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Form **990-PF**

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No. 1545-0052

2010Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning 12/01/10, and ending 11/30/11

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation

ALLEN I GROSS CHARITABLE FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

50 BROADWAY 3RD FL

Room/suite

City or town, state, and ZIP code

NEW YORK**NY 10004**

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) **\$ 13,291,064**
 J Accounting method ☐ Cash ☒ Accrual
☐ Other (specify) (Part I, column (d) must be on cash basis)

A Employer identification number
11-2906887

B Telephone number (see page 10 of the instructions)
212-837-4602

C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

Revenue

- 1 Contributions, gifts, grants, etc., received (attach schedule)
 2 Check ☒ if the foundation is not required to attach Sch B
 3 Interest on savings and temporary cash investments
 4 Dividends and interest from securities
 5a Gross rents
 b Net rental income or (loss)
 6a Net gain or (loss) from sale of assets not on line 10
 b Gross sales price for all assets on line 6a **8,472,768**
 7 Capital gain net income (from Part IV, line 2)
 8 Net short-term capital gain
 9 Income modifications
 10a Gross sales less returns & allowances
 b Less: Cost of goods sold
 11 Gross profit or (loss) (attach schedule)
 12 Other income (attach schedule) **STMT 1**
 12 Total. Add lines 1 through 11

290,071**290,071****296,469****296,469****328,444****328,444****0****-61,718****-61,718****853,266****914,984****-61,718**

Operating and Administrative Expenses

- 13 Compensation of officers, directors, trustees, etc.
 14 Other employee salaries and wages
 15 Pension plans, employee benefits
 16a Legal fees (attach schedule)
 b Accounting fees (attach schedule) **STMT 2**
 c Other professional fees (attach schedule) **STMT 3**
 17 Interest
 18 Taxes (attach schedule) (see page 14 of the instructions) **STMT 4**
 19 Depreciation (attach schedule) and depletion
 20 Occupancy
 21 Travel, conferences, and meetings
 22 Printing and publications
 23 Other expenses (attach schedule)
 24 Total operating and administrative expenses.
 Add lines 13 through 23
 25 Contributions, gifts, grants paid
 26 Total expenses and disbursements. Add lines 24 and 25
 27 Subtract line 26 from line 12
 a Excess of revenue over expenses and disbursements
 b Net investment income (if negative, enter -0-)
 c Adjusted net income (if negative, enter -0-)

0**17,365****13,892****3,473****58,016****58,016****1,597****1,597****76,978****73,505****0****3,473****338,365****338,365****415,343****73,505****0****341,838****437,923****841,479****0**

For Paperwork Reduction Act Notice, see page 30 of the instructions.

Form **990-PF** (2010)

DAA

SCANNED OCT 29 2012
Operating and Administrative Expenses

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	38,758	-12,495	
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶	0		
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule) SEE STMT 5	10,763,015	7,379,909	7,379,909
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶			
	15 Other assets (describe ▶ SEE STATEMENT 6)	2,322,130	5,911,155	5,911,155
	16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	13,123,903	13,278,569	13,291,064
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable		120,000	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0	120,000	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	13,123,903	13,278,569	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see page 17 of the instructions)	13,123,903	13,278,569	
	31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	13,123,903	13,398,569	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	13,123,903
2 Enter amount from Part I, line 27a	2	437,923
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 7	3	17,238
4 Add lines 1, 2, and 3	4	13,579,064
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 8	5	300,495
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	13,278,569

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE ATTACHED STATEMENTS	P	VARIOUS	VARIOUS
b	SEE ATTACHED STATEMENTS	P	VARIOUS	VARIOUS
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 5,188,465		5,133,301	55,164
b 3,284,303		3,011,023	273,280
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			55,164
b			273,280
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	328,444
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8	If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8	3	55,164

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	522,827	12,232,007	0.042743
2008	708,363	9,428,294	0.075132
2007	408,413	12,732,182	0.032077
2006	579,430	10,429,059	0.055559
2005	332,491	9,491,179	0.035032

2 Total of line 1, column (d)	2	0.240543
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.048109
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	10,622,635
5 Multiply line 4 by line 3	5	511,044
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	8,415
7 Add lines 5 and 6	7	519,459
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18	8	341,838

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	16,830
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	16,830
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	16,830
6	Credits/Payments		
a	2010 estimated tax payments and 2009 overpayment credited to 2010	6a	
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	66
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	16,896
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2011 estimated tax Refunded	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► THE ORGANIZATION C/O GFI 50 BROADWAY 3RD FLR Located at ► NEW YORK CITY NY ZIP+4 ► 10004 Telephone no ► 212-837-4602			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year	15	► ☐	
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A ► ☒	1b
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	N/A	1c
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? If "Yes," list the years ► 20 , 20 , 20 , 20	☐ Yes ☒ No	
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 22 of the instructions.)	N/A	2b
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)		3b X
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?		4b X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No	
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☒ No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)	☐ Yes	☒ No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A		5b
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d)	N/A ☐ Yes ☐ No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes	☒ No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870			6b
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes	☒ No	
b	If Yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A		7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 9				
2 Compensation of five highest-paid employees (other than those included on line 1 — see page 23 of the instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments. See page 24 of the instructions. 3	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	9,910,648
b	Average of monthly cash balances	1b	265,732
c	Fair market value of all other assets (see page 25 of the instructions)	1c	608,021
d	Total (add lines 1a, b, and c)	1d	10,784,401
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	10,784,401
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	4	161,766
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	10,622,635
6	Minimum investment return. Enter 5% of line 5	6	531,132

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	531,132
2a	Tax on investment income for 2010 from Part VI, line 5	2a	16,830
b	Income tax for 2010 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	16,830
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	514,302
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	514,302
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	514,302

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	341,838
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	341,838
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	341,838

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				514,302
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			454,664	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2010				
a From 2005				
b From 2006				
c From 2007				
d From 2008				
e From 2009				
f Total of lines 3a through e				
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ 341,838				
a Applied to 2009, but not more than line 2a			341,838	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required—see page 26 of the instructions)				
d Applied to 2010 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see page 27 of the instructions				
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount—see page 27 of the instructions			112,826	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				514,302
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions)				
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008				
d Excess from 2009				
e Excess from 2010				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

[illegible]

Part XV	Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines?

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE STATEMENT 10				338,365
Total				338,365
b Approved for future payment SEE STATEMENT 11				120,000
Total				120,000

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	290,071	
4 Dividends and interest from securities			14	296,469	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	328,444	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a _____					
b FLOWTHRU INC. - PARTNERSHIP	531190	-86,747			
c FLOWTHRU INC. - PARTNERSHIP			14	25,029	
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)		-86,747		940,013	0
13 Total. Add line 12, columns (b), (d), and (e)				13	853,266

(See worksheet in line 13 instructions on page 29 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Portfolio Holdings (continued)

conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Schedule of Realized Gains and Losses Year-to-Date

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term								
06/03/11	Multiple*	SELL AV	MFS EMERGING MARKETS DEBT FUND	MEDAX	19,960.080	300,002.00	291,662.67	-8,339.33
06/03/11	Multiple*	SELL AV	MFS DIVERSIFIED TARGET RETURN FUND	DVRAX	33,296.337	300,334.16	296,998.00	-3,336.16
06/03/11	Multiple*	SELL AV	MFS DIVERSIFIED INCOME FUND CLASS A	DIFAX	19,841.270	200,002.00	213,871.02	13,869.02
08/10/11	Multiple*	SELL AV	EATON VANCE GLOBAL MACRO ABSOLUTE	EAGMX	24,131.274	250,196.41	243,001.93	-7,194.48
08/10/11	Multiple*	SELL AV	MFS DIVERSIFIED TARGET RETURN FUND	DVRAX	22,136.036	199,667.84	198,552.49	-1,115.35
Total Short Term						\$1,250,202.41	\$1,244,086.11	-\$6,116.30
Long Term								
06/03/11	Multiple*	SELL AV	EATON VANCE GLOBAL MACRO ABSOLUTE	EAGMX	39,215.686	406,593.69	396,076.94	-10,516.75
08/10/11	Multiple*	MULT AV	EATON VANCE GLOBAL MACRO ABSOLUTE	EAGMX	57,990.289	601,251.40	583,962.21	-17,289.19
Total Long Term						\$1,007,845.09	\$980,039.15	-\$27,805.94
Total Short Term and Long Term						\$2,258,047.50	\$2,224,125.26	-\$33,922.24

* Uncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L. 110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "uncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Brokerage Account Statement

Statement Period: 10/01/2011 - 12/31/2011

Schedule of Realized Gains and Losses Year-to-Date (continued)

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for uncovered securities. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. In addition, corporate action events may require adjustments to your original cost basis. Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable.

Adjustments to cost basis can be made after year-end, in particular, for return of capital adjustments, but may also include adjustments for corporate action events. Therefore there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports, including your 1099-B or online displays you may have available to you.

When you report your cost basis on your tax return, it should be verified using all of your own records. In particular, there may be other adjustments which you need to make, but are not required to be made by Pershing as it relates to H.R. 1424. You should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

Tax Lot Disposition Methods:

AV = Average Cost (Using First In First Out)

Please refer to the Client Service Information Section in your brokerage account statement for your account's existing tax-lot disposition method. The disposition method is the method which you have selected to use in the disposal of each tax lot of the securities held in your account. If you do not select a method, your account will be defaulted to First In, First Out (FIFO). Your account's selected tax lot method will be used to determine the cost basis for calculating gain and/or loss, unless another method was selected at the time of the security disposal, and this may be reported on the IRS Form 1099-B.

Accrued Interest Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Accrued Interest Paid				
Corporate Bond	0.00	0.00	-2,644.44	0.00
Total Accrued Interest Paid	\$0.00	\$0.00	-\$2,644.44	\$0.00

Cash Not Yet Received

Security	Record Date	Payable Date	Quantity Held	Rate	Amount of Payment	Dividend Option
Interest						
GOLDMAN SACHS GROUP INC NT 5.950% 01/15/27 B/E	12/30/11	01/15/12	250,000,000	0.029750	7,437.50	Cash
Total Cash Not Yet Received					\$7,437.50	

The above information has been obtained from sources we believe to be reliable. All items are subject to receipt. We make no representation as to accuracy or completeness. Cash Not Yet Received is not reflected as an asset in your account until it is actually received.

Messages

Please be advised that, in accordance with FINRA Rule 4370, HSBC Securities (USA) Inc.'s Business Contingency Plan Disclosure can be obtained by accessing:
<http://www.us.hsbc.com/12/3personal/other-services/investing/financial-statement>, or by contacting our Brokerage Customer Service at 1-800-662-3343.

Important Arbitration Agreement and Important Arbitration Disclosures

Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until: (i) the class certification is denied; (ii) the class is decertified; or (iii) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

Pershing's contact information is as follows: Pershing LLC; Legal Department; One Pershing Plaza; Jersey City, New Jersey 07399; (201) 413-3330.



HSBC Securities (USA) Inc
152 Fifth Avenue
New York, NY 10018
800-662-3343

Brokerage

Account Statement

Account Number: HMP-010436
Statement Period: 12/01/2011 - 12/31/2011

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	\$1,616,568.42	\$2,873,660.03
Cash Deposits	0.00	1,500,013.05
Cash Withdrawals	-0.88	-2,800,085.52
Dividends/Interest	8,069.24	59,700.63
Fees	0.00	-32,972.36
Change in Account Value	-3,662.58	20,658.37
Ending Account Value	\$11,620,974.20	\$11,620,974.20
Estimated Annual Income	\$34,797.56	

ALLEN I GROSS CHARITABLE
FOUNDATION
50 BROADWAY
NEW YORK NY 10004 - 1607



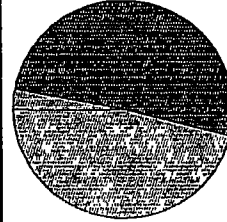
Your Financial Advisor Is:
JOEL BASCIANI
(212) 267-9495

Asset Allocation

	This Period	% Allocation
Cash, Money Funds, and FDIC Deposits	41,708.07	3%
Equities	824,235.06	51%
Mutual Funds	755,031.07	46%
Account Total (Pie Chart)	\$11,620,974.20	100%



Asset Allocation percentages are rounded to the nearest whole percentage.
Pie Chart allocation excludes all asset classes which net to a liability.



Summary of Gains and Losses

	Realized	Year-to-Date	Unrealized
Short-Term Gain/Loss	566.28	24,704.57	70,141.53
Long-Term Gain/Loss	508.11	110,132.43	5,529.69
Net Gain/Loss	1,074.39	134,837.00	75,671.22

This summary excludes transactions where cost basis information is not available.

Client Service Information

Your Financial Advisor Is:	Contact Information	Client Service Information
JOEL BASCIANI 120 BROADWAY NEW YORK NY 10271-	Telephone Number: (212) 267-9495	Client Service Telephone Number: (800) 662-3343
Investment Objective: GROWTH Risk Exposure: MODERATE RISK	If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Advisor.	
Default Tax Lot Disposition Method for Mutual Funds: FIRST IN FIRST OUT		
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT		

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 3.00% of Portfolio									
Cash Balance				0.00	1,366.25				
Money Market									
HSBC INVESTOR US GOVT CL Y	12/01/11	0000002220	12/30/11	36,626.78	40,341.82	0.00	7.46	0.01%	0.01%
40,341.820				\$36,626.78	\$40,341.82	\$0.00	\$7.46		
Total Money Market									
Total Cash, Money Funds, and FDIC Deposits				\$36,626.78	\$41,708.07	\$0.00	\$7.46		

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 51.00% of Portfolio								
Common Stocks								
ACCENTURE PLC IRELAND CLASS SHS								
ISIN#IE00B4BNMY34								
CUSIP: G1151C101								
Dividend Option: Cash								
27,000	11/10/10*	45.4400	1,226.88	53.2300	1,437.21	210.33	36.45	2.53%



HSBC Securities (USA) Inc
452 Fifth Avenue
New York, NY 10018
800-662-3343

Brokerage

Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
ACCENTURE PLC IRELAND CLASS SHS (continued)								
32.000	09/29/11	54.2200	1,735.04	53.2300	1,703.36	-31.68	43.20	2.53%
121.000	10/04/11	52.4970	6,352.15	53.2300	6,440.83	88.68	163.35	2.53%
180.000	Total		\$9,314.07		\$9,581.40	\$267.33	\$243.00	
COVIDIEN PLC SHS NEW								
ISIN#E00868SQD29	Security Identifier: COV							
CUSIP: G2554F113								
Dividend Option: Cash								
7.000	11/08/11	46.7270	327.09	45.0100	315.07	-12.02	6.30	1.99%
10.000	12/19/11	43.2170	432.17	45.0100	450.10	17.93	9.00	1.99%
17.000	Total		\$759.26		\$765.17	\$5.91	\$15.30	
EVEREST REINSURANCE GROUP LTD SHS								
ISIN#BMG323R1088	Security Identifier: RE							
CUSIP: G3223R108								
Dividend Option: Cash								
2.000	05/03/11	90.9200	181.84	84.0900	168.18	-13.66	3.84	2.28%
3.000	09/29/11	81.7930	245.38	84.0900	252.27	6.89	5.76	2.28%
539 day(s) added to your holding period as a result of a wash sale.								
8.000	10/04/11	77.0700	616.56	84.0900	672.72	56.16	15.36	2.28%
13.000	Total		\$1,043.78		\$1,093.17	\$49.39	\$24.96	
INVESCO LTD ORD SHS								
ISIN#BMG491BT1088	Security Identifier: IVZ							
CUSIP: G491BT108								
Dividend Option: Cash								
3.000	08/29/11	21.1170	63.35	20.0900	60.27	-3.08	1.47	2.43%
352 day(s) added to your holding period as a result of a wash sale.								
10.000	09/29/11	19.5900	195.90	20.0900	200.90	5.00	4.90	2.43%
352 day(s) added to your holding period as a result of a wash sale.								
2.000	09/29/11	23.8400	47.68	20.0900	40.18	-7.50	0.98	2.43%
122 day(s) added to your holding period as a result of a wash sale.								
27.000	10/04/11	15.1800	409.86	20.0900	542.43	132.57	13.23	2.43%
42.000	Total		\$716.79		\$843.78	\$126.99	\$20.58	

Page 3 of 156

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
PARTNERRE LTD SHS								
ISIN#BMG6852T1053								
CUSIP: G6852T105								
Dividend Option: Cash								
2,000	05/03/11	78.2200	156.44	64.2100	128.42	-28.02	4.80	3.73%
2,000	09/29/11	75.3300	150.66	64.2100	128.42	-22.24	4.80	3.73%
352 day(s) added to your holding period as a result of a wash sale.								
2,000	09/29/11	76.5700	153.14	64.2100	128.42	-24.72	4.80	3.73%
325 day(s) added to your holding period as a result of a wash sale.								
8,000	10/04/11	50.7400	405.92	64.2100	513.68	107.76	19.20	3.73%
14,000	Total		\$866.16		\$898.94	\$32.78	\$33.60	
ACE LIMITED SHS								
ISIN#CH0044328745								
CUSIP: H0023R105								
Dividend Option: Cash								
11,000	05/17/11	67.8360	3746.20	70.1200	771.32	25.12	15.40	1.99%
2,000	07/06/11	65.1900	3130.38	70.1200	140.24	9.86	2.80	1.99%
16,000	09/29/11	60.7700	3972.32	70.1200	1,121.92	149.60	22.40	1.99%
55,000	10/04/11	58.4000	33,212.00	70.1200	3,856.60	644.60	77.00	1.99%
84,000	Total		\$5,060.90		\$5,890.08	\$829.18	\$117.60	
GARMIN LTD SHS								
ISIN#CHH2906T1095								
CUSIP: H2906T109								
Dividend Option: Cash								
5,000	09/29/11	33.6500	168.25	39.8100	199.05	30.80	8.00	4.01%
183 day(s) added to your holding period as a result of a wash sale.								
12,000	10/04/11	31.4300	377.16	39.8100	477.72	100.56	19.20	4.01%
17,000	Total		\$545.41		\$676.77	\$131.36	\$27.20	
TYCO INTL LTD SHS								
ISIN#CH0100383485								
CUSIP: H89128104								
Dividend Option: Cash								
3,000	08/29/11	41.0100	3123.03	46.7100	140.13	17.10	3.00	2.14%
5,000	09/29/11	41.6800	3208.40	46.7100	233.55	25.15	5.00	2.14%
16,000	10/04/11	39.4000	3630.40	46.7100	747.36	116.96	16.00	2.14%
87,000	11/16/11	46.5860	34,052.94	46.7100	4,063.77	10.83	87.00	2.14%
111,000	Total		\$5,014.77		\$5,184.81	\$170.04	\$111.00	



HSBC Securities (USA) Inc
452 Fifth Avenue
New York, NY 10018
800-662-3343

Brokerage Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
ASML HOLDING N V HOLDING N V NY								
REGISTERED SHS								
CUSIP: N07059186								
Dividend Option: Cash								
15,000	08/17/11	36.8810	553.21	41.7900	626.85	73.64	7.46	1.18%
23 day(s) added to your holding period as a result of a wash sale.								
18,000	09/29/11	38.3240	689.84	41.7900	752.22	62.38	8.95	1.18%
23 day(s) added to your holding period as a result of a wash sale.								
70,000	10/04/11	34.4400	2,410.80	41.7900	2,925.30	514.50	34.79	1.18%
103,000	Total		\$3,653.85		\$4,304.37	\$650.52	\$51.20	
AGL RES INC COM								
CUSIP: 001204106								
Dividend Option: Cash								
1,000	09/15/10*	38.1100	38.11	42.2600	42.26	4.15	1.80	4.25%
6,000	10/04/11	38.5100	231.06	42.2600	253.56	22.50	10.80	4.25%
7,000	Total		\$269.17		\$295.82	\$26.65	\$12.60	
AT&T INC COM								
CUSIP: 00206R102								
Dividend Option: Cash								
27,000	09/15/10*	28.0600	757.62	30.2400	816.48	58.86	46.44	5.68%
12,000	07/06/11	31.2380	374.85	30.2400	362.88	-11.97	20.64	5.68%
46,000	09/29/11	28.7900	1,324.34	30.2400	1,391.04	66.70	79.12	5.68%
167,000	10/04/11	27.7400	4,632.58	30.2400	5,050.08	417.50	287.24	5.68%
252,000	Total		\$7,089.39		\$7,620.48	\$531.09	\$433.44	
ABBOTT LABS COM								
CUSIP: 002824100								
Dividend Option: Cash								
18,000	12/08/10*	46.5400	837.72	56.2300	1,012.14	174.42	34.56	3.41%
7,000	07/06/11	53.3400	373.38	56.2300	393.61	20.23	13.44	3.41%
31,000	09/29/11	55.5660	1,722.56	56.2300	1,743.13	20.57	59.52	3.41%
541 day(s) added to your holding period as a result of a wash sale.								

C0107865CSF30012

HSBC

Account Number: HMP-010436
ALLEN I GROSS CHARITABLE

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HSBC Brokerage Statement,
2009, 2010
DOLBAR RATED
FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary
of The Bank of New York Mellon Corporation
Pershing LLC, member FINRA, SIPC

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
ABBOTT LABS COM (continued)								
111,000	10/04/11	49.4770	5,491.96	56.2300	6,241.53	749.57	213.12	3.41%
167,000	Total		\$8,425.62		\$9,390.41	\$964.79	\$320.64	
ABERCROMBIE & FITCH CO CL A								
<i>Security Identifier: ANF</i>								
CUSIP: 002896207								
Dividend Option: Cash								
37,000	10/04/11	59.6300	2,206.31	48.8400	1,807.08	-399.23	25.90	1.43%
ADOBE SYS INC COM								
<i>Security Identifier: AD8E</i>								
CUSIP: 00724F101								
Dividend Option: Cash								
3,000	10/08/10 *	27.3600	82.08	28.2700	84.81	2.73		
17,000	10/04/11	23.6000	401.20	28.2700	480.59	79.39		
20,000	Total		\$483.28		\$565.40	\$82.12	\$0.00	
AIR PRODS & CHEMS INC COM								
<i>Security Identifier: APD</i>								
CUSIP: 009158106								
Dividend Option: Cash								
5,000	05/17/10 *	71.0300	355.15	85.1900	425.95	70.80	11.60	2.72%
2,000	07/06/11	96.9200	193.84	85.1900	170.38	-23.46	4.64	2.72%
9,000	09/29/11	78.5600	707.04	85.1900	766.71	59.67	20.88	2.72%
34,000	10/04/11	75.1100	2,553.74	85.1900	2,896.46	342.72	78.88	2.72%
50,000	Total		\$3,809.77		\$4,259.50	\$449.73	\$116.00	
AKAMAI TECHNOLOGIES INC COM								
<i>Security Identifier: AKAM</i>								
CUSIP: 00971T101								
Dividend Option: Cash								
17,000	10/04/11	20.1000	341.70	32.2800	548.76	207.06		
ALCOA INC COM								
<i>Security Identifier: AA</i>								
CUSIP: 013817101								
Dividend Option: Cash								
5,000	08/29/11	17.7340	88.67	8.6500	43.25	-45.42	0.60	1.38%
154 day(s) added to your holding period as a result of a wash sale.								
38,000	10/04/11	8.9900	341.62	8.6500	328.70	-12.92	4.56	1.38%
43,000	Total		\$430.29		\$371.95	-\$58.34	\$5.16	
ALLSTATE CORP COM								
<i>Security Identifier: ALL</i>								
CUSIP: 020002101								
Dividend Option: Cash								
1,000	08/29/11	32.2600	32.26	27.4100	27.41	-4.85	0.84	3.06%
687 day(s) added to your holding period as a result of a wash sale.								
6,000	08/29/11	29.6980	178.19	27.4100	164.46	-13.73	5.04	3.06%
662 day(s) added to your holding period as a result of a wash sale.								



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Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
ALLSTATE CORP COM (continued)								
8,000	09/29/11	28.1600	225.28	27.4100	219.28	-6.00	6.72	3.06%
662 day(s) added to your holding period as a result of a wash sale.								
31,000	10/04/11	22.8200	707.42	27.4100	849.71	142.29	26.04	3.06%
1,000	10/21/11	26.6200	26.62	27.4100	27.41	0.79	0.84	3.06%
47,000	Total		\$1,169.77		\$1,288.27	\$118.50	\$39.48	
ALTERA CORP								
CUSIP: 021441100								
Dividend Option: Cash								
13,000	03/02/11	42.9170	557.92	37.1000	482.30	-75.62	4.16	0.86%
7,000	09/29/11	41.2270	288.59	37.1000	259.70	-28.89	2.24	0.86%
186 day(s) added to your holding period as a result of a wash sale.								
46,000	10/04/11	32.2600	1,483.96	37.1000	1,706.60	222.64	14.72	0.86%
8,000	12/15/11	33.9880	271.90	37.1000	296.80	24.90	2.56	0.86%
74,000	Total		\$2,602.37		\$2,745.40	\$143.03	\$23.68	
ALTRIA GROUP INC COM								
CUSIP: 02209S103								
Dividend Option: Cash								
44,000	09/29/11	26.4400	1,163.36	29.6500	1,304.60	141.24	72.16	5.53%
83,000	10/04/11	26.1100	2,167.13	29.6500	2,460.95	293.82	136.12	5.53%
127,000	Total		\$3,330.49		\$3,765.55	\$435.06	\$208.28	
AMAZON COM INC								
CUSIP: 023135106								
Dividend Option: Cash								
1,000	10/04/11	220.6500	220.65	173.1000	173.10	-47.55		
33 day(s) added to your holding period as a result of a wash sale.								
34,000	10/04/11	208.8400	7,100.56	173.1000	5,885.40	-1,215.16		
35,000	Total		\$7,321.21		\$6,058.50	-\$1,262.71	\$0.00	

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
AMERICAN EAGLE OUTFITTERS INC NEW								
<i>Security Identifier: AEO</i>								
COM								
CUSIP: 02553E106								
Dividend Option: Cash								
7,000	08/29/11	15.4960	108.47	15.2900	107.03	-1.44	3.08	2.87%
473 day(s) added to your holding period as a result of a wash sale.								
5,000	08/29/11	14.5460	72.73	15.2900	76.45	3.72	2.20	2.87%
352 day(s) added to your holding period as a result of a wash sale.								
15,000	09/29/11	15.8370	237.56	15.2900	229.35	-8.21	6.60	2.87%
352 day(s) added to your holding period as a result of a wash sale.								
2,000	09/29/11	16.8350	33.67	15.2900	30.58	-3.09	0.88	2.87%
183 day(s) added to your holding period as a result of a wash sale.								
39,000	10/04/11	11.8500	462.15	15.2900	596.31	134.16	17.16	2.87%
68,000	Total		\$914.58		\$1,039.72	\$125.14	\$29.92	
AMERICAN TOWER CORP CL A C/A EFF 1/3/12								
<i>Security Identifier: AMT</i>								
1 OLD/1 CU 03027X100 AMERICAN TOWER REIT								
CUSIP: 029912201								
Dividend Option: Cash								
11,000	09/15/10 *	49.0000	539.00	60.0100	660.11	121.11		
7,000	06/07/11	51.6370	361.46	60.0100	420.07	58.61		
23,000	09/29/11	53.3200	1,226.36	60.0100	1,380.23	153.87		
88,000	10/04/11	52.5100	4,620.88	60.0100	5,280.88	660.00		
129,000	Total		\$6,747.70		\$7,741.29	\$993.59	\$0.00	
AMERIPRISE FINL INC COM								
<i>Security Identifier: AMP</i>								
CUSIP: 03076C106								
Dividend Option: Cash								
6,000	08/29/11	45.3470	272.08	49.6400	297.84	25.76	6.72	2.25%
6,000	09/29/11	61.5480	369.29	49.6400	297.84	-71.45	6.72	2.25%
4 day(s) added to your holding period as a result of a wash sale.								
26,000	10/04/11	37.1100	964.86	49.6400	1,290.64	325.78	29.12	2.25%
38,000	Total		\$1,606.23		\$1,886.32	\$280.09	\$42.56	
ANADARKO PETE CORP COM								
<i>Security Identifier: APC</i>								
CUSIP: 032511107								
Dividend Option: Cash								
9,000	10/04/11	59.9400	539.46	76.3300	686.97	147.51	3.24	0.47%
ANALOG DEVICES INC								
<i>Security Identifier: ADI</i>								
CUSIP: 032654105								
Dividend Option: Cash								
2,000	08/29/11	32.9400	65.88	35.7800	71.56	5.68	2.00	2.79%



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Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
ANALOG DEVICES INC (continued)								
10,000	10/04/11	32.1800	321.80	35.7800	357.80	36.00	10.00	2.79%
12,000	Total		\$387.68		\$429.36	\$41.68	\$12.00	
APACHE CORP								
CUSIP: 037411105								
Dividend Option: Cash								
7,000	09/15/10 *	93.6200	655.34	90.5800	634.06	-21.28	4.20	0.66%
10,000	09/29/11	83.0500	830.50	90.5800	905.80	75.30	6.00	0.66%
35,000	10/04/11	76.3400	2,671.90	90.5800	3,170.30	498.40	21.00	0.66%
52,000	Total		\$4,157.74		\$4,710.16	\$552.42	\$31.20	
APPLE INC COM								
CUSIP: 037833100								
Dividend Option: Cash								
3,000	09/15/10 *	269.4500	808.35	405.0000	1,215.00	406.65		
1,000	10/06/10 *	289.2000	289.20	405.0000	405.00	115.80		
2,000	11/12/10 *	307.9900	615.98	405.0000	810.00	194.02		
8,000	09/29/11	395.5200	3,164.16	405.0000	3,240.00	75.84		
30,000	10/04/11	379.9000	11,397.00	405.0000	12,150.00	753.00		
2,000	11/16/11	387.3500	774.70	405.0000	810.00	35.30		
46,000	Total		\$17,049.39		\$18,630.00	\$1,580.61	\$0.00	
APPLIED MATERIALS INC								
CUSIP: 038222105								
Dividend Option: Cash								
7,000	08/29/11	13.2770	92.94	10.7100	74.97	-17.97	2.24	2.98%
662 day(s) added to your holding period as a result of a wash sale.								
2,000	08/29/11	13.4850	26.97	10.7100	21.42	-5.55	0.64	2.98%
473 day(s) added to your holding period as a result of a wash sale.								
14,000	09/29/11	12.8200	179.48	10.7100	149.94	-29.54	4.48	2.98%
473 day(s) added to your holding period as a result of a wash sale.								
5,000	09/29/11	10.7100	53.55	10.7100	53.55	0.00	1.60	2.98%
352 day(s) added to your holding period as a result of a wash sale.								

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
APPLIED MATERIALS INC (continued)								
30,000	10/04/11	10.1300	303.90	10.7100	321.30	17.40	9.60	2.98%
58,000	Total		\$656.84		\$621.18	-\$35.66	\$18.56	
BABCOCK & WILCOX CO NEW COM								
CUSIP: 05615F102								
Dividend Option: Cash								
2,000	09/15/10*	22.0500	44.10	24.1400	48.28	4.18		
13,000	10/04/11	19.8500	258.05	24.1400	313.82	55.77		
15,000	Total		\$302.15		\$362.10	\$59.95	\$0.00	
BAIDU COM INC SPONS ADR REPSTG ORD SHS								
CL A								
CUSIP: 056752108								
Dividend Option: Cash								
7,000	06/07/11	123.2700	862.89	116.4700	815.29	-47.60		
8,000	09/29/11	112.4700	899.76	116.4700	931.76	32.00		
29,000	10/04/11	109.4200	3,173.18	116.4700	3,377.63	204.45		
44,000	Total		\$4,935.83		\$5,124.68	\$188.85	\$0.00	
BANK AMER CORP COM								
CUSIP: 060505104								
Dividend Option: Cash								
21,000	09/15/10*	13.6580	286.81	5.5600	116.76	-170.05	0.84	0.71%
54,000	07/06/11	10.7370	579.80	5.5600	300.24	-279.56	2.16	0.71%
11,000	09/29/11	14.3050	157.35	5.5600	61.16	-96.19	0.44	0.71%
450 day(s) added to your holding period as a result of a wash sale.								
82,000	09/29/11	14.5350	1,191.89	5.5600	455.92	-735.97	3.28	0.71%
415 day(s) added to your holding period as a result of a wash sale.								
374,000	10/04/11	5.4200	2,027.08	5.5600	2,079.44	52.36	14.96	0.71%
542,000	Total		\$4,242.93		\$3,073.52	-\$1,229.41	\$21.68	
BANK OF NEW YORK MELLON CORP COM								
CUSIP: 064058100								
Dividend Option: Cash								
52,000	09/15/10*	25.4990	1,325.94	19.9100	1,035.32	-290.62	27.04	2.61%
62,000	09/29/11	28.7380	1,781.73	19.9100	1,234.42	-547.31	32.24	2.61%
473 day(s) added to your holding period as a result of a wash sale.								
237,000	10/04/11	17.8690	4,234.88	19.9100	4,718.67	483.79	123.24	2.61%
97,000	11/16/11	20.1900	1,958.41	19.9100	1,931.27	-27.14	50.44	2.61%
448,000	Total		\$9,300.96		\$8,919.68	-\$381.28	\$232.96	



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Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
BARRETT BILL CORP COM								
CUSIP: 06846N104								
Dividend Option: Cash								
1,000	05/17/10*	33.1200	33.12	34.0700	34.07	0.95		
11,000	10/04/11	35.0500	385.55	34.0700	374.77	-10.78		
1,000	10/21/11	39.3100	39.31	34.0700	34.07	-5.24		
13,000	Total		\$457.98		\$442.91	-\$15.07	\$0.00	
BECTON DICKINSON & CO								
CUSIP: 075887109								
Dividend Option: Cash								
5,000	02/16/11	80.2880	401.44	74.7200	373.60	-27.84	9.00	2.40%
2,000	05/03/11	86.8700	173.74	74.7200	149.44	-24.30	3.60	2.40%
4,000	05/05/11	86.2100	344.84	74.7200	298.88	-45.96	7.20	2.40%
16,000	09/29/11	74.8470	1,197.55	74.7200	1,195.52	-2.03	28.80	2.40%
198 day(s) added to your holding period as a result of a wash sale.								
56,000	10/04/11	71.3500	3,995.60	74.7200	4,184.32	188.72	100.80	2.40%
83,000	Total		\$6,113.17		\$6,201.76	\$88.59	\$149.40	
BERKSHIRE HATHAWAY INC DEL CL B NEW								
CUSIP: 084670702								
Dividend Option: Cash								
1,000	08/29/11	78.0200	78.02	76.3000	76.30	-1.72		
473 day(s) added to your holding period as a result of a wash sale.								
2,000	09/29/11	78.7400	157.48	76.3000	152.60	-4.88		
473 day(s) added to your holding period as a result of a wash sale.								
1,000	09/29/11	85.9600	85.96	76.3000	76.30	-9.66		
352 day(s) added to your holding period as a result of a wash sale.								
5,000	10/04/11	70.4100	352.05	76.3000	381.50	29.45		
9,000	Total		\$673.51		\$686.70	\$13.19	\$0.00	
BEST BUY COMPANY INC								
CUSIP: 086516101								
Dividend Option: Cash								
1,000	03/03/11	37.1100	37.11	23.3700	23.37	-13.74	0.64	2.73%

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Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
BEST BUY COMPANY INC (continued)								
197 day(s) added to your holding period as a result of a wash sale.								
1,000	03/03/11	38.88		23.3700	23.37	-15.51	0.64	2.73%
101 day(s) added to your holding period as a result of a wash sale.								
11,000	10/04/11	249.04		23.3700	257.07	8.03	7.04	2.73%
13,000	Total	\$325.03			\$303.81	-\$21.22	\$8.32	
BIG LOTS INC COM								
CUSIP: 089302103								
Dividend Option: Cash								
8,000	10/04/11	33.6600	269.28	37.7600	302.08	32.80		
BIOGEN IDEC INC COM								
CUSIP: 09062X103								
Dividend Option: Cash								
33,000	12/12/11	110.1870	3,636.17	110.0500	3,631.65	-4.52		
BLACKROCK INC COM								
CUSIP: 09247X101								
Dividend Option: Cash								
3,000	06/22/11	190.3170	570.95	178.2400	534.72	-36.23	16.50	3.08%
4,000	09/29/11	182.0130	728.05	178.2400	712.96	-15.09	22.00	3.08%
85 day(s) added to your holding period as a result of a wash sale.								
15,000	10/04/11	142.9900	2,144.85	178.2400	2,673.60	528.75	82.50	3.08%
22,000	Total		\$3,443.85		\$3,921.28	\$477.43	\$121.00	
BORGWARNER INC COM								
CUSIP: 099724106								
Dividend Option: Cash								
9,000	01/31/11	68.1880	613.69	63.7400	573.66	-40.03		
1,000	09/21/11	66.0500	66.05	63.7400	63.74	-2.31		
13,000	09/29/11	60.4200	785.46	63.7400	828.62	43.16		
47,000	10/04/11	57.9800	2,725.06	63.7400	2,995.78	270.72		
70,000	Total		\$4,190.26		\$4,461.80	\$271.54	\$0.00	
C H ROBINSON WORLDWIDE INC COM								
NEW								
CUSIP: 12541W209								
Dividend Option: Cash								
9,000	11/12/10	71.0500	639.45	69.7800	628.02	-11.43	11.88	1.89%
9,000	09/29/11	68.4310	615.88	69.7800	628.02	12.14	11.88	1.89%
352 day(s) added to your holding period as a result of a wash sale.								
40,000	10/04/11	66.7200	2,668.80	69.7800	2,791.20	122.40	52.80	1.89%
58,000	Total		\$3,924.13		\$4,047.24	\$123.11	\$76.56	



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Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
CME GROUP INC COM								
CUSIP: 12572Q105			<i>Security Identifier: CME</i>					
Dividend Option: Cash								
2,000	11/12/10 *	288.1400	576.28	243.6700	487.34	-88.94	11.20	2.29%
2,000	09/29/11	282.7150	565.43	243.6700	487.34	-78.09	11.20	2.29%
294 day(s) added to your holding period as a result of a wash sale.								
9,000	10/04/11	241.5300	2,173.77	243.6700	2,193.03	19.26	50.40	2.29%
13,000	Total		\$3,315.48		\$3,167.71	-\$147.77	\$72.80	
CSX CORPORATION								
CUSIP: 126408103			<i>Security Identifier: CSX</i>					
Dividend Option: Cash								
15,000	12/21/11	20.8070	312.11	21.0600	315.90	3.79	7.20	2.27%
CVS CAREMARK CORP								
CUSIP: 126650100			<i>Security Identifier: CVS</i>					
Dividend Option: Cash								
4,000	09/15/10 *	29.3900	117.56	40.7800	163.12	45.56	2.00	1.22%
16,000	10/04/11	32.7200	523.52	40.7800	652.48	128.96	8.00	1.22%
20,000	Total		\$641.08		\$815.60	\$174.52	\$10.00	
CELGENE CORP								
CUSIP: 151020104			<i>Security Identifier: CELG</i>					
Dividend Option: Cash								
3,000	09/21/11	64.8870	194.66	67.6000	202.80	8.14		
2,000	09/23/11	62.4450	124.89	67.6000	135.20	10.31		
7,000	09/29/11	62.1800	435.26	67.6000	473.20	37.94		
1,000	09/30/11	62.4200	62.42	67.6000	67.60	5.18		
49,000	10/04/11	60.5800	2,968.42	67.6000	3,312.40	343.98		
62,000	Total		\$3,785.65		\$4,191.20	\$405.55	\$0.00	
CENTURYLINK INC COM								
CUSIP: 156700106			<i>Security Identifier: CTL</i>					
Dividend Option: Cash								
6,000	05/03/11	40.7700	244.62	37.2000	223.20	-21.42	17.40	7.79%
6,000	09/29/11	33.9800	203.88	37.2000	223.20	19.32	17.40	7.79%

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Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
CENTURYLINK INC COM (continued)								
539 day(s) added to your holding period as a result of a wash sale.								
23,000	10/04/11	31.5700	726.11	37.2000	855.60	129.49	66.70	7.79%
35,000	Total		\$1,174.61		\$1,302.00	\$127.39	\$101.50	
CERNER CORP								
CUSIP: 156782104								
Dividend Option: Cash								
2,000	09/15/10*	40.1050	80.21	61.2500	122.50	42.29		
11,000	09/29/11	70.9200	780.12	61.2500	673.75	-106.37		
43,000	10/04/11	64.9400	2,792.42	61.2500	2,633.75	-158.67		
56,000	Total		\$3,652.75		\$3,430.00	-\$222.75	\$0.00	
CHARLES RIV LABORATORIES INTL								
INC COM								
CUSIP: 159864107								
Dividend Option: Cash								
3,000	08/29/11	33.8400	101.52	27.3300	81.99	-19.53		
2,000	09/29/11	36.5900	73.18	27.3300	54.66	-18.52		
4 day(s) added to your holding period as a result of a wash sale.								
1,000	09/29/11	30.2800	30.28	27.3300	27.33	-2.95		
4 day(s) added to your holding period as a result of a wash sale.								
5,000	09/29/11	28.8900	144.45	27.3300	136.65	-7.80		
10,000	10/04/11	27.7700	277.70	27.3300	273.30	-4.40		
21,000	Total		\$627.13		\$573.93	-\$53.20	\$0.00	
CHEVRON CORP NEW COM								
CUSIP: 166764100								
Dividend Option: Cash								
19,000	09/15/10*	79.0000	1,501.00	106.4000	2,021.60	520.60	61.56	3.04%
22,000	09/29/11	93.3900	2,054.58	106.4000	2,340.80	286.22	71.28	3.04%
81,000	10/04/11	88.4700	7,166.07	106.4000	8,618.40	1,452.33	262.44	3.04%
122,000	Total		\$10,721.65		\$12,980.80	\$2,259.15	\$395.28	
CISCO SYSTEMS INC								
CUSIP: 17275R102								
Dividend Option: Cash								
7,000	05/03/11	17.4360	122.05	18.0800	126.56	4.51	1.68	1.32%
13,000	09/29/11	22.5080	292.60	18.0800	235.04	-57.56	3.12	1.32%
205 day(s) added to your holding period as a result of a wash sale.								
25,000	10/04/11	15.3800	384.50	18.0800	452.00	67.50	6.00	1.32%
45,000	Total		\$799.15		\$813.60	\$14.45	\$10.80	



HSBC Securities (USA) Inc
452 Fifth Avenue
New York, NY 10018
800-682-3343

Brokerage

Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
CITRIX SYSTEMS INC								
CUSIP: 177376100								
Dividend Option: Cash								
12,000	11/12/10	64.6600	775.92	60.7200	728.64	-47.28		
6,000	09/29/11	65.9080	395.45	60.7200	364.32	-31.13		
352 day(s) added to your holding period as a result of a wash sale.								
7,000	09/29/11	63.4790	444.35	60.7200	425.04	-19.31		
294 day(s) added to your holding period as a result of a wash sale.								
55,000	10/04/11	54.7000	3,008.50	60.7200	3,339.60	331.10		
80,000	Total		\$4,624.22		\$4,857.60	\$233.38	\$0.00	
CLIFFS NAT RES INC COM								
CUSIP: 16683K101								
Dividend Option: Cash								
7,000	06/09/11	85.2200	596.54	62.3500	436.45	-160.09	7.84	1.79%
11,000	09/29/11	58.9080	647.99	62.3500	685.85	37.86	12.32	1.79%
85 day(s) added to your holding period as a result of a wash sale.								
1,000	10/04/11	72.0900	72.09	62.3500	62.35	-9.74	1.12	1.79%
104 day(s) added to your holding period as a result of a wash sale.								
2,000	10/04/11	80.5700	161.14	62.3500	124.70	-36.44	2.24	1.79%
105 day(s) added to your holding period as a result of a wash sale.								
32,000	10/04/11	51.7200	1,655.04	62.3500	1,995.20	340.16	35.84	1.79%
53,000	Total		\$3,132.80		\$3,304.55	\$171.75	\$59.36	
COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A								
CUSIP: 192446102								
Dividend Option: Cash								
15,000	06/07/11	74.5870	1,118.80	64.3100	964.65	-154.15		
7,000	08/11/11	63.0890	441.62	64.3100	450.17	8.55		
352 day(s) added to your holding period as a result of a wash sale.								
18,000	09/29/11	66.4780	1,196.61	64.3100	1,157.58	-39.03		
352 day(s) added to your holding period as a result of a wash sale.								
3,000	09/29/11	66.2800	198.84	64.3100	192.93	-5.91		
294 day(s) added to your holding period as a result of a wash sale.								
3,000	09/29/11	78.0470	234.14	64.3100	192.93	-41.21		

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HSBC

Account Number: HMP-010436
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#1 Brokerage Statement,
2009, 2010
DALBAR RATED
FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary
of The Bank of New York Mellon Corporation
Pershing LLC, member FINRA, NYSE, SIPC

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A (continued)								
87 day(s) added to your holding period as a result of a wash sale.								
103.000	10/04/11	62.4270	6,429.99	64.3100	6,623.93	193.94		
149.000	Total		\$9,620.00		\$9,582.19	-\$37.81	\$0.00	
COMCAST CORP CL A								
CUSIP: 20030N101								
Dividend Option: Cash								
3.000	06/10/11	23.9570	71.87	23.7100	71.13	-0.74	1.35	1.89%
11.000	10/04/11	20.8500	229.35	23.7100	260.81	31.46	4.95	1.89%
14.000	Total		\$301.22		\$331.94	\$30.72	\$6.30	
COMCAST CORP NEW CL A SPL								
CUSIP: 20030N200								
Dividend Option: Cash								
38.000	08/10/11	23.0490	875.88	23.5600	895.28	19.40	17.10	1.91%
37 day(s) added to your holding period as a result of a wash sale.								
49.000	09/29/11	24.8510	1,217.71	23.5600	1,154.44	-63.27	22.05	1.91%
37 day(s) added to your holding period as a result of a wash sale.								
182.000	10/04/11	20.6370	3,755.95	23.5600	4,287.92	531.97	81.90	1.91%
269.000	Total		\$5,849.54		\$6,337.64	\$488.10	\$121.05	
CONCHO RES INC COM								
CUSIP: 20605P101								
Dividend Option: Cash								
3.000	05/12/11	90.7770	272.33	93.7500	281.25	8.92		
8.000	05/13/11	91.2900	730.32	93.7500	750.00	19.68		
11.000	09/29/11	90.2090	992.30	93.7500	1,031.25	38.95		
143 day(s) added to your holding period as a result of a wash sale.								
2.000	09/29/11	88.9000	177.80	93.7500	187.50	9.70		
142 day(s) added to your holding period as a result of a wash sale.								
53.000	10/04/11	67.7300	3,589.69	93.7500	4,968.75	1,379.06		
77.000	Total		\$5,762.44		\$7,218.75	\$1,456.31	\$0.00	
COSTCO WHOLESALE CORP NEW COM								
CUSIP: 22160K105								
Dividend Option: Cash								
2.000	09/15/10 *	61.1000	122.20	83.3200	166.64	44.44	1.92	1.15%
5.000	11/12/10 *	65.2400	326.20	83.3200	416.60	90.40	4.80	1.15%
10.000	09/29/11	83.8000	838.00	83.3200	833.20	-4.80	9.60	1.15%
35.000	10/04/11	80.1600	2,885.76	83.3200	2,999.52	113.76	34.56	1.15%
53.000	Total		\$4,172.16		\$4,415.96	\$243.80	\$50.88	



HSBC Securities (USA) Inc
452 Fifth Avenue
New York, NY 10018
800-662-3343

Brokerage Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
CTRP COM INTL LTD AMERICAN DEP SHS								
ISIN#US22943FT003								
CUSIP: 22943FT003								
Dividend Option: Cash								
13,000	03/16/11	36.7680	477.98	23.4000	304.20	-173.78		
19,000	09/29/11	31.0600	590.14	23.4000	444.60	-145.54		
71,000	10/04/11	32.1500	2,282.65	23.4000	1,661.40	-621.25		
103,000	Total		\$3,350.77		\$2,410.20	-\$940.57	\$0.00	
DANAHER CORP COM								
CUSIP: 235851102								
Dividend Option: Cash								
19,000	08/24/11	49.6550	943.44	47.0400	893.76	-49.68	1.90	0.21%
184 day(s) added to your holding period as a result of a wash sale.								
16,000	08/31/11	52.5140	840.22	47.0400	752.64	-87.58	1.60	0.21%
184 day(s) added to your holding period as a result of a wash sale.								
18,000	08/31/11	51.6430	929.57	47.0400	846.72	-82.85	1.80	0.21%
37 day(s) added to your holding period as a result of a wash sale.								
3,000	09/21/11	51.7130	155.14	47.0400	141.12	-14.02	0.30	0.21%
37 day(s) added to your holding period as a result of a wash sale.								
51,000	09/29/11	49.1150	2,504.89	47.0400	2,399.04	-105.85	5.10	0.21%
37 day(s) added to your holding period as a result of a wash sale.								
2,000	09/29/11	49.0500	98.10	47.0400	94.08	-4.02	0.20	0.21%
35 day(s) added to your holding period as a result of a wash sale.								
16,000	09/29/11	49.4230	790.76	47.0400	752.64	-38.12	1.60	0.21%
9 day(s) added to your holding period as a result of a wash sale.								
259,000	10/04/11	40.2970	10,436.95	47.0400	12,183.36	1,746.41	25.90	0.21%
384,000	Total		\$16,699.07		\$18,063.36	\$1,364.29	\$38.40	
DEERE & CO								
CUSIP: 244199105								
Dividend Option: Cash								
12,000	09/15/10 *	70.2300	842.76	77.3500	928.20	85.44	19.68	2.12%
17,000	09/29/11	67.1400	1,141.38	77.3500	1,314.95	173.57	27.88	2.12%

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HSBC

Account Number: HMP-010436
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#1 Brokerage Statement,
2009, 2010
DOLBAR RATED
FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary
of The Bank of New York Mellon Corporation
Pershing LLC, member FINRA, NYSE, SIPC

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
DEERE & CO (continued)								
64,000	10/04/11	63.1800	4,043.52	77.3500	4,950.40	906.88	104.96	2.12%
93,000	Total		\$6,027.66		\$7,193.55	\$1,165.89	\$152.52	
DEVON ENERGY CORP NEW COM								
CUSIP: 25179M103								
Dividend Option: Cash								
2,000	08/29/11	65.1050	130.21	62.0000	124.00	-6.21	1.36	1.09%
3,000	09/29/11	57.2900	171.87	62.0000	186.00	14.13	2.04	1.09%
9,000	10/04/11	53.0000	477.00	62.0000	558.00	81.00	6.12	1.09%
14,000	Total		\$779.08		\$868.00	\$88.92	\$9.52	
DIAGEO PLC SPONSORED ADR NEW								
CUSIP: 25243Q205								
Dividend Option: Cash								
6,000	05/17/10	63.9100	383.46	87.4200	524.52	141.06	15.57	2.96%
3,000	09/15/10	68.3000	204.90	87.4200	262.26	57.36	7.78	2.96%
14,000	09/29/11	77.1700	1,080.38	87.4200	1,223.88	143.50	36.33	2.96%
46,000	10/04/11	74.9300	3,446.78	87.4200	4,021.32	574.54	119.37	2.96%
69,000	Total		\$5,115.52		\$6,031.98	\$916.46	\$179.05	
DIEBOLD INC COM								
CUSIP: 253651103								
Dividend Option: Cash								
13,000	10/04/11	26.8800	349.44	30.0700	390.91	41.47	14.56	3.72%
DISNEY WALT CO DISNEY COM								
CUSIP: 254687106								
Dividend Option: Cash								
67,000	10/12/11	33.5180	2,245.69	37.5000	2,512.50	266.81	40.20	1.60%
DISCOVER FINL SVCS COM INC								
CUSIP: 254709108								
Dividend Option: Cash								
4,000	09/15/10	15.8500	63.40	24.0000	96.00	32.60	1.60	1.66%
9,000	09/29/11	23.8500	214.65	24.0000	216.00	1.35	3.60	1.66%
25,000	10/04/11	22.2800	557.00	24.0000	600.00	43.00	10.00	1.66%
7,000	11/14/11	24.3370	170.36	24.0000	168.00	-2.36	2.80	1.66%
3,000	12/15/11	23.1000	69.30	24.0000	72.00	2.70	1.20	1.66%
48,000	Total		\$1,074.71		\$1,152.00	\$77.29	\$19.20	
DOLBY LABORATORIES INC CL A								
CUSIP: 256591107								
Dividend Option: Cash								
2,000	10/04/11	48.0200	96.04	30.5100	61.02	-35.02		



HSBC Securities (USA) Inc
452 Fifth Avenue
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Brokerage

Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
DOLBY LABORATORIES INC CL A (continued)								
38 day(s) added to your holding period as a result of a wash sale.								
7,000	10/04/11	42.3490	296.44	30.5100	213.57	-82.87		
7 day(s) added to your holding period as a result of a wash sale.								
9,000			\$392.48		\$274.59	-\$117.89	\$0.00	
Total								
EMC CORP (MASS) COM								
CUSIP: 268648102			<i>Security Identifier: EMC</i>					
Dividend Option: Cash								
11,000	10/19/10*	20.9490	230.44	21.5400	236.94	6.50		
48,000	08/30/11	22.0770	1,059.70	21.5400	1,033.92	-25.78		
70,000	09/29/11	21.5300	1,507.10	21.5400	1,507.80	0.70		
262,000	10/04/11	20.7270	5,430.50	21.5400	5,643.48	212.98		
391,000			\$8,227.74		\$8,422.14	\$194.40	\$0.00	
EQT CORPORATION COM								
CUSIP: 26884L109			<i>Security Identifier: EQT</i>					
Dividend Option: Cash								
9,000	10/04/11	51.9800	467.82	54.7900	493.11	25.29	7.92	1.60%
EXCO RES INC COM								
CUSIP: 269279402			<i>Security Identifier: XCO</i>					
Dividend Option: Cash								
4,000	08/29/11	12.8680	51.47	10.4500	41.80	-9.67	0.64	1.53%
2,000	09/02/11	12.8000	25.60	10.4500	20.90	-4.70	0.32	1.53%
4 day(s) added to your holding period as a result of a wash sale.								
18,000	09/29/11	11.5590	208.06	10.4500	188.10	-19.96	2.88	1.53%
4 day(s) added to your holding period as a result of a wash sale.								
31,000	10/04/11	10.0700	312.17	10.4500	323.95	11.78	4.96	1.53%
55,000			\$597.30		\$574.75	-\$22.55	\$8.80	
ECOLAB INC								
CUSIP: 278865100			<i>Security Identifier: ECL</i>					
Dividend Option: Cash								
12,000	08/22/11	46.7150	560.58	57.8100	693.72	133.14	9.60	1.38%
42 day(s) added to your holding period as a result of a wash sale.								

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
ECOLAB INC (continued)								
1,000	09/23/11	50.62	50.62	57.81	57.81	7.19	0.80	1.38%
42 day(s) added to your holding period as a result of a wash sale.								
10,000	09/29/11	51.078	510.78	57.81	578.10	67.32	8.00	1.38%
42 day(s) added to your holding period as a result of a wash sale.								
8,000	09/29/11	49.6700	397.36	57.81	462.48	65.12	6.40	1.38%
60,000	10/04/11	48.7800	2,926.80	57.81	3,468.60	541.80	48.00	1.38%
91,000	Total		\$4,446.14		\$5,260.71	\$814.57	\$72.80	
EDWARDS LIFESCIENCES CORP COM								
CUSIP: 28176E108								
Dividend Option: Cash								
9,000	08/23/11	81.5430	733.89	70.7000	636.30	-97.59		
87 day(s) added to your holding period as a result of a wash sale.								
3,000	09/29/11	82.8970	248.69	70.7000	212.10	-36.59		
87 day(s) added to your holding period as a result of a wash sale.								
7,000	09/29/11	82.1260	574.88	70.7000	494.90	-79.98		
85 day(s) added to your holding period as a result of a wash sale.								
4,000	09/30/11	85.0550	340.22	70.7000	282.80	-57.42		
85 day(s) added to your holding period as a result of a wash sale.								
45,000	10/04/11	68.2900	3,073.05	70.7000	3,181.50	108.45	\$0.00	
68,000	Total		\$4,970.73		\$4,807.60	-\$163.13		
ELDORADO GOLD CORP NEW COM								
ISIN#CA2849021035								
CUSIP: 284902103								
Dividend Option: Cash								
3,000	03/14/11	15.0800	45.24	13.7100	41.13	-4.11	0.37	
14,000	10/04/11	15.6400	218.96	13.7100	191.94	-27.02	1.71	
19,000	12/01/11	18.1690	345.21	13.7100	260.49	-84.72	2.32	
11,000	12/13/11	15.8270	174.10	13.7100	150.81	-23.29	1.33	
47,000	Total		\$783.51		\$644.37	-\$139.14	\$5.73	
ENSCO PLC SPONSORED ADR								
ISIN#US29358Q1094								
CUSIP: 29358Q109								
Dividend Option: Cash								
3,000	08/05/11	51.0030	153.01	46.9200	140.76	-12.25	4.20	2.98%
81 day(s) added to your holding period as a result of a wash sale.								
2,000	09/29/11	46.8700	93.74	46.9200	93.84	0.10	2.80	2.98%
81 day(s) added to your holding period as a result of a wash sale.								
3,000	09/29/11	47.9470	143.84	46.9200	140.76	-3.08	4.20	2.98%
28 day(s) added to your holding period as a result of a wash sale.								



HSBC Securities (USA) Inc
452 Fifth Avenue
New York, NY 10018
800-662-5343

Brokerage Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
ENSCO PLC SPONSORED ADR (continued)								
14,000	10/04/11	38.5200	539.28	46.9200	656.88	117.60	19.60	2.98%
22,000	Total		\$929.87		\$1,032.24	\$102.37	\$30.80	
ENTERGY CORP NEW COM								
CUSIP: 29364G103								
Dividend Option: Cash								
1,000	05/03/11	69.7400	69.74	73.0500	73.05	3.31	3.32	4.54%
3,000	09/29/11	82.6130	247.84	73.0500	219.15	-28.69	9.96	4.54%
687 day(s) added to your holding period as a result of a wash sale.								
6,000	10/04/11	63.3100	379.86	73.0500	438.30	58.44	19.92	4.54%
10,000	Total		\$697.44		\$730.50	\$33.06	\$33.20	
EXELON CORP COM								
CUSIP: 30161N101								
Dividend Option: Cash								
7,000	11/04/11	43.7670	306.37	43.3700	303.59	-2.78	14.70	4.84%
7,000	12/15/11	43.2070	302.45	43.3700	303.59	1.14	14.70	4.84%
14,000	Total		\$608.82		\$607.18	-\$1.64	\$29.40	
EXPRESS SCRIPTS INC COM								
CUSIP: 302182100								
Dividend Option: Cash								
21,000	09/15/10*	46.4600	975.66	44.6900	938.49	-37.17		
11,000	09/29/11	38.8580	427.44	44.6900	491.59	64.15		
352 day(s) added to your holding period as a result of a wash sale.								
66,000	10/04/11	35.6300	2,351.58	44.6900	2,949.54	597.96		
18,000	11/16/11	45.9500	827.10	44.6900	804.42	-22.68		
116,000	Total		\$4,581.78		\$5,184.04	\$602.26	\$0.00	
EXXON MOBIL CORP COM								
CUSIP: 30231G102								
Dividend Option: Cash								
20,000	03/10/11	82.1610	1,643.21	84.7600	1,695.20	51.99	37.60	2.21%
9,000	09/29/11	83.1990	748.79	84.7600	762.84	14.05	16.92	2.21%
176 day(s) added to your holding period as a result of a wash sale.								

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
EXXON MOBIL CORP COM (continued)								
14,000	09/29/11	73.3600	1,027.04	84.7600	1,186.64	159.60	26.32	2.21%
83,000	10/04/11	70.9500	5,888.85	84.7600	7,035.08	1,146.23	156.04	2.21%
126,000	Total		\$9,307.89		\$10,679.76	\$1,371.87	\$236.88	
FMC TECHNOLOGIES INC COM								
CUSIP: 30249UT01								
Dividend Option: Cash								
27,000	11/04/10	38.3900	1,036.53	52.2300	1,410.21	373.68		
33,000	09/29/11	39.2900	1,296.57	52.2300	1,723.59	427.02		
128,000	10/04/11	36.1970	4,633.23	52.2300	6,685.44	2,052.21		
188,000	Total		\$6,966.33		\$9,819.24	\$2,852.91	\$0.00	
FMC CORP NEW								
CUSIP: 302491303								
Dividend Option: Cash								
1,000	09/15/10	66.1800	66.18	86.0400	86.04	19.86	0.60	0.69%
3,000	09/29/11	72.2200	216.66	86.0400	258.12	41.46	1.80	0.69%
5,000	10/04/11	67.1100	335.55	86.0400	430.20	94.65	3.00	0.69%
9,000	Total		\$618.39		\$774.36	\$155.97	\$5.40	
FIFTH THIRD BANCORP COM								
CUSIP: 316773100								
Dividend Option: Cash								
13,000	08/29/11	14.9720	194.64	12.7200	165.36	-29.28	4.16	2.51%
263 day(s) added to your holding period as a result of a wash sale.								
7,000	09/29/11	14.9760	104.83	12.7200	89.04	-15.79	2.24	2.51%
263 day(s) added to your holding period as a result of a wash sale.								
13,000	09/29/11	13.7850	179.20	12.7200	165.36	-13.84	4.16	2.51%
122 day(s) added to your holding period as a result of a wash sale.								
45,000	10/04/11	9.9000	445.50	12.7200	572.40	126.90	14.40	2.51%
78,000	Total		\$924.17		\$992.16	\$67.99	\$24.96	
FIRST NIAGARA FINL GROUP INC NEW COM								
CUSIP: 33582V108								
Dividend Option: Cash								
11,000	09/29/11	10.8670	119.54	8.6300	94.93	-24.61	7.04	7.41%
352 day(s) added to your holding period as a result of a wash sale.								
3,000	09/29/11	11.1170	33.35	8.6300	25.89	-7.46	1.92	7.41%
330 day(s) added to your holding period as a result of a wash sale.								
17,000	10/04/11	11.3350	192.70	8.6300	146.71	-45.99	10.88	7.41%
38 day(s) added to your holding period as a result of a wash sale.								
8,000	10/04/11	10.3280	82.62	8.6300	69.04	-13.58	5.12	7.41%
7 day(s) added to your holding period as a result of a wash sale.								



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Brokerage

Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
FIRST NIAGARA FINL GROUP INC NEW COM (continued)								
54,000	10/04/11	8.6000	464.40	8.6300	466.02	1.62	34.56	7.41%
93,000	Total		\$892.61		\$802.59	-\$90.02	\$59.52	
FLUOR CORP NEW COM								
CUSIP: 343412102								
Dividend Option: Cash								
15,000	09/15/10*	48.9400	734.10	50.2500	753.75	19.65	7.50	0.99%
19,000	09/29/11	49.2500	935.75	50.2500	954.75	19.00	9.50	0.99%
65,000	10/04/11	46.7200	3,036.80	50.2500	3,266.25	229.45	32.50	0.99%
99,000	Total		\$4,706.65		\$4,974.75	\$268.10	\$49.50	
FOREST LABS INC COM								
CUSIP: 345838106								
Dividend Option: Cash								
3,000	05/17/10*	26.2700	78.81	30.2600	90.78	11.97		
6,000	09/29/11	31.2700	187.62	30.2600	181.56	-6.06		
10,000	10/04/11	29.9800	299.80	30.2600	302.60	2.80		
19,000	Total		\$566.23		\$574.94	\$8.71	\$0.00	
FOREST OIL CORP COM								
CUSIP: 346091705								
Dividend Option: Cash								
25,000	10/04/11	6.8250	170.63	13.5500	338.75	168.12		
FRANKLIN RESOURCES INC								
CUSIP: 354613101								
Dividend Option: Cash								
7,000	10/29/10*	115.0200	805.14	96.0600	672.42	-132.72	7.56	1.12%
10,000	09/29/11	100.2220	1,002.22	96.0600	960.60	-41.62	10.80	1.12%
308 day(s) added to your holding period as a result of a wash sale.								
37,000	10/04/11	91.7300	3,394.01	96.0600	3,554.22	160.21	39.96	1.12%
54,000	Total		\$5,201.37		\$5,187.24	-\$14.13	\$58.32	



Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
FREEPORT-MCMORAN COPPER & GOLD INC CL								
B								
CUSIP: 35671D857			Security Identifier: FCX					
Dividend Option: Cash								
6,000	10/04/11	31.6130	189.68	36.7900	220.74	31.06	6.00	2.71%
7 day(s) added to your holding period as a result of a wash sale.								
6,000	10/04/11	31.2600	187.56	36.7900	220.74	33.18	6.00	2.71%
12,000	Total		\$377.24		\$441.48	\$64.24	\$12.00	
GENERAL DYNAMICS CORP COM								
CUSIP: 369550108								
Dividend Option: Cash			Security Identifier: GD					
4,000	11/17/11	64.0980	256.39	66.4100	265.64	9.25	7.52	2.83%
GENERAL MILLS INC COM								
CUSIP: 370334104								
Dividend Option: Cash			Security Identifier: GIS					
6,000	05/16/11	39.4670	236.80	40.4100	242.46	5.66	7.32	3.01%
13,000	07/06/11	37.2070	483.69	40.4100	525.33	41.64	15.86	3.01%
7,000	08/29/11	37.3270	261.29	40.4100	282.87	21.58	8.54	3.01%
12,000	09/23/11	38.7500	465.00	40.4100	484.92	19.92	14.64	3.01%
58,000	09/29/11	38.9500	2,259.10	40.4100	2,343.78	84.68	70.76	3.01%
219,000	10/04/11	37.9370	8,308.22	40.4100	8,849.79	541.57	267.18	3.01%
315,000	Total		\$12,014.10		\$12,729.15	\$715.05	\$384.30	
GLOBAL PMTS INC COM								
CUSIP: 37940X102								
Dividend Option: Cash			Security Identifier: GPN					
1,000	09/15/10 *	40.5800	40.58	47.3800	47.38	6.80	0.08	0.16%
2,000	10/04/11	40.6200	81.24	47.3800	94.76	13.52	0.16	0.16%
372 day(s) added to your holding period as a result of a wash sale.								
7,000	10/04/11	39.7100	277.97	47.3800	331.66	53.69	0.56	0.16%
10,000	Total		\$399.79		\$473.80	\$74.01	\$0.80	
GOLDCORP INC NEW COM ISIN#CA3809564097								
CUSIP: 380956409								
Dividend Option: Cash			Security Identifier: GG					
2,000	09/15/10 *	42.7000	85.40	44.2500	88.50	3.10	1.08	
4,000	09/29/11	44.3300	177.32	44.2500	177.00	-0.32	2.16	
10,000	10/04/11	43.7500	437.50	44.2500	442.50	5.00	5.40	
16,000	Total		\$700.22		\$708.00	\$7.78	\$8.64	



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Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
GOLDMAN SACHS GROUP INC COM								
CUSIP: 38141G104	Security Identifier: GS							
Dividend Option: Cash								
14,000	05/17/11	139.5470	1,953.66	90.4300	1,266.02	-687.64	19.60	1.54%
13,000	09/29/11	127.4190	1,656.45	90.4300	1,175.59	-480.86	18.20	1.54%
408 day(s) added to your holding period as a result of a wash sale.								
6,000	09/29/11	133.5800	801.48	90.4300	542.58	-258.90	8.40	1.54%
350 day(s) added to your holding period as a result of a wash sale.								
68,000	10/04/11	89.1300	6,060.84	90.4300	6,149.24	88.40	95.20	1.54%
101,000	Total		\$10,472.43		\$9,133.43	-\$1,339.00	\$141.40	
GOOGLE INC CL A								
CUSIP: 38259P508	Security Identifier: GOOG							
Dividend Option: Cash								
2,000	11/12/10	603.9500	1,207.90	645.9000	1,291.80	83.90		
1,000	09/22/11	522.8700	522.87	645.9000	645.90	123.03		
343 day(s) added to your holding period as a result of a wash sale.								
3,000	09/29/11	605.9300	1,817.79	645.9000	1,937.70	119.91		
294 day(s) added to your holding period as a result of a wash sale.								
14,000	10/04/11	492.5800	6,896.12	645.9000	9,042.60	2,146.48		
20,000	Total		\$10,444.68		\$12,918.00	\$2,473.32	\$0.00	
GUESS INC COM								
CUSIP: 401617105	Security Identifier: GES							
Dividend Option: Cash								
2,000	08/29/11	33.4650	66.93	29.8200	59.64	-7.29	1.60	2.68%
12,000	10/04/11	27.1300	325.56	29.8200	357.84	32.28	9.60	2.68%
14,000	Total		\$392.49		\$417.48	\$24.99	\$11.20	
HASBRO INC COM								
CUSIP: 418056107	Security Identifier: HAS							
Dividend Option: Cash								
8,000	08/17/11	41.2800	330.24	31.8900	255.12	-75.12	9.60	3.76%
473 day(s) added to your holding period as a result of a wash sale.								
17,000	08/17/11	44.5810	757.87	31.8900	542.13	-215.74	20.40	3.76%

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Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
HASBRO INC COM (continued)								
352 day(s) added to your holding period as a result of a wash sale.								
6,000	09/14/11	44.3380	266.03	31.8900	191.34	-74.69	7.20	3.76%
352 day(s) added to your holding period as a result of a wash sale.								
14,000	09/29/11	40.6110	568.55	31.8900	446.46	-122.09	16.80	3.76%
352 day(s) added to your holding period as a result of a wash sale.								
14,000	09/29/11	46.3010	648.21	31.8900	446.46	-201.75	16.80	3.76%
268 day(s) added to your holding period as a result of a wash sale.								
11,000	09/29/11	42.8700	471.57	31.8900	350.79	-120.78	13.20	3.76%
93 day(s) added to your holding period as a result of a wash sale.								
148,000	10/04/11	32.1570	4,759.25	31.8900	4,719.72	-39.53	177.60	3.76%
218,000	Total		\$7,801.72		\$6,952.02	-\$849.70	\$261.60	
HEALTH NET INC COM								
CUSIP: 42222GT08								
Dividend Option: Cash								
2,000	05/17/10*	23.6500	47.30	30.4200	60.84	13.54		
11,000	10/04/11	22.2300	244.53	30.4200	334.62	90.09		
13,000	Total		\$291.83		\$395.46	\$103.63	\$0.00	
HESS CORP COM								
CUSIP: 42809H107								
Dividend Option: Cash								
1,000	08/29/11	76.1200	76.12	56.8000	56.80	-19.32	0.40	0.70%
28 day(s) added to your holding period as a result of a wash sale.								
2,000	08/29/11	58.4100	116.82	56.8000	113.60	-3.22	0.80	0.70%
28 day(s) added to your holding period as a result of a wash sale.								
1,000	09/29/11	56.0700	56.07	56.8000	56.80	0.73	0.40	0.70%
28 day(s) added to your holding period as a result of a wash sale.								
3,000	09/29/11	72.7230	218.17	56.8000	170.40	-47.77	1.20	0.70%
4 day(s) added to your holding period as a result of a wash sale.								
12,000	10/04/11	49.8000	597.60	56.8000	681.60	84.00	4.80	0.70%
19,000	Total		\$1,064.78		\$1,079.20	\$14.42	\$7.60	
HOLOGIC INC COM								
CUSIP: 436440101								
Dividend Option: Cash								
7,000	08/29/11	16.6770	116.74	17.5100	122.57	5.83		
352 day(s) added to your holding period as a result of a wash sale.								
6,000	09/29/11	16.1080	96.65	17.5100	105.06	8.41		
4 day(s) added to your holding period as a result of a wash sale.								
7,000	09/29/11	15.7000	109.90	17.5100	122.57	12.67		



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Account Statement

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Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
HOLOGIC INC COM (continued)								
27,000	10/04/11	14.4300	389.61	17.5100	472.77	83.16		
47,000	Total		\$712.90		\$822.97	\$110.07	\$0.00	
HONEYWELL INTL INC COM								
ISIN#US4385161066								
CUSIP: 438516106								
Dividend Option: Cash								
7,000	09/15/10 *	43.4800	304.36	54.3500	380.45	76.09	10.43	2.74%
11,000	07/06/11	59.6870	656.56	54.3500	597.85	-58.71	16.39	2.74%
21,000	09/29/11	44.9400	943.74	54.3500	1,141.35	197.61	31.29	2.74%
82,000	10/04/11	42.6900	3,500.58	54.3500	4,456.70	956.12	122.18	2.74%
121,000	Total		\$5,405.24		\$6,576.35	\$1,171.11	\$180.29	
HUNT J B TRANS SVCS INC COM								
CUSIP: 445658107								
Dividend Option: Cash								
1,000	05/17/10 *	35.7400	35.74	45.0700	45.07	9.33	0.52	1.15%
7,000	10/04/11	36.4200	254.94	45.0700	315.49	60.55	3.64	1.15%
8,000	Total		\$290.68		\$360.56	\$69.88	\$4.16	
ILLINOIS TOOL WORKS INC COM								
CUSIP: 452308109								
Dividend Option: Cash								
10,000	07/27/11	52.0950	520.95	46.7100	467.10	-53.85	14.40	3.08%
450 day(s) added to your holding period as a result of a wash sale.								
3,000	07/27/11	51.2670	153.80	46.7100	140.13	-13.67	4.32	3.08%
18,000	09/29/11	47.6080	856.94	46.7100	840.78	-16.16	25.92	3.08%
476 day(s) added to your holding period as a result of a wash sale.								
63,000	10/04/11	40.9900	2,582.37	46.7100	2,942.73	360.36	90.72	3.08%
94,000	Total		\$4,114.06		\$4,390.74	\$276.68	\$135.36	
INTEL CORP COM								
CUSIP: 458140100								
Dividend Option: Cash								
26,000	10/08/10 *	19.4990	506.97	24.2500	630.50	123.53	21.84	3.46%

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
INTEL CORP COM (continued)								
26,000	09/29/11	22.4000	582.40	24.2500	630.50	48.10	21.84	3.46%
111,000	10/04/11	20.9960	2,330.57	24.2500	2,691.75	361.18	93.24	3.46%
163,000	Total		\$3,419.94		\$3,952.75	\$532.81	\$136.92	
INTERCONTINENTAL EXCH ANGE INC COM								
CUSIP: 45865V100								
Dividend Option: Cash								
6,000	04/18/11	119.5900	717.54	120.5500	723.30	5.76		
7,000	09/29/11	125.8110	880.68	120.5500	843.85	-36.83		
473 day(s) added to your holding period as a result of a wash sale.								
26,000	10/04/11	117.0700	3,043.82	120.5500	3,134.30	90.48		
39,000	Total		\$4,642.04		\$4,701.45	\$59.41	\$0.00	
INTERNATIONAL BUSINESS MACHS CORP COM								
CUSIP: 459200101								
Dividend Option: Cash								
1,000	09/15/10*	129.8600	129.86	183.8800	183.88	54.02	3.00	1.63%
9,000	09/29/11	179.2600	1,613.34	183.8800	1,654.92	41.58	27.00	1.63%
33,000	10/04/11	171.1500	5,647.95	183.8800	6,068.04	420.09	99.00	1.63%
43,000	Total		\$7,391.15		\$7,906.84	\$515.69	\$129.00	
INTUITIVE SURGICAL INC COM NEW								
CUSIP: 46120E602								
Dividend Option: Cash								
2,000	01/21/11	330.1600	660.32	463.0100	926.02	265.70		
1,000	09/29/11	380.4700	380.47	463.0100	463.01	82.54		
6,000	10/04/11	360.1200	2,160.72	463.0100	2,778.06	617.34		
9,000	Total		\$3,201.51		\$4,167.09	\$965.58	\$0.00	
INTUIT INCORPORATED COM								
CUSIP: 461202103								
Dividend Option: Cash								
12,000	11/22/10*	45.5180	546.22	52.5900	631.08	84.86	7.20	1.14%
14,000	09/29/11	48.5100	679.14	52.5900	736.26	57.12	8.40	1.14%
51,000	10/04/11	46.1400	2,353.14	52.5900	2,682.09	328.95	30.60	1.14%
77,000	Total		\$3,578.50		\$4,049.43	\$470.93	\$46.20	
JP MORGAN CHASE & CO COM								
ISIN#US46625H1005								
CUSIP: 46625H100								
Dividend Option: Cash								
30,000	08/03/11	48.4160	1,452.47	33.2500	997.50	-454.97	30.00	3.00%
662 day(s) added to your holding period as a result of a wash sale.								



HSBC Securities (USA) Inc
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Account Statement

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Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
JP MORGAN CHASE & CO COM (continued)								
45,000	08/03/11	49.4140	2,223.63	33.2500	1,496.25	-727.38	45.00	3.00%
602 day(s) added to your holding period as a result of a wash sale.								
32,000	09/29/11	41.1770	1,317.65	33.2500	1,064.00	-253.65	32.00	3.00%
602 day(s) added to your holding period as a result of a wash sale.								
35,000	09/29/11	40.1990	1,406.95	33.2500	1,163.75	-243.20	35.00	3.00%
487 day(s) added to your holding period as a result of a wash sale.								
27,000	09/29/11	35.7570	965.43	33.2500	897.75	-67.68	27.00	3.00%
473 day(s) added to your holding period as a result of a wash sale.								
351,000	10/04/11	29.1170	10,220.10	33.2500	11,670.75	1,450.65	351.00	3.00%
520,000	Total		\$17,586.23		\$17,290.00	-\$296.23	\$520.00	
JACOBS ENGR GROUP INC COM								
CUSIP: 469814107								
Dividend Option: Cash								
4,000	08/29/11	36.3880	145.55	40.5800	162.32	16.77		
352 day(s) added to your holding period as a result of a wash sale.								
5,000	09/29/11	34.9520	174.76	40.5800	202.90	28.14		
352 day(s) added to your holding period as a result of a wash sale.								
21,000	10/04/11	32.2300	676.83	40.5800	852.18	175.35		
30,000	Total		\$997.14		\$1,217.40	\$220.26	\$0.00	
JOHNSON & JOHNSON COM								
CUSIP: 478160104								
Dividend Option: Cash								
27,000	12/08/10	62.3800	1,684.26	65.5800	1,770.66	86.40	61.56	3.47%
33,000	09/29/11	63.7900	2,105.07	65.5800	2,164.14	59.07	75.24	3.47%
116,000	10/04/11	61.3370	7,115.10	65.5800	7,607.28	492.18	264.48	3.47%
176,000	Total		\$10,904.43		\$11,542.08	\$637.65	\$401.28	
JOHNSON CTLS INC COM								
CUSIP: 478366107								
Dividend Option: Cash								
25,000	03/23/11	39.9980	999.95	31.2600	781.50	-218.45	18.00	2.30%
32,000	09/29/11	36.5160	1,168.52	31.2600	1,000.32	-168.20	23.04	2.30%

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Clearing through Pershing LLC, a subsidiary
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Pershing LLC, member FINRA, NYSE, SIPC

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
JOHNSON CTLS INC COM (continued)								
274 day(s) added to your holding period as a result of a wash sale.								
115,000	10/04/11	26.0870	3,000.02	31.2600	3,594.90	594.88	82.80	2.30%
172,000	Total		\$5,168.49		\$5,376.72	\$208.23	\$123.84	
Security Identifier: KBR								
KBR INC COM								
CUSIP: 48242W106								
Dividend Option: Cash								
2,000	09/29/11	25.0600	50.12	27.8700	55.74	5.62	0.40	0.71%
4,000	10/04/11	24.8230	99.29	27.8700	111.48	12.19	0.80	0.71%
7 day(s) added to your holding period as a result of a wash sale.								
13,000	10/04/11	21.9300	285.09	27.8700	362.31	77.22	2.60	0.71%
19,000	Total		\$434.50		\$529.53	\$95.03	\$3.80	
Security Identifier: KSU								
KANSAS CITY SOUTHN COM NEW								
CUSIP: 485170302								
Dividend Option: Cash								
4,000	09/29/11	51.3600	205.44	68.0100	272.04	66.60		
8,000	10/04/11	49.7900	398.32	68.0100	544.08	145.76		
12,000	Total		\$603.76		\$816.12	\$212.36	\$0.00	
Security Identifier: KDN								
KAYDON CORP								
CUSIP: 486587108								
Dividend Option: Cash								
2,000	05/03/11	38.3500	76.70	30.5000	61.00	-15.70	1.60	2.62%
13,000	10/04/11	27.7000	360.10	30.5000	396.50	36.40	10.40	2.62%
15,000	Total		\$436.80		\$457.50	\$20.70	\$12.00	
Security Identifier: KEX								
KIRBY CORP COM								
CUSIP: 497266106								
Dividend Option: Cash								
1,000	09/15/10 *	38.4300	38.43	65.8400	65.84	27.41		
4,000	10/04/11	51.5900	206.36	65.8400	263.36	57.00		
5,000	Total		\$244.79		\$329.20	\$84.41	\$0.00	
Security Identifier: KSS								
KOHL'S CORP COM								
CUSIP: 500255104								
Dividend Option: Cash								
3,000	10/04/11	50.1070	150.32	49.3500	148.05	-2.27	3.00	2.02%
170 day(s) added to your holding period as a result of a wash sale.								
4,000	10/04/11	54.2180	216.87	49.3500	197.40	-19.47	4.00	2.02%
21 day(s) added to your holding period as a result of a wash sale.								
4,000	10/04/11	49.2500	197.00	49.3500	197.40	0.40	4.00	2.02%



HSBC Securities (USA) Inc
452 Fifth Avenue
New York, NY 10018
800-662-3343

Brokerage

Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
KOHL'S CORP COM (continued)								
4,000	10/06/11	50.0280	200.11	49.3500	197.40	-2.71	4.00	2.02%
15,000	Total		\$764.30		\$740.25	-\$24.05	\$15.00	
KROGER CO								
CUSIP: 501044101								
Dividend Option: Cash								
2,000	09/15/10*	21.3600	42.72	24.2200	48.44	5.72	0.92	1.89%
12,000	10/04/11	21.7200	260.64	24.2200	290.64	30.00	5.52	1.89%
14,000	Total		\$303.36		\$339.08	\$35.72	\$6.44	
LABORATORY CORP AMER HLDGS COM NEW								
CUSIP: 50540R409								
Dividend Option: Cash								
1,000	08/29/11	82.5000	82.50	85.9700	85.97	3.47		
1,000	09/29/11	81.8800	81.88	85.9700	85.97	4.09		
4 day(s) added to your holding period as a result of a wash sale.								
1,000	09/29/11	81.1500	81.15	85.9700	85.97	4.82		
8,000	10/04/11	77.1900	617.52	85.9700	687.76	70.24		
11,000	Total		\$863.05		\$945.67	\$82.62	\$0.00	
LAS VEGAS SANDS CORP COM								
CUSIP: 517834107								
Dividend Option: Cash								
19,000	03/02/11	44.1790	839.40	42.7300	811.87	-27.53		
4,000	09/22/11	47.2250	188.90	42.7300	170.92	-17.98		
203 day(s) added to your holding period as a result of a wash sale.								
29,000	09/29/11	42.5770	1,234.73	42.7300	1,239.17	4.44		
203 day(s) added to your holding period as a result of a wash sale.								
103,000	10/04/11	38.4670	3,962.11	42.7300	4,401.19	439.08		
155,000	Total		\$6,225.14		\$6,623.15	\$398.01	\$0.00	
ESTEE LAUDER COMPANIES INC CL A								
CUSIP: 518439104								
Dividend Option: Cash								
5,000	09/15/10*	59.3800	296.90	112.3200	561.60	264.70	5.25	0.93%

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FOR COMMUNICATION

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Pershing LLC, member FINRA, NYSE, SIPC

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
ESTEE LAUDER COMPANIES INC CL A (continued)								
9,000	09/29/11	90.0200	810.18	112.3200	1,010.88	200.70	9.45	0.93%
27,000	10/04/11	86.0200	2,322.54	112.3200	3,032.64	710.10	28.35	0.93%
41,000	Total		\$3,429.62		\$4,605.12	\$1,175.50	\$43.05	
LENNAR CORP CL A COM STK								
CUSIP: 526057104			Security Identifier: LEN					
Dividend Option: Cash								
3,000	09/15/10	14.6200	43.86	19.6500	58.95	15.09	0.48	0.81%
16,000	10/04/11	12.7100	203.36	19.6500	314.40	111.04	2.56	0.81%
19,000	Total		\$247.22		\$373.35	\$126.13	\$3.04	
LIFE TECHNOLOGIES CORP COM								
CUSIP: 53217V109			Security Identifier: LIFE					
Dividend Option: Cash								
4,000	08/29/11	47.3180	189.27	38.9100	155.64	-33.63		
352 day(s) added to your holding period as a result of a wash sale.								
6,000	09/29/11	45.0620	270.37	38.9100	233.46	-36.91		
352 day(s) added to your holding period as a result of a wash sale.								
15,000	10/04/11	37.3100	559.65	38.9100	583.65	24.00		
25,000	Total		\$1,019.29		\$972.75	-\$46.54	\$0.00	
LINKEDIN CORP CL A								
CUSIP: 53578A108			Security Identifier: LNKD					
Dividend Option: Cash								
21,000	11/18/11	73.4620	1,542.71	63.0100	1,323.21	-219.50		
7,000	11/25/11	64.7170	453.02	63.0100	441.07	-11.95		
28,000	Total		\$1,995.73		\$1,764.28	-\$231.45	\$0.00	
LOCKHEED MARTIN CORP COM								
CUSIP: 539830109			Security Identifier: LMT					
Dividend Option: Cash								
33,000	07/06/11	81.2480	2,681.19	80.9000	2,669.70	-11.49	132.00	4.94%
39,000	09/29/11	82.3080	3,210.03	80.9000	3,155.10	-54.93	156.00	4.94%
473 day(s) added to your holding period as a result of a wash sale.								
144,000	10/04/11	71.4970	10,295.58	80.9000	11,649.60	1,354.02	576.00	4.94%
216,000	Total		\$16,186.80		\$17,474.40	\$1,287.60	\$864.00	
SLONE PINE RES INC COM								
CUSIP: 54222A106			Security Identifier: LPR					
Dividend Option: Cash								
23,000	N/A	N/A	N/A	7.0100	161.23	N/A		



HSBC Securities (USA) Inc
452 Fifth Avenue
New York, NY 10018
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Brokerage

Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
M D C HLDGS INC FORMERLY M D C CORP								
Security Identifier: MDC								
COLO TO 6/20/85 COM								
CUSIP: 552676108								
Dividend Option: Cash								
3,000	08/29/11	28.3570	85.07	17.6300	52.89	-32.18	3.00	5.67%
435 day(s) added to your holding period as a result of a wash sale.								
2,000	08/29/11	29.7450	59.49	17.6300	35.26	-24.23	2.00	5.67%
352 day(s) added to your holding period as a result of a wash sale.								
26,000	10/04/11	15.2900	397.54	17.6300	458.38	60.84	26.00	5.67%
31,000	Total		\$542.10		\$546.53	\$4.43	\$31.00	
MACYS INC COM								
Security Identifier: M								
CUSIP: 55616P104								
Dividend Option: Cash								
3,000	05/13/11	28.3570	85.07	32.1800	96.54	11.47	1.20	1.24%
16,000	10/04/11	25.9500	415.20	32.1800	514.88	99.68	6.40	1.24%
19,000	Total		\$500.27		\$611.42	\$111.15	\$7.60	
MATTEL INC COM								
Security Identifier: MAT								
CUSIP: 577081102								
Dividend Option: Cash								
2,000	09/15/10	21.9500	43.90	27.7600	55.52	11.62	1.84	3.31%
9,000	10/04/11	24.9700	224.73	27.7600	249.84	25.11	8.28	3.31%
11,000	Total		\$268.63		\$305.36	\$36.73	\$10.12	
MCKESSON CORP COM								
Security Identifier: MCK								
CUSIP: 58155Q103								
Dividend Option: Cash								
2,000	10/10/11	72.5900	145.18	77.9100	155.82	10.64	1.60	1.02%
2,000	10/17/11	73.3900	146.78	77.9100	155.82	9.04	1.60	1.02%
4,000	Total		\$291.96		\$311.64	\$19.68	\$3.20	
MEDTRONIC INC								
Security Identifier: MDT								
CUSIP: 585055106								
Dividend Option: Cash								
33,000	07/06/11	37.9470	1,252.25	38.2500	1,262.25	10.00	32.01	2.53%



Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
MEDTRONIC INC (continued)								
23,000	09/29/11	42.5260	978.10	38.2500	879.75	-98.35	22.31	2.53%
473 day(s) added to your holding period as a result of a wash sale.								
18,000	09/29/11	36.2670	652.80	38.2500	688.50	35.70	17.46	2.53%
380 day(s) added to your holding period as a result of a wash sale.								
155,000	10/04/11	31.7370	4,919.25	38.2500	5,928.75	1,009.50	150.35	2.53%
229,000	Total		\$7,802.40		\$8,759.25	\$956.85	\$222.13	
METLIFE INC COM								
CUSIP: 59156R108								
Dividend Option: Cash								
43,000	01/19/11	45.7970	1,969.29	31.1800	1,340.74	-628.55	31.82	2.37%
53,000	09/29/11	36.3160	1,924.73	31.1800	1,652.54	-272.19	39.22	2.37%
687 day(s) added to your holding period as a result of a wash sale.								
199,000	10/04/11	26.4870	5,270.93	31.1800	6,204.82	933.89	147.26	2.37%
295,000	Total		\$9,164.95		\$9,198.10	\$33.15	\$218.30	
MICROSOFT CORP COM								
CUSIP: 594918104								
Dividend Option: Cash								
2,000	05/03/11	25.5650	51.13	25.9600	51.92	0.79	1.60	3.08%
10,000	10/04/11	24.9300	249.30	25.9600	259.60	10.30	8.00	3.08%
12,000	Total		\$300.43		\$311.52	\$11.09	\$9.60	
MOHAWK INDUSTRIES INC								
CUSIP: 608190104								
Dividend Option: Cash								
6,000	10/04/11	42.9300	257.58	59.8500	359.10	101.52		
MOLSON COORS BREWING CO CL B NON VTG STK								
ISIN# US60871R2094								
CUSIP: 60871R209								
Dividend Option: Cash								
5,000	03/22/11	44.3680	221.84	43.5400	217.70	-4.14	6.40	2.93%
1,000	09/29/11	41.4500	41.45	43.5400	43.54	2.09	1.28	2.93%
662 day(s) added to your holding period as a result of a wash sale.								
5,000	09/29/11	39.8000	199.00	43.5400	217.70	18.70	6.40	2.93%
539 day(s) added to your holding period as a result of a wash sale.								
21,000	10/04/11	38.8800	816.48	43.5400	914.34	97.86	26.88	2.93%
32,000	Total		\$1,278.77		\$1,393.28	\$114.51	\$40.96	
MONSANTO CO NEW COM								
CUSIP: 61166W101								
Dividend Option: Cash								
12,000	08/30/11	70.3800	844.56	70.0700	840.84	-3.72	14.40	1.71%



HSBC Securities (USA) Inc
452 Fifth Avenue
New York, NY 10018
800-662-3313

Brokerage

Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
MONSANTO CO NEW COM (continued)								
20,000	09/29/11	72.3440	1,446.88	70.0700	1,401.40	-45.48	24.00	1.71%
3 day(s) added to your holding period as a result of a wash sale.								
76,000	10/04/11	60.8300	4,623.08	70.0700	5,325.32	702.24	91.20	1.71%
108,000	Total		\$6,914.52		\$7,567.56	\$653.04	\$129.60	
MOSAIC CO NEW COM								
CUSIP: 61945C103								
Dividend Option: Cash								
1,000	08/29/11	72.4400	72.44	50.4300	50.43	-22.01	0.20	0.39%
3,000	09/29/11	55.9630	167.89	50.4300	151.29	-16.60	0.60	0.39%
4 day(s) added to your holding period as a result of a wash sale.								
9,000	10/04/11	47.1600	424.44	50.4300	453.87	29.43	1.80	0.39%
13,000	Total		\$664.77		\$655.59	-\$9.18	\$2.60	
MYRIAD GENETICS INC								
CUSIP: 62855J104								
Dividend Option: Cash								
2,000	09/15/10*	16.6700	33.34	20.9400	41.88	8.54		
11,000	10/04/11	18.8100	206.91	20.9400	230.34	23.43		
13,000	Total		\$240.25		\$272.22	\$31.97	\$0.00	
NATIONAL OILWELL VARCO INC								
CUSIP: 637071101								
Dividend Option: Cash								
1,000	05/18/11	67.5700	67.57	67.9900	67.99	0.42	0.48	0.70%
5,000	10/04/11	50.6500	253.25	67.9900	339.95	86.70	2.40	0.70%
3,000	10/06/11	59.2500	177.75	67.9900	203.97	26.22	1.44	0.70%
9,000	Total		\$498.57		\$611.91	\$113.34	\$4.32	
NESTLE SA SPONSORED ADRS REGISTERED								
CUSIP: 641069406								
Dividend Option: Cash								
10,000	09/15/10*	52.9000	529.00	57.7480	577.48	48.48	17.67	3.05%
3,000	07/06/11	62.8300	188.49	57.7480	173.24	-15.25	5.30	3.05%
17,000	09/29/11	56.1800	955.06	57.7480	981.72	26.66	30.03	3.05%

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Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
NESTLE SA SPONSORED ADRS REGISTERED (continued)								
56,000	10/04/11	54.6800	3,062.08	57.7480	3,233.89	171.81	98.92	3.05%
86,000	Total		\$4,734.63		\$4,966.33	\$231.70	\$151.92	
NETAPP INC COM								
CUSIP: 64110D104								
Dividend Option: Cash								
2,000	11/12/10*	53.7400	107.48	36.2700	72.54	-34.94		
8,000	08/29/11	38.3440	306.75	36.2700	290.16	-16.59		
423 day(s) added to your holding period as a result of a wash sale.								
4,000	08/29/11	49.9250	199.70	36.2700	145.08	-54.62		
352 day(s) added to your holding period as a result of a wash sale.								
14,000	09/29/11	47.6880	667.63	36.2700	507.78	-159.85		
352 day(s) added to your holding period as a result of a wash sale.								
56,000	10/04/11	34.5300	1,933.68	36.2700	2,031.12	97.44		
28,000	11/18/11	35.0370	981.04	36.2700	1,015.56	34.52		
112,000	Total		\$4,196.28		\$4,062.24	-\$134.04	\$0.00	
NEWMONT MING CORP COM								
CUSIP: 651639106								
Dividend Option: Cash								
1,000	08/29/11	62.3200	62.32	60.0100	60.01	-2.31	1.40	2.33%
3,000	09/29/11	61.3500	184.05	60.0100	180.03	-4.02	4.20	2.33%
5,000	10/04/11	61.6400	308.20	60.0100	300.05	-8.15	7.00	2.33%
9,000	Total		\$554.57		\$540.09	-\$14.48	\$12.60	
NOBLE ENERGY INC COM								
CUSIP: 655044105								
Dividend Option: Cash								
11,000	10/04/11	69.5200	764.72	94.3900	1,038.29	273.57	9.68	0.93%
NORTHROP GRUMMAN CORP COM								
CUSIP: 666807102								
Dividend Option: Cash								
14,000	09/15/10*	52.4640	734.50	58.4800	818.72	84.22	28.00	3.41%
12,000	09/29/11	59.0400	708.48	58.4800	701.76	-6.72	24.00	3.41%
473 day(s) added to your holding period as a result of a wash sale.								
5,000	09/29/11	53.9420	269.71	58.4800	292.40	22.69	10.00	3.41%
352 day(s) added to your holding period as a result of a wash sale.								
60,000	10/04/11	51.0500	3,063.00	58.4800	3,508.80	445.80	120.00	3.41%
91,000	Total		\$4,775.69		\$5,321.68	\$545.99	\$182.00	



HSBC Securities (USA) Inc
452 Fifth Avenue
New York, NY 10018
800-662-3343

Brokerage Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
NOVARTIS AG SPONSORED ADR								
CUSIP: 66987V109			<i>Security Identifier: NVS</i>					
Dividend Option: Cash								
2,000	05/03/11	59.5800	119.16	57.1700	114.34	-4.82	4.01	3.50%
7,000	10/04/11	54.5900	382.13	57.1700	400.19	18.06	14.03	3.50%
4,000	10/06/11	55.9000	223.60	57.1700	228.68	5.08	8.02	3.50%
13,000	Total		\$724.89		\$743.21	\$18.32	\$26.06	
O REILLY AUTOMOTIVE INC NEW								
COM			<i>Security Identifier: ORLY</i>					
CUSIP: 67103H107								
Dividend Option: Cash								
4,000	09/15/10*	51.1400	204.56	79.9500	319.80	115.24		
5,000	11/12/10*	59.4200	297.10	79.9500	399.75	102.65		
11,000	09/29/11	67.4300	741.73	79.9500	879.45	137.72		
42,000	10/04/11	66.0200	2,772.84	79.9500	3,357.90	585.06		
62,000	Total		\$4,016.23		\$4,956.90	\$940.67	\$0.00	
OCCIDENTAL PETE CORP COM								
CUSIP: 674599105			<i>Security Identifier: OXY</i>					
Dividend Option: Cash								
24,000	08/31/11	93.0840	2,234.01	93.7000	2,248.80	14.79	44.16	1.96%
273 day(s) added to your holding period as a result of a wash sale.								
3,000	09/29/11	82.4770	247.43	93.7000	281.10	33.67	5.52	1.96%
273 day(s) added to your holding period as a result of a wash sale.								
24,000	09/29/11	86.6670	2,080.00	93.7000	2,248.80	168.80	44.16	1.96%
259 day(s) added to your holding period as a result of a wash sale.								
110,000	10/04/11	70.0270	7,702.98	93.7000	10,307.00	2,604.02	202.40	1.96%
161,000	Total		\$12,264.42		\$15,085.70	\$2,821.28	\$296.24	
OLD REPUBLIC INTL CORP								
CUSIP: 680223104			<i>Security Identifier: ORI</i>					
Dividend Option: Cash								
3,000	09/29/11	10.5670	31.70	9.2700	27.81	-3.89	2.10	7.55%
662 day(s) added to your holding period as a result of a wash sale.								

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Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
OLD REPUBLIC INTL CORP (continued)								
6,000	09/29/11	13.0670	78.40	9.2700	55.62	-22.78	4.20	7.55%
352 day(s) added to your holding period as a result of a wash sale.								
10,000	10/04/11	10.8030	108.03	9.2700	92.70	-15.33	7.00	7.55%
60 day(s) added to your holding period as a result of a wash sale.								
14,000	10/04/11	9.9960	139.94	9.2700	129.78	-10.16	9.80	7.55%
29 day(s) added to your holding period as a result of a wash sale.								
12,000	10/04/11	8.5000	102.00	9.2700	111.24	9.24	8.40	7.55%
45,000	Total		\$460.07		\$417.15	-\$42.92	\$31.50	
ORACLE CORP COM								
CUSIP: 68389X105								
Dividend Option: Cash								
13,000	04/13/11	33.6680	437.68	25.6500	333.45	-104.23	3.12	0.93%
100,000	06/29/11	32.5900	3,259.00	25.6500	2,565.00	-694.00	24.00	0.93%
131,000	09/29/11	30.0930	3,942.21	25.6500	3,360.15	-582.06	31.44	0.93%
338 day(s) added to your holding period as a result of a wash sale.								
502,000	10/04/11	27.9270	14,019.40	25.6500	12,876.30	-1,143.10	120.48	0.93%
746,000	Total		\$21,658.29		\$19,134.90	-\$2,523.39	\$179.04	
PG & E CORP								
CUSIP: 69331C108								
Dividend Option: Cash								
7,000	10/04/11	40.7900	285.53	41.2200	288.54	3.01	12.74	4.41%
PNC FINL SVCS GROUP INC COM								
CUSIP: 693475105								
Dividend Option: Cash								
19,000	08/31/11	66.0980	1,255.86	57.6700	1,095.73	-160.13	26.60	2.42%
113 day(s) added to your holding period as a result of a wash sale.								
21,000	09/29/11	65.3480	1,372.31	57.6700	1,211.07	-161.24	29.40	2.42%
113 day(s) added to your holding period as a result of a wash sale.								
5,000	09/29/11	64.2960	321.48	57.6700	288.35	-33.13	7.00	2.42%
108 day(s) added to your holding period as a result of a wash sale.								
96,000	10/04/11	45.8300	4,399.68	57.6700	5,536.32	1,136.64	134.40	2.42%
141,000	Total		\$7,349.33		\$8,131.47	\$782.14	\$197.40	
PPG INDUSTRIES INC								
CUSIP: 693506107								
Dividend Option: Cash								
15,000	02/25/11	87.4580	1,311.87	83.4900	1,252.35	-59.52	34.20	2.73%
18,000	09/29/11	86.3670	1,554.60	83.4900	1,502.82	-51.78	41.04	2.73%
189 day(s) added to your holding period as a result of a wash sale.								



HSBC Securities (USA) Inc.
452 Fifth Avenue
New York, NY 10018
800-682-3343

Brokerage

Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
PPG INDUSTRIES INC (continued)								
67,000	10/04/11	69.5200	4,657.84	83.4900	5,593.83	935.99	152.76	2.73%
100,000	Total		\$7,524.31		\$8,349.00	\$824.69	\$228.00	
PPL CORP COM								
CUSIP: 69351T106								
Dividend Option: Cash								
4,000	05/03/11	27.6400	110.56	29.4200	117.68	7.12	5.60	4.75%
7,000	09/29/11	28.9500	202.65	29.4200	205.94	3.29	9.80	4.75%
13,000	10/04/11	27.1800	353.34	29.4200	382.46	29.12	18.20	4.75%
8,000	10/06/11	27.9080	223.26	29.4200	235.36	12.10	11.20	4.75%
32,000	Total		\$889.81		\$941.44	\$51.63	\$44.80	
PACKAGING CORP AMER COM								
CUSIP: 695156109								
Dividend Option: Cash								
3,000	01/05/11	26.7300	80.19	25.2400	75.72	-4.47	2.40	3.16%
19,000	10/04/11	22.4800	427.12	25.2400	479.56	52.44	15.20	3.16%
22,000	Total		\$507.31		\$555.28	\$47.97	\$17.60	
PALL CORP								
CUSIP: 696429307								
Dividend Option: Cash								
2,000	09/22/11	41.1800	82.36	57.1500	114.30	31.94	1.40	1.22%
7,000	10/04/11	41.6600	291.62	57.1500	400.05	108.43	4.90	1.22%
9,000	Total		\$373.98		\$514.35	\$140.37	\$6.30	
PATTERSON COS INC COM								
CUSIP: 703395103								
Dividend Option: Cash								
1,000	09/01/11	29.2200	29.22	29.5200	29.52	0.30	0.48	1.62%
1,000	09/22/11	27.9500	27.95	29.5200	29.52	1.57	0.48	1.62%
1 day(s) added to your holding period as a result of a wash sale.								
12,000	10/04/11	27.5200	330.24	29.5200	354.24	24.00	5.76	1.62%
14,000	Total		\$387.41		\$413.28	\$25.87	\$6.72	

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HSBC

Account Number: HMP-010436
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#1 Brokerage Statement,
2009, 2010
DALBAR RATED
FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary
of The Bank of New York Mellon Corporation
Pershing LLC, member FINRA NYSP SIPC

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
PEABODY ENERGY CORP COM								
CUSIP: 704549104			Security Identifier: BTU					
Dividend Option: Cash								
54,000	10/04/11	32.4500	1,752.30	33.1100	1,787.94	35.64	18.36	1.02%
PEOPLES UTD FINL INC COM								
CUSIP: 712704105			Security Identifier: PBCT					
Dividend Option: Cash								
7,000	09/15/10 *	13.1600	92.12	12.8500	89.95	-2.17	4.41	4.90%
36,000	10/04/11	11.1100	399.96	12.8500	462.60	62.64	22.68	4.90%
43,000	Total		\$492.08		\$552.55	\$60.47	\$27.09	
PEPSICO INC COM								
CUSIP: 713448108			Security Identifier: PEP					
Dividend Option: Cash								
11,000	09/15/10 *	66.2600	728.86	66.3500	729.85	0.99	22.66	3.10%
13,000	09/29/11	65.9780	857.72	66.3500	862.55	4.83	26.78	3.10%
473 day(s) added to your holding period as a result of a wash sale.								
47,000	10/04/11	59.6100	2,801.67	66.3500	3,118.45	316.78	96.82	3.10%
71,000	Total		\$4,388.25		\$4,710.85	\$322.60	\$146.26	
PERKINELMER INC COM								
CUSIP: 714046109			Security Identifier: PKI					
Dividend Option: Cash								
4,000	08/29/11	23.5800	94.32	20.0000	80.00	-14.32	1.12	1.40%
473 day(s) added to your holding period as a result of a wash sale.								
8,000	09/29/11	20.2100	161.68	20.0000	160.00	-1.68	2.24	1.40%
473 day(s) added to your holding period as a result of a wash sale.								
3,000	09/29/11	20.0500	60.15	20.0000	60.00	-0.15	0.84	1.40%
352 day(s) added to your holding period as a result of a wash sale.								
21,000	10/04/11	18.9900	398.79	20.0000	420.00	21.21	5.88	1.40%
36,000	Total		\$714.94		\$720.00	\$5.06	\$10.08	
PERRIGO COMPANY								
CUSIP: 714290103			Security Identifier: PRGO					
Dividend Option: Cash								
2,000	09/29/11	98.4800	196.96	97.3000	194.60	-2.36	0.64	0.32%
24,000	10/04/11	92.2700	2,214.48	97.3000	2,335.20	120.72	7.68	0.32%
26,000	Total		\$2,411.44		\$2,529.80	\$118.36	\$8.32	
PFIZER INC COM								
CUSIP: 717081103			Security Identifier: PFE					
Dividend Option: Cash								
65,000	01/05/11	17.9680	1,167.92	21.6400	1,406.60	238.68	57.20	4.06%



HSBC Securities (USA) Inc
452 Fifth Avenue
New York, NY 10018
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Brokerage Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
PFIZER INC COM (continued)								
19,000	07/06/11	20.8370	395.90	21.6400	411.16	15.26	16.72	4.06%
104,000	09/29/11	17.9270	1,864.42	21.6400	2,250.56	386.14	91.52	4.06%
366,000	10/04/11	17.2670	6,319.76	21.6400	7,920.24	1,600.48	322.08	4.06%
554,000	Total		\$9,748.00		\$11,988.56	\$2,240.56	\$487.52	
PHILIP MORRIS INTL INC COM								
CUSIP: 718172109								
Dividend Option: Cash								
23,000	09/29/10	56.1680	1,291.87	78.4800	1,805.04	513.17	70.84	3.92%
5,000	07/06/11	68.2700	341.35	78.4800	392.40	51.05	15.40	3.92%
45,000	09/29/11	64.2000	2,889.00	78.4800	3,531.60	642.60	138.60	3.92%
162,000	10/04/11	61.7070	9,996.55	78.4800	12,713.76	2,717.21	498.96	3.92%
235,000	Total		\$14,518.77		\$18,442.80	\$3,924.03	\$723.80	
PLAINS EXPL & PRODTN CO COM								
CUSIP: 726505100								
Dividend Option: Cash								
1,000	10/04/11	30.1100	30.11	36.7200	36.72	6.61		
189 day(s) added to your holding period as a result of a wash sale.								
4,000	10/04/11	25.9980	103.99	36.7200	146.88	42.89		
18 day(s) added to your holding period as a result of a wash sale.								
11,000	10/04/11	20.8500	229.35	36.7200	403.92	174.57		
16,000	Total		\$363.45		\$587.52	\$224.07	\$0.00	
POLYCOM INC COM								
CUSIP: 73172K104								
Dividend Option: Cash								
14,000	12/15/11	16.0990	225.39	16.3000	228.20	2.81		
PRECISION CASTPARTS CORP								
CUSIP: 740189105								
Dividend Option: Cash								
3,000	12/20/11	163.4200	490.26	164.7900	494.37	4.11	0.36	0.07%
4,000	12/22/11	162.6900	650.76	164.7900	659.16	8.40	0.48	0.07%
7,000	Total		\$1,141.02		\$1,153.53	\$12.51	\$0.84	

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HSBC

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1st Brokerage Statement,
2009, 2010
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FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary
of The Bank of New York Mellon Corporation
Pershing LLC, member FINRA, NYSE, SIPC

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
PRICELINE COM INC COM NEW								
CUSIP: 741503403			Security Identifier: PCLN					
Dividend Option: Cash								
2,000	08/11/11	504.9400	1,009.88	467.7100	935.42	-74.46		
3,000	09/29/11	475.5000	1,426.50	467.7100	1,403.13	-23.37		
10,000	10/04/11	446.1500	4,461.50	467.7100	4,677.10	215.60		
15,000	Total		\$6,897.88		\$7,015.65	\$117.77	\$0.00	
PROGRESS SOFTWARE CORP								
CUSIP: 743312100			Security Identifier: PRGS					
Dividend Option: Cash								
2,000	06/30/11	24.4300	48.86	19.3500	38.70	-10.16		
15,000	10/04/11	18.1300	271.95	19.3500	290.25	18.30		
17,000	Total		\$320.81		\$328.95	\$8.14	\$0.00	
PRUDENTIAL FINL INC COM								
CUSIP: 744320102			Security Identifier: PRU					
Dividend Option: Cash								
10,000	09/29/11	60.2580	602.58	50.1200	501.20	-101.38	14.50	2.89%
473 day(s) added to your holding period as a result of a wash sale.								
92,000	10/04/11	43.6700	4,017.64	50.1200	4,611.04	593.40	133.40	2.89%
102,000	Total		\$4,620.22		\$5,112.24	\$492.02	\$147.90	
QLOGIC CORP								
CUSIP: 747277101			Security Identifier: QLGC					
Dividend Option: Cash								
5,000	08/29/11	19.2500	96.25	15.0000	75.00	-21.25		
687 day(s) added to your holding period as a result of a wash sale.								
1,000	08/29/11	19.6800	19.68	15.0000	15.00	-4.68		
473 day(s) added to your holding period as a result of a wash sale.								
10,000	09/29/11	19.2300	192.30	15.0000	150.00	-42.30		
473 day(s) added to your holding period as a result of a wash sale.								
5,000	09/29/11	16.4700	82.35	15.0000	75.00	-7.35		
352 day(s) added to your holding period as a result of a wash sale.								
26,000	10/04/11	12.5500	326.30	15.0000	390.00	63.70		
47,000	Total		\$716.88		\$705.00	-\$11.88	\$0.00	
QEP RES INC COM								
CUSIP: 74733V100			Security Identifier: QEP					
Dividend Option: Cash								
1,000	10/04/11	37.6800	37.68	29.3000	29.30	-8.38	0.08	0.27%
62 day(s) added to your holding period as a result of a wash sale.								
6,000	10/04/11	27.8620	167.17	29.3000	175.80	8.63	0.48	0.27%
7 day(s) added to your holding period as a result of a wash sale.								



