Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation
## or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

**Note.** The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

**2010**

For calendar year 2010, or tax year beginning DEC 1, 2010 , and ending NOV 30, 2011

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return
[ ] Amended return [ ] Address change [ ] Name change

Name of foundation: CROSSWICKS FOUNDATION , LTD.

A Employer identification number: 13-2732197

Number and street (or P O box number if mail is not delivered to street address): 535 WEST 110TH STREET

Room/suite:

B Telephone number: 860-496-8119

City or town, state, and ZIP code: NEW YORK, NY 10025

C If exemption application is pending, check here ▶ [ ]

D 1. Foreign organizations, check here ▶ [ ]
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 2,809,210.

J Accounting method: [ ] Cash [X] Accrual
[ ] Other (specify)
*(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| Revenue | 1 Contributions, gifts, grants, etc., received | | | N/A | |
| | 2 Check ▶ [X] if the foundation is not required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | | | | |
| | 4 Dividends and interest from securities | 97,113. | 97,113. | | |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 15,737. | | | |
| | b Gross sales price for all assets on line 6a 314,648. | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 15,737. | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) | | | | |
| | 11 Other income | | | | |
| | 12 **Total.** Add lines 1 through 11 | 112,850. | 112,850. | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc | 0. | 0. | | 0. |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees | | | | |
| | b Accounting fees STMT 1 | 9,621. | 9,621. | | 0. |
| | c Other professional fees STMT 2 | 41,482. | 41,482. | | 0. |
| | 17 Interest | | | | |
| | 18 Taxes STMT 3 | 1,817. | 1,212. | | 0. |
| | 19 Depreciation and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses | | | | |
| | 24 **Total operating and administrative expenses.** Add lines 13 through 23 | 52,920. | 52,315. | | 0. |
| | 25 Contributions, gifts, grants paid | 158,000. | | | 162,000. |
| | 26 **Total expenses and disbursements.** Add lines 24 and 25 | 210,920. | 52,315. | | 162,000. |
| | 27 Subtract line 26 from line 12: | | | | |
| | a Excess of revenue over expenses and disbursements | -98,070. | | | |
| | b **Net investment income** (if negative, enter -0-) | | 60,535. | | |
| | c **Adjusted net income** (if negative, enter -0-) | | | N/A | |

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## Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

| | | Beginning of year | End of year | |
|---|---|---|---|---|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| **Assets** | 1 Cash - non-interest-bearing | | | |
| | 2 Savings and temporary cash investments | | | |
| | 3 Accounts receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 4 Pledges receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 Other notes and loans receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | 237. | | |
| | 10a Investments - U.S. and state government obligations STMT 4 | 599,950. | 626,452. | 690,401. |
| | b Investments - corporate stock STMT 5 | 1,507,851. | 1,421,513. | 1,631,650. |
| | c Investments - corporate bonds STMT 6 | 390,910. | 422,222. | 449,043. |
| | 11 Investments - land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation ▶ | | | |
| | 12 Investments - mortgage loans | | | |
| | 13 Investments - other STMT 7 | 111,056. | 38,116. | 38,116. |
| | 14 Land, buildings, and equipment: basis ▶ | | | |
| | Less accumulated depreciation ▶ | | | |
| | 15 Other assets (describe ▶ ) | | | |
| | 16 **Total assets** (to be completed by all filers) | 2,610,004. | 2,508,303. | 2,809,210. |
| **Liabilities** | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | 162,000. | 158,000. | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable | | | |
| | 22 Other liabilities (describe ▶ ACCRUED EXCISE TAX) | 0. | 369. | |
| | 23 **Total liabilities** (add lines 17 through 22) | 162,000. | 158,369. | |
| **Net Assets or Fund Balances** | **Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 Unrestricted | | | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | **Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.** | | | |
| | 27 Capital stock, trust principal, or current funds | 0. | 0. | |
| | 28 Paid-in or capital surplus, or land, bldg., and equipment fund | 0. | 0. | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | 2,448,004. | 2,349,934. | |
| | 30 **Total net assets or fund balances** | 2,448,004. | 2,349,934. | |
| | 31 **Total liabilities and net assets/fund balances** | 2,610,004. | 2,508,303. | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 2,448,004. |
| 2 | Enter amount from Part I, line 27a | 2 | -98,070. |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | 0. |
| 4 | Add lines 1, 2, and 3 | 4 | 2,349,934. |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | 0. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 2,349,934. |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | PUBLICLY TRADED SECURITIES | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 314,648. | | 298,911. | 15,737. |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|---|---|---|---|---|
| a | | | | 15,737. |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 15,737.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 } 3 N/A

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|---|---|---|---|
| 2009 | 146,637. | 2,633,674. | .055678 |
| 2008 | 156,909. | 2,510,227. | .062508 |
| 2007 | 179,335. | 3,143,212. | .057055 |
| 2006 | 197,665. | 3,772,995. | .052389 |
| 2005 | 187,629. | 3,596,984. | .052163 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | .279793 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .055959 |
| 4 | Enter the net value of noncharitable-use assets for 2010 from Part X, line 5 | 4 | 2,769,207. |
| 5 | Multiply line 4 by line 3 | 5 | 154,962. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 605. |
| 7 | Add lines 5 and 6 | 7 | 155,567. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 162,000. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | | |
|---|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions) | | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b | | 1 | 605. |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 2 | 0. |
| 3 | Add lines 1 and 2 | | 3 | 605. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 4 | 0. |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- | | 5 | 605. |
| 6 | Credits/Payments: | | | |
| a | 2010 estimated tax payments and 2009 overpayment credited to 2010 | 6a 237. | | |
| b | Exempt foreign organizations - tax withheld at source | 6b | | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | | |
| d | Backup withholding erroneously withheld | 6d | | |
| 7 | Total credits and payments. Add lines 6a through 6d | | 7 | 237. |
| 8 | Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached | | 8 | 1. |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | | 9 | 369. |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | | 10 | |
| 11 | Enter the amount of line 10 to be: **Credited to 2011 estimated tax** ▶ | **Refunded** ▶ | 11 | |

## Part VII-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? | 1b | | X |
| | *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0. | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0. | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.* | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.* | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.* | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | 10 | | X |

**Part VII-A Statements Regarding Activities** *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ N/A

14 The books are in care of ▶ PETROVITS, PATRICK, SMITH & COMPANY Telephone no.▶860-496-8119
Located at ▶173 PROSPECT STREET, TORRINGTON, CT ZIP+4 ▶06790

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶ | | | |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

| | | | | Yes | No |
|---|---|---|---|---|---|
| | **File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.** | | | | |
| 1a | During the year did the foundation (either directly or indirectly): | | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? | ☐ Yes ☒ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | ☐ Yes ☒ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) | ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? | N/A | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance check here | ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010? | | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | | |
| a | At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? | ☐ Yes ☒ No | | | |
| | If "Yes," list the years ▶ ____, ____, ____, ____ | | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) | N/A | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____ | | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)* | N/A | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010? | | 4b | | X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| **5a** During the year did the foundation pay or incur any amount to: | | | | |
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | ☐ Yes ☒ No | | | |
| (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? | ☐ Yes ☒ No | | | |
| (3) Provide a grant to an individual for travel, study, or other similar purposes? | ☐ Yes ☒ No | | | |
| (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? | ☐ Yes ☒ No | | | |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | ☐ Yes ☒ No | | | |
| **b** If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? | N/A | 5b | | |
| Organizations relying on a current notice regarding disaster assistance check here | ▶ ☐ | | | |
| **c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A | ☐ Yes ☐ No | | | |
| *If "Yes," attach the statement required by Regulations section 53.4945-5(d).* | | | | |
| **6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | ☐ Yes ☒ No | | | |
| **b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870* | | 6b | | X |
| **7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | ☐ Yes ☒ No | | | |
| **b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? | N/A | 7b | | |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| SEE STATEMENT 8 | | 0. | 0. | 0. |
| | | | | |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ 0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services ▶ | | 0 |

**Part IX-A** Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|---|---|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B** Summary of Program-Related Investments

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|---|
| 1 N/A | |
| 2 | |
| All other program-related investments. See instructions.<br>3 | |
| **Total.** Add lines 1 through 3 ▶ | 0. |



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**Part X** **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 2,811,378. |
| b | Average of monthly cash balances | 1b | |
| c | Fair market value of all other assets | 1c | |
| d | **Total** (add lines 1a, b, and c) | 1d | 2,811,378. |
| e | *Reduction claimed for blockage or other factors reported on lines 1a and* 1c (attach detailed explanation) — 1e: 0. | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 2,811,378. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 42,171. |
| 5 | **Net value of noncharitable-use assets**. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 2,769,207. |
| 6 | **Minimum investment return**. Enter 5% of line 5 | 6 | 138,460. |

**Part XI** **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | |
|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | 1 | 138,460. |
| 2a | Tax on investment income for 2010 from Part VI, line 5 — 2a: 605. | | |
| b | Income tax for 2010. (This does not include the tax from Part VI.) — 2b: | | |
| c | Add lines 2a and 2b | 2c | 605. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 137,855. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 0. |
| 5 | Add lines 3 and 4 | 5 | 137,855. |
| 6 | Deduction from distributable amount (see instructions) | 6 | 0. |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 137,855. |

**Part XII** **Qualifying Distributions** (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 162,000. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 162,000. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5 | 605. |
| 6 | **Adjusted qualifying distributions**. Subtract line 5 from line 4 | 6 | 161,395. |

