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# Form 990-PF

#### Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2010

Department of the Treasury Internal Revenue Service Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements 2011 For calendar year 2010, or tax year beginning 10/01 9/30 , 2010, and ending Initial Return of a former public charity Final return G Check all that apply Initial return Amended return Address change Name change Employer identification number THE AUFMUTH FAMILY FOUNDATION 94-2856207 375 FOREST AVENUE Telephone number (see the instructions) PALO ALTO, CA 94301 (650) 853-9400 If exemption application is pending, check here D 1 Foreign organizations, check here X Section 501(c)(3) exempt private foundation 2 Foreign organizations meeting the 85% test, check н Check type of organization: here and attach computation Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation If private foundation status was terminated Fair market value of all assets at end of year J Accounting method X Cash under section 507(b)(1)(A), check here. (from Part II, column (c), line 16) Other (specify) If the foundation is in a 60-month termination (Part I, column (d) must be on cash basis) under section 507(b)(1)(B), check here 234,774 Part I Analysis of Revenue and (a) Revenue and (b) Net investment (c) Adjusted net (d) Disbursements Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) expenses per books income ıncome for charitable purposes (cash basis only) (see the instructions)) Contributions, gifts, grants, etc. received (att sch) 45,000 If the foundn is not reg to att Sch B Interest on savings and temporary 62 N/A cash investments 139 139 Dividends and interest from securities 4 -- 4 -- 4 --5a Gross rents b Net rental income or (loss) 847 6a Net gain/(loss) from sale of assets not on line 10 b Gross sales price for all assets on line 6a Capital gain net income (from Part IV, line 2) 847 Net short-term capital gain Income modifications 10 a Gross sales less returns and allowances 6.5 b Less Cost of goods sold. 1814 , . v . . 42 158 c Gross profit/(loss) (att sch) 11 Other income (attach schedule) 49,048 12 Total. Add lines 1 through 11 4.048 Ō. 13 Compensation of officers, directors, trustees, etc. 14 Other employee salaries and wages **©** 15 Pension plans, employee benefits 16 a Legal fees (attach schedule) b Accounting (225 (attach sch)
Community (attach sch)
Interest SEE ST 1 1,495 1,495 Ņ Š PERATING 18 Taxes (attach schedule Kies Instriction Cattach Sch) and depletion. 20 SEE STM 2 20 Oecupancy UT 20 Can Edwire Honces, and meetings Printing and publications 23 Other expenses (attach schedule) SEE STATEMENT 30. 30 Total operating and administrative expenses. Add lines 13 through 23 1,525 ,545 Contributions, gifts, grants paid PART XV 15,290 15,290 Total expenses and disbursements. 0 16,815 Add lines 24 and 25 16,835 Subtract line 26 from line 12: a Excess of revenue over expenses 32,213 and disbursements 4,048 b Net investment income (if negative, enter -0-). C Adjusted net income (if negative, enter -0-) Form 990-PF (2010)

Dar	F 11	Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End o	of year
Ган		(See instructions )	(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	Cash — non-interest-bearing			
	2	Savings and temporary cash investments	37,588.	39,773.	39,773.
	3	Accounts receivable	,		
		Less. allowance for doubtful accounts ▶			
	4	Pledges receivable	-	4	
	1	Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions).			
_	7	Other notes and loans receivable (attach sch)		<u> </u>	
Ą		Less: allowance for doubtful accounts ▶			
A S S E T	8	Inventories for sale or use			
Ę	9	Prepaid expenses and deferred charges			
Ś	10 a	Investments – U.S. and state government obligations (attach schedule) STATEMENT 4	19,807.	19,807.	21,478.
	1	Investments — corporate stock (attach schedule) STATEMENT 5: Investments — corporate bonds (attach schedule)	80,246.	99,220.	68,006.
	1	Investments — land, buildings, and equipment basis	, ,		
		Less: accumulated depreciation (attach schedule)			
	ŧ	Investments – mortgage loans .			
	13	Investments – other (attach schedule) STATEMENT 6	72,106.	83,160.	105,517.
	14	Land, buildings, and equipment basis.	`		
		Less: accumulated depreciation (attach schedule)			
		Other assets (describe \( \bigcup \) <b>Total assets</b> (to be completed by all filers — see instructions. Also, see page 1, item I)	209,747.	241,960.	234,774.
Ļ	17	Accounts payable and accrued expenses			
Å	18	Grants payable			
Ŗ	19	Deferred revenue			
Ļ	20	Loans from officers, directors, trustees, & other disqualified persons			
1	21	Mortgages and other notes payable (attach schedule)			
-	22	Other liabilities (describe			"一个大学"。
E S	23	Total liabilities (add lines 17 through 22)	0.	0.	
	23	Foundations that follow SFAS 117, check here	0.	<u> </u>	
N E	~	and complete lines 24 through 26 and lines 30 and 31.			
N F E U T N	24 25	Unrestricted Temporarily restricted		<del></del>	
T N	26	Permanently restricted			
	20	Foundations that do not follow SFAS 117, check here			The state of the state of
A B A L A N		and complete lines 27 through 31.			
TASN	27	Capital stock, trust principal, or current funds			1
SNC	28	Paid-in or capital surplus, or land, building, and equipment fund			4
0 E	29	Retained earnings, accumulated income, endowment, or other funds	209,747.	241,960.	
RS	30 31	Total net assets or fund balances (see the instructions)  Total liabilities and net assets/fund balances	209,747.	241,960.	
Parl		(see the instructions)  Analysis of Changes in Net Assets or Fund Balance	209,747.	241,960.	
			·		<u> </u>
	end-	net assets or fund balances at beginning of year — Part II, colupf-year figure reported on prior year's return)	mn (a), line 30 (must a	1	209,747.
		r amount from Part I, line 27a		2	32,213.
		increases not included in line 2 (itemize)		3	241 000
		lines 1, 2, and 3		4	241,960.
		net assets or fund balances at end of year (line 4 minus line 5)	- Part II. solumn (b) 1		241,960.
0	ioldi	not assets or rung balances at end of year (line 4 minus line 5)	— ι αιτιί, υνιμίτιτι (D), Ι	⊪ ⊫ ⊃u   <b>0</b>	ı ∠ <del>4</del> ⊥,70U.

