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Return of Private Foundation

OMB No 1545-0052

2010

Department of the Treasury
Internal Revenue Serviceor Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

Calendar year 2010, or tax year beginning NOV 1, 2010, and ending OCT 31, 2011

Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation HARRY DENT FAMILY FOUNDATION, INC.		A Employer identification number 16-0849923
Number and street (or P O box number if mail is not delivered to street address) 403 MAIN STREET, BOX #28	Room/suite	B Telephone number (716) 754-8276
City or town, state, and ZIP code BUFFALO, NY 14203		C If exemption application is pending, check here ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1 Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 4,871,775. (Part I, column (d) must be on cash basis.)	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		2,050.		N/A	
2 Check ☒ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		52.	52.		STATEMENT 1
4 Dividends and interest from securities		145,932.	145,932.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		218,600.			
b Gross sales price for all assets on line 6a	2,915,259.				
7 Capital gain net income (from Part IV, line 2)			218,600.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total Add lines 1 through 11		366,634.	364,584.		
13 Compensation of officers, directors, trustees, etc		750.	375.		375.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees	STMT 3	29,675.	14,838.		14,837.
c Other professional fees	STMT 4	43,319.	43,319.		0.
17 Interest					
18 Taxes	STMT 5	10,546.	5,859.		0.
19 Depreciation and depletion					
20 Occupancy		320.	160.		160.
21 Travel, conferences, and meetings		463.	232.		231.
22 Printing and publications					
23 Other expenses	STMT 6	<863.>	<535.>		<328.>
24 Total operating and administrative expenses. Add lines 13 through 23		84,210.	64,248.		15,275.
25 Contributions, gifts, grants paid		193,000.			193,000.
26 Total expenses and disbursements. Add lines 24 and 25		277,210.	64,248.		208,275.
27 Subtract line 26 from line 12		89,424.			
a Excess of revenue over expenses and disbursements			300,336.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	23,267.	4,263.	4,263.
	2 Savings and temporary cash investments	191,745.	119,084.	119,084.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations STMT 7	573,986.	737,884.	775,181.
	b Investments - corporate stock STMT 8	3,059,291.	2,934,538.	3,096,002.
	c Investments - corporate bonds STMT 9	710,314.	861,676.	877,245.
11 Investments - land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶ DUE FROM BROKER)	6,475.	0.	0.	
16 Total assets (to be completed by all filers)	4,565,078.	4,657,445.	4,871,775.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ DUE TO BROKER)	0.	2,943.	
23 Total liabilities (add lines 17 through 22)	0.	2,943.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	6,856,683.	6,856,683.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	<2,291,605.>	<2,202,181.>	
30 Total net assets or fund balances	4,565,078.	4,654,502.		
31 Total liabilities and net assets/fund balances	4,565,078.	4,657,445.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,565,078.
2 Enter amount from Part I, line 27a	2	89,424.
3 Other increases not included in line 2 (itemize) ▶	3	0.
Add lines 1, 2, and 3	4	4,654,502.
Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,654,502.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
SEE ATTACHED STATEMENTS			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 2,915,259.		2,696,659.	218,600.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			218,600.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	218,600.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8 }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	185,514.	4,642,474.	.039960
2008	134,642.	4,191,473.	.032123
2007	241,914.	5,348,910.	.045227
2006	323,676.	5,834,238.	.055479
2005	340,856.	5,627,570.	.060569

2 Total of line 1, column (d)	2	.233358
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.046672
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	4,992,061.
5 Multiply line 4 by line 3	5	232,989.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	3,003.
7 Add lines 5 and 6	7	235,992.
8 Enter qualifying distributions from Part XII, line 4	8	208,275.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate
See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	6,007.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	6,007.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	6,007.
6 Credits/Payments			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	3,960.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	3,960.	
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	2,047.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2011 estimated tax	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Form 990-PF (2010)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► LUMSDEN & MCCORMICK, LLP Telephone no ► 716-856-3300 Located at ► 403 MAIN STREET, SUITE 430, BUFFALO, NY ZIP+4 ► 14203			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?		X

Form 990-PF (2010)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		750.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2010)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
	0.
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

Form 990-PF (2010)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
	Average monthly fair market value of securities	1a	4,907,688.
b	Average of monthly cash balances	1b	160,394.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	5,068,082.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	5,068,082.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	76,021.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,992,061.
6	Minimum investment return. Enter 5% of line 5	6	249,603.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	249,603.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	6,007.
b	Income tax for 2010 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	6,007.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	243,596.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	243,596.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	243,596.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	208,275.
	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	208,275.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	208,275.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2010)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				243,596.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			204,173.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2010				
a From 2005				
b From 2006				
c From 2007				
d From 2008				
e From 2009				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ 208,275.				
a Applied to 2009, but not more than line 2a			204,173.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				4,102.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				239,494.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008				
d Excess from 2009				
e Excess from 2010				

N/A

▶

☐ 4942(j)(3) or ☐ 4942(j)(5)

(4) Gross investment income

[illegible]

SEE ATTACHMENT J

Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year DENT NEUROSCIENCES RESEARCH CTR INC 3980 SHERIDAN DR 6TH FL AMHERST, NY 14226	NONE	501(C)(3)	RESEARCH	193,000.
Total			▶ 3a	193,000.
b Approved for future payment NONE				
Total			▶ 3b	0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|---|--------------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule

[illegible]

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

PRESIDENT

Title

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if self-employed

PTIN	
------	--

**Paid
Preparer
Use Only**

DALE B. DEMYANICK

Firm's name ► LUMSDEN & MCCORMICK LLP

Firm's address ► 403 MAIN ST. SUITE 430
BUFFALO, NY 14203

Firm's EIN ▶

Phone no (716) 856-3300

HARRY DENT FAMILY FOUNDATION, INC.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CLEARBRIDGE MULTI CAP-SEE ATTACHMENT I	P	VARIOUS	VARIOUS
	DELAWARE INTL-SEE ATTACHMENT I	P	VARIOUS	VARIOUS
c	JENNISON LARGE CAP-SEE ATTACHMENT I	P	VARIOUS	VARIOUS
d	HANSBERGER-SEE ATTACHMENT I	P	VARIOUS	VARIOUS
e	NFJ DIVIDEND VALUE-SEE ATTACHMENT I	P	VARIOUS	VARIOUS
f	PENN CAPITAL-SEE ATTACHMENT I	P	VARIOUS	VARIOUS
g	CAMBIAR LARGE CAP-SEE ATTACHMENT I	P	VARIOUS	VARIOUS
h	WESTERN ASSET-SEE ATTACHMENT I	P	VARIOUS	VARIOUS
i	CARDINAL HEALTH INC SECURITY LITIGATION PROCEEDS	P	VARIOUS	VARIOUS
j	FOREST LABS SECURITY LITIGATION PROCEEDS	P	VARIOUS	VARIOUS
k	ENRON SECURITY LITIGATION PROCEEDS	P	VARIOUS	VARIOUS
l	VERITAS SECURITY LITIGATION PROCEEDS	P	VARIOUS	VARIOUS
m	BROADCOM CORP SECURITY LITIGATION PROCEEDS	P	VARIOUS	VARIOUS
n	QWEST SECURITY LITIGATION PROCEEDS	P	VARIOUS	VARIOUS
o	MOTOROLA CORP SECURITY LITIGATION PROCEEDS	P	VARIOUS	VARIOUS

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	119,196.		41,600.	77,596.
b	280,770.		284,316.	<3,546.>
c	351,752.		307,801.	43,951.
d	259,783.		267,030.	<7,247.>
e	205,043.		172,413.	32,630.
f	342,019.		303,857.	38,162.
g	327,809.		302,341.	25,468.
h	1,028,055.		1,017,301.	10,754.
i	16.			16.
	30.			30.
	702.			702.
l	39.			39.
m	10.			10.
n	20.			20.
o	15.			15.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			77,596.
b			<3,546.>
c			43,951.
d			<7,247.>
e			32,630.
f			38,162.
g			25,468.
h			10,754.
i			16.
j			30.
k			702.
l			39.
m			10.
n			20.
o			15.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	218,600.
	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
CITIGROUP GLOBAL MKTS MONEY FUNDS	52.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	52.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
VARIOUS FUNDS-DIVIDENDS	88,670.	0.	88,670.
VARIOUS FUNDS-INTEREST	57,262.	0.	57,262.
TOTAL TO FM 990-PF, PART I, LN 4	145,932.	0.	145,932.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	29,675.	14,838.		14,837.
TO FORM 990-PF, PG 1, LN 16B	29,675.	14,838.		14,837.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT AND CUSTODIAN FEES	43,319.	43,319.		0.
TO FORM 990-PF, PG 1, LN 16C	43,319.	43,319.		0.

FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAXES	5,859.	5,859.		0.	
FEDERAL TAX	4,687.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	10,546.	5,859.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INSURANCE REFUND	<1,447.>	<724.>		<723.>	
OFFICE EXPENSE	291.	146.		145.	
NYS FILING FEE	250.	0.		250.	
MISCELLANEOUS	43.	43.		0.	
TO FORM 990-PF, PG 1, LN 23	<863.>	<535.>		<328.>	

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT	7
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE	
U.S. TREASURY BONDS MSSB 01090-SEE ATTACHMENT A	X		737,884.	775,181.	
TOTAL U.S. GOVERNMENT OBLIGATIONS			737,884.	775,181.	
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS					
TOTAL TO FORM 990-PF, PART II, LINE 10A			737,884.	775,181.	

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
CORPORATE STOCK MSSB 9112-SEE ATTACHMENT B	294,859.	483,730.	
CORPORATE STOCK MSSB 7213-SEE ATTACHMENT D	769,872.	724,705.	
CORPORATE STOCK MSSB 5416-SEE ATTACHMENT E	450,026.	458,559.	
CORPORATE STOCK MSSB 7813-SEE ATTACHMENT C	400,991.	510,650.	
CORPORATE STOCK MSSB 5711-SEE ATTACHMENT F	404,759.	360,739.	
CORPORATE STOCK MSSB 0715-SEE ATTACHMENT G	323,400.	292,007.	
CORPORATE STOCK MSSB 5012-SEE ATTACHMENT H	253,784.	232,370.	
CORPORATE PREFERRED STOCK MSSB 5012-SEE ATTACHMENT H	0.	0.	
CLOSED-END FUNDS MSSB 5711-SEE ATTACHMENT F	36,847.	33,242.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,934,538.	3,096,002.	

FORM 990-PF	CORPORATE BONDS	STATEMENT	9
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
CORPORATE BONDS MSSB 01090-SEE ATTACHMENT A	861,676.	877,245.	
TOTAL TO FORM 990-PF, PART II, LINE 10C	861,676.	877,245.	

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 10
 TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
HARRY M. DENT III 1809 N. CLEVELAND AVE CHICAGO, IL 60614	PRESIDENT/DIRECTOR 1.00	0.	0.	0.
GERALD M. KUFNER 73 STRAUSS LANE RHINEBECK, NY 12572	DIRECTOR 1.00	0.	0.	0.
SUSAN L. KIMBERLY 56 LEXINGTON AVENUE BUFFALO, NY 14222	TREASURER/DIRECTOR 1.00	0.	0.	0.
HEIDI D. ARTHURS 3819 LOWER RIVER RD YOUNGSTOWN, NY 14174	VICE PRESIDENT/DIRECTOR 1.00	0.	0.	0.
DALE B. DEMYANICK 403 MAIN ST, SUITE 430 BUFFALO, NY 14203	DIRECTOR 1.00	250.	0.	0.
GEORGIA G. DENT 4333 N. OCEAN BLVD, #AS2 DELRAY BEACH, FL 33483	DIRECTOR 1.00	0.	0.	0.
PETER H. DENT P.O. BOX 4639 SANTA FE, NM 87505	DIRECTOR 1.00	0.	0.	0.
GEORGIA D. KNISLEY 27 LAMOREE ROAD RHINEBECK, NY 12572	DIRECTOR 1.00	0.	0.	0.
HELEN D. LENAHA 90 HALLAM ROAD BUFFALO, NY 14216	DIRECTOR 1.00	0.	0.	0.
CHRISTOPHER D. LENAHA 227 MIDDLESEX ROAD BUFFALO, NY 14216	DIRECTOR 1.00	0.	0.	0.
SCOTT STRAUSSER 806 WILSON LANE HINSDALE, IL 60521	DIRECTOR 1.00	250.	0.	0.

LISBETH L. WALLS
P.O. BOX 782
BUFFALO, NY 14205

DIRECTOR
1.00

250. 0. 0.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

750. 0. 0.

Reserved Client Statement

October 1 - October 31, 2011

Account number 356-01090-13 346

HARRY DENT FAMILY FDTN., INC.

40,454.21 +	40,292.00 +
821,221.66 =	836,953.27 =
-----	-----
861,675.87 *	877,245.27 *
CORPORATE BOND	CORPORATE BOND
BOOK VALUE	FAIR MARKET VALUE

(1)

(2)

International bonds

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted	Current % Yield/ Anticip Income (annualized)	Ordinary Income/ Capital gain/(loss)
33,000	ROYAL BANK OF SCOTLAND PLC	08/19/10	\$ 33,291.39	\$ 100.883	100.73	\$ 33,240.90	(\$ 50.49) LT		\$ 0.00
	DTD 08/24/2010	78010XAD3	\$ 33,178.20	\$ 100.54			\$ 62.70 LT		\$ 62.70
7,000	INT: 03.400% MATY 06/23/2013	06/29/11	7,162.82	102.326	100.73	7,051.10	(111.72) ST		0.00
	EXCHANGE RATE 1.0000000		7,138.67	101.981			(87.57) ST		(87.57)
40,000	Amount denominated in U.S. dollars		40,454.21	101.10		40,292.00	(162.21)	3.375	0.00
	Rating A2/A +		40,316.87	100.80	256.89		(24.87)	1,360.00	(24.87)
Total International bonds			\$ 40,454.21 (1)		\$ 256.89	\$ 40,292.00 (2)	(\$ 87.57) ST	3.37	\$ 0.00
40,000			\$ 40,316.87				\$ 82.70 LT	\$ 1,360.00	(\$ 24.87)

Corporate bonds

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted	Current % Yield/ Anticip Income (annualized)	Ordinary Income/ Capital gain/(loss)
5,000	WALT DISNEY COMPANY	01/15/04	\$ 5,625.15	\$ 112.503	101.895	\$ 5,094.75	(\$ 530.40) LT		\$ 0.00
	DTD 2/28/02	25468PBX3	\$ 5,029.70	\$ 100.594			\$ 65.05 LT		\$ 65.05
25,000	INT 06.375% MATY 03/01/2012	08/29/04	27,677.25	110.709	101.895	25,473.75	(2,203.50) LT		0.00
	Rating A2/A		25,137.75	100.551			336.00 LT		336.00
9,000		06/29/11	9,358.47	103.983	101.895	9,170.55	(187.92) ST		0.00
			9,182.25	102.025			(11.70) ST		(11.70)
39,000			42,660.87	109.40		39,739.05	(2,921.82)	6.258	0.00
			39,349.70	100.90	414.37		389.35	2,486.25	389.35

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-01090-13 346

Corporate bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/Adjusted	Current % Yield/ Anticip Income (annualized)	Ordinary Income/ Capital gain/(loss)
31,000	PFIZER INC BK/ENTRY	01/19/11 717081CZ4	\$ 32,381.67 \$ 31,451.05	\$ 104.457 \$ 101.455	101.424	\$ 31,441.44	(\$ 940.23) (\$ 9.61)	ST	\$ 0.00 (\$ 9.61)
9,000	DTD 03/24/2009 INT 04.450% MATY 03/15/2012	06/29/11	9,260.55 9,139.68	102.895 101.552	101.424	9,128.16	(132.39) (11.52)	ST	0.00 (11.52)
40,000	Rating A1/A		41,642.22 40,590.73	104.10 101.50	227.44	40,569.60	(1,072.62) (21.13)	4.387 1,780.00	0.00 (21.13)
25,000	AMERICAN EXPRESS CR DTD 08/20/2008	07/20/09 0258MOCV3	26,790.75 25,637.25	107.163 103.349	109.47	27,367.50	576.75 1,530.25	LT	0.00 1,530.25
5,000	INT 07.300% MATY 08/20/2013 Rating A2/BBB +	02/24/10	5,637.90 5,340.35	112.758 106.807	109.47	5,473.50	(164.40) 133.15	LT	0.00 133.15
7,000		06/29/11	7,797.72 7,678.58	111.396 109.694	109.47	7,662.90	(134.82) (15.68)	ST	0.00 (15.68)
37,000			40,226.37 38,856.18	108.70 105.00	532.70	40,503.90	277.53 1,647.72	6.668 2,701.00	0.00 1,647.72
25,000	TIME WARNER CABLE INC BOOK/ENTRY	04/23/09 88732JAR9	26,394.50 25,735.25	105.578 102.941	113.523	28,380.75	1,986.25 2,645.50	LT	0.00 2,645.50
3,000	DTD 03/26/2009 INT 07.500% MATY 04/01/2014	02/23/10	3,495.18 3,299.70	116.506 109.99	113.523	3,405.69	(89.49) 105.99	LT	0.00 105.99
7,000	Rating BAA2/BBB	06/29/11	8,074.99 7,951.16	115.357 113.588	113.523	7,946.61	(128.38) (4.55)	ST	0.00 (4.55)
36,000			37,964.67 36,986.11	108.50 105.70	218.75	39,733.05	1,768.38 2,746.94	6.606 2,625.00	0.00 2,746.94
30,000	GOLDMAN SACHS GROUP INC BOOK/ENTRY	01/29/10 38141EA33	32,921.40 31,773.60	109.738 105.912	106.249	31,874.70	(1,046.70) 101.10	LT	0.00 101.10
7,000	DTD 05/06/2009 INT 06.000% MATY 05/01/2014	06/29/11	7,675.15 7,600.60	109.645 108.58	106.249	7,437.43	(237.72) (163.17)	ST	0.00 (163.17)
37,000	Rating A1/A		40,596.55 39,374.20	109.70 106.40	1,110.00	39,312.13	(1,284.42) (62.07)	5.647 2,220.00	0.00 (62.07)
28,000	HEWLETT PACKARD CO DTD 02/26/2009	04/28/11 428236AV5	30,677.64 30,256.24	109.563 108.058	107.754	30,171.12	(506.52) (85.12)	ST	0.00 (85.12)
10,000	INT 04.750% MATY 06/02/2014 Rating A2/A	06/29/11	10,932.50 10,831.10	109.325 108.311	107.754	10,775.40	(157.10) (55.70)	ST	0.00 (55.70)
38,000			41,610.14 41,087.34	109.50 108.10	747.07	40,946.52	(663.62) (140.82)	4.408 1,805.00	0.00 (140.82)

**Reserved
Client Statement**

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-01090-13 346

Corporate bonds continued

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted	Current % Yield/ Anticip Income (annualized)	Ordinary Income/ Capital gain/(loss)
18,000	KINDER MORGAN ENERGY DTD 11/12/2004	02/16/11 494550AS5	\$ 19,457.82 \$ 19,199.16	\$ 108.099 \$ 106.662	109.161	\$ 19,648.98	\$ 191.16 ST \$ 449.82 ST		\$ 0.00 \$ 449.82
5,000	INT 05.125% MATY. 11/15/2014 Rating BAA2/BBB	06/29/11	5,513.90 5,466.10	110.278 109.322	109.161	5,458.05	(55.85) ST (8.06) ST		0.00 (8.06)
23,000			24,971.72 24,665.26	108.60 107.20	643.53	25,107.03	135.31 441.77	4.694 1,178.75	0.00 441.77
31,000	WELLS FARGO & CO BOOK/ENTRY	01/18/11 94974BEU0	32,338.58 32,103.60	104.318 103.56	105.28	32,636.80	298.22 ST 533.20 ST		0.00 533.20
8,000	INT 03.625% MATY 04/15/2015 Rating A2/AA-	06/29/11	8,389.52 8,357.68	104.869 104.471	105.28	8,422.40	32.88 ST 64.72 ST		0.00 64.72
39,000			40,728.10 40,461.28	104.40 103.70	62.83	41,059.20	331.10 597.92	3.443 1,413.75	0.00 597.92
32,000	TIME WARNER INC DTD 07/14/2010	07/15/10 887317AJ4	32,371.84 32,280.32	101.162 100.876	104.225	33,352.00	980.16 LT 1,071.68 LT		0.00 1,071.68
7,000	INT. 03 150% MATY 07/15/2015 Rating BAA2/BBB	06/29/11	7,242.62 7,223.86	103.466 103.198	104.225	7,295.75	53.13 ST 71.89 ST		0.00 71.89
39,000			39,614.46 39,504.18	101.60 101.30	361.73	40,647.75	1,033.29 1,143.57	3.022 1,228.50	0.00 1,143.57
32,000	PNC FUNDING CORP DTD 09/21/2009	12/10/09 693476BG7	33,110.08 32,773.12	103.469 102.416	107.859	34,514.88	1,404.80 LT 1,741.76 LT		0.00 1,741.76
6,000	INT 04 250% MATY. 09/21/2015 Rating A3/A	06/29/11	6,455.58 6,422.28	107.593 107.038	107.859	6,471.54	15.96 ST 49.26 ST		0.00 49.26
38,000			39,565.66 39,195.40	104.10 103.10	179.44	40,986.42	1,420.76 1,791.02	3.94 1,615.00	0.00 1,791.02
37,000	KELLOGG CO BOOK/ENTRY	08/16/11 487836BB3	41,575.79 41,391.90	112.367 111.87	111.215 690.62	41,149.55	(426.24) ST (242.35) ST	4.001 1,646.50	0.00 (242.35)
22,000	CVS CAREMARK CORP DTD 05/25/07	06/25/10 126650BH2	24,338.60 23,934.90	110.63 108.795	116.764	25,688.08	1,349.48 LT 1,753.18 LT		0.00 1,753.18
15,000	INT 05 750% MATY 06/01/2017 Rating BAA2/BBB +	06/29/11	17,004.90 16,905.00	113.366 112.70	116.764	17,514.60	509.70 ST 609.60 ST		0.00 609.60
37,000			41,343.50 40,839.90	111.70 110.40	886.46	43,202.68	1,859.18 2,362.78	4.924 2,127.50	0.00 2,362.78

**Reserved
Client Statement**

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-01090-13 346

Corporate bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Acquired Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted	Current % Yield/ Anticip Income (annualized)	Ordinary Income/ Capital gain/(loss)
29,000	MERRILL LYNCH & CO BOOK/ENTRY	06/01/11 59018YJ69	\$ 32,705.91 \$ 32,489.57	\$ 112.779 \$ 112.033	101.391	\$ 29,403.39	(\$ 3,302.52) ST (\$ 3,086.18) ST		\$ 0.00 (\$ 3,086.18)
8,000	DD 8/28/2007 INT-06 400% MATY 08/28/2017	06/29/11	8,742.32 8,707.12	109.279 108.839	101.391	8,111.28	(631.04) ST (595.84) ST		0.00 (595.84)
37,000	Rating BAA1/A		41,448.23 41,198.69	112.00 111.30	414.40	37,514.67	(3,933.56) (3,682.02)	6.312 2,368.00	0.00 (3,682.02)
10,000	JPMORGAN CHASE & CO BOOK/ENTRY	04/22/09 46625HHL7	9,918.20 9,918.20	99.182 99.182	113.313	11,331.30	1,413.10 LT 1,413.10 LT		0.00 1,413.10
15,000	DTD 04/23/2009 INT 06 300% MATY 04/23/2019	08/13/09	16,276.80 16,039.80	108.512 106.932	113.313	16,996.95	720.15 LT 957.15 LT		0.00 957.15
5,000	Rating AA3/A +		5,516.00 5,438.30	110.32 108.766	113.313	5,665.65	149.65 LT 227.35 LT		0.00 227.35
7,000	DD 06/29/11 INT-06 850% MATY 04/30/2019	06/29/11	7,926.80 7,894.67	113.24 112.781	113.313	7,931.91	5.11 ST 37.24 ST		0.00 37.24
37,000	BB&T CORPORATION BK/ENTRY	02/23/10 05531FAB9	39,637.80 39,290.97	107.10 106.20	51.80	41,925.81	2,288.01 2,634.84	5.559 2,331.00	0.00 2,634.84
28,000	INT-06 850% MATY 04/30/2019		31,717.56 31,160.08	113.277 111.286	121.285 5.33	33,959.80	2,242.24 LT 2,799.72 LT	5.647 1,918.00	0.00 2,799.72
36,000	ORACLE CORP DTD 07/08/2009	10/05/11 68389XAG0	41,707.44 41,670.36	115.854 115.751	116.646 565.00	41,992.56	285.12 ST 322.20 ST	4.286 1,800.00	0.00 322.20
25,000	VERIZON COMMUNICATIONS INC DTD 03/28/2011	06/08/11 92343VAX2	26,015.25 25,983.00	104.061 103.932	109.804	27,451.00	1,435.75 ST 1,468.00 ST		0.00 1,468.00
7,000	INT 04 600% MATY 04/01/2021	06/29/11	7,241.85 7,235.41	103.455 103.363	109.804	7,686.28	444.43 ST 450.87 ST		0.00 450.87
32,000	Rating A3/A-		33,257.10 33,218.41	103.90 103.80	122.67	35,137.28	1,880.18 1,918.87	4.189 1,472.00	0.00 1,918.87
25,000	GENERAL ELEC CAP CORP GLOBAL MED TERM NOTES BOOK/ENTRY	09/29/04 36962GXZ2	28,971.25 28,435.50	115.885 113.742	116.942	29,235.50	264.25 LT 800.00 LT		0.00 800.00
7,000	DTD 3/20/02 INT 06 750% MATY 03/15/2032	02/23/10	7,122.36 7,117.53	101.748 101.679	116.942	8,185.94	1,063.58 LT 1,068.41 LT		0.00 1,068.41
5,000	Rating AA2/AA +		5,575.95 5,571.30	111.519 111.426	116.942	5,847.10	271.15 ST 275.80 ST		0.00 275.80
37,000			41,669.56 41,124.33	112.60 111.10	319.13	43,268.54	1,598.98 2,144.21	5.772 2,497.50	0.00 2,144.21

Reserved Client Statement

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-01090-13 346

Corporate bonds continued

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued interest	Current value	Unrealized Gain/(loss) Original/Adjusted	Current % Yield/ Anticip Income (annualized)	Ordinary Income/ Capital gain/(loss)
31,000	BELLSOUTH CORP DTD 06/29/2004 INT. 06 550% MATY 06/15/2034 Rating A2/A-	01/06/11 079860AE2	\$ 32,725.46 \$ 32,696.63	\$ 105.566 \$ 105.473	118.855 \$ 767.08	\$ 36,845.05	\$ 4,119.59 ST \$ 4,148.42 ST	5.51 \$ 2,030.50	\$ 0.00 \$ 4,148.42
28,000	IBM CORP BOOK/ENTRY	08/25/10 459200GS4	33,051.48 32,951.24	118.041 117.683	125.832	35,232.96	2,181.48 LT 2,281.72 LT		0.00 2,281.72
3,000	DTD 11/30/2009 INT 05 600% MATY 11/30/2039 Rating AA3/A +	03/03/11	3,124.50 3,124.32	104.15 104.144	125.832	3,774.96	650.46 ST 650.64 ST		0.00 650.64
8,000		06/29/11	8,527.44 8,524.80	106.593 106.56	125.832	10,066.56	1,539.12 ST 1,541.76 ST		0.00 1,541.76
39,000			44,703.42 44,600.38	114.60 114.40	916.07	49,074.48	4,371.06 4,474.12	4.45 2,184.00	0.00 4,474.12
36,000	COMCAST CORP BOOK/ENTRY DTD 03/01/2010 INT. 06 400% MATY 03/01/2040 Rating BAA1/BBB +	09/13/11 20030NBB6	41,855.04 41,841.36	116.264 116.226	122.995 384.00	44,278.20	2,423.16 ST 2,436.84 ST	5.203 2,304.00	0.00 2,436.84
Total corporate bonds			\$ 821,221.66 \$ 809,101.37		\$ 9,520.42	\$ 836,953.27	\$ 8,820.79 ST \$ 19,031.11 LT	4.98 \$ 41,732.25	\$ 0.00 \$ 27,851.90

Government & government sponsored entity (GSE) bonds

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued interest	Current value	Unrealized Gain/(loss) Original/Adjusted	Current % Yield/ Anticip Income (annualized)	Ordinary Income/ Capital gain/(loss)
19,000	FEDERAL NATL MTG ASSN GLOBAL DEBS-BK/ENTRY	02/22/10 31359MPF4	\$ 20,413.03 \$ 19,489.44	\$ 107.437 \$ 102.576	103.582	\$ 19,680.58	(\$ 732.45) LT \$ 191.14 LT		\$ 0.00 \$ 191.14
4,000	DTD 09/23/2002 INT. 04 375% MATY 08/15/2012	06/27/11	4,197.56 4,142.12	104.939 103.553	103.582	4,143.28	(54.28) ST 1.16 ST		0.00 1.16
23,000			24,610.59 23,631.56	107.00 102.70	128.56	23,823.86	(786.73) 192.30	4.223 1,006.25	0.00 192.30
48,000	U S TREASURY NOTES SER AE-2012 DTD 11/15/2009	05/23/11 912828LX6	48,723.74 48,510.72	101.507 101.064	101.234	48,592.32	(131.42) ST 81.60 ST		0.00 81.60
25,000	INT 01 375% MATY 11/15/2012	06/27/11	25,375.98 25,283.50	101.503 101.134	101.234	25,308.50	(67.48) ST 25.00 ST		0.00 25.00
73,000			74,099.72 73,794.22	101.50 101.10	463.69	73,900.82	(198.90) 106.60	1.368 1,003.75	0.00 106.60

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-01090-13 346

Government & government sponsored entity (GSE) bonds continued

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/Adjusted	Current % Yield/ Anticip. Income (annualized)	Ordinary Income/ Capital gain/(loss)
25,000	U S TREASURY NOTES SER R-2013 DTD 10/31/2008	02/18/11 912828JQ4	\$ 26,036 14 \$ 25,775 00	\$ 104 144 \$ 103 10	104 914	\$ 26,228 50	\$ 192 36 ST \$ 453 50 ST		\$ 0 00 \$ 453 50
6,000	INT: 02.750% MATY 10/31/2013	06/27/11	6,327 65 6,280 26	105 46 104 671	104 914	6,294 84	(32 81) ST 14 58 ST		0 00 14 58
31,000			32,363 79 32,055 26	104 40 103 40	2.32	32,523 34	159 55 468 08	2 621 852 50	0 00 468 08
8,000	U S TREASURY NOTES SER P-2014 DTD 07/31/09	06/27/11 912828LC2	8,490 94 8,437 12	106 136 105 464	106 102	8,488 16	(2 78) ST 51 04 ST		0 00 51 04
48,000	INT: 02.625% MATY 07/31/2014	08/15/11	51,225 02 51,000 48	106 718 106 251	106 102	50,928 96	(296 06) ST (71 52) ST		0 00 (71 52)
56,000			59,715 96 59,437 60	106 60 106 10	371 49	59,417 12	(298 84) (20 48)	2 474 1,470 00	0 00 (20 48)
15,000	FEDERAL HOME LOAN MTG CORP GLOBAL DEBS BOOK/ENTRY	11/26/07 3134A4VC5	14,844 05 14,844 05	98 96 98 96	112 552	16,882 80	2,038 75 LT 2,038 75 LT		0 00 2,038 75
10,000	DTD 07/14/2005 INT: 04.375% MATY 07/17/2015	07/28/09	10,606 14 10,390 20	106 061 103 902	112 552	11,255 20	649 06 LT 865 00 LT		0 00 865 00
6,000		02/22/10	6,450 96 6,317 64	107 516 105 294	112 552	6,753 12	302 16 LT 435 48 LT		0 00 435 48
35,000		08/20/10	39,501 35 38,442 60	112 861 109 836	112 552	39,393 20	(108 15) LT 950 60 LT		0 00 950 60
14,000		06/27/11	15,714 97 15,574 02	112 249 111 243	112 552	15,757 28	42 31 ST 183 26 ST		0 00 183 26
80,000			87,117 47 85,568 51	108 90 107 00	1,011 11	90,041 60	2,924 13 4,473 09	3 887 3,500 00	0 00 4,473 09
38,000	U S TREASURY NOTES SER M-2016 DTD 07/31/2009	08/22/11 912828LD0	42,537 73 42,442 58	111 941 111 691	110 797 312 11	42,102 86	(434 87) ST (339 72) ST	2 933 1,235 00	0 00 (339 72)
42,000	FEDERAL NATL MTG ASSN GLOBAL DEBS-BK/ENTRY	05/06/09 31359MW41	47,316 78 45,662 82	112 659 108 721	118 912	49,943 04	2,626 26 LT 4,280 22 LT		0 00 4,280 22
7,000	DTD 08/17/2006 INT: 05.250% MATY 09/15/2016	06/27/11	8,203 24 8,127 91	117 189 116 113	118 912	8,323 84	120 60 ST 195 93 ST		0 00 195 93
49,000			55,520 02 53,780 73	113 30 109 80	328 71	58,268 88	2,746 86 4,476 15	4 415 2,572 50	0 00 4,476 15
25,000	U S TREASURY NOTES SER B-2018 DTD 02/15/2008	09/30/10 912828HR4	27,522 45 27,175 00	110 089 108 70	112 656	28,164 00	641 55 LT 989 00 LT		0 00 989 00
31,000	INT: 03.500% MATY 02/15/2018	02/16/11	31,937 25 31,850 33	103 023 102 743	112 656	34,923 36	2,986 11 ST 3,073 03 ST		0 00 3,073 03

**Reserved
Client Statement**

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-01090-13 346

Government & government sponsored entity (GSE) bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/Adjusted	Current % Yield/ Anticip Income (annualized)	Ordinary Income/ Capital gain/(loss)
11,000	U S TREASURY NOTES SER B-2018 DTD 02/15/2008	06/27/11 91282BHR4	\$ 12,056 61 \$ 12,005 29	\$ 109 605 \$ 109 139	112 656	\$ 12,392 16	\$ 335 55 ST \$ 386 87 ST		\$ 0 00 \$ 386 87
67,000	INT 03 500% MATY 02/15/2018		71,516 31 71,030 62	106 70 106 00		75,479 52	3,963 21 4,448 90	3 106 2,345 00	0 00 4,448 90
44,000	FEDERAL HOME LOAN MTG CORP NOTES BK/ENTRY	03/31/11 3137EACA5	45,442 76 45,349 04	103 279 103 066	112 382	49,448 08	4,005 32 ST 4,099 04 ST		0 00 4,099 04
9,000	DTD 03/27/2009	06/27/11	9,751 32 9,721 35	108 348 108 015	112 382	10,114 38	363 06 ST 393 03 ST		0 00 393 03
53,000	INT 03 750% MATY 03/27/2019		55,194 08 55,070 39	104 10 103 90		59,582 46	4,368 38 4,492 07	3 336 1,987 50	0 00 4,492 07
31,000	U S TREASURY NOTES SER B-2020 DTD 02/15/2010	04/29/11 91282BMP2	32,236 37 32,174 59	103 988 103 789	113 617	35,221 27	2,984 90 ST 3,046 68 ST		0 00 3,046 68
7,000	INT. 03 625% MATY. 02/15/2020	06/27/11	7,577 23 7,556 64	108 246 107 952	113 617	7,953 19	375 96 ST 396 55 ST		0 00 396 55
42,000		10/05/11	48,593 66 48,543 18	115 699 115 579	113 617	47,719 14	(874 52) ST (824 04) ST		0 00 (824 04)
80,000			88,407 26 88,274 41	110 50 110 30		90,893 60	2,486 34 2,619 19	3 19 2,900 00	0 00 2,619 19
30,000	U S TREASURY NOTES SERC-2021 DTD 05/15/2011	07/06/11 91282BON3	30,066 81 30,065 40	100 222 100 218	108 711 433 08	32,613 30	2,546 49 ST 2,647 90 ST	2 874 937 50	0 00 2,647 90
25,000	US TSY INFLATION INDEX BDS CPI U NON-SEASONALLY ADJ	11/26/07 912810FS2	26,760 12 28,973 38	101 937 101 571	120 93	34,504 65	7,744 53 LT 5,531 27 LT		0 00 5,531 27
6,000	DTD 01/31/2006	02/22/10	6,470 88 6,470 88	99 097 99 097	120 93	8,281 12	1,810 24 LT 1,810 24 LT		0 00 1,810 24
2,000	INT: 02 000% MATY- 01/15/2026 Factor 1 14131	06/27/11	2,520 01 2,533 54	111 265 111 022	120 93	2,760 37	240 36 ST 226 83 ST		0 00 226 83
33,000			35,751 01 37,977 80	108 30 115 10		45,546 14	9,795 13 7,568 34	1 653 753 26	0 00 7,568 34
18,000	U S TREASURY BOND SER MAY 2038 DTD 08/15/08	07/13/10 912810PX0	19,297 96 19,264 86	107 21 107 027	124 531	22,415 58	3,117 62 LT 3,150 72 LT		0 00 3,150 72
5,000	INT 04 500% MATY. 05/15/2038	06/27/11	5,281 25 5,279 40	105 625 105 588	124 531	6,226 55	945 30 ST 947 15 ST		0 00 947 15
23,000			24,579 21 24,544 28	106 90 106 70		28,642 13	4,062 92 4,097 87	3 613 1,035 00	0 00 4,097 87

**Reserved
Client Statement**

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-01090-13 346

Government & government sponsored entity (GSE) bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted	Current % Yield/ Anticip. Income (annualized)	Ordinary Income/ Capital gain/(loss)
32,000	U S TREASURY BONDS	07/20/10	\$ 33,451.26	\$ 104,535	119,938	\$ 38,380.16	\$ 4,928.90 LT		\$ 0.00
	DTD 05/15/2008	9128100187	\$ 33,416.64	\$ 104,427			\$ 4,963.52 LT		\$ 4,963.52
2,000	INT-04.250% MATY 05/15/2039	12/14/10	1,926.17	96,308	119,938	2,398.76	472.59 ST		0.00
			1,926.17	96,308			472.59 ST		472.59
7,000		06/27/11	7,070.00	101.00	119,938	8,395.66	1,325.66 ST		0.00
			7,069.65	100.995			1,326.01 ST		1,326.01
11,000		10/05/11	13,956.68	126,878	119,938	13,193.18	(763.50) ST		0.00
			13,951.96	126,836			(758.78) ST		(758.78)
52,000			56,404.11	108.50	1,020.92	62,367.76	5,963.65	3.643	0.00
			56,364.42	108.40			6,003.34	2,210.00	6,003.34
Total government & government sponsored entity (GSE) bonds									\$ 0.00
688,000			\$ 737,884.07		\$ 6,072.66	\$ 776,181.39	\$ 15,927.89 ST	3.07	\$ 0.00
			\$ 734,047.78			\$ 25,205.94 LT	\$ 23,808.26		\$ 41,133.63

MorganStanley
SmithBarney**Reserved
Client Statement**

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-01091-12 346

Common stocks & options

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
118 5052 2 4948 121	COVIDIEN PLC	COV	06/01/99 01/27/06	\$ 6,617 37 84 32	\$ 55 84 33,798	\$ 47 04 47 04	\$ 5,574 48 117 36	(# 1,042 89) 33 04	LT LT	
188 8039 219 1961 30 10 448	SEAGATE TECHNOLOGY PLC	STX	08/23/99 11/30/99 06/12/06 08/23/10	2,686 18 2,596 06 669 58 109 60	14 227 11 843 22 319 10 96	16 15 16 15 16 15 16 15	3,049 18 3,540 02 484 50 161 50	(1,009 85) 363 00 943 96 51 90	LT LT LT LT	108 80
1,505	WEATHERFORD INTERNATIONAL LTD -CHF	WFT	01/29/04	15,442 09	10.26	15 50	23,327 50	1,173 78	4.458	322.56
								7,885 41	LT	

MorganStanley
SmithBarneyReserved
Client Statement

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-01091-12 346

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
118 5052 2 4948 121	TE CONNECTIVITY LTD-CHF	TEL	06/01/99 01/27/06	\$ 6,092 14 77 62	\$ 51 408 31 112	\$ 35 55 35 55	\$ 4,212 86 88 69	(\$ 1,879 28) LT 11 07 LT		
118 5052 2 4948 121	TYCO INTL LTD CHF	TYC	06/01/99 01/27/06	8,132 59 103 63	68 626 41 538	45 55 45 55	5,397 91 113 64	(2,734 68) LT 10 01 LT	2 025	87 12
210	AMC NETWORKS INC-A	AMCX	04/27/09	3,087 40	14 701	32 62	6,850 20	3,762 80 LT	2 195	121 00
67	ANADARKO PETROLEUM CORP	APC	07/24/08	3,918 24	58 481	78 50	5,259 50	1,341 26 LT		
358			10/24/08	10,415 44	29 093	78 50	28,103 00	17,687 56 LT		
80			10/27/08	2,249 65	28 12	78 50	6,280 00	4,030 35 LT		
505				16,583 33	32 838		39,642 60	23,059 17	458	181 80
30	AUTODESK INC	ADSK	01/06/06	1,271 36	42 378	34 60	1,038 00	(233 36) LT		
52			08/01/08	1,681 25	32 331	34 60	1,799 20	117 95 LT		
240			04/27/09	4,578 72	19 078	34 60	8,304 00	3,725 28 LT		
110			08/10/11	3,156 32	28 693	34 60	3,806 00	649 68 ST		
432				10,687 65	24 74		14,947 20	4,259 55		
410	BIOMED IDEC INC	BIIB	06/01/99	3,532 83	8 616	116 36	47,707 60	44,174 77 LT		
215	BROADCOM CORP CL A	BRCM	04/27/09	5,157 47 R	24 308	36 09	7,759 35	2,601 88 LT		
180			02/02/11	7,764 61	43 136	36 09	6,496 20	(1,268 41) ST		
40			03/09/11	1,620 76	40 519	36 09	1,443 60	(177 16) ST		
110			05/10/11	3,693 95	33 581	36 09	3,969 90	275 95 ST		
545				18,236 79	33 462		19,669 05	1,432 26	997	196 20
840	CABLEVISION SYSTEMS CORP CABLEVISION NY GROUP CL A	CVC	04/27/09	8,155 88	9 709	14 47	12,154 80	3,998 92 LT	4 146	504 00
60	CITRIX SYSTEMS INC	CTXS	10/15/10	3,542 17	59,036	72 83	4,369 80	827 63 LT		
30			11/04/10	1,961 12	65 37	72 83	2,184 90	223 78 ST		
80			01/14/11	5,419 60	67 745	72 83	5,826 40	406 80 ST		
5			02/02/11	328 34	65 668	72 83	364 15	35 81 ST		
175				11,251 23	64 293		12,745 25	1,494 02		
1,097 0437	COMCAST CORP CL A - SPL	CMCSK	01/26/04	25,172 32	22 953	23 00	25,232 01	59 69 LT		
104 9617			06/18/04	2,048 84	19,526	23 00	2,414 12	365 28 LT		
14 9946			07/20/04	274 97	18 344	23 00	344 88	69 91 LT		
140			06/23/08	2,700 52	19 289	23 00	3,220 00	519 48 LT		
10			01/14/09	142 15	14 215	23 00	230 00	87 85 LT		
1,367				30,338 80	22 194		31,441 01	1,102 21	1,956	615 15

HARRY DENT FAMILY FDTN., INC. Account number 356-01091-12 346

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
257	CREE INC	CREE	04/27/06	\$ 7,521.98	\$ 29.268	\$ 26.64	\$ 6,846.48	(\$ 675.50) LT		
80			06/01/06	1,914.41	23.93	26.64	2,131.20	216.79 LT		
10			03/23/11	452.43	45.243	26.64	266.40	(186.03) ST		
90			04/20/11	3,522.92	39.143	26.64	2,397.60	(1,125.32) ST		
437				13,411.74	30.69		11,641.88	(1,770.06)		
218	DIRECTV CL A	DTV	04/27/09	4,542.09	20.923	45.46	9,910.28	5,368.19 LT		
8	DOLBY LABORATORIES INC	DLB	10/16/08	227.75	28.468	29.24	233.92	6.17 LT		
41	CLASS A		10/23/08	1,205.62	29.405	29.24	1,198.84	(6.78) LT		
38			10/24/08	1,139.33	29.962	29.24	1,111.12	(28.21) LT		
34			10/27/08	1,035.53	30.456	29.24	994.16	(41.37) LT		
30			10/28/08	876.68	29.222	29.24	877.20	52 LT		
47			10/29/08	1,397.77	29.739	29.24	1,374.28	(23.49) LT		
27			10/30/08	826.91	30.626	29.24	789.48	(37.43) LT		
8			04/06/11	392.07	49.008	29.24	233.92	(158.15) ST		
10			04/15/11	470.88	47.088	29.24	292.40	(178.48) ST		
60			08/05/11	1,863.24	31.054	29.24	1,754.40	(108.84) ST		
303				9,435.78	31.141		8,859.72	(576.06)		
5	FLUOR CORP NEW	FLR	11/20/08	152.34	30.469	56.85	284.25	131.91 LT		
255			04/27/09	10,193.52	39.974	56.85	14,496.75	4,303.23 LT		
260				10,345.86	39.792		14,781.00	4,435.14	.879	130.00
230	FOREST LABORATORIES INC	FRX	06/01/99	2,745.63	11.937	31.30	7,199.00	4,453.37 LT		
460			09/15/99	5,353.14	11.637	31.30	14,398.00	9,044.86 LT		
20			05/11/10	545.26	27.262	31.30	626.00	80.74 LT		
100			04/04/11	3,285.08	32.86	31.30	3,130.00	(155.08) ST		
52			04/05/11	1,714.35	32.968	31.30	1,627.60	(86.75) ST		
20			04/07/11	659.82	32.991	31.30	626.00	(33.82) ST		
882				14,304.28	16.218		27,608.60	13,302.32		
360	FREEPORT MCMORAN COPPER & GOLD CL B	FCX	02/11/09	5,078.92	14.108	40.26	14,493.60	9,414.68 LT	2.483	360.00
230	HUMAN GENOME SCIENCES INC	HGSI	02/28/11	5,749.98	24.999	10.26	2,359.80	(3,390.18) ST		
30			07/26/11	640.45	21.348	10.26	307.80	(332.65) ST		
280			08/02/11	5,505.78	19.663	10.26	2,872.80	(2,632.98) ST		
10			08/22/11	138.96	13.896	10.26	102.60	(36.36) ST		
550				12,035.17	21.882		5,643.00	(6,392.17)		

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SmithBarneyHARRY DENT FAMILY FOUNDATION
EIN. 16-0849923**Reserved**
Client Statement

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-01091-12 346**Common stocks & options** continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
4	IMMUNOGEN INC	IMGN	10/15/10	\$ 30.97	\$ 7.742	\$ 13.58	\$ 54.32	\$ 23.35 LT		
11			10/18/10	85.46	7.769	13.58	149.38	63.92 LT		
5			10/19/10	38.48	7.695	13.58	67.90	29.42 LT		
9			10/20/10	70.89	7.877	13.58	122.22	51.33 LT		
4			10/21/10	31.53	7.881	13.58	54.32	22.79 LT		
12			11/04/10	95.09	7.924	13.58	162.96	67.87 ST		
30			11/05/10	239.91	7.997	13.58	407.40	167.49 ST		
10			11/16/10	77.66	7.765	13.58	135.80	58.14 ST		
347			02/02/11	2,949.85	8.501	13.58	4,712.26	1,762.41 ST		
240			02/03/11	2,047.49	8.531	13.58	3,259.20	1,211.71 ST		
672				5,667.33	8.434		9,125.76	3,458.43		
385	L 3 COMMUNICATIONS HLDGS INC	LLL	09/15/99	7,606.38	19.756	67.78	26,095.30	18,488.92 LT	2.655	693.00
1	LIBERTY MEDIA CORP LIBERTY CAP	LCAPA	08/09/04	9.61	9.61	76.82	76.82	67.21 LT		
47	CL A		06/04/08	703.58	14.969	76.82	3,610.54	2,906.96 LT		
17			06/11/08	254.98	14.998	76.82	1,305.94	1,050.96 LT		
20			06/27/08	291.33	14.566	76.82	1,536.40	1,245.07 LT		
85				1,259.50	14.818		6,528.70	5,270.20		
491	LIBERTY MEDIA HLDG CORP	LINTA	06/02/08	8,319.07	16.943	16.43	8,067.13	(251.94) LT		
280	INTERACTIVE SER A		06/03/08	4,757.79	16.992	16.43	4,600.40	(157.39) LT		
771				13,078.86	16.981		12,687.53	(409.33)		
159	NATIONAL OILWELL VARCO INC	NOV	06/01/99	3,810.86	24.097	71.33	11,341.47	7,530.61 LT		
81			10/24/08	1,948.56	24.056	71.33	5,777.73	3,829.17 LT		
40			10/27/08	1,017.96	25.449	71.33	2,853.20	1,835.24 LT		
280				6,777.38	24.205		19,972.40	13,195.02	6.16	123.20
10	NUCOR CORP	NUE	02/17/09	408.02	40.801	37.78	377.80	(30.22) LT		
20			02/20/09	778.98	38.949	37.78	755.60	(23.38) LT		
100			02/24/09	3,710.14	37.101	37.78	3,778.00	67.86 LT		
45			04/27/09	1,753.92	38.976	37.78	1,700.10	(53.82) LT		
50			02/04/11	2,391.77	47.835	37.78	1,889.00	(502.77) ST		
225				9,042.83	40.19		8,500.50	(542.33)	3.838	328.25
180	PALL CORP	PLL	09/19/05	4,947.03	27.483	51.17	9,210.60	4,263.57 LT	1.367	128.00
160	SANDISK CORP	SNDK	05/31/06	9,167.20	57.295	50.67	8,107.20	(1,060.00) LT		
20			06/08/06	1,024.94	51.246	50.67	1,013.40	(11.54) LT		
70			06/27/06	3,595.31	51.361	50.67	3,546.90	(48.41) LT		

**Reserved
Client Statement**

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-01091-12 346**Common stocks & options** *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
10	SANDISK CORP	SNDK	10/12/07	\$ 490.37	\$ 49.037	\$ 50.67	\$ 506.70	\$ 16.33	LT	
260				14,277.82	54,916		13,174.20	(1,103.62)		
835	UNITEDHEALTH GROUP INC	UNH	06/01/99	6,001.57	7.187	47.99	40,071.65	34,070.08	LT	
100			05/01/08	3,295.94	32.959	47.99	4,799.00	1,503.06	LT	
935				9,297.51	9,944		44,870.65	35,573.14	1,354	607.75
118	VERTEX PHARMACEUTICALS INC	VRTX	04/27/09	3,416.01	28.949	39.59	4,671.62	1,255.61	LT	
120			06/09/11	5,851.54	48.762	39.59	4,750.80	(1,100.74)	ST	
238				9,267.55	38,939		8,422.42	154.87		
Total common stocks and options				\$ 294,859.12			\$ 483,730.18	(\$ 8,615.08)	ST	.93
								\$ 196,486.16	LT	\$ 4,602.93

**Reserved
Client Statement**

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-70178-13 346

Common stocks & options

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
115	ARCOS DORADOS HOLDINGS INC	ARCO	10/21/11	\$ 2,548.17	\$ 22.158	\$ 23.40	\$ 2,691.00	\$ 142.83 ST	508 %	\$ 13.69

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Client Statement

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-70178-13 346

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
10	AVAGO TECHNOLOGIES LTD ORD	AVGO	02/11/11	\$ 328.10	\$ 32.809	\$ 33.77	\$ 337.70	\$ 9.60	ST	
20	Exchange rate 7989134		02/15/11	673.28	33.663	33.77	675.40	2.12	ST	
41	Shares traded in		02/17/11	1,373.90	33.509	33.77	1,384.57	10.67	ST	
30	Singapore dollars		03/01/11	965.79	32.192	33.77	1,013.10	47.31	ST	
17			05/27/11	592.98	34.881	33.77	574.09	(18.89)	ST	
39			05/31/11	1,312.62	33.656	33.77	1,317.03	4.41	ST	
157				5,246.67	33.418		5,301.89	55.22	1,302	69.08
12	AGILENT TECHNOLOGIES INC	A	03/02/10	387.91	32.325	37.07	444.84	56.93	LT	
30			03/03/10	973.33	32.444	37.07	1,112.10	138.77	LT	
15			03/04/10	481.38	32.091	37.07	556.05	74.67	LT	
23			04/21/10	827.50	35.978	37.07	852.61	25.11	LT	
13			04/27/10	477.78	36.752	37.07	481.91	4.13	LT	
13			04/28/10	475.49	36.576	37.07	481.91	6.42	LT	
37			11/22/10	1,338.23	36.168	37.07	1,371.59	33.36	ST	
143				4,961.62	34.697		5,301.01	339.39		
33	ALEXION PHARMACEUTICALS INC	ALXN	02/23/11	1,527.61	46.291	67.51	2,227.83	700.22	ST	
28	ALLERGAN INC	AGN	07/23/10	1,707.86	60.994	84.12	2,355.36	647.50	LT	
7			07/28/10	427.00	60.989	84.12	588.84	161.84	LT	
10			07/29/10	607.31	60.73	84.12	841.20	233.89	LT	
21			07/30/10	1,280.30	60.966	84.12	1,766.52	486.22	LT	
8			08/19/10	503.94	62.979	84.12	672.96	169.12	LT	
9			08/20/10	566.32	62.924	84.12	757.08	190.76	LT	
16			02/22/11	1,189.23	74.326	84.12	1,345.92	156.69	ST	
8			10/21/11	702.70	87.837	84.12	672.96	(29.74)	ST	
8			10/24/11	705.29	88.161	84.12	672.96	(32.33)	ST	
115				7,689.85	66.868		9,673.80	1,983.95	.237	23.00
22	ALTERA CORP	ALTR	08/29/10	668.06	30.366	37.92	834.24	166.18	LT	
39			10/13/10	1,153.73	29.582	37.92	1,478.88	325.15	LT	
13			10/14/11	460.88	35.452	37.92	492.96	32.08	ST	
12			10/14/11	430.07	35.839	37.92	455.04	24.97	ST	
86				2,712.74	31.543		3,261.12	548.38	843	27.52
16	AMAZON COM INC	AMZN	02/11/08	1,194.00	74.624	213.51	3,416.16	2,222.16	LT	
13			04/18/08	1,036.68	79.744	213.51	2,775.63	1,738.95	LT	
31			04/21/08	2,484.41	80.142	213.51	6,618.81	4,134.40	LT	
26			04/24/08	2,025.78	77.914	213.51	5,551.26	3,525.48	LT	

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Client Statement**
October 1 - October 31, 2011**HARRY DENT FAMILY FDTN., INC.** Account number 356-70178-13 346**Common stocks & options** *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
24	AMAZON COM INC	AMZN	10/20/08	\$ 1,217.22	\$ 50.717	\$ 213.51	\$ 5,124.24	\$ 3,907.02	LT	
7			02/27/09	448.68	64.087	213.51	1,494.57	1,045.89	LT	
117				8,406.77	71.853		24,980.87	18,573.90		
12	AMERICAN EXPRESS CO	AXP	01/18/11	556.59	46.382	50.62	607.44	50.85	ST	
32			01/19/11	1,439.59	44.987	50.62	1,619.84	180.25	ST	
23			01/25/11	1,024.51	44.544	50.62	1,164.26	139.75	ST	
21			02/08/11	959.43	45.687	50.62	1,063.02	103.59	ST	
23			02/22/11	1,029.91	44.778	50.62	1,164.26	134.35	ST	
29			03/01/11	1,270.73	43.818	50.62	1,467.98	197.25	ST	
13			03/07/11	572.00	44.00	50.62	658.06	86.06	ST	
18			04/18/11	825.46	45.859	50.62	911.16	85.70	ST	
25			08/17/11	1,143.73	45.749	50.62	1,265.50	121.77	ST	
25			10/20/11	1,141.09	45.643	50.62	1,265.50	124.41	ST	
221				9,963.04	45.082		11,187.02	1,223.98		1,422
39	AMERICAN TOWER CORP-CLASS A	AMT	02/24/11	2,046.03	52.462	55.10	2,148.90	102.87	ST	
54			03/01/11	2,861.21	52.985	55.10	2,975.40	114.19	ST	
22			03/02/11	1,147.41	52.155	55.10	1,212.20	64.79	ST	
20			03/08/11	1,040.10	52.005	55.10	1,102.00	61.90	ST	
12			08/11/11	584.38	48.698	55.10	661.20	76.82	ST	
13			10/03/11	696.52	53.578	55.10	716.30	19.78	ST	
12			10/06/11	663.42	55.285	55.10	661.20	(2.22)	ST	
172				9,039.07	52.553		9,477.20	438.13		
35	ANADARKO PETROLEUM CORP	APC	06/24/11	2,654.18	75.833	78.50	2,747.50	93.32	ST	
8			07/27/11	665.88	83.235	78.50	628.00	(37.88)	ST	
22			07/28/11	1,870.67	85.03	78.50	1,727.00	(143.67)	ST	
65				5,190.73	79.857		5,102.50	(88.23)		458
2	APPLE INC	AAPL	12/04/08	185.58	92.791	404.78	809.56	623.98	LT	
6			12/29/08	517.67	86.277	404.78	2,428.68	1,911.01	LT	
13			03/12/09	1,240.08	95.391	404.78	5,262.14	4,022.06	LT	
9			03/31/09	950.67	105.63	404.78	3,643.02	2,692.35	LT	
16			08/12/09	2,650.75	165.671	404.78	6,476.48	3,825.73	LT	
12			12/22/09	2,395.44	199.62	404.78	4,857.36	2,461.92	LT	
1			06/16/10	263.03	263.031	404.78	404.78	141.75	LT	
4			08/20/10	999.53	249.881	404.78	1,619.12	619.59	LT	

