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**Return of Private Foundation**  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

OMB No 1545-0052

**2010**

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning 12/01, 2010, and ending 11/30, 2011

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

Name of foundation  
JOHN & AMY GRIFFIN FOUNDATION  
C/O JOHN GRIFFIN TTEE  
Number and street (or P O box number if mail is not delivered to street address)  
BLUE RIDGE CAPITAL LLC  
660 MADISON AVENUE  
City or town, state, and ZIP code  
NEW YORK, NY 10065

A Employer identification number  
02-0562020  
B Telephone number (see page 10 of the instructions)  
(212) 446-3327  
C If exemption application is pending, check here ☐  
D 1 Foreign organizations, check here ☐  
2 Foreign organizations meeting the 85% test, check here and attach computation ☐  
E If private foundation status was terminated under section 507(b)(1)(A), check here ☐  
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation  
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 10,530,148.  
J Accounting method. ☒ Cash ☐ Accrual  
Other (specify) \_\_\_\_\_  
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule) . . . . .	0.			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B . . . . .				
	3 Interest on savings and temporary cash investments . . . . .	45,152.	45,152.	0.	ATCH 1
	4 Dividends and interest from securities . . . . .	4,700,084.	4,700,084.	0.	ATCH 2
	5a Gross rents . . . . .				
	b Net rental income or (loss) . . . . .				
	6a Net gain or (loss) from sale of assets not on line 10 . . . . .	5,004.			
	b Gross sales price for all assets on line 6a . . . . .	1,107,260.			
	7 Capital gain net income (from Part IV, line 2) . . . . .		5,004.		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10 a Gross sales less returns and allowances . . . . .				
Operating and Administrative Expenses	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .				
	12 Total. Add lines 1 through 11 . . . . .	4,750,240.	4,750,240.	0.	
	13 Compensation of officers, directors, trustees, etc . . . . .	0.			
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule) ATCH 3 . . . . .	12,567.	0.	0.	12,567.
	b Accounting fees (attach schedule) ATCH 4 . . . . .	26,000.	0.	0.	26,000.
	c Other professional fees (attach schedule) . . . . .				
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see page 14 of the instructions) * . . . .	45,000.	0.	0.	0.
	19 Depreciation (attach schedule) and depletion . . . . .				
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .				
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) ATCH 6 . . . . .	905.	15.	0.	875.
	24 Total operating and administrative expenses. Add lines 13 through 23 . . . . .	84,472.	15.	0.	39,442.
	25 Contributions, gifts, grants paid . . . . .	5,604,858.			5,604,858.
	26 Total expenses and disbursements. Add lines 24 and 25 . . . . .	5,689,330.	15.	0.	5,644,300.
	27 Subtract line 26 from line 12 . . . . .	-939,090.			
a Excess of revenue over expenses and disbursements . . . . .					
b Net investment income (if negative, enter -0-) . . . . .			4,750,225.		
c Adjusted net income (if negative, enter -0-) . . . . .				0.	

For Paperwork Reduction Act Notice, see page 30 of the instructions.

JSA \*\* ATCH 5

Form 990-PF (2010)

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end of year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing . . . . .		1,055,948.	144,699.	144,699.
	2	Savings and temporary cash investments . . . . .				
	3	Accounts receivable ▶ . . . . .				
		Less allowance for doubtful accounts ▶ . . . . .				
	4	Pledges receivable ▶ . . . . .				
		Less allowance for doubtful accounts ▶ . . . . .				
	5	Grants receivable . . . . .				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ . . . . .				
		Less allowance for doubtful accounts ▶ . . . . .				
	8	Inventories for sale or use . . . . .				
	9	Prepaid expenses and deferred charges . . . . .				
	10 a	Investments - U S and state government obligations (attach schedule) **	1,997,391.	1,993,607.	2,017,673.	
	b	Investments - corporate stock (attach schedule) <b>ATCH 8</b> . . . . .	1,000,000.	1,000,000.	2,384,000.	
	c	Investments - corporate bonds (attach schedule) . . . . .				
	Liabilities	11	Investments land, buildings, and equipment basis . . . . .			
		Less accumulated depreciation ▶ (attach schedule) . . . . .				
12		Investments - mortgage loans . . . . .				
13		Investments - other (attach schedule) <b>ATCH 9</b> . . . . .	1,150,000.	1,100,000.	5,983,776.	
14		Land, buildings, and equipment basis . . . . .				
		Less accumulated depreciation ▶ (attach schedule) . . . . .				
15		Other assets (describe ▶ . . . . .)				
16		Total assets (to be completed by all filers - see the instructions Also, see page 1, item I) . . . . .	5,203,339.	4,238,306.	10,530,148.	
17		Accounts payable and accrued expenses . . . . .				
18		Grants payable . . . . .				
19	Deferred revenue . . . . .					
20	Loans from officers, directors, trustees, and other disqualified persons . . . . .					
21	Mortgages and other notes payable (attach schedule) . . . . .					
22	Other liabilities (describe ▶ . . . . .)					
23	Total liabilities (add lines 17 through 22) . . . . .			0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted . . . . .				
	25	Temporarily restricted . . . . .				
	26	Permanently restricted . . . . .				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>					
	27	Capital stock, trust principal, or current funds . . . . .				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .				
	29	Retained earnings, accumulated income, endowment, or other funds . . . . .	5,203,339.	4,238,306.		
	30	Total net assets or fund balances (see page 17 of the instructions) . . . . .	5,203,339.	4,238,306.		
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions) . . . . .	5,203,339.	4,238,306.		

### Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,203,339.
2	Enter amount from Part I, line 27a . . . . .	2	-939,090.
3	Other increases not included in line 2 (itemize) ▶ . . . . .	3	
4	Add lines 1, 2, and 3 . . . . .	4	4,264,249.
5	Decreases not included in line 2 (itemize) ▶ <b>ATTACHMENT 10</b> . . . . .	5	25,943.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6	4,238,306.

\*\* ATCH 7

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P Purchase D Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> SEE PART IV SCHEDULE					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>2</b> Capital gain net income or (net capital loss)			<div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; padding-left: 5px;">           If gain, also enter in Part I, line 7            If (loss), enter -0- in Part I, line 7         </div> <div style="margin-left: 10px;"> <b>2</b> 5,004.         </div> </div>		
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)					
If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions)					
If (loss), enter -0- in Part I, line 8			<b>3</b>		

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	2,300,828.	15,512,558.	0.148320
2008	4,225,951.	14,650,865.	0.288444
2007	3,651,290.	15,224,869.	0.239824
2006	4,398,870.	13,706,383.	0.320936
2005	1,022,442.	20,266,939.	0.050449
<b>2</b> Total of line 1, column (d)			<b>2</b> 1.047973
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> 0.209595
<b>4</b> Enter the net value of noncharitable-use assets for 2010 from Part X, line 5			<b>4</b> 12,726,750.
<b>5</b> Multiply line 4 by line 3			<b>5</b> 2,667,463.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			<b>6</b> 47,502.
<b>7</b> Add lines 5 and 6			<b>7</b> 2,714,965.
<b>8</b> Enter qualifying distributions from Part XII, line 4			<b>8</b> 5,644,300.
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18			

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . .		1	47,502.
Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .		2	
3 Add lines 1 and 2 . . . . .		3	47,502.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- . . . . .		5	47,502.
6 Credits/Payments			
a 2010 estimated tax payments and 2009 overpayment credited to 2010 . . . . .	6a	47,628.	
b Exempt foreign organizations-tax withheld at source . . . . .	6b	0.	
c Tax paid with application for extension of time to file (Form 8868) . . . . .	6c	16,000.	
d Backup withholding erroneously withheld . . . . .	6d		
7 Total credits and payments. Add lines 6a through 6d . . . . .	7		63,628.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached . . . . .	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . . .	10		16,126.
11 Enter the amount of line 10 to be Credited to 2011 estimated tax <input checked="" type="checkbox"/> 16,126. Refunded <input type="checkbox"/> . . . . .	11		

**Part VII-A Statements Regarding Activities**

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	1a		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c Did the foundation file Form 1120-POL for this year? . . . . .	1c		
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input checked="" type="checkbox"/> \$ _____ (2) On foundation managers <input checked="" type="checkbox"/> \$ _____			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ _____			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .	2		X
If "Yes," attach a detailed description of the activities			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .	3		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	4a		X
b If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .	5		X
If "Yes," attach the statement required by General Instruction T			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	6	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV . . . . .	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <input checked="" type="checkbox"/> NY, . . . . .			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation . . . . .	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV . . . . .	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .	10		X

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**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <u>N/A</u>				
14	The books are in care of <u>BLUE RIDGE CAPITAL LLC</u> Telephone no <u>212-446-3327</u>			
Located at <u>660 MADISON AVENUE NEW YORK, NY</u> ZIP + 4 <u>10065</u>				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here	15		
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country <u></u>				

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	1b	
Organizations relying on a current notice regarding disaster assistance check here <u></u>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years <u></u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 22 of the instructions)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u></u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

**5 a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ATTCH. 13A ☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ Yes ☒ No  
Organizations relying on a current notice regarding disaster assistance check here ☐ **5b** ☒

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No  
If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6 a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b** ☒  
If "Yes" to 6b, file Form 8870

**7 a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b** ☒

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation** (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 11		0.	0.	0.

