204 1 00	08/18/2011 01/20/2011	49 31 54 53	0 00			(5 22) SHORT-TERM	SAP AG (SPON ADR) AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
803054204 3 00	08/18/2011 03/28/2011	147 93 181 11	0 00			(33 18) SHORT-TERM	SAP AG (SPON ADR) AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
803054204 7 00	08/18/2011 02/24/2011	345 18 414 22	0 00			(69 04) SHORT-TERM	SAP AG (SPON ADR) AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	



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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511584** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1a: Date of Sale or Exchange Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc	Box 3: Cost or Other Basis	Box 4: Wash Sale Loss Disallowed	Box 5: Check if a Noncovered Security	Box 6: Type of Gain or Loss	Box 7: * Gain or (Loss)	Box 8: Description	Box 9: Check if Loss not Allowed Based on Amount in Box 2
500458401 2.00	08/19/2011 03/15/2011	51.00 60.43	0.00	0.00		SHORT-TERM	(9.43)	KOMATSU LTD ADR (NEW) SPONSORED GDS CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
500458401 4.00	08/19/2011 03/24/2011	102.01 134.25	0.00	0.00		SHORT-TERM	(32.24)	KOMATSU LTD ADR (NEW) SPONSORED GDS CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
500458401 5.00	08/19/2011 03/23/2011	127.51 165.29	0.00	0.00		SHORT-TERM	(37.78)	KOMATSU LTD ADR (NEW) SPONSORED GDS CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
500458401 6.00	08/19/2011 03/25/2011	153.01 208.39	0.00	0.00		SHORT-TERM	(55.38)	KOMATSU LTD ADR (NEW) SPONSORED GDS CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
500458401 17.00	08/19/2011 03/28/2011	433.53 582.25	0.00	0.00		SHORT-TERM	(148.73)	KOMATSU LTD ADR (NEW) SPONSORED GDS CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
677862104 1.00	08/19/2011 03/28/2011	55.38 71.37	0.00	0.00		SHORT-TERM	(15.99)	LUKOIL OIL CO SPONS ADR SPONSORED ADR CMN CLASS AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
677862104 20.00	08/19/2011 05/03/2011	1,107.66 1,363.00	0.00	0.00		SHORT-TERM	(255.34)	LUKOIL OIL CO SPONS ADR SPONSORED ADR CMN CLASS AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
927320101 55.00	08/19/2011 01/20/2011	643.41 781.68	0.00	0.00		SHORT-TERM	(138.27)	VINCI S A ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
677862104 7.00	08/22/2011 05/03/2011	387.43 477.05	0.00	0.00		SHORT-TERM	(89.62)	LUKOIL OIL CO SPONS ADR SPONSORED ADR CMN CLASS AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
69367Y107 14.00	08/22/2011 07/29/2011	834.16 1,009.95	(175.79)			SHORT-TERM	0.00	PT BUMI RESOURCES TBK UNSPONSORED ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	

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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1a Date of Sale or Exchange Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc.	Box 6: Check if a		Box 8: Type of Gain or Loss	Box 9 Description	Box 15: Check if Loss not Allowed Based on Amount in Box 2
			Box 3: Cost or Other Basis	Box 5: Wash Sale Loss Disallowed			
677862104 1 00	08/23/2011 05/03/2011	56 74 68 15	0 00 0 00		(11 41) SHORT-TERM	LUKOIL OIL CO SPONS ADR SPONSORED ADR CMN CLASS AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
677862104 8 00	08/23/2011 06/13/2011	453 91 508 56	0 00 0 00		(54 65) SHORT-TERM	LUKOIL OIL CO SPONS ADR SPONSORED ADR CMN CLASS AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
G1151C101 6 00	08/29/2011 05/03/2011	317 31 333 54	0 00 0 00		(16 23) SHORT-TERM	ACCENTURE PLC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
G1151C101 9 00	08/29/2011 03/28/2011	475 97 484 11	0 00 0 00		(8 14) SHORT-TERM	ACCENTURE PLC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
786584102 6 00	08/30/2011 04/13/2011	220 15 208 34	0 00 0 00		11 81 SHORT-TERM	SAFRAN SA SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
786584102 6 00	08/30/2011 05/03/2011	220 15 231 90	0 00 0 00		(11 75) SHORT-TERM	SAFRAN SA SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
786584102 15 00	08/30/2011 04/26/2011	550 38 537 72	0 00 0 00		12 66 SHORT-TERM	SAFRAN SA SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
G1151C101 22 00	09/09/2011 05/03/2011	1,104 57 1,222 98	0 00 0 00		(118 41) SHORT-TERM	ACCENTURE PLC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
G1151C101 38 00	09/09/2011 06/13/2011	1,907 90 2,129 14	0 00 0 00		(221 24) SHORT-TERM	ACCENTURE PLC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
641069406 20 00	09/09/2011 01/20/2011	1,118 89 1,087 60	0 00 0 00		31 29 SHORT-TERM	NESTLE SA SPONSORED ADR (REF 1/20 CHF 10 REGD SHS) AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
425500109 1 00	09/12/2011 03/28/2011	41 86 50 98	0 00 0 00		(9 12) SHORT-TERM	HENKEL AG AND CO KGAA SPONSORED ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	

