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Return of Private Foundation

OMB No 1545-0052

2010

Department of the Treasury
Internal Revenue Serviceor Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2010, or tax year beginning OCT 1, 2010, and ending SEP 30, 2011

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation ERIK E AND EDITH H BERGSTROM FOUNDATION, A CHARITABLE TRUST		A Employer identification number 91-2155835
Number and street (or P.O. box number if mail is not delivered to street address) P.O. BOX 520	Room/suite	B Telephone number (650) 323-0596
City or town, state, and ZIP code PALO ALTO, CA 94302-0520		C If exemption application is pending, check here <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 63,541,084.	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses
(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received			N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	1,868,138.	1,517,934.		STATEMENT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	736,538.			
b Gross sales price for all assets on line 6a				
7 Capital gain net income (from Part IV, line 2)		732,881.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income				
12 Total. Add lines 1 through 11	2,604,676.	2,250,815.		0.
13 Compensation of officers, directors, trustees, etc.	0.	0.		0.
14 Other employee salaries and wages	55,779.	77,890.		77,890.
15 Pension plans, employee benefits	41,220.	20,610.		20,610.
16a Legal fees				
b Accounting fees	27,570.	13,785.		13,785.
c Other professional fees				
17 Interest	458,042.	353,055.		0.
18 Taxes	29,967.	0.		0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings	7,046.	0.		7,046.
22 Printing and publications				
23 Other expenses	61,509.	39,959.		7,752.
24 Total operating and administrative expenses. Add lines 13 through 23	781,133.	505,299.		127,083.
25 Contributions, gifts, grants paid	1,473,044.			1,964,429.
26 Total expenses and disbursements. Add lines 24 and 25	2,254,177.	505,299.		2,091,512.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	350,499.			
b Net investment income (if negative, enter -0-)		1,745,516.		
c Adjusted net income (if negative, enter -0-)			N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1 Cash - non-interest-bearing			6,618.	5,065.	5,065.
	2 Savings and temporary cash investments					
	3 Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments - U.S. and state government obligations					
	b Investments - corporate stock STMT 5	65,617,175.	59,234,175.	59,234,175.		
	c Investments - corporate bonds					
	Liabilities	11 Investments - land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶						
12 Investments - mortgage loans						
13 Investments - other						
14 Land, buildings, and equipment basis ▶						
Less: accumulated depreciation ▶						
15 Other assets (describe ▶ STATEMENT 6)		4,301,844.	4,301,844.	4,301,844.		
16 Total assets (to be completed by all filers)		69,925,637.	63,541,084.	63,541,084.		
17 Accounts payable and accrued expenses		13,801.				
18 Grants payable		1,158,296.	773,010.			
Net Assets or Fund Balances	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶ STATEMENT 7)	19,084,401.	16,511,393.			
	23 Total liabilities (add lines 17 through 22)	20,256,498.	17,284,403.			
Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>	24 Unrestricted	45,864,781.	42,452,323.			
	25 Temporarily restricted	3,804,358.	3,804,358.			
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/>					
	27 Capital stock, trust principal, or current funds					
	28 Paid-in or capital surplus, or land, bldg., and equipment fund					
	29 Retained earnings, accumulated income, endowment, or other funds					
	30 Total net assets or fund balances	49,669,139.	46,256,681.			
31 Total liabilities and net assets/fund balances	69,925,637.	63,541,084.				

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	49,669,139.
2 Enter amount from Part I, line 27a	2	350,499.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	50,019,638.
5 Decreases not included in line 2 (itemize) ▶ UNREALIZED LOSS	5	3,762,957.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	46,256,681.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHMENT A		VARIOUS	VARIOUS
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			732,881.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			732,881.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	732,881.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	1,769,298.	45,517,467.	.038871
2008	3,002,843.	34,473,003.	.087107
2007	2,917,376.	60,653,181.	.048099
2006	4,107,178.	65,533,294.	.062673
2005	3,073,844.	59,006,861.	.052093

2 Total of line 1, column (d)	2	.288843
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.057769
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	53,027,651.
5 Multiply line 4 by line 3	5	3,063,354.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	17,455.
7 Add lines 5 and 6	7	3,080,809.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	2,091,512.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	34,910.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	34,910.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	34,910.
6	Credits/Payments:		
a	2010 estimated tax payments and 2009 overpayment credited to 2010	6a	20,000.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	20,000.
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	317.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	15,227.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be: Credited to 2011 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	Yes	No
1a			X
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1b			
c	Did the foundation file Form 1120-POL for this year?		X
1c			
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
4b	If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> <u>CA</u>		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address **► N/A**

14 The books are in care of **► JULIA RITA BOLOUS** Telephone no. **► 650-323-0596**
 Located at **► P.O. BOX 520, PALO ALTO, CA** ZIP+4 **► 94302-0520**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here **►** ☐
 and enter the amount of tax-exempt interest received or accrued during the year **► 15** **N/A**

16 At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?

	Yes	No
16		X

See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country **►**

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)?		X
Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years ► _____, _____, _____, _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
► _____, _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)		X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☒ Yes ☐ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here ☐c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **ATTACHMENT D** ☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ Nob Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ Nob If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ERIK E. BERGSTROM	CO-EXEC. DIRECTOR			
P.O. BOX 520				
PALO ALTO, CA 94302	35.00	0.	0.	0.
EDITH H. BERGSTROM	CO-EXEC. DIRECTOR			
P.O. BOX 520				
PALO ALTO, CA 94302	10.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JULIA RITA BOLOUS	FIN. DIR./PRGRM OFFICER			
P.O. BOX 520, PALO ALTO, CA 94302	35.00	104,945.	26,447.	0.

