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ENVELOPE POSTMARK DATE NOV 16 2011

SCANNED NOV 28 2011

For calendar year 2010, or tax year beginning

11/01, 2010, and ending

10/31, 2011

G Check all that apply:

☐ Initial return
☐ Initial return of a former public charity
☐ Final return

☐ Amended return
☒ Address change
☐ Name change

Name of foundation

A Employer identification number

ETHEL B. CROCKER TRUST 42C033018

04-6171048

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

B Telephone number (see page 10 of the instructions)

PO BOX 1330

() -

City or town, state, and ZIP code

C If exemption application is pending, check here ☐

HYANNIS, MA 02601

D 1 Foreign organizations, check here ☐

H Check type of organization: ☐ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

D 2 Foreign organizations meeting the 85% test, check here and attach computation ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line

J Accounting method: ☒ Cash ☐ Accrual

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

16) \$ 734,594.

☐ Other (specify) _____

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check ☐ if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	25,698.	25,698.		STMT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	4,718.			
b Gross sales price for all assets on line 6a	157,600.			
7 Capital gain net income (from Part IV, line 2)		4,718.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	30,416.	30,416.		
13 Compensation of officers, directors, trustees, etc.	9,653.	6,436.		3,217.
14 Other employee salaries and wages		NONE	NONE	
15 Pension plans, employee benefits		NONE	NONE	
16a Legal fees (attach schedule)	35.	23.	NONE	12.
b Accounting fees (attach schedule)	575.	383.	NONE	192.
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions)	2,194.	2,194.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings		NONE	NONE	
22 Printing and publications		NONE	NONE	
23 Other expenses (attach schedule)				
24 Total operating and administrative expenses. Add lines 13 through 23	12,457.	9,036.	NONE	3,421.
25 Contributions, gifts, grants paid	16,285.			16,285.
26 Total expenses and disbursements. Add lines 24 and 25	28,742.	9,036.	NONE	19,706.
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	1,674.			
b Net investment income (if negative, enter -0-)		21,380.		
c Adjusted net income (if negative, enter -0-)				

For Paperwork Reduction Act Notice, see page 30 of the instructions.

JSA

Form 990-PF (2010)

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	23,285.	21,449.	21,449.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶	NONE		
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U.S. and state government obligations (attach schedule)	29,923.		
	b	Investments - corporate stock (attach schedule)	357,691.	388,233.	401,158.
	c	Investments - corporate bonds (attach schedule)	316,200.	319,349.	311,987.
	Liabilities	11	Investments - land, buildings, and equipment basis		
		Less: accumulated depreciation (attach schedule) ▶			
12		Investments - mortgage loans			
13		Investments - other (attach schedule)			
14		Land, buildings, and equipment basis			
		Less: accumulated depreciation (attach schedule) ▶			
15		Other assets (describe ▶)			
16		Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	727,099.	729,031.	734,594.
17		Accounts payable and accrued expenses			
18		Grants payable			
Net Assets or Fund Balances	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
23	Total liabilities (add lines 17 through 22)				
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☒ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	727,099.	729,031.	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☐				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
30	Total net assets or fund balances (see page 17 of the instructions)	727,099.	729,031.		
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	727,099.	729,031.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	727,099.
2	Enter amount from Part I, line 27a	2	1,674.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 8	3	258.
4	Add lines 1, 2, and 3	4	729,031.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	729,031.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV DETAIL					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0- or Losses (from col. (h)))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)			2	4,718.	
{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }					
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):			3		
If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8.					

