Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Nonexempt Charitable Trust**
**Treated as a Private Foundation**

**Note.** The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

**2010**

**For calendar year 2010, or tax year beginning** 10/01 **, 2010, and ending** 09/30, 20 11

**G** Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return
[X] Amended return [ ] Address change [ ] Name change

Name of foundation: ARTHUR F & ALICE E ADAMS

**A Employer identification number:** 65-6003785

Number and street (or P O box number if mail is not delivered to street address): 1525 W W.T. HARRIS BLVD. D1114-044

Room/suite:

**B** Telephone number (see page 10 of the instructions): (336) 747-8186

City or town, state, and ZIP code: CHARLOTTE, NC 28288

**C** If exemption application is pending, check here ▶ [ ]

**D** 1 Foreign organizations, check here ▶ [ ]
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

**H** Check type of organization: [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

**I** Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 15,036,631.

**J** Accounting method: [X] Cash [ ] Accrual
[ ] Other (specify) ____
*(Part I, column (d) must be on cash basis.)*

**Part I** **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)*

| | Line | Description | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|---|
| Revenue | 1 | Contributions, gifts, grants, etc., received (attach schedule) | | | | |
| | 2 | Check ▶ [X] if the foundation is **not** required to attach Sch B | | | | |
| | 3 | Interest on savings and temporary cash investments | 52. | 52. | | STMT 1 |
| | 4 | Dividends and interest from securities | 527,599. | 518,136. | | STMT 2 |
| | 5a | Gross rents | | | | |
| | b | Net rental income or (loss) | | | | |
| | 6a | Net gain or (loss) from sale of assets not on line 10 | 276,607. | | | |
| | b | Gross sales price for all assets on line 6a 4,550,852. | | | | |
| | 7 | Capital gain net income (from Part IV, line 2) | | 276,607. | | |
| | 8 | Net short-term capital gain | | | | |
| | 9 | Income modifications | | | | |
| | 10a | Gross sales less returns and allowances | | | | |
| | b | Less Cost of goods sold | | | | |
| | c | Gross profit or (loss) (attach schedule) | | | | |
| | 11 | Other income (attach schedule) | 13,032. | 1,878. | | STMT 9 |
| | 12 | **Total.** Add lines 1 through 11 | 817,290. | 796,673. | | |
| Operating and Administrative Expenses | 13 | Compensation of officers, directors, trustees, etc | 292,806. | 162,393. | | 130,413. |
| | 14 | Other employee salaries and wages | | NONE | NONE | |
| | 15 | Pension plans, employee benefits | | NONE | NONE | |
| | 16a | Legal fees (attach schedule) | | | | |
| | b | Accounting fees (attach schedule) | | | | |
| | c | Other professional fees (attach schedule) STMT 10 | 9,609. | 9,609. | | |
| | 17 | Interest | | | | |
| | 18 | Taxes (attach schedule) (see page 14 of the instructions) STMT 11 | 9,793. | 5,625. | | |
| | 19 | Depreciation (attach schedule) and depletion | | | | |
| | 20 | Occupancy | | | | |
| | 21 | Travel, conferences, and meetings | | NONE | NONE | |
| | 22 | Printing and publications | | NONE | NONE | |
| | 23 | Other expenses (attach schedule) STMT 12 | 4,812. | 9,996. | | 3,713. |
| | 24 | **Total operating and administrative expenses.** Add lines 13 through 23 | 317,020. | 187,623. | NONE | 134,126. |
| | 25 | Contributions, gifts, grants paid | 636,500. | | | 636,500. |
| | 26 | **Total expenses and disbursements** Add lines 24 and 25 | 953,520. | 187,623. | NONE | 770,626. |
| | 27 | Subtract line 26 from line 12: | | | | |
| | a | **Excess of revenue over expenses and disbursements** | -136,230. | | | |
| | b | **Net investment income** (if negative, enter -0-) | | 609,050. | | |
| | c | **Adjusted net income** (if negative, enter -0-) | | | | |

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## Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )

| | | | Beginning of year | End of year | |
|---|---|---|---|---|---|
| | | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 | Cash - non-interest-bearing | | | |
| | 2 | Savings and temporary cash investments | 1,271,037. | 563,981. | 563,981. |
| | 3 | Accounts receivable ▶ | | | |
| | | Less· allowance for doubtful accounts ▶ | | | |
| | 4 | Pledges receivable ▶ | | | |
| | | Less. allowance for doubtful accounts ▶ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions) | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶ | | | |
| | | Less. allowance for doubtful accounts ▶ NONE | | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | | | |
| | 10 a | Investments - U S and state government obligations (attach schedule) STMT 13 | | 1,355,591. | 1,451,245. |
| | b | Investments - corporate stock (attach schedule) STMT 15 | | 2,128,857. | 2,026,376. |
| | c | Investments - corporate bonds (attach schedule) STMT 20 | | 1,426,541. | 1,491,281. |
| | 11 | Investments - land, buildings, and equipment basis ▶ | | | |
| | | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 12 | Investments - mortgage loans | | | |
| | 13 | Investments - other (attach schedule) STMT 22 | 14,600,828. | 10,260,021. | 9,503,748. |
| | 14 | Land, buildings, and equipment basis ▶ | | | |
| | | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 15 | Other assets (describe ▶ ) | | | |
| | 16 | Total assets (to be completed by all filers - see the instructions Also, see page 1, item I) | 15,871,865. | 15,734,991. | 15,036,631. |
| Liabilities | 17 | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | | | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | |
| | 22 | Other liabilities (describe ▶ ) | | | |
| | 23 | Total liabilities (add lines 17 through 22) | | | |
| Net Assets or Fund Balances | | **Foundations that follow SFAS 117, check here ▶ [ ] and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 | Unrestricted | | | |
| | 25 | Temporarily restricted | | | |
| | 26 | Permanently restricted | | | |
| | | **Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ [X]** | | | |
| | 27 | Capital stock, trust principal, or current funds | 15,871,865. | 15,734,991. | |
| | 28 | Paid-in or capital surplus, or land, bldg , and equipment fund | | | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | | | |
| | 30 | Total net assets or fund balances (see page 17 of the instructions) | 15,871,865. | 15,734,991. | |
| | 31 | Total liabilities and net assets/fund balances (see page 17 of the instructions) | 15,871,865. | 15,734,991. | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 15,871,865. |
| 2 | Enter amount from Part I, line 27a | 2 | -136,230. |
| 3 | Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 24 | 3 | 32,906. |
| 4 | Add lines 1, 2, and 3 | 4 | 15,768,541. |
| 5 | Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 25 | 5 | 33,550. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 15,734,991. |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P-Purchase D-Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | SEE PART IV DETAIL | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | | |
|---|---|---|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } | 2 | 276,607. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8 | 3 | |

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|---|---|---|---|
| 2009 | 1,471,015. | 10,556,952. | 0.13934088172 |
| 2008 | 1,293,173. | 14,509,341. | 0.08912692865 |
| 2007 | 1,529,808. | 19,171,467. | 0.07979608446 |
| 2006 | 2,220,862. | 20,152,628. | 0.11020210367 |
| 2005 | 929,509. | 17,004,982. | 0.05466098112 |

| | | |
|---|---|---|
| 2 **Total** of line 1, column (d) | 2 | 0.47312697962 |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0.09462539592 |
| 4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5 | 4 | 16,294,726. |
| 5 Multiply line 4 by line 3 | 5 | 1,541,895. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 6,091. |
| 7 Add lines 5 and 6 | 7 | 1,547,986. |
| 8 Enter qualifying distributions from Part XII, line 4 | 8 | 770,626. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)**

| | | | |
|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ______ (attach copy of ruling letter if necessary - see instructions) | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b | 1 | 12,181. |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 2 | |
| 3 | Add lines 1 and 2 | 3 | 12,181. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 4 | NONE |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- | 5 | 12,181. |
| 6 | Credits/Payments | | |
| a | 2010 estimated tax payments and 2009 overpayment credited to 2010 — 6a: 3,687. | | |
| b | Exempt foreign organizations-tax withheld at source — 6b: NONE | | |
| c | Tax paid with application for extension of time to file (Form 8868) — 6c: 9,497. | | |
| d | Backup withholding erroneously withheld — 6d: | | |
| 7 | Total credits and payments. Add lines 6a through 6d | 7 | 13,184. |
| 8 | Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | 9 | |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | 10 | 1,003. |
| 11 | Enter the amount of line 10 to be: **Credited to 2011 estimated tax** ▶ Refunded ▶ | 11 | 1,003. |

**Part VII-A Statements Regarding Activities**

| | | Line | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? | 1b | | X |
| | *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶$ ______ (2) On foundation managers ▶ $ ______ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities* | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.* | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV* | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ STMT 26 | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV* | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* | 10 | | X |

**Part VII-A Statements Regarding Activities** *(continued)*

| | | Line | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions) | 11 | | X |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ N/A

14 The books are in care of ▶ Wells Fargo Bank N.A. Telephone no. ▶ (336)747-8186
Located at ▶ 1W 4TH STREET 6TH FLOOR, WINSTON SALEM, NC ZIP + 4 ▶ 27101-3818

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

| | | Line | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22 1. If "Yes," enter the name of the foreign country ▶ | | | |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | Line | Yes | No |
|---|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly) | | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? | ☒ Yes ☐ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | ☒ Yes ☐ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) | ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? | | 1b | | X |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010? | | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | | |
| a | At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? | ☐ Yes ☒ No | | | |
| | If "Yes," list the years ▶ ______, ______, ______, ______ | | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 22 of the instructions ) | | 2b | | X |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______ | | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2010 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010 )* | | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010? | | 4b | | X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

| | | Yes | No |
|---|---|---|---|
| **5a** During the year did the foundation pay or incur any amount to | | | |
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? . . . . . . ☐ Yes ☒ No | | | |
| (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? . . . . . . ☐ Yes ☒ No | | | |
| (3) Provide a grant to an individual for travel, study, or other similar purposes? . . . . . ☐ Yes ☒ No | | | |
| (4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) . . . . ☐ Yes ☒ No | | | |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . . ☐ Yes ☒ No | | | |
| **b** If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? . . . . . . | 5b | | |
| Organizations relying on a current notice regarding disaster assistance check here . . . . . ▶ ☐ | | | |
| **c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . . ☐ Yes ☐ No | | | |
| *If "Yes," attach the statement required by Regulations section 53.4945-5(d)* | | | |
| **6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . . ☐ Yes ☒ No | | | |
| **b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . . | 6b | | X |
| *If "Yes" to 6b, file Form 8870.* | | | |
| **7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . ☐ Yes ☒ No | | | |
| **b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . . | 7b | | |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| SEE STATEMENT 27 | | 292,806. | -0- | -0- |
| | | | | |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | NONE | NONE | NONE |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 . . . . . . ▶ NONE

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | NONE |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services . . . . . . . . . . . . . . . . . . . . . . . ▶ | | NONE |

**Part IX-A** **Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 NONE | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B** **Summary of Program-Related Investments** (see page 24 of the instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 NONE | |
| 2 | |
| All other program-related investments. See page 24 of the instructions<br>3 NONE | |
| **Total.** Add lines 1 through 3 . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ | |

**Part X** **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 15,559,306. |
| b | Average of monthly cash balances | 1b | 983,563. |
| c | Fair market value of all other assets (see page 25 of the instructions) | 1c | NONE |
| d | **Total** (add lines 1a, b, and c) | 1d | 16,542,869. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | NONE |
| 3 | Subtract line 2 from line 1d | 3 | 16,542,869. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions) | 4 | 248,143. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 16,294,726. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 814,736. |

**Part XI** **Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 814,736. |
| 2a | Tax on investment income for 2010 from Part VI, line 5 | 2a | 12,181. | | |
| b | Income tax for 2010 (This does not include the tax from Part VI.) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 12,181. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | | | 3 | 802,555. |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | NONE |
| 5 | Add lines 3 and 4 | | | 5 | 802,555. |
| 6 | Deduction from distributable amount (see page 25 of the instructions) | | | 6 | NONE |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | | | 7 | 802,555. |

**Part XII** **Qualifying Distributions** (see page 25 of the instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 770,626. |
| b | Program-related investments - total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes | 2 | NONE |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | NONE |
| b | Cash distribution test (attach the required schedule) | 3b | NONE |
| 4 | **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 770,626. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions) | 5 | N/A |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 770,626. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

## Part XIII Undistributed Income (see page 26 of the instructions)

| | (a) Corpus | (b) Years prior to 2009 | (c) 2009 | (d) 2010 |
|---|---|---|---|---|
| 1 Distributable amount for 2010 from Part XI, line 7 | | | | 802,555. |
| 2 Undistributed income, if any, as of the end of 2010 | | | | |
| a Enter amount for 2009 only | | | NONE | |
| b Total for prior years 20 08 ,20 ___ ,20 ___ | | NONE | | |
| 3 Excess distributions carryover, if any, to 2010: | | | | |
| a From 2005 ... 143,498. | | | | |
| b From 2006 ... 1,248,489. | | | | |
| c From 2007 ... 599,481. | | | | |
| d From 2008 ... 572,850. | | | | |
| e From 2009 ... 949,273. | | | | |
| f **Total** of lines 3a through e | 3,513,591. | | | |
| 4 Qualifying distributions for 2010 from Part XII, line 4 ▶ $ 770,626. | | | | |
| a Applied to 2009, but not more than line 2a | | | NONE | |
| b Applied to undistributed income of prior years (Election required - see page 26 of the instructions) | | NONE | | |
| c Treated as distributions out of corpus (Election required - see page 26 of the instructions) | NONE | | | |
| d Applied to 2010 distributable amount | | | | 770,626. |
| e Remaining amount distributed out of corpus | NONE | | | |
| 5 Excess distributions carryover applied to 2010 *(If an amount appears in column (d), the same amount must be shown in column (a).)* | 31,929. | | | 31,929. |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 3,481,662. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | NONE | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | NONE | | |
| d Subtract line 6c from line 6b. Taxable amount - see page 27 of the instructions | | NONE | | |
| e Undistributed income for 2009 Subtract line 4a from line 2a. Taxable amount - see page 27 of the instructions | | | NONE | |
| f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011 | | | | |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) | NONE | | | |
| 8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions) | 111,569. | | | |
| 9 **Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a | 3,370,093. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2006 ... 1,248,489. | | | | |
| b Excess from 2007 ... 599,481. | | | | |
| c Excess from 2008 ... 572,850. | | | | |
| d Excess from 2009 ... 949,273. | | | | |
| e Excess from 2010 ... | | | | |

**Part XIV Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling . . . . . . . . . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [ ] 4942(j)(3) or [ ] 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2010 | (b) 2009 | (c) 2008 | (d) 2007 | (e) Total |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon | | | | | |
| a "Assets" alternative test - enter (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

## Part XV Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| a *Paid during the year* | | | | |
| SEE STATEMENT 38 | | | | |
| **Total** . . . . . . ▶ 3a | | | | 636,500. |
| b *Approved for future payment* | | | | |
| **Total** . . . . . . ▶ 3b | | | | |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | Unrelated business income (a) Business code | (b) Amount | Excluded by section 512, 513, or 514 (c) Exclusion code | (d) Amount | (e) Related or exempt function income (See page 28 of the instructions.) |
|---|---|---|---|---|---|
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 52. | |
| 4 Dividends and interest from securities | | | 14 | 527,599. | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 276,607. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: a | | | | | |
| b UST REFUND | | | 01 | 13,032. | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e) | | | | 817,290. | |

13 Total. Add line 12, columns (b), (d), and (e) . . . . . 13 817,290.

(See worksheet in line 13 instructions on page 29 to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions.) |
|---|---|
| | NOT APPLICABLE |

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of. | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee WELLS FARGO BANK, N.A. | Date 03/22/2012 | Title Trustee