Total number of other employees paid over \$50,000

0

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3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

Total number of others receiving over \$50,000 for professional services	0
---	---

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.		Amount
1	NONE	
		0.
2		
All other program-related investments. See instructions.		
3	NONE	
		0.
Total. Add lines 1 through 3		0.

2010.05042 ERIK E AND EDITH H BERGSTRO 5HV55R1

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	70,336,897.
b	Average of monthly cash balances	1b	9,675.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	70,346,572.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	16,511,393.
3	Subtract line 2 from line 1d	3	53,835,179.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	807,528.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	53,027,651.
6	Minimum investment return. Enter 5% of line 5	6	2,651,383.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,651,383.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	34,910.
b	Income tax for 2010. (This does not include the tax from Part VI.)	2b	38,774.
c	Add lines 2a and 2b	2c	73,684.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,577,699.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	2,577,699.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,577,699.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,091,512.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,091,512.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,091,512.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				2,577,699.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005				
b From 2006				
c From 2007	1,263,183.			
d From 2008	3,015,944.			
e From 2009	1,769,298.			
f Total of lines 3a through e	6,048,425.			
4 Qualifying distributions for 2010 from Part XII, line 4: ► \$ 2,091,512.				
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions) **	2,091,512.			
d Applied to 2010 distributable amount				0.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	2,577,699.			2,577,699.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	5,562,238.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	5,562,238.			
10 Analysis of line 9:				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008	1,701,428.			
d Excess from 2009	1,769,298.			
e Excess from 2010	2,091,512.			

** SEE STATEMENT 8

Form 990-PF (2010)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) **N/A**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶
b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SEE STATEMENT 9

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:
N/A

b The form in which applications should be submitted and information and materials they should include:
N/A

c Any submission deadlines:
N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
N/A

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE ATTACHMENT B				1,964,429.
Total			▶ 3a	1,964,429.
b Approved for future payment SEE ATTACHMENT B				325,471.
Total			▶ 3b	325,471.

Enter gross amounts unless otherwise indicated.

Part XVI:B Relationship of Activities to the Accomplishment of Exempt Purposes

023621
12-07-10

Form **990-PF** (2010)

Part XVII. Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

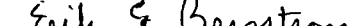
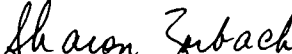
		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash		X
	(2) Other assets		X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization		X
	(2) Purchases of assets from a noncharitable exempt organization		X
	(3) Rental of facilities, equipment, or other assets		X
	(4) Reimbursement arrangements		X
	(5) Loans or loan guarantees		X
	(6) Performance of services or membership or fundraising solicitations		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees		X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.				
	 Signature of officer or trustee		2/11/12 Date		CO TRUSTEE Title
Paid Preparer Use Only	Print/Type preparer's name Sharon Zorbach		Preparer's signature 		Date 2/8/12
	Check <input type="checkbox"/> if self-employed				PTIN
	Firm's name ▶ DELOITTE TAX LLP				Firm's EIN ▶
	Firm's address ▶ 225 WEST SANTA CLARA STREET SAN JOSE, CA 95113				Phone no. 408-704-4000

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDEND INCOME	1,868,138.	0.	1,868,138.
TOTAL TO FM 990-PF, PART I, LN 4	1,868,138.	0.	1,868,138.

FORM 990-PF ACCOUNTING FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	27,570.	13,785.		13,785.
TO FORM 990-PF, PG 1, LN 16B	27,570.	13,785.		13,785.

FORM 990-PF TAXES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CURRENT FEDERAL EXCISE TAX	29,967.	0.		0.
TO FORM 990-PF, PG 1, LN 18	29,967.	0.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DSL/TELEPHONE EXPENSES	193.	96.		97.
OFFICE SUPPLIES	1,976.	988.		988.
MEMBERSHIPS	4,025.	0.		4,025.
POSTAGE	1,549.	775.		775.
COMPUTER NETWORK EXPENSE	3,038.	1,519.		1,519.
OTHER MISCELLANEOUS EXPENSES	696.	348.		348.
INVESTMENT MANAGEMENT FEES	50,032.	36,233.		0.
TO FORM 990-PF, PG 1, LN 23	61,509.	39,959.		7,752.