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	25,578.	682,053.	0.03750148449
2008	29,616.	623,705.	0.04748398682
2007			
2006			
2005			
2 Total of line 1, column (d)			2 0.08498547131
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.04249273566
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5			4 733,429.
5 Multiply line 4 by line 3			5 31,165.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 214.
7 Add lines 5 and 6			7 31,379.
8 Enter qualifying distributions from Part XII, line 4			8 19,706.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a. Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
Date of ruling or determination letter: _____ (attach copy of ruling letter if necessary - see instructions)			
b. Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	428.
c. All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2. Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3. Add lines 1 and 2		3	428.
4. Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	NONE
5. Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	428.
6. Credits/Payments			
a. 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	600.	
b. Exempt foreign organizations-tax withheld at source	6b	NONE	
c. Tax paid with application for extension of time to file (Form 8868)	6c	NONE	
d. Backup withholding erroneously withheld	6d		
7. Total credits and payments. Add lines 6a through 6d		7	600.
8. Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9. Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10. Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	172.
11. Enter the amount of line 10 to be: Credited to 2011 estimated tax ☐ 172. Refunded ☐		11	

Part VII-A Statements Regarding Activities

	Yes	No
1a. During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b. Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		
c. Did the foundation file Form 1120-POL for this year?		X
d. Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e. Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2. Has the foundation engaged in any activities that have not previously been reported to the IRS?		X
If "Yes," attach a detailed description of the activities		
3. Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a. Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b. If "Yes," has it filed a tax return on Form 990-T for this year?		
5. Was there a liquidation, termination, dissolution, or substantial contraction during the year?		X
If "Yes," attach the statement required by General Instruction T.		
6. Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7. Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a. Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ☐ STMT 9		
b. If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9. Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10. Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11. At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12. Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13. Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13		X
Website address <u>N/A</u>			
14. The books are in care of <u>TD Bank</u> Telephone no <u>(508) 862-0875</u>			
Located at <u>307 MAIN STREET, HYANNIS, MA</u> ZIP + 4 <u>02601</u>			
15. Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here	☐		
and enter the amount of tax-exempt interest received or accrued during the year	15		
16. At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country <u></u>			X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a. During the year did the foundation (either directly or indirectly).		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes	☒ No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes	☒ No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes	☒ No
b. If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	1b	
Organizations relying on a current notice regarding disaster assistance check here		☐
c. Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2. Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a. At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010?	☐ Yes	☒ No
If "Yes," list the years <u></u>		
b. Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 22 of the instructions)	2b	X
c. If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u></u>		
3a. Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes	☒ No
b. If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)	3b	
4a. Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b. Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a. During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ Nob If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ **5b**Organizations relying on a current notice regarding disaster assistance check here ☐c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ Nob Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ **6b** ☒ X
If "Yes" to 6b, file Form 88707a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ Nob If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ **7b****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		9,653.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 ☐ **NONE**

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over \$50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 NONE	
2	
All other program-related investments. See page 24 of the instructions.	
3 NONE	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	719,413.
b	Average of monthly cash balances	1b	25,185.
c	Fair market value of all other assets (see page 25 of the instructions)	1c	NONE
d	Total (add lines 1a, b, and c)	1d	744,598.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	744,598.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	4	11,169.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	733,429.
6	Minimum investment return. Enter 5% of line 5	6	36,671.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	36,671.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	428.
b	Income tax for 2010. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	428.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	36,243.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	36,243.
6	Deduction from distributable amount (see page 25 of the instructions)	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	36,243.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	19,706.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	19,706.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	19,706.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				36,243.
2 Undistributed income, if any, as of the end of 2010:				
a Enter amount for 2009 only			8,053.	
b Total for prior years: 20 <u>08</u> , 20 <u> </u> , 20 <u> </u>		NONE		
3 Excess distributions carryover, if any, to 2010:				
a From 2005	NONE			
b From 2006	NONE			
c From 2007	NONE			
d From 2008	NONE			
e From 2009	NONE			
f Total of lines 3a through e	NONE			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ <u>19,706.</u>				
a Applied to 2009, but not more than line 2a			8,053.	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)		NONE		
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)	NONE			
d Applied to 2010 distributable amount				11,653.
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	NONE			
b Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions		NONE		
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				24,590.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)	NONE			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions)	NONE			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9:				
a Excess from 2006	NONE			
b Excess from 2007	NONE			
c Excess from 2008	NONE			
d Excess from 2009	NONE			
e Excess from 2010	NONE			