	osses for Tax on Investment	Income				
2-story brick warehous	the kind(s) of property sold (e g , rese, or common stock, 200 shares MLC	al estate,	<b>b)</b> How acqui P — Purchas D — Donatio	e (n	Date acquired nonth, day, year)	(d) Date sold (month, day, year)
1a 500 BP PLC			P		6/01/10	12/02/10
<b>b</b> 4,036.340 ROWET PRICE			P		VARIOUS	12/02/10
c KINDER MORGAN MGMT	LLC - CASH IN LIEU		P		VARIOUS	VARIOUS
d				-		
e (a) Creas soles price	(A Depression allowed	(a) Cost or other boson			(h) Coup or	(loss)
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	`		(h) Gain or (e) plus (f) m	
a 20,676.		19,	,044.			1,632.
b 40,121.			,937.			-816.
c 31.						31.
d						
е						
	ng gain in column (h) and owned by				(1) Gains (Colu	
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i over column (j), if any			i minus column (k -0-) <mark>or</mark> Losses (fr	
a						1,632.
b						-816.
С						31.
d						
e		14.4		<del></del>		
2 Capital gain net income or (net	capital loss) If gain, also e	enter in Part I, line 7 er -0- in Part I, line 7		2		847.
3 Net short-term capital gain or (I	loss) as defined in sections 1222(5) a	and (6):				
	8, column (c) (see the instructions).	If (loss), enter -0-				
in Part I, line 8	Section 4940(e) for Reduced	<u></u>	<u></u>	3		847.
	e foundations subject to the section 49 nis part blank.				·	
section 4940(d)(2) applies, leave the foundation liable for the sect	•	940(a) tax on net investment ount of any year in the base	t income )		Yes	X No
section 4940(d)(2) applies, leave the foundation liable for the sect 'Yes,' the foundation does not quality.	nis part blank. tion 4942 tax on the distributable amo	940(a) tax on net investment ount of any year in the base nplete this part.	t income ) period?		Yes	X No
section 4940(d)(2) applies, leave the as the foundation liable for the sect 'Yes,' the foundation does not quali	nis part blank. tion 4942 tax on the distributable amount ify under section 4940(e). Do not con	940(a) tax on net investment ount of any year in the base nplete this part.	t income ) period? any entrie	 S	(d) Distribution	n ratio
section 4940(d)(2) applies, leave the section 4940(d)(2) applies, leave the section of the secti	nis part blank.  tion 4942 tax on the distributable amounts if y under section 4940(e). Do not connect the column for each year, see the column for each year, see the hadjusted qualifying distributions.	ount of any year in the base nplete this part.  instructions before making (c)  Net value of noncharitable-use asse	period?  any entrie	 S	(d) Distribution	ı ratıo by column (c))
section 4940(d)(2) applies, leave the section 4940(d)(2) applies, leave the section that it is the foundation does not qualify the foundation does not qualify the section of the section	nis part blank.  tion 4942 tax on the distributable amount of the properties of the distributable amount on the distributable amount of the properties of the distributions are	ount of any year in the base nplete this part.  Instructions before making (c)  Net value of noncharitable-use asse	t income ) period? any entrie	 S	(d) Distribution	ratio by column (c))
section 4940(d)(2) applies, leave the section 4940(d)(2) applies, leave the section as the foundation liable for the section Yes,' the foundation does not qualify a leave the appropriate amount in (a)  Base period years Calendar year (or tax year beginning in)  2009	nis part blank.  tion 4942 tax on the distributable amounts if y under section 4940(e). Do not connect the column for each year, see the column for each year, see the hadjusted qualifying distributions.	ount of any year in the base instructions before making (c)  Net value of noncharitable-use asse	period? any entrie	 S	(d) Distribution	n ratio by column (c)) 0 . 12761 0 . 07072
section 4940(d)(2) applies, leave the section 4940(d)(2) applies, leave the section as the foundation liable for the section Yes, the foundation does not qualify a leave the appropriate amount in (a)  Base period years Calendar year (or tax year beginning in)  2009 2008	nis part blank.  tion 4942 tax on the distributable among under section 4940(e). Do not come each column for each year, see the (b)  Adjusted qualifying distributions  26,399.  14,725.	ount of any year in the base inplete this part. Instructions before making (c) Net value of noncharitable-use asse	period? any entrie	 S	(d) Distribution	0.12761 0.070720
section 4940(d)(2) applies, leave the section 4940(d)(2) applies, leave the section as the foundation liable for the section (Yes,' the foundation does not qualify a leave the appropriate amount in (a)  Base period years Calendar year (or tax year beginning in)  2009  2008  2007	nis part blank.  tion 4942 tax on the distributable among under section 4940(e). Do not come each column for each year, see the (b)  Adjusted qualifying distributions  26,399.  14,725.  16,035.	ount of any year in the base inplete this part.  Instructions before making (c)  Net value of noncharitable-use asse  206, 208, 300, 271,	period? any entrie ets ( , 861. , 216. , 425.	 S	(d) Distribution	0.12761 0.070720 0.053374 0.06382
section 4940(d)(2) applies, leave the section 4940(d)(2) applies, leave the section as the foundation liable for the section Yes,' the foundation does not qualifulate the section of the	nis part blank.  tion 4942 tax on the distributable among under section 4940(e). Do not come each column for each year, see the (b)  Adjusted qualifying distributions  26,399.  14,725.  16,035.  17,348.	ount of any year in the base inplete this part.  Instructions before making (c)  Net value of noncharitable-use asse  206, 208, 300, 271,	period? any entrie ets ( , 861. , 216. , 425. , 798.	colum	(d) Distribution	0.12761 0.070720 0.053374 0.06382 0.056463
section 4940(d)(2) applies, leave the sections as the foundation liable for the sections. The foundation does not qualify the foundation does not qualify the section of the sections of the section of t	nis part blank.  tion 4942 tax on the distributable among under section 4940(e). Do not come each column for each year, see the (b)  Adjusted qualifying distributions  26,399.  14,725.  16,035.  17,348.  13,352.	ount of any year in the base inplete this part. Instructions before making (c) Net value of noncharitable-use asse 206, 208, 300, 271, 236, all on line 2 by 5, or by the	period? any entrie ets ( , 861. , 216. , 425. , 798.	s colum	(d) Distribution	0.12761 0.070720 0.053374 0.06382 0.056463
section 4940(d)(2) applies, leave the sections as the foundation liable for the sections. The foundation does not qualify the foundation does not qualify the section of th	nis part blank.  tion 4942 tax on the distributable among under section 4940(e). Do not come each column for each year, see the (b)  Adjusted qualifying distributions  26, 399.  14, 725.  16, 035.  17, 348.  13, 352.	ount of any year in the base inplete this part. Instructions before making (c) Net value of noncharitable-use asse 206, 208, 300, 271, 236, all on line 2 by 5, or by the	period? any entrie ets ( , 861. , 216. , 425. , 798.	colum	(d) Distribution	0.12761 0.070720 0.053374 0.06382 0.056463
section 4940(d)(2) applies, leave the as the foundation liable for the secting as the foundation does not qualified.  1 Enter the appropriate amount in the secting and section (a)  Base period years Calendar year (or tax year beginning in)  2009  2008  2007  2006  2005  2 Total of line 1, column (d)  3 Average distribution ratio for the number of years the foundation	nis part blank.  tion 4942 tax on the distributable among under section 4940(e). Do not come each column for each year, see the (b)  Adjusted qualifying distributions  26,399.  14,725.  16,035.  17,348.  13,352.	ount of any year in the base inplete this part.  Instructions before making (c)  Net value of noncharitable-use asse 206, 208, 300, 271, 236, all on line 2 by 5, or by the years	period? any entrie ets ( , 861. , 216. , 425. , 798.	s colum	(d) Distribution	0.12761 0.070720 0.053374 0.06382 0.056463 0.371999
section 4940(d)(2) applies, leave the as the foundation liable for the section 4940(d)(2) applies, leave the as the foundation does not qualified the appropriate amount in the section of	his part blank.  Ition 4942 tax on the distributable among under section 4940(e). Do not come each column for each year, see the (b)  Adjusted qualifying distributions.  26, 399.  14, 725.  16, 035.  17, 348.  13, 352.  The 5-year base period — divide the total has been in existence if less than 5	ount of any year in the base inplete this part.  Instructions before making (c)  Net value of noncharitable-use asse 206, 208, 300, 271, 236, all on line 2 by 5, or by the years	period? any entrie ets ( , 861. , 216. , 425. , 798.	colum 2	(d) Distribution	0.12761 0.070720 0.053374 0.06382 0.056463 0.371999 0.074400
section 4940(d)(2) applies, leave the as the foundation liable for the sect 'Yes,' the foundation does not qualiful Enter the appropriate amount in Base period years Calendar year (or tax year beginning in)  2009 2008 2007 2006 2005  2 Total of line 1, column (d)  3 Average distribution ratio for the number of years the foundation  4 Enter the net value of noncharit	Adjusted qualifying distributions  26,399.  14,725.  16,035.  17,348.  13,352.  e 5-year base period — divide the total has been in existence if less than 5 table-use assets for 2010 from Part	ount of any year in the base inplete this part.  Instructions before making (c)  Net value of noncharitable-use asse 206, 208, 300, 271, 236, all on line 2 by 5, or by the years	period? any entrie ets ( , 861. , 216. , 425. , 798.	colum 2 3	(d) Distribution	0.12761 0.070720 0.05337 0.06382 0.056463 0.371999 0.074400 230,485
section 4940(d)(2) applies, leave the fast the foundation liable for the secting as the foundation does not qualified.  1 Enter the appropriate amount in the secting area (a)  Base period years Calendar year (or tax year beginning in)  2009 2008 2007 2006 2005  2 Total of line 1, column (d)  3 Average distribution ratio for the number of years the foundation  4 Enter the net value of noncharities  5 Multiply line 4 by line 3  6 Enter 1% of net investment income	Adjusted qualifying distributions  26,399.  14,725.  16,035.  17,348.  13,352.  e 5-year base period — divide the total has been in existence if less than 5 table-use assets for 2010 from Part	ount of any year in the base inplete this part.  Instructions before making (c)  Net value of noncharitable-use asse 206, 208, 300, 271, 236, all on line 2 by 5, or by the years	period? any entrie ets ( , 861. , 216. , 425. , 798.	2 3 4 5	(d) Distribution	0.127617 0.070720 0.053374 0.063827 0.056461 0.371999 0.074400 230,485
section 4940(d)(2) applies, leave the fast the foundation liable for the secting as the foundation does not qualified.  Enter the appropriate amount in Base period years Calendar year (or tax year beginning in)  2009 2008 2007 2006 2005  Total of line 1, column (d)  Average distribution ratio for the number of years the foundation  Enter the net value of noncharified.	nis part blank.  Ition 4942 tax on the distributable among under section 4940(e). Do not come each column for each year, see the (b)  Adjusted qualifying distributions.  26, 399.  14, 725.  16, 035.  17, 348.  13, 352.  The 5-year base period — divide the total has been in existence if less than 5 table-use assets for 2010 from Part 2 table-use assets for 2010 from Part 2	ount of any year in the base inplete this part.  Instructions before making (c)  Net value of noncharitable-use asse 206, 208, 300, 271, 236, all on line 2 by 5, or by the years	period? any entrie ets ( , 861. , 216. , 425. , 798.	2 3 4 5	(d) Distribution	