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SmithBarneyReserved
Client Statement

October 1 - October 31, 2011

HARRY DENT FAMILY FDN., INC. Account number 356-70178-13 346

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
6	APPLE INC	AAPL	12/20/10	\$ 1,922.66	\$ 320.443	\$ 404.78	\$ 2,428.68	\$ 506.02 ST		
69				11,125.41	161.238		27,929.82	16,804.41		
12	ARM HOLDINGS PLC ADR	ARMH	04/14/11	347.96	28.997	28.09	337.08	(10.88) ST		
39			04/18/11	1,098.81	28.174	28.09	1,095.51	(3.30) ST		
8			04/28/11	249.99	31.249	28.09	224.72	(25.27) ST		
9			04/29/11	282.77	31.418	28.09	252.81	(29.96) ST		
49			08/03/11	1,346.53	27.48	28.09	1,376.41	29.88 ST		
117				3,326.06	28.428		3,286.53	(39.53)	462	15.21
3	BAIDU INC SPON ADR RPTNG	BIDU	10/27/09	110.52	36.841	140.18	420.54	310.02 LT		
20	ORD SHS CL A		01/08/10	789.48	39.973	140.18	2,803.60	2,004.12 LT		
10			04/16/10	641.79	64.178	140.18	1,401.80	760.01 LT		
20			04/20/10	1,261.07	63.053	140.18	2,803.60	1,542.53 LT		
10			10/29/10	1,110.10	111.01	140.18	1,401.80	291.70 LT		
7			11/01/10	772.74	110.391	140.18	981.26	208.52 ST		
6			11/17/10	645.33	107.555	140.18	841.08	195.75 ST		
34			12/20/10	3,312.74	97.433	140.18	4,766.12	1,453.38 ST		
14			01/31/11	1,496.25	106.875	140.18	1,962.52	466.27 ST		
7			02/25/11	847.59	121.084	140.18	981.26	133.67 ST		
131				10,997.61	83.951		18,363.58	7,365.97		
2	BED BATH & BEYOND	BBBY	01/24/11	96.93	48.462	61.84	123.68	26.75 ST		
8			01/26/11	396.89	49.611	61.84	494.72	97.83 ST		
16			01/27/11	805.19	50.324	61.84	989.44	184.25 ST		
28			02/11/11	1,394.08	49.788	61.84	1,731.52	337.44 ST		
6			02/16/11	298.71	49.784	61.84	371.04	72.33 ST		
6			02/17/11	297.17	49.528	61.84	371.04	73.87 ST		
18			10/19/11	1,100.12	61.117	61.84	1,113.12	13.00 ST		
84				4,389.09	52.251		5,194.56	805.47		
10	BOEING CO	BA	03/01/10	639.94	63.993	65.79	657.90	17.96 LT		
13			03/09/10	883.71	67.977	65.79	855.27	(28.44) LT		
11			03/24/10	800.94	72.813	65.79	723.69	(77.25) LT		
23			05/04/10	1,666.78	72.468	65.79	1,513.17	(153.61) LT		
12			05/05/10	854.09	71.174	65.79	789.48	(64.61) LT		
20			03/23/11	1,455.07	72.753	65.79	1,315.80	(139.27) ST		
19			04/18/11	1,383.38	72.809	65.79	1,250.01	(133.37) ST		
108				7,683.91	71.147		7,105.32	(578.59)	2.553	181.44

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SmithBarneyReserved
Client Statement

October 1 - October 31, 2011

HARRY DENT FAMILY FDN., INC. Account number 356-70178-13 346

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
12	BORGWARNER INC	BWA	02/28/11	\$ 922.17	\$ 76.847	\$ 76.49	\$ 917.88	(\$ 4.29) ST		
22			03/01/11	1,680.54	76.388	76.49	1,682.78	2.24 ST		
12			04/13/11	869.20	72.433	76.49	917.88	48.68 ST		
14			05/02/11	1,073.83	76.701	76.49	1,070.86	(2.97) ST		
14			06/02/11	977.30	69.807	76.49	1,070.86	93.56 ST		
7			08/03/11	529.20	75.60	76.49	535.43	6.23 ST		
8			08/04/11	576.63	72.078	76.49	611.92	35.29 ST		
89				6,628.87	74.482		6,807.61	178.74		
75	BRISTOL MYERS SQUIBB CO	BMJ	10/03/11	2,368.28	31.577	31.59	2,369.25	97 ST		
69			10/04/11	2,171.78	31.475	31.59	2,179.71	7.93 ST		
29			10/06/11	935.69	32.265	31.59	916.11	(19.58) ST		
173				5,476.76	31.652		5,465.07	(10.68)	4.178	228.36
16	BROADCOM CORP CL A	BRM	06/22/10	572.72 R	35.955	36.09	577.44	4.72 LT		
22			07/07/10	765.56 R	34.958	36.09	793.98	28.42 LT		
21			07/08/10	751.37 R	35.939	36.09	757.89	6.52 LT		
18			11/22/10	789.34 R	43.932	36.09	649.62	(139.72) ST		
77				2,878.99	37.389		2,776.93	(100.06)	897	27.72
21	BURBERRY GROUP PLC	BURBY	04/27/11	914.78	43.56	43.35	910.35	(4.43) ST		
12			04/28/11	528.24	44.019	43.35	520.20	(8.04) ST		
17			05/18/11	746.45	43.908	43.35	736.95	(9.50) ST		
20			05/19/11	879.64	43.981	43.35	867.00	(12.64) ST		
31			05/26/11	1,305.48	42.112	43.35	1,343.85	38.37 ST		
3			06/21/11	133.26	44.418	43.35	130.05	(3.21) ST		
29			06/23/11	1,267.11	43.693	43.35	1,257.15	(9.96) ST		
133				5,774.96	43.421		5,765.55	(9.41)	1.416	81.66
8	CELGENE CORP	CELG	05/26/09	321.19	40.148	64.83	518.64	197.45 LT		
23			11/09/09	1,220.66	53.072	64.83	1,491.09	270.43 LT		
14			06/16/10	777.33	55.523	64.83	907.62	130.29 LT		
6			06/17/10	329.95	54.992	64.83	388.98	59.03 LT		
6			06/18/10	332.56	55.426	64.83	388.98	56.42 LT		
27			06/30/10	1,377.70	51.026	64.83	1,750.41	372.71 LT		
14			09/10/10	771.79	55.128	64.83	907.62	135.83 LT		
20			03/16/11	1,063.71	53.185	64.83	1,296.60	232.89 ST		
23			05/13/11	1,382.74	60.119	64.83	1,491.09	108.35 ST		

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EIN. 16-0849923**Reserved**
Client Statement

October 1 - October 31, 2011

HARRY DENT FAMILY FDN., INC. Account number 356-70178-13 346**Common stocks & options** continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
10	CELGENE CORP	CELG	05/16/11	\$ 594.98	\$ 59.497	\$ 64.83	\$ 648.30	\$ 53.32 ST		
151				8,172.61	54.123		9,789.33	1,616.72		
1	CHIPOTLE MEXICAN GRILL	CMG	01/21/11	223.53	223.53	336.12	336.12	112.59 ST		
6			01/25/11	1,316.97	219.495	336.12	2,016.72	699.75 ST		
3			03/07/11	761.06	253.686	336.12	1,008.36	247.30 ST		
4			03/08/11	996.19	249.046	336.12	1,344.48	348.29 ST		
4			04/21/11	1,092.33	273.083	336.12	1,344.48	252.15 ST		
3			04/27/11	795.00	265.00	336.12	1,008.36	213.36 ST		
3			05/05/11	801.01	267.002	336.12	1,008.36	207.35 ST		
24				5,986.09	249.42		8,066.88	2,080.79		
12	COACH INC	COH	05/21/09	287.97	23.997	65.07	780.84	492.87 LT		
15			01/27/10	523.53	34.901	65.07	976.05	452.52 LT		
15			01/28/10	523.43	34.895	65.07	976.05	452.62 LT		
37			04/30/10	1,559.59	42.151	65.07	2,407.59	848.00 LT		
10			05/04/10	418.55	41.855	65.07	650.70	232.15 LT		
89				3,313.07	37.226		5,781.23	2,478.16	1.383	80.10
6	COGNIZANT TECH SOLUTIONS CL A	CTSH	12/22/10	437.55	72.925	72.75	436.50	(1.05) ST		
6			12/27/10	438.17	73.027	72.75	436.50	(1.67) ST		
4			12/29/10	297.15	74.287	72.75	291.00	(6.15) ST		
3			12/30/10	221.63	73.876	72.75	218.25	(3.38) ST		
5			12/31/10	366.49	73.298	72.75	363.75	(2.74) ST		
19			01/05/11	1,437.10	75.637	72.75	1,382.25	(54.85) ST		
18			01/06/11	1,359.46	75.525	72.75	1,309.50	(49.96) ST		
61				4,557.55	74.714		4,437.75	(119.80)		
14	CONCHO RESOURCES INC	CXO	08/04/11	1,237.36	88.383	94.72	1,326.08	88.72 ST		
15			08/05/11	1,248.07	83.204	94.72	1,420.80	172.73 ST		
14			08/09/11	1,061.23	75.802	94.72	1,326.08	264.85 ST		
6			09/20/11	524.95	87.491	94.72	568.32	43.37 ST		
49				4,071.61	83.094		4,641.28	569.67		
10	COSTCO WHOLESALE CORP NEW	COST	12/13/07	669.37	66.937	83.25	832.50	163.13 LT		
26			07/17/08	1,923.94	73.997	83.25	2,164.50	240.56 LT		
17			10/09/08	968.00	56.941	83.25	1,415.25	447.25 LT		
4			11/11/09	240.88	60.219	83.25	333.00	92.12 LT		
13			11/24/09	786.78	60.521	83.25	1,082.25	295.47 LT		
14			09/29/10	906.03	64.716	83.25	1,165.50	259.47 LT		

MorganStanley
SmithBarneyReserved
Client Statement

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-70178-13 346

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
7	COSTCO WHOLESALE CORP NEW	COST	09/30/10	\$ 450 23	\$ 64 319	\$ 83 25	\$ 582 75	\$ 132 52 LT		
6			10/01/10	388 13	64 689	83 25	499 50	111 37 LT		
9			09/16/11	756 50	84 055	83 25	749 25	(7 25) ST		
8			09/19/11	676 65	84 58	83 25	666 00	(10 65) ST		
114				7,766.51	68 127		9,490 50	1,723 99	1 153	109 44
24	DEERE & CO	DE	10/25/10	1,862 40	77 60	75 90	1,821 60	(40 80) LT		
12			11/26/10	911 89	75 991	75 90	910 80	(1 09) ST		
9			12/08/10	725 42	80 601	75 90	683 10	(42 32) ST		
13			01/06/11	1,092 24	84 018	75 90	986 70	(105 54) ST		
58				4,591 95	79 172		4,402 20	(189 75)	2 16	95 12
18	WALT DISNEY CO	DIS	02/09/09	347 62	19 312	34 88	627 84	280 22 LT		
28			09/09/09	748 86	26 744	34 88	976 64	227 78 LT		
44			11/23/09	1,342 51	30 511	34 88	1,534 72	192 21 LT		
12			02/12/10	359 88	29 99	34 88	418 56	58 68 LT		
36			05/25/10	1,148 16	31 893	34 88	1,255 68	107 52 LT		
55			06/17/10	1,913 67	34 794	34 88	1,918 40	4 73 LT		
193				5,860 70	30 386		6,731 84	871 14	1 146	77 20
16	E I DU PONT DE NEMOURS & CO	DD	01/31/11	810 30	50 643	48 07	769 12	(41 18) ST		
11			02/01/11	566 23	51 475	48 07	528 77	(37 46) ST		
10			02/02/11	519 20	51 92	48 07	480 70	(38 50) ST		
22			02/03/11	1,140 86	51 857	48 07	1,057 54	(83 32) ST		
9			02/08/11	482 75	53 639	48 07	432 63	(50 12) ST		
22			02/11/11	1,199 99	54 545	48 07	1,057 54	(142 45) ST		
11			02/17/11	608 29	55 299	48 07	528 77	(79 52) ST		
19			02/18/11	1,063 32	55 964	48 07	913 33	(149 99) ST		
120				6,390.94	53 258		6,788 40	(622 54)	3 411	186 80
39	EMC CORP-MASS	EMC	02/09/11	1,043 22	26 749	24 51	955 89	(87 33) ST		
63			02/14/11	1,717 66	27 264	24 51	1,544 13	(173 53) ST		
45			02/23/11	1,174 48	26 099	24 51	1,102 95	(71 53) ST		
78			04/14/11	2,074 89	26 601	24 51	1,911 78	(163 11) ST		
26			04/15/11	700 61	26 946	24 51	637 26	(63 35) ST		
32			04/21/11	912 96	28 53	24 51	784 32	(128 64) ST		
40			05/26/11	1,113 74	27 843	24 51	980 40	(133 34) ST		
47			06/13/11	1,233 86	26 252	24 51	1,151 97	(81 89) ST		
22			06/14/11	597 84	27 174	24 51	539 22	(58 62) ST		

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Client Statement

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-70178-13 346

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
15	EMC CORP-MASS	EMC	06/15/11	\$ 403 01	\$ 26 867	\$ 24 51	\$ 367 65	(\$ 35 36) ST		
407				10,972 27	26 959		9,975 57	(996 70)		
1	EXPRESS SCRIPTS INC COMMON	ESRX	02/18/10	44 72	44 724	45 77	45 77	1.05 LT		
20			02/23/10	879 94	43 997	45 77	915 40	35 46 LT		
16			03/12/10	793 18	49 573	45 77	732 32	(60 86) LT		
18			04/09/10	926 62	51 479	45 77	823 86	(102 76) LT		
14			07/19/10	651 69	46 549	45 77	640 78	(10 91) LT		
15			07/20/10	684 46	45 63	45 77	686 55	2 09 LT		
6			07/29/10	267 55	44 592	45 77	274 62	7 07 LT		
3			07/30/10	133 50	44 499	45 77	137 31	3 81 LT		
12			08/16/10	552 74	46 062	45 77	549 24	(3 50) LT		
10			08/26/10	449 66	44 966	45 77	457 70	8 04 LT		
35			03/28/11	1,923 95	54 97	45 77	1,601 95	(322 00) ST		
150				7,308 01	48 72		6,865 50	(442 51)		
10	GOLDMAN SACHS GROUP INC	GS	04/14/09	1,225 94	122 593	109 55	1,095 50	(130 44) LT		
4			04/15/09	464 00	116 00	109 55	438 20	(25 80) LT		
8			10/26/10	1,261 72	157 714	109 55	876 40	(385 32) LT		
9			11/01/10	1,457 93	161 992	109 55	985 95	(471 98) ST		
7			04/20/11	1,070 38	152 911	109 55	766 85	(303 53) ST		
7			07/15/11	912 55	130 363	109 55	766 85	(145 70) ST		
11			10/18/11	1,080 12	98 192	109 55	1,205 05	124 93 ST		
56				7,472 64	133 44		6,134 80	(1,337 84)	1 277	78 40
4	GOOGLE INC	GOOG	02/04/08	1,975 47	493 866	592 64	2,370 56	395 09 LT		
3	CLASS A		09/19/08	1,338 47	446 157	592 64	1,777 92	439 45 LT		
6			11/25/08	1,642 61	273 768	592 64	3,555 84	1,913 23 LT		
3			12/23/08	891 45	297 149	592 64	1,777 92	886 47 LT		
2			12/09/10	1,179 10	589 548	592 64	1,185 28	6 18 ST		
2			12/10/10	1,182 80	591 398	592 64	1,185 28	2 48 ST		
20				8,209 90	410 495		11,852 80	3,642 90		
12	HARLEY-DAVIDSON INC	HOG	07/26/11	545 12	45 426	38 90	466 80	(78 32) ST		
25			07/27/11	1,112 47	44 498	38 90	972 50	(139 97) ST		
26			08/02/11	1,094 09	42 08	38 90	1,011 40	(82 69) ST		
14			08/04/11	565 46	40 39	38 90	544 60	(20 86) ST		
12			08/05/11	466 43	38 869	38 90	466 80	37 ST		
89				3,783 57	42 512		3,482 10	(321 47)	1 286	44 50

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Client Statement**

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-70178-13 346**Common stocks & options** *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
11	ILLUMINA INC	ILMN	10/06/09	\$ 482.35	\$ 43.849	\$ 30.62	\$ 336.82	(\$ 145.53) LT		
6			10/15/09	259.50	43.249	30.62	183.72	(75.78) LT		
4			10/16/09	174.77	43.691	30.62	122.48	(52.29) LT		
5			10/20/09	212.20	42.44	30.62	153.10	(59.10) LT		
7			10/21/09	293.71	41.958	30.62	214.34	(79.37) LT		
12			10/26/09	497.44	41.453	30.62	367.44	(130.00) LT		
7			11/09/09	230.10	32.871	30.62	214.34	(15.76) LT		
6			11/12/09	197.70	32.949	30.62	183.72	(13.98) LT		
36			10/18/10	1,773.90	49.274	30.62	1,102.32	(671.58) LT		
94				4,121.67	43.848		2,878.28	(1,243.39)		
7	INTL BUSINESS MACHINES CORP	IBM	08/05/10	920.25	131.465	184.63	1,292.41	372.16 LT		
13			08/09/10	1,715.65	131.972	184.63	2,400.19	684.54 LT		
12			08/10/10	1,574.12	131.176	184.63	2,215.56	641.44 LT		
20			09/27/10	2,692.82	134.64	184.63	3,692.60	999.78 LT		
10			11/16/10	1,426.02	142.601	184.63	1,846.30	420.28 ST		
24			08/10/11	3,994.81	166.45	184.63	4,431.12	436.31 ST		
86				12,323.67	143.298		15,878.18	3,554.51	1.824	258.00
32	JOHNSON & JOHNSON	JNJ	07/14/11	2,168.96	67.779	64.39	2,060.48	(108.48) ST		
31			08/10/11	1,892.36	61.044	64.39	1,996.09	103.73 ST		
15			08/11/11	938.99	62.599	64.39	965.85	26.86 ST		
8			08/18/11	501.55	62.694	64.39	515.12	13.57 ST		
23			08/09/11	1,467.29	63.795	64.39	1,480.97	13.68 ST		
109				6,969.15	63.937		7,018.51	49.36	3.54	248.52
18	JUNIPER NETWORKS INC	JNPR	04/21/10	534.37	29.687	24.47	440.46	(93.91) LT		
21			04/27/10	617.71	29.414	24.47	513.87	(103.84) LT		
38			07/13/10	1,011.50	26.618	24.47	929.86	(81.64) LT		
20			06/09/11	645.09	32.254	24.47	489.40	(155.69) ST		
97				2,808.67	28.955		2,373.59	(435.08)		
2	ESTEE LAUDER COS INC CL A	EL	11/29/10	148.73	74.367	98.45	196.90	48.17 ST		
15			11/30/10	1,118.09	74.539	98.45	1,476.75	358.66 ST		
7			12/09/10	542.51	77.501	98.45	689.15	146.64 ST		
6			12/10/10	466.19	77.698	98.45	590.70	124.51 ST		
10			12/22/10	797.03	79.703	98.45	984.50	187.47 ST		
6			12/23/10	478.16	79.693	98.45	590.70	112.54 ST		
4			12/27/10	319.02	79.755	98.45	393.80	74.78 ST		

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Client Statement**

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-70178-13 346**Common stocks & options** *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
9	ESTEE LAUDER COS INC CL A	EL	01/18/11	\$ 754.88	\$ 83.875	\$ 98.45	\$ 886.05	\$ 131.17	ST	
8			01/19/11	669.08	83.635	98.45	787.60	118.52	ST	
15			01/20/11	1,251.77	83.451	98.45	1,476.75	224.98	ST	
82				6,545.46	79.823		8,072.90	1,527.44	781	61.60
12	LULULEMON ATHLETICA INC	LULU	06/13/11	524.92	43.743	56.48	677.76	152.84	ST	
12			06/15/11	550.96	45.913	56.48	677.76	126.80	ST	
10			06/16/11	449.32	44.932	56.48	564.80	115.48	ST	
8			06/17/11	372.59	46.574	56.48	451.84	79.25	ST	
6			06/27/11	323.26	53.876	56.48	338.88	15.62	ST	
20			08/08/11	1,151.41	57.57	56.48	1,129.60	(21.81)	ST	
24			08/09/11	1,290.13	53.755	56.48	1,355.52	65.39	ST	
92				4,662.59	50.68		5,196.16	533.57		
9	MASTERCARD INC	MA	03/25/09	1,467.55	163.06	347.24	3,125.16	1,657.61	LT	
6			05/20/09	1,049.98	174.997	347.24	2,083.44	1,033.46	LT	
9			05/27/09	1,530.00	170.00	347.24	3,125.16	1,595.16	LT	
4			08/04/09	805.65	201.412	347.24	1,388.96	583.31	LT	
4			12/16/09	985.15	246.288	347.24	1,388.96	403.81	LT	
3			01/15/10	784.98	261.659	347.24	1,041.72	256.74	LT	
6			07/07/11	1,916.12	319.352	347.24	2,083.44	167.32	ST	
41				8,539.43	208.278		14,236.84	5,697.41	.172	24.60
25	MCDONALDS CORP	MCD	06/28/11	2,086.48	83.459	92.85	2,321.25	234.77	ST	
26			07/18/11	2,220.31	85.396	92.85	2,414.10	193.79	ST	
12			07/25/11	1,062.00	88.50	92.85	1,114.20	52.20	ST	
14			08/05/11	1,175.06	83.932	92.85	1,299.90	124.84	ST	
14			08/10/11	1,187.05	84.789	92.85	1,299.90	112.85	ST	
15			10/04/11	1,265.85	84.39	92.85	1,392.75	126.90	ST	
106				8,996.75	84.875		9,842.10	845.35	3.015	298.80
5	MEAD JOHNSON NUTRITION CO	MJN	02/26/10	235.00	47.00	71.85	359.25	124.25	LT	
7			03/02/10	336.24	48.034	71.85	502.95	166.71	LT	
8			03/03/10	384.02	48.002	71.85	574.80	190.78	LT	
19			03/23/10	984.87	51.835	71.85	1,365.15	380.28	LT	
10			04/21/10	517.70	51.77	71.85	718.50	200.80	LT	
11			04/22/10	572.00	52.00	71.85	790.35	218.35	LT	
8			07/26/10	434.98	54.374	71.85	574.80	139.81	LT	
9			07/27/10	490.11	54.456	71.85	646.65	156.54	LT	

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Client Statement**

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-70178-13 346**Common stocks & options** *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
10	MEAD JOHNSON NUTRITION CO	MJN	11/29/10	\$ 596 36	\$ 59 635	\$ 71 85	\$ 718 50	\$ 122 14 ST		
87				4,551.29	52 314		6,250.95	1,699.66	1.447	90 48
5	MONSANTO CO NEW	MON	12/17/10	322 34	64 467	72 75	363 75	41 41 ST		
5			12/20/10	323 97	64 794	72 75	363 75	39 78 ST		
10			12/21/10	663 37	66 336	72 75	727 50	64 13 ST		
5			12/27/10	333 03	66 606	72 75	363 75	30 72 ST		
9			12/29/10	615 98	68 442	72 75	654 75	38 77 ST		
13			12/30/10	896 73	68 979	72 75	945 75	49 02 ST		
56			01/05/11	3,848 67	68 726	72 75	4,074 00	225 33 ST		
10			01/06/11	711 67	71 166	72 75	727 50	15 83 ST		
12			01/07/11	855 73	71 31	72 75	873 00	17 27 ST		
7			01/11/11	506 23	72 318	72 75	509 25	3 02 ST		
5			01/12/11	373 95	74 79	72 75	363 75	(10 20) ST		
14			01/25/11	994 16	71 011	72 75	1,018 50	24 34 ST		
151				10,445.83	69 178		10,985.25	539.42	1.649	181.20
36	NATIONAL OILWELL VARCO INC	NOV	05/26/11	2,591 08	71 974	71 33	2,567 88	(23 20) ST		
17			07/22/11	1,413 00	83 117	71 33	1,212 61	(200 39) ST		
47			07/28/11	3,831 81	81 527	71 33	3,352 51	(479 30) ST		
100				7,835.89	78 359		7,133.00	(702.89)	.616	44.00
14	NETAPP INC	NTAP	10/09/09	403 50	28 821	40 96	573 44	169 94 LT		
36			10/13/09	1,045 03	29 028	40 96	1,474 56	429 53 LT		
9			11/09/09	264 65	29 405	40 96	368 64	103 99 LT		
18			11/10/09	537 58	29 865	40 96	737 28	199 70 LT		
14			02/02/10	433 46	30 961	40 96	573 44	139 98 LT		
9			02/03/10	278 61	30 957	40 96	368 64	90 03 LT		
25			02/04/10	751 37	30 054	40 96	1,024 00	272 63 LT		
27			05/25/10	872 87	32 328	40 96	1,105 92	233 05 LT		
162				4,587.07	30 178		6,225.92	1,638.85		
33	NIKE INC CL B	NKE	11/30/07	2,172 48	65 832	96 35	3,179 55	1,007 07 LT		
20			10/09/08	1,114 07	55 703	96 35	1,927 00	812 93 LT		
7			10/15/09	450 46	64 351	96 35	674 45	223 99 LT		
8			10/16/09	517 21	64 651	96 35	770 80	253 59 LT		
14			02/25/10	913 58	65 256	96 35	1,348 90	435 32 LT		
19			07/08/10	1,319 31	69 437	96 35	1,830 65	511 34 LT		
101				6,487.11	64 228		8,731.35	3,244.24	1.286	125.24

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Client Statement

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-70178-13 346

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
1	NOVO-NORDISK A S ADR	NVO	02/10/11	\$ 117.32	\$ 117.316	\$ 106.30	\$ 106.30	(\$ 11.02) ST		
4			02/14/11	488.81	122.201	106.30	425.20	(63.61) ST		
13			02/16/11	1,588.87	122.22	106.30	1,381.90	(206.97) ST		
5			02/17/11	611.02	122.203	106.30	531.50	(79.52) ST		
18			02/18/11	2,269.64	126.091	106.30	1,913.40	(356.24) ST		
8			03/16/11	957.56	119.694	106.30	850.40	(107.16) ST		
6			07/15/11	750.60	125.10	106.30	637.80	(112.80) ST		
5			07/19/11	635.56	127.112	106.30	531.50	(104.06) ST		
6			07/27/11	744.31	124.051	106.30	637.80	(106.51) ST		
66				8,163.88	123.682		7,015.80	(1,147.89)	1.277	89.63
48	ORACLE CORP	ORCL	09/07/10	1,163.69	24.243	32.77	1,572.96	409.27 LT		
19			09/08/10	455.81	23.989	32.77	622.63	166.82 LT		
38			09/10/10	952.66	25.07	32.77	1,245.26	292.60 LT		
36			09/13/10	907.14	25.198	32.77	1,179.72	272.58 LT		
63			09/17/10	1,708.11	27.112	32.77	2,064.51	356.40 LT		
11			09/20/10	300.73	27.339	32.77	360.47	59.74 LT		
103			09/22/10	2,796.88	27.154	32.77	3,375.31	578.43 LT		
26			09/24/10	697.16	26.814	32.77	852.02	154.86 LT		
30			09/27/10	808.22	26.94	32.77	983.10	174.88 LT		
32			03/24/11	1,018.68	31.833	32.77	1,048.64	29.96 ST		
30			03/31/11	1,000.25	33.341	32.77	983.10	(17.15) ST		
26			04/13/11	880.24	33.855	32.77	852.02	(28.22) ST		
462				12,689.57	27.467		15,139.74	2,450.17	732	110.88
15	PRECISION CASTPARTS CORP	PCP	10/28/09	1,461.92	97.461	163.15	2,447.25	985.33 LT		
11			12/29/09	1,234.70	112.245	163.15	1,794.65	559.95 LT		
17			02/04/10	1,824.86	107.344	163.15	2,773.55	948.69 LT		
8			04/27/10	1,039.60	129.949	163.15	1,305.20	265.60 LT		
9			03/24/11	1,298.36	144.262	163.15	1,468.35	169.99 ST		
7			07/14/11	1,131.41	161.63	163.15	1,142.05	10.64 ST		
67				7,990.85	119.266		10,931.05	2,940.20	073	8.04
2	PRICELINE COM INC (NEW)	PCLN	11/30/10	797.65	398.823	507.72	1,015.44	217.79 ST		
2			01/24/11	849.79	424.896	507.72	1,015.44	165.65 ST		
1			02/24/11	464.58	464.581	507.72	507.72	43.14 ST		
1			02/28/11	455.00	455.00	507.72	507.72	52.72 ST		

MorganStanley
SmithBarneyReserved
Client Statement

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-70178-13 346

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
2	PRICELINE COM INC (NEW)	PCLN	05/06/11	\$ 1,058.14	\$ 529.068	\$ 507.72	\$ 1,015.44	(\$ 42.70)	ST	
8				3,925.16	453.145		4,061.76	436.60		
3	QUALCOMM INC	QCOM	07/16/10	108.92	36.308	51.60	154.80	45.88	LT	
31			08/11/10	1,211.99	39.096	51.60	1,599.60	387.61	LT	
22			08/20/10	853.84	38.81	51.60	1,135.20	281.36	LT	
11			08/26/10	420.08	38.188	51.60	567.60	147.52	LT	
9			08/31/10	342.00	38.00	51.60	464.40	122.40	LT	
28			09/28/10	1,233.60	44.057	51.60	1,444.80	211.20	LT	
34			12/10/10	1,670.08	49.119	51.60	1,754.40	84.32	ST	
31			08/11/11	1,515.32	48.881	51.60	1,599.60	84.28	ST	
169				7,365.83	43.526		8,720.40	1,364.57	1.666	145.34
1	RALPH LAUREN CORP CL A	RL	04/30/10	90.73	90.726	158.79	158.79	68.06	LT	
12			05/04/10	1,094.22	91.184	158.79	1,905.48	811.26	LT	
6			05/05/10	541.15	90.192	158.79	952.74	411.59	LT	
5			05/18/10	432.90	86.579	158.79	793.95	361.05	LT	
15			06/16/10	1,205.55	80.37	158.79	2,381.85	1,176.30	LT	
7			09/15/10	596.07	85.152	158.79	1,111.53	515.46	LT	
7			06/16/11	848.44	121.205	158.79	1,111.53	263.09	ST	
11			06/17/11	1,364.69	124.062	158.79	1,746.69	382.00	ST	
64				6,173.75	96.465		10,162.56	3,988.81	.503	61.20
4	RED HAT INC	RHT	10/19/10	156.17	39.044	49.65	198.60	42.43	LT	
7			10/20/10	272.10	38.871	49.65	347.55	75.45	LT	
12			11/16/10	490.18	40.848	49.65	595.80	105.62	ST	
10			11/17/10	410.00	40.999	49.65	496.50	86.50	ST	
25			12/21/10	1,196.66	47.866	49.65	1,241.25	44.59	ST	
18			02/01/11	755.25	41.958	49.65	893.70	138.45	ST	
15			02/03/11	642.33	42.822	49.65	744.75	102.42	ST	
11			07/05/11	505.85	45.986	49.65	546.15	40.30	ST	
7			07/08/11	321.93	45.99	49.65	347.55	25.62	ST	
7			07/11/11	319.45	45.635	49.65	347.55	28.10	ST	
116				5,069.92	43.706		5,759.40	689.48		
18	SALESFORCE COM INC	CRM	06/18/09	717.14	39.841	133.17	2,397.06	1,679.92	LT	
8			07/27/09	355.03	44.379	133.17	1,065.36	710.33	LT	
11			08/05/09	494.19	44.926	133.17	1,464.87	970.68	LT	
9			08/07/09	422.56	46.951	133.17	1,198.53	775.97	LT	

MorganStanley
SmithBarneyReserved
Client Statement

October 1 - October 31, 2011

HARRY DENT FAMILY FDN., INC. Account number 356-70178-13 346

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
6	SALESFORCE COM INC	CRM	09/10/09	\$ 332 70	\$ 55 449	\$ 133 17	\$ 799 02	\$ 466 32 LT		
7			01/27/10	453 56	64 794	133 17	932 19	478 63 LT		
7			01/28/10	452 29	64 612	133 17	932 19	479 90 LT		
3			08/11/11	400 38	133 459	133 17	399 51	(87) ST		
11			09/09/11	1,356 03	123 275	133 17	1,464 87	108 84 ST		
80				4,983 88	62 299		10,653 60	5,669 72		
7	SCHLUMBERGER LTD	SLB	01/07/10	484 68	69 24	73 47	514 29	29 61 LT		
35			01/08/10	2,459 78	70 279	73 47	2,571 45	111 67 LT		
15			01/14/10	1,064 42	70 961	73 47	1,102 05	37 63 LT		
16			01/15/10	1,133 67	70 854	73 47	1,175 52	41 85 LT		
14			02/22/10	850 60	60 756	73 47	1,028 58	177 98 LT		
8			02/23/10	487 39	60 924	73 47	587 76	100 37 LT		
7			01/26/11	612 81	87 544	73 47	514 29	(98 52) ST		
16			02/18/11	1,516 29	94 768	73 47	1,175 52	(340 77) ST		
118				8,609 64	72,963		8,669 46	59 82	1 361	118.00
11	SHIRE PLC ADR	SHPGY	09/30/08	522 15	47 468	94 30	1,037 30	515 15 LT		
11			11/18/08	455 46	41 405	94 30	1,037 30	581 84 LT		
12			11/19/08	498 00	41 50	94 30	1,131 60	633 60 LT		
9			10/22/09	459 45	51 049	94 30	848 70	389 25 LT		
8			09/13/10	557 92	69 74	94 30	754 40	196 48 LT		
6			10/01/10	403 64	67 273	94 30	565 80	162 16 LT		
6			10/04/10	400 37	66 728	94 30	565 80	165 43 LT		
7			10/07/10	475 04	67 863	94 30	660 10	185 06 LT		
8			10/15/10	576 73	72 091	94 30	754 40	177 67 LT		
11			10/19/10	773 72	70 338	94 30	1,037 30	263 58 LT		
89				5,122 48	57 556		8,392 70	3,270 22	424	35 60
22	STARBUCKS CORP	SBUX	01/11/10	508 06	23 093	42 36	931 92	423 86 LT		
51			07/09/10	1,286 96	25 234	42 36	2,160 36	873 40 LT		
24			07/12/10	602 58	25 107	42 36	1,016 64	414 06 LT		
22			08/23/10	526 90	23 95	42 36	931 92	405 02 LT		
46			08/24/10	1,053 25	22 896	42 36	1,948 56	895 31 LT		
38			10/11/10	994 95	26 183	42 36	1,609 68	614 73 LT		
27			05/17/11	947 91	35 107	42 36	1,143 72	195 81 ST		
29			05/18/11	1,052 57	36 295	42 36	1,228 44	175 87 ST		
269				8,973 18	26 923		10,971 24	3,998 06	1 227	134.68

MorganStanley
SmithBarney**Reserved
Client Statement**

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-70178-13 346**Common stocks & options** *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
20	TESLA MOTORS INC	TSLA	09/21/11	\$ 528 88	\$ 26 444	\$ 29 37	\$ 587 40	\$ 58 52 ST		
19			09/22/11	480 62	25 296	29 37	558 03	77 41 ST		
18			10/19/11	495 80	27 544	29 37	528 66	32 86 ST		
8			10/20/11	217 98	27 247	29 37	234 96	16 98 ST		
22			10/24/11	629 49	28 613	29 37	646 14	16 65 ST		
22			10/25/11	624 14	28 369	29 37	646 14	22 00 ST		
109				2,976 91	27 311		3,201 33	224 42		
3	TIFFANY & CO NEW	TIF	10/01/09	113 83	37 942	79 73	239 19	125 36 LT		
30			01/11/10	1,398 31	46 61	79 73	2,391 90	993 59 LT		
13			10/14/10	638 12	49 086	79 73	1,036 49	398 37 LT		
14			10/19/10	690 80	49 343	79 73	1,116 22	425 42 LT		
60				2,841 06	47 351		4,783 90	1,942 74	1 454	69 60
34	UNION PACIFIC CORP	UNP	10/23/09	1,939 37	57 04	99 57	3,385 38	1,446 01 LT		
17			07/28/10	1,268 77	74 633	99 57	1,692 69	423 92 LT		
51				3,208 14	62 905		5,078 07	1,869 93	1 908	96 90
25	UNITED TECHNOLOGIES CORP	UTX	12/18/09	1,735 72	69 429	77 98	1,949 50	213 78 LT		
5			01/11/10	358 06	71 612	77 98	389 90	31 84 LT		
8			03/02/10	558 40	69 80	77 98	623 84	65 44 LT		
9			03/03/10	631 07	70 118	77 98	701 82	70 75 LT		
14			04/19/10	1,026 44	73 317	77 98	1,091 72	65 28 LT		
18			08/11/10	1,291 34	71 741	77 98	1,403 64	112 30 LT		
20			03/23/11	1,638 30	81 915	77 98	1,559 60	(78 70) ST		
3			07/21/11	264 62	88 206	77 98	233 94	(30 68) ST		
102				7,503 95	73 568		7,853 96	450 01	2 462	185 84
6	VERTEX PHARMACEUTICALS INC	VRTX	09/13/10	220 57	36 761	39 59	237 54	16 97 LT		
13			09/14/10	486 38	37 414	39 59	514 67	28 29 LT		
15			10/07/10	520 88	34 725	39 59	593 85	72 97 LT		
17			02/17/11	679 09	39 946	39 59	673 03	(6 06) ST		
16			02/28/11	739 13	46 195	39 59	633 44	(105 69) ST		
67				2,648 05	39 493		2,652 53	6 48		
5	VMWARE INC	VMW	10/09/09	211 50	42 30	97 75	488 75	277 25 LT		
19	CL A COM		10/12/09	829 67	43 666	97 75	1,857 25	1,027 58 LT		
16			10/13/09	698 18	43 636	97 75	1,564 00	865 82 LT		
16			10/14/09	716 70	44 793	97 75	1,564 00	847 30 LT		
26			10/16/09	1,166 65	44 871	97 75	2,541 50	1,374 85 LT		

MorganStanley
SmithBarney**Reserved
Client Statement**

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-70178-13 346**Common stocks & options** *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
10	VMWARE INC	VMW	10/20/09	\$ 439 92	\$ 43 992	\$ 97.75	\$ 977 50	\$ 537 58	LT	
9	CL A COM		10/22/09	390 16	43 35	97 75	879 75	489 59	LT	
14			12/10/10	1,235 12	88 223	97 75	1,368 50	133 38	ST	
115				5,687 90	49 46		11,241 25	5,553 35		
3	WHOLE FOODS MKT INC	WFM	02/19/10	101 45	33 818	72 12	216 36	114 91	LT	
12			04/15/10	473 56	39 463	72 12	865 44	391 88	LT	
12			04/16/10	469 60	39 133	72 12	865 44	395 84	LT	
29			04/21/10	1,096 24	37 801	72 12	2,091 48	995 24	LT	
30			08/24/10	1,072 31	35 743	72 12	2,163 60	1,091 29	LT	
33			10/11/10	1,155 77	35 023	72 12	2,379 96	1,224 19	LT	
7			11/22/10	323 65	48 235	72 12	504 84	181 19	ST	
5			11/24/10	235 19	47 038	72 12	360 60	125 41	ST	
131				4,827.77	37 617		9,447.72	4,519 95	.554	52 40
32	YOUKU INC ADR	YOKU	05/20/11	1,542 07	48.189	21 24	679 68	(862 39)	ST	
Total common stocks and options				\$ 400,990.72			\$ 510,860.27	\$ 10,084.13	ST	.77
							\$ 99,575.42	LT		\$ 3,970.21

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SmithBarneyHARRY DENT FAMILY FOUNDATION
EIN 16-0849923Reserved
Client Statement

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-20272-13 502

Common stocks & options

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
155	AMCOR LTD ADR NEW -USD-	AMCRY	10/27/09	\$ 3,205.40	\$ 20.68	\$ 29.19	\$ 4,524.45	\$ 1,319.05	LT	
120			10/08/10	3,084.00	25.70	29.19	3,502.80	418.80	LT	
275				6,289.40	22.871		8,027.25	1,737.85	4.84	388.58
28	ASTELLAS PHARMA INC.-JPY	ALPMY	06/04/10	898.18	32.077	36.36	1,018.08	119.90	LT	
56			06/07/10	1,807.77	32.281	36.36	2,036.16	228.39	LT	
77			06/11/10	2,481.53	32.227	36.36	2,799.72	318.19	LT	
9			06/14/10	291.55	32.394	36.36	327.24	35.69	LT	
68			08/25/10	2,328.12	34.237	36.36	2,472.48	144.36	LT	
190			10/08/10	7,332.10	38.59	36.36	6,908.40	(423.70)	LT	
69			10/08/10	2,647.70	38.372	36.36	2,508.84	(138.86)	LT	
497				17,786.95	35.789		18,070.92	283.97	3.765	680.39
4	BG GROUP PLC SPON ADR	BRGY	04/19/06	275.37	68.841	109.84	439.36	163.99	LT	
39			07/18/08	4,265.43	109.369	109.84	4,283.76	18.33	LT	
15			09/24/08	1,601.77	106.784	109.84	1,647.60	45.83	LT	
30			10/27/09	2,809.20	93.64	109.84	3,295.20	486.00	LT	
62			10/08/10	5,779.64	93.22	109.84	6,810.08	1,030.44	LT	
150				14,731.41	98.209		16,476.00	1,744.59	1.027	169.35
55	BP PLC SPONS ADR	BP	12/01/05	3,685.00	67.00	44.18	2,429.90	(1,255.10)	LT	
137			04/19/06	10,353.80	75.575	44.18	6,052.66	(4,301.14)	LT	
20			03/12/07	1,221.79	61.089	44.18	883.60	(338.19)	LT	
11			03/13/07	670.17	60.924	44.18	485.98	(184.19)	LT	
3			03/14/07	179.08	59.692	44.18	132.54	(46.54)	LT	
32			07/10/07	2,378.23	74.319	44.18	1,413.76	(964.47)	LT	
5			12/14/07	373.47	74.693	44.18	220.90	(152.57)	LT	
24			12/17/07	1,766.73	73.613	44.18	1,060.32	(706.41)	LT	
44			10/29/09	2,557.72	58.13	44.18	1,943.92	(613.80)	LT	
121			10/08/10	5,075.71	41.948	44.18	5,345.78	270.07	LT	
91			05/10/11	4,082.84	44.866	44.18	4,020.38	(62.46)	ST	
6			05/13/11	256.56	42.76	44.18	265.08	8.52	ST	
549				32,601.10	59.383		24,254.82	(8,346.28)	3.802	922.32
349	BANCO SANTANDER S A	STD	10/27/09	5,849.24	16.76	8.56	2,987.44	(2,861.80)	LT	
339			10/08/10	4,451.07	13.13	8.56	2,901.84	(1,549.23)	LT	
688				10,300.31	14.971		5,889.28	(4,411.03)	8.06	474.72
201	CANON INC ADR	CAJ	04/19/06	9,617.54	47.848	45.55	9,155.55	(461.99)	LT	
60			09/12/07	3,189.95	53.165	45.55	2,733.00	(456.95)	LT	

MorganStanley
SmithBarneyReserved
Client Statement

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-20272-13 502

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
59	CANON INC ADR	CAJ	04/17/08	\$ 2,876.73	\$ 48.758	\$ 45.55	\$ 2,687.45	(\$ 189.28)	LT	
92			10/31/08	3,128.32	34.003	45.55	4,190.60	1,062.28	LT	
6			02/05/09	165.23	27.539	45.55	273.30	108.07	LT	
174			10/08/10	8,158.58	46.888	45.55	7,925.70	(232.88)	LT	
592				27,136.35	45.838		26,965.60	(170.75)		856.62
99	CARREFOUR SA-SP ADR	CRRFY	07/17/09	878.39	8.872	5.28	522.72	(355.67)	LT	
75			08/20/09	663.89	8.851	5.28	396.00	(267.89)	LT	
15			08/21/09	133.79	8.919	5.28	79.20	(54.59)	LT	
69			08/26/09	641.70	9.30	5.28	364.32	(277.38)	LT	
2			08/27/09	17.81	8.905	5.28	10.56	(7.25)	LT	
649			10/27/09	5,724.18	8.82	5.28	3,426.72	(2,297.46)	LT	
461			10/08/10	4,955.75	10.75	5.28	2,434.08	(2,521.67)	LT	
513			01/25/11	4,716.83	9.194	5.28	2,708.64	(2,008.19)	ST	
833			10/24/11	4,316.36	5.181	5.28	4,398.24	81.88	ST	
2,716				22,048.70	8.118		14,340.48	(7,708.22)		619.25
117	DEUTSCHE TELEKOM AG SP ADR	DTEGY	04/13/07	2,078.66	17.766	12.70	1,485.90	(592.76)	LT	
7			04/13/07	122.63	17.518	12.70	88.90	(33.73)	LT	
139			05/09/07	2,379.08	17.115	12.70	1,765.30	(613.78)	LT	
40			07/05/07	743.89	18.597	12.70	508.00	(235.89)	LT	
91			07/06/07	1,692.44	18.598	12.70	1,155.70	(536.74)	LT	
752			10/27/08	10,580.64	14.07	12.70	9,550.40	(1,030.24)	LT	
104			12/11/09	1,544.40	14.85	12.70	1,320.80	(223.60)	LT	
428			10/08/10	5,902.12	13.79	12.70	5,435.60	(466.52)	LT	
1,678				25,043.86	14.925		21,310.60	(3,733.26)		1,612.56
10	ENI SPA SPONSORED ADR	E	08/25/10	388.16	38.816	44.02	440.20	52.04	LT	
34			09/22/10	1,441.04	42.383	44.02	1,496.68	55.64	LT	
42			09/23/10	1,752.35	41.722	44.02	1,848.84	96.49	LT	
54			10/08/10	2,443.50	45.25	44.02	2,377.08	(66.42)	LT	
45			10/14/10	2,051.72	45.593	44.02	1,980.90	(70.82)	LT	
52			10/15/10	2,362.90	45.44	44.02	2,289.04	(73.86)	LT	
106			11/22/10	4,660.15	43.963	44.02	4,666.12	5.97	ST	
85			07/20/11	3,748.18	44.096	44.02	3,741.70	(6.48)	ST	
428				18,848.00	44.037		18,840.56	(7.44)		4.654
112	FRANCE TELECOM SA SPON ADR	FTE	06/04/10	2,093.60	18.692	17.98	2,013.76	(79.84)	LT	
162			06/17/10	3,097.83	19.122	17.98	2,912.76	(185.07)	LT	

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SmithBarneyHARRY DENT FAMILY FOUNDATION
EIN: 16-0849923**Reserved**
Client Statement

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-20272-13 502**Common stocks & options** *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
101	FRANCE TELECOM SA SPON ADR	FTE	07/09/10	\$ 1,915.77	\$ 18.968	\$ 17.98	\$ 1,815.98	(\$ 99.79) LT		
59			07/12/10	1,105.11	18.73	17.98	1,060.82	(44.29) LT		
221			10/08/10	4,957.03	22.43	17.98	3,973.58	(983.45) LT		
129			11/03/10	3,113.67	24.137	17.98	2,319.42	(794.25) ST		
53			11/04/10	1,301.25	24.551	17.98	952.94	(348.31) ST		
162			01/24/11	3,605.31	22.255	17.98	2,912.76	(692.55) ST		
63			07/07/11	1,309.04	20.778	17.98	1,132.74	(176.30) ST		
187			07/08/11	3,827.55	20.468	17.98	3,362.26	(465.29) ST		
1,249				26,326.16	21.078		22,457.02	(3,869.14)	9.126	2,049.61
78	GDF SUEZ-EUR	GDFZY	04/05/11	3,041.92	38.999	28.20	2,199.60	(842.32) ST		
78			05/06/11	3,002.19	38.489	28.20	2,199.60	(802.59) ST		
54			05/09/11	2,020.44	37.415	28.20	1,522.80	(497.64) ST		
210				8,064.55	38.403		5,922.00	(2,142.55)	5.482	324.66
20	GLAXOSMITHKLINE PLC SP ADR	GSK	04/19/06	1,067.20	53.36	44.79	895.80	(171.40) LT		
36			10/11/06	1,956.39	54.344	44.79	1,612.44	(343.95) LT		
2			10/12/06	110.22	55.108	44.79	89.58	(20.64) LT		
4			01/23/07	222.46	55.615	44.79	179.16	(43.30) LT		
3			01/24/07	165.44	55.146	44.79	134.37	(31.07) LT		
3			01/25/07	165.13	55.043	44.79	134.37	(30.76) LT		
4			01/26/07	218.07	54.517	44.79	179.16	(38.91) LT		
8			01/29/07	435.96	54.495	44.79	358.32	(77.64) LT		
4			01/30/07	218.36	54.589	44.79	179.16	(39.20) LT		
3			01/31/07	162.57	54.188	44.79	134.37	(28.20) LT		
35			12/14/07	1,866.96	53.341	44.79	1,567.65	(299.31) LT		
77			12/24/08	2,759.21	35.833	44.79	3,448.83	689.62 LT		
92			10/27/09	3,805.12	41.36	44.79	4,120.68	315.56 LT		
43			10/29/09	1,781.06	41.42	44.79	1,925.97	144.91 LT		
260			10/08/10	10,844.60	41.71	44.79	11,645.40	800.80 LT		
594				25,778.75	43.399		26,605.26	826.51	4.925	1,310.36
204	IBERDROLA	IBDRY	10/27/09	7,578.60	37.15	28.97	5,909.88	(1,668.72) LT		
76	ADR		03/11/10	2,605.12	34.277	28.97	2,201.72	(403.40) LT		
31			03/12/10	1,074.02	34.645	28.97	898.07	(175.95) LT		
200			10/08/10	6,358.00	31.79	28.97	5,794.00	(564.00) LT		
22			12/02/10	645.72	29.351	28.97	637.34	(8.38) ST		

MorganStanley
SmithBarneyReserved
Client Statement

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-20272-13 502

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
18	IBERDROLA ADR	IBDRY	07/12/11	\$ 487.69	\$ 27.094	\$ 28.97	\$ 521.46	\$ 33.77 ST		
561				18,749.15	34.027		15,982.47	(2,766.68)	4.956	791.24
90	ING GROEP NV SPONS ADR	ING	04/19/06	2,991.68	33.24	8.64	777.60	(2,214.08) LT		
14			02/28/08	407.34	29.095	8.64	120.96	(286.38) LT		
61			02/29/08	1,742.38	28.563	8.64	527.04	(1,215.34) LT		
445			10/27/09	5,015.97	11.271	8.64	3,844.80	(1,171.17) LT		
37			06/03/10	293.57	7.934	8.64	319.68	26.11 LT		
320			06/04/10	2,424.35	7.576	8.64	2,764.80	340.45 LT		
423			10/08/10	4,585.32	10.84	8.64	3,654.72	(930.60) LT		
1,390				17,460.61	12.562		12,009.60	(5,451.01)		
14	KAO CORP-JPY SPONS ADR	KCRPY	05/22/06	365.41	26.10	26.03	364.42	(.99) LT		
50			05/23/06	1,320.03	26.40	26.03	1,301.50	(18.53) LT		
50			10/30/06	1,293.32	25.866	26.03	1,301.50	8.18 LT		
10			10/31/06	260.82	26.082	26.03	260.30	(.52) LT		
20			11/06/06	518.20	25.91	26.03	520.60	2.40 LT		
80			07/03/07	2,084.43	26.18	26.03	2,082.40	(2.03) LT		
450			10/27/09	10,338.75	22.975	26.03	11,713.50	1,374.75 LT		
307			10/08/10	7,592.11	24.73	26.03	7,991.21	399.10 LT		
981				23,783.07	24.244		25,535.43	1,752.36	2.451	625.88
104	KONINKLIJKE AHOLD NV ADR (NEW)	AHONY	11/04/10	1,456.48	14.004	12.75	1,326.00	(130.48) ST		
142			11/12/10	1,908.17	13.437	12.75	1,810.50	(97.67) ST		
103			11/15/10	1,374.69	13.346	12.75	1,313.25	(61.44) ST		
105			11/16/10	1,416.83	13.493	12.75	1,338.75	(78.08) ST		
172			01/10/11	2,184.12	12.698	12.75	2,193.00	8.88 ST		
203			01/11/11	2,572.46	12.672	12.75	2,588.25	15.79 ST		
347			01/28/11	4,675.20	13.473	12.75	4,424.25	(250.95) ST		
192			08/24/11	2,295.13	11.953	12.75	2,448.00	152.87 ST		
125			08/25/11	1,426.05	11.408	12.75	1,593.75	167.70 ST		
1,493				19,309.13	12.933		19,035.75	(273.38)	2.728	519.56
54	NATIONAL GRID PLC	NGG	10/27/09	2,646.00	49.00	50.07	2,703.78	57.78 LT		
8	GBP SPONS ADR NEW		06/04/10	339.68	42.46	50.07	400.56	60.88 LT		
63			10/08/10	2,866.44	45.499	50.07	3,154.41	287.97 LT		
125				5,852.12	46.817		6,258.75	406.63	5.839	365.50
6	NOVARTIS AG ADR	NVS	04/27/07	353.99	58.999	56.47	338.82	(15.17) LT		
7			04/30/07	411.67	58.809	56.47	395.29	(16.38) LT		

MorganStanley
SmithBarney**Reserved
Client Statement**

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-20272-13 502**Common stocks & options** *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
31	NOVARTIS AG ADR	NVS	05/18/07	\$ 1,761.91	\$ 56.835	\$ 56.47	\$ 1,750.57	(\$ 11.34) LT		
11			05/18/07	625.61	56.873	56.47	621.17	(4.44) LT		
27			06/06/07	1,516.81	56.178	56.47	1,524.69	7.88 LT		
16			06/07/07	890.79	55.674	56.47	903.52	12.73 LT		
43			06/19/07	2,390.27	55.587	56.47	2,428.21	37.94 LT		
37			07/09/07	2,047.11	55.327	56.47	2,089.39	42.28 LT		
31			10/23/07	1,614.87	52.082	56.47	1,750.57	135.70 LT		
10			10/23/07	521.68	52.167	56.47	564.70	43.02 LT		
16			04/24/08	800.46	50.029	56.47	903.52	103.06 LT		
131			10/27/09	6,846.06	52.26	56.47	7,397.57	551.51 LT		
35			10/29/09	1,832.25	52.35	56.47	1,976.45	144.20 LT		
165			10/08/10	9,607.62	58.228	56.47	9,317.55	(290.07) LT		
566				31,221.10	55.161		31,962.02	740.92	3.55	1,134.83
190	QBE INSURANCE GROUP-SPN	QBEY	10/04/11	2,286.88	12.036	15.84	3,009.60	722.72 ST		
93	ADR		10/05/11	1,154.79	12.417	15.84	1,473.12	318.33 ST		
283				3,441.67	12.161		4,482.72	1,041.05	7.685	344.88
69	RWE AG SPONS ADR -USD	RWEY	04/19/06	6,136.71	88.937	42.80	2,953.20	(3,183.51) LT		
135			10/27/09	12,252.60	90.76	42.80	5,778.00	(6,474.60) LT		
18			12/11/09	1,697.40	94.30	42.80	770.40	(927.00) LT		
80			10/08/10	5,436.00	67.95	42.80	3,424.00	(2,012.00) LT		
68			06/15/11	3,688.22	54.238	42.80	2,910.40	(777.82) ST		
121			08/18/11	4,473.22	36.968	42.80	5,178.80	705.58 ST		
15			08/24/11	559.58	37.305	42.80	642.00	82.42 ST		
84			08/25/11	3,076.70	36.627	42.80	3,595.20	518.50 ST		
590				37,320.43	63.255		25,252.00	(12,068.43)	8.724	2,203.06
136	REED ELSEVIER NV	ENL	04/19/06	4,573.55	33.725	24.57	3,341.52	(1,232.03) LT		
262			10/27/09	6,274.90	23.95	24.57	6,437.34	162.44 LT		
186			10/08/10	4,904.08	26.366	24.57	4,570.02	(334.06) LT		
584				15,752.53	26.974		14,348.88	(1,403.65)	4.07	584.00
163	ROYAL DUTCH SHELL PLC ADR	RDSA	10/27/09	10,352.80	63.514	70.91	11,558.33	1,205.53 LT		
25	CL A		12/11/09	1,484.20	59.368	70.91	1,772.75	288.55 LT		
133			10/08/10	8,369.42	62.928	70.91	9,431.03	1,061.61 LT		
5			11/05/10	321.70	64.34	70.91	354.55	32.85 ST		
5			02/11/11	363.50	72.70	70.91	354.55	(8.95) ST		

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SmithBarneyHARRY DENT FUND FOUNDATION
EIN: 16-0849923Reserved
Client Statement