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE STATEMENT 11				
Total				3a 16,285.
b Approved for future payment				
Total				3b

Form **990-PF** (2010)

[illegible]

(See worksheet in line 13 instructions on page 29 to verify calculations)

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions.)
----------	--

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Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee Jocia J Smith **VICE PRESIDENT** **Date** 11/14/11 **Title** Vice President

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	Firm's name ▶			Firm's EIN ▶	
	Firm's address ▶			Phone no	

Form **990-PF** (2010)

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS					5,893.	
30,000.00		30000. FEDERAL HME LN BK 4.25% 11/15/201 PROPERTY TYPE: SECURITIES 30,000.00					08/20/2007	11/15/2010
120.00		10.599 FEDERATED TOTAL RETURN BOND FUND PROPERTY TYPE: SECURITIES 121.00					11/01/2010	11/15/2010
189.00		16.404 PIMCO TOTAL RETURN FUND - INSTL PROPERTY TYPE: SECURITIES 192.00					11/01/2010	11/15/2010
1,906.00		75.607 ASTON FDS MONTAG & CALDWELL GROWT PROPERTY TYPE: SECURITIES 1,750.00					10/18/2010	02/22/2011
1,778.00		62.847 INVESCO INTERNATIONAL GROWTH FUND PROPERTY TYPE: SECURITIES 1,720.00					10/18/2010	02/22/2011
415.00		15.153 BUFFALO SMALL CAP FD #1444 PROPERTY TYPE: SECURITIES 364.00					10/18/2010	02/22/2011
9,167.00		79.929 DODGE & COX STK FD COM PROPERTY TYPE: SECURITIES 8,014.00					10/18/2010	02/22/2011
504.00		54.852 FIDELITY SPARTAN HIGH INCOME PROPERTY TYPE: SECURITIES 492.00					10/18/2010	02/22/2011
1,712.00		27.828 HARBOR INTERNATIONAL FUND PROPERTY TYPE: SECURITIES 1,663.00					10/18/2010	02/22/2011
512.00		20.279 HARTFORD MUT FDS INC MIDCAP FD CL PROPERTY TYPE: SECURITIES 415.00					06/11/2010	02/22/2011

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
58.00		2.489 PERKINS MID CAP VALUE FUND INSTITU PROPERTY TYPE: SECURITIES 53.00				10/18/2010 5.00	02/22/2011
55.00		2.596 ROYCE SPECIAL EQUITY FD #327 PROPERTY TYPE: SECURITIES 50.00				10/18/2010 5.00	02/22/2011
801.00		27.719 INVESCO INTERNATIONAL GROWTH FUND PROPERTY TYPE: SECURITIES 759.00				10/18/2010 42.00	05/23/2011
166.00		18.07 FIDELITY SPARTAN HIGH INCOME PROPERTY TYPE: SECURITIES 162.00				10/18/2010 4.00	05/23/2011
517.00		8.304 HARBOR INTERNATIONAL FUND PROPERTY TYPE: SECURITIES 496.00				10/18/2010 21.00	05/23/2011
98.00		4.115 PERKINS MID CAP VALUE FUND INSTITU PROPERTY TYPE: SECURITIES 88.00				10/18/2010 10.00	05/23/2011
2,811.00		260.755 PIMCO INVESTMENT GRADE CORP BOND PROPERTY TYPE: SECURITIES 3,111.00				10/18/2010 -300.00	05/23/2011
419.00		40.862 TD ASSET MGMT SHORT TERM BOND #4 PROPERTY TYPE: SECURITIES 419.00				02/22/2010	05/23/2011
2,492.00		216.52 VANGUARD INTERM-TERM TREAS FD #35 PROPERTY TYPE: SECURITIES 2,600.00				10/18/2010 -108.00	05/23/2011
1,885.00		140.358 VANGUARD INFLATION PROTECTED SEC PROPERTY TYPE: SECURITIES 1,909.00				10/18/2010 -24.00	05/23/2011
15.00		1.787 FIDELITY SPARTAN HIGH INCOME PROPERTY TYPE: SECURITIES 16.00				10/18/2010 -1.00	08/22/2011