**Note**. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



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**Part XIII Undistributed Income** (see instructions)

| | (a) Corpus | (b) Years prior to 2009 | (c) 2009 | (d) 2010 |
|---|---|---|---|---|
| 1 Distributable amount for 2010 from Part XI, line 7 | | | | 137,855. |
| 2 Undistributed income, if any, as of the end of 2010 | | | | |
| a Enter amount for 2009 only | | | 0. | |
| b Total for prior years: ____ , ____ , ____ | | 0. | | |
| 3 Excess distributions carryover, if any, to 2010: | | | | |
| a From 2005 12,522. | | | | |
| b From 2006 14,685. | | | | |
| c From 2007 23,504. | | | | |
| d From 2008 32,580. | | | | |
| e From 2009 15,679. | | | | |
| f **Total** of lines 3a through e | 98,970. | | | |
| 4 Qualifying distributions for 2010 from Part XII, line 4: ▶ $ 162,000. | | | | |
| a Applied to 2009, but not more than line 2a | | | 0. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2010 distributable amount | | | | 137,855. |
| e Remaining amount distributed out of corpus | 24,145. | | | |
| 5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).) | 0. | | | 0. |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 123,115. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011 | | | | 0. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) | 0. | | | |
| 8 Excess distributions carryover from 2005 not applied on line 5 or line 7 | 12,522. | | | |
| 9 **Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a | 110,593. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2006 14,685. | | | | |
| b Excess from 2007 23,504. | | | | |
| c Excess from 2008 32,580. | | | | |
| d Excess from 2009 15,679. | | | | |
| e Excess from 2010 24,145. | | | | |

**Part XIV | Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2010 | (b) 2009 | (c) 2008 | (d) 2007 | (e) Total |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV | Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)**

1 ***Information Regarding Foundation Managers:***

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

CROSSWICK'S FOUNDATION, LTD., 860-496-8119
535 WEST 110TH STREET, NEW YORK, NY 10025

b The form in which applications should be submitted and information and materials they should include:

WRITTEN REQUEST

c Any submission deadlines:

NOVEMBER 1

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NONE

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| *a Paid during the year* | | | | |
| SEE LIST ATTACHED | NONE | 501(C)3 CHARITIES | SEE SCHEDULE | 162,000. |
| **Total** | | | ▶ 3a | 162,000. |
| *b Approved for future payment* | | | | |
| SEE LIST ATTACHED ALL GRANTS ARE TO BE PAID IN FEBRUARY 2012 | NONE | 501(C)3 CHARITIES | SEE SCHEDULE | 158,000. |
| **Total** | | | ▶ 3b | 158,000. |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | | 14 | 97,113. | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | | | 15,737. |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | 0. | | 97,113. | 15,737. |

13 **Total.** Add line 12, columns (b), (d), and (e) 13 112,850.

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). |
|---|---|
| | N/A |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | N/A | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| N/A | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

[signature] 2-24-12 Vice President

Signature of officer or trustee | Date | Title

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| DEBRA L. KENNESON | Debra L Kenneson | 2/24/2012 | | |
| Firm's name ▶ PETROVITS, PATRICK, SMITH & COMPANY, LLC | | | Firm's EIN ▶ | |
| Firm's address ▶ 173 PROSPECT STREET TORRINGTON, CT 06790 | | | Phone no. 860-496-8119 | |



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04-26-11

FORM 990-PF ACCOUNTING FEES STATEMENT 1

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| ACCOUNTING | 9,621. | 9,621. | | 0. |
| TO FORM 990-PF, PG 1, LN 16B | 9,621. | 9,621. | | 0. |

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 2

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| TRUST FEES | 41,482. | 41,482. | | 0. |
| TO FORM 990-PF, PG 1, LN 16C | 41,482. | 41,482. | | 0. |

FORM 990-PF TAXES STATEMENT 3

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| NYC ANNUAL REPORT OF CHARITABLE ORG INVEST | 250. | 250. | | 0. |
| FOREIGN DIVIDEND TAX | 962. | 962. | | 0. |
| EXCISE TAX ON INVESTMENT INCOME | 605. | 0. | | 0. |
| TO FORM 990-PF, PG 1, LN 18 | 1,817. | 1,212. | | 0. |

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 4

| DESCRIPTION | U.S. GOV'T | OTHER GOV'T | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|---|---|
| SEE ATTACHED STATEMENT | X | | 626,452. | 690,401. |
| TOTAL U.S. GOVERNMENT OBLIGATIONS | | | 626,452. | 690,401. |
| TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS | | | | |
| TOTAL TO FORM 990-PF, PART II, LINE 10A | | | 626,452. | 690,401. |

FORM 990-PF CORPORATE STOCK STATEMENT 5

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|
| SEE ATTACHED STATEMENT | 1,421,513. | 1,631,650. |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 1,421,513. | 1,631,650. |

FORM 990-PF CORPORATE BONDS STATEMENT 6

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|
| SEE ATTACHED STATEMENT | 422,222. | 449,043. |
| TOTAL TO FORM 990-PF, PART II, LINE 10C | 422,222. | 449,043. |

FORM 990-PF OTHER INVESTMENTS STATEMENT 7

| DESCRIPTION | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|---|
| SEE ATTACHED STATEMENT | COST | 38,116. | 38,116. |
| TOTAL TO FORM 990-PF, PART II, LINE 13 | | 38,116. | 38,116. |

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 8

| NAME AND ADDRESS | TITLE AND AVRG HRS/WK | COMPEN-SATION | EMPLOYEE BEN PLAN CONTRIB | EXPENSE ACCOUNT |
|---|---|---|---|---|
| JOSEPHINE F JONES<br>93 WEST STREET<br>GOSHEN, CT 06756 | EXEC. VICE PRESIDENT<br>0.00 | 0. | 0. | 0. |
| MARIA R. ROONEY<br>25 LAMB'S WAY<br>STONINGTON, CT 06378 | VICE PRESIDENT<br>0.00 | 0. | 0. | 0. |
| MADELEINE J. ROY<br>238 WEST 106TH STREET, APT 3D<br>NEW YORK, NY 10025 | TREASURER<br>0.00 | 0. | 0. | 0. |
| ATTY MORTON L. PRICE<br>1133 AVENUE OF THE AMERICAS<br>NEW YORK, NY 100366799 | SECRETARY<br>0.00 | 0. | 0. | 0. |
| EDWARD A. JONES<br>400 EAST 14TH STREET #3D<br>NEW YORK, NY 10009 | DIRECTOR<br>0.00 | 0. | 0. | 0. |
| CHARLOTTE JONES<br>211 WEST 107TH ST APT 1W<br>NEW YORK, NY 10025 | DIRECTOR<br>0.00 | 0. | 0. | 0. |
| TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII | | 0. | 0. | 0. |

Crosswicks Foundation 2010 Form 990PF EIN 13-2732197

Attachment to Part II

Lines 10a, 10b, 10c & 13

Merrill Lynch

MARIJO WEIK

**EMA Fiscal Statement**

## CURRENT PORTFOLIO SUMMARY

| Quantity | Security Description | Date Acquired | Total/Adj Cost Basis | Fiscal Year Value 11/30/11 | Unrealized Gain or (Loss) | Est Accrued Interest | Est Annual Income |
|---|---|---|---|---|---|---|---|
| | **Cash and Money Funds** | | | | | | |
| | CASH | | 524 51 | 524.51 | | | |
| 37,591 | BIF MONEY FUND | | 37,591.00 | 37,591.00 | | | 3.76 |
| | **Total Cash and Money Funds** | Line 13 col. b | **38,115.51** | **38,115.51** Line 13 col. c | | | **3.76** |
| | **Government Securities** | | | | | | |
| 52,000 | FED NAT'L MORTGAGE ASSOC GLOBAL NOTES 04 375% SEP 15 2012 | 01/28/09 | 52,961 91 • | 53,706 12 • | 744 21 | 473 96 | 2,275 00 |
| 9,000 | FED NAT'L MORTGAGE ASSOC ORIGINAL UNIT/TOTAL COST: 107.2606/9,653.46 | 11/05/10 | 9,279.64 | 9,295 29 | 15.65 | 82 03 | 394.00 |
| 42,000 | FEDERAL NATL MTG ASSOC NOTES 05 375% JUN 12 2017 | 06/26/07 | 41,508 74 | 50,533 98 | 9,025 24 | 1,053 50 | 2,258 00 |
| 3,000 | FEDERAL NATL MTG ASSOC ORIGINAL UNIT/TOTAL COST: 121 4573/3,643 72 | 11/08/10 | 3,546 03 | 3,609 57 | 63 54 | 75 25 | 162 00 |
| 44,000 | FEDERAL HOME LN MTG CORP NOTES 04 875% NOV 15 2013 | 06/05/08 | 44,557 98 | 47,821 40 | 3,263 42 | 89 38 | 2,145 00 |
| 6,000 | FEDERAL HOME LN MTG CORP ORIGINAL UNIT/TOTAL COST: 112 6916/6,761 50 | 11/05/10 | 6,495.69 | 6,521.10 | 25 41 | 12 19 | 293 00 |

