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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2010

For calendar year 2010, or tax year beginning 11/01/10 **, and ending** 10/31/11

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

Name of foundation: Festus & Helen Stacy Foundation, Inc

Number and street (or P O box number if mail is not delivered to street address): 701 East Commercial Blvd. — Room/suite: 300

City or town, state, and ZIP code: Fort Lauderdale FL 33334

A Employer identification number: 31-1706311

B Telephone number (see page 10 of the instructions): 954-776-4774

C If exemption application is pending, check here ▶ [ ]

D 1. Foreign organizations, check here ▶ [ ]

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 44,390,653

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) (Part I, column (d) must be on cash basis)

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	437,907			
	2	Check ▶ [ ] if the foundation is not required to attach Sch. B				
	3	Interest on savings and temporary cash investments	1,503	1,503		
	4	Dividends and interest from securities	1,128,459	1,128,459		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	911,447			
	b	Gross sales price for all assets on line 6a 14,237,199				
	7	Capital gain net income (from Part IV, line 2)		911,447		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns & allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule) Stmt 1	8,055	8,055		
	12	**Total.** Add lines 1 through 11	2,487,371	2,049,464	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	365,900	135,481		230,419
	14	Other employee salaries and wages	141,142	4,474		136,668
	15	Pension plans, employee benefits	124,936	30,863		94,073
	16a	Legal fees (attach schedule) See Stmt 2	42,963	10,192		32,771
	b	Accounting fees (attach schedule) Stmt 3	41,557	357		41,200
	c	Other professional fees (attach schedule) Stmt 4	474,054	407,083		66,970
	17	Interest	917	917		
	18	Taxes (attach schedule) (see page 14 of the instructions) Stmt 5	59,325	9,164		61
	19	Depreciation (attach schedule) and depletion Stmt 6	40,025			
	20	Occupancy	108,000	8,662		99,338
	21	Travel, conferences, and meetings	13,223			13,223
	22	Printing and publications				
	23	Other expenses (att. sch) Stmt 7	126,790	53,656		61,524
	24	**Total operating and administrative expenses.** Add lines 13 through 23	1,538,832	660,849	0	776,247
	25	Contributions, gifts, grants paid	1,356,095			1,356,095
	26	**Total expenses and disbursements.** Add lines 24 and 25	2,894,927	660,849	0	2,132,342
	27	Subtract line 26 from line 12.				
	a	**Excess of revenue over expenses and disbursements**	-407,556			
	b	**Net investment income** (if negative, enter -0-)		1,388,615		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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Part II	Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets				
1	Cash—non-interest-bearing			
2	Savings and temporary cash investments	8,471,721	6,934,470	6,934,470
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
7	Other notes and loans receivable (att. schedule) ▶			
	Less. allowance for doubtful accounts ▶ 0			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule) Stmt 8	1,676,450	1,565,248	1,674,303
b	Investments—corporate stock (attach schedule) See Stmt 9	26,608,993	29,697,005	29,236,182
c	Investments—corporate bonds (attach schedule) See Stmt 10	2,997,255	3,451,961	3,599,696
11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach sch) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule) See Statement 11	2,324,905	36,580	
14	Land, buildings, and equipment basis ▶ 445,272			
	Less accumulated depreciation (attach sch) ▶ Stmt 12 350,684	105,400	94,588	94,588
15	Other assets (describe ▶ See Statement 13)	1,507,532	1,504,848	2,851,414
16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	43,692,256	43,284,700	44,390,653
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds	43,692,256	43,284,700	
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see page 17 of the instructions)	43,692,256	43,284,700	
31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	43,692,256	43,284,700	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	43,692,256
2	Enter amount from Part I, line 27a	2	-407,556
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	43,284,700
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	43,284,700

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	Publicly traded securities			
b	Gain/ Losses - Partnership			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	14,237,199		12,827,505	1,409,694
b			498,247	-498,247
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				1,409,694
b				-498,247
c				
d				
e				

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	911,447
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	1,534,853	42,137,688	0.036425
2008	2,409,249	42,047,554	0.057298
2007	3,928,313	60,328,305	0.065116
2006	5,753,271	72,235,442	0.079646
2005	5,207,180	74,051,161	0.070319

2 **Total** of line 1, column (d)	2	0.308804
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.061761
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	41,938,139
5 Multiply line 4 by line 3	5	2,590,141
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	13,886
7 Add lines 5 and 6	7	2,604,027
8 Enter qualifying distributions from Part XII, line 4	8	2,161,555

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter (attach copy of letter if necessary—see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	27,772
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2			3	27,772
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	27,772
6	Credits/Payments				
a	2010 estimated tax payments and 2009 overpayment credited to 2010	6a	56,956		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	56,956
8	Enter any **penalty** for underpayment of estimated tax Check here ☒ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	29,184
11	Enter the amount of line 10 to be: **Credited to 2011 estimated tax** ▶ 29,184 **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. **(1)** On the foundation ▶ $ ____ **(2)** On foundation managers ▶ $ ____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ FL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities (continued)

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ McMillan,Unruh & Davis,PA Telephone no ▶ 954-776-4774
701 East Commercial Blvd., Ste 300
Located at ▶ Fort Lauderdale FL ZIP+4 ▶ 33334

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

		Line	Yes	No
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22 1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010?	☐ Yes ☒ No			
	If "Yes," list the years ▶ 20 , 20 , 20 , 20				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 22 of the instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20 , 20 , 20 , 20				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2010 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)	☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	N/A	5b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
	If "Yes" to 6b, file Form 8870.				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b	If Yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address		(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Douglas A. Stepelton 5110 N.Fed'l Hwy	Ft.Lauderdale FL 33308	President 30.00	90,369	16,268	0
Virlee S. Stepelton 5110 N.Fed'l Hwy	Ft.Lauderdale FL 33308	Sec'y/Treas. 25.00	0	10,928	0
Sean D. Stepelton 5110 N.Fed'l Hwy	Ft.Lauderdale FL 33308	Director,VP 45.00	132,772	10,603	0
Brett S. Stepelton 5110 N.Fed'l Hwy	Ft.Lauderdale FL 33308	Director,VP 45.00	142,759	16,438	0

2 Compensation of five highest-paid employees (other than those included on line 1 — see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000		(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Sharon Falls 5110 N. Fed'l Hwy	Ft. Lauderdale FL 33308	Grant Mnger 40.00	58,243	13,680	0

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
Kelly Advisory Group LLC 6235 NW 83rd Lane Parkland FL 33067	Investment Mgmt	220,000
ClearBridge Advisors, LLC 620 8th Avenue New York NY 10018	Investment Mgmt	54,101
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 See Statement 14	49,364
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	35,316,491
b	Average of monthly cash balances	1b	6,873,382
c	Fair market value of all other assets (see page 25 of the instructions)	1c	386,918
d	**Total** (add lines 1a, b, and c)	1d	42,576,791
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	42,576,791
4	Cash deemed held for charitable activities Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	4	638,652
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	41,938,139
6	**Minimum investment return.** Enter 5% of line 5	6	2,096,907

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	2,096,907
2a	Tax on investment income for 2010 from Part VI, line 5 — 2a: 27,772		
b	Income tax for 2010. (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	27,772
3	Distributable amount before adjustments Subtract line 2c from line 1	3	2,069,135
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	2,069,135
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	2,069,135

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	2,132,342
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	29,213
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,161,555
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	2,161,555

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1	Distributable amount for 2010 from Part XI, line 7				2,069,135
2	Undistributed income, if any, as of the end of 2010.				
a	Enter amount for 2009 only			1,859,247	
b	Total for prior years 20____ , 20____ , 20____				
3	Excess distributions carryover, if any, to 2010				
a	From 2005				
b	From 2006				
c	From 2007				
d	From 2008				
e	From 2009				
f	**Total** of lines 3a through e				
4	Qualifying distributions for 2010 from Part XII, line 4. ▶ $ 2,161,555				
a	Applied to 2009, but not more than line 2a			1,859,247	
b	Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
c	Treated as distributions out of corpus (Election required—see page 26 of the instructions)				
d	Applied to 2010 distributable amount				302,308
e	Remaining amount distributed out of corpus				
5	Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))				
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b	Prior years' undistributed income Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions				
e	Undistributed income for 2009 Subtract line 4a from line 2a. Taxable amount—see page 27 of the instructions				
f	Undistributed income for 2010. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				1,766,827
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8	Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions)				
9	**Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a				
10	Analysis of line 9.				
a	Excess from 2006				
b	Excess from 2007				
c	Excess from 2008				
d	Excess from 2009				
e	Excess from 2010				

Part XIV Private Operating Foun[redacted]ions (see page 27 of the instructions and [redacted]t VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed:

N/A

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

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Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See Statement 15				1,356,095
Total			▶ **3a**	1,356,095
b Approved for future payment N/A				
Total			▶ **3b**	

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Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	1,503	
4 Dividends and interest from securities			14	1,128,459	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	8,055	
8 Gain or (loss) from sales of assets other than inventory			18	911,447	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		2,049,464	0

13 **Total.** Add line 12, columns (b), (d), and (e) 13 2,049,464

(See worksheet in line 13 instructions on page 29 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 29 of the instructions)
N/A	

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Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X	
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
1b(3)	108,000	Stacy Foundation Building, Inc.	Rental of office space from a 501(c)(2) organization

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☒ Yes ☐ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
Stacy Found. Bldg. Inc	501 (c) (2)	Common directors/trustees

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Douglas A. Stapleton — Signature of officer or trustee

March 7, 2012 — Date

President — Title

Paid Preparer Use Only

Print/Type preparer's name: Susan Davis

Preparer's signature: Susan Davis

Date: 3/2/12

Check ☐ if self-employed

Firm's name ▶ McMillan, Unruh & Davis, PA — PTIN P00113190

Firm's address ▶ 701 E. Commercial Blvd., #300, Ft. Lauderdale, FL 33334 — Firm's EIN ▶ 59-1786606 — Phone no 954-776-4774

DAA

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**

OMB No 1545-0047

2010

Name of the organization	**Employer identification number**
Festus & Helen Stacy Foundation, Inc	31-1706311

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc , purposes, but these contributions did not aggregate to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of $5,000 or more during the year ▶ $

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
Festus & Helen Stacy Foundation,Inc

Employer identification number
31-1706311

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	Helen Stacy Char. Lead Annuity Tr #3 5110 N. Federal Highway, Suite 100 Fort Lauderdale FL 33308	$ 169,344	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
2	Helen Stacy Char. Lead Annuity Tr #4 5110 N. Federal Highway, Suite 100 Fort Lauderdale FL 33308	$ 267,563	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)

FHSFINC

Form **4562** Department of the Treasury Internal Revenue Service (99)

Depreciation and Amortization

(Including Information on Listed Property)

▶ See separate instructions. ▶ Attach to your tax return.

OMB No 1545-0172

2010

Attachment Sequence No **67**

Name(s) shown on return: Festus & Helen Stacy Foundation, Inc

Identifying number: 31-1706311

Business or activity to which this form relates: Indirect Depreciation

Part I Election To Expense Certain Property Under Section 179

Note: If you have any listed property, complete Part V before you complete Part I.

1	Maximum amount (see instructions)	1	500,000
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	2,000,000
4	Reduction in limitation Subtract line 3 from line 2 If zero or less, enter -0-	4	
5	Dollar limitation for tax year Subtract line 4 from line 1. If zero or less, enter -0- If married filing separately, see instructions	5	

6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost

7	Listed property Enter the amount from line 29	7	
8	Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction Enter the **smaller** of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2009 Form 4562	10	
11	Business income limitation. Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11	
12	Section 179 expense deduction. Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2011 Add lines 9 and 10, less line 12 ▶	13	

Note: Do not use Part II or Part III below for listed property Instead, use Part V

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property.) (See instructions)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	29,213
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	

Part III MACRS Depreciation (Do not include listed property.) (See instructions.)

Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2010	17	10,812
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ▶ ☐		

Section B—Assets Placed in Service During 2010 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only—see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental property			27.5 yrs	MM	S/L	
			27 5 yrs	MM	S/L	
i Nonresidential real property			39 yrs	MM	S/L	
				MM	S/L	

Section C—Assets Placed in Service During 2010 Tax Year Using the Alternative Depreciation System

20a Class life					S/L	
b 12-year			12 yrs		S/L	
c 40-year			40 yrs	MM	S/L	

Part IV Summary (See instructions.)

21	Listed property Enter amount from line 28	21	
22	**Total.** Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return Partnerships and S corporations—see instructions	22	40,025
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

For Paperwork Reduction Act Notice, see separate instructions.

Form **4562** (2010)

DAA

There are no amounts for Page 2

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 11 - Other Income

Description	Revenue per Books	Net Investment Income	Adjusted Net Income
Interest-Ltd. Partnerships	$ 7,090	$ 7,090	$
Dividend-Ltd Partnerships	23	23	
Ordinary Loss.-Ltd.Ptnerships	942	942	
Total	$ 8,055	$ 8,055	$ 0

Statement 2 - Form 990-PF, Part I, Line 16a - Legal Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Bird & Loechl LLC	$ 42,963	$ 10,192	$	$ 32,771
Total	$ 42,963	$ 10,192	$ 0	$ 32,771

Statement 3 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
McMillan, Unruh & Davis, P.A.	$ 41,200	$	$	$ 41,200
RGL Forensics	357	357		
Total	$ 41,557	$ 357	$ 0	$ 41,200

Statement 4 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Dean Kautzmann (Evangelism Web Design)	$ 13,261	$	$	$ 13,260
Kelly Advisory Group (Investment Management)	220,000	220,000		
KFG Communications, Inc. (Consulting)	1,925			1,925
Luann Yarrow & Assoc.	6,150			6,150

Federal Statements

Statement 4 - Form 990-PF, Part I, Line 16c - Other Professional Fees (continued)

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
(Consulting)	$	$	$	$
Morgan Stanley Smith Barney (Investment Management)	33,049	33,049		
Stephan Tchividjian (Consulting)	1,300			1,300
Pimco (Investment Management)	4,570	4,570		
Black Rock Inc. (Investment Management)	27,937	27,937		
ClearBridge Advisors LLC (Investment Management)	54,101	54,101		
Templeton Inc. (Investment Management)	5,039	5,039		
Longfellow Investment Management (Investment Management)	36,223	36,223		
Kennedy Inc. (Investment Management)	25,814	25,814		
Russell Growth Account (Investment Management)	350	350		
C2 Computer Services (Consulting)	9,855			9,855
CHA Consulting Inc. (Consulting)	10,684			10,684
Intermedia.net (Consulting)	1,690			1,690
MicroEdge Software Inc. (Consulting)	5,740			5,740
Peak 10, Inc. (Consulting)	10,708			10,708
Thunder Mountain Technologies (Consulting)	5,658			5,658
Total	$ 474,054	$ 407,083	$ 0	$ 66,970

Federal Statements

Statement 5 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Federal excise tax	$ 50,100	$	$	$
FL Dept. Revenue - annual report	61			61
Foreign tax on securities	9,164	9,164		
Total	$ 59,325	$ 9,164	$ 0	$ 61

Statement 6 - Form 990-PF, Part I, Line 19 - Depreciation

Description Date Acquired	Cost Basis	Prior Year Depreciation	Method	Life	Current Year Depreciation	Net Investment Income	Adjusted Net Income
Office Furniture & Equipment 11/01/95	$ 3,208	$ 3,208	200DB	7	$	$	$
Office Furniture & Equipment 3/31/98	16,916	16,916	200DB	7			
Office Furniture & Equipment 3/31/99	4,796	4,796	200DB	7			
Computer Hardware 3/31/99	13,145	13,145	200DB	5			
Telephone System 8/30/00	9,092	9,092	200DB	7			
Furniture & Equipment 6/30/00	29,000	29,000	200DB	7			
Computer 3/10/00	2,226	2,226	200DB	5			
Computer software 9/07/00	1,155	1,155	200DB	5			
Computer Equipment 10/16/00	6,572	6,572	200DB	5			
Leasehold Improvements 9/15/00	35,090	9,110	S/L	39	900		
Furniture & Equipment 4/30/00	12,966	12,966	200DB	7			
Leasehold Improvements 8/04/00	34,900	9,135	S/L	39	895		

Federal Statements

Statement 6 - Form 990-PF, Part I, Line 19 - Depreciation (continued)

Description Date Acquired	Cost Basis	Prior Year Depreciation	Method	Life	Current Year Depreciation	Net Investment Income	Adjusted Net Income
Office Furn. & Equip.							
4/30/01	$ 70,115	$ 70,115	200DB	7	$	$	$
Computer Equipment							
12/01/00	7,270	7,270	200DB	5			
Leasehold Improvements							
2/01/01	7,732	1,877	S/L	39	193		
Signs							
12/31/00	3,140	3,140	200DB	7			
Pictures							
12/11/01	244	244	200DB	7			
Digital Camera & Accessories							
2/08/02	694	694	200DB	5			
Pictures							
2/22/02	393	393	200DB	7			
Drapes & Blinds							
4/30/02	2,229	2,229	200DB	7			
Furnishings							
5/01/02	331	331	200DB	7			
Pictures							
5/16/02	387	387	200DB	7			
Pictures							
7/01/02	500	500	200DB	7			
Furnishings							
8/06/02	127	127	200DB	7			
Pictures							
8/09/02	307	307	200DB	7			
Computer Equipment							
8/16/02	1,770	1,770	200DB	5			
Printer							
9/10/02	475	475	200DB	5			
Signs							
12/07/01	231	231	200DB	7			
Pictures							
10/16/02	120	120	200DB	7			
Pictures							
10/16/02	470	470	200DB	7			

Federal Statements

Statement 6 - Form 990-PF, Part I, Line 19 - Depreciation (continued)

Description Date Acquired	Cost Basis	Prior Year Depreciation	Method	Life	Current Year Depreciation	Net Investment Income	Adjusted Net Income
Computer Equipment 10/25/02	$ 1,650	$ 1,650	200DB	5	$	$	$
Pictures 11/05/02	550	550	200DB	7			
Pictures 5/15/03	170	170	200DB	7			
Copy Cat Copier 7/14/03	2,463	2,463	200DB	5			
Star Micro Tech Hardware 12/18/02	652	652	200DB	5			
Monitor 2/13/03	668	668	200DB	5			
Fast Signs 7/11/03	108	108	200DB	7			
Oriental Rugs 11/18/03	1,426	1,394	200DB	7	32		
Printer 7/05/04	244	244	200DB	5			
Computer 3/16/04	1,788	1,788	200DB	5			
Printer 10/01/04	270	270	200DB	5			
Flagpole 6/29/04	945	708	150DB	15	28		
Furniture -Bldg 5120 2/16/04	2,100	2,053	200DB	7	47		
Blinds - Bldg 5120 4/29/04	1,728	1,689	200DB	7	39		
Furniture - Bldg 5120 3/01/04	3,370	3,294	200DB	7	76		
Pictures - Bldg 5120 3/24/04	925	904	200DB	7	21		
Furniture - Bldg 5120 4/01/04	719	703	200DB	7	16		
Furniture - Bldg 5120 4/01/04	5,416	5,296	200DB	7	120		

Federal Statements

Statement 6 - Form 990-PF, Part I, Line 19 - Depreciation (continued)

Description / Date Acquired	Cost Basis	Prior Year Depreciation	Method	Life	Current Year Depreciation	Net Investment Income	Adjusted Net Income
Furniture - Bldg 5120 4/05/04	$ 254	$ 249	200DB	7	$ 5	$	$
Pictures - Bldg 5120 4/06/04	465	455	200DB	7	10		
Furnishings - Bldg 5120 4/17/04	124	121	200DB	7	3		
Furnishings - Bldg 5120 4/29/04	566	553	200DB	7	13		
Furniture - Bldg 5120 5/01/04	1,597	1,561	200DB	7	36		
Pictures - Bldg 5120 9/08/04	156	152	200DB	7	4		
Awnings - Bldg 5120 3/26/04	3,025	2,266	150DB	15	89		
Carpets - Bldg 5120 2/26/04	2,650	2,591	200DB	7	59		
Carpets - Bldg 5120 4/12/04	1,285	1,256	200DB	7	29		
Landscaping - Bldg 5120 3/31/04	3,000	2,247	150DB	15	89		
Keypad entry - Bldg 5120 4/21/04	769	126	S/L	39	19		
Tom LaMotta -Bldg 5120 2/19/04	16,500	2,767	S/L	39	413		
TomLaMotta -Bldg 5120 2/26/04	3,000	503	S/L	39	75		
Lowe's -Bldg 5120 3/19/04	216	36	S/L	39	5		
Tom La Motta - Bldg 5120 3/30/04	1,500	248	S/L	39	38		
Tile - Bldg 5120 4/01/04	890	146	S/L	39	22		
Tom La Motta -Bldg 5120 4/16/04	5,575	912	S/L	39	139		
Furniture - Bldg 5120 4/22/04	315	308	200DB	7	7		

Federal Statements

Statement 6 - Form 990-PF, Part I, Line 19 - Depreciation (continued)

Description / Date Acquired	Cost Basis	Prior Year Depreciation	Method	Life	Current Year Depreciation	Net Investment Income	Adjusted Net Income
Tom La Motta - Bldg 5120 4/30/04	$ 503	$ 82	S/L	39	$ 13	$	$
Tom La Motta - Bldg 5120 6/18/04	1,358	216	S/L	39	34		
Tom La Motta - Bldg 5120 7/15/04	1,123	177	S/L	39	28		
Tom La Motta - Bldg 5120 9/24/04	1,123	172	S/L	39	28		
Landscaping 4/26/04	5,580	4,180	150DB	15	164		
TV Set 11/18/04	359	341	200DB	7	16		
Copy Machine 3/01/05	1,286	1,286	200DB	5			
Framed picture 6/10/05	170	145	200DB	7	15		
Laser printer 11/18/04	200	200	200DB	5			
Computer - Dell 12/14/04	1,310	1,310	200DB	5			
2 computers -Dell 8/01/05	2,946	2,946	200DB	5			
Computer - Dell 10/19/05	1,261	1,261	200DB	5			
Tom LaMotta-Bldg 5120 6/01/04	1,200	180	S/L	39	30		
Carpets (Pauls) 12/15/05	3,400	2,641	200DB	7	304		
Video Screen 1/24/06	277	261	200DB	5	16		
TV 5/12/06	4,480	4,222	200DB	5	258		
VCR/DVD 5/12/06	241	228	200DB	5	13		
DVD Player 11/17/06	296	259	200DB	5	33		

Federal Statements

Statement 6 - Form 990-PF, Part I, Line 19 - Depreciation (continued)

Description Date Acquired	Cost Basis	Prior Year Depreciation	Method	Life	Current Year Depreciation	Net Investment Income	Adjusted Net Income
Vacuum 2/06/07 $	614 $	518	200DB	5 $	70 $		$
Picture Frames 3/15/07	225	190	200DB	5	25		
Portable Projector 7/02/07	1,178	961	200DB	5	133		
Safe 26x60 8/27/07	1,961	1,559	200DB	5	214		
iPhone 9/19/07	450	358	200DB	5	49		
Dell Computer 8/17/07	1,204	957	200DB	5	132		
MicroEdge Advent Software 9/18/07	18,800	14,942	200DB	5	2,058		
Black File Drawer 4/01/08	240	135	200DB	7	30		
Picture Frames 4/15/08	430	242	200DB	7	54		
DVD Player 4/19/08	235	132	200DB	7	30		
Antique Furniture 4/22/08	600	338	200DB	7	75		
Server-Dell Financial 1/23/08	6,564	4,674	200DB	5	756		
Computer Sharon (Dell Financial) 1/31/08	1,507	1,073	200DB	5	174		
Computer Monitor 3/06/08	437	311	200DB	5	50		
Laptop (Travel) 4/18/08	1,839	1,309	200DB	5	212		
Computer-Doug 10/28/08	1,624	1,156	200DB	5	187		
Cell Phone - Brett 4/03/09	243	188	200DB	5	22		
IPhone - Sean 8/03/09	317	227	200DB	5	36		

Federal Statements

Statement 6 - Form 990-PF, Part I, Line 19 - Depreciation (continued)

Description / Date Acquired	Cost Basis	Prior Year Depreciation	Method	Life	Current Year Depreciation	Net Investment Income	Adjusted Net Income
Framed Print 8/27/09	$ 470	$ 308	200DB	7	$ 46	$	$
Cabinet 10/29/09	535	351	200DB	7	53		
Computer - Sean 5/28/09	2,056	1,532	200DB	5	209		
SmartBoard - Computer 10/09/09	10,484	7,496	200DB	5	1,195		
Computer - Heather 1/28/10	1,116	753	200DB	5	145		
Laptop - Vee 9/24/10	1,058	555	200DB	5	201		
IPhone - Doug & Vee 1/07/10	646	436	200DB	5	84		
Table repair 10/18/10	1,435	743	200DB	7	198		
Server 12/22/10	24,632		200DB	5	24,632		
Fast Signs 7/28/11	598		200DB	7	598		
Glass Table Top 11/11/10	280		200DB	7	280		
Wall Art 12/22/10	380		200DB	7	380		
Glass Table Top 2/17/11	450		200DB	7	450		
Rug 6/14/11	300		200DB	7	300		
Wall Art 6/21/11	620		200DB	7	620		
Coffee Table 7/28/11	494		200DB	7	494		
Ice Maker 10/13/11	1,459		200DB	7	1,459		

Federal Statements

Statement 6 - Form 990-PF, Part I, Line 19 - Depreciation (continued)

Description							
Date Acquired	Cost Basis	Prior Year Depreciation	Method	Life	Current Year Depreciation	Net Investment Income	Adjusted Net Income
Total	$ 445,274	$ 310,653			$ 40,025	$ 0	$ 0

Statement 7 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
	$	$	$	$
Expenses				
Brokerage and Bank fees	2,736	1,191		1,545
Cable TV	1,487			1,487
Dues and Subscriptions	489	489		
Insurance	2,961	1,031		1,930
Meals	23,221			11,611
Office supplies & expense	16,037			16,037
Payroll service	2,951			2,951
Promotion	197			197
Telephone and fax expense	19,898			19,898
Other investment expense	50,945	50,945		
Repair and Maintenance	430			430
Ministry support	478			478
Trademark/Copryright	4,700			4,700
Miscellaneous Expenses	260			260
Total	$ 126,790	$ 53,656	$ 0	$ 61,524

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Statement 8 - Form 990-PF, Part II, Line 10a - US and State Government Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
MSSB - See Schedule Attached - 299	$ 496,704	$	Cost	$
MSSB - See Schedule Attached - 365	179,055		Cost	
MSSB - See Schedule Attached - 373	927,880		Cost	
MSSB - See Schedule Attached - 373	72,811		Cost	
MSSB - See Schedule Attached - 465		850,838	Cost	879,405
MSSB - See Schedule Attached - 465		35,000	Cost	37,769
MSSB - See Schedule Attached - 468		182,706	Cost	188,619
MSSB - See Schedule Attached - 471		496,704	Cost	568,510
Total	$ 1,676,450	$ 1,565,248		$ 1,674,303

Statement 9 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
Ensequence, Inc.-2306027	$ 2,448,127	$ 2,448,127	Cost	$ 1,030,745
Castle Creek Bank Stocks		1,745,086	Cost	69,141
Basis corrections	-7,649	-14,745	Cost	
MSSB - See Schedule Attached - 299	900,440		Cost	
MSSB - See Schedule Attached - 299	3,238,599		Cost	
MSSB - See Schedule Attached - 329	699,180		Cost	
MSSB - See Schedule Attached - 330	729,986		Cost	
MSSB - See Schedule Attached - 364	2,951,110		Cost	
MSSB - See Schedule Attached - 365	125,269		Cost	
MSSB - See Schedule Attached - 365	185,754		Cost	
MSSB - See Schedule Attached - 370	447,165		Cost	
MSSB - See Schedule Attached - 373	1,777,965		Cost	
MSSB - See Schedule Attached - 381	3,629,384		Cost	
MSSB - See Schedule Attached - 381	454,169		Cost	
MSSB - See Schedule Attached - 381	300,000		Cost	
MSSB - See Schedule Attached - 383	2,655,833		Cost	
MSSB - See Schedule Attached - 384	1,379,126		Cost	
MSSB - See Schedule Attached - 389	2,122,478		Cost	
MSSB - See Schedule Attached - 452	2,572,057		Cost	
MSSB - See Schedule Attached - 465		83,333	Cost	78,208
MSSB - See Schedule Attached - 465		1,841,792	Cost	1,881,513

Federal Statements

Statement 9 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
MSSB - See Schedule Attached - 466	$	$ 1,977,711	Cost	$ 2,332,905
MSSB - See Schedule Attached - 468		220,889	Cost	222,694
MSSB - See Schedule Attached - 468		339,397	Cost	356,532
MSSB - See Schedule Attached - 469		5,987,641	Cost	6,655,432
MSSB - See Schedule Attached - 471		1,932,875	Cost	2,056,578
MSSB - See Schedule Attached - 471		2,490,915	Cost	2,500,436
MSSB - See Schedule Attached - 473		1,317,044	Cost	1,368,245
MSSB - See Schedule Attached - 473		80,524	Cost	79,879
MSSB - See Schedule Attached - 475		846,356	Cost	790,429
MSSB - See Schedule Attached - 477		2,469,286	Cost	2,828,416
MSSB - See Schedule Attached - 478		2,569,725	Cost	2,938,065
MSSB - See Schedule Attached - 480		866,050	Cost	963,576
MSSB - See Schedule Attached - 481		230,907	Cost	258,897
MSSB - See Schedule Attached - 481		25,000	Cost	22,892
MSSB - See Schedule Attached - 499		2,239,092	Cost	2,801,599
Total	$ 26,608,993	$ 29,697,005		$ 29,236,182

Statement 10 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
MSSB - See Schedule Attached - 373	$ 263,678	$	Cost	$
MSSB - See Schedule Attached - 373	2,202,776		Cost	
MSSB - See Schedule Attached - 299	530,801		Cost	
MSSB - See Schedule Attached - 465		142,172	Cost	152,616
MSSB - See Schedule Attached - 465		2,810,083	Cost	2,918,995
MSSB - See Schedule Attached - 471		477,541	Cost	503,857
MSSB - See Schedule Attached - 473		22,165	Cost	24,228
Total	$ 2,997,255	$ 3,451,961		$ 3,599,696

Federal Statements

Statement 11 - Form 990-PF, Part II, Line 13 - Other Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
Barkley, Ltd.	$ 36,486	$ 36,580	Cost	$
Castle Creek Capital Partners	2,288,419			
Total	$ 2,324,905	$ 36,580		$ 0

Statement 12 - Form 990-PF, Part II, Line 14 - Land, Building, and Equipment

Description	Beginning Net Book	End Cost / Basis	End Accumulated Depreciation	Net FMV
Furn.,Equip. & Imp.	$ 105,400	$ 445,272	$ 350,684	$ 94,588
Total	$ 105,400	$ 445,272	$ 350,684	$ 94,588

Statement 13 - Form 990-PF, Part II, Line 15 - Other Assets

Description	Beginning of Year	End of Year	Fair Market Value
Miscellaneous receivables	$ 3,748	$ 1,064	$ 1,064
Utility deposits	350	350	350
Investment in 501(c)(2) organization	1,503,434	1,503,434	2,850,000
Total	$ 1,507,532	$ 1,504,848	$ 2,851,414

Statement 14 - Form 990-PF, Part IX-A, Line 1 - Summary of Direct Charitable Activities

Description
The Foundation has actively carried out an evangelism campaign whereby God's messages in Scripture are communicated to the general public in innovative and thought-provoking ways and new believers in Christ are encouraged in their spiritual growth.

Federal Statements

Statement 15 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Name / Address	Address / Relationship	Status	Purpose	Amount
4 Kids of South Florida North Lauderdale FL	*	**	***	100,000
Access Life Inc. Orlando FL	*	**	***	500
Asian Partners Intl Lubbock TX	*	**	***	40,000
Calvary Chapel Church Fort Lauderdale FL	*	**	***	800
Christ Comm Chur- Broward Pompano Beach FL	*	**	***	1,000
Cardinal Gibbons H.S. Fort Lauderdale FL	*	**	***	200
Community Bible Church Highlands NC	*	**	***	100,000
Compassion International Bixby OK	*	**	***	456
Cross International Pompano Beach FL	*	**	***	24,011
Epic Church Coconut Creek FL	*	**	***	2,500
First Priority of Broward Fort Lauderdale FL	*	**	***	10,000
Global Orphan Project Kansas City MO	*	**	***	275,000
Godspeaks, Inc. Fort Lauderdale FL	*	**	***	100,000
Coral Ridge Presbyterian Fort Lauderdale FL	*	**	***	1,000
Haggai Institute Atlanta GA	*	**	***	39,500
Heart2Heart Senior Fort Lauderdale FL	*	**	***	25,000
Hope Pregnancy Ctr North Lauderdale FL	*	**	***	10,000
Hope South Florida Fort Lauderdale FL	*	**	***	35,040

Federal Statements

Statement 15 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

Name Address	Address Relationship	Status	Purpose	Amount
Irene Stacy Comm Butler PA	*	**	***	5,000
Lauderhill Comm. Svc Fd Lauderhill FL	*	**	***	75
Luis Palau Evang Assoc. Portland OR	*	**	***	2,000
South Florida Sports Fd Miami Gardens FL	*	**	***	500
Christian Comm. Fd of SF Fort Lauderdale FL	*	**	***	147,000
Operation Mobilization Tyrone GA	*	**	***	333,333
Prison Fellowship Min. Washington DC	*	**	***	2,630
Student Ministry Center Pompano Beach FL	*	**	***	50,000
St. Bernards Episcopal Bernardsville NJ	*	**	***	200
Strategic Resource Group Easton MD	*	**	***	50,000
Fruitful Field Pompano Beach FL	*	**	***	350
Total				1,356,09[illegible]

* As to the relationship of the donee, the donee is not related by blood, marriage, adoption, or employment to any person or corporation having an interest in Jesse and George Siegel Foundation.

** Listed in Publication 78, Cumulative List of Organizations.

*** To be used for qualified purposes of the organization.

ATTACHMENT TO FORM 990-PF
Festus & Helen Stacy Foundation, Inc.

i. Name and address of grantee:
GodSpeaks, Inc.
3414 Peachtree Road, NE
Suite 1150
Atlanta, Georgia 30326

ii. Dates and amounts of grants:

January 2011	$50,000
February 2011	$50,000

iii. Purpose of the grant:
To develop and disseminate the Gospel message of Jesus Christ by continuing to display thought-provoking and biblical messages on the web, on billboards, and using other innovative forms of media.

iv. Amounts expended by the grantee (based upon the most recent report received from the grantee):
$100,000 in furtherance of billboard campaigns

v. The grantee has not diverted any portion of the funds (or the income therefrom in the case of an endowment grant) from the purpose of the grant, to our knowledge.

vi. The dates of reports received from the grantee:
January 24, 2011, February 28, 2011

vii. The date and results of any verification of the grantee's reports undertaken pursuant to and to the extent required by the regulations, by the grantor or by others at the direction of the grantor:
Foundation verified expenditures at the time of the reports

Dated: March 7, 2012
By: Douglas A. Stapleton
Title: President

Preferred stocks

The credit rating from Moody's Investors Service and Standard & Poor's may be shown for certain securities. All credit ratings represent the "opinions" of the provider and are not representations or guarantees of performance. Your Financial Advisor will be pleased to provide you with further information or assistance in interpreting these credit ratings.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
1,000	COUNTRYWIDE CAPITAL V 7.0% Rated BB + Next call on 11/01/11	CFCPRB	03/16/11	$ 24,670.00	$ 24.67	$ 21.40	$ 21,400.00	($ 3,270.00) ST	8.177%	$ 1,750.00
290	GMAC CAPITAL TRUST I 8.125% Rated CCC Next call on 02/15/16	ALLYPR	07/27/11	7,473.30	25.77	20.96	6,078.40	(1,394.90) ST	9.691	589.06
1,000	NATIONAL CITY CAP TR II 6.625% Rated BBB Next call on 11/15/11	NCCPRA	03/18/11	25,200.00	25.20	25.39	25,390.00	190.00 ST	6.523	1,656.25
1,000	SUNTRUST CAPITAL IX 7.875% TRUST PFD Rated BB Next call on 03/15/13	STIPRZ	03/18/11	25,990.00	25.99	25.34	25,340.00	(650.00) ST	7.769	1,968.75
Total preferred stocks				$ 83,333.30			$ 78,208.40	($ 5,124.90) ST $ 0.00 LT	7.62 %	$ 5,964.06

Mortgage and asset backed securities

Current Value is calculated as follows: Original Principal Amount x Factor x Price = Current Value

Original principal amount	Description	Date acquired/ CUSIP #	Cost	Share cost	Current share price/accrued interest	Current value	Unrealized gain/(loss)	Current % yield	Anticipated Income (annualized)
20,000	ASSET SECURITIZATION CORPORATI MORTGAGE BACKED SECURITY SER 97-D4 CL B1 DTD 03/27/97 04/14/29 7.525% INT: 07.525% MATY: 03/14/2012 FACTOR: 1.0000000 Curr.face $ 20,000.00 Int paid monthly Rated S&P A+	09/29/09 045424EU8	$ 21,353.13	$ 106.765	$ 101.358 $ 71.07	$ 20,271.60	($ 1,081.53) LT	7.424%	$ 1,505.00

Mortgage and asset backed securities *continued*

Original principal amount	Description	Date acquired/ CUSIP #	Cost	Share cost	Current share price/accrued interest	Current value	Unrealized gain/(loss)	Current % yield	Anticipated Income (annualized)
20,000	CREDIT SUISSE FIRST BOSTON MOR SER 2002-CPS CL B DTD 12/01/02 INT: 05.092% MATY: 11/15/2012 FACTOR: 1.0000000 Curr.face $ 20,000.00 Int paid monthly Rated S&P AAA	10/07/09 22541NUK3	$ 19,292.19	$ 96.46	$ 100.987 $ 45.26	$ 20,197.40	$ 905.21 LT	5.042%	$ 1,018.40
31,000	BECO05.1 DTD 03/01/2005 INT: 04.400% MATY: 03/15/2015 FACTOR: .88319055 Curr.face $ 27,378.91 Int paid semi-annually Rated AAA/AAA	04/20/09 575800AE7	28,376.72	103.218	103.256 153.93	28,270.36	(106.36) LT	4.261	1,204.67
35,000	WORLD FINANCIAL NETWORK CREDIT SERIES 2010-A CLASS A	07/02/10 981464CJ7	34,988.87	99.968	107.074	37,475.90	2,487 03 LT		
10,000	INT: 03.960% MATY: 06/15/2015 FACTOR: 1.0000000	08/17/10	10,350.00	103.50	107.074	10,707.40	357.40 LT		
15,000	Curr.face $ 60,000.00 Int paid monthly Rated S&P AAA	02/24/11	15,574.22	103.828	107.074	16,061.10	486.88 ST		
60,000			60,913.09	101.50	99.00	64,244.40	3,331.31	3.698	2,376.00
30,000	CHRYSLER FINANCIAL AUTO INT: 02.820% MATY: 01/15/2016 FACTOR: .39014775 Curr.face $ 11,704.43 Int paid monthly Rated S&P AAA	07/07/09 171203AC6	11,701.34	99.989	100.847 12.84	11,803.57	102.23 LT	2.786	330.06
35,000	DBUBS MORTGAGE TRUST SERIES 2011-LC3A CLASS A2 INT: 03.642% MATY: 09/10/2016 FACTOR: 1.0000000 Curr.face $ 35,000.00 Int paid monthly Rated Moody AAA	08/12/11 23305YBB4	35,349.35	100.998	104.337 74.36	36,517.95	1,168.60 ST	3.49	1,274.70

Mortgage and asset backed securities *continued*

Original principal amount	Description	Date acquired/ CUSIP #	Cost	Share cost	Current share price/accrued interest	Current value	Unrealized gain/(loss)	Current % yield	Anticipated Income (annualized)
35,000	CONSUMERS FUNDING LL DTD 11/08/2001 INT: 05.760% MATY: 10/20/2016 FACTOR: 1.0000000 Curr.face $ 35,000.00 Int paid quarterly Rated AAA/AAA	05/14/09 210523AF3	$ 37,750.78	$ 107.859	$ 114.584 $ 61.60	$ 40,104.40	$ 2,353.62 LT	5.026%	$ 2,016.00
25,000	CPL TRANSITION FUNDI DTD 02/07/2002 INT: 06.250% MATY: 01/15/2017 FACTOR: 1.0000000 Curr.face $ 25,000.00 Int paid semi-annually Rated AAA/AAA	05/27/09 12617AAE7	27,505.86	110.023	117.015 460.07	29,253.75	1,747.89 LT	5.341	1,562.50
30,000	JP MORGAN CHASE COML MTG SER 2007-C1 CL ASB INT: 05.857% MATY: 02/15/2017 FACTOR: 1.0000000 Curr.face $ 30,000.00 Int paid monthly Rated AAA/A-	03/11/11 46630DAE2	31,668.75	105.562	108.187 78.09	32,456.10	787.35 ST	5.413	1,757.10
25,000	SANTANDER DRIVE AUTO SER 2010-1 CLASS A4	05/19/10 80282DAD4	24,992.98	99.971	101.898	25,474.50	481.52 LT		
25,000	INT: 02.430% MATY: 05/15/2017 FACTOR: 1.0000000	09/24/10	25,540.04	102.16	101.898	25,474.50	(65.54) LT		
50,000	Curr.face $ 50,000.00 Int paid semi-annually Rated S&P AAA		50,533.02	101.10	459.00	50,949.00	415.98	2.384	1,215.00
40,000	WORLD FINANCIAL NETWORK SER 2009-D CLASS A INT: 04.660% MATY: 05/15/2017 FACTOR: 1.0000000 Curr.face $ 40,000.00 Int paid monthly Rated S&P AAA	08/19/10 981464CE8	42,714.06	106.785	105.599 82.84	42,239.60	(474.46) LT	4.412	1,864.00
35,000	GE CAPITAL CREDIT CARD INT: 03.800% MATY: 11/15/2017 FACTOR: 1.0000000 Curr.face $ 35,000.00 Int paid monthly Rated Moody AAA	10/07/10 36159JBT7	37,805.47	108.015	108.057 59.11	37,819.95	14.48 LT	3.516	1,330.00

Mortgage and asset backed securities *continued*

Original principal amount	Description	Date acquired/ CUSIP #	Cost	Share cost	Current share price/accrued interest	Current value	Unrealized gain/(loss)	Current % yield	Anticipated Income (annualized)
35,000	NCUA GTD NOTES SER 2010-C1 CL A2 INT: 02.900% MATY: 01/01/2018 FACTOR: 1.0000000 Curr.face $ 35,000.00 Int paid monthly Rated S&P AA+	11/04/10 62888XAB0	$ 34,910.76	$ 99.745	$ 104.378 $ 84.58	$ 36,532.30	$ 1,621.54 ST	2.778%	$ 1,015.00
255,000	WELLS FARGO MTG BACKED SECS MTG PASS THRU CTF CL A-1 INT: 04.500% MATY: 07/25/2018 FACTOR: .10323979 Curr.face $ 26,326.15 Int paid monthly Rated BAA1/AAA	06/15/11 949804AA6	26,172.99	99.50	101.543 19.74	26,732.36	559.37 ST	4.431	1,184.67
255,000	COMMUNITY PROGRAM LN TR 1987 A BDS-CL A SER 87 A-4-REG DTD 9/23/1987 INT: 04.500% MATY: 10/01/2018 FACTOR: .01689725 Curr.face $ 4,308.80 Int paid semi-annually Rated S&P AAA	06/02/09 204012AD0	4,522.48	100.625	100.639 16.16	4,336.33	(186.15) LT	4.471	193.89
12,500,000	FHLMC PL#531014 DTD 08/01/1989 INT: 10.500% MATY: 08/01/2019 FACTOR: .00101873 Curr.face $ 12,734.13 Int paid monthly	07/27/09 31347NDT0	14,139.82	107.062	114.558 110.29	14,587.96	448.14 LT	9.165	1,337.08
55,000	CAPITAL ONE MULTI ASSET EXECUT DTD 09/28/2007 INT: 05.750% MATY: 07/15/2020 FACTOR: 1.0000000 Curr.face $ 55,000.00 Int paid monthly Rated AAA/AAA	10/17/11 14041NDX6	64,753.91	117.734	117.494 140.56	64,621.70	(132.21) ST	4.893	3,162.50
50,000	NCUA GUARANTEED NOTES SER 2010-R1 CL 2A DTD 10/27/2010 INT: 01.840% MATY: 10/07/2020 FACTOR: .63495574 Curr.face $ 31,747.79 Int paid monthly Rated AAA/AA+	10/19/10 62888VAB4	31,743.27	99.99	101.219 43.81	32,134.79	391.52 LT	1.817	584.15

Mortgage and asset backed securities *continued*

Original principal amount	Description	Date acquired/ CUSIP #	Cost	Share cost	Current share price/accrued interest	Current value	Unrealized gain/(loss)	Current % yield	Anticipated income (annualized)
75,000	FHLMC PL#G12618 DTD 03/01/2007 INT: 05.000% MATY: 11/01/2021 FACTOR: .30149920 Curr.face $ 22,612.44 Int paid monthly	04/27/10 3128MBDX2	$ 25,012.73	$ 106.343	$ 107.733 $ 94.22	$ 24,361.06	($ 651.67) LT	4.641%	$ 1,130.62
85,000	SMALL BUSINESS ADMINISTRATION SER 2002-20B CL 1 INT: 05.980% MATY: 02/01/2022 FACTOR: .35277564 Curr.face $ 29,985.93 Int paid semi-annually Next call on 02/01/12 @ 100.598	07/22/09 83162CMA8	35,285.46	106.50	110.246 448.29	33,058.29	(2,227.17) LT	5.424	1,793.15
80,000	SMALL BUSINESS ADMIN SER 2002-20H CL 1 INT: 05.310% MATY: 08/01/2022 FACTOR: .39140000 Curr.face $ 31,312.00 Int paid semi-annually Next call on 02/01/12 @ 100.531	04/29/09 83162CMK6	30,492.31	104.50	109.193 415.67	34,190.51	3,698.20 LT	4.862	1,662.66
250,000	FEDERAL HOME LOAN MTG CORP M/C MTG PART CTF GTD SER 1643 CL E CALLABLE DTD 12/1/1993 INT: 06.500% MATY: 05/15/2023 FACTOR: .13877860 Curr.face $ 34,694.65 Int paid monthly	07/23/09 3133T2YE4	36,109.56	104.062	107.099 100.23	37,157.62	1,048.06 LT	6.069	2,255.15
29,000	SMALL BUSINESS ADMIN REMIC SER 2005-20D CLASS 1 5.11% DTD 04/13/2005 MTY 04/01/2025 INT: 05.110% MATY: 04/01/2025 FACTOR: .51795747 Curr.face $ 15,020.77 Int paid semi-annually Next call on 04/01/12 @ 102.044	04/29/10 83162CPL1	15,544.15	102.906	109.722 63.96	16,481.09	936.94 LT	4.657	767.56
40,000	SMALL BUSINESS ADMIN SER 2005-20J CL 1 INT: 05.090% MATY: 10/01/2025 FACTOR: .51327167 Curr.face $ 20,530.87 Int paid semi-annually Next call on 04/01/12 @ 102.036	05/13/09 83162CPV9	21,999.69	104.875	109.826 87.09	22,548.23	548.54 LT	4.634	1,045.02

Mortgage and asset backed securities *continued*

Original principal amount	Description	Date acquired/ CUSIP #	Cost	Share cost	Current share price/accrued interest	Current value	Unrealized gain/(loss)	Current % yield	Anticipated Income (annualized)
50,000	FNMA PL#256272 DTD 05/01/2006 INT: 05.500% MATY: 06/01/2026 FACTOR: .25960182 Curr.face $ 12,980.09 Int paid monthly	11/09/09 31371MT56	$ 15,228.41	$ 106.281	$ 108.64 $ 59.49	$ 14,101.57	($ 1,126.84) LT	5.062%	$ 713.90
40,000	MERRILL LYNCH MTG INVS INC SR/SUB P/T CTF SER 1998-C1 CL A3 INT: 06.720% MATY: 11/15/2026 FACTOR: .61075810 Curr.face $ 24,430.32 Int paid monthly Rated AAA/AAA	06/05/09 589929PU6	25,618.89	103.375	109.699 72.97	26,799.82	1,180.93 LT	6.125	1,641.71
60,000	SMALL BUSINESS ADMIN GTD DEV INT: 05.120% MATY: 12/01/2026 FACTOR: .61309352 Curr.face $ 36,785.61 Int paid semi-annually Next call on 12/01/11 @ 103.072	05/28/09 83162CQS5	38,194.42	102.875	110.151 784.76	40,519.72	2,325.30 LT	4.648	1,883.42
55,000	SMALL BUSINESS ADMINISTRATION SERIES 2007-20B DTD 02/14/07 INT: 05.490% MATY: 02/01/2027 FACTOR: .58865000 Curr.face $ 32,375.75 Int paid semi-annually	05/28/09 83162CQV8	34,497.87	104.50	111.246 444.36	36,016.73	1,518.86 LT	4.935	1,777.42
25,000	SMALL BUSINESS ADMIN SER 2007-20H CL 1 INT: 05.780% MATY: 08/01/2027 FACTOR: .64374617 Curr.face $ 16,093.65 Int paid semi-annually Next call on 02/01/12 @ 103.468 Rated Moody AAA	04/29/10 83162CRE5	17,185.87	105.625	112.706 232.55	18,138.51	952.64 LT	5.128	930.21
20,000	VANDERBILT MTG TR SER 1998-C CL IA6 INT: 06.750% MATY: 10/07/2028 FACTOR: .93789614 Curr.face $ 18,757.92 Int paid monthly Rated S&P AA-	06/17/09 921796FC7	16,057.93	86.50	99.876 84.41	18,734.66	2,676.73 LT	6.758	1,266.15

Mortgage and asset backed securities *continued*

Original principal amount	Description	Date acquired/ CUSIP #	Cost	Share cost	Current share price/accrued interest	Current value	Unrealized gain/(loss)	Current % yield	Anticipated Income (annualized)
75,000	FANNIEMAE WHOLE LOAN SER 2002-W4 CL A4 INT: 06.250% MATY: 10/25/2028 FACTOR: .33546948 Curr.face $ 25,160.21 Int paid monthly	06/12/09 31392DUF7	$ 26,185.92	$ 102.593	$ 113.931 $ 26.21	$ 28,665.28	$ 2,479.36 LT	5.485%	$ 1,572.51
25,000	GINNIE MAE SER 2003-49 CL B INT: 04.543% MATY: 03/16/2029 FACTOR: .75469566 Curr.face $ 18,867.39 Int paid monthly	08/19/09 38374BBE2	19,609.58	102.968	103.916 35.71	19,606.24	(3.34) LT	4.371	857.14
840,000	FNMA PL#522577 DTD 10/01/1999 INT: 06.500% MATY: 06/01/2029 FACTOR: .02582280 Curr.face $ 21,691.15 Int paid monthly	06/12/09 31384FQ67	23,191.86	104.093	107.788 117.49	23,380.46	188.60 LT	6.03	1,409.92
260,000	RESIDENTIAL FDG MTG SECS II HOME LN-BKD NT SER 1999-HI1 CL INT: 07.080% MATY: 09/25/2029 FACTOR: .03723417 Curr.face $ 9,680.88 Int paid monthly Rated BAA1/A	09/18/09 76110VBX5	6,540.35	82.25	96.119 11.42	9,305.17	2,764.82 LT	7.365	685.40
35,000	NOMURA ASSET SECURITIES CORP INT: 06.979% MATY: 03/15/2030 FACTOR: 1.0000000 Curr.face $ 35,000.00 Int paid monthly Rated AAA/AAA	01/11/10 655356JL8	38,767.97	110.765	106.694 94.89	37,342.90	(1,425.07) LT	6.541	2,442.67
35,000	LB-UBS COML MTG TR 2006-C1 COML MTG PASSTHRU CTF	08/24/11 52108MDH3	37,715.23	107.757	109.688	38,390.80	675.57 ST		
10,000	INT: 05.156% MATY: 02/15/2031 FACTOR: 1.0000000	10/05/11	10,812.50	108.125	109.688	10,968.80	156.30 ST		
45,000	Curr.face $ 45,000.00 Int paid monthly Rated S&P AAA		48,527.73	107.80	103.12	49,359.60	831.87	4.70	2,320.20

