Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation**

**Note.** The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

**2010**

**For calendar year 2010, or tax year beginning** 11/01 **, 2010, and ending** 10/31,20 11

**G** Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation
ELIZABETH S BLACK CHARITABLE TRUST
PNC BANK, NA

**A Employer identification number** 04-3731472

Number and street (or P.O. box number if mail is not delivered to street address) PO BOX 94651 — Room/suite

**B** Telephone number (see page 10 of the instructions) (216) 257-4699

City or town, state, and ZIP code
CLEVELAND, OH 44104

**C** If exemption application is pending, check here ▶ ☐

**D** 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**H** Check type of organization: [X] Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**I** Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 8,649,341.

**J** Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) ____________
*(Part I, column (d) must be on cash basis.)*

**Part I Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)*

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| Revenue | 1 Contributions, gifts, grants, etc., received (attach schedule) | | | | |
| | 2 Check ▶ [X] if the foundation is **not** required to attach Sch. B | | | | |
| | 3 Interest on savings and temporary cash investments | | | | |
| | 4 Dividends and interest from securities | 232,286. | 232,286. | | |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 324,130. | | | |
| | b Gross sales price for all assets on line 6a 2,923,974. | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 324,130. | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) (attach schedule) | | | | |
| | 11 Other income (attach schedule) | | | | |
| | 12 **Total.** Add lines 1 through 11 | 556,416. | 556,416. | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc. | 58,176. | 29,088. | | 29,088. |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees (attach schedule) | | | | |
| | b Accounting fees (attach schedule) | | | | |
| | c Other professional fees (attach schedule) STMT 1 | 3,490. | | | 3,490. |
| | 17 Interest | | | | |
| | 18 Taxes (attach schedule) (see page 14 of the instructions) STMT 2 | 11,178. | 1,840. | | |
| | 19 Depreciation (attach schedule) and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses (attach schedule) | | | | |
| | 24 **Total operating and administrative expenses.** Add lines 13 through 23 | 72,844. | 30,928. | | 32,578. |
| | 25 Contributions, gifts, grants paid | 405,574. | | | 405,574. |
| | 26 **Total expenses and disbursements** Add lines 24 and 25 | 478,418. | 30,928. | | 438,152. |
| | 27 Subtract line 26 from line 12: | | | | |
| | a **Excess of revenue over expenses and disbursements** | 77,998. | | | |
| | b **Net investment income** (if negative, enter -0-) | | 525,488. | | |
| | c **Adjusted net income** (if negative, enter -0-) | | | | |

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## Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

| | | Beginning of year (a) Book Value | End of year (b) Book Value | End of year (c) Fair Market Value |
|---|---|---|---|---|
| Assets | 1 Cash - non-interest-bearing | | | |
| | 2 Savings and temporary cash investments | 337,962. | 253,978. | 253,978. |
| | 3 Accounts receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 4 Pledges receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions) | | | |
| | 7 Other notes and loans receivable (attach schedule) ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10 a Investments - U S and state government obligations (attach schedule) | 301,371. | 287,800. | 266,275. |
| | b Investments - corporate stock (attach schedule) | 4,347,296. | 4,437,211. | 5,423,077. |
| | c Investments - corporate bonds (attach schedule) | 2,547,909. | 2,633,773. | 2,706,011. |
| | 11 Investments - land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 12 Investments - mortgage loans | | | |
| | 13 Investments - other (attach schedule) | | | |
| | 14 Land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 15 Other assets (describe ▶ ) | | | |
| | 16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I) | 7,534,538. | 7,612,762. | 8,649,341. |
| Liabilities | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable (attach schedule) | | | |
| | 22 Other liabilities (describe ▶ ) | | | |
| | 23 **Total liabilities** (add lines 17 through 22) | | | |
| Net Assets or Fund Balances | **Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 Unrestricted | | | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | **Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ X** | | | |
| | 27 Capital stock, trust principal, or current funds | 7,534,538. | 7,612,762. | |
| | 28 Paid-in or capital surplus, or land, bldg, and equipment fund | | | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | | | |
| | 30 **Total net assets or fund balances** (see page 17 of the instructions) | 7,534,538. | 7,612,762. | |
| | 31 **Total liabilities and net assets/fund balances** (see page 17 of the instructions) | 7,534,538. | 7,612,762. | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 7,534,538. |
| 2 | Enter amount from Part I, line 27a | 2 | 77,998. |
| 3 | Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 3 | 3 | 1,436. |
| 4 | Add lines 1, 2, and 3 | 4 | 7,613,972. |
| 5 | Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 4 | 5 | 1,210. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 7,612,762. |

## Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co ) | (b) How acquired P-Purchase D-Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 1a SEE PART IV DETAIL | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } 2 324,130.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions).
If (loss), enter -0- in Part I, line 8 . . . } 3

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2009 | 306,935. | 8,087,221. | 0.03795308673 |
| 2008 | 259,002. | 7,120,415. | 0.03637456525 |
| 2007 | 338,759. | 9,183,849. | 0.03688638609 |
| 2006 | 555,123. | 9,749,657. | 0.05693769535 |
| 2005 | 602,864. | 9,206,153. | 0.06548489907 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | 0.23363663249 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0.04672732650 |
| 4 | Enter the net value of noncharitable-use assets for 2010 from Part X, line 5 | 4 | 8,704,497. |
| 5 | Multiply line 4 by line 3 | 5 | 406,738. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 5,255. |
| 7 | Add lines 5 and 6 | 7 | 411,993. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 438,152. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

| | | | |
|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of ruling letter if necessary - see instructions) | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b | 1 | 5,255. |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 2 | |
| 3 | Add lines 1 and 2 | 3 | 5,255. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 4 | NONE |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- | 5 | 5,255. |
| 6 | Credits/Payments: | | |
| a | 2010 estimated tax payments and 2009 overpayment credited to 2010 — 6a: 8,000. | | |
| b | Exempt foreign organizations-tax withheld at source — 6b: NONE | | |
| c | Tax paid with application for extension of time to file (Form 8868) — 6c: NONE | | |
| d | Backup withholding erroneously withheld — 6d: | | |
| 7 | Total credits and payments. Add lines 6a through 6d | 7 | 8,000. |
| 8 | Enter any **penalty** for underpayment of estimated tax. Check here [ ] if Form 2220 is attached | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | 9 | |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | 10 | 2,745. |
| 11 | Enter the amount of line 10 to be: **Credited to 2011 estimated tax** ▶ 2,745. **Refunded** ▶ | 11 | |

## Part VII-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? | 1b | | X |
| | *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶$ ______ (2) On foundation managers ▶ $ ______ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities* | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.* | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV* | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ STMT 5 | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV* | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* | 10 | | X |

## Part VII-A Statements Regarding Activities *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions) | 11 | | X |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | | X |

Website address ▶ N/A

14 The books are in care of ▶ PNC BANK, NA Telephone no ▶ (216)257-4699

Located at ▶ P O BOX 94651, CLEVELAND, OH ZIP + 4 ▶ 44101-4651

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22 1. If "Yes," enter the name of the foreign country ▶ | | | |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly): | | | |
| | **(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No | | | |
| | **(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No | | | |
| | **(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No | | | |
| | **(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No | | | |
| | **(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No | | | |
| | **(6)** Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? | 1b | | X |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010? | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | |
| a | At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No | | | |
| | If "Yes," list the years ▶ ____, ____, ____, ____ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 22 of the instructions ) | 2b | X | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2010 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010 )* | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010? | 4b | | X |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| **5a** | During the year did the foundation pay or incur any amount to: | | | |
| | **(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? . . . . . . ☐ Yes ☒ No | | | |
| | **(2)** Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? . . . . . . ☐ Yes ☒ No | | | |
| | **(3)** Provide a grant to an individual for travel, study, or other similar purposes? . . . . . . ☐ Yes ☒ No | | | |
| | **(4)** Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) . . . . . . ☐ Yes ☒ No | | | |
| | **(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . . . ☐ Yes ☒ No | | | |
| **b** | If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? . . . . . . | 5b | | |
| | Organizations relying on a current notice regarding disaster assistance check here . . . . . . ▶ ☐ | | | |
| **c** | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . . . ☐ Yes ☐ No | | | |
| | *If "Yes," attach the statement required by Regulations section 53 4945-5(d)* | | | |
| **6a** | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . . . ☐ Yes ☒ No | | | |
| **b** | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . . . | 6b | | X |
| | *If "Yes" to 6b, file Form 8870.* | | | |
| **7a** | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . ☐ Yes ☒ No | | | |
| **b** | If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . . . | 7b | | |

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| SEE STATEMENT 6 | | 58,176. | -0- | -0- |
| | | | | |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | NONE | NONE | NONE |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 . . . . . . ▶ NONE

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

**3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | NONE |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services ▶ | | NONE |

## Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 NONE | |
| 2 | |
| 3 | |
| 4 | |

## Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|---|
| 1 NONE | |
| 2 | |
| All other program-related investments See page 24 of the instructions<br>3 NONE | |
| **Total.** Add lines 1 through 3 ▶ | |

**Part X** **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 8,837,053. |
| b | Average of monthly cash balances | 1b | NONE |
| c | Fair market value of all other assets (see page 25 of the instructions) | 1c | NONE |
| d | **Total** (add lines 1a, b, and c) | 1d | 8,837,053. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | NONE |
| 3 | Subtract line 2 from line 1d | 3 | 8,837,053. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions) | 4 | 132,556. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 8,704,497. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 435,225. |

**Part XI** **Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 435,225. |
| 2a | Tax on investment income for 2010 from Part VI, line 5 | 2a | 5,255. | | |
| b | Income tax for 2010. (This does not include the tax from Part VI.) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 5,255. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | | | 3 | 429,970. |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | NONE |
| 5 | Add lines 3 and 4 | | | 5 | 429,970. |
| 6 | Deduction from distributable amount (see page 25 of the instructions) | | | 6 | NONE |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | | | 7 | 429,970. |

**Part XII** **Qualifying Distributions** (see page 25 of the instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 438,152. |
| b | Program-related investments - total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | NONE |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | NONE |
| b | Cash distribution test (attach the required schedule) | 3b | NONE |
| 4 | **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 438,152. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions) | 5 | 5,255. |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 432,897. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

## Part XIII Undistributed Income (see page 26 of the instructions)

| | | (a) Corpus | (b) Years prior to 2009 | (c) 2009 | (d) 2010 |
|---|---|---|---|---|---|
| 1 | Distributable amount for 2010 from Part XI, line 7 | | | | 429,970. |
| 2 | Undistributed income, if any, as of the end of 2010 | | | | |
| a | Enter amount for 2009 only | | | 153,801. | |
| b | Total for prior years 20____,20____, 20____ | | NONE | | |
| 3 | Excess distributions carryover, if any, to 2010: | | | | |
| a | From 2005 ... NONE | | | | |
| b | From 2006 ... NONE | | | | |
| c | From 2007 ... NONE | | | | |
| d | From 2008 ... NONE | | | | |
| e | From 2009 ... NONE | | | | |
| f | **Total** of lines 3a through e | NONE | | | |
| 4 | Qualifying distributions for 2010 from Part XII, line 4: ▶ $ 438,152. | | | | |
| a | Applied to 2009, but not more than line 2a | | | 153,801. | |
| b | Applied to undistributed income of prior years (Election required - see page 26 of the instructions) | | NONE | | |
| c | Treated as distributions out of corpus (Election required - see page 26 of the instructions) | NONE | | | |
| d | Applied to 2010 distributable amount | | | | 284,351. |
| e | Remaining amount distributed out of corpus | NONE | | | |
| 5 | Excess distributions carryover applied to 2010 *(If an amount appears in column (d), the same amount must be shown in column (a).)* | NONE | | | NONE |
| 6 | **Enter the net total of each column as indicated below:** | | | | |
| a | Corpus Add lines 3f, 4c, and 4e Subtract line 5 | NONE | | | |
| b | Prior years' undistributed income Subtract line 4b from line 2b | | NONE | | |
| c | Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | NONE | | |
| d | Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions | | NONE | | |
| e | Undistributed income for 2009 Subtract line 4a from line 2a. Taxable amount - see page 27 of the instructions | | | | |
| f | Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011 | | | | 145,619. |
| 7 | Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) | NONE | | | |
| 8 | Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions) | NONE | | | |
| 9 | **Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a | NONE | | | |
| 10 | Analysis of line 9: | | | | |
| a | Excess from 2006 ... NONE | | | | |
| b | Excess from 2007 ... NONE | | | | |
| c | Excess from 2008 ... NONE | | | | |
| d | Excess from 2009 ... NONE | | | | |
| e | Excess from 2010 ... NONE | | | | |

## Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling . . . . . . . . . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2010 | (b) 2009 | (c) 2008 | (d) 2007 | (e) Total |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon | | | | | |
| a "Assets" alternative test - enter: (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

## Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)

**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed:

SEE STATEMENT 7

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT FOR LINE 2

c Any submission deadlines:

SEE ATTACHED STATEMENT FOR LINE 2

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT FOR LINE 2

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| a *Paid during the year* | | | | |
| SEE STATEMENT 17 | | | | |
| **Total** ▶ 3a | | | | 405,574. |
| **b** *Approved for future payment* | | | | |
| **Total** ▶ 3b | | | | |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated | Unrelated business income (a) Business code | (b) Amount | Excluded by section 512, 513, or 514 (c) Exclusion code | (d) Amount | (e) Related or exempt function income (See page 28 of the instructions.) |
|---|---|---|---|---|---|
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | | 14 | 232,286. | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 324,130. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | | | 556,416. | |

**13 Total.** Add line 12, columns (b), (d), and (e) . . . . . . 13 556,416.

(See worksheet in line 13 instructions on page 29 to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions.) |
|---|---|
| | NOT APPLICABLE |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Jacklynn Ann Barras | 01/30/2012 | TAX DIRECTOR

Signature of officer or trustee JACKLYNN ANN BARRAS | Date | Title

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| | | | | |
| Firm's name ▶ | | | Firm's EIN ▶ | |
| Firm's address ▶ | | | Phone no | |