MARIJO WEIK

## CURRENT PORTFOLIO SUMMARY

| Quantity | Security Description | Date Acquired | Total/Adj Cost Basis | Fiscal Year Value 11/30/11 | Unrealized Gain or (Loss) | Est Accrued Interest | Est Annual Income |
|---|---|---|---|---|---|---|---|
| | **Government Securities** | | | | | | |
| 15,000 | FEDERAL HOME LN MTG CORP NOTES<br>02.125% MAR 23 2012 | 06/24/10 | 15,064.48 | 15,093 90 | 29 42 | 59.32 | 319 00 |
| 2,000 | FEDERAL HOME LN MTG CORP<br>ORIGINAL UNIT/TOTAL COST<br>102 4405/2,048.81 | 11/05/10 | 2,011.11 | 2,012.52 | 1.41 | 7.91 | 43.00 |
| 55,000 | U S. TREASURY NOTE<br>1.000% OCT 31 2016<br>01.000% OCT 31 2016 | 11/17/11 P | 55,352.75 | 55,189.20 | (163.55) | 45.33 | 550.00 |
| 21,000 | U S. TREASURY NOTE<br>3 625% FEB 15 2020<br>03 625% FEB 15 2020 | 03/16/10 | 20,910.67 | 24,030 30 | 3,119 63 | 221 34 | 762 00 |
| 7,000 | U S TREASURY BOND<br>5 25% NOV 15 2028<br>05 250% NOV 15 2028 | 10/18/05 | 7,397 63 | 9,429.21 | 2,031 58 | 15 14 | 368 00 |
| 22,000 | U S TREASURY BOND<br>ORIGINAL UNIT/TOTAL COST.<br>109.4609/24,081.41 | 01/20/06 | 23,732 29 | 29,634 66 | 5 902 37 | 47.60 | 1,155 00 |
| 3,000 | U S TREASURY BOND<br>ORIGINAL UNIT/TOTAL COST<br>122.1996/3,665 99 | 11/05/10 | 3,637.50 | 4,041.09 | 403.59 | 6 49 | 158 00 |
| 30,000 | U S TRSY INFLATION NOTE<br>2.0% JAN 15 2026<br>INFL ADJ PRIN 34,292 | 02/03/09 S | 32,346 55 | 41,906.71 | 9.560 16 | 225.00 | 686 00 |

Merrill Lynch

MARIJO WEIK

# EMA Fiscal Statement

## CURRENT PORTFOLIO SUMMARY

| Quantity | Security Description | Date Acquired | Total/Adj Cost Basis | Fiscal Year Value 11/30/11 | Unrealized Gain or (Loss) | Est Accrued Interest | Est Annual Income |
|---|---|---|---|---|---|---|---|
| | **Government Securities** | | | | | | |
| 4,000 | U.S. TRSY INFLATION NOTE<br>INFL ADJ PRIN 4,572<br>ORIGINAL UNIT/TOTAL COST | 11/05/10 | 5,145 08 | 5,587 56 | 442.48 | 30 00 | 92 00 |
| 16,000 | U S. TREASURY BOND<br>4 750% FEB 15 2037<br>04 750% FEB 15 2037 | 03/15/07 | 16,137 84 | 21,122.56 | 4,984.72 | 220.98 | 760 00 |
| 4,000 | U.S TREASURY BOND<br>ORIGINAL UNIT/TOTAL COST<br>112.2895/4,491 58 | 11/05/10 | 4,480.06 | 5,280.64 | 800.58 | 55 24 | 190.00 |
| 30,000 | U S. TREASURY NOTE<br>4 250% NOV 15 2017<br>04 250% NOV 15 2017 | 12/20/07 | 30,382.29 | 35,268 90 | 4,886 61 | 52 54 | 1,275 00 |
| 29,000 | U S. TREASURY NOTE<br>3 375% NOV 30 2012<br>03 375% NOV 30 2012 | 12/20/07 | 28,956 97 | 29,927.71 | 970 74 | | 979 00 |
| 2,000 | U S. TREASURY NOTE<br>ORIGINAL UNIT/TOTAL COST.<br>106 2430/2,124 86 | 11/05/10 | 2 060 71 | 2,063 98 | 3.27 | | 68 00 |
| 10,000 | U S. TREASURY BOND<br>4 250% MAY 15 2039<br>04.250% MAY 15 2039 | 06/24/09 | 9,718.75 | 12,331 30 | 2,612 55 | 17 51 | 425 00 |
| 25,000 | U S. TREASURY BOND | 11/17/09 | 24,921 00 | 30,828.25 | 5,907 25 | 43 78 | 1,063 00 |
| 2,000 | U.S. TREASURY BOND<br>ORIGINAL UNIT/TOTAL COST<br>102 7075/2,054.15 | 11/05/10 | 2,053 07 | 2,466 26 | 413 19 | 3 50 | 85 00 |

PLEASE SEE REVERSE SIDE.

Merrill Lynch

EMA Fiscal Statement

MARIJO WEIK

## CURRENT PORTFOLIO SUMMARY

| Quantity | Security Description | Date Acquired | Total/Adj Cost Basis | Fiscal Year Value 11/30/11 | Unrealized Gain or (Loss) | Est Accrued Interest | Est Annual Income |
|---|---|---|---|---|---|---|---|
| | **Government Securities** | | | | | | |
| 30,000 | U.S. TREASURY NOTE<br>2.625% JUN 30 2014<br>02.625% JUN 30 2014 | 07/30/09 | 29,908.70 | 31,753.20 | 1,844 50 | 327.41 | 788 00 |
| 29,000 | U.S. TREASURY NOTE<br>3 500% MAY 15 2020<br>03.500% MAY 15 2020 | 06/24/10 | 29,874.32 | 32,887.74 | 3,013.42 | 41 83 | 1,015.00 |
| 2,000 | U S. TREASURY NOTE<br>ORIGINAL UNIT/TOTAL COST:<br>108.8675/2,177 35 | 11/05/10 | 2,159.60 | 2,268.12 | 108.52 | 2 88 | 70.00 |
| 32,000 | U.S. TREASURY NOTE<br>2 625% AUG 15 2020<br>02 625% AUG 15 2020 | 10/28/10 | 31.836 38 | 33,947 52 | 2,111.14 | 244 24 | 840.00 |
| 35,000 | U S TREASURY NOTE<br>1 250% SEP 30 2015<br>01 250% SEP 30 2015 | 10/28/10 | 35,020 49 | 35,836 85 | 816.36 | 72 92 | 438 00 |
| 24,000 | U.S. TREASURY NOTE<br>2.000% APR 30 2016<br>02.000% APR 30 2016 | 05/27/11 | 24,326.72 | 25 278 72 | 952.00 | 39 56 | 480 00 |
| 27,000 | U.S. TREASURY NOTE<br>1.500% AUG 31 2018<br>01.500% AUG 31 2018 | 09/15/11 | 26.999 05 | 27,059 13 | 60 08 | 101 25 | 405 00 |
| | **Total Government Securities** | | 622,784.00 | 686,733.49 | 63,949.49 | 3,668.08 | 20,541.00 |
| | | Accrued Interest | 3668.08 | 3668.08 | | | |
| | | Line 10a col. b. | 626,452.08 | 690,401.57 | Line 10a Col. C. | | |

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## CURRENT PORTFOLIO SUMMARY

| Quantity | Security Description | Date Acquired | Total/Adj Cost Basis | Fiscal Year Value 11/30/11 | Unrealized Gain or (Loss) | Est Accrued Interest | Est Annual Income |
|---|---|---|---|---|---|---|---|
| | **Corporate Bonds** | | | | | | |
| 37,000 | AT&T INC GLB 05 500% FEB 01 2018 | 02/20/08 | 36,420 95 | 42,229 21 | 5,808 26 | 672 68 | 2,035.00 |
| 4,000 | AT&T INC ORIGINAL UNIT/TOTAL COST: 117 3740/4,694 96 | 11/05/10 | 4,601 62 | 4,565.32 | (36 30) | 72 72 | 220 00 |
| 34,000 | BP CAPITAL MARKETS PLC COMPANY GUARNT GLB 03 875% MAR 10 2015 | 03/10/09 | 33,622.60 | 35,889 04 | 2,266.44 | 292 78 | 1,318 00 |
| 3,000 | GENERAL ELECTRIC COMPANY GLB 05 000% FEB 01 2013 | 03/07/05 | 3,011.16 | 3 132 03 | 120 87 | 49 58 | 150 00 |
| 19,000 | GENERAL ELECTRIC COMPANY ORIGINAL UNIT/TOTAL COST: 100 0360/19,006 84 | 12/07/06 | 19,001 47 | 19,836.19 | 834 72 | 314.03 | 950 00 |
| 6,000 | GENERAL ELECTRIC COMPANY ORIGINAL UNIT/TOTAL COST· 108 5130/6,510.78 | 11/05/10 | 6,269 30 | 6,264 06 | (5 24) | 99 17 | 300 00 |
| 23,000 | CITIGROUP INC GLB 04.500% JAN 14 2022 | 11/17/11 | 22,015 14 | 21.297 08 | (718 06) | 83 38 | 1,035.00 |
| 29,000 | CITIGROUP FUNDING INC FDIC TLGP GUARANTEED 02.250% DEC 10 2012 | 08/06/09 | 29,011.52 | 29,625.24 | 613 72 | 308 13 | 653 00 |