**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
3,554.00		66.896 FIDELITY SELECT PORTFOLIOS SELECT PROPERTY TYPE: SECURITIES 3,622.00				10/18/2010 -68.00	08/22/2011
7,272.00		678.318 PIMCO INVESTMENT GRADE CORP BOND PROPERTY TYPE: SECURITIES 8,092.00				10/18/2010 -820.00	08/22/2011
4,769.00		465.267 TD ASSET MGMT SHORT TERM BOND #4 PROPERTY TYPE: SECURITIES 4,742.00				08/10/2010 27.00	08/22/2011
10,462.00		864.615 VANGUARD INTERM-TERM TREAS FD #3 PROPERTY TYPE: SECURITIES 10,384.00				10/18/2010 78.00	08/22/2011
6,129.00		429.179 VANGUARD INFLATION PROTECTED SEC PROPERTY TYPE: SECURITIES 5,837.00				10/18/2010 292.00	08/22/2011
25,463.00		266.271 DODGE & COX STK FD COM PROPERTY TYPE: SECURITIES 27,016.00				05/23/2011 -1,553.00	09/27/2011
1,492.00		142.266 PIMCO INVESTMENT GRADE CORP BOND PROPERTY TYPE: SECURITIES 1,697.00				10/18/2010 -205.00	09/27/2011
6,764.00		661.146 TD ASSET MGMT SHORT TERM BOND #4 PROPERTY TYPE: SECURITIES 6,816.00				10/18/2010 -52.00	09/27/2011
9,308.00		909.847 TD ASSET MGMT SHORT TERM BOND #4 PROPERTY TYPE: SECURITIES 9,203.00				07/01/2009 105.00	09/27/2011
2,337.00		193.13 VANGUARD INTERM-TERM TREAS FD #35 PROPERTY TYPE: SECURITIES 2,319.00				10/18/2010 18.00	09/27/2011
2,567.00		105.49 ASTON FDS MONTAG & CALDWELL GROWT PROPERTY TYPE: SECURITIES 2,441.00				10/18/2010 126.00	10/20/2011

**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
1,430.00		55.104 INVESCO INTERNATIONAL GROWTH FUND PROPERTY TYPE: SECURITIES 1,508.00					10/18/2010 -78.00	10/20/2011
225.00		9.659 BUFFALO SMALL CAP FD #1444 PROPERTY TYPE: SECURITIES 232.00					10/18/2010 -7.00	10/20/2011
2,557.00		25.848 DODGE & COX STK FD COM PROPERTY TYPE: SECURITIES 2,592.00					10/18/2010 -35.00	10/20/2011
1,662.00		31.049 HARBOR INTERNATIONAL FUND PROPERTY TYPE: SECURITIES 1,856.00					10/18/2010 -194.00	10/20/2011
221.00		10.244 HARTFORD MUT FDS INC MIDCAP FD CL PROPERTY TYPE: SECURITIES 210.00					06/11/2010 11.00	10/20/2011
142.00		6.662 PERKINS MID CAP VALUE FUND INSTITU PROPERTY TYPE: SECURITIES 142.00					10/18/2010	10/20/2011
217.00		11.005 ROYCE SPECIAL EQUITY FD #327 PROPERTY TYPE: SECURITIES 213.00					10/18/2010 4.00	10/20/2011
5,361.00		524.568 TD ASSET MGMT SHORT TERM BOND #4 PROPERTY TYPE: SECURITIES 5,408.00					10/18/2010 -47.00	10/20/2011
4,155.00		346.226 VANGUARD INTERM-TERM TREAS FD #3 PROPERTY TYPE: SECURITIES 4,158.00					10/18/2010 -3.00	10/20/2011