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| | | | | |

Firm's name ▶ | Firm's EIN ▶

Firm's address ▶ | Phone no



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# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | TOTAL SHORT-TERM CAPITAL GAIN DIVIDENDS | | | | | 6,711. | |
| | | TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS | | | | | 22,430. | |
| | | 61. CITRIX SYS INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 10/09/2009 | 10/04/2010 |
| 4,140.00 | | 2,679.00 | | | | | 1,461.00 | |
| | | 779. PFIZER INC COM W/RTS ATTACHED EXP 1<br>PROPERTY TYPE: SECURITIES | | | | | 05/05/2010 | 10/04/2010 |
| 13,330.00 | | 13,537.00 | | | | | -207.00 | |
| | | 193. WALGREEN CO COM W/RTS ATTACHED EXP<br>PROPERTY TYPE: SECURITIES | | | | | 02/24/2010 | 10/04/2010 |
| 6,413.00 | | 6,225.00 | | | | | 188.00 | |
| | | 3854.24 AMERICREDIT AUTOMOBILE REVEIVABL<br>PROPERTY TYPE: SECURITIES | | | | | 05/09/2006 | 10/06/2010 |
| 3,854.00 | | 3,854.00 | | | | | | |
| | | 120. AIR PRODS & CHEMICALS INC COM W/ RT<br>PROPERTY TYPE: SECURITIES | | | | | 03/08/2010 | 10/12/2010 |
| 9,902.00 | | 8,894.00 | | | | | 1,008.00 | |
| | | 375. FIRSTENERGY CORP COM 12/01/2004<br>PROPERTY TYPE: SECURITIES | | | | | 11/05/2008 | 10/12/2010 |
| 14,374.00 | | 24,856.00 | | | | | -10,482.00 | |
| | | 185. MOSAIC CO<br>PROPERTY TYPE: SECURITIES | | | | | 07/20/2010 | 10/12/2010 |
| 12,524.00 | | 8,542.00 | | | | | 3,982.00 | |
| | | 262. KIMBERLY-CLARK CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 06/04/2010 | 10/13/2010 |
| 17,495.00 | | 16,335.00 | | | | | 1,160.00 | |
| | | 165. RANGE RES CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/15/2010 | 10/13/2010 |
| 5,956.00 | | 8,369.00 | | | | | -2,413.00 | |
| | | 50000. DEERE & CO 6.950% 4/25<br>PROPERTY TYPE: SECURITIES | | | | | 02/24/2006 | 10/15/2010 |
| 59,581.00 | | 55,612.00 | | | | | 3,969.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 953.67 FHLMC POOL #G18106 5.500% 3/01<br>PROPERTY TYPE: SECURITIES | | | | | 03/24/2006 | 10/15/2010 |
| 954.00 | | 953.00 | | | | | 1.00 | |
| | | 715.55 FHLMC POOL #J01366 5.500% 4/01<br>PROPERTY TYPE: SECURITIES | | | | | 10/15/2007 | 10/15/2010 |
| 716.00 | | 713.00 | | | | | 3.00 | |
| | | 18.23 FEDERAL HOME LN MTG CORP PARTN CTF<br>PROPERTY TYPE: SECURITIES | | | | | 10/01/2001 | 10/15/2010 |
| 18.00 | | 18.00 | | | | | | |
| | | 211.08 FEDERAL HOME LN MTG CORP PARTN CT<br>PROPERTY TYPE: SECURITIES | | | | | 11/02/2001 | 10/15/2010 |
| 211.00 | | 213.00 | | | | | -2.00 | |
| | | 2.87 GOVERNMENT NATL MTG ASSN MTG PASS T<br>PROPERTY TYPE: SECURITIES | | | | | 05/14/2001 | 10/15/2010 |
| 3.00 | | 3.00 | | | | | | |
| | | 180. UNITEDHEALTH GRP INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/16/2009 | 10/15/2010 |
| 6,467.00 | | 5,804.00 | | | | | 663.00 | |
| | | 35000. XTO ENERGY INC NT DTD 08/07/07 6.<br>PROPERTY TYPE: SECURITIES | | | | | 08/05/2008 | 10/19/2010 |
| 44,576.00 | | 34,912.00 | | | | | 9,664.00 | |
| | | 104. TARGET CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 10/09/2009 | 10/21/2010 |
| 5,623.00 | | 5,248.00 | | | | | 375.00 | |
| | | 75. UNITED TECHNOLOGIES CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/21/2009 | 10/21/2010 |
| 5,640.00 | | 4,014.00 | | | | | 1,626.00 | |
| | | 210. ORACLE CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/14/2009 | 10/22/2010 |
| 6,079.00 | | 5,091.00 | | | | | 988.00 | |
| | | 312. PPL CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 07/08/2010 | 10/22/2010 |
| 8,405.00 | | 8,226.00 | | | | | 179.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 103. AFLAC INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/08/2009 | 10/25/2010 |
| 5,720.00 | | 4,004.00 | | | | | 1,716.00 | |
| | | 15.24 FEDERAL NATL MTG ASSN GTD MTG PASS<br>PROPERTY TYPE: SECURITIES | | | | | 04/06/2001 | 10/25/2010 |
| 15.00 | | 15.00 | | | | | | |
| | | 540.85 FEDERAL NATL MTG ASSN GTD MTG PAS<br>PROPERTY TYPE: SECURITIES | | | | | 07/02/2001 | 10/25/2010 |
| 541.00 | | 533.00 | | | | | 8.00 | |
| | | 12.44 FEDERAL NATL MTG ASSN GTD MTG PASS<br>PROPERTY TYPE: SECURITIES | | | | | 03/05/2002 | 10/25/2010 |
| 12.00 | | 12.00 | | | | | | |
| | | 163.82 FNMA POOL #836631 5.000% 10/01<br>PROPERTY TYPE: SECURITIES | | | | | 10/15/2007 | 10/25/2010 |
| 164.00 | | 161.00 | | | | | 3.00 | |
| | | 182.72 FNMA POOL #880971 5.500% 10/01<br>PROPERTY TYPE: SECURITIES | | | | | 01/08/2007 | 10/25/2010 |
| 183.00 | | 183.00 | | | | | | |
| | | 205. PAYCHEX INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/24/2010 | 10/25/2010 |
| 5,773.00 | | 6,004.00 | | | | | -231.00 | |
| | | 373. TIME WARNER INC<br>PROPERTY TYPE: SECURITIES | | | | | 05/13/2009 | 10/25/2010 |
| 11,843.00 | | 8,452.00 | | | | | 3,391.00 | |
| | | 169. BMC SOFTWARE INC COM RTS EXP 05/12/<br>PROPERTY TYPE: SECURITIES | | | | | 04/29/2010 | 10/26/2010 |
| 7,435.00 | | 6,613.00 | | | | | 822.00 | |
| | | 15000. U S TREASURY NOTE 4.500% 2/28<br>PROPERTY TYPE: SECURITIES | | | | | 10/02/2008 | 10/26/2010 |
| 15,223.00 | | 15,973.00 | | | | | -750.00 | |
| | | 36. MCDONALDS CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 06/09/2010 | 10/28/2010 |
| 2,795.00 | | 2,474.00 | | | | | 321.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 254.146 ST JUDE MED INC COM W/RTS ATTACH<br>PROPERTY TYPE: SECURITIES | | | | | 07/26/2010 | 10/29/2010 |
| 9,694.00 | | 9,836.00 | | | | | -142.00 | |
| | | 182.854 ST JUDE MED INC COM W/RTS ATTACH<br>PROPERTY TYPE: SECURITIES | | | | | 05/12/2009 | 10/29/2010 |
| 6,974.00 | | 7,077.00 | | | | | -103.00 | |
| | | 475. WALGREEN CO COM W/RTS ATTACHED EXP<br>PROPERTY TYPE: SECURITIES | | | | | 07/14/2010 | 10/29/2010 |
| 16,084.00 | | 15,322.00 | | | | | 762.00 | |
| | | 193. HALLIBURTON CO COM W/RIGHTS EXP 12/<br>PROPERTY TYPE: SECURITIES | | | | | 10/16/2009 | 11/01/2010 |
| 6,248.00 | | 5,287.00 | | | | | 961.00 | |
| | | 46.0443 SELECTINVEST INST ARV ASP U/INT<br>PROPERTY TYPE: SECURITIES | | | | | 10/30/2007 | 11/01/2010 |
| 44,700.00 | | 48,883.00 | | | | | -4,183.00 | |
| | | 336. PAYCHEX INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/24/2010 | 11/04/2010 |
| 9,485.00 | | 9,841.00 | | | | | -356.00 | |
| | | 254. STAPLES INC COM W/INVISIBLE RTS TO<br>PROPERTY TYPE: SECURITIES | | | | | 05/03/2010 | 11/05/2010 |
| 5,271.00 | | 5,686.00 | | | | | -415.00 | |
| | | 172. STAPLES INC COM W/INVISIBLE RTS TO<br>PROPERTY TYPE: SECURITIES | | | | | 08/17/2009 | 11/05/2010 |
| 3,569.00 | | 3,850.00 | | | | | -281.00 | |
| | | 182.98 AMERICREDIT AUTOMOBILE REVEIVABLE<br>PROPERTY TYPE: SECURITIES | | | | | 05/09/2006 | 11/08/2010 |
| 183.00 | | 183.00 | | | | | | |
| | | 43. COLGATE-PALMOLIVE CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/25/2010 | 11/10/2010 |
| 3,306.00 | | 3,466.00 | | | | | -160.00 | |
| | | 190. TEVA PHARMACEUTICAL INDS LTD ADR<br>PROPERTY TYPE: SECURITIES | | | | | 06/29/2009 | 11/10/2010 |
| 9,526.00 | | 9,240.00 | | | | | 286.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 440. CISCO SYS INC COM W/RIGHTS ATTACHED<br>PROPERTY TYPE: SECURITIES | | | | | 11/05/2008 | 11/11/2010 |
| 9,118.00 | | 10,434.00 | | | | | -1,316.00 | |
| | | 157. AMGEN INC COM W/RTS ATTACHED EXP 03<br>PROPERTY TYPE: SECURITIES | | | | | 08/18/2010 | 11/12/2010 |
| 8,553.00 | | 8,502.00 | | | | | 51.00 | |
| | | 298. DIRECTV-CLASS A<br>PROPERTY TYPE: SECURITIES | | | | | 10/30/2009 | 11/15/2010 |
| 12,603.00 | | 7,571.00 | | | | | 5,032.00 | |
| | | 1022.53 FHLMC POOL #G18106 5.500% 3/0<br>PROPERTY TYPE: SECURITIES | | | | | 03/24/2006 | 11/15/2010 |
| 1,023.00 | | 1,022.00 | | | | | 1.00 | |
| | | 847.98 FHLMC POOL #J01366 5.500% 4/01<br>PROPERTY TYPE: SECURITIES | | | | | 10/15/2007 | 11/15/2010 |
| 848.00 | | 845.00 | | | | | 3.00 | |
| | | 18.35 FEDERAL HOME LN MTG CORP PARTN CTF<br>PROPERTY TYPE: SECURITIES | | | | | 10/01/2001 | 11/15/2010 |
| 18.00 | | 18.00 | | | | | | |
| | | 504.46 FEDERAL HOME LN MTG CORP PARTN CT<br>PROPERTY TYPE: SECURITIES | | | | | 11/02/2001 | 11/15/2010 |
| 504.00 | | 509.00 | | | | | -5.00 | |
| | | 2.84 GOVERNMENT NATL MTG ASSN MTG PASS T<br>PROPERTY TYPE: SECURITIES | | | | | 05/14/2001 | 11/15/2010 |
| 3.00 | | 3.00 | | | | | | |
| | | 141. JOHNSON & JOHNSON COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/22/2008 | 11/15/2010 |
| 9,052.00 | | 7,650.00 | | | | | 1,402.00 | |
| | | 455. MICROSOFT CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 07/06/2009 | 11/15/2010 |
| 11,943.00 | | 7,841.00 | | | | | 4,102.00 | |
| | | 570. CISCO SYS INC COM W/RIGHTS ATTACHED<br>PROPERTY TYPE: SECURITIES | | | | | 09/30/2009 | 11/18/2010 |
| 11,212.00 | | 13,012.00 | | | | | -1,800.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 151. HONEYWELL INTL INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/12/2009 | 11/18/2010 |
| 7,505.00 | | 5,593.00 | | | | | 1,912.00 | |
| | | 192. MERCK & CO INC NEW<br>PROPERTY TYPE: SECURITIES | | | | | 12/16/2009 | 11/18/2010 |
| 6,761.00 | | 7,129.00 | | | | | -368.00 | |
| | | 138. DIGITAL RLTY TR INC<br>PROPERTY TYPE: SECURITIES | | | | | 07/08/2010 | 11/19/2010 |
| 7,053.00 | | 8,227.00 | | | | | -1,174.00 | |
| | | 15.32 FEDERAL NATL MTG ASSN GTD MTG PASS<br>PROPERTY TYPE: SECURITIES | | | | | 04/06/2001 | 11/25/2010 |
| 15.00 | | 15.00 | | | | | | |
| | | 274.77 FEDERAL NATL MTG ASSN GTD MTG PAS<br>PROPERTY TYPE: SECURITIES | | | | | 07/02/2001 | 11/25/2010 |
| 275.00 | | 271.00 | | | | | 4.00 | |
| | | 12.51 FEDERAL NATL MTG ASSN GTD MTG PASS<br>PROPERTY TYPE: SECURITIES | | | | | 03/05/2002 | 11/25/2010 |
| 13.00 | | 12.00 | | | | | 1.00 | |
| | | 1168.19 FNMA POOL #836631 5.000% 10/0<br>PROPERTY TYPE: SECURITIES | | | | | 10/15/2007 | 11/25/2010 |
| 1,168.00 | | 1,149.00 | | | | | 19.00 | |
| | | 194.63 FNMA POOL #880971 5.500% 10/01<br>PROPERTY TYPE: SECURITIES | | | | | 01/08/2007 | 11/25/2010 |
| 195.00 | | 195.00 | | | | | | |
| | | 72. GOLDMAN SACHS GRP INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 07/29/2010 | 12/01/2010 |
| 11,413.00 | | 10,786.00 | | | | | 627.00 | |
| | | 626. CISCO SYS INC COM W/RIGHTS ATTACHED<br>PROPERTY TYPE: SECURITIES | | | | | 07/30/2009 | 12/02/2010 |
| 11,991.00 | | 14,844.00 | | | | | -2,853.00 | |
| | | 183. AMERICAN ELEC PWR CO INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 04/07/2009 | 12/03/2010 |
| 6,569.00 | | 5,573.00 | | | | | 996.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 50. CELGENE CORP COM | | | | | 05/05/2010 | 12/09/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 2,851.00 | | 3,015.00 | | | | | -164.00 | |
| | | 45. MCDONALDS CORP COM | | | | | 06/09/2010 | 12/09/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 3,526.00 | | 3,093.00 | | | | | 433.00 | |
| | | 20000. BANK OF AMERICA CORP 7.375% 5/15 | | | | | 05/08/2009 | 12/10/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 22,036.00 | | 19,889.00 | | | | | 2,147.00 | |
| | | 120000. CITIGROUP INC 2.125% 4/3 | | | | | 08/04/2009 | 12/10/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 122,453.00 | | 120,490.00 | | | | | 1,963.00 | |
| | | 50000. GENERAL ELEC CAP COR 5.625% 9/15 | | | | | 10/03/2007 | 12/10/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 54,300.00 | | 50,401.00 | | | | | 3,899.00 | |
| | | 40000. HSBC FINANCE CORP 6.750% 5/15 | | | | | 06/12/2001 | 12/10/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 40,945.00 | | 39,965.00 | | | | | 980.00 | |
| | | 40000. IBM CORPORATION 5.700% 9/14 | | | | | 03/27/2008 | 12/10/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 45,890.00 | | 41,830.00 | | | | | 4,060.00 | |
| | | 75000. KEY BANK NA 3.200% 6/15 | | | | | 12/11/2008 | 12/10/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 77,851.00 | | 74,929.00 | | | | | 2,922.00 | |
| | | 30000. MEDTRONIC INC 3.000% 3/15 | | | | | 03/12/2010 | 12/10/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 30,867.00 | | 29,997.00 | | | | | 870.00 | |
| | | 25000. NATIONAL RURAL UTILS 7.250% 3/01 | | | | | 05/23/2005 | 12/10/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 26,854.00 | | 28,836.00 | | | | | -1,982.00 | |
| | | 112. RANGE RES CORP COM | | | | | 02/25/2010 | 12/10/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 4,724.00 | | 5,681.00 | | | | | -957.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 25000. SBC COMMUNICATIONS 5.625% 6/15<br>PROPERTY TYPE: SECURITIES | | | | | 06/04/2007 | 12/10/2010 |
| 28,144.00 | | 24,718.00 | | | | | 3,426.00 | |
| | | 25000. TARGET CORP NT DTD 3/11/2002 5.87<br>PROPERTY TYPE: SECURITIES | | | | | 04/19/2005 | 12/10/2010 |
| 26,511.00 | | 26,855.00 | | | | | -344.00 | |
| | | 70000. UNITED STATES TREAS BD DTD 02/15/<br>PROPERTY TYPE: SECURITIES | | | | | 08/28/2009 | 12/10/2010 |
| 73,680.00 | | 75,268.00 | | | | | -1,588.00 | |
| | | 100000. U S TREASURY NOTE 4.125% 5/1<br>PROPERTY TYPE: SECURITIES | | | | | 07/28/2010 | 12/10/2010 |
| 110,395.00 | | 111,418.00 | | | | | -1,023.00 | |
| | | 50000. US TREASURY NOTES 4.500% 3/31<br>PROPERTY TYPE: SECURITIES | | | | | 08/17/2010 | 12/10/2010 |
| 52,646.00 | | 53,295.00 | | | | | -649.00 | |
| | | 40000. US TREASURY NOTES 4.500% 4/30<br>PROPERTY TYPE: SECURITIES | | | | | 11/17/2008 | 12/10/2010 |
| 42,223.00 | | 43,838.00 | | | | | -1,615.00 | |
| | | 90000. US TREASURY NOTE 3.500% 2/15<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 12/10/2010 |
| 94,802.00 | | 88,805.00 | | | | | 5,997.00 | |
| | | 25000. US TREASURY NOTE 4.000% 8/15<br>PROPERTY TYPE: SECURITIES | | | | | 10/30/2008 | 12/10/2010 |
| 27,115.00 | | 25,168.00 | | | | | 1,947.00 | |
| | | 55000. US TREASURY NOTE 2.750% 2/15<br>PROPERTY TYPE: SECURITIES | | | | | 05/07/2009 | 12/10/2010 |
| 54,220.00 | | 53,843.00 | | | | | 377.00 | |
| | | 65000. US TREASURY NOTE 3.125% 5/15<br>PROPERTY TYPE: SECURITIES | | | | | 07/29/2009 | 12/10/2010 |
| 65,554.00 | | 62,400.00 | | | | | 3,154.00 | |
| | | 188. BANK NEW YORK MELLON CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 10/23/2009 | 12/13/2010 |
| 5,484.00 | | 5,398.00 | | | | | 86.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 175. JOHNSON & JOHNSON COM<br>PROPERTY TYPE: SECURITIES | | | | | 06/25/2010 | 12/13/2010 |
| 10,808.00 | | 9,494.00 | | | | | 1,314.00 | |
| | | 90. JOHNSON & JOHNSON COM<br>PROPERTY TYPE: SECURITIES | | | | | 04/06/2009 | 12/13/2010 |
| 5,558.00 | | 4,883.00 | | | | | 675.00 | |
| | | 2418.38 AMER CENT SMALL CAP GRWTH INST #<br>PROPERTY TYPE: SECURITIES | | | | | 11/07/2006 | 12/15/2010 |
| 20,000.00 | | 15,792.00 | | | | | 4,208.00 | |
| | | 810. FHLMC POOL #G18106 5.500% 3/01/2<br>PROPERTY TYPE: SECURITIES | | | | | 03/24/2006 | 12/15/2010 |
| 810.00 | | 810.00 | | | | | | |
| | | 461.58 FHLMC POOL #J01366 5.500% 4/01<br>PROPERTY TYPE: SECURITIES | | | | | 10/15/2007 | 12/15/2010 |
| 462.00 | | 460.00 | | | | | 2.00 | |
| | | 478.79 FEDERAL HOME LN MTG CORP PARTN CT<br>PROPERTY TYPE: SECURITIES | | | | | 10/01/2001 | 12/15/2010 |
| 479.00 | | 478.00 | | | | | 1.00 | |
| | | 201.96 FEDERAL HOME LN MTG CORP PARTN CT<br>PROPERTY TYPE: SECURITIES | | | | | 11/02/2001 | 12/15/2010 |
| 202.00 | | 204.00 | | | | | -2.00 | |
| | | 2.85 GOVERNMENT NATL MTG ASSN MTG PASS T<br>PROPERTY TYPE: SECURITIES | | | | | 05/14/2001 | 12/15/2010 |
| 3.00 | | 3.00 | | | | | | |
| | | 2990. ISHARES MIDCAP INDEX FD<br>PROPERTY TYPE: SECURITIES | | | | | 11/08/2006 | 12/15/2010 |
| 300,883.00 | | 253,995.00 | | | | | 46,888.00 | |
| | | 24024.024 ROWE T PRICE REAL ESTATE FD CO<br>PROPERTY TYPE: SECURITIES | | | | | 05/30/2008 | 12/15/2010 |
| 400,000.00 | | 399,795.00 | | | | | 205.00 | |
| | | 2994.012 ROYCE PREMIER FUND W CLASS #566<br>PROPERTY TYPE: SECURITIES | | | | | 08/21/2008 | 12/15/2010 |
| 60,000.00 | | 46,841.00 | | | | | 13,159.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 46. F5 NETWORKS INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/18/2010 | 12/16/2010 |
| 6,041.00 | | 4,087.00 | | | | | 1,954.00 | |
| | | 87. JP MORGAN CHASE & CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 03/13/2009 | 12/16/2010 |
| 3,477.00 | | 2,675.00 | | | | | 802.00 | |
| | | 40. LABORATORY CORP AMER HLDGS COM NEW<br>PROPERTY TYPE: SECURITIES | | | | | 05/19/2010 | 12/16/2010 |
| 3,491.00 | | 3,093.00 | | | | | 398.00 | |
| | | 132. STARBUCKS CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/07/2010 | 12/16/2010 |
| 4,300.00 | | 3,449.00 | | | | | 851.00 | |
| | | 403.003 HALLIBURTON CO COM W/RIGHTS EXP<br>PROPERTY TYPE: SECURITIES | | | | | 06/02/2010 | 12/21/2010 |
| 16,152.00 | | 11,039.00 | | | | | 5,113.00 | |
| | | 44.997 HALLIBURTON CO COM W/RIGHTS EXP 1<br>PROPERTY TYPE: SECURITIES | | | | | 10/16/2009 | 12/21/2010 |
| 1,803.00 | | 1,233.00 | | | | | 570.00 | |
| | | 110. EMERSON ELEC CO COM W/RTS ATTACHED<br>PROPERTY TYPE: SECURITIES | | | | | 07/10/2009 | 12/23/2010 |
| 6,361.00 | | 3,609.00 | | | | | 2,752.00 | |
| | | 20.37 FEDERAL NATL MTG ASSN GTD MTG PASS<br>PROPERTY TYPE: SECURITIES | | | | | 04/06/2001 | 12/25/2010 |
| 20.00 | | 20.00 | | | | | | |
| | | 375.84 FEDERAL NATL MTG ASSN GTD MTG PAS<br>PROPERTY TYPE: SECURITIES | | | | | 07/02/2001 | 12/25/2010 |
| 376.00 | | 370.00 | | | | | 6.00 | |
| | | 12.58 FEDERAL NATL MTG ASSN GTD MTG PASS<br>PROPERTY TYPE: SECURITIES | | | | | 03/05/2002 | 12/25/2010 |
| 13.00 | | 12.00 | | | | | 1.00 | |
| | | 1064.56 FNMA POOL #836631 5.000% 10/0<br>PROPERTY TYPE: SECURITIES | | | | | 10/15/2007 | 12/25/2010 |
| 1,065.00 | | 1,048.00 | | | | | 17.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 302.21 FNMA POOL #880971 5.500% 10/01<br>PROPERTY TYPE: SECURITIES | | | | | 01/08/2007 | 12/25/2010 |
| 302.00 | | 303.00 | | | | | -1.00 | |
| | | 1065.72 FHLMC POOL #G18106 5.500% 3/0<br>PROPERTY TYPE: SECURITIES | | | | | 03/24/2006 | 12/31/2010 |
| 1,066.00 | | 1,065.00 | | | | | 1.00 | |
| | | 1786.79 FHLMC POOL #J01366 5.500% 4/0<br>PROPERTY TYPE: SECURITIES | | | | | 10/15/2007 | 12/31/2010 |
| 1,787.00 | | 1,781.00 | | | | | 6.00 | |
| | | 17.67 FEDERAL HOME LN MTG CORP PARTN CTF<br>PROPERTY TYPE: SECURITIES | | | | | 10/01/2001 | 12/31/2010 |
| 18.00 | | 18.00 | | | | | | |
| | | 299.77 FEDERAL HOME LN MTG CORP PARTN CT<br>PROPERTY TYPE: SECURITIES | | | | | 11/02/2001 | 12/31/2010 |
| 300.00 | | 302.00 | | | | | -2.00 | |
| | | 20.52 FEDERAL NATL MTG ASSN GTD MTG PASS<br>PROPERTY TYPE: SECURITIES | | | | | 04/06/2001 | 12/31/2010 |
| 21.00 | | 20.00 | | | | | 1.00 | |
| | | 214.45 FEDERAL NATL MTG ASSN GTD MTG PAS<br>PROPERTY TYPE: SECURITIES | | | | | 07/02/2001 | 12/31/2010 |
| 214.00 | | 211.00 | | | | | 3.00 | |
| | | 12.65 FEDERAL NATL MTG ASSN GTD MTG PASS<br>PROPERTY TYPE: SECURITIES | | | | | 03/05/2002 | 12/31/2010 |
| 13.00 | | 13.00 | | | | | | |
| | | 761.59 FNMA POOL #836631 5.000% 10/01<br>PROPERTY TYPE: SECURITIES | | | | | 10/15/2007 | 12/31/2010 |
| 762.00 | | 750.00 | | | | | 12.00 | |
| | | 525.8 FNMA POOL #880971 5.500% 10/01/<br>PROPERTY TYPE: SECURITIES | | | | | 01/08/2007 | 12/31/2010 |
| 526.00 | | 527.00 | | | | | -1.00 | |
| | | 2.88 GOVERNMENT NATL MTG ASSN MTG PASS T<br>PROPERTY TYPE: SECURITIES | | | | | 05/14/2001 | 12/31/2010 |
| 3.00 | | 3.00 | | | | | | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 267. WAL MART STORES INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 04/06/2009 | 12/31/2010 |
| 14,372.00 | | 14,596.00 | | | | | -224.00 | |
| | | 76. POLO RALPH LAUREN CORP CL A<br>PROPERTY TYPE: SECURITIES | | | | | 10/25/2010 | 01/05/2011 |
| 8,271.00 | | 7,211.00 | | | | | 1,060.00 | |
| | | 61. COCA-COLA CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/13/2010 | 01/06/2011 |
| 3,867.00 | | 3,413.00 | | | | | 454.00 | |
| | | 71.99788 COLGATE-PALMOLIVE CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/25/2010 | 01/06/2011 |
| 5,659.00 | | 5,805.00 | | | | | -146.00 | |
| | | 12.00212 COLGATE-PALMOLIVE CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/25/2010 | 01/06/2011 |
| 943.00 | | 968.00 | | | | | -25.00 | |
| | | 71.99788 COLGATE-PALMOLIVE CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/25/2010 | 01/06/2011 |
| 5,659.00 | | 5,805.00 | | | | | -146.00 | |
| | | 12.00212 COLGATE-PALMOLIVE CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/25/2010 | 01/06/2011 |
| 943.00 | | 968.00 | | | | | -25.00 | |
| | | 47. MCDONALDS CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 06/09/2010 | 01/06/2011 |
| 3,513.00 | | 3,258.00 | | | | | 255.00 | |
| | | 56. MCDONALDS CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 06/09/2010 | 01/06/2011 |
| 4,173.00 | | 3,882.00 | | | | | 291.00 | |
| | | 102. STARBUCKS CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/07/2010 | 01/06/2011 |
| 3,255.00 | | 2,716.00 | | | | | 539.00 | |
| | | 45. MCDONALDS CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 06/09/2010 | 01/11/2011 |
| 3,337.00 | | 3,120.00 | | | | | 217.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 9. PRICELINE COM INC<br>PROPERTY TYPE: SECURITIES | | | | | 07/12/2010 | 01/11/2011 |
| 3,901.00 | | 2,024.00 | | | | | 1,877.00 | |
| | | 177. MCDONALDS CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/17/2010 | 01/12/2011 |
| 13,079.00 | | 12,270.00 | | | | | 809.00 | |
| | | 225. BAXTER INTL INC COM W/RIGHTS ATTACH<br>PROPERTY TYPE: SECURITIES | | | | | 01/16/2008 | 01/13/2011 |
| 11,328.00 | | 11,756.00 | | | | | -428.00 | |
| | | 177. KOHLS CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 11/05/2010 | 01/13/2011 |
| 9,104.00 | | 9,402.00 | | | | | -298.00 | |
| | | 39. VISA INC-CLASS A SHRS<br>PROPERTY TYPE: SECURITIES | | | | | 12/17/2010 | 01/13/2011 |
| 2,825.00 | | 2,434.00 | | | | | 391.00 | |
| | | 178. VISA INC-CLASS A SHRS<br>PROPERTY TYPE: SECURITIES | | | | | 04/14/2009 | 01/13/2011 |
| 12,892.00 | | 11,108.00 | | | | | 1,784.00 | |
| | | 168. LYONDELLBASELL INDU-CL A<br>PROPERTY TYPE: SECURITIES | | | | | 11/08/2010 | 01/13/2011 |
| 6,096.00 | | 4,766.00 | | | | | 1,330.00 | |
| | | 147. AMERICAN TOWER CORP CL A<br>PROPERTY TYPE: SECURITIES | | | | | 04/12/2010 | 01/19/2011 |
| 7,206.00 | | 6,277.00 | | | | | 929.00 | |
| | | 67. FREEPORT MCMORAN COPPER & GOLD CL B<br>PROPERTY TYPE: SECURITIES | | | | | 10/12/2010 | 01/20/2011 |
| 7,369.00 | | 6,356.00 | | | | | 1,013.00 | |
| | | 59. FREEPORT MCMORAN COPPER & GOLD CL B<br>PROPERTY TYPE: SECURITIES | | | | | 10/12/2010 | 01/20/2011 |
| 6,529.00 | | 5,597.00 | | | | | 932.00 | |
| | | 219. RANGE RES CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/10/2010 | 01/21/2011 |
| 9,986.00 | | 11,108.00 | | | | | -1,122.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 180. LYONDELLBASELL INDU-CL A<br>PROPERTY TYPE: SECURITIES | | | | | 11/08/2010 | 01/21/2011 |
| 6,296.00 | | 5,107.00 | | | | | 1,189.00 | |
| | | 155. LABORATORY CORP AMER HLDGS COM NEW<br>PROPERTY TYPE: SECURITIES | | | | | 12/17/2010 | 01/25/2011 |
| 14,041.00 | | 12,107.00 | | | | | 1,934.00 | |
| | | 70. OCCIDENTAL PETE CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 11/05/2008 | 01/25/2011 |
| 6,762.00 | | 4,896.00 | | | | | 1,866.00 | |
| | | 211. DANAHER CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 04/18/2008 | 01/26/2011 |
| 9,974.00 | | 8,153.00 | | | | | 1,821.00 | |
| | | 17. DANAHER CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/17/2010 | 01/27/2011 |
| 793.00 | | 657.00 | | | | | 136.00 | |
| | | 97. DANAHER CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 04/06/2009 | 01/27/2011 |
| 4,525.00 | | 3,748.00 | | | | | 777.00 | |
| | | 50000. FED HOME LN BK 4.500% 11/15<br>PROPERTY TYPE: SECURITIES | | | | | 01/27/2006 | 01/31/2011 |
| 53,458.00 | | 49,654.00 | | | | | 3,804.00 | |
| | | 81. OCCIDENTAL PETE CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 03/27/2009 | 01/31/2011 |
| 7,760.00 | | 5,665.00 | | | | | 2,095.00 | |
| | | 150. 3M CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/14/2009 | 01/31/2011 |
| 13,159.00 | | 12,041.00 | | | | | 1,118.00 | |
| | | 20000. U S TREASURY NOTE 4.500% 2/28<br>PROPERTY TYPE: SECURITIES | | | | | 10/02/2008 | 01/31/2011 |
| 20,064.00 | | 21,298.00 | | | | | -1,234.00 | |
| | | 458. ARCHER DANIELS MIDLAND CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 06/15/2010 | 02/02/2011 |
| 16,124.00 | | 12,357.00 | | | | | 3,767.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 15. PRICELINE COM INC<br>PROPERTY TYPE: SECURITIES | | | | | 07/12/2010 | 02/04/2011 |
| 6,535.00 | | 3,374.00 | | | | | 3,161.00 | |
| | | 355. CELGENE CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/17/2010 | 02/08/2011 |
| 17,949.00 | | 21,358.00 | | | | | -3,409.00 | |