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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No Quantity	Box 1a: Date of Sale or Exchange Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc.	Box 3: Cost or Other Basis	Box 4: Wash Sale Loss Disallowed	Box 5: Security Noncovered	Box 6: Check if a	Box 7: Type of Gain or Loss	Box 8: * Gain or (Loss)	Box 9: Description	Box 15: Check if
										Loss not Allowed Based on Amount in Box 2
42550U109 6 00	09/12/2011 02/24/2011	251 15 297 30	(15 39)				SHORT-TERM	(30 76)	HENKEL AG AND CO KGAA SPONSORED ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
42550U109 10 00	09/12/2011 01/20/2011	418 58 520 00	(101 42)				SHORT-TERM	0 00	HENKEL AG AND CO KGAA SPONSORED ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
05665A202 44 00	09/14/2011 05/03/2011	808 95 1,736 24	0 00				SHORT-TERM	(927 29)	BNP PARIBAS SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
05665A202 62 00	09/14/2011 06/13/2011	1,139 89 2,300 20	0 00				SHORT-TERM	(1,160 31)	BNP PARIBAS SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
23304Y100 38 00	09/14/2011 01/20/2011	1,475 29 1,738 05	0 00				SHORT-TERM	(262 76)	DBS GROUP HOLDINGS SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
03485F201 5 00	09/23/2011 02/24/2011	85 88 127 85	(41 97)				SHORT-TERM	0 00	ANGLO AMERICAN PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
03485F201 39 00	09/23/2011 01/20/2011	669 86 964 15	(294 29)				SHORT-TERM	0 00	ANGLO AMERICAN PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
G7945E105 14 00	09/23/2011 01/20/2011	411 75 486 04	0 00				SHORT-TERM	(74 29)	SEADRILL LTD CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
G7945E105 15 00	09/23/2011 01/20/2011	441 16 495 73	0 00				SHORT-TERM	(54 58)	SEADRILL LTD CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
83175M205 8 00	09/23/2011 05/13/2011	355 63 450 24	0 00				SHORT-TERM	(94 61)	SMITH & NEPHEW PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
98462Y100 212 00	09/23/2011 07/20/2011	2,874 68 2,798 61	0 00				SHORT-TERM	76 07	YAMANA GOLD INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
984245100 23 00	09/23/2011 06/23/2011	813 66 958 16	(144 50)				SHORT-TERM	0 00	YPF SOCIEDAD ANONIMA SPONSORED ADR REPSTG CL D AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	



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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year Account No Tax ID Number Legal Name
2011 014-16872-8 20-0511684 SWBC FOUNDATION

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1a: Date of Sale or Exchange Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc	Box 3: Cost or Other Basis	Box 4: Wash Sale Loss Disallowed	Box 5: Noncovered Security	Box 6: Check if if a	Box 7: Type of Gain or Loss	Box 8: * Gain or (Loss)	Box 9: Description	Box 15: Check if Loss not Allowed Based on Amount in Box 2
23304Y100 1 00	09/26/2011 01/20/2011	36 29 45 74	0 00				(9 45)	SHORT-TERM	DBS GROUP HOLDINGS SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
23304Y100 1 00	09/26/2011 01/20/2011	36 29 45 14	0 00				(8 85)	SHORT-TERM	DBS GROUP HOLDINGS SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
23304Y100 1 00	09/26/2011 01/20/2011	36 29 45 94	0 00				(9 65)	SHORT-TERM	DBS GROUP HOLDINGS SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
23304Y100 2 00	09/26/2011 01/20/2011	72 57 89 35	0 00				(16 77)	SHORT-TERM	DBS GROUP HOLDINGS SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
23304Y100 5 00	09/26/2011 01/20/2011	181 43 225 43	0 00				(44 00)	SHORT-TERM	DBS GROUP HOLDINGS SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
23304Y100 12 00	09/26/2011 05/03/2011	435 43 580 20	0 00				(144 77)	SHORT-TERM	DBS GROUP HOLDINGS SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
23304Y100 16 00	09/26/2011 03/28/2011	580 58 723 84	0 00				(143 26)	SHORT-TERM	DBS GROUP HOLDINGS SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
492051305 6 00	09/26/2011 02/24/2011	73 89 105 30	0 00				(31 41)	SHORT-TERM	KEPPEL CORP LTD (ADR) SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
492051305 7 00	09/26/2011 01/21/2011	86 21 124 74	0 00				(38 53)	SHORT-TERM	KEPPEL CORP LTD (ADR) SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
492051305 8 00	09/26/2011 03/09/2011	98 52 152 39	0 00				(53 87)	SHORT-TERM	KEPPEL CORP LTD (ADR) SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	



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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1a. Date of Sale or Exchange Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc		Box 5 Wash Sale Loss Disallowed	Box 6: Check if a Noncovered Security	* Gain or (Loss) Box 6. Type of Gain or Loss	Box 8. Description	Box 15 Check if Loss not Allowed Based on Amount in Box 2
		Box 3: Cost or Other Basis	Box 4: Proceeds					
492051305 11 00	09/26/2011 03/28/2011	135 47 206 25	0 00 0 00	0 00 0 00		(70 78) SHORT-TERM	KEPPEL CORP LTD (ADR) SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
492051305 27 00	09/26/2011 01/20/2011	332 52 483 30	0 00 0 00	0 00 0 00		(150 78) SHORT-TERM	KEPPEL CORP LTD (ADR) SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
984851204 6 00	09/26/2011 06/22/2011	236 62 351 61	0 00 0 00	0 00 0 00		(114 99) SHORT-TERM	YARA INTERNATIONAL ASA SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
20441A102 3 00	09/29/2011 03/28/2011	142 34 162 75	0 00 0 00	0 00 0 00		(20 41) SHORT-TERM	COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
20441A102 8 00	09/29/2011 06/14/2011	379 56 470 64	0 00 0 00	0 00 0 00		(91 08) SHORT-TERM	COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
20441A102 15 00	09/29/2011 05/03/2011	711 68 874 20	0 00 0 00	0 00 0 00		(162 52) SHORT-TERM	COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
465562106 9 00	09/29/2011 01/20/2011	145 92 211 72	0 00 0 00	0 00 0 00		(65 80) SHORT-TERM	ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
465562106 17 00	09/29/2011 05/03/2011	275 62 382 50	0 00 0 00	0 00 0 00		(106 88) SHORT-TERM	ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
465562106 22 00	09/29/2011 03/28/2011	356 69 495 00	0 00 0 00	0 00 0 00		(138 32) SHORT-TERM	ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
465562106 24 00	09/29/2011 01/20/2011	389 11 538 51	0 00 0 00	0 00 0 00		(149 40) SHORT-TERM	ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	