HSBC Securities (USA) Inc
452 Fifth Avenue
New York, NY 10018
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Brokerage

Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
QEP RES INC COM (continued)								
1,000	10/04/11	33.3300	33.33	29.3000	29.30	-4.03	0.08	0.27%
7 day(s) added to your holding period as a result of a wash sale.								
17,000	10/04/11	24.4800	416.16	29.3000	498.10	81.94	1.36	0.27%
25,000	Total		\$654.34		\$732.50	\$78.16	\$2.00	
QUALCOMM INC								
CUSIP: 747525103								
Dividend Option: Cash								
35,000	11/12/10*	47.1780	1,651.23	54.7000	1,914.50	263.27	30.10	1.57%
42,000	09/29/11	50.9200	2,138.64	54.7000	2,297.40	158.76	36.12	1.57%
164,000	10/04/11	48.8570	8,012.56	54.7000	8,970.80	958.24	141.04	1.57%
241,000	Total		\$11,802.43		\$13,182.70	\$1,380.27	\$207.26	
RADIOSHACK CORP COM								
CUSIP: 750438103								
Dividend Option: Cash								
6,000	04/26/11	15.8980	95.39	9.7100	58.26	-37.13	3.00	5.14%
38,000	10/04/11	11.2800	428.64	9.7100	368.98	-59.66	19.00	5.14%
44,000	Total		\$524.03		\$427.24	-\$96.79	\$22.00	
RALPH LAUREN CORP CL A								
CUSIP: 751212101								
Dividend Option: Cash								
5,000	08/10/11	126.6860	633.43	138.0800	690.40	56.97	4.00	0.57%
7,000	09/29/11	139.9100	979.37	138.0800	966.56	-12.81	5.60	0.57%
25,000	10/04/11	128.9600	3,224.00	138.0800	3,452.00	228.00	20.00	0.57%
37,000	Total		\$4,836.80		\$5,108.96	\$272.16	\$29.60	
RAYMOND JAMES FINL INC COM								
CUSIP: 754730109								
Dividend Option: Cash								
2,000	07/22/11	34.1700	68.34	30.9600	61.92	-6.42	1.04	1.67%
8,000	09/29/11	34.7280	277.82	30.9600	247.68	-30.14	4.16	1.67%
42 day(s) added to your holding period as a result of a wash sale.								



Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
RAYMOND JAMES FINL INC COM (continued)								
10,000	10/04/11	24.6800	246.80	30.9600	309.60	62.80	5.20	1.67%
20,000	Total		\$592.96		\$619.20	\$26.24	\$10.40	
RAYTHEON CO COM NEW								
CUSIP: 755111507								
Dividend Option: Cash								
7,000	10/12/11	42.4470	297.13	48.3800	338.66	41.53	12.04	3.55%
REED ELSEVIER NV SPON ADR NEW								
CUSIP: 758204200								
Dividend Option: Cash								
7,000	08/29/11	25.7770	180.44	23.2100	162.47	-17.97	7.00	4.30%
141 day(s) added to your holding period as a result of a wash sale.								
9,000	09/29/11	25.2580	227.32	23.2100	208.89	-18.43	9.00	4.30%
141 day(s) added to your holding period as a result of a wash sale.								
30,000	10/04/11	21.8100	654.30	23.2100	696.30	42.00	29.99	4.30%
46,000	Total		\$1,062.06		\$1,067.66	\$5.60	\$45.99	
REINSURANCE GROUP AMER INC COM NEW								
CUSIP: 759351604								
Dividend Option: Cash								
1,000	08/29/11	62.3200	62.32	52.2500	52.25	-10.07	0.72	1.37%
176 day(s) added to your holding period as a result of a wash sale.								
4,000	09/29/11	58.6780	234.71	52.2500	209.00	-25.71	2.88	1.37%
4 day(s) added to your holding period as a result of a wash sale.								
7,000	10/04/11	45.8000	320.60	52.2500	365.75	45.15	5.04	1.37%
12,000	Total		\$617.63		\$627.00	\$9.37	\$8.64	
REPUBLIC SVCS INC COM								
CUSIP: 760759100								
Dividend Option: Cash								
5,000	03/03/11	29.7380	148.69	27.5500	137.75	-10.94	4.40	3.19%
8,000	09/29/11	28.6810	229.45	27.5500	220.40	-9.05	7.04	3.19%
473 day(s) added to your holding period as a result of a wash sale.								
18,000	10/04/11	26.6700	480.06	27.5500	495.90	15.84	15.84	3.19%
31,000	Total		\$858.20		\$854.05	-\$4.15	\$27.28	
ROCKWELL COLLINS INC DEL COM STK								
CUSIP: 774341101								
Dividend Option: Cash								
2,000	08/29/11	53.6600	107.32	55.3700	110.74	3.42	1.92	1.73%
687 day(s) added to your holding period as a result of a wash sale.								



HSBC Securities (USA) Inc
452 Fifth Avenue
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Brokerage

Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
ROCKWELL COLLINS INC DEL COM STK (continued)								
7,000	10/04/11	51.4400	360.08	55.3700	387.59	27.51	6.72	1.73%
9,000	Total		\$467.40		\$498.33	\$30.93	\$8.64	
SAFEMWAY INC COM NEW								
CUSIP: 786514208								
Dividend Option: Cash								
3,000	08/29/11	17.4670	52.40	21.0400	63.12	10.72	1.74	2.75%
2,000	09/22/11	16.1750	32.35	21.0400	42.08	9.73	1.16	2.75%
25,000	10/04/11	16.6300	415.75	21.0400	526.00	110.25	14.50	2.75%
30,000	Total		\$500.50		\$631.20	\$130.70	\$17.40	
ST JUDE MED INC COM								
CUSIP: 790849103								
Dividend Option: Cash								
3,000	12/16/10*	41.6300	124.89	34.3000	102.90	-21.99	2.52	2.44%
5,000	09/29/11	37.5400	187.70	34.3000	171.50	-16.20	4.20	2.44%
11,000	10/04/11	34.6500	381.15	34.3000	377.30	-3.85	9.24	2.44%
79,000	12/21/11	32.7100	2,584.09	34.3000	2,709.70	125.61	66.36	2.44%
98,000	Total		\$3,277.83		\$3,361.40	\$83.57	\$82.32	
SALESFORCE.COM INC COM STOCK								
CUSIP: 79466L302								
Dividend Option: Cash								
7,000	08/30/11	138.0700	966.49	101.4600	710.22	-256.27		
284 day(s) added to your holding period as a result of a wash sale.								
7,000	09/29/11	133.6300	935.41	101.4600	710.22	-225.19		
284 day(s) added to your holding period as a result of a wash sale.								
32,000	10/04/11	117.8300	3,770.56	101.4600	3,246.72	-523.84		
46,000	Total		\$5,672.46		\$4,667.16	-\$1,005.30	\$0.00	
SCHLUMBERGER LTD COM ISIN#AN8068571086								
CUSIP: 806857108								
Dividend Option: Cash								
19,000	06/07/11	83.7890	1,592.00	68.3100	1,297.89	-294.11	19.00	1.46%
9,000	09/29/11	70.2390	632.15	68.3100	614.79	-17.36	9.00	1.46%

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Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
SCHLUMBERGER LTD COM ISIN#AN8068571086 (continued)								
87 day(s) added to your holding period as a result of a wash sale.								
17,000	09/29/11	60.5400	1,029.18	68.3100	1,161.27	132.09	17.00	1.46%
84,000	10/04/11	56.7900	4,770.36	68.3100	5,738.04	967.68	84.00	1.46%
129,000	Total		\$8,023.69		\$8,811.99	\$788.30	\$129.00	
SEMTech CORP								
CUSIP: 816850101								
Dividend Option: Cash								
4,000	09/15/10 *	18.0700	72.28	24.8200	99.28	27.00		
20,000	10/04/11	21.2200	424.40	24.8200	496.40	72.00		
24,000	Total		\$496.68		\$595.68	\$99.00	\$0.00	
SHIRE PLC SPONS ADR								
ISIN#US82481R1068								
CUSIP: 82481R106								
Dividend Option: Cash								
8,000	09/15/10 *	71.2100	569.68	103.9000	831.20	261.52	3.20	0.38%
9,000	09/29/11	93.1000	837.90	103.9000	935.10	97.20	3.60	0.38%
39,000	10/04/11	87.5100	3,412.89	103.9000	4,052.10	639.21	15.59	0.38%
56,000	Total		\$4,820.47		\$5,818.40	\$997.93	\$22.39	
SNAP ON INC COM								
CUSIP: 833034101								
Dividend Option: Cash								
1,000	05/03/11	60.8600	60.86	50.6200	50.62	-10.24	1.36	2.68%
6,000	10/04/11	43.5200	261.12	50.6200	303.72	42.60	8.16	2.68%
7,000	Total		\$321.98		\$354.34	\$32.36	\$9.52	
SOUTHWESTERN ENERGY CO COM								
CUSIP: 845467109								
Dividend Option: Cash								
3,000	09/29/11	37.4200	112.26	31.9400	95.82	-16.44		
190 day(s) added to your holding period as a result of a wash sale.								
10,000	10/04/11	32.3200	323.20	31.9400	319.40	-3.80		
13,000	Total		\$435.46		\$415.22	-\$20.24	\$0.00	
STANLEY BLACK & DECKER INC COM								
CUSIP: 854502101								
Dividend Option: Cash								
17,000	09/29/11	51.4000	873.80	67.6000	1,149.20	275.40	27.88	2.42%
105,000	10/04/11	49.9570	5,245.50	67.6000	7,098.00	1,852.50	172.20	2.42%
122,000	Total		\$6,119.30		\$8,247.20	\$2,127.90	\$200.08	



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Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
STAPLES INC								
CUSIP: 855030102	<i>Security Identifier: SPLS</i>							
Dividend Option: Cash								
7,000	08/29/11	22.5460	157.82	13.8900	97.23	-60.59	2.80	2.87%
196 day(s) added to your holding period as a result of a wash sale.								
11,000	09/29/11	21.8180	240.00	13.8900	152.79	-87.21	4.40	2.87%
196 day(s) added to your holding period as a result of a wash sale.								
3,000	09/29/11	21.3900	64.17	13.8900	41.67	-22.50	1.20	2.87%
183 day(s) added to your holding period as a result of a wash sale.								
30,000	10/04/11	12.7000	381.00	13.8900	416.70	35.70	12.00	2.87%
51,000	Total		\$842.99		\$708.39	-\$134.60	\$20.40	
STARBUCKS CORP COM								
CUSIP: 855244109	<i>Security Identifier: SBUX</i>							
Dividend Option: Cash								
17,000	07/22/11	40.5100	688.67	46.0100	782.17	93.50	11.56	1.47%
20,000	09/29/11	41.4050	828.10	46.0100	920.20	92.10	13.60	1.47%
64 day(s) added to your holding period as a result of a wash sale.								
89,000	10/04/11	36.6900	3,265.41	46.0100	4,094.89	829.48	60.52	1.47%
126,000	Total		\$4,782.18		\$5,797.26	\$1,015.08	\$85.68	
STATE STR CORP COM								
CUSIP: 857477103	<i>Security Identifier: STT</i>							
Dividend Option: Cash								
2,000	09/29/11	37.1100	74.22	40.3100	80.62	6.40	1.44	1.78%
415 day(s) added to your holding period as a result of a wash sale.								
6,000	10/04/11	37.5050	225.03	40.3100	241.86	16.83	4.32	1.78%
38 day(s) added to your holding period as a result of a wash sale.								
4,000	10/04/11	35.3150	141.26	40.3100	161.24	19.98	2.88	1.78%
7 day(s) added to your holding period as a result of a wash sale.								
18,000	10/04/11	30.7600	553.68	40.3100	725.58	171.90	12.96	1.78%
30,000	Total		\$994.19		\$1,209.30	\$215.11	\$21.60	

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
STERIS CORP								
CUSIP: 859152100								
Dividend Option: Cash								
2,000	07/05/11	35.5900	71.18	29.8200	59.64	-11.54	1.36	2.28%
7,000	09/29/11	30.2410	211.69	29.8200	208.74	-2.95	4.76	2.28%
352 day(s) added to your holding period as a result of a wash sale.								
8,000	10/04/11	28.5600	228.48	29.8200	238.56	10.08	5.44	2.28%
17,000	Total		\$511.35		\$506.94	-\$4.41	\$11.56	
SUNTRUST BKS INC COM								
CUSIP: 867914103								
Dividend Option: Cash								
7,000	08/29/11	26.2970	184.08	17.7000	123.90	-60.18	1.40	1.12%
273 day(s) added to your holding period as a result of a wash sale.								
7,000	09/29/11	25.3100	177.17	17.7000	123.90	-53.27	1.40	1.12%
273 day(s) added to your holding period as a result of a wash sale.								
4,000	09/29/11	29.1700	116.68	17.7000	70.80	-45.88	0.80	1.12%
254 day(s) added to your holding period as a result of a wash sale.								
26,000	10/04/11	17.0600	443.56	17.7000	460.20	16.64	5.20	1.12%
7,000	12/13/11	17.0170	119.12	17.7000	123.90	4.78	1.40	1.12%
51,000	Total		\$1,040.61		\$902.70	-\$137.91	\$10.20	
SYMANTEC CORP								
CUSIP: 871503108								
Dividend Option: Cash								
7,000	09/15/10 *	15.0200	105.14	15.6500	109.55	4.41		
7,000	09/29/11	17.1300	119.91	15.6500	109.55	-10.36		
687 day(s) added to your holding period as a result of a wash sale.								
5,000	09/29/11	17.9400	89.70	15.6500	78.25	-11.45		
539 day(s) added to your holding period as a result of a wash sale.								
29,000	10/04/11	15.7300	456.17	15.6500	453.85	-2.32		
48,000	Total		\$770.92		\$751.20	-\$19.72	\$0.00	
SYSCO CORP								
CUSIP: 871829107								
Dividend Option: Cash								
4,000	08/29/11	28.0250	112.10	29.3300	117.32	5.22	4.16	3.54%
172 day(s) added to your holding period as a result of a wash sale.								
7,000	09/29/11	26.7690	187.38	29.3300	205.31	17.93	7.28	3.54%
172 day(s) added to your holding period as a result of a wash sale.								
18,000	10/04/11	25.5500	459.90	29.3300	527.94	68.04	18.72	3.54%
29,000	Total		\$759.38		\$850.57	\$91.19	\$30.16	



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Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
TCF FINL CORP COM								
CUSIP: 872275102								
Dividend Option: Cash								
13,000	08/29/11	10.1980	132.58	10.3200	134.16	1.58	2.60	1.93%
22,000	09/29/11	14.4630	318.19	10.3200	227.04	-91.15	4.40	1.93%
4 day(s) added to your holding period as a result of a wash sale.								
52,000	10/04/11	8,9400	464.88	10.3200	536.64	71.76	10.40	1.93%
87,000	Total		\$915.65		\$897.84	-\$17.81	\$17.40	
TD AMERITRADE HLDG CORP COM								
CUSIP: 87236Y108								
Dividend Option: Cash								
21,000	09/23/10 *	15.9380	334.70	15.6500	328.65	-6.05	5.04	1.53%
14,000	08/29/11	15.8240	221.53	15.6500	219.10	-2.43	3.36	1.53%
357 day(s) added to your holding period as a result of a wash sale.								
31,000	09/29/11	15.6860	486.28	15.6500	485.15	-1.13	7.44	1.53%
357 day(s) added to your holding period as a result of a wash sale.								
134,000	10/04/11	14.2670	1,911.79	15.6500	2,097.10	185.31	32.16	1.53%
200,000	Total		\$2,954.30		\$3,130.00	\$175.70	\$48.00	
TARGET CORP COM								
CUSIP: 87612E106								
Dividend Option: Cash								
21,000	03/02/11	52.4900	1,102.29	51.2200	1,075.62	-26.67	25.20	2.34%
1,000	07/06/11	48.2800	48.28	51.2200	51.22	2.94	1.20	2.34%
26,000	09/29/11	55.7370	1,449.15	51.2200	1,331.72	-117.43	31.20	2.34%
233 day(s) added to your holding period as a result of a wash sale.								
98,000	10/04/11	49.1800	4,819.64	51.2200	5,019.56	199.92	117.60	2.34%
56,000	11/02/11	53.6480	3,004.28	51.2200	2,868.32	-135.96	67.20	2.34%
202,000	Total		\$10,423.64		\$10,346.44	-\$77.20	\$242.40	
TECH DATA								
CUSIP: 878237106								
Dividend Option: Cash								
3,000	09/15/10 *	38.9500	116.85	49.4100	148.23	31.38		



Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
TECH DATA (continued)								
13,000	10/04/11	43.0700	559.91	49.4100	642.33	82.42		
16,000	Total		\$676.76		\$790.56	\$113.80	\$0.00	
TEVA PHARMACEUTICAL INDUSTRIES LTD ADR								
ISIN#US8816242098								
CUSIP: 881624209								
Dividend Option: Cash								
2,000	05/13/11	50.0550	100.11	40.3600	80.72	-19.39	1.57	1.94%
5,000	09/29/11	45.1580	225.79	40.3600	201.80	-23.99	3.92	1.94%
114 day(s) added to your holding period as a result of a wash sale.								
11,000	10/04/11	35.7000	392.70	40.3600	443.96	51.26	8.63	1.94%
18,000	Total		\$718.60		\$726.48	\$7.88	\$14.12	
THERMO FISHER SCIENTIFIC INC								
CUSIP: 883556102								
Dividend Option: Cash								
13,000	08/17/11	54.0980	703.28	44.9700	584.61	-118.67		
5,000	09/08/11	55.1140	275.57	44.9700	224.85	-50.72		
16 day(s) added to your holding period as a result of a wash sale.								
21,000	09/29/11	52.3070	1,098.44	44.9700	944.37	-154.07		
16 day(s) added to your holding period as a result of a wash sale.								
78,000	10/04/11	50.2300	3,917.94	44.9700	3,507.66	-410.28		
117,000	Total		\$5,995.23		\$5,261.49	-\$733.74	\$0.00	
THOMAS & BETTS CORP NEW								
(TN) FORMERLY NJ								
CUSIP: 884315102								
Dividend Option: Cash								
11,000	10/04/11	39.5500	435.05	54.6000	600.60	165.55		
3M CO COM								
CUSIP: 88579Y101								
Dividend Option: Cash								
11,000	03/23/11	91.4670	1,006.14	81.7300	899.03	-107.11	24.20	2.69%
1,000	09/29/11	79.1800	79.18	81.7300	81.73	2.55	2.20	2.69%
604 day(s) added to your holding period as a result of a wash sale.								
2,000	09/29/11	76.5400	153.08	81.7300	163.46	10.38	4.40	2.69%
539 day(s) added to your holding period as a result of a wash sale.								
12,000	09/29/11	80.0280	960.34	81.7300	980.76	20.42	26.40	2.69%
473 day(s) added to your holding period as a result of a wash sale.								
52,000	10/04/11	71.0600	3,695.12	81.7300	4,249.96	554.84	114.40	2.69%
78,000	Total		\$5,893.86		\$6,374.94	\$481.08	\$171.60	



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Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
TIDEWATER INC								
CUSIP: 886423102								
Dividend Option: Cash								
1,000	08/29/11	52.0300	52.03	49.3000	49.30	-2.73	1.00	2.02%
8,000	10/04/11	41.0200	328.16	49.3000	394.40	66.24	8.00	2.02%
9,000	Total		\$380.19		\$443.70	\$63.51	\$9.00	
TRAVELERS COS INC COM								
CUSIP: 89417E109								
Dividend Option: Cash								
18,000	02/09/11	58.4170	1,051.51	59.1700	1,065.06	13.55	29.52	2.77%
2,000	07/06/11	58.5700	117.14	59.1700	118.34	1.20	3.28	2.77%
24,000	09/29/11	53.0080	1,272.19	59.1700	1,420.08	147.89	39.36	2.77%
539 day(s) added to your holding period as a result of a wash sale.								
88,000	10/04/11	46.4900	4,091.12	59.1700	5,206.96	1,115.84	144.32	2.77%
132,000	Total		\$6,531.96		\$7,810.44	\$1,278.48	\$216.48	
URS CORP NEW COM								
CUSIP: 903236107								
Dividend Option: Cash								
3,000	09/29/11	45.7900	137.37	35.1200	105.36	-32.01		
473 day(s) added to your holding period as a result of a wash sale.								
6,000	10/04/11	45.3620	272.17	35.1200	210.72	-61.45		
38 day(s) added to your holding period as a result of a wash sale.								
5,000	10/04/11	42.1900	210.95	35.1200	175.60	-35.35		
7 day(s) added to your holding period as a result of a wash sale.								
19,000	10/04/11	29.3800	558.22	35.1200	667.28	109.06		
33,000	Total		\$1,178.71		\$1,158.96	-\$19.75	\$0.00	
UNILEVER PLC SPON ADR NEW								
ISIN# US9047677045								
CUSIP: 904767704								
Dividend Option: Cash								
4,000	09/15/10*	27.8800	111.52	33.5200	134.08	22.56	4.96	3.70%
6,000	09/29/11	31.8700	191.22	33.5200	201.12	9.90	7.44	3.70%

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Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
UNILEVER PLC SPON ADR NEW (continued)								
14,000	10/04/11	30.7600	430.64	33.5200	469.28	38.64	17.36	3.70%
6,000	10/06/11	31.5470	189.28	33.5200	201.12	11.84	7.45	3.70%
30,000	Total		\$922.66		\$1,005.60	\$82.94	\$37.21	
UNION PACIFIC CORP COM								
CUSIP: 907818108								
Dividend Option: Cash								
18,000	09/15/10 *	79.3500	1,428.30	105.9400	1,906.92	478.62	43.20	2.26%
26,000	09/29/11	84.2400	2,190.24	105.9400	2,754.44	564.20	62.40	2.26%
89,000	10/04/11	80.8500	7,195.65	105.9400	9,428.66	2,233.01	213.60	2.26%
133,000	Total		\$10,814.19		\$14,090.02	\$3,275.83	\$319.20	
UNITED TECHNOLOGIES CORP COM								
CUSIP: 913017109								
Dividend Option: Cash								
32,000	12/16/10 *	78.8500	2,523.20	73.0900	2,338.88	-184.32	61.44	2.62%
38,000	09/29/11	72.7270	2,763.63	73.0900	2,777.42	13.79	72.96	2.62%
473 day(s) added to your holding period as a result of a wash sale.								
146,000	10/04/11	68.9270	10,063.36	73.0900	10,671.14	607.78	280.32	2.62%
216,000	Total		\$15,350.19		\$15,787.44	\$437.25	\$414.72	
UNITEDHEALTH GROUP INC COM								
CUSIP: 91324P102								
Dividend Option: Cash								
30,000	08/02/11	47.2570	1,417.71	50.6800	1,520.40	102.69	19.50	1.28%
28,000	09/29/11	50.5480	1,415.34	50.6800	1,419.04	3.70	18.20	1.28%
126 day(s) added to your holding period as a result of a wash sale.								
16,000	09/29/11	51.0450	816.72	50.6800	810.88	-5.84	10.40	1.28%
123 day(s) added to your holding period as a result of a wash sale.								
152,000	10/04/11	42.2670	6,424.60	50.6800	7,703.36	1,278.76	98.80	1.28%
226,000	Total		\$10,074.37		\$11,453.68	\$1,379.31	\$146.90	
VARIAN MED SYS INC COM								
CUSIP: 92220P105								
Dividend Option: Cash								
1,000	02/02/11	67.4200	67.42	67.1300	67.13	-0.29		
9,000	06/21/11	68.2300	614.07	67.1300	604.17	-9.90		
12,000	09/29/11	59.0690	708.83	67.1300	805.56	96.73		
310 day(s) added to your holding period as a result of a wash sale.								
42,000	10/04/11	51.3100	2,155.02	67.1300	2,819.46	664.44		
64,000	Total		\$3,545.34		\$4,296.32	\$750.98	\$0.00	



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Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
VERISIGN INC COM								
CUSIP: 92343E102			<i>Security Identifier: VRSN</i>					
Dividend Option: Cash								
18,000	03/16/11	35.0380	630.68	35.7200	642.96	12.28		
21,000	09/29/11	32.0360	672.75	35.7200	750.12	77.37		
214 day(s) added to your holding period as a result of a wash sale.								
81,000	10/04/11	27.8700	2,257.47	35.7200	2,893.32	635.85		
120,000	Total		\$3,560.90		\$4,286.40	\$725.50	\$0.00	
VIACOM INC NEW CL B								
CUSIP: 92553P201			<i>Security Identifier: VIAB</i>					
Dividend Option: Cash								
18,000	06/01/11	51.0340	918.62	45.4100	817.38	-101.24	18.00	2.20%
35,000	09/29/11	45.1210	1,579.25	45.4100	1,589.35	10.10	35.00	2.20%
93 day(s) added to your holding period as a result of a wash sale.								
116,000	10/04/11	37.2240	4,317.98	45.4100	5,267.56	949.58	116.00	2.20%
169,000	Total		\$6,815.85		\$7,674.29	\$858.44	\$169.00	
VISA INC COM CL A								
CUSIP: 92826C839			<i>Security Identifier: V</i>					
Dividend Option: Cash								
1,000	05/12/11	79.4600	79.46	101.5300	101.53	22.07	0.88	0.86%
17,000	06/09/11	76.0070	1,292.12	101.5300	1,726.01	433.89	14.96	0.86%
23,000	09/29/11	87.4700	2,011.81	101.5300	2,335.19	323.38	20.24	0.86%
84,000	10/04/11	83.3100	6,998.04	101.5300	8,528.52	1,530.48	73.92	0.86%
125,000	Total		\$10,381.43		\$12,691.25	\$2,309.82	\$110.00	
VODAFONE GROUP PLC SPON ADR NEW								
ISIN#US92857W2098			<i>Security Identifier: VOD</i>					
CUSIP: 92857W209								
Dividend Option: Cash								
13,000	12/23/10	26.6480	346.42	28.0300	364.39	17.97	18.76	5.14%
10,000	03/03/11	29.4980	294.98	28.0300	280.30	-14.68	14.43	5.14%
7,000	05/03/11	28.4800	199.36	28.0300	196.21	-3.15	10.10	5.14%
34,000	09/29/11	26.2160	891.33	28.0300	953.02	61.69	49.06	5.14%

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Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
VODAFONE GROUP PLC SPON ADR NEW (continued)								
259 day(s) added to your holding period as a result of a wash sale.								
126,000	10/04/11	25.7270	3,241.61	28.0300	3,531.78	290.17	181.80	5.14%
190,000	Total		\$4,973.70		\$5,325.70	\$352.00	\$274.15	
WMS INDS INC COM								
CUSIP: 929297109								
Dividend Option: Cash								
4,000	08/29/11	32.4980	129.99	20.5200	82.08	-47.91		
142 day(s) added to your holding period as a result of a wash sale.								
25,000	10/04/11	17.7400	443.50	20.5200	513.00	69.50		
29,000	Total		\$573.49		\$595.08	\$21.59	\$0.00	
WAL MART STORES INC COM								
CUSIP: 931142103								
Dividend Option: Cash								
2,000	09/15/10	52.8700	105.74	59.7600	119.52	13.78	2.92	2.44%
9,000	10/04/11	52.0800	468.72	59.7600	537.84	69.12	13.14	2.44%
11,000	Total		\$574.46		\$657.36	\$82.90	\$16.06	
WALGREEN CO								
CUSIP: 931422109								
Dividend Option: Cash								
8,000	10/19/11	33.7480	269.98	33.0600	264.48	-5.50	7.20	2.72%
1,000	11/08/11	32.7500	32.75	33.0600	33.06	0.31	0.90	2.72%
13,000	12/01/11	33.8870	440.53	33.0600	429.78	-10.75	11.70	2.72%
22,000	Total		\$743.26		\$727.32	-\$15.94	\$19.80	
WARNACO GROUP INC COM NEW								
CUSIP: 934390402								
Dividend Option: Cash								
2,000	02/01/11	52.1000	104.20	50.0400	100.08	-4.12		
7,000	10/04/11	41.6600	291.62	50.0400	350.28	58.66		
9,000	Total		\$395.82		\$450.36	\$54.54	\$0.00	
WASHINGTON FED INC COM								
CUSIP: 938824109								
Dividend Option: Cash								
9,000	05/03/11	16.0870	144.78	13.9900	125.91	-18.87	2.88	2.28%
16,000	09/29/11	18.4510	295.21	13.9900	223.84	-71.37	5.12	2.28%
473 day(s) added to your holding period as a result of a wash sale.								
44,000	10/04/11	12.4100	546.04	13.9900	615.56	69.52	14.08	2.28%
69,000	Total		\$986.03		\$965.31	-\$20.72	\$22.08	



HSBC Securities (USA) Inc
452 Fifth Avenue
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Brokerage Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
WESTERN UN CO COM								
CUSIP: 959802109	<i>Security Identifier: WU</i>							
Dividend Option: Cash								
15,000	09/29/11	16.1050	241.58	18.2600	273.90	32.32	4.80	1.75%
574 day(s) added to your holding period as a result of a wash sale.								
13,000	10/04/11	16.1300	209.69	18.2600	237.38	27.69	4.16	1.75%
38 day(s) added to your holding period as a result of a wash sale.								
1,000	10/04/11	15.5600	15.56	18.2600	18.26	2.70	0.32	1.75%
7 day(s) added to your holding period as a result of a wash sale.								
46,000	10/04/11	14.9600	688.16	18.2600	839.96	151.80	14.72	1.75%
75,000	Total		\$1,154.99		\$1,369.50	\$214.51	\$24.00	
WHITING PETE CORP COM								
CUSIP: 966387102	<i>Security Identifier: WLL</i>							
Dividend Option: Cash								
1,000	08/01/11	58.7500	58.75	46.6900	46.69	-12.06		
12,000	10/04/11	31.3600	376.32	46.6900	560.28	183.96		
4,000	10/19/11	44.9880	179.95	46.6900	186.76	6.81		
17,000	Total		\$615.02		\$793.73	\$178.71	\$0.00	
YUM BRANDS INC COM								
CUSIP: 988498101	<i>Security Identifier: YUM</i>							
Dividend Option: Cash								
10,000	09/15/10 *	46.0700	460.70	59.0100	590.10	129.40	11.40	1.93%
12,000	09/29/11	50.8400	610.08	59.0100	708.12	98.04	13.68	1.93%
46,000	10/04/11	48.9600	2,252.16	59.0100	2,714.46	462.30	52.44	1.93%
68,000	Total		\$3,322.94		\$4,012.68	\$689.74	\$77.52	
ZIMMER HLDGS INC COM								
CUSIP: 98956P102	<i>Security Identifier: ZMH</i>							
Dividend Option: Cash								
2,000	01/11/11	54.9900	109.98	53.4200	106.84	-3.14		
1,000	09/29/11	59.5400	59.54	53.4200	53.42	-6.12		
473 day(s) added to your holding period as a result of a wash sale.								
2,000	09/29/11	55.2600	110.52	53.4200	106.84	-3.68		

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HSBC

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#1 Brokerage Statement,
2009, 2010
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FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary
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Pershing LLC, member FINRA, NYSE, SIPC

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
ZIMMER HLDGS INC COM (continued)								
10,000	10/04/11	51.2500	512.50	53.4200	534.20	21.70		
15,000			\$792.54		\$801.30	\$8.76	\$0.00	
Total			\$754,191.60		\$817,393.34	\$63,040.51	\$14,964.31	
Total Common Stocks								
Real Estate Investment Trusts								
ALEXANDRIA REAL ESTATE EQUITIES INC COM								
<i>Security Identifier: ARE</i>								
<i>CUSIP: 015271109</i>								
Dividend Option: Cash								
3,000	09/29/11	62.6330	187.90	68.9700	206.91	19.01	5.88	2.84%
352 day(s) added to your holding period as a result of a wash sale.								
3,000	10/04/11	57.7000	173.10	68.9700	206.91	33.81	5.88	2.84%
6,000	Total		\$361.00		\$413.82	\$52.82	\$11.76	
<i>Security Identifier: NLY</i>								
ANNALY CAP MGMT INC COM								
<i>CUSIP: 035710409</i>								
Dividend Option: Cash								
3,000	09/24/10	18.3700	55.11	15.9600	47.88	-7.23	6.84	14.28%
13,000	10/04/11	15.1600	197.08	15.9600	207.48	10.40	29.64	14.28%
16,000	Total		\$252.19		\$255.36	\$3.17	\$36.48	
<i>Security Identifier: AVB</i>								
AVALONBAY CMNTYS INC COM								
<i>CUSIP: 053484101</i>								
Dividend Option: Cash								
1,000	11/09/09	69.4100	69.41	130.6000	130.60	61.19	3.57	2.73%
1,000	10/04/11	111.8700	111.87	130.6000	130.60	18.73	3.57	2.73%
2,000	Total		\$181.28		\$261.20	\$79.92	\$7.14	
<i>Security Identifier: BRE</i>								
BRE PPTYS INC COM								
<i>CUSIP: 05564E106</i>								
Dividend Option: Cash								
1,000	09/15/10	42.7300	42.73	50.4800	50.48	7.75	1.50	2.97%
9,000	10/04/11	41.1900	370.71	50.4800	454.32	83.61	13.50	2.97%
10,000	Total		\$413.44		\$504.80	\$91.36	\$15.00	
<i>Security Identifier: BXP</i>								
BOSTON PPTYS INC COM								
<i>CUSIP: 101121101</i>								
Dividend Option: Cash								
3,000	10/04/11	83.9200	251.76	99.6000	298.80	47.04	6.60	2.20%
CORPORATE OFFICE PPTYS TR COM								
<i>CUSIP: 22002T108</i>								
Dividend Option: Cash								
2,000	12/22/10	35.0400	70.08	21.2600	42.52	-27.56	3.30	7.76%



HSBC Securities (USA) Inc.
452 Fifth Avenue
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Brokerage

Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Real Estate Investment Trusts (continued)								
CORPORATE OFFICE PPTYS TR COM (continued)								
9,000	10/04/11	20.9800	188.82	21.2600	191.34	2.52	14.85	7.76%
11,000	Total		\$258.90		\$233.86	-\$25.04	\$18.15	
HOST HOTELS & RESORTS INC								
CUSIP: 44107P104								
Dividend Option: Cash								
4,000	08/29/11	11.0980	44.39	14.7700	59.08	14.69	0.80	1.35%
24,000	10/04/11	10.2900	246.96	14.7700	354.48	107.52	4.80	1.35%
28,000	Total		\$291.35		\$413.56	\$122.21	\$5.60	
LIBERTY PROPERTY TRUST SBI								
CUSIP: 531172104								
Dividend Option: Cash								
1,000	10/15/09*	31.9500	31.95	30.8800	30.88	-1.07	1.90	6.15%
8,000	10/04/11	27.2800	218.24	30.8800	247.04	28.80	15.20	6.15%
9,000	Total		\$250.19		\$277.92	\$27.73	\$17.10	
MACK CALL RLTY CORP COM								
CUSIP: 554489104								
Dividend Option: Cash								
3,000	09/15/10*	32.4300	97.29	26.6900	80.07	-17.22	5.40	6.74%
6,000	09/29/11	30.9600	186.76	26.6900	160.14	-25.62	10.80	6.74%
2,000	09/29/11	29.0900	58.18	26.6900	53.38	-4.80	3.60	6.74%
352 day(s) added to your holding period as a result of a wash sale.								
12,000	10/04/11	25.1500	301.80	26.6900	320.28	18.48	21.60	6.74%
23,000	Total		\$643.03		\$613.87	-\$29.16	\$41.40	
MID-AMER APT CMINTYS INC COM								
CUSIP: 595221103								
Dividend Option: Cash								
5,000	12/15/11	58.8780	294.39	62.5500	312.75	18.36	12.55	4.01%

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HSBC

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#1 Brokerage Statement,
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Clearing through Pershing LLC, a subsidiary
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Pershing LLC, member FINRA, NYSE, SIF

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Real Estate Investment Trusts (continued)								
POTLATCH CORP NEW COM								
CUSIP: 737630103								
Dividend Option: Cash								
3,000	09/15/10 *	34.1200	102.36	31.1100	93.33	-9.03	3.72	3.98%
7,000	09/29/11	36.2600	253.82	31.1100	217.77	-36.05	8.68	3.98%
473 day(s) added to your holding period as a result of a wash sale.								
9,000	10/04/11	30.5500	274.95	31.1100	279.99	5.04	11.16	3.98%
19,000	Total		\$631.13		\$591.09	-\$40.04	\$23.56	
PROLOGIS INC COM								
CUSIP: 74340W103								
Dividend Option: Cash								
2,000	09/15/10 *	26.1200	52.24	28.5900	57.18	4.94	2.24	3.91%
10,000	10/04/11	22.6400	226.40	28.5900	285.90	59.50	11.20	3.91%
12,000	Total		\$278.64		\$343.08	\$64.44	\$13.44	
PUBLIC STORAGE COMMON STOCK								
CUSIP: 74460D109								
Dividend Option: Cash								
1,000	05/17/10 *	94.8000	94.80	134.4600	134.46	39.66	3.80	2.82%
2,000	10/04/11	106.3200	212.64	134.4600	268.92	56.28	7.60	2.82%
3,000	Total		\$307.44		\$403.38	\$95.94	\$11.40	
RAYONIER INC COM								
CUSIP: 754907103								
Dividend Option: Cash								
1,000	05/17/10 *	30.2900	30.29	44.6300	44.63	14.34	1.60	3.58%
7,000	10/04/11	35.5700	248.99	44.6300	312.41	63.42	11.20	3.58%
8,000	Total		\$279.28		\$357.04	\$77.76	\$12.80	
REDWOOD TR INC COM								
CUSIP: 758075402								
Dividend Option: Cash								
2,000	08/29/11	15.7950	31.59	10.1800	20.36	-11.23	2.00	9.82%
687 day(s) added to your holding period as a result of a wash sale.								
6,000	08/29/11	13.8670	83.20	10.1800	61.08	-22.12	6.00	9.82%
662 day(s) added to your holding period as a result of a wash sale.								
10,000	09/29/11	13.3000	133.00	10.1800	101.80	-31.20	10.00	9.82%
662 day(s) added to your holding period as a result of a wash sale.								
8,000	09/29/11	14.8800	119.04	10.1800	81.44	-37.60	8.00	9.82%
473 day(s) added to your holding period as a result of a wash sale.								
29,000	10/04/11	10.3700	300.73	10.1800	295.22	-5.51	29.00	9.82%
55,000	Total		\$667.56		\$559.90	-\$107.66	\$55.00	



HSBC Securities (USA) Inc
452 Fifth Avenue
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800-602-3343

Brokerage

Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Real Estate Investment Trusts (continued)								
TAUBMAN CENTERS INC								
CUSIP: 876664103								
Dividend Option: Cash								
5,000	10/04/11	49.2400	246.20	62.1000	310.50	64.30	9.00	2.89%
WEYERHAEUSER CO								
CUSIP: 962166104								
Dividend Option: Cash								
5,000	08/29/11	17.2780	86.39	18.6700	93.35	6.96	3.00	3.21%
13,000	09/29/11	16.0500	208.65	18.6700	242.71	34.06	7.80	3.21%
19,000	10/04/11	15.5200	294.88	18.6700	354.73	59.85	11.40	3.21%
37,000	Total		\$589.92		\$690.79	\$100.87	\$22.20	
Total Real Estate Investment Trusts								
			\$6,197.70		\$6,841.72	\$644.02	\$319.18	
Total Equities								
			\$760,389.30		\$824,235.06	\$63,684.53	\$15,283.49	

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds 46.00% of Portfolio								
Mutual Funds								
JP MORGAN EMERGING MARKETS EQUITY FUND								
SELECT CLASS								
CUSIP: 4812A0623								
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Cash								
324,923	05/17/10 *	20.1100	6,534.20	20.2200	6,569.94	35.74	4.46	0.06%
234,536	09/15/10 *	22.4400	5,262.99	20.2200	4,742.32	-520.67	3.22	0.06%
784,531	09/29/11 *	19.5300	15,321.90	20.2200	15,863.22	541.32	10.76	0.06%
2,771,157	10/04/11 *	18.5100	51,294.12	20.2200	56,032.79	4,738.67	38.01	0.06%
4,115,147	Total		\$78,413.21		\$83,208.27	\$4,795.06	\$56.45	



Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds (continued)								
Mutual Funds (continued)								
PIMCO TOTAL RETURN CLASS A								
CUSIP: 693390445								
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Cash								
8,236.363	12/14/10*	10.7300	88,376.18	10.8700	89,529.27	1,153.09	2,591.99	2.89%
12,990.935	09/29/11*	10.7800	140,042.28	10.8700	141,211.46	1,169.18	4,088.26	2.89%
40,577.927	10/04/11*	10.7500	436,212.71	10.8700	441,082.07	4,869.36	12,769.91	2.89%
61,805.225	Total		\$664,631.17		\$671,822.80	\$7,191.63	\$19,450.16	
Total Mutual Funds			\$743,044.38		\$755,031.07	\$11,986.69	\$19,506.61	
Total Mutual Funds			\$743,044.38		\$755,031.07	\$11,986.69	\$19,506.61	

Total Portfolio Holdings

Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
\$75,671.22	\$0.00	\$34,797.56

* Uncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L. 110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "uncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

3 The cost basis of this security has been provided to us by you or your introducing firm and Pershing makes no representation as to the accuracy of this information.

5 Unrealized gains and losses are not reported for securities for which cost basis or market value is not available.

Disclosures and Other Information

Pricing - This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.



HSBC Securities (USA) Inc
452 Fifth Avenue
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Brokerage Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Portfolio Holdings (continued)

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.
Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Money Market Fund Detail

Date	Activity Type	Description	Amount	Balance
Sweep Money Market Fund				
HSBC INVESTOR US GOVT CL V				
Account Number: 0000002220 Current Yield: 0.01% Activity Ending: 12/30/11				
12/01/11	Opening Balance		36,626.78	36,626.78
12/02/11	Deposit	MONEY FUND PURCHASE	1,861.80	38,488.58
12/05/11	Deposit	MONEY FUND PURCHASE	21.42	38,510.00
12/06/11	Deposit	MONEY FUND PURCHASE	60.43	38,570.43
12/08/11	Deposit	MONEY FUND PURCHASE	9.11	38,579.54
12/09/11	Deposit	MONEY FUND PURCHASE	64.46	38,644.00
12/12/11	Deposit	MONEY FUND PURCHASE	113.99	38,757.99
12/13/11	Deposit	MONEY FUND PURCHASE	447.28	39,205.27
12/14/11	Deposit	MONEY FUND PURCHASE	159.62	39,364.89
12/15/11	Withdrawal	MONEY FUND REDEMPTION	-2,903.35	36,461.54
12/19/11	Deposit	MONEY FUND PURCHASE	637.15	37,098.69

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Money Market Fund Detail (continued)

Date	Activity Type	Description	Amount	Balance
Sweep Money Market Fund (continued)				
HSBC INVESTOR US GOVT CL Y (continued)				
12/20/11	Deposit	MONEY FUND PURCHASE	1,133.59	38,232.28
12/21/11	Withdrawal	MONEY FUND REDEMPTION	-305.42	37,926.86
12/22/11	Withdrawal	MONEY FUND REDEMPTION	-42.99	37,883.87
12/23/11	Withdrawal	MONEY FUND REDEMPTION	-352.37	37,531.50
12/27/11	Withdrawal	MONEY FUND REDEMPTION	-630.86	36,900.64
12/28/11	Withdrawal	MONEY FUND REDEMPTION	-646.20	36,254.44
12/30/11	Deposit	MONEY FUND PURCHASE	4,086.70	40,341.14
12/30/11	Deposit	INCOME REINVEST	0.68	40,341.82
12/30/11	Closing Balance			\$40,341.82
Total All Money Market Funds				\$40,341.82

Activity Summary (All amounts shown are in base currency: USD)

		Credits	Debits	This Period
Securities				
Securities Sold		7,760.74	Securities Bought	-10,747.81
Total Securities		\$7,760.74	Total Securities	-\$10,747.81
Additional Transactions				
Dividends and Interest		8,069.24	Dividends and Interest	0.00
Taxes Withheld		0.00	Taxes Withheld	-0.88
Total Additional Transactions		\$8,069.24	Total Additional Transactions	-\$0.88
Total Credits		\$15,829.98	Total Debits	-\$10,748.69

Transactions in Date Sequence

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
12/01/11		FOREIGN SECURITY DIVIDEND RECEIVED	14 SHRS PARTNERRE LTD SHS ISIN#BMG6852T1053 RD 11/18 PD 12/01/11				8.40	USD
12/01/11		CASH DIVIDEND RECEIVED AGL	7 SHRS AGL RESOURCES INC HOLDING COMPANY RD 11/18 PD 12/01/11				3.15	USD
12/01/11		CASH DIVIDEND RECEIVED ALTR	66 SHRS ALTERA CORP RD 11/10 PD 12/01/11				5.28	USD
12/01/11		CASH DIVIDEND RECEIVED CSL	10 SHRS CARLISLE COMPANIES INC RD 11/14 PD 12/01/11				1.80	USD
12/01/11		CASH DIVIDEND RECEIVED CLF	53 SHRS CLIFFS NAT RES INC COM RD 11/18 PD 12/01/11				14.84	USD



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Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Transactions in Date Sequence (continued)

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
12/01/11		CASH DIVIDEND RECEIVED	9 SHRS EQT CORPORATION COM RD 11/04 PD 12/01/11				1.98	USD
		EQT						
12/01/11		CASH DIVIDEND RECEIVED	10 SHRS ENTERGY CORP NEW COM RD 11/10 PD 12/01/11				8.30	USD
		ETR						
12/01/11		CASH DIVIDEND RECEIVED	8 SHRS HUNT J B TRANS SVCS INC COM RD 11/21 PD 12/01/11				1.04	USD
		JBHT						
12/01/11		CASH DIVIDEND RECEIVED	163 SHRS INTEL CORP COM RD 11/07 PD 12/01/11				34.23	USD
		INTC						
12/01/11		CASH DIVIDEND RECEIVED	14 SHRS KROGER CO RD 11/15 PD 12/01/11				1.61	USD
		KR						
12/01/11		CASH DIVIDEND RECEIVED	PIMCO TOTAL RETURN CLASS A FOR ACCRUAL PERIOD ENDING 11/30/11				1,775.62	USD
		PTTAX						
12/01/11		CASH DIVIDEND RECEIVED	37 SHRS WEYERHAEUSER CO RD 11/11 PD 12/01/11				5.55	USD
		WY						
12/02/11		CASH DIVIDEND RECEIVED	126 SHRS STARBUCKS CORP COM RD 11/17 PD 12/02/11				21.42	USD
		SBUX						
12/05/11	11/30/11	SOLD	THOMAS & BETTS CORP NEW (TTN) FORMERLY NJ Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-3.000	52.0000		155.99	USD
		TNB						
12/05/11		CASH DIVIDEND RECEIVED	9 SHRS ROCKWELL COLLINS INC DEL COM STK RD 11/14 PD 12/05/11				2.16	USD
		COL						
12/06/11	12/01/11	SOLD	CARLSLE COMPANIES INC Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-10.000	44.0029		440.02	USD
		CSL						
12/06/11	12/01/11	PURCHASED	ELDORADO GOLD CORP NEW COM ISIN#CA2849021035 Average Price Trade Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	19.000	18.1689		-345.21	USD
		EGO						
12/06/11	12/01/11	SOLD	FOREST OIL CORP COM Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-7.000	15.6729		109.70	USD
		FST						
12/06/11	12/01/11	PURCHASED	WALGREEN CO Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	13.000	33.8871		-440.53	USD
		WAG						
12/06/11		CASH DIVIDEND RECEIVED	554 SHRS PFIZER INC COM RD 11/10 PD 12/06/11				110.80	USD
		PFE						
12/06/11		CASH DIVIDEND RECEIVED	125 SHRS VISA INC COM CL A RD 11/18 PD 12/06/11				27.50	USD
		V						



Transactions in Date Sequence (continued)

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
12/07/11		FOREIGN SECURITY DIVIDEND RECEIVED	45 SHRS INVESCO LTD ORD SHS ISIN#BMG491BT11088 RD 11/18 PD 12/07/11				5.51	USD
12/07/11		INZ						
12/07/11		CASH DIVIDEND RECEIVED QEP	25 SHRS QEP RES INC COM RD 11/18 PD 12/07/11				0.50	USD
12/07/11		FOREIGN SECURITY DIVIDEND RECEIVED	18 SHRS TEVA PHARMACEUTICAL INDUSTRIES LTD ADR ISIN#US8816242098 RD 11/14 PD 12/07/11				3.87	USD
12/07/11		TEVA						
12/07/11		FOREIGN TAX WITHHELD AT THE SOURCE	18 SHRS TEVA PHARMACEUTICAL INDUSTRIES LTD ADR ISIN#US8816242098 RD 11/14 PD 12/07/11				-0.77	USD
12/08/11	12/05/11	SOLD INZ	INVESCO LTD ORD SHS ISIN#BMG491BT11088 Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-3.000	20.6900		62.06	USD
12/08/11		CASH DIVIDEND RECEIVED MSFT	12 SHRS MICROSOFT CORP COM RD 11/17 PD 12/08/11				2.40	USD
12/09/11		CASH DIVIDEND RECEIVED DBD	13 SHRS DIEBOLD INC COM RD 11/18 PD 12/09/11				3.64	USD
12/09/11		CASH DIVIDEND RECEIVED EXC	7 SHRS EXELON CORP COM RD 11/15 PD 12/09/11				3.68	USD
12/09/11		CASH DIVIDEND RECEIVED XOM	126 SHRS EXXON MOBIL CORP COM RD 11/10 PD 12/09/11				59.22	USD
12/09/11		CASH DIVIDEND RECEIVED HON	121 SHRS HONEYWELL INTL INC COM ISIN#US4385161066 RD 11/18 PD 12/09/11				45.07	USD
12/09/11		CASH DIVIDEND RECEIVED SNA	7 SHRS SNAP ON INC COM RD 11/18 PD 12/09/11				2.38	USD
12/12/11		CASH DIVIDEND RECEIVED CVX	122 SHRS CHEVRON CORP NEW COM RD 11/18 PD 12/12/11				98.82	USD
12/12/11		CASH DIVIDEND RECEIVED IBM	49 SHRS INTERNATIONAL BUSINESS MACHS CORP COM RD 11/10 PD 12/10/11				36.75	USD
12/12/11		CASH DIVIDEND RECEIVED NOC	91 SHRS NORTHROP GRUMMAN CORP COM RD 11/28 PD 12/10/11				45.50	USD
12/12/11		CASH DIVIDEND RECEIVED PPG	100 SHRS PPG INDUSTRIES INC RD 11/10 PD 12/12/11				57.00	USD
12/12/11		CASH DIVIDEND RECEIVED TGT	202 SHRS TARGET CORP COM RD 11/16 PD 12/10/11				60.60	USD
12/12/11		CASH DIVIDEND RECEIVED MMM	78 SHRS 3M CO COM RD 11/25 PD 12/12/11				42.90	USD
12/12/11		CASH DIVIDEND RECEIVED UTX	216 SHRS UNITED TECHNOLOGIES CORP COM RD 11/18 PD 12/10/11				103.68	USD
12/12/11		CASH DIVIDEND RECEIVED WAG	9 SHRS WALGREEN CO RD 11/14 PD 12/12/11				2.03	USD



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Transactions in Date Sequence (continued)

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
12/13/11		CASH DIVIDEND RECEIVED	37 SHRS ABERCROMBIE & FITCH CO CL A RD 11/28 PD 12/13/11				6.48	USD
12/13/11		CASH DIVIDEND RECEIVED	176 SHRS JOHNSON & JOHNSON COM RD 11/29 PD 12/13/11				100.32	USD
12/13/11		CASH DIVIDEND RECEIVED	35 SHRS PERRIGO COMPANY RD 11/25 PD 12/13/11				2.80	USD
12/13/11		CASH DIVIDEND RECEIVED	122 SHRS STANLEY BLACK & DECKER INC COM RD 12/02 PD 12/13/11				50.02	USD
12/14/11	12/09/11	SOLD	GENERAL MILLS INC COM Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-10.000	40.4629		404.62	USD
12/14/11		FOREIGN SECURITY DIVIDEND RECEIVED	13 SHRS EVEREST REINSURANCE GROUP LTD SHS ISIN#BMG3223R1088 RD 11/30 PD 12/14/11				6.24	USD
12/14/11		CASH DIVIDEND RECEIVED	58 SHRS APPLIED MATERIALS INC RD 11/23 PD 12/14/11				4.64	USD
12/14/11		CASH DIVIDEND RECEIVED	41 SHRS ESTEE LAUDER COMPANIES INC CL A RD 11/28 PD 12/14/11				43.05	USD
12/14/11		CASH DIVIDEND RECEIVED	295 SHRS METLIFE INC COM RD 11/09 PD 12/14/11				218.30	USD
12/14/11		FOREIGN SECURITY DIVIDEND RECEIVED	30 SHRS UNILEVER PLC SPON ADR NEW ISIN#US9047677045 RD 11/11 PD 12/14/11				9.20	USD
12/15/11	12/12/11	PURCHASED	BIOMEN IDEC INC COM Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	33.000	110.1871		-3,636.17	USD
12/15/11		CASH DIVIDEND RECEIVED	55 SHRS EXCO RES INC COM RD 11/30 PD 12/15/11				2.20	USD
12/15/11		CASH DIVIDEND RECEIVED	32 SHRS MOLSON COORS BREWING CO CL B NON VTG STK ISIN#US60871R2094 RD 11/30 PD 12/15/11				10.24	USD
12/15/11		CASH DIVIDEND RECEIVED	45 SHRS OLD REPUBLIC INTL CORP RD 12/05 PD 12/15/11				7.88	USD
12/15/11		CASH DIVIDEND RECEIVED	44 SHRS RADIOSHACK CORP COM RD 11/25 PD 12/15/11				22.00	USD
12/15/11		CASH DIVIDEND RECEIVED	44 SHRS SUNTRUST BKS INC COM RD 12/01 PD 12/15/11				2.20	USD

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Clearing through Pershing LLC, a subsidiary
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Pershing LLC, member FINRA, NYSE, SIPC

Transactions in Date Sequence (continued)

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
12/15/11		CASH DIVIDEND RECEIVED	9 SHRS TIDEWATER INC RD 12/05 PD 12/15/11				2.25	USD
12/16/11	12/13/11	TDW	GARMIN LTD SHS ISIN#CHH2906T1095 Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-5.000	38.2300		191.14	USD
12/16/11	12/13/11	SOLD GRMN	BLUE COAT SYS INC COM NEW Average Price Trade Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-22.000	25.1805		553.95	USD
12/16/11	12/13/11	SOLD BCSI	ELDORADO GOLD CORP NEW COM ISIN#CA2849021035 Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	11.000	15.8271		-174.10	USD
12/16/11	12/13/11	PURCHASED EGO	SUNTRUST BKS INC COM Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	7.000	17.0171		-119.12	USD
12/16/11	12/13/11	PURCHASED STI	7 SHRS AGL RESOURCES INC HOLDING COMPANY RD 12/14 PD 12/16/11				0.69	USD
12/16/11	12/13/11	CASH DIVIDEND RECEIVED GAS	35 SHRS CENTURYLINK INC COM RD 12/06 PD 12/16/11				25.38	USD
12/16/11	12/13/11	CASH DIVIDEND RECEIVED CTL	22 SHRS ENSCO PLC SPONSORED ADR ISIN#US29358Q1094 RD 12/05 PD 12/16/11				7.70	USD
12/16/11	12/13/11	FOREIGN SECURITY DIVIDEND RECEIVED ESV	11 SHRS MATTEL INC COM RD 11/30 PD 12/16/11				2.53	USD
12/16/11	12/13/11	CASH DIVIDEND RECEIVED MAT	9 SHRS NATIONAL OILWELL VARCO INC RD 12/02 PD 12/16/11				1.08	USD
12/16/11	12/13/11	CASH DIVIDEND RECEIVED NOV	102 SHRS PRUDENTIAL FINL INC COM RD 11/22 PD 12/16/11				147.90	USD
12/16/11	12/13/11	CASH DIVIDEND RECEIVED PRU	PEABODY ENERGY CORP COM Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-16.000	32.9929		527.87	USD
12/19/11	12/14/11	SOLD BTU	RANGE RES CORP COM Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-30.000	58.9729		1,769.15	USD
12/19/11	12/14/11	SOLD RRC	ALTERA CORP Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	8.000	33.9871		-271.90	USD
12/20/11	12/15/11	PURCHASED ALTR	DISCOVER FINL SVCS COM INC Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	3.000	23.1000		-69.30	USD
12/20/11	12/15/11	PURCHASED DFS	EXELON CORP COM Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	7.000	43.2071		-302.45	USD
12/20/11	12/15/11	PURCHASED EXC	MID-AMER APT CMNTYS INC COM Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	5.000	58.8771		-294.39	USD
12/20/11	12/15/11	PURCHASED MAA						



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Transactions in Date Sequence (continued)

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
12/20/11	12/15/11	PURCHASED PLCM	POLYCOM INC COM Average Price Trade Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	14.000	16.0994		-225.39	USD
12/21/11	12/16/11	PURCHASED ZNGA	ZYNGA INC CL A Average Price Trade Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	43.000	9.2990		-399.86	USD
12/21/11		CASH DIVIDEND RECEIVED ADI	12 SHRS ANALOG DEVICES INC RD 12/02 PD 12/21/11				3.00	USD
12/21/11		CASH DIVIDEND RECEIVED QCOM	241 SHRS QUALCOMM INC RD 11/23 PD 12/21/11				51.82	USD
12/21/11		CASH DIVIDEND RECEIVED STE	17 SHRS STERIS CORP RD 11/23 PD 12/21/11				2.89	USD
12/21/11		CASH DIVIDEND RECEIVED UNH	226 SHRS UNITEDHEALTH GROUP INC COM RD 12/07 PD 12/21/11				36.73	USD
12/22/11	12/19/11	PURCHASED COV	COVIDIEN PLC SHS NEW ISIN#E00B68SD29 Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	10.000	43.2171		-432.17	USD
12/22/11	12/19/11	SOLD ZNGA	ZYNGA INC CL A Average Price Trade Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-43.000	9.0509		389.18	USD
12/22/11		CASH DIVIDEND RECEIVED JMSX	JP MORGAN EMERGING MARKETS EQUITY FUND SELECT CLASS 4115.1470 SHRS RD 12/19 PD 12/21/11				56.46	USD
12/23/11	12/20/11	PURCHASED PCP	PRECISION CASTPARTS CORP Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	3.000	163.4200		-490.26	USD
12/23/11		CASH DIVIDEND RECEIVED AMT	129 SHRS AMERICAN TOWER CORP CL A RD 12/12 PD 12/23/11				45.15	USD
12/23/11		CASH DIVIDEND RECEIVED BAC	542 SHRS BANK AMER CORP COM RD 12/02 PD 12/23/11				5.42	USD
12/23/11		CASH DIVIDEND RECEIVED BLK	22 SHRS BLACKROCK INC COM RD 12/05 PD 12/23/11				30.25	USD
12/23/11		FOREIGN SECURITY DIVIDEND RECEIVED GG	16 SHRS GOLDCORP INC NEW COM ISIN#CA3809564097 RD 12/16 PD 12/23/11				0.72	USD



Transactions in Date Sequence (continued)

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
12/23/11		FOREIGN TAX WITHHELD AT THE SOURCE	T6 SHRS GOLDCORP INC NEW COM ISIN#CA3809564097 RD 12/16 PD 12/23/11				-0.11	USD
12/27/11	12/21/11	GG PURCHASED	CSX CORPORATION Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	15.000	20.8071		-312.11	USD
12/27/11	12/21/11	CSX SOLD	CHUBB CORP Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-8.000	68.6929		549.52	USD
12/27/11	12/21/11	CB SOLD	INTERNATIONAL BUSINESS MACHS CORP COM Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-6.000	180.1129		1,080.65	USD
12/27/11	12/21/11	IBM SOLD	PHILIP MORRIS INTL INC COM Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-8.000	77.6800		621.42	USD
12/27/11	12/21/11	PM PURCHASED	ST JUDE MED INC COM Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	79.000	32.7100		-2,584.09	USD
12/27/11	12/21/11	STJ CASH DIVIDEND RECEIVED	55 SHRS REDWOOD TR INC COM RD 12/15 PD 12/27/11				13.75	USD
12/27/11	12/21/11	RWT PURCHASED	PRECISION CASTPARTS CORP Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	4.000	162.6900		-650.76	USD
12/28/11	12/22/11	PCP CASH DIVIDEND RECEIVED	9 SHRS ANADARKO PETE CORP COM RD 12/14 PD 12/28/11				0.81	USD
12/28/11	12/21/11	APC CASH DIVIDEND RECEIVED	15 SHRS KOHLS CORP COM RD 12/07 PD 12/28/11				3.75	USD
12/29/11	12/21/11	KSS CASH DIVIDEND RECEIVED	13 SHRS CME GROUP INC COM RD 12/19 PD 12/29/11				18.20	USD
12/29/11	12/21/11	CME CASH DIVIDEND RECEIVED	101 SHRS GOLDMAN SACHS GROUP INC COM RD 12/01 PD 12/29/11				35.35	USD
12/29/11	12/21/11	GS CASH DIVIDEND RECEIVED	PIMCO TOTAL RETURN CLASS A 61805.2250 SHRS RD 12/27 PD 12/28/11				4,021.05	USD
12/29/11	12/21/11	PTTAX CASH DIVIDEND RECEIVED	19 SHRS POTLATCH CORP NEW COM RD 12/15 PD 12/29/11				5.89	USD
12/29/11	12/21/11	PCH CASH DIVIDEND RECEIVED	12 SHRS PROLOGIS INC COM RD 12/19 PD 12/29/11				3.36	USD
12/29/11	12/21/11	PLD CASH DIVIDEND RECEIVED	3 SHRS PUBLIC STORAGE COMMON STOCK RD 12/14 PD 12/29/11				2.85	USD
12/30/11	12/27/11	PSA SOLD	PERRIGO COMPANY Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-9.000	100.6100		905.47	USD
12/30/11	12/27/11	PRGO FOREIGN SECURITY DIVIDEND RECEIVED	22 SHRS GARMIN LTD SHS ISIN#CHH2906T1095 RD 12/15 PD 12/30/11				8.80	USD
12/30/11	12/27/11	GRMN						



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Transactions in Date Sequence (continued)

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
12/30/11		CASH DIVIDEND RECEIVED	10 SHRS BRE PPTYS INC COM RD 12/15 PD 12/30/11				3.75	USD
12/30/11		BRE						
12/30/11		CASH DIVIDEND RECEIVED	83 SHRS BECTON DICKINSON & CO RD 12/12 PD 12/30/11				37.35	USD
12/30/11		BDX						
12/30/11		CASH DIVIDEND RECEIVED	14 SHRS DEVON ENERGY CORP NEW COM RD 12/15 PD 12/30/11				2.38	USD
12/30/11		DVN						
12/30/11		CASH DIVIDEND RECEIVED	54 SHRS FRANKLIN RESOURCES INC RD 12/19 PD 12/30/11				14.58	USD
12/30/11		BEN						
12/30/11		CASH DIVIDEND RECEIVED	54 SHRS FRANKLIN RESOURCES INC RD 12/19 PD 12/30/11				108.00	USD
12/30/11		BEN						
12/30/11		MONEY MARKET FUND						
12/30/11		INCOME RECEIVED	HSBC INVSTR US GOVT				0.68	USD
12/30/11		403953488						
12/30/11		CASH DIVIDEND RECEIVED	216 SHRS LOCKHEED MARTIN CORP COM RD 12/01 PD 12/30/11				216.00	USD
12/30/11		LMT						
12/30/11		CASH DIVIDEND RECEIVED	9 SHRS NEWMONT MING CORP COM RD 12/08 PD 12/30/11				3.15	USD
12/30/11		NEM						
12/30/11		CASH DIVIDEND RECEIVED	30 SHRS RANGE RES CORP COM RD 12/16 PD 12/30/11				1.20	USD
12/30/11		RRC						
12/30/11		CASH DIVIDEND RECEIVED	8 SHRS RAYONIER INC COM RD 12/16 PD 12/30/11				3.20	USD
12/30/11		RYN						
12/30/11		CASH DIVIDEND RECEIVED	5 SHRS TAUBMAN CENTERS INC RD 12/19 PD 12/30/11				2.25	USD
12/30/11		TCO						
12/30/11		CASH DIVIDEND RECEIVED	132 SHRS TRAVELERS COS INC COM RD 12/09 PD 12/30/11				54.12	USD
12/30/11		TRV						
12/30/11		CASH DIVIDEND RECEIVED	75 SHRS WESTERN UN CO COM RD 12/19 PD 12/30/11				6.00	USD
12/30/11		WU						

Total Value of all Transactions

\$0.00

\$5,081.29

The price and quantity displayed may have been rounded.

Schedule of Realized Gains and Losses Year-to-Date

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term								
01/05/11	01/06/10	SELL FI	PG & E CORP	PCG	2.000	89.30	94.56	5.26
01/05/11	05/17/10	SELL FI	PG & E CORP	PCG	69.000	3,020.13	3,262.40	242.27
01/05/11	09/15/10	SELL FI	PG & E CORP	PCG	2.000	90.58	94.56	3.98
01/07/11	05/17/10	SELL FI	KOHL'S CORP COM	KSS	3.000	159.90	154.32	-5.58
01/07/11	08/13/10	SELL FI	KOHL'S CORP COM	KSS	21.000	957.77	1,080.22	122.45
01/07/11	09/15/10	SELL FI	KOHL'S CORP COM	KSS	6.000	297.36	308.63	11.27
01/10/11	09/15/10	SELL FI	KOHL'S CORP COM	KSS	52.000	2,577.12	2,700.93	123.81
01/10/11	10/07/10	SELL FI	KOHL'S CORP COM	KSS	11.000	575.94	571.35	-4.59
01/11/11	09/15/10	SELL FI	BECKMAN COULTER INC COM CASH MERGER	075811109	6.000	277.20	441.83	164.63
01/12/11	09/15/10	SELL FI	PG & E CORP	PCG	68.000	3,079.72	3,183.83	104.11
01/12/11	05/17/10	SELL FI	PRICELINE COM INC COM NEW	PCLN	5.000	1,014.95	2,169.51	1,154.56
01/12/11	06/17/10	SELL FI	PRICELINE COM INC COM NEW	PCLN	1.000	194.42	433.90	239.48
01/19/11	05/11/10	SELL FI	AMPHENOL CORP NEW CL A	APH	1.000	44.94	52.12	7.18
01/19/11	05/12/10	SELL FI	AMPHENOL CORP NEW CL A	APH	17.000	768.74	886.02	117.28
01/19/11	05/17/10	SELL FI	ORACLE CORP COM	ORCL	43.000	1,019.10	1,348.54	329.44
01/19/11	05/17/10	SELL FI	ORACLE CORP COM	ORCL	47.000	1,113.90	1,477.74	363.84
01/20/11	12/09/10	SELL FI	VMWARE INC CL A COM	VMW	15.000	1,312.92	1,311.57	-1.35
01/24/11	11/12/10	SELL FI	HALLIBURTON CO COM	HAL	18.000	639.88	714.58	74.70
01/26/11	05/17/10	SELL FI	COMCAST CORP CL A	CMCSA	13.000	231.40	304.19	72.79
01/26/11	09/15/10	SELL FI	COMCAST CORP CL A	CMCSA	12.000	220.08	280.80	60.72



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Statement Period: 12/01/2011 - 12/31/2011

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
01/28/11	05/12/10*	SELL FI	AMPHENOL CORP NEW CL A	APH	12,000	542.64	671.26	128.62
01/28/11	05/17/10*	SELL FI	AMPHENOL CORP NEW CL A	APH	11,000	492.14	615.33	123.19
01/28/11	11/05/10*	SELL FI	COVANCE INC	CVD	7,000	306.52	396.96	90.44
02/01/11	11/29/10*	SELL FI	JONES GROUP INC COM	JNY	55,000	757.57	718.57	-39.00
02/01/11	03/12/10*	SELL FI	PEPSICO INC COM	PEP	11,000	716.54	708.63	-7.91
02/01/11	05/03/10*	SELL FI	PEPSICO INC COM	PEP	3,000	197.94	193.26	-4.68
02/01/11	05/17/10*	SELL FI	PEPSICO INC COM	PEP	22,000	1,470.70	1,417.25	-53.45
02/02/11	03/12/10*	SELL FI	CHUBB CORP	CB	5,000	254.80	290.30	35.50
02/02/11	05/17/10*	SELL FI	CHUBB CORP	CB	47,000	2,430.84	2,728.86	298.02
02/02/11	03/03/10*	SELL FI	WELLS FARGO & CO NEW COM	WFC	86,000	2,434.49	2,836.38	401.89
02/04/11	05/17/10*	SELL FI	COVIDIEN PLC_SHS C/A EFF 3/18/11 1 OLD	G2554F105	8,000	361.32	389.11	27.79
02/04/11	03/12/10*	SELL FI	CELGENE CORP	CELG	59,000	3,611.39	2,959.49	-651.90
02/04/11	05/17/10*	SELL FI	CELGENE CORP	CELG	4,000	237.16	200.64	-36.52
02/04/11	05/17/10*	SELL FI	FREPORT-MCMORAN COPPER & GOLD INC CL	FCX	5,000	170.20	281.39	111.19
02/07/11	09/15/10*	SELL FI	BECKMAN COULTER INC COM CASH MERGER	075811109	7,000	323.40	577.41	254.01
02/07/11	05/17/10*	SELL FI	CELGENE CORP	CELG	42,000	2,490.18	2,139.93	-350.25
02/09/11	05/17/10*	SELL FI	AMPHENOL CORP NEW CL A	APH	35,000	1,565.90	2,000.63	434.73

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
02/09/11	09/15/10 *	SELL FI	AMPHENOL CORP NEW CL A	APH	11,000	519.86	628.77	108.91
02/09/11	11/12/10 *	SELL FI	AMPHENOL CORP NEW CL A	APH	32,000	1,615.04	1,829.14	214.10
02/09/11	08/13/10 *	SELL FI	FMC TECHNOLOGIES INC COM	FTI	18,000	1,106.61	1,613.48	506.87
02/09/11	05/03/10 *	SELL FI	SCHLUMBERGER LTD COM ISIN#AN8068571086	SLB	14,000	986.86	1,225.11	238.25
02/10/11	08/13/10 *	SELL FI	CISCO SYSTEMS INC	CSCO	41,000	877.32	787.30	0.00
02/10/11	09/03/10 *	SELL FI	CISCO SYSTEMS INC	CSCO	61,000	1,277.83	1,171.35	-90.023
02/10/11	02/09/11	SELL FI	CISCO SYSTEMS INC	CSCO	41,000	995.61	787.30	0.00
02/10/11	02/09/11	SELL FI	181 day(s) added to your holding period as a result of a wash sale. CISCO SYSTEMS INC	CSCO	61,000	1,453.83	1,171.35	-106.483
02/10/11	02/09/11	SELL FI	160 day(s) added to your holding period as a result of a wash sale. CISCO SYSTEMS INC	CSCO	60,000	1,325.26	1,152.17	-208.31
02/10/11	06/24/10 *	SELL FI	RAYMOND JAMES FINL INC COM	RJF	15,000	400.32	569.08	-282.48
02/10/11	09/15/10 *	SELL FI	RAYMOND JAMES FINL INC COM	RJF	3,000	75.24	113.82	-173.09
02/10/11	05/04/10 *	SELL FI	SHIRE PLC SPONS ADR	SHPGY	2,000	132.42	160.94	168.76
02/10/11	05/10/10 *	SELL FI	SHIRE PLC SPONS ADR	SHPGY	23,000	1,495.64	1,850.77	38.58
02/10/11	10/19/10 *	SELL FI	WALTER ENERGY INC COM	WLT	14,000	1,188.86	1,688.64	28.52
02/11/11	05/17/10 *	SELL FI	HEWLETT PACKARD CO COM	HPQ	15,000	707.37	725.54	355.13
02/11/11	09/15/10 *	SELL FI	HEWLETT PACKARD CO COM	HPQ	16,000	638.08	773.90	499.78
02/16/11	05/11/10 *	SELL FI	AGILENT TECHNOLOGIES INC COM	A	25,000	847.21	1,085.72	18.17
02/16/11	09/15/10 *	SELL FI	NETFLIX INC COM	NFLX	8,000	1,160.08	1,918.12	135.82
02/16/11	07/07/10 *	SELL FI	ST JUDE MED INC COM	STJ	58,000	2,087.31	2,721.99	238.51
02/18/11	05/17/10 *	SELL FI	GENZYME CORP COM FORMERLY COM GEN DIV	372917104	2,000	101.98	150.60	758.04
								634.68
								48.62



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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
02/18/11	07/06/10 *	SELL FI	GENZYME CORP COM FORMERLY COM GEN DIV	372917104	2.000	103.04	150.60	47.56
02/18/11	09/15/10 *	SELL FI	GENZYME CORP COM FORMERLY COM GEN DIV	372917104	2.000	140.90	150.59	9.69
02/18/11	05/17/10 *	SELL FI	GREEN MTN COFFEE ROASTERS INC COM	GMCN	4.000	98.63	167.11	68.48
02/18/11	07/06/10 *	SELL FI	NETAPP INC COM	NTAP	11.000	414.79	582.43	167.64
02/25/11	08/04/10 *	SELL FI	NOBLE CORPORATION BAAR NAMEN AKT	NE	79.000	2,767.01	3,484.69	717.68
02/25/11	05/11/10 *	SELL FI	AGILENT TECHNOLOGIES INC COM	A	16.000	542.22	672.02	129.80
02/25/11	05/17/10 *	SELL FI	AGILENT TECHNOLOGIES INC COM	A	16.000	550.24	672.01	121.77
02/25/11	05/17/10 *	SELL FI	CITRIX SYSTEMS INC	CTXS	15.000	696.15	1,068.42	372.27
02/25/11	05/17/10 *	SELL FI	CLIFFS NAT RES INC COM	CLF	31.000	1,647.65	2,964.21	1,316.56
02/25/11	05/17/10 *	SELL FI	HESS CORP COM	HES	13.000	720.17	1,089.93	369.76
02/25/11	09/15/10 *	SELL FI	HEWLETT PACKARD CO COM	HPQ	4.000	159.52	170.88	11.36
02/25/11	01/10/11	SELL FI	HEWLETT PACKARD CO COM	HPQ	108.000	4,824.22	4,613.66	-210.56
02/25/11	11/01/10 *	SELL FI	JUNIPER NETWORKS INC COM	JNPR	20.000	647.57	872.38	224.81
02/28/11	05/17/10 *	SELL FI	AGILENT TECHNOLOGIES INC COM	A	16.000	550.24	678.55	128.31
02/28/11	05/17/10 *	SELL FI	AGILENT TECHNOLOGIES INC COM	A	16.000	550.24	677.42	127.18
02/28/11	05/17/10 *	SELL FI	CLIFFS NAT RES INC COM	CLF	6.000	318.90	580.18	261.28
02/28/11	06/17/10 *	SELL FI	PRICELINE COM INC COM NEW	PCLN	4.000	777.68	1,834.00	1,056.32

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
03/01/11	05/26/10 *	SELL FI	NATIONWIDE HEALTH PROPERTIES C/A EFF	638620104	5,000	171.84	207.70	35.86
03/01/11	07/07/10 *	SELL FI	NATIONWIDE HEALTH PROPERTIES C/A EFF	638620104	7,000	246.89	290.77	43.88
03/02/11	05/17/10 *	SELL FI	AGILENT TECHNOLOGIES INC COM	A	4,000	137.56	165.44	27.88
03/02/11	09/15/10 *	SELL FI	AGILENT TECHNOLOGIES INC COM	A	59,000	1,734.01	2,440.30	706.29
03/02/11	11/01/10 *	SELL FI	JUNIPER NETWORKS INC COM	JNPR	14,000	453.30	614.30	161.00
03/02/11	10/20/10 *	SELL FI	WALGREEN CO	WAG	169,000	5,781.49	6,994.02	1,212.53
03/02/11	03/03/10 *	SELL FI	WELLS FARGO & CO NEW COM	WFC	1,000	28.31	31.51	3.20
03/02/11	05/17/10 *	SELL FI	WELLS FARGO & CO NEW COM	WFC	42,000	1,330.98	1,323.60	-7.38
03/02/11	07/14/10 *	SELL FI	WELLS FARGO & CO NEW COM	WFC	66,000	1,807.66	2,079.94	272.28
03/03/11	05/17/10 *	SELL FI	INVESCO LTD ORD SHS	IVZ	36,000	731.88	967.01	235.13
03/03/11	05/17/10 *	SELL FI	BB & T CORP COM	BBT	4,000	133.88	108.00	-25.88
03/03/11	09/15/10 *	SELL FI	BB & T CORP COM	BBT	17,000	398.82	458.98	60.16
03/03/11	04/20/10 *	SELL FI	BERKSHIRE HATHAWAY INC DEL CL B NEW	BRK B	3,000	239.40	257.27	17.87
03/03/11	05/17/10 *	SELL FI	BERKSHIRE HATHAWAY INC DEL CL B NEW	BRK B	2,000	152.40	171.52	19.12
03/03/11	02/09/11	SELL FI	CISCO SYSTEMS INC	CSCO	10,000	220.88	185.79	-35.09
03/03/11	05/17/10 *	SELL FI	EMC CORP (MASS) COM	EMC	24,000	449.97	653.50	203.53
03/03/11	01/10/11	SELL FI	HEWLETT PACKARD CO COM	HPQ	13,000	580.69	565.61	-15.08
03/03/11	03/12/10 *	SELL FI	PEOPLES UTD FINL INC COM	PBCT	10,000	156.50	127.91	-28.59
03/03/11	05/17/10 *	SELL FI	PEOPLES UTD FINL INC COM	PBCT	32,000	469.76	409.33	-60.43
03/03/11	05/28/10 *	SELL FI	RYLAND GROUP INC COM	RYL	21,000	386.78	350.10	-36.68
03/03/11	09/15/10 *	SELL FI	RYLAND GROUP INC COM	RYL	12,000	209.88	200.05	-9.83



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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
03/03/11	05/17/10*	SELL FI	WAL MART STORES INC COM	WMT	2,000	105.20	103.66	-1.54
03/03/11	10/20/10*	SELL FI	WALGREEN CO	WAG	29,000	992.09	1,224.93	232.84
03/10/11	08/04/10*	SELL FI	NOBLE CORPORATION BAAR NAMEN AKT	NE	76,000	2,661.93	3,263.44	601.51
03/10/11	09/15/10*	SELL FI	NOBLE CORPORATION BAAR NAMEN AKT	NE	37,000	1,282.05	1,588.78	306.73
03/10/11	09/15/10*	SELL FI	NETFLIX INC COM	NFLX	9,000	1,305.09	1,777.55	472.46
03/10/11	11/12/10*	SELL FI	NETFLIX INC COM	NFLX	5,000	854.79	987.53	132.74
03/10/11	07/07/10*	SELL FI	ST JUDE MED INC COM	STJ	22,000	791.74	1,077.58	285.84
03/10/11	09/15/10*	SELL FI	ST JUDE MED INC COM	STJ	28,000	1,023.35	1,371.47	348.12
03/11/11	05/17/10*	SELL FI	GREEN MTN COFFEE ROASTERS INC COM	GMCR	39,000	961.69	2,302.58	1,340.89
03/14/11	07/06/10*	SELL FI	NETAPP INC COM	NTAP	20,000	754.16	948.58	194.42
03/14/11	06/09/10*	SELL FI	PENNEY J C INC	JCP	10,000	257.68	368.69	111.01
03/14/11	09/15/10*	SELL FI	PENNEY J C INC	JCP	2,000	48.40	73.74	25.34
03/18/11	05/17/10*	SELL FI	ELECTRONIC ARTS INC COM	EA	12,000	211.80	223.07	11.27
03/18/11	09/15/10*	SELL FI	ELECTRONIC ARTS INC COM	EA	12,000	198.72	223.08	24.36
03/21/11	09/15/10*	SELL FI	JOHNSON CTLS INC COM	JCI	13,000	371.28	522.58	151.30
03/21/11	12/02/10*	SELL FI	JOHNSON CTLS INC COM	JCI	1,000	39.22	40.20	0.98
03/21/11	10/12/10*	SELL FI	NIKE INC CLASS B	NKE	35,000	2,874.48	2,695.40	-179.08

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
03/21/11	11/12/10*	SELL FI	NIKE INC CLASS B	NKE	33.000	2,712.87	2,541.37	-171.50
03/22/11	09/29/10*	SELL FI	CHURCH & DWIGHT CO INC	CHD	6.000	391.44	474.64	83.20
03/22/11	10/04/10*	SELL FI	CHURCH & DWIGHT CO INC	CHD	1.000	67.31	79.11	11.80
03/23/11	05/17/10*	SELL FI	HESS CORP COM	HES	26.000	1,440.34	2,093.52	653.18
03/23/11	05/17/10*	SELL FI	NORTHROP GRUMMAN CORP COM	NOC	67.000	4,268.50	4,521.26	252.76
03/25/11	09/09/10*	SELL FI	BEST BUY COMPANY INC	BBY	8.000	269.10	233.03	0.00
03/25/11	12/14/10*	SELL FI	BEST BUY COMPANY INC	BBY	7.000	247.87	203.91	-36.073
03/30/11	05/17/10*	CLEU SL	HUNTINGTON INGALLS INDS INC COM	HII	0.500	18.45	4.63	-37.68
04/05/11	09/15/10*	SELL FI	INTERSIL CORP CL A	ISIL	8.000	84.80	108.23	-6.283
04/06/11	05/17/10*	SELL FI	GREEN MTN COFFEE ROASTERS INC COM	GMC	13.000	320.56	865.80	-13.82
04/06/11	09/15/10*	SELL FI	GREEN MTN COFFEE ROASTERS INC COM	GMC	14.000	499.80	932.41	23.43
04/06/11	05/17/10*	SELL FI	HUNTINGTON INGALLS INDS INC COM	HII	1.500	55.32	59.99	545.24
04/06/11	09/15/10*	SELL FI	HUNTINGTON INGALLS INDS INC COM	HII	23.500	790.10	939.99	432.61
04/07/11	09/15/10*	SELL FI	PUBLIC SVC ENTERPRISE GROUP	PEG	93.000	2,917.41	2,907.39	4.67
04/08/11	08/09/10*	SELL FI	CERNER CORP	CERN	1.000	77.22	109.71	149.89
04/08/11	05/17/10*	SELL FI	COMSTOCK RES INC COM NEW	CRK	3.000	93.48	90.69	-10.02
04/08/11	09/15/10*	SELL FI	COMSTOCK RES INC COM NEW	CRK	13.000	264.68	392.98	32.49
04/08/11	05/17/10*	SELL FI	KB HOME COM	KBH	15.000	242.55	174.02	-2.79
04/08/11	09/15/10*	SELL FI	KB HOME COM	KBH	41.000	481.34	475.67	128.30
04/08/11	05/17/10*	SELL FI	PEABODY ENERGY CORP COM	BTU	19.000	778.81	1,312.91	-68.53
04/08/11	06/16/10*	SELL FI	PEABODY ENERGY CORP COM	BTU	22.000	911.20	1,520.21	-5.67
								534.10
								609.01



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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
04/11/11	05/17/10 *	SELL FI	BIG LOTS INC COM	BIG	4,000	144.84	174.96	30.12
04/11/11	05/17/10 *	SELL FI	CLIFFS NAT RES INC COM	CLF	17,000	903.55	1,684.83	781.28
04/11/11	09/15/10 *	SELL FI	CLIFFS NAT RES INC COM	CLF	1,000	63.61	99.11	35.50
04/11/11	06/16/10 *	SELL FI	PEABODY ENERGY CORP COM	BTU	40,000	1,656.72	2,691.27	1,034.55
04/11/11	09/15/10 *	SELL FI	PEABODY ENERGY CORP COM	BTU	21,000	959.28	1,412.91	453.63
04/11/11	06/17/10 *	SELL FI	PRICELINE COM INC COM NEW	PCLN	3,000	583.26	1,501.95	918.69
04/11/11	06/23/10 *	SELL FI	PRICELINE COM INC COM NEW	PCLN	2,000	375.30	1,001.30	626.00
04/12/11	05/17/10 *	SELL FI	FLOWERS FOODS INC COM	FLO	7,000	184.31	197.32	13.01
04/12/11	05/21/10 *	SELL FI	NATIONAL OILWELL VARCO INC	NOV	6,000	219.52	445.43	225.91
04/12/11	09/15/10 *	SELL FI	NATIONAL OILWELL VARCO INC	NOV	5,000	204.10	371.19	167.09
04/13/11	05/17/10 *	SELL FI	INTEL CORP COM	INTC	123,000	2,692.22	2,435.83	-256.39
04/13/11	09/15/10 *	SELL FI	INTEL CORP COM	INTC	1,000	18.69	19.80	1.11
04/13/11	02/28/11	SELL FI	PETROLEO BRASILEIRO SA PETROBRAS	PBR A	31,000	1,082.46	1,044.67	-37.79
04/14/11	10/04/10 *	SELL FI	CHURCH & DWIGHT CO INC	CHD	4,000	269.24	319.83	50.59
04/15/11	12/09/10 *	SELL FI	ASML HOLDING N V HOLDING N V NY	ASML	64,000	2,395.46	2,566.54	171.08
04/15/11	02/28/11	SELL FI	PETROLEO BRASILEIRO SA PETROBRAS	PBR A	73,000	2,549.01	2,442.68	-106.33
04/18/11	05/17/10 *	SELL FI	GOOGLE INC CL A	GOOG	4,000	2,008.16	2,088.11	79.95

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
04/18/11	08/13/10 *	SELL FI	GOOGLE INC CL A	GOOG	1,000	487.58	522.03	34.45
04/20/11	05/17/10 *	SELL FI	GOLDMAN SACHS GROUP INC COM	GS	21,000	2,976.16	3,210.62	234.46
04/20/11	09/15/10 *	SELL FI	ST JUDE MED INC COM	STJ	68,000	2,485.27	3,585.77	1,100.50
04/26/11	05/17/10 *	SELL FI	ZIMMER HLDGS INC COM	ZMH	4,000	238.44	250.07	11.63
04/27/11	05/17/10 *	SELL FI	PHILIP MORRIS INTL INC COM	PM	47,000	2,186.82	3,167.44	980.62
04/28/11	05/17/10 *	SELL FI	V F CORP	VFC	2,000	162.86	214.58	51.72
04/28/11	09/15/10 *	SELL FI	V F CORP	VFC	2,000	155.56	214.57	59.01
05/03/11	05/17/10 *	SELL FI	ACCENTURE PLC IRELAND CLASS SHS	ACN	8,000	316.80	448.87	132.07
05/03/11	05/17/10 *	SELL FI	COVIDIEN PLC SHS NEW	COV	4,000	180.66	222.75	42.09
05/03/11	09/15/10 *	SELL FI	COVIDIEN PLC SHS NEW	COV	7,000	267.54	389.82	122.28
05/03/11	05/17/10 *	SELL FI	AARONS INC COM PAR \$0.50	AAN	14,000	288.68	402.21	113.53
05/03/11	09/15/10 *	SELL FI	AARONS INC COM PAR \$0.50	AAN	3,000	50.82	86.19	35.37
05/03/11	07/02/10 *	SELL FI	CABOT OIL & GAS CORP COM	COG	9,000	278.35	496.52	218.17
05/03/11	07/06/10 *	SELL FI	EQT CORPORATION COM	EQT	4,000	144.59	212.23	67.64
05/03/11	09/15/10 *	SELL FI	INTERSIL CORP CL A	ISIL	13,000	137.80	189.66	51.86
05/03/11	05/14/10 *	SELL FI	KBR INC COM	KBR	6,000	135.06	225.41	90.35
05/03/11	05/17/10 *	SELL FI	OMNICARE INC COM	OCR	6,000	156.90	190.01	33.11
05/03/11	06/23/10 *	SELL FI	PRICELINE COM INC COM NEW	PCLN	4,000	750.60	2,160.39	1,409.79
05/03/11	09/15/10 *	SELL FI	ST JUDE MED INC COM	STJ	11,000	402.03	590.57	188.54
05/03/11	09/10/10 *	SELL FI	TD AMERITRADE HLDG CORP COM	AMTD	19,000	291.82	408.87	117.05
05/03/11	05/17/10 *	SELL FI	TECH DATA	TECD	4,000	164.12	208.23	44.11



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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
05/03/11	09/01/10 *	SELL FI	WEYERHAEUSER CO	WY	8.000	124.32	178.79	54.47
05/04/11	06/23/10 *	SELL FI	PRICELINE COM INC COM NEW	PCLN	2.000	375.30	1,061.89	686.59
05/05/11	09/15/10 *	SELL FI	AARONS INC COM PAR \$0 50	AAN	6.000	101.64	166.97	65.33
05/06/11	06/23/10 *	SELL FI	PRICELINE COM INC COM NEW	PCLN	4.000	750.60	2,098.55	1,347.95
05/09/11	05/17/10 *	SELL FI	GOLDMAN SACHS GROUP INC COM	GS	34.000	4,818.55	5,085.66	247.11
05/09/11	06/23/10 *	SELL FI	PRICELINE COM INC COM NEW	PCLN	4.000	750.60	2,122.75	1,372.15
05/11/11	09/15/10 *	SELL FI	AARONS INC COM PAR \$0 50	AAN	15.000	254.10	409.94	155.84
05/11/11	05/17/10 *	SELL FI	KELLOGG CO	K	6.000	330.72	340.97	10.25
05/11/11	09/15/10 *	SELL FI	KELLOGG CO	K	4.000	203.60	227.31	23.71
05/12/11	09/15/10 *	SELL FI	ST JUDE MED INC COM	STJ	11.000	402.03	573.09	171.06
05/12/11	09/30/10 *	SELL FI	ST JUDE MED INC COM	STJ	24.000	940.99	1,250.37	309.38
05/12/11	07/14/10 *	SELL FI	WELLS FARGO & CO NEW COM	WFC	51.000	1,396.82	1,427.10	30.28
05/12/11	09/15/10 *	SELL FI	WELLS FARGO & CO NEW COM	WFC	236.000	6,189.83	6,603.83	414.00
05/13/11	09/15/10 *	SELL FI	AARONS INC COM PAR \$0 50	AAN	7.000	118.58	193.89	75.31
05/13/11	05/17/10 *	SELL FI	GOLDMAN SACHS GROUP INC COM	GS	40.000	5,668.88	5,681.21	12.33
05/13/11	07/19/10 *	SELL FI	GOLDMAN SACHS GROUP INC COM	GS	15.000	2,180.85	2,130.45	0.00
05/16/11	12/23/10 *	SELL FI	CONSTELLATION ENERGY GROUP INC	CEG	13.000	405.19	485.41	80.22



Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
05/17/11	07/29/10*	SELL FI	AON CORPORATION	AON	101.000	3,726.90	5,280.56	1,553.66
05/17/11	09/15/10*	SELL FI	AON CORPORATION	AON	42.000	1,585.50	2,195.88	610.38
05/17/11	10/15/10*	SELL FI	CONAGRA FOODS INC COM	CAG	30.000	673.74	756.67	82.93
05/17/11	10/21/10*	SELL FI	CONAGRA FOODS INC COM	CAG	34.000	777.18	857.56	80.38
05/19/11	07/02/10*	SELL FI	CABOT OIL & GAS CORP COM	COG	4.000	123.71	215.88	92.17
05/19/11	09/15/10*	SELL FI	CABOT OIL & GAS CORP COM	COG	4.000	116.20	215.87	99.67
05/19/11	09/15/10*	SELL FI	INTUIT INCORPORATED COM	INTU	2.000	87.92	108.91	20.99
05/24/11	09/15/10*	SELL FI	COVIDIEN PLC SHS NEW	COV	16.000	611.52	887.98	276.46
06/01/11	09/30/10*	SELL FI	ST JUDE MED INC COM	STJ	1.000	39.21	50.15	10.94
06/01/11	12/16/10*	SELL FI	ST JUDE MED INC COM	STJ	123.000	5,120.49	6,168.42	1,047.93
06/02/11	12/09/10*	SELL FI	DOLLAR GEN CORP NEW COM	DG	57.000	1,779.80	1,837.81	58.01
06/02/11	11/01/10*	SELL FI	JUNIPER NETWORKS INC COM	JNPR	44.000	1,424.65	1,474.54	49.89
06/03/11	06/03/10*	SELL FI	FLOWERS FOODS INC COM	FLO	1.000	24.79	31.98	7.19
06/03/11	08/19/10*	SELL FI	FLOWERS FOODS INC COM	FLO	11.000	273.11	351.77	78.66
06/06/11	12/09/10*	SELL FI	DOLLAR GEN CORP NEW COM	DG	112.000	3,497.16	3,613.05	115.89
06/07/11	12/09/10*	SELL FI	DOLLAR GEN CORP NEW COM	DG	14.000	437.14	462.41	25.27
06/07/11	11/01/10*	SELL FI	JUNIPER NETWORKS INC COM	JNPR	23.000	744.71	743.41	-1.30
06/07/11	11/12/10*	SELL FI	JUNIPER NETWORKS INC COM	JNPR	35.000	1,233.33	1,131.28	-102.05
06/08/11	11/12/10*	SELL FI	JUNIPER NETWORKS INC COM	JNPR	6.000	211.43	192.91	-18.52
06/08/11	04/13/11	SELL FI	JUNIPER NETWORKS INC COM	JNPR	16.000	620.93	514.44	-106.49
06/08/11	04/20/11	SELL FI	JUNIPER NETWORKS INC COM	JNPR	23.000	895.09	739.50	-155.59



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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term (continued)								
06/09/11	09/15/10 *	SELL FI	CABOT OIL & GAS CORP COM	COG	9,000	261.45	529.63	268.18
06/09/11	08/19/10 *	SELL FI	PROGRESSIVE CORP OH COM	PGR	31,000	604.44	632.47	28.03
06/09/11	09/15/10 *	SELL FI	PROGRESSIVE CORP OH COM	PGR	10,000	206.60	204.03	-2.57
06/10/11	12/23/10 *	SELL FI	CONSTELLATION ENERGY GROUP INC	CEG	4,000	124.67	147.04	22.37
06/10/11	04/12/11	SELL FI	CONSTELLATION ENERGY GROUP INC	CEG	-21,000	689.58	771.94	82.36
06/13/11	04/12/11	SELL FI	CONSTELLATION ENERGY GROUP INC	CEG	3,000	98.51	110.31	11.80
06/13/11	05/03/11	SELL FI	CONSTELLATION ENERGY GROUP INC	CEG	10,000	369.37	367.69	-1.68
06/13/11	09/15/10 *	SELL FI	KIMBERLY CLARK CORP	KMB	3,000	199.74	195.23	-4.51
06/15/11	05/03/11	SELL FI	BALL CORP COM	BLL	6,000	225.12	224.69	-0.43
06/15/11	09/15/10 *	SELL FI	HESS CORP COM	HES	30,000	1,642.20	2,168.65	526.45
06/21/11	08/13/10 *	SELL FI	GOOGLE INC CL A	GOOG	1,000	487.58	492.99	5.41
06/21/11	09/15/10 *	SELL FI	HCC INS HLDGS INC COM	HCC	2,000	52.64	62.94	10.30
06/27/11	09/15/10 *	SELL FI	HCC INS HLDGS INC COM	HCC	6,000	157.92	186.79	28.87
06/27/11	06/24/11	SELL FI	HCC INS HLDGS INC COM	HCC	24,000	741.77	747.18	5.41
07/05/11	07/07/10 *	CLEU FI	VENTAS INC COM	VTR	0,079	3.56	4.30	0.74
07/05/11	04/04/11	SELL FI	VMWARE INC CL A COM	VMW	19,000	1,499.99	1,901.48	401.49
07/06/11	09/15/10 *	SELL FI	ACCENTURE PLC IRELAND CLASS SHS	ACN	14,000	551.74	864.94	313.20

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term (continued)								
07/06/11	07/19/10 *	SELL FI	GOLDMAN SACHS GROUP INC COM	GS	2,000	290.78	267.29	-23.49
07/06/11	09/15/10 *	SELL FI	INTEL CORP COM	INTC	9,000	168.19	204.02	35.83
07/06/11	12/03/10 *	SELL FI	OCCIDENTAL PETE CORP COM	OXY	1,000	90.82	105.95	15.13
07/07/11	01/19/11	SELL FI	SCHWAB CHARLES CORP NEW COM	SCHW	127,000	2,377.01	2,033.60	-343.41
07/11/11	01/19/11	SELL FI	SCHWAB CHARLES CORP NEW COM	SCHW	78,000	1,459.89	1,198.84	-261.05
07/11/11	01/19/11	SELL FI	SCHWAB CHARLES CORP NEW COM	SCHW	80,000	1,497.33	1,225.80	-271.53
07/11/11	01/25/11	SELL FI	SCHWAB CHARLES CORP NEW COM	SCHW	42,000	751.30	643.55	-107.75
07/12/11	04/04/11	SELL FI	VMWARE INC CL A COM	VMW	11,000	868.42	1,107.12	238.70
07/13/11	04/06/11	SELL FI	VMWARE INC CL A COM	VMW	12,000	944.62	1,230.45	285.83
07/21/11	09/15/10 *	ZMEC SL	SRA INTL INC C/A EFF 7/20/2011 1 OLD/ USD	78464R105	10,000	201.30	312.50	111.20
07/22/11	08/09/10 *	SELL FI	EXPRESS SCRIPTS INC COM	ESRX	85,000	3,888.64	4,860.45	971.81
07/27/11	09/15/10 *	SELL FI	DISNEY WALT CO DISNEY COM	DIS	93,000	3,197.34	3,715.54	518.20
07/27/11	12/08/10 *	SELL FI	DISNEY WALT CO DISNEY COM	DIS	10,000	372.28	399.53	27.25
07/27/11	11/09/10 *	SELL FI	FORD MOTOR CO DEL COM PAR	F	379,000	6,146.57	4,715.34	-1,431.23
07/27/11	01/06/11	SELL FI	FORD MOTOR CO DEL COM PAR	F	83,000	1,502.19	1,032.65	-469.54
07/27/11	02/18/11	SELL FI	FORD MOTOR CO DEL COM PAR	F	5,000	78.85	62.21	-16.64
07/28/11	08/09/10 *	SELL FI	CERN CORP	CERN	12,000	463.31	749.17	285.86
07/28/11	08/13/10 *	SELL FI	CERN CORP	CERN	5,000	191.12	312.16	121.04
08/01/11	03/07/11	SELL FI	PETROHAWK ENERGY CORP C/A EFF 8/25/11	716495106	27,000	586.69	1,032.27	445.58
08/03/11	02/10/11	SELL FI	DISNEY WALT CO DISNEY COM	DIS	48,000	2,086.47	1,784.74	-301.73
08/03/11	08/19/10 *	SELL FI	FLOWERS FOODS INC COM	FLO	18,000	297.94	389.03	91.09



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Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
08/03/11	09/15/10*	SELL FI	FLOWERS FOODS INC COM	FLO	1,000	16.72	21.61	4.89
08/03/11	11/17/10*	SELL FI	M & T BK CORP	MTB	5,000	401.00	422.55	21.55
08/03/11	12/02/10*	SELL FI	M & T BK CORP	MTB	7,000	562.52	591.58	29.06
08/03/11	01/26/11	SELL FI	REYNOLDS AMERN INC COM	RAI	104,000	3,367.37	3,598.56	231.19
08/03/11	07/06/11	SELL FI	REYNOLDS AMERN INC COM	RAI	2,000	75.96	69.20	-6.76
08/05/11	08/19/10*	SELL FI	PARTNERRE LTD SHS	PRE	3,000	221.34	186.83	-34.51
08/05/11	04/20/11	SELL FI	AEROPOSTALE COM	ARO	20,000	522.34	252.25	-270.09
08/05/11	10/07/10*	SELL FI	IMMUCOR INC COM EFF 08/19/11 1 OLD /	452526106	27,000	450.85	701.48	250.63
08/05/11	12/02/10*	SELL FI	M & T BK CORP	MTB	4,000	321.44	318.60	-2.84
08/05/11	03/03/11	SELL FI	M & T BK CORP	MTB	3,000	260.04	238.95	-21.09
08/05/11	05/03/11	SELL FI	M & T BK CORP	MTB	2,000	175.74	159.31	-16.43
08/05/11	12/14/10*	SELL FI	NOVARTIS AG SPONSORED ADR	NVS	6,000	334.79	340.97	6.18
08/08/11	09/15/10*	SELL FI	FREPORT-MCMORAN COPPER & GOLD INC CL	FCX	16,000	643.04	695.39	52.35
08/08/11	05/03/11	SELL FI	FREPORT-MCMORAN COPPER & GOLD INC CL	FCX	4,000	213.48	173.85	-39.63
08/08/11	06/07/11	SELL FI	FREPORT-MCMORAN COPPER & GOLD INC CL	FCX	16,000	801.12	695.39	-105.73
08/08/11	04/20/11	SELL FI	MYLAN INC COM	MYL	88,000	2,190.06	1,634.13	-555.93
08/08/11	04/21/11	SELL FI	MYLAN INC COM	MYL	14,000	345.34	259.97	-85.37

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term (continued)								
08/08/11	08/13/10 *	SELL FI	QUALCOMM INC	QCOM	15,000	572.67	732.13	159.46
08/08/11	10/19/10 *	SELL FI	TEXAS INSTRUMENTS INC	TXN	141,000	3,960.24	3,730.97	-198.38 -30.893
08/08/11	10/26/10 *	SELL FI	TEXAS INSTRUMENTS INC	TXN	53,000	1,519.41	1,402.42	-116.99
08/08/11	07/13/11	SELL FI	TEXAS INSTRUMENTS INC	TXN	19,000	625.59	502.75	-122.84
08/09/11	06/07/11	SELL FI	293 day(s) added to your holding period as a result of a wash sale. FREEPORT-MCMORAN COPPER & GOLD INC CL	FCX	16,000	801.12	702.91	-98.21
08/09/11	06/08/11	SELL FI	FREEPORT-MCMORAN COPPER & GOLD INC CL	FCX	16,000	781.23	702.91	-78.32
08/09/11	06/09/11	SELL FI	FREEPORT-MCMORAN COPPER & GOLD INC CL	FCX	14,000	701.36	615.05	-86.31
08/10/11	06/09/11	SELL FI	FREEPORT-MCMORAN COPPER & GOLD INC CL	FCX	6,000	300.58	263.23	-37.35
08/10/11	06/10/11	SELL FI	FREEPORT-MCMORAN COPPER & GOLD INC CL	FCX	34,000	1,672.60	1,491.65	-180.95
08/10/11	06/13/11	SELL FI	FREEPORT-MCMORAN COPPER & GOLD INC CL	FCX	6,000	291.96	263.23	-28.73
08/10/11	04/21/11	SELL FI	MYLAN INC COM	MYL	118,000	2,910.72	2,092.35	-818.37
08/10/11	03/10/11	SELL FI	QUEST DIAGNOSTICS INC COM	DGX	77,000	4,377.99	3,605.34	-772.65
08/11/11	02/10/11	SELL FI	DISNEY WALT CO DISNEY COM	DIS	60,000	2,608.08	1,957.90	-650.18
08/11/11	02/11/11	SELL FI	DISNEY WALT CO DISNEY COM	DIS	47,000	2,037.41	1,533.69	-503.72
08/11/11	03/21/11	SELL FI	DISNEY WALT CO DISNEY COM	DIS	50,000	2,090.36	1,631.58	-458.78
08/11/11	04/13/11	SELL FI	DISNEY WALT CO DISNEY COM	DIS	23,000	963.66	750.52	-213.14
08/11/11	11/17/10 *	SELL FI	MCDONALDS CORP	MCD	36,000	2,819.45	3,085.60	266.15
08/17/11	03/10/11	SELL FI	QUEST DIAGNOSTICS INC COM	DGX	8,000	454.86	387.92	-66.94
08/17/11	04/20/11	SELL FI	QUEST DIAGNOSTICS INC COM	DGX	59,000	3,470.21	2,860.93	-609.28
08/17/11	07/06/11	SELL FI	QUEST DIAGNOSTICS INC COM	DGX	4,000	241.28	193.97	-47.31



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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
08/22/11	02/28/11	SELL FI	ALTERA CORP	ALTR	7.000	300.02	235.54	-64.48
08/22/11	11/12/10*	SELL FI	HALLIBURTON CO COM	HAL	31.000	1,102.01	1,178.99	76.98
08/24/11	11/17/10*	SELL FI	MCDONALDS CORP	MCD	34.000	2,662.81	3,055.96	393.15
08/29/11	09/15/10*	SELL FI	ACCENTURE PLC IRELAND CLASS SHS	ACN	10.000	394.10	527.91	133.81
08/29/11	09/15/10*	SELL FI	PARTNERRE LTD SHS	PRE	2.000	157.32	114.16	-43.16
08/29/11	09/15/10*	SELL FI	GARMIN LTD SHS	GRMN	6.000	178.68	200.81	22.13
08/29/11	09/24/10*	SELL FI	ANNALY CAP MGMT INC COM	NLY	12.000	220.43	211.46	-8.97
08/29/11	09/15/10*	SELL FI	BIG LOTS INC COM	BIG	3.000	100.26	100.82	0.56
08/29/11	09/15/10*	SELL FI	CABOT OIL & GAS CORP COM	COG	9.000	261.45	653.23	391.78
08/29/11	10/04/10*	SELL FI	CHURCH & DWIGHT CO INC	CHD	14.000	471.17	604.82	133.65
08/29/11	02/09/11	SELL FI	CISCO SYSTEMS INC	CSCO	26.000	574.28	406.21	-168.07
08/29/11	09/15/10*	SELL FI	COMSTOCK RES INC COM NEW	CRK	25.000	509.00	503.77	-5.23
08/29/11	11/05/10*	SELL FI	COVANCE INC	CVD	1.000	43.79	51.89	8.10
08/29/11	12/13/10*	SELL FI	COVANCE INC	CVD	16.000	784.16	830.27	46.11
08/29/11	03/14/11	SELL FI	ELDORADO GOLD CORP NEW COM	EGO	11.000	165.87	215.18	49.31
08/29/11	09/15/10*	SELL FI	FLOWERS FOODS INC COM	FLO	26.000	434.72	488.82	54.10
08/29/11	09/15/10*	SELL FI	GAP INC	GPS	46.000	852.38	764.60	-87.78

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of The Bank of New York Mellon Corporation
Pershing LLC, member FINRA, NYSE, SIPC

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
08/29/11	01/10/11	SELL FI	HEWLETT PACKARD CO COM	HPQ	22.000	982.71	572.05	-410.66
08/29/11	05/03/11	SELL FI	HEWLETT PACKARD CO COM	HPQ	5.000	200.35	130.01	-70.34
08/29/11	09/15/10 *	SELL FI	INTEL CORP COM	INTC	25.000	467.20	503.84	36.64
08/29/11	09/15/10 *	SELL FI	INTERSIL CORP CL A	ISIL	22.000	233.20	241.58	8.38
08/29/11	10/07/10 *	SELL FI	KOHL'S CORP COM	KSS	11.000	575.94	520.76	-55.18
08/29/11	09/15/10 *	SELL FI	KROGER CO	KR	12.000	256.32	276.15	19.83
08/29/11	09/15/10 *	SELL FI	MCKESSON CORP COM	MCK	6.000	379.68	466.48	86.80
08/29/11	11/24/10 *	SELL FI	PPL CORP COM	PPL	3.000	76.34	84.88	8.54
08/29/11	11/29/10 *	SELL FI	PPL CORP COM	PPL	11.000	279.60	311.21	31.61
08/29/11	09/15/10 *	SELL FI	QEP RES INC COM	QEP	3.000	89.28	103.03	13.75
08/29/11	11/02/10 *	SELL FI	SKECHERS U S A INC CL A	SKX	22.000	427.68	362.79	-64.89
08/29/11	05/03/11	SELL FI	SKECHERS U S A INC CL A	SKX	10.000	185.40	164.91	-20.49
08/29/11	02/04/11	SELL FI	SOUTHWESTERN ENERGY CO COM	SWN	10.000	392.68	371.52	-21.16
08/29/11	10/15/10 *	SELL FI	TEMPLE INLAND INC COM	TIN	9.000	180.16	217.97	37.81
08/29/11	09/15/10 *	SELL FI	ULTRA PETE CORP COM	UPL	17.000	656.54	558.32	-98.22
08/29/11	09/15/10 *	SELL FI	VENTAS INC COM	VTR	3.933	195.55	205.06	9.51
08/29/11	11/18/10 *	SELL FI	WHIRLPOOL CORP	WHR	6.000	460.20	370.73	-89.47
08/29/11	11/01/10 *	SELL FI	XILINX INC COM	XLNX	13.000	350.32	402.12	51.80
08/29/11	12/22/10 *	SELL FI	XILINX INC COM	XLNX	2.000	56.34	61.86	5.52
08/30/11	08/11/11	SELL FI	ELECTRONIC ARTS INC COM	EA	34.000	648.28	772.90	124.62
08/31/11	09/15/10 *	SELL FI	GOLDMAN SACHS GROUP INC COM	GS	10.000	1,515.50	1,162.70	-141.12
								-211.683

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
09/01/11	09/15/10*	ZMEC SL	BECKMAN COULTER INC COM CASH MERGER	075811109	7,000	323.40	584.50	261.10
09/02/11	09/15/10*	SELL FI	ACCENTURE PLC IRELAND CLASS SHS	ACN	41,000	1,615.81	2,135.70	519.89
09/02/11	09/15/10*	SELL FI	ACCENTURE PLC IRELAND CLASS SHS	ACN	163,000	6,431.80	8,490.70	2,058.90
09/02/11	11/10/10*	SELL FI	ACCENTURE PLC IRELAND CLASS SHS	ACN	133,000	6,043.52	6,927.99	884.47
09/02/11	09/15/10*	SELL FI	EVEREST REINSURANCE GROUP LTD SHS	RE	2,000	167.88	159.54	-8.34
09/02/11	09/15/10*	SELL FI	INVESCO LTD ORD SHS	INVZ	22,000	455.62	383.45	0.00
09/02/11	05/03/11	SELL FI	INVESCO LTD ORD SHS	INVZ	8,000	199.68	139.44	-72.173
09/02/11	08/29/11	SELL FI	INVESCO LTD ORD SHS	INVZ	6,000	126.71	104.58	-45.18
09/02/11	09/15/10*	SELL FI	352 day(s) added to your holding period as a result of a wash sale.					-15.063
09/02/11	09/15/10*	SELL FI	PARTNERRE LTD SHS	PRE	2,000	157.32	114.26	-22.13
09/02/11	10/12/10*	SELL FI	PARTNERRE LTD SHS	PRE	11,000	878.90	628.41	0.00
09/02/11	01/28/11	SELL FI	PARTNERRE LTD SHS	PRE	4,000	324.76	228.51	-43.063
09/02/11	05/03/11	SELL FI	PARTNERRE LTD SHS	PRE	3,000	234.66	171.39	-204.95
09/02/11	09/08/10*	SELL FI	ACE LIMITED SHS	ACE	51,000	2,801.30	3,237.56	-45.543
09/02/11	09/15/10*	SELL FI	ACE LIMITED SHS	ACE	10,000	576.70	634.82	-96.25
09/02/11	02/02/11	SELL FI	ACE LIMITED SHS	ACE	51,000	3,181.79	3,237.56	-63.27
09/02/11	05/17/11	SELL FI	ACE LIMITED SHS	ACE	22,000	1,492.41	1,396.60	436.26
								58.12
								55.77
								-95.81

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
09/02/11	09/15/10 *	SELL FI	GARMIN LTD SHS	GRMN	28,000	833.84	898.50	64.66
09/02/11	03/03/11	SELL FI	GARMIN LTD SHS	GRMN	7,000	238.55	224.62	-1.99
09/02/11	09/15/10 *	SELL FI	TYCO INTL LTD SHS	TYC	10,000	388.40	400.29	-11.943
09/02/11	05/13/11	SELL FI	TYCO INTL LTD SHS	TYC	13,000	657.50	520.38	11.89
09/02/11	12/09/10 *	SELL FI	ASML HOLDING N V HOLDING N V NY	ASML	104,000	3,892.61	3,552.87	-137.12
09/02/11	08/10/11	SELL FI	ASML HOLDING N V HOLDING N V NY	ASML	98,000	3,555.12	3,347.90	0.00
09/02/11	08/17/11	SELL FI	267 day(s) added to your holding period as a result of a wash sale. ASML HOLDING N V HOLDING N V NY	ASML	6,000	228.20	204.97	-339.743
09/02/11	08/17/11	SELL FI	267 day(s) added to your holding period as a result of a wash sale. ASML HOLDING N V HOLDING N V NY	ASML	45,000	1,659.63	1,537.30	-42.29
09/02/11	09/15/10 *	SELL FI	23 day(s) added to your holding period as a result of a wash sale. AGL RES INC COM	GAS	4,000	152.44	163.11	-164.933
09/02/11	09/15/10 *	SELL FI	AT&T INC COM	T	50,000	1,403.00	1,405.11	-23.23
09/02/11	12/08/10 *	SELL FI	ABBOTT LABS COM	ABT	27,000	1,256.58	1,385.16	10.67
09/02/11	08/11/11	SELL FI	ABERCROMBIE & FITCH CO CL A	ANF	47,000	3,188.34	2,877.75	2.11
09/02/11	08/16/11	SELL FI	ABERCROMBIE & FITCH CO CL A	ANF	13,000	985.60	795.97	128.58
09/02/11	10/08/10 *	SELL FI	22 day(s) added to your holding period as a result of a wash sale. ADOBE SYS INC COM	ADBE	28,000	766.08	673.94	-99.12
09/02/11	04/20/11	SELL FI	AEROPOSTALE COM	ARO	18,000	470.11	193.67	-211.473
09/02/11	05/18/11	SELL FI	AKAMAI TECHNOLOGIES INC COM	AKAM	12,000	387.81	250.91	-189.63
09/02/11	08/01/11	SELL FI	AKAMAI TECHNOLOGIES INC COM	AKAM	20,000	477.74	418.19	-92.14
09/02/11	08/05/11	SELL FI	AKAMAI TECHNOLOGIES INC COM	AKAM	4,000	135.11	83.64	-276.44
107 day(s) added to your holding period as a result of a wash sale.								