**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
TOTAL GAIN(LOSS)							----- 4,718. =====	

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
ASTON FDS MONTAG & CALDWELL GROWTH FUND	967.	967.
INVESCO INTERNATIONAL GROWTH FUND INSTIT	531.	531.
DODGE & COX STK FD COM	1,853.	1,853.
FEDERAL HME LN BK 4.25% 11/15/2010 DTD 1	714.	714.
FIDELITY SPARTAN HIGH INCOME	3,092.	3,092.
FIDELITY SELECT PORTFOLIOS SELECT GOLD P	1,477.	1,477.
HARBOR INTERNATIONAL FUND	584.	584.
PERKINS MID CAP VALUE FUND INSTITUTIONAL	66.	66.
PIMCO INVESTMENT GRADE CORP BOND FUND CL	11,375.	11,375.
ROYCE SPECIAL EQUITY FD #327	22.	22.
TD ASSET MGMT US GOVT INSTL SVS #6	3.	3.
TD ASSET MGMT US GOVT PORT INSTL #2	5.	5.
TD ASSET MGMT SHORT TERM BOND #4	920.	920.
VANGUARD INTERM-TERM TREAS FD #35	2,326.	2,326.
VANGUARD INFLATION PROTECTED SEC 119	1,763.	1,763.
	-----	-----
TOTAL	25,698.	25,698.
	=====	=====

FORM 990PF, PART I - LEGAL FEES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
OTHER NON-ALLOCABLE EXPENSE -	35.	23.		12.
TOTALS	35.	23.	NONE	12.
	=====	=====	=====	=====

FORM 990PF, PART I - ACCOUNTING FEES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
TAX PREPARATION FEE (NON-ALLOC	575.	383.		192.
TOTALS	575.	383.	NONE	192.
	=====	=====	=====	=====

FORM 990PF, PART I - TAXES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FEDERAL TAX PAYMENT - PRIOR YE	1,451.	1,451.
FEDERAL ESTIMATES - PRINCIPAL	600.	600.
FOREIGN TAXES ON QUALIFIED FOR	143.	143.
	-----	-----
TOTALS	2,194.	2,194.
	=====	=====

ETHEL B. CROCKER TRUST 42C033018

04-6171048

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS
=====

DESCRIPTION

30000 FEDERAL HME LN BK 4.25%
25000 FED HOME LN MTG 4.75%

TOTALS

AVC292 P343 11/08/2011 16:14:09

42C033018

STATEMENT 5

ETHEL B. CROCKER TRUST 42C033018
FORM 990PF, PART II - CORPORATE STOCK
=====

04-6171048

DESCRIPTION

889.123 BARON GROWTH FUND 587
1759.877 COLUMBIA VALUE & REST
1182.501 DAVIS NY VENTURE FD I
936.028 FIDELITY ADVISOR EMERG
2524.152 MFS RESEARCH INTERNAT
3453.033 T ROWE PRICE GROWTH S
394.414 T ROWE PRICE MID-CAP G
3448.209 VANGUARD SELECTED VAL

TOTALS

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42C033018

STATEMENT 6

ETHEL B. CROCKER TRUST 42C033018
FORM 990PF, PART II - CORPORATE BONDS
=====

04-6171048

DESCRIPTION

1923.595 ARTIO TOTAL RETURN BO
4756.898 FEDERATED TOTAL RETUR
9512.088 PIMCO TOTAL RETURN IN
1240.120 TD ASSET MGMT SHORT T
5911.599 VANGUARD GNMA FUND #3

TOTALS

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
-----	-----
PRIOR YEAR ADJUSTMENT	258.