<u>Form</u>	990-PF (2010) THE AUFMUTH FAMILY FOUNDATION 94-	28562	07	F	Page 4
Part		the inst	tructions	s)	
1 a	Exempt operating foundations described in section 4940(d)(2), check here and enter 'N/A' on line 1.				
	Date of ruling or determination letter (attach copy of letter if necessary — see instr.)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V,	1			81.
	check here ▶ ☐ and enter 1% of Part I, line 27b			- ,5.	100
С	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b).		7.32		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable	<u></u>		<del></del>	المتعمد
_	foundations only Others enter -0-)	2			0.
3	Add lines 1 and 2	3			81.
	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4			<u>0.</u>
	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	<del>,</del>	<del></del>	81.
	Credits/Payments.		,	, .	1
	2010 estimated tax pmts and 2009 overpayment credited to 2010	-			
	Exempt foreign organizations — tax withheld at source 6b	-			
	Tax paid with application for extension of time to file (Form 8868).	-			14.6-
	Backup withholding erroneously withheld 6d	┥ <u></u> ┡			125-27
	Total credits and payments Add lines 6a through 6d	7	<del></del>		0.
	Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached	8			81.
	Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9			01.
	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.  Finter the amount of line 10 to be: Credited to 2011 estimated tax  Refunded.	10			
		113 1			
	VII-A Statements Regarding Activities			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		1	a	X
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?		1	Ь	x
	If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials p or distributed by the foundation in connection with the activities	ublished	3: et	Jan . 27	77.14
	Did the foundation file Form 1120-POL for this year?		1	C	X
ď	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:		- 1	1.0	1 2 4 150
	(1) On the foundation $\Rightarrow$ \$ 0. (2) On foundation managers $\Rightarrow$ \$		<u> </u>	_   :	42.24
е	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed foundation managers. > \$ 0.	on	-	8.4	[ 4 -]
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?		2	, -	X
2	If 'Yes,' attach a detailed description of the activities.		<u> </u>		-4,-
				-	
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, article of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes	es	3	,	X
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?		4	la	X
	If 'Yes,' has it filed a tax return on Form 990-T for this year?		4	b N	I/A
	Was there a liquidation, termination, dissolution, or substantial contraction during the year?		5	,	X
_	If 'Yes,' attach the statement required by General Instruction T.		i.e.	Т,	
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:		. :	1	133
	By language in the governing instrument, or		\[\frac{1}{2}\]	- F.	15.
	By state legislation that effectively amends the governing instrument so that no mandatory directions that	conflict			التعتقا
	with the state law remain in the governing instrument?	501111100	6		
7	Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV		7	7 X	<u> </u>
8 a	Enter the states to which the foundation reports or with which it is registered (see the instructions)  CA		-   '	+	; ; ; ; ; ; ; ; ; ; ; ; ; ; ; ; ; ; ;
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General				لـــــــــــــــــــــــــــــــــــــ
	(or designate) of each state as required by General Instruction G <sup>2</sup> If 'No,' attach explanation		<u>8</u>	<u>зы X</u>	-4
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) of the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) of the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) of the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) of the foundation within the meaning of section 4942(j)(3) of the foundation within the meaning of section 4942(j)(3) of the foundation within the meaning of section 4942(j)(3) of the foundation within the meaning of section 4942(j)(3) of the foundation within the meaning of section 4942(j)(3) of the foundation within the meaning of section 4942(j)(3) of the foundation within the meaning of section 4942(j)(3) of the foundation within the meaning of section 4942(j)(3) of the foundation within the meaning of section 4942(j)(3) of the foundation within the meaning of section 4942(j)(3) of the foundation within the meaning of the foundation within the foundation with	or 4942()	)(5) X/V 9		X
	for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If 'Yes,' complete the second seco		^'V   _	<del>'                                     </del>	<del>  ^-</del>
10	Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their and addresses	names	10		Х
BAA			Form	990-PF	(2010)