FORM 990-PF	CORPORATE STOCK	STATEMENT	5
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
ADAMS EXPRESS COMPANY	46,050,000.	46,050,000.
BANCROFT CONVERTIBLE FUND, INC	0.	0.
CENTRAL SECURITIES CORP.	3,744,900.	3,744,900.
GENERAL AMERICAN INVESTORS	3,214,400.	3,214,400.
LATIN AMERICA EQUITY FUND	948,025.	948,025.
SOURCE CAPITAL INC.	5,276,850.	5,276,850.
TOTAL TO FORM 990-PF, PART II, LINE 10B	59,234,175.	59,234,175.

FORM 990-PF	OTHER ASSETS	STATEMENT	6
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
UNRELATED BUSINESS INCOME TAXES RECEIVABLE	50,000.	50,000.	50,000.
FEDERAL EXCISE TAXES RECEIVABLE	46,000.	46,000.	46,000.
DEFERRED FEDERAL EXCISE TAX ASSET	214,693.	214,693.	214,693.
LONG TERM CONTRIBUTIONS RECEIVABLE	3,991,151.	3,991,151.	3,991,151.
TO FORM 990-PF, PART II, LINE 15	4,301,844.	4,301,844.	4,301,844.

FORM 990-PF	OTHER LIABILITIES	STATEMENT	7
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DESCRIPTION	BOY AMOUNT	EOY AMOUNT
MARGIN DEBT LIABILITY	19,084,401.	16,511,393.
TOTAL TO FORM 990-PF, PART II, LINE 22	19,084,401.	16,511,393.

FORM 990-PF

ELECTION UNDER REGULATIONS SECTION
53.4942(A)-3(D)(2) TO TREAT
EXCESS QUALIFYING DISTRIBUTIONS
AS DISTRIBUTIONS OUT OF CORPUS

STATEMENT 8

THE ERIK E. AND EDITH H. BERGSTROM FOUNDATION HEREBY ELECTS TO TREAT
CURRENT YEAR QUALIFYING DISTRIBUTIONS IN EXCESS OF THE IMMEDIATELY
PRECEEDING YEARS' UNDISTRIBUTED INCOME AS MADE OUT OF CORPUS.

TAX YEAR 2007: \$1,263,183

TAX YEAR 2008: \$1,314,516

SIGNED: Erin E. Bergstrom
TRUSTEE

FORM 990-PF

PART XV - LINE 1A
LIST OF FOUNDATION MANAGERS

STATEMENT 9

NAME OF MANAGER

ERIK E. BERGSTROM
EDITH H. BERGSTROM

Capital Gain / (Loss)

	Shares	Sold	Purchase	Proceeds	Basis	Gain/Loss	Debt/Basis %	990T	990PF
NON DEBT FINANCED STOCKS									
BCV	600	10/04/10	2/4/2008	9,681	11,202	(1,521)	N/A		(1,521)
BCV	800	10/05/10		13,063	14,936	(1,873)	N/A		(1,873)
BCV	600	10/05/10		9,799	11,202	(1,403)	N/A		(1,403)
BCV	750	10/05/10		12,249	14,003	(1,754)	N/A		(1,754)
BCV	900	10/05/10		14,699	16,803	(2,104)	N/A		(2,104)
BCV	700	10/05/10		11,432	13,069	(1,637)	N/A		(1,637)
BCV	650	10/05/10		10,629	12,136	(1,506)	N/A		(1,506)
BCV	1,000	10/05/10		16,352	18,670	(2,318)	N/A		(2,318)
BCV	1,000	10/05/10		16,365	18,670	(2,305)	N/A		(2,305)
BCV	500	10/05/10		8,201	9,335	(1,134)	N/A		(1,134)