TOTAL	258.
	=====

ETHEL B. CROCKER TRUST 42C033018

04-6171048

STATE(S) WHERE THE FOUNDATION IS REGISTERED

=====

MA

STATEMENT 9

ETHEL B. CROCKER TRUST 42C033018

04-6171048

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

TD BANK, N.A.

ADDRESS:

307 MAIN STREET

HYANNIS, MA 02601

TITLE:

TRUSTEE

COMPENSATION 9,653.

TOTAL COMPENSATION: 9,653.

=====

STATEMENT 10

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

CHILDREN'S HOSPITAL MEDICAL CTR

ADDRESS:

ATTN: BRUCE BALTER

BOSTON, MA 02215

AMOUNT OF GRANT PAID 4,071.

RECIPIENT NAME:

CAPE COD HOSPITAL

ADDRESS:

P.O. BOX 849091

BOSTON, MA 02284-9091

AMOUNT OF GRANT PAID 4,071.

RECIPIENT NAME:

NEW ENGLAND BAPTIST HOSPITAL

ADDRESS:

DEBRA COLEMAN DEVELOPMENT OFFICE

BOSTON, MA 02120

AMOUNT OF GRANT PAID 4,921.

RECIPIENT NAME:

BETH ISRAEL DEACONESS MEDICAL CENTER

ADDRESS:

ATTN: OFFICE OF DEVELOPMENT

BOSTON, MA 02215

AMOUNT OF GRANT PAID 3,222.

TOTAL GRANTS PAID:

16,285.

=====

ETHEL B. CROCKER TRUST 42C033018
Schedule D Detail of Short-term Capital Gains and Losses

04-6171048

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
CAPITAL GAINS (LOSSES) HELD FOR INVESTMENT	PURPOSES				
10.599 FEDERATED TOTAL					
RETURN BOND FUND INSTITUTIONAL SHARES	11/01/2010	11/15/2010	120.00	121.00	-1.00
16.404 PIMCO TOTAL RETURN	11/01/2010	11/15/2010	189.00	192.00	-3.00
75.607 ASTON FDS MONTAG & CALDWELL GROWTH FUND CL I	10/18/2010	02/22/2011	1,906.00	1,750.00	156.00
62.847 INVESCO INTERNATION AL GROWTH FUND INSTITUTIONAL CLASS	10/18/2010	02/22/2011	1,778.00	1,720.00	58.00
15.153 BUFFALO SMALL CAP	10/18/2010	02/22/2011	415.00	364.00	51.00
79.929 DODGE & COX STK FD	10/18/2010	02/22/2011	9,167.00	8,014.00	1,153.00
54.852 FIDELITY SPARTAN	10/18/2010	02/22/2011	504.00	492.00	12.00
27.828 HARBOR INTERNATIONAL	10/18/2010	02/22/2011	1,712.00	1,663.00	49.00
20.279 HARTFORD MUT FDS INC MIDCAP FD CL Y FD# 229	06/11/2010	02/22/2011	512.00	415.00	97.00
2.489 PERKINS MID CAP VALUE FUND INSTITUTIONAL CLASS	10/18/2010	02/22/2011	58.00	53.00	5.00
2.596 ROYCE SPECIAL EQUITY	10/18/2010	02/22/2011	55.00	50.00	5.00
27.719 INVESCO INTERNATION AL GROWTH FUND INSTITUTIONAL CLASS	10/18/2010	05/23/2011	801.00	759.00	42.00
18.07 FIDELITY SPARTAN	10/18/2010	05/23/2011	166.00	162.00	4.00
8.304 HARBOR INTERNATIONAL	10/18/2010	05/23/2011	517.00	496.00	21.00
4.115 PERKINS MID CAP VALUE FUND INSTITUTIONAL CLASS	10/18/2010	05/23/2011	98.00	88.00	10.00
260.755 PIMCO INVESTMENT GRADE CORP BOND FUND CLASS I	10/18/2010	05/23/2011	2,811.00	3,111.00	-300.00
216.52 VANGUARD 140.358 VANGUARD INFLATION	10/18/2010	05/23/2011	2,492.00	2,600.00	-108.00
PROTECTED SEC 119	10/18/2010	05/23/2011	1,885.00	1,909.00	-24.00
1.787 FIDELITY SPARTAN	10/18/2010	08/22/2011	15.00	16.00	-1.00
66.896 FIDELITY SELECT PORTFOLIOS SELECT GOLD PT FUND #41	10/18/2010	08/22/2011	3,554.00	3,622.00	-68.00
678.318 PIMCO INVESTMENT GRADE CORP BOND FUND CLASS I	10/18/2010	08/22/2011	7,272.00	8,092.00	-820.00
Totals					

