



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2010

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning 11/01, 2010, and ending 10/31, 2011

G Check all that apply ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

LEO GOODWIN FOUNDATION, INC.
800 CORPORATE DRIVE #500
FORT LAUDERDALE, FL 33334

A Employer identification number
52-6054098

B Telephone number (see the instructions)
954-772-6863

C If exemption application is pending, check here ☐

D 1 Foreign organizations, check here ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16)
\$ 16,372,867.
J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions).)

(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc. received (att sch)	25,000.		
2 Ck ☐ if the foundn is not req to att Sch B			
3 Interest on savings and temporary cash investments			
4 Dividends and interest from securities	395,410.	395,410.	
5a Gross rents	65,571.	65,571.	
b Net rental income or (loss) -33,268.			
6a Net gain/(loss) from sale of assets not on line 10	989,717.		
b Gross sales price for all assets on line 6a 9,663,405.			
7 Capital gain net income (from Part IV, line 2)	989,717.		
8 Net short-term capital gain			
9 Income modifications			
10a Gross sales less returns and allowances			
b Less Cost of goods sold			
c Gross profit/(loss) (att sch)			
11 Other income (attach schedule)			
12 Total. Add lines 1 through 11	1,238,542.	1,213,542.	0.
13 Compensation of officers, directors, trustees, etc	450,000.	150,000.	300,000.
14 Other employee salaries and wages	94,200.	41,600.	20,724.
15 Pension plans, employee benefits			
16a Legal fees (attach schedule) SEE ST 2	360.		
b Accounting fees (attach sch) SEE ST 3	12,527.		
c Other prof fees (attach sch) SEE ST 4	145,145.	145,145.	
17 Interest	74,230.	74,230.	
18 Taxes (attach schedule) (see instr) SEE STM 5	22,535.	17,691.	1,906.
19 Depreciation (attach sch) and depletion	55,864.	55,799.	
20 Occupancy	22,260.		
21 Travel, conferences, and meetings			
22 Printing and publications			
23 Other expenses (attach schedule) SEE STATEMENT 6	70,695.	43,343.	
24 Total operating and administrative expenses. Add lines 13 through 23	947,816.	527,808.	322,630.
25 Contributions, gifts, grants paid PART XV	639,500.		639,500.
26 Total expenses and disbursements. Add lines 24 and 25	1,587,316.	527,808.	962,130.
27 Subtract line 26 from line 12:			
a Excess of revenue over expenses and disbursements	-348,774.		
b Net investment income (if negative, enter -0-)		685,734.	
c Adjusted net income (if negative, enter -0-)		0.	

SCANNED FEB 01 2012

REVENUE

ADMINISTRATIVE AND EXPENSES

P 25

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1	Cash — non-interest-bearing		83,298.	150,366.	150,366.
	2	Savings and temporary cash investments				
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)				
	7	Other notes and loans receivable (attach sch)				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments — U.S. and state government obligations (attach schedule) STATEMENT 7	1,229,961.	824,998.	784,045.	
	b	Investments — corporate stock (attach schedule) STATEMENT 8	5,317,615.	4,867,225.	4,619,803.	
	c	Investments — corporate bonds (attach schedule) STATEMENT 9	2,897,853.	1,403,680.	1,552,602.	
	11	Investments — land, buildings, and equipment: basis				
	Less: accumulated depreciation (attach schedule)					
12	Investments — mortgage loans					
13	Investments — other (attach schedule) STATEMENT 10	8,750,283.	10,822,896.	9,266,051.		
14	Land, buildings, and equipment: basis	3,285.				
	Less: accumulated depreciation (attach schedule) SEE STMT 11	3,285.	65.			
15	Other assets (describe)					
16	Total assets (to be completed by all filers — see instructions. Also, see page 1, item I)	18,279,075.	18,069,165.	16,372,867.		
LIABILITIES	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, & other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe SEE STATEMENT 12)	1,280,632.	1,350,805.		
	23	Total liabilities (add lines 17 through 22)	1,280,632.	1,350,805.		
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ☐					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☒					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, building, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds	16,998,443.	16,718,360.		
	30	Total net assets or fund balances (see the instructions)	16,998,443.	16,718,360.		
	31	Total liabilities and net assets/fund balances (see the instructions)	18,279,075.	18,069,165.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	16,998,443.
2	Enter amount from Part I, line 27a	2	-348,774.
3	Other increases not included in line 2 (itemize) SEE STATEMENT 13	3	68,691.
4	Add lines 1, 2, and 3	4	16,718,360.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	16,718,360.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE STATEMENT 14				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	989,717.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0- in Part I, line 8		3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2009	905,630.	16,162,875.	0.056031
2008	732,112.	16,170,061.	0.045276
2007	787,736.	20,034,451.	0.039319
2006	858,000.	22,086,827.	0.038847
2005	809,429.	17,949,755.	0.045094

2 Total of line 1, column (d)	2	0.224567
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.044913
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	15,399,863.
5 Multiply line 4 by line 3	5	691,654.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	6,857.
7 Add lines 5 and 6	7	698,511.
8 Enter qualifying distributions from Part XII, line 4	8	962,130.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary – see instr.)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	6,857.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-).		2	0.
3 Add lines 1 and 2		3	6,857.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	6,857.
6 Credits/Payments:			
a 2010 estimated tax prmts and 2009 overpayment credited to 2010	6a	4,572.	
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	4,572.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	2,285.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2011 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T.</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see the instructions) ☐ MD FL		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

BAA

Form 990-PF (2010)

Part VII-A Statements Regarding Activities (Continued)

11. At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12. Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13. Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14. The books are in care of <u>HELEN M. FURIA</u> Telephone no <u>954-772-6863</u> Located at <u>800 CORPORATE DR, #500, FT. LAUD, FL</u> ZIP + 4 <u>33334</u>			
15. Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u> <u>N/A</u>			
16. At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country <u></u>			X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No If 'Yes,' list the years <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see the instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If 'Yes,' did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)	3b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

BAA

Form 990-PF (2010)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☒ Yes ☐ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

X

Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☒ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
HELEN M. FURIA 3711 NE 27TH STREET FT. LAUDERDALE, FL 33317	PRESIDENT 30.00	150,000.	0.	0.
ELLIOT P. BORKSON 2970 WESTBROOK FT. LAUDERDALE, FL 33332	VICE PRESIDE 20.00	150,000.	0.	0.
ALAN J. GOLDBERG 404 DEERCREEK RUN DEERFIELD BEACH, FL 33442	TREASURER 20.00	150,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

BAA

Form 990-PF (2010)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	16,868,318.
b	Average of monthly cash balances	1b	116,833.
c	Fair market value of all other assets (see instructions)	1c	33.
d	Total (add lines 1a, b, and c)	1d	16,985,184.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	1,350,805.
3	Subtract line 2 from line 1d	3	15,634,379.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	234,516.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	15,399,863.
6	Minimum investment return. Enter 5% of line 5	6	769,993.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	769,993.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	6,857.
b	Income tax for 2010 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	6,857.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	763,136.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	763,136.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	763,136.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	962,130.
b	Program-related investments — total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	962,130.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	6,857.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	955,273.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

BAA

Form 990-PF (2010)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				763,136.
2 Undistributed income, if any, as of the end of 2010:				
a Enter amount for 2009 only			399,064.	
b Total for prior years. 20 ____, 20 ____, 20 ____		0.		
3 Excess distributions carryover, if any, to 2010.				
a From 2005				
b From 2006				
c From 2007				
d From 2008				
e From 2009				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ 962,130.				
a Applied to 2009, but not more than line 2a			399,064.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2010 distributable amount				563,066.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				200,070.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008				
d Excess from 2009				
e Excess from 2010				

BAA

Form 990-PF (2010)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities
Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon.

a 'Assets' alternative test – enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(i)(3)(B)(i)

b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed .

c 'Support' alternative test – enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income.

[illegible]

Part XV **Supplementary Information** (Complete this part only if the organization had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number of the person to whom applications should be addressed

SEE STATEMENT 15

- b** The form in which applications should be submitted and information and materials they should include

SEE STATEMENT FOR LINE 2A

- c** Any submission deadlines

SEE STATEMENT FOR LINE 2A

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE STATEMENT FOR LINE 2A

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year			SEE ATTACHMENT PF 1	639,500.
Total			3a	639,500.
b Approved for future payment				
Total			3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (see the instructions)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	395,410.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property			16	-429.	
b Not debt-financed property			16	-32,839.	
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	989,717.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a SEE STATEMENT 16				-237,156.	
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				1,114,703.	
13 Total. Add line 12, columns (b), (d), and (e)				13	1,114,703.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► Attach to Form 990, 990-EZ, or 990-PF

OMB No 1545-0047

2010

Name of the organization

LEO GOODWIN FOUNDATION, INC.

Employer identification number

52-6054098

Organization type (check one)

Filers of:

Form 990 or 990-EZ

Section:

- ☐ 501(c)() (enter number) organization
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ► \$ _____

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2 of their Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2010)

Name of organization

Employer identification number

LEO GOODWIN FOUNDATION, INC.

52-6054098

Part I Contributors (see instructions)

(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	EMERALD ASSET ADVISORS, INC. 2843 EXECUTIVE PARK DRIVE WESTON, FL 33331	\$ 25,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Employer identification number

52-6054098

Part II

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	N/A	\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

Schedule B (Form 990, 990-EZ, or 990-PF) (2010)

Name of organization

LEO GOODWIN FOUNDATION, INC.

Employer identification number

52-6054098

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. Complete cols (a) through (e) and the following line entry.For organizations completing Part III, enter total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once See instructions)

► \$

N/A

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	N/A		

(e) Transfer of gift		
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift		
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift		
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift		
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee	

BAA

2010

FEDERAL STATEMENTS

PAGE 1

CLIENT GDWINFOU

LEO GOODWIN FOUNDATION, INC.

52-6054098

12/21/11

11:19AM

STATEMENT 1
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
ML SYS MOM LLC 30-0408280	\$ -163,189.		
ML WINTON LLC 20-1227904	-8,852.		
OPPENHEIMER FD 13-4324770	-17,942.		
OPPENHEIMER LP 83-0480572	-13,003.		
P&A MULTI FD 20-2970615	-11,753.		
PEAK SELECT FD 20-3420757	-7,032.		
RYE SELECT FD 13-3771103	-112.		
WHISTLER PTNR- 13-4068791	1,413.		
XANTHUS PTNR - 13-4038889	-16,686.		
TOTAL	\$ -237,156.	\$ 0.	\$ 0.

STATEMENT 2
FORM 990-PF, PART I, LINE 16A
LEGAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	\$ 360.			
TOTAL	\$ 360.	\$ 0.	\$ 0.	\$ 0.

STATEMENT 3
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	\$ 12,527.			
TOTAL	\$ 12,527.	\$ 0.	\$ 0.	\$ 0.

STATEMENT 4
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	\$ 145,145.	\$ 145,145.		
TOTAL	\$ 145,145.	\$ 145,145.	\$ 0.	\$ 0.

2010

FEDERAL STATEMENTS

PAGE 2

CLIENT GDWINFOU

LEO GOODWIN FOUNDATION, INC.

52-6054098

12/21/11

11 19AM

STATEMENT 5
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX WITHHOLDING	\$ 4,020.	\$ 4,020.		
FOREIGN TAX WITHHOLDING K-1	572.	572.		
PAYROLL TAXES	8,662.	3,818.		\$ 1,906.
TAX ON INVESTMENT INCOME	9,281.	9,281.		
TOTAL	\$ 22,535.	\$ 17,691.	\$ 0.	\$ 1,906.

STATEMENT 6
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CORPORATE FILING FEE	\$ 345.			
OFFICE EXPENSE	25,406.			
OTHER K-1 EXPENSE	303.	\$ 303.		
RENTAL EXPENSES	43,040.	43,040.		
RENTAL EXPENSES - STORAGE	1,601.			
TOTAL	\$ 70,695.	\$ 43,343.	\$ 0.	\$ 0.

STATEMENT 7
FORM 990-PF, PART II, LINE 10A
INVESTMENTS - U.S. AND STATE GOVERNMENT OBLIGATIONS

U.S. GOVERNMENT OBLIGATIONS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
BOND GOVT AGENCY CIBC S/T - OIA	COST	\$ 405,417.	\$ 498,147.
U.S. TREASURY NOTES CIBC S/T	COST	419,581.	285,898.
		\$ 824,998.	\$ 784,045.
TOTAL		\$ 824,998.	\$ 784,045.

STATEMENT 8
FORM 990-PF, PART II, LINE 10B
INVESTMENTS - CORPORATE STOCKS

CORPORATE STOCKS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MUTUAL FUND EMERALD - E6 GATEWAY	COST	\$ 1,133,035.	\$ 1,186,236.
MUTUAL FUND - M/L GENERAL A/C	COST	100,001.	8,000.
STOCK EMERALD CONCENTRATED EQUITY CE1	COST	696,829.	704,113.
STOCK EMERALD CONCENTRATED EQUITY CE2	COST	1,762,035.	1,581,757.
M/L OFI BARING/ALLIANCE BERNSTEIN M7BAB	COST	608,916.	548,516.
M/L RENAISSANCE/THORNBURG ADRS M7RTH	COST	566,409.	591,181.
TOTAL		\$ 4,867,225.	\$ 4,619,803.

CLIENT GDWINFOU

LEO GOODWIN FOUNDATION, INC.

52-6054098

12/21/11

11.19AM

STATEMENT 9
FORM 990-PF, PART II, LINE 10C
INVESTMENTS - CORPORATE BONDS

<u>CORPORATE BONDS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
BOND CORPORATE CIBC S/T - OIA	COST	\$ 1,403,680.	\$ 1,552,602.
	TOTAL	<u>\$ 1,403,680.</u>	<u>\$ 1,552,602.</u>

STATEMENT 10
FORM 990-PF, PART II, LINE 13
INVESTMENTS - OTHER

<u>OTHER INVESTMENTS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
WHISTLER FUND PARTNERSHIP	COST	\$ 388,265.	\$ 470,012.
XANTHUS FUND PARTERSHIP	COST	571,074.	601,235.
DEFERRED COMPENSATION TRUST	COST	53,637.	53,637.
PEAK SELECT ACCESS PARTNERSHIP	COST	524,720.	289,735.
IRONWOOD INTERNATIONAL PARTNERSHIP	COST	341,152.	574,350.
P&A MULTI-SECTOR II PARTNERSHIP	COST	455,151.	635,788.
OPCO PRIVATE EQUITY FD 1 LP	COST	361,830.	355,000.
TREMONT RYE PARTNERSHIP	COST	284.	284.
OPCO DISTRESSED OPPORTUNITIES LP	COST	672,066.	626,649.
LGF INVESTMENTS, LLC	COST	3,631,615.	1,964,676.
PTRSHP SYSTEMATIC MOMENT FUT ML M9ALT	COST	1,658,809.	1,486,480.
MCDONALD USAF, LP	COST	250,000.	245,208.
MILLER / HOWARD LLC	COST	740,249.	788,953.
WINTON FUTURES ACCESS LLC - ML	COST	0.	0.
TOTAL OTHER INVESTMENTS		<u>\$ 9,648,852.</u>	<u>\$ 8,092,007.</u>

OTHER SECURITIES

CERTIFICATE OF DEPOSIT - STONEGATE	COST	50,000.	50,000.
INVESTMENT MANAGEMENT CASH FUND	COST	77.	77.
INVESTMENT MANAGEMENT MONEY FUND	COST	1,123,967.	1,123,967.
TOTAL OTHER SECURITIES		<u>\$ 1,174,044.</u>	<u>\$ 1,174,044.</u>
TOTAL		<u>\$ 10,822,896.</u>	<u>\$ 9,266,051.</u>

STATEMENT 11
FORM 990-PF, PART II, LINE 14
LAND, BUILDINGS, AND EQUIPMENT

<u>CATEGORY</u>	<u>BASIS</u>	<u>ACCUM. DEPREC.</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
FURNITURE AND FIXTURES	\$ 1,249.	\$ 1,249.	\$ 0.	\$ 0.
MACHINERY AND EQUIPMENT	2,036.	2,036.	0.	0.
TOTAL	<u>\$ 3,285.</u>	<u>\$ 3,285.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

2010

FEDERAL STATEMENTS

PAGE 4

CLIENT GDWINFOU

LEO GOODWIN FOUNDATION, INC.

52-6054098

12/21/11

11.19AM

STATEMENT 12
FORM 990-PF, PART II, LINE 22
OTHER LIABILITIES

OPPENHEIMER MARGIN LOANS PAYABLE

\$ 1,350,805.

TOTAL \$ 1,350,805.

STATEMENT 13
FORM 990-PF, PART III, LINE 3
OTHER INCREASES

K-1 BOOK TAX ADJUSTMENTS

TOTAL \$ 68,691.
\$ 68,691.

STATEMENT 14
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	AA XANTHUS K-1 S/T CG	PURCHASED	VARIOUS	VARIOUS
2	AA XANTHUS K-1 L/T CG	PURCHASED	VARIOUS	VARIOUS
3	AA WHISTLER FD K-1 S/T CG	PURCHASED	VARIOUS	VARIOUS
4	AA WHISTLER FD K-1 L/T CG	PURCHASED	VARIOUS	VARIOUS
5	AA WHISTLER FD K-1 SEC 1231	PURCHASED	VARIOUS	VARIOUS
6	OPP FUND K-1 S/T C/G	PURCHASED	VARIOUS	VARIOUS
7	OPP FUND K-1 L/T C/G	PURCHASED	VARIOUS	VARIOUS
8	OPP FUND K-1 SEC 1231	PURCHASED	VARIOUS	VARIOUS
9	OPP FUND K-1 SEC 1256	PURCHASED	VARIOUS	VARIOUS
10	P&A MULTI SECTOR FD K-1 S/T CG	PURCHASED	VARIOUS	VARIOUS
11	P&A MULTI SECTOR FD K-1 L/T CG	PURCHASED	VARIOUS	VARIOUS
12	P&A MULTI SECTOR FD K-1 SEC 1231	PURCHASED	VARIOUS	VARIOUS
13	P&A MULTI SECTOR FD K-1 SEC 1256	PURCHASED	VARIOUS	VARIOUS
14	PEAK ACCESS FD K-1 S/T CG	PURCHASED	VARIOUS	VARIOUS
15	PEAK ACCESS FD K-1 L/T CG	PURCHASED	VARIOUS	VARIOUS
16	PEAK ACCESS FD K-1 SEC 1256	PURCHASED	VARIOUS	VARIOUS
17	ML WINTON LLC K-1 SEC 1256	PURCHASED	VARIOUS	VARIOUS
18	ML SYS MOM LLC K-1 S/T C/G	PURCHASED	VARIOUS	VARIOUS
19	ML SYS MOM LLC K-1 L/T C/G	PURCHASED	VARIOUS	VARIOUS
20	ML SYS MOM LLC K-1 SEC 1256	PURCHASED	VARIOUS	VARIOUS
21	OPP DIST OPP LP K-1 S/T C/G	PURCHASED	VARIOUS	VARIOUS
22	OPP DIST OPP LP K-1 L/T C/G	PURCHASED	VARIOUS	VARIOUS
23	SEE ATTACHMENT C-12	PURCHASED	VARIOUS	VARIOUS
24	SEE ATTACHMENT C-12	PURCHASED	VARIOUS	VARIOUS
25	SEE ATTACHMENT C-14	PURCHASED	VARIOUS	VARIOUS
26	SEE ATTACHMENT C-14	PURCHASED	VARIOUS	VARIOUS
27	SEE ATTACHMENT C-15	PURCHASED	VARIOUS	VARIOUS
28	SEE ATTACHMENT C-15	PURCHASED	VARIOUS	VARIOUS
29	SEE ATTACHMENT C-16	PURCHASED	VARIOUS	VARIOUS
30	SEE ATTACHMENT C-16	PURCHASED	VARIOUS	VARIOUS
31	SEE ATTACHMENT C-25	PURCHASED	VARIOUS	VARIOUS
32	SEE ATTACHMENT C-25	PURCHASED	VARIOUS	1/10/2011
33	SEE ATTACHMENT C-33	PURCHASED	VARIOUS	VARIOUS
34	SEE ATTACHMENT C-33	PURCHASED	VARIOUS	VARIOUS
35	OTHER MISCELLANEOUS LOSSES	PURCHASED	VARIOUS	VARIOUS

2010

FEDERAL STATEMENTS

PAGE 5

CLIENT GDWINFOU

LEO GOODWIN FOUNDATION, INC.

52-6054098

12/21/11

11.19AM

STATEMENT 14 (CONTINUED)

FORM 990-PF, PART IV, LINE 1

CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
36	ML WINTON FUTURESACCESS LLC	PURCHASED	VARIOUS	VARIOUS
37	MUTUAL FUND CAP GAIN L/T	PURCHASED	VARIOUS	VARIOUS

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	20,465.		0.	20,465.				\$ 20,465.
2	36,389.		0.	36,389.				36,389.
3	2,263.		0.	2,263.				2,263.
4	0.		13,522.	-13,522.				-13,522.
5	18.		0.	18.				18.
6	2,513.		0.	2,513.				2,513.
7	24,457.		0.	24,457.				24,457.
8	0.		2.	-2.				-2.
9	4.		0.	4.				4.
10	8,655.		0.	8,655.				8,655.
11	16,181.		0.	16,181.				16,181.
12	0.		1,510.	-1,510.				-1,510.
13	0.		281.	-281.				-281.
14	0.		17,388.	-17,388.				-17,388.
15	8,791.		0.	8,791.				8,791.
16	42,417.		0.	42,417.				42,417.
17	39,008.		0.	39,008.				39,008.
18	109,446.		0.	109,446.				109,446.
19	0.		497.	-497.				-497.
20	188,794.		0.	188,794.				188,794.
21	5,654.		0.	5,654.				5,654.
22	49,626.		0.	49,626.				49,626.
23	676,577.		673,012.	3,565.				3,565.
24	251,204.		242,184.	89,358.				89,358.
25	930,549.		965,377.	-34,828.				-34,828.
26	739,587.		657,693.	81,894.				81,894.
27	176,319.		172,107.	42,123.				42,123.
28	374,336.		194,416.	179,920.				179,920.
29	576,172.		582,746.	-6,574.				-6,574.
30	398,552.		368,907.	29,645.				29,645.
31	538,965.		508,175.	30,790.				30,790.
32	22,034.		23,268.	-1,234.				-1,234.
33	153,061.		153,926.	-865.				-865.
34	373,606.		367,231.	6,375.				6,375.
35	0.		2,818.	-2,818.				-2,818.
36	7,181.		0.	7,181.				7,181.
37	43,704.		0.	43,704.				43,704.
TOTAL								\$ 989,717.