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0E1493 1 000

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS | | | | | 5,567. | |
| | | 120. AT&T INC<br>PROPERTY TYPE: SECURITIES | | | | | 12/31/2008 | 02/18/2011 |
| 3,424.00 | | 3,441.00 | | | | | -17.00 | |
| | | 230. AT&T INC<br>PROPERTY TYPE: SECURITIES | | | | | 12/31/2008 | 03/11/2011 |
| 6,555.00 | | 6,595.00 | | | | | -40.00 | |
| | | 160. AT&T INC<br>PROPERTY TYPE: SECURITIES | | | | | 12/31/2008 | 04/08/2011 |
| 4,883.00 | | 4,215.00 | | | | | 668.00 | |
| | | 60. AT&T INC<br>PROPERTY TYPE: SECURITIES | | | | | 04/13/2009 | 09/20/2011 |
| 1,727.00 | | 1,561.00 | | | | | 166.00 | |
| | | 60. ALLERGAN INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 02/18/2011 |
| 4,558.00 | | 3,779.00 | | | | | 779.00 | |
| | | 90. ALLERGAN INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 04/08/2011 |
| 6,727.00 | | 4,999.00 | | | | | 1,728.00 | |
| | | 30. ALLERGAN INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 09/20/2011 |
| 2,527.00 | | 1,666.00 | | | | | 861.00 | |
| | | 10. AMAZON.COM INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 02/18/2011 |
| 1,877.00 | | 1,301.00 | | | | | 576.00 | |
| | | 220. AMAZON.COM INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 03/11/2011 |
| 36,568.00 | | 28,624.00 | | | | | 7,944.00 | |
| | | 1020. AMERICAN ELEC PWR INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 01/19/2011 |
| 36,801.00 | | 34,558.00 | | | | | 2,243.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 50. AMERICAN EXPRESS CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 11/18/2009 | 02/18/2011 |
| 2,274.00 | | 2,079.00 | | | | | 195.00 | |
| | | 90. AMERICAN EXPRESS CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 11/18/2009 | 04/08/2011 |
| 4,158.00 | | 3,742.00 | | | | | 416.00 | |
| | | 40. AMERICAN EXPRESS CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 06/06/2011 | 09/20/2011 |
| 1,975.00 | | 1,955.00 | | | | | 20.00 | |
| | | 120. AMERISOURCEBERGEN CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 02/18/2011 |
| 4,478.00 | | 3,713.00 | | | | | 765.00 | |
| | | 10. AMERISOURCEBERGEN CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 04/08/2011 |
| 407.00 | | 309.00 | | | | | 98.00 | |
| | | 180. AMERISOURCEBERGEN CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/17/2010 | 04/08/2011 |
| 7,332.00 | | 4,898.00 | | | | | 2,434.00 | |
| | | 70. AMERISOURCEBERGEN CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/17/2010 | 09/20/2011 |
| 2,790.00 | | 1,905.00 | | | | | 885.00 | |
| | | 220. AMERISOURCEBERGEN CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/17/2010 | 10/05/2011 |
| 8,074.00 | | 5,987.00 | | | | | 2,087.00 | |
| | | 70. AMERIPRISE FINANCIAL INC<br>PROPERTY TYPE: SECURITIES | | | | | 11/24/2010 | 02/18/2011 |
| 4,507.00 | | 3,650.00 | | | | | 857.00 | |
| | | 10. AMERIPRISE FINANCIAL INC<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 04/08/2011 |
| 633.00 | | 463.00 | | | | | 170.00 | |
| | | 120. AMERIPRISE FINANCIAL INC<br>PROPERTY TYPE: SECURITIES | | | | | 11/24/2010 | 04/08/2011 |
| 7,592.00 | | 6,257.00 | | | | | 1,335.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 50. AMERIPRISE FINANCIAL INC<br>PROPERTY TYPE: SECURITIES | | | | | 11/18/2009 | 09/20/2011 |
| 2,224.00 | | 2,236.00 | | | | | -12.00 | |
| | | 380. AMGEN INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/31/2008 | 11/24/2010 |
| 20,463.00 | | 20,471.00 | | | | | -8.00 | |
| | | 50. APACHE CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 11/24/2010 |
| 5,406.00 | | 5,046.00 | | | | | 360.00 | |
| | | 300. APACHE CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/03/2008 | 11/24/2010 |
| 32,435.00 | | 32,255.00 | | | | | 180.00 | |
| | | 10. APACHE CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 02/18/2011 |
| 1,211.00 | | 1,009.00 | | | | | 202.00 | |
| | | 40. APACHE CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 04/08/2011 |
| 5,255.00 | | 4,037.00 | | | | | 1,218.00 | |
| | | 200. APACHE CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 04/13/2009 | 09/20/2011 |
| 19,386.00 | | 13,458.00 | | | | | 5,928.00 | |
| | | 30. APPLE COMPUTER INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 02/18/2011 |
| 10,748.00 | | 4,981.00 | | | | | 5,767.00 | |
| | | 60. APPLE COMPUTER INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 04/08/2011 |
| 20,239.00 | | 9,961.00 | | | | | 10,278.00 | |
| | | 30. APPLE COMPUTER INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 08/09/2011 |
| 11,037.00 | | 4,981.00 | | | | | 6,056.00 | |
| | | 20. APPLE COMPUTER INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 09/20/2011 |
| 8,420.00 | | 3,320.00 | | | | | 5,100.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 160. BANK OF AMER CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 02/18/2011 |
| 2,370.00 | | 2,838.00 | | | | | -468.00 | |
| | | 340. BANK OF AMER CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 04/08/2011 |
| 4,607.00 | | 6,032.00 | | | | | -1,425.00 | |
| | | 120. BANK OF AMER CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 09/20/2011 |
| 833.00 | | 2,129.00 | | | | | -1,296.00 | |
| | | 300000. BELLSOUTH CORP NT DTD 10-25-01 6<br>PROPERTY TYPE: SECURITIES | | | | | 04/23/2002 | 10/15/2011 |
| 300,000.00 | | 296,796.00 | | | | | 3,204.00 | |
| | | 80. BORG WARNER AUTO COM<br>PROPERTY TYPE: SECURITIES | | | | | 03/11/2011 | 04/08/2011 |
| 6,063.00 | | 6,076.00 | | | | | -13.00 | |
| | | 30. BORG WARNER AUTO COM<br>PROPERTY TYPE: SECURITIES | | | | | 03/11/2011 | 09/20/2011 |
| 2,069.00 | | 2,278.00 | | | | | -209.00 | |
| | | 1010. BROADCOM CORP CL A<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 02/18/2011 |
| 42,692.00 | | 28,856.00 | | | | | 13,836.00 | |
| | | 70. CAPITAL ONE FINANCIAL CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/12/2010 | 02/18/2011 |
| 3,656.00 | | 3,235.00 | | | | | 421.00 | |
| | | 140. CAPITAL ONE FINANCIAL CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 04/08/2011 |
| 7,257.00 | | 6,384.00 | | | | | 873.00 | |
| | | 50. CAPITAL ONE FINANCIAL CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 09/20/2011 |
| 2,161.00 | | 2,257.00 | | | | | -96.00 | |
| | | 10. CELANESE CORP-SERIES A<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 02/18/2011 |
| 448.00 | | 299.00 | | | | | 149.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 80. CELANESE CORP-SERIES A<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 02/18/2011 |
| 3,584.00 | | 2,189.00 | | | | | 1,395.00 | |
| | | 160. CELANESE CORP-SERIES A<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 04/08/2011 |
| 7,477.00 | | 4,378.00 | | | | | 3,099.00 | |
| | | 250. CELANESE CORP-SERIES A<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 08/09/2011 |
| 10,250.00 | | 6,840.00 | | | | | 3,410.00 | |
| | | 20. CELANESE CORP-SERIES A<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 09/20/2011 |
| 864.00 | | 547.00 | | | | | 317.00 | |
| | | 60. CENTURYTEL INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/25/2010 | 02/18/2011 |
| 2,524.00 | | 1,988.00 | | | | | 536.00 | |
| | | 130. CENTURYTEL INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/25/2010 | 04/08/2011 |
| 5,309.00 | | 4,307.00 | | | | | 1,002.00 | |
| | | 690. CENTURYTEL INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/25/2010 | 08/09/2011 |
| 22,692.00 | | 22,859.00 | | | | | -167.00 | |
| | | 70. CHEVRONTEXACO CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2010 | 02/18/2011 |
| 6,810.00 | | 5,590.00 | | | | | 1,220.00 | |
| | | 150. CHEVRONTEXACO CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/04/2010 | 04/08/2011 |
| 16,411.00 | | 11,946.00 | | | | | 4,465.00 | |
| | | 50. CHEVRONTEXACO CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/04/2010 | 09/20/2011 |
| 4,948.00 | | 3,952.00 | | | | | 996.00 | |
| | | 50. CHUBB CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 11/24/2010 | 02/18/2011 |
| 3,013.00 | | 2,863.00 | | | | | 150.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 110. CHUBB CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 11/24/2010 | 04/08/2011 |
| 6,831.00 | | 6,298.00 | | | | | 533.00 | |
| | | 10. CHUBB CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 11/24/2010 | 09/20/2011 |
| 608.00 | | 573.00 | | | | | 35.00 | |
| | | 30. CHUBB CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 09/20/2011 |
| 1,823.00 | | 1,455.00 | | | | | 368.00 | |
| | | 1980. CISCO SYS INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/03/2006 | 02/18/2011 |
| 36,998.00 | | 42,709.00 | | | | | -5,711.00 | |
| | | 680. CITIGROUP INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 02/18/2011 |
| 3,346.00 | | 2,951.00 | | | | | 395.00 | |
| | | 1530. CITIGROUP INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 04/08/2011 |
| 7,023.00 | | 6,640.00 | | | | | 383.00 | |
| | | 49. CITIGROUP INC<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 09/20/2011 |
| 1,353.00 | | 2,127.00 | | | | | -774.00 | |
| | | 10. COACH INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 02/18/2011 |
| 566.00 | | 417.00 | | | | | 149.00 | |
| | | 70. COACH INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 02/18/2011 |
| 3,965.00 | | 2,068.00 | | | | | 1,897.00 | |
| | | 930. COACH INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 04/08/2011 |
| 47,396.00 | | 27,472.00 | | | | | 19,924.00 | |
| | | 100. COCA COLA CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/18/2011 | 04/08/2011 |
| 6,745.00 | | 6,465.00 | | | | | 280.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 10. COCA COLA CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/18/2011 | 09/20/2011 |
| 709.00 | | 647.00 | | | | | 62.00 | |
| | | 30. COCA COLA CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/17/2010 | 09/20/2011 |
| 2,128.00 | | 1,682.00 | | | | | 446.00 | |
| | | 20. CUMMINS ENGINE INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 02/18/2011 |
| 2,249.00 | | 1,396.00 | | | | | 853.00 | |
| | | 50. CUMMINS ENGINE INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 04/08/2011 |
| 5,530.00 | | 3,489.00 | | | | | 2,041.00 | |
| | | 20. CUMMINS ENGINE INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 09/20/2011 |
| 1,940.00 | | 1,396.00 | | | | | 544.00 | |
| | | 30. DEERE & CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/08/2010 | 02/18/2011 |
| 2,845.00 | | 2,394.00 | | | | | 451.00 | |
| | | 80. DEERE & CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/08/2010 | 04/08/2011 |
| 7,706.00 | | 6,383.00 | | | | | 1,323.00 | |
| | | 410. DEERE & CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/08/2010 | 07/19/2011 |
| 33,025.00 | | 32,714.00 | | | | | 311.00 | |
| | | 1000. DISNEY WALT CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 04/08/2011 | 09/20/2011 |
| 32,510.00 | | 42,109.00 | | | | | -9,599.00 | |
| | | 540. DOLBY LABORATORIES INC CLASS A<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 01/19/2011 |
| 33,475.00 | | 22,702.00 | | | | | 10,773.00 | |
| | | 60. DOLLAR TREE INC<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 02/18/2011 |
| 3,138.00 | | 1,817.00 | | | | | 1,321.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 110. DOLLAR TREE INC<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 04/08/2011 |
| 6,181.00 | | 3,331.00 | | | | | 2,850.00 | |
| | | 40. DOLLAR TREE INC<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 09/20/2011 |
| 3,088.00 | | 1,211.00 | | | | | 1,877.00 | |
| | | 50. DOVER CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 10/07/2010 | 02/18/2011 |
| 3,394.00 | | 2,709.00 | | | | | 685.00 | |
| | | 120. DOVER CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 10/07/2010 | 04/08/2011 |
| 7,915.00 | | 6,503.00 | | | | | 1,412.00 | |
| | | 40. DOVER CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 10/07/2010 | 09/20/2011 |
| 2,081.00 | | 2,168.00 | | | | | -87.00 | |
| | | 60. DU PONT E I DE NEMOURS & CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/03/2011 | 02/18/2011 |
| 3,340.00 | | 3,104.00 | | | | | 236.00 | |
| | | 130. DU PONT E I DE NEMOURS & CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/03/2011 | 04/08/2011 |
| 7,230.00 | | 6,725.00 | | | | | 505.00 | |
| | | 10. DU PONT E I DE NEMOURS & CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/03/2011 | 09/20/2011 |
| 464.00 | | 517.00 | | | | | -53.00 | |
| | | 30. DU PONT E I DE NEMOURS & CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/23/2010 | 09/20/2011 |
| 1,392.00 | | 1,213.00 | | | | | 179.00 | |
| | | 180. EMC CORPORATION<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 02/18/2011 |
| 4,901.00 | | 3,420.00 | | | | | 1,481.00 | |
| | | 180. EMC CORPORATION<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 04/08/2011 |
| 4,736.00 | | 3,420.00 | | | | | 1,316.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 120. EMC CORPORATION<br>PROPERTY TYPE: SECURITIES | | | | | 02/17/2010 | 04/08/2011 |
| 3,157.00 | | 2,102.00 | | | | | 1,055.00 | |
| | | 100. EMC CORPORATION<br>PROPERTY TYPE: SECURITIES | | | | | 02/17/2010 | 09/20/2011 |
| 2,217.00 | | 1,752.00 | | | | | 465.00 | |
| | | 30. EATON CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/08/2010 | 02/18/2011 |
| 3,338.00 | | 2,992.00 | | | | | 346.00 | |
| | | 120. EATON CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/08/2010 | 04/08/2011 |
| 6,567.00 | | 5,984.00 | | | | | 583.00 | |
| | | 40. EATON CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/08/2010 | 09/20/2011 |
| 1,588.00 | | 1,995.00 | | | | | -407.00 | |
| | | 160. EBAY INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/18/2011 | 04/08/2011 |
| 5,021.00 | | 5,555.00 | | | | | -534.00 | |
| | | 50. EBAY INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/18/2011 | 09/20/2011 |
| 1,654.00 | | 1,736.00 | | | | | -82.00 | |
| | | 590. EMERSON ELEC CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 12/08/2010 |
| 33,193.00 | | 29,606.00 | | | | | 3,587.00 | |
| | | 40. EQUITY RESIDENTIAL PPTYS TR SBI<br>PROPERTY TYPE: SECURITIES | | | | | 05/28/2010 | 02/18/2011 |
| 2,142.00 | | 1,828.00 | | | | | 314.00 | |
| | | 80. EQUITY RESIDENTIAL PPTYS TR SBI<br>PROPERTY TYPE: SECURITIES | | | | | 05/28/2010 | 04/08/2011 |
| 4,452.00 | | 3,655.00 | | | | | 797.00 | |
| | | 30. EQUITY RESIDENTIAL PPTYS TR SBI<br>PROPERTY TYPE: SECURITIES | | | | | 05/28/2010 | 09/20/2011 |
| 1,699.00 | | 1,371.00 | | | | | 328.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 100. EXXON MOBIL CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 02/18/2011 |
| 8,396.00 | | 7,036.00 | | | | | 1,360.00 | |
| | | 200. EXXON MOBIL CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 03/11/2011 | 04/08/2011 |
| 17,208.00 | | 16,181.00 | | | | | 1,027.00 | |
| | | 50. EXXON MOBIL CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 03/11/2011 | 09/20/2011 |
| 3,712.00 | | 4,045.00 | | | | | -333.00 | |
| | | 20. EXXON MOBIL CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 09/20/2011 |
| 1,485.00 | | 1,407.00 | | | | | 78.00 | |
| | | 2350. FORD MTR CO DEL COM PAR $0.01<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 02/03/2011 |
| 36,072.00 | | 30,174.00 | | | | | 5,898.00 | |
| | | 1490. FORD MTR CO DEL COM PAR $0.01<br>PROPERTY TYPE: SECURITIES | | | | | 08/04/2010 | 02/03/2011 |
| 22,871.00 | | 19,635.00 | | | | | 3,236.00 | |
| | | 170. GENERAL ELEC CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 02/18/2011 |
| 3,667.00 | | 3,189.00 | | | | | 478.00 | |
| | | 370. GENERAL ELEC CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 04/08/2011 |
| 7,526.00 | | 6,941.00 | | | | | 585.00 | |
| | | 120. GENERAL ELEC CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 09/20/2011 |
| 1,951.00 | | 2,251.00 | | | | | -300.00 | |
| | | 100. GENERAL MILLS INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 11/18/2009 | 02/18/2011 |
| 3,617.00 | | 3,378.00 | | | | | 239.00 | |
| | | 1240. GENERAL MILLS INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 04/08/2011 |
| 44,838.00 | | 37,272.00 | | | | | 7,566.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 10. GOOGLE INC CL A<br>PROPERTY TYPE: SECURITIES | | | | | 04/29/2008 | 02/18/2011 |
| 6,258.00 | | 5,545.00 | | | | | 713.00 | |
| | | 20. GOOGLE INC CL A<br>PROPERTY TYPE: SECURITIES | | | | | 04/29/2008 | 04/08/2011 |
| 11,631.00 | | 11,089.00 | | | | | 542.00 | |
| | | 70. HERSHEY FOODS CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 06/09/2010 | 02/18/2011 |
| 3,500.00 | | 3,548.00 | | | | | -48.00 | |
| | | 150. HERSHEY FOODS CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 06/09/2010 | 04/08/2011 |
| 8,329.00 | | 7,602.00 | | | | | 727.00 | |
| | | 50. HERSHEY FOODS CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 06/09/2010 | 09/20/2011 |
| 2,975.00 | | 2,534.00 | | | | | 441.00 | |
| | | 50. HESS CORPORATION<br>PROPERTY TYPE: SECURITIES | | | | | 03/11/2011 | 04/08/2011 |
| 4,229.00 | | 3,923.00 | | | | | 306.00 | |
| | | 320. HESS CORPORATION<br>PROPERTY TYPE: SECURITIES | | | | | 03/11/2011 | 07/19/2011 |
| 23,430.00 | | 25,108.00 | | | | | -1,678.00 | |
| | | 50. HEWLETT PACKARD CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 06/17/2010 | 02/18/2011 |
| 2,432.00 | | 2,394.00 | | | | | 38.00 | |
| | | 100. HEWLETT PACKARD CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 06/17/2010 | 04/08/2011 |
| 4,080.00 | | 4,788.00 | | | | | -708.00 | |
| | | 590. HEWLETT PACKARD CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 06/17/2010 | 06/06/2011 |
| 21,269.00 | | 28,249.00 | | | | | -6,980.00 | |
| | | 140. INTEL CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2008 | 02/18/2011 |
| 3,088.00 | | 3,198.00 | | | | | -110.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 220. INTEL CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2008 | 04/08/2011 |
| 4,431.00 | | 5,025.00 | | | | | -594.00 | |
| | | 90. INTEL CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2008 | 09/20/2011 |
| 1,984.00 | | 2,034.00 | | | | | -50.00 | |
| | | 30. INTERNATIONAL BUSINESS MACHS CORP CO<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 02/18/2011 |
| 4,928.00 | | 3,557.00 | | | | | 1,371.00 | |
| | | 70. INTERNATIONAL BUSINESS MACHS CORP CO<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 04/08/2011 |
| 11,473.00 | | 8,299.00 | | | | | 3,174.00 | |