Mortgage and asset backed securities *continued*

Original principal amount	Description	Date acquired/ CUSIP #	Cost	Share cost	Current share price/accrued interest	Current value	Unrealized gain/(loss)	Current % yield	Anticipated Income (annualized)
40,000	GOVERNMENT NATIONAL MORTGAGE A SER 2006-068 CLASS B FLTR DTD 12/01/2006 MTY 06/16/2031 INT: 05.155% MATY: 06/16/2031 FACTOR: 1.0000000 Curr.face $ 40,000.00 Int paid monthly	02/12/10 38375JCG8	$ 42,959.37	$ 107.398	$ 106.582 $ 85.92	$ 42,632.80	($ 326.57) LT	4.836%	$ 2,062.00
30,000	GINNIE MAE SER 2004-10 CL AB INT: 04.043% MATY: 07/16/2031 FACTOR: .50265844 Curr.face $ 15,079.75 Int paid monthly	08/19/09 38373MKQ2	15,484.18	101.718	105.508 25.40	15,910.35	426.17 LT	3.831	609.67
25,000	GINNEI MAE SER 2004-108 CLASS C INT: 05.039% MATY: 12/16/2032 FACTOR: 1.0000000 Curr.face $ 25,000.00 Int paid monthly	01/29/10 38373MMJ6	26,066.41	104.265	111.683 52.49	27,920.75	1,854.34 LT	4.511	1,259.75
95,000	GTSHE DTD 04/18/2005 RULE 144A LIB1 + 38 BPS INT: 00.624% MATY: 12/25/2032 FACTOR: .13636987 Curr.face $ 12,955.14 Int paid monthly Rated AAA/AAA	09/17/09 393513AN6	6,001.01	65.25	94.547 1.35	12,248.69	6,247.68 LT	.66	80.83
40,000	GINNIE MAE SER 2007-55 CL B INT: 04.633% MATY: 08/16/2033 FACTOR: 1.0000000 Curr.face $ 40,000.00 Int paid monthly	02/18/10 38373MK66	42,250.00	105.625	108.879 77.22	43,551.60	1,301.60 LT	4.255	1,853.20
35,000	GINNIE MAE SER 2004-6 CL B INT: 03.949% MATY: 07/16/2033 FACTOR: .21637665 Curr.face $ 7,573.18 Int paid monthly	06/18/09 38374E7M3	8,035.65	102.156	102.912 12.46	7,793.71	(241.94) LT	3.837	299.06

Mortgage and asset backed securities *continued*

Original principal amount	Description	Date acquired/ CUSIP #	Cost	Share cost	Current share price/accrued interest	Current value	Unrealized gain/(loss)	Current % yield	Anticipated income (annualized)
35,000	AMERIQUEST MTG SECS INC 2003-IA1 ASSET BKD PASSTHRU INT: 04.965% MATY: 11/25/2033 FACTOR: .31101480 Curr.face $ 10,885.52 Int paid monthly Rated AAA/AAA	09/17/09 03072SLD5	$ 7,634.44	$ 81.25	$ 100.145 $ 9.01	$ 10,901.30	$ 3,266.86 LT	4.957%	$ 540.46
20,000	FIRST UNION NATL BANK COML MTG SER 2001-C4 CL A2 INT: 06.223% MATY: 12/12/2033 FACTOR: .03823548 Curr.face $ 764.71 Int paid monthly Rated AAA/AAA	05/01/09 33736XEB1	989.72	101.125	99.903 2.51	763.97	(225.75) LT	6.229	47.58
175,000	FHLMC PL#G01715 DTD 07/01/2004 INT: 08.000% MATY: 02/01/2034 FACTOR: .13194031 Curr.face $ 23,089.55 Int paid monthly	07/27/09 31283H3Y9	26,568.01	110.093	118.628 153.93	27,390.68	822.67 LT	6.743	1,847.16
50,000	FNMA PL#725705 DTD 07/01/2004 INT: 05.000% MATY: 08/01/2034	05/28/09 31402DGN4	17,089.16	101.687	108.057	17,618.35	529.19 LT		
130,000	FACTOR: .32609363 Curr.face $ 58,696.85	07/13/11	45,642.72	107.14	108.057	45,807.71	164.99 ST		
180,000	Int paid monthly		62,731.88	34.90	244.57	63,426.06	694.18	4.627	2,934.84
170,000	FHLMC PL#G01840 DTD 06/01/2005 INT: 05.000% MATY: 07/01/2035 FACTOR: .33267561 Curr.face $ 56,554.85 Int paid monthly	08/18/11 3128LXBH2	61,862.20	108.937	107.552 235.65	60,825.88	(1,036.32) ST	4.648	2,827.74
100,000	FNMA PL#735893 DTD 09/01/2005 INT: 05.000% MATY: 10/01/2035 FACTOR: .39540312 Curr.face $ 39,540.31 Int paid monthly	03/07/11 31402RRN1	42,667.83	105.421	107.828 164.75	42,635.53	(32.30) ST	4.637	1,977.01
55,000	FNMA PL#920933 DTD 01/01/2007 INT: 05.500% MATY: 01/01/2037 FACTOR: .34850371 Curr.face $ 19,167.70 Int paid monthly	10/07/09 31412CEE6	20,249.27	103.50	105.513 87.85	20,224.42	(24.85) LT	5.212	1,054.22

Mortgage and asset backed securities *continued*

Original principal amount	Description	Date acquired/ CUSIP #	Cost	Share cost	Current share price/accrued interest	Current value	Unrealized gain/(loss)	Current % yield	Anticipated income (annualized)
25,000	GE CAPITAL COMMERCIAL MTGE COR SER 2003-C2 CL-A4	11/12/09 36828QBR6	$ 26,005.50	$ 104.022	$ 104.865	$ 26,216.25	$ 210.75 LT		
25,000	07/10/2037 DTD 08/01/2003 5.145%	12/14/10	$ 26,652.08	$ 106.608	$ 104.865	$ 26,216.25	($ 435.83) ST		
50,000	INT: 05.145% MATY: 07/10/2037 FACTOR: 1.0000000 Curr.face $ 50,000.00 Int paid monthly Rated Moody AAA		**$ 52,657.58**	**$ 105.30**	**$ 150.06**	**$ 52,432.50**	**($ 225.08)**	**4.906**	**$ 2,572.50**
40,000	JP MORGAN CHASE COMMER MTG SEC JPMCC SERIES 03-CB6 A2 DTD 08/01/2002 INT: 05.255% MATY: 07/12/2037 FACTOR: 1.0000000 Curr.face $ 40,000.00 Int paid monthly Rated Moody AAA	03/03/10 46625MWX3	**$ 42,456.25**	$ 106.14	$ 105.981 **$ 110.94**	**$ 42,392.40**	**($ 63.85) LT**	**4.958%**	**$ 2,102.00**
35,000	CREDIT SUISSE MORTGAGE CAPITAL REMIC SER 2006-C3 CLASS A3 6.021951% DTD 06/01/2006 MTY 06/15/2038 INT: 05.817% MATY: 06/15/2038 FACTOR: 1.0000000 Curr.face $ 35,000.00 Int paid monthly Rated AAA/A	07/19/11 22545DAD9	**38,850.00**	111.00	109.154 **90.49**	**38,203.90**	**(646.10) ST**	**5.329**	**2,036.06**
35,000	GINNIE MAE INT: 04.748% MATY: 10/16/2038	01/22/10 38373MR44	36,752.73	105.007	108.45	37,957.50	1,204.77 LT		
15,000	FACTOR: 1.0000000 Curr.face $ 50,000.00	04/26/11	15,975.00	106.50	108.45	16,267.50	292.50 ST		
50,000	Int paid monthly		**52,727.73**	**105.50**	**98.92**	**54,225.00**	**1,497.27**	**4.378**	**2,374.00**
45,000	FANNIE MAE SER 2009-62 CL WA INT: 05.553% MATY: 08/25/2039 FACTOR: .70989478 Curr.face $ 31,945.27 Int paid monthly	08/11/09 31396QTR0	**34,270.15**	104.50	105.761 **29.57**	**33,785.63**	**(484.52) LT**	**5.251**	**1,774.18**

Mortgage and asset backed securities *continued*

Original principal amount	Description	Date acquired/ CUSIP #	Cost	Share cost	Current share price/accrued interest	Current value	Unrealized gain/(loss)	Current % yield	Anticipated Income (annualized)
45,000	GMAC COML MTG SECS INC 2003-C2 MTG PASSTHRU CTF INT: 05.453% MATY: 05/10/2040 FACTOR: 1.0000000 Curr.face $ 45,000.00 Int paid monthly Rated S&P AAA	10/12/10 361849YU0	$ 49,484.18	$ 109.964	$ 106.853 $ 143.14	$ 48,083.85	($ 1,400.33) LT	5.103%	$ 2,453.90
195,000	FHLMC STRUCTURED PASS THRU SERIES T-54 CLASS 2A INT: 06.500% MATY: 02/25/2043 FACTOR: .14307194 Curr.face $ 27,899.03 Int paid monthly	07/23/09 31393LFK4	30,139.28	106.062	111.831 30.22	31,199.76	1,060.48 LT	5.812	1,813.43
25,000	BANC OF AMERICA COMMER MORT IN CMO SER 2005-2 CLASS A4 DTD 06/01/2005 INT: 04.783% MATY: 07/10/2043 FACTOR: .51587261 Curr.face $ 12,896.82 Int paid monthly Rated AAA/AAA	12/02/10 05947UL89	13,668.92	103.25	100.798 35.98	12,999.73	(669.19) ST	4.745	616.85
30,000	BANC OF AMERICA COMMERCIAL REMIC SER 2006-4 CLASS A4	07/11/11 05950WAF5	33,112.50	110.375	110.204	33,061.20	(51.30) ST		
30,000	5.634% DTD 08/01/2006 MTY 07/10/2046	09/20/11	32,842.97	109.476	110.204	33,061.20	218.23 ST		
60,000	INT: 05.634% MATY: 07/10/2046 FACTOR. 1.0000000 Curr.face $ 60,000.00 Int paid monthly Rated AAA/AA		65,955.47	109.90	197.19	66,122.40	166.93	5.112	3,380.40
40,000	MORTGAGE TRUST SERIES 2006-CD3 SERIES A-4 INT: 05.658% MATY: 10/15/2048 FACTOR: 1.0000000 Curr.face $ 40,000.00 Int paid monthly Rated AAA/AAA	05/05/11 14986DAE0	42,825.00	107.062	107.503 100.59	43,001.20	176.20 ST	5.263	2,263.20
17 235,000	Total mortgage and asset backed securities		$ 1,841,792.22		$ 7,489.46	$ 1,881,513.09	$ 9,304.28 ST $ 36,416.59 LT	4.77	$ 89,865.67

Bonds

Unrealized gains & losses have been adjusted to account for the accretion of OID (original issue discount), the amortization of premium, and/or the accretion of market discount.

Call features shown indicate the next regularly scheduled call date and price. Your holdings may be subject to other redemption features including sinking funds or extraordinary calls.

The credit rating from Moody's Investors Service and Standard & Poor's may be shown for certain securities. All credit ratings represent the "opinions" of the provider and are not representations or guarantees of performance. Your Financial Advisor will be pleased to provide you with further information or assistance in interpreting these credit ratings.

International bonds

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip. Income (annualized)	Ordinary Income/ Capital gain/(loss)
25,000	UBS AG STAMFORD CT	04/14/10	$ 24,912.50	$ 99.65	100.24	$ 25,060.00	$ 147.50	LT		$ 0.00
	BOOK/ENTRY	90261XFY3	$ 24,912.50	$ 99.65			$ 147.50	LT		$ 147.50
25,000	DTD 01/15/2010	04/23/10	24,987.50	99.95	100.24	25,060.00	72.50	LT		0.00
	INT: 03.875% MATY: 01/15/2015		24,987.50	99.95			72.50	LT		72.50
	EXCHANGE RATE. 1.0000000									
50,000	Amount denominated in:		49,900.00	99.80		50,120.00	220.00		3.865	0.00
	U.S. dollars		49,900.00	99.80	570.49		220.00		1,937.50	220.00
	Rating: AA3/A+									
20,000	CANADIAN NATL RY CO FING	12/23/09	21,146.34	109.435	120.661	23,297.95	2,151.61	LT	5.962	0.00
	PASS THRU CTF SER 1997-A2	136380AB8	20,626.80	106.827	1,153.86		2,671.15	LT	1,389.25	2,671.15
	INT: 07.195% MATY: 01/02/2016									
	EXCHANGE RATE: 1.0000000									
	Amount denominated in:									
	U.S. dollars									
	Factor: .96543									
	Curr.face $ 19,308.60									
	Int paid annually									
	Rating: A1/A+									
25,000	TYCO INTL FIN	07/27/09	27,789.75	111.159	127.15	31,787.50	3,997.75	LT		0.00
	BOOK/ENTRY	902118BL1	27,273.75	109.095			4,513.75	LT		4,513.75
10,000	DTD 01/09/2009	04/15/10	12,399.40	123.994	127.15	12,715.00	315.60	LT		0.00
	INT: 08.500% MATY: 01/15/2019		12,050.80	120.508			664.20	LT		664.20
	EXCHANGE RATE: 1.0000000									
5,000	Amount denominated in:	04/15/10	6,254.05	125.081	127.15	6,357.50	103.45	LT		0.00
	U.S. dollars		6,070.95	121.419			286.55	LT		286.55
40,000	Rating: A3/A-		46,443.20	116.10		50,860.00	4,416.80		6.685	0.00
	Next call on 07/15/14 @ 100.000		45,395.50	113.50	1,001.11		5,464.50		3,400.00	5,464.50

International bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip. Income (annualized)	Ordinary Income/ Capital gain/(loss)
25,000	ST JUDE MEDICAL INC	07/23/09	$ 24,682.50	$ 98.73	113.353	$ 28,338.25	$ 3,655.75	LT	4.30	$ 0.00
	DTD 07/28/2009	790849AF0	$ 24,682.50	$ 98.73	$ 358.85		$ 3,655.75	LT	$ 1,218.75	$ 3,655.75
	INT: 04.875% MATY: 07/15/2019									
	EXCHANGE RATE: 1.0000000									
	Amount denominated in:									
	U.S. dollars									
	Rating: BAA1/A									
	Total international bonds		$ 142,172.04		$ 3,084.91	$ 152,618.20	$ 0.00	ST	5.20	$ 0.00
135,000							$ 12,011.40	LT	$ 7,848.50	$ 12,011.40

Please note: **Amounts** *are denominated in the currency of the issue.* **Price** *is a function of* **exchange rate** *and market price.*
Market value *is denominated in U.S. dollars. Changes in* **exchange rate** *will affect the "face value in U.S. dollars" and* **market value.**

Corporate bonds

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip. Income (annualized)	Ordinary Income/ Capital gain/(loss)
30,000	HUDSON UNITED BANK N	06/08/09	$ 31,500.00	$ 105.00	103.04	$ 30,912.00	($ 588.00)	LT	6.793	$ 0.00
	DTD 05/06/2002	444168DH8	$ 30,292.20	$ 100.974	$ 968.33		$ 619.80	LT	$ 2,100.00	$ 619.80
	SERIES BKNT									
	INT: 07.000% MATY: 05/15/2012									
	Rating: Moody AA3									
35,000	EXPRESS SCRIPTS INC	06/05/09	34,972.70	99.922	102.515	35,880.25	907.55	LT	5.121	0.00
	DTD 06/09/2009	302182AC4	34,972.70	99.922	694.17		907.55	LT	1,837.50	907.55
	INT: 05.250% MATY: 06/15/2012									
	Rating: BAA3/BBB +									
20,000	M&T BANK	11/19/09	18,350.00	91.75	99.626	19,925.20	1,575.20	LT		922.00
	DTD 3/31/03	564759NH7	18,350.00	91.75			1,575.20	LT		653.20
15,000	INT: 01.872% MATY: 04/01/2013	03/26/10	14,587.50	97.25	99.626	14,943.90	356.40	LT		213.15
	Int paid quarterly		14,587.50	97.25			356.40	LT		143.25
35,000	Rating: A3/A-		32,937.50	94.10		34,869.10	1,931.60		1.878	1,135.15
	Next call on 01/01/12 @ 100.000		32,937.50	94.10			1,931.60		655.23	796.45

MorganStanley
SmithBarney

Private Wealth Management
Financial Management Account

October 1 - October 31, 2011

FESTUS & HELEN STACY FND INC — **Account number 16J-11465-14 503**

Corporate bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted	Current % Yield/ Anticip. Income (annualized)	Ordinary Income/ Capital gain/(loss)
25,000	MERRILL LYNCH & CO I	06/12/09	$ 24,971.25	$ 99.885	102.675	$ 25,668.75	$ 697.50 LT		$ 0.00
	DTD 04/25/2008	59018YN56	$ 24,971.25	$ 99.885			$ 697.50 LT		$ 697.50
5,000	INT: 06.150% MATY: 04/25/2013	07/28/09	5,173.55	103.471	102.675	5,133.75	(39.80) LT		0.00
	Rating: BAA1/A		5,073.00	101.46			60.75 LT		60.75
30,000			30,144.80	100.50		**30,802.50**	657.70	**5.989**	0.00
			30,044.25	100.10	30.75		**758.25**	**1,845.00**	**758.25**
25,000	INTERNATIONAL LEASE FIN CORP	03/16/11	25,781.25	103.125	99.50	**24,875.00**	(906.25) ST	**5.904**	0.00
	NOTES-BOOK ENTRY	459745FG5	**25,563.00**	102.252	**734.38**		**(688.00)** ST	**1,468.75**	**(688.00)**
	DTD 04/29/2003								
	INT: 05.875% MATY: 05/01/2013								
	Rating: B1/BBB-								
40,000	AMERICAN INTL GROUP INC	09/29/10	41,400.00	103.50	99.488	**39,795.20**	(1,604.80) LT	**4.271**	0.00
	GLOBAL NOTES BOOK/ENTRY	026874AT4	**40,836.80**	102.092	**783.89**		**(1,041.60)** LT	**1,700.00**	**(1,041.60)**
	DD 04/20/04								
	INT: 04.250% MATY. 05/15/2013								
	Rating: BAA1/A-								
30,000	VERIZON NEW ENGLAND INC NOTES	07/23/09	30,470.10	101.567	105.59	**31,677.00**	1,206.90 LT	**4.498**	0.00
	BOOK/ENTRY DTD 10/3/03	92344RAB8	**30,225.90**	100.753	**118.75**		**1,451.10** LT	**1,425.00**	**1,451.10**
	INT: 04.750% MATY: 10/01/2013								
	Rating: WR/A-								
60,000	FEDERAL EXPRESS CORP	05/19/10	19,821.08	100.455	105.50	**20,790.25**	969.17 LT	**6.739**	0.00
	BOOK/ENTRY	31331FAN1	**19,761.38**	100.279	**463.16**		**1,028.87** LT	**1,401.12**	**1,028.87**
	DTD 10/31/95								
	INT: 07.110% MATY: 01/02/2014								
	Factor: .32844								
	Curr.face $ 19,706.40								
	Rating: BAA1/BBB								
	Next call on 11/30/11 @ 100.000								
110,000	FEDERAL EXPRESS PASS THRU	08/20/10	24,129.78	102.00	101.125	**23,906.05**	(223.73) LT	**7.564**	0.00
	BK/ENTRY	31331FAW1	**23,958.06**	101.345	**532.52**		**(52.01)** LT	**1,808.46**	**(52.01)**
	DTD 5/28/1997								
	INT: 07.650% MATY: 01/15/2014								
	Factor: .21491								
	Curr.face $ 23,640.10								
	Rating: BAA2/BBB								
	Next call on 11/01/11 @ 100.000								
15,000	MORGAN STANLEY GLOBAL SUB	09/25/09	14,958.45	99.723	100.477	15,071.55	113.10 LT		0.00
	NOTES BOOK/ENTRY	61748AAE6	14,958.45	99.723			113.10 LT		113.10
10,000	DD 3/30/2004	10/19/09	9,991.40	99.914	100.477	10,047.70	56.30 LT		0.00
	INT: 04.750% MATY: 04/01/2014		9,991.40	99.914			56.30 LT		56.30
	Rating: A3/A-								

Corporate bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip. Income (annualized)	Ordinary Income/ Capital gain/(loss)
35,000	MORGAN STANLEY GLOBAL SUB	04/22/10	$ 35,882.70	$ 102.522	100.477	$ 35,166.95	($ 715.75)	LT		$ 0.00
	NOTES BOOK/ENTRY	61748AAE6	$ 35,558.60	$ 101.596			($ 391.65)	LT		($ 391.65)
60,000	DD 3/30/2004		60,832.55	101.40		60,286.20	(546.35)		4.727	0.00
	INT: 04.750% MATY: 04/01/2014		60,508.45	100.80	237.50		(222.25)		2,850.00	(222.25)
	Rating: A3/A-									
30,000	NOBLE ENERGY INC	02/12/10	32,201.10	107.337	108.552	32,565.60	364.50	LT	4.836	0.00
	DTD 04/19/2004	655044AA3	31,336.50	104.455	70.00		1,229.10	LT	1,575.00	1,229.10
	GLOBAL									
	INT: 05.250% MATY: 04/15/2014									
	Rating: BAA2/BBB									
25,000	KEY BANK N A	07/07/09	23,281.25	93.125	107.725	26,931.25	3,650.00	LT		717.25
	DTD 06/14/2004	49306CAH4	23,281.25	93.125			3,650.00	LT		2,932.75
10,000	INT: 05.800% MATY: 07/01/2014	04/21/10	10,500.00	105.00	107.725	10,772.50	272.50	LT		0.00
	Rating: BAA1/BBB +		10,329.20	103.292			443.30	LT		443.30
35,000			33,781.25	96.50		37,703.75	3,922.50		5.384	717.25
			33,610.45	96.00	676.67		4,093.30		2,030.00	3,376.05
15,000	BARCLAYS BANK PLC	07/07/09	14,982.45	99.883	105.283	15,792.45	810.00	LT		0.00
	DTD 07/10/2009	06739FFZ9	14,982.45	99.883			810.00	LT		810.00
10,000	INT: 05.200% MATY: 07/10/2014	07/27/09	10,179.60	101.796	105.283	10,528.30	348.70	LT		0.00
	Rating: AA3/AA-		10,102.30	101.023			426.00	LT		426.00
25,000			25,162.05	100.60		26,320.75	1,158.70		4.939	0.00
			25,084.75	100.30	400.83		1,236.00		1,300.00	1,236.00
45,000	PE ENVIROMENTAL FUNDING LLC	07/16/09	21,031.49	104.00	106.197	20,985.91	(45.58)	LT	4.691	0.00
	BOOK/ENTRY	69336NAA7	20,208.89	102.265	289.88		777.02	LT	984.50	777.02
	DTD 04/11/2007									
	INT: 04.982% MATY: 07/15/2014			-						
	Factor: .43914									
	Curr.face $ 19,761.30									
	Rating: AAA/AAA									
35,000	CITIGROUP INC	03/03/11	36,690.85	104.831	101.899	35,664.65	(1,026.20)	ST	4.906	0.00
	DTD 01/11/2005	172967CQ2	36,394.05	103.983	223.61		(729.40)	ST	1,750.00	(729.40)
	INT: 05.000% MATY: 09/15/2014									
	Rating: BAA1/A-									
42,000	FORD MOTOR CREDIT CO LLC	03/18/11	47,512.50	113.125	111.864	46,982.88	(529.62)	ST	7.777	0.00
	DTD 09/23/2009	345397VL4	46,624.20	111.01	304.50		358.68	ST	3,654.00	358.68
	INT: 08.700% MATY: 10/01/2014									
	Rating: BA1/BB +									

Corporate bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip. Income (annualized)	Ordinary Income/ Capital gain/(loss)
27,000	REGIONS FINANCIAL CORP BOOK/ENTRY DTD 11/10/2009 INT: 07.750% MATY: 11/10/2014 Rating: BA3/BB +	05/19/11 7591EPAF7	$ 29,632.50 **$ 29,323.62**	$ 109.75 $ 108.606	103.25 **$ 893.94**	**$ 27,877.50**	($ 1,755.00) **($ 1,446.12)**	ST ST	**7.506** **$ 2,092.50**	**$ 0.00** **($ 1,446.12)**
35,000	AMPHENOL CORP BOOK/ENTRY DTD 11/05/2009 INT: 04 750% MATY: 11/15/2014 Rating: BAA2/BBB	08/17/10 032095AA9	37,824.50 **37,059.05**	108.07 105.883	107.086 **766.60**	**37,480.10**	(344.40) **421.05**	LT LT	**4.435** **1,662.50**	**0.00** **421.05**
15,000	VORNADO REALTY TRUST DTD 03/26/2010	03/23/10 929043AF4	14,975.10 14,975.10	99.834 99.834	102.26	15,339.00	363.90 363.90	LT LT		0.00 363.90
10,000	INT: 04.250% MATY: 04/01/2015 Rating: BAA2/BBB	03/24/10	9,992.70 9,992.70	99.927 99.927	102.26	10,226.00	233.30 233.30	LT LT		0.00 233.30
25,000	Next call on 01/01/15 @ 100.000	04/22/10	24,977.00 24,977.00	99.908 99.908	102.26	25,565.00	588.00 588.00	LT LT		0.00 588.00
50,000			49,944.80 **49,944.80**	99.90 99.90	**177.08**	**51,130.00**	1,185.20 **1,185.20**		**4.156** **2,125.00**	**0.00** **1,185.20**
25,000	HOSPIRA INC BOOK/ENTRY	05/05/09 441060AK6	24,949.00 24,949.00	99.796 99.796	111.352	27,838.00	2,889.00 2,889.00	LT LT		0.00 2,889.00
15,000	DTD 05/08/2009 INT: 06.400% MATY: 05/15/2015	04/15/10	16,661.25 16,194.00	111.075 107.96	111.352	16,702.80	41.55 508.80	LT LT		0.00 508.80
40,000	Rating: BAA3/BBB +		41,610.25 **41,143.00**	104.00 102.90	**1,180.44**	**44,540.80**	2,930.55 **3,397.80**		**5.747** **2,560.00**	**0.00** **3,397.80**
20,000	RYDER SYSTEM INC. DTD 08/07/2008 INT: 07.200% MATY: 09/01/2015 Rating: BAA1/BBB +	01/13/10 78355HJN0	22,708.80 **21,919.20**	113.544 109.596	118.251 **240.00**	**23,650.20**	941.40 **1,731.00**	LT LT	**6.088** **1,440.00**	**0.00** **1,731.00**
18,000	LEUCADIA NATIONAL CORP SR NOTES BOOK/ENTRY	05/06/11 527288BD5	20,160.00 19,947.06	112.00 110.817	108.00	19,440.00	(720.00) (507.06)	ST ST		0.00 (507.06)
12,000	DD 9/25/2007 INT: 08.125% MATY: 09/15/2015	07/06/11	13,260.00 13,176.96	110.50 109.808	108.00	12,960.00	(300.00) (216.96)	ST ST		0.00 (216.96)
30,000	Rating: B1/BB +		33,420.00 **33,124.02**	111.40 110.40	**311.46**	**32,400.00**	(1,020.00) **(724.02)**		**7.623** **2,437.50**	**0.00** **(724.02)**

Corporate bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip. Income (annualized)	Ordinary Income/ Capital gain/(loss)
30,000	STATE STR BK & TR CO DTD 12/08/2005 RULE 144A INT: 00.535% MATY: 12/08/2015 Int paid quarterly Rating: AA3/A+	05/18/09 85744NAB7	$ 22,800.00 $ 22,800.00	$ 76.00 $ 76.00	94.874	$ 28,462.20	$ 5,662.20 $ 5,662.20	LT LT	.584 $ 160.68	$ 2,427.00 $ 3,235.20
20,000	STATE STR BK & TR CO DTD 12/08/2005 RULE 144A INT: 05.300% MATY: 01/15/2016 Rating: AA3/A+	12/17/09 85744NAA9	21,035.20 20,745.00	105.176 103.725	112.827 312.11	22,565.40	1,530.20 1,820.40	LT LT	4.697 1,060.00	0.00 1,820.40
30,000	HSBC FIN CORP NOTES-BK/ENTRY DTD 01/19/2006 INT: 05.500% MATY: 01/19/2016 Rating: A3/A	10/19/09 40429CFN7	30,957.00 30,676.80	103.19 102.256	104.806 467.50	31,441.80	484.80 765.00	LT LT	5.247 1,650.00	0.00 765.00
25,000	TYSON FOODS INC DTD 03/22/2006 INT: 06.850% MATY: 04/01/2016 Rating: BA1/BBB-	02/15/11 902494AN3	27,718.75 27,380.75	110.875 109.523	108.00 163.54	27,000.00	(718.75) (380.75)	ST ST	6.342 1,712.50	0.00 (380.75)
33,000	AES CORPORATION DTD 04/02/2009 INT: 09.750% MATY: 04/15/2016 Rating: B1/BB-	03/16/11 00130HBQ7	38,032.50 37,505.16	115.25 113.652	113.50 143.00	37,455.00	(577.50) (50.16)	ST ST	8.59 3,217.50	0.00 (50.16)
20,000	ARCH COAL INC DTD 08/01/2010 INT: 08.750% MATY: 08/01/2016 Rating: B1/B+ Next call on 08/01/13 @ 104.375	08/17/11 039380AB6	21,550.00 21,496.60	107.75 107.483	109.25 437.50	21,850.00	300.00 353.40	ST ST	8.009 1,750.00	0.00 353.40
25,000	GENERAL MOTORS ACCEPT CORP SMARTNOTES BK/ENTRY STEP UP	03/16/11 3704A0QG0	24,562.50 24,562.50	98.25 98.25	94.077	23,519.25	(1,043.25) (1,043.25)	ST ST		0.00 (1,043.25)
5,000	DTD 08/31/2004 INT: 06.750% MATY: 08/15/2016	03/16/11	4,875.00 4,875.00	97.50 97.50	94.077	4,703.85	(171.15) (171.15)	ST ST		0.00 (171.15)
30,000	Rating: B1/B+ Next call on 02/15/12 @ 100.000		29,437.50 29,437.50	98.10 98.10	427.50	28,223.10	(1,214.40) (1,214.40)		7.174 2,025.00	0.00 (1,214.40)
20,000	BALTIMORE GAS & ELECTRIC COMPA BDS BOOK/ENTRY DTD 10/13/2006 INT: 05.900% MATY: 10/01/2016 Rating: BAA2/BBB+	11/17/09 059165DZ0	21,697.80 21,267.00	108.489 106.335	115.82 98.33	23,164.00	1,466.20 1,897.00	LT LT	5.094 1,180.00	0.00 1,897.00

Corporate bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip. Income (annualized)	Ordinary Income/ Capital gain/(loss)
40,000	HARTFORD FINANCIAL S	06/12/09	$ 34,600.00	$ 86.50	102.916	$ 41,166.40	$ 6,566.40	LT	5.344	$ 1,424.80
	DTD 10/3/2006	416515AR5	$ 34,600.00	$ 86.50	$ 97.78		$ 6,566.40	LT	$ 2,200.00	$ 5,141.60
	INT: 05.500% MATY: 10/15/2016									
	Rating: BAA3/BBB									
40,000	PEABODY ENERGY CORP	05/16/11	45,300.00	113.25	109.50	43,800.00	(1,500.00)	ST	6.735	0.00
	DTD 10/12/2006	704549AE4	44,911.60	112.279	1,475.00		(1,111.60)	ST	2,950.00	(1,111.60)
	INT: 07.375% MATY: 11/01/2016									
	Rating: BA1/BB +									
25,000	JOY GLOBAL INC	01/13/10	26,293.75	105.175	111.885	27,971.25	1,677.50	LT	5.362	0.00
	DTD 02/06/2007	481165AF5	25,998.00	103.992	691.67		1,973.25	LT	1,500.00	1,973.25
	INT: 06.000% MATY: 11/15/2016									
	Rating: BAA2/BBB									
15,000	EATON CORP NOTES-BK/ENTRY	09/02/10	16,983.45	113.223	114.193	17,128.95	145.50	LT	4.641	0.00
	DTD 03/16/2007	278058DC3	16,661.55	111.077	101.58		467.40	LT	795.00	467.40
	INT: 05.300% MATY: 03/15/2017									
	Rating: A3/A-									
20,000	BUNGE NA FINANCE LP	09/04/09	19,750.00	98.75	109.209	21,841.80	2,091.80	LT		0.00
	BOOK/ENTRY	120569AA6	19,750.00	98.75			2,091.80	LT		2,091.80
5,000	DTD 03/22/2007	08/09/10	5,314.30	106.286	109.209	5,460.45	146.15	LT		0.00
	INT: 05.900% MATY: 04/01/2017		5,263.70	105.274			196.75	LT		196.75
25,000	Rating: BAA2/BBB-		25,064.30	100.30		27,302.25	2,237.95		5.402	0.00
			25,013.70	100.10	122.92		2,288.55		1,475.00	2,288.55
50,000	VENTAS REALTY LP/CAP	05/06/11	53,125.00	106.25	104.008	52,004.00	(1,121.00)	ST	6.489	0.00
	DTD 9/19/2006	92276MAT2	52,909.50	105.819	281.25		(905.50)	ST	3,375.00	(905.50)
	INT: 06.750% MATY: 04/01/2017									
	Rating: BAA2/BBB-									
	Next call on 04/01/12 @ 103.375									
7,000	CONSTELLATION BRANDS INC	05/18/11	7,682.50	109.75	110.00	7,700.00	17.50	ST		0.00
	BOOK/ENTRY	21036PAF5	7,639.24	109.132			60.76	ST		60.76
35,000	DTD 11/15/2007	05/31/11	38,442.60	109.836	110.00	38,500.00	57.40	ST		0.00
	INT: 07.250% MATY: 05/15/2017		38,237.50	109.25			262.50	ST		262.50
42,000	Rating: BA2/BB +		46,125.10	109.80		46,200.00	74.90		6.59	0.00
			45,876.74	109.20	1,404.08		323.26		3,045.00	323.26
35,000	PEPSIAMERICAS INC	04/26/10	37,321.90	106.634	115.209	40,323.15	3,001.25	LT	4.339	0.00
	DTD 05/18/2005	71343PAB7	36,877.05	105.363	806.94		3,446.10	LT	1,750.00	3,446.10
	INT: 05.000% MATY: 05/15/2017									
	Rating: AA3/A									

Corporate bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip. Income (annualized)	Ordinary Income/ Capital gain/(loss)
30,000	ALLIED WASTE NORTH A	03/25/11	$ 32,711.10	$ 109.037	107.125	$ 32,137.50	($ 573.60)	ST	6.417	$ 0.00
	DTD 03/12/2007	01958XBS5	$ 32,489.10	$ 108.297	$ 859.37		($ 351.60)	ST	$ 2,062.50	($ 351.60)
	INT: 06.875% MATY: 06/01/2017									
	Rating: BAA3/BBB									
	Next call on 06/02/12 @ 103.438									
45,000	SUSA PARTNERSHIP L P NOTES	11/02/10	55,181.25	122.625	120.032	54,014.40	(1,166.85)	ST	6.831	0.00
	BK/ENT	869049AC0	53,821.35	119.603	1,537.50		193.05	ST	3,690.00	193.05
	INT: 08.200% MATY: 06/01/2017									
	Rating: AA2/AA+									
35,000	NATIONAL CITY BANK	08/06/09	28,875.00	82.50	91.68	32,088.00	3,213.00	LT	.766	1,585.50
	DTD 6/7/2007	63534PAH0	28,875.00	82.50	36.21		3,213.00	LT	245.97	1,627.50
	INT: 00.702% MATY: 06/07/2017									
	Int paid quarterly									
	Rating: A3/A									
35,000	EL PASO CORP	05/06/11	39,025.00	111.50	112.00	39,200.00	175.00	ST	6.25	0.00
	DTD 06/18/2007	28336LBQ1	38,752.35	110.721	925.56		447.65	ST	2,450.00	447.65
	GLOBAL									
	INT. 07.000% MATY: 06/15/2017									
	Rating: BA3/BB-									
30,000	JPMORGAN CHASE & CO	01/14/10	32,314.20	107.714	109.271	32,781.30	467.10	LT		0.00
	DTD 06/27/07	46625HGN4	31,831.50	106.105			949.80	LT		949.80
17,000	INT: 06.125% MATY: 06/27/2017	04/16/10	18,496.34	108.802	109.271	18,576.07	79.73	LT		0.00
	Rating: A1/A		18,218.05	107.165			358.02	LT		358.02
13,000		04/23/10	13,900.25	106.925	109.271	14,205.23	304.98	LT		0.00
			13,735.80	105.66			469.43	LT		469.43
60,000			64,710.79	107.90		65,562.60	851.81		5.605	0.00
			63,785.35	106.30	1,265.83		1,777.25		3,675.00	1,777.25
20,000	COOPER US FIN INC	08/11/09	21,460.20	107.301	118.832	23,766.40	2,306.20	LT		0.00
	DD 6/18/07	216871AC7	21,104.60	105.523			2,661.80	LT		2,661.80
10,000	INT: 06.100% MATY: 07/01/2017	02/04/10	10,956.90	109.569	118.832	11,883.20	926.30	LT		0.00
	Rating: A3/A		10,760.60	107.606			1,122.60	LT		1,122.60
30,000			32,417.10	108.10		35,649.60	3,232.50		5.133	0.00
			31,865.20	106.20	610.00		3,784.40		1,830.00	3,784.40
30,000	CITIGROUP INC NOTES-BK/ENTRY	06/11/09	26,531.40	88.438	108.829	32,648.70	6,117.30	LT		791.40
	DTD 08/15/2007	172967EH0	26,531.40	88.438			6,117.30	LT		5,325.90
10,000	INT: 06.000% MATY: 08/15/2017	03/03/11	10,920.20	109.202	108.829	10,882.90	(37.30)	ST		0.00
	Rating: A3/A		10,838.20	108.382			44.70	ST		44.70
40,000			37,451.60	93.60		43,531.60	6,080.00		5.513	791.40
			37,369.60	93.40	506.67		6,162.00		2,400.00	5,370.60

Corporate bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued interest	Current value	Unrealized Gain/(loss) Original/ Adjusted	Current % Yield/ Anticip. income (annualized)	Ordinary income/ Capital gain/(loss)
35,000	AMERICAN EXPR CENTUR	07/23/09	$ 33,390.00	$ 95.40	114.22	$ 39,977.00	$ 6,587.00 LT		$ 363.30
	DTD 09/13/2007	02581FYE3	$ 33,390.00	$ 95.40			$ 6,587.00 LT		$ 6,223.70
15,000	INT: 06.000% MATY: 09/13/2017	05/07/10	16,173.90	107.826	114.22	17,133.00	959.10 LT		0.00
	Rating: A2/BBB +		15,969.60	106.464			1,163.40 LT		1,163.40
50,000			49,563.90	99.10		57,110.00	7,546.10	5.253	363.30
			49,359.60	98.70	400.00		7,750.40	3,000.00	7,387.10
30,000	BLACKROCK INC	01/27/10	33,002.40	110.008	115.729	34,718.70	1,716.30 LT	5.40	0.00
	DTD 09/17/07	09247XAC5	32,402.70	108.009	239.58		2,316.00 LT	1,875.00	2,316.00
	INT: 06.250% MATY: 09/15/2017								
	Rating: A1/A +								
30,000	CABLEVISION SYSTEMS CORP	05/16/11	34,012.50	113.375	108.50	32,550.00	(1,462.50) ST	7.949	0.00
	INT: 08.625% MATY: 09/15/2017	12686CAY5	33,774.30	112.581	330.62		(1,224.30) ST	2,587.50	(1,224.30)
	Rating: B1/B +								
25,000	FERRELLGAS LP	02/14/11	27,875 00	111.50	106.00	26,500.00	(1,375.00) ST	8.608	0.00
	DTD 04/01/2010	315292AJ1	27,627.25	110.509	190.10		(1,127.25) ST	2,281.25	(1,127.25)
	INT: 09.125% MATY: 10/01/2017								
	Rating: BA3/B +								
	Next call on 10/01/13 @ 104.563								
25,000	RANGE RESOURCES CORP	04/08/11	26,687.50	106.75	106.75	26,687.50	0.00 ST	7.025	0.00
	BK/ENTRY-DTD 09/28/2007	75281AAH2	26,567.25	106.269	156.25		120.25 ST	1,875.00	120.25
	INT: 07.500% MATY: 10/01/2017								
	Rating: BA3/BB								
	Next call on 10/01/12 @ 103.750								
25,000	EATON VANCE CORP	11/18/10	28,948.75	115.795	113.046	28,261.50	(687.25) ST	5.749	0.00
	BOOK/ENTRY	278265AC7	28,467.50	113.87	130.90		(206.00) ST	1,625.00	(206.00)
	DD 10/02/2007								
	INT: 06.500% MATY: 10/02/2017								
	Rating: A3/A-								
25,000	COVIDIEN INTL	03/24/10	27,496.50	109.986	118.952	29,738.00	2,241.50 LT		0.00
	BOOK/ENTRY	22303QAG5	27,036.75	108.147			2,701.25 LT		2,701.25
32,000	DTD 06/16/2008	04/20/10	35,337.28	110.429	118.952	38,064.64	2,727.36 LT		0.00
	INT: 06.000% MATY: 10/15/2017		34,741.44	108.567			3,323.20 LT		3,323.20
57,000	Rating: BAA1/A		62,833.78	110.20		67,802.64	4,968.86	5.044	0.00
			61,778.19	108.40	152.00		6,024.45	3,420.00	6,024.45
22,000	ROCKWELL INTL CORP	11/12/10	25,555.20	116.16	114.86	25,269.20	(286.00) ST	4.919	0.00
	DTD 12/03/2007	773903AD1	25,115.64	114.162	517.92		153.56 ST	1,243.00	153.56
	INT: 05.650% MATY: 12/01/2017								
	Rating: A3/A								

Corporate bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued interest	Current value	Unrealized Gain/(loss) Original/ Adjusted	Current % Yield/ Anticip. Income (annualized)	Ordinary Income/ Capital gain/(loss)
35,000	GOLDMAN SACHS GROUP INC BOOK/ENTRY DTD 01/18/08 INT: 05.950% MATY: 01/18/2018 Rating: A1/A	06/03/09 38141GFG4	$ 34,076.70 $ 34,076.70	$ 97.362 $ 97.362	105.144 $ 595.83	$ 36,800.40	$ 2,723.70 LT $ 2,723.70 LT	5.658 $ 2,082.50	$ 207.20 $ 2,516.50
25,000	NATIONAL RURAL UTIL CORP BOOK/ENTRY DTD 01/23/2008 INT: 05.450% MATY: 02/01/2018 Rating: A1/A+	07/20/09 637432KT1	25,465.25 25,358.25	101.861 101.433	114.005 340.63	28,501.25	3,036.00 LT 3,143.00 LT	4.78 1,362.50	0.00 3,143.00
35,000	CREDIT SUISSE N Y BRH BK/ENTRY DTD 02/20/08 INT: 06.000% MATY: 02/15/2018 Rating: AA2/A	09/08/10 22541HCC4	38,224.55 37,796.85	109.213 107.991	103.017 443.33	36,055.95	(2,168.60) LT (1,740.90) LT	5.824 2,100.00	0.00 (1,740.90)
25,000	BALL CORP DTD 03/27/2006 INT: 06.625% MATY: 03/15/2018 Rating: BA1/BB+ Next call on 11/30/11 @ 103.313	03/16/11 058498AL0	25,875.00 25,835.75	103.50 103.343	102.75 211.63	25,687.50	(187.50) ST (148.25) ST	6.447 1,656.25	0.00 (148.25)
5,000	TYSON FOODS INC NOTES BK/ENTRY DTD 5/1/98 INT: 07.000% MATY: 05/01/2018 Rating: BA2/BB+	05/13/11 902494AF0	5,450.00 5,425.70	109.00 108.514	106.50 175.00	5,325.00	(125.00) ST (100.70) ST	6.572 350.00	0.00 (100.70)
30,000	OWENS ILL INC DTD 05/20/1998	03/22/11 690768BF2	33,075.00 32,865.30	110.25 109.551	107.75	32,325.00	(750.00) ST (540.30) ST		0.00 (540.30)
5,000	INT: 07.800% MATY: 05/15/2018 Rating: B1/BB-	04/20/11	5,500.00 5,470.25	110.00 109.405	107.75	5,387.50	(112.50) ST (82.75) ST		0.00 (82.75)
35,000			38,575.00 38,335.55	110.20 109.50	1,258.83	37,712.50	(862.50) (623.05)	7.238 2,730.00	0.00 (623.05)
225,000	COLLEGE & UNIVERSITY TR TWO DTD 05/12/1988 INT: 04.000% MATY: 06/01/2018 Factor: .17503 Curr.face $ 39,381.75 Rating: AAA/AAA	05/18/10 193906AD6	35,243 81 35,243.81	98.00 98.00	102.375 656.39	40,317.07	5,073.26 LT 5,073.26 LT	3.907 1,575.27	121.69 4,951.57

Corporate bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip. Income (annualized)	Ordinary Income/ Capital gain/(loss)
25,000	IMC GLOBAL INC DTD 08/11/1998 INT: 07.375% MATY: 08/01/2018 Rating: BAA1/BBB	07/20/11 449669CD0	$ 30,194.25 $ 30,021.50	$ 120.777 $ 120.086	124.282 $ 460.94	$ 31,070.50	$ 876.25 $ 1,049.00	ST ST	5.934 $ 1,843.75	$ 0.00 $ 1,049.00
30,000	CATERPILLAR FINANCIA DTD 09/26/2008 INT: 07.050% MATY: 10/01/2018 Rating: A2/A	08/18/10 14912L4D0	37,734.00 36,726.90	125.78 122.423	126.713 182.12	38,013.90	279.90 1,287.00	LT LT	5.563 2,115.00	0.00 1,287.00
30,000	BAKER HUGHES INC NOTES 7.50% DTG 10/28/2008 MTY 11/15/2018 INT: 07.500% MATY: 11/15/2018 Rating: A2/A	04/14/10 057224AY3	35,952.90 35,053.50	119.843 116.845	131.121 1,037.50	39,336.30	3,383.40 4,282.80	LT LT	5.719 2,250.00	0.00 4,282.80
30,000	UNION PAC RR CO DTD 09/25/98	05/28/09 907833AF4	15,940.90 15,374.91	106.692 105.335	111.998	16,347.45	406.55 972.54	LT LT		0.00 972.54
10,000	INT: 06.850% MATY: 01/02/2019 Factor: .48654	06/02/09	5,296.15 5,115.04	106.431 105.131	111.998	5,449.15	153.00 334.11	LT LT		0.00 334.11
10,000	Curr.face $ 24,327.00 Rating: AA3/A+	11/22/10	5,624.41 5,463.94	113.625 112.302	111.998	5,449.15	(175.26) (14.79)	ST ST		0.00 (14.79)
50,000			26,861.46 25,953.89	53.70 51.90	550.84	27,245.75	384.29 1,291.86		6.116 1,666.39	0.00 1,291.86
40,000	BANK OF AMERICA DTD 12/19/2006	06/03/09 060505DB7	33,245.60 33,245.60	83.114 83.114	90.425	36,170.00	2,924.40 2,924 40	LT LT		1,212.80 1,711.60
20,000	INT: 05.490% MATY: 03/15/2019 Rating. BAA2/A-	04/23/10	19,634.00 19,634.00	98.17 98.17	90.425	18,085.00	(1,549.00) (1,549.00)	LT LT		0.00 (1,549.00)
60,000			52,879.60 52,879.60	88.10 88.10	420.90	54,255.00	1,375.40 1,375.40		6.071 3,294.00	1,212.80 162.60
35,000	NOBLE DRILLING CORP DTD 03/16/1999 INT: 07.500% MATY: 03/15/2019 Rating: BAA1/A-	06/25/09 655042AD1	36,471.05 36,200.15	104.203 103.429	125.358 335.42	43,875.30	7,404.25 7,675.15	LT LT	5.982 2,625.00	0.00 7,675.15
20,000	TORCHMARK CORP BOOK/ENTRY DTD 06/30/2009 INT: 09.250% MATY: 06/15/2019 Rating: BAA1/A	08/03/09 891027AP9	21,292.60 21,082.20	106.463 105.411	124.588 698.89	24,917.60	3,625.00 3,835.40	LT LT	7.424 1,850.00	0.00 3,835.40
35,000	MP ENVIRONMENTAL FUND DTD 04/11/2007 INT: 05.232% MATY: 07/15/2019 Rating: AAA/AAA	08/18/10 553214AB3	39,375.00 38,866.10	112.50 111.046	119.483 539.24	41,819.05	2,444.05 2,952.95	LT LT	4.379 1,831.37	0.00 2,952.95

Corporate bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip. Income (annualized)	Ordinary Income/ Capital gain/(loss)
25,000	MAGELLAN MIDSTREAM PARTN	06/19/09	$ 24,913.25	$ 99.653	118.753	**$ 29,688.25**	$ 4,775.00	LT	**5.516**	**$ 0.00**
	BOOK/ENTRY	559080AE6	**$ 24,913.25**	$ 99.653	**$ 482.15**		**$ 4,775.00**	LT	**$ 1,637.50**	**$ 4,775.00**
	DTD 06/26/2009									
	INT: 06.550% MATY: 07/15/2019									
	Rating: BAA2/BBB									
25,000	ROCKWELL COLLINS INC	05/01/09	24,867.75	99.471	115.765	28,941.25	4,073.50	LT		0.00
	DTD 05/06/2009	774341AB7	24,867.75	99.471			4,073.50	LT		4,073.50
15,000	INT: 05.250% MATY: 07/15/2019	04/21/10	16,056.45	107.043	115.765	17,364.75	1,308.30	LT		0.00
	Rating: A1/A		15,910.05	106.067			1,454.70	LT		1,454.70
40,000			40,924.20	102.30		**46,306.00**	5,381.80		**4.535**	**0.00**
			40,777.80	101.90	**618.33**		**5,528.20**		**2,100.00**	**5,528.20**
25,000	RAYMOND JAMES FINANCIAL	08/14/09	24,995.75	99.983	120.068	**30,017.00**	5,021.25	LT	**7.162**	**0.00**
	DTD 08/20/2009	754730AB5	**24,995.75**	99.983	**453.89**		**5,021.25**	LT	**2,150.00**	**5,021.25**
	INT: 08.600% MATY: 08/15/2019									
	Rating: BAA2/BBB									
15,000	ROPER INDUSTRIES INC	08/26/09	14,996.70	99.978	118.493	**17,773.95**	2,777.25	LT	**5.274**	**0.00**
	BOOK ENTRY	776696AC0	**14,996.70**	99.978	**156.25**		**2,777.25**	LT	**937.50**	**2,777.25**
	DTD 09/02/09									
	INT: 06.250% MATY: 09/01/2019									
	Rating: BAA2/BBB-									
20,000	TD AMERITRADE HOLDING CO	11/20/09	19,972.40	99.862	108.257	**21,651.40**	1,679.00	LT	**5.172**	**0.00**
	DTD 11/25/2009	87236YAA6	**19,972.40**	99.862	**466.67**		**1,679.00**	LT	**1,120.00**	**1,679.00**
	INT: 05.600% MATY: 12/01/2019									
	Rating. BAA1/A-									
50,000	AHOLD FINANCE USA IN	02/10/11	41,793.79	111.90	111.375	**41,597.45**	(196.34)	ST	**7.021**	**0.00**
	DTD 07/02/2001	008686AA5	**41,516.40**	111.158	**965.46**		**81.05**	ST	**2,920.69**	**81.05**
	CPN 0.0% TO 7/01 THEN 7.82%									
	INT: 07.820% MATY: 01/02/2020									
	Factor: .74698									
	Curr.face $ 37,349.00									
	Rating: BAA3/BBB									
35,000	ROWAN COMPANIES INC	07/28/09	28,635.20	100.00	104.183	**28,175.25**	(459.95)	LT	**3.383**	**0.00**
	BOOK/ENTRY	779382AL4	**28,635.20**	100.00	**476.65**		**(459.95)**	LT	**953.30**	**(460.16)**
	DTD 08/04/2009									
	INT: 03.525% MATY: 05/01/2020									
	Factor: .77268									
	Curr.face $ 27,043.99									