STATEMENT 15

FORM 990-PF, PART XV, LINE 2A-D

APPLICATION SUBMISSION INFORMATION

NAME OF GRANT PROGRAM:

NAME:

CARE OF:

STREET ADDRESS:

CITY, STATE, ZIP CODE:

HELEN FURIA

LEO GOODWIN FOUNDATION

800 CORPORATE DR SUITE 500

FORT LAUDERDALE, FL 33327

CLIENT GDWINFOU

LEO GOODWIN FOUNDATION, INC.

52-6054098

12/21/11

11 19AM

STATEMENT 15 (CONTINUED)
FORM 990-PF, PART XV, LINE 2A-D
APPLICATION SUBMISSION INFORMATION

TELEPHONE: 954-772-6863

FORM AND CONTENT:

1. LETTER STATING PURPOSE OF PROGRAM AND AMOUNT REQUESTED.
2. OBJECTIVES: SPECIFIC PROGRAM DESCRIPTION; TYPE OF PERSON REACHED (AGE, GEOGRAPHIC LOCATION, SOCIAL AND ECONOMIC STATUS), NUMBER OF PERSONS TO BE REACHED. PRIORITY GIVEN TO RESIDENT OF BROWARD COUNTY AND FLORIDA.
3. HOW WILL FUNDS BE USED.
4. LINE ITEM OPERATING BUDGET AND AUDITED STATEMENTS AND TAX RETURN.
5. IRS DETERMINATION LETTER OF 501(C)(3) STATUS AND VERIFICATION THAT IT HAS NOT BEEN REVOKED.
6. FUNDING SOURCES WITH DOLLAR AMOUNTS OR RECEIVED.
7. NAMES OF GOVERNING BOARD MEMBERS.

SUBMISSION DEADLINES: ACCEPTED ANYTIME PROVIDED SUFFICIENT TIME TO REVIEW.

RESTRICTIONS ON AWARDS: NONE, OTHER THAN QUALIFICATION FOR CHARITABLE PURPOSES.

STATEMENT 16
FORM 990-PF, PART XVI-A, LINE 11
OTHER REVENUE

OTHER REVENUE	(A) BUSI- NESS CODE	(B) UNRELATED BUSINESS AMOUNT	(C) EXCLU- SION CODE	(D) EXCLUDED AMOUNT	(E) RELATED OR EXEMPT FUNCTION
ML SYS MOM LLC 30-0408280			1	\$ -163,189.	
ML WINTON LLC 20-1227904			1	-8,852.	
OPPENHEIMER FD 13-4324770			1	-17,942.	
OPPENHEIMER LP 83-0480572			1	-13,003.	
P&A MULTI FD 20-2970615			1	-11,753.	
PEAK SELECT FD 20-3420757			1	-7,032.	
RYE SELECT FD 13-3771103			1	-112.	
WHISTLER PTNR- 13-4068791			1	1,413.	
XANTHUS PTNR - 13-4038889			1	-16,686.	
TOTAL		\$ 0.		\$ -237,156.	\$ 0.

10/31/11

2010 FEDERAL BOOK DEPRECIATION SCHEDULE

PAGE 1

CLIENT GDWINFOU

LEO GOODWIN FOUNDATION, INC.

52-6054098

12/21/11

11.19AM

NO.	DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST/ BASIS	BUS. PCT.	CUR 179 BONUS	SPECIAL DEPR. ALLOW.	PRIOR 179/ BONUS/ SP. DEPR.	PRIOR DEC BAL DEPR.	SALVAG /BASIS REDUCT.	DEPR BASIS	PRIOR DEPR.	METHOD	LIFE	RATE	CURRENT DEPR.
-----	-------------	------------------	--------------	----------------	--------------	---------------------	----------------------------	--------------------------------------	---------------------------	-----------------------------	---------------	----------------	--------	------	------	------------------

FORM 990/990-PF

FURNITURE AND FIXTURES

1	FURNITURE & FIXTURES	12/31/05		1,249							1,249	1,249	200DB	HY	7	08920	0
---	----------------------	----------	--	-------	--	--	--	--	--	--	-------	-------	-------	----	---	-------	---

TOTAL FURNITURE AND FIXTURE

MACHINERY AND EQUIPMENT

2	DELL COMPUTER	12/31/05		919							919	919	200DB	HY	5	05760	0
3	COLOR PRINTER	12/31/05		1,117							1,117	1,052	200DB	HY	5	.05760	65

TOTAL MACHINERY AND EQUIPME

TOTAL DEPRECIATION

RENTAL ACTIVITY - BOCA ROSA PROPERTY

BUILDINGS

4	RESIDENTIAL - BOCA ROSA	11/01/09		1,534,623							1,534,623	53,482	S/L	MM	27.5	03636	55,799
---	-------------------------	----------	--	-----------	--	--	--	--	--	--	-----------	--------	-----	----	------	-------	--------

TOTAL BUILDINGS

LAND

5	LAND - BOCA ROSA	11/01/09		270,816							270,816						0
---	------------------	----------	--	---------	--	--	--	--	--	--	---------	--	--	--	--	--	---

TOTAL LAND

10/31/11

2010 FEDERAL BOOK DEPRECIATION SCHEDULE

PAGE 2

CLIENT GDWINFOU

LEO GOODWIN FOUNDATION, INC.

52-6054098

12/21/11

11 19AM

NO.	DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST/ BASIS	BUS PCT.	CUR 179/ BONUS ALLOW	SPECIAL DEPR	PRIOR 179/ BONUS/ SP. DEPR	PRIOR DEC BAL DEPR	SALVAGE /BASIS REDUCT	DEPR BASIS	PRIOR DEPR	METHOD	LIFE	RATE	CURRENT DEPR
	TOTAL DEPRECIATION			1,805,439		0	0	0	0	0	1,805,439	53,482				55,799
	GRAND TOTAL DEPRECIATION			1,808,724		0	0	0	0	0	1,808,724	56,702				55,864

2010

FEDERAL SUPPLEMENTAL INFORMATION

PAGE 1

CLIENT GDWINFOU

LEO GOODWIN FOUNDATION, INC.

52-6054098

12/21/11

11 19AM

FORM 990-PF, PART V11-B, LINE 5C

PLEASE SEE ATTACHED LETTER FROM GEICO DIRECT - SEE ATTACHMENT PF-2

LEO GOODWIN FOUNDATION, INC

EIN: 52-6054098

Schedule of Information for Form 990-PF

Year Ended October 31, 2011

PART XV, LINE 3(A) - GRANTS AND CONTRIBUTIONS PAID DURING YEAR

RECIPIENT	Relationship	Status of Recipient	Purpose of Grant or Contribution	Amount
ACHIEVEMENT & REHABILITATION CENTERS, INC	N/A	501(c)(3)	CHARITABLE	15,000
AMERICAN CONSTITUTION SPIRIT FOUNDATION	N/A	501(c)(3)	CHARITABLE	8,500
AMERICAN DIABETES ASSOCIATION	N/A	501(c)(3)	CHARITABLE	8,500
ANN STORCK CENTER, INC	N/A	501(c)(3)	CHARITABLE	10,000
BIG BROTHERS BIG SISTERS OF BROWARD COUNTY	N/A	501(c)(3)	CHARITABLE	2,500
BONNET HOUSE MUSEUM & GARDENS	N/A	501(c)(3)	CHARITABLE	5,000
BOYS & GIRLS CLUBS OF BROWARD COUNTY, INC	N/A	501(c)(3)	CHARITABLE	56,000
BROWARD CHILDREN'S CENTER	N/A	501(c)(3)	CHARITABLE	2,500
BROWARD COLLEGE FOUNDATION	N/A	501(c)(3)	EDUCATIONAL	25,000
BROWARD EDUCATION FOUNDATION - OCHO Project	N/A	501(c)(3)	EDUCATIONAL	3,000
BROWARD PARTNERSHIP FOR THE HOMELESS, INC	N/A	501(c)(3)	CHARITABLE	55,000
BROWARD PERFORMING ARTS FOUNDATION	N/A	501(c)(3)	CHARITABLE	5,000
CHILDREN'S DIAGNOSTIC TREATMENT CENTER	N/A	501(c)(3)	CHARITABLE	5,000
COVENANT HOUSE OF FLORIDA, INC	N/A	501(c)(3)	CHARITABLE	5,000
CYSTIC FIBROSIS OF FLORIDA - GOLD COAST GUILD	N/A	501(c)(3)	CHARITABLE	7,500
DAN MARINO FOUNDATION	N/A	501(c)(3)	CHARITABLE	5,000
FAMILY CENTRAL, INC	N/A	501(c)(3)	CHARITABLE	7,500
FLORIDA YOUTH ORCHESTRA	N/A	501(c)(3)	CHARITABLE	1,000
FORT LAUDERDALE CHILDREN'S THEATER	N/A	501(c)(3)	CHARITABLE	2,000
GEICO PHILANTHROPIC FOUNDATION, INC	N/A	501(c)(3)	EDUCATIONAL	10,000
GILDA'S CLUB	N/A	501(c)(3)	CHARITABLE	5,000
H A N D Y	N/A	501(c)(3)	CHARITABLE	2,500
HENDERSON BEHAVIORAL HEALTH	N/A	501(c)(3)	CHARITABLE	100,000
HOLY CROSS HOSPITAL AUXILIARY	N/A	501(c)(3)	CHARITABLE	5,000
HOSPICE OF BROWARD COUNTY	N/A	501(c)(3)	CHARITABLE	2,500
HUMANE SOCIETY OF BROWARD COUNTY	N/A	501(c)(3)	CHARITABLE	5,000
INSIGHT FOR THE BLIND	N/A	501(c)(3)	CHARITABLE	5,000
JACK & JILL CHILDREN'S CENTER	N/A	501(c)(3)	CHARITABLE	3,500
JASON TAYLOR FOUNDATION - Jason Taylor Reading Room	N/A	501(c)(3)	CHARITABLE	3,500
JUNIOR ACHIEVEMENT OF SOUTH FLORIDA	N/A	501(c)(3)	CHARITABLE	100,000
KIDS IN DISTRESS, INC	N/A	501(c)(3)	CHARITABLE	50,000
4KIDS OF SOUTH FLORIDA	N/A	501(c)(3)	CHARITABLE	1,000
LEADERSHIP BROWARD FOUNDATION, INC	N/A	501(c)(3)	CHARITABLE	5,000
LIGHT OF THE WORLD CLINIC	N/A	501(c)(3)	CHARITABLE	2,000
LUPUS FOUNDATION OF AMERICA	N/A	501(c)(3)	CHARITABLE	2,500
MAKE-A-WISH FOUNDATION	N/A	501(c)(3)	CHARITABLE	2,500
MUSEUM OF DISCOVERY & SCIENCE	N/A	501(c)(3)	CHARITABLE	7,500
PACE CENTER FOR GIRLS/BROWARD	N/A	501(c)(3)	CHARITABLE	55,000
REBUILDING TOGETHER BROWARD COUNTY INC	N/A	501(c)(3)	CHARITABLE	2,500
SHAPE - Students Helping Achieve Philanthropic Excellence	N/A	501(c)(3)	CHARITABLE	1,000
SOS CHILDREN'S VILLAGE OF FLORIDA	N/A	501(c)(3)	CHARITABLE	10,000
SPECIAL OLYMPICS BROWARD COUNTY	N/A	501(c)(3)	CHARITABLE	2,000
ST THOMAS AQUINAS HIGH SCHOOL	N/A	501(c)(3)	CHARITABLE	1,000
SUSAN B ANTHONY RECOVERY CENTER	N/A	501(c)(3)	CHARITABLE	5,000
SYMPHONY of the AMERICAS SOCIETY	N/A	501(c)(3)	CHARITABLE	1,000
THE HAVEN	N/A	501(c)(3)	CHARITABLE	3,000
VOLUNTEER ACTION CENTER of BROWARD	N/A	501(c)(3)	CHARITABLE	5,000
WOMEN IN DISTRESS	N/A	501(c)(3)	CHARITABLE	5,000
WPBT-CHANNEL 2	N/A	501(c)(3)	EDUCATIONAL	2,500
YOUNG AT ART MUSEUM	N/A	501(c)(3)	CHARITABLE	5,000
TOTAL CONTRIBUTIONS				\$ 639,500

GEICO

Washington, D.C.

Jan C. Stewart
Vice President
Human Resources

Office: (301) 986-2077
Fax: (202) 204-0334
E-mail: janstewart@geico.com

January 11, 2012

Helen M. Furia, Trustee
Leo Goodwin Foundation, Inc.
800 Corporate Drive, Suite 510
Fort Lauderdale, FL 33334

Dear Mrs. Furia:

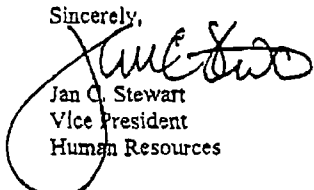
GEICO associates across the country just completed the year of celebrating our 75th anniversary. As Tony Nicely stated in his holiday message, "I'm confident that our founder, Leo Goodwin, would be bursting with pride over GEICO of today. The Goodwins would be amazed at the size of our family – more than 26,000 of us now – and at how we use the Internet to handle so much of our business. What would not surprise them is that GEICO continues to be one of the insurance industry's great success stories, because we practice what the Goodwins preached, keeping costs low and providing unbeatable service."

It is because of your continued support for Leo Goodwin Scholarship Program we are able to educate and train more students at local universities near our regional offices to carry on the Goodwin legacy. We appreciate your generous contribution of \$10,000 this year and are proud to offer scholarships in our founder's name.

Attached is the 2011 report detailing the status of the Leo Goodwin Foundation grants and the manner in which the funds were distributed.

Again, on behalf of GEICO, my sincere thanks to you and the other Trustees of the Goodwin Foundation for your continued support of the program.

Sincerely,



Jan C. Stewart
Vice President
Human Resources

JCS: dl

Attachments

cc: D. Lyons
O.M. Nicely
J. Cox
D. Lipsey

Government Employees Insurance Company
One GEICO Plaza ■ Washington, DC 20076-0001

ATTACHMENT PF-2

January 11, 2012

Board of Trustees
Leo Goodwin Foundation, Inc
800 Corporate Drive
Suite 510
Ft Lauderdale, FL 33334

RE: Leo Goodwin Scholarship Program

Ladies and Gentlemen:

I am pleased to provide the following information relative to GEICO Philanthropic Foundation as of this date.

(i) Name and Address of the grantee

GEICO Philanthropic Foundation
One GEICO Plaza
Washington, D.C. 20076

(ii) Date and amount of the grants.

October 29, 1986	\$28,000	October 30, 2000	\$35,000
October 27, 1987	\$35,000	October 03, 2001	\$20,000
October 31, 1988	\$20,000	October 16, 2002	\$10,000
October 26, 1989	\$20,000	October 15, 2003	\$20,000
October 23, 1990	\$25,000	October 11, 2004	\$20,000
October 23, 1991	\$30,000	October 12, 2005	\$25,000
October 22, 1992	\$38,000	October 09, 2006	\$25,000
October 14, 1993	\$50,000	October 15, 2007	\$25,000
October 17, 1994	\$50,000	2008 No Contribution	
October 12, 1995	\$30,000	October 13, 2009	\$15,000
October 14, 1996	\$30,000	October 12, 2010	\$10,000
October 04, 1997	\$35,000	October 12, 2011	\$10,000
October 14, 1998	\$35,000		
October 12, 1999	\$35,000		

(iii) Purpose of the grants.

Grants to be used in the furtherance of the GEICO Philanthropic Foundation Scholarship Program as delineated in the Agreement executed by the Trustees of the grantor on February 23, 1988

(iv) Amounts expended by the grantee.

As of the date of this report, \$686,250.00 has been expended under the program for scholarships and has been paid to the Scholarship Management Services. The grants made by the Leo Goodwin Foundation, Inc. are being used for scholarship purposes only. Administrative fees for the program are being paid by GEICO. Six \$2,500 scholarships and three renewal scholarship of \$2,500 were awarded in August 2011.

ATTACHMENT PF-2

January 11, 2012
Page 2

The 2011 scholarship recipients are

New Recipients

Samantha L. Arpino	Hofstra University
Fanta Conde	City University of NY at Baruch County
Rachelle Fabre	Hofstra University
Mike A. Ghoul	St. John's University
Samuel K. Matthews	St. John's University
Owen E. Myer	State University of NY at Stony Brook

Renewal Recipient

Rebecca Cheng	State University of NY at Stony Brook
Christopher W. Dillon	State University of NY at Stony Brook
Dimitra Hasiotis	State University of NY at Stony Brook

ATTACHMENT PF-2

January 11, 2012

Page 3

- (v) Whether the grantee has diverted any portion of the funds (or the income there from in the case of an endowment grant) from the purpose of the grant (to the knowledge of the grantor).

No diversion of funds has taken place as of the date of this report.

- (vi) Dates of any reports from the grantee.

This report is the twenty-third provided. The previous reports were submitted on the following dates:

*January 14, 1988	*November 11, 1993	*February 20, 2001	*January 11, 2007
*February 17, 1989	*October 31, 1994	*February 26, 2002	*December 22, 2009
*November 29, 1989	*November 02, 1995	*January 15, 2003	*December 22, 2009
*December 21, 1990	*January 08, 1997	*February 17, 2004	*January 7, 2011
*November 04, 1991	*February 02, 1998	*March 4, 2005	
*November 04, 1992	*February 23, 2000	*February 15, 2006	

- (vii) Beginning in 1992, the GEICO Work-Study and Leo Goodwin Scholarship Program was changed to a one-year program for the Washington, D.C. area only. Students must be in their junior year at the time of application. Successful candidates will receive a one-time, non-renewable scholarship of \$2,500 and an offer of paid part-time employment under a work-study arrangement.

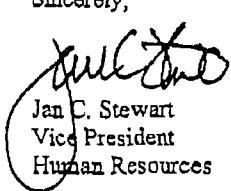
- (viii) Beginning in 1994, financial need is considered in selecting scholarship recipients. (See Addendum for text included in application process).

- (ix) Date of results of any verification of the grantee's reports undertaken pursuant to and to the extent required under Regulation Section 53.4945-5 (c) (1) of the Internal Revenue Code by the grantor or by others at the direction of the grantor.

The section referenced provides that "the grantor need not conduct any independent verification of such reports unless it has reason to doubt their accuracy or reliability."

As noted above, this report is the seventeenth provided.

Sincerely,


Jan C. Stewart
Vice President
Human Resources

ATTACHMENT PF-2

Geico Work-Study and Leo Goodwin
Scholarship Program

ADDENDUM

In addition to the academic, personal accomplishments and criteria listed in the program brochure, financial need will be considered in selecting scholarship recipients.

Your completed application has been received and a short questionnaire must be completed and returned to be considered for the award as soon as possible.

If you have any questions, please call Scholarship America at 1-800-537-4180 and ask for the GEICO Work-Study and Leo Goodwin Scholarship Program Manager.