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At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)  12 Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?  13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?  14 The books are in care of > DIANE_TUGAW	400 N/A s No X
August 17, 2008?  13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?  Website address  It he books are in care of Interpretation of DIANE TUGAW  Located at 375 FOREST AVENUE, PALO ALTO, CA IP + 4 94301  Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year and enter the amount of tax-exempt interest received or accrued during the year bank, securities, or other financial account in a foreign country?  See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country File Form 4720 if any item is checked in the "Yes' column, unless an exception applies.  1a During the year did the foundation (either directly or indirectly)  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).  b If any answer is "Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(6)-3 or in a current notice regarding disaster assistance (see the instructions)?  Organizations relying on a current notice regarding disaster assistance (see the instructions)?  Organizations relying on a prior year in any of the acts described in 1a, oth	N/A S No X
Website address  In the books are in care of * DIANE TUGAW  Located at * 375 FOREST AVENUE, PALO ALTO, CA  Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year  15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year  16 At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?  See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country *  Part VII-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.  1 a During the year did the foundation (either directly or indirectly)  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception, Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)  b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance check here  c Did the foundation engage in a prior	400 N/A s No X
The books are in care of ► DIANE_TUGAW  Located at ► 375_FOREST_AVENUE, PALO_ALTO, CA  Sip + 4 ► 94301  15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 − Check here and enter the amount of tax-exempt interest received or accrued during the year  16 At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?  See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country ►  Part-VII-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.  1 a During the year did the foundation (either directly or indirectly)  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person?  (6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)  b) If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)·3 or in a current notice regarding disaster assistance (see the instructions)?  Organizations relying on a current notice regarding disaster assistance (see the instructions)?  Organizations relying on a current notice regarding disaster assistance check here  c Did the foundation engage	N/A s No X
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See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country ►    Part VII-B   Statements Regarding Activities for Which Form 4720 May Be Required   File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.   1a During the year did the foundation (either directly or indirectly)'   (1) Engage in the sale or exchange, or leasing of property with a disqualified person?   Yes   X   No	X (
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foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)  b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)?  Organizations relying on a current notice regarding disaster assistance check here  c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	F= \( \frac{2}{3}                                                                                                                                                                                                                                  \text
Organizations relying on a current notice regarding disaster assistance check here  c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	- 44.
	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).	
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010?  Yes X No	
If 'Yes,' list the years ► 20, 20, 20	
b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement — see the instructions)	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here	ا 🔭 ا
► 20, 20, 20	1254
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	
<b>b</b> If 'Yes,' did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation	- '-
or disqualified persons after May 26, 1969, <b>(2)</b> the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or <b>(3)</b> the lapse of the 10-, 15-, or 20-year first phase holding period? <i>(Use Schedule C, Form 4720, to</i>	
determine if the foundation had excess business holdings in 2010)	NT   / 7x
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  4a	N/A
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	N/A X
BAA Form 990-P	

Form 990-PF (2010) THE AUFMUTH FAMILY			94-28	6207 Page <b>6</b>
Part VII-B   Statements Regarding Activiti		1 4720 May Be Requ	uired (continued)	
5a During the year did the foundation pay or incu	•	- (1 4045(-))2	□ v ☑	
(1) Carry on propaganda, or otherwise attemp	•		Yes X	No
<ul><li>(2) Influence the outcome of any specific pub on, directly or indirectly, any voter registra</li><li>(3) Provide a grant to an individual for travel,</li></ul>	ition drive?		$\vdash$	No No
	•		. Lies K	NO ce.
(4) Provide a grant to an organization other the in section 509(a)(1), (2), or (3), or section	4940(d)(2)? (see instri	uctions)	Yes X	No Section 1
(5) Provide for any purpose other than religion educational purposes, or for the prevention	us, charitable, scientific n of cruelty to children	c, literary, or or animals?	. Yes X	No State Sta
b If any answer is 'Yes' to 5a(1)-(5), did any of described in Regulations section 53.4945 or in (see instructions)? Organizations relying on a current notice regains	a current notice regard	ding disaster assistance	tions : ▶ □	5b N/A
, ,	•			
c If the answer is 'Yes' to question 5a(4), does to tax because it maintained expenditure responsible.  If 'Yes,' attach the statement required by Regions 1.	sibility for the grant?	· .	N/A Yes	No The state of th
6a Did the foundation, during the year, receive ar		• •	e	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
on a personal benefit contract?	ly lulius, directly of illu	· · ·	Yes X	No
<b>b</b> Did the foundation, during the year, pay premi If 'Yes' to 6b, file Form 8870	iums, directly or indirec	ctly, on a personal bene	fit contract? .	6b X
7a At any time during the tax year, was the found	dation a party to a proh	nbited tax shelter transa	action? Yes X	No
<b>b</b> If yes, did the foundation receive any proceed				N/A 7b
Part VIII Information About Officers, Diand Contractors	irectors, Trustees,	Foundation Manag	gers, Highly Paid E	imployees,
1 List all officers, directors, trustees, foundation	n managers and their	compensation (see inst	ructions).	
1 Eist dir Officers, directors, trastees, foundation	(b) Title and average	(c) Compensation	(d) Contributions to	(e) Expense account,
(a) Name and address	hours per week devoted to position	(If not paid, enter -0-)	employee benefit plans and deferred compensation	other allowances
LAWRENCE AUFMUTH 375 FOREST AVENUE PALO ALTO, CA 94301	VICE PRESIDE 0	0.	0.	0.
JEANNE AUFMUTH	PRESIDENT	0.	0.	0.
375 FOREST AVENUE	0			
PALO ALTO, CA 94301				
2 Compensation of five highest-paid employee	s (other than those in	cluded on line 1— see ir	nstructions). If none, e	nter 'NONE.'
(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE			compensation	
				ļ
Total number of other employees paid over \$50,000	) TEFA03061	07/23/10	•	Form <b>990-PF</b> (2010)

Total. Add lines 1 through 3

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Form 990-PF (2010)

PartVIII Information A and Contracto	bout Officers, Directors, Trustees, Foundary ors (continued)	dation Managers, Highly Paid E	mployees,
3 Five highest-paid indeperent 'NONE.'	ndent contractors for professional services (see in	structions). If none,	
	of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE	or each person paid more than \$50,000	(b) Type of Service	(c) compensation
NONE			
	***************************************		
		<del></del>	
Total number of others receiving	g over \$50,000 for professional services	·	0
Part WAN Summany of F	Direct Charitable Activities		
Summary Of E			·
List the foundation's four largest direct organizations and other beneficiaries se	charitable activities during the tax year. Include relevant statistics eved, conferences convened, research papers produced, etc.	al information such as the number of	Expenses
1 N/A	<del></del>		
2			
3			
4			
			:
PartixB Summary of F	Program-Related Investments (see instru	ctions)	
Describe the two largest progra	am-related investments made by the foundation du	ring the tax year on lines 1 and 2	Amount
1 N/A			
2			
All other program-related inve	stments. See instructions.		
		<b></b>	
		<b></b>	İ