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-20272-13 502

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
4	ROYAL DUTCH SHELL PLC ADR	RDSA	05/13/11	\$ 272.44	\$ 68.11	\$ 70.91	\$ 283.64	\$ 11.20 ST		
335				21,164.06	63.176		23,764.85	2,590.79	4.027	956.76
54	SANOFI SPONS ADR	SNY	03/31/10	2,015.11	37.316	35.75	1,930.50	(84.61) LT		
93			04/16/10	3,418.32	36.756	35.75	3,324.75	(93.57) LT		
93			04/29/10	3,154.65	33.921	35.75	3,324.75	170.10 LT		
78			06/29/10	2,319.78	29.74	35.75	2,788.50	468.72 LT		
30			08/02/10	897.78	29.925	35.75	1,072.50	174.72 LT		
83			08/03/10	2,502.94	30.155	35.75	2,967.25	464.31 LT		
194			10/08/10	6,642.17	34.238	35.75	6,935.50	293.33 LT		
28			05/13/11	1,124.76	40.17	35.75	1,001.00	(123.76) ST		
653				22,076.51	33.806		23,344.75	1,269.24	3.70	863.92
56	SEVEN & I HLDGS CO LTD-JPY	SVNDY	10/27/09	2,469.04	44.09	53.70	3,007.20	538.16 LT		
90			01/25/10	3,929.63	43.662	53.70	4,833.00	903.37 LT		
98			10/08/10	4,733.40	48.30	53.70	5,262.60	529.20 LT		
89			10/12/10	4,255.23	47.811	53.70	4,779.30	524.07 LT		
82			05/09/11	4,155.76	50.68	53.70	4,403.40	247.64 ST		
415				19,543.06	47.092		22,285.50	2,742.44	2.322	517.51
34	SINGAPORE TELECOMMUNICATIO	SGAPY	07/23/08	894.20	26.30	25.27	859.18	(35.02) LT		
37	SPONSORED ADR NEW		08/14/08	913.83	24.698	25.27	934.99	21.16 LT		
40			08/15/08	983.26	24.581	25.27	1,010.80	27.54 LT		
56			11/19/08	889.83	15.889	25.27	1,415.12	525.29 LT		
35			11/20/08	547.24	15.635	25.27	884.45	337.21 LT		
130			03/23/09	2,150.97	16.545	25.27	3,285.10	1,134.13 LT		
229			10/08/10	5,436.46	23.74	25.27	5,786.83	350.37 LT		
561				11,815.79	21.062		14,176.47	2,360.68	4.871	680.59
39	SOCIETE GENERALE SPON ADR	SCGLY	10/22/09	558.30	14.315	5.80	226.20	(332.10) LT		
623			10/27/09	8,933.82	14.34	5.80	3,613.40	(5,320.42) LT		
366			10/08/10	4,432.26	12.11	5.80	2,122.80	(2,309.46) LT		
44			05/27/11	427.59	9.718	5.80	255.20	(172.39) ST		
1,072				14,351.97	13.388		6,217.60	(8,134.37)	7.517	467.39
22 8627	TAIWAN SEMICONDUCTOR MFG	TSM	01/10/08	202.53	8.866	12.62	288.53	86.00 LT		
56 5106	CO LTD ADR		01/11/08	496.86	8.80	12.62	713.16	216.30 LT		
82 7476			01/15/08	727.01	8.794	12.62	1,044.27	317.26 LT		
55 5014			05/07/08	614.12	11.075	12.62	700.43	86.31 LT		
27 2461			05/08/08	297.62	10.933	12.62	343.85	46.23 LT		

MorganStanley
SmithBarney**Reserved**
Client Statement

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-20272-13 502**Common stocks & options** continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
24 2188	TAIWAN SEMICONDUCTOR MFG	TSM	05/08/08	\$ 264 57	\$ 10 934	\$ 12 62	\$ 305 64	\$ 41 07 LT		
100 9128	CO LTD ADR		05/09/08	1,088 98	10 801	12 62	1,273 52	184 54 LT		
669			10/27/09	6,656 55	9 95	12 62	8,442 78	1,786 23 LT		
482			10/08/10	4,993 52	10 36	12 62	6,082 84	1,089 32 LT		
1,521				15,341 76	10 087		19,195 02	3,853 26	3 288	631 22
27	TAKEDA PHARMACEUTICAL CO	TKPYY	07/07/08	668 97	24 776	22 41	606 07	(63 90) LT		
38			07/08/08	954 94	25 13	22 41	851 58	(103 36) LT		
30			07/18/08	726 10	24 203	22 41	672 30	(53 80) LT		
14			07/23/08	350 16	25 011	22 41	313 74	(36 42) LT		
32			07/29/08	794 57	24 83	22 41	717 12	(77 45) LT		
41			09/22/08	1,010 80	24 653	22 41	918 81	(91 99) LT		
5			09/25/08	124 70	24 94	22 41	112 05	(12 65) LT		
19			09/30/08	483 10	25 426	22 41	425 79	(57 31) LT		
371			10/27/09	7,138 04	19 24	22 41	8,314 11	1,176 07 LT		
268			10/08/10	6,391 80	23 85	22 41	6,005 88	(385 92) LT		
37			06/23/11	838 49	22 662	22 41	829 17	(9 32) ST		
146			06/24/11	3,307 31	22 652	22 41	3,271 86	(35 45) ST		
1,028				22,788 98	22 168		23,037 48	248 50	4 31	993 05
225	TELEFONICA S A SPON ADR	TEF	04/19/06	3,515 97	15 626	21 37	4,808 25	1,292 28 LT		
456			10/27/09	12,844 00	28 166	21 37	9,744 72	(3,099 28) LT		
63			12/11/09	1,789 62	28 406	21 37	1,346 31	(443 31) LT		
111			05/18/10	2,125 24	19 146	21 37	2,372 07	246 83 LT		
357			10/08/10	9,349 59	26 189	21 37	7,629 09	(1,720 50) LT		
1,212				29,624 42	24 443		25,900 44	(3,723 98)	8 039	2,082 22
291	TELSTRA LTD SPONS ADR	TLSVY	10/27/09	4,330 08	14 88	16 25	4,728 75	398 67 LT		
219			03/12/10	3,098 61	14 148	16 25	3,558 75	460 14 LT		
384			10/08/10	5,072 64	13 21	16 25	6,240 00	1,167 36 LT		
894				12,501 33	13 984		14,527 50	2,026 17	8 135	1,181 87
15	TESCO PLC SPONSORED ADR	TSCDY	11/12/10	306 73	20 448	19 55	293 25	(13 48) ST		
45			11/15/10	920 65	20 458	19 55	879 75	(40 90) ST		
140			11/16/10	2,831 84	20 227	19 55	2,737 00	(94 84) ST		
108			01/12/11	2,154 18	19 946	19 55	2,111 40	(42 78) ST		
111			01/13/11	2,172 78	19 574	19 55	2,170 05	(2 73) ST		
248			01/25/11	4,724 25	19 049	19 55	4,848 40	124 15 ST		
234			02/04/11	4,604 18	19 676	19 55	4,574 70	(29 48) ST		

MorganStanley
SmithBarneyReserved
Client Statement

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-20272-13 502

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
173	TESCO PLC SPONSORED ADR	TSCDY	02/28/11	\$ 3,453.93	\$ 19.964	\$ 19.55	\$ 3,382.15	(\$ 71.78) ST		
52			03/01/11	1,037.46	19.951	19.55	1,016.60	(20.86) ST		
157			04/08/11	3,069.35	19.55	19.55	3,069.35	0.00		
44			04/11/11	858.81	19.518	19.55	860.20	1.39 ST		
1,327				26,134.16	19.694		25,942.95	(191.31)	3.375	875.82
66	TEVA PHARMACEUTICAL INDS	TEVA	06/02/11	3,323.01	50.348	40.85	2,696.10	(626.91) ST		
95	LTD ADR		06/14/11	4,692.03	49.389	40.85	3,860.75	(811.28) ST		
88			06/23/11	4,171.14	47.399	40.85	3,594.80	(576.34) ST		
99			08/09/11	3,823.32	38.619	40.85	4,044.15	220.83 ST		
348				16,009.50	46.004		14,215.80	(1,793.70)	1.924	273.63
72	TOKIO MARINE HLDGS INC	TKOMY	04/19/06	2,948.61	40.952	23.84	1,716.48	(1,232.13) LT		
2	ADR		01/18/07	74.59	37.295	23.84	47.68	(26.91) LT		
1			01/19/07	37.15	37.154	23.84	23.84	(13.31) LT		
27			01/25/07	1,010.39	37.421	23.84	643.68	(366.71) LT		
11			01/26/07	400.46	36.405	23.84	262.24	(138.22) LT		
36			03/13/07	1,281.41	35.594	23.84	858.24	(423.17) LT		
30			03/14/07	1,038.64	34.621	23.84	715.20	(323.44) LT		
317			10/27/09	7,956.70	25.10	23.84	7,557.28	(399.42) LT		
48			12/11/09	1,388.64	28.93	23.84	1,144.32	(244.32) LT		
182			10/08/10	5,196.10	28.55	23.84	4,338.88	(857.22) LT		
128			10/31/11	3,059.51	23.902	23.84	3,051.52	(7.99) ST		
854				24,392.20	28.562		20,359.36	(4,032.84)	2.168	441.52
43	TOTAL S A SPONS ADR	TOT	12/01/05	2,690.81	62.577	52.30	2,248.90	(441.91) LT		
174			04/19/06	11,953.85	68.70	52.30	9,100.20	(2,853.65) LT		
3			01/16/07	200.11	66.703	52.30	156.90	(43.21) LT		
42			01/17/07	2,798.49	66.63	52.30	2,196.60	(601.89) LT		
84			10/27/09	5,320.56	63.34	52.30	4,393.20	(927.36) LT		
16			11/03/09	971.36	60.71	52.30	836.80	(134.56) LT		
145			10/08/10	7,795.20	53.76	52.30	7,583.50	(211.70) LT		
507				31,730.38	62.585		26,516.10	(5,214.28)	5.152	1,368.37
52	TOYOTA MOTOR CORP ADR NEW	TM	04/19/06	5,966.47	114.739	66.71	3,468.92	(2,497.55) LT		
87			10/27/09	6,906.06	79.38	66.71	5,803.77	(1,102.29) LT		
64			10/08/10	4,552.96	71.14	66.71	4,269.44	(283.52) LT		
203				17,425.49	85.84		13,542.13	(3,883.36)	1.692	229.19

MorganStanley
SmithBarneyHARRY DENT FAMILY FOUNDATION
EIN. 16-0849923Reserved
Client Statement

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-20272-13 502

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
203 117	UNILEVER PLC SPONS ADR NEW	UL	04/19/06	\$ 4,679.88	\$ 23.067	\$ 33.65	\$ 6,834.89	\$ 2,155.01	LT	
98 883			05/11/06	2,348.54	23.778	33.65	3,327.41	978.87	LT	
94			07/10/07	3,163.59	33.655	33.65	3,163.10	(.49)	LT	
120			09/24/08	3,302.89	27.524	33.65	4,038.00	735.11	LT	
96			10/29/09	2,933.76	30.56	33.65	3,230.40	296.64	LT	
332			10/08/10	9,671.16	29.13	33.65	11,171.80	1,500.64	LT	
944				26,099.82	27.648		31,765.60	5,665.78	3.64	1,156.40
23	UNITED OVERSEAS BANK LTD	UOVEY	10/17/06	491.41	21.365	26.92	619.16	127.75	LT	
75	SPONS ADR		11/13/08	1,204.00	16.053	26.92	2,019.00	815.00	LT	
180			10/27/09	4,464.00	24.80	26.92	4,845.60	381.60	LT	
8			05/10/10	227.22	28.403	26.92	215.36	(11.86)	LT	
134			10/08/10	3,845.80	28.70	26.92	3,607.28	(238.52)	LT	
13			05/06/11	416.82	32.063	26.92	349.96	(66.86)	ST	
433				10,649.25	24.594		11,656.36	1,007.11	4.208	490.59
81	VINCI S A ADR	VCISY	02/09/10	1,053.16	13.002	12.19	987.39	(65.77)	LT	
81			02/19/10	1,059.41	13.079	12.19	987.39	(72.02)	LT	
159			02/22/10	2,094.16	13.17	12.19	1,938.21	(155.95)	LT	
183			09/23/10	2,206.94	12.059	12.19	2,230.77	23.83	LT	
21			09/23/10	255.44	12.163	12.19	255.99	.55	LT	
47			09/24/10	583.10	12.406	12.19	572.93	(10.17)	LT	
257			10/08/10	3,402.68	13.24	12.19	3,132.83	(269.85)	LT	
829				10,654.89	12.853		10,105.51	(549.38)	3.683	372.22
59	VODAFONE GROUP PLC SPONS	VOD	08/06/09	1,235.46	20.94	27.84	1,642.56	407.10	LT	
15	ADR NEW		08/20/09	327.76	21.85	27.84	417.60	89.84	LT	
54			08/21/09	1,179.35	21.839	27.84	1,503.36	324.01	LT	
69			08/26/09	1,499.30	21.729	27.84	1,920.96	421.66	LT	
60			10/16/09	1,319.76	21.996	27.84	1,670.40	350.64	LT	
16			10/20/09	353.27	22.079	27.84	445.44	92.17	LT	
74			11/12/09	1,672.50	22.601	27.84	2,060.16	387.66	LT	
11			11/13/09	250.98	22.816	27.84	306.24	55.26	LT	
76			11/19/09	1,701.76	22.391	27.84	2,115.84	414.08	LT	
16			11/20/09	356.40	22.275	27.84	445.44	89.04	LT	
239			10/08/10	6,189.14	25.896	27.84	6,653.76	464.62	LT	
689				16,085.68	23.346		19,181.76	3,096.08	5.075	973.56

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-20272-13 502

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
61	ZURICH FINCL SVCS SPON ADR	ZFSVY	03/16/09	\$ 676.30	\$ 12.936	\$ 23.07	\$ 1,407.27	\$ 730.97	LT	
22			04/29/09	357.98	18.122	23.07	507.54	149.56	LT	
14			09/18/09	305.55	23.674	23.07	322.98	17.43	LT	
47			09/21/09	1,016.81	23.484	23.07	1,084.29	67.48	LT	
5			09/22/09	109.61	23.772	23.07	115.35	5.74	LT	
18			10/21/09	409.56	24.603	23.07	415.26	5.70	LT	
11			10/22/09	251.04	24.671	23.07	253.77	2.73	LT	
34			11/05/09	702.74	22.518	23.07	784.38	81.64	LT	
134			11/06/09	2,694.74	21.96	23.07	3,091.38	396.64	LT	
139			10/08/10	3,085.79	24.05	23.07	3,206.73	120.94	LT	
73			07/08/11	1,811.38	24.813	23.07	1,684.11	(127.27)	ST	
91			07/11/11	2,216.44	24.356	23.07	2,099.37	(117.07)	ST	
849				13,637.94	21.014		14,972.43	1,334.49	7.932	1,187.67
Total common stocks and options				\$ 769,871.54			\$ 724,704.92	(\$ 7,640.65)	ST	4.48
								(\$ 37,525.97)	LT	\$ 32,805.84

Reserved
Client Statement

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-19354-16 346**Common stocks & options**

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
235	AT&T INC	T	12/07/05	\$ 5,866 75	\$ 24 964	\$ 29 31	\$ 6,887 85	\$ 1,021 10	LT	
80			03/05/10	1,996 56	24 957	29 31	2,344 80	348 24	LT	
316				7,863.31	24 963		9,232.65	1,369 34		541 80
20	ALLSTATE CORP	ALL	03/02/06	1,081 36	54 067	26 34	526 80	(554 56)	LT	
80			03/09/06	4,362 42	54 53	26 34	2,107 20	(2,255 22)	LT	
80			03/13/06	4,365 90	54 573	26 34	2,107 20	(2,258 70)	LT	
5			03/15/06	274 42	54 884	26 34	131 70	(142 72)	LT	
85			03/17/06	4,632 13	54 495	26 34	2,238 90	(2,393 23)	LT	
60			11/13/08	1,526 15	25 435	26 34	1,580 40	54 25	LT	
330				16,242 38	49 219		8,692 20	(7,550 18)		277 20
65	AMEREN CORP	AEE	08/27/07	3,257 28	50.112	31 88	2,072 20	(1,185 08)	LT	
15			09/17/07	779 16	51 944	31 88	478 20	(300 96)	LT	
70			09/18/07	3,690 43	52 72	31 88	2,231 60	(1,458 83)	LT	
30			10/01/07	1,599 16	53 305	31 88	956 40	(642 76)	LT	
60			10/02/07	3,202 67	53 377	31 88	1,912 80	(1,289 87)	LT	
20			10/15/07	1,072 05	53 602	31 88	637 60	(434 45)	LT	
80			03/05/10	2,072 60	25 907	31 88	2,550 40	477 80	LT	
340				15,673 35	48 098		10,839 20	(4,834 15)		544 00
90	AMERIPRISE FINANCIAL INC	AMP	08/31/10	3,905 68	43 396	46 68	4,201 20	295 52	LT	
80			09/01/10	3,626 90	45 336	46 68	3,734 40	107 50	LT	
170				7,532.58	44.309		7,935 60	403 02		156 40
172	ANNALY CAPITAL MANAGEMENT INC	NLY	03/24/09	2,465 47	14 334	16 85	2,898 20	432 73	LT	
145			04/03/09	2,047 46	14 12	16 85	2,443 25	395 79	LT	
174			02/10/11	3,115 52	17 905	16 85	2,931 90	(183 62)	ST	
491				7,628 45	15 537		8,273 35	644 90		1,178 40
110	CHESAPEAKE ENERGY CORP	CHK	11/11/09	2,818 59	25 623	28 12	3,093 20	274 61	LT	
30			11/12/09	741 44	24 714	28 12	843 60	102 16	LT	
195			11/13/09	4,878 06	25 015	28 12	5,483 40	605 34	LT	
335				8,438.08	25.188		9,420 20	982 11		117 25
98	CHEVRON CORP	CVX	11/28/05	5,616.38	57 31	105 05	10,294.90	4,678.52	LT	317 52
71	CONOCOPHILLIPS	COP	03/02/06	4,447 59	62 642	69 65	4,945 15	497 56	LT	
40			11/10/09	2,141 36	53 534	69 65	2,786 00	644 64	LT	
125			11/11/09	6,718 75	53 75	69 65	8,706 25	1,987 50	LT	
5			11/13/09	263 73	52 745	69 65	348 25	84 52	LT	

**Reserved
Client Statement**

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-19354-16 346**Common stocks & options** *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
30	CONOCOPHILLIPS	COP	03/05/10	\$ 1,513.71	\$ 50.457	\$ 69.65	\$ 2,089.50	\$ 575.79 LT		
271				15,085.14	55.665		18,875.15	3,790.01	3.79	715.44
16	DIAMOND OFFSHORE DRILLING INC	DO	07/27/09	1,481.83	92.614	65.54	1,048.64	(433.19) LT		
5			07/29/09	451.50	90.30	65.54	327.70	(123.80) LT		
31			03/05/10	2,731.04	88.098	65.54	2,031.74	(699.30) LT		
46			05/17/10	3,300.83	71.757	65.54	3,014.84	(285.99) LT		
43			08/23/10	2,564.72	59.644	65.54	2,818.22	253.50 LT		
141				10,529.92	74.68		9,241.14	(1,288.78)	762	70.50
350	R R DONNELLEY & SONS CO	RRD	03/25/09	2,820.30	8.058	16.30	5,705.00	2,884.70 LT		
144			03/18/11	2,544.28	17.668	16.30	2,347.20	(197.08) ST		
494				5,364.58	10.859		8,052.20	2,687.62	6.38	513.76
90	EASTMAN CHEMICAL CO	EMN	10/03/11	3,075.41	34.171	39.29	3,536.10	460.69 ST		
35			10/04/11	1,197.19	34.205	39.29	1,375.15	177.96 ST		
90			10/07/11	3,191.27	35.458	39.29	3,536.10	344.83 ST		
10			10/10/11	364.11	36.411	39.29	392.90	28.79 ST		
16			10/11/11	588.08	36.754	39.29	628.64	40.56 ST		
241				8,416.06	34.921		9,468.89	1,052.83	2.646	250.64
5	EDISON INTERNATIONAL	EIX	03/04/09	125.23	25.046	40.60	203.00	77.77 LT		
75			03/10/09	1,853.93	24.719	40.60	3,045.00	1,191.07 LT		
50			03/17/09	1,408.01	28.16	40.60	2,030.00	621.99 LT		
65			03/20/09	1,884.91	28.998	40.60	2,639.00	754.09 LT		
50			03/05/10	1,687.38	33.747	40.60	2,030.00	342.62 LT		
245				6,959.46	28.408		9,947.00	2,987.54	3.152	313.60
170	FREEPORT MCMORAN COPPER & GOLD	FCX	01/11/10	7,542.36	44.366	40.26	6,844.20	(698.16) LT		
28	CL B		01/13/10	1,185.04	42.322	40.26	1,127.28	(57.76) LT		
188				8,727.40	44.078		7,971.48	(755.92)	2.483	198.00
520	GENERAL ELECTRIC CO	GE	07/29/10	8,324.78	16.009	16.71	8,689.20	364.42 LT		
4	GLAXOSMITHKLINE PLC SP ADR	GSK	06/06/07	204.20	51.05	44.79	179.16	(25.04) LT		
100			06/12/07	5,204.29	52.042	44.79	4,479.00	(725.29) LT		
25			06/14/07	1,302.13	52.085	44.79	1,119.75	(182.38) LT		
50			06/15/07	2,617.55	52.35	44.79	2,239.50	(378.05) LT		
50			03/25/09	1,502.80	30.056	44.79	2,239.50	736.70 LT		
229				10,830.87	47.297		10,256.91	(574.06)	4.925	505.17
5	HARRIS CORP-DELAWARE-	HRS	01/21/09	208.01	41.602	37.75	188.75	(19.26) LT		
40			01/28/09	1,786.76	44.669	37.75	1,510.00	(276.76) LT		

**Reserved
Client Statement**

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-19354-16 346**Common stocks & options** continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
40	HARRIS CORP-DELAWARE-	HRS	02/09/09	\$ 1,756.82	\$ 43.92	\$ 37.75	\$ 1,510.00	(\$ 246.82) LT		
25			02/17/09	1,021.63	40.865	37.75	943.75	(77.88) LT		
20			02/18/09	813.68	40.684	37.75	755.00	(58.68) LT		
75			03/25/09	2,174.93	28.999	37.75	2,831.25	656.32 LT		
205				7,761.83	37.863		7,738.75	(23.08)	2.966	229.60
200	HUDSON CITY BANCORP INC	HCBK	07/06/09	2,663.76	13.318	6.25	1,250.00	(1,413.76) LT		
185			07/08/09	2,454.51	13.267	6.25	1,156.25	(1,298.26) LT		
175			07/09/09	2,364.18	13.509	6.25	1,093.75	(1,270.43) LT		
155			03/05/10	2,072.21	13.369	6.25	968.75	(1,103.46) LT		
125			02/10/11	1,394.08	11.152	6.25	781.25	(612.83) ST		
228			03/21/11	2,275.19	9.978	6.25	1,425.00	(850.19) ST		
110			06/15/11	885.06	8.046	6.25	687.50	(197.56) ST		
115			08/25/11	672.80	5.85	6.25	718.75	45.95 ST		
1,283				14,781.79	11.432		8,081.25	(6,700.54)	5.12	413.76
149	INTEL CORP	INTC	11/12/09	2,993.47	20.09	24.54	3,656.46	662.99 LT		
100			11/13/09	1,976.48	19.764	24.54	2,454.00	477.52 LT		
510			09/01/10	9,260.58	18.158	24.54	12,515.40	3,254.82 LT		
759				14,230.53	18.749		18,625.86	4,395.33	3.422	637.56
5	INTL BUSINESS MACHINES CORP	IBM	04/02/09	495.92	99.184	184.63	923.15	427.23 LT		
15			04/07/09	1,487.07	99.137	184.63	2,769.45	1,282.38 LT		
20			04/15/09	1,960.39	98.019	184.63	3,692.60	1,732.21 LT		
17			04/23/09	1,719.51	101.147	184.63	3,138.71	1,419.20 LT		
67				5,662.89	99.349		10,523.91	4,861.02	1.624	171.00
356	INTERNATIONAL PAPER CO	IP	03/17/11	9,338.20	26.23	27.70	9,861.20	523.00 ST		
60			06/21/11	1,723.63	28.727	27.70	1,662.00	(61.63) ST		
201			06/22/11	5,808.26	28.896	27.70	5,567.70	(240.56) ST		
43			06/29/11	1,267.82	29.484	27.70	1,191.10	(76.72) ST		
660				18,137.91	27.482		18,282.00	144.09	3.79	693.00
150	JPMORGAN CHASE & CO	JPM	05/03/11	6,878.47	45.856	34.76	5,214.00	(1,664.47) ST		
82			05/04/11	3,749.89	45.73	34.76	2,850.32	(899.57) ST		
232				10,628.36	45.812		8,064.32	(2,564.04)	2.876	232.00
50	JOHNSON & JOHNSON	JNJ	05/04/09	2,663.82	53.276	64.39	3,219.50	555.68 LT		
55			05/15/09	3,040.94	55.289	64.39	3,541.45	500.51 LT		
41			03/21/11	2,414.81	58.897	64.39	2,639.99	225.18 ST		
146				8,119.57	55.613		9,400.94	1,281.37	3.64	332.88

Reserved
Client Statement

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number **356-19354-16 346****Common stocks & options** continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
145	KIMBERLY CLARK CORP	KMB	11/28/05	\$ 8,523.10	\$ 58.78	\$ 69.71	\$ 10,107.95	\$ 1,584.85 LT	4.016 %	\$ 408.00
67	KRAFT FOODS INC CLASS A	KFT	05/02/07	2,245.81	33.519	35.18	2,357.06	111.25 LT		
40			05/03/07	1,335.66	33.391	35.18	1,407.20	71.54 LT		
175			05/09/07	5,717.13	32.669	35.18	6,156.50	439.37 LT		
282				9,298.60	32.974		9,920.76	622.16	3.297	327.12
35	ELI LILLY & CO	LLY	05/04/11	1,334.05	38.115	37.16	1,300.60	(33.45) ST		
222			05/05/11	8,456.69	38.093	37.16	8,249.52	(207.17) ST		
257				9,790.74	38.096		9,550.12	(240.62)	5.274	503.72
65	LOCKHEED MARTIN CORP	LMT	03/16/10	5,490.34	84.466	75.90	4,933.50	(556.84) LT		
50			03/17/10	4,239.30	84.786	75.90	3,795.00	(444.30) LT		
115				9,729.64	84.606		8,728.50	(1,001.14)	5.27	460.00
133	MARATHON OIL CORP	MRO	11/28/05	2,302.69	17.313	26.03	3,461.99	1,159.30 LT		
55			03/05/10	1,005.18	18.276	26.03	1,431.65	426.47 LT		
45			07/13/11	1,427.45	31.721	26.03	1,171.35	(256.10) ST		
75			07/21/11	2,379.57	31.727	26.03	1,952.25	(427.32) ST		
308				7,114.89	23.10		8,017.24	902.35	2.305	184.80
95	MATTEL INC DE	MAT	08/31/11	2,557.61	26.922	28.24	2,682.80	125.19 ST		
67			09/01/11	1,804.42	26.931	28.24	1,892.08	87.66 ST		
75			08/26/11	1,929.13	25.721	28.24	2,118.00	188.87 ST		
87			09/28/11	2,309.22	26.542	28.24	2,456.88	147.66 ST		
324				8,600.38	26.544		9,149.76	549.38	3.257	298.08
55	MEDTRONIC INC	MDT	02/17/09	1,908.74	34.704	34.74	1,910.70	1.96 LT		
45			02/20/09	1,530.16	34.003	34.74	1,563.30	33.14 LT		
45			02/24/09	1,555.13	34.558	34.74	1,563.30	8.17 LT		
60			02/26/09	1,966.10	32.768	34.74	2,084.40	118.30 LT		
54			08/20/10	1,865.27	34.542	34.74	1,875.96	10.69 LT		
259				8,825.40	34.075		8,997.66	172.26	2.792	251.23
40	METLIFE INC	MET	04/24/09	1,149.62	28.74	35.16	1,406.40	256.78 LT		
205			05/04/09	5,619.97	27.414	35.16	7,207.80	1,587.83 LT		
245				6,769.59	27.631		8,614.20	1,844.61	2.104	181.30
235	MICROSOFT CORP	MSFT	11/29/10	5,937.91	25.267	26.63	6,258.05	320.14 ST		
95			11/30/10	2,382.07	25.074	26.63	2,529.85	147.78 ST		
7			11/30/10	177.65	25.378	26.63	186.41	8.76 ST		
35			12/03/10	943.19	26.948	26.63	932.05	(11.14) ST		

MorganStanley
SmithBarneyReserved
Client Statement

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-19354-16 346

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
30	MICROSOFT CORP	MSFT	06/15/11	\$ 712.50	\$ 23.75	\$ 26.63	\$ 798.90	\$ 86.40 ST		
402				10,163.32	25.267		10,705.28	551.94	3.004	321.60
150	NORTHROP GRUMMAN CORP	NOC	10/26/09	6,874.10	45.827	57.75	8,662.50	1,788.40 LT	3.463	300.00
20	PNC FINANCIAL SERVICES GROUP	PNC	11/10/10	1,142.79	57.139	53.71	1,074.20	(68.59) ST		
122			11/11/10	6,988.31	57.281	53.71	6,552.62	(435.69) ST		
22			11/18/10	1,235.45	56.157	53.71	1,181.62	(53.83) ST		
164				9,366.55	57.113		8,808.44	(558.11)	2.606	229.60
35	PEPSICO INC	PEP	03/02/11	2,202.12	62.917	62.95	2,203.25	1.13 ST		
18			03/03/11	1,145.21	63.622	62.95	1,133.10	(12.11) ST		
84			03/14/11	5,354.24	63.741	62.95	5,287.80	(66.44) ST		
137				8,701.57	63.515		8,624.15	(77.42)	3.272	282.22
14	PFIZER INC	PFE	04/26/06	349.92	24.994	19.26	269.64	(80.28) LT		
165			05/01/06	4,206.21	25.492	19.26	3,177.90	(1,028.31) LT		
195			05/02/06	4,931.76	25.291	19.26	3,755.70	(1,176.06) LT		
100			03/05/10	1,748.80	17.488	19.26	1,926.00	177.20 LT		
474				11,236.69	23.706		9,129.24	(2,107.45)	4.153	379.20
85	PITNEY BOWES INC	PBI	12/17/10	2,082.69	24.502	20.38	1,732.30	(350.39) ST		
25			12/20/10	615.73	24.629	20.38	509.50	(106.23) ST		
65			12/21/10	1,599.83	24.612	20.38	1,324.70	(275.13) ST		
30			12/22/10	740.33	24.677	20.38	611.40	(128.93) ST		
190			03/29/11	4,791.06	25.216	20.38	3,872.20	(918.86) ST		
36			03/30/11	913.29	25.369	20.38	733.68	(179.61) ST		
431				10,742.93	24.928		8,783.78	(1,959.15)	7.262	637.88
252	REYNOLDS AMERICAN INC	RAI	11/28/05	5,522.58	21.915	38.68	9,747.36	4,224.78 LT	6.791	564.48
25	ROYAL DUTCH SHELL PLC ADR	RDSA	09/25/07	2,090.43	83.617	70.91	1,772.75	(317.68) LT		
60	CL A		10/01/07	5,001.17	83.352	70.91	4,254.60	(746.57) LT		
60			10/05/07	4,789.82	79.83	70.91	4,254.60	(535.22) LT		
145				11,881.42	81.941		10,281.95	(1,599.47)	4.027	414.12
55	SANOFI SPONS ADR	SNY	10/29/10	1,932.06	35.128	35.75	1,966.25	34.19 LT		
65			11/01/10	2,275.47	35.007	35.75	2,323.75	48.28 ST		
65			11/02/10	2,311.52	35.561	35.75	2,323.75	12.23 ST		
35			11/03/10	1,231.14	35.175	35.75	1,251.25	20.11 ST		
14			11/04/10	505.35	36.096	35.75	500.50	(4.85) ST		
25			12/08/10	815.95	32.637	35.75	893.75	77.80 ST		
259				9,071.49	35.025		9,259.25	187.76	3.70	342.68

Reserved
Client Statement

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number **356-19354-16 346****Common stocks & options** *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
50	TIME WARNER INC	TWX	12/07/10	\$ 1,563.34	\$ 31.266	\$ 34.99	\$ 1,749.50	\$ 186.16	ST	
227			12/08/10	7,127.91	31.40	34.99	7,942.73	814.82	ST	
277				8,691.25	31.376		9,692.23	1,000.98	2.686	260.38
30	TOTAL S A SPONS ADR	TOT	12/26/07	2,451.87	81.729	52.30	1,569.00	(882.87)	LT	
35			01/03/08	2,982.12	85.203	52.30	1,830.50	(1,151.62)	LT	
5			01/08/08	428.08	85.616	52.30	261.50	(166.58)	LT	
35			01/09/08	3,030.53	86.586	52.30	1,830.50	(1,200.03)	LT	
65			02/16/10	3,797.92	58.429	52.30	3,399.50	(398.42)	LT	
95			02/17/10	5,536.94	58.283	52.30	4,968.50	(568.44)	LT	
25			02/18/10	1,457.81	58.312	52.30	1,307.50	(150.31)	LT	
67			05/14/10	3,214.73	47.981	52.30	3,504.10	289.37	LT	
357				22,900.00	64.146		18,671.10	(4,228.90)		5.152
187	TRAVELERS COMPANIES INC	TRV	11/28/05	7,773.85	46.55	58.35	9,744.45	1,970.60	LT	273.88
166	WAL-MART STORES INC	WMT	07/14/11	8,888.50	53.545	56.72	9,415.52	527.02	ST	
16			07/20/11	863.04	53.939	56.72	907.52	44.48	ST	
182				9,751.54	53.58		10,323.04	571.50		2.574
25	WELLS FARGO & CO NEW	WFC	08/26/10	589.17	23.566	25.91	647.75	58.58	LT	
340			08/27/10	8,033.08	23.626	25.91	8,809.40	776.32	LT	
5			08/27/10	119.64	23.928	25.91	129.55	9.91	LT	
370				8,741.89	23.627		9,586.70	844.81		1.852
25	WHIRLPOOL CORP	WHR	08/05/11	1,656.27	66.25	50.81	1,270.25	(386.02)	ST	
40			08/08/11	2,546.21	63.655	50.81	2,032.40	(513.81)	ST	
71			08/11/11	4,239.28	59.708	50.81	3,607.51	(631.77)	ST	
13			08/22/11	730.18	56.167	50.81	660.53	(69.65)	ST	
149				9,171.94	61.557		7,570.69	(1,601.25)		3.936
165	XEROX CORP	XRX	07/07/08	2,222.17	13.467	8.18	1,349.70	(872.47)	LT	298.00
185			07/15/08	2,441.54	13.197	8.18	1,513.30	(928.24)	LT	
55			07/16/08	724.01	13.163	8.18	449.90	(274.11)	LT	
570			03/25/09	2,848.86	4.998	8.18	4,662.60	1,813.74	LT	
975				8,236.58	8.448		7,975.50	(261.08)		2.078
Total common stocks and options				\$ 450,025.77			\$ 458,558.93	\$ 5,429.87	ST	3.75
								\$ 13,983.03	LT	\$ 17,208.94

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October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-73257-11 346**Common stocks & options**

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
2	CREDICORP LTD -USD	BAP	10/23/07	\$ 144 28	\$ 72 14	\$ 108 78	\$ 217 56	\$ 73 28 LT		
2			02/21/08	145 30	72 65	108 78	217 56	72 26 LT		
6			10/22/09	463 44	77 24	108 78	652 68	189 24 LT		
8			11/09/09	587 28	73 41	108 78	870 24	282 96 LT		
24			10/08/10	2,844 24	118 51	108 78	2,610 72	(233 52) LT		
14			08/16/11	1,244 36	88 882	108 78	1,522 92	278 56 ST		
56				5,428 90	96 945		6,091 88	662 78	1 792	109 20
121	STANDARD CHARTERED PLC-USD	SCBFF	08/18/10	3,396 35	28 069	23 541	2,848 46	(547 89) LT		
96			10/08/10	2,812 80	29 30	23 541	2,259 94	(552 86) LT		
177			10/12/10	5,357 22	30 266	23 541	4,166 76	(1,190 46) LT		
4			08/12/11	81,49 p	20 372	23 541	94 16	12 67 ST		
398				11,647 86	29,266		9,369 32	(2,278 54)		
51	ALUMINUM CORP OF CHINA ADR	ACH	10/23/07	4,025 43	78 93	13 29	677 79	(3,347 64) LT		
70			09/15/08	1,217 92	17,398	13 29	930 30	(287 62) LT		
35			10/22/09	1,020 25	29,15	13 29	485 15	(555 10) LT		
37			11/09/09	1,068 93	28 89	13 29	491 73	(577 20) LT		
129			10/08/10	3,187 59	24 71	13 29	1,714 41	(1,473 18) LT		
322				10,520 12	32,671		4,279 38	(6,240 74)	283	11 27
66	AMERICA MOVIL S A B DE CV	AMX	10/23/07	2,213 31	33 535	25 42	1,677 72	(535 59) LT		
4	SER L SPONS ADR		02/21/08	123 34	30 835	25 42	101 68	(21 66) LT		
20			10/22/09	476 10	23 805	25 42	508 40	32 30 LT		
22			11/09/09	520 63	23 665	25 42	559 24	38 61 LT		
78			10/08/10	2,155 92	27 64	25 42	1,982 76	(173 16) LT		
84			04/07/11	2,479 20	29 514	25 42	2,135 28	(343 92) ST		
274				7,968 50	29,082		6,965 08	(1,003 42)	1 125	78 36
78 1859	BANCO BRADESCO SPONS ADR	BBD	10/23/07	1,357 81	17 475	18 20	1,422 98	65 17 LT		
51 7045	(NEW)		10/22/09	894 36	17 406	18 20	941 02	46 66 LT		
54 1096			11/09/09	949 78	17,663	18 20	984,79	35 01 LT		
100			10/08/10	2,161 75	21,617	18 20	1,820 00	(341 75) LT		
100			04/07/11	2,111 67	21 116	18 20	1,820 00	(291 67) ST		
99			10/18/11	1,646 37	16 63	18 20	1,801 80	155 43 ST		
483				9,121 74	18 886		8,790 59	(331 15)	604	53 13
51	BANCO DE CHILE SPONSORED ADR REPSTG F SHS	BCH	08/16/11	4,249 11	83 315	81 09	4,135 59	(113 52) ST	3 528	145 91
288	BANCO DO BRASIL SA	BDORY	04/07/11	5,525 45	19 185	15 42	4,440 96	(1,084 49) ST	2 898	128 74

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Reserved Client Statement

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. **Account number 356-73257-11 346**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
35	BELLE INTL UNSPON ADR	BELLY	03/12/10	\$ 2,089.16	\$ 59.69	\$ 102.02	\$ 3,570.70	\$ 1,481.54 LT		
30			10/08/10	2,849.70	94.99	102.02	3,060.60	210.90 LT		
66			12/10/10	5,840.16	88.487	102.02	6,733.32	893.16 ST		
55			03/25/11	4,979.59	90.538	102.02	5,611.10	631.51 ST		
186				15,758.61	84.724		18,975.72	3,217.11	.891	169.26
40	CNOOC LTD SPONS ADR	CEO	03/11/11	9,182.09	229.552	188.61	7,544.40	(1,637.69) ST	3.063	231.16
130 3406	CHINA CONSTR BK CORP-CNY	CICHY	03/12/10	2,067.28	15.895	14.70	1,916.01	(151.27) LT		
102 4104			10/08/10	1,822.57	17.835	14.70	1,505.43	(317.14) LT		
287 249			12/10/10	5,117.40	17.854	14.70	4,222.56	(894.84) ST		
120			05/16/11	2,206.01	18.383	14.70	1,764.00	(442.01) ST		
640				11,213.26	17.621		9,408.00	(1,805.26)	3.659	344.32
110	CHINA MOBILE LTD SPONSORED ADR	CHL	10/08/10	5,839.80	53.089	47.56	5,231.60	(608.20) LT	3.86	201.96
1	CHINA PETROLEUM&CHEM ADR	SNP	10/22/09	86.97	86.97	94.40	94.40	7.53 LT		
11			11/09/09	995.72	90.52	94.40	1,038.40	42.68 LT		
38			10/08/10	3,365.28	88.56	94.40	3,587.20	221.92 LT		
50				4,447.87	88.957		4,720.00	272.13	3.35	158.15
130	CHINA SHENHUA ENERGY CO LTD-CNY	CSUAY	11/10/10	6,209.89	47.768	46.75	6,077.50	(132.39) ST	2.113	128.44
93 6519	CIELO SA SPON ADR	CIOXY	10/13/10	2,206.94	23.589	26.70	2,500.51	293.57 LT		
205 3481			10/14/10	4,887.29	23.824	26.70	5,482.79	595.50 LT		
289				7,094.23	23.727		7,983.30	889.07	5.88	469.43
95 474	COMPANHIA ENERGETICA DE MINAS SP ADR	CIG	10/23/07	1,391.81	14.74	17.04	1,626.88	235.07 LT		
29 6298			10/22/09	445.79	15.081	17.04	504.89	59.10 LT		
43 8962			11/27/09	711.92	16.256	17.04	747.99	36.07 LT		
91			10/08/10	1,561.56	17.16	17.04	1,550.64	(10.92) LT		
260				4,111.08	15.812		4,430.40	319.32	6.572	291.20
59	COMPANHIA DE BEBIDAS DAS AMERS SPONS ADR	ABV	02/12/08	925.95	15.693	33.72	1,989.48	1,063.53 LT		
30			10/22/09	569.82	18.994	33.72	1,011.60	441.78 LT		
40			11/09/09	802.96	20.074	33.72	1,348.80	545.84 LT		
85			10/08/10	2,250.80	26.48	33.72	2,866.20	615.40 LT		
214				4,549.53	21.269		7,216.08	2,666.55	2.224	160.50
91	COMPANIA DE MINAS BUENAVENTURA S A SPONSORED ADR	BVN	03/19/10	2,887.66	31.732	40.93	3,724.63	836.97 LT		
49	REPSTG SER B SHS-USD		10/08/10	2,355.92	48.08	40.93	2,005.57	(350.35) LT		
140				5,243.58	37.454		5,730.20	486.62	1.311	75.18

MorganStanley
SmithBarney**Reserved
Client Statement**

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-73257-11 346**Common stocks & options** *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
8	DBS GROUP HLDG LTD SP ADR	DBSDY	08/12/08	\$ 421.84	\$ 52.73	\$ 38.73	\$ 309.84	(\$ 112.00) LT		
16			09/15/08	730.42	45.651	38.73	619.68	(110.74) LT		
45			03/26/09	1,041.54	23.145	38.73	1,742.85	701.31 LT		
27			10/22/09	1,009.80	37.40	38.73	1,045.71	35.91 LT		
28			11/09/09	1,124.20	40.15	38.73	1,084.44	(39.76) LT		
3			05/21/10	126.77	42.257	38.73	116.19	(10.58) LT		
14			05/24/10	544.60	38.90	38.73	542.22	(2.38) LT		
1			08/23/10	45.18	45.184	38.73	38.73	(6.45) LT		
88			10/08/10	3,893.12	44.24	38.73	3,408.24	(484.88) LT		
55			05/16/11	2,567.46	46.681	38.73	2,130.15	(437.31) ST		
285				11,504.93	40.368		11,038.06	(466.88)	4.443	490.49
134	GAFISA S A SPON ADR-USD	GFA	11/05/09	2,131.89	15.909	7.44	986.96	(1,134.93) LT		
46			11/27/09	752.10	16.35	7.44	342.24	(409.86) LT		
118			01/13/10	1,782.63	15.107	7.44	877.92	(904.71) LT		
158			10/08/10	2,690.42	17.028	7.44	1,175.52	(1,514.90) LT		
456				7,357.04	16.134		3,392.64	(3,964.40)	3.669	124.49
70	GAZPROM OAO SPONS ADR	OGZPY	10/23/07	1,622.25	23.175	11.61	812.70	(809.55) LT		
70			05/07/08	1,952.24	27.889	11.61	812.70	(1,139.54) LT		
104			10/13/08	938.60	9.025	11.61	1,207.44	268.84 LT		
92			10/22/09	1,221.76	13.28	11.61	1,068.12	(153.64) LT		
96			11/09/09	1,227.84	12.79	11.61	1,114.56	(113.28) LT		
334			10/08/10	3,675.67	11.005	11.61	3,877.74	202.07 LT		
123			08/16/11	1,458.78	11.86	11.61	1,428.03	(30.75) ST		
889				12,097.14	13.608		10,321.29	(1,775.85)	1.774	183.13
72	GRUPO TELEVISIA SA DE CV	TV	04/28/09	1,099.94	15.277	21.33	1,535.76	435.82 LT		
21	SPON ADR		10/22/09	427.77	20.37	21.33	447.93	20.16 LT		
33			11/27/09	676.50	20.50	21.33	703.89	27.39 LT		
66			10/08/10	1,453.32	22.02	21.33	1,407.78	(45.54) LT		
192				3,667.53	19.05		4,095.36	437.83	7.21	29.57
106	GRUPO FIN BANORTE SPON ADR	GBOOY	06/25/09	1,303.80	12.30	17.30	1,833.80	530.00 LT		
33			10/22/09	586.08	17.76	17.30	570.90	(15.18) LT		
33			11/09/09	576.51	17.47	17.30	570.90	(5.61) LT		
114			10/08/10	2,256.06	19.79	17.30	1,972.20	(283.86) LT		
286				4,722.45	16.512		4,947.80	225.35	1.075	53.20

MorganStanley
SmithBarneyReserved
Client Statement

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-73257-11 346

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
63	ICICI BANK LTD-SPONS ADR-INR	IBN	01/13/10	\$ 2,308.69	\$ 36.645	\$ 37.16	\$ 2,341.08	\$ 32.39 LT		
69			04/13/10	2,948.37	42.73	37.16	2,564.04	(384.33) LT		
83			10/08/10	4,262.05	51.35	37.16	3,084.28	(1,177.77) LT		
52			05/13/11	2,447.03	47.058	37.16	1,932.32	(514.71) ST		
267				11,966.14	44.817		9,921.72	(2,044.42)	1.671	165.81
127 8502	INDUSTRIAL & COML BK	IDCBY	03/12/10	1,922.38	15.066	12.37	1,581.51	(340.87) LT		
112 4089	CHINA-CNY SPONS ADR		10/08/10	1,726.75	15.392	12.37	1,390.50	(336.25) LT		
319 7409			11/11/10	5,653.66	17.717	12.37	3,955.19	(1,698.47) ST		
155			05/16/11	2,527.24	16.304	12.37	1,917.35	(609.89) ST		
715				11,830.03	16.645		8,844.55	(2,985.48)	3.718	328.90
4	INFOSYS LTD	INFY	11/27/09	203.92	50.98	58.59	234.36	30.44 LT		
57	SPONSORED ADR		10/08/10	3,960.36	69.48	58.59	3,339.63	(620.73) LT		
40			03/23/11	2,626.44	65.661	58.59	2,343.60	(282.84) ST		
76			03/29/11	5,366.19	70.607	58.59	4,452.84	(913.35) ST		
177				12,156.91	68.683		10,370.43	(1,786.48)	1.201	124.61
88	ITAU UNIBANCO BANCO HLDG	ITUB	05/05/09	1,224.00	13.909	19.12	1,682.56	458.56 LT		
26	S A ADR		10/22/09	542.62	20.87	19.12	497.12	(45.50) LT		
28			11/09/09	624.12	22.29	19.12	535.36	(88.76) LT		
94			10/08/10	2,410.16	25.64	19.12	1,797.28	(612.88) LT		
236				4,800.90	20.343		4,512.32	(288.58)	4.55	20.63
29	JSC MMC NORILSK NICKEL JSC	NILSY	10/23/07	825.05	28.45	19.25	558.25	(266.80) LT		
45	SON ADR		10/22/09	672.75	14.95	19.25	866.25	193.50 LT		
49			11/09/09	700.70	14.30	19.25	943.25	242.55 LT		
175			10/08/10	3,134.25	17.91	19.25	3,368.75	234.50 LT		
288				5,332.75	17.895		5,736.50	403.75	2.581	148.11
54	JOHNSON ELECTRIC HLDG SPON	JELCY	08/15/08	220.73	4.087	5.936	320.54	99.81 LT		
159	ADR -USD-		10/22/09	747.30	4.70	5.936	943.82	196.52 LT		
165			11/09/09	724.35	4.39	5.936	979.44	255.09 LT		
446			08/19/10	2,082.82	4.67	5.936	2,647.46	564.64 LT		
558			10/08/10	3,057.84	5.48	5.936	3,312.29	254.45 LT		
1,382				6,833.04	4.944		8,203.55	1,370.51	1.954	160.31
12	KB FINANCIAL GROUP INC ADR	KB	05/08/09	449.49	37.457	39.05	468.60	19.11 LT		
8			09/08/09	239.58		39.05	312.40	72.82 LT		
37			10/22/09	1,922.52	51.96	39.05	1,444.85	(477.67) LT		
36			11/09/09	1,883.16	52.31	39.05	1,405.80	(477.36) LT		

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-73257-11 346

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
16	KB FINANCIAL GROUP INC ADR	KB	11/27/09	\$ 789.28	\$ 49.33	\$ 39.05	\$ 624.80	(\$ 164.48) LT		
13			05/24/10	539.50	41.499	39.05	507.65	(31.85) LT		
77			10/08/10	3,619.77	47.01	39.05	3,006.85	(612.92) LT		
39			03/23/11	1,908.11	48.925	39.05	1,522.95	(385.16) ST		
238				11,351.41	47.695		9,293.90	(2,057.51)	194	18.09
112	MECHEL OAO	MTL	03/05/10	2,913.83	26.016	13.14	1,471.68	(1,442.15) LT		
61	SPONS ADR		10/08/10	1,518.90	24.90	13.14	801.54	(717.36) LT		
85			04/07/11	2,634.38	30.992	13.14	1,116.90	(1,517.48) ST		
69			08/16/11	1,335.39	19.353	13.14	906.66	(428.73) ST		
327				8,402.50	25.696		4,296.78	(4,105.72)	1,872	80.44
274	MTN GROUP LTD	MTNOY	12/10/10	5,135.12	18.741	17.60	4,822.40	(312.72) ST		
120	SPONSORED ADR		03/11/11	2,293.14	19.109	17.60	2,112.00	(181.14) ST		
144			08/16/11	2,799.76	19.442	17.60	2,534.40	(265.36) ST		
538				10,228.02	19.011		9,468.80	(759.22)	4,721	447.08
22	NASPERS LTD SPON ADR	NPSNY	02/12/08	414.70	18.85	48.00	1,056.00	641.30 LT		
26			10/22/09	977.34	37.59	48.00	1,248.00	270.66 LT		
28			11/09/09	1,073.80	38.35	48.00	1,344.00	270.20 LT		
44			10/08/10	2,137.52	48.58	48.00	2,112.00	(25.52) LT		
120				4,603.36	38.361		5,760.00	1,156.64	672	38.76
639	OGX PETROLEO E GAS	OGXPY	03/30/11	7,902.39	12.366	8.35	5,335.65	(2,566.74) ST		
163	PATICIPACOES SA		05/06/11	1,569.69	9.63	8.35	1,361.05	(208.64) ST		
802				9,472.08	11.811		6,696.70	(2,775.38)		
14	LUKOIL OIL SPONS ADR	LUKOY	10/23/07	1,180.90	84.35	57.70	807.80	(373.10) LT		
12			05/08/09	592.20	49.35	57.70	682.40	100.20 LT		
12			10/22/09	785.04	65.42	57.70	682.40	(92.64) LT		
13			11/09/09	789.75	60.75	57.70	750.10	(39.65) LT		
43			10/08/10	2,512.92	58.44	57.70	2,481.10	(31.82) LT		
91			03/11/11	6,334.51	69.61	57.70	5,250.70	(1,083.81) ST		
185				12,195.32	65.921		10,674.50	(1,520.82)	2,968	316.91
8	POSCO SPON ADR	PKX	10/23/07	1,309.92	163.74	85.92	687.36	(622.56) LT		
1			02/21/08	134.08	134.08	85.92	85.92	(48.16) LT		
9			05/08/09	791.89	87.988	85.92	773.28	(18.61) LT		
8			10/22/09	902.08	112.76	85.92	687.36	(214.72) LT		
10			11/09/09	1,170.30	117.03	85.92	859.20	(311.10) LT		
30			10/08/10	3,598.80	119.96	85.92	2,577.60	(1,021.20) LT		

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SmithBarneyReserved
Client Statement

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-73257-11 346

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
66	POSCO SPON ADR	PKX	03/23/11	\$ 7,399.52	\$ 112.113	\$ 85.92	\$ 5,670.72	(\$ 1,728.80) ST		
132				15,306.59	115.959		11,341.44	(3,965.15)	2.182	247.50
3	PETROLEO BRASILEIRO SA	PBR	10/23/07	122.62	40.875	27.01	81.03	(41.59) LT		
4	PETROBRAS		02/21/08	238.66	59.665	27.01	108.04	(130.62) LT		
53			10/13/08	1,536.77	28.995	27.01	1,431.53	(105.24) LT		
43			10/22/09	2,148.28	49.96	27.01	1,161.43	(886.85) LT		
44			11/09/09	2,237.40	50.85	27.01	1,188.44	(1,048.96) LT		
21			11/27/09	1,078.14	51.34	27.01	567.21	(510.93) LT		
91			10/08/10	3,124.94	34.34	27.01	2,457.91	(667.03) LT		
159			03/30/11	6,446.77	40.545	27.01	4,294.59	(2,152.18) ST		
418				16,933.58	40.511		11,290.18	(5,643.40)	556	62.70
131	PING AN INS GROUP CO CHINA LTD-CNY	PNGAY	03/12/10	2,188.05	16.702	14.76	1,933.56	(254.49) LT		
98			10/08/10	1,950.20	19.90	14.76	1,446.48	(503.72) LT		
198			11/12/10	4,741.17	23.945	14.76	2,922.48	(1,818.69) ST		
125			06/16/11	2,658.44	21.267	14.76	1,845.00	(813.44) ST		
552				11,537.86	20.902		8,147.52	(3,390.34)	914	74.52
332	SBERBANK SPONSORED ADR	SBRCY	06/28/11	4,802.25	14.464	10.75	3,569.00	(1,233.25) ST		
189			08/16/11	2,290.68	12.12	10.75	2,031.75	(258.93) ST		
521				7,092.93	13.614		5,600.75	(1,492.18)	1,097	61.48
60	SHOPRITE HOLDINGS UNSPON ADR	SRHGY	01/20/10	1,086.00	18.10	29.75	1,785.00	699.00 LT		
87			10/08/10	2,523.00	29.00	29.75	2,588.25	65.25 LT		
147				3,609.00	24.551		4,373.25	764.25	2,057	89.96
504	STERLITE INDUSTRIES INDIA LTD ADS	SLT	01/18/11	8,035.42	15.943	10.32	5,201.28	(2,834.14) ST	1,395	72.58
371,6791	TAIWAN SEMICONDUCTOR MFG CO LTD ADR	TSM	10/23/07	3,647.17	9.834	12.62	4,690.59	1,043.42 LT		
90,3208			08/08/08	927.63	10.293	12.62	1,139.85	212.22 LT		
167			10/22/09	1,696.39	10.158	12.62	2,107.54	411.15 LT		
172			11/09/09	1,705.81	9.917	12.62	2,170.64	464.83 LT		
82			11/27/09	844.60	10.30	12.62	1,034.84	190.24 LT		
523			10/08/10	5,396.84	10.319	12.62	6,600.26	1,203.42 LT		
1,406				14,218.44	10.113		17,743.72	3,525.28	3,288	583.49
160	TELEF BRASIL	VIV	07/06/11	4,680.45	29.252	29.02	4,643.20	(37.25) ST		
57			08/16/11	1,675.30	29.391	29.02	1,654.14	(21.16) ST		
62			10/18/11	1,730.36	27.909	29.02	1,799.24	68.88 ST		
279				8,086.11	28.982		8,096.68	10.47	6.85	554.65

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Client Statement

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-73257-11 346

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
112	TENCENT HLDGS LTD-HKD	TCEHY	11/05/09	\$ 2,039.28	\$ 18.207	\$ 23.16	\$ 2,593.92	\$ 554.64 LT		
39			11/27/09	713.70	18.30	23.16	903.24	189.54 LT		
80			10/08/10	1,787.20	22.34	23.16	1,862.80	65.60 LT		
231				4,540.18	19.654		5,349.96	809.78	263	14.08
31	TIGER BRANDS LTD	TBLMY	03/26/09	448.25	14.459	29.00	899.00	450.75 LT		
22	SPONSORED ADR NEW		10/22/09	460.24	20.92	29.00	638.00	177.76 LT		
34			11/27/09	759.90	22.35	29.00	986.00	226.10 LT		
69			10/08/10	1,879.56	27.24	29.00	2,001.00	121.44 LT		
156				3,547.95	22.743		4,524.00	976.05	2,493	112.79
1,195	TURKIVE GARANTI BANKASI	TKGBY	01/19/11	5,920.63	4.954	3.54	4,230.30	(1,690.33) ST		
240	A S SPONSORED ADR		10/31/11	864.00	3.60	3.54	849.60	(14.40) ST		
1,435				6,784.63	4.728		5,079.90	(1,704.73)	1,864	94.71
85	VALE SA SPON ADR	VALEP	10/23/07	2,403.80	28.28	23.60	2,066.00	(337.80) LT		
6	REPSTG 1 CLASS A PFD		02/21/08	176.76	29.46	23.60	141.60	(35.16) LT		
44			09/15/08	873.40	19.85	23.60	1,038.40	165.00 LT		
52			10/13/08	633.26	12.178	23.60	1,227.20	593.94 LT		
68			10/22/09	1,632.00	24.00	23.60	1,604.80	(27.20) LT		
71			11/09/09	1,809.08	25.48	23.60	1,675.60	(133.48) LT		
34			11/27/09	846.60	24.90	23.60	802.40	(44.20) LT		
214			10/08/10	6,141.63	28.699	23.60	5,050.40	(1,091.23) LT		
574				14,516.53	25.29		13,546.40	(970.13)	1,572	212.95
414 5561	WEICHAI POWER CO UNSPON	WEICY	03/11/11	10,651.43	25.721	20.39	8,452.80	(2,198.63) ST		
52 4439	ADR		04/07/11	1,454.79	27.769	20.39	1,069.33	(385.46) ST		
96			08/16/11	1,820.39	18.962	20.39	1,957.44	137.05 ST		
583				13,926.61	24.736		11,479.57	(2,447.04)	1,068	122.73
				\$ 404,758.00			\$ 360,739.24	(\$ 29,387.03) ST	2.15	\$ 7,790.79
								(\$ 14,632.73) LT		

Total common stocks and options

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Client Statement**

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-73257-11 346**Exchange traded & closed end funds**

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
602	ISHARES INC MSCI TAIWAN	EWT	03/11/11	\$ 8,722.92	\$ 14.489	\$ 12.945	\$ 7,792.89	(\$ 930.03) ST		
605	INDEX FD		05/13/11	9,425.90	15.58	12.945	7,831.73	(1,594.17) ST		
1,207	Equity portfolio			18,148.82	15.036		15,624.62	(2,524.20)	2.24	350.03
236	ISHARES MSCI SOUTH KOREA	EWY	03/11/11	13,593.08	57.597	55.40	13,074.40	(518.68) ST		
82	INDEX FUND		03/25/11	5,105.59	62.263	55.40	4,542.80	(562.79) ST		
318	Equity portfolio			18,698.67	58.801		17,617.20	(1,081.47)	8.95	157.73
Total closed end fund equity allocation							\$ 33,241.82			
Total exchange traded funds and closed end funds				\$ 36,847.49			\$ 33,241.82	(\$ 3,605.67) ST	15.2	
								\$ 0.00 LT		\$ 507.76

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EIN 16-0849923**Reserved
Client Statement**

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC.