| | | 419. NVIDIA CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/07/2011 | 02/08/2011 |
| 10,022.00 | | 8,022.00 | | | | | 2,000.00 | |
| | | 29. SOUTHWESTERN ENERGY CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/17/2010 | 02/08/2011 |
| 1,122.00 | | 1,385.00 | | | | | -263.00 | |
| | | 226. SOUTHWESTERN ENERGY CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/22/2009 | 02/08/2011 |
| 8,742.00 | | 10,790.00 | | | | | -2,048.00 | |
| | | 453. TEXAS INSTRS INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/17/2010 | 02/08/2011 |
| 15,953.00 | | 12,484.00 | | | | | 3,469.00 | |
| | | 682. CISCO SYS INC COM W/RIGHTS ATTACHED<br>PROPERTY TYPE: SECURITIES | | | | | 12/17/2010 | 02/11/2011 |
| 12,852.00 | | 15,971.00 | | | | | -3,119.00 | |
| | | 41. CISCO SYS INC COM W/RIGHTS ATTACHED<br>PROPERTY TYPE: SECURITIES | | | | | 07/30/2009 | 02/11/2011 |
| 773.00 | | 960.00 | | | | | -187.00 | |
| | | 102. CTRIP.COM INTERNATIONAL, LTD. - ADR<br>PROPERTY TYPE: SECURITIES | | | | | 11/18/2010 | 02/11/2011 |
| 4,348.00 | | 4,709.00 | | | | | -361.00 | |
| | | 84. CTRIP.COM INTERNATIONAL, LTD. - ADR<br>PROPERTY TYPE: SECURITIES | | | | | 11/18/2010 | 02/14/2011 |
| 3,419.00 | | 3,878.00 | | | | | -459.00 | |
| | | 19. NETFLIX.COM INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/06/2010 | 02/14/2011 |
| 4,671.00 | | 2,497.00 | | | | | 2,174.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 772.67 FHLMC POOL #G18106 5.500% 3/01<br>PROPERTY TYPE: SECURITIES | | | | | 03/24/2006 | 02/15/2011 |
| 773.00 | | 772.00 | | | | | 1.00 | |
| | | 438.28 FHLMC POOL #J01366 5.500% 4/01<br>PROPERTY TYPE: SECURITIES | | | | | 10/15/2007 | 02/15/2011 |
| 438.00 | | 437.00 | | | | | 1.00 | |
| | | 18.55 FEDERAL HOME LN MTG CORP PARTN CTF<br>PROPERTY TYPE: SECURITIES | | | | | 10/01/2001 | 02/15/2011 |
| 19.00 | | 19.00 | | | | | | |
| | | 162.65 FEDERAL HOME LN MTG CORP PARTN CT<br>PROPERTY TYPE: SECURITIES | | | | | 11/02/2001 | 02/15/2011 |
| 163.00 | | 164.00 | | | | | -1.00 | |
| | | 2.9 GOVERNMENT NATL MTG ASSN MTG PASS TH<br>PROPERTY TYPE: SECURITIES | | | | | 05/14/2001 | 02/15/2011 |
| 3.00 | | 3.00 | | | | | | |
| | | 25000. NORTHROP GRUMMAN COR 7.125% 2/15<br>PROPERTY TYPE: SECURITIES | | | | | 08/28/2008 | 02/15/2011 |
| 25,000.00 | | 26,544.00 | | | | | -1,544.00 | |
| | | 229. BIOGEN IDEC INC<br>PROPERTY TYPE: SECURITIES | | | | | 03/10/2009 | 02/16/2011 |
| 15,427.00 | | 10,996.00 | | | | | 4,431.00 | |
| | | 91. CTRIP.COM INTERNATIONAL, LTD. - ADR<br>PROPERTY TYPE: SECURITIES | | | | | 12/17/2010 | 02/16/2011 |
| 3,631.00 | | 4,201.00 | | | | | -570.00 | |
| | | 119. AMERICAN TOWER CORP CL A<br>PROPERTY TYPE: SECURITIES | | | | | 04/12/2010 | 02/22/2011 |
| 6,373.00 | | 5,081.00 | | | | | 1,292.00 | |
| | | 216. BMC SOFTWARE INC COM RTS EXP 05/12/<br>PROPERTY TYPE: SECURITIES | | | | | 06/16/2010 | 02/23/2011 |
| 10,386.00 | | 8,452.00 | | | | | 1,934.00 | |
| | | 25000. NEWS AMER HLDGS INC 9.250% 2/01<br>PROPERTY TYPE: SECURITIES | | | | | 01/12/2004 | 02/23/2011 |
| 28,837.00 | | 32,815.00 | | | | | -3,978.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 33. PRICELINE COM INC<br>PROPERTY TYPE: SECURITIES | | | | | 12/17/2010 | 02/23/2011 |
| 14,048.00 | | 7,423.00 | | | | | 6,625.00 | |
| | | 75. OCCIDENTAL PETE CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 04/06/2009 | 02/24/2011 |
| 7,798.00 | | 5,246.00 | | | | | 2,552.00 | |
| | | 15.63 FEDERAL NATL MTG ASSN GTD MTG PASS<br>PROPERTY TYPE: SECURITIES | | | | | 04/06/2001 | 02/25/2011 |
| 16.00 | | 15.00 | | | | | 1.00 | |
| | | 265.21 FEDERAL NATL MTG ASSN GTD MTG PAS<br>PROPERTY TYPE: SECURITIES | | | | | 07/02/2001 | 02/25/2011 |
| 265.00 | | 261.00 | | | | | 4.00 | |
| | | 12.72 FEDERAL NATL MTG ASSN GTD MTG PASS<br>PROPERTY TYPE: SECURITIES | | | | | 03/05/2002 | 02/25/2011 |
| 13.00 | | 13.00 | | | | | | |
| | | 2013.58 FNMA POOL #836631 5.000% 10/0<br>PROPERTY TYPE: SECURITIES | | | | | 10/15/2007 | 02/25/2011 |
| 2,014.00 | | 1,984.00 | | | | | 30.00 | |
| | | 1104.84 FNMA POOL #880971 5.500% 10/0<br>PROPERTY TYPE: SECURITIES | | | | | 01/08/2007 | 02/25/2011 |
| 1,105.00 | | 1,107.00 | | | | | -2.00 | |
| | | 292. WEATHERFORD INTL LTD<br>PROPERTY TYPE: SECURITIES | | | | | 12/21/2010 | 02/25/2011 |
| 6,985.00 | | 6,622.00 | | | | | 363.00 | |
| | | 125. AFLAC INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/26/2009 | 03/01/2011 |
| 7,300.00 | | 4,859.00 | | | | | 2,441.00 | |
| | | 200. BAXTER INTL INC COM W/RIGHTS ATTACH<br>PROPERTY TYPE: SECURITIES | | | | | 06/05/2009 | 03/01/2011 |
| 10,441.00 | | 10,450.00 | | | | | -9.00 | |
| | | 176. EMERSON ELEC CO COM W/RTS ATTACHED<br>PROPERTY TYPE: SECURITIES | | | | | 11/17/2009 | 03/01/2011 |
| 10,374.00 | | 5,774.00 | | | | | 4,600.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 190. GENERAL MILLS INC COM W/RTS EXP 02/ PROPERTY TYPE: SECURITIES | | | | | 12/15/2008 | 03/01/2011 |
| 7,015.00 | | 6,366.00 | | | | | 649.00 | |
| | | 105. HONEYWELL INTL INC COM PROPERTY TYPE: SECURITIES | | | | | 07/15/2009 | 03/01/2011 |
| 5,984.00 | | 3,889.00 | | | | | 2,095.00 | |
| | | 267. ORACLE CORP COM PROPERTY TYPE: SECURITIES | | | | | 12/24/2009 | 03/01/2011 |
| 8,587.00 | | 6,472.00 | | | | | 2,115.00 | |
| | | 78. SCHLUMBERGER LTD COM PROPERTY TYPE: SECURITIES | | | | | 10/28/2010 | 03/01/2011 |
| 7,252.00 | | 5,410.00 | | | | | 1,842.00 | |
| | | 21. AMAZON COM INC COM PROPERTY TYPE: SECURITIES | | | | | 12/04/2008 | 03/02/2011 |
| 3,562.00 | | 1,684.00 | | | | | 1,878.00 | |
| | | 24. NETFLIX.COM INC COM PROPERTY TYPE: SECURITIES | | | | | 08/06/2010 | 03/02/2011 |
| 4,906.00 | | 3,155.00 | | | | | 1,751.00 | |
| | | 551. WEATHERFORD INTL LTD PROPERTY TYPE: SECURITIES | | | | | 01/31/2011 | 03/02/2011 |
| 11,010.00 | | 12,496.00 | | | | | -1,486.00 | |
| | | 118. TARGET CORP COM PROPERTY TYPE: SECURITIES | | | | | 12/17/2010 | 03/04/2011 |
| 6,115.00 | | 6,019.00 | | | | | 96.00 | |
| | | 215. TARGET CORP COM PROPERTY TYPE: SECURITIES | | | | | 01/21/2010 | 03/04/2011 |
| 11,141.00 | | 10,967.00 | | | | | 174.00 | |
| | | 19. NETFLIX.COM INC COM PROPERTY TYPE: SECURITIES | | | | | 08/06/2010 | 03/09/2011 |
| 3,674.00 | | 2,497.00 | | | | | 1,177.00 | |
| | | 653. NVIDIA CORP COM PROPERTY TYPE: SECURITIES | | | | | 01/07/2011 | 03/10/2011 |
| 11,855.00 | | 12,503.00 | | | | | -648.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 47. CLIFFS NAT RES INC<br>PROPERTY TYPE: SECURITIES | | | | | 01/13/2011 | 03/11/2011 |
| 4,109.00 | | 4,227.00 | | | | | -118.00 | |
| | | 67. POTASH CORP SASK INC COM W/RTS ATTAC<br>PROPERTY TYPE: SECURITIES | | | | | 11/04/2010 | 03/11/2011 |
| 3,641.00 | | 3,229.00 | | | | | 412.00 | |
| | | 121. ADOBE SYS INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 04/30/2009 | 03/15/2011 |
| 3,994.00 | | 3,487.00 | | | | | 507.00 | |
| | | 566.1 FHLMC POOL #G18106 5.500% 3/01/<br>PROPERTY TYPE: SECURITIES | | | | | 03/24/2006 | 03/15/2011 |
| 566.00 | | 566.00 | | | | | | |
| | | 436.48 FHLMC POOL #J01366 5.500% 4/01<br>PROPERTY TYPE: SECURITIES | | | | | 10/15/2007 | 03/15/2011 |
| 436.00 | | 435.00 | | | | | 1.00 | |
| | | 921.99 FEDERAL HOME LN MTG CORP PARTN CT<br>PROPERTY TYPE: SECURITIES | | | | | 10/01/2001 | 03/15/2011 |
| 922.00 | | 920.00 | | | | | 2.00 | |
| | | 168.15 FEDERAL HOME LN MTG CORP PARTN CT<br>PROPERTY TYPE: SECURITIES | | | | | 11/02/2001 | 03/15/2011 |
| 168.00 | | 170.00 | | | | | -2.00 | |
| | | 2.91 GOVERNMENT NATL MTG ASSN MTG PASS T<br>PROPERTY TYPE: SECURITIES | | | | | 05/14/2001 | 03/15/2011 |
| 3.00 | | 3.00 | | | | | | |
| | | 35000. UNITEDHEALTH GROUP 5.250% 3/15<br>PROPERTY TYPE: SECURITIES | | | | | 10/30/2007 | 03/15/2011 |
| 35,000.00 | | 35,330.00 | | | | | -330.00 | |
| | | 33. BOEING CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 06/01/2010 | 03/16/2011 |
| 2,233.00 | | 2,114.00 | | | | | 119.00 | |
| | | 87. COLGATE-PALMOLIVE CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/25/2010 | 03/18/2011 |
| 6,670.00 | | 7,039.00 | | | | | -369.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 60. ROCKWELL COLLINS-WI COM<br>PROPERTY TYPE: SECURITIES | | | | | 03/08/2010 | 03/21/2011 |
| 3,830.00 | | 3,680.00 | | | | | 150.00 | |
| | | 80. F5 NETWORKS INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/17/2010 | 03/22/2011 |
| 7,695.00 | | 7,286.00 | | | | | 409.00 | |
| | | 33. NEWFIELD EXPL CO COM W/RIGHTS ATTACH<br>PROPERTY TYPE: SECURITIES | | | | | 10/06/2009 | 03/23/2011 |
| 2,423.00 | | 1,548.00 | | | | | 875.00 | |
| | | 60. OCCIDENTAL PETE CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 06/25/2009 | 03/23/2011 |
| 6,012.00 | | 4,197.00 | | | | | 1,815.00 | |
| | | 98. ROCKWELL COLLINS-WI COM<br>PROPERTY TYPE: SECURITIES | | | | | 03/08/2010 | 03/23/2011 |
| 6,198.00 | | 6,010.00 | | | | | 188.00 | |
| | | 171. BIOGEN IDEC INC<br>PROPERTY TYPE: SECURITIES | | | | | 08/04/2009 | 03/25/2011 |
| 12,276.00 | | 8,211.00 | | | | | 4,065.00 | |
| | | 197. CBS CORP CL B COM<br>PROPERTY TYPE: SECURITIES | | | | | 11/17/2009 | 03/25/2011 |
| 4,913.00 | | 3,222.00 | | | | | 1,691.00 | |
| | | 285. DISH NETWORK CORP<br>PROPERTY TYPE: SECURITIES | | | | | 04/24/2009 | 03/25/2011 |
| 7,014.00 | | 4,350.00 | | | | | 2,664.00 | |
| | | 15.73 FEDERAL NATL MTG ASSN GTD MTG PASS<br>PROPERTY TYPE: SECURITIES | | | | | 04/06/2001 | 03/25/2011 |
| 16.00 | | 15.00 | | | | | 1.00 | |
| | | 1051.84 FEDERAL NATL MTG ASSN GTD MTG PA<br>PROPERTY TYPE: SECURITIES | | | | | 07/02/2001 | 03/25/2011 |
| 1,052.00 | | 1,036.00 | | | | | 16.00 | |
| | | 12.79 FEDERAL NATL MTG ASSN GTD MTG PASS<br>PROPERTY TYPE: SECURITIES | | | | | 03/05/2002 | 03/25/2011 |
| 13.00 | | 13.00 | | | | | | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 934.9 FNMA POOL #836631 5.000% 10/01/<br>PROPERTY TYPE: SECURITIES | | | | | 10/15/2007 | 03/25/2011 |
| 935.00 | | 922.00 | | | | | 13.00 | |
| | | 352.76 FNMA POOL #880971 5.500% 10/01<br>PROPERTY TYPE: SECURITIES | | | | | 01/08/2007 | 03/25/2011 |
| 353.00 | | 354.00 | | | | | -1.00 | |
| | | 280. INVESCO LIMITED<br>PROPERTY TYPE: SECURITIES | | | | | 03/20/2008 | 03/25/2011 |
| 7,230.00 | | 6,589.00 | | | | | 641.00 | |
| | | 133. AMGEN INC COM W/RTS ATTACHED EXP 03<br>PROPERTY TYPE: SECURITIES | | | | | 01/27/2010 | 03/31/2011 |
| 7,158.00 | | 7,292.00 | | | | | -134.00 | |
| | | 20000. OCCIDENTAL PETROLEUM 6.750% 1/15<br>PROPERTY TYPE: SECURITIES | | | | | 11/21/2006 | 03/31/2011 |
| 20,965.00 | | 21,443.00 | | | | | -478.00 | |
| | | 40000. KELLOGG CO NT DTD 3/29/2001 6.6%<br>PROPERTY TYPE: SECURITIES | | | | | 04/04/2002 | 04/01/2011 |
| 40,000.00 | | 40,706.00 | | | | | -706.00 | |
| | | 25000. US TREASURY NOTE 3.625% 2/15<br>PROPERTY TYPE: SECURITIES | | | | | 06/24/2010 | 04/01/2011 |
| 25,599.00 | | 26,044.00 | | | | | -445.00 | |
| | | 90. NEWFIELD EXPL CO COM W/RIGHTS ATTACH<br>PROPERTY TYPE: SECURITIES | | | | | 10/06/2009 | 04/05/2011 |
| 6,839.00 | | 4,222.00 | | | | | 2,617.00 | |
| | | 368. NETAPP INC<br>PROPERTY TYPE: SECURITIES | | | | | 12/17/2010 | 04/06/2011 |
| 17,097.00 | | 18,211.00 | | | | | -1,114.00 | |
| | | 311. AMERICAN TOWER CORP CL A<br>PROPERTY TYPE: SECURITIES | | | | | 12/17/2010 | 04/07/2011 |
| 15,707.00 | | 13,279.00 | | | | | 2,428.00 | |
| | | 97. BAXTER INTL INC COM W/RIGHTS ATTACHE<br>PROPERTY TYPE: SECURITIES | | | | | 05/07/2010 | 04/08/2011 |
| 5,192.00 | | 5,068.00 | | | | | 124.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 93. BAXTER INTL INC COM W/RIGHTS ATTACHE<br>PROPERTY TYPE: SECURITIES | | | | | 06/05/2009 | 04/08/2011 |
| 4,978.00 | | 4,859.00 | | | | | 119.00 | |
| | | 198. YUM! BRANDS INC CO<br>PROPERTY TYPE: SECURITIES | | | | | 01/07/2011 | 04/12/2011 |
| 9,922.00 | | 9,755.00 | | | | | 167.00 | |
| | | 61. CANADIAN NAT RES LTD COM CN$<br>PROPERTY TYPE: SECURITIES | | | | | 11/12/2007 | 04/14/2011 |
| 2,712.00 | | 2,234.00 | | | | | 478.00 | |
| | | 69. FREEPORT MCMORAN COPPER & GOLD CL B<br>PROPERTY TYPE: SECURITIES | | | | | 10/04/2010 | 04/14/2011 |
| 3,600.00 | | 3,297.00 | | | | | 303.00 | |
| | | 577.25 FHLMC POOL #G18106 5.500% 3/01<br>PROPERTY TYPE: SECURITIES | | | | | 03/24/2006 | 04/15/2011 |
| 577.00 | | 577.00 | | | | | | |
| | | 963.38 FHLMC POOL #J01366 5.500% 4/01<br>PROPERTY TYPE: SECURITIES | | | | | 10/15/2007 | 04/15/2011 |
| 963.00 | | 960.00 | | | | | 3.00 | |
| | | 16.24 FEDERAL HOME LN MTG CORP PARTN CTF<br>PROPERTY TYPE: SECURITIES | | | | | 10/01/2001 | 04/15/2011 |
| 16.00 | | 16.00 | | | | | | |
| | | 372.99 FEDERAL HOME LN MTG CORP PARTN CT<br>PROPERTY TYPE: SECURITIES | | | | | 11/02/2001 | 04/15/2011 |
| 373.00 | | 376.00 | | | | | -3.00 | |
| | | 2.94 GOVERNMENT NATL MTG ASSN MTG PASS T<br>PROPERTY TYPE: SECURITIES | | | | | 05/14/2001 | 04/15/2011 |
| 3.00 | | 3.00 | | | | | | |
| | | 161. NEXTERA ENERGY INC<br>PROPERTY TYPE: SECURITIES | | | | | 10/13/2010 | 04/15/2011 |
| 8,901.00 | | 8,929.00 | | | | | -28.00 | |
| | | 18. AMGEN INC COM W/RTS ATTACHED EXP 03/<br>PROPERTY TYPE: SECURITIES | | | | | 12/17/2010 | 04/18/2011 |
| 991.00 | | 987.00 | | | | | 4.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 130. AMGEN INC COM W/RTS ATTACHED EXP 03<br>PROPERTY TYPE: SECURITIES | | | | | 02/09/2010 | 04/18/2011 |
| 7,156.00 | | 7,127.00 | | | | | 29.00 | |
| | | 15.81 FEDERAL NATL MTG ASSN GTD MTG PASS<br>PROPERTY TYPE: SECURITIES | | | | | 04/06/2001 | 04/25/2011 |
| 16.00 | | 15.00 | | | | | 1.00 | |
| | | 202.24 FEDERAL NATL MTG ASSN GTD MTG PAS<br>PROPERTY TYPE: SECURITIES | | | | | 07/02/2001 | 04/25/2011 |
| 202.00 | | 199.00 | | | | | 3.00 | |
| | | 12.86 FEDERAL NATL MTG ASSN GTD MTG PASS<br>PROPERTY TYPE: SECURITIES | | | | | 03/05/2002 | 04/25/2011 |
| 13.00 | | 13.00 | | | | | | |
| | | 152.87 FNMA POOL #836631 5.000% 10/01<br>PROPERTY TYPE: SECURITIES | | | | | 10/15/2007 | 04/25/2011 |
| 153.00 | | 151.00 | | | | | 2.00 | |
| | | 222.15 FNMA POOL #880971 5.500% 10/01<br>PROPERTY TYPE: SECURITIES | | | | | 01/08/2007 | 04/25/2011 |
| 222.00 | | 223.00 | | | | | -1.00 | |
| | | 246. ADOBE SYS INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/17/2010 | 04/26/2011 |
| 8,141.00 | | 7,090.00 | | | | | 1,051.00 | |
| | | 218. ADOBE SYS INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 07/20/2009 | 04/26/2011 |
| 7,214.00 | | 6,283.00 | | | | | 931.00 | |
| | | 247. E M C CORP MASS COM<br>PROPERTY TYPE: SECURITIES | | | | | 04/30/2010 | 04/27/2011 |
| 6,937.00 | | 4,961.00 | | | | | 1,976.00 | |
| | | 114. COCA-COLA CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/13/2010 | 04/28/2011 |
| 7,613.00 | | 6,378.00 | | | | | 1,235.00 | |
| | | 22. DENBURY RESOURCES INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/17/2010 | 04/28/2011 |
| 482.00 | | 356.00 | | | | | 126.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 360. DENBURY RESOURCES INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 03/10/2010 | 04/28/2011 |
| 7,884.00 | | 5,820.00 | | | | | 2,064.00 | |
| | | 84. NEWFIELD EXPL CO COM W/RIGHTS ATTACH<br>PROPERTY TYPE: SECURITIES | | | | | 10/06/2009 | 04/28/2011 |
| 5,869.00 | | 3,941.00 | | | | | 1,928.00 | |
| | | 236. HESS CORP<br>PROPERTY TYPE: SECURITIES | | | | | 02/25/2011 | 05/04/2011 |
| 18,127.00 | | 19,874.00 | | | | | -1,747.00 | |
| | | 64. NEWFIELD EXPL CO COM W/RIGHTS ATTACH<br>PROPERTY TYPE: SECURITIES | | | | | 10/06/2009 | 05/04/2011 |
| 4,246.00 | | 3,003.00 | | | | | 1,243.00 | |
| | | 128. FREEPORT MCMORAN COPPER & GOLD CL B<br>PROPERTY TYPE: SECURITIES | | | | | 10/04/2010 | 05/05/2011 |
| 6,512.00 | | 6,116.00 | | | | | 396.00 | |
| | | 40. CATERPILLAR INC COM W/RTS EXP 12/11/<br>PROPERTY TYPE: SECURITIES | | | | | 07/27/2010 | 05/11/2011 |
| 4,389.00 | | 3,034.00 | | | | | 1,355.00 | |
| | | 36. CUMMINS INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 04/27/2009 | 05/11/2011 |
| 4,112.00 | | 1,730.00 | | | | | 2,382.00 | |
| | | 70. FREEPORT MCMORAN COPPER & GOLD CL B<br>PROPERTY TYPE: SECURITIES | | | | | 10/04/2010 | 05/11/2011 |
| 3,389.00 | | 3,345.00 | | | | | 44.00 | |
| | | 83. POTASH CORP SASK INC COM W/RTS ATTAC<br>PROPERTY TYPE: SECURITIES | | | | | 11/04/2010 | 05/11/2011 |
| 4,352.00 | | 4,000.00 | | | | | 352.00 | |
| | | 50. SCHLUMBERGER LTD COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/11/2010 | 05/11/2011 |
| 4,116.00 | | 3,777.00 | | | | | 339.00 | |
| | | 323. BANK NEW YORK MELLON CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 10/28/2009 | 05/12/2011 |
| 9,199.00 | | 9,274.00 | | | | | -75.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 123. CANADIAN NAT RES LTD COM CN$<br>PROPERTY TYPE: SECURITIES | | | | | 11/12/2007 | 05/12/2011 |
| 5,106.00 | | 4,504.00 | | | | | 602.00 | |
| | | 34. CATERPILLAR INC COM W/RTS EXP 12/11/<br>PROPERTY TYPE: SECURITIES | | | | | 07/27/2010 | 05/12/2011 |
| 3,675.00 | | 2,579.00 | | | | | 1,096.00 | |
| | | 15. EMERSON ELEC CO COM W/RTS ATTACHED E<br>PROPERTY TYPE: SECURITIES | | | | | 12/17/2010 | 05/12/2011 |
| 813.00 | | 558.00 | | | | | 255.00 | |
| | | 262. EMERSON ELEC CO COM W/RTS ATTACHED<br>PROPERTY TYPE: SECURITIES | | | | | 09/10/2009 | 05/12/2011 |
| 14,204.00 | | 9,745.00 | | | | | 4,459.00 | |
| | | 32. CUMMINS INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 04/27/2009 | 05/13/2011 |
| 3,533.00 | | 1,538.00 | | | | | 1,995.00 | |
| | | 30000. MELLON FUNDING BD DTD 05/14/2001<br>PROPERTY TYPE: SECURITIES | | | | | 05/08/2002 | 05/14/2011 |
| 30,000.00 | | 30,819.00 | | | | | -819.00 | |
| | | 512.32 FHLMC POOL #G18106 5.500% 3/01<br>PROPERTY TYPE: SECURITIES | | | | | 03/24/2006 | 05/15/2011 |
| 512.00 | | 512.00 | | | | | | |
| | | 277.83 FHLMC POOL #J01366 5.500% 4/01<br>PROPERTY TYPE: SECURITIES | | | | | 10/15/2007 | 05/15/2011 |
| 278.00 | | 277.00 | | | | | 1.00 | |
| | | 16.33 FEDERAL HOME LN MTG CORP PARTN CTF<br>PROPERTY TYPE: SECURITIES | | | | | 10/01/2001 | 05/15/2011 |
| 16.00 | | 16.00 | | | | | | |
| | | 11.64 FEDERAL HOME LN MTG CORP PARTN CTF<br>PROPERTY TYPE: SECURITIES | | | | | 11/02/2001 | 05/15/2011 |
| 12.00 | | 12.00 | | | | | | |
| | | 2.96 GOVERNMENT NATL MTG ASSN MTG PASS T<br>PROPERTY TYPE: SECURITIES | | | | | 05/14/2001 | 05/15/2011 |
| 3.00 | | 3.00 | | | | | | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 255. ALLEGHENY TECHNOLOGIES INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 05/17/2011 |
| 17,006.00 | | 12,990.00 | | | | | 4,016.00 | |
| | | 83. BORG WARNER INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 07/14/2010 | 05/17/2011 |
| 5,854.00 | | 3,845.00 | | | | | 2,009.00 | |
| | | 66. CATERPILLAR INC COM W/RTS EXP 12/11/<br>PROPERTY TYPE: SECURITIES | | | | | 07/27/2010 | 05/17/2011 |
| 6,733.00 | | 5,006.00 | | | | | 1,727.00 | |
| | | 55. CUMMINS INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 07/20/2009 | 05/17/2011 |
| 5,874.00 | | 2,644.00 | | | | | 3,230.00 | |
| | | 448. DOW CHEM CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/10/2009 | 05/17/2011 |
| 16,567.00 | | 10,437.00 | | | | | 6,130.00 | |
| | | 569. SLM CORP<br>PROPERTY TYPE: SECURITIES | | | CO | | 08/22/2008 | 05/17/2011 |
| 9,149.00 | | 8,185.00 | | | | | 964.00 | |
| | | 23. AMAZON COM INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/04/2008 | 05/18/2011 |
| 4,489.00 | | 1,844.00 | | | | | 2,645.00 | |
| | | 134. CAMERON INT'L CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/13/2010 | 05/18/2011 |
| 6,430.00 | | 5,238.00 | | | | | 1,192.00 | |
| | | 37. CITRIX SYS INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 10/09/2009 | 05/18/2011 |
| 3,057.00 | | 1,771.00 | | | | | 1,286.00 | |
| | | 89. ORACLE CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2007 | 05/18/2011 |
| 2,998.00 | | 2,260.00 | | | | | 738.00 | |
| | | 35. SALESFORCE COM INC<br>PROPERTY TYPE: SECURITIES | | | | | 05/21/2010 | 05/18/2011 |
| 4,691.00 | | 3,263.00 | | | | | 1,428.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 192. STARBUCKS CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/07/2010 | 05/18/2011 |
| 6,969.00 | | 5,112.00 | | | | | 1,857.00 | |
| | | 53. STARWOOD HOTELS & RESORTS WORLDWIDE<br>PROPERTY TYPE: SECURITIES | | | | | 01/26/2011 | 05/18/2011 |
| 3,040.00 | | 3,275.00 | | | | | -235.00 | |
| | | 65. ALLERGAN INC COM W/RIGHTS ATTACHED E<br>PROPERTY TYPE: SECURITIES | | | | | 11/04/2009 | 05/19/2011 |
| 5,398.00 | | 4,168.00 | | | | | 1,230.00 | |
| | | 45. CITRIX SYS INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 10/09/2009 | 05/19/2011 |
| 3,741.00 | | 2,153.00 | | | | | 1,588.00 | |
| | | 77. NORDSTROM INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/15/2010 | 05/19/2011 |
| 3,531.00 | | 2,807.00 | | | | | 724.00 | |
| | | 74. RANGE RES CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/01/2008 | 05/19/2011 |
| 3,848.00 | | 4,200.00 | | | | | -352.00 | |
| | | 254. TEXTRON INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 04/11/2011 | 05/19/2011 |
| 5,923.00 | | 6,786.00 | | | | | -863.00 | |
| | | 122. UNITEDHEALTH GRP INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/16/2009 | 05/19/2011 |
| 6,178.00 | | 4,101.00 | | | | | 2,077.00 | |
| | | 94. CHECK POINT SOFTWARE TECH COM<br>PROPERTY TYPE: SECURITIES | | | | | 07/12/2010 | 05/19/2011 |
| 5,133.00 | | 3,157.00 | | | | | 1,976.00 | |
| | | 957. CORNING INC COM W/RTS EXP 07/15/200<br>PROPERTY TYPE: SECURITIES | | | | | 12/17/2010 | 05/20/2011 |
| 18,981.00 | | 16,274.00 | | | | | 2,707.00 | |
| | | 64. CUMMINS INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 04/29/2010 | 05/20/2011 |
| 6,842.00 | | 3,076.00 | | | | | 3,766.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 282.99904 TEXTRON INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 04/11/2011 | 05/20/2011 |
| 6,505.00 | | 7,985.00 | | | | | -1,480.00 | |
| | | 46.00096 TEXTRON INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 04/11/2011 | 05/20/2011 |
| 1,057.00 | | 1,298.00 | | | | | -241.00 | |
| | | 58. FREEPORT MCMORAN COPPER & GOLD CL B<br>PROPERTY TYPE: SECURITIES | | | | | 10/25/2010 | 05/23/2011 |
| 2,746.00 | | 2,771.00 | | | | | -25.00 | |
| | | 42. ALLERGAN INC COM W/RIGHTS ATTACHED E<br>PROPERTY TYPE: SECURITIES | | | | | 11/04/2009 | 05/24/2011 |
| 3,451.00 | | 2,693.00 | | | | | 758.00 | |
| | | 89. CAMERON INT'L CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/13/2010 | 05/24/2011 |
| 4,224.00 | | 3,479.00 | | | | | 745.00 | |
| | | 36. EOG RES INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 10/13/2010 | 05/24/2011 |
| 3,802.00 | | 3,604.00 | | | | | 198.00 | |
| | | 200. ORACLE CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2007 | 05/24/2011 |
| 6,637.00 | | 5,079.00 | | | | | 1,558.00 | |
| | | 35. SALESFORCE COM INC<br>PROPERTY TYPE: SECURITIES | | | | | 05/21/2010 | 05/24/2011 |
| 5,228.00 | | 3,263.00 | | | | | 1,965.00 | |
| | | 78. CHECK POINT SOFTWARE TECH COM<br>PROPERTY TYPE: SECURITIES | | | | | 07/12/2010 | 05/24/2011 |
| 4,173.00 | | 2,620.00 | | | | | 1,553.00 | |
| | | 88. BORG WARNER INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/10/2010 | 05/25/2011 |
| 6,071.00 | | 4,076.00 | | | | | 1,995.00 | |
| | | 15.9 FEDERAL NATL MTG ASSN GTD MTG PASS<br>PROPERTY TYPE: SECURITIES | | | | | 04/06/2001 | 05/25/2011 |
| 16.00 | | 16.00 | | | | | | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 567.22 FEDERAL NATL MTG ASSN GTD MTG PAS<br>PROPERTY TYPE: SECURITIES | | | | | 07/02/2001 | 05/25/2011 |
| 567.00 | | 558.00 | | | | | 9.00 | |
| | | 13.1 FEDERAL NATL MTG ASSN GTD MTG PASS<br>PROPERTY TYPE: SECURITIES | | | | | 03/05/2002 | 05/25/2011 |
| 13.00 | | 13.00 | | | | | | |
| | | 133.37 FNMA POOL #836631 5.000% 10/01<br>PROPERTY TYPE: SECURITIES | | | | | 10/15/2007 | 05/25/2011 |
| 133.00 | | 132.00 | | | | | 1.00 | |
| | | 1278.14 FNMA POOL #880971 5.500% 10/0<br>PROPERTY TYPE: SECURITIES | | | | | 01/08/2007 | 05/25/2011 |
| 1,278.00 | | 1,281.00 | | | | | -3.00 | |
| | | 47. FLOWSERVE CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/02/2011 | 05/26/2011 |
| 5,497.00 | | 5,975.00 | | | | | -478.00 | |
| | | 54. FIRST SOLAR INC<br>PROPERTY TYPE: SECURITIES | | | | | 03/15/2011 | 05/27/2011 |
| 6,373.00 | | 8,447.00 | | | | | -2,074.00 | |
| | | 66. OCCIDENTAL PETE CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 06/25/2009 | 05/27/2011 |
| 7,093.00 | | 5,035.00 | | | | | 2,058.00 | |
| | | 247. TEXTRON INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 04/11/2011 | 05/31/2011 |
| 5,610.00 | | 7,210.00 | | | | | -1,600.00 | |
| | | 133. CAMERON INT'L CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/17/2010 | 06/01/2011 |
| 6,150.00 | | 5,199.00 | | | | | 951.00 | |