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04-6171048

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
864.615 VANGUARD	10/18/2010	08/22/2011	10,462.00	10,384.00	78.00
429.179 VANGUARD INFLATION					
PROTECTED SEC 119	10/18/2010	08/22/2011	6,129.00	5,837.00	292.00
266.271 DODGE & COX STK FD	05/23/2011	09/27/2011	25,463.00	27,016.00	-1,553.00
142.266 PIMCO INVESTMENT					
GRADE CORP BOND FUND CLASS I	10/18/2010	09/27/2011	1,492.00	1,697.00	-205.00
661.146 TD ASSET MGMT	10/18/2010	09/27/2011	6,764.00	6,816.00	-52.00
193.13 VANGUARD	10/18/2010	09/27/2011	2,337.00	2,319.00	18.00
TOTAL CAPITAL GAINS (LOSSES) HELD FOR INVESTMENT PURPOSES			88,674.00	89,758.00	-1,084.00
Totals			88,674.00	89,758.00	-1,084.00

04-6171048

[illegible]

FEDERAL CAPITAL GAIN DIVIDENDS

=====

LONG-TERM CAPITAL GAIN DIVIDENDS

15% RATE CAPITAL GAIN DIVIDENDS

ASTON FDS MONTAG & CALDWELL GROWTH FUND CL I	211.00
FIDELITY SELECT PORTFOLIOS SELECT GOLD PT FUN	1,096.00
PIMCO INVESTMENT GRADE CORP BOND FUND CLASS I	3,461.00
ROYAL DUTCH PETR CO. N.	98.00
TD ASSET MGMT SHORT TERM BOND #4	104.00
VANGUARD INTERM-TERM TREAS FD #35	923.00

TOTAL 15% RATE CAPITAL GAIN DIVIDENDS

5,893.00

TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS

5,893.00

=====

CROCKER ETHEL B. IRREV TRUST

42-C033-01-8

Investment Review Settlement Date Positions

Frozen Date: 10/31/2011

<u>Par / Shares</u>	<u>Description</u> <u>CUSIP Number</u>	<u>Price</u> <u>Price Date</u>	<u>Tax Cost</u> <u>Carrying Value</u>	<u>Market Value</u> <u>Accrual</u>
CASH AND EQUIVALENTS				
Cash				
	INCOME CASH	0.000000	0.00 0.00	0.00 0.00000
	PRINCIPAL CASH	0.000000	0.00 0.00	0.00 0.00000
Total Cash			0.00 0.00	0.00 0.00000
Cash Equivalents				
21,449.320	TD ASSET MGMT US GOVT INSTL SVS #6 87237U-83-2	1.000000 12/18/2006	21,449.32 21,449.32	21,449.32 0.19000
0.000	TD ASSET MGMT US GOVT INSTL SVS #6 87237U-83-2	1.000000 12/18/2006	0.00 0.00	0.00 0.03000
Total Cash Equivalents			21,449.32 21,449.32	21,449.32 0.22000
Total CASH AND EQUIVALENTS			21,449.32 21,449.32	21,449.32 0.22000