Realized Gain/(Loss) From 11/01/2010 To 10/31/2011
Held At Oppenheimer & Co.
 Report printed on 11/16/2011 10:16 AM

LEO GOODWIN FOUNDATION INC
 HELEN FURIA TTEE
 OIA MANAGED ACCOUNT B&B P5
 800 CORPORATE DR STE 500
 FT LAUDERDALE FL 33334-3621
 H:954-772-6863

FA Bjelajac, N/Hord,
 FA# M7M

ATTACHMENT C - 1

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
10,000	ABBOTT LABS B/E 2.7% DUE 05/27/15 NOTE	100 0740	05/27/2010	10,007 40	103 0860	05/05/2011	10,308 60	301 20	002824AX8000	S
100,000	ANHEUSER BUSCH COS INC B/E 5% DUE 01/15/15 NOTE	107 1800	05/26/2010	107,180 00	110 9520	07/15/2011	110 952 00	3,772 00	035229CY7000	L
10,000	APACHE CORP B/E 5 625% DUE 01/15/17 NOTE	115 9740	08/25/2010	11,597 40	118 5950	09/29/2011	11,859 50	262 10	037411AS4000	L
70,000	APACHE CORP B/E 5 625% DUE 01/15/17 NOTE	115 2440	08/27/2010	80,670 80	118 5950	09/29/2011	83,016 50	2,345 70	037411AS4000	L
40,000	AT&T INC B/E 2.5% DUE 08/15/15 NOTE	99 9430	07/28/2010	39,977 20	102 2160	09/29/2011	40,886 40	909 20	00206RAV4000	L
5,000	BANK OF AMERICA CORPORATION B/E 4 875% DUE 09/15/12 NOTE	96 8400	08/03/2006	4,842 00	104 7380	04/14/2011	5,236 90	394 90	060505AR5000	L
10,000	BANK OF AMERICA CORPORATION B/E 4 75% DUE 08/01/15 NOTE	95 3240	10/19/2006	9,532 40	96 0100	09/29/2011	9,601 00	68 60	060505BS2000	L
25,000	BANK OF AMERICA CORPORATION B/E 4 75% DUE 08/01/15 NOTE	102 5110	12/29/2009	25,627 75	96 0100	09/29/2011	24,002 50	(1,625 25)	060505BS2000	L
25,000	BARRICK NORTH AMERICA FIN LLC B/E 6 8% DUE 09/15/18 NOTE	115 6530	05/04/2010	28,913 25	119 8270	05/05/2011	29,956 75	1,043 50	06849RAB8000	L

Positions and/or balances marked with an * have been posted to a non-U S currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2010 To 10/31/2011
Held At Oppenheimer & Co.
 Report printed on 11/16/2011 10:16 AM

LEO GOODWIN FOUNDATION INC

ATTACHMENT C - 2

User Name Bjelajac, N/Hord,

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
1,000	BELLSOUTH CORP B/E 5 2% DUE 12/15/16 NOTE	0.9904	02/02/2009	990.47	109.8480	04/13/2011	1,098.48	108.01	079860AL6000	L
1,000	BELLSOUTH CORP B/E 5 2% DUE 12/15/16 NOTE	1.0102	03/27/2009	1,010.22	109.8480	04/13/2011	1,098.48	88.26	079860AL6000	L
1,000	BELLSOUTH CORP B/E 5 2% DUE 12/15/16 NOTE	1.0374	07/01/2009	1,037.40	109.8480	04/13/2011	1,098.48	61.08	079860AL6000	L
50,000	BERKSHIRE HATHAWAY INC DEL B/E 2 2% DUE 08/15/16 NOTE	99.7180	08/11/2011	49,859.00	100.6480	09/29/2011	50,324.00	465.00	084670BB3000	S
10,000	BLACKROCK INC B/E 3 5% DUE 12/10/14 NOTE	100.5340	12/08/2009	10,053.40	106.5050	09/29/2011	10,650.50	597.10	09247XAD3000	L
25,000	BLACKROCK INC B/E 3 5% DUE 12/10/14 NOTE	99.3710	12/30/2009	24,842.75	106.5050	09/29/2011	26,626.25	1,783.50	09247XAD3000	L
35,000	BOSTON PPTY'S LTD PARTNERSHIP B/E 5 875% DUE 10/15/19 NOTE	103.0370	02/11/2010	36,062.95	109.6240	09/29/2011	38,368.40	2,305.45	10112RAQ7000	L
35,000	BP CAP MKTS P L C FGN 3 2% DUE 03/11/16 NOTE	99.8000	04/13/2011	34,930.00	104.0920	09/29/2011	36,432.20	1,502.20	05565QBQ0000	S
100,000	BRAZOS HIGHER ED AUTH INC TX 222% DUE 12/01/42 B/E REV TXBL FLT AUC RT 7DAY	100.0000	01/30/2008	100,000.00	100.0000	01/06/2011	100,000.00	0.00	10620NBJ6000	L
75,000	CATERPILLAR FINL SVCS MTNS BE B/E 4 75% DUE 02/17/15 MTN	109.3280	06/04/2010	81,996.00	111.1090	08/25/2011	83,331.75	1,335.75	14912L2M2000	L

Positions and/or balances marked with an * have been posted to a non-U.S. currency account.

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2010 To 10/31/2011
Held At Oppenheimer & Co.

Report printed on 11/16/2011 10:16 AM

LEO GOODWIN FOUNDATION INC

ATTACHMENT C - 3

User Name Bjelajac, N/Hord,

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
35,000	CATERPILLAR FINL SVCS MTNS BE B/E 2 05% DUE 08/01/16 MTN	100 7010	08/25/2011	35,245 35	100 4430	09/29/2011	35,155 05	(90 30)	14912L4X6000	S
3,000	CHESAPEAKE ENERGY CORP B/E 7 625% DUE 07/15/13 NOTE	1 0292	11/19/2009	3,087 75	106 0000	09/29/2011	3,180 00	92 25	165167BY2000	L
3,000	CITIZENS COMMUNICATIONS CO B/E 6 25% DUE 01/15/13 NOTE	0 9975	10/14/2009	2,992 50	100 7830	09/29/2011	3,023 49	30 99	17453BAP6000	L
35,000	CME GROUP INC B/E 5 75% DUE 02/15/14 NOTE	110 4140	02/11/2010	38,644 90	109 5800	09/29/2011	38,353 00	(291 90)	12572QAD7000	L
5,000	CONOCOPHILLIPS B/E 4 75% DUE 02/01/14 NOTE	1 0298	03/27/2009	5,149 18	109 0760	05/05/2011	5,453 80	304 62	20825CAS3000	L
75,000	CONOCOPHILLIPS B/E 4 6% DUE 01/15/15 NOTE	107 0570	12/30/2009	80,292 75	111 1610	08/11/2011	83,370 75	3,078 00	20825CAT1000	L
15,000	CONOCOPHILLIPS B/E 4 6% DUE 01/15/15 NOTE	108 1380	05/11/2010	16,220 70	111 1610	08/11/2011	16,674 15	453 45	20825CAT1000	L
3,000	CSC HLDGS INC B/E 7 625% DUE 07/15/18 DEB	1 0193	11/19/2009	3,057 99	105 2500	09/29/2011	3,157 50	99 51	CVC18	L
25,000	DEERE JOHN CAP CORP MTNS BE B/E 4 95% DUE 12/17/12 MTN	108 0450	10/06/2009	27,011 25	106 5050	04/19/2011	26,626 25	(385 00)	24422EQM4000	L
65,000	DEERE JOHN CAP CORP MTNS BE B/E 4 95% DUE 12/17/12 MTN	108 1980	12/29/2009	70,328 70	106 5050	04/19/2011	69,228 25	(1,100 45)	24422EQM4000	L

Positions and/or balances marked with an * have been posted to a non-US currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account

Realized Gain/(Loss) From 11/01/2010 To 10/31/2011
Held At Oppenheimer & Co.

Report printed on 11/16/2011 10:16 AM

LEO GOODWIN FOUNDATION INC

ATTACHMENT C - 4

User Name Bjelajac, N/Hord,

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
40,000	DEERE JOHN CAP CORP MTNS BE B/E 2.95% DUE 03/09/15 MTN	100.5650	03/04/2010	40,226.00	105.5480	09/30/2011	42,219.20	1,993.20	24422EQY8000	L
90,000	DEERE JOHN CAP CORP MTNS BE B/E 2.8% DUE 09/18/17 MTN	98.5090	04/19/2011	88,658.10	102.7830	09/30/2011	92,504.70	3,846.60	24422EQZ5000	S
90,000	DISNEY WALT CO MTNS BE B/E 6% DUE 07/17/17 MTN	114.6530	06/03/2010	103,187.70	117.9840	09/30/2011	106,185.60	2,997.90	25468PCG9000	L
15,000	DOW CHEM CO B/E 6.125% DUE 02/01/11 NOTE	107.6600	08/04/2005	16,150.00	100.0000	02/01/2011	15,000.00	(1,150.00)	DOW11	L
1,000	DUKE POWER CO LLC B/E 6.25% DUE 01/15/12 NOTE	1.0213	11/10/2006	1,021.33	104.1790	04/13/2011	1,041.79	20.46	264399DW3000	L
3,000	DUKE POWER CO LLC B/E 6.25% DUE 01/15/12 NOTE	1.0518	03/27/2009	3,155.43	104.1790	04/13/2011	3,125.37	(30.06)	264399DW3000	L
3,000	EL PASO CORP B/E 7.25% DUE 06/01/18 NOTE	0.9975	11/19/2009	2,992.50	104.5000	10/17/2011	3,135.00	142.50	28336LBR9000	L
225,000	FEDERAL NATL MTG ASSN B/E 2.375% DUE 07/28/15 NOTE	102.0838	07/20/2010	229,688.55	105.5290	09/27/2011	237,440.25	7,751.70	31398AU34000	L
50,000	FEDERAL NATL MTG ASSN B/E 1.88% DUE 10/13/15 NOTE	100.0000	09/21/2010	50,000.00	100.0000	10/13/2011	50,000.00	0.00	3136FPNB9000	L
2,000	FORD MOTOR CREDIT CO LLC B/E 7% DUE 10/01/13 NOTE	0.9612	01/22/2007	1,922.50	106.6500	12/20/2010	2,133.00	210.50	345397TZ6000	L

Positions and/or balances marked with an * have been posted to a non-U.S. currency account.
The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2010 To 10/31/2011
Held At Oppenheimer & Co.

Report printed on 11/16/2011 10:16 AM

LEO GOODWIN FOUNDATION INC

ATTACHMENT C - 5

User Name Bjelajac, N/Hord,

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
50,000	FRANCE TELECOM SA FGN 2 125% DUE 09/16/15 NOTE	99 8260	09/08/2010	49,913 00	98 6720	09/30/2011	49,336 00	(577 00)	35177PAU1000	L
5,000	GENERAL ELEC CAP CORP MTN BE B/E 4 8% DUE 05/01/13 MTN	100 4850	04/21/2008	5,024 25	106 3370	04/14/2011	5,316 85	292 60	36962G3T9000	L
15,000	GENERAL ELEC CAP CORP MTN BE B/E 5 9% DUE 05/13/14 MTN	109 7220	11/06/2009	16,458 30	109 5910	09/29/2011	16,438 65	(19 65)	36962G4C5000	L
20,000	GENERAL ELEC CAP CORP MTN BE B/E 5 9% DUE 05/13/14 MTN	109 2170	12/29/2009	21,843 40	109 5910	09/29/2011	21,918 20	74 80	36962G4C5000	L
3,000	GEORGIA PAC CORP B/E 7 75% DUE 11/15/29 DEB	0 7700	04/22/2009	2,310 00	114 0860	10/03/2011	3,422 58	1,112 58	GP29	L
1,000	GEORGIA PAC CORP B/E 7 75% DUE 11/15/29 DEB	0 7850	06/30/2009	785 00	114 0860	10/03/2011	1,140 86	355 86	GP29	L
2,000	GMAC LLC B/E 6 875% DUE 08/28/12 NOTE	0 8087	05/07/2008	1,617 51	103 5000	12/20/2010	2,070 00	452 49	370425SE1000	L
2,000	GOLDMAN SACHS GROUP INC B/E 5 15% DUE 01/15/14 NOTE	0 9642	09/14/2007	1,928 56	103 8860	09/29/2011	2,077 72	149 16	38143UAB7000	L
5,000	GOLDMAN SACHS GROUP INC B/E 5 125% DUE 01/15/15 NOTE	95 9220	09/26/2007	4,796 10	103 4240	09/29/2011	5,171 20	375 10	38141GEA8000	L
2,000	GOLDMAN SACHS GROUP INC B/E 5 15% DUE 01/15/14 NOTE	0 9925	12/21/2007	1,985 08	103 8860	09/29/2011	2,077 72	92 64	38143UAB7000	L

Positions and/or balances marked with an * have been posted to a non-US currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2010 To 10/31/2011
Held At Oppenheimer & Co.

Report printed on 11/16/2011 10:16 AM

LEO GOODWIN FOUNDATION INC

ATTACHMENT C - 6

User Name Bjelajac, N/Hord,

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
2,000	GOLDMAN SACHS GROUP INC B/E 5 15% DUE 01/15/14 NOTE	1 0029	01/14/2008	2,005 90	103 8860	09/29/2011	2,077 72	71 82	38143UAB7000	L
4,000	GOLDMAN SACHS GROUP INC B/E 5 15% DUE 01/15/14 NOTE	0 9412	03/27/2009	3,765 00	103 8860	09/29/2011	4,155 44	390 44	38143UAB7000	L
2,000	GOLDMAN SACHS GROUP INC B/E 5 15% DUE 01/15/14 NOTE	1 0132	06/30/2009	2,026 52	103 8860	09/29/2011	2,077 72	51 20	38143UAB7000	L
10,000	GOLDMAN SACHS GRP INC MTN BE B/E 6% DUE 05/01/14 MTN	108 3750	08/10/2009	10,837 50	105 8160	09/29/2011	10,581 60	(255 90)	38141EA33000	L
15,000	GOLDMAN SACHS GRP INC MTN BE B/E 6% DUE 05/01/14 MTN	110 4330	12/29/2009	16,564 95	105 8160	09/29/2011	15,872 40	(692 55)	38141EA33000	L
5,000	HEWLETT PACKARD CO B/E 4 75% DUE 06/02/14 NOTE	106 1250	07/14/2009	5,306 25	107 1850	09/30/2011	5,359 25	53 00	428236AV5000	L
45,000	HEWLETT PACKARD CO B/E 4 75% DUE 06/02/14 NOTE	106 9940	12/29/2009	48,147 30	107 1850	09/30/2011	48,233 25	85 95	428236AV5000	L
50,000	HOME DEPOT INC B/E 4 4% DUE 04/01/21 NOTE CALL 01/01/21 @2100	102 7600	07/15/2011	51,380 00	107 8080	09/30/2011	53,904 00	2,524 00	437076AW2000	S
10,000	HOUSEHOLD FIN CORP B/E 4 75% DUE 07/15/13 NOTE	95 6060	08/29/2006	9,560 60	103 3250	09/29/2011	10,332 50	771 90	441812KD5000	L
15,000	HOUSEHOLD FIN CORP B/E 4 75% DUE 07/15/13 NOTE	104 9980	12/29/2009	15,749 70	103 3250	09/29/2011	15,498 75	(250 95)	441812KD5000	L

Positions and/or balances marked with an * have been posted to a non-U.S. currency account.
The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2010 To 10/31/2011
Held At Oppenheimer & Co.
 Report printed on 11/16/2011 10:16 AM

User Name Bjelajac, N/Hord,

LEO GOODWIN FOUNDATION INC

ATTACHMENT C - 7

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/ (Loss)	Symbol	Term :GL
10,000	INTERNATIONAL BUSINESS MACHS B/E 6 5% DUE 10/15/13 NOTE	111 7960	02/06/2009	11,179 60	112 7290	05/05/2011	11,272 90	93 30	459200GN5000	L
5,000	JPMORGAN CHASE & CO B/E 4 75% DUE 05/01/13 NOTE	99 6830	04/21/2008	4,984 15	107 5510	11/18/2010	5,377 55	393 40	46625HHB9000	L
5,000	JPMORGAN CHASE & CO B/E 4 75% DUE 05/01/13 NOTE	97 1020	08/01/2008	4,855 10	107 5510	11/18/2010	5,377 55	522 45	46625HHB9000	L
5,000	JPMORGAN CHASE & CO B/E 4 75% DUE 05/01/13 NOTE	97 3170	08/14/2008	4,865 85	107 5510	11/18/2010	5,377 55	511 70	46625HHB9000	L
90,000	LOWES COS INC B/E 5% DUE 10/15/15 NOTE	109 6210	12/30/2009	98,658 90	113 0440	09/30/2011	101,739 60	3,080 70	548661CH8000	L
80,000	MEDTRONIC INC B/E 3% DUE 03/15/15 NOTE	100 2120	03/12/2010	80,169 60	106 0480	09/14/2011	84,838 40	4,668 80	585055AR7000	L
10,000	MERCK & CO INC B/E 4% DUE 06/30/15 NOTE	104 7200	10/09/2009	10,472 00	110 0180	09/30/2011	11,001 80	529 80	589331AP2000	L
40,000	MERCK & CO INC B/E 4% DUE 06/30/15 NOTE	104 9950	12/29/2009	41,998 00	110 0180	09/30/2011	44,007 20	2,009 20	589331AP2000	L
3,000	MORGAN STANLEY B/E 4 75% DUE 04/01/14 NOTE	0 9372	09/14/2007	2,811 72	95 7170	09/29/2011	2,871 51	59 79	61748AAE6000	L
4,000	MORGAN STANLEY B/E 4 75% DUE 04/01/14 NOTE	0 9390	06/19/2009	3,756 20	95 7170	09/29/2011	3,828 68	72 48	61748AAE6000	L

Positions and/or balances marked with an * have been posted to a non-U.S. currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2010 To 10/31/2011
Held At Oppenheimer & Co.
 Report printed on 11/16/2011 10:16 AM

LEO GOODWIN FOUNDATION INC

ATTACHMENT C - 8

User Name Bjelajac, N/Hord,

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
1,000	MORGAN STANLEY B/E 4 75% DUE 04/01/14 NOTE	0 9530	06/30/2009	953 00	95 7170	09/29/2011	957 17	4 17	61748AAE6000	L
5,000	MORGAN STANLEY B/E 5 375% DUE 10/15/15 NOTE	105 9110	12/08/2009	5,295 55	98 7790	09/29/2011	4,938 95	(356 60)	61746SBR9000	L
40,000	MORGAN STANLEY B/E 4 75% DUE 04/01/14 NOTE	103 5180	01/12/2011	41,407 20	95 7170	09/29/2011	38,286 80	(3,120 40)	61748AAE6000	S
2,000	NEWS AMER INC B/E 6 4% DUE 12/15/35 NOTE	0 9740	10/10/2006	1,948 03	101 7370	04/13/2011	2,034 74	86 71	652482BL3000	L
1,000	NEWS AMER INC B/E 6 4% DUE 12/15/35 NOTE	0 9150	06/30/2009	915 00	101 7370	04/13/2011	1,017 37	102 37	652482BL3000	L
40,000	OCCIDENTAL PETE CORP DEL. B/E 2 5% DUE 02/01/16 NOTE	99 8830	12/21/2010	39,953 20	104 2970	09/30/2011	41,718 80	1,765 60	674599BZ7000	S
50,000	OMNICOM GROUP INC B/E 6 25% DUE 07/15/19 NOTE	108 0860	02/11/2010	54,043 00	112 5250	10/03/2011	56,262 50	2,219 50	681919AY2000	L
100,000	PACIFICORP B/E 5 5% DUE 01/15/19 MTGE	106 7630	02/11/2010	106,763 00	120 4063	09/30/2011	120,406 25	13,643 25	695114CK2000	L
35,000	PRUDENTIAL FINL INC MTNS BOOK B/E 3% DUE 05/12/16 MTN	100 8920	07/15/2011	35,312 20	98 5830	09/29/2011	34,504 05	(808 15)	74432QBR5000	S
45,000	RIO TINTO FIN USA LTD B/E 2 5% DUE 05/20/16 NOTE	99 5720	05/19/2011	44,807 40	100 7750	09/30/2011	45,348 75	541 35	767201AM8000	S

Positions and/or balances marked with an * have been posted to a non-U.S. currency account.
 The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2010 To 10/31/2011
Held At Oppenheimer & Co.
 Report printed on 11/16/2011 10:16 AM

LEO GOODWIN FOUNDATION INC

ATTACHMENT C - 9

User Name Bjelajac, N/Hord,

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
10,000	SHELL INTERNATIONAL FIN BV FCN 3 25% DUE 09/22/15 NOTE	100 0480	09/23/2009	10,004 80	106 6620	09/30/2011	10,666 20	661 40	822582AH5000	L
30,000	SHELL INTERNATIONAL FIN BV FCN 3 25% DUE 09/22/15 NOTE	100 5530	12/29/2009	30,165 90	106 6620	09/30/2011	31,998 60	1,832 70	822582AH5000	L
3,000	SIMON PTY GROUP LP B/E 6 125% DUE 05/30/18 NOTE	0 9890	08/10/2009	2,967 00	110 9330	04/13/2011	3,327 99	360 99	828807BZ9000	L
30,000	SIMON PTY GROUP LP B/E 5 75% DUE 12/01/15 NOTE CALL 09/02/15 @100	113 2471	08/18/2010	33,974 12	110 8650	09/29/2011	33,259 50	(714 62)	828807BP1000	L
3,000	SPRINT CAP CORP B/E 6 875% DUE 11/15/28 NOTE	1 0030	05/11/2007	3,009 12	93 6250	03/21/2011	2,808 75	(200 37)	FON28	L
2,000	SPRINT CAP CORP B/E 6 875% DUE 11/15/28 NOTE	0 9620	10/17/2007	1,924 08	93 6250	03/21/2011	1,872 50	(51 58)	FON28	L
7,000	SPRINT CAP CORP B/E 6 875% DUE 11/15/28 NOTE	0 5975	03/27/2009	4,182 50	93 6250	03/21/2011	6,553 75	2,371 25	FON28	L
2,000	SPRINT CAP CORP B/E 6 875% DUE 11/15/28 NOTE	0 7000	06/30/2009	1,400 00	93 6250	03/21/2011	1,872 50	472 50	FON28	L
14,000	SPRINT NEXTEL CORP B/E 6% DUE 12/01/16 NOTE	101 4900	03/22/2011	14,208 60	89 0000	09/29/2011	12,460 00	(1,748 60)	852061AD2000	S
45,000	STRYKER CORP B/E 2% DUE 09/30/16 NOTE	100.1710	09/14/2011	45,076 95	100 6060	09/30/2011	45,272 70	195 75	863667AC5000	S

Positions and/or balances marked with an * have been posted to a non-U S currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account

Realized Gain/(Loss) From 11/01/2010 To 10/31/2011

Held At Oppenheimer & Co.