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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term (continued)								
09/02/11	04/01/11	SELL FI	ALCOA INC COM	AA	28.000	492.72	340.19	-65.37 -87.163
09/02/11	04/12/11	SELL FI	ALCOA INC COM	AA	16.000	267.47	194.39	-73.08
09/02/11	08/29/11	SELL FI	ALCOA INC COM	AA	11.000	195.08	133.65	-61.43
09/02/11	09/15/10*	SELL FI	154 day(s) added to your holding period as a result of a wash sale. ALEXANDRIA REAL ESTATE EQUITIES INC	ARE	3.000	215.64	212.75	0.00 -2.893
09/02/11	09/15/10*	SELL FI	ALLSTATE CORP COM	ALL	23.000	698.28	583.96	-114.32
09/02/11	02/28/11	SELL FI	ALTERA CORP	ALTR	13.000	557.18	458.02	-45.77 -53.393
09/02/11	02/28/11	SELL FI	ALTERA CORP	ALTR	61.000	2,586.89	2,149.16	-437.73
09/02/11	03/01/11	SELL FI	ALTERA CORP	ALTR	17.000	700.71	598.95	-101.76
09/02/11	03/02/11	SELL FI	ALTERA CORP	ALTR	51.000	2,188.76	1,796.84	-391.92
09/02/11	09/15/10*	SELL FI	AMAZON COM INC	AMZN	5.000	719.60	1,047.22	327.62
09/02/11	09/15/10*	SELL FI	AMERICAN EAGLE OUTFITTERS INC NEW	AEO	20.000	288.80	212.45	0.00 -76.353
09/02/11	03/03/11	SELL FI	AMERICAN EAGLE OUTFITTERS INC NEW	AEO	62.000	957.28	658.61	-289.04 -9.633
09/02/11	05/03/11	SELL FI	AMERICAN EAGLE OUTFITTERS INC NEW	AEO	13.000	201.89	138.09	-63.80
09/02/11	09/15/10*	SELL FI	AMERICAN TOWER CORP CL A C/A EFF 1/3/12	AMT	52.000	2,548.00	2,785.21	237.21
09/02/11	04/26/11	SELL FI	AMERIPRISE FINL INC COM	AMP	12.000	734.28	514.79	0.00 -219.493
09/02/11	06/30/11	SELL FI	AMERIPRISE FINL INC COM	AMP	8.000	462.80	343.19	0.00 -119.613

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
09/02/11	08/29/11	SELL FI	AMERIPRISE FINL INC COM	AMP	12,000	763.65	514.79	-124.43
			129 day(s) added to your holding period as a result of a wash sale.					-124.43
09/02/11	08/29/11	SELL FI	AMERIPRISE FINL INC COM	AMP	8,000	482.39	343.19	-139.20
09/02/11	12/31/10*	SELL FI	ANADARKO PETE CORP COM	APC	5,000	390.45	352.44	-15.20
09/02/11	08/29/11	SELL FI	ANALOG DEVICES INC	ADI	10,000	329.37	319.99	-22.81
09/02/11	09/24/10*	SELL FI	ANALY CAP MGMT INC COM	NLY	15,000	275.54	260.24	-9.38
09/02/11	09/15/10*	SELL FI	APACHE CORP	APA	30,000	2,808.60	2,983.74	-15.30
09/02/11	09/15/10*	SELL FI	APPLE INC COM	AAPL	12,000	3,233.40	4,521.04	175.14
09/02/11	09/15/10*	SELL FI	APPLIED MATERIALS INC	AMAT	47,000	515.12	511.82	1,287.64
09/02/11	09/15/10*	SELL FI	AUTODESK INC COM	ADSK	11,000	358.05	291.71	-2.95
09/02/11	11/12/10*	SELL FI	AUTODESK INC COM	ADSK	14,000	490.70	371.28	-0.35
09/02/11	11/12/10*	SELL FI	AUTODESK INC COM	ADSK	3,000	105.15	78.15	-66.34
09/02/11	09/15/10*	SELL FI	BRE PPTYS INC COM	BRE	4,000	170.92	197.27	-119.42
09/02/11	09/15/10*	SELL FI	BABCOCK & WILCOX CO NEW COM	BWC	9,000	198.45	191.69	-27.00
09/02/11	09/15/10*	SELL FI	BAIDU COM INC SPONS ADR REPSTG ORD SHS	BIDU	9,000	776.16	1,260.60	26.35
09/02/11	11/12/10*	SELL FI	BAIDU COM INC SPONS ADR REPSTG ORD SHS	BIDU	6,000	656.22	840.40	-6.76
09/02/11	06/07/11	SELL FI	BAIDU COM INC SPONS ADR REPSTG ORD SHS	BIDU	2,000	246.54	280.14	484.44
09/02/11	03/07/11	SELL FI	BALLY TECHNOLOGIES INC COM	BYI	12,000	441.34	364.31	184.18
09/02/11	09/15/10*	SELL FI	BANK AMER CORP COM	BAC	518,000	7,074.64	3,834.42	33.60
09/02/11	09/15/10*	SELL FI	BANK OF NEW YORK MELLON CORP COM	BNK	380,000	9,689.54	7,634.59	-77.03
09/02/11	09/15/10*	SELL FI	BECTON DICKINSON & CO	BDX	20,000	1,450.20	1,583.83	-3,240.22
								-2,054.95
								133.63



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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term (continued)								
09/02/11	02/16/11	SELL FI	BECTON DICKINSON & CO	BDX	42,000	3,372.10	3,326.03	-28.52 -17.55 ³
09/02/11	09/15/10*	SELL FI	BERKSHIRE HATHAWAY INC DEL CL B NEW	BRK B	5,000	417.08	350.74	-53.07 -13.27 ³
09/02/11	05/03/11	SELL FI	BERKSHIRE HATHAWAY INC DEL CL B NEW	BRK B	2,000	163.68	140.30	-23.38
09/02/11	12/14/10*	SELL SL	BEST BUY COMPANY INC	BBY	5,000	177.05	121.75	-55.30
09/02/11	02/04/11	SELL SL	BEST BUY COMPANY INC	BBY	17,000	593.78	413.94	-179.84
09/02/11	09/15/10*	SELL FI	BIG LOTS INC COM	BIG	7,000	233.94	226.44	-7.50
09/02/11	06/03/11	SELL FI	BIG LOTS INC COM	BIG	10,000	327.57	323.49	-4.08
09/02/11	06/09/11	SELL FI	BLACKROCK INC COM	BLK	18,000	3,432.96	2,865.72	-441.19 -126.05 ³
09/02/11	06/22/11	SELL FI	BLACKROCK INC COM	BLK	16,000	3,045.07	2,547.31	-497.76
09/02/11	05/20/11	SELL FI	BLUE COAT SYS INC COM NEW	BCSI	8,000	198.78	122.72	0.00 -76.06 ³
09/02/11	08/29/11	SELL FI	BLUE COAT SYS INC COM NEW	BCSI	8,000	191.33	122.71	-68.62
09/02/11	08/29/11	SELL FI	105 day(s) added to your holding period as a result of a wash sale. BLUE COAT SYS INC COM NEW	BCSI	18,000	259.35	276.11	16.76
09/02/11	09/15/10*	SELL FI	BORGWARNER INC COM	BWA	11,000	521.40	757.11	235.71
09/02/11	11/12/10*	SELL FI	BORGWARNER INC COM	BWA	13,000	747.50	894.77	147.27
09/02/11	01/21/11	SELL FI	BORGWARNER INC COM	BWA	20,000	1,375.95	1,376.57	0.61
09/02/11	01/31/11	SELL FI	BORGWARNER INC COM	BWA	9,000	613.70	619.47	5.77



Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
09/02/11	12/08/10*	SELL FI	BOSTON PPTYS INC COM	BXP	5,000	425.25	509.19	83.94
09/02/11	09/15/10*	SELL FI	C H ROBINSON WORLDWIDE INC COM	CHRW	37,000	2,503.05	2,501.89	-0.88
09/02/11	11/12/10*	SELL FI	CME GROUP INC COM	CME	20,000	5,762.76	5,249.89	-461.58
09/02/11	09/15/10*	SELL FI	CVS CAREMARK CORP	CVS	14,000	411.46	498.67	-51.293
09/02/11	10/08/10*	SELL FI	CARLISLE COMPANIES INC	CSL	11,000	349.45	410.62	87.21
09/02/11	03/03/11	SELL FI	CENTURYLINK INC COM	CTL	19,000	774.97	653.39	-121.58
09/02/11	09/15/10*	SELL FI	CERNER CORP	CERN	22,000	882.31	1,411.56	529.25
09/02/11	09/15/10*	SELL FI	CHARLES RIV LABORATORIES INTL	CRL	13,000	394.55	421.84	27.29
09/02/11	08/29/11	SELL FI	CHARLES RIV LABORATORIES INTL	CRL	1,000	33.84	32.45	0.00
09/02/11	09/15/10*	SELL FI	CHEVRON CORP NEW COM	CVX	67,000	5,293.00	6,454.85	-1.393
09/02/11	09/15/10*	SELL FI	CHUBB CORP	CB	3,000	171.96	183.78	11.82
09/02/11	08/29/11	SELL FI	CIMAREX ENERGY CO COM	XEC	5,000	332.65	345.44	12.79
09/02/11	02/09/11	SELL FI	CISCO SYSTEMS INC	CSCO	66,000	1,457.78	1,024.95	-347.58
09/02/11	05/03/11	SELL FI	CISCO SYSTEMS INC	CSCO	6,000	104.62	93.18	-85.253
09/02/11	09/15/10*	SELL FI	CITRIX SYSTEMS INC	CTXS	6,000	402.54	348.13	-11.44
09/02/11	11/12/10*	SELL FI	CITRIX SYSTEMS INC	CTXS	16,000	1,034.56	928.35	0.00
09/02/11	09/15/10*	SELL FI	CLIFFS NAT RES INC COM	CLF	42,000	2,671.62	3,334.44	-54.413
09/02/11	11/12/10*	SELL FI	CLIFFS NAT RES INC COM	CLF	52,000	3,494.82	4,128.35	-59.74
09/02/11	06/09/11	SELL FI	CLIFFS NAT RES INC COM	CLF	15,000	1,278.29	1,190.87	-46.473
09/02/11	09/15/10*	SELL FI	COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A	CTSH	25,000	1,575.50	1,557.04	662.82
09/02/11	11/12/10*	SELL FI	COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A	CTSH	3,000	188.46	186.84	633.53



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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
09/02/11	06/07/11	SELL FI	COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A	CTSH	4,000	298.35	249.13	-12.30
09/02/11	06/10/11	SELL FI	COMCAST CORP CL A	CMCSA	21,000	503.10	443.93	-36.923
09/02/11	07/27/11	SELL FI	COMCAST CORP NEW CL A SPL	CMCSK	292,000	7,020.31	6,111.86	-59.17
09/02/11	07/29/11	SELL FI	COMCAST CORP NEW CL A SPL	CMCSK	2,000	47.02	41.86	-298.67
09/02/11	08/10/11	SELL FI	COMCAST CORP NEW CL A SPL	CMCSK	109,000	2,512.41	2,281.49	-609.783
09/02/11	04/12/11	SELL FI	37 day(s) added to your holding period as a result of a wash sale. CONCHO RES INC COM	CXO	11,000	1,124.75	945.57	-5.16
09/02/11	04/13/11	SELL FI	CONCHO RES INC COM	CXO	12,000	1,211.26	1,031.53	-230.92
09/02/11	04/20/11	SELL FI	CONCHO RES INC COM	CXO	8,000	841.04	687.69	0.00
09/02/11	04/29/11	SELL FI	CONCHO RES INC COM	CXO	32,000	3,376.96	2,750.76	-179.183
09/02/11	05/06/11	SELL FI	CONCHO RES INC COM	CXO	32,000	3,005.67	2,750.76	-149.78
09/02/11	05/12/11	SELL FI	CONCHO RES INC COM	CXO	13,000	1,180.10	1,117.50	-29.953
09/02/11	12/22/10*	SELL FI	CORPORATE OFFICE PPTYS TR COM	OFC	8,000	280.30	206.07	-153.35
09/02/11	09/15/10*	SELL FI	COSTCO WHOLESALE CORP NEW COM	COST	15,000	916.50	1,185.72	-62.60
09/02/11	02/14/11	SELL FI	CTRIPO COM INTL LTD AMERICAN DEP SHS	CTRP	49,000	1,954.52	1,960.11	-74.23
09/02/11	02/15/11	SELL FI	CTRIPO COM INTL LTD AMERICAN DEP SHS	CTRP	54,000	2,159.36	2,160.12	269.22
09/02/11	03/16/11	SELL FI	CTRIPO COM INTL LTD AMERICAN DEP SHS	CTRP	27,000	992.74	1,080.05	5.59
								0.76
								87.31

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term (continued)								
09/02/11	09/15/10 *	SELL FI	DANAHER CORP COM	DHR	75.000	3,014.25	3,259.65	245.40
09/02/11	03/02/11	SELL FI	DANAHER CORP COM	DHR	86.000	4,318.80	3,737.74	0.00
09/02/11	07/27/11	SELL FI	DANAHER CORP COM	DHR	72.000	3,553.03	3,129.27	-581.063
09/02/11	07/29/11	SELL FI	DANAHER CORP COM	DHR	2.000	98.56	86.92	0.00
09/02/11	08/24/11	SELL FI	DANAHER CORP COM	DHR	51.000	2,532.38	2,216.56	-423.763
09/02/11	09/15/10 *	SELL FI	184 day(s) added to your holding period as a result of a wash sale. DEERE & CO	DE	23.000	1,615.29	1,803.69	-11.643
09/02/11	09/15/10 *	SELL FI	DEVON ENERGY CORP NEW COM	DVN	10.000	617.20	655.38	-216.74
09/02/11	08/29/11	SELL FI	DEVON ENERGY CORP NEW COM	DVN	6.000	390.65	393.23	-99.083
09/02/11	09/15/10 *	SELL FI	DIEBOLD INC COM	DBD	12.000	356.64	338.75	188.40
09/02/11	09/15/10 *	SELL FI	DISCOVER FINL SVCS COM INC	DFS	42.000	665.70	1,027.30	38.18
09/02/11	04/20/11	SELL FI	DOLBY LABORATORIES INC CL A	DLB	13.000	621.62	420.02	2.58
09/02/11	05/03/11	SELL FI	DOLBY LABORATORIES INC CL A	DLB	7.000	353.69	226.16	-17.89
09/02/11	08/29/11	SELL FI	DOLBY LABORATORIES INC CL A	DLB	4.000	198.43	129.24	361.60
09/02/11	09/15/10 *	SELL FI	135 day(s) added to your holding period as a result of a wash sale. EMC CORP (MASS) COM	EMC	159.000	3,283.05	3,417.16	0.00
09/02/11	10/19/10 *	SELL FI	EMC CORP (MASS) COM	EMC	166.000	3,477.50	3,567.59	-201.603
09/02/11	09/15/10 *	SELL FI	EQT CORPORATION COM	EQT	17.000	587.69	996.35	-127.53
09/02/11	08/29/11	SELL FI	EXCO RES INC COM	XCO	39.000	501.88	492.56	-69.19
09/02/11	09/15/10 *	SELL FI	ECOLAB INC	ECL	18.000	895.86	930.81	134.11
09/02/11	11/12/10 *	SELL FI	ECOLAB INC	ECL	25.000	1,222.45	1,292.80	90.09
09/02/11	07/22/11	SELL FI	ECOLAB INC	ECL	28.000	1,487.36	1,447.93	408.66
								-4.54
								-4.783
								34.95
								70.35
								0.00
								-39.433



HSBC Securities (USA) Inc
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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
09/02/11	08/22/11	SELL FI	ECOLAB INC	ECL	5,000	233.58	258.56	24.98
09/02/11	11/22/10 *	SELL FI	42 day(s) added to your holding period as a result of a wash sale. EDWARDS LIFESCIENCES CORP COM	EW	26,000	1,737.58	1,914.16	176.58
09/02/11	12/20/10 *	SELL FI	EDWARDS LIFESCIENCES CORP COM	EW	6,000	503.82	441.73	0.00
09/02/11	05/04/11	SELL FI	EDWARDS LIFESCIENCES CORP COM	EW	15,000	1,277.96	1,104.32	-62.093
09/02/11	06/07/11	SELL FI	EDWARDS LIFESCIENCES CORP COM	EW	17,000	1,470.45	1,251.56	-173.643
09/02/11	06/09/11	SELL FI	EDWARDS LIFESCIENCES CORP COM	EW	11,000	943.00	809.84	-218.893
09/02/11	08/23/11	SELL FI	EDWARDS LIFESCIENCES CORP COM	EW	6,000	474.09	441.73	-133.163
09/02/11	08/23/11	SELL FI	256 day(s) added to your holding period as a result of a wash sale. EDWARDS LIFESCIENCES CORP COM	EW	15,000	1,203.65	1,104.32	-99.33
09/02/11	08/23/11	SELL FI	121 day(s) added to your holding period as a result of a wash sale. EDWARDS LIFESCIENCES CORP COM	EW	5,000	407.71	368.10	-39.61
09/02/11	03/14/11	SELL FI	87 day(s) added to your holding period as a result of a wash sale. ELDORADO GOLD CORP NEW COM	EGO	23,000	346.81	482.07	135.26
09/02/11	06/03/11	SELL FI	ENSCO PLC SPONSORED ADR	ESV	9,000	505.14	425.78	0.00
09/02/11	06/13/11	SELL FI	ENSCO PLC SPONSORED ADR	ESV	10,000	530.27	473.09	-79.363
09/02/11	08/05/11	SELL FI	ENSCO PLC SPONSORED ADR	ESV	9,000	486.94	425.78	0.00
09/02/11	08/05/11	SELL FI	91 day(s) added to your holding period as a result of a wash sale. ENSCO PLC SPONSORED ADR	ESV	5,000	255.03	236.55	-57.183
09/02/11	08/05/11	SELL FI	81 day(s) added to your holding period as a result of a wash sale.					-40.77
								-20.393
								-18.48

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
09/02/11	09/15/10 *	SELL FI	ENTERGY CORP NEW COM	ETR	4,000	313.00	256.03	-56.97
09/02/11	05/03/11	SELL FI	ENTERGY CORP NEW COM	ETR	2,000	139.48	128.02	-11.46
09/02/11	09/15/10 *	SELL FI	EXPRESS SCRIPTS INC COM	ESRX	50,000	2,323.00	2,300.10	-17.86
09/02/11	09/15/10 *	SELL FI	EXXON MOBIL CORP COM	XOM	43,000	2,615.26	3,109.82	-5,043
09/02/11	12/08/10 *	SELL FI	EXXON MOBIL CORP COM	XOM	102,000	7,338.77	7,376.79	494.56
09/02/11	03/10/11	SELL FI	EXXON MOBIL CORP COM	XOM	9,000	739.45	650.90	38.02
09/02/11	09/15/10 *	SELL FI	FMC TECHNOLOGIES INC COM	FTI	58,000	1,899.79	2,471.39	0.00
09/02/11	11/01/10 *	SELL FI	FMC TECHNOLOGIES INC COM	FTI	42,000	1,521.66	1,789.63	-88.553
09/02/11	11/04/10 *	SELL FI	FMC TECHNOLOGIES INC COM	FTI	7,000	268.73	298.28	571.60
09/02/11	09/15/10 *	SELL FI	FMC CORP NEW	FMC	2,000	132.36	146.65	267.97
09/02/11	12/13/10 *	SELL FI	FIFTH THIRD BANCORP COM	FITB	32,000	460.42	315.29	29.55
09/02/11	05/03/11	SELL FI	FIFTH THIRD BANCORP COM	FITB	47,000	620.26	463.08	14.29
09/02/11	06/03/11	SELL FI	FIFTH THIRD BANCORP COM	FITB	32,000	399.27	315.29	0.00
09/02/11	08/29/11	SELL FI	FIFTH THIRD BANCORP COM	FITB	12,000	179.67	118.22	-145.133
09/02/11	09/15/10 *	SELL FI	263 day(s) added to your holding period as a result of a wash sale. FIRST NIAGARA FINL GROUP INC NEW COM	FNFG	39,000	451.62	399.46	-113.70
09/02/11	10/07/10 *	SELL FI	FIRST NIAGARA FINL GROUP INC NEW COM	FNFG	67,000	792.56	686.26	-43.483
09/02/11	04/01/11	SELL FI	FIRST NIAGARA FINL GROUP INC NEW COM	FNFG	36,000	490.58	368.74	-83.98
09/02/11	07/27/11	SELL FI	FIRST NIAGARA FINL GROUP INC NEW COM	FNFG	30,000	372.86	307.28	-61.45
09/02/11	08/29/11	SELL FI	FIRST NIAGARA FINL GROUP INC NEW COM	FNFG	3,000	35.62	30.73	0.00
09/02/11	09/15/10 *	SELL FI	352 day(s) added to your holding period as a result of a wash sale. FLUOR CORP NEW COM	FLR	19,000	929.86	1,108.68	-52.163
								-101.54
								-4.763
								-121.84
								-65.58
								-4.89
								178.82



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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
09/02/11	09/15/10 *	SELL FI	FOREST OIL CORP COM	FST	9,000	261.63	170.55	-91.08
09/02/11	02/24/11	SELL FI	FOREST OIL CORP COM	FST	7,000	246.68	132.65	-114.03
09/02/11	03/03/11	SELL FI	FOREST OIL CORP COM	FST	7,000	239.26	132.65	-106.61
09/02/11	07/07/11	SELL FI	FOREST OIL CORP COM	FST	7,000	173.11	132.65	-40.46
09/02/11	08/29/11	SELL FI	FOREST OIL CORP COM	FST	13,000	241.92	246.33	4.41
09/02/11	09/15/10 *	SELL FI	FRANKLIN RESOURCES INC	BEN	12,000	1,277.28	1,378.89	101.61
09/02/11	10/29/10 *	SELL FI	FRANKLIN RESOURCES INC	BEN	20,000	2,300.40	2,298.16	-1.12
09/02/11	06/13/11	SELL FI	FREEPORT-MCMORAN COPPER & GOLD INC CL	FCX	25,000	1,216.50	1,122.97	-71.08
09/02/11	09/15/10 *	SELL FI	GENERAL MILLS INC COM	GIS	57,000	2,090.08	2,135.91	45.83
09/02/11	11/10/10 *	SELL FI	GENERAL MILLS INC COM	GIS	118,000	4,257.29	4,421.71	164.42
09/02/11	12/16/10 *	SELL FI	GENERAL MILLS INC COM	GIS	205,000	7,531.43	7,681.80	150.37
09/02/11	09/15/10 *	SELL FI	GLOBAL PMTS INC COM	GPN	7,000	284.06	309.61	25.55
09/02/11	09/15/10 *	SELL FI	GOLDCORP INC NEW COM ISIN#CA3809564097	GG	19,000	811.30	1,044.41	233.11
09/02/11	09/15/10 *	SELL FI	GOLDMAN SACHS GROUP INC COM	GS	33,000	5,001.15	3,534.66	-1,466.49
09/02/11	09/23/10 *	SELL FI	GOLDMAN SACHS GROUP INC COM	GS	29,000	4,224.72	3,106.22	-1,118.50
09/02/11	10/21/10 *	SELL FI	GOLDMAN SACHS GROUP INC COM	GS	24,000	3,867.12	2,570.66	-1,296.46
09/02/11	11/12/10 *	SELL FI	GOLDMAN SACHS GROUP INC COM	GS	9,000	1,487.07	964.00	-523.07

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
09/02/11	12/08/10 *	SELL FI	GOLDMAN SACHS GROUP INC COM	GS	3,000	495.24	321.33	-173.91
09/02/11	03/02/11	SELL FI	GOLDMAN SACHS GROUP INC COM	GS	22,000	3,580.24	2,356.44	-1,223.80
09/02/11	05/12/11	SELL FI	GOLDMAN SACHS GROUP INC COM	GS	9,000	1,314.63	964.00	-350.63
09/02/11	05/17/11	SELL FI	GOLDMAN SACHS GROUP INC COM	GS	6,000	837.28	642.66	-194.62
09/02/11	10/12/10 *	SELL FI	GOODRICH CORP	GR	50,000	3,788.91	4,271.41	482.50
09/02/11	11/12/10 *	SELL FI	GOODRICH CORP	GR	11,000	917.62	939.71	22.09
09/02/11	09/15/10 *	SELL FI	GOOGLE INC CL A	GOOG	6,000	2,883.42	3,148.32	264.90
09/02/11	09/24/10 *	SELL FI	GOOGLE INC CL A	GOOG	1,000	525.84	524.72	0.00
09/02/11	11/12/10 *	SELL FI	GOOGLE INC CL A	GOOG	13,000	7,851.35	6,821.35	-792.31
09/02/11	09/15/10 *	SELL FI	GREEN MTN COFFEE ROASTERS INC COM	GMCR	61,000	2,177.70	6,345.71	4,168.01
09/02/11	11/12/10 *	SELL FI	GREEN MTN COFFEE ROASTERS INC COM	GMCR	22,000	691.90	2,288.62	1,596.72
09/02/11	11/23/10 *	SELL FI	GREEN MTN COFFEE ROASTERS INC COM	GMCR	12,000	420.00	1,248.33	828.33
09/02/11	05/02/11	SELL FI	GUESS INC COM	GES	9,000	388.44	283.04	0.00
09/02/11	08/29/11	SELL FI	GUESS INC COM	GES	9,000	406.61	283.04	-105.40 3
09/02/11	11/12/10 *	SELL FI	123 day(s) added to your holding period as a result of a wash sale. HALLIBURTON CO COM	HAL	112,000	3,981.45	4,718.78	-123.57
09/02/11	09/15/10 *	SELL FI	HASBRO INC COM	HAS	37,000	1,628.00	1,369.71	737.33
09/02/11	12/08/10 *	SELL FI	HASBRO INC COM	HAS	14,000	695.66	518.27	0.00
09/02/11	06/01/11	SELL FI	HASBRO INC COM	HAS	73,000	3,376.96	2,702.41	-177.39 3
09/02/11	09/15/10 *	SELL FI	HENRY JACK & ASSOC INC COM	JKHY	8,000	203.12	228.87	-572.91
09/02/11	09/15/10 *	SELL FI	HES CORP COM	HES	13,000	711.62	759.96	-101.64 3
							25.75	48.34



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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
09/02/11	05/23/11	SELL FI	HESS CORP COM	HES	4,000	304.68	233.83	0.00 -70.853
09/02/11	08/05/11	SELL FI	HESS CORP COM	HES	4,000	308.73	233.83	0.00 -74.903
09/02/11	08/05/11	SELL FI	102 day(s) added to your holding period as a result of a wash sale. HESS CORP COM	HES	3,000	178.41	175.38	0.00 -3.033
09/02/11	08/29/11	SELL FI	HESS CORP COM	HES	3,000	228.38	175.38	0.00 -53.003
09/02/11	09/15/10*	SELL FI	28 day(s) added to your holding period as a result of a wash sale. HOLOGIC INC COM	HOLX	13,000	213.07	211.50	0.00 -1.573
09/02/11	08/29/11	SELL FI	HOLOGIC INC COM	HOLX	6,000	100.07	97.62	0.00 -2.453
09/02/11	09/15/10*	SELL FI	352 day(s) added to your holding period as a result of a wash sale. HONEYWELL INTL INC COM	HON	38,000	1,652.24	1,736.68	84.44
09/02/11	08/29/11	SELL FI	HOST HOTELS & RESORTS INC	HST	45,000	499.41	512.54	13.13
09/02/11	09/15/10*	SELL FI	ILLINOIS TOOL WORKS INC COM	ITW	29,000	1,358.65	1,286.79	-71.86
09/02/11	09/15/10*	SELL FI	INTEL CORP COM	INTC	296,000	5,531.68	5,861.84	330.16
09/02/11	10/08/10*	SELL FI	INTEL CORP COM	INTC	64,000	1,247.92	1,267.42	19.50
09/02/11	09/15/10*	SELL FI	INTERCONTINENTAL EXCH ANGE INC COM	ICE	22,000	2,346.96	2,500.03	153.07
09/02/11	11/12/10*	SELL FI	INTERCONTINENTAL EXCH ANGE INC COM	ICE	2,000	224.40	227.28	2.88
09/02/11	04/18/11	SELL FI	INTERCONTINENTAL EXCH ANGE INC COM	ICE	4,000	478.36	454.54	-23.82
09/02/11	09/15/10*	SELL FI	INTERNATIONAL BUSINESS MACHS CORP	IBM	20,000	2,597.20	3,347.54	750.34

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Clearing through Pershing LLC, a subsidiary
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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
09/02/11	09/15/10 *	SELL FI	INTERSIL CORP CL A	ISIL	26,000	275.60	283.65	8.05
09/02/11	01/19/11	SELL FI	INTUITIVE SURGICAL INC COM NEW	ISRG	3,000	877.56	1,125.70	248.14
09/02/11	01/19/11	SELL FI	INTUITIVE SURGICAL INC COM NEW	ISRG	4,000	1,174.80	1,500.93	326.13
09/02/11	01/20/11	SELL FI	INTUITIVE SURGICAL INC COM NEW	ISRG	4,000	1,138.68	1,500.93	362.25
09/02/11	01/21/11	SELL FI	INTUITIVE SURGICAL INC COM NEW	ISRG	2,000	660.32	750.46	90.14
09/02/11	09/15/10 *	SELL FI	INTUIT INCORPORATED COM	INTU	4,000	175.84	190.77	14.93
09/02/11	11/22/10 *	SELL FI	INTUIT INCORPORATED COM	INTU	107,000	4,870.43	5,103.03	232.60
09/02/11	09/15/10 *	SELL FI	JP MORGAN CHASE & CO COM	JPM	130,000	5,311.55	4,539.79	-771.76
09/02/11	02/02/11	SELL FI	JP MORGAN CHASE & CO COM	JPM	54,000	2,463.94	1,885.76	-578.18
09/02/11	02/25/11	SELL FI	JP MORGAN CHASE & CO COM	JPM	76,000	3,528.58	2,654.03	-874.55
09/02/11	09/15/10 *	SELL FI	JACOBS ENGR GROUP INC COM	JEC	19,000	688.94	675.81	-3.46
09/02/11	08/29/11	SELL FI	JACOBS ENGR GROUP INC COM	JEC	5,000	181.94	177.85	-9.673
09/02/11	09/15/10 *	SELL FI	352 day(s) added to your holding period as a result of a wash sale. JOHNSON & JOHNSON COM	JNJ	99,000	6,041.97	6,370.81	-4.09
09/02/11	12/08/10 *	SELL FI	JOHNSON & JOHNSON COM	JNJ	53,000	3,306.14	3,410.64	328.84
09/02/11	12/02/10 *	SELL FI	JOHNSON CTLS INC COM	JCI	193,000	7,569.19	5,794.31	104.50
09/02/11	03/23/11	SELL FI	JOHNSON CTLS INC COM	JCI	58,000	2,319.87	1,741.29	-1,480.60
09/02/11	09/15/10 *	SELL FI	KBR INC COM	KBR	19,000	457.90	546.81	-294.283
09/02/11	08/29/11	SELL FI	KBR INC COM	KBR	3,000	87.99	86.33	-578.58
09/02/11	09/15/10 *	SELL FI	KANSAS CITY SOUTHW COM NEW	KSU	11,000	422.95	565.93	88.91
09/02/11	09/15/10 *	SELL FI	KAYDON CORP	KDN	12,000	420.48	386.51	0.00



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Account Statement

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
09/02/11	05/03/11	SELL FI	KAYDON CORP	KDN	3.000	115.05	96.63	-18.42
09/02/11	05/26/11	SELL FI	KINDER MORGAN INC DEL COM	KMI	18.000	518.00	453.41	-64.59
09/02/11	09/15/10 *	SELL FI	KIRBY CORP COM	KEX	7.000	269.01	374.14	105.13
09/02/11	10/07/10 *	SELL FI	KOHL'S CORP COM	KSS	59.000	3,089.13	2,602.44	-453.69
09/02/11	11/12/10 *	SELL FI	KOHL'S CORP COM	KSS	5.000	255.20	220.55	-33.003
09/02/11	02/10/11	SELL FI	KOHL'S CORP COM	KSS	7.000	369.67	308.76	-60.91
09/02/11	03/01/11	SELL FI	KOHL'S CORP COM	KSS	7.000	373.45	308.76	-64.69
09/02/11	04/01/11	SELL FI	KOHL'S CORP COM	KSS	12.000	644.86	529.31	-115.55
09/02/11	09/15/10 *	SELL FI	KROGER CO	KR	20.000	427.20	464.59	37.39
09/02/11	09/15/10 *	SELL FI	LABORATORY CORP AMER HLDGS COM NEW	LH	7.000	525.07	572.38	47.31
09/02/11	08/29/11	SELL FI	LABORATORY CORP AMER HLDGS COM NEW	LH	1.000	82.50	81.77	0.00
09/02/11	02/11/11	SELL FI	LAS VEGAS SANDS CORP COM	LVS	73.000	3,452.83	3,345.74	-0.733
09/02/11	02/18/11	SELL FI	LAS VEGAS SANDS CORP COM	LVS	68.000	3,254.35	3,116.58	-58.68
09/02/11	03/02/11	SELL FI	LAS VEGAS SANDS CORP COM	LVS	75.000	3,313.41	3,437.39	-48.413
09/02/11	09/15/10 *	SELL FI	ESTEE LAUDER COMPANIES INC CL A	EL	27.000	1,603.26	2,636.77	-137.77
09/02/11	09/15/10 *	SELL FI	LENNAR CORP CL A COM STK	LEN	17.000	248.54	237.82	123.98
09/02/11	09/15/10 *	SELL FI	LIFE TECHNOLOGIES CORP COM	LIFE	23.000	1,094.34	938.84	1,033.51
								-10.72
								-33.80
								-121.703

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term (continued)								
09/02/11	02/07/11	SELL FI	LIFE TECHNOLOGIES CORP COM	LIFE	9,000	471.13	367.37	-103.76
09/02/11	08/29/11	SELL FI	LIFE TECHNOLOGIES CORP COM	LIFE	8,000	378.55	326.55	-52.00
09/02/11	09/15/10*	SELL FI	352 day(s) added to your holding period as a result of a wash sale. LOCKHEED MARTIN CORP COM	LMT	217,000	14,885.79	15,635.17	749.38
09/02/11	07/06/11	SELL FI	LOCKHEED MARTIN CORP COM	LMT	3,000	243.74	216.16	-27.58
09/02/11	09/15/10*	SELL FI	M D C HLDGS INC FORMERLY M D C CORP	MDC	7,000	200.97	128.66	-51.65
09/02/11	02/07/11	SELL FI	M D C HLDGS INC FORMERLY M D C CORP	MDC	12,000	368.50	220.55	-20.663
09/02/11	09/15/10*	SELL FI	MACK CALL RLTY CORP COM	CLI	26,000	843.18	793.24	-147.95
09/02/11	05/05/11	SELL FI	MACYS INC COM	M	21,000	548.25	540.95	-46.10
09/02/11	05/13/11	SELL FI	MACYS INC COM	M	11,000	311.93	283.35	-3.843
09/02/11	09/15/10*	SELL FI	MASTERCARD INC CL A COM	MA	7,000	1,471.68	2,265.86	-7.30
09/02/11	09/15/10*	SELL FI	MATTEL INC COM	MAT	8,000	175.60	209.92	-28.58
09/02/11	11/17/10*	SELL FI	MCDONALDS CORP	MCD	12,000	939.82	1,073.26	794.18
09/02/11	04/06/11	SELL FI	MCDONALDS CORP	MCD	40,000	3,064.68	3,577.53	34.32
09/02/11	04/27/11	SELL FI	MCDONALDS CORP	MCD	41,000	3,176.54	3,666.97	133.44
09/02/11	09/15/10*	SELL FI	MEDTRONIC INC	MDT	176,000	5,906.23	6,016.08	512.85
09/02/11	07/06/11	SELL FI	MEDTRONIC INC	MDT	7,000	265.63	239.27	490.43
09/02/11	09/15/10*	SELL FI	METLIFE INC COM	MET	78,000	3,154.32	2,420.52	109.85
09/02/11	01/19/11	SELL FI	METLIFE INC COM	MET	49,000	2,244.07	1,520.58	-26.36
09/02/11	05/03/11	SELL FI	MICROSOFT CORP COM	MSFT	22,000	562.46	568.24	-733.80
09/02/11	09/15/10*	SELL FI	MOHAWK INDUSTRIES INC	MHK	5,000	242.55	239.74	-723.49
								5.78
								0.00
								-2.813



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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
09/02/11	01/18/11	SELL FI	MOHAWK INDUSTRIES INC	MHK	9,000	517.12	431.54	-47.54
09/02/11	08/29/11	SELL FI	MOHAWK INDUSTRIES INC	MHK	2,000	97.29	95.90	-38.043
09/02/11	09/15/10*	SELL FI	352 day(s) added to your holding period as a result of a wash sale. MOLSON COORS BREWING CO CL B NON VTG	TAP	6,000	270.05	257.21	-1.39
09/02/11	03/22/11	SELL FI	MOLSON COORS BREWING CO CL B NON VTG	TAP	11,000	488.05	471.56	-12.85
09/02/11	07/07/11	SELL FI	MOLSON COORS BREWING CO CL B NON VTG	TAP	11,000	488.05	471.56	-16.49
09/02/11	07/07/11	SELL FI	MONSANTO CO NEW COM	MON	43,000	3,211.98	2,908.59	0.00
09/02/11	08/30/11	SELL FI	MONSANTO CO NEW COM	MON	43,000	3,329.73	2,908.59	-303.393
09/02/11	08/30/11	SELL FI	57 day(s) added to your holding period as a result of a wash sale. MONSANTO CO NEW COM	MON	16,000	1,126.08	1,082.26	-225.26
09/02/11	05/24/11	SELL FI	MOSAIC CO NEW COM	MOS	9,000	604.86	627.82	-195.883
09/02/11	08/29/11	SELL FI	MOSAIC CO NEW COM	MOS	6,000	434.64	418.55	-43.82
09/02/11	09/15/10*	SELL FI	MYRIAD GENETICS INC	MYGN	12,000	200.04	228.71	22.96
09/02/11	05/18/11	SELL FI	NATIONAL OILWELL VARCO INC	NOV	8,000	540.53	513.35	-8.04
09/02/11	09/15/10*	SELL FI	NESTLE SA SPONSORED ADRS REGISTERED	NSRGY	30,000	1,587.00	1,894.47	-8.053
09/02/11	09/15/10*	SELL FI	NETAPP INC COM	NTAP	92,000	4,534.68	3,349.00	28.67
09/02/11	11/12/10*	SELL FI	NETAPP INC COM	NTAP	9,000	483.64	327.62	-27.18
09/02/11	06/02/11	SELL FI	NETFLIX INC COM	NFLX	11,000	2,937.22	2,339.88	307.47
09/02/11	08/16/11	SELL FI	NETFLIX INC COM	NFLX	6,000	1,775.18	1,276.30	-953.70
								-231.983
								-156.02
								0.00
								-597.343
								-415.73
								-83.153

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
09/02/11	08/23/11	SELL FI	92 day(s) added to your holding period as a result of a wash sale. NETFLIX INC COM	NFLX	5,000	1,328.52	1,063.57	-264.95
09/02/11	08/29/11	SELL FI	92 day(s) added to your holding period as a result of a wash sale. NEWMONT MING CORP COM	NEM	6,000	373.90	381.53	7.63
09/02/11	01/12/11	SELL FI	NOBLE ENERGY INC COM	NBL	7,000	591.08	602.76	11.68
09/02/11	02/01/11	SELL FI	NOBLE ENERGY INC COM	NBL	2,000	182.44	172.21	0.00
09/02/11	09/15/10*	SELL FI	NORTHROP GRUMMAN CORP COM	NOC	127,000	6,663.00	6,604.24	-10,233
09/02/11	12/14/10*	SELL FI	NOVARTIS AG SPONSORED ADR	NVS	12,000	669.57	693.58	-56.45
09/02/11	05/03/11	SELL FI	NOVARTIS AG SPONSORED ADR	NVS	2,000	119.16	115.60	-2,313
09/02/11	09/15/10*	SELL FI	O REILLY AUTOMOTIVE INC NEW	ORLY	15,000	767.10	985.98	24.01
09/02/11	12/03/10*	SELL FI	OCCIDENTAL PETE CORP COM	OXY	56,000	5,085.81	4,702.39	-3.56
09/02/11	12/17/10*	SELL FI	OCCIDENTAL PETE CORP COM	OXY	44,000	4,180.36	3,694.74	-383.423
09/02/11	02/23/11	SELL FI	OCCIDENTAL PETE CORP COM	OXY	14,000	1,452.64	1,175.60	-220.74
09/02/11	02/28/11	SELL FI	OCCIDENTAL PETE CORP COM	OXY	13,000	1,347.19	1,091.63	-264.883
09/02/11	06/09/11	SELL FI	OCCIDENTAL PETE CORP COM	OXY	37,000	3,815.81	3,106.94	-277.04
09/02/11	06/15/11	SELL FI	OCCIDENTAL PETE CORP COM	OXY	40,000	4,149.97	3,358.85	-255.56
09/02/11	08/31/11	SELL FI	OCCIDENTAL PETE CORP COM	OXY	13,000	1,223.22	1,091.63	-708.87
09/02/11	08/31/11	SELL FI	273 day(s) added to your holding period as a result of a wash sale. OCCIDENTAL PETE CORP COM	OXY	16,000	1,489.34	1,343.53	-791.12
09/02/11	09/15/10*	SELL FI	273 day(s) added to your holding period as a result of a wash sale. OLD REPUBLIC INTL CORP	ORI	15,000	201.30	140.44	-131.59
09/02/11	03/03/11	SELL FI	OLD REPUBLIC INTL CORP	ORI	43,000	520.69	402.60	-145.81
09/02/11	09/15/10*	SELL FI	OMNICARE INC COM	OCR	18,000	387.00	524.68	-36.52



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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term (continued)								
09/02/11	09/15/10 *	SELL FI	ORACLE CORP COM	ORCL	8.000	204.32	216.42	12.10
09/02/11	09/29/10 *	SELL FI	ORACLE CORP COM	ORCL	164.000	4,450.65	4,436.53	-2.84
09/02/11	09/30/10 *	SELL FI	ORACLE CORP COM	ORCL	404.000	10,871.27	10,929.00	-11.283
09/02/11	04/13/11	SELL FI	ORACLE CORP COM	ORCL	89.000	2,996.43	2,407.62	-588.81
09/02/11	09/15/10 *	SELL FI	PG & E CORP	PCG	1.000	45.29	41.56	0.00
09/02/11	09/15/10 *	SELL FI	PG & E CORP	PCG	134.000	6,075.31	5,569.32	-3.733
09/02/11	09/15/10 *	SELL FI	PIMCO TOTAL RETURN CLASS A	PTAX	18,570.808	213,378.58	205,207.43	-434.25
09/02/11	12/14/10 *	SELL FI	PIMCO TOTAL RETURN CLASS A	PTAX	1,778.770	19,086.20	19,655.40	-71.743
09/02/11	09/15/10 *	SELL FI	PNC FINL SVCS GROUP INC COM	PNC	9.000	490.59	423.92	-66.673
09/02/11	05/03/11	SELL FI	PNC FINL SVCS GROUP INC COM	PNC	3.000	190.65	141.31	0.00
09/02/11	05/12/11	SELL FI	PNC FINL SVCS GROUP INC COM	PNC	85.000	5,378.80	4,003.67	-49.343
09/02/11	05/17/11	SELL FI	PNC FINL SVCS GROUP INC COM	PNC	49.000	3,049.21	2,308.00	0.00
09/02/11	08/29/11	SELL FI	PNC FINL SVCS GROUP INC COM	PNC	9.000	503.32	423.92	-1,375.133
09/02/11	08/29/11	SELL FI	352 day(s) added to your holding period as a result of a wash sale. PNC FINL SVCS GROUP INC COM	PNC	1.000	64.96	47.10	-665.58
09/02/11	08/31/11	SELL FI	122 day(s) added to your holding period as a result of a wash sale. PNC FINL SVCS GROUP INC COM	PNC	2.000	132.73	94.20	-75.633
			122 day(s) added to your holding period as a result of a wash sale.				423.92	-79.40
							47.10	-17.86
							94.20	-38.53

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term (continued)								
09/02/11	08/31/11	SELL FI	PNC FINL SVCS GROUP INC COM	PNC	43.000	2,842.21	2,025.38	-816.83
09/02/11	09/15/10 *	SELL FI	113 day(s) added to your holding period as a result of a wash sale. PPG INDUSTRIES INC	PPG	8.000	572.56	590.25	17.69
09/02/11	02/25/11	SELL FI	PPG INDUSTRIES INC	PPG	27.000	2,361.37	1,992.10	-123.09
09/02/11	11/29/10 *	SELL FI	PPL CORP COM	PPL	21.000	533.78	597.85	-246.18 ³ 64.07
09/02/11	12/21/10 *	SELL FI	PPL CORP COM	PPL	13.000	341.23	370.10	28.87
09/02/11	05/03/11	SELL FI	PPL CORP COM	PPL	4.000	110.56	113.88	3.32
09/02/11	11/11/10 *	SELL FI	PACKAGING CORP AMER COM	PKG	21.000	535.04	523.73	-11.31
09/02/11	01/05/11	SELL FI	PACKAGING CORP AMER COM	PKG	10.000	267.28	249.39	-17.89
09/02/11	09/01/11	SELL FI	PATTERSON COS INC COM	PDCO	11.000	321.39	312.61	-7.98
09/02/11	08/26/11	SELL FI	PEABODY ENERGY CORP COM	BTU	96.000	4,486.83	4,330.75	-0.80 ³ -89.42
09/02/11	08/29/11	SELL FI	PEABODY ENERGY CORP COM	BTU	11.000	543.77	496.23	-66.66 ³ -47.54
09/02/11	09/15/10 *	SELL FI	7 day(s) added to your holding period as a result of a wash sale. PENNEY J C INC	JCP	15.000	363.00	382.49	19.49
09/02/11	08/29/11	SELL FI	PENNEY J C INC	JCP	6.000	163.62	152.99	-10.63
09/02/11	09/15/10 *	SELL FI	PEOPLES UTID FINL INC COM	PBCT	63.000	829.08	719.44	-109.64
09/02/11	09/15/10 *	SELL FI	PEPSICO INC COM	PEP	65.000	4,306.90	4,135.41	-171.49
09/02/11	09/15/10 *	SELL FI	PERKINELMER INC COM	PKI	13.000	294.19	283.91	-7.91
09/02/11	04/11/11	SELL FI	PERRIGO COMPANY	PRGO	8.000	669.60	735.43	-2.37 ³ 65.83
09/02/11	04/13/11	SELL FI	PERRIGO COMPANY	PRGO	15.000	1,302.42	1,378.92	76.50
09/02/11	05/04/11	SELL FI	PERRIGO COMPANY	PRGO	17.000	1,474.70	1,562.78	88.08
09/02/11	05/06/11	SELL FI	PERRIGO COMPANY	PRGO	3.000	261.09	275.78	14.69



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Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
09/02/11	02/28/11	SELL FI	PETROLEO BRASILEIRO SA PETROBRAS	PBR A	82.000	2,863.28	2,093.41	-769.87
09/02/11	09/15/10 *	SELL FI	PFIZER INC COM	PFE	85.000	1,468.80	1,588.84	120.04
09/02/11	09/29/10 *	SELL FI	PFIZER INC COM	PFE	399.000	6,913.34	7,458.21	544.87
09/02/11	12/08/10 *	SELL FI	PFIZER INC COM	PFE	29.000	483.95	542.08	58.13
09/02/11	01/05/11	SELL FI	PFIZER INC COM	PFE	118.000	2,120.22	2,205.69	85.47
09/02/11	09/29/10 *	SELL FI	PHILIP MORRIS INTL INC COM	PM	267.000	14,996.87	18,362.01	3,365.14
09/02/11	03/03/11	SELL FI	PLAINS EXPL & PRODTN CO COM	PXP	15.000	568.32	432.29	-63.48
09/02/11	03/08/11	SELL FI	PLAINS EXPL & PRODTN CO COM	PXP	6.000	210.36	172.92	-72.553
09/02/11	04/11/11	SELL FI	PLAINS EXPL & PRODTN CO COM	PXP	17.000	621.47	489.92	-37.44
09/02/11	09/15/10 *	SELL FI	POTLATCH CORP NEW COM	PCH	8.000	272.96	259.83	-131.55
09/02/11	09/15/10 *	SELL FI	PRICELINE COM INC COM NEW	PCLN	16.000	5,285.28	8,412.15	-13.13
09/02/11	08/08/11	SELL FI	PRICELINE COM INC COM NEW	PCLN	7.000	3,634.75	3,680.32	3,126.87
09/02/11	06/29/11	SELL FI	PROGRESS SOFTWARE CORP	PRGS	12.000	280.89	237.59	45.57
09/02/11	06/30/11	SELL FI	PROGRESS SOFTWARE CORP	PRGS	10.000	244.27	198.00	-43.30
09/02/11	09/15/10 *	SELL FI	PROLOGIS INC COM	PLD	6.000	156.72	161.94	-46.27
09/02/11	09/15/10 *	SELL FI	PRUDENTIAL FINL INC COM	PRU	96.000	5,295.18	4,548.67	5.22
09/02/11	09/15/10 *	SELL FI	QLOGIC CORP	QLGC	17.000	277.95	224.74	-746.51
								-37.56
								-15.653

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
09/02/11	01/12/11	SELL FI	QLOGIC CORP	QLGC	23,000	390.04	304.05	-85.99
09/02/11	09/15/10*	SELL FI	QEP RES INC COM	QEP	12,000	357.12	410.51	53.39
09/02/11	10/26/10*	SELL FI	QEP RES INC COM	QEP	24,000	765.98	821.02	55.04
09/02/11	03/03/11	SELL FI	QEP RES INC COM	QEP	9,000	347.67	307.89	0.00
09/02/11	09/15/10*	SELL FI	QUALCOMM INC	QCOM	62,000	2,581.68	3,119.34	-39.783
09/02/11	11/12/10*	SELL FI	QUALCOMM INC	QCOM	73,000	3,444.00	3,672.77	537.66
09/02/11	04/06/11	SELL FI	RADIOSHACK CORP COM	RSH	37,000	583.75	448.43	228.77
09/02/11	04/26/11	SELL FI	RADIOSHACK CORP COM	RSH	20,000	317.98	242.39	-135.32
09/02/11	06/09/11	SELL FI	RALPH LAUREN CORP CL A	RL	8,000	969.28	1,075.10	-75.59
09/02/11	06/22/11	SELL FI	RALPH LAUREN CORP CL A	RL	8,000	1,025.60	1,075.10	105.82
09/02/11	06/24/11	SELL FI	RALPH LAUREN CORP CL A	RL	8,000	1,022.96	1,075.10	49.50
09/02/11	06/24/11	SELL FI	RALPH LAUREN CORP CL A	RL	7,000	882.84	940.71	52.14
09/02/11	08/10/11	SELL FI	RALPH LAUREN CORP CL A	RL	14,000	1,773.62	1,881.42	57.87
09/02/11	09/15/10*	SELL FI	RAYMOND JAMES FINL INC COM	RJF	16,000	401.28	1,881.42	107.80
09/02/11	07/22/11	SELL FI	RAYMOND JAMES FINL INC COM	RJF	10,000	341.67	262.49	18.71
09/02/11	09/15/10*	SELL FI	REDWOOD TR INC COM	RWT	20,000	290.40	242.60	-15.84
09/02/11	04/14/11	SELL FI	REED ELSEVIER NV SPON ADR NEW	ENL	38,000	990.55	889.18	-63.343
09/02/11	05/11/11	SELL FI	REED ELSEVIER NV SPON ADR NEW	ENL	4,000	108.24	93.60	-47.80
09/02/11	05/12/11	SELL FI	REED ELSEVIER NV SPON ADR NEW	ENL	12,000	325.41	280.79	-10.67
09/02/11	08/29/11	SELL FI	REED ELSEVIER NV SPON ADR NEW	ENL	18,000	464.00	421.19	-90.703

141 day(s) added to your holding period as a result of a wash sale.



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Short Term (continued)								
09/02/11	03/10/11	SELL FI	REINSURANCE GROUP AMER INC COM NEW	RGA	9,000	546.01	457.01	0.00
09/02/11	08/29/11	SELL FI	REINSURANCE GROUP AMER INC COM NEW	RGA	8,000	498.52	406.23	-89.00 ³
09/02/11	09/15/10*	SELL FI	176 day(s) added to your holding period as a result of a wash sale. REPUBLIC SVCS INC COM	RSG	6,000	184.20	175.08	-46.14
09/02/11	12/20/10*	SELL FI	REPUBLIC SVCS INC COM	RSG	7,000	211.96	204.26	-46.15 ³
09/02/11	03/03/11	SELL FI	REPUBLIC SVCS INC COM	RSG	8,000	237.91	233.43	-9.12
09/02/11	09/15/10*	SELL FI	ROCKWELL COLLINS INC DEL COM STK	COL	8,000	463.68	376.47	-7.70
09/02/11	08/29/11	SELL FI	SAFEWAY INC COM NEW	SWY	27,000	471.61	476.81	-4.48
09/02/11	12/16/10*	SELL FI	ST JUDE MED INC COM	STJ	24,000	999.12	1,065.57	-87.21
09/02/11	11/22/10*	SELL FI	SALESFORCE.COM INC COM STOCK	CRM	42,000	5,846.32	5,225.12	5.20
09/02/11	01/31/11	SELL FI	SALESFORCE.COM INC COM STOCK	CRM	8,000	1,038.30	995.26	66.45
09/02/11	04/13/11	SELL FI	SALESFORCE.COM INC COM STOCK	CRM	16,000	2,153.57	1,990.52	-369.76
09/02/11	08/30/11	SELL FI	SALESFORCE.COM INC COM STOCK	CRM	3,000	414.21	373.22	-251.44 ³
09/02/11	09/15/10*	SELL FI	284 day(s) added to your holding period as a result of a wash sale. SCHLUMBERGER LTD COM ISIN#AN8068571086	SLB	70,000	4,017.30	5,186.40	-43.04
09/02/11	06/07/11	SELL FI	SCHLUMBERGER LTD COM ISIN#AN8068571086	SLB	9,000	754.11	666.82	-163.05
09/02/11	11/03/10*	SELL FI	SCRIPPS NETWORKS INTERACTIVE INC CL A	SNI	67,000	3,400.92	2,788.68	-40.99
09/02/11	12/06/10*	SELL FI	SCRIPPS NETWORKS INTERACTIVE INC CL A	SNI	35,000	1,811.53	1,456.77	1,169.10
								0.00
								-87.29 ³
								-530.00
								-82.24 ³
								-354.76

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
09/02/11	09/15/10*	SELL FI	SEMTECH CORP	SWTC	7,000	126.49	147.14	20.65
09/02/11	09/15/10*	SELL FI	SHIRE PLC SPONS ADR	SHPGY	14,000	996.94	1,330.25	333.31
09/02/11	05/03/11	SELL FI	SNAP ON INC COM	SNA	2,000	121.72	102.54	-19.18
09/02/11	02/04/11	SELL FI	SOUTHWESTERN ENERGY CO COM	SWN	2,000	78.54	74.10	0.00
09/02/11	02/18/11	SELL FI	SOUTHWESTERN ENERGY CO COM	SWN	15,000	546.72	555.74	-4.443
09/02/11	09/15/10*	SELL FI	STANLEY BLACK & DECKER INC COM	SWK	12,000	726.60	708.62	9.02
09/02/11	05/12/11	SELL FI	STANLEY BLACK & DECKER INC COM	SWK	16,000	1,200.80	944.83	0.00
09/02/11	02/18/11	SELL FI	STAPLES INC	SPLS	27,000	580.45	369.89	-255.973
09/02/11	03/03/11	SELL FI	STAPLES INC	SPLS	28,000	589.91	383.59	0.00
09/02/11	03/25/11	SELL FI	STAPLES INC	SPLS	12,000	241.18	164.40	-210.563
09/02/11	08/29/11	SELL FI	STAPLES INC	SPLS	9,000	202.91	123.30	-184.21
09/02/11	06/24/11	SELL FI	196 day(s) added to your holding period as a result of a wash sale. STARBUCKS CORP COM	SBUX	48,000	1,808.16	1,810.18	-22.113
09/02/11	06/24/11	SELL FI	STARBUCKS CORP COM	SBUX	25,000	937.43	942.80	-76.78
09/02/11	06/30/11	SELL FI	STARBUCKS CORP COM	SBUX	30,000	1,186.41	1,131.36	-53.7
09/02/11	07/22/11	SELL FI	STARBUCKS CORP COM	SBUX	45,000	1,822.95	1,697.06	-18.35
09/02/11	09/03/10*	SELL FI	STATE STR CORP COM	STT	22,000	833.76	741.83	-36.703
09/02/11	09/15/10*	SELL FI	STATE STR CORP COM	STT	14,000	539.56	472.07	-125.89
09/02/11	05/03/11	SELL FI	STATE STR CORP COM	STT	6,000	279.48	202.32	-91.93
09/02/11	09/15/10*	SELL FI	STERIS CORP	STE	7,000	225.19	216.57	-67.49
09/02/11	07/01/11	SELL FI	STERIS CORP	STE	7,000	249.06	216.57	-77.16
								0.00
								-8.623
								-32.49



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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Description	Disposition Transaction	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
09/02/11	07/05/11	STERIS CORP	SELL FI	STE	3.000	106.77	92.83	-13.94
09/02/11	11/24/10*	SUNTRUST BKS INC COM	SELL FI	STI	5.000	118.89	93.90	0.00
09/02/11	12/03/10*	SUNTRUST BKS INC COM	SELL FI	STI	15.000	379.95	281.69	-24.993
09/02/11	12/22/10*	SUNTRUST BKS INC COM	SELL FI	STI	12.000	350.26	225.35	0.00
09/02/11	05/03/11	SUNTRUST BKS INC COM	SELL FI	STI	7.000	198.80	131.46	-98.263
09/02/11	06/03/11	SUNTRUST BKS INC COM	SELL FI	STI	21.000	551.40	394.37	-83.27
09/02/11	08/29/11	SUNTRUST BKS INC COM	SELL FI	STI	5.000	123.72	93.90	-41.643
09/02/11	08/29/11	282 day(s) added to your holding period as a result of a wash sale. SUNTRUST BKS INC COM	SELL FI	STI	1.000	26.30	18.78	-67.34
09/02/11	09/15/10*	273 day(s) added to your holding period as a result of a wash sale. SYMANTEC CORP	SELL FI	SYMC	27.000	405.54	445.48	-157.03
09/02/11	03/14/11	SYSCO CORP	SELL FI	SYV	21.000	581.24	573.07	-29.82
09/02/11	04/07/11	SYSCO CORP	SELL FI	SYV	13.000	373.60	354.76	-7.52
09/02/11	08/29/11	SYSCO CORP	SELL FI	SYV	6.000	168.16	163.74	39.94
09/02/11	12/20/10*	172 day(s) added to your holding period as a result of a wash sale. TCF FINL CORP COM	SELL FI	TCB	53.000	738.29	519.54	-1.56
09/02/11	08/29/11	TCF FINL CORP COM	SELL FI	TCB	53.000	759.26	519.55	-6.613
09/02/11	08/29/11	256 day(s) added to your holding period as a result of a wash sale. TCF FINL CORP COM	SELL FI	TCB	20.000	203.97	196.05	-18.84
09/02/11	08/29/11	TCF FINL CORP COM	SELL FI	TCB	20.000	203.97	196.05	-4.42
09/02/11	08/29/11	TCF FINL CORP COM	SELL FI	TCB	20.000	203.97	196.05	0.00
09/02/11	08/29/11	TCF FINL CORP COM	SELL FI	TCB	20.000	203.97	196.05	-218.753
09/02/11	08/29/11	TCF FINL CORP COM	SELL FI	TCB	20.000	203.97	196.05	-140.21
09/02/11	08/29/11	TCF FINL CORP COM	SELL FI	TCB	20.000	203.97	196.05	-99.503
09/02/11	08/29/11	TCF FINL CORP COM	SELL FI	TCB	20.000	203.97	196.05	-7.92

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
09/02/11	09/10/10*	SELL FI	TD AMERITRADE HLDG CORP COM	AMTD	101.000	1,551.27	1,479.91	-39.57
09/02/11	09/15/10*	SELL FI	TD AMERITRADE HLDG CORP COM	AMTD	117.000	1,821.47	1,714.35	-31.793
09/02/11	09/23/10*	SELL FI	TD AMERITRADE HLDG CORP COM	AMTD	109.000	1,737.25	1,597.14	-107.12
09/02/11	01/12/11	SELL FI	TARGET CORP COM	TGT	119.000	6,592.43	5,956.18	-140.11
09/02/11	02/02/11	SELL FI	TARGET CORP COM	TGT	60.000	3,204.52	3,003.11	-497.24
09/02/11	03/02/11	SELL FI	TARGET CORP COM	TGT	46.000	2,414.54	2,302.39	-139.013
09/02/11	09/15/10*	SELL FI	TECH DATA	TECD	7.000	272.65	322.48	-201.41
09/02/11	10/15/10*	SELL FI	TEMPLE INLAND INC COM	TIN	24.000	480.43	596.86	-112.15
09/02/11	05/11/11	SELL FI	TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	TEVA	12.000	581.97	487.55	49.83
09/02/11	05/12/11	SELL FI	TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	TEVA	8.000	392.30	325.03	116.43
09/02/11	05/13/11	SELL FI	TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	TEVA	6.000	300.35	243.77	-55.08
09/02/11	09/15/10*	SELL FI	THERMO FISHER SCIENTIFIC INC	TMO	17.000	794.75	899.33	-39.343
09/02/11	08/10/11	SELL FI	THERMO FISHER SCIENTIFIC INC	TMO	75.000	3,815.09	3,967.64	-67.27
09/02/11	08/17/11	SELL FI	THERMO FISHER SCIENTIFIC INC	TMO	31.000	1,677.05	1,639.95	-56.58
09/02/11	09/15/10*	SELL FI	THOMAS & BETTS CORP NEW	TNB	5.000	206.45	207.60	104.58
09/02/11	08/29/11	SELL FI	THOMAS & BETTS CORP NEW	TNB	5.000	209.79	207.58	152.55
09/02/11	09/15/10*	SELL FI	3M CO COM	MMM	12.000	1,010.64	956.54	-5.98
09/02/11	03/23/11	SELL FI	3M CO COM	MMM	18.000	1,646.41	1,434.80	-31.123
09/02/11	06/28/11	SELL FI	TIDEWATER INC	TDW	11.000	572.74	574.07	1.15
09/02/11	08/29/11	SELL FI	TIDEWATER INC	TDW	3.000	156.09	156.57	-2.21
09/02/11	09/15/10*	SELL FI	TRAVELERS COS INC COM	TRV	20.000	1,046.40	990.84	-54.10
09/02/11	09/15/10*	SELL FI	TRAVELERS COS INC COM	TRV	20.000	1,046.40	990.84	-211.61
09/02/11	09/15/10*	SELL FI	TRAVELERS COS INC COM	TRV	20.000	1,046.40	990.84	1.33
09/02/11	09/15/10*	SELL FI	TRAVELERS COS INC COM	TRV	20.000	1,046.40	990.84	0.48
09/02/11	09/15/10*	SELL FI	TRAVELERS COS INC COM	TRV	20.000	1,046.40	990.84	-55.56

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term (continued)								
09/02/11	02/09/11	SELL FI	TRAVELERS COS INC COM	TRV	41.000	2,395.10	2,031.21	-363.89
09/02/11	09/15/10 *	SELL FI	URS CORP NEW COM	URS	37.000	1,418.95	1,228.74	-190.21
09/02/11	05/03/11	SELL FI	URS CORP NEW COM	URS	4.000	174.79	132.84	-41.95
09/02/11	09/15/10 *	SELL FI	UNILEVER PLC SPON ADR NEW	UL	12.000	334.56	398.39	63.83
09/02/11	09/15/10 *	SELL FI	UNION PACIFIC CORP COM	UNP	27.000	2,142.45	2,409.77	267.32
09/02/11	09/15/10 *	SELL FI	UNITED TECHNOLOGIES CORP COM	UTX	108.000	7,385.91	7,690.84	304.93
09/02/11	12/16/10 *	SELL FI	UNITED TECHNOLOGIES CORP COM	UTX	50.000	3,942.50	3,560.59	-381.91
09/02/11	04/29/11	SELL FI	UNITEDHEALTH GROUP INC COM	UNH	28.000	1,374.24	1,290.86	0.00
09/02/11	05/02/11	SELL FI	UNITEDHEALTH GROUP INC COM	UNH	78.000	3,867.01	3,595.96	-271.05
09/02/11	05/03/11	SELL FI	UNITEDHEALTH GROUP INC COM	UNH	25.000	1,238.93	1,152.55	-86.38
09/02/11	05/04/11	SELL FI	UNITEDHEALTH GROUP INC COM	UNH	13.000	636.96	599.33	-37.63
09/02/11	05/09/11	SELL FI	UNITEDHEALTH GROUP INC COM	UNH	35.000	1,756.20	1,613.57	-142.63
09/02/11	05/19/11	SELL FI	UNITEDHEALTH GROUP INC COM	UNH	17.000	858.28	783.73	-74.55
09/02/11	06/06/11	SELL FI	UNITEDHEALTH GROUP INC COM	UNH	58.000	2,805.29	2,673.92	-131.37
09/02/11	06/24/11	SELL FI	UNITEDHEALTH GROUP INC COM	UNH	17.000	858.79	783.73	-75.06
09/02/11	07/28/11	SELL FI	UNITEDHEALTH GROUP INC COM	UNH	37.000	1,873.94	1,705.77	-168.17
09/02/11	08/02/11	SELL FI	UNITEDHEALTH GROUP INC COM	UNH	28.000	1,323.20	1,290.85	-32.35

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
09/02/11	10/27/10 *	SELL FI	VARIAN MED SYS INC COM	VAR	74.000	4,472.42	3,973.72	-417.83
09/02/11	02/02/11	SELL FI	VARIAN MED SYS INC COM	VAR	20.000	1,348.36	1,073.98	-80.873
09/02/11	01/31/11	SELL FI	VERISIGN INC COM	VERSN	25.000	873.55	788.64	-274.38
09/02/11	02/02/11	SELL FI	VERISIGN INC COM	VERSN	25.000	857.20	758.31	-16.33
09/02/11	02/03/11	SELL FI	VERISIGN INC COM	VERSN	26.000	885.25	788.64	-68.583
09/02/11	02/15/11	SELL FI	VERISIGN INC COM	VERSN	48.000	1,760.07	1,455.95	-98.89
09/02/11	02/25/11	SELL FI	VERISIGN INC COM	VERSN	18.000	647.61	545.98	-96.61
09/02/11	03/16/11	SELL FI	VERISIGN INC COM	VERSN	27.000	946.03	818.97	-304.12
09/02/11	09/15/10 *	SELL FI	VIACOM INC NEW CL B	VIAB	6.000	209.28	278.90	-101.63
09/02/11	06/01/11	SELL FI	VIACOM INC NEW CL B	VIAB	153.000	7,808.29	7,111.91	-127.06
09/02/11	09/15/10 *	SELL FI	VISA INC COM CL A	V	28.000	1,931.16	2,411.39	69.62
09/02/11	11/12/10 *	SELL FI	VISA INC COM CL A	V	27.000	2,083.86	2,325.27	-537.08
09/02/11	05/12/11	SELL FI	VISA INC COM CL A	V	42.000	3,347.28	3,617.09	-159.303
09/02/11	05/12/11	SELL FI	VISA INC COM CL A	V	19.000	1,509.68	1,636.31	480.23
09/02/11	12/17/10 *	SELL FI	VODAFONE GROUP PLC SPON ADR NEW	VOD	49.000	1,294.98	1,294.21	241.41
09/02/11	12/21/10 *	SELL FI	VODAFONE GROUP PLC SPON ADR NEW	VOD	13.000	343.96	343.36	269.81
09/02/11	12/23/10 *	SELL FI	VODAFONE GROUP PLC SPON ADR NEW	VOD	2.000	53.30	52.82	126.63
09/02/11	04/13/11	SELL FI	WMS INDS INC COM	WMS	19.000	591.03	396.90	-0.24
09/02/11	06/09/11	SELL FI	WMS INDS INC COM	WMS	15.000	435.11	313.34	-0.533
09/02/11	08/29/11	SELL FI	WMS INDS INC COM	WMS	6.000	194.98	125.34	-0.60
142 day(s) added to your holding period as a result of a wash sale.								



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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term (continued)								
09/02/11	09/15/10 *	SELL FI	WAL MART STORES INC COM	WMT	8.000	422.96	418.39	-4.57
09/02/11	10/19/10 *	SELL FI	WALTER ENERGY INC COM	WLT	9.000	764.26	698.12	-66.14
09/02/11	10/20/10 *	SELL FI	WALTER ENERGY INC COM	WLT	42.000	3,665.68	3,257.87	-407.81
09/02/11	02/01/11	SELL FI	WARNACO GROUP INC COM NEW	WRC	12.000	625.17	607.06	-18.11
09/02/11	09/15/10 *	SELL FI	WASHINGTON FED INC COM	WFSL	61.000	926.59	867.40	-59.19
09/02/11	05/03/11	SELL FI	WASHINGTON FED INC COM	WFSL	4.000	64.35	56.88	-7.47
09/02/11	09/15/10 *	SELL FI	WESTERN UN CO COM	WU	15.000	255.90	243.19	-12.71
09/02/11	01/21/11	SELL FI	WESTERN UN CO COM	WU	16.000	307.98	259.40	-48.58
09/02/11	05/03/11	SELL FI	WESTERN UN CO COM	WU	13.000	273.22	210.76	-62.46
09/02/11	09/09/10 *	SELL FI	WEYERHAEUSER CO	WY	19.000	306.09	330.97	24.88
09/02/11	09/15/10 *	SELL FI	WEYERHAEUSER CO	WY	21.000	334.74	365.81	31.07
09/02/11	08/29/11	SELL FI	WEYERHAEUSER CO	WY	6.000	103.66	104.52	0.86
09/02/11	08/01/11	SELL FI	WHITING PETE CORP COM	WLL	9.000	528.72	401.84	-126.88
09/02/11	12/22/10 *	SELL FI	XILINX INC COM	XLNX	11.000	309.85	328.67	18.82
09/02/11	09/15/10 *	SELL FI	YUM BRANDS INC COM	YUM	49.000	2,257.43	2,591.70	334.27
09/02/11	09/15/10 *	SELL FI	ZIMMER HLDGS INC COM	ZMH	16.000	799.84	885.26	85.42
09/02/11	01/11/11	SELL FI	ZIMMER HLDGS INC COM	ZMH	7.000	384.91	387.30	2.39

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
09/07/11	10/20/10 *	SELL FI	WALTER ENERGY INC COM	WLT	2,000	174.56	191.04	16.48
09/08/11	09/15/10 *	SELL FI	AT&T INC COM	T	9,000	252.54	252.65	0.11
09/08/11	10/08/10 *	SELL FI	INTEL CORP COM	INTC	11,000	214.49	222.56	8.07
09/08/11	09/15/10 *	SELL FI	INTERSIL CORP CL A	ISIL	3,000	31.80	32.30	0.50
09/08/11	12/14/10 *	SELL FI	PIMCO TOTAL RETURN CLASS A	PTTX	1,541,251	16,537.62	17,000.00	462.38
09/13/11	09/15/10 *	SELL FI	O REILLY AUTOMOTIVE INC NEW	ORLY	3,000	153.42	202.47	49.05
09/14/11	09/15/10 *	SELL FI	INTERNATIONAL BUSINESS MACHS CORP	IBM	2,000	259.72	337.80	78.08
09/14/11	04/27/11	SELL FI	MCDONALDS CORP	MCD	3,000	232.43	263.10	30.67
09/21/11	06/09/11	SELL FI	CLIFFS NAT RES INC COM	CLF	1,000	85.22	64.85	0.00
09/21/11	11/12/10 *	SELL FI	GOODRICH CORP	GR	3,000	250.26	329.61	-20.373
09/21/11	08/23/11	SELL SL	NETFLIX INC COM	NFLX	1,000	294.55	130.80	79.35
09/22/11	08/29/11	SELL FI	TYCO INTL LTD SHS	TYC	2,000	82.02	84.96	-163.75
09/22/11	06/09/11	SELL FI	CLIFFS NAT RES INC COM	CLF	2,000	170.44	112.74	2.94
09/22/11	11/12/10 *	SELL FI	HALLIBURTON CO COM	HAL	11,000	391.03	368.18	0.00
09/22/11	10/20/10 *	SELL FI	WALTER ENERGY INC COM	WLT	3,000	261.83	191.97	-57.703
09/29/11	11/12/10 *	SELL FI	AUTODESK INC COM	ADSK	2,000	70.10	58.01	-22.85
09/29/11	10/15/10 *	SELL FI	TEMPLE INLAND INC COM	TIN	2,000	40.04	62.75	-69.86
10/04/11	08/29/11	SELL SL	LONE PINE RES INC COM	LPR	4,287	51.53	25.14	-12.09
10/04/11	09/29/11	SELL SL	LONE PINE RES INC COM	LPR	3,675	38.14	21.55	22.71
10/04/11	09/29/11	SELL	LONE PINE RES INC COM	LPR	4,038	45.83	23.68	-26.39
			Original Cost Basis: 0.00					-16.59
								-22.15



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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term (continued)								
10/05/11	11/12/10 *	SELL FI	GOODRICH CORP	GR	5,000	417.10	600.15	183.05
10/05/11	09/29/11	SELL FI	GOODRICH CORP	GR	6,000	726.90	720.18	0.00
10/05/11	10/04/11	SELL FI	GOODRICH CORP	GR	6,000	725.82	720.18	-6.723
10/05/11	10/04/11	SELL FI	6 day(s) added to your holding period as a result of a wash sale. GOODRICH CORP	GR	1,000	119.85	120.03	-5.64
10/05/11	12/06/10 *	SELL FI	SCRIPPS NETWORKS INTERACTIVE INC CL A	SNI	8,000	414.07	295.93	0.18
10/05/11	09/29/11	SELL FI	SCRIPPS NETWORKS INTERACTIVE INC CL A	SNI	9,000	432.61	332.93	0.00
10/05/11	10/04/11	SELL FI	303 day(s) added to your holding period as a result of a wash sale. SCRIPPS NETWORKS INTERACTIVE INC CL A	SNI	5,000	256.99	184.96	-118.143
10/06/11	08/29/11	SELL FI	303 day(s) added to your holding period as a result of a wash sale. DOLBY LABORATORIES INC CL A	DLB	2,000	99.22	56.84	0.00
10/06/11	09/29/11	SELL FI	135 day(s) added to your holding period as a result of a wash sale. DOLBY LABORATORIES INC CL A	DLB	7,000	307.56	198.93	-42.383
10/06/11	09/29/11	SELL FI	135 day(s) added to your holding period as a result of a wash sale. FIRST NIAGARA FINL GROUP INC NEW COM	FNFG	8,000	86.94	73.12	0.00
10/06/11	08/29/11	SELL FI	352 day(s) added to your holding period as a result of a wash sale. FOREST OIL CORP COM	FST	7,000	94.18	76.18	-13.823
10/06/11	09/29/11	SELL FI	FOREST OIL CORP COM	FST	14,000	151.63	152.36	-18.00
10/06/11	10/04/11	SELL FI	FOREST OIL CORP COM	FST	33,000	225.23	359.12	0.73
10/06/11	06/13/11	SELL FI	FREEPORT-MCMORAN COPPER & GOLD INC CL	FCX	2,000	97.32	69.82	133.89
								0.00
								-27.503

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
10/06/11	09/29/11	SELL FI	FREEPORT-MCMORAN COPPER & GOLD INC CL	FCX	6,000	211.57	209.45	0.00
			81 day(s) added to your holding period as a result of a wash sale.					-2.123
10/06/11	10/04/11	SELL FI	FREEPORT-MCMORAN COPPER & GOLD INC CL	FCX	2,000	90.02	69.82	-20.20
			115 day(s) added to your holding period as a result of a wash sale.					
10/06/11	08/29/11	SELL FI	KBR INC COM	KBR	4,000	117.32	103.00	0.00
								-14.323
10/06/11	09/29/11	SELL FI	KBR INC COM	KBR	3,000	76.84	77.25	0.41
			4 day(s) added to your holding period as a result of a wash sale.					
10/06/11	09/29/11	SELL FI	KBR INC COM	KBR	4,000	114.56	102.99	0.00
								-11.573
10/06/11	08/29/11	CLEU FI	38 day(s) added to your holding period as a result of a wash sale.					
			2 LONE PINE RES INC COM	LPR	0.674	Pending Receipt ¹⁰	4.04	N/A
10/06/11	08/29/11	CLEU FI	2 LONE PINE RES INC COM	LPR	0.054	Pending Receipt ¹⁰	0.32	N/A
10/06/11	08/29/11	CLEU FI	2 LONE PINE RES INC COM	LPR	0.862	Pending Receipt ¹⁰	5.18	N/A
10/06/11	03/03/11	SELL FI	QEP RES INC COM	QEP	1,000	38.63	28.74	0.00
								-9.893
10/06/11	08/05/11	SELL FI	QEP RES INC COM	QEP	3,000	125.82	86.22	0.00
								-39.603
10/06/11	09/29/11	SELL FI	183 day(s) added to your holding period as a result of a wash sale.					
			QEP RES INC COM	QEP	6,000	192.72	172.43	0.00
								-20.293
10/06/11	09/29/11	SELL FI	183 day(s) added to your holding period as a result of a wash sale.					
			QEP RES INC COM	QEP	1,000	37.59	28.74	0.00
								-8.853
10/06/11	10/04/11	SELL FI	217 day(s) added to your holding period as a result of a wash sale.					
			QEP RES INC COM	QEP	2,000	75.36	57.48	-17.88
10/12/11	09/29/11	SELL FI	62 day(s) added to your holding period as a result of a wash sale.					
			MASTERCARD INC CL A COM	MA	2,000	653.50	672.08	18.58
10/12/11	10/04/11	SELL FI	MASTERCARD INC CL A COM	MA	1,000	301.95	336.05	34.10
10/12/11	04/27/11	SELL FI	MCDONALDS CORP	MCD	5,000	387.38	443.70	56.32
10/12/11	07/06/11	SELL FI	MCDONALDS CORP	MCD	2,000	172.17	177.48	5.31



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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
10/12/11	10/04/11	SELL FI	MCDONALDS CORP	MCD	15.000	1,274.70	1,331.12	56.42
10/12/11	10/04/11	SELL SL	SCRIPPS NETWORKS INTERACTIVE INC CL A	SNI	3.000	154.19	117.82	-36.37
10/12/11	10/04/11	SELL SL	303 day(s) added to your holding period as a result of a wash sale. SCRIPPS NETWORKS INTERACTIVE INC CL A	SNI	9.000	429.35	353.45	-75.90
10/12/11	10/04/11	SELL SL	6 day(s) added to your holding period as a result of a wash sale. SCRIPPS NETWORKS INTERACTIVE INC CL A	SNI	19.000	695.97	746.16	50.19
10/17/11	05/26/11	SELL FI	KINDER MORGAN INC DEL COM	KMI	2.000	57.56	58.62	1.06
10/17/11	10/04/11	SELL FI	KINDER MORGAN INC DEL COM	KMI	2.000	49.94	58.61	8.67
10/17/11	04/11/11	SELL FI	PLAINS EXPL & PRODTN CO COM	PXP	4.000	146.23	109.17	0.00
10/17/11	09/29/11	SELL FI	PLAINS EXPL & PRODTN CO COM	PXP	4.000	129.76	109.16	-37.06 ³
10/19/11	09/29/11	SELL FI	183 day(s) added to your holding period as a result of a wash sale. EQT CORPORATION COM	EQT	3.000	166.08	198.76	0.00
10/19/11	10/04/11	SELL FI	EQT CORPORATION COM	EQT	1.000	51.98	66.25	-20.60 ³
10/20/11	05/03/11	SELL FI	KOHL'S CORP COM	KSS	4.000	211.80	208.37	32.68
10/20/11	09/29/11	SELL FI	KOHL'S CORP COM	KSS	4.000	228.24	208.37	14.27
10/20/11	10/04/11	SELL FI	330 day(s) added to your holding period as a result of a wash sale. KOHL'S CORP COM	KSS	1.000	50.11	52.09	0.00
10/21/11	10/04/11	SELL FI	170 day(s) added to your holding period as a result of a wash sale. KINDER MORGAN INC DEL COM	KMI	7.000	174.79	202.72	-3.433
								0.00
								-19.873
								1.98
								27.93



Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
10/21/11	02/24/11	SELL SL	SOUTHWESTERN ENERGY CO COM	SWN	2,000	75.00	80.06	5.06
10/21/11	09/29/11	SELL SL	SOUTHWESTERN ENERGY CO COM	SWN	2,000	73.40	80.05	6.65
10/21/11	09/29/11	SELL SL	210 day(s) added to your holding period as a result of a wash sale. SOUTHWESTERN ENERGY CO COM	SWN	1,000	37.42	40.03	2.61
10/24/11	10/04/11	SELL FI	190 day(s) added to your holding period as a result of a wash sale. CIMAREX ENERGY CO COM	XEC	3,000	159.96	191.69	31.73
10/24/11	08/29/11	SELL FI	PENNEY J C INC	JCP	2,000	54.54	68.00	13.46
10/24/11	10/04/11	SELL FI	PENNEY J C INC	JCP	2,000	54.54	67.99	13.45
10/28/11	10/04/11	SELL SL	DIEBOLD INC COM	DBD	1,000	26.88	33.17	6.29
10/28/11	09/29/11	SELL SL	MOHAWK INDUSTRIES INC	MHK	2,000	108.40	108.65	0.25
10/28/11	10/04/11	SELL FI	227 day(s) added to your holding period as a result of a wash sale. PENNEY J C INC	JCP	7,000	190.89	230.03	39.14
11/01/11	11/12/10 *	SELL FI	AMAZON COM INC	AMZN	1,000	165.73	212.18	46.45
11/01/11	09/29/11	SELL FI	AMAZON COM INC	AMZN	1,000	223.98	212.17	0.00
11/01/11	11/23/10 *	SELL FI	GREEN MTN COFFEE ROASTERS INC COM	GMCR	9,000	315.00	570.15	-11.813
11/01/11	09/29/11	SELL FI	GREEN MTN COFFEE ROASTERS INC COM	GMCR	7,000	696.50	443.45	255.15
11/01/11	10/04/11	SELL FI	GREEN MTN COFFEE ROASTERS INC COM	GMCR	7,000	876.40	443.45	0.00
11/01/11	10/04/11	SELL FI	33 day(s) added to your holding period as a result of a wash sale. GREEN MTN COFFEE ROASTERS INC COM	GMCR	4,000	356.20	253.40	-253.053
11/02/11	10/04/11	SELL FI	MASTERCARD INC CL A COM	MA	8,000	2,415.60	2,867.08	-432.95
11/09/11	09/29/11	SELL SL	AMAZON COM INC	AMZN	8,000	1,791.84	1,707.96	-102.80
11/11/11	10/04/11	SELL SL	GREEN MTN COFFEE ROASTERS INC COM	GMCR	21,000	1,870.05	930.34	451.48
11/11/11	08/29/11	SELL SL	PEABODY ENERGY CORP COM	BTU	11,000	543.76	448.56	-83.88
								-939.71
								-95.20



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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
11/11/11	09/29/11	SELL SL	7 day(s) added to your holding period as a result of a wash sale. PEABODY ENERGY CORP COM	BTU	3.000	110.69	122.34	11.65
11/14/11	09/05/11	SELL SL	7 day(s) added to your holding period as a result of a wash sale. AKAMAI TECHNOLOGIES INC COM	AKAM	4.000	135.10	117.45	-17.65
11/14/11	10/04/11	SELL SL	107 day(s) added to your holding period as a result of a wash sale. AKAMAI TECHNOLOGIES INC COM	AKAM	6.000	120.60	176.17	55.57
11/14/11	12/31/10*	SELL SL	ANADARKO PETE CORP COM	APC	1.000	78.09	78.69	0.60
11/14/11	09/29/11	SELL SL	ANADARKO PETE CORP COM	APC	3.000	225.34	236.06	10.72
11/14/11	09/29/11	SELL SL	245 day(s) added to your holding period as a result of a wash sale. MOHAWK INDUSTRIES INC	MHK	2.000	108.40	107.25	-1.15
11/14/11	10/04/11	SELL SL	227 day(s) added to your holding period as a result of a wash sale. MOHAWK INDUSTRIES INC	MHK	1.000	42.93	53.63	10.70
11/14/11	05/03/11	SELL SL	NOBLE ENERGY INC COM	NBL	2.000	181.72	188.61	6.89
11/14/11	09/29/11	SELL SL	NOBLE ENERGY INC COM	NBL	2.000	153.91	188.61	34.70
11/14/11	09/29/11	SELL SL	213 day(s) added to your holding period as a result of a wash sale. NOBLE ENERGY INC COM	NBL	1.000	71.84	94.31	22.47
11/14/11	10/04/11	SELL SL	NOBLE ENERGY INC COM	NBL	1.000	69.52	94.31	24.79
11/14/11	10/04/11	SELL FI	OMNICARE INC COM	OCR	13.000	305.76	402.43	96.67
11/14/11	09/29/11	SELL SL	PLAINS EXPL & PRODTN CO COM	PXP	4.000	129.75	141.29	11.54
11/14/11	10/04/11	SELL SL	183 day(s) added to your holding period as a result of a wash sale. PLAINS EXPL & PRODTN CO COM	PXP	3.000	90.35	105.96	15.61

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
11/16/11	10/04/11	SELL SL	189 day(s) added to your holding period as a result of a wash sale. PG & E CORP	PCG	23.000	938.17	908.99	-29.18
11/16/11	07/06/11	SELL SL	PRUDENTIAL FINL INC COM	PRU	4.000	255.52	211.45	-44.07
11/16/11	05/12/11	SELL SL	STANLEY BLACK & DECKER INC COM	SWK	3.000	225.15	201.45	-23.70
11/16/11	07/06/11	SELL SL	STANLEY BLACK & DECKER INC COM	SWK	2.000	147.30	134.30	-13.00
11/16/11	09/14/11	SELL SL	STANLEY BLACK & DECKER INC COM	SWK	6.000	440.62	402.91	-37.71
11/16/11	09/29/11	SELL SL	113 day(s) added to your holding period as a result of a wash sale. STANLEY BLACK & DECKER INC COM	SWK	10.000	673.98	671.53	-2.45
11/17/11	06/03/11	SELL FI	113 day(s) added to your holding period as a result of a wash sale. BIG LOTS INC COM	BIG	2.000	65.52	78.08	12.56
11/17/11	10/04/11	SELL FI	BIG LOTS INC COM	BIG	2.000	67.32	78.07	10.75
11/17/11	08/31/11	SELL SL	PNC FINL SVCS GROUP INC COM	PNC	2.000	132.20	106.05	-26.15
11/18/11	08/16/11	SELL SL	113 day(s) added to your holding period as a result of a wash sale. ABERCROMBIE & FITCH CO CL A	ANF	7.000	530.71	339.28	-191.43
11/18/11	09/29/11	SELL SL	22 day(s) added to your holding period as a result of a wash sale. ABERCROMBIE & FITCH CO CL A	ANF	12.000	878.74	581.63	-297.11
11/18/11	10/04/11	SELL SL	22 day(s) added to your holding period as a result of a wash sale. ABERCROMBIE & FITCH CO CL A	ANF	5.000	298.15	242.34	-55.81
11/30/11	08/29/11	SELL FI	THOMAS & BETTS CORP NEW	TNB	2.000	83.91	103.99	20.08
11/30/11	10/04/11	SELL FI	THOMAS & BETTS CORP NEW	TNB	1.000	39.55	52.00	12.45
12/01/11	10/04/11	SELL FI	CARLISLE COMPANIES INC	CSL	8.000	260.00	352.02	92.02
12/01/11	10/04/11	SELL FI	FOREST OIL CORP COM	FST	7.000	47.78	109.70	61.92
12/09/11	05/16/11	SELL FI	GENERAL MILLS INC COM	GIS	10.000	394.67	404.62	9.95
12/13/11	03/03/11	SELL FI	GARMIN LTD SHS	GRMN	4.000	136.31	152.91	16.60



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Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term (continued)								
12/13/11	09/29/11	SELL FI	GARMIN LTD SHS	GRMN	1.000	33.65	38.23	4.58
12/13/11	08/29/11	SELL FI	183 day(s) added to your holding period as a result of a wash sale. BLUE COAT SYS INC COM NEW	BCSI	3.000	43.23	75.54	32.31
12/13/11	10/04/11	SELL FI	BLUE COAT SYS INC COM NEW	BCSI	19.000	267.14	478.41	211.27
12/14/11	09/29/11	SELL FI	PEABODY ENERGY CORP COM	BTU	16.000	590.33	527.87	-62.46
12/14/11	09/28/11	SELL FI	7 day(s) added to your holding period as a result of a wash sale. RANGE RES CORP COM	RRC	3.000	190.19	176.92	-13.27
12/14/11	09/29/11	SELL FI	RANGE RES CORP COM	RRC	4.000	232.64	235.89	3.25
12/14/11	10/04/11	SELL FI	RANGE RES CORP COM	RRC	17.000	934.32	1,002.52	68.20
12/14/11	10/05/11	SELL FI	RANGE RES CORP COM	RRC	6.000	346.90	353.82	6.92
12/19/11	12/16/11	SELL FI	ZYNGA INC CL A	ZNGA	43.000	399.86	389.18	-10.68
12/21/11	09/29/11	SELL FI	CHUBB CORP	CB	3.000	181.56	206.07	24.51
12/21/11	10/04/11	SELL FI	CHUBB CORP	CB	4.000	230.00	274.76	44.76
12/27/11	05/06/11	SELL FI	PERRIGO COMPANY	PRGO	5.000	435.15	503.04	67.89
12/27/11	09/29/11	SELL FI	PERRIGO COMPANY	PRGO	4.000	393.92	402.43	8.51
Total Short Term						\$1,319,911.98	\$1,329,128.82	\$24,704.57
Long Term								
01/10/11	10/15/09 *	SELL FI	COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A	CTSH	7.000	276.98	527.02	250.04

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long Term (continued)								
01/12/11	10/13/09 *	SELL FI	DIAGEO PLC SPONSORED ADR NEW	DEO	12.000	743.14	913.21	170.07
01/12/11	10/15/09 *	SELL FI	DIAGEO PLC SPONSORED ADR NEW	DEO	19.000	1,187.31	1,445.91	258.60
01/12/11	10/13/09 *	SELL FI	HASBRO INC COM	HAS	3.000	84.77	136.17	51.40
01/12/11	10/15/09 *	SELL FI	HASBRO INC COM	HAS	69.000	1,974.64	3,132.02	1,157.38
01/12/11	10/15/09 *	SELL FI	HESS CORP COM	HES	10.000	585.70	813.88	228.18
01/19/11	12/02/09 *	SELL FI	ORACLE CORP COM	ORCL	8.000	180.46	250.89	70.43
01/21/11	10/15/09 *	SELL FI	APPLE INC COM	AAPL	1.000	190.47	330.36	139.89
01/26/11	10/05/09 *	SELL FI	CHUBB CORP	CB	8.000	393.26	471.93	78.67
01/26/11	10/15/09 *	SELL FI	CHUBB CORP	CB	48.000	2,467.20	2,831.55	364.35
01/26/11	10/15/09 *	SELL FI	COMCAST CORP CL A	CMCSA	9.000	139.59	210.59	71.00
01/28/11	06/10/09 *	SELL FI	BALL CORP COM	BLL	3.000	132.12	214.55	82.43
01/28/11	10/15/09 *	SELL FI	BALL CORP COM	BLL	6.000	307.68	429.11	121.43
01/31/11	01/20/10 *	SELL FI	PEPSICO INC COM	PEP	42.000	2,585.10	2,698.52	113.42
02/01/11	01/20/10 *	SELL FI	PEPSICO INC COM	PEP	18.000	1,107.90	1,159.57	51.67
02/01/11	10/15/09 *	SELL FI	THOMAS & BETTS CORP NEW	TNB	7.000	217.21	372.39	155.18
02/02/11	10/15/09 *	SELL FI	CHUBB CORP	CB	19.000	976.60	1,103.15	126.55
02/02/11	01/06/10 *	SELL FI	CHUBB CORP	CB	8.000	383.68	464.49	80.81
02/02/11	02/01/10 *	SELL FI	CHUBB CORP	CB	29.000	1,454.35	1,683.76	229.41
02/09/11	10/28/09 *	SELL FI	CHEVRON CORP NEW COM	CIX	31.000	2,357.80	2,983.44	625.64
02/14/11	11/11/09 *	SELL FI	AT&T INC COM	T	18.000	475.53	510.29	34.76
02/25/11	01/27/10 *	SELL FI	CLIFFS NAT RES INC COM	CLF	3.000	121.62	286.86	165.24

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Long Term (continued)								
02/25/11	10/15/09 *	SELL FI	HESS CORP COM	HES	17.000	995.69	1,425.28	429.59
02/25/11	11/09/09 *	SELL FI	HESS CORP COM	HES	4.000	233.48	335.36	101.88
02/25/11	01/06/10 *	SELL FI	HESS CORP COM	HES	3.000	192.45	251.52	59.07
03/01/11	12/02/09 *	SELL FI	KROGER CO	KR	24.000	550.29	548.38	-1.91
03/03/11	06/10/09 *	SELL FI	ENTERGY CORP NEW COM	ETR	1.000	74.03	72.55	-1.48
03/03/11	09/04/09 *	SELL FI	ENTERGY CORP NEW COM	ETR	3.000	238.11	217.64	-20.47
03/03/11	02/01/10 *	SELL FI	WAL MART STORES INC COM	WMT	5.000	268.05	259.14	-8.91
03/03/11	02/05/10 *	SELL FI	WESTERN UN CO COM	WU	2.000	32.80	43.85	11.05
03/14/11	06/10/09 *	SELL FI	GOLDCORP INC NEW COM ISIN#CA3809564097	GG	3.000	107.70	142.62	34.92
03/14/11	10/15/09 *	SELL FI	GOLDCORP INC NEW COM ISIN#CA3809564097	GG	6.000	252.72	285.23	32.51
03/18/11	09/04/09 *	SELL FI	ELECTRONIC ARTS INC COM	EA	2.000	36.50	37.18	0.68
03/18/11	10/15/09 *	SELL FI	ELECTRONIC ARTS INC COM	EA	20.000	409.00	371.79	-37.21
03/22/11	03/12/10 *	SELL FI	SOUTHWESTERN ENERGY CO COM	SWN	9.000	400.32	377.90	0.00
04/06/11	11/11/09 *	SELL FI	AT&T INC COM	T	110.000	2,906.01	3,357.45	-22.423 451.44
04/07/11	12/02/09 *	SELL FI	KROGER CO	KR	7.000	160.50	168.14	7.64
04/07/11	03/12/10 *	SELL FI	KROGER CO	KR	12.000	267.36	288.23	20.87
04/07/11	11/09/09 *	SELL FI	PUBLIC SVC ENTERPRISE GROUP	PEG	47.000	1,447.04	1,469.33	22.29

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Pershing LLC, member FINRA NYSE SIPC

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
04/07/11	01/06/10*	SELL FI	PUBLIC SVC ENTERPRISE GROUP	PEG	14,000	468.13	437.67	-30.46
04/11/11	12/09/09*	SELL FI	BIG LOTS INC COM	BIG	14,000	394.80	612.34	217.54
04/12/11	12/23/09*	SELL FI	FLOWERS FOODS INC COM	FLO	5,000	119.10	140.95	21.85
04/13/11	10/28/09*	SELL FI	CHEVRON CORP NEW COM	CVX	18,000	1,369.04	1,875.05	506.01
04/13/11	11/09/09*	SELL FI	INTEL CORP COM	INTC	42,000	811.02	831.75	20.73
04/26/11	10/15/09*	SELL FI	ZIMMER HLDGS INC COM	ZMH	5,000	259.30	312.59	53.29
04/27/11	01/06/10*	SELL FI	PHILIP MORRIS INTL INC COM	PM	1,000	48.43	67.39	18.96
04/27/11	03/12/10*	SELL FI	PHILIP MORRIS INTL INC COM	PM	4,000	200.64	269.57	68.93
04/28/11	10/15/09*	SELL FI	V F CORP	VFC	5,000	381.50	536.44	154.94
05/03/11	06/10/09*	SELL FI	TYCO INTL LTD SHS	TYC	4,000	109.08	195.31	86.23
05/03/11	03/12/10*	SELL FI	CHARLES RIV LABORATORIES INTL	CRL	5,000	193.80	204.59	10.79
05/03/11	04/28/10*	SELL FI	DISCOVER FINL SVCS COM INC	DFS	21,000	325.25	515.75	190.50
05/03/11	04/01/10*	SELL FI	GLOBAL PMTS INC COM	GPN	4,000	188.16	212.99	24.83
05/03/11	11/09/09*	SELL FI	HOLOGIC INC COM	HOLX	8,000	122.88	182.95	60.07
05/03/11	11/05/09*	SELL FI	KANSAS CITY SOUTHN COM NEW	KSU	3,000	82.35	170.87	88.52
05/03/11	03/25/10*	SELL FI	KIRBY CORP COM	KEX	4,000	153.39	223.27	69.88
05/03/11	10/15/09*	SELL FI	SRA INTL INC C/A EFF 7/20/2011 1 OLD/ USD	78464R105	7,000	143.64	215.66	72.02
05/03/11	09/04/09*	SELL FI	VIACOM INC NEW CL B	VIAB	1,000	24.23	50.42	26.19
05/03/11	10/15/09*	SELL FI	VIACOM INC NEW CL B	VIAB	3,000	84.66	151.25	66.59
05/04/11	03/12/10*	SELL FI	BAIDU COM INC SPONS ADR REPSTG ORD SHS	BIDU	18,000	1,000.60	2,447.95	1,447.35
05/04/11	10/15/09*	SELL FI	COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A	CTSH	127,000	5,025.13	9,715.68	4,690.55

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
05/19/11	03/12/10 *	SELL FI	GAP INC	GPS	6,000	136.74	137.94	1.20
05/19/11	05/17/10 *	SELL FI	GAP INC	GPS	10,000	230.20	229.89	-0.31
05/19/11	10/15/09 *	SELL FI	LABORATORY CORP AMER HLDGS COM NEW	LH	1,000	68.07	100.34	32.27
05/19/11	03/03/10 *	SELL FI	LABORATORY CORP AMER HLDGS COM NEW	LH	4,000	293.20	401.35	108.15
05/19/11	05/17/10 *	SELL FI	ESTEE LAUDER COMPANIES INC CL A	EL	18,000	1,100.16	1,838.84	738.68
05/19/11	05/14/10 *	SELL FI	MCKESSON CORP COM	MCK	3,000	205.10	259.73	53.63
05/19/11	05/17/10 *	SELL FI	MCKESSON CORP COM	MCK	2,000	136.62	173.16	36.54
05/23/11	10/15/09 *	SELL FI	LUBRIZOL CORP COM C/A EFF 09/16/11	549271104	3,000	213.24	402.68	189.44
05/24/11	03/25/10 *	SELL FI	KIRBY CORP COM	KEX	6,000	230.09	326.03	95.94
06/03/11	11/09/09 *	SELL FI	BARRETT BILL CORP COM	BBG	1,000	31.90	42.93	11.03
06/03/11	02/05/10 *	SELL FI	BARRETT BILL CORP COM	BBG	4,000	121.44	171.71	50.27
06/06/11	05/10/10 *	SELL FI	SHIRE PLC SPONS ADR	SHPGY	9,000	585.25	835.18	249.93
06/07/11	12/07/09 *	SELL FI	HCC INS HLDGS INC COM	HCC	9,000	235.53	287.45	51.92
06/09/11	03/31/10 *	SELL FI	BANK OF NEW YORK MELLON CORP COM	BK	5,000	152.40	131.75	-20.65
06/09/11	05/17/10 *	SELL FI	BANK OF NEW YORK MELLON CORP COM	BK	78,000	2,307.90	2,055.25	-252.65
06/09/11	05/17/10 *	SELL FI	HESS CORP COM	HES	52,000	2,880.67	3,864.04	983.37
06/09/11	10/15/09 *	SELL FI	JP MORGAN CHASE & CO COM	JPM	46,000	2,161.45	1,885.17	-276.28

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long Term (continued)								
06/09/11	05/17/10 *	SELL FI	PROGRESSIVE CORP OH COM	PGR	5.000	101.95	102.01	0.06
06/13/11	10/15/09 *	SELL FI	BALL CORP COM	BLL	6.000	153.84	228.59	74.75
06/13/11	05/17/10 *	SELL FI	BALL CORP COM	BLL	15.000	385.42	571.49	186.07
06/13/11	05/17/10 *	SELL FI	KIMBERLY CLARK CORP	KMB	3.000	186.24	195.24	9.00
06/15/11	05/17/10 *	SELL FI	BALL CORP COM	BLL	3.000	77.09	112.35	35.26
06/15/11	12/07/09 *	SELL FI	HCC INS HLDGS INC COM	HCC	2.000	52.34	62.96	10.62
06/15/11	05/17/10 *	SELL FI	HCC INS HLDGS INC COM	HCC	8.000	205.84	251.83	45.99
06/15/11	05/17/10 *	SELL FI	HESS CORP COM	HES	22.000	1,218.75	1,590.35	371.60
06/21/11	05/17/10 *	SELL FI	HCC INS HLDGS INC COM	HCC	5.000	128.65	157.34	28.69
06/22/11	11/04/09 *	SELL FI	TRAVELERS COS INC COM	TRV	3.000	152.81	174.37	21.56
06/22/11	01/06/10 *	SELL FI	TRAVELERS COS INC COM	TRV	6.000	286.92	348.73	61.81
06/22/11	03/12/10 *	SELL FI	TRAVELERS COS INC COM	TRV	50.000	2,662.50	2,906.08	243.58
06/24/11	04/15/10 *	SELL FI	YUM BRANDS INC COM	YUM	16.000	682.37	870.54	188.17
06/29/11	05/17/10 *	SELL FI	ACCENTURE PLC IRELAND CLASS SHS	ACN	16.000	633.60	958.59	324.99
07/06/11	05/17/10 *	SELL FI	ACCENTURE PLC IRELAND CLASS SHS	ACN	41.000	1,623.59	2,533.05	909.46
07/06/11	05/17/10 *	SELL FI	APACHE CORP	APA	3.000	282.63	370.88	88.25
07/06/11	05/17/10 *	SELL FI	BANK OF NEW YORK MELLON CORP COM	BK	17.000	503.00	439.44	-63.56
07/06/11	10/28/09 *	SELL FI	CHEVRON CORP NEW COM	CVX	5.000	380.29	524.43	144.14
07/06/11	10/15/09 *	SELL FI	DANAHER CORP COM	DHR	2.000	69.59	108.89	39.30
07/06/11	10/15/09 *	SELL FI	DISNEY WALT CO DISNEY COM	DIS	13.000	374.27	511.15	136.88
07/06/11	05/17/10 *	SELL FI	EXXON MOBIL CORP COM	XOM	1.000	63.05	81.26	18.21



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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
07/06/11	06/23/10 *	SELL FI	INTERNATIONAL BUSINESS MACHS CORP	IBM	3.000	390.59	529.00	138.41
07/06/11	10/15/09 *	SELL FI	JP MORGAN CHASE & CO COM	JPM	7.000	328.92	283.70	0.00
07/06/11	03/12/10 *	SELL FI	JOHNSON & JOHNSON COM	JNJ	3.000	192.30	202.76	-45.223
07/06/11	05/19/10 *	SELL FI	MASTERCARD INC CL A COM	MA	5.000	1,026.75	1,564.81	10.46
07/06/11	10/15/09 *	SELL FI	METLIFE INC COM	MET	7.000	266.76	304.42	538.06
07/06/11	05/17/10 *	SELL FI	PNC FINL SVCS GROUP INC COM	PNC	2.000	130.14	119.43	37.66
07/06/11	10/15/09 *	SELL FI	PPG INDUSTRIES INC	PPG	2.000	122.08	185.79	-10.71
07/06/11	10/15/09 *	SELL FI	3M CO COM	MMM	1.000	76.95	97.06	63.71
07/06/11	10/15/09 *	SELL FI	VIACOM INC NEW CL B	VIAB	1.000	28.22	51.83	20.11
07/06/11	05/17/10 *	SELL FI	VODAFONE GROUP PLC SPON ADR NEW	VOD	16.000	317.58	424.31	23.61
07/21/11	10/15/09 *	ZMEC SL	SRA INTL INC C/A EFF 7/20/2011 1 OLD/ USD	78464R105	7.000	143.64	218.75	106.73
07/21/11	05/17/10 *	ZMEC SL	SRA INTL INC C/A EFF 7/20/2011 1 OLD/ USD	78464R105	10.000	222.10	312.50	75.11
07/22/11	03/12/10 *	SELL FI	KROGER CO	KR	6.000	133.68	152.35	90.40
07/22/11	05/17/10 *	SELL FI	KROGER CO	KR	4.000	89.08	101.57	18.67
07/22/11	10/15/09 *	SELL FI	SNAP ON INC COM	SNA	10.000	373.30	611.01	12.49
07/22/11	05/17/10 *	SELL FI	SNAP ON INC COM	SNA	1.000	45.80	61.10	237.71
07/21/11	10/15/09 *	SELL FI	DISNEY WALT CO DISNEY COM	DJS	56.000	1,612.24	2,237.31	15.30
								625.07



Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
07/27/11	01/06/10 *	SELL FI	DISNEY WALT CO DISNEY COM	DIS	7,000	222.60	279.66	57.06
07/27/11	05/17/10 *	SELL FI	DISNEY WALT CO DISNEY COM	DIS	59,000	2,009.54	2,357.17	347.63
07/27/11	05/03/10 *	SELL FI	ILLINOIS TOOL WORKS INC COM	ITW	15,000	781.05	768.63	0.00
07/27/11	05/12/10 *	SELL FI	ILLINOIS TOOL WORKS INC COM	ITW	5,000	254.65	256.21	-12.423
07/27/11	03/12/10 *	SELL FI	SOUTHWESTERN ENERGY CO COM	SWN	6,000	266.88	285.67	1.56
07/27/11	05/17/10 *	SELL FI	SOUTHWESTERN ENERGY CO COM	SWN	8,000	311.68	380.89	18.79
07/28/11	10/15/09 *	SELL FI	APPLE INC COM	AAPL	6,000	1,142.82	2,379.01	69.21
08/03/11	07/06/10 *	SELL FI	EQT CORPORATION COM	EQT	9,000	325.34	543.07	1,236.19
08/05/11	04/28/10 *	SELL FI	DISCOVER FINL SVCS COM INC	DFS	3,000	46.46	68.42	217.73
08/05/11	05/17/10 *	SELL FI	KROGER CO	KR	17,000	378.59	397.50	21.96
08/05/11	05/17/10 *	SELL FI	PEOPLES UTD FINL INC COM	PBCT	29,000	425.72	341.69	18.91
08/08/11	05/17/10 *	SELL FI	FREEPORT-MCMORAN COPPER & GOLD INC CL	FCX	7,000	238.28	304.23	-84.03
08/09/11	06/03/10 *	SELL FI	AUTODESK INC COM	ADSK	8,000	237.42	235.43	65.95
08/10/11	06/23/10 *	SELL FI	INTERNATIONAL BUSINESS MACHS CORP	IBM	18,000	2,343.57	2,990.87	-1.99
08/10/11	05/17/10 *	SELL FI	PHILIP MORRIS INTL INC COM	PM	42,000	1,954.18	2,769.96	647.30
08/22/11	06/03/10 *	SELL FI	AUTODESK INC COM	ADSK	80,000	2,374.25	1,946.59	815.78
08/22/11	06/16/10 *	SELL FI	AUTODESK INC COM	ADSK	40,000	1,136.33	973.30	-427.66
08/29/11	08/19/10 *	SELL FI	PARTNERRE LTD SHS	PRE	7,000	516.46	399.58	-163.03
08/29/11	10/15/09 *	SELL FI	AVALONBAY CMNTYS INC COM	AVB	2,000	148.02	266.49	-116.88
08/29/11	06/10/09 *	SELL FI	BRE PPTYS INC COM	BRE	4,000	98.48	195.91	118.47
08/29/11	02/05/10 *	SELL FI	BARRETT BILL CORP COM	BBG	11,000	333.96	498.65	97.43
								164.69