Account number 356-74707-15 346

Common stocks & options

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
58	NABORS INDUSTRIES LTD-USD	NBR	10/22/10	\$ 1,122 27	\$ 19 349	\$ 18 33	\$ 1,063 14	(\$ 59 13) LT		
42	NEW		11/03/10	872 97	20 785	18 33	769 86	(103 11) ST		
38			12/02/10	888 52	23 382	18 33	696 54	(191 98) ST		
40			12/10/10	910 76	22 768	18 33	733 20	(177 56) ST		

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SmithBarney**Reserved
Client Statement**

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number **356-74707-15 346****Common stocks & options** continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
40	NABORS INDUSTRIES LTD-USD	NBR	12/23/10	\$ 901.50	\$ 22.537	\$ 18.33	\$ 733.20	(\$ 168.30) ST		
44	NEW		01/26/11	1,011.78	22.995	18.33	806.52	(205.26) ST		
32			03/29/11	941.32	29.416	18.33	586.56	(354.76) ST		
36			05/03/11	1,058.91	29.414	18.33	659.88	(399.03) ST		
38			05/23/11	1,001.09	26.344	18.33	696.54	(304.55) ST		
4			06/15/11	100.80	25.20	18.33	73.32	(27.48) ST		
372				8,809.92	23.683		6,818.76	(1,991.16)		
172	SEAGATE TECHNOLOGY PLC	STX	11/30/10	2,299.30	13.368	16.15	2,777.80	478.50 ST		
60			12/03/10	899.53	14.992	16.15	969.00	69.47 ST		
63			12/17/10	918.40	14.577	16.15	1,017.45	99.05 ST		
67			01/12/11	956.26	14.272	16.15	1,082.05	125.79 ST		
61			06/15/11	946.73	15.52	16.15	985.15	38.42 ST		
423				6,020.22	14.232		6,831.45	811.23	4.458	304.56
57	AGCO CORP	AGCO	08/04/11	2,415.87	42.383	43.83	2,498.31	82.44 ST		
20			08/05/11	808.21	40.41	43.83	876.60	68.39 ST		
77				3,224.08	41.871		3,374.91	150.83		
71	ACTUANT CORP CLASS A	ATU	07/15/11	1,837.15	25.875	22.50	1,587.50	(239.65) ST		
37			08/02/11	876.41	23.686	22.50	832.50	(43.91) ST		
108				2,713.56	25.128		2,430.00	(283.56)	177	4.32
39	AIRGAS INC	ARG	02/11/11	2,493.19	63.927	68.95	2,689.05	195.86 ST		
17			02/16/11	1,066.71	62.747	68.95	1,172.15	105.44 ST		
13			03/30/11	861.44	66.264	68.95	896.35	34.91 ST		
16			04/01/11	1,069.36	66.835	68.95	1,103.20	33.84 ST		
14			08/05/11	872.19	62.299	68.95	965.30	93.11 ST		
99				6,362.89	64.272		6,826.05	463.16	1.856	126.72
19	ALERE INC	ALR	08/20/10	546.94	28.786	26.06	495.14	(51.80) LT		
25			08/23/10	714.88	28.595	26.06	651.50	(63.38) LT		
27			08/24/10	760.76	28.176	26.06	703.62	(57.14) LT		
27			10/11/10	827.61	30.652	26.06	703.62	(123.99) LT		
30			11/01/10	847.94	28.264	26.06	781.80	(66.14) ST		
28			12/13/10	967.74	34.562	26.06	729.68	(238.06) ST		
156				4,665.87	29.909		4,065.36	(600.51)		
23	ALLEGHENY TECHNOLOGIES INC	ATI	06/20/11	1,373.62	59.722	46.40	1,067.20	(306.42) ST		
14			07/05/11	881.85	62.989	46.40	649.60	(232.25) ST		
15			07/20/11	962.09	64.139	46.40	696.00	(266.09) ST		

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Client Statement**
October 1 - October 31, 2011**HARRY DENT FAMILY FDTN., INC.** Account number 356-74707-15 346**Common stocks & options** continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
16	ALLEGHENY TECHNOLOGIES INC	ATI	08/03/11	\$ 851.60	\$ 53.225	\$ 46.40	\$ 742.40	(\$ 109.20) ST		
68				4,069.16	59.841		3,155.20	(913.96)	1.551	48.96
87	ALLSCRIPTS HEALTHCARE	MDRX	05/26/11	1,761.10	20.242	19.15	1,666.05	(95.05) ST		
101	SOLUTIONS INC		05/31/11	2,029.36	20.092	19.15	1,934.15	(95.21) ST		
94			07/07/11	1,919.68	20.422	19.15	1,800.10	(119.58) ST		
282				5,710.14	20.249		5,400.30	(309.84)		
28	AVIS BUDGET GROUP INC	CAR	09/29/10	320.98	11.463	14.10	394.80	73.82 LT		
72			11/04/10	875.02	12.153	14.10	1,015.20	140.18 ST		
64			01/26/11	918.94	14.358	14.10	902.40	(16.54) ST		
45			07/07/11	794.37	17.652	14.10	634.50	(159.87) ST		
57			07/19/11	899.88	15.787	14.10	803.70	(96.18) ST		
59			08/19/11	693.38	11.752	14.10	831.90	138.52 ST		
325				4,502.57	13.854		4,582.50	79.93		
8	BALLY TECHNOLOGIES INC	BYI	03/08/10	312.52	39.065	36.27	290.16	(22.36) LT		
20			04/06/10	823.01	41.15	36.27	725.40	(97.61) LT		
27			08/04/10	870.68	32.247	36.27	979.29	108.61 LT		
11			08/13/10	355.57	32.324	36.27	398.97	43.40 LT		
22			08/16/10	719.48	32.703	36.27	797.94	78.46 LT		
21			08/24/10	676.57	32.217	36.27	761.67	85.10 LT		
24			10/28/10	854.32	35.596	36.27	870.48	16.16 LT		
133				4,612.15	34.678		4,823.91	211.76		
100	BANKUNITED INC	BKU	01/28/11	2,848.46	28.484	21.79	2,179.00	(669.46) ST		
34			02/04/11	966.05	28.413	21.79	740.86	(225.19) ST		
4			04/01/11	115.15	28.787	21.79	87.16	(27.98) ST		
8			04/13/11	230.54	28.818	21.79	174.32	(56.22) ST		
24			04/14/11	694.99	28.958	21.79	522.96	(172.03) ST		
170				4,855.19	28.56		3,704.30	(1,150.89)	2.569	95.20
63	BROCADE COMMUNICATIONS SYSTEMS INC-NEW	BRCD	03/25/10	357.15	5.669	4.38	275.94	(81.21) LT		
135			03/31/10	778.76	5.768	4.38	591.30	(187.46) LT		
306			07/13/10	1,594.05	5.209	4.38	1,340.28	(253.77) LT		
187			08/19/10	912.63	4.88	4.38	819.06	(93.57) LT		
149			08/25/10	715.01	4.798	4.38	652.62	(62.39) LT		
139			10/25/10	821.74	5.911	4.38	608.82	(212.92) LT		
153			11/08/10	934.20	6.105	4.38	670.14	(264.06) ST		
156			11/23/10	828.42	5.31	4.38	683.28	(145.14) ST		

MorganStanley
SmithBarney**Reserved
Client Statement**

October 1 - October 31, 2011

HARRY DENT FAMILY FDN., INC. Account number 356-74707-15 346**Common stocks & options** continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
172	BROCADE COMMUNICATIONS SYSTEMS INC-NEW	BRCD	12/31/10	\$ 910.55	\$ 5.293	\$ 4.38	\$ 753.36	(\$ 157.19)	ST	
180			08/03/11	941.65	5.231	4.38	788.40	(153.25)	ST	
1,840				8,794.16	5.362		7,183.20	(1,610.96)		
35	CAMDEN PROPERTY TRUST SBI	CPT	10/03/11	1,942.51	55.50	60.64	2,122.40	179.89	ST	
12			10/04/11	632.29	52.69	60.64	727.68	95.39	ST	
47				2,574.80	54.783		2,850.08	275.28		3,232
43	CAREFUSION CORP	CFN	12/13/10	1,038.07	24.141	25.60	1,100.80	62.73	ST	
58			12/14/10	1,421.29	24.505	25.60	1,484.80	63.51	ST	
75			12/15/10	1,822.91	24.305	25.60	1,920.00	97.09	ST	
37			12/16/10	901.67	24.369	25.60	947.20	45.53	ST	
32			12/30/10	830.77	25.961	25.60	819.20	(11.57)	ST	
38			01/14/11	1,017.78	26.783	25.60	972.80	(44.98)	ST	
283				7,032.49	24.85		7,244.80	212.31		
79	CINEMARK HOLDINGS INC	CNK	04/15/11	1,528.15	19.343	20.67	1,632.93	104.78	ST	
10			04/18/11	192.29	19.229	20.67	206.70	14.41	ST	
53			04/26/11	1,029.01	19.415	20.67	1,095.51	66.50	ST	
45			05/10/11	929.17	20.648	20.67	930.15	98	ST	
39			08/20/11	800.06	20.514	20.67	806.13	6.07	ST	
226				4,478.68	19.817		4,671.42	192.74		4,063
67	COMERICA INC	CMA	11/09/09	1,872.65	27.95	25.55	1,711.85	(160.80)	LT	
33			11/23/09	949.08	28.76	25.55	843.15	(105.93)	LT	
19			11/27/09	536.75	28.25	25.55	485.45	(51.30)	LT	
42			01/18/11	1,662.93	39.593	25.55	1,073.10	(589.83)	ST	
25			02/02/11	968.52	38.74	25.55	638.75	(329.77)	ST	
26			02/14/11	1,038.09	39.926	25.55	664.30	(373.79)	ST	
24			03/17/11	888.94	37.039	25.55	613.20	(275.74)	ST	
29			07/12/11	964.24	33.249	25.55	740.95	(223.29)	ST	
31			10/28/11	803.76	25.927	25.55	792.05	(11.71)	ST	
298				9,684.96	32.719		7,562.80	(2,122.16)		1,566
8	COMSTOCK RES INC NEW	CRK	02/05/10	290.81	36.35	18.24	145.92	(144.89)	LT	
24			04/22/10	816.66	34.027	18.24	437.76	(378.90)	LT	
29			05/05/10	903.64	31.16	18.24	528.96	(374.68)	LT	
26			05/20/10	744.57	28.637	18.24	474.24	(270.33)	LT	
33			05/04/11	943.48	28.59	18.24	601.92	(341.56)	ST	

MorganStanley
SmithBarneyHARRY DENT FAMILY FOUNDATION
EIN 16-0849923**Reserved
Client Statement**

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-74707-15 346**Common stocks & options** continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
36	COMSTOCK RES INC NEW	CRK	05/05/11	\$ 1,012.42	\$ 28.122	\$ 18.24	\$ 656.64	(\$ 355.78)	ST	
166				4,711.58	30.202		2,845.44	(1,866.14)		
39	CONSTELLATION BRANDS INC CL A	STZ	11/09/09	633.61	16.246	20.22	788.58	154.97	LT	
56			11/23/09	981.12	17.52	20.22	1,132.32	151.20	LT	
27			11/27/09	459.00	17.00	20.22	545.94	86.94	LT	
47			03/19/10	758.39	16.136	20.22	950.34	191.95	LT	
49			01/12/11	944.66	19.278	20.22	990.78	46.12	ST	
218				3,776.78	17.325		4,407.96	631.18		
20	DAVITA INC	DVA	08/08/11	1,490.76	74.538	70.00	1,400.00	(90.76)	ST	
10			08/15/11	735.56	73.555	70.00	700.00	(35.56)	ST	
9			08/20/11	668.12	74.235	70.00	630.00	(38.12)	ST	
11			08/29/11	697.92	63.447	70.00	770.00	72.08	ST	
13			10/06/11	824.13	63.394	70.00	910.00	85.87	ST	
63				4,416.49	70.103		4,410.00	(6.49)		
161	DIAMONDROCK HOSPITALITY CO	DRH	07/26/10	1,533.53	9.525	9.05	1,457.05	(76.48)	LT	
82			08/11/10	754.81	9.205	9.05	742.10	(12.71)	LT	
77			08/30/10	692.85	8.998	9.05	696.85	4.00	LT	
89			03/16/11	935.53	10.511	9.05	805.45	(130.08)	ST	
102			07/26/11	1,051.77	10.311	9.05	923.10	(128.67)	ST	
511				4,968.49	9.723		4,624.65	(343.84)		3.535
29	DIGITAL RIVER INC	DRIV	03/08/10	847.95	29.239	18.33	531.57	(316.38)	LT	
25			03/12/10	770.29	30.811	18.33	458.25	(312.04)	LT	
15			05/06/10	404.51	26.967	18.33	274.95	(129.56)	LT	
11			05/07/10	299.68	27.243	18.33	201.63	(98.05)	LT	
43			01/28/11	1,394.06	32.42	18.33	768.19	(605.87)	ST	
9			03/11/11	304.24	33.804	18.33	164.97	(139.27)	ST	
7			03/15/11	233.34	33.333	18.33	128.31	(105.03)	ST	
33			04/18/11	1,153.97	34.968	18.33	604.89	(549.08)	ST	
28			04/29/11	947.54	33.84	18.33	513.24	(434.30)	ST	
38			08/02/11	988.61	26.016	18.33	696.54	(292.07)	ST	
238				7,344.19	30.858		4,362.54	(2,981.65)		
36	DOLBY LABORATORIES INC	DLB	07/11/11	1,476.96	41.026	29.24	1,052.64	(424.32)	ST	
33	CLASS A		07/12/11	1,359.27	41.19	29.24	964.92	(394.35)	ST	
20			07/15/11	841.11	42.055	29.24	594.80	(256.31)	ST	
89				3,677.34	41.318		2,602.36	(1,074.98)		

**Reserved
Client Statement**

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. **Account number 356-74707-15 346**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
228	EXCO RESOURCES INC-NEW	XCO	07/21/11	\$ 3,738.68	\$ 16.397	\$ 12.61	\$ 2,875.08	(\$ 863.60)	ST	
55			07/28/11	898.91	16.343	12.61	693.55	(205.36)	ST	
54			07/29/11	873.51	16.176	12.61	680.94	(192.57)	ST	
337				5,511.10	16.353		4,249.57	(1,261.53)	1.268	53.92
16	ENERSYS	ENS	11/23/09	385.92	24.12	22.53	360.48	(25.44)	LT	
6			11/27/09	138.42	23.07	22.53	135.18	(3.24)	LT	
11			03/01/10	254.58	23.143	22.53	247.83	(6.75)	LT	
22			03/02/10	524.40	23.836	22.53	495.66	(28.74)	LT	
31			08/16/10	697.25	22.492	22.53	698.43	1.18	LT	
29			02/02/11	953.92	32.893	22.53	653.37	(300.55)	ST	
30			05/05/11	1,069.50	35.65	22.53	675.90	(393.60)	ST	
31			06/08/11	995.27	32.105	22.53	698.43	(296.84)	ST	
176				5,019.26	28.519		3,965.28	(1,053.98)		
14	ESTERLINE TECHNOLOGIES CORP	ESL	08/01/11	1,069.21	76.371	55.90	782.60	(286.61)	ST	
13			08/02/11	1,002.57	77.12	55.90	726.70	(275.87)	ST	
11			08/03/11	810.40	73.672	55.90	614.90	(195.50)	ST	
11			08/12/11	744.50	67.681	55.90	614.90	(129.60)	ST	
49				3,626.68	74.014		2,739.10	(887.58)		
50	GAYLORD ENTERTAINMENT CO	GET	07/14/10	1,365.44	27.308	23.39	1,169.50	(195.94)	LT	
28	NEW		08/20/10	758.61	27.093	23.39	654.92	(103.69)	LT	
21			11/03/10	703.82	33.515	23.39	491.19	(212.63)	ST	
26			11/17/10	836.72	32.181	23.39	608.14	(228.58)	ST	
31			08/03/11	841.98	27.16	23.39	725.09	(116.89)	ST	
30			10/27/11	731.69	24.389	23.39	701.70	(29.99)	ST	
186				5,238.26	28.163		4,350.54	(887.72)		
110	HANCOCK HOLDING CO	HRHC	03/22/11	3,588.42	32.622	30.30	3,333.00	(255.42)	ST	
15			04/01/11	505.05	33.67	30.30	454.50	(50.55)	ST	
14			04/04/11	472.81	33.772	30.30	424.20	(48.61)	ST	
22			04/14/11	715.99	32.545	30.30	666.60	(49.39)	ST	
10			04/15/11	326.21	32.621	30.30	303.00	(23.21)	ST	
30			07/12/11	915.19	30.506	30.30	909.00	(6.19)	ST	
26			08/11/11	740.27	28.471	30.30	787.80	47.53	ST	
227				7,263.94	32.00		6,878.10	(385.84)	3.168	217.92
50	HARSCO CORP	HSC	03/15/11	1,613.64	32.272	23.05	1,152.50	(461.14)	ST	
17			04/27/11	594.62	34.977	23.05	391.85	(202.77)	ST	

**Reserved
Client Statement**

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-74707-15 346**Common stocks & options** *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
12	HARSCO CORP	HSC	04/28/11	\$ 430.39	\$ 35.866	\$ 23.05	\$ 276.60	(\$ 153.79) ST		
32			06/10/11	967.82	30.244	23.05	737.60	(230.22) ST		
111				3,606.47	32.491		2,558.55	(1,047.92)	3.557	91.02
84	HOLOGIC INC	HOLX	11/09/09	1,288.23	15.336	16.12	1,354.08	65.85 LT		
18			11/09/09	277.07	15.392	16.12	230.16	13.09 LT		
13			11/10/09	201.72	15.517	16.12	209.56	7.84 LT		
33			11/18/09	503.77	15.265	16.12	531.96	28.19 LT		
65			11/23/09	949.00	14.60	16.12	1,047.80	98.80 LT		
34			11/27/09	494.02	14.53	16.12	548.08	54.06 LT		
46			04/08/10	806.30	17.528	16.12	741.52	(64.78) LT		
47			04/21/10	851.49	18.116	16.12	757.64	(93.85) LT		
49			05/05/10	792.54	16.174	16.12	789.88	(2.66) LT		
48			09/27/10	774.87	16.143	16.12	773.76	(1.11) LT		
53			10/14/10	860.24	16.23	16.12	854.36	(5.88) LT		
52			11/10/10	872.94	16.787	16.12	838.24	(34.70) ST		
542				8,672.19	16.00		8,737.04	64.85		
37	ICON PLC SPONSORED ADR	ICLR	01/04/11	811.92	21.943	16.80	621.60	(190.32) ST		
33			01/07/11	723.49	21.923	16.80	554.40	(169.09) ST		
25			01/18/11	580.91	23.236	16.80	420.00	(160.91) ST		
8			01/19/11	187.14	23.391	16.80	134.40	(52.74) ST		
20			01/20/11	467.33	23.366	16.80	336.00	(131.33) ST		
40			01/26/11	912.85	22.821	16.80	672.00	(240.85) ST		
36			02/09/11	792.21	22.005	16.80	604.80	(187.41) ST		
9			02/10/11	198.52	22.057	16.80	151.20	(47.32) ST		
48			02/24/11	961.85	20.038	16.80	806.40	(155.45) ST		
37			08/30/11	773.62	20.908	16.80	621.60	(152.02) ST		
293				6,408.84	21.877		4,922.40	(1,487.44)		
362	INTERPUBLIC GROUP OF COS INC	IPG	09/15/11	2,903.38	8.02	9.48	3,431.76	528.38 ST		
88			10/14/11	700.77	7.963	9.48	834.24	133.47 ST		
450				3,604.15	8.009		4,266.00	661.85	2.531	108.00
136	JDS UNIPHASE CORP NEW	JDSU	10/18/11	1,434.26	10.546	12.00	1,632.00	197.74 ST		
67			10/20/11	689.81	10.295	12.00	804.00	114.19 ST		
72			10/25/11	756.25	10.503	12.00	864.00	107.75 ST		
70			10/26/11	737.57	10.536	12.00	840.00	102.43 ST		
64			10/27/11	726.26	11.347	12.00	768.00	41.74 ST		

Reserved
Client Statement

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-74707-15 346

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
65	JDS UNIPHASE CORP NEW	JDSU	10/28/11	\$ 788 35	\$ 12 128	\$ 12 00	\$ 780 00	(\$ 8 35) ST		
474				5,132 50	10,828		5,688.00	555 50		
17	KANSAS CITY SOUTHERN INDS NEW	KSU	11/09/09	490 11	28 83	63 17	1,073 89	583 78 LT		
25			11/23/09	719 75	28 79	63 17	1,579 25	859 50 LT		
13			11/27/09	370 75	28 519	63 17	821 21	450 46 LT		
15			08/19/11	717 57	47 838	63 17	947 55	229 98 ST		
70				2,298 18	32 831		4,421 90	2,123 72		
48	LEAP WIRELESS INTERNATIONAL INC NEW	LEAP	11/03/10	541 00	11 27	6 95	333 60	(207 40) ST		
76			11/09/10	869 55	11 441	6 95	528 20	(341 35) ST		
76			11/16/10	875 34	11 517	6 95	528 20	(347 14) ST		
82			12/07/10	909 15	11 087	6 95	569 90	(339 25) ST		
282				3,195 04	11 33		1,959.90	(1,235.14)		
60	LEAR CORP	LEA	05/05/11	3,023 40	50 39	46 91	2,814 60	(208 80) ST		
20			05/26/11	981 98	49 099	46 91	938 20	(43 78) ST		
16			06/15/11	777 28	48 58	46 91	750 56	(26 72) ST		
17			07/18/11	865 20	50 894	46 91	797 47	(67 73) ST		
18			08/10/11	758 92	42 162	46 91	844 38	85 46 ST		
17			10/31/11	799 26	47 015	46 91	797 47	(1 79) ST		
148				7,208 04	48 889		6,942.68	(263 36)	1 065	74 00
76	LEGG MASON INC	LM	02/04/11	2,633 56	34 652	27 50	2,090 00	(543 56) ST		
26			02/25/11	937 50	36 057	27 50	715 00	(222 50) ST		
29			03/11/11	988 26	34 078	27 50	797 50	(190 76) ST		
30			03/15/11	1,000 01	33 333	27 50	825 00	(175 01) ST		
161				5,559 33	34 53		4,427 50	(1,131 83)	1 163	51 52
130	MANITOWOC CO INC	MTW	12/14/10	1,708 64	13 151	11 08	1,440 40	(269 24) ST		
48			02/17/11	1,007 04	20 979	11 08	531 84	(475 20) ST		
48			04/29/11	1,049 13	21 856	11 08	531 84	(517 29) ST		
55			05/17/11	972 34	17 678	11 08	609 40	(362 94) ST		
58			06/06/11	958 92	16 533	11 08	642 64	(316 28) ST		
59			06/13/11	917 69	15 554	11 08	653 72	(263 97) ST		
398				6,614 76	16 62		4,409 84	(2,204 92)	722	31 84
47	MASCO CORP DE	MAS	10/25/10	525 14	11 173	9 60	451 20	(73 94) LT		
78			11/23/10	847 79	10 869	9 60	748 80	(98 99) ST		
68			12/10/10	915 89	13 468	9 60	652 80	(263 09) ST		
193				2,288 82	11 859		1,852 80	(436 02)	3 125	57 80

**Reserved
Client Statement**

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-74707-15 346**Common stocks & options** *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
59	MONSTER WORLDWIDE INC	MMW	08/12/10	\$ 697.45	\$ 11.821	\$ 9.23	\$ 544.57	(\$ 152.88)	LT	
64			08/19/10	709.78	11.09	9.23	590.72	(119.06)	LT	
70			09/29/10	897.09	12.815	9.23	646.10	(250.99)	LT	
54			01/28/11	922.88	17.09	9.23	498.42	(424.46)	ST	
58			04/04/11	963.77	16.616	9.23	535.34	(428.43)	ST	
58			04/27/11	1,020.65	17.597	9.23	535.34	(485.31)	ST	
363				5,211.62	14.357		3,350.49	(1,861.13)		
85	MYRIAD GENETICS INC	MYGN	10/12/10	1,530.19	18.002	21.28	1,808.80	278.61	LT	
41			10/25/10	820.64	20.015	21.28	872.48	51.84	LT	
39			11/01/10	771.78	19.789	21.28	829.92	58.14	ST	
52			03/28/11	1,024.39	19.699	21.28	1,106.56	82.17	ST	
50			03/31/11	1,002.01	20.04	21.28	1,064.00	61.99	ST	
50			04/08/11	1,012.89	20.257	21.28	1,064.00	51.11	ST	
317				6,161.90	19.438		6,745.76	583.86		
61	NII HLDGS INC CLASS B NEW	NIHD	11/09/09	1,764.66	28.929	23.53	1,435.33	(329.33)	LT	
47			11/23/09	1,461.23	31.09	23.53	1,105.91	(355.32)	LT	
24			11/27/09	729.60	30.40	23.53	564.72	(164.88)	LT	
22			10/18/10	820.72	37.305	23.53	517.66	(303.06)	LT	
21			11/16/10	827.30	39.395	23.53	494.13	(333.17)	ST	
23			02/03/11	935.12	40.657	23.53	541.19	(393.93)	ST	
198				6,538.63	33.023		4,658.94	(1,879.69)		
14	NAVISTAR INTL CORP NEW	NAV	08/20/10	635.01	45.357	42.07	588.98	(46.03)	LT	
16			08/23/10	724.98	45.311	42.07	673.12	(51.86)	LT	
19			08/27/10	815.09	42.899	42.07	799.33	(15.76)	LT	
15			04/14/11	982.17	65.477	42.07	631.05	(351.12)	ST	
17			07/14/11	930.54	54.737	42.07	715.19	(215.35)	ST	
17			08/01/11	865.03	50.883	42.07	715.19	(149.84)	ST	
98				4,952.82	50.539		4,122.86	(829.96)		
63	NISOURCE INC	NI	09/27/11	1,371.54	21.77	22.09	1,391.67	20.13	ST	
33			09/29/11	707.18	21.429	22.09	728.97	21.79	ST	
64			10/12/11	1,388.95	21.702	22.09	1,413.76	24.81	ST	
160				3,467.67	21.673		3,534.40	66.73		4.164 147.20
26	OWENS ILLINOIS INC NEW	OI	02/04/10	676.99	26.038	20.08	522.08	(154.91)	LT	
27			05/20/10	784.83	29.067	20.08	542.16	(242.67)	LT	
25			07/01/10	664.77	26.59	20.08	502.00	(162.77)	LT	

MorganStanley
SmithBarneyReserved
Client Statement

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-74707-15 346

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
29	OWENS ILLINOIS INC NEW	OI	08/20/10	\$ 775.63	\$ 26.745	\$ 20.08	\$ 582.32	(\$ 193.31) LT		
28			10/08/10	763.22	27.257	20.08	562.24	(200.98) LT		
31			10/27/10	866.33	27.946	20.08	622.48	(243.85) LT		
30			11/08/10	870.46	29.015	20.08	602.40	(268.06) ST		
28			12/21/10	853.28	30.474	20.08	562.24	(291.04) ST		
38			04/27/11	1,159.56	30.514	20.08	763.04	(396.52) ST		
262				7,415.07	28.302		5,260.96	(2,154.11)		
79	PMC SIERRA INC	PMCS	01/28/11	628.08	7.95	6.34	500.86	(127.22) ST		
129			06/23/11	900.57	6.981	6.34	817.86	(82.71) ST		
127			06/28/11	931.44	7.334	6.34	805.18	(126.26) ST		
129			08/02/11	890.19	6.90	6.34	817.86	(72.33) ST		
126			08/12/11	722.77	5.736	6.34	798.84	76.07 ST		
124			10/31/11	790.26	6.373	6.34	786.16	(4.10) ST		
714				4,863.31	6.811		4,526.76	(336.55)		
58	PATTERSON UTI ENERGY INC	PTEN	07/07/11	1,887.69	32.546	20.32	1,178.56	(709.13) ST		
28			07/15/11	922.57	32.948	20.32	568.96	(353.61) ST		
31			08/08/11	782.26	25.234	20.32	629.92	(152.34) ST		
35			10/31/11	735.26	21.007	20.32	711.20	(24.06) ST		
152				4,327.78	28.472		3,088.64	(1,239.14)	984	30.40
43	PENN NATIONAL GAMING INC	PENN	12/09/10	1,562.37	36.334	36.00	1,548.00	(14.37) ST		
27			12/22/10	934.39	34.607	36.00	972.00	37.61 ST		
29			01/06/11	982.20	33.868	36.00	1,044.00	61.80 ST		
28			04/14/11	1,037.28	37.045	36.00	1,008.00	(29.28) ST		
24			07/05/11	993.12	41.38	36.00	864.00	(129.12) ST		
24			08/05/11	897.76	37.406	36.00	864.00	(33.76) ST		
175				6,407.12	36.612		6,300.00	(107.12)		
67	PINNACLE ENTMT INC	PNK	08/03/10	733.54	10.948	11.32	758.44	24.90 LT		
73			02/23/11	979.21	13.413	11.32	826.36	(152.85) ST		
140				1,712.75	12.234		1,584.80	(127.95)		
85	RF MICRO DEVICES INC	RFMD	05/03/11	536.84	6.315	7.34	623.90	87.06 ST		
58			05/04/11	358.83	6.186	7.34	425.72	66.89 ST		
148			06/20/11	786.10	5.311	7.34	1,086.32	300.22 ST		
291				1,681.77	5.779		2,135.94	454.17		

HARRY DENT FAMILY FDN., INC. Account number 356-74707-15 346

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
34	RALCORP HLDGS INC NEW	RAH	10/05/11	\$ 2,597.29	\$ 76.39	\$ 80.84	\$ 2,748.56	\$ 151.27	ST	
9			10/07/11	696.08	77.342	80.84	727.56	31.48	ST	
43				3,293.37	76.59		3,476.12	182.75		
26	REGAL-BELOIT CORP	RBC	05/26/11	1,760.25	67.702	53.13	1,381.38	(378.87)	ST	
15			06/02/11	1,002.65	66.843	53.13	796.95	(205.70)	ST	
15			06/03/11	1,000.12	66.674	53.13	796.95	(203.17)	ST	
14			07/06/11	959.09	68.506	53.13	743.82	(215.27)	ST	
13			07/15/11	867.62	66.739	53.13	690.69	(176.93)	ST	
83				5,689.73	67.346		4,409.79	(1,179.94)		1 355 59.76
95	RIVERBED TECHNOLOGY INC	RVBD	10/17/11	2,165.38	22.688	27.58	2,620.10	454.72	ST	
73	SBA COMMUNICATIONS CORP	SBAC	11/09/09	2,245.48	30.76	38.09	2,780.57	535.09	LT	
36			11/23/09	1,155.24	32.09	38.09	1,371.24	216.00	LT	
17			11/27/09	538.73	31.69	38.09	647.53	108.80	LT	
27			06/15/11	990.06	36.669	38.09	1,028.43	38.37	ST	
21			08/08/11	722.07	34.384	38.09	799.89	77.82	ST	
21			08/12/11	722.81	34.419	38.09	799.89	77.08	ST	
195				6,374.39	32.689		7,427.55	1,053.16		
21	SL GREEN REALTY CORP	SLG	08/16/11	1,539.11	73.291	68.99	1,448.79	(90.32)	ST	
22			08/18/11	1,523.87	69.266	68.99	1,517.78	(6.09)	ST	
10			08/22/11	662.10	66.21	68.99	689.90	27.80	ST	
11			08/30/11	765.30	69.572	68.99	758.89	(6.41)	ST	
10			09/01/11	716.19	71.619	68.99	689.90	(26.29)	ST	
12			09/22/11	739.30	61.608	68.99	827.88	88.58	ST	
86				5,945.87	68.138		5,833.14	(12.73)		579 34.40
81	SEALED AIR CORP -NEW-	SEE	07/21/11	1,859.49	22.956	17.80	1,441.80	(417.69)	ST	
40			08/01/11	854.60	21.365	17.80	712.00	(142.60)	ST	
42			08/04/11	850.68	20.254	17.80	747.60	(103.08)	ST	
43			08/29/11	745.01	17.325	17.80	765.40	20.39	ST	
39			09/21/11	715.07	18.335	17.80	694.20	(20.87)	ST	
42			10/28/11	768.92	18.307	17.80	747.60	(21.32)	ST	
287				5,793.77	20.187		5,108.60	(685.17)		2 921 149.24
26	SPIRIT AEROSYSTEMS HLDGS INC	SPR	12/30/10	542.26	20.856	17.07	443.82	(98.44)	ST	
46	CLASS A		01/04/11	948.58	20.621	17.07	785.22	(163.36)	ST	
44			01/10/11	912.35	20.735	17.07	751.08	(161.27)	ST	
41			02/10/11	995.11	24.271	17.07	699.87	(295.24)	ST	

MorganStanley
SmithBarneyReserved
Client Statement

October 1 - October 31, 2011

HARRY DENT & COMPANY, INC. Account number 356-74707-15 346

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
54	SPIRIT AEROSYSTEMS HLDGS INC CLASS A	SPR	04/08/11	\$ 1,301.70	\$ 24.105	\$ 17.07	\$ 921.78	(\$ 379.92) ST		
42			04/26/11	1,015.25	24.172	17.07	716.94	(298.31) ST		
42			05/02/11	1,036.05	24.667	17.07	716.94	(319.11) ST		
46			06/03/11	984.84	21.409	17.07	785.22	(199.62) ST		
341				7,736.14	22.687		5,820.87	(1,915.27)		
26	TITANIUM METALS CORP(NEW)	TIE	03/15/11	455.46	17.517	16.75	435.50	(19.96) ST		
53			04/26/11	1,030.04	19.434	16.75	887.75	(142.29) ST		
52			06/16/11	853.20	16.407	16.75	871.00	17.80 ST		
131				2,338.70	17.853		2,194.25	(144.45)		1,791
125	TRINITY INDUSTRIES INC	TRN	08/04/11	3,217.66	25.741	27.27	3,408.75	191.09 ST		
33			08/05/11	823.21	24.945	27.27	899.91	76.70 ST		
158				4,040.87	25.676		4,308.66	267.79		1,32
268	TRIQUINT SEMICONDUCTOR INC	TQNT	08/01/11	1,941.44	7.244	5.32	1,425.76	(515.68) ST		
111			08/02/11	857.09	7.721	5.32	590.52	(266.57) ST		
100			08/10/11	701.00	7.01	5.32	532.00	(169.00) ST		
136			09/22/11	698.69	5.137	5.32	723.52	24.83 ST		
157			09/30/11	805.87	5.132	5.32	835.24	29.37 ST		
772				5,004.09	6.482		4,107.04	(897.05)		
80	VALUECLICK INC	VCLK	04/21/10	835.42	10.442	17.60	1,408.00	572.58 LT		
85			04/30/10	862.86	10.151	17.60	1,496.00	633.14 LT		
66			02/23/11	984.60	14.918	17.60	1,161.60	177.00 ST		
231				2,682.88	11.614		4,065.60	1,382.72		
9	VARIAN MEDICAL SYSTEMS INC	VAR	11/09/09	399.87	44.43	58.72	528.48	128.61 LT		
10			11/23/09	466.80	46.68	58.72	587.20	120.40 LT		
4			11/27/09	188.00	47.00	58.72	234.88	46.88 LT		
16			03/04/10	808.14	50.508	58.72	939.52	131.38 LT		
16			04/08/11	1,101.70	68.856	58.72	939.52	(162.18) ST		
13			06/14/11	869.61	66.892	58.72	763.36	(106.25) ST		
14			08/19/11	741.85	52.989	58.72	822.08	80.23 ST		
82				4,575.97	55.805		4,815.04	239.07		
31	VISTEON CORP	VC	01/04/11	2,212.35	71.366	55.62	1,724.22	(488.13) ST		
14			01/06/11	1,006.10	71.864	55.62	778.68	(227.42) ST		
12			01/11/11	912.06	76.005	55.62	667.44	(244.62) ST		
14			01/20/11	968.96	69.211	55.62	778.68	(190.28) ST		
15			03/25/11	941.54	62.769	55.62	834.30	(107.24) ST		

MorganStanley
SmithBarneyHARRY DENT FAMILY FOUNDATION
EIN. 16-0849923**Reserved
Client Statement**

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-74707-15 346**Common stocks & options** *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
17	VISTEON CORP	VC	05/16/11	\$ 1,059.43	\$ 62.319	\$ 55.62	\$ 945.54	(\$ 113.89)	ST	
19			08/10/11	930.82	48.99	55.62	1,056.78	125.96	ST	
122				8,031.26	65.83		6,785.84	(1,245.82)		
28	WARNACO GROUP INC	WRC	08/31/11	1,485.39	53.049	49.10	1,374.80	(110.59)	ST	
16			09/02/11	814.17	50.885	49.10	785.60	(28.57)	ST	
15			09/06/11	730.64	48.709	49.10	736.50	5.86	ST	
15			10/13/11	696.48	46.432	49.10	736.50	40.02	ST	
17			10/18/11	781.59	45.975	49.10	834.70	53.11	ST	
91				4,508.27	49.541		4,468.10	(40.17)		
17	ZIONS BANCORP	ZION	11/09/09	240.55	14.15	17.36	295.12	54.57	LT	
42			11/23/09	609.00	14.50	17.36	729.12	120.12	LT	
21			11/27/09	271.32	12.92	17.36	364.56	93.24	LT	
30			05/19/10	761.52	25.384	17.36	520.80	(240.72)	LT	
47			07/01/10	1,010.57	21.501	17.36	815.92	(194.65)	LT	
37			07/20/10	734.78	19.858	17.36	642.32	(92.46)	LT	
37			08/20/10	709.04	19.163	17.36	642.32	(66.72)	LT	
231				4,336.78	18.774		4,010.16	(326.62)	23	8.24
Total common stocks and options				\$ 323,400.18			\$ 282,007.30	(\$ 29,678.39)	ST	.80
								(\$ 1,713.49)	LT	\$ 2,356.19

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SmithBarneyHARRY DENT
EIN. 16-0849923

HILLY FOUNDATION

**Reserved Client
Financial Management Account**

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC.

Account number 356-75350-12 346

Common stocks & options

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
88	COOPER INDUSTRIES PLC DUBLIN-USO	CBE	03/22/11	\$ 5,583 21	\$ 63.559	\$ 52.46	\$ 4,616 48	(\$ 976 73) ST	2.211 %	\$ 102 08

MorganStanley
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Financial Management Account**

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-75350-12 346**Common stocks & options** continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
125	WILLIS GROUP HLDGS PLC IRELAND	WSH	03/22/11	\$ 4,917.36	\$ 39.338	\$ 36.31	\$ 4,538.75	(\$ 378.61) ST	2.864 %	\$ 130.00
75	ACE LIMITED	ACE	03/22/11	4,667.99	62.239	72.15	5,411.25	743.26 ST	1.94	105.00
1,112	FLEXTRONICS INTL LTD USD	FLEX	03/22/11	8,014.29	7.207	6.565	7,300.28	(714.01) ST		
38	ABBOTT LABORATORIES	ABT	10/13/10	2,023.95	53.261	53.87	2,047.06	23.11 LT		
32			02/03/11	1,475.17	46.099	53.87	1,723.84	248.67 ST		
31			03/22/11	1,485.89	47.931	53.87	1,669.97	184.08 ST		
101				4,985.01	49.357		5,440.87	455.86	3.564	193.92
125	AETNA INC NEW	AET	03/22/11	4,464.15	35.713	39.76	4,970.00	505.85 ST		
32			10/14/11	1,207.30	37.728	39.76	1,272.32	65.02 ST		
27			10/17/11	1,017.98	37.702	39.76	1,073.52	55.54 ST		
184				6,689.43	36.356		7,315.84	626.41	1.509	110.40
68	APACHE CORP	APA	03/22/11	8,505.19	125.076	99.63	6,774.84	(1,730.35) ST	.602	40.80
400	APPLIED MATERIALS INC DELAWARE	AMAT	03/22/11	6,071.00	15.177	12.32	4,928.00	(1,143.00) ST		
94			06/01/11	1,259.52	13.399	12.32	1,158.08	(101.44) ST		
494				7,330.52	14.839		6,086.08	(1,244.44)	2.597	158.08
240	ARCHER-DANIELS-MIDLAND CO	ADM	03/22/11	8,587.32	35.78	28.94	6,945.60	(1,641.72) ST		
26			05/10/11	868.97	33.422	28.94	752.44	(116.53) ST		
266				9,456.29	36.55		7,698.04	(1,758.25)	2.211	170.24
36	BAKER HUGHES INC	BHI	09/12/11	2,051.68	56.991	57.99	2,087.64	35.96 ST		
5			09/13/11	286.43	57.286	57.99	289.95	3.52 ST		
41			09/27/11	2,145.87	52.338	57.99	2,377.59	231.72 ST		
82				4,483.98	54.683		4,755.18	271.20	1.034	49.20
198	BANK NEW YORK MELLON CORP	BK	03/22/11	5,731.64	28.947	21.28	4,213.44	(1,518.20) ST		
6			07/21/11	155.43	25.904	21.28	127.68	(27.75) ST		
27			08/24/11	537.71	19.915	21.28	574.56	36.85 ST		
231				6,424.78	27.813		4,915.68	(1,509.10)	2.443	120.12
89	BAXTER INTL INC	BAX	10/13/10	4,393.15	49.361	54.98	4,893.22	500.07 LT	2.255	110.36
139	CVS CAREMARK CORP	CVS	03/22/11	4,663.37	33.549	36.332	5,050.15	386.78 ST		
65			08/12/11	2,171.16	33.402	36.332	2,361.58	190.42 ST		
204				6,834.53	33.503		7,411.73	577.20	1.376	102.00
54	CHEVRON CORP	CVX	03/22/11	5,703.82	105.626	105.05	5,672.70	(31.12) ST	3.084	174.98
412	CORNING INC	GLW	03/22/11	8,585.63	20.838	14.29	5,887.48	(2,698.16) ST	2.089	123.60

**Reserved Client
Financial Management Account**

October 1 - October 31, 2011

Morgan Stanley
Smith Barney

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
92	DEVON ENERGY CORP NEW	DVN	03/22/11	\$ 8,517.34	\$ 92.579	\$ 64.95	\$ 5,975.40	(\$ 2,541.94)	ST	
9			08/10/11	607.46	67.495	64.95	584.55	(22.91)	ST	
101				9,124.80	90.345		6,559.95	(2,564.85)		88.88
53	EATON CORP	ETN	06/21/11	2,579.52	48.67	44.82	2,375.46	(204.06)	ST	
52			06/29/11	2,603.56	50.068	44.82	2,330.64	(272.92)	ST	
17			08/18/11	661.89	38.934	44.82	761.94	100.05	ST	
122				5,844.97	47.91		5,468.04	(376.93)		165.92
165	HALLIBURTON CO HOLDINGS CO	HAL	03/22/11	7,472.22	45.286	37.36	6,164.40	(1,307.82)	ST	
34			10/17/11	1,188.94	34.968	37.36	1,270.24	81.30	ST	
189				8,661.16	43.523		7,434.84	(1,226.52)		71.84
6	HESS CORP	HES	06/02/09	383.25	63.874	62.56	375.36	(7.89)	LT	
5			09/08/10	269.97	53.993	62.56	312.80	42.83	LT	
5			09/09/10	269.80	53.96	62.56	312.80	43.00	LT	
55			10/13/10	3,471.05	63.11	62.56	3,440.80	(30.25)	LT	
42			03/22/11	3,433.14	81.741	62.56	2,627.52	(805.62)	ST	
113				7,827.21	69.267		7,068.28	(757.93)		45.20
141	HONEYWELL INTL INC	HON	03/22/11	8,007.47	56.79	52.40	7,388.40	(619.07)	ST	
24			08/18/11	1,026.90	42.787	52.40	1,257.60	230.70	ST	
165				9,034.37	54.764		8,648.00	(388.37)		245.85
96	HOSPIRA INC	HSP	10/13/10	5,486.13	57.147	31.45	3,019.20	(2,466.93)	LT	
5			10/28/11	157.53	31.506	31.45	157.25	(.28)	ST	
56			10/31/11	1,768.83	31.586	31.45	1,761.20	(7.63)	ST	
157				7,412.49	47.213		4,937.65	(2,474.84)		
147	KBR INC	KBR	03/22/11	5,373.19	36.552	27.91	4,102.77	(1,270.42)	ST	
21			08/24/11	574.44	27.354	27.91	586.11	11.67	ST	
168				5,947.63	35.403		4,688.88	(1,258.75)		33.60
207	MEDTRONIC INC	MDT	03/22/11	7,833.96	37.845	34.74	7,191.18	(642.78)	ST	200.79
191	METLIFE INC	MET	03/22/11	8,573.72	44.888	35.16	6,715.56	(1,858.16)	ST	
25			08/24/11	809.29	32.371	35.16	879.00	69.71	ST	
216				9,383.01	43.44		7,594.58	(1,788.45)		159.84
42	THE MOSAIC COMPANY	MOS	06/23/11	2,622.08	62.43	58.56	2,459.52	(162.56)	ST	
38			06/27/11	2,434.68	64.07	58.56	2,225.28	(209.40)	ST	
38			06/30/11	2,526.95	66.498	58.56	2,225.28	(301.67)	ST	
118				7,583.71	64.269		6,910.08	(673.63)		23.60

Financial Management Account

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-75350-12 346

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
43	NYSE EURONEXT	NYX	08/25/11	\$ 1,149.94	\$ 26.742	\$ 26.57	\$ 1,142.51	(\$ 7.43) ST		
38			08/26/11	1,025.98	26.999	26.57	1,009.66	(16.32) ST		
44			08/29/11	1,219.14	27.707	26.57	1,169.08	(50.06) ST		
48			08/30/11	1,316.93	27.436	26.57	1,275.36	(41.57) ST		
173				4,711.99	27.237		4,596.61	(115.38)	4.516	207.60
77	NATIONAL OILWELL VARCO INC	NOV	03/22/11	6,075.40	78.901	71.33	5,492.41	(582.99) ST		
21			05/12/11	1,440.97	68.617	71.33	1,497.93	56.96 ST		
98				7,516.37	78.698		6,990.34	(526.03)	.616	43.12
29	NAVISTAR INTL CORP NEW	NAV	07/27/11	1,490.57	51.399	42.07	1,220.03	(270.54) ST		
46			07/29/11	2,370.62	51.535	42.07	1,935.22	(435.40) ST		
20			08/18/11	751.06	37.552	42.07	841.40	90.34 ST		
22			08/25/11	873.07	39.684	42.07	925.54	52.47 ST		
117				5,485.32	48.883		4,922.19	(563.13)		
67	NORFOLK SOUTHERN CORP	NSC	03/22/11	4,534.79	67.683	73.99	4,957.33	422.54 ST	2.324	115.24
517	ON SEMICONDUCTOR CORP	ONNN	03/22/11	5,045.40	9.759	7.57	3,913.69	(1,131.71) ST		
137			08/24/11	968.75	7.071	7.57	1,037.09	68.34 ST		
654				6,014.15	9.196		4,950.78	(1,063.37)		
61	ROYAL DUTCH SHELL PLC ADR	RDSA	05/17/11	4,194.77	68.766	70.91	4,325.51	130.74 ST		
41	CL A		05/24/11	2,828.30	68.982	70.91	2,907.31	79.01 ST		
102				7,023.07	68.854		7,232.82	209.75	4.027	291.31
169	TARGET CORP	TGT	03/22/11	8,564.85	50.679	54.75	9,252.75	687.90 ST		
9			08/26/11	458.35	50.928	54.75	492.75	34.40 ST		
178				9,023.20	50.692		9,745.50	722.30	2.191	213.60
108	TEVA PHARMACEUTICAL INDS LTD ADR	TEVA	03/22/11	5,225.47	48.384	40.85	4,411.80	(813.67) ST	1.924	84.89
152	TIME WARNER INC	TWX	10/13/10	4,771.62	31.392	34.99	5,318.48	546.86 LT	2.686	142.88
137	UNILEVER NV NY SHS-NEW	UN	10/13/10	4,101.76	29.939	34.53	4,730.61	628.85 LT	3.017	142.75
49	UNION PACIFIC CORP	UNP	03/22/11	4,656.64	95.033	99.57	4,878.93	222.29 ST	1.908	93.10
153	VODAFONE GROUP PLC SPONS	VOD	10/13/10	3,991.75	26.089	27.84	4,259.52	267.77 LT		
100	ADR NEW		04/01/11	2,908.67	29.096	27.84	2,784.00	(125.67) ST		
253				6,901.42	27.278		7,043.52	142.10	5.075	357.49

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HARRY DENT
EIN 16-0849923

LY FOUNDATION
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Financial Management Account

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-75350-12 346

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
331	WESTERN UNION COMPANY (THE)	WU	03/22/11	\$ 7,086.97	\$ 21.41	\$ 17.47	\$ 5,782.57	(\$ 1,304.40)	ST	
91			08/24/11	1,492.31	16.399	17.47	1,589.77	97.46	ST	
422				8,579.28	20.33		7,372.34	(1,206.94)		135.04
Total common stocks and options.				\$ 253,783.67			\$ 232,389.93	(\$ 20,961.06)	ST	1.95
								(\$ 452.58)	LT	\$ 4,532.80

Harry Dent Family Fdtn., Inc.
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Acct. 356-20272-13

Realized Gain/Loss - Detail
(11/01/2010 - 10/31/2011)

Prepared by ARTHURS MALOF HULSHOFF GROUP
Ph. 716 849 4834

As of 11/21/2011

Short Term

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
KONINKLIJKE AHOLD NV ADR	AHONY	79,000	11/03/10	\$1,101.00	06/15/11	\$1,023.03	(\$77.97)
	AHONY	148,000	11/04/10	2,072.68	06/15/11	1,916.56	(156.12)
Total		227,000		\$3,173.68		\$2,939.59	(\$234.09)
BAYER A G SPONSORED ADR	BAYRY	22,000	10/08/10	1,655.94	11/12/10	1,633.69	(22.25)
	BAYRY	5,000	10/08/10	376.35	11/15/10	378.76	2.41
Total		27,000		\$2,032.29		\$2,012.45	(\$19.84)
BOC HONG KONG HLDGS SPONS	BHKLY	66,000	01/21/10	2,823.40	11/04/10	4,428.32	1,604.92
	BHKLY	15,000	01/22/10	633.95	11/04/10	1,006.44	372.49
	BHKLY	26,000	10/08/10	1,677.00	11/04/10	1,744.48	67.48
Total		107,000		\$5,134.35		\$7,179.24	\$2,044.89
ENI SPA SPONSORED ADR	E	46,000	08/24/10	1,805.83	06/15/11	2,046.60	240.77
	E	20,000	08/25/10	776.33	06/15/11	889.83	113.50
Total		66,000		\$2,582.16		\$2,936.43	\$354.27
FOSTERS GROUP LTD NEW	FBRWY	781,000	10/08/10 (P)	3,521.20	05/31/11	3,602.60	81.40
GDF SUEZ-EUR	GDFZY	35,000	04/04/11	1,407.85	06/15/11	1,176.32	(231.53)
	GDFZY	4,000	04/05/11	156.00	06/15/11	134.44	(21.56)
Total		39,000		\$1,563.85		\$1,310.76	(\$253.09)
POWER ASSETS HOLDINGS LTD AM	HGKGY	611,000	10/08/10	3,775.98	03/30/11	4,026.52	250.54
NIPPON TEL & TEL SPON ADR	NTT	122,000	10/08/10	2,747.20	01/21/11	2,751.01	3.81
TELECOM CORP NEW ZEALAND	NZT	234,000	10/08/10	1,811.16	06/23/11	2,336.11	524.95

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MorganStanley
SmithBarneyHarry Dent Family Fdtn., Inc.
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Ph. 716 849 4834

As of 11/21/2011

Acct. 356-20272-13

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
SIEMENS A G SPONS ADR	SI	28.000	10/08/10	\$3,009.72	11/04/10	\$3,327.76	\$318.04
TEVA PHARMACEUTICAL INDS	TEVA	29.000	06/02/11	1,460.11	06/15/11	1,429.38	(30.73)
TESCO PLC SPONSORED ADR	TSCDY	148.000	10/29/10	3,048.77	06/15/11	2,900.74	(148.03)
	TSCDY	75.000	11/01/10	1,558.19	06/15/11	1,469.97	(88.22)
	TSCDY	29.000	11/12/10	593.02	06/15/11	568.39	(24.63)
Total		252.000		\$5,199.98		\$4,939.10	(\$260.88)
TREASURY WINE ESTATES LTD	TSRY	0.250	10/08/10 (P)	1.13	05/23/11	0.86	(0.27)
	TSRY	260.083	10/08/10 (P)	1,171.48	06/15/11	912.89	(258.59)
Total		260.333		\$1,172.61		\$913.75	(\$258.86)
Short Term Total			\$37,184.29			\$39,704.70	\$2,520.41
Long Term							
ASTELLAS PHARMA INC-JPY	ALPMY	44.000	01/19/10	\$1,691.76	06/15/11	\$1,683.41	(\$8.35)
	ALPMY	47.000	01/22/10	1,817.16	06/15/11	1,798.18	(18.98)
	ALPMY	3.000	06/04/10	96.23	06/15/11	114.78	18.55
Total		94.000		\$3,605.15		\$3,596.37	(\$8.78)
AMCOR LTD ADR NEW -USD-	AMCRY	71.000	04/19/06	1,542.45	05/06/11	2,125.18	582.73
	AMCRY	54.000	04/19/06	1,173.14	05/09/11	1,607.75	434.61
	AMCRY	11.000	10/27/09	227.48	06/15/11	320.64	93.16
	AMCRY	41.000	04/19/06	890.71	06/15/11	1,195.13	304.42
Total		177.000		\$3,833.78		\$5,248.70	\$1,414.92
BAYER A G SPONSORED ADR	BAYRY	11.000	11/19/08	526.20	11/03/10	842.78	316.58
	BAYRY	9.000	11/20/08	422.70	11/03/10	689.55	266.85
	BAYRY	45.000	10/27/09	3,246.30	11/03/10	3,447.76	201.46

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HARRY DENT FAMILY FOUNDATION
EIN 16-0849923

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Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
BAYRY		21,000	10/27/09	\$1,514.94	11/12/10	\$1,559.43	\$44.49
Total		86,000		\$5,710.14		\$6,539.52	\$829.38
BP PLC SPONS ADR							
BP		90,000	12/01/05	6,030.00	06/15/11	3,773.62	(2,256.38)
BP		12,000	05/03/05	732.37	06/15/11	503.15	(229.22)
Total		102,000		\$6,762.37		\$4,276.77	(\$2,485.60)
BG GROUP PLC SPON ADR							
BRGY		53,000	04/19/06	3,648.62	01/28/11	5,765.36	2,116.74
BRGY		29,000	04/19/06	1,996.41	06/15/11	3,142.37	1,145.96
Total		82,000		\$5,645.03		\$8,907.73	\$3,262.70
CANON INC ADR							
CAJ		87,000	04/19/06	4,162.81	06/15/11	4,072.39	(90.42)
CAJ		26,000	12/01/05	991.64	06/15/11	1,217.03	225.39
Total		113,000		\$5,154.45		\$5,289.42	\$134.97
CARREFOUR SA-SP							
CRRFY		174,000	02/18/09	1,167.45	06/15/11	1,392.00	224.55
CRRFY		102,000	03/11/09	639.14	06/15/11	816.00	176.86
CRRFY		44,000	03/12/09	284.97	06/15/11	352.00	67.03
CRRFY		43,000	07/17/09	381.53	06/15/11	344.00	(37.53)
Total		363,000		\$2,473.09		\$2,904.00	\$430.91
DEUTSCHE TELEKOM AG SP ADR							
DTEGY		2,000	04/13/07	35.53	06/15/11	29.08	(6.45)
DTEGY		50,000	10/16/06	805.40	06/15/11	726.99	(78.41)
DTEGY		126,000	10/23/06	2,033.29	06/15/11	1,832.00	(201.29)
DTEGY		7,000	10/24/06	113.39	06/15/11	101.78	(11.61)
DTEGY		33,000	03/12/07	547.83	06/15/11	479.81	(68.02)
DTEGY		67,000	03/13/07	1,109.41	06/15/11	974.16	(135.25)
DTEGY		33,000	03/13/07	546.30	06/15/11	479.81	(66.49)