| | | 4.8351 SELECTINVEST INST ARV ASP U/INT I<br>PROPERTY TYPE: SECURITIES | | | | | 10/30/2007 | 06/01/2011 |
| 4,900.00 | | 5,110.00 | | | | | -210.00 | |
| | | 238. CBS CORP CL B COM<br>PROPERTY TYPE: SECURITIES | | | | | 03/08/2010 | 06/03/2011 |
| 6,458.00 | | 3,892.00 | | | | | 2,566.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 32. NETFLIX.COM INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/06/2010 | 06/07/2011 |
| 8,515.00 | | 4,608.00 | | | | | 3,907.00 | |
| | | 713. NEWELL RUBBERMAID INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 06/07/2011 |
| 10,324.00 | | 11,122.00 | | | | | -798.00 | |
| | | 18. SINA.COM SHS<br>PROPERTY TYPE: SECURITIES | | | | | 01/13/2011 | 06/09/2011 |
| 1,614.00 | | 1,529.00 | | | | | 85.00 | |
| | | 37. SINA.COM SHS<br>PROPERTY TYPE: SECURITIES | | | | | 01/13/2011 | 06/09/2011 |
| 3,320.00 | | 3,143.00 | | | | | 177.00 | |
| | | 58. FLOWSERVE CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/02/2011 | 06/10/2011 |
| 6,329.00 | | 7,374.00 | | | | | -1,045.00 | |
| | | 33. CATERPILLAR INC COM W/RTS EXP 12/11/<br>PROPERTY TYPE: SECURITIES | | | | | 07/27/2010 | 06/13/2011 |
| 3,155.00 | | 2,503.00 | | | | | 652.00 | |
| | | 205. E M C CORP MASS COM<br>PROPERTY TYPE: SECURITIES | | | | | 04/09/2009 | 06/13/2011 |
| 5,416.00 | | 2,877.00 | | | | | 2,539.00 | |
| | | 93. COGNIZANT TECH SOLUTIONS CRP COM<br>PROPERTY TYPE: SECURITIES | | | | | 03/22/2011 | 06/14/2011 |
| 6,542.00 | | 7,308.00 | | | | | -766.00 | |
| | | 63. FLOWSERVE CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 03/07/2011 | 06/14/2011 |
| 6,684.00 | | 8,010.00 | | | | | -1,326.00 | |
| | | 42. ROCKWELL INTL CORP NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/10/2011 | 06/14/2011 |
| 3,394.00 | | 3,639.00 | | | | | -245.00 | |
| | | 495.28 FHLMC POOL #G18106 5.500% 3/01<br>PROPERTY TYPE: SECURITIES | | | | | 03/24/2006 | 06/15/2011 |
| 495.00 | | 495.00 | | | | | | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 1332.71 FHLMC POOL #J01366 5.500% 4/0<br>PROPERTY TYPE: SECURITIES | | | | | 10/15/2007 | 06/15/2011 |
| 1,333.00 | | 1,328.00 | | | | | 5.00 | |
| | | 16.4 FEDERAL HOME LN MTG CORP PARTN CTFS<br>PROPERTY TYPE: SECURITIES | | | | | 10/01/2001 | 06/15/2011 |
| 16.00 | | 16.00 | | | | | | |
| | | 11.47 FEDERAL HOME LN MTG CORP PARTN CTF<br>PROPERTY TYPE: SECURITIES | | | | | 11/02/2001 | 06/15/2011 |
| 11.00 | | 12.00 | | | | | -1.00 | |
| | | 3.18 GOVERNMENT NATL MTG ASSN MTG PASS T<br>PROPERTY TYPE: SECURITIES | | | | | 05/14/2001 | 06/15/2011 |
| 3.00 | | 3.00 | | | | | | |
| | | 2479.14 MORGAN STANLEY DEAN 5.080% 9/1<br>PROPERTY TYPE: SECURITIES | | | | | 11/28/2007 | 06/15/2011 |
| 2,479.00 | | 2,469.00 | | | | | 10.00 | |
| | | 11. NETFLIX.COM INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/06/2010 | 06/16/2011 |
| 2,766.00 | | 1,584.00 | | | | | 1,182.00 | |
| | | 74. CITRIX SYS INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 10/09/2009 | 06/17/2011 |
| 5,473.00 | | 3,541.00 | | | | | 1,932.00 | |
| | | 449. HEWLETT-PACKARD CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/08/2011 | 06/22/2011 |
| 15,825.00 | | 21,655.00 | | | | | -5,830.00 | |
| | | 97. PEPSICO INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/07/2010 | 06/22/2011 |
| 6,704.00 | | 6,072.00 | | | | | 632.00 | |
| | | 185. PROCTER & GAMBLE CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/18/2010 | 06/22/2011 |
| 11,869.00 | | 11,284.00 | | | | | 585.00 | |
| | | 86. CHECK POINT SOFTWARE TECH COM<br>PROPERTY TYPE: SECURITIES | | | | | 07/12/2010 | 06/22/2011 |
| 4,636.00 | | 2,889.00 | | | | | 1,747.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 97. BOEING CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 06/01/2010 | 06/23/2011 |
| 6,886.00 | | 6,213.00 | | | | | 673.00 | |
| | | 90. FREEPORT MCMORAN COPPER & GOLD CL B<br>PROPERTY TYPE: SECURITIES | | | | | 10/25/2010 | 06/23/2011 |
| 4,317.00 | | 4,300.00 | | | | | 17.00 | |
| | | 26. JP MORGAN CHASE & CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/17/2010 | 06/23/2011 |
| 1,043.00 | | 818.00 | | | | | 225.00 | |
| | | 310. JP MORGAN CHASE & CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 04/15/2010 | 06/23/2011 |
| 12,437.00 | | 9,748.00 | | | | | 2,689.00 | |
| | | 130. NIKE INC CL B COM<br>PROPERTY TYPE: SECURITIES | | | | | 04/08/2011 | 06/23/2011 |
| 10,590.00 | | 10,055.00 | | | | | 535.00 | |
| | | 52. ALLEGHENY TECHNOLOGIES INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/04/2010 | 06/24/2011 |
| 3,161.00 | | 2,649.00 | | | | | 512.00 | |
| | | 85. FIRST SOLAR INC<br>PROPERTY TYPE: SECURITIES | | | | | 03/31/2011 | 06/24/2011 |
| 9,935.00 | | 13,296.00 | | | | | -3,361.00 | |
| | | 360. SANDISK CORP COM W/RTS ATTACHED EXP<br>PROPERTY TYPE: SECURITIES | | | | | 02/15/2011 | 06/24/2011 |
| 14,239.00 | | 17,572.00 | | | | | -3,333.00 | |
| | | 20.96 FEDERAL NATL MTG ASSN GTD MTG PASS<br>PROPERTY TYPE: SECURITIES | | | | | 04/06/2001 | 06/25/2011 |
| 21.00 | | 20.00 | | | | | 1.00 | |
| | | 184.98 FEDERAL NATL MTG ASSN GTD MTG PAS<br>PROPERTY TYPE: SECURITIES | | | | | 07/02/2001 | 06/25/2011 |
| 185.00 | | 182.00 | | | | | 3.00 | |
| | | 13.18 FEDERAL NATL MTG ASSN GTD MTG PASS<br>PROPERTY TYPE: SECURITIES | | | | | 03/05/2002 | 06/25/2011 |
| 13.00 | | 13.00 | | | | | | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 138.27 FNMA POOL #836631 5.000% 10/01<br>PROPERTY TYPE: SECURITIES | | | | | 10/15/2007 | 06/25/2011 |
| 138.00 | | 136.00 | | | | | 2.00 | |
| | | 372.77 FNMA POOL #880971 5.500% 10/01<br>PROPERTY TYPE: SECURITIES | | | | | 01/08/2007 | 06/25/2011 |
| 373.00 | | 374.00 | | | | | -1.00 | |
| | | 159. DEVON ENERGY CORP NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 03/09/2011 | 06/27/2011 |
| 12,059.00 | | 14,290.00 | | | | | -2,231.00 | |
| | | 263. NORDSTROM INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/17/2010 | 06/28/2011 |
| 12,068.00 | | 9,586.00 | | | | | 2,482.00 | |
| | | 50000. U S TREASURY NOTE 4.250% 8/15<br>PROPERTY TYPE: SECURITIES | | | | | 05/05/2011 | 06/28/2011 |
| 53,957.00 | | 54,082.00 | | | | | -125.00 | |
| | | 101. ENTERGY CORP NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 11/12/2007 | 07/01/2011 |
| 6,901.00 | | 11,193.00 | | | | | -4,292.00 | |
| | | 14. NETFLIX.COM INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/06/2010 | 07/05/2011 |
| 4,015.00 | | 2,016.00 | | | | | 1,999.00 | |
| | | 98. PEPSICO INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 07/01/2010 | 07/08/2011 |
| 6,837.00 | | 6,135.00 | | | | | 702.00 | |
| | | 34. SINA.COM SHS<br>PROPERTY TYPE: SECURITIES | | | | | 01/13/2011 | 07/12/2011 |
| 3,628.00 | | 2,888.00 | | | | | 740.00 | |
| | | 15. AMAZON COM INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/04/2008 | 07/13/2011 |
| 3,228.00 | | 1,203.00 | | | | | 2,025.00 | |
| | | 12. NETFLIX.COM INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/01/2010 | 07/13/2011 |
| 3,588.00 | | 1,728.00 | | | | | 1,860.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 348. COMCAST CORP NEW CL A<br>PROPERTY TYPE: SECURITIES | | | | | 08/12/2010 | 07/15/2011 |
| 8,376.00 | | 6,245.00 | | | | | 2,131.00 | |
| | | 1008. COMCAST CORP NEW CL A<br>PROPERTY TYPE: SECURITIES | | | | | 07/02/2010 | 07/15/2011 |
| 24,262.00 | | 18,089.00 | | | | | 6,173.00 | |
| | | 536.95 FHLMC POOL #G18106 5.500% 3/01<br>PROPERTY TYPE: SECURITIES | | | | | 03/24/2006 | 07/15/2011 |
| 537.00 | | 537.00 | | | | | | |
| | | 285.91 FHLMC POOL #J01366 5.500% 4/01<br>PROPERTY TYPE: SECURITIES | | | | | 10/15/2007 | 07/15/2011 |
| 286.00 | | 285.00 | | | | | 1.00 | |
| | | 16.51 FEDERAL HOME LN MTG CORP PARTN CTF<br>PROPERTY TYPE: SECURITIES | | | | | 10/01/2001 | 07/15/2011 |
| 17.00 | | 16.00 | | | | | 1.00 | |
| | | 11.63 FEDERAL HOME LN MTG CORP PARTN CTF<br>PROPERTY TYPE: SECURITIES | | | | | 11/02/2001 | 07/15/2011 |
| 12.00 | | 12.00 | | | | | | |
| | | 3. GOVERNMENT NATL MTG ASSN MTG PASS THR<br>PROPERTY TYPE: SECURITIES | | | | | 05/14/2001 | 07/15/2011 |
| 3.00 | | 3.00 | | | | | | |
| | | 705.99 MORGAN STANLEY DEAN 5.080% 9/15<br>PROPERTY TYPE: SECURITIES | | | | | 11/28/2007 | 07/15/2011 |
| 706.00 | | 703.00 | | | | | 3.00 | |
| | | 28. SINA.COM SHS<br>PROPERTY TYPE: SECURITIES | | | | | 01/13/2011 | 07/19/2011 |
| 3,390.00 | | 2,378.00 | | | | | 1,012.00 | |
| | | 370. PNC FINL SVCS GROUP INC COM W/RIGHT<br>PROPERTY TYPE: SECURITIES | | | | | 12/13/2010 | 07/20/2011 |
| 20,827.00 | | 20,117.00 | | | | | 710.00 | |
| | | 45. SALESFORCE COM INC<br>PROPERTY TYPE: SECURITIES | | | | | 05/21/2010 | 07/21/2011 |
| 6,737.00 | | 4,196.00 | | | | | 2,541.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 340. TEXAS INSTRS INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 06/25/2010 | 07/22/2011 |
| 10,703.00 | | 8,151.00 | | | | | 2,552.00 | |
| | | 1087.17 FEDERAL NATL MTG ASSN GTD MTG PA<br>PROPERTY TYPE: SECURITIES | | | | | 04/06/2001 | 07/25/2011 |
| 1,087.00 | | 1,060.00 | | | | | 27.00 | |
| | | 191.66 FEDERAL NATL MTG ASSN GTD MTG PAS<br>PROPERTY TYPE: SECURITIES | | | | | 07/02/2001 | 07/25/2011 |
| 192.00 | | 189.00 | | | | | 3.00 | |
| | | 13.25 FEDERAL NATL MTG ASSN GTD MTG PASS<br>PROPERTY TYPE: SECURITIES | | | | | 03/05/2002 | 07/25/2011 |
| 13.00 | | 13.00 | | | | | | |
| | | 133.75 FNMA POOL #836631 5.000% 10/01<br>PROPERTY TYPE: SECURITIES | | | | | 10/15/2007 | 07/25/2011 |
| 134.00 | | 132.00 | | | | | 2.00 | |
| | | 249.59 FNMA POOL #880971 5.500% 10/01<br>PROPERTY TYPE: SECURITIES | | | | | 01/08/2007 | 07/25/2011 |
| 250.00 | | 250.00 | | | | | | |
| | | 30. AMAZON COM INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/04/2008 | 07/27/2011 |
| 6,738.00 | | 2,405.00 | | | | | 4,333.00 | |
| | | 74. CLIFFS NAT RES INC<br>PROPERTY TYPE: SECURITIES | | | | | 01/13/2011 | 07/27/2011 |
| 6,929.00 | | 6,708.00 | | | | | 221.00 | |
| | | 14. SALESFORCE COM INC<br>PROPERTY TYPE: SECURITIES | | | | | 11/10/2010 | 07/27/2011 |
| 2,070.00 | | 1,305.00 | | | | | 765.00 | |
| | | 18. SALESFORCE COM INC<br>PROPERTY TYPE: SECURITIES | | | | | 05/21/2010 | 07/27/2011 |
| 2,661.00 | | 1,678.00 | | | | | 983.00 | |
| | | 34. SALESFORCE COM INC<br>PROPERTY TYPE: SECURITIES | | | | | 12/17/2010 | 07/28/2011 |
| 4,986.00 | | 3,170.00 | | | | | 1,816.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 98. COGNIZANT TECH SOLUTIONS CRP COM<br>PROPERTY TYPE: SECURITIES | | | | | 04/27/2011 | 08/02/2011 |
| 6,960.00 | | 7,701.00 | | | | | -741.00 | |
| | | 262. CBS CORP CL B COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/08/2010 | 08/03/2011 |
| 6,939.00 | | 4,285.00 | | | | | 2,654.00 | |
| | | 172. ENTERGY CORP NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 04/06/2009 | 08/03/2011 |
| 11,087.00 | | 19,061.00 | | | | | -7,974.00 | |
| | | 63. EXPRESS SCRIPTS INC CL A W/RIGHTS AT<br>PROPERTY TYPE: SECURITIES | | | | | 06/14/2010 | 08/03/2011 |
| 3,216.00 | | 3,496.00 | | | | | -280.00 | |
| | | 75. STRYKER CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/18/2011 | 08/03/2011 |
| 3,869.00 | | 4,793.00 | | | | | -924.00 | |
| | | 35000. U S TREASURY NOTE 4.250% 8/15<br>PROPERTY TYPE: SECURITIES | | | | | 05/05/2011 | 08/03/2011 |
| 37,769.00 | | 37,857.00 | | | | | -88.00 | |
| | | 38. SINA.COM SHS<br>PROPERTY TYPE: SECURITIES | | | | | 01/13/2011 | 08/03/2011 |
| 3,745.00 | | 3,228.00 | | | | | 517.00 | |
| | | 228. DOW CHEM CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 10/12/2010 | 08/04/2011 |
| 6,994.00 | | 5,312.00 | | | | | 1,682.00 | |
| | | 125. DOW CHEM CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/11/2009 | 08/04/2011 |
| 3,835.00 | | 2,912.00 | | | | | 923.00 | |
| | | 185. CHECK POINT SOFTWARE TECH COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/17/2010 | 08/04/2011 |
| 10,055.00 | | 6,214.00 | | | | | 3,841.00 | |
| | | 84. CHECK POINT SOFTWARE TECH COM<br>PROPERTY TYPE: SECURITIES | | | | | 07/12/2010 | 08/04/2011 |
| 4,566.00 | | 2,821.00 | | | | | 1,745.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property / Gross sale price less expenses of sale | Depreciation allowed/ allowable | Description / Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | P or D | Date acquired / Gain or (loss) | Date sold |
|---|---|---|---|---|---|---|---|---|
| | | 341. CBS CORP CL B COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/13/2010 | 08/05/2011 |
| 7,918.00 | | 5,576.00 | | | | | 2,342.00 | |
| | | 653. BANK AMER CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 04/14/2009 | 08/08/2011 |
| 4,892.00 | | 7,277.00 | | | | | -2,385.00 | |
| | | 98. NEWFIELD EXPL CO COM W/RIGHTS ATTACH<br>PROPERTY TYPE: SECURITIES | | | | | 10/06/2009 | 08/08/2011 |
| 5,180.00 | | 4,598.00 | | | | | 582.00 | |
| | | 106. PEPSICO INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 07/01/2010 | 08/09/2011 |
| 6,577.00 | | 6,636.00 | | | | | -59.00 | |
| | | 53. BAKER HUGHES INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/28/2011 | 08/10/2011 |
| 3,114.00 | | 3,555.00 | | | | | -441.00 | |
| | | 95. CANADIAN NAT RES LTD COM CN$<br>PROPERTY TYPE: SECURITIES | | | | | 11/12/2007 | 08/10/2011 |
| 3,381.00 | | 3,479.00 | | | | | -98.00 | |
| | | 78. COGNIZANT TECH SOLUTIONS CRP COM<br>PROPERTY TYPE: SECURITIES | | | | | 04/27/2011 | 08/10/2011 |
| 4,884.00 | | 6,129.00 | | | | | -1,245.00 | |
| | | 10. CUMMINS INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/17/2010 | 08/10/2011 |
| 882.00 | | 481.00 | | | | | 401.00 | |
| | | 57. CUMMINS INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 04/29/2010 | 08/10/2011 |
| 5,029.00 | | 2,740.00 | | | | | 2,289.00 | |
| | | 410. FIFTH THIRD BANCORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 07/20/2011 | 08/10/2011 |
| 4,044.00 | | 5,092.00 | | | | | -1,048.00 | |
| | | 68. STRYKER CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/18/2011 | 08/10/2011 |
| 3,178.00 | | 4,346.00 | | | | | -1,168.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 85. SUNTRUST BKS INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/20/2010 | 08/10/2011 |
| 1,564.00 | | 2,233.00 | | | | | -669.00 | |
| | | 221. SUNTRUST BKS INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/03/2010 | 08/10/2011 |
| 4,066.00 | | 5,806.00 | | | | | -1,740.00 | |
| | | 116. CITRIX SYS INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 04/22/2010 | 08/11/2011 |
| 7,146.00 | | 5,551.00 | | | | | 1,595.00 | |
| | | 29. ROCKWELL COLLINS-WI COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/17/2010 | 08/11/2011 |
| 1,286.00 | | 1,779.00 | | | | | -493.00 | |
| | | 190. ROCKWELL COLLINS-WI COM<br>PROPERTY TYPE: SECURITIES | | | | | 04/28/2010 | 08/11/2011 |
| 8,426.00 | | 11,653.00 | | | | | -3,227.00 | |
| | | 67. SCHLUMBERGER LTD COM<br>PROPERTY TYPE: SECURITIES | | | | | 11/01/2010 | 08/11/2011 |
| 4,877.00 | | 5,122.00 | | | | | -245.00 | |
| | | 94. CONOCOPHILLIPS COM<br>PROPERTY TYPE: SECURITIES | | | | | 03/23/2011 | 08/12/2011 |
| 6,260.00 | | 7,420.00 | | | | | -1,160.00 | |
| | | 138. CONOCOPHILLIPS COM<br>PROPERTY TYPE: SECURITIES | | | | | 03/28/2011 | 08/15/2011 |
| 9,270.00 | | 10,893.00 | | | | | -1,623.00 | |
| | | 75. DEVON ENERGY CORP NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/20/2011 | 08/15/2011 |
| 5,212.00 | | 6,420.00 | | | | | -1,208.00 | |
| | | 300.92 FHLMC POOL #G18106 5.500% 3/01<br>PROPERTY TYPE: SECURITIES | | | | | 03/24/2006 | 08/15/2011 |
| 301.00 | | 301.00 | | | | | | |
| | | 401.73 FHLMC POOL #J01366 5.500% 4/01<br>PROPERTY TYPE: SECURITIES | | | | | 10/15/2007 | 08/15/2011 |
| 402.00 | | 400.00 | | | | | 2.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 16.6 FEDERAL HOME LN MTG CORP PARTN CTFS<br>PROPERTY TYPE: SECURITIES | | | | | 10/01/2001 | 08/15/2011 |
| 17.00 | | 17.00 | | | | | | |
| | | 11.73 FEDERAL HOME LN MTG CORP PARTN CTF<br>PROPERTY TYPE: SECURITIES | | | | | 11/02/2001 | 08/15/2011 |
| 12.00 | | 12.00 | | | | | | |
| | | 3.02 GOVERNMENT NATL MTG ASSN MTG PASS T<br>PROPERTY TYPE: SECURITIES | | | | | 05/14/2001 | 08/15/2011 |
| 3.00 | | 3.00 | | | | | | |
| | | 1257.16 MORGAN STANLEY DEAN 5.080% 9/1<br>PROPERTY TYPE: SECURITIES | | | | | 11/28/2007 | 08/15/2011 |
| 1,257.00 | | 1,252.00 | | | | | 5.00 | |
| | | 63. CATERPILLAR INC COM W/RTS EXP 12/11/<br>PROPERTY TYPE: SECURITIES | | | | | 02/18/2011 | 08/16/2011 |
| 5,671.00 | | 4,779.00 | | | | | 892.00 | |
| | | 65. CATERPILLAR INC COM W/RTS EXP 12/11/<br>PROPERTY TYPE: SECURITIES | | | | | 07/27/2010 | 08/16/2011 |
| 5,851.00 | | 4,930.00 | | | | | 921.00 | |
| | | 164. STATE STR CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/15/2009 | 08/16/2011 |
| 5,628.00 | | 6,564.00 | | | | | -936.00 | |
| | | 137. BAKER HUGHES INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/31/2011 | 08/19/2011 |
| 7,516.00 | | 10,223.00 | | | | | -2,707.00 | |
| | | 8000. NATIONAL RURAL UTILS 7.250% 3/01/<br>PROPERTY TYPE: SECURITIES | | | | | 05/23/2005 | 08/19/2011 |
| 8,295.00 | | 9,228.00 | | | | | -933.00 | |
| | | 56. OCCIDENTAL PETE CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/13/2009 | 08/19/2011 |
| 4,608.00 | | 4,272.00 | | | | | 336.00 | |
| | | 107. NEWFIELD EXPL CO COM W/RIGHTS ATTAC<br>PROPERTY TYPE: SECURITIES | | | | | 07/07/2010 | 08/22/2011 |
| 4,883.00 | | 5,020.00 | | | | | -137.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 351. POTASH CORP SASK INC COM W/RTS ATTA<br>PROPERTY TYPE: SECURITIES | | | | | 01/06/2011 | 08/22/2011 |
| 17,983.00 | | 16,918.00 | | | | | 1,065.00 | |
| | | 249. STRYKER CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/20/2011 | 08/22/2011 |
| 11,209.00 | | 15,914.00 | | | | | -4,705.00 | |
| | | 141. ROCKWELL INTL CORP NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/10/2011 | 08/23/2011 |
| 8,030.00 | | 12,215.00 | | | | | -4,185.00 | |
| | | 14. FEDERAL NATL MTG ASSN GTD MTG PASS T<br>PROPERTY TYPE: SECURITIES | | | | | 04/06/2001 | 08/25/2011 |
| 14.00 | | 14.00 | | | | | | |
| | | 184.6 FEDERAL NATL MTG ASSN GTD MTG PASS<br>PROPERTY TYPE: SECURITIES | | | | | 07/02/2001 | 08/25/2011 |
| 185.00 | | 182.00 | | | | | 3.00 | |
| | | 629.13 FEDERAL NATL MTG ASSN GTD MTG PAS<br>PROPERTY TYPE: SECURITIES | | | | | 03/05/2002 | 08/25/2011 |
| 629.00 | | 621.00 | | | | | 8.00 | |
| | | 132.94 FNMA POOL #836631 5.000% 10/01<br>PROPERTY TYPE: SECURITIES | | | | | 10/15/2007 | 08/25/2011 |
| 133.00 | | 131.00 | | | | | 2.00 | |
| | | 242.96 FNMA POOL #880971 5.500% 10/01<br>PROPERTY TYPE: SECURITIES | | | | | 01/08/2007 | 08/25/2011 |
| 243.00 | | 243.00 | | | | | | |
| | | 12. GOOGLE INC CL A<br>PROPERTY TYPE: SECURITIES | | | | | 03/31/2010 | 09/14/2011 |
| 6,349.00 | | 6,683.00 | | | | | -334.00 | |
| | | 585.62 FHLMC POOL #G18106 5.500% 3/01<br>PROPERTY TYPE: SECURITIES | | | | | 03/24/2006 | 09/15/2011 |
| 586.00 | | 585.00 | | | | | 1.00 | |
| | | 1791.84 FHLMC POOL #J01366 5.500% 4/0<br>PROPERTY TYPE: SECURITIES | | | | | 10/15/2007 | 09/15/2011 |
| 1,792.00 | | 1,786.00 | | | | | 6.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 20.47 FEDERAL HOME LN MTG CORP PARTN CTF<br>PROPERTY TYPE: SECURITIES | | | | | 10/01/2001 | 09/15/2011 |
| 20.00 | | 20.00 | | | | | | |
| | | 12.7 FEDERAL HOME LN MTG CORP PARTN CTFS<br>PROPERTY TYPE: SECURITIES | | | | | 11/02/2001 | 09/15/2011 |
| 13.00 | | 13.00 | | | | | | |
| | | 3.04 GOVERNMENT NATL MTG ASSN MTG PASS T<br>PROPERTY TYPE: SECURITIES | | | | | 05/14/2001 | 09/15/2011 |
| 3.00 | | 3.00 | | | | | | |
| | | 3080.64 MORGAN STANLEY DEAN 5.080% 9/1<br>PROPERTY TYPE: SECURITIES | | | | | 11/28/2007 | 09/15/2011 |
| 3,081.00 | | 3,068.00 | | | | | 13.00 | |
| | | 16. NETFLIX.COM INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/01/2010 | 09/15/2011 |
| 2,802.00 | | 2,569.00 | | | | | 233.00 | |
| | | 39. V F CORP COM W/RTS ATTACHED EXP 01/2<br>PROPERTY TYPE: SECURITIES | | | | | 04/22/2010 | 09/15/2011 |
| 4,832.00 | | 3,208.00 | | | | | 1,624.00 | |
| | | 259. ALLSTATE CORP COM W/RIGHTS ATTACHED<br>PROPERTY TYPE: SECURITIES | | | | | 06/23/2010 | 09/20/2011 |
| 6,381.00 | | 8,044.00 | | | | | -1,663.00 | |
| | | 72. ALLEGHENY TECHNOLOGIES INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/07/2010 | 09/22/2011 |
| 2,848.00 | | 3,668.00 | | | | | -820.00 | |
| | | 58. BOEING CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 06/10/2010 | 09/22/2011 |
| 3,398.00 | | 3,715.00 | | | | | -317.00 | |
| | | 40. EOG RES INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 10/13/2010 | 09/22/2011 |
| 3,138.00 | | 4,005.00 | | | | | -867.00 | |
| | | 95. FREEPORT MCMORAN COPPER & GOLD CL B<br>PROPERTY TYPE: SECURITIES | | | | | 10/29/2010 | 09/22/2011 |
| 3,108.00 | | 4,539.00 | | | | | -1,431.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 143. SCHLUMBERGER LTD COM | | | | | 07/08/2011 | 09/23/2011 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 8,734.00 | | 10,932.00 | | | | | -2,198.00 | |
| | | 14.24 FEDERAL NATL MTG ASSN GTD MTG PASS | | | | | 04/06/2001 | 09/25/2011 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 14.00 | | 14.00 | | | | | | |
| | | 792.84 FEDERAL NATL MTG ASSN GTD MTG PAS | | | | | 07/02/2001 | 09/25/2011 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 793.00 | | 781.00 | | | | | 12.00 | |
| | | 12.22 FEDERAL NATL MTG ASSN GTD MTG PASS | | | | | 03/05/2002 | 09/25/2011 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 12.00 | | 12.00 | | | | | | |
| | | 134.35 FNMA POOL #836631 5.000% 10/01 | | | | | 10/15/2007 | 09/25/2011 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 134.00 | | 133.00 | | | | | 1.00 | |
| | | 547.66 FNMA POOL #880971 5.500% 10/01 | | | | | 01/08/2007 | 09/25/2011 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 548.00 | | 549.00 | | | | | -1.00 | |
| | | 163. STATE STR CORP COM | | | | | 05/18/2010 | 09/26/2011 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 5,083.00 | | 6,524.00 | | | | | -1,441.00 | |
| | | 111. BOEING CO COM | | | | | 06/10/2010 | 09/27/2011 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 7,043.00 | | 7,110.00 | | | | | -67.00 | |
| | | 50000. FED HOME LN BK 4.500% 11/15 | | | | | 01/27/2006 | 09/27/2011 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 52,362.00 | | 49,654.00 | | | | | 2,708.00 | |
| | | 65. PROCTER & GAMBLE CO COM | | | | | 06/25/2009 | 09/27/2011 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 4,118.00 | | 3,857.00 | | | | | 261.00 | |
| | | 179. BAKER HUGHES INC COM | | | | | 01/28/2011 | 09/28/2011 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 8,888.00 | | 12,006.00 | | | | | -3,118.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 394. FREEPORT MCMORAN COPPER & GOLD CL B<br>PROPERTY TYPE: SECURITIES | | | | | 12/17/2010 | 09/28/2011 |
| 12,807.00 | | 18,826.00 | | | | | -6,019.00 | |
| | | 334. GENERAL MOTORS CO<br>PROPERTY TYPE: SECURITIES | | | | | 11/18/2010 | 09/28/2011 |
| 6,925.00 | | 11,838.00 | | | | | -4,913.00 | |
| | | 169. GILEAD SCIENCES INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 07/21/2011 | 09/28/2011 |
| 6,747.00 | | 7,283.00 | | | | | -536.00 | |
| | | 78. RANGE RES CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 07/28/2011 | 09/28/2011 |
| 4,756.00 | | 4,713.00 | | | | | 43.00 | |
| | | 94. CLIFFS NAT RES INC<br>PROPERTY TYPE: SECURITIES | | | | | 03/01/2011 | 09/29/2011 |
| 5,402.00 | | 8,521.00 | | | | | -3,119.00 | |
| | | 75. NETFLIX.COM INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 07/26/2011 | 09/29/2011 |
| 8,272.00 | | 12,044.00 | | | | | -3,772.00 | |
| | | 9. NETFLIX.COM INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/01/2010 | 09/29/2011 |
| 993.00 | | 1,445.00 | | | | | -452.00 | |
| | | 42. RANGE RES CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 07/28/2011 | 09/29/2011 |
| 2,515.00 | | 2,538.00 | | | | | -23.00 | |
| | | 103. SINA.COM SHS<br>PROPERTY TYPE: SECURITIES | | | | | 01/13/2011 | 09/29/2011 |
| 7,495.00 | | 8,749.00 | | | | | -1,254.00 | |
| | | 45. MEAD JOHNSON NUTRITION CO<br>PROPERTY TYPE: SECURITIES | | | | | 12/21/2009 | 09/30/2011 |
| 3,155.00 | | 2,034.00 | | | | | 1,121.00 | |
| | | 64. OCCIDENTAL PETE CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/03/2010 | 09/30/2011 |
| 4,649.00 | | 4,882.00 | | | | | -233.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 98. YUM! BRANDS INC | | | COM | | 05/26/2011 | 09/30/2011 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 4,769.00 | | 5,468.00 | | | | | -699.00 | |
| | | | | | | | ---------- | |
| TOTAL GAIN(LOSS) ...... | | ................................... | | | | | 276,607. | |
| | | | | | | | ========== | |