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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1a: Date of Sale or Exchange Box 1b: Date of Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc.	Box 3: Cost or Other Basis	Box 4: Wash Sale Loss Disallowed	Box 5: Security Noncovered	Box 6: Check if a	Box 7: Type of Gain or Loss	Box 8: * Gain or (Loss)	Box 9: Description	Box 15: Check if
										Loss not Allowed Based on Amount in Box 2
465562106 25 00	09/29/2011 09/09/2011	405 32 424 80	0 00 0 00				SHORT-TERM	(19 48)	ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
465562106 26 00	09/29/2011 01/20/2011	421 54 592 57	0 00 0 00				SHORT-TERM	(171 03)	ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
465562106 52 00	09/29/2011 06/13/2011	843 08 1,154 92	0 00 0 00				SHORT-TERM	(311 85)	ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
20441A102 13 00	09/30/2011 06/14/2011	609 30 764 79	0 00 0 00				SHORT-TERM	(155 49)	COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
984851204 45 00	09/30/2011 06/22/2011	1,814 37 2,637 06	0 00 0 00				SHORT-TERM	(822 69)	YARA INTERNATIONAL ASA SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
500458401 7 00	10/11/2011 05/05/2011	148 68 249 20	0 00 0 00				SHORT-TERM	(100 52)	KOMATSU LTD ADR (NEW) SPONSORED GDS CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
500458401 22 00	10/11/2011 03/28/2011	467 28 753 50	0 00 0 00				SHORT-TERM	(286 22)	KOMATSU LTD ADR (NEW) SPONSORED GDS CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
98482Y100 106 00	10/11/2011 07/20/2011	1,538 74 1,399 31	0 00 0 00				SHORT-TERM	139 43	YAMANA GOLD INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
03524A108 10 00	10/12/2011 01/20/2011	542 10 558 40	0 00 0 00				SHORT-TERM	(16 30)	ANHEUSER-BUSCH INBEV S A SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
37733W105 2 00	10/12/2011 03/28/2011	87 04 75 60	0 00 0 00				SHORT-TERM	11 44	GLAXOSMITHKLINE PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	



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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No Quantity	Date of Sale or Exchange Box 1b: Date of Acquisition	Box 2 Gross Proceeds of Stocks, Bonds, etc	Box 3: Cost or Other Basis	Box 5 Wash Sale Loss Disallowed	Box 6 Check if a Noncovered Security	Box 8: Type of Gain or Loss	* Gain or (Loss)	Box 9 Description	Box 15: Check if Loss not Allowed Based on Amount in Box 2
37733W105 4 00	10/12/2011 01/21/2011	174 07 149 52	0 00	0 00		24 55 SHORT-TERM		GLAXOSMITHKLINE PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
37733W105 5 00	10/12/2011 03/04/2011	217 59 191 24	0 00	0 00		26 35 SHORT-TERM		GLAXOSMITHKLINE PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
37733W105 6 00	10/12/2011 02/09/2011	261 11 229 30	0 00	0 00		31 81 SHORT-TERM		GLAXOSMITHKLINE PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
37733W105 6 00	10/12/2011 02/24/2011	261 11 228 30	0 00	0 00		32 81 SHORT-TERM		GLAXOSMITHKLINE PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
636274300 9 00	10/13/2011 02/24/2011	450 01 412 47	0 00	0 00		37 54 SHORT-TERM		NATIONAL GRID PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
636274300 9 00	10/13/2011 05/03/2011	450 01 456 75	0 00	0 00		(6 74) SHORT-TERM		NATIONAL GRID PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
636274300 20 00	10/13/2011 03/28/2011	1,000 01 958 80	0 00	0 00		41 21 SHORT-TERM		NATIONAL GRID PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
636274300 40 00	10/13/2011 01/20/2011	2,000 03 1,759 67	0 00	0 00		240 35 SHORT-TERM		NATIONAL GRID PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
758205207 25 00	10/13/2011 01/20/2011	827 20 887 96	0 00	0 00		(60 76) SHORT-TERM		REED ELSEVIER GROUP PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
03524A108 4 00	10/14/2011 03/04/2011	221 66 231 56	0 00	0 00		(9 90) SHORT-TERM		ANHEUSER-BUSCH INBEV S A SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	

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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No Quantity	Box 1a: Date of Sale or Exchange Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc.	Box 3: Cost or Other Basis	Box 4: Wash Sale Less Disallowed Security	Box 5: Check if a Noncovered Security	Box 6: Gain or (Loss)	Box 7: Type of Gain or Loss	Box 8: Description	Box 15: Check if
									Losses not Allowed Based on Amount in Box 2
03524A108 5 00	10/14/2011 02/24/2011	277 08 275 55	0 00 0 00			1 53 SHORT-TERM	ANHEUSER-BUSCH INBEV S A SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
03524A108 10 00	10/14/2011 03/28/2011	554 16 569 10	0 00 0 00			(14 94) SHORT-TERM	ANHEUSER-BUSCH INBEV S A SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
03524A108 19 00	10/14/2011 01/20/2011	1,052 91 1,060 96	0 00 0 00			(8 06) SHORT-TERM	ANHEUSER-BUSCH INBEV S A SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
37733W105 5 00	10/14/2011 05/03/2011	218 08 217 15	0 00 0 00			0 93 SHORT-TERM	GLAXOSMITHKLINE PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
37733W105 19 00	10/14/2011 03/28/2011	828 69 718 20	0 00 0 00			110 49 SHORT-TERM	GLAXOSMITHKLINE PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
758205207 2 00	10/14/2011 02/24/2011	67 78 70 29	0 00 0 00			(2 51) SHORT-TERM	REED ELSEVIER GROUP PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
758205207 8 00	10/14/2011 02/18/2011	271 11 294 75	0 00 0 00			(23 64) SHORT-TERM	REED ELSEVIER GROUP PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
758205207 10 00	10/14/2011 01/20/2011	338 89 355 19	0 00 0 00			(16 30) SHORT-TERM	REED ELSEVIER GROUP PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
904784709 65 00	10/14/2011 08/05/2011	2,213 15 2,145 72	0 00 0 00			67 43 SHORT-TERM	UNILEVER N V NY SHS (NEW) ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
98462Y100 19 00	10/14/2011 07/20/2011	283 58 250 82	0 00 0 00			32 76 SHORT-TERM	YAMANA GOLD INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		