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## CURRENT PORTFOLIO SUMMARY

| Quantity | Security Description | Date Acquired | Total/Adj Cost Basis | Fiscal Year Value 11/30/11 | Unrealized Gain or (Loss) | Est Accrued Interest | Est Annual Income |
|---|---|---|---|---|---|---|---|
| | **Corporate Bonds** | | | | | | |
| 4,000 | CITIGROUP FUNDING INC<br>ORIGINAL UNIT/TOTAL COST.<br>103 6350/4,145.40 | 11/05/10 | 4,071 72 | 4,086 24 | 14 52 | 42 50 | 90 00 |
| 43,000 | CISCO SYSTEMS INC<br>04.450% JAN 15 2020<br>MOODY'S A1 S&P: A+ | 11/17/09 | 43,319 57 | 47,818.15 | 4,498 58 | 717.56 | 1,914 00 |
| 21,000 | GENERAL ELEC CAP CORP<br>02 950% MAY 09 2016<br>MOODY'S AA2 S&P AA+ | 09/15/11 | 21,213.44 | 21.030 87 | (182 57) | 36 14 | 620.00 |
| 46,000 | GOLDMAN SACHS GROUP INC<br>GLB<br>05 350% JAN 15 2016 | 01/20/06 | 46,043 49 | 46,667 46 | 623 97 | 922 88 | 2,461 00 |
| 2,000 | GOLDMAN SACHS GROUP INC<br>ORIGINAL UNIT/TOTAL COST.<br>111 5490/2,230 98 | 11/05/10 | 2,186 54 | 2,029.02 | (157 52) | 40.13 | 107 00 |
| 42,000 | JPMORGAN CHASE & CO<br>SUBORDINATED GLB<br>05 125% SEP 15 2014 | 06/26/07 | 40,156 63 | 43,916 46 | 3 759 83 | 448 44 | 2,153.00 |
| 6,000 | JPMORGAN CHASE & CO<br>ORIGINAL UNIT/TOTAL COST.<br>109.9540/6,597 24 | 11/05/10 | 6,438.20 | 6 273 78 | (164 42) | 64 06 | 308.00 |
| 18,000 | PEPSICO INC<br>SENIOR NOTES<br>05 000% JUN 01 2018 | 11/21/08 | 17,173 27 | 20,656.80 | 3.483 53 | 447 50 | 900 00 |

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## CURRENT PORTFOLIO SUMMARY

| Quantity | Security Description | Date Acquired | Total/Adj Cost Basis | Fiscal Year Value 11/30/11 | Unrealized Gain or (Loss) | Est Accrued Interest | Est Annual Income |
|---|---|---|---|---|---|---|---|
| | **Corporate Bonds** | | | | | | |
| 2,000 | PEPSICO INC ORIGINAL UNIT/TOTAL COST: 115 1090/2,302 18 | 11/08/10 | 2,263 73 | 2,295 20 | 31 47 | 49 72 | 100 00 |
| 35,000 | VERIZON COMMUNICATIONS 6 35% DUE 4/1/2019 MOODY'S· A3 S&P· A- | 07/30/09 | 38,168 34 | 41,765.85 | 3,597.51 | 364 24 | 2,223.00 |
| 42,000 | WAL-MART STORES INC 02.875% APR 01 2015 MOODY'S: AA2 S&P· AA | 03/31/10 | 42,010.63 | 44,441 88 | 2,431 25 | 197.90 | 1,208.00 |
| | **Total Corporate Bonds** | | **416,999.32** | **443,819.88** | **26,820.56** | **5,223.54** | **18,745.00** |
| | | Accrued Interest | 5,223.54 | 5,223.54 | | | |
| | | Line 10c Col. 'b' | 422,222.86 | 449,043.42 | Line 10c Col. 'C' | | |

## CURRENT PORTFOLIO SUMMARY

| Quantity | Security Description | Date Acquired | Total/Adj Cost Basis | Fiscal Year Value 11/30/11 | Unrealized Gain or (Loss) | Est Annual Income |
|---|---|---|---|---|---|---|
| | **Equities** | | | | | |
| 271 | ABBOTT LABS | 05/18/06 | 11,533.71 | 14,783 05 | 3,249 34 | 521 00 |
| 70 | ABBOTT LABS | 12/17/08 | 3,666 23 | 3,818.50 | 152 27 | 135 00 |
| 737 | AT& T INC | 07/29/08 | 22,589 43 | 21 358.26 | (1,231 17) | 1,268 00 |
| 200 | AT& T INC | 12/17/08 | 5,669.90 | 5,796.00 | 126.10 | 344 00 |

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## CURRENT PORTFOLIO SUMMARY

| Quantity | Security Description | Date Acquired | Total/Adj Cost Basis | Fiscal Year Value 11/30/11 | Unrealized Gain or (Loss) | Est Annual Income |
|---|---|---|---|---|---|---|
| | | | Equities | | | |
| 270 | AMER EXPRESS COMPANY | 12/15/10 | 12,554 97 | 12,970.80 | 415.83 | 195.00 |
| 59 | BANK OF NOVA SCOTIA | 07/29/08 | 2,804.87 | 2,971 83 | 166 96 | 124.00 |
| 261 | BANK OF NOVA SCOTIA | 07/30/08 | 12,665 63 | 13,146.57 | 480.94 | 548.00 |
| 70 | BANK OF NOVA SCOTIA | 12/17/08 | 1,741.60 | 3,525.90 | 1,784.30 | 147.00 |
| 511 | BHP BILLITON LTD ADR | 07/29/08 | 37,720.49 | 38,411.87 | 691 38 | 1,033.00 |
| 60 | BHP BILLITON LTD ADR | 12/17/08 | 2,701.20 | 4,510 20 | 1,809.00 | 122.00 |
| 570 | BRISTOL-MYERS SQUIBB CO | 03/07/07 | 15,636.81 | 18,650 40 | 3,013.59 | 753.00 |
| 130 | BRISTOL-MYERS SQUIBB CO | 12/17/08 | 2,940 60 | 4,253.60 | 1,313 00 | 172.00 |
| 307 | BRISTOL-MYERS SQUIBB CO | 04/07/09 | 6,265 29 | 10,045 04 | 3,779.75 | 406 00 |
| 545 | COMCAST CRP NEW CL A SPL | 02/16/11 | 13,025 06 | 12,186.20 | (838 86) | 246.00 |
| 410 | CENTURYLINK INC SHS | 01/05/10 | 11,025 66 | 15,383 20 | 4,357 54 | 1,189 00 |
| 237 | CAMECO CORP COM | 07/29/08 | 8,408 36 | 4,488.78 | (3 919 58) | 94.00 |
| 100 | CAMECO CORP COM | 12/17/08 | 1,781 00 | 1,894 00 | 113 00 | 40.00 |
| 279 | CANADIAN NATL RAILWAY CO | 08/27/09 | 13,739.61 | 21,639.24 | 7,899.63 | 357.00 |
| 240 | CONSOL ENERGY INC COM | 07/29/08 | 19,378 14 | 9,993.60 | (9,384 54) | 120.00 |
| 30 | CONSOL ENERGY INC COM | 12/17/08 | 979.20 | 1,249.20 | 270 00 | 15 00 |
| 347 | CHEVRON CORP | 12/29/92 | 6,146.12 | 35,678.54 | 29,532 42 | 1,125.00 |

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EMA Fiscal Statement

## CURRENT PORTFOLIO SUMMARY

| Quantity | Security Description | Date Acquired | Total/Adj Cost Basis | Fiscal Year Value 11/30/11 | Unrealized Gain or (Loss) | Est Annual Income |
|---|---|---|---|---|---|---|
| | **Equities** | | | | | |
| 90 | CHEVRON CORP | 12/17/08 | 6,989.40 | 9,253 80 | 2,264 40 | 292 00 |
| 47 | CONOCOPHILLIPS | 01/21/03 | 1,132 55 | 3.352 04 | 2,219.49 | 125 00 |
| 200 | CONOCOPHILLIPS | 01/29/03 | 4,832.53 | 14,264.00 | 9,431.47 | 528 00 |
| 50 | CONOCOPHILLIPS | 12/17/08 | 2,699 50 | 3,566 00 | 866.50 | 132 00 |
| 250 | CATERPILLAR INC DEL | 07/29/08 | 17,771.43 | 24,470 00 | 6,698 57 | 461 00 |
| 50 | CATERPILLAR INC DEL | 12/17/08 | 2,250.00 | 4,894 00 | 2,644.00 | 92 00 |
| 120 | CATERPILLAR INC DEL | 10/15/09 | 6,477.70 | 11,745 60 | 5,267.90 | 221 00 |
| 270 | CHUBB CORP | 07/29/08 | 12,670.73 | 18,208.80 | 5,538 07 | 422 00 |
| 80 | CHUBB CORP | 12/17/08 | 3,985.52 | 5,395.20 | 1,409 68 | 125.00 |
| 200 | COCA COLA COM | 02/24/09 | 8,616 91 | 13,446.00 | 4,829 09 | 376.00 |
| 128 | COCA COLA COM | 03/23/09 | 5,522.12 | 8,605.44 | 3,083 32 | 241 00 |
| 280 | DIAGEO PLC SPSD ADR NEW | 07/29/08 | 19,660 41 | 23,970 80 | 4,310.39 | 727 00 |
| 60 | DIAGEO PLC SPSD ADR NEW | 12/17/08 | 3,499 01 | 5,136 60 | 1,637.59 | 156 00 |
| 230 | DOMINION RES INC NEW VA | 07/29/08 | 9,988.93 | 11,872 60 | 1,883 67 | 454 00 |
| 60 | DOMINION RES INC NEW VA | 12/17/08 | 2,074 19 | 3,097.20 | 1,023 01 | 119 00 |
| 294 | DOMINION RES INC NEW VA | 07/09/09 | 9,655 84 | 15,176.28 | 5,520 44 | 580 00 |
| 340 | DEERE CO | 07/29/08 | 23,981 13 | 26,945 00 | 2,963 87 | 558.00 |

