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OMB No. 1545-0052

Form **990-PF**

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

2010Department of the Treasury
Internal Revenue Service

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2010, or tax year beginning 11/01, 2010, and ending 10/31, 2011

G Check all that apply: ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

JOSEPH ALEXANDER FOUNDATION, INC.
110 EAST 59TH STREET
NEW YORK, NY 10022

A Employer identification number

51-0175951

B Telephone number (see the instructions)

212-355-3688

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16)

▶ \$ 14,022,754.

J Accounting method: ☐ Cash ☒ Accrual
☐ Other (specify) _____

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions).)

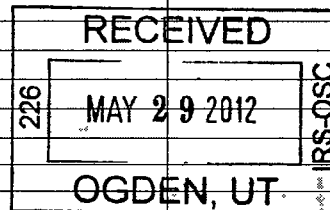
(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

REVENUE	1	Contributions, gifts, grants, etc., received (att sch)				
	2	Ck ☒ if the foundn is not req to att Sch B				
	3	Interest on savings and temporary cash investments	26,166.	26,166.		
	4	Dividends and interest from securities	194,160.	194,160.		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain/(loss) from sale of assets not on line 10	-148,431.			
	b	Gross sales price for all assets on line 6a	1,091,738.			
	7	Capital gain net income (from Part IV line 2)		0.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold					
c	Gross profit/(loss) (att sch)					
11	Other income (attach schedule)					
12	Total. Add lines 1 through 11	71,895.	220,326.	0.		
ADMINISTRATIVE AND OPERATING EXPENSES	13	Compensation of officers, directors, trustees, etc.	82,000.	12,300.		69,700.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach sch) SEE ST 1	16,000.	2,400.		13,600.
	c	Other prof fees (attach sch)				
	17	Interest				
	18	Taxes (attach schedule) (see instr) SEE STM 2	11,681.	6,260.		4,047.
	19	Depreciation (attach sch) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule) SEE STATEMENT 3	107,747.	61,980.		45,767.
	24	Total operating and administrative expenses. Add lines 13 through 23	217,428.	82,940.		133,114.
25	Contributions, gifts, grants paid PART XV	662,500.			662,500.	
26	Total expenses and disbursements. Add lines 24 and 25	879,928.	82,940.	0.	795,614.	
27	Subtract line 26 from line 12:					
a	Excess of revenue over expenses and disbursements	-808,033.				
b	Net investment income (if negative enter 0)		137,386.			
c	Adjusted net income (if negative enter 0)			0.		



Part II Balance Sheets

Attached schedules and amounts in the description column should be for end of year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash — non-interest-bearing	44,886.	46,688.	46,688.
	2 Savings and temporary cash investments	330,416.	291,120.	291,120.
	3 Accounts receivable			
	Less allowance for doubtful accounts			
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	9,723.	8,349.	8,349.
	10a Investments — U.S. and state government obligations (attach schedule)	3,399,105.	3,349,864.	3,349,864.
	b Investments — corporate stock (attach schedule)	7,766,343.	7,862,573.	7,862,573.
	c Investments — corporate bonds (attach schedule)	3,031,429.	2,451,212.	2,451,212.
LIABILITIES	11 Investments — land, buildings, and equipment basis			
	Less accumulated depreciation (attach schedule)			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule)			
	14 Land, buildings, and equipment basis			
	Less accumulated depreciation (attach schedule)			
	15 Other assets (describe SEE STATEMENT 4)	13,675.	12,948.	12,948.
	16 Total assets (to be completed by all filers — see instructions. Also, see page 1, item I)	14,595,577.	14,022,754.	14,022,754.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
FUND ASSETS	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe SEE STATEMENT 5)	41,634.	42,814.	
NET ASSETS OR FUND BALANCES	23 Total liabilities (add lines 17 through 22)	41,634.	42,814.	
	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	14,553,943.	13,979,940.	
	30 Total net assets or fund balances (see the instructions)	14,553,943.	13,979,940.	
NET ASSETS OR FUND BALANCES	31 Total liabilities and net assets/fund balances (see the instructions)	14,595,577.	14,022,754.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	14,553,943.
2 Enter amount from Part I, line 27a	2	-808,033.
3 Other increases not included in line 2 (itemize) SEE STATEMENT 6	3	234,030.
4 Add lines 1, 2, and 3	4	13,979,940.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	13,979,940.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE STATEMENT 7				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	-148,431.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0- in Part I, line 8		3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2009	805,572.	14,405,506.	0.055921
2008	798,786.	14,542,640.	0.054927
2007	844,002.	16,756,231.	0.050369
2006	818,698.	18,225,129.	0.044921
2005	814,419.	17,108,992.	0.047602