Report printed on 11/16/2011 10:16 AM

LEO GOODWIN FOUNDATION INC

ATTACHMENT C - 10

User Name: Bjelajac, N/Hord,

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
3,000	TELEFONICA EMISIONES S A U B/E 6 221% DUE 07/03/17 NOTE	1 0249	10/17/2007	3,074 87	109 0150	04/13/2011	3,270 45	195 58	87938WAG8000	L
1,000	TELEFONICA EMISIONES S A U B/E 6 221% DUE 07/03/17 NOTE	1 0439	03/27/2009	1,043 99	109 0150	04/13/2011	1,090 15	46 16	87938WAG8000	L
50,000	TELEFONICA EMISIONES S A U FGN 5 877% DUE 07/15/19 NOTE	104 2120	02/11/2010	52,106 00	97 6950	10/03/2011	48,847 50	(3,258 50)	87938WAH6000	L
6,000	TIME WARNER CABLE INC B/E 5 85% DUE 05/01/17 NOTE	1 0580	11/18/2009	6,348 14	109 0180	04/06/2011	6,541 08	192 94	88732JAH1000	L
10,000	TOTAL CAPITAL B/E 3 125% DUE 10/02/15 NOTE	101 3880	12/09/2009	10,138 80	105 9860	09/30/2011	10,598 60	459 80	89152UAA0000	L
30,000	TOTAL CAPITAL B/E 3 125% DUE 10/02/15 NOTE	99 4350	12/29/2009	29,830 50	105 9860	09/30/2011	31,795 80	1,965 30	89152UAA0000	L
40,000	UNITED STATES TREAS NTS B/E 4% DUE 02/15/15 NOTE	94 0508	08/17/2006	37,620 31	111 6328	10/03/2011	44,653 12	7,032 81	912828DM9000	L
10,000	UNITED STATES TREAS NTS B/E 4 75% DUE 01/31/12 NOTE	101 8867	09/21/2007	10,188 67	104 8828	12/16/2010	10,488 28	299 61	912828GF1000	L
15,000	UNITED STATES TREAS NTS B/E 4% DUE 02/15/15 NOTE	101 8203	11/27/2007	15,273 05	111 6328	10/03/2011	16,744 92	1,471 87	912828DM9000	L
4,000	UNITED STATES TREAS NTS B/E 4% DUE 08/15/18 NOTE	110 3500	03/31/2009	4,414 00	117 5195	10/03/2011	4,700 78	286 78	912828JH4000	L

Positions and/or balances marked with an * have been posted to a non-US currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account

Realized Gain/(Loss) From 11/01/2010 To 10/31/2011
Held At Oppenheimer & Co.
 Report printed on 11/16/2011 10:16 AM

LEO GOODWIN FOUNDATION INC

ATTACHMENT C - 11

User Name Bjelajac, N/Hord,

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
2,000	UNITED STATES TREAS NTS B/E 4% DUE 08/15/18 NOTE	103 9000	07/31/2009	2,078 00	117 5195	10/03/2011	2,350 39	272 39	912828JH4000	L
2,000	UNITED STATES TREAS NTS B/E 4% DUE 08/15/18 NOTE	101 7000	08/10/2009	2,034 00	117 5195	10/03/2011	2,350 39	316 39	912828JH4000	L
2,000	UNITED STATES TREAS NTS B/E 4% DUE 08/15/18 NOTE	103 7500	08/18/2009	2,075 00	117 5195	10/03/2011	2,350 39	275 39	912828JH4000	L
2,000	UNITED STATES TREAS NTS B/E 4% DUE 08/15/18 NOTE	104 6500	08/31/2009	2,093 00	117 5195	10/03/2011	2,350 39	257 39	912828JH4000	L
2,000	UNITED STATES TREAS NTS B/E 4% DUE 08/15/18 NOTE	104 6000	11/09/2009	2,092 00	117 5195	10/03/2011	2,350 39	258 39	912828JH4000	L
80,000	UNITED STATES TREAS NTS B/E 4 75% DUE 01/31/12 NOTE	107 4883	12/29/2009	85,990 62	104 8828	12/16/2010	83,906 25	(2,084 37)	912828GF1000	S
35,000	UNITED STATES TREAS NTS B/E 4% DUE 02/15/15 NOTE	106 6133	12/30/2009	37,314 65	111 6328	10/03/2011	39,071 48	1,756 84	912828DM9000	L
95,000	UNITED STATES TREAS NTS B/E 1 375% DUE 05/15/13 NOTE	101 2383	12/16/2010	96,176 37	101 5273	05/19/2011	96,450 98	274 61	912828NC0000	S
90,000	VERIZON COMMUNICATIONS INC B/E 5 5% DUE 02/15/18 NOTE	104 8920	02/11/2010	94,402 80	116 0710	09/30/2011	104,463 90	10,061 10	92343VAL8000	L
1,000	VODAFONE GROUP PLC NEW B/E 6 15% DUE 02/27/37 NOTE	0 9885	03/07/2007	988 50	110 0810	07/15/2011	1,100 81	112 31	92857WAO3000	L

Positions and/or balances marked with an * have been posted to a non-U.S. currency account.

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2010 To 10/31/2011
Held At Oppenheimer & Co.

Report printed on 11/16/2011 10:16 AM

LEO GOODWIN FOUNDATION INC

ATTACHMENT C - 12

User Name Bjelajac, N/Hord,

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term C/L
2,000	VODAFONE GROUP PLC NEW B/E 6 15% DUE 02/27/37 NOTE	0 9891	05/15/2007	1,978 22	110 0810	07/15/2011	2,201 62	223 40	92857WAQ3000	L
1,000	VODAFONE GROUP PLC NEW B/E 6 15% DUE 02/27/37 NOTE	0 9943	06/30/2009	994 30	110 0810	07/15/2011	1,100 81	106 51	92857WAQ3000	L
90,000	VODAFONE GROUP PLC NEW FGN 4 15% DUE 06/10/14 NOTE	104 1070	02/11/2010	93,696 30	107 7990	07/15/2011	97,019 10	3,322 80	92857WAT7000	L
2,000	WACHOVIA CORP GLOBAL MTN B/E 5 75% DUE 02/01/18 MTN	0 9081	03/27/2009	1,816 28	112 9160	09/29/2011	2,258 32	442 04	92976WBH8000	L
1,000	WACHOVIA CORP GLOBAL MTN B/E 5 75% DUE 02/01/18 MTN	0 9887	06/30/2009	988 75	112 9160	09/29/2011	1,129 16	140 41	92976WBH8000	L
5,000	WELLS FARGO & CO NEW B/E 4 95% DUE 10/16/13 NOTE	96 6880	02/05/2009	4,834 40	107 1660	04/14/2011	5,358 30	523 90	949746F15000	L
1,000	XEROX CORP B/E 6 875% DUE 08/15/11 NOTE	1 0016	07/21/2006	1,001 60	101 9630	04/13/2011	1,019 63	18 03	984121BN2000	L
2,000	XEROX CORP B/E 6 875% DUE 08/15/11 NOTE	1 0176	11/10/2006	2,035 26	101 9630	04/13/2011	2,039 26	4 00	984121BN2000	L
		TOTAL		3,094,858 64			3,187,781 11			

TOTAL ST COST	673,012 39	TOTAL ST PROCEEDS	676,576 88
TOTAL LT COST	2,421,846 25	TOTAL LT PROCEEDS	2,511,204 23
TOTAL COST	3,094,858 64	TOTAL PROCEEDS	3,187,781 11

Positions and/or balances marked with an * have been posted to a non-U.S. currency account
The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account

Realized Gain/(Loss) From 11/01/2010 To 10/31/2011
Held At Oppenheimer & Co.

Report printed on 11/16/2011 10:16 AM

LEO GOODWIN FOUNDATION INC

ATTACHMENT C - 13

User Name Bjelajac, N/Hord,

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
TOTAL SHORT TERM GAIN/(LOSS)						3,564.49				
TOTAL LONG TERM GAIN/(LOSS)						89,357.99				
TOTAL REALIZED GAIN/(LOSS)						92,922.48				

Positions and/or balances marked with an * have been posted to a non-U.S. currency account
The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account

ATTACHMENT C - 14

Log Out

Accounts Trade Research Products Specialty Service Messages Contact Us Enter keyword Search
 Summary Balances Positions History Transfers & Payments Message Center

History

[Export](#) | [Feedback](#) | [Print](#) | [Help](#) | [A](#) [A](#) [A](#)
Page last updated 1:43 PM ET 11/14/2011 [Refresh](#) Conc Equity - Hedged 1617-6932

Quick Links

Transactions Statements & Reports Realized Gain / Loss

Trades made today will not appear until tomorrow

[View Unrealized Gain/Loss](#)[Rules & Assumptions](#)

Select Date Range From To
 Custom Date Range 11/01/2010 10/31/2011 Search

Reporting Period: 01 Nov, 2010 to 31 Oct, 2011

Conc Equity - Hedged 1617-6932		Proceeds	Cost Basis	Total Gain/Loss (\$ %)	Long Term Gain/Loss (\$ %)	Short Term Gain/Loss (\$ %)
Total		\$1,670,136.07	\$1,623,070.20	+\$47,065.87	+\$81,893.94	-\$34,828.07

Symbol	Name (Full /Short)	Closed Date	Quantity	Proceeds	Cost Basis	Total Gain/Loss (\$ %)	Long Term Gain/Loss (\$ %)	Short Term Gain/Loss (\$ %)
CAAPX	ARIEL APPREC	09/28/2011	213.919	\$7,894.67	\$7,542.78	+\$151.89	+\$151.89	--
CAAPX	ARIEL APPREC	08/10/2011	1,570.081	\$88,643.94	\$54,838.99	+\$13,806.95	+\$13,806.95	--
CAAPX	ARIEL APPREC	05/27/2011	1,427.635	\$65,585.55	\$46,082.75	+\$17,502.80	+\$17,502.80	--
FAIRX	FAIRHOLME FU	08/20/2011	4,487.138	\$140,412.42	\$141,055.59	-\$643.17	+\$81.36	-\$724.53
FBRVX	FBR FOCUS FU	10/24/2011	1,308.948	\$65,412.75	\$66,510.43	-\$1,097.68	--	-\$1,097.68
FBRVX	FBR FOCUS FU	10/03/2011	2,310.528	\$97,666.02	\$103,505.09	-\$5,839.07	+\$1,185.38	-\$7,004.45
FBRVX	FBR FOCUS FU	09/26/2011	869.998	\$30,076.21	\$27,280.53	+\$2,795.68	+\$2,795.68	--
FBRVX	FBR FOCUS FU	06/10/2011	889.761	\$42,539.47	\$35,590.44	+\$6,949.03	+\$6,949.03	--
LLINX	LONGLEAF PAR	05/23/2011	9,312.243	\$142,349.20	\$124,585.03	+\$17,764.17	+\$904.07	-\$16,860.10
LLINX	LONGLEAF PAR	04/13/2011	4,539.097	\$71,955.08	\$61,472.85	+\$10,482.13	+\$10,482.13	--
LLINX	LONGLEAF PAR	03/07/2011	4,344.569	\$69,043.65	\$56,804.66	+\$12,238.99	+\$12,238.99	--
OAKWX	OAKMARK GLOB	10/03/2011	7,931.727	\$76,382.53	\$93,435.75	-\$17,053.22	--	-\$17,053.22
OAKWX	OAKMARK GLOB	09/28/2011	2,328.629	\$23,542.44	\$27,431.25	-\$3,888.81	--	-\$3,888.81
QSTFX	OSTERWEIS FU	09/28/2011	924.512	\$22,107.07	\$22,298.50	-\$191.43	-\$191.43	--
QSTFX	OSTERWEIS FU	06/10/2011	2,558.971	\$70,694.95	\$60,364.45	+\$10,330.50	+\$10,330.50	--
QSTFX	OSTERWEIS FU	06/08/2011	1,307.583	\$36,368.12	\$30,691.53	+\$5,676.59	+\$5,676.59	--
SH	PROSHARES SH	05/08/2011	1,783	\$72,511.94	\$73,171.94	-\$660.00	--	-\$660.00
SH	PROSHARES SH	03/07/2011	1,138	\$47,895.09	\$46,702.00	+\$893.09	--	+\$893.09
DOG	PROSHARES SH	02/18/2011	2,725	\$112,596.52	\$133,864.22	-\$21,267.70	--	-\$21,267.70
SEE	PROSHS SHORT	07/22/2011	3,794	\$137,103.20	\$136,234.74	+\$868.46	--	+\$868.46
SEE	PROSHS SHORT	08/20/2011	912	\$34,281.77	\$32,335.65	+\$1,946.12	--	+\$1,946.12
RYURX	RYDEX INVERS	09/26/2011	1,908.661	\$59,168.49	\$52,793.56	+\$6,374.93	--	+\$6,374.93
RYURX	RYDEX INVERS	12/03/2010	2,226.936	\$69,703.10	\$76,576.48	-\$6,873.38	--	-\$6,873.38
RYURX	RYDEX INVERS	11/09/2010	2,299.143	\$72,951.81	\$76,423.52	-\$3,471.71	--	-\$3,471.71
YAFFX	YACKTMAN FOC	09/26/2011	1,896.848	\$33,650.08	\$33,479.37	+\$170.71	--	+\$170.71

☒ Total includes missing cost basis values for one or more lots. You can update missing cost basis values by using the Edit functionality—clicking on the Missing hyperlinks until reaching the Edit page.

☒ Data for this holding has been edited.

☒ Wash Sale activity has adjusted this cost. For additional information, [click here](#).

☒ The total Realized Gain/Loss for this account includes values for Short Positions held in the account. For more information on summary totals when there are Short Positions, please see the Help Section.

N/A Not Available

Values for fixed income securities have been adjusted for amortization/accretion with the exception of variable rate and mortgage-backed securities or for accounts with this preference turned off.

Total Cash represents income and expenses as they occur. It may be inconsistent with values shown elsewhere on Schwab.com and on your monthly account statement.

The Realized Gain/Loss page provides summary information of closed transactions. Not all closed transactions appear on this page.

S/T	930,549.10	965,377.17	(34,828.07)
L/T	739,586.97	657,693.03	81,893.94

ATTACHMENT C - 15

Log Out

Accounts Trade Research Products Specialty Service Messages
Summary Balances Positions History Transfers & Payments Message Center

Contact Us

Enter keyword

Search

History

[Export](#) | [Feedback](#) | [Print](#) | [Help](#) | [A](#) [A](#) [A](#)
Page last updated 1:54 PM ET 11/14/2011 [Refresh](#) Conc Equity-No Hedge 4592-2022

Quick Links

Transactions Statements & Reports Realized Gain / Loss

Trades made today will not appear until tomorrow

[View Unrealized Gain/Loss](#)[Rules & Assumptions](#)

Select Date Range From To
Custom Date Range 11/01/2010 10/31/2011 Search

Reporting Period: 01 Nov, 2010 to 31 Oct, 2011

Conc Equity-No Hedge 4592-2022	Proceeds	Cost Basis	Total Gain/Loss (\$ %)	Long Term Gain/Loss (\$ %)	Short Term Gain/Loss (\$ %)
Total	\$2,137,531.91	\$1,915,488.96	+\$222,042.95	+\$179,920.13	+\$42,122.82

Symbol	Name (Full Short)	Closed Date	Quantity	Proceeds	Cost Basis	Total Gain/Loss (\$ %)	Long Term Gain/Loss (\$ %)	Short Term Gain/Loss (\$ %)
CAAPX	ARIEL APPREC	07/08/2011	7,958.25	\$371,411.53	\$300,180.52	+\$71,251.01	+\$71,240.27	+\$10.74
CAAPX	ARIEL APPREC	03/14/2011	607.943	\$27,023.07	\$22,101.95	+\$4,921.12	+\$3,896.57	+\$1,024.55
CAAPX	ARIEL APPREC	11/15/2010	430.189	\$17,121.52	\$15,168.46	+\$1,953.06	--	+\$1,953.06
FAIRX	FAIRHOLME FU	07/08/2011	5,939.855	\$192,950.89	\$202,999.53	-\$10,048.64	-\$9,497.21	-\$551.43
FAIRX	FAIRHOLME FU	11/15/2010	3,070.504	\$107,002.76	\$102,065.56	+\$4,937.20	--	+\$4,937.20
FBRVX	FBR FOCUS FU	07/08/2011	5,512.218	\$282,776.78	\$243,533.27	+\$39,243.51	+\$38,840.72	+\$402.79
FBRVX	FBR FOCUS FU	11/15/2010	679.532	\$33,337.84	\$28,153.01	+\$5,184.83	--	+\$5,184.83
LLINX	LONGLEAF PAR	07/08/2011	13,320.93	\$211,901.00	\$179,238.24	+\$32,662.76	+\$32,501.80	+\$160.96
LLINX	LONGLEAF PAR	04/13/2011	7,062.937	\$111,983.18	\$101,234.87	+\$10,748.31	+\$10,748.31	--
LLINX	LONGLEAF PAR	03/14/2011	4,828.464	\$72,354.17	\$65,847.39	+\$6,506.78	+\$191.15	+\$6,315.63
LLINX	LONGLEAF PAR	11/15/2010	689.198	\$10,365.00	\$9,017.19	+\$1,347.81	--	+\$1,347.81
OSTFX	OSTERWEIS FU	07/08/2011	12,923.442	\$368,670.80	\$334,275.41	+\$34,395.39	+\$31,998.52	+\$2,396.87
YAFFX	YACKTMAN FOC	07/08/2011	17,220.488	\$330,633.37	\$311,693.56	+\$18,939.81	--	+\$18,939.81

☐ Total includes missing cost basis values for one or more lots. You can update missing cost basis values by using the Edit functionality—clicking on the Missing hyperlinks until reaching the Edit page.

☐ Data for this holding has been edited.

☐ Wash Sale activity has adjusted this cost. For additional information, [click here](#).

☐ The total Realized Gain/Loss for this account includes values for Short Positions held in the account. For more information on summary totals when there are Short Positions, please see the Help Section.

N/A Not Available

Values for fixed income securities have been adjusted for amortization/accretion, with the exception of variable rate and mortgage-backed securities or for accounts with this preference turned off.

Total Cash represents income and expenses as they occur. It may be inconsistent with values shown elsewhere on Schwab.com and on your monthly account statement.

The Realized Gain/Loss page provides summary information of closed transactions. Not all closed transactions appear on this page.

The information on this page is for estimating portfolio performance only. It is not intended as tax or legal advice and should not be used for tax purposes. Schwab is not responsible for the accuracy or completeness of the information you supply. Please check your records carefully before supplying any information.

Please note that gains and losses realized in retirement accounts are not recognized for tax purposes. Rather, income may be recognized when you receive a cash distribution from these accounts. Please contact your tax advisor for further information.

The total Realized Gain/Loss for this account includes values for Short Positions held in the account. For more information on summary totals when there are Short Positions, please see the Help Section.

(0611-3926)

S/T	1,763,195.70	1,721,072.88	42,122.82
L/T	374,336.21	194,416.08	179,920.13

[Accounts](#) | [Trade](#) | [Research](#) | [Products](#) | [Specialty](#) | [Service](#)
[Summary](#) | [Balances](#) | [Positions](#) | [History](#) | [Transfers & Payments](#) | [Message Center](#)

[Return to Top](#)

Brokerage Products • Not FDIC Insured • No Bank Guarantee • May Lose Value

Account 4592-2022
Today's Date 11/14/11 01:54 PM EST

Charles Schwab & Co., Inc., Charles Schwab Bank, and optionsXpress, Inc. are separate but affiliated companies and subsidiaries of The Charles Schwab Corporation. Brokerage products are offered by Charles Schwab & Co., Inc. (Member SIPC) ("Schwab") and optionsXpress, Inc. (Member SIPC) ("optionsXpress"). Deposit and lending products and services are offered by Charles Schwab Bank, Member FDIC and an Equal Housing

ATTACHMENT C - 16

Log Out

Accounts Trade Research Products Specialty Service Messages Contact Us Enter keyword Search

Summary Balances Positions History Transfers & Payments Message Center

History

[Export](#) | [Feedback](#) | [Print](#) | [Help](#) | [A](#) [A](#) [A](#)
Page last updated 1:57 PM ET 11/14/2011 [Refresh](#) Gateway-Mutuals 4126-9084

Quick Links

Transactions Statements & Reports Realized Gain / Loss

Trades made today will not appear until tomorrow

[View Unrealized Gain/Loss](#)[Rules & Assumptions](#)

Select Date Range From To

Custom Date Range 11/01/2010 10/31/2011 Search

Reporting Period: 01 Nov, 2010 to 31 Oct, 2011

Gateway-Mutuals 4126-9084		Proceeds	Cost Basis	Total Gain/Loss (\$ %)	Long Term Gain/Loss (\$ %)	Short Term Gain/Loss (\$ %)
Total		\$974,723.87	\$954,564.38	+\$23,071.22	+\$29,645.59	-\$6,574.37

Symbol	Name (Full Short)	Closed Date	Quantity	Proceeds	Cost Basis	Total Gain/Loss (\$ %)	Long Term Gain/Loss (\$ %)	Short Term Gain/Loss (\$ %)
CMNIX	CALAMOS MARK	09/26/2011	810.345	\$9,429.83	<u>\$8,150.96</u>	+\$1,278.87	+\$1,278.87	--
CVSIX	CALAMOS MARK	04/07/2011	3,745.902	\$45,662.55	<u>\$40,036.64</u>	+\$5,625.91	+\$5,625.91	--
DIAMX	DIAMOND HILL	04/07/2011	6,714.758	\$113,680.85	<u>\$103,105.36</u>	+\$10,575.49	+\$5,195.50	+\$5,379.99
FPACX	FPA CRESCENT	09/26/2011	310.633	\$7,876.83	<u>\$7,669.05</u>	+\$207.78	+\$207.78	--
FPACX	FPA CRESCENT	04/07/2011	1,516.118	\$42,704.36	<u>\$37,430.63</u>	+\$5,273.73	--	+\$5,273.73
GADVX	GABELLI ABC	01/19/2011	13,585.264	\$133,779.85	<u>\$131,001.03</u>	+\$2,778.82	+\$2,482.45	+\$296.37
EMAYX	GABELLI ENTE	09/26/2011	2,382.298	\$25,407.94	<u>\$25,781.33</u>	-\$373.39	--	-\$373.39
GTEYX	GATEWAY FUND	10/03/2011	2,662.791	\$66,188.61	<u>\$70,750.92</u>	-\$4,562.31	-\$4,562.31	--
GTEYX	GATEWAY FUND	09/26/2011	302.668	\$7,625.53	<u>\$8,364.04</u>	-\$738.51	-\$738.51	--
GTEYX	GATEWAY FUND	06/17/2011	1,191.927	\$31,324.60	<u>\$32,938.16</u>	-\$1,613.56	-\$1,613.56	--
GTEYX	GATEWAY FUND	04/07/2011	538.318	\$14,354.23	<u>\$14,876.08</u>	-\$521.85	-\$521.85	--
GRSPX	GREENSPRING	09/26/2011	389.088	\$8,777.83	<u>\$9,055.44</u>	-\$277.61	-\$277.61	--
HSGFX	HUSSMAN STRA	01/11/2011	5,591.858	\$67,850.16	<u>\$74,002.62</u>	-\$6,152.46	--	-\$6,152.46
HSGFX	HUSSMAN STRA	01/07/2011	7,713.115	\$93,833.61	<u>\$102,002.38</u>	-\$8,168.77	--	-\$8,168.77
LAAIX	LEUTHOLD ASS	04/07/2011	7,238.317	\$80,744.62	<u>\$73,441.23</u>	+\$7,303.39	--	+\$7,303.39
LAAIX	LEUTHOLD ASS	02/11/2011	7,895.717	\$85,159.79	<u>\$65,592.73</u>	+\$19,567.06	+\$17,971.26	+\$1,595.80
MHCI	MERK HARD CU	09/26/2011	1,540.984	\$18,811.23	<u>\$18,412.10</u>	+\$399.13	+\$399.13	--
PYHRX	PAYDEN HIGH	09/26/2011	2,040.816	\$14,000.00	<u>\$13,393.46</u>	+\$606.54	+\$606.54	--
PRPFX	PERMANENT PO	09/26/2011	423.085	\$19,499.99	<u>\$15,908.00</u>	+\$3,591.99	+\$3,591.99	--
MLPTX	STEELPATH ML	09/26/2011	1,101.365	\$11,276.01	<u>\$12,140.97</u>	-\$864.96	--	-\$864.96
FMLSX	WASATCH LONG	10/03/2011	6,177.215	\$71,655.69	<u>\$84,751.39</u>	-\$10,183.97	--	-\$10,183.97
FMLSX	WASATCH LONG	09/26/2011	419.815	\$5,079.76	<u>\$5,759.86</u>	-\$680.10	--	-\$680.10

☐ Total includes missing cost basis values for one or more lots. You can update missing cost basis values by using the Edit functionality—clicking on the Missing hyperlinks until reaching the Edit page.