## CURRENT PORTFOLIO SUMMARY

| Quantity | Security Description | Date Acquired | Total/Adj Cost Basis | Fiscal Year Value 11/30/11 | Unrealized Gain or (Loss) | Est Annual Income |
|---|---|---|---|---|---|---|
| | **Equities** | | | | | |
| 40 | DEERE CO | 12/17/08 | 1,635.16 | 3,170 00 | 1,534.84 | 66 00 |
| 330 | DOW CHEMICAL CO | 06/06/11 | 11,573.10 | 9,144 30 | (2,428.80) | 330.00 |
| 209 | DU PONT E I DE NEMOURS | 03/10/06 | 8,652 90 | 9,973.48 | 1,320.58 | 343.00 |
| 360 | DU PONT E I DE NEMOURS | 01/23/08 | 15,857.24 | 17,179 20 | 1,321.96 | 591.00 |
| 160 | DU PONT E I DE NEMOURS | 12/17/08 | 4,345.60 | 7,635 20 | 3,289.60 | 263.00 |
| 176 | ENBRIDGE INC COM | 07/29/08 | 3,711.83 | 6,207 52 | 2.495.69 | 174.00 |
| 344 | ENBRIDGE INC COM | 07/30/08 | 7,324 72 | 12,132.88 | 4,808.16 | 340.00 |
| 100 | ENBRIDGE INC COM | 12/17/08 | 1,635 00 | 3,527.00 | 1,892.00 | 99.00 |
| 467 | EXXON MOBIL CORP COM | 03/24/92 | 5,379.74 | 37,565.48 | 32,185.74 | 878 00 |
| 120 | EXXON MOBIL CORP COM | 12/17/08 | 9,837 60 | 9,652.80 | (184 80) | 226.00 |
| 230 | EQT CORP | 07/29/08 | 11,987 69 | 14,262 30 | 2,274.61 | 203.00 |
| 40 | EQT CORP | 12/17/08 | 1,286 31 | 2,480 40 | 1,194 09 | 36.00 |
| 230 | FIRSTENERGY CORP | 07/29/08 | 16,770.97 | 10,228 10 | (6,542 87) | 506.00 |
| 70 | FIRSTENERGY CORP | 12/17/08 | 3,681 24 | 3,112 90 | (568.34) | 154 00 |
| 171 | GENL DYNAMICS CORP COM | 07/29/08 | 15 414 85 | 11,296.26 | (4,118.59) | 322 00 |
| 70 | GENL DYNAMICS CORP COM | 12/17/08 | 3,918.50 | 4,624.20 | 705 70 | 132.00 |
| 172 | GENERAL ELECTRIC | 09/13/05 | 5,973.16 | 2,736 52 | (3,236 64) | 104 00 |

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## CURRENT PORTFOLIO SUMMARY

| Quantity | Security Description | Date Acquired | Total/Adj Cost Basis | Fiscal Year Value 11/30/11 | Unrealized Gain or (Loss) | Est Annual Income |
|---|---|---|---|---|---|---|
| | **Equities** | | | | | |
| 290 | GENERAL ELECTRIC | 10/26/05 | 9,955 26 | 4,613.90 | (5,341.36) | 174.00 |
| 440 | GENERAL ELECTRIC | 10/23/06 | 15,866.36 | 7,000 40 | (8,865 96) | 264 00 |
| 300 | GENERAL ELECTRIC | 12/17/08 | 5,229.00 | 4,773.00 | (456 00) | 180 00 |
| 300 | GENERAL ELECTRIC | 12/14/10 | 5,322 24 | 4,773 00 | (549.24) | 180.00 |
| 332 | GENERAL MILLS | 03/31/09 | 8,345.47 | 13,263.40 | 4,917.93 | 406 00 |
| 215 | HONEYWELL INTL INC DEL | 04/06/11 | 12,665.95 | 11,642.25 | (1,023.70) | 321 00 |
| 455 | HOME DEPOT INC | 04/09/10 | 15,073.42 | 17,845 10 | 2,771 68 | 528 00 |
| 697 | INTEL CORP | 09/16/09 | 13,693 96 | 17,362 27 | 3,668.31 | 586.00 |
| 140 | INTL BUSINESS MACHINES CORP IBM | 07/29/08 | 17,853.52 | 26,320.00 | 8,466.48 | 420.00 |
| 40 | INTL BUSINESS MACHINES CORP IBM | 12/17/08 | 3,464 40 | 7,520.00 | 4,055 60 | 120 00 |
| 184 | JPMORGAN CHASE & CO | 10/30/07 | 8,568 25 | 5,698 48 | (2,869 77) | 184 00 |
| 310 | JPMORGAN CHASE & CO | 11/09/07 | 13,160.03 | 9,600 70 | (3,559 33) | 310 00 |
| 250 | JPMORGAN CHASE & CO | 12/06/07 | 11,447.80 | 7,742 50 | (3,705 30) | 250 00 |
| 350 | JPMORGAN CHASE & CO | 10/10/08 | 13 015 31 | 10,839.50 | (2,175 81) | 350 00 |
| 30 | JPMORGAN CHASE & CO | 12/17/08 | 966 30 | 929.10 | (37.20) | 30 00 |
| 136 | JPMORGAN CHASE & CO | 05/05/09 | 4,756.12 | 4,211.92 | (544 20) | 136 00 |

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## CURRENT PORTFOLIO SUMMARY

| Quantity | Security Description | Date Acquired | Total/Adj Cost Basis | Fiscal Year Value 11/30/11 | Unrealized Gain or (Loss) | Est Annual Income |
|---|---|---|---|---|---|---|
| | | | **Equities** | | | |
| 56 | JOHNSON AND JOHNSON COM | 10/29/08 | 3,468.37 | 3,624 32 | 155.95 | 128.00 |
| 140 | JOHNSON AND JOHNSON COM | 02/24/09 | 7,647 43 | 9,060.80 | 1,413.37 | 320.00 |
| 75 | JOHNSON AND JOHNSON COM | 05/17/11 | 4,973.81 | 4,854 00 | (119 81) | 171 00 |
| 242 | JOHNSON CONTROLS INC | 03/14/11 | 9,796 81 | 7,618.16 | (2,178 65) | 175.00 |
| 68 | JOHNSON CONTROLS INC | 03/15/11 | 2,651.42 | 2,140.64 | (510.78) | 49.00 |
| 220 | KIMBERLY CLARK | 07/29/08 | 12,609 97 | 15,723.40 | 3,113.43 | 616.00 |
| 60 | KIMBERLY CLARK | 12/17/08 | 3,065.37 | 4,288.20 | 1,222.83 | 168.00 |
| 190 | LORILLARD INC | 03/05/09 | 10,852 04 | 21,207.80 | 10,355.76 | 989.00 |
| 395 | LIMITED BRANDS INC | 02/17/11 | 13,095 44 | 16,720 35 | 3,624 91 | 316 00 |
| 120 | LIMITED BRANDS INC | 08/23/11 | 4 231 14 | 5,079.60 | 848 46 | 96.00 |
| 48 | MARATHON OIL CORP | 02/19/08 | 1,507 80 | 1,342 08 | (165 72) | 29.00 |
| 360 | MARATHON OIL CORP | 02/28/08 | 11,630 96 | 10,065 60 | (1,565.36) | 216 00 |
| 60 | MARATHON OIL CORP | 12/17/08 | 970 60 | 1,677 60 | 707.00 | 36.00 |
| 160 | MEADWESTVACO CORP | 07/29/08 | 4,244 78 | 4,776 00 | 531 22 | 160 00 |
| 300 | MEADWESTVACO CORP | 07/30/08 | 8,254 92 | 8,955.00 | 700.08 | 300.00 |
| 80 | MEADWESTVACO CORP | 12/17/08 | 1,001.56 | 2,388.00 | 1,386.44 | 80.00 |
| 300 | MERCK AND CO INC SHS | 07/29/08 | 9,721.09 | 10,725.00 | 1,003.91 | 504.00 |

## CURRENT PORTFOLIO SUMMARY

| Quantity | Security Description | Date Acquired | Total/Adj Cost Basis | Fiscal Year Value 11/30/11 | Unrealized Gain or (Loss) | Est Annual Income |
|---|---|---|---|---|---|---|
| | **Equities** | | | | | |
| 70 | MERCK AND CO INC SHS | 12/17/08 | 1,914.47 | 2,502 50 | 588.03 | 118.00 |
| 190 | MERCK AND CO INC SHS | 01/05/10 | 7,066 78 | 6,792.50 | (274.28) | 320.00 |
| 24 | MARATHON PETROLEUM CORP | 02/19/08 | 1,019 94 | 801 36 | (218.58) | 24.00 |
| 180 | MARATHON PETROLEUM CORP | 02/28/08 | 7,867.71 | 6,010 20 | (1,857.51) | 180.00 |
| 30 | MARATHON PETROLEUM CORP | 12/17/08 | 656.56 | 1,001 70 | 345 14 | 30 00 |
| 220 | MCDONALDS CORP COM | 07/29/08 | 13,160.39 | 21,014 40 | 7,854 01 | 616.00 |
| 162 | MCDONALDS CORP COM | 10/29/08 | 9,547.53 | 15,474 24 | 5,926 71 | 454 00 |
| 40 | MCDONALDS CORP COM | 12/17/08 | 2,525 97 | 3,820 80 | 1,294 83 | 112 00 |
| 415 | MICROSOFT CORP | 07/15/10 | 10,612.22 | 10,615 70 | 3 48 | 332 00 |
| 147 | NEXTERA ENERGY INC SHS | 09/25/01 | 3,973.03 | 8.149 68 | 4,176.65 | 324 00 |
| 175 | NEXTERA ENERGY INC SHS | 01/21/03 | 5,172 13 | 9 702 00 | 4,529 87 | 385.00 |
| 80 | NEXTERA ENERGY INC SHS | 12/17/08 | 3,809 58 | 4,435 20 | 625 62 | 176 00 |
| 153 | NORTHEAST UTILITIES COM | 07/29/08 | 3,823 20 | 5,295 33 | 1,472 13 | 169 00 |
| 157 | NORTHEAST UTILITIES COM | 07/30/08 | 3,982.29 | 5,433 77 | 1,451 48 | 173.00 |
| 80 | NORTHEAST UTILITIES COM | 12/17/08 | 1,802.40 | 2,768.80 | 966 40 | 88 00 |
| 187 | NORTHROP GRUMMAN CORP | 07/17/08 | 11,007 78 | 10,672 09 | (335 69) | 374 00 |
| 50 | NORTHROP GRUMMAN CORP | 12/17/08 | 1,895.00 | 2,853 50 | 958.50 | 100 00 |