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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1a. Date of Sale or Exchange Acquisition	Box 2. Gross Proceeds of Stocks, Bonds, etc. Other Basis	Box 3. Cost or Wash Sale Loss Disallowed	Box 4. Check if a Noncovered Security	Box 5. Type of Gain or Loss	Box 6. Description	* Gain or (Loss)	Box 8. Type of Gain or Loss	Box 9. Check if Loss not Allowed Based on Amount in Box 2
99462Y100 55 00	10/14/2011 07/25/2011	820 88 742 79	0 00		SHORT-TERM	YAMANA GOLD INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	78 09	SHORT-TERM	
453142101 26 00	10/17/2011 01/20/2011	1,793 81 1,507 48	0 00		SHORT-TERM	IMPERIAL TOBACCO GROUP PLC SPON ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	286 33	SHORT-TERM	
83175M205 7 00	10/19/2011 05/16/2011	317 35 392 04	0 00		SHORT-TERM	SMITH & NEPHEW PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	(74 69)	SHORT-TERM	
500458401 29 00	10/20/2011 06/13/2011	649 18 824 18	0 00		SHORT-TERM	KOMATSU LTD ADR (NEW) SPONSORED GDS CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	(175 00)	SHORT-TERM	
500458401 61 00	10/20/2011 05/05/2011	1,365 52 2,171 60	0 00		SHORT-TERM	KOMATSU LTD ADR (NEW) SPONSORED GDS CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	(806 08)	SHORT-TERM	
83175M205 8 00	10/20/2011 05/16/2011	360 70 448 04	0 00		SHORT-TERM	SMITH & NEPHEW PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	(87 34)	SHORT-TERM	
108441205 18 00	10/21/2011 05/03/2011	797 88 925 16	(84 85)		SHORT-TERM	BRIDGESTONES CORP ADR ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	(42 43)	SHORT-TERM	
34959F106 155 00	10/21/2011 08/02/2011	732 98 796 42	0 00		SHORT-TERM	FORTUM OYJ UNSPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	(63 44)	SHORT-TERM	
69367Y107 6 00	10/21/2011 07/29/2011	284 82 432 84	0 00		SHORT-TERM	PT BUMI RESOURCES TBK UNSPONSORED ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	(148 02)	SHORT-TERM	
83175M205 21 00	10/21/2011 05/16/2011	963 33 1,176 11	0 00		SHORT-TERM	SMITH & NEPHEW PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	(212 78)	SHORT-TERM	
927320101 10 00	10/21/2011 02/24/2011	117 88 145 70	0 00		SHORT-TERM	VINCI S ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	(27 82)	SHORT-TERM	

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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1a: Date of Sale or Exchange Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc. Other Basis	Box 3: Cost or Wash Sale Loss Disallowed	Box 4: Check if a Noncovered Security	Box 5: Type of Gain or Loss	* Gain or (Loss)	Box 9: Description	Box 15: Check if Loss not Allowed Based on Amount in Box 2
927320101 35 00	10/21/2011 01/20/2011	412 56 497 43	(29 10)		(55 77)		VINCI SA ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
827084864 37 00	10/25/2011 01/20/2011	197 70 289 86	0 00		(92 16)		SILICONWARE PRECISION IND CO L SPONSORED ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
827084864 110 00	10/25/2011 01/20/2011	587 76 759 03	0 00		(171 27)		SILICONWARE PRECISION IND CO L SPONSORED ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
786584102 2 00	10/26/2011 05/06/2011	62 59 75 61	(13 02)		0 00		SAFRAN SA SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
786584102 17 00	10/26/2011 05/03/2011	531 99 657 05	(125 06)		0 00		SAFRAN SA SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
03524A108 4 00	10/27/2011 03/28/2011	226 88 227 64	0 00		(0 76)		ANHEUSER-BUSCH INBEV S A SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
03524A108 5 00	10/27/2011 03/29/2011	283 60 285 60	0 00		(2 00)		ANHEUSER-BUSCH INBEV S A SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
03524A108 6 00	10/27/2011 04/12/2011	340 32 356 32	0 00		(15 99)		ANHEUSER-BUSCH INBEV S A SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
37733W105 4 00	10/27/2011 06/13/2011	176 99 168 40	0 00		8 59		GLAXOSMITHKLINE PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
37733W105 20 00	10/27/2011 05/03/2011	884 93 868 60	0 00		16 33		GLAXOSMITHKLINE PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	



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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No Quantity	Box 1a Date of Sale or Exchange Box 1b Date of Acquisition	Box 2 Gross Proceeds of Stocks, Bonds, etc	Box 3 Cost or Other Basis			Box 4 Wash Sale Loss Disallowed	Box 5 Noncovered Security	Box 6 Check if a	* Gain or (Loss) Box 8 Type of Gain or Loss	Box 9 Description	Box 15 Check if Loss not Allowed Based on Amount in Box 2
			Box 3-1 Cost	Box 3-2 Wash Sale Loss	Box 3-3 Disallowed						
453142101 7 00	10/27/2011 01/20/2011	510 74 405 86	0 00					104 88	IMPERIAL TOBACCO GROUP PLC SPON ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
636274300 20 00	10/27/2011 05/03/2011	1,009 18 1,015 00	0 00					(5 82)	NATIONAL GRID PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
641069406 3 00	10/27/2011 03/11/2011	177 15 166 61	0 00					10 54	NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
641069406 4 00	10/27/2011 02/24/2011	236 20 227 40	0 00					8 80	NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
641069406 6 00	10/27/2011 03/28/2011	354 30 342 00	0 00					12 30	NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
641069406 11 00	10/27/2011 01/20/2011	649 54 598 18	0 00					51 36	NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
66987V109 7 00	10/27/2011 03/04/2011	407 33 397 68	0 00					9 65	NOVARTIS AG-ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
66987V109 7 00	10/27/2011 03/23/2011	407 33 380 73	0 00					26 60	NOVARTIS AG-ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
66987V109 7 00	10/27/2011 03/28/2011	407 33 386 12	0 00					21 21	NOVARTIS AG-ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
66987V109 8 00	10/27/2011 03/09/2011	465 52 442 32	0 00					23 20	NOVARTIS AG-ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		