☐ The total Realized Gain/Loss for this account includes values for Short Positions held in the account. For more information on summary totals when there are Short Positions, please see the Help Section.

☐ Data for this holding has been edited.

N/A: Not Available

☐ Wash Sale activity has adjusted this cost. For additional information, [click here](#).

S/T	576,171.72	582,746.09	(6,574.37)
L/T	398,552.15	368,906.56	29,645.59

Values for fixed income securities have been adjusted for amortization/accretion with the exception of variable rate and mortgage-backed securities or for accounts with this preference turned off.

Total Cash represents income and expenses as they occur. It may be inconsistent with values shown elsewhere on Schwab.com and on your monthly account statement.

The Realized Gain/Loss page provides summary information of closed transactions. Not all closed transactions appear on this page.

The information on this page is for estimating portfolio performance only. It is not intended as tax or legal advice, and should not be used for tax purposes. Schwab is not responsible for the accuracy or completeness of the information you supply. Please check your records carefully before supplying any information.

Please note that gains and losses realized in retirement accounts are not recognized for tax purposes. Rather, income may be recognized when you receive a cash distribution from these accounts. Please contact your tax advisor for further information.



Fiscal Statement

LEO GOODWIN FOUNDATION INC

SCHRODER
04007

FISCAL YEAR ACTIVITY

Date	Transaction	Quantity	Description	Price	Debit	Credit
10/31/11	Fgn Div Tax		TORONTO DOMINION BANK		7.46	
	Net Total				11,576.88	393.34

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
30.0000	ELECTROLUX AB SPONS	05/14/10	11/17/10	1,419.37	1,475.81	(56.44) ST
24.0000	ELECTROLUX AB SPONS	05/17/10	11/17/10	1,135.51	1,178.69	(43.18) ST
52.0000	DEUTSCHE BANK AG COR	02/18/10	09/28/10	248.51	306.56	(58.05) ST
52.0000	DEUTSCHE BANK AG CXL	09/27/10	09/28/10	248.51	N/A	N/C
7.0000	BOC HONG KONG HLDGS ADR	02/18/10	11/19/10	480.89	313.25	167.64 ST
8.0000	BAYER AG SP ADR	02/18/10	11/19/10	630.07	552.88	77.19 ST
15.0000	BRITISH AMN TOBACO SPADR	06/16/10	11/17/10	1,130.30	975.79	154.51 ST
22.0000	BRITISH AMN TOBACO SPADR	06/16/10	11/17/10	1,657.78	1,426.83	230.95 ST
199.0000	BAYERISCHE MOTORENWERKE	02/18/10	11/17/10	4,851.69	2,656.65	2,195.04 ST
40.0000	BAYERISCHE MOTORENWERKE	03/15/10	11/17/10	975.22	597.95	377.27 ST
391.0000	BRF BRASIL FOODS SA ADR	02/18/10	11/19/10	5,668.08	5,017.50	650.58 ST
31.0000	BRF BRASIL FOODS SA ADR	09/23/10	11/19/10	449.39	464.04	(14.65) ST
24.0000	KT CORP ADR	09/23/10	11/19/10	515.63	463.12	52.51 ST
853.0000	NOMURA HLDGS INC ADR	02/18/10	11/19/10	5,021.52	6,174.70	(1,153.18) ST
41.0000	NOMURA HLDGS INC ADR	05/06/10	11/19/10	241.36	272.13	(30.77) ST
73.0000	NOMURA HLDGS INC ADR	07/01/10	11/19/10	429.74	398.53	31.21 ST
251.0000	NOMURA HLDGS INC ADR	09/23/10	11/19/10	1,477.63	1,253.92	223.71 ST
27.0000	PALADIN ENERGY LTD-UNSP	05/06/10	11/19/10	1,239.28	894.78	344.50 ST
67.0000	SECOM CO LTD ADR	02/18/10	11/19/10	6,015.83	6,076.90	(61.07) ST
2.0000	SECOM CO LTD ADR	07/01/10	11/19/10	179.58	179.50	0.08 ST
146.0000	TAIWAN S MANUFACTURING ADR	05/13/10	11/17/10	1,588.55	1,497.46	91.09 ST
30.0000	YARA INTL ASA SP ADR	02/18/10	11/19/10	1,525.47	1,268.40	257.07 ST
113.0000	ALLIANZ SE SPD ADR	02/18/10	12/14/10	1,372.46	1,268.99	103.47 ST
63.0000	BAYERISCHE MOTORENWERKE	03/15/10	12/14/10	1,757.44	941.76	815.68 ST
52.0000	DEUTSCHE BK AG REG SHS	02/18/10	12/14/10	2,800.33	3,052.64	(252.31) ST
202.0000	MARKS AND SPENCER GP ADR	02/18/10	12/14/10	2,393.22	2,145.24	247.98 ST
46.0000	TELEFONICA SA SPAIN ADR	02/18/10	12/14/10	3,198.65	3,265.67	(67.02) ST
186.0000	UNILEVER PLC NEW ADR	02/18/10	12/16/10	5,707.12	5,539.01	168.11 ST
24.0000	UNILEVER PLC NEW ADR	07/01/10	12/16/10	736.41	641.95	94.46 ST
90.0000	UNITED MICROELECT SP ADR	02/18/10	12/14/10	280.87	338.39	(57.52) ST

PLEASE SEE REVERSE SIDE

Page

97 of 107

Statement Period

Year Ending 10/31/11

Account No.

54C-04007



Fiscal Statement

LEO GOODWIN FOUNDATION INC

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
323.0000	UNITED MICROELECT SP ADR	03/15/10	12/14/10	1,008.04	1,154.79	(146.75) ST
406.0000	UNITED MICROELECT SP ADR	03/15/10	12/15/10	1,249.73	1,451.53	(201.80) ST
371.0000	XSTRATA PLC-ADR	02/18/10	12/14/10	1,686.80	1,265.11	421.69 ST
64.0000	YANZHOU COAL MNG SPD ADR	02/18/10	12/14/10	1,820.79	1,346.56	474.23 ST
21.0000	BNP PARIBAS SPONSORD ADR	02/18/10	01/05/11	687.07	747.60	(60.53) ST
115.0000	DANSKE BK A/S UNSPNS ADR	02/18/10	01/05/11	1,476.20	1,321.35	154.85 ST
49.0000	SANOI AVENTIS SPON ADR	02/18/10	01/04/11	1,619.13	1,811.89	(192.76) ST
19,108.0000	OPENHEIMER BARING SMA	02/18/10	01/28/11	122,100.12	108,915.60	13,184.52 ST
138.0000	ANGLOGOLD ASHANTI LTD	02/18/10	02/01/11	6,128.17	5,131.68	996.49 ST
26.0000	AEON COMPANY LTD ADR	02/18/10	02/08/11	329.97	263.90	66.07 ST
68.0000	AEON COMPANY LTD ADR	02/18/10	02/09/11	864.65	690.20	174.45 ST
98.0000	BOC HONG KONG HLDGS ADR	02/18/10	02/01/11	6,311.08	4,385.50	1,925.58 ST
86.0000	BAYER AG SP ADR	02/18/10	02/01/11	6,446.44	5,943.46	502.98 ST
25.0000	BAYER AG SP ADR	02/18/10	02/08/11	1,891.16	1,727.75	163.41 ST
16.0000	BAYER AG SP ADR	02/18/10	02/09/11	1,207.77	1,105.76	102.01 ST
18.0000	BRITISH AMN TOBACO SPADR	06/16/10	02/08/11	1,407.90	1,167.40	240.50 ST
22.0000	BG GROUP PLC SPON ADR	02/18/10	02/10/11	2,611.34	2,041.38	569.96 ST
21.0000	BNP PARIBAS SPONSORD ADR	02/18/10	01/05/11	687.07	747.60	60.53 ST
21.0000	BNP PARIBAS SPONSORD ADR	02/18/10	01/05/11	687.07	747.60	N/C
16.0000	BAYERISCHE MOTORENWERKE	12/16/10	02/01/11	415.99	443.99	N/C
158.0000	BAYERISCHE MOTORENWERKE	12/16/10	02/01/11	4,107.92	4,384.42	N/C
57.0000	BAYERISCHE MOTORENWERKE	12/16/10	02/01/11	1,481.97	1,581.72	(99.75) ST
135.0000	BRIDGESTONE CORP ADR	02/01/11	02/10/11	5,303.89	5,240.70	63.19 ST
43.0000	BRIDGESTONE CORP ADR	02/01/11	02/11/11	1,691.58	1,669.26	22.32 ST
113.0000	CTRIPO COM INTL LTD ADR	02/01/11	02/22/11	4,347.87	4,778.77	(430.90) ST
137.0000	DBS GROUP HLDGS SPN ADR	02/18/10	02/01/11	6,501.89	5,548.50	953.39 ST
34.0000	DBS GROUP HLDGS SPN ADR	09/23/10	02/01/11	474.60	421.00	53.60 ST
76.0000	DANSKE BK A/S UNSPNS ADR	02/18/10	02/08/11	430.71	390.66	40.05 ST
802.0000	DEUTSCHE BOERSE AG SHS	02/18/10	02/09/11	960.04	873.24	86.80 ST
70.0000	DEUTSCHE BOERSE AG SHS	07/01/10	02/01/11	6,175.27	5,485.69	689.58 ST
46.0000	DEUTSCHE BOERSE AG SHS	09/23/10	02/01/11	538.99	430.50	108.49 ST
64.0000	DEUTSCHE BOERSE AG SHS	11/19/10	02/01/11	354.19	307.28	46.91 ST
37.0000	ELECTRICITE DE FRANCE	02/18/10	02/08/11	492.80	417.92	74.88 ST
80.0000	ELECTRICITE DE FRANCE	02/18/10	02/09/11	308.13	386.28	(78.15) ST
105.0000	FRESENIUS MEDICAL CARE	02/18/10	02/01/11	6,296.73	835.20	(162.88) ST
155.0000	FUJITSU LTD NEW ADR	02/18/10	02/01/11	4,882.40	5,326.64	(444.24) ST
29.0000	FUJITSU LTD NEW ADR	07/01/10	02/01/11	913.48	5,097.95	(4,184.47) ST
12.0000	FUJITSU LTD NEW ADR	11/19/10	02/01/11	378.00	393.93	(15.93) ST
26.0000	ISHARES MSCI EAFE INDEX	02/18/10	02/17/11	1,595.33	1,380.34	214.99 ST

PLEASE SEE REVERSE SIDE



Fiscal Statement

LEO GOODWIN FOUNDATION INC

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
433.0000	HYPERMARCAS S.A.-UNSP ADR	11/24/10	02/01/11	5,282.50	6,950.21	(1,667.71) ST
233.0000	HEINEKEN NV ADR	02/18/10	02/01/11	6,029.92	5,561.71	468.21 ST
22.0000	HEINEKEN NV ADR	09/23/10	02/01/11	569.35	546.66	22.69 ST
16.0000	HONDA MOTOR ADR NEW	02/01/11	02/03/11	692.32	702.18	(9.86) ST
82.0000	IMPERIAL TOB GRP SPS ADR	02/18/10	02/01/11	4,746.07	5,202.90	(456.83) ST
4.0000	IMPERIAL TOB GRP SPS ADR	06/16/10	02/01/11	231.51	231.92	(0.41) ST
15.0000	IMPERIAL TOB GRP SPS ADR	06/16/10	02/01/11	868.19	863.45	4.74 ST
15.0000	JUPITER TELECOM CO ADR	07/01/10	02/01/11	1,040.37	959.80	80.57 ST
76.0000	JUPITER TELECOM CO ADR	07/02/10	02/01/11	5,271.26	4,869.06	402.20 ST
3.0000	JUPITER TELECOM CO ADR	11/19/10	02/01/11	208.08	205.35	2.73 ST
306.0000	KT CORP ADR	09/23/10	02/01/11	6,074.38	5,904.79	169.59 ST
35.0000	KDDI CORP SHS	02/18/10	02/08/11	2,134.93	1,891.05	243.88 ST
33.0000	MARKS AND SPENCER GP ADR	02/18/10	02/08/11	393.52	350.46	43.06 ST
91.0000	MARKS AND SPENCER GP ADR	02/18/10	02/09/11	1,088.20	966.42	121.78 ST
234.0000	NIDEC CORPORATION ADR	02/18/10	02/01/11	5,632.27	5,744.68	(112.41) ST
43.0000	NIDEC CORPORATION ADR	07/01/10	02/01/11	1,034.99	882.73	152.26 ST
39.0000	NEWCREST MNG LTD SPN ADR	09/23/10	02/01/11	1,460.91	1,513.54	(52.63) ST
64.0000	NESTLE S A REP RG SH ADR	02/01/11	02/03/11	3,496.97	3,528.96	(31.99) ST
141.0000	PETROLEO BRAS SA ADR	02/01/10	02/01/11	4,769.94	5,339.67	(569.73) ST
48.0000	PETROLEO BRAS SA ADR	07/01/10	02/01/11	1,623.81	1,414.56	209.25 ST
131.0000	PALADIN ENERGY LTD-UNSP	05/06/10	02/01/11	7,175.33	4,341.34	2,833.99 ST
18.0000	PALADIN ENERGY LTD-UNSP	07/01/10	02/01/11	985.93	528.96	456.97 ST
69.0000	RANDGOLD RESOURCES ADR	11/19/10	02/01/11	5,412.02	6,677.95	(1,265.93) ST
26.0000	ROYAL DUTCH SHELL PLC	02/18/10	02/08/11	1,821.54	1,447.94	373.60 ST
305.0000	SWIRE PAC LTD SPSD ADR A	02/01/11	02/03/11	4,747.02	4,779.35	(32.33) ST
108.0000	SUN HG KAI PPTY SPSD ADR	02/18/10	02/01/11	1,803.57	1,439.64	363.93 ST
87.0000	SHIRE PLC-ADR	02/18/10	02/01/11	6,935.51	5,296.57	1,638.94 ST
116.0000	SYNGENTA AG ADR	02/18/10	02/01/11	7,696.01	6,120.71	1,575.30 ST
70.0000	SANOFI AVENTIS SPON ADR	02/18/10	02/01/11	2,480.24	2,588.42	(108.18) ST
44.0000	SANOFI AVENTIS SPON ADR	04/01/10	02/01/11	1,559.00	1,645.26	(86.26) ST
80.0000	SANOFI AVENTIS SPON ADR	11/19/10	02/01/11	2,834.57	2,736.27	98.30 ST
571.0000	SUEZ ENVIRONNMENT SPN AD	02/18/10	02/01/11	6,046.77	6,338.10	(291.33) ST
18.0000	SUEZ ENVIRONNMENT SPN AD	05/06/10	02/01/11	190.61	180.36	10.25 ST
78.0000	SUEZ ENVIRONNMENT SPN AD	07/01/10	02/01/11	826.01	645.84	180.17 ST
6.0000	TOTAL S A SP ADR	02/18/10	02/01/11	364.37	351.30	13.07 ST
303.0000	TENCENT HOLDINGS LTD ADR	07/01/10	02/01/11	7,859.67	5,044.95	2,814.72 ST
223.0000	UNILEVER NV NY REG SHS	02/01/11	02/03/11	6,703.90	6,713.86	(9.96) ST
255.0000	ZURICH FINL SVCS SPN ADR	02/18/10	02/01/11	7,115.99	5,941.50	1,174.49 ST
14.0000	ZURICH FINL SVCS SPN ADR	11/19/10	02/01/11	390.69	332.50	58.19 ST
145.0000	XSTRATA PLC-ADR	02/18/10	02/08/11	683.72	494.45	189.27 ST

PLEASE SEE REVERSE SIDE

Page
99 of 107Statement Period
Year Ending 10/31/11Account No
54C-04007



Fiscal Statement

LEO GOODWIN FOUNDATION INC

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
758 0000	XSTRATA PLC-ADR	02/18/10	02/09/11	3,574.72	2,584.78	989.94 ST
5 0000	XSTRATA PLC-ADR	05/13/10	02/09/11	23.59	16.03	7.56 ST
75 0000	YARA INTL ASA SP ADR	02/18/10	02/01/11	4,365.66	3,171.00	1,194.66 ST
26 0000	YARA INTL ASA SP ADR	05/06/10	02/01/11	1,513.43	856.18	657.25 ST
27 0000	YARA INTL ASA SP ADR	07/01/10	02/01/11	1,571.65	757.92	813.73 ST
53 0000	AEON COMPANY LTD ADR	02/18/10	03/21/11	596.86	537.95	58.91 LT
101 0000	AEON COMPANY LTD ADR	02/18/10	03/22/11	1,144.97	1,025.15	119.82 LT
125 0000	AMCOR LTD AUS ADR NEW	02/01/11	02/25/11	3,594.41	3,481.25	113.16 ST
28 0000	AMCOR LTD AUS ADR NEW	02/01/11	02/28/11	780.73	779.80	0.93 ST
21 0000	AMCOR LTD AUS ADR NEW	02/01/11	02/28/11	600.45	584.85	15.60 ST
419 0000	BANCO BILBAO VIZCAYA	02/01/11	03/10/11	4,822.56	5,420.64	(598.08) ST
2 0000	BAYER AG SP ADR	02/18/10	03/21/11	151.22	138.22	13.00 LT
14 0000	BAYER AG SP ADR	02/18/10	03/22/11	1,054.61	967.54	87.07 LT
4 0000	BAYER AG SP ADR	07/01/10	03/22/11	301.31	228.80	72.51 ST
1 0000	BAYER AG SP ADR	07/07/10	03/22/11	75.33	57.51	17.82 ST
17 0000	BAYER AG SP ADR	07/08/10	03/22/11	1,280.62	992.02	288.60 ST
49 0000	BP PLC SPON ADR	02/18/10	03/21/11	2,238.98	2,670.50	(431.52) LT
57 0000	BNP PARIBAS SPONSORD ADR	02/18/10	03/22/11	2,145.08	2,029.20	115.88 LT
193 0000	ELECTRICITE DE FRANCE	02/18/10	03/22/11	1,557.07	2,014.92	(457.85) LT
95 0000	ING GP NV SPSD ADR	02/01/11	03/07/11	1,192.14	1,132.00	60.14 ST
9 0000	KB FINL GROUP INC SPN AD	02/18/10	03/21/11	454.34	409.05	45.29 LT
29 0000	KB FINL GROUP INC SPN AD	02/18/10	03/22/11	1,428.95	1,318.05	110.90 LT
6 0000	MITSUBI CO ADR	03/05/10	03/21/11	2,087.91	1,970.42	117.49 LT
1 0000	MITSUBI CO ADR	03/15/10	03/21/11	347.99	340.96	7.03 LT
56 0000	PENN WEST PETE LTD NEW	05/13/10	03/21/11	1,545.23	1,130.98	414.25 ST
34 0000	RIO TINTO PLC SPNSRD ADR	02/18/10	02/25/11	2,355.57	1,835.66	519.91 LT
29 0000	ROYAL DUTCH SHELL PLC	02/18/10	03/21/11	2,051.12	1,615.01	436.11 LT
40 0000	SUMITOMO CORP SP ADR	12/14/10	03/21/11	552.59	565.23	(12.64) ST
65 0000	SUMITOMO CORP SP ADR	12/14/10	03/22/11	906.64	918.51	(11.87) ST
107 0000	SUNCOR ENERGY INC NEW	02/18/10	03/21/11	4,849.10	3,243.16	1,605.94 LT
22 0000	TOTAL S.A. SP ADR	02/18/10	03/10/11	1,290.50	1,288.10	2.40 LT
286 0000	GAM HLDG LTD	02/01/11	03/07/11	5,451.55	5,276.70	174.85 ST
19 0000	CHECK POINT SOFTWARE TECH	02/01/11	04/15/11	1,016.48	885.56	130.92 ST
25 0000	CHECK POINT SOFTWARE TECH	02/01/11	04/21/11	1,334.80	1,165.22	169.58 ST
12 0000	FST QUANTUM MINERALS COM	02/01/11	04/21/11	1,628.97	1,469.64	159.33 ST
212 0000	ITAUNIBANCO BANCO HOLD	02/01/11	03/31/11	5,063.41	4,686.05	377.36 ST
176 0000	SCHNEIDER ELEC SA ADR	02/01/11	03/31/11	3,009.14	2,805.44	203.70 ST
101 0000	SCHNEIDER ELEC SA ADR	02/01/11	04/14/11	1,609.94	1,609.94	(7.99) ST
87 0000	TECK RESOURCES LTD CLS B	02/01/11	04/14/11	4,547.43	5,496.84	(949.41) ST
279 0000	TURKIYE GRTI BK SPN ADR	05/14/10	03/31/11	1,297.41	1,307.59	(10.18) ST