2 Total of line 1, column (d)	2	0.253740
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.050748
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	14,094,529.
5 Multiply line 4 by line 3	5	715,269.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,374.
7 Add lines 5 and 6	7	716,643.
8 Enter qualifying distributions from Part XII, line 4	8	795,614.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary – see instr.)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	1,374.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,374.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	1,374.
6 Credits/Payments			
a 2010 estimated tax pmts and 2009 overpayment credited to 2010	6a	8,786.	
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	8,786.	
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	7,412.	
11 Enter the amount of line 10 to be Credited to 2011 estimated tax	11	7,412.	Refunded

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see the instructions) ☐ NY		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990 PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses.</i>		X

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Part VII-A Statements Regarding Activities (Continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>JOSEPH ALEXANDER FOUNDATION</u> Telephone no <u>212-355-3688</u> Located at <u>110 EAST 59TH STREET NEW YORK NY</u> ZIP + 4 <u>10022</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u> <u>N/A</u>			
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country <u></u>			X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? If 'Yes,' list the years <u>20__ , 20__ , 20__ , 20__</u>	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see the instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__ , 20__ , 20__ , 20__</u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If 'Yes,' did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		67,750.	14,250.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE'.

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 UNIVERSITY OF PENNSYLVANIA LOCATED IN PHILDELPHIA, PENNSYLVANIA. THE GRANT IS MADE TO THE PA UNIVERSITY SCHOOL OF ENGINEERING.	50,000.
2 WESTERN WALL HERITAGE FOUNDATION, NEW YORK, NY; EXCAVATION ACTIVITIES AND MUSEUM EXHIBITING HISTORICAL AND RELIGIOUS ARTIFACTS	50,000.
3 YESHIVA UNIVERSITY, FUNDING FOR THE SPECIFIC NEEDS OF THE SCIENCE EDUCATION DEPARTMENT, NEW YORK, NY	50,000.
4 GENESIS FOUNDATION, MARLBORO, NEW JERSEY. ADVANCEMENT OF THE CHRISTIAN FAITH THOROUGH SCIENTIFIC STUDY OF THE BIBLE	50,000.

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair-market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1a	13,930,263.
b Average of monthly cash balances	1b	356,555.
c Fair market value of all other assets (see instructions)	1c	22,348.
d Total (add lines 1a, b, and c)	1d	14,309,166.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	14,309,166.
4 Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	214,637.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	14,094,529.
6 Minimum investment return. Enter 5% of line 5	6	704,726.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	704,726.
2a Tax on investment income for 2010 from Part VI, line 5	2a	1,374.
b Income tax for 2010 (This does not include the tax from Part VI)	2b	
c Add lines 2a and 2b	2c	1,374.
3 Distributable amount before adjustments Subtract line 2c from line 1	3	703,352.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	703,352.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	703,352.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	795,614.
b Program-related investments — total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	795,614.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	1,374.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	794,240.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				703,352.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.	
b Total for prior years 20____, 20____, 20____		0.		
3 Excess distributions carryover, if any, to 2010				
a From 2005				
b From 2006				
c From 2007				
d From 2008	12,962.			
e From 2009	89,203.			
f Total of lines 3a through e	102,165.			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ 795,614.				
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2010 distributable amount				703,352.
e Remaining amount distributed out of corpus	92,262.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	194,427.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	194,427.			
10 Analysis of line 9				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008	12,962.			
d Excess from 2009	89,203.			
e Excess from 2010	92,262.			