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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
<i>Long Term (continued)</i>								
08/29/11	05/17/10*	SELL FI	BIG LOTS INC COM	BIG	3,000	108.63	100.83	-7.80
08/29/11	05/17/10*	SELL FI	DISCOVER FINL SVCS COM INC	DFS	17,000	240.89	425.38	184.49
08/29/11	10/15/09*	SELL FI	ENERGY CORP NEW COM	ETR	3,000	239.10	193.07	-46.03
08/29/11	05/17/10*	SELL FI	GAP INC	GPS	6,000	138.12	99.73	-38.39
08/29/11	10/15/09*	SELL FI	GOLDCORP INC NEW COM ISIN#CA3809564097	GG	7,000	294.84	365.81	70.97
08/29/11	11/05/09*	SELL FI	KANSAS CITY SOUTHN COM NEW	KSU	4,000	109.80	210.95	101.15
08/29/11	05/17/10*	SELL FI	KROGER CO	KR	4,000	89.08	92.05	2.97
08/29/11	10/15/09*	SELL FI	LUBRIZOL CORP COM C/A EFF 09/16/11	549271104	3,000	213.24	404.21	190.97
08/29/11	05/17/10*	SELL FI	MCKESSON CORP COM	MCK	2,000	136.62	155.50	18.88
08/29/11	10/15/09*	SELL FI	PUBLIC STORAGE COMMON STOCK	PSA	2,000	148.30	242.83	94.53
08/29/11	12/09/09*	SELL FI	QEP RES INC COM	QEP	1,000	25.49	34.35	8.86
08/29/11	03/12/10*	SELL FI	QEP RES INC COM	QEP	8,000	236.21	274.76	38.55
08/29/11	05/17/10*	SELL FI	QEP RES INC COM	QEP	6,000	191.72	206.07	14.35
08/29/11	06/10/09*	SELL FI	REPUBLIC SVCS INC COM	RSG	6,000	136.14	182.99	46.85
08/29/11	05/17/10*	SELL FI	SOUTHWESTERN ENERGY CO COM	SWN	3,000	116.88	111.46	-5.42
08/29/11	03/11/10*	SELL FI	ULTRA PETE CORP COM	UPL	2,000	97.18	65.68	-31.50
08/29/11	08/25/10*	SELL FI	UNILEVER PLC SPON ADR NEW	UL	7,000	185.71	234.02	48.31



Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
08/29/11	07/07/10	SELL FI	VENTAS INC COM	VTR	3,067	137.52	159.91	22.39
08/29/11	05/17/10	SELL FI	VODAFONE GROUP PLC SPON ADR NEW	VOF	8,000	158.79	213.27	54.48
08/29/11	05/17/10	SELL FI	WAL MART STORES INC COM	WMT	7,000	368.20	372.60	4.40
08/29/11	03/16/10	SELL FI	WASHINGTON FED INC COM	WFSL	8,000	160.15	119.86	-40.29
08/29/11	05/17/10	SELL FI	WASHINGTON FED INC COM	WFSL	6,000	115.02	89.89	-25.13
08/30/11	05/17/10	SELL FI	CITRIX SYSTEMS INC	CTXS	5,000	232.05	284.34	52.29
08/30/11	06/10/10	SELL FI	CITRIX SYSTEMS INC	CTXS	3,000	130.16	170.61	40.45
08/31/11	05/17/10	SELL FI	APACHE CORP	APA	28,000	2,637.88	2,857.98	220.10
08/31/11	07/19/10	SELL FI	GOLDMAN SACHS GROUP INC COM	GS	13,000	1,890.07	1,511.52	0.00
08/31/11	05/12/10	SELL FI	ILLINOIS TOOL WORKS INC COM	ITW	40,000	2,037.20	1,874.08	-378.55
09/02/11	03/12/10	SELL FI	EVEREST REINSURANCE GROUP LTD SHS	RE	9,000	745.02	717.91	-89.72
09/02/11	05/17/10	SELL FI	EVEREST REINSURANCE GROUP LTD SHS	RE	12,000	918.96	957.22	-18.07
09/02/11	05/17/10	SELL FI	INVESCO LTD ORD SHS	IVZ	14,000	284.62	244.01	-9.04
09/02/11	08/29/11	SELL FI	INVESCO LTD ORD SHS	IVZ	14,000	290.33	244.01	-40.61
09/02/11	06/10/09	SELL FI	473 day(s) added to your holding period as a result of a wash sale. TYCO INTL LTD SHS	TYC	2,000	54.54	80.06	-46.32
09/02/11	10/15/09	SELL FI	TYCO INTL LTD SHS	TYC	20,000	707.40	800.58	25.52
09/02/11	05/17/10	SELL FI	TYCO INTL LTD SHS	TYC	12,000	464.64	480.35	93.18
09/02/11	08/25/10	SELL FI	AGL RES INC COM	GAS	10,000	364.08	407.79	15.71
09/02/11	11/11/09	SELL FI	AT&T INC COM	T	12,000	317.02	337.23	43.71
09/02/11	12/23/09	SELL FI	AT&T INC COM	T	32,000	893.12	899.27	20.21
								6.15



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Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long Term (continued)								
09/02/11	01/06/10 *	SELL FI	AT&T INC COM	T	3,000	83.09	84.31	1.22
09/02/11	01/14/10 *	SELL FI	AT&T INC COM	T	94,000	2,472.04	2,641.62	169.58
09/02/11	05/17/10 *	SELL FI	AT&T INC COM	T	234,000	5,976.01	6,575.95	599.94
09/02/11	08/02/10 *	SELL FI	AT&T INC COM	T	58,000	1,543.90	1,629.94	86.04
09/02/11	03/10/10 *	SELL FI	ABBOTT LABS COM	ABT	76,000	4,182.90	3,898.94	-168.13
09/02/11	05/17/10 *	SELL FI	ABBOTT LABS COM	ABT	165,000	7,987.32	8,464.81	-115.83
09/02/11	04/28/10 *	SELL FI	AIR PRODS & CHEMS INC COM	APD	52,000	3,994.02	4,161.48	477.49
09/02/11	05/17/10 *	SELL FI	AIR PRODS & CHEMS INC COM	APD	24,000	1,704.72	1,920.68	167.46
09/02/11	03/03/10 *	SELL FI	ALEXANDRIA REAL ESTATE EQUITIES INC	ARE	7,000	437.50	496.43	215.96
09/02/11	10/15/09 *	SELL FI	ALLSTATE CORP COM	ALL	6,000	190.25	152.34	58.93
09/02/11	11/09/09 *	SELL FI	ALLSTATE CORP COM	ALL	35,000	1,020.25	888.63	0.00
09/02/11	08/29/11	SELL FI	ALLSTATE CORP COM	ALL	5,000	161.27	126.94	-37.91
09/02/11	03/09/10 *	SELL FI	687 day(s) added to your holding period as a result of a wash sale. AMAZON COM INC	AMZN	6,000	779.58	1,256.68	-78.97
09/02/11	03/26/10 *	SELL FI	AMAZON COM INC	AMZN	8,000	1,074.56	1,675.57	-52.65
09/02/11	05/03/10 *	SELL FI	AMAZON COM INC	AMZN	2,000	277.40	418.89	-34.33
09/02/11	05/17/10 *	SELL FI	AMAZON COM INC	AMZN	18,000	2,309.40	3,770.03	477.10
09/02/11	05/17/10 *	SELL FI	AMAZON COM INC	AMZN	18,000	2,309.40	3,770.03	601.01
09/02/11	05/17/10 *	SELL FI	AMAZON COM INC	AMZN	18,000	2,309.40	3,770.03	141.49
09/02/11	05/17/10 *	SELL FI	AMAZON COM INC	AMZN	18,000	2,309.40	3,770.03	1,460.63

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
09/02/11	06/09/10 *	SELL FI	AMAZON COM INC	AMZN	39,000	4,653.09	8,168.39	3,515.30
09/02/11	05/17/10 *	SELL FI	AMERICAN EAGLE OUTFITTERS INC NEW	AEQ	16,000	246.24	169.96	0.00
09/02/11	08/29/11	SELL FI	AMERICAN EAGLE OUTFITTERS INC NEW	AEQ	9,000	139.47	95.61	-76.283
09/02/11	08/13/10 *	SELL FI	473 day(s) added to your holding period as a result of a wash sale. AMERICAN TOWER CORP CL A C/A EFF 1/3/12	AMT	37,000	1,692.31	1,981.79	289.48
09/02/11	08/13/10 *	SELL FI	AMERICAN TOWER CORP CL A C/A EFF 1/3/12	AMT	111,000	5,090.25	5,945.37	855.12
09/02/11	06/02/10 *	SELL FI	AMERIPRISE FINL INC COM	AMP	13,000	512.17	557.69	45.52
09/02/11	05/17/10 *	SELL FI	ANADARKO PETE CORP COM	APC	13,000	743.99	916.35	172.36
09/02/11	05/17/10 *	SELL FI	APACHE CORP	APA	45,000	4,239.45	4,475.61	236.16
09/02/11	10/15/09 *	SELL FI	APPLE INC COM	AAPL	20,000	3,809.40	7,535.05	3,725.65
09/02/11	01/28/10 *	SELL FI	APPLE INC COM	AAPL	9,000	1,806.39	3,390.77	1,584.38
09/02/11	05/17/10 *	SELL FI	APPLE INC COM	AAPL	37,000	9,423.16	13,939.85	4,516.69
09/02/11	08/11/10 *	SELL FI	APPLE INC COM	AAPL	3,000	753.60	1,130.26	376.66
09/02/11	11/09/09 *	SELL FI	APPLIED MATERIALS INC	AMAT	17,000	218.62	185.13	0.00
09/02/11	05/17/10 *	SELL FI	APPLIED MATERIALS INC	AMAT	16,000	209.12	174.24	-33.493
09/02/11	08/29/11	SELL FI	APPLIED MATERIALS INC	AMAT	10,000	132.77	108.89	0.00
09/02/11	06/16/10 *	SELL FI	662 day(s) added to your holding period as a result of a wash sale. AUTODESK INC COM	ADSK	52,000	1,477.22	1,379.01	-34.883
09/02/11	11/09/09 *	SELL FI	AVALONBAY CMNTYS INC COM	AVB	2,000	138.82	268.01	-23.88
09/02/11	06/10/09 *	SELL FI	BRE PPTYS INC COM	BRE	1,000	24.62	49.32	24.70
09/02/11	10/15/09 *	SELL FI	BRE PPTYS INC COM	BRE	7,000	216.23	345.23	129.00
09/02/11	05/17/10 *	SELL FI	BABCOCK & WILCOX CO NEW COM	BWC	5,000	116.61	106.50	-10.11



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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
09/02/11	08/10/10 *	SELL FI	BABCOCK & WILCOX CO NEW COM	BWC	5.000	115.05	105.50	-8.55
09/02/11	03/12/10 *	SELL FI	BAIDU COM INC SPONS ADR REPSTG ORD SHS	BIDU	5.000	277.95	700.34	422.39
09/02/11	05/17/10 *	SELL FI	BAIDU COM INC SPONS ADR REPSTG ORD SHS	BIDU	49.000	3,615.22	6,863.29	3,248.07
09/02/11	06/09/10 *	SELL FI	BANK AMER CORP COM	BAC	11.000	168.93	81.43	0.00
09/02/11	07/14/10 *	SELL FI	BANK AMER CORP COM	BAC	227.000	3,538.39	1,680.34	-1,858.05
09/02/11	05/17/10 *	SELL FI	BANK OF NEW YORK MELLON CORP COM	BK	132.000	3,905.69	2,652.01	-1,253.68
09/02/11	02/05/10 *	SELL FI	BARRETT BILL CORP COM	BBG	2.000	60.72	93.98	33.26
09/02/11	05/17/10 *	SELL FI	BARRETT BILL CORP COM	BBG	13.000	430.56	610.85	180.29
09/02/11	05/17/10 *	SELL FI	BECTON DICKINSON & CO	BDX	27.000	1,993.95	2,138.17	144.22
09/02/11	06/22/10 *	SELL FI	BECTON DICKINSON & CO	BDX	23.000	1,655.50	1,821.40	165.90
09/02/11	07/30/10 *	SELL FI	BECTON DICKINSON & CO	BDX	15.000	1,016.85	1,187.87	171.02
09/02/11	05/17/10 *	SELL FI	BERKSHIRE HATHAWAY INC DEL CL B NEW	BRK B	6.000	457.20	420.89	0.00
09/02/11	08/29/11	SELL FI	BERKSHIRE HATHAWAY INC DEL CL B NEW	BRK B	3.000	234.07	210.44	-23.63
09/02/11	03/03/11	SELL SL	473 day(s) added to your holding period as a result of a wash sale. BEST BUY COMPANY INC	BBY	7.000	259.74	170.44	-89.30
09/02/11	08/13/10 *	SELL FI	197 day(s) added to your holding period as a result of a wash sale. BORGWARNER INC COM	BWA	34.000	1,559.52	2,340.17	780.65
09/02/11	04/21/10 *	SELL FI	C H ROBINSON WORLDWIDE INC COM	CHRW	23.000	1,383.68	1,555.23	171.55

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
09/02/11	05/17/10 *	SELL FI	C H ROBINSON WORLDWIDE INC COM	CHRW	27.000	1,615.41	1,825.70	210.29
09/02/11	11/09/09 *	SELL FI	CVS CAREMARK CORP	CVS	18.000	550.08	641.14	91.06
09/02/11	01/06/10 *	SELL FI	CVS CAREMARK CORP	CVS	1.000	32.60	35.62	3.02
09/02/11	10/15/09 *	SELL FI	CENTURYLINK INC COM	CTL	2.000	67.02	68.78	1.76
09/02/11	11/06/09 *	SELL FI	CENTURYLINK INC COM	CTL	19.000	653.22	653.40	0.18
09/02/11	03/12/10 *	SELL FI	CENTURYLINK INC COM	CTL	6.000	207.36	206.34	0.00
09/02/11	05/17/10 *	SELL FI	CENTURYLINK INC COM	CTL	9.000	307.08	309.50	-1.02 ³
09/02/11	08/13/10 *	SELL FI	CERNER CORP	CERN	83.000	3,172.60	5,325.41	2,152.81
09/02/11	03/12/10 *	SELL FI	CHARLES RIV LABORATORIES INTL	CRL	2.000	77.52	64.90	0.00
09/02/11	05/17/10 *	SELL FI	CHARLES RIV LABORATORIES INTL	CRL	11.000	354.20	356.94	-12.62 ³
09/02/11	08/29/11	SELL FI	CHARLES RIV LABORATORIES INTL	CRL	2.000	80.30	64.90	2.74
09/02/11	10/28/09 *	SELL FI	539 day(s) added to your holding period as a result of a wash sale. CHEVRON CORP NEW COM	CVX	2.000	152.12	192.68	0.00
09/02/11	01/06/10 *	SELL FI	CHEVRON CORP NEW COM	CVX	68.000	5,435.11	6,551.19	-15.40 ³
09/02/11	05/17/10 *	SELL FI	CHEVRON CORP NEW COM	CVX	48.000	3,682.56	4,624.37	1,116.08
09/02/11	05/17/10 *	SELL FI	CHUBB CORP	CB	11.000	568.92	673.84	941.81
09/02/11	06/10/10 *	SELL FI	CITRIX SYSTEMS INC	CTXS	48.000	2,082.63	2,785.04	104.92
09/02/11	08/13/10 *	SELL FI	CITRIX SYSTEMS INC	CTXS	58.000	3,315.75	3,365.26	702.41
09/02/11	10/15/09 *	SELL FI	COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A	CTSH	49.000	1,938.83	3,051.80	49.51
09/02/11	05/03/10 *	SELL FI	COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A	CTSH	4.000	207.12	249.13	1,112.97
09/02/11	05/17/10 *	SELL FI	COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A	CTSH	150.000	7,429.50	9,342.25	42.01
09/02/11	05/17/10 *	SELL FI	COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A	CTSH				1,912.75



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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long Term (continued)								
09/02/11	11/06/09 *	SELL FI	COSTCO WHOLESALE CORP NEW COM	COST	1,000	59.37	79.05	19.68
09/02/11	03/12/10 *	SELL FI	COSTCO WHOLESALE CORP NEW COM	COST	25,000	1,509.25	1,976.21	466.96
09/02/11	05/17/10 *	SELL FI	COSTCO WHOLESALE CORP NEW COM	COST	39,000	2,262.39	3,082.89	820.50
09/02/11	10/15/09 *	SELL FI	DANAHER CORP COM	DHR	109,000	3,792.66	4,737.37	944.71
09/02/11	03/12/10 *	SELL FI	DANAHER CORP COM	DHR	8,000	311.76	347.70	35.94
09/02/11	05/17/10 *	SELL FI	DANAHER CORP COM	DHR	144,000	6,088.32	6,258.54	170.22
09/02/11	05/11/10 *	SELL FI	DEERE & CO	DE	13,000	771.81	1,019.48	247.67
09/02/11	05/17/10 *	SELL FI	DEERE & CO	DE	31,000	1,809.16	2,431.06	621.90
09/02/11	06/03/10 *	SELL FI	DEERE & CO	DE	70,000	4,215.27	5,489.50	1,274.23
09/02/11	05/17/10 *	SELL FI	DEVON ENERGY CORP NEW COM	DVN	2,000	130.64	131.08	0.44
09/02/11	10/15/09 *	SELL FI	DIAGEO PLC SPONSORED ADR NEW	DEO	42,000	2,624.58	3,361.74	737.16
09/02/11	01/06/10 *	SELL FI	DIAGEO PLC SPONSORED ADR NEW	DEO	12,000	816.84	960.50	143.66
09/02/11	03/12/10 *	SELL FI	DIAGEO PLC SPONSORED ADR NEW	DEO	5,000	331.95	400.21	68.26
09/02/11	05/17/10 *	SELL FI	DIAGEO PLC SPONSORED ADR NEW	DEO	47,000	3,003.77	3,761.93	758.16
09/02/11	10/15/09 *	SELL FI	DIEBOLD INC COM	DBD	7,000	223.65	197.61	0.00
09/02/11	11/09/09 *	SELL FI	DIEBOLD INC COM	DBD	8,000	220.48	225.83	-26.04 3
09/02/11	05/17/10 *	SELL FI	DIEBOLD INC COM	DBD	13,000	393.51	366.98	5.35
								-8.16
								-18.37 3

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
09/02/11	08/29/11	SELL FI	DIEBOLD INC COM	DBD	4,000	126.36	112.92	-13.44
09/02/11	05/17/10 *	SELL FI	687 day(s) added to your holding period as a result of a wash sale. DISCOVER FINL SVCS COM INC	DFS	30,000	425.10	733.78	308.68
09/02/11	05/17/10 *	SELL FI	EMC CORP (MASS) COM	EMC	152,000	2,849.82	3,266.72	416.90
09/02/11	05/24/10 *	SELL FI	EMC CORP (MASS) COM	EMC	146,000	2,671.52	3,137.77	466.25
09/02/11	07/06/10 *	SELL FI	EQT CORPORATION COM	EQT	5,000	180.74	293.04	112.30
09/02/11	10/15/09 *	SELL FI	ECOLAB INC	ECL	3,000	138.57	155.14	16.57
09/02/11	05/17/10 *	SELL FI	ECOLAB INC	ECL	61,000	2,921.29	3,154.43	233.14
09/02/11	10/15/09 *	SELL FI	ENTERGY CORP NEW COM	ETR	6,000	478.20	384.05	-47.07
09/02/11	05/17/10 *	SELL FI	ENTERGY CORP NEW COM	ETR	6,000	465.18	384.05	-47.083
09/02/11	08/09/10 *	SELL FI	EXPRESS SCRIPTS INC COM	ESRX	170,000	7,777.27	7,820.34	-81.13
09/02/11	05/17/10 *	SELL FI	EXXON MOBIL CORP COM	XOM	45,000	2,837.25	3,254.47	43.07
09/02/11	08/13/10 *	SELL FI	FMC TECHNOLOGIES INC COM	FTI	8,000	245.91	340.88	417.22
09/02/11	08/16/10 *	SELL FI	FMC TECHNOLOGIES INC COM	FTI	52,000	1,613.82	2,215.73	94.97
09/02/11	08/25/10 *	SELL FI	FMC TECHNOLOGIES INC COM	FTI	92,000	2,811.43	3,920.14	601.91
09/02/11	05/06/10 *	SELL FI	FMC CORP NEW	FMC	2,000	127.90	146.66	1,108.71
09/02/11	05/17/10 *	SELL FI	FMC CORP NEW	FMC	8,000	504.00	586.63	18.76
09/02/11	08/05/10 *	SELL FI	FLUOR CORP NEW COM	FLR	18,000	879.81	1,050.33	82.63
09/02/11	08/23/10 *	SELL FI	FLUOR CORP NEW COM	FLR	118,000	5,541.06	6,885.51	170.52
09/02/11	06/10/09 *	SELL FI	FOREST LABS INC COM	FRX	1,000	23.22	33.55	1,344.45
09/02/11	10/15/09 *	SELL FI	FOREST LABS INC COM	FRX	13,000	388.70	436.14	10.33
								47.44



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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
09/02/11	05/17/10 *	SELL FI	FOREST LABS INC COM	FRX	15,000	420.32	536.79	116.47
09/02/11	11/09/09 *	SELL FI	FOREST OIL CORP COM	FST	5,000	105.45	94.75	-10.70
09/02/11	05/17/10 *	SELL FI	FOREST OIL CORP COM	FST	21,000	565.32	397.94	-167.38
09/02/11	05/24/10 *	SELL FI	FRANKLIN RESOURCES INC	BEN	7,000	699.02	804.35	105.33
09/02/11	06/17/10 *	SELL FI	FRANKLIN RESOURCES INC	BEN	33,000	3,062.40	3,791.96	729.56
09/02/11	04/01/10 *	SELL FI	GLOBAL PMTS INC COM	GPN	5,000	235.20	221.14	-14.06
09/02/11	05/17/10 *	SELL FI	GLOBAL PMTS INC COM	GPN	14,000	589.82	619.20	29.38
09/02/11	10/15/09 *	SELL FI	GOLDCORP INC NEW COM ISIN#CA3809564097	GG	7,000	294.84	384.78	89.94
09/02/11	03/12/10 *	SELL FI	GOLDCORP INC NEW COM ISIN#CA3809564097	GG	8,000	314.88	439.75	124.87
09/02/11	05/12/11	SELL FI	GOLDMAN SACHS GROUP INC COM	GS	15,000	2,241.45	1,606.66	-634.79
09/02/11	08/13/10 *	SELL FI	298 day(s) added to your holding period as a result of a wash sale. GOOGLE INC CL A	GOOG	2,000	975.16	1,049.44	74.28
09/02/11	10/15/09 *	SELL FI	HASBRO INC COM	HAS	38,000	1,087.49	1,406.73	319.24
09/02/11	05/17/10 *	SELL FI	HASBRO INC COM	HAS	46,000	1,872.20	1,702.89	0.00
09/02/11	08/17/11	SELL FI	HASBRO INC COM	HAS	38,000	1,568.66	1,406.73	-161.93
09/02/11	10/15/09 *	SELL FI	473 day(s) added to your holding period as a result of a wash sale. HEALTH NET INC COM	HNT	9,000	143.73	219.06	75.33
09/02/11	05/17/10 *	SELL FI	HEALTH NET INC COM	HNT	10,000	236.50	243.39	6.89

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Clearing through Pershing LLC, a subsidiary
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Pershing LLC, member FINRA, SIPC

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
09/02/11	01/13/10 *	SELL FI	HENRY JACK & ASSOC INC COM	JKHY	4,000	92.92	114.44	21.52
09/02/11	11/09/09 *	SELL FI	HOLOGIC INC COM	HOLX	3,000	46.08	48.81	2.73
09/02/11	05/17/10 *	SELL FI	HOLOGIC INC COM	HOLX	45,000	712.80	732.13	19.33
09/02/11	05/26/10 *	SELL FI	HONEYWELL INTL INC COM	HON	1,000	42.64	45.70	3.06
09/02/11	08/11/10 *	SELL FI	HONEYWELL INTL INC COM	HON	146,000	6,187.30	6,672.49	485.19
09/02/11	03/12/10 *	SELL FI	HUNT J B TRANS SVCS INC COM	JBHT	8,000	283.44	314.55	31.11
09/02/11	05/17/10 *	SELL FI	HUNT J B TRANS SVCS INC COM	JBHT	5,000	178.70	196.60	17.90
09/02/11	05/12/10 *	SELL FI	ILLINOIS TOOL WORKS INC COM	ITW	31,000	1,578.83	1,375.53	-203.30
09/02/11	05/17/10 *	SELL FI	ILLINOIS TOOL WORKS INC COM	ITW	77,000	3,839.22	3,416.64	-422.58
09/02/11	07/27/11	SELL FI	ILLINOIS TOOL WORKS INC COM	ITW	5,000	260.48	221.86	-38.62
09/02/11	10/15/09 *	SELL FI	450 day(s) added to your holding period as a result of a wash sale. INTERCONTINENTAL EXCH ANGE INC COM	ICE	10,000	972.10	1,136.38	164.28
09/02/11	05/17/10 *	SELL FI	INTERCONTINENTAL EXCH ANGE INC COM	ICE	11,000	1,295.80	1,250.02	-16.65
09/02/11	06/23/10 *	SELL FI	INTERNATIONAL BUSINESS MACHS CORP	IBM	30,000	3,905.94	5,021.30	1,115.36
09/02/11	07/29/10 *	SELL FI	INTERNATIONAL BUSINESS MACHS CORP	IBM	45,000	5,807.70	7,531.95	1,724.25
09/02/11	10/15/09 *	SELL FI	JP MORGAN CHASE & CO COM	JPM	14,000	657.83	488.90	0.00
09/02/11	11/09/09 *	SELL FI	JP MORGAN CHASE & CO COM	JPM	38,000	1,658.32	1,327.02	-168.93
09/02/11	01/08/10 *	SELL FI	JP MORGAN CHASE & CO COM	JPM	77,000	3,437.13	2,688.95	0.00
09/02/11	05/03/10 *	SELL FI	JP MORGAN CHASE & CO COM	JPM	35,000	1,528.10	1,222.25	-748.18
09/02/11	05/17/10 *	SELL FI	JP MORGAN CHASE & CO COM	JPM	339,000	13,294.90	11,838.39	0.00
09/02/11	08/03/11	SELL FI	JP MORGAN CHASE & CO COM	JPM	7,000	323.10	244.45	-305.85
			629 day(s) added to your holding period as a result of a wash sale.					-1,340.50
								-116.01
								-78.65



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Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Description	Transaction	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowed
Long Term (continued)								
09/02/11	08/03/11	JP MORGAN CHASE & CO COM	SELL FI	JPM	14,000	724.69	488.90	-235.79
09/02/11	08/03/11	687 day(s) added to your holding period as a result of a wash sale. JP MORGAN CHASE & CO COM	SELL FI	JPM	8,000	387.33	279.38	-107.95
09/02/11	03/12/10 *	662 day(s) added to your holding period as a result of a wash sale. JACOBS ENGR GROUP INC COM	SELL FI	JEC	5,000	217.70	177.85	0.00
09/02/11	05/17/10 *	JACOBS ENGR GROUP INC COM	SELL FI	JEC	5,000	231.20	177.85	-39.853
09/02/11	08/29/11	JACOBS ENGR GROUP INC COM	SELL FI	JEC	5,000	218.33	177.84	0.00
09/02/11	08/29/11	539 day(s) added to your holding period as a result of a wash sale. JACOBS ENGR GROUP INC COM	SELL FI	JEC	5,000	231.83	177.84	-53.353
09/02/11	03/12/10 *	473 day(s) added to your holding period as a result of a wash sale. JOHNSON & JOHNSON COM	SELL FI	JNJ	50,000	3,205.00	3,217.58	-40.49
09/02/11	05/17/10 *	JOHNSON & JOHNSON COM	SELL FI	JNJ	76,000	4,851.84	4,890.73	-53.99
09/02/11	05/11/10 *	JP MORGAN EMERGING MARKETS EQUITY FUND	SELL FI	JEMSX	3,463,525	71,071.53	74,846.77	12.58
09/02/11	05/17/10 *	JP MORGAN EMERGING MARKETS EQUITY FUND	SELL FI	JEMSX	1,968,259	39,581.69	42,534.08	38.89
09/02/11	05/14/10 *	KBR INC COM	SELL FI	KBR	3,000	67.53	86.34	3,775.24
09/02/11	05/17/10 *	KBR INC COM	SELL FI	KBR	14,000	316.26	402.91	2,952.39
09/02/11	11/05/09 *	KANSAS CITY SOUTHN COM NEW	SELL FI	KSU	2,000	54.90	102.90	18.81
09/02/11	05/17/10 *	KANSAS CITY SOUTHN COM NEW	SELL FI	KSU	8,000	310.88	411.59	86.65
09/02/11	10/15/09 *	KAYDON CORP	SELL FI	KDN	6,000	210.42	193.25	48.00
								100.71
								-17.17

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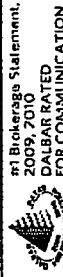
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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
09/02/11	03/25/10*	SELL FI	KIRBY CORP COM	KEX	1,000	38.35	53.45	15.10
09/02/11	05/17/10*	SELL FI	KIRBY CORP COM	KEX	5,000	201.30	267.24	65.94
09/02/11	03/03/10*	SELL FI	LABORATORY CORP AMER HLDGS COM NEW	LH	5,000	366.50	408.84	42.34
09/02/11	05/17/10*	SELL FI	LABORATORY CORP AMER HLDGS COM NEW	LH	5,000	387.85	408.84	20.99
09/02/11	05/17/10*	SELL FI	ESTEE LAUDER COMPANIES INC CL A	EL	39,000	2,383.68	3,808.66	1,424.98
09/02/11	03/30/10*	SELL FI	LENNAR CORP CL A COM STK	LEN	1,000	18.33	13.99	-4.34
09/02/11	10/09/09*	SELL FI	LIBERTY PROPERTY TRUST SBI	LYR	5,000	159.75	165.25	5.50
09/02/11	10/15/09*	SELL FI	LIBERTY PROPERTY TRUST SBI	LYR	6,000	191.70	198.29	6.59
09/02/11	11/04/09*	SELL FI	LOCKHEED MARTIN CORP COM	LMT	15,000	1,074.12	1,080.77	6.65
09/02/11	05/17/10*	SELL FI	LOCKHEED MARTIN CORP COM	LMT	99,000	8,049.69	7,133.10	-555.51
09/02/11	06/24/10*	SELL FI	M D C HLDGS INC FORMERLY M D C CORP	MDC	13,000	355.13	238.93	-361.08 ³
09/02/11	08/29/11	SELL FI	M D C HLDGS INC FORMERLY M D C CORP	MDC	10,000	283.56	183.80	-116.20 ³
			435 day(s) added to your holding period as a result of a wash sale.					-99.76
09/02/11	05/17/10*	SELL FI	MACK CALI RLTY CORP COM	CLI	6,000	205.80	183.06	0.00
09/02/11	05/19/10*	SELL FI	MASTERCARD INC CL A COM	MA	18,000	3,696.30	5,826.48	-22.74 ³
09/02/11	05/17/10*	SELL FI	MATTEL INC COM	MAT	11,000	243.43	288.63	2,130.18
09/02/11	05/17/10*	SELL FI	MEDTRONIC INC	MDT	23,000	976.77	786.19	45.20
09/02/11	08/18/10*	SELL FI	MEDTRONIC INC	MDT	158,000	5,720.98	5,400.80	0.00
09/02/11	10/15/09*	SELL FI	METLIFE INC COM	MET	66,000	2,515.12	2,048.13	-190.58 ³
09/02/11	11/09/09*	SELL FI	METLIFE INC COM	MET	91,000	3,128.58	2,823.94	-283.70
09/02/11	09/01/10*	SELL FI	METLIFE INC COM	MET	143,000	5,588.26	4,437.62	-36.48 ³
								-91.98
								-375.01 ³
								-304.64
								-1,150.64



HSBC Securities (USA) Inc
452 Fifth Avenue
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Brokerage

Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
09/02/11	05/17/10 *	SELL FI	MOHAWK INDUSTRIES INC	MHK	1.000	61.45	47.95	0.00
09/02/11	08/29/11	SELL FI	MOHAWK INDUSTRIES INC	MHK	1.000	61.58	47.95	-13.503
09/02/11	11/09/09 *	SELL FI	473 day(s) added to your holding period as a result of a wash sale. MOLSON COORS BREWING CO CL B NON VTG	TAP	1.000	44.66	42.87	-13.63
09/02/11	03/12/10 *	SELL FI	STK MOLSON COORS BREWING CO CL B NON VTG	TAP	16.000	688.16	685.91	0.00
09/02/11	05/17/10 *	SELL FI	STK MOLSON COORS BREWING CO CL B NON VTG	TAP	12.000	515.40	514.43	-1.793
09/02/11	05/06/10 *	SELL FI	MYRIAD GENETICS INC	MYGN	2.000	35.98	38.12	-1.55
09/02/11	10/15/09 *	SELL FI	NESTLE SA SPONSORED ADRS REGISTERED	NSRGY	101.000	4,320.78	6,378.02	-0.703
09/02/11	01/06/10 *	SELL FI	NESTLE SA SPONSORED ADRS REGISTERED	NSRGY	1.000	47.55	63.15	-0.97
09/02/11	03/12/10 *	SELL FI	NESTLE SA SPONSORED ADRS REGISTERED	NSRGY	5.000	254.20	315.74	2.14
09/02/11	07/06/10 *	SELL FI	NETAPP INC COM	NTAP	8.000	301.67	291.22	2,057.24
09/02/11	11/09/09 *	SELL FI	NOBLE ENERGY INC COM	NBL	2.000	137.56	172.22	15.60
09/02/11	05/17/10 *	SELL FI	NOBLE ENERGY INC COM	NBL	13.000	858.26	1,119.41	61.54
09/02/11	05/17/10 *	SELL FI	NORTHROP GRUMMAN CORP COM	NOC	12.000	690.74	624.02	0.00
09/02/11	10/15/09 *	SELL FI	O REILLY AUTOMOTIVE INC NEW	ORLY	10.000	364.60	657.32	-66.723
09/02/11	11/06/09 *	SELL FI	O REILLY AUTOMOTIVE INC NEW	ORLY	22.000	842.60	1,446.09	292.72
09/02/11	03/12/10 *	SELL FI	O REILLY AUTOMOTIVE INC NEW	ORLY	22.000	886.38	1,446.09	603.49
								559.71

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
09/02/11	05/17/10 *	SELL FI	O REILLY AUTOMOTIVE INC NEW	ORLY	45,000	2,153.25	2,957.92	804.67
09/02/11	10/15/09 *	SELL FI	OLD REPUBLIC INTL CORP	ORI	7,000	85.75	65.54	0.00
09/02/11	11/09/09 *	SELL FI	OLD REPUBLIC INTL CORP	ORI	32,000	349.44	299.61	-20.213
09/02/11	08/29/11	SELL FI	OLD REPUBLIC INTL CORP	ORI	7,000	88.93	65.54	0.00
09/02/11	08/29/11	SELL FI	687 day(s) added to your holding period as a result of a wash sale. OLD REPUBLIC INTL CORP	ORI	5,000	56.87	46.81	-49.833
09/02/11	05/17/10 *	SELL FI	662 day(s) added to your holding period as a result of a wash sale. OMNICARE INC COM	OCR	4,000	104.60	116.60	-23.39
09/02/11	05/17/10 *	SELL FI	ORACLE CORP COM	ORCL	535,000	12,679.44	14,472.81	-10.06
09/02/11	08/05/11	SELL FI	PG & E CORP	PCG	1,000	44.86	41.56	12.00
09/02/11	09/04/09 *	SELL FI	352 day(s) added to your holding period as a result of a wash sale. PIMCO TOTAL RETURN CLASS A	PTTAX	5,946.091	64,098.86	65,704.31	1,793.37
09/02/11	10/13/09 *	SELL FI	PIMCO TOTAL RETURN CLASS A	PTTAX	3,624.432	39,615.04	40,049.97	-3.30
09/02/11	10/15/09 *	SELL FI	PIMCO TOTAL RETURN CLASS A	PTTAX	41,357.275	450,794.30	456,997.89	1,605.45
09/02/11	03/12/10 *	SELL FI	PIMCO TOTAL RETURN CLASS A	PTTAX	7,517.719	82,770.09	83,070.80	434.93
09/02/11	05/17/10 *	SELL FI	PIMCO TOTAL RETURN CLASS A	PTTAX	28,178.711	313,629.05	311,374.76	6,203.59
09/02/11	05/17/10 *	SELL FI	PNC FINL SVCS GROUP INC COM	PNC	10,000	650.70	471.02	300.71
09/02/11	08/29/11	SELL FI	PNC FINL SVCS GROUP INC COM	PNC	10,000	664.85	471.02	-2,254.29
09/02/11	10/15/09 *	SELL FI	473 day(s) added to your holding period as a result of a wash sale. PPG INDUSTRIES INC	PPG	53,000	3,235.12	3,910.42	0.00
09/02/11	11/09/09 *	SELL FI	PPG INDUSTRIES INC	PPG	5,000	301.35	368.91	-179.683
09/02/11	05/17/10 *	SELL FI	PPG INDUSTRIES INC	PPG	54,000	3,592.08	3,984.20	-193.83
09/02/11	05/17/10 *	SELL FI	PEOPLES UTD FINL INC COM	PBCT	5,000	73.40	57.10	67.56
09/02/11	05/17/10 *	SELL FI	PEOPLES UTD FINL INC COM	PBCT	5,000	73.40	57.10	392.12
09/02/11	05/17/10 *	SELL FI	PEOPLES UTD FINL INC COM	PBCT	5,000	73.40	57.10	-16.30



HSBC Securities (USA) Inc
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Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
09/02/11	05/17/10 *	SELL FI	PEPSICO INC COM	PEP	46,000	3,075.10	2,926.59	-106.54
09/02/11	10/15/09 *	SELL FI	PERKINELMER INC COM	PKI	18,000	375.30	393.11	-41.973
09/02/11	05/17/10 *	SELL FI	PERKINELMER INC COM	PKI	17,000	387.43	371.27	17.81
09/02/11	08/29/11	SELL FI	PERKINELMER INC COM	PKI	5,000	117.91	109.20	0.00
09/02/11	11/11/09 *	SELL FI	473 day(s) added to your holding period as a result of a wash sale. PFIZER INC COM	PFE	56,000	986.03	1,046.77	-16.163
09/02/11	05/17/10 *	SELL FI	PFIZER INC COM	PFE	208,000	3,319.43	3,887.99	-8.71
09/02/11	05/17/10 *	SELL FI	PHILIP MORRIS INTL INC COM	PM	112,000	5,211.13	7,702.41	60.74
09/02/11	09/04/09 *	SELL FI	POTLATCH CORP NEW COM	PCH	4,000	111.12	129.92	568.56
09/02/11	10/15/09 *	SELL FI	POTLATCH CORP NEW COM	PCH	12,000	339.60	389.75	2,491.28
09/02/11	05/17/10 *	SELL FI	POTLATCH CORP NEW COM	PCH	7,000	257.11	227.36	18.80
09/02/11	06/23/10 *	SELL FI	PRICELINE COM INC COM NEW	PCLN	1,000	187.65	525.76	50.15
09/02/11	05/17/10 *	SELL FI	PROLOGIS INC COM	PLD	9,000	244.08	242.90	0.00
09/02/11	03/12/10 *	SELL FI	PRUDENTIAL FINL INC COM	PRU	12,000	673.68	568.58	-29.753
09/02/11	05/17/10 *	SELL FI	PRUDENTIAL FINL INC COM	PRU	29,000	1,758.56	1,374.08	338.11
09/02/11	05/19/10 *	SELL FI	PRUDENTIAL FINL INC COM	PRU	71,000	4,097.80	3,364.12	-1.18
09/02/11	10/15/09 *	SELL FI	PUBLIC STORAGE COMMON STOCK	PSA	2,000	148.30	241.60	0.00
								-105.103
								-198.87
								-185.613
								-733.68
								93.30

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
<i>Long Term (continued)</i>								
09/02/11	05/17/10*	SELL FI	PUBLIC STORAGE COMMON STOCK	PSA	2,000	189.60	241.59	51.99
09/02/11	07/23/09*	SELL FI	QLOGIC CORP	QLGC	4,000	53.28	52.88	0.00 -0.403
09/02/11	10/15/09*	SELL FI	QLOGIC CORP	QLGC	11,000	205.48	145.42	0.00 -60.063
09/02/11	05/17/10*	SELL FI	QLOGIC CORP	QLGC	11,000	210.21	145.42	0.00 -64.793
09/02/11	08/29/11	SELL FI	QLOGIC CORP	QLGC	4,000	55.56	52.88	-2.68
09/02/11	08/29/11	SELL FI	771 day(s) added to your holding period as a result of a wash sale. QLOGIC CORP	QLGC	6,000	115.50	79.31	-36.19
09/02/11	08/13/10*	SELL FI	687 day(s) added to your holding period as a result of a wash sale. QUALCOMM INC	QCOM	71,000	2,710.65	3,572.15	861.50
09/02/11	08/13/10*	SELL FI	QUALCOMM INC	QCOM	60,000	2,276.89	3,018.71	741.82
09/02/11	08/16/10*	SELL FI	QUALCOMM INC	QCOM	37,000	1,438.12	1,861.54	423.42
09/02/11	08/18/10*	SELL FI	QUALCOMM INC	QCOM	73,000	2,827.88	3,672.77	844.89
09/02/11	10/15/09*	SELL FI	RAYONIER INC COM	RYN	9,000	248.16	362.96	114.80
09/02/11	05/17/10*	SELL FI	RAYONIER INC COM	RYN	5,000	151.47	201.64	50.17
09/02/11	10/15/09*	SELL FI	REDWOOD TR INC COM	RWT	11,000	171.93	133.43	0.00 -38.503
09/02/11	11/09/09*	SELL FI	REDWOOD TR INC COM	RWT	16,000	219.20	194.08	0.00 -25.123
09/02/11	05/17/10*	SELL FI	REDWOOD TR INC COM	RWT	25,000	382.00	303.24	-53.56 -25.203
09/02/11	08/29/11	SELL FI	REDWOOD TR INC COM	RWT	9,000	142.18	109.16	-33.02
09/02/11	06/10/09*	SELL FI	687 day(s) added to your holding period as a result of a wash sale. REPUBLIC SVCS INC COM	RSG	2,000	45.38	58.36	12.98
09/02/11	10/15/09*	SELL FI	REPUBLIC SVCS INC COM	RSG	16,000	432.96	466.87	33.91
09/02/11	05/17/10*	SELL FI	REPUBLIC SVCS INC COM	RSG	8,000	237.84	233.43	0.00 -4.413





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Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long Term (continued)								
09/02/11	10/15/09 *	SELL FI	ROCKWELL COLLINS INC DEL COM STK	COL	8,000	418.16	376.47	-20.84
09/02/11	05/17/10 *	SELL FI	ROCKWELL COLLINS INC DEL COM STK	COL	5,000	308.35	235.29	-20.853 -73.06
09/02/11	08/29/11	SELL FI	ROCKWELL COLLINS INC DEL COM STK	COL	2,000	107.33	94.12	-13.21
09/02/11	05/03/10 *	SELL FI	687 day(s) added to your holding period as a result of a wash sale. SCHLUMBERGER LTD COM ISIN#AN8068571086	SLB	21,000	1,480.29	1,555.92	75.63
09/02/11	05/17/10 *	SELL FI	SCHLUMBERGER LTD COM ISIN#AN8068571086	SLB	87,000	5,574.09	6,445.96	871.87
09/02/11	06/02/10 *	SELL FI	SCHLUMBERGER LTD COM ISIN#AN8068571086	SLB	12,000	666.72	889.10	222.38
09/02/11	03/18/10 *	SELL FI	SEMTECH CORP	SMTC	11,000	196.68	231.21	34.53
09/02/11	05/17/10 *	SELL FI	SEMTECH CORP	SMTC	17,000	296.65	357.33	60.68
09/02/11	05/10/10 *	SELL FI	SHIRE PLC SPONS ADR	SHPGY	24,000	1,560.68	2,280.44	719.76
09/02/11	05/17/10 *	SELL FI	SHIRE PLC SPONS ADR	SHPGY	54,000	3,404.16	5,130.98	1,726.82
09/02/11	05/17/10 *	SELL FI	SNAP ON INC COM	SNA	7,000	320.60	358.88	38.28
09/02/11	02/24/11	SELL FI	SOUTHWESTERN ENERGY CO COM	SWN	9,000	359.90	333.44	-14.70 -11.763
09/02/11	08/11/10 *	SELL FI	375 day(s) added to your holding period as a result of a wash sale. STANLEY BLACK & DECKER INC COM	SWK	107,000	6,023.90	6,318.53	294.63
09/02/11	07/14/10 *	SELL FI	STATE STR CORP COM	STT	15,000	571.62	505.79	0.00
09/02/11	08/29/11	SELL FI	STATE STR CORP COM	STT	2,000	78.59	67.43	-65.833 -11.16
415 day(s) added to your holding period as a result of a wash sale.								



Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
09/02/11	12/07/09*	SELL FI	STERIS CORP	STE	8,000	232.72	247.51	14.79
09/02/11	10/15/09*	SELL FI	SYMANTEC CORP	SYMC	7,000	116.83	115.50	0.00
09/02/11	03/12/10*	SELL FI	SYMANTEC CORP	SYMC	12,000	210.00	198.00	-1.333
09/02/11	05/17/10*	SELL FI	SYMANTEC CORP	SYMC	32,000	519.68	527.99	-7.00
09/02/11	02/09/10*	SELL FI	TAUBMAN CENTERS INC	TCO	3,000	96.75	169.59	-5.003
09/02/11	05/17/10*	SELL FI	TAUBMAN CENTERS INC	TCO	4,000	168.44	226.11	8.31
09/02/11	06/24/10*	SELL FI	TECH DATA	TECD	16,000	617.76	737.10	72.84
09/02/11	07/13/10*	SELL FI	THERMO FISHER SCIENTIFIC INC	TMO	15,000	741.12	793.53	57.67
09/02/11	10/15/09*	SELL FI	THOMAS & BETTS CORP NEW	TNB	5,000	155.15	207.60	119.34
09/02/11	05/17/10*	SELL FI	THOMAS & BETTS CORP NEW	TNB	5,000	204.05	207.60	52.41
09/02/11	10/15/09*	SELL FI	3M CO COM	MMM	19,000	1,462.05	1,514.51	52.45
09/02/11	01/06/10*	SELL FI	3M CO COM	MMM	1,000	83.90	79.71	3.55
09/02/11	03/12/10*	SELL FI	3M CO COM	MMM	2,000	162.52	159.42	52.46
09/02/11	05/17/10*	SELL FI	3M CO COM	MMM	14,000	1,186.50	1,115.96	0.00
09/02/11	06/09/10*	SELL FI	3M CO COM	MMM	52,000	3,950.34	4,144.99	-4.193
09/02/11	03/12/10*	SELL FI	TRAVELERS COS INC COM	TRV	49,000	2,609.25	2,427.56	0.00
09/02/11	05/17/10*	SELL FI	TRAVELERS COS INC COM	TRV	96,000	4,788.48	4,756.03	-88.993
09/02/11	03/12/10*	SELL FI	URS CORP NEW COM	URS	6,000	284.58	199.26	-32.45
09/02/11	05/17/10*	SELL FI	URS CORP NEW COM	URS	12,000	582.48	398.51	0.00
09/02/11	08/25/10*	SELL FI	UNILEVER PLC SPON ADR NEW	UL	24,000	636.72	796.78	-85.323
09/02/11	10/15/09*	SELL FI	UNION PACIFIC CORP COM	UNP	81,000	5,057.48	7,229.35	-61.32
								-122.653
								160.06
								2,171.87



HSBC Securities (USA) Inc.
452 Fifth Avenue
New York, NY 10018
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Brokerage

Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disposal
Long Term (continued)								
09/02/11	05/03/10 *	SELL FI	UNION PACIFIC CORP COM	UNP	5,000	386.05	446.26	60.21
09/02/11	05/17/10 *	SELL FI	UNION PACIFIC CORP COM	UNP	82,000	6,115.48	7,318.60	1,203.12
09/02/11	10/15/09 *	SELL FI	UNITED TECHNOLOGIES CORP COM	UTX	41,000	2,631.30	2,919.67	288.37
09/02/11	01/06/10 *	SELL FI	UNITED TECHNOLOGIES CORP COM	UTX	16,000	1,122.56	1,139.38	16.82
09/02/11	05/17/10 *	SELL FI	UNITED TECHNOLOGIES CORP COM	UTX	121,000	8,624.70	8,616.59	-5.56
09/02/11	10/15/09 *	SELL FI	VIACOM INC NEW CL B	VIAB	9,000	253.98	418.35	164.37
09/02/11	05/17/10 *	SELL FI	VIACOM INC NEW CL B	VIAB	7,000	237.65	325.38	87.73
09/02/11	05/17/10 *	SELL FI	VISA INC COM CL A	V	85,000	6,569.57	7,320.30	750.73
09/02/11	05/17/10 *	SELL FI	VODAFONE GROUP PLC SPON ADR NEW	VOD	241,000	4,783.57	6,365.38	1,581.81
09/02/11	05/17/10 *	SELL FI	WAL MART STORES INC COM	WMT	9,000	473.40	470.69	-2.71
09/02/11	05/17/10 *	SELL FI	WASHINGTON FED INC COM	WFSL	30,000	575.10	426.59	-69.30
09/02/11	02/05/10 *	SELL FI	WESTERN UN CO COM	WU	36,000	590.33	583.65	-79.213
09/02/11	05/17/10 *	SELL FI	WESTERN UN CO COM	WU	16,000	265.28	259.40	-5.383
09/02/11	07/12/10 *	SELL FI	WESTERN UN CO COM	WU	39,000	617.69	632.29	-5.88
09/02/11	09/01/10 *	SELL FI	WEYERHAEUSER CO	WY	11,000	170.94	191.62	14.60
09/02/11	04/15/10 *	SELL FI	YUM BRANDS INC COM	YUM	15,000	639.72	793.38	20.68
09/02/11	05/17/10 *	SELL FI	YUM BRANDS INC COM	YUM	47,000	1,915.72	2,485.91	153.66
09/02/11	05/17/10 *	SELL FI	YUM BRANDS INC COM	YUM	47,000	1,915.72	2,485.91	570.19

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of The Bank of New York Mellon Corporation
Parahing LLC, member FINRA, NYSE, SIPC

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
09/02/11	05/17/10 *	SELL FI	ZIMMER HLDGS INC COM	ZMH	1,000	59.61	55.33	0.00
09/22/11	09/15/10 *	SELL FI	DISCOVER FINL SVCS COM INC	DIFS	3,000	47.55	75.33	-4.283
09/22/11	09/15/10 *	SELL FI	GLOBAL PMTS INC COM	GPN	2,000	81.16	79.34	27.78
09/28/11	09/15/10 *	SELL FI	APPLE INC COM	AAPL	1,000	269.45	402.29	0.00
10/05/11	09/15/10 *	SELL FI	CERN CORP	CERN	8,000	320.84	528.00	-1.823
10/06/11	08/29/11	SELL FI	FIRST NIAGARA FINL GROUP INC NEW COM	FNFG	17,000	201.87	155.37	132.84
10/06/11	08/29/11	SELL FI	352 day(s) added to your holding period as a result of a wash sale.	STT	6,000	235.78	195.31	207.16
10/06/11	09/29/11	SELL FI	415 day(s) added to your holding period as a result of a wash sale.	STT	4,000	148.43	130.21	0.00
10/06/11	08/29/11	SELL FI	415 day(s) added to your holding period as a result of a wash sale.	URS	6,000	293.76	197.87	-46.503
10/06/11	09/29/11	SELL FI	539 day(s) added to your holding period as a result of a wash sale.	URS	5,000	228.96	164.90	0.00
10/06/11	08/29/11	SELL FI	473 day(s) added to your holding period as a result of a wash sale.	WU	13,000	216.87	201.66	-64.063
10/06/11	09/29/11	SELL FI	574 day(s) added to your holding period as a result of a wash sale.	WU	1,000	16.11	15.51	0.00
10/12/11	09/15/10 *	SELL FI	MASTERCARD INC CL A COM	MA	2,000	420.48	672.08	-0.603
10/19/11	09/15/10 *	SELL FI	EQT CORPORATION COM	EQT	2,000	69.14	132.50	251.60
10/24/11	09/15/10 *	SELL FI	KANSAS CITY SOUTHN COM NEW	KSU	2,000	76.90	122.31	63.36
10/28/11	09/15/10 *	SELL FI	AMAZON COM INC	AMZN	6,000	863.52	1,262.13	45.41
10/28/11	08/29/11	SELL SL	DIEBOLD INC COM	DBD	3,000	94.77	99.52	398.61
			687 day(s) added to your holding period as a result of a wash sale.					4.75



HSBC Securities (USA) Inc.
452 Fifth Avenue
New York, NY 10018
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Brokerage

Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
10/28/11	08/29/11	SELL SL	DIEBOLD INC COM	DBD	1.000	29.91	33.17	3.26
			473 day(s) added to your holding period as a result of a wash sale.					
10/28/11	09/29/11	SELL SL	DIEBOLD INC COM	DBD	8.000	241.37	265.38	24.01
			473 day(s) added to your holding period as a result of a wash sale.					
10/28/11	08/29/11	SELL SL	MOHAWK INDUSTRIES INC	MHK	1.000	48.64	54.33	5.69
			352 day(s) added to your holding period as a result of a wash sale.					
10/28/11	09/22/11	SELL SL	MOHAWK INDUSTRIES INC	MHK	1.000	40.90	54.33	13.43
			352 day(s) added to your holding period as a result of a wash sale.					
10/28/11	09/29/11	SELL SL	MOHAWK INDUSTRIES INC	MHK	1.000	45.25	54.33	9.08
			352 day(s) added to your holding period as a result of a wash sale.					
10/28/11	08/29/11	SELL FI	OLD REPUBLIC INTL CORP	ORI	10.000	113.75	90.71	0.00 -23.04 ³
			662 day(s) added to your holding period as a result of a wash sale.					
10/28/11	09/29/11	SELL FI	OLD REPUBLIC INTL CORP	ORI	14.000	147.94	127.00	0.00 -20.94 ³
			662 day(s) added to your holding period as a result of a wash sale.					
11/14/11	09/15/10*	SELL FI	OMNICARE INC COM	OCR	2.000	43.00	61.91	18.91
			STATE STR CORP COM	STT	1.000	37.11	40.83	3.72
			415 day(s) added to your holding period as a result of a wash sale.					
11/16/11	08/05/11	SELL SL	PG & E CORP	PCG	13.000	583.74	513.78	-69.96
			352 day(s) added to your holding period as a result of a wash sale.					
11/16/11	09/29/11	SELL SL	PG & E CORP	PCG	6.000	278.62	237.13	-41.49
			352 day(s) added to your holding period as a result of a wash sale.					
11/16/11	09/15/10*	SELL SL	PRUDENTIAL FINL INC COM	PRU	17.000	937.69	898.65	-39.04



Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
11/16/11	09/29/11	SELL SL	PRUDENTIAL FINL INC COM	PRU	12,000	669.10	634.34	-34.76
			539 day(s) added to your holding period as a result of a wash sale.					
11/16/11	09/29/11	SELL SL	PRUDENTIAL FINL INC COM	PRU	4,000	241.03	211.45	-29.58
			473 day(s) added to your holding period as a result of a wash sale.					
11/16/11	08/03/11	SELL SL	STANLEY BLACK & DECKER INC COM	SWK	9,000	597.83	604.36	6.53
			352 day(s) added to your holding period as a result of a wash sale.					
11/16/11	09/08/11	SELL SL	STANLEY BLACK & DECKER INC COM	SWK	3,000	180.50	201.45	20.95
			352 day(s) added to your holding period as a result of a wash sale.					
12/01/11	10/08/10*	SELL FI	CARLISLE COMPANIES INC	CSL	2,000	63.54	88.00	24.46
12/05/11	08/29/11	SELL SL	INVESCO LTD ORD SHS	IVZ	3,000	63.35	62.06	-1.29
			352 day(s) added to your holding period as a result of a wash sale.					
12/21/11	09/15/10*	SELL FI	CHUBB CORP	CB	1,000	57.32	68.69	11.37
12/21/11	09/15/10*	SELL FI	INTERNATIONAL BUSINESS MACHS CORP	IBM	6,000	779.16	1,080.65	301.49
12/21/11	09/29/10*	SELL FI	PHILIP MORRIS INTL INC COM	PM	8,000	449.34	621.42	172.08
Total Long Term						\$1,607,198.39	\$1,710,002.14	\$110,132.43
Total Short Term and Long Term						\$2,927,110.37	\$3,039,130.96	\$134,837.00

* Uncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L. 110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "uncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for uncovered securities. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. In addition, corporate action events may require adjustments to your original cost basis. Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable.



HSBC Securities (USA) Inc.
452 Fifth Avenue
New York, NY 10018
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Brokerage Account Statement

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Adjustments to cost basis can be made after year-end, in particular, for return of capital adjustments, but may also include adjustments for corporate action events. Therefore there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports, including your 1099-B or online displays you may have available to you.

When you report your cost basis on your tax return, it should be verified using all of your own records. In particular, there may be other adjustments which you need to make, but are not required to be made by Pershing as it relates to H.R. 1424. You should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

2 Realized gains and losses are not reported for securities for which cost basis or proceeds are not available.

3 Realized loss is net of a disallowance due to a wash sale.

10 This security has recently been transferred into your account. Upon receipt of cost basis information from the delivering firm or transferring agent, Pershing will perform a validation and update the cost basis accordingly.

Tax Lot Disposition Methods:

FI = First In First Out

SL = Versus Purchase

Please refer to the Client Service Information Section in your brokerage account statement for your account's existing tax-lot disposition method. The disposition method is the method which you have selected to use in the disposal of each tax lot of the securities held in your account. If you do not select a method, your account will be defaulted to First In, First Out (FIFO). Your account's selected tax lot method will be used to determine the cost basis for calculating gain and/or loss, unless another method was selected at the time of the security disposal, and this may be reported on the IRS Form 1099-B.

Cash Not Yet Received

Security	Record Date	Payable Date	Quantity Held	Rate	Amount of Payment	Dividend Option
Dividends						
ALLSTATE CORP COM	11/30/11	01/03/12	47,000	0.210000	9.87	Cash
ALTRIA GROUP INC COM	12/27/11	01/10/12	127,000	0.410000	52.07	Cash
AMERICAN EAGLE OUTFITTERS INC NEW COM	12/21/11	01/06/12	68,000	0.110000	7.48	Cash
ANVALY CAP MGMT INC COM	12/29/11	01/26/12	16,000	0.570000	9.12	Cash
C H ROBINSON WORLDWIDE INC COM NEW	12/20/11	01/03/12	58,000	0.330000	19.14	Cash
CHUBB CORP	12/23/11	01/10/12	8,000	0.390000	3.12	Cash
DISNEY WALT CO DISNEY COM	12/16/11	01/18/12	67,000	0.600000	40.20	Cash
DISCOVER FINL SVCS COM INC	12/29/11	01/19/12	48,000	0.100000	4.80	Cash
ECOLAB INC	12/20/11	01/17/12	91,000	0.200000	18.20	Cash
FLUOR CORP NEW COM	12/02/11	01/04/12	99,000	0.125000	12.38	Cash
GUESS INC COM	12/14/11	01/03/12	14,000	0.200000	2.80	Cash

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Pershing LLC, member FINRA, NYSE, SIPC

Cash Not Yet Received (continued)

Security	Record Date	Payable Date	Quantity Held	Rate	Amount of Payment	Dividend Option
Dividends (continued)						
HESS CORP COM	12/20/11	01/03/12	19,000	0.100000	1.90	Cash
JOHNSON Ctls INC COM	12/09/11	01/03/12	172,000	0.180000	30.96	Cash
KBR INC COM	12/15/11	01/17/12	19,000	0.050000	0.95	Cash
KAYDON CORP	12/13/11	01/03/12	15,000	0.200000	3.00	Cash
MACYS INC COM	12/15/11	01/03/12	19,000	0.100000	1.90	Cash
OCCIDENTAL PETE CORP COM	12/09/11	01/15/12	161,000	0.460000	74.06	Cash
PPL CORP COM	12/09/11	01/03/12	32,000	0.350000	11.20	Cash
PACKAGING CORP AMER COM	12/19/11	01/13/12	22,000	0.200000	4.40	Cash
PEPSICO INC COM	12/02/11	01/03/12	71,000	0.515000	36.57	Cash
PHILIP MORRIS INTL INC COM	12/22/11	01/10/12	243,000	0.770000	187.11	Cash
SAFEMART INC COM NEW	12/22/11	01/12/12	30,000	0.145000	4.35	Cash
STAPLES INC	12/23/11	01/12/12	51,000	0.100000	5.10	Cash
UNION PACIFIC CORP COM	11/30/11	01/02/12	133,000	0.600000	79.80	Cash
VIACOM INC NEW CL B	12/15/11	01/02/12	169,000	0.250000	42.25	Cash
WAL MART STORES INC COM	12/09/11	01/03/12	11,000	0.365000	4.02	Cash
Total Cash Not Yet Received					\$666.75	

The above information has been obtained from sources we believe to be reliable. All items are subject to receipt. We make no representation as to accuracy or completeness. Cash Not Yet Received is not reflected as an asset in your account until it is actually received.

Messages

Please be advised that, in accordance with FINRA Rule 4370, HSBC Securities (USA) Inc.'s Business Contingency Plan Disclosure can be obtained by accessing:
<http://www.us.hsbc.com/12/23/personal/other-services/investing/financial-statement>, or by contacting our Brokerage Customer Service at 1-800-662-3343.

Please contact your HSBC Financial Advisor if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your account or reasonably modify existing restrictions.

Important Arbitration Agreement and Important Arbitration Disclosures

Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until: (i) the class certification is denied; (ii) the class is decertified; or (iii) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

Brokerage Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Important Arbitration Agreement and Important Arbitration Disclosures *(continued)*

Important Arbitration Disclosures *(continued)*

Pershing's contact information is as follows: Pershing LLC; Legal Department; One Pershing Plaza; Jersey City, New Jersey 07399; (201) 413-3330.



GENERAL INFORMATION

1. ANY FREE CREDIT BALANCE CARRIED FOR YOUR ACCOUNT REPRESENTS FUNDS PAYABLE UPON DEMAND WHICH, ALTHOUGH PROPERLY ACCOUNTED FOR ON PERSHING'S BOOKS OF RECORD, ARE NOT SEGREGATED AND MAY BE USED IN THE CONDUCT OF ITS BUSINESS.
2. ALL ORDERS AND TRANSACTIONS SHALL BE SOLELY FOR YOUR ACCOUNT AND RISK SHALL BE SUBJECT TO THE CONSTITUTION, RULES, REGULATIONS, CUSTOMS, USAGES, RULINGS AND INTERPRETATIONS OF THE EXCHANGE OR MARKET AND THE CLEARING FACILITY, IF ANY, WHERE THE TRANSACTIONS ARE EXECUTED AND/OR SETTLED, OR IF APPLICABLE, OF THE FINANCIAL INDUSTRY REGULATORY AUTHORITY AND TO ALL APPLICABLE LAWS AND REGULATIONS.
3. WHENEVER YOU ARE INDEBTED TO PERSHING LLC ("PERSHING") FOR ANY AMOUNT, ALL SECURITIES HELD BY IT FOR YOU IN ANY ACCOUNT IN WHICH YOU HAVE ANY INTEREST SHALL SECURE ALL YOUR LIABILITIES TO PERSHING, AND PERSHING MAY IN ITS DISCRETION AT ANY TIME, WITHOUT TENDER, DEMAND OR NOTICE TO YOU, CLOSE OR REDUCE ANY OR ALL OF YOUR ACCOUNTS BY PUBLIC OR PRIVATE SALE OR PURCHASE OR BOTH OF ALL OR ANY SECURITIES CARRIED IN SUCH ACCOUNTS; ANY BALANCE REMAINING DUE PERSHING TO BE PROMPTLY PAID BY YOU.
4. WHENEVER YOU ARE INDEBTED TO PERSHING FOR ANY AMOUNT, ALL SECURITIES CARRIED FOR YOUR ACCOUNT ARE OR MAY BE, WITHOUT FURTHER NOTICE TO YOU, LOANED OR PLEDGED BY PERSHING, EITHER SEPARATELY OR UNDER CIRCUMSTANCES WHICH WILL PERMIT THE COMINGLING THEREOF, WITH OTHER SECURITIES FOR ANY AMOUNT LESS THAN, EQUAL TO OR GREATER THAN YOUR LIABILITIES TO PERSHING, BUT NOT UNDER CIRCUMSTANCES FOR AN AMOUNT PROHIBITED BY LAW.
5. TITLE TO SECURITIES SOLD TO YOU, WHERE PERSHING HAS ACTED AS PRINCIPAL, SHALL REMAIN WITH PERSHING UNTIL THE ENTIRE PURCHASE PRICE IS RECEIVED OR UNTIL THE SETTLEMENT DATE, WHICHEVER IS LATER.
6. YOU MAY HAVE RECEIVED CONFIRMATIONS FOR TRANSACTIONS WHICH DO NOT APPEAR ON YOUR STATEMENT. IF SO, THE TRANSACTIONS WILL APPEAR ON YOUR NEXT PERIODIC STATEMENT. SUCH TRANSACTIONS MUST BE CONSIDERED BY YOU WHEN COMPUTING THE VALUE OF YOUR ACCOUNT. THIS IS ESPECIALLY TRUE IF YOU HAVE WRITTEN OPTIONS WHICH HAVE BEEN EXERCISED.
7. IF YOU MAINTAIN A MARGIN ACCOUNT, THIS IS A COMBINED STATEMENT OF YOUR GENERAL ACCOUNT AND A SPECIAL MEMORANDUM ACCOUNT MAINTAINED FOR YOU UNDER REGULATION T OF THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM. THE PERMANENT RECORD OF THE SEPARATE ACCOUNT AS REQUIRED BY REGULATION T IS AVAILABLE FOR YOUR INSPECTION UPON REQUEST.
8. INTEREST CHARGED ON DEBIT BALANCES IN YOUR ACCOUNT APPEARS ON THE STATEMENT. THE RATE OF INTEREST AND PERIOD COVERED ARE INDICATED. THE RATE MAY CHANGE FROM TIME TO TIME DUE TO FLUCTUATIONS IN MONEY RATES OR OTHER REASONS. INTEREST IS COMPUTED AS DESCRIBED IN MATERIAL PREVIOUSLY FURNISHED TO YOU. PLEASE CONTACT YOUR FINANCIAL INSTITUTION IF YOU DESIRE ADDITIONAL COPIES.
9. DIVIDENDS, INTEREST AND OTHER DISTRIBUTIONS SHOWN ON THIS STATEMENT WERE CLASSIFIED AS TAXABLE OR NON-TAXABLE BASED ON CERTAIN INFORMATION KNOWN AS OF THE DISTRIBUTION DATE. THIS CLASSIFICATION IS SUBJECT TO CHANGE AND IS SOLELY INTENDED FOR USE AS GENERAL INFORMATION. AFTER YEAR END, PERSHING IS REQUIRED TO PROVIDE TAX INFORMATION TO THE INTERNAL REVENUE SERVICE AND OTHER GOVERNMENTAL AUTHORITIES. AT THAT TIME PERSHING WILL PROVIDE THAT INFORMATION ON THE ANNUAL TAX INFORMATION STATEMENT TO YOU; USE THAT STATEMENT TO PREPARE YOUR TAX FILINGS. THE TAX STATEMENT ALSO INCLUDES OTHER USEFUL INFORMATION TO ASSIST IN ACCUMULATING THE DATA TO PREPARE YOUR TAX RETURNS.
10. PERSHING DOES NOT PROVIDE TAX, INVESTMENT OR LEGAL ADVISORY SERVICES AND NO ONE ASSOCIATED WITH PERSHING IS AUTHORIZED TO RENDER SUCH ADVICE. DO

TERMS AND CONDITIONS

- NOT RELY UPON ANY SUCH ADVICE, IF GIVEN. INVESTORS ARE ENCOURAGED TO CONSULT THEIR TAX ADVISORS TO DETERMINE THE APPROPRIATE TAX TREATMENT OF THEIR BUSINESS.
11. PERSHING MAY TRADE FOR ITS OWN ACCOUNT AS A MARKET MAKER, SPECIALIST, ODD LOT DEALER, BLOCK POSITIONER, ARBITRAGEUR OR INVESTOR. CONSEQUENTLY, AT THE TIME OF ANY TRANSACTION YOU MAY MAKE, PERSHING MAY HAVE A POSITION IN SUCH SECURITIES, WHICH POSITION MAY BE PARTIALLY OR COMPLETELY HEDGED.
 12. IF AVERAGE PRICE TRANSACTION IS INDICATED ON THE FRONT OF THIS STATEMENT YOUR FINANCIAL INSTITUTION OR PERSHING MAY HAVE ACTED AS PRINCIPAL, AGENT OR BOTH. DETAILS AVAILABLE UPON REQUEST.
 13. A FINANCIAL STATEMENT OF PERSHING IS AVAILABLE FOR YOUR PERSONAL INSPECTION AT PERSHING'S OFFICES. A COPY OF IT WILL BE MAILED UPON YOUR WRITTEN REQUEST OR YOU CAN VIEW IT ONLINE AT WWW.PERSHING.COM.
 14. THIS STATEMENT SHOULD BE RETAINED FOR YOUR RECORDS.

THE ROLE OF PERSHING

PERSHING CARRIES YOUR ACCOUNT AS CLEARING BROKER PURSUANT TO A CLEARING AGREEMENT WITH YOUR FINANCIAL INSTITUTION. PERSHING MAY ACCEPT FROM YOUR FINANCIAL INSTITUTION WITHOUT INQUIRY OR INVESTIGATION (I) ORDERS FOR THE PURCHASE AND SALE OF SECURITIES AND OTHER PROPERTY AND (II) ANY OTHER INSTRUCTIONS CONCERNING YOUR ACCOUNT. PERSHING IS NOT RESPONSIBLE OR LIABLE FOR ANY ACTS OR OMISSIONS OF YOUR FINANCIAL INSTITUTION OR ITS EMPLOYEES AND IT DOES NOT SUPERVISE THEM. PERSHING PROVIDES NO INVESTMENT ADVICE NOR DOES IT ASSESS THE SUITABILITY OF ANY TRANSACTION OR ORDER. PERSHING ACTS AS THE AGENT OF YOUR FINANCIAL INSTITUTION AND YOU AGREE THAT YOU WILL NOT HOLD PERSHING OR ANY PERSON CONTROLLING OR UNDER COMMON CONTROL WITH IT LIABLE FOR ANY INVESTMENT LOSSES INCURRED BY YOU.

PERSHING PERFORMS SEVERAL KEY FUNCTIONS AT THE DIRECTION OF YOUR FINANCIAL INSTITUTION. IT ACTS AS CUSTODIAN FOR FUNDS AND SECURITIES YOU MAY DEPOSIT WITH IT DIRECTLY OR THROUGH YOUR FINANCIAL INSTITUTION OR THAT IT RECEIVES AS THE RESULT OF SECURITIES TRANSACTIONS IT PROCESSES.

YOUR FINANCIAL INSTITUTION IS RESPONSIBLE FOR ADHERENCE TO THE SECURITIES LAWS, REGULATIONS AND RULES WHICH APPLY TO IT REGARDING ITS OWN OPERATIONS AND THE SUPERVISION OF YOUR ACCOUNT. ITS SALES REPRESENTATIVES AND OTHER PERSONNEL, YOUR FINANCIAL INSTITUTION IS ALSO RESPONSIBLE FOR APPROVING THE OPENING OF ACCOUNTS AND OBTAINING ACCOUNT DOCUMENTS, THE ACCEPTANCE AND, IN CERTAIN INSTANCES, EXECUTION OF SECURITIES ORDERS; THE ASSESSMENT OF THE SUITABILITY OF THOSE TRANSACTIONS, WHERE APPLICABLE, THE RENDERING OF INVESTMENT ADVICE, IF ANY, TO YOU AND IN GENERAL, FOR THE ONGOING RELATIONSHIP THAT IT HAS WITH YOU.

INQUIRIES CONCERNING THE POSITIONS AND BALANCES IN YOUR ACCOUNT MAY BE DIRECTED TO THE PERSHING CUSTOMER SERVICE DEPARTMENT AT (201) 413-3939. ALL OTHER INQUIRIES REGARDING YOUR ACCOUNT OR ACTIVITY SHOULD BE DIRECTED TO YOUR FINANCIAL INSTITUTION. YOUR FINANCIAL ORGANIZATION'S CONTACT INFORMATION CAN BE FOUND ON THE FIRST PAGE OF THIS STATEMENT.

FOR A DESCRIPTION OF OTHER FUNCTIONS PERFORMED BY PERSHING PLEASE CONSULT THE DISCLOSURE STATEMENT PROVIDED TO YOU UPON THE OPENING OF YOUR ACCOUNT. THIS NOTICE IS NOT MEANT AS A DEFINITIVE ENUMERATION OF EVERY POSSIBLE CIRCUMSTANCE, BUT AS A GENERAL DISCLOSURE. IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE OR IF YOU WOULD LIKE ADDITIONAL COPIES OF THE DISCLOSURE STATEMENT, PLEASE CONTACT YOUR FINANCIAL INSTITUTION.

THIS STATEMENT WILL BE DEEMED CONCLUSIVE. YOU ARE ADVISED TO REPORT ANY INACCURACY OR DISCREPANCY (INCLUDING UNAUTHORIZED TRADING), TO YOUR FINANCIAL ORGANIZATION AND PERSHING, WITHIN TEN DAYS AFTER RECEIPT OF THIS STATEMENT. PLEASE BE ADVISED THAT ANY ORAL COMMUNICATION SHOULD BE RE-

CONFIRMED IN WRITING TO FURTHER PROTECT YOUR RIGHTS, INCLUDING YOUR RIGHTS UNDER THE SECURITIES INVESTOR PROTECTION ACT.

YOUR FINANCIAL ORGANIZATION'S CONTACT INFORMATION CAN BE FOUND ON THE FIRST PAGE OF THIS STATEMENT. PERSHING'S CONTACT INFORMATION IS AS FOLLOWS: PERSHING LLC, LEGAL DEPARTMENT, ONE PERSHING PLAZA, JERSEY CITY, NEW JERSEY 07399; (201) 413-3939. ERRORS AND OMISSIONS EXCEPTED.

PAYMENT FOR ORDER FLOW PRACTICES

THE FOLLOWING STATEMENT IS PROVIDED TO YOU AS REQUIRED BY RULE 11AC1-3 OF THE SECURITIES EXCHANGE ACT OF 1934:.

PERSHING SENDS CERTAIN EQUITY ORDERS TO EXCHANGES, ELECTRONIC COMMUNICATION NETWORKS, OR BROKER-DEALERS DURING NORMAL BUSINESS HOURS AND DURING EXTENDED TRADING SESSIONS. CERTAIN OF THESE VENUES PROVIDE PAYMENTS TO PERSHING OR CHARGE ACCESS FEES TO PERSHING DEPENDING UPON THE CHARACTERISTICS OF THE ORDER AND ANY SUBSEQUENT EXECUTION. IN ADDITION, PERSHING MAY EXECUTE CERTAIN EQUITY ORDERS AS PRINCIPAL. THE DETAILS OF THESE PAYMENTS AND FEES ARE AVAILABLE UPON WRITTEN REQUEST. PERSHING RECEIVES PAYMENTS FOR DIRECTING LISTED OPTIONS ORDER FLOW TO CERTAIN OPTION EXCHANGES. COMPENSATION IS GENERALLY IN THE FORM OF A PER OPTION CONTRACT CASH PAYMENT. FOR A LISTING OF ORGANIZATIONS THAT PAY PERSHING FOR ORDER FLOW, PLEASE REFER TO WWW.ORDERROUTINGDISCLOSURE.COM

BEST EXECUTION: NOTWITHSTANDING THE PREVIOUS PARAGRAPH REGARDING PAYMENT FOR ORDER FLOW, PERSHING SELECTS CERTAIN MARKET CENTERS TO PROVIDE EXECUTION OF OVER-THE-COUNTER AND EXCHANGE-LISTED SECURITIES TRANSACTIONS WHICH AGREE TO ACCEPT ORDERS, TRANSMITTED ELECTRONICALLY UP TO A SPECIFIED SIZE, AND TO EXECUTE THEM AT OR BETTER THAN THE NATIONAL BEST BID OR OFFER (NBBO). ON CERTAIN LARGER ORDERS, OR IF THE DESIGNATED MARKET CENTERS DO NOT MAKE A MARKET IN THE SUBJECT SECURITY, PERSHING DIRECTLY CONTACTS MARKET CENTERS TO OBTAIN AN EXECUTION. THE DESIGNATED MARKET CENTERS TO WHICH ORDERS ARE AUTOMATICALLY ROUTED ARE SELECTED BASED ON THE CONSISTENT HIGH QUALITY OF THEIR EXECUTIONS IN ONE OR MORE MARKET SEGMENTS AND THEIR ABILITY TO PROVIDE OPPORTUNITIES FOR EXECUTIONS AT PRICES SUPERIOR TO THE NBBO.

IF AN ORDER FOR AN EXCHANGE-LISTED SECURITY IS NOT IMMEDIATELY EXECUTABLE ON THE EXCHANGE TO WHICH IT IS ROUTED, SUCH ORDER MAY BE REPRESENTED IN THE NATIONAL MARKETPLACE USING THE VARIOUS MEANS AVAILABLE FOR PRICE DISCOVERY. PERSHING ALSO REGULARLY REVIEWS REPORTS FOR QUALITY OF EXECUTION PURPOSES.

IF ANY OF THE ABOVE TERMS AND CONDITIONS ARE UNACCEPTABLE TO YOU, PLEASE NOTIFY PERSHING IMMEDIATELY IN WRITING BY CERTIFIED MAIL TO ONE PERSHING PLAZA, JERSEY CITY, NJ 07399, ATTN: LEGAL DEPT





HSBC Securities (USA) Inc.
452 Fifth Avenue
New York, NY 10018
800-662-3343

Brokerage

Account Statement

Account Number: HMP-010444
Statement Period: 12/01/2011 - 12/31/2011

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	\$353,162.14	\$606,694.35
Cash Deposits	0.00	95.49
Cash Withdrawals	-37.76	-201,626.93
Dividends/Interest	450.73	12,681.11
Fees	-24.19	-8,499.01
Change in Account Value	-6,838.81	-62,632.90
Ending Account Value	\$346,712.11	\$346,712.11
Estimated Annual Income	\$7,088.31	

ALLEN I GROSS CHARITABLE
FOUNDATION
50 BROADWAY
NEW YORK NY 10004 - 1607



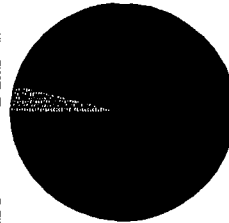
Your Financial Advisor is:
JOEL BASCIANI
(212) 267-9495

Asset Allocation

	This Period	% Allocation
Cash, Money Funds, and FDIC Deposits	15,574.43	4%
Equities	331,137.68	96%
Account Total (Pie Chart)	\$346,712.11	100%

Asset Allocation percentages are rounded to the nearest whole percentage.

Pie Chart allocation excludes all asset classes which net to a liability.



Summary of Gains and Losses

	Realized		Unrealized
	This Period	Year-to-Date	
Short-Term Gain/Loss	0.00	-5,824.56	-7,660.43
Long-Term Gain/Loss	0.00	63,343.53	53,386.19
Net Gain/Loss	0.00	57,518.97	45,725.76

This summary excludes transactions where cost basis information is not available.

Client Service Information

Your Financial Advisor Is: CO4	Contact Information	Client Service Information
JOEL BASCIANI 120 BROADWAY NEW YORK NY 10271-	Telephone Number: (212) 267-9495	Client Service Telephone Number: (800) 662-3343
Investment Objective: GROWTH Risk Exposure: MODERATE RISK	If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Advisor.	
Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT		

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 4.00% of Portfolio									
Cash Balance				0.00	22.35				
Money Market									
HSBC INVESTOR US GOVT CL Y	12/01/11	0000002221	12/30/11	15,185.65	15,552.08	0.00	1.93	0.01%	0.01%
15,552.080				\$15,185.65	\$15,552.08	\$0.00	\$1.93		
Total Money Market				\$15,185.65	\$15,574.43	\$0.00	\$1.93		

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 96.00% of Portfolio								
Common Stocks								
BUNGE LIMITED SHS								
ISIN#BMG169621056								
CUSIP: G16962105								
Dividend Option: Cash								
62,000	03/23/09 *	59.1480	3,667.18	57.2000	3,546.40	-120.78	62.00	1.74%

Security Identifier: BG



HSBC Securities (USA) Inc
452 Fifth Avenue
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Brokerage

Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
QIAGEN NV SHS								
ISIN# NL000240000			Security Identifier: QGEN					
CUSIP: N72482107								
Dividend Option: Cash								
284.000	03/23/09*	16.0340	4,553.57	13.8237	3,925.93	-627.64		
AIR LIQUIDE ADR ISIN# US0091262024								
CUSIP: 009126202			Security Identifier: ALQUY					
Dividend Option: Cash								
555.000	03/23/09*	16.3180	39,056.40	24.8180	13,773.99	4,717.59	260.08	1.88%
ALLIANZ SE SPONS ADR REPSTG 1/10 SHS								
CUSIP: 018805101			Security Identifier: ALSEY					
Dividend Option: Cash								
151.000	03/23/09*	9.2850	1,402.11	9.5950	1,448.85	46.74	72.95	5.03%
610.000	07/20/10*	10.9750	6,694.99	9.5950	5,852.95	-842.04	294.72	5.03%
761.000	Total		\$8,097.10		\$7,301.80	-\$795.30	\$367.67	
AMERICA MOVIL SAB DE C V SPONSORED ADR								
REPSTG SER L SHS ISIN# US02364W1053			Security Identifier: AMX					
CUSIP: 02364W105								
Dividend Option: Cash								
314.000	03/23/09*	15.0410	4,723.01	22.6000	7,096.40	2,373.39	89.71	1.26%
ARM HLDGS PLC SPONSORED ADR								
CUSIP: 042068106			Security Identifier: ARMH					
Dividend Option: Cash								
435.000	10/15/10*	18.7990	8,177.74	27.6700	12,036.45	3,858.71	56.73	0.47%
ATLAS COPCO AB SPON ADR NEW REPSTG								
ISIN# US0492557063 COM SER A			Security Identifier: ATLKY					
CUSIP: 049255706								
Dividend Option: Cash								
275.000	03/23/09*	7.8840	2,168.18	21.5890	5,936.98	3,768.80	124.25	2.09%

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
BG GROUP PLC ADR FINAL INSTALLMENT NEW								
CUSIP: 055434203			<i>Security Identifier: BRGY</i>					
Dividend Option: Cash								
80.000	03/23/09 *	80.4400	6,435.23	106.9610	8,556.88	2,121.65	90.32	1.05%
CSL LTD ADR								
CUSIP: 12637N1054			<i>Security Identifier: CMXHY</i>					
Dividend Option: Cash								
262.000	08/24/10 *	13.9580	3,657.05	16.4030	4,297.59	640.54	97.37	2.26%
CANADIAN NATL RY CO COM								
CUSIP: 1363751027			<i>Security Identifier: CNI</i>					
Dividend Option: Cash								
43.000	02/01/11	68.9410	2,964.47	78.5600	3,378.08	413.61	54.92	
40.000	03/09/11	74.6070	2,984.26	78.5600	3,142.40	158.14	51.08	
83.000	Total		\$5,948.73		\$6,520.48	\$571.75	\$106.00	
CANON INC ADR REPSTG 5 SHS								
CUSIP: 138006309			<i>Security Identifier: CAJ</i>					
Dividend Option: Cash								
183.000	03/19/10 *	45.4470	8,316.86	44.0400	8,059.32	-257.54	264.82	3.28%
CARNIVAL CORP PAIRED CTF 1 COM CARNIVAL CORP & 1 TR SH BEN INT P&O PRINCESS								
CUSIP: 1436583006			<i>Security Identifier: CCL</i>					
Dividend Option: Cash								
105.000	09/08/10 *	34.4050	3,612.50	32.6400	3,427.20	-185.30	105.00	3.06%
CHINA RES ENTERPRISE LTD SPONSORED ADR								
CUSIP: 16940R1095			<i>Security Identifier: CRHKY</i>					
Dividend Option: Cash								
502.000	10/21/09 *	6.7670	3,396.93	6.8630	3,445.23	48.30	60.23	1.74%
COCHLEAR LTD ADR								
CUSIP: 1914592050			<i>Security Identifier: CHEOY</i>					
Dividend Option: Cash								
158.000	07/19/10 *	31.6710	5,003.99	31.7810	5,021.40	17.41	158.09	3.14%



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Brokerage Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
DBS GROUP HLDGS LTD SPONS ADR								
CUSIP: 23304Y100			Security Identifier: DBSDY					
Dividend Option: Cash								
43,000	06/05/09 *	35.3500	1,520.03	35.5380	1,528.13	8.10	74.02	4.84%
105,000	07/01/10 *	39.3000	4,126.50	35.5380	3,731.49	-395.01	180.74	4.84%
84,000	07/20/11	49.3260	4,143.40	35.5380	2,985.20	-1,158.20	144.59	4.84%
232,000	Total		\$9,789.93		\$8,244.82	-\$1,545.11	\$399.35	
DASSAULT SYS S A SPONSORED ADR								
ISIN# US2375451083			Security Identifier: DASTY					
CUSIP: 237545108								
Dividend Option: Cash								
185,000	03/23/09 *	38.4780	7,118.38	80.3940	14,872.89	7,754.51	105.72	0.71%
ERSTE BK GROUP AG SPONS ADR REPSTG 1/2								
SH ISIN# US2960363040			Security Identifier: EBKDY					
CUSIP: 296036304								
Dividend Option: Cash								
491,000	05/17/10 *	19.2270	9,440.27	8.8180	4,329.64	-5,110.63	170.72	3.94%
FANUC CORPORATION ADR								
ISIN# US3073051027			Security Identifier: FANUY					
CUSIP: 307305102								
Dividend Option: Cash								
427,000	04/30/09 *	11.9790	5,115.06	25.5180	10,896.19	5,781.13	158.80	1.45%
FRESENIUS MED CARE AKTIENGESELLSCHAFT								
SPONSORED ADR REPSTG SHS			Security Identifier: FMS					
ISIN# US3580291066								
CUSIP: 358029106								
Dividend Option: Cash								
11,000	02/20/08 *	52.3530	3575.88	67.9800	747.78	171.90	7.18	0.95%
79,000	01/09/09 *	44.5900	3,522.61	67.9800	5,370.42	1,847.81	51.55	0.95%
60,000	05/20/11	71.8250	4,309.49	67.9800	4,078.80	-230.69	39.15	0.95%
150,000	Total		\$8,407.98		\$10,197.00	\$1,789.02	\$97.88	

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
GAZPROM O A O SPON ADR REG S								
RESTRICTION LIFTED ISIN#US3682872078								
CUSIP: 368287207								
Dividend Option: Cash								
282.000	03/23/09 *	8.3750	2,361.75	10.6650	3,007.53	645.78	58.04	1.93%
HOYA CORP SPON ADR								
CUSIP: 443251103								
Dividend Option: Cash								
307.000	03/23/09 *	21.0480	6,461.86	21.5490	6,615.54	153.68	219.66	3.32%
ICICI BK LTD ADR ISIN#US45104G1040								
CUSIP: 45104G104								
Dividend Option: Cash								
224.000	05/17/10 *	38.9930	8,734.48	26.4300	5,920.32	-2,814.16	139.08	2.34%
IMPERIAL OIL LTD COM								
CUSIP: 453038408								
Dividend Option: Cash								
149.000	03/23/09 *	35.5130	5,291.51	44.4800	6,627.52	1,336.01	65.27	
JGC CORP ADR								
ISIN#US4661401004								
CUSIP: 466140100								
Dividend Option: Cash								
83.000	05/11/11	50.2110	4,167.55	48.0370	3,987.07	-180.48	52.99	1.32%
JUPITER TELECOMMUNICATION CO								
LTD ADR ISIN#US48206M1027								
CUSIP: 48206M102								
Dividend Option: Cash								
69.000	04/02/09 *	44.5370	3,073.05	67.5850	4,663.37	1,590.32	89.96	1.92%
LI & FUNG LTD PLC ADR								
ISIN#US5018971029								
CUSIP: 501897102								
Dividend Option: Cash								
774.000	02/17/10 *	4.6810	3,623.01	3.7030	2,866.12	-756.89	71.68	2.50%
1,554.000	09/01/11	4.9030	7,619.06	3.7030	5,754.46	-1,864.60	143.92	2.50%
562 day(s) added to your holding period as a result of a wash sale.								
538.000	09/01/11	3.8220	2,056.18	3.7030	1,992.22	-63.96	49.83	2.50%
2,866.000	Total		\$13,298.25		\$10,612.80	-\$2,685.45	\$265.43	



HSBC Securities (USA) Inc.
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Brokerage

Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
LOREAL CO ADR								
ISIN# US5021172037			<i>Security Identifier: LRLCY</i>					
CUSIP: 502117203								
Dividend Option: Cash								
354.000	03/23/09 *	13.8510	4,903.15	20.9520	7,417.01	2,513.86	131.09	1.76%
LVMH MOET HENNESSY LOUIS VUITTON ADR								
ISIN# US54338V1017			<i>Security Identifier: LVMUY</i>					
CUSIP: 54338V101								
Dividend Option: Cash								
153.000	08/03/09 *	18.5550	2,838.97	28.4030	4,345.66	1,506.69	69.02	1.58%
LONZA GROUP AG ADR								
ISIN# US54338V1017			<i>Security Identifier: LZAGY</i>					
CUSIP: 54338V101								
Dividend Option: Cash								
628.000	08/12/11	8.7000	5,463.74	5.8800	3,692.64	-1,771.10	135.55	3.67%
392 day(s) added to your holding period as a result of a wash sale.								
194.000	08/12/11	6.5390	1,268.58	5.8800	1,140.72	-127.86	41.88	3.67%
822.000	Total		\$6,732.32		\$4,833.36	-\$1,898.96	\$177.43	
MTN GROUP LTD SPONSORED ADR								
ISIN# US54338V1017			<i>Security Identifier: MTNOY</i>					
CUSIP: 62474M108								
Dividend Option: Cash								
422.000	11/20/09 *	15.8780	6,700.52	17.8030	7,512.87	812.35	350.51	4.66%
NESTLE SA SPONSORED ADRS REGISTERED								
ISIN# US54338V1017			<i>Security Identifier: NSRGY</i>					
CUSIP: 641069406								
Dividend Option: Cash								
244.000	03/23/09 *	32.8000	8,003.20	57.7480	14,090.51	6,087.31	431.05	3.05%
NOVARTIS AG SPONSORED ADR								
ISIN# US54338V1017			<i>Security Identifier: NVS</i>					
CUSIP: 66987V109								
Dividend Option: Cash								
75.000	04/13/11 *	55.6350	4,172.63	57.1700	4,287.75	115.12	150.40	3.50%

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
PETROLEO BRASILEIRO SA PETROBRAS								
SPONS ADR NON VTG ISIN#US71654V1017			Security Identifier: PBR A					
CUSIP: 71654V101								
Dividend Option: Cash								
93,000	06/25/09 *	32,4700	3,019.69	23.4900	2,184.57	-835.12	13.95	0.63%
134,000	02/02/11	33,9110	4,544.03	23.4900	3,147.66	-1,396.37	20.10	0.63%
227,000	Total		\$7,563.72		\$5,332.23	-\$2,231.49	\$34.05	
ROCHE HLDGS LTD SPONSORED ADR								
ISIN#US7711951043			Security Identifier: RHHBY					
CUSIP: 771195104								
Dividend Option: Cash								
217,000	03/23/09 *	32,7400	7,104.58	42.5620	9,235.95	2,131.37	245.13	2.65%
SAP AG SPONSORED ADR								
ISIN#US8030542042			Security Identifier: SAP					
CUSIP: 803054204								
Dividend Option: Cash								
195,000	03/23/09 *	37,3090	7,275.35	52.9500	10,325.25	3,049.90	199.97	1.93%
SASOL LTD SPONSORED ADR								
CUSIP: 803866300			Security Identifier: SSL					
Dividend Option: Cash								
84,000	03/23/09 *	30,9850	2,602.75	47.4000	3,981.60	1,378.85	141.83	3.56%
SCHLUMBERGER LTD COM ISIN#AN8068571086								
CUSIP: 806857108			Security Identifier: SLB					
Dividend Option: Cash								
12,000	03/23/09 *	45,4930	545.92	68.3100	819.72	273.80	12.00	1.46%
112,000	07/20/10 *	59,7620	6,893.36	68.3100	7,650.72	957.36	112.00	1.46%
124,000	Total		\$7,239.28		\$8,470.44	\$1,231.16	\$124.00	
SCHNEIDER ELEC SA ADR								
ISIN#US80687P1066			Security Identifier: SBGSY					
CUSIP: 80687P106								
Dividend Option: Cash								
609,000	10/15/10 *	14,4280	8,786.59	10.5620	6,432.26	-2,354.33	209.21	3.25%
SONOVA HLDG AG ADR								
ISIN#US83569C1027			Security Identifier: SONVY					
CUSIP: 83569C102								
Dividend Option: Cash								
161,000	03/25/11	19,7430	3,178.56	21.0140	3,383.25	204.69	41.19	1.21%
157,000	08/11/11	18,6110	2,921.87	21.0140	3,299.20	377.33	40.17	1.21%
161 day(s) added to your holding period as a result of a wash sale.								



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Brokerage

Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
SONOVA HLDG AG ADR (continued)								
2,000	08/11/11	15.8300	31.66	21.0140	42.03	10.37	0.51	1.21%
320,000	Total		\$6,132.09		\$6,724.48	\$592.39	\$81.87	
SWATCH GROUP AG ADR								
ISIN#US8701231065			Security Identifier: SWGAY					
CUSIP: 870123106								
Dividend Option: Cash								
316,000	09/21/10*	18.3150	5,787.63	18.7950	5,939.22	151.59	55.13	0.92%
TAIWAN SEMICONDUCTOR MFG CO SPONSORED								
ADR ISIN#US8740391003			Security Identifier: TSM					
CUSIP: 874039100								
Dividend Option: Cash								
330,000	03/23/09*	9.2040	3,037.31	12.9100	4,260.30	1,222.99	136.82	3.21%
TESCO PLC SPON ADR								
ISIN#US8815753020			Security Identifier: TSCDY					
CUSIP: 881575302								
Dividend Option: Cash								
215,000	03/23/09*	14.1800	3,048.70	18.8100	4,044.15	995.45	142.58	3.52%
97,000	11/04/11	19.7490	1,915.63	18.8100	1,824.57	-91.06	64.33	3.52%
312,000	Total		\$4,964.33		\$5,868.72	\$904.39	\$206.91	
TURKIYE GARANTI BANKASI ADR								
ISIN#US9001487019			Security Identifier: TKG8Y					
CUSIP: 900148701								
Dividend Option: Cash								
559,000	11/23/10*	5.8600	3,275.52	3.1240	1,746.32	-1,529.20	36.72	2.10%
1,304,000	03/09/11	4.7000	6,129.06	3.1240	4,073.69	-2,055.37	85.66	2.10%
1,863,000	Total		\$9,404.58		\$5,820.01	-\$3,584.57	\$122.38	
UNICHARM CORP ADR								
ISIN#US90460M1053			Security Identifier: UNCHY					
CUSIP: 90460M105								
Dividend Option: Cash								
46,000	08/17/10*	40.4180	1,859.22	49.3240	2,268.90	409.68	14.61	



Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
UNICHARM CORP ADR (continued)								
135,000	08/18/10*	40.6400	5,486.41	49.3240	6,658.74	1,172.33	42.88	
181,000	Total		\$7,345.63		\$8,927.64	\$1,582.01	\$57.49	
UNILEVER PLC SPON ADR NEW								
ISIN#US9047677045								
CUSIP: 904767704								
Dividend Option: Cash								
125,000	03/23/09*	18.1600	2,269.96	33.5200	4,190.00	1,920.04	155.05	3.70%
WPP PLC SPON ADR								
ISIN#US93333H101								
CUSIP: 929333H101								
Dividend Option: Cash								
148,000	03/23/09*	29.1810	4,318.83	52.2300	7,730.04	3,411.21	227.64	2.94%
WAL MART DE MEXICO SA DE CV SPONS ADR								
ISIN#US93114W1071								
CUSIP: 93114W107								
Dividend Option: Cash								
280,000	03/23/09*	12.0750	3,381.00	27.4450	7,684.60	4,303.60	92.07	1.19%
Total Common Stocks								
			\$280,638.96		\$326,330.64	\$45,691.68	\$7,064.22	
Preferred Stocks (Listed by expiration date)								
ITAU UNIBANCO HLDG SA NS SPONSORED ADR								
REPTG 500 PFD ISIN#US4655621062								
CUSIP: 465562106								
Dividend Option: Cash								
259,000	05/27/10*	18.4280	4,772.96	18.5600	4,807.04	34.08	22.16	0.46%
Total Preferred Stocks								
			\$4,772.96		\$4,807.04	\$34.08	\$22.16	
Total Equities								
			\$285,411.92		\$331,137.68	\$45,725.76	\$7,086.38	
Total Portfolio Holdings								
			\$300,986.35		\$346,712.11	\$45,725.76	\$7,088.31	

* Uncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L. 110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "uncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.



HSBC Securities (USA) Inc.
452 Fifth Avenue
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Brokerage Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Portfolio Holdings (continued)

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
 - Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
 - Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.
- 3 The cost basis of this security has been provided to us by you or your introducing firm and Pershing makes no representation as to the accuracy of this information.

Disclosures and Other Information

Pricing - This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.



Money Market Fund Detail

Date	Activity Type	Description	Amount	Balance
Sweep Money Market Fund				
HSBC INVESTOR US GOVT CL Y				
Account Number: 0000002221 Current Yield: 0.01% Activity Ending: 12/30/11				
12/01/11	Opening Balance			
12/05/11	Deposit	MONEY FUND PURCHASE	15,185.65	15,185.65
12/08/11	Deposit	MONEY FUND PURCHASE	15.50	15,201.15
12/13/11	Deposit	MONEY FUND PURCHASE	145.43	15,346.58
12/15/11	Deposit	MONEY FUND PURCHASE	1.72	15,348.30
12/19/11	Deposit	MONEY FUND PURCHASE	38.33	15,386.63
12/21/11	Deposit	MONEY FUND PURCHASE	113.09	15,499.72
12/21/11	Deposit	MONEY FUND PURCHASE	30.66	15,530.38
12/27/11	Deposit	MONEY FUND PURCHASE	21.42	15,551.80
12/30/11	Deposit	INCOME REINVEST	0.28	15,552.08
12/30/11	Closing Balance			\$15,552.08
Total All Money Market Funds				\$15,552.08

Activity Summary (All amounts shown are in base currency: USD)

Credits		This Period	Debits		This Period
Additional Transactions			Additional Transactions		
Fees		0.00	Fees		-24.19
Dividends and Interest		450.73	Dividends and Interest		0.00
Taxes Withheld		0.00	Taxes Withheld		-37.76
Total Additional Transactions		\$450.73	Total Additional Transactions		-\$61.95
Total Credits		\$450.73	Total Debits		-\$61.95

Transactions in Date Sequence

Process/ Settlement	Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
	12/02/11	FOREIGN SECURITY DIVIDEND RECEIVED	62 SHRS BUNGE LIMITED SHS ISIN#BMG169621056 RD 11/18 PD 12/02/11				15.50	USD
	12/07/11	FOREIGN SECURITY DIVIDEND RECEIVED	307 SHRS HOYA CORP SPON ADR RD 09/29 PD 12/07/11				118.71	USD
	12/07/11	FEE ON FOREIGN DIVIDEND WITHHELD AT THE SOURCE	307 SHRS HOYA CORP SPON ADR RD 09/29 PD 12/07/11				-7.21	USD
	12/07/11	FOREIGN TAX WITHHELD AT THE SOURCE	307 SHRS HOYA CORP SPON ADR RD 09/29 PD 12/07/11				-8.31	USD





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Statement Period: 12/01/2011 - 12/31/2011

Transactions in Date Sequence (continued)

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
12/07/11	FOREIGN SECURITY DIVIDEND RECEIVED PBR A	227 SHRS PETROLEO BRASILEIRO SA PETROBRAS SPONS ADR NON VTG ISIN#US71654V1017 RD 11/16 PD 12/07/11				49.70	USD
12/07/11	FOREIGN TAX WITHHELD AT THE SOURCE PBR A	227 SHRS PETROLEO BRASILEIRO SA PETROBRAS SPONS ADR NON VTG ISIN#US71654V1017 RD 11/16 PD 12/07/11				-7.46	USD
12/12/11	FOREIGN SECURITY DIVIDEND RECEIVED ITUB	259 SHRS ITAU UNIBANCO HLDG SA NS SPONSORED ADR REPSTG 500 PFD ISIN#US4655621062 RD 11/03 PD 12/12/11				1.72	USD
12/14/11	FOREIGN SECURITY DIVIDEND RECEIVED UL	125 SHRS UNILEVER PLC SPON ADR NEW ISIN#US9047677045 RD 11/11 PD 12/14/11				38.33	USD
12/16/11	FOREIGN SECURITY DIVIDEND RECEIVED CCL	105 SHRS CARNIVAL CORP PAIRED CTF 1 COM CARNIVAL CORP & 1 TR SH BEN INT P&O PRINCESS SPL VTG TR ISIN#PA1436583006 RD 11/25 PD 12/16/11				26.25	USD
12/16/11	FOREIGN SECURITY DIVIDEND RECEIVED FANUY	427 SHRS FANUC CORPORATION ADR ISIN#US3073051027 RD 09/29 PD 12/16/11				104.16	USD
12/16/11	FEE ON FOREIGN DIVIDEND WITHHELD AT THE SOURCE FANUY	427 SHRS FANUC CORPORATION ADR ISIN#US3073051027 RD 09/29 PD 12/16/11				-10.03	USD
12/16/11	FOREIGN TAX WITHHELD AT THE SOURCE FANUY	427 SHRS FANUC CORPORATION ADR ISIN#US3073051027 RD 09/29 PD 12/16/11				-7.29	USD
12/20/11	FOREIGN SECURITY DIVIDEND RECEIVED UNCHY	181 SHRS UNICHARM CORP ADR ISIN#US90460M1053 RD 09/29 PD 12/20/11				37.15	USD
12/20/11	FEE ON FOREIGN DIVIDEND WITHHELD AT THE SOURCE UNCHY	181 SHRS UNICHARM CORP ADR ISIN#US90460M1053 RD 09/29 PD 12/20/11				-3.89	USD
12/20/11	FOREIGN TAX WITHHELD AT THE SOURCE UNCHY	181 SHRS UNICHARM CORP ADR ISIN#US90460M1053 RD 09/29 PD 12/20/11				-2.60	USD
12/23/11	FOREIGN SECURITY DIVIDEND RECEIVED LVMUY	153 SHRS LVMH MOET HENNESSY LOUIS VUITTON ADR RD 11/28 PD 12/23/11				32.64	USD

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Account Number: HMP-010444
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Clearing through Pershing LLC, a subsidiary
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Pershing LLC member FINRA NYSE SIPC

Transactions in Date Sequence (continued)

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
12/23/11	FEE ON FOREIGN DIVIDEND WITHHELD AT THE SOURCE LVMUY	153 SHRS LVMH MOET HENNESSY LOUIS VUITTON ADR RD 11/28 PD 12/23/11				-3.06	USD
12/23/11	FOREIGN TAX WITHHELD AT THE SOURCE LVMUY	153 SHRS LVMH MOET HENNESSY LOUIS VUITTON ADR RD 11/28 PD 12/23/11				-8.16	USD
12/30/11	FOREIGN SECURITY DIVIDEND RECEIVED CNI	83 SHRS CANADIAN NATL RY CO COM ISIN#CA1363751027 RD 12/09 PD 12/30/11				26.29	USD
12/30/11	FOREIGN TAX WITHHELD AT THE SOURCE CNI	83 SHRS CANADIAN NATL RY CO COM ISIN#CA1363751027 RD 12/09 PD 12/30/11				-3.94	USD
12/30/11	MONEY MARKET FUND INCOME RECEIVED 403993488	HSBC INVSTR US GOVT				0.28	USD
Total Value of all Transactions						\$0.00	\$388.78

The price and quantity displayed may have been rounded.