Corporate bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip. Income (annualized)	Ordinary Income/ Capital gain/(loss)
15,000	PROCTOR & GAMBLE GTD ESOP DEBS	05/27/09	$ 14,297.23	$ 119.00	134.56	$ 15,803.26	$ 1,506.03	LT		$ 0.00
	SER A-BOOK ENTRY-DTD 12/4/1990	742741AA9	$ 13,642.65	$ 116.163			$ 2,160.61	LT		$ 2,160.61
5,000	INT: 09.360% MATY. 01/01/2021	10/22/09	5,074.53	126.50	134.56	5,267.75	193.22	LT		0.00
	Factor: .78296		4,812.70	122.936			455.05	LT		455.05
25,000	Curr.face $ 35,233.20	04/26/10	25,657.40	128.50	134.56	26,338.77	681.37	LT		0.00
	Rating: AA3/AA-		24,554.21	125.443			1,784.56	LT		1,784.56
45,000			45,029.16	100.10		**47,409.78**	2,380.62		**6.955**	0.00
			43,009.56	95.60	**1,099.28**		4,400.22		**3,297.82**	**4,400.22**
35,000	LLOYDS TSB BANK PLC	01/14/11	35,107.45	100.307	107.63	**37,670.60**	2,563.05	ST	**5.923**	0.00
	DTD 01/21/2011	539473AH1	**35,096.95**	100.277	**619.79**		**2,573.55**	ST	**2,231.25**	**2,573.55**
	INT: 06.375% MATY: 01/21/2021									
	Rating: A1/A+									
30,000	KANSAS GAS & ELEC CO	04/08/11	25,058.82	107.125	105.838	**24,638.45**	(420.37)	ST	**5.335**	0.00
	DTD 06/30/2005	485260BH5	**24,864.49**	106.809	**116.85**		**(226.04)**	ST	**1,314.58**	**(226.04)**
	GLOBAL									
	INT: 05.647% MATY: 03/29/2021									
	Factor: .77598									
	Curr.face $ 23,279.40									
	Rating: BAA1/BBB+									
	Next call on 09/29/15 @ 100.000									
25,000	JEFFRIES GROUP SENIOR NOTES	06/23/10	24,750.75	99.003	96.372	**24,093.00**	(657.75)	LT	**7.133**	0.00
	BDS BOOK/ENTRY	472319AH5	**24,750.75**	99.003	**76.39**		**(657.75)**	LT	**1,718.75**	**(657.75)**
	DTD 06/28/2010									
	INT: 06.875% MATY: 04/15/2021									
	Rating: BAA2/BBB									
49,000	LIGHTSHIP TANKERS V LLC	04/23/10	54,511.52	111.248	121.85	**59,706.50**	5,194.98	LT	**5.334**	0.00
	US GOVT GTD SHIP FING BD SER	53226QAA7	**54,098.94**	110.406	**1,212.07**		**5,607.56**	LT	**3,185.00**	**5,607.56**
	DTD 09/30/1998									
	INT: 06.500% MATY: 06/14/2024									
20,000	COLGATE-PALMOLIVE CO MEDIUM	04/30/10	24,981.20	124.906	142.913	**28,582.60**	3,601.40	LT	**5.317**	0.00
	TERM NTS BOOK ENTRY	19416QBX7	**24,640.80**	123.204	**633.33**		**3,941.80**	LT	**1,520.00**	**3,941.80**
	DTD 05/18/1995									
	INT: 07.600% MATY: 05/19/2025									
	Rating: AA3/AA-									
34,000	MATSON NAVIGATION CO	11/18/10	37,245.64	109.546	115.207	**39,170.38**	1,924.74	ST	**4.632**	0.00
	DTD 09/04/2003	576863BB9	**37,131.40**	109.21	**287.31**		**2,038.98**	ST	**1,814.58**	**2,038.98**
	INT: 05.337% MATY: 09/04/2028									

Corporate bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued interest	Current value	Unrealized Gain/(loss) Original/ Adjusted	Current % Yield/ Anticip. Income (annualized)	Ordinary Income/ Capital gain/(loss)
40,000	CVS PASS THROUGH TRUST	03/12/10	$ 34,963.35	$ 99.714	102.358	$ 35,902.71	$ 939.36 LT		$ 0.00
	DTD 12/01/2009	126650BP4	$ 34,963.35	$ 99.714			$ 939.36 LT		$ 939.33
3,000	INT: 06.036% MATY: 12/10/2028	08/04/10	2,754.47	104.272	102.358	2,692.70	(61.77) LT		0.00
	Factor: .87689		2,738.61	104.103			(45.91) LT		(45.91)
43,000	Curr.face $ 37,706.29		37,717.82	87.70		38,595.41	877.59	5.896	0.00
	Int paid monthly		37,701.96	87.70	132.76		893.45	2,275.95	893.42
	Rating: BAA2/BBB +								
25,000	AMERICAN EXPRESS CO	03/16/11	25,375.00	101.50	99.00	24,750.00	(625.00) ST	6.868	0.00
	FIX TO FLT 6.8% TIL 9/16	025816AU3	25,372.75	101.491	283.33		(622.75) ST	1,700.00	(622.75)
	THEN 3 MO LIBOR + 222.75 BP								
	INT: 06.800% MATY: 09/01/2066								
	Rating: BAA2/BB								
	Next call on 09/01/16 @ 100.000								
Total corporate bonds			$ 2,810,082.78		$ 41,075.87	$ 2,918,995.42	($ 4,157.55) ST	5.185	$ 9,886.09
$3,074,000			[illegible]				$ 140,741.92 LT	$ 155,016.91	$ 126,597.44

Government & government sponsored entity (GSE) bonds

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued interest	Current value	Unrealized Gain/(loss) Original/ Adjusted	Current % Yield/ Anticip. Income (annualized)	Ordinary Income/ Capital gain/(loss)
300,000	SMALL BUSINESS ADMIN GTD	06/19/09	$ 28,481.71	$ 103.00	102.821	$ 27,604.35	($ 877.36) LT	5.056	$ 0.00
	PART CTF SER SBIC 2002-P10B	831641DK8	$ 26,984.46	$ 100.512	$ 314.08		$ 619.89 LT	$ 1,395.77	$ 619.89
	CL 1 DTD 8/28/02								
	INT: 05.199% MATY: 08/01/2012								
	Factor: .08949								
	Curr.face $ 26,847.00								
	Int paid quarterly								
110,000	TENNESSEE VALLEY AUTHORITY	07/29/09	118,775.80	107.978	107.386	118,124.60	(651.20) LT	4.423	0.00
	DTD 8/1/03	880591DW9	113,949.00	103.59	1,306.25		4,175.60 LT	5,225.00	4,175.60
	INT: 04.750% MATY: 08/01/2013								
25,000	FEDERAL NATL MTG ASSN DEBS	08/10/09	26,038.00	104.152	108.802	27,200.50	1,162.50 LT	4.71	0.00
	BK/ENTRY	31359MTP8	25,535.25	102.141	423.52		1,665.25 LT	1,281.25	1,665.25
	DTD 11/06/2003								
	INT: 05.125% MATY: 01/02/2014								

Government & government sponsored entity (GSE) bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted	Current % Yield/ Anticip. Income (annualized)	Ordinary Income/ Capital gain/(loss)
100,000	SMALL BUSINESS ADMINISTRATION SER 2006-P10A CL 1 INT: 05.408% MATY: 02/10/2016 Factor: .24565 Curr.face $ 24,565.00 Int paid quarterly	08/10/10 831641EA9	$ 28,060.74 $ 26,208.40	$ 108.406 $ 106.69	108.791	$ 26,724.51	($ 1,336.23) LT $ 516.11 LT	4.97 $ 1,328.47	$ 0.00 $ 516.11
1,000,000	SMALL BUSINESS ADMINISTRATION SER 96-F DTD 06/12/1996 INT: 07.550% MATY: 06/01/2016 Factor: .04649 Curr.face $ 46,490.00 Next call on 12/01/11 @ 100.000	07/21/09 83162CGU1	51,519.09 48,814.96	107.00 105.001	108.011 1,462.66	50,214.31	(1,304.78) LT 1,399.35 LT	6.99 3,509.99	0.00 1,399.35
500,000	SMALL BUSINESS ADMINISTRATION SER 96-H DTD 08/14/1996 INT: 07.250% MATY: 08/01/2016 Factor: .05234 Curr.face $ 26,170.00 Next call on 02/01/12 @ 100.000	07/21/09 83162CGX5	37,298.39 27,207.12	105.50 103.963	108.302 474.40	28,342.63	(8,955.76) LT 1,135.51 LT	6.694 1,897.32	0.00 1,135.51
100,000	OVERSEAS PRIVATE INVT CORP U S GOVT GTD CTF DTD 10/15/2000 INT: 03.620% MATY: 09/15/2016 Factor: .24700 Curr.face $ 24,700.00	12/15/09 690353MM6	22,840.16 25,455.57	104.121 103.059	107.154 114.25	26,467.04	3,626.88 LT 1,011.47 LT	3.378 894.14	0.00 1,011.47
35,000	SMALL BUSINESS ADMINISTRATION DTD 07/011/2007 INT: 05.590% MATY: 07/01/2017 Factor: .55548 Curr.face $ 19,441.80 Int paid monthly	07/02/09 83162CRC9	20,985.25 20,213.64	105.376 103.97	107.364 90.57	20,873.49	(111.76) LT 659.85 LT	5.206 1,086.79	0.00 659.85
50,000	ROWAN COS INC US GOVT SHIP FIN OBLIG BK/ENTRY	06/08/09 779382AJ9	27,856.63 27,856.63	98.00 98.00	107.626	28,827.80	971.17 LT 971.17 LT		0.00 970.99
35,000	DD 6/15/05 INT: 04.330% MATY: 05/01/2019	07/25/11	21,469.62 20,008.34	107.35 106.714	107.626	20,179.46	(1,290.16) ST 171.12 ST		0.00 171.00
85,000	Factor: .53570 Curr.face $ 45,534.78		49,326.25 47,864.97	58.00 56.30	985.83	49,007.26	(318.99) 1,142.29	4.023 1,971.66	0.00 1,141.99
110,000	FEDERAL HOME LOAN BANK CONS BONDS-BK/ENTRY DTD 08/10/2004 INT: 05.125% MATY: 08/15/2019	07/29/09 3133X8AS1	116,671.50 115,409.80	106.065 104.918	119.858 1,190.14	131,843.80	15,172.30 LT 16,434.00 LT	4.275 5,637.50	0.00 16,434.00

Government & government sponsored entity (GSE) bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted	Current % Yield/ Anticip. Income (annualized)	Ordinary Income/ Capital gain/(loss)
100,000	SMALL BUSINESS ADMINISTRATION SER 20A DTD 01/07/2000 INT: 07.590% MATY: 01/01/2020 Factor: .08654 Curr.face $ 8,654.46 Next call on 11/01/11 @ 100.000	05/28/09 83162CKM4	$ 10,115.12 $ 9,155.41	$ 107.00 $ 105.794	112.831 $ 218.96	$ 9,764.91	($ 350.21) LT $ 609.50 LT	6.726 $ 656.87	$ 0.00 $ 608.98
145,000	U S TREASURY NOTES SER E-2020 DTD 08/16/2010 INT: 02.625% MATY: 08/15/2020	10/28/10 912828NT3	144,365.63 144,365.63	99.562 99.562	105.211 806.76	152,555.95	8,190.32 LT 8,190.32 LT	2.494 3,806.25	0.00 8,190.32
160,000	SMALL BUSINESS ADMIN SER 2000 20K CL 1 DTD 11/15/2000 INT: 07.220% MATY: 11/01/2020 Factor: .15811 Curr.face $ 25,297.60	06/08/09 83162CLC5	28,021.11 26,732.73	107.00 105.673	111.145 913.27	28,117.02	95.91 LT 1,384.29 LT	6.496 1,826.48	0.00 1,384.29
80,000	SMALL BUSINESS ADMIN GTD DEV DEB SER 2002-20 A INT: 06.140% MATY: 01/01/2022 Factor: .30971 Curr.face $ 24,776.80 Next call on 01/01/12 @ 100.614	07/02/09 83162CLZ4	26,932.09 26,108.80	106.25 105.376	110.787 507.11	27,449.47	517.38 LT 1,340.67 LT	5.542 1,521.29	0.00 1,340.67
80,000	SMALL BUSINESS ADMIN GTD DEV DTD 07/10/2002 INT: 05.660% MATY: 07/01/2022 Factor: .34714 Curr.face $ 27,771.20	07/22/09 83162CMJ9	29,656.23 29,006.19	105.125 104.447	109.793 523.96	30,490.83	834.60 LT 1,484.64 LT	5.155 1,571.84	0.00 1,484.64
50,000	SMALL BUSINESS ADMIN PARTN CTF SER 2004-20B CL 1 INT: 04.720% MATY: 02/01/2024 Factor: .43550 Curr.face $ 21,775.00	04/29/09 83162CNN9	21,997.92 21,917.63	100.75 100.655	108.369 256.95	23,597.35	1,599.43 LT 1,679.72 LT	4.355 1,027.78	0.00 1,679.72
35,000	SMALL BUSINESS ADMINISTRATION SER 2005-20G CLASS 1	04/30/09 83162CPR8	19,964.67 19,678.74	103.00 102.664	108.806	20,856.04	891.37 LT 1,177.30 LT		0.00 1,177.30
10,000	REG DTD 7/13/05 INT: 04.750% MATY: 07/01/2025	05/12/09	5,780.04 5,671.24	104.00 103.554	108.806	5,958.87	178.83 LT 287.63 LT		0.00 287.63
45,000	Factor: .54766 Curr.face $ 24,644.70		25,744.71 25,349.98	57.20 56.30	390.21	26,814.91	1,070.20 1,464.93	4.365 1,170.62	0.00 1,464.93

Government & government sponsored entity (GSE) bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip. Income (annualized)	Ordinary Income/ Capital gain/(loss)
35,000	SMALL BUSINESS ADMINISTRATION INT: 05.640% MATY: 04/01/2026 Factor: .64370 Curr.face $ 22,529.50	12/23/09 83162CQE6	$ 20,368.71 $ 24,089.22	$ 107.50 $ 106.923	111.951 $ 105.89	$ 25,222.00	$ 4,853.29 $ 1,132.78	LT LT	5.037 $ 1,270.66	$ 0.00 $ 1,132.78
35,000	SMALL BUSINESS ADMIN SER 2006 20 G CL 1 INT: 06.070% MATY: 07/01/2026 Factor: .58726 Curr.face $ 20,554.10	04/29/10 83162CQK2	22,238.32 21,850.86	106.718 106.309	112.507 415.88	23,124.80	886.48 1,273.94	LT LT	5.395 1,247.63	0.00 1,273.94
30,000	SMALL BUSINESS ADMIN GTD DEV 2007-20 L PARTN CTF INT: 05.290% MATY: 12/01/2027 Factor: .78124 Curr.face $ 23,437.20	02/04/10 83162CRL9	21,401.12 24,828.67	106.343 105.937	110.358	25,864.83	4,463.71 1,036.16	LT LT		0.00 1,036.16
Total government & government sponsored entity (GSE) bonds [illegible]			$ 850,837.85		$ 10,500.89	$ 879,404.58	$ 171.12 $ 48,185.15	ST LT	4.35 $ 38,327.30	$ [illegible] $ 48,355.45

Municipal bonds

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip. Income (annualized)	Ordinary Income/ Capital gain/(loss)
35,000	OHIO ST MAJOR NEW ST TXBLE B/E REV DD 5/25/10 F/C 12/15/10 INT: 03.643% MATY: 06/15/2016 Rating: AA1/AA	05/19/10 677581DR8	$ 35,000.00 $ 35,000.00	$ 100.00 $ 100.00	107.911 $ 481.69	$ 37,768.85	$ 2,768.85 $ 2,768.85	LT LT	3.375 $ 1,275.05	$ 0.00 $ 2,768.85
Total municipal bonds 35,000			$ 35,000.00		$ 481.69	$ 37,768.85	$ 0.00 $ 2,768.85	ST LT	3.37 $ 1,275.05	$ 0.00 $ 2,768.85
[illegible]							($ 6,807.05) $ 240,123.31	ST LT	4.9[illegible] $ 308,427.94	$ 9,988.09 $ 189,733.14

Common stocks & options

Equity Weighting by Economic Sector

The "Equity Weighting by Economic Sector" represents the common stocks in your account. It does not include convertible preferred or other types of equity securities that you may own. Citi Investment Research & Analysis (CIRA) Sector recommendations are intended for capital appreciation oriented investors seeking to outperform the S&P 500. These Sector allocation recommendations may not be appropriate for all investors. Past performance is no guarantee of future results.

Sector	% of assets	Citi Sector weight
Energy	10.52	11.80
Industrials	12.07	8.70
Consumer staples	3.74	13.20
Financials	28.79	15.80
Utilities	11.34	3.60

Sector	% of assets	Citi Sector weight
Materials	6.83	2.80
Consumer discretionary	10.04	9.50
Health Care	9.19	9.00
Information technology	7.47	22.00

Energy

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
154	ATWOOD OCEANICS INC	ATW	08/02/09	$ 4,445.21	$ 28.865	$ 42.74	$ 6,581.96	$ 2,136.75	LT	48.07		
988			07/23/10	27,059.34	27.388	42.74	42,227.12	15,167.78	LT	56.05		
26			10/05/11	865.28	33.28	42.74	1,111.24	245.96	ST	28.43		
1,168				32,369.83	27.714		49,920.32	17,550.49		54.22		
795	ENERGEN CORP	EGN	09/28/09	34,659.54	43.596	49.06	39,002.70	4,343.16	LT	12.53		
19			10/05/11	795.15	41.85	49.06	932.14	136.99	ST	17.23		
814				35,454.69	43.556		39,934.84	4,480.15		12.64	1.10	439.56

Energy *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
644	OIL STATES INTERNATIONAL INC	OIS	07/08/10	$ 26,436.13	$ 41.049	$ 69.61	$ 44,828.84	$ 18,392.71	LT	69.57		
15			10/05/11	757.65	50.51	69.61	1,044.15	286.50	ST	37.81		
659				27,193.78	41.265		45,872.99	18,679.21		68.69		
995	ULTRA PETROLEUM CORP-CAD	UPL	08/24/10	38,032.28	38.223	31.86	31,700.70	(6,331.58)	LT	(16.65)		
22			10/05/11	605.00	27.50	31.86	700.92	95.92	ST	15.85		
1,017				38,637.28	37.991		32,401.62	(6,235.66)		(16.14)		
1,815	VALERO ENERGY CORP-NEW	VLO	08/21/09	33,628.33	18.528	24.60	44,649.00	11,020.67	LT	32.77		
41			10/05/11	780.23	19.03	24.60	1,008.60	228.37	ST	29.27		
1,856				34,408.56	18.539		45,657.60	11,249.04		32.69	2.439	1,113.60
665	WHITING PETROLEUM CORP NEW	WLL	06/30/11	37,616.66	56.566	46.55	30,955.75	(6,660.91)	ST	(17.71)		
15			10/05/11	518.10	34.54	46.55	698.25	180.15	ST	34.77		
680				38,134.76	56.081		31,654.00	(6,480.76)		(16.99)		
Subtotal for energy				$ 206,198.90			$ 245,441.37	$ 39,242.47			.63	
												$ 1,553.16

Materials

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
16	CF INDUSTRIES HOLDINGS INC	CF	03/31/10	$ 1,466.65	$ 91.665	$ 162.27	$ 2,596.32	$ 1,129.67	LT	77.02		
163			05/07/10	12,287.79	75.385	162.27	26,450.01	14,162.22	LT	115.25		
4			10/05/11	528.44	132.11	162.27	649.08	120.64	ST	22.83		
183				14,282.88	78.049		29,695.41	15,412.53		107.91	.986	292.80
299	FMC CORP-NEW	FMC	05/28/09	15,757.30	52.70	78.89	23,588.11	7,830.81	LT	49.70		
7			10/05/11	478.94	68.42	78.89	552.23	73.29	ST	15.30		
306				16,236.24	53.06		24,140.34	7,904.10		48.68	.76	183.60
2,431	STEEL DYNAMICS INC	STLD	06/17/11	36,493.20	15.011	12.49	30,363.19	(6,130.01)	ST	(16.80)		
2,086			10/04/11	20,007.66	9.591	12.49	26,054.14	6,046.48	ST	30.22		
110			10/05/11	1,142.56	10.386	12.49	1,373.90	231.34	ST	20.25		
4,627				57,643.42	12.458		57,791.23	147.81		.26	3.202	1,850.80
1,334	VALSPAR CORP	VAL	01/07/10	37,889.07	28.402	34.87	46,516.58	8,627.51	LT	22.77		
31			10/05/11	980.22	31.62	34.87	1,080.97	100.75	ST	10.28		
1,365				38,869.29	28.476		47,597.55	8,728.26		22.46	2.064	882.80
Subtotal for materials				$ 127,031.83			$ 159,224.53	$ 32,192.70			2.07	
												$ 3,310.00

Industrials

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
999	AGCO CORP	AGCO	05/13/11	$ 50,957.20	$ 51.008	$ 43.83	$ 43,786.17	($ 7,171.03)	ST	(14.07)		
322			10/04/11	9,768.35	30.336	43.83	14,113.26	4,344.91	ST	44.48		
33			10/05/11	1,090.98	33.06	43.83	1,446.39	355.41	ST	32.58		
1,354				**61,816.53**	**45.655**		**59,345.82**	**(2,470.71)**		(4.00)		
1,301	KENNAMETAL INC	KMT	04/14/11	51,084.02	39.265	38.89	50,595.89	(488.13)	ST	(.96)		
30			10/05/11	954.90	31.83	38.89	1,166.70	211.80	ST	22.18		
1,331				**52,038.92**	**39.098**		**51,762.59**	**(276.33)**		(.53)	**1.439**	**745.36**
340	L 3 COMMUNICATIONS HLDGS INC	LLL	05/28/09	24,806.06	72.959	67.78	23,045.20	(1,760.86)	LT	(7.10)		
8			10/05/11	504.80	63.10	67.78	542.24	37.44	ST	7.42		
348				**25,310.86**	**72.732**		**23,587.44**	**(1,723.42)**		(6.81)	**2.655**	**626.40**
505	LINCOLN ELECTRIC CO HOLDINGS INC (NEW)	LECO	07/30/09	10,869.70	21 524	36.40	18,382.00	7,512.30	LT	69.11		
536			08/10/10	14,858.78	27.721	36.40	19,510.40	4,651.62	LT	31.31		
25			10/05/11	730 50	29.22	36.40	910.00	179.50	ST	24.57		
1,066				**26,458.98**	**24.821**		**38,802.40**	**12,343.42**		46.65	**1.703**	**660.92**
1,331	NAVISTAR INTL CORP NEW	NAV	10/04/11	41,110.06	30.886	42 07	55,995.17	14,885.11	ST	36.21		
32			10/05/11	1,043.84	32.62	42.07	1,346.24	302.40	ST	28.97		
1,363				**42,153.90**	**30.927**		**57,341.41**	**15,187.51**		36.03		
278	REGAL-BELOIT CORP	RBC	10/01/10	16,377.26	58.911	53.13	14,770.14	(1,607.12)	LT	(9.81)		
275			08/02/11	16,336.38	59.405	53.13	14,610.75	(1,725.63)	ST	(10.56)		
382			10/04/11	16,342.92	42.782	53.13	20,295.66	3,952.74	ST	24.19		
22			10/05/11	1,015.52	46.16	53.13	1,168.86	153.34	ST	15.10		
957				**50,072.08**	**52.322**		**50,845.41**	**773.33**		1.54	**1.355**	**689.04**
Subtotal for Industrials				**$ 257,851.27**			**$ 281,685.07**	**$ 23,833.80**			**.96**	**$ 2,721.72**

Consumer discretionary

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
704	ADVANCE AUTO PARTS INC	AAP	01/06/10	$ 28,572.54	$ 40.586	$ 65.07	$ 45,809.28	$ 17,236.74	LT	60.33		
16			10/05/11	924.00	57.75	65.07	1,041.12	117.12	ST	12.68		
720				**29,496.54**	**40.967**		**46,850.40**	**17,353.86**		58.83	**.368**	**172.80**
708	DARDEN RESTAURANTS INC	DRI	12/04/08	13,346.22	18.81	47.88	33,899.04	20,552.82	LT	154.00		
16			10/05/11	675.84	42.24	47.88	766.08	90.24	ST	13.35		
724				**14,022.06**	**19.367**		**34,665.12**	**20,643.06**		147.22	**3.592**	**1,245.28**

Consumer discretionary *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
1,632	GILDAN ACTIVEWEAR INC	GIL	10/22/10	$ 43,051.50	$ 26.379	$ 25.78	$ 42,072.96	($ 978.54)	LT	(2.27)		
38			10/05/11	940.50	24.75	25.78	979.64	39.14	ST	4.16		
1,670				**43,992.00**	**26.343**		**43,052.60**	**(939.40)**		(2.14)	**1.163**	**501.00**
996	HARMAN INTL INDS INC NEW	HAR	04/30/10	38,294.60	38.448	43.16	42,987.36	4,692.76	LT	12.25		
113			06/03/10	3,821.51	33.818	43.16	4,877.08	1,055.57	LT	27.62		
212			08/06/10	6,365.41	30.025	43.16	9,149.92	2,784.51	LT	43.74		
31			10/05/11	882.57	28.47	43.16	1,337.96	455.39	ST	51.60		
1,352				**49,364.09**	**36.512**		**58,352.32**	**8,988.23**		18.21	**.695**	**405.60**
1,063	WMS INDUSTRIES INC	WMS	10/21/10	43,170.98	40.612	21.91	23,290.33	(19,880.65)	LT	(46.05)		
1,223			09/23/11	22,188.77	18.142	21.91	26,795.93	4,607.16	ST	20.76		
57			10/05/11	1,050.51	18.43	21.91	1,248.87	198.36	ST	18.88		
2,343				**66,410.26**	**28.344**		**51,335.13**	**(15,075.13)**		(22.70)		
Subtotal for consumer discretionary				**$ 203,284.95**			**$ 234,255.57**	**$ 30,970.62**			**.99**	**$ 2,324.68**

Consumer staples

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
51	BUNGE LIMITED	BG	06/18/09	$ 3,144.92	$ 61.665	$ 61.77	$ 3,150.27	$ 5.35	LT	.17		
426			06/25/09	25,644.14	60.197	61.77	26,314.02	669.88	LT	2.61		
11			10/05/11	619.08	56.28	61.77	679.47	60.39	ST	9.75		
488				**29,408.14**	**60.263**		**30,143.76**	**735.62**		2.50	**1.618**	**488.00**
1,895	HORMEL FOODS CORP	HRL	09/25/09	34,206.74	18.051	29.47	55,845.65	21,638.91	LT	63.26		
43			10/05/11	1,172.18	27.26	29.47	1,267.21	95.03	ST	8.11		
1,938				**35,378.92**	**18.255**		**57,112.86**	**21,733.94**		61.43	**1.73**	**988.38**
Subtotal for consumer staples				**$ 64,787.06**			**$ 87,256.62**	**$ 22,469.56**			**1.69**	**$ 1,476.38**

Health care

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
1,213	FOREST LABORATORIES INC	FRX	05/28/09	$ 28,051.83	$ 23.126	$ 31.30	$ 37,966.90	$ 9,915.07	LT	35.35		
28			10/05/11	866.60	30.95	31.30	876.40	9.80	ST	1.13		
1,241				**28,918.43**	**23.303**		**38,843.30**	**9,924.87**		34.32		

Health care *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
2,458	MYLAN INC	MYL	10/31/11	**$ 48,152.22**	$ 19.59	$ 19.57	**$ 48,103.06**	**($ 49.16)**	ST	(.10)		
969	PATTERSON COMPANIES INC	PDCO	05/28/09	19,694.45	20.324	31.47	30,494.43	10,799.98	LT	54.84		
22			10/05/11	621.50	28.25	31.47	692.34	70.84	ST	11.40		
991				**20,315.95**	**20.50**		**31,186.77**	**10,870.82**		53.51	**1.526**	**475.68**
74	ST JUDE MEDICAL INC	STJ	10/06/09	2,509.18	33.907	39.00	2,886.00	376.82	LT	15.02		
499			12/30/09	18,437.05	36.948	39.00	19,461.00	1,023.95	LT	5.55		
517			07/23/10	18,750.56	36.268	39.00	20,163.00	1,412.44	LT	7.53		
24			10/05/11	871.68	36.32	39.00	936.00	64.32	ST	7.38		
1,114				**40,568.47**	**36.417**		**43,446.00**	**2,877.53**		7.09	**2.153**	**935.76**
309	WATERS CORP	WAT	05/28/09	13,579.41	43.946	80.12	24,757.08	11,177.67	LT	82.31		
7			10/05/11	529.48	75.64	80.12	560.84	31.36	ST	5.92		
316				**14,108.89**	**44.648**		**25,317.92**	**11,209.03**		79.45		
512	ZIMMER HOLDINGS INC	ZMH	05/28/09	22,522.72	43.989	52.63	26,946.56	4,423.84	LT	19.64		
12			10/05/11	618.36	51.53	52.63	631.56	13.20	ST	2.13		
524				**23,141.08**	**44.162**		**27,578.12**	**4,437.04**		19.17		
Subtotal for health care				**$ 175,205.04**			**$ 214,475.17**	**$ 39,270.13**			**.65**	**$ 1,411.44**

Financials

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
1,391	INVESCO LTD	IVZ	05/28/09	$ 21,012.45	$ 15.106	$ 20.07	$ 27,917.37	$ 6,904.92	LT	32.86		
36			10/05/11	569.16	15.81	20.07	722.52	153.36	ST	26.94		
1,427				**21,581.61**	**15.124**		**28,639.89**	**7,058.28**		32.71	**2.441**	**699.23**
937	ALLIED WORLD ASSURANCE CO HLDGS	AWH	05/07/10	40,534.33	43.259	58.10	54,439.70	13,905.37	LT	34.31		
22			10/05/11	1,184.70	53.85	58.10	1,278.20	93.50	ST	7.89		
959				**41,719.03**	**43.503**		**55,717.90**	**13,998.87**		33.56	**2.581**	**1,438.50**
1,075	AMERIPRISE FINANCIAL INC	AMP	05/28/09	31,321.84	29.136	46.68	50,181.00	18,859.16	LT	60.21		
24			10/05/11	915.36	38.14	46.68	1,120.32	204.96	ST	22.39		
1,099				**32,237.20**	**29.333**		**51,301.32**	**19,064.12**		59.14	**1.97**	**1,011.08**
537	BOSTON PROPERTIES INC	BXP	04/12/10	41,659.55	77.578	98.99	53,157.63	11,498.08	LT	27.60		
12			10/05/11	979.80	81.65	98.99	1,187.88	208.08	ST	21.24		
549				**42,639.35**	**77.667**		**54,345.51**	**11,706.16**		27.45	**2.02**	**1,098.00**

Financials *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
774	CAMDEN PROPERTY TRUST SBI	CPT	08/09/11	$ 44,349.04	$ 57.298	$ 60.64	$ 46,935.36	$ 2,586.32	ST	5.83		
17			10/05/11	891.82	52.46	60.64	1,030.88	139.06	ST	15.59		
791				**45,240.86**	**57.195**		**47,966.24**	**2,725.38**		6.02	**3.232**	**1,550.36**
1,249	COMERICA INC	CMA	05/28/09	25,901.76	20.738	25.55	31,911.95	6,010.19	LT	23.20		
378			08/12/11	9,626.90	25.468	25.55	9,657.90	31.00	ST	.32		
1			08/12/11	24.70	24.70	25.55	25.55	.85	ST	3.44		
37			10/05/11	854.33	23.09	25.55	945.35	91.02	ST	10.65		
1,665				**36,407.69**	**21.866**		**42,540.75**	**6,133.06**		16.85	**1.565**	**666.00**
888	DIGITAL REALTY TR INC	DLR	01/28/11	48,091.07	54.156	62.33	55,349.04	7,257.97	ST	15.09		
20			10/05/11	1,055.60	52.78	62.33	1,246.60	191.00	ST	18.09		
908				**49,146.67**	**54.126**		**56,595.64**	**7,448.97**		15.16	**4.363**	**2,469.76**
3,952	FIFTH THIRD BANCORP	FITB	07/21/11	50,585.60	12.80	12.01	47,463.52	(3,122.08)	ST	(6.17)		
90			10/05/11	922.50	10.25	12.01	1,080.90	158.40	ST	17.17		
4,042				**51,508.10**	**12.743**		**48,544.42**	**(2,963.68)**		(5.75)	**2.684**	**1,293.44**
1,850	GENWORTH FINL INC CLASS A	GNW	04/28/10	32,656.75	17.652	6.38	11,803.00	(20,853.75)	LT	(63.86)		
641			06/24/10	8,927.08	13.926	6.38	4,089.58	(4,837.50)	LT	(54.19)		
761			08/19/10	9,123.10	11.988	6.38	4,855.18	(4,267.92)	LT	(46.78)		
74			10/05/11	379.62	5.13	6.38	472.12	92.50	ST	24.37		
3,326				**51,086.55**	**15.36**		**21,219.88**	**(29,866.67)**		(58.46)		
736	HEALTH CARE REIT INC	HCN	05/28/09	22,971.29 R	33.195	52.69	38,779.84	15,808.55	LT	68.82		
16			10/05/11	717.76	44.86	52.69	843.04	125.28	ST	17.45		
752				**23,689.05**	**31.501**		**39,622.88**	**15,933.83**		67.26	**5.427**	**2,150.72**
2,740	HOST HOTELS & RESORTS INC	HST	05/28/09	24,325.45	8.877	14.27	39,099.80	14,774.35	LT	60.74		
139			11/06/09	1,453.66	10.458	14.27	1,983.53	529.87	LT	36.45		
66			10/05/11	673.86	10.21	14.27	941.82	267.96	ST	39.76		
2,945				**26,452.97**	**8.982**		**42,025.15**	**15,572.18**		58.87	**1.121**	**471.20**
5,010	HUNTINGTON BANCSHARES INC	HBAN	01/28/10	25,146.19	5.019	5.18	25,951.80	805.61	LT	3.20		
4,831			08/08/11	24,395.58	5.049	5.18	25,024.58	629.00	ST	2.58		
224			10/05/11	1,079.19	4.817	5.18	1,160.32	81.13	ST	7.52		
10,065				**50,620.96**	**5.029**		**52,136.70**	**1,515.74**		2.99	**3.088**	**1,610.40**
810	REINSURANCE GROUP OF AMERICA	RGA	05/28/09	29,078.91	35.899	52.23	42,306.30	13,227.39	LT	45.49		
18			10/05/11	847.98	47.11	52.23	940.14	92.16	ST	10.87		
828				**29,926.89**	**36.144**		**43,246.44**	**13,319.55**		44.51	**1.378**	**596.16**

Financials *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
1,238	TORCHMARK CORP	TMK	01/14/10	$ 40,226.95	$ 31.087	$ 40.93	$ 50,671.34	$ 10,444.39	LT	25.96		
28			10/05/11	973.28	34.76	40.93	1,146.04	172.76	ST	17.75		
1,266				**41,200.23**	**32.544**		**51,817.38**	**10,617.15**		25.77	1.172	607.68
593	ZIONS BANCORP	ZION	01/07/10	10,014.00	16.887	17.36	10,294.48	280.48	LT	2.80		
1,436			11/15/10	31,592.00	22.00	17.36	24,928.96	(6,663.04)	ST	(21.09)		
46			10/05/11	658.72	14.32	17.36	798.56	139.84	ST	21.23		
2,075				**42,264.72**	**20.389**		**38,022.00**	**(6,242.72)**		(14.77)	.23	83.00
Subtotal for financials				**$ 585,721.88**			**$ 671,742.10**	**$ 86,020.22**			2.34	**$ 15,745.53**

Information technology

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
1,208	MARVELL TECHNOLOGY GROUP LTD-USD	MRVL	12/02/09	$ 19,760.46	$ 16.358	$ 13.99	$ 16,899.92	($ 2,860.54)	LT	(14.48)		
903			01/21/10	18,402.06	20.378	13.99	12,632.97	(5,769.09)	LT	(31.35)		
48			10/05/11	701.76	14.62	13.99	671.52	(30.24)	ST	(4.31)		
2,159				**38,864.28**	**18.001**		**30,204.41**	**(8,659.87)**		(22.28)		
952	AMPHENOL CORP CLASS A	APH	08/11/11	43,316.67	45.50	47.49	45,210.48	1,893.81	ST	4.37		
21			10/05/11	866.04	41.24	47.49	997.29	131.25	ST	15.16		
973				**44,182.71**	**45.409**		**46,207.77**	**2,025.06**		4.58	.126	58.38
6,367	ON SEMICONDUCTOR CORP	ONNN	10/31/11	**48,153.62**	7.563	7.57	**48,198.19**	**44.57**	ST	.09		
1,200	TRIMBLE NAVIGATION LTD	TRMB	05/28/09	22,510.20	18.758	40.41	48,492.00	25,981.80	LT	115.42		
28			10/05/11	963.20	34.40	40.41	1,131.48	168.28	ST	17.47		
1,228				**23,473.40**	**19.115**		**49,623.48**	**26,150.08**		111.40		
Subtotal for information technology				**$ 154,674.01**			**$ 174,233.85**	**$ 19,559.84**			.03	**$ 58.38**

Utilities

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
2,899	CENTERPOINT ENERGY INC	CNP	05/28/09	$ 29,247.14	$ 10.088	$ 20.84	$ 60,415.16	$ 31,168.02	LT	106.57		
66			10/05/11	1,285.68	19.48	20.84	1,375.44	89.76	ST	6.98		
2,965				**30,532.82**	**10.298**		**61,790.60**	**31,257.78**		102.37	3.79	2,342.35

Private Wealth Management
Financial Management Account

October 1 - October 31, 2011

FESTUS & HELEN STACY FND INC **Account number 16J-11466-13 503**

Utilities *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
2,965	QUESTAR CORP	STR	04/27/11	$ 51,446.60	$ 17.351	$ 19.27	$ 57,135.55	$ 5,688.95	ST	11.06		
68			10/05/11	1,205.64	17.73	19.27	1,310.36	104.72	ST	8.69		
3,033				52,652.24	17.36		58,445.91	5,793.67		11.00	3.165	1,850.13
1,235	UGI CORP NEW	UGI	02/18/10	31,280.70	25.328	28.67	35,407.45	4,126.75	LT	13.19		
331			05/06/10	8,867.46	26.789	28.67	9,489.77	622.31	LT	7.02		
36			10/05/11	923.04	25.64	28.67	1,032.12	109.08	ST	11.82		
1,602				41,071.20	25.637		45,929.34	4,858.14		11.83	3.627	1,666.08
613	WESTAR ENERGY INC	WR	02/28/11	15,932.91	25.991	27.26	16,710.38	777.47	ST	4.88		
1,296			08/02/11	33,453.78	25.813	27.26	35,328.96	1,875.18	ST	5.61		
44			10/05/11	1,127.72	25.63	27.26	1,199.44	71.72	ST	6.36		
1,953				50,514.41	25.865		53,238.78	2,724.37		5.39	4.695	2,499.84
1,710	XCEL ENERGY INC	XEL	06/28/09	29,679.61	17.356	25.85	44,203.50	14,523.89	LT	48.94		
38			10/05/11	904.78	23.81	25.85	982.30	77.52	ST	8.57		
1,748				30,584.39	17.497		45,185.80	14,601.41		47.74	4.023	1,817.92
Subtotal for utilities				$ 205,355.06			$ 284,590.43	$ 59,235.37			3.84	$ 10,176.32
Total common stocks				$ 1,980,110.00			$ 2,332,904.71	$ 29,729.62	ST	17.82	1.66	
	less basis adjustment			(2,398.93)				$ 323,065.09	LT			$ 38,777.61
				1,977,771.07				$ 29,729.62	ST		1.66	
								$ 323,065.09	LT			$ 38,777.61
								$ 29,729.62	ST		[illegible]	$ 38,784.27
								$ 323,065.09	LT			

Mortgage and asset backed securities

Current Value is calculated as follows: Original Principal Amount x Factor x Price = Current Value

Original principal amount	Description	Date acquired/ CUSIP #	Cost	Share cost	Current share price/accrued interest	Current value	Unrealized gain/(loss)	Current % yield	Anticipated Income (annualized)
63,000	FNMA PL#AE0115 DTD 08/01/2010 INT: 05.500% MATY: 12/01/2035 FACTOR: .68663821 Curr.face $ 43,258.21 Int paid monthly	04/19/11 31419ADV6	$ 47,918.91	$ 107.839	$ 109.358 $ 198.27	$ 47,306.31	($ 612.60) ST	5.029%	$ 2,379.20
11,000	FNMA PL#745275 DTD 01/01/2006 INT: 05.000% MATY: 02/01/2036 FACTOR: .38478994 Curr.face $ 20,009.08 Int paid monthly	07/10/09 31403C6L0	4,542.66	102.984	107.828	4,564.02	21.36 LT		
29,000		09/09/09	11,989.31	103.14	107.828	12,032.43	43.12 LT		
3,000		09/10/09	1,246.02	103.421	107.828	1,244.73	(1.29) LT		
9,000		01/11/10	3,718.30	103.296	107.828	3,734.20	15.90 LT		
52,000			21,496.29	41.30	83.37	21,575.38	79.09	4.637	1,000.45
12,000	FNMA PL#745418 DTD 03/01/2006 INT: 05.500% MATY: 04/01/2036 FACTOR: .32896002 Curr.face $ 3,947.52 Int paid monthly	09/09/11 31403DDX4	4,339.66	109.718	109.125 18.09	4,307.73	(31.93) ST	5.04	217.11
7,000	FNMA PL#972295 DTD 02/01/2008 INT: 05.500% MATY: 02/01/2038 FACTOR: .24201949 Curr.face $ 1,694.14 Int paid monthly·	06/09/11 31414PUU1	1,861.02	108.734	108.609 7.76	1,839.98	(21.04) ST	5.064	93.17

Mortgage and asset backed securities *continued*

Original principal amount	Description	Date acquired/ CUSIP #	Cost	Share cost	Current share price/accrued interest	Current value	Unrealized gain/(loss)	Current % yield	Anticipated Income (annualized)
4,000	FNMA PL#889579 DTD 05/01/2008 INT: 06.000% MATY: 05/01/2038	09/09/09 31410KJY1	$ 1,480.99	$ 105.894	$ 110.115	$ 1,391.87	($ 89.12) LT		
31,000	FACTOR: .31600445 Curr.face $ 11,060.16	09/10/09	$ 11,506.19	$ 106.015	$ 110.115	$ 10,787.02	($ 719.17) LT		
35,000	Int paid monthly		$ 12,987.18	$ 37.10	$ 55.30	$ 12,178.89	($ 808.29)	5.448	$ 663.60
2,000	FNMA PL#889982 DTD 10/01/2008 INT: 05.500% MATY: 11/01/2038	07/10/09 31410KXK5	$ 820.97	$ 104.109	$ 108.64	$ 799.16	($ 21.81) LT		
30,000	FACTOR: .36780387 Curr.face $ 12,137.53	09/09/09	$ 12,320.20	$ 104.312	$ 108.64	$ 11,987.46	($ 332.74) LT		
1,000	Int paid monthly	09/10/09	$ 413.04	$ 104.625	$ 108.64	$ 399.58	($ 13.46) LT		
33,000			$ 13,554.21	$ 41.10	$ 55.63	$ 13,186.20	($ 368.01)	5.062	$ 667.56
11,000	FNMA PL#995876 DTD 06/01/2009 INT: 06.000% MATY: 11/01/2038 FACTOR: .43599658 Curr.face $ 4,795.96 Int paid monthly	07/13/09 31416CJV9	$ 5,481.37	$ 105.289	$ 109.843 $ 23.98	$ 5,268.03	($ 213.34) LT	5.462%	$ 287.75
56,000	FNMA PL#934231 DTD 12/01/2008 INT: 05.000% MATY: 01/01/2039 FACTOR: .37703452 Curr.face $ 21,113.93 Int paid monthly	10/11/11 31412TLL5	22,674.38	107.39	107.718 87.97	22,743.51	69.13 ST	4.641	1,055.69
3,000	FNMA PL#AB1248 DTD 06/01/2010 INT: 04.500% MATY: 07/01/2040 FACTOR: .84307806 Curr.face $ 2,529.23 Int paid monthly	09/09/11 31416WL67	2,691.93	106.265	105.875 9.48	2,677.83	(14.10) ST	4.25	113.81
23,000	FNMA PL#AB1389 DTD 07/01/2010 INT: 04 500% MATY: 08/01/2040 FACTOR: .80462433 Curr.face $ 18,506.36 Int paid monthly	02/02/11 31416WRK0	18,931.70	101.632	105.875 69.40	19,593.61	661.91 ST	4.25	832.78
40,000	FHLMC PL#A97047 DTD 02/01/2011 INT: 04.500% MATY: 02/01/2041 FACTOR: .94541814 Curr.face $ 37,816.73 Int paid monthly	02/11/11 312945ZL5	38,080.77	100.66	105.541 141.81	39,912.15	1,831.38 ST	4.263	1,701.75

Mortgage and asset backed securities *continued*

Original principal amount	Description	Date acquired/ CUSIP #	Cost	Share cost	Current share price/accrued interest	Current value	Unrealized gain/(loss)	Current % yield	Anticipated Income (annualized)
16,000	FNMA PL#AH5583 DTD 02/01/2011 INT: 04.500% MATY: 02/01/2041 FACTOR: .95071398 Curr.face $ 15,211.42 Int paid monthly	02/09/11 3138A7FZ6	$ 15,399.93	$ 100.781	$ 105.875 $ 57.04	$ 16,105.09	$ 705.16 ST	4.25%	$ 684.51
16,000	FNMA PL#AE0984 DTD 02/01/2011 INT: 04.500% MATY: 02/01/2041 FACTOR: .94444879 Curr.face $ 15,111.18 Int paid monthly	03/02/11 31419BCW3	15,471.81	101.89	105.875 56.67	15,998.96	527.15 ST	4.25	680.00
Total mortgage and asset backed securities			$ 220,889.16		$ 864.77	$ 222,693.67	$ 3,115.06 ST	4.65	
367,000							($ 1,310.55) LT		$ 10,377.38

Mutual funds

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency. Although money market funds seek to preserve the value of your investment at $1.00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall. Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below $1.00 per share. In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders. This liquidation process could take up to one month or more. During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account.

Certain mutual funds may not be transferable to other broker-dealers. For further information, please refer to the fund's Prospectus or call your Financial Advisor.

Yield is the current distribution annualized, divided by the fund's net asset value at the end of the statement period. Distributions may consist of income, capital gains or the return of capital. Distributions and current dividend for funds are based upon information provided by an outside vendor and are not verified by us. **"Tax-Based Cost vs. Current Value"** *is being provided for information purposes only.* **"Cash Distributions (since Inception)"** *when shown, may reflect distributions on positions no longer held in the account, and may not reflect all distributions received in cash due to but not limited to the following: investments made prior to 1/1/89, asset transfers, recent activity and certain adjustments made in your account.* **"Total Purchases vs. Current Value"** *is provided to assist you in comparing your* **"Total purchases"** *excluding reinvested distributions, with the current value of the fund's shares in your account.* **"Fund Value Increase/Decrease"** *reflects the difference between your total purchases and the current value of the fund's shares, plus cash distributions since inception.*

Number of shares	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/ Decrease	Yield	Anticipated income (annualized)
2,505	FIXED INCOME SHARES FD SERIES C	FXICX	03/30/09	$ 27,730.35	$ 11.07	$ 12.71	$ 31,838.55	$ 4,108.20 LT			
1,700			07/09/09	21,165.00	12.45	12.71	21,607.00	442.00 LT			
3,455			09/03/09	45,882.40	13.28	12.71	43,913.05	(1,969.35) LT			
349			01/04/11	4,453.24	12.76	12.71	4,435.79	(17.45) ST			
3,845			02/11/11	48,716.15	12.67	12.71	48,869.95	153.80 ST			
1,430			02/23/11	18,332.60	12.82	12.71	18,175.30	(157.30) ST			

MorganStanley
SmithBarney

Private Wealth Management
Financial Management Account

October 1 - October 31, 2011

FESTUS & HELEN STACY FND INC **Account number 16J-11468-11 503**

Mutual funds *continued*

Number of shares	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/ Decrease	Yield	Anticipated income (annualized)
110	FIXED INCOME SHARES FD SERIES	FXICX	06/27/11	$ 1,441.00	$ 13.10	$ 12.71	$ 1,398.10	($ 42.90) ST			
900	C		10/04/11	10,944.00	12.16	12.71	11,439.00	495.00 ST			
14,284				**178,664.74**	**12.499**		**181,676.74**	**3,012.00**		**9.944**	**18,067.61**
	Cash distributions (since inception)								**51,919.05**		
	Total Purchases vs. Current Value			**178,664.74**			**181,676.74**		**3,012.00**		
	Fund Value Increase/Decrease								**54,931.05**		
2,155	FIXED INCOME SHARES FD	FXIMX	03/30/09	18,209.75	8.45	10.51	22,649.05	4,439.30 LT			
2,690	SERIES M		07/09/09	24,801.80	9.22	10.51	28,271.90	3,470.10 LT			
4,955			09/03/09	47,964.40	9.68	10.51	52,077.05	4,112.65 LT			
116			01/05/11	1,184.36	10.21	10.51	1,219.16	34.80 ST			
4,860			02/11/11	49,377.60	10.16	10.51	51,078.60	1,701.00 ST			
1,766			02/23/11	18,189.80	10.30	10.51	18,560.66	370.86 ST			
95			06/27/11	1,004.15	10.57	10.51	998.45	(5.70) ST			
16,637				**160,731.86**	**9.661**		**174,854.87**	**14,123.01**		**4.747**	**8,301.86**
	Cash distributions (since inception)								**26,048.64**		
	Total Purchases vs. Current Value			**160,731.86**			**174,854.87**		**14,123.01**		
	Fund Value Increase/Decrease								**40,171.65**		
Total mutual funds (Tax based)				**$ 339,396.60**			**$ 356,531.61**	**$ 2,532.11 ST**		**7.39**	
								$ 14,602.90 LT			**$ 26,369.47**
Total Fund Value Increase/Decrease									**$ 95,102.70**		

Bonds

Unrealized gains & losses have been adjusted to account for the accretion of OID (original issue discount), the amortization of premium, and/or the accretion of market discount.