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Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Total		318.000		\$5,191.15		\$4,623.63	(\$567.52)
REED ELSEVIER NV	ENL	111.000	04/19/06	\$3,732.82	06/15/11	\$2,835.26	(\$897.56)
FOSTERS GROUP LTD NEW	FBRWY	848.000	04/19/06	3,639.95	03/31/11	4,955.10	1,315.15
	FBRWY	222.000	04/19/06 (P)	714.85	05/23/11	1,006.31	291.46
	FBRWY	270.000	10/27/09 (P)	1,016.80	05/23/11	1,223.88	207.08
	FBRWY	547.000	10/27/09 (P)	2,059.95	05/25/11	2,441.76	381.81
	FBRWY	261.000	10/27/09 (P)	982.90	05/31/11	1,203.94	221.04
Total		2,148.000		\$8,414.45		\$10,830.99	\$2,416.54
FRANCE TELECOM SA SPON ADR	FTE	98.000	04/29/10	2,136.52	06/15/11	1,972.54	(163.98)
	FTE	49.000	04/30/10	1,074.71	06/15/11	986.27	(88.44)
	FTE	40.000	06/04/10	747.71	06/15/11	805.12	57.41
Total		187.000		\$3,958.94		\$3,763.93	(\$195.01)
GLAXOSMITHKLINE PLC SP ADR	GSK	107.000	12/01/05	5,377.15	05/31/11	4,641.05	(736.10)
	GSK	4.000	12/01/05	201.02	06/15/11	165.56	(35.46)
	GSK	125.000	04/19/06	6,670.00	06/15/11	5,173.64	(1,496.36)
	GSK	93.000	04/19/06	4,962.48	08/16/11	3,904.10	(1,058.38)
Total		329.000		\$17,210.65		\$13,884.35	(\$3,326.30)
POWER ASSETS HOLDINGS LTD AM	HGKGY	371.000	04/19/06	1,807.88	03/10/11	2,482.53	674.65
	HGKGY	285.000	04/19/06	1,388.80	03/11/11	1,902.53	513.73
	HGKGY	177.000	04/19/06	862.52	03/14/11	1,172.99	310.47
	HGKGY	48.000	10/27/09	255.84	03/14/11	318.10	62.26
	HGKGY	535.000	10/27/09	2,851.55	03/24/11	3,440.62	589.07
	HGKGY	155.000	10/27/09	826.15	03/25/11	995.84	169.69
	HGKGY	109.000	10/27/09	580.97	03/30/11	718.32	137.35

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Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Total		1,680,000		\$8,573.71		\$11,030.93	\$2,457.22
IBERDROLA							
	IBDRY	19,000	09/12/08	\$802.40	06/15/11	\$635.54	(\$166.86)
	IBDRY	22,000	09/15/08	906.36	06/15/11	735.89	(170.47)
	IBDRY	29,000	09/23/08	1,222.74	06/15/11	970.03	(252.71)
	IBDRY	14,000	09/24/08	593.63	06/15/11	468.29	(125.34)
	IBDRY	36,000	10/22/08	1,027.39	06/15/11	1,204.18	176.79
	IBDRY	13,000	10/23/08	362.26	06/15/11	434.83	72.57
	IBDRY	14,000	07/16/09	445.97	08/24/11	407.30	(38.67)
	IBDRY	4,000	08/21/09	138.68	08/24/11	116.37	(22.31)
	IBDRY	27,000	08/26/09	964.98	08/24/11	785.50	(179.48)
	IBDRY	48,000	10/27/09	1,783.20	08/24/11	1,396.44	(386.76)
	IBDRY	23,000	07/15/09	724.76	08/24/11	669.13	(55.63)
	IBDRY	40,000	02/02/09	1,221.08	08/24/11	1,163.70	(57.38)
	IBDRY	6,000	10/23/08	167.19	08/24/11	174.56	7.37
Total		295,000		\$10,360.64		\$9,161.76	(\$1,198.88)
ING GROEP NV SPONS ADR							
	ING	263,000	04/19/06	8,742.35	06/15/11	2,961.32	(5,781.03)
KAO CORP-JPY							
	KCRPY	40,000	04/19/06	1,078.73	06/15/11	1,014.78	(63.95)
	KCRPY	70,000	05/10/06	1,996.20	06/15/11	1,775.86	(220.34)
	KCRPY	76,000	05/22/06	1,983.63	06/15/11	1,928.08	(55.55)
Total		186,000		\$5,058.56		\$4,718.72	(\$339.84)
NATIONAL GRID PLC							
	NGG	38,000	04/19/06	1,936.77	06/15/11	1,818.34	(118.43)
	NGG	23,000	04/19/06	1,172.25	08/23/11	1,140.27	(31.98)
	NGG	30,000	10/27/09	1,470.00	08/24/11	1,507.37	37.37
	NGG	22,000	04/19/06	1,121.29	08/24/11	1,105.40	(15.89)
Total		113,000		\$5,700.31		\$5,571.38	(\$128.93)

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Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
NIPPON TEL & TEL SPON ADR	NTT	194,000	10/27/09	\$3,951.78	01/12/11	\$4,330.37	\$378.59
	NTT	159,000	10/27/09	3,238.83	01/21/11	3,585.33	346.50
Total		353,000		\$7,190.61		\$7,915.70	\$725.09
NOVARTIS AG ADR	NVS	55,000	07/11/06	3,059.05	06/15/11	3,324.68	265.63
	NVS	27,000	04/24/07	1,574.82	06/15/11	1,632.12	57.30
	NVS	24,000	04/27/07	1,415.31	06/15/11	1,450.77	35.46
	NVS	1,000	04/27/07	59.00	06/15/11	60.45	1.45
Total		107,000		\$6,108.18		\$6,468.02	\$359.84
TELECOM CORP NEW ZEALAND	NZT	247,000	10/27/09	2,267.46	05/12/11	2,251.28	(16.18)
	NZT	105,000	07/23/08	1,389.24	05/12/11	957.02	(432.22)
	NZT	99,461	08/04/06	1,420.86	05/12/11	906.54	(514.32)
	NZT	48,309	08/03/06	715.28	05/12/11	440.32	(274.96)
	NZT	31,075	06/06/06	493.36	05/12/11	283.24	(210.12)
	NZT	24,155	06/09/06	380.69	05/12/11	220.16	(160.53)
	NZT	51,000	10/27/09	468.18	06/15/11	489.19	21.01
	NZT	36,000	10/27/09	330.48	06/23/11	359.40	28.92
Total		642,000		\$7,465.55		\$5,907.15	(\$1,558.40)
ROYAL DUTCH SHELL PLC ADR	RDSA	74,000	04/19/06	5,095.36	05/10/11	5,431.33	335.97
	RDSA	7,000	10/27/09	444.60	06/15/11	476.78	32.18
	RDSA	30,000	04/19/06	2,065.68	06/15/11	2,043.32	(22.36)
	RDSA	13,000	08/13/07	965.41	06/15/11	885.44	(79.97)
	RDSA	13,000	08/13/07	970.63	06/15/11	885.44	(85.19)
Total		137,000		\$9,541.68		\$9,722.31	\$180.63
RWE AG SPONS ADR -USD	RWEOY	67,000	04/19/06	5,958.84	06/15/11	3,581.08	(2,377.76)
SOCIETE GENERALE SPON ADR	SCGLY	48,000	04/19/06	1,442.77	01/24/11	629.85	(812.92)

Harry Dent Family Fdtn., Inc.
Delaware International
Brisbane Building
Box 28
403 Main Street
Buffalo NY 14203-2109

Realized Gain/Loss - Detail
(11/01/2010 - 10/31/2011)

Prepared by ARTHURS MALOF HULSHOFF GROUP
Ph. 716 849 4834

As of 11/21/2011

Acct. 356-20272-13

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
	SCGLY	57,000	07/18/07	\$2,177.40	01/24/11	\$747.95	(\$1,429.45)
	SCGLY	22,000	05/07/08	515.95	01/24/11	288.68	(227.27)
	SCGLY	88,000	05/08/08	2,012.24	01/24/11	1,154.73	(857.51)
	SCGLY	16,000	06/02/09	168.26	01/24/11	209.95	41.69
	SCGLY	182,000	10/22/09	2,605.40	01/24/11	2,388.20	(217.20)
	SCGLY	241,000	10/22/09	3,450.01	06/15/11	2,590.70	(859.31)
Total		654,000		\$12,372.03		\$8,010.06	(\$4,361.97)
SINGAPORE TELECOMMUNICATIO	SGAPY	137,000	08/03/07	3,139.68	06/15/11	3,381.09	241.41
	SGAPY	18,000	06/10/08	479.17	07/20/11	477.92	(1.25)
	SGAPY	51,000	08/03/07	1,168.79	07/20/11	1,354.10	185.31
	SGAPY	32,000	06/09/08	857.42	07/20/11	849.63	(7.79)
	SGAPY	41,000	07/23/08	1,078.30	07/21/11	1,107.91	29.61
	SGAPY	20,000	06/10/08	532.42	07/21/11	540.45	8.03
Total		299,000		\$7,255.78		\$7,711.10	\$455.32
SIEMENS A G SPONS ADR	SI	36,000	10/27/09	3,488.04	11/04/10	4,278.54	790.50
SANOFI SPONS ADR	SNY	14,000	02/11/10	504.13	06/15/11	513.23	9.10
	SNY	31,000	03/31/10	1,156.82	06/15/11	1,136.44	(20.38)
	SNY	73,000	02/10/10	2,608.09	06/15/11	2,676.12	68.03
Total		118,000		\$4,269.04		\$4,325.79	\$56.75
BANCO SANTANDER S.A.	STD	457,000	04/19/06	6,641.49	01/21/11	5,631.18	(1,010.31)
	STD	122,000	10/27/09	2,044.72	06/15/11	1,293.17	(751.55)
	STD	6,000	04/19/06	87.20	06/15/11	63.60	(23.60)
Total		585,000		\$8,773.41		\$6,987.95	(\$1,785.46)
SEVEN & I HLDGS CO LTD-JPY	SVNDY	4,000	04/27/09	178.70	06/15/11	215.60	36.90
	SVNDY	12,000	02/17/09	601.48	06/15/11	646.79	45.31

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HARRY DENT FAMILY FOUNDATION
EIN: 16-0849923

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As of 11/21/2011

Acct. 356-20272-13

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
	SVNDY	12,000	02/18/09	\$602.49	06/15/11	\$646.79	\$44.30
	SVNDY	18,000	03/12/09	724.04	06/15/11	970.18	246.14
	SVNDY	23,000	04/28/09	1,021.89	06/15/11	1,239.67	217.78
	SVNDY	14,000	08/26/09	678.30	06/15/11	754.58	76.28
	SVNDY	8,000	03/11/09	325.04	06/15/11	431.19	106.15
	SVNDY	13,000	08/26/09	629.85	10/20/11	727.09	97.24
	SVNDY	2,000	09/15/09	94.15	10/20/11	111.86	17.71
	SVNDY	52,000	10/27/09	2,292.68	10/20/11	2,908.35	615.67
Total		158,000		\$7,148.62		\$8,652.10	\$1,503.48
TELEFONICA S.A. SPON ADR	TEF	228,000	04/19/06	3,562.85	06/15/11	5,154.98	1,592.13
TOKIO MARINE HLDGS INC	TKOMY	137,000	04/19/06	5,610.54	06/15/11	3,635.91	(1,974.63)
TAKEDA PHARMACEUTICAL CO	TKPY	37,000	06/06/08	1,044.45	06/15/11	832.48	(211.97)
	TKPY	26,000	06/09/08	727.49	06/15/11	584.99	(142.50)
	TKPY	18,000	06/10/08	494.61	06/15/11	404.99	(89.62)
	TKPY	27,000	06/11/08	735.49	06/15/11	607.49	(128.00)
	TKPY	34,000	06/12/08	909.18	06/15/11	764.99	(144.19)
	TKPY	17,000	07/03/08	436.22	06/15/11	382.49	(53.73)
	TKPY	1,000	07/07/08	24.78	06/15/11	22.50	(2.28)
Total		160,000		\$4,372.22		\$3,599.93	(\$772.29)
TELSTRA LTD SPONS ADR	TLSY	247,000	04/19/06	3,390.47	06/15/11	3,937.10	546.63
	TLSY	3,000	10/27/09	44.64	08/24/11	47.83	3.19
	TLSY	105,000	04/19/06	1,441.29	08/24/11	1,674.35	233.06
	TLSY	31,000	05/12/09	374.63	08/24/11	494.33	119.70
	TLSY	92,000	05/11/09	1,127.29	08/24/11	1,467.05	339.76
	TLSY	180,000	10/27/09	2,678.40	10/04/11	2,574.29	(104.11)

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As of 11/21/2011

Acct. 356-20272-13

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Total		658.000		\$9,056.72		\$10,194.95	\$1,138.23
TOYOTA MOTOR CORP ADR NEW	TM	38.000	04/19/06	\$4,360.12	06/15/11	\$3,017.52	(\$1,342.60)
TOTAL S.A SPONS ADR	TOT	96.000	12/01/05	6,007.40	06/15/11	5,097.50	(909.90)
TAIWAN SEMICONDUCTOR MFG	TSM	34.310	01/09/08	305.67	06/15/11	450.82	145.15
	TSM	40.712	01/10/08	360.65	06/15/11	534.95	174.30
	TSM	140.322	12/11/07	1,396.84	06/15/11	1,843.79	446.95
	TSM	37.337	12/12/07	366.40	06/15/11	490.60	124.20
	TSM	35.319	12/13/07	340.66	06/15/11	464.08	123.42
Total		288.000		\$2,770.22		\$3,784.24	\$1,014.02
TREASURY WINE ESTATES LTD	TSRY	0.345	10/27/09 (P)	1.30	05/23/11	1.20	(0.10)
	TSRY	0.071	04/19/06 (P)	0.23	05/23/11	0.25	0.02
	TSRY	358.988	10/27/09 (P)	1,350.61	06/15/11	1,260.05	(90.56)
	TSRY	73.929	04/19/06 (P)	237.83	06/15/11	259.49	21.66
Total		433.333		\$1,589.97		\$1,520.99	(\$68.98)
UNILEVER PLC SPONS ADR NEW	UL	65.000	12/01/05	1,423.09	06/15/11	2,030.56	607.47
	UL	137.000	04/19/06	3,156.53	06/15/11	4,279.79	1,123.26
	UL	120.000	04/19/06	2,764.84	06/23/11	3,740.84	976.00
Total		322.000		\$7,344.46		\$10,051.19	\$2,706.73
UNITED OVERSEAS BANK LTD	UOVEY	8.000	09/25/06	161.90	06/15/11	242.08	80.18
	UOVEY	34.000	10/11/06	717.00	06/15/11	1,028.82	311.82
	UOVEY	31.000	10/12/06	659.89	06/15/11	938.04	278.15
	UOVEY	7.000	10/17/06	149.56	06/15/11	211.81	62.25
Total		80.000		\$1,688.35		\$2,420.75	\$732.40

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As of 11/21/2011

Acct. 356-20272-13

Realized Gain/Loss - Detail
(11/01/2010 - 10/31/2011)

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
VINCI S.A. ADR	VCISY	128.000	02/09/10	\$1,664.26	06/15/11	\$1,889.24	\$224.98
	VCISY	29.000	02/08/10	376.88	06/15/11	428.03	51.15
Total		157.000		\$2,041.14		\$2,317.27	\$276.13
VODAFONE GROUP PLC SPONS	VOD	17.000	06/05/08	525.47	11/03/10	475.38	(50.09)
	VOD	75.000	06/30/08	2,224.63	11/03/10	2,097.25	(127.38)
	VOD	81.000	07/29/08	2,125.14	11/03/10	2,265.03	139.89
	VOD	28.000	07/29/08	734.61	06/15/11	719.68	(14.93)
	VOD	93.000	09/26/08	2,169.05	06/15/11	2,390.37	221.32
	VOD	11.000	08/06/09	230.34	06/15/11	282.74	52.40
Total		305.000		\$8,009.24		\$8,230.45	\$221.21
ZURICH FINCL SVCS SPON ADR	ZFSVY	92.000	03/16/09	1,019.99	06/15/11	2,335.83	1,315.84
Long Term Total				\$247,132.59		\$241,066.09	(\$6,066.50)
(11/01/2010 - 10/31/2011) Short & Long Term Total				\$284,316.88		\$280,770.79	(\$3,546.09)

** Gain/Loss is only calculated when an original cost basis is available

(P) The cost basis information provided is an estimate We have requested, and are awaiting receipt of, the final cost basis allocation information from the issuer

The above summary/prices/quotes/statistics have been obtained from sources believed reliable but are not necessarily complete and cannot be guaranteed. The information contained in client monthly account statements and confirmations reflects all transactions, and as such supersedes all other reports for financial and tax purposes. Investments and services offered through Morgan Stanley Smith Barney LLC, and accounts carried by Citigroup Global Markets Inc., Members SIPC. © 2011 Morgan Stanley Smith Barney

Harry Dent Family Fdtn., Inc.
Clearbridge Multi Cap Growth
Brisbane Building
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Realized Gain/Loss - Detail (11/01/2010 - 10/31/2011)

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As of 11/21/2011

Acct. 356-01091-12

Long Term

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
ALKERMES INC	ALKS	640.000	06/01/99	\$8,000.00	11/18/10	\$7,033.74	(\$966.26)
BIOGEN IDEC INC	BIIB	125.000	06/01/99	1,077.08	06/14/11	11,839.92	10,762.84
	BIIB	125.000	06/01/99	1,077.08	06/15/11	11,744.05	10,666.97
	BIIB	10.000	06/01/99	86.17	10/27/11	1,171.34	1,085.17
	BIIB	30.000	06/01/99	258.50	10/27/11	3,514.03	3,255.53
Total		290.000		\$2,498.83		\$28,269.34	\$25,770.51
DISCOVERY COMMUNICATIONS INC	DISCA	2.000	08/09/04	24.00	02/25/11	85.59	61.59
FREEMONT MCMORAN COPPER & G	FCX	25.000	02/11/09	705.40	11/04/10	2,546.02	1,840.62
GENZYME CORP	GENZ	40.000	03/15/04	1,885.20	02/17/11	3,012.47	1,127.27
	GENZ	400.000	06/01/99	8,299.99	02/17/11	30,124.70	21,824.71
Total		440.000		\$10,185.19		\$33,137.17	\$22,951.98
LIBERTY MEDIA CORP LIBERTY	LSTZA	21.000	04/27/09	684.64	06/01/11	1,638.87	954.23
MADISON SQUARE GARDEN INC	MSG	210.000	04/27/09	2,312.64	04/01/11	5,693.05	3,380.41
STANDARD MICROSYSTEMS CORP	SMSC	590.000	06/01/99	4,720.00	02/01/11	14,452.07	9,732.07
	SMSC	354.000	06/01/99	2,832.00	04/07/11	8,986.53	6,154.53
	SMSC	101.000	06/01/99	808.00	04/08/11	2,547.43	1,739.43
Total		1,045.000		\$8,360.00		\$25,986.03	\$17,626.03
TEVA PHARMACEUTICAL INDS	TEVA	295.000	01/23/04	8,828.82	03/25/11	14,805.76	5,976.94
Long Term Total				\$41,599.52		\$119,195.57	\$77,596.05
(11/01/2010 - 10/31/2011) Short & Long Term Total				\$41,599.52		\$119,195.57	\$77,596.05

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Jennison - Large Cap Growth
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(11/01/2010 - 10/31/2011)

Prepared by THE ARTHURS GROUP

As of 11/21/2011

Acct. 356-70178-13

Short Term

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
ABBOTT LABORATORIES	ABT	11.000	05/21/10	\$512.14	11/15/10	\$535.69	\$23.55
	ABT	7.000	05/24/10	333.74	11/15/10	340.90	7.16
	ABT	3.000	05/26/10	142.95	11/17/10	144.01	1.06
	ABT	6.000	05/24/10	286.07	11/17/10	288.01	1.94
	ABT	9.000	05/26/10	428.84	11/18/10	426.98	(1.86)
	ABT	17.000	05/26/10	810.04	12/22/10	813.38	3.34
	ABT	5.000	06/18/10	243.79	12/23/10	239.83	(3.96)
	ABT	6.000	05/26/10	285.89	12/23/10	287.79	1.90
	ABT	6.000	06/18/10	292.55	12/27/10	284.71	(7.84)
	ABT	16.000	06/18/10	780.13	12/28/10	758.56	(21.57)
	ABT	26.000	06/18/10	1,267.71	01/05/11	1,257.52	(10.19)
	ABT	1.000	08/17/10	50.52	01/05/11	48.36	(2.16)
	ABT	16.000	08/16/10	803.82	01/05/11	773.86	(29.96)
	ABT	15.000	08/17/10	757.82	01/06/11	719.98	(37.84)
	Total	144.000		\$6,996.01		\$6,919.58	(\$76.43)
ALLERGAN INC	AGN	11.000	07/23/10	670.94	06/15/11	873.38	202.44
ALTERA CORP	ALTR	15.000	09/10/10	389.89	03/25/11	652.66	262.77
	ALTR	15.000	09/13/10	398.83	04/27/11	717.03	318.20
	ALTR	12.000	09/10/10	311.91	04/27/11	573.63	261.72
	ALTR	7.000	09/28/10	209.38	06/09/11	310.07	100.69
	ALTR	12.000	09/13/10	319.06	06/09/11	531.54	212.48
	ALTR	18.000	09/28/10	538.41	06/10/11	784.01	245.60
	ALTR	9.000	09/28/10	269.21	06/15/11	388.88	119.67
	ALTR	7.000	09/29/10	212.57	07/13/11	311.21	98.64
ALTR	ALTR	13.000	09/28/10	388.85	07/13/11	577.97	189.12

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As of 11/21/2011

Acct. 356-70178-13

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Total		108.000		\$3,038.11		\$4,847.00	\$1,808.89
ALEXION PHARMACEUTICALS INC	ALXN	3.000	02/23/11	\$138.87	06/15/11	\$136.70	(\$2.17)
AMERICAN TOWER CORP-CLASS A	AMT	15.000	02/24/11	786.93	06/15/11	761.53	(25.40)
APACHE CORP	APA	7.000	10/15/10	723.12	01/06/11	859.74	136.62
	APA	6.000	10/19/10	620.19	02/01/11	713.55	93.36
	APA	5.000	10/15/10	516.51	02/01/11	594.63	78.12
	APA	6.000	10/19/10	620.19	02/03/11	711.22	91.03
	APA	10.000	10/21/10	1,028.24	02/07/11	1,179.43	151.19
	APA	1.000	10/19/10	103.37	02/07/11	117.94	14.57
	APA	9.000	10/21/10	925.42	02/08/11	1,058.18	132.76
	APA	4.000	11/08/10	438.11	02/09/11	464.12	26.01
	APA	5.000	10/21/10	514.12	02/09/11	580.14	66.02
	APA	8.000	11/08/10	876.21	02/10/11	945.66	69.45
	APA	10.000	11/10/10	1,091.23	02/10/11	1,182.08	90.85
	APA	15.000	11/30/10	1,619.45	02/11/11	1,770.71	151.26
	APA	2.000	11/10/10	218.25	02/11/11	236.09	17.84
Total		88.000		\$9,294.41		\$10,413.49	\$1,119.08
ANADARKO PETROLEUM CORP	APC	4.000	05/24/11	303.34	06/15/11	285.43	(17.91)
ARM HOLDINGS PLC ADR	ARMH	8.000	04/14/11	231.98	06/15/11	222.71	(9.27)
ATMEL CORP	ATML	40.000	01/20/11	530.76	05/11/11	598.69	67.93
	ATML	27.000	01/21/11	368.87	05/11/11	404.11	35.24
	ATML	41.000	01/19/11	572.75	05/11/11	613.65	40.90
	ATML	16.000	01/21/11	218.59	06/15/11	213.75	(4.84)
	ATML	36.000	01/21/11	491.82	08/04/11	372.98	(118.84)
	ATML	7.000	01/21/11	95.63	08/10/11	67.63	(28.00)

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Acct. 356-70178-13

Realized Gain/Loss - Detail
(11/01/2010 - 10/31/2011)

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
ATML	ATML	19,000	01/27/11	\$265.20	08/10/11	\$183.57	(\$81.63)
ATML	ATML	1,000	01/28/11	13.92	08/10/11	9.66	(4.26)
ATML	ATML	42,000	02/01/11	586.66	08/11/11	422.05	(164.61)
ATML	ATML	16,000	01/28/11	222.76	08/11/11	160.78	(61.98)
ATML	ATML	21,000	02/04/11	314.15	08/11/11	211.02	(103.13)
Total		266,000		\$3,681.11		\$3,257.89	(\$423.22)
AVAGO TECHNOLOGIES LTD ORD	AVGO	17,000	02/11/11	557.76	06/15/11	573.56	15.80
AMERICAN EXPRESS CO	AXP	5,000	01/18/11	231.91	06/15/11	236.79	4.88
	AXP	13,000	01/14/11	600.07	06/15/11	615.67	15.60
Total		18,000		\$831.98		\$852.46	\$20.48
BOEING CO	BA	2,000	02/19/10	127.89	12/09/10	129.60	1.71
	BA	9,000	02/17/10	556.61	12/09/10	583.21	26.60
	BA	11,000	02/19/10	703.37	12/10/10	705.53	2.16
	BA	3,000	02/19/10	191.83	12/14/10	193.49	1.66
	BA	6,000	02/19/10	383.66	12/14/10	386.99	3.33
Total		31,000		\$1,963.36		\$1,998.82	\$35.46
BED BATH & BEYOND	BBBY	12,000	01/07/11	582.37	03/02/11	573.00	(9.37)
	BBBY	12,000	01/21/11	578.85	06/15/11	634.66	55.81
	BBBY	21,000	01/21/11	1,012.98	06/16/11	1,111.62	98.64
	BBBY	7,000	01/24/11	339.24	06/17/11	369.17	29.93
	BBBY	13,000	01/21/11	627.08	06/17/11	685.61	58.53
Total		65,000		\$3,140.52		\$3,374.06	\$233.54
FRANKLIN RESOURCES INC	BEN	11,000	08/11/11	1,232.00	10/13/11	1,053.81	(178.19)
BROADCOM CORP CL A	BRCM	10,000	05/28/10	340.25	04/27/11	357.49	17.24

Harry Dent Family Fdtn., Inc.
Jennison - Large Cap Growth
Brisbane Building
Box 28
403 Main Street
Buffalo NY 14203-2109

Realized Gain/Loss - Detail
(11/01/2010 - 10/31/2011)

Prepared by THE ARTHURS GROUP

As of 11/21/2011

Acct. 356-70178-13

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
	BRCM	33.000	05/28/10	\$1,122.84	05/10/11	\$1,112.42	(\$10.42)
	BRCM	12.000	06/01/10	413.48	05/17/11	396.77	(16.71)
	BRCM	6.000	06/02/10	206.76	05/17/11	198.38	(8.38)
	BRCM	7.000	06/02/10	241.23	05/18/11	234.61	(6.62)
	BRCM	10.000	06/03/10	351.03	05/18/11	335.15	(15.88)
	BRCM	6.000	06/22/10	214.77	05/19/11	201.41	(13.36)
	BRCM	13.000	06/03/10	456.33	05/19/11	436.40	(19.93)
	BRCM	9.000	06/22/10	322.16	06/15/11	289.97	(32.19)
Total		106.000		\$3,668.85		\$3,562.60	(\$106.25)
ANHEUSER-BUSCH INBEV SPONS	BUD	18.000	10/15/10	1,125.68	02/08/11	1,018.53	(107.15)
	BUD	9.000	10/21/10	575.17	02/14/11	492.46	(82.71)
	BUD	16.000	10/19/10	989.79	02/14/11	875.49	(114.30)
	BUD	2.000	10/15/10	125.07	02/14/11	109.44	(15.63)
	BUD	2.000	11/05/10	122.59	02/18/11	109.73	(12.86)
	BUD	17.000	11/03/10	1,040.21	02/18/11	932.69	(107.52)
	BUD	6.000	10/21/10	383.45	02/18/11	329.18	(54.27)
	BUD	13.000	11/05/10	796.81	02/22/11	716.33	(80.48)
	BUD	3.000	11/05/10	183.88	02/24/11	165.10	(18.78)
	BUD	9.000	11/26/10	518.13	02/24/11	495.29	(22.84)
	BUD	9.000	01/25/11	513.94	02/25/11	496.28	(17.66)
	BUD	15.000	11/26/10	863.54	02/25/11	827.12	(36.42)
Total		119.000		\$7,238.26		\$6,567.64	(\$670.62)
BURBERRY GROUP PLC	BURBY	12.000	02/02/11	445.37	06/15/11	509.27	63.90
	BURBY	5.000	02/09/11	194.75	07/29/11	246.11	51.36
	BURBY	5.000	02/08/11	197.00	07/29/11	246.11	49.11
	BURBY	9.000	02/04/11	335.40	07/29/11	443.00	107.60
	BURBY	3.000	02/10/11	117.46	08/10/11	126.86	9.40

MorganStanley
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(11/01/2010 - 10/31/2011)

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Acct. 356-70178-13

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
	BURBY	12,000	02/09/11	\$467.40	08/10/11	\$507.44	\$40.04
	BURBY	13,000	02/15/11	504.41	08/11/11	565.29	60.88
	BURBY	4,000	02/11/11	154.02	08/11/11	173.94	19.92
	BURBY	2,000	02/10/11	78.31	08/11/11	86.97	8.66
	BURBY	8,000	02/16/11	308.02	08/11/11	347.87	39.85
	BURBY	4,000	02/16/11	154.01	08/15/11	180.59	26.58
	BURBY	9,000	03/09/11	361.46	08/15/11	406.34	44.88
	BURBY	21,000	04/18/11	794.95	08/16/11	930.84	135.89
Total		107,000		\$4,112.56		\$4,770.63	\$658.07
BORGWARNER INC	BWA	10,000	02/23/11	750.57	06/15/11	695.48	(55.09)
	BWA	8,000	02/23/11	600.45	09/23/11	477.42	(123.03)
	BWA	3,000	02/28/11	230.54	09/26/11	175.50	(55.04)
	BWA	4,000	02/23/11	300.23	09/26/11	233.99	(66.24)
Total		25,000		\$1,881.79		\$1,582.39	(\$299.40)
C.H. ROBINSON WORLDWIDE INC	CHRW	9,000	10/14/10	648.71	03/23/11	639.02	(9.69)
	CHRW	9,000	10/14/10	648.71	03/24/11	646.53	(2.18)
	CHRW	4,000	10/15/10	290.61	03/25/11	290.42	(0.19)
	CHRW	5,000	10/14/10	360.39	03/25/11	363.02	2.63
	CHRW	8,000	10/15/10	581.21	03/29/11	582.07	0.86
Total		35,000		\$2,529.63		\$2,521.06	(\$8.57)
CHIPOTLE MEXICAN GRILL	CMG	2,000	01/14/11	467.93	06/15/11	555.05	87.12
	CMG	1,000	01/13/11	226.58	06/15/11	277.52	50.94
	CMG	1,000	01/18/11	231.85	07/29/11	325.17	93.32
	CMG	1,000	01/14/11	233.96	07/29/11	325.18	91.22
	CMG	1,000	01/21/11	223.53	10/20/11	310.00	86.47
	CMG	2,000	01/18/11	463.69	10/20/11	619.99	156.30

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(11/01/2010 - 10/31/2011)**

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As of 11/21/2011

Acct. 356-70178-13

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Total		8,000		\$1,847.54		\$2,412.91	\$565.37
COGNIZANT TECH SOLUTIONS CL A	CTSH	6,000	12/22/10	\$437.55	03/02/11	\$448.38	\$10.83
CTSH		7,000	12/22/10	510.48	06/15/11	473.96	(36.52)
Total		13,000		\$948.03		\$922.34	(\$25.69)
E I DU PONT DE NEMOURS & CO	DD	15,000	01/28/11	752.20	06/15/11	743.53	(8.67)
DD		14,000	01/28/11	702.06	09/23/11	576.09	(125.97)
DD		5,000	01/31/11	253.22	09/26/11	206.55	(46.67)
DD		5,000	01/28/11	250.73	09/26/11	206.55	(44.18)
Total		39,000		\$1,958.21		\$1,732.72	(\$225.49)
DEERE & CO	DE	13,000	10/15/10	986.79	02/18/11	1,234.92	248.13
DE		4,000	10/18/10	303.90	06/15/11	321.47	17.57
DE		4,000	10/15/10	303.63	06/15/11	321.47	17.84
DE		4,000	10/18/10	303.90	09/23/11	272.85	(31.05)
DE		8,000	10/19/10	600.11	09/23/11	545.69	(54.42)
DE		2,000	10/19/10	150.03	09/26/11	134.51	(15.52)
DE		7,000	10/25/10	543.20	09/26/11	470.79	(72.41)
Total		42,000		\$3,191.56		\$3,301.70	\$110.14
DOLLAR GENERAL CORP	DG	4,000	01/12/10	95.46	12/06/10	123.31	27.85
DG		7,000	01/06/10	164.70	12/06/10	215.80	51.10
DG		25,000	04/15/10	685.99	02/10/11	692.34	6.35
DG		20,000	04/16/10	548.15	02/17/11	587.04	38.89
DG		37,000	06/16/10	1,113.26	02/17/11	1,086.03	(27.23)
DG		48,000	04/15/10	1,317.09	02/17/11	1,408.91	91.82
Total		141,000		\$3,924.65		\$4,113.43	\$188.78

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Acct. 356-70178-13

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ESTEE LAUDER COS INC CL A	EL	2,000	11/26/10	\$151.96	03/01/11	\$185.09	\$33.13
	EL	8,000	11/23/10	603.65	03/01/11	740.35	136.70
	EL	4,000	11/26/10	303.91	06/15/11	387.43	83.52
	EL	5,000	11/29/10	371.84	06/15/11	484.29	112.45
Total		19,000		\$1,431.36		\$1,797.16	\$365.80
EMC CORP-MASS	EMC	17,000	02/07/11	442.15	07/29/11	445.32	3.17
	EMC	6,000	02/09/11	160.49	07/29/11	157.17	(3.32)
	EMC	92,000	02/08/11	2,400.80	07/29/11	2,409.94	9.14
Total		115,000		\$3,003.44		\$3,012.43	\$8.99
EXPRESS SCRIPTS INC.COMMON	ESRX	26,000	01/19/10	1,185.84	12/22/10	1,424.17	238.33
EXPEDITORS INTL OF WASH INC	EXPD	11,000	07/21/10	432.68	01/13/11	595.32	162.64
	EXPD	15,000	07/20/10	591.90	01/13/11	811.80	219.90
	EXPD	7,000	07/22/10	285.86	03/07/11	332.11	46.25
	EXPD	17,000	07/21/10	668.70	03/07/11	806.55	137.85
	EXPD	14,000	07/29/10	586.65	06/13/11	657.43	70.78
	EXPD	1,000	07/22/10	40.84	06/13/11	46.96	6.12
	EXPD	15,000	07/29/10	628.56	06/15/11	703.45	74.89
	EXPD	6,000	09/10/10	261.74	06/16/11	282.30	20.56
	EXPD	9,000	07/29/10	377.13	06/16/11	423.45	46.32
	EXPD	14,000	09/10/10	610.72	06/17/11	670.12	59.40
Total		109,000		\$4,484.78		\$5,329.49	\$844.71
GREEN DOT CLASS A	GDOT	9,000	10/12/10	431.20	03/28/11	385.18	(46.02)
	GDOT	11,000	10/07/10	528.80	03/28/11	470.77	(58.03)
	GDOT	3,000	11/09/10	156.67	06/15/11	101.60	(55.07)
	GDOT	2,000	10/14/10	96.19	06/15/11	67.74	(28.45)

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Acct. 356-70178-13

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	GDOT	1.000	10/12/10	\$47.91	06/15/11	\$33.87	(\$14.04)
	GDOT	7.000	12/22/10	358.75	06/21/11	229.03	(129.72)
	GDOT	4.000	11/22/10	209.36	06/21/11	130.87	(78.49)
	GDOT	4.000	11/17/10	211.98	06/21/11	130.87	(81.11)
	GDOT	6.000	11/09/10	313.33	06/21/11	196.31	(117.02)
	GDOT	10.000	02/10/11	598.20	06/21/11	327.18	(271.02)
	GDOT	7.000	12/23/10	354.97	06/21/11	229.03	(125.94)
	GDOT	3.000	02/10/11	179.46	06/22/11	98.68	(80.78)
	GDOT	10.000	03/10/11	445.39	06/22/11	328.94	(116.45)
	GDOT	4.000	03/09/11	180.36	06/22/11	131.57	(48.79)
	GDOT	6.000	02/15/11	310.96	06/22/11	197.36	(113.60)
Total		87.000		\$4,423.53		\$3,059.00	(\$1,364.53)
GREEN MOUNTAIN COFFEE	GMCR	5.000	08/04/11	540.76	10/20/11	338.86	(201.90)
	GMCR	4.000	08/03/11	421.23	10/20/11	271.10	(150.13)
	GMCR	11.000	08/02/11	1,173.21	10/20/11	745.52	(427.69)
	GMCR	13.000	07/26/11	1,196.55	10/20/11	881.06	(315.49)
	GMCR	11.000	07/21/11	1,032.95	10/20/11	745.52	(287.43)
	GMCR	5.000	06/29/11	437.40	10/20/11	338.87	(98.53)
	GMCR	6.000	06/22/11	506.73	10/20/11	406.65	(100.08)
	GMCR	19.000	06/20/11	1,515.51	10/20/11	1,287.71	(227.80)
Total		74.000		\$6,824.34		\$5,015.29	(\$1,809.05)
HEWLETT PACKARD CO	HPQ	14.000	10/04/10	567.00	02/23/11	607.46	40.46
	HPQ	43.000	10/04/10	1,741.50	02/24/11	1,834.53	93.03
	HPQ	31.000	10/07/10	1,255.30	02/25/11	1,321.69	66.39
	HPQ	31.000	10/06/10	1,254.73	02/25/11	1,321.68	66.95
	HPQ	3.000	10/04/10	121.50	02/25/11	127.90	6.40

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Total		122.000		\$4,940.03		\$5,213.26	\$273.23
INTL BUSINESS MACHINES CORP	IBM	7.000	05/25/10	\$859.75	12/21/10	\$1,016.14	\$156.39
	IBM	9.000	05/25/10	1,105.40	01/05/11	1,323.61	218.21
	IBM	10.000	05/25/10	1,228.22	02/23/11	1,610.91	382.69
	IBM	11.000	05/25/10	1,351.04	04/14/11	1,801.43	450.39
	IBM	8.000	08/03/10	1,044.41	06/13/11	1,304.94	260.53
	IBM	8.000	08/03/10	1,044.41	06/14/11	1,313.65	269.24
	IBM	1.000	08/05/10	131.47	06/15/11	161.73	30.26
	IBM	5.000	08/03/10	652.76	06/15/11	808.63	155.87
Total		59.000		\$7,417.46		\$9,341.04	\$1,923.58
INGERSOLL-RAND PUBLIC LTD	IR	20.000	04/13/10	737.19	11/15/10	843.28	106.09
	IR	3.000	04/14/10	111.18	11/16/10	124.15	12.97
	IR	19.000	04/13/10	700.33	11/16/10	786.30	85.97
	IR	19.000	09/24/10	669.63	07/21/11	786.84	117.21
	IR	40.000	02/02/11	1,921.45	07/26/11	1,589.22	(332.23)
	IR	13.000	12/09/10	570.16	07/26/11	516.49	(53.67)
	IR	12.000	09/24/10	422.92	07/26/11	476.76	53.84
Total		126.000		\$5,132.86		\$5,123.04	(\$9.82)
JUNIPER NETWORKS INC	JNPR	15.000	11/25/09	392.96	11/19/10	517.48	124.52
	JNPR	9.000	11/25/09	235.78	11/23/10	302.39	66.61
Total		24.000		\$628.74		\$819.87	\$191.13
KRAFT FOODS INC CLASS A	KFT	47.000	03/24/10	1,442.23	11/10/10	1,433.18	(9.05)
	KFT	32.000	03/25/10	986.68	01/05/11	1,011.79	25.11
	KFT	2.000	03/24/10	61.37	01/05/11	63.24	1.87
	KFT	2.000	05/13/10	61.02	01/21/11	62.46	1.44

ATTACHMENT I
 MorganStanley
 SmithBarney

Harry Dent Family Fdtn., Inc.
 Jennison - Large Cap Growth
 Brisbane Building
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	KFT	27.000	03/25/10	\$832.52	01/21/11	\$843.23	\$10.71
	KFT	22.000	03/26/10	671.62	01/21/11	687.08	15.46
	KFT	8.000	05/14/10	239.44	01/25/11	249.49	10.05
	KFT	15.000	05/13/10	457.62	01/25/11	467.78	10.16
	KFT	58.000	07/13/10	1,703.88	01/26/11	1,799.99	96.11
	KFT	8.000	05/14/10	239.43	01/26/11	248.27	8.84
Total		221.000		\$6,695.81		\$6,866.51	\$170.70
MCDONALDS CORP	MCD	12.000	09/07/10	908.30	01/27/11	899.69	(8.61)
	MCD	11.000	09/09/10	813.86	02/18/11	837.88	24.02
	MCD	15.000	09/08/10	1,139.01	02/18/11	1,142.56	3.55
	MCD	4.000	09/07/10	302.77	02/18/11	304.68	1.91
	MCD	17.000	02/02/11	1,252.17	03/16/11	1,254.40	2.23
	MCD	17.000	10/14/10	1,304.98	03/16/11	1,254.40	(50.58)
	MCD	20.000	09/09/10	1,479.74	03/16/11	1,475.76	(3.98)
Total		96.000		\$7,200.83		\$7,169.37	(\$31.46)
MONSANTO CO NEW	MON	17.000	12/17/10	1,095.94	06/15/11	1,150.02	54.08
MARVELL TECHNOLOGY GROUP	MRVL	12.000	06/22/10	222.99	11/22/10	240.00	17.01
	MRVL	22.000	06/22/10	408.81	11/23/10	436.60	27.79
	MRVL	79.000	06/23/10	1,458.04	12/17/10	1,490.70	32.66
	MRVL	43.000	06/22/10	799.04	12/17/10	811.39	12.35
	MRVL	22.000	06/23/10	406.04	12/20/10	418.69	12.65
	MRVL	29.000	07/12/10	491.30	12/22/10	545.11	53.81
	MRVL	2.000	06/23/10	36.91	12/22/10	37.59	0.68
	MRVL	16.000	07/13/10	276.50	12/27/10	299.28	22.78
	MRVL	4.000	07/12/10	67.77	12/27/10	74.82	7.05
	MRVL	8.000	09/08/10	138.52	12/30/10	146.40	7.88

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	MRVL	10,000	07/13/10	\$172.82	12/30/10	\$182.99	\$10.17
	MRVL	14,000	09/08/10	242.40	01/03/11	260.39	17.99
	MRVL	20,000	09/08/10	346.30	01/04/11	363.52	17.22
	MRVL	20,000	09/08/10	346.29	01/05/11	361.65	15.36
Total		301,000		\$5,413.73		\$5,669.13	\$255.40
MYLAN INC	MYL	36,000	03/02/10	769.55	11/19/10	704.70	(64.85)
	MYL	14,000	02/25/10	286.99	11/19/10	274.05	(12.94)
Total		50,000		\$1,056.54		\$978.75	(\$77.79)
NATIONAL OILWELL VARCO INC	NOV	4,000	05/26/11	287.90	06/15/11	280.91	(6.99)
NOVO-NORDISK A S ADR	NVO	6,000	02/10/11	703.90	06/15/11	724.30	20.40
NOVARTIS AG ADR	NVS	22,000	10/05/10	1,269.22	11/09/10	1,262.73	(6.49)
	NVS	21,000	10/05/10	1,211.53	11/10/10	1,186.65	(24.88)
	NVS	14,000	10/06/10	808.62	11/11/10	788.77	(19.85)
	NVS	8,000	10/05/10	461.53	11/11/10	450.73	(10.80)
	NVS	17,000	10/07/10	987.75	11/12/10	953.38	(34.37)
	NVS	4,000	10/06/10	231.04	11/12/10	224.33	(6.71)
Total		86,000		\$4,969.69		\$4,866.59	(\$103.10)
ORACLE CORP	ORCL	48,000	09/07/10	1,163.69	06/15/11	1,498.05	334.36
OCCIDENTAL PETROLEUM CORP-D	OXY	8,000	02/18/11	856.96	08/04/11	716.63	(140.33)
	OXY	26,000	02/16/11	2,706.28	08/04/11	2,329.06	(377.22)
Total		34,000		\$3,563.24		\$3,045.69	(\$517.55)
PRICELINE.COM INC (NEW)	PCLN	1,000	11/17/10	405.45	06/15/11	470.37	64.92
PFIZER INC	PFE	31,000	03/15/11	610.46	06/15/11	624.94	14.48

Harry Dent Family Fdtn., Inc.
Jennison - Large Cap Growth
Brisbane Building
Box 28
403 Main Street
Buffalo NY 14203-2109

Realized Gain/Loss - Detail
(11/01/2010 - 10/31/2011)

Prepared by THE ARTHURS GROUP

As of 11/21/2011

Acct. 356-70178-13

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
	PFE	58,000	03/15/11	\$1,142.15	07/06/11	\$1,206.05	\$63.90
	PFE	21,000	03/15/11	413.54	07/07/11	427.57	14.03
	PFE	48,000	03/18/11	963.68	07/08/11	969.66	5.98
	PFE	27,000	03/16/11	527.32	07/08/11	545.43	18.11
	PFE	4,000	03/15/11	78.77	07/08/11	80.80	2.03
	PFE	137,000	03/18/11	2,750.49	07/15/11	2,699.09	(51.40)
Total		326,000		\$6,486.41		\$6,553.54	\$67.13
PHILLIPS-VAN HEUSEN CORP DEL	PVH	14,000	11/01/10	864.81	02/22/11	831.34	(33.47)
	PVH	12,000	10/26/10	756.13	02/22/11	712.58	(43.55)
	PVH	4,000	11/04/10	249.48	02/24/11	238.54	(10.94)
	PVH	8,000	11/01/10	494.17	02/24/11	477.09	(17.08)
	PVH	13,000	11/04/10	810.82	02/25/11	780.86	(29.96)
Total		51,000		\$3,175.41		\$3,040.41	(\$135.00)
QUANTA SERVICES INC	PWR	7,000	05/17/10	155.34	11/03/10	125.12	(30.22)
	PWR	23,000	05/14/10	514.96	11/03/10	411.12	(103.84)
	PWR	23,000	05/13/10	526.23	11/03/10	411.12	(115.11)
	PWR	13,000	05/17/10	288.50	11/08/10	234.25	(54.25)
	PWR	14,000	05/18/10	309.39	11/08/10	252.27	(57.12)
	PWR	21,000	05/19/10	452.94	11/11/10	373.09	(79.85)
	PWR	6,000	05/18/10	132.60	11/11/10	106.60	(26.00)
Total		107,000		\$2,379.96		\$1,913.57	(\$466.39)
QUALCOMM INC	QCOM	27,000	07/14/10	990.04	02/03/11	1,471.80	481.76
	QCOM	8,000	07/16/10	290.46	05/26/11	457.12	166.66
	QCOM	37,000	07/14/10	1,356.73	05/26/11	2,114.18	757.45
	QCOM	14,000	07/16/10	508.32	06/15/11	756.26	247.94

ATTACHMENT I
MorganStanley
SmithBarney

Harry Dent Family Fdn., Inc.
Jennison - Large Cap Growth
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**Realized Gain/Loss - Detail
(11/01/2010 - 10/31/2011)**

Prepared by THE ARTHURS GROUP

As of 11/21/2011

Acct. 356-70178-13

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Total		86,000		\$3,145.55		\$4,799.36	\$1,653.81
RED HAT INC							
	RHT	6,000	10/01/10	\$247.09	06/15/11	\$249.29	\$2.20
	RHT	12,000	09/30/10	489.40	06/15/11	498.59	9.19
	RHT	7,000	10/15/10	280.00	09/22/11	292.02	12.02
	RHT	28,000	10/07/10	1,069.55	09/22/11	1,168.09	98.54
	RHT	6,000	10/01/10	247.08	09/22/11	250.31	3.23
	RHT	8,000	10/18/10	315.74	10/04/11	317.19	1.45
	RHT	5,000	10/15/10	200.00	10/04/11	198.25	(1.75)
	RHT	4,000	10/18/10	157.87	10/05/11	160.15	2.28
	RHT	4,000	10/19/10	156.18	10/05/11	160.14	3.96
Total		80,000		\$3,162.91		\$3,294.03	\$131.12
POLO RALPH LAUREN CORP CL A	RL	6,000	04/05/10	528.46	03/25/11	719.99	191.53
SUCCESSFACTORS INC	SFSF	4,000	04/19/11	148.58	06/15/11	122.15	(26.43)
	SFSF	2,000	04/27/11	72.38	07/29/11	53.98	(18.40)
	SFSF	7,000	04/26/11	279.76	07/29/11	188.91	(90.85)
	SFSF	11,000	04/19/11	408.58	07/29/11	296.86	(111.72)
	SFSF	20,000	04/27/11	723.82	08/03/11	580.31	(143.51)
Total		44,000		\$1,633.12		\$1,242.21	(\$390.91)
TEVA PHARMACEUTICAL INDS	TEVA	14,000	12/09/10	734.43	04/21/11	644.21	(90.22)
TARGET CORP	TGT	3,000	04/09/10	167.23	01/07/11	165.01	(2.22)
	TGT	25,000	01/07/10	1,254.78	01/07/11	1,375.06	120.28
	TGT	6,000	04/09/10	334.47	01/10/11	331.86	(2.61)
	TGT	10,000	07/16/10	503.60	01/10/11	553.10	49.50
	TGT	6,000	07/19/10	299.95	01/10/11	331.87	31.92
	TGT	8,000	04/13/10	448.00	01/10/11	442.48	(5.52)

Morgan Stanley
Smith BarneyHarry Dent Family Fdtn., Inc.
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Buffalo NY 14203-2109**Realized Gain/Loss - Detail**
(11/01/2010 - 10/31/2011)

Prepared by THE ARTHURS GROUP

As of 11/21/2011

Acct. 356-70178-13

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Total		58,000		\$3,008.03		\$3,199.38	\$191.35
UNILEVER PLC SPONS ADR NEW	UL	10,000	07/23/10	\$299.95	02/03/11	\$297.17	(\$2.78)
	UL	23,000	07/21/10	674.48	02/03/11	683.46	8.98
	UL	11,000	07/22/10	328.56	02/03/11	326.87	(1.69)
Total		44,000		\$1,302.99		\$1,307.50	\$4.51
UNITED PARCEL SERVICE CL B	UPS	12,000	01/21/11	873.10	05/10/11	893.54	20.44
	UPS	25,000	01/21/11	1,818.96	05/18/11	1,840.59	21.63
	UPS	9,000	02/02/11	667.76	06/02/11	650.34	(17.42)
	UPS	1,000	01/21/11	72.76	06/02/11	72.26	(0.50)
	UPS	10,000	02/02/11	741.95	06/06/11	702.10	(39.85)
	UPS	15,000	02/03/11	1,113.71	06/08/11	1,039.33	(74.38)
	UPS	4,000	02/02/11	296.78	06/08/11	277.16	(19.62)
Total		76,000		\$5,585.02		\$5,475.32	(\$109.70)
URBAN OUTFITTERS INC	URBN	5,000	11/11/10	164.55	06/15/11	144.30	(20.25)
	URBN	8,000	11/10/10	266.62	06/15/11	230.87	(35.75)
	URBN	7,000	11/11/10	230.37	09/07/11	175.24	(55.13)
	URBN	12,000	11/12/10	394.59	09/07/11	300.42	(94.17)
	URBN	27,000	12/13/10	995.44	09/07/11	675.94	(319.50)
	URBN	17,000	01/07/11	607.26	09/07/11	425.59	(181.67)
	URBN	38,000	01/25/11	1,297.54	09/07/11	951.31	(346.23)
	URBN	17,000	01/05/11	606.78	09/07/11	425.59	(181.19)
Total		131,000		\$4,563.15		\$3,329.26	(\$1,233.89)
VISA INC COM CL A	V	17,000	05/27/10	1,257.72	01/20/11	1,182.40	(75.32)
	V	18,000	03/03/10	1,562.23	01/20/11	1,251.95	(310.28)

MorganStanley
SmithBarneyHarry Dent Family Fdtn., Inc.
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(11/01/2010 - 10/31/2011)403 Main Street
Buffalo NY 14203-2109

Prepared by THE ARTHURS GROUP

As of 11/21/2011

Acct. 356-70178-13

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Total		35,000		\$2,819.95		\$2,434.35	(\$385.60)
VERTEX PHARMACEUTICALS INC							
VRTX		4,000	06/18/10	\$146.77	02/01/11	\$157.28	\$10.51
VRTX		8,000	06/18/10	293.55	05/19/11	436.73	143.18
VRTX		8,000	06/22/10	291.43	05/19/11	436.73	145.30
VRTX		7,000	09/13/10	257.33	06/15/11	325.63	68.30
Total		27,000		\$989.08		\$1,356.37	\$367.29
VALEANT PHARMACEUTICALS							
VRX		5,000	07/28/11	277.80	08/04/11	215.64	(62.16)
VRX		10,000	07/27/11	555.55	08/04/11	431.29	(124.26)
VRX		14,000	07/28/11	777.84	08/05/11	526.45	(251.39)
Total		29,000		\$1,611.19		\$1,173.38	(\$437.81)
YOUKU INC ADR	YOKU	4,000	05/20/11	192.76	06/15/11	108.99	(83.77)
Short Term Total				\$177,515.92		\$180,504.15	\$2,988.23
Long Term							
AGILENT TECHNOLOGIES INC	A	15,000	09/17/09	\$427.32	02/08/11	\$664.20	\$236.88
A	A	8,000	09/17/09	227.91	03/08/11	368.09	140.18
A	A	5,000	09/24/09	139.44	03/08/11	230.06	90.62
A	A	18,000	09/23/09	513.00	03/08/11	828.20	315.20
A	A	2,000	03/02/10	64.65	06/15/11	95.48	30.83
A	A	14,000	09/24/09	390.43	06/15/11	668.34	277.91
Total		62,000		\$1,762.75		\$2,854.37	\$1,091.62
APPLE INC	AAPL	2,000	11/25/08	179.58	01/18/11	683.40	503.82
AAPL	AAPL	4,000	11/25/08	359.15	01/19/11	1,372.25	1,013.10
AAPL	AAPL	2,000	12/04/08	185.58	05/13/11	686.19	500.61

MorganStanley
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(11/01/2010 - 10/31/2011)**

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Acct. 356-70178-13

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
	AAPL	1.000	11/25/08	\$89.79	05/13/11	\$343.10	\$253.31
	AAPL	7.000	12/04/08	649.55	06/15/11	2,285.03	1,635.48
	Total	16.000		\$1,463.65		\$5,369.97	\$3,906.32
ALCON INC	ACL	5.000	10/30/08	445.35	11/05/10	834.05	388.70
	ACL	4.000	10/30/08	356.28	11/08/10	666.01	309.73
	ACL	12.000	10/31/08	1,038.35	12/10/10	1,929.73	891.38
	ACL	9.000	10/30/08	801.62	12/10/10	1,447.30	645.68
	Total	30.000		\$2,641.60		\$4,877.09	\$2,235.49
AMAZON COM INC	AMZN	1.000	12/21/07	91.61	02/18/11	185.89	94.28
	AMZN	11.000	12/20/07	986.16	02/18/11	2,044.75	1,058.59
	AMZN	1.000	02/11/08	74.62	06/15/11	187.20	112.58
	AMZN	11.000	12/21/07	1,007.67	06/15/11	2,059.15	1,051.48
	AMZN	2.000	02/11/08	149.25	10/19/11	463.81	314.56
	Total	26.000		\$2,309.31		\$4,940.80	\$2,631.49
BOEING CO	BA	7.000	02/19/10	447.60	03/02/11	481.81	34.21
	BA	4.000	03/01/10	255.97	06/15/11	295.51	39.54
	BA	7.000	02/24/10	440.77	06/15/11	517.15	76.38
	Total	18.000		\$1,144.34		\$1,294.47	\$150.13
BAIDU INC SPON ADR RPTNG	BIDU	16.000	05/13/09	368.00	04/20/11	2,398.85	2,030.85
	BIDU	17.000	05/13/09	391.00	06/15/11	2,025.51	1,634.51
	BIDU	7.000	05/13/09	161.00	08/09/11	958.66	797.66
	BIDU	17.000	10/27/09	626.31	08/09/11	2,328.16	1,701.85
	Total	57.000		\$1,546.31		\$7,711.18	\$6,164.87
CELGENE CORP	CELG	1.000	06/27/08	63.14	12/02/10	60.50	(2.64)

HARRY DENT FAMILY FOUNDATION
EIN: 16-0849923

Page 28 of 100

Harry Dent Family Fdtn., Inc.
Jennison - Large Cap Growth
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ATTACHMENT I
MorganStanley
SmithBarney