CROCKER ETHEL B. IRREV TRUST

42-C033-01-8

Investment Review Settlement Date Positions

Frozen Date: 10/31/2011

<u>Par / Shares</u>	<u>Description</u> <u>CUSIP Number</u>	<u>Price</u> <u>Price Date</u>	<u>Tax Cost</u> <u>Carrying Value</u>	<u>Market Value</u> <u>Accrual</u>
FIXED INCOME				
Mutual Funds - Fixed				
6,491.746	FIDELITY HIGH INCOME FUND #455 316146-40-6	8.760000 10/31/2011	57,550.88 57,550.88	56,867.69 261.28000
9,379.027	PIMCO INVESTMENT GRADE CORP BOND FUND CLASS I 722005-81-6	10.700000 10/31/2011	109,684.54 109,684.54	100,355.59 413.73000
3,910.689	TD ASSET MGMT SHORT TERM BOND #4 87237U-87-3	10.240000 10/31/2011	40,278.72 40,278.72	40,045.46 42.44000
5,882.226	VANGUARD INTERMEDIATE TERM TREASURY FUND #35 922031-80-2	12.060000 10/31/2011	70,168.94 70,168.94	70,939.65 118.63000
3,072.159	VANGUARD INFLATION PROTECTED SEC 119 922031-86-9	14.250000 10/31/2011	41,665.80 41,665.80	43,778.27 0.00000
Total Mutual Funds - Fixed			319,348.88 319,348.88	311,986.66 836.08000
Total FIXED INCOME			319,348.88 319,348.88	311,986.66 836.08000

CROCKER ETHEL B. IRREV TRUST

42-C033-01-8

Investment Review Settlement Date Positions

Frozen Date: 10/31/2011

<u>Par / Shares</u>	<u>Description</u> <u>CUSIP Number</u>	<u>Price</u> <u>Price Date</u>	<u>Tax Cost</u> <u>Carrying Value</u>	<u>Market Value</u> <u>Accrual</u>
EQUITIES				
Mutual Funds - Equities				
6,042.778	ASTON FDS MONTAG & CALDWELL GROWTH FUND CL I 00078H-28-1	24.850000 10/31/2011	140,160.37 140,160.37	150,163.03 0.00000
1,338.496	INVESCO INTERNATIONAL GROWTH FUND INSTITUTIONAL CLASS 008882-77-1	26.860000 10/31/2011	36,194.29 36,194.29	35,952.00 0.00000
333.599	BUFFALO SMALL CAP FD #1444 119804-10-2	25.150000 10/31/2011	7,875.58 7,875.58	8,390.01 0.00000
1,019.966	DODGE & COX STK FD #145 256219-10-6	102.290000 10/31/2011	101,056.30 101,056.30	104,332.32 0.00000
855.593	FIDELITY SELECT PORTFOLIOS SELECT GOLD PT FUND #41 316390-78-0	48.590000 10/31/2011	42,612.81 42,612.81	41,573.26 0.00000
647.487	HARBOR INTERNATIONAL FD- INST CL FUND #11 411511-30-6	56.190000 10/31/2011	37,770.79 37,770.79	36,382.29 0.00000
357.995	HARTFORD MUT FDS INC MIDCAP FD CL Y FD# 229 416645-68-7	22.710000 10/31/2011	7,293.10 7,293.10	8,130.07 0.00000
364.790	PERKINS MID CAP VALUE FUND INSTITUTIONAL CLASS 47103C-24-1	22.180000 10/31/2011	7,719.47 7,719.47	8,091.04 0.00000
393.599	ROYCE SPECIAL EQUITY FD #327 780905-78-2	20.690000 10/31/2011	7,550.67 7,550.67	8,143.56 0.00000
Total Mutual Funds - Equities			388,233.38 388,233.38	401,157.58 0.00000
Total EQUITIES			388,233.38 388,233.38	401,157.58 0.00000
Total Settlement Date Position			729,031.58 729,031.58	734,593.56 836.30000