BCV	500	10/05/10		8,201	9,335	(1,134)	N/A		(1,134)
BCV	1,500	10/07/10		24,342	28,005	(3,663)	N/A		(3,663)
BCV	500	10/07/10		8,091	9,335	(1,244)	N/A		(1,244)
BCV	1,000	11/01/10		16,434	18,670	(2,236)	N/A		(2,236)
BCV	800	11/23/10		13,151	14,936	(1,785)	N/A		(1,785)
BCV	1,150	11/23/10		18,870	21,471	(2,600)	N/A		(2,600)
BCV	1,050	11/30/10		16,987	19,604	(2,617)	N/A		(2,617)
BCV	1,000	11/30/10		16,183	18,670	(2,487)	N/A		(2,487)
BCV	1,000	12/01/10		16,409	18,670	(2,261)	N/A		(2,261)
BCV	1,000	12/01/10		16,414	18,670	(2,256)	N/A		(2,256)
BCV	3,000	12/01/10		49,273	56,010	(6,737)	N/A		(6,737)
BCV	750	12/16/10		12,276	14,003	(1,727)	N/A		(1,727)
BCV	2,000	12/16/10		32,788	37,340	(4,552)	N/A		(4,552)
BCV	1,500	12/16/10		24,591	28,005	(3,414)	N/A		(3,414)
BCV	750	12/16/10		12,296	14,003	(1,707)	N/A		(1,707)
BCV	1,000	12/20/10		16,400	18,670	(2,270)	N/A		(2,270)
BCV	700	12/20/10		11,498	13,069	(1,571)	N/A		(1,571)
BCV	800	12/20/10		13,132	14,936	(1,804)	N/A		(1,804)
BCV	1,000	12/20/10		16,405	18,670	(2,265)	N/A		(2,265)
BCV	1,100	12/27/10		18,227	20,537	(2,310)	N/A		(2,310)
BCV	1,400	12/27/10		23,239	26,138	(2,899)	N/A		(2,899)
BCV	1,000	12/27/10		16,579	18,670	(2,091)	N/A		(2,091)
BCV	500	12/28/10		8,339	9,335	(996)	N/A		(996)
BCV	600	12/28/10		10,013	11,202	(1,189)	N/A		(1,189)
BCV	700	12/28/10		11,682	13,069	(1,387)	N/A		(1,387)
BCV	1,200	12/28/10		20,026	22,404	(2,378)	N/A		(2,378)
BCV	3,000	12/29/10		49,978	56,010	(6,032)	N/A		(6,032)
BCV	1,500	12/29/10		25,081	28,005	(2,924)	N/A		(2,924)
BCV	2,000	01/06/11		33,662	37,340	(3,678)	N/A		(3,678)
BCV	500	01/06/11		8,437	9,335	(898)	N/A		(898)
BCV	1,000	01/06/11		16,863	18,670	(1,807)	N/A		(1,807)
BCV	1,000	01/07/11		16,875	18,670	(1,795)	N/A		(1,795)
BCV	1,000	01/07/11		16,880	18,670	(1,790)	N/A		(1,790)
BCV	1,200	01/14/11		20,403	22,404	(2,001)	N/A		(2,001)
BCV	800	01/14/11		13,605	14,936	(1,331)	N/A		(1,331)
BCV	900	01/14/11		15,306	16,803	(1,497)	N/A		(1,497)
BCV	2,100	01/18/11		36,140	39,222	(3,082)	N/A		(3,082)
ADX	CGD	12/22/10				284,114	N/A		284,114
GAM	CGD	12/27/10				23,933	N/A		23,933
ADX	CGD	12/27/10				398,250	N/A		398,250
ADX	CGD	03/01/11				10,575	N/A		10,575
ADX	CGD	03/01/11				14,750	N/A		14,750
						<u>827,544</u>	<u>933,515</u>	<u>625,650</u>	
								<u>-</u>	<u>625,650</u>