**2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions).**  
If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐ NONE

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**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services** (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE	NONE	0.

Total number of others receiving over \$50,000 for professional services . . . . . NONE

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

- 1 THE FOUNDATION IS NOT INVOLVED IN ANY DIRECT CHARITABLE ACTIVITIES. ITS PRIMARY PURPOSE IS TO SUPPORT, BY GRANTS AND DISTRIBUTIONS, OTHER ORGANIZATIONS EXEMPT AS PUBLIC CHARITIES UNDER IRC SECTION 501(C)(3).
- 2
- 3
- 4

Expenses

5,644,300.

**Part IX-B Summary of Program-Related Investments** (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 NONE

2

All other program-related investments See page 24 of the instructions

3 NONE

Total. Add lines 1 through 3 . . . . .

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	12,591,992.
b	Average of monthly cash balances	1b	328,566.
c	Fair market value of all other assets (see page 25 of the instructions)	1c	0.
d	Total (add lines 1a, b, and c)	1d	12,920,558.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	12,920,558.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	193,808.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	12,726,750.
6	Minimum investment return. Enter 5% of line 5	6	636,338.

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	636,338.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	47,502.
b	Income tax for 2010 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	47,502.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	588,836.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	588,836.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	588,836.

**Part XII Qualifying Distributions** (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	5,644,300.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	5,644,300.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	47,502.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	5,596,798.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7 . . . . .				588,836.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only . . . . .				
b Total for prior years 20 08, 20 07, 20 06 . . . . .		0.		
3 Excess distributions carryover, if any, to 2010				
a From 2005 . . . . .	12,143.			
b From 2006 . . . . .	3,751,653.			
c From 2007 . . . . .	2,868,448.			
d From 2008 . . . . .	3,522,746.			
e From 2009 . . . . .	1,520,632.			
f Total of lines 3a through e . . . . .	11,675,622.			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ 5,644,300.				
a Applied to 2009, but not more than line 2a . . . . .				
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions) . . . . .				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions) . . . . .				
d Applied to 2010 distributable amount . . . . .				588,836.
e Remaining amount distributed out of corpus . . . . .	5,055,464.			
5 Excess distributions carryover applied to 2010 . (If an amount appears in column (d), the same amount must be shown in column (a) )				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5 . . . . .	16,731,086.			
b Prior years' undistributed income Subtract line 4b from line 2b . . . . .		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions . . . . .		0.		
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions . . . . .				
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011 . . . . .				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) . . . . .				
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions) . . . . .	12,143.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a . . . . .	16,718,943.			
10 Analysis of line 9				
a Excess from 2006 . . . . .	3,751,653.			
b Excess from 2007 . . . . .	2,868,448.			
c Excess from 2008 . . . . .	3,522,746.			
d Excess from 2009 . . . . .	1,520,632.			
e Excess from 2010 . . . . .	5,055,464.			

Form 990-PF (2010)

**Part XIV Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling . . . . .

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

Tax year	Prior 3 years				(e) Total
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	
b 85% of line 2a . . . . .					
c Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test enter					
(1) Value of all assets . . . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
b "Endowment" alternative test enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
c "Support" alternative test enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
(3) Largest amount of support from an exempt organization . . . . .					
(4) Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

JOHN A. GRIFFIN

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs.**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

<div>Recipient</div> <div>Name and address (home or business)</div>	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>  ATTACHMENT 12				
<b>Total</b> . . . . . ► <b>3a</b>				5,604,858.
<b>b Approved for future payment</b>  ATTACHMENT 13				
<b>Total</b> . . . . . ► <b>3b</b>				250,000.

Form 990-PF (2010)





**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
185,768.		US TREASURY BONDS - 185,000 185,494.					07/14/2010 274.	12/15/2010
150,679.		US TREASURY BONDS - 150,000 150,456.					07/14/2010 223.	12/30/2010
251,533.		US TREASURY BONDS - 250,000 250,771.					07/14/2010 762.	12/30/2010
351,751.		US TREASURY BONDS - 350,000 350,132.					07/14/2010 1,619.	03/23/2011
167,529.		US TREASURY BONDS - 165,000 165,403.					07/14/2010 2,126.	08/18/2011
TOTAL GAIN (LOSS) .....							<u>5,004.</u>	

ATTACHMENT 1FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>
INTEREST INCOME	45,152.	45,152.	0.
TOTAL	<u>45,152.</u>	<u>45,152.</u>	<u>0.</u>



ATTACHMENT 2FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>
DIVIDEND INCOME	4,700,384.	4,700,084.	3.
TOTAL	<u>4,700,384.</u>	<u>4,700,084.</u>	<u>3.</u>