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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1a: Date of Sale or Exchange Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc.	Box 3: Cost or Other Basis	Box 4: Wash Sale Loss Disallowed	Box 5: Check if a Noncovered Security	Box 6: Type of Gain or Loss	Box 7: Description	Box 15: Check if Loss not Allowed Based on Amount in Box 2	
								* Gain or (Loss)	
66987V109 11 00	10/27/2011 03/11/2011	640 09 603 70	0.00	0.00		36 39 SHORT-TERM	NOVARTIS AG-ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
758205207 1 00	10/27/2011 03/15/2011	35 77 32 67	0 00	0 00		3 10 SHORT-TERM	REED ELSEVIER GROUP PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
758205207 3 00	10/27/2011 03/11/2011	107 30 102 68	0 00	0 00		4 62 SHORT-TERM	REED ELSEVIER GROUP PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
758205207 6 00	10/27/2011 02/24/2011	214 59 210 86	0 00	0 00		3 74 SHORT-TERM	REED ELSEVIER GROUP PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
758205207 6 00	10/27/2011 03/14/2011	214 59 204 49	0 00	0 00		10 10 SHORT-TERM	REED ELSEVIER GROUP PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
904784709 53 00	10/27/2011 08/05/2011	1,834 16 1,749 59	0 00	0 00		84 57 SHORT-TERM	UNILEVER N V NY SHS (NEW) ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
92857W209 45 00	10/27/2011 01/20/2011	1,287 51 1,284 50	0 00	0 00		23 01 SHORT-TERM	VODAFONE GROUP PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
98462Y100 55 00	10/27/2011 07/25/2011	845 40 742 79	0 00	0 00		102 61 SHORT-TERM	YAMANA GOLD INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
03485P201 34 00	11/02/2011 01/20/2011	622 53 891 35	(268 82)			0 00 SHORT-TERM	ANGLO AMERICAN PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
22540T108 7 00	11/02/2011 01/20/2011	188 60 310 35	(121 75)			0 00 SHORT-TERM	CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		



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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year Account No Tax ID Number Legal Name
2011 014-16872-8 20-0511684 SWBC FOUNDATION

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1a: Date of Sale or Exchange Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc.	Box 3: Cost or Other Basis	Box 4: Check if a Noncovered Security	Box 5: Wash Sale Loss Disallowed	Box 6: Check if a Gain or (Loss)	Box 7: Type of Gain or Loss	Box 8: Description	Box 15: Check if
									Loss not Allowed Based on Amount in Box 2
686330101 10 00	11/09/2011 01/20/2011	428 88 507 23	(78 35)		0 00	0 00	SHORT-TERM	ORIX CORPORATION SPONS ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
83175M205 8 00	11/09/2011 05/16/2011	346 85 448 04	0 00		(101 19)	(101 19)	SHORT-TERM	SMITH & NEPHEW PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
83175M205 9 00	11/09/2011 06/13/2011	390 20 481 05	0 00		(90 85)	(90 85)	SHORT-TERM	SMITH & NEPHEW PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
87927Y102 12 00	11/09/2011 02/25/2011	133 09 181 20	0 00		(48 11)	(48 11)	SHORT-TERM	TELECOM ITALIA SPA SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
87927Y102 14 00	11/09/2011 02/24/2011	155 27 200 92	(6 52)		(39 13)	(39 13)	SHORT-TERM	TELECOM ITALIA SPA SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
87927Y102 15 00	11/09/2011 05/03/2011	166 36 224 40	0 00		(58 04)	(58 04)	SHORT-TERM	TELECOM ITALIA SPA SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
87927Y102 31 00	11/09/2011 01/20/2011	343 81 435 39	(91 58)		0 00	0 00	SHORT-TERM	TELECOM ITALIA SPA SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
87927Y102 51 00	11/09/2011 03/28/2011	565 62 769 59	0 00		(203 98)	(203 98)	SHORT-TERM	TELECOM ITALIA SPA SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
05382A104 142 00	11/10/2011 10/12/2011	1,379 06 1,535 59	(156 53)		0 00	0 00	SHORT-TERM	AVIVA PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
69367Y107 17 00	11/10/2011 07/29/2011	857 02 1,226 37	0 00		(369 35)	(369 35)	SHORT-TERM	PT BUMI RESOURCES TBK UNSPONSORED ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
927320101 11 00	11/10/2011 02/24/2011	119 22 160 27	0 00		(41 05)	(41 05)	SHORT-TERM	VINCI S A ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	