TEEA0307L 02/16/11

Adjusted qualifying distributions. Subtract line 5 from line 4

Part Xi. Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.) 遵。 1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes. a Average monthly fair market value of securities 1 a 151,492. 1 b 82,503. b Average of monthly cash balances c Fair market value of all other assets (see instructions) 1 c 995. d Total (add lines 1a, b, and c) 1 d 233. e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 0 1 e 0. Acquisition indebtedness applicable to line 1 assets 2 2 233,995. Subtract line 2 from line 1d 3 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions) 4 3,510. 5 230,485. Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4. 11,524. Minimum investment return. Enter 5% of line 5. 6 PartiXI | Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and do not complete this part.) and certain foreign organizations check here 524. Minimum investment return from Part X, line 6 11, 2a Tax on investment income for 2010 from Part VI, line 5 81 2a 2b b Income tax for 2010. (This does not include the tax from Part VI) 2 c c Add lines 2a and 2b 81 3 Distributable amount before adjustments Subtract line 2c from line 1 3 11 443. Recoveries of amounts treated as qualifying distributions 4 Add lines 3 and 4 5 443 6 Deduction from distributable amount (see instructions) Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1 11,443. Part XII Qualifying Distributions (see instructions) Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes. a Expenses, contributions, gifts, etc - total from Part I, column (d), line 26 1 a 16,815. 1 b **b** Program-related investments — total from Part IX-B. 2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc. purposes 2 尷 Amounts set aside for specific charitable projects that satisfy the a Suitability test (prior IRS approval required) 3 a **b** Cash distribution test (attach the required schedule). 3 b 16,815. Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 4 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). 5 5

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Form 990-PF (2010)

16,815.

6

# Part XIII Undistributed Income (see Instructions)

	<b>(a)</b> Corpus	<b>(b)</b> Years prior to 2009	<b>(c)</b> 2009	<b>(d)</b> 2010
1 Distributable amount for 2010 from Part XI, line 7				11,443.
2 Undistributed income, if any, as of the end of 2010	, ,	1 2		1 1 1 1
a Enter amount for 2009 only			0.	, -
<b>b</b> Total for prior years 20, 20, 20		0.		
3 Excess distributions carryover, if any, to 2010				·
<b>a</b> From 2005 . 1, 670 . <b>b</b> From 2006 . 3, 922 .				
c From 2007 1,280.	•			,,
d From 2008 . 4,384.	,			
e From 2009 . 16,096.			-4	£2.
f Total of lines 3a through e	27,352.			
4 Qualifying distributions for 2010 from Part				, No.
XII, line 4: ► \$ 16,815.	1		k = 1	
a Applied to 2009, but not more than line 2a			0.	
<b>b</b> Applied to undistributed income of prior years			, ,	- ''
(Election required – see instructions)		0.		
c Treated as distributions out of corpus	•	34'		m in the second of the
(Election required – see instructions)	0.			11 442
d Applied to 2010 distributable amount	5,372.	, 1;-		11,443.
Remaining amount distributed out of corpus     Excess distributions carryover applied to 2010	5,372.		• 1	0.
(If an amount appears in column (d), the	0.			
same amount must be shown in column (a) )				
C. Enterthe mattack of each call man				
6 Enter the net total of each column as indicated below:		, ,	, , , , , , , , , , , , , , , , , , ,	
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	32,724.		. المعدود عا	, + = 1 + a+ 1
<b>b</b> Prior years' undistributed income. Subtract	,			
line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency	,		, '	3,
has been issued, or on which the section	4 7			,
4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable	4	0.	1	
amount – see instructions.	,	0.		3 4 4
e Undistributed income for 2009 Subtract line 4a from line 2a. Taxable amount — see instructions	, ,	-	0.	
THE Ed. Parable difficult. See that detects.	F		-	
f Undistributed income for 2010 Subtract lines		, e	,	
4d and 5 from line 1. This amount must be distributed in 2011.		,	•	0.
7 Amounts treated as distributions out of				
corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)		•	`.	
(see instructions)	0.	· · · · · · · · · · · · · · · · · · ·		, ,
8 Excess distributions carryover from 2005 not				
applied on line 5 or line 7 (see instructions)	1,670.			, - , - , -
9 Excess distributions carryover to 2011.	21 054	4		- g
Subtract lines 7 and 8 from line 6a  10 Analysis of line 9	31,054.	<u>'</u>	<u> </u>	, , , , ,
<b>a</b> Excess from 2006 3, 922.	,		`	,
<b>b</b> Excess from 2007 1, 280.			•	
c Excess from 2008. 4,384.			-	
<b>d</b> Excess from 2009 16,096.	ř.			-
e Excess from 2010 5,372.				
		l	<u> </u>	<u>.                                    </u>

Form 990-PF (2010) THE AUFMUTH FAMI.				94-285620			
Part XIV Private Operating Foundation					<u> </u>		
1a If the foundation has received a ruling or our is effective for 2010, enter the date of the	letermination letter ruling	that it is a private	operating foundation	n, and the ruling			
<b>b</b> Check box to indicate whether the foundat	-	rating foundation of	described in section	4942(j)(3) or	4942(j)(5)		
2a Enter the lesser of the adjusted net	Tax year		Prior 3 years				
income from Part I or the minimum investment return from Part X for	(a) 2010	<b>(b)</b> 2009	(c) 2008	(d) 2007	(e) Total		
each year listed	<del></del>						
<b>b</b> 85% of line 2a		<u> </u>					
c Qualifying distributions from Part XII, line 4 for each year listed							
d Amounts included in line 2c not used directly for active conduct of exempt activities.							
<ul> <li>Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c</li> </ul>							
3 Complete 3a, b, or c for the alternative test relied upon							
a 'Assets' alternative test — enter:							
(1) Value of all assets			<u> </u>				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)							
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed							
c 'Support' alternative test - enter							
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section							
512(a)(5)), or royalties)	<u> </u>	<del></del>	<del> </del>		<del></del>		
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(III).							
(3) Largest amount of support from an exempt organization							
(4) Gross investment income			<u></u>				
Part XV   Supplementary Information assets at any time during the	(Complete this   e vear — see ins	part only if the	organization ha	d \$5,000 or mor	e in		
1 Information Regarding Foundation Management	<del></del>		<del></del>				
a List any managers of the foundation who is close of any tax year (but only if they have SEE STATEMENT 7	nave contributed mo	ore than 2% of the than \$5,000) (See	total contributions resection 507(d)(2))	eceived by the found	dation before the		
<b>b</b> List any managers of the foundation who	own 10% or more of	f the stock of a cor	rporation (or an equa	ally large portion of	the ownership of		
a partnership or other entity) of which the NONE	foundation has a 10	0% or greater inter	est.	ange permen			
2 Information Regarding Contribution Grav	of Gift Loan Schol	arshin etc Progr					
2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:  Check here \( \overline{X} \) if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d							
a The name, address, and telephone number	r of the person to v	vhom applications	should be addressed		<del></del>		
	•	••					
<b>b</b> The form in which applications should be	submitted and inform	mation and materia	als they should inclu	de			
c Any submission deadlines.							
<b>d</b> Any restrictions or limitations on awards, s	such as by geograpl	nical areas, charita	able fields, kinds of i	nstitutions, or other	factors:		