ATTACHMENT 3FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
LEGAL FEES	12,567.	0.	0.	12,567.
TOTALS	<u>12,567.</u>	<u>0.</u>	<u>0.</u>	<u>12,567.</u>

ATTACHMENT 4FORM 990CPF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
ACCOUNTING FEES	26,000.	0.	0.	26,000.
TOTALS	<u>26,000.</u>	<u>0.</u>	<u>0.</u>	<u>26,000.</u>

ATTACHMENT 5FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
FEDERAL TAXES	45,000.	0.	0.	0.
TOTALS	<u>45,000.</u>	<u>0.</u>	<u>0.</u>	<u>0.</u>

ATTACHMENT 6FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
NYS TAX DEPARTMENT OF LAW	750.	0.	0.	750.
PUBLIC NOTICE AD FEE	125.	0.	0.	125.
BANK FEES	30.	15.	0.	0.
TOTALS	905.	15.	0.	875.

## JOHN &amp; AMY GRIFFIN FOUNDATION

02-0562023

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONSATTACHMENT 7

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
US TREASURY BONDS	1,997,391.	1,993,607.	2,017,673.
US OBLIGATIONS TOTAL	<u>1,997,391.</u>	<u>1,993,607.</u>	<u>2,017,673.</u>

ATTACHMENT 8FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
GREENLIGHT CAPITAL	1,000,000.	1,000,000.	2,384,000.
TOTALS	<u>1,000,000.</u>	<u>1,000,000.</u>	<u>2,384,000.</u>

ATTACHMENT 9FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
BLUE RIDGE OFFSHORE, LP	1,150,000.	1,100,000.	5,983,776.
TOTALS	<u>1,150,000.</u>	<u>1,100,000.</u>	<u>5,983,776.</u>



ATTACHMENT 10FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNT

BOOK-TAX DIFFERENCE

25,943.

TOTAL

25,943.

## JOHN &amp; AMY GRIFFIN FOUNDATION

02-0562023

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 12

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
JOHN A. GRIFFIN C/O BLUE RIDGE CAPITAL LLC 660 MADISON AVE. NEW YORK, NY 10065	DIRECTOR, PRESIDENT 5.00	0.	0.	0.
RICHARD BELLO C/O BLUE RIDGE CAPITAL LLC 660 MADISON AVE. NEW YORK, NY 10065	SECRETARY 5.00	0.	0.	0.
AMY M. GRIFFIN C/O BLUE RIDGE CAPITAL LLC 660 MADISON AVE. NEW YORK, NY 10065	DIRECTOR 5.00	0.	0.	0.
HOMER SMITH C/O BLUE RIDGE CAPITAL LLC 660 MADISON AVE. NEW YORK, NY 10065	TREASURER 5.00	0.	0.	0.
PATTY STEVENS C/O BLUE RIDGE CAPITAL LLC 660 MADISON AVE. NEW YORK, NY 10065	ASSISTANT SECRETARY 5.00	0.	0.	0.
GRAND TOTALS		0.	0.	0.

FORM 990-E, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 12

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
KIPP NY, INC C/O KIPP FOUNDATION, 520 8TH AVE., STE 2005 NEW YORK, NY 10018	NONE 509(A) (1) PUBLIC CHAR	GENERAL SUPPORT	750,000
ST ANNE'S-BELFIELD SCHOOL, INC 2132 IVY ROAD CHARLOTTESVILLE, VA 22903	NONE 509(A) (1) PUBLIC CHAR	EDUCATIONAL	500,000
TIGER FOUNDATION 101 PARK AVENUE NEW YORK, NY 10178	NONE NONOPERATING PRIV FDN SEE ATCH 13A	GENERAL SUPPORT	500,000
MENTOR 30 BROAD STREET, 9TH FL NEW YORK, NY 10004	NONE 509(A) (1) PUBLIC CHAR	EDUCATIONAL	495,115
MCINTIRE SCHOOL OF COMMERCE FOUNDATION UNIVERSITY OF VIRGINIA PO BOX 400173 CHARLOTTESVILLE, VA 22904	NONE 509(A) (1) PUBLIC CHAR	ANNUAL FUND	453,243
ROBIN HOOD FOUNDATION 826 BROADWAY NEW YORK, NY 10003	NONE 509(A) (1) PUBLIC CHAR	COMMUNITY BASED PROGRAMS	380,000