Capital Gain / (Loss)

	Shares	Sold	Purchase	Proceeds	Basis	Gain/Loss	Debt/Basis %	990T	990PF
DEBT FINANCED STOCKS									
ADX	500	11/02/10	7/28/1999	5,160	9,737	(4,577)	23 44%	(1,073)	(3,504)
ADX	1,000	10/26/10	7/28/1999	10,300	19,472	(9,172)	24 79%	(2,274)	(6,898)
ADX	1,800	10/26/10	7/28/1999	18,540	35,049	(16,510)	24 79%	(4,093)	(12,417)
ADX	700	11/02/10	7/28/1999	7,224	13,631	(6,407)	23 44%	(1,502)	(4,906)
ADX	500	11/02/10	7/28/1999	5,175	9,735	(4,560)	23 44%	(1,069)	(3,491)
ADX	2,700	11/09/10	7/29/1999	28,298	52,490	(24,192)	23 44%	(5,670)	(18,522)
ADX	1,400	10/26/10	7/30/1999	14,450	27,104	(12,654)	24 79%	(3,137)	(9,517)
ADX	1,100	10/26/10	7/30/1999	11,352	21,294	(9,943)	24 79%	(2,465)	(7,478)
ADX	4,800	11/02/10	8/2/1999	49,535	93,406	(43,870)	23 44%	(10,282)	(33,588)
ADX	2,902	11/08/10	8/2/1999	30,035	57,787	(27,752)	23 44%	(6,504)	(21,248)
ADX	8,000	11/17/10	8/3/1999	83,999	154,700	(70,701)	23 44%	(16,570)	(54,131)
ADX	2,300	11/16/10	8/5/1999	24,198	44,497	(20,299)	23 44%	(4,757)	(15,541)
ADX	2,300	11/18/10	8/5/1999	24,081	44,497	(20,416)	23 44%	(4,785)	(15,631)
ADX	6,000	11/18/10	9/1/1999	62,879	115,777	(52,898)	23 44%	(12,398)	(40,500)
ADX	3,500	11/18/10	9/1/1999	36,679	67,536	(30,856)	23 44%	(7,232)	(23,624)
ADX	200	11/16/10	10/8/1999	2,110	3,863	(1,753)	23 44%	(411)	(1,342)
ADX	4,800	11/16/10	10/8/1999	50,640	93,072	(42,432)	23 44%	(9,945)	(32,488)
ADX	3,500	11/16/10	10/19/1999	36,784	67,815	(31,031)	23 44%	(7,273)	(23,758)
ADX	4,000	11/15/10	10/19/1999	42,363	77,656	(35,293)	23 44%	(8,272)	(27,021)
ADX	2,000	11/16/10	10/19/1999	21,120	38,840	(17,720)	23 44%	(4,153)	(13,567)
ADX	2,000	11/16/10	10/19/1999	21,100	38,840	(17,740)	23 44%	(4,158)	(13,583)
ADX	1,423	11/09/10	10/19/1999	14,913	29,077	(14,165)	23 44%	(3,320)	(10,845)
ADX	400	11/09/10	10/19/1999	4,208	7,768	(3,560)	23 44%	(834)	(2,726)
ADX	1,600	11/09/10	10/19/1999	16,832	31,072	(14,240)	23 44%	(3,337)	(10,903)
ADX	1,400	11/09/10	10/19/1999	14,714	27,188	(12,474)	23 44%	(2,924)	(9,551)
ADX	600	11/09/10	10/19/1999	6,306	11,652	(5,346)	23 44%	(1,253)	(4,093)
ADX	2,000	11/09/10	10/19/1999	21,040	38,840	(17,800)	23 44%	(4,172)	(13,628)
ADX	4,000	11/09/10	10/19/1999	41,999	77,697	(35,698)	23 44%	(8,366)	(27,331)
SOR	200	6/14/11	10/10/2007	11,058	12,896	(1,838)	23 43%	(431)	(1,407)
SOR	200	06/23/11	10/10/2007	11,058	12,922	(1,864)	23 43%	(437)	(1,427)
SOR	500	06/23/11	10/17/2007	27,644	32,555	(4,911)	23 43%	(1,151)	(3,760)
SOR	400	06/23/11	10/17/2007	22,280	26,044	(3,764)	23 43%	(882)	(2,882)
SOR	500	07/07/11	10/17/2007	28,849	32,550	(3,701)	23 51%	(870)	(2,831)
SOR	300	07/08/11	10/17/2007	17,400	19,528	(2,128)	23 51%	(500)	(1,628)
SOR	200	07/08/11	10/17/2007	11,600	12,739	(1,139)	23 51%	(268)	(871)
SOR	147	07/12/11	10/17/2007	8,593	9,355	(761)	23 51%	(179)	(582)
SOR	353	07/12/11	10/17/2007	20,632	22,446	(1,813)	23 51%	(426)	(1,387)
SOR	100	07/12/11	10/17/2007	5,851	6,370	(519)	23 51%	(122)	(397)
SOR	100	07/14/11	10/17/2007	5,704	6,370	(666)	23 51%	(157)	(509)
SOR	100	06/14/11	11/8/2007	5,530	6,441	(911)	23 43%	(213)	(698)
SOR	128	06/23/11	11/8/2007	7,129	8,237	(1,108)	23 43%	(260)	(848)
SOR	172	06/23/11	11/8/2007	9,579	11,068	(1,490)	23 43%	(349)	(1,141)
SOR	300	06/23/11	11/8/2007	16,947	19,314	(2,368)	23 43%	(555)	(1,813)
SOR	500	06/23/11	11/8/2007	28,874	32,166	(3,291)	23 43%	(771)	(2,520)
SOR	400	07/12/11	11/8/2007	23,406	25,668	(2,263)	23 51%	(532)	(1,731)
SOR	36	07/14/11	11/8/2007	2,053	2,305	(253)	23 51%	(59)	(193)
SOR	500	07/12/11	11/13/2007	29,249	31,899	(2,649)	23 51%	(623)	(2,026)
SOR	64	07/14/11	11/13/2007	3,649	4,074	(424)	23 51%	(100)	(325)
SOR	1,700	07/14/11	11/13/2007	96,934	109,004	(12,070)	23 51%	(2,838)	(9,232)
SOR	100	07/14/11	11/13/2007	5,702	6,411	(709)	23 51%	(167)	(542)
SOR	200	07/14/11	11/15/2007	11,404	12,768	(1,364)	23 51%	(321)	(1,043)
SOR	800	07/14/11	11/15/2007	45,616	51,056	(5,440)	23 51%	(1,279)	(4,161)

Capital Gain / (Loss)