Call features shown indicate the next regularly scheduled call date and price. Your holdings may be subject to other redemption features including sinking funds or extraordinary calls.

The credit rating from Moody's Investors Service and Standard & Poor's may be shown for certain securities. All credit ratings represent the "opinions" of the provider and are not representations or guarantees of performance. Your Financial Advisor will be pleased to provide you with further information or assistance in interpreting these credit ratings.

Government & government sponsored entity (GSE) bonds

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip. Income (annualized)	Ordinary Income/ Capital gain/(loss)
4,000	U S TREASURY NOTES SER G-2015	03/26/10	$ 3,989.53	$ 99.738	100.266	$ 4,010.64	$ 21.11	LT		$ 8.59
	DTD 02/28/2010	912828MQ0	$ 3,989.53	$ 99.738			$ 21.11	LT		$ 12.52
15,000	INT: 00.875% MATY: 02/29/2012	05/26/10	15,030.47	100.203	100.266	15,039.90	9.43	LT		0.00
			15,005.70	100.038			34.20	LT		34.20
6,000		06/29/10	6,035.15	100.585	100.266	6,015.96	(19.19)	LT		0.00
			6,006.96	100.116			9.00	LT		9.00
11,000		02/11/11	11,059.29	100.539	100.266	11,029.26	(30.03)	ST		0.00
			11,018.92	100.172			10.34	ST		10.34
3,000		09/13/11	3,011.25	100.375	100.266	3,007.98	(3.27)	ST		0.00
			3,008.10	100.27			(.12)	ST		(.12)
39,000			39,125.69	100.30		**39,103.74**	(21.95)		**.872**	**8.59**
			39,029.21	100.10	**58.12**		**74.53**		**341.25**	**65.94**
9,000	US TSY INFLATION INDEX NTS CPI	09/03/09	11,396.30	105.57	102.93	11,671.06	274.76	LT		0.00
	U NON-SEASONALLY ADJ	912828AF7	11,493.32	101.389			177.74	LT		177.74
3,000	DTD 07/15/2002	03/11/10	3,905.71	108.265	102.93	3,890.35	(15.36)	LT		0.00
	INT: 03.000% MATY: 07/15/2012		3,905.71	108.265			(15.36)	LT		(15.36)
1,000	Factor: 1.25987 Curr.face $ 26,457.27	05/13/10	1,303.00	107.906	102.93	1,296.78	(6.22)	LT		0.00
			1,303.00	107.906			(6.22)	LT		(6.22)
1,000		07/29/10	1,290.75	106.375	102.93	1,296.78	6.03	LT		0.00
			1,290.75	106.375			6.03	LT		6.03
7,000		02/11/11	9,066.12	106.343	102.93	9,077.49	11.37	ST		0.00
			9,066.12	106.343			11.37	ST		11.37
21,000			26,961.88	128.40		**27,232.46**	270.58		**2.914**	**0.00**
			27,058.90	128.90	**235.10**		**173.56**		**793.71**	**173.56**
1,000	U S TREASURY NOTES SER W-2013	03/24/10	992.11	99.21	101.609	1,016.09	23.98	LT		4.19
	DTD 03/15/2010	912828MT4	992.11	99.21			23.98	LT		19.79
4,000	INT: 01.375% MATY: 03/15/2013	04/29/10	3,984.68	99.617	101.609	4,064.36	79.68	LT		0.00
			3,984.68	99.617			79.68	LT		79.68

Government & government sponsored entity (GSE) bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip. Income (annualized)	Ordinary Income/ Capital gain/(loss)
3,000	U S TREASURY NOTES SER W-2013	02/11/11	$ 3,029.18	$ 100.972	101.609	$ 3,048.27	$ 19.09	ST		$ 0.00
	DTD 03/15/2010	912828MT4	$ 3,019.32	$ 100.644			$ 28.95	ST		$ 28.95
8,000	INT: 01.375% MATY· 03/15/2013		8,005.97	100.10		**8,128.72**	122.75		**1.353**	**4.19**
			7,996.11	100.00	**14.20**		**132.61**		**110.00**	**128.42**
7,000	FEDERAL NATL MTG ASSOCIATION	01/11/11	6,919.29	98.847	100.572	7,040.04	120.75	ST		21.70
	BK/ ENTRY	31398A5W8	6,919.29	98.847			120.75	ST		99.05
13,000	DTD 20101101	02/11/11	12,737.30	97.979	100.572	13,074.36	337.06	ST		64.58
	INT: 00.750% MATY: 12/18/2013		12,737.30	97.979			337.06	ST		272.48
20,000			19,656.59	98.30		**20,114.40**	457.81		**.745**	**86.28**
			19,656.59	98.30	**55.42**		**457.81**		**150.00**	**371.53**
12,000	U S TREASURY NOTES SER AA-2016	08/08/11	12,248.44	102.07	102.625	12,315.00	66.56	ST		0.00
	DTD 07/31/2011	912828QX1	12,237.36	101.978			77.64	ST		77.64
4,000	INT: 01.500% MATY: 07/31/2016	09/13/11	4,120.47	103.011	102.625	4,105.00	(15.47)	ST		0.00
			4,117.36	102.934			(12.36)	ST		(12.36)
16,000			16,368.91	102.30		**16,420.00**	51.09		**1.461**	**0.00**
			16,354.72	102.20	**60.65**		**65.28**		**240.00**	**65.28**
24,000	US TREASURY NOTES SER E-2021	09/13/11	24,300.00	101.25	99.563	**23,895.12**	(404.88)	ST	**2.134**	**0.00**
	DTD 08/15/2011	912828RC6	**24,296.16**	101.234	**108.10**		**(401.04)**	ST	**510.00**	**(401.04)**
	INT: 02.125% MATY: 08/15/2021									
2,000	US TSY INFLATION INDEX BDS CPI	09/29/10	3,641.86	135.093	146.508	4,103.84	461.98	LT		0.00
	U NON-SEASONALLY ADJ	912810FD5	3,728.90	133.158			374.94	LT		374.94
3,000	DTD 4/15/1998	10/12/10	5,561.37	137.457	146.508	6,155.75	594.38	LT		0.00
	INT: 03.625% MATY: 04/15/2028		5,688.88	135.432			466.87	LT		466.87
3,000	Factor: 1.40055	02/11/11	4,994.27	122.96	146.508	6,155.75	1,161.48	ST		0.00
	Curr.face $ 11,204.40		5,131.51	122.163			1,024.24	ST		1,024.24
8,000			14,197.50	177.50		**16,415.34**	2,217.84		**2.474**	**0.00**
			14,549.29	181.90	**18.87**		**1,866.05**		**406.15**	**1,866.05**
1,000	US TSY INFLATION INDEX BDS CPI	09/29/10	1,208.03	118.968	130.969	1,381.83	173.80	LT		0.00
	U NON-SEASONALLY ADJ	912810PZ5	1,244.32	117.967			137.51	LT		137.51
4,000	DTD 01/30/2009	10/12/10	4,938.25	121.515	130.969	5,527.31	589.06	LT		0.00
	INT: 02.500% MATY: 01/15/2029		5,079.96	120.401			447.35	LT		447.35
1,000	Factor: 1.05508	12/08/10	1,149.57	112.953	130.969	1,381.83	232.26	ST		0.00
	Curr.face $ 28,487.16		1,185.60	112.40			196.23	ST		196.23
3,000		02/11/11	3,286.61	107.414	130.969	4,145.48	858.87	ST		0.00
			3,391.19	107.167			754.29	ST		754.29
5,000		07/12/11	6,277.50	119.634	130.969	6,909.14	631.64	ST		0.00
			6,295.24	119.332			613.90	ST		613.90

Government & government sponsored entity (GSE) bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip. Income (annualized)	Ordinary Income/ Capital gain/(loss)
13,000	US TSY INFLATION INDEX BDS CPI	08/03/11	$ 17,229.11	$ 125.937	130.969	$ 17,963.76	$ 734.65	ST		$ 0.00
	U NON-SEASONALLY ADJ	912810PZ5	$ 17,223.87	$ 125.608			$ 739.89	ST		$ 739.89
27,000	DTD 01/30/2009		34,089.07	126.30		37,309.35	3,220.28		1.908	0.00
	INT: 02.500% MATY: 01/15/2029		34,420.18	127.50	210.84		2,889.17		712.17	2,889.17
	Factor: 1.05508									
	Curr.face $ 28,487.16									
Total government & government sponsored entity (GSE) bonds			$ 182,705.61		$ 761.40	$ 188,618.19	$ 3,501.14	ST	1.73	$ 89.06
$ 163,000							$ 1,756.83	LT	$ 3,269.28	$ 5,158.91
[illegible]							$ 9,148.31	ST	4.98	$ 89.06
[illegible]							$ 15,049.18	LT	$ 40,013.86	$ 5,158.91

Common stocks & options

Equity Weighting by Economic Sector

The "Equity Weighting by Economic Sector" represents the common stocks in your account. It does not include convertible preferred or other types of equity securities that you may own. Citi Investment Research & Analysis (CIRA) Sector recommendations are intended for capital appreciation oriented investors seeking to outperform the S&P 500. These Sector allocation recommendations may not be appropriate for all investors. Past performance is no guarantee of future results.

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Sector	% of assets	Citi Sector weight	Sector	% of assets	Citi Sector weight
Energy	11.52	11.80	Materials	3.34	2.80
Industrials	9.95	8.70	Consumer discretionary	1.59	9.50
Consumer staples	33.97	13.20	Health Care	9.10	9.00
Financials	3.42	15.80	Information technology	6.40	22.00
Telecommunication services	10.38	3.50	Utilities	10.35	3.60

Energy

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
150	TRANSOCEAN LTD SWITZERLAND NEW Rating: Citigroup : 1 Morgan Stanley : 1 S&P : 2	RIG	05/13/11	$ 10,350.15	$ 69.001	$ 57.15	$ 8,572.50	($ 1,777.65)	ST	(17.18)	5.529 %	$ 474.00
400	ANADARKO PETROLEUM CORP	APC	10/06/09	26,015.40	65.038	78.50	31,400.00	5,384.60	LT	20.70		
300	Rating: Citigroup : 1		05/19/10	16,978.65	56.595	78.50	23,550.00	6,571.35	LT	38.70		
700	S&P . 1			42,994.05	61.42		54,950.00	11,955.95		27.81	.458	252.00
200	APACHE CORP	APA	03/25/10	20,100.52	100.502	99.63	19,926.00	(174.52)	LT	(.87)		
150	Rating: Citigroup : 1		05/19/10	13,966.46	93.109	99.63	14,944.50	978.04	LT	7.00		
350	S&P : 1			34,066.98	97.334		34,870.50	803.52		2.36	.602	210.00

Energy *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
200	BAKER HUGHES INC	BHI	05/12/10	$ 9,424.40	$ 47.122	$ 57.99	$ 11,598.00	$ 2,173.60	LT	23.06		
300	Rating: Citigroup : 2		06/03/10	11,697.00	38.99	57.99	17,397.00	5,700.00	LT	48.73		
500	Morgan Stanley : 1 S&P : 1			21,121.40	42.243		28,995.00	7,873.60		37.28	1.034	300.00
250	CHESAPEAKE ENERGY CORP	CHK	10/06/09	6,964.50	27.858	28.12	7,030.00	65.50	LT	.94		
250	Rating: Citigroup : 1		02/08/10	6,201.50	24.806	28.12	7,030.00	828.50	LT	13.36		
500	S&P : 1			13,166.00	26.332		14,060.00	894.00		6.79	1.244	175.00
400	CHEVRON CORP	CVX	10/05/09	27,803.20	69.508	105.05	42,020.00	14,216.80	LT	51.13		
200	Rating: Citigroup : 1		05/19/10	15,289.50	76.447	105.05	21,010.00	5,720.50	LT	37.41		
200	Morgan Stanley : 1		06/14/10	15,000.00	75.00	105.05	21,010.00	6,010.00	LT	40.07		
200	S&P : 1		06/15/10	14,987.60	74.938	105.05	21,010.00	6,022.40	LT	40.18		
1,000				73,080.30	73.08		105,050.00	31,969.70		43.75	3.084	3,240.00
300	CONOCOPHILLIPS Rating: Citigroup : 2H Morgan Stanley : 3 S&P : 1	COP	05/19/10	16,154.40	53.848	69.65	20,895.00	4,740.60	LT	29.35	3.79	792.00
877	EXXON MOBIL CORP	XOM	12/20/07	80,264.95	91.575	78.09	68,484.93	(11,780 02)*	LT	(14.68)		
425	Rating: Citigroup : 1		10/06/09	24,565.42	57.834	78.09	33,188.25	8,622.83	LT	35.10		
223	Morgan Stanley : 2		05/14/10	14,062.74	63.098	78.09	17,414.07	3,351.33	LT	23.83		
1,525	S&P : 1			118,893.11	77.963		119,087.25	194.14		.16	2.407	2,867.00
600	MARATHON OIL CORP	MRO	02/08/10	10,106.38	16.843	26.03	15,618.00	5,511.62	LT	54.54		
200	Rating: Citigroup : 1		05/19/10	3,872.48	19.362	26.03	5,206.00	1,333.52	LT	34.44		
800	Morgan Stanley : 2 S&P : 1			13,978.86	17.474		20,824.00	6,845.14		48.97	2.305	480.00
300	MARATHON PETROLEUM CORP	MPC	02/08/10	6,836.42	22.788	35.90	10,770.00	3,933.58	LT	57.54		
100	Rating: Citigroup : 1H		05/19/10	2,619.52	26.195	35.90	3,590.00	970.48	LT	37.05		
400				9,455.94	23.64		14,360.00	4,904.06		51.86	2.785	400.00
200	OCCIDENTAL PETROLEUM CORP-DEL Rating: Citigroup : 1 Morgan Stanley : 2 S&P : 2	OXY	05/19/10	15,868.00	79.34	92.94	18,588.00	2,720.00	LT	17.14	1.979	368.00
200	ROYAL DUTCH SHELL PLC ADR	RDSB	03/12/10	11,223.20	56.116	71.80	14,360.00	3,136.80	LT	27.95		
150	CL B		05/07/10	7,795.19	51.967	71.80	10,770.00	2,974.81	LT	38.16		
250	Rating: Citigroup : 1		05/19/10	12,947.50	51.79	71.80	17,950.00	5,002.50	LT	38.64		
250	Morgan Stanley : 2		09/20/10	14,463.00	57.852	71.80	17,950.00	3,487.00	LT	24.11		
600	S&P*Quantitative : 1		08/09/11	37,531.02	62.551	71.80	43,080.00	5,548.98	ST	14.79		
1,450				83,959.91	57.903		104,110.00	20,150.09		24.00	4.679	4,872.00

Energy *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
300	SCHLUMBERGER LTD Rating: Citigroup : 1 Morgan Stanley : 1 S&P : 1	SLB	12/20/10	$ 24,512.13	$ 81.707	$ 73.47	$ 22,041.00	($ 2,471.13)	ST	(10.08)	1.361%	$ 300.00
600	SOUTHWESTERN ENERGY CO DEL Rating: Citigroup : 2 S&P : 2	SWN	12/20/10	20,934.00	34.89	42.04	25,224.00	4,290.00	ST	20.49		
1,000	STATOILHYDRO ASA SPONS ADR Rating: Morgan Stanley : 1 S&P : 1	STO	12/20/10	22,838.00	22.838	25.43	25,430.00	2,592.00	ST	11.35	3.83	974.00
1,600	SUNOCO INC Rating: Citigroup : 1H Morgan Stanley : 1 S&P : 2	SUN	08/09/11	48,798.24	30.498	37.23	59,568.00	10,769.76	ST	22.07	1.611	960.00
500	TOTAL S.A SPONS ADR Rating: S&P : 1	TOT	09/26/11	21,243.70	42.487	52.30	26,150.00	4,906.30	ST	23.10	5.152	1,347.50
1,000	VALERO ENERGY CORP-NEW Rating: Citigroup : 1H Morgan Stanley : 2 S&P : 1	VLO	02/08/10	18,078.00	18.078	24.60	24,600.00	6,522.00	LT	36.08		
250			05/19/10	4,714.63	18.858	24.60	6,150.00	1,435.37	LT	30.45		
1,250				22,792.63	18.234		30,750.00	7,957.37		34.91	2.439	750.00
1,100	WILLIAMS COS INC	WMB	08/09/11	28,047.80	25.498	30.11	33,121.00	5,073.20	ST	18.09	2.656	880.00
Subtotal for energy				$ 642,255.60			$ 766,646.25	$ 124,390.65			2.56	$ 19,641.50

Materials

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
300	AIR PRODUCTS & CHEMICALS INC Rating: Citigroup : 1 Morgan Stanley : 1 S&P : 2	APD	05/07/10	$ 21,672.24	$ 72.24	$ 86.14	$ 25,842.00	$ 4,169.76	LT	19.24		
300			10/27/11	25,999.92	86.666	86.14	25,842.00	(157.92)	ST	(.61)		
600				47,672.16	79.454		51,684.00	4,011.84		8.42	2.693	1,392.00
500	BARRICK GOLD CORP CAD Rating: Citigroup : 1 Morgan Stanley : 1 S&P : 1	ABX	02/08/10	17,778.50	35.557	49.50	24,750.00	6,971.50	LT	39.21	1.212	300.00

Materials *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
600	DOW CHEMICAL CO Rating: Citigroup : 1 Morgan Stanley : 2 S&P : 1	DOW	12/20/10	$ 20,355.60	$ 33.926	$ 27.88	$ 16,728.00	($ 3,627.60)	ST	(17.82)	3.586%	$ 600.00
750	MATERIAL SCIENCES CORP	MASC	01/12/11	4,708.50	6.278	8.05	6,037.50	1,329.00	ST	28.23		
200	PRAXAIR INC	PX	02/08/10	15,042.30	75.211	101.67	20,334.00	5,291.70	LT	35.18		
100	Rating: Citigroup : 1		05/05/10	8,184.75	81.847	101.67	10,167.00	1,982.25	LT	24.22		
200	Morgan Stanley : 2		05/07/10	15,881.60	79.408	101.67	20,334.00	4,452.40	LT	28.03		
500	S&P : 1		05/14/10	39,266.05	78.532	101.67	50,835.00	11,568.95	LT	29.46		
1,000				78,374.70	78.375		101,670.00	23,295.30		29.72	1.967	2,000.00
400	RIO TINTO PLC-GBP Rating: Citigroup : 1 Morgan Stanley : 1 S&P : 1	RIO	10/06/09	17,383.00	43.457	54.06	21,624.00	4,241.00	LT	24.40	2.16	467.20
Subtotal for materials				$ 186,272.46			$ 222,493.50	$ 36,221.04			2.13	$ 4,759.20

Industrials

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
1,200	CSX CORP	CSX	12/20/10	$ 25,577.00	$ 21.314	$ 22.21	$ 26,652.00	$ 1,075.00	ST	4.20		
1,200	Rating: Citigroup : 1		09/26/11	22,594.92	18.829	22.21	26,652.00	4,057.08	ST	17.96		
2,400	Morgan Stanley : 1 S&P : 1			48,171.92	20.072		53,304.00	5,132.08		10.65	2.161	1,152.00
250	CATERPILLAR INC	CAT	05/07/10	15,552.20	62.208	94.46	23,615.00	8,062.80	LT	51.84		
125	Rating: Citigroup : 2		09/27/10	9,972.50	79.78	94.46	11,807.50	1,835.00	LT	18.40		
375	S&P : 1			25,524.70	68.066		35,422.50	9,897.80		38.78	1.947	690.00
200	DEERE & CO	DE	09/27/10	14,497.26	72.486	75.90	15,180.00	682.74	LT	4.71		
600	Rating: Citigroup : 1		08/09/11	41,429.28	69.048	75.90	45,540.00	4,110.72	ST	9.92		
800	S&P : 1			55,926.54	69.908		60,720.00	4,793.46		8.57	2.16	1,312.00
750	R R DONNELLEY & SONS CO Rating: S&P : 2	RRD	03/29/10	16,130.40	21.507	16.30	12,225.00	(3,905.40)	LT	(24.21)	6.38	780.00
300	EMERSON ELECTRIC CO	EMR	03/29/10	14,927.73	49.759	48.12	14,436.00	(491.73)	LT	(3.29)		
100	Rating: Citigroup : 2		05/05/10	4,900.80	49.008	48.12	4,812.00	(88.80)	LT	(1.81)		
300	S&P : 2		06/03/10	14,182.26	47.274	48.12	14,436.00	253.74	LT	1.79		
700				34,010.79	48.587		33,684.00	(326.79)		(.96)	2.867	966.00

Industrials *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
2,000	GENERAL ELECTRIC CO **Rating:** Citigroup : 1 S&P : 1	GE	10/08/97	$ 36,558.09	$ 18.279	$ 16.71	$ 33,420.00	($ 3,138.09)*	LT	(8.58)	3.59%	$ 1,200.00
250	HONEYWELL INTL INC **Rating:** Citigroup : 2 S&P : 1	HON	05/04/10	11,684.00	46.736	52.40	13,100.00	1,416.00	LT	12.12		
250			05/05/10	11,447.48	45.789	52.40	13,100.00	1,652.52	LT	14.44		
500			05/14/10	22,637.00	45.274	52.40	26,200.00	3,563.00	LT	15.74		
225			09/20/10	9,966.60	44.296	52.40	11,790.00	1,823.40	LT	18.30		
1,225				55,735.08	45.498		64,190.00	8,454.92		15.17	2.843	1,825.25
250	ILLINOIS TOOL WORKS INC **Rating:** Citigroup : 1 S&P : 2	ITW	05/04/10	12,594.50	50.378	48.63	12,157.50	(437.00)	LT	(3.47)		
250			05/05/10	12,469.63	49.878	48.63	12,157.50	(312.13)	LT	(2.50)		
250			06/03/10	11,732.20	46.928	48.63	12,157.50	425.30	LT	3.63		
300			12/20/10	15,752.13	52.507	48.63	14,589.00	(1,163.13)	ST	(7.38)		
1,050				52,548.46	50.046		51,061.50	(1,486.96)		(2.83)	2.961	1,512.00
1,000	IRON MTN INC (DEL) **Rating:** Citigroup : 2 Morgan Stanley : 1 S&P : 2	IRM	12/20/10	25,238.00	25.238	30.93	30,930.00	5,692.00	ST	22.55	3.233	1,000.00
100	LOCKHEED MARTIN CORP **Rating:** Citigroup : 1 Morgan Stanley : 2 S&P : 2	LMT	03/30/11	7,986.58	79.865	75.90	7,590.00	(396.58)	ST	(4.97)	5.27	400.00
600	NORFOLK SOUTHERN CORP **Rating:** Citigroup : 1 Morgan Stanley : 2 S&P : 1	NSC	10/05/09	26,331.66	43.886	73.99	44,394.00	18,062.34	LT	68.60	2.324	1,032.00
350	PARKER-HANNIFIN CORP **Rating:** Citigroup : 1 S&P : 1	PH	02/08/10	19,153.40	54.724	81.55	28,542.50	9,389.10	LT	49.02	1.814	518.00
1,000	SWISHER HYGIENE INC	SWSH	09/08/10	3,018.60	3.018	4.36	4,360.00	1,341.40	LT	44.44		
300	3M COMPANY **Rating:** Citigroup : 2 S&P : 2	MMM	05/07/10	24,940.68	83.135	79.02	23,706.00	(1,234.68)	LT	(4.95)		
300			05/14/10	25,334.49	84.448	79.02	23,706.00	(1,628.49)	LT	(6.43)		
100			06/14/10	7,867.85	78.678	79.02	7,902.00	34.15	LT	.43		
700				58,143.02	83.061		55,314.00	(2,829.02)		(4.87)	2.784	1,540.00
800	UNION PACIFIC CORP **Rating:** Citigroup : 1 Morgan Stanley : 1 S&P : 2	UNP	08/09/11	72,058.00	90.072	99.57	79,656.00	7,598.00	ST	10.54	1.908	1,520.00
400	UNITED TECHNOLOGIES CORP	UTX	10/01/09	24,205.84	60.514	77.98	31,192.00	6,986.16	LT	28.86	2.462	768.00

Industrials *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
400	WASTE MGMT INC DEL	WM	12/20/10	$ 14,483.12	$ 36.207	$ 32.93	$ 13,172.00	($ 1,311.12)	ST	(9.05)		
700	Rating: Morgan Stanley : 2		08/11/11	20,179.88	28.828	32.93	23,051.00	2,871.12	ST	14.23		
1,100	S&P : 2			34,663.00	31.512		36,223.00	1,560.00		4.50	4.129	1,496.00
Subtotal for Industrials				$ 595,404.08			$ 662,228.50	$ 66,824.42			2.67	$ 17,711.25

Consumer discretionary

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
750	FURNITURE BRANDS INTL INC Rating: S&P : 3	FBN	01/12/11	$ 3,786.00	$ 5.048	$ 1.91	$ 1,432.50	($ 2,353.50)	ST	(62.16)		
800	HOME DEPOT INC Rating: Citigroup : 1 S&P : 2	HD	10/27/11	29,756.80	37.196	35.80	28,640.00	(1,116.80)	ST	(3.75)	2.793	800.00
800	JOHNSON CONTROLS INC Rating: Citigroup : 2 Morgan Stanley : 1 S&P : 1	JCI	08/09/11	25,182.80	31.478	32.93	26,344.00	1,161.20	ST	4.61	1.943	512.00
200	MCDONALDS CORP Rating: Citigroup : 2 Morgan Stanley : 2 S&P . 1	MCD	12/20/10	15,377.56	76.887	92.85	18,570.00	3,192.44	ST	20.76	3.015	560.00
150	NIKE INC CL B Rating: Citigroup : 1 S&P : 1	NKE	12/20/10	13,475.70	89.838	96.35	14,452.50	976.80	ST	7.25	1.286	186.00
300	TARGET CORP Rating: Citigroup : 1 S&P : 1	TGT	12/20/10	17,551.80	58.506	54.75	16,425.00	(1,126.80)	ST	(6.42)	2.191	360.00
Subtotal for consumer discretionary				$ 105,130.66			$ 105,864.00	$ 733.34			2.28	$ 2,418.00

Consumer staples

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
1,500	ALTRIA GROUP INC	MO	10/01/09	$ 26,264.85	$ 17.509	$ 27.55	$ 41,325.00	$ 15,060.15	LT	57.34		
1,500	Rating: Citigroup : 2		09/23/11	38,814.00	25.876	27.55	41,325.00	2,511.00	ST	6.47		
1,000	Morgan Stanley : 2		10/10/11	27,668.00	27.668	27.55	27,550.00	(118.00)	ST	(.43)		
1,000	S&P : 1		10/26/11	27,118.00	27.118	27.55	27,550.00	432.00	ST	1.59		
5,000				**119,864.85**	**23.973**		**137,750.00**	**17,885.15**		14.92	**5.952**	**8,200.00**
400	BRITISH AMERICAN TOBACCO	BTI	09/23/11	33,364.00	83.41	92.25	36,900.00	3,536.00	ST	10.60		
400	PLC ADR		09/26/11	33,834.40	84.586	92.25	36,900.00	3,065.60	ST	9.06		
350	Rating: Citigroup : 2 / Morgan Stanley : 2		10/10/11	31,199.00	89.14	92.25	32,287.50	1,088.50	ST	3.49		
1,150	S&P*Quantitative : 3			**98,397.40**	**85.563**		**106,087.50**	**7,690.10**		7.82	**4.164**	**4,418.30**
1,500	CAMPBELL SOUP CO	CPB	09/27/11	48,249.45	32.166	33.25	49,875.00	1,625.55	ST	3.37		
1,500	Rating: Citigroup : 2		10/05/11	49,167.00	32.778	33.25	49,875.00	708.00	ST	1.44		
3,000	Morgan Stanley : 1 / S&P : 2			**97,416.45**	**32.472**		**99,750.00**	**2,333.55**		2.40	**3.488**	**3,480.00**
450	CLOROX COMPANY DE	CLX	05/14/10	28,727.33	63.838	66.94	30,123.00	1,395.67	LT	4.86		
250	Rating: Citigroup : 2		06/03/10	15,991.78	63.967	66.94	16,735.00	743.22	LT	4.65		
900	Morgan Stanley : 2		08/09/11	58,343 49	64.826	66.94	60,246.00	1,902.51	ST	3.26		
300	S&P : 2		08/31/11	21,171.00	70.57	66.94	20,082.00	(1,089.00)	ST	(5.14)		
1,900				**124,233.60**	**65.386**		**127,186.00**	**2,952.40**		2.38	**3.585**	**4,560.00**
300	COCA-COLA CO	KO	02/08/10	15,863.40	52.878	68.32	20,496.00	4,632.60	LT	29.20		
300	Rating: Citigroup : 1		03/12/10	16,007.13	53.357	68.32	20,496.00	4,488.87	LT	28.04		
100	Morgan Stanley : 2		05/05/10	5,378.71	53.787	68.32	6,832.00	1,453.29	LT	27.02		
200	S&P : 1		05/07/10	10,387.42	51.937	68.32	13,664.00	3,276.58	LT	31.54		
500			05/14/10	26,514.25	53.028	68.32	34,160.00	7,645.75	LT	28.84		
500			10/05/11	32,359.00	64.718	68.32	34,160.00	1,801.00	ST	5.57		
700			10/12/11	46,981.20	67.116	68.32	47,824.00	842.80	ST	1.79		
450			10/26/11	30,271.50	67.27	68.32	30,744.00	472.50	ST	1.56		
3,050				**183,762.61**	**60.25**		**208,376.00**	**24,613.39**		13.39	**2.751**	**5,734.00**
300	COLGATE PALMOLIVE CO	CL	06/14/10	23,871.03	79.57	90.37	27,111.00	3,239.97	LT	13.57		
200	Rating: Citigroup : 2		07/09/10	16,385.20	81.926	90.37	18,074.00	1,688.80	LT	10.31		
250	Morgan Stanley : 1		09/20/10	19,472.28	77.889	90.37	22,592.50	3,120.22	LT	16.02		
500	S&P : 2		08/09/11	40,945.00	81.89	90.37	45,185.00	4,240.00	ST	10.36		
300			10/26/11	27,065.40	90.218	90.37	27,111.00	45.60	ST	.17		
200			10/27/11	18,304.40	91.522	90.37	18,074.00	(230.40)	ST	(1.26)		
1,750				**146,043.31**	**83.453**		**158,147.50**	**12,104.19**		8.29	**2.567**	**4,060.00**

Consumer staples *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
800	GENERAL MILLS INC	GIS	10/05/09	$ 25,507.00	$ 31.883	$ 38.53	$ 30,824.00	$ 5,317.00	LT	20.85		
800	**Rating:** Citigroup : 1		05/14/10	28,998.84	36.248	38.53	30,824.00	1,825.16	LT	6.29		
400	Morgan Stanley : 1		07/09/10	14,524.00	36.31	38.53	15,412.00	888.00	LT	6.11		
850	S&P : 1		09/15/11	31,830.12	37.447	38.53	32,750.50	920.38	ST	2.89		
1,150			10/12/11	45,733.20	39.768	38.53	44,309.50	(1,423.70)	ST	(3.11)		
4,000				**146,593.16**	**36.648**		**154,120.00**	**7,526.84**		5.13	**3.166**	**4,880.00**
700	H J HEINZ CO	HNZ	10/01/09	27,291.95	38.988	53.44	37,408.00	10,116.05	LT	37.07		
425	**Rating:** Citigroup : 1		03/25/10	19,787.15	46.558	53.44	22,712.00	2,924.85	LT	14.78		
500	Morgan Stanley : 1		05/14/10	23,188.50	46.377	53.44	26,720.00	3,531.50	LT	15.23		
400	S&P : 1		10/05/11	19,889.60	49.724	53.44	21,376.00	1,486.40	ST	7.47		
1,000			10/12/11	51,196.50	51.196	53.44	53,440.00	2,243.50	ST	4.38		
3,025				**141,353.70**	**46.728**		**161,656.00**	**20,302.30**		14.36	**3.592**	**5,808.00**
500	HERSHEY COMPANY	HSY	05/07/10	23,277.00	46.554	57.23	28,615.00	5,338.00	LT	22.93		
250	**Rating:** Citigroup : 1		05/14/10	11,779.23	47.116	57.23	14,307.50	2,528.27	LT	21.46		
250	Morgan Stanley : 2		06/03/10	12,300 00	49.20	57.23	14,307.50	2,007.50	LT	16.32		
200	S&P : 2		06/14/10	10,201.26	51.006	57.23	11,446.00	1,244.74	LT	12.20		
700			08/09/11	38,502.80	55.004	57.23	40,061.00	1,558.20	ST	4.05		
500			10/27/11	29,113.00	58.226	57.23	28,615.00	(498.00)	ST	(1.71)		
2,400				**125,173.29**	**52.156**		**137,352.00**	**12,178.71**		9.73	**2.411**	**3,312.00**
375	KELLOGG CO	K	03/25/10	20,297.66	54.127	54.21	20,328.75	31.09	LT	.15		
200	**Rating:** Citigroup : 1		05/07/10	10,544.84	52.724	54.21	10,842.00	297.16	LT	2.82		
425	Morgan Stanley : 1		05/14/10	23,162.50	54.50	54.21	23,039.25	(123.25)	LT	(.53)		
500	S&P : 2		06/16/10	27,139.20	54.278	54.21	27,105.00	(34.20)	LT	(.13)		
200			07/30/10	9,671.60	48.358	54.21	10,842.00	1,170.40	LT	12.10		
1,700				**90,815.80**	**53.421**		**92,157.00**	**1,341.20**		1.48	**3.172**	**2,924.00**
400	KIMBERLY CLARK CORP	KMB	10/05/09	23,290.72	58.226	69.71	27,884.00	4,593.28	LT	19.72		
250	**Rating:** Citigroup : 2		02/08/10	14,839.28	59.357	69.71	17,427.50	2,588.22	LT	17.44		
200	S&P : 2		03/29/10	12,674.52	63.372	69.71	13,942.00	1,267.48	LT	10.00		
350			05/14/10	21,634.97	61.814	69.71	24,398.50	2,763.53	LT	12.77		
300			08/11/11	18,869.34	62.897	69.71	20,913.00	2,043.66	ST	10.83		
1,500				**91,308.83**	**60.873**		**104,565.00**	**13,256.17**		14.52	**4.016**	**4,200.00**
600	KRAFT FOODS INC CLASS A	KFT	02/08/10	17,091.66	28.486	35.18	21,108.00	4,016.34	LT	23.50		
650	**Rating:** Citigroup : 1		03/12/10	19,127.81	29.427	35.18	22,867.00	3,739.19	LT	19.55		
250	Morgan Stanley : 2		04/30/10	7,477.13	29.908	35.18	8,795.00	1,317.87	LT	17.63		
500	S&P : 2		05/14/10	14,943.50	29.887	35.18	17,590.00	2,646.50	LT	17.71		

Consumer staples *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated income (annualized)
1,000	KRAFT FOODS INC CLASS A	KFT	10/05/11	$ 32,599.60	$ 32.599	$ 35.18	$ 35,180.00	$ 2,580.40	ST	7.92		
3,000				**91,239.70**	**30.413**		**105,540.00**	**14,300.30**		15.67	**3.297**	**3,480.00**
300	NESTLE S A SPONSORED ADR	NSRGY	03/12/10	15,231.00	50.77	57.76	17,328.00	2,097.00	LT	13.77		
200	**Rating:** Citigroup : 2		05/07/10	9,012.00	45.06	57.76	11,552.00	2,540.00	LT	28.18		
500			05/14/10	23,145.00	46.29	57.76	28,880.00	5,735.00	LT	24.78		
1,000				**47,388.00**	**47.388**		**57,760.00**	**10,372.00**		21.89	**3.059**	**1,767.00**
800	PEPSICO INC	PEP	01/04/11	52,292.80	65.366	62.95	50,360.00	(1,932.80)	ST	(3.70)		
150	**Rating:** Citigroup : 2		01/12/11	10,001.70	66.678	62.95	9,442.50	(559.20)	ST	(5.59)		
150	Morgan Stanley : 2 S&P : 1		03/04/11	9,504.90	63.366	62.95	9,442.50	(62.40)	ST	(.66)		
1,100				**71,799.40**	**65.272**		**69,245.00**	**(2,554.40)**		(3.56)	**3.272**	**2,268.00**
500	PHILIP MORRIS INTL INC	PM	10/05/09	24,339.00	48.678	69.87	34,935.00	10,596.00	LT	43.54		
500	**Rating:** Citigroup : 2		09/23/11	31,921.50	63.843	69.87	34,935.00	3,013.50	ST	9.44		
1,000	Morgan Stanley : 1 S&P : 1		10/21/11	70,078.00	70.078	69.87	69,870.00	(208.00)	ST	(.30)		
2,000				**126,338.50**	**63.169**		**139,740.00**	**13,401.50**		10.61	**4.408**	**6,160.00**
1,758	PROCTER & GAMBLE CO	PG	12/21/06	112,881.18	64.21	63.99	112,494.42	(386.76)*	LT	(.34)		
558	**Rating:** Citigroup : 1		12/20/07	41,199.93	73.835	63.99	35,706.42	(5,493.51)*	LT	(13.33)		
2,316	Morgan Stanley : 1 S&P : 2			**154,081.11**	**66.529**		**148,200.84**	**(5,880.27)**		(3.82)	**3.281**	**4,863.60**
1,000	REYNOLDS AMERICAN INC	RAI	09/22/11	36,057.20	36.057	38.68	38,680.00	2,622.80	ST	7.27		
500	**Rating:** Citigroup : 1		09/23/11	18,287.50	36.575	38.68	19,340.00	1,052.50	ST	5.76		
1,500	Morgan Stanley : 2 S&P : 1			**54,344.70**	**36.23**		**58,020.00**	**3,675.30**		6.76	**5.791**	**3,360.00**
500	UNILEVER PLC SPONS ADR NEW	UL	08/16/11	16,649.00	33.298	33.65	16,825.00	176.00	ST	1 06		
300	**Rating:** Citigroup : 1		08/31/11	10,139.16	33.797	33.65	10,095.00	(44.16)	ST	(.44)		
800	Morgan Stanley : 1 S&P : 2		10/05/11	24,589.76	30.737	33.65	26,920.00	2,330.24	ST	9.48		
900			10/10/11	28,580.67	31.756	33.65	30,285.00	1,704.33	ST	5.96		
2,500				**79,958.59**	**31.983**		**84,125.00**	**4,166.41**		5.21	**3.64**	**3,062.50**
450	UNILEVER NV NY SHS-NEW	UN	08/16/11	14,808.24	32.907	34.53	15,538.50	730.26	ST	4.93		
300	**Rating:** Citigroup : 1		08/31/11	10,217.16	34.057	34.53	10,359.00	141.84	ST	1.39		
800	S&P : 2		10/05/11	24,774.40	30.968	34.53	27,624.00	2,849.60	ST	11.50		
850			10/10/11	27,410.80	32.248	34.53	29,350.50	1,939.70	ST	7.08		
2,400				**77,210.60**	**32.171**		**82,872.00**	**5,661.40**		7.33	**3.017**	**2,500.80**
500	WAL-MART STORES INC **Rating:** Citigroup : 1 S&P : 1	WMT	10/27/11	**28,844.00**	57.688	56.72	**28,360.00**	(484.00)	ST	(1.68)	2.574	730.00
Subtotal for consumer staples				**$ 2,096,167.60**			**$ 2,261,009.84**	**$ 164,842.24**			**3.52**	**$ 79,766.20**

Health care

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
600	COVIDIEN PLC	COV	08/09/11	$ 27,310.92	$ 45.518	$ 47.04	$ 28,224.00	$ 913.08	ST	3.34	1.913%	$ 540.00
1,000	ABBOTT LABORATORIES Rating: Citigroup : 3 Morgan Stanley : 1 S&P : 1	ABT	09/27/11	51,238.00	51.238	53.87	53,870.00	2,632.00	ST	5.14	3.564	1,820.00
550	ASTRAZENECA PLC SPON ADR	AZN	08/18/11	24,814.63	45.117	47.91	26,350.50	1,535.87	ST	6.19		
250	Rating: Citigroup : 1		08/19/11	11,279.43	45.117	47.91	11,977.50	698.07	ST	6.19		
250	Morgan Stanley : 1 S&P : 2		08/22/11	11,546.00	46.184	47.91	11,977.50	431.50	ST	3.74		
1,050				47,640.06	45.371		50,305.50	2,665.44		5.59	5.636	2,835.00
1,200	BRISTOL MYERS SQUIBB CO	BMY	10/01/09	26,745.60	22.288	31.59	37,908.00	11,162.40	LT	41.74		
800	Rating: Citigroup : 1		05/28/10	18,661.60	23.327	31.59	25,272.00	6,610.40	LT	35.42		
2,000	Morgan Stanley : 1 S&P : 1			45,407.20	22.704		63,180.00	17,772.80		39.14	4.178	2,640.00
600	GLAXOSMITHKLINE PLC SP ADR	GSK	10/05/09	23,361.30	38.935	44.79	26,874.00	3,512.70	LT	15.04		
300	Rating: Citigroup : 2		06/03/10	10,523.10	35.077	44.79	13,437.00	2,913.90	LT	27.69		
400	Morgan Stanley : 3 S&P : 2		12/20/10	15,747.00	39.367	44.79	17,916.00	2,169.00	ST	13.77		
1,300				49,631.40	38.178		58,227.00	8,595.60		17.32	4.925	2,867.80
400	JOHNSON & JOHNSON	JNJ	10/05/09	23,887.20	59.718	64.39	25,756.00	1,868.80	LT	7.82		
400	Rating: Citigroup : 1		03/12/10	25,623.40	64.058	64.39	25,756.00	132.60	LT	.52		
700	Morgan Stanley : 2 S&P : 1		05/14/10	44,650.20	63.786	64.39	45,073.00	422.80	LT	.95		
1,500				94,160.80	62.774		96,585.00	2,424.20		2.57	3.54	3,420.00
550	ELI LILLY & CO Rating: Citigroup : 2 Morgan Stanley : 3 S&P : 2	LLY	03/25/10	19,875.35	36.137	37.16	20,438.00	562.65	LT	2.83	5.274	1,078.00
500	MERCK & CO INC NEW	MRK	05/07/10	16,847.00	33.694	34.50	17,250.00	403.00	LT	2.39		
500	Rating: Citigroup : 2		05/14/10	16,374.25	32.748	34.50	17,250.00	875.75	LT	5.35		
250	Morgan Stanley : 2 S&P : 1		06/03/10	8,616.75	34.467	34.50	8,625.00	8.25	LT	.10		
350			01/14/11	12,066.99	34.477	34.50	12,075.00	8.01	ST	.07		
1,600				53,904.99	33.691		55,200.00	1,295.01		2.40	4.405	2,432.00
750	MYLAN INC	MYL	12/20/10	15,776.93	21.035	19.57	14,677.50	(1,099.43)	ST	(6.97)		
150	Rating: Citigroup : 1		01/18/11	3,545.70	23.638	19.57	2,935.50	(610.20)	ST	(17.21)		
900	Morgan Stanley : 2 S&P : 1			19,322.63	21.47		17,613.00	(1,709.63)		(8.85)		
600	SANOFI SPONS ADR	SNY	12/20/10	19,330.20	32.217	35.75	21,450.00	2,119.80	ST	10.97		
600	Rating: Citigroup : 1		08/18/11	20,800.80	34.668	35.75	21,450.00	649.20	ST	3.12		
300	S&P : 2		08/19/11	10,292.25	34.307	35.75	10,725.00	432.75	ST	4.20		

Health care *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
300	SANOFI SPONS ADR	SNY	08/22/11	$ 10,535.40	$ 35.118	$ 35.75	$ 10,725.00	$ 189.60	ST	1.80		
1,800				60,958.65	33.866		64,350.00	3,391.35		5.56	3.70	2,381.40
500	TEVA PHARMACEUTICAL INDS	TEVA	10/05/09	25,194.35	50.388	40.85	20,425.00	(4,769.35)	LT	(18.93)		
100	LTD ADR		04/30/10	5,862.50	58.625	40.85	4,085.00	(1,777.50)	LT	(30.32)		
600	Rating: Citigroup : 1 Morgan Stanley : 2 S&P : 2			31,056.85	51.761		24,510.00	(6,546.85)		(21.08)	1.924	471.60
300	THERATECHNOLOGIES INC Exchange rate: 1.0068465 Shares traded in: Canadian dollars	THER	04/05/10	1,522.17	5.073	3.17	951.00	(571.17)	LT	(37.52)		
1,000	UNITEDHEALTH GROUP INC	UNH	10/06/09	24,356.10	24.356	47.99	47,990.00	23,633.90	LT	97.03		
500	Rating: Citigroup : 1		03/12/10	16,549.35	33.098	47.99	23,995.00	7,445.65	LT	44.99		
1,500	Morgan Stanley : 1 S&P : 1			40,905.45	27.27		71,985.00	31,079.55		75.98	1.354	975.00
Subtotal for health care				$ 542,934.47			$ 605,438.50	$ 62,504.03			3.56	$ 21,560.80

Financials

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
750	BERKSHIRE HATHAWAY INC CL B	BRKB	10/01/09	$ 48,953.61	$ 65.271	$ 77.86	$ 58,395.00	$ 9,441.39	LT	19.29		
150			02/08/10	11,099.63	73.997	77.86	11,679.00	579.37	LT	5.22		
900				60,053.24	66.726		70,074.00	10,020.76		16.69		
200	CHUBB CORP Rating: Citigroup : 2 Morgan Stanley : 1 S&P : 1	CB	03/29/10	10,473.50	52.367	67.05	13,410.00	2,936.50	LT	28.04	2.326	312.00
600	JPMORGAN CHASE & CO	JPM	10/06/09	26,889.66	44.816	34.76	20,856.00	(6,033.66)	LT	(22.44)		
400	Rating: Citigroup : 1		12/20/10	16,010.40	40.026	34.76	13,904.00	(2,106.40)	ST	(13.16)		
1,000	Morgan Stanley : 1 S&P : 1			42,900.06	42.90		34,760.00	(8,140.06)		(18.97)	2.876	1,000.00
200	NORTHERN TRUST CORP	NTRS	05/28/10	10,194.00	50.97	40.47	8,094.00	(2,100.00)	LT	(20.60)		
300	Rating: Morgan Stanley : 2		09/27/10	14,477.73	48.259	40.47	12,141.00	(2,336.73)	LT	(16.14)		
500	S&P : 2			24,671.73	49.343		20,235.00	(4,436.73)		(17.98)	2.767	560.00
1,000	PEOPLES UNITED FINCL INC	PBCT	10/06/09	15,338.50	15.338	12.75	12,750.00	(2,588.50)	LT	(16.88)		
500	Rating: Morgan Stanley : 2		02/08/10	7,714.25	15.428	12.75	6,375.00	(1,339.25)	LT	(17.36)		
1,500	S&P : 2			23,052.75	15.369		19,125.00	(3,927.75)		(17.04)	4.941	945.00

Financials *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
1,000	TRAVELERS COMPANIES INC	TRV	01/26/11	$ 56,328.00	$ 56.328	$ 58.35	$ 58,350.00	$ 2,022.00	ST	3.59		
200	**Rating:** Citigroup : 2		08/31/11	10,185.56	50.927	58.35	11,670.00	1,484.44	ST	14.57		
1,200	Morgan Stanley : 1 S&P : 1			66,513.56	55.428		70,020.00	3,506.44		5.27	2.81	1,968.00
Subtotal for financials				$ 227,664.84			$ 227,624.00	($ 40.84)			2.10	$ 4,785.00

Information technology

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
600	AUTOMATIC DATA PROCESSING INC.	ADP	10/06/09	$ 23,362.80	$ 38.938	$ 52.33	$ 31,398.00	$ 8,035.20	LT	34.39		
600	**Rating:** Citigroup : 1		05/14/10	25,088.40	41.814	52.33	31,398.00	6,309.60	LT	25.15		
200	Morgan Stanley : 3		06/14/10	8,413.64	42.068	52.33	10,466.00	2,052.36	LT	24.39		
400	S&P : 1		08/11/11	18,295.12	45.737	52.33	20,932.00	2,636.88	ST	14.41		
1,800				75,159.96	41.756		94,194.00	19,034.04		25.32	2.751	2,592.00
750	AVIAT NETWORKS INC **Rating:** S&P*Quantitative : 1	AVNW	01/12/11	4,153.50	5.538	2.05	1,537.50	(2,616.00)	ST	(62.98)		
25	GOOGLE INC	GOOG	12/20/10	14,752.75	590.11	592.64	14,816.00	63.25	ST	.43		
25	CLASS A		01/18/11	15,948.75	637.95	592.64	14,816.00	(1,132.75)	ST	(7.10)		
50	**Rating:** Citigroup : 2 Morgan Stanley : 2 S&P : 2			30,701.50	614.03		29,632.00	(1,069.50)		(3.48)		
2,000	INTEL CORP **Rating:** Citigroup : 1 S&P : 2	INTC	12/20/10	42,611.80	21.305	24.54	49,080.00	6,468.20	ST	15.18	3.422	1,680.00
300	INTL BUSINESS MACHINES CORP	IBM	10/06/09	36,433.20	121.444	184.63	55,389.00	18,955.80	LT	52.03		
300	**Rating:** Citigroup : 1		03/12/10	38,381.40	127.938	184.63	55,389.00	17,007.60	LT	44.31		
300	Morgan Stanley : 2		05/14/10	39,079.26	130.264	184.63	55,389.00	16,309.74	LT	41.74		
900	S&P : 1			113,893.86	126.549		166,167.00	52,273.14		45.90	1.624	2,700.00
650	LINEAR TECHNOLOGY CORPORATION **Rating:** Citigroup : 2 S&P : 2	LLTC	02/08/10	17,346.49	26.686	32.31	21,001.50	3,655.01	LT	21.07	2.971	624.00
1,800	MICROSOFT CORP **Rating:** Citigroup : 1 Morgan Stanley : 1 S&P : 1	MSFT	12/20/10	50,104.62	27.835	26.63	47,934.00	(2,170.62)	ST	(4.33)	3.004	1,440.00

Private Wealth Management
Financial Management Account

October 1 - October 31, 2011

FESTUS & HELEN STACY FND INC   Account number 16J-11469-10 503

Information technology *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
500	ORACLE CORP **Rating:** Citigroup : 1 Morgan Stanley : 1 S&P : 1	ORCL	12/20/10	$ 15,605.00	$ 31.21	$ 32.77	$ 16,385.00	$ 780.00	ST	5.00	.732 %	$ 120.00
Subtotal for Information technology				$ 349,576.73			$ 425,931.00	$ 76,354.27			2.14	$ 9,156.00