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| WELLS FARGO - SECURED MARKET DEPOSIT ACC | 52. | 52. |
| TOTAL | 52. | 52. |

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| AFLAC INC COM | 376. | 376. |
| ABBOTT LABORATORIES 4.125% 5/27/20 | 825. | 825. |
| AIR PRODS & CHEMICALS INC COM W/ RTS TO | 59. | 59. |
| ALLEGHENY TECHNOLOGIES INC COM | 306. | 306. |
| ALLERGAN INC COM W/RIGHTS ATTACHED EXP 2 | 58. | 58. |
| ALLSTATE CORP COM W/RIGHTS ATTACHED EXP | 315. | 315. |
| AMERICAN ELEC PWR CO INC COM | 1,190. | 1,190. |
| AMERICREDIT AUTOMOBILE REVEIVABLES TR SE | 19. | 19. |
| AMERIPRISE FINANCIAL INC | 75. | 75. |
| ANHEUSER-BUSCH INBEV 5.375% 1/15/20 | 1,374. | 1,374. |
| ARCHER DANIELS MIDLAND CO COM | 69. | 69. |
| ARTIO INTERNATIONAL EQ FD 3# 1529 | 11,039. | 11,039. |
| BG GROUP PLC- SPON ADR ISIN US0554342032 | 61. | 61. |
| BAKER HUGHES INC COM | 125. | 125. |
| BANK AMER CORP COM | 127. | 127. |
| BANK OF AMERICA CORP 7.375% 5/15/14 | 860. | 860. |
| BANK NEW YORK MELLON CORP COM | 117. | 117. |
| BAXTER INTL INC COM W/RIGHTS ATTACHED EX | 428. | 428. |
| BOEING CO COM | 1,308. | 1,308. |
| BOSTON PPTYS INC COM | 56. | 56. |
| PEPSI BOTTLING GROUP 6.950% 3/15/14 | 2,433. | 2,433. |
| CBS CORP CL B COM | 175. | 175. |
| CME GROUP INC | 235. | 235. |
| CVS CORP COM | 250. | 250. |
| CVS CAREMARK CORP 5.750% 6/01/17 | 2,300. | 2,300. |
| CANADIAN NAT RES LTD COM CN$ | 205. | 205. |
| CATERPILLAR INC COM W/RTS EXP 12/11/2006 | 408. | 408. |
| CATERPILLAR FIN SERV 5.850% 9/01/17 | 2,633. | 2,633. |
| CENTURYTEL INC COM | 653. | 653. |
| CITIGROUP INC 6.125% 11/21/17 | 1,225. | 1,225. |
| CITIGROUP INC 2.125% 4/30/12 | 1,594. | 1,594. |
| CLIFFS NAT RES INC | 71. | 71. |

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| COACH INC COM W/RTS ATTACHED EXP 5/2/201 | 164. | 164. |
| COCA-COLA CO COM | 1,014. | 1,014. |
| COCA-COLA ENTERPRISES | 43. | 43. |
| COLGATE-PALMOLIVE CO COM | 153. | 153. |
| COMCAST CORP NEW CL A | 561. | 561. |
| COMCAST CORP 5.150% 3/01/20 | 1,545. | 1,545. |
| COMERICA INC COM RT EXPS 07/01/2006 | 43. | 43. |
| CONOCOPHILLIPS COM | 524. | 524. |
| CONOCOPHILLIPS 5.750% 2/01/19 | 2,588. | 2,588. |
| CORNING INC COM W/RTS EXP 07/15/2006 | 92. | 92. |
| COSTCO WHOLESALE COR 5.500% 3/15/17 | 2,200. | 2,200. |
| CUMMINS INC COM | 165. | 165. |
| DANAHER CORP COM | 18. | 18. |
| DEERE & CO 6.950% 4/25/14 | 3,774. | 3,774. |
| DELAWARE POOLED TR INTL EQUITY PORTFOLIO | 12,500. | 12,500. |
| DEVON ENERGY CORP NEW COM | 269. | 269. |
| DISCOVERY COMMUNICAT 5.050% 6/01/20 | 1,452. | 1,452. |
| DODGE & COX INT'L STOCK FD # 1048 | 3,471. | 3,471. |
| DOW CHEM CO COM | 415. | 415. |
| DR PEPPER SNAPPLE GR 2.900% 1/15/16 | 296. | 296. |
| EOG RES INC COM | 94. | 94. |
| EMERSON ELEC CO COM W/RTS ATTACHED EXP 1 | 441. | 441. |
| ENTERGY CORP NEW COM | 680. | 680. |
| EXXON MOBIL CORP COM | 72. | 72. |
| FHLMC POOL #G18106 5.500% 3/01/21 | 1,162. | 1,162. |
| FHLMC POOL #J01366 5.500% 4/01/21 | 1,634. | 1,634. |
| FEDERAL HOME LN MTG CORP PARTN CTFS POOL | 421. | 421. |
| FEDERAL HOME LN MTG CORP PARTN CTFS POOL | 312. | 312. |
| FED HOME LN BK 4.500% 11/15/12 | 4,681. | 4,681. |
| FED HOME LN BK 4.500% 9/16/13 | 5,400. | 5,400. |
| FED HOME LN BK 4.750% 12/16/16 | 950. | 950. |
| FED HOME LN BK 5.500% 8/13/14 | 2,200. | 2,200. |
| FED NATL MTG ASSN 5.000% 3/15/16 | 2,750. | 2,750. |