FORM 990-E, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION STATUS OF RECIPIENT			
THE COLLEGE FOUNDATION OF UVA PO BOX 5527 CHARLOTTESVILLE, VA 22905	NONE 509(A) (3) TYPE III SUPPORTING ORG FUNCTIONALLY INTERGRATED		SOUTH LAWN PROJECT	267,000
NY PRESBYTERIAN FUND INC NEW YORK PRESBYTERIAN, OFFICE OF DEVELOPMENT 850 3RD AVENUE, 12TH FL NEW YORK, NY 10065	NONE 509(A) (1) PUBLIC CHAR		GENERAL SUPPORT	250,000
FRIENDS OF PAUL ACADEMY 71 SULLIVAN STREET BROOKLYN, NY 11231	NONE 509(A) (1) PUBLIC CHAR		GENERAL SUPPORT	250,000
LANCE ARMSTRONG FOUNDATION 2201 LAST 6TH STREET AUSTIN, TX 78702	NONE 509(A) (1) PUBLIC CHAR		GENERAL SUPPORT	250,000
VIRGINIA ATHLETICS FOUNDATION PO BOX 400833 CHARLOTTESVILLE, VA 22904	NONE 509(A) (1) PUBLIC CHAR		GENERAL SUPPORT	200,000
ALLIANCE FOR SCHOOL CHOICE 1660 L STREET NW, SUITE 1000 WASHINGTON, DC 20036	NONE 509(A) (1) PUBLIC CHAR		GENERAL SUPPORT	150,000

FORM 990PT, PART IV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CENTRAL PARK CONSERVACY 14 E 60TH STREET, 8T FL NEW YORK, NY 10022	509(A) (1) PUBLIC CHAP	CENTRAL PARK PRESERVATION	140,000
THE EPISCOPAL SCHOOL 35 EAST 69TH STREET NEW YORK, NY 10021	NONE 509(A) (1) PUBLIC CHAP	EDUCATIONAL	125,000
THE COLLEGE FOUNDATION OF UVA PO BOX 5527 CHARLOTTESVILLE, VA 22905	NONE 509(A) (3) TYPE III SUPPORTING ORG FUNCTIONALLY INTERGRATED	LEGACY FUND	100,000
UNIVERSITY OF VIRGINIA 400 RAY C HUNT DRIVE PO BOX 400807 CHARLOTTESVILLE, VA 22904	NONE 509(A) (1) PUBLIC CHAP	BETSY & JOHN CASTEEN ARTS GROUNDS	100,000
MCMINTIRE SCHOOL OF COMMERCE FOUNDATION UNIVERSITY OF VIRGINIA POUSS & ROBERTSON HALLS 125 RUFFEL DRIVE CHARLOTTESVILLE, VA 22904	NONE 509(A) (1) PUBLIC CHAP	DAVID & ANNE BURKE FACULTY FELLOWSHIP FUND	100,000
STANFORD GRADUATE SCHOOL OF BUSINESS DEVELOPMENT OFFICE 518 MEMORIAL WAY, POOM S235 STANFORD, CA 94305-5015	NONE 509(A) (1) PUBLIC CHAP	EDUCATIONAL	100,000

FORM 990PT, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION STATUS OF RECIPIENT			
TEACH FOR AMERICA 519 8TH AVENUE, 15TH FL NEW YORK, NY 10019	NONE 509(A) (1) PUBLIC CHAP		EDUCATIONAL	100,000
THE BOYS CLUB OF NEW YORK 287 EAST 10TH STREET NEW YORK, NY 10009	NONE 509(A) (1) PUBLIC CHAP		GENERAL SUPPORT	67,500.
AMERICAN MUSEUM OF NATURAL HISTORY CENTRAL PARK WEST AT 72TH STREET NEW YORK, NY 10024	NONE 509(A) (1) PUBLIC CHAP		GENERAL SUPPORT	50,000
TREASURER OF VIRGINIA C/O VIRGINIA DISASTER RELIEF FUND 111 LAST BROAD STREET RICHMOND, VA 23219	NONE 509(A) (1) PUBLIC CHAP		VIRGINIA DISASTER RELIEF FUND	50,000.
UNIVERSITY OF VIRGINIA 400 RAY C HUNT DRIVE PO BOX 400807 CHARLOTTESVILLE, VA 22904	NONE 509(A) (1) PUBLIC CHAP		GENERAL SUPPORT	47,000
PROSTATE CANCER FOUNDATION 1250 FOURTH STREET SANTA MONICA, CA 90401	NONE 509(A) (1) PUBLIC CHAP		GENERAL SUPPORT	40,000