**Realized Gain/Loss - Detail
(11/01/2010 - 10/31/2011)**

Prepared by THE ARTHURS GROUP

As of 11/21/2011

Acct. 356-70178-13

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
	CELG	8.000	06/18/08	\$481.62	12/02/10	\$483.99	\$2.37
	CELG	1.000	07/16/08	72.21	12/03/10	60.50	(11.71)
	CELG	8.000	06/27/08	505.12	12/03/10	483.99	(21.13)
	CELG	18.000	07/25/08	1,336.34	01/31/11	927.89	(408.45)
	CELG	15.000	07/16/08	1,083.16	01/31/11	773.24	(309.92)
	CELG	11.000	05/19/09	430.86	02/04/11	549.99	119.13
	CELG	4.000	07/25/08	296.97	02/04/11	199.99	(96.98)
	CELG	2.000	05/19/09	78.34	06/15/11	116.12	37.78
	CELG	14.000	05/26/09	562.07	06/15/11	812.82	250.75
Total		82.000		\$4,909.83		\$4,469.03	(\$440.80)
COACH INC	COH	9.000	05/07/09	231.51	01/05/11	467.41	235.90
	COH	6.000	05/11/09	150.08	01/05/11	311.61	161.53
	COH	16.000	05/11/09	400.20	03/02/11	864.82	464.62
	COH	9.000	05/21/09	215.98	06/15/11	525.94	309.96
	COH	2.000	05/11/09	50.03	06/15/11	116.88	66.85
	COH	8.000	05/21/09	191.98	08/09/11	419.27	227.29
	COH	6.000	05/21/09	143.99	08/11/11	311.99	168.00
Total		56.000		\$1,383.77		\$3,017.92	\$1,634.15
COSTCO WHOLESALE CORP NEW	COST	1.000	12/13/07	66.94	03/02/11	72.36	5.42
	COST	5.000	06/29/07	294.40	03/02/11	361.81	67.41
	COST	11.000	12/13/07	736.31	06/15/11	856.55	120.24
Total		17.000		\$1,097.65		\$1,290.72	\$193.07
SALESFORCE.COM INC	CRM	8.000	06/18/09	318.73	06/15/11	1,125.41	806.68
CISCO SYS INC	CSCO	2.000	03/18/09	33.46	11/15/10	40.01	6.55
	CSCO	58.000	10/20/08	1,075.98	11/15/10	1,160.06	84.08

Harry Dent Family Fdtn., Inc.
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Acct. 356-70178-13

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	CSCO	4.000	01/15/08	\$103.78	11/15/10	\$80.00	(\$23.78)
	CSCO	65.000	03/18/09	1,087.51	12/21/10	1,270.43	182.92
	CSCO	20.000	03/18/09	334.62	02/01/11	429.38	94.76
	CSCO	59.000	11/05/09	1,408.25	02/01/11	1,266.69	(141.56)
	CSCO	27.000	09/03/09	579.39	02/01/11	579.67	0.28
	CSCO	63.000	03/24/09	1,056.03	02/01/11	1,352.56	296.53
	CSCO	27.000	09/03/09	580.82	02/01/11	579.67	(1.15)
Total		325.000		\$6,259.84		\$6,758.47	\$498.63
DOLLAR GENERAL CORP							
	DG	1.000	02/04/10	23.05	02/08/11	27.56	4.51
	DG	11.000	02/03/10	258.50	02/08/11	303.16	44.66
	DG	15.000	01/22/10	348.89	02/08/11	413.40	64.51
	DG	15.000	01/14/10	361.10	02/08/11	413.40	52.30
	DG	5.000	01/12/10	119.32	02/08/11	137.80	18.48
	DG	20.000	02/04/10	460.99	02/10/11	553.88	92.89
Total		67.000		\$1,571.85		\$1,849.20	\$277.35
WALT DISNEY CO							
	DIS	42.000	01/18/07	1,482.95	12/10/10	1,542.39	59.44
	DIS	35.000	01/18/07	1,235.80	02/18/11	1,519.97	284.17
	DIS	10.000	01/18/07	353.08	03/01/11	433.49	80.41
	DIS	25.000	01/18/07	882.71	03/07/11	1,066.93	184.22
	DIS	29.000	01/18/07	1,023.94	06/15/11	1,116.47	92.53
	DIS	7.000	08/29/07	232.43	07/26/11	284.47	52.04
	DIS	22.000	01/18/07	776.79	07/26/11	894.06	117.27
	DIS	19.000	08/30/07	637.46	08/10/11	608.03	(29.43)
	DIS	12.000	08/29/07	398.45	08/10/11	384.02	(14.43)
	DIS	18.000	02/09/09	347.63	08/11/11	584.32	236.69
	DIS	3.000	08/30/07	100.65	08/11/11	97.39	(3.26)

MorganStanley
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As of 11/21/2011

Acct. 356-70178-13

Realized Gain/Loss - Detail
(11/01/2010 - 10/31/2011)

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Total		222.000		\$7,471.89		\$8,531.54	\$1,059.65
EXPRESS SCRIPTS INC.COMMON	ESRX	21.000	01/19/10	\$957.79	04/19/11	\$1,157.41	\$199.62
	ESRX	9.000	02/18/10	402.53	06/15/11	498.14	95.61
	ESRX	7.000	01/19/10	319.26	06/15/11	387.44	68.18
Total		37.000		\$1,679.58		\$2,042.99	\$363.41
GOOGLE INC	GOOG	3.000	03/14/07	1,326.03	06/15/11	1,507.83	181.80
	GOOG	1.000	03/14/07	442.01	10/03/11	503.60	61.59
	GOOG	2.000	02/04/08	987.73	10/03/11	1,007.20	19.47
Total		6.000		\$2,755.77		\$3,018.63	\$262.86
GOLDMAN SACHS GROUP INC	GS	2.000	03/30/09	208.90	03/16/11	312.89	103.99
	GS	7.000	03/11/09	636.88	03/16/11	1,095.13	458.25
	GS	7.000	04/14/09	858.15	05/19/11	976.69	118.54
	GS	7.000	03/30/09	731.15	05/19/11	976.69	245.54
	GS	4.000	04/14/09	490.37	06/15/11	539.70	49.33
Total		27.000		\$2,925.45		\$3,901.10	\$975.65
ILLUMINA INC	ILMN	1.000	10/06/09	43.85	06/15/11	70.93	27.08
	ILMN	10.000	09/24/09	391.78	06/15/11	709.28	317.50
Total		11.000		\$435.63		\$780.21	\$344.58
INGERSOLL-RAND PUBLIC LTD	IR	11.000	04/15/10	412.70	06/15/11	472.88	60.18
	IR	7.000	04/14/10	258.93	06/15/11	300.92	41.99
	IR	11.000	04/16/10	407.58	06/27/11	479.28	71.70
	IR	6.000	04/15/10	225.11	06/27/11	261.43	36.32
	IR	5.000	04/16/10	185.27	06/29/11	220.30	35.03
	IR	10.000	04/19/10	366.69	06/29/11	440.60	73.91

Harry Dent Family Fdtn., Inc.
Jennison - Large Cap Growth
Brisbane Building
Box 28
403 Main Street
Buffalo NY 14203-2109

Realized Gain/Loss - Detail **(11/01/2010 - 10/31/2011)**

Prepared by THE ARTHURS GROUP

As of 11/21/2011

Acct. 356-70178-13

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
IR	IR	14.000	04/20/10	\$529.75	06/30/11	\$634.95	\$105.20
IR	IR	16.000	04/19/10	586.71	06/30/11	725.66	138.95
IR	IR	13.000	04/21/10	490.64	07/21/11	538.37	47.73
Total		93.000		\$3,463.38		\$4,074.39	\$611.01
JUNIPER NETWORKS INC							
JNPR	JNPR	19.000	11/25/09	497.75	01/21/11	662.87	165.12
JNPR	JNPR	5.000	11/30/09	130.00	01/24/11	173.91	43.91
JNPR	JNPR	30.000	11/25/09	785.91	01/24/11	1,043.48	257.57
JNPR	JNPR	1.000	12/03/09	27.18	03/07/11	44.03	16.85
JNPR	JNPR	13.000	11/30/09	338.00	03/07/11	572.38	234.38
JNPR	JNPR	24.000	12/03/09	652.23	06/15/11	702.70	50.47
JNPR	JNPR	3.000	12/07/09	82.06	06/15/11	87.84	5.78
JNPR	JNPR	17.000	01/05/10	458.12	07/29/11	404.03	(54.09)
JNPR	JNPR	27.000	12/09/09	731.15	07/29/11	641.71	(89.44)
JNPR	JNPR	27.000	12/07/09	738.58	07/29/11	641.71	(96.87)
JNPR	JNPR	2.000	04/21/10	59.37	10/21/11	42.41	(16.96)
JNPR	JNPR	18.000	02/05/10	443.73	10/21/11	381.60	(62.13)
JNPR	JNPR	21.000	02/04/10	521.60	10/21/11	445.20	(76.40)
JNPR	JNPR	15.000	02/03/10	379.56	10/21/11	318.00	(61.56)
JNPR	JNPR	20.000	02/02/10	501.33	10/21/11	424.00	(77.33)
JNPR	JNPR	9.000	01/05/10	242.53	10/21/11	190.80	(51.73)
Total		251.000		\$6,589.10		\$6,776.67	\$187.57
MASTERCARD INC							
MA	MA	1.000	03/02/09	152.82	04/29/11	274.75	121.93
MA	MA	4.000	02/19/09	631.07	04/29/11	1,099.02	467.95
MA	MA	1.000	02/06/09	156.90	04/29/11	274.76	117.86
MA	MA	4.000	03/02/09	611.27	05/02/11	1,118.05	506.78
MA	MA	3.000	03/25/09	489.18	06/15/11	817.66	328.48
MA	MA	1.000	03/02/09	152.82	06/15/11	272.55	119.73

MorganStanley
SmithBarneyHarry Dent Family Fdtn., Inc.
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Buffalo NY 14203-2109

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As of 11/21/2011

Acct. 356-70178-13

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Total		14.000		\$2,194.06		\$3,856.79	\$1,662.73
MARRIOTT INTL INC NEW CL A							
MAR		7.000	09/11/09	\$171.27	03/02/11	\$263.34	\$92.07
MAR		16.000	09/10/09	385.48	03/02/11	601.91	216.43
MAR		5.000	09/09/09	116.96	03/02/11	188.10	71.14
MAR		5.000	09/15/09	126.61	03/07/11	188.99	62.38
MAR		20.000	09/11/09	489.34	03/07/11	755.96	266.62
MAR		2.000	09/16/09	52.70	06/15/11	67.20	14.50
MAR		14.000	09/15/09	354.49	06/15/11	470.38	115.89
MAR		7.000	09/16/09	184.43	07/18/11	239.68	55.25
MAR		6.000	09/16/09	158.08	07/19/11	206.99	48.91
MAR		33.000	09/22/09	906.11	07/28/11	1,093.90	187.79
MAR		1.000	09/16/09	26.35	07/28/11	33.15	6.80
MAR		5.000	10/08/09	132.66	07/29/11	162.34	29.68
MAR		16.000	09/22/09	439.33	07/29/11	519.48	80.15
MAR		19.000	02/05/10	490.13	08/04/11	566.23	76.10
MAR		29.000	05/25/10	910.82	08/04/11	864.24	(46.58)
MAR		19.000	10/09/09	499.05	08/04/11	566.23	67.18
MAR		15.000	10/08/09	397.97	08/04/11	447.02	49.05
Total		219.000		\$5,841.78		\$7,235.14	\$1,393.36
MEDCO HEALTH SOLUTIONS INC							
MHS		19.000	06/12/09	854.45	03/18/11	1,035.48	181.03
MHS		31.000	02/27/09	1,295.44	03/18/11	1,689.46	394.02
MHS		3.000	12/16/09	191.99	03/21/11	157.40	(34.59)
MHS		13.000	06/12/09	584.63	03/21/11	682.06	97.43
MHS		17.000	12/16/09	1,087.97	03/22/11	905.45	(182.52)
MHS		15.000	12/17/09	932.02	03/23/11	789.96	(142.06)
MHS		1.000	12/16/09	64.00	03/23/11	52.66	(11.34)

MorganStanley
SmithBarneyHarry Dent Family Fdtn., Inc.
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Buffalo NY 14203-2109

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As of 11/21/2011

Acct. 356-70178-13

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Total		99,000		\$5,010.50		\$5,312.47	\$301.97
MEAD JOHNSON NUTRITION CO	MJN	10,000	02/26/10	\$470.00	06/15/11	\$645.48	\$175.48
MYLAN INC	MYL	27,000	12/11/07	373.38	11/10/10	527.07	153.69
	MYL	14,000	12/11/07	193.60	11/11/10	272.43	78.83
	MYL	13,000	12/11/07	179.77	11/12/10	249.69	69.92
	MYL	14,000	12/11/07	193.61	11/17/10	266.06	72.45
	MYL	14,000	12/11/07	193.60	11/18/10	268.94	75.34
	MYL	1,000	12/11/07	13.83	11/19/10	19.58	5.75
Total		83,000		\$1,147.79		\$1,603.77	\$455.98
NIKE INC CL B	NKE	14,000	11/26/07	888.84	01/05/11	1,182.13	293.29
	NKE	11,000	11/26/07	698.37	02/18/11	967.63	269.26
	NKE	6,000	11/26/07	380.93	03/01/11	532.17	151.24
	NKE	12,000	11/26/07	761.86	03/25/11	923.40	161.54
	NKE	10,000	11/30/07	658.33	06/15/11	802.58	144.25
	NKE	1,000	11/26/07	63.49	06/15/11	80.26	16.77
	NKE	5,000	11/30/07	329.16	07/18/11	453.30	124.14
Total		59,000		\$3,780.98		\$4,941.47	\$1,160.49
NETAPP INC	NTAP	12,000	09/10/09	292.11	11/02/10	646.40	354.29
	NTAP	22,000	09/17/09	544.33	01/27/11	1,212.14	667.81
	NTAP	12,000	09/10/09	292.11	01/27/11	661.16	369.05
	NTAP	7,000	09/24/09	181.82	04/14/11	340.16	158.34
	NTAP	16,000	09/17/09	395.88	04/14/11	777.51	381.63
	NTAP	1,000	09/25/09	25.99	04/15/11	48.59	22.60
	NTAP	10,000	09/24/09	259.75	04/15/11	485.85	226.10
	NTAP	7,000	10/02/09	181.56	04/20/11	343.26	161.70

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Buffalo NY 14203-2109

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As of 11/21/2011

Acct. 356-70178-13

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
	NTAP	13.000	09/25/09	\$337.89	04/20/11	\$637.48	\$299.59
	NTAP	10.000	10/09/09	288.22	06/15/11	506.69	218.47
	NTAP	7.000	10/02/09	181.56	06/15/11	354.68	173.12
	Total	117.000		\$2,981.22		\$6,013.92	\$3,032.70
OCCIDENTAL PETROLEUM CORP-D	OXY	9.000	02/09/09	527.31	01/27/11	863.76	336.45
	OXY	8.000	06/09/09	551.22	03/25/11	807.79	256.57
	OXY	1.000	02/09/09	58.59	03/25/11	100.97	42.38
	OXY	1.000	06/11/09	69.95	06/15/11	102.16	32.21
	OXY	10.000	06/09/09	689.03	06/15/11	1,021.57	332.54
	OXY	14.000	07/01/09	929.17	07/27/11	1,424.00	494.83
	OXY	5.000	06/11/09	349.74	07/27/11	508.57	158.83
	OXY	4.000	06/12/09	277.23	07/27/11	406.86	129.63
	OXY	13.000	02/02/10	1,044.44	07/27/11	1,322.28	277.84
	OXY	5.000	01/26/10	386.91	07/27/11	508.57	121.66
	OXY	10.000	01/22/10	770.82	07/27/11	1,017.14	246.32
	OXY	11.000	05/25/10	849.92	08/04/11	985.37	135.45
	OXY	5.000	02/02/10	401.71	08/04/11	447.90	46.19
	Total	96.000		\$6,906.04		\$9,516.94	\$2,610.90
PRECISION CASTPARTS CORP	PCP	7.000	10/28/09	682.23	06/15/11	1,057.60	375.37
	PCP	10.000	10/28/09	974.61	08/09/11	1,449.64	475.03
	Total	17.000		\$1,656.84		\$2,507.24	\$850.40
POLO RALPH LAUREN CORP CL A	RL	5.000	04/16/10	448.19	06/15/11	602.79	154.60
	RL	2.000	04/05/10	176.15	06/15/11	241.11	64.96
	RL	5.000	04/19/10	447.92	07/29/11	676.29	228.37
	RL	5.000	04/16/10	448.19	07/29/11	676.30	228.11
	RL	5.000	04/30/10	453.63	08/09/11	582.80	129.17

MorganStanley
SmithBarneyHARRY DENT, FAMILY FOUNDATION
EIN 16-0849923Harry Dent Family Fdtn., Inc.
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Buffalo NY 14203-2109**Realized Gain/Loss - Detail**
(11/01/2010 - 10/31/2011)

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As of 11/21/2011

Acct. 356-70178-13

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
RL	RL	3.000	04/19/10	\$268.75	08/09/11	\$349.68	\$80.93
Total	Total	25.000		\$2,242.83		\$3,128.97	\$886.14
STARBUCKS CORP	SBUX	43.000	01/11/10	993.02	02/18/11	1,459.91	466.89
SBUX	SBUX	28.000	01/11/10	646.62	06/15/11	976.90	330.28
SBUX	SBUX	13.000	01/11/10	300.21	08/09/11	446.07	145.86
Total	Total	84.000		\$1,939.85		\$2,882.88	\$943.03
SCHWAB CHARLES CORP	SCHW	14.000	11/02/07	319.34	03/02/11	258.81	(60.53)
SCHW	SCHW	8.000	11/01/07	183.75	03/02/11	147.89	(35.86)
SCHW	SCHW	2.000	04/16/08	39.92	03/02/11	36.98	(2.94)
SCHW	SCHW	23.000	04/16/08	459.05	06/03/11	384.97	(74.08)
SCHW	SCHW	21.000	04/16/08	419.13	06/06/11	346.24	(72.89)
SCHW	SCHW	3.000	12/16/08	48.63	06/06/11	49.46	0.83
SCHW	SCHW	33.000	12/23/08	488.80	06/07/11	536.79	47.99
SCHW	SCHW	10.000	12/16/08	162.12	06/07/11	162.67	0.55
SCHW	SCHW	32.000	01/06/09	519.82	06/13/11	509.49	(10.33)
SCHW	SCHW	2.000	12/23/08	29.62	06/13/11	31.84	2.22
SCHW	SCHW	35.000	01/06/09	568.56	06/14/11	575.45	6.89
SCHW	SCHW	15.000	01/16/09	221.28	06/15/11	240.59	19.31
SCHW	SCHW	2.000	01/06/09	32.49	06/15/11	32.08	(0.41)
SCHW	SCHW	32.000	03/31/09	490.85	08/05/11	435.63	(55.22)
SCHW	SCHW	7.000	01/16/09	103.27	08/05/11	95.30	(7.97)
SCHW	SCHW	26.000	10/16/09	470.12	08/11/11	319.49	(150.63)
SCHW	SCHW	19.000	11/10/09	329.91	08/11/11	233.48	(96.43)
SCHW	SCHW	25.000	10/16/09	452.03	08/11/11	291.74	(160.29)
SCHW	SCHW	15.000	03/31/09	230.09	08/11/11	175.05	(55.04)
SCHW	SCHW	33.000	12/28/09	627.99	08/11/11	405.51	(222.48)

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Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Total		357,000		\$6,196.77		\$5,269.46	(\$927.31)
SHIRE PLC ADR	SHPGY	5,000	09/30/08	\$237.34	06/15/11	\$447.49	\$210.15
	SHPGY	5,000	09/26/08	253.66	06/15/11	447.49	193.83
Total		10,000		\$491.00		\$894.98	\$403.98
SCHLUMBERGER LTD	SLB	8,000	07/09/08	784.00	05/25/11	674.71	(109.29)
	SLB	12,000	07/01/08	1,299.83	05/25/11	1,012.05	(287.78)
	SLB	8,000	05/07/08	828.37	05/25/11	674.70	(153.67)
	SLB	19,000	07/18/08	1,918.42	06/15/11	1,566.13	(352.29)
	SLB	1,000	07/09/08	98.00	06/15/11	82.43	(15.57)
	SLB	12,000	02/10/09	536.54	09/20/11	848.07	311.53
	SLB	3,000	07/18/08	302.91	09/20/11	212.02	(90.89)
	SLB	6,000	08/28/09	343.20	09/20/11	424.04	80.84
	SLB	15,000	09/11/09	901.41	10/03/11	881.12	(20.29)
	SLB	10,000	08/28/09	572.00	10/03/11	587.42	15.42
	SLB	7,000	01/07/10	484.69	10/04/11	395.49	(89.20)
	SLB	15,000	10/13/09	958.05	10/04/11	847.49	(110.56)
	SLB	2,000	09/11/09	120.19	10/04/11	113.00	(7.19)
Total		118,000		\$9,147.61		\$8,318.67	(\$828.94)
TEVA PHARMACEUTICAL INDS	TEVA	23,000	09/24/07	1,011.48	02/10/11	1,175.57	164.09
	TEVA	1,000	07/22/08	44.17	03/16/11	47.61	3.44
	TEVA	8,000	09/24/07	351.82	03/16/11	380.84	29.02
	TEVA	18,000	07/22/08	794.97	04/11/11	881.36	86.39
	TEVA	14,000	07/23/08	642.48	04/12/11	683.78	41.30
	TEVA	1,000	07/22/08	44.17	04/12/11	48.84	4.67
	TEVA	28,000	07/23/08	1,284.97	04/19/11	1,357.38	72.41
	TEVA	8,000	01/20/09	341.80	04/19/11	387.82	46.02

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TEVA	TEVA	13,000	06/16/09	\$624.26	04/21/11	\$598.20	(\$26.06)
TEVA	TEVA	19,000	01/20/09	811.79	04/21/11	874.29	62.50
Total		133,000		\$5,951.91		\$6,435.69	\$483.78
TARGET CORP							
TGT	TGT	8,000	09/03/09	376.00	01/06/11	441.16	65.16
TGT	TGT	24,000	09/01/09	1,117.67	01/06/11	1,323.47	205.80
TGT	TGT	22,000	09/10/09	1,054.49	01/07/11	1,210.05	155.56
TGT	TGT	20,000	09/09/09	952.00	01/07/11	1,100.05	148.05
TGT	TGT	15,000	09/04/09	704.94	01/07/11	825.04	120.10
TGT	TGT	5,000	09/03/09	235.00	01/07/11	275.01	40.01
Total		94,000		\$4,440.10		\$5,174.78	\$734.68
TIFFANY & CO NEW							
TIF	TIF	17,000	09/01/09	594.97	12/23/10	1,087.46	492.49
TIF	TIF	10,000	09/28/09	376.06	01/27/11	591.36	215.30
TIF	TIF	10,000	09/01/09	349.99	01/27/11	591.36	241.37
TIF	TIF	5,000	10/01/09	189.71	06/15/11	364.09	174.38
TIF	TIF	1,000	09/28/09	37.61	06/15/11	72.82	35.21
Total		43,000		\$1,548.34		\$2,707.09	\$1,158.75
UNILEVER PLC SPONS ADR NEW							
UL	UL	25,000	11/11/09	761.95	12/09/10	751.65	(10.30)
UL	UL	30,000	10/14/09	887.04	12/09/10	901.98	14.94
UL	UL	26,000	11/24/09	788.70	01/27/11	768.05	(20.65)
UL	UL	7,000	11/11/09	213.34	01/27/11	206.78	(6.56)
UL	UL	16,000	12/18/09	496.84	02/03/11	475.45	(21.39)
UL	UL	18,000	12/17/09	554.23	02/03/11	534.89	(19.34)
UL	UL	7,000	12/16/09	220.61	02/03/11	208.01	(12.60)
UL	UL	9,000	11/24/09	273.01	02/03/11	267.44	(5.57)
Total		138,000		\$4,195.72		\$4,114.25	(\$81.47)

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UNION PACIFIC CORP	UNP	6.000	10/23/09	\$342.24	06/15/11	\$597.88	\$255.64
UNITED TECHNOLOGIES CORP	UTX	10.000	12/18/09	694.29	06/15/11	831.18	136.89
VISA INC COM CL A	V	7.000	08/27/08	510.80	12/13/10	567.57	56.77
	V	7.000	07/11/08	537.59	12/13/10	567.56	29.97
	V	1.000	08/27/08	72.97	01/14/11	71.02	(1.95)
	V	22.000	09/23/08	1,460.23	01/14/11	1,562.48	102.25
	V	13.000	09/23/08	862.87	01/18/11	924.05	61.18
	V	19.000	10/13/08	1,028.02	01/18/11	1,350.54	322.52
	V	5.000	03/24/09	269.24	01/18/11	355.41	86.17
	V	12.000	03/24/09	646.16	01/19/11	830.53	184.37
	V	17.000	03/24/09	915.40	01/20/11	1,182.40	267.00
Total		103.000		\$6,303.28		\$7,411.56	\$1,108.28
VMWARE INC	VMW	5.000	10/06/09	204.68	01/12/11	475.11	270.43
	VMW	5.000	10/06/09	204.69	01/13/11	478.69	274.00
	VMW	10.000	10/09/09	423.00	06/15/11	919.17	496.17
	VMW	2.000	10/06/09	81.87	06/15/11	183.84	101.97
Total		22.000		\$914.24		\$2,056.81	\$1,142.57
VERTEX PHARMACEUTICALS INC	VRTX	17.000	02/20/09	570.51	12/01/10	569.96	(0.55)
	VRTX	5.000	02/23/09	164.78	12/02/10	167.40	2.62
	VRTX	11.000	02/20/09	369.15	12/02/10	368.28	(0.87)
	VRTX	6.000	02/23/09	197.73	12/03/10	201.40	3.67
	VRTX	19.000	02/27/09	592.24	12/03/10	637.77	45.53
	VRTX	5.000	08/05/09	185.12	12/03/10	167.84	(17.28)
	VRTX	6.000	08/05/09	222.14	01/31/11	233.07	10.93
	VRTX	7.000	08/06/09	247.10	01/31/11	271.92	24.82
	VRTX	8.000	08/06/09	282.40	02/01/11	314.57	32.17

MorganStanley
SmithBarneyHarry Dent Family Fdtn., Inc.
Jennison - Large Cap Growth
Brisbane Building
Box 28
403 Main Street
Buffalo NY 14203-2109**Realized Gain/Loss - Detail**
(11/01/2010 - 10/31/2011)

Prepared by THE ARTHURS GROUP

As of 11/21/2011

Acct. 356-70178-13

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Total		84.000		\$2,831.17		\$2,932.21	\$101.04
WHOLE FOODS MKT INC							
	WFM	7.000	02/17/10	\$238.98	06/15/11	\$379.18	\$140.20
	WFM	10.000	02/18/10	338.98	06/15/11	541.69	202.71
	WFM	8.000	02/19/10	270.55	08/09/11	442.28	171.73
Total		25.000		\$848.51		\$1,363.15	\$514.64
WHOLE FOODS MKT INC							
	WFM	14.000	02/17/10	477.97	03/02/11	810.97	333.00
Long Term Total				\$130,285.47		\$171,247.91	\$40,962.44
(11/01/2010 - 10/31/2011) Short & Long Term Total				\$307,801.39		\$351,752.06	\$43,950.67

** Gain/Loss is only calculated when an original cost basis is available

(P) The cost basis information provided is an estimate We have requested, and are awaiting receipt of, the final cost basis allocation information from the issuer.

The above summary/prices/quotes/statistics have been obtained from sources believed reliable but are not necessarily complete and cannot be guaranteed The information contained in client monthly account statements and confirmations reflects all transactions, and as such supersedes all other reports for financial and tax purposes Investments and services offered through Morgan Stanley Smith Barney LLC, and accounts earned by Citigroup Global Markets Inc , Members SIPC © 2011 Morgan Stanley Smith Barney

Harry Dent Family Fdtn., Inc.
Hansberger - Emerging Markets
Brisbane Building
Box 28
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Acct. 356-73257-11

Realized Gain/Loss - Detail **(11/01/2010 - 10/31/2011)**

As of 11/21/2011

Prepared by THE ARTHURS GROUP

Short Term

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
COMPANHIA DE BEBIDAS DAS	ABV	235.000	06/03/11	\$0.01	06/03/11	\$2.89	\$2.88
AKBANK TURK ANONIM	AKBTY	362.000	10/08/10	4,572.06	01/18/11	3,853.37	(718.69)
ADVANCED INFO SERVICES PUB	AVIFY	1,380.000	10/08/10	4,278.00	03/11/11	3,742.49	(535.51)
BANCO BRADESCO SPONS ADR	BBD	284.000	02/08/11	0.01	02/08/11	24.29	24.28
CNOOC LTD SPONS ADR	CEO	4.000	03/11/11	918.21	09/19/11	677.66	(240.55)
CHECK POINT SOFTWARE TECH	CHKP	47.000	10/08/10	1,771.43	01/18/11	2,159.64	388.21
CHINA MOBILE LTD	CHL	7.000	10/08/10	371.62	03/23/11	316.88	(54.74)
BANCOLOMBIA SA	CIB	36.000	10/08/10	2,372.04	03/10/11	2,135.97	(236.07)
CHINA CONSTR BK CORP-CNY	CICHY	114.000	12/10/10	0.01	12/10/10	136.28	136.27
	CICHY	0.090	10/08/10	3.98	12/27/10	1.59	(2.39)
	CICHY	0.159	03/12/10	6.31	12/27/10	2.84	(3.47)
	CICHY	0.251	12/10/10	11.17	12/27/10	4.48	(6.69)
Total		114.500		\$21.47		\$145.19	\$123.72
CIELO SA SPONSORED	CIOXD	0.074	10/13/10	0.58	05/17/11	0.61	0.03
	CIOXD	0.126	10/14/10	1.00	05/17/11	1.03	0.03
	CIOXD	0.123	10/13/10	2.90	05/31/11	3.02	0.12
	CIOXD	0.210	10/14/10	4.99	05/31/11	5.15	0.16
Total		0.533		\$9.47		\$9.81	\$0.34
CIELO SA SPON ADR	CIOXY	27.000	10/13/10	636.26	08/02/11	753.55	117.29
COPA HOLDINGS SA CL A	CPA	51.000	03/22/10	2,931.96	03/10/11	2,699.21	(232.75)
	CPA	28.000	10/08/10	1,466.36	03/10/11	1,481.92	15.56

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MorganStanley
SmithBarneyHarry Dent Family Fdtn., Inc.
Hansberger - Emerging Markets
Brisbane Building
Box 28403 Main Street
Buffalo NY 14203-2109**Realized Gain/Loss - Detail**
(11/01/2010 - 10/31/2011)

Prepared by THE ARTHURS GROUP

As of 11/21/2011

Acct. 356-73257-11

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Total		79,000		\$4,398.32		\$4,181.13	(\$217.19)
CHINA SHENHUA ENERGY CO	CSUAY	55,000	08/19/10	\$2,105.95	12/10/10	\$2,113.95	\$8.00
	CSUAY	63,000	03/12/10	2,848.55	12/10/10	2,421.43	(427.12)
	CSUAY	69,000	10/08/10	3,083.61	12/10/10	2,652.05	(431.56)
	CSUAY	72,000	11/10/10	3,439.33	12/10/10	2,767.35	(671.98)
Total		259,000		\$11,477.44		\$9,954.78	(\$1,522.66)
CTC MEDIA INC	CTCM	249,000	05/16/11	4,793.45	10/18/11	2,772.11	(2,021.34)
DATANG INTL PWR GENERATION	DIPGY	260,000	10/08/10	2,147.60	03/24/11	1,824.80	(322.80)
	DIPGY	14,000	10/08/10	115.64	03/25/11	98.13	(17.51)
Total		274,000		\$2,263.24		\$1,922.93	(\$340.31)
ISHARES INC MSCI TAIWAN	EWT	76,000	03/11/11	1,101.23	06/15/11	1,145.29	44.06
ISHARES MSCI SOUTH KOREA	EWY	20,000	03/11/11	1,151.96	06/15/11	1,249.37	97.41
FIBRIA CELLULOSE S A ADR	FBR	169,000	05/27/10	2,761.46	03/10/11	2,361.71	(399.75)
	FBR	94,000	10/08/10	1,613.98	03/10/11	1,313.61	(300.37)
Total		263,000		\$4,375.44		\$3,675.32	(\$700.12)
HDFC BANK LTD ADR	HDB	36,000	10/08/10	6,749.64	03/25/11	5,815.33	(934.31)
HUANENG POWER INTL SP ADR	HNP	70,000	10/08/10	1,739.50	12/09/10	1,546.03	(193.47)
DESARROLLADORA HOMEX	HXM	61,000	10/08/10	2,006.90	05/06/11	1,569.16	(437.74)
HYPERMARCAS SA SPON	HYPMY	10,000	12/09/10	152.00	10/05/11	44.13	(107.87)
	HYPMY	305,000	12/10/10	4,636.00	10/05/11	1,346.03	(3,289.97)
	HYPMY	352,000	03/16/11	4,035.29	10/05/11	1,553.45	(2,481.84)
Total		667,000		\$8,823.29		\$2,943.61	(\$5,879.68)

Harry Dent Family Fdtn., Inc.
Hansberger - Emerging Markets
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Realized Gain/Loss - Detail **(11/01/2010 - 10/31/2011)**

Prepared by THE ARTHURS GROUP

As of 11/21/2011

Acct. 356-73257-11

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
EMPRESAS ICA S.A DE C.V	ICA	155.000	10/08/10	\$1,552.79	03/16/11	\$1,343.34	(\$209.45)
INDUSTRIAL & COML BK	IDCBY	247.000	12/17/10	0.01	12/17/10	176.54	176.53
	IDCBY	0.259	11/11/10	11.44	12/29/10	3.97	(7.47)
	IDCBY	0.091	10/08/10	3.50	12/29/10	1.39	(2.11)
	IDCBY	0.150	03/12/10	5.63	12/29/10	2.29	(3.34)
Total		247.500		\$20.58		\$184.19	\$163.61
PT INDOSAT-ADR-USD	IIT	56.000	10/08/10	1,831.76	03/11/11	1,606.92	(224.84)
	IIT	77.000	12/10/10	2,430.48	03/11/11	2,209.50	(220.98)
Total		133.000		\$4,262.24		\$3,816.42	(\$445.82)
IMPALA PLATINUM HOLDINGS	IMPUY	129.000	10/08/10	3,641.67	08/16/11	3,124.43	(517.24)
LARSEN AND TOUBRO LTD	LTOUF	62.000	03/30/11	2,356.00	04/07/11	2,349.13	(6.87)
	LTOUF	140.000	03/23/11	4,830.00	04/07/11	5,304.50	474.50
Total		202.000		\$7,186.00		\$7,653.63	\$467.63
MOBILE TELESYSTEMS OJSC	MBT	96.000	10/08/10	2,161.92	04/07/11	2,052.87	(109.05)
POLYUS GOLD ADR	OPYGY	81.000	10/08/10	2,397.60	07/12/11	2,506.15	108.55
ORASCOM CONSTRUCTION	ORSDF	33.000	10/08/10	1,501.50	05/16/11	1,402.50	(99.00)
PETROCHINA CO LTD ADR	PTR	18.000	10/08/10	2,227.50	05/16/11	2,455.49	227.99
	PTR	36.000	12/09/10	4,628.28	05/16/11	4,910.96	282.68
Total		54.000		\$6,855.78		\$7,366.45	\$510.67
DOCTOR REDDYS LABORATORY	RDY	104.000	10/08/10	3,668.70	03/23/11	3,608.39	(60.31)
BANCO SANTANDER-CHILE-CLP	SAN	20.000	10/08/10	1,865.40	08/16/11	1,671.46	(193.94)
STANDARD CHARTERED PLC-USD	SCBFF	37.000	08/18/10	1,038.55	06/15/11	916.84	(121.71)

Harry Dent Family Fdtn., Inc.
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Realized Gain/Loss - Detail (11/01/2010 - 10/31/2011)

Prepared by THE ARTHURS GROUP

As of 11/21/2011

Acct. 356-73257-11

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
SINOPEC SHANGHAI SPONS ADR	SHI	31.000	10/08/10	\$1,306.03	03/10/11	\$1,591.09	\$285.06
SK TELECOM LTD SPON ADR	SKM	74.000	10/08/10	1,359.38	12/09/10	1,381.60	22.22
TELECOM ARGENTINA S.A	TEO	83.000	10/08/10	1,802.76	04/07/11	2,102.92	300.16
TEVA PHARMACEUTICAL INDS	TEVA	65.000	10/08/10	3,453.45	12/09/10	3,401.56	(51.89)
TURKIYE GARANTI BANKASI	TKGBY	398.000	01/19/11	1,971.89	08/16/11	1,398.62	(573.27)
TENARIS S A	TS	36.000	10/08/10	1,474.56	03/30/11	1,757.08	282.52
WEICHAI POWER CO UNSPON	WEICY	11.000	03/11/11	706.57	07/06/11	673.29	(33.28)
	WEICY	0.056	04/07/11	1.56	08/04/11	1.00	(0.56)
	WEICY	0.444	03/11/11	11.40	08/04/11	7.92	(3.48)
Total		11.500		\$719.53		\$682.21	(\$37.32)
WAL-MART DE MEXICO SA DE	WMMVY	66.000	10/08/10	1,657.26	03/10/11	1,923.31	266.05
	WMMVY	90.000	12/09/10	2,538.05	03/10/11	2,622.71	84.66
Total		156.000		\$4,195.31		\$4,546.02	\$350.71
YANZHOU COAL MINING CO LTD	YZC	204.000	10/08/10	5,462.37	03/10/11	6,057.46	595.09
Short Term Total				\$121,729.00		\$109,162.03	(\$12,566.97)
Long Term							
COMPANHIA DE BEBIDAS DAS	ABV	21.000	02/12/08	\$329.57	09/19/11	\$672.19	\$342.62
AKBANK TURK ANONIM	AKBTY	336.709	10/23/07	4,242.53	01/18/11	3,584.16	(658.37)
	AKBTY	98.484	10/22/09	892.26	01/18/11	1,048.33	156.07
	AKBTY	103.808	11/09/09	879.77	01/18/11	1,105.00	225.23
Total		539.000		\$6,014.56		\$5,737.49	(\$277.07)

ATTACHMENT I
MorganStanley
SmithBarney

Harry Dent Family Fdtn., Inc.
Hansberger - Emerging Markets
Brisbane Building
Box 28
403 Main Street
Buffalo NY 14203-2109

**Realized Gain/Loss - Detail
(11/01/2010 - 10/31/2011)**

Prepared by THE ARTHURS GROUP

As of 11/21/2011

Acct. 356-73257-11

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
ADVANCED INFO SERVICES PUB	AVIFY	438,000	10/22/09	\$1,314.00	03/11/11	\$1,187.83	(\$126.17)
	AVIFY	1,416,000	10/23/07	3,894.00	03/11/11	3,840.12	(53.88)
	AVIFY	39,000	02/21/08	126.75	03/11/11	105.77	(20.98)
	AVIFY	669,000	11/27/09	1,906.65	03/11/11	1,814.29	(92.36)
	AVIFY	31,000	02/12/08	94.55	03/11/11	84.07	(10.48)
Total		2,593,000		\$7,335.95		\$7,032.08	(\$303.87)
CREDICORP LTD -USD	BAP	18,000	10/23/07	1,298.52	11/11/10	2,246.55	948.03
	BAP	1,000	10/23/07	72.14	01/25/11	110.91	38.77
Total		19,000		\$1,370.66		\$2,357.46	\$986.80
BELLE INTL UNSPON ADR	BELLY	12,000	03/12/10	716.29	06/15/11	1,151.61	435.32
CHECK POINT SOFTWARE TECH	CHKP	23,000	11/09/09	751.64	01/18/11	1,056.84	305.20
	CHKP	22,000	10/22/09	677.16	01/18/11	1,010.90	333.74
	CHKP	41,000	10/23/07	1,039.66	01/18/11	1,883.94	844.28
Total		86,000		\$2,468.46		\$3,951.68	\$1,483.22
CHINA MOBILE LTD	CHL	26,000	05/05/09	1,234.71	12/09/10	1,303.23	68.52
	CHL	17,000	07/16/08	1,133.33	12/09/10	852.11	(281.22)
	CHL	5,000	10/22/09	247.50	12/09/10	250.62	3.12
	CHL	83,000	10/23/07	8,165.54	12/09/10	4,160.30	(4,005.24)
	CHL	33,000	10/22/09	1,633.50	03/23/11	1,493.88	(139.62)
	CHL	39,000	11/09/09	1,868.45	03/23/11	1,765.49	(102.96)
	CHL	19,000	11/27/09	900.60	03/23/11	860.11	(40.49)
Total		222,000		\$15,183.63		\$10,685.74	(\$4,497.89)
BANCOLOMBIA SA	CIB	5,000	10/23/07	175.20	03/10/11	296.66	121.46
	CIB	18,000	10/22/09	765.18	03/10/11	1,067.99	302.81
	CIB	18,000	11/27/09	774.90	03/10/11	1,067.99	293.09

MorganStanley
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Realized Gain/Loss - Detail
(11/01/2010 - 10/31/2011)

Prepared by THE ARTHURS GROUP

As of 11/21/2011

Acct. 356-73257-11

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Total		41.000		\$1,715.28		\$2,432.64	\$717.36
CHINA CONSTR BK CORP-CNY	CICHY	52.000	03/12/10	\$824.75	06/15/11	\$875.14	\$50.39
DBS GROUP HLDG LTD SP ADR	DBSDY	21.000	08/12/08	1,107.33	06/15/11	959.68	(147.65)
DATANG INTL PWR GENERATION	DIPGY	205.000	10/23/07	4,817.50	03/24/11	1,438.78	(3,378.72)
	DIPGY	75.000	10/22/09	797.25	03/24/11	526.38	(270.87)
	DIPGY	78.000	11/09/09	807.30	03/24/11	547.44	(259.86)
	DIPGY	50.000	05/07/08	677.50	03/24/11	350.92	(326.58)
Total		408.000		\$7,099.55		\$2,863.52	(\$4,236.03)
HDFC BANK LTD ADR	HDB	34.000	10/23/07	3,927.00	11/11/10	6,224.70	2,297.70
	HDB	5.000	10/23/07	577.50	03/25/11	807.69	230.19
	HDB	12.000	10/22/09	1,429.08	03/25/11	1,938.45	509.37
	HDB	13.000	11/09/09	1,685.97	03/25/11	2,099.99	414.02
	HDB	5.000	11/27/09	678.10	03/25/11	807.69	129.59
Total		69.000		\$8,297.65		\$11,878.52	\$3,580.87
HUANENG POWER INTL SP ADR	HNP	24.000	11/09/09	665.28	12/09/10	530.07	(135.21)
	HNP	22.000	10/22/09	636.02	12/09/10	485.90	(150.12)
	HNP	38.000	03/26/09	1,004.23	12/09/10	839.28	(164.95)
	HNP	36.000	12/05/08	905.32	12/09/10	795.11	(110.21)
Total		120.000		\$3,210.85		\$2,650.36	(\$560.49)
DESARROLLADORA HOMEX	HXM	112.000	03/19/10	2,959.95	05/06/11	2,881.09	(78.86)
ICICI BANK LTD-SPONS ADR-	IBN	20.000	01/13/10	732.92	06/15/11	908.38	175.46
EMPRESAS ICA S.A DE C.V	ICA	164.000	03/26/09	1,075.68	03/16/11	1,421.34	345.66
	ICA	49.000	10/22/09	520.38	03/16/11	424.67	(95.71)

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As of 11/21/2011

Acct. 356-73257-11

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
ICA		74.000	11/27/09	\$725.94	03/16/11	\$641.34	(\$84.60)
Total		287.000		\$2,322.00		\$2,487.35	\$165.35
INDUSTRIAL & COML BK	IDCBY	57.000	03/12/10	857.07	06/15/11	847.00	(10.07)
PT INDOSAT-ADR-USD	IIT	17.000	10/23/07	779.28	03/11/11	487.82	(291.46)
	IIT	3.000	02/21/08	122.43	03/11/11	86.09	(36.34)
	IIT	59.000	11/05/09	1,539.74	03/11/11	1,693.01	153.27
	IIT	28.000	11/27/09	743.68	03/11/11	803.46	59.78
Total		107.000		\$3,185.13		\$3,070.38	(\$114.75)
IMPALA PLATINUM HOLDINGS	IMPUY	29.000	10/23/07	1,006.30	08/02/11	723.82	(282.48)
	IMPUY	37.000	11/09/09	868.39	08/16/11	896.16	27.77
	IMPUY	35.000	10/22/09	796.95	08/16/11	847.71	50.76
	IMPUY	92.000	10/23/07	3,192.40	08/16/11	2,228.28	(964.12)
Total		193.000		\$5,864.04		\$4,695.97	(\$1,168.07)
INFOSYS TECHNOLOGIE SP ADR	INFY	11.000	05/07/08	481.36	03/25/11	775.65	294.29
	INFY	28.000	10/22/09	1,340.08	03/25/11	1,974.38	634.30
	INFY	23.000	11/09/09	1,126.77	03/25/11	1,621.82	495.05
	INFY	24.000	08/08/08	987.58	03/25/11	1,692.33	704.75
	INFY	5.000	11/09/09	244.95	06/15/11	310.59	65.64
	INFY	9.000	11/27/09	458.82	06/15/11	559.07	100.25
Total		100.000		\$4,639.56		\$6,933.84	\$2,294.28
JOHNSON ELECTRIC HLDG SPON	JELCY	136.000	09/15/08	555.91	06/15/11	863.58	307.67
KB FINANCIAL GROUP INC ADR	KB	15.000	05/08/09	561.87	07/06/11	751.78	189.91
LUKOIL OIL SPONS ADR	LUKOY	14.000	10/23/07	1,180.90	06/15/11	870.78	(310.12)

MorganStanley
SmithBarneyHarry Dent Family Fdtn., Inc.
Hansberger - Emerging Markets
Brisbane Building
Box 28
403 Main Street
Buffalo NY 14203-2109**Realized Gain/Loss - Detail**
(11/01/2010 - 10/31/2011)

Prepared by THE ARTHURS GROUP

As of 11/21/2011

Acct. 356-73257-11

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
MOBILE TELESYSTEMS OJSC	MBT	90,000	10/23/07	\$2,750.76	04/07/11	\$1,924.57	(\$826.19)
	MBT	27,500	10/22/09	597.41	04/07/11	588.06	(9.35)
	MBT	27,500	11/09/09	552.20	04/07/11	588.06	35.86
Total		145,000		\$3,900.37		\$3,100.69	(\$799.68)
JSC MMC NORILSK NICKEL JSC	NILSY	130,000	10/23/07	3,698.50	05/16/11	3,244.51	(453.99)
GAZPROM OAO SPONS ADR	OGZPY	68,000	10/23/07	1,575.90	06/15/11	980.54	(595.36)
POLYUS GOLD ADR	OPYGY	76,000	10/23/07	1,700.50	07/12/11	2,351.44	650.94
	OPYGY	23,000	10/22/09	683.56	07/12/11	711.62	28.06
	OPYGY	24,000	11/09/09	777.60	07/12/11	742.56	(35.04)
Total		123,000		\$3,161.66		\$3,805.62	\$643.96
ORASCOM CONSTRUCTION	ORSDF	16,000	11/27/09	716.00	05/16/11	680.00	(36.00)
	ORSDF	11,000	10/22/09	539.00	05/16/11	467.50	(71.50)
	ORSDF	35,000	06/15/09	1,272.60	05/16/11	1,487.50	214.90
Total		62,000		\$2,527.60		\$2,635.00	\$107.40
PETROLEO BRASILEIRO SA	PBR	30,000	10/23/07	1,226.25	06/15/11	980.98	(245.27)
POSCO SPON ADR	PKX	1,000	10/23/07	163.74	01/25/11	105.20	(58.54)
	PKX	10,000	10/23/07	1,637.40	06/15/11	971.48	(665.92)
Total		11,000		\$1,801.14		\$1,076.68	(\$724.46)
PING AN INS GROUP CO CHINA	PNGAY	43,000	03/12/10	718.22	06/15/11	838.48	120.26
PETROCHINA CO LTD ADR	PTR	6,000	11/09/09	793.92	05/16/11	818.50	24.58
	PTR	11,000	02/12/08	1,610.68	05/16/11	1,500.58	(110.10)
	PTR	6,000	05/07/08	880.86	05/16/11	818.50	(62.36)
	PTR	5,000	10/22/09	652.68	05/16/11	682.08	29.40

MorganStanley
SmithBarneyHarry Dent Family Fdtn., Inc.
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Buffalo NY 14203-2109**Realized Gain/Loss - Detail**
(11/01/2010 - 10/31/2011)

Prepared by THE ARTHURS GROUP

As of 11/21/2011

Acct. 356-73257-11

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Total		28.000		\$3,938.14		\$3,819.66	(\$118.48)
DOCTOR REDDYS LABORATORY							
	RDY	57.000	08/08/08	\$844.78	03/23/11	\$1,977.67	\$1,132.89
	RDY	30.000	11/09/09	714.60	03/23/11	1,040.88	326.28
	RDY	69.000	10/22/09	1,339.98	03/23/11	2,394.02	1,054.04
Total		156.000		\$2,899.36		\$5,412.57	\$2,513.21
BANCO SANTANDER-CHILE-CLP							
	SAN	15.000	11/20/08	457.19	08/16/11	1,253.60	796.41
	SAN	8.000	10/22/09	447.92	08/16/11	668.58	220.66
	SAN	12.000	11/27/09	720.84	08/16/11	1,002.88	282.04
Total		35.000		\$1,625.95		\$2,925.06	\$1,299.11
SINOPEC SHANGHAI SPONS ADR							
	SHI	19.000	10/23/07	1,622.79	03/10/11	975.19	(647.60)
COMPANHIA SIDERURGICA							
	SID	5.000	02/12/08	86.18	10/18/11	40.41	(45.77)
	SID	72.000	05/08/09	771.05	10/18/11	581.89	(189.16)
	SID	52.000	10/22/09	960.96	10/18/11	420.25	(540.71)
	SID	54.000	11/09/09	972.81	10/18/11	436.42	(536.39)
	SID	102.000	10/08/10	1,785.77	10/18/11	824.35	(961.42)
Total		285.000		\$4,576.77		\$2,303.32	(\$2,273.45)
SK TELECOM LTD SPON ADR							
	SKM	70.000	10/23/07	2,199.40	12/09/10	1,306.93	(892.47)
	SKM	43.000	11/09/09	749.92	12/09/10	802.83	52.91
Total		113.000		\$2,949.32		\$2,109.76	(\$839.56)
CHINA PETROLEUM&CHEM ADR							
	SNP	34.000	10/23/07	5,260.14	03/10/11	3,445.88	(1,814.26)
	SNP	10.000	10/22/09	868.70	03/10/11	1,013.49	144.79
	SNP	1.000	02/21/08	110.15	03/10/11	101.35	(8.80)
Total		45.000		\$6,238.99		\$4,560.72	(\$1,678.27)

MorganStanley
SmithBarneyHarry Dent Family Fdtn., Inc.
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Buffalo NY 14203-2109**Realized Gain/Loss - Detail**
(11/01/2010 - 10/31/2011)

Prepared by THE ARTHURS GROUP

As of 11/21/2011

Acct. 356-73257-11

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
SHOPRITE HOLDINGS UNSPON	SRHGY	23.000	01/20/10	\$416.30	08/02/11	\$693.43	\$277.13
	SRHGY	81.000	01/20/10	1,466.10	08/16/11	2,303.09	836.99
Total		104.000		\$1,882.40		\$2,996.52	\$1,114.12
TIGER BRANDS LTD	TBLMY	44.000	03/26/09	636.22	08/16/11	1,226.25	590.03
	TEO	79.000	03/26/09	624.67	04/07/11	2,001.58	1,376.91
	TEO	39.000	10/22/09	689.13	04/07/11	988.12	298.99
	TEO	42.000	11/27/09	692.16	04/07/11	1,064.13	371.97
Total		160.000		\$2,005.96		\$4,053.83	\$2,047.87
TEVA PHARMACEUTICAL INDS	TEVA	19.000	10/22/09	965.58	12/09/10	994.30	28.72
	TEVA	59.000	10/23/07	2,614.29	12/09/10	3,087.56	473.27
	TEVA	2.000	02/21/08	95.86	12/09/10	104.66	8.80
	TEVA	19.000	11/09/09	1,006.24	12/09/10	994.30	(11.94)
Total		99.000		\$4,681.97		\$5,180.82	\$498.85
TENARIS S A	TS	25.000	09/15/08	1,133.48	03/30/11	1,220.20	86.72
	TS	12.000	10/22/09	477.36	03/30/11	585.69	108.33
	TS	18.000	11/27/09	718.56	03/30/11	878.54	159.98
	TS	14.000	10/23/07	718.06	03/30/11	683.31	(34.75)
Total		69.000		\$3,047.46		\$3,367.74	\$320.28
TAIWAN SEMICONDUCTOR MFG	TSM	10.000	10/23/07	98.13	01/25/11	132.59	34.46
	TSM	91.000	10/23/07	892.95	06/15/11	1,196.62	303.67
Total		101.000		\$991.08		\$1,329.21	\$338.13
VALE SA SPON ADR	VALEP	4.000	10/23/07	113.12	01/25/11	125.43	12.31
	VALEP	40.000	10/23/07	1,131.20	06/15/11	1,086.77	(44.43)

ATTACHMENT I
MorganStanley
SmithBarney

Harry Dent Family Fdtn., Inc.
Hansberger - Emerging Markets
Brisbane Building
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Buffalo NY 14203-2109

**Realized Gain/Loss - Detail
(11/01/2010 - 10/31/2011)**

Prepared by THE ARTHURS GROUP

As of 11/21/2011

Acct. 356-73257-11

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Total		44.000		\$1,244.32		\$1,212.20	(\$32.12)
WAL-MART DE MEXICO SA DE	WMMVY	52.000	03/14/08	\$975.00	03/10/11	\$1,515.34	\$540.34
	WMMVY	28.000	10/22/09	523.32	03/10/11	815.95	292.63
	WMMVY	40.000	11/27/09	825.80	03/10/11	1,165.64	339.84
Total		120.000		\$2,324.12		\$3,496.93	\$1,172.81
YANZHOU COAL MINING CO LTD	YZC	4.000	10/23/07	83.99	01/25/11	117.35	33.36
	YZC	216.000	10/23/07	4,535.57	03/10/11	6,413.78	1,878.21
	YZC	65.000	10/22/09	1,017.90	03/10/11	1,930.07	912.17
	YZC	68.000	11/09/09	1,223.32	03/10/11	2,019.15	795.83
	YZC	32.000	11/27/09	631.68	03/10/11	950.19	318.51
Total		385.000		\$7,492.46		\$11,430.54	\$3,938.08
Long Term Total				\$145,300.83		\$150,621.08	\$5,320.25
(11/01/2010 - 10/31/2011) Short & Long Term Total				\$267,029.83		\$259,783.11	(\$7,246.72)

** Gain/Loss is only calculated when an original cost basis is available

(P) The cost basis information provided is an estimate We have requested, and are awaiting receipt of, the final cost basis allocation information from the issuer

The above summary/prices/quotes/statistics have been obtained from sources believed reliable but are not necessarily complete and cannot be guaranteed. The information contained in client monthly account statements and confirmations reflects all transactions, and as such supersedes all other reports for financial and tax purposes. Investments and services offered through Morgan Stanley Smith Barney LLC, and accounts carried by Citigroup Global Markets Inc., Members SIPC. © 2011 Morgan Stanley Smith Barney

MorganStanley
SmithBarneyHarry Dent Family Fdtn., Inc.
Penn Capital - Sm/Mid Cap Core
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Box 28403 Main Street
Buffalo NY 14203-2109

Acct. 356-74707-15

Realized Gain/Loss - Detail
(11/01/2010 - 10/31/2011)

As of 11/21/2011

Prepared by THE ARTHURS GROUP

Short Term

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
AGCO CORP	AGCO	14,000	12/11/09	\$417.49	11/04/10	\$617.39	\$199.90
	AGCO	6,000	11/27/09	182.64	11/04/10	264.59	81.95
	Total	20,000		\$600.13		\$881.98	\$281.85
AK STEEL HOLDING CORP	AKS	63,000	12/10/10	919.50	08/04/11	628.50	(291.00)
	AKS	63,000	02/09/11	977.89	08/04/11	628.51	(349.38)
	Total	126,000		\$1,897.39		\$1,257.01	(\$640.38)
ALERE INC	ALR	25,000	08/20/10	719.65	01/25/11	979.78	260.13
	ALR	7,000	08/20/10	201.50	06/15/11	252.48	50.98
	Total	32,000		\$921.15		\$1,232.26	\$311.11
ABERCROMBIE & FITCH CO CLASS A ANF	ANF	21,000	05/18/10	806.36	03/02/11	1,227.83	421.47
	ANF	23,000	05/19/10	860.08	03/02/11	1,344.78	484.70
	Total	44,000		\$1,666.44		\$2,572.61	\$906.17
ALPHA NATURAL RESOURCES INC	ANR	12,000	05/05/10	527.53	11/01/10	552.16	24.63
	ANR	1,000	05/05/10	43.96	11/01/10	46.01	2.05
	ANR	6,000	05/06/10	247.09	11/01/10	276.09	29.00
	ANR	21,000	05/19/10	744.29	11/02/10	959.82	215.53
	ANR	15,000	05/06/10	617.73	11/02/10	685.58	67.85
	ANR	18,000	05/25/10	601.61	11/03/10	778.37	176.76
	ANR	26,000	06/07/10	876.93	11/03/10	1,124.30	247.37
	Total	99,000		\$3,659.14		\$4,422.33	\$763.19
AIRGAS INC	ARG	5,000	02/11/11	319.64	06/15/11	330.49	10.85
	ARG	17,000	02/11/11	1,086.77	09/29/11	1,116.56	29.79

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MorganStanley
SmithBarneyHarry Dent Family Fdtn... Inc.
Penn Capital - Sm/Mid Cap Core
Brisbane Building
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Buffalo NY 14203-2109**Realized Gain/Loss - Detail
(11/01/2010 - 10/31/2011)**