Schedule of Realized Gains and Losses Year-to-Date

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disappearance
Short Term								
04/13/11	04/12/11 *	MTT FI	NOVARTIS AG SPONSORED ADR	NVS	-0.835	46.12	46.12	-0.32
06/14/11	08/10/10 *	SELL FI	LOGITECH INTERNATIONAL SA	LOGI	336.000	5,490.00	4,037.70	-1,452.30
09/02/11	01/25/11	SELL FI	ADMIRAL GROUP ADR	AMIGY	87.000	2,297.73	1,921.79	-375.94
09/02/11	10/15/10 *	SELL FI	ARM HLDGS PLC SPONSORED ADR	ARMH	120.000	2,255.93	3,211.49	955.56
09/02/11	02/01/11	SELL FI	CANADIAN NATL RY CO COM	CNI	45.000	3,102.36	3,277.28	174.92
09/02/11	09/08/10 *	SELL FI	CARNIVAL CORP PAIRED CTF 1 COM CARNIVAL	CCL	54.000	1,857.86	1,677.20	-180.66
09/02/11	05/11/11	SELL FI	JGC CORP ADR	JGCCY	38.000	1,908.04	2,143.15	235.11
09/02/11	04/13/11 *	SELL FI	NOVARTIS AG SPONSORED ADR	NVS	44.000	2,447.94	2,545.35	97.41
09/02/11	03/25/11	SELL FI	SONOVA HLDG AG ADR	SONVY	157.000	3,099.59	2,662.66	-436.93

Brokerage

Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
09/02/11	09/21/10*	SELL FI	SWATCH GROUP AG ADR	SWGAY	170.000	3,113.60	3,758.62	645.02
09/02/11	11/23/10*	SELL FI	TURKIYE GARANTI BANKASI ADR	TKGBY	987.000	5,783.42	3,661.69	-2,121.73
09/13/11	10/15/10*	SELL FI	SCHNEIDER ELEC SA ADR	SBGSY	443.000	6,391.56	4,793.16	-1,598.40
11/07/11	01/25/11	SELL FI	ADMIRAL GROUP ADR	AMIGY	166.000	4,384.18	3,143.16	-1,241.02
11/16/11	01/28/11	SELL FI	ENCANA CORP COM SHS	ECA	79.000	2,525.12	1,562.91	-962.21
Total Short Term						\$44,703.45	\$38,442.28	-\$5,824.56
Long Term								
01/28/11	08/03/09*	SELL FI	LVMH MOET HENNESSY LOUIS VUITTON ADR	LVMUY	259.000	4,805.85	8,105.37	3,299.52
01/31/11	03/23/09*	SELL FI	GAZPROM O A O SPON ADR REG S	OGZPY	255.000	4,271.25	6,737.63	2,466.38
02/03/11	11/26/08*	SELL FI	NOVO NORDISK A.S. ADR FORMERLY NOVO	NVO	12.000	565.06	1,334.26	769.20
02/03/11	01/09/09*	SELL FI	NOVO NORDISK A.S. ADR FORMERLY NOVO	NVO	40.000	2,139.60	4,447.53	2,307.93
02/03/11	03/02/09*	SELL FI	NOVO NORDISK A.S. ADR FORMERLY NOVO	NVO	4.000	186.11	444.75	258.64
03/09/11	03/23/09*	SELL FI	ALLIANZ SE SPONS ADR REPSTG 1/10 SHS	AZSEY	248.000	2,302.80	3,579.61	1,276.81
03/10/11	03/23/09*	SELL FI	WPP PLC SPON ADR	WPPGY	47.000	1,371.52	2,973.20	1,601.68
03/28/11	03/23/09*	SELL FI	TESCO PLC SPON ADR	TSCDY	178.000	2,524.04	3,303.88	779.84
03/29/11	03/23/09*	SELL FI	WAL MART DE MEXICO SA DE CV SPONS ADR	WMWVY	158.000	1,907.85	4,590.77	2,682.92
04/12/11	Please Provide	*****	ALCON INC COM SHS C/A EFF 4/8/11 1 OLD	H01301102	41.000	Please Provide	Please Provide	3,368.93

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallorance
Long Term (continued)								
05/18/11	03/23/09 *	SELL FI	TAIWAN SEMICONDUCTOR MFG CO SPONSORED	TSM	553,000	5,089.80	7,486.59	2,396.79
08/10/11	11/24/08 *	SELL SL	TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	TEVA	48,000	1,953.51	1,861.74	-91.77
08/10/11	11/24/08 *	SELL SL	TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	TEVA	55,000	2,352.79	2,133.24	-219.55
09/01/11	03/23/09 *	SELL FI	WPP PLC SPON ADR	WPPGY	66,000	1,925.97	3,418.73	1,492.76
09/02/11	03/23/09 *	SELL FI	BUNGE LIMITED SHS	BG	34,000	2,011.03	2,159.97	148.94
09/02/11	03/23/09 *	SELL FI	QIAGEN NV SHS	QGEN	152,000	2,437.12	2,263.67	-173.45
09/02/11	03/23/09 *	SELL FI	AIR LIQUIDE ADR ISIN#US0091262024	AIQVY	269,000	4,389.50	6,794.80	2,405.30
09/02/11	03/23/09 *	SELL SL	ALLIANZ SE SPONS ADR REPSTG 1/10 SHS	AZSEY	392,000	3,639.92	3,770.96	131.04
09/02/11	03/23/09 *	SELL FI	AMERICA MOVIL SAB DE C V SPONSORED ADR	AMX	170,000	2,557.05	4,282.70	1,725.65
09/02/11	04/27/10 *	SELL FI	ARM HLDGS PLC SPONSORED ADR	ARMH	110,000	1,297.07	2,943.86	1,646.79
09/02/11	03/23/09 *	SELL FI	ATLAS COPCO AB SPON ADR NEW REPSTG	ATLKY	150,000	1,182.65	3,172.43	1,989.78
09/02/11	03/23/09 *	SELL FI	BG GROUP PLC ADR FINAL INSTALLMENT	BRGYI	43,000	3,458.93	4,548.02	1,089.09
09/02/11	08/24/10 *	SELL FI	CSL LTD ADR	CMXHY	136,000	1,898.31	1,985.56	87.25
09/02/11	03/19/10 *	SELL FI	CANON INC ADR REPSTG 5 SHS	CAJ	102,000	4,635.62	4,730.96	95.34
09/02/11	10/21/09 *	SELL FI	CHINA RES ENTERPRISE LTD SPONSORED ADR	CRHKY	319,000	2,158.61	2,606.17	447.56
09/02/11	07/19/10 *	SELL SL	COCHLEAR LTD ADR	CHEOY	94,000	2,977.05	3,725.14	748.09
09/02/11	06/05/09 *	SELL SL	DBS GROUP HLDGS LTD SPONS ADR	DBSDY	127,000	4,489.40	5,472.32	982.92
09/02/11	03/23/09 *	SELL FI	DASSAULT SYS S A SPONSORED ADR	DASTY	99,000	3,809.29	7,798.08	3,988.79
09/02/11	03/23/09 *	SELL FI	ENCANA CORP COM SHS	ECA	86,000	2,000.31	2,147.37	147.06
09/02/11	05/17/10 *	SELL FI	ERSTE BK GROUP AG SPONS ADR REPSTG 1/2	EBKDY	267,000	5,133.50	4,533.57	-599.93
09/02/11	04/30/09 *	SELL FI	FANUC CORPORATION ADR	FANUY	198,000	2,371.86	5,308.27	2,936.41



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Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Displacement
Long Term (continued)								
09/02/11	02/20/08*	SELL FI	FRESENIUS MED CARE AKTIENGESSELLSCHAFT	FMS	78.000	4,083.54	5,310.13	1,226.59
09/02/11	03/23/09*	SELL FI	GAZPROM O A O SPON ADR REG S	OGZPY	156.000	1,306.50	1,835.92	529.42
09/02/11	03/23/09*	SELL FI	HOYA CORP SPON ADR	HOCYP	165.000	3,472.99	3,547.43	74.44
09/02/11	05/17/10*	SELL FI	ICICI BK LTD ADR ISIN#US45104G1040	IBN	121.000	4,718.18	4,639.40	-78.78
09/02/11	03/23/09*	SELL FI	IMPERIAL OIL LTD COM	IMO	77.000	2,734.54	3,102.27	367.73
09/02/11	05/27/10*	SELL FI	ITAU UNIBANCO HLDG SA NS SPONSORED ADR	ITUB	130.000	2,395.69	2,420.93	25.24
09/02/11	04/02/09*	SELL FI	JUPITER TELECOMMUNICATION CO	JUPY	38.000	1,692.40	2,789.90	1,097.50
09/02/11	02/17/10*	SELL FI	LI & FUNG LTD PLC ADR	LFUGY	1,554.000	7,274.12	5,594.29	0.00
09/02/11	03/23/09*	SELL FI	LOREAL CO ADR	LRLCY	173.000	2,396.17	3,660.60	-1,679.833
09/02/11	08/03/09*	SELL FI	LVMH MOET HENNESSY LOUIS VUITTON ADR	LVMUY	78.000	1,447.32	2,529.49	1,082.17
09/02/11	08/06/10*	SELL FI	LONZA GROUP AG ADR	LZAGY	668.000	5,718.75	4,275.12	0.00
09/02/11	08/12/11	SELL FI	LONZA GROUP AG ADR	LZAGY	40.000	348.01	255.99	-1,443.633
09/02/11	11/20/09*	SELL FI	392 day(s) added to your holding period as a result of a wash sale. MTN GROUP LTD SPONSORED ADR	MTNOY	228.000	3,620.18	4,600.95	-92.02
09/02/11	01/09/09*	SELL FI	NESTLE SA SPONSORED ADRS REGISTERED	NSRGY	85.000	3,085.50	5,368.49	980.77
09/02/11	03/23/09*	SELL FI	NESTLE SA SPONSORED ADRS REGISTERED	NSRGY	34.000	1,115.20	2,147.40	2,282.99
09/02/11	03/02/09*	SELL FI	NOVO NORDISK A.S. ADR FORMERLY NOVO	NVO	20.000	930.56	2,111.35	1,032.20
09/02/11		SELL FI						1,180.79

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
09/02/11	06/25/09*	SELL FI	PETROLEO BRASILEIRO SA PETROBRAS	PBR A	120.000	3,896.38	3,045.78	-850.60
09/02/11	03/23/09*	SELL FI	ROCHE HLDGS LTD SPONSORED ADR	RHHBY	114.000	3,732.36	5,031.86	1,299.50
09/02/11	03/23/09*	SELL FI	SAP AG SPONSORED ADR	SAP	103.000	3,842.88	5,403.57	1,560.69
09/02/11	03/23/09*	SELL FI	SASOL LTD SPONSORED ADR	SSL	50.000	1,549.25	2,295.45	746.20
09/02/11	03/23/09*	SELL FI	SCHLUMBERGER LTD COM ISIN#AN8068571086	SLB	64.000	2,911.57	4,780.06	1,868.49
09/02/11	03/23/09*	SELL FI	TAIWAN SEMICONDUCTOR MFG CO SPONSORED	TSM	178.000	1,638.31	2,080.19	451.88
09/02/11	03/23/09*	SELL FI	TESCO PLC SPON ADR	TSCDY	114.000	1,616.52	2,095.27	478.75
09/02/11	08/17/10*	SELL FI	UNICHARM CORP ADR	UNCHY	99.000	4,001.36	4,532.22	530.86
09/02/11	03/23/09*	SELL FI	UNILEVER PLC SPON ADR NEW	UL	69.000	1,253.02	2,292.82	1,039.80
09/02/11	03/23/09*	SELL FI	WPP PLC SPON ADR	WPPGY	69.000	2,013.51	3,450.62	1,437.11
09/02/11	03/23/09*	SELL FI	WAL MART DE MEXICO SA DE CV SPONS ADR	WMMVY	143.000	1,726.73	3,829.46	2,102.73
10/13/11	03/02/09*	SELL FI	NOVO NORDISK A.S. ADR FORMERLY NOVO	NVO	35.000	1,628.48	3,497.49	1,869.01
11/16/11	03/23/09*	SELL FI	ENCANA CORP COM SHS	ECA	101.000	2,349.21	1,998.14	-351.07
Total Long Term						\$160,342.60	\$217,193.74	\$63,343.53
Total Short Term and Long Term						\$205,046.05	\$255,636.02	\$57,518.97

* Uncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L. 110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "uncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.



HSBC Securities (USA) Inc.
452 Fifth Avenue
New York, NY 10018
800-662-3343

Brokerage Account Statement

Account Number: HMP-010444
Statement Period: 12/01/2011 - 12/31/2011

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	\$353,162.14	\$606,694.35
Cash Deposits	0.00	95.49
Cash Withdrawals	-37.76	-201,626.93
Dividends/Interest	450.73	12,681.11
Fees	-24.19	-8,499.01
Change in Account Value	-6,838.81	-62,632.90
Ending Account Value	\$346,712.11	\$346,712.11
Estimated Annual Income	\$7,088.31	

ALLEN I GROSS CHARITABLE
FOUNDATION
50 BROADWAY
NEW YORK NY 10004 - 1607



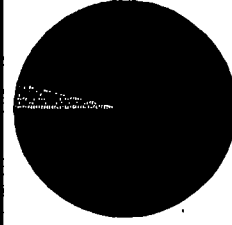
Your Financial Advisor Is:
JOEL BASCIANI
(212) 267-9495

Asset Allocation

Cash, Money Funds, and FDIC Deposits
Equities
Account Total (Pie Chart)

This Period % Allocation

15,574.43 4%
331,137.68 96%
\$346,712.11 100%



Asset Allocation percentages are rounded to the nearest whole percentage.
Pie Chart allocation excludes all asset classes which net to a liability.



Summary of Gains and Losses

	This Period	Year-to-Date	Unrealized
Short-Term Gain/Loss	0.00	-5,824.56	-7,660.43
Long-Term Gain/Loss	0.00	63,343.53	53,386.19
Net Gain/Loss	0.00	57,518.97	45,725.76

This summary excludes transactions where cost basis information is not available.

This summary excludes transactions where cost basis information is not available.

Client Service Information

Your Financial Advisor Is:	Contact Information	Client Service Information
JOEL BASCIANI 120 BROADWAY NEW YORK NY 10271-	Telephone Number: (212) 267-9495	Client Service Telephone Number: (800) 662-3343

Investment Objective: GROWTH

Risk Exposure: MODERATE RISK

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT

Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Advisor.

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 4.00% of Portfolio									
Cash Balance				0.00	22.35				
Money Market									
HSBC INVESTOR US GOVT CL Y	12/01/11	0000002221	12/30/11	15,185.65	15,552.08	0.00	1.93	0.01%	0.01%
15,552.080				\$15,185.65	\$15,552.08	\$0.00	\$1.93		
Total Money Market				\$15,185.65	\$15,574.43	\$0.00	\$1.93		
Total Cash, Money Funds, and FDIC Deposits									

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 96.00% of Portfolio								
Common Stocks								
BUNGE LIMITED SHS								
ISIN#BMG169621056								
CUSIP: G16962105								
Dividend Option: Cash								
62.000	03/23/09*	59.1480	3,667.18	57.2000	3,546.40	-120.78	62.00	1.74%

Security Identifier: BG



HSBC Securities (USA) Inc.
452 Fifth Avenue
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800-662-3343

Brokerage

Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
QIAGEN NV SHS								
ISIN#NL0000240000			Security Identifier: QGEN					
CUSIP: N72482107								
Dividend Option: Cash								
284.000	03/23/09 *	16.0340	4,553.57	13.8237	3,925.93	-627.64		
AIR LIQUIDE ADR ISIN#US0091262024								
CUSIP: 009126202			Security Identifier: AIQUY					
Dividend Option: Cash								
555.000	03/23/09 *	16.3180	39,056.40	24.8180	13,773.99	4,717.59	260.08	1.88%
ALLIANZ SE SPONS ADR REPSTG 1/10 SHS								
CUSIP: 018805101			Security Identifier: AZSEY					
Dividend Option: Cash								
151.000	03/23/09 *	9.2850	1,402.11	9.5950	1,448.85	46.74	72.95	5.03%
610.000	07/20/10 *	10.9750	6,694.99	9.5950	5,852.95	-842.04	294.72	5.03%
761.000	Total		\$8,097.10		\$7,301.80	-\$795.30	\$367.67	
AMERICA MOVIL SAB DE C V SPONSORED ADR								
REPSTG SER L SHS ISIN#US02364W1053			Security Identifier: AMX					
CUSIP: 02364W105								
Dividend Option: Cash								
314.000	03/23/09 *	15.0410	4,723.01	22.6000	7,096.40	2,373.39	89.71	1.26%
ARM HLDGS PLC SPONSORED ADR								
CUSIP: 042068106			Security Identifier: ARMH					
Dividend Option: Cash								
435.000	10/15/10 *	18.7990	8,177.74	27.6700	12,036.45	3,858.71	56.73	0.47%
ATLAS COPCO AB SPON ADR NEW REPSTG								
ISIN#US0492557063 COM SER A			Security Identifier: ATLYK					
CUSIP: 049255706								
Dividend Option: Cash								
275.000	03/23/09 *	7.8840	2,168.18	21.5890	5,936.98	3,768.80	124.25	2.09%



Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
BG GROUP PLC ADR FINAL INSTALLMENT NEW								
CUSIP: 055434203			Security Identifier: BRGY					
Dividend Option: Cash								
80.000	03/23/09 *	80.4400	6,435.23	106.9610	8,556.88	2,121.65	90.32	1.05%
CSL LTD ADR								
ISIN#US12637N1054			Security Identifier: CMXHY					
CUSIP: 12637N105								
Dividend Option: Cash								
262.000	08/24/10 *	13.9580	3,657.05	16.4030	4,297.59	640.54	97.37	2.26%
CANADIAN NATL RY CO COM								
ISIN#CA1363751027			Security Identifier: CNI					
CUSIP: 136375102								
Dividend Option: Cash								
43.000	02/01/11	68.9410	2,964.47	78.5600	3,378.08	413.61	54.92	
40.000	03/09/11	74.6070	2,984.26	78.5600	3,142.40	158.14	51.08	
83.000	Total		\$5,948.73		\$6,520.48	\$571.75	\$106.00	
CANON INC ADR REPSTG 5 SHS								
CUSIP: 138006309			Security Identifier: CAI					
Dividend Option: Cash								
183.000	03/19/10 *	45.4470	8,316.86	44.0400	8,059.32	-257.54	264.82	3.28%
CARNIVAL CORP PAIRED CTF 1 COM CARNIVAL CORP & 1 TR SH BEN INT P&O PRINCESS								
SPL VTG TR ISIN#PA1436583006			Security Identifier: CCL					
CUSIP: 143658300								
Dividend Option: Cash								
105.000	09/08/10 *	34.4050	3,612.50	32.6400	3,427.20	-185.30	105.00	3.06%
CHINA RES ENTERPRISE LTD SPONSORED ADR								
ISIN#US16940R1095			Security Identifier: CRHKY					
CUSIP: 16940R109								
Dividend Option: Cash								
502.000	10/21/09 *	6.7670	3,396.93	6.8630	3,445.23	48.30	60.23	1.74%
COCHLEAR LTD ADR								
ISIN#US1914592050			Security Identifier: CHEOY					
CUSIP: 191459205								
Dividend Option: Cash								
158.000	07/19/10 *	31.6710	5,003.99	31.7810	5,021.40	17.41	158.09	3.14%



HSBC Securities (USA) Inc.
452 Fifth Avenue
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Brokerage

Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
DBS GROUP HLDGS LTD SPONS ADR								
CUSIP: 23304Y100			Security Identifier: DBSDY					
Dividend Option: Cash								
43,000	06/05/09*	35.3500	1,520.03	35.5380	1,528.13	8.10	74.02	4.84%
105,000	07/01/10*	39.3000	4,126.50	35.5380	3,731.49	-395.01	180.74	4.84%
84,000	07/20/11	49.3260	4,143.40	35.5380	2,985.20	-1,158.20	144.59	4.84%
232,000	Total		\$9,789.93		\$8,244.82	-\$1,545.11	\$399.35	
DASSAULT SYS S A SPONSORED ADR								
ISIN# US2375451083			Security Identifier: DASTY					
CUSIP: 237545108								
Dividend Option: Cash								
185,000	03/23/09*	38.4780	7,118.38	80.3940	14,872.89	7,754.51	105.72	0.71%
ERSTE BK GROUP AG SPONS ADR REPSTG 1/2								
SH ISIN# US2960363040			Security Identifier: EBKDY					
CUSIP: 296036304								
Dividend Option: Cash								
491,000	05/17/10*	19.2270	9,440.27	8.8180	4,329.64	-5,110.63	170.72	3.94%
FANUC CORPORATION ADR								
ISIN# US3073051027			Security Identifier: FANUY					
CUSIP: 307305102								
Dividend Option: Cash								
427,000	04/30/09*	11.9790	5,115.06	25.5180	10,896.19	5,781.13	158.80	1.45%
FRESENIUS MED CARE AKTIENGESellschaft								
SPONSORED ADR REPSTG SHS			Security Identifier: FMS					
ISIN# US3580291066								
CUSIP: 358029106								
Dividend Option: Cash								
11,000	02/20/08*	52.3530	3575.88	67.9800	747.78	171.90	7.18	0.95%
79,000	01/09/09*	44.5900	3,522.61	67.9800	5,370.42	1,847.81	51.55	0.95%
60,000	05/20/11	71.8250	4,309.49	67.9800	4,078.80	-230.69	39.15	0.95%
150,000	Total		\$8,407.98		\$10,197.00	\$1,789.02	\$97.88	

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
GAZPROM O A O SPON ADR REG S								
RESTRICTION LIFTED ISIN#US3682872078								
CUSIP: 368287207								
282.000	03/23/09 *	8.3750	2,361.75	10.6650	3,007.53	645.78	58.04	1.93%
HOYA CORP SPON ADR								
CUSIP: 443251103								
Dividend Option: Cash								
307.000	03/23/09 *	21.0480	6,461.86	21.5490	6,615.54	153.68	219.66	3.32%
ICICI BK LTD ADR ISIN#US45104G1040								
CUSIP: 45104G104								
Dividend Option: Cash								
224.000	05/11/10 *	38.9930	8,734.48	26.4300	5,920.32	-2,814.16	139.08	2.34%
IMPERIAL OIL LTD COM								
CUSIP: 453038408								
Dividend Option: Cash								
149.000	03/23/09 *	35.5130	5,291.51	44.4800	6,627.52	1,336.01	65.27	
JGC CORP ADR								
ISIN#US4661401004								
CUSIP: 466140100								
Dividend Option: Cash								
83.000	05/11/11	50.2110	4,167.55	48.0370	3,987.07	-180.48	52.99	1.32%
JUPITER TELECOMMUNICATION CO								
LTD ADR ISIN#US48206MT027								
CUSIP: 48206M102								
Dividend Option: Cash								
69.000	04/02/09 *	44.5370	3,073.05	67.5850	4,663.37	1,590.32	89.96	1.92%
LI & FUNG LTD PLC ADR								
ISIN#US5018971029								
CUSIP: 501897102								
Dividend Option: Cash								
774.000	02/17/10 *	4.6810	3,623.01	3.7030	2,866.12	-756.89	71.68	2.50%
1,554.000	09/01/11	4.9030	7,619.06	3.7030	5,754.46	-1,864.60	143.92	2.50%
562 day(s) added to your holding period as a result of a wash sale.								
538.000	09/01/11	3.8220	2,056.18	3.7030	1,992.22	-63.96	49.83	2.50%
2,866.000	Total		\$13,298.25		\$10,612.80	-\$2,685.45	\$265.43	



HSBC Securities (USA) Inc
452 Fifth Avenue
New York, NY 10018
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Brokerage

Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
LOREAL CO ADR								
ISIN#US5021172037			<i>Security Identifier: LRLCY</i>					
CUSIP: 502117203								
Dividend Option: Cash								
354.000	03/23/09 *	13.8510	4,903.15	20.9520	7,417.01	2,513.86	131.09	1.76%
LVMH MOET HENNESSY LOUIS VUITTON ADR								
ISIN#US5021172037			<i>Security Identifier: LVMUY</i>					
CUSIP: 502441306								
Dividend Option: Cash								
153.000	08/03/09 *	18.5550	2,838.97	28.4030	4,345.66	1,506.69	69.02	1.58%
LONZA GROUP AG ADR								
ISIN#US54338V1017			<i>Security Identifier: LZAGY</i>					
CUSIP: 54338V101								
Dividend Option: Cash								
628.000	08/12/11	8.7000	5,463.74	5.8800	3,692.64	-1,771.10	135.55	3.67%
392 day(s) added to your holding period as a result of a wash sale.								
194.000	08/12/11	6.5390	1,268.58	5.8800	1,140.72	-127.86	41.88	3.67%
822.000	Total		\$6,732.32		\$4,833.36	-\$1,898.96	\$177.43	
MTN GROUP LTD SPONSORED ADR								
ISIN#US54338V1017			<i>Security Identifier: MTNOY</i>					
CUSIP: 62474MT08								
Dividend Option: Cash								
422.000	11/20/09 *	15.8780	6,700.52	17.8030	7,512.87	812.35	350.51	4.66%
NESTLE SA SPONSORED ADRS REGISTERED								
ISIN#US54338V1017			<i>Security Identifier: NSRGY</i>					
CUSIP: 641069406								
Dividend Option: Cash								
244.000	03/23/09 *	32.8000	8,003.20	57.7480	14,090.51	6,087.31	431.05	3.05%
NOVARTIS AG SPONSORED ADR								
ISIN#US54338V1017			<i>Security Identifier: NVS</i>					
CUSIP: 66987V109								
Dividend Option: Cash								
75.000	04/13/11 *	55.6350	4,172.63	57.1700	4,287.75	115.12	150.40	3.50%

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
PETROLEO BRASILEIRO SA PETROBRAS								
SPONS ADR NON VTG ISIN#US71654V1017			Security Identifier: PBR A					
CUSIP: 71654V101								
Dividend Option: Cash								
93,000	06/25/09*	32.4700	3,019.69	23.4900	2,184.57	-835.12	13.95	0.63%
134,000	02/02/11	33.9110	4,544.03	23.4900	3,147.66	-1,396.37	20.10	0.63%
227,000	Total		\$7,563.72		\$5,332.23	-\$2,231.49	\$34.05	
ROCHE HLDGS LTD SPONSORED ADR								
ISIN#US7711951043			Security Identifier: RHHBY					
CUSIP: 771195104								
Dividend Option: Cash								
217,000	03/23/09*	32.7400	7,104.58	42.5620	9,235.95	2,131.37	245.13	2.65%
SAP AG SPONSORED ADR								
ISIN#US8030542042			Security Identifier: SAP					
CUSIP: 803054204								
Dividend Option: Cash								
195,000	03/23/09*	37.3090	7,275.35	52.9500	10,325.25	3,049.90	199.97	1.93%
SASOL LTD SPONSORED ADR								
ISIN#US8030542042			Security Identifier: SSL					
CUSIP: 803054204								
Dividend Option: Cash								
84,000	03/23/09*	30.9850	2,602.75	47.4000	3,981.60	1,378.85	141.83	3.56%
SCHLUMBERGER LTD COM ISIN#AN8068571086								
ISIN#AN8068571086			Security Identifier: SLB					
CUSIP: 806857108								
Dividend Option: Cash								
12,000	03/23/09*	45.4930	545.92	68.3100	819.72	273.80	12.00	1.46%
112,000	07/20/10*	59.7620	6,693.36	68.3100	7,650.72	957.36	112.00	1.46%
124,000	Total		\$7,239.28		\$8,470.44	\$1,231.16	\$124.00	
SCHNEIDER ELEC SA ADR								
ISIN#US80687P1066			Security Identifier: SBGSY					
CUSIP: 80687P106								
Dividend Option: Cash								
609,000	10/15/10*	14.4280	8,786.59	10.5620	6,432.26	-2,354.33	209.21	3.25%
SONOVA HLDG AG ADR								
ISIN#US83569C1027			Security Identifier: SONVY					
CUSIP: 83569C102								
Dividend Option: Cash								
161,000	03/25/11	19.7430	3,178.56	21.0140	3,383.25	204.69	41.19	1.21%
157,000	08/11/11	18.6110	2,921.87	21.0140	3,299.20	377.33	40.17	1.21%
161 day(s) added to your holding period as a result of a wash sale.								





HSBC Securities (USA) Inc.
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Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
SONOVA HLDG AG ADR (continued)								
2,000	08/11/11	15.8300	31.66	21.0140	42.03	10.37	0.51	1.21%
320,000	Total		\$6,132.09		\$6,724.48	\$592.39	\$81.87	
SWATCH GROUP AG ADR								
ISIN# US8701231065			Security Identifier: SWGAY					
CUSIP: 870123106								
Dividend Option: Cash								
316,000	09/21/10	18.3150	5,787.63	18.7950	5,939.22	151.59	55.13	0.92%
TAIWAN SEMICONDUCTOR MFG CO SPONSORED								
ADR ISIN# US8740391003			Security Identifier: TSM					
CUSIP: 874039100								
Dividend Option: Cash								
330,000	03/23/09	9.2040	3,037.31	12.9100	4,260.30	1,222.99	136.82	3.21%
TESCO PLC SPON ADR								
ISIN# US8815753020			Security Identifier: TSCDY					
CUSIP: 881575302								
Dividend Option: Cash								
215,000	03/23/09	14.1800	3,048.70	18.8100	4,044.15	995.45	142.58	3.52%
97,000	11/04/11	19.7490	1,915.63	18.8100	1,824.57	-91.06	64.33	3.52%
312,000	Total		\$4,964.33		\$5,868.72	\$904.39	\$206.91	
TURKIYE GARANTI BANKASI ADR								
ISIN# US9001487019			Security Identifier: TKGBY					
CUSIP: 900148701								
Dividend Option: Cash								
559,000	11/23/10	5.8600	3,275.52	3.1240	1,746.32	-1,529.20	36.72	2.10%
1,304,000	03/09/11	4.7000	6,129.06	3.1240	4,073.69	-2,055.37	85.66	2.10%
1,863,000	Total		\$9,404.58		\$5,820.01	-\$3,584.57	\$122.38	
UNICHARM CORP ADR								
ISIN# US90460M1053			Security Identifier: UNCHY					
CUSIP: 90460M105								
Dividend Option: Cash								
46,000	08/17/10	40.4180	1,859.22	49.3240	2,268.90	409.68	14.61	

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Clearing through Pershing LLC, a subsidiary
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Pershing LLC member firm, F.A. NYSE SIF

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
UNICHARM CORP ADR (continued)								
135.000	08/18/10 *	40.6400	5,486.41	49.3240	6,658.74	1,172.33	42.88	
181.000	Total		\$7,345.63		\$8,927.64	\$1,582.01	\$57.49	
UNILEVER PLC SPON ADR NEW								
ISIN#US9047677045								
CUSIP: 904767704								
Dividend Option: Cash								
125.000	03/23/09 *	18.1600	2,269.96	33.5200	4,190.00	1,920.04	155.05	3.70%
WPP PLC SPON ADR								
CUSIP: 92933H101								
Dividend Option: Cash								
148.000	03/23/09 *	29.1810	4,318.83	52.2300	7,730.04	3,411.21	227.64	2.94%
WAL MART DE MEXICO SA DE CV SPONS ADR								
ISIN#US93114W1071 REPSTG SER V SHS								
CUSIP: 93114W107								
Dividend Option: Cash								
280.000	03/23/09 *	12.0750	3,381.00	27.4450	7,684.50	4,303.50	92.07	1.19%
Total Common Stocks								
			\$280,638.96		\$326,330.64	\$45,691.68	\$7,064.22	
Preferred Stocks (Listed by expiration date)								
ITAU UNIBANCO HLDG SA NS SPONSORED ADR								
REPSTG 500 PFD ISIN#US4655621062								
CUSIP: 465562106								
Dividend Option: Cash								
259.000	05/27/10 *	18.4280	4,772.96	18.5600	4,807.04	34.08	22.16	0.46%
Total Preferred Stocks								
			\$4,772.96		\$4,807.04	\$34.08	\$22.16	
Total Equities								
			\$285,411.92		\$331,137.68	\$45,725.76	\$7,086.38	
Total Portfolio Holdings								
			\$300,986.35		\$346,712.11	\$45,725.76	\$7,088.31	

* Uncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L. 110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "uncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Brokerage Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Portfolio Holdings (continued)

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
 - Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
 - Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.
- 3 The cost basis of this security has been provided to us by you or your introducing firm and Pershing makes no representation as to the accuracy of this information.

Disclosures and Other Information

Pricing - This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a price is not available are marked "N/A" and are omitted from the Total. The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Money Market Fund Detail

Date	Activity Type	Description	Amount	Balance
Sweep Money Market Fund				
HSBC INVESTOR US GOVT CL Y				
Account Number: 0000002221 Current Yield: 0.01% Activity Ending: 12/30/11				
12/01/11	Opening Balance			
12/05/11	Deposit	MONEY FUND PURCHASE	15,185.65	15,185.65
12/08/11	Deposit	MONEY FUND PURCHASE	15.50	15,201.15
12/13/11	Deposit	MONEY FUND PURCHASE	145.43	15,346.58
12/15/11	Deposit	MONEY FUND PURCHASE	1.72	15,348.30
12/19/11	Deposit	MONEY FUND PURCHASE	38.33	15,386.63
12/21/11	Deposit	MONEY FUND PURCHASE	113.09	15,499.72
12/27/11	Deposit	MONEY FUND PURCHASE	30.66	15,530.38
12/30/11	Deposit	MONEY FUND PURCHASE	21.42	15,551.80
12/30/11	Closing Balance	INCOME REINVEST	0.28	15,552.08
Total All Money Market Funds				\$15,552.08

Activity Summary (All amounts shown are in base currency: USD)

Credits		This Period	Debits		This Period
Additional Transactions			Additional Transactions		
Fees		0.00	Fees		-24.19
Dividends and Interest		450.73	Dividends and Interest		0.00
Taxes Withheld		0.00	Taxes Withheld		-37.76
Total Additional Transactions		\$450.73	Total Additional Transactions		-\$61.95
Total Credits		\$450.73	Total Debits		-\$61.95

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
12/02/11	FOREIGN SECURITY DIVIDEND RECEIVED	62 SHRS BUNGE LIMITED SHS ISIN#BMG169621056 RD 11/18 PD 12/02/11				15.50	USD
12/07/11	FOREIGN SECURITY DIVIDEND BG RECEIVED	307 SHRS HOYA CORP SPON ADR RD 09/29 PD 12/07/11				118.71	USD
12/07/11	FEE ON FOREIGN DIVIDEND WITHHELD AT THE SOURCE HOC PY	307 SHRS HOYA CORP SPON ADR RD 09/29 PD 12/07/11				-7.21	USD
12/07/11	FOREIGN TAX WITHHELD AT THE SOURCE HOC PY	307 SHRS HOYA CORP SPON ADR RD 09/29 PD 12/07/11				-8.31	USD



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Brokerage Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Transactions in Date Sequence (continued)

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
12/07/11	FOREIGN SECURITY DIVIDEND RECEIVED	227 SHRS PETROLEO BRASILEIRO SA PETROBRAS SPONS ADR NON VTG ISIN#US71654V1017 RD 11/16 PD				49.70	USD
	PBR A	12/07/11					
12/07/11	FOREIGN TAX WITHHELD AT THE SOURCE	227 SHRS PETROLEO BRASILEIRO SA PETROBRAS SPONS ADR NON VTG ISIN#US71654V1017 RD 11/16 PD				-7.46	USD
	PBR A	12/07/11					
12/12/11	FOREIGN SECURITY DIVIDEND RECEIVED	259 SHRS ITAU UNIBANCO HLDG SA NS SPONSORED ADR REPSTG 500 PFD ISIN#US4655621062 RD 11/03 PD				1.72	USD
	ITUB	12/12/11					
12/14/11	FOREIGN SECURITY DIVIDEND RECEIVED	125 SHRS UNILEVER PLC SPON ADR NEW ISIN#US9047677045 RD 11/11 PD 12/14/11				38.33	USD
	UL						
12/16/11	FOREIGN SECURITY DIVIDEND RECEIVED	105 SHRS CARNIVAL CORP PAIRED CTF 1 COM CARNIVAL CORP & 1 TR SH BEN INT P&O PRINCESS SPL VTG TR				26.25	USD
	CCL	ISIN#PA1436583006 RD 11/25 PD 12/16/11					
12/16/11	FOREIGN SECURITY DIVIDEND RECEIVED	427 SHRS FANUC CORPORATION ADR ISIN#US3073051027 RD 09/29 PD 12/16/11				104.16	USD
	FANUY						
12/16/11	FEE ON FOREIGN DIVIDEND WITHHELD AT THE SOURCE	427 SHRS FANUC CORPORATION ADR ISIN#US3073051027 RD 09/29 PD 12/16/11				-10.03	USD
	FANUY						
12/16/11	FOREIGN TAX WITHHELD AT THE SOURCE	427 SHRS FANUC CORPORATION ADR ISIN#US3073051027 RD 09/29 PD 12/16/11				-7.29	USD
	FANUY						
12/20/11	FOREIGN SECURITY DIVIDEND RECEIVED	181 SHRS UNICHARM CORP ADR ISIN#US90460M1053 RD 09/29 PD 12/20/11				37.15	USD
	UNCHY						
12/20/11	FEE ON FOREIGN DIVIDEND WITHHELD AT THE SOURCE	181 SHRS UNICHARM CORP ADR ISIN#US90460M1053 RD 09/29 PD 12/20/11				-3.89	USD
	UNCHY						
12/20/11	FOREIGN TAX WITHHELD AT THE SOURCE	181 SHRS UNICHARM CORP ADR ISIN#US90460M1053 RD 09/29 PD 12/20/11				-2.60	USD
	UNCHY						
12/23/11	FOREIGN SECURITY DIVIDEND RECEIVED	153 SHRS LVMH MOET HENNESSY LOUIS VUITTON ADR RD 11/28 PD 12/23/11				32.64	USD
	LVMUY						

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2009, 2010
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Clearing through Pershing LLC, a subsidiary
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Pershing LLC, member FINRA, NYSE SIPC

Transactions in Date Sequence (continued)

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Ccy
12/23/11	FEE ON FOREIGN DIVIDEND WITHHELD AT THE SOURCE	153 SHRS LVMH MOET HENNESSY LOUIS VUITTON ADR RD 11/28 PD 12/23/11				-3.06	USD
12/23/11	LVMUY FOREIGN TAX WITHHELD AT THE SOURCE	153 SHRS LVMH MOET HENNESSY LOUIS VUITTON ADR RD 11/28 PD 12/23/11				-8.16	USD
12/30/11	LVMUY FOREIGN SECURITY DIVIDEND RECEIVED	83 SHRS CANADIAN NATL RY CO COM ISIN#CA1363751027 RD 12/09 PD 12/30/11				26.29	USD
12/30/11	CNI FOREIGN TAX WITHHELD AT THE SOURCE	83 SHRS CANADIAN NATL RY CO COM ISIN#CA1363751027 RD 12/09 PD 12/30/11				-3.94	USD
12/30/11	CNI MONEY MARKET FUND INCOME RECEIVED	HSBC INVSTR US GOVT 403993488				0.28	USD
Total Value of all Transactions						\$0.00	\$388.78

The price and quantity displayed may have been rounded.

Schedule of Realized Gains and Losses Year-to-Date

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term								
04/13/11	04/12/11 *	MTT FI	NOVARTIS AG SPONSORED ADR	NVS	-0.835	46.12	46.12	-0.32
06/14/11	08/10/10 *	SELL FI	LOGITECH INTERNATIONAL SA	LOGI	336.000	5,490.00	4,037.70	-1,452.30
09/02/11	01/25/11	SELL FI	ADMIRAL GROUP ADR	AMIGY	87.000	2,297.73	1,921.79	-375.94
09/02/11	10/15/10 *	SELL FI	ARM HLDGS PLC SPONSORED ADR	ARMH	120.000	2,255.93	3,211.49	955.56
09/02/11	02/01/11	SELL FI	CANADIAN NATL RY CO COM	CNI	45.000	3,102.36	3,277.28	174.92
09/02/11	09/08/10 *	SELL FI	CARNIVAL CORP PAIRED CTF 1 COM CARNIVAL	CCL	54.000	1,857.86	1,677.20	-180.66
09/02/11	05/11/11	SELL FI	JGC CORP ADR	JGCCY	38.000	1,908.04	2,143.15	235.11
09/02/11	04/13/11 *	SELL FI	NOVARTIS AG SPONSORED ADR	NVS	44.000	2,447.94	2,545.35	97.41
09/02/11	03/25/11	SELL FI	SONOVA HLDG AG ADR	SONVY	157.000	3,099.59	2,662.66	0.00
								-436.933

Brokerage

Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
09/02/11	09/21/10*	SELL FI	SWATCH GROUP AG ADR	SWGAY	170.000	3,113.60	3,758.62	645.02
09/02/11	11/23/10*	SELL FI	TURKIYE GARANTI BANKASI ADR	TKGBY	987.000	5,783.42	3,661.69	-2,121.73
09/13/11	10/15/10*	SELL FI	SCHNEIDER ELEC SA ADR	SBCSY	443.000	6,391.56	4,793.16	-1,598.40
11/07/11	01/25/11	SELL FI	ADMIRAL GROUP ADR	AMIGY	166.000	4,384.18	3,143.16	-1,241.02
11/16/11	01/28/11	SELL FI	ENCANA CORP COM SHS	ECA	79.000	2,525.12	1,562.91	-962.21
Total Short Term						\$44,703.45	\$38,442.28	-\$5,824.56
Long Term								
01/28/11	08/03/09*	SELL FI	LVMH MOET HENNESSY LOUIS VUITTON ADR	LVMUY	259.000	4,805.85	8,105.37	3,299.52
01/31/11	03/23/09*	SELL FI	GAZPROM O A O SPON ADR REG S	OGZPY	255.000	4,271.25	6,737.63	2,466.38
02/03/11	11/26/08*	SELL FI	NOVO NORDISK A.S. ADR FORMERLY NOVO	NVO	12.000	565.06	1,334.26	769.20
02/03/11	01/09/09*	SELL FI	NOVO NORDISK A.S. ADR FORMERLY NOVO	NVO	40.000	2,139.60	4,447.53	2,307.93
02/03/11	03/02/09*	SELL FI	NOVO NORDISK A.S. ADR FORMERLY NOVO	NVO	4.000	186.11	444.75	258.64
03/09/11	03/23/09*	SELL FI	ALLIANZ SE SPONS ADR REPSTG 1/10 SHS	AZSEY	248.000	2,302.80	3,579.61	1,276.81
03/10/11	03/23/09*	SELL FI	WPP PLC SPON ADR	WPPGY	47.000	1,371.52	2,973.20	1,601.68
03/28/11	03/23/09*	SELL FI	TESCO PLC SPON ADR	TSCDY	178.000	2,524.04	3,303.88	779.84
03/29/11	03/23/09*	SELL FI	WAL MART DE MEXICO SA DE CV SPONS ADR	WMMVY	158.000	1,907.85	4,590.77	2,682.92
04/12/11	Please Provide	*****	ALCON INC COM SHS C/A EFF 4/8/11 1 OLD	H01301102	41.000	Please Provide	Please Provide	3,368.93

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
05/18/11	03/23/09 *	SELL FI	TAIWAN SEMICONDUCTOR MFG CO SPONSORED	TSM	553,000	5,089.80	7,486.59	2,396.79
08/10/11	11/24/08 *	SELL SL	TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	TEVA	48,000	1,953.51	1,861.74	-91.77
08/10/11	11/24/08 *	SELL SL	TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	TEVA	55,000	2,352.79	2,133.24	-219.55
09/01/11	03/23/09 *	SELL FI	WPP PLC SPON ADR	WPPGY	66,000	1,925.97	3,418.73	1,492.76
09/02/11	03/23/09 *	SELL FI	BUNGE LIMITED SHS	BG	34,000	2,011.03	2,159.97	148.94
09/02/11	03/23/09 *	SELL FI	QIAGEN NV SHS	QGEN	152,000	2,437.12	2,263.67	-173.45
09/02/11	03/23/09 *	SELL FI	AIR LIQUIDE ADR ISIN#US0091262024	AIQY	269,000	4,389.50	6,794.80	2,405.30
09/02/11	03/23/09 *	SELL SL	ALLIANZ SE SPONS ADR REPSTG 1/10 SHS	AZSEY	392,000	3,639.92	3,770.96	131.04
09/02/11	03/23/09 *	SELL FI	AMERICA MOVIL SAB DE C V SPONSORED ADR	AMX	170,000	2,557.05	4,282.70	1,725.65
09/02/11	04/27/10 *	SELL FI	ARM HLDGS PLC SPONSORED ADR	ARMH	110,000	1,297.07	2,943.86	1,646.79
09/02/11	03/23/09 *	SELL FI	ATLAS COPCO AB SPON ADR NEW REPSTG	ATLY	150,000	1,182.65	3,172.43	1,989.78
09/02/11	03/23/09 *	SELL FI	BG GROUP PLC ADR FINAL INSTALLMENT	BRGY	43,000	3,458.93	4,548.02	1,089.09
09/02/11	08/24/10 *	SELL FI	CSL LTD ADR	CMXHY	136,000	1,898.31	1,985.56	87.25
09/02/11	03/19/10 *	SELL FI	CANON INC ADR REPSTG 5 SHS	CAJ	102,000	4,635.62	4,730.96	95.34
09/02/11	10/21/09 *	SELL FI	CHINA RES ENTERPRISE LTD SPONSORED ADR	CRHKY	319,000	2,158.61	2,606.17	447.56
09/02/11	07/19/10 *	SELL SL	COCHLEAR LTD ADR	CHEOY	94,000	2,977.05	3,725.14	748.09
09/02/11	06/05/09 *	SELL SL	DBS GROUP HLDGS LTD SPONS ADR	DBSDY	127,000	4,489.40	5,472.32	982.92
09/02/11	03/23/09 *	SELL FI	DASSAULT SYS S A SPONSORED ADR	DASTY	99,000	3,809.29	7,798.08	3,988.79
09/02/11	03/23/09 *	SELL FI	ENCANA CORP COM SHS	ECA	86,000	2,000.31	2,147.37	147.06
09/02/11	05/17/10 *	SELL FI	ERSTE BK GROUP AG SPONS ADR REPSTG 1/2	EBKDY	267,000	5,133.50	4,533.57	-599.93
09/02/11	04/30/09 *	SELL FI	FANUC CORPORATION ADR	FANUY	198,000	2,371.86	5,308.27	2,936.41



HSBC Securities (USA) Inc.
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Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
09/02/11	02/20/08 *	SELL FI	FRESENIUS MED CARE AKTIENGESellschaft	FMS	78.000	4,083.54	5,310.13	1,226.59
09/02/11	03/23/09 *	SELL FI	GAZPROM O A O SPON ADR REG S	OGZPY	156.000	1,306.50	1,835.92	529.42
09/02/11	03/23/09 *	SELL FI	HOYA CORP SPON ADR	HOCY	165.000	3,472.99	3,547.43	74.44
09/02/11	05/17/10 *	SELL FI	ICICI BK LTD ADR ISIN#US45104G1040	IBN	121.000	4,718.18	4,639.40	-78.78
09/02/11	03/23/09 *	SELL FI	IMPERIAL OIL LTD COM	IMO	77.000	2,734.54	3,102.27	367.73
09/02/11	05/27/10 *	SELL FI	ITALI UNIBANCO HLDG SA NS SPONSORED ADR	ITUB	130.000	2,395.69	2,420.93	25.24
09/02/11	04/02/09 *	SELL FI	JUPITER TELECOMMUNICATION CO	JUPY	38.000	1,692.40	2,789.90	1,097.50
09/02/11	02/17/10 *	SELL FI	LI & FUNG LTD PLC ADR	LFUGY	1,554.000	7,274.12	5,594.29	-1,679.83
09/02/11	03/23/09 *	SELL FI	LOREAL CO ADR	LRLCY	173.000	2,396.17	3,660.60	1,264.43
09/02/11	08/03/09 *	SELL FI	LVMH MOET HENNESSY LOUIS VUITTON ADR	LVMUY	78.000	1,447.32	2,529.49	1,082.17
09/02/11	08/06/10 *	SELL FI	LONZA GROUP AG ADR	LZAGY	668.000	5,718.75	4,275.12	-1,443.63
09/02/11	08/12/11	SELL FI	LONZA GROUP AG ADR	LZAGY	40.000	348.01	255.99	-92.02
09/02/11	11/20/09 *	SELL FI	392 day(s) added to your holding period as a result of a wash sale. MTN GROUP LTD SPONSORED ADR	MTNOY	228.000	3,620.18	4,600.95	980.77
09/02/11	01/09/09 *	SELL FI	NESTLE SA SPONSORED ADRS REGISTERED	NSRGY	85.000	3,085.50	5,368.49	2,282.99
09/02/11	03/23/09 *	SELL FI	NESTLE SA SPONSORED ADRS REGISTERED	NSRGY	34.000	1,115.20	2,147.40	1,032.20
09/02/11	03/02/09 *	SELL FI	NOVO NORDISK A.S. ADR FORMERLY NOVO	NVO	20.000	930.56	2,111.35	1,180.79

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
09/02/11	06/25/09*	SELL FI	PETROLEO BRASILEIRO SA PETROBRAS	PBR A	120.000	3,896.38	3,045.78	-850.60
09/02/11	03/23/09*	SELL FI	ROCHE HLDGS LTD SPONSORED ADR	RHHBY	114.000	3,732.36	5,031.86	1,299.50
09/02/11	03/23/09*	SELL FI	SAP AG SPONSORED ADR	SAP	103.000	3,842.88	5,403.57	1,560.69
09/02/11	03/23/09*	SELL FI	SASOL LTD SPONSORED ADR	SSL	50.000	1,549.25	2,295.45	746.20
09/02/11	03/23/09*	SELL FI	SCHLUMBERGER LTD COM ISIN#AN8068571086	SLB	64.000	2,911.57	4,780.06	1,868.49
09/02/11	03/23/09*	SELL FI	TAIWAN SEMICONDUCTOR MFG CO SPONSORED	TSM	178.000	1,638.31	2,090.19	451.88
09/02/11	03/23/09*	SELL FI	TESCO PLC SPON ADR	TSCDY	114.000	1,516.52	2,095.27	478.75
09/02/11	08/17/10*	SELL FI	UNICHARM CORP ADR	UNCHY	99.000	4,001.36	4,532.22	530.86
09/02/11	03/23/09*	SELL FI	UNILEVER PLC SPON ADR NEW	UL	69.000	1,253.02	2,292.82	1,039.80
09/02/11	03/23/09*	SELL FI	WPP PLC SPON ADR	WPPGY	69.000	2,013.51	3,450.62	1,437.11
09/02/11	03/23/09*	SELL FI	WAL MART DE MEXICO SA DE CV SPONS ADR	WMMVY	143.000	1,726.73	3,829.46	2,102.73
10/13/11	03/02/09*	SELL FI	NOVO NORDISK A.S. ADR FORMERLY NOVO	NVO	35.000	1,628.48	3,497.49	1,869.01
11/16/11	03/23/09*	SELL FI	ENCANA CORP COM SHS	ECA	101.000	2,349.21	1,998.14	-351.07
Total Long Term						\$160,342.60	\$217,193.74	\$63,343.53
Total Short Term and Long Term						\$205,046.05	\$255,636.02	\$57,518.97

* Uncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L. 110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "uncovered" under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.



HSBC Securities (USA) Inc
452 Fifth Avenue
New York, NY 10018
800-662-3343

Brokerage Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Schedule of Realized Gains and Losses Year-to-Date (continued)

This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for uncovered securities. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. In addition, corporate action events may require adjustments to your original cost basis. Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable.

Adjustments to cost basis can be made after year-end, in particular, for return of capital adjustments, but may also include adjustments for corporate action events. Therefore there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports, including your 1099-B or online displays you may have available to you.

When you report your cost basis on your tax return, it should be verified using all of your own records. In particular, there may be other adjustments which you need to make, but are not required to be made by Pershing as it relates to H.R. 1424. You should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

3 Realized loss is net of a disallowance due to a wash sale.

Tax Lot Disposition Methods:

FI = First In First Out

SL = Versus Purchase

Please refer to the Client Service Information Section in your brokerage account statement for your account's existing tax-lot disposition method. The disposition method is the method which you have selected to use in the disposal of each tax lot of the securities held in your account. If you do not select a method, your account will be defaulted to First In, First Out (FIFO). Your account's selected tax lot method will be used to determine the cost basis for calculating gain and/or loss, unless another method was selected at the time of the security disposal, and this may be reported on the IRS Form 1099-B.

Messages

Please be advised that, in accordance with FINRA Rule 4370, HSBC Securities (USA) Inc.'s Business Contingency Plan Disclosure can be obtained by accessing:
<http://www.us.hsbc.com/1723/personal/other-services/investing/financial-statement>, or by contacting our Brokerage Customer Service at 1-800-662-3343.

Please contact your HSBC Financial Advisor if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your account or reasonably modify existing restrictions.

Important Arbitration Agreement and Important Arbitration Disclosures

Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until: (i) the class certification is denied; (ii) the class is decertified; or (iii) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.

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HSBC

HSBC Securities (USA) Inc
452 Fifth Avenue
New York, NY 10018
800-662-3343

Brokerage

Account Statement

Account Number: HMP-010451
Statement Period: 12/01/2011 - 12/31/2011

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	\$278,736.42	\$528,073.62
Cash Withdrawals	0.00	-200,019.80
Dividends/Interest	205.94	3,983.87
Fees	0.00	-6,909.88
Change in Account Value	-1,922.69	-48,108.14
Ending Account Value	\$277,019.67	\$277,019.67
Estimated Annual Income	\$1,330.17	

ALLEN I GROSS CHARITABLE
FOUNDATION
50 BROADWAY
NEW YORK NY 10004 - 1607



Your Financial Advisor Is:
JOEL BASCIANI
(212) 267-9495

Asset Allocation

	This Period	% Allocation	Asset Allocation percentages are rounded to the nearest whole percentage.
Cash, Money Funds, and FDIC Deposits	4,119.35	1%	
Equities	272,900.32	99%	
Account Total	\$277,019.67	100%	

HSBC

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#1 Brokerage Statement,
2009, 2010
DALLAS RATED
FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary
of The Bank of New York Mellon Corporation
Pershing LLC member FINRA, NYSE, SIPC

Summary of Gains and Losses

	Realized	Year-to-Date	Unrealized
Short-Term Gain/Loss			
Long-Term Gain/Loss	383.89	-8,193.66	-29,785.20
Net Gain/Loss	0.00	127,610.17	1,052.30
	383.89	119,416.51	-28,732.90

This summary excludes transactions where cost basis information is not available.

Client Service Information

Your Financial Advisor Is: CO4	Contact Information	Client Service Information
JOEL BASCIANI	Telephone Number: (212) 267-9495	Client Service Telephone Number: (800) 662-3343
120 BROADWAY		
NEW YORK NY 10271-		

Investment Objective: GROWTH

Risk Exposure: MODERATE RISK

Default Tax Lot Disposition Method for Mutual Funds: FIRST IN FIRST OUT

Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Advisor.

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 1.00% of Portfolio									
Money Market									
HSBC INVESTOR US GOVT CL Y	12/01/11	0000002222	12/30/11	3,951.67	4,119.35	0.00	0.71	0.01%	0.01%
4,119.350				\$3,951.67	\$4,119.35	\$0.00	\$0.71		
Total Money Market				\$3,951.67	\$4,119.35	\$0.00	\$0.71		

Total Cash, Money Funds, and FDIC Deposits

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 99.00% of Portfolio								
Common Stocks								
HERBALIFE LTD USD COM SHS								
ISIN#KYG4412G1010								
CUSIP: G4412G101								
Dividend Option: Cash								
36.000	06/03/11	55.3770	1,993.58	51.6700	1,860.12	-133.46	26.10	1.40%
12.000	06/06/11	54.7600	657.12	51.6700	620.04	-37.08	8.70	1.40%

Security Identifier:HLF



HSBC Securities (USA) Inc
452 Fifth Avenue
New York, NY 10018
800-662-3343

Brokerage

Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
HERBALIFE LTD USD COM SHS (continued)								
1,000	10/31/11	60.8200	60.82	51.6700	51.67	-9.15	0.72	1.40%
49,000	Total		\$2,711.52		\$2,531.83	-\$179.69	\$35.52	
EZCHIP SEMICONDUCTOR LIMITED ORD SHS								
CUSIP: M4146Y108			Security Identifier: EZCH					
Dividend Option: Cash								
46,000	11/02/11	30.3960	1,398.23	28.3300	1,303.18	-95.05		
ACACIA RESH CORP AR ACACIA TECHNOLOGIES COM								
CUSIP: 003881307			Security Identifier: ACTG					
Dividend Option: Cash								
81,000	03/25/11	32.1980	2,608.04	36.5100	2,957.31	349.27		
ALLSCRIPTS HEALTHCARE SOLUTIONS INC COM								
CUSIP: 01988P108			Security Identifier: MDRX					
Dividend Option: Cash								
128,000	06/03/11	19.8670	2,542.99	18.9400	2,424.32	-118.67		
12,000	10/31/11	19.0000	228.00	18.9400	227.28	-0.72		
140,000	Total		\$2,770.99		\$2,651.60	-\$119.39	\$0.00	
ANSYS INC COM								
CUSIP: 03662Q105			Security Identifier: ANSS					
Dividend Option: Cash								
58,000	06/03/11	54.8970	3,184.04	57.2800	3,322.24	138.20		
1,000	10/31/11	53.9000	53.90	57.2800	57.28	3.38		
59,000	Total		\$3,237.94		\$3,379.52	\$141.58	\$0.00	
ATLAS AIR WORLDWIDE HLDGS INC COM NEW								
CUSIP: 049164205			Security Identifier: AAWW					
Dividend Option: Cash								
38,000	06/03/11	59.3570	2,255.57	38.4300	1,460.34	-795.23		

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
ATWOOD OCEANICS INC								
CUSIP: 050095108			Security Identifier: ATW					
Dividend Option: Cash								
35,000	10/11/11	38.7690	1,356.90	39.7900	1,392.65	35.75		
BALLY TECHNOLOGIES INC COM								
CUSIP: 058748107			Security Identifier: BVI					
Dividend Option: Cash								
98,000	06/03/11	38.0100	3,724.98	39.5600	3,876.88	151.90		
BIOMARIN PHARMACEUTICALS INC								
CUSIP: 09061G101			Security Identifier: BMRN					
Dividend Option: Cash								
62,000	06/03/11	28.1170	1,743.26	34.3800	2,131.56	388.30		
19,000	06/06/11	27.6500	525.35	34.3800	653.22	127.87		
2,000	10/31/11	34.9600	69.92	34.3800	68.76	-1.16		
83,000	Total		\$2,338.53		\$2,853.54	\$515.01	\$0.00	
BJS RESTAURANTS INC COM								
CUSIP: 09180C106			Security Identifier: BIRI					
Dividend Option: Cash								
165,000	06/03/11	47.4670	7,832.07	45.3200	7,477.80	-354.27		
2,000	10/31/11	52.8200	105.64	45.3200	90.64	-15.00		
167,000	Total		\$7,937.71		\$7,568.44	-\$369.27	\$0.00	
BROADSOFT INC COM								
CUSIP: 11133B409			Security Identifier: BSFT					
Dividend Option: Cash								
41,000	07/19/11	39.4870	1,618.96	30.2000	1,238.20	-380.76		
7,000	10/31/11	36.0000	252.00	30.2000	211.40	-40.60		
48,000	Total		\$1,870.96		\$1,449.60	-\$421.36	\$0.00	
BRUKER CORP COM								
CUSIP: 116794108			Security Identifier: BRKR					
Dividend Option: Cash								
101,000	06/03/11	18.2790	1,846.19	12.4200	1,254.42	-591.77		
149,000	08/15/11	19.7670	2,945.25	12.4200	1,850.58	-1,094.67		
91 day(s) added to your holding period as a result of a wash sale.								
250,000	Total		\$4,791.44		\$3,105.00	-\$1,686.44	\$0.00	
CASH AMER INTL INC								
CUSIP: 14754D100			Security Identifier: CSH					
Dividend Option: Cash								
117,000	06/03/11	50.3690	5,893.18	46.6300	5,455.71	-437.47	16.38	0.30%
5,000	06/06/11	49.8700	249.35	46.6300	233.15	-16.20	0.70	0.30%



HSBC Securities (USA) Inc.
452 Fifth Avenue
New York, NY 10018
800-662-3343

Brokerage

Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
CASH AMER INTL INC (continued)								
5,000	10/31/11	55.9400	279.70	46.6300	233.15	-46.55	0.70	0.30%
127,000	Total		\$6,422.23		\$5,922.01	-\$500.22	\$17.78	
CATALYST HEALTH SOLUTIONS INC COM								
CUSIP: 148888103								
Dividend Option: Cash								
61,000	06/03/11	57.7270	3,521.36	52.0000	3,172.00	-349.36		
2,000	10/31/11	54.4700	108.94	52.0000	104.00	-4.94		
63,000	Total		\$3,630.30		\$3,276.00	-\$354.30	\$0.00	
CENTENE CORP DEL COM								
CUSIP: 151358101								
Dividend Option: Cash								
123,000	06/03/11	34.5230	4,246.35	39.5900	4,869.57	623.22		
CLOUD PEAK ENERGY INC COM								
CUSIP: 18911Q102								
Dividend Option: Cash								
124,000	06/03/11	19.7590	2,450.06	19.3200	2,395.68	-54.38		
COGNEX CORP								
CUSIP: 192422103								
Dividend Option: Cash								
13,000	08/23/11	30.2980	393.88	35.7900	465.27	71.39	5.20	1.11%
58,000	08/30/11	36.0860	2,092.97	35.7900	2,075.82	-17.15	23.20	1.11%
10 day(s) added to your holding period as a result of a wash sale.								
2,000	08/30/11	36.3700	72.74	35.7900	71.58	-1.16	0.80	1.11%
14 day(s) added to your holding period as a result of a wash sale.								
4,000	08/30/11	35.3480	141.39	35.7900	143.16	1.77	1.60	1.11%
1,000	10/31/11	33.7900	33.79	35.7900	35.79	2.00	0.40	1.11%
78,000	Total		\$2,734.77		\$2,791.62	\$56.85	\$31.20	
COHERENT INC								
CUSIP: 192479103								
Dividend Option: Cash								
108,000	06/03/11	52.5190	5,672.07	52.2700	5,645.16	-26.91		

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HSBC

Account Number: HMP-010451
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#1 Brokerage Statement,
2009, 2010
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FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary
of The Bank of New York Mellon Corporation
Pershing LLC, member FINRA, NYSE, SIPC

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
COHERENT INC (continued)								
2,000	10/31/11	51.3300	102.66	52.2700	104.54	1.88		
110,000	Total		\$5,774.73		\$5,749.70	-\$25.03	\$0.00	
CONJUR TECHNOLOGIES INC COM								
CUSIP: 206708109								
Dividend Option: Cash								
31,000	08/11/11	37.7990	1,171.78	50.7900	1,574.49	402.71		
CUBIST PHARMACEUTICALS INC COM								
CUSIP: 229678107								
Dividend Option: Cash								
38,000	11/21/11	35.7400	1,358.12	39.6200	1,505.56	147.44		
36,000	12/05/11	38.9490	1,402.17	39.6200	1,426.32	24.15		
74,000	Total		\$2,760.29		\$2,931.88	\$171.59	\$0.00	
CYMER INC COM								
CUSIP: 232572107								
Dividend Option: Cash								
35,000	07/22/11	45.3460	1,587.10	49.7600	1,741.60	154.50		
3,000	10/31/11	43.5100	130.53	49.7600	149.28	18.75		
38,000	Total		\$1,717.63		\$1,890.88	\$173.25	\$0.00	
DTS INC COM								
CUSIP: 23335C101								
Dividend Option: Cash								
124,000	06/03/11	43.2120	5,358.33	27.2400	3,377.76	-1,980.57		
DUFF & PHELPS CORP NEW CL A COM								
CUSIP: 26433B107								
Dividend Option: Cash								
104,000	06/03/11	14.0290	1,459.05	14.5000	1,508.00	48.95	33.28	2.20%
1,000	06/06/11	13.7800	13.78	14.5000	14.50	0.72	0.32	2.20%
105,000	Total		\$1,472.83		\$1,522.50	\$49.67	\$33.60	
FTI CONSULTING INC COM								
CUSIP: 302941109								
Dividend Option: Cash								
125,000	06/03/11	36.9770	4,622.14	42.4200	5,302.50	680.36		
13,000	10/31/11	39.6470	515.41	42.4200	551.46	36.05		
138,000	Total		\$5,137.55		\$5,853.96	\$716.41	\$0.00	



HSBC Securities (USA) Inc
452 Fifth Avenue
New York, NY 10018
800-662-3343

Brokerage

Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
FORTINET INC COM								
CUSIP: 34959E109			<i>Security Identifier: FTNT</i>					
Dividend Option: Cash								
66,000	10/06/11	17,4370	1,150.84	21.8100	1,439.46	288.62		
FRESH MKT INC COM								
CUSIP: 35804H106			<i>Security Identifier: TFM</i>					
Dividend Option: Cash								
111,000	06/03/11	33,4080	3,708.32	39.9000	4,428.90	720.58		
9,000	10/31/11	40,3300	362.97	39.9000	359.10	-3.87		
120,000	Total		\$4,071.29		\$4,788.00	\$716.71	\$0.00	
GEO GROUP INC COM								
CUSIP: 36159R103			<i>Security Identifier: GEO</i>					
Dividend Option: Cash								
198,000	06/03/11	23,7090	4,694.45	16.7500	3,316.50	-1,377.95		
18,000	10/31/11	18,4770	332.59	16.7500	301.50	-31.09		
216,000	Total		\$5,027.04		\$3,618.00	-\$1,409.04	\$0.00	
GNC HLDGS INC COM CL A								
CUSIP: 3619TG107			<i>Security Identifier: GNC</i>					
Dividend Option: Cash								
174,000	06/03/11	19,3530	3,367.39	28.9500	5,037.30	1,669.91		
11,000	06/06/11	18,6300	204.93	28.9500	318.45	113.52		
185,000	Total		\$3,572.32		\$5,355.75	\$1,783.43	\$0.00	
GENESCO INC								
CUSIP: 371532102			<i>Security Identifier: GCO</i>					
Dividend Option: Cash								
161,000	06/03/11	43,0670	6,933.76	61.7400	9,940.14	3,006.38		
27,000	06/06/11	42,2700	1,141.29	61.7400	1,666.98	525.69		
7,000	10/31/11	58,4870	409.41	61.7400	432.18	22.77		
195,000	Total		\$8,484.46		\$12,039.30	\$3,554.84	\$0.00	

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
GRAFTECH INTL LTD COM								
CUSIP: 384313102			Security Identifier: GTI					
Dividend Option: Cash								
209,000	06/03/11	20.0390	4,188.10	13.6500	2,852.85	-1,335.25		
1,000	10/31/11	15.9200	15.92	13.6500	13.65	-2.27		
210,000	Total		\$4,204.02		\$2,866.50	-\$1,337.52	\$0.00	
GULFPORT ENERGY CORP COM NEW								
CUSIP: 402635304			Security Identifier: GPOR					
Dividend Option: Cash								
47,000	10/18/11	28.5660	1,342.62	29.4500	1,384.15	41.53		
2,000	10/31/11	31.0900	62.18	29.4500	58.90	-3.28		
49,000	Total		\$1,404.80		\$1,443.05	\$38.25	\$0.00	
HEXCEL CORP NEW COM								
CUSIP: 428291108			Security Identifier: HXL					
Dividend Option: Cash								
60,000	10/06/11	21.6690	1,300.13	24.2100	1,452.60	152.47		
50,000	10/10/11	23.1190	1,155.94	24.2100	1,210.50	54.56		
4,000	10/31/11	25.0100	100.04	24.2100	96.84	-3.20		
114,000	Total		\$2,556.11		\$2,759.94	\$203.83	\$0.00	
HUNTSMAN CORP COM								
CUSIP: 447011107			Security Identifier: HUN					
Dividend Option: Cash								
378,000	06/03/11	18.1880	6,875.17	10.0000	3,780.00	-3,095.17	151.20	4.00%
IPG PHOTONICS CORP COM								
CUSIP: 44980X109			Security Identifier: IPGP					
Dividend Option: Cash								
29,000	11/01/11	48.4720	1,405.70	33.8700	982.23	-423.47		
IMPAX LABORATORIES INC COM								
CUSIP: 452568101			Security Identifier: IPLX					
Dividend Option: Cash								
56,000	03/03/11	22.7120	1,271.85	20.1700	1,129.52	-142.33		
2,000	06/06/11	24.4200	48.84	20.1700	40.34	-8.50		
2,000	10/31/11	18.6400	37.28	20.1700	40.34	3.06		
60,000	Total		\$1,357.97		\$1,210.20	-\$147.77	\$0.00	
INFORMATICA CORP								
CUSIP: 45666Q102			Security Identifier: INFA					
Dividend Option: Cash								
90,000	06/03/11	57.1490	5,143.37	36.9300	3,323.70	-1,819.67		
12,000	06/06/11	56.3500	676.20	36.9300	443.16	-233.04		



HSBC Securities (USA) Inc
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Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
INFORMATICA CORP (continued)								
8,000	10/31/11	46.6000	372.80	36.9300	295.44	-77.36		
110,000	Total		\$6,192.37		\$4,062.30	-\$2,130.07	\$0.00	
INTREPID POTASH INC COM								
CUSIP: 46121Y102								
Dividend Option: Cash								
78,000	06/03/11	30.8270	2,404.52	22.6300	1,765.14	-639.38		
8,000	06/06/11	30.4600	243.68	22.6300	181.04	-62.64		
86,000	Total		\$2,648.20		\$1,946.18	-\$702.02	\$0.00	
JETBLUE AIRWAYS CORP COM								
CUSIP: 477143101								
Dividend Option: Cash								
347,000	06/03/11	5.7090	1,981.01	5.2000	1,804.40	-176.61		
22,000	10/31/11	4.4970	98.94	5.2000	114.40	15.46		
369,000	Total		\$2,079.95		\$1,918.80	-\$161.15	\$0.00	
KKR FINANCIAL HLDGS LLC COM								
CUSIP: 48248A306								
Dividend Option: Cash								
156,000	08/23/11 *	7.7580	1,210.31	8.7300	1,361.88	151.57	112.32	8.24%
KRATON PERFORMANCE POLYMERS INCCOM								
CUSIP: 50077C106								
Dividend Option: Cash								
81,000	10/31/11	19.8000	1,603.79	20.3000	1,644.30	40.51		
LANDSTAR SYSTEMS INC COM								
CUSIP: 515098101								
Dividend Option: Cash								
63,000	06/03/11	44.4970	2,803.32	47.9200	3,018.96	215.64	13.86	0.45%
3,000	10/31/11	44.8400	134.52	47.9200	143.76	9.24	0.66	0.45%
66,000	Total		\$2,937.84		\$3,162.72	\$224.88	\$14.52	

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
LUFKIN INDS INC COM								
CUSIP: 549764108			Security Identifier: LUFK					
Dividend Option: Cash								
123.000	06/03/11	88.2890	10,859.52	67.3100	8,279.13	-2,580.39	61.50	0.74%
10.000	06/06/11	86.7500	867.50	67.3100	673.10	-194.40	5.00	0.74%
133.000	Total		\$11,727.02		\$8,952.23	-\$2,774.79	\$66.50	
MWI VETERINARY SPLY INC COM								
CUSIP: 55402X105			Security Identifier: MWIV					
Dividend Option: Cash								
20.000	11/03/11	70.7470	1,414.94	66.4400	1,328.80	-86.14		
MADDEN STEVEN LTD COM								
CUSIP: 556269108			Security Identifier: SHOO					
Dividend Option: Cash								
104.000	06/03/11	34.5590	3,594.16	34.5000	3,588.00	-6.16		
MEDASSETS INC COM								
CUSIP: 584045108			Security Identifier: MDAS					
Dividend Option: Cash								
175.000	06/03/11	14.1790	2,481.32	9.2500	1,618.75	-862.57		
3.000	10/31/11	10.6000	31.80	9.2500	27.75	-4.05		
178.000	Total		\$2,513.12		\$1,646.50	-\$866.62	\$0.00	
MEDIDATA SOLUTIONS INC COM								
CUSIP: 58471A105			Security Identifier: MDSD					
Dividend Option: Cash								
180.000	06/03/11	22.7240	4,090.31	21.7500	3,915.00	-175.31		
2.000	06/06/11	22.4700	44.94	21.7500	43.50	-1.44		
5.000	10/31/11	18.1900	90.95	21.7500	108.75	17.80		
187.000	Total		\$4,226.20		\$4,067.25	-\$158.95	\$0.00	
MERTOR INC COM								
CUSIP: 59001K100			Security Identifier: MTOR					
Dividend Option: Cash								
307.000	06/03/11	15.3280	4,705.83	5.3200	1,633.24	-3,072.59		
MONSTER WORLDWIDE INC COM								
CUSIP: 611742107			Security Identifier: MWNV					
Dividend Option: Cash								
6.000	06/03/11	13.6270	81.76	7.9300	47.58	-34.18		
208.000	08/31/11	14.9620	3,112.13	7.9300	1,649.44	-1,462.69		
91 day(s) added to your holding period as a result of a wash sale.								
8.000	08/31/11	15.4960	123.97	7.9300	63.44	-60.53		
95 day(s) added to your holding period as a result of a wash sale.								

Brokerage

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Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
MONSTER WORLDWIDE INC COM (continued)								
53,000	08/31/11	10.0280	531.48	7.9300	420.29	-111.19		
16,000	10/31/11	9.5260	152.42	7.9300	126.88	-25.54		
291,000	Total		\$4,001.76		\$2,307.63	-\$1,694.13	\$0.00	
NORTHWEST PIPE CO COM								
CUSIP: 667746101								
Dividend Option: Cash								
72,000	06/03/11	25.0670	1,804.84	22.8600	1,645.92	-158.92		
2,000	06/06/11	25.0600	50.12	22.8600	45.72	-4.40		
74,000	Total		\$1,854.96		\$1,691.64	-\$163.32	\$0.00	
OYO GEOSPACE COM								
CUSIP: 671074102								
Dividend Option: Cash								
63,000	06/03/11	88.8790	5,599.38	77.3300	4,871.79	-727.59		
OASIS PETE INC NEW COM								
CUSIP: 674215108								
Dividend Option: Cash								
54,000	08/23/11	22.6970	1,225.66	29.0900	1,570.86	345.20		
50,000	10/28/11	31.1830	1,559.15	29.0900	1,454.50	-104.65		
104,000	Total		\$2,784.81		\$3,025.36	\$240.55	\$0.00	
ONYX PHARMACEUTICALS INC COM								
CUSIP: 683399109								
Dividend Option: Cash								
35,000	11/22/11	38.2860	1,340.01	43.9500	1,538.25	198.24		
35,000	12/12/11	38.4490	1,345.73	43.9500	1,538.25	192.52		
70,000	Total		\$2,685.74		\$3,076.50	\$390.76	\$0.00	
OPNET TECHNOLOGIES INC COM								
CUSIP: 683757108								
Dividend Option: Cash								
37,000	11/10/11	34.3580	1,271.23	36.6700	1,356.79	85.56	17.76	1.30%

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Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
PAREXEL INTL CORP COM								
CUSIP: 699462107			Security Identifier: PRXL					
Dividend Option: Cash								
39,000	06/03/11	24.5070	955.78	20.7400	808.86	-146.92		
4,000	06/06/11	24.3000	97.20	20.7400	82.96	-14.24		
56,000	07/19/11	23.9090	1,338.89	20.7400	1,161.44	-177.45		
4,000	10/31/11	21.9400	87.76	20.7400	82.96	-4.80		
103,000	Total		\$2,479.63		\$2,136.22	-\$343.41	\$0.00	
PINNACLE ENTMT INC								
CUSIP: 723456109			Security Identifier: PNK					
Dividend Option: Cash								
133,000	10/27/11	10.4180	1,385.53	10.1600	1,351.28	-34.25		
PLANTRONICS INC NEW COM								
CUSIP: 727493108			Security Identifier: PLT					
Dividend Option: Cash								
80,000	06/03/11	35.2670	2,821.37	35.6400	2,851.20	29.83	16.00	0.56%
4,000	10/31/11	33.5900	134.36	35.6400	142.56	8.20	0.80	0.56%
84,000	Total		\$2,955.73		\$2,993.76	\$38.03	\$16.80	
POLYPORE INTL INC COM								
CUSIP: 73179V103			Security Identifier: PPO					
Dividend Option: Cash								
26,000	11/11/11	55.7270	1,448.90	43.9900	1,143.74	-305.16		
26,000	11/23/11	50.5670	1,314.73	43.9900	1,143.74	-170.99		
52,000	Total		\$2,763.63		\$2,287.48	-\$476.15	\$0.00	
QUAKER CHEM CORP COM								
CUSIP: 747316107			Security Identifier: KWR					
Dividend Option: Cash								
34,000	06/03/11	41.6900	1,417.46	38.8900	1,322.26	-95.20	32.64	2.46%
1,000	06/06/11	41.2800	41.28	38.8900	38.89	-2.39	0.96	2.46%
35,000	Total		\$1,458.74		\$1,361.15	-\$97.59	\$33.60	
QLIK TECHNOLOGIES INC COM								
CUSIP: 747331T05			Security Identifier: QLIK					
Dividend Option: Cash								
108,000	06/03/11	31.9360	3,449.09	24.2000	2,613.60	-835.49		
3,000	06/06/11	32.0600	96.18	24.2000	72.60	-23.58		
111,000	Total		\$3,545.27		\$2,686.20	-\$859.07	\$0.00	



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Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
QUALITY SYS INC								
CUSIP: 747582104			<i>Security Identifier: QSII</i>					
Dividend Option: Cash								
72.000	06/03/11	41.0400	2,954.88	36.9900	2,663.28	-291.60	50.40	1.89%
14.000	10/31/11	38.7670	542.74	36.9900	517.86	-24.88	9.80	1.89%
86.000	Total		\$3,497.62		\$3,181.14	-\$316.48	\$60.20	
RTI INTL METALS INC COM								
CUSIP: 74973W107			<i>Security Identifier: RTI</i>					
Dividend Option: Cash								
48.000	07/14/11	32.2790	1,549.37	23.2100	1,114.08	-435.29		
REGAL BELOIT CORP WISCONSIN								
ISIN#US7587501039			<i>Security Identifier: RBC</i>					
CUSIP: 758750103								
Dividend Option: Cash								
65.000	06/03/11	66.7270	4,337.27	50.9700	3,313.05	-1,024.22	46.80	1.41%
RIVERBED TECHNOLOGY INC COM								
CUSIP: 768573107			<i>Security Identifier: RVBD</i>					
Dividend Option: Cash								
121.000	06/03/11	37.0970	4,488.75	23.5000	2,843.50	-1,645.25		
ROVI CORP COM								
CUSIP: 779376102			<i>Security Identifier: ROVI</i>					
Dividend Option: Cash								
73.000	06/03/11	55.7670	4,071.00	24.5800	1,794.34	-2,276.66		
8.000	10/31/11	49.1100	392.88	24.5800	196.64	-196.24		
81.000	Total		\$4,463.88		\$1,990.98	-\$2,472.90	\$0.00	
SALIX PHARMACEUTICALS LTD COM								
CUSIP: 795435106			<i>Security Identifier: SLXP</i>					
Dividend Option: Cash								
77.000	06/03/11	38.7470	2,983.53	47.8500	3,684.45	700.92		
3.000	06/06/11	37.9900	113.97	47.8500	143.55	29.58		

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
SALIX PHARMACEUTICALS LTD (continued)								
3,000	10/31/11	34.7200	104.16	47.8500	143.55	39.39		
83,000	Total		\$3,201.66		\$3,971.55	\$769.89	\$0.00	
SAPIENT CORP COM								
CUSIP: 803062108								
Dividend Option: Cash								
98,000	06/03/11	14.1190	1,383.69	12.6000	1,234.80	-148.89		
238,000	08/05/11	15.9080	3,786.16	12.6000	2,998.80	-787.36		
91 day(s) added to your holding period as a result of a wash sale.								
336,000	Total		\$5,169.85		\$4,233.60	-\$936.25	\$0.00	
SEATTLE GENETICS INC COM								
CUSIP: 812578102								
Dividend Option: Cash								
141,000	06/03/11	19.0090	2,680.23	16.7150	2,356.81	-323.42		
7,000	10/31/11	22.0200	154.14	16.7150	117.01	-37.13		
148,000	Total		\$2,834.37		\$2,473.82	-\$360.55	\$0.00	
SHUFFLE MASTER INC COM								
CUSIP: 825549108								
Dividend Option: Cash								
316,000	06/03/11	10.3500	3,270.60	11.7200	3,703.52	432.92		
30,000	10/31/11	10.5000	315.00	11.7200	351.60	36.60		
346,000	Total		\$3,585.60		\$4,055.12	\$469.52	\$0.00	
SIRONA DENTAL SYS INC COM								
CUSIP: 82966C103								
Dividend Option: Cash								
99,000	06/03/11	51.8500	5,133.15	44.0400	4,359.96	-773.19		
SOTHEBY'S CL A								
CUSIP: 835898107								
Dividend Option: Cash								
120,000	06/03/11	39.7790	4,773.46	28.5300	3,423.60	-1,349.86	38.40	1.12%
STIFEL FINANCIAL CORP								
CUSIP: 860630102								
Dividend Option: Cash								
45,000	08/26/11	28.4290	1,279.29	32.0500	1,442.25	162.96		
SUCCESSFACTORS INC COM								
ISIN#US8645961017								
CUSIP: 864596101								
Dividend Option: Cash								
28,000	08/30/11	36.3780	1,018.57	39.8700	1,116.36	97.79		



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Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
SUCCESSFACTORS INC COM (continued)								
112 day(s) added to your holding period as a result of a wash sale.								
29,000	08/30/11	36.1540	1,048.48	39.8700	1,156.23	107.75		
112 day(s) added to your holding period as a result of a wash sale.								
46,000	10/18/11	25.9780	1,195.00	39.8700	1,834.02	639.02		
3,000	10/31/11	26.7800	80.34	39.8700	119.61	39.27		
106,000	Total		\$3,342.39		\$4,226.22	\$883.83	\$0.00	
TENNECO INC COM								
CUSIP: 880349105								
Dividend Option: Cash								
74,000	06/03/11	39.6040	2,930.72	29.7800	2,203.72	-727.00		
1,000	06/06/11	39.7700	39.77	29.7800	29.78	-9.99		
6,000	10/31/11	32.5300	195.18	29.7800	178.68	-16.50		
81,000	Total		\$3,165.67		\$2,412.18	-\$753.49	\$0.00	
TERADYNE INC								
CUSIP: 880770102								
Dividend Option: Cash								
172,000	06/03/11	15.0370	2,586.38	13.6300	2,344.36	-242.02		
THORATEC CORP COM NEW								
CUSIP: 885175307								
Dividend Option: Cash								
110,000	06/03/11	33.0090	3,631.01	33.5600	3,691.60	60.59		
16,000	10/31/11	39.7440	635.90	33.5600	536.96	-98.94		
152 day(s) added to your holding period as a result of a wash sale.								
126,000	Total		\$4,266.91		\$4,228.56	-\$38.35	\$0.00	
TIBCO SOFTWARE INC COM								
CUSIP: 88632Q103								
Dividend Option: Cash								
160,000	06/03/11	26.8490	4,295.83	23.9100	3,825.60	-470.23		

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
TITANIUM METALS CORP COM NEW								
CUSIP: 888339207								
Dividend Option: Cash								
248,000	06/03/11	17.7590	4,404.22	14.9800	3,715.04	-689.18	74.40	2.00%
4,000	06/06/11	17.5300	70.12	14.9800	59.92	-10.20	1.20	2.00%
252,000	Total		\$4,474.34		\$3,774.96	-\$699.38	\$75.60	
TRIUMPH GROUP INC NEW COM								
CUSIP: 896818101								
Dividend Option: Cash								
97,000	06/03/11	45.9340	4,455.56	58.4500	5,669.65	1,214.09	15.52	0.27%
1,000	10/31/11	57.0500	57.05	58.4500	58.45	1.40	0.16	0.27%
98,000	Total		\$4,512.61		\$5,728.10	\$1,215.49	\$15.68	
UMB FINL CORP COM								
CUSIP: 902788108								
Dividend Option: Cash								
32,000	06/03/11	41.7800	1,336.96	37.2500	1,192.00	-144.96	26.24	2.20%
2,000	06/06/11	41.5000	83.00	37.2500	74.50	-8.50	1.64	2.20%
1,000	10/31/11	37.0200	37.02	37.2500	37.25	0.23	0.82	2.20%
35,000	Total		\$1,456.98		\$1,303.75	-\$153.23	\$28.70	
UNITED NAT FOODS INC COM								
CUSIP: 911163103								
Dividend Option: Cash								
40,000	12/27/11	40.1770	1,607.06	40.0100	1,600.40	-6.66		
UNITED THERAPEUTICS CORP DEL COM								
CUSIP: 91307C102								
Dividend Option: Cash								
34,000	11/18/11	40.1340	1,364.55	47.2500	1,606.50	241.95		
33,000	11/30/11	40.3070	1,330.13	47.2500	1,559.25	229.12		
67,000	Total		\$2,694.68		\$3,165.75	\$471.07	\$0.00	
UNIVERSAL ELGECTRS INC COM								
CUSIP: 913483103								
Dividend Option: Cash								
163,000	06/03/11	24.6590	4,019.44	16.8700	2,749.81	-1,269.63		
15,000	10/31/11	19.2400	288.60	16.8700	253.05	-35.55		
178,000	Total		\$4,308.04		\$3,002.86	-\$1,305.18	\$0.00	
VEECO INSTRS INC DEL COM								
CUSIP: 922417100								
Dividend Option: Cash								
67,000	06/03/11	53.6470	3,594.36	20.8000	1,393.60	-2,200.76		



HSBC Securities (USA) Inc
452 Fifth Avenue
New York, NY 10018
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Brokerage

Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
VEECO INSTRS INC DEL COM (continued)								
3,000	10/31/11	27.2600	81.78	20.8000	62.40	-19.38		
70,000	Total		\$3,676.14		\$1,456.00	-\$2,220.14	\$0.00	
VITAMIN SHOPPE INC COM								
CUSIP: 92849E101								
Dividend Option: Cash								
125,000	06/03/11	40.5580	5,069.73	39.8800	4,985.00	-84.73		
18,000	06/06/11	40.3300	725.94	39.8800	717.84	-8.10		
17,000	10/31/11	37.4310	636.32	39.8800	677.96	41.64		
160,000	Total		\$6,431.99		\$6,380.80	-\$51.19	\$0.00	
WABCO HLDGS INC COM								
CUSIP: 92927K102								
Dividend Option: Cash								
64,000	06/03/11	67.4470	4,316.62	43.4000	2,777.60	-1,539.02		
WASTE CONNECTIONS INC COM								
CUSIP: 941053100								
Dividend Option: Cash								
6,000	02/15/08 *	20.1520	\$120.91	33.1400	198.84	77.93	2.16	1.08%
111,000	08/05/08 *	24.3620	\$2,704.17	33.1400	3,678.54	974.37	39.96	1.08%
38,000	06/03/11	30.7900	1,170.02	33.1400	1,259.32	89.30	13.68	1.08%
13,000	10/31/11	34.4670	448.07	33.1400	430.82	-17.25	4.68	1.08%
168,000	Total		\$4,443.17		\$5,567.52	\$1,124.35	\$60.48	
Total Common Stocks			\$297,522.60		\$269,623.68	-\$27,898.92	\$856.66	
Real Estate Investment Trusts								
REDWOOD TR INC COM								
CUSIP: 758075402								
Dividend Option: Cash								
112,000	06/03/11	15.1100	1,692.32	10.1800	1,140.16	-552.16	112.00	9.82%
2,000	06/06/11	15.0200	30.04	10.1800	20.36	-9.68	2.00	9.82%
10,000	10/31/11	11.6900	116.90	10.1800	101.80	-15.10	10.00	9.82%
124,000	Total		\$1,839.26		\$1,262.32	-\$576.94	\$124.00	

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Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Real Estate Investment Trusts (continued)								
TWO HBRS INVT CORP COM								
CUSIP: 90187B101								
Dividend Option: Cash								
66,000	07/06/11	10.9700	724.02	9.2400	609.84	-114.18	105.60	17.31%
152,000	07/26/11	10.1800	1,547.34	9.2400	1,404.48	-142.86	243.20	17.31%
218,000	Total		\$2,271.36		\$2,014.32	-\$257.04	\$348.80	
Total Real Estate Investment Trusts			\$4,110.62		\$3,276.64	-\$833.98	\$472.80	
Total Equities			\$301,633.22		\$272,900.32	-\$28,732.90	\$1,329.46	

Total Portfolio Holdings

* Uncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L. 110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "uncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

³ The cost basis of this security has been provided to us by you or your introducing firm and Pershing makes no representation as to the accuracy of this information.

Disclosures and Other Information

Pricing - This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a price is not available are marked "N/A" and are omitted from the Total. The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange. Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the



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Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Portfolio Holdings (continued)

name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Money Market Fund Detail

Date	Activity Type	Description	Amount	Balance
Sweep Money Market Fund				
HSBC INVESTOR US GOVT CL Y				
Account Number: 0000002222 Current Yield: 0.01% Activity Ending: 12/30/11				
12/01/11	Opening Balance		3,951.67	3,951.67
12/02/11	Deposit	MONEY FUND PURCHASE	1,411.04	5,362.71
12/05/11	Withdrawal	MONEY FUND REDEMPTION	-1,318.10	4,044.61
12/08/11	Withdrawal	MONEY FUND REDEMPTION	-1,402.17	2,642.44
12/12/11	Deposit	MONEY FUND PURCHASE	20.83	2,663.27
12/16/11	Deposit	MONEY FUND PURCHASE	1,565.55	4,228.82
12/19/11	Deposit	MONEY FUND PURCHASE	7.80	4,236.62
12/21/11	Deposit	MONEY FUND PURCHASE	1,416.46	5,653.08
12/28/11	Deposit	MONEY FUND PURCHASE	31.00	5,684.08
12/29/11	Deposit	MONEY FUND PURCHASE	4.44	5,688.52
12/30/11	Withdrawal	MONEY FUND REDEMPTION	-1,569.26	4,119.26

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Money Market Fund Detail (continued)

Date	Activity Type	Description	Amount	Balance
Sweep Money Market Fund (continued)				
HSBC INVESTOR US GOVT CL Y (continued)				
12/30/11	Deposit	INCOME REINVEST	0.09	4,119.35
12/30/11	Closing Balance			\$4,119.35
Total All Money Market Funds				\$4,119.35

Activity Summary (All amounts shown are in base currency: USD)

Credits		This Period	Debits		This Period
Securities			Securities		
Securities Sold		5,646.83	Securities Bought		-5,685.09
Total Securities		\$5,646.83	Total Securities		-\$5,685.09
Additional Transactions			Additional Transactions		
Distributions		28.08	Distributions		0.00
Dividends and Interest		177.86	Dividends and Interest		0.00
Total Additional Transactions		\$205.94	Total Additional Transactions		\$0.00
Total Credits		\$5,852.77	Total Debits		-\$5,685.09

Transactions in Date Sequence

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
12/01/11	11/28/11	SOLD ROSE	ROSETTA RES INC COM Average Price Trade Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-28.000	49.3925		1,382.96	USD
12/01/11		PARTNERSHIP DISTRIBUTION KFN	156 SHRS KKR FINANCIAL HLDGS LLC COM RD 11/17 PD 12/01/11				28.08	USD
12/02/11		CASH DIVIDEND RECEIVED DUF	105 SHRS DUFF & PHELPS CORP NEW CL A COM RD 11/22 PD 12/02/11				8.40	USD
12/02/11		CASH DIVIDEND RECEIVED LSTR	66 SHRS LANDSTAR SYSTEMS INC COM RD 11/08 PD 12/02/11				3.63	USD
12/05/11	11/30/11	PURCHASED UTHR	UNITED THERAPEUTICS CORP DEL COM Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	33.000	40.3071		-1,330.13	USD
12/08/11	12/05/11	PURCHASED CBST	CUBIST PHARMACEUTICALS INC COM Average Price Trade Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	35.000	38.9492		-1,402.17	USD
12/09/11		CASH DIVIDEND RECEIVED LUFK	133 SHRS LUFKIN INDS INC COM RD 11/29 PD 12/09/11				16.63	USD



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Account Statement

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Transactions in Date Sequence (continued)

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
12/09/11		CASH DIVIDEND RECEIVED	84 SHRS PLANTRONICS INC NEW COM RD 11/18 PD				4.20	USD
		PLT	12/09/11					
12/15/11	12/12/11	PURCHASED	ONVX PHARMACEUTICALS INC COM Average Price Trade	35.000	38.4494		-1,345.73	USD
		ONXX	Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT					
12/15/11	12/12/11	SOLD	SUCCESSFACTORS INC COM ISIN#US8645961017 Average	-72.000	39.8107		2,866.31	USD
		SFSF	Price Trade Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT					
12/15/11		CASH DIVIDEND RECEIVED	37 SHRS OPNET TECHNOLOGIES INC COM RD 12/01 PD				31.45	USD
		OPNT	12/15/11					
12/15/11		CASH DIVIDEND RECEIVED	120 SHRS SOTHEBYS CL A RD 12/01 PD 12/15/11				9.60	USD
		BID						
12/15/11		CASH DIVIDEND RECEIVED	98 SHRS TRIUMPH GROUP INC NEW COM RD 12/01 PD				3.92	USD
		TGI	12/15/11					
12/16/11		CASH DIVIDEND RECEIVED	78 SHRS COGNEX CORP RD 12/02 PD 12/16/11				7.80	USD
		CGNX						
12/20/11	12/15/11	SOLD	BIOMARIN PHARMACEUTICALS INC Average Price Trade	-44.000	31.7633		1,397.56	USD
		BMRN	Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT					
12/20/11		CASH DIVIDEND RECEIVED	252 SHRS TITANIUM METALS CORP COM NEW RD 12/09				18.90	USD
		TIE	PD 12/20/11					
12/27/11		CASH DIVIDEND RECEIVED	124 SHRS REDWOOD TR INC COM RD 12/15 PD 12/27/11				31.00	USD
		RWT						
12/28/11		CASH DIVIDEND RECEIVED	37 SHRS OPNET TECHNOLOGIES INC COM RD 12/14 PD				4.44	USD
		OPNT	12/28/11					
12/30/11	12/27/11	PURCHASED	UNITED NAT FOODS INC COM Average Price Trade	40.000	40.1764		-1,607.06	USD
		UNFI	Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT					
12/30/11		MONEY MARKET FUND	HSBC INVSTR US GOVT				0.09	USD
		INCOME RECEIVED						
		403993488						

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Transactions in Date Sequence (continued)

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
12/30/11		CASH DIVIDEND RECEIVED	378 SHRS HUNTSMAN CORP COM RD 12/15 PD 12/30/11				37.80	USD
		HUN						
Total Value of all Transactions					\$0.00		\$167.68	

The price and quantity displayed may have been rounded.

Schedule of Realized Gains and Losses Year-to-Date

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term								
01/03/11	01/22/10	SELL FI	FIFTH ST FIN CORP COM	FSC	35,000	403.85	438.88	35.03
01/04/11	01/22/10	SELL FI	FIFTH ST FIN CORP COM	FSC	12,000	134.62	144.44	9.82
01/05/11	01/22/10	SELL FI	FIFTH ST FIN CORP COM	FSC	53,000	594.56	638.88	44.32
01/06/11	01/22/10	SELL FI	FIFTH ST FIN CORP COM	FSC	4,000	44.87	48.41	3.54
01/13/11	04/26/10	SELL FI	FREDS INC CL A	FRED	18,000	254.57	241.67	-12.90
01/13/11	04/27/10	SELL FI	FREDS INC CL A	FRED	16,000	223.35	214.82	-8.53
01/14/11	01/22/10	SELL FI	FIFTH ST FIN CORP COM	FSC	16,000	179.49	200.88	21.39
01/14/11	04/27/10	SELL FI	FREDS INC CL A	FRED	35,000	488.57	471.87	-16.70
01/14/11	04/28/10	SELL FI	FREDS INC CL A	FRED	25,000	350.36	337.05	-13.31
01/18/11	01/22/10	SELL FI	FIFTH ST FIN CORP COM	FSC	34,000	381.41	426.98	45.57
01/18/11	04/28/10	SELL FI	FREDS INC CL A	FRED	35,000	490.51	467.79	-22.72
01/19/11	01/22/10	SELL FI	FIFTH ST FIN CORP COM	FSC	1,000	11.22	12.54	1.32
01/19/11	04/28/10	SELL FI	FREDS INC CL A	FRED	7,000	98.10	92.80	-5.30
01/19/11	04/29/10	SELL FI	FREDS INC CL A	FRED	10,000	140.49	132.58	-7.91
01/19/11	04/30/10	SELL FI	FREDS INC CL A	FRED	20,000	282.84	265.16	-17.68



HSBC Securities (USA) Inc
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Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
01/20/11	04/30/10 *	SELL FI	FREDS INC CL A	FRED	39,000	551.53	520.49	-31.04
01/21/11	01/22/10 *	SELL FI	FIFTH ST FIN CORP COM	FSC	12,000	134.62	150.61	15.99
01/21/11	05/03/10 *	SELL FI	FREDS INC CL A	FRED	42,000	588.67	559.34	-29.33
01/24/11	05/21/10 *	SELL FI	FIFTH ST FIN CORP COM	FSC	5,000	56.48	62.87	6.39
01/24/11	05/03/10 *	SELL FI	FREDS INC CL A	FRED	11,000	154.17	147.07	-7.10
01/24/11	05/04/10 *	SELL FI	FREDS INC CL A	FRED	20,000	277.20	267.40	-9.80
01/25/11	05/21/10 *	SELL FI	FIFTH ST FIN CORP COM	FSC	14,000	158.16	175.81	17.65
01/25/11	05/24/10 *	SELL FI	FIFTH ST FIN CORP COM	FSC	8,000	90.35	100.46	10.11
01/25/11	05/04/10 *	SELL FI	FREDS INC CL A	FRED	34,000	471.25	458.31	-12.94
01/26/11	05/24/10 *	SELL FI	FIFTH ST FIN CORP COM	FSC	2,000	22.59	26.30	3.71
01/26/11	05/26/10 *	SELL FI	FIFTH ST FIN CORP COM	FSC	1,000	11.20	13.15	1.95
01/26/11	06/16/10 *	SELL FI	FIFTH ST FIN CORP COM	FSC	39,000	448.04	512.91	64.87
01/26/11	05/04/10 *	SELL FI	FREDS INC CL A	FRED	7,000	97.02	95.37	-1.65
01/26/11	05/05/10 *	SELL FI	FREDS INC CL A	FRED	31,000	419.80	422.35	2.55
01/31/11	06/16/10 *	SELL FI	FIFTH ST FIN CORP COM	FSC	93,000	1,068.39	1,222.10	153.71
02/02/11	08/05/10 *	SELL FI	BIOSCRIP INC COM	BIOS	24,000	101.48	117.82	16.34
02/02/11	08/06/10 *	SELL FI	BIOSCRIP INC COM	BIOS	1,000	4.37	4.91	0.54

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
02/02/11	05/19/10 *	SELL FI	FERRO CORPORATION	FOE	36,000	356.16	546.69	190.53
02/02/11	08/19/10 *	SELL FI	FERRO CORPORATION	FOE	73,000	749.62	1,108.58	358.96
02/02/11	08/20/10 *	SELL FI	FERRO CORPORATION	FOE	15,000	153.05	227.79	74.74
02/03/11	08/06/10 *	SELL FI	BIOSCRIP INC COM	BIOS	49,000	214.29	235.25	20.96
02/03/11	08/20/10 *	SELL FI	FERRO CORPORATION	FOE	43,000	438.74	656.72	217.98
02/03/11	08/23/10 *	SELL FI	FERRO CORPORATION	FOE	39,000	403.21	595.63	192.42
02/04/11	08/06/10 *	SELL FI	BIOSCRIP INC COM	BIOS	4,000	17.49	18.75	1.26
02/07/11	08/06/10 *	SELL FI	BIOSCRIP INC COM	BIOS	17,000	74.34	79.28	4.94
02/08/11	08/06/10 *	SELL FI	BIOSCRIP INC COM	BIOS	18,000	78.72	83.83	5.11
02/08/11	08/09/10 *	SELL FI	BIOSCRIP INC COM	BIOS	77,000	370.35	358.58	-11.77
02/09/11	08/09/10 *	SELL FI	BIOSCRIP INC COM	BIOS	56,000	269.35	261.37	-7.98
02/09/11	08/11/10 *	SELL FI	MEDIAMIND TECHNOLOGIES INC COM	58449C100	21,000	229.95	283.49	53.54
02/10/11	08/09/10 *	SELL FI	BIOSCRIP INC COM	BIOS	17,000	81.77	79.05	-2.72
02/10/11	08/10/10 *	SELL FI	BIOSCRIP INC COM	BIOS	17,000	84.69	79.04	-5.65
02/10/11	11/16/10 *	SELL FI	GSI COMMERCE INC COM C/A EFF 6/17/11 1	36238G102	128,000	2,974.60	2,631.12	-343.48
02/10/11	11/17/10 *	SELL FI	GSI COMMERCE INC COM C/A EFF 6/17/11 1	36238G102	158,000	3,666.90	3,247.78	-419.12
02/10/11	08/11/10 *	SELL FI	MEDIAMIND TECHNOLOGIES INC COM	58449C100	23,000	251.85	298.08	46.23
02/11/11	08/10/10 *	SELL FI	BIOSCRIP INC COM	BIOS	78,000	388.59	367.65	-20.94
02/11/11	08/11/10 *	SELL FI	MEDIAMIND TECHNOLOGIES INC COM	58449C100	12,000	131.40	153.80	22.40
02/14/11	08/10/10 *	SELL FI	BIOSCRIP INC COM	BIOS	59,000	293.93	281.13	-12.80
02/14/11	08/11/10 *	SELL FI	MEDIAMIND TECHNOLOGIES INC COM	58449C100	2,000	21.90	25.74	3.84



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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term (continued)								
02/15/11	08/10/10*	SELL FI	BIOSCRIP INC COM	BIOS	51.000	254.08	238.01	-16.07
02/16/11	08/10/10*	SELL FI	BIOSCRIP INC COM	BIOS	20.000	99.64	93.31	-6.33
02/16/11	12/09/10*	SELL FI	LIFE TIME FITNESS INC COM	LTM	40.000	1,589.44	1,683.63	94.19
02/16/11	12/10/10*	SELL FI	LIFE TIME FITNESS INC COM	LTM	10.000	400.78	420.91	20.13
02/17/11	08/10/10*	SELL FI	BIOSCRIP INC COM	BIOS	10.000	49.82	46.62	-3.20
02/17/11	08/11/10*	SELL FI	BIOSCRIP INC COM	BIOS	50.000	238.78	233.10	-5.68
02/18/11	08/11/10*	SELL FI	BIOSCRIP INC COM	BIOS	17.000	81.19	79.08	-2.11
02/22/11	12/10/10*	SELL FI	LIFE TIME FITNESS INC COM	LTM	14.000	561.08	573.90	12.82
02/22/11	12/13/10*	SELL FI	LIFE TIME FITNESS INC COM	LTM	11.000	454.06	450.92	-3.14
02/24/11	08/11/10*	SELL FI	BIOSCRIP INC COM	BIOS	44.000	210.12	187.50	-22.62
02/25/11	08/11/10*	SELL FI	BIOSCRIP INC COM	BIOS	14.000	66.86	59.80	-7.06
02/25/11	09/23/10*	SELL FI	CROCS INC COM	CROX	26.000	308.11	461.76	153.65
02/25/11	09/23/10*	SELL FI	CROCS INC COM	CROX	148.000	1,804.01	2,628.45	824.44
02/28/11	07/27/10*	SELL FI	BALCHEM CORP COM	BCPC	12.000	329.99	430.60	100.61
02/28/11	07/28/10*	SELL FI	BALCHEM CORP COM	BCPC	12.000	329.92	430.60	100.68
02/28/11	07/29/10*	SELL FI	BALCHEM CORP COM	BCPC	1.000	27.04	35.89	8.85
02/28/11	08/11/10*	SELL FI	BIOSCRIP INC COM	BIOS	52.000	248.33	219.49	-28.84

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
02/28/11	08/12/10 *	SELL FI	BIOSCRIP INC COM	BIOS	4,000	18.75	16.88	-1.87
03/01/11	01/14/11	SELL FI	SYNERON MEDICAL LTD ORD SHS	ELOS	7,000	74.08	103.40	29.32
03/01/11	07/29/10 *	SELL FI	BALCHEM CORP COM	BCPC	22,000	594.87	767.64	172.77
03/01/11	08/12/10 *	SELL FI	BIOSCRIP INC COM	BIOS	36,000	168.75	153.67	-15.08
03/01/11	12/21/10 *	SELL FI	CENTRAL EUROPEAN DISTR CORP COM	CEDC	73,000	1,714.49	1,068.12	-646.37
03/01/11	12/22/10 *	SELL FI	CENTRAL EUROPEAN DISTR CORP COM	CEDC	30,000	706.05	438.95	-267.10
03/01/11	12/23/10 *	SELL FI	CENTRAL EUROPEAN DISTR CORP COM	CEDC	11,000	259.72	160.95	-98.77
03/01/11	06/16/10 *	SELL FI	FIFTH ST FIN CORP COM	FSC	43,000	493.99	582.09	88.10
03/01/11	02/01/11	SELL FI	FIFTH ST FIN CORP COM	FSC	12,000	153.46	162.45	8.99
03/02/11	07/29/10 *	SELL FI	BALCHEM CORP COM	BCPC	11,000	297.44	387.41	89.97
03/02/11	07/30/10 *	SELL FI	BALCHEM CORP COM	BCPC	38,000	1,014.39	1,338.34	323.95
03/02/11	08/12/10 *	SELL FI	BIOSCRIP INC COM	BIOS	19,000	89.07	79.27	-9.80
03/02/11	08/13/10 *	SELL FI	BIOSCRIP INC COM	BIOS	13,000	60.18	54.23	-5.95
03/02/11	02/01/11	SELL FI	FIFTH ST FIN CORP COM	FSC	84,000	1,074.20	1,134.90	60.70
03/03/11	07/30/10 *	SELL FI	BALCHEM CORP COM	BCPC	10,000	266.94	359.76	92.82
03/03/11	08/02/10 *	SELL FI	BALCHEM CORP COM	BCPC	9,000	241.42	323.79	82.37
03/03/11	08/13/10 *	SELL FI	BIOSCRIP INC COM	BIOS	27,000	124.98	114.85	-10.13
03/03/11	08/16/10 *	SELL FI	BIOSCRIP INC COM	BIOS	23,000	105.85	97.83	-8.02
03/04/11	01/14/11	SELL FI	SYNERON MEDICAL LTD ORD SHS	ELOS	41,000	433.92	575.52	141.60
03/04/11	08/02/10 *	SELL FI	BALCHEM CORP COM	BCPC	4,000	107.30	141.65	34.35
03/04/11	08/03/10 *	SELL FI	BALCHEM CORP COM	BCPC	8,000	216.33	283.31	66.98



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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
03/04/11	08/16/10 *	SELL FI	BIOSCRIP INC COM	BIOS	36.000	165.69	152.93	-12.76
03/07/11	01/14/11	SELL FI	SYNERON MEDICAL LTD ORD SHS	ELOS	1.000	10.58	14.00	3.42
03/07/11	08/03/10 *	SELL FI	BALCHEM CORP COM	BCPC	3.000	81.13	103.57	22.44
03/07/11	08/04/10 *	SELL FI	BALCHEM CORP COM	BCPC	7.000	189.34	241.65	52.31
03/07/11	08/05/10 *	SELL FI	BALCHEM CORP COM	BCPC	1.000	27.24	34.52	7.28
03/07/11	08/06/10 *	SELL FI	BALCHEM CORP COM	BCPC	1.000	26.76	34.52	7.76
03/07/11	08/17/10 *	SELL FI	BIOSCRIP INC COM	BIOS	26.000	125.45	110.39	-15.06
03/08/11	01/14/11	SELL FI	SYNERON MEDICAL LTD ORD SHS	ELOS	13.000	137.58	182.06	44.48
03/08/11	08/06/10 *	SELL FI	BALCHEM CORP COM	BCPC	6.000	160.53	208.72	48.19
03/08/11	08/09/10 *	SELL FI	BALCHEM CORP COM	BCPC	5.000	135.29	173.94	38.65
03/08/11	02/09/11	SELL FI	SUMMIT HOTEL PPTYS INC COM	INN	1.000	9.89	9.89	0.00
03/09/11	01/14/11	SELL FI	SYNERON MEDICAL LTD ORD SHS	ELOS	2.000	21.17	28.02	6.85
03/09/11	08/09/10 *	SELL FI	BALCHEM CORP COM	BCPC	6.000	162.34	206.58	44.24
03/09/11	02/09/11	SELL FI	SUMMIT HOTEL PPTYS INC COM	INN	1.000	9.89	9.89	0.00
03/10/11	10/21/10 *	SELL FI	CYPRESS SEMICONDUCTOR CORP	CY	71.000	912.28	1,343.39	431.11
03/11/11	08/10/10 *	SELL FI	BALCHEM CORP COM	BCPC	1.000	26.84	33.49	6.65
03/14/11	08/10/10 *	SELL FI	BALCHEM CORP COM	BCPC	13.000	348.85	435.50	86.65

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
03/15/11	01/14/11	SELL FI	SYNERON MEDICAL LTD ORD SHS	ELOS	4,000	42.33	52.15	9.82
03/16/11	12/23/10*	SELL FI	CENTRAL EUROPEAN DISTR CORP COM	CEDC	45,000	1,062.48	522.05	-540.43
03/16/11	01/11/11	SELL FI	CENTRAL EUROPEAN DISTR CORP COM	CEDC	23,000	562.07	266.83	-295.24
03/16/11	02/09/11	SELL FI	SUMMIT HOTEL PPTYS INC COM	INN	1,000	9.89	9.89	0.00
03/17/11	01/11/11	SELL FI	CENTRAL EUROPEAN DISTR CORP COM	CEDC	45,000	1,099.71	526.99	-572.72
03/17/11	02/09/11	SELL FI	SUMMIT HOTEL PPTYS INC COM	INN	2,000	19.78	19.79	0.01
03/18/11	03/04/11	SELL FI	FABRINET SHS	FN	39,000	1,125.15	729.00	0.00
03/18/11	03/04/11	SELL FI	FABRINET SHS	FN	39,000	1,522.95	728.99	-396.153
			14 day(s) added to your holding period as a result of a wash sale.					-793.96
03/18/11	01/14/11	SELL FI	SYNERON MEDICAL LTD ORD SHS	ELOS	4,000	42.33	51.99	9.66
03/18/11	02/09/11	SELL FI	SUMMIT HOTEL PPTYS INC COM	INN	52,000	514.28	514.92	0.64
03/21/11	03/04/11	SELL FI	FABRINET SHS	FN	20,000	577.84	383.25	-194.59
03/21/11	01/14/11	SELL FI	SYNERON MEDICAL LTD ORD SHS	ELOS	22,000	232.84	285.99	53.15
03/21/11	02/09/11	SELL FI	SUMMIT HOTEL PPTYS INC COM	INN	39,000	385.71	389.08	3.37
03/22/11	12/13/10*	SELL FI	LIFE TIME FITNESS INC COM	LTM	24,000	990.68	887.42	-103.26
03/22/11	02/09/11	SELL FI	SUMMIT HOTEL PPTYS INC COM	INN	43,000	425.27	428.84	3.57
03/23/11	02/09/11	SELL FI	SUMMIT HOTEL PPTYS INC COM	INN	19,000	187.91	189.65	1.74
03/24/11	02/17/11	SELL FI	NORTHERN OIL & GAS INC NEW COM	NOG	12,000	332.88	298.80	0.00
03/24/11	02/09/11	SELL FI	SUMMIT HOTEL PPTYS INC COM	INN	18,000	178.02	178.47	-34.083
03/25/11	02/09/11	SELL FI	SUMMIT HOTEL PPTYS INC COM	INN	15,000	148.35	150.08	0.45
03/28/11	02/09/11	SELL FI	SUMMIT HOTEL PPTYS INC COM	INN	3,000	29.67	29.72	1.73
								0.05



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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
03/28/11	02/09/11	SELL FI	SUMMIT HOTEL PPTYS INC COM	INN	9,000	88.07	89.14	1.07
03/29/11	02/09/11	SELL FI	SUMMIT HOTEL PPTYS INC COM	INN	1,000	9.78	9.91	0.13
03/29/11	02/14/11	SELL FI	SUMMIT HOTEL PPTYS INC COM	INN	7,000	70.10	69.35	-0.75
03/30/11	01/14/11	SELL FI	SYNERON MEDICAL LTD ORD SHS	ELOS	12,000	127.00	156.13	29.13
03/30/11	02/14/11	SELL FI	SUMMIT HOTEL PPTYS INC COM	INN	1,000	10.01	9.89	-0.12
03/31/11	01/14/11	SELL FI	SYNERON MEDICAL LTD ORD SHS	ELOS	1,000	10.58	13.01	2.43
03/31/11	02/14/11	SELL FI	SUMMIT HOTEL PPTYS INC COM	INN	12,000	120.18	118.99	-1.19
04/01/11	01/14/11	SELL FI	SYNERON MEDICAL LTD ORD SHS	ELOS	1,000	10.58	14.00	3.42
04/01/11	02/01/11	SELL FI	FIFTH ST FIN CORP COM	FSC	22,000	281.34	292.07	10.73
04/01/11	11/03/10*	SELL FI	LOGMEIN INC COM	LOGM	18,000	699.69	844.10	144.41
04/01/11	11/04/10*	SELL FI	LOGMEIN INC COM	LOGM	1,000	39.37	46.89	7.52
04/04/11	02/01/11	SELL FI	FIFTH ST FIN CORP COM	FSC	38,000	485.95	503.12	17.17
04/04/11	12/23/10*	SELL FI	MIPS TECHNOLOGIES INC COM CL A	MIPS	130,000	1,897.82	1,297.08	-600.74
04/04/11	12/27/10*	SELL FI	MIPS TECHNOLOGIES INC COM CL A	MIPS	11,000	161.42	109.75	-51.67
04/05/11	02/01/11	SELL FI	FIFTH ST FIN CORP COM	FSC	18,000	230.18	238.53	8.35
04/05/11	12/27/10*	SELL FI	MIPS TECHNOLOGIES INC COM CL A	MIPS	36,000	528.30	349.53	-178.77
04/05/11	12/28/10*	SELL FI	MIPS TECHNOLOGIES INC COM CL A	MIPS	47,000	680.79	456.33	-224.46



Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
04/05/11	12/29/10 *	SELL FI	MIPS TECHNOLOGIES INC COM CL A	MIPS	47,000	688.76	456.33	-232.43
04/05/11	12/30/10 *	SELL FI	MIPS TECHNOLOGIES INC COM CL A	MIPS	13,000	197.87	126.22	-71.65
04/06/11	11/11/10 *	SELL FI	AMERICAN SUPERCONDUC TOR CORP	AMSC	125,000	4,383.51	1,758.73	0.00
04/06/11	11/11/10 *	SELL FI	AMERICAN SUPERCONDUC TOR CORP	AMSC	12,000	425.39	168.84	-2,624.783
04/06/11	03/15/11	SELL FI	AMERICAN SUPERCONDUC TOR CORP	AMSC	37,000	1,650.38	520.58	0.00
04/07/11	03/15/11	SELL FI	146 day(s) added to your holding period as a result of a wash sale. AMERICAN SUPERCONDUC TOR CORP	AMSC	88,000	3,925.22	1,227.00	-256.553
04/07/11	04/23/10 *	SELL FI	146 day(s) added to your holding period as a result of a wash sale. RIVERBED TECHNOLOGY INC COM	RVBD	70,000	1,111.39	2,283.01	-1,129.80
04/07/11	04/26/10 *	SELL FI	RIVERBED TECHNOLOGY INC COM	RVBD	49,000	785.72	1,598.10	-2,698.22
04/08/11	03/15/11	SELL FI	AMERICAN SUPERCONDUC TOR CORP	AMSC	12,000	539.83	164.91	1,171.62
04/08/11	03/15/11	SELL FI	146 day(s) added to your holding period as a result of a wash sale. AMERICAN SUPERCONDUC TOR CORP	AMSC	74,000	1,746.89	1,016.98	812.38
04/08/11	07/21/10 *	SELL FI	FIRST CASH FIN'L SVCS INC (FORMALLY)	FCFS	22,000	515.76	808.01	-374.92
04/08/11	07/22/10 *	SELL FI	FIRST CASH FIN'L SVCS INC (FORMALLY)	FCFS	11,000	268.00	404.00	-729.91
04/11/11	01/14/11	SELL FI	SYNERON MEDICAL LTD ORD SHS	ELOS	39,000	412.76	547.27	292.25
04/12/11	01/14/11	SELL FI	SYNERON MEDICAL LTD ORD SHS	ELOS	7,000	74.08	95.61	136.00
04/27/11	01/14/11	SELL FI	SYNERON MEDICAL LTD ORD SHS	ELOS	13,000	137.59	167.74	134.51
04/27/11	11/04/10 *	SELL FI	LOGMEIN INC COM	LOGM	14,000	551.11	590.93	21.53
04/27/11	11/05/10 *	SELL FI	LOGMEIN INC COM	LOGM	12,000	479.93	506.51	30.15
04/27/11	11/08/10 *	SELL FI	LOGMEIN INC COM	LOGM	13,000	520.27	548.72	39.82
04/27/11	11/09/10 *	SELL FI	LOGMEIN INC COM	LOGM	4,000	159.79	168.84	26.58
								28.45
								9.05



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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term (continued)								
04/27/11	11/16/10*	SELL FI	LOGMEIN INC COM	LOGM	3,000	116.20	126.62	10.42
04/27/11	12/30/10*	SELL FI	MIPS TECHNOLOGIES INC COM CL A	MIPS	19,000	289.19	154.28	-134.91
04/27/11	12/31/10*	SELL FI	MIPS TECHNOLOGIES INC COM CL A	MIPS	51,000	780.02	414.11	-365.91
04/28/11	01/14/11	SELL FI	SYNERON MEDICAL LTD ORD SHS	ELOS	26,000	275.17	332.13	56.96
04/29/11	01/14/11	SELL FI	SYNERON MEDICAL LTD ORD SHS	ELOS	8,000	84.67	101.05	16.38
04/29/11	09/23/10*	SELL FI	CROCS INC COM	CROX	87,000	1,060.47	1,758.10	697.63
05/04/11	01/13/11	SELL FI	DIGITALGLOBE INC COM NEW	DGI	58,000	1,819.18	1,491.70	-327.48
05/04/11	01/18/11	SELL FI	DIGITALGLOBE INC COM NEW	DGI	13,000	402.98	334.35	-68.63
05/04/11	01/19/11	SELL FI	DIGITALGLOBE INC COM NEW	DGI	37,000	1,143.67	951.60	-192.07
05/04/11	01/20/11	SELL FI	DIGITALGLOBE INC COM NEW	DGI	25,000	774.46	642.97	-131.49
05/04/11	01/21/11	SELL FI	DIGITALGLOBE INC COM NEW	DGI	44,000	1,362.23	1,131.64	-230.59
05/04/11	01/13/11	SELL FI	GEORESOURCES INC COM	GEOI	40,000	1,076.00	1,039.91	-36.09
05/04/11	01/13/11	SELL FI	GEORESOURCES INC COM	GEOI	7,000	185.48	181.99	-3.49
05/05/11	01/13/11	SELL FI	GEORESOURCES INC COM	GEOI	25,000	662.45	616.12	-46.33
05/05/11	01/18/11	SELL FI	GEORESOURCES INC COM	GEOI	4,000	105.96	98.58	-7.38
05/05/11	01/20/11	SELL FI	GEORESOURCES INC COM	GEOI	25,000	648.33	616.11	-32.22
05/05/11	02/17/11	SELL FI	NORTHERN OIL & GAS INC NEV COM	NOG	75,000	2,080.53	1,477.44	-603.09



Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
05/10/11	02/17/11	SELL FI	NORTHERN OIL & GAS INC NEV COM	NOG	67.000	1,858.61	1,343.06	-515.55
05/10/11	02/18/11	SELL FI	NORTHERN OIL & GAS INC NEV COM	NOG	21.000	585.62	420.96	-164.66
05/23/11	10/21/10 *	SELL FI	CYPRESS SEMICONDUCTOR CORP	CY	6.000	77.09	128.95	51.86
05/23/11	10/22/10 *	SELL FI	CYPRESS SEMICONDUCTOR CORP	CY	56.000	753.02	1,203.55	450.53
05/23/11	10/25/10 *	SELL FI	CYPRESS SEMICONDUCTOR CORP	CY	31.000	417.39	666.25	248.86
05/23/11	11/08/10 *	SELL FI	CYPRESS SEMICONDUCTOR CORP	CY	13.000	197.17	279.39	82.22
05/26/11	08/23/10 *	SELL FI	FERRO CORPORATION	FOE	49.000	506.60	611.34	104.74
05/26/11	08/24/10 *	SELL FI	FERRO CORPORATION	FOE	84.000	845.17	1,048.01	202.84
05/26/11	08/25/10 *	SELL FI	FERRO CORPORATION	FOE	72.000	720.81	898.30	177.49
05/26/11	11/10/10 *	SELL FI	GEOEYE INC COM	GEOY	18.000	775.29	603.01	-172.28
05/27/11	11/10/10 *	SELL FI	GEOEYE INC COM	GEOY	11.000	473.79	372.43	-101.36
06/03/11	03/04/11	SELL FI	FABRINET SHS	FN	97.000	2,802.54	2,144.62	-657.92
06/03/11	01/14/11	SELL FI	SYNERON MEDICAL LTD ORD SHS	ELOS	131.000	1,386.43	1,606.14	219.71
06/03/11	01/19/11	SELL FI	SYNERON MEDICAL LTD ORD SHS	ELOS	180.000	1,899.00	2,206.92	307.92
06/03/11	02/03/11	SELL FI	TORMIER N V SHS	TRNX	59.000	1,086.78	1,591.19	504.41
06/03/11	07/23/10 *	SELL FI	ACACIA RESH CORP AR ACACIA TECHNOLOGIES	ACTG	55.000	716.80	1,968.82	1,252.02
06/03/11	07/23/10 *	SELL FI	ACACIA RESH CORP AR ACACIA TECHNOLOGIES	ACTG	65.000	885.03	2,326.79	1,441.76
06/03/11	01/31/11	SELL FI	ACACIA RESH CORP AR ACACIA TECHNOLOGIES	ACTG	28.000	682.76	1,002.31	319.55
06/03/11	03/30/11	SELL FI	ALIGN TECHNOLOGY INC COM	ALGN	48.000	953.16	1,137.64	184.48
06/03/11	03/30/11	SELL FI	ALIGN TECHNOLOGY INC COM	ALGN	49.000	974.12	1,161.34	187.22
06/03/11	03/30/11	SELL FI	ALIGN TECHNOLOGY INC COM	ALGN	32.000	640.07	758.43	118.36

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
06/03/11	04/26/11	SELL FI	ALIGN TECHNOLOGY INC COM	ALIGN	48,000	1,182.71	1,137.64	-45.07
06/03/11	05/02/11	SELL FI	ALIGN TECHNOLOGY INC COM	ALIGN	66,000	1,622.10	1,564.26	-57.84
06/03/11	05/03/11	SELL FI	ALIGN TECHNOLOGY INC COM	ALIGN	33,000	803.46	782.14	-21.32
06/03/11	10/05/10*	SELL FI	CINEMARK HOLDINGS INC COM	CNK	16,000	263.41	321.61	58.20
06/03/11	10/20/10*	SELL FI	CINEMARK HOLDINGS INC COM	CNK	55,000	954.93	1,105.53	150.60
06/03/11	10/21/10*	SELL FI	CINEMARK HOLDINGS INC COM	CNK	49,000	843.66	984.93	141.27
06/03/11	10/22/10*	SELL FI	CINEMARK HOLDINGS INC COM	CNK	23,000	395.82	462.31	66.49
06/03/11	04/01/11	SELL FI	CINEMARK HOLDINGS INC COM	CNK	79,000	1,573.40	1,587.95	14.55
06/03/11	04/04/11	SELL FI	CINEMARK HOLDINGS INC COM	CNK	34,000	687.07	683.42	0.00
06/03/11	04/11/11	SELL FI	CINEMARK HOLDINGS INC COM	CNK	33,000	661.34	663.32	1.98
06/03/11	05/17/11	SELL FI	CINEMARK HOLDINGS INC COM	CNK	34,000	713.56	683.42	-30.14
06/03/11	05/17/11	SELL FI	60 day(s) added to your holding period as a result of a wash sale. CINEMARK HOLDINGS INC COM	CNK	7,000	146.16	140.71	-5.45
06/03/11	07/23/10*	SELL FI	COBIZ FINL INC COM	COBZ	10,000	55.36	60.31	4.95
06/03/11	12/27/10*	SELL FI	COBIZ FINL INC COM	COBZ	14,000	85.21	84.43	-0.78
06/03/11	12/28/10*	SELL FI	COBIZ FINL INC COM	COBZ	9,000	54.60	54.28	-0.32
06/03/11	12/30/10*	SELL FI	COBIZ FINL INC COM	COBZ	6,000	36.38	36.18	-0.20

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
06/03/11	12/31/10*	SELL FI	COBIZ FINL INC COM	COBZ	4,000	24.26	24.12	-0.14
06/03/11	01/03/11	SELL FI	COBIZ FINL INC COM	COBZ	12,000	72.50	72.37	-0.13
06/03/11	09/23/10*	SELL FI	CROCS INC COM	CROX	87,000	1,060.47	1,891.59	831.12
06/03/11	11/08/10*	SELL FI	CYPRESS SEMICONDUCTOR CORP	CY	12,000	182.01	268.11	86.10
06/03/11	11/09/10*	SELL FI	CYPRESS SEMICONDUCTOR CORP	CY	94,000	1,431.99	2,100.19	668.20
06/03/11	02/02/11	SELL FI	DAWSON GEOPHYSICAL CO COM	DWSN	14,000	481.37	466.49	0.00
06/03/11	02/03/11	SELL FI	DAWSON GEOPHYSICAL CO COM	DWSN	25,000	959.95	833.01	-14.883
06/03/11	02/04/11	SELL FI	DAWSON GEOPHYSICAL CO COM	DWSN	24,000	919.74	799.69	-66.01
06/03/11	02/07/11	SELL FI	DAWSON GEOPHYSICAL CO COM	DWSN	15,000	582.34	499.81	-60.933
06/03/11	02/08/11	SELL FI	DAWSON GEOPHYSICAL CO COM	DWSN	6,000	227.56	199.92	-27.64
06/03/11	02/09/11	SELL FI	DAWSON GEOPHYSICAL CO COM	DWSN	6,000	225.05	199.92	-25.13
06/03/11	02/10/11	SELL FI	DAWSON GEOPHYSICAL CO COM	DWSN	6,000	227.39	199.92	-27.47
06/03/11	02/11/11	SELL FI	DAWSON GEOPHYSICAL CO COM	DWSN	5,000	189.62	166.60	-23.02
06/03/11	02/14/11	SELL FI	DAWSON GEOPHYSICAL CO COM	DWSN	12,000	464.03	399.84	-64.19
06/03/11	02/15/11	SELL FI	DAWSON GEOPHYSICAL CO COM	DWSN	18,000	719.36	599.77	-119.59
06/03/11	02/18/11	SELL FI	DAWSON GEOPHYSICAL CO COM	DWSN	14,000	633.85	466.49	-167.36
06/03/11	02/22/11	SELL FI	DAWSON GEOPHYSICAL CO COM	DWSN	10,000	457.34	333.20	-124.14
06/03/11	02/23/11	SELL FI	DAWSON GEOPHYSICAL CO COM	DWSN	1,000	45.93	33.32	-12.61
06/03/11	02/24/11	SELL FI	DAWSON GEOPHYSICAL CO COM	DWSN	6,000	283.96	199.92	-84.04
06/03/11	03/21/11	SELL FI	DAWSON GEOPHYSICAL CO COM	DWSN	26,000	1,114.50	866.33	-248.17
06/03/11	04/26/11	SELL FI	DAWSON GEOPHYSICAL CO COM	DWSN	2,000	82.25	66.64	-15.61



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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
06/03/11	04/27/11	SELL FI	DAWSON GEOPHYSICAL CO COM	DWSN	3.000	122.72	99.96	-22.76
06/03/11	04/28/11	SELL FI	DAWSON GEOPHYSICAL CO COM	DWSN	4.000	171.25	133.28	-37.97
06/03/11	04/29/11	SELL FI	DAWSON GEOPHYSICAL CO COM	DWSN	2.000	88.75	66.64	-22.11
06/03/11	05/02/11	SELL FI	DAWSON GEOPHYSICAL CO COM	DWSN	2.000	91.51	66.64	-24.87
06/03/11	05/04/11	SELL FI	DAWSON GEOPHYSICAL CO COM	DWSN	14.000	550.17	466.49	-83.68
06/03/11	05/04/11	SELL FI	121 day(s) added to your holding period as a result of a wash sale. DAWSON GEOPHYSICAL CO COM	DWSN	12.000	519.75	399.85	-119.90
06/03/11	08/10/10 *	SELL FI	120 day(s) added to your holding period as a result of a wash sale. DIAMOND FOODS INC COM	DMND	9.000	392.29	625.60	233.31
06/03/11	08/11/10 *	SELL FI	DIAMOND FOODS INC COM	DMND	29.000	1,250.42	2,015.83	765.41
06/03/11	08/12/10 *	SELL FI	DIAMOND FOODS INC COM	DMND	7.000	299.07	486.58	187.51
06/03/11	08/13/10 *	SELL FI	DIAMOND FOODS INC COM	DMND	4.000	167.92	278.05	110.13
06/03/11	04/21/11	SELL FI	DUPONT FABROS TECHNOLOGY INC COM	DFT	53.000	1,247.87	1,353.22	105.35
06/03/11	04/25/11	SELL FI	DUPONT FABROS TECHNOLOGY INC COM	DFT	67.000	1,587.02	1,710.67	123.65
06/03/11	04/27/11	SELL FI	DUPONT FABROS TECHNOLOGY INC COM	DFT	37.000	887.33	944.70	57.37
06/03/11	06/30/10 *	SELL FI	ENTERTAINMENT PPTYS TR COM SH BEN INT	EPR	13.000	498.58	612.38	113.80
06/03/11	04/26/11	SELL FI	F N B CORP PA COM	FNB	50.000	522.99	510.05	0.00
06/03/11	05/06/11	SELL FI	F N B CORP PA COM	FNB	46.000	529.40	469.25	-60.15

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
06/03/11	05/16/11	SELL FI	38 day(s) added to your holding period as a result of a wash sale. F N B CORP PA COM	FNB	4.000	43.70	40.80	0.00 -2.903
06/03/11	05/16/11	SELL FI	38 day(s) added to your holding period as a result of a wash sale. F N B CORP PA COM	FNB	46.000	550.84	469.25	0.00 -81.593
06/03/11	05/16/11	SELL FI	28 day(s) added to your holding period as a result of a wash sale. F N B CORP PA COM	FNB	2.000	21.33	20.40	0.00 -0.933
06/03/11	05/18/11	SELL FI	F N B CORP PA COM	FNB	2.000	22.45	20.40	0.00 -2.053
06/03/11	05/24/11	SELL FI	18 day(s) added to your holding period as a result of a wash sale. F N B CORP PA COM	FNB	2.000	21.95	20.40	0.00 -1.553
06/03/11	05/24/11	SELL FI	18 day(s) added to your holding period as a result of a wash sale. F N B CORP PA COM	FNB	46.000	553.00	469.25	-69.18 -14.573
06/03/11	05/24/11	SELL FI	18 day(s) added to your holding period as a result of a wash sale. F N B CORP PA COM	FNB	2.000	21.43	20.40	-1.03
06/03/11	05/24/11	SELL FI	18 day(s) added to your holding period as a result of a wash sale. F N B CORP PA COM	FNB	2.000	22.55	20.40	-2.15
06/03/11	05/24/11	SELL FI	16 day(s) added to your holding period as a result of a wash sale. F N B CORP PA COM	FNB	2.000	20.48	20.40	-0.08
06/03/11	05/25/11	SELL FI	F N B CORP PA COM	FNB	2.000	21.99	20.40	-1.59
06/03/11	05/25/11	SELL FI	10 day(s) added to your holding period as a result of a wash sale. F N B CORP PA COM	FNB	8.000	96.37	81.60	-14.77
06/03/11	07/22/10 *	SELL FI	10 day(s) added to your holding period as a result of a wash sale. FIRST CASH FIN'L SVCS INC (FORMALLY)	FCFS	69.000	1,681.12	2,657.90	976.78
06/03/11	07/23/10 *	SELL FI	FIRST CASH FIN'L SVCS INC (FORMALLY)	FCFS	46.000	1,126.45	1,771.93	645.48
06/03/11	07/27/10 *	SELL FI	FIRST CASH FIN'L SVCS INC (FORMALLY)	FCFS	2.000	49.00	77.04	28.04
06/03/11	07/28/10 *	SELL FI	FIRST CASH FIN'L SVCS INC (FORMALLY)	FCFS	9.000	220.47	346.68	126.21
06/03/11	07/29/10 *	SELL FI	FIRST CASH FIN'L SVCS INC (FORMALLY)	FCFS	40.000	973.54	1,540.81	567.27





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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disadvantage
Short Term (continued)								
06/03/11	10/12/10 *	SELL FI	FIRST CASH FIN'L SVCS INC (FORMALLY)	FCFS	25,000	694.73	963.01	268.28
06/03/11	10/13/10 *	SELL FI	FIRST CASH FIN'L SVCS INC (FORMALLY)	FCFS	36,000	1,008.20	1,386.73	378.53
06/03/11	10/14/10 *	SELL FI	FIRST CASH FIN'L SVCS INC (FORMALLY)	FCFS	4,000	113.51	154.09	40.58
06/03/11	07/06/10 *	SELL FI	GARDNER DENVER INC (PREVIOUSLY KNOWN AS	GDI	59,000	2,620.67	4,784.38	2,163.71
06/03/11	07/21/10 *	SELL FI	GARDNER DENVER INC (PREVIOUSLY KNOWN AS	GDI	71,000	3,512.34	5,757.47	2,245.13
06/03/11	04/27/11	SELL FI	GARDNER DENVER INC (PREVIOUSLY KNOWN AS	GDI	11,000	919.54	892.00	-27.54
06/03/11	11/10/10 *	SELL FI	GEOEYE INC COM	GEOY	11,000	473.78	366.29	-107.49
06/03/11	11/11/10 *	SELL FI	GEOEYE INC COM	GEOY	13,000	560.15	432.89	-127.26
06/03/11	11/04/10 *	SELL FI	HAIR CELESTIAL GROUP INC COM	HAIR	30,000	759.96	1,017.88	257.92
06/03/11	11/11/10 *	SELL FI	HAIR CELESTIAL GROUP INC COM	HAIR	2,000	52.00	67.86	15.86
06/03/11	11/12/10 *	SELL FI	HAIR CELESTIAL GROUP INC COM	HAIR	32,000	828.97	1,085.74	256.77
06/03/11	11/15/10 *	SELL FI	HAIR CELESTIAL GROUP INC COM	HAIR	15,000	389.36	508.94	119.58
06/03/11	11/16/10 *	SELL FI	HAIR CELESTIAL GROUP INC COM	HAIR	45,000	1,162.21	1,526.82	364.61
06/03/11	11/17/10 *	SELL FI	HAIR CELESTIAL GROUP INC COM	HAIR	29,000	752.64	983.95	231.31
06/03/11	11/18/10 *	SELL FI	HAIR CELESTIAL GROUP INC COM	HAIR	3,000	77.98	101.78	23.80
06/03/11	01/11/11	SELL FI	HEARTLAND PMT SYS INC COM	HPY	39,000	631.31	726.73	95.42
06/03/11	01/12/11	SELL FI	HEARTLAND PMT SYS INC COM	HPY	28,000	457.18	521.76	64.58

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
06/03/11	01/13/11	SELL FI	HEARTLAND PMT SYS INC COM	HPY	36,000	585.29	670.83	85.54
06/03/11	01/14/11	SELL FI	HEARTLAND PMT SYS INC COM	HPY	27,000	433.90	503.12	69.22
06/03/11	01/18/11	SELL FI	HEARTLAND PMT SYS INC COM	HPY	31,000	496.83	577.66	80.83
06/03/11	01/19/11	SELL FI	HEARTLAND PMT SYS INC COM	HPY	31,000	494.61	577.65	83.04
06/03/11	11/10/10	SELL FI	HERCULES TECHNOLOGY GROWTH CAP INC COM	HTGC	336,000	3,352.17	3,477.74	125.57
06/03/11	11/11/10	SELL FI	HERCULES TECHNOLOGY GROWTH CAP INC COM	HTGC	9,000	91.58	93.15	1.57
06/03/11	11/12/10	SELL FI	HERCULES TECHNOLOGY GROWTH CAP INC COM	HTGC	20,000	203.46	207.01	3.55
06/03/11	06/29/10	SELL FI	HERSHA HOSPITALITY TR PRIORITY CL A SHS	HT	92,000	414.63	525.41	110.78
06/03/11	06/30/10	SELL FI	HERSHA HOSPITALITY TR PRIORITY CL A SHS	HT	119,000	541.21	679.61	138.40
06/03/11	08/31/10	SELL FI	HERSHA HOSPITALITY TR PRIORITY CL A SHS	HT	145,000	682.43	828.10	145.67
06/03/11	09/30/10	SELL FI	HERSHA HOSPITALITY TR PRIORITY CL A SHS	HT	101,000	520.17	576.81	56.64
06/03/11	11/16/10	SELL FI	HERSHA HOSPITALITY TR PRIORITY CL A SHS	HT	62,000	376.23	354.08	-22.15
06/03/11	11/30/10	SELL FI	HERSHA HOSPITALITY TR PRIORITY CL A SHS	HT	43,000	266.48	245.57	-20.91
06/03/11	12/27/10	SELL FI	HERSHA HOSPITALITY TR PRIORITY CL A SHS	HT	28,000	182.67	159.91	-22.76
06/03/11	12/28/10	SELL FI	HERSHA HOSPITALITY TR PRIORITY CL A SHS	HT	28,000	183.65	159.91	-23.74
06/03/11	12/29/10	SELL FI	HERSHA HOSPITALITY TR PRIORITY CL A SHS	HT	28,000	184.46	159.91	-24.55
06/03/11	12/30/10	SELL FI	HERSHA HOSPITALITY TR PRIORITY CL A SHS	HT	29,000	191.59	165.62	-25.97
06/03/11	12/31/10	SELL FI	HERSHA HOSPITALITY TR PRIORITY CL A SHS	HT	29,000	191.73	165.62	-26.11
06/03/11	03/30/11	SELL FI	HERSHA HOSPITALITY TR PRIORITY CL A SHS	HT	50,000	298.84	285.55	-13.29
06/03/11	03/31/11	SELL FI	HERSHA HOSPITALITY TR PRIORITY CL A SHS	HT	185,000	1,100.08	1,056.58	-43.50
06/03/11	02/24/11	SELL FI	HORNBECK OFFSHORE SVCS INC COM NEW	HOS	120,000	3,205.34	3,118.81	-86.53



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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term (continued)								
06/03/11	02/25/11	SELL FI	HORNBECK OFFSHORE SVCS INC COM NEW	HOS	2,000	53.34	51.98	-1.36
06/03/11	03/01/11	SELL FI	HORNBECK OFFSHORE SVCS INC COM NEW	HOS	24,000	679.22	623.76	-55.46
06/03/11	03/21/11	SELL FI	HORNBECK OFFSHORE SVCS INC COM NEW	HOS	34,000	1,043.40	883.67	-159.73
06/03/11	03/25/11	SELL FI	IMAX CORP COM	IMAX	91,000	2,872.96	3,323.51	450.55
06/03/11	03/01/11	SELL FI	IMPAX LABORATORIES INC COM	IPXL	93,000	1,971.17	2,400.55	429.38
06/03/11	03/02/11	SELL FI	IMPAX LABORATORIES INC COM	IPXL	75,000	1,635.03	1,935.93	300.90
06/03/11	01/19/11	SELL FI	INTERNAP NETWORK SVCS CORP COM PAR \$	INAP	44,000	295.61	341.43	45.82
06/03/11	01/20/11	SELL FI	INTERNAP NETWORK SVCS CORP COM PAR \$	INAP	83,000	544.60	644.07	99.47
06/03/11	01/21/11	SELL FI	INTERNAP NETWORK SVCS CORP COM PAR \$	INAP	48,000	318.45	372.47	54.02
06/03/11	01/25/11	SELL FI	INTERNAP NETWORK SVCS CORP COM PAR \$	INAP	52,000	346.73	403.51	56.78
06/03/11	01/26/11	SELL FI	INTERNAP NETWORK SVCS CORP COM PAR \$	INAP	46,000	315.69	356.95	41.26
06/03/11	01/27/11	SELL FI	INTERNAP NETWORK SVCS CORP COM PAR \$	INAP	48,000	333.40	372.47	39.07
06/03/11	01/28/11	SELL FI	INTERNAP NETWORK SVCS CORP COM PAR \$	INAP	70,000	524.36	543.19	18.83
06/03/11	02/25/11	SELL FI	INTERNAP NETWORK SVCS CORP COM PAR \$	INAP	186,000	1,311.75	1,443.33	131.58
06/03/11	02/28/11	SELL FI	INTERNAP NETWORK SVCS CORP COM PAR \$	INAP	155,000	1,055.30	1,202.78	147.48
06/03/11	03/01/11	SELL FI	INTERNAP NETWORK SVCS CORP COM PAR \$	INAP	39,000	257.43	302.63	45.20
06/03/11	03/02/11	SELL FI	INTERNAP NETWORK SVCS CORP COM PAR \$	INAP	56,000	374.29	434.55	60.26

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
06/03/11	04/29/11	SELL FI	INTERNAP NETWORK SVCS CORP COM PAR \$	INAP	26,000	193.52	201.76	8.24
06/03/11	04/29/11	SELL FI	INTERNAP NETWORK SVCS CORP COM PAR \$	INAP	186,000	1,464.94	1,443.34	-21.60
06/03/11	09/29/10*	SELL FI	INTERNET CAP GROUP INC N/C 6/21/11 1	46059C205	16,000	179.22	189.51	10.29
06/03/11	09/30/10*	SELL FI	INTERNET CAP GROUP INC N/C 6/21/11 1	46059C205	53,000	588.66	627.75	39.09
06/03/11	11/02/10*	SELL FI	KFORCE INC COM	KFRC	39,000	589.69	506.93	-82.76
06/03/11	11/03/10*	SELL FI	KFORCE INC COM	KFRC	10,000	150.00	129.98	-20.02
06/03/11	11/04/10*	SELL FI	KFORCE INC COM	KFRC	16,000	247.20	207.97	-39.23
06/03/11	11/05/10*	SELL FI	KFORCE INC COM	KFRC	26,000	399.83	337.95	-61.88
06/03/11	11/08/10*	SELL FI	KFORCE INC COM	KFRC	15,000	231.65	194.97	-36.68
06/03/11	11/09/10*	SELL FI	KFORCE INC COM	KFRC	21,000	324.59	272.96	-51.63
06/03/11	11/10/10*	SELL FI	KFORCE INC COM	KFRC	7,000	107.26	90.99	-16.27
06/03/11	11/11/10*	SELL FI	KFORCE INC COM	KFRC	11,000	170.17	142.98	-27.19
06/03/11	11/12/10*	SELL FI	KFORCE INC COM	KFRC	22,000	338.69	285.96	-52.73
06/03/11	11/15/10*	SELL FI	KFORCE INC COM	KFRC	10,000	154.47	129.98	-24.49
06/03/11	11/16/10*	SELL FI	KFORCE INC COM	KFRC	38,000	562.14	493.93	-68.21
06/03/11	11/17/10*	SELL FI	KFORCE INC COM	KFRC	14,000	207.27	181.97	-25.30
06/03/11	11/18/10*	SELL FI	KFORCE INC COM	KFRC	4,000	60.36	51.99	-8.37
06/03/11	11/19/10*	SELL FI	KFORCE INC COM	KFRC	13,000	194.81	168.98	-25.83
06/03/11	11/22/10*	SELL FI	KFORCE INC COM	KFRC	10,000	149.50	129.98	-19.52
06/03/11	11/23/10*	SELL FI	KFORCE INC COM	KFRC	14,000	208.27	181.97	-26.30
06/03/11	12/02/10*	SELL FI	KFORCE INC COM	KFRC	12,000	183.56	155.98	-27.58



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Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
06/03/11	01/04/11	SELL FI	KFORCE INC COM	KFRC	4.000	64.00	51.99	-12.01
06/03/11	01/05/11	SELL FI	KFORCE INC COM	KFRC	3.000	48.62	38.99	-9.63
06/03/11	01/12/11	SELL FI	KFORCE INC COM	KFRC	28.000	505.19	363.95	-141.24
06/03/11	04/19/11	SELL FI	KFORCE INC COM	KFRC	26.000	406.66	337.95	-68.71
06/03/11	04/20/11	SELL FI	KFORCE INC COM	KFRC	41.000	656.81	532.93	-123.88
06/03/11	04/28/11	SELL FI	KFORCE INC COM	KFRC	32.000	496.02	415.94	-80.08
06/03/11	04/29/11	SELL FI	KFORCE INC COM	KFRC	16.000	248.98	208.00	-40.98
06/03/11	11/16/10*	SELL FI	LOGMEIN INC COM	LOGM	18.000	697.22	759.82	62.60
06/03/11	11/17/10*	SELL FI	LOGMEIN INC COM	LOGM	15.000	589.96	633.19	43.23
06/03/11	02/16/11	SELL FI	LOGMEIN INC COM	LOGM	32.000	1,279.32	1,350.80	71.48
06/03/11	02/17/11	SELL FI	LOGMEIN INC COM	LOGM	6.000	240.05	253.27	13.22
06/03/11	02/18/11	SELL FI	LOGMEIN INC COM	LOGM	3.000	118.07	126.64	8.57
06/03/11	05/12/11	SELL FI	MAIDENFORM BRANDS INC COM	MFB	10.000	306.56	276.69	0.00
06/03/11	05/13/11	SELL FI	MAIDENFORM BRANDS INC COM	MFB	10.000	333.60	276.69	-56.91
06/03/11	05/13/11	SELL FI	22 day(s) added to your holding period as a result of a wash sale.	MFB	11.000	334.10	304.36	0.00
06/03/11	05/13/11	SELL FI	MAIDENFORM BRANDS INC COM	MFB	11.000	334.10	276.69	-57.41
06/03/11	05/16/11	SELL FI	MAIDENFORM BRANDS INC COM	MFB	10.000	360.53	276.69	-83.84
06/03/11	05/16/11	SELL FI	21 day(s) added to your holding period as a result of a wash sale.	MFB	10.000	360.53	276.69	0.00

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
06/03/11	05/16/11	SELL FI	MAIDENFORM BRANDS INC COM	MFB	1.000	33.07	27.67	-5.40
			21 day(s) added to your holding period as a result of a wash sale.					
06/03/11	05/17/11	SELL FI	MAIDENFORM BRANDS INC COM	MFB	10.000	330.84	276.69	-54.15
			21 day(s) added to your holding period as a result of a wash sale.					
06/03/11	05/17/11	SELL FI	MAIDENFORM BRANDS INC COM	MFB	2.000	77.53	55.34	-22.19
			18 day(s) added to your holding period as a result of a wash sale.					
06/03/11	05/18/11	SELL FI	MAIDENFORM BRANDS INC COM	MFB	1.000	38.62	27.69	-10.93
			18 day(s) added to your holding period as a result of a wash sale.					
06/03/11	08/11/10*	SELL FI	MEDIAMIND TECHNOLOGIES INC COM	58449C100	46.000	503.70	758.52	254.82
			21 day(s) added to your holding period as a result of a wash sale.					
06/03/11	08/17/10*	SELL FI	MEDIAMIND TECHNOLOGIES INC COM	58449C100	3.000	34.36	49.47	15.11
			21 day(s) added to your holding period as a result of a wash sale.					
06/03/11	08/18/10*	SELL FI	MEDIAMIND TECHNOLOGIES INC COM	58449C100	2.000	22.94	32.98	10.04
			21 day(s) added to your holding period as a result of a wash sale.					
06/03/11	08/19/10*	SELL FI	MEDIAMIND TECHNOLOGIES INC COM	58449C100	1.000	11.46	16.49	5.03
			21 day(s) added to your holding period as a result of a wash sale.					
06/03/11	08/24/10*	SELL FI	MEDIAMIND TECHNOLOGIES INC COM	58449C100	1.000	11.46	16.49	5.03
			21 day(s) added to your holding period as a result of a wash sale.					
06/03/11	08/25/10*	SELL FI	MEDIAMIND TECHNOLOGIES INC COM	58449C100	4.000	45.99	65.96	19.97
			21 day(s) added to your holding period as a result of a wash sale.					
06/03/11	08/30/10*	SELL FI	MEDIAMIND TECHNOLOGIES INC COM	58449C100	1.000	11.49	16.49	5.00
			21 day(s) added to your holding period as a result of a wash sale.					
06/03/11	01/20/11	SELL FI	MEDLEY CAP CORP COM	MCC	304.000	3,596.32	3,449.89	-146.43
			21 day(s) added to your holding period as a result of a wash sale.					
06/03/11	02/09/11	SELL FI	MEDLEY CAP CORP COM	MCC	16.000	192.44	181.57	-10.87
			21 day(s) added to your holding period as a result of a wash sale.					
06/03/11	02/10/11	SELL FI	MEDLEY CAP CORP COM	MCC	34.000	409.08	385.84	-23.24
			21 day(s) added to your holding period as a result of a wash sale.					
06/03/11	02/11/11	SELL FI	MEDLEY CAP CORP COM	MCC	22.000	264.90	249.66	-15.24
			21 day(s) added to your holding period as a result of a wash sale.					
06/03/11	02/14/11	SELL FI	MEDLEY CAP CORP COM	MCC	1.000	12.05	11.36	-0.69
			21 day(s) added to your holding period as a result of a wash sale.					
06/03/11	06/08/10*	SELL FI	MIDDLEBY CORP	MIDD	16.000	854.36	1,332.61	478.25
			21 day(s) added to your holding period as a result of a wash sale.					
06/03/11	06/09/10*	SELL FI	MIDDLEBY CORP	MIDD	4.000	213.95	333.15	119.20
			21 day(s) added to your holding period as a result of a wash sale.					
06/03/11	08/25/10*	SELL FI	MIDDLEBY CORP	MIDD	1.000	54.17	83.29	29.12



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Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
06/03/11	08/26/10*	SELL FI	MIDDLEBY CORP	MIDD	6,000	324.93	499.73	174.80
06/03/11	08/31/10*	SELL FI	MIDDLEBY CORP	MIDD	1,000	54.19	83.30	29.11
06/03/11	12/31/10*	SELL FI	MIPS TECHNOLOGIES INC COM CL A	MIPS	6,000	91.77	44.12	-47.65
06/03/11	01/31/11	SELL FI	MIPS TECHNOLOGIES INC COM CL A	MIPS	66,000	820.82	485.27	-335.55
06/03/11	11/30/10*	SELL FI	MONRO MUFFLER BRAKE INC	MNRO	24,000	787.81	759.65	-15.25
06/03/11	12/01/10*	SELL FI	MONRO MUFFLER BRAKE INC	MNRO	16,500	545.28	522.26	-12.913
06/03/11	12/02/10*	SELL FI	MONRO MUFFLER BRAKE INC	MNRO	9,000	297.60	284.87	-12.73
06/03/11	12/03/10*	SELL FI	MONRO MUFFLER BRAKE INC	MNRO	4,500	148.46	142.44	-6.02
06/03/11	12/07/10*	SELL FI	MONRO MUFFLER BRAKE INC	MNRO	10,500	366.05	332.35	-33.70
06/03/11	12/08/10*	SELL FI	MONRO MUFFLER BRAKE INC	MNRO	10,500	367.98	332.35	-35.63
06/03/11	12/13/10*	SELL FI	MONRO MUFFLER BRAKE INC	MNRO	6,000	207.91	189.91	-18.00
06/03/11	05/17/11	SELL FI	MONRO MUFFLER BRAKE INC	MNRO	11,000	352.70	348.18	-4.52
185 day(s) added to your holding period as a result of a wash sale.								
06/03/11	03/15/11	SELL FI	NATUS MED INC DEL COM	BABY	18,000	280.19	287.01	6.82
06/03/11	03/16/11	SELL FI	NATUS MED INC DEL COM	BABY	31,000	475.35	494.29	18.94
06/03/11	03/17/11	SELL FI	NATUS MED INC DEL COM	BABY	25,000	385.34	398.62	13.28
06/03/11	03/18/11	SELL FI	NATUS MED INC DEL COM	BABY	39,000	597.63	621.85	24.22



Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
06/03/11	03/21/11	SELL FI	NATUS MED INC DEL COM	BABY	6,000	95.91	95.67	0.00
06/03/11	03/22/11	SELL FI	NATUS MED INC DEL COM	BABY	14,000	227.44	223.23	-0.243
06/03/11	03/23/11	SELL FI	NATUS MED INC DEL COM	BABY	25,000	403.04	398.62	-4.273
06/03/11	03/24/11	SELL FI	NATUS MED INC DEL COM	BABY	7,000	114.58	111.61	-4.423
06/03/11	03/29/11	SELL FI	NATUS MED INC DEL COM	BABY	4,000	66.00	63.78	0.00
06/03/11	03/30/11	SELL FI	NATUS MED INC DEL COM	BABY	2,000	33.00	31.89	-2.223
06/03/11	03/31/11	SELL FI	NATUS MED INC DEL COM	BABY	1,000	16.50	15.94	-1.113
06/03/11	04/15/11	SELL FI	NATUS MED INC DEL COM	BABY	4,000	65.73	63.78	0.00
06/03/11	04/18/11	SELL FI	NATUS MED INC DEL COM	BABY	14,000	230.51	223.23	-1.953
06/03/11	04/19/11	SELL FI	NATUS MED INC DEL COM	BABY	22,000	362.61	350.79	0.00
06/03/11	05/17/11	SELL FI	NATUS MED INC DEL COM	BABY	1,000	16.54	15.94	-7.283
06/03/11	05/20/11	SELL FI	74 day(s) added to your holding period as a result of a wash sale. NATUS MED INC DEL COM	BABY	5,000	83.16	79.72	0.00
06/03/11	05/20/11	SELL FI	74 day(s) added to your holding period as a result of a wash sale. NATUS MED INC DEL COM	BABY	12,000	202.72	191.34	-3.443
06/03/11	05/23/11	SELL FI	73 day(s) added to your holding period as a result of a wash sale. NATUS MED INC DEL COM	BABY	2,000	33.53	31.89	0.00
06/03/11	05/23/11	SELL FI	73 day(s) added to your holding period as a result of a wash sale. NATUS MED INC DEL COM	BABY	25,000	416.04	398.62	-1.643
06/03/11	05/23/11	SELL FI	72 day(s) added to your holding period as a result of a wash sale. NATUS MED INC DEL COM	BABY	7,000	118.22	111.61	-4.88
06/03/11	05/23/11	SELL FI	71 day(s) added to your holding period as a result of a wash sale. NATUS MED INC DEL COM	BABY	4,000	68.08	63.78	-12.543
06/03/11	05/23/11	SELL FI	66 day(s) added to your holding period as a result of a wash sale.					-6.61
06/03/11	05/23/11	SELL FI						-4.30



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Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
06/03/11	05/23/11	SELL FI	NATUS MED INC DEL COM	BABY	2.000	34.04	31.89	-2.15
			65 day(s) added to your holding period as a result of a wash sale.					
06/03/11	05/23/11	SELL FI	NATUS MED INC DEL COM	BABY	1.000	17.02	15.94	-1.08
			64 day(s) added to your holding period as a result of a wash sale.					
06/03/11	05/23/11	SELL FI	NATUS MED INC DEL COM	BABY	4.000	67.81	63.78	-4.03
			49 day(s) added to your holding period as a result of a wash sale.					
06/03/11	05/23/11	SELL FI	NATUS MED INC DEL COM	BABY	4.000	67.94	63.78	-4.16
			46 day(s) added to your holding period as a result of a wash sale.					
06/03/11	05/24/11	SELL FI	NATUS MED INC DEL COM	BABY	10.000	170.78	159.45	-11.33
			46 day(s) added to your holding period as a result of a wash sale.					
06/03/11	05/24/11	SELL FI	NATUS MED INC DEL COM	BABY	15.000	256.44	239.17	-17.27
			45 day(s) added to your holding period as a result of a wash sale.					
06/03/11	05/25/11	SELL FI	NATUS MED INC DEL COM	BABY	7.000	119.85	111.61	-8.24
			45 day(s) added to your holding period as a result of a wash sale.					
06/03/11	05/25/11	SELL FI	NATUS MED INC DEL COM	BABY	1.000	17.18	15.94	-1.24
			17 day(s) added to your holding period as a result of a wash sale.					
06/03/11	05/25/11	SELL FI	NATUS MED INC DEL COM	BABY	5.000	86.36	79.72	-6.64
			14 day(s) added to your holding period as a result of a wash sale.					
06/03/11	05/25/11	SELL FI	NATUS MED INC DEL COM	BABY	12.000	210.39	191.34	-19.05
			14 day(s) added to your holding period as a result of a wash sale.					
06/03/11	05/25/11	SELL FI	NATUS MED INC DEL COM	BABY	2.000	34.81	31.89	-2.92
			11 day(s) added to your holding period as a result of a wash sale.					
06/03/11	05/25/11	SELL FI	NATUS MED INC DEL COM	BABY	10.000	172.81	159.45	-13.36

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
06/03/11	05/26/11	SELL FI	11 day(s) added to your holding period as a result of a wash sale. NATUS MED INC DEL COM	BABY	8,000	139.54	127.60	-11.94
06/03/11	02/18/11	SELL FI	11 day(s) added to your holding period as a result of a wash sale. NORTHERN OIL & GAS INC NEV COM	NOG	24,000	669.29	423.83	-245.46
06/03/11	03/23/11	SELL FI	NORTHERN OIL & GAS INC NEV COM	NOG	12,000	345.98	211.92	-134.06
06/03/11	03/23/11	SELL FI	35 day(s) added to your holding period as a result of a wash sale. NORTHERN OIL & GAS INC NEV COM	NOG	15,000	389.88	264.89	-124.99
06/03/11	05/25/11	SELL FI	OCZ TECHNOLOGY GROUP INC COM	OCZ	212,000	1,660.32	1,676.97	16.65
06/03/11	05/26/11	SELL FI	OCZ TECHNOLOGY GROUP INC COM	OCZ	211,000	1,642.74	1,669.06	26.32
06/03/11	05/27/11	SELL FI	OCZ TECHNOLOGY GROUP INC COM	OCZ	116,000	933.14	917.59	-15.55
06/03/11	12/02/10*	SELL FI	OLD DOMINION FREIGHT LINE INC COM	ODFL	45,000	1,345.19	1,558.90	213.71
06/03/11	12/03/10*	SELL FI	OLD DOMINION FREIGHT LINE INC COM	ODFL	48,000	1,458.48	1,662.83	204.35
06/03/11	02/02/11	SELL FI	OLD DOMINION FREIGHT LINE INC COM	ODFL	53,000	1,633.84	1,836.04	202.20
06/03/11	02/04/11	SELL FI	OLD DOMINION FREIGHT LINE INC COM	ODFL	2,000	60.00	69.28	9.28
06/03/11	02/07/11	SELL FI	OLD DOMINION FREIGHT LINE INC COM	ODFL	11,000	329.75	381.06	51.31
06/03/11	02/09/11	SELL FI	OLD DOMINION FREIGHT LINE INC COM	ODFL	7,000	209.57	242.50	32.93
06/03/11	02/23/11	SELL FI	OLD DOMINION FREIGHT LINE INC COM	ODFL	9,000	274.96	311.78	36.82
06/03/11	02/25/11	SELL FI	PAR PHARMACEUTICAL COS INC COM	PRX	29,000	900.30	960.48	60.18
06/03/11	02/28/11	SELL FI	PAR PHARMACEUTICAL COS INC COM	PRX	75,000	2,323.76	2,484.00	160.24
06/03/11	03/01/11	SELL FI	PAR PHARMACEUTICAL COS INC COM	PRX	76,000	2,358.91	2,517.11	158.20
06/03/11	06/29/10*	SELL FI	PINNACLE FINL PARTNERS INC COM	PNFP	7,000	88.88	100.84	11.96
06/03/11	06/30/10*	SELL FI	PINNACLE FINL PARTNERS INC COM	PNFP	33,000	427.23	475.37	48.14



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Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
06/03/11	07/01/10*	SELL FI	PINNACLE FINL PARTNERS INC COM	PNFP	35,000	445.65	504.18	58.53
06/03/11	07/02/10*	SELL FI	PINNACLE FINL PARTNERS INC COM	PNFP	15,000	188.15	216.08	27.93
06/03/11	07/06/10*	SELL FI	PINNACLE FINL PARTNERS INC COM	PNFP	14,000	173.85	201.67	27.82
06/03/11	07/07/10*	SELL FI	PINNACLE FINL PARTNERS INC COM	PNFP	12,000	150.25	172.86	22.61
06/03/11	07/08/10*	SELL FI	PINNACLE FINL PARTNERS INC COM	PNFP	6,000	77.70	86.43	8.73
06/03/11	07/15/10*	SELL FI	PINNACLE FINL PARTNERS INC COM	PNFP	7,000	93.67	100.84	7.17
06/03/11	10/20/10*	SELL FI	PINNACLE FINL PARTNERS INC COM	PNFP	51,000	560.80	734.67	173.87
06/03/11	10/21/10*	SELL FI	PINNACLE FINL PARTNERS INC COM	PNFP	29,000	318.98	417.75	98.77
06/03/11	11/22/10*	SELL FI	PINNACLE FINL PARTNERS INC COM	PNFP	8,000	87.99	115.24	27.25
06/03/11	12/03/10*	SELL FI	PINNACLE FINL PARTNERS INC COM	PNFP	18,000	194.52	259.29	64.77
06/03/11	12/06/10*	SELL FI	PINNACLE FINL PARTNERS INC COM	PNFP	5,000	54.74	72.03	17.29
06/03/11	12/28/10*	SELL FI	PINNACLE FINL PARTNERS INC COM	PNFP	10,000	132.64	144.05	11.41
06/03/11	12/29/10*	SELL FI	PINNACLE FINL PARTNERS INC COM	PNFP	16,000	216.64	230.48	13.84
06/03/11	12/30/10*	SELL FI	PINNACLE FINL PARTNERS INC COM	PNFP	22,000	302.29	316.91	14.62
06/03/11	12/31/10*	SELL FI	PINNACLE FINL PARTNERS INC COM	PNFP	23,000	313.82	331.33	17.51
06/03/11	01/07/11	SELL FI	POLARIS INDUSTRIES INC COM	PII	15,000	1,068.70	1,550.97	482.27
06/03/11	01/10/11	SELL FI	POLARIS INDUSTRIES INC COM	PII	32,000	2,287.84	3,308.73	1,020.89

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term (continued)								
06/03/11	06/30/10 *	SELL FI	REGAL ENTMT GROUP CL A	RGC	17,000	223.22	213.88	-9.34
06/03/11	12/16/10 *	SELL FI	REGAL ENTMT GROUP CL A	RGC	59,000	764.39	742.30	-22.09
06/03/11	07/22/10 *	SELL FI	SXC HEALTH SOLUTIONS CORP COM	SXCI	90,000	2,877.50	5,234.73	2,357.23
06/03/11	07/28/10 *	SELL FI	SXC HEALTH SOLUTIONS CORP COM	SXCI	6,000	192.63	348.98	156.35
06/03/11	08/05/10 *	SELL FI	SXC HEALTH SOLUTIONS CORP COM	SXCI	14,000	514.40	814.29	299.89
06/03/11	08/19/10 *	SELL FI	SXC HEALTH SOLUTIONS CORP COM	SXCI	28,000	1,035.27	1,628.58	593.31
06/03/11	08/20/10 *	SELL FI	SXC HEALTH SOLUTIONS CORP COM	SXCI	20,000	736.32	1,163.27	426.95
06/03/11	08/23/10 *	SELL FI	SXC HEALTH SOLUTIONS CORP COM	SXCI	4,000	147.87	232.65	84.78
06/03/11	08/24/10 *	SELL FI	SXC HEALTH SOLUTIONS CORP COM	SXCI	6,000	221.53	348.98	127.45
06/03/11	08/25/10 *	SELL FI	SXC HEALTH SOLUTIONS CORP COM	SXCI	4,000	147.48	232.65	85.17
06/03/11	11/02/10 *	SELL FI	SXC HEALTH SOLUTIONS CORP COM	SXCI	9,000	348.54	523.47	174.93
06/03/11	11/03/10 *	SELL FI	SXC HEALTH SOLUTIONS CORP COM	SXCI	42,000	1,674.75	2,442.87	768.12
06/03/11	11/09/10 *	SELL FI	SXC HEALTH SOLUTIONS CORP COM	SXCI	15,000	568.42	872.45	304.03
06/03/11	02/25/11	SELL FI	SXC HEALTH SOLUTIONS CORP COM	SXCI	19,000	927.29	1,105.13	177.84
06/03/11	12/08/10 *	SELL FI	STILLWATER MNG CO COM	SWC	115,000	2,208.93	2,254.08	45.15
06/03/11	12/09/10 *	SELL FI	STILLWATER MNG CO COM	SWC	2,000	38.67	39.20	0.53
06/03/11	12/13/10 *	SELL FI	STILLWATER MNG CO COM	SWC	69,000	1,444.02	1,352.45	-91.57
06/03/11	12/14/10 *	SELL FI	STILLWATER MNG CO COM	SWC	1,000	21.00	19.60	-1.40
06/03/11	12/15/10 *	SELL FI	STILLWATER MNG CO COM	SWC	76,000	1,589.46	1,489.65	-99.81
06/03/11	12/20/10 *	SELL FI	STILLWATER MNG CO COM	SWC	51,000	1,064.62	999.64	-64.98
06/03/11	02/15/11	SELL FI	STILLWATER MNG CO COM	SWC	28,000	699.60	548.82	-150.78



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Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
06/03/11	05/18/11	SELL FI	TIVO INC COM	TIVO	167,000	1,581.17	1,730.27	149.10
06/03/11	05/19/11	SELL FI	TIVO INC COM	TIVO	79,000	752.55	818.51	65.96
06/03/11	05/19/11	SELL FI	TIVO INC COM	TIVO	333,000	3,159.00	3,450.18	291.18
06/03/11	12/16/10 *	SELL FI	TOWER BANCORP INC COM	TOBC	12,000	258.24	232.51	-25.73
06/03/11	04/18/11	SELL FI	US ECOLOGY INC COM	ECOL	12,000	202.07	192.99	-9.08
06/03/11	04/19/11	SELL FI	US ECOLOGY INC COM	ECOL	19,000	324.36	305.57	-18.79
06/03/11	04/20/11	SELL FI	US ECOLOGY INC COM	ECOL	16,000	281.68	257.32	-24.36
06/03/11	04/21/11	SELL FI	US ECOLOGY INC COM	ECOL	11,000	195.03	176.91	-18.12
06/03/11	04/25/11	SELL FI	US ECOLOGY INC COM	ECOL	16,000	283.34	257.32	-26.02
06/03/11	04/26/11	SELL FI	US ECOLOGY INC COM	ECOL	10,000	180.55	160.83	-19.72
06/03/11	04/27/11	SELL FI	US ECOLOGY INC COM	ECOL	10,000	178.54	160.83	-17.71
06/03/11	04/28/11	SELL FI	US ECOLOGY INC COM	ECOL	11,000	196.33	176.91	-19.42
06/03/11	04/29/11	SELL FI	US ECOLOGY INC COM	ECOL	8,000	146.81	128.66	-18.15
06/03/11	05/02/11	SELL FI	US ECOLOGY INC COM	ECOL	17,000	311.12	273.39	-37.73
06/03/11	05/03/11	SELL FI	VISHAY INTERTECHNOLOGY INC	VSH	151,000	2,574.60	2,277.03	-5.91
06/03/11	05/04/11	SELL FI	VISHAY INTERTECHNOLOGY INC	VSH	70,000	1,290.51	1,055.58	-234.93

31 day(s) added to your holding period as a result of a wash sale.

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
06/03/11	05/05/11	SELL FI	VISHAY INTERTECHNOLOGY INC	VSH	78,000	1,452.11	1,176.22	-275.89
06/03/11	12/27/10	SELL FI	31 day(s) added to your holding period as a result of a wash sale. WESTAMERICA BANCORPORATION COM	WABC	20,000	1,127.02	949.97	-177.05
06/06/11	01/31/11	SELL FI	ACACIA RESH CORP AR ACACIA TECHNOLOGIES	ACTG	7,000	170.69	245.06	74.37
06/06/11	06/03/11	SELL FI	ANSYS INC COM	ANSS	5,000	274.49	271.74	-2.75
06/06/11	06/03/11	SELL FI	ATLAS AIR WORLDWIDE HLDGS INC COM NEW	AAWW	4,000	237.43	236.59	-0.84
06/06/11	06/03/11	SELL FI	BALLY TECHNOLOGIES INC COM	BYI	5,000	190.05	188.24	-1.81
06/06/11	06/03/11	SELL FI	BJS RESTAURANTS INC COM	BJRI	10,000	474.67	470.99	-3.68
06/06/11	06/03/11	SELL FI	BRUKER CORP COM	BRKR	7,000	127.95	126.90	-1.05
06/06/11	06/03/11	SELL FI	CATALYST HEALTH SOLUTIONS INC COM	CHSI	1,000	57.73	53.96	-3.77
06/06/11	06/03/11	SELL FI	CENTENE CORP DEL COM	CNC	1,000	34.52	33.86	-0.66
06/06/11	06/03/11	SELL FI	CLOUD PEAK ENERGY INC COM	CLD	10,000	197.59	200.09	2.50
06/06/11	06/03/11	SELL FI	COHERENT INC	COHR	11,000	577.71	568.68	-9.03
06/06/11	06/03/11	SELL FI	DTS INC COM	DTSI	3,000	129.64	125.72	-3.92
06/06/11	06/03/11	SELL FI	DECKERS OUTDOOR CORP COM	DECK	1,000	85.76	83.13	-2.63
06/06/11	06/03/11	SELL FI	FTI CONSULTING INC COM	FCN	5,000	184.89	185.49	0.60
06/06/11	06/03/11	SELL FI	FRESH MKT INC COM	TFM	37,000	1,236.11	1,229.48	-6.63
06/06/11	06/03/11	SELL FI	GEO GROUP INC COM	GEO	12,000	284.51	282.23	-2.28
06/06/11	06/03/11	SELL FI	GRAFTECH INTL LTD COM	GTI	13,000	260.50	255.83	-4.67
06/06/11	06/03/11	SELL FI	HUNTSMAN CORP COM	HUN	20,000	363.77	360.19	-3.58
06/06/11	06/03/11	SELL FI	JAMES RIV COAL CO COM NEW	JRCC	4,000	81.59	81.27	-0.32



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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term (continued)								
06/06/11	06/03/11	SELL FI	JETBLUE AIRWAYS CORP COM	JBLU	52.000	296.87	292.75	-4.12
06/06/11	06/03/11	SELL FI	LANDSTAR SYSTEMS INC COM	LSTR	4.000	177.99	176.51	-1.48
06/06/11	06/03/11	SELL FI	MEDASSETS INC COM	MDAS	12.000	170.15	167.39	-2.76
06/06/11	06/03/11	SELL FI	MERITOR INC COM	MTOR	31.000	475.18	468.40	-6.78
06/06/11	06/03/11	SELL FI	MONSTER WORLDWIDE INC COM	MWW	18.000	245.29	237.77	-7.52
06/06/11	06/03/11	SELL FI	PLANTRONICS INC NEW COM	PLT	1.000	35.27	35.27	0.00
06/06/11	06/03/11	SELL FI	QUALITY SYS INC	QSII	3.000	246.24	242.96	-3.28
06/06/11	06/03/11	SELL FI	REGAL BELOIT CORP WISCONSIN	RBC	4.000	266.91	264.59	-2.32
06/06/11	06/03/11	SELL FI	ROVI CORP COM	ROVI	4.000	223.07	216.47	-6.60
06/06/11	06/03/11	SELL FI	SAPIENT CORP COM	SAPE	12.000	169.43	169.07	-0.36
06/06/11	06/03/11	SELL FI	SEATTLE GENETICS INC COM	SGEN	1.000	19.01	19.15	0.14
06/06/11	06/03/11	SELL FI	SHUFFLE MASTER INC COM	SHFL	18.000	186.30	185.93	-0.37
06/06/11	06/03/11	SELL FI	SIRONA DENTAL SYS INC COM	SIRO	4.000	207.40	204.39	-3.01
06/06/11	06/03/11	SELL FI	SOTHEBYS CL A	BID	7.000	278.45	274.25	-4.20
06/06/11	06/03/11	SELL FI	TERADYNE INC	TER	14.000	210.52	209.15	-1.37
06/06/11	06/03/11	SELL FI	THORATEC CORP COM NEW	THOR	14.000	462.13	455.13	-7.00
06/06/11	06/03/11	SELL FI	TIBCO SOFTWARE INC COM	TIBX	35.000	939.71	929.93	-9.78



Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
06/06/11	06/03/11	SELL FI	UNIVERSAL ELCECTRS INC COM	UEIC	18,000	443.86	446.57	2.71
06/06/11	06/03/11	SELL FI	VEECO INSTRS INC DEL COM	VECO	3,000	160.94	159.62	-1.32
06/06/11	06/03/11	SELL FI	WABCO HLDGS INC COM	WBC	1,000	67.45	66.25	-1.20
06/13/11	06/30/10 *	SELL FI	ERESEARCHTECHNOLOGY INC COM	ERT	94,000	753.94	523.57	-230.37
06/13/11	07/01/10 *	SELL FI	ERESEARCHTECHNOLOGY INC COM	ERT	29,000	230.84	161.53	-69.31
06/13/11	07/29/10 *	SELL FI	ERESEARCHTECHNOLOGY INC COM	ERT	32,000	255.28	178.24	-77.04
06/13/11	07/30/10 *	SELL FI	ERESEARCHTECHNOLOGY INC COM	ERT	6,000	47.62	33.42	-14.20
06/13/11	08/02/10 *	SELL FI	ERESEARCHTECHNOLOGY INC COM	ERT	25,000	200.00	139.25	-60.75
06/13/11	08/03/10 *	SELL FI	ERESEARCHTECHNOLOGY INC COM	ERT	6,000	48.86	33.38	-15.48
06/22/11	06/03/11	SELL FI	AMERICAN AXLE & MFG HLDGS INC COM	AXL	454,000	4,843.70	4,993.90	150.20
06/22/11	06/06/11	SELL FI	AMERICAN AXLE & MFG HLDGS INC COM	AXL	9,000	94.32	99.00	4.68
06/24/11	04/27/11	SELL FI	SUCCESSFACTORS INC COM	SFSF	9,000	326.72	246.61	0.00
07/08/11	06/03/11	SELL FI	TIBCO SOFTWARE INC COM	TIBX	126,000	3,382.97	3,867.05	-80.113
07/13/11	06/03/11	SELL FI	INFORMATICA CORP	INFA	102,000	5,829.16	6,014.78	484.08
07/29/11	06/16/11	SELL FI	PANDORA MEDIA INC COM	P	176,000	2,768.40	2,752.60	185.62
07/29/11	06/17/11	SELL FI	PANDORA MEDIA INC COM	P	28,000	364.56	437.91	-15.80
08/01/11	06/06/11	SELL FI	REALD INC COM	RLD	93,000	2,301.74	1,374.93	73.35
08/01/11	06/10/11	SELL FI	REALD INC COM	RLD	104,000	2,212.08	1,537.55	-926.81
08/01/11	06/13/11	SELL FI	REALD INC COM	RLD	52,000	1,129.92	768.78	-674.53
08/03/11	06/03/11	SELL FI	ANSYS INC COM	ANSS	107,000	5,873.99	5,191.71	-361.14
08/03/11	06/03/11	SELL FI	TENNECO INC COM	TEN	47,000	1,861.41	1,796.34	-682.28
08/03/11	06/03/11	SELL FI	TENNECO INC COM	TEN	47,000	1,861.41	1,796.34	-65.07



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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
08/09/11	06/03/11	SELL FI	JAMES RIV COAL CO COM NEW	JRCC	110.000	2,243.68	1,468.61	-775.07
08/24/11	06/03/11	TNDG FI	EMS TECHNOLOGIES INC COM CASH MERGER	26873N108	248.000	6,424.66	8,184.00	1,759.34
08/24/11	06/06/11	TNDG FI	EMS TECHNOLOGIES INC COM CASH MERGER	26873N108	18.000	464.40	594.00	129.60
08/26/11	06/03/11	TNDG FI	RADIANT SYS INC COM C/A EFF 8/24/11	75025N102	290.000	5,885.14	8,120.00	2,234.86
08/26/11	06/06/11	TNDG FI	RADIANT SYS INC COM C/A EFF 8/24/11	75025N102	3.000	60.36	84.00	23.64
09/02/11	06/03/11	SELL FI	HERBALIFE LTD USD COM SHS	HLF	71.000	3,931.77	3,920.54	-11.23
09/02/11	01/31/11	SELL FI	ACACIA RESH CORP AR ACACIA TECHNOLOGIES	ACTG	54.000	1,316.74	2,231.24	914.50
09/02/11	02/01/11	SELL FI	ACACIA RESH CORP AR ACACIA TECHNOLOGIES	ACTG	1.000	24.50	41.32	16.82
09/02/11	03/25/11	SELL FI	ACACIA RESH CORP AR ACACIA TECHNOLOGIES	ACTG	7.000	225.39	289.23	63.84
09/02/11	06/03/11	SELL FI	ALLSCRIPTS HEALTHCARE SOLUTIONS	MDRX	97.000	1,927.11	1,652.84	-274.27
09/02/11	06/03/11	SELL FI	ANSYS INC COM	ANSS	43.000	2,360.57	2,199.83	-160.74
09/02/11	06/03/11	SELL FI	ATLAS AIR WORLDWIDE HLDGS INC COM NEW	AAWW	31.000	1,840.07	1,455.73	-384.34
09/02/11	06/03/11	SELL FI	BALLY TECHNOLOGIES INC COM	BYI	76.000	2,888.76	2,243.47	-645.29
09/02/11	06/03/11	SELL FI	BIOMARIN PHARMACEUTICALS INC	BMRN	94.000	2,643.01	2,649.80	6.79
09/02/11	06/03/11	SELL FI	BJS RESTAURANTS INC COM	BIRI	125.000	5,933.39	5,606.50	-326.89
09/02/11	06/03/11	SELL FI	BRIGHAM EXPL CO COM CASH MGR	BEXP	63.000	1,837.53	1,743.80	-93.73
09/02/11	07/19/11	SELL FI	BROADSOFT INC COM	BSFT	30.000	1,184.61	864.28	-320.33

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
09/02/11	06/03/11	SELL FI	BRUKER CORP COM	BRKR	195.000	3,564.42	2,578.42	-232.59
09/02/11	06/03/11	SELL FI	CASH AMER INTL INC	CSH	92.000	4,633.95	4,886.02	-753.413
09/02/11	06/03/11	SELL FI	CATALYST HEALTH SOLUTIONS INC COM	CHSI	46.000	2,655.44	2,470.61	252.07
09/02/11	06/03/11	SELL FI	CENTENE CORP DEL COM	CNC	109.000	3,763.03	3,365.08	-184.83
09/02/11	06/03/11	SELL FI	CLOUD PEAK ENERGY INC COM	CLD	97.000	1,916.57	1,868.18	-397.95
09/02/11	08/23/11	SELL FI	COGNEX CORP	CGNX	58.000	1,757.34	1,714.44	-48.39
09/02/11	06/03/11	SELL FI	COHERENT INC	COHR	81.000	4,254.05	3,311.21	0.00
09/02/11	08/11/11	SELL FI	CONCUR TECHNOLOGIES INC COM	CNQR	25.000	944.99	971.98	-42.903
09/02/11	07/22/11	SELL FI	CYMER INC COM	CYMI	26.000	1,178.99	980.18	-942.84
09/02/11	06/03/11	SELL FI	DTS INC COM	DTSI	96.000	4,148.39	2,738.82	26.99
09/02/11	06/03/11	SELL FI	DECKERS OUTDOOR CORP COM	DECK	36.000	3,087.26	3,088.74	-198.81
09/02/11	06/03/11	SELL FI	DUFF & PHELPS CORP NEW CL A COM	DUF	81.000	1,136.37	806.74	-1,409.57
09/02/11	06/03/11	SELL FI	FTI CONSULTING INC COM	FCN	95.000	3,512.82	3,304.98	1.48
09/02/11	06/03/11	SELL FI	FRESH MKT INC COM	TFM	84.000	2,806.30	3,020.58	-329.63
09/02/11	08/02/11	SELL FI	FUSION-IO INC COM	FIO	38.000	1,121.43	842.06	-207.84
09/02/11	06/03/11	SELL FI	GEO GROUP INC COM	GEO	149.000	3,532.70	3,068.28	214.28
09/02/11	06/03/11	SELL FI	GNC HLDGS INC COM CL A	GNC	139.000	2,690.04	3,247.20	-279.37
09/02/11	06/03/11	SELL FI	GENESCO INC	GCO	140.000	6,029.35	6,613.88	-464.42
09/02/11	06/03/11	SELL FI	GRAFTECH INTL LTD COM	GTI	156.000	3,126.04	2,207.80	557.16
09/02/11	06/03/11	SELL FI	HUNTSMAN CORP COM	HUN	325.000	5,911.20	4,001.61	584.53
09/02/11	06/03/11	SELL FI	ICON PUB LTD CO SPONSORED ADR	ICLR	63.000	1,592.46	1,281.39	-918.24
09/02/11	06/03/11	SELL FI						-1,909.59
09/02/11	06/03/11	SELL FI						-311.07



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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
09/02/11	03/02/11	SELL FI	IMPAX LABORATORIES INC COM	IPXL	30.000	654.01	562.49	-91.52
09/02/11	03/03/11	SELL FI	IMPAX LABORATORIES INC COM	IPXL	13.000	295.25	243.74	-51.51
09/02/11	06/03/11	SELL FI	INFORMATICA CORP	INFA	77.000	4,400.45	2,939.80	-1,460.65
09/02/11	06/03/11	SELL FI	INTREPID POTASH INC COM	IPI	66.000	2,034.59	2,181.91	147.32
09/02/11	06/03/11	SELL FI	JETBLUE AIRWAYS CORP COM	JBLU	260.000	1,484.33	1,055.74	-428.59
09/02/11	08/23/11 *	SELL FI	KKR FINANCIAL HLDGS LLC COM	KFN	119.000	923.25	948.76	25.51
09/02/11	06/03/11	SELL FI	LANDSTAR SYSTEMS INC COM	LSTR	46.000	2,046.87	1,826.62	-220.25
09/02/11	06/03/11	SELL FI	LUFKIN INDS INC COM	LUFK	111.000	9,800.05	6,542.53	-3,257.52
09/02/11	06/03/11	SELL FI	MADDEN STEVEN LTD COM	SHOO	83.000	2,868.41	2,746.41	-122.00
09/02/11	06/03/11	SELL FI	MEDASSETS INC COM	MIDAS	132.000	1,871.63	1,341.47	-530.16
09/02/11	06/03/11	SELL FI	MEDIDATA SOLUTIONS INC COM	MDSO	136.000	3,090.45	2,142.34	-948.11
09/02/11	06/03/11	SELL FI	MERITOR INC COM	MTOR	247.000	3,786.13	1,882.82	-1,903.31
09/02/11	06/03/11	SELL FI	MONSTER WORLDWIDE INC COM	MWW	208.000	2,834.43	1,808.08	0.00
09/02/11	06/03/11	SELL FI	NETLOGIC MICROSYSTEMS INC COM	NETL	65.000	2,449.01	1,930.46	-1,026.353
09/02/11	06/03/11	SELL FI	NORTHWEST PIPE CO COM	NWPX	58.000	1,453.89	1,423.87	-30.02
09/02/11	06/03/11	SELL FI	OYO GEOSPACE COM	OYOG	52.000	4,621.71	3,388.25	-1,233.46
09/02/11	08/23/11	SELL FI	OASIS PETE INC NEW COM	OAS	40.000	907.89	991.98	84.09

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
09/02/11	06/03/11	SELL FI	PAREXEL INTL CORP COM	PRXL	75,000	1,838.03	1,450.47	-387.56
09/02/11	06/03/11	SELL FI	PLANTRONICS INC NEW COM	PLT	61,000	2,151.29	1,814.71	-336.58
09/02/11	06/03/11	SELL FI	QUAKER CHEM CORP COM	KWR	28,000	1,167.32	837.18	-330.14
09/02/11	06/03/11	SELL FI	QLIK TECHNOLOGIES INC COM	QLIK	87,000	2,778.43	2,087.08	-691.35
09/02/11	06/03/11	SELL FI	QUALITY SYS INC	QSII	26,000	2,134.08	2,315.77	181.69
09/02/11	07/14/11	SELL FI	RTI INTL METALS INC COM	RTI	36,000	1,162.03	867.22	-294.81
09/02/11	06/03/11	SELL FI	REDWOOD TR INC COM	RWT	86,000	1,299.46	1,044.01	-255.45
09/02/11	06/03/11	SELL FI	REGAL BELOIT CORP WISCONSIN	RBC	50,000	3,336.35	2,747.44	-588.91
09/02/11	06/03/11	SELL FI	REGENERON PHARMACEUTICALS INC	REGN	23,000	1,345.96	1,471.97	126.01
09/02/11	08/09/11	SELL FI	ROSETTA RES INC COM	ROSE	21,000	959.05	884.71	-74.34
09/02/11	06/03/11	SELL FI	ROVI CORP COM	ROVI	56,000	3,122.96	2,588.83	-534.13
09/02/11	06/03/11	SELL FI	SALIX PHARMACEUTICALS LTD	SLXP	61,000	2,363.57	1,712.23	-651.34
09/02/11	06/03/11	SELL FI	SAPIENT CORP COM	SAPE	261,000	3,685.12	2,617.77	-94.06
09/02/11	06/03/11	SELL FI	SEATTLE GENETICS INC COM	SGEN	107,000	2,033.93	1,786.86	-973.293
09/02/11	06/03/11	SELL FI	SHUFFLE MASTER INC COM	SHFL	237,000	2,452.95	2,220.64	-247.07
09/02/11	06/03/11	SELL FI	SIRONA DENTAL SYS INC COM	SIRO	75,000	3,888.75	3,326.18	-562.57
09/02/11	06/03/11	SELL FI	SOTHEBYS CL A	BID	97,000	3,958.55	3,366.80	-491.75
09/02/11	08/26/11	SELL FI	STIFEL FINANCIAL CORP	SF	34,000	966.58	938.72	-27.86
09/02/11	04/27/11	SELL FI	SUCCESSFACTORS INC COM	SFSF	23,000	834.95	476.32	0.00
09/02/11	05/13/11	SELL FI	SUCCESSFACTORS INC COM	SFSF	40,000	1,361.08	828.38	-358.633
09/02/11	05/13/11	SELL FI	SUCCESSFACTORS INC COM	SFSF	34,000	1,149.34	704.13	-532.703
09/02/11	05/13/11	SELL FI	SUCCESSFACTORS INC COM	SFSF	34,000	1,149.34	704.13	-65.47
09/02/11	05/13/11	SELL FI	SUCCESSFACTORS INC COM	SFSF	34,000	1,149.34	704.13	-379.743

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HSBC Securities (USA) Inc.
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Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
09/02/11	06/03/11	SELL FI	TENNECO INC COM	TEN	57.000	2,257.45	1,583.17	-574.28
09/02/11	06/03/11	SELL FI	TERADYNE INC	TER	134.000	2,014.97	1,533.32	-481.65
09/02/11	06/03/11	SELL FI	THORATEC CORP COM NEW	THOR	123.000	4,060.12	3,939.97	-120.15
09/02/11	06/03/11	SELL FI	TIBCO SOFTWARE INC COM	TIBX	120.000	3,221.88	2,508.30	-713.58
09/02/11	06/03/11	SELL FI	TITANIUM METALS CORP COM NEW	TIE	195.000	3,462.99	2,937.21	-525.78
09/02/11	06/03/11	SELL FI	TRIUMPH GROUP INC NEW COM	TGI	74.000	3,399.08	3,633.33	234.25
09/02/11	07/06/11	SELL FI	TWO HBRS INVT CORP COM	TWO	176.000	1,930.72	1,606.86	-323.86
09/02/11	06/03/11	SELL FI	UMB FINL CORP COM	UMBF	26.000	1,086.28	917.52	-168.76
09/02/11	06/03/11	SELL FI	UNIVERSAL ELCECTRS INC COM	UEIC	122.000	3,008.41	2,163.36	-845.05
09/02/11	06/03/11	SELL FI	VEECO INSTRS INC DEL COM	VECO	49.000	2,628.71	1,616.47	-1,012.24
09/02/11	06/03/11	SELL FI	VITAMIN SHOPPE INC COM	VSI	108.000	4,380.25	4,734.68	354.43
09/02/11	06/03/11	SELL FI	WABCO HLDGS INC COM	WBC	50.000	3,372.35	2,350.45	-1,021.90
09/06/11	06/03/11	SELL FI	HERBALIFE LTD USD COM SHS	HLF	3.000	166.13	164.48	-1.65
09/06/11	03/25/11	SELL FI	ACACIA RESH CORP AR ACACIA TECHNOLOGIES	ACTG	2.000	64.40	81.69	17.29
09/06/11	06/03/11	SELL FI	ALLSCRIPTS HEALTHCARE SOLUTIONS	MDRX	4.000	79.47	67.03	-12.44
09/06/11	06/03/11	SELL FI	ANSYS INC COM	ANSS	2.000	109.79	102.09	-7.70
09/06/11	06/03/11	SELL FI	ATLAS AIR WORLDWIDE HLDGS INC COM NEW	AAWW	1.000	59.36	43.35	-16.01

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
09/06/11	06/03/11	SELL FI	BALLY TECHNOLOGIES INC COM	BYI	3.000	114.03	86.81	-27.22
09/06/11	06/03/11	SELL FI	BIOMARIN PHARMACEUTICALS INC	BMRN	4.000	112.47	111.87	-0.60
09/06/11	06/03/11	SELL FI	BJS RESTAURANTS INC COM	BJRI	5.000	237.34	215.09	-22.25
09/06/11	06/03/11	SELL FI	BRIGHAM EXPL CO COM CASH MGR	BEXP	2.000	58.33	54.85	-3.48
09/06/11	07/19/11	SELL FI	BROADSOFT INC COM	BSFT	1.000	39.49	28.64	-10.85
09/06/11	06/03/11	SELL SL	BRUKER CORP COM	BRKR	7.000	127.95	88.96	-38.99
09/06/11	06/03/11	SELL FI	CASH AMER INTL INC	CSH	3.000	151.11	160.67	9.56
09/06/11	06/03/11	SELL FI	CATALYST HEALTH SOLUTIONS INC COM	CHSI	2.000	115.45	107.09	-8.36
09/06/11	06/03/11	SELL FI	CENTENE CORP DEL COM	CNC	4.000	138.09	122.07	-16.02
09/06/11	06/03/11	SELL FI	CLOUD PEAK ENERGY INC COM	CLD	4.000	79.03	76.19	-2.84
09/06/11	08/23/11	SELL FI	COGNEX CORP	CGNX	2.000	60.60	58.55	0.00
09/06/11	06/03/11	SELL FI	COHERENT INC	COHR	3.000	157.56	118.70	-38.86
09/06/11	08/11/11	SELL FI	CONCUR TECHNOLOGIES INC COM	CNQR	1.000	37.80	38.59	0.79
09/06/11	07/22/11	SELL FI	CYMER INC COM	CYMI	1.000	45.35	36.38	-8.97
09/06/11	06/03/11	SELL FI	DTS INC COM	DTSI	4.000	172.85	115.39	-57.46
09/06/11	06/03/11	SELL FI	DECKERS OUTDOOR CORP COM	DECK	1.000	85.76	85.88	0.12
09/06/11	06/03/11	SELL FI	DUFF & PHELPS CORP NEW CL A COM	DUF	3.000	42.09	29.78	-12.31
09/06/11	06/03/11	SELL FI	FTI CONSULTING INC COM	FCN	4.000	147.91	137.91	-10.00
09/06/11	06/03/11	SELL FI	FRESH MKT INC COM	TFM	3.000	100.22	109.64	9.42
09/06/11	08/02/11	SELL FI	FUSION-IO INC COM	FIO	1.000	29.51	22.34	-7.17
09/06/11	06/03/11	SELL FI	GEO GROUP INC COM	GEO	6.000	142.26	122.57	-19.69



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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
09/06/11	06/03/11	SELL FI	GNC HLDGS INC COM CL A	GNC	5.000	96.76	114.24	17.48
09/06/11	06/03/11	SELL FI	GENESCO INC	GCO	5.000	215.33	234.14	18.81
09/06/11	06/03/11	SELL FI	GRAFTECH INTL LTD COM	GTI	6.000	120.23	83.15	-37.08
09/06/11	06/03/11	SELL FI	HUNTSMAN CORP COM	HUN	12.000	218.26	144.83	-73.43
09/06/11	06/03/11	SELL FI	ICON PUB LTD CO SPONSORED ADR	ICLR	2.000	50.55	39.99	-10.56
09/06/11	03/03/11	SELL FI	IMPAX LABORATORIES INC COM	IPXL	2.000	45.42	36.93	-8.49
09/06/11	06/03/11	SELL FI	INFORMATICA CORP	INFA	3.000	171.45	109.49	-61.96
09/06/11	06/03/11	SELL FI	INTREPID POTASH INC COM	IPI	2.000	61.65	66.57	4.92
09/06/11	06/03/11	SELL FI	JETBLUE AIRWAYS CORP COM	JBLU	10.000	57.09	40.29	-16.80
09/06/11	08/23/11 *	SELL FI	KKR FINANCIAL HLDGS LLC COM	KFN	4.000	31.03	31.23	0.20
09/06/11	06/03/11	SELL FI	LANDSTAR SYSTEMS INC COM	LSTR	2.000	88.99	77.25	-11.74
09/06/11	06/03/11	SELL FI	LUFKIN INDS INC COM	LUFK	4.000	353.16	240.11	-113.05
09/06/11	06/03/11	SELL FI	MADDEN STEVEN LTD COM	SHOO	3.000	103.68	99.05	-4.63
09/06/11	06/03/11	SELL FI	MEDASSETS INC COM	MDAS	5.000	70.90	50.44	-20.46
09/06/11	06/03/11	SELL FI	MEDIDATA SOLUTIONS INC COM	MDSO	5.000	113.62	78.09	-35.53
09/06/11	06/03/11	SELL FI	MERITOR INC COM	MTOR	9.000	137.96	65.78	-72.18
09/06/11	06/03/11	SELL FI	MONSTER WORLDWIDE INC COM	MWW	8.000	109.02	65.27	-43.75

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
09/06/11	06/03/11	SELL FI	NETLOGIC MICROSYSTEMS INC COM	NETL	2,000	75.35	59.55	-15.80
09/06/11	06/03/11	SELL FI	NORTHWEST PIPE CO COM	NWPX	2,000	50.13	48.65	-1.48
09/06/11	06/03/11	SELL FI	OYO GEOSPACE COM	OYOG	2,000	177.76	126.73	-51.03
09/06/11	08/23/11	SELL FI	OASIS PETE INC NEW COM	OAS	2,000	45.39	48.45	3.06
09/06/11	06/03/11	SELL FI	PAREXEL INTL CORP COM	PRXL	3,000	73.52	56.84	-16.68
09/06/11	06/03/11	SELL FI	PLANTRONICS INC NEW COM	PLT	2,000	70.53	58.79	-11.74
09/06/11	06/03/11	SELL FI	QUAKER CHEM CORP COM	KWR	1,000	41.69	29.77	-11.92
09/06/11	06/03/11	SELL FI	QLIK TECHNOLOGIES INC COM	QLIK	3,000	95.81	68.33	-27.48
09/06/11	06/03/11	SELL FI	QUALITY SYS INC	QSII	1,000	82.08	88.08	6.00
09/06/11	07/14/11	SELL FI	RTI INTL METALS INC COM	RTI	1,000	32.28	23.01	-9.27
09/06/11	06/03/11	SELL FI	REDWOOD TR INC COM	RWT	3,000	45.33	36.35	-8.98
09/06/11	06/03/11	SELL FI	REGAL BELOIT CORP WISCONSIN	RBC	2,000	133.45	109.71	-23.74
09/06/11	06/03/11	SELL FI	REGENERON PHARMACEUTICALS INC	REGN	1,000	58.52	66.67	8.15
09/06/11	08/09/11	SELL FI	ROSETTA RES INC COM	ROSE	1,000	45.67	41.43	-4.24
09/06/11	06/03/11	SELL FI	ROVI CORP COM	ROVI	2,000	111.53	91.05	-20.48
09/06/11	06/03/11	SELL FI	SALIX PHARMACEUTICALS LTD	SLXP	2,000	77.49	56.23	-21.26
09/06/11	06/03/11	SELL FI	SAPIENT CORP COM	SAPE	10,000	141.19	99.39	-41.80
09/06/11	06/03/11	SELL FI	SEATTLE GENETICS INC COM	SGEN	4,000	76.04	69.31	-6.73
09/06/11	06/03/11	SELL FI	SHUFFLE MASTER INC COM	SHFL	9,000	93.15	83.87	-9.28
09/06/11	06/03/11	SELL FI	SIRONA DENTAL SYS INC COM	SIRO	3,000	155.55	130.04	-25.51
09/06/11	06/03/11	SELL FI	SOTHEBYS CL A	BID	4,000	159.12	135.95	-23.17



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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
09/06/11	08/26/11	SELL FI	STIFEL FINANCIAL CORP	SF	1,000	28.43	27.50	-0.93
09/06/11	05/13/11	SELL SL	SUCCESSFACTORS INC COM	SFSF	4,000	135.22	80.71	-54.51
09/06/11	06/03/11	SELL FI	TENNECO INC COM	TEN	2,000	79.21	58.33	-20.88
09/06/11	06/03/11	SELL FI	TERADYNE INC	TER	5,000	75.19	55.14	-20.05
09/06/11	06/03/11	SELL FI	THORATEC CORP COM NEW	THOR	5,000	165.05	155.04	-10.01
09/06/11	06/03/11	SELL FI	TIBCO SOFTWARE INC COM	TIBX	4,000	107.40	81.67	-25.73
09/06/11	06/03/11	SELL FI	TITANIUM METALS CORP COM NEW	TIE	7,000	124.31	103.87	-20.44
09/06/11	06/03/11	SELL FI	TRIUMPH GROUP INC NEW COM	TGI	3,000	137.80	146.84	9.04
09/06/11	07/06/11	SELL FI	TWO HBRS INVT CORP COM	TWO	7,000	76.79	65.37	-11.42
09/06/11	06/03/11	SELL FI	UMB FINL CORP COM	UMBF	1,000	41.78	35.05	-6.73
09/06/11	06/03/11	SELL FI	UNIVERSAL ELCECTRS INC COM	UEIC	5,000	123.30	85.14	-38.16
09/06/11	06/03/11	SELL FI	VEECO INSTRS INC DEL COM	VECO	2,000	107.29	64.29	-43.00
09/06/11	06/03/11	SELL FI	VITAMIN SHOPPE INC COM	VSI	4,000	162.23	174.95	12.72
09/06/11	06/03/11	SELL FI	WABCO HLDGS INC COM	WBC	2,000	134.89	89.97	-44.92
09/26/11	06/03/11	SELL FI	REGENERON PHARMACEUTICALS INC	REGN	28,000	1,638.56	1,820.83	182.27
09/26/11	06/06/11	SELL FI	REGENERON PHARMACEUTICALS INC	REGN	2,000	114.94	130.06	15.12
10/07/11	06/03/11	SELL FI	NETLOGIC MICROSYSTEMS INC COM	NETL	80,000	3,014.17	3,852.03	837.86

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
10/07/11	06/06/11	SELL FI	NETLOGIC MICROSYSTEMS INC COM	NETL	5,000	189.60	240.75	51.15
10/17/11	06/03/11	SELL FI	ICON PUB LTD CO SPONSORED ADR	ICLR	76,000	1,921.06	1,195.16	-725.90
10/17/11	06/06/11	SELL FI	ICON PUB LTD CO SPONSORED ADR	ICLR	6,000	150.54	94.35	-56.19
10/18/11	06/03/11	SELL FI	DECKERS OUTDOOR CORP COM	DECK	25,000	2,143.92	2,528.45	384.53
10/28/11	06/03/11	SELL FI	BRIGHAM EXPL CO COM CASH MGR	BEXP	82,000	2,391.70	2,987.29	595.59
10/28/11	06/06/11	SELL FI	BRIGHAM EXPL CO COM CASH MGR	BEXP	1,000	28.07	36.43	8.36
10/31/11	03/25/11	SELL FI	ACACIA RESH CORP AR ACACIA TECHNOLOGIES	ACTG	1,000	32.20	39.91	7.71
10/31/11	06/03/11	SELL FI	ATLAS AIR WORLDWIDE HLDGS INC COM NEW	AAWW	5,000	296.78	208.49	-88.29
10/31/11	10/11/11	SELL FI	ATWOOD OCEANICS INC	ATW	1,000	38.77	43.59	4.82
10/31/11	06/03/11	SELL FI	BALLY TECHNOLOGIES INC COM	BYI	1,000	38.01	36.52	-1.49
10/31/11	06/03/11	SELL FI	BRUKER CORP COM	BRKR	8,000	146.23	114.47	-31.76
10/31/11	06/03/11	SELL SL	CENTENE CORP DEL COM	CNC	22,000	759.51	774.66	15.15
10/31/11	06/03/11	SELL FI	CLOUD PEAK ENERGY INC COM	CLD	5,000	98.79	117.63	18.84
10/31/11	08/11/11	SELL FI	CONCUR TECHNOLOGIES INC COM	CNQR	2,000	75.60	93.45	17.85
10/31/11	06/03/11	SELL FI	DTS INC COM	DTSI	4,000	172.85	114.55	-58.30
10/31/11	06/03/11	SELL FI	DECKERS OUTDOOR CORP COM	DECK	1,000	85.76	117.70	31.94
10/31/11	06/03/11	SELL FI	DUFF & PHELPS CORP NEW CL A COM	DUF	1,000	14.03	12.56	-1.47
10/31/11	10/06/11	SELL FI	FORTINET INC COM	FTNT	8,000	139.50	181.19	41.69
10/31/11	08/02/11	SELL FI	FUSION-IO INC COM	FIO	7,000	206.58	229.24	22.66
10/31/11	06/03/11	SELL FI	HUNTSMAN CORP COM	HUN	55,000	1,000.36	678.76	-321.60
10/31/11	06/03/11	SELL FI	INTREPID POTASH INC COM	IPI	2,000	61.65	57.23	-4.42



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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term (continued)								
10/31/11	08/23/11 *	SELL FI	KKR FINANCIAL HLDGS LLC COM	KFN	3.000	23.28	24.89	1.61
10/31/11	06/03/11	SELL FI	LUFKIN INDS INC COM	LUFK	14.000	1,236.04	828.96	-407.08
10/31/11	06/03/11	SELL FI	MADDEN STEVEN LTD COM	SHOO	7.000	241.91	258.71	16.80
10/31/11	06/03/11	SELL FI	MERITOR INC COM	MTOR	21.000	321.90	202.99	-118.91
10/31/11	06/03/11	SELL FI	NORTHWEST PIPE CO COM	NWPX	3.000	75.20	78.26	3.06
10/31/11	06/03/11	SELL FI	OYO GEOSPACE COM	OYOG	6.000	533.27	483.05	-50.22
10/31/11	10/27/11	SELL FI	PINNACLE ENTMT INC	PNK	5.000	52.09	54.99	2.90
10/31/11	06/03/11	SELL FI	QUAKER CHEM CORP COM	KWR	2.000	83.38	70.39	-12.99
10/31/11	06/03/11	SELL FI	QLIK TECHNOLOGIES INC COM	QLIK	5.000	159.68	146.74	-12.94
10/31/11	07/14/11	SELL FI	RTI INTL METALS INC COM	RTI	2.000	64.56	55.27	-9.29
10/31/11	06/03/11	SELL FI	REGAL BELOIT CORP WISCONSIN	RBC	3.000	200.18	163.43	-36.75
10/31/11	06/03/11	SELL FI	RIVERBED TECHNOLOGY INC COM	RVBDD	7.000	259.68	196.28	-63.40
10/31/11	06/03/11	SELL SL	SAPIENT CORP COM	SAPE	11.000	155.31	134.11	-21.20
10/31/11	06/03/11	SELL FI	SOTHEBYS CL A	BID	9.000	358.01	326.81	-31.20
10/31/11	08/26/11	SELL FI	STIFEL FINANCIAL CORP	SF	2.000	56.86	64.81	7.95
10/31/11	06/03/11	SELL FI	TERADYNE INC	TER	7.000	105.26	101.51	-3.75
10/31/11	06/03/11	SELL FI	TIBCO SOFTWARE INC COM	TIBX	1.000	26.85	28.84	1.99



Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
10/31/11	06/03/11	SELL FI	TITANIUM METALS CORP COM NEW	TIE	6,000	106.55	103.93	-2.62
10/31/11	07/06/11	SELL FI	TWO HBRS INVT CORP COM	TWO	14,000	153.58	131.59	-21.99
10/31/11	06/03/11	SELL FI	WABCO HLDGS INC COM	WBC	3,000	202.34	149.72	-52.62
11/02/11	06/03/11	SELL FI	THORATEC CORP COM NEW	THOR	53,000	1,749.48	1,590.66	-110.87
11/07/11	08/02/11	SELL FI	FUSION-IO INC COM	FIO	45,000	1,328.00	1,726.14	-47.953
11/17/11	06/03/11	SELL FI	HERBALIFE LTD USD COM SHS	HLF	45,000	2,491.97	2,520.02	398.14
11/21/11	06/03/11	SELL FI	DECKERS OUTDOOR CORP COM	DECK	23,000	1,972.41	2,236.94	28.05
11/28/11	08/09/11	SELL FI	ROSETTA RES INC COM	ROSE	27,000	1,233.06	1,333.57	264.53
11/28/11	10/31/11	SELL FI	ROSETTA RES INC COM	ROSE	1,000	44.81	49.39	100.51
12/12/11	05/13/11	SELL FI	SUCCESSFACTORS INC COM	SFSF	5,000	169.02	199.05	4.58
12/12/11	06/03/11	SELL FI	SUCCESSFACTORS INC COM	SFSF	9,000	380.53	358.29	30.03
12/12/11	06/03/11	SELL FI	58 day(s) added to your holding period as a result of a wash sale.					-22.24
12/12/11	06/03/11	SELL FI	SUCCESSFACTORS INC COM	SFSF	23,000	767.74	915.63	147.89
12/12/11	08/30/11	SELL FI	SUCCESSFACTORS INC COM	SFSF	23,000	889.01	915.63	25.62
12/12/11	08/30/11	SELL FI	128 day(s) added to your holding period as a result of a wash sale.					
12/12/11	08/30/11	SELL FI	SUCCESSFACTORS INC COM	SFSF	12,000	436.53	477.71	41.18
12/15/11	06/03/11	SELL FI	112 day(s) added to your holding period as a result of a wash sale.					
12/15/11	06/03/11	SELL FI	BIOMARIN PHARMACEUTICALS INC	BMRN	44,000	1,237.15	1,397.56	160.41
Total Short Term						\$578,534.45	\$562,019.59	-\$8,193.66
Long Term								
01/04/11	04/30/09 *	SELL FI	PHILLIPS VAN HEUSEN CORP COM N/C EFF	718592108	43,000	1,259.00	2,649.25	1,390.25
01/05/11	04/29/08 *	SELL FI	LSB INDUSTRIES INC COM	LXU	33,000	480.71	853.09	372.38
01/06/11	04/29/08 *	SELL FI	LSB INDUSTRIES INC COM	LXU	88,000	1,281.91	2,279.30	997.39



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452 Fifth Avenue
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800-662-3343

Brokerage

Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long Term (continued)								
01/06/11	05/02/08 *	SELL FI	LSB INDUSTRIES INC COM	LXU	13.000	204.38	336.71	132.33
01/07/11	05/02/08 *	SELL FI	LSB INDUSTRIES INC COM	LXU	1.000	15.72	27.49	11.77
01/07/11	05/05/08 *	SELL FI	LSB INDUSTRIES INC COM	LXU	10.000	157.12	274.85	117.73
01/07/11	05/06/08 *	SELL FI	LSB INDUSTRIES INC COM	LXU	27.000	440.12	742.10	301.98
01/07/11	05/08/08 *	SELL FI	LSB INDUSTRIES INC COM	LXU	16.000	266.90	439.76	172.86
01/07/11	05/21/08 *	SELL FI	LSB INDUSTRIES INC COM	LXU	19.000	341.47	522.22	180.75
01/07/11	05/22/08 *	SELL FI	LSB INDUSTRIES INC COM	LXU	19.000	339.99	522.22	182.23
01/07/11	05/23/08 *	SELL FI	LSB INDUSTRIES INC COM	LXU	16.000	278.34	439.76	161.42
01/07/11	05/27/08 *	SELL FI	LSB INDUSTRIES INC COM	LXU	27.000	465.15	742.10	276.95
01/11/11	04/30/09 *	SELL FI	PHILLIPS VAN HEUSEN CORP COM N/C EFF	718592108	42.000	1,229.72	2,520.29	1,290.57
01/11/11	02/15/08 *	SELL FI	VENTAS INC COM	VTR	54.000	2,278.26	2,790.72	512.46
01/13/11	02/15/08 *	SELL FI	VENTAS INC COM	VTR	9.000	379.71	470.33	90.62
01/20/11	02/15/08 *	SELL FI	VENTAS INC COM	VTR	51.000	2,151.69	2,736.24	584.55
01/21/11	02/15/08 *	SELL FI	VENTAS INC COM	VTR	9.000	379.71	481.53	101.82
01/21/11	11/11/08 *	SELL FI	VENTAS INC COM	VTR	33.000	735.84	1,765.63	1,029.79
01/24/11	01/22/10 *	SELL FI	FIFTH ST FIN CORP COM	FSC	4.000	44.87	50.30	5.43
02/02/11	10/13/09 *	SELL FI	RAILAMERICA INC COM	RA	14.000	200.33	175.40	-24.93

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HSBC

Account Number: HMP-010451
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FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary
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Pershing LLC member FINRA, NYSE, SIPC

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
02/03/11	10/13/09 *	SELL FI	RAILAMERICA INC COM	RA	20.000	286.19	248.06	-38.13
02/03/11	10/16/09 *	SELL FI	RAILAMERICA INC COM	RA	2.000	28.27	24.81	-3.46
02/04/11	02/15/08 *	SELL FI	REGAL ENTMT GROUP CL A	RGC	83.000	1,681.58	1,033.90	-647.68
02/07/11	10/16/09 *	SELL FI	RAILAMERICA INC COM	RA	9.000	127.19	111.67	-15.52
02/07/11	02/15/08 *	SELL FI	REGAL ENTMT GROUP CL A	RGC	3.000	60.78	37.44	-23.34
02/07/11	03/31/08 *	SELL FI	REGAL ENTMT GROUP CL A	RGC	83.000	1,598.94	1,035.84	-563.10
02/08/11	03/31/08 *	SELL FI	REGAL ENTMT GROUP CL A	RGC	28.000	539.40	355.96	-183.44
02/09/11	03/31/08 *	SELL FI	REGAL ENTMT GROUP CL A	RGC	24.000	462.34	312.91	-149.43
02/09/11	10/06/08 *	SELL FI	REGAL ENTMT GROUP CL A	RGC	13.000	171.73	169.49	-2.24
02/15/11	09/10/09 *	SELL FI	MASTEC INC COM	MTZ	37.000	417.40	601.40	184.00
02/16/11	09/10/09 *	SELL FI	MASTEC INC COM	MTZ	6.000	67.69	97.20	29.51
02/16/11	09/11/09 *	SELL FI	MASTEC INC COM	MTZ	8.000	90.11	129.60	39.49
02/16/11	10/02/09 *	SELL FI	MASTEC INC COM	MTZ	19.000	217.95	307.81	89.86
02/22/11	03/31/08 *	SELL FI	BLACKBOARD INC COM CASH MGR EFF 10/5/11	091935502	27.000	898.06	958.34	60.28
02/22/11	04/01/08 *	SELL FI	BLACKBOARD INC COM CASH MGR EFF 10/5/11	091935502	36.000	1,211.07	1,277.78	66.71
02/22/11	04/02/08 *	SELL FI	BLACKBOARD INC COM CASH MGR EFF 10/5/11	091935502	10.000	339.34	354.94	15.60
02/22/11	05/07/08 *	SELL FI	BLACKBOARD INC COM CASH MGR EFF 10/5/11	091935502	8.000	285.15	283.95	-1.20
02/24/11	05/07/08 *	SELL FI	BLACKBOARD INC COM CASH MGR EFF 10/5/11	091935502	45.000	1,603.98	1,544.68	-59.30
02/24/11	02/27/09 *	SELL FI	CLEAN HBRS INC COM	CLH	3.000	146.35	273.95	127.60
02/24/11	03/04/09 *	SELL FI	CLEAN HBRS INC COM	CLH	24.000	1,136.58	2,191.59	1,055.01
03/02/11	04/30/09 *	SELL FI	SILGAN HLDGS INC COM	SLGN	2.000	46.55	73.04	26.49



HSBC Securities (USA) Inc
452 Fifth Avenue
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Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
03/04/11	10/06/08 *	SELL FI	REGAL ENTMT GROUP CL A	RGC	74,000	977.54	1,046.39	68.85
03/04/11	10/07/08 *	SELL FI	REGAL ENTMT GROUP CL A	RGC	29,000	390.10	410.07	19.97
03/04/11	10/08/08 *	SELL FI	REGAL ENTMT GROUP CL A	RGC	29,000	376.30	410.07	33.77
03/04/11	10/09/08 *	SELL FI	REGAL ENTMT GROUP CL A	RGC	25,000	324.55	353.51	28.96
03/04/11	10/15/08 *	SELL FI	REGAL ENTMT GROUP CL A	RGC	19,000	265.91	268.67	2.76
03/04/11	10/16/08 *	SELL FI	REGAL ENTMT GROUP CL A	RGC	14,000	193.01	197.97	4.96
03/04/11	10/31/08 *	SELL FI	REGAL ENTMT GROUP CL A	RGC	53,000	672.38	749.45	77.07
03/04/11	10/31/08 *	SELL FI	REGAL ENTMT GROUP CL A	RGC	29,000	367.91	402.03	34.12
03/07/11	10/31/08 *	SELL FI	REGAL ENTMT GROUP CL A	RGC	44,000	558.20	601.68	43.48
03/07/11	11/05/08 *	SELL FI	REGAL ENTMT GROUP CL A	RGC	53,000	594.63	724.74	130.11
03/08/11	02/23/09 *	SELL FI	DIAMOND FOODS INC COM	DMND	17,000	384.32	870.34	486.02
03/08/11	02/24/09 *	SELL FI	DIAMOND FOODS INC COM	DMND	6,000	135.42	307.18	171.76
03/08/11	02/25/09 *	SELL FI	DIAMOND FOODS INC COM	DMND	10,000	229.10	511.96	282.86
03/08/11	02/27/09 *	SELL FI	DIAMOND FOODS INC COM	DMND	12,000	260.14	614.36	354.22
03/14/11	11/05/08 *	SELL FI	REGAL ENTMT GROUP CL A	RGC	23,000	258.04	310.40	52.36
03/14/11	11/26/08 *	SELL FI	REGAL ENTMT GROUP CL A	RGC	70,000	624.04	944.69	320.65
03/14/11	11/28/08 *	SELL FI	REGAL ENTMT GROUP CL A	RGC	25,000	227.75	337.39	109.64

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Clearing through Pershing LLC, a subsidiary
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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
03/25/11	05/07/08 *	SELL FI	BLACKBOARD INC COM CASH MGR EFF 10/5/11	091935502	28.000	998.04	1,037.12	39.08
03/25/11	05/08/08 *	SELL FI	BLACKBOARD INC COM CASH MGR EFF 10/5/11	091935502	36.000	1,322.48	1,333.45	10.97
03/29/11	01/24/08 *	SELL FI	ACACIA RESH CORP AR ACACIA TECHNOLOGIES	ACTG	14.000	111.58	454.96	343.38
03/30/11	01/24/08 *	SELL FI	ACACIA RESH CORP AR ACACIA TECHNOLOGIES	ACTG	28.000	223.16	910.48	687.32
03/30/11	09/24/09 *	SELL FI	ATHEROS COMMUNICATIONS INC	04743P108	47.000	1,264.21	2,097.84	833.63
03/30/11	05/08/08 *	SELL FI	BLACKBOARD INC COM CASH MGR EFF 10/5/11	091935502	32.000	1,175.55	1,180.40	4.85
03/31/11	01/24/08 *	SELL FI	ACACIA RESH CORP AR ACACIA TECHNOLOGIES	ACTG	10.000	79.70	341.04	261.34
03/31/11	05/08/08 *	SELL FI	BLACKBOARD INC COM CASH MGR EFF 10/5/11	091935502	4.000	146.94	147.30	0.36
04/01/11	05/08/08 *	SELL FI	BLACKBOARD INC COM CASH MGR EFF 10/5/11	091935502	2.000	73.47	72.61	-0.86
04/01/11	05/12/08 *	SELL FI	BLACKBOARD INC COM CASH MGR EFF 10/5/11	091935502	2.000	68.96	72.61	3.65
04/01/11	12/02/08 *	SELL FI	BLACKBOARD INC COM CASH MGR EFF 10/5/11	091935502	22.000	468.08	798.67	330.59
04/13/11	02/27/09 *	SELL FI	DIAMOND FOODS INC COM	DMND	8.000	173.43	482.19	308.76
04/13/11	03/02/09 *	SELL FI	DIAMOND FOODS INC COM	DMND	25.000	522.08	1,506.85	984.77
04/13/11	03/03/09 *	SELL FI	DIAMOND FOODS INC COM	DMND	1.000	20.44	60.28	39.84
04/19/11	11/03/09 *	SELL FI	WESTAMERICA BANCORPORATION COM	WABC	6.000	286.95	294.06	7.11
04/20/11	11/03/09 *	SELL FI	WESTAMERICA BANCORPORATION COM	WABC	17.000	813.02	833.08	20.06
04/20/11	11/04/09 *	SELL FI	WESTAMERICA BANCORPORATION COM	WABC	15.000	721.81	735.07	13.26
04/21/11	11/04/09 *	SELL FI	WESTAMERICA BANCORPORATION COM	WABC	1.000	48.12	48.49	0.37
04/21/11	11/16/09 *	SELL FI	WESTAMERICA BANCORPORATION COM	WABC	9.000	474.88	436.41	-38.47
04/26/11	01/24/08 *	SELL FI	ACACIA RESH CORP AR ACACIA TECHNOLOGIES	ACTG	12.000	95.64	463.39	367.75
04/27/11	01/24/08 *	SELL FI	ACACIA RESH CORP AR ACACIA TECHNOLOGIES	ACTG	30.000	239.09	1,165.77	926.68



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Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long Term (continued)								
04/27/11	02/15/08 *	SELL FI	ACACIA RESH CORP AR ACACIA TECHNOLOGIES	ACTG	14.000	95.76	544.03	448.27
05/10/11	02/15/08 *	SELL FI	ACACIA RESH CORP AR ACACIA TECHNOLOGIES	ACTG	23.000	157.32	920.86	763.54
05/25/11	01/24/08 *	SELL FI	GUESS INC COM	GES	64.000	2,394.24	2,503.95	109.71
06/03/11	02/15/08 *	SELL FI	CORE LABORATORIES NV	CLB	174.000	10,214.36	17,812.51	7,598.15
06/03/11	10/31/08 *	SELL FI	CORE LABORATORIES NV	CLB	42.000	1,558.22	4,299.57	2,741.35
06/03/11	11/28/08 *	SELL FI	CORE LABORATORIES NV	CLB	10.000	329.45	1,023.71	694.26
06/03/11	03/31/09 *	SELL FI	CORE LABORATORIES NV	CLB	4.000	146.51	409.48	262.97
06/03/11	04/30/09 *	SELL FI	CORE LABORATORIES NV	CLB	26.000	1,080.43	2,661.64	1,581.21
06/03/11	06/30/09 *	SELL FI	CORE LABORATORIES NV	CLB	8.000	346.28	818.97	472.69
06/03/11	02/15/08 *	SELL FI	ACACIA RESH CORP AR ACACIA TECHNOLOGIES	ACTG	376.000	2,571.80	13,459.57	10,887.77
06/03/11	03/22/10 *	SELL FI	ACACIA RESH CORP AR ACACIA TECHNOLOGIES	ACTG	1.000	9.82	35.80	25.98
06/03/11	03/23/10 *	SELL FI	ACACIA RESH CORP AR ACACIA TECHNOLOGIES	ACTG	2.000	19.93	71.59	51.66
06/03/11	03/24/10 *	SELL FI	ACACIA RESH CORP AR ACACIA TECHNOLOGIES	ACTG	3.000	30.60	107.39	76.79
06/03/11	03/29/10 *	SELL FI	ACACIA RESH CORP AR ACACIA TECHNOLOGIES	ACTG	11.000	112.20	393.76	281.56
06/03/11	03/30/10 *	SELL FI	ACACIA RESH CORP AR ACACIA TECHNOLOGIES	ACTG	17.000	173.35	608.54	435.19
06/03/11	03/31/10 *	SELL FI	ACACIA RESH CORP AR ACACIA TECHNOLOGIES	ACTG	4.000	40.77	143.19	102.42
06/03/11	04/08/10 *	SELL FI	ACACIA RESH CORP AR ACACIA TECHNOLOGIES	ACTG	1.000	10.30	35.80	25.50

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
06/03/11	02/25/09 *	SELL FI	ALLIANCE DATA SYS CORP COM	ADS	46.000	1,387.47	4,142.35	2,754.88
06/03/11	02/27/09 *	SELL FI	ALLIANCE DATA SYS CORP COM	ADS	126.000	3,752.83	11,346.45	7,593.62
06/03/11	04/30/09 *	SELL FI	ALLIANCE DATA SYS CORP COM	ADS	34.000	1,433.59	3,061.74	1,628.15
06/03/11	04/26/10 *	SELL FI	AMERICAN CAMPUS CMNTYS INC COM	ACC	47.000	1,415.81	1,624.76	208.95
06/03/11	04/27/10 *	SELL FI	AMERICAN CAMPUS CMNTYS INC COM	ACC	146.000	4,392.50	5,047.12	654.62
06/03/11	05/27/10 *	SELL FI	BANK OF THE OZARKS INC COM	OZRK	10.000	349.30	476.29	126.99
06/03/11	05/28/10 *	SELL FI	BANK OF THE OZARKS INC COM	OZRK	24.000	849.85	1,143.09	293.24
06/03/11	02/15/08 *	SELL FI	CALIFORNIA PIZZA KITCHEN INC COM	13054D109	73.000	1,075.68	1,341.71	266.03
06/03/11	04/23/09 *	SELL FI	CALIFORNIA PIZZA KITCHEN INC COM	13054D109	20.000	341.72	367.59	25.87
06/03/11	04/24/09 *	SELL FI	CALIFORNIA PIZZA KITCHEN INC COM	13054D109	30.000	504.32	551.39	47.07
06/03/11	03/25/10 *	SELL FI	CHICOS FAS INC COM	CHS	113.000	1,601.91	1,616.06	14.15
06/03/11	03/29/10 *	SELL FI	CHICOS FAS INC COM	CHS	41.000	578.64	586.36	7.72
06/03/11	03/30/10 *	SELL FI	CHICOS FAS INC COM	CHS	45.000	637.05	643.57	6.52
06/03/11	03/31/10 *	SELL FI	CHICOS FAS INC COM	CHS	159.000	2,289.09	2,273.93	-13.92
06/03/11	10/16/08 *	SELL FI	COBIZ FINL INC COM	COBZ	2.000	21.78	12.06	-9.72
06/03/11	10/20/08 *	SELL FI	COBIZ FINL INC COM	COBZ	10.000	109.95	60.31	-49.64
06/03/11	10/21/08 *	SELL FI	COBIZ FINL INC COM	COBZ	4.000	43.94	24.12	-19.82
06/03/11	10/22/08 *	SELL FI	COBIZ FINL INC COM	COBZ	6.000	63.46	36.18	-27.28
06/03/11	10/23/08 *	SELL FI	COBIZ FINL INC COM	COBZ	21.000	200.69	126.64	-74.05
06/03/11	10/27/08 *	SELL FI	COBIZ FINL INC COM	COBZ	1.000	10.51	6.03	-4.48
06/03/11	10/28/08 *	SELL FI	COBIZ FINL INC COM	COBZ	2.000	20.80	12.06	-8.74



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Account Statement

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
06/03/11	11/10/08 *	SELL FI	COBIZ FINL INC COM	COBZ	8,000	83.70	48.24	-35.46
06/03/11	11/11/08 *	SELL FI	COBIZ FINL INC COM	COBZ	6,000	62.17	36.18	-25.99
06/03/11	11/12/08 *	SELL FI	COBIZ FINL INC COM	COBZ	9,000	93.82	54.28	-39.54
06/03/11	11/13/08 *	SELL FI	COBIZ FINL INC COM	COBZ	7,000	69.67	42.21	-27.46
06/03/11	11/18/08 *	SELL FI	COBIZ FINL INC COM	COBZ	9,000	87.73	54.28	-33.45
06/03/11	11/19/08 *	SELL FI	COBIZ FINL INC COM	COBZ	9,000	87.09	54.28	-32.81
06/03/11	08/04/09 *	SELL FI	COBIZ FINL INC COM	COBZ	58,000	277.13	349.78	72.65
06/03/11	08/05/09 *	SELL FI	COBIZ FINL INC COM	COBZ	46,000	216.75	277.41	60.66
06/03/11	08/06/09 *	SELL FI	COBIZ FINL INC COM	COBZ	28,000	133.01	168.86	35.85
06/03/11	08/07/09 *	SELL FI	COBIZ FINL INC COM	COBZ	43,000	208.35	259.32	50.97
06/03/11	08/11/09 *	SELL FI	COBIZ FINL INC COM	COBZ	11,000	52.04	66.34	14.30
06/03/11	08/14/09 *	SELL FI	COBIZ FINL INC COM	COBZ	12,000	57.00	72.37	15.37
06/03/11	08/27/09 *	SELL FI	COBIZ FINL INC COM	COBZ	3,000	13.80	18.09	4.29
06/03/11	09/02/09 *	SELL FI	COBIZ FINL INC COM	COBZ	23,000	105.00	138.70	33.70
06/03/11	09/03/09 *	SELL FI	COBIZ FINL INC COM	COBZ	17,000	77.19	102.52	25.33
06/03/11	09/04/09 *	SELL FI	COBIZ FINL INC COM	COBZ	4,000	18.38	24.12	5.74
06/03/11	09/23/09 *	SELL FI	COBIZ FINL INC COM	COBZ	22,000	104.71	132.67	27.96