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## CURRENT PORTFOLIO SUMMARY

| Quantity | Security Description | Date Acquired | Total/Adj Cost Basis | Fiscal Year Value 11/30/11 | Unrealized Gain or (Loss) | Est Annual Income |
|---|---|---|---|---|---|---|
| | **Equities** | | | | | |
| 270 | OCCIDENTAL PETE CORP CAL | 07/29/08 | 20,221 27 | 26,703 00 | 6,481 73 | 497.00 |
| 50 | OCCIDENTAL PETE CORP CAL | 12/17/08 | 2,902 50 | 4,945 00 | 2,042.50 | 92 00 |
| 198 | PRUDENTIAL FINANCIAL INC | 06/10/10 | 11.302.14 | 10,026.72 | (1,275 42) | 288.00 |
| 230 | PEABODY ENERGY CORP COM | 07/29/08 | 15,150.20 | 9,022.90 | (6,127 30) | 79 00 |
| 40 | PEABODY ENERGY CORP COM | 12/17/08 | 1,032.18 | 1 569.20 | 537.02 | 14.00 |
| 280 | PHILIP MORRIS INTL INC | 07/29/08 | 14,907.32 | 21,347 20 | 6,439.88 | 863 00 |
| 224 | PHILIP MORRIS INTL INC | 10/29/08 | 9,667.46 | 17,077 76 | 7 410.30 | 690.00 |
| 528 | PFIZER INC | 04/23/08 | 10,501 77 | 10,596.96 | 95.19 | 423.00 |
| 140 | PFIZER INC | 12/17/08 | 2,436 00 | 2,809.80 | 373.80 | 112 00 |
| 325 | PFIZER INC | 10/19/09 | 5.692 38 | 6,522.75 | 830 37 | 260 00 |
| 200 | PRAXAIR INC | 07/29/08 | 18 784 08 | 20,400 00 | 1,615.92 | 400 00 |
| 50 | PRAXAIR INC | 12/17/08 | 2 945 00 | 5 100 00 | 2,155.00 | 100 00 |
| 333 | PROCTER & GAMBLE CO | 07/29/08 | 21,646 64 | 21,501 81 | (144.83) | 700.00 |
| 110 | PROCTER & GAMBLE CO | 12/17/08 | 6 743.53 | 7,102 70 | 359 17 | 231 00 |
| 387 | PUB SVC ENTERPRISE GRP | 03/06/09 | 9,702 14 | 12,747.78 | 3,045 64 | 531 00 |
| 266 | RIO TINTO PLC SPNSRD ADR | 07/30/08 | 26,439.11 | 14,116.62 | (12,322 49) | 311 00 |
| 40 | RIO TINTO PLC SPNSRD ADR | 12/17/08 | 751.58 | 2,122.80 | 1.371 22 | 47.00 |

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## CURRENT PORTFOLIO SUMMARY

| Quantity | Security Description | Date Acquired | Total/Adj Cost Basis | Fiscal Year Value 11/30/11 | Unrealized Gain or (Loss) | Est Annual Income |
|---|---|---|---|---|---|---|
| | **Equities** | | | | | |
| 410 | RAYTHEON CO DELAWARE NEW | 07/29/08 | 23,734 75 | 18,683 70 | (5,051.05) | 706.00 |
| 100 | RAYTHEON CO DELAWARE NEW | 12/17/08 | 5,059.00 | 4,557 00 | (502.00) | 172.00 |
| 9 | SEMPRA ENERGY | 10/05/09 | 452.12 | 478.71 | 26 59 | 18.00 |
| 187 | SEMPRA ENERGY | 10/06/09 | 9,524 88 | 9,946.53 | 421 65 | 360 00 |
| 194 | SCHLUMBERGER LTD | 02/19/08 | 16,893 96 | 14,614.02 | (2,279 94) | 194 00 |
| 40 | SCHLUMBERGER LTD | 12/17/08 | 1,711.56 | 3,013 20 | 1,301.64 | 40 00 |
| 400 | SOUTHERN COMPANY | 07/29/08 | 14,268.14 | 17,564 00 | 3,295 86 | 757.00 |
| 110 | SOUTHERN COMPANY | 12/17/08 | 4,014 95 | 4,830.10 | 815.15 | 208.00 |
| 49 | TOTAL S A. SP ADR | 07/29/08 | 3,713 89 | 2,535.26 | (1,178 63) | 133.00 |
| 361 | TOTAL S.A. SP ADR | 07/30/08 | 27,290 59 | 18,678.14 | (8,612 45) | 973 00 |
| 60 | TOTAL S.A SP ADR | 12/17/08 | 3,595 80 | 3,104 40 | (491 40) | 162 00 |
| 203 | 3M COMPANY | 06/30/09 | 12,164 60 | 16,451.12 | 4,286 52 | 447 00 |
| 139 | TRAVELERS COS INC | 03/05/08 | 6,586 82 | 7,818.75 | 1,231 93 | 228 00 |
| 400 | TRAVELERS COS INC | 03/11/08 | 19,088.96 | 22,500 00 | 3,411.04 | 657 00 |
| 46 | TRAVELERS COS INC | 08/12/09 | 2,146 67 | 2,587.50 | 440 83 | 76 00 |
| 150 | TORONTO DOMINION BANK | 07/27/11 | 12,226 13 | 10,636.50 | (1,589 63) | 410.00 |
| 760 | UNILEVER NV NY REG SHS | 07/30/08 | 22,876.38 | 25 923.60 | 3,047.22 | 802 00 |

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## CURRENT PORTFOLIO SUMMARY

| Quantity | Security Description | Date Acquired | Total/Adj Cost Basis | Fiscal Year Value 11/30/11 | Unrealized Gain or (Loss) | Est Annual Income |
|---|---|---|---|---|---|---|
| | **Equities** | | | | | |
| 140 | UNILEVER NV NY REG SHS | 12/17/08 | 3,537 80 | 4,775 40 | 1,237.60 | 148 00 |
| 370 | US BANCORP (NEW) | 11/17/04 | 11,356 45 | 9,590 40 | (1,766.05) | 185.00 |
| 353 | US BANCORP (NEW) | 10/29/08 | 10,654.70 | 9,149.76 | (1,504.94) | 177.00 |
| 50 | US BANCORP (NEW) | 12/17/08 | 1,279.48 | 1,296 00 | 16 52 | 25.00 |
| 280 | UNITED TECHS CORP COM | 07/29/08 | 18,167.41 | 21,448.00 | 3,280 59 | 538.00 |
| 60 | UNITED TECHS CORP COM | 12/17/08 | 3,060.60 | 4,596 00 | 1,535 40 | 116.00 |
| 111 | UNITED TECHS CORP COM | 05/05/09 | 5,720 97 | 8,502 60 | 2,781 63 | 214.00 |
| 210 | V F CORPORATION | 03/05/09 | 10,103 31 | 29,124.90 | 19,021 59 | 605 00 |
| 522 | VERIZON COMMUNICATNS COM | 01/04/01 | 26,304 20 | 19,695 06 | (6,609 14) | 1,044.00 |
| 480 | VERIZON COMMUNICATNS COM | 04/20/06 | 14,323 65 | 18,110.40 | 3,786 75 | 960.00 |
| 200 | VERIZON COMMUNICATNS COM | 12/17/08 | 6,438 86 | 7,546 00 | 1,107.14 | 400 00 |
| 420 | VODAFONE GROP PLC SP ADR | 07/29/08 | 11,186 87 | 11,403 00 | 216 13 | 606.00 |
| 120 | VODAFONE GROP PLC SP ADR | 12/17/08 | 2,419.20 | 3,258 00 | 838 80 | 174.00 |
| 40 | ACE LIMITED | 10/05/11 | 2,439.59 | 2,781 20 | 341.61 | 56.00 |
| 145 | ACE LIMITED | 10/06/11 | 8,801.60 | 10,081.85 | 1,280 25 | 203 00 |
| 247 | WAL-MART STORES INC | 12/04/06 | 11,616.67 | 14,548.30 | 2,931 63 | 361.00 |
| 60 | WAL-MART STORES INC | 12/17/08 | 3,355 17 | 3,534 00 | 178 83 | 88 00 |

## CURRENT PORTFOLIO SUMMARY

| Quantity | Security Description | Date Acquired | Total/Adj Cost Basis | Fiscal Year Value 11/30/11 | Unrealized Gain or (Loss) | Est Annual Income |
|---|---|---|---|---|---|---|
| | **Equities** | | | | | |
| 240 | WEYERHAEUSER CO | 07/29/08 | 12,098 43 | 4,029.60 | (8,068 83) | 144 00 |
| 70 | WEYERHAEUSER CO | 12/17/08 | 2,801 71 | 1,175 30 | (1,626 41) | 42 00 |
| 548 | WEYERHAEUSER CO | 09/02/10 | 8,515 92 | 9,200.92 | 685 00 | 329.00 |
| 1,050 | WELLS FARGO & CO NEW DEL | 07/29/08 | 31,148 15 | 27,153 00 | (3,995.15) | 504.00 |
| 240 | WELLS FARGO & CO NEW DEL | 12/17/08 | 7,296 00 | 6,206.40 | (1,089 60) | 116 00 |
| | **Total Equities** | Line 10b. col 'b' | **1,421,512.51** | **1,631,650.14** Line 10b Col 'C' | **210,137.63** | **51,113.00** |