FORM 990-E, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE COLLEGE FOUNDATION OF UVA PO BOX 5527 CHARLOTTESVILLE, VA 22905	NONE 509(A) (3)TYPE III SUPPORTING ORG FUNCTIONALLY INTEGRATED		COLLEGE FUND	25,000
THE BUCKLEY SCHOOL 113 EAST 73RD STREET NEW YORK, NY 10021	NONE 509(A) (1) PUBLIC CHAR		EDUCATIONAL	25,000.
NEW YORK HISTORICAL SOCIETY 170 CENTRAL PARK WEST AT RICHARD GILDIR WAY NEW YORK, NY 10024	NONE 509(A) (1) PUBLIC CHAR		GENERAL SUPPORT	25,000
THE COLLEGE FOUNDATION OF UVA PO BOX 5527 CHARLOTTESVILLE, VA 22905	NONE 509(A) (3)TYPE III SUPP		ARTS AND SCIENCE ANNUAL FUND	20,000
FULBRIGHT ASSISTANCE FUND C/O THE 809 UNITED NATIONS PLAZA NEW YORK, NY 10017	NONE FOREIGN EXEMPT ORG		EDUCATIONAL SCHOLARSHIP	10,000
PREP FOR PREP DEVELOPMENT OFFICE 328 WEST 71ST STREET NEW YORK, NY 10023	NONE 509(A) (1) PUBLIC CHAR		GENERAL SUPPORT	10,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION STATUS OF RECIPIENT			
SUMNER SEARCH NEW YORK CITY 90 BROAD STREET, SUITE 202 NEW YORK, NY 10004	NONE 509(A) (1) PUBLIC CHAR		GENERAL SUPPORT	10,000
THE EAST HARLEM SCHOOL 309 EAST 103RD STREET NEW YORK, NY 10029-5603	NONE 509(A) (1) PUBLIC CHAR		EDUCATIONAL	10,000
LENOX HILL NEIGHBORHOOD HOUSE 321 EAST 70TH STREET NEW YORK, NY 10021	NONE 509(A) (1) PUBLIC CHAR		GENERAL SUPPORT	5,000

TOTAL CONTRIBUTIONS PAID

5,604,858..



# TIGER

INVOLVED | INSPIRED | INVESTED

April 30, 2012

Roni Silver  
John and Amy Griffin Foundation, Inc.  
660 Madison Avenue  
New York, NY 10065

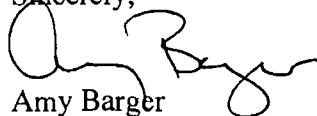
Dear Roni:

As per the requirements of the grant agreement dated November 9, 2007 between the John and Amy Griffin Foundation, Inc. and the Tiger Foundation, I respectfully submit a report detailing how the funds were used during the grant period. The Tiger Foundation is most appreciative of the support we receive from the John and Amy Griffin Foundation, Inc..

These are particularly challenging times for New York City's nonprofits and the clients they serve. Because of your generous support we are able to respond. Thank you.

If you have any questions, please do not hesitate to contact me.

Sincerely,



Amy Barger  
Managing Director

Tiger Foundation  
101 Park Avenue  
New York, NY 10178

Tel (212) 984-2565  
Fax (212) 949-9778  
[www.tigerfoundation.org](http://www.tigerfoundation.org)

The John and Amy Griffin Foundation, Inc.  
Annual Report of Grantee Organization  
**Report of the Tiger Foundation**  
For the Year Ended June 30, 2011

This report summarizes grant activities as of the end of the grantee's fiscal year (June 30, 2011).

<u>Tiger Foundation</u>	<u>\$500,000</u>	<u>December 6, 2010</u>
<i>Grantee Name</i>	<i>Original Grant Total</i>	<i>Grant Date</i>
<u>101 Park Avenue</u>	<u>New York, NY 10178</u>	<u>USA</u>
<i>Address</i>	<i>City, State</i>	<i>Country</i>
<u>To support the Tiger Foundation's mission to break the cycle of poverty in New York City by providing financial support to non-profit organizations serving the city's neediest families.</u>		
<i>Grant Purpose</i>		

1. Progress made in accomplishing the above Grant Purpose

The Tiger Foundation focuses its' grant-making on disadvantaged children and their families. Over time, our mission has evolved to focus increasingly on preventive programs. We seek to provide families with the tools necessary to attain self-sufficiency and build productive lives. To that end, the Foundation supports a variety of educational, vocational, and social service and youth development programs designed to catch children and families before they slip into a cycle of poverty and despair, as well as those which aim to help families achieve economic independence.

In furtherance of this mission, the Tiger Foundation awarded 81 grants to New York City nonprofit organizations totaling \$16,467,500 from July 1, 2010 through June 30, 2011. A complete list of grantees for FY 2011 is attached for your information.

2. Itemization of expenditure made from grant funds

While we consider the grant from the John and Amy Griffin Foundation, Inc. to be made in support of all of the Tiger Foundation grantees, for accounting purposes, the grant funds were allocated to the following grants:


<u>Grantee</u>	<u>Grant Amount</u>	<u>Date Paid</u>
<u>Paraprofessional Healthcare Institute Inc</u>	<u>\$400,000</u>	<u>3/4/11</u>
<u>The GO Project</u>	<u>\$125,000</u>	<u>1/3/11</u>

3. Grant funds paid as of the end of the grantee's fiscal year: June 30, 2011: \$500,000

4. Amount of grant funds unspent as of the end of the grantee's most recent fiscal year (June 30, 2011): \$0.

5. Grantee asserts that it has made all expenditures in furtherance of the stated purpose of the grant.
6. Grantee asserts that it has complied with all of the terms and conditions of the grant specified in the Grant Agreement.