Telecommunication services

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
2,162	AT&T INC	T	12/20/07	$ 86,674.58	$ 40.09	$ 29.31	$ 63,368.22	($ 23,306.36)*	LT	(26.89)		
700	**Rating:** Citigroup : 1		08/11/11	19,591.60	27.988	29.31	20,517.00	925.40	ST	4.72		
1,000	Morgan Stanley : 1 S&P : 1		10/26/11	28,638.00	28.638	29.31	29,310.00	672.00	ST	2.35		
3,862				134,904.18	34.931		113,195.22	(21,708.96)		(16.09)	5.868	6,642.64
1,000	BCE INC-CAD	BCE	10/14/11	38,398.30	38.398	39.61	39,610.00	1,211.70	ST	3.16		
1,000	NEW		10/21/11	39,185.00	39.185	39.61	39,610.00	425.00	ST	1.08		
2,000	**Rating:** Morgan Stanley : 1 S&P : 2			77,583.30	38.792		79,220.00	1,636.70		2.11	5.17	4,096.00
850	BT GROUP PLC ADR-USD	BT	08/18/11	23,614.28	27.781	30.24	25,704.00	2,089.72	ST	8.85		
350	**Rating:** Citigroup : 1		08/19/11	9,471.53	27.061	30.24	10,584.00	1,112.47	ST	11.75		
350			08/22/11	9,466.94	27.048	30.24	10,584.00	1,117.06	ST	11.80		
1,450			09/22/11	37,308.50	25.73	30.24	43,848.00	6,539.50	ST	17.53		
1,000			10/10/11	28,597.50	28.597	30.24	30,240.00	1,642.50	ST	5.74		
4,000				108,458.75	27.115		120,960.00	12,501.25		11.53	3.783	4,576.00
750	CENTURYLINK INC	CTL	09/27/11	25,902.23	34.536	35.26	26,445.00	542.77	ST	2.10		
750	**Rating:** Citigroup : 1H		10/05/11	24,244.50	32.326	35.26	26,445.00	2,200.50	ST	9.08		
1,500	Morgan Stanley : 1 S&P : 2			50,146.73	33.431		52,890.00	2,743.27		5.47	8.224	4,350.00
1,000	ROYAL KPN NV SPONS ADR	KKPNY	12/20/10	14,200.00	14.20	13.05	13,050.00	(1,150.00)	ST	(8.10)	7.478	976.00
600	TELEFONICA S.A. SPON ADR	TEF	12/20/10	13,503.16	22.505	21.37	12,822.00	(681.16)	ST	(5.04)		
1,000	**Rating:** Citigroup : 2		09/26/11	18,680.00	18.68	21.37	21,370.00	2,690.00	ST	14.40		
1,600	Morgan Stanley : 2 S&P : 1			32,183.16	20.114		34,192.00	2,008.84		6.24	8.039	2,748.80
1,500	VERIZON COMMUNICATIONS	VZ	04/08/99	47,598.25	31.732	36.98	55,470.00	7,871.75*	LT	16.54		
575	**Rating:** Citigroup : 2		08/11/11	19,462.60	33.848	36.98	21,263.50	1,800.90	ST	9.25		
825	Morgan Stanley : 2 S&P : 2		10/10/11	30,193.35	36.598	36.98	30,508.50	315.15	ST	1.04		

MorganStanley
SmithBarney

Private Wealth Management
Financial Management Account

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FESTUS & HELEN STACY FND INC *Account number 16J-11469-10 503*

Telecommunication services *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
800	VERIZON COMMUNICATIONS	VZ	10/26/11	$ 29,262.40	$ 36.578	$ 36.98	$ 29,584.00	$ 321.60	ST	1.10		
3,700				**126,516.60**	**34.194**		**136,826.00**	**10,309.40**		8.15	**5.408**	**7,400.00**
1,200	VODAFONE GROUP PLC SPONS	VOD	10/01/09	26,877.60	22.398	27.84	33,408.00	6,530.40	LT	24.30		
500	ADR NEW		09/27/10	12,738.55	25.477	27.84	13,920.00	1,181.45	LT	9.27		
1,500	**Rating:** Citigroup : 1		09/22/11	36,700.50	24.467	27.84	41,760.00	5,059.50	ST	13.79		
1,800	Morgan Stanley : 1 S&P : 1		10/10/11	48,762.00	27.09	27.84	50,112.00	1,350.00	ST	2.77		
5,000				**125,078.65**	**25.016**		**139,200.00**	**14,121.35**		11.29	**5.075**	**7,065.00**
Subtotal for telecommunication services				**$ 669,071.37**			**$ 689,533.22**	**$ 20,461.85**			**5.48**	**$ 37,854.44**

Utilities

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
300	AMERICAN ELECTRIC POWER CO INC	AEP	12/07/10	$ 10,712.13	$ 35.707	$ 39.28	$ 11,784.00	$ 1,071.87	ST	10.01		
700	**Rating:** Citigroup : 2		12/20/10	25,050.20	35.786	39.28	27,496.00	2,445.80	ST	9.76		
325	S&P : 1		03/04/11	11,474.45	35.306	39.28	12,766.00	1,291.55	ST	11.26		
1,325				**47,236.78**	**35.65**		**52,046.00**	**4,809.22**		10.18	**4.786**	**2,491.00**
600	CONSOLIDATED EDISON INC	ED	10/06/09	24,599.04	40.998	57.87	34,722.00	10,122.96	LT	41.15		
450	**Rating:** Citigroup · 1		02/08/10	19,443.60	43.208	57.87	26,041.50	6,597.90	LT	33.93		
700	S&P : 2		05/14/10	31,227.98	44.611	57.87	40,509.00	9,281.02	LT	29.72		
550			08/09/11	27,620.01	50.218	57.87	31,828.50	4,208.49	ST	15.24		
2,300				**102,890.63**	**44.735**		**133,101.00**	**30,210.37**		29.36	**4.147**	**5,520.00**
800	DOMINION RESOURCES INC VA NEW **Rating:** Citigroup : 2 S&P : 3	D	10/01/09	**27,222.40**	34.028	51.59	**41,272.00**	**14,049.60**	LT	51.61	**3.818**	**1,576.00**
1,700	DUKE ENERGY CORP	DUK	10/01/09	26,653.45	15.678	20.42	34,714.00	8,060.55	LT	30.24		
1,300	(HOLDING COMPANY) NEW		09/22/11	25,233.00	19.41	20.42	26,546.00	1,313.00	ST	5.20		
3,000	**Rating:** Citigroup : 2 S&P : 2			**51,886.45**	**17.295**		**61,260.00**	**9,373.55**		18.07	**4.897**	**3,000.00**
500	EXELON CORP	EXC	10/05/09	23,973.05	47.946	44.39	22,195.00	(1,778.05)	LT	(7.42)		
200	**Rating:** Citigroup : 1		02/08/10	8,863.80	44.319	44.39	8,878.00	14.20	LT	.16		
700	S&P : 1			**32,836.85**	**46.91**		**31,073.00**	**(1,763.85)**		(5.37)	**4.73**	**1,470.00**
900	GDF SUEZ-EUR	GDFZY	08/18/11	25,127.01	27.918	28.20	25,380.00	252.99	ST	1.01		
300	**Rating:** Citigroup : 1		08/19/11	8,460.00	28.20	28.20	8,460.00	0.00				
300			08/22/11	8,745.00	29.15	28.20	8,460.00	(285.00)	ST	(3.26)		
1,500				**42,332.01**	**28.221**		**42,300.00**	**(32.01)**		(.08)	**5.482**	**2,319.00**

MorganStanley SmithBarney

Private Wealth Management
Financial Management Account

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FESTUS & HELEN STACY FND INC *Account number 16J-11469-10 503*

Utilities *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated income (annualized)
350	NEXTERA ENERGY INC	NEE	02/08/10	$ 16,617.30	$ 47.478	$ 56.40	$ 19,740.00	$ 3,122.70	LT	18.79		
350	**Rating:** Citigroup : 2		03/12/10	16,510.41	47.172	56.40	19,740.00	3,229.59	LT	19.56		
700				**33,127.71**	**47.325**		**39,480.00**	**6,352.29**		19.18	**3.90**	**1,540.00**
1,000	NORTHEAST UTILITIES	NU	10/06/09	23,218.50	23.218	34.57	34,570.00	11,351.50	LT	48.89		
500	**Rating:** S&P : 2		02/08/10	12,493.05	24.986	34.57	17,285.00	4,791.95	LT	38.36		
1,500				**35,711.55**	**23.808**		**51,855.00**	**16,143.45**		45.21	**3.181**	**1,650.00**
800	NSTAR	NST	10/05/09	25,310.48	31.638	45.09	36,072.00	10,761.52	LT	42.52		
1,000	**Rating:** S&P : 2		08/09/11	40,345.00	40.345	45.09	45,090.00	4,745.00	ST	11.76		
1,800				**65,655.48**	**36.475**		**81,162.00**	**15,506.52**		23.62	**3.77**	**3,060.00**
800	PINNACLE WEST CAP CORP **Rating:** Citigroup : 1 S&P : 2	PNW	09/22/11	**33,860.00**	42.325	45.58	**36,464.00**	**2,604.00**	ST	7.69	**4.607**	**1,680.00**
500	SOUTHERN CO	SO	02/08/10	15,723.05	31.446	43.20	21,600.00	5,876.95	LT	37.38		
500	**Rating:** Citigroup : 1		05/28/10	16,399.10	32.798	43.20	21,600.00	5,200.90	LT	31.71		
800	S&P : 2		08/09/11	29,838.40	37.298	43.20	34,560.00	4,721.60	ST	15.82		
1,800				**61,960.55**	**34.423**		**77,760.00**	**15,799.45**		25.50	**4.375**	**3,402.00**
1,500	WESTAR ENERGY INC **Rating:** Citigroup : 1 S&P*Quantitative : 2	WR	09/22/11	**38,442.60**	25.628	27.26	**40,890.00**	**2,447.40**	ST	6.37	**4.695**	**1,920.00**
Subtotal for utilities				**$ 573,163.01**			**$ 688,663.00**	**$ 115,499.99**			4.30	**$ 29,628.00**
Total common stocks				**$ 5,987,640.82**			**$ 6,655,431.81**	**$ 161,255.64**	**ST**	11.15	3.41	
								$ 506,535.35	**LT**			**$ 227,280.39**
Total common stocks and options				$5,987,640.82			$6,655,431.81	$161,255.64	ST		3.41	
								$506,535.35	LT			$227,280.39
								$161,255.64	ST		3.34	$227,283.96
								$506,535.35	LT			

**Based on information supplied by client or other financial institution, not verified by us.*

MorganStanley
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Exchange traded & closed end funds

Citi Investment Research & Analysis (CIRA) ratings may be shown for certain closed-end funds. Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. CIRA closed-end fund recommendations include an investment rating and a risk rating. The Investment Rating code (1,2, 3) is based upon CIRA's expectation of the security's performance relative to its peer group of closed-end funds. The Risk Rating (L, M, H or S) represents the fund's expected risk, taking into account the quality and liquidity of the underlying securities, financial leverage and foreign currency exposure. Please refer to the end of this statement for a guide describing CIRA stock and closed-end fund ratings in further detail.

Closed end investment companies are grouped below by portfolio designations. Gain/Loss is provided to assist in tax preparation. It is not intended to calculate investment returns or performance.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
2,500	ISHARES BARCLAYS TREAS	TIP	03/18/09	$ 245,349.84 *R*	$ 98.649	$ 116.49	$ 291,225.00	$ 45,875.16 LT		
2,500	INFLATION PROTECTED SECS FD		03/31/09	256,575.84 *R*	103.139	116.49	291,225.00	34,649.16 LT		
500	Taxable bond portfolio		09/08/09	50,915.46 *R*	101.749	116.49	58,245.00	7,329.54 LT		
290.5344	Reinvestments to date			29,928.93 *R*	103.013	116.49	33,844.35	3,915.42 LT		
260.5606	Reinvestments to date			28,810.15	110.569	116.49	30,352.70	1,542.55 ST		
6,051.095				**611,580.22**	**101.069**		**704,892.05**	**93,311.83**	**4.188**	**29,523.29**
2,500	ISHARES BARCLAYS INTERMEDIATE	CIU	03/30/09	234,806.25	93.86	107.92	269,800.00	34,993.75 LT		
1,000	CR BD FD		09/02/09	102,223.20	102.123	107.92	107,920.00	5,696.80 LT		
950	Taxable bond portfolio		09/08/09	97,402.14	102.423	107.92	102,524.00	5,121.86 LT		
4,550			06/06/11	487,214.00	107.00	107.92	491,036.00	3,822.00 ST		
9,000				**921,645.59**	**102.405**		**971,280.00**	**49,634.41**	**3.812**	**37,026.00**
1,000	ISHARES S&P U.S PREFERRED STK	PFF	10/01/09	35,806.00	35.806	37.31	37,310.00	1,504.00 LT		
8,840	INDEX FD		06/06/11	350,503.35	39.569	37.31	329,820.40	(20,682.95) ST		
	Taxable bond portfolio									
79.6512	Reinvestments to date			3,001.92	37.688	37.31	2,971.79	(30.13) LT		
276.1642	Reinvestments to date			10,337.43	37.432	37.31	10,303.69	(33.74) ST		
10,195.8154				**399,648.70**	**39.197**		**380,405.88**	**(19,242.82)**	**6.944**	**26,417.36**
Total closed end fund taxable bond allocation							**$ 2,056,677.93**			
Total exchange traded funds and closed end funds				**$ 1,932,874.61**			**$ 2,056,677.93**	**($ 15,352.14) ST**	**4.52**	
								$ 139,055.56 LT		**$ 92,966.65**

Mutual funds

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency. Although money market funds seek to preserve the value of your investment at $1.00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall. Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below $1.00 per share. In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders. This liquidation process could take up to one month or more. During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account.

Certain mutual funds may not be transferable to other broker-dealers. For further information, please refer to the fund's Prospectus or call your Financial Advisor.

Yield is the current distribution annualized, divided by the fund's net asset value at the end of the statement period. Distributions may consist of income, capital gains or the return of capital. Distributions and current dividend for funds are based upon information provided by an outside vendor and are not verified by us. **"Tax-Based Cost vs. Current Value"** *is being provided for information purposes only.* **"Cash Distributions (since Inception)"** *when shown, may reflect distributions on positions no longer held in the account, and may not reflect all distributions received in cash due to but not limited to the following: investments made prior to 1/1/89, asset transfers, recent activity and certain adjustments made in your account.* **"Total Purchases vs. Current Value"** *is provided to assist you in comparing your* **"Total purchases"** *excluding reinvested distributions, with the current value of the fund's shares in your account.* **"Fund Value Increase/Decrease"** *reflects the difference between your total purchases and the current value of the fund's shares, plus cash distributions since inception.*

Number of shares	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Net Value Increase/ Decrease	Yield	Anticipated income (annualized)
5,120.411	OPPENHEIMER INTERNATIONAL BOND FD CL Y	OIBYX	07/10/09	$ 31,183.30	$ 6.09	$ 6.45	$ 33,026.65	$ 1,843.35	LT			
39,936.102			09/01/09	250,000.00	6.26	6.45	257,587.86	7,587.86	LT			
45,056.513	**Total Purchases**			**281,183.30**	**6.24**	**6.45**	**290,614.51**	**9,431.21**				
3,778.198	Reinvestments to date			24,293.83	6.43	6.45	24,369.38	75.55	LT			
2,568.708	Reinvestments to date			16,961.11	6.602	6.45	16,568.17	(392.94)	ST			
51,403.419	**Tax-based Cost vs. Current Value**			**322,438.24**	**6.273**		**331,552.06**	**9,113.82**			**4.294**	**14,238.74**
	Total Purchases vs. Current Value			**281,183.30**			**331,552.06**			**50,368.76**		
	Fund Value Increase/Decrease									**50,368.76**		
80,000	PIMCO ALL ASSET FUND CL A	PASAX	06/06/11	1,000,000.00	12.50	12.07	965,600.00	(34,400.00)	ST			
80,000	**Total Purchases**			**1,000,000.00**	**12.50**	**12.07**	**965,600.00**	**(34,400.00)**				
1,730.608	Reinvestments to date			20,875.71	12.062	12.07	20,888.44	12.73	ST			
81,730.608	**Tax-based Cost vs. Current Value**			**1,020,875.71**	**12.491**		**986,488.44**	**(34,387.27)**			**7.166**	**70,696.97**
	Total Purchases vs. Current Value			**1,000,000.00**			**986,488.44**			**(13,511.56)**		
	Fund Value Increase/Decrease									**(13,511.56)**		
31,380.753	PIMCO GLOBAL BOND (US HEDGED) CL P	PGNPX	02/16/10	300,000.00	9.56	10.13	317,887.03	17,887.03	LT			
31,380.753	**Total Purchases**			**300,000.00**	**9.56**	**10.13**	**317,887.03**	**17,887.03**				
404.801	Reinvestments to date			4,020.19	9 931	10.13	4,100.63	80.44	LT			
1,614.453	Reinvestments to date			15,880.93	9.836	10.13	16,354.41	473.48	ST			
33,400.007	**Tax-based Cost vs. Current Value**			**319,901.12**	**9.578**		**338,342.07**	**18,440.95**			**2.734**	**9,251.80**

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FESTUS & HELEN STACY FND INC **Account number 16J-11471-16 503**

Mutual funds *continued*

Number of shares	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/ Decrease	Yield	Anticipated income (annualized)
	PIMCO GLOBAL BOND (US HEDGED) CL P	PGNPX									
	Total Purchases vs. Current Value			$ 300,000.00			$ 338,342.07		$ 38,342.07		
	Fund Value Increase/Decrease								38,342.07		
1,455.312	TEMPLETON GLOBAL BOND FUND ADVISOR CLASS	TGBAX	07/10/09	17,201.79	11.82	13.23	19,253.78	2,051.99 LT			
20,661.157			09/01/09	250,000.00	12.10	13.23	273,347.11	23,347.11 LT			
37,119.525			02/01/11	500,000.00	13.47	13.23	491,091.32	(8,908.68) ST			
59,235.994	**Total Purchases**			**787,201.79**	**12.95**	**13.23**	**783,692.21**	**16,490.42**			
1,845.032	Reinvestments to date			23,741.43	12.867	13.23	24,409.77	668.34 LT			
2,717.434	Reinvestments to date			36,757.01	13.526	13.23	35,951.65	(805.36) ST			
63,798.46	**Tax-based Cost vs. Current Value**			827,700 23	12.974		844,053.63	16,353.40		4.782	40,448.22
	Total Purchases vs. Current Value			787,201.79			844,053.63		76,851.84		
	Fund Value Increase/Decrease								76,851.84		
	Total mutual funds (Tax based)			$ 2,490,916.30			$ 2,600,436.20	($ 44,020.77) ST		5.38	
								$ 53,541.67 LT			$ 134,635.73
	Total Fund Value Increase/Decrease								$ 162,051.11		

Bonds

Unrealized gains & losses have been adjusted to account for the accretion of OID (original issue discount), the amortization of premium, and/or the accretion of market discount.

Call features shown indicate the next regularly scheduled call date and price. Your holdings may be subject to other redemption features including sinking funds or extraordinary calls.

The credit rating from Moody's Investors Service and Standard & Poor's may be shown for certain securities. All credit ratings represent the "opinions" of the provider and are not representations or guarantees of performance. Your Financial Advisor will be pleased to provide you with further information or assistance in interpreting these credit ratings.

Corporate bonds

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued interest	Current value	Unrealized Gain/(loss) Original/ Adjusted	Current % Yield/ Anticip. Income (annualized)	Ordinary Income/ Capital gain/(loss)
50,000	DONNELLEY R R & SONS CO MEDIUM TERM NOTES-BK/ENTRY DTD 01/08/2007 INT: 06.125% MATY: 01/15/2017 Rating: BA1/BB +	04/05/10 257867AT8	$ 50,249.00 $ 50,199.00	$ 100.498 $ 100.398	95.00 $ 901.74	$ 47,500.00	($ 2,749.00) LT ($ 2,699.00) LT	6.447 $ 3,062.50	$ 0.00 ($ 2,699.00)

Corporate bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued interest	Current value	Unrealized Gain/(loss) Original/ Adjusted	Current % Yield/ Anticip. Income (annualized)	Ordinary income/ Capital gain/(loss)
23,000	GTE CORP DEBS-BK/ENTRY DTD 4/27/1998 INT: 06.840% MATY: 04/15/2018 Rating: BAA1/A-	04/07/10 362320AZ6	$ 25,490.21 $ 25,082.65	$ 110.827 $ 109.055	118.974 $ 69.92	$ 27,364.02	$ 1,873.81 LT $ 2,281.37 LT	5.749 $ 1,573.20	$ 0.00 $ 2,281.37
50,000	DOW CHEMICAL CO DTD 05/08/2008 INT: 05.700% MATY: 05/15/2018 Rating: BAA3/BBB	03/25/10 260543BV4	51,645.50 51,377.00	103.291 102.754	111.358 1,314.17	55,679.00	4,033.50 LT 4,302.00 LT	5.118 2,850.00	0.00 4,302.00
50,000	BB&T CORP DTD 10/27/2004 INT: 05.250% MATY: 11/01/2019 Rating: A3/A-	04/07/10 054937AF4	50,380.00 50,331.00	100.76 100.662	108.161 1,312.50	54,080.50	3,700.50 LT 3,749.50 LT	4.853 2,625.00	0.00 3,749.50
50,000	GENERAL ELECTRIC CAP CORP BOOK/ENTRY DTD 01/08/2010 INT: 05.500% MATY: 01/08/2020 Rating: AA2/AA+	03/22/10 36962G4J0	51,402.00 51,217.00	102.804 102.434	111.516 863.19	55,758.00	4,356.00 LT 4,541.00 LT	4.932 2,750.00	0.00 4,541.00
50,000	VALERO ENERGY CORP BOOK/ENTRY DTD 02/08/2010 INT: 06.125% MATY: 02/01/2020 Rating: BAA2/BBB	03/29/10 91913YAR1	50,059.50 50,050.50	100.119 100.101	114.829 765.63	57,414.50	7,355.00 LT 7,364.00 LT	5.334 3,062.50	0.00 7,364.00
50,000	KRAFT FOODS INC BOOK/ENTRY DTD 02/08/2010 INT: 05.375% MATY: 02/10/2020 Rating: BAA2/BBB-	03/05/10 50075NBA1	51,403.00 51,213.00	102.806 102.426	115.257 604.69	57,628.50	6,225.50 LT 6,415.50 LT	4.663 2,687.50	0.00 6,415.50
50,000	GOLDMAN SACHS GROUP INC BOOK/ENTRY	03/12/10 38141EA58	49,962.50 49,962.50	99.925 99.925	101.405	50,702.50	740.00 LT 740.00 LT		0.00 740.00
50,000	DTD 03/08/2010 INT: 05.375% MATY: 03/15/2020	05/07/10	46,949.50 46,949.50	93.899 93.899	101.405	50,702.50	3,753.00 LT 3,753.00 LT		347.00 3,406.00
100,000	Rating: A1/A		96,912.00 96,912.00	96.90 96.90	686.81	101,405.00	4,493.00 4,493.00	5.30 5,376.00	347.00 4,146.00
50,000	MORGAN STANLEY SENIOR FIXED RATE STEP-UP CALLABLE NOTES INT: 05.000% MATY: 09/30/2025 Rating: A2/A Next call on 09/30/13 @ 100.000	09/27/10 61745EH95	50,000.00 50,000.00	100.00 100.00	94.055 215.28	47,027.50	(2,972.50) LT (2,972.50) LT	5.316 2,500.00	0.00 (2,972.50)
Total corporate bonds 473,000			$ 477,541.21		$ 6,733.93	$ 503,957.02	$ 0.00 ST $ 27,474.87 LT	5.25 $ 26,486.70	$ 347.00 $ 27,127.87

Government & government sponsored entity (GSE) bonds

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip. Income (annualized)	Ordinary Income/ Capital gain/(loss)
250,000	US TSY INFLATION INDEX NTS CPI	08/31/09	$ 252,402.90	$ 98.935	102.383	$ 274,314.77	$ 21,911.87	LT	.61	$ 1,690.34
	SER J-2013 DTD 04/30/08	912828HW3	$ 252,402.90	$ 98.935	$ 77.78		$ 21,911.87	LT	$ 1,674.56	$ 20,221.53
	INT: 00.625% MATY: 04/15/2013									
	Factor: 1.07172									
	Curr.face $ 267,930.00									
250,000	US TSY INFLATION INDEX NTS CPI	04/03/09	244,300.97	99.718	112.023	294,194.80	49,893.83	LT	1.227	0.00
	DTD 07/15/2008	912828JE1	244,300.97	99.718	1,069.57		49,893.83	LT	3,611.02	49,893.83
	INT: 01.375% MATY: 07/15/2018									
	Factor: 1.05048									
	Curr.face $ 262,620.00									
	Total government & government sponsored entity (GSE) bonds		$ 496,703.87		$ 1,147.35	$ 568,509.57	$ 0.00	ST	1.92	$ 1,690.34
$ 500,000							$ 71,805.70	LT	$ 5,285.58	$ 70,115.36
	[illegible]						($ 59,372.91)	ST	2.25	$ 2,037.94
							$ 291,877.80	LT	$ 258,964.61	$ 97,243.23

Common stocks & options

Equity Weighting by Economic Sector

The "Equity Weighting by Economic Sector" represents the common stocks in your account. It does not include convertible preferred or other types of equity securities that you may own. Citi Investment Research & Analysis (CIRA) Sector recommendations are intended for capital appreciation oriented investors seeking to outperform the S&P 500. These Sector allocation recommendations may not be appropriate for all investors. Past performance is no guarantee of future results.

Sector	% of assets	Citi Sector weight
Energy	13.00	11.80
Industrials	14.17	8.70
Consumer staples	13.27	13.20
Financials	11.58	15.80
Telecommunication services	7.50	3.50

Sector	% of assets	Citi Sector weight
Materials	8.70	2.80
Consumer discretionary	5.48	9.50
Health Care	5.66	9.00
Information technology	11.30	22.00
Utilities	9.34	3.60

Energy

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
195	CHEVRON CORP	CVX	05/20/11	$ 19,879.96	$ 101.948	$ 105.05	$ 20,484.75	$ 604.79	ST	3.04		
55			08/24/11	5,316.30	96.66	105.05	5,777.75	461.45	ST	8.68		
50			08/25/11	4,821.00	96.42	105.05	5,252.50	431.50	ST	8.95		
100			08/26/11	9,495.00	94.95	105.05	10,505.00	1,010.00	ST	10.64		
400				**39,512.26**	**98.781**		**42,020.00**	**2,507.74**		6.35	**3.084**	**1,296.00**
190	CONOCOPHILLIPS	COP	03/02/11	14,781.45	77.797	69.65	13,233.50	(1,547.95)	ST	(10.47)		
15			05/20/11	1,080.12	72.008	69.65	1,044.75	(35.37)	ST	(3.27)		
45			08/24/11	2,934.90	65.22	69.65	3,134.25	199.35	ST	6.79		
50			08/25/11	3,262.50	65.25	69.65	3,482.50	220.00	ST	6.74		
50			08/26/11	3,212.50	64.25	69.65	3,482.50	270.00	ST	8.40		
350				**25,271.47**	**72.204**		**24,377.50**	**(893.97)**		(3.54)	**3.79**	**924.00**
360	EXXON MOBIL CORP	XOM	06/23/11	27,908.06	77.522	78.09	28,112.40	204.34	ST	.73		
140			08/24/11	10,239.60	73.14	78.09	10,932.60	693.00	ST	6.77		
150			08/25/11	10,808.67	72.057	78.09	11,713.50	904.83	ST	8.37		
650				**48,956.33**	**75.317**		**50,758.50**	**1,802.17**		3.68	**2.407**	**1,222.00**
400	SPECTRA ENERGY CORP	SE	08/24/11	9,896.00	24.74	28.63	11,452.00	1,556.00	ST	15.72		
400			08/25/11	10,083.12	25.207	28.63	11,452.00	1,368.88	ST	13.58		
400			08/26/11	9,964.00	24.91	28.63	11,452.00	1,488.00	ST	14.93		
1,200				**29,943.12**	**24.953**		**34,356.00**	**4,412.88**		14.74	**3.911**	**1,344.00**

Ref: 00008242 00060202

MorganStanley SmithBarney

Private Wealth Management
Financial Management Account

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FESTUS & HELEN STACY FND INC — **Account number 16J-11473-14 503**

Energy *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
505	TOTAL S.A SPONS ADR	TOT	02/07/11	$ 29,874.39	$ 59.157	$ 52.30	$ 26,411.50	($ 3,462.89)	ST	(11.59)	5.152%	$ 1,360.98
Subtotal for energy				$ 173,557.57			$ 177,923.50	$ 4,365.93			3.45	$ 6,146.98

Materials

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
190	E I DU PONT DE NEMOURS & CO	DD	05/20/11	$ 9,995.52	$ 52.608	$ 48.07	$ 9,133.30	($ 862.22)	ST	(8.63)		
110			08/24/11	4,981.90	45.29	48.07	5,287.70	305.80	ST	6.14		
200			08/25/11	9,077.60	45.388	48.07	9,614.00	536.40	ST	5.91		
175			08/26/11	7,876.75	45.01	48.07	8,412.25	535.50	ST	6.80		
675				31,931.77	47.306		32,447.25	515.48		1.61	3.411	1,107.00
635	INTERNATIONAL PAPER CO	IP	05/06/11	20,134.71	31.708	27.70	17,589.50	(2,545.21)	ST	(12.64)		
95			06/16/11	2,555.12	26.896	27.70	2,631.50	76.38	ST	2.99		
160			08/05/11	4,055.78	25 348	27.70	4,432.00	376.22	ST	9.28		
150			08/05/11	3,802.29	25.348	27.70	4,155.00	352.71	ST	9.28		
260			08/24/11	6,388.20	24.57	27.70	7,202.00	813.80	ST	12.74		
300			08/25/11	7,565.40	25.218	27.70	8,310.00	744.60	ST	9.84		
200			08/26/11	4,970.00	24.85	27.70	5,540.00	570.00	ST	11.47		
1,800				49,471.50	27.484		49,860.00	388.50		.79	3.79	1,890.00
110	PPG INDUSTRIES INC	PPG	05/20/11	9,617.87	87.435	86.41	9,505.10	(112.77)	ST	(1.17)		
90			08/24/11	6,370.20	70.78	86.41	7,776.90	1,406.70	ST	22.08		
100			08/25/11	7,122.40	71.224	86.41	8,641.00	1,518.60	ST	21.32		
125			08/26/11	8,811.25	70.49	86.41	10,801.25	1,990.00	ST	22.58		
425				31,921.72	75.11		36,724.25	4,802.53		15.04	2.638	969.00
Subtotal for materials				$ 113,324.99			$ 119,031.50	$ 5,706.51			3.33	$ 3,966.00

Industrials

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
955	GENERAL ELECTRIC CO	GE	02/07/11	$ 19,921.30	$ 20.86	$ 16.71	$ 15,958.05	($ 3,963.25)	ST	(19.89)		
345			08/24/11	5,347.50	15.50	16.71	5,764.95	417.45	ST	7.81		
300			08/25/11	4,646.19	15.487	16.71	5,013.00	366.81	ST	7.89		

Industrials *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
400	GENERAL ELECTRIC CO	GE	08/26/11	$ 6,148.00	$ 15.37	$ 16.71	$ 6,684.00	$ 536.00	ST	8.72		
2,000				**36,062.99**	**18.031**		**33,420.00**	**(2,642.99)**		(7.33)	**3.59**	**1,200.00**
100	HONEYWELL INTL INC	HON	08/24/11	4,410.00	44.10	52.40	5,240.00	830.00	ST	18.82		
150			08/25/11	6,650.67	44.337	52.40	7,860.00	1,209.33	ST	18.18		
100			08/26/11	4,431.00	44.31	52.40	5,240.00	809.00	ST	18.26		
350				**15,491.67**	**44.262**		**18,340.00**	**2,848.33**		18.39	**2.843**	**521.50**
155	LOCKHEED MARTIN CORP	LMT	05/20/11	**12,370.47**	79.809	75.90	**11,764.50**	**(605.97)**	ST	(4.90)	**5.27**	**620.00**
165	3M COMPANY	MMM	02/07/11	14,654.34	88.814	79.02	13,038.30	(1,616.04)	ST	(11.03)		
10			07/26/11	914.86	91.486	79.02	790.20	(124.66)	ST	(13.63)		
75			08/24/11	5,955.00	79.40	79.02	5,926.50	(28.50)	ST	(.48)		
100			08/25/11	7,849.78	78.497	79.02	7,902.00	52.22	ST	.67		
50			08/26/11	3,891.50	77.83	79.02	3,951.00	59.50	ST	1.53		
400				**33,265.48**	**83.164**		**31,608.00**	**(1,657.48)**		(4.98)	**2.784**	**880.00**
130	UNITED PARCEL SERVICE CL B	UPS	02/07/11	9,652.21	74.247	70.24	9,131.20	(521.01)	ST	(5.40)		
135			02/11/11	10,083.54	74.692	70.24	9,482.40	(601.14)	ST	(5.96)		
5			05/20/11	370.70	74.139	70.24	351.20	(19.50)	ST	(5.26)		
80			08/24/11	5,094.40	63.68	70.24	5,619.20	524.80	ST	10.30		
50			08/25/11	3,199.50	63.99	70.24	3,512.00	312.50	ST	9.77		
100			08/26/11	6,332.00	63.32	70.24	7,024.00	692.00	ST	10.93		
500				**34,732.35**	**69.465**		**35,120.00**	**387.65**		1.12	**2.961**	**1,040.00**
100	UNITED TECHNOLOGIES CORP	UTX	08/24/11	6,968.00	69.68	77.98	7,798.00	830.00	ST	11.91		
50			08/25/11	3,552.00	71.04	77.98	3,899.00	347.00	ST	9.77		
75			08/26/11	5,273.25	70.31	77.98	5,848.50	575.25	ST	10.91		
225				**15,793.25**	**70.192**		**17,545.50**	**1,752.25**		11.09	**2.462**	**432.00**
760	WASTE MGMT INC DEL	WM	08/04/11	23,328.12	30.694	32.93	25,026.80	1,698.68	ST	7.28		
140			08/24/11	4,471.60	31.94	32.93	4,610.20	138.60	ST	3.10		
200			08/25/11	6,637.56	33.187	32.93	6,586.00	(51.56)	ST	(.78)		
300			08/26/11	9,702.00	32.34	32.93	9,879.00	177.00	ST	1.82		
1,400				**44,139.28**	**31.528**		**46,102.00**	**1,962.72**		4.45	**4.129**	**1,804.00**
Subtotal for Industrials				**$ 191,855.49**			**$ 193,900.00**	**$ 2,044.51**			**3.40**	**$ 6,597.50**

Private Wealth Management
Financial Management Account
October 1 - October 31, 2011

FESTUS & HELEN STACY FND INC **Account number 16J-11473-14 503**

Consumer discretionary

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
525	COMCAST CORP CL A - SPL	CMCSK	07/18/11	$ 12,082.72	$ 23.014	$ 23.00	$ 12,075.00	($ 7.72)	ST	(.06)		
275			08/24/11	5,456.00	19.84	23.00	6,325.00	869.00	ST	15.93		
200			08/25/11	4,039.44	20.197	23.00	4,600 00	560.56	ST	13.88		
125			08/26/11	2,510.00	20.08	23.00	2,875.00	365.00	ST	14.54		
1,125				**24,088.16**	**21.412**		**25,875.00**	**1,786.84**		7.42	1.956	506.25
405	HOME DEPOT INC	HD	05/20/11	14,983.50	36.996	35.80	14,499.00	(484.50)	ST	(3.23)		
165			06/02/11	5,787.97	35.078	35.80	5,907.00	119.03	ST	2.06		
130			08/24/11	4,287.40	32.98	35.80	4,654.00	366.60	ST	8.55		
100			08/25/11	3,399.73	33.997	35.80	3,580.00	180.27	ST	5.30		
100			08/26/11	3,399.00	33.99	35.80	3,580.00	181.00	ST	5.33		
5			10/11/11	171.93	34.386	35.80	179.00	7.07	ST	4.11		
905				**32,029.53**	**35.392**		**32,399.00**	**369.47**		1.15	2.783	905.00
180	MCDONALDS CORP	MCD	02/07/11	**13,328.61**	74.047	92.85	**16,713.00**	**3,384.39**	ST	25.39	3.015	504.00
Subtotal for consumer discretionary				**$ 69,446.30**			**$ 74,987.00**	**$ 5,540.70**			**2.55**	**$ 1,915.25**

Consumer staples

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
520	H J HEINZ CO	HNZ	02/07/11	$ 24,989.64	$ 48.057	$ 53.44	$ 27,788.80	$ 2,799.16	ST	11.20		
205			08/26/11	10,354.55	50.51	53.44	10,955.20	600.65	ST	5.80		
5			09/27/11	258.04	51.607	53.44	267.20	9.16	ST	3.55		
730				**35,602.23**	**48.77**		**39,011.20**	**3,408.97**		9.58	3.592	1,401.60
385	KIMBERLY CLARK CORP	KMB	02/07/11	24,973.53	64.866	69.71	26,838.35	1,864.82	ST	7.47		
70			04/01/11	4,578.58	65.408	69.71	4,879.70	301.12	ST	6.58		
15			04/25/11	958.17	63.878	69.71	1,045.65	87.48	ST	9.13		
80			08/24/11	5,369.60	67.12	69.71	5,576.80	207.20	ST	3.86		
50			08/25/11	3,363.00	67.26	69.71	3,485.50	122.50	ST	3.64		
75			08/26/11	5,023.50	66.98	69.71	5,228.25	204.75	ST	4.08		
675				**44,266.38**	**65.58**		**47,054.25**	**2,787.87**		6.30	4.016	1,880.00
540	PROCTER & GAMBLE CO	PG	02/07/11	34,866.72	64.568	63.99	34,554.60	(312.12)	ST	(.80)		
110			08/24/11	6,897.00	62.70	63.99	7,038.90	141.90	ST	2.06		
100			08/25/11	6,263.60	62.636	63.99	6,399.00	135.40	ST	2.16		
100			08/26/11	6,228.00	62.28	63.99	6,399.00	171.00	ST	2.75		
850				**54,255.32**	**63.83**		**54,391.50**	**136.18**		.25	3.281	1,785.00

Private Wealth Management
Financial Management Account
October 1 - October 31, 2011

FESTUS & HELEN STACY FND INC   Account number 16J-11473-14 503

Consumer staples *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
175	WAL-MART STORES INC	WMT	02/07/11	$ 9,794.05	$ 55.966	$ 56.72	$ 9,926.00	$ 131.95	ST	1.35		
205			07/18/11	10,915.68	53.247	56.72	11,627.60	711.92	ST	6.52		
120			08/24/11	6,358.80	52.99	56.72	6,806.40	447.60	ST	7.04		
100			08/25/11	5,292.00	52.92	56.72	5,672.00	380.00	ST	7.18		
125			08/26/11	6,587.50	52.70	56.72	7,090.00	502.50	ST	7.63		
725				**38,948.03**	**53.721**		**41,122.00**	**2,173.97**		**5.58**	**2.574**	**1,058.50**
Subtotal for consumer staples				**$ 173,071.96**			**$ 181,578.95**	**$ 8,506.99**			**3.37**	**$ 6,135.10**

Health care

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
960	BRISTOL MYERS SQUIBB CO	BMY	02/07/11	$ 24,966.72	$ 26.007	$ 31.59	$ 30,326.40	$ 5,359.68	ST	21.47		
240			08/26/11	6,792.00	28.30	31.59	7,581.60	789.60	ST	11.63		
1,200				**31,758.72**	**26.466**		**37,908.00**	**6,149.28**		**19.36**	**4.178**	**1,584.00**
305	JOHNSON & JOHNSON	JNJ	05/20/11	20,047.04	65.728	64.39	19,638.95	(408.09)	ST	(2.04)		
45			08/24/11	2,942.55	65.39	64.39	2,897.55	(45.00)	ST	(1.53)		
50			08/25/11	3,221.00	64.42	64.39	3,219.50	(1.50)	ST	(.05)		
75			08/26/11	4,766.25	63.55	64.39	4,829.25	63.00	ST	1.32		
20			10/26/11	1,278.52	63.926	64.39	1,287.80	9.28	ST	.73		
495				**32,255.36**	**65.162**		**31,873.05**	**(382.31)**		**(1.19)**	**3.54**	**1,128.60**
310	PFIZER INC	PFE	07/18/11	6,057.03	19.538	19.26	5,970.60	(86.43)	ST	(1.43)		
90			08/26/11	1,602.90	17.81	19.26	1,733.40	130.50	ST	8.14		
400				**7,659.93**	**19.15**		**7,704.00**	**44.07**		**.58**	**4.153**	**320.00**
Subtotal for health care				**$ 71,674.01**			**$ 77,485.05**	**$ 5,811.04**			**3.91**	**$ 3,032.60**

Financials

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
260	ANNALY CAPITAL MANAGEMENT INC	NLY	02/07/11	$ 4,622.75	$ 17.779	$ 16.85	$ 4,381.00	($ 241.75)	ST	(5.23)		
495			08/25/11	8,621.07	17.416	16.85	8,340.75	(280.32)	ST	(3.25)		
300			08/26/11	5,214.00	17.38	16.85	5,055.00	(159.00)	ST	(3.05)		
1,055				**18,457.82**	**17.496**		**17,776.75**	**(681.07)**		**(3.69)**	**14.243**	**2,532.00**

Financials *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
50	BLACKROCK INC	BLK	05/20/11	$ 9,903.60	$ 198.072	$ 157.79	$ 7,889.50	($ 2,014.10)	ST	(20.34)		
45			05/31/11	9,205.43	204.665	157.79	7,100.55	(2,104.88)	ST	(22.87)		
55			08/24/11	8,709.25	158.35	157.79	8,678.45	(30.80)	ST	(.35)		
25			08/25/11	4,056.25	162.25	157.79	3,944.75	(111.50)	ST	(2.75)		
15			08/26/11	2,366.40	157.76	157.79	2,366.85	.45	ST	.02		
190				**34,240.93**	**180.215**		**29,980.10**	**(4,260.83)**		(12.44)	**3.485**	**1,045.00**
2,595	CHIMERA INVESTMENT CORP	CIM	05/20/11	**10,198.35**	3.93	3.01	**7,810.95**	**(2,387.40)**	ST	(23.41)	**17.275**	**1,349.40**
675	NEW YORK COMMUNITY BANCORP	NYB	07/18/11	10,069.65	14.918	13.31	8,984.25	(1,085.40)	ST	(10.78)		
225			08/24/11	2,749.50	12.22	13.31	2,994.75	245.25	ST	8.92		
300			08/25/11	3,710.31	12.367	13.31	3,993.00	282.69	ST	7.62		
350			08/26/11	4,252.50	12.15	13.31	4,658.50	406.00	ST	9.55		
1,550				**20,781.96**	**13.408**		**20,630.50**	**(151.46)**		(.73)	**7.513**	**1,550.00**
700	PEOPLES UNITED FINCL INC	PBCT	08/24/11	7,616.00	10.88	12.75	8,925.00	1,309.00	ST	17.19		
700			08/25/11	7,741.93	11.059	12.75	8,925.00	1,183.07	ST	15.28		
700			08/26/11	7,595.00	10.85	12.75	8,925.00	1,330.00	ST	17.51		
2,100				**22,952.93**	**10.93**		**26,775.00**	**3,822.07**		16.65	**4.941**	**1,323.00**
345	TRAVELERS COMPANIES INC	TRV	02/07/11	19,726.69	57.178	58.35	20,130.75	404.06	ST	2.05		
70			06/28/11	4,017.80	57.397	58.35	4,084.50	66.70	ST	1.66		
185			08/24/11	9,050.20	48.92	58.35	10,794.75	1,744.55	ST	19.28		
200			08/25/11	9,673.60	48.368	58.35	11,670.00	1,996.40	ST	20.64		
150			08/26/11	7,155.00	47.70	58.35	8,752.50	1,597.50	ST	22.33		
950				**49,623.29**	**52.235**		**55,432.50**	**5,809.21**		11.71	**2.81**	**1,558.00**
Subtotal for financials				**$ 156,255.28**			**$ 158,405.80**	**$ 2,150.52**			**5.90**	**$ 9,357.40**

Information technology

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
185	AUTOMATIC DATA PROCESSING INC.	ADP	05/20/11	$ 9,995.18	$ 54.028	$ 52.33	$ 9,681.05	($ 314.13)	ST	(3.14)		
115			08/24/11	5,529.20	48.08	52.33	6,017.95	488.75	ST	8.84		
200			08/25/11	9,633.00	48.165	52.33	10,466.00	833.00	ST	8.65		
150			08/26/11	7,137.00	47.58	52.33	7,849.50	712.50	ST	9.98		
650				**32,294.38**	**49.684**		**34,014.50**	**1,720.12**		5.33	**2.751**	**936.00**
200	INTEL CORP	INTC	08/24/11	3,916.00	19.58	24.54	4,908.00	992.00	ST	25.33		
300			08/25/11	5,890.98	19.636	24.54	7,362.00	1,471.02	ST	24.97		

Information technology *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated income (annualized)
300	INTEL CORP	INTC	08/26/11	$ 5,814.00	$ 19.38	$ 24.54	$ 7,362.00	$ 1,548.00	ST	26.63		
800				**15,620.98**	**19.526**		**19,632.00**	**4,011.02**		25.68	**3.422**	**672.00**
1,060	MICROSOFT CORP	MSFT	02/07/11	29,889.88	28.198	26.63	28,227.80	(1,662.08)	ST	(5.56)		
340			08/24/11	8,364.00	24.60	26.63	9,054.20	690.20	ST	8.25		
200			08/25/11	4,953.18	24.765	26.63	5,326.00	372.82	ST	7.53		
250			08/26/11	6,120.00	24.48	26.63	6,657.50	537.50	ST	8.78		
1,850				**49,327.08**	**26.663**		**49,265.50**	**(61.56)**		(.12)	**3.004**	**1,480.00**
350	MICROCHIP TECHNOLOGY INC	MCHP	08/25/11	11,045.30	31.558	36.18	12,663.00	1,617.70	ST	14.65		
375			08/26/11	11,685.00	31.16	36.18	13,567.50	1,882.50	ST	16.11		
725				**22,730.30**	**31.352**		**26,230.50**	**3,500.20**		15.40	**3.836**	**1,006.30**
450	PAYCHEX INC	PAYX	02/07/11	14,939.10	33.198	29.14	13,113.00	(1,826.10)	ST	(12.22)		
150			08/24/11	3,900.00	26.00	29.14	4,371.00	471.00	ST	12.08		
150			08/25/11	3,898.50	25.99	29.14	4,371.00	472.50	ST	12.12		
125			08/26/11	3,223.75	25.79	29.14	3,642.50	418.75	ST	12.99		
875				**25,961.35**	**29.67**		**25,497.50**	**(463.85)**		(1.79)	**4.392**	**1,120.00**
Subtotal for Information technology				**$ 145,934.07**			**$ 154,640.00**	**$ 8,705.93**			**3.37**	**$ 5,214.30**

Telecommunication services

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated income (annualized)
895	AT&T INC	T	02/07/11	$ 24,922.17	$ 27.846	$ 29.31	$ 26,232.45	$ 1,310.28	ST	5.26		
105			08/24/11	3,034.50	28.90	29.31	3,077.55	43.05	ST	1.42		
200			08/25/11	5,847.60	29.238	29.31	5,862.00	14.40	ST	.25		
100			08/26/11	2,894.00	28.94	29.31	2,931.00	37.00	ST	1.28		
1,300				**36,698.27**	**28.229**		**38,103.00**	**1,404.73**		3.83	**5.868**	**2,236.00**
400	VERIZON COMMUNICATIONS	VZ	08/24/11	14,344.00	35.86	36.98	14,792.00	448.00	ST	3.12		
400			08/25/11	14,402.92	36.007	36.98	14,792.00	389.08	ST	2.70		
500			08/26/11	17,830.00	35.66	36.98	18,490.00	660.00	ST	3.70		
15			10/28/11	564.57	37.638	36.98	554.70	(9.87)	ST	(1.75)		
1,315				**47,141.49**	**35.849**		**48,628.70**	**1,487.21**		3.15	**5.408**	**2,630.00**
400	WINDSTREAM CORP	WIN	08/24/11	4,784.00	11.96	12.17	4,868.00	84.00	ST	1.76		
400			08/25/11	4,795.20	11.988	12.17	4,868.00	72.80	ST	1.52		

Telecommunication services *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
500	WINDSTREAM CORP	WIN	08/26/11	$ 5,960.00	$ 11.92	$ 12.17	$ 6,085.00	$ 125.00	ST	2.10		
1,300				15,539.20	11.953		15,821.00	281.80		1.81	8.216	1,300.00
Subtotal for telecommunication services				$ 99,378.96			$ 102,552.70	$ 3,173.74			6.01	$ 6,166.00

Utilities

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
555	AMERICAN ELECTRIC POWER CO INC	AEP	02/07/11	$ 19,917.29	$ 35.887	$ 39.28	$ 21,800.40	$ 1,883.11	ST	9.45		
200			08/26/11	7,500.00	37.50	39.28	7,856.00	356.00	ST	4.75		
755				27,417.29	36.314		29,656.40	2,239.11		8.17	4.786	1,419.40
500	CENTERPOINT ENERGY INC	CNP	08/24/11	9,615.00	19.23	20.84	10,420.00	805.00	ST	8.37		
500			08/25/11	9,584.00	19.168	20.84	10,420.00	836.00	ST	8.72		
600			08/26/11	11,496.00	19.16	20.84	12,504.00	1,008.00	ST	8.77		
1,600				30,695.00	19.184		33,344.00	2,649.00		8.63	3.79	1,264.00
360	NEXTERA ENERGY INC	NEE	02/07/11	19,867.36	55.187	56.40	20,304.00	436.64	ST	2.20		
40			08/24/11	2,180.80	54.52	56.40	2,256.00	75.20	ST	3.45		
100			08/25/11	5,519.20	55.192	56 40	5,640.00	120.80	ST	2.19		
50			08/26/11	2,744.00	54.88	56.40	2,820.00	76.00	ST	2.77		
20			10/26/11	1,113 54	55.677	56.40	1,128.00	14.46	ST	1.30		
570				31,424.90	55.131		32,148.00	723.10		2.30	3.90	1,254.00
1,005	WISCONSIN ENERGY CORP HLDG CO	WEC	10/28/11	33,008.02	32.843	32.43	32,592.15	(415.87)	ST	(1.26)	3.206	1,045.20
Subtotal for utilities				$ 122,545.21			$ 127,740.55	$ 5,195.34			3.90	$ 4,982.60
Total common stocks				$ 1,317,043.84			$ 1,368,245.05	$ 51,201.21	ST	3.89	3.91	
								$ 0.00	LT			$ 53,513.73
Total common stocks and options				$ 1,317,043.84			$ 1,368,245.05	$ 51,201.21	ST		3.91	
								$ 0.00	LT			$ 53,513.73

MorganStanley
SmithBarney

Private Wealth Management
Financial Management Account

October 1 - October 31, 2011

FESTUS & HELEN STACY FND INC **Account number 16J-11473-14 503**

Preferred stocks

The credit rating from Moody's Investors Service and Standard & Poor's may be shown for certain securities. All credit ratings represent the "opinions" of the provider and are not representations or guarantees of performance. Your Financial Advisor will be pleased to provide you with further information or assistance in interpreting these credit ratings.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
975	COMCAST CORP 7.0% Rated BBB + Next call on 11/30/11	CCW	10/28/11	$ 24,793.67	$ 25.429	$ 25.38	$ 24,745.50	($ 48.17) ST	6.895%	$ 1,706.25
115	METLIFE INC COMMON EQUITY UTS SNR UNSEC 5% Rated BBB-	MLU	03/08/11	9,959.16	86.601	67.62	7,776.30	(2,182.86) ST		
30			03/14/11	2,542.03	84.734	67.62	2,028.60	(513.43) ST		
20			08/09/11	1,290.66	64.532	67.62	1,352.40	61.74 ST		
85			08/24/11	5,337.15	62.79	67.62	5,747.70	410.55 ST		
50			08/25/11	3,208.00	64.16	67.62	3,381.00	173.00 ST		
75			08/26/11	4,696.50	62.62	67.62	5,071.50	375.00 ST		
15			10/11/11	896.30	59.753	67.62	1,014.30	118.00 ST		
390				27,929.80	71.615		26,371.80	(1,558.00)	5.545	1,462.50
320	METLIFE INC 6.50% PFD Rated BBB- Next call on 11/30/11	METPRB	07/18/11	8,031.26	25.097	25.30	8,096.00	64.74 ST	6.422	520.00
390	NEXTERA ENERGY INC DTD 09/21/2010 MTY 09/01/2013	NEEEU	02/07/11	19,769.10	50.69	52.99	20,666.10	897.00 ST	6.605	1,365.00
Total preferred stocks				$ 80,523.83			$ 79,879.40	($ 644.43) ST $ 0.00 LT	6.32	$ 5,053.75

MorganStanley SmithBarney

Private Wealth Management
Financial Management Account

October 1 - October 31, 2011

FESTUS & HELEN STACY FND INC — ***Account number 16J-11473-14 503***

Bonds

Unrealized gains & losses have been adjusted to account for the accretion of OID (original issue discount), the amortization of premium, and/or the accretion of market discount.