Prepared by THE ARTHURS GROUP

As of 11/21/2011

Acct. 356-74707-15

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Total		22,000		\$1,406.41		\$1,447.05	\$40.64
ALLEGHENY TECHNOLOGIES INC	ATI	16,000	06/20/11	\$955.57	09/27/11	\$690.14	(\$265.43)
ATI	ATI	13,000	06/20/11	776.40	10/06/11	474.56	(301.84)
ATI	ATI	22,000	06/20/11	1,313.90	10/10/11	859.71	(454.19)
Total		51,000		\$3,045.87		\$2,024.41	(\$1,021.46)
GENERAL CABLE CORP	BGC	27,000	08/24/10	606.44	12/03/10	938.91	332.47
BGC	BGC	22,000	08/24/10	494.14	02/18/11	997.20	503.06
BGC	BGC	3,000	08/24/10	67.38	06/15/11	112.98	45.60
BGC	BGC	8,000	08/25/10	178.38	06/15/11	301.27	122.89
BGC	BGC	5,000	08/25/10	111.48	07/14/11	218.74	107.26
BGC	BGC	15,000	08/27/10	342.46	07/14/11	656.23	313.77
BGC	BGC	4,000	11/10/10	122.01	07/28/11	162.96	40.95
BGC	BGC	18,000	08/27/10	410.96	07/28/11	733.30	322.34
BGC	BGC	26,000	11/10/10	793.04	08/26/11	734.58	(58.46)
BGC	BGC	23,000	05/17/11	919.99	08/29/11	666.32	(253.67)
BGC	BGC	28,000	06/01/11	1,123.26	08/29/11	811.17	(312.09)
BGC	BGC	26,000	06/06/11	945.90	08/29/11	753.22	(192.68)
Total		205,000		\$6,115.44		\$7,086.88	\$971.44
BANKUNITED INC	BKU	14,000	01/28/11	398.78	06/15/11	388.63	(10.15)
AVIS BUDGET GROUP INC	CAR	61,000	09/28/10	681.53	04/01/11	1,099.92	418.39
CAR	CAR	10,000	09/28/10	111.73	05/09/11	184.68	72.95
CAR	CAR	42,000	09/29/10	481.48	05/09/11	775.66	294.18
CAR	CAR	2,000	09/29/10	22.93	06/15/11	32.37	9.44
Total		115,000		\$1,297.67		\$2,092.63	\$794.96
CATHAY GENERAL BANCORP	CATY	59,000	05/05/10	734.20	11/04/10	841.99	107.79

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Acct. 356-74707-15

Realized Gain/Loss - Detail
(11/01/2010 - 10/31/2011)

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
	CATY	24,000	05/05/10	\$298.66	12/15/10	\$365.33	\$66.67
	CATY	32,000	05/06/10	390.55	12/15/10	487.11	96.56
	CATY	17,000	05/06/10	207.48	12/27/10	280.69	73.21
	CATY	38,000	05/07/10	462.41	12/27/10	627.43	165.02
	CATY	25,000	05/07/10	304.21	01/12/11	413.00	108.79
	CATY	3,000	05/07/10	36.51	01/13/11	49.43	12.92
	CATY	29,000	06/03/10	325.18	01/13/11	477.78	152.60
	CATY	15,000	06/07/10	159.50	01/25/11	254.03	94.53
	CATY	40,000	06/03/10	448.52	01/25/11	677.41	228.89
	CATY	54,000	06/07/10	574.18	04/01/11	943.76	369.58
	CATY	10,000	06/30/10	104.40	04/01/11	174.77	70.37
	CATY	59,000	06/30/10	615.99	04/04/11	1,019.63	403.64
Total		405,000		\$4,661.79		\$6,612.36	\$1,950.57
CEPHALON INC	CEPH	13,000	10/12/10	811.88	05/31/11	1,035.71	223.83
	CEPH	17,000	02/09/11	1,039.44	05/31/11	1,354.40	314.96
Total		30,000		\$1,851.32		\$2,390.11	\$538.79
CAREFUSION CORP	CFN	14,000	12/13/10	337.97	06/15/11	376.73	38.76
CINEMARK HOLDINGS INC	CNK	13,000	04/15/11	251.47	06/15/11	253.88	2.41
CON-WAY INC	CNW	27,000	10/29/10	883.55	02/01/11	939.72	56.17
	CNW	10,000	10/29/10	327.24	02/03/11	333.47	6.23
	CNW	64,000	11/01/10	2,148.60	02/03/11	2,134.20	(14.40)
	CNW	26,000	11/16/10	847.63	02/03/11	867.01	19.38
Total		127,000		\$4,207.02		\$4,274.40	\$67.38
CARPENTER TECHNOLOGY CORP	CRS	22,000	02/02/11	899.49	04/26/11	1,089.18	189.69
	CRS	19,000	02/02/11	776.83	04/28/11	956.39	179.56

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Acct. 356-74707-15

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	CRS	19.000	02/03/11	\$774.68	05/03/11	\$970.55	\$195.87
	CRS	10.000	02/03/11	407.73	05/09/11	522.66	114.93
	CRS	10.000	02/04/11	413.50	05/09/11	522.65	109.15
	CRS	4.000	02/04/11	165.40	06/15/11	194.51	29.11
	CRS	25.000	02/23/11	1,007.17	06/20/11	1,300.75	293.58
	CRS	23.000	03/15/11	901.66	06/20/11	1,196.68	295.02
	CRS	25.000	02/09/11	1,036.22	06/20/11	1,300.75	264.53
	CRS	9.000	02/04/11	372.15	06/20/11	468.27	96.12
Total		166.000		\$6,754.83		\$8,522.39	\$1,767.56
COMMScope INC							
	CTV	27.000	05/05/10	826.30	11/16/10	858.30	32.00
	CTV	12.000	11/23/09	331.20	11/16/10	381.47	50.27
	CTV	5.000	11/27/09	133.45	11/16/10	158.94	25.49
	CTV	37.000	01/07/10	1,011.55	11/16/10	1,176.19	164.64
	CTV	26.000	06/07/10	661.40	11/16/10	826.52	165.12
	CTV	3.000	07/27/10	79.75	12/06/10	93.62	13.87
	CTV	5.000	06/07/10	127.19	12/06/10	156.03	28.84
	CTV	24.000	07/27/10	637.97	12/13/10	750.39	112.42
	CTV	4.000	08/12/10	84.84	12/13/10	125.07	40.23
	CTV	38.000	08/30/10	728.00	12/17/10	1,186.01	458.01
	CTV	32.000	08/12/10	678.68	12/17/10	998.74	320.06
	CTV	39.000	08/27/10	740.57	12/17/10	1,217.21	476.64
	CTV	35.000	08/19/10	699.48	12/17/10	1,092.37	392.89
Total		287.000		\$6,740.38		\$9,020.86	\$2,280.48
CURTISS-WRIGHT CORP							
	CW	8.000	03/15/11	273.91	08/15/11	226.96	(46.95)
	CW	12.000	05/23/11	403.55	08/17/11	338.15	(65.40)
	CW	13.000	03/15/11	445.10	08/17/11	366.32	(78.78)
	CW	7.000	03/15/11	239.67	08/17/11	197.25	(42.42)

MorganStanley
SmithBarneyHarry Dent Family Fdtn., Inc.
Penn Capital - Sm/Mid Cap Core
Brisbane Building
Box 28
403 Main Street
Buffalo NY 14203-2109**Realized Gain/Loss - Detail**
(11/01/2010 - 10/31/2011)

Prepared by THE ARTHURS GROUP

As of 11/21/2011

Acct. 356-74707-15

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
CW	CW	2.000	05/24/11	\$67.12	08/17/11	\$56.35	(\$10.77)
CW	CW	12.000	05/11/11	401.75	08/17/11	338.15	(63.60)
Total		54.000		\$1,831.10		\$1,523.18	(\$307.92)
DIAMONDROCK HOSPITALITY CO	DRH	7.000	07/26/10	66.67	06/15/11	68.31	1.64
DIGITAL RIVER INC	DRIV	12.000	03/01/10	328.79	11/09/10	446.55	117.76
DRIV	DRIV	12.000	01/15/10	303.26	11/09/10	446.54	143.28
Total		24.000		\$632.05		\$893.09	\$261.04
BRINKER INTL INC	EAT	23.000	04/20/10	454.78	02/02/11	542.71	87.93
EAT	EAT	24.000	04/20/10	474.56	02/03/11	573.40	98.84
EAT	EAT	46.000	06/16/10	761.19	02/03/11	1,099.01	337.82
EAT	EAT	46.000	11/23/10	887.34	02/03/11	1,099.00	211.66
Total		139.000		\$2,577.87		\$3,314.12	\$736.25
ENERGIZER HLDGS INC	ENR	1.000	06/28/10	52.83	06/15/11	69.56	16.73
ENR	ENR	23.000	11/02/10	1,495.64	07/07/11	1,737.97	242.33
ENR	ENR	15.000	04/18/11	1,035.87	07/12/11	1,132.10	96.23
ENR	ENR	13.000	03/29/11	901.72	07/12/11	981.16	79.44
Total		52.000		\$3,486.06		\$3,920.79	\$434.73
GAYLORD ENTERTAINMENT CO	GET	5.000	07/14/10	136.54	06/15/11	142.74	6.20
GAMESTOP CORP NEW CL A	GME	44.000	01/06/11	922.29	07/07/11	1,205.52	283.23
HANCOCK HOLDING CO	HBHC	9.000	03/22/11	293.60	06/15/11	274.40	(19.20)
PETROHAWK ENERGY CORP	HK	49.000	11/02/10	829.21	07/15/11	1,875.87	1,046.66
HK	HK	48.000	11/23/10	855.02	07/15/11	1,837.59	982.57
HK	HK	45.000	12/03/10	862.83	07/15/11	1,722.74	859.91

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Buffalo NY 14203-2109**Realized Gain/Loss - Detail**
(11/01/2010 - 10/31/2011)

Prepared by THE ARTHURS GROUP

As of 11/21/2011

Acct. 356-74707-15

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Total		142.000		\$2,547.06		\$5,436.20	\$2,889.14
HARSCO CORP	HSC	9.000	03/15/11	\$290.46	06/15/11	\$270.26	(\$20.20)
INTEGRA LIFESCIENCES HOLDINGS IART	IART	20.000	08/11/10	720.27	12/15/10	959.48	239.21
ICON PLC SPONSORED ADR	ICLR	20.000	01/04/11	438.87	06/14/11	485.46	46.59
	ICLR	15.000	01/04/11	329.16	06/15/11	356.84	27.68
	ICLR	16.000	01/04/11	351.10	06/16/11	385.11	34.01
Total		51.000		\$1,119.13		\$1,227.41	\$108.28
JACK IN THE BOX INC	JACK	17.000	11/09/09	329.80	11/04/10	403.35	73.55
	JACK	44.000	11/09/09	853.60	11/05/10	1,031.13	177.53
	JACK	14.000	11/23/09	266.56	11/05/10	328.09	61.53
	JACK	14.000	11/27/09	265.16	11/08/10	321.72	56.56
	JACK	14.000	11/23/09	266.56	11/08/10	321.72	55.16
Total		103.000		\$1,981.68		\$2,406.01	\$424.33
J.CREW GROUP INC	JCG	28.000	11/12/10	943.13	11/23/10	1,227.41	284.28
	JCG	75.000	11/09/10	2,635.62	11/23/10	3,287.69	652.07
Total		103.000		\$3,578.75		\$4,515.10	\$936.35
JANUS CAPITAL GROUP INC	JNS	5.000	08/27/10	47.09	07/22/11	45.42	(1.67)
	JNS	70.000	10/21/10	761.26	07/26/11	621.52	(139.74)
	JNS	86.000	09/07/10	860.57	07/26/11	763.58	(96.99)
	JNS	70.000	08/27/10	659.28	07/26/11	621.52	(37.76)
	JNS	84.000	11/12/10	958.16	07/26/11	745.81	(212.35)
Total		315.000		\$3,286.36		\$2,797.85	(\$488.51)
KING PHARMACEUTICALS INC	KG	70.000	04/20/10	802.43	01/31/11	997.50	195.07

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403 Main Street
Buffalo NY 14203-2109**Realized Gain/Loss - Detail**
(11/01/2010 - 10/31/2011)

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As of 11/21/2011

Acct. 356-74707-15

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	KG	97.000	06/28/10	\$779.95	01/31/11	\$1,382.25	\$602.30
	KG	67.000	04/07/10	805.06	01/31/11	954.75	149.69
	KG	71.000	03/15/10	885.08	01/31/11	1,011.75	126.67
Total		305.000		\$3,272.52		\$4,346.25	\$1,073.73
LAMAR ADVERTISING CO CLASS A	LAMR	8.000	08/05/10	235.07	06/15/11	214.63	(20.44)
LAMR	LAMR	30.000	04/06/11	1,041.12	09/15/11	575.28	(465.84)
Total		38.000		\$1,276.19		\$789.91	(\$486.28)
LEAP WIRELESS INTERNATIONAL	LEAP	68.000	11/01/10	762.14	03/24/11	1,006.73	244.59
LEAP	LEAP	62.000	11/01/10	694.90	03/28/11	967.12	272.22
LEAP	LEAP	23.000	11/02/10	262.14	05/05/11	382.06	119.92
LEAP	LEAP	36.000	11/01/10	403.49	05/05/11	598.00	194.51
LEAP	LEAP	59.000	11/02/10	672.43	05/27/11	980.50	308.07
LEAP	LEAP	53.000	11/02/10	604.05	06/02/11	918.55	314.50
LEAP	LEAP	3.000	11/03/10	33.81	06/02/11	51.99	18.18
LEAP	LEAP	28.000	11/03/10	315.58	06/15/11	456.39	140.81
LEAP	LEAP	71.000	11/03/10	800.23	08/31/11	652.87	(147.36)
Total		403.000		\$4,548.77		\$6,014.21	\$1,465.44
LEGG MASON INC	LM	8.000	02/04/11	277.22	06/15/11	250.07	(27.15)
LINCOLN NATIONAL CORP -IND-	LNC	33.000	12/09/10	907.19	10/12/11	570.29	(336.90)
LANDSTAR SYSTEM INC	LSTR	24.000	07/01/10	941.92	02/01/11	1,005.94	64.02
LSTR	LSTR	22.000	07/01/10	863.43	02/10/11	956.59	93.16
LSTR	LSTR	14.000	08/03/10	564.09	02/17/11	635.81	71.72
LSTR	LSTR	8.000	07/01/10	313.98	02/17/11	363.32	49.34
LSTR	LSTR	16.000	08/18/10	608.19	02/24/11	691.24	83.05
LSTR	LSTR	6.000	08/03/10	241.75	02/24/11	259.21	17.46

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Buffalo NY 14203-2109**Realized Gain/Loss - Detail**
(11/01/2010 - 10/31/2011)

Prepared by THE ARTHURS GROUP

As of 11/21/2011

Acct. 356-74707-15

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	LSTR	3,000	08/18/10	\$114.03	06/03/11	\$134.06	\$20.03
	LSTR	18,000	08/19/10	666.53	06/03/11	804.39	137.86
	LSTR	4,000	10/21/10	150.90	06/15/11	178.99	28.09
	LSTR	1,000	08/19/10	37.03	06/15/11	44.75	7.72
	LSTR	19,000	10/21/10	716.79	06/16/11	855.45	138.66
	LSTR	11,000	10/21/10	414.99	06/21/11	503.93	88.94
	LSTR	23,000	11/04/10	897.54	06/22/11	1,047.28	149.74
	LSTR	19,000	10/21/10	716.79	06/22/11	865.15	148.36
Total		188,000		\$7,247.96		\$8,346.11	\$1,098.15
MASCO CORP DE	MAS	92,000	09/07/10	1,010.23	03/22/11	1,289.87	279.64
	MAS	17,000	10/15/10	187.31	05/27/11	242.22	54.91
	MAS	120,000	09/07/10	1,317.68	05/27/11	1,709.78	392.10
	MAS	9,000	10/15/10	99.16	06/15/11	106.55	7.39
Total		238,000		\$2,614.38		\$3,348.42	\$734.04
ALLSCRIPTS HEALTHCARE	MDRX	10,000	05/26/11	202.43	06/15/11	185.89	(16.54)
MARSHALL & ILSLEY CORP NEW	MI	77,000	01/06/10	475.65	12/17/10	526.01	50.36
	MI	98,000	05/06/10	846.10	01/05/11	679.89	(166.21)
	MI	108,000	08/04/10	774.53	01/05/11	749.27	(25.26)
	MI	111,000	02/08/10	707.04	01/05/11	770.08	63.04
	MI	108,000	01/22/10	753.81	01/05/11	749.27	(4.54)
	MI	101,000	01/21/10	731.45	01/05/11	700.70	(30.75)
	MI	108,000	01/11/10	745.43	01/05/11	749.27	3.84
	MI	35,000	01/06/10	216.21	01/05/11	242.82	26.61
	MI	130,000	10/26/10	793.94	01/05/11	901.90	107.96
Total		876,000		\$6,044.16		\$6,069.21	\$25.05

Harry Dent Family Fdtn., Inc.
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Box 28
403 Main Street
Buffalo NY 14203-2109

Realized Gain/Loss - Detail (11/01/2010 - 10/31/2011)

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As of 11/21/2011

Acct. 356-74707-15

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MANITOWOC CO INC	MTW	9,000	12/14/10	\$118.36	06/15/11	\$136.79	\$18.43
MONSTER WORLDWIDE INC	MWW	47,000	04/08/10	752.90	11/09/10	909.68	156.78
	MWW	42,000	04/08/10	672.80	11/18/10	827.63	154.83
	MWW	22,000	04/15/10	350.49	12/02/10	508.63	158.14
	MWW	15,000	04/08/10	240.29	12/02/10	346.79	106.50
	MWW	7,000	04/21/10	116.90	12/07/10	170.02	53.12
	MWW	32,000	04/15/10	509.80	12/07/10	777.26	267.46
	MWW	1,000	04/30/10	17.55	12/10/10	23.91	6.36
	MWW	40,000	04/21/10	667.97	12/10/10	956.44	288.47
	MWW	5,000	08/12/10	59.11	08/11/11	42.30	(16.81)
	Total	211,000		\$3,387.81		\$4,562.66	\$1,174.85
MYRIAD GENETICS INC	MYGN	6,000	10/12/10	108.01	06/15/11	138.23	30.22
NAVISTAR INTL CORP NEW	NAV	15,000	08/20/10	680.37	05/04/11	1,036.81	356.44
	NAV	3,000	08/20/10	136.07	06/15/11	162.80	26.73
NTELOS HOLDINGS CORP	Total	18,000		\$816.44		\$1,199.61	\$383.17
	NTLS	15,000	11/09/09	228.30	11/05/10	271.39	43.09
	NTLS	20,000	11/23/09	335.20	11/08/10	355.99	20.79
	NTLS	13,000	11/09/09	197.86	11/08/10	231.40	33.54
	NTLS	19,000	11/27/09	319.58	11/18/10	317.63	(1.95)
OLD DOMINION FIGHT LINES INC	NTLS	19,000	11/23/09	318.44	11/18/10	317.62	(0.82)
	Total	86,000		\$1,399.38		\$1,494.03	\$94.65
	ODFL	15,185	11/23/09	280.73	11/15/10	422.53	141.80
	ODFL	7,346	12/16/09	146.90	11/18/10	206.65	59.75
	ODFL	11,704	11/23/09	216.36	11/18/10	329.26	112.90
ODFL	ODFL	11,951	11/27/09	213.60	11/18/10	336.21	122.61

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Box 28**Realized Gain/Loss - Detail**
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403 Main Street

Buffalo NY 14203-2109

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As of 11/21/2011

Acct. 356-74707-15

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	ODFL	30,000	12/16/09	\$599.91	11/19/10	\$855.35	\$255.44
Total		76,185		\$1,457.50		\$2,150.00	\$692.50
PAETEC HOLDING CORP	PAET	44,000	08/25/10	168.51	08/05/11	217.61	49.10
	PAET	52,000	08/24/10	202.12	08/05/11	257.18	55.06
	PAET	69,000	08/19/10	267.79	08/05/11	341.26	73.47
Total		165,000		\$638.42		\$816.05	\$177.63
PATRIOT COAL CORP	PCX	2,000	04/07/11	52.67	06/15/11	40.75	(11.92)
	PCX	68,000	04/07/11	1,790.84	08/12/11	999.82	(791.02)
	PCX	26,000	07/26/11	581.69	08/15/11	389.14	(192.55)
	PCX	26,000	07/25/11	636.03	08/15/11	389.13	(246.90)
	PCX	45,000	04/07/11	1,185.11	08/15/11	673.50	(511.61)
Total		167,000		\$4,246.34		\$2,492.34	(\$1,754.00)
PENN NATIONAL GAMING INC	PENN	8,000	12/09/10	290.67	06/15/11	300.79	10.12
PROLOGIS SH BEN INT	PLD	78,000	05/20/10	858.98	03/25/11	1,210.27	351.29
PMC SIERRA INC	PMCS	2,000	11/10/10	15.38	06/15/11	14.78	(0.60)
	PMCS	39,000	01/28/11	310.07	10/19/11	249.62	(60.45)
	PMCS	115,000	11/10/10	884.07	10/19/11	736.07	(148.00)
Total		156,000		\$1,209.52		\$1,000.47	(\$209.05)
PINNACLE ENTMT INC	PNK	22,000	08/03/10	240.86	08/03/11	309.02	68.16
PHARMACEUTICAL PRODUCT DEV I	PPDI	8,000	08/01/11	229.16	10/05/11	255.56	26.40
	PPDI	35,000	06/14/11	935.85	10/05/11	1,118.06	182.21
	PPDI	22,000	08/01/11	630.19	10/06/11	702.88	72.69
Total		65,000		\$1,795.20		\$2,076.50	\$281.30

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REGAL-BELOIT CORP	RBC	3,000	05/26/11	\$203.11	06/15/11	\$193.64	(\$9.47)
REGIONS FINANCIAL CORP	RF	84,000	12/22/10	574.67	08/25/11	351.96	(222.71)
RF MICRO DEVICES INC	RFMD	19,000	04/28/11	125.76	06/15/11	103.73	(22.03)
	RFMD	108,000	04/28/11	714.84	09/14/11	711.21	(3.63)
	RFMD	103,000	04/28/11	681.75	09/15/11	696.22	14.47
	RFMD	103,000	04/28/11	681.75	09/20/11	718.62	36.87
	RFMD	103,000	04/28/11	681.74	10/14/11	744.55	62.81
	RFMD	71,000	04/29/11	473.01	10/18/11	510.43	37.42
	RFMD	30,000	04/28/11	198.57	10/18/11	215.68	17.11
	RFMD	13,000	05/03/11	82.11	10/21/11	93.17	11.06
	RFMD	85,000	04/29/11	566.29	10/21/11	609.21	42.92
Total		635,000		\$4,205.82		\$4,402.82	\$197.00
RANGE RESOURCES CORP	RRC	12,000	07/01/10	480.28	01/14/11	576.52	96.24
	RRC	19,000	07/01/10	760.44	01/31/11	934.23	173.79
	RRC	7,000	07/06/10	274.56	02/07/11	344.75	70.19
	RRC	13,000	07/01/10	520.30	02/07/11	640.25	119.95
	RRC	9,000	08/16/10	318.06	02/22/11	437.55	119.49
	RRC	11,000	07/06/10	431.45	02/22/11	534.79	103.34
	RRC	22,000	08/20/10	740.32	02/23/11	1,164.69	424.37
	RRC	11,000	08/16/10	388.75	02/23/11	582.34	193.59
Total		104,000		\$3,914.16		\$5,215.12	\$1,300.96
SANDRIDGE ENERGY INC	SD	21,000	03/04/11	227.25	06/15/11	216.50	(10.75)
	SD	153,000	03/04/11	1,655.67	08/15/11	1,236.00	(419.67)
	SD	105,000	03/04/11	1,136.25	08/16/11	825.86	(310.39)
	SD	82,000	03/29/11	995.45	08/16/11	644.96	(350.49)
	SD	87,000	07/15/11	955.15	08/16/11	684.28	(270.87)

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Total		448,000		\$4,969.77		\$3,607.60	(\$1,362.17)
SMITHFIELD FOODS INC DE							
SFD		2,000	04/22/10	\$38.60	02/09/11	\$45.39	\$6.79
SFD		42,000	04/22/10	810.66	02/11/11	958.58	147.92
SFD		43,000	06/16/10	757.27	02/11/11	981.40	224.13
Total		87,000		\$1,606.53		\$1,985.37	\$378.84
SPIRIT AEROSYSTEMS HLDGS INC							
SPR		11,000	12/29/10	228.58	06/15/11	238.58	10.00
SPR		17,000	12/28/10	351.07	06/15/11	368.72	17.65
SPR		14,000	12/30/10	291.98	08/29/11	226.18	(65.80)
SPR		24,000	12/29/10	498.73	08/29/11	387.75	(110.98)
Total		66,000		\$1,370.36		\$1,221.23	(\$149.13)
SEAGATE TECHNOLOGY PLC							
STX		63,000	11/30/10	842.18	04/08/11	1,009.66	167.48
STX		23,000	11/30/10	307.46	06/15/11	342.23	34.77
Total		86,000		\$1,149.64		\$1,351.89	\$202.25
SAVVIS INC-NEW							
SVVS		16,000	07/26/10	270.94	04/27/11	626.09	355.15
SVVS		42,000	10/06/10	832.38	04/27/11	1,643.50	811.12
SVVS		24,000	08/18/10	423.18	04/27/11	939.14	515.96
SVVS		17,000	08/13/10	289.51	04/27/11	665.22	375.71
SVVS		30,000	07/28/10	548.40	04/27/11	1,173.92	625.52
Total		129,000		\$2,364.41		\$5,047.87	\$2,683.46
TITANIUM METALS CORP(NEW)							
TIE		15,000	02/17/11	308.00	06/15/11	248.39	(59.61)
TIE		45,000	02/17/11	923.99	08/31/11	722.95	(201.04)
TIE		47,000	02/17/11	965.06	09/01/11	741.80	(223.26)
TIE		9,000	02/22/11	173.30	09/07/11	137.72	(35.58)
TIE		31,000	02/18/11	635.59	09/07/11	474.35	(161.24)

MorganStanley
SmithBarneyHarry Dent Family Fdtn., Inc.
Penn Capital - Sm/Mid Cap Core
Brisbane Building
Box 28
403 Main Street
Buffalo NY 14203-2109**Realized Gain/Loss - Detail**
(11/01/2010 - 10/31/2011)

Prepared by THE ARTHURS GROUP

As of 11/21/2011

Acct. 356-74707-15

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
TIE		8.000	02/17/11	\$164.27	09/07/11	\$122.41	(\$41.86)
TIE		43.000	02/22/11	828.00	09/20/11	696.63	(131.37)
TIE		31.000	02/23/11	585.71	09/20/11	502.22	(83.49)
TIE		26.000	03/15/11	455.47	09/27/11	420.21	(35.26)
TIE		25.000	02/23/11	472.34	09/27/11	404.05	(68.29)
Total		280.000		\$5,511.73		\$4,470.73	(\$1,041.00)
TRIQUINT SEMICONDUCTOR INC	TQNT	97.000	08/01/11	702.69	10/14/11	719.40	16.71
VISTEON CORP	VC	9.000	01/04/11	642.30	06/15/11	558.25	(84.05)
WALTER ENERGY INC	WLT	7.000	11/02/10	627.27	12/30/10	905.77	278.50
	WLT	1.000	11/03/10	88.82	01/07/11	134.03	45.21
	WLT	6.000	11/02/10	537.66	01/07/11	804.16	266.50
	WLT	3.000	11/16/10	271.78	03/25/11	379.17	107.39
	WLT	5.000	11/03/10	444.08	03/25/11	631.96	187.88
	WLT	9.000	11/18/10	846.08	03/29/11	1,183.59	337.51
	WLT	6.000	11/16/10	543.57	03/29/11	789.06	245.49
Total		37.000		\$3,359.26		\$4,827.74	\$1,468.48
EXCO RESOURCES INC-NEW	XCO	47.000	07/08/10	699.75	11/01/10	931.08	231.33
	XCO	21.000	11/27/09	355.53	11/01/10	416.02	60.49
	XCO	44.000	11/23/09	734.14	11/01/10	871.66	137.52
	XCO	89.000	11/09/09	1,606.89	11/01/10	1,763.12	156.23
Total		201.000		\$3,396.31		\$3,981.88	\$585.57
Short Term Total				\$146,212.16		\$169,553.60	\$23,341.44
Long Term							
AGCO CORP	AGCO	9.000	12/11/09	\$268.38	06/09/11	\$434.74	\$166.36

MorganStanley
SmithBarneyHarry Dent Family Fdtn., Inc.
Penn Capital - Sm/Mid Cap Core
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Box 28**Realized Gain/Loss - Detail**
(11/01/2010 - 10/31/2011)403 Main Street
Buffalo NY 14203-2109

Prepared by THE ARTHURS GROUP

As of 11/21/2011

Acct. 356-74707-15

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
	AGCO	22,000	05/25/10	\$609.24	06/09/11	\$1,062.69	\$453.45
Total		31,000		\$877.62		\$1,497.43	\$619.81
AK STEEL HOLDING CORP	AKS	19,000	01/19/10	435.96	06/15/11	288.41	(147.55)
	AKS	45,000	01/19/10	1,032.55	07/07/11	745.59	(286.96)
	AKS	7,000	01/21/10	148.51	07/07/11	115.98	(32.53)
	AKS	33,000	01/21/10	700.11	08/04/11	329.21	(370.90)
	AKS	36,000	03/29/10	836.47	08/04/11	359.14	(477.33)
	AKS	65,000	05/06/10	1,017.32	08/04/11	648.45	(368.87)
Total		205,000		\$4,170.92		\$2,486.78	(\$1,684.14)
ABERCROMBIE & FITCH CO CLASS A ANF	ANF	18,000	11/09/09	676.26	11/10/10	837.87	161.61
	ANF	19,000	11/09/09	713.83	11/23/10	926.84	213.01
	ANF	9,000	11/09/09	338.13	11/30/10	444.23	106.10
	ANF	8,000	11/23/09	317.28	11/30/10	394.87	77.59
	ANF	12,000	11/23/09	475.92	12/29/10	698.72	222.80
	ANF	4,000	11/27/09	162.04	12/29/10	232.90	70.86
	ANF	3,000	11/27/09	121.53	03/02/11	175.40	53.87
Total		73,000		\$2,804.99		\$3,710.83	\$905.84
BROCADE COMMUNICATIONS SYS	BRCD	138,000	03/19/10	777.51	06/02/11	961.88	184.37
	BRCD	11,000	03/19/10	61.98	06/15/11	74.03	12.05
	BRCD	79,000	03/25/10	447.85	06/15/11	531.65	83.80
Total		228,000		\$1,287.34		\$1,567.56	\$280.22
BALLY TECHNOLOGIES INC	BYI	10,000	10/27/09	407.00	12/06/10	424.33	17.33
	BYI	9,000	11/09/09	402.30	12/06/10	381.89	(20.41)
	BYI	21,000	11/09/09	938.70	04/28/11	817.95	(120.75)
	BYI	5,000	11/23/09	216.85	04/28/11	194.75	(22.10)

ATTACHMENT I
MorganStanley
SmithBarney

Harry Dent Family Fdtn., Inc.
Penn Capital - Sm/Mid Cap Core
Brisbane Building
Box 28
403 Main Street
Buffalo NY 14203-2109

**Realized Gain/Loss - Detail
(11/01/2010 - 10/31/2011)**

Prepared by THE ARTHURS GROUP

As of 11/21/2011

Acct. 356-74707-15

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
	BYI	11,000	11/23/09	\$477.07	06/15/11	\$425.69	(\$51.38)
	BYI	6,000	11/27/09	254.76	06/15/11	232.19	(22.57)
	BYI	2,000	03/08/10	78.13	06/15/11	77.40	(0.73)
	BYI	10,000	03/08/10	390.65	10/27/11	371.37	(19.28)
Total		74,000		\$3,165.46		\$2,925.57	(\$239.89)
CEPHALON INC	CEPH	27,000	10/27/09	1,471.50	03/30/11	2,033.52	562.02
	CEPH	3,000	10/27/09	163.50	05/26/11	239.22	75.72
	CEPH	21,000	11/09/09	1,231.02	05/26/11	1,674.51	443.49
	CEPH	9,000	11/09/09	527.58	05/31/11	717.03	189.45
	CEPH	15,000	11/23/09	811.05	05/31/11	1,195.05	384.00
	CEPH	7,000	11/27/09	390.11	05/31/11	557.69	167.58
Total		82,000		\$4,594.76		\$6,417.02	\$1,822.26
CHIMERA INVESTMENT CORP	CIM	169,000	10/27/09	641.78	02/28/11	730.20	88.42
	CIM	56,000	11/09/09	211.01	02/28/11	241.96	30.95
	CIM	41,000	11/09/09	154.49	06/15/11	142.26	(12.23)
	CIM	274,000	11/09/09	1,032.43	08/04/11	830.53	(201.90)
	CIM	176,000	11/23/09	703.98	08/04/11	533.48	(170.50)
	CIM	89,000	11/27/09	350.66	08/04/11	269.77	(80.89)
	CIM	193,000	03/29/10	774.05	08/04/11	585.01	(189.04)
	CIM	217,000	04/01/10	832.15	08/04/11	657.76	(174.39)
Total		1,215,000		\$4,700.55		\$3,990.97	(\$709.58)
COMERICA INC	CMA	10,000	10/27/09	296.60	06/15/11	338.39	41.79
	CMA	2,000	11/09/09	55.90	06/15/11	67.68	11.78
Total		12,000		\$352.50		\$406.07	\$53.57
COMSTOCK RES INC NEW	CRK	4,000	11/09/09	165.92	03/16/11	114.19	(51.73)

MorganStanley
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(11/01/2010 - 10/31/2011)

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As of 11/21/2011

Acct. 356-74707-15

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	CRK	29,000	10/27/09	\$1,318.34	03/16/11	\$827.88	(\$490.46)
	CRK	27,000	11/09/09	1,119.96	03/24/11	804.76	(315.20)
	CRK	4,000	11/23/09	148.80	03/24/11	119.22	(29.58)
	CRK	11,000	11/23/09	409.20	06/15/11	310.63	(98.57)
	CRK	2,000	11/27/09	76.22	06/15/11	56.48	(19.74)
	CRK	4,000	11/27/09	152.44	10/24/11	74.87	(77.57)
	CRK	19,000	12/17/09	764.40	10/24/11	355.64	(408.76)
	CRK	11,000	02/05/10	399.86	10/24/11	205.89	(193.97)
	Total	111,000		\$4,555.14		\$2,869.56	(\$1,685.58)
CURTISS-WRIGHT CORP	CW	9,000	01/04/10	286.10	06/15/11	283.67	(2.43)
	CW	8,000	01/04/10	254.31	07/06/11	261.04	6.73
	CW	20,000	01/05/10	643.63	07/06/11	652.61	8.98
	CW	10,000	01/05/10	321.82	07/29/11	319.83	(1.99)
	CW	19,000	01/06/10	628.66	07/29/11	607.67	(20.99)
	CW	2,000	01/06/10	66.18	07/29/11	63.97	(2.21)
	CW	6,000	02/05/10	171.17	07/29/11	191.90	20.73
	CW	18,000	02/08/10	523.53	07/29/11	575.69	52.16
	CW	1,000	02/22/10	31.98	07/29/11	31.97	(0.01)
	CW	18,000	02/22/10	575.73	08/12/11	511.23	(64.50)
	CW	7,000	04/14/10	240.19	08/12/11	198.81	(41.38)
	CW	6,000	04/16/10	211.43	08/15/11	170.22	(41.21)
	CW	6,000	04/15/10	211.79	08/15/11	170.22	(41.57)
	CW	7,000	04/14/10	240.19	08/15/11	198.59	(41.60)
	Total	137,000		\$4,406.71		\$4,237.42	(\$169.29)
DIGITAL RIVER INC	DRIV	13,000	03/01/10	356.18	06/15/11	391.55	35.37
BRINKER INTL INC	EAT	6,000	11/27/09	82.08	01/14/11	130.33	48.25

MorganStanley
SmithBarneyHarry Dent Family Fdtn., Inc.
Penn Capital - Sm/Mid Cap Core
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Box 28403 Main Street
Buffalo NY 14203-2109**Realized Gain/Loss - Detail**
(11/01/2010 - 10/31/2011)

Prepared by THE ARTHURS GROUP

As of 11/21/2011

Acct. 356-74707-15

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
EAT		31.000	11/23/09	\$432.45	01/14/11	\$673.34	\$240.89
EAT		7.000	11/09/09	96.95	01/14/11	152.04	55.09
EAT		12.000	11/27/09	164.16	02/01/11	286.98	122.82
EAT		27.000	12/16/09	387.24	02/01/11	645.72	258.48
EAT		17.000	12/16/09	243.82	02/02/11	401.14	157.32
Total		100.000		\$1,406.70		\$2,289.55	\$882.85
ENERGIZER HLDGS INC							
ENR		9.000	11/23/09	524.70	05/04/11	676.27	151.57
ENR		1.000	11/27/09	57.05	05/04/11	75.15	18.10
ENR		3.000	11/09/09	174.99	05/04/11	225.42	50.43
ENR		3.000	11/27/09	171.15	06/15/11	208.67	37.52
ENR		11.000	06/28/10	581.09	07/01/11	800.22	219.13
ENR		2.000	06/28/10	105.65	07/07/11	151.13	45.48
Total		29.000		\$1,614.63		\$2,136.86	\$522.23
ENERSYS							
ENS		27.000	11/17/09	654.63	12/01/10	834.28	179.65
ENS		10.000	11/17/09	242.46	06/15/11	313.09	70.63
ENS		11.000	11/17/09	266.70	07/28/11	357.84	91.14
ENS		15.000	11/17/09	363.68	07/29/11	482.86	119.18
ENS		1.000	11/23/09	24.12	07/29/11	32.19	8.07
Total		64.000		\$1,551.59		\$2,020.26	\$468.67
GAMESTOP CORP NEW CL A							
GME		47.000	10/27/09	1,147.74	03/30/11	1,062.07	(85.67)
GME		17.000	10/27/09	415.14	04/20/11	449.89	34.75
GME		49.000	11/09/09	1,240.68	04/20/11	1,296.75	56.07
GME		8.000	11/09/09	202.56	06/15/11	208.71	6.15
GME		43.000	03/11/10	817.21	07/07/11	1,178.13	360.92
GME		8.000	11/09/09	202.56	07/07/11	219.19	16.63
GME		14.000	11/27/09	352.38	07/07/11	383.58	31.20

Harry Dent Family Fdtn., Inc.
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	GME	31.000	11/23/09	\$800.73	07/07/11	\$849.35	\$48.62
Total		217.000		\$5,179.00		\$5,647.67	\$468.67
HAIN CELESTIAL GROUP INC	HAIN	33.000	11/09/09	649.77	11/16/10	851.47	201.70
	HAIN	31.000	11/09/09	610.39	02/17/11	961.44	351.05
	HAIN	5.000	11/09/09	98.45	02/24/11	146.67	48.22
	HAIN	26.000	11/10/09	474.93	02/24/11	762.71	287.78
	HAIN	4.000	11/10/09	73.07	02/25/11	118.91	45.84
	HAIN	28.000	11/23/09	538.44	02/25/11	832.33	293.89
	HAIN	24.000	11/23/09	461.52	03/03/11	722.06	260.54
	HAIN	24.000	11/27/09	426.94	03/03/11	722.07	295.13
Total		175.000		\$3,333.51		\$5,117.66	\$1,784.15
PETROHAWK ENERGY CORP	HK	41.000	10/27/09	1,060.67	03/21/11	937.05	(123.62)
	HK	12.000	11/09/09	290.28	04/13/11	294.34	4.06
	HK	27.000	10/27/09	698.49	04/13/11	662.26	(36.23)
	HK	39.000	11/09/09	943.41	04/26/11	1,029.67	86.26
	HK	21.000	11/23/09	455.69	05/24/11	533.09	77.40
	HK	17.000	11/09/09	411.23	05/24/11	431.55	20.32
	HK	11.000	11/23/09	238.70	06/15/11	263.44	24.74
	HK	1.000	11/27/09	22.66	06/15/11	23.95	1.29
	HK	13.000	11/27/09	294.58	07/15/11	497.68	203.10
	HK	29.000	12/16/09	699.51	07/15/11	1,110.21	410.70
	HK	40.000	05/06/10	800.22	07/15/11	1,531.33	731.11
Total		251.000		\$5,915.44		\$7,314.57	\$1,399.13
HOLOGIC INC	HOLX	52.000	10/27/09	802.68	01/31/11	1,029.14	226.46
	HOLX	25.000	10/27/09	385.90	06/15/11	495.99	110.09
	HOLX	19.000	11/09/09	291.39	10/19/11	281.69	(9.70)

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	HOLX	23.000	10/27/09	\$355.03	10/19/11	\$340.99	(\$14.04)
Total		119.000		\$1,835.00		\$2,147.81	\$312.81
INTEGRA LIFESCIENCES HOLDINGS							
IART		20.000	11/09/09	631.20	12/10/10	960.71	329.51
IART		19.000	11/09/09	599.64	12/13/10	912.84	313.20
IART		16.000	11/23/09	522.56	12/14/10	774.86	252.30
IART		4.000	11/09/09	126.24	12/14/10	193.71	67.47
IART		6.000	11/23/09	195.96	12/15/10	287.84	91.88
IART		9.000	11/27/09	297.90	12/15/10	431.76	133.86
Total		74.000		\$2,373.50		\$3,561.72	\$1,188.22
JACK IN THE BOX INC							
JACK		25.000	10/27/09	467.50	11/04/10	593.15	125.65
JANUS CAPITAL GROUP INC							
JNS		26.000	03/01/10	334.48	06/10/11	237.95	(96.53)
JNS		174.000	02/05/10	2,057.74	06/10/11	1,592.45	(465.29)
JNS		18.000	04/22/10	255.35	06/15/11	166.68	(88.67)
JNS		32.000	03/01/10	411.67	06/15/11	296.31	(115.36)
JNS		5.000	05/07/10	61.99	06/28/11	45.48	(16.51)
JNS		50.000	05/06/10	627.85	06/28/11	454.80	(173.05)
JNS		49.000	04/22/10	695.12	06/28/11	445.70	(249.42)
JNS		72.000	05/19/10	848.49	07/07/11	708.73	(139.76)
JNS		21.000	05/28/10	223.89	07/07/11	206.71	(17.18)
JNS		3.000	05/07/10	37.20	07/07/11	29.53	(7.67)
JNS		60.000	06/03/10	625.40	07/21/11	552.03	(73.37)
JNS		46.000	05/28/10	490.43	07/21/11	423.22	(67.21)
JNS		79.000	07/01/10	714.94	07/22/11	717.78	2.84
JNS		72.000	06/22/10	736.43	07/22/11	654.18	(82.25)
JNS		13.000	06/03/10	135.50	07/22/11	118.12	(17.38)

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KEYCORP -NEW							
Total		720.000		\$8,256.48		\$6,649.67	(\$1,606.81)
KEY		106.000	10/27/09	\$635.84	01/14/11	\$928.88	\$293.04
KEY		77.000	10/27/09	461.89	06/07/11	622.19	160.30
KEY		40.000	11/09/09	229.92	06/07/11	323.21	93.29
KEY		241.000	11/09/09	1,385.27	06/10/11	1,917.52	532.25
KEY		31.000	11/09/09	178.19	06/15/11	249.85	71.66
KEY		54.000	11/23/09	320.06	07/07/11	453.44	133.38
KEY		52.000	11/09/09	298.89	07/07/11	436.64	137.75
KEY		96.000	02/05/10	651.24	07/12/11	776.51	125.27
KEY		90.000	11/27/09	513.90	07/12/11	727.98	214.08
KEY		124.000	11/23/09	734.96	07/12/11	1,002.99	268.03
Total		911.000		\$5,410.16		\$7,439.21	\$2,029.05
KANSAS CITY SOUTHERN INDS NEW							
KSU		12.000	11/09/09	345.96	04/21/11	647.52	301.56
KSU		3.000	11/09/09	86.49	06/15/11	156.92	70.43
KSU		12.000	11/09/09	345.96	10/24/11	725.70	379.74
Total		27.000		\$778.41		\$1,530.14	\$751.73
LAMAR ADVERTISING CO CLASS A							
LAMR		27.000	08/24/10	721.92	09/15/11	517.76	(204.16)
LAMR		26.000	08/20/10	732.96	09/15/11	498.58	(234.38)
LAMR		27.000	08/19/10	772.55	09/15/11	517.76	(254.79)
LAMR		25.000	08/16/10	726.31	09/15/11	479.41	(246.90)
LAMR		35.000	08/11/10	1,049.11	09/15/11	671.17	(377.94)
LAMR		7.000	08/05/10	205.68	09/15/11	134.23	(71.45)
Total		147.000		\$4,208.53		\$2,818.91	(\$1,389.62)
LINCOLN NATIONAL CORP -IND-							
LNC		4.000	10/27/09	98.08	06/15/11	104.43	6.35
LNC		36.000	10/27/09	882.72	06/27/11	966.94	84.22

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As of 11/21/2011

Acct. 356-74707-15

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
LNC		9,000	11/09/09	\$219.33	06/27/11	\$241.74	\$22.41
LNC		1,000	11/23/09	24.28	08/08/11	22.41	(1.87)
LNC		31,000	11/09/09	755.47	08/08/11	694.64	(60.83)
LNC		6,000	12/08/09	135.39	08/10/11	127.35	(8.04)
LNC		8,000	11/27/09	185.52	08/10/11	169.80	(15.72)
LNC		20,000	11/23/09	485.60	08/10/11	424.50	(61.10)
LNC		42,000	12/08/09	947.74	09/27/11	714.58	(233.16)
LNC		24,000	04/29/10	748.72	10/06/11	365.38	(383.34)
LNC		11,000	12/08/09	248.22	10/06/11	167.46	(80.76)
LNC		3,000	04/29/10	93.59	10/07/11	46.59	(47.00)
LNC		15,000	06/14/10	418.87	10/07/11	232.97	(185.90)
LNC		15,000	06/15/10	414.01	10/07/11	232.97	(181.04)
LNC		29,000	05/20/10	769.35	10/07/11	450.40	(318.95)
LNC		15,000	08/20/10	318.89	10/07/11	232.97	(85.92)
LNC		34,000	08/25/10	721.49	10/12/11	587.57	(133.92)
LNC		18,000	08/20/10	382.66	10/12/11	311.07	(71.59)
Total		321,000		\$7,849.93		\$6,093.77	(\$1,756.16)
MASCO CORP DE		49,000	10/15/10	539.90	10/26/11	470.90	(69.00)
MAS		28,000	10/25/10	312.85	10/26/11	269.09	(43.76)
Total		77,000		\$852.75		\$739.99	(\$112.76)
MARSHALL & ILSLEY CORP NEW	MI	54,000	11/27/09	298.08	12/17/10	368.89	70.81
MI		81,000	11/23/09	452.79	12/17/10	553.33	100.54
MI		155,000	11/09/09	836.38	12/17/10	1,058.84	222.46
Total		290,000		\$1,587.25		\$1,981.06	\$393.81
MONSTER WORLDWIDE INC	MWW	22,000	04/30/10	386.10	06/15/11	289.73	(96.37)
MWW		32,000	04/30/10	561.61	08/11/11	270.75	(290.86)

ATTACHMENT I
MorganStanley
SmithBarney

Harry Dent Family Fdtn., Inc.
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(11/01/2010 - 10/31/2011)

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Acct. 356-74707-15

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
MWW		55.000	08/09/10	\$725.78	08/11/11	\$465.35	(\$260.43)
Total		109.000		\$1,673.49		\$1,025.83	(\$647.66)
NABORS INDUSTRIES LTD-USD	NBR	27.000	10/22/10	522.43	10/31/11	513.93	(8.50)
NII HLDGS INC CLASS B NEW	NIHD	12.000	11/09/09	347.15	06/15/11	477.59	130.44
	NIHD	22.000	11/09/09	636.44	10/26/11	671.54	35.10
Total		34.000		\$983.59		\$1,149.13	\$165.54
OLD DOMINION FGHT LINES INC	ODFL	15.815	11/09/09	267.90	11/15/10	440.04	172.14
OWENS ILLINOIS INC NEW	OI	29.000	10/27/09	1,027.18	05/16/11	947.80	(79.38)
	OI	46.000	10/27/09	1,629.32	08/09/11	843.17	(786.15)
	OI	50.000	11/09/09	1,739.00	08/09/11	916.49	(822.51)
	OI	37.000	11/23/09	1,228.77	08/29/11	673.78	(554.99)
	OI	3.000	02/04/10	78.11	08/29/11	54.63	(23.48)
	OI	17.000	11/27/09	540.77	08/29/11	309.58	(231.19)
	OI	25.000	11/09/09	869.50	08/29/11	455.26	(414.24)
Total		207.000		\$7,112.65		\$4,200.71	(\$2,911.94)
OPNEXT INC	OPXT	154.000	10/27/09	407.49	02/02/11	295.15	(112.34)
	OPXT	203.000	11/09/09	565.98	02/04/11	527.85	(38.13)
	OPXT	12.000	10/30/09	29.43	02/04/11	31.20	1.77
	OPXT	182.000	10/27/09	481.57	02/04/11	473.25	(8.32)
	OPXT	143.000	11/09/09	398.70	02/07/11	368.46	(30.24)
	OPXT	8.000	11/10/09	16.42	02/09/11	23.84	7.42
	OPXT	136.000	11/09/09	379.18	02/09/11	405.37	26.19
	OPXT	218.000	11/23/09	405.48	02/10/11	662.44	256.96
	OPXT	75.000	11/27/09	135.00	02/10/11	227.91	92.91
	OPXT	8.000	11/10/09	16.41	02/10/11	24.31	7.90

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Acct. 356-74707-15

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Total		1,139,000		\$2,835.66		\$3,039.78	\$204.12
PAETEC HOLDING CORP	PAET	48,000	01/11/10	\$189.84	06/15/11	\$213.59	\$23.75
	PAET	45,000	03/01/10	181.02	08/01/11	235.99	54.97
	PAET	86,000	02/26/10	338.62	08/01/11	451.00	112.38
	PAET	65,000	01/22/10	233.65	08/01/11	340.87	107.22
	PAET	74,000	01/21/10	279.08	08/01/11	388.07	108.99
	PAET	67,000	01/20/10	249.73	08/01/11	351.36	101.63
	PAET	124,000	01/19/10	499.91	08/01/11	650.28	150.37
	PAET	69,000	01/12/10	277.62	08/01/11	361.85	84.23
	PAET	111,000	01/11/10	439.02	08/01/11	582.11	143.09
	PAET	36,000	05/19/10	158.69	08/05/11	178.05	19.36
	PAET	81,000	05/28/10	342.32	08/05/11	400.61	58.29
	PAET	82,000	03/01/10	329.86	08/05/11	405.56	75.70
	PAET	146,000	08/04/10	612.35	08/05/11	722.09	109.74
	PAET	46,000	08/03/10	187.17	08/05/11	227.51	40.34
	PAET	59,000	06/01/10	245.24	08/05/11	291.80	46.56
Total		1,139,000		\$4,564.12		\$5,800.74	\$1,236.62
PROLOGIS SH BEN INT	PLD	59,000	10/27/09	720.39	01/27/11	938.85	218.46
	PLD	32,000	10/27/09	390.72	02/18/11	508.76	118.04
	PLD	34,000	11/09/09	410.72	02/18/11	540.55	129.83
	PLD	57,000	11/09/09	688.56	03/11/11	879.12	190.56
	PLD	5,000	11/23/09	68.25	03/11/11	77.12	8.87
	PLD	3,000	12/23/09	42.82	03/22/11	45.74	2.92
	PLD	23,000	11/27/09	296.70	03/22/11	350.73	54.03
	PLD	39,000	11/23/09	532.35	03/22/11	594.71	62.36
	PLD	47,000	12/23/09	670.83	03/25/11	729.27	58.44

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Acct. 356-74707-15

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Total		299,000		\$3,821.34		\$4,664.85	\$843.51
PMC SIERRA INC	PMCS	107,000	10/27/09	\$916.90	01/21/11	\$934.69	\$17.79
	PMCS	31,000	10/27/09	265.65	03/21/11	237.46	(28.19)
	PMCS	91,000	11/09/09	818.68	03/21/11	697.06	(121.62)
	PMCS	25,000	11/23/09	208.25	03/31/11	188.83	(19.42)
	PMCS	49,000	11/09/09	440.83	03/31/11	370.11	(70.72)
	PMCS	36,000	11/23/09	299.88	04/01/11	266.92	(32.96)
	PMCS	77,000	04/23/10	701.82	04/26/11	564.04	(137.78)
	PMCS	30,000	11/27/09	240.60	04/26/11	219.76	(20.84)
	PMCS	9,000	11/23/09	74.97	04/26/11	65.93	(9.04)
	PMCS	12,000	04/23/10	109.37	06/15/11	88.67	(20.70)
Total		467,000		\$4,076.95		\$3,633.47	(\$443.48)
PINNACLE ENTMT INC	PNK	56,000	11/23/09	614.32	01/21/11	851.45	237.13
	PNK	5,000	11/27/09	54.20	01/21/11	76.02	21.82
	PNK	39,000	01/04/10	362.95	05/25/11	571.38	208.43
	PNK	28,000	11/27/09	303.52	05/25/11	410.22	106.70
	PNK	31,000	01/04/10	288.50	05/26/11	453.75	165.25
	PNK	32,000	01/04/10	297.81	05/27/11	463.96	166.15
	PNK	18,000	01/04/10	167.52	06/15/11	242.27	74.75
	PNK	61,000	01/04/10	567.69	07/05/11	891.77	324.08
	PNK	23,000	01/07/10	206.17	08/03/11	323.07	116.90
	PNK	7,000	01/04/10	65.15	08/03/11	98.32	33.17
Total		300,000		\$2,927.83		\$4,382.21	\$1,454.38
PHARMACEUTICAL PRODUCT DEV I	PPDI	14,000	10/27/09	317.03	06/15/11	364.55	47.52
	PPDI	16,000	10/27/09	362.33	07/18/11	509.17	146.84
	PPDI	42,000	11/09/09	849.26	07/18/11	1,336.56	487.30

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	PPDI	35.000	02/26/10	\$711.04	08/30/11	\$1,084.38	\$373.34
	PPDI	15.000	11/27/09	311.71	08/30/11	464.74	153.03
	PPDI	28.000	11/23/09	575.91	08/30/11	867.51	291.60
	PPDI	16.000	11/09/09	323.53	08/30/11	495.72	172.19
	PPDI	39.000	03/25/10	849.60	10/03/11	1,256.26	406.66
	PPDI	35.000	03/10/10	744.65	10/03/11	1,127.41	382.76
	PPDI	18.000	03/25/10	392.13	10/05/11	575.00	182.87
Total		258.000		\$5,437.19		\$8,081.30	\$2,644.11
REGIONS FINANCIAL CORP	RF	132.000	10/27/09	680.59	01/05/11	946.68	266.09
	RF	24.000	10/27/09	123.74	01/07/11	168.30	44.56
	RF	131.000	11/09/09	628.61	01/07/11	918.65	290.04
	RF	23.000	11/09/09	110.36	06/15/11	140.98	30.62
	RF	19.000	11/27/09	107.73	08/24/11	77.50	(30.23)
	RF	129.000	11/23/09	730.14	08/24/11	526.16	(203.98)
	RF	108.000	11/09/09	518.24	08/24/11	440.51	(77.73)
	RF	123.000	01/06/10	697.85	08/25/11	515.37	(182.48)
	RF	48.000	11/27/09	272.16	08/25/11	201.12	(71.04)
Total		737.000		\$3,869.42		\$3,935.27	\$65.85
RANGE RESOURCES CORP	RRC	13.000	11/27/09	624.36	12/27/10	581.81	(42.55)
	RRC	7.000	11/23/09	334.67	12/27/10	313.28	(21.39)
	RRC	1.000	11/09/09	52.29	12/27/10	44.75	(7.54)
	RRC	7.000	11/27/09	336.20	01/14/11	336.30	0.10
Total		28.000		\$1,347.52		\$1,276.14	(\$71.38)
SBA COMMUNICATIONS CORP	SBAC	7.000	10/27/09	209.79	06/15/11	256.19	46.40
SMITHFIELD FOODS INC DE	SFD	11.000	11/09/09	157.63	01/07/11	216.69	59.06

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	SFD	37.000	10/27/09	\$498.39	01/07/11	\$728.85	\$230.46
	SFD	19.000	11/11/09	324.13	02/02/11	395.49	71.36
	SFD	27.000	11/09/09	386.91	02/02/11	562.01	175.10
	SFD	5.000	11/27/09	78.55	02/07/11	112.19	33.64
	SFD	27.000	11/23/09	444.69	02/07/11	605.84	161.15
	SFD	12.000	11/11/09	204.72	02/07/11	269.26	64.54
	SFD	36.000	01/15/10	594.61	02/09/11	817.02	222.41
	SFD	5.000	11/27/09	78.55	02/09/11	113.48	34.93
Total		179.000		\$2,768.18		\$3,820.83	\$1,052.65
SYNOVUS FINANCIAL CORP	SNV	366.000	10/27/09	899.44	01/07/11	952.09	52.65
	SNV	124.000	11/09/09	267.41	01/14/11	339.64	72.23
	SNV	106.000	10/30/09	247.20	01/14/11	290.34	43.14
	SNV	126.000	10/27/09	309.65	01/14/11	345.12	35.47
	SNV	312.000	11/09/09	672.82	01/21/11	899.10	226.28
	SNV	50.000	11/09/09	107.83	06/15/11	105.49	(2.34)
	SNV	174.000	11/27/09	325.36	08/24/11	235.09	(90.27)
	SNV	291.000	11/23/09	502.70	08/24/11	393.16	(109.54)
	SNV	105.000	11/09/09	226.43	08/24/11	141.86	(84.57)
	SNV	292.000	04/28/10	939.51	08/24/11	394.51	(545.00)
	SNV	124.000	04/28/10	398.97	08/25/11	164.04	(234.93)
	SNV	224.000	04/29/10	691.20	08/25/11	296.32	(394.88)
	SNV	434.000	04/28/10	1,396.40	08/25/11	574.12	(822.28)
	SNV	59.000	04/28/10	189.83	08/25/11	78.05	(111.78)
Total		2,787.000		\$7,174.75		\$5,208.93	(\$1,965.82)
CONSTELLATION BRANDS INC CL A	STZ	23.000	11/09/09	373.67	04/11/11	498.62	124.95
	STZ	23.000	10/27/09	374.12	04/11/11	498.61	124.49
	STZ	43.000	11/09/09	698.59	04/20/11	969.79	271.20

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STZ		8,000	11/09/09	\$129.97	06/15/11	\$168.55	\$38.58
Total		97,000		\$1,576.35		\$2,135.57	\$559.22
SAVVIS INC-NEW							
	SWVS	33,000	10/27/09	588.39	12/03/10	913.96	325.57
	SWVS	2,000	11/09/09	27.80	02/08/11	65.66	37.86
	SWVS	26,000	10/27/09	463.58	02/08/11	853.64	390.06
	SWVS	28,000	11/09/09	389.20	02/09/11	970.52	581.32
	SWVS	5,000	11/23/09	70.35	02/14/11	179.54	109.19
	SWVS	29,000	11/09/09	403.10	02/14/11	1,041.30	638.20
	SWVS	3,000	11/27/09	38.46	03/28/11	107.42	68.96
	SWVS	24,000	11/23/09	337.68	03/28/11	859.38	521.70
	SWVS	10,000	11/27/09	128.20	04/27/11	391.31	263.11
	SWVS	39,000	12/17/09	532.12	04/27/11	1,526.10	993.98
	SWVS	12,000	12/18/09	169.65	04/27/11	469.57	299.92
Total		211,000		\$3,148.53		\$7,378.40	\$4,229.87
ULTRA PETROLEUM CORP-CAD							
	UPL	1,000	11/09/09	49.32	12/01/10	47.69	(1.63)
	UPL	17,000	10/27/09	900.66	12/01/10	810.76	(89.90)
	UPL	18,000	11/09/09	887.76	12/13/10	871.66	(16.10)
	UPL	7,000	11/27/09	334.18	12/21/10	339.34	5.16
	UPL	15,000	11/23/09	709.95	12/21/10	727.14	17.19
	UPL	13,000	11/09/09	641.16	12/21/10	630.19	(10.97)
Total		71,000		\$3,523.03		\$3,426.78	(\$96.25)
VARIAN MEDICAL SYSTEMS INC							
	VAR	5,000	10/27/09	215.55	06/15/11	330.19	114.64
	VAR	13,000	10/27/09	560.43	10/18/11	728.12	167.69
	VAR	11,000	11/09/09	488.73	10/19/11	625.13	136.40
	VAR	1,000	10/27/09	43.11	10/19/11	56.83	13.72

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Total		30.000		\$1,307.82		\$1,740.27	\$432.45
VALUECLICK INC							
	VCLK	53.000	10/27/09	\$666.33	12/01/10	\$834.62	\$168.29
	VCLK	45.000	11/09/09	471.98	12/15/10	731.30	259.32
	VCLK	10.000	10/27/09	125.72	12/15/10	162.51	36.79
	VCLK	57.000	11/09/09	597.85	01/10/11	914.66	316.81
	VCLK	27.000	11/23/09	276.21	05/05/11	490.53	214.32
	VCLK	27.000	11/09/09	283.19	05/05/11	490.53	207.34
	VCLK	20.000	11/27/09	196.00	05/10/11	368.85	172.85
	VCLK	37.000	11/23/09	378.51	05/10/11	682.38	303.87
	VCLK	8.000	11/27/09	78.40	06/15/11	131.91	53.51
	VCLK	5.000	04/21/10	52.21	06/15/11	82.45	30.24
Total		289.000		\$3,126.40		\$4,889.74	\$1,763.34
WRIGHT MEDICAL GROUP INC							
	WMGI	66.000	10/27/09	1,102.86	04/05/11	983.74	(119.12)
	WMGI	12.000	10/27/09	200.52	06/15/11	171.71	(28.81)
	WMGI	20.000	11/09/09	376.40	07/07/11	313.84	(62.56)
	WMGI	11.000	10/27/09	183.81	07/07/11	172.61	(11.20)
	WMGI	29.000	11/09/09	545.78	07/08/11	442.75	(103.03)
	WMGI	9.000	11/27/09	164.25	07/29/11	140.72	(23.53)
	WMGI	45.000	11/23/09	837.45	07/29/11	703.59	(133.86)
	WMGI	41.000	11/09/09	771.62	07/29/11	641.05	(130.57)
	WMGI	25.000	03/11/10	401.41	08/01/11	385.22	(16.19)
	WMGI	9.000	11/27/09	164.25	08/01/11	138.68	(25.57)
	WMGI	27.000	03/11/10	433.52	08/02/11	407.01	(26.51)
Total		294.000		\$5,181.87		\$4,500.92	(\$680.95)
WET SEAL INC CL A							
	WTSLA	240.000	10/27/09	825.12	03/30/11	1,007.98	182.86
	WTSLA	208.000	11/09/09	718.99	04/01/11	878.22	159.23

MorganStanley
SmithBarneyHarry Dent Family Fdtn., Inc.
Penn Capital - Sm/Mid Cap Core
Brisbane Building
Box 28
403 Main Street
Buffalo NY 14203-2109**Realized Gain/Loss - Detail**
(11/01/2010 - 10/31/2011)

Prepared by THE ARTHURS GROUP

As of 11/21/2011

Acct. 356-74707-15

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
	WTSLA	10,000	10/27/09	\$34.38	04/01/11	\$42.22	\$7.84
	WTSLA	8,000	11/20/09	24.37	04/06/11	35.48	11.11
	WTSLA	219,000	11/09/09	757.02	04/06/11	971.31	214.29
	WTSLA	158,000	11/20/09	481.32	04/07/11	725.16	243.84
	WTSLA	61,000	11/23/09	187.76	04/07/11	279.96	92.20
	WTSLA	97,000	11/27/09	298.76	04/08/11	440.88	142.12
	WTSLA	192,000	11/23/09	590.97	04/08/11	872.68	281.71
Total		1,193,000		\$3,918.69		\$5,253.89	\$1,335.20
EXCO RESOURCES INC-NEW	XCO	90,000	10/27/09	1,584.45	11/01/10	1,782.93	198.48
ZIONS BANCORP	ZION	43,000	11/09/09	608.45	01/07/11	1,053.67	445.22
	ZION	13,000	11/09/09	183.95	06/15/11	291.32	107.37
Total		56,000		\$792.40		\$1,344.99	\$552.59
Long Term Total				\$157,644.90		\$172,465.60	\$14,820.70
(11/01/2010 - 10/31/2011) Short & Long Term Total				\$303,857.06		\$342,019.20	\$38,162.14

** Gain/Loss is only calculated when an original cost basis is available

(P) The cost basis information provided is an estimate. We have requested, and are awaiting receipt of, the final cost basis allocation information from the issuer

The above summary/prices/quotes/statistics have been obtained from sources believed reliable but are not necessarily complete and cannot be guaranteed. The information contained in client monthly account statements and confirmations reflects all transactions, and as such supersedes all other reports for financial and tax purposes. Investments and services offered through Morgan Stanley Smith Barney LLC, and accounts carried by Citigroup Global Markets Inc., Members SIPC. © 2011 Morgan Stanley Smith Barney.