PLEASE SEE REVERSE SIDE

Page 100 of 107
Statement Period Year Ending 10/31/11
Account No 54C-04007



Fiscal Statement

LEO GOODWIN FOUNDATION INC

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
83 0000	TURKIYE GRTI BK SPN ADR	05/14/10	04/01/11	385.64	389.00	(3.36) ST
67 0000	TURKIYE GRTI BK SPN ADR	05/17/10	04/01/11	311.30	306.83	4.47 ST
629 0000	TURKIYE GRTI BK SPN ADR	02/01/11	04/01/11	2,922.54	2,968.88	(46.34) ST
34 0000	AGRIUM INC	09/09/10	04/28/11	3,089.71	2,480.16	609.55 ST
24 0000	BHP BILLITON PLC SP ADR	02/18/10	04/28/11	2,008.73	1,512.48	496.25 LT
27 0000	BHP BILLITON PLC SP ADR	12/14/10	04/28/11	2,259.83	2,130.89	128.94 ST
8 0000	BG GROUP PLC SPON ADR	02/18/10	05/24/11	902.12	742.32	159.80 LT
64 0000	CGG VERITAS	06/16/10	04/28/11	2,226.41	1,354.55	871.86 ST
2 0000	DELHAIZE GROUP SPONS ADR	09/09/10	05/24/11	163.48	136.20	27.28 ST
6 0000	DELHAIZE GROUP SPONS ADR	09/09/10	05/25/11	487.27	408.59	78.68 ST
299 0000	ISHARES MSCI JAPAN INDEX	10/06/10	04/28/11	3,121.50	3,026.96	94.54 ST
116 0000	KINGFISHER PLC SP ADR	02/01/11	05/24/11	1,037.02	946.56	90.46 ST
2 0000	KDDI CORP SHS	02/18/10	04/29/11	132.44	108.06	24.38 LT
20 0000	KDDI CORP SHS	05/13/10	04/29/11	1,324.46	961.98	362.48 ST
2 0000	KDDI CORP SHS	05/14/10	04/29/11	132.45	93.00	39.45 ST
2 0000	KDDI CORP SHS	05/17/10	04/29/11	132.45	93.88	38.57 ST
10 0000	PENN WEST PETE LTD NEW	05/13/10	05/24/11	251.40	201.96	49.44 LT
60 0000	PENN WEST PETE LTD NEW	06/16/10	05/24/11	1,508.47	1,239.79	268.68 ST
12 0000	RIO TINTO PLC SPNSRD ADR	02/18/10	05/24/11	798.94	647.88	151.06 LT
16 0000	SAP AG SHS	02/01/11	05/05/11	1,006.24	942.83	63.41 ST
50 0000	TESCO PLC SPNRD ADR	02/01/11	05/24/11	1,001.48	988.00	13.48 ST
86 0000	UBS AG REG	11/17/10	05/24/11	1,535.41	1,443.41	92.00 ST
7 0000	AXA -SPONS ADR	02/01/11	06/03/11	150.14	151.69	(1.55) ST
2 0000	ANHEUSER-BUSCH INBEV ADR	02/01/11	06/03/11	118.27	111.32	6.95 ST
18 0000	BASF SE SPONSORED ADR	09/10/10	06/24/11	1,643.84	1,000.66	643.18 ST
2 0000	BG GROUP PLC SPON ADR	02/18/10	06/03/11	220.77	185.58	35.19 LT
5 0000	BAYERISCHE MOTORENWERKE	12/25/10	06/03/11	145.89	148.85	(2.96) ST
13 0000	CHINA RES ENTPRS SP ADR	02/01/11	06/03/11	101.91	101.79	0.12 ST
2 0000	CIA BRA DIS PAO ADS REGS	04/14/11	06/03/11	85.55	84.80	0.75 ST
33 0000	CIA BRA DIS PAO ADS REGS	04/14/11	06/24/11	1,387.03	1,399.20	(12.17) ST
1 0000	CHECK POINT SOFTWARE TECH	02/01/11	06/03/11	55.77	46.61	9.16 ST
5 0000	CANON INC ADR REP5SH	02/01/11	06/03/11	239.16	245.43	(6.27) ST
2 0000	DIAGEO PLC SPSD ADR NEW	02/03/11	06/03/11	168.96	157.42	11.54 ST
7 0000	DANSKE BK A/S UNSPNS ADR	02/18/10	06/03/11	72.41	80.43	(8.02) LT
3 0000	DELHAIZE GROUP SPONS ADR	09/09/10	05/26/11	568.00	476.68	91.32 ST
1 0000	ENI S P A SPONSORED ADR	02/18/10	06/03/11	140.84	139.35	1.49 LT
1 0000	EAST JAPAN RY CO ADR	02/18/10	06/03/11	9.45	10.96	(1.51) LT
1 0000	FST QUANTUM MINERALS COM	02/01/11	06/03/11	127.13	122.47	4.66 ST
1 0000	FRANCE TELECOM ADR	02/18/10	06/03/11	22.46	23.31	(0.85) LT
4 0000	FANUC LTD-UNSP	02/22/11	06/03/11	102.16	102.35	N/C

PLEASE SEE REVERSE SIDE



Fiscal Statement

LEO GOODWIN FOUNDATION INC

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
3 0000	GLAXOSMITHKLINE PLC ADR	05/24/11	06/03/11	127.73	128.45	N/C
19 0000	OAO GAZPROM SPON ADR	02/18/10	06/03/11	266.75	214.22	52.53 LT
4 0000	GDF SUEZ ADR	02/01/11	06/03/11	143.48	163.60	(20.12) ST
4 0000	HONDA MOTOR ADR NEW	02/01/11	06/03/11	150.21	175.54	N/C
80 0000	INDUSTRL AND COMMRL CL BNK	04/01/11	05/26/11	1,269.36	1,338.29	(68.93) ST
5 0000	INDUSTRL AND COMMRL CL BNK	04/01/11	06/03/11	77.64	83.64	(6.00) ST
210 0000	INDUSTRL AND COMMRL CL BNK	04/01/11	06/10/11	3,099.52	3,513.01	(413.49) ST
3 0000	ING GP NV SPSP ADR	02/01/11	06/03/11	35.81	35.75	0.06 ST
15 0000	JULIUS BAER GROUP ADR	02/03/11	06/03/11	130.04	136.12	(6.08) ST
14 0000	KINGFISHER PLC SP ADR	02/01/11	06/03/11	127.11	114.24	12.87 ST
4 0000	LVMH MOET HENNESSY ADR	02/01/11	06/03/11	137.47	129.28	8.19 ST
5 0000	LLOYDS BANKING GROUP PLC	01/05/11	06/03/11	15.76	21.11	(5.35) ST
367 0000	LLOYDS BANKING GROUP PLC	01/05/11	06/27/11	1,008.49	1,549.29	(540.80) ST
873 0000	LLOYDS BANKING GROUP PLC	02/01/11	06/27/11	2,398.96	3,643.90	(1,244.94) ST
15 0000	LINDE AG SHS SP ADR	02/01/11	06/03/11	250.19	222.00	28.19 ST
1 0000	METSO CORP SPND ADR	02/01/11	06/03/11	56.59	54.57	2.02 ST
7 0000	MUENCHENER RUECK-UNSPON	02/18/10	06/03/11	105.69	105.07	0.62 LT
11 0000	NATL AUSTRALIA B ADR	02/18/10	06/24/11	281.78	255.20	26.58 LT
58 0000	NATL AUSTRALIA B ADR	02/18/10	06/27/11	1,468.83	1,345.60	123.23 LT
10 0000	NIPPON TEL&TEL SPND ADR	05/06/10	06/03/11	234.33	199.35	34.98 LT
2 0000	NOVARTIS ADR	02/18/10	06/03/11	127.02	109.20	17.82 LT
10 0000	NEXEN INC CANADA COM	02/18/10	06/03/11	223.59	225.60	(2.01) LT
3 0000	NEWCREST MING LTD SPN ADR	09/23/10	06/03/11	122.83	116.43	6.40 ST
3 0000	PETROLEO BRAS VTG SPN ADR	02/01/11	06/03/11	102.96	112.94	N/C
7 0000	PING AN INS GROUP CO	02/01/11	06/03/11	144.96	139.44	5.52 ST
5 0000	PRUDENTIAL PLC ADR	02/01/11	06/03/11	116.83	110.72	6.11 ST
18 0000	RIO TINTO PLC SPNSRD ADR	02/18/10	05/26/11	1,227.20	971.82	255.38 LT
1 0000	RIO TINTO PLC SPNSRD ADR	02/18/10	06/03/11	67.44	53.99	13.45 LT
1 0000	ROYAL DUTCH SHELL PLC	02/18/10	06/03/11	69.86	55.69	14.17 LT
6 0000	ROLLS ROYCE GRP SPN ADR	02/18/10	06/03/11	311.75	244.22	67.53 LT
2 0000	SAP AG SHS	02/01/11	06/03/11	122.78	117.85	4.93 ST
10 0000	SUN HG KAI PPTY SPSP ADR	02/18/10	06/03/11	149.29	133.30	15.99 LT
286 0000	SUN HG KAI PPTY SPSP ADR	02/18/10	06/08/11	4,231.63	3,812.38	419.25 LT
1 0000	SIEMENS AG ADR	03/31/11	06/03/11	132.66	137.48	(4.82) ST
1 0000	SHINHAN FINL GRP SP ADR	02/01/11	06/03/11	94.17	92.04	2.13 ST
5 0000	STATOIL ASA SHS	02/01/11	06/03/11	127.31	131.11	(3.80) ST
6 0000	SCHNEIDER ELEC SA ADR	02/01/11	06/03/11	96.83	95.64	1.19 ST
1 0000	SEVEN AND I HOLDINGS CO	02/25/11	06/03/11	52.89	55.80	(2.91) ST
12 0000	SONY FINL HOLD-UNS	02/01/11	06/03/11	212.39	227.04	(14.65) ST
6 0000	SUNCOR ENERGY INC NEW	02/01/11	06/03/11	241.61	251.00	(9.39) ST

PLEASE SEE REVERSE SIDE



Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
43.0000	SUMITOMO MITSUI-UNSPONS	02/18/10	06/03/11	243.80	269.18	(25.38) LT
8.0000	SONY CORP ADR NEW	02/18/10	06/03/11	210.63	276.56	(65.93) LT
68.0000	SONY CORP ADR NEW	02/18/10	06/07/11	1,724.37	2,350.76	(626.39) LT
46.0000	SONY CORP ADR NEW	03/15/10	06/07/11	1,166.49	1,718.67	(552.18) LT
23.0000	SONY CORP ADR NEW	07/29/10	06/07/11	583.25	689.10	(105.85) ST
13.0000	TELECOM C NW ZLND SPNADR	02/01/11	06/03/11	123.48	115.36	8.12 ST
2.0000	TOTAL S A SP ADR	02/18/10	06/03/11	111.77	117.10	N/C
5.0000	TESCO PLC SPNRD ADR	02/01/11	06/03/11	102.34	98.80	3.54 ST
3.0000	TEVA PHARMACTCL INDS ADR	02/01/11	06/03/11	151.26	167.30	(16.04) ST
3.0000	TATA MOTORS LTD ADR	03/07/11	06/03/11	68.36	75.71	(7.35) ST
8.0000	THK CO LTD SHS	02/01/11	06/03/11	94.79	107.52	(12.73) ST
1.0000	TORONTO DOMINION BANK	02/01/11	06/03/11	82.42	76.66	5.76 ST
38.0000	UNITED UTILS GROUP ADR	02/10/11	05/26/11	766.80	691.28	75.52 ST
7.0000	UNITED UTILS GROUP ADR	02/10/11	06/03/11	139.15	127.34	11.81 ST
7.0000	VEOLIA ENVIRONNEMENT ADR	05/26/11	06/03/11	206.66	203.67	2.99 ST
152.0000	VODAFONE GROU PLC SP ADR	02/18/10	05/31/11	4,268.13	3,381.73	886.40 LT
1.0000	VIVENDI SHS UNSP ADR	02/18/10	06/03/11	27.88	24.90	2.98 LT
36.0000	XSTRATA PLC-ADR	05/13/10	06/03/11	159.47	115.41	44.06 LT
20.0000	YUE YUEN INDUSTL UNSP AD	02/18/10	06/24/11	324.39	311.20	13.19 LT
65.0000	YUE YUEN INDUSTL UNSP AD	02/18/10	06/27/11	1,045.91	1,011.40	34.51 LT
4.0000	YUE YUEN INDUSTL UNSP AD	06/03/11	06/27/11	64.37	67.92	(3.55) ST
4.0000	DNB NOR ASA	02/01/11	06/03/11	61.07	57.00	4.07 ST
21.0000	BNP PARIBAS SPONSORD ADR	02/18/10	01/05/11	687.07	747.60	N/C
21.0000	BNP PARIBAS SPONSORD ADR	02/18/10	01/05/11	687.07	747.60	N/C
16.0000	BAYERISCHE MOTORENWERKE	12/16/10	02/01/11	415.99	443.99	(28.00) ST
16.0000	BAYERISCHE MOTORENWERKE	12/16/10	02/01/11	415.99	443.99	N/C
158.0000	BAYERISCHE MOTORENWERKE	12/16/10	02/01/11	4,107.92	4,384.42	(276.50) ST
5.0000	BAYERISCHE MOTORENWERKE	12/16/10	02/01/11	145.89	148.85	2.96 ST
5.0000	BAYERISCHE MOTORENWERKE	12/25/10	06/03/11	145.89	140.10	5.79 ST
11.0000	BAYERISCHE MOTORENWERKE	02/10/11	06/03/11	330.29	308.23	22.06 ST
10.0000	BAYERISCHE MOTORENWERKE	02/10/11	07/05/11	330.28	281.62	48.66 ST
10.0000	CIA BRA DIS PAO ADS REGS	04/14/11	06/29/11	470.19	424.00	46.19 ST
31.0000	FST QUANTUM MINERALS COM	02/01/11	07/07/11	4,495.61	3,796.57	699.04 ST
3.0000	FANUC LTD-UNSP CXL	02/22/11	06/03/11	102.16	384.06	(281.90) ST
4.0000	FANUC LTD-UNSP	02/22/11	06/03/11	102.16	102.35	(0.19) ST
4.0000	GLAXOSMITHKLINE PLC ADR	02/22/11	06/03/11	127.73	128.45	(0.72) ST
3.0000	GLAXOSMITHKLINE PLC ADR	05/24/11	06/03/11	127.73	128.45	(0.72) ST
4.0000	HONDA MOTOR ADR NEW	02/01/11	06/03/11	150.21	175.54	N/C

PLEASE SEE REVERSE SIDE



Fiscal Statement

LEO GOODWIN FOUNDATION INC

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
4 0000	HONDA MOTOR ADR NEW	02/01/11	06/03/11	150 21	175 54	(25.33) ST
211 0000	KINGFISHER PLC SP ADR	02/01/11	07/05/11	1,793 98	1,721 76	72 22 ST
86.0000	LINDE AG SHS SP ADR	02/01/11	07/22/11	1,528 44	1,272 80	255.64 ST
3 0000	PETROLEO BRAS VTG SPD ADR CXL	02/01/11	06/03/11	102 96	112 94	N/C
3 0000	PETROLEO BRAS VTG SPD ADR	02/01/11	06/03/11	102 96	112 94	(9.98) ST
231 0000	PING AN INS GROUP CO	02/01/11	07/22/11	4,607 98	4,601 52	6 46 ST
33 0000	PING AN INS GROUP CO	03/07/11	07/22/11	658 29	710 48	(52.19) ST
6 0000	ROLLS ROYCE GRP SPN ADR CXL	02/18/10	06/03/11	311 75	244 22	(67 53) LT
6 0000	ROLLS ROYCE GRP SPN ADR COR	02/18/10	06/03/11	311 75	239 61	72.14 LT
16 0000	SAP AG SHS	02/01/11	07/05/11	974 93	942 83	32.10 ST
2 0000	TOTAL S A SP ADR CXL	02/18/10	06/03/11	111 77	117 10	N/C
2 0000	TOTAL S A SP ADR	02/18/10	06/03/11	111 77	117 10	(5 33) LT
244 0000	THK CO LTD SHS	02/01/11	07/13/11	3,181 07	3,279 36	(98 29) ST
68 0000	DNB NOR ASA	02/01/11	07/15/11	953 86	969 00	(15 14) ST
18 0000	AUST NW Z B G ADR	02/08/11	07/27/11	420 85	448 00	(27 15) ST
49 0000	AUST NW Z B G ADR	02/09/11	07/27/11	1,145 65	1,243 15	(97 50) ST
12 0000	BASF SE SPONSORED ADR	09/10/10	07/27/11	1,145 42	667 10	478 32 ST
113 0000	FRANCE TELECOM ADR	02/18/10	07/27/11	2,320 30	2,634 02	(313 72) LT
36 0000	SPDR DJ WILSHIRE INTL	02/18/10	07/27/11	1,430 32	1,215 00	215 32 LT
155 0000	INTESA SANPAOLO SPON ADR	06/08/11	08/02/11	1,877 15	2,499 88	(622 73) ST
47 0000	KONAMI CORP SPON ADR	11/17/10	07/27/11	1,242 82	881 85	360 97 ST
22 0000	KONAMI CORP SPON ADR	11/17/10	07/28/11	580 94	412 78	168 16 ST
2 0000	KONAMI CORP SPON ADR	06/03/11	07/28/11	52 82	42 66	10 16 ST
63 0000	SONY FINL HOLD-UNS	02/01/11	08/03/11	1,162 37	1,191 96	(29 59) ST
24 0000	TOTAL S A SP ADR	02/18/10	08/02/11	1,266 22	1,405 20	(138 98) LT
103 0000	TEVA PHARMACTCL INDS ADR	02/01/11	08/02/11	4,479 83	5,743 96	(1,264 13) ST
65 0000	BAE SYS PLC SPN ADR	02/18/10	09/01/11	1,141 26	1,491 75	(350 49) LT
22 0000	BAYERISCHE MOTORENWERKE	02/11/11	09/22/11	518 64	619 55	(100 91) ST
45 0000	BANK OF CHINA LTD ADR	05/14/10	09/01/11	461 43	559 03	(97 60) LT
76 0000	BANK OF CHINA LTD ADR	05/17/10	09/01/11	779 30	919 30	(140 00) LT
5 0000	BANK OF CHINA LTD ADR	06/03/11	09/01/11	51 28	65 40	(14 12) ST
61 0000	CIA BRA DIS PAO ADS REGS	04/14/11	09/02/11	2,465 56	2,586 40	(120 84) ST
122 0000	DANSKE BK A/S UNSPNS ADR	02/18/10	09/01/11	892 87	1,401 78	(508 91) LT
94 0000	FRANCE TELECOM ADR	02/18/10	08/31/11	1,718 62	2,191 13	(472 51) LT
48 0000	SPDR DJ WILSHIRE INTL	02/18/10	08/31/11	1,805 97	1,619 99	185 98 LT
1 0000	SPDR DJ WILSHIRE INTL	06/03/11	08/31/11	37 63	40 71	(3 08) ST
103 0000	OAO GAZPROM SPON ADR	02/18/10	09/01/11	1,248 95	1,161 33	87 62 LT
13 0000	OAO GAZPROM SPON ADR	03/15/10	09/01/11	157 64	151 46	6 18 LT
129 0000	ROLLS ROYCE GRP SPN ADR	02/18/10	09/13/11	6,116 45	5,151 69	964 76 LT
13 0000	ROLLS ROYCE GRP SPN ADR	02/01/11	09/13/11	616 39	665 10	(48 71) ST