N/A

- Part XV** **Supplementary Information** (Complete this part only if the organization had \$5,000 or more in assets at any time during the year — see instructions.)

NONE.

- NONE.

SEE STATEMENT FOR LINE 2A

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i> SEE SCHEDULE OF GRANTS PAID ,	NONE	CHAR	RELIGIOUS	2,500.
SEE SCHEDULE OF GRANTS PAID ,	NONE	CHAR	RESEARCH	120,000.
SEE SCHEDULE OF GRANTS PAID ,	NONE	CHAR	MEDICAL	15,000.
SEE SCHEDULE OF GRANTS PAID ,	NONE	CHAR	EDUCATIONAL	192,000.
SEE SCHEDULE OF GRANTS PAID ,	NONE	CHAR	CHARITABLE	333,000.
Total			3a	662,500.
<i>b Approved for future payment</i>				
Total			3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (see the instructions)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	26,166.	
4 Dividends and interest from securities			14	194,160.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-148,431.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				71,895.	
13 Total. Add line 12, columns (b), (d), and (e)				13	71,895.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

2010

FEDERAL STATEMENTS

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CLIENT ALEXAN

JOSEPH ALEXANDER FOUNDATION, INC.

51-0175951

5/02/12

10 38AM

STATEMENT 1
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
JOEL POPKIN & CO.	\$ 16,000.	\$ 2,400.		\$ 13,600.
TOTAL	<u>\$ 16,000.</u>	<u>\$ 2,400.</u>	<u>\$ 0.</u>	<u>\$ 13,600.</u>

STATEMENT 2
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAXES-CURRENT PROVISION	\$ 1,374.			
FOREIGN TAXES PAID	5,546.	\$ 5,546.		
PAYROLL TAXES	4,761.	714.		\$ 4,047.
TOTAL	<u>\$ 11,681.</u>	<u>\$ 6,260.</u>	<u>\$ 0.</u>	<u>\$ 4,047.</u>

STATEMENT 3
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INSURANCE	\$ 1,269.	\$ 190.		\$ 1,079.
INVESTMENT BROKER FEES	53,905.	53,905.		
NY STATE FILING FEES	750.	112.		638.
OFFICE EXPENSE	353.	53.		300.
RENTAL EXPENSES	51,107.	7,666.		43,441.
SUNDRY AND MISCELLANEOUS	363.	54.		309.
TOTAL	<u>\$ 107,747.</u>	<u>\$ 61,980.</u>	<u>\$ 0.</u>	<u>\$ 45,767.</u>

STATEMENT 4
FORM 990-PF, PART II, LINE 15
OTHER ASSETS

	BOOK VALUE	FAIR MARKET VALUE
ACCRUED INTEREST RECEIVABLE		\$ 5,010.
ACCRUED INTEREST RECEIVABLE	\$ 5,010.	
SECURITY DEPOSITS		7,938.
SECURITY DEPOSITS	7,938.	
TOTAL	<u>\$ 12,948.</u>	<u>\$ 12,948.</u>

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FEDERAL STATEMENTS

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CLIENT ALEXAN

JOSEPH ALEXANDER FOUNDATION, INC.

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STATEMENT 5
FORM 990-PF, PART II, LINE 22
OTHER LIABILITIES

DEFERRED TAXES PAYABLE	\$	40,538.
ACCRUED EXPENSES AND TAXES PAYABLE		2,276.
TOTAL	\$	42,814.

STATEMENT 6
FORM 990-PF, PART III, LINE 3
OTHER INCREASES

UNREALIZED APPRECIATION - SECURITIES (NET)	\$	234,030.
TOTAL	\$	234,030.