ATTACHMENT I
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SmithBarney

Harry Dent Family Fdtn., Inc.
Nj Dividend Value
Brisbane Building
Box 28
403 Main Street
Buffalo NY 14203-2109

**Realized Gain/Loss - Detail
(11/01/2010 - 10/31/2011)**

Prepared by THE ARTHURS GROUP

As of 11/21/2011

Acct. 356-19354-16

Short Term

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
AMERIPRISE FINANCIAL INC	AMP	30.000	08/31/10	\$1,301.90	06/15/11	\$1,682.36	\$380.46
BAXTER INTL INC	BAX	25.000	05/18/10	1,086.41	03/02/11	1,301.97	215.56
	BAX	91.000	05/18/10	3,954.53	03/03/11	4,869.38	914.85
	BAX	25.000	05/18/10	1,086.41	03/09/11	1,327.00	240.59
	BAX	74.000	05/18/10	3,215.78	03/11/11	3,855.17	639.39
Total		215.000		\$9,343.13		\$11,353.52	\$2,010.39
GENERAL ELECTRIC CO	GE	55.000	07/29/10	880.51	06/15/11	1,012.65	132.14
INTERNATIONAL PAPER CO	IP	40.000	03/17/11	1,049.24	06/15/11	1,083.32	34.08
JPMORGAN CHASE & CO	JPM	15.000	05/03/11	687.85	06/15/11	610.03	(77.82)
COCA-COLA CO	KO	170.000	06/02/10	8,830.92	12/06/10	10,905.46	2,074.54
ELI LILLY & CO	LLY	35.000	05/04/11	1,334.05	06/15/11	1,303.37	(30.68)
PEPSICO INC	PEP	30.000	03/02/11	1,887.54	06/15/11	2,054.66	167.12
PNC FINANCIAL SERVICES GROUP	PNC	10.000	11/10/10	571.40	06/15/11	584.38	12.98
SANOFI SPONS ADR	SNY	40.000	10/29/10	1,405.14	06/15/11	1,468.05	62.91
	SNY	5.000	10/28/10	173.98	06/15/11	183.51	9.53
Total		45.000		\$1,579.12		\$1,651.56	\$72.44
TIME WARNER INC	TWX	45.000	12/07/10	1,407.00	06/15/11	1,557.07	150.07

Short Term Total

\$28,872.66 \$33,798.38 \$4,925.72

Long Term

AMEREN CORP AEE 30.000 08/27/07 \$1,503.36 06/15/11 \$840.88 (\$662.48)

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MorganStanley
SmithBarneyHarry Dent Family Fdtn., Inc.
Nfj Dividend Value
Brisbane Building
Box 28
403 Main Street
Buffalo NY 14203-2109**Realized Gain/Loss - Detail
(11/01/2010 - 10/31/2011)**

Prepared by THE ARTHURS GROUP

As of 11/21/2011

Acct. 356-19354-16

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
CHESAPEAKE ENERGY CORP	CHK	35,000	11/11/09	\$896.83	06/15/11	\$1,007.01	\$110.18
CONOCOPHILLIPS	COP	34,000	02/28/06	2,083.21	03/18/11	2,578.29	495.08
	COP	12,000	02/27/06	745.96	03/18/11	909.98	164.02
	COP	9,000	03/02/06	563.78	06/15/11	636.37	72.59
	COP	6,000	02/28/06	367.63	06/15/11	424.25	56.62
Total		61,000		\$3,760.58		\$4,548.89	\$788.31
CENTURYLINK INC	CTL	21,622	03/02/09	532.51	08/30/11	767.08	234.57
	CTL	20,514	02/23/09	509.04	08/30/11	727.76	218.72
	CTL	47,865	02/20/09	1,202.44	08/30/11	1,698.12	495.68
	CTL	33,081	03/02/09	814.73	08/31/11	1,186.21	371.48
	CTL	7,919	03/04/09	197.92	08/31/11	283.95	86.03
	CTL	75,216	03/13/09	1,833.29	09/29/11	2,524.59	691.30
	CTL	20,514	03/12/09	524.20	09/29/11	688.52	164.32
	CTL	20,514	03/05/09	505.66	09/29/11	688.52	182.86
	CTL	5,757	03/04/09	143.88	09/29/11	193.22	49.34
Total		253,000		\$6,263.67		\$8,757.97	\$2,494.30
CHEVRON CORP	CVX	32,000	11/28/05	1,833.92	03/18/11	3,291.76	1,457.84
	CVX	5,000	11/28/05	286.55	06/15/11	491.59	205.04
Total		37,000		\$2,120.47		\$3,783.35	\$1,662.88
DIAMOND OFFSHORE DRILLING INC	DO	13,000	01/12/09	757.19	12/14/10	850.78	93.59
	DO	26,000	01/07/08	3,483.97	12/14/10	1,701.56	(1,782.41)
	DO	25,000	01/02/08	3,528.58	12/14/10	1,636.11	(1,892.47)
	DO	10,000	12/26/07	1,481.97	12/14/10	654.44	(827.53)
	DO	54,000	07/27/09	5,001.20	01/28/11	3,806.69	(1,194.51)
	DO	27,000	01/12/09	1,572.64	01/28/11	1,903.35	330.71

MorganStanley
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HARRY DENT FAMILY FOUNDATION
EIN 16-0849923

Harry Dent Family Fdtn., Inc.
Nj Dividend Value
Brisbane Building
Box 28
403 Main Street
Buffalo NY 14203-2109

Prepared by THE ARTHURS GROUP

As of 11/21/2011

Acct. 356-19354-16

Realized Gain/Loss - Detail
(11/01/2010 - 10/31/2011)

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
DO		10.000	07/27/09	\$926.15	06/15/11	\$665.28	(\$260.87)
Total		165.000		\$16,751.70		\$11,218.21	(\$5,533.49)
EDISON INTERNATIONAL	EIX	40.000	03/04/09	1,001.86	06/15/11	1,551.72	549.86
FREEMONT MCMORAN COPPER & G	FCX	30.000	01/11/10	1,331.00	06/15/11	1,452.57	121.57
GLAXOSMITHKLINE PLC SP ADR	GSK	16.000	06/06/07	816.80	11/01/10	629.16	(187.64)
	GSK	25.000	06/06/07	1,276.26	06/15/11	1,037.48	(238.78)
Total		41.000		\$2,093.06		\$1,666.64	(\$426.42)
HUNTINGTON INGALLS	HII	5.000	10/26/09	146.84	05/09/11	197.49	50.65
	HII	20.000	10/26/09	587.36	05/10/11	799.57	212.21
Total		25.000		\$734.20		\$997.06	\$262.86
INTL BUSINESS MACHINES CORP	IBM	15.000	04/02/09	1,487.77	06/15/11	2,445.25	957.48
INTEL CORP	INTC	95.000	11/11/09	1,888.01	06/15/11	2,038.10	150.09
	INTC	30.000	11/11/09	596.22	10/19/11	733.42	137.20
	INTC	96.000	11/12/09	1,928.68	10/19/11	2,346.96	418.28
Total		221.000		\$4,412.91		\$5,118.48	\$705.57
JOHNSON & JOHNSON	JNJ	35.000	05/04/09	1,864.67	06/15/11	2,311.08	446.41
KRAFT FOODS INC CLASS A	KFT	20.000	05/02/07	670.39	06/15/11	679.58	9.19
LUBRIZOL CORP	LZ	10.000	01/27/10	804.67	03/15/11	1,338.36	533.69
	LZ	25.000	01/22/10	2,035.84	03/15/11	3,345.91	1,310.07
	LZ	55.000	01/21/10	4,590.59	03/15/11	7,361.00	2,770.41
	LZ	10.000	01/13/10	768.22	03/15/11	1,338.36	570.14
Total		100.000		\$8,199.32		\$13,383.63	\$5,184.31

Harry Dent Family Fdtn., Inc.
Nfj Dividend Value
Brisbane Building
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Buffalo NY 14203-2109

Realized Gain/Loss - Detail
(11/01/2010 - 10/31/2011)

Prepared by THE ARTHURS GROUP

As of 11/21/2011

Acct. 356-19354-16

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
METLIFE INC							
	MET	15.000	04/23/09	\$419.01	06/15/11	\$589.49	\$170.48
	MET	15.000	04/24/09	431.11	06/15/11	589.48	158.37
	Total	30.000		\$850.12		\$1,178.97	\$328.85
MCGRAW HILL COS INC							
	MHP	110.000	08/28/09	3,694.06	11/11/10	4,093.29	399.23
	MHP	5.000	08/28/09	167.91	11/12/10	183.02	15.11
	MHP	60.000	08/28/09	2,014.95	11/16/10	2,133.53	118.58
	MHP	15.000	08/31/09	499.06	11/16/10	533.38	34.32
	MHP	70.000	08/31/09	2,328.95	11/17/10	2,483.98	155.03
	Total	260.000		\$8,704.93		\$9,427.20	\$722.27
ALTRIA GROUP INC							
	MO	40.000	04/16/08	860.73	06/15/11	1,062.53	201.80
	MO	55.000	04/16/08	1,183.50	08/04/11	1,419.47	235.97
	MO	67.000	03/13/09	1,102.28	08/05/11	1,738.15	635.87
	MO	60.000	03/11/09	984.28	08/05/11	1,556.56	572.28
	MO	8.000	04/16/08	172.14	08/05/11	207.54	35.40
	MO	125.000	03/05/10	2,590.78	08/10/11	3,079.63	488.85
	MO	43.000	03/13/09	707.44	08/10/11	1,059.39	351.95
	Total	398.000		\$7,601.15		\$10,123.27	\$2,522.12
MARATHON PETROLEUM CORP							
	MPC	27.500	03/05/10	679.95	07/14/11	1,085.70	405.75
	MPC	66.500	11/28/05	1,557.64	07/14/11	2,625.42	1,067.78
	Total	94.000		\$2,237.59		\$3,711.12	\$1,473.53
MARATHON OIL CORP							
	MRO	82.000	11/28/05	2,380.05	02/09/11	3,798.14	1,418.09
	MRO	45.000	11/28/05	1,306.12	06/15/11	2,281.45	975.33
	Total	127.000		\$3,686.17		\$6,079.59	\$2,393.42
ANNALY CAPITAL MANAGEMENT IN	NLY	60.000	06/27/08	926.71	06/15/11	1,100.60	173.89

Harry Dent Family Fdtn., Inc.
Nfi Dividend Value
Brisbane Building
Box 28
403 Main Street
Buffalo NY 14203-2109

Realized Gain/Loss - Detail
(11/01/2010 - 10/31/2011)

Prepared by THE ARTHURS GROUP

As of 11/21/2011

Acct. 356-19354-16

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
	NLY	70.000	07/08/08	\$1,070.04	06/15/11	\$1,284.03	\$213.99
	NLY	35.000	06/30/08	548.57	06/15/11	642.02	93.45
	NLY	20.000	07/16/08	285.22	09/26/11	348.14	62.92
	NLY	125.000	07/08/08	1,910.79	09/26/11	2,175.88	265.09
	NLY	8.000	03/24/09	114.67	09/27/11	139.75	25.08
	NLY	200.000	07/17/08	2,913.42	09/27/11	3,493.65	580.23
	NLY	195.000	07/16/08	2,780.92	09/27/11	3,406.31	625.39
Total		713.000		\$10,550.34		\$12,590.38	\$2,040.04
NEW YORK COMMUNITY BANCORP							
	NYB	170.000	01/20/09	2,149.36	06/23/11	2,573.63	424.27
	NYB	60.000	01/26/09	754.19	06/23/11	908.34	154.15
	NYB	35.000	01/26/09	439.94	06/27/11	521.99	82.05
	NYB	45.000	01/27/09	511.50	06/27/11	671.12	159.62
	NYB	15.000	01/27/09	170.50	06/28/11	221.62	51.12
	NYB	60.000	01/28/09	781.93	06/28/11	886.49	104.56
	NYB	35.000	01/29/09	460.09	06/28/11	517.13	57.04
	NYB	135.000	02/04/09	1,639.79	06/29/11	2,012.28	372.49
	NYB	25.000	02/06/09	318.06	06/29/11	372.65	54.59
	NYB	40.000	01/29/09	525.81	06/29/11	596.23	70.42
Total		620.000		\$7,751.17		\$9,281.48	\$1,530.31
PFIZER INC							
	PFE	86.000	04/26/06	2,149.49	05/03/11	1,760.35	(389.14)
	PFE	40.000	04/21/06	993.90	05/03/11	818.77	(175.13)
	PFE	35.000	06/18/04	1,238.70	05/03/11	716.42	(522.28)
	PFE	175.000	04/24/06	4,317.71	05/03/11	3,582.11	(735.60)
	PFE	20.000	12/05/05	425.80	05/03/11	409.38	(16.42)
	PFE	185.000	11/10/04	5,093.51	05/03/11	3,786.80	(1,306.71)
	PFE	80.000	04/26/06	1,999.53	06/15/11	1,615.17	(384.36)

MorganStanley
SmithBarneyHarry Dent Family Fdtn., Inc.
Nfj Dividend Value
Brisbane Building
Box 28
403 Main Street
Buffalo NY 14203-2109**Realized Gain/Loss - Detail**
(11/01/2010 - 10/31/2011)

Prepared by THE ARTHURS GROUP

As of 11/21/2011

Acct. 356-19354-16

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Total		621.000		\$16,218.64		\$12,689.00	(\$3,529.64)
REYNOLDS AMERICAN INC	RAI	93.000	11/28/05	\$2,038.09	05/31/11	\$3,691.63	\$1,653.54
	RAI	35.000	11/28/05	767.03	06/15/11	1,310.85	543.82
Total		128.000		\$2,805.12		\$5,002.48	\$2,197.36
R R DONNELLEY & SONS CO	RRD	115.000	03/25/09	926.67	06/15/11	2,227.75	1,301.08
AT&T INC	T	70.000	12/07/05	1,747.54	06/15/11	2,122.35	374.81
TOTAL S.A SPONS ADR	TOT	15.000	12/26/07	1,225.94	06/15/11	798.58	(427.36)
	TOT	15.000	12/13/07	1,247.36	06/15/11	798.58	(448.78)
Total		30.000		\$2,473.30		\$1,597.16	(\$876.14)
TRAVELERS COMPANIES INC	TRV	10.000	11/28/05	465.50	06/15/11	576.58	111.08
V F CORP	VFC	25.000	11/28/05	1,402.50	06/15/11	2,517.70	1,115.20
	VFC	35.000	11/28/05	1,963.50	07/15/11	3,912.22	1,948.72
	VFC	60.000	11/28/05	3,366.00	07/19/11	6,886.33	3,520.33
Total		120.000		\$6,732.00		\$13,316.25	\$6,584.25
VERIZON COMMUNICATIONS	VZ	95.000	03/05/10	2,604.39	05/03/11	3,585.75	981.36
	VZ	230.000	11/28/05	6,654.51	05/03/11	8,681.30	2,026.79
Total		325.000		\$9,258.90		\$12,267.05	\$3,008.15
WASTE MGMT INC DEL	WM	10.000	01/31/08	323.63	11/30/10	342.60	18.97
	WM	80.000	01/23/08	2,294.53	11/30/10	2,740.81	446.28
	WM	90.000	02/07/08	2,891.61	12/01/10	3,104.32	212.71
	WM	35.000	02/05/08	1,149.20	12/01/10	1,207.24	58.04
	WM	55.000	01/31/08	1,779.98	12/01/10	1,897.09	117.11

**MorganStanley
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HARRY DENT FAMILY FOUNDATION
EIN. 16-0849923

Page 86 of 100

Harry Dent Family Fdn., Inc.
Nj Dividend Value
Brisbane Building
Box 28
403 Main Street
Buffalo NY 14203-2109

**Realized Gain/Loss - Detail
(11/01/2010 - 10/31/2011)**

Prepared by THE ARTHURS GROUP

As of 11/21/2011

Acct. 356-19354-16

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Total		270.000		\$8,438.95		\$9,292.06	\$853.11
Long Term Total				\$143,539.88		\$171,245.01	\$27,705.13
(11/01/2010 - 10/31/2011) Short & Long Term Total				\$172,412.54		\$205,043.39	\$32,630.85

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(P) The cost basis information provided is an estimate We have requested, and are awaiting receipt of, the final cost basis allocation information from the issuer

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Cambiar - Lrg Cap Value
Brisbane Building
Box 28
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Buffalo NY 14203-2109

Prepared by THE ARTHURS GROUP

As of 11/21/2011

Acct. 356-75350-12

Realized Gain/Loss - Detail
(11/01/2010 - 10/31/2011)**Short Term**

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
APPLE INC	AAPL	12,000	10/13/10	\$3,615.57	11/11/10	\$3,801.00	\$185.43
	AAPL	22,000	10/13/10	6,628.54	03/21/11	7,453.76	825.22
	Total	34,000		\$10,244.11		\$11,254.76	\$1,010.65
ABBOTT LABORATORIES	ABT	8,000	10/13/10	426.09	04/18/11	408.51	(17.58)
	ABT	15,000	10/13/10	798.93	06/15/11	771.65	(27.28)
	ABT	55,000	10/13/10	2,929.39	06/30/11	2,885.65	(43.74)
	Total	78,000		\$4,154.41		\$4,065.81	(\$88.60)
ACE LIMITED	ACE	10,000	03/22/11	622.40	06/15/11	645.44	23.04
	ACE	7,000	03/22/11	435.68	08/24/11	432.50	(3.18)
	Total	17,000		\$1,058.08		\$1,077.94	\$19.86
AETNA INC NEW	AET	25,000	03/22/11	892.83	05/13/11	1,094.12	201.29
	AET	10,000	03/22/11	357.13	06/15/11	437.64	80.51
	Total	35,000		\$1,249.96		\$1,531.76	\$281.80
APPLIED MATERIALS INC DELAWARE	AMAT	30,000	03/22/11	455.33	06/15/11	377.47	(77.86)
	AMAT	136,000	03/22/11	2,064.14	09/27/11	1,482.42	(581.72)
	Total	166,000		\$2,519.47		\$1,859.89	(\$659.58)
BOEING CO	BA	91,000	10/13/10	6,528.43	03/21/11	6,478.75	(49.68)
BANK OF AMERICA CORP	BAC	404,000	10/13/10	5,435.13	03/21/11	5,631.37	196.24
WTS BANK OF AMERICA CORP	BACWSA	211,000	03/22/11	1,675.72	08/09/11	586.45	(1,089.27)
	BACWSA	221,000	03/23/11	1,714.60	08/10/11	609.97	(1,104.63)
	BACWSA	87,000	03/22/11	690.94	08/10/11	240.12	(450.82)

Third-party and Morgan Stanley Smith Barney research on certain companies is available to clients of the firm at no cost. Clients can access this research at www.smithbarney.com or contact their Financial Advisor to request a copy of this research be sent to them

ATTACHMENT I
MorganStanley
SmithBarney

Harry Dent Family Fdtn., Inc.
Cambiar - Lrg Cap Value
Brisbane Building
Box 28
403 Main Street
Buffalo NY 14203-2109

Realized Gain/Loss - Detail
(11/01/2010 - 10/31/2011)

Prepared by THE ARTHURS GROUP

As of 11/21/2011

Acct. 356-75350-12

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
	BACWSA	34.000	03/23/11	\$263.79	08/11/11	\$105.63	(\$158.16)
Total		553.000		\$4,345.05		\$1,542.17	(\$2,802.88)
BAXTER INTL INC	BAX	6.000	10/13/10	296.17	03/22/11	316.52	20.35
	BAX	20.000	10/13/10	987.23	06/15/11	1,173.28	186.05
Total		26.000		\$1,283.40		\$1,489.80	\$206.40
CONAGRA FOODS INC	CAG	105.000	10/13/10	2,346.23	12/28/10	2,350.03	3.80
	CAG	174.000	10/13/10	3,888.03	12/29/10	3,921.70	33.67
Total		279.000		\$6,234.26		\$6,271.73	\$37.47
CONOCOPHILLIPS	COP	108.000	10/13/10	6,503.17	03/21/11	8,373.74	1,870.57
COMPUTER SCIENCES CORP	CSC	64.000	03/22/11	3,089.53	05/05/11	2,799.41	(290.12)
	CSC	54.000	03/22/11	2,606.79	05/09/11	2,364.93	(241.86)
Total		118.000		\$5,696.32		\$5,164.34	(\$531.98)
CVS CAREMARK CORP	CVS	16.000	03/22/11	536.79	05/12/11	608.89	72.10
	CVS	15.000	03/22/11	503.24	06/15/11	561.50	58.26
Total		31.000		\$1,040.03		\$1,170.39	\$130.36
DEERE & CO	DE	89.000	10/13/10	6,753.22	03/21/11	8,187.83	1,434.61
DIAGEO PLC SPON ADR-NEW	DEO	83.000	10/13/10	5,995.75	03/21/11	6,210.64	214.89
DOW CHEMICAL CO	DOW	48.000	10/13/10	1,428.57	03/21/11	1,772.91	344.34
EBAY INC	EBAY	263.000	10/13/10	6,521.27	03/21/11	8,114.18	1,592.91
EMC CORP-MASS	EMC	281.000	10/13/10	5,746.11	03/21/11	7,477.38	1,731.27
	EMC	40.000	03/29/10	720.91	03/21/11	1,064.39	343.48

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Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Total		321,000		\$6,467.02		\$8,541.77	\$2,074.75
EAST WEST BANCORP INC	EWBC	116,000	10/13/10	\$1,969.04	03/21/11	\$2,575.13	\$606.09
	EWBC	67,000	10/13/10	1,137.29	03/22/11	1,475.67	338.38
Total		183,000		\$3,106.33		\$4,050.80	\$944.47
FLEXTRONICS INTL LTD USD	FLEX	80,000	03/22/11	576.57	06/15/11	545.58	(30.99)
HALLIBURTON CO HOLDINGS CO	HAL	25,000	03/22/11	1,132.16	06/15/11	1,186.69	54.53
HOME DEPOT INC	HD	207,000	10/13/10	6,478.67	03/21/11	7,552.08	1,073.41
H J HEINZ CO	HNZ	123,000	10/13/10	5,978.07	03/21/11	6,023.30	45.23
HONEYWELL INTL INC	HON	10,000	03/22/11	567.91	06/15/11	564.82	(3.09)
HOSPIRA INC	HSP	6,000	10/13/10	342.88	03/22/11	310.61	(32.27)
	HSP	15,000	10/13/10	857.21	06/15/11	810.41	(46.80)
Total		21,000		\$1,200.09		\$1,121.02	(\$79.07)
HERSHEY COMPANY	HSY	123,000	10/13/10	6,216.95	03/21/11	6,647.52	430.57
INTL BUSINESS MACHINES CORP	IBM	61,000	10/13/10	8,600.72	03/21/11	9,652.93	1,052.21
INTUIT INC	INTU	138,000	10/13/10	6,496.43	03/21/11	6,965.45	469.02
J C PENNEY CO INC	JCP	24,000	10/13/10	793.20	03/21/11	866.60	73.40
JPMORGAN CHASE & CO	JPM	32,000	09/22/10	1,276.91	03/21/11	1,452.78	175.87
NORDSTROM INC	JWN	162,000	10/22/10	5,988.62	03/21/11	6,813.05	824.43
KBR INC	KBR	10,000	03/22/11	365.52	06/15/11	357.12	(8.40)
ELI LILLY & CO	LLY	139,000	10/13/10	5,201.21	03/21/11	4,806.65	(394.56)
MEDTRONIC INC	MDT	20,000	03/22/11	756.90	06/15/11	761.16	4.26

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MOTOROLA MOBILITY HOLDINGS I	MMI	10.000	03/22/11	\$256.05	06/15/11	\$238.88	(\$17.17)
	MMI	138.000	03/22/11	3,533.56	08/15/11	5,274.26	1,740.70
	MMI	61.000	03/22/11	1,561.94	08/16/11	2,317.96	756.02
	MMI	126.000	03/22/11	3,226.29	09/07/11	4,745.29	1,519.00
Total		335.000		\$8,577.84		\$12,576.39	\$3,998.55
M & T BK CORP	MTB	44.000	10/13/10	3,380.62	03/21/11	3,821.19	440.57
MTSUBISHI UFJ FINANCIAL	MTU	900.000	10/13/10	4,405.23	03/03/11	4,943.78	538.55
NEXTERA ENERGY INC	NEE	76.000	10/13/10	4,214.97	03/21/11	4,097.76	(117.21)
	NEE	31.000	08/10/10	1,656.97	03/21/11	1,671.45	14.48
Total		107.000		\$5,871.94		\$5,769.21	(\$102.73)
NORTHROP GRUMMAN CORP	NOC	113.000	10/13/10	7,041.81	03/21/11	7,681.09	639.28
NATIONAL OILWELL VARCO INC	NOV	20.000	03/22/11	1,578.03	06/15/11	1,423.87	(154.16)
	NOV	11.000	03/22/11	867.92	07/26/11	911.23	43.31
Total		31.000		\$2,445.95		\$2,335.10	(\$110.85)
NORFOLK SOUTHERN CORP	NSC	15.000	03/22/11	1,015.25	06/15/11	1,073.87	58.62
	NSC	22.000	03/22/11	1,489.03	06/29/11	1,618.68	129.65
	NSC	22.000	03/22/11	1,489.03	10/28/11	1,648.44	159.41
Total		59.000		\$3,993.31		\$4,340.99	\$347.68
NORTHERN TRUST CORP	NTRS	67.000	12/23/10	3,710.00	03/21/11	3,384.65	(325.35)
	NTRS	56.000	12/27/10	3,107.08	03/21/11	2,828.97	(278.11)
Total		123.000		\$6,817.08		\$6,213.62	(\$603.46)
NEXEN INC	NXY	113.000	04/29/11	2,966.25	06/23/11	2,274.97	(691.28)

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	NXNY	24.000	05/03/11	\$628.45	06/23/11	\$483.18	(\$145.27)
	NXNY	93.000	05/03/11	2,435.23	06/24/11	1,851.30	(583.93)
Total		230.000		\$6,029.93		\$4,609.45	(\$1,420.48)
OWENS ILLINOIS INC NEW	OI	190.000	03/22/11	5,715.81	08/12/11	3,456.05	(2,259.76)
ON SEMICONDUCTOR CORP	ONNN	70.000	03/22/11	683.13	06/15/11	709.78	26.65
ORACLE CORP	ORCL	131.000	09/08/10	3,151.96	03/21/11	4,127.06	975.10
	ORCL	144.000	10/13/10	4,083.25	03/21/11	4,536.62	453.37
Total		275.000		\$7,235.21		\$8,663.68	\$1,428.47
GEP RESOURCES INC	GEP	121.000	10/13/10	3,648.21	03/21/11	4,902.02	1,253.81
RALCORP HLDGS INC NEW	RAH	37.000	03/22/11	2,399.84	05/04/11	3,252.71	852.87
	RAH	25.000	03/22/11	1,621.51	05/24/11	2,193.97	572.46
	RAH	26.000	03/22/11	1,686.37	05/25/11	2,274.70	588.33
Total		88.000		\$5,707.72		\$7,721.38	\$2,013.66
ROYAL DUTCH SHELL PLC ADR	RDSA	5.000	05/17/11	343.83	06/15/11	343.59	(0.24)
	RDSA	17.000	05/17/11	1,169.03	10/17/11	1,170.28	1.25
Total		22.000		\$1,512.86		\$1,513.87	\$1.01
POLO RALPH LAUREN CORP CL A	RL	66.000	10/13/10	6,234.27	03/21/11	7,896.43	1,662.16
SPRINT NEXTEL CORP	S	208.000	03/22/11	942.22	04/29/11	1,102.41	160.19
	S	185.000	03/22/11	838.03	05/24/11	1,060.82	222.79
	S	296.000	08/10/11	934.21	10/10/11	645.92	(288.29)
	S	367.000	08/02/11	1,509.98	10/10/11	800.85	(709.13)
	S	1,504.000	03/22/11	6,812.97	10/10/11	3,281.96	(3,531.01)

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Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Total		2,560,000		\$11,037.41		\$6,891.96	(\$4,145.45)
SCHWAB CHARLES CORP	SCHW	41,000	09/20/10	\$567.94	03/21/11	\$719.81	\$151.87
	SCHW	25,000	09/10/10	337.50	03/21/11	438.90	101.40
Total		66,000		\$905.44		\$1,158.71	\$253.27
SPX CORP	SPW	86,000	10/13/10	5,958.71	03/21/11	6,699.08	740.37
BANCO SANTANDER S.A.	STD	494,000	10/13/10	6,525.49	03/21/11	5,941.86	(583.63)
	STD	200,000	01/07/11	1,985.30	03/21/11	2,405.61	420.31
	STD	10,000	01/14/11	118.90	03/21/11	120.28	1.38
Total		704,000		\$8,629.69		\$8,467.75	(\$161.94)
QUESTAR CORP	STR	103,000	10/13/10	1,806.24	03/21/11	1,790.58	(15.66)
	STR	88,000	11/17/10	1,492.99	03/21/11	1,529.81	36.82
	STR	19,000	11/18/10	326.83	03/21/11	330.30	3.47
Total		210,000		\$3,626.06		\$3,650.69	\$24.63
SAFEWAY INC NEW	SWY	222,000	10/13/10	4,704.11	03/21/11	5,052.04	347.93
TEVA PHARMACEUTICAL INDS	TEVA	10,000	03/22/11	483.84	06/15/11	494.76	10.92
TIME WARNER INC	TWX	54,000	10/13/10	1,695.18	03/22/11	1,912.01	216.83
	TWX	10,000	10/13/10	313.92	06/15/11	347.24	33.32
Total		64,000		\$2,009.10		\$2,259.25	\$250.15
TEXAS INSTRUMENTS INC	TXN	201,000	10/13/10	5,676.48	03/21/11	6,786.89	1,110.41
UNILEVER NV NY SHS-NEW	UN	5,000	10/13/10	149.70	06/15/11	158.07	8.37
	UN	31,000	10/13/10	928.13	08/24/11	1,048.00	119.87
Total		36,000		\$1,077.83		\$1,206.07	\$128.24

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UNION PACIFIC CORP	UNP	10,000	03/22/11	\$950.33	06/15/11	\$1,007.43	\$57.10
	UNP	1,000	03/22/11	95.03	10/28/11	102.28	7.25
Total		11,000		\$1,045.36		\$1,109.71	\$64.35
VODAFONE GROUP PLC SPONS	VOD	19,000	10/13/10	495.71	03/22/11	546.42	50.71
	VOD	47,000	10/13/10	1,226.23	08/24/11	1,290.07	63.84
Total		66,000		\$1,721.94		\$1,836.49	\$114.55
WEATHERFORD INTERNATIONAL	WFT	244,000	10/13/10	4,523.13	03/21/11	5,074.17	551.04
WILLIS GROUP HLDGS PLC	WSH	20,000	03/22/11	786.78	06/15/11	819.16	32.38
WESTERN UNION COMPANY (THE)	WU	71,000	03/22/11	1,520.17	06/29/11	1,400.18	(119.99)
UNITED STATES STEEL CORP NEW	X	162,000	03/22/11	8,584.66	03/25/11	8,992.80	408.14
ZIONS BANCORP	ZION	9,000	12/20/10	202.66	03/21/11	206.83	4.17
	ZION	74,000	12/27/10	1,787.60	03/21/11	1,700.58	(87.02)
Total		83,000		\$1,990.26		\$1,907.41	(\$82.85)

Short Term Total**\$281,775.80****\$299,068.48****\$17,292.68****Long Term**

DOW CHEMICAL CO	DOW	40,000	08/27/09	\$850.96	03/21/11	\$1,477.43	\$626.47
	DOW	27,000	10/28/09	663.51	03/21/11	997.27	333.76
	DOW	7,000	10/01/09	174.80	03/21/11	258.55	83.75
	DOW	57,000	07/27/09	1,144.78	03/21/11	2,105.34	960.56
	DOW	29,000	10/22/09	734.21	03/21/11	1,071.14	336.93
Total		160,000		\$3,568.26		\$5,909.73	\$2,341.47
EMC CORP-MASS	EMC	72,000	03/16/10	1,350.75	03/21/11	1,915.91	565.16

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HESS CORP	HES	13.000	06/02/09	\$830.36	10/27/11	\$832.39	\$2.03
	HES	4.000	03/30/09	218.78	10/27/11	256.12	37.34
	HES	10.000	03/31/09	546.29	10/27/11	640.30	94.01
	Total	27.000		\$1,595.43		\$1,728.81	\$133.38
J C PENNEY CO INC	JCP	20.000	10/27/08	388.06	03/21/11	722.16	334.10
	JCP	58.000	10/20/08	1,245.62	03/21/11	2,094.27	848.65
	Total	78.000		\$1,633.68		\$2,816.43	\$1,182.75
JPMORGAN CHASE & CO	JPM	23.000	09/15/08	925.54	03/21/11	1,044.18	118.64
	JPM	62.000	06/11/08	2,334.39	03/21/11	2,814.75	480.36
	JPM	1.000	03/03/08	39.92	03/21/11	45.40	5.48
	JPM	20.000	06/20/08	779.90	03/21/11	907.98	128.08
	JPM	30.000	12/01/08	870.00	03/21/11	1,361.98	491.98
	Total	136.000		\$4,949.75		\$6,174.29	\$1,224.54
M & T BK CORP	MTB	5.000	12/30/08	271.03	03/21/11	434.23	163.20
	MTB	5.000	12/31/08	283.86	03/21/11	434.23	150.37
	MTB	18.000	03/13/09	665.90	03/21/11	1,563.22	897.32
	MTB	5.000	12/19/08	281.65	03/21/11	434.23	152.58
Total		33.000		\$1,502.44		\$2,865.91	\$1,363.47
SCHWAB CHARLES CORP	SCHW	50.000	03/03/10	916.95	03/21/11	877.81	(39.14)
	SCHW	38.000	02/19/10	705.41	03/21/11	667.13	(38.28)
	SCHW	69.000	01/27/10	1,287.25	03/21/11	1,211.37	(75.88)
Total		157.000		\$2,909.61		\$2,756.31	(\$153.30)
ZIONS BANCORP	ZION	21.000	11/12/09	276.62	03/21/11	482.60	205.98
	ZION	21.000	10/14/09	386.72	03/21/11	482.60	95.88

HARRY DENT FAMILY FOUNDATION
EIN 16-0849923

Page 95 of 100

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	ZION	6,000	09/30/09	\$107.23	03/21/11	\$137.88	\$30.65
	ZION	5,000	09/28/09	88.48	03/21/11	114.90	26.42
	ZION	5,000	09/25/09	88.36	03/21/11	114.90	26.54
	ZION	32,000	09/09/09	520.63	03/21/11	735.38	214.75
	ZION	84,000	03/16/09	897.66	03/21/11	1,930.38	1,032.72
	ZION	16,000	01/07/09	377.47	03/21/11	367.69	(9.78)
	ZION	9,000	10/20/08	312.29	03/21/11	206.83	(105.46)
Total		199,000		\$3,055.46		\$4,573.16	\$1,517.70
Long Term Total				\$20,565.38		\$28,740.55	\$8,175.17
(11/01/2010 - 10/31/2011) Short & Long Term Total				\$302,341.18		\$327,809.03	\$25,467.85

HARRY DENT FAMILY FDTN., INC. Account number 356-01090-13 346

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
FEDERAL NATL MTG ASSN GLOBAL DEBS-BK/ENTRY DTD 09/23/2002 DUE 09/15/2012 RATE 4.375	02/22/10 03/31/11 Sold	41,000	\$ 44,049.17 \$ 42,751.52	\$ 107.43 \$ 104.27	105,459 43,238.19	(\$ 810.88) LT	\$ 486.67 LT	\$ 486.67 \$ 0.00
FNMA PL#745336 DTD 02/01/2006 DUE 03/01/2036 RATE 5.000 YIELD 4.29 9.37 YR AVG LIFE YIELDS VARY DUE TO CHANGES IN	07/08/10 03/09/11 Sold	52,000	24,466.47	106.10	104,968 23,815.06	(651.41) ST	(651.41) ST	(651.41) 0.00
FNMA PL#745336 DTD 02/01/2006 DUE 03/01/2036 RATE 5.000 YIELD 4.29 9.37 YR AVG LIFE YIELDS VARY DUE TO CHANGES IN	08/26/10 03/09/11 Sold	5,000	2,382.91	106.62	104,968 2,289.91	(73.00) ST	(73.00) ST	(73.00) 0.00
Total		57,000	\$ 26,829.38		\$ 26,104.97	(\$ 724.41)	(\$ 724.41)	(\$ 724.41) \$ 0.00
FNMA PL#745418 DTD 03/01/2006 DUE 04/01/2036 RATE 5.500 YIELD 4.44 9.52 YR AVG LIFE YIELDS VARY DUE TO CHANGES IN	01/09/08 01/18/11 Sold	33,000	13,623.73	100.98	107.50 14,354.70	730.97 LT	730.97 LT	730.97 0.00
FNMA PL#745418 DTD 03/01/2006 DUE 04/01/2036 RATE 5.500 YIELD 4.44 9.52 YR AVG LIFE YIELDS VARY DUE TO CHANGES IN	02/19/10 01/18/11 Sold	20,000	8,709.78	105.40	107.50 8,699.82	(9.96) ST	(9.96) ST	(9.96) 0.00
FNMA PL#745418 DTD 03/01/2006 DUE 04/01/2036 RATE 5.500 YIELD 4.44 9.52 YR AVG LIFE YIELDS VARY DUE TO CHANGES IN	08/26/10 01/18/11 Sold	10,000	4,403.88	107.62	107.50 4,349.91	(53.97) ST	(53.97) ST	(53.97) 0.00
FNMA PL#745418 DTD 03/01/2006 DUE 04/01/2036 RATE 5.500 YIELD 4.44 9.52 YR AVG LIFE YIELDS VARY DUE TO CHANGES IN	09/16/10 01/18/11 Sold	5,000	2,181.48	106.93	107.50 2,174.96	(6.50) ST	(6.50) ST	(6.50) 0.00
Total		68,000	\$ 28,918.85		\$ 29,579.39	\$ 660.54	\$ 660.54	\$ 660.54 \$ 0.00
FNMA PL#AES463 DTD 10/01/2010 DUE 10/01/2040 RATE 4.000 YIELD 4.33 10.77 YR AVG LIFE YIELDS VARY DUE TO CHANGES IN	12/08/10 02/16/11 Sold	19,000	18,569.38	99.48	97,468 18,184.46	(374.90) ST	(374.90) ST	(374.90) 0.00
GOLDMAN SACHS GROUP INC FDIC/TLGP BOOK ENTRY DTD 12/01/08 DUE 06/15/2012 RATE 3.250	11/15/10 08/12/11 Sold	32,000	33,363.20 32,722.56	104.26 102.25	102,479 32,793.28	(569.92) ST	70.72 ST	70.72 0.00
GOLDMAN SACHS GROUP INC FDIC/TLGP BOOK ENTRY DTD 12/01/08 DUE 06/15/2012 RATE 3.250	06/27/11 08/12/11 Sold	8,000	8,228.64 8,198.80	102.85 102.48	102,479 8,188.32	(30.32) ST	(48) ST	(48) 0.00
Total		40,000	\$ 41,591.84		\$ 40,981.60	(\$ 600.24)	\$ 70.24	\$ 70.24 \$ 0.00
HALLIBURTON CO NOTES BK/ENTRY DTD 03/13/2009 DUE 09/15/2019 RATE 6.150	10/15/10 03/30/11 Sold	28,000	34,019.16 33,752.60	121.49 120.54	114,355 32,019.60	(1,999.56) ST	(1,733.00) ST	(1,733.00) 0.00
HESS CORP BK/ENTRY DTD 12/14/2009 DUE 01/15/2040 RATE 6.000	10/15/10 02/08/11 Sold	31,000	33,380.49 33,371.50	107.67 107.65	101,626 31,504.06	(1,876.43) ST	(1,867.44) ST	(1,867.44) 0.00

HARRY DENT FAMILY FDTN., INC. Account number 356-01090-13 346

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
HESS CORP BK/ENTRY	04/04/11 04/28/11 Sold	30,000	\$ 30,422.40 \$ 30,422.10	\$ 101.40 \$ 101.40	103.311 30,993.30	\$ 570.90 ST	\$ 571.20 ST	\$ 571.20 \$ 0.00
Total		61,000	\$ 63,802.89		\$ 62,497.36	(\$ 1,305.53)	(\$ 1,296.24)	(\$ 1,296.24) \$ 0.00
MERRILL LYNCH & CO I DTD 04/25/2008	01/21/10 06/01/11 Sold	30,000	32,608.50 31,548.30	108.69 105.16	108.409 32,522.70	(85.80) LT	974.40 LT	974.40 0.00
DUE 04/25/2013 RATE 6.150 YTM 1.605	06/17/10 06/01/11 Sold	1,000	1,065.40 1,044.15	106.54 104.41	108.409 1,084.09	18.68 ST	39.94 ST	39.94 0.00
Total		31,000	\$ 33,673.90		\$ 33,608.79	(\$ 67.11)	\$ 1,014.34	\$ 1,014.34 \$ 0.00
ORACLE CORPORATION DTD 06/12/2006	03/09/11 10/05/11 Sold	29,000	32,428.09 32,042.39	111.82 110.49	114.939 33,332.31	904.22 ST	1,289.92 ST	1,289.92 0.00
GLOBAL - DUE 01/15/2016 RATE 5.250	06/29/11 10/05/11 Sold	7,000	7,930.79 7,878.22	113.29 112.54	114.939 8,045.73	114.94 ST	167.51 ST	167.51 0.00
Total		36,000	\$ 40,358.88		\$ 41,378.04	\$ 1,018.16	\$ 1,457.43	\$ 1,457.43 \$ 0.00
DARTMOUTH COLLEGE NOTES BK/ENTRY	04/26/10 01/19/11 Sold	32,000	33,312.32 33,222.72	104.10 103.82	106.895 34,206.40	894.08 ST	983.68 ST	983.68 0.00
DTD 06/10/2009 DUE 06/01/2019 RATE 4.750	07/29/10 02/10/11 Sold	19,000	20,495.40 20,210.49	107.87 106.37	105.98 20,136.30	(369.10) ST	(74.19) ST	(74.19) 0.00
U S TREASURY NOTES SER B-2013 DTD 05/15/2003 DUE 05/15/2013 RATE 3.625 YIELD 940MTY	02/22/10 06/08/11 Sold	24,000	25,762.51 25,321.68	107.34 105.50	111.109 26,686.26	903.75 LT	1,344.58 LT	1,344.58 0.00
U S TREASURY NOTES SER R-2013 DTD 10/31/2008 DUE 10/31/2013 RATE 2.750 YIELD 782MTY	02/22/10 04/29/11 Sold	12,000	12,382.97 12,262.68	103.19 102.18	104.851 12,582.19	199.22 LT	319.51 LT	319.51 0.00
	09/09/10 04/29/11 Sold	25,000	26,451.18 26,180.50	105.80 104.64	104.851 26,212.90	(238.28) ST	52.40 ST	52.40 0.00
	02/18/11 04/29/11 Sold	12,000	12,497.34 12,482.60	104.14 103.85	104.851 12,582.19	84.85 ST	119.59 ST	119.59 0.00
Total		49,000	\$ 51,331.49		\$ 51,377.28	\$ 46.79	\$ 491.50	\$ 491.50 \$ 0.00

**Reserved
Client Statement**

October 1 - October 31, 2011

HARRY DENT FAMILY FDTN., INC. Account number 356-01090-13 346**Realized gain or loss continued**

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
U S TREASURY NOTES SER P-2014								
DTD 07/31/09	08/17/10	32,000	\$ 33,902.50	\$ 105.94	106.164	\$ 70.01	\$ 607.71	\$ 607.71
DUE 07/31/2014 RATE 2.625	10/05/11	Sold	\$ 33,364.80	\$ 104.26	33,972.51			\$ 0.00
YIELD 4.22MTY	10/25/10	25,000	26,736.28	106.95	106.164	(197.25)	233.28	233.28
	10/05/11	Sold	26,307.75	105.23	26,541.03			0.00
	10/27/10	6,000	6,383.90	106.39	106.164	(14.05)	80.83	80.83
	10/05/11	Sold	6,289.02	104.81	6,369.85			0.00
	06/27/11	6,000	6,368.20	106.13	106.164	1.64	33.96	33.96
	10/05/11	Sold	6,335.88	105.59	6,369.84			0.00
Total		69,000	\$ 73,392.88		\$ 73,253.23	(\$ 139.65)	\$ 955.78	\$ 955.78
U S TREASURY NOTES SER M-2016								
DTD 07/31/2009	02/22/10	25,000	25,273.45	101.09	106.187	1,773.43	1,825.13	1,825.13
DUE 07/31/2016 RATE 3.250	06/15/11	Sold	25,221.75	100.88	27,048.88			0.00
YIELD 1.580MTY								
U S TREASURY NOTES SER AE-2012								
DTD 11/15/2008	12/09/10	19,000	19,274.61	101.44	101.468	4.46	86.03	86.03
DUE 11/15/2012 RATE 1.375	07/06/11	Sold	19,193.04	101.01	19,279.07			0.00
YIELD 2.89MTY	03/15/11	26,000	26,392.03	101.50	101.468	(10.14)	62.61	62.61
	07/06/11	Sold	26,319.28	101.22	26,381.89			0.00
	05/23/11	5,000	5,075.39	101.50	101.468	(1.95)	4.19	4.19
	07/06/11	Sold	5,089.25	101.38	5,073.44			0.00
Total		50,000	\$ 50,742.03		\$ 50,734.40	(\$ 7.63)	\$ 152.83	\$ 152.83
U S TREASURY NOTES SER B-2020								
DTD 02/15/2010	03/30/11	32,000	32,811.26	102.53	105.828	1,053.73	1,064.99	1,064.99
DUE 02/15/2020 RATE 3.625	05/23/11	Sold	32,800.00	102.50	33,864.99			0.00
YIELD 2.865MTY	04/29/11	19,000	19,757.78	103.98	105.828	349.58	354.18	354.18
	05/23/11	Sold	19,753.16	103.96	20,107.34			0.00
Total		51,000	\$ 52,569.04		\$ 53,972.33	\$ 1,403.29	\$ 1,419.17	\$ 1,419.17
U S TREASURY NOTES SER E-2020								
DTD 08/16/2010	10/27/10	20,000	19,892.96	99.46	92.797	(1,333.56)	(1,333.56)	(1,333.56)
DUE 08/15/2020 RATE 2.625	02/17/11	Sold	19,892.96	99.46	18,569.40			0.00
YIELD 3.524MTY	02/10/11	22,000	20,198.75	91.81	92.797	216.59	216.59	213.62
	02/17/11	Sold	20,198.75	91.81	20,415.34			2.97
Total		42,000	\$ 40,091.71		\$ 38,974.74	(\$ 1,116.97)	(\$ 1,116.97)	(\$ 1,119.94)
U S TREASURY NOTES SER T-2015								
DTD 11/30/2010	12/15/10	27,000	26,195.27	97.01	98.093	280.06	280.06	252.12
DUE 11/30/2015 RATE 1.375	03/15/11	Sold	26,195.27	97.01	26,485.33			37.94
YIELD 1.799MTY								

HARRY DENT FAMILY FDTN., INC. Account number 356-01090-13 346

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
U S TREASURY NOTES SERC-2021	06/15/11	13,000	\$ 13,172.65	\$ 101.32	112.98	\$ 1,514.82	ST \$ 1,518.99	\$ 0.00
DTD 05/15/2011	09/22/11	Sold	\$ 13,168.48	\$ 101.29	14,687.47			
DUE 05/15/2021 RATE 3.125	06/27/11	3,000	3,068.44	102.28	112.98	320.98	ST 322.43	322.43
YIELD 1.663MTY	09/22/11	Sold	3,068.99	102.23	3,389.42			0.00
	07/06/11	19,000	19,042.31	100.22	112.98	2,423.98	ST 2,424.87	2,424.87
	09/22/11	Sold	19,041.42	100.21	21,466.29			0.00
Total		35,000	\$ 35,283.40		\$ 39,543.18	\$ 4,259.78	\$ 4,266.29	\$ 4,266.29
FEDERAL HOME LN MTG CORP DISC	10/27/10	20,000	19,963.72	99.81	99.739	(3.76)	ST (3.76)	(3.76)
BDS BOOK/ENTRY	12/09/10	Sold	19,963.72	99.81	19,959.98			0.00
DTD 09/08/2010								
DUE 09/08/2011								
FEDERAL NATL MTG ASSN	01/14/10	25,000	25,143.75	100.57		(143.75)	ST 0.00	0.00
MED TERM NTS BK/ENTRY	11/10/10	Redemption	25,000.00	100.00	25,000.00			0.00
DTD 11/10/2009	02/22/10	8,000	8,081.36	101.01		(81.38)	ST 0.00	0.00
DUE 11/10/2014 RATE 3.125	11/10/10	Redemption	8,000.00	100.00	8,000.00			0.00
Total		33,000	\$ 33,225.11		\$ 33,000.00	(\$ 225.11)	\$ 0.00	\$ 0.00
U S TREASURY NOTES SER R 2013	02/22/10	25,000	26,829.76	103.19	106.023	478.32	ST 653.22	653.22
DTD 10/31/2008	12/15/10	Sold	26,852.86	102.51	27,308.08			0.00
DUE 10/31/2013 RATE 2.750								
YIELD 972MTY								
Total Realized Gain or (Loss)			1,018,454.01		1,028,055.16	9,601.15		
Excess Allocated Basis			(1,152.98)					
			1,017,301.03		1,028,055.16	10,754.13		

HARRY DENT FAMILY FOUNDATION, INC.
ID NO. 16-0849923
SUPPLEMENTAL INFORMATION

Information regarding Grant and Loan Programs

Line 2) (a) Applications should be addressed to:

Harry Dent Family Foundation, Inc.
Brisbane Building, Box #28, 403 Main Street
Buffalo, NY 14203
Tel: 716 754-8276

(b) The form on which applications should be submitted and information they should include:

Applications by any exempt organization dedicated to the furtherance of education, science, musical arts, literary or religious purposes may be submitted in letter form, either containing or accompanied by information which includes the name of the organization, whether or not it is exempt under the rules of the Internal Revenue Service, its current budget, summary of last year's receipts and disbursements and information regarding application of the funds being requested.

(c) No submission deadlines are imposed.

(d) This corporation is limited by its Charter to granting funds to exempt organizations dedicated to the furtherance of education, science, musical arts, charitable, benevolent and religious uses and purposes for the moral, ethical, physical, mental and intellectual well-being and progress of mankind.

The territory in which the operations of the corporation are principally conducted is the County of Erie and County of Niagara in the State of New York but may be carried on elsewhere throughout the United States of America.