| | | 10. INTERNATIONAL BUSINESS MACHS CORP CO<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 09/20/2011 |
| 1,751.00 | | 1,186.00 | | | | | 565.00 | |
| | | 160. J P MORGAN CHASE & CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 02/18/2011 |
| 7,649.00 | | 6,464.00 | | | | | 1,185.00 | |
| | | 270. J P MORGAN CHASE & CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 04/08/2011 |
| 12,755.00 | | 10,908.00 | | | | | 1,847.00 | |
| | | 90. J P MORGAN CHASE & CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 09/20/2011 |
| 2,937.00 | | 3,636.00 | | | | | -699.00 | |
| | | 50. JOHNSON & JOHNSON COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 02/18/2011 |
| 3,041.00 | | 3,063.00 | | | | | -22.00 | |
| | | 80. JOHNSON & JOHNSON COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 04/08/2011 |
| 4,778.00 | | 4,901.00 | | | | | -123.00 | |
| | | 40. JOHNSON & JOHNSON COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/17/2011 | 09/20/2011 |
| 2,576.00 | | 2,653.00 | | | | | -77.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 20. KRAFT FOODS INC-A COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/22/2011 | 09/20/2011 |
| 696.00 | | 676.00 | | | | | 20.00 | |
| | | 20. LAUDER ESTEE COS INC CL A<br>PROPERTY TYPE: SECURITIES | | | | | 04/08/2011 | 09/20/2011 |
| 2,070.00 | | 1,907.00 | | | | | 163.00 | |
| | | 100. LIMITED INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/25/2010 | 02/18/2011 |
| 3,320.00 | | 2,423.00 | | | | | 897.00 | |
| | | 210. LIMITED INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/25/2010 | 04/08/2011 |
| 7,539.00 | | 5,088.00 | | | | | 2,451.00 | |
| | | 450. LIMITED INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/25/2010 | 08/09/2011 |
| 14,781.00 | | 10,904.00 | | | | | 3,877.00 | |
| | | 40. LIMITED INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/25/2010 | 09/20/2011 |
| 1,657.00 | | 969.00 | | | | | 688.00 | |
| | | 80. MACY'S INC<br>PROPERTY TYPE: SECURITIES | | | | | 05/17/2011 | 09/20/2011 |
| 2,201.00 | | 2,253.00 | | | | | -52.00 | |
| | | 120. MATTEL INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/25/2010 | 02/18/2011 |
| 3,094.00 | | 2,502.00 | | | | | 592.00 | |
| | | 250. MATTEL INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/25/2010 | 04/08/2011 |
| 6,402.00 | | 5,213.00 | | | | | 1,189.00 | |
| | | 80. MATTEL INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/25/2010 | 09/20/2011 |
| 2,170.00 | | 1,668.00 | | | | | 502.00 | |
| | | 20. MCDONALDS CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/09/2011 | 09/20/2011 |
| 1,798.00 | | 1,696.00 | | | | | 102.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 60. MERCK & CO INC<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 02/18/2011 |
| 1,984.00 | | 2,156.00 | | | | | -172.00 | |
| | | 100. MERCK & CO INC<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 04/08/2011 |
| 3,353.00 | | 3,594.00 | | | | | -241.00 | |
| | | 40. MERCK & CO INC<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 09/20/2011 |
| 1,300.00 | | 1,438.00 | | | | | -138.00 | |
| | | 590. METLIFE INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/09/2009 | 11/24/2010 |
| 22,496.00 | | 22,277.00 | | | | | 219.00 | |
| | | 230. MICROSOFT CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 02/18/2011 |
| 6,244.00 | | 6,911.00 | | | | | -667.00 | |
| | | 270. MICROSOFT CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 04/08/2011 |
| 7,039.00 | | 8,113.00 | | | | | -1,074.00 | |
| | | 120. MICROSOFT CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 06/18/1996 | 04/08/2011 |
| 3,128.00 | | 929.00 | | | | | 2,199.00 | |
| | | 140. MICROSOFT CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 06/17/1996 | 09/20/2011 |
| 3,829.00 | | 1,084.00 | | | | | 2,745.00 | |
| | | 40. MOODYS CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 06/06/2011 | 09/20/2011 |
| 1,311.00 | | 1,560.00 | | | | | -249.00 | |
| | | 90. NATIONAL OIL-WELL INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2010 | 02/18/2011 |
| 7,306.00 | | 3,916.00 | | | | | 3,390.00 | |
| | | 30. NATIONAL OIL-WELL INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/04/2010 | 03/11/2011 |
| 2,190.00 | | 1,257.00 | | | | | 933.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 170. NATIONAL OIL-WELL INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2010 | 03/11/2011 |
| 12,412.00 | | 7,397.00 | | | | | 5,015.00 | |
| | | 150. NATIONAL OIL-WELL INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/04/2010 | 04/08/2011 |
| 11,958.00 | | 6,287.00 | | | | | 5,671.00 | |
| | | 150. NATIONAL OIL-WELL INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/04/2010 | 07/19/2011 |
| 11,815.00 | | 6,287.00 | | | | | 5,528.00 | |
| | | 230. NATIONAL OIL-WELL INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/04/2010 | 08/09/2011 |
| 14,612.00 | | 9,639.00 | | | | | 4,973.00 | |
| | | 30. NATIONAL OIL-WELL INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/04/2010 | 09/20/2011 |
| 1,916.00 | | 1,257.00 | | | | | 659.00 | |
| | | 30. NEWFIELD EXPL CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 11/24/2010 | 02/18/2011 |
| 2,093.00 | | 2,030.00 | | | | | 63.00 | |
| | | 490. NEWFIELD EXPL CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 11/24/2010 | 03/11/2011 |
| 33,467.00 | | 33,157.00 | | | | | 310.00 | |
| | | 70. NORTHEAST UTILS COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/19/2011 | 02/18/2011 |
| 2,341.00 | | 2,290.00 | | | | | 51.00 | |
| | | 140. NORTHEAST UTILS COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/19/2011 | 04/08/2011 |
| 4,836.00 | | 4,581.00 | | | | | 255.00 | |
| | | 40. NORTHEAST UTILS COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/19/2011 | 09/20/2011 |
| 1,397.00 | | 1,309.00 | | | | | 88.00 | |
| | | 20. OGE ENERGY CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/22/2011 | 09/20/2011 |
| 995.00 | | 925.00 | | | | | 70.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 180. ORACLE CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 04/24/2003 | 02/18/2011 |
| 5,943.00 | | 2,292.00 | | | | | 3,651.00 | |
| | | 310. ORACLE CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 04/24/2003 | 04/08/2011 |
| 10,416.00 | | 3,708.00 | | | | | 6,708.00 | |
| | | 100. ORACLE CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 04/24/2003 | 09/20/2011 |
| 2,895.00 | | 1,196.00 | | | | | 1,699.00 | |
| | | 40. PPG INDS INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 02/18/2011 |
| 3,599.00 | | 2,732.00 | | | | | 867.00 | |
| | | 60. PPG INDS INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 04/08/2011 |
| 5,768.00 | | 4,098.00 | | | | | 1,670.00 | |
| | | 20. PPG INDS INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 09/20/2011 |
| 1,564.00 | | 1,366.00 | | | | | 198.00 | |
| | | 5347.594 PNC INTERMEDIATE BOND FUND CLAS<br>PROPERTY TYPE: SECURITIES | | | | | 04/24/2003 | 04/14/2011 |
| 60,000.00 | | 58,289.00 | | | | | 1,711.00 | |
| | | 17836.721 PNC INTERMEDIATE BOND FUND CLA<br>PROPERTY TYPE: SECURITIES | | | | | 03/05/1999 | 08/31/2011 |
| 204,230.00 | | 188,420.00 | | | | | 15,810.00 | |
| | | 11351.909 PNC GOVERNMENT MRTG FD CLASS I<br>PROPERTY TYPE: SECURITIES | | | | | 03/05/1999 | 11/15/2010 |
| 110,000.00 | | 106,431.00 | | | | | 3,569.00 | |
| | | 870. PEPSICO INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 04/24/2003 | 02/18/2011 |
| 56,018.00 | | 41,026.00 | | | | | 14,992.00 | |
| | | 240. PFIZER INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 10/07/2008 | 02/18/2011 |
| 4,637.00 | | 4,287.00 | | | | | 350.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 150. PFIZER INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 04/08/2011 |
| 3,068.00 | | 2,593.00 | | | | | 475.00 | |
| | | 360. PFIZER INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/31/2008 | 04/08/2011 |
| 7,362.00 | | 6,396.00 | | | | | 966.00 | |
| | | 240. PFIZER INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/17/2010 | 05/17/2011 |
| 5,050.00 | | 3,931.00 | | | | | 1,119.00 | |
| | | 170. PFIZER INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 05/17/2011 |
| 3,577.00 | | 2,939.00 | | | | | 638.00 | |
| | | 140. PFIZER INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/17/2010 | 09/20/2011 |
| 2,551.00 | | 2,293.00 | | | | | 258.00 | |
| | | 50. PRICE T ROWE GROUP INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 11/24/2010 | 02/18/2011 |
| 3,523.00 | | 2,961.00 | | | | | 562.00 | |
| | | 110. PRICE T ROWE GROUP INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 11/24/2010 | 04/08/2011 |
| 7,517.00 | | 6,513.00 | | | | | 1,004.00 | |
| | | 10. PRICE T ROWE GROUP INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 11/24/2010 | 06/06/2011 |
| 585.00 | | 592.00 | | | | | -7.00 | |
| | | 590. PRICE T ROWE GROUP INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 06/06/2011 |
| 34,497.00 | | 28,049.00 | | | | | 6,448.00 | |
| | | 80. PROCTER & GAMBLE CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 02/18/2011 |
| 5,123.00 | | 4,955.00 | | | | | 168.00 | |
| | | 150. PROCTER & GAMBLE CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 04/08/2011 |
| 9,283.00 | | 9,291.00 | | | | | -8.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 50. PROCTER & GAMBLE CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 09/20/2011 |
| 3,201.00 | | 3,097.00 | | | | | 104.00 | |
| | | 120. QUALCOMM INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/18/2011 | 04/08/2011 |
| 6,450.00 | | 7,084.00 | | | | | -634.00 | |
| | | 40. QUALCOMM INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/18/2011 | 09/20/2011 |
| 2,150.00 | | 2,361.00 | | | | | -211.00 | |
| | | 40. SHIRE PHARMACEUTICAL GROUP SPONSORED<br>PROPERTY TYPE: SECURITIES | | | | | 11/24/2010 | 02/18/2011 |
| 3,374.00 | | 2,935.00 | | | | | 439.00 | |
| | | 90. SHIRE PHARMACEUTICAL GROUP SPONSORED<br>PROPERTY TYPE: SECURITIES | | | | | 11/24/2010 | 04/08/2011 |
| 8,047.00 | | 6,603.00 | | | | | 1,444.00 | |
| | | 210. SHIRE PHARMACEUTICAL GROUP SPONSORE<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 05/17/2011 |
| 19,328.00 | | 13,782.00 | | | | | 5,546.00 | |
| | | 10. SHIRE PHARMACEUTICAL GROUP SPONSORED<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 09/20/2011 |
| 946.00 | | 656.00 | | | | | 290.00 | |
| | | 60. SMUCKER J M CO COM NEW<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 02/18/2011 |
| 4,064.00 | | 3,642.00 | | | | | 422.00 | |
| | | 90. SMUCKER J M CO COM NEW<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 04/08/2011 |
| 6,579.00 | | 5,463.00 | | | | | 1,116.00 | |
| | | 30. SMUCKER J M CO COM NEW<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 09/20/2011 |
| 2,232.00 | | 1,821.00 | | | | | 411.00 | |
| | | 100. STARBUCKS CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/03/2011 | 02/18/2011 |
| 3,341.00 | | 3,216.00 | | | | | 125.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 210. STARBUCKS CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/03/2011 | 04/08/2011 |
| 7,575.00 | | 6,754.00 | | | | | 821.00 | |
| | | 50. STARBUCKS CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 06/17/2010 | 05/17/2011 |
| 1,748.00 | | 1,386.00 | | | | | 362.00 | |
| | | 1110. STARBUCKS CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/12/2010 | 05/17/2011 |
| 38,816.00 | | 30,414.00 | | | | | 8,402.00 | |
| | | 30. STARBUCKS CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/03/2011 | 05/17/2011 |
| 1,049.00 | | 965.00 | | | | | 84.00 | |
| | | 140. TEXAS INSTRS INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/18/2011 | 04/08/2011 |
| 4,941.00 | | 5,107.00 | | | | | -166.00 | |
| | | 780. TEXAS INSTRS INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/18/2011 | 08/22/2011 |
| 19,671.00 | | 28,454.00 | | | | | -8,783.00 | |
| | | 530. 3M COMPANY COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 12/08/2010 |
| 44,439.00 | | 38,436.00 | | | | | 6,003.00 | |
| | | 50. TIFFANY & CO NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/03/2011 | 02/18/2011 |
| 3,197.00 | | 2,957.00 | | | | | 240.00 | |
| | | 100. TIFFANY & CO NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/03/2011 | 04/08/2011 |
| 6,200.00 | | 5,914.00 | | | | | 286.00 | |
| | | 150. TIFFANY & CO NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/03/2011 | 08/09/2011 |
| 9,737.00 | | 8,871.00 | | | | | 866.00 | |
| | | 20. TIFFANY & CO NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/03/2011 | 09/20/2011 |
| 1,540.00 | | 1,183.00 | | | | | 357.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 40. UNION PAC CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/12/2010 | 02/18/2011 |
| 3,908.00 | | 3,057.00 | | | | | 851.00 | |
| | | 70. UNION PAC CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/12/2010 | 04/08/2011 |
| 6,754.00 | | 5,310.00 | | | | | 1,444.00 | |
| | | 30. UNION PAC CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 09/20/2011 |
| 2,690.00 | | 2,247.00 | | | | | 443.00 | |
| | | 300000. USA TREASURY NOTES 04.500% DUE 1<br>PROPERTY TYPE: SECURITIES | | | | | 12/08/2005 | 11/15/2010 |
| 300,000.00 | | 301,371.00 | | | | | -1,371.00 | |
| | | 50. UNITED TECHNOLOGIES CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 02/18/2011 |
| 4,236.00 | | 2,936.00 | | | | | 1,300.00 | |
| | | 90. UNITED TECHNOLOGIES CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 04/08/2011 |
| 7,689.00 | | 4,940.00 | | | | | 2,749.00 | |
| | | 30. UNITED TECHNOLOGIES CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 09/20/2011 |
| 2,278.00 | | 1,647.00 | | | | | 631.00 | |
| | | 100. UNITEDHEALTH GROUP INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 02/18/2011 |
| 4,267.00 | | 2,684.00 | | | | | 1,583.00 | |
| | | 170. UNITEDHEALTH GROUP INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 04/08/2011 |
| 7,646.00 | | 4,563.00 | | | | | 3,083.00 | |
| | | 60. UNITEDHEALTH GROUP INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 09/20/2011 |
| 3,036.00 | | 1,610.00 | | | | | 1,426.00 | |
| | | 220. UNITEDHEALTH GROUP INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 10/05/2011 |
| 9,662.00 | | 5,905.00 | | | | | 3,757.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 80. VIACOM INC<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 02/18/2011 |
| 3,658.00 | | 1,927.00 | | | | | 1,731.00 | |
| | | 140. VIACOM INC<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 04/08/2011 |
| 6,621.00 | | 3,373.00 | | | | | 3,248.00 | |
| | | 60. VIACOM INC<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 09/20/2011 |
| 2,829.00 | | 1,445.00 | | | | | 1,384.00 | |
| | | 750. VIACOM INC<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 10/13/2011 |
| 31,747.00 | | 18,068.00 | | | | | 13,679.00 | |
| | | 60. VODAFONE GROUP PLC SPONSORED ADR NEW<br>PROPERTY TYPE: SECURITIES | | | | | 08/09/2011 | 09/20/2011 |
| 1,550.00 | | 1,574.00 | | | | | -24.00 | |
| | | 70. WAL MART STORES INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 04/29/2008 | 02/18/2011 |
| 3,832.00 | | 4,050.00 | | | | | -218.00 | |
| | | 120. WAL MART STORES INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 04/29/2008 | 04/08/2011 |
| 6,323.00 | | 6,943.00 | | | | | -620.00 | |
| | | 50. WAL MART STORES INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 04/29/2008 | 09/20/2011 |
| 2,624.00 | | 2,893.00 | | | | | -269.00 | |
| | | 130. WALGREEN CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/18/2011 | 04/08/2011 |
| 5,365.00 | | 5,558.00 | | | | | -193.00 | |
| | | 730. WALGREEN CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/18/2011 | 06/30/2011 |
| 30,711.00 | | 31,208.00 | | | | | -497.00 | |
| | | 160. WELLS FARGO & CO NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 02/18/2011 |
| 5,272.00 | | 5,349.00 | | | | | -77.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 260. WELLS FARGO & CO NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 04/08/2011 |
| 8,341.00 | | 8,691.00 | | | | | -350.00 | |
| | | 90. WELLS FARGO & CO NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 09/20/2011 |
| 2,223.00 | | 3,009.00 | | | | | -786.00 | |
| | | 30. WHOLE FOOD MT INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 06/30/2011 | 09/20/2011 |
| 2,163.00 | | 1,892.00 | | | | | 271.00 | |
| | | 70. WISCONSIN ENERGY CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 02/18/2011 |
| 4,119.00 | | 3,053.00 | | | | | 1,066.00 | |
| | | 240. WISCONSIN ENERGY CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 04/08/2011 |
| 7,253.00 | | 5,233.00 | | | | | 2,020.00 | |
| | | 80. WISCONSIN ENERGY CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2009 | 09/20/2011 |
| 2,552.00 | | 1,744.00 | | | | | 808.00 | |
| | | 70. COOPER INDUSTRIES PLC SEDOL B40K911<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 02/18/2011 |
| 4,555.00 | | 3,496.00 | | | | | 1,059.00 | |
| | | 130. COOPER INDUSTRIES PLC SEDOL B40K911<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 04/08/2011 |
| 8,758.00 | | 6,493.00 | | | | | 2,265.00 | |
| | | 230. COOPER INDUSTRIES PLC SEDOL B40K911<br>PROPERTY TYPE: SECURITIES | | | | | 10/07/2010 | 08/22/2011 |
| 9,734.00 | | 11,417.00 | | | | | -1,683.00 | |
| | | 530. COOPER INDUSTRIES PLC SEDOL B40K911<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 08/22/2011 |
| 22,430.00 | | 26,473.00 | | | | | -4,043.00 | |
| | | 70. COVIDIEN PLC EXCH 03/18/11<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 02/18/2011 |
| 3,583.00 | | 3,303.00 | | | | | 280.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 160. COVIDIEN PLC ISIN IE00B68SQD29 SEDO<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 04/08/2011 |
| 8,435.00 | | 7,549.00 | | | | | 886.00 | |
| | | 50. COVIDIEN PLC ISIN IE00B68SQD29 SEDOL<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 09/20/2011 |
| 2,393.00 | | 2,359.00 | | | | | 34.00 | |
| | | 30. CORE LABORATORIES N V COM<br>PROPERTY TYPE: SECURITIES | | | | | 06/09/2010 | 02/18/2011 |
| 3,113.00 | | 2,101.00 | | | | | 1,012.00 | |
| | | 50. CORE LABORATORIES N V COM<br>PROPERTY TYPE: SECURITIES | | | | | 06/09/2010 | 04/08/2011 |
| 5,035.00 | | 3,502.00 | | | | | 1,533.00 | |
| | | 280. CORE LABORATORIES N V COM<br>PROPERTY TYPE: SECURITIES | | | | | 06/09/2010 | 04/14/2011 |
| 26,118.00 | | 19,614.00 | | | | | 6,504.00 | |
| TOTAL GAIN(LOSS) ...... | | ...................................... | | | | | 324,130. | |