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| FED HOME LN MTG CORP 2.875% 2/09/15 | 371. | 371. |
| FEDERAL NATL MTG ASSN GTD MTG PASS THRU | 359. | 359. |
| FEDERAL NATL MTG ASSN GTD MTG PASS THRU | 750. | 750. |
| FEDERAL NATL MTG ASSN GTD MTG PASS THRU | 331. | 331. |
| FED NATL MTG ASSN 5.375% 6/12/17 | 4,569. | 4,569. |
| FED NATL MTG ASSN 3.250% 4/09/13 | 1,300. | 1,300. |
| FNMA POOL #836631 5.000% 10/01/20 | 1,026. | 1,026. |
| FNMA POOL #880971 5.500% 10/01/21 | 678. | 678. |
| FLOWSERVE CORP COM | 54. | 54. |
| FRANKLIN RES INC COM | 144. | 144. |
| FREEPORT MCMORAN COPPER & GOLD CL B W/RT | 1,616. | 1,616. |
| GOVERNMENT NATL MTG ASSN MTG PASS THRU C | 125. | 125. |
| GENERAL ELEC CO COM | 1,459. | 1,459. |
| GENERAL ELEC CAP COR 5.625% 9/15/17 | 3,516. | 3,516. |
| GENERAL MILLS INC COM W/RTS EXP 02/01/20 | 814. | 814. |
| GOLDMAN SACHS GRP INC COM | 25. | 25. |
| GOLDMAN SACHS GROUP 5.150% 1/15/14 | 2,060. | 2,060. |
| HSBC FINANCE CORP 6.750% 5/15/11 | 1,575. | 1,575. |
| HALLIBURTON CO COM W/RIGHTS EXP 12/15/20 | 40. | 40. |
| HARTFORD FINL SVCS GRP COM | 166. | 166. |
| HESS CORP | 24. | 24. |
| HEWLETT-PACKARD CO COM | 113. | 113. |
| HOME DEPOT INC 5.400% 3/01/16 | 2,430. | 2,430. |
| HONDA AUTO RECEIVABL 1.980% 5/23/16 | 1,287. | 1,287. |
| HONEYWELL INTL INC COM | 719. | 719. |
| HOUSEHOLD FIN CORP BD DTD 05/22/2002 7% | 3,500. | 3,500. |
| ING INTERNATIONAL REAL EST-I #2664 | 18,980. | 18,980. |
| ILLINOIS TOOL WORKS INC COM | 265. | 265. |
| INTEL CORP COM | 197. | 197. |
| IBM CORPORATION 5.700% 9/14/17 | 2,856. | 2,856. |
| ISHARES MIDCAP INDEX FD | 9,888. | 9,888. |
| JP MORGAN CHASE & CO COM | 947. | 947. |
| JOHNSON & JOHNSON COM | 576. | 576. |

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| JOHNSON CTLS INC COM W/RTS ATTACHED EXP | 242. | 242. |
| KLA TENCOR CORP COM RTS EXP 04/25/2006 | 52. | 52. |
| KELLOGG CO NT DTD 3/29/2001 6.6% 04/01/2 | 2,640. | 2,640. |
| KEY BANK NA 3.200% 6/15/12 | 1,200. | 1,200. |
| KIMBERLY-CLARK CORP COM | 304. | 304. |
| KOHLS CORP COM | 32. | 32. |
| KROGER CO COM | 91. | 91. |
| MARATHON OIL CORP BD DTD 9/27/07 6% 10/0 | 660. | 660. |
| MARSH & MCLENNAN COS INC COM | 323. | 323. |
| MAXIM INTEGRATED PRODS INC COM | 97. | 97. |
| MCDONALDS CORP COM | 526. | 526. |
| MEAD JOHNSON NUTRITION CO | 292. | 292. |
| MEDTRONIC INC 3.000% 3/15/15 | 1,725. | 1,725. |
| MELLON FUNDING BD DTD 05/14/2001 CALLABL | 1,920. | 1,920. |
| MERCK & CO INC NEW | 1,614. | 1,614. |
| MERRILL LYNCH & CO 5.000% 1/15/15 | 2,500. | 2,500. |
| METLIFE INC 5.000% 6/15/15 | 194. | 194. |
| MICROSOFT CORP COM | 340. | 340. |
| MONSANTO CO NEW COM | 101. | 101. |
| MORGAN STANLEY 5.375% 10/15/15 | 2,688. | 2,688. |
| MORGAN STANLEY DEAN 5.080% 9/15/37 | 5,890. | 5,890. |
| MOSAIC CO/THE | 7. | 7. |
| NATIONAL OILWELL INC COM | 49. | 49. |
| NATIONAL RURAL UTILS 7.250% 3/01/12 | 3,767. | 3,767. |
| NEWELL RUBBERMAID INC COM | 128. | 128. |
| NEWS AMER HLDGS INC 9.250% 2/01/13 | 1,304. | 1,304. |
| NEXTERA ENERGY INC | 169. | 169. |
| NIKE INC CL B COM | 40. | 40. |
| NORDSTROM INC COM | 201. | 201. |
| NORTHROP GRUMMAN COR 7.125% 2/15/11 | 891. | 891. |
| NOVARTIS CAPITAL COR 2.900% 4/24/15 | 1,603. | 1,603. |
| OCCIDENTAL PETE CORP COM | 680. | 680. |
| OCCIDENTAL PETROLEUM 6.750% 1/15/12 | 960. | 960. |

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| OCCIDENTAL PETROLEUM 2.500% 2/01/16 | 240. | 240. |
| ORACLE CORP COM | 380. | 380. |
| PG&E CORP COM W/RIGHTS ATTACHED EXP 12/2 | 845. | 845. |
| PIMCO FDS PAC INVT MGMT SER HIGH YLD FD | 51,985. | 51,985. |
| PIMCO FOREIGN BOND FUND-INST | 14,702. | 14,702. |
| PIMCO FDS PAC INVT MGMT REAL RETURN BD F | 12,847. | 12,847. |
| PIMCO EMERG MKTS BD-INST #137 | 21,329. | 21,329. |
| PNC FINL SVCS GROUP INC COM W/RIGHTS ATT | 313. | 313. |
| PPL CORP COM | 361. | 361. |
| PACIFIC GAS & ELECTR 4.800% 3/01/14 | 1,200. | 1,200. |
| PAYCHEX INC COM | 104. | 104. |
| PEPSICO INC COM | 980. | 980. |
| PIMCO FOREIGN BOND (UNHEDGED) #1853 | 33,038. | 33,038. |
| PIMCO COMMODITY REAL RET STRAT-I#45 | 116,126. | 116,126. |
| POLO RALPH LAUREN CORP CL A | 8. | 8. |
| POTASH CORP SASK INC COM W/RTS ATTACHED | 72. | 72. |
| PRAXAIR INC COM | 67. | 67. |
| PRECISION CASTPARTS CORP COM W/RIGHTS AT | 16. | 16. |
| PROCTER & GAMBLE CO COM | 1,066. | 1,066. |
| PRUDENTIAL FINANCIAL INC COM | 546. | 546. |
| PUBLIC SVC ENTERPRISE GRP INC COM | 94. | 94. |
| QUALCOMM INC COM W/RTS ATTACHED | 55. | 55. |
| RANGE RES CORP COM | 52. | 52. |
| RAYTHEON CO COM | 122. | 122. |
| ROCKWELL INTL CORP NEW COM | 124. | 124. |
| ROCKWELL COLLINS-WI COM | 279. | 279. |
| ROWE T PRICE REAL ESTATE FD COM | 18,488. | 9,108. |
| SBC COMMUNICATIONS 5.625% 6/15/16 | 3,516. | 3,516. |
| SLM CORP COM | 213. | 213. |
| SSGA EMERGING MARKETS FD S #1510 | 16,819. | 16,819. |
| SAFEWAY INC 3.950% 8/15/20 | 1,558. | 1,558. |
| SCHLUMBERGER LTD COM | 371. | 371. |
| SIGMA ALDRICH CORP COM | 34. | 34. |

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| STAPLES INC COM W/INVISIBLE RTS TO PUR S | 38. | 38. |
| STARBUCKS CORP COM | 365. | 365. |
| STATE STR CORP COM | 124. | 124. |
| STRYKER CORP COM | 71. | 71. |
| SUNTRUST BKS INC COM | 47. | 47. |
| TD AMERITRADE HOLDIN 5.600% 12/01/19 | 1,960. | 1,960. |
| TARGET CORP COM | 161. | 161. |
| TARGET CORP NT DTD 3/11/2002 5.875% 03/0 | 3,362. | 3,362. |
| TELECOM IT CAP 5.250% 10/01/15 | 1,466. | 1,466. |
| TEVA PHARMACEUTICAL INDS LTD ADR | 415. | 415. |
| TEXAS INSTRS INC COM | 246. | 246. |
| 3M CO COM | 378. | 378. |
| TIME WARNER INC | 183. | 183. |
| TRAVELERS COMPANIES, INC | 494. | 494. |
| TYSON FOODS INC COM CL A | 37. | 37. |
| US BANCORP DEL COM NEW W/RIGHTS ATTACHED | 425. | 425. |
| UNILEVER N V NEW YORK SHS NEW | 849. | 849. |
| US BANCORP 4.200% 5/15/14 | 1,196. | 1,196. |
| UNITED STATES TREAS BD DTD 02/15/2002 4. | 1,113. | 1,113. |
| U S TREASURY NOTE 4.250% 8/15/13 | 2,942. | 2,942. |
| U S TREASURY NOTES 4.750% 5/15/14 | 5,225. | 5,225. |
| U S TREASURY NOTE 4.125% 5/15/15 | 4,222. | 4,222. |
| U S TREASURY NOTE 4.500% 2/28/11 | 489. | 489. |
| U S TREASURY NOTES 5.125% 5/15/16 | 1,318. | 1,318. |
| US TREASURY NOTES 4.500% 3/31/12 | 457. | 457. |
| US TREASURY NOTES 4.500% 4/30/12 | 1,114. | 1,114. |
| US TREASURY NOTE 3.500% 2/15/18 | 1,162. | 1,162. |
| US TREASURY NOTE 4.000% 8/15/18 | 326. | 326. |
| US TREASURY NOTE 3.750% 11/15/18 | 3,375. | 3,375. |
| US TREASURY NOTE 1.750% 1/31/14 | 175. | 175. |
| US TREASURY NOTE 2.750% 2/15/19 | 569. | 569. |
| US TREASURY NOTE 3.125% 5/15/19 | 1,338. | 1,338. |
| US TREASURY NOTE 3.375% 11/15/19 | 1,688. | 1,688. |

## FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| US TREASURY NOTE 3.625% 2/15/20 | 2,023. | 2,023. |
| US TREASURY NOTE 2.625% 8/15/20 | 1,322. | 1,322. |
| UNITED TECHNOLOGIES CORP COM | 298. | 298. |
| UNITEDHEALTH GRP INC COM | 348. | 348. |
| UNITEDHEALTH GROUP 5.250% 3/15/11 | 919. | 919. |
| V F CORP COM W/RTS ATTACHED EXP 01/25/20 | 484. | 484. |
| VISA INC-CLASS A SHRS | 30. | 30. |
| WAL MART STORES INC COM | 222. | 222. |
| WELLPOINT INC | 331. | 331. |
| WESTPAC BANKING CORP 3.000% 8/04/15 | 350. | 350. |
| WORLD OMNI AUTO RECE 2.210% 5/15/15 | 1,989. | 1,989. |
| XTO ENERGY INC NT DTD 08/07/07 6.5% 12/1 | 803. | 803. |
| YUM! BRANDS INC COM | 145. | 145. |
| COVIDIEN PLC | 118. | 118. |
| COVIDIEN PLC | 119. | 119. |
| EVEREST REINSURANCE GROUP LTD SHS | 417. | 417. |
| INVESCO LIMITED | 262. | 262. |
| TYCO INTERNATIONAL LTD NEW | 79. | 79. |
| LYONDELLBASELL INDU-CL A | 87. | 4. |
| OTHER INTEREST | 2,022. | 2,022. |
| WF ADV HERITAGE MM FD-INSTL #3106 | 908. | 908. |
| TOTAL | 527,599. | 518,136. |

## FORM 990PF, PART I - OTHER INCOME

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| UST REFUND | 13,032. | |
| ALPHAMETRIX MGD 27-1248567 INCOME LOSS | | -9,442. |
| ALPHAMETRIX MGD 27-1248567 DIVIDENDS | | 112. |
| ALPHAMETRIX MGD 27-1248567 ST LOSS | | -4,077. |
| ALPHAMETRIX MGD 27-1248567 OTHER INCOME | | 29,430. |
| AURORA GLOBAL 20-5337359 INCOME | | 1,394. |
| DORCHESTER CAP 20-0495525 INCOME | | 29. |
| DORCHESTER CAP 20-0495525 LTCG LOSS | | -441. |
| DORCHESTER CAP 20-0495525 OTHER INCOME | | 1,680. |
| SELECTINVEST INST 20-5878828 INCOME | | 4. |
| SELECTINVEST INST 20-5878828 LTCG LOSS | | -8,260. |
| ASGI MULTI 20-4078221 INCOME | | 344. |
| ASGI MULTI 20-4078221 STCG | | 780. |
| ASGI MULTI 20-4078221 LTCG LOSS | | -9,675. |
| TOTALS | 13,032. | 1,878. |

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| INVESTMENT MGMT FEES-SUBJECT T | 9,609. | 9,609. |
| TOTALS | 9,609. | 9,609. |

## FORM 990PF, PART I - TAXES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| FOREIGN TAXES | 297. | 297. |
| FEDERAL TAX PAYMENT - PRIOR YE | 481. | |
| FEDERAL ESTIMATES - PRINCIPAL | 3,687. | |
| FOREIGN TAXES ON QUALIFIED FOR | 4,052. | 4,052. |
| FOREIGN TAXES ON NONQUALIFIED | 1,276. | 1,276. |
| TOTALS | 9,793. | 5,625. |

FORM 990PF, PART I - OTHER EXPENSES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | CHARITABLE PURPOSES |
|---|---|---|---|
| TRAVEL REIMBURSE BOARD OF GOV | 2,613. | | 2,613. |
| TRAVEL REIMBURSE CO-TRUSTEE | 2,199. | 1,100. | 1,100. |
| ASGI MULTI 20-4078221 INVEST I | | 19. | |
| ASGI MULTI 20-4078221 2% FLOOR | | 8,174. | |
| SELECTINVEST 20-5878828 2%FLOO | | 703. | |
| TOTALS | 4,812. | 9,996. | 3,713. |

## FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

| DESCRIPTION | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|
| 3133XLJP9 FED HOME LN BK | 40,179. | 45,501. |
| 3133X1BV8 FED HOME LN BK | 129,395. | 129,499. |
| 3133XHZK1 FED HOME LN BK | 21,930. | 23,323. |
| 3137EACH0 FED HOME LN MTG CORP | 52,461. | 53,455. |
| 3137EACA5 FED HOME LN MTG | 56,327. | 56,486. |
| 31298WMD4 FED HOME LN MTG CORP | 6,328. | 7,033. |
| 31298YZJ3 FED HOME LN MTG CORP | 4,566. | 5,022. |
| 3128MMDL4 FED HOME LN MTG CORP | 17,342. | 18,778. |
| 3128PCQT2 FED HOME LN MTG CORP | 24,180. | 26,321. |
| 31398AMW9 FED NATL MTG ASSN | 39,067. | 41,775. |
| 31359MH89 FED NATL MTG ASSN | 52,588. | 64,236. |
| 31398ADM1 FED NATL MTG ASSN | 88,034. | 103,084. |
| 31386SVN4 FED NATL MTG ASSN | 4,860. | 5,536. |
| 31387FGS7 FED NATL MTG ASSN | 9,707. | 10,713. |
| 31389NK95 FED NATL MTG ASSN | 4,812. | 5,411. |
| 31404PPQ5 FED NATL MTG ASSN | 15,497. | 16,959. |
| 31409WW80 FED NATL MTG ASSN | 9,180. | 9,939. |
| 36212VVM8 GOVT NATL MTG ASSN | 1,593. | 1,828. |
| 912828DV9 US TREASURY NOTE | 70,289. | 73,221. |
| 912828BH2 US TREASURY NOTE | 110,720. | 112,744. |
| 912828CJ7 US TREASURY NOTE | 114,419. | 122,478. |
| 912828FF2 US TREASURY NOTES | 121,335. | 125,335. |
| 912828JZ4 US TREASURY NOTE | 10,005. | 10,325. |
| 912828MP2 US TREASURY NOTE | 39,943. | 46,175. |
| 912828QN3 US TREASURY NOTE | 102,146. | 110,992. |
| 912828NT3 US TREASURY NOTE | 60,436. | 64,261. |
| 912828JR2 US TREASURY NOTE | 99,647. | 104,112. |
| 912828LY4 US TREASURY NOTE | 48,605. | 56,703. |

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FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

| DESCRIPTION | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|
| TOTALS | 1,355,591. | 1,451,245. |

FORM 990PF, PART II - CORPORATE STOCK

| DESCRIPTION | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|
| 124857202 CBS CORP NEW | 11,484. | 10,883. |
| 25470M109 DISH NETWORKS CORP | 12,485. | 20,491. |
| 345370860 FORD MOTOR COMPANY | 7,917. | 10,608. |
| 37045V100 GENERAL MOTORS CO | 11,838. | 6,740. |
| 478366107 JOHNSON CONTROLS INC | 8,653. | 10,469. |
| 53071M104 LIBERTY INTERACTIVE | 10,927. | 9,181. |
| 254687106 WALT DISNEY CO | 32,378. | 25,546. |
| 19122T109 COCA-COLA ENTERPRISE | 9,392. | 8,161. |
| 205887102 CONAGRA FOODS INC | 9,166. | 8,743. |
| 126650100 CVS/CAREMARK CORP | 18,814. | 18,172. |
| 370334104 GENERAL MILLS INC | 20,707. | 23,787. |
| 713448108 PEPSICO INC | 9,515. | 9,409. |
| 13342B105 CAMERON INTL CORP | 13,505. | 8,931. |
| 166764100 CHEVRON CORP | 10,526. | 10,833. |
| 20825C104 CONOCOPHILLIPS | 13,024. | 10,448. |
| 25179M103 DEVON ENERGY CORP | 32,784. | 21,234. |
| 30231G102 EXXON MOBIL CORP | 22,627. | 22,370. |
| 674599105 OCCIDENTAL PETE CORP | 15,029. | 14,086. |
| 75281A109 RANGE RES CORP | 15,166. | 14,673. |
| 001055102 AFLAC INC | 9,757. | 8,772. |
| 020002101 ALLSTATE CORP | 7,112. | 5,425. |
| 03076C106 AMERIPRISE FINL | 15,565. | 11,414. |
| 060505104 BANK OF AMERICA CORP | 33,399. | 18,342. |
| 316673100 FIFTH THIRD BANCORP | 12,419. | 10,100. |
| 354613101 FRANKLIN RESOURCES I | 11,161. | 14,155. |
| 416515104 HARTFORD FINANCIAL | 14,793. | 8,909. |
| 46625H100 JPMORGAN CHASE & CO | 57,329. | 41,746. |
| 571748102 MARSH & MCLENNAN | 8,771. | 10,089. |
| 744320102 PRUDENTIAL FINL | 26,547. | 22,259. |

FORM 990PF, PART II - CORPORATE STOCK

| DESCRIPTION | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|
| 78442P106 SLM CORP | 15,349. | 13,284. |
| 876914103 SUNTRUST BANK INC | 15,344. | 10,483. |
| 89417E109 TRAVELERS CO | 14,412. | 15,642. |
| 902973304 US BANCORP DEL | 30,214. | 29,449. |
| 101137107 BOSTON SCIENTIFIC | 17,585. | 14,361. |
| 151020104 CELGENE CORP | 19,565. | 21,483. |
| 58933Y105 MERCK & CO | 45,044. | 39,698. |
| 94973V107 WELLPOINT INC | 28,173. | 28,788. |
| 097023105 BOEING CO | 25,725. | 25,475. |
| 18683K101 CLIFFS NAT | 8,521. | 4,810. |
| 369604103 GENERAL ELECTRIC CO | 48,441. | 44,031. |
| 438516106 HONEYWELL INTERNATIO | 17,297. | 20,506. |
| 452308109 ILLINOIS TOOLS WORKS | 8,271. | 8,112. |
| 00724F101 ADOBE SYS INC | 18,155. | 14,550. |
| 268648102 EMC CORP | 23,718. | 24,180. |
| 38259P508 GOOGLE INC | 18,377. | 16,996. |
| 48203R104 JUNIPER NETWORKS | 9,627. | 6,749. |
| 482480100 KLA-TENCOR CORP | 6,534. | 5,665. |
| 57772K101 MAXIM INTEGRATED | 10,387. | 10,312. |
| 594918104 MICROSOFT CORP | 21,765. | 20,260. |
| 67066G104 NVIDIA CORP | 9,681. | 7,556. |
| 61945C103 MOSAIC CO/THE | 10,115. | 7,199. |
| 156700106 CENTURYLINK INC | 13,001. | 9,936. |
| 852061100 SPRINT NEXTEL CORP | 54,519. | 19,803. |
| 025537101 AMERICAN ELECTRIC PO | 15,469. | 19,314. |
| 26884L109 EQT CORPORATION | 13,615. | 12,860. |
| 69331C108 PG&E CORP | 24,867. | 22,715. |
| 69351T106 PPL CORPORATION | 19,347. | 20,549. |
| 744573106 PUBLIC SVC ENTERPRIS | 8,628. | 9,143. |

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## FORM 990PF, PART II - CORPORATE STOCK

| DESCRIPTION | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|
| 023135106 AMAZON COM | 18,093. | 39,138. |
| 099724106 BORG WARNER INC | 4,910. | 6,416. |
| 189754104 COACH INC | 8,998. | 12,906. |
| 500255104 KOHLS CORP | 9,830. | 10,213. |
| 517834107 LAS VAGAS SANDS | 26,651. | 24,461. |
| 580135101 MCDONALDS CORP | 21,284. | 22,658. |
| 64110L106 NETFLIX.COM | 13,490. | 9,515. |
| 855244109 STARBUCKS CORP | 13,686. | 19,167. |
| 85590A401 STARWOOD HOTELS & RE | 26,680. | 18,711. |
| 887317303 TIME WARNER INC | 14,330. | 11,658. |
| 918204108 V F CORP | 12,914. | 19,079. |
| 988498101 YUM BRANDS INC | 21,202. | 18,768. |
| 191216100 COCA COLA CO | 44,965. | 49,859. |
| 518439104 ESTEE LAUDER CO | 14,760. | 13,967. |
| 494368103 KIMBERLY CLARK CORP | 6,131. | 6,320. |
| 501044101 KROGER CO | 21,492. | 19,017. |
| 582839106 MEAD JOHNSON NUTRITI | 13,966. | 21,268. |
| 713448108 PEPSICO INC | 27,955. | 25,008. |
| 742718109 PROCTER & GAMBLE CO | 32,576. | 34,686. |
| 902494103 TYSON FOODS INC | 16,949. | 15,919. |
| 931142103 WAL MART STORES | 20,501. | 20,137. |
| 057224107 BAKER HUGHES INC | 12,006. | 8,261. |
| 26875P101 EOG RESOURCES INC | 14,517. | 10,296. |
| 637071101 NATIONAL OILWELL VAR | 21,373. | 13,881. |
| 75281A109 RANGE RES CORP | 10,102. | 10,406. |
| 12572Q105 CME GROUP | 14,046. | 11,088. |
| 200340107 COMERICA INC | 16,161. | 9,762. |
| 018490102 ALLERGAN INC | 13,723. | 17,629. |
| 302182100 EXPRESS SCRIPTS INC | 17,703. | 11,825. |