	Shares	Sold	Purchase	Proceeds	Basis	Gain/Loss	Debt/Basis %	990T	990PF
GAM	800	7/8/2011	11/30/2001	22,525	26,948	(4,423)	100 00%	(4,423)	-
GAM	700	7/8/2011	11/30/2001	19,763	23,591	(3,828)	100 00%	(3,828)	-
GAM	1,000	7/8/2011	12/3/2001	28,242	33,752	(5,510)	100 00%	(5,510)	-
GAM	1,000	7/11/2011	12/3/2001	28,218	33,752	(5,534)	100 00%	(5,534)	-
GAM	500	7/11/2011	12/3/2001	14,111	16,925	(2,814)	100 00%	(2,814)	-
GAM	1,000	7/7/2011	12/4/2001	28,163	33,504	(5,341)	100 00%	(5,341)	-
GAM	500	7/12/2011	12/4/2001	14,276	16,760	(2,484)	100 00%	(2,484)	-
GAM	550	7/12/2011	12/4/2001	15,720	18,430	(2,710)	100 00%	(2,710)	-
GAM	450	7/12/2011	12/4/2001	12,875	15,090	(2,215)	100 00%	(2,215)	-
GAM	500	7/26/2011	12/4/2001	14,157	16,760	(2,603)	100 00%	(2,603)	-
GAM	1,000	7/26/2011	12/4/2001	28,390	33,400	(5,010)	100 00%	(5,010)	-
GAM	500	7/26/2011	12/4/2001	14,222	16,620	(2,398)	100 00%	(2,398)	-
GAM	500	7/26/2011	12/4/2001	14,222	16,620	(2,398)	100 00%	(2,398)	-
GAM	1,000	7/26/2011	12/6/2001	28,405	33,324	(4,919)	100 00%	(4,919)	-
CET	CGD	12/22/10				71,250	23 44%	16,701	54,549
ADX	CGD	12/22/10				662,932	23 44%	155,371	507,560
GAM	CGD	12/27/10				23,933	100 00%	23,933	-
LAQ	CGD	01/14/11				24,691	23 39%	5,776	18,915
ADX	CGD	03/01/11				24,675	23 39%	5,772	18,903
CET	CGD	06/30/11				17,100	23 43%	4,007	13,093
				1,446,062	2,159,754	110,888		3,658	107,231
				2,273,606	3,093,269	736,538		3,658	732,881

Grants & Contributions Paid During the Year or Approved for Future Payment:

1.)	Asociación Pro-Bienestar de la Familia de Guatemala	
	9a Calle0-57, Zona 1	
	Guatemala City, Guatemala	
	Amount of grant approved	\$ 67,018 00
	Amount paid during the year	\$ 67,018.00
	Amount to be paid as of 9/30/11.....	\$ 0 00

Foundation Status of Recipient

A grant of \$67,018.00 was approved to the Asociación Pro-Bienestar de la Familia de Guatemala ("APROFAM"), a Guatemalan charitable organization, which is the Member Association in Guatemala of the International Planned Parenthood Federation. APROFAM is not a U.S. section 501(c)(3) organization that is described in section 509(a)(1) of the Internal Revenue Code ("Code"). This is an expenditure responsibility grant.

Purpose of Grant or Contribution

This grant was approved to help support activities in Guatemala to expand and improve services for reproductive health in the Departments of Petén, Alta Verapaz, Baja Verapaz, Sololá, Quetzaltenango, San Marcos, Huehuetenango, Totonicapán and Quiché.

2.)	Fundación Mexicana para la Planeación Familiar, A.C	
	Juárez 208, Tlalpan 14000, México D.F.	
	Amount of grant approved.....	\$ 456,704 52
	Amount paid during the year.....	\$ 345,565 00
	Amount to be paid as of 9/30/11.....	\$ 111,139 52

Foundation Status of Recipient:

A contribution of \$456,704 52 was made to Fundación Mexicana para la Planeación Familiar, A.C. ("Mexfam"), a Mexican charitable organization, which is the Member Association in Mexico of the International Planned Parenthood Federation. Mexfam is considered to be equivalent to a United States public charity exempt under Sec. 501 (c)(3) of the Internal Revenue Code of the United States of America as a publicly supported charity under Sec. 509 (a)(1) and defined in Sec. 170(b)(1)(A)(vi) of the Internal Revenue Code of the United States of America in order that BF may include the grant as a qualifying distribution in satisfaction of BF's minimum distribution requirements because (i) Mexfam is an organization that qualifies under Article 97 special authorization of the Income Tax Laws of the United Mexican States to issue tax-deductible receipts; and (ii) Article 17 of the Protocol adopted pursuant to Article 22, paragraph 2 of the Mexico-United States Income Tax Treaty provides that a Mexican charitable organization that so qualifies shall be treated as the equivalent of an organization described in Section 509(a)(1) of the Code.

Purpose of Grant or Contribution:

These grants were made to help support activities in Mexico to expand and improve services for reproductive health and for incentives for promoters in the rural areas.

Purpose of Grant or Contribution:

These funds are being used for the purchase of SINO II for their program in Bolivia

- 6.) Philanthropic Ventures Foundation
1222 Preservation Park Way Oakland, CA 94612-1201
- | | |
|---|--------------|
| Amount of grant approved. | \$197,500 00 |
| Amount paid during the year | \$197,500.00 |
| Amount to be paid as of 9/30/11 | \$ 0 00 |

Foundation Status of Recipient

A grant of \$197,500.00 was approved to Philanthropic Ventures Foundation which is listed in the Internal Revenue Service Publication 78. Philanthropic Ventures Foundation is a publicly supported charity under Sec. 509(a)(1) and defined in Sec. 170(b)(1)(A)(vi) and is an organization exempt under Sec. 501(c)(3) of the Internal Revenue Code. It is not a private foundation as defined in Sec. 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public

Purpose of Grant or Contribution

These funds provide support for the improvement of reproductive health in Latin America.