STATEMENT 7
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	2,000 SHARES JOHNSON & JOHNSON	PURCHASED	VARIOUS	2/10/2011
2	3,000 SHARES PROCTOR & GAMBLE	PURCHASED	6/01/2005	2/10/2011
3	1,000 SHARES WALMART	PURCHASED	5/15/2009	2/17/2011
4	40,000 SHARES BANK OF AMERICA	PURCHASED	12/14/2009	2/20/2011
5	1,500 SHARES NY COMMUNITY BANCORP	PURCHASED	1/26/2009	2/25/2011
6	5,000 SHARES SEASPAR CORP	PURCHASED	VARIOUS	2/25/2011
7	2,000 SHARES TORTOISE ENERGY	PURCHASED	4/25/2005	3/04/2011
8	1,200 SHARES MORGAN STANLEY INDIA INVESTMENT FUND	PURCHASED	4/24/2007	5/12/2011
9	2,000 SHARES TECO ENERGY INC	PURCHASED	2/04/2010	5/15/2011
10	3,072 SHARES STAPLES	PURCHASED	10/17/2000	8/04/2011

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	121,602.		123,175.	-1,573.				\$ -1,573.
2	193,579.		169,152.	24,427.				24,427.
3	54,913.		51,900.	3,013.				3,013.
4	424,228.		623,936.	-199,708.				-199,708.
5	27,715.		18,984.	8,731.				8,731.
6	78,580.		75,338.	3,242.				3,242.
7	79,222.		59,913.	19,309.				19,309.
8	26,857.		56,170.	-29,313.				-29,313.
9	37,846.		30,643.	7,203.				7,203.
10	47,196.		30,958.	16,238.				16,238.
							TOTAL	\$ -148,431.

2010

FEDERAL STATEMENTS

PAGE 3

CLIENT ALEXAN

JOSEPH ALEXANDER FOUNDATION, INC.

51-0175951

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10 38AM

STATEMENT 8
FORM 990-PF, PART VIII, LINE 1
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN- SATION	CONTRI- BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
A. SNYDER-MACKLER 110 EAST 59TH STREET NEW YORK, NY 10022	DIRECTOR 0	\$ 1,400.	\$ 0.	\$ 0.
HELEN MACKLER 110 EAST 59TH STREET NEW YORK, NY 10022	SECY/DIR 0	21,650.	4,750.	0.
ROBERT WEINTRAUB 110 EAST 59TH STREET NEW YORK, NY 10022	PRESIDENT/DIR 0	21,650.	4,750.	0.
HARVEY MACKLER 110 EAST 59TH STREET NEW YORK, NY 10022	DIR 0	21,650.	4,750.	0.
RANDI WINDHEIM 110 EAST 59TH STREET NEW YORK, NY 10022	DIRECTOR 0	1,400.	0.	0.
TOTAL		\$ 67,750.	\$ 14,250.	\$ 0.

STATEMENT 9
FORM 990-PF, PART XV, LINE 2A-D
APPLICATION SUBMISSION INFORMATION

NAME OF GRANT PROGRAM:

NAME:

CARE OF:

STREET ADDRESS:

CITY, STATE, ZIP CODE:

TELEPHONE:

FORM AND CONTENT:

ROBERT WEINTRAUB
 JOSEPH ALEXANDER FOUNDATION, INC.
 110 EAST 59TH STREET
 NEW YORK, NY 10022

THE APPLICATION SHOULD BE SUBMITTED THROUGH A WRITTEN APPLICATION. THOSE DETAILS THAT RELATE TO THE SPECIFIC REQUIRED CONTENT OF THE APPLICATION CAN BE OBTAINED BY CONTACTING THE FOUNDATION.

SUBMISSION DEADLINES:

RESTRICTIONS ON AWARDS:

THERE ARE NO RESTRICTIONS ON LIMITATIONS OR AWARDS, SUCH AS BY TYPE OR LOCATION, ONE'S CHARITABLE FIELD OR ENDEAVOR, THE TYPE OF THE APPLYING INSTITUTION OR ANY OTHER TYPE OF FACTOR. ACCORDINGLY, AS THERE ARE NO LIMITATIONS OR RESTRICTIONS INHERENT IN THE PROCESS, THE FOUNDATION CAN AFFIRM TO THE FACT THAT THERE IS NO DISCRIMINATION OF ANY KIND RELATING TO THE DECISIONS MADE BY THE FOUNDATION REGARDING THE APPROVAL PROCESS FOR THE GRANTING OF AWARDS, GRANTS OR CONTRIBUTIONS.