Call features shown indicate the next regularly scheduled call date and price. Your holdings may be subject to other redemption features including sinking funds or extraordinary calls.

The credit rating from Moody's Investors Service and Standard & Poor's may be shown for certain securities. All credit ratings represent the "opinions" of the provider and are not representations or guarantees of performance. Your Financial Advisor will be pleased to provide you with further information or assistance in interpreting these credit ratings.

Corporate bonds

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip. Income (annualized)	Ordinary Income/ Capital gain/(loss)
22,000	VERISIGN INC CV	09/26/11	$ 22,165.00	$ 100.75	110.125	$ 24,227.50	$ 2,062.50	ST	2.951	$ 0.00
	BOOK ENTRY	92343EAD4	$ 22,164.34	$ 100.747	$ 150.94		$ 2,063.16	ST	$ 715.00	$ 2,063.16
	DTD 03/07/08									
	INT: 03.250% MATY: 08/15/2037									
Total corporate bonds			$ 22,165.00		$ 150.94	$ 24,227.50	$ 2,063.16	ST	[illegible]	$ 0.00
$ 22,000							$ 0.00	LT	$ 715.00	$ 2,063.16
Total portfolio value							[illegible]	ST	[illegible]	$ 0.00
							$ 0.00	LT	[illegible]	$ 2,063.16

Common stocks & options

Equity Weighting by Economic Sector

The "Equity Weighting by Economic Sector" represents the common stocks in your account. It does not include convertible preferred or other types of equity securities that you may own. Citi Investment Research & Analysis (CIRA) Sector recommendations are intended for capital appreciation oriented investors seeking to outperform the S&P 500. These Sector allocation recommendations may not be appropriate for all investors. Past performance is no guarantee of future results.

Sector	% of assets	Citi Sector weight	Sector	% of assets	Citi Sector weight
Energy	11.54	11.80	Materials	4.07	2.80
Industrials	6.86	8.70	Consumer discretionary	4.61	9.50
Consumer staples	11.78	13.20	Health Care	7.94	9.00
Financials	8.75	15.80	Information technology	14.76	22.00
Telecommunication services	2.27	3.50	Miscellaneous	27.43	

Energy

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
99	BG GROUP PLC SPON ADR	BRGYY	02/14/11	$ 12,126.51	$ 122.49	$ 109.84	$ 10,874.16	($ 1,252.35)	ST	(10.33)		
80			08/30/11	8,396.00	104.95	109.84	8,787.20	391.20	ST	4.66		
179				**20,522.51**	**114.651**		**19,661.36**	**(861.15)**		(4.20)	**1.027**	**202.09**
223	ENCANA CORP-CAD	ECA	02/14/11	6,953.14	31.18	21.70	4,839.10	(2,114.04)	ST	(30.40)		
182			08/30/11	4,525.98	24.868	21.70	3,949.40	(576.58)	ST	(12.74)		
405				**11,479.12**	**28.344**		**8,788.50**	**(2,690.62)**		(23.44)	**3.686**	**324.00**
245	GAZPROM OAO SPONS ADR	OGZPY	02/02/11	3,490.03	14.245	11.61	2,844.45	(645.58)	ST	(18.50)		
350			02/14/11	4,886.00	13.96	11.61	4,063.50	(822.50)	ST	(16.83)		
595				**8,376.03**	**14.077**		**6,907.95**	**(1,468.08)**		(17.53)	**1.774**	**122.57**
184	IMPERIAL OIL LTD NEW	IMO	02/14/11	8,583.60	46.65	41.22	7,584.48	(999.12)	ST	(11.64)		
150	Exchange rate: 1.0068465		08/30/11	6,083.85	40.559	41.22	6,183.00	99.15	ST	1.63		
334	Shares traded in: Canadian dollars			**14,667.45**	**43.915**		**13,767.48**	**(899.97)**		(6.14)	**1.062**	**146.29**
146	PETROLEO BRASILEIRO SA ADR	PBRA	02/02/11	4,931.58	33.778	25.29	3,692.34	(1,239.24)	ST	(25.13)		
281			02/14/11	9,174.65	32.65	25.29	7,106.49	(2,068.16)	ST	(22.54)		
90			08/30/11	2,360.70	26.23	25.29	2,276.10	(84.60)	ST	(3.58)		
517				**16,466.93**	**31.851**		**13,074.93**	**(3,392.00)**		(20.60)	**.593**	**77.55**
104	SASOL LTD SPONS ADR	SSL	02/14/11	5,396.35	51.888	45.24	4,704.96	(691.39)	ST	(12.81)		
84			08/30/11	3,906.84	46.51	45.24	3,800.16	(106.68)	ST	(2.73)		
188				**9,303.19**	**49.485**		**8,505.12**	**(798.07)**		(8.58)	**3.731**	**317.34**

Private Wealth Management
Financial Management Account

October 1 - October 31, 2011

FESTUS & HELEN STACY FND INC *Account number 16J-11475-12 503*

Energy *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
154	SCHLUMBERGER LTD	SLB	02/14/11	$ 14,112.25	$ 91.638	$ 73.47	$ 11,314.38	($ 2,797.87)	ST	(19.83)		
125			08/30/11	9,603.00	76.824	73.47	9,183.75	(419.25)	ST	(4.37)		
279				23,715.25	85.001		20,498.13	(3,217.12)		(13.57)	1.361	279.00
Subtotal for energy				$ 104,530.48			$ 91,203.47	($ 13,327.01)			1.61	
												$ 1,468.84

Materials

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
687	AIR LIQUIDE ADR	AIQUY	02/14/11	$ 17,896.35	$ 26.05	$ 25.75	$ 17,690.25	($ 206.10)	ST	(1.15)		
561			08/30/11	14,384.04	25.64	25.75	14,445.75	61.71	ST	.43		
1,248				32,280.39	25.866		32,136.00	(144.39)		(.45)	1.821	585.31
Subtotal for materials				$ 32,280.39			$ 32,136.00	($ 144.39)			1.82	
												$ 585.31

Industrials

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
340	ATLAS COPCO AB SPONS ADR	ATLKY	02/14/11	$ 8,292.60	$ 24.39	$ 21.72	$ 7,384.80	($ 907.80)	ST	(10.95)		
278	RPSTG SER A -USD		08/30/11	6,074.30	21.85	21.72	6,038.16	(36.14)	ST	(.59)		
618				14,366.90	23.247		13,422.96	(943.94)		(6.57)	2.081	279.34
72	CANADIAN NATL RAILWAY CO	CNI	02/14/11	5,041.44	70.02	78.42	5,646.24	604.80	ST	12.00		
31			03/09/11	2,312.80	74.606	78.42	2,431.02	118.22	ST	5.11		
84			08/30/11	6,179.04	73.56	78.42	6,587.28	408.24	ST	6.61		
187				13,533.28	72.37		14,664.54	1,131.26		8.36	1.632	239.38
529	FANUC CORP	FANUY	02/14/11	13,388.99	25.31	27.20	14,388.80	999.81	ST	7.47		
431			08/30/11	11,718.89	27.19	27.20	11,723.20	4.31	ST	.04		
960				25,107.88	26.154		26,112.00	1,004.12		4.00	1.169	305.28
Subtotal for Industrials				$ 53,008.06			$ 54,199.50	$ 1,191.44			1.52	
												$ 823.98

Consumer discretionary

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated income (annualized)
130	CARNIVAL CORP	CCL	02/14/11	$ 6,066.84	$ 46.668	$ 35.21	$ 4,577.30	($ 1,489.54)	ST	(24.55)		
107	(PAIRED STOCK)		08/30/11	3,499.76	32.708	35.21	3,767.47	267.71	ST	7.65		
237				**9,566.60**	**40.365**		**8,344.77**	**(1,221.83)**		(12.77)	**2.84**	**237.00**
189	LVMH MOET HENNESSY LOUIS	LVMUY	02/14/11	6,108.48	32.32	33.00	6,237.00	128.52	ST	2.10		
155	VUITTON, PARIS-EUR ADR		08/30/11	5,144.45	33.19	33.00	5,115.00	(29.45)	ST	(.57)		
344				**11,252.93**	**32.712**		**11,352.00**	**99.07**		.88	**1.409**	**159.96**
228	WPP PLC ADR	WPPGY	02/14/11	15,288.54	67.055	51.83	11,817.24	(3,471.30)	ST	(22.71)		
95			08/30/11	4,882.05	51.39	51.83	4,923.85	41.80	ST	.86		
323				**20,170.59**	**62.448**		**16,741.09**	**(3,429.50)**		(17.00)	**3.00**	**502.27**
Subtotal for consumer discretionary				**$ 40,990.12**			**$ 36,437.86**	**($ 4,552.26)**			**2.46**	
												$ 899.23

Consumer staples

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated income (annualized)
77	BUNGE LIMITED	BG	02/14/11	$ 5,566.33	$ 72.29	$ 61.77	$ 4,756.29	($ 810.04)	ST	(14.55)		
63			08/30/11	4,035.78	64.06	61.77	3,891.51	(144.27)	ST	(3.57)		
140				**9,602.11**	**68.587**		**8,647.80**	**(954.31)**		(9.94)	**1.618**	**140.00**
437	L OREAL CO ADR -USD-	LRLCY	02/14/11	10,029.15	22.95	21.85	9,548.45	(480.70)	ST	(4.79)		
358			08/30/11	8,001.30	22.35	21.85	7,822.30	(179.00)	ST	(2.24)		
795				**18,030.45**	**22.68**		**17,370.75**	**(659.70)**		(3.66)	**1.693**	**294.15**
302	NESTLE S A SPONSORED ADR	NSRGY	02/14/11	16,078.48	53.24	57.76	17,443.52	1,365.04	ST	8.49		
246			08/30/11	14,898.40	60.562	57.76	14,208.96	(689.44)	ST	(4.63)		
548				**30,976.88**	**56.527**		**31,652.48**	**675.60**		2.18	**3.059**	**968.32**
134	TESCO PLC SPONSORED ADR	TSCDY	02/02/11	2,669.28	19.92	19.55	2,619.70	(49.58)	ST	(1.86)		
265			02/14/11	5,114.50	19.30	19.55	5,180.75	66.25	ST	1.30		
100			08/30/11	1,803.00	18.03	19.55	1,955.00	152.00	ST	8.43		
499				**9,586.78**	**19.212**		**9,755.45**	**168.67**		1.76	**3.375**	**329.34**
155	UNILEVER PLC SPONS ADR NEW	UL	02/14/11	4,479.19	28.898	33.65	5,215.75	736.56	ST	16.44		
126			08/30/11	4,195.55	33.298	33.65	4,239.90	44.35	ST	1.06		
281				**8,674.74**	**30.871**		**9,455.65**	**780.91**		9.00	**3.64**	**344.23**

Consumer staples *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
349	WAL-MART DE MEXICO SA DE	WMMVY	02/14/11	$ 9,824.35	$ 28.15	$ 25.70	$ 8,969.30	($ 855.05)	ST	(8.70)		
281	CV SPON ADR		08/30/11	7,322.86	26.06	25.70	7,221.70	(101.16)	ST	(1.38)		
630				17,147.21	27.218		16,191.00	(956.21)		(5.58)	1.28	207.27
Subtotal for consumer staples				$ 94,018.17			$ 93,073.13	($ 945.04)			2.45	$ 2,283.31

Health care

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
351	QIAGEN-EUR	QGEN	02/14/11	$ 6,748.36	$ 19.226	$ 13.78	$ 4,836.78	($ 1,911.58)	ST	(28.33)		
287	Exchange rate: 1.3948000		08/30/11	4,456.54	15.528	13.78	3,954.86	(501.68)	ST	(11.26)		
638	Shares traded in: EURO Monetary Unit			11,204.90	17.563		8,791.64	(2,413.26)		(21.54)		
137	FRESENIUS MEDICAL CARE	FMS	02/14/11	8,659.50	63.208	72.70	9,959.90	1,300.40	ST	15.02		
46	AG & CO. KGAA SPONS ADR		05/20/11	3,303.94	71.824	72.70	3,344.20	40.26	ST	1.22		
153			08/30/11	10,206.94	66.712	72.70	11,123.10	916.16	ST	8.98		
336				22,170.38	65.983		24,427.20	2,256.82		10.18	.898	219.41
93	NOVARTIS AG ADR	NVS	02/14/11	5,063.02	54.751	56.47	5,251.71	188.69	ST	3.73		
76			08/30/11	4,337.32	57.07	56.47	4,291.72	(45.60)	ST	(1.05)		
169				9,400.34	55.623		9,543.43	143.09		1.52	3.55	338.85
143	ROCHE HLDG LTD SPON ADR	RHHBY	02/02/11	5,484.05	38.35	40.90	5,848.70	364.65	ST	6.65		
269			02/14/11	9,778.15	36.35	40.90	11,002.10	1,223.95	ST	12.52		
76			08/30/11	3,236.08	42.58	40.90	3,108.40	(127.68)	ST	(3.95)		
488				18,498.28	37.906		19,959.20	1,460.92		7.90	2.762	551.44
Subtotal for health care				$ 61,273.90			$ 62,721.47	$ 1,447.57			1.76	$ 1,109.70

Financials

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
936	ALLIANZ SE ADR	AZSEY	02/14/11	$ 13,319.28	$ 14.23	$ 11.20	$ 10,483.20	($ 2,836.08)	ST	(21.29)		
774			08/30/11	7,647.12	9.88	11.20	8,668.80	1,021.68	ST	13.36		
1,710				20,966.40	12.261		19,152.00	(1,814.40)		(8.65)	4.312	825.93
118	DBS GROUP HLDG LTD SP ADR	DBSDY	02/02/11	5,616.80	47.60	38.73	4,570.14	(1,046.66)	ST	(18.63)		
89			02/14/11	4,147.40	46.60	38.73	3,446.97	(700.43)	ST	(16.89)		

Financials *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
75	DBS GROUP HLDG LTD SP ADR	DBSDY	07/20/11	$ 3,699.47	$ 49.326	$ 38.73	$ 2,904.75	($ 794.72)	ST	(21.48)		
282				13,463.67	47.744		10,921.86	(2,541.81)		(18.88)	4.443	485.32
11	HSBC HLDG PLC SP ADR NEW	HBC	02/02/11	623.92	56.72	43.66	480.26	(143.66)	ST	(23.03)		
45			02/03/11	2,570.49	57.121	43.66	1,964.70	(605.79)	ST	(23.57)		
116			02/14/11	6,590.89	56.818	43.66	5,064.56	(1,526.33)	ST	(23.16)		
40			08/30/11	1,713.20	42.83	43.66	1,746.40	33.20	ST	1.94		
212				11,498.50	54.238		9,255.92	(2,242.58)		(19.50)	4.351	402.80
90	ICICI BANK LTD-SPONS ADR-INR	IBN	02/02/11	3,955.32	43.948	37.16	3,344.40	(610.92)	ST	(15.45)		
277			02/14/11	12,744.77	46.01	37.16	10,293.32	(2,451.45)	ST	(19.23)		
136			08/30/11	5,199.01	38.228	37.16	5,053.76	(145.25)	ST	(2.79)		
503				21,899.10	43.537		18,691.48	(3,207.62)		(14.65)	1.671	312.36
321	ITAU UNIBANCO BANCO HLDG S A ADR	ITUB	02/14/11	7,087.68	22.08	19.12	6,137.52	(950.16)	ST	(13.41)		
262			08/30/11	4,674.08	17.84	19.12	5,009.44	335.36	ST	7.17		
583				11,761.76	20.175		11,146.96	(614.80)		(5.23)	.455	50.72
Subtotal for financials				$ 79,589.43			$ 69,168.22	($ 10,421.21)			3.00	$ 2,077.13

Information technology

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
538	ARM HOLDINGS PLC ADR	ARMH	02/14/11	$ 16,838.86	$ 31.299	$ 28.09	$ 15,112.42	($ 1,726.44)	ST	(10.25)		
440			08/30/11	11,794.99	26.806	28.09	12,359.60	564.61	ST	4.79		
978				28,633.85	29.278		27,472.02	(1,161.83)		(4.06)	.462	127.14
226	CANON INC ADR	CAJ	02/14/11	10,816.36	47.86	45.55	10,294.30	(522.06)	ST	(4.83)		
185			08/30/11	8,578.08	46.368	45.55	8,426.75	(151.33)	ST	(1.76)		
411				19,394.44	47.188		18,721.05	(673.39)		(3.47)	3.176	594.72
229	DASSAULT SYSTEMS SA ADR	DASTY	02/14/11	17,305.53	75.57	83.86	19,203.94	1,898.41	ST	10.97		
188			08/30/11	14,880.20	79.15	83.86	15,765.68	885.48	ST	5 95		
417				32,185.73	77.184		34,969.62	2,783.89		8.65	.682	238.52
116	SAP AG SPONS ADR-USD	SAP	02/02/11	6,862.33	59.158	60.36	7,001.76	139.43	ST	2.03		
241			02/14/11	14,496.15	60.15	60.36	14,546.76	50.61	ST	.35		
80			08/30/11	4,306.40	53.83	60.36	4,828.80	522.40	ST	12.13		
437				25,664.88	58.73		26,377.32	712.44		2.78	1.698	447.83

Information technology *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
315	TAIWAN SEMICONDUCTOR MFG	TSM	02/02/11	$ 4,214.70	$ 13.38	$ 12.62	$ 3,975.30	($ 239.40)	ST	(5.68)		
410	CO LTD ADR		02/14/11	5,297.20	12.92	12.62	5,174.20	(123.00)	ST	(2.32)		
725				9,511.90	13.12		9,149.50	(362.40)		(3.81)	3.288	300.88
Subtotal for information technology				$ 115,390.80			$ 116,689.51	$ 1,298.71			1.46	$ 1,709.19

Telecommunication services

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
388	AMERICA MOVIL S.A.B DE CV	AMX	02/14/11	$ 10,968.92	$ 28.27	$ 25.42	$ 9,862.96	($ 1,105.96)	ST	(10.08)		
319	SER L SPONS ADR		08/30/11	7,742.13	24.27	25.42	8,108.98	366.85	ST	4.74		
707				18,711.05	26.465		17,971.94	(739.11)		(3.95)	1.125	202.20
Subtotal for telecommunication services				$ 18,711.05			$ 17,971.94	($ 739.11)			1.12	$ 202.20

Miscellaneous

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
205	ADMIRAL GROUP PLC UNSPON	AMIGY	02/14/11	$ 5,709.25	$ 27.85	$ 19.35	$ 3,966.75	($ 1,742.50)	ST	(30.52)		
168	ADR		08/30/11	3,721.20	22.15	19.35	3,250.80	(470.40)	ST	(12.64)		
373				9,430.45	25.283		7,217.55	(2,212.90)		(23.47)	5.653	408.06
324	CSL LTD-AUD	CMXHY	02/14/11	6,039.36	18.64	15.22	4,931.28	(1,108.08)	ST	(18.35)		
265			08/30/11	4,035.95	15.23	15.22	4,033.30	(2.65)	ST	(.07)		
589				10,075.31	17.106		8,964.58	(1,110.73)		(11.02)	2.444	219.11
620	CHINA RES ENTERPRISES LTD	CRHKY	02/14/11	4,643.80	7.49	7.25	4,495.00	(148.80)	ST	(3.20)		
509	ADR		08/30/11	4,097.45	8.05	7.25	3,690.25	(407.20)	ST	(9.94)		
1,129				8,741.25	7.742		8,185.25	(556.00)		(6.36)	1.655	135.48
195	COCHLEAR LIMITED	CHEOY	02/14/11	7,907.25	40.55	30.85	6,015.75	(1,891.50)	ST	(23.92)		
159			08/30/11	6,455.40	40.60	30.85	4,905.15	(1,550.25)	ST	(24.01)		
354				14,362.65	40.572		10,920.90	(3,441.75)		(23.96)	3.244	354.35
607	ERSTE GROUP BANK AG SPON	EBKDY	02/14/11	15,478.50	25.50	10.68	6,482.76	(8,995.74)	ST	(58.12)		
496	ADR AUSTRIA		08/30/11	8,923.04	17.99	10.68	5,297.28	(3,625.76)	ST	(40.63)		
1,103				24,401.54	22.123		11,780.04	(12,621.50)		(51.72)	3.258	383.84

Miscellaneous *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
379	HOYA CORP SPONSORED ADR	HOCPY	02/14/11	$ 9,077.05	$ 23.95	$ 21.75	$ 8,243.25	($ 833.80)	ST	(9.19)		
311	USD		08/30/11	6,786.02	21.82	21.75	6,764.25	(21.77)	ST	(.32)		
690				**15,863.07**	**22.99**		**15,007.50**	**(855.57)**		(5.39)	**3.167**	**475.41**
106	JGC CORPORATION	JGCCY	05/10/11	5,284.43	49.853	56.63	6,002.78	718.35	ST	13.59		
81			08/30/11	4,730.40	58.40	56.63	4,587.03	(143.37)	ST	(3.03)		
187				**10,014.83**	**53.555**		**10,589.81**	**574.98**		5.74	**1.128**	**119.49**
85	JUPITER TELECOM UNSPON ADR	JUPIY	02/14/11	5,956.80	70.08	65.35	5,554.75	(402.05)	ST	(6.75)		
70			08/30/11	5,123.30	73.19	65.35	4,574.50	(548.80)	ST	(10.71)		
155				**11,080.10**	**71.485**		**10,129.25**	**(950.85)**		(8.58)	**1.995**	**202.12**
1,867	LI & FUNG LTD-HKD	LFUGY	02/14/11	10,903.28	5.84	3.81	7,113.27	(3,790.01)	ST	(34.76)		
1,529			08/30/11	5,427.95	3.55	3.81	5,825.49	397.54	ST	7.32		
3,021			09/01/11	11,545.96	3.821	3.81	11,510.01	(35.95)	ST	(.31)		
6,417				**27,877.19**	**4.344**		**24,448.77**	**(3,428.42)**		(12.30)	**2.44**	**596.78**
529	LONZA GROUP AG UNSPON ADR	LZAGY	02/14/11	4,153.25	8.09	6.59	3,486.11	(667.14)	ST	(16.06)		
488			08/12/11	3,191.08	6.539	6.59	3,215.92	24 84	ST	.78		
831			08/30/11	5,085.72	6.12	6.59	5,476.29	390.57	ST	7.68		
1,848				**12,430.05**	**6.726**		**12,178.32**	**(251.73)**		(2.03)	**3.277**	**399.17**
521	MTN GROUP LTD	MTNOY	02/14/11	9,138.34	17.54	17.60	9,169.60	31.26	ST	.34		
426	SPONSORED ADR		08/30/11	8,520.00	20.00	17.60	7,497.60	(1,022.40)	ST	(12.00)		
947				**17,658.34**	**18.647**		**16,667.20**	**(991.14)**		(5.61)	**4.721**	**786.96**
829	SCHNEIDER ELECT SA UNSPON	SBGSY	02/14/11	12,965.56	15.64	11.61	9,624.69	(3,340.87)	ST	(25.77)		
678	ADR		08/30/11	8,861.46	13.07	11.61	7,871.58	(989 88)	ST	(11.17)		
1,507				**21,827.02**	**14.484**		**17,496.27**	**(4,330.75)**		(19.84)	**2.962**	**518.41**
181	SONOVA HOLDING AG	SONVY	03/28/11	3,626.77	20.037	21.15	3,828.15	201.38	ST	5.55		
74			03/29/11	1,485.96	20.08	21.15	1,565.10	79.14	ST	5.33		
121			08/11/11	1,915.15	15.827	21.15	2,559.15	644.00	ST	33.63		
343			08/30/11	5,652.64	16.48	21.15	7,254.45	1,601.81	ST	28.34		
719				**12,680.52**	**17.636**		**15,206.85**	**2,526.33**		19.92	**1.21**	**184.08**
390	SWATCH GROUP AG UNSPON ADR	SWGAY	02/14/11	8,436.99	21.633	20.97	8,178.30	(258.69)	ST	(3.07)		
319			08/30/11	7,084.99	22.21	20.97	6,689.43	(395.56)	ST	(5.58)		
709				**15,521.98**	**21.893**		**14,867.73**	**(654.25)**		(4.21)	**.829**	**123.37**
1,208	TURKIYE GARANTI BANKASI	TKGBY	02/14/11	5,532.64	4.58	3.54	4,276.32	(1,256.32)	ST	(22.71)		
1,083	A S SPONSORED ADR		03/09/11	5,090.32	4.70	3.54	3,833.82	(1,256.50)	ST	(24.68)		
1,898			08/30/11	6,965.66	3.67	3.54	6,718.92	(246.74)	ST	(3.54)		
4,189				**17,588.62**	**4.199**		**14,829.06**	**(2,759.56)**		(15.69)	**1.864**	**276.47**

Miscellaneous *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
224	UNICHARM CORP UNSPON ADR	UNCHY	02/14/11	$ 8,556.80	$ 38.20	$ 45.17	$ 10,118.08	$ 1,561.28	ST	18.25		
182			08/30/11	8,453.90	46.45	45.17	8,220.94	(232.96)	ST	(2.76)		
406				17,010.70	41.898		18,339.02	1,328.32		7.81	.65	118.36
Subtotal for miscellaneous				$ 246,563.62			$ 216,828.10	($ 29,735.52)			2.44	$ 5,302.44
Total common stocks				$ 846,356.02			$ 790,429.20	($ 55,926.82)	ST	(6.61)	2.08	
								$ 0.00	LT			$ 16,461.33

[redacted]

Common stocks & options

Equity Weighting by Economic Sector

The "Equity Weighting by Economic Sector" represents the common stocks in your account. It does not include convertible preferred or other types of equity securities that you may own. Citi Investment Research & Analysis (CIRA) Sector recommendations are intended for capital appreciation oriented investors seeking to outperform the S&P 500. These Sector allocation recommendations may not be appropriate for all investors. Past performance is no guarantee of future results.

Sector	% of assets	Citi Sector weight
Energy	16.98	11.80
Industrials	14.21	8.70
Consumer staples	13.17	13.20
Financials	14.55	15.80
Telecommunication services	6.24	3.50

Sector	% of assets	Citi Sector weight
Materials	9.05	2.80
Consumer discretionary	7.82	9.50
Health Care	6.54	9 00
Information technology	3.78	22.00
Utilities	7.66	3.60

Energy

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
335	CAMECO CORP	CCJ	12/10/09	$ 10,002.60	$ 29.858	$ 21.43	$ 7,179.05	($ 2,823.55)	LT	(28.23)		
189			04/16/10	4,948.91	26.184	21.43	4,050.27	(898.64)	LT	(18.16)		
20			02/08/11	817.56	40.877	21.43	428.60	(388.96)	ST	(47.58)		
1			07/07/11	26.83	26.829	21.43	21.43	(5.40)	ST	(20.13)		
52			08/24/11	1,143.48	21.99	21.43	1,114.36	(29.12)	ST	(2.55)		
597				**16,939.38**	**28.374**		**12,793.71**	**(4,145.67)**		(24.47)	**1.847**	**236.41**
82	CHEVRON CORP	CVX	08/06/09	5,709.66	69.63	105.05	8,614.10	2,904.44	LT	50.87		
85			08/17/09	5,661.85	66.61	105.05	8,929.25	3,267.40	LT	57.71		
80			10/07/09	5,581.60	69.77	105.05	8,404.00	2,822.40	LT	50.57		
280			12/10/09	21,898.38	78.208	105.05	29,414.00	7,515.62	LT	34.32		
150			04/16/10	12,248.76	81.658	105.05	15,757.50	3,508.74	LT	28.65		
13			02/08/11	1,266.15	97.395	105.05	1,365.65	99.50	ST	7.86		
3			07/07/11	319.32	106.439	105.05	315.15	(4.17)	ST	(1.31)		
75			08/24/11	7,302.75	97.37	105.05	7,878.75	576.00	ST	7.89		
768				**59,988.47**	**78.11**		**80,678.40**	**20,689.93**		34.49	**3.084**	**2,488.32**
55	CONOCOPHILLIPS	COP	08/06/09	2,450.80	44.56	69.65	3,830.75	1,379.95	LT	56.31		
58			08/17/09	2,442.96	42.12	69.65	4,039.70	1,596.74	LT	65.36		
50			10/07/09	2,460.00	49.20	69.65	3,482.50	1,022.50	LT	41.57		
190			12/10/09	9,659.22	50.838	69.65	13,233.50	3,574.28	LT	37.00		
103			04/16/10	5,846.07	56.758	69.65	7,173.95	1,327.88	LT	22.71		

Energy *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
9	CONOCOPHILLIPS	COP	07/07/11	$ 692.10	$ 76.90	$ 69.65	$ 626.85	($ 65.25)	ST	(9.43)		
50			08/24/11	3,289.40	65.788	69.65	3,482.50	193.10	ST	5.87		
515				**26,840.55**	**52.118**		**35,869.75**	**9,029.20**		33.64	**3.79**	**1,359.60**
51	CONSOL ENERGY INC	CNX	08/06/09	1,897.20	37.20	42.76	2,180.76	283.56	LT	14.95		
55			08/17/09	2,102.65	38.23	42.76	2,351.80	249.15	LT	11.85		
50			10/07/09	2,324.50	46.49	42.76	2,138.00	(186.50)	LT	(8.02)		
260			04/30/10	11,837.80	45.53	42.76	11,117.60	(720.20)	LT	(6.08)		
13			07/07/11	648.05	49.85	42.76	555.88	(92.17)	ST	(14.22)		
54			08/24/11	2,242.93	41.535	42.76	2,309.04	66.11	ST	2.95		
483				**21,053.13**	**43.588**		**20,653.08**	**(400.05)**		(1.90)	**1.169**	**241.50**
50	EQT CORPORATION INC	EQT	08/06/09	1,958.00	39.16	63.50	3,175.00	1,217.00	LT	62.16		
53			08/17/09	2,056.93	38.81	63.50	3,365.50	1,308.57	LT	63.62		
50			10/07/09	2,111.50	42.23	63.50	3,175.00	1,063.50	LT	50.37		
170			12/10/09	6,969.98	40.999	63.50	10,795.00	3,825.02	LT	54.88		
93			04/16/10	4,118.97	44.29	63.50	5,905.50	1,786.53	LT	43.37		
9			07/07/11	486.81	54.09	63.50	571.50	84.69	ST	17.40		
44			08/24/11	2,401.61	54.582	63.50	2,794.00	392.39	ST	16.34		
469				**20,103.80**	**42.865**		**29,781.50**	**9,677.70**		48.14	**1.385**	**412.72**
114	ENBRIDGE INC	ENB	08/06/09	2,221.86	19.49	34.71	3,956.94	1,735.08	LT	78.09		
118			08/17/09	2,173.56	18.42	34.71	4,095.78	1,922.22	LT	88.44		
110			10/07/09	2,138.40	19.44	34.71	3,818.10	1,679.70	LT	78.55		
400			12/10/09	9,082.00	22.705	34.71	13,884.00	4,802.00	LT	52.87		
206			04/16/10	5,083.93	24.679	34.71	7,150.26	2,066.33	LT	40.64		
19			07/07/11	625.96	32.945	34.71	659.49	33.53	ST	5.36		
98			08/24/11	3,144.43	32.086	34.71	3,401.58	257.15	ST	8.18		
1,065				**24,470.14**	**22.977**		**36,966.15**	**12,496.01**		51.07	**2.846**	**1,052.22**
110	EXXON MOBIL CORP	XOM	08/06/09	7,671.13	69.737	78.09	8,589.90	918.77	LT	11.98		
113			08/17/09	7,538.18	66.709	78.09	8,824.17	1,285.99	LT	17.06		
110			10/07/09	7,504.01	68.218	78.09	8,589.90	1,085.89	LT	14.47		
390			12/10/09	28,367.16	72.736	78.09	30,455.10	2,087.94	LT	7.36		
182			04/16/10	12,470.11	68.517	78.09	14,212.38	1,742.27	LT	13.97		
29			02/08/11	2,402.01	82.828	78.09	2,264.61	(137.40)	ST	(5.72)		
99			08/24/11	7,264.42	73.378	78.09	7,730.91	466.49	ST	6.42		
1,033				**73,217.02**	**70.878**		**80,666.97**	**7,449.95**		10.18	**2.407**	**1,942.04**

Energy *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
86	MARATHON OIL CORP	MRO	08/06/09	$ 1,607.20	$ 18.688	$ 26.03	$ 2,238.58	$ 631.38	LT	39.28		
92			08/17/09	1,635.36	17.775	26.03	2,394.76	759.40	LT	46.44		
80			10/07/09	1,512.72	18.909	26.03	2,082.40	569.68	LT	37.66		
300			12/10/09	5,622.09	18.74	26.03	7,809.00	2,186.91	LT	38.90		
159			04/16/10	3,070.89	19.313	26.03	4,138.77	1,067.88	LT	34.77		
11			07/07/11	369.05	33.55	26.03	286.33	(82.72)	ST	(22.41)		
83			08/24/11	2,133.75	25.707	26.03	2,160.49	26.74	ST	1.25		
811				**15,951.06**	**19.668**		**21,110.33**	**5,159.27**		32.34	**2.305**	**486.60**
42.94	MARATHON PETROLEUM CORP	MPC	08/06/09	1,085.66	25.318	35.90	1,541.55	455.89	LT	41.99		
45.9358			08/17/09	1,104.70	24.082	35.90	1,649.10	544.40	LT	49.28		
39.9442			10/07/09	1,021.85	25.617	35.90	1,434.00	412.15	LT	40.33		
149.7908			12/10/09	3,797.74	25.389	35.90	5,377.49	1,579.75	LT	41.60		
79.3892			04/16/10	2,074.39	26.165	35.90	2,850.07	775.68	LT	37.39		
6			07/07/11	258.58	43.096	35.90	215.40	(43.18)	ST	(16.70)		
33			08/24/11	1,147.08	34.76	35.90	1,184.70	37.62	ST	3.28		
397				**10,490.00**	**26.423**		**14,252.31**	**3,762.31**		35.87	**2.785**	**397.00**
59	OCCIDENTAL PETROLEUM CORP-DEL	OXY	08/06/09	4,172.48	70.72	92.94	5,483.46	1,310.98	LT	31.42		
63			08/17/09	4,255.65	67.55	92.94	5,855.22	1,599.57	LT	37.59		
60			10/07/09	4,597.80	76.63	92.94	5,576.40	978.60	LT	21.28		
200			12/10/09	15,407.22	77.036	92.94	18,588.00	3,180.78	LT	20.64		
110			04/16/10	9,464.13	86.037	92.94	10,223.40	759.27	LT	8.02		
10			07/07/11	1,072.94	107.294	92.94	929.40	(143.54)	ST	(13.38)		
55			08/24/11	4,562.25	82.95	92.94	5,111.70	549.45	ST	12.04		
557				**43,532.47**	**78.155**		**51,767.58**	**8,235.11**		18.92	**1.979**	**1,024.88**
51	PEABODY ENERGY CORP	BTU	08/06/09	1,813.05	35.55	43 37	2,211.87	398.82	LT	22.00		
58			08/17/09	1,956.92	33.74	43.37	2,515.46	558.54	LT	28.54		
40			10/07/09	1,544.40	38.61	43.37	1,734.80	190.40	LT	12.33		
180			12/10/09	7,798.97	43.327	43.37	7,806.60	7.63	LT	.10		
93			04/16/10	4,352.40	46.80	43.37	4,033.41	(318.99)	LT	(7.33)		
9			07/07/11	552.15	61.35	43.37	390.33	(161.82)	ST	(29.31)		
45			08/24/11	1,951.92	43.376	43.37	1,951.65	(.27)	ST	(.01)		
476				**19,969.81**	**41.953**		**20,644.12**	**674.31**		3.38	**.783**	**161.84**
44	SCHLUMBERGER LTD	SLB	08/06/09	2,379.08	54.07	73.47	3,232.68	853.60	LT	35.88		
47			08/17/09	2,391.83	50.89	73.47	3,453.09	1,061.26	LT	44.37		
35			10/07/09	2,118.55	60.53	73.47	2,571.45	452.90	LT	21.38		

Energy *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
160	SCHLUMBERGER LTD	SLB	12/10/09	$ 9,786.56	$ 61.166	$ 73.47	$ 11,755.20	$ 1,968.64	LT	20.12		
77			04/16/10	5,129.74	66.62	73.47	5,657.19	527.45	LT	10.28		
11			02/08/11	973.13	88.466	73.47	808.17	(164.96)	ST	(16.95)		
42			08/24/11	3,176.88	75.64	73.47	3,085.74	(91.14)	ST	(2.87)		
416				**25,955.77**	**62.394**		**30,563.52**	**4,607.75**		**17.75**	**1.361**	**416.00**
90	TOTAL S.A SPONS ADR	TOT	08/06/09	4,995.45	55.505	52.30	4,707.00	(288.45)	LT	(5.77)		
95			08/17/09	4,983.70	52.46	52.30	4,968.50	(15.20)	LT	(.30)		
85			10/07/09	4,967.40	58.44	52.30	4,445.50	(521.90)	LT	(10.51)		
310			12/10/09	19,493.85	62.883	52.30	16,213.00	(3,280.85)	LT	(16.83)		
166			04/16/10	9,796.49	59.015	52.30	8,681.80	(1,114.69)	LT	(11.38)		
14			07/07/11	810.12	57.865	52.30	732.20	(77.92)	ST	(9.62)		
90			08/24/11	4,320.00	48.00	52.30	4,707.00	387.00	ST	8.96		
850				**49,367.01**	**58.079**		**44,455.00**	**(4,912.01)**		**(9.95)**	**5.152**	**2,280.75**
Subtotal for energy				**$ 407,878.61**			**$ 480,202.42**	**$ 72,323.81**			**2.60**	**$ 12,509.88**

Materials

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
10	BHP BILLITON LTD SPONS	BHP	08/06/09	$ 646.69	$ 64.669	$ 78.08	$ 780.80	$ 134.11	LT	20.74		
132	ADR		08/17/09	7,927.59	60.057	78.08	10,306.56	2,378.97	LT	30.01		
110			10/07/09	7,315.91	66.508	78.08	8,588.80	1,272.89	LT	17.40		
440			12/10/09	32,088.32	72.928	78.08	34,355.20	2,266.88	LT	7.06		
216			04/16/10	17,358.49	80.363	78.08	16,865.28	(493.21)	LT	(2.84)		
13			07/07/11	1,255.67	96.59	78.08	1,015.04	(240.63)	ST	(19.16)		
96			08/24/11	7,785.15	81.095	78.08	7,495.68	(289.47)	ST	(3.72)		
1,017				**74,377.82**	**73.135**		**79,407.36**	**5,029.54**		**6.76**	**2.587**	**2,054.34**
528	DOW CHEMICAL CO	DOW	06/06/11	18,535.86	35.105	27.88	14,720.64	(3,815.22)	ST	(20.58)		
5			07/07/11	185.00	37.00	27.88	139.40	(45.60)	ST	(24.65)		
53			08/24/11	1,403.33	26.478	27.88	1,477.64	74.31	ST	5.30		
586				**20,124.19**	**34.342**		**16,337.68**	**(3,786.51)**		**(18.82)**	**3.586**	**586.00**
136	E I DU PONT DE NEMOURS & CO	DD	08/06/09	4,398.24	32.34	48.07	6,537.52	2,139.28	LT	48.64		
145			08/17/09	4,491.71	30.977	48.07	6,970.15	2,478.44	LT	55.18		
125			10/07/09	3,983.50	31.868	48.07	6,008.75	2,025.25	LT	50.84		
480			12/10/09	15,325.01	31.927	48.07	23,073.60	7,748.59	LT	50.56		

Private Wealth Management Financial Management Account

October 1 - October 31, 2011

FESTUS & HELEN STACY FND INC   Account number 16J-11477-10 503

Materials *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
235	E I DU PONT DE NEMOURS & CO	DD	04/16/10	$ 9,215.76	$ 39.216	$ 48.07	$ 11,296.45	$ 2,080.69	LT	22.58		
29			07/07/11	1,617.47	55.774	48.07	1,394.03	(223.44)	ST	(13.81)		
109			08/24/11	4,990.02	45.78	48.07	5,239.63	249.61	ST	5.00		
1,259				**44,021.71**	**34.966**		**60,520.13**	**16,498.42**		37.48	**3.411**	**2,064.76**
100	MEADWESTVACO CORP	MWV	08/06/09	2,103.60	21.036	27.91	2,791.00	687.40	LT	32.68		
104			08/17/09	2,152.72	20.699	27.91	2,902.64	749.92	LT	34.84		
100			10/07/09	2,304.61	23.046	27.91	2,791.00	486.39	LT	21.11		
350			12/10/09	9,910.99	28.317	27.91	9,768.50	(142.49)	LT	(1.44)		
176			04/16/10	4,739.66	26.929	27.91	4,912.16	172.50	LT	3.64		
17			07/07/11	576.74	33.926	27.91	474.47	(102.27)	ST	(17.73)		
82			08/24/11	2,131.02	25.988	27.91	2,288.62	157.60	ST	7.40		
929				**23,919.34**	**25.747**		**25,928.39**	**2,009.05**		8.40	**3.582**	**929.00**
47	PRAXAIR INC	PX	08/06/09	3,673.05	78.15	101.67	4,778.49	1,105.44	LT	30.10		
48			08/17/09	3,576.96	74.52	101.67	4,880.16	1,303.20	LT	36.43		
45			10/07/09	3,654.45	81.21	101.67	4,575.15	920.70	LT	25.19		
170			12/10/09	14,077.43	82.808	101.67	17,283.90	3,206.47	LT	22.78		
80			04/16/10	6,992.00	87.40	101.67	8,133.60	1,141.60	LT	16.33		
10			07/07/11	1,100.00	110.00	101.67	1,016.70	(83.30)	ST	(7.57)		
39			08/24/11	3,605.55	92.45	101.67	3,965.13	359.58	ST	9.97		
439				**36,679.44**	**83.552**		**44,633.13**	**7,953.69**		21.68	**1.967**	**878.00**
56	RIO TINTO PLC-GBP	RIO	08/06/09	2,391.62	42.707	54.06	3,027.36	635.74	LT	26.58		
68			08/17/09	2,503.25	36.812	54.06	3,676.08	1,172.83	LT	46.85		
40			10/07/09	1,749.10	43.727	54.06	2,162.40	413.30	LT	23.63		
200			12/10/09	10,104.00	50.52	54.06	10,812.00	708.00	LT	7.01		
112			04/16/10	6,706.00	59.875	54.06	6,054.72	(651.28)	LT	(9.71)		
11			07/07/11	809.93	73.63	54.06	594.66	(215.27)	ST	(26.58)		
52			08/24/11	3,025.62	58.185	54.06	2,811.12	(214.50)	ST	(7.09)		
539				**27,289.52**	**50.63**		**29,138.34**	**1,848.82**		6.77	**2.16**	**629.55**
Subtotal for materials				**$ 226,412.02**			**$ 255,965.03**	**$ 29,553.01**			**2.79**	**$ 7,141.65**

Industrials

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated income (annualized)
104	CANADIAN NATL RAILWAY CO	CNI	08/27/09	$ 5,029.73	$ 48.362	$ 78.42	$ 8,155.68	$ 3,125.95	LT	62.15		
50			10/07/09	2,499.00	49.98	78.42	3,921.00	1,422.00	LT	56.90		
180			12/10/09	9,966.33	55.368	78.42	14,115.60	4,149.27	LT	41.63		
93			04/16/10	5,850.63	62.91	78.42	7,293.06	1,442.43	LT	24.65		
8			07/07/11	644.88	80.61	78.42	627.36	(17.52)	ST	(2.72)		
46			08/24/11	3,259.58	70.86	78.42	3,607.32	347.74	ST	10.67		
481				**27,250.15**	**56.653**		**37,720.02**	**10,469.87**		38.42	**1.632**	**615.68**
54	CATERPILLAR INC	CAT	08/06/09	2,539.62	47.03	94.46	5,100.84	2,561.22	LT	100.85		
58			08/17/09	2,544.46	43.87	94.46	5,478.68	2,934.22	LT	115.32		
50			10/07/09	2,582.50	51.65	94.46	4,723.00	2,140.50	LT	82.88		
76			10/15/09	4,119.95	54.209	94.46	7,178.96	3,059.01	LT	74.25		
270			12/10/09	15,374.93	56.944	94.46	25,504.20	10,129.27	LT	65.88		
152			04/16/10	10,317.74	67.879	94.46	14,357.92	4,040.18	LT	39.16		
12			02/08/11	1,203.21	100.267	94.46	1,133.52	(69.69)	ST	(5.79)		
2			07/07/11	223.00	111.50	94.46	188.92	(34.08)	ST	(15.28)		
62			08/24/11	5,208.13	84.002	94.46	5,856.52	648.39	ST	12.45		
736				**44,113.54**	**59.937**		**69,522.56**	**25,409.02**		57.60	**1.947**	**1,354.24**
72	DEERE & CO	DE	08/06/09	3,302.64	45.87	75.90	5,464.80	2,162.16	LT	65.47		
77			08/17/09	3,267.88	42.44	75.90	5,844.30	2,576.42	LT	78.84		
70			10/07/09	2,977.10	42.53	75.90	5,313.00	2,335.90	LT	78.46		
250			12/10/09	13,231.05	52.924	75.90	18,975.00	5,743.95	LT	43.41		
127			04/16/10	7,793.55	61.366	75.90	9,639.30	1,845.75	LT	23.68		
12			02/08/11	1,121.56	93.463	75.90	910.80	(210.76)	ST	(18.79)		
58			08/24/11	4,254.64	73.355	75.90	4,402.20	147.56	ST	3.47		
666				**35,948.42**	**53.977**		**50,549.40**	**14,600.98**		40.62	**2.16**	**1,092.24**
46	GENERAL DYNAMICS CORP	GD	08/06/09	2,572.32	55.92	64.19	2,952.74	380.42	LT	14.79		
46			08/17/09	2,537.36	55.16	64.19	2,952.74	415.38	LT	16.37		
45			10/07/09	2,958.75	65.75	64.19	2,888.55	(70.20)	LT	(2.37)		
160			12/10/09	10,919.04	68.244	64.19	10,270.40	(648.64)	LT	(5.94)		
79			04/16/10	6,052.19	76.61	64.19	5,071.01	(981.18)	LT	(16.21)		
9			07/07/11	676.80	75.20	64.19	577.71	(99.09)	ST	(14.64)		
37			08/24/11	2,231.84	60.32	64.19	2,375.03	143.19	ST	6.42		
422				**27,948.30**	**66.228**		**27,088.18**	**(860.12)**		(3.08)	**2.928**	**793.36**
228	GENERAL ELECTRIC CO	GE	08/06/09	3,237.60	14.20	16.71	3,809.88	572.28	LT	17.68		
242			08/17/09	3,230.70	13.35	16.71	4,043.82	813.12	LT	25.17		

Industrials *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated income (annualized)
205	GENERAL ELECTRIC CO	GE	10/07/09	$ 3,310.34	$ 16.148	$ 16.71	$ 3,425.55	$ 115.21	LT	3.48		
810			12/10/09	12,725.10	15.71	16.71	13,535.10	810.00	LT	6.37		
424			04/16/10	8,067.49	19.027	16.71	7,085.04	(982.45)	LT	(12.18)		
480			12/13/10	8,472.00	17.65	16.71	8,020.80	(451.20)	ST	(5.33)		
26			07/07/11	500.50	19.249	16.71	434.46	(66.04)	ST	(13.19)		
256			08/24/11	3,975.60	15.529	16.71	4,277.76	302.16	ST	7.60		
2,671				**43,519.33**	**16.293**		**44,632.41**	**1,113.08**		2.56	**3.59**	**1,602.60**
350	HONEYWELL INTL INC	HON	04/07/11	20,526.42	58.646	52.40	18,340.00	(2,186.42)	ST	(10.65)		
1			07/07/11	60.36	60.359	52.40	52.40	(7.96)	ST	(13.19)		
34			08/24/11	1,514.36	44.54	52.40	1,781.60	267.24	ST	17.65		
385				**22,101.14**	**57.406**		**20,174.00**	**(1,927.14)**		(8.72)	**2.843**	**573.65**
45	NORTHROP GRUMMAN CORP	NOC	08/06/09	1,901.55	42.256	57.75	2,598.75	697.20	LT	36.66		
45			08/17/09	1,886.10	41.913	57.75	2,598.75	712.65	LT	37.78		
45			10/07/09	2,056.46	45.699	57.75	2,598.75	542.29	LT	26.37		
150			12/10/09	7,427.74	49.518	57.75	8,662.50	1,234.76	LT	16.62		
85			04/16/10	5,104.50	60.052	57.75	4,908.75	(195.75)	LT	(3.83)		
7			07/07/11	486.71	69.529	57.75	404.25	(82.46)	ST	(16.94)		
33			08/24/11	1,730.52	52.44	57.75	1,905.75	175.23	ST	10.13		
410				**20,593.58**	**50.228**		**23,677.50**	**3,083.92**		14.98	**3.463**	**820.00**
97	RAYTHEON COMPANY NEW	RTN	08/06/09	4,565.21	47.064	44.19	4,286.43	(278.78)	LT	(6.11)		
96			08/17/09	4,523.52	47.12	44.19	4,242.24	(281.28)	LT	(6.22)		
100			10/07/09	4,569.99	45.699	44.19	4,419.00	(150.99)	LT	(3.30)		
330			12/10/09	17,278.14	52.358	44.19	14,582.70	(2,695.44)	LT	(15.60)		
176			04/16/10	10,350.05	58.807	44.19	7,777.44	(2,572.61)	LT	(24.86)		
19			07/07/11	949.67	49.982	44.19	839.61	(110.06)	ST	(11.59)		
78			08/24/11	3,244.37	41.594	44.19	3,446.82	202.45	ST	6.24		
896				**45,480.95**	**50.76**		**39,594.24**	**(5,886.71)**		(12.94)	**3.892**	**1,541.12**
39	3M COMPANY	MMM	08/06/09	2,813.85	72.15	79.02	3,081.78	267.93	LT	9.52		
40			08/17/09	2,791.60	69.79	79.02	3,160.80	369.20	LT	13.23		
40			10/07/09	2,917.50	72.937	79.02	3,160.80	243.30	LT	8.34		
130			12/10/09	10,433.28	80.256	79.02	10,272.60	(160.68)	LT	(1.54)		
74			04/16/10	6,268.54	84.71	79.02	5,847.48	(421.06)	LT	(6.72)		
7			07/07/11	684.25	97.75	79.02	553.14	(131.11)	ST	(19.16)		
35			08/24/11	2,782.50	79.50	79.02	2,765.70	(16.80)	ST	(.60)		
365				**28,691.52**	**78.607**		**28,842.30**	**150.78**		.53	**2.784**	**803.00**