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | CHARITABLE PURPOSES |
|---|---|---|
| OTHER PROFESSIONAL FEES | 3,490. | 3,490. |
| TOTALS | 3,490. | 3,490. |

FORM 990PF, PART I - TAXES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| FOREIGN TAXES | 17. | 17. |
| FEDERAL TAX PAYMENT - PRIOR YE | 1,338. | |
| FEDERAL ESTIMATES - PRINCIPAL | 8,000. | |
| FOREIGN TAXES ON QUALIFIED FOR | 1,647. | 1,647. |
| FOREIGN TAXES ON NONQUALIFIED | 176. | 176. |
| TOTALS | 11,178. | 1,840. |

## FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

| DESCRIPTION | | AMOUNT |
|---|---|---|
| POSTED CURR YR FOR PR YR | | 1,429. |
| ROUNDING ADJUSTMENT | | 7. |
| | TOTAL | 1,436. |

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

| DESCRIPTION | AMOUNT |
|---|---|
| POSTED SUBSEQ YR FOR CURR YR | 1,210. |
| TOTAL | 1,210. |

| STATE(S) WHERE THE FOUNDATION IS REGISTERED |
| --- |
| PA |

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:
PNC BANK, NA
ADDRESS:
P O BOX 94651
CLEVELAND, OH 44101-4651
TITLE:
TRUSTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 10
COMPENSATION .............................................. 58,176.

TOTAL COMPENSATION: 58,176.

FORM 990PF, PART XV - LINES 2a - 2d
====================================

RECIPIENT NAME:
SHAUN BYRNE
ADDRESS:
TWO PNC PLAZA - 7TH FLOOR
PITTSBURGH, PA 15222
RECIPIENT'S PHONE NUMBER: 412-768-8248
FORM, INFORMATION AND MATERIALS:
ALL APPLICATIONS SHOULD INCLUDE AN IRS DETERMINATION LETTER. PLEASE CONTACT SHAUN BYRNE FOR FURTHUR INFORMATION ON THE FORM OF THE SUBMISSION.
SUBMISSION DEADLINES:
NONE
RESTRICTIONS OR LIMITATIONS ON AWARDS:
PREFERENCE IS GIVEN TO ORGANIZATIONS IN AND AROUND OIL CITY, PA.

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

================================================================

RECIPIENT NAME:
GOOD HOPE LUTHERAN CHURCH
ADDRESS:
800 MORAN STREET
OIL CITY, PA 16301
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .......................................... 109,830.

RECIPIENT NAME:
MARCH OF DIMES, PA CHAPTER
ADDRESS:
1019 W 9TH AVE
KING OF PRUSSIA, PA 19406
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .......................................... 500.

RECIPIENT NAME:
KEYSTONE SMILES
JOYCE A FOSDICK, EXEC DIRECTOR
ADDRESS:
PO BOX 352
KNOX, PA 16232
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .......................................... 5,600.

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID
===============================================================

RECIPIENT NAME:
YOUTH ALTERNATIVES
CINDY MCBRIDE, EXEC DIRECTOR
ADDRESS:
1 GRAFF STREET
OIL CITY, PA 16301
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID ........................................... 19,500.

RECIPIENT NAME:
COMMUNITY SVC OF VENANGO CO, INC
JANET DEPOLO, FISCAL ACCOUNTANT
ADDRESS:
206 SENECA STREET, 3RD FLOOR
OIL CITY, PA 16301
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID ........................................... 17,600.