JOSEPH ALEXANDER FOUNDATION, INC.
INVESTMENT IN MARKETABLE SECURITIES
YEAR ENDED OCTOBER 31, 2011

<u>Name of Security</u>	<u>Number of Shares</u>		<u>Cost</u>	<u>Value</u>
	<u>Par Value</u>			
Common stock				
Allergan Inc.	700	\$	40,642	\$ 58,884
American Express	4,500		198,289	227,790
American Movil	20,000		24,567	508,400
Amgen Inc.	800		46,710	45,816
Apache Corp.	840		25,162	83,689
AstroZeneca PLC	1,000		48,805	47,910
AT & T	3,600		16,787	105,516
ADP	1,000		58,279	52,330
Baxter Intl Inc.	2,000		31,081	109,960
BHP Billiton Ltd. ADR	1,014		37,637	79,216
BP PLC	9,600		421,469	424,128
BT Group	3,000		114,530	90,720
Centurylink Inc.	1,500		61,247	52,890
Coca Cola	1,000		49,636	68,320
Comp de Bedidas ADR	2,000		55,440	67,440
Conoco Phillips	1,000		29,932	69,650
Danaher Corp.	3,000		86,050	145,050
Devon Energy	6,000		564,887	389,700
Digital Realty Trust	1,100		66,031	68,563
Emerson Electric	1,000		53,467	48,120
Exxon Mobil	3,000		13,313	234,270
Enbridge Energy Management LLC	3,709		47,693	114,311
Fairpoint Communications Inc.	80		-	-
Ford Motor Co.	23,000		373,384	268,640
Freeport McMan CPR & CLD	2,000		71,575	80,520
General Electric	21,000		378,504	350,910
Grupo Mexico	48,322		42,741	132,886
Hartford Financial Services	1,500		6,339	28,875
International Business Machines	3,000		367,021	553,890
Johnson & Johnson	4,000		233,549	257,560
Kinder Morgan Management LLC	1,894		37,686	125,459
Liberty Property Trust	1,000		29,290	32,000
Lowes Companies	6,000		173,450	126,120

Statement #1 - Investments - Corporate Stock 1 of 2

JOSEPH ALEXANDER FOUNDATION, INC.
INVESTMENT IN MARKETABLE SECURITIES
YEAR ENDED OCTOBER 31, 2011

<u>Name of Security</u>	<u>Number of Shares</u>		<u>Value</u>
	<u>Par Value</u>	<u>Cost</u>	
Common stock (continued)			
Marathon Oil Corp.	1,000	21,545	26,030
Marathon Petroleum Corp.	500	14,574	17,950
Mead Johnson Nutrition Co.	1,000	44,388	71,850
Medtronic Inc.	1,000	48,369	34,740
Nextera Energy Inc.	800	28,003	45,120
Oracle Corp.	3,000	10,215	98,310
Peabody Energy	1,700	69,204	73,729
Pepsico	1,000	49,335	62,950
Pfizer Inc.	2,600	8,303	50,076
Pfizer Inc.	1,400	46,896	26,964
Plum Creek Timber Co., Inc.	1,770	49,560	66,658
Proctor & Gamble	5,900	134,024	377,541
Progress Energy	500	20,026	26,050
Public Service Enterprise Group	1,400	25,757	47,180
State Street	1,000	35,338	40,390
Target Corp.	1,000	37,375	54,750
Telefonica SA Spain ADR	7,338	21,121	156,813
Telefonos M SA RP L ADR	5,000	14,168	78,200
United Technologies	2,000	62,251	155,960
United Healthcare Group	4,500	106,731	215,955
Vale SA	2,500	68,557	63,525
Veolia Environment ADR	1,000	32,523	14,190
Verizon Communications	4,242	33,731	156,869
Walgreen Co.	1,000	39,816	33,200
Wal-Mart de Mexico	20,000	43,352	52,260
Wells Fargo	25,000	634,775	647,750
Zimmer Holdings Inc	1,000	27,832	52,630
Total common stock		<u>\$ 5,532,962</u>	<u>\$ 7,797,173</u>