FORM 990PF, PART II - CORPORATE STOCK

| DESCRIPTION | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|
| 375558103 GILEAD SCIENCES | 7,283. | 6,557. |
| 452327109 ILLUMINA INC | 33,308. | 20,787. |
| 478160104 JOHNSON & JOHNSON | 35,710. | 34,584. |
| 91324P102 UNITEDHEALTH GROUP | 19,025. | 26,104. |
| 941848103 WATERS CORP | 24,614. | 22,270. |
| 097023105 BOEING CO | 8,263. | 7,806. |
| 235851102 DANAHER CORP | 14,142. | 11,072. |
| 740189105 PRECISION CASPARTS | 22,869. | 28,294. |
| 755111507 RAYTHEON CO | 14,123. | 11,566. |
| 760759100 REPUBLIC SERVICE INC | 12,123. | 12,122. |
| 858912108 STERICYCLE INC | 6,136. | 6,135. |
| 913017109 UNITED TECHNOLOGIES | 9,214. | 11,750. |
| 88579Y101 3M CO | 11,399. | 10,194. |
| 037833100 APPLE INC | 38,122. | 104,863. |
| 177376100 CITRIX SYS INC | 16,653. | 18,976. |
| 268648102 EMC CORP | 9,445. | 14,126. |
| 38259P508 GOOGLE INC | 53,684. | 46,354. |
| 458140100 INTEL CORP | 21,722. | 19,991. |
| 48203R104 JUNIPER NETWORKS | 19,333. | 9,044. |
| 594918104 MICOSOFT CORP | 30,718. | 30,988. |
| 68389X105 ORACLE CORP | 38,063. | 42,794. |
| 747525103 QUALCOMM INC | 14,470. | 12,352. |
| 92342Y109 VERIFONE SYSTEMS | 6,445. | 5,953. |
| 01741R102 ALLEGHENY TECHNOLOGI | 10,698. | 7,768. |
| 35671D587 FREEPORT-MCMORAN | 18,826. | 11,997. |
| 61166W101 MONSANTO CO | 25,528. | 21,554. |
| 74005P104 PRAXAIR INC | 13,218. | 12,526. |
| 826552101 SIGMA ALDRICH CORP | 13,863. | 11,802. |
| 025537101 AMERICAN ELECTRIC | 14,330. | 14,143. |

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FORM 990PF, PART II - CORPORATE STOCK

| DESCRIPTION | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|
| TOTALS | 2,128,857. | 2,026,376. |

FORM 990PF, PART II - CORPORATE BONDS

| DESCRIPTION | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|
| 002824AW0 ABBOTT LABORATORIES | 19,990. | 22,138. |
| 03523TAN8 ANHEUSER-BUSCH | 39,956. | 40,778. |
| 06406HBL2 BANK OF NEW YORK | 32,510. | 32,369. |
| 14912L3K5 CATERPILLAR FIN SERV | 45,450. | 53,396. |
| 172967EM9 CITIGROUP INC | 20,540. | 21,371. |
| 20030NBA8 COMCAST CORP | 29,970. | 33,861. |
| 20825CAR5 CONOCOPHILLIPS | 49,345. | 53,804. |
| 22160KAC9 COSTCO WHOLESALE COR | 43,734. | 47,305. |
| 126650BH2 CVS CAREMARK CORP | 39,269. | 45,910. |
| 244199BB0 DEER & CO | 33,367. | 34,396. |
| 25470DAC3 DISCOVERY COMMUNICAT | 30,177. | 32,794. |
| 260543CC5 DOW CHEMICAL CO/THE | 31,009. | 30,161. |
| 26138EAM1 DR PEPPER SNAPPLE | 24,670. | 25,816. |
| 36962G3H5 GENERAL ELEC CAP COR | 50,401. | 54,847. |
| 38143UAB7 GOLDMAN SACHS GROUP | 41,461. | 41,415. |
| 437076AP7 HOME DEPOT INC | 42,593. | 50,917. |
| 441812JY1 HOUSEHOLD FINANCE CO | 57,174. | 51,476. |
| 459200GJ4 IBM CORPORATION | 41,830. | 47,590. |
| 46625HHU7 JPMORGAN CHASE & CO | 53,831. | 55,130. |
| 565849AD8 MARATHON OIL CORP | 11,304. | 12,571. |
| 585055AR7 MEDTRONIC INC | 49,996. | 52,937. |
| 59018YUW9 MERRILL LYNCH & CO | 48,092. | 48,363. |
| 59156RAN8 METLIFE INC | 43,833. | 43,821. |
| 61746SBR9 MORGAN STANLEY | 49,624. | 49,602. |
| 637432CU7 NATIONAL RURAL UTILS | 42,678. | 37,978. |
| 66989HAC2 NOVARTIS CAPITAL COR | 49,761. | 52,916. |
| 674599BZ7 OCCIDENTAL PETROLEUM | 29,610. | 31,253. |
| 694308GD3 PACIFIC GAS & ELECTR | 24,092. | 26,992. |
| 10138MAH8 PEPSI BOTTLING GROUP | 34,928. | 40,024. |

FORM 990PF, PART II - CORPORATE BONDS

| DESCRIPTION | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|
| 786514BS7 SAFEWAY INC | 40,391. | 40,302. |
| 78387GAL7 SBC COMMUNICATIONS | 49,436. | 57,002. |
| 87612EAH9 TARGET CORP | 53,710. | 51,094. |
| 87236YAA6 TD AMERITRADE HOLDIN | 34,952. | 37,780. |
| 87927VAQ1 TELECOM ITALIA CAPIT | 31,260. | 28,601. |
| 91159HGR5 US BANCORP | 54,817. | 53,660. |
| 961214BN2 WESTPAC BANKING CORP | 50,780. | 50,911. |
| TOTALS | 1,426,541. | 1,491,281. |

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FORM 990PF, PART II - OTHER INVESTMENTS

| DESCRIPTION | COST/ FMV C OR F | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|---|
| 693390841 HIGH YIELD FUND | C | 775,000. | 738,829. |
| 693391104 PIMCO REAL RETURN FU | C | 323,699. | 354,171. |
| 693391559 PIMCO EMERGING MKT | C | 296,155. | 391,807. |
| 722005220 PIMCO FOREIGN BOND | C | 575,000. | 597,740. |
| 693390882 PIMCO FOREIGN BOND | C | 550,000. | 560,722. |
| 025083320 AMERICAN CENTURY SMA | C | 282,299. | 296,561. |
| 464287499 ISHARES TR RUSSELL | C | 552,759. | 574,243. |
| 780905451 ROYCE PREMIER FUND | C | 229,390. | 264,801. |
| 04315J837 ARTIO INTERNATIONAL | C | 658,973. | 387,086. |
| 246248306 DELAWARE POOLED TR | C | 575,309. | 327,326. |
| 256206103 DODGE & COX INTERNAT | C | 200,000. | 178,156. |
| 411511801 HARBOR INTERNATIONAL | C | 680,000. | 554,323. |
| 784924425 SSGA EMERGING MKT | C | 715,965. | 570,720. |
| ASGI MULTI-STRATEGY II ASP | C | 510,000. | 445,572. |
| ALPHAMETRIX MANAGED FUTURE | C | 300,000. | 330,596. |
| AURORA GLOBAL OPPORTUNITIES | C | 279,538. | 282,396. |
| DORCHESTER CAPITAL INTERNATION | C | 275,420. | 296,029. |
| K2 OVERSEAS LONG ASP | C | 267,144. | 258,695. |
| SELECTINVEST INSTITUTIONAL ARV | C | 115,719. | 110,738. |
| 44980Q518 ING INTERNATIONAL RE | C | 438,337. | 271,870. |
| 722005667 PIMCO COMMODITY RTN | C | 546,000. | 633,932. |
| 779919109 TROWE PRICE RE | C | 635,796. | 630,043. |
| 43812BAH6 HONDA AUTO RECEIVABL | C | 64,983. | 66,082. |
| 61746WXN5 MORGAN STANLEY DEAN | C | 107,049. | 109,990. |
| 98153YAD6 WORLD OMNI AUTO REC | C | 89,757. | 91,669. |
| G3223R108 EVEREST RE GROUP | C | 18,888. | 17,225. |
| G491BT108 INVESCO LIMITED | C | 10,165. | 6,700. |
| N53745100 LYONDELLBASELL INDUS | C | 18,486. | 12,484. |
| 881624209 TEVA PHARMACEUTICAL | C | 30,987. | 22,816. |

FORM 990PF, PART II - OTHER INVESTMENTS

| DESCRIPTION | COST/ FMV C OR F | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|---|
| 904784709 UNILVER NV | C | 27,204. | 27,837. |
| 101121101 BOSTON PROPERTIES IN | C | 10,786. | 10,157. |
| 828806109 SIMON PROPERTY GROUP | C | 7,952. | 7,809. |
| 055434203 BG GROUP PLC-ADR | C | 14,225. | 10,780. |
| 136385101 CANADIAN NAT RES | C | 16,770. | 13,406. |
| G2554F113 COVIDIEN PLC | C | 14,837. | 13,142. |
| 806857108 SCHLUMBERGER LTD | C | 21,605. | 17,083. |
| G81477104 SINA CORPORATION | C | 8,749. | 7,376. |
| H89128104 TYCO INTERNATIONAL | C | 15,075. | 12,836. |
| TOTALS | | 10,260,021. | 9,503,748. |

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

| DESCRIPTION | AMOUNT |
| --- | --- |
| MUTUAL FUND TAX EFFECTIVE DATE BEFORE TYE | 6,399. |
| ACCRUED INTEREST PAID CURRENT YEAR EFFECTIVE NEXT YEAR | 5. |
| SALES GAINS POSTING CURRENT YEAR EFFECTIVE PRIOR YEAR | 3,523. |
| SALES LOSSES POSTING NEXT TAX YEAR EFFECTIVE CURRENT | 22,975. |
| ROUNDING | 4. |
| TOTAL | 32,906. |

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

| DESCRIPTION | AMOUNT |
|---|---|
| MUTUAL FUND POSTING DATE AFTER TYE | 15,025. |
| ROC BASIS ADJUSTMENT | 17,266. |
| DISALLOWED WASH SALES | 24. |
| ACCRUED INTEREST PAID PRIOR YEAR EFFECTIVE CURRENT YEAR | 1,170. |
| ACCRETION | 65. |
| TOTAL | 33,550. |

-------------------------------------------
STATE(S) WHERE THE FOUNDATION IS REGISTERED
===========================================
FL

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:
Wells Fargo Bank NA
ADDRESS:
1525 West WT Harris Blvd D1114-044
Charlotte, NC 28288-5709
TITLE:
Co-Trustee
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40
COMPENSATION .............................................. 151,122.

OFFICER NAME:
WILLIAM B WARREN
ADDRESS:
1525 W. WT Harris Blvd. D1114-044
Charlotte, NC 28288-5709
TITLE:
CO-TRUSTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40
COMPENSATION .............................................. 8,532.

OFFICER NAME:
Paul L Guiabo
ADDRESS:
1525 West WT Harris Blvd D1114-044
Charlotte, NC 28288-5709
TITLE:
Preident
COMPENSATION .............................................. 20,000.

OFFICER NAME:
Renee C. Guiabo
ADDRESS:
1525 W. W.T. Harris Blvd D1114-044
Charlotte, NC 28288-5709
TITLE:
Governor
COMPENSATION .............................................. 16,000.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:
Arete Warren
ADDRESS:
520 East 86 Street Apt 11B
New York, NY 10028
COMPENSATION .............................................. 97,152.

TOTAL COMPENSATION: 292,806.

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
Groton School
ADDRESS:
282 FARMERS ROW
Groton, MA 01450
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
FOURTH INSTALL YEARLY PLEDGE
FOUNDATION STATUS OF RECIPIENT:
SCHOOL
AMOUNT OF GRANT PAID ........................................... 20,000.

RECIPIENT NAME:
Garden Club of America
ADDRESS:
14 EAST 60TH STREET - 3RD FLOOR
New York, NY 1002
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SECOND INSTALL OF THREE YEAR PLEDGE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC SUPPORT
AMOUNT OF GRANT PAID ........................................... 25,000.

RECIPIENT NAME:
New York Philharmonic
ADDRESS:
101 LINCOLN CENTER PLAZA-AVERY FISH
New York, NY 10023
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CUNNING LITTLE VIXEN PRODUCTION
FOUNDATION STATUS OF RECIPIENT:
PUBLIC SUPPORT
AMOUNT OF GRANT PAID ........................................... 10,000.

RECIPIENT NAME:
New York Public Library
ADDRESS:
FIFTH AVENUE & 42ND ST - ROOM 73
New York, NY 10018
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PERFORMING ARTS
FOUNDATION STATUS OF RECIPIENT:
PUBLIC SUPPORT
AMOUNT OF GRANT PAID .......................................... 10,000.

RECIPIENT NAME:
The Foundation For Cultural Reiew
ADDRESS:
900 BROADWAY- SUITE 602
New York, NY 10003
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC SUPPORT
AMOUNT OF GRANT PAID .......................................... 10,000.

RECIPIENT NAME:
Mannes College
The New School For Music
ADDRESS:
150 WEST 85TH ST.
New York, NY 10024
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EDUCATION
FOUNDATION STATUS OF RECIPIENT:
SCHOOL
AMOUNT OF GRANT PAID .......................................... 5,000.

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID
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RECIPIENT NAME:
Hudson Review, Inc.
ADDRESS:
684 PARK AVE.
New York, NY 10065-5043
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC SUPPORT
AMOUNT OF GRANT PAID ............................................ 15,000.

RECIPIENT NAME:
Opera America, Inc
Roster of Comprehensive Prof.
ADDRESS:
330 SEVENTH AVE- 16TH FLOOR
New York, NY 10001
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
DEVELOP ACT, MULTIMEDIA TOOLS & FIELD
FOUNDATION STATUS OF RECIPIENT:
PUBLIC SUPPORT
AMOUNT OF GRANT PAID ............................................ 10,000.

RECIPIENT NAME:
The Wolfsonian Inc
ADDRESS:
1001 WASHINGTON AVE
Miami, FL 33139
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CONTINUE HIGH QUALITY PUBLIC PROGRAMS
FOUNDATION STATUS OF RECIPIENT:
EXEMPT
AMOUNT OF GRANT PAID ............................................ 20,000.

RECIPIENT NAME:
Circuit Playhouse on the Square
ADDRESS:
66 SOUTH COOPER ST
Memphis, TN 38104
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
FIRST INSTALL PLEDGE FOR CAPITAL CAMPAIGN
FOUNDATION STATUS OF RECIPIENT:
EXEMPT
AMOUNT OF GRANT PAID .............................................. 122,000.

RECIPIENT NAME:
Preservation League of New York
ADDRESS:
44 CENTRAL AVE
Albany, NY 12206
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
FOURTH INSTALL OF FIVE YEAR PLEDGE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC SUPPORT
AMOUNT OF GRANT PAID .............................................. 50,000.

RECIPIENT NAME:
KROC Center of Memphis- Salvation
Army
ADDRESS:
696 JACKSON AVE.
Memphis, TN 38105
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SECOND INSTALL OF 5 YEAR PLEDGE
FOUNDATION STATUS OF RECIPIENT:
EXEMPT
AMOUNT OF GRANT PAID .............................................. 10,000.

RECIPIENT NAME:
The Vizcayans
The West Gate Lodge
ADDRESS:
3251 SOUTH MIAMI AVE.
Miami, FL 33129
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
FINAL INSTALL OF 5 YEAR PLEDGE
FOUNDATION STATUS OF RECIPIENT:
EXEMPT
AMOUNT OF GRANT PAID .......................................... 25,000.

RECIPIENT NAME:
Southern Scholarship Foundation
ADDRESS:
322 STADIUM DR.
Tallahassee, FL 32304
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
RENT FREE HOUSING FOR STUDENTS
FOUNDATION STATUS OF RECIPIENT:
PUBLIC SUPPORT
AMOUNT OF GRANT PAID .......................................... 6,000.

RECIPIENT NAME:
Tigertail Productions
ADDRESS:
842 N W 9TH COURT
Miami, FL 33136
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
ARTIST FEES 2010-2011 SEASON
FOUNDATION STATUS OF RECIPIENT:
PUBLIC SUPPORT
AMOUNT OF GRANT PAID .......................................... 1,000.

RECIPIENT NAME:
Miami Art Museum
ADDRESS:
101 WEST FLAGLER SR
Miami, FL 28110
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC SUPPORT
AMOUNT OF GRANT PAID .......................................... 5,000.

RECIPIENT NAME:
Education Fund
ADDRESS:
900 NE 125TH ST - SUITE 110
North Miami, FL 33161
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SUPPORT OF IMPACT II PROGRAMS
FOUNDATION STATUS OF RECIPIENT:
PUBLIC SUPPORT
AMOUNT OF GRANT PAID .......................................... 2,500.

RECIPIENT NAME:
Miami City Ballet
ADDRESS:
220 LIBERTY AVE.
Miami Beach, FL 33139
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC SUPPORT
AMOUNT OF GRANT PAID .......................................... 10,000.

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

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RECIPIENT NAME:
Theatre Memphis
ADDRESS:
60 PARK EXTENDED
Memphis, TN 38117
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SECOND INSTALL OF 3 YEAR PLEDGE
FOUNDATION STATUS OF RECIPIENT:
EXEMPT
AMOUNT OF GRANT PAID .......................................... 30,000.

RECIPIENT NAME:
Grace- St. Luke's Episcopal
School
ADDRESS:
246 S BELVEDERE BLVD
Memphis, TN 38117
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
3RD INSTALL PLEDGE MISS LEE'S PRESCHOOL
FOUNDATION STATUS OF RECIPIENT:
SCHOOL
AMOUNT OF GRANT PAID .......................................... 20,000.

RECIPIENT NAME:
Opera Memphis
ADDRESS:
6745 WOLF RIVER PARKWAY
Memphis, TN 38120
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
FINAL PEDGE
FOUNDATION STATUS OF RECIPIENT:
EXEMPT
AMOUNT OF GRANT PAID .......................................... 100,000.

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
National Kidney Foundation
Tennessee, Inc.
ADDRESS:
857 MOUNT MORIAH RD. - SUITE 201
Memphis, TN 38117
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC SUPPORT
AMOUNT OF GRANT PAID ........................................ 10,000.

RECIPIENT NAME:
Dixon Gallery and Gardens
ADDRESS:
4339 PARK AVE.
Memphis, TN 38117
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
MUNCH & LEARN FAMILY DAYS EDUC. PROGRAMS
FOUNDATION STATUS OF RECIPIENT:
EXEMPT
AMOUNT OF GRANT PAID ........................................ 10,000.

RECIPIENT NAME:
Ballet Memphis
ADDRESS:
7950 TRINITY ROAD
Memphis, TN 38018
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SPONORSHIP OF THE NUTCRACKER PERFORMANCE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC SUPPORT
AMOUNT OF GRANT PAID ........................................ 5,000.

RECIPIENT NAME:
Shelby County Drug Court
ADDRESS:
201 POPLAR DRUG COURT
Memphis, TN 38103
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING EXPENSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC SUPPORT
AMOUNT OF GRANT PAID .......................................... 10,000.

RECIPIENT NAME:
Memphis Symphony Orchestra
ADDRESS:
585 SOUTH MENDENHALL RD
Memphis, TN 38117
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
THE HARMONY K-12 YOUTH EDUCATION
FOUNDATION STATUS OF RECIPIENT:
PUBLIC SUPPORT
AMOUNT OF GRANT PAID .......................................... 10,000.

RECIPIENT NAME:
University of Memphis
ADDRESS:
101 WILDER TOWER
Memphis, TN
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
THIRD INSTALL OF 5 YEAR PLEDGE
FOUNDATION STATUS OF RECIPIENT:
EDUCATIONAL
AMOUNT OF GRANT PAID .......................................... 20,000.

RECIPIENT NAME:
Optimist Club of White Station
ADDRESS:
PO BOX 17356
Memphis, TN 38187
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CLARK MEMORIAL CHRISTMAS TREE LOT
FOUNDATION STATUS OF RECIPIENT:
EXEMPT
AMOUNT OF GRANT PAID .......................................... 10,000.

RECIPIENT NAME:
Memphis Brooks Museum of Art
ADDRESS:
1934 POPLAR AVE
Memphis, TN 38104
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
MONET TO CEZANNE/CASSATT TO SARGENT PROD.
FOUNDATION STATUS OF RECIPIENT:
PUBLIC SUPPORT
AMOUNT OF GRANT PAID .......................................... 40,000.

RECIPIENT NAME:
WXEL PBS TV
ADDRESS:
3401 SOUTH CONGRESS AVE.
Boynton Beach, FL 33436
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
THE OPERA THEATRE PROGRAMS
FOUNDATION STATUS OF RECIPIENT:
PUBLIC SUPPORT
AMOUNT OF GRANT PAID .......................................... 15,000.

TOTAL GRANTS PAID: 636,500.

FEDERAL FOOTNOTES

AMENDED RETURN TO REFLECT CORRECT EXPENSE ALLOCATION.

**SCHEDULE D (Form 1041)**

Department of the Treasury
Internal Revenue Service

# Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T. See the Instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

**2010**

Name of estate or trust: ARTHUR F & ALICE E ADAMS

Employer identification number: 65-6003785

**Note:** *Form 5227 filers need to complete only Parts I and II.*

## Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

| (a) Description of property (Example 100 shares 7% preferred of "Z" Co) | (b) Date acquired (mo, day, yr) | (c) Date sold (mo, day, yr) | (d) Sales price | (e) Cost or other basis (see instructions) | (f) Gain or (loss) for the entire year Subtract (e) from (d) |
|---|---|---|---|---|---|
| 1a SHORT-TERM CAPITAL GAIN DIVIDENDS | | STMT 1 | | | 6,711. |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

| | | |
|---|---|---|
| b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b | 1b | 31,886. |
| 2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 | 2 | |
| 3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts | 3 | |
| 4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2009 Capital Loss Carryover Worksheet | 4 | ( ) |
| 5 **Net short-term gain or (loss).** Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ▶ | 5 | 38,597. |

## Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

| (a) Description of property (Example 100 shares 7% preferred of "Z" Co) | (b) Date acquired (mo, day, yr) | (c) Date sold (mo, day, yr) | (d) Sales price | (e) Cost or other basis (see instructions) | (f) Gain or (loss) for the entire year Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a LONG-TERM CAPITAL GAIN DIVIDENDS | | STMT 1 | | | 22,430. |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

| | | |
|---|---|---|
| b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b | 6b | 215,579. |
| 7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 | 7 | |
| 8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts | 8 | |
| 9 Capital gain distributions | 9 | 1. |
| 10 Gain from Form 4797, Part I | 10 | |
| 11 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2009 Capital Loss Carryover Worksheet | 11 | ( ) |
| 12 **Net long-term gain or (loss).** Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back ▶ | 12 | 238,010. |

**Part III Summary of Parts I and II**

**Caution:** *Read the instructions **before** completing this part.*

| | | | (1) Beneficiaries' (see instr.) | (2) Estate's or trust's | (3) Total |
|---|---|---|---|---|---|
| 13 | **Net short-term gain or (loss)** | 13 | | | 38,597. |
| 14 | **Net long-term gain or (loss):** | | | | |
| a | Total for year | 14a | | | 238,010. |
| b | Unrecaptured section 1250 gain (see line 18 of the wrksht.) | 14b | | | 1. |
| c | 28% rate gain | 14c | | | |
| 15 | **Total net gain or (loss).** Combine lines 13 and 14a ▶ | 15 | | | 276,607. |

**Note:** *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 14a and 15, column (2), are net gains, go to Part V, and **do not** complete Part IV If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary*

**Part IV Capital Loss Limitation**

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:
a The loss on line 15, column (3) **or** b $3,000 . . . 16 ( )

**Note:** *If the loss on line 15, column (3), is more than $3,000, **or** if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover*

**Part V Tax Computation Using Maximum Capital Gains Rates**

**Form 1041 filers.** Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero.

**Caution:** *Skip this part and complete the worksheet on page 8 of the instructions if:*

- *Either line 14b, col (2) or line 14c, col. (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.*

**Form 990-T trusts.** Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col. (2) or line 14c, col (2) is more than zero.