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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1a. Date of Sale or Exchange or Acquisition		Box 2. Gross Proceeds of Stocks, Bonds, etc.		Box 3 Cost or Other Basis	Box 4 Wash Sale Loss Disallowed	Box 5 Noncovered Security	Box 6. Check if a	Box 7. Type of Gain or Loss	Box 8. Type of Gain or Loss	Box 9. Description	Box 15. Check if Loss not Allowed Based on Amount in Box 2
	Box 1a. Date of Sale or Exchange or Acquisition	Box 1a. Date of Sale or Exchange or Acquisition	Box 2. Gross Proceeds of Stocks, Bonds, etc.	Box 2. Gross Proceeds of Stocks, Bonds, etc.								
927320101 12 00	11/10/2011	01/20/2011	130 06	186 67	0 00					(56 61)	VINCI SA ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
984851204 1 00	11/10/2011	07/13/2011	44 83	57 84	0 00					(13 01)	YARA INTERNATIONAL ASA SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
984851204 13 00	11/10/2011	06/24/2011	582 81	736 01	0 00					(153 20)	YARA INTERNATIONAL ASA SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
984851204 29 00	11/10/2011	06/22/2011	1,300 12	1,699 44	0 00					(399 31)	YARA INTERNATIONAL ASA SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
294821608 25 00	11/11/2011	03/28/2011	257 85	319 00	0 00					(61 15)	ERICSSON (LM) TEL CO ADR CMN CLASS B AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
294821608 29 00	11/11/2011	02/24/2011	299 10	356 38	0 00					(57 28)	ERICSSON (LM) TEL CO ADR CMN CLASS B AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
294821608 47 00	11/11/2011	01/20/2011	484 75	540 06	0 00					(55 31)	ERICSSON (LM) TEL CO ADR CMN CLASS B AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
641069406 7 00	11/11/2011	03/28/2011	395 71	399 00	0 00					(3 29)	NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
641069406 10 00	11/11/2011	05/03/2011	565 29	628 00	0 00					(62 71)	NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
984851204 24 00	11/14/2011	07/13/2011	1,101 88	1,388 22	0 00					(286 34)	YARA INTERNATIONAL ASA SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	



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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1a: Date of Sale or Exchange Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc.	Box 3: Cost or Other Basis	Box 4: Wash Sale Loss Disallowed	Box 5: Check if a Noncovered Security	Box 6: Type of Gain or Loss	* Gain or (Loss)	Box 9: Description	Box 15: Check if Loss not Allowed Based on Amount in Box 2
705015105 10.00	11/16/2011 02/24/2011	175.41 164.42	0.00			10.99 SHORT-TERM	PEARSON PLC SPON ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
705015105 27.00	11/16/2011 01/20/2011	473.61 453.14	0.00			20.47 SHORT-TERM	PEARSON PLC SPON ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
705015105 12.00	11/17/2011 02/24/2011	206.88 197.31	0.00			9.57 SHORT-TERM	PEARSON PLC SPON ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
705015105 16.00	11/17/2011 03/28/2011	275.84 278.40	(2.56)			0.00 SHORT-TERM	PEARSON PLC SPON ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
984851204 19.00	11/17/2011 07/13/2011	824.54 1,099.01	0.00			(274.47) SHORT-TERM	YARA INTERNATIONAL ASA SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
05382A104 118.00	11/22/2011 10/12/2011	1,036.85 1,276.05	(239.20)			0.00 SHORT-TERM	AVIVA PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
225401108 4.00	11/22/2011 02/24/2011	87.73 183.84	0.00			(96.12) SHORT-TERM	CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
225401108 5.00	11/22/2011 04/26/2011	109.66 224.54	0.00			(114.88) SHORT-TERM	CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
225401108 6.00	11/22/2011 05/03/2011	131.59 262.56	0.00			(130.97) SHORT-TERM	CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
225401108 7.00	11/22/2011 01/20/2011	153.52 324.61	0.00			(171.09) SHORT-TERM	CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		



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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1a: Date of Sale or Exchange Box 1b: Date of Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc.	Box 6: Check if a		Box 5: Wash Sale Loss Disallowed	Box 3: Cost or Other Basis	Box 4: Cost or Less Disallowed	Box 8: Type of Gain or Loss	* Gain or (Loss)	Box 9: Description	Box 15: Check if Loss not Allowed Based on Amount in Box 2
			Noncovered	Security							
225401108 10 00	11/22/2011 03/28/2011	219 31 426 10	0 00		0 00			(206 79)	CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
268780103 47 00	11/22/2011 10/14/2011	1,047 50 1,148 82	(101 32)					0 00	E ON AG SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
34959F106 59 00	11/22/2011 08/02/2011	253 36 303 15	0 00					(49 79)	FORTUM OYJ UNSPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
438128308 1 00	11/22/2011 01/20/2011	28 20 44 82	(16 63)					0 00	HONDA MTR LTD (AMER SHS) ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
438128308 1 00	11/22/2011 01/20/2011	28 20 52 62	(24 42)					0 00	HONDA MTR LTD (AMER SHS) ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
438128308 4 00	11/22/2011 01/20/2011	112 78 157 47	(44 69)					0 00	HONDA MTR LTD (AMER SHS) ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
438128308 7 00	11/22/2011 01/20/2011	197 36 277 49	(80 13)					0 00	HONDA MTR LTD (AMER SHS) ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
438128308 14 00	11/22/2011 01/20/2011	394 73 698 11	(303 39)					0 00	HONDA MTR LTD (AMER SHS) ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
803054204 16 00	11/22/2011 03/28/2011	919 75 965 92	(31 74)					(14 43)	SAP AG (SPON ADR) AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
784375404 59 00	11/22/2011 10/17/2011	1,058 13 1,276 09	(217 96)					0 00	SKF AB SPONSORED ADR SWKR 12 50 AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		



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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year Account No Tax ID Number Legal Name
2011 014-16872-8 20-0511684 SWBC FOUNDATION