Part XV Supplementary Information (continued)

Page 11

3 Grants and Contributions Paid During the Y	ear or Approved for Fut	ure Paymen	ıt	P
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	substantial contributor	recipient	COMMISSION	
a Paid during the year SEE STATEMENT 8	substantial contributor	recipient		
Total	<del>. I </del>	<del>.</del>	<u> </u>	15,290.
b Approved for future payment  Total				

Excluded by section 512, 513, or 514

# Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

	ss amounts unless otherwise indicated	Unrelat	ed business income	Exclude	d_by section 512, 513, or 514	(e)
1 Prog	uram canuca rayanya	(a) Business code	<b>(b)</b> Amount	(c) Exclu- sion	<b>(d)</b> Amount	Related or exempt function income (see the instructions)
_	ram service revenue			code		
				<u> </u>		
				<del> </del> -		
				<del> </del>		
				<del> </del>		
- <del>-</del>	· · · · · · · · · · · · · · · · · · ·			<del>                                     </del>		
a Fees	and contracts from government agencies	<u> </u>				
_	bership dues and assessments			i	-	
	est on savings and temporary cash investments		-	14	62.	
	lends and interest from securities		_	14	3,139.	
	rental income or (loss) from real estate		- A	13	The state of the state of the	The Later The The Same
	-financed property			<del> </del>		
	debt-financed property			i		
	ental income or (loss) from personal property			<del>                                     </del>		
	er investment income				<u> </u>	
	or (loss) from sales of assets other than inventory			18	847.	
	ncome or (loss) from special events	<del></del>		10	017.	
	s profit or (loss) from sales of inventory	-				<u> </u>
	er revenue:	· ;	Sand Berger W. William	Page Section 1	要を強いないできるのは 軽し込み	ではまでいるできずで
		<b></b>	<u> </u>	100 300	<u>्रिकृत्यः । त । । वर्ष्यः ५ ५ व स्वतः ।</u>	to vote chaland to 1 4 months
b						
					<del></del>	
e						<del>  -</del>
	otal Add columns (b), (d), and (e)	, ase +		· Long.	4,048.	<del> </del>
			i e		4,040.	
					13	4 048
13 Tota	I. Add line 12, columns (b), (d), and (e)	nns )			13	4,048.
13 Tota See work	Add line 12, columns (b), (d), and (e)     sheet in line 13 instructions to verify calculation					4,048.
13 Tota See work	I. Add line 12, columns (b), (d), and (e)		ishment of Exemp	t Purp		4,048.
13 Tota See work	I. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculation.	Accompl	· · · · · · · · · · · · · · · · · · ·		oses	
13 Tota See work	Add line 12, columns (b), (d), and (e)     sheet in line 13 instructions to verify calculation	Accompl	· · · · · · · · · · · · · · · · · · ·		oses	
13 Tota See work Part XV Line No.	I. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculation.	Accompl	· · · · · · · · · · · · · · · · · · ·		oses	
13 Tota See work: Part XV Line No.	I. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculation.	Accompl	· · · · · · · · · · · · · · · · · · ·		oses	
13 Tota See work: Part XV Line No.	I. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculation.	Accompl	· · · · · · · · · · · · · · · · · · ·		oses	
13 Tota See work: Part XV Line No.	I. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculation.	Accompl	· · · · · · · · · · · · · · · · · · ·		oses	
13 Tota See work: Part XV Line No.	I. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculation.	Accompl	· · · · · · · · · · · · · · · · · · ·		oses	
13 Tota See work: Part XV Line No.	I. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculation.	Accompl	· · · · · · · · · · · · · · · · · · ·		oses	
13 Tota See work: Part XV Line No.	I. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculation.	Accompl	· · · · · · · · · · · · · · · · · · ·		oses	
13 Tota See work: Part XV Line No.	I. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculation.	Accompl	· · · · · · · · · · · · · · · · · · ·		oses	
13 Tota See work: Part XV Line No.	I. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculation.	Accompl	· · · · · · · · · · · · · · · · · · ·		oses	
13 Tota See work: Part XV Line No.	I. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculation.	Accompl	· · · · · · · · · · · · · · · · · · ·		oses	
13 Tota See work: Part XV Line No.	I. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculation.	Accompl	· · · · · · · · · · · · · · · · · · ·		oses	
13 Tota (See work: Part XV Line No.	I. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculation.	Accompl	· · · · · · · · · · · · · · · · · · ·		oses	
13 Tota (See work: Part XV Line No.	I. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculation.	Accompl	· · · · · · · · · · · · · · · · · · ·		oses	
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13 Tota (See work: Part XV Line No.	I. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculation.	Accompl	· · · · · · · · · · · · · · · · · · ·		oses	
13 Tota (See work: Part XV Line No.	I. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculation.	Accompl	· · · · · · · · · · · · · · · · · · ·		oses	
13 Tota (See work: Part XV Line No.	I. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculation.	Accompl	· · · · · · · · · · · · · · · · · · ·		oses	
13 Tota See work: Part XV Line No.	I. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculation.	Accompl	· · · · · · · · · · · · · · · · · · ·		oses	
13 Tota (See work: Part XV Line No.	I. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculation.	Accompl	· · · · · · · · · · · · · · · · · · ·		oses	
13 Tota (See work: Part XV Line No.	I. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculation.	Accompl	· · · · · · · · · · · · · · · · · · ·		oses	4,048.
13 Tota (See work: Part XV Line No.	I. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculation.	Accompl	· · · · · · · · · · · · · · · · · · ·		oses	
13 Tota (See work: Part XV Line No.	I. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculation.	Accompl	· · · · · · · · · · · · · · · · · · ·		oses	

Unrelated business income

# Form 990-PF (2010) THE AUFMUTH FAMILY FOUNDATION 94-2856207 Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

	7	Yes	No				
Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?							
a Transfers from the reporting foundation to a noncharitable exempt organization of			•				
(1) Cash.	1a (1)		X				
(2) Other assets .							
<b>b</b> Other transactions:							
(1) Sales of assets to a noncharitable exempt organization							
(2) Purchases of assets from a noncharitable exempt organization.							
(3) Rental of facilities, equipment, or other assets							
(4) Reimbursement arrangements							
(5) Loans or loan guarantees	1 b (5)		X				
(6) Performance of services or membership or fundraising solicitations	1 b (6)		Х				
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	_ 1c		<u>X</u>				
d If the answer to any of the above is 'Yes,' complete the following schedule. Column (b) should always show the fair the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair marl any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.	ket value in	ue of					
(a) Line no (b) Amount involved (c) Name of noncharitable exempt organization (d) Description of transfers, transactions, an		ngement	s				
N/A							
		,					
2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?	Yes	X	No				
b If 'Yes,' complete the following schedule.							
(a) Name of organization (b) Type of organization (c) Description of re	ationship						
N/A							
	<del></del>						
Under Denalties of periury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowled	ige and belief, i	t is true.					
Under frienalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge correct and complete Declaration of reparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge  Sign  Here							
Signature of officer or trustee Date Title	Torus						
Print/Type preparer's home Preparer's signature Date Check if if	PTIN						
Pald State of the	N/A						
Preparer Firm's name MOHLER, NIXON & WILLIAMS ACCICY. CORP. Firm's EIN ► N/A							
Use Only Firm's address 635 CAMPBELL TECHNOLOGY PKWY	a) 6.55						
	8) 369-2						
BAA	Form <b>99</b>	0-PF (	2010)				