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
06/03/11	10/01/09 *	SELL FI	COBIZ FINL INC COM	COBZ	2,000	9.89	12.06	2.17
06/03/11	10/30/09 *	SELL FI	COBIZ FINL INC COM	COBZ	14,000	65.26	84.43	19.17
06/03/11	11/02/09 *	SELL FI	COBIZ FINL INC COM	COBZ	19,000	89.12	114.58	25.46
06/03/11	11/03/09 *	SELL FI	COBIZ FINL INC COM	COBZ	10,000	46.58	60.31	13.73
06/03/11	11/04/09 *	SELL FI	COBIZ FINL INC COM	COBZ	13,000	59.76	78.40	18.64
06/03/11	11/05/09 *	SELL FI	COBIZ FINL INC COM	COBZ	7,000	33.07	42.21	9.14
06/03/11	11/06/09 *	SELL FI	COBIZ FINL INC COM	COBZ	2,000	9.43	12.06	2.63
06/03/11	01/29/10 *	SELL FI	COBIZ FINL INC COM	COBZ	5,000	26.72	30.15	3.43
06/03/11	02/01/10 *	SELL FI	COBIZ FINL INC COM	COBZ	16,000	87.11	96.49	9.38
06/03/11	02/03/10 *	SELL FI	COBIZ FINL INC COM	COBZ	4,000	21.75	24.12	2.37
06/03/11	02/04/10 *	SELL FI	COBIZ FINL INC COM	COBZ	28,000	148.51	168.86	20.35
06/03/11	02/05/10 *	SELL FI	COBIZ FINL INC COM	COBZ	25,000	131.99	150.77	18.78
06/03/11	02/08/10 *	SELL FI	COBIZ FINL INC COM	COBZ	2,000	10.58	12.06	1.48
06/03/11	02/09/10 *	SELL FI	COBIZ FINL INC COM	COBZ	6,000	31.80	36.18	4.38
06/03/11	02/10/10 *	SELL FI	COBIZ FINL INC COM	COBZ	5,000	26.49	30.15	3.66
06/03/11	06/17/08 *	SELL FI	COMPASS MINERALS INTL INC COM	CMP	15,000	1,274.46	1,345.23	70.77
06/03/11	06/18/08 *	SELL FI	COMPASS MINERALS INTL INC COM	CMP	14,000	1,180.42	1,255.55	75.13
06/03/11	09/11/08 *	SELL FI	COMPASS MINERALS INTL INC COM	CMP	43,000	2,404.57	3,856.34	1,451.77
06/03/11	09/12/08 *	SELL FI	COMPASS MINERALS INTL INC COM	CMP	92,000	5,565.92	8,250.77	2,684.85
06/03/11	07/10/09 *	SELL FI	COMPASS MINERALS INTL INC COM	CMP	9,000	458.60	807.14	348.54
06/03/11	07/13/09 *	SELL FI	COMPASS MINERALS INTL INC COM	CMP	20,000	960.33	1,793.65	833.32



HSBC Securities (USA) Inc
452 Fifth Avenue
New York, NY 10018
800-602-3343

Brokerage

Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
06/03/11	07/31/09 *	SELL FI	COMPASS MINERALS INTL INC COM	CMP	63,000	3,338.65	5,649.99	2,311.34
06/03/11	03/03/09 *	SELL FI	DIAMOND FOODS INC COM	DMND	18,000	367.93	1,251.21	883.28
06/03/11	03/04/09 *	SELL FI	DIAMOND FOODS INC COM	DMND	12,000	259.73	834.14	574.41
06/03/11	03/31/08 *	SELL FI	ENTERTAINMENT PPTYS TR COM SH BEN INT	EPR	15,000	745.61	706.59	-39.02
06/03/11	10/29/08 *	SELL FI	ENTERTAINMENT PPTYS TR COM SH BEN INT	EPR	8,000	266.94	376.85	109.91
06/03/11	11/28/08 *	SELL FI	ENTERTAINMENT PPTYS TR COM SH BEN INT	EPR	41,000	1,003.93	1,931.34	927.41
06/03/11	02/26/09 *	SELL FI	ENTERTAINMENT PPTYS TR COM SH BEN INT	EPR	49,000	767.75	2,308.18	1,540.43
06/03/11	02/27/09 *	SELL FI	ENTERTAINMENT PPTYS TR COM SH BEN INT	EPR	106,000	1,597.48	4,993.21	3,395.73
06/03/11	03/12/09 *	SELL FI	ENTERTAINMENT PPTYS TR COM SH BEN INT	EPR	96,000	1,541.90	4,522.15	2,980.25
06/03/11	03/31/09 *	SELL FI	ENTERTAINMENT PPTYS TR COM SH BEN INT	EPR	30,000	465.74	1,413.17	947.43
06/03/11	05/29/09 *	SELL FI	ENTERTAINMENT PPTYS TR COM SH BEN INT	EPR	25,000	499.30	1,177.64	678.34
06/03/11	06/01/09 *	SELL FI	ENTERTAINMENT PPTYS TR COM SH BEN INT	EPR	18,000	381.59	847.90	466.31
06/03/11	06/10/09 *	SELL FI	ENTERTAINMENT PPTYS TR COM SH BEN INT	EPR	11,000	230.70	518.16	287.46
06/03/11	06/29/09 *	SELL FI	ENTERTAINMENT PPTYS TR COM SH BEN INT	EPR	46,000	934.46	2,166.86	1,232.40
06/03/11	07/02/09 *	SELL FI	ENTERTAINMENT PPTYS TR COM SH BEN INT	EPR	2,000	40.50	94.21	53.71
06/03/11	11/10/09 *	SELL FI	ENTERTAINMENT PPTYS TR COM SH BEN INT	EPR	14,000	442.12	659.48	217.36
06/03/11	04/30/10 *	SELL FI	ENTERTAINMENT PPTYS TR COM SH BEN INT	EPR	14,000	615.56	659.48	43.92



Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
06/03/11	10/27/09	SELL FI	F N B CORP PA COM	FNB	27,000	188.97	275.43	86.46
06/03/11	10/28/09	SELL FI	F N B CORP PA COM	FNB	16,000	111.99	163.22	51.23
06/03/11	10/30/09	SELL FI	F N B CORP PA COM	FNB	6,000	42.00	61.21	19.21
06/03/11	11/02/09	SELL FI	F N B CORP PA COM	FNB	56,000	389.96	571.26	181.30
06/03/11	11/03/09	SELL FI	F N B CORP PA COM	FNB	56,000	387.69	571.26	183.57
06/03/11	11/04/09	SELL FI	F N B CORP PA COM	FNB	56,000	383.93	571.26	187.33
06/03/11	11/05/09	SELL FI	F N B CORP PA COM	FNB	63,000	426.79	642.66	215.87
06/03/11	10/01/09	SELL FI	FINISAR CORP COM NEW	FNSR	68,000	628.33	1,447.33	819.00
06/03/11	10/02/09	SELL FI	FINISAR CORP COM NEW	FNSR	98,000	890.06	2,085.86	1,195.80
06/03/11	10/05/09	SELL FI	FINISAR CORP COM NEW	FNSR	48,000	452.20	1,021.65	569.45
06/03/11	10/06/09	SELL FI	FINISAR CORP COM NEW	FNSR	82,000	786.92	1,745.31	958.39
06/03/11	10/07/09	SELL FI	FINISAR CORP COM NEW	FNSR	56,000	531.41	1,191.92	660.51
06/03/11	10/08/09	SELL FI	FINISAR CORP COM NEW	FNSR	27,000	234.32	574.68	340.36
06/03/11	03/02/10	SELL FI	FINISAR CORP COM NEW	FNSR	21,000	269.52	446.97	177.45
06/03/11	03/03/10	SELL FI	FINISAR CORP COM NEW	FNSR	35,000	452.58	744.95	292.37
06/03/11	03/04/10	SELL FI	FINISAR CORP COM NEW	FNSR	11,000	145.10	234.12	89.02
06/03/11	02/15/08	SELL FI	GARDNER DENVER INC (PREVIOUSLY KNOWN AS	GDI	128,000	4,663.60	10,379.66	5,716.06
06/03/11	01/24/08	SELL FI	GUESS INC COM	GES	2,000	74.82	84.42	9.60
06/03/11	02/15/08	SELL FI	GUESS INC COM	GES	288,000	11,445.12	12,156.72	711.60
06/03/11	03/18/09	SELL FI	GUESS INC COM	GES	43,000	748.65	1,815.07	1,066.42
06/03/11	03/31/09	SELL FI	GUESS INC COM	GES	8,000	170.86	337.69	166.83



HSBC Securities (USA) Inc.
452 Fifth Avenue
New York, NY 10018
800-662-3343

Brokerage

Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
06/03/11	01/24/08 *	SELL FI	HERSHA HOSPITALITY TR PRIORITY CL A SHS	HT	16.000	134.14	91.38	-42.76
06/03/11	02/05/08 *	SELL FI	HERSHA HOSPITALITY TR PRIORITY CL A SHS	HT	5.000	44.95	28.56	-16.39
06/03/11	02/06/08 *	SELL FI	HERSHA HOSPITALITY TR PRIORITY CL A SHS	HT	1.000	8.98	5.71	-3.27
06/03/11	02/08/08 *	SELL FI	HERSHA HOSPITALITY TR PRIORITY CL A SHS	HT	1.000	8.93	5.71	-3.22
06/03/11	02/11/08 *	SELL FI	HERSHA HOSPITALITY TR PRIORITY CL A SHS	HT	3.000	26.86	17.13	-9.73
06/03/11	02/12/08 *	SELL FI	HERSHA HOSPITALITY TR PRIORITY CL A SHS	HT	4.000	35.89	22.84	-13.05
06/03/11	02/15/08 *	SELL FI	HERSHA HOSPITALITY TR PRIORITY CL A SHS	HT	1,032.000	9,328.97	5,893.78	-3,435.19
06/03/11	02/15/08 *	SELL FI	HERSHA HOSPITALITY TR PRIORITY CL A SHS	HT	23.000	206.42	131.35	-75.07
06/03/11	02/22/08 *	SELL FI	HERSHA HOSPITALITY TR PRIORITY CL A SHS	HT	7.000	62.77	39.98	-22.79
06/03/11	02/29/08 *	SELL FI	HERSHA HOSPITALITY TR PRIORITY CL A SHS	HT	37.000	332.30	211.31	-120.99
06/03/11	03/03/08 *	SELL FI	HERSHA HOSPITALITY TR PRIORITY CL A SHS	HT	25.000	223.80	142.78	-81.02
06/03/11	03/04/08 *	SELL FI	HERSHA HOSPITALITY TR PRIORITY CL A SHS	HT	4.000	35.91	22.84	-13.07
06/03/11	06/25/08 *	SELL FI	HERSHA HOSPITALITY TR PRIORITY CL A SHS	HT	23.000	186.17	131.35	-54.82
06/03/11	08/29/08 *	SELL FI	HERSHA HOSPITALITY TR PRIORITY CL A SHS	HT	90.000	653.84	513.99	-139.85
06/03/11	10/15/08 *	SELL FI	HERSHA HOSPITALITY TR PRIORITY CL A SHS	HT	23.000	97.54	131.35	33.81
06/03/11	10/16/08 *	SELL FI	HERSHA HOSPITALITY TR PRIORITY CL A SHS	HT	24.000	99.22	137.06	37.84
06/03/11	11/26/08 *	SELL FI	HERSHA HOSPITALITY TR PRIORITY CL A SHS	HT	21.000	62.81	119.93	57.12

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Description	Disposition Transaction	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
06/03/11	11/28/08 *	HERSHA HOSPITALITY TR PRIORITY CL A SHS	SELL FI	HT	26.000	83.99	148.49	64.50
06/03/11	12/05/08 *	HERSHA HOSPITALITY TR PRIORITY CL A SHS	SELL FI	HT	10.000	29.80	57.11	27.31
06/03/11	12/09/08 *	HERSHA HOSPITALITY TR PRIORITY CL A SHS	SELL FI	HT	11.000	31.43	62.82	31.39
06/03/11	12/11/08 *	HERSHA HOSPITALITY TR PRIORITY CL A SHS	SELL FI	HT	12.000	33.27	68.53	35.26
06/03/11	02/27/09 *	HERSHA HOSPITALITY TR PRIORITY CL A SHS	SELL FI	HT	19.000	33.41	108.51	75.10
06/03/11	03/02/09 *	HERSHA HOSPITALITY TR PRIORITY CL A SHS	SELL FI	HT	5.000	8.00	28.56	20.56
06/03/11	03/05/09 *	HERSHA HOSPITALITY TR PRIORITY CL A SHS	SELL FI	HT	8.000	12.71	45.69	32.98
06/03/11	03/09/09 *	HERSHA HOSPITALITY TR PRIORITY CL A SHS	SELL FI	HT	4.000	4.75	22.84	18.09
06/03/11	03/27/09 *	HERSHA HOSPITALITY TR PRIORITY CL A SHS	SELL FI	HT	5.000	9.24	28.56	19.32
06/03/11	03/30/09 *	HERSHA HOSPITALITY TR PRIORITY CL A SHS	SELL FI	HT	31.000	55.46	177.04	121.58
06/03/11	03/31/09 *	HERSHA HOSPITALITY TR PRIORITY CL A SHS	SELL FI	HT	41.000	75.94	234.15	158.21
06/03/11	04/29/09 *	HERSHA HOSPITALITY TR PRIORITY CL A SHS	SELL FI	HT	41.000	124.87	234.15	109.28
06/03/11	04/30/09 *	HERSHA HOSPITALITY TR PRIORITY CL A SHS	SELL FI	HT	161.000	570.57	919.48	348.91
06/03/11	05/08/09 *	HERSHA HOSPITALITY TR PRIORITY CL A SHS	SELL FI	HT	11.000	30.54	62.82	32.28
06/03/11	05/11/09 *	HERSHA HOSPITALITY TR PRIORITY CL A SHS	SELL FI	HT	12.000	34.61	68.53	33.92
06/03/11	05/12/09 *	HERSHA HOSPITALITY TR PRIORITY CL A SHS	SELL FI	HT	2.000	5.80	11.42	5.62
06/03/11	05/21/09 *	HERSHA HOSPITALITY TR PRIORITY CL A SHS	SELL FI	HT	6.000	16.26	34.27	18.01
06/03/11	06/30/09 *	HERSHA HOSPITALITY TR PRIORITY CL A SHS	SELL FI	HT	32.000	80.30	182.75	102.45
06/03/11	07/31/09 *	HERSHA HOSPITALITY TR PRIORITY CL A SHS	SELL FI	HT	39.000	102.87	222.73	119.86
06/03/11	08/03/09 *	HERSHA HOSPITALITY TR PRIORITY CL A SHS	SELL FI	HT	3.000	8.07	17.13	9.06
06/03/11	08/05/09 *	HERSHA HOSPITALITY TR PRIORITY CL A SHS	SELL FI	HT	24.000	69.65	137.06	67.41



HSBC Securities (USA) Inc
452 Fifth Avenue
New York, NY 10018
800-662-3343

Brokerage Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
06/03/11	08/06/09 *	SELL FI	HERSHA HOSPITALITY TR PRIORITY CL A SHS	HT	12,000	33.58	68.53	34.95
06/03/11	10/29/09 *	SELL FI	HERSHA HOSPITALITY TR PRIORITY CL A SHS	HT	18,000	47.50	102.80	55.30
06/03/11	10/30/09 *	SELL FI	HERSHA HOSPITALITY TR PRIORITY CL A SHS	HT	13,000	32.92	74.24	41.32
06/03/11	01/15/10 *	SELL FI	HERSHA HOSPITALITY TR PRIORITY CL A SHS	HT	119,000	396.04	679.61	283.57
06/03/11	03/29/10 *	SELL FI	HERSHA HOSPITALITY TR PRIORITY CL A SHS	HT	14,000	68.73	79.95	11.22
06/03/11	03/30/10 *	SELL FI	HERSHA HOSPITALITY TR PRIORITY CL A SHS	HT	15,000	75.28	85.67	10.39
06/03/11	03/31/10 *	SELL FI	HERSHA HOSPITALITY TR PRIORITY CL A SHS	HT	94,000	481.86	536.84	54.98
06/03/11	05/05/10 *	SELL FI	HERSHA HOSPITALITY TR PRIORITY CL A SHS	HT	127,000	683.03	725.30	42.27
06/03/11	03/31/09 *	SELL FI	HUGHES COMMUNICATIONS INC	444398101	9,000	108.91	540.89	431.98
06/03/11	04/01/09 *	SELL FI	HUGHES COMMUNICATIONS INC	444398101	13,000	157.09	781.28	624.19
06/03/11	04/02/09 *	SELL FI	HUGHES COMMUNICATIONS INC	444398101	15,000	187.79	901.48	713.69
06/03/11	04/03/09 *	SELL FI	HUGHES COMMUNICATIONS INC	444398101	5,000	65.46	300.49	235.03
06/03/11	04/06/09 *	SELL FI	HUGHES COMMUNICATIONS INC	444398101	7,000	90.99	420.69	329.70
06/03/11	04/07/09 *	SELL FI	HUGHES COMMUNICATIONS INC	444398101	7,000	90.43	420.69	330.26
06/03/11	04/08/09 *	SELL FI	HUGHES COMMUNICATIONS INC	444398101	11,000	139.71	661.09	521.38
06/03/11	04/14/09 *	SELL FI	HUGHES COMMUNICATIONS INC	444398101	1,000	13.04	60.11	47.07
06/03/11	01/24/08 *	SELL FI	INTERNET CAP GROUP INC N/C 6/21/11 1	46059C205	74,000	705.22	876.49	171.27

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HSBC

Account Number: HMP-010451

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1st Brokerage Statement,
2009, 2010
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Clearing through Pershing LLC, a subsidiary
of The Bank of New York Mellon Corporation
Pershing LLC, member FINRA, NYSE, SIPC

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
06/03/11	02/15/08 *	SELL FI	INTERNET CAP GROUP INC N/C 6/21/11 1	46059C205	324.000	3,009.93	3,837.60	827.67
06/03/11	03/31/08 *	SELL FI	INTERNET CAP GROUP INC N/C 6/21/11 1	46059C205	33.000	346.92	390.87	43.95
06/03/11	04/01/08 *	SELL FI	INTERNET CAP GROUP INC N/C 6/21/11 1	46059C205	27.000	284.93	319.80	34.87
06/03/11	05/21/08 *	SELL FI	INTERNET CAP GROUP INC N/C 6/21/11 1	46059C205	12.000	113.50	142.13	28.63
06/03/11	11/26/08 *	SELL FI	INTERNET CAP GROUP INC N/C 6/21/11 1	46059C205	22.000	80.75	260.58	179.83
06/03/11	11/28/08 *	SELL FI	INTERNET CAP GROUP INC N/C 6/21/11 1	46059C205	18.000	67.61	213.20	145.59
06/03/11	12/01/08 *	SELL FI	INTERNET CAP GROUP INC N/C 6/21/11 1	46059C205	4.000	13.84	47.38	33.54
06/03/11	12/02/08 *	SELL FI	INTERNET CAP GROUP INC N/C 6/21/11 1	46059C205	5.000	18.33	59.22	40.89
06/03/11	12/23/08 *	SELL FI	INTERNET CAP GROUP INC N/C 6/21/11 1	46059C205	1.000	4.50	11.84	7.34
06/03/11	12/30/08 *	SELL FI	INTERNET CAP GROUP INC N/C 6/21/11 1	46059C205	10.000	49.97	118.44	68.47
06/03/11	01/12/09 *	SELL FI	INTERNET CAP GROUP INC N/C 6/21/11 1	46059C205	15.000	74.01	177.67	103.66
06/03/11	01/13/09 *	SELL FI	INTERNET CAP GROUP INC N/C 6/21/11 1	46059C205	8.000	37.87	94.76	56.89
06/03/11	01/14/09 *	SELL FI	INTERNET CAP GROUP INC N/C 6/21/11 1	46059C205	21.000	95.46	248.73	153.27
06/03/11	01/15/09 *	SELL FI	INTERNET CAP GROUP INC N/C 6/21/11 1	46059C205	22.000	95.71	260.58	164.87
06/03/11	01/16/09 *	SELL FI	INTERNET CAP GROUP INC N/C 6/21/11 1	46059C205	2.000	8.50	23.69	15.19
06/03/11	01/20/09 *	SELL FI	INTERNET CAP GROUP INC N/C 6/21/11 1	46059C205	2.000	8.28	23.69	15.41
06/03/11	03/31/09 *	SELL FI	INTERNET CAP GROUP INC N/C 6/21/11 1	46059C205	52.000	208.73	615.91	407.18
06/03/11	07/31/09 *	SELL FI	INTERNET CAP GROUP INC N/C 6/21/11 1	46059C205	8.000	60.00	94.76	34.76
06/03/11	02/15/08 *	SELL FI	KFORCE INC COM	KFRC	279.000	2,245.92	3,626.50	1,380.58
06/03/11	11/05/09 *	SELL FI	KFORCE INC COM	KFRC	13.000	167.34	168.98	1.64
06/03/11	11/06/09 *	SELL FI	KFORCE INC COM	KFRC	1.000	12.99	13.00	0.01





HSBC Securities (USA) Inc.
452 Fifth Avenue
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Brokerage

Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
<i>Long Term (continued)</i>								
06/03/11	11/12/09 *	SELL FI	KFORCE INC COM	KFRC	8,000	103.25	103.99	0.74
06/03/11	10/02/09 *	SELL FI	MASTEC INC COM	MTZ	16,000	183.54	306.07	122.53
06/03/11	10/05/09 *	SELL FI	MASTEC INC COM	MTZ	7,000	79.30	133.91	54.61
06/03/11	10/07/09 *	SELL FI	MASTEC INC COM	MTZ	22,000	257.15	420.85	163.70
06/03/11	10/09/09 *	SELL FI	MASTEC INC COM	MTZ	2,000	23.50	38.26	14.76
06/03/11	10/13/09 *	SELL FI	MASTEC INC COM	MTZ	6,000	70.29	114.78	44.49
06/03/11	10/14/09 *	SELL FI	MASTEC INC COM	MTZ	3,000	35.25	57.39	22.14
06/03/11	10/28/09 *	SELL FI	MASTEC INC COM	MTZ	4,000	47.00	76.52	29.52
06/03/11	10/29/09 *	SELL FI	MASTEC INC COM	MTZ	9,000	105.02	172.16	67.14
06/03/11	03/16/10 *	SELL FI	MIDDLEBY CORP	MIDD	6,000	327.39	489.73	172.34
06/03/11	05/24/10 *	SELL FI	MIDDLEBY CORP	MIDD	28,000	1,507.29	2,332.07	824.78
06/03/11	02/15/08 *	SELL FI	NATURAL GAS SVCS GROUP INC COM	NGS	73,000	1,413.19	1,226.37	-186.82
06/03/11	03/31/08 *	SELL FI	NATURAL GAS SVCS GROUP INC COM	NGS	18,000	390.92	302.39	-88.53
06/03/11	04/01/08 *	SELL FI	NATURAL GAS SVCS GROUP INC COM	NGS	2,000	43.29	33.60	-9.69
06/03/11	04/04/08 *	SELL FI	NATURAL GAS SVCS GROUP INC COM	NGS	32,000	797.09	537.59	-259.50
06/03/11	05/05/08 *	SELL FI	NATURAL GAS SVCS GROUP INC COM	NGS	7,000	182.00	117.60	-64.40
06/03/11	05/15/08 *	SELL FI	NATURAL GAS SVCS GROUP INC COM	NGS	32,000	814.56	537.59	-276.97

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2009, 2010
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FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary
of The Bank of New York Mellon Corporation
Pershing LLC, member FINRA NYSE SIF

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
06/03/11	05/16/08 *	SELL FI	NATURAL GAS SVCS GROUP INC COM	NGS	11,000	279.61	184.80	-94.81
06/03/11	02/15/08 *	SELL FI	NICE SYSTEMS LTD SPONS ADR	NICE	293,000	9,112.26	10,342.90	1,230.64
06/03/11	03/31/08 *	SELL FI	NICE SYSTEMS LTD SPONS ADR	NICE	26,000	727.96	917.80	189.84
06/03/11	11/28/08 *	SELL FI	NICE SYSTEMS LTD SPONS ADR	NICE	42,000	934.24	1,482.60	548.36
06/03/11	12/01/08 *	SELL FI	NICE SYSTEMS LTD SPONS ADR	NICE	17,000	362.94	600.10	237.16
06/03/11	02/16/10 *	SELL FI	OSI SYS INC COM C/A EFF 3/5/10 1 OLD	OSIS	10,000	280.79	395.80	115.01
06/03/11	02/17/10 *	SELL FI	OSI SYS INC COM C/A EFF 3/5/10 1 OLD	OSIS	30,000	839.78	1,187.39	347.61
06/03/11	02/18/10 *	SELL FI	OSI SYS INC COM C/A EFF 3/5/10 1 OLD	OSIS	20,000	567.88	791.59	223.71
06/03/11	02/19/10 *	SELL FI	OSI SYS INC COM C/A EFF 3/5/10 1 OLD	OSIS	17,000	488.93	672.85	183.92
06/03/11	02/23/10 *	SELL FI	OSI SYS INC COM C/A EFF 3/5/10 1 OLD	OSIS	22,000	633.95	870.75	236.80
06/03/11	02/24/10 *	SELL FI	OSI SYS INC COM C/A EFF 3/5/10 1 OLD	OSIS	2,000	57.99	79.16	21.17
06/03/11	02/25/10 *	SELL FI	OSI SYS INC COM C/A EFF 3/5/10 1 OLD	OSIS	17,000	485.90	672.85	186.95
06/03/11	04/26/10 *	SELL FI	OSI SYS INC COM C/A EFF 3/5/10 1 OLD	OSIS	42,000	1,157.94	1,662.34	504.40
06/03/11	04/27/10 *	SELL FI	OSI SYS INC COM C/A EFF 3/5/10 1 OLD	OSIS	13,000	345.29	514.54	169.25
06/03/11	05/12/09 *	SELL FI	OPTIONSPRESS HLDGS INC COM_C/A EFF	684010101	67,000	1,086.83	1,137.83	51.00
06/03/11	05/13/09 *	SELL FI	OPTIONSPRESS HLDGS INC COM_C/A EFF	684010101	6,000	95.41	101.89	6.48
06/03/11	11/28/08 *	SELL FI	REGAL ENTMT GROUP CL A	RGC	50,000	455.49	629.06	173.57
06/03/11	12/01/08 *	SELL FI	REGAL ENTMT GROUP CL A	RGC	73,000	644.96	918.43	273.47
06/03/11	04/30/09 *	SELL FI	REGAL ENTMT GROUP CL A	RGC	190,000	2,438.97	2,390.44	-48.53
06/03/11	07/31/09 *	SELL FI	REGAL ENTMT GROUP CL A	RGC	210,000	2,615.91	2,642.06	26.15
06/03/11	01/24/08 *	SELL FI	SBA COMMUNICATIONS CORP CL A COM	SBAC	51,000	1,363.74	1,948.67	584.93



HSBC Securities (USA) Inc.
452 Fifth Avenue
New York, NY 10018
800-662-3343

Brokerage

Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
<i>Long Term (continued)</i>								
06/03/11	02/15/08 *	SELL FI	SBA COMMUNICATIONS CORP CL A COM	SBAC	223.000	6,803.71	8,520.67	1,716.96
06/03/11	03/25/08 *	SELL FI	SBA COMMUNICATIONS CORP CL A COM	SBAC	91.000	2,773.82	3,477.04	703.22
06/03/11	03/31/08 *	SELL FI	SBA COMMUNICATIONS CORP CL A COM	SBAC	73.000	2,183.89	2,789.28	605.39
06/03/11	11/24/08 *	SELL FI	SBA COMMUNICATIONS CORP CL A COM	SBAC	44.000	543.56	1,681.21	1,137.65
06/03/11	12/10/08 *	SELL FI	SBA COMMUNICATIONS CORP CL A COM	SBAC	47.000	793.21	1,795.84	1,002.63
06/03/11	12/17/08 *	SELL FI	SBA COMMUNICATIONS CORP CL A COM	SBAC	40.000	663.93	1,528.36	864.43
06/03/11	09/18/09 *	SELL FI	SXC HEALTH SOLUTIONS CORP COM	SXCI	16.000	357.20	930.62	573.42
06/03/11	01/30/07 *	SELL FI	HENRY SCHEIN INC	HSIC	83.000	4,209.43	5,785.22	1,575.79
06/03/11	01/24/08 *	SELL FI	HENRY SCHEIN INC	HSIC	37.000	2,226.66	2,578.96	352.30
06/03/11	03/18/09 *	SELL FI	SONOCO PRODS CO COM	SON	79.000	1,662.39	2,663.26	1,000.87
06/03/11	03/19/09 *	SELL FI	SONOCO PRODS CO COM	SON	53.000	1,131.01	1,786.75	655.74
06/03/11	10/21/09 *	SELL FI	TARGACEPT INC COM	TRGT	53.000	1,114.00	1,164.01	50.01
06/03/11	10/22/09 *	SELL FI	TARGACEPT INC COM	TRGT	6.000	125.73	131.77	6.04
06/03/11	10/23/09 *	SELL FI	TARGACEPT INC COM	TRGT	27.000	561.45	592.98	31.53
06/03/11	10/26/09 *	SELL FI	TARGACEPT INC COM	TRGT	10.000	203.09	219.63	16.54
06/03/11	08/28/09 *	SELL FI	TOWER BANCORP INC COM	TOBC	73.000	2,020.96	1,414.38	-606.58
06/03/11	09/02/09 *	SELL FI	TOWER BANCORP INC COM	TOBC	16.000	429.26	310.00	-119.26

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
06/03/11	09/03/09 *	SELL FI	TOWER BANCORP INC COM	TOBC	9,000	241.38	174.37	-67.01
06/03/11	09/04/09 *	SELL FI	TOWER BANCORP INC COM	TOBC	2,000	53.69	38.75	-14.94
06/03/11	11/09/09 *	SELL FI	TOWER BANCORP INC COM	TOBC	1,000	21.92	19.37	-2.55
06/03/11	11/10/09 *	SELL FI	TOWER BANCORP INC COM	TOBC	4,000	86.17	77.50	-8.67
06/03/11	11/11/09 *	SELL FI	TOWER BANCORP INC COM	TOBC	3,000	63.67	58.12	-5.55
06/03/11	11/12/09 *	SELL FI	TOWER BANCORP INC COM	TOBC	3,000	62.73	58.12	-4.61
06/03/11	11/13/09 *	SELL FI	TOWER BANCORP INC COM	TOBC	2,000	40.33	38.75	-1.58
06/03/11	11/16/09 *	SELL FI	TOWER BANCORP INC COM	TOBC	4,000	84.72	77.50	-7.22
06/03/11	11/19/09 *	SELL FI	TOWER BANCORP INC COM	TOBC	2,000	39.67	38.75	-0.92
06/03/11	12/28/09 *	SELL FI	TOWER BANCORP INC COM	TOBC	13,000	290.85	251.88	-38.97
06/03/11	12/29/09 *	SELL FI	TOWER BANCORP INC COM	TOBC	10,000	224.86	193.75	-31.11
06/03/11	02/15/08 *	SELL FI	UNITED NAT FOODS INC COM	UNFI	259,000	6,177.12	10,813.35	4,636.23
06/03/11	02/09/09 *	SELL FI	UNITED NAT FOODS INC COM	UNFI	13,000	190.09	542.75	352.66
06/03/11	12/24/08 *	SELL FI	UNIVERSAL HEALTH SVCS INC CL B	UHS	66,000	1,165.70	3,516.60	2,350.90
06/03/11	12/26/08 *	SELL FI	UNIVERSAL HEALTH SVCS INC CL B	UHS	26,000	461.63	1,385.33	923.70
06/03/11	12/29/08 *	SELL FI	UNIVERSAL HEALTH SVCS INC CL B	UHS	70,000	1,238.16	3,729.73	2,491.57
06/03/11	12/31/08 *	SELL FI	UNIVERSAL HEALTH SVCS INC CL B	UHS	14,000	261.68	745.94	484.26
06/03/11	02/15/08 *	SELL FI	VERINT SYS INC COM	VRNT	203,000	3,613.40	7,079.03	3,465.63
06/03/11	11/16/09 *	SELL FI	WESTAMERICA BANCORPORATION COM	WABC	14,000	738.71	664.99	-73.72
06/03/11	11/17/09 *	SELL FI	WESTAMERICA BANCORPORATION COM	WABC	13,000	695.21	617.49	-77.72
06/06/11	05/13/09 *	SELL FI	OPTIONSXPRESS HLDGS INC COM_C/A EFF	684010101	5,000	79.51	84.64	5.13



HSBC Securities (USA) Inc
452 Fifth Avenue
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Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long Term (continued)								
06/06/11	04/26/10 *	SELL FI	RIVERBED TECHNOLOGY INC COM	RVBD	3,000	48.11	109.07	60.96
06/06/11	10/21/09 *	SELL FI	SUCCESSFACTORS INC COM	SFSF	6,000	99.23	197.99	98.76
06/06/11	02/15/08 *	SELL FI	WASTE CONNECTIONS INC COM	WCN	5,000	100.76	153.44	52.68
06/13/11	05/03/10 *	SELL FI	ERESEARCHTECHNOLOGY INC COM	ERT	82,000	629.37	456.73	-172.64
06/13/11	05/04/10 *	SELL FI	ERESEARCHTECHNOLOGY INC COM	ERT	43,000	333.53	239.51	-94.02
06/13/11	05/06/10 *	SELL FI	ERESEARCHTECHNOLOGY INC COM	ERT	25,000	194.52	139.25	-55.27
06/13/11	05/07/10 *	SELL FI	ERESEARCHTECHNOLOGY INC COM	ERT	53,000	410.69	295.20	-115.49
06/13/11	05/10/10 *	SELL FI	ERESEARCHTECHNOLOGY INC COM	ERT	20,000	155.04	111.40	-43.64
06/13/11	05/11/10 *	SELL FI	ERESEARCHTECHNOLOGY INC COM	ERT	10,000	77.87	55.70	-22.17
06/13/11	05/20/10 *	SELL FI	ERESEARCHTECHNOLOGY INC COM	ERT	42,000	324.00	233.94	-90.06
06/13/11	05/21/10 *	SELL FI	ERESEARCHTECHNOLOGY INC COM	ERT	39,000	299.12	217.23	-81.89
06/13/11	05/24/10 *	SELL FI	ERESEARCHTECHNOLOGY INC COM	ERT	14,000	107.48	77.98	-29.50
06/13/11	05/26/10 *	SELL FI	ERESEARCHTECHNOLOGY INC COM	ERT	29,000	224.80	161.53	-63.27
06/13/11	05/27/10 *	SELL FI	ERESEARCHTECHNOLOGY INC COM	ERT	7,000	54.57	38.99	-15.58
06/13/11	06/07/10 *	SELL FI	ERESEARCHTECHNOLOGY INC COM	ERT	18,000	139.24	100.26	-38.98
06/13/11	06/08/10 *	SELL FI	ERESEARCHTECHNOLOGY INC COM	ERT	27,000	207.30	150.39	-56.91
06/13/11	06/11/10 *	SELL FI	ERESEARCHTECHNOLOGY INC COM	ERT	35,000	271.52	194.95	-76.57

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Account Number: HMP-010451
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#1 Brokerage Statement,
2009, 2010
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FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary
of The Bank of New York Mellon Corporation
Pershing LLC, member FINRA, NYSE, SIPC

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
06/24/11	10/21/09 *	SELL FI	SUCCESSFACTORS INC COM	SFSF	48,000	793.87	1,315.24	521.37
09/02/11	03/31/10 *	SELL FI	CHICOS FAS INC COM	CHS	79,000	1,137.35	1,024.83	-112.52
09/02/11	04/30/10 *	SELL FI	CHICOS FAS INC COM	CHS	95,000	1,421.12	1,232.40	-188.72
09/02/11	01/29/09 *	SELL FI	PROLOGIS INC COM	PLD	36,000	650.62	949.66	299.04
09/02/11	02/06/09 *	SELL FI	PROLOGIS INC COM	PLD	13,000	216.95	342.93	125.98
09/02/11	04/26/10 *	SELL FI	RIVERBED TECHNOLOGY INC COM	RVBD	103,000	1,651.60	2,374.40	722.80
09/02/11	05/14/09 *	CLEU FI	SCHWAB CHARLES CORP NEW COM	SCHW	0.260	4.06	3.06	-1.00
09/02/11	05/13/09 *	SELL FI	SCHWAB CHARLES CORP NEW COM	SCHW	34,680	540.65	401.58	-139.07
09/02/11	05/14/09 *	SELL FI	SCHWAB CHARLES CORP NEW COM	SCHW	13,320	207.86	154.24	-53.62
09/02/11	02/15/08 *	SELL FI	WASTE CONNECTIONS INC COM	WCN	117,000	2,357.78	3,943.16	1,585.38
09/06/11	04/30/10 *	SELL FI	CHICOS FAS INC COM	CHS	6,000	89.76	77.69	-12.07
09/06/11	02/06/09 *	SELL FI	PROLOGIS INC COM	PLD	2,000	33.38	51.27	17.89
09/06/11	04/26/10 *	SELL FI	RIVERBED TECHNOLOGY INC COM	RVBD	4,000	64.14	90.31	26.17
09/06/11	05/14/09 *	SELL FI	SCHWAB CHARLES CORP NEW COM	SCHW	2,000	31.20	22.59	-8.61
09/06/11	02/15/08 *	SELL FI	WASTE CONNECTIONS INC COM	WCN	4,000	80.61	134.27	53.66
09/07/11	02/06/09 *	SELL FI	PROLOGIS INC COM	PLD	65,000	1,084.77	1,712.06	627.29
09/21/11	05/14/09 *	SELL FI	SCHWAB CHARLES CORP NEW COM	SCHW	0.740	11.55	8.75	-2.80
09/21/11	05/15/09 *	SELL FI	SCHWAB CHARLES CORP NEW COM	SCHW	35,700	557.95	422.03	-135.92
09/21/11	06/03/09 *	SELL FI	SCHWAB CHARLES CORP NEW COM	SCHW	28,560	506.00	337.63	-168.37
10/31/11	04/26/10 *	SELL FI	RIVERBED TECHNOLOGY INC COM	RVBD	9,000	144.32	252.35	108.03
11/22/11	04/30/10 *	SELL FI	CHICOS FAS INC COM	CHS	219,000	3,276.06	2,168.41	-1,031.78
								-75.873

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HSBC Securities (USA) Inc
452 Fifth Avenue
New York, NY 10018
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Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
11/22/11	06/06/11	SELL FI	CHICOS FAS INC COM	CHS	13.000	184.80	128.72	-56.08
			429 day(s) added to your holding period as a result of a wash sale.					
11/22/11	10/31/11	SELL FI	CHICOS FAS INC COM	CHS	15.000	264.38	148.52	-115.86
			571 day(s) added to your holding period as a result of a wash sale.					
Total Long Term						\$249,534.34	\$377,067.40	\$127,610.17
Total Short Term and Long Term						\$828,068.79	\$939,086.99	\$119,416.51

• Uncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L. 110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "uncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for uncovered securities. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. In addition, corporate action events may require adjustments to your original cost basis. Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable.

Adjustments to cost basis can be made after year-end, in particular, for return of capital adjustments, but may also include adjustments for corporate action events. Therefore there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports, including your 1099-B or online displays you may have available to you.

When you report your cost basis on your tax return, it should be verified using all of your own records. In particular, there may be other adjustments which you need to make, but are not required to be made by Pershing as it relates to H.R. 1424. You should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

3 Realized loss is net of a disallowance due to a wash sale.



Schedule of Realized Gains and Losses Year-to-Date (continued)

Tax Lot Disposition Methods:

FI = First In First Out

SL = Versus Purchase

Please refer to the Client Service Information Section in your brokerage account statement for your account's existing tax-lot disposition method. The disposition method is the method which you have selected to use in the disposal of each tax lot of the securities held in your account. If you do not select a method, your account will be defaulted to First In, First Out (FIFO). Your account's selected tax lot method will be used to determine the cost basis for calculating gain and/or loss, unless another method was selected at the time of the security disposal, and this may be reported on the IRS Form 1099-B.

Cash Not Yet Received

Security	Record Date	Payable Date	Quantity Held	Rate	Amount of Payment	Dividend Option
Dividends						
QUALITY SYS INC	12/20/11	01/05/12	86.000	0.175000	15.05	Cash
TWO HBRS INVT CORP COM	12/27/11	01/20/12	218.000	0.400000	87.20	Cash
UMB FINL CORP COM	12/09/11	01/03/12	35.000	0.205000	7.18	Cash
Total Cash Not Yet Received					\$109.43	

The above information has been obtained from sources we believe to be reliable. All items are subject to receipt. We make no representation as to accuracy or completeness. Cash Not Yet Received is not reflected as an asset in your account until it is actually received.

Messages

Please note the following information for Pershing's 2011 mailing of Internal Revenue Service (IRS) Forms 1099 (B, DIV, INT, OID and MISC). Your Form 1099(s) will be mailed by February 15, 2012, as a result of recent legislative changes. Pershing will not be producing tax information statements by January 31, 2012.

Please be advised that, in accordance with FINRA Rule 4370, HSBC Securities (USA) Inc.'s Business Contingency Plan Disclosure can be obtained by accessing:

<http://www.us.hsbc.com/1723personal/other-services/investing/financial-statement>, or by contacting our Brokerage Customer Service at 1-800-662-3343.

Please contact your HSBC Financial Advisor if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your account or reasonably modify existing restrictions.

Important Arbitration Agreement and Important Arbitration Disclosures

Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until: (i) the class certification is denied; (ii) the class is decertified; or (iii) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.



HSBC Securities (USA) Inc.
452 Fifth Avenue
New York, NY 10018
800-662-3343

Brokerage Account Statement

Statement Period: 12/01/2011 - 12/31/2011

Important Arbitration Agreement and Important Arbitration Disclosures *(continued)*

Important Arbitration Disclosures *(continued)*

Pershing's contact information is as follows: Pershing LLC; Legal Department; One Pershing Plaza; Jersey City, New Jersey 07399; (201) 413-3330.

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Account Number: HMP-010451
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#1 Brokerage Statement,
2009, 2010
DALBAR RATED
FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary
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Pershing LLC, member FINRA, NYSE, SIPC

2011 Year End Summary

f: 00000246 00032160

M11000000246 311381AM01 SOVR50S1A
ALLEN I. GROSS CHARITABLE FND
ATTN: EDITH GROSS
50 BROADWAY 3RD FLOOR
NEW YORK NY 10004-1607

Account Number 389-5104G-14 826

389
626

*We are pleased to provide you with this
overview of the year's activity in your account.
This information will help you understand the
performance of your investments and manage your
account with the assistance of your
Financial Advisor.*

Your Financial Advisor

Brian Bennett/Marc Hurwitz
MORGANSTANLEY SMITHBARNEY LLC
101 PARK AVENUE
24TH FLOOR
NEW YORK NY 10178
Branch Office : 212 503 1178

*If you have questions about your Year End Summary,
call toll-free Monday through Friday,
9:00 a.m. to 5:00 p.m. EST., 1-800-727-1099*



2011 Year End Summary

Ref: 00000246 00032161

Account Number 389-5104G-14 826

Value of your portfolio

Description	Amount
Money funds	\$ 75,258.94
Accrued bond / CD Interest	2,332.57
Mortgage and asset backed securities	241,200.25
Mutual funds	420,353.75
Government bonds	217,041.47
Value of your account on 12/31/11	\$ 956,186.98

Interest you paid 2011

Description	Amount
Accrued Interest	\$ 1,377.01

Earnings summary 2011

Description	Amount
Interest *	\$ 6,846.63
Dividends **	16,175.03
Qualified Dividends	73.42
Original Issue Discount	1,017.46
Total	\$ 24,112.54

*If you received accrued interest or interest exempt from Federal income tax, they are included in this amount.

**If you received money funds earnings, capital gain distributions or dividends exempt from Federal income tax, they are included in this amount.

2 0 1 1 Y E A R E N D S U M M A R Y



2011 Year End Summary

f: 00000246 00032162

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5104G-14 826

Details of Original Issue Discount 2011

Reference number	Description	OID Amount	Alternative minimum tax
3000000	US TSY INFLATION INDEX BDS CPI U NON-SEASONALLY ADJ DTD 4/15/1998	\$ 236.84	
3000200	US TSY INFLATION INDEX NTS CPI U NON-SEASONALLY ADJ DTD 07/15/2002	480.09	
Total OID		\$ 1,017.46	
Total Alternative Minimum Tax			\$ 0.00

2011

YEAR

Details of Earnings 2011

Interest amounts include accrued interest received and interest exempt from Federal income tax.

Reference number	Description	Interest	Alternative minimum tax
140000100	3128M6RH30B0 FHLMC PL#G04688 DTD 09/01/2008 DUE 09/01/2038 RATE 5.500	\$ 14.19	
140000200	3128M6YJ10B0 FHLMC PL#G04913 DTD 11/01/2008 DUE 03/01/2038 RATE 5.000	8.97	
140000300	312945SX70B0 FHLMC PL#A96834 DTD 02/01/2011 DUE 02/01/2041 RATE 4.500	963.38	
140000400	312946PB60B0 FHLMC PL#A97618 DTD 03/01/2011 DUE 03/01/2041 RATE 4.500	17.36	
140000500	3138AFC240B0 FNMA PL#A11888 DTD 05/01/2011 DUE 05/01/2041 RATE 4.500	90.27	
140000600	3138AFFB10B0 FNMA PL#A11961 DTD 04/01/2011 DUE 05/01/2041 RATE 4.500	716.41	

END

SUMMARY



2011 Year End Summary

Ref: 00000246 00032163

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5104G-14 826

Details of Earnings 2011 - continued

Interest amounts include accrued interest received and interest exempt from Federal income tax.

Interest - continued	Reference number	Description	Interest	Alternative minimum tax
	140000700	31398ASW80B0 FEDERAL NATL MTG ASSOCIATION BK/ ENTRY DTD 20101101 DUE 12/18/2013 RATE 0.750	\$ 157.50	
	140000800	31402Q2V20B0 FNMA PL#735288 DTD 02/01/2005 DUE 03/01/2035 RATE 5.000	389.71	
	140000900	31402RJV20B0 FNMA PL#735676 DTD 06/01/2005 DUE 07/01/2035 RATE 5.000	233.28	
	140001000	31403DBL20B0 FNMA PL#745343 DTD 02/01/2006 DUE 03/01/2036 RATE 5.500	71.36	
	140001100	31403DDX40B0 FNMA PL#745418 DTD 03/01/2006 DUE 04/01/2036 RATE 5.500	144.66	
	140001200	31414PUU10B0 FNMA PL#972295 DTD 02/01/2008 DUE 02/01/2038 RATE 5.500	425.84	
	140001300	31416CCM60B0 FNMA PL#995676 DTD 04/01/2009 DUE 05/01/2039 RATE 4.500	60.30	
	140001400	31416CD370B0 FNMA PL#995722 DTD 04/01/2009 DUE 05/01/2038 RATE 5.000	101.64	
	140001500	31416NYW60B0 FNMA PL#AA5224 DTD 03/01/2009 DUE 03/01/2039 RATE 4.500	512.25	
	140001600	31416WL670B0 FNMA PL#AB1248 DTD 06/01/2010 DUE 07/01/2040 RATE 4.500	141.74	
	140001700	31418MHD70B0 FNMA PL#AD0227 DTD 09/01/2009 DUE 09/01/2039 RATE 5.500	44.13	

2 0 1 1 Y E A R E N D S U M M A R Y



2011 Year End Summary

f: 00000246 00032164

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5104G-14 826

Details of Earnings 2011 - continued

Interest amounts include accrued interest received and interest exempt from Federal income tax.

Reference number	Description	Interest	Alternative minimum tax
140001800	31419ADV60B0 FNMA PL#AE0115 DTD 06/01/2010 DUE 12/01/2035 RATE 5.500	\$ 1,461.02	
140001900	9127953F60B0 U S TREASURY BILLS DTD 03/03/2011 R/MD 0.00 09/01/2011	4.23	
140002000	9127953K50B0 U S TREASURY BILLS DTD 03/17/2011 R/MD 0.00 09/15/2011	12.73	
140002100	912810FD50B0 US TSY INFLATION INDEX BDS CPI U NON-SEASONALLY ADJ DTD 4/15/1998 DUE 04/15/2028 RATE 3.625	277.00	
140002200	912810PZ50B0 US TSY INFLATION INDEX BDS CPI U NON-SEASONALLY ADJ DTD 01/30/2009 DUE 01/15/2029 RATE 2.500	170.59	
140002300	912828AF70B0 US TSY INFLATION INDEX NTS CPI U NON-SEASONALLY ADJ DTD 07/15/2002 DUE 07/15/2012 RATE 3.000	376.06	
140002400	912828FB10B0 US TSY INFLATION INDEX NTS CPI U NON-SEASONALLY ADJ DTD 04/28/2006 DUE 04/15/2011 RATE 2.375	224.50	

2011

YEAR END SUMMARY



2011 Year End Summary

Ref: 00000246 00032165

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5104G-14 826

Details of Earnings 2011 - continued

Interest amounts include accrued interest received and interest exempt from Federal income tax.

Interest - continued	Reference number	Description	Interest	Alternative minimum tax
	140002500	912828MQ00B0 U S TREASURY NOTES SER G-2015 DTD 02/28/2010 DUE 02/29/2012 RATE 0.875	\$ 179.38	
	140002600	912828MT40B0 U S TREASURY NOTES SER W-2013 DTD 03/15/2010 DUE 03/15/2013 RATE 1.375	48.13	
	Totals		\$ 6,846.63	

Dividends and Distributions, Section 1

Amounts displayed for dividends include dividend reinvestments, money fund earnings, accrued dividends received and dividends exempt from Federal income tax.
"Total dividends" includes both Qualified and non-qualified dividends received.
"Total capital gain distributions" is a total of long term capital gain distributions, Unrecaptured Sec. 1250 gain and Section 1202 gain.

Reference number	Description	Total dividends	Qualified dividends	Short term capital gains	Total capital gain distributions	Unrecaptured Sec. 1250 gain	Section 1202 gain	Alternative minimum tax
160000100	004940102000 MORGAN STANLEY AA MONEY TRUST	\$ 4.96						
160000200	01882B205000 FIXED INCOME SHARES FD SERIES C A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	8,451.89	5.14					
160000300	01882B304000 FIXED INCOME SHARES FD SERIES M A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	7,791.60	68.28					
Totals		\$ 16,248.45	\$ 73.42					

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2011 Year End Summary

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5104G-14 826

00000246 00032166

Details of Short Term Gain (Loss) 2011

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, though cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. For additional information regarding this section see enclosed brochure.

Reference Number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
5000010	31,000	U S TREASURY BILLS DTD 02/17/2011 DUE 08/18/2011 YIELD .015MTY	05/17/11	07/11/11	\$ 30,999.52	\$ 30,997.61 \$ 30,998.76	\$ 1.91 \$.76	\$.76 \$ 0.00
5000020	30,000	U S TREASURY BILLS DTD 03/10/2011 DUE 09/08/2011 YIELD .051MTY	03/16/11	05/31/11	29,995.86	29,981.76 29,989.50	14.10 6.36	6.36 0.00
5000030	17,000	US TSY INFLATION INDEX NTS CPI U NON-SEASONALLY ADJ DTD 04/28/2006 DUE 04/15/2011 RATE 2.375	03/16/11	04/15/11	18,905.02	18,930.22 18,930.22	(25.20) (25.20)	0.00 (25.20)
Totals					\$ 79,900.40	\$ 79,909.59 \$ 79,918.48	(\$ 9.19) (\$ 18.08)	\$ 7.12 (\$ 25.20)

Details of Accrued Income 2011

This section shows your accrued income paid or received as a result of purchases and sales.

Reference Number	Transaction Description	Trade Date	Interest Paid	Interest Received
0001200	FHLMC PL#G04688 DTD 09/01/2008 DUE 09/01/2038 RATE 5.500	11/10/11	\$ 6.15	
0001400	FHLMC PL#G04913 DTD 11/01/2008 DUE 03/01/2038 RATE 5.000	11/10/11	3.89	
0001600	FHLMC PL#A96834 DTD 02/01/2011 DUE 02/01/2041 RATE 4.500	04/07/11	52.35	
0002500	FHLMC PL#A97618 DTD 03/01/2011 DUE 03/01/2041 RATE 4.500	11/10/11	7.52	



2011 Year End Summary

Ref: 00000246 00032167

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5104G-14 826

Details of Accrued Income 2011 continued

Reference number	Transaction Description	Trade Date	Interest Paid	Interest Received
120002700	FNMA PL#A11888 DTD 05/01/2011 DUE 05/01/2041 RATE 4.500	10/07/11	\$ 18.39	
120003000	FNMA PL#A11961 DTD 04/01/2011 DUE 05/01/2041 RATE 4.500	05/10/11	38.45	
120003800	FEDERAL NATL MTG ASSOCIATION BK/ ENTRY DTD 20101101 DUE 12/18/2013 RATE 0.750	03/16/11	14.83	
120003900	FEDERAL NATL MTG ASSOCIATION BK/ ENTRY DTD 20101101 DUE 12/18/2013 RATE 0.750	04/19/11	15.25	
120004000	FEDERAL NATL MTG ASSOCIATION BK/ ENTRY DTD 20101101 DUE 12/18/2013 RATE 0.750	06/07/11	17.71	
120004100	FEDERAL NATL MTG ASSOCIATION BK/ ENTRY DTD 20101101 DUE 12/18/2013 RATE 0.750	08/23/11	2.75	
120004200	FEDERAL NATL MTG ASSOCIATION BK/ ENTRY DTD 20101101 DUE 12/18/2013 RATE 0.750	10/11/11	4.75	
120004300	FNMA PL#735288 DTD 02/01/2005 DUE 03/01/2035 RATE 5.000	05/10/11	19.82	
120004700	FNMA PL#735288 DTD 02/01/2005 DUE 03/01/2035 RATE 5.000	09/09/11	4.57	
120005200	FNMA PL#735676 DTD 06/01/2005 DUE 07/01/2035 RATE 5.000	10/07/11	47.32	
120005500	FNMA PL#745343 DTD 02/01/2006 DUE 03/01/2036 RATE 5.500	10/07/11	14.43	
120005800	FNMA PL#745418 DTD 03/01/2006 DUE 04/01/2036 RATE 5.500	09/09/11	19.73	
120006200	FNMA PL#972295 DTD 02/01/2008 DUE 02/01/2038 RATE 5.500	06/09/11	30.52	

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2011 Year End Summary

f: 00000245 00032168

ALLEN I. GROSS CHARITABLE FND

Account Number 389-5104G-14 826

Details of Accrued Income 2011 - continued

2 0 1 1 Y E A R E N D S U M M A R Y

Reference Number	Transaction Description	Trade Date	Interest Paid	Interest Received
0006900	FNMA PL#995676 DTD 04/01/2009 DUE 05/01/2039 RATE 4.500	07/11/11	\$ 5.50	
0007500	FNMA PL#995722 DTD 04/01/2009 DUE 05/01/2038 RATE 5.000	07/11/11	9.16	
0008100	FNMA PL#AA5224 DTD 03/01/2009 DUE 03/01/2039 RATE 4.500	06/09/11	35.09	
0008800	FNMA PL#AB1248 DTD 06/01/2010 DUE 07/01/2040 RATE 4.500	09/09/11	19.48	
0009200	FNMA PL#AD0227 DTD 09/01/2009 DUE 09/01/2039 RATE 5.500	07/11/11	4.12	
0009800	FNMA PL#AE0115 DTD 06/01/2010 DUE 12/01/2035 RATE 5.500	04/19/11	156.71	
0011600	US TSY INFLATION INDEX BDS CPI U NON-SEASONALLY ADJ DTD 4/15/1998 DUE 04/15/2028 RATE 3.625	03/16/11	62.10	
0011700	US TSY INFLATION INDEX BDS CPI U NON-SEASONALLY ADJ DTD 4/15/1998 DUE 04/15/2028 RATE 3.625	04/19/11	1.35	
0011800	US TSY INFLATION INDEX BDS CPI U NON-SEASONALLY ADJ DTD 4/15/1998 DUE 04/15/2028 RATE 3.625	06/07/11	14.80	
0011900	US TSY INFLATION INDEX BDS CPI U NON-SEASONALLY ADJ DTD 4/15/1998 DUE 04/15/2028 RATE 3.625	10/11/11	24.93	
0012000	US TSY INFLATION INDEX BDS CPI U NON-SEASONALLY ADJ DTD 01/30/2009 DUE 01/15/2029 RATE 2.500	03/16/11	12.93	
0012100	US TSY INFLATION INDEX BDS CPI U NON-SEASONALLY ADJ DTD 01/30/2009 DUE 01/15/2029 RATE 2.500	04/19/11	20.25	



2011 Year End Summary

Ref: 00000246 00032169

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5104G-14 826

Details of Accrued Income 2011 - continued

Reference number	Transaction Description	Trade Date	Interest Paid	Interest Received
120012200	US TSY INFLATION INDEX BDS CPI U NON-SEASONALLY ADJ DTD 01/30/2009 DUE 01/15/2029 RATE 2.500	06/07/11	\$ 20.73	
120012300	US TSY INFLATION INDEX BDS CPI U NON-SEASONALLY ADJ DTD 01/30/2009 DUE 01/15/2029 RATE 2.500	07/12/11	64.87	
120012400	US TSY INFLATION INDEX BDS CPI U NON-SEASONALLY ADJ DTD 01/30/2009 DUE 01/15/2029 RATE 2.500	08/03/11	18.59	
120012500	US TSY INFLATION INDEX BDS CPI U NON-SEASONALLY ADJ DTD 01/30/2009 DUE 01/15/2029 RATE 2.500	08/23/11	5.72	
120012600	US TSY INFLATION INDEX BDS CPI U NON-SEASONALLY ADJ DTD 01/30/2009 DUE 01/15/2029 RATE 2.500	10/11/11	19.11	
120012700	US TSY INFLATION INDEX NTS CPI U NON-SEASONALLY ADJ DTD 07/15/2002 DUE 07/15/2012 RATE 3.000	03/16/11	49.42	
120012800	US TSY INFLATION INDEX NTS CPI U NON-SEASONALLY ADJ DTD 07/15/2002 DUE 07/15/2012 RATE 3.000	04/19/11	58.04	
120012900	US TSY INFLATION INDEX NTS CPI U NON-SEASONALLY ADJ DTD 07/15/2002 DUE 07/15/2012 RATE 3.000	06/07/11	89.13	
120013000	US TSY INFLATION INDEX NTS CPI U NON-SEASONALLY ADJ DTD 07/15/2002 DUE 07/15/2012 RATE 3.000	08/23/11	12.28	

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2011 Year End Summary

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ALLEN I. GROSS CHARITABLE FND
Account Number 389-5104G-14 826

Details of Accrued Income 2011 - continued

Reference Number	Transaction Description	Trade Date	Interest Paid	Interest Received
2013100	US TSY INFLATION INDEX NTS CPI U NON-SEASONALLY ADJ DTD 07/15/2002 DUE 07/15/2012 RATE 3.000	10/11/11	\$ 18.25	
2013200	US TSY INFLATION INDEX NTS CPI U NON-SEASONALLY ADJ DTD 04/28/2006 DUE 04/15/2011 RATE 2.375	03/16/11	187.86	
2013400	U S TREASURY NOTES SER G-2015 DTD 02/28/2010 DUE 02/29/2012 RATE 0.875	03/16/11	5.66	
2013500	U S TREASURY NOTES SER G-2015 DTD 02/28/2010 DUE 02/29/2012 RATE 0.875	04/19/11	14.55	
2013600	U S TREASURY NOTES SER G-2015 DTD 02/28/2010 DUE 02/29/2012 RATE 0.875	06/07/11	23.78	
2013700	U S TREASURY NOTES SER G-2015 DTD 02/28/2010 DUE 02/29/2012 RATE 0.875	08/23/11	21.04	
2013800	U S TREASURY NOTES SER G-2015 DTD 02/28/2010 DUE 02/29/2012 RATE 0.875	10/11/11	4.04	
2013900	U S TREASURY NOTES SER W-2013 DTD 03/15/2010 DUE 03/15/2013 RATE 1.375	03/16/11	.22	
2014000	U S TREASURY NOTES SER W-2013 DTD 03/15/2010 DUE 03/15/2013 RATE 1.375	04/19/11	2.69	
2014100	U S TREASURY NOTES SER W-2013 DTD 03/15/2010 DUE 03/15/2013 RATE 1.375	06/07/11	6.35	
2014200	U S TREASURY NOTES SER W-2013 DTD 03/15/2010 DUE 03/15/2013 RATE 1.375	10/11/11	2.04	

2011 YEAR END SUMMARY



2011 Year End Summary

Ref: 00000246 00032171

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5104G-14 826

Details of Accrued Income 2011 - continued

Reference number	Transaction Description	Trade Date	Interest Paid	Interest Received
120014300	U S TREASURY NOTES SER AA-2016 DTD 07/31/2011 DUE 07/31/2016 RATE 1.500	08/08/11	\$ 5.50	
120014400	U S TREASURY NOTES SER AA-2016 DTD 07/31/2011 DUE 07/31/2016 RATE 1.500	10/11/11	8.93	
120014500	U S TREASURY NOTES SER E-2021 DTD 08/15/2011 DUE 08/15/2021 RATE 2.125	09/13/11	43.31	
120014600	U S TREASURY NOTES SER E-2021 DTD 08/15/2011 DUE 08/15/2021 RATE 2.125	10/11/11	10.05	
Total			\$ 1,377.01	

Details of Deposits and Withdrawals 2011

This section reflects any deposits or withdrawals made to your account during the year.

Deposits

Reference number	Date	Description	Amount
210000100	03/15/11	FROM 389-4164G-01 TO 389-5104G-01	\$ 300,000.00
210000300	05/31/11	FROM 389-4164G-01 TO 389-5104G-01	200,000.00
210000500	10/04/11	FROM 389-4164G-01 TO 389-5104G-01	100,000.00
Total			\$ 940,000.00

Withdrawals

Reference number	Date	Description	Referral number	Amount
220000100	03/17/11	CONSULTING & ADVISORY SERVICES FROM 03/15/11 TO 05/31/11		\$ 416.71
	04/29/11	CONSULTING & ADVISORY SERVICES FROM 04/18/11 TO 05/31/11		\$ 188.05



2011 Year End Summary

af: 00000246 00032172

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5104G-14 826

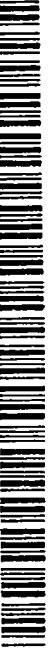
Details of Deposits and Withdrawals 2011 - continued

Withdrawals - continued

Reference number	Date	Description	Referral number	Amount
20000300	06/17/11	CONSULTING & ADVISORY SERVICES FROM 06/01/11 TO 08/31/11		\$ 1,226.45
20000500	09/16/11	CONSULTING & ADVISORY SERVICES FROM 09/01/11 TO 11/30/11		1,381.68
20000700	12/16/11	CONSULTING & ADVISORY SERVICES FROM 12/01/11 TO 02/29/12		1,530.62
Total				\$ 4,869.94

Reference number	Date	Description	Referral number	Amount
220000400	08/31/11	CONSULTING & ADVISORY SERVICES FROM 08/19/11 TO 08/31/11		\$ 23.15
220000600	10/31/11	CONSULTING & ADVISORY SERVICES FROM 10/04/11 TO 11/30/11		103.28

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MorganStanley SmithBarney

t: 00000246 00032143

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2011 Year End Summary

M11000000246 311381AM01 SOVR50S1A
ALLEN I. GROSS CHARITABLE FND
ATTN: EDITH GROSS
50 BROADWAY 3RD FLOOR
NEW YORK NY 10004-1607

Account Number 389-5101G-10 826

389
826

*We are pleased to provide you with this
overview of the year's activity in your account.
This information will help you understand the
performance of your investments and manage your
account with the assistance of your
Financial Advisor.*

Your Financial Advisor

Brian Bennett/Marc Hurwitz
MORGANSTANLEY SMITHBARNEY LLC
101 PARK AVENUE
24TH FLOOR
NEW YORK NY 10178
Branch Office : 212 503 1178

*If you have questions about your Year End Summary,
call toll-free Monday through Friday,
9:00 a.m. to 5:00 p.m. EST., 1-800-727-1099*



2011 Year End Summary

Ref: 00000246 00032144

Account Number 389-5101G-10 826

Value of your portfolio

Description	Amount
Combined account balance	\$ 22.20
Money funds	17,051.58
Common stocks & options	70,091.40
Value of your account on 12/31/11	\$ 87,165.18

Earnings summary 2011

Description	Amount
Dividends **	\$ 2.38
Qualified Dividends	895.93
Total	\$ 898.31

**If you received money funds earnings, capital gain distributions or dividends exempt from Federal income tax, they are included in this amount.

Summary of miscellaneous tax withheld 2011

The following taxes have been withheld in compliance with U.S. federal, state or foreign regulations.

Description	Amount
Foreign tax paid	\$ 23.82
Total	\$ 23.82

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ALLEN I. GROSS CHARITABLE FND
Account Number 389-5101G-10 826

Details of Earnings 2011

Dividends and Distributions, Section 1

Accounts displayed for dividends include dividend reinvestments, money fund earnings, accrued dividends received and dividends exempt from Federal income tax. Total dividends* includes both Qualified and non-qualified dividends received. Total capital gain distributions* is a total of long term capital gain distributions, Unrecaptured Sec. 1250 gain and Section 1202 gain.

Investment Number	Description	Total dividends	Qualified dividends	Short term capital gains	Total capital gain distributions	Unrecaptured Sec. 1250 gain	Section 1202 gain	Alternative minimum tax
0000200	004940102000 MORGAN STANLEY AA MONEY TRUST	\$ 2.38						
0000300	008916108001 *** AGRIUM INC USD THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT	3.58	3.58					
0000400	064058100000 BANK NEW YORK MELLON CORP	40.95	40.95					
0000500	109043109000 BRIGGS & STRATTON CORP	18.70	18.70					
0000600	15135U109001 *** CENOVUS ENERGY INC THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT	81.49	81.49					
0000700	17275R102000 CISCO SYS INC	35.40	35.40					
0000800	263534109000 E I DU PONT DE NEMOURS & CO	110.70	110.70					
0000900	292505104001 *** ENCAN CORP-CAD THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT	24.00	24.00					
0001000	450911102000 ITT CORP SEE 450911201 (NEW CUSIP) (CUSIP CHNG EFF 11/01/2011)	15.00	15.00					
0001100	478160104000 JOHNSON & JOHNSON	136.80	136.80					
0001200	50075N104000 KRAFT FOODS INC CLASS A	116.00	116.00					

2 0 1 1 Y E A R E N D S U M M A R Y



2011 Year End Summary

Ref: 00000246 00032146

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5101G-10 826

Details of Earnings 2011 - continued

Dividends and Distributions, Section 1

Reference number	Description	Total dividends	Qualified dividends	Short term capital gains	Total capital gain distributions	Unrecaptured Sec. 1250 gain	Section 1202 gain	Alternative minimum tax
160001300	670346105000 NUCOR CORP	\$ 90.62	\$ 90.62					
160001400	835699307001 *** SONY CORP SPON ADR-NEW THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT	43.65	43.65					
160001500	867224107001 *** SUNCOR ENERGY INC NEW THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT	29.29	29.29					
160001600	882508104000 TEXAS INSTRUMENTS INC	60.20	60.20					
160001700	88579Y101000 3M COMPANY	82.50	82.50					
160001800	920253101000 VALMONT INDUSTRIES INC DEL	7.05	7.05					
Totals		\$ 898.31	\$ 895.93					

Dividends and Distributions, Section 2

Amounts displayed for dividends include dividend reinvestments, money fund earnings, accrued dividends received and dividends exempt from Federal income tax.

Reference number	Description	Nondividend distributions	Foreign tax paid	Cash liquidation distributions	Non cash liquidation distributions	Investment expense	NRA Withholding
160000100	G47791101001 *** INGERSOLL-RAND PUBLIC LTD CO A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	\$ 30.60					
160000300	008916108001 *** AGRUM INC USD THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		.54				



2011 Year End Summary

Ref: 00000246 00032147

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5101G-10 826

Details of Earnings 2011 - continued

Dividends and Distributions, Section 2

Reference Number	Description	Nondividend distributions	Foreign tax paid	Cash liquidation distributions	Non cash liquidation distributions	Investment expense	NRA Withholding
0000600	15135U109001 *** CENOVUS ENERGY INC THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		\$ 12.22				
0000900	292505T04001 *** ENCANA CORP-CAD THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		3.60				
0001400	835699307001 *** SONY CORP SPON ADR-NEW THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		3.06				
0001500	867224107001 *** SUNCOR ENERGY INC NEW THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		4.40				
Totals		\$ 30.60	\$ 23.82				

Details of Short Term Gain (Loss) 2011

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, though cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference Number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
15000010	80	ENCANA CORP-CAD	03/18/11	07/25/11	\$ 2,415.74	\$ 2,721.60	(\$ 305.86)	
	40	MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/19/11		1,207.87	1,306.40	(98.53)	
15000020	20	EXELIS INC	03/18/11	11/08/11	219.99	263.63	(43.64)	
	10	MorganStanley SmithBarney LLC acted as your agent	04/19/11		110.00	134.91	(24.91)	
Total					\$ 3,953.60	\$ 4,426.54	(\$ 472.94)	



2011 Year End Summary

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5101G-10 826

Details of Deposits and Withdrawals 2011

This section reflects any deposits or withdrawals made to your account during the year.

Deposits

Reference number	Date	Description	Amount
210000100	03/15/11	FROM 389-4164G-01 TO 389-5101G-01	\$ 70,000.00
Total			\$ 100,000.00

Withdrawals

Reference number	Date	Description	Referral number	Amount
220000100	03/21/11	CONSULTING & ADVISORY SERVICES FROM 03/17/11 TO 06/30/11		\$ 304.93
220000300	06/16/11	SONY CORP SPON ADR-NEW ADR FEE OF .00350 PER SHR RECORD 03/30/11 PAY 06/16/11		.39
220000500	10/21/11	CONSULTING & ADVISORY SERVICES FROM 10/01/11 TO 12/31/11		307.91
Total				\$ 1,077.90

Reference number	Date	Description	Referral number	Amount
220000200	04/29/11	CONSULTING & ADVISORY SERVICES FROM 04/18/11 TO 06/30/11		\$ 91.23
220000400	07/15/11	CONSULTING & ADVISORY SERVICES FROM 07/01/11 TO 09/30/11		372.86
220000600	12/08/11	SONY CORP SPON ADR-NEW ADR FEE OF .00350 PER SHR RECORD 09/29/11 PAY 12/08/11		.58

2 0 1 1 Y E A R E N D S U M M A R Y



MorganStanley SmithBarney

2011 Year End Summary

f: 00000246 00032125

M11000000246 311381AM01 SOVR50S1A
ALLEN I. GROSS CHARITABLE FND
ATTN: EDITH GROSS
50 BROADWAY 3RD FLOOR
NEW YORK NY 10004-1607

Account Number 389-5100G-12 826

389
826

*We are pleased to provide you with this
overview of the year's activity in your account.
This information will help you understand the
performance of your investments and manage your
account with the assistance of your
Financial Advisor.*

Your Financial Advisor

Brian Bennett/Marc Hurwitz
MORGANSTANLEY SMITHBARNEY LLC
101 PARK AVENUE
24TH FLOOR
NEW YORK NY 10178
Branch Office : 212 503 1178

*If you have questions about your Year End Summary,
call toll-free Monday through Friday,
9:00 a.m. to 5:00 p.m. EST., 1-800-727-1099*



2011 Year End Summary

Account Number 389-5100G-12 826

Value of your portfolio

Description	Amount
Combined account balance	\$ 5.14
Money funds	3,621.77
Common stocks & options	84,330.67
Unsettled purchases/Sales	-343.18
Value of your account on 12/31/11	\$ 87,614.40

Earnings summary 2011

Description	Amount
Dividends **	\$ 116.56
Qualified Dividends	1,612.20
Total	\$ 1,728.76

**If you received money funds earnings, capital gain distributions or dividends exempt from Federal income tax, they are included in this amount.

Summary of miscellaneous tax withheld 2011

The following taxes have been withheld in compliance with U.S. federal, state or foreign regulations.

Description	Amount
Foreign tax paid	\$ 206.58
Total	\$ 206.58

2 0 1 1 Y E A R E N D

S U M M A R Y



2011 Year End Summary

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5100G-12 826

Details of Earnings 2011

2011 YEAR END SUMMARY

Dividends and Distributions, Section 1

Amounts displayed for dividends include dividend reinvestments, money fund earnings, accrued dividends received and dividends exempt from Federal income tax. Total dividends* includes both Qualified and non-qualified dividends received. Total capital gain distributions* is a total of long term capital gain distributions, Unrecaptured Sec. 1250 gain and Section 1202 gain.

Reference Number	Description	Total dividends	Qualified dividends	Short term capital gains	Total capital gain distributions	Unrecaptured Sec 1250 gain	Section 1202 gain	Alternative minimum tax
0000100	G16962105001 *** BUNGE LIMITED	\$ 10.22	\$ 10.22					
0000200	004940102000 MORGAN STANLEY AA MONEY TRUST	.16						
0000300	007192107001 *** ADMIRAL GROUP PLC UNSPON ADR A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	45.38	45.38					
0000400	009126202001 *** AIR LIQUIDE ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	84.43	84.43					
0000500	018805101001 *** ALLIANZ SE ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	114.84	114.84					
0000600	02364W105001 *** AMERICA MOVIL S.A.B DE CV SER L SPONS ADR	20.57	20.57					
0000700	042068106001 *** ARM HOLDINGS PLC ADR	16.01	16.01					



2011 Year End Summary

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5100G-12 826

Details of Earnings 2011 - continued

Dividends and Distributions, Section 1

Reference number	Description	Total dividends	Qualified dividends	Short term capital gains	Total capital gain distributions	Unrecaptured Sec 1250 gain	Section 1202 gain	Alternative minimum tax
160000800	049255706001 *** ATLAS COPCO AB SPONS ADR RPSTG SER A -USD THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	\$ 90.46	\$ 90.46					
160000900	055434203001 *** BG GROUP PLC SPON ADR A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	22.48	22.48					
160001000	12637N105001 *** CSL LTD-AUD A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	13.71	13.71					
160001100	136375102001 *** CANADIAN NATL RAILWAY CO THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT	18.34	18.34					
160001200	138006309001 *** CANON INC ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT	32.77	32.77					
160001300	143658300001 *** CARNIVAL CORP (PAIRED STOCK)	18.00	18.00					
160001400	16940R109001 *** CHINA RES ENTERPRISES LTD ADR	15.77						
160001500	191459205001 *** COCHLEAR LIMITED A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	21.01	21.01					
160001600	23304Y100001 *** DBS GROUP HLDG LTD SP ADR	43.52						

2 0 1 1 Y E A R E N D S U M M A R Y



2011 Year End Summary

f: 00000246 00032129

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5100G-12 826

Details of Earnings 2011 - continued

Dividends and Distributions, Section 1

Dividend number	Description	Total dividends	Qualified dividends	Short term capital gains	Total capital gain distributions	Unrecaptured Sec 1250 gain	Section 1202 gain	Alternative minimum tax
X001700	237545108001 *** DASSAULT SYSTEMS SA ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	\$ 38.10	\$ 38.10					
X001800	292505104001 *** ENCANA CORP-CAD THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT	16.40	16.40					
X001900	296036304001 *** ERSTE GROUP BANK AG SPON ADR AUSTRIA THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	55.40	55.40					
X002000	307305102001 *** FANUC CORP THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	48.81	48.81					
X002100	358029106001 *** FRESENIUS MEDICAL CARE AG & CO. KGAA SPONS ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT	22.84	22.84					
X002200	368287207001 *** GAZPROM OAO SPONS ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT	17.00						
X002300	404280406001 *** HSBC HLDG PLC SP ADR NEW	18.90	18.90					

2 0 1 1 Y E A R E N D S U M M A R Y



2011 Year End Summary

Ref: 00000246 00032130

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5100G-12 826

Details of Earnings 2011 continued

Dividends and Distributions, Section 1

Reference number	Description	Total dividends	Qualified dividends	Short term capital gains	Total capital gain distributions	Unrecaptured Sec. 1250 gain	Section 1202 gain	Alternative minimum tax
160002400	443251103001 *** HOYA CORP SPONSORED ADR USD THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	\$ 57.40	\$ 57.40					
160002500	45104G104001 *** ICICI BANK LTD-SPONS ADR- INR	31.67	31.67					
160002600	453038408001 *** IMPERIAL OIL LTD NEW THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT	7.44	7.44					
160002700	465562106001 *** ITAU UNIBANCO BANCO HLDG S A ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT	13.32	13.32					
160002800	48206M102001 *** JUPITER TELECOM UNSPON ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	12.41	12.41					
160002900	501897102001 *** LI & FUNG LTD-HKD	40.11						
160003000	502117203001 *** L OREAL CO ADR -USD- THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	43.24	43.24					

2 0 1 1 Y E A R E N D S U M M A R Y



2011 Year End Summary

ALLEN I. GROSS CHARITABLE FND

Account Number 389-5100G-12 826

Details of Earnings 2011 - continued

Dividends and Distributions, Section 1

Reference number	Description	Total dividends	Qualified dividends	Short term capital gains	Total capital gain distributions	Unrecaptured Sec. 1250 gain	Section 1202 gain	Alternative minimum tax
0003100	502441306001 *** LVMH MOET HENNESSY LOUIS VUITTON, PARIS-EUR ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	\$ 21.67	\$ 21.67					
0003300	62474M108001 *** MTN GROUP LTD SPONSORED ADR A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	91.50	91.50					
0003400	641069406001 *** NESTLE S A SPONSORED ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	116.62	116.62					
0003500	670100205001 *** NOVO-NORDISK A S ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT	15.09	15.09					
0003600	71654V101001 *** PETROLEO BRASILEIRO SA ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT	55.60	55.60					
0003700	803054204001 *** SAP AG SPONS ADR-USD THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT	38.25	38.25					
0003800	803866300001 *** SASOL LTD SPONS ADR	32.08	32.08					
0003900	806857108001 *** SCHLUMBERGER LTD	15.50	15.50					

2 0 1 1 Y E A R E N D S U M M A R Y



2011 Year End Summary

Ref: 00000246 00032132

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5100G-12 826

Details of Earnings 2011 - continued

Dividends and Distributions, Section 1

Reference number	Description	Total dividends	Qualified dividends	Short term capital gains	Total capital gain distributions	Unrecaptured Sec. 1250 gain	Section 1202 gain	Alternative minimum tax
160004000	80687P106001 *** SCHNEIDER ELECT SA UNSPON ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	\$ 71.75	\$ 71.75					
160004100	83569C102001 *** SONOVA HOLDING AG A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	16.46	16.46					
160004200	870123106001 *** SWATCH GROUP AG UNSPON ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	21.32	21.32					
160004300	874039100001 *** TAIWAN SEMICONDUCTOR MFG CO LTD ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	39.39	39.39					
160004400	881575302001 *** TESCO PLC SPONSORED ADR A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	23.65	23.65					
160004500	881624209001 *** TEVA PHARMACEUTICAL INDS LTD ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	10.60	10.60					
160004600	900148701001 *** TURKIYE GARANTI BANKASI A S SPONSORED ADR A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	31.97	31.97					

2 0 1 1 Y E A R E N D S U M M A R Y



2011 Year End Summary

sf: 00000246 00032133

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5100G-12 826

Details of Earnings 2011 - continued

Dividends and Distributions, Section 1

Reference number	Description	Total dividends	Qualified dividends	Short term capital gains	Total capital gain distributions	Unrecaptured Sec. 1250 gain	Section 1202 gain	Alternative minimum tax
10004700	90460M105001 *** UNICHARM CORP UNSPON ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	\$ 17.41	\$ 17.41					
10004800	904767704001 *** UNILEVER PLC SPONS ADR NEW	27.68	27.68					
10004900	92933H101001 *** WPP PLC ADR	61.05	61.05					
10005000	93114W107001 *** WAL-MART DE MEXICO SA DE CV SPON ADR A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	30.46	30.46					
Totals		\$ 1,728.76	\$ 1,612.20					

Dividends and Distributions, Section 2

Amounts displayed for dividends include dividend reinvestments, money fund earnings, accrued dividends received and dividends exempt from Federal income tax.

Reference number	Description	Nondividend distributions	Foreign tax paid	Cash liquidation distributions	Non cash liquidation distributions	Investment expense	NRA Withholding
10000400	009126202001 *** AIR LIQUIDE ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED		\$ 21.11				
10000500	018805101001 *** ALLIANZ SE ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	30.29					

2 0 1 1 Y E A R E N D S U M M A R Y



2011 Year End Summary

Ref: 00000246 00032134

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5100G-12 826

Details of Earnings 2011 - continued

Dividends and Distributions, Section 2

Reference number	Description	Nondividend distributions	Foreign tax paid	Cash liquidation distributions	Non cash liquidation distributions	Investment expense	NRA Withholding
160000800	049255706001 *** ATLAS COPCO AB SPONS ADR RPSTG SER A -USD THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED		\$ 13.57				
160001100	136375102001 *** CANADIAN NATL RAILWAY CO THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		2.76				
160001200	138006309001 *** CANON INC ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		2.29				
160001700	237545108001 *** DASSAULT SYSTEMS SA ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED		9.53				
160001800	292505104001 *** ENCANA CORP-CAD THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		2.46				
160001900	296036304001 *** ERSTE GROUP BANK AG SPON ADR AUSTRIA THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED		13.85				

2011 YEAR END SUMMARY



2011 Year End Summary

Ref: 00000246 00032135

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5100G-12 826

Details of Earnings 2011 continued

Dividends and Distributions, Section 2

2 0 1 1 Y E A R E N D S U M M A R Y

Reference number	Description	Nondividend distributions	Foreign tax paid	Cash liquidation distributions	Non cash liquidation distributions	Investment expense	NRA Withholding
0002000	307305102001 *** FANUC CORP THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED		\$ 3.42				
10002100	358029106001 *** FRESSENIUS MEDICAL CARE AG & CO. KGAA SPONS ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		6.02				
10002200	368287207001 *** GAZPROM OAO SPONS ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		2.55				
10002400	443251103001 *** HOYA CORP SPONSORED ADR USD THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED		4.01				
30002600	453038408001 *** IMPERIAL OIL LTD NEW THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		1.11				
30002700	465562106001 *** ITAU UNIBANCO BANCO HLDG S A ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		1.49				
60002800	48206M102001 *** JUPITER TELECOM UNSPON ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED		.87				



2011 Year End Summary

Ref: 00000246 00032136

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5100G-12 826

Details of Earnings 2011 continued

Dividends and Distributions, Section 2

Reference number	Description	Nondividend distributions	Foreign tax paid	Cash liquidation distributions	Non cash liquidation distributions	Investment expense	NRA Withholding
160003000	502117203001 *** L OREAL CO ADR -USD- THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED		\$ 10.81				
160003100	502441306001 *** LVMH MOET HENNESSY LOUIS VUITTON, PARIS-EUR ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED		5.42				
160003200	54338V101001 *** LONZA GROUP AG UNSPON ADR	23.41					
160003400	641089406001 *** NESTLE S A SPONSORED ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED		17.49				
160003500	670100205001 *** NOVO-NORDISK A S ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		4.23				
160003600	71654V101001 *** PETROLEO BRASILEIRO SA ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		7.22				
160003700	803054204001 *** SAP AG SPONS ADR-USD THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		10.09				

2011 YEAR END SUMMARY



ALLEN I. GROSS CHARITABLE FND
Account Number 389-5100G-12 826

Details of Earnings 2011 - continued

Dividends and Distributions, Section 2

2 0 1 1 Y E A R E N D S U M M A R Y

Reference Number	Description	Nondividend distributions	Foreign tax paid	Cash liquidation distributions	Non cash liquidation distributions	Investment expense	NRA Withholding
0004000	80687P106001 *** SCHNEIDER ELECT SA UNSPON ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED		\$ 17.94				
3004200	870123106001 *** SWATCH GROUP AG UNSPON ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED		7.46				
30004300	874039100001 *** TAIWAN SEMICONDUCTOR MFG CO LTD ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		7.88				
30004500	881624209001 *** TEVA PHARMACEUTICAL INDS LTD ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		1.49				
60004700	90460M105001 *** UNICHARM CORP UNSPON ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED		1.22				
Totals		\$ 23.41	\$ 206.58				



Ref: 00000246 00032138

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5100G-12 826

Details of Short Term Gain (Loss) 2011

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	6	ALCON INC	03/18/11	04/12/11	\$ 993.75	\$ 987.00		\$ 6.75
125000020	49	LOGITECH INTERNATIONAL SA APPLES-CHF MorganStanley SmithBarney LLC acted as your agent	03/18/11	06/14/11	588.82	885.77	(296.95)	
125000030	38	ADMIRAL GROUP PLC UNSPON ADR MorganStanley SmithBarney LLC acted as your agent	03/18/11	11/04/11	720.67	987.62	(266.95)	
125000040	41	ENCANA CORP-CAD MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/18/11	11/16/11	811.12	1,400.56	(589.44)	
125000060	8	NOVO-NORDISK A S ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/18/11	10/13/11	799.42	992.16	(192.74)	
125000070	84	TAIWAN SEMICONDUCTOR MFG CO LTD ADR MorganStanley SmithBarney LLC acted as your agent	03/18/11	05/18/11	1,137.20	984.48		152.72
125000080	27	TESCO PLC SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/18/11	03/28/11	501.15	511.11	(9.96)	
125000090	23	TEVA PHARMACEUTICAL INDS LTD ADR MorganStanley SmithBarney LLC acted as your agent	03/18/11	08/10/11	892.08	1,108.37	(216.29)	
125000100	15	WPP PLC ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/18/11	09/01/11	776.98	925.92	(148.94)	

2 0 1 1 Y E A R E N D S U M M A R Y



2011 Year End Summary

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5100G-12 826

Details of Short Term Gain (Loss) 2011 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
5000110	24	WAL-MART DE MEXICO SA DE CV SPON ADR MorganStanley SmithBarney LLC acted as your agent	03/18/11	03/29/11	\$ 697.33	\$ 678.24		\$ 19.09
total					\$ 7,918.52	\$ 9,461.23	(\$ 1,721.27)	\$ 178.56

Details of Long Term Gain (Loss) 2011

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, though cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
5000050	.5368	NOVARTIS AG ADR MERGER 04/08/11 H01301102001	Unavailable	04/08/11	\$ 29.66			
total					\$ 29.66			

Details of Deposits and Withdrawals 2011

This section reflects any deposits or withdrawals made to your account during the year.

Deposits

Reference number	Date	Description	Amount
0000100	03/15/11	FROM 389-4164G-01 TO 389-5100G-01	\$ 90,000.00
		FROM 389-4164G-01 TO 389-5100G-01	\$ 10,000.00



2011 Year End Summary

ALLEN I. GROSS CHARITABLE FND

Account Number 389-5100G-12 826

Details of Deposits and Withdrawals 2011 - continued

Deposits - continued

Reference number	Date	Description	Amount
210000300	11/17/11	ALCON INC TAX REFUND OF 2.044001 FOR RCD 04/08/11, PAY 04/11/11	\$ 12.26
Total			\$ 100,012.26

Withdrawals

Reference number	Date	Description	Referral number	Amount
220000100	03/21/11	CONSULTING & ADVISORY SERVICES FROM 03/17/11 TO 05/31/11		\$ 281.09
220000300	04/25/11	TURKIYE GARANTI BANKASI A S SPONSORED ADR ADR FEE OF .00900 PER SHR RECORD 04/12/11 PAY 04/25/11		3.85
220000500	05/12/11	ATLAS COPCO AB SPONS ADR RPSTG SER A -USD ADR FEE OF .00350 PER SHR RECORD 04/27/11 PAY 05/12/11		.22
220000700	05/23/11	L OREAL CO ADR -USD- ADR FEE OF .03000 PER SHR RECORD 04/28/11 PAY 05/23/11		2.43
220000900	05/25/11	SCHNEIDER ELECT SA UNSPON ADR ADR FEE OF .04500 PER SHR RECORD 04/28/11 PAY 05/25/11		6.93
220001100	05/31/11	ERSTE GROUP BANK AG SPON ADR AUSTRIA ADR FEE OF .02000 PER SHR RECORD 05/16/11 PAY 05/31/11		2.26
220001300	06/03/11	SAP AG SPONS ADR-USD ADR FEE OF .02000 PER SHR RECORD 05/25/11 PAY 06/03/11		.90

2 0 1 1 Y E A R E N D S U M M A R Y

Reference number	Date	Description	Referral number	Amount
220000200	04/14/11	MTN GROUP LTD SPONSORED ADR ADR FEE OF .02000 PER SHR RECORD 04/01/11 PAY 04/14/11		\$ 1.94
220000400	05/04/11	LONZA GROUP AG UNSPON ADR ADR FEE OF .02300 PER SHR RECORD 04/18/11 PAY 05/04/11		2.25
220000600	05/23/11	FRESENIUS MEDICAL CARE AG & CO. KGAA SPONS ADR ADR FEE OF .02000 PER SHR RECORD 05/12/11 PAY 05/23/11		.50
220000800	05/25/11	NESTLE S A SPONSORED ADR ADR FEE OF .00350 PER SHR RECORD 04/20/11 PAY 05/25/11		.20
220001000	05/31/11	ARM HOLDINGS PLC ADR ADR FEE OF .01000 PER SHR RECORD 05/03/11 PAY 05/31/11		1.00
220001200	06/02/11	LI & FUNG LTD-HKD ADR FEE OF .01330 PER SHR RECORD 05/06/11 PAY 06/02/11		4.62
220001400	06/07/11	AIR LIQUIDE ADR ADR FEE OF .03000 PER SHR RECORD 05/10/11 PAY 06/06/11		3.81



2011 Year End Summary

ALLEN I. GROSS CHARITABLE FND

Account Number 389-5100G-12 826

Details of Deposits and Withdrawals 2011 - continued

Withdrawals - continued

Reference number	Date	Description	Referral number	Amount
20001500	06/09/11	HOYA CORP SPONSORED ADR USD ADR FEE OF .02350 PER SHR RECORD 03/30/11 PAY 06/09/11		\$ 1.65
20001700	06/17/11	CONSULTING & ADVISORY SERVICES FROM 06/01/11 TO 06/31/11		397.59
20001900	06/23/11	SWATCH GROUP AG UNSPON ADR ADR FEE OF .01800 PER SHR RECORD 06/07/11 PAY 06/23/11		1.30
20002100	06/27/11	CHINA RES ENTERPRISES LTD ADR ADR FEE OF .01200 PER SHR RECORD 05/19/11 PAY 06/27/11		1.39
20002300	07/07/11	AMERICA MOVIL S.A.B DE CV SER L SPONS ADR ADR FEE OF .05000 PER SHR RECORD 06/28/11 PAY 06/30/11		1.80
20002500	07/15/11	DBS GROUP HLDG LTD SP ADR ADR FEE OF .02000 PER SHR RECORD 05/13/11 PAY 07/15/11		.34
20002700	07/18/11	FANUC CORP ADR FEE OF .02150 PER SHR RECORD 03/30/11 PAY 07/18/11		2.11
20002900	09/02/11	CANON INC ADR ADR FEE OF .00350 PER SHR RECORD 06/29/11 PAY 09/02/11		.15
20003100	09/16/11	CONSULTING & ADVISORY SERVICES FROM 09/01/11 TO 11/30/11		356.19
20003300	09/23/11	JUPITER TELECOM UNSPON ADR ADR FEE OF .05350 PER SHR RECORD 06/29/11 PAY 09/23/11		.86

2 0 1 1 Y E A R E N D S U M M A R Y

Reference number	Date	Description	Referral number	Amount
220001600	06/15/11	LVMH MOET HENNESSY LOUIS VUITTON, PARIS-EUR ADR ADR FEE OF .04000 PER SHR RECORD 05/19/11 PAY 06/15/11		\$ 1.40
220001800	06/21/11	UNICHARM CORP UNSPON ADR ADR FEE OF .01750 PER SHR RECORD 03/30/11 PAY 06/21/11		.72
220002000	06/27/11	ADMIRAL GROUP PLC UNSPON ADR ADR FEE OF .05000 PER SHR RECORD 05/20/11 PAY 06/27/11		1.90
220002200	07/05/11	ATLAS COPCO AB SPONS ADR RPSTG SER A -USD ADR FEE OF .00350 PER SHR RECORD 05/13/11 PAY 07/05/11		.22
220002400	07/13/11	SONOVA HOLDING AG ADR FEE OF .02800 PER SHR RECORD 06/27/11 PAY 07/13/11		1.62
220002600	07/15/11	TESCO PLC SPONSORED ADR ADR FEE OF .02000 PER SHR RECORD 05/03/11 PAY 07/15/11		.98
220002800	09/01/11	ITAU UNIBANCO BANCO HLDG S A ADR ADR FEE OF .01000 PER SHR RECORD 08/15/11 PAY 09/01/11		.59
220003000	09/06/11	GAZPROM OAO SPONS ADR ADR FEE OF .02000 PER SHR RECORD 05/12/11 PAY 09/06/11		1.28
220003200	09/21/11	LI & FUNG LTD-HKD ADR FEE OF .00970 PER SHR RECORD 08/29/11 PAY 09/21/11		3.37
220003400	09/29/11	MTN GROUP LTD SPONSORED ADR ADR FEE OF .02000 PER SHR RECORD 09/16/11 PAY 09/29/11		2.34



2011 Year End Summary

ALLEN I. GROSS CHARITABLE FND

Account Number 389-5100G-12 826

Details of Deposits and Withdrawals 2011 - continued

Withdrawals - continued

Reference number	Date	Description	Referral number	Amount
220003500	10/07/11	COCHLEAR LIMITED ADR FEE OF .05000 PER SHR RECORD 08/31/11 PAY 10/07/11		\$ 1.80
220003700	10/17/11	ARM HOLDINGS PLC ADR ADR FEE OF .00800 PER SHR RECORD 09/09/11 PAY 10/17/11		.94
220003900	11/01/11	CSL LTD-AUD ADR FEE OF .02300 PER SHR RECORD 09/22/11 PAY 10/31/11		1.38
220004100	12/08/11	HOYA CORP SPONSORED ADR USD ADR FEE OF .02350 PER SHR RECORD 09/29/11 PAY 12/07/11		1.65
220004300	12/16/11	FANUC CORP ADR FEE OF .02350 PER SHR RECORD 09/29/11 PAY 12/16/11		2.77
220004500	12/23/11	LVMH MOET HENNESSY LOUIS VUITTON, PARIS-EUR ADR ADR FEE OF .02000 PER SHR RECORD 11/28/11 PAY 12/23/11		.70
Total				\$ 1,439.40

Reference number	Date	Description	Referral number	Amount
220003600	10/11/11	DBS GROUP HLDG LTD SP ADR ADR FEE OF .02000 PER SHR RECORD 08/10/11 PAY 10/11/11		\$.66
220003800	10/27/11	CHINA RES ENTERPRISES LTD ADR ADR FEE OF .00400 PER SHR RECORD 09/29/11 PAY 10/27/11		.46
220004000	11/07/11	ADMIRAL GROUP PLC UNSPON ADR ADR FEE OF .05000 PER SHR RECORD 09/30/11 PAY 11/07/11		1.90
220004200	12/16/11	CONSULTING & ADVISORY SERVICES FROM 12/01/11 TO 02/29/12		336.26
220004400	12/20/11	UNICHARM CORP UNSPON ADR ADR FEE OF .02150 PER SHR RECORD 09/29/11 PAY 12/20/11		1.08

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2011 Year End Summary

M11000000246 311381AM01 SOVR50S1A
ALLEN I. GROSS CHARITABLE FND
ATTN: EDITH GROSS
50 BROADWAY 3RD FLOOR
NEW YORK NY 10004-1607

Account Number 389-5102G-18 826

389
826

*We are pleased to provide you with this
overview of the year's activity in your account.
This information will help you understand the
performance of your investments and manage your
account with the assistance of your
Financial Advisor.*

Your Financial Advisor

Brian Bennett/Marc Hurwitz
MORGANSTANLEY SMITHBARNEY LLC
101 PARK AVENUE
24TH FLOOR
NEW YORK NY 10178
Branch Office : 212 503 1178

*If you have questions about your Year End Summary,
call toll-free Monday through Friday,
9:00 a.m. to 5:00 p.m. EST., 1-800-727-1099*



2011 Year End Summary

Ref: 00000246 00032150

Account Number 389-5102G-18 826

Value of your portfolio

Description	Amount
Money funds	\$ 8,859.23
Mutual funds	2,015.00
Closed end funds	86,649.62
Value of your account on 12/31/11	\$ 97,523.85

Earnings summary 2011

Description	Amount
Dividends **	\$ 283.78
Qualified Dividends	58.59
Total	\$ 342.37

**If you received money funds earnings, capital gain distributions or dividends exempt from Federal Income tax, they are included in this amount.

Additional summary information 2011

Description	Amount
12-B1 Rebate Payments	\$ 1.08
Total Additional Income	\$ 1.08

2 0 1 1 Y E A R E N D S U M M A R Y



Account Number 389-5102G-18 826

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5102G-18 826

Details of Earnings 2011

Dividends and Distributions, Section 1

Amounts displayed for dividends include dividend reinvestments, money fund earnings, accrued dividends received and dividends exempt from Federal income tax. Total dividends* includes both Qualified and non-qualified dividends received. Total capital gain distributions* is a total of long term capital gain distributions, Unrecaptured Sec. 1250 gain and Section 1202 gain.

Reference number	Description	Total dividends	Qualified dividends	Short term capital gains	Total capital gain distributions	Unrecaptured Sec. 1250 gain	Section 1202 gain	Alternative minimum tax
0000100	00142W868000 INVESCO AIM ATST PREMIER PORTFOLIO MONEY MKT INSTL CL	\$.50						
0000200	004940102000 MORGAN STANLEY AA MONEY TRUST	.31						
0000300	464287655000 ISHARES RUSSELL 2000 INDEX FD A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	24.81	12.29					
0000400	78462F103000 SPDR S&P 500ETF TRUST	268.09						
0000500	78464A800000 SPDR SER TR BARCLAYS 1-3 MONTH T-BILL ETF	2.36						
0000600	78467X109000 SPDR DOW JONES INDL AVG ETF A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	46.30	46.30					
Totals		\$ 342.37	\$ 58.59					

Details of Additional Summary Information 2011

12-B1

Rebate
payments

The following details show how we derived 12-B1 rebate payments.

Reference number	Description	Amount	Federal income tax withheld	NRA tax withheld
246000100	MORGAN STANLEY AA MONEY TRUST	\$ 1.08		
Totals		\$ 1.08		



2011 Year End Summary

Ref: 00000246 00032152

ALLEN I. GROSS CHARITABLE FND

Account Number 389-5102G-18 826

Details of Short Term Gain (Loss) 2011

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	11	ISHARES FTSE CHINA 25 INDEX FD	04/04/11	04/28/11	\$ 496.47	\$ 508.11	(\$ 11.64)	
	11	MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/19/11		496.48	494.32		2.16
125000020	74	ISHARES MSCI EMERGING MKTS INDEX FD	03/30/11	04/28/11	3,667.03	3,576.03		91.00
	70	MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/19/11		3,468.82	3,399.62		69.20
125000030	207	ISHARES MSCI EMERGING MKTS INDEX FD	07/05/11	08/02/11	9,465.73	9,966.51	(500.78)	
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125000040	241	ISHARES MSCI EMERGING MKTS INDEX FD	10/27/11	11/17/11	9,225.32	10,245.90	(1,020.58)	
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125000050	154	ISHARES RUSSELL 2000 INDEX FD	03/25/11	04/27/11	13,165.62	12,774.30		391.32
	91	MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/28/11		7,779.68	7,487.66		292.02
125000060	2	ISHARES RUSSELL 2000 INDEX FD	03/28/11	05/02/11	171.53	164.56		6.97
	238	MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/19/11		20,411.65	19,560.39		851.26
125000070	138	ISHARES RUSSELL 2000 INDEX FD	10/17/11	10/20/11	9,512.95	9,666.89	(153.94)	
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125000080	65	ISHARES RUSSELL 2000 INDEX FD	10/27/11	11/23/11	4,405.57	4,926.06	(520.49)	
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						



2011 Year End Summary

af: 00000246 00032153

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5102G-18 826

Details of Short Term Gain (Loss) 2011 continued

Reference Number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
5000090	80	POWERSHARES QQQ TR SER 1 MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/19/11	08/04/11	\$ 4,396.76	\$ 4,702.90	(\$ 306.14)	
5000100	88	POWERSHARES QQQ TR SER 1 MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/19/11	08/15/11	4,758.06	5,173.18	(415.12)	
5000110	186	PROSHARES ULTRASHORT S&P500 MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/18/11	08/23/11	4,828.78	4,824.84		3.94
5000120	138	PROSHARES ULTRASHORT S&P500 MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/01/11	09/12/11	3,458.38	3,173.66		284.72
5000130	57	PROSHARES ULTRASHORT S&P500 MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/01/11	09/14/11	1,329.35	1,310.86		18.49
5000140	15 1 196	PROSHARES ULTRASHORT S&P500 MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/01/11 09/06/11 09/08/11	09/15/11	343.86 22.92 4,493.11	344.96 25.03 4,616.64	(1.10) (2.11) (123.53)	
5000150	98 50	SPDR S&P 500ETF TRUST MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/17/11 03/24/11	05/05/11	13,086.49 6,676.78	12,524.16 6,526.90		562.33 149.88
5000160	8 64	SPDR S&P 500ETF TRUST MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/24/11 04/19/11	05/09/11	1,072.57 8,580.60	1,044.30 8,396.65		28.27 183.95
5000170	79	SPDR S&P 500ETF TRUST MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/19/11	05/16/11	10,548.92	10,364.60		184.32

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MorganStanley SmithBarney

2011 Year End Summary

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Ref: 00000246 00032154

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5102G-18 826

Details of Short Term Gain (Loss) 2011 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000180	2 73	SPDR S&P 500ETF TRUST MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/19/11 04/27/11	05/20/11	\$ 267.50 9,763.88	\$ 262.40 9,881.28	(117.40)	\$ 5.10
125000190	76 31	SPDR S&P 500ETF TRUST MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/27/11 04/28/11	06/06/11	9,892.78 4,035.21	10,287.36 4,212.14	(394.58) (178.93)	
125000200	44 70	SPDR S&P 500ETF TRUST MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/28/11 05/02/11	06/07/11	5,695.75 9,061.41	5,978.52 9,552.55	(282.77) (491.14)	
125000210	73	SPDR S&P 500ETF TRUST MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/02/11	06/23/11	9,289.85	9,961.95	(672.10)	
125000220	7 73 75 33	SPDR S&P 500ETF TRUST MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/02/11 06/01/11 06/21/11 06/29/11	07/12/11	923.93 9,635.30 9,898.28 4,355.69	955.25 9,816.77 9,711.27 4,310.02	(31.32) (181.47)	188.01 45.67
125000230	114 39	SPDR S&P 500ETF TRUST MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/29/11 06/30/11	07/13/11	15,110.51 5,169.39	14,889.16 5,144.06		221.35 25.33
125000240	71	SPDR S&P 500ETF TRUST MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/30/11	07/15/11	9,310.77	9,364.83	(54.06)	
125000250	75	SPDR S&P 500ETF TRUST MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/30/11	07/18/11	9,751.50	9,892.43	(140.93)	
125000260	72	SPDR S&P 500ETF TRUST MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/30/11	07/27/11	9,434.27	9,496.72	(62.45)	

2 0 1 1 Y E A R E N D S U M M A R Y



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ALLEN I. GROSS CHARITABLE FND
Account Number 389-5102G-18 826

Details of Short Term Gain (Loss) 2011 - continued

2 0 1 1 Y E A R E N D S U M M A R Y

Reference Number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
5000270	4	SPDR S&P 500ETF TRUST	06/30/11	07/29/11	\$ 516.50	\$ 527.60	(\$ 11.10)	
	71	MorganStanley SmithBarney LLC acted as your agent In this transaction.	07/21/11		9,167.92	9,536.88	(368.96)	
5000280	118	SPDR S&P 500ETF TRUST	10/10/11	11/01/11	14,389.76	14,021.66		368.10
		MorganStanley SmithBarney LLC acted as your agent In this transaction.						
5000290	76	SPDR S&P 500ETF TRUST	10/10/11	11/21/11	9,077.18	9,030.89		46.29
		MorganStanley SmithBarney LLC acted as your agent In this transaction.						
5000300	39	SPDR S&P 500ETF TRUST	10/24/11	11/21/11	4,644.93	4,875.40	(230.47)	
		MorganStanley SmithBarney LLC acted as your agent In this transaction.						
5000310	9	SPDR S&P 500ETF TRUST	10/10/11	11/21/11	1,070.08	1,069.45		.63
	30	MorganStanley SmithBarney LLC acted as your agent In this transaction.	10/24/11		3,566.93	3,750.31	(183.38)	
5000320	40	SPDR S&P 500ETF TRUST	10/24/11	11/22/11	4,775.00	5,000.42	(225.42)	
		MorganStanley SmithBarney LLC acted as your agent In this transaction.						
5000330	113	SPDR S&P 500ETF TRUST	11/30/11	12/14/11	13,763.37	14,043.95	(280.58)	
	35	MorganStanley SmithBarney LLC acted as your agent In this transaction.	12/01/11		4,262.99	4,372.39	(109.40)	
5000340	166	SPDR SER TR	03/17/11	03/24/11	7,610.95	7,614.42	(3.47)	
		BARCLAYS 1-3 MONTH T-BILL ETF						
		MorganStanley SmithBarney LLC acted as your agent						
5000350	279	SPDR SER TR	03/17/11	03/25/11	12,791.90	12,797.73	(5.83)	
		BARCLAYS 1-3 MONTH T-BILL ETF						
		MorganStanley SmithBarney LLC acted as your agent						



MorganStanley SmithBarney

2011 Year End Summary

Ref: 00000246 00032156

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5102G-18 826

Details of Short Term Gain (Loss) 2011 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000360	168	SPDR SER TR BARCLAYS 1-3 MONTH T-BILL ETF MorganStanley SmithBarney LLC acted as your agent	03/17/11	03/28/11	\$ 7,702.65	\$ 7,706.16	(\$ 3.51)	
125000370	112	SPDR SER TR BARCLAYS 1-3 MONTH T-BILL ETF MorganStanley SmithBarney LLC acted as your agent	03/17/11	03/30/11	5,135.10	5,137.44	(2.34)	
125000380	132 5 78	SPDR SER TR BARCLAYS 1-3 MONTH T-BILL ETF MorganStanley SmithBarney LLC acted as your agent	03/17/11 04/04/11 04/19/11	06/01/11	6,052.08 229.25 3,576.23	6,054.84 229.30 3,577.08	(2.76) (.05) (.85)	
125000390	51 9 162	SPDR SER TR BARCLAYS 1-3 MONTH T-BILL ETF MorganStanley SmithBarney LLC acted as your agent	04/19/11 04/27/11 05/05/11	06/21/11	2,338.30 412.64 7,427.56	2,338.86 412.74 7,430.94	(.56) (.10) (3.38)	
125000400	266 155	SPDR SER TR BARCLAYS 1-3 MONTH T-BILL ETF MorganStanley SmithBarney LLC acted as your agent	05/05/11 05/09/11	06/29/11	12,195.86 7,106.61	12,201.42 7,109.85	(5.56) (3.24)	
125000410	67 219 217 249	SPDR SER TR BARCLAYS 1-3 MONTH T-BILL ETF MorganStanley SmithBarney LLC acted as your agent	05/09/11 05/16/11 05/20/11 06/06/11	06/30/11	3,071.90 10,040.98 9,949.28 11,416.45	3,073.29 10,045.53 9,951.62 11,419.14	(1.39) (4.55) (2.34) (2.69)	
125000420	58 158	SPDR SER TR BARCLAYS 1-3 MONTH T-BILL ETF MorganStanley SmithBarney LLC acted as your agent	06/06/11 06/07/11	07/01/11	2,659.25 7,244.15	2,659.88 7,245.88	(.63) (1.73)	
125000430	163 56	SPDR SER TR BARCLAYS 1-3 MONTH T-BILL ETF MorganStanley SmithBarney LLC acted as your agent	06/07/11 06/23/11	07/05/11	7,473.40 2,567.55	7,475.18 2,568.16	(1.78) (.61)	
125000440	150 65	SPDR SER TR BARCLAYS 1-3 MONTH T-BILL ETF MorganStanley SmithBarney LLC acted as your agent	06/23/11 07/12/11	07/19/11	6,877.37 2,980.19	6,879.00 2,980.90	(1.63) (.71)	

2 0 1 1 Y E A R E N D S U M M A R Y



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ALLEN I. GROSS CHARITABLE FND
Account Number 389-5102G-18 826

Details of Short Term Gain (Loss) 2011 continued

2 0 1 1 Y E A R E N D S U M M A R Y

Reference Number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
5000450	215	SPDR SER TR BARCLAYS 1-3 MONTH T-BILL ETF MorganStanley SmithBarney LLC acted as your agent	07/12/11	07/21/11	\$ 9,857.56	\$ 9,859.90	(\$ 2.34)	
5000460	104	SPDR SER TR BARCLAYS 1-3 MONTH T-BILL ETF MorganStanley SmithBarney LLC acted as your agent	07/12/11	08/18/11	4,768.34	4,769.44	(1.10)	
5000470	105	SPDR SER TR BARCLAYS 1-3 MONTH T-BILL ETF MorganStanley SmithBarney LLC acted as your agent	07/12/11	09/01/11	4,814.68	4,815.30	(.62)	
5000480	55 157	SPDR SER TR BARCLAYS 1-3 MONTH T-BILL ETF MorganStanley SmithBarney LLC acted as your agent	07/12/11 07/13/11	09/06/11	2,521.15 7,196.74	2,522.30 7,200.02	(1.15) (3.28)	
5000490	102	SPDR SER TR BARCLAYS 1-3 MONTH T-BILL ETF MorganStanley SmithBarney LLC acted as your agent	07/13/11	09/08/11	4,675.62	4,677.72	(2.10)	
5000500	167 217 151	SPDR SER TR BARCLAYS 1-3 MONTH T-BILL ETF MorganStanley SmithBarney LLC acted as your agent	07/13/11 07/15/11 07/18/11	10/10/11	7,655.15 9,947.11 6,921.71	7,658.62 9,951.62 6,924.86	(3.47) (4.51) (3.15)	
5000510	62 150	SPDR SER TR BARCLAYS 1-3 MONTH T-BILL ETF MorganStanley SmithBarney LLC acted as your agent	07/18/11 07/27/11	10/17/11	2,842.02 6,875.87	2,843.32 6,879.00	(1.30) (3.13)	
5000520	61 207 32	SPDR SER TR BARCLAYS 1-3 MONTH T-BILL ETF MorganStanley SmithBarney LLC acted as your agent	07/27/11 07/29/11 08/02/11	10/24/11	2,796.19 9,488.69 1,466.85	2,797.46 9,493.02 1,467.52	(1.27) (4.33) (.67)	
5000530	183 194 49	SPDR SER TR BARCLAYS 1-3 MONTH T-BILL ETF MorganStanley SmithBarney LLC acted as your agent	08/02/11 08/04/11 08/15/11	10/27/11	8,388.56 8,892.79 2,246.11	8,392.38 8,896.84 2,247.14	(3.82) (4.05) (1.03)	



MorganStanley SmithBarney

2011 Year End Summary

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Ref: 00000246 00032158

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5102G-18 826

Details of Short Term Gain (Loss) 2011 - continued

2 0 1 1 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000540	156 59	SPDR SER TR BARCLAYS 1-3 MONTH T-BILL ETF MorganStanley SmithBarney LLC acted as your agent	08/15/11 08/23/11	11/14/11	\$ 7,150.90 2,704.51	\$ 7,154.16 2,705.74	(\$ 3.26) (1.23)	
125000550	46 75 30 108 50	SPDR SER TR BARCLAYS 1-3 MONTH T-BILL ETF MorganStanley SmithBarney LLC acted as your agent	08/23/11 09/12/11 09/14/11 09/15/11 10/20/11	11/30/11	2,108.14 3,437.18 1,374.87 4,949.54 2,291.46	2,109.56 3,438.75 1,375.43 4,951.80 2,292.50	(1.42) (1.57) (.56) (2.26) (1.04)	
125000560	158 310 45	SPDR SER TR BARCLAYS 1-3 MONTH T-BILL ETF MorganStanley SmithBarney LLC acted as your agent	10/20/11 11/01/11 11/17/11	12/01/11	7,241.00 14,207.02 2,062.31	7,244.30 14,213.44 2,063.25	(3.30) (6.42) (.94)	
125000570	37	SPDR DOW JONES INDL AVG ETF MorganStanley SmithBarney LLC acted as your agent In this transaction.	07/01/11	08/04/11	4,278.34	4,628.09	(349.75)	
125000580	42	SPDR DOW JONES INDL AVG ETF MorganStanley SmithBarney LLC acted as your agent In this transaction.	07/01/11	08/15/11	4,799.74	5,253.50	(453.76)	
125000590	37	SPDR DOW JONES INDL AVG ETF MorganStanley SmithBarney LLC acted as your agent In this transaction.	11/14/11	11/23/11	4,176.97	4,465.85	(288.88)	
125000600	19 18	MATERIALS SELECT SECTOR SPDR FUND MorganStanley SmithBarney LLC acted as your agent	03/30/11 04/19/11	04/28/11	774.06 733.32	757.30 711.00		16.76 22.32
Total					\$ 633,199.26	\$ 637,415.18	(\$ 8,275.31)	\$ 4,059.39



2011 Year End Summary

f: 00000246 00032159

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5102G-18 826

Details of Deposits and Withdrawals 2011

This section reflects any deposits or withdrawals made to your account during the year.