2010 Form 990 PF.
Part XV
3a Detailed Supporting Schedule

CROSSWICKS FOUNDATION, LTD GRANT AWARDS SCHEDULE
13-2732197
11/30/11

| ALL GRANTS PAID DURING THE 2010-2011 FISCAL YEAR | | | | |
|---|---|---|---|---|
| NAME | ADDRESS | ATTENTION | PURPOSE | AMOUNT |
| FRIENDS OF THE MANHATTAN SCHOOL FOR | C/O Dan N Arshask | | General Operations | $ 9,000 00 |
| CHILDREN, INC | 924 East End Avenue-Apt 124 | | | |
| | NY, NY 10025-3543 | | | |
| ABC Association to Benefit Children | 419 East 86 Street | Phone# (212) 831-1322 | General Operations | 9,000 00 |
| | NY, NY 10028 | | | |
| CT FOREST & PARK ASSOC, INC | 16 MERIDEN ROAD | 860-346-2372 | CONSERVATION | 4,000 00 |
| | ROCK FALL, CT 06481-2961 | John E Hibbard, Ex Director | | |
| NY PUBLIC LIBRARY-COLUMBIA BRANCH | 5th Ave and 42nd Street Room 73 | 212-864-2530 | GEN OPERATIONS | 5,000 00 |
| (Morningside Heights) | NY, NY 10018 | email morningside@nypl org | | |
| The Children Center at 42nd Street | 5th Ave and 42nd Street Room 73 | | GEN OPERATIONS | 5,000 00 |
| | NY, NY 10018 | | | |
| Warner Theatre | 68 Main Street | Phone (860)423-7180 | Gen Operations | 5,000 00 |
| | Torrington, CT 06790 | | | |
| Goshen Hospice | Board of Directors | | Gen Operations | 5,000 00 |
| | c/o Barbara O'Leary | | | |
| | PO Box 202 Goshen, CT 06756 | | | |
| SUSAN B ANTHONY PROJECT for WOMEN, INC | PO BOX 846 434 Prospect Street | Barbara Spiegel Ex Dir | | 10,000 00 |
| | Torrington, CT 06790 | Phone (860)489-3798 | General Operations | |
| LOYOLA UNIVERSITY | Office of the Annual Fund | Attn Martha Bodker | General Operations | 6,000 00 |
| ANNUAL FUND OF LOYOLA U-NEW ORLEANS | 7214 St Charles Avenue | | | |
| | Campus Box 909 | | | |
| | New Orleans, LA 70118 | | | |
| WNYC | WNYC Membership | Phone (646) 829-4000 | General Operations | 9,000 00 |
| | PO Box 1550 | listenerservices@nyc org | | |
| | New York, NY 10016-1550 | | | |
| FRIENDS OF THE GOSHEN PUBLIC LIBRARY | Attn Librarian | Goshen Public Library | General Operations | 1,000 00 |
| | 42 North Street Box 180 | Phone#(860)491-3234 | | |
| | Goshen, CT 06756 | | | |
| FOCUS ALTERNATIVE LEARNING CENTER, INC | Attn Donna Swanson | | General Operations | 5,000 00 |
| | 126 Dowd Avenue PO Box 452 | Phone #(860)-693-8809 | | |
| | Canton, CT 06019 | Fax#(860) 693-0141 | | |
| CENTRAL PARK EAST SCHOOL | CPE 1 Parents Group | | General Operations | 5,000 00 |
| | 1573 Madison Ave, 2nd Floor | | | |
| | New York, NY 10029 | | | |
| Bedford Hills Elementary School Association | C/O Becky Sussman, Treasure | | General Operations | 6,000 00 |
| payable BHESA | 7 Locksley Lane | 13-3017713 fed ID # | | |
| | Bedford Hills, NY 10507 | | | |
| ST ANDREW SCHOOL | Gordon Brownlee | Phone#(302)285-4231 | General Operations | 2,500 00 |
| | Director of Annual Giving | Tax Exempt #51-0079506 | | |
| | 350 Noxontown Street | | | |
| | Middletown, DE 19709-1605 | | | |
| METROPOLIS ENSEMBLE | 421 West 24th St Suite 4C | Phone# 917-930-6106 | General Operations | 10,000 00 |
| | NY, NY 10011 | | | |
| | ATTN Metropolis Ensemble | | | |
| GREENWOODS COUNSELING CENTER | PO Box 1549 25 South Street | Phone (860) 567-4427 | General Operations | 7,000 00 |
| | Litchfield, Ct 06759 | greenwoodsreferral org | | |

2010 Form 990 PF
Part XV
3a Detailed Supporting Schedule

CROSSWICKS FOUNDATION, LTD GRANT AWARDS SCHEDULE
13-2732197
11/30/11

| | | | | |
|---|---|---|---|---|
| NEW VICTORY THEATRE | c/o New Victory 42nd Street | Phone (646) 223-3082 | General Operations | 5,000 00 |
| | 229 West 42nd Street | friends@newvictory org | | |
| | 10th Floor | | | |
| | NY, NY 10036 | | | |
| | | | | |
| LARC | 314 Main Street | Phone#(860)482-9364 | General Operations | 5,000 00 |
| | Torrington, CT 06790 | | | |
| | Attn Larry Casella | | | |
| | | | | |
| | | of Special Projects | | |
| Mystic and Noank Public Library | Attn Cindy Palmer | Phone (860) 536-7721 | General Operations | 1,000 00 |
| | 40 Library Street | | | |
| | Mystic, CT 06355 | | | |
| | | | | |
| Terri Brodeur | PO Box 785 | | General Operations | 3,500 00 |
| Breast Cancer Foundation | New London, CT 06320 | Phone (860) 245-0402 | | |
| | | | | |
| | | | | |
| Doctors Without Borders USA | PO Box 5030 | Attn Arun Shrestha | General Operations | 4,000 00 |
| | Hagerstown, Maryland 21741-5030 | (212) 847-3140 | | |
| | | (888) 392-0392 | | |
| Covenant House NY | Time Square Station | | | 4,000 00 |
| 460 West 41ST | PO Box 731 | Attn Dorothy | | |
| New York, NY 10036 | New York, NY 10108-0900 | Funds and Development Dpt | General Operations | |
| | | | | |
| United Way of Southeastern CT | PO Box 375 | (860) 464-7281 ext 109 | | 1,500 00 |
| | Gales Ferry, CT 06335 | | General Operations | |
| | | | | |
| Mystic Area Shelter and Hospitality, Inc. | 119 High Street | (860) 245-0035 | | 1,500 00 |
| | Mystic, CT 05355 | | General Operations | |
| | | | | |
| Friendly Hands Food Bank, Inc | 50 King Street | (860) 482-3338 | | 5,000 00 |
| | Torrington, CT 06790 | | General Operations | |
| | | | | |
| Food Bank for NYC | 39 Broadway FL 10 | (212) 566-7855 | General Operations | 5,000 00 |
| | NY, NY 10006 | ext 2244 | | |
| | | | | |
| The Douglas Library | 108 Main Street | (860) 824-7863 | General Operations | 1,000 00 |
| | North Canaan, CT 06018 | Norma DeMay | | |
| | | | | |
| InterDependence Project NYC | 302 Bowery | Attn Ethan Nichtern | General Operations | 3,500 00 |
| | 3rd Floor | (212) 202-3608 | | |
| | New York, NY 10012 | | | |
| | | | | |
| Susan G Komen for the Cure | PO Box 650309 | Phone # | General Operations | 5,000 00 |
| | Dallas, TX 75265-3039 | 1-877-465-6636 | | |
| | | | | |
| Girls Write Now | 520 Eighth Avenue Suite 2020 | Phone# 212-336-9330 | General Operations | 2,500 00 |
| | New York, NY 10018 | Fax# 212-675-0171 | Tax ID#54-2115054 | |
| | Sarah Robbins--Manager Director | | | |
| | | | | |
| Bedford Hills Free Library | 26 Main Street | | General Operations | 1,000 00 |
| | Bedford Hills, NY 10507 | | Tax ID# 13-1958084 | |
| | | | | |
| Writopia | 155 West 81st Street #A | | General Operations | 5,000 00 |
| | NY, NY 10024 | | | |
| | | | | |
| MS54 PTA | 103 West 107th Street | | General Operations | 5,000.00 |
| Booker T Washington Middle School | NY, NY 10025 | | | |
| Liz Bruder-Frydman PTA Executive Board Mem | Attn Liz Bruder-Frydman | | | |
| | | | | |
| | | | | |
| | | | | 162,000 00 |