PLEASE SEE REVERSE SIDE



Fiscal Statement

LEO GOODWIN FOUNDATION INC

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
14 0000	SIEMENS AG ADR	03/31/11	09/02/11	1,399.04	1,924.75	(535.71) ST
15.0000	SHINHAN FINL GRP SP ADR	02/01/11	09/02/11	1,177.34	1,380.68	(203.34) ST
64.0000	TELECOM C NW ZLND SPNADR	02/01/11	09/02/11	656.54	567.94	88.60 ST
429 0000	TURKIYE GRTI BK SPN ADR	02/01/11	09/07/11	1,574.36	2,024.88	(450.52) ST
6.0000	TURKIYE GRTI BK SPN ADR	06/03/11	09/07/11	22.02	27.54	(5.52) ST
126.0000	TATA MOTORS LTD ADR	03/07/11	09/12/11	1,846.46	3,179.72	(1,333.26) ST
40 0000	TATA MOTORS LTD ADR	07/05/11	09/12/11	586.19	932.26	(346.07) ST
28 0000	VODAFONE GROF PLC SP ADR	02/18/10	08/31/11	735.09	622.95	112.14 LT
45.0000	VIVENDI SHS UNSP ADR	02/18/10	09/01/11	1,095.34	1,120.50	(25.16) LT
29 0000	BAE SYS PLC SPN ADR	02/18/10	10/05/11	466.41	665.55	(199.14) LT
30 0000	BAE SYS PLC SPN ADR	02/18/10	10/06/11	481.47	688.50	(207.03) LT
70.0000	BAE SYS PLC SPN ADR	05/13/10	10/06/11	1,123.46	1,416.03	(292.57) LT
1.0000	BAE SYS PLC SPN ADR	06/03/11	10/06/11	16.05	21.27	(5.22) ST
126 0000	BAYERISCHE MOTORENWERKE	02/11/11	10/13/11	3,144.24	3,548.35	(404.11) ST
29 0000	BAYERISCHE MOTORENWERKE	03/17/11	10/13/11	723.68	736.87	(13.19) ST
266 0000	ESPRIT HOLDNG LTD SP ADR	02/18/10	10/05/11	646.36	3,896.90	(3,250.54) LT
81 0000	ESPRIT HOLDNG LTD SP ADR	05/13/10	10/05/11	196.83	1,095.42	(898.59) LT
40 0000	IMPERIAL TOB GRP SPS ADR	06/16/10	10/06/11	2,634.65	2,302.54	332.11 LT
2.0000	IMPERIAL TOB GRP SPS ADR	06/17/10	10/06/11	131.74	115.46	16.28 LT
129 0000	INTESA SANPAOLO SPON ADR	06/08/11	09/29/11	1,258.27	2,080.54	(822.27) ST
39.0000	INTESA SANPAOLO SPON ADR	06/10/11	09/29/11	380.41	597.23	(216.82) ST
3 0000	MITSUI CO ADR	03/15/10	10/05/11	805.29	1,022.89	(217.60) LT
70 0000	NIKO RESOURCES LTD CL A	08/02/11	10/07/11	3,077.23	4,913.68	(1,836.45) ST
39 0000	NOVARTIS ADR	02/18/10	10/07/11	2,209.16	2,129.40	79.76 LT
50 0000	STATOIL ASA SHS	02/01/11	09/28/11	1,056.88	1,311.08	(254.20) ST
36 0000	SANOFI ADR	11/19/10	10/05/11	1,174.58	1,231.32	(56.74) ST
93 0000	TECK RESOURCES LTD CLS B	07/07/11	10/07/11	3,034.65	4,900.55	(1,865.90) ST
12 0000	TELENOR ASA ADR	02/08/11	10/05/11	551.05	572.37	(21.32) ST
17 0000	TELENOR ASA ADR	02/09/11	10/05/11	780.67	813.29	(32.62) ST
145.0000	XSTRATA PLC-ADR	05/13/10	10/05/11	359.98	464.82	(104.84) LT
39 0000	XSTRATA PLC-ADR	05/13/10	10/06/11	100.66	125.02	(24.36) LT
S/ T				538,964.99	508,174.15	30,790.84
L/ T				22,034.07	23,268.29	(1,234.22)

PLEASE SEE REVERSE SIDE

Page
105 of 107Statement Period
Year Ending 10/31/11Account No.
54C-04007

EMASM Fiscal Statement

LEO GOODWIN FOUNDATION INC
SCHRODER ALLBERN INTL
800 CORPORATE DR STE 500
FT LAUDERDALE FL 33334-3621

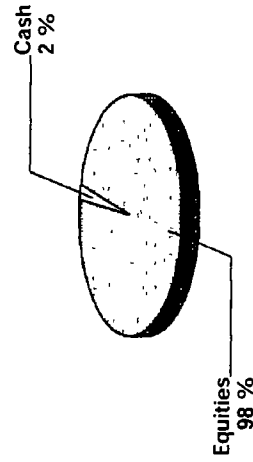
YOUR FINANCIAL ADVISOR:
GREENFEDER/LICARI
FA # 1020
(800) 663-7477

For Client Service Questions Call:
1-800-MERRILL (1-800-637-7455)

Office Serving Your Account:
2400 N COMMERCE PKWY SUITE 100
WESTON FL 33326-1916

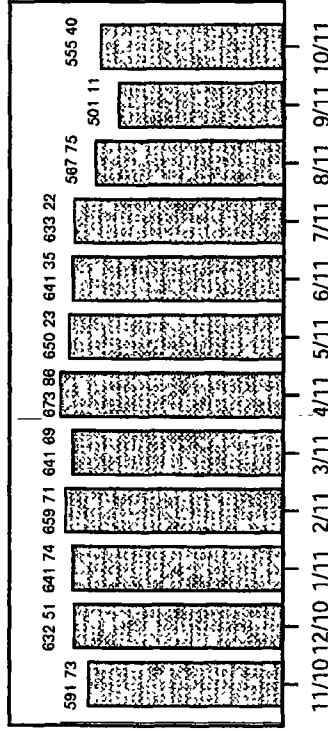
Account Value as of October 31, 2011
\$555,403.95

Asset Allocation Summary



* May not reflect all holdings

Total Value Comparison (in \$ Thousands)



Realized Capital Gain and Loss Summary*

	Current Fiscal Year (10/11)	Prior Fiscal Year (10/10)
Short Term	30,790.84	32,231.98
Long Term	(1,234.22)	57,735.42

* - Excludes transactions for which we have insufficient data

PLEASE SEE REVERSE SIDE
Page 1 of 107
Statement Period Year Ending 10/31/11
Account No 54C-04007

Unrealized Capital Gain and Loss Summary*

	Current Fiscal Year (10/11)	Prior Fiscal Year (10/10)
Short Term	(50,261.29)	45,084.15
Long Term	(10,388.49)	0.00

* - Excludes transactions for which we have insufficient data



Fiscal Statement

LEO GOODWIN FOUNDATION INC

FISCAL YEAR ACTIVITY

Date	Transaction	Quantity	Description	Price	Debit	Credit
10/07/11	Fgn Div Tax		CNOOC LTD ADR		19.57	
10/11/11	Fgn Div Tax		CHINA MOBILE LTD SPN ADR		14.40	
10/12/11	CertIF fee		DBS GROUP HLDGS SPN ADR		2.68	
10/14/11	CertIF fee		RECKITT BENCKISER GR ADR		12.06	
10/17/11	CertIF fee		ARM HLDGS PLC SPD ADR		0.99	
10/21/11	CertIF fee		HONG KONG EXCHANGES AND		6.72	
10/24/11	Fgn Div Tax		EMBRAER S A SPONSRD ADR		6.44	
	Net Total				11,079.48	195.80

Other Activity

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
19 0000	BASF SE SPONSORED ADR	02/18/10	11/10/10	1,467.73	1,087.75	379.98 ST
48 0000	CNINSURE INC SPON ADR	02/18/10	11/11/10	1,105.76	1,046.13	59.63 ST
136 0000	CNINSURE INC SPON ADR	02/18/10	11/10/10	3,215.45	2,964.04	251.41 ST
118 0000	FLSMITH AND CO A/S ADR	02/18/10	11/17/10	885.64	746.94	138.70 ST
308 0000	LG DISPLAY CO LTD	02/18/10	11/01/10	5,263.14	5,174.38	88.76 ST
47 0000	LOGITECH INTERNATIONAL	02/18/10	11/09/10	1,041.41	751.53	289.88 ST
124 0000	ROCHE HLDG LTD SPN ADR	02/18/10	11/23/10	4,413.42	5,246.44	(833.02) ST
33 0000	SOUTHERN COPPER CORP	02/18/10	11/04/10	1,480.25	1,010.32	469.93 ST
204 0000	TNT N V ADR	02/18/10	11/01/10	5,164.65	5,473.32	(308.67) ST
2 0000	TNT N V ADR	08/30/10	11/01/10	50.64	72.38	(21.74) ST
13 0000	COMP DE BEBIDAS SPN ADR	02/18/10	11/29/10	1,779.53	1,293.58	485.95 ST
69 0000	CHICAGO BRDG & IRON CO NV	02/18/10	12/22/10	2,267.68	1,510.14	757.54 ST
345 0000	GERDAU SA SPONS ADR	02/18/10	11/30/10	4,052.37	5,023.17	(970.80) ST
119 0000	GILDAN ACTIVEWEAR INC	06/29/10	12/28/10	3,313.84	3,520.92	(207.08) ST
152 0000	INTESA SANPAOLO SPON ADR	02/18/10	12/02/10	2,479.77	3,321.20	(841.43) ST
37 0000	INTESA SANPAOLO SPON ADR	08/25/10	12/02/10	603.64	626.44	(22.80) ST
12 0000	LULULEMON ATHLETICA INC	09/13/10	12/13/10	846.50	497.53	348.97 ST
38 0000	NOBLE CORP NAMEN-AKT	03/16/10	12/22/10	1,312.64	1,635.30	(322.66) ST
94 0000	NOBLE CORP NAMEN-AKT	03/16/10	12/23/10	3,247.39	4,045.22	(797.83) ST
130 0000	PETROLEO BRAS VTG SPD ADR	02/18/10	11/29/10	4,179.47	5,489.89	(1,310.42) ST

PLEASE SEE REVERSE SIDE

Page
72 of 81Statement Period
Year Ending 10/31/11Account No
54C-04008

Fiscal Statement

LEO GOODWIN FOUNDATION INC

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
136 0000	SXC HEALTH SOLUTIONS	02/18/10	12/02/10	5,933.29	3,297.33	2,635.96 ST
46 0000	SIGNET JEWELERS LTD SHS	02/18/10	11/29/10	1,837.44	1,331.70	505.74 ST
158 0000	WILLIS GROUP HOLDINGS	06/08/10	12/02/10	5,166.98	4,839.78	327.20 ST
32 0000	ARM HLDGS PLC SPD ADR	02/18/10	01/06/11	751.73	305.28	446.45 ST
36 0000	ARM HLDGS PLC SPD ADR	02/18/10	01/14/11	925.06	343.44	581.62 ST
47 0000	GILDAN ACTIVEWEAR INC	06/29/10	12/29/10	1,322.54	1,390.62	(68.08) ST
49 0000	SMITH-NPHW PLC SPADR NEW	02/18/10	01/12/11	2,626.68	2,533.79	92.89 ST
41 0000	SMITH-NPHW PLC SPADR NEW	02/18/10	01/13/11	2,225.07	2,120.11	104.96 ST
18 0000	BANCOLOMBIA S A SPDS ADR	02/18/10	01/31/11	1,047.21	814.91	232.30 ST
41 0000	BRITISH SKY BDCT SPD ADR	02/18/10	02/10/11	1,994.82	1,373.91	620.91 ST
21 0000	CANADIAN NATURAL RES LTD	02/18/10	02/03/11	944.60	731.43	213.17 ST
8 0000	CNOOC LTD ADR	02/18/10	01/31/11	1,765.39	1,266.24	499.15 ST
17 0000	DEUTSCHE BK AG REG SHS	01/25/11	02/17/11	1,103.46	1,014.40	89.06 ST
11 0000	DEUTSCHE BK AG REG SHS	01/25/11	02/18/11	713.84	656.37	57.47 ST
27 0000	DEUTSCHE BK AG REG SHS	02/09/11	02/18/11	1,752.18	1,730.31	21.87 ST
120 0000	HOME INNS & HOTELS MGMT	09/01/10	01/31/11	4,096.00	5,298.88	(1,202.88) ST
31 0000	KOMATSU NEW NEW SPNSDADR	12/06/10	02/03/11	966.71	912.36	54.35 ST
8 0000	LULULEMON ATHLETICA INC	09/13/10	02/11/11	672.76	331.68	341.08 ST
3 0000	LULULEMON ATHLETICA INC	09/14/10	02/11/11	252.29	128.22	124.07 ST
167 0000	MAN GROUP PLC-JUNSPON	03/19/10	02/04/11	794.47	611.71	182.76 ST
57 0000	PATNI COMPUTER SYS ADR	05/17/10	02/01/11	1,151.76	1,528.27	(376.51) ST
23 0000	PATNI COMPUTER SYS ADR	05/17/10	02/02/11	463.37	616.67	(153.30) ST
47 0000	PATNI COMPUTER SYS ADR	05/18/10	02/02/11	946.90	1,307.63	(360.73) ST
18 0000	PATNI COMPUTER SYS ADR	05/18/10	02/03/11	361.80	500.79	(138.99) ST
45 0000	PATNI COMPUTER SYS ADR	05/19/10	02/03/11	904.50	1,235.66	(331.16) ST
13 0000	SAP AG SHS	02/18/10	02/03/11	759.05	571.22	187.83 ST
15 0000	SAP AG SHS	02/18/10	02/15/11	893.79	659.10	234.69 ST
28 0000	WAL-MART DE MEX SPNADR V	02/18/10	02/09/11	802.33	683.62	118.71 ST
104 0000	BANCOLOMBIA S A SPDS ADR	02/18/10	03/25/11	6,392.54	4,708.34	1,684.20 LT
84 0000	BANCO SANTANDER CHIL ADR	03/16/10	03/25/11	7,084.76	5,713.51	1,371.25 LT
34 0000	HERBALIFE LTD	02/18/10	03/16/11	2,682.67	1,386.86	1,295.81 LT
49 0000	INFOSYS TECH LTD ADR	02/18/10	03/14/11	3,201.74	2,713.35	488.39 LT
169 0000	KONINKL PHIL E NY SH NEW	06/07/10	03/14/11	5,410.08	4,824.24	585.84 ST
209 0000	LAFARGE ADR NEW	02/18/10	02/25/11	3,154.35	3,624.06	(469.71) LT
34 0000	LAFARGE ADR NEW	03/08/10	02/25/11	513.15	617.66	(104.51) ST
46 0000	LAFARGE ADR NEW	08/05/10	02/25/11	694.26	626.68	67.58 ST
62 0000	LAFARGE ADR NEW	09/14/10	02/25/11	935.75	833.27	102.48 ST
115 0000	NESTLE S A REP RG SH ADR	02/18/10	03/14/11	6,365.20	5,490.10	875.10 LT
8 0000	NOVO NORDISK A S ADR	02/18/10	03/17/11	962.35	578.56	383.79 LT
402 0000	BANCO SANTANDER BRZL SA	09/01/10	04/26/11	4,583.11	5,188.37	(605.26) ST

PLEASE SEE REVERSE SIDE

x 00022193
 Page 73 of 81
 Statement Period Year Ending 10/31/11
 Account No 54C-04008



Fiscal Statement

LEO GOODWIN FOUNDATION INC

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
7.0000	LULULEMON ATHLETICA INC	09/14/10	04/20/11	696.00	299.19	396.81 ST
257.0000	MARVELL TECHNOLOGY GROUP	04/27/10	04/26/11	4,049.34	5,581.17	(1,531.83) ST
14.0000	SAP AG SHS	02/18/10	04/19/11	886.27	615.16	271.11 LT
76.0000	SOUTHERN COPPER CORP	02/18/10	04/20/11	2,835.40	2,326.79	508.61 LT
97.0000	YANZHOU COAL MNG SPD ADR	06/29/10	04/26/11	3,761.75	1,815.28	1,946.47 ST
3.0000	AMERICA MOVIL SAB DE CV	02/18/10	05/17/11	148.61	136.71	11.90 LT
39.0000	AMERICA MOVIL SAB DE CV	02/18/10	05/20/11	1,960.59	1,777.23	183.36 LT
12.0000	ALLIED WORLD ASSURANCE	02/18/10	05/17/11	732.83	552.12	180.71 LT
3.0000	BASF SE SPONSORED ADR	02/18/10	05/17/11	266.57	171.75	94.82 LT
2.0000	BG GROUP PLC SPON ADR	02/18/10	05/17/11	218.49	185.58	32.91 LT
3.0000	CANADIAN NATL RAILWAY CO	02/18/10	05/17/11	224.09	157.41	66.68 LT
3.0000	CREDIT SUISSE GP SP ADR	02/18/11	05/17/11	124.22	142.32	(18.10) ST
2.0000	CARNIVAL CORP PAIRED SHS	02/18/10	05/17/11	79.83	67.59	12.24 LT
42.0000	CHECK POINT SOFTWARE TECH	02/18/10	05/17/11	2,270.48	1,377.49	892.99 LT
7.0000	CANON INC ADR REP5SH	06/30/10	05/17/11	318.77	263.74	55.03 ST
12.0000	COCA COLA HELLENIC S ADS	02/18/10	05/17/11	304.67	270.87	33.80 LT
7.0000	COCA COLA HELLENIC S ADS	02/18/10	05/18/11	173.13	158.01	15.12 LT
36.0000	COCA COLA HELLENIC S ADS	02/18/10	05/19/11	888.55	812.62	75.93 LT
18.0000	COCA COLA HELLENIC S ADS	02/18/10	05/19/11	447.00	406.31	40.69 LT
50.0000	COCA COLA HELLENIC S ADS	02/18/10	05/20/11	1,223.41	1,128.65	94.76 LT
1.0000	CNOOC LTD ADR	02/18/10	05/17/11	228.36	158.28	70.08 LT
3.0000	CHICAGO BRDG & IRON CO NV	02/18/10	05/17/11	110.12	65.66	44.46 LT
3.0000	CHINA MERCHANTS-UNSPON	02/18/10	05/17/11	132.08	105.15	26.93 LT
4.0000	DASSAULT SYS SA SPN ADR	02/18/10	05/17/11	322.63	223.84	98.79 LT
12.0000	DOMTAR CORP SHS	04/27/10	05/17/11	1,226.02	895.72	330.30 LT
14.0000	FRESENIUS MEDICAL CARE	02/18/10	05/17/11	976.01	710.22	265.79 LT
22.0000	FOMENTO ECNMCO MEX SPADR	02/18/10	05/17/11	1,360.89	924.32	436.57 LT
10.0000	FANUC LTD-UNSP	02/18/10	05/17/11	261.89	163.17	98.72 LT
2.0000	CGI GROUP INC	06/08/10	05/17/11	42.43	31.03	11.40 ST
13.0000	HERBALIFE LTD	02/18/10	05/17/11	1,353.92	530.27	823.65 LT
34.0000	HENNES AND MAURITZ AB-	02/18/10	05/17/11	251.59	206.55	45.04 LT
6.0000	HONG KONG EXCHANGES AND	02/18/10	05/17/11	131.27	100.50	30.77 LT
6.0000	HITACHI LTD 10 NEW ADR	04/27/11	05/17/11	337.97	311.39	26.58 ST
5.0000	INDUSTRIAL AND COMMRCIAL BNK	02/18/10	05/17/11	80.44	71.77	8.67 LT
2.0000	ITAU UNIBANCO BANCO HOLD	02/18/10	05/17/11	43.97	41.49	2.48 LT
4.0000	KOMATSU NEW NEW SPNSADR	12/06/10	05/17/11	131.47	117.72	13.75 ST
2.0000	KINGFISHER PLC SP ADR	02/18/10	05/17/11	17.71	13.54	4.17 LT
3.0000	LOGITECH INTERNATIONAL	02/18/10	05/17/11	37.19	47.97	(10.78) LT
2.0000	LULULEMON ATHLETICA INC	09/14/10	05/03/11	187.61	85.48	102.13 ST
9.0000	LULULEMON ATHLETICA INC	09/23/10	05/03/11	844.27	380.52	463.75 ST