I declare that I am authorized to sign this report on behalf of the above organization, that I have examined the foregoing statements and to the best of my knowledge they are true, correct and complete.

BY:  DATE: 4/30/12  
TITLE: Managing Director

**Tiger Foundation**  
**Grants Expense**  
July 2010 through June 2011

Date	Name	Class	Amount
<b>Grants</b>			
07/13/2010	Girls Preparatory Charter School of NY	Education	150,000
07/15/2010	JobsFirst NYC	Job Training	200,000
07/19/2010	Brooklyn Workforce Innovations	Job Training	315,000
07/19/2010	CASES	Job Training	150,000
07/19/2010	Center for Employment Opportunities	Job Training	400,000
07/19/2010	Henry Street Settlement	Job Training	250,000
07/19/2010	St Nicks Alliance	Job Training	215,000
09/13/2010	Center for Family Life in Sunset Park	Social Services	400,000
09/13/2010	Girls Educational and Mentoring Services	Social Services	200,000
09/13/2010	Lenox Hill Neighborhood House	Social Services	200,000
09/13/2010	Northside Center for Child Development	Social Services	125,000
09/13/2010	Montefiore Medical Center	Social Services	172,500
09/13/2010	Jumpstart for Young Children, Inc	Social Services	200,000
10/04/2010	Harlem Children's Zone, Inc	Social Services	250,000
10/07/2010	Exalt Youth	Job Training	100,000
10/14/2010	Bowery Residents Committee	Job Training	125,000
10/14/2010	Cooper Union for the Advancement of Scien	Job Training	80,000
10/14/2010	Nontraditional Employment for Women	Job Training	300,000
10/14/2010	Outreach Development Corporation	Job Training	130,000
10/14/2010	Opportunities for A Better Tomorrow	Job Training	200,000
10/14/2010	Per Scholas, Inc	Job Training	350,000
10/14/2010	The Resource Training Center	Job Training	200,000
10/14/2010	Year Up	Job Training	200,000
10/14/2010	Children of Bellevue	Social Services	115,000
10/27/2010	New Visions for Public Schools	Education	200,000
10/27/2010	Citizen Schools	Education	150,000
10/27/2010	Civic Builders, Inc	Education	400,000
10/27/2010	Comprehensive Development, Inc	Education	200,000
10/27/2010	Franciscan Community Center	Education	75,000
10/27/2010	New York City Outward Bound Center, Inc	Education	150,000
11/11/2010	Andrew Glover Youth Program	Re-entry	100,000
11/23/2010	Stanley M Isaacs Neighborhood Center	Job Training	200,000
11/23/2010	Village Academies	Education	150,000
11/23/2010	Federation Employment & Guidance Servic	Social Services	100,000
11/23/2010	Good Shepherd Services	Social Services	150,000
11/23/2010	Dominican Sisters Family Health Service	Social Services	60,000
11/23/2010	SCO Family Services	Social Services	240,000
11/23/2010	WHEDCO	Social Services	150,000
11/23/2010	Visiting Nurse Service of New York	Social Services	150,000
11/23/2010	Child Care Inc	Social Services	150,000
12/02/2010	University Settlement of New York City	Social Services	200,000
12/02/2010	The Door-A Center for Alternatives, Inc	Job Training	200,000
12/02/2010	Grace Institute	Job Training	200,000
12/02/2010	The Hope Program, Inc	Job Training	100,000
12/02/2010	Project Renewal, Inc	Job Training	275,000
12/02/2010	Upwardly Global	Job Training	100,000
12/08/2010	Federation Employment & Guidance Servic	Job Training	150,000
01/03/2011	Getting Out and Staying Out	Re-entry	150,000
01/03/2011	Cornelia Connelly Center for Education	Education	125,000
01/03/2011	Classroom, Inc	Education	75,000