RECIPIENT NAME:
FAMILY SVC & CHILDREN AID SOCIETY
DALE POWER, DIRECTOR
ADDRESS:
716 EAST SECOND STREET
FRANKLIN, PA 16323
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID ........................................... 10,000.

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID
==============================================================

RECIPIENT NAME:
VENANGO AREA COMMUNITY FOUNDATION
JEANNE BEST, EXEC ASSIST
ADDRESS:
213 SENECA STREET, 3RD FLOOR
OIL CITY, PA 16301
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .......................................... 11,000.

RECIPIENT NAME:
CHILD TO FAMILY CONNECTIONS
KAREN CROSS, EXEC DIRECTOR
ADDRESS:
206 SENECA STREET, SUITE 24
OIL CITY, PA 16301
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .......................................... 23,868.

RECIPIENT NAME:
OIL CITY ARTS COUNSEL
CITY OF OIL CITY -
ADDRESS:
21 SENECA STREET
OIL CITY, PA 16301
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .......................................... 5,000.

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

==============================================================

RECIPIENT NAME:
THE SALVATION ARMY
OIL CITY
ADDRESS:
PO BOX 282
OIL CITY, PA 16301
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .............................................. 4,250.

RECIPIENT NAME:
OIL CITY YMCA
ADDRESS:
7 PETROLEUM STREET
OIL CITY, PA 16301
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .............................................. 26,000.

RECIPIENT NAME:
FRANKLIN YMCA
ADDRESS:
111 WEST PARK STREET
FRANKLIN, PA 16323
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .............................................. 4,500.

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID
==============================================================

RECIPIENT NAME:
OIL CITY AREA SCHOOL DISTRICT
SUSAN FISHER, CPA BUS MGR
ADDRESS:
825 GRANDVIEW ROAD, BOX 929
OIL CITY, PA 16301
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .......................................... 1,000.

RECIPIENT NAME:
BOYS AND GIRLS CLUB ALONG
ALLEGHENY
ADDRESS:
PO BOX 2
EMLENTON, PA 16373
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .......................................... 5,000.

RECIPIENT NAME:
CLARION UNIVERSITY FOUNDATION INC
MICHAEL KEEFE, ADM DIRECTOR
ADDRESS:
840 WOOD ST
CLARION, PA 16214
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .......................................... 20,000.

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
UNITED WAY OF VENANGO COUNTY
ADDRESS:
PO BOX 303
RENO, PA 16343
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID ........................................... 16,000.

RECIPIENT NAME:
FRANKLIN SALVATION ARMY
ADDRESS:
735 ELK STREET
FRANKLIN, PA 16323
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID ........................................... 2,000.

RECIPIENT NAME:
BRIDGE BUILDERS COMMUNITY FOUNDATIO
ADDRESS:
213 SENECA STREET
OIL CITY, PA 16301
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID ........................................... 2,740.

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
VENANGO AREA RIDING FOR THE HANDICA
ADDRESS:
PO BOX 226
FRANKLIN, PA 16323
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID ........................................ 6,000.

RECIPIENT NAME:
BARROW CIVIC THEATRE
ADDRESS:
1223 LIBERTY STREET
FRANKLIN, PA 16323
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID ........................................ 30,000.

RECIPIENT NAME:
LITERACY COUNCIL OF VENANGO COUNTY
ADDRESS:
679 COLBERT AVE
FRANKLIN, PA 16301
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID ........................................ 13,800.

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
ALLEGHENY VALLEY TRAILS ASSOCIATION
ADDRESS:
PO BOX 264
FRANKLIN, PA 16323
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .......................................... 60,000.

RECIPIENT NAME:
FAMILY AND COMMUNITY CHRISTIAN ASSO
ADDRESS:
378 CHESTNUT STREET
MEADVILLE, PA 16335
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .......................................... 1,000.

RECIPIENT NAME:
YWCA OF OIL CITY PA
ADDRESS:
109 CENTRAL AVENUE
OIL CITY, PA 16301
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .......................................... 830.

RECIPIENT NAME:
VENANGO TRAINING AND DEVELOPMENT CE
ADDRESS:
239 QUAKER DRIVE
SENECA, PA 16346
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID ........................................... 650.

RECIPIENT NAME:
VENANGO AREA VOCATIONAL TECHNICAL
ADDRESS:
1 VO TECH DRIVE
OIL CITY, PA 16301
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID ........................................... 1,000.

RECIPIENT NAME:
VENANGO COUNTY MUSEUM CORP
ADDRESS:
270 SENECA STREET
OIL CITY, PA 16301
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID ........................................... 1,000.

RECIPIENT NAME:
VENANGO COUNTY HISTORICAL SOCIETY
ADDRESS:
301 S PARK STREET
FRANKLIN, PA 16323
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .................................... 1,000.

RECIPIENT NAME:
CHRISTIAN LIFE ACADEMY
ADDRESS:
3973 STATE ROUTE 257, SUITE 1
SENECA, PA 16346
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .................................... 906.

RECIPIENT NAME:
OIL CITY AREA
ADDRESS:
825 GRANDVIEW ROAD
OIL CITY, PA 16301
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
DELIVERY OF MEALS
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .................................... 5,000.

TOTAL GRANTS PAID: 405,574.

# Summary

## Portfolio value

| Income | | Principal | | Total | |
|---|---|---|---|---|---|
| Income on October 31 | $39,052.06 | Principal on October 31 | $8,610,289.22 | Total portfolio value on October 31 | $8,649,341.28 |
| Income on November 1 | 14,751.49 | Principal on November 1 | 8,636,823.67 | Total portfolio value on November 1 | 8,651,575.16 |
| Change in value | $24,300.57 | Change in value | - $26,534.45 | Total change in value | - $2,233.88 |

## Portfolio value by asset class

| Income | Value Oct 31 | Value Nov 1 | Change in value | Tax cost* |
|---|---|---|---|---|
| Cash and cash equivalents | $39,052.06 | $14,751.49 | $24,300.57 | $39,052.06 |
| **Principal** | Value Oct 31 | Value Nov 1 | Change in value | Tax cost* |
| Cash and cash equivalents | $214,926.34 | $323,210.34 | - $108,284.00 | $214,926.34 |
| Fixed income | 2,706,010.75 | 3,004,408.39 | - 298,397.64 | 2,633,772.67 |
| Equities | 5,423,077.12 | 5,309,204.94 | 113,872.18 | 4,437,211.23 |
| Alternative investments | 266,275.01 | - | 266,275.01 | 287,800.00 |
| **Total** | **$8,649,341.28** | **$8,651,575.16** | **- $2,233.88** | **$7,612,762.30** |

* *We use tax cost to calculate the cost of your portfolio When this information is not available for all assets, your portfolio's tax cost may be understated To determine if we have tax cost information for all your assets, call Shaun Byrne your Account Advisor*

# Detail

## *Portfolio - income*

### Cash and cash equivalents

**Mutual funds - money market**

| Description | Market value last period / Quantity | Current market value / Current price per unit | % of total portfolio | Total tax cost / Avg. tax cost per unit | Unrealized gain/loss | Current yield | Estimated annual income | Accrued income |
|---|---|---|---|---|---|---|---|---|
| PNC MONEY MARKET FUND FUND #417 | $14,751 49<br>39,052 060 | $39,052 06<br>$1 0000 | 0 46 % | $39,052 06<br>$1 00 | | 0 06 % | $19 53 | $1.21 |

## *Portfolio - principal*

### Cash and cash equivalents

**Mutual funds - money market**

| Description | Market value last period / Quantity | Current market value / Current price per unit | % of total portfolio | Total tax cost / Avg tax cost per unit | Unrealized gain/loss | Current yield | Estimated annual income | Accrued income |
|---|---|---|---|---|---|---|---|---|
| PNC MONEY MARKET FUND FUND #417 | $323,210 34<br>214,926 340 | $214,926 34<br>$1 0000 | 2 49 % | $214,926 34<br>$1 00 | | 0 06 % | $107 47 | $9 14 |

### Fixed income

**Corporate bonds**

| Description (Cusip ) | Market value last period / Quantity | Current market value / Current price per unit | % of total portfolio | Total tax cost / Avg tax cost per unit | Unrealized gain/loss | Current yield | Estimated annual income | Accrued income |
|---|---|---|---|---|---|---|---|---|
| AMERICAN INTL GROUP NTS 05 600% DUE 10/18/2016 RATING BAA1 (02687QBC1) | $262,187 50<br>250,000 | $252,710 00<br>$101 0840 | 2 93 % | $255,095 00<br>$102 04 | - $2,385.00 | 5 54 % | $14,000.00 | $505 56 |

*Detail*

# Fixed income

## Corporate bonds

| Description (Cusip ) | Market value last period<br>Quantity | Current market value<br>Current price per unit | % of total portfolio | Total tax cost<br>Avg tax cost per unit | Unrealized gain/loss | Current yield | Estimated annual income | Accrued income |
|---|---|---|---|---|---|---|---|---|
| GENERAL ELEC CAP CORP<br>NOTES SER MTNA<br>05 450% DUE 01/15/2013<br>RATING AA2<br>(36962GZY3) | 272,367 50<br>250,000 | 262,905 00<br>105 1620 | 3 04 % | 247,500 00<br>99 00 | 15,405 00 | 5 19 % | 13,625 00 | 4,011 81 |
| GOLDMAN SACHS GROUP INC<br>SR UNSUB<br>06 600% DUE 01/15/2012<br>RATING A1<br>(38141GBU7) | 213,292 00<br>200,000 | 201,788 00<br>100 8940 | 2 34 % | 202,672 00<br>101 34 | - 884 00 | 6 55 % | 13,200 00 | 3,886 67 |
| **Total corporate bonds** | | **$717,403.00** | **8.29 %** | **$705,267.00** | **$12,136.00** | **5.69 %** | **$40,825.00** | **$8,404.04** |

## Etf - fixed income

| Description (Symbol) | Market value last period<br>Quantity | Current market value<br>Current price per unit | % of total portfolio | Total tax cost<br>Avg tax cost per unit | Unrealized gain/loss | Current yield | Estimated annual income | Accrued income |
|---|---|---|---|---|---|---|---|---|
| VANGUARD TOTAL BOND MARKET (BND)<br>ETF | $579,396 20<br>7,006 | $585,841 72<br>$83 6200 | 6 78 % | $545,136 86<br>$77 81 | $40,704 86 | 3 15 % | $18,439 79 | |

## Mutual funds - fixed income

| Description (Symbol) | Market value last period<br>Quantity | Current market value<br>Current price per unit | % of total portfolio | Total tax cost<br>Avg tax cost per unit | Unrealized gain/loss | Current yield | Estimated annual income | Accrued income |
|---|---|---|---|---|---|---|---|---|
| DODGE & COX INCOME FUND (DODIX) | $575,032 21<br>45,927.927 | $616,352 78<br>$13 4200 | 7 13 % | $592,000 00<br>$12 89 | $24,352 78 | 4 29 % | $26,408 56 | |
| FIDELITY ADVISOR FLOATING HIGH (FFRIX)<br>INCOME FUND I<br>FUND #279 | 28,883 286 | 280,456 71<br>9 7100 | 3 25 % | 284,000 00<br>9 83 | - 3,543 29 | 3 33 % | 9,329.30 | 737.22 |
| PNC GOVERNMENT MRTG FD (PTGIX)<br>CLASS I<br>FUND #406 | 215,148 34<br>10,714 587 | 104,038 64<br>9 7100 | 1 21 % | 97,568 81<br>9.11 | 6,469 83 | 4 10 % | 4,264 41 | 341 26 |