- 7.) Planned Parenthood Federation of America, Inc
810 Seventh Ave., New York, NY 10019
- | | |
|---|--------------|
| Amount of grant approved. | \$160,000 00 |
| Amount paid during the year | \$160,000 00 |
| Amount to be paid as of 9/30/11.. . . . | \$ 0 00 |

Foundation Status of Recipient

A grant of \$160,000.00 was approved to Planned Parenthood Federation of America, Inc. which is listed in the Internal Revenue Service Publication 78. Planned Parenthood Federation of America, Inc. is a publicly supported charity under Sec. 509(a)(1) and defined in Sec. 170(b)(1)(A)(vi) and is an organization exempt under Sec. 501(c)(3) of the Internal Revenue Code. It is not a private foundation as defined in Sec. 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public.

Purpose of Grant or Contribution:

These funds provide partial support for the provision of family planning and reproductive health services in Ecuador and Peru.

- 8.) Women's International Network for Guatemalan Solutions, Inc.
793 Ashbury Street
San Francisco, CA 94117
- | | |
|---------------------------------------|--------------|
| Amount of grant approved..... | \$ 89,325.00 |
| Amount paid during the year..... | \$ 66,994 00 |
| Amount to be paid as of 9/30/11 | \$ 22,331.00 |

Foundation Status of Recipient:

A grant of \$89,325 00 was approved to Women's International Network for Guatemalan Solutions ("Wings") which is listed in the Internal Revenue Service Publication 78. Population Services International is a publicly supported charity under Sec 509(a)(1) and defined in Sec 170(b)(1)(A)(vi) and is an organization exempt under Sec. 501(c)(3) of the Internal Revenue Code. It is not a private foundation as defined in Sec. 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public.

Purpose of Grant or Contribution:

These funds are being used to support the provision of family planning in Guatemala.

Grants paid during the year approved prior to October 1, 2010

- 1.) Asociación Pro-Bienestar de la Familia de Guatemala
9a Calle0-57, Zona 1
Guatemala City, Guatemala
- | | |
|---------------------------------------|---------------|
| Amount of grant approved | \$ 690,514.33 |
| Amount paid during the year | \$ 344,776 00 |
| Amount to be paid as of 9/30/11 | \$ 54,437 26 |

Foundation Status of Recipient

A grant of \$690,514.33 was approved to the Asociación Pro-Bienestar de la Familia de Guatemala ("APROFAM"), a Guatemalan charitable organization, which is the Member Association in Guatemala of the International Planned Parenthood Federation. APROFAM is **not** a U.S section 501(c)(3) organization that is described in section 509(a)(1) of the Internal Revenue Code ("Code") This is an expenditure responsibility grant.

Purpose of Grant or Contribution

This grant was approved to help support activities in Guatemala to expand and improve services for reproductive health in the Departments of Peten, Alta Verapaz, Baja Verapaz, Solola, Quetzaltenango, San Marcos, Huehuetenango, Totonicapan and Quiche.

- 2.) Grupo de Información en Reproducción Elegida, A.C (GIRE)
Viena 160, Colonia del Carmen Coyoacan
C.P. 04100
México D.F., México
- | | |
|--------------------------------------|--------------|
| Amount of grants approved | \$ 25,000 00 |
| Amount paid during the year..... | \$ 0.00 |
| Amount to be paid as of 9/30/11..... | \$ 3,000.00 |

Foundation Status of Recipient:

A contribution of \$25,000 00 was made to Grupo de Información en Reproducción Elegida, A.C. (GIRE), a Mexican charitable organization, which is considered to be equivalent to a United States public charity

exempt under Sec 501 (c)(3) of the Internal Revenue Code of the United States of America as a publicly supported charity under Sec 509 (a)(1) and defined in Sec. 170(b)(1)(A)(vi) of the Internal Revenue Code of the United States of America in order that BF may include the grant as a qualifying distribution in satisfaction of BF's minimum distribution requirements because (i) GIRE is an organization that qualifies under Article 97 special authorization of the Income Tax Laws of the United Mexican States to issue tax-deductible receipts, and (ii) Article 17 of the Protocol adopted pursuant to Article 22, paragraph 2 of the Mexico-United States Income Tax Treaty provides that a Mexican charitable organization that so qualifies shall be treated as the equivalent of an organization described in Section 509(a)(1) of the Code.

Purpose of Grant or Contribution

This grant will be used for the project on state level judicial strategies in Mexico.

3)	International Planned Parenthood Federation 120 Wall Street, New York, NY 10005	
	Amount of grants approved	\$1,420,000.00
	Amount paid during the year	\$ 319,000.00
	Amount to be paid as of 9/30/11.....	\$ 448,500.00

Foundation Status of Recipient

A grant of \$1,420,000.00 was approved to International Planned Parenthood Federation which is listed in the Internal Revenue Service Publication 78. International Planned Parenthood Federation is a publicly supported charity under Sec 509(a)(1) and defined in Sec. 170(b)(1)(A)(vi) and is an organization exempt under Sec 501(c)(3) of the Internal Revenue Code. It is not a private foundation as defined in Sec 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public.