Industrials *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
83	UNITED TECHNOLOGIES CORP	UTX	08/06/09	$ 4,523.50	$ 54.50	$ 77.98	$ 6,472.34	$ 1,948.84	LT	43.08		
84			08/17/09	4,710.72	56.08	77.98	6,550.32	1,839.60	LT	39.05		
80			10/07/09	4,882.40	61.03	77.98	6,238.40	1,356.00	LT	27.77		
280			12/10/09	19,171.18	68.468	77.98	21,834.40	2,663.22	LT	13.89		
158			04/16/10	11,735.92	74.278	77.98	12,320.84	584.92	LT	4.98		
11			02/08/11	919.79	83.617	77.98	857.78	(62.01)	ST	(6.74)		
3			07/07/11	274.15	91.382	77.98	233.94	(40.21)	ST	(14.67)		
72			08/24/11	5,060.88	70.29	77.98	5,614.56	553.68	ST	10.94		
771				**51,278.54**	**66.509**		**60,122.58**	**8,844.04**		17.25	**2.462**	**1,480.32**
Subtotal for industrials				**$ 346,925.47**			**$ 401,923.19**	**$ 54,997.72**			**2.65**	**$ 10,676.21**

Consumer discretionary

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
850	COMCAST CORP CL A - SPL	CMCSK	02/16/11	$ 20,075.05	$ 23.617	$ 23.00	$ 19,550.00	($ 525.05)	ST	(2.62)		
82			08/24/11	1,639.18	19.99	23.00	1,886.00	246.82	ST	15.06		
932				**21,714.23**	**23.299**		**21,436.00**	**(278.23)**		(1.28)	**1.956**	**419.40**
559	HOME DEPOT INC	HD	04/08/10	18,447.67	33.001	35.80	20,012.20	1,564.53	LT	8.48		
151			04/16/10	5,284.70	34.998	35.80	5,405.80	121.10	LT	2.29		
14			07/07/11	517.33	36.952	35.80	501.20	(16.13)	ST	(3.12)		
71			08/24/11	2,377.65	33.488	35.80	2,541.80	164.15	ST	6.90		
795				**26,627.35**	**33.494**		**28,461.00**	**1,833.65**		6.89	**2.793**	**795.00**
490	JOHNSON CONTROLS INC	JCI	03/15/11	19,526.75	39.85	32.93	16,135.70	(3,391.05)	ST	(17.37)		
19			07/07/11	810.50	42.657	32.93	625.67	(184.83)	ST	(22.80)		
48			08/24/11	1,457.28	30.36	32.93	1,580.64	123.36	ST	8.47		
557				**21,794.53**	**39.128**		**18,342.01**	**(3,452.52)**		(15.84)	**1.943**	**356.48**
610	LIMITED BRANDS INC	LTD	02/16/11	20,110.36	32.967	42.71	26,053.10	5,942.74	ST	29.55		
3			07/07/11	122.75	40.918	42.71	128.13	5.38	ST	4.38		
170			08/23/11	5,724.72	33.674	42.71	7,260.70	1,535.98	ST	26.83		
46			08/24/11	1,625.00	35.326	42.71	1,964.66	339.66	ST	20.90		
829				**27,582.83**	**33.272**		**35,406.59**	**7,823.76**		28.36	**1.873**	**663.20**
77	MCDONALDS CORP	MCD	08/06/09	4,248.67	55.177	92.85	7,149.45	2,900.78	LT	68.28		
75			08/17/09	4,128.75	55.05	92.85	6,963.75	2,835.00	LT	68.66		
75			10/07/09	4,287.00	57.16	92.85	6,963.75	2,676.75	LT	62.44		

Consumer discretionary *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
270	MCDONALDS CORP	MCD	12/10/09	$ 16,490.82	$ 61.077	$ 92.85	$ 25,069.50	$ 8,578.68	LT	52.02		
135			04/16/10	9,334.98	69.148	92.85	12,534.75	3,199.77	LT	34.28		
15			07/07/11	1,287.80	85.853	92.85	1,392.75	104.95	ST	8.15		
71			08/24/11	6,351.66	89.46	92.85	6,592.35	240.69	ST	3.79		
718				**46,129.68**	**64.247**		**66,666.30**	**20,536.62**		44.52	**3.015**	**2,010.40**
39	V F CORP	VFC	08/06/09	2,590.38	66.42	138.22	5,390.58	2,800.20	LT	108.10		
40			08/17/09	2,572.80	64.32	138.22	5,528.80	2,956.00	LT	114.89		
40			10/07/09	2,889.20	72.23	138.22	5,528.80	2,639.60	LT	91.36		
130			12/10/09	9,397.12	72.285	138.22	17,968.60	8,571.48	LT	91.21		
76			04/16/10	6,346.76	83.51	138.22	10,504.72	4,157.96	LT	65.51		
8			07/07/11	898.40	112.30	138.22	1,105.76	207.36	ST	23.08		
35			08/24/11	3,910.20	111.72	138.22	4,837.70	927.50	ST	23.72		
368				**28,604.86**	**77.731**		**50,864.96**	**22,260.10**		77.82	**2.083**	**1,059.84**
Subtotal for consumer discretionary				**$ 172,453.48**			**$ 221,176.86**	**$ 48,723.38**			**2.39**	**$ 5,304.32**

Consumer staples

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
60	COCA-COLA CO	KO	08/06/09	$ 2,955.00	$ 49.25	$ 68.32	$ 4,099.20	$ 1,144.20	LT	38.72		
58			08/17/09	2,804.88	48.36	68.32	3,962.56	1,157.68	LT	41.27		
60			10/07/09	3,295.20	54.92	68.32	4,099.20	804.00	LT	24.40		
210			12/10/09	12,271.79	58.437	68.32	14,347.20	2,075.41	LT	16.91		
101			04/16/10	5,539.56	54.847	68.32	6,900.32	1,360.76	LT	24.56		
15			07/07/11	1,032.81	68.854	68.32	1,024.80	(8.01)	ST	(.78)		
54			08/24/11	3,728.55	69.047	68.32	3,689.28	(39.27)	ST	(1.05)		
558				**31,627.79**	**56.681**		**38,122.56**	**6,494.77**		20.54	**2.751**	**1,049.04**
62	DIAGEO PLC SPON ADR-NEW	DEO	08/06/09	3,865.08	62.34	82.88	5,138.56	1,273.48	LT	32.95		
65			08/17/09	3,927.95	60.43	82.88	5,387.20	1,459.25	LT	37.15		
60			10/07/09	3,657.00	60.95	82.88	4,972.80	1,315.80	LT	35.98		
220			12/10/09	14,921.72	67.826	82.88	18,233.60	3,311.88	LT	22.20		
108			04/16/10	7,702.18	71.316	82.88	8,951.04	1,248.86	LT	16.21		
13			07/07/11	1,086.45	83.573	82.88	1,077.44	(9.01)	ST	(.83)		
71			08/24/11	5,220.63	73.53	82.88	5,884.48	663.85	ST	12.72		
599				**40,381.01**	**67.414**		**49,645.12**	**9,264.11**		22.94	**3.131**	**1,554.41**

Consumer staples *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
62	GENERAL MILLS INC	GIS	08/06/09	$ 1,805.75	$ 29.125	$ 38.53	$ 2,388.86	$ 583.11	LT	32.29		
60			08/17/09	1,727.10	28.785	38.53	2,311.80	584.70	LT	33.85		
60			10/07/09	1,938.60	32.31	38.53	2,311.80	373.20	LT	19.25		
220			12/10/09	7,557.46	34.352	38.53	8,476.60	919.14	LT	12.16		
106			04/16/10	3,739.68	35.28	38.53	4,084.18	344.50	LT	9.21		
13			07/07/11	483.59	37.198	38.53	500.89	17.30	ST	3.58		
56			08/24/11	2,059.68	36.78	38.53	2,157.68	98.00	ST	4.76		
577				**19,311.86**	**33.469**		**22,231.81**	**2,919.95**		15.12	**3.166**	**703.94**
51	KIMBERLY CLARK CORP	KMB	08/06/09	2,980.95	58.45	69.71	3,555.21	574.26	LT	19.26		
51			08/17/09	2,976.36	58.36	69.71	3,555.21	578.85	LT	19.45		
50			10/07/09	2,920.00	58.40	69.71	3,485.50	565.50	LT	19.37		
180			12/10/09	11,584.08	64.356	69.71	12,547.80	963.72	LT	8.32		
87			04/16/10	5,404.44	62.12	69.71	6,064.77	660.33	LT	12.22		
13			07/07/11	868.78	66.829	69.71	906 23	37.45	ST	4.31		
47			08/24/11	3,165.28	67.346	69.71	3,276.37	111.09	ST	3.51		
479				**29,899.89**	**62.421**		**33,391.09**	**3,491.20**		11.68	**4.016**	**1,341.20**
33	LORILLARD INC	LO	08/06/09	2,371.71	71.87	110.66	3,651.78	1,280.07	LT	53.97		
33			08/17/09	2,374.68	71.96	110.66	3,651.78	1,277.10	LT	53.78		
35			10/07/09	2,669.10	76.26	110.66	3,873.10	1,204.00	LT	45.11		
110			12/10/09	8,750.96	79.554	110.66	12,172.60	3,421.64	LT	39.10		
63			04/16/10	4,903.92	77.84	110.66	6,971.58	2,067.66	LT	42.16		
7			07/07/11	774.33	110.619	110.66	774.62	.29	ST	.04		
31			08/24/11	3,437.28	110.88	110.66	3,430.46	(6.82)	ST	(.20)		
312				**25,281.98**	**81.032**		**34,525.92**	**9,243.94**		36.56	**4.699**	**1,622.40**
93	PHILIP MORRIS INTL INC	PM	08/06/09	4,338.89	46.654	69.87	6,497.91	2,159.02	LT	49.76		
94			08/17/09	4,316.48	45.92	69.87	6,567.78	2,251.30	LT	52.16		
95			10/07/09	4,700.60	49.48	69.87	6,637.65	1,937.05	LT	41.21		
320			12/10/09	15,759.07	49.247	69.87	22,358.40	6,599.33	LT	41.88		
169			04/16/10	8,714.84	51.567	69.87	11,808.03	3,093.19	LT	35.49		
15			02/08/11	881.97	58.798	69.87	1,048.05	166.08	ST	18.83		
2			07/07/11	137.72	68.859	69.87	139.74	2.02	ST	1.47		
84			08/24/11	5,892.60	70.15	69.87	5,869.08	(23.52)	ST	(.40)		
872				**44,742.17**	**51.31**		**60,926.64**	**16,184.47**		36.17	**4.408**	**2,685.76**
81	PROCTER & GAMBLE CO	PG	08/06/09	4,366.71	53.91	63.99	5,183.19	816.48	LT	18.70		
79			08/17/09	4,134.86	52.34	63.99	5,055.21	920.35	LT	22.26		

Consumer staples *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
80	PROCTER & GAMBLE CO	PG	10/07/09	$ 4,552.00	$ 56.90	$ 63.99	$ 5,119.20	$ 567.20	LT	12.46		
280			12/10/09	17,480.40	62.43	63.99	17,917.20	436.80	LT	2.50		
145			04/16/10	9,185.33	63.347	63.99	9,278.55	93.22	LT	1.01		
15			02/08/11	967.74	64.516	63.99	959.85	(7.89)	ST	(.82)		
4			07/07/11	259.93	64.983	63.99	255.96	(3.97)	ST	(1.53)		
76			08/24/11	4,766.55	62.717	63.99	4,863.24	96.69	ST	2.03		
760				**45,713.52**	**60.149**		**48,632.40**	**2,918.88**		6.39	**3.281**	**1,598.00**
167	UNILEVER NV NY SHS-NEW	UN	08/06/09	4,789.56	28.68	34.53	5,766.51	976.95	LT	20.40		
175			08/17/09	4,745.56	27.117	34.53	6,042.75	1,297.19	LT	27.33		
155			10/07/09	4,437.34	28.628	34.53	5,352.15	914.81	LT	20.62		
570			12/10/09	18,062.16	31.688	34.53	19,682.10	1,619.94	LT	8.97		
316			04/16/10	9,734.95	30.806	34.53	10,911.48	1,176.53	LT	12.09		
30			07/07/11	1,003.80	33.46	34.53	1,035.90	32.10	ST	3.20		
166			08/24/11	5,637.03	33.958	34.53	5,731.98	94.95	ST	1.68		
1,579				**48,410.40**	**30.659**		**54,522.87**	**6,112.47**		12.63	**3.017**	**1,645.32**
58	WAL-MART STORES INC	WMT	08/06/09	2,855.92	49.24	56.72	3,289.76	433.84	LT	15.19		
56			08/17/09	2,894.64	51.69	56.72	3,176.32	281.68	LT	9.73		
60			10/07/09	2,964.60	49.41	56.72	3,403.20	438.60	LT	14.79		
200			12/10/09	10,943.20	54.716	56.72	11,344.00	400.80	LT	3.66		
100			04/16/10	5,437.80	54.378	56.72	5,672.00	234.20	LT	4.31		
13			07/07/11	706.06	54.312	56.72	737.36	31.30	ST	4.43		
52			08/24/11	2,764.22	53.158	56.72	2,949.44	185.22	ST	6.70		
539				**28,566.44**	**52.999**		**30,572.08**	**2,005.64**		7.02	**2.574**	**786.84**
Subtotal for consumer staples				**$ 313,935.06**			**$ 372,570.49**	**$ 58,635.43**			**3.48**	**$ 12,985.01**

Health care

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
64	ABBOTT LABORATORIES	ABT	08/06/09	$ 2,814.72	$ 43.98	$ 53.87	$ 3,447.68	$ 632.96	LT	22.49		
61			08/17/09	2,722.43	44.63	53.87	3,286.07	563.64	LT	20.70		
65			10/07/09	3,261.70	50.18	53.87	3,501.55	239.85	LT	7.35		
220			12/10/09	11,910.16	54.137	53.87	11,851.40	(58.76)	LT	(.49)		
116			04/16/10	6,074.46	52.366	53.87	6,248.92	174.46	LT	2.87		
12			07/07/11	640.51	53.375	53.87	646.44	5.93	ST	.93		

Health care *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
55	ABBOTT LABORATORIES	ABT	08/24/11	$ 2,786.85	$ 50.67	$ 53.87	$ 2,962.85	$ 176.00	ST	6.32		
593				**30,210.83**	**50.946**		**31,944.91**	**1,734.08**		5.74	**3.564**	**1,138.56**
186	BRISTOL MYERS SQUIBB CO	BMY	08/06/09	4,031.64	21.675	31.59	5,875.74	1,844.10	LT	45.74		
185			08/17/09	3,984.88	21.539	31.59	5,844.15	1,859.27	LT	46.66		
185			10/07/09	4,119.21	22.266	31.59	5,844.15	1,724.94	LT	41.88		
630			12/10/09	16,089.26	25.538	31.59	19,901.70	3,812.44	LT	23.70		
346			04/16/10	8,787.88	25.398	31.59	10,930.14	2,142.26	LT	24.38		
31			02/08/11	798.75	25.766	31.59	979.29	180.54	ST	22.60		
4			07/07/11	116.95	29.238	31.59	126.36	9.41	ST	8.05		
175			08/24/11	5,016.78	28.667	31.59	5,528.25	511.47	ST	10.20		
1,742				**42,945.35**	**24.653**		**55,029.78**	**12,084.43**		28.14	**4.178**	**2,289.44**
37	JOHNSON & JOHNSON	JNJ	08/06/09	2,233.69	60.37	64.39	2,382.43	148.74	LT	6.66		
36			08/17/09	2,152.44	59.79	64.39	2,318.04	165.60	LT	7.69		
35			10/07/09	2,116.45	60.47	64.39	2,253.65	137.20	LT	6.48		
130			12/10/09	8,434.14	64.878	64.39	8,370.70	(63.44)	LT	(.75)		
65			04/16/10	4,258.15	65.51	64.39	4,185.35	(72.80)	LT	(1.71)		
133			05/17/11	8,804.36	66.198	64.39	8,563.87	(240.49)	ST	(2.73)		
43			08/24/11	2,807.90	65.30	64.39	2,768.77	(39.13)	ST	(1.39)		
479				**30,807.13**	**64.316**		**30,842.81**	**35.68**		.12	**3.54**	**1,092.12**
68	MERCK & CO INC NEW	MRK	08/06/09	2,012.12	29 59	34.50	2,346.00	333.88	LT	16.59		
66			08/17/09	2,057.22	31.17	34.50	2,277.00	219.78	LT	10.68		
70			10/07/09	2,249.80	32.14	34.50	2,415.00	165.20	LT	7.34		
230			12/10/09	8,693.08	37.796	34.50	7,935.00	(758.08)	LT	(8.72)		
246			01/05/10	9,177.92	37.308	34.50	8,487.00	(690.92)	LT	(7.53)		
194			04/16/10	6,928.90	35.716	34.50	6,693.00	(235.90)	LT	(3.40)		
16			07/07/11	567.74	35.483	34.50	552.00	(15.74)	ST	(2.77)		
91			08/24/11	2,918.19	32.068	34.50	3,139.50	221.31	ST	7.58		
981				**34,604.97**	**35.275**		**33,844.50**	**(760.47)**		(2.20)	**4.405**	**1,491.12**
135	PFIZER INC	PFE	08/06/09	2,138.35	15.857	19.26	2,600.10	461.75	LT	21.59		
128			08/17/09	2,040.23	15.957	19.26	2,465.28	425.05	LT	20.83		
140			10/07/09	2,332.29	16.678	19.26	2,696.40	364.11	LT	15.61		
155			10/16/09	2,734.21	17.66	19.26	2,985.30	251.09	LT	9.18		
650			12/10/09	11,996.47	18.456	19.26	12,519.00	522.53	LT	4.36		
327			04/16/10	5,551.22	16.976	19.26	6,298.02	746.80	LT	13.45		
38			07/07/11	780.44	20.538	19.26	731.88	(48.56)	ST	(6.22)		

Health care *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
162	PFIZER INC	PFE	08/24/11	$ 2,964.28	$ 18.298	$ 19.26	$ 3,120.12	$ 155.84	ST	5.26		
1,735				30,537.49	17.601		33,416.10	2,878.61		9.43	4.153	1,388.00
Subtotal for health care				$ 169,105.77			$ 185,078.10	$ 15,972.33			4.00	$ 7,409.24

Financials

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
310	ACE LIMITED	ACE	10/05/11	$ 18,675.18	$ 60.242	$ 72.15	$ 22,366.50	$ 3,691.32	ST	19.77	1.94%	$ 434.00
400	AMERICAN EXPRESS CO	AXP	12/14/10	18,547.24	46.368	50.62	20,248.00	1,700.76	ST	9.17		
5			07/07/11	264.55	52.91	50.62	253.10	(11.45)	ST	(4.33)		
33			08/24/11	1,568.16	47.52	50.62	1,670.46	102.30	ST	6.52		
438				20,379.95	46.53		22,171.56	1,791.61		8.79	1.422	315.36
74	BANK OF NOVA SCOTIA EURO#19279	BNS	08/06/09	3,250.07	43.919	52.61	3,893.14	643.07	LT	19.79		
77			08/17/09	3,001.46	38.98	52.61	4,050.97	1,049.51	LT	34.97		
70			10/07/09	3,136.00	44.80	52.61	3,682.70	546.70	LT	17.43		
260			12/10/09	11,613.78	44.668	52.61	13,678.60	2,064.82	LT	17.78		
130			04/16/10	6,762.38	52.018	52.61	6,839.30	76.92	LT	1.14		
12			07/07/11	724.20	60.35	52.61	631.32	(92.88)	ST	(12.83)		
56			08/24/11	2,960.61	52.868	52.61	2,946.16	(14.45)	ST	(.49)		
679				31,448.50	46.316		35,722.19	4,273.69		13.59	3.989	1,425.22
64	CHUBB CORP	CB	08/06/09	3,097.55	48.399	67.05	4,291.20	1,193.65	LT	38.54		
64			08/17/09	3,068.16	47.94	67.05	4,291.20	1,223.04	LT	39.86		
60			10/07/09	3,045.60	50.76	67.05	4,023.00	977.40	LT	32.09		
230			12/10/09	11,115.56	48.328	67.05	15,421.50	4,305.94	LT	38.74		
110			04/16/10	5,792.38	52.658	67.05	7,375.50	1,583.12	LT	27.33		
13			07/07/11	813.13	62.548	67.05	871.65	58.52	ST	7.20		
57			08/24/11	3,420.34	60.006	67.05	3,821.85	401.51	ST	11.74		
598				30,352.72	50.757		40,095.90	9,743.18		32.10	2.326	832.88
235	JPMORGAN CHASE & CO	JPM	08/06/09	9,952.18	42.349	34.76	8,168.60	(1,783.58)	LT	(17.92)		
244			08/17/09	10,054.61	41.207	34.76	8,481.44	(1,573.17)	LT	(15.65)		
215			10/07/09	9,762.29	45.406	34.76	7,473.40	(2,288.89)	LT	(23.45)		
850			12/10/09	34,788.80	40.928	34.76	29,546.00	(5,242.80)	LT	(15.07)		
407			04/16/10	19,241.78	47.277	34.76	14,147.32	(5,094.46)	LT	(26.48)		
18			02/08/11	823.07	45.726	34.76	625.68	(197.39)	ST	(23.98)		

Financials *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
18	JPMORGAN CHASE & CO	JPM	07/07/11	$ 742.46	$ 41.248	$ 34.76	$ 625.68	($ 116.78)	ST	(15.73)		
168			08/24/11	5,956.91	35.457	34.76	5,839.68	(117.23)	ST	(1.97)		
2,155				**91,322.10**	**42.377**		**74,907.80**	**(16,414.30)**		(17.97)	**2.876**	**2,155.00**
301	PRUDENTIAL FINANCIAL INC	PRU	06/10/10	17,346.93	57.631	54.20	16,314.20	(1,032.73)	LT	(5.95)		
25			08/24/11	1,198.25	47.93	54.20	1,355.00	156.75	ST	13.08		
326				**18,545.18**	**56.887**		**17,669.20**	**(875.98)**		(4.72)	**2.121**	**374.90**
250	TORONTO DOMINION BANK-NEW	TD	07/26/11	20,934.50	83.738	75.28	18,820.00	(2,114.50)	ST	(10.10)		
24			08/24/11	1,817.28	75.72	75.28	1,806.72	(10.56)	ST	(.58)		
274				**22,751.78**	**83.036**		**20,626.72**	**(2,125.06)**		(9.34)	**3.695**	**762.27**
108	TRAVELERS COMPANIES INC	TRV	08/12/09	5,042.10	46.686	58.35	6,301.80	1,259.70	LT	24.98		
111			09/22/09	5,238.06	47.189	58.35	6,476.85	1,238.79	LT	23.65		
105			10/07/09	5,141.64	48.968	58.35	6,126.75	985.11	LT	19.16		
370			12/10/09	18,746.83	50.667	58.35	21,589.50	2,842.67	LT	15.16		
196			04/16/10	10,391.67	53.018	58.35	11,436.60	1,044.93	LT	10.06		
25			07/07/11	1,474.95	58.997	58.35	1,458.75	(16.20)	ST	(1.10)		
98			08/24/11	4,817.14	49.154	58.35	5,718.30	901.16	ST	18.71		
1,013				**50,852.39**	**50.20**		**59,108.55**	**8,256.16**		16.24	**2.81**	**1,661.32**
143	US BANCORP DEL NEW	USB	08/06/09	3,291.86	23.02	25.59	3,659.37	367.51	LT	11.16		
151			08/17/09	3,276.40	21.698	25.59	3,864.09	587.69	LT	17.94		
135			10/07/09	2,973.82	22.028	25.59	3,454.65	480.83	LT	16.17		
500			12/10/09	11,588.05	23.176	25.59	12,795.00	1,206.95	LT	10.42		
263			04/16/10	7,299.83	27.756	25.59	6,730.17	(569.66)	LT	(7.80)		
18			07/07/11	460.76	25.598	25.59	460.62	(.14)	ST	(.03)		
109			08/24/11	2,387.97	21.908	25.59	2,789.31	401.34	ST	16.81		
1,319				**31,278.69**	**23.714**		**33,753.21**	**2,474.52**		7.91	**1.953**	**659.50**
245	WELLS FARGO & CO NEW	WFC	08/06/09	7,016.80	28.64	25.91	6,347.95	(668.85)	LT	(9.53)		
256			08/17/09	6,878.21	26.868	25.91	6,632.96	(245.25)	LT	(3.57)		
225			10/07/09	6,495.14	28.867	25.91	5,829.75	(665.39)	LT	(10.24)		
890			12/10/09	22,773.32	25.588	25.91	23,059.90	286.58	LT	1.26		
441			04/16/10	14,498.32	32.876	25.91	11,426.31	(3,072.01)	LT	(21.19)		
16			07/07/11	460.44	28.777	25.91	414.56	(45.88)	ST	(9.96)		
186			08/24/11	4,511.62	24.256	25.91	4,819.26	307.64	ST	6.82		
2,259				**62,633.85**	**27.726**		**58,530.69**	**(4,103.16)**		(6.55)	**1.852**	**1,084.32**
65	WEYERHAEUSER CO	WY	08/06/09	2,455.71 *R*	37.81	17.98	1,168.70	(1,287.01)	LT	(52.41)		
67			08/17/09	2,342.33 *R*	34.99	17.98	1,204.66	(1,137.67)	LT	(48.57)		

Financials *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
65	WEYERHAEUSER CO	WY	10/07/09	$ 2,282.81 R	$ 35.15	$ 17.98	$ 1,168.70	($ 1,114.11)	LT	(48.80)		
230			12/10/09	9,619.60 R	41.854	17.98	4,135.40	(5,484.20)	LT	(57.01)		
102			04/16/10	4,837.68 R	47.458	17.98	1,833.96	(3,003.72)	LT	(62.09)		
763			07/22/10	11,834.24 R	15.54	17.98	13,718.74	1,884.50	LT	15.92		
50			07/07/11	1,119.90	22.398	17.98	899.00	(220.90)	ST	(19.72)		
136			08/24/11	2,209.63	16.247	17.98	2,445.28	235.65	ST	10.66		
1,478				**36,701.90**	**24.832**		**26,574.44**	**(10,127.46)**		**(27.59)**	**3.337**	**886.80**
Subtotal for financials				**$ 414,942.24**			**$ 411,526.76**	**($ 3,415.48)**			**2.59**	**$ 10,691.57**

Information technology

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
266	INTEL CORP	INTC	09/15/09	$ 5,195.27	$ 19.531	$ 24.54	$ 6,527.64	$ 1,332.37	LT	25.65		
125			10/07/09	2,464.73	19.717	24.54	3,067.50	602.77	LT	24.46		
460			12/10/09	9,272.91	20.158	24.54	11,288.40	2,015.49	LT	21.74		
245			04/16/10	5,905.97	24.106	24.54	6,012.30	106.33	LT	1.80		
16			07/07/11	366.52	22.907	24.54	392.64	26.12	ST	7.13		
125			08/24/11	2,454.75	19.638	24.54	3,067.50	612.75	ST	24.96		
1,237				**25,660.15**	**20.744**		**30,355.98**	**4,695.83**		**18.30**	**3.422**	**1,039.08**
33	INTL BUSINESS MACHINES CORP	IBM	08/06/09	3,896.31	118.07	184.63	6,092.79	2,196.48	LT	56.37		
34			08/17/09	3,968.48	116.72	184.63	6,277.42	2,308.94	LT	58.18		
30			10/07/09	3,657.90	121.93	184.63	5,538.90	1,881.00	LT	51.42		
120			12/10/09	15,493.92	129.116	184.63	22,155.60	6,661.68	LT	43.00		
56			04/16/10	7,386.40	131.90	184.63	10,339.28	2,952.88	LT	39.98		
5			02/08/11	826.65	165.33	184.63	923.15	96.50	ST	11.67		
3			07/07/11	530.37	176.79	184.63	553.89	23.52	ST	4.43		
28			08/24/11	4,620.00	165.00	184.63	5,169.64	549.64	ST	11.90		
309				**40,380.03**	**130.68**		**57,050.67**	**16,670.64**		**41.28**	**1.624**	**927.00**
648	MICROSOFT CORP	MSFT	07/16/10	16,194.36	24.991	26.63	17,256.24	1,061.88	LT	6.56		
7			07/07/11	185.08	26.439	26.63	186.41	1.33	ST	.72		
74			08/24/11	1,825.28	24.665	26.63	1,970.62	145.34	ST	7.96		
729				**18,204.72**	**24.972**		**19,413.27**	**1,208.55**		**6.64**	**3.004**	**583.20**
Subtotal for information technology				**$ 84,244.90**			**$ 106,819.92**	**$ 22,575.02**			**2.38**	**$ 2,549.28**

Telecommunication services

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
173	AT&T INC	T	08/06/09	$ 4,450.86	$ 25.727	$ 29.31	$ 5,070.63	$ 619.77	LT	13.92		
175			08/17/09	4,393.55	25.106	29.31	5,129.25	735.70	LT	16.75		
185			10/07/09	4,861.67	26.279	29.31	5,422.35	560.68	LT	11.53		
580			12/10/09	16,185.54	27.906	29.31	16,999.80	814.26	LT	5.03		
312			04/16/10	8,201.58	26.287	29.31	9,144.72	943.14	LT	11.50		
38			02/08/11	1,057.84	27.838	29.31	1,113.78	55.94	ST	5.29		
1			07/07/11	31.29	31.288	29.31	29.31	(1.98)	ST	(6.33)		
145			08/24/11	4,236.61	29.218	29.31	4,249.95	13.34	ST	.31		
1,609				**43,418.94**	**26.985**		**47,159.79**	**3,740.85**		8.62	**5.868**	**2,767.48**
530.6267	CENTURYLINK INC	CTL	01/05/10	13,044.92 *R*	24.608	35.26	18,709.90	5,664.98	LT	43.43		
113.3733			04/16/10	3,515.63 *R*	31.039	35.26	3,997.54	481.91	LT	13 71		
16			07/07/11	658.12	41.132	35.26	564.16	(93.96)	ST	(14.28)		
67			08/24/11	2,330.78	34.787	35.26	2,362.42	31.64	ST	1.36		
727				**19,549.45**	**26.891**		**25,634.02**	**6,084.57**		31.12	**8.224**	**2,108.30**
223	VERIZON COMMUNICATIONS	VZ	08/06/09	6,534.52	29.302	36.98	8,246.54	1,712.02	LT	26.20		
229			08/17/09	6,529.82	28.514	36.98	8,468.42	1,938.60	LT	29.69		
230			10/07/09	6,365.98	27.678	36.98	8,505.40	2,139.42	LT	33 61		
760			12/10/09	23,885.55	31.428	36.98	28,104.80	4,219.25	LT	17.66		
392			04/16/10	10,944.75	27.92	36.98	14,496.16	3,551.41	LT	32.45		
32			02/08/11	1,161.53	36.297	36.98	1,183.36	21.83	ST	1.88		
21			07/07/11	789.35	37.588	36.98	776.58	(12.77)	ST	(1.62)		
189			08/24/11	6,839.40	36.187	36.98	6,989.22	149.82	ST	2.19		
2,076				**63,050.90**	**30.371**		**76,770.48**	**13,719.58**		21.76	**5.408**	**4,152.00**
104	VODAFONE GROUP PLC SPONS ADR NEW	VOD	08/06/09	2,183.74	20.997	27.84	2,895.36	711.62	LT	32.59		
105			08/17/09	2,186.89	20.827	27.84	2,923.20	736.31	LT	33.67		
105			10/07/09	2,305.59	21.958	27.84	2,923.20	617.61	LT	26.79		
360			12/10/09	8,271.58	22.976	27.84	10,022.40	1,750.82	LT	21.17		
185			04/16/10	4,362.30	23.58	27.84	5,150.40	788.10	LT	18.07		
17			07/07/11	452.71	26.63	27.84	473.28	20.57	ST	4.54		
89			08/24/11	2,461.74	27.66	27.84	2,477.76	16.02	ST	.65		
965				**22,224.55**	**23.031**		**26,865.60**	**4,641.05**		20.88	**5.075**	**1,363.55**
Subtotal for telecommunication services				**$ 148,243.84**			**$ 176,429.89**	**$ 28,186.05**			**5.88**	**$ 10,391.33**

Utilities

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
107	DOMINION RESOURCES INC VA NEW	D	08/06/09	$ 3,614.25	$ 33.778	$ 51.59	$ 5,520.13	$ 1,905.88	LT	52.73		
108			08/17/09	3,586.41	33.207	51.59	5,571.72	1,985.31	LT	55.38		
105			10/07/09	3,579.27	34.088	51.59	5,416.95	1,837.68	LT	51.34		
380			12/10/09	14,591.43	38.398	51.59	19,604.20	5,012.77	LT	34.35		
185			04/16/10	7,660.48	41.408	51.59	9,544.15	1,883.67	LT	24.59		
23			07/07/11	1,130.88	49.168	51.59	1,186.57	55.69	ST	4.92		
92			08/24/11	4,544.60	49.397	51.59	4,746.28	201.68	ST	4.44		
1,000				**38,707.32**	**38.707**		**51,590.00**	**12,882.68**		33.28	**3.818**	**1,970.00**
56	FIRSTENERGY CORP	FE	08/06/09	2,323.44	41.49	44.96	2,517.76	194.32	LT	8.36		
57			08/17/09	2,484.06	43.58	44.96	2,562.72	78.66	LT	3.17		
60			10/07/09	2,719.20	45.32	44.96	2,697.60	(21.60)	LT	(.79)		
190			12/10/09	8,627.52	45.408	44.96	8,542.40	(85.12)	LT	(.99)		
105			04/16/10	4,004.70	38.14	44.96	4,720.80	716.10	LT	17.88		
9			07/07/11	399.55	44.394	44.96	404.64	5.09	ST	1.27		
41			08/24/11	1,771.93	43.217	44.96	1,843.36	71.43	ST	4.03		
518				**22,330.40**	**43.109**		**23,289.28**	**958.88**		4.29	**4.893**	**1,139.60**
75	NEXTERA ENERGY INC	NEE	08/06/09	4,243.95	56.586	56.40	4,230.00	(13.95)	LT	(.33)		
74			08/17/09	4,182.48	56.52	56.40	4,173.60	(8.88)	LT	(.21)		
80			10/07/09	4,239.12	52.989	56.40	4,512.00	272.88	LT	6.44		
250			12/10/09	13,601.00	54.404	56.40	14,100.00	499.00	LT	3.67		
134			04/16/10	6,555.84	48.924	56.40	7,557.60	1,001.76	LT	15.28		
16			02/08/11	884.00	55.25	56.40	902.40	18.40	ST	2.08		
4			07/07/11	232.23	58.057	56.40	225.60	(6.63)	ST	(2.85)		
64			08/24/11	3,550.34	55.474	56.40	3,609.60	59.26	ST	1.67		
697				**37,488.98**	**53.786**		**39,310.80**	**1,821.84**		4.86	**3.90**	**1,533.40**
73	NORTHEAST UTILITIES	NU	08/06/09	1,697.25	23.25	34.57	2,523.61	826.36	LT	48.69		
73			08/17/09	1,717.69	23.53	34.57	2,523.61	805.92	LT	46.92		
75			10/07/09	1,737.00	23.16	34.57	2,592.75	855.75	LT	49.27		
250			12/10/09	6,176.58	24.706	34.57	8,642.50	2,465.92	LT	39.92		
133			04/16/10	3,689.22	27.738	34.57	4,597.81	908.59	LT	24.63		
13			07/07/11	464.28	35.714	34.57	449.41	(14.87)	ST	(3.20)		
56			08/24/11	1,889.32	33.737	34.57	1,935.92	46.60	ST	2.47		
673				**17,371.34**	**25.812**		**23,265.61**	**5,894.27**		33.93	**3.181**	**740.30**
73	PUBLIC SERVICE ENTERPRISE GRP	PEG	08/06/09	2,318.48	31.76	33.70	2,460.10	141.62	LT	6.11		
74			08/17/09	2,335.44	31.56	33.70	2,493.80	158.36	LT	6.78		

Utilities *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
70	PUBLIC SERVICE ENTERPRISE GRP	PEG	10/07/09	$ 2,178.40	$ 31.12	$ 33.70	$ 2,359.00	$ 180.60	LT	8.29		
260			12/10/09	8,441.68	32.468	33.70	8,762.00	320.32	LT	3.79		
124			04/16/10	3,802.62	30.666	33.70	4,178.80	376.18	LT	9.89		
16			07/07/11	525.47	32.842	33.70	539.20	13.73	ST	2.61		
58			08/24/11	1,897.60	32.717	33.70	1,954.60	57.00	ST	3.00		
675				**21,499.69**	**31.851**		**22,747.60**	**1,247.81**		5.80	**4.065**	**924.75**
115	SEMPRA ENERGY	SRE	10/07/09	5,828.00	50.678	53.73	6,178.95	350.95	LT	6.02		
130			12/10/09	7,144.42	54.957	53.73	6,984.90	(159.52)	LT	(2.23)		
66			04/16/10	3,282.18	49.73	53.73	3,546.18	264.00	LT	8.04		
8			07/07/11	428.66	53.582	53.73	429.84	1.18	ST	.28		
27			08/24/11	1,378.89	51.07	53.73	1,450.71	71.82	ST	5.21		
346				**18,062.15**	**52.203**		**18,590.58**	**528.43**		2.93	**3.573**	**664.32**
95	SOUTHERN CO	SO	08/06/09	2,959.25	31.15	43.20	4,104.00	1,144.75	LT	38.68		
94			08/17/09	2,919.64	31.06	43.20	4,060.80	1,141.16	LT	39.09		
95			10/07/09	3,029.55	31.89	43.20	4,104.00	1,074.45	LT	35.47		
330			12/10/09	11,129.94	33.727	43.20	14,256.00	3,126.06	LT	28.09		
166			04/16/10	5,632.13	33.928	43.20	7,171.20	1,539.07	LT	27.33		
19			07/07/11	773.74	40.723	43.20	820.80	47.06	ST	6.08		
79			08/24/11	3,240.26	41.016	43.20	3,412.80	172.54	ST	5.32		
878				**29,684.51**	**33.809**		**37,929.60**	**8,245.09**		27.78	**4.375**	**1,659.42**
Subtotal for utilities				**$ 185,144.37**			**$ 216,723.37**	**$ 31,579.00**			**3.98**	**$ 8,631.79**
Total common stocks				**$ 2,469,285.76**			**$ 2,828,416.03**	**$ 12,025.56**	**ST**	**14.54**	**3.12**	
								$ 347,104.71	**LT**			**$ 88,290.28**
[illegible]								$ 12,025.56	ST		3.12	
								$ 347,104.71	LT			$ 88,290.28
[illegible]								$ 12,025.56	ST		3.05	$ 88,296.63
								$ 347,104.71	LT			

R *The basis for this tax lot has been adjusted due to a reclassification of income.*

Common stocks & options

Equity Weighting by Economic Sector

The "Equity Weighting by Economic Sector" represents the common stocks in your account. It does not include convertible preferred or other types of equity securities that you may own. Citi Investment Research & Analysis (CIRA) Sector recommendations are intended for capital appreciation oriented investors seeking to outperform the S&P 500. These Sector allocation recommendations may not be appropriate for all investors. Past performance is no guarantee of future results.

Sector	% of assets	Citi Sector weight	Sector	% of assets	Citi Sector weight
Energy	11.79	11.80	Materials	6.70	2.80
Industrials	14.43	8.70	Consumer discretionary	9.13	9.50
Consumer staples	16.60	13.20	Health Care	9.72	9.00
Financials	7.60	15.80	Information technology	11.47	22.00
Telecommunication services	7.71	3.50	Utilities	4.84	3.60

Energy

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
385	CHEVRON CORP	CVX	11/04/10	$ 32,432.40	$ 84.24	$ 105.05	$ 40,444.25	$ 8,011.85	ST	24.70	3.084%	$ 1,247.40
420	CONOCOPHILLIPS	COP	03/02/11	32,810.15	78.119	69.65	29,253.00	(3,557.15)	ST	(10.84)	3.79	1,108.80
545	EXXON MOBIL CORP	XOM	04/08/99	16,244.67	29.806	78.09	42,559.05	26,314.38*	LT	161.99		
400			04/08/99	17,076.56	42.691	78.09	31,236.00	14,159.44*	LT	82.92		
655			04/06/10	44,584.87	68.068	78.09	51,148.95	6,564.08	LT	14.72		
1,600				77,906.10	48.691		124,944.00	47,037.90		60.38	2.407	3,008.00
2,200	SPECTRA ENERGY CORP	SE	03/18/09	29,040.66	13.20	28.63	62,986.00	33,945.34	LT	116.89		
400			04/06/10	9,299.20	23.248	28.63	11,452.00	2,152.80	LT	23.15		
2,600				38,339.86	14.746		74,438.00	36,098.14		94.15	3.911	2,912.00
770	TOTAL S.A SPONS ADR	TOT	04/06/10	45,929.27	59.648	52.30	40,271.00	(5,658.27)	LT	(12.32)		
710			07/27/10	35,463.65	49.948	52.30	37,133.00	1,669.35	LT	4.71		
1,480				81,392.92	54.995		77,404.00	(3,988.92)		(4.90)	5.152	3,988.60
Subtotal for energy				$ 262,881.43			$ 348,483.25	$ 83,601.82			3.53	$ 12,264.80

Materials

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
1,030	E I DU PONT DE NEMOURS & CO	DD	03/20/09	$ 21,912.84	$ 21.274	$ 48.07	$ 49,512.10	$ 27,599.26	LT	125.95		
570			04/06/10	22,154.19	38.867	48.07	27,399.90	5,245.71	LT	23.68		

Materials *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
460	E I DU PONT DE NEMOURS & CO	DD	09/26/11	$ 18,708.89	$ 40.671	$ 48.07	$ 22,112.20	$ 3,403.31	ST	18.19		
2,060				**62,775.92**	**30.474**		**89,024.20**	**36,248.28**		57.74	**3.411**	**3,378.40**
1,050	INTERNATIONAL PAPER CO	IP	05/06/11	**33,706.05**	32.101	27.70	**29,085.00**	**(4,621.05)**	ST	(13.71)	**3.79**	**1,102.50**
330	PPG INDUSTRIES INC	PPG	03/24/09	12,177.59	36.901	86.41	28,515.30	16,337.71	LT	134.16		
465			04/06/10	31,506.73	67.756	86.41	40,180.65	8,673.92	LT	27.53		
795				**43,684.32**	**54.949**		**68,695.95**	**25,011.63**		57.26	**2.638**	**1,812.60**
Subtotal for materials				**$ 140,166.29**			**$ 196,805.15**	**$ 56,638.86**			**3.19**	**$ 6,293.50**

Industrials

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
1,200	GENERAL ELECTRIC CO	GE	09/17/08	$ 28,059.24	$ 23.382	$ 16.71	$ 20,052.00	($ 8,007.24)	LT	(28.54)		
370			09/18/08	8,454.83	22.85	16.71	6,182.70	(2,272.13)	LT	(26.87)		
930			04/06/10	17,324.51	18.628	16.71	15,540.30	(1,784.21)	LT	(10.30)		
395			11/10/10	6,545.70	16.571	16.71	6,600.45	54.75	ST	.84		
730			12/10/10	12,907.57	17.681	16.71	12,198.30	(709.27)	ST	(5.49)		
3,625				**73,291.85**	**20.218**		**60,573.75**	**(12,718.10)**		(17.35)	**3.59**	**2,175.00**
1,120	RAYTHEON COMPANY NEW	RTN	03/18/09	39,728.64	35.472	44.19	49,492.80	9,764.16	LT	24.58		
180			04/06/10	10,300.88	57.227	44.19	7,954.20	(2,346.68)	LT	(22.78)		
345			09/21/10	16,179.57	46.897	44.19	15,245.55	(934.02)	LT	(5.77)		
1,645				**66,209.09**	**40.249**		**72,692.55**	**6,483.46**		9.79	**3.892**	**2,829.40**
50	3M COMPANY	MMM	05/16/08	3,915.98	78.319	79.02	3,951.00	35.02	LT	.89		
400			05/29/08	30,968.56	77.421	79.02	31,608.00	639.44	LT	2.06		
175			06/04/08	13,492.78	77.101	79.02	13,828.50	335.72	LT	2.49		
285			04/06/10	24,036.07	84.337	79.02	22,520.70	(1,515.37)	LT	(6.30)		
230			09/26/11	16,969.81	73.781	79.02	18,174.60	1,204.79	ST	7.10		
1,140				**89,383.20**	**78.406**		**90,082.80**	**699.60**		.78	**2.784**	**2,508.00**
570	UNITED PARCEL SERVICE CL B	UPS	03/19/09	26,411.52	46.336	70.24	40,036.80	13,625.28	LT	51.59		
390			04/06/10	25,068.42	64.278	70.24	27,393.60	2,325.18	LT	9.28		
960				**51,479.94**	**53.625**		**67,430.40**	**15,950.46**		30.98	**2.961**	**1,996.80**
85	UNITED TECHNOLOGIES CORP	UTX	03/24/09	3,703.49	43.57	77.98	6,628.30	2,924.81	LT	78.97		
315			04/06/10	23,583.58	74.868	77.98	24,563.70	980.12	LT	4.16		
400				**27,287.07**	**68.218**		**31,192.00**	**3,904.93**		14.31	**2.462**	**768.00**

Ref: 00008247 00060266

MorganStanley SmithBarney

Private Wealth Management Financial Management Account

October 1 - October 31, 2011

FESTUS & HELEN STACY FND INC **Account number 16J-11478-19 503**

Industrials *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
1,100	WASTE MGMT INC DEL	WM	01/14/08	$ 35,208.80	$ 32.008	$ 32.93	$ 36,223.00	$ 1,014.20	LT	2.88		
1,000			01/23/08	28,681.60	28.681	32.93	32,930.00	4,248.40	LT	14.81		
130			01/31/08	4,207.22	32.363	32.93	4,280.90	73.68	LT	1.75		
870			04/06/10	30,457.40	35.008	32.93	28,649.10	(1,808.30)	LT	(5.94)		
3,100				**98,555.02**	**31.792**		**102,083.00**	**3,527.88**		3.58	**4.129**	**4,216.00**
Subtotal for Industrials				**$ 406,206.17**			**$ 424,054.50**	**$ 17,848.33**			**3.41**	**$ 14,483.20**

Consumer discretionary

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
1,360	COMCAST CORP CL A - SPL	CMCSK	03/07/11	$ 32,829.18	$ 24.139	$ 23.00	$ 31,280.00	($ 1,549.18)	ST	(4.72)		
1,500			05/13/11	35,628.30	23.752	23.00	34,500.00	(1,128.30)	ST	(3.17)		
2,860				**68,457.48**	**23.936**		**65,780.00**	**(2,677.48)**		(3.91)	**1.956**	**1,287.00**
865	HOME DEPOT INC	HD	07/13/11	31,511.95	36.43	35.80	30,967.00	(544.95)	ST	(1.73)		
385			09/26/11	12,911.24	33.535	35.80	13,783.00	871.76	ST	6.75		
1,250				**44,423.19**	**35.539**		**44,750.00**	**326.81**		.74	**2.793**	**1,250.00**
175	MCDONALDS CORP	MCD	04/06/10	11,881.85	67.896	92.85	16,248.75	4,366.90	LT	36.75		
165			07/27/10	11,651.71	70.616	92.85	15,320.25	3,668.54	LT	31.48		
340				**23,533.56**	**69.216**		**31,569.00**	**8,035.44**		34.14	**3.016**	**952.00**
4,040	STAPLES INC	SPLS	07/13/11	**62,554.96**	15.483	14.96	**60,438.40**	**(2,116.56)**	ST	(3.38)	**2.673**	**1,616.00**
960	TIME WARNER INC	TWX	04/06/10	30,679.68	31.958	34.99	33,590.40	2,910.72	LT	9.49		
920			05/13/11	33,306.02	36.202	34.99	32,190.80	(1,115.22)	ST	(3.35)		
1,880				**63,985.70**	**34.035**		**65,781.20**	**1,795.50**		2.81	**2.686**	**1,767.20**
Subtotal for consumer discretionary				**$ 262,954.89**			**$ 268,318.60**	**$ 5,363.71**			**2.56**	**$ 6,872.20**

Consumer staples

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
730	GENERAL MILLS INC	GIS	03/24/09	$ 18,298.11	$ 25.065	$ 38.53	$ 28,126.90	$ 9,828.79	LT	53.71		
340			04/06/10	11,982.62	35.243	38.53	13,100.20	1,117.58	LT	9.33		
1,070				**30,280.73**	**28.30**		**41,227.10**	**10,946.37**		36.15	**3.166**	**1,305.40**
1,780	H J HEINZ CO	HNZ	04/06/10	**81,611.22**	45.849	53.44	**95,123.20**	**13,511.98**	LT	16.56	**3.592**	**3,417.60**

Consumer staples *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
850	KIMBERLY CLARK CORP	KMB	04/14/05	$ 55,678.94	$ 65.504	$ 69.71	$ 59,253.50	$ 3,574.56	LT	6.42		
85			09/28/07	5,981.25	70.367	69.71	5,925.35	(55.90)	LT	(.93)		
265			04/06/10	16,503.41	62.277	69.71	18,473.15	1,969.74	LT	11.94		
325			04/01/11	21,266.70	65.436	69.71	22,655.75	1,389.05	ST	6.53		
1,525				**99,430.30**	**65.20**		**106,307.75**	**6,877.45**		6.92	**4.016**	**4,270.00**
255	PEPSICO INC	PEP	03/18/09	12,342.33	48.401	62.95	16,052.25	3,709.92	LT	30.06		
265			04/06/10	17,640.65	66.568	62.95	16,681.75	(958.90)	LT	(5.44)		
520				**29,982.98**	**57.66**		**32,734.00**	**2,751.02**		9.18	**3.272**	**1,071.20**
990	PROCTER & GAMBLE CO	PG	03/20/09	45,769.78	46.232	63.99	63,350.10	17,580.32	LT	38.41		
410			04/06/10	25,911.18	63.198	63.99	26,235.90	324.72	LT	1.25		
270			07/27/10	16,939.85	62.74	63.99	17,277.30	337.45	LT	1.99		
230			03/07/11	14,187.62	61.685	63.99	14,717.70	530.08	ST	3.74		
1,900				**102,808.43**	**54.11**		**121,581.00**	**18,772.57**		18.26	**3.281**	**3,990.00**
1,275	WAL-MART STORES INC	WMT	03/20/09	63,434.82	49.752	56.72	72,318.00	8,883.18	LT	14.00		
125			04/06/10	6,940.89	55.527	56.72	7,090.00	149.11	LT	2.15		
200			03/07/11	10,392.98	51.964	56.72	11,344.00	951.02	ST	9.15		
1,600				**80,768.69**	**50.48**		**90,752.00**	**9,983.31**		12.36	**2.574**	**2,336.00**
Subtotal for consumer staples				**$ 424,882.35**			**$ 487,725.05**	**$ 62,842.70**			**3.36**	**$ 16,390.20**