*Detail*

## Mutual funds - fixed income

| Description (Symbol) | Market value last period / Quantity | Current market value / Current price per unit | % of total portfolio | Total tax cost / Avg tax cost per unit | Unrealized gain/loss | Current yield | Estimated annual income | Accrued income |
|---|---|---|---|---|---|---|---|---|
| PIMCO UNCONSTRAINED BOND FUND (PFIUX) INSTITUTIONAL CLASS | 11,738 445 | 128,653 36<br>10 9600 | 1 49 % | 130,000 00<br>11 08 | - 1,346 64 | 2 01 % | 2,582 46 | 121 15 |
| TEMPLETON GLOBAL BOND FUND AD (TGBAX) FUND #616 | 20,654 916 | 273,264 54<br>13 2300 | 3 16 % | 279,800 00<br>13 55 | - 6,535 46 | 4 80 % | 13,095 22 | |
| **Total mutual funds - fixed income** | | **$1,402,766.03** | **16.22 %** | **$1,383,368.81** | **$19,397.22** | **3.97 %** | **$55,679.95** | **$1,199.63** |
| **Total fixed income** | | **$2,706,010.75** | **31.29 %** | **$2,633,772.67** | **$72,238.08** | **4.25 %** | **$114,944.74** | **$9,603.67** |

# Equities

## Stocks

| Description (Symbol) | Market value last period / Quantity | Current market value / Current price per unit | % of total portfolio | Total tax cost / Avg tax cost per unit | Unrealized gain/loss | Current yield | Estimated annual income | Accrued income |
|---|---|---|---|---|---|---|---|---|
| COVIDIEN PLC (COV) ISIN IE00B68SQD29 SEDOL B68SQD2 | 800 | $37,632 00<br>$47 0400 | 0 44 % | $36,768 82<br>$45 96 | $863 18 | 1 92 % | $720 00 | $180 00 |
| AT&T INC (T) | 41,924 40<br>900 | 26,379.00<br>29 3100 | 0 31 % | 22,059 33<br>24 51 | 4,319 67 | 5.87 % | 1,548 00 | 387.00 |
| ALLERGAN INC (AGN) | 47,790 60<br>480 | 40,377 60<br>84.1200 | 0 47 % | 26,659 20<br>55 54 | 13,718 40 | 0.24 % | 96 00 | |
| AMERICAN EXPRESS CO (AXP) | 26,119 80<br>620 | 31,384 40<br>50 6200 | 0 37 % | 26,727 35<br>43 11 | 4,657 05 | 1 43 % | 446 40 | 111.60 |
| AMERISOURCEBERGEN CORP (ABC) | 45,305 40<br>780 | 31,824 00<br>40 8000 | 0 37 % | 21,226 41<br>27 21 | 10,597 59 | 1 13 % | 358 80 | |
| AMERIPRISE FINANCIAL INC (AMP) | 38,767 50<br>690 | 32,209 20<br>46 6800 | 0 38 % | 26,564 03<br>38 50 | 5,645 17 | 1 98 % | 634 80 | |
| APPLE INC (AAPL) | 129,421 40<br>290 | 117,386 20<br>404 7800 | 1 36 % | 48,145 80<br>166.02 | 69,240 40 | | | |
| BAKER HUGHES INC (BHI) | 660 | 38,273 40<br>57 9900 | 0.45 % | 44,177 15<br>66 94 | - 5,903 75 | 1 04 % | 396.00 | |

*Detail*

# Equities
## Stocks

| Description (Symbol) | Market value last period<br>Quantity | Current market value<br>Current price per unit | % of total portfolio | Total tax cost<br>Avg tax cost per unit | Unrealized gain/loss | Current yield | Estimated annual income | Accrued income |
|---|---|---|---|---|---|---|---|---|
| BANK OF AMERICA CORP (BAC) | 27,134 13<br>1,750 | 11,952 50<br>6 8300 | 0 14 % | 30,482 20<br>17 42 | - 18,529 70 | 0.59 % | 70 00 | |
| BORG WARNER INC (BWA) | <br>410 | 31,360 90<br>76 4900 | 0 37 % | 31,138 23<br>75 95 | 222 67 | 0 63 % | 196 80 | |
| BRISTOL MYERS SQUIBB CO (BMY) | <br>880 | 27,799 20<br>31 5900 | 0 33 % | 28,758 40<br>32 68 | - 959 20 | 4 18 % | 1,161 60 | 290 40 |
| CAPITAL ONE FINANCIAL CORP (COF) | 37,270 00<br>740 | 33,788 40<br>45 6600 | 0 40 % | 33,249 78<br>44 93 | 538 62 | 0 44 % | 148 00 | |
| CELANESE CORP-SERIES A (CE) | 42,067 00<br>660 | 28,743 00<br>43 5500 | 0 34 % | 17,916 93<br>27 15 | 10,826 07 | 0 56 % | 158 40 | 39 60 |
| CHEVRON CORPORATION (CVX) | 85,078 00<br>760 | 79,838 00<br>105 0500 | 0 93 % | 54,758 58<br>72 05 | 25,079 42 | 3 09 % | 2,462 40 | |
| CHUBB CORP (CB) | 34,231 80<br>560 | 37,548 00<br>67 0500 | 0 44 % | 27,154 40<br>48 49 | 10,393 60 | 2 33 % | 873 60 | |
| CITIGROUP INC (C) | <br>770 | 24,324 30<br>31 5900 | 0 29 % | 32,838 51<br>42 65 | - 8,514 21 | 0 13 % | 30 80 | |
| COCA COLA CO (KO) | 34,339 20<br>530 | 36,209 60<br>68 3200 | 0 42 % | 29,722 40<br>56 08 | 6,487 20 | 2 76 % | 996 40 | |
| COMCAST CORPORATION CL A (CMCSA) | <br>1,430 | 33,533 50<br>23 4500 | 0 39 % | 33,605.00<br>23 50 | - 71 50 | 1 92 % | 643 50 | |
| CUMMINS INC (CMI) | 30,835 00<br>260 | 25,851 80<br>99 4300 | 0 30 % | 18,142 80<br>69 78 | 7,709 00 | 1 61 % | 416 00 | |
| DOLLAR TREE INC (DLTR) | 40,021 80<br>570 | 45,577 20<br>79 9600 | 0 53 % | 17,259 60<br>30 28 | 28,317 60 | | | |
| DOVER CORP (DOV) | 44,073 00<br>620 | 34,428 60<br>55 5300 | 0 40 % | 28,989 61<br>46 76 | 5,438 99 | 2 27 % | 781 20 | |
| DUPONT E I DE NEMOURS & CO (DD) | 32,150 40<br>650 | 31,245 50<br>48 0700 | 0 37 % | 26,288 86<br>40 44 | 4,956 64 | 3 42 % | 1,066 00 | |
| EMC CORP (EMC) | 45,613 40<br>1,590 | 38,970 90<br>24 5100 | 0 46 % | 27,852 50<br>17 52 | 11,118 40 | | | |
| EQT CORPORATION (EQT) | <br>650 | 41,275 00<br>63 5000 | 0 48 % | 39,384 94<br>60 59 | 1,890 06 | 1 39 % | 572 00 | |

*Detail*

# Equities

## Stocks

| Description (Symbol) | Market value last period / Quantity | Current market value / Current price per unit | % of total portfolio | Total tax cost / Avg tax cost per unit | Unrealized gain/loss | Current yield | Estimated annual income | Accrued income |
|---|---|---|---|---|---|---|---|---|
| EATON CORP (ETN) | | 28,684 80 | 0 34 % | 31,913 44 | - 3,228 64 | 3 04 % | 870 40 | |
| | 640 | 44 8200 | | 49 87 | | | | |
| EBAY INC (EBAY) | | 25,464 00 | 0 30 % | 27,776 72 | - 2,312 72 | | | |
| | 800 | 31 8300 | | 34 72 | | | | |
| EQUITY RESIDENTIAL (EQR) | 29,178 00 | 26,406 00 | 0 31 % | 20,560 10 | 5,845 90 | 2 31 % | 607 50 | |
| SH BEN INT REIT | 450 | 58.6800 | | 45 69 | | | | |
| EXXON MOBIL CORP (XOM) | 81,782 70 | 86,679 90 | 1 01 % | 30,616 27 | 56,063 63 | 2 41 % | 2,086 80 | |
| | 1,110 | 78 0900 | | 27 58 | | | | |
| GENERAL ELECTRIC CO (GE) | 40,690 80 | 31,414 80 | 0 37 % | 34,767 18 | - 3,352 38 | 3 60 % | 1,128 00 | |
| | 1,880 | 16 7100 | | 18 49 | | | | |
| GOOGLE INC-CL A (GOOG) | 73,644 00 | 53,337 60 | 0 62 % | 39,105 05 | 14,232 55 | | | |
| | 90 | 592 6400 | | 434 50 | | | | |
| THE HERSHEY COMPANY (HSY) | 50,974 70 | 43,494.80 | 0 51 % | 36,011 12 | 7,483 68 | 2 42 % | 1,048 80 | |
| | 760 | 57 2300 | | 47 38 | | | | |
| INTEL CORP (INTC) | 32,481 00 | 40,736.40 | 0.48 % | 34,110 84 | 6,625.56 | 3 43 % | 1,394 40 | |
| | 1,660 | 24 5400 | | 20 55 | | | | |
| INTERNATIONAL BUSINESS MACHS (IBM) | 61,748 00 | 59,081 60 | 0 69 % | 37,939 20 | 21,142 40 | 1 63 % | 960 00 | |
| CORP | 320 | 184 6300 | | 118 56 | | | | |
| JPMORGAN CHASE & CO (JPM) | 71,873.30 | 48,316 40 | 0 56 % | 56,156 00 | - 7,839 60 | 2 88 % | 1,390 00 | |
| | 1,390 | 34 7600 | | 40 40 | | | | |
| JOHNSON & JOHNSON (JNJ) | 38,881 40 | 59,882 70 | 0 70 % | 59,088 30 | 794.40 | 3.55 % | 2,120 40 | |
| | 930 | 64 3900 | | 63 54 | | | | |
| JOY GLOBAL INC (JOYG) | | 27,904 00 | 0 33 % | 31,292 80 | - 3,388 80 | 0 81 % | 224 00 | |
| | 320 | 87 2000 | | 97 79 | | | | |
| KRAFT FOODS INC - A (KFT) | | 23,570 60 | 0 28 % | 22,632 53 | 938 07 | 3 30 % | 777 20 | |
| SEDOL 2764296 ISIN US50075N1046 | 670 | 35 1800 | | 33 78 | | | | |
| LAUDER ESTEE COS INC (EL) | | 38,395 50 | 0 45 % | 37,196 01 | 1,199 49 | 0 77 % | 292 50 | |
| CL A | 390 | 98.4500 | | 95 37 | | | | |
| LIMITED BRANDS INC (LTD) | 44,378 90 | 30,324 10 | 0 36 % | 17,203 30 | 13,120 80 | 1 88 % | 568 00 | |
| | 710 | 42 7100 | | 24 23 | | | | |
| MACY'S INC (M) | | 43,352 60 | 0 51 % | 39,987 20 | 3,365 40 | 1 32 % | 568 00 | |
| | 1,420 | 30 5300 | | 28 16 | | | | |