Add: Mutual Funds - Statement Attached

65,400

TOTAL FMV - Part II, line 10(b) & 10(c)

7,862,573

Statement #1 - Investments in Corporate Stock

2 of 2

JOSEPH ALEXANDER FOUNDATION, INC.
INVESTMENT IN MARKETABLE SECURITIES
YEAR ENDED OCTOBER 31, 2011

	Par Value	Cost	Market Value
U.S. Treasury Bills:			
Due 11/17/11	\$ 700,000	\$ 699,802	\$ 699,993
Due 6/27/12	750,000	749,690	749,985
Due 7/5/12	900,000	899,686	899,973
Due 8/29/12	750,000	749,829	749,948
Due 9/19/12	250,000	249,963	249,965
Total U.S. Treasury Bills	\$ 3,350,000	\$ 3,348,970	\$ 3,349,864

Part II - Lines 10(b) & 10(c)

3,349,864

Statement #2 - Investments in Treasury Bills

JOSEPH ALEXANDER FOUNDATION, INC.
 CERTIFICATES OF DEPOSIT
YEAR ENDED OCTOBER 31, 2011

<u>Name of Security</u>	<u>DOE Date</u>	<u>Cost</u>	<u>Value</u>
Aliant Bank, Alabama	11/14/11	\$ 95,000	\$ 94,978
Ally Bank, Utah	11/04/11	95,000	94,994
Aurora Bank, Delaware	01/26/12	150,000	149,738
Aurora Bank, Delaware	03/02/12	90,000	89,779
Banco Popular, Puerto Rico	06/15/12	88,000	87,728
Bank of Baroda, New York	05/21/12	190,000	189,374
Bank of China, New York	03/30/12	190,000	189,534
Beal Bank USA, New York	05/30/12	99,000	98,631
BMW Bank of NA, Utah	05/25/12	99,000	98,667
Compass Bank, Alabama	10/12/12	95,000	94,422
Discover Bank, Delaware	09/14/12	150,000	149,032
Everbank USA, Utah	11/15/11	150,000	149,939
GE Capital Retail BK	09/04/12	191,000	190,444
Merrick Bank, Utah	10/29/12	100,000	99,508
Metlife Bank Natc, New Jersey	03/29/12	95,000	94,770
Sovereign Bank, Mass	02/23/12	95,000	94,814
Sovereign Bank, Mass	03/09/12	100,000	99,786
State Bank of India, NY	03/23/12	100,000	99,786
State Bank of India, NY	07/20/12	100,000	99,538
Held at Merrill Lynch		2,272,000	2,265,462
Everbank, Florida	10/15/12	95,000	95,000
Total		\$ 2,367,000	\$ 2,360,462

Statement #3 - Investments In Corporate Bonds 1 of 2

JOSEPH ALEXANDER FOUNDATION, INC.
INVESTMENT IN MARKETABLE SECURITIES
YEAR ENDED OCTOBER 31, 2011

	<u>Par Value</u>	<u>Cost</u>	<u>Market Value</u>
Corporate Bonds:			
SLM Corp.			
FLT% due 6/23/14	100,000	\$ 100,000	\$ 90,750
Total corporate bonds		<u>\$ 100,000</u>	<u>\$ 90,750</u>

Add: Certificates of Deposit (page 1)

2,360,462

TOTAL - Part II, Lines 10 (b) & (c)

2,451,212

JOSEPH ALEXANDER FOUNDATION, INC.
INVESTMENT IN MARKETABLE SECURITIES
YEAR ENDED OCTOBER 31, 2011

	<u>Par Value</u>	<u>Cost</u>	<u>Market Value</u>
Mututal Fund			
MLP & Strategic Equity Fund Inc.	4,000	\$ 77,751	\$ 65,400
Total corporate bonds		<u>\$ 77,751</u>	<u>\$ 65,400</u>

Note: Mutual fund investments have been included in the total investments in corporate stock on Statement #1