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No Quantity	Box 1a: Date of Sale or Exchange Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc.	Box 3: Cost or Other Basis	Box 5: Wash Sale Loss Disallowed	Box 6: Check if a Noncovered Security	Box 8: Type of Gain or Loss	* Gain or (Loss)	Box 9: Description	Box 15: Check if Loss not Allowed Based on Amount in Box 2
928662402 36 00	11/22/2011 10/17/2011	1,135 90 1,145 35	(9 45)			0 00 SHORT-TERM		VOLKSWAGEN AG, WOLFSBURG SPONSORED ADR PFD USDO 4941 AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
69367Y107 4 00	11/23/2011 07/29/2011	176 06 288 56	0 00			(112 50) SHORT-TERM		PT BUMI RESOURCES TBK UNSPONSORED ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
69367Y107 14 00	11/23/2011 07/29/2011	616 21 1,231 34	0 00			(615 14) SHORT-TERM		PT BUMI RESOURCES TBK UNSPONSORED ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
69367Y107 20 00	11/23/2011 08/02/2011	880 30 1,507 93	0 00			(627 63) SHORT-TERM		PT BUMI RESOURCES TBK UNSPONSORED ADR AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
69987Y109 4 00	12/01/2011 03/28/2011	218 24 220 64	0 00			(2 40) SHORT-TERM		NOVARTIS AG-ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
69987Y109 7 00	12/01/2011 04/20/2011	381 92 404 56	0 00			(22 64) SHORT-TERM		NOVARTIS AG-ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
69987Y109 8 00	12/01/2011 05/02/2011	436 48 474 24	0 00			(37 76) SHORT-TERM		NOVARTIS AG-ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
705015105 23 00	12/01/2011 03/28/2011	409 27 400 20	0 00			9 07 SHORT-TERM		PEARSON PLC SPON ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
758205207 3 00	12/01/2011 03/15/2011	98 68 98 00	0 00			0 68 SHORT-TERM		REED ELSEVIER GROUP PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
758205207 7 00	12/01/2011 03/28/2011	230 24 233 73	0 00			(3 49) SHORT-TERM		REED ELSEVIER GROUP PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	



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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-15872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1a Date of Sale or Exchange Box 1b Date of Acquisition	Box 2 Gross Proceeds of Stocks, Bonds, etc	Box 3 Cost or Other Basis	Box 5 Wash Sale Loss Disallowed	Box 6 Check if a Noncovered Security	Box 8 Type of Gain or Loss	* Gain or (Loss)	Box 9 Description	Box 15 Check if Loss not Allowed Based on Amount in Box 2
191085208 32.00	12/02/2011 08/10/2011	765.67 724.76	0.00	0.00		40.91 SHORT-TERM		COCA-COLA AMATIL LIMITED SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
705015105 2.00	12/02/2011 03/28/2011	35.60 34.80	0.00	0.00		0.81 SHORT-TERM		PEARSON PLC SPON ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
705015105 2.00	12/02/2011 03/28/2011	35.60 37.06	0.00	0.00		(1.45) SHORT-TERM		PEARSON PLC SPON ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
705015105 8.00	12/02/2011 05/03/2011	142.42 150.32	0.00	0.00		(7.90) SHORT-TERM		PEARSON PLC SPON ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
705015105 14.00	12/02/2011 03/28/2011	249.23 254.51	0.00	0.00		(5.28) SHORT-TERM		PEARSON PLC SPON ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
758205207 9.00	12/02/2011 05/03/2011	292.02 319.14	0.00	0.00		(27.12) SHORT-TERM		REED ELSEVIER GROUP PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
758205207 13.00	12/02/2011 03/28/2011	421.80 434.07	0.00	0.00		(12.27) SHORT-TERM		REED ELSEVIER GROUP PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
784375404 20.00	12/02/2011 10/17/2011	424.21 432.57	(2.93)			(5.43) SHORT-TERM		SKF AB SPONSORED ADR SWKFR 12.50 AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
83175M205 9.00	12/09/2011 06/13/2011	415.48 481.05	0.00			(65.57) SHORT-TERM		SMITH & NEPHEW PLC ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
784375404 55.00	12/12/2011 10/17/2011	1,133.86 1,211.20	0.00			(77.34) SHORT-TERM		SKF AB SPONSORED ADR SWKFR 12.50 AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	

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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16872-8** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1a: Date of Sale or Exchange Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc. Other Basis	Box 3: Cost or Wash Sale Loss Disallowed	Box 4: Check if a Noncovered Security	Box 5: Type of Gain or Loss	Box 6: Description	Box 7: Check if	
							Loss not Allowed Based on Amount in Box 2	
83238P203 28 00	12/12/2011 05/20/2011	397 21 569 90	0 00		(172 69) SHORT-TERM	SMITHS GROUP PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
83238P203 28 00	12/12/2011 06/13/2011	397 21 525 56	0 00		(128 35) SHORT-TERM	SMITHS GROUP PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
784375404 7 00	12/14/2011 10/17/2011	133 66 144 61	0 00		(10 95) SHORT-TERM	SKF AB SPONSORED ADR SWKR 12 50 AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
784375404 27 00	12/14/2011 10/17/2011	515 55 583 97	0 00		(68 43) SHORT-TERM	SKF AB SPONSORED ADR SWKR 12 50 AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
784375404 28 00	12/14/2011 10/17/2011	534 64 670 18	0 00		(135 54) SHORT-TERM	SKF AB SPONSORED ADR SWKR 12 50 AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
784375404 31 00	12/14/2011 10/17/2011	591 92 852 94	0 00		(261 02) SHORT-TERM	SKF AB SPONSORED ADR SWKR 12 50 AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
784375404 37 00	12/14/2011 10/21/2011	706 49 754 70	0 00		(48 21) SHORT-TERM	SKF AB SPONSORED ADR SWKR 12 50 AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
ACCOUNT TOTAL							294,321.01	(24,988.60)
(COVERED: SHORT-TERM)							328,002.48	