Health care

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
865	ABBOTT LABORATORIES	ABT	03/19/09	$ 40,772.90	$ 47.136	$ 53.87	$ 46,597.55	$ 5,824.65	LT	14.29		
335			04/06/10	17,764.55	53.028	53.87	18,046.45	281.90	LT	1.59		
125			11/23/10	5,886.25	47.09	53.87	6,733.75	847.50	ST	14.40		
1,325				**64,423.70**	**48.622**		**71,377.75**	**6,954.05**		10.79	**3.564**	**2,544.00**
2,900	BRISTOL MYERS SQUIBB CO	BMY	04/06/10	76,815.20	26.488	31.59	91,611.00	14,795.80	LT	19.26		
300			01/19/11	7,737.39	25.791	31.59	9,477.00	1,739.61	ST	22.48		
3,200				**84,552.59**	**26.423**		**101,088.00**	**16,535.41**		19.56	**4.178**	**4,224.00**
560	JOHNSON & JOHNSON	JNJ	03/19/09	27,801.98	49.646	64.39	36,058.40	8,256.42	LT	29.70		
345			04/06/10	22,544.37	65.346	64.39	22,214.55	(329.82)	LT	(1.46)		
165			11/30/10	10,162.35	61.59	64.39	10,624.35	462.00	ST	4.55		
1,070				**60,508.70**	**56.55**		**68,897.30**	**8,388.60**		13.86	**3.54**	**2,439.60**

Health care *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
455	PFIZER INC	PFE	04/21/06	$ 11,305.61	$ 24.847	$ 19.26	$ 8,763.30	($ 2,542.31)	LT	(22.49)		
845			04/24/06	20,848.35	24.672	19.26	16,274.70	(4,573.65)	LT	(21.94)		
990			07/05/11	20,547.15	20.754	19.26	19,067.40	(1,479.75)	ST	(7.20)		
2,290				**52,701.11**	**23.014**		**44,105.40**	**(8,595.71)**		(16.31)	**4.153**	**1,832.00**
Subtotal for health care				**$ 262,186.10**			**$ 285,468.45**	**$ 23,282.35**			**3.86**	**$ 11,039.60**

Financials

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
1,100	JPMORGAN CHASE & CO	JPM	04/07/99	$ 31,979.93	$ 29.072	$ 34.76	$ 38,236.00	$ 6,256.07*	LT	19.56		
140			11/24/10	5,283.60	37.74	34.76	4,866 40	(417.20)	ST	(7.90)		
160			10/20/11	5,212.80	32.58	34.76	5,561.60	348.80	ST	6.69		
1,400				**42,476.33**	**30.34**		**48,664.00**	**6,187.67**		14.57	**2.876**	**1,400.00**
710	METLIFE INC	MET	03/02/11	31,737.00	44.70	35.16	24,963.60	(6,773.40)	ST	(21.34)		
70			08/04/11	2,643.76	37.768	35.16	2,461.20	(182.56)	ST	(6.91)		
780				**34,380.76**	**44.078**		**27,424.80**	**(6,955.96)**		(20.23)	**2.104**	**577.20**
950	PEOPLES UNITED FINCL INC	PBCT	01/07/11	13,479.46	14.188	12.75	12,112.50	(1,366.96)	ST	(10.14)		
2,485			01/10/11	34,781.80	13.996	12.75	31,683.75	(3,098.05)	ST	(8.91)		
465			03/07/11	5,856.49	12.594	12.75	5,928.75	72.26	ST	1.23		
3,900				**54,117.75**	**13.876**		**49,725.00**	**(4,392.75)**		(8.12)	**4.941**	**2,457.00**
1,305	TRAVELERS COMPANIES INC	TRV	04/14/05	45,374.85	34.77	58.35	76,146.75	30,771.90	LT	67.82		
365			04/06/10	19,157.50	52.486	58.35	21,297.75	2,140.25	LT	11.17		
1,670				**64,532.35**	**38.642**		**97,444.50**	**32,912.15**		51.00	**2.81**	**2,738.80**
Subtotal for financials				**$ 195,507.19**			**$ 223,258.30**	**$ 27,751.11**			**3.21**	**$ 7,173.00**

Information technology

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
1,030	AUTOMATIC DATA PROCESSING INC.	ADP	03/19/09	$ 37,757.12	$ 36.657	$ 52.33	$ 53,899.90	$ 16,142.78	LT	42.75		
1,070			04/06/10	47,440.48	44.336	52.33	55,993.10	8,552.62	LT	18.03		
2,100				**85,197.60**	**40.57**		**109,893.00**	**24,695.40**		28.99	**2.751**	**3,024.00**

Information technology *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
455	INTEL CORP	INTC	10/05/09	$ 8,647.82	$ 19.006	$ 24.54	$ 11,165.70	$ 2,517.88	LT	29.12		
2,245			04/06/10	50,369.27	22.436	24.54	55,092.30	4,723.03	LT	9.38		
2,700				**59,017.09**	**21.858**		**66,258.00**	**7,240.91**		12.27	**3.422**	**2,268.00**
1,000	MICROSOFT CORP	MSFT	10/21/08	24,327.30	24.327	26.63	26,630.00	2,302.70	LT	9.47		
810			10/28/08	17,692.67	21.842	26.63	21,570.30	3,877.63	LT	21.92		
1,290			04/06/10	38,025.20	29.476	26.63	34,352.70	(3,672.50)	LT	(9.66)		
650			10/22/10	16,482.57	25.357	26.63	17,309.50	826.93	LT	5.02		
3,750				**96,527.74**	**25.741**		**99,862.50**	**3,334.76**		3.45	**3.004**	**3,000.00**
565	QUALCOMM INC	QCOM	09/26/11	**28,031.29**	49.612	51.60	**29,154.00**	**1,122.71**	ST	4.01	**1.666**	**485.90**
1,040	TEXAS INSTRUMENTS INC	TXN	10/05/11	**28,508.58**	27.412	30.73	**31,959.20**	**3,450.62**	ST	12.10	**2.212**	**707.20**
Subtotal for information technology				**$ 297,282.30**			**$ 337,126.70**	**$ 39,844.40**			**2.81**	**$ 9,485.10**

Telecommunication services

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
800	AT&T INC	T	04/14/05	$ 19,606.21	$ 24.508	$ 29.31	$ 23,448.00	$ 3,841.79	LT	19.59		
3,900			04/06/10	102,636.30	26.317	29.31	114,309.00	11,672.70	LT	11.37		
4,700				**122,242.51**	**26.009**		**137,757.00**	**15,514.49**		12.69	**5.868**	**8,084.00**
2,400	VERIZON COMMUNICATIONS	VZ	04/06/10	**70,387.44**	29.328	36.98	**88,752.00**	**18,364.56**	LT	26.09	**5.408**	**4,800.00**
Subtotal for telecommunication services				**$ 192,629.95**			**$ 226,509.00**	**$ 33,879.05**			**5.68**	**$ 12,884.00**

Utilities

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
895	AMERICAN ELECTRIC POWER CO INC	AEP	12/08/10	**$ 31,531.48**	$ 35.23	$ 39.28	**$ 35,155.60**	**$ 3,624.12**	ST	11.49	**4.786%**	**$ 1,682.60**

FESTUS & HELEN STACY FND INC **Account number 16J-11478-19 503**

Utilities *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
1,900	NEXTERA ENERGY INC	NEE	04/06/10	$ 93,497.29	$ 49.209	$ 56.40	$ 107,160.00	$ 13,662.71	LT	14.61	3.90%	$ 4,180.00
Subtotal for utilities				$ 125,028.77			$ 142,315.60	$ 17,286.83			4.11	
												$ 5,862.60
Total common stocks				$ 2,569,725.44			$ 2,938,064.60	($ 575.37)	ST	14.33	3.49	
								$ 368,914.53	LT			$ 102,758.20
[illegible]								($ 575.37)	ST		3.49	
[illegible]								$ 368,914.53	LT			$ 102,758.20
[illegible]								($ 575.37)	ST		3.34	$ 102,771.98
[illegible]								$ 368,914.53	LT			

Common stocks & options

Equity Weighting by Economic Sector

The "Equity Weighting by Economic Sector" represents the common stocks in your account. It does not include convertible preferred or other types of equity securities that you may own. Citi Investment Research & Analysis (CIRA) Sector recommendations are intended for capital appreciation oriented investors seeking to outperform the S&P 500. These Sector allocation recommendations may not be appropriate for all investors. Past performance is no guarantee of future results.

Sector	% of assets	Citi Sector weight	Sector	% of assets	Citi Sector weight
Energy	12.45	11.80	Materials	5.78	2.80
Industrials	9.38	8.70	Consumer discretionary	5.16	9.50
Consumer staples	7.97	13.20	Health Care	21.55	9.00
Financials	6.51	15.80	Information technology	27.21	22.00
Telecommunication services	2.75	3.50	Utilities	1.24	3.60

Energy

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
408	NABORS INDUSTRIES LTD-USD NEW	NBR	11/30/09	$ 8,531.32	$ 20.91	$ 18.33	$ 7,478.64	($ 1,052.68)	LT	(12.34)		
8			10/12/10	150.00	18.75	18.33	146.64	(3.36)	LT	(2.24)		
416				8,681.32	20.869		7,625.28	(1,056.04)		(12.16)		
293	CHEVRON CORP	CVX	05/29/09	19,499.15	66.55	105.05	30,779.65	11,280.50	LT	57.85	3.084	949.32
295	CONOCOPHILLIPS	COP	05/29/09	13,669.71	46.338	69.65	20,546.75	6,877.04	LT	50.31		
10			10/12/10	590.00	59.00	69.65	696.50	106.50	LT	18.05		
305				14,259.71	46.753		21,243.25	6,983.54		48.97	3.79	805.20
322	EXXON MOBIL CORP	XOM	05/29/09	22,468.68	69.778	78.09	25,144.98	2,676.30	LT	11.91		
2			10/12/10	128.16	64.08	78.09	156.18	28.02	LT	21.86		
324				22,596.84	69.743		25,301.16	2,704.32		11.97	2.407	609.12
22	MARATHON OIL CORP	MRO	05/29/09	415.41	18.882	26.03	572.66	157.25	LT	37.85		
440			07/06/09	7,282.74	16.551	26.03	11,453.20	4,170.46	LT	57.26		
106			07/05/11	3,587.03	33.839	26.03	2,759.18	(827.85)	ST	(23.08)		
110			07/06/11	3,783.78	34.398	26.03	2,863.30	(920.48)	ST	(24.33)		
678				15,068.96	22.226		17,648.34	2,579.38		17.12	2.305	406.80
706	VALERO ENERGY CORP-NEW	VLO	06/06/11	18,418.90	26.089	24.60	17,367.60	(1,051.30)	ST	(5.71)	2.439	423.60
Subtotal for energy				$ 98,524.88			$ 119,965.28	$ 21,440.40			2.66	$ 3,194.04

Ref: 00008249 00060301

MorganStanley SmithBarney

Private Wealth Management
Financial Management Account

October 1 - October 31, 2011

FESTUS & HELEN STACY FND INC — **Account number 16J-11480-15 503**

Materials

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
115	CF INDUSTRIES HOLDINGS INC	CF	09/06/11	$ 20,947.08	$ 182.148	$ 162.27	$ 18,661.05	($ 2,286.03)	ST	(10.91)	.986 %	$ 184.00
129	CLIFFS NATURAL RESOURCES	CLF	05/02/11	12,054.86	93.448	68.22	8,800.38	(3,254.48)	ST	(27.00)	1.641	144.48
358	INTERNATIONAL PAPER CO	IP	08/31/09	8,080.24	22.57	27.70	9,916.60	1,836.36	LT	22.73		
12			10/12/10	272.28	22.69	27.70	332.40	60.12	LT	22.08		
370				8,352.52	22.574		10,249.00	1,896.48		22.71	3.79	388.50
165	MEADWESTVACO CORP	MWV	02/22/10	3,859.28	23.389	27.91	4,605.15	745.87	LT	19.33		
460			03/09/10	11,512.10	25.026	27.91	12,838.60	1,326.50	LT	11.52		
21			10/12/10	518.49	24.69	27.91	586.11	67.62	LT	13.04		
646				15,889.87	24.597		18,029.86	2,139.99		13.47	3.582	646.00
Subtotal for materials				$ 57,244.33			$ 55,740.29	($ 1,504.04)			2.44	$ 1,362.98

Industrials

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
171	FLUOR CORP NEW	FLR	05/09/11	$ 12,210.30	$ 71.405	$ 56.85	$ 9,721.35	($ 2,488.95)	ST	(20.38)	.879 %	$ 85.50
169	GENERAL DYNAMICS CORP	GD	08/22/11	9,893.26	58.54	64.19	10,848.11	954.85	ST	9.65	2.928	317.72
495	GENERAL ELECTRIC CO	GE	05/29/09	6,582.26	13.297	16.71	8,271.45	1,689.19	LT	25.66		
15			10/12/10	258.11	17.207	16.71	250.65	(7.46)	LT	(2.89)		
510				6,840.37	13.412		8,522.10	1,681.73		24.59	3.59	306.00
113	L 3 COMMUNICATIONS HLDGS INC	LLL	05/29/09	8,227.64	72.811	67.78	7,659.14	(568.50)	LT	(6.91)	2.655	203.40
285	LOCKHEED MARTIN CORP	LMT	07/11/11	22,750.13	79.825	75.90	21,631.50	(1,118.63)	ST	(4.92)	5.27	1,140.00
206	RAYTHEON COMPANY NEW	RTN	05/29/09	9,082.94	44.091	44.19	9,103.14	20.20	LT	.22		
8			10/12/10	360.80	45.10	44.19	353.52	(7.28)	LT	(2.02)		
214				9,443.74	44.13		9,456.66	12.92		.14	3.892	368.08
239	RYDER SYSTEM INC	R	10/12/09	9,649.05	40 372	50.94	12,174.66	2,525.61	LT	26.17		
7			10/12/10	307.02	43.86	50.94	356.58	49.56	LT	16.14		
246				9,956.07	40.472		12,531.24	2,575.17		25.87	2.277	285.36
1,170	SOUTHWEST AIRLINES CO	LUV	11/02/10	16,328.17	13.955	8.55	10,003.50	(6,324.67)	ST	(38.73)	.21	21.06
Subtotal for industrials				$ 95,649.68			$ 90,373.60	($ 5,276.08)			3.01	$ 2,727.12

Private Wealth Management
Financial Management Account

October 1 - October 31, 2011

FESTUS & HELEN STACY FND INC **Account number 16J-11480-15 503**

Consumer discretionary

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
660	COMCAST CORP CL A	CMCSA	06/28/10	$ 11,891.95	$ 18.018	$ 23.45	$ 15,477.00	$ 3,585.05	LT	30.15	1.918%	$ 297.00
486	GAP INC DELAWARE	GPS	06/28/10	9,840.53	20.248	18.90	9,185.40	(655.13)	LT	(6.66)	2.38	218.70
279	LIMITED BRANDS INC	LTD	05/10/10	7,384.02	26.466	42.71	11,916.09	4,532.07	LT	61.38	1.873	223.20
149	ROSS STORES INC DE	ROST	01/25/10	6,593.61	44.252	87.73	13,071.77	6,478.16	LT	98.25		
1			10/12/10	56.23	56.23	87.73	87.73	31.50	LT	56.02		
150				6,649.84	44.332		13,159.50	6,509.66		97.89	1.003	132.00
Subtotal for consumer discretionary				$ 35,766.34			$ 49,737.99	$ 13,971.65			1.75	$ 870.90

Consumer staples

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
141	COCA-COLA CO	KO	05/29/09	$ 6,708.50	$ 47.578	$ 68.32	$ 9,633.12	$ 2,924.62	LT	43.60		
2			10/12/10	119.30	59.65	68.32	136.64	17.34	LT	14.53		
143				6,827.80	47.747		9,769.76	2,941.96		43.09	2.751	268.84
264	DR PEPPER SNAPPLE GROUP INC	DPS	07/19/10	10,268.81	38.897	37.45	9,886.80	(382.01)	LT	(3.72)	3.417	337.92
807	KROGER CO	KR	10/03/11	17,758.12	22.005	23.18	18,706.26	948.14	ST	5.34	1.984	371.22
133	PHILIP MORRIS INTL INC	PM	08/08/11	9,129.98	68.646	69.87	9,292.71	162.73	ST	1.78		
110			10/31/11	7,898.72	71.806	69.87	7,685.70	(213.02)	ST	(2.70)		
243				17,028.70	70.077		16,978.41	(50.29)		(.30)	4.408	748.44
148	PROCTER & GAMBLE CO	PG	05/29/09	7,718.18	52.149	63.99	9,470.52	1,752.34	LT	22.70	3.281	310.80
673	SARA LEE CORP	SLE	06/21/10	9,938.93	14.768	17.80	11,979.40	2,040.47	LT	20.53	2.584	309.58
Subtotal for consumer staples				$ 69,540.54			$ 76,791.15	$ 7,250.61			3.05	$ 2,346.80

Health care

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
150	AETNA INC NEW	AET	09/28/09	$ 4,381.14	$ 29.207	$ 39.76	$ 5,964.00	$ 1,582.86	LT	36.13		
430			04/12/10	14,185.74	32.99	39.76	17,096.80	2,911.06	LT	20.52		
6			10/12/10	185.40	30.90	39.76	238.56	53.16	LT	28.67		
586				18,752.28	32.00		23,299.36	4,547.08		24.25	1.509	351.60
336	AGILENT TECHNOLOGIES INC	A	01/18/11	14,736.12	43.857	37.07	12,455.52	(2,280.60)	ST	(15.48)		

Ref: 00008249 00060303

MorganStanley SmithBarney

Private Wealth Management
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FESTUS & HELEN STACY FND INC **Account number 16J-11480-15 503**

Health care *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
291	AMERISOURCEBERGEN CORP	ABC	05/29/09	$ 5,383.34	$ 18.499	$ 40.80	$ 11,872.80	$ 6,489.46	LT	120.56		
3			10/12/10	95.76	31.92	40.80	122.40	26.64	LT	27.82		
294				**5,479.10**	**18.636**		**11,995.20**	**6,516.10**		118.93	**1.127**	**135.24**
112	AMGEN INC	AMGN	05/29/09	5,558.53	49.629	57.27	6,414.24	855.71	LT	15.39		
1			10/12/10	55.79	55.79	57.27	57.27	1.48	LT	2.65		
296			11/15/10	16,208.19	54.757	57.27	16,951.92	743.73	ST	4.59		
409				**21,822.51**	**53.356**		**23,423.43**	**1,600.92**		7.34	**1.955**	**458.08**
708	BRISTOL MYERS SQUIBB CO	BMY	02/28/11	**18,194.54**	25.698	31.59	**22,365.72**	**4,171.18**	ST	22.93	**4.178**	**934.56**
439	CARDINAL HEALTH INC	CAH	12/29/09	14,416.06	32.838	44.27	19,434.53	5,018.47	LT	34.81		
12			10/12/10	392.40	32.70	44.27	531.24	138.84	LT	35.38		
451				**14,808.46**	**32.835**		**19,965.77**	**5,157.31**		34.83	**1.942**	**387.88**
23	JOHNSON & JOHNSON	JNJ	05/29/09	1,247.88	54.255	64.39	1,480.97	233.09	LT	18.68		
340			06/01/10	19,926.99	58.608	64.39	21,892.60	1,965.61	LT	9.86		
10			10/12/10	631.00	63.10	64.39	643.90	12.90	LT	2.04		
373				**21,805.87**	**58.461**		**24,017.47**	**2,211.60**		10.14	**3.54**	**850.44**
646	ELI LILLY & CO	LLY	02/07/11	**23,074.93**	35.719	37.16	**24,005.36**	**930.43**	ST	4.03	**5.274**	**1,266.16**
459	PFIZER INC	PFE	05/29/09	6,764.74	14.738	19.26	8,840.34	2,075.60	LT	30.68		
15			10/12/10	260.25	17.35	19.26	288.90	28.65	LT	11.01		
474				**7,024.99**	**14.821**		**9,129.24**	**2,104.25**		29.95	**4.153**	**379.20**
479	UNITEDHEALTH GROUP INC	UNH	05/29/09	12,956.18	27.048	47.99	22,987.21	10,031.03	LT	77.42		
17			10/12/10	590.92	34.76	47.99	815.83	224.91	LT	38.06		
496				**13,547.10**	**27.313**		**23,803.04**	**10,255.94**		75.71	**1.354**	**322.40**
188	WELLPOINT INC	WLP	05/29/09	8,776.78	46.685	68.90	12,953.20	4,176.42	LT	47.58		
3			10/12/10	166.65	55.55	68.90	206.70	40.05	LT	24.03		
191				**8,943.43**	**46.824**		**13,159.90**	**4,216.47**		47.15	**1.451**	**191.00**
Subtotal for health care				**$ 168,189.33**			**$ 207,620.01**	**$ 39,430.68**			**2.54**	**$ 5,276.54**

Financials

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
107	BERKSHIRE HATHAWAY INC CL B	BRKB	03/09/10	**$ 8,834.67**	$ 82.567	$ 77.86	**$ 8,331.02**	**($ 503.65)**	LT	(5.70)		
226	CAPITAL ONE FINL CORP	COF	04/06/10	**9,599.74**	42.476	45.66	**10,319.16**	**719.42**	LT	7.49	**.438**	**45.20**
239	DISCOVER FINANCIAL SVCS	DFS	04/05/11	5,845.58	24.458	23.56	5,630.84	(214.74)	ST	(3.67)		
379			05/09/11	9,231.45	24.357	23.56	8,929.24	(302.21)	ST	(3.27)		

Financials *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
206	DISCOVER FINANCIAL SVCS	DFS	07/11/11	$ 5,514.52	$ 26.769	$ 23.56	$ 4,853.36	($ 661.16)	ST	(11.99)		
824				**20,591.55**	**24.99**		**19,413.44**	**(1,178.11)**		(5.72)	**1.018**	**197.76**
234	JPMORGAN CHASE & CO	JPM	05/29/09	8,542.87	36.508	34.76	8,133.84	(409.03)	LT	(4.79)		
6			10/12/10	238.74	39.79	34.76	208.56	(30.18)	LT	(12.64)		
240				**8,781.61**	**36.59**		**8,342.40**	**(439.21)**		(5.00)	**2.876**	**240.00**
388	UNUM GROUP	UNM	08/12/09	8,190.56	21.109	23.84	9,249.92	1,059.36	LT	12.93		
8			10/12/10	177.52	22.19	23.84	190.72	13.20	LT	7.44		
396				**8,368.08**	**21.132**		**9,440.64**	**1,072.56**		12.82	**1.761**	**166.32**
267	WELLS FARGO & CO NEW	WFC	05/29/09	**6,500.38**	24.346	25.91	**6,917.97**	**417.59**	LT	6.42	**1.852**	**128.16**
Subtotal for financials				**$ 62,676.03**			**$ 62,764.63**	**$ 88.60**			**1.23**	**$ 777.44**

Information technology

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
277	ALTERA CORP	ALTR	01/03/11	**$ 10,047.51**	$ 36.272	$ 37.92	**$ 10,503.84**	**$ 456.33**	ST	4.54	**.843%**	**$ 88.64**
388	ANALOG DEVICES INC	ADI	01/18/11	**15,232.26**	39.258	36.57	**14,189.16**	**(1,043.10)**	ST	(6.85)	**2.734**	**388.00**
48	APPLE INC	AAPL	05/29/09	6,487.31	135.152	404.78	19,429.44	12,942.13	LT	199.50		
4			10/12/10	1,182.56	295.64	404.78	1,619.12	436.56	LT	36.92		
52				**7,669.87**	**147.498**		**21,048.56**	**13,378.69**		174.43		
173	APPLIED MATERIALS INC DELAWARE	AMAT	03/21/11	2,621.45	15.152	12.32	2,131.36	(490.09)	ST	(18.70)		
678			04/05/11	10,494.08	15.478	12.32	8,352.96	(2,141.12)	ST	(20.40)		
587			04/11/11	8,962.61	15.268	12.32	7,231.84	(1,730.77)	ST	(19.31)		
1,438				**22,078.14**	**15.353**		**17,716.16**	**(4,361.98)**		(19.76)	**2.597**	**460.16**
466	CA INC	CA	06/28/10	8,974.23	19.258	21.66	10,093.56	1,119.33	LT	12.47		
4			10/12/10	86.60	21.65	21.66	86.64	.04	LT	.05		
470				**9,060.83**	**19.278**		**10,180.20**	**1,119.37**		12.35	**.923**	**94.00**
536	CORNING INC	GLW	08/30/10	8,650.45	16.138	14.29	7,659.44	(991.01)	LT	(11.46)		
472			03/14/11	10,146.35	21.496	14.29	6,744.88	(3,401.47)	ST	(33.52)		
1,008				**18,796.80**	**18.648**		**14,404.32**	**(4,392.48)**		(23.37)	**2.099**	**302.40**
522	DELL INC	DELL	02/07/11	7,319.64	14.022	15.81	8,252.82	933.28	ST	12.75		
912			02/28/11	14,171.11	15.538	15.81	14,418.72	247.61	ST	1.75		
1,434				**21,490.65**	**14.987**		**22,671.54**	**1,180.89**		5.49		

Information technology *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
16	GOOGLE INC	GOOG	05/29/09	$ 6,633.76	$ 414.61	$ 592.64	$ 9,482.24	$ 2,848.48	LT	42.94		
4	CLASS A		10/12/10	2,173.32	543.33	592.64	2,370.56	197.24	LT	9.08		
20				8,807.08	440.354		11,852.80	3,045.72		34.58		
373	INTEL CORP	INTC	06/28/10	7,495.47	20.095	24.54	9,153.42	1,657.95	LT	22.12		
572			07/06/10	11,148.28	19.49	24.54	14,036.88	2,888.60	LT	25.91		
9			10/12/10	176.04	19.56	24.54	220.86	44.82	LT	25.46		
954				18,819.79	19.727		23,411.16	4,591.37		24.40	3.422	801.36
66	INTL BUSINESS MACHINES CORP	IBM	05/29/09	6,916.72	104.798	184.63	12,185.58	5,268.86	LT	76.18	1.624	198.00
282	MICROSOFT CORP	MSFT	08/02/10	7,350.67	26.066	26.63	7,509.66	158.99	LT	2.16		
720			08/09/10	18,329.76	25.458	26.63	19,173.60	843.84	LT	4.60		
343			07/11/11	9,164.45	26.718	26.63	9,134.09	(30.36)	ST	(.33)		
1,345				34,844.88	25.907		35,817.35	972.47		2.79	3.004	1,076.00
120	MOTOROLA SOLUTIONS INC	MSI	10/11/10	4,020.03	33.509	46.91	5,629.20	1,609.17	LT	40.03		
374			01/24/11	14,210.95	37.997	46.91	17,544.34	3,333.39	ST	23.46		
494				18,230.98	36.905		23,173.54	4,942.56		27.11	1.875	434.72
290	ORACLE CORP	ORCL	05/02/11	10,427.82	35.958	32.77	9,503.30	(924.52)	ST	(8.87)	.732	69.60
987	SYMANTEC CORP	SYMC	08/08/11	16,284.91	16.499	17.01	16,788.87	503.96	ST	3.09		
61	WESTERN UNION COMPANY (THE)	WU	11/02/10	1,084.96	17.786	17.47	1,065.67	(19.29)	ST	(1.78)		
560			11/08/10	10,196.48	18.208	17.47	9,783.20	(413.28)	ST	(4.05)		
451			05/09/11	9,370.88	20.778	17.47	7,878.97	(1,491.91)	ST	(15.92)		
1,072				20,652.32	19.265		18,727.84	(1,924.48)		(9.32)	1.831	343.04
Subtotal for information technology				$ 239,360.56			$ 262,174.22	$ 22,813.66			1.62	$ 4,255.92

Telecommunication services

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
312	AT&T INC	T	05/29/09	$ 7,605.78	$ 24.377	$ 29.31	$ 9,144.72	$ 1,538.94	LT	20.23		
6			10/12/10	169.56	28.26	29.31	175.86	6.30	LT	3.72		
318				7,775.34	24.451		9,320.58	1,545.24		19.87	5.868	546.96
1,758	SPRINT NEXTEL CORP	S	06/06/11	9,987.55	5.681	2.57	4,518.06	(5,469.49)	ST	(54.76)		
1,473			07/05/11	7,914.28	5.372	2.57	3,785.61	(4,128.67)	ST	(52.17)		
3,231				17,901.83	5.541		8,303.67	(9,598.16)		(53.62)		

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Exchange traded & closed end funds

Citi Investment Research & Analysis (CIRA) ratings may be shown for certain closed-end funds. Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. CIRA closed-end fund recommendations include an investment rating and a risk rating. The Investment Rating code (1,2, 3) is based upon CIRA's expectation of the security's performance relative to its peer group of closed-end funds. The Risk Rating (L, M, H or S) represents the fund's expected risk, taking into account the quality and liquidity of the underlying securities, financial leverage and foreign currency exposure. Please refer to the end of this statement for a guide describing CIRA stock and closed-end fund ratings in further detail.

Closed end investment companies are grouped below by portfolio designations. Gain/Loss is provided to assist in tax preparation. It is not intended to calculate investment returns or performance.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
650	ISHARES TR S&P GLOBAL TELECOM SECTOR INDEX FD Equity portfolio	IXP	09/22/11	$ 34,164.00	$ 52.56	$ 57.75	$ 37,537.50	$ 3,373.50 ST	4.706 %	$ 1,766.70
425	ISHARES TRUST-DJ US UTILITIES Equity portfolio	IDU	09/22/11	34,352.75	80.83	85.30	36,252.50	1,899.75 ST	3.515	1,274.58
700	ISHARES HIGH DIVIDEND EQ FD Equity portfolio	HDV	09/22/11	34,356.00	49.08	52.60	36,820.00	2,464.00 ST	2.032	748.30
2,500	LMP CAP & INCOME FD INC Equity portfolio	SCD	10/06/09	24,167.00	9.666	12.19	30,475.00	6,308.00 LT		
1,000			02/08/10	9,908.50	9.908	12.19	12,190.00	2,281.50 LT		
2,400			03/25/10	25,867.20	10.778	12.19	29,256.00	3,388.80 LT		
3,000			08/09/11	34,368.90	11.456	12.19	36,570.00	2,201.10 ST		
219.0333	Reinvestments to date			2,347.11	10.715	12.19	2,670.02	322.91 LT		
431.4614	Reinvestments to date			5,399.14	12.513	12.19	5,259.51	(139.63) ST		
9,550.4947				102,057.85	10.686		116,420.53	14,362.68	9.187	10,696.55
600	SPDR SER TR S&P DIVID ETF Equity portfolio	SDY	10/01/09	25,976.48 R	43.398	53.11	31,866.00	5,889.52 LT	3.257	1,038.00
Total closed end fund equity allocation							$ 258,896.53			
Total exchange traded funds and closed end funds				$ 230,907.08			$ 258,896.53	$ 9,798.72 ST	5.99[illegible]	
								$ 18,190.73 LT		$ 15,524.13

Ref: 00008250 00060314

MorganStanley SmithBarney

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Mutual funds

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency. Although money market funds seek to preserve the value of your investment at $1.00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall. Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below $1.00 per share. In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders. This liquidation process could take up to one month or more. During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account.

Certain mutual funds may not be transferable to other broker-dealers. For further information, please refer to the fund's Prospectus or call your Financial Advisor.

Yield is the current distribution annualized, divided by the fund's net asset value at the end of the statement period. Distributions may consist of income, capital gains or the return of capital. Distributions and current dividend for funds are based upon information provided by an outside vendor and are not verified by us. **"Tax-Based Cost vs. Current Value"** *is being provided for information purposes only.* **"Cash Distributions (since inception)"** *when shown, may reflect distributions on positions no longer held in the account, and may not reflect all distributions received in cash due to but not limited to the following: investments made prior to 1/1/89, asset transfers, recent activity and certain adjustments made in your account.* **"Total Purchases vs. Current Value"** *is provided to assist you in comparing your* **"Total purchases"** *excluding reinvested distributions, with the current value of the fund's shares in your account.* **"Fund Value Increase/Decrease"** *reflects the difference between your total purchases and the current value of the fund's shares, plus cash distributions since inception.*

Number of shares	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/ Decrease	Yield	Anticipated income (annualized)
1,506.024	LORD ABBETT VALUE OPPORTUNITIES FD CL F	LVOFX	03/25/11	$ 25,000.00	$ 16.60	$ 15.20	$ 22,891.56	($ 2,108.44) ST		.348%	$ 79.81
	Total Purchases vs. Current Value			25,000.00			22,891.56		(2,108.44)		
	Fund Value Increase/Decrease								(2,108.44)		
	Total mutual funds (Tax based)			$ 25,000.00			$ 22,891.56	($ 2,108.44) ST $ 0.00 LT ($ 2,108.44) $ 7,890.28 ST ($ 18,[illegible])		[illegible]	$ 79.81 $ 15,618.88

Common stocks & options

Equity Weighting by Economic Sector

The "Equity Weighting by Economic Sector" represents the common stocks in your account. It does not include convertible preferred or other types of equity securities that you may own. Citi Investment Research & Analysis (CIRA) Sector recommendations are intended for capital appreciation oriented investors seeking to outperform the S&P 500. These Sector allocation recommendations may not be appropriate for all investors. Past performance is no guarantee of future results.

Sector	% of assets	Citi Sector weight
Energy	8.54	11.80
Industrials	16.94	8.70
Consumer staples	14.89	13.20
Financials	12.20	15.80
Telecommunication services	1.12	3.50

Sector	% of assets	Citi Sector weight
Materials	9.02	2.80
Consumer discretionary	6.29	9.50
Health Care	8.61	9.00
Information technology	19.87	22.00
Utilities	2.51	3.60

Energy

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
105	EXXON MOBIL CORP	XOM	04/08/99	$ 4,482.60	$ 42.691	$ 78.09	$ 8,199.45	$ 3,716.85*	LT	82.92		
935			12/14/09	65,166.23	69.696	78.09	73,014.15	7,847.92	LT	12.04		
210			07/15/10	12,373.68	58.922	78.09	16,398.90	4,025.22	LT	32.53		
1,250				82,022.51	65.618		97,612.50	15,589.99		19.01	2.407	2,350.00
690	NEWFIELD EXPLORATION COMPANY	NFX	03/18/09	15,718.47	22.78	40.26	27,779.40	12,060.93	LT	76.73		
345	SCHLUMBERGER LTD	SLB	05/22/09	18,015.97	52.22	73.47	25,347.15	7,331.18	LT	40.69		
260			09/10/09	14,988.82	57.649	73.47	19,102.20	4,113.38	LT	27.44		
605				33,004.79	54.553		44,449.35	11,444.56		34.68	1.361	605.00
2,420	SPECTRA ENERGY CORP	SE	03/18/09	31,944.73	13.20	28.63	69,284.60	37,339.87	LT	116.89	3.911	2,710.40
Subtotal for energy				$ 162,690.50			$ 239,125.85	$ 76,435.35			2.36	$ 5,665.40

Materials

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
380	CELANESE CORPORATION SER A	CE	03/07/11	$ 15,691.27	$ 41.292	$ 43.55	$ 16,549.00	$ 857.73	ST	5.47		
320			03/10/11	12,647.49	39.523	43.55	13,936.00	1,288.51	ST	10.19		
700				28,338.76	40.484		30,485.00	2,146.24		7.57	.551	168.00

Materials *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
1,300	E I DU PONT DE NEMOURS & CO	DD	03/20/09	$ 27,656.98	$ 21.274	$ 48.07	$ 62,491.00	$ 34,834.02	LT	125.95		
220			08/18/11	9,841.39	44.733	48.07	10,575.40	734.01	ST	7.46		
1,520				**37,498.37**	**24.67**		**73,066.40**	**35,568.03**		94.85	**3.411**	**2,492.80**
75	MONSANTO CO NEW	MON	10/28/09	5,364.11	71.521	72.75	5,456.25	92.14	LT	1.72		
175			01/25/10	13,852.98	79.159	72.75	12,731.25	(1,121.73)	LT	(8.10)		
215			03/01/10	15,142.36	70.429	72.75	15,641.25	498.89	LT	3.29		
185			04/16/10	12,004.37	64.888	72.75	13,458.75	1,454.38	LT	12.12		
155			05/21/10	8,367.81	53.985	72.75	11,276.25	2,908.44	LT	34.76		
165			06/22/10	8,264.42	50.087	72.75	12,003.75	3,739.33	LT	45.25		
970				**62,996.05**	**64.944**		**70,567.50**	**7,571.45**		12.02	**1.649**	**1,164.00**
510	PPG INDUSTRIES INC	PPG	03/24/09	18,819.92	36.901	86.41	44,069.10	25,249.18	LT	134.16		
400			06/23/09	16,480.00	41.20	86.41	34,564.00	18,084.00	LT	109.73		
910				**35,299.92**	**38.791**		**78,633.10**	**43,333.18**		122.76	**2.638**	**2,074.80**
Subtotal for materials				**$ 164,133.10**			**$ 252,762.00**	**$ 88,618.90**			**2.33**	**$ 5,899.60**

Industrials

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
465	EATON CORP	ETN	06/09/11	$ 21,777.07	$ 46.832	$ 44.82	$ 20,841.30	($ 935.77)	ST	(4.30)		
145			08/02/11	6,864.46	47.341	44.82	6,498.90	(365.56)	ST	(5.33)		
610				**28,641.53**	**46.953**		**27,340.20**	**(1,301.33)**		(4.64)	**3.034**	**829.60**
180	GENERAL ELECTRIC CO	GE	09/22/08	4,833.09	26.85	16.71	3,007.80	(1,825.29)	LT	(37.77)		
1,200			09/24/08	28,866.60	24.055	16.71	20,052.00	(8,814.60)	LT	(30.54)		
390			07/02/10	5,513.24	14.136	16.71	6,516.90	1,003.66	LT	18.20		
1,770				**39,212.93**	**22.154**		**29,576.70**	**(9,636.23)**		(24.57)	**3.59**	**1,062.00**
205	HONEYWELL INTL INC	HON	11/30/10	10,197.13	49.742	52.40	10,742.00	544.87	ST	5.34		
360			03/02/11	20,032.70	55.646	52.40	18,864.00	(1,168.70)	ST	(5.83)		
565				**30,229.83**	**53.504**		**29,606.00**	**(623.83)**		(2.06)	**2.843**	**841.85**
300	RAYTHEON COMPANY NEW	RTN	03/23/09	10,686.09	35.62	44.19	13,257.00	2,570.91	LT	24.06		
315			09/11/09	14,442.75	45.85	44.19	13,919.85	(522.90)	LT	(3.62)		
615			11/13/09	29,910.46	48.634	44.19	27,176.85	(2,733.61)	LT	(9.14)		
410			05/18/11	20,128.50	49.093	44.19	18,117.90	(2,010.60)	ST	(9.99)		
1,640				**75,167.80**	**45.834**		**72,471.60**	**(2,696.20)**		(3.59)	**3.892**	**2,820.80**

Ref: 00008259 00060374

MorganStanley SmithBarney

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FESTUS & HELEN STACY FND INC **Account number 16J-11499-14 503**

Consumer staples

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
1,120	GENERAL MILLS INC	GIS	03/24/09	$ 28,073.81	$ 25.065	$ 38.53	$ 43,153.60	$ 15,079.79	LT	53.71		
1,000			05/28/09	25,618.20	25.618	38.53	38,530.00	12,911.80	LT	50.40		
2,120				**53,692.01**	**25.326**		**81,683.60**	**27,991.59**		52.13	**3.166**	**2,586.40**
460	KIMBERLY CLARK CORP	KMB	03/19/09	21,459.00	46.65	69.71	32,066.60	10,607.60	LT	49.43		
500			06/04/09	26,367.15	52.734	69.71	34,855.00	8,487.85	LT	32.19		
960				**47,826.15**	**49.819**		**66,921.60**	**19,095.45**		39.93	**4.016**	**2,688.00**
480	PEPSICO INC	PEP	03/25/09	25,092.05	52.275	62.95	30,216.00	5,123.95	LT	20.42		
700			05/29/09	35,767.06	51.095	62.95	44,065.00	8,297.94	LT	23.20		
1,180				**60,859.11**	**51.576**		**74,281.00**	**13,421.89**		22.05	**3.272**	**2,430.80**
560	PROCTER & GAMBLE CO	PG	03/20/09	25,889.97	46.232	63.99	35,834.40	9,944.43	LT	38.41		
400			05/28/09	20,815.84	52.039	63.99	25,596.00	4,780.16	LT	22.96		
260			08/10/09	13,475.28	51.828	63.99	16,637.40	3,162.12	LT	23.47		
1,220				**60,181.09**	**49.329**		**78,067.80**	**17,886.71**		29.72	**3.281**	**2,562.00**
115	WAL-MART STORES INC	WMT	03/20/09	5,721.57	49.752	56.72	6,522.80	801.23	LT	14.00		
700			06/04/09	35,343.42	50.49	56.72	39,704.00	4,360.58	LT	12.34		
325			09/29/09	16,058.25	49.41	56.72	18,434.00	2,375.75	LT	14.79		
600			10/05/09	29,418.18	49.03	56.72	34,032.00	4,613.82	LT	15.68		
310			07/01/10	14,937.44	48.185	56.72	17,583.20	2,645.76	LT	17.71		
2,050				**101,478.86**	**49.502**		**116,276.00**	**14,797.14**		14.58	**2.574**	**2,893.00**
Subtotal for consumer staples				**$ 324,037.22**			**$ 417,230.00**	**$ 93,192.78**			**3.17**	**$ 13,260.20**

Health care

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
258	ABBOTT LABORATORIES	ABT	04/08/09	$ 11,197.20	$ 43.40	$ 53.87	$ 13,898.46	$ 2,701.26	LT	24.12		
500			06/03/09	22,378.85	44.757	53.87	26,935.00	4,556.15	LT	20.36		
332			07/26/10	16,261.99	48.981	53.87	17,884.84	1,622.85	LT	9.98		
1,090				**49,838.04**	**45.723**		**58,718.30**	**8,880.26**		17.82	**3.564**	**2,092.80**
530	JOHNSON & JOHNSON	JNJ	03/19/09	26,312.59	49.646	64.39	34,126.70	7,814.11	LT	29.70		
600			05/29/09	32,464.62	54.107	64.39	38,634.00	6,169.38	LT	19.00		
560			11/11/09	34,091.96	60.878	64.39	36,058.40	1,966.44	LT	5.77		
1,690				**92,869.17**	**54.952**		**108,819.10**	**15,949.93**		17.17	**3.54**	**3,853.20**

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FESTUS & HELEN STACY FND INC **Account number 16J-11499-14 503**

Health care *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
265	NOVARTIS AG ADR	NVS	03/08/11	$ 14,717.57	$ 55.538	$ 56.47	$ 14,964.55	$ 246.98	ST	1.68		
215			09/23/11	11,517.12	53.568	56.47	12,141.05	623.93	ST	5.42		
480				**26,234.69**	**54.656**		**27,105.60**	**870.91**		3.32	**3.55**	**962.40**
195	PFIZER INC	PFE	04/26/06	4,873.85	24.994	19.26	3,755.70	(1,118.15)	LT	(22.94)		
800			05/28/09	11,792.00	14.74	19.26	15,408.00	3,616.00	LT	30.66		
1,425			05/20/10	21,937.45	15.394	19.26	27,445.50	5,508.05	LT	25.11		
2,420				**38,603.30**	**15.952**		**46,609.20**	**8,005.90**		20.74	**4.153**	**1,936.00**
Subtotal for health care				**$ 207,545.20**			**$ 241,252.20**	**$ 33,707.00**			**3.66**	**$ 8,844.40**

Financials

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
40	BERKSHIRE HATHAWAY INC CL B	BRKB	03/23/09	$ 2,296.02	$ 57.40	$ 77.86	$ 3,114.40	$ 818.38	LT	35.64		
400			05/28/09	23,296.00	58.24	77.86	31,144.00	7,848.00	LT	33.69		
800			11/16/09	54,888.17	68.61	77.86	62,288.00	7,399.83	LT	13.48		
1,240				**80,480.19**	**64.903**		**96,546.40**	**16,066.21**		19.96		
1,710	FOREST CITY ENTERPRISES INC CLASS A	FCEA	03/08/11	**32,473.24**	18.99	13.68	**23,392.80**	**(9,080.44)**	ST	(27.96)		
90	JPMORGAN CHASE & CO	JPM	04/07/99	2,616.54	29.072	34.76	3,128.40	511.86*	LT	19.56		
1,800			04/14/05	61,866.00	34.37	34.76	62,568.00	702.00	LT	1.13		
1,890				**64,482.54**	**34.118**		**65,696.40**	**1,213.86**		1.88	**2.876**	**1,890.00**
740	TRAVELERS COMPANIES INC	TRV	03/26/09	29,494.25	39.857	58.35	43,179.00	13,684.75	LT	46.40		
1,400			05/28/09	54,805.94	39.147	58.35	81,690.00	26,884.06	LT	49.05		
2,140				**84,300.19**	**39.393**		**124,869.00**	**40,568.81**		48.12	**2.81**	**3,509.60**
815	WELLS FARGO & CO NEW	WFC	07/18/08	22,530.02	27.644	25.91	21,116.65	(1,413.37)	LT	(6.27)		
125			07/21/08	3,545.18	28.361	25.91	3,238.75	(306.43)	LT	(8.64)		
270			08/27/10	6,454.89	23.907	25.91	6,995.70	540.81	LT	8.38		
1,210				**32,530.09**	**26.884**		**31,351.10**	**(1,178.99)**		(3.62)	**1.852**	**580.80**
Subtotal for financials				**$ 294,266.25**			**$ 341,855.70**	**$ 47,589.45**			**1.74**	**$ 5,980.40**

Private Wealth Management
Financial Management Account

October 1 - October 31, 2011

FESTUS & HELEN STACY FND INC **Account number 16J-11499-14 503**

Information technology

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
560	AUTOMATIC DATA PROCESSING INC.	ADP	03/19/09	$ 20,528.14	$ 36.657	$ 52.33	$ 29,304.80	$ 8,776.66	LT	42.75		
700			06/03/09	26,489.19	37.841	52.33	36,631.00	10,141.81	LT	38.29		
1,260				47,017.33	37.315		65,935.80	18,918.47		40.24	2.751	1,814.40
2,735	CISCO SYS INC	CSCO	03/23/09	44,709.32	16.347	18.53	50,679.55	5,970.23	LT	13.35		
3,145			12/01/10	60,716.74	19.305	18.53	58,276.85	(2,439.89)	ST	(4.02)		
5,880				105,426.06	17.93		108,956.40	3,530.34		3.35	1.295	1,411.20
2,210	EMC CORP-MASS	EMC	05/26/10	39,947.30	18.075	24.51	54,167.10	14,219.80	LT	35.60		
15	GOOGLE INC CLASS A	GOOG	07/06/10	6,698.14	446.542	592.64	8,889.60	2,191.46	LT	32.72		
30			03/16/11	16,895.97	563.198	592.64	17,779.20	883.23	ST	5.23		
50			04/15/11	27,030.16	540.603	592.64	29,632.00	2,601.84	ST	9.63		
95				50,624.27	532.887		56,300.80	5,676.53		11.21		
315	INTL BUSINESS MACHINES CORP	IBM	03/18/09	28,624.05	90.87	184.63	58,158.45	29,534.40	LT	103.18		
200			03/24/09	19,658.58	98.292	184.63	36,926.00	17,267.42	LT	87.84		
515				48,282.63	93.753		95,084.45	46,801.82		96.93	1.624	1,545.00
235	MICROSOFT CORP	MSFT	11/03/08	5,351.80	22.773	26.63	6,258.05	906.25	LT	16.93		
1,100			11/11/08	23,006.50	20.915	26.63	29,293.00	6,286.50	LT	27.32		
790			03/23/09	13,942.16	17.648	26.63	21,037.70	7,095.54	LT	50.89		
480			03/08/10	13,821.60	28.795	26.63	12,782.40	(1,039.20)	LT	(7.52)		
645			07/01/10	14,921.82	23.134	26.63	17,176.35	2,254.53	LT	15.11		
3,250				71,043.88	21.86		86,547.50	15,503.62		21.82	3.004	2,600.00
510	ORACLE CORP	ORCL	03/07/11	16,357.59	32.073	32.77	16,712.70	355.11	ST	2.17		
350			03/10/11	11,221.25	32.06	32.77	11,469.50	248.25	ST	2.21		
400			03/16/11	12,151.84	30.379	32.77	13,108.00	956.16	ST	7.87		
1,260				39,730.68	31.532		41,290.20	1,559.52		3.93	.732	302.40
55	VISA INC COM CL A	V	06/09/10	4,126.57	75.028	93.26	5,129.30	1,002.73	LT	24.30		
140			06/21/10	10,727.15	76.622	93.26	13,056.40	2,329.25	LT	21.71		
155			06/29/10	11,136.33	71.847	93.26	14,455.30	3,318.97	LT	29.80		
100			07/06/10	7,365.99	73.659	93.26	9,326.00	1,960.01	LT	26.61		
70			08/06/10	5,056.54	72.236	93.26	6,528.20	1,471.66	LT	29.10		
520				38,412.58	73.87		48,495.20	10,082.62		26.25	.943	457.60
Subtotal for information technology				$ 440,484.73			$ 556,777.45	$ 116,292.72			1.46	$ 8,130.60

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MorganStanley
SmithBarney

Private Wealth Management
Financial Management Account
October 1 - October 31, 2011

FESTUS & HELEN STACY FND INC ***Account number 16J-11499-14 503***

Telecommunication services

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
370	AT&T INC	T	04/14/05	$ 9,067.87	$ 24.508	$ 29.31	$ 10,844.70	$ 1,776.83	LT	19.59		
700			05/29/09	17,123.96	24.462	29.31	20,517.00	3,393.04	LT	19.81		
1,070				26,191.83	24.478		31,361.70	5,169.87		19.74	5.868	1,840.40
Subtotal for telecommunication services				**$ 26,191.83**			**$ 31,361.70**	**$ 5,169.87**			**5.86**	
												$ 1,840.40

Utilities

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Percent gain/(loss)	Average % yield	Anticipated Income (annualized)
680	DUKE ENERGY CORP (HOLDING COMPANY) NEW	DUK	03/18/09	$ 9,179.32	$ 13.499	$ 20.42	$ 13,885.60	$ 4,706.28	LT	51.27		
2,770			03/18/11	49,101.85	17.726	20.42	56,563.40	7,461.55	ST	15.20		
3,450				58,281.17	16.893		70,449.00	12,167.83		20.88	4.897	3,450.00
Subtotal for utilities				**$ 58,281.17**			**$ 70,449.00**	**$ 12,167.83**			**4.89**	
												$ 3,450.00
Total common stocks				**$ 2,239,091.73**			**$ 2,801,598.70**	**($ 574.72)**	**ST**	**25.12**	**2.56**	
								$ 563,081.69	**LT**			**$ 71,818.35**
								($ 574.72)	ST		2.56	
								$ 563,081.69	LT			$ 71,818.35
								($ 574.72)	ST		2.48	$ 71,826.68
								$ 563,081.69	LT			