#### Schedule B (Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

# **Schedule of Contributors**

► Attach to Form 990, 990-EZ, or 990-PF

OMB No 1545-0047

2010

Name of the organization		Employer identification number				
THE AUFMUTH FAMILY FOUNDATION		94-2856207				
Organization type (check one).						
Filers of:	Section:					
Form 990 or 990-EZ	501(c)() (enter number) organization 4947(a)(1) nonexempt charitable trust <b>not</b> treated 527 political organization	d as a private foundation				
Form 990-PF	X 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as 501(c)(3) taxable private foundation	a private foundation				
Check if your organization is covered by the Ge Note. Only a section 501(c)(7), (8), or (10) organization	eneral Rule or a Special Rule. anization can check boxes for both the General Rule a	nd a Special Rule. See instructions.				
General Rule  X For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)						
Special Rules						
509(a)(1) and 170(b)(1)(A)(vi), and received	orm 990 or 990-EZ, that met the 33-1/3% support test d from any one contributor, during the year, a contribu VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Par	ition of the greater of (1) \$5,000 or				
For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use <i>exclusively</i> for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.						
For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, contributions for use <i>exclusively</i> for religious, charitable, etc, purposes, but these contributions did not aggregate to more than \$1,000 if this box is checked, enter here the total contributions that were received during the year for an <i>exclusively</i> religious, charitable, etc, purpose. Do not complete any of the parts unless the <b>General Rule</b> applies to this organization because it received nonexclusively religious, charitable, etc, contributions of \$5,000 or more during the year						
		file Schodule P. (Form 900, 900 F7, or				
990-PF) but it must answer 'No' on Part IV, line	the General Rule and/or the Special Rules does not fee 2 of their Form 990, or check the box on line H of its gregurements of Schedule B (Form 990, 990, FZ, or 900)	s Form 990-EZ, or on line 2 of its Form				

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2010)

Schedule	<b>B</b> (Form 990, 990-EZ, or 990-PF) (2010)	Page	
Name of org	anization  JFMUTH FAMILY FOUNDATION	· ·	oyer identification number -2856207
			2030201
	Contributors (see instructions )	(0)	(d)
(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	Type of contribution
1	LAWRENCE & JEANNE AUFMUTH		Person X Payroll
	375 FOREST AVENUE	\$45,000	
	PALO ALTO, CA 94301		is a noncash contribution)
(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Noncash  (Complete Part II if there is a noncash contribution)
(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Noncash  (Complete Part II if there is a noncash contribution)
(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Noncash  (Complete Part II if there is a noncash contribution)
(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution.)

Page 1

of 1

of Part II

THE AUFMUTH FAMILY FOUNDATION

Employer identification number 94-2856207

Partill Noncash	Property	(see instructions)	į
-----------------	----------	--------------------	---

JACO TREE TO	Troncasi i roperty (see instructions)		
(a) No. from Part I	(b)  Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	N/A		
		-	
		-   \$	
(a) No. from Part I	(b)  Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		-	
	<u> </u>	\$	
		· · · · · · · · · · · · · · · · · · ·	
(a) No. from Part I	(b)  Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		_	
		\$	
		1 <sup>×</sup>	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		_	
		-	
		\$	
(a) No. from Part I	(b)  Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		1	
		\$	
(a) No. from Part I	(b)  Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
BAA	Scha	dule <b>B</b> (Form 990, 990-F2	or 990-PE) (2010)

BAA

Schedule **B** (Form 990, 990-EZ, or 990-PF) (2010)

Schedule E	3 (Form 990, 990-EZ, or 990-PF) (2010)		F	Page 1	of 1	of Part III
Name of organ	nization			_	Employer identificat	tion number
THE AUE	FMUTH FAMILY FOUNDATION				94-2856207	7
Part III	Exclusively religious, charitable, e organizations aggregating more th	tc, individual contribution an \$1,000 for the year.Co	ns to section mplete cols (a	on 501(c) ) through (	(7), (8), or (10) (e) and the following	ng line entry
	For organizations completing Part III, enter contributions of \$1,000 or less for the year	total of exclusively religious, cl (Enter this information once S	naritable, etc, See instruction	s)	<b>►</b> \$	N/A
(a)	(b)	(c)			(d)	·
No. from	Purpose of gift	Use of gift		Des	cription of how gif	ft is held
Part I						
	N/A					<del></del>
		_				
		(e)			<del>-</del> -	
	_ , , , , , , , , , , , , , , , , , , ,	Transfer of gift				
	Transferee's name, addres	ss, and ZIP + 4	Relat	ionship of	transferor to tran	steree
						·
	<del></del>			<del></del>		
(a)	(b)	(c)			(d)	· -
No. from	Purpose of gift	Use of gift		Des	cription of how gif	ft is held
Part I						
						<del></del>
			-	<del></del>		
		(e)				
	Transferee's name, addres	Transfer of gift	Polat	ionchin of	transferor to tran	cforoo
	Transièree's name, addres	55, aliu Zir + 4	Kelai	ionsiip oi	transieror to train	Sieree
					<del></del>	
(a)	(b)	(c)			(d)	
No. from Part I	Purpose of gift	Use of gift		Des	cription of how gif	ft is held
			-			
					·····	
		<u></u>			-	
		(e) Transfer of gift				
	Transferee's name, addres		Relat	tionship of	transferor to tran	sferee
					<del></del>	
			-			
	(h)	(2)			<u> </u>	
(a) No. from	(b) Purpose of gift	(c) Use of gift	1	Doc	(d) cription of how gif	Hic hold
Part I	Purpose of gift	Ose of gift		Des	enplion of now gi	it is field
	· · · · · · · · · · · · · · · · · · ·					
		(e)			<del></del>	<del></del>
		Transfer of gift				
	Transferee's name, addres	ss, and ZIP + 4	Relat	tionship of	transferor to tran	sferee
				···		

2010	PAGE 1	
	THE AUFMUTH FAMILY FOUNDATION	94-2856207
STATEMENT 1 FORM 990-PF, PART I, LINE 16B ACCOUNTING FEES	(A) (B) NET (C)	(D)
ACCOUNTING FEE .	EXPENSES INVESTMENT ADJUSTED NET INCOME  \$ 1,495. TOTAL \$ 1,495. \$ 0.	CHARITABLE PURPOSES \$ 1,495. \$ 1,495.
STATEMENT 2 FORM 990-PF, PART I, LINE 18 TAXES		
FEDERAL TAXES	(A) (B) NET (C) EXPENSES INVESTMENT ADJUSTED PER BOOKS INCOME NET INCOME  \$ 20. TOTAL \$ 20. \$ 0.	(D) CHARITABLE PURPOSES  \$ 0.
STATEMENT 3 FORM 990-PF, PART I, LINE 23 OTHER EXPENSES		
STATE FILING FEES	(A) (B) NET (C) EXPENSES INVESTMENT ADJUSTED NET INCOME  \$ 30. TOTAL \$ 30. \$ 0.	(D) CHARITABLE PURPOSES \$ 30. \$ 30.
STATEMENT 4 FORM 990-PF, PART II, LINE 10A INVESTMENTS - U.S. AND STATE	E GOVERNMENT OBLIGATIONS	
U.S. GOVERNMENT OBLIGATION	VALUATION BOOK S METHOD VALUE	FAIR MARKET VALUE
US TREASURY NOTE CPN 4.250		· · · · · · · · · · · · · · · · · · ·
	TOTAL \$ 19,807.	\$ 21,478.