**Tiger Foundation**  
**Grants Expense**  
July 2010 through June 2011

Date	Name	Class	Amount
01/03/2011	The B E L L Foundation, Inc	Education	200,000
01/03/2011	East Harlem School at Exodus House	Education	150,000
01/03/2011	The GO Project	Education	125,000
01/03/2011	Institute for Student Achievement	Education	300,000
01/03/2011	Legal Outreach, Inc	Education	175,000
01/03/2011	READ Foundation	Education	175,000
01/03/2011	SCO Family Services	Education	200,000
01/03/2011	Student/Sponsor Partnership, Inc	Education	150,000
01/03/2011	Urban Assembly	Education	200,000
03/04/2011	Paraprofessional Healthcare Institute Inc	Job Training	400,000
03/04/2011	Southwest Brooklyn Industrial Development	Job Training	65,000
04/05/2011	New Alternatives for Children	Social Services	400,000
04/11/2011	Explore Schools, Inc	Education	200,000
04/14/2011	Outreach Development Corporation	Re-entry	200,000
04/18/2011	Highbridge Community Life Center	Job Training	200,000
05/12/2011	Achievement First	Education	400,000
06/01/2011	Mayor's Fund to Advance New York City	Job Training	200,000
06/01/2011	Henry Street Settlement	Job Training	250,000
06/01/2011	Cristo Rey New York High School	Education	100,000
06/01/2011	Urban Arts Partnership	Education	100,000
06/16/2011	Center for Employment Opportunities	Job Training	400,000
06/16/2011	Bronx Preparatory Charter School	Education	200,000
06/16/2011	International Network for Public Schools	Education	200,000
06/16/2011	Good Shepherd Services	Education	400,000
06/16/2011	Harlem Children's Zone, Inc	Education	250,000
06/16/2011	KIPP New York, Inc	Education	400,000
06/29/2011	East Side House	Social Services	100,000
06/29/2011	Turnaround for Children	Social Services	400,000
06/29/2011	iMentor	Social Services	250,000
06/29/2011	Morningside Center for Teaching Social Re	Social Services	150,000
06/30/2011	Vocational Foundation, Inc	Job Training	300,000
Total Grants			<u>16,467,500</u>

JOHN & AMY GRIFFIN FOUNDATION

02-0562020

FORM 990-E, PART IV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 13

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

FRIENDS OF PAVE ACADEMY  
71 SULLIVAN STREET  
BROOKLYN, NY 11231

NONE

PUBLIC CHARITY

EDUCATIONAL

250,000

TOTAL CONTRIBUTIONS APPROVED

250,000

Form **8868**

(Rev. January 2012)

Department of the Treasury  
Internal Revenue Service**Application for Extension of Time To File an  
Exempt Organization Return**

OMB No 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868

**Electronic filing (e-file)** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

**Type or  
print**File by the  
due date for  
filing your  
return. See  
instructions

Name of exempt organization or other filer, see instructions

JOHN &amp; AMY GRIFFIN FOUNDATION

C/O JOHN GRIFFIN TTEE

Number, street, and room or suite no. If a P O box, see instructions

BLUE RIDGE CAPITAL LLC, 660 MADISON AVENUE

City, town or post office, state, and ZIP code. For a foreign address, see instructions

NEW YORK, NY 10065

Employer identification number (EIN) or

☒ 02-0562020

Social security number (SSN)

Enter the Return code for the return that this application is for (file a separate application for each return)

☐ 0 ☐ 1 ☐ 2 ☐ 3 ☐ 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► BLUE RIDGE CAPITAL LLC

Telephone No ► 212 446 3327

FAX No ► \_\_\_\_\_

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 07/16, 20 12, to file the exempt organization return for the organization named above. The extension is for the organization's return for
- ☐ calendar year 20 \_\_\_\_ or
- ☒ tax year beginning 12/01, 20 10, and ending 11/30, 20 11

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return
- ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	\$55,728
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	\$39,728
c <b>Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	\$16,000

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 1-2012)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**
- Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** ( on page 1)

**Part II Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed)

<b>Type or print</b>  File by the due date for filing your return. See instructions.	Enter filer's identifying number, see instructions	
	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	JOHN & AMY GRIFFIN FOUNDATION C/O JOHN GRIFFIN TEE	<input checked="" type="checkbox"/> 02-0562020
	Number, street, and room or suite no. If a P O box, see instructions	Social security number (SSN)
	BLUE RIDGE CAPITAL LLC, 660 MADISON AVENUE	<input type="checkbox"/>
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	NEW YORK, NY 10065	

Enter the Return code for the return that this application is for (file a separate application for each return)  

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

- The books are in the care of ☒ BLUE RIDGE CAPITAL LLC  
Telephone No   FAX No
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN)  If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until 10/15, 20 12

5 For calendar year 12/01, or other tax year beginning 12/01, 20 10, and ending 11/30, 20 11

6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return  
☐ Change in accounting period

7 State in detail why you need the extension ADDITIONAL TIME IS NEEDED TO GATHER INFORMATION IN ORDER TO FILE A COMPLETE AND ACCURATE TAX RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a \$	55,728.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b \$	62,728.
c <b>Balance Due.</b> Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c \$	

**Signature and Verification must be completed for Part II only.**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature  Title  Date