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PROCEEDS FROM BROKER TRANSACTIONS
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16876-9** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No Quantity	Box 1a. Date of Sale or Exchange	Box 2 Gross Proceeds of Stocks, Bonds, etc.	Box 3 Cost or Other Basis	Box 4 Wash Sale Loss Disallowed	Box 5 Noncovered Security	Box 6 Check if a	Box 7 Type of Gain or Loss	Box 8 Description	Box 15: Check if
									Loss not Allowed Based on Amount in Box 2
191216100 30 00	01/26/2011 01/20/2011	1,887 32 1,890 74	0 00 0 00				SHORT-TERM	COCA-COLA COMPANY (THE) CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
20030N200 105 00	01/26/2011 01/20/2011	2,311 98 2,305 54	0 00 0 00				SHORT-TERM	COMCAST CORPORATION CMN CLASS A NON VOTING AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
65248E104 60 00	01/26/2011 01/20/2011	933 85 857 62	0 00 0 00				SHORT-TERM	NEWS CORPORATION CMN CLASS A AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
717081103 285 00	01/26/2011 01/20/2011	5,285 64 5,189 68	0 00 0 00				SHORT-TERM	PFIZER INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
91324P102 120 00	01/26/2011 01/20/2011	4,844 84 4,778 39	0 00 0 00				SHORT-TERM	UNITEDHEALTH GROUP INCORPORATE CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
191216100 35 00	02/03/2011 01/20/2011	2,182 34 2,205 87	0 00 0 00				SHORT-TERM	COCA-COLA COMPANY (THE) CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
20030N200 45 00	02/03/2011 01/20/2011	973 19 988 09	0 00 0 00				SHORT-TERM	COMCAST CORPORATION CMN CLASS A NON VOTING AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
65248E104 170 00	02/03/2011 01/20/2011	2,853 36 2,429 93	0 00 0 00				SHORT-TERM	NEWS CORPORATION CMN CLASS A AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
65248E104 150 00	02/11/2011 01/20/2011	2,611 85 2,144 05	0 00 0 00				SHORT-TERM	NEWS CORPORATION CMN CLASS A AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
189054109 125 00	02/14/2011 01/20/2011	8,669 03 7,966 01	0 00 0 00				SHORT-TERM	CLOROX CO (THE) (DELAWARE) CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
189054109 35 00	03/28/2011 01/20/2011	2,439 05 2,230 48	0 00 0 00				SHORT-TERM	CLOROX CO (THE) (DELAWARE) CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
191216100 50 00	03/28/2011 01/20/2011	3,264 40 3,151 24	0 00 0 00				SHORT-TERM	COCA-COLA COMPANY (THE) CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	

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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2011** Account No **014-16876-9** Tax ID Number **20-0511684** Legal Name **SWBC FOUNDATION**

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No. Quantity	Box 1a: Date of Sale or Exchange		Box 2: Gross Proceeds of Stocks, Bonds, etc.		Box 5: Wash Sale Loss Disallowed		Box 6: Check if a Noncovered Security		* Gain or (Loss)	Box 8: Type of Gain or Loss	Box 9: Description	Box 15: Check if Loss not Allowed Based on Amount in Box 2
	Box 1b: Date of Acquisition	Box 1c: Date of Exchange	Box 3: Cost or Other Basis	Box 4: Proceeds	Box 5a: Loss Disallowed	Box 5b: Security	Box 6a: Noncovered	Box 6b: Security				
20030N200 85 00	03/28/2011	03/28/2011	1,960 33	0 00	0 00	0 00	0 00	0 00	93 94	SHORT-TERM	COMCAST CORPORATION CMN CLASS A NON VOTING AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
20825C104 75 00	03/28/2011	01/20/2011	6,005 45	0 00	0 00	0 00	0 00	0 00	981 88	SHORT-TERM	CONOCOPHILLIPS CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
G2554F113 100 00	03/28/2011	01/20/2011	5,170 20	0 00	0 00	0 00	0 00	0 00	371 94	SHORT-TERM	COVIDIEN PUBLIC LIMITED COMPAN CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
717081103 360 00	03/28/2011	01/20/2011	7,304 00	0 00	0 00	0 00	0 00	0 00	748 62	SHORT-TERM	PFIZER INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
891906109 139 00	03/29/2011	01/20/2011	2,514 65	0 00	0 00	0 00	0 00	0 00	160 34	SHORT-TERM	TOTAL SYS SVC INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
891906109 145 00	03/30/2011	01/20/2011	2,622 41	0 00	0 00	0 00	0 00	0 00	166 47	SHORT-TERM	TOTAL SYS SVC INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
891906109 10 00	03/31/2011	01/20/2011	180 99	0 00	0 00	0 00	0 00	0 00	11 62	SHORT-TERM	TOTAL SYS SVC INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
093671105 165 00	04/05/2011	01/20/2011	2,941 71	0 00	0 00	0 00	0 00	0 00	776 56	SHORT-TERM	H & R BLOCK INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
713448108 25 00	04/28/2011	01/20/2011	1,741 19	0 00	0 00	0 00	0 00	0 00	91 25	SHORT-TERM	PEPSICO INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
713448108 10 00	05/19/2011	01/20/2011	713 94	0 00	0 00	0 00	0 00	0 00	53 97	SHORT-TERM	PEPSICO INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
191216100 130 00	09/02/2011	01/20/2011	9,063 64	0 00	0 00	0 00	0 00	0 00	870 42	SHORT-TERM	COCA-COLA COMPANY (THE) CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
891906109 160 00	10/26/2011	01/20/2011	3,134 07	0 00	0 00	0 00	0 00	0 00	424 07	SHORT-TERM	TOTAL SYS SVC INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	

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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
 SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year: 2011
 Account No: 014-16876-9
 Tax ID Number: 20-0511684
 Legal Name: SWBC FOUNDATION

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP No Quantity	Box 1a: Date of Sale or Exchange Box 1b: Date of Acquisition	Box 2: Gross Proceeds of Stocks, Bonds, etc.	Box 3: Cost or Other Basis	Box 4: Wash Sale Loss Disallowed	Box 5: Noncovered Security	Box 6: Check if a	Box 7: Type of Gain or Loss	Box 8: * Gain or (Loss)	Box 9: Description	Box 15: Check if Loss not Allowed Based on Amount in Box 2
717081103 345 00	11/21/2011 01/20/2011	6,574 05 6,282 24	0 00				291 81	SHORT-TERM	PFIZER INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
ACCOUNT TOTAL		88,183.48	0.00				7,162.10			
(COVERED: SHORT-TERM)		81,021.40								



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