Deposits

Reference number	Date	Description	Amount
0000100	03/15/11	FROM 389-4164G-01 TO 389-5102G-01	\$ 53,000.00
Total			\$ 103,000.00

Withdrawals

Reference number	Date	Description	Referral number	Amount
0000100	03/18/11	CONSULTING & ADVISORY SERVICES FROM 03/16/11 TO 05/31/11		\$ 173.06
0000300	06/17/11	CONSULTING & ADVISORY SERVICES FROM 06/01/11 TO 08/31/11		410.24
0000500	12/16/11	CONSULTING & ADVISORY SERVICES FROM 12/01/11 TO 02/29/12		377.88
Total				\$ 1,443.28

Reference number	Date	Description	Amount
210000200	04/18/11	FROM 389-4164G-01 TO 389-5102G-01	\$ 50,000.00

Reference number	Date	Description	Referral number	Amount
220000200	04/29/11	CONSULTING & ADVISORY SERVICES FROM 04/18/11 TO 05/31/11		\$ 93.29
220000400	09/16/11	CONSULTING & ADVISORY SERVICES FROM 09/01/11 TO 11/30/11		388.81

2 0 1 1 Y E A R E N D S U M M A R Y



2011 Year End Summary

3f: 00000246 00032120

M11000000246 311381AM01 SOVR50S1A
ALLEN I. GROSS CHARITABLE FND
ATTN: EDITH GROSS
50 BROADWAY 3RD FLOOR
NEW YORK NY 10004-1607

Account Number 389-4174G-18 826

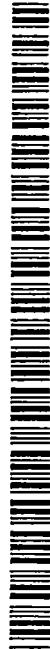
389
826

*We are pleased to provide you with this
overview of the year's activity in your account.
This information will help you understand the
performance of your investments and manage your
account with the assistance of your
Financial Advisor.*

Your Financial Advisor

Brian Bennett/Marc Hurwitz
MORGANSTANLEY SMITHBARNEY LLC
101 PARK AVENUE
24TH FLOOR
NEW YORK NY 10178
Branch Office : 212 503 1178

*If you have questions about your Year End Summary,
call toll-free Monday through Friday,
9:00 a.m. to 5:00 p.m. EST., 1-800-727-1099*



2011 Year End Summary

Ref: 00000246 00032121

Account Number 389-4174G-18 826

2011 YEAR END SUMMARY

Value of your portfolio

Description	Amount
Money funds	\$ 969.55
Common stocks & options	89,446.09
Value of your account on 12/31/11	\$ 90,415.64

Earnings summary 2011

Description	Amount
Dividends **	\$.16
Qualified Dividends	733.02
Total	\$ 733.18

**If you received money funds earnings, capital gain distributions or dividends exempt from Federal income tax, they are included in this amount.



2011 Year End Summary

f: 00000246 00032122

ALLEN I. GROSS CHARITABLE FND
Account Number 389-4174G-18 826

Details of Earnings 2011

Dividends and Distributions, Section 1

Amounts displayed for dividends include dividend reinvestments, money fund earnings, accrued dividends received and dividends exempt from Federal income tax. Total dividends* includes both Qualified and non-qualified dividends received. Total capital gain distributions* is a total of long term capital gain distributions, Unrecaptured Sec. 1250 gain and Section 1202 gain.

Reference number	Description	Total dividends	Qualified dividends	Short term capital gains	Total capital gain distributions	Unrecaptured Sec 1250 gain	Section 1202 gain	Alternative minimum tax
0000100	G2554F113001 *** COVIDIEN PLC	\$ 21.00	\$ 21.00					
0000200	G7945M107001 *** SEAGATE TECHNOLOGY PLC	124.20	124.20					
0000300	H84989104001 *** TE CONNECTIVITY LTD-CHF	64.80	64.80					
0000400	H89128104001 *** TYCO INTL LTD CHF	75.00	75.00					
0000500	004940102000 MORGAN STANLEY AA MONEY TRUST	.01						
0000600	032511107000 ANADARKO PETROLEUM CORP	10.80	10.80					
0000800	12686C109000 CABLEVISION SYSTEMS CORP CABLEVISION NY GROUP CL A	54.00	54.00					
0000900	20030N200000 COMCAST CORP CL A - SPL	87.20	87.20					
0001000	343412102000 FLUOR CORP NEW	11.26	11.26					
0001100	35671D857000 FREEMPORT MCMORAN COPPER & GOLD CL B	36.25	36.25					
0001200	502424104000 L 3 COMMUNICATIONS HLDGS INC	74.25	74.25					
0001300	616980108000 MORGAN STANLEY LIQUID ASSET FUND INC	.15						
0001400	637071101000 NATIONAL OILWELL VARCO INC	8.50	8.50					



2011 Year End Summary

Ref: 00000246 00032123

ALLEN I. GROSS CHARITABLE FND
Account Number 389-4174G-18 826

Details of Earnings 2011 continued

Dividends and Distributions, Section 1

Reference number	Description	Total dividends	Qualified dividends	Short term capital gains	Total capital gain distributions	Unrecaptured Sec. 1250 gain	Section 1202 gain	Alternative minimum tax
160001500	670346105000 NUCOR CORP	\$ 58.00	\$ 58.00					
160001600	696429307000 PALL CORP	29.76	29.76					
160001700	91324P102000 UNITEDHEALTH GROUP INC	78.00	78.00					
Totals		\$ 733.18	\$ 733.02					

Dividends and Distributions, Section 2

Amounts displayed for dividends include dividend reinvestments, money fund earnings, accrued dividends received and dividends exempt from Federal income tax.

Reference number	Description	Nondividend distributions	Foreign tax paid	Cash liquidation distributions	Non cash liquidation distributions	Investment expense	NRA Withholding
160000700	111320107000 BROADCOM CORP CL A A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	\$ 25.65					
Totals		\$ 25.65					

2 0 1 1 Y E A R E N D S U M M A R Y



2011 Year End Summary

Ref: 00000246 00032124

ALLEN I. GROSS CHARITABLE FND
Account Number 389-4174G-18 826

Details of Deposits and Withdrawals 2011

This section reflects any deposits or withdrawals made to your account during the year.

Deposits

Reference number	Date	Description	Amount
210000200	04/18/11	FROM 389-4164G-01 TO 389-4174G-01	\$ 50,000.00
Total			\$ 100,000.00

Withdrawals

Reference number	Date	Description	Referral number	Amount
0000100	03/17/11	CONSULTING & ADVISORY SERVICES FROM 03/15/11 TO 05/31/11		\$ 160.27
0000300	06/17/11	CONSULTING & ADVISORY SERVICES FROM 06/01/11 TO 08/31/11		398.56
0000500	12/16/11	CONSULTING & ADVISORY SERVICES FROM 12/01/11 TO 02/29/12		344.81
Total				\$ 1,332.55

2 0 1 1 Y E A R E N D S U M M A R Y



2011 Year End Summary

M11000000246 311381AM01 SOVR50S1A
ALLEN I. GROSS CHARITABLE FND
ATTN: EDITH GROSS
50 BROADWAY 3RD FLOOR
NEW YORK NY 10004-1607

Account Number 389-5105G-11 826

389
526

*We are pleased to provide you with this
overview of the year's activity in your account.
This information will help you understand the
performance of your investments and manage your
account with the assistance of your
Financial Advisor.*

Your Financial Advisor

Brian Bennett/Marc Hurwitz
MORGANSTANLEY SMITHBARNEY LLC
101 PARK AVENUE
24TH FLOOR
NEW YORK NY 10178
Branch Office : 212 503 1178

*If you have questions about your Year End Summary,
call toll-free Monday through Friday,
9:00 a.m. to 5:00 p.m. EST., 1-800-727-1099*



2011 Year End Summary

Account Number 389-5105G-11 826

Value of your portfolio

Description	Amount
Money funds	\$ 36,699.98
Accrued bond / CD Interest	7,703.64
Mortgage and asset backed securities	362,198.91
Government bonds	302,292.20
Corporate bonds	234,404.18
International bonds	31,354.73
Value of your account on 12/31/11	\$ 874,653.64

Interest you paid 2011

Description	Amount
Accrued interest	\$ 6,420.37

Earnings summary 2011

Description	Amount
Interest *	\$ 16,485.93
Dividends **	11.13
Original Issue Discount	136.24
Total	\$ 16,633.30

*If you received accrued interest or interest exempt from Federal income tax, they are included in this amount.

**If you received money funds earnings, capital gain distributions or dividends exempt from Federal income tax, they are included in this amount.

2 0 1 1 Y E A R E N D S U M M A R Y



2011 Year End Summary

f: 00000246 00032175

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5105G-11 826

Details of Original Issue Discount 2011

Reference number	Description	OID Amount	Alternative minimum tax
00000000	US TSY INFLATION INDEX BDS CPI U NON-SEASONALLY ADJ DTD 7/15/2004	\$ 136.24	
Total OID		\$ 136.24	

Total Alternative Minimum Tax

\$ 0.00

Details of Earnings 2011

Interest amounts include accrued interest received and interest exempt from Federal income tax.

Interest	Reference number	Description	Interest	Alternative minimum tax
	140000100	03523TAL20B0 ANHEUSER-BUSCH INBEV WORLDWIDE BOOK/ENTRY DTD 02/08/2010 DUE 10/15/2012 RATE 3.000	\$ 75.00	
	140000200	17275RAC60B0 CISCO SYSTEMS INC DTD 02/22/2006 DUE 02/22/2016 RATE 5.500	412.50	
	140000300	20030NAJ00B0 COMCAST CORP DTD 11/14/2005 DUE 11/15/2015 RATE 5.850	263.25	
	140000400	20825CAT10B0 CONOCOPHILLIPS BK/ENTRY DTD 05/21/2009 DUE 01/15/2015 RATE 4.600	161.00	
	140000500	22541LAC70B0 CREDIT SUISSE FB USA INC DTD 1/11/02 DUE 01/15/2012 RATE 6.500	227.50	

2 0 1 1 Y E A R E N D S U M M A R Y



MorganStanley SmithBarney

2011 Year End Summary

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Ref: 00000246 00032176

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5105G-11 826

Details of Earnings 2011 continued

Interest amounts include accrued interest received and interest exempt from Federal income tax.

Interest - continued	Reference number	Description	Interest	Alternative minimum tax
	140000600	28264QR630B1 *** EKSPORTFINANS AS BOOK/ENTRY DTD 11/17/2009 DUE 11/17/2014 RATE 3.000	\$ 150.00	
	140000700	3128MBQ750B0 FHLMC PL#G12978 DTD 01/01/2008 DUE 12/01/2022 RATE 5.500	219.37	
	140000800	3128M7KW50B0 FHLMC PL#G05409 DTD 04/01/2009 DUE 03/01/2039 RATE 5.500	154.65	
	140000900	3128M8AV60B0 FHLMC PL#G06020 DTD 10/01/2010 DUE 12/01/2039 RATE 5.500	190.48	
	140001000	312945ZD30B0 FHLMC PL#A97040 DTD 02/01/2011 DUE 02/01/2041 RATE 4.000	938.26	
	140001100	3134A4TZ70B0 FEDERAL HOME LOAN MTG CORP GLOBAL REFERENCE NOTES DTD 07/18/2003 DUE 07/15/2013 RATE 4.500	562.50	
	140001200	31359MS610B0 FEDERAL NATL MTG ASSN BK/ENTRY DTD 6/15/06 DUE 07/15/2016 RATE 5.375	456.88	
	140001300	31368HM420B0 FNMA PL#190379 DTD 04/01/2007 DUE 05/01/2037 RATE 5.500	609.34	
	140001400	3138AJK500B0 FNMA PL#A14815 DTD 06/01/2011 DUE 06/01/2041 RATE 4.500	220.76	
	140001500	3138A2CF40B0 FNMA PL#AH0969 DTD 12/01/2010 DUE 12/01/2025 RATE 3.500	300.06	

2 0 1 1 Y E A R E N D S U M M A R Y



2011 Year End Summary

sf: 00000246 00032177

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5105G-11 826

Details of Earnings 2011 - continued

Interest amounts include accrued interest received and interest exempt from Federal income tax.

Reference number	Description	Interest	Alternative minimum tax
140001600	3138A7 FZ60B0 FNMA PL#AH5563 DTD 02/01/2011 DUE 02/01/2041 RATE 4.500	\$ 1,553.68	
140001700	31398AH540B0 FEDERAL NATL MTG ASSN DEBS BK/ENTRY DTD 3/2/10 DUE 04/04/2012 RATE 1.000	105.00	
140001800	31402CPL00B0 FNMA PL#725027 DTD 12/01/2003 DUE 11/01/2033 RATE 5.000	862.55	
140001900	31403DDX40B0 FNMA PL#745418 DTD 03/01/2006 DUE 04/01/2036 RATE 5.500	1,112.49	
140002000	31410KJY10B0 FNMA PL#889579 DTD 05/01/2008 DUE 05/01/2038 RATE 6.000	784.44	
140002100	31417VN660B0 FNMA PL#AC8512 DTD 12/01/2009 DUE 12/01/2039 RATE 4.500	33.96	
140002200	31417YUH80B0 FNMA PL#MA0583 DTD 11/01/2010 DUE 12/01/2040 RATE 4.000	30.46	
140002300	31418NYK00B0 FNMA PL#AD1613 DTD 02/01/2010 DUE 02/01/2025 RATE 4.500	481.67	
140002400	31419ADT10B0 FNMA PL#AE0113 DTD 06/01/2010 DUE 07/01/2040 RATE 4.000	387.29	
140002500	369604AY90B0 GENERAL ELEC CO BK/ENTRY DTD 01/28/2003 DUE 02/01/2013 RATE 5.000	184.17	

2 0 1 1 Y E A R E N D S U M M A R Y



Ref: 00000245 00032178

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5105G-11 826

Details of Earnings 2011 continued

Interest amounts include accrued interest received and interest exempt from Federal income tax.

Interest - continued	Reference number	Description	Interest	Alternative minimum tax
	140002600	36962GSC40R0 GENERAL ELECTRIC CAPITAL CORP DTD 05/09/2011 DUE 05/09/2016 RATE 2.950	\$ 206.50	
	140002700	38143UAB70B0 GOLDMAN SACHS GROUP INC GLOBAL BOOK/ENTRY DTD 1/13/04 DUE 01/15/2014 RATE 5.150	154.50	
	140002800	46625HBV10B0 J P MORGAN CHASE CO DTD 09/15/2004 DUE 09/15/2014 RATE 5.125	384.38	
	140002900	683234B310B1 *** PROVINCE OF ONTARIO DTD 01/21/2009 DUE 01/20/2012 RATE 2.625	91.88	
	140003000	68402LAC80B0 ORACLE CORPORATION DTD 06/12/2006 GLOBAL - DUE 01/15/2016 RATE 5.250	157.50	
	140003100	713448BG20B0 PEPSICO INC BOOK/ENTRY DTD 12/04/2007 DUE 02/15/2013 RATE 4.650	139.50	
	140003200	717081DA80B0 PFIZER INC DTD 03/24/2009 DUE 03/15/2015 RATE 5.350	267.50	
	140003300	78387GAP80B0 SBC COMMUNICATIONS INC NOTES-BK/ENTRY DTD 11/03/2004 DUE 09/15/2014 RATE 5.100	178.50	

2011 YEAR END SUMMARY



2011 Year End Summary

f: 00000246 00032179

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5105G-11 826

Details of Earnings 2011 - continued

Interest amounts include accrued interest received and interest exempt from Federal income tax.

Reference number	Description	Interest	Alternative minimum tax
140003400	822582AF90B1 *** SHELL INTL FIN DTD 03/23/2009 DUE 03/21/2014 RATE 4.000	\$ 140.00	
140003500	87612EAH90B0 TARGET CORP GLOBAL NOTE DTD 3/11/2002 DUE 03/01/2012 RATE 5.875	176.25	
140003600	912810EQ70B0 U S TREASURY BONDS DTD 8/16/1993 DUE 08/15/2023 RATE 6.250	343.75	
140003700	912810FR40B0 US TSY INFLATION INDEX BDS CPI U NON-SEASONALLY ADJ DTD 7/15/2004 DUE 01/15/2025 RATE 2.375	210.47	
140003800	912810PT90B0 U S TREASURY BONDS DTD 02/15/2007 DUE 02/15/2037 RATE 4.750	166.25	
140003900	912810PX00B0 U S TREASURY BOND SER MAY 2038 DTD 08/15/08 DUE 05/15/2038 RATE 4.500	652.50	
140004000	912810QH40B0 U S TREASURY BOND DTD 05/15/2010 DUE 05/15/2040 RATE 4.375	262.50	
140004100	912828GQ70B0 U S TREASURY NOTES SER K-2012 DTD 04/30/2007 DUE 04/30/2012 RATE 4.500	313.65	

2 0 1 1 Y E A R E N D S U M M A R Y



2011 Year End Summary

Ref: 00000246 00032180

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5105G-11 826

Details of Earnings 2011 - continued

Interest amounts include accrued interest received and interest exempt from Federal income tax.

Interest
- continued

Reference number	Description	Interest	Alternative minimum tax
140004200	912828KQ20B0 U S TREASURY NOTES SER C-2019 DTD 05/15/2009 DUE 05/15/2019 RATE 3.125	\$ 546.88	
140004300	912828MP20B0 U S TREASURY NOTES SER B-2020 DTD 02/15/2010 DUE 02/15/2020 RATE 3.625	241.15	
140004400	912828ND80B0 U S TREASURY NOTES SER C-2020 DTD 05/15/2010 DUE 05/15/2020 RATE 3.500	350.00	
140004500	912828NT30B0 U S TREASURY NOTES SER E-2020 DTD 08/16/2010 DUE 08/16/2020 RATE 2.625	210.00	
140004600	912828PA20B0 U S TREASURY NOTES SER Q-2017 DTD 09/30/2010 DUE 09/30/2017 RATE 1.875	253.13	
140004700	92343VAN40B0 VERIZON COMMUNICATIONS BOOK/ENTRY DTD 04/04/2008 DUE 04/15/2013 RATE 5.250	128.63	
140004800	92343VAX20R0 VERIZON COMMUNICATIONS INC DTD 03/28/2011 DUE 04/01/2021 RATE 4.600	350.75	
140004900	92857WAM20B1 *** VODAFONE GROUP PLC DTD 3/16/06 DUE 06/15/2011 RATE 5.500	82.50	
Totals		\$ 16,485.93	

2 0 1 1 Y E A R E N D S U M M A R Y



if: 00000246 00032181

Details of Earnings 2011 continued

Dividends and Distributions, Section 1

Amounts displayed for dividends include dividend reinvestments, money fund earnings, accrued dividends received and dividends exempt from Federal income tax. Total dividends* includes both Qualified and non-qualified dividends received. Total capital gain distributions* is a total of long term capital gain distributions, Unrecaptured Sec. 1250 gain and Section 1202 gain.

Reference number	Description	Total dividends	Qualified dividends	Short term capital gains	Total capital gain distributions	Unrecaptured Sec 1250 gain	Section 1202 gain	Alternative minimum tax
3000100	004940102000	\$ 11.13						
	MORGAN STANLEY AA MONEY TRUST	\$ 11.13						

Total

Details of Short Term Gain (Loss) 2011

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, though cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. For additional information regarding this section see enclosed brochure.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
3000010	1,000	GENERAL ELEC CO BK/ENTRY	05/03/11	09/07/11	\$ 1,051.69	\$ 1,068.26	(\$ 16.57)	\$ 0.00
		DTD 01/28/2003				\$ 1,054.59	(\$ 2.90)	(\$ 2.90)
	2,000	DUE 02/01/2013 RATE 5.000	05/20/11		2,103.38	2,133.66	(30.28)	0.00
		YTM 1.227				2,110.22	(6.84)	(6.84)
	1,000		06/01/11		1,051.69	1,066.72	(15.03)	0.00
						1,056.02	(4.33)	(4.33)
	1,000		06/08/11		1,051.69	1,066.72	(15.03)	0.00
						1,056.68	(4.99)	(4.99)
	1,000		06/16/11		1,051.69	1,064.91	(13.22)	0.00
						1,055.90	(4.21)	(4.21)
3000020	365,000	U S TREASURY BILLS	04/01/11	04/20/11	364,996.31	365,000.00	(3.69)	0.00
		DTD 05/06/2010				365,000.00	(3.69)	(3.69)
		DUE 05/05/2011						
		YIELD .026MTY						

2 0 1 1 Y E A R E N D S U M M A R Y



2011 Year End Summary

Ref: 00000246 00032182

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5105G-11 826

Details of Short Term Gain (Loss) 2011 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000030	1,000	US TSY INFLATION INDEX BDS CPI	05/03/11	12/06/11	\$ 1,525.79	\$ 1,354.57	\$ 171.22	\$ 0.00
		U NON-SEASONALLY ADJ				\$ 1,378.57	\$ 147.22	\$ 147.22
	1,000	DTD 7/15/2004	05/06/11		1,525.79	1,361.75	164.04	0.00
	2,000	DUE 01/15/2025 RATE 2.375	05/20/11		3,051.58	1,383.68	142.11	142.11
						2,684.54	367.04	0.00
	2,000		06/01/11		3,051.57	2,718.55	333.03	333.03
						2,739.63	311.94	0.00
						2,764.51	287.06	287.06
125000040	3,000	U S TREASURY NOTES SER K-2012	05/03/11	09/07/11	3,084.48	3,124.47	(39.99)	0.00
		DTD 04/30/2007				3,080.13	4.35	4.35
	1,000	DUE 04/30/2012 RATE 4.500	05/06/11		1,028.16	1,041.29	(13.13)	0.00
	4,000	YIELD .051MTY	05/20/11		4,112.64	1,026.95	1.21	1.21
						4,159.08	(46.44)	0.00
	4,000		06/01/11		4,112.64	4,108.08	4.56	4.56
						4,153.44	(40.80)	0.00
	2,000		06/08/11		2,056.32	4,108.00	4.64	4.64
						2,075.56	(19.24)	0.00
	2,000		06/16/11		2,056.32	2,054.34	1.98	1.98
						2,073.68	(17.36)	0.00
	3,000		06/27/11		3,084.48	2,054.32	2.00	2.00
						3,108.06	(23.58)	0.00
						3,082.02	2.46	2.46
125000050	1,000	U S TREASURY NOTES SER B-2020	05/03/11	10/21/11	1,133.82	1,042.35	91.47	0.00
		DTD 02/15/2010				1,040.33	93.49	93.49
	1,000	DUE 02/15/2020 RATE 3.625	05/06/11		1,133.82	1,048.60	85.22	0.00
	2,000	YIELD 1.877MTY	05/20/11		2,267.65	1,046.34	87.48	87.48
						2,105.63	162.02	0.00
	2,000		05/23/11		2,267.65	2,101.10	166.55	166.55
						2,118.36	149.29	0.00
	1,000		06/16/11		1,133.82	2,113.30	154.35	154.35
						1,074.69	59.13	0.00
	2,000		06/27/11		2,267.65	1,072.00	61.82	61.82
						2,159.92	107.73	0.00
	2,000		10/07/11		2,267.66	2,154.54	113.11	113.11
						2,284.30	(16.64)	0.00
						2,283.16	(15.50)	(15.50)

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2011 Year End Summary

Ref: 00000246 00032183

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5105G-11 826

Details of Short Term Gain (Loss) 2011 - continued

Reference Number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
50000060	1,000	VERIZON COMMUNICATIONS BOOK/ENTRY	05/03/11	09/07/11	\$ 1,066.49	\$ 1,079.38	(\$ 12.89)	\$ 0.00
	2,000	DTD 04/04/2008	05/20/11	2,132.98	2,156.12	\$ 1.30	\$ 1.30	\$ 1.30
	1,000	DUE 04/15/2013 RATE 5.250	06/01/11	1,066.49	2,131.74	(23.14)	1.24	0.00
	1,000		06/08/11	1,066.49	1,078.02	(11.53)	0.00	1.24
	1,000		06/16/11	1,066.49	1,066.91	(.42)	(.42)	0.00
	1,000		06/16/11	1,066.49	1,077.16	(10.67)	0.00	(.42)
	1,000		06/16/11	1,066.49	1,066.87	(.38)	0.00	(.38)
	1,000		06/16/11	1,066.49	1,076.68	(10.19)	0.00	(.38)
	1,000		06/16/11	1,066.49	1,067.25	(.76)	0.00	0.00
	1,000	VODAFONE GROUP PLC	05/03/11	06/15/11	1,000.00	1,005.72	(5.72)	0.00
	1,000	DTD 3/16/06	05/20/11	1,000.00	1,000.00	0.00	0.00	0.00
	1,000	DUE 06/15/2011 RATE 5.500	06/08/11	1,000.00	1,002.87	(2.87)	0.00	0.00
	1,000		06/08/11	1,000.00	1,000.00	0.00	0.00	0.00
	1,000		06/08/11	1,000.00	1,000.28	(.28)	0.00	0.00
	1,000		06/08/11	1,000.00	1,000.00	0.00	0.00	0.00
Total				\$ 421,867.23	\$ 420,586.42	\$ 1,280.81	\$ 1,565.94	\$ 1,565.94

Details of Accrued Income 2011

This section shows your accrued income paid or received as a result of purchases and sales.

Reference Number	Transaction Description	Trade Date	Interest Paid	Interest Received
20000100	ANHEUSER-BUSCH INBEV WORLDWIDE BOOK/ENTRY	05/03/11	\$ 1.75	
	DTD 02/08/2010			
	DUE 10/15/2012 RATE 3.000			
20000200	ANHEUSER-BUSCH INBEV WORLDWIDE BOOK/ENTRY	05/20/11	3.33	
	DTD 02/08/2010			
	DUE 10/15/2012 RATE 3.000			
20000300	ANHEUSER-BUSCH INBEV WORLDWIDE BOOK/ENTRY	06/08/11	4.83	
	DTD 02/08/2010			
	DUE 10/15/2012 RATE 3.000			



Ref: 00000246 00032184

ALLEN I. GROSS CHARITABLE FND

Account Number 389-5105G-11 826

Details of Accrued Income 2011 - continued

Reference number	Transaction Description	Trade Date	Interest Paid	Interest Received
120000400	ANHEUSER-BUSCH INBEV WORLDWIDE BOOK/ENTRY DTD 02/08/2010 DUE 10/15/2012 RATE 3.000	06/16/11	\$ 5.50	
120000500	ANHEUSER-BUSCH INBEV WORLDWIDE BOOK/ENTRY DTD 02/08/2010 DUE 10/15/2012 RATE 3.000	10/11/11	14.92	
120000600	BARRICK GOLD CORP DTD 06/01/2011 DUE 05/30/2016 RATE 2.900	12/06/11	10.15	
120000700	CAPITAL ONE FINANCIAL CORP DTD 07/19/2011 DUE 07/15/2021 RATE 4.750	10/21/11	115.19	
120000800	CAPITAL ONE FINANCIAL CORP DTD 07/19/2011 DUE 07/15/2021 RATE 4.750	12/06/11	92.36	
120000900	CISCO SYSTEMS INC DTD 02/22/2006 DUE 02/22/2016 RATE 5.500	05/03/11	22.61	
120001000	CISCO SYSTEMS INC DTD 02/22/2006 DUE 02/22/2016 RATE 5.500	05/20/11	56.83	
120001100	CISCO SYSTEMS INC DTD 02/22/2006 DUE 02/22/2016 RATE 5.500	06/01/11	47.67	
120001200	CISCO SYSTEMS INC DTD 02/22/2006 DUE 02/22/2016 RATE 5.500	06/08/11	33.92	
120001300	CISCO SYSTEMS INC DTD 02/22/2006 DUE 02/22/2016 RATE 5.500	06/17/11	36.67	
120001400	CISCO SYSTEMS INC DTD 02/22/2006 DUE 02/22/2016 RATE 5.500	06/27/11	39.11	

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2011 Year End Summary

Account Number 00000246 00032185

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5105G-11 826

Details of Accrued Income 2011 continued

Reference Number	Transaction Description	Trade Date	Interest Paid	Interest Received
0001500	CISCO SYSTEMS INC DTD 02/22/2006 DUE 02/22/2016 RATE 5.500	10/07/11	\$ 23.38	
0001600	COMCAST CORP DTD 11/14/2005 DUE 11/15/2015 RATE 5.850	05/03/11	27.79	
0001700	COMCAST CORP DTD 11/14/2005 DUE 11/15/2015 RATE 5.850	05/20/11	3.25	
0001800	COMCAST CORP DTD 11/14/2005 DUE 11/15/2015 RATE 5.850	06/01/11	3.41	
0001900	COMCAST CORP DTD 11/14/2005 DUE 11/15/2015 RATE 5.850	06/08/11	4.55	
0002000	COMCAST CORP DTD 11/14/2005 DUE 11/15/2015 RATE 5.850	06/17/11	6.01	
0002100	COMCAST CORP DTD 11/14/2005 DUE 11/15/2015 RATE 5.850	06/27/11	7.31	
0002200	COMCAST CORP DTD 11/14/2005 DUE 11/15/2015 RATE 5.850	10/07/11	24.05	
0002300	CONOCOPHILLIPS BK/ENTRY DTD 05/21/2009 DUE 01/15/2015 RATE 4.600	05/03/11	14.18	
0002400	CONOCOPHILLIPS BK/ENTRY DTD 05/21/2009 DUE 01/15/2015 RATE 4.600	05/20/11	33.22	
0002500	CONOCOPHILLIPS BK/ENTRY DTD 05/21/2009 DUE 01/15/2015 RATE 4.600	06/01/11	18.02	

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2011 Year End Summary

Ref: 00000246 00032186

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5105G-11 826

Details of Accrued Income 2011 continued

Reference number	Transaction Description	Trade Date	Interest Paid	Interest Received
120002600	CONOCOPHILLIPS BK/ENTRY DTD 05/21/2009 DUE 01/15/2015 RATE 4.600	06/08/11	\$ 18.91	
120002700	CONOCOPHILLIPS BK/ENTRY DTD 05/21/2009 DUE 01/15/2015 RATE 4.600	06/16/11	19.93	
120002800	CONOCOPHILLIPS BK/ENTRY DTD 05/21/2009 DUE 01/15/2015 RATE 4.600	06/27/11	21.08	
120002900	CONOCOPHILLIPS BK/ENTRY DTD 05/21/2009 DUE 01/15/2015 RATE 4.600	10/07/11	11.24	
120003000	CREDIT SUISSSE FB USA INC DTD 1/11/02 DUE 01/15/2012 RATE 6.500	05/03/11	20.04	
120003100	CREDIT SUISSSE FB USA INC DTD 1/11/02 DUE 01/15/2012 RATE 6.500	05/20/11	46.94	
120003200	CREDIT SUISSSE FB USA INC DTD 1/11/02 DUE 01/15/2012 RATE 6.500	05/26/11	24.56	
120003300	CREDIT SUISSSE FB USA INC DTD 1/11/02 DUE 01/15/2012 RATE 6.500	06/08/11	26.72	
120003400	CREDIT SUISSSE FB USA INC DTD 1/11/02 DUE 01/15/2012 RATE 6.500	06/16/11	28.17	
120003500	CREDIT SUISSSE FB USA INC DTD 1/11/02 DUE 01/15/2012 RATE 6.500	06/27/11	29.79	
120003600	CREDIT SUISSSE FB USA INC DTD 1/11/02 DUE 01/15/2012 RATE 6.500	10/11/11	16.07	

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f: 00000246 00032187

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5105G-11 826

Details of Accrued Income 2011 continued

Reference Number	Transaction Description	Trade Date	Interest Paid	Interest Received
0003700	DIRECTTV HOLDINGS LLC/DIRECTTV DTD 03/10/2011 DUE 03/01/2016 RATE 3.500	09/07/11	\$ 8.56	
0003800	DIRECTTV HOLDINGS LLC/DIRECTTV DTD 03/10/2011 DUE 03/01/2016 RATE 3.500	10/07/11	4.08	
0003900	EKSPORTFINANS AS BOOK/ENTRY DTD 11/17/2009 DUE 11/17/2014 RATE 3.000	05/04/11	14.33	
0004000	EKSPORTFINANS AS BOOK/ENTRY DTD 11/17/2009 DUE 11/17/2014 RATE 3.000	05/13/11	.08	
0004100	EKSPORTFINANS AS BOOK/ENTRY DTD 11/17/2009 DUE 11/17/2014 RATE 3.000	05/16/11	.50	
0004200	EKSPORTFINANS AS BOOK/ENTRY DTD 11/17/2009 DUE 11/17/2014 RATE 3.000	06/16/11	2.83	
0004300	EKSPORTFINANS AS BOOK/ENTRY DTD 11/17/2009 DUE 11/17/2014 RATE 3.000	06/27/11	3.58	
0004400	EKSPORTFINANS AS BOOK/ENTRY DTD 11/17/2009 DUE 11/17/2014 RATE 3.000	10/07/11	24.33	
0004500	FILMC PL#G12978 DTD 01/01/2008 DUE 12/01/2022 RATE 5.500	05/03/11	12.87	
0004700	FILMC PL#G12978 DTD 01/01/2008 DUE 12/01/2022 RATE 5.500	06/20/11	8.56	
0005400	FILMC PL#G05409 DTD 04/01/2009 DUE 03/01/2039 RATE 5.500	06/16/11	4.00	

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2011 Year End Summary

Ref: 00000246 00032188

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5105G-11 826

Details of Accrued Income 2011 - continued

Reference Number	Transaction Description	Trade Date	Interest Paid	Interest Received
120005500	FHLMC PL#G05409 DTD 04/01/2009 DUE 03/01/2039 RATE 5.500	06/17/11	\$ 6.99	
120005900	FHLMC PL#G05409 DTD 04/01/2009 DUE 03/01/2039 RATE 5.500	10/07/11	13.06	
120006300	FHLMC PL#G06020 DTD 10/01/2010 DUE 12/01/2039 RATE 5.500	05/16/11	17.45	
120007100	FHLMC PL#A97040 DTD 02/01/2011 DUE 02/01/2041 RATE 4.000	05/03/11	34.00	
120007300	FHLMC PL#A97040 DTD 02/01/2011 DUE 02/01/2041 RATE 4.000	06/17/11	27.73	
120007700	FHLMC PL#A97040 DTD 02/01/2011 DUE 02/01/2041 RATE 4.000	10/07/11	12.93	
120008100	FEDERAL HOME LOAN MTG CORP GLOBAL REFERENCE NOTES DTD 07/18/2003 DUE 07/15/2013 RATE 4.500	05/03/11	41.63	
120008200	FEDERAL HOME LOAN MTG CORP GLOBAL REFERENCE NOTES DTD 07/18/2003 DUE 07/15/2013 RATE 4.500	05/06/11	29.00	
120008300	FEDERAL HOME LOAN MTG CORP GLOBAL REFERENCE NOTES DTD 07/18/2003 DUE 07/15/2013 RATE 4.500	05/20/11	81.25	
120008400	FEDERAL HOME LOAN MTG CORP GLOBAL REFERENCE NOTES DTD 07/18/2003 DUE 07/15/2013 RATE 4.500	06/01/11	88.13	
120008500	FEDERAL HOME LOAN MTG CORP GLOBAL REFERENCE NOTES DTD 07/18/2003 DUE 07/15/2013 RATE 4.500	06/08/11	55.50	
120008600	FEDERAL HOME LOAN MTG CORP GLOBAL REFERENCE NOTES DTD 07/18/2003 DUE 07/15/2013 RATE 4.500	06/16/11	39.00	

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2011 Year End Summary

Ref: 00000246 00032189

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5105G-11 826

Details of Accrued Income 2011 - continued

		2	0	1	1	Y	E	A	R	E	N	D	S	U	M	M	A	R	Y
Reference Number	Transaction Description	Trade Date	Interest Paid	Interest Received															
20008700	FEDERAL HOME LOAN MTG CORP GLOBAL REFERENCE NOTES DTD 07/18/2003 DUE 07/15/2013 RATE 4.500	06/27/11	\$ 103.13																
20008800	FEDERAL HOME LOAN MTG CORP GLOBAL REFERENCE NOTES DTD 07/18/2003 DUE 07/15/2013 RATE 4.500	10/07/11	66.00																
20008900	FEDERAL NATL MTG ASSN BK/ENTRY DTD 6/15/06 DUE 07/15/2016 RATE 5.375	05/03/11	33.15																
20009000	FEDERAL NATL MTG ASSN BK/ENTRY DTD 6/15/06 DUE 07/15/2016 RATE 5.375	05/20/11	97.05																
20009100	FEDERAL NATL MTG ASSN BK/ENTRY DTD 6/15/06 DUE 07/15/2016 RATE 5.375	06/01/11	63.16																
20009200	FEDERAL NATL MTG ASSN BK/ENTRY DTD 6/15/06 DUE 07/15/2016 RATE 5.375	06/08/11	44.19																
20009300	FEDERAL NATL MTG ASSN BK/ENTRY DTD 6/15/06 DUE 07/15/2016 RATE 5.375	06/16/11	46.58																
20009400	FEDERAL NATL MTG ASSN BK/ENTRY DTD 6/15/06 DUE 07/15/2016 RATE 5.375	06/27/11	73.91																
20009500	FEDERAL NATL MTG ASSN BK/ENTRY DTD 6/15/06 DUE 07/15/2016 RATE 5.375	10/07/11	52.56																
20009600	FNMA PL#190379 DTD 04/01/2007 DUE 05/01/2037 RATE 5.500	05/23/11	56.17																
20009700	FNMA PL#190379 DTD 04/01/2007 DUE 05/01/2037 RATE 5.500	06/20/11	22.31																
20010500	FNMA PL#A14815 DTD 06/01/2011 DUE 06/01/2041 RATE 4.500	10/07/11	31.83																
20010600	FNMA PL#A14815 DTD 06/01/2011 DUE 06/01/2041 RATE 4.500	10/21/11	27.13																



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2011 Year End Summary

Ref: 00000246 00032190

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5105G-11 826

Details of Accrued Income 2011 continued

2011 YEAR END SUMMARY

Reference number	Transaction Description	Trade Date	Interest Paid	Interest Received
120010900	FNMA PL#AH0969 DTD 12/01/2010 DUE 12/01/2025 RATE 3.500	05/16/11	\$ 16.98	
120011000	FNMA PL#AH0969 DTD 12/01/2010 DUE 12/01/2025 RATE 3.500	06/16/11	9.38	
120011500	FNMA PL#AH0969 DTD 12/01/2010 DUE 12/01/2025 RATE 3.500	10/07/11	7.55	
120011900	FNMA PL#AH5583 DTD 02/01/2011 DUE 02/01/2041 RATE 4.500	05/16/11	98.13	
120012000	FNMA PL#AH5583 DTD 02/01/2011 DUE 02/01/2041 RATE 4.500	06/17/11	51.83	
120012800	FEDERAL NATL MTG ASSN DEBS BK/ENTRY DTD 3/2/10 DUE 04/04/2012 RATE 1.000	05/04/11	2.92	
120012900	FEDERAL NATL MTG ASSN DEBS BK/ENTRY DTD 3/2/10 DUE 04/04/2012 RATE 1.000	05/20/11	8.17	
120013000	FEDERAL NATL MTG ASSN DEBS BK/ENTRY DTD 3/2/10 DUE 04/04/2012 RATE 1.000	05/26/11	7.92	
120013100	FEDERAL NATL MTG ASSN DEBS BK/ENTRY DTD 3/2/10 DUE 04/04/2012 RATE 1.000	06/20/11	4.39	
120013200	FEDERAL NATL MTG ASSN DEBS BK/ENTRY DTD 3/2/10 DUE 04/04/2012 RATE 1.000	06/27/11	11.94	
120013300	FEDERAL NATL MTG ASSN DEBS BK/ENTRY DTD 3/2/10 DUE 04/04/2012 RATE 1.000	10/14/11	2.08	
120013400	FNMA PL#725027 DTD 12/01/2003 DUE 11/01/2033 RATE 5.000	05/26/11	84.66	



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2011 Year End Summary

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ALLEN I. GROSS CHARITABLE FND

Account Number 389-5105G-11 826

Details of Accrued Income 2011 continued

Reference Number	Transaction Description	Trade Date	Interest Paid	Interest Received
20013500	FNMA PL#725027 DTD 12/01/2003 DUE 11/01/2033 RATE 5.000	06/20/11	\$ 31.03	
20014000	FNMA PL#725027 DTD 12/01/2003 DUE 11/01/2033 RATE 5.000	10/07/11	13.51	
20014400	FNMA PL#745418 DTD 03/01/2006 DUE 04/01/2036 RATE 5.500	06/01/11	48.09	
20014500	FNMA PL#745418 DTD 03/01/2006 DUE 04/01/2036 RATE 5.500	06/20/11	44.68	
20014900	FNMA PL#745418 DTD 03/01/2006 DUE 04/01/2036 RATE 5.500	10/07/11	16.89	
20015300	FNMA PL#889579 DTD 05/01/2008 DUE 05/01/2038 RATE 6.000	05/20/11	64.72	
20015400	FNMA PL#889579 DTD 05/01/2008 DUE 05/01/2038 RATE 6.000	06/17/11	25.73	
20015900	FNMA PL#889579 DTD 05/01/2008 DUE 05/01/2038 RATE 6.000	10/07/11	10.74	
20016300	FNMA PL#AC8512 DTD 12/01/2009 DUE 12/01/2039 RATE 4.500	11/09/11	15.85	
20016500	FNMA PL#MA0583 DTD 11/01/2010 DUE 12/01/2040 RATE 4.000	10/07/11	6.25	
20016800	FNMA PL#AD1613 DTD 02/01/2010 DUE 02/01/2025 RATE 4.500	05/20/11	42.62	
20016900	FNMA PL#AD1613 DTD 02/01/2010 DUE 02/01/2025 RATE 4.500	06/20/11	17.05	
20017700	FNMA PL#AE0113 DTD 06/01/2010 DUE 07/01/2040 RATE 4.000	05/16/11	24.32	
20017800	FNMA PL#AE0113 DTD 06/01/2010 DUE 07/01/2040 RATE 4.000	06/20/11	13.65	
20018600	GENERAL ELEC CO BK/ENTRY DTD 01/28/2003 DUE 02/01/2013 RATE 5.000	05/03/11	13.19	
20018700	GENERAL ELEC CO BK/ENTRY DTD 01/28/2003 DUE 02/01/2013 RATE 5.000	05/20/11	31.67	

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2011 Year End Summary

Ref: 00000246 00032192

ALLEN I. GROSS CHARITABLE FND

Account Number 389-5105G-11 826

Details of Accrued Income 2011 - continued

Reference number	Transaction Description	Trade Date	Interest Paid	Interest Received
120018800	GENERAL ELEC CO BK/ENTRY DTD 01/28/2003 DUE 02/01/2013 RATE 5.000	06/01/11	\$ 17.36	
120018900	GENERAL ELEC CO BK/ENTRY DTD 01/28/2003 DUE 02/01/2013 RATE 5.000	06/08/11	18.33	
120019000	GENERAL ELEC CO BK/ENTRY DTD 01/28/2003 DUE 02/01/2013 RATE 5.000	06/16/11	19.44	
120019100	GENERAL ELEC CO BK/ENTRY DTD 01/28/2003 DUE 02/01/2013 RATE 5.000	09/07/11		34.17
120019200	GENERAL ELECTRIC CAPITAL CORP DTD 05/09/2011 DUE 05/09/2016 RATE 2.950	09/07/11	131.03	
120019300	GENERAL ELECTRIC CAPITAL CORP DTD 05/09/2011 DUE 05/09/2016 RATE 2.950	10/07/11	12.62	
120019400	GOLDMAN SACHS GROUP INC DUE 07/27/2021 RATE 5.250	10/21/11	129.79	
120019500	GOLDMAN SACHS GROUP INC DUE 07/27/2021 RATE 5.250	12/06/11	96.25	
120019600	GOLDMAN SACHS GROUP INC GLOBAL BOOK/ENTRY DTD 1/13/04 DUE 01/15/2014 RATE 5.150	05/03/11	15.88	
120019700	GOLDMAN SACHS GROUP INC GLOBAL BOOK/ENTRY DTD 1/13/04 DUE 01/15/2014 RATE 5.150	05/20/11	37.19	
120019800	GOLDMAN SACHS GROUP INC GLOBAL BOOK/ENTRY DTD 1/13/04 DUE 01/15/2014 RATE 5.150	06/01/11	20.17	

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2011 Year End Summary

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ALLEN I. GROSS CHARITABLE FND
Account Number 389-5105G-11 826

Details of Accrued Income 2011 - continued

Reference Number	Transaction Description	Trade Date	Interest Paid	Interest Received
0019900	GOLDMAN SACHS GROUP INC GLOBAL BOOK/ENTRY DTD 1/13/04 DUE 01/15/2014 RATE 5.150	06/08/11	\$ 21.17	
0020000	GOLDMAN SACHS GROUP INC GLOBAL BOOK/ENTRY DTD 1/13/04 DUE 01/15/2014 RATE 5.150	06/16/11	22.32	
0020100	GOLDMAN SACHS GROUP INC GLOBAL BOOK/ENTRY DTD 1/13/04 DUE 01/15/2014 RATE 5.150	10/07/11	25.18	
0020200	J P MORGAN CHASE CO DTD 09/15/2004 DUE 09/15/2014 RATE 5.125	05/03/11	14.52	
0020300	J P MORGAN CHASE CO DTD 09/15/2004 DUE 09/15/2014 RATE 5.125	05/20/11	39.86	
0020400	J P MORGAN CHASE CO DTD 09/15/2004 DUE 09/15/2014 RATE 5.125	06/01/11	34.59	
0020500	J P MORGAN CHASE CO DTD 09/15/2004 DUE 09/15/2014 RATE 5.125	06/08/11	25.06	
0020600	J P MORGAN CHASE CO DTD 09/15/2004 DUE 09/15/2014 RATE 5.125	06/16/11	27.33	
0020700	J P MORGAN CHASE CO DTD 09/15/2004 DUE 09/15/2014 RATE 5.125	06/27/11	29.90	
0020800	J P MORGAN CHASE CO DTD 09/15/2004 DUE 09/15/2014 RATE 5.125	10/07/11	19.93	
0020900	PROVINCE OF ONTARIO DTD 01/21/2009 DUE 01/20/2012 RATE 2.625	05/04/11	7.95	

2 0 1 1 Y E A R E N D S U M M A R Y



MorganStanley SmithBarney

2011 Year End Summary

Ref: 00000246 00032194

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5105G-11 826

Details of Accrued Income 2011 - continued

Reference number	Transaction Description	Trade Date	Interest Paid	Interest Received
120021000	PROVINCE OF ONTARIO DTD 01/21/2009 DUE 01/20/2012 RATE 2.625	05/16/11	\$ 8.68	
120021100	PROVINCE OF ONTARIO DTD 01/21/2009 DUE 01/20/2012 RATE 2.625	05/20/11	9.11	
120021200	PROVINCE OF ONTARIO DTD 01/21/2009 DUE 01/20/2012 RATE 2.625	05/24/11	18.52	
120021300	PROVINCE OF ONTARIO DTD 01/21/2009 DUE 01/20/2012 RATE 2.625	06/28/11	11.74	
120021400	PROVINCE OF ONTARIO DTD 01/21/2009 DUE 01/20/2012 RATE 2.625	07/05/11	12.25	
120021500	PROVINCE OF ONTARIO DTD 01/21/2009 DUE 01/20/2012 RATE 2.625	10/17/11	13.13	
120021600	ORACLE CORPORATION DTD 06/12/2006 GLOBAL - DUE 01/15/2016 RATE 5.250	05/03/11	16.19	
120021700	ORACLE CORPORATION DTD 06/12/2006 GLOBAL - DUE 01/15/2016 RATE 5.250	05/20/11	37.92	
120021800	ORACLE CORPORATION DTD 06/12/2006 GLOBAL - DUE 01/15/2016 RATE 5.250	06/03/11	20.85	
120021900	ORACLE CORPORATION DTD 06/12/2006 GLOBAL - DUE 01/15/2016 RATE 5.250	06/08/11	21.58	

2 0 1 1 Y E A R E N D S U M M A R Y



00000246 00032195

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5105G-11 826

Details of Accrued Income 2011 - continued

2011 YEAR END SUMMARY

Transaction Description	Trade Date	Interest Paid	Interest Received
022000 ORACLE CORPORATION DTD 06/12/2006 GLOBAL - DUE 01/15/2016 RATE 5.250	06/17/11	\$ 22.90	
022100 ORACLE CORPORATION DTD 06/12/2006 GLOBAL - DUE 01/15/2016 RATE 5.250	10/07/11	25.67	
022200 PEPSICO INC BOOK/ENTRY DTD 12/04/2007 DUE 02/15/2013 RATE 4.650	05/04/11	10.85	
022300 PEPSICO INC BOOK/ENTRY DTD 12/04/2007 DUE 02/15/2013 RATE 4.650	05/20/11	25.83	
022400 PEPSICO INC BOOK/ENTRY DTD 12/04/2007 DUE 02/15/2013 RATE 4.650	06/03/11	14.60	
022500 PEPSICO INC BOOK/ENTRY DTD 12/04/2007 DUE 02/15/2013 RATE 4.650	06/09/11	15.37	
022600 PEPSICO INC BOOK/ENTRY DTD 12/04/2007 DUE 02/15/2013 RATE 4.650	06/22/11	17.05	
022700 PEPSICO INC BOOK/ENTRY DTD 12/04/2007 DUE 02/15/2013 RATE 4.650	10/11/11	15.24	
022800 PFIZER INC DTD 03/24/2009 DUE 03/15/2015 RATE 5.350	05/04/11	8.03	
022900 PFIZER INC DTD 03/24/2009 DUE 03/15/2015 RATE 5.350	05/20/11	31.21	



2011 Year End Summary

Ref: 00000246 00032196

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5105G-11 826

Details of Accrued Income 2011 continued

Reference number	Transaction Description	Trade Date	Interest Paid	Interest Received
120023000	PFIZER INC DTD 03/24/2009 DUE 03/15/2015 RATE 5.350	06/01/11	\$ 24.08	
120023100	PFIZER INC DTD 03/24/2009 DUE 03/15/2015 RATE 5.350	06/08/11	13.08	
120023200	PFIZER INC DTD 03/24/2009 DUE 03/15/2015 RATE 5.350	06/22/11	15.16	
120023300	PFIZER INC DTD 03/24/2009 DUE 03/15/2015 RATE 5.350	06/27/11	31.21	
120023400	PFIZER INC DTD 03/24/2009 DUE 03/15/2015 RATE 5.350	10/07/11	8.32	
120023500	SBC COMMUNICATIONS INC NOTES-BK/ENTRY DTD 11/03/2004 DUE 09/15/2014 RATE 5.100	05/04/11	7.65	
120023600	SBC COMMUNICATIONS INC NOTES-BK/ENTRY DTD 11/03/2004 DUE 09/15/2014 RATE 5.100	05/20/11	19.83	
120023700	SBC COMMUNICATIONS INC NOTES-BK/ENTRY DTD 11/03/2004 DUE 09/15/2014 RATE 5.100	06/03/11	11.76	
120023800	SBC COMMUNICATIONS INC NOTES-BK/ENTRY DTD 11/03/2004 DUE 09/15/2014 RATE 5.100	06/08/11	12.47	
120023900	SBC COMMUNICATIONS INC NOTES-BK/ENTRY DTD 11/03/2004 DUE 09/15/2014 RATE 5.100	06/17/11	13.74	

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2011 Year End Summary

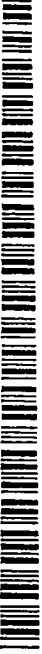
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ALLEN I. GROSS CHARITABLE FND
Account Number 389-5105G-11 826

Details of Accrued Income 2011 continued

Reference number	Transaction Description	Trade Date	Interest Paid	Interest Received
0024000	SBC COMMUNICATIONS INC NOTES-BK/ENTRY DTD 11/03/2004 DUE 09/15/2014 RATE 5.100	06/27/11	\$ 14.88	
0024100	SBC COMMUNICATIONS INC NOTES-BK/ENTRY DTD 11/03/2004 DUE 09/15/2014 RATE 5.100	10/07/11	3.97	
0024200	SHELL INTL FIN DTD 03/23/2009 DUE 03/21/2014 RATE 4.000	05/03/11	5.00	
0024300	SHELL INTL FIN DTD 03/23/2009 DUE 03/21/2014 RATE 4.000	05/20/11	14.22	
0024400	SHELL INTL FIN DTD 03/23/2009 DUE 03/21/2014 RATE 4.000	06/01/11	8.33	
0024500	SHELL INTL FIN DTD 03/23/2009 DUE 03/21/2014 RATE 4.000	06/08/11	9.11	
0024600	SHELL INTL FIN DTD 03/23/2009 DUE 03/21/2014 RATE 4.000	06/16/11	10.00	
0024700	SHELL INTL FIN DTD 03/23/2009 DUE 03/21/2014 RATE 4.000	06/27/11	11.00	
0024800	SHELL INTL FIN DTD 03/23/2009 DUE 03/21/2014 RATE 4.000	10/07/11	2.44	
0024900	TARGET CORP GLOBAL NOTE DTD 3/11/2002 DUE 03/01/2012 RATE 5.875	05/03/11	10.61	
0025000	TARGET CORP GLOBAL NOTE DTD 3/11/2002 DUE 03/01/2012 RATE 5.875	05/20/11	27.42	

2 0 1 1 Y E A R E N D S U M M A R Y



ALLEN I. GROSS CHARITABLE FND
Account Number 389-5105G-11 826

Details of Accrued Income 2011 continued

Reference number	Transaction Description	Trade Date	Interest Paid	Interest Received
120025100	TARGET CORP GLOBAL NOTE DTD 3/11/2002 DUE 03/01/2012 RATE 5.875	05/26/11	\$ 14.69	
120025200	TARGET CORP GLOBAL NOTE DTD 3/11/2002 DUE 03/01/2012 RATE 5.875	06/08/11	16.65	
120025300	TARGET CORP GLOBAL NOTE DTD 3/11/2002 DUE 03/01/2012 RATE 5.875	06/16/11	17.95	
120025400	TARGET CORP GLOBAL NOTE DTD 3/11/2002 DUE 03/01/2012 RATE 5.875	10/11/11	14.03	
120025500	TIME WARNER CABLE INC DTD 11/15/2010 DUE 02/15/2021 RATE 4.125	09/07/11	52.59	
120025600	TIME WARNER CABLE INC DTD 11/15/2010 DUE 02/15/2021 RATE 4.125	10/07/11	46.52	
120025800	U S TREASURY BONDS DTD 8/16/1993 DUE 08/15/2023 RATE 6.250	05/03/11	27.62	
120025900	U S TREASURY BONDS DTD 8/16/1993 DUE 08/15/2023 RATE 6.250	05/20/11	51.28	
120026000	U S TREASURY BONDS DTD 8/16/1993 DUE 08/15/2023 RATE 6.250	06/01/11	38.33	
120026100	U S TREASURY BONDS DTD 8/16/1993 DUE 08/15/2023 RATE 6.250	06/08/11	20.37	
120026200	U S TREASURY BONDS DTD 8/16/1993 DUE 08/15/2023 RATE 6.250	06/16/11	21.75	
120026300	U S TREASURY BONDS DTD 8/16/1993 DUE 08/15/2023 RATE 6.250	06/27/11	46.62	

2011 YEAR END SUMMARY



2011 Year End Summary

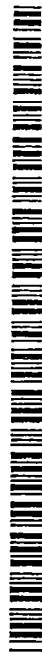
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ALLEN I. GROSS CHARITABLE FND
Account Number 389-5105G-11 826

Details of Accrued Income 2011 - continued

Reference Number	Transaction Description	Trade Date	Interest Paid	Interest Received
0026400	U S TREASURY BONDS DTD 8/16/1993 DUE 08/15/2023 RATE 6.250	10/07/11	\$ 30.06	
0026500	US TSY INFLATION INDEX BDS CPI U NON-SEASONALLY ADJ DTD 7/15/2004 DUE 01/15/2025 RATE 2.375	05/03/11	8.56	
0026600	US TSY INFLATION INDEX BDS CPI U NON-SEASONALLY ADJ DTD 7/15/2004 DUE 01/15/2025 RATE 2.375	05/06/11	8.96	
0026700	US TSY INFLATION INDEX BDS CPI U NON-SEASONALLY ADJ DTD 7/15/2004 DUE 01/15/2025 RATE 2.375	05/20/11	20.18	
0026800	US TSY INFLATION INDEX BDS CPI U NON-SEASONALLY ADJ DTD 7/15/2004 DUE 01/15/2025 RATE 2.375	06/01/11	22.11	
0026900	US TSY INFLATION INDEX BDS CPI U NON-SEASONALLY ADJ DTD 7/15/2004 DUE 01/15/2025 RATE 2.375	06/08/11	11.62	
0027000	US TSY INFLATION INDEX BDS CPI U NON-SEASONALLY ADJ DTD 7/15/2004 DUE 01/15/2025 RATE 2.375	06/16/11	12.26	
0027100	US TSY INFLATION INDEX BDS CPI U NON-SEASONALLY ADJ DTD 7/15/2004 DUE 01/15/2025 RATE 2.375	06/27/11	25.98	
0027200	US TSY INFLATION INDEX BDS CPI U NON-SEASONALLY ADJ DTD 7/15/2004 DUE 01/15/2025 RATE 2.375	10/07/11	20.91	

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2011 Year End Summary

Ref: 00000246 00032200

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5105G-11 826

Details of Accrued Income 2011 - continued

Reference number	Transaction Description	Trade Date	Interest Paid	Interest Received
120027300	U S TSY INFLATION INDEX BDS CPI U NON-SEASONALLY ADJ DTD 7/15/2004 DUE 01/15/2025 RATE 2.375	12/06/11		\$ 68.48
120027400	U S TREASURY BONDS DTD 02/15/2007 DUE 02/15/2037 RATE 4.750	05/03/11	10.50	
120027500	U S TREASURY BONDS DTD 02/15/2007 DUE 02/15/2037 RATE 4.750	05/06/11	11.15	
120027600	U S TREASURY BONDS DTD 02/15/2007 DUE 02/15/2037 RATE 4.750	05/20/11	12.99	
120027700	U S TREASURY BONDS DTD 02/15/2007 DUE 02/15/2037 RATE 4.750	06/01/11	14.56	
120027800	U S TREASURY BONDS DTD 02/15/2007 DUE 02/15/2037 RATE 4.750	06/08/11	15.48	
120027900	U S TREASURY BONDS DTD 02/15/2007 DUE 02/15/2037 RATE 4.750	06/16/11	16.53	
120028000	U S TREASURY BONDS DTD 02/15/2007 DUE 02/15/2037 RATE 4.750	06/27/11	17.71	
120028100	U S TREASURY BONDS DTD 02/15/2007 DUE 02/15/2037 RATE 4.750	10/07/11	15.23	
120028200	U S TREASURY BOND SER MAY 2038 DTD 08/15/08 DUE 05/15/2038 RATE 4.500	05/03/11	64.14	
120028300	U S TREASURY BOND SER MAY 2038 DTD 08/15/08 DUE 05/15/2038 RATE 4.500	05/09/11	22.00	
120028400	U S TREASURY BOND SER MAY 2038 DTD 08/15/08 DUE 05/15/2038 RATE 4.500	05/13/11	.37	

2 0 1 1 Y E A R E N D S U M M A R Y



2011 Year End Summary

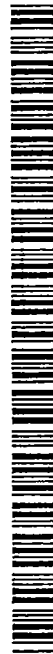
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ALLEN I. GROSS CHARITABLE FND
Account Number 389-5105G-11 826

Details of Accrued Income 2011 continued

Reference Number	Transaction Description	Trade Date	Interest Paid	Interest Received
0028500	U S TREASURY BOND SER MAY 2038 DTD 08/15/08 DUE 05/15/2038 RATE 4.500	05/20/11	\$ 3.67	
0028600	U S TREASURY BOND SER MAY 2038 DTD 08/15/08 DUE 05/15/2038 RATE 4.500	06/01/11	10.76	
0028700	U S TREASURY BOND SER MAY 2038 DTD 08/15/08 DUE 05/15/2038 RATE 4.500	06/08/11	10.64	
0028800	U S TREASURY BOND SER MAY 2038 DTD 08/15/08 DUE 05/15/2038 RATE 4.500	06/16/11	9.05	
0028900	U S TREASURY BOND SER MAY 2038 DTD 08/15/08 DUE 05/15/2038 RATE 4.500	06/27/11	16.88	
0029000	U S TREASURY BOND SER MAY 2038 DTD 08/15/08 DUE 05/15/2038 RATE 4.500	10/07/11	92.32	
0029100	U S TREASURY BOND DTD 05/15/2010 DUE 05/15/2040 RATE 4.375	05/03/11	20.79	
0029200	U S TREASURY BOND DTD 05/15/2010 DUE 05/15/2040 RATE 4.375	05/06/11	21.39	
0029300	U S TREASURY BOND DTD 05/15/2010 DUE 05/15/2040 RATE 4.375	05/13/11	.36	
0029400	U S TREASURY BOND DTD 05/15/2010 DUE 05/15/2040 RATE 4.375	06/01/11	5.23	
0029500	U S TREASURY BOND DTD 05/15/2010 DUE 05/15/2040 RATE 4.375	06/08/11	3.45	
0029600	U S TREASURY BOND DTD 05/15/2010 DUE 05/15/2040 RATE 4.375	06/16/11	4.40	

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2011 Year End Summary

Ref: 00000246 00032202

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5105G-11 826

Details of Accrued Income 2011 continued

Reference Number	Transaction Description	Trade Date	Interest Paid	Interest Received
120029700	U S TREASURY BOND DTD 05/15/2010 DUE 05/15/2040 RATE 4.375	06/27/11	\$ 5.47	
120029800	U S TREASURY BOND DTD 05/15/2010 DUE 05/15/2040 RATE 4.375	10/07/11	35.90	
120029900	U S TREASURY NOTES SER K-2012 DTD 04/30/2007 DUE 04/30/2012 RATE 4.500	05/03/11	2.20	
120030000	U S TREASURY NOTES SER K-2012 DTD 04/30/2007 DUE 04/30/2012 RATE 4.500	05/06/11	1.35	
120030100	U S TREASURY NOTES SER K-2012 DTD 04/30/2007 DUE 04/30/2012 RATE 4.500	05/20/11	12.23	
120030200	U S TREASURY NOTES SER K-2012 DTD 04/30/2007 DUE 04/30/2012 RATE 4.500	06/01/11	18.10	
120030300	U S TREASURY NOTES SER K-2012 DTD 04/30/2007 DUE 04/30/2012 RATE 4.500	06/08/11	10.76	
120030400	U S TREASURY NOTES SER K-2012 DTD 04/30/2007 DUE 04/30/2012 RATE 4.500	06/16/11	12.72	
120030500	U S TREASURY NOTES SER K-2012 DTD 04/30/2007 DUE 04/30/2012 RATE 4.500	06/27/11	22.38	
120030600	U S TREASURY NOTES SER K-2012 DTD 04/30/2007 DUE 04/30/2012 RATE 4.500	09/07/11		313.65
120030700	U S TREASURY NOTES SER C-2019 DTD 05/15/2009 DUE 05/15/2019 RATE 3.125	05/03/11	44.54	
120030800	U S TREASURY NOTES SER C-2019 DTD 05/15/2009 DUE 05/15/2019 RATE 3.125	05/06/11	30.56	

2 0 1 1 Y E A R E N D S U M M A R Y



2011 Year End Summary

ef: 00000246 00032203

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5105G-11 826

Details of Accrued Income 2011 - continued

2 0 1 1 Y E A R E N D S U M M A R Y

Reference Number	Transaction Description	Trade Date	Interest Paid	Interest Received
0030900	U S TREASURY NOTES SER C-2019 DTD 05/15/2009 DUE 05/15/2019 RATE 3.125	05/20/11	\$ 4.25	
0031000	U S TREASURY NOTES SER C-2019 DTD 05/15/2009 DUE 05/15/2019 RATE 3.125	06/01/11	9.34	
0031100	U S TREASURY NOTES SER C-2019 DTD 05/15/2009 DUE 05/15/2019 RATE 3.125	06/08/11	7.39	
0031200	U S TREASURY NOTES SER C-2019 DTD 05/15/2009 DUE 05/15/2019 RATE 3.125	06/16/11	6.28	
0031300	U S TREASURY NOTES SER C-2019 DTD 05/15/2009 DUE 05/15/2019 RATE 3.125	06/27/11	19.53	
0031400	U S TREASURY NOTES SER C-2019 DTD 05/15/2009 DUE 05/15/2019 RATE 3.125	10/07/11	64.11	
0031500	U S TREASURY NOTES SER B-2020 DTD 02/15/2010 DUE 02/15/2020 RATE 3.625	05/03/11	8.01	
0031600	U S TREASURY NOTES SER B-2020 DTD 02/15/2010 DUE 02/15/2020 RATE 3.625	05/06/11	8.51	
0031700	U S TREASURY NOTES SER B-2020 DTD 02/15/2010 DUE 02/15/2020 RATE 3.625	05/20/11	19.83	
0031800	U S TREASURY NOTES SER B-2020 DTD 02/15/2010 DUE 02/15/2020 RATE 3.625	05/23/11	20.03	
0031900	U S TREASURY NOTES SER B-2020 DTD 02/15/2010 DUE 02/15/2020 RATE 3.625	06/16/11	12.62	
0032000	U S TREASURY NOTES SER B-2020 DTD 02/15/2010 DUE 02/15/2020 RATE 3.625	06/27/11	27.04	



2011 Year End Summary

Ref: 00000246 00032204

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5105G-11 826

Details of Accrued Income 2011 - continued

Reference number	Transaction Description	Trade Date	Interest Paid	Interest Received
120032100	U S TREASURY NOTES SER B-2020 DTD 02/15/2010 DUE 02/15/2020 RATE 3.625	10/07/11	\$ 11.62	
120032200	U S TREASURY NOTES SER B-2020 DTD 02/15/2010 DUE 02/15/2020 RATE 3.625	10/21/11		78.02
120032300	U S TREASURY NOTES SER C-2020 DTD 05/15/2010 DUE 05/15/2020 RATE 3.500	05/03/11	33.26	
120032400	U S TREASURY NOTES SER C-2020 DTD 05/15/2010 DUE 05/15/2020 RATE 3.500	05/06/11	17.11	
120032500	U S TREASURY NOTES SER C-2020 DTD 05/15/2010 DUE 05/15/2020 RATE 3.500	05/20/11	2.85	
120032600	U S TREASURY NOTES SER C-2020 DTD 05/15/2010 DUE 05/15/2020 RATE 3.500	06/01/11	4.18	
120032700	U S TREASURY NOTES SER C-2020 DTD 05/15/2010 DUE 05/15/2020 RATE 3.500	06/08/11	5.52	
120032800	U S TREASURY NOTES SER C-2020 DTD 05/15/2010 DUE 05/15/2020 RATE 3.500	06/16/11	7.04	
120032900	U S TREASURY NOTES SER C-2020 DTD 05/15/2010 DUE 05/15/2020 RATE 3.500	06/27/11	8.75	
120033000	U S TREASURY NOTES SER C-2020 DTD 05/15/2010 DUE 05/15/2020 RATE 3.500	10/07/11	43.08	
120033100	U S TREASURY NOTES SER E-2020 DTD 08/16/2010 DUE 08/15/2020 RATE 2.625	05/03/11	11.60	
120033200	U S TREASURY NOTES SER E-2020 DTD 08/16/2010 DUE 08/15/2020 RATE 2.625	05/06/11	6.16	

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2011 Year End Summary

ef: 00000245 00032205

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5105G-11 826

Details of Accrued Income 2011 - continued

Reference number	Transaction Description	Trade Date	Interest Paid	Interest Received
0033300	U S TREASURY NOTES SER E-2020 DTD 08/16/2010 DUE 08/15/2020 RATE 2.625	05/20/11	\$ 28.72	
0033400	U S TREASURY NOTES SER E-2020 DTD 08/16/2010 DUE 08/15/2020 RATE 2.625	06/01/11	24.15	
0033500	U S TREASURY NOTES SER E-2020 DTD 08/16/2010 DUE 08/15/2020 RATE 2.625	06/08/11	17.11	
0033600	U S TREASURY NOTES SER E-2020 DTD 08/16/2010 DUE 08/15/2020 RATE 2.625	06/16/11	18.27	
0033700	U S TREASURY NOTES SER E-2020 DTD 08/16/2010 DUE 08/15/2020 RATE 2.625	06/27/11	19.58	
0033800	U S TREASURY NOTES SER E-2020 DTD 08/16/2010 DUE 08/15/2020 RATE 2.625	10/07/11	16.83	
0033900	U S TREASURY NOTES SER Q-2017 DTD 09/30/2010 DUE 09/30/2017 RATE 1.875	05/03/11	7.38	
0034000	U S TREASURY NOTES SER Q-2017 DTD 09/30/2010 DUE 09/30/2017 RATE 1.875	05/06/11	4.20	
0034100	U S TREASURY NOTES SER Q-2017 DTD 09/30/2010 DUE 09/30/2017 RATE 1.875	05/20/11	14.09	
0034200	U S TREASURY NOTES SER Q-2017 DTD 09/30/2010 DUE 09/30/2017 RATE 1.875	06/01/11	20.59	
0034300	U S TREASURY NOTES SER Q-2017 DTD 09/30/2010 DUE 09/30/2017 RATE 1.875	06/08/11	11.37	
0034400	U S TREASURY NOTES SER Q-2017 DTD 09/30/2010 DUE 09/30/2017 RATE 1.875	06/16/11	8.40	

2 0 1 1 Y E A R E N D S U M M A R Y



2011 Year End Summary

Ref: 00000246 00032206

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5105G-11 826

Details of Accrued Income 2011 - continued

2 0 1 1 Y E A R E N D S U M M A R Y

Reference number	Transaction Description	Trade Date	Interest Paid	Interest Received
120034500	U S TREASURY NOTES SER Q-2017 DTD 09/30/2010 DUE 09/30/2017 RATE 1.875	06/27/11	\$ 23.31	
120034600	U S TREASURY NOTES SER Q-2017 DTD 09/30/2010 DUE 09/30/2017 RATE 1.875	10/07/11	4.66	
120034700	U S TREASURY NOTES SER AE-2016 DTD 11/30/2011 DUE 11/30/2016 RATE 0.875	12/06/11	4.09	
120034800	VERIZON COMMUNICATIONS BOOK/ENTRY DTD 04/04/2008 DUE 04/15/2013 RATE 5.250	05/03/11	3.06	
120034900	VERIZON COMMUNICATIONS BOOK/ENTRY DTD 04/04/2008 DUE 04/15/2013 RATE 5.250	05/20/11	11.67	
120035000	VERIZON COMMUNICATIONS BOOK/ENTRY DTD 04/04/2008 DUE 04/15/2013 RATE 5.250	06/01/11	7.44	
120035100	VERIZON COMMUNICATIONS BOOK/ENTRY DTD 04/04/2008 DUE 04/15/2013 RATE 5.250	06/08/11	8.46	
120035200	VERIZON COMMUNICATIONS BOOK/ENTRY DTD 04/04/2008 DUE 04/15/2013 RATE 5.250	06/16/11	9.63	
120035300	VERIZON COMMUNICATIONS BOOK/ENTRY DTD 04/04/2008 DUE 04/15/2013 RATE 5.250	09/07/11		128.63
120035400	VERIZON COMMUNICATIONS INC DTD 03/28/2011 DUE 04/01/2021 RATE 4.600	09/07/11	314.33	



Morgan Stanley Smith Barney

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2011 Year End Summary

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ALLEN I. GROSS CHARITABLE FND
Account Number 389-5105G-11 826

Details of Accrued Income 2011 - continued

Reference number	Transaction Description	Trade Date	Interest Paid	Interest Received
3035500	VERIZON COMMUNICATIONS INC DTD 03/28/2011 DUE 04/01/2011 RATE 4.600	10/07/11	\$ 3.07	
3035600	VODAFONE GROUP PLC DTD 3/16/06 DUE 06/15/2011 RATE 5.500	05/03/11	21.54	
3035700	VODAFONE GROUP PLC DTD 3/16/06 DUE 06/15/2011 RATE 5.500	05/20/11	24.44	
3035800	VODAFONE GROUP PLC DTD 3/16/06 DUE 06/15/2011 RATE 5.500	06/08/11	27.19	
Total			\$ 6,420.37	\$ 622.95

Details of Deposits and Withdrawals 2011

This section reflects any deposits or withdrawals made to your account during the year.

Deposits

Reference number	Date	Description	Amount
0000100	03/15/11	FROM 389-4164G-01 TO 389-5105G-01	\$ 300,000.00
0000300	05/31/11	FROM 389-4164G-01 TO 389-5105G-01	240,000.00
0000500	10/04/11	FROM 389-4164G-01 TO 389-5105G-01	100,000.00
Total			\$ 875,000.00

Withdrawals

Reference number	Date	Description	Referral number	Amount
0000100	03/25/11	FROM 389-5105G-01 TO 389-4164G-01		\$ 300,000.00
220000200	05/02/11	CONSULTING & ADVISORY SERVICES FROM 04/28/11 TO 05/31/11		\$ 302.73



2011 Year End Summary

Ref: 00000246 00032208

ALLEN I. GROSS CHARITABLE FND
Account Number 389-5105G-11 826

Details of Deposits and Withdrawals 2011 - continued

Withdrawals - continued

Reference number	Date	Description	Referral number	Amount
220000300	06/17/11	CONSULTING & ADVISORY SERVICES FROM 06/01/11 TO 08/31/11		\$ 1,215.26
220000500	09/16/11	CONSULTING & ADVISORY SERVICES FROM 09/01/11 TO 11/30/11		1,396.26
220000700	12/16/11	CONSULTING & ADVISORY SERVICES FROM 12/01/11 TO 02/29/12		1,559.92
Total				\$ 304,600.60

Reference number	Date	Description	Referral number	Amount
220000400	08/31/11	CONSULTING & ADVISORY SERVICES FROM 08/19/11 TO 08/31/11		\$ 23.15
220000600	10/31/11	CONSULTING & ADVISORY SERVICES FROM 10/04/11 TO 11/30/11		103.28

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2011 Year End Summary

M11000000246 311381AM01 SOVR50S1A
ALLEN I. GROSS
CHARITABLE FOUNDATION
ATTN: EDITH GROSS
50 BROADWAY 3RD FLOOR
NEW YORK NY 10004-1607

Account Number 389-4164G-19 826

389
826

*We are pleased to provide you with this
overview of the year's activity in your account.
This information will help you understand the
performance of your investments and manage your
account with the assistance of your
Financial Advisor.*

Your Financial Advisor

Brian Bennett/Marc Hurwitz
MORGANSTANLEY SMITHBARNEY LLC
101 PARK AVENUE
24TH FLOOR
NEW YORK NY 10178
Branch Office : 212 503 1178

*If you have questions about your Year End Summary,
call toll-free Monday through Friday,
9:00 a.m. to 5:00 p.m. EST., 1-800-727-1099*



2011 Year End Summary

Ref: 00000246 00032104

Account Number 389-4164G-19 826

Value of your portfolio

Description	Amount
Combined account balance	\$ 707.50
Money funds	417,006.58
Accrued bond / CD Interest	18,086.63
Mutual funds	115,011.88
Municipal bonds	617,550.35
Government bonds	699,944.00
Corporate bonds	472,806.50
International bonds	292,197.10
Value of your account on 12/31/11	\$ 2,633,310.54
Value of your account on 12/31/10	\$ 4,780,906.46

Interest you paid 2011

Description	Amount
Accrued Interest	\$ 21,229.31

Earnings summary 2011

Description	Amount
Interest *	\$ 69,234.90
Dividends **	4,296.20
Qualified Dividends	23.10
Total	\$ 73,554.20

*If you received accrued interest or interest exempt from Federal income tax, they are included in this amount.

**If you received money funds earnings, capital gain distributions or dividends exempt from Federal income tax, they are included in this amount.

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2011 Year End Summary

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ALLEN I. GROSS
Account Number 389-4164G-19 826

Details of Earnings 2011

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Interest amounts include accrued interest received and interest exempt from Federal income tax.

Reference number	Description	Interest	Alternative minimum tax
140000100	013817AV30R0 ALCOA INC DTD 04/21/2011 DUE 04/15/2021 RATE 5.400	\$ 1,305.00	
140000200	03938LAQ70B1 *** ARCELORMITTAL DTD 08/05/2010 DUE 08/05/2020 RATE 5.250	2,825.00	
140000300	06739GBP30B1 *** BARCLAYS BANK PLC DTD 20101014 DUE 10/14/2020 RATE 5.140	5,140.00	
140000400	167486MD80B0 CHICAGO ILL MODERN SCHS-BABS-DIRECT PAYMENT U/T TXBLE B/E GO DD 8/26/10 DUE 12/01/2024 RATE 5.064	2,574.20	
140000500	167505KG00B0 CHICAGO ILL BRD ED SER 2009 E-BUILD AMERICA BNDG-DIRECT U/T TXBLE B/E GO DD 9/24/09 DUE 12/01/2029 RATE 6.038	3,019.00	
140000600	167505NG70B0 CHICAGO ILL BRD ED U/T TXBLE B/E GO DD 11/2/10 F/C 5/1/11 DUE 11/01/2029 RATE 6.319	4,725.09	
140000700	19668QDD40B0 COLORADO ST BLDG EXCELLENT SCHS TODAY CTFS PARTN TXBLE B/E REV DD 3/16/10 DUE 03/15/2030 RATE 6.242	624.20	



Ref: 00000246 00032106

ALLEN I. GROSS

Account Number 389-4164G-19 826

Details of Earnings 2011 - continued

Interest amounts include accrued interest received and interest exempt from Federal income tax.

Interest - continued	Reference number	Description	Interest	Alternative minimum tax
	140000800	213687KA30B0 COOK CNTY ILL CMNTY CONS SCH L/T TXBLE B/E GO DD 11/4/10 F/C 6/1/11 DUE 12/01/2027 RATE 5.100	\$ 2,745.50	
	140000900	22546QAD90B1 *** CREDIT SUISSE BOOK/ENTRY DTD 01/14/2010 DUE 01/14/2020 RATE 5.400	1,215.00	
	140001000	358782AX80B0 FRISCO TEX ECONOMIC DEV CORP SALES TAX REV AMBAC TXBL OID B/E DD 10/15/2007 DUE 02/15/2030 RATE 6.300	787.50	
	140001100	3704A05X10B0 GENERAL MOTORS ACCEPT CORP SMARTNOTES BK/ENTRY STEP UP DTD 11/02/2004 DUE 11/15/2016 RATE 6.750	3,375.00	
	140001200	37247DAP10R0 GENWORTH FINANCIAL INC DTD 03/25/2011 DUE 09/24/2021 RATE 7.625	2,274.79	
	140001300	392274PZ30B0 GREATER ORLANDO FL AVIATION AU ARPT FACS REV-A-AMT-FGIC-OID BK ENTRY-DD 6/1/99 F/C 10/1/99 DUE 10/01/2028 RATE 5.125	1,887.71	1,887.71 *
	140001400	410867AD70B0 HANOVER INSURANCE GROUP INC/JTH DTD 06/17/2011 DUE 06/15/2021 RATE 6.375	1,576.04	

2011 YEAR END SUMMARY



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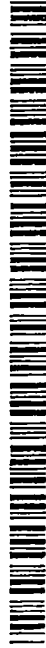
ALLEN I. GROSS
Account Number 389-4164G-19 826

Details of Earnings 2011 - continued

Interest amounts include accrued interest received and interest exempt from Federal income tax.

Reference number	Description	Interest	Alternative minimum tax
140001500	472149BJ10B0 JEA FLA BULK PWR SUPPLY SYS REV TAXABLE-SCHERER 4 PJ-SER A TXBLE B/E REV DD 4/6/10 DUE 10/01/2025 RATE 5.450	\$ 681.25	
140001600	5447122H40B0 LOS ANGELES CNTY CALIF MET TXBLE B/E REV DD 11/16/10 F/C 6/1/11 DUE 06/01/2039 RATE 5.735	1,274.43	
140001700	631103AD00B0 NASDAQ OMX GROUP BOOK/ENTRY DTD 01/15/2010 DUE 01/15/2020 RATE 5.550	2,081.25	
140001800	63165TFT70B0 NASSAU CNTY N Y TAXABLE-GEN U/T TXBLE B/E GO DD 12/16/10 F/C 4/1/11 DUE 10/01/2027 RATE 6.800	1,076.66	
140001900	64580AAM30B0 NEW JERSEY HLTH CRE FACS FING AUTH ST CONTRACT HSP ASSET TRANSFORMATION PG-A D7/31/08 DUE 10/01/2028 RATE 5.000	2,200.00	
140002000	646136XS50B0 NEW JERSEY ST TRANSN TR FD AUTH SER C-BUILD AMER TXBLE B/E REV DD 10/21/10 DUE 12/15/2028 RATE 5.754	4,507.30	
140002100	646136XT30B0 NEW JERSEY ST TRANSN TR FD AUTH SER C-BUILD AMER TXBLE B/E REV DD 10/21/10 DUE 12/15/2028 RATE 6.104	6,104.00	

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2011 Year End Summary

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ALLEN I. GROSS

Account Number 389-4164G-19 826

Details of Earnings 2011 - continued

Interest amounts include accrued interest received and interest exempt from Federal income tax.

Interest - continued	Reference number	Description	Interest	Alternative minimum tax
	140002200	64966HMAV90B0 NEW YORK N Y U/T TXBLE B/E GO DD 10/15/09 R/MD 5.206 10/01/2031 DUE 10/01/2031 RATE 5.206	\$ 1,544.45	
	140002300	64966H4L10B0 NEW YORK N Y FOR PRIOR ISSUES U/T TXBLE B/E GO DD 10/20/10 F/C 4/1/11 DUE 10/01/2031 RATE 5.817	727.13	
	140002400	64966JAS50B0 NEW YORK N Y FOR PRIOR ISSUES U/T TXBLE B/E GO DD 12/21/10 F/C 6/1/11 DUE 12/01/2031 RATE 6.846	3,138.39	
	140002500	649670KM70B0 NEW YORK CITY EDL CONSTR FD REV TAXABLE-SER A-BUILD AMER TXBLE B/E REV OID DD 4/28/10 DUE 04/01/2028 RATE 5.650	706.25	
	140002600	64971M4N90B0 NEW YORK N Y CITY TRANSITIONAL FIN AUTH REV FOR ISSUES DTD TXBLE B/E REV DD 8/16/10 DUE 08/01/2030 RATE 5.808	4,404.40	
	140002700	654902AB10B1 *** NOKIA CORP DTD 05/07/2009 DUE 05/15/2019 RATE 5.375	1,343.75	
	140002800	68583RCT70R0 OREGON CMNTY COLLEGE DIST'S SER U/T TXBLE FGIC/BHAC REG REV DD 4/23/03 DUE 06/30/2024 RATE 5.660	1,415.00	

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2011 Year End Summary

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ALLEN I. GROSS
Account Number 389-4164G-19 826

Details of Earnings 2011 - continued

Interest amounts include accrued interest received and interest exempt from Federal income tax.

Reference number	Description	Interest	Alternative minimum tax
140002900	85732PBZ20B0 STATE PUB SCH BLDG AUTH PA REV TXBLE B/E REV DD 10/6/10 F/C 3/15/11 DUE 09/15/2027 RATE 5.000	\$ 2,000.00	
140003000	912785VD00B0 U S TREASURY BILLS DTD 04/08/2010 R/M D 04/07/2011	63.83	
140003100	912785V400B0 U S TREASURY BILLS DTD 02/11/2010 R/M D 0.00 02/10/2011	145.21	
140003200	912785V990B0 U S TREASURY BILLS DTD 03/11/2010 R/M D 0.00 03/10/2011	24.21	
140003300	912785X220B0 U S TREASURY BILLS DTD 07/01/2010 R/M D 0.00 06/30/2011	2.41	
140003400	912785X890B0 U S TREASURY BILLS DTD 07/22/2010 R/M D 0.00 01/20/2011	.10	
140003500	912785Y210B0 U S TREASURY BILLS DTD 08/05/2010 R/M D 0.00 02/03/2011	59.96	
140003600	912785ZB60B0 U S TREASURY BILLS DTD 8/19/2010 R/M D 0.00 02/17/2011	1.92	

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MorganStanley SmithBarney

2011 Year End Summary

Ref: 00000246 00032110

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ALLEN I. GROSS

Account Number 389-4164G-19 826

Details of Earnings 2011 - continued

Interest amounts include accrued interest received and interest exempt from Federal income tax.

Interest - continued	Reference number	Description	Interest	Alternative minimum tax
	140003700	9127952C40B0 U S TREASURY BILLS DTD 08/28/08 R/M/D 0.00 02/24/2011	\$ 20.32	
	140003800	9127952D20B0 U S TREASURY BILLS DTD 09/02/2010 R/M/D 0.00 03/03/2011	26.11	
	140003900	9127952G50B0 U S TREASURY BILL DTD 09/16/2010 R/M/D 0.00 03/17/2011	16.65	
	140004000	9127952J90B0 U S TREASURY BILLS DTD 09/30/2010 R/M/D 0.00 03/31/2011	60.19	
	140004100	9127952L40B0 U S TREASURY BILLS DTD 10/04/2010 R/M/D 0.00 04/14/2011	4.90	
	140004200	9127952N00B0 U S TREASURY BILLS DTD 10/28/2010 R/M/D 0.00 04/28/2011	.87	
	140004300	9127953M10B0 U S TREASURY BILLS DTD 04/07/2011 R/M/D 0.00 10/06/2011	23.10	
	140004400	97063PAB00R1 *** WILLIS GROUP HOLDINGS PLC DTD 03/17/2011 DUE 03/15/2021 RATE 5.750	1,705.83	
Totals			\$ 69,234.90	\$ 1,887.71

*This amount is the portion of "Interest" that is subject to the Alternative Minimum Tax.



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ALLEN I. GROSS
Account Number 389-4164G-19 826

Details of Earnings 2011 continued

Dividends and Distributions, Section 1

Accounts displayed for dividends include dividend reinvestments, money fund earnings, accrued dividends received and dividends exempt from Federal income tax. Total dividends includes both Qualified and non-qualified dividends received. Total capital gain distributions is a total of long term capital gain distributions, Unrecaptured Sec. 1250 gain and Section 1202 gain.

Reference Number	Description	Total dividends	Qualified dividends	Short term capital gains	Total capital gain distributions	Unrecaptured Sec. 1250 gain	Section 1202 gain	Alternative minimum tax
0000100	004940102000 MORGAN STANLEY AA MONEY TRUST	\$ 45.34						
0000200	52470R771000 WESTERN ASSET NEW YORK MUNI MONEY MARKET FUND CL A	.87						
0000300	68381K309000 OPPENHEIMER SENIOR FLOATING RATE FUND CL C A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	4,273.09	23.10					
Totals		\$ 4,319.30	\$ 23.10					

Details of Short Term Gain (Loss) 2011

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, though cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. For additional information regarding this section see enclosed brochure.

Reference Number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
5000010	60,000	CHICAGO ILL MODERN U/T TXBLE B/E GO DD 8/26/10 F/C 12/1/10 DUE 12/01/2024 RATE 5.064	04/14/11	10/03/11	\$ 60,599.40	\$ 54,006.00 \$ 54,006.00	\$ 6,593.40 \$ 6,593.40	\$ 132.00 \$ 6,461.40
5000020	60,000	COOK CNTY ILL CMNTY CONS SCH U/T TXBLE B/E GO DD 11/4/10 F/C 6/1/11 DUE 12/01/2027 RATE 5.100	05/10/11	09/22/11	61,719.00	55,506.00 55,506.00	6,213.00 6,213.00	58.20 6,154.80



2011 Year End Summary

ALLEN I. GROSS

Account Number 389-4164G-19 826

Details of Short Term Gain (Loss) 2011 - continued

Reference Number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000030	75,000	CREDIT SUISSE BOOK/ENTRY DTD 01/14/2010 DUE 01/14/2020 RATE 5.400	10/03/11	10/28/11	\$ 75,309.75	\$ 72,665.25 \$ 72,665.25	\$ 2,644.50 \$ 2,644.50	\$ 15.75 \$ 2,628.75
125000040	65,000	GREATER ORLANDO FL AVIATION AU ARPT FACS REV-A-AMT-FGIC-OID BK ENTRY-DD 6/1/99 F/C 10/1/99 DUE 10/01/2028 RATE 5.125	05/09/11	10/25/11	65,000.00	63,218.50 65,000.00	1,781.50 0.00	0.00 0.00
125000050	25,000	LOS ANGELES CNTY CALIF MET TXBLE B/E REV DD 11/16/10 F/C 6/1/11 DUE 06/01/2039 RATE 5.735	03/15/11	10/03/11	27,922.50	24,590.00 24,590.00	3,332.50 3,332.50	0.00 3,332.50
125000060	90,000	NEW JERSEY HLTH CRE FACS FING AUTH ST CONTRACT HSP ASSET TRANSFORMATION PG-A D7/31/08 DUE 10/01/2028 RATE 5.000	04/18/11	09/22/11	91,753.50	86,136.00 86,136.00	5,617.50 5,617.50	0.00 5,617.50
125000070	100,000	NEW JERSEY ST TRANSN TR FD TXBLE B/E REV DD 10/21/10 F/C 12/15/10 DUE 12/15/2028 RATE 5.754	03/01/11	09/22/11	106,750.00	99,790.00 99,790.00	6,960.00 6,960.00	0.00 6,960.00
125000080	30,000	NEW YORK N Y FOR PRIOR ISSUES SEE 64966G ETC FOR FUTURE U/T TXBLE B/E GO DD 10/15/09 DUE 10/01/2031 RATE 5.206	03/21/11	09/22/11	30,863.40	28,581.00 28,581.00	2,282.40 2,282.40	0.00 2,282.40
125000090	100,000	NEW YORK N Y CITY TRANSITIONAL TXBLE B/E REV DD 8/16/10 F/C 2/1/11 DUE 08/01/2030 RATE 5.808	03/21/11	11/01/11	107,030.00	100,384.00 100,382.00	6,646.00 6,668.00	0.00 6,668.00
125000100	75,000	STATE PUB SCH BLDG AUTH PA REV TXBLE B/E REV DD 10/6/10 F/C 3/15/11 DUE 09/15/2027 RATE 5.000	03/30/11	09/22/11	78,706.50	68,196.75 68,196.75	10,509.75 10,509.75	123.00 10,386.75
125000110	100,000	U S TREASURY BILLS DTD 04/08/2010 DUE 04/07/2011 YIELD .034MTY	02/28/11	03/30/11	99,999.34	99,992.91 99,998.00	6.43 1.34	1.34 0.00

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ALLEN I. GROSS
Account Number 389-4164G-19 826

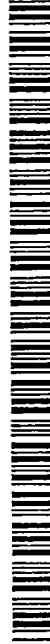
Details of Short Term Gain (Loss) 2011 continued

Reference Number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
5000120	55,000	U S TREASURY BILLS DTD 07/01/2010 DUE 06/30/2011 YIELD .062MTY	06/03/11	06/14/11	\$ 54,998.60	\$ 54,999.63 \$ 54,999.45	(\$ 1.03) (\$.85)	\$ 0.00 (\$.85)
tal					\$ 860,651.99	\$ 808,066.04 \$ 809,830.45	\$ 52,585.95 \$ 50,821.54	\$ 330.29 \$ 50,491.25

Details of Accrued Income 2011

This section shows your accrued income paid or received as a result of purchases and sales.

Reference Number	Transaction Description	Trade Date	Interest Paid	Interest Received
3000200	ARCELOORMITTAL DTD 08/05/2010 DUE 08/05/2020 RATE 5.250	03/02/11	\$ 466.67	
3000300	BARCLAYS BANK PLC DTD 20101014 DUE 10/14/2020 RATE 5.140	03/01/11	1,998.89	
3000400	CHICAGO ILL MODERN U/T TXBLE B/E GO DD 8/26/10 F/C 12/1/10 DUE 12/01/2024 RATE 5.064	04/14/11	1,164.72	
3000500	CHICAGO ILL MODERN U/T TXBLE B/E GO DD 8/26/10 F/C 12/1/10 DUE 12/01/2024 RATE 5.064	10/03/11		1,055.00
3000600	CHICAGO ILL BRD ED SER 2009 U/T TXBLE B/E GO DD 9/24/09 F/C 6/1/10 DUE 12/01/2029 RATE 6.038	04/27/11	1,266.30	
3000700	CHICAGO ILL BRD ED U/T TXBLE B/E GO DD 11/2/10 F/C 5/1/11 DUE 11/01/2029 RATE 6.319	03/15/11	1,790.38	



2011 Year End Summary

Ref: 00000246 00032114

ALLEN I. GROSS

Account Number 389-4164G-19 826

Details of Accrued Income 2011 - continued

Reference number	Transaction Description	Trade Date	Interest Paid	Interest Received
120000800	COLORADO ST BLDG EXCELLENT TXBLE B/E REV DD 3/16/10 F/C 9/15/10 DUE 03/15/2030 RATE 6.242	05/03/11	\$ 176.86	
120000900	COOK CNTY ILL TAXABLE-SER C U/T TXBLE B/E GO DD 10/27/11 F/C 5/15/12 DUE 11/15/2033 RATE 6.205	10/28/11	64.64	
120001000	COOK CNTY ILL CMNTY CONS SCH L/T TXBLE B/E GO DD 11/4/10 F/C 6/1/11 DUE 12/01/2027 RATE 5.100	05/10/11	1,606.50	
120001100	COOK CNTY ILL CMNTY CONS SCH L/T TXBLE B/E GO DD 11/4/10 F/C 6/1/11 DUE 12/01/2027 RATE 5.100	09/22/11		986.00
120001200	CREDIT SUISSE BOOK/ENTRY DTD 01/14/2010 DUE 01/14/2020 RATE 5.400	10/03/11	922.50	
120001300	CREDIT SUISSE BOOK/ENTRY DTD 01/14/2010 DUE 01/14/2020 RATE 5.400	10/28/11		1,215.00
120001400	FRISCO TEX ECONOMIC DEV CORP SALES TAX REV AMBAC TXBL OID B/E DD 10/15/2007 DUE 02/15/2030 RATE 6.300	03/21/11	170.63	
120001500	GENERAL MOTORS ACCEPT CORP SMARTNOTES BK/ENTRY STEP UP DTD 11/02/2004 DUE 11/15/2016 RATE 6.750	03/01/11	1,021.88	
120001600	GENWORTH FINANCIAL INC DTD 03/25/2011 DUE 09/24/2021 RATE 7.625	03/24/11	50.83	
120001700	GOLDMAN SACHS GROUP INC DUE 07/27/2021 RATE 5.250	08/29/11	495.83	

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MorganStanley SmithBarney

2011 Year End Summary

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ALLEN I. GROSS

Account Number 389-4164G-19 826

Details of Accrued Income 2011 continued

2 0 1 1 Y E A R E N D S U M M A R Y

Transaction Number	Transaction Description	Trade Date	Interest Paid	Interest Received
0001800	GREATER ORLANDO FL AVIATION AU ARPT FACS REV-A-AMT-FGIC-OID BK ENTRY-DD 6/1/99 F/C 10/1/99 DUE 10/01/2028 RATE 5.125	05/09/11	\$ 379.39	
0002000	GREATER ORLANDO FL AVIATION AU ARPT FACS REV-A-AMT-FGIC-OID BK ENTRY-DD 6/1/99 F/C 10/1/99 DUE 10/01/2028 RATE 5.125	10/25/11		222.08
0002100	HANOVER INSURANCE GROUP INC/TH DTD 06/17/2011 DUE 06/15/2021 RATE 6.375	06/29/11	159.38	
0002200	JEA FLA BULK PWR SUPPLY SYS TXBLE B/E REV DD 4/6/10 F/C 10/1/10 DUE 10/01/2025 RATE 5.450	04/07/11	41.63	
0002300	LOS ANGELES CNTY CALIF MET TXBLE B/E REV DD 11/16/10 F/C 6/1/11 DUE 06/01/2039 RATE 5.735	03/15/11	485.88	
0002400	LOS ANGELES CNTY CALIF MET TXBLE B/E REV DD 11/16/10 F/C 6/1/11 DUE 06/01/2039 RATE 5.735	10/03/11		497.83
0002500	MORGAN STANLEY BOOK/ENTRY DTD 09/23/2009 DUE 09/23/2019 RATE 5.625	11/02/11	687.50	
0002600	NASDAQ OMX GROUP BOOK/ENTRY DTD 01/15/2010 DUE 01/15/2020 RATE 5.550	03/21/11	797.81	
0002700	NASSAU CNTY N Y TAXABLE-GEN U/T TXBLE B/E GO DD 12/16/10 F/C 4/1/11 DUE 10/01/2027 RATE 6.800	03/16/11	358.89	



2011 Year End Summary

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ALLEN I. GROSS

Account Number 389-4164G-19 826

Details of Accrued Income 2011 - continued

Reference number	Transaction Description	Trade Date	Interest Paid	Interest Received
120002800	NEW JERSEY HLTH CRE FACS FING AUTH ST CONTRACT HSP ASSET TRANSFORMATION PG-A D7/31/08 DUE 10/01/2028 RATE 5.000	04/18/11	\$ 250.00	
120002900	NEW JERSEY HLTH CRE FACS FING AUTH ST CONTRACT HSP ASSET TRANSFORMATION PG-A D7/31/08 DUE 10/01/2028 RATE 5.000	09/22/11		2,200.00
120003000	NEW JERSEY ST TRANSN TR FD TXBLE B/E REV DD 10/21/10 F/C 12/15/10 DUE 12/15/2028 RATE 5.754	03/01/11	1,262.68	
120003100	NEW JERSEY ST TRANSN TR FD TXBLE B/E REV DD 10/21/10 F/C 12/15/10 DUE 12/15/2028 RATE 5.754	09/22/11		1,630.30
120003200	NEW JERSEY ST TRANSN TR FD TXBLE B/E REV DD 10/21/10 F/C 12/15/10 DUE 12/15/2028 RATE 6.104	03/29/11	898.64	
120003300	NEW JERSEY ST TRANSN TR FD TXBLE B/E REV DD 10/21/10 F/C 12/15/10 DUE 12/15/2028 RATE 6.104	04/11/11	1,008.86	
120003400	NEW YORK N Y FOR PRIOR ISSUES U/T TXBLE B/E GO DD 10/15/09 F/C 4/1/10 DUE 10/01/2031 RATE 5.206	03/21/11	750.53	
120003500	NEW YORK N Y FOR PRIOR ISSUES SEE 64966G ETC FOR FUTURE U/T TXBLE B/E GO DD 10/15/09 DUE 10/01/2031 RATE 5.206	09/22/11		763.55
120003600	NEW YORK N Y FOR PRIOR ISSUES U/T TXBLE B/E GO DD 10/20/10 F/C 4/1/11 DUE 10/01/2031 RATE 5.817	05/09/11	165.62	

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2011 Year End Summary

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ALLEN I. GROSS
Account Number 389-4164G-19 826

Details of Accrued Income 2011 - continued

Reference Number	Transaction Description	Trade Date	Interest Paid	Interest Received
0003700	NEW YORK N Y FOR PRIOR ISSUES U/T TXBLE B/E GO DD 12/21/10 F/C 6/1/11 DUE 12/01/2031 RATE 6.646	03/16/11	\$ 830.75	
0003800	NEW YORK CITY EDL CONSTR FD TXBLE B/E REV OID DD 4/28/10 F/C 10/1/10 DUE 04/01/2028 RATE 5.650	05/03/11	137.33	
0003900	NEW YORK N Y CITY TRANSITIONAL TXBLE B/E REV DD 8/16/10 F/C 2/1/11 DUE 08/01/2030 RATE 5.808	03/21/11	855.07	
0004000	NEW YORK N Y CITY TRANSITIONAL TXBLE B/E REV DD 8/16/10 F/C 2/1/11 DUE 08/01/2030 RATE 5.808	11/01/11		1,500.40
0004100	NOKIA CORP DTD 05/07/2009 DUE 05/15/2019 RATE 5.375	08/08/11	209.03	
0004400	OREGON CMNTY COLLEGE DIST SERS L/T TXBLE FGIC/BHAC REG REV DD 4/23/03 DUE 06/30/2024 RATE 5.660	03/07/11	275.14	
0004500	SACRAMENTO CNTY CALIF PENSION TXBLE AGM B/E REV DD 10/13/11 F/C 2/1/12 DUE 08/01/2024 RATE 6.625	10/31/11	165.63	
0004600	STATE PUB SCH BLDG AUTH PA REV TXBLE B/E REV DD 10/6/10 F/C 3/15/11 DUE 09/15/2027 RATE 5.000	03/30/11	197.92	

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2011 Year End Summary

Ref: 00000246 00032118

ALLEN I. GROSS
Account Number 389-4164G-19 826

Details of Accrued Income 2011 - continued

Reference number	Transaction Description	Trade Date	Interest Paid	Interest Received
120004700	STATE PUB SCH BLDG AUTH PA REV TXBLE B/E REV DD 10/6/10 F/C 3/15/11 DUE 09/15/2027 RATE 5.000	09/22/11		\$ 125.00
120010300	WILLIS GROUP HOLDINGS PLC DTD 03/17/2011 DUE 03/15/2021 RATE 5.750	03/24/11	115.00	
Total			\$ 21,229.31	\$ 10,185.16

Details of Deposits and Withdrawals 2011

This section reflects any deposits or withdrawals made to your account during the year.

Deposits

Reference number	Date	Description	Amount
210000100	02/09/11	TO ADJUST BALANCE	\$ 150.00
Total			\$ 300,150.00

Withdrawals

Reference number	Date	Description	Referral number	Amount
220000100	01/26/11	FMA ANNUAL FEE AS OF 12/10		\$ 150.00
220000300	03/15/11	FROM 389-4164G-01 TO 389-5100G-01		90,000.00
220000500	03/15/11	FROM 389-4164G-01 TO 389-5102G-01		53,000.00
220000700	03/15/11	FROM 389-4164G-01 TO 389-5105G-01		300,000.00
220000900	04/18/11	FROM 389-4164G-01 TO 389-4174G-01		50,000.00

Reference number	Date	Description	Amount
210000200	03/25/11	FROM 389-5105G-01 TO 389-4164G-01	\$ 300,000.00

Reference number	Date	Description	Referral number	Amount
220000200	03/15/11	FROM 389-4164G-01 TO 389-4174G-01		\$ 50,000.00
220000400	03/15/11	FROM 389-4164G-01 TO 389-5101G-01		70,000.00
220000600	03/15/11	FROM 389-4164G-01 TO 389-5104G-01		300,000.00
220000800	03/25/11	LATE PAYMENT FEE FOR 2 DAYS FROM 02/22 THRU 03/20 @ 8.25% AVERAGE BALANCE		55.10 118,430.80
220001000	04/18/11	FROM 389-4164G-01 TO 389-5101G-01		30,000.00



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2011 Year End Summary

f: 00000246 00032119

ALLEN I. GROSS
Account Number 389-4164G-19 826

Details of Deposits and Withdrawals 2011 - continued

Withdrawals - continued

Reference number	Date	Description	Referral number	Amount
0001100	04/18/11	FROM 389-4164G-01 TO 389-5102G-01		\$ 50,000.00
0001300	04/18/11	FROM 389-4164G-01 TO 389-5105G-01		135,000.00
0001500	05/31/11	FROM 389-4164G-01 TO 389-5104G-01		200,000.00
0001700	08/19/11	FROM 389-4164G-01 TO 389-5104G-01		100,000.00
0001900	10/04/11	FROM 389-4164G-01 TO 389-5104G-01		100,000.00
Total				\$ 2,218,205.10

Reference number	Date	Description	Referral number	Amount
220001200	04/18/11	FROM 389-4164G-01 TO 389-5104G-01		\$ 240,000.00
220001400	05/31/11	FROM 389-4164G-01 TO 389-5100G-01		10,000.00
220001600	05/31/11	FROM 389-4164G-01 TO 389-5105G-01		240,000.00
220001800	08/19/11	FROM 389-4164G-01 TO 389-5105G-01		100,000.00
220002000	10/04/11	FROM 389-4164G-01 TO 389-5105G-01		100,000.00

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Brokerage Account Statement

Account Number: HMA-974132
Statement Period: 10/01/2011 - 12/31/2011

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	\$243,617.50	\$2,252,668.29
Cash Deposits	0.00	251,376.94
Cash Withdrawals	0.00	-2,268,710.74
Dividends/Interest	0.00	44,585.48
Change in Account Value	-9,285.00	-45,587.47
Ending Account Value	\$234,332.50	\$234,332.50
Estimated Annual Income	\$14,875.00	

ALLEN I GROSS CHARITABLE
FOUNDATION
C/O PAUL J GLICK
50 BROADWAY
NEW YORK NY 10004 - 1607



Your Financial Advisor Is:
JOEL BASCIANI
(212) 267-9495

Asset Allocation

	This Period	% Allocation
Fixed Income	234,332.50	100%
Account Total	\$234,332.50	100%

Your Account is 100% Invested in Fixed Income.

Summary of Gains and Losses

	Realized		Unrealized
	This Period	Year-to-Date	
Short-Term Gain/Loss	0.00	-6,116.30	-14,414.29
Long-Term Gain/Loss	0.00	-27,805.94	0.00
Net Gain/Loss	0.00	-33,922.24	-14,414.29

This summary excludes transactions where cost basis information is not available.

Client Service Information

Contact Information		Client Service Information
Your Financial Advisor Is: CO4		Client Service Telephone Number: (800) 662-3343
JOEL BASCIANI		
120 BROADWAY		
NEW YORK NY 10271-		
Investment Objective: GROWTH		
Risk Exposure: MODERATE RISK		
Default Tax Lot Disposition Method for Mutual Funds : AVERAGE COST (USING FIRST IN FIRST OUT)		
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT		

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Advisor.

Portfolio Holdings

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income 100.00% of Portfolio (In Maturity Date Sequence)									
Corporate Bonds									
GOLDMAN SACHS GROUP INC NT									
5.950% 01/15/27 B/E DTD 11/09/06									
CALLABLE 1ST CPN DTE 07/15/07 CPN PMT SEMI ANNUAL ON JAN									
15 AND JUL 15									
Moody Rating A2 S & P Rating BBB+									
250,000.000									
Original Cost Basis: \$248,732.50									
Total Corporate Bonds									
250,000.000			\$248,746.79		\$234,332.50	-\$14,414.29	\$6,859.03	\$14,875.00	6.34%
Total Fixed Income									
250,000.000			\$248,746.79		\$234,332.50	-\$14,414.29	\$6,859.03	\$14,875.00	
Total Portfolio Holdings									
			\$248,746.79		\$234,332.50	-\$14,414.29	\$6,859.03	\$14,875.00	