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# **FEDERAL STATEMENTS**

PAGE 2

THE AUFMUTH FAMILY FOUNDATION

94-2856207

#### STATEMENT 5 FORM 990-PF, PART II, LINE 10B INVESTMENTS - CORPORATE STOCKS

CORPORATE STOCKS	VALUATION METHOD	 BOOK VALUE	F	AIR MARKET VALUE
1300 SHS PROSHARESTRUST CENTURY LINK INC. AT&T NATIONAL GRID PLC SPON	COST COST COST COST TOTAL	\$ 61,202. 14,642. 8,700. 14,676. 99,220.	\$	31,322. 13,248. 8,556. 14,880. 68,006.

#### STATEMENT 6 FORM 990-PF, PART II, LINE 13 INVESTMENTS - OTHER

OTHER INVESTMENTS		VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
KINDER MORGAN MANAGEMENT LLC	TOTAL OTHER	COST INVESTMENTS	\$ 21,270. \$ 21,270.	\$ 43,457. \$ 43,457.
OTHER SECURITIES				
MATTHEWSASIAN FUND DOUBLE LINE FUNDS TRUST	TOTAL OTHER	COST COST R SECURITIES	10,596. 51,294. \$ 61,890.	10,394. 51,666. \$ 62,060.
		TOTAL	\$ 83,160.	\$ 105,517.

# STATEMENT 7 FORM 990-PF, PART XV, LINE 1A FOUNDATION MANAGERS - 2% OR MORE CONTRIBUTORS

LAWRENCE AUFMUTH JEANNE AUFMUTH

#### STATEMENT 8 FORM 990-PF, PART XV, LINE 3A RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	A	MOUNT
SF MOMA P.O. BOX 7432 SAN FRANCISCO. CA 94120	N/A	PUBLIC	CHARITABLE	\$	500.

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# **FEDERAL STATEMENTS**

PAGE 3

THE AUFMUTH FAMILY FOUNDATION

94-2856207

#### STATEMENT 8 (CONTINUED) FORM 990-PF, PART XV, LINE 3A RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
STANFORD LIVELY ARTS 537 LOMITA MALL MC 2250 STANFORD, CA 94305	N/A	PUBLIC	CHARITABLE	\$ 2,500.
LAND TRUST-SANTA CRUZ COUNTY 617 WATER ST. SANTA CRUZ, CA 95060	N/A	PUBLIC	CHARITABLE	1,000.
SUSTAINABLE CONSERVATION 98 BATTERY STREET, SUITE 302 SAN FRANCISCO, CA 94111	N/A	PUBLIC	CHARITABLE	1,000.
UCSF FOUNDATION CANCER PO BOX 45339 SAN FRANCISCO, CA 94145	N/A	PUBLIC	CHARITABLE	500.
PLANNED PARENTHOOD 1605 THE ALAMEDA SAN JOSE, CA 95126	N/A	PUBLIC	CHARITABLE	500.
KQED 2601 MARIPOSA STREET SAN FRANCISCO, CA 94110	N/A	PUBLIC	CHARITABLE	150.
TRUCKEE DONNER LAND TRUST P.O. BOX 8816 TRUCKEE, CA 96162	N/A	PUBLIC	CHARITABLE	1,000.
FEEDING AMERICA 35 E. WACKER DR. SUITE 2000 CHICAGO, IL 60601	N/A	PUBLIC	CHARITABLE	300.
FOUNDATION FIGHTING BLINDNESS 11900 W. OLYMPIC BLVD, SUITE 560 LOS ANGELES, CA 90064	N/A	PUBLIC	CHARITABLE	2,000.
HORACE MANN PARENTS ASSOC. 231 WEST 246TH STREET BRONX, NY 10471	N/A	PUBLIC	CHARITABLE	300.
WOMAN'S CLUB OF PALO ALTO 475 HOMER AVENUE PALO ALTO, CA 94301	N/A	PUBLIC	CHARITABLE	200.
CHILDRENS TUMOR FOUNDATION 95 PINE STREET, 16TH FLOOR NEW YORK, NY 10005	N/A	PUBLIC	CHARITABLE	500.

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# **FEDERAL STATEMENTS**

PAGE 4

THE AUFMUTH FAMILY FOUNDATION

94-2856207

#### STATEMENT 8 (CONTINUED) FORM 990-PF, PART XV, LINE 3A RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE GRANT	OF	AMOUNT
VISTA CENTER FOR THE BLIND 2470 EL CAMINO REAL, SUITE 107 PALO ALTO, CA 94306	N/A	PUBLIC	CHARITABLE	\$	750.
AMERICAN RED CROSS P.O.BOX 37243 WASHINGTON, DC 20013	N/A	PUBLIC	CHARITABLE		1,000.
FRIENDS OF THE PALO ALTO LIBRARY P.O.BOX 41 PALO ALTO, CA 94302	N/A	PUBLIC	CHARITABLE		150.
STANFORD LAW SCHOOL P.O.BOX 20466 STANFORD, CA 94309	N/A	PUBLIC	CHARITABLE		1,000.
WHIM W'HIM 2030 13TH AVENUE W. #203 SEATTLE, WA 98119	N/A	PUBLIC	CHARITABLE		500.
CALIFORNIA STATE PARKS FOUNDATION 50 FRANCISCO STREET, SUITE 110 SAN FRANCISCO, CA 94133	N/A	PUBLIC	CHARITABLE.		90.
GUTHRIE THEATRE FOUNDATION 818 SOUTH 2ND STREET MINNEAPOLIS, MN 55415	N/A	PUBLIC	CHARITABLE.		150.
RIDGEDALE YMCA 12301 RIDGEDALE DR. MINNETONKA, MN 55305	N/A	PUBLIC	CHARITABLE.		750.
ST. BALDRICK'S FOUNDATION 1333 SOUTH MAYFLOWER BLVD SUITE 400 MONROVIA, CA 91016	N/A	PUBLIC	CHARITABLE.		250.
WALKER ART CENTER 1750 HENNEPIN AVE. MINNEAPOLIS, MN 55403	N/A	PUBLIC	CHARITABLE.		200.
				TOTAL \$	15,290.