Statement #4 - Investments - Mutual Fund

JOSEPH ALEXANDER FOUNDATION, INC.
INVESTMENT IN MARKETABLE SECURITIES
YEAR ENDED OCTOBER 31, 2011

	Cost or Other Basis	Market Value
Recapitulation:		
Common stock	\$ 5,532,962	\$ 7,797,173
U.S. Treasury bills	3,348,970	3,349,864
Corporate Bonds	100,000	90,750
Bank certificates of deposit	2,367,000	2,360,462
Mututal funds	77,751	65,400
Total	\$ 11,426,683	\$ 13,663,649

Statement #5 - Recapitulation - Investments

JOSEPH ALEXANDER FOUNDATION, INC.
SUMMARY OF GRANTS PAID
YEAR ENDED OCTOBER 31, 2011

<u>Recipient</u> <u>Charitable Grants</u>	<u>Purpose</u>	<u>Amount</u>
ALS Association Ambler, PA	Unrestricted	\$ 30,000
ALS Association of Florida Tampa, FL	Unrestricted	2,500
ALS Association of New York New York, NY	Unrestricted	5,000
American-Israel Friendship League New York, NY	Youth Exchange Program	10,000
Beit Issie Shapiro New York, NY	Unrestricted	5,000
American Museum of Natural History New York, NY	Unrestricted	2,500
American Society for Yad Vashem New York, NY	Unrestricted	2,500
American Friends for Israel Museum New York, NY	Unrestricted	10,000
Autism Speaks New York, NY	Unrestricted	10,000
Big Brothers Big Sisters New York, NY	Unrestricted	2,500
Birmingham Jewish Foundation Birmingham, AL	Unrestricted	5,000
Brooklyn Museum of Art Brooklyn, NY	Unrestricted	5,000
Chamah New York, NY	Unrestricted	25,000
Center for Jewish History New York, NY	Unrestricted	10,000

Statement # 6 - Grants Paid

1 of 5

JOSEPH ALEXANDER FOUNDATION, INC.
SUMMARY OF GRANTS PAID
YEAR ENDED OCTOBER 31, 2011

<u>Recipient</u>	<u>Purpose</u>	<u>Amount</u>
Citymeals New York, NY	Unrestricted	\$ 1,500
CLAL New York, NY	Unrestricted	5,000
Cystic Fibrosis Broomall, PA	Unrestricted	5,000
Dorot New York, NY	Unrestricted	20,000
Federation of Jewish Men's Clubs New York, NY	Unrestricted	5,000
Guiding Eyes for the Blind Yorktown Heights, NY	Unrestricted	1,500
Hillel-University of Delaware Newark, DE	Unrestricted	10,000
International Center for Photography New York, NY	Unrestricted	5,000
Israel Guide Dogs for the Blind New York, NY	Unrestricted	2,500
Jewish Braille Institute New York, NY	Unrestricted	15,000
Jewish Museum New York, NY	Unrestricted	15,000
Keren Or New York, NY	Unrestricted	5,000
Lighthouse International New York, NY	Unrestricted	5,000
Lower East Side Tenement Museum New York, NY	Unrestricted	2,500

Statement #6 - Grants Paid 2 of 5

JOSEPH ALEXANDER FOUNDATION, INC.
SUMMARY OF GRANTS PAID
YEAR ENDED OCTOBER 31, 2011

<u>Recipient</u>	<u>Purpose</u>	<u>Amount</u>
Madison Square Boys and Girls Club New York, NY	Unrestricted	\$ 2,500
Museum of the City of New York New York, NY	Unrestricted	2,500
Museum History of Polish Jews New York, NY	Unrestricted	2,500
National Foundation for Facial Reconstruction New York, NY	Unrestricted	5,000
National Down Syndrome Society New York, NY	Unrestricted	5,000
Ohel Children's Home Brooklyn, NY	Unrestricted	10,000
Pediatric Cancer Foundation Mamaroneck, NY	Unrestricted	2,500
Promise Project New York, NY	Unrestricted	5,000
School for Children with Hidden Intelligence Lakewood, NJ New York, NY	Unrestricted	2,500
United Hebrew New Rochelle, NY	Unrestricted	5,000
Westchester Jewish Community Service New York, NY	Unrestricted	5,000
West Point Jewish Chapel West Point, NY	Unrestricted	2,500
Western Wall Heritage Foundation New York, NY	Excavations and Museum	50,000
WNET - Thirteen New York, NY	Unrestricted	5,000
Yad Sarah New York, NY	Unrestricted	5000
Total Charitable Grants		<u>\$ 333,000</u>