Purpose of Grant or Contribution

These funds provide partial support to increase access to post abortion care and contraception in Barbados, Bolivia, Colombia, Mexico, Peru, Puerto Rico, Trinidad, Uruguay and Venezuela

4.)	International Women's Health Coalition 24 East 21 Street, New York, NY 10010	
	Amount of grant approved	\$ 125,000 00
	Amount paid during the year	\$ 62,500 00
	Amount to be paid as of 9/30/11.....	\$ 0.00

Foundation Status of Recipient

A grant of \$125,000.00 was approved to International Women's Health Coalition which is listed in the Internal Revenue Service Publication 78. International Women's Health Coalition is a publicly supported charity under Sec. 509(a)(1) and defined in Sec. 170(b)(1)(A)(vi) and is an organization exempt under Sec. 501(c)(3) of the Internal Revenue Code. It is not a private foundation as defined in Sec. 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public.

Purpose of Grant or Contribution

These funds are being used by the International Women's Health Coalition to support work in Brazil and Peru to expand and improve reproductive health care.

5.) Ipas
 300 Market Street, Suite 200, Chapel Hill, NC 27516

Amount of grant approved	\$ 100,000.00
Amount paid during the year.....	\$ 60,000 00
Amount to be paid as of 9/30/11.....	\$ 0 00

Foundation Status of Recipient:

A contribution of \$100,000 00 was made to Ipas which is listed in the Internal Revenue Service Publication 78. Ipas is a publicly supported charity under Sec. 509(a)(1) and defined in Sec 170(b)(1)(A)(vi) and is an organization exempt under Sec. 501(c)(3) of the Internal Revenue Code. It is not a private foundation as defined in Sec 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public

Purpose of Grant or Contribution

These funds are being used to fund part of the expenditures by Ipas for Central American field operations to improve reproductive health services.

6) Population Connection
 2120 L Street NW, Suite 500
 Washington, DC 20037

Amount of grant approved..	\$ 25,500.00
Amount paid during the year..	\$ 12,750 00
Amount to be paid as of 9/30/11	\$ 0.00

Foundation Status of Recipient:

A grant of \$25,500 00 was approved to Population Connection which is listed in the Internal Revenue Service Publication 78. Population Connection is a publicly supported charity under Sec 509(a)(1) and defined in Sec 170(b)(1)(A)(vi) and is an organization exempt under Sec 501(c)(3) of the Internal Revenue Code. It is not a private foundation as defined in Sec. 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public.

Purpose of Grant or Contribution:

These funds will fund the World of 7 Billion Website and the World of 7 Billion Student Contest .

Total grants approved... ..	\$1,490,873.52
Total grants paid during the year.....	\$1,165,403.00
Total grants approved (during the year) to be paid as of 9/30/11.....	\$ 325,470.52

Total grants approved (prior years) to be paid.....	\$ 773,009 96
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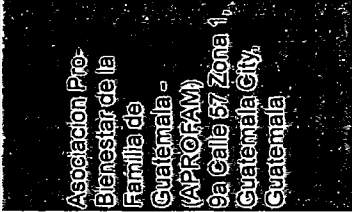
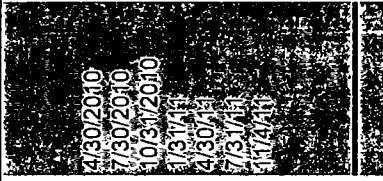
ERIK E. AND EDITH H. BERGSTROM FOUNDATION,
A CHARITABLE TRUST

91-2155835

FORM 990-PF, PART VII-B, LINE 1a(3) - SELF-DEALING ACTIVITIES

OFFICE SPACE WAS FURNISHED, WITHOUT CHARGE, BY THIS FOUNDATION'S
MANAGER.

REFERENCE: INTERNAL REVENUE SERVICE REGULATION SECTION 53.4941 (d)-2

Grantee Name & Ac Award Date	Grant	Grant Amount in Q	Grant Purpose / Project Description	Q Amount Expended by Grantee		To the Grantor's Knowledge, Grantee Has Diverted a	Date of Reports Received from Grantee	Dates and Results of Any Verification of the Grantee's Reports	
				Grantee	Grantee				
	14-Dec-09	5,725,400.00	Help support activities in Guatemala to expand and improve services for reproductive health in the departments of the Peten, Alta Verapaz, Baja Verapaz, San Marcos, Quetzaltenango, Huehuetenango, Solola, Totonicapan, Quiche and Izabal.	5,289,022.00	No			Copies of Aprofam/s audited financial reports. APROFAM is the Member Association in Guatemala of the International Planned Parenthood Federation. Verification trip made on April, 2011 by Sarah J. Holcombe, Program Officer of the Foundation, fluent in Spanish.	

Grant Amount

A grant for 24 months was approved on December, 2009 in the amount of Q5,725,400 to be paid in quarterly installments contingent upon certain requirements. During fiscal year 2010 Q3,021,150 was granted.

Amount Expended by grantee

In fiscal years 2010 Q2,076,772.23 were spent from the grant approved on November, 2009
 In fiscal year 2011 Q3,212,249.77 were spent from the grant approved on November 2009