PLEASE SEE REVERSE SIDE



Fiscal Statement

LEO GOODWIN FOUNDATION INC

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
7 0000	LULULEMON ATHLETICA INC	10/01/10	05/03/11	656 66	318.43	338.23 ST
63.0000	MILLICOM INTL CELLULAR	03/25/10	05/16/11	6,823 00	5,564.36	1,258.64 LT
1.0000	NESTLE S A REP RG SH ADR	02/18/10	05/17/11	61 85	47.74	14.11 LT
1.0000	NOVO NORDISK A S ADR	02/18/10	05/17/11	122.78	72 32	50 46 LT
3.0000	POTASH CORP SASKATCHEWAN	02/18/10	05/17/11	160 40	115.10	45.30 LT
1.0000	RIO TINTO PLC SPNSRD ADR	02/18/10	05/17/11	67.10	53 99	13 11 LT
4.0000	SIEMENS AG ADR	07/27/10	05/17/11	524.99	399.70	125.29 ST
1.0000	SCHLUMBERGER LTD	02/18/10	05/17/11	81.49	65.62	15 87 LT
1.0000	SABMILLER PLC SPON ADR	02/18/10	05/17/11	36.44	27 31	9.13 LT
28.0000	SUBSEA 7 SA SPONSRD ADR	03/18/10	05/17/11	687 95	529 44	158.51 LT
2.0000	TEVA PHARMACI CL INDS ADR	02/18/10	05/17/11	98 57	114.81	(16 24) LT
20.0000	TURKIYE GRTI BK SPN ADR	02/18/10	05/17/11	91 79	83.00	8.79 LT
17.0000	TELENOR ASA ADR	02/18/10	05/17/11	871 23	647.70	223 53 LT
38.0000	TIM PARTICIPACOE S A ADR	12/08/10	05/17/11	1,803.83	1,263 04	540.79 ST
7 0000	TELEFONICA SA SPAIN ADR	02/18/10	05/17/11	167 57	165.65	1 92 LT
1 0000	TOYOTA MOTOR CORP ADR	02/18/10	05/17/11	81 31	73.75	7 56 LT
297.0000	VESTAS WIND SYTS AS ADR	02/18/10	05/12/11	2,911 43	5,265.81	(2,354.38) LT
18.0000	VIVO PARTICIPACOE S A SP	12/28/10	05/17/11	738.35	591 96	146.39 ST
13.0000	VOLKSWAGEN A G ADR	08/03/10	05/17/11	35 28	22 10	13 18 ST
3.0000	WARNER CHILCOTT PLC	12/28/10	05/17/11	425 65	361 73	64 92 ST
2 0000	YOUKU COM INC ADR	03/14/11	05/17/11	75 20	67 55	7 65 ST
6 0000	WAL-MART DE MEX SPNADR V	05/16/11	05/17/11	87 59	95 67	N/C
3 0000	ARM HLDGS PLC SPD ADR	02/18/10	05/17/11	177 89	146 49	31 40 LT
60 0000	AMERICA MOVIL SAB DE CV	02/18/10	06/03/11	84 63	28 62	56 01 LT
3 0000	ADIDAS AG SPONSORED ADR	02/18/10	05/27/11	3,140 06	2,734 19	405.87 LT
5 0000	ARCELORMITTAL SA,	05/04/11	06/03/11	111 59	111.81	N/C
10 0000	ASSA ABLOY AB ADR	02/25/11	06/03/11	161 30	180.82	N/C
42.0000	BRITISH SKY BDCI SPD ADR	11/09/10	06/03/11	135.89	137.48	(1 59) ST
6 0000	BRITISH SKY BDCI SPD ADR	02/18/10	06/09/11	2,288 78	1,407 41	881 37 LT
24.0000	BRITISH SKY BDCI SPD ADR	02/18/10	06/10/11	324 43	201.06	123 37 LT
1 0000	BASF SE SPONSORED ADR	04/26/10	06/10/11	1,297 74	916.02	381 72 LT
2.0000	BG GROUP PLC SPON ADR	02/18/10	06/03/11	90.75	57 25	33 50 LT
8.0000	BNP PARIBAS SPONSORD ADR	02/18/10	06/03/11	220 77	185 58	35 19 LT
2 0000	CANADIAN NATL RAILWAY CO	02/18/10	06/03/11	311 67	284 80	26 87 LT
3 0000	CREDIT SUISSE GP SP ADR CXL	02/18/10	06/03/11	151 61	104 94	46 67 LT
3.0000	CREDIT SUISSE GP SP ADR	02/18/11	05/17/11	124 22	142.32	18 10 ST
4.0000	CREDIT SUISSE GP SP ADR	02/18/11	05/17/11	124 22	142 32	N/C
1.0000	CARNIVAL CORP PAIRED SHS	02/18/11	06/03/11	171 40	189 76	(18 36) ST
2 0000	CANADIAN NATURAL RES LTD	02/18/10	06/03/11	37 07	33 80	3 27 LT
		02/18/10	06/03/11	82 25	69 66	12.59 LT

PLEASE SEE REVERSE SIDE



Fiscal Statement

LEO GOODWIN FOUNDATION INC

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
9 0000	CHECK POINT SOFTWARE TECH	02/18/10	06/03/11	502.02	295.18	206.84 LT
4 0000	CANON INC ADR REP5SH	06/30/10	06/03/11	191.32	150.71	40.61 ST
1.0000	CNOOC LTD ADR	02/18/10	06/03/11	241.37	158.28	83.09 LT
3 0000	COMP DE BEBIDAS SPN ADR COR	02/18/10	06/03/11	95.34	59.66	35.68 LT
4 0000	CHICAGO BRDG & IRON CO NV	02/18/10	06/03/11	146.67	87.54	59.13 LT
3 0000	CHINA MERCHANTS-UNSPON	02/18/10	06/03/11	120.77	105.15	15.62 LT
1 0000	DBS GROUP HLDGS SPN ADR	02/18/10	06/03/11	48.14	40.50	7.64 LT
1.0000	DASSAULT SYS SA SPN ADR	02/18/10	06/03/11	85.04	55.96	29.08 LT
5 0000	EMBRAER S A SPONSRD ADR	02/18/10	06/03/11	159.44	112.00	47.44 LT
3 0000	FRESENIUS MEDICAL CARE	02/18/10	06/03/11	215.39	152.19	63.20 LT
5 0000	FOMENTO ECOMCO MEX SPADR	02/18/10	06/03/11	312.77	210.07	102.70 LT
9 0000	FANUC LTD-UNSP	02/18/10	06/03/11	229.88	146.85	83.03 LT
31 0000	CGI GROUP INC	06/08/10	06/03/11	713.06	481.02	232.04 ST
12 0000	HERBALIFE LTD	02/18/10	06/03/11	664.42	244.74	419.68 LT
8 0000	HANG LUNG PROPERTIES ADR	02/18/10	06/03/11	161.83	147.36	14.47 LT
32 0000	HENNES AND MAURITZ AB-	02/18/10	06/03/11	235.19	194.40	40.79 LT
4 0000	HONG KONG EXCHANGES AND	02/18/10	06/03/11	86.79	67.00	19.79 LT
4 0000	HITACHI LTD 10 NEW ADR	04/27/11	06/03/11	226.57	207.59	18.98 ST
5 0000	INTERCONTINENTAL HOTELS	08/20/10	06/03/11	100.79	79.20	21.59 ST
84 0000	INTERCONTINENTAL HOTELS	08/20/10	06/14/11	1,656.42	1,330.62	325.80 ST
149 0000	INTERCONTINENTAL HOTELS	08/20/10	06/15/11	2,885.33	2,360.27	525.06 ST
84 0000	INTERCONTINENTAL HOTELS	08/20/10	06/16/11	1,580.70	1,330.62	250.08 ST
7 0000	INDUSTRL AND COMMCL BNK	02/18/10	06/03/11	108.70	100.48	8.22 LT
6 0000	ITAU UNIBANCO BANCO HOLD	02/18/10	06/03/11	138.73	124.48	14.25 LT
33 0000	KINGFISHER PLC SP ADR	02/18/10	06/03/11	299.63	223.41	76.22 LT
1 0000	KDDI CORP SHS	05/11/11	06/03/11	70.38	70.85	(0.47) ST
11 0000	LVMH MOET HENNESSY ADR	02/18/10	06/03/11	378.06	238.81	139.25 LT
9 0000	LOGITECH INTERNATIONAL	02/18/10	06/03/11	117.65	143.91	(26.26) LT
30 0000	MITSUBISHI UFJ FINL GRP	02/18/10	06/03/11	136.51	151.75	(15.24) LT
20 0000	MAN GROUP PLC-UNSPON	03/19/10	06/03/11	80.79	73.26	7.53 LT
4 0000	NOVARTIS ADR	02/18/10	06/03/11	254.05	218.40	35.65 LT
2 0000	NEW ORIENTAL EDUCATIO	02/18/10	06/03/11	222.83	144.24	78.59 LT
1 0000	NESTLE S A REP RG SH ADR	02/18/10	06/03/11	129.39	95.48	33.91 LT
5 0000	NOVO NORDISK A S ADR	02/18/10	06/03/11	126.01	72.32	53.69 LT
5 0000	PUBLICIS GROUPE SPON ADR	05/25/10	06/03/11	135.74	97.07	38.67 LT
5 0000	RIO TINTO PLC SPNSRD ADR	02/18/10	06/03/11	337.24	269.95	67.29 LT
77 0000	RESEARCH IN MOTION LTD	02/18/10	06/20/11	2,043.72	5,502.41	(3,458.69) LT
23 0000	RESEARCH IN MOTION LTD	01/31/11	06/20/11	610.46	1,367.06	(756.60) ST
60 0000	RESEARCH IN MOTION LTD	06/03/11	06/20/11	1,592.52	2,390.00	(797.48) ST
23 0000	RECKITT BENCKISER GR ADR	02/18/10	06/03/11	255.29	251.62	3.67 LT

PLEASE SEE REVERSE SIDE



Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
4 0000	SAP AG SHS	02/18/10	06/03/11	245.56	175.76	69.80 LT
2 0000	SIEMENS AG ADR	07/27/10	06/03/11	255.33	199.85	65.48 ST
3 0000	SCHLUMBERGER LTD	02/18/10	06/03/11	256.04	196.86	59.18 LT
3 0000	SABMILLER PLC SPON ADR	02/18/10	06/03/11	109.01	81.93	27.08 LT
12 0000	SUBSEA 7 SA SPONSRD ADR	03/18/10	06/03/11	309.43	226.90	82.53 LT
252 0000	SUBSEA 7 SA SPONSRD ADR	03/18/10	06/15/11	6,135.58	4,765.00	1,370.58 LT
12 0000	TESCO PLC SPNRD ADR	02/18/10	06/03/11	245.63	241.44	4.19 LT
3 0000	TEVA PHARMACTCL INDS ADR	02/18/10	06/03/11	151.26	172.22	(20.96) LT
38 0000	TURKIYE GRTI BK SPN ADR	02/18/10	06/03/11	170.99	157.70	13.29 LT
12 0000	TIM PARTICIPACOE S A AD	12/08/10	06/03/11	601.17	398.85	202.32 ST
6 0000	TENCENT HOLDINGS LTD ADR	04/27/10	06/03/11	169.19	125.05	44.14 LT
2 0000	TELEFONICA SA SPAIN ADR	02/18/10	06/03/11	48.24	47.33	0.91 LT
2 0000	TOYOTA MOTOR CORP ADR	02/18/10	06/03/11	161.18	147.50	13.68 LT
24 0000	VIVO PARTICIPACOE SA SP	12/28/10	06/03/11	1,114.12	789.28	324.84 ST
7 0000	VOLKSWAGEN A G ADR	08/03/10	06/03/11	250.24	154.69	95.55 ST
9 0000	VOLKSWAGEN A G SPONS ADR	12/28/10	06/03/11	304.91	250.43	54.48 ST
8 0000	YANZHOU COAL MNG SPD ADR	05/29/10	06/03/11	308.63	149.71	158.92 ST
2 0000	YOUKU COM INC ADR	05/16/11	06/03/11	88.60	95.67	N/C
14 0000	YOUKU COM INC ADR	05/16/11	06/16/11	417.45	669.65	(252.20) ST
2 0000	YOUKU COM INC ADR	05/16/11	06/16/11	59.63	96.64	(37.01) ST
2 0000	YOUKU COM INC ADR	05/16/11	06/16/11	59.63	95.62	(35.99) ST
17 0000	YOUKU COM INC ADR	05/16/11	06/16/11	506.92	752.72	(245.80) ST
5 0000	WAL-MART DE MEX SPNADR V	02/18/10	06/03/11	150.84	122.08	28.76 LT
3 0000	ADIDAS AG SPONSORED ADR CXL	05/04/11	06/03/11	111.59	111.81	N/C
3 0000	ADIDAS AG SPONSORED ADR	05/04/11	06/03/11	111.59	111.81	(0.22) ST
5 0000	ARCELORMITTAL SA, CXL	02/25/11	06/03/11	161.30	180.82	N/C
5 0000	ARCELORMITTAL SA,	02/25/11	06/03/11	161.30	180.82	(19.52) ST
3 0000	CREDIT SUISSE GP SP ADR CXL	02/18/11	05/17/11	124.22	142.32	N/C
3 0000	CREDIT SUISSE GP SP ADR	02/18/11	05/17/11	124.22	142.32	(18.10) ST
84 0000	ENDURANCE SPECIALTY HLDG	02/18/10	07/21/11	3,432.02	3,192.83	239.19 LT
60 0000	ENDURANCE SPECIALTY HLDG	02/18/10	07/22/11	2,431.81	2,280.60	151.21 LT
18 0000	ENDURANCE SPECIALTY HLDG	06/03/11	07/22/11	729.55	714.27	15.28 ST
6 0000	NEW ORIENTAL EDUCATIO	02/18/10	07/21/11	760.51	432.72	327.79 LT
25 0000	TECK RESOURCES LTD CLS B	02/01/11	07/21/11	1,330.74	1,579.16	(248.42) ST
30 0000	TECK RESOURCES LTD CLS B	02/02/11	07/21/11	1,596.90	1,916.90	(320.00) ST
41 0000	TECK RESOURCES LTD CLS B	02/03/11	07/21/11	2,182.43	2,645.43	(463.00) ST
32 0000	TECK RESOURCES LTD CLS B	06/03/11	07/21/11	1,703.36	1,608.88	94.48 ST
16 0000	TEVA PHARMACTCL INDS ADR	02/18/10	07/26/11	749.64	918.52	(168.88) LT
225 0000	TRINA SOLAR LTD ADR	11/02/10	07/21/11	4,279.34	5,971.37	(1,692.03) ST
68 0000	TRINA SOLAR LTD ADR	06/03/11	07/21/11	1,293.32	1,457.58	(164.26) ST

PLEASE SEE REVERSE SIDE



Fiscal Statement

LEO GOODWIN FOUNDATION INC

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
2 0000	YOUKU COM INC ADR	05/16/11	05/17/11	87.59	95.67	N/C
2 0000	YOUKU COM INC ADR	05/16/11	05/17/11	87.59	95.67	(8.08) ST
2 0000	YOUKU COM INC ADR	05/16/11	06/03/11	88.60	95.67	N/C
2 0000	YOUKU COM INC ADR	05/16/11	06/03/11	88.60	95.67	(7.07) ST
2 0000	YOUKU COM INC ADR	05/16/11	06/16/11	59.63	96.64	37.01 ST
2 0000	YOUKU COM INC ADR	05/16/11	06/16/11	59.63	88.56	(28.93) ST
2 0000	YOUKU COM INC ADR	05/16/11	06/16/11	59.63	95.62	35.99 ST
2 0000	YOUKU COM INC ADR	05/16/11	06/16/11	59.63	88.55	(28.92) ST
28 0000	CANADIAN NATL RAILWAY CO	02/18/10	08/11/11	1,920.54	1,469.16	451.38 LT
517 0000	CELESTICA SUB VTG SHS	02/18/10	08/16/11	4,317.13	5,479.32	(1,162.19) LT
133 0000	CELESTICA SUB VTG SHS	06/03/11	08/16/11	1,110.60	1,309.50	(198.90) ST
118 0000	ENI S P A SPONSORED ADR	02/18/10	08/22/11	4,482.49	5,481.09	(998.60) LT
20 0000	ENI S P A SPONSORED ADR	06/03/11	08/22/11	759.75	939.10	(179.35) ST
6 0000	FRESENIUS MEDICAL CARE	02/18/10	07/27/11	467.47	304.38	163.09 LT
7 0000	FRESENIUS MEDICAL CARE	02/18/10	07/28/11	545.15	355.11	190.04 LT
42 0000	FANUC LTD-UNSP	02/18/10	08/18/11	1,170.79	685.30	485.49 LT
30 0000	LVMH MOET HENNESSY ADR	02/18/10	07/28/11	1,097.78	651.30	446.48 LT
236 0000	MAN GROUP PLC-UNSPON	03/19/10	08/12/11	747.63	864.44	(116.81) LT
398 0000	MAN GROUP PLC-UNSPON	03/25/10	08/12/11	1,260.84	1,496.08	(235.24) LT
197 0000	MAN GROUP PLC-UNSPON	05/12/10	08/12/11	624.09	682.76	(58.67) LT
33 0000	BAYER AG SP ADR	06/15/11	09/07/11	1,759.29	2,630.06	(870.77) ST
5 0000	BAYER AG SP ADR	06/15/11	09/15/11	271.47	398.49	(127.02) ST
21 0000	BAYER AG SP ADR	06/16/11	09/15/11	1,140.20	1,669.09	(528.89) ST
103 0000	BNP PARIBAS SPONSORD ADR	02/18/10	09/19/11	1,859.93	3,666.80	(1,806.87) LT
26 0000	BNP PARIBAS SPONSORD ADR	05/25/10	09/21/11	439.18	925.60	(486.42) LT
28 0000	BNP PARIBAS SPONSORD ADR	10/01/10	09/21/11	557.42	785.30	(312.34) LT
33 0000	BNP PARIBAS SPONSORD ADR	10/21/10	09/21/11	456.07	1,169.34	(611.92) ST
27 0000	BNP PARIBAS SPONSORD ADR	03/10/11	09/21/11	388.52	985.92	(529.85) ST
23 0000	BNP PARIBAS SPONSORD ADR	02/18/10	09/16/11	799.80	835.61	(447.09) ST
30 0000	CHINA MERCHANTS-UNSPON	02/18/10	09/20/11	2,248.98	1,051.50	(251.70) LT
90 0000	CHINA MERCHANTS-UNSPON	09/07/10	09/20/11	214.40	3,154.50	(905.52) LT
6 0000	CHINA MERCHANTS-UNSPON	09/08/10	09/20/11	149.93	214.40	(64.47) LT
11 0000	CHINA MERCHANTS-UNSPON	02/18/10	09/20/11	274.88	392.32	(117.44) LT
352 0000	GAO GAZPROM SPON ADR	02/18/10	09/13/11	3,808.70	3,968.80	(160.10) LT
28 0000	GAO GAZPROM SPON ADR	03/16/11	09/13/11	302.97	435.28	(132.31) ST
267 0000	LOGITECH INTERNATIONAL	02/18/10	09/27/11	2,137.36	4,269.30	(2,131.94) LT
39 0000	LOGITECH INTERNATIONAL	04/30/10	09/27/11	312.21	651.40	(339.19) LT
21 0000	NEW ORIENTAL EDUCATIO	02/18/10	09/14/11	702.77	378.63	324.14 LT
14 0000	NESTLE S A REP RG SH ADR	02/18/10	08/31/11	861.37	668.36	193.01 LT
22 0000	WAL-MART DE MEX SPNADR V	02/18/10	09/01/11	584.98	537.13	47.85 LT

PLEASE SEE REVERSE SIDE

Page 78 of 81
Statement Period Year Ending 10/31/11
Account No. 54C-04008



Fiscal Statement

LEO GOODWIN FOUNDATION INC

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
24 0000	ARM HLDGS PLC SPD ADR	02/18/10	10/12/11	674.53	228.96	445.57 LT
5 0000	LOGITECH INTERNATIONAL	04/30/10	09/28/11	39.55	83.51	(43.96) LT
47 0000	SIEMENS AG ADR	07/27/10	10/17/11	4,756.82	4,696.42	60.40 LT
2 0000	SIEMENS AG ADR	01/11/11	10/17/11	202.42	236.65	(34.23) ST
48 0000	SABMILLER PLC SPON ADR	02/18/10	10/14/11	1,718.71	1,310.88	407.83 LT
66 0000	TESCO PLC SPNRD ADR	02/18/10	10/12/11	1,257.86	1,327.92	(70.06) LT
				153,061.34	153,925.65	(864.31)
S/ T				373,606.14	367,230.72	6,375.42
L/ T						

PLEASE SEE REVERSE SIDE
 Page 79 of 81
 Statement Period Year Ending 10/31/11
 Account No. 54C-04008

EMA Fiscal Statement

LEO GOODWIN FOUNDATION INC
 RENAISSANCE INTL ADR
 THORNBURG INTL ADR
 800 CORPORATE DR STE 500
 FT LAUDERDALE FL 33334-3621

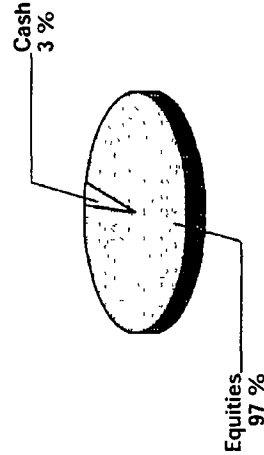
YOUR FINANCIAL ADVISOR:
 GREENFEDER/LICARI
 FA # 1020
 (800) 663-7477

For Client Service Questions Call:
 1-800-MERRILL (1-800-637-7455)

Office Serving Your Account:
 2400 N COMMERCE PKWY SUITE 100
 WESTON FL 33326-1916

Account Value as of October 31, 2011
\$603,266.36

Asset Allocation Summary



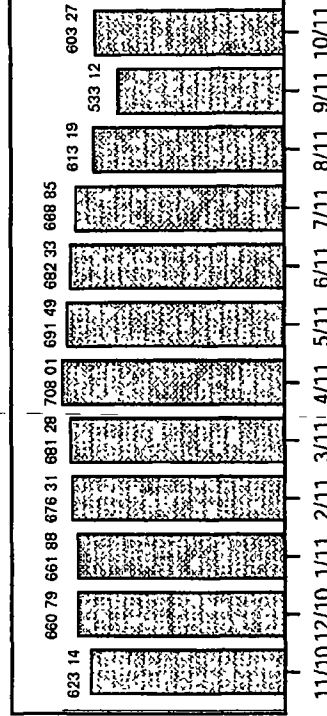
* May not reflect all holdings

Realized Capital Gain and Loss Summary*

	Current Fiscal Year (10/11)	Prior Fiscal Year (10/10)
Short Term	(864.31)	9,583.95
Long Term	6,375.42	(21,497.55)

* - Excludes transactions for which we have insufficient data

Total Value Comparison (in \$ Thousands)



Unrealized Capital Gain and Loss Summary*

	Current Fiscal Year (10/11)	Prior Fiscal Year (10/10)
Short Term	(20,131.09)	66,856.63
Long Term	44,898.37	0.00

* - Excludes transactions for which we have insufficient data