Statement #6 Grants Paid 3 of 5

JOSEPH ALEXANDER FOUNDATION, INC.
SUMMARY OF GRANTS PAID
YEAR ENDED OCTOBER 31, 2011

<u>Recipient</u>	<u>Purpose</u>	<u>Amount</u>
<u>Educational</u>		
Bar Elan University New York, NY	Ruth Rackman Center	\$ 50,000
Bloomhold College Bloomfield, NJ	Unrestricted	5,000
De Valley Reading Association Glenside, PA	Unrestricted	5,000
Genesis Foundation Marlboro, NJ	Scholarship	50,000
Israel Center Excellent Education Chicago, IL	Unrestricted	5,000
OHR Torah Stone New York, NY	Unrestricted	15,000
PPEF Irving, TX	Unrestricted	3,000
Thomas Fitzwater School Willow Grove, PA	Unrestricted	1,500
Upper Dublin Education FDN Maple Glen, PA	Unrestricted	7,500
Yeshiva University New York, NY	Science Education	<u>50,000</u>
Total Educational Grants		<u>\$ 192,000</u>
<u>Research</u>		
Albert Einstein College of Medicine New York, NY	Optic Nerve Research	\$ 25,000
New York Glaucoma Research New York, NY	Research	5,000
Salk Institute La Jolla, CA	Optic Nerve Research	40000
University Penn - School of Medicine Philadelphia, PA	Unrestricted	<u>50000</u>
Total Research Grants		<u>\$ 120,000</u>

Statement #6 - Grants Paid

4 of 5

JOSEPH ALEXANDER FOUNDATION, INC.
SUMMARY OF GRANTS PAID
YEAR ENDED OCTOBER 31, 2011

<u>Recipient</u>	<u>Purpose</u>	<u>Amount</u>
<u>Religious</u>		
Friends Jewish Chapel Annapolis, MD	Unrestricted	\$ 2,500
Total Religious Grants		<u>\$ 2,500</u>
<u>Medical</u>		
American Comm Share Zodek New York, NY	Unrestricted	\$ 10,000
American Friends Herzog Hospital New York, NY	Unrestricted	<u>5,000</u>
Total Medical Grants		<u>\$ 15,000</u>
Grants Recapitulation:		
Charitable		\$ 333,000
Educational		192,000
Research		120,000
Religious		2,500
Medical		<u>15,000</u>
Total Grants Paid		<u>\$ 662,500</u>

Statement #6 - Grants Paid

5 of 5

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No. 1545-1709

Department of the Treasury
Internal Revenue Service▶ **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box. ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format. (See instructions.) For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension — check this box and complete Part I only. ☐*All other corporations (including 1120-C filers), partnerships, REMICS, and trusts must use Form 7004 to request an extension of time to file income tax returns.*

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization	Employer identification number
	JOSEPH ALEXANDER FOUNDATION, INC.	51-0175951
	Number, street, and room or suite number. If a P.O. box, see instructions.	
	110 EAST 59TH STREET	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	NEW YORK, NY 10022	

Enter the Return code for the return that this application is for (file a separate application for each return). **04**

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ JOSEPH ALEXANDER FOUNDATION

Telephone No. ▶ 212-355-3688

FAX No. ▶ _____

- If the organization does not have an office or place of business in the United States, check this box. ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 6/15, 20 12, to file the exempt organization return for the organization named above.

The extension is for the organization's return for:

- ▶ ☐ calendar year 20 ____ or
- ▶ ☒ tax year beginning 11/01, 20 10, and ending 10/31, 20 11.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$ 2,000.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$ 8,786.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$ 0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

BAA For Paperwork Reduction Act Notice, see Instructions.Form **8868** (Rev. 1-2011)