| 2010 Form 990 PF | CROSSWICKS FOUNDATION, LTD GRANT AWARDS SCHEDULE | | | | | |
|---|---|---|---|---|---|---|
| Part XV | 13-2732197 | | | | | |
| 3b Detailed Supporting Schedule | 11/30/2011 | | | | | |
| | | | | | | |
| | | | | | | |
| ALL GRANTS TO BE PAID BY 2/28/2012 | | | | | | |
| | | | | | | |
| NAME | ADDRESS | ATTENTION | PURPOSE | AMOUNT | CHECK # | DATE |
| | | | | | | |
| CT FOREST & PARK ASSOC, INC | 16 MERIDEN ROAD | 860-346-2372 | CONSERVATION | 4,000 00 | 1206 | 2/22/2012 |
| | ROCK FALL, CT 06481-2961 | John E Hibbard, Ex. Director | | | | |
| | | | | | | |
| Warner Theatre | 68 Main Street | Phone (860)423-7180 | Gen. Operations | 5,000 00 | 1207 | 2/22/2012 |
| | Torrington, CT 06790 | | | | | |
| | | | | | | |
| Goshen Hospice | Board of Directors | | Gen. Operations | 5,000 00 | 1209 | 2/22/2012 |
| | c/o Barbara O'Leary | | | | | |
| | PO Box 202 Goshen, CT 06756 | | | | | |
| | | | | | | |
| SUSAN B ANTHONY PROJECT for WOMEN, INC | PO BOX 846 434 Prospect Street | Barbara Spiegel Ex Dir | | 10,000 00 | 1210 | 2/22/2012 |
| | Torrington, CT 06790 | Phone (860)489-3798 | General Operations | | | |
| | | | | | | |
| WNYC | WNYC Membership | Phone (646) 829-4000 | General Operations | 7,500 00 | 1211 | 2/22/2012 |
| | PO Box 1550 | listenerservices@nyc org | | | | |
| | New York, NY 10016-1550 | | | | | |
| | | | | | | |
| FRIENDS OF THE GOSHEN PUBLIC LIBRARY | Attn: Librarian | Goshen Public Library | General Operations | 1,000 00 | 1212 | 2/22/2012 |
| | 42 North Street Box 180 | Phone# (860)491-3234 | | | | |
| | Goshen, CT 06756 | | | | | |
| | | | | | | |
| FOCUS ALTERNATIVE LEARNING CENTER, INC | Attn. Donna Swanson | | General Operations | 5,000 00 | 1213 | 2/22/2012 |
| | 126 Dowd Avenue PO Box 452 | Phone # (860)-693-8809 | | | | |
| | Canton, CT 06019 | Fax# (860) 693-0141 | | | | |
| | | | | | | |
| CENTRAL PARK EAST SCHOOL | CPE 1 Parents Group | | General Operations | 5,000 00 | 1214 | 2/22/2012 |
| | 1573 Madison Ave, 2nd Floor | | | | | |
| | New York, NY 10029 | | | | | |
| | | | | | | |
| Bedford Hills Elementary School Association | C/O Becky Sussman, Treasure | | General Operations | 4,000 00 | 1215 | 2/22/2012 |
| payable BHESA | 7 Locksley Lane | 13-3017713 fed ID # | | | | |
| | Bedford Hills, NY 10507 | | | | | |
| | | | | | | |
| ST ANDREW SCHOOL | Gordon Brownlee | Phone# (302)285-4231 | General Operations | 4,000.00 | 1216 | 2/22/2012 |
| | Director of Annual Giving | Tax Exempt # 51-0079506 | | | | |
| | 350 Noxontown Street | | | | | |
| | Middletown, DE 19709-1605 | | | | | |

| 2010 Form 990 PF | CROSSWICKS FOUNDATION, LTD GRANT AWARDS SCHEDULE | | | | | |
|---|---|---|---|---|---|---|
| Part XV | 13-2732197 | | | | | |
| 3b Detailed Supporting Schedule | 11/30/2011 | | | | | |
| ALL GRANTS TO BE PAID BY 2/28/2012 | | | | | | |
| NAME | ADDRESS | ATTENTION | PURPOSE | AMOUNT | CHECK # | DATE |
| METROPOLIS ENSEMBLE | 421 West 24th St Suite 4C | Phone# 917-930-6106 | General Operations | 13,000.00 | 1217 | 2/22/2012 |
| | NY, NY 10011 | | | | | |
| | ATTN :Metropolis Ensemble | | | | | |
| GREENWOODS COUNSELING CENTER | PO Box 1549 25 South Street | Phone (860) 567-4437 | General Operations | 7,000.00 | 1218 | 2/22/2012 |
| | Litchfield, Ct 06759 | greenwoodsreferral org | | | | |
| NEW VICTORY THEATRE | c/o New Victory 42nd Street | Phone (646) 223-3082 | General Operations | 5,000 00 | 1219 | 2/22/2012 |
| | 229 West 42nd Street | friends@newvictory org | | | | |
| | 10th Floor | | | | | |
| | NY, NY 10036 | | | | | |
| LARC | 314 Main Street | Phone# (860)482-9364 | General Operations | 5,000 00 | 1220 | 2/22/2012 |
| | Torrington, CT 06790 | | | | | |
| | Attn: Larry Casella | | | | | |
| | | of Special Projects | | | | |
| Mystic and Noank Public Library | Attn: Cindy Palmer | Phone (860) 536-7721 | General Operations | 1,000 00 | 1221 | 2/22/2012 |
| | 40 Library Street | | | | | |
| | Mystic, CT 06355 | | | | | |
| Terri Brodeur | PO Box 785 | | General Operations | 6,000 00 | 1222 | 2/22/2012 |
| Breast Cancer Foundation | New London, CT 06320 | Phone (860) 245-0402 | | | | |
| Doctors Without Borders USA | PO Box 5030 | Attn: Arun Shrestha | General Operations | 6,000.00 | 1223 | 2/22/2012 |
| | Hagerstown, Maryland 21741-5030 | (212) 847-3140 | | | | |
| | | (888) 392-0392 | | | | |
| Covenant House NY | Time Square Station | | | 2,000 00 | 1224 | 2/22/2012 |
| 460 West 41ST | PO Box 731 | Attn. Dorothy | | | | |
| New York, NY 10036 | New York, NY 10108-0900 | Funds and Development Dpt | General Operations | | | |
| United Way of Southeastern CT | PO Box 375 | (860) 464-7281 ext 109 | | 2,000 00 | 1225 | 2/22/2012 |
| | Gales Ferry, CT 06335 | | General Operations | | | |

| | | | | | | |
|---|---|---|---|---|---|---|
| 2010 Form 990 PF | CROSSWICKS FOUNDATION, LTD GRANT AWARDS SCHEDULE | | | | | |
| Part XV | 13-2732197 | | | | | |
| 3b Detailed Supporting Schedule | 11/30/2011 | | | | | |
| ALL GRANTS TO BE PAID BY 2/28/2012 | | | | | | |
| NAME | ADDRESS | ATTENTION | PURPOSE | AMOUNT | CHECK # | DATE |
| Mystic Area Shelter and Hospitality, Inc. | 119 High Street | (860) 245-0035 | | 3,000 00 | 1226 | 2/22/2012 |
| | Mystic, CT 05355 | | General Operations | | | |
| Friendly Hands Food Bank, Inc | 50 King Street | (860) 482-3338 | | 5,000 00 | 1227 | 2/22/2012 |
| | Torrington, CT 06790 | | General Operations | | | |
| The Douglas Library | 108 Main Street | (860) 824-7863 | General Operations | 1,000 00 | 1228 | 2/22/2012 |
| | North Canaan, CT 06018 | Norma DeMay | | | | |
| InterDependence Project NYC | 302 Bowery | Attn: Ethan Nichtern | General Operations | 3,000.00 | 1229 | 2/22/2012 |
| | 3rd Floor | (212) 202-3608 | | | | |
| | New York, NY 10012 | | | | | |
| Girls Write Now | 520 Eighth Avenue Suite 2020 | Phone# 212-336-9330 | General Operations | 2,500 00 | 1230 | 2/22/2012 |
| | New York, NY 10018 | Fax# 212-675-0171 | Tax ID# 54-2115054 | | | |
| | Sarah Robbins--Manager Director | | | | | |
| Bedford Hills Free Library | 26 Main Street | | General Operations | 1,000.00 | 1231 | 2/22/2012 |
| | Bedford Hills, NY 10507 | | Tax ID# 13-1958084 | | | |
| Writopia | 155 West 81st Street # A | | General Operations | 9,000 00 | 1232 | 2/22/2012 |
| | NY, NY 10024 | | | | | |
| MS54 PTA | 103 West 107th Street | | General Operations | 5,000 00 | 1233 | 2/22/2012 |
| Booker T Washington Middle School | NY, NY 10025 | | | | | |
| Liz Bruder-Frydman PTA Executive Board Mem | Attn: Liz Bruder-Frydman | | | | | |
| The Foundation for Living Beauty | 1875 Century Park E, 6th floor | Tari Prinster | For Women Cancer Su | 10,000 00 | 1234 | 2/22/2012 |
| | Century City, CA 90067 | | | | | |
| KidLit Foundation | 519 E Lincoln Street | James Kennedy | General Operations | 5,000 00 | 1235 | 2/22/2012 |
| | Princeton, IL 61356 | | | | | |
| Mott Hall II | 234 West 109th Street-4th floor | Jory Plevel | General Operations | 5,000 00 | 1236 | 2/22/2012 |
| | New York, NY 10025 | (check should be made out to friends of Mott Hall II and sent to Charlotte) | | | | |

2010 Form 990 PF | CROSSWICKS FOUNDATION, LTD GRANT AWARDS SCHEDULE
Part XV | 13-2732197
3b Detailed Supporting Schedule | 11/30/2011

ALL GRANTS TO BE PAID BY 2/28/2012

| NAME | ADDRESS | ATTENTION | PURPOSE | AMOUNT | CHECK # | DATE |
|---|---|---|---|---|---|---|
| FLMSA | C/O Becky Sussman, Treasure | | General Operations | 6,000 00 | 1237 | 2/22/2012 |
| | 7 Locksley Lane | 13-3017713 fed ID # | | | | |
| | Bedford Hills, NY 10507 | | | | | |
| NADT | 44365 Premier Plaza, Suite 220 | Diane Brown | General Operations | 2,500 00 | 1238 | 2/22/2012 |
| | Ashburn, VA 20147 | | | | | |
| 826NYC | 372 Fifth Avenue | Sarah de Tournemire, director of | General Operations | 2,500.00 | 1239 | 2/22/2012 |
| | Brooklyn, NY 11215 | | | | | |
| | | | | 158,000 00 | | |
| | | | | 4200 00 | | |