*Detail*

# Equities
## Stocks

| Description (Symbol) | Market value last period / Quantity | Current market value / Current price per unit | % of total portfolio | Total tax cost / Avg tax cost per unit | Unrealized gain/loss | Current yield | Estimated annual income | Accrued income |
|---|---|---|---|---|---|---|---|---|
| MATTEL INC (MAT) | 41,294 10<br>1,320 | 37,276 80<br>28 2400 | 0 44 % | 27,522 00<br>20 85 | 9,754 80 | 3 26 % | 1,214 40 | |
| MC DONALDS CORP (MCD) | <br>390 | 36,211 50<br>92.8500 | 0 42 % | 33,068 06<br>84 79 | 3,143 44 | 3 02 % | 1,092 00 | |
| MERCK & CO INC (MRK) | 26,506 30<br>530 | 18,285 00<br>34 5000 | 0 22 % | 19,047 67<br>35 94 | - 762 67 | 4 41 % | 805 60 | |
| MICROSOFT CORP (MSFT) | 74,662 00<br>2,040 | 54,325 20<br>26 6300 | 0 63 % | 15,778 12<br>7 73 | 38,547.08 | 3 01 % | 1,632 00 | |
| MOODY'S CORP (MCO) | <br>640 | 22,713 60<br>35 4900 | 0 27 % | 24,959 81<br>39 00 | - 2,246 21 | 1 58 % | 358 40 | |
| NATIONAL OILWELL VARCO INC (NOV) | 69,888 00<br>450 | 32,098 50<br>71 3300 | 0 38 % | 18,806 40<br>41 79 | 13,292 10 | 0 62 % | 198 00 | |
| NORTHEAST UTILITIES (NU) | <br>710 | 24,544 70<br>34 5700 | 0 29 % | 23,230 98<br>32 72 | 1,313 72 | 3 19 % | 781 00 | |
| OGE ENERGY CORP (OGE) | <br>480 | 24,835 20<br>51 7400 | 0 29 % | 22,200 91<br>46 25 | 2,634 29 | 2 90 % | 720 00 | |
| ORACLE CORP (ORCL) | 65,223 60<br>1,630 | 53,415 10<br>32 7700 | 0 62 % | 19,100 84<br>11 72 | 34,314 26 | 0 74 % | 391 20 | 97 80 |
| PPG INDUSTRIES INC (PPG) | 33,748 00<br>320 | 27,651 20<br>86 4100 | 0 32 % | 21,855 52<br>68 30 | 5,795 68 | 2 64 % | 729 60 | |
| PFIZER INC (PFE) | 62,868 15<br>2,310 | 44,490 60<br>19 2600 | 0 52 % | 35,589 90<br>15 41 | 8,900 70 | 4 16 % | 1,848 00 | |
| PROCTER & GAMBLE CO (PG) | 66,748 50<br>770 | 49,272 30<br>63.9900 | 0 57 % | 13,457 26<br>17 48 | 35,815 04 | 3 29 % | 1,617 00 | 404 25 |
| QUALCOMM INC (QCOM) | <br>790 | 40,764 00<br>51 6000 | 0 48 % | 41,967 00<br>53 12 | - 1,203 00 | 1 67 % | 679 40 | |
| SHIRE PLC (SHPGY)<br>SPONSORED ADR | 32,947 00<br>250 | 23,575 00<br>94 3000 | 0 28 % | 16,407.50<br>65 63 | 7,167 50 | 0 43 % | 100 00 | |
| JM SMUCKER CO/THE-NEW COM WI (SJM) | 41,139 20<br>460 | 35,429 20<br>77 0200 | 0 41 % | 27,827 39<br>60 49 | 7,601 81 | 2 50 % | 883 20 | |
| TARGET CORP (TGT) | <br>640 | 35,040 00<br>54 7500 | 0 41 % | 33,855 36<br>52 90 | 1,184 64 | 2 20 % | 768 00 | |

*Detail*

# Equities
## Stocks

| Description (Symbol) | Market value last period / Quantity | Current market value / Current price per unit | % of total portfolio | Total tax cost / Avg tax cost per unit | Unrealized gain/loss | Current yield | Estimated annual income | Accrued income |
|---|---|---|---|---|---|---|---|---|
| TIFFANY & CO NEW (TIF) | <br>390 | 31,094 70<br>79 7300 | 0 36 % | 23,064 60<br>59 14 | 8,030 10 | 1 46 % | 452 40 | |
| UNION PACIFIC CORP (UNP) | 45,593 60<br>380 | 37,836 60<br>99 5700 | 0 44 % | 28,461 43<br>74 90 | 9,375 17 | 1 91 % | 722 00 | |
| UNITED TECHNOLOGIES CORP (UTX) | 47,105 10<br>460 | 35,870 80<br>77 9800 | 0 42 % | 25,249 40<br>54 89 | 10,621 40 | 2 47 % | 883 20 | |
| UNITEDHEALTH GROUP INC (UNH) | 43,981 00<br>670 | 32,153 30<br>47 9900 | 0.38 % | 17,982 80<br>26 84 | 14,170 50 | 1 36 % | 435 50 | |
| VODAFONE GROUP PLC (VOD) SPONSORED ADR NEW | <br>1,190 | 33,129 60<br>27 8400 | 0 39 % | 31,213.70<br>26 23 | 1,915 90 | 5 08 % | 1,681 47 | |
| WAL-MART STORES INC (WMT) | 47,669 60<br>640 | 36,300 80<br>56 7200 | 0 42 % | 37,031 93<br>57 86 | - 731 13 | 2 58 % | 934 40 | |
| WELLS FARGO & COMPANY (WFC) | 48,992 80<br>1,370 | 35,496 70<br>25 9100 | 0 42 % | 37,894 61<br>27 66 | - 2,397 91 | 1 86 % | 657 60 | |
| WHOLE FOODS MKT INC (WFM) | <br>480 | 34,617 60<br>72 1200 | 0 41 % | 30,269 37<br>63 06 | 4,348 23 | 0 56 % | 192 00 | |
| WISCONSIN ENERGY CORP (WEC) | 51,204 40<br>1,260 | 40,861 80<br>32.4300 | 0 48 % | 27,474 30<br>21 81 | 13,387 50 | 3 21 % | 1,310 40 | |
| **Total stocks** | | **$2,462,247.80** | **28.47 %** | **$1,939,543.75** | **$522,704.05** | **1.99 %** | **$48,899.47** | **$1,510.65** |

## Etf - equity

| Description (Symbol) | Market value last period / Quantity | Current market value / Current price per unit | % of total portfolio | Total tax cost / Avg tax cost per unit | Unrealized gain/loss | Current yield | Estimated annual income | Accrued income |
|---|---|---|---|---|---|---|---|---|
| ISHARES RUSSELL MIDCAP (IWR) INDEX FD ETF | $416,422 59<br>4,439 | $442,035 62<br>$99 5800 | 5 12 % | $262,300 51<br>$59 09 | $179,735 11 | 1 53 % | $6,747 28 | |
| ISHARES TR RUSSELL 2000 INDEX FD (IWM) ETF | 198,035 10<br>2,817 | 208,486 17<br>74 0100 | 2.42 % | 165,788 76<br>58 85 | 42,697 41 | 1 39 % | 2,895 88 | |

*Detail*

## Etf - equity

| Description (Symbol) | Market value last period / Quantity | Current market value / Current price per unit | % of total portfolio | Total tax cost / Avg tax cost per unit | Unrealized gain/loss | Current yield | Estimated annual income | Accrued income |
|---|---|---|---|---|---|---|---|---|
| VANGUARD MSCI EMERGING MARKETS (VWO) ETF | 70,820 57<br>2,511 | 104,281 83<br>41 5300 | 1 21 % | 85,263 85<br>33 96 | 19,017 98 | 1 97 % | 2,046 47 | |
| **Total etf - equity** | | **$754,803.62** | **8.73 %** | **$513,353.12** | **$241,450.50** | **1.55 %** | **$11,689.63** | |

## Mutual funds - equity

| Description (Symbol) | Market value last period / Quantity | Current market value / Current price per unit | % of total portfolio | Total tax cost / Avg tax cost per unit | Unrealized gain/loss | Current yield | Estimated annual income | Accrued income |
|---|---|---|---|---|---|---|---|---|
| DODGE & COX INTERNATIONAL (DODFX) STOCK FUND | $192,790 59<br>6,173 064 | $196,303 44<br>$31 8000 | 2 27 % | $181,000.00<br>$29 32 | $15,303.44 | 1 56 % | $3,055 67 | |
| FEDERATED STRATEGIC VALUE (SVAIX) DIVIDEND FD CLASS IS FUND #662 | 75,866 05<br>68,262 456 | 320,833 54<br>4 7000 | 3 71 % | 300,000 00<br>4 40 | 20,833 54 | 3 71 % | 11,877 67 | |
| HARBOR INTERNATIONAL FUND (HAINX) CLASS INS | 479,131 57<br>9,644 730 | 541,937 38<br>56 1900 | 6.27 % | 468,314 36<br>48 56 | 73,623 02 | 1 56 % | 8,410 20 | |
| HARBOR FD (HACAX) CAP APPRECIATION FD FD #12 | 394,651 19<br>11,363 409 | 435,673.10<br>38.3400 | 5 04 % | 350,000 00<br>30 80 | 85,673 10 | 0 21 % | 874 98 | |
| HARDING LOEVNEREMERG MKTS (HLEMX) | 78,053 90<br>2,849 952 | 128,732 33<br>45 1700 | 1 49 % | 135,000 00<br>47 37 | - 6,267 67 | 0 45 % | 575 69 | |
| IVY ASSET STRATEGY FUND (IVAEX) CL I | 3,838 772 | 93,512 49<br>24 3600 | 1 09 % | 100,000 00<br>26 05 | - 6,487 51 | 0 26 % | 238 00 | |
| MFS VALUE FUND CLASS I (MEIIX) | 376,001.20<br>19,445 034 | 438,679 97<br>22 5600 | 5 08 % | 400,000 00<br>20 57 | 38,679 97 | 1 79 % | 7,816 90 | |
| T ROWE PRICE REAL ESTATE FUND (TRREX) FD #122 | 2,718 869 | 50,353 45<br>18 5200 | 0 59 % | 50,000 00<br>18 39 | 353 45 | 2 16 % | 1,087 55 | |
| **Total mutual funds - equity** | | **$2,206,025.70** | **25.51 %** | **$1,984,314.36** | **$221,711.34** | **1.54 %** | **$33,936.66** | |
| **Total equities** | | **$5,423,077.12** | **62.70 %** | **$4,437,211.23** | **$985,865.89** | **1.74 %** | **$94,525.76** | **$1,510.65** |

*Detail*

## Alternative investments

### Mutual funds - alternative invest

| Description (Symbol) | Market value last period<br>Quantity | Current market value<br>Current price per unit | % of total portfolio | Total tax cost<br>Avg tax cost per unit | Unrealized gain/loss | Current yield | Estimated annual income | Accrued income |
|---|---|---|---|---|---|---|---|---|
| IVY GLBL NATURAL RESOURCES FD (IGNYX) CL Y FUND #722 | 7,470 696 | $137,012 56<br>$18 3400 | 1 59 % | $155,800 00<br>$20 86 | - $18,787 44 | 0.12 % | $150 91 | |
| PIMCO COMMODITY REAL RETURN (PCRIX) STRATEGY FUND INSTITUTIONAL CL | 16,137 634 | 129,262 45<br>8 0100 | 1 50 % | 132,000 00<br>8 18 | - 2,737 55 | 16.91 % | 21,850.36 | |
| **Total mutual funds - alternative invest** | | **$266,275.01** | **3.08 %** | **$287,800.00** | **- $21,524.99** | **8.26 %** | **$22,001.27** | |
| **Total alternative investments** | | **$266,275.01** | **3.08 %** | **$287,800.00** | **- $21,524.99** | **8.26 %** | **$22,001.27** | |
| **Total portfolio** | | **$8,649,341.28** | **100.00 %** | **$7,612,762.30** | **$1,036,578.98** | **2.68 %** | **$231,598.77** | **$11,124.67** |