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| 17 | Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) | | | 17 | | | |
| 18 | Enter the **smaller** of line 14a or 15 in column (2) but not less than zero | 18 | | | | | |
| 19 | Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) | 19 | | | | | |
| 20 | Add lines 18 and 19 | 20 | | | | | |
| 21 | If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶ | 21 | | | | | |
| 22 | Subtract line 21 from line 20 If zero or less, enter -0- | | | 22 | | | |
| 23 | Subtract line 22 from line 17. If zero or less, enter -0- | | | 23 | | | |
| 24 | Enter the **smaller** of the amount on line 17 or $2,300 | | | 24 | | | |
| 25 | Is the amount on line 23 equal to or more than the amount on line 24? ☐ **Yes.** Skip lines 25 and 26; go to line 27 and check the "No" box. ☐ **No.** Enter the amount from line 23 | | | 25 | | | |
| 26 | Subtract line 25 from line 24 | | | 26 | | | |
| 27 | Are the amounts on lines 22 and 26 the same? ☐ **Yes.** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the **smaller** of line 17 or line 22 | | | 27 | | | |
| 28 | Enter the amount from line 26 (If line 26 is blank, enter -0-) | | | 28 | | | |
| 29 | Subtract line 28 from line 27 | | | 29 | | | |
| 30 | Multiply line 29 by 15% (.15) | | | | | 30 | |
| 31 | Figure the tax on the amount on line 23. Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) | | | | | 31 | |
| 32 | Add lines 30 and 31 | | | | | 32 | |
| 33 | Figure the tax on the amount on line 17. Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) | | | | | 33 | |
| 34 | **Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) | | | | | 34 | |

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

# Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2010

Name of estate or trust: ARTHUR F & ALICE E ADAMS

Employer identification number: 65-6003785

**Part I** Short-Term Capital Gains and Losses - Assets Held One Year or Less

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co) | (b) Date acquired (mo, day, yr) | (c) Date sold (mo, day, yr) | (d) Sales price | (e) Cost or other basis (see instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 1a 61. CITRIX SYS INC COM | 10/09/2009 | 10/04/2010 | 4,140.00 | 2,679.00 | 1,461.00 |
| 779. PFIZER INC COM W/RTS ATTACHED EXP 10/15/2007 | 05/05/2010 | 10/04/2010 | 13,330.00 | 13,537.00 | -207.00 |
| 193. WALGREEN CO COM W/RTS ATTACHED EXP 08/21/2006 | 02/24/2010 | 10/04/2010 | 6,413.00 | 6,225.00 | 188.00 |
| 120. AIR PRODS & CHEMICALS INC COM W/ RTS TO PURCHASE | 03/08/2010 | 10/12/2010 | 9,902.00 | 8,894.00 | 1,008.00 |
| 185. MOSAIC CO | 07/20/2010 | 10/12/2010 | 12,524.00 | 8,542.00 | 3,982.00 |
| 262. KIMBERLY-CLARK CORP COM | 06/04/2010 | 10/13/2010 | 17,495.00 | 16,335.00 | 1,160.00 |
| 165. RANGE RES CORP COM | 01/15/2010 | 10/13/2010 | 5,956.00 | 8,369.00 | -2,413.00 |
| 180. UNITEDHEALTH GRP INC COM | 12/16/2009 | 10/15/2010 | 6,467.00 | 5,804.00 | 663.00 |
| 210. ORACLE CORP COM | 12/14/2009 | 10/22/2010 | 6,079.00 | 5,091.00 | 988.00 |
| 312. PPL CORP COM | 07/08/2010 | 10/22/2010 | 8,405.00 | 8,226.00 | 179.00 |
| 205. PAYCHEX INC COM | 05/24/2010 | 10/25/2010 | 5,773.00 | 6,004.00 | -231.00 |
| 169. BMC SOFTWARE INC COM RTS EXP 05/12/2005 | 04/29/2010 | 10/26/2010 | 7,435.00 | 6,613.00 | 822.00 |
| 36. MCDONALDS CORP COM | 06/09/2010 | 10/28/2010 | 2,795.00 | 2,474.00 | 321.00 |
| 254.146 ST JUDE MED INC COM W/RTS ATTACHED EXP 0 | 07/26/2010 | 10/29/2010 | 9,694.00 | 9,836.00 | -142.00 |
| 475. WALGREEN CO COM W/RTS ATTACHED EXP 08/21/2006 | 07/14/2010 | 10/29/2010 | 16,084.00 | 15,322.00 | 762.00 |
| 336. PAYCHEX INC COM | 05/24/2010 | 11/04/2010 | 9,485.00 | 9,841.00 | -356.00 |
| 254. STAPLES INC COM W/INVISIBLE RTS TO PUR SHS | 05/03/2010 | 11/05/2010 | 5,271.00 | 5,686.00 | -415.00 |
| 43. COLGATE-PALMOLIVE CO COM | 01/25/2010 | 11/10/2010 | 3,306.00 | 3,466.00 | -160.00 |
| 157. AMGEN INC COM W/RTS ATTACHED EXP 03/21/2007 | 08/18/2010 | 11/12/2010 | 8,553.00 | 8,502.00 | 51.00 |
| 192. MERCK & CO INC NEW | 12/16/2009 | 11/18/2010 | 6,761.00 | 7,129.00 | -368.00 |
| 138. DIGITAL RLTY TR INC | 07/08/2010 | 11/19/2010 | 7,053.00 | 8,227.00 | -1,174.00 |
| 72. GOLDMAN SACHS GRP INC COM | 07/29/2010 | 12/01/2010 | 11,413.00 | 10,786.00 | 627.00 |
| 50. CELGENE CORP COM | 05/05/2010 | 12/09/2010 | 2,851.00 | 3,015.00 | -164.00 |
| 45. MCDONALDS CORP COM | 06/09/2010 | 12/09/2010 | 3,526.00 | 3,093.00 | 433.00 |
| **1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . . . . . . . . . . . . . . . | | | | | |

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

# Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2010

Name of estate or trust: ARTHUR F & ALICE E ADAMS

Employer identification number: 65-6003785

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co) | (b) Date acquired (mo, day, yr) | (c) Date sold (mo, day, yr) | (d) Sales price | (e) Cost or other basis (see instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 1a 30000. MEDTRONIC INC 3.000% 3/15/15 | 03/12/2010 | 12/10/2010 | 30,867.00 | 29,997.00 | 870.00 |
| 112. RANGE RES CORP COM | 02/25/2010 | 12/10/2010 | 4,724.00 | 5,681.00 | -957.00 |
| 100000. U S TREASURY NOTE 4.125% 5/15/15 | 07/28/2010 | 12/10/2010 | 110,395.00 | 111,418.00 | -1,023.00 |
| 50000. US TREASURY NOTES 4.500% 3/31/12 | 08/17/2010 | 12/10/2010 | 52,646.00 | 53,295.00 | -649.00 |
| 175. JOHNSON & JOHNSON COM | 06/25/2010 | 12/13/2010 | 10,808.00 | 9,494.00 | 1,314.00 |
| 46. F5 NETWORKS INC COM | 08/18/2010 | 12/16/2010 | 6,041.00 | 4,087.00 | 1,954.00 |
| 40. LABORATORY CORP AMER HLDGS COM NEW | 05/19/2010 | 12/16/2010 | 3,491.00 | 3,093.00 | 398.00 |
| 132. STARBUCKS CORP COM | 05/07/2010 | 12/16/2010 | 4,300.00 | 3,449.00 | 851.00 |
| 403.003 HALLIBURTON CO COM W/RIGHTS EXP 12/15/2005 | 06/02/2010 | 12/21/2010 | 16,152.00 | 11,039.00 | 5,113.00 |
| 76. POLO RALPH LAUREN CORP CL A | 10/25/2010 | 01/05/2011 | 8,271.00 | 7,211.00 | 1,060.00 |
| 61. COCA-COLA CO COM | 01/13/2010 | 01/06/2011 | 3,867.00 | 3,413.00 | 454.00 |
| 71.99788 COLGATE-PALMOLIVE CO COM | 01/25/2010 | 01/06/2011 | 5,659.00 | 5,805.00 | -146.00 |
| 12.00212 COLGATE-PALMOLIVE CO COM | 01/25/2010 | 01/06/2011 | 943.00 | 968.00 | -25.00 * |
| 71.99788 COLGATE-PALMOLIVE CO COM | 01/25/2010 | 01/06/2011 | 5,659.00 | 5,805.00 | -146.00 |
| 12.00212 COLGATE-PALMOLIVE CO COM | 01/25/2010 | 01/06/2011 | 943.00 | 968.00 | -25.00 * |
| 47. MCDONALDS CORP COM | 06/09/2010 | 01/06/2011 | 3,513.00 | 3,258.00 | 255.00 |
| 56. MCDONALDS CORP COM | 06/09/2010 | 01/06/2011 | 4,173.00 | 3,882.00 | 291.00 |
| 102. STARBUCKS CORP COM | 05/07/2010 | 01/06/2011 | 3,255.00 | 2,716.00 | 539.00 |
| 45. MCDONALDS CORP COM | 06/09/2010 | 01/11/2011 | 3,337.00 | 3,120.00 | 217.00 |
| 9. PRICELINE COM INC | 07/12/2010 | 01/11/2011 | 3,901.00 | 2,024.00 | 1,877.00 |
| 177. MCDONALDS CORP COM | 12/17/2010 | 01/12/2011 | 13,079.00 | 12,270.00 | 809.00 |
| 177. KOHLS CORP COM | 11/05/2010 | 01/13/2011 | 9,104.00 | 9,402.00 | -298.00 |
| 39. VISA INC-CLASS A SHRS | 12/17/2010 | 01/13/2011 | 2,825.00 | 2,434.00 | 391.00 |
| 168. LYONDELLBASELL INDU-CL A | 11/08/2010 | 01/13/2011 | 6,096.00 | 4,766.00 | 1,330.00 |

**1b Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . . . . . . . . . . . . . . . . .

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

# Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2010

Name of estate or trust: ARTHUR F & ALICE E ADAMS

Employer identification number: 65-6003785

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example. 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price | (e) Cost or other basis (see instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 1a 147. AMERICAN TOWER CORP CL A | 04/12/2010 | 01/19/2011 | 7,206.00 | 6,277.00 | 929.00 |
| 67. FREEPORT MCMORAN COPPER & GOLD CL B W/RTS AT | 10/12/2010 | 01/20/2011 | 7,369.00 | 6,356.00 | 1,013.00 |
| 59. FREEPORT MCMORAN COPPER & GOLD CL B W/RTS AT | 10/12/2010 | 01/20/2011 | 6,529.00 | 5,597.00 | 932.00 |
| 219. RANGE RES CORP COM | 05/10/2010 | 01/21/2011 | 9,986.00 | 11,108.00 | -1,122.00 |
| 180. LYONDELLBASELL INDU-CL A | 11/08/2010 | 01/21/2011 | 6,296.00 | 5,107.00 | 1,189.00 |
| 155. LABORATORY CORP AMER HLDGS COM NEW | 12/17/2010 | 01/25/2011 | 14,041.00 | 12,107.00 | 1,934.00 |
| 17. DANAHER CORP COM | 12/17/2010 | 01/27/2011 | 793.00 | 657.00 | 136.00 |
| 458. ARCHER DANIELS MIDLAND CO COM | 06/15/2010 | 02/02/2011 | 16,124.00 | 12,357.00 | 3,767.00 |
| 15. PRICELINE COM INC | 07/12/2010 | 02/04/2011 | 6,535.00 | 3,374.00 | 3,161.00 |
| 355. CELGENE CORP COM | 12/17/2010 | 02/08/2011 | 17,949.00 | 21,358.00 | -3,409.00 |
| 419. NVIDIA CORP COM | 01/07/2011 | 02/08/2011 | 10,022.00 | 8,022.00 | 2,000.00 |
| 29. SOUTHWESTERN ENERGY CO COM | 12/17/2010 | 02/08/2011 | 1,122.00 | 1,385.00 | -263.00 |
| 453. TEXAS INSTRS INC COM | 12/17/2010 | 02/08/2011 | 15,953.00 | 12,484.00 | 3,469.00 |
| 682. CISCO SYS INC COM W/RIGHTS ATTACHED EXPIRING | 12/17/2010 | 02/11/2011 | 12,852.00 | 15,971.00 | -3,119.00 |
| 102. CTRIP.COM INTERNATION AL, LTD. - ADR | 11/18/2010 | 02/11/2011 | 4,348.00 | 4,709.00 | -361.00 |
| 84. CTRIP.COM INTERNATIONA L, LTD. - ADR | 11/18/2010 | 02/14/2011 | 3,419.00 | 3,878.00 | -459.00 |
| 19. NETFLIX.COM INC COM | 08/06/2010 | 02/14/2011 | 4,671.00 | 2,497.00 | 2,174.00 |
| 91. CTRIP.COM INTERNATIONA L, LTD. - ADR | 12/17/2010 | 02/16/2011 | 3,631.00 | 4,201.00 | -570.00 |
| 119. AMERICAN TOWER CORP CL A | 04/12/2010 | 02/22/2011 | 6,373.00 | 5,081.00 | 1,292.00 |
| 216. BMC SOFTWARE INC COM RTS EXP 05/12/2005 | 06/16/2010 | 02/23/2011 | 10,386.00 | 8,452.00 | 1,934.00 |
| 33. PRICELINE COM INC | 12/17/2010 | 02/23/2011 | 14,048.00 | 7,423.00 | 6,625.00 |
| 292. WEATHERFORD INTL LTD | 12/21/2010 | 02/25/2011 | 6,985.00 | 6,622.00 | 363.00 |
| 78. SCHLUMBERGER LTD COM | 10/28/2010 | 03/01/2011 | 7,252.00 | 5,410.00 | 1,842.00 |
| 24. NETFLIX.COM INC COM | 08/06/2010 | 03/02/2011 | 4,906.00 | 3,155.00 | 1,751.00 |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . . . . . . . . . . . . . . .

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

# Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2010

Name of estate or trust: ARTHUR F & ALICE E ADAMS

Employer identification number: 65-6003785

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price | (e) Cost or other basis (see instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 1a 551. WEATHERFORD INTL LTD | 01/31/2011 | 03/02/2011 | 11,010.00 | 12,496.00 | -1,486.00 |
| 118. TARGET CORP COM | 12/17/2010 | 03/04/2011 | 6,115.00 | 6,019.00 | 96.00 |
| 19. NETFLIX.COM INC COM | 08/06/2010 | 03/09/2011 | 3,674.00 | 2,497.00 | 1,177.00 |
| 653. NVIDIA CORP COM | 01/07/2011 | 03/10/2011 | 11,855.00 | 12,503.00 | -648.00 |
| 47. CLIFFS NAT RES INC | 01/13/2011 | 03/11/2011 | 4,109.00 | 4,227.00 | -118.00 * |
| 67. POTASH CORP SASK INC COM W/RTS ATTACHED | 11/04/2010 | 03/11/2011 | 3,641.00 | 3,229.00 | 412.00 |
| 33. BOEING CO COM | 06/01/2010 | 03/16/2011 | 2,233.00 | 2,114.00 | 119.00 |
| 80. F5 NETWORKS INC COM | 12/17/2010 | 03/22/2011 | 7,695.00 | 7,286.00 | 409.00 |
| 25000. US TREASURY NOTE 3.625% 2/15/20 | 06/24/2010 | 04/01/2011 | 25,599.00 | 26,044.00 | -445.00 |
| 368. NETAPP INC | 12/17/2010 | 04/06/2011 | 17,097.00 | 18,211.00 | -1,114.00 |
| 311. AMERICAN TOWER CORP CL A | 12/17/2010 | 04/07/2011 | 15,707.00 | 13,279.00 | 2,428.00 |
| 97. BAXTER INTL INC COM W/RIGHTS ATTACHED EXP 3/23/ | 05/07/2010 | 04/08/2011 | 5,192.00 | 5,068.00 | 124.00 |
| 198. YUM! BRANDS INC COM | 01/07/2011 | 04/12/2011 | 9,922.00 | 9,755.00 | 167.00 |
| 69. FREEPORT MCMORAN COPPER & GOLD CL B W/RTS AT | 10/04/2010 | 04/14/2011 | 3,600.00 | 3,297.00 | 303.00 |
| 161. NEXTERA ENERGY INC | 10/13/2010 | 04/15/2011 | 8,901.00 | 8,929.00 | -28.00 |
| 18. AMGEN INC COM W/RTS ATTACHED EXP 03/21/2007 | 12/17/2010 | 04/18/2011 | 991.00 | 987.00 | 4.00 |
| 246. ADOBE SYS INC COM | 12/17/2010 | 04/26/2011 | 8,141.00 | 7,090.00 | 1,051.00 |
| 247. E M C CORP MASS COM | 04/30/2010 | 04/27/2011 | 6,937.00 | 4,961.00 | 1,976.00 |
| 22. DENBURY RESOURCES INC COM | 12/17/2010 | 04/28/2011 | 482.00 | 356.00 | 126.00 |
| 236. HESS CORP | 02/25/2011 | 05/04/2011 | 18,127.00 | 19,874.00 | -1,747.00 |
| 128. FREEPORT MCMORAN COPPER & GOLD CL B W/RTS AT | 10/04/2010 | 05/05/2011 | 6,512.00 | 6,116.00 | 396.00 |
| 40. CATERPILLAR INC COM W/RTS EXP 12/11/2006 | 07/27/2010 | 05/11/2011 | 4,389.00 | 3,034.00 | 1,355.00 |
| 70. FREEPORT MCMORAN COPPER & GOLD CL B W/RTS AT | 10/04/2010 | 05/11/2011 | 3,389.00 | 3,345.00 | 44.00 |
| 83. POTASH CORP SASK INC COM W/RTS ATTACHED | 11/04/2010 | 05/11/2011 | 4,352.00 | 4,000.00 | 352.00 |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . . . . . . . . . . . . . . . .

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

# Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2010

Name of estate or trust: ARTHUR F & ALICE E ADAMS

Employer identification number: 65-6003785

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price | (e) Cost or other basis (see instructions) | (f) Gain or (loss) Subtract (e) from (d) | |
|---|---|---|---|---|---|---|
| 1a 34. CATERPILLAR INC COM W/RTS EXP 12/11/2006 | 07/27/2010 | 05/12/2011 | 3,675.00 | 2,579.00 | 1,096.00 | |
| 15. EMERSON ELEC CO COM W/RTS ATTACHED EXP 11/01/20 | 12/17/2010 | 05/12/2011 | 813.00 | 558.00 | 255.00 | |
| 83. BORG WARNER INC COM | 07/14/2010 | 05/17/2011 | 5,854.00 | 3,845.00 | 2,009.00 | |
| 66. CATERPILLAR INC COM W/RTS EXP 12/11/2006 | 07/27/2010 | 05/17/2011 | 6,733.00 | 5,006.00 | 1,727.00 | |
| 134. CAMERON INT'L CORP COM | 08/13/2010 | 05/18/2011 | 6,430.00 | 5,238.00 | 1,192.00 | |
| 35. SALESFORCE COM INC | 05/21/2010 | 05/18/2011 | 4,691.00 | 3,263.00 | 1,428.00 | |
| 53. STARWOOD HOTELS & RESORTS WORLDWIDE | 01/26/2011 | 05/18/2011 | 3,040.00 | 3,275.00 | -235.00 | |
| 77. NORDSTROM INC COM | 09/15/2010 | 05/19/2011 | 3,531.00 | 2,807.00 | 724.00 | |
| 254. TEXTRON INC COM | 04/11/2011 | 05/19/2011 | 5,923.00 | 6,786.00 | -863.00 | * |
| 94. CHECK POINT SOFTWARE TECH COM | 07/12/2010 | 05/19/2011 | 5,133.00 | 3,157.00 | 1,976.00 | |
| 957. CORNING INC COM W/RTS EXP 07/15/2006 | 12/17/2010 | 05/20/2011 | 18,981.00 | 16,274.00 | 2,707.00 | |
| 282.99904 TEXTRON INC COM | 04/11/2011 | 05/20/2011 | 6,505.00 | 7,985.00 | -1,480.00 | |
| 46.00096 TEXTRON INC COM | 04/11/2011 | 05/20/2011 | 1,057.00 | 1,298.00 | -241.00 | * |
| 58. FREEPORT MCMORAN COPPER & GOLD CL B W/RTS AT | 10/25/2010 | 05/23/2011 | 2,746.00 | 2,771.00 | -25.00 | |
| 89. CAMERON INT'L CORP COM | 08/13/2010 | 05/24/2011 | 4,224.00 | 3,479.00 | 745.00 | |
| 36. EOG RES INC COM | 10/13/2010 | 05/24/2011 | 3,802.00 | 3,604.00 | 198.00 | |
| 78. CHECK POINT SOFTWARE TECH COM | 07/12/2010 | 05/24/2011 | 4,173.00 | 2,620.00 | 1,553.00 | |
| 88. BORG WARNER INC COM | 08/10/2010 | 05/25/2011 | 6,071.00 | 4,076.00 | 1,995.00 | |
| 47. FLOWSERVE CORP COM | 02/02/2011 | 05/26/2011 | 5,497.00 | 5,975.00 | -478.00 | |
| 54. FIRST SOLAR INC | 03/15/2011 | 05/27/2011 | 6,373.00 | 8,447.00 | -2,074.00 | |
| 247. TEXTRON INC COM | 04/11/2011 | 05/31/2011 | 5,610.00 | 7,210.00 | -1,600.00 | |
| 133. CAMERON INT'L CORP COM | 12/17/2010 | 06/01/2011 | 6,150.00 | 5,199.00 | 951.00 | |
| 32. NETFLIX.COM INC COM | 08/06/2010 | 06/07/2011 | 8,515.00 | 4,608.00 | 3,907.00 | |
| 18. SINA.COM SHS | 01/13/2011 | 06/09/2011 | 1,614.00 | 1,529.00 | 85.00 | |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . . . . . . . . . . . . . . .

SCHEDULE D-1 (Form 1041)
Department of the Treasury Internal Revenue Service

# Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2010

Name of estate or trust: ARTHUR F & ALICE E ADAMS

Employer identification number: 65-6003785

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price | (e) Cost or other basis (see instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 1a 37. SINA.COM SHS | 01/13/2011 | 06/09/2011 | 3,320.00 | 3,143.00 | 177.00 |
| 58. FLOWSERVE CORP COM | 02/02/2011 | 06/10/2011 | 6,329.00 | 7,374.00 | -1,045.00 |
| 33. CATERPILLAR INC COM W/RTS EXP 12/11/2006 | 07/27/2010 | 06/13/2011 | 3,155.00 | 2,503.00 | 652.00 |
| 93. COGNIZANT TECH SOLUTIONS CRP COM | 03/22/2011 | 06/14/2011 | 6,542.00 | 7,308.00 | -766.00 |
| 63. FLOWSERVE CORP COM | 03/07/2011 | 06/14/2011 | 6,684.00 | 8,010.00 | -1,326.00 |
| 42. ROCKWELL INTL CORP NEW COM | 02/10/2011 | 06/14/2011 | 3,394.00 | 3,639.00 | -245.00 |
| 11. NETFLIX.COM INC COM | 08/06/2010 | 06/16/2011 | 2,766.00 | 1,584.00 | 1,182.00 |
| 449. HEWLETT-PACKARD CO COM | 02/08/2011 | 06/22/2011 | 15,825.00 | 21,655.00 | -5,830.00 |
| 185. PROCTER & GAMBLE CO COM | 08/18/2010 | 06/22/2011 | 11,869.00 | 11,284.00 | 585.00 |
| 86. CHECK POINT SOFTWARE TECH COM | 07/12/2010 | 06/22/2011 | 4,636.00 | 2,889.00 | 1,747.00 |
| 90. FREEPORT MCMORAN COPPER & GOLD CL B W/RTS AT | 10/25/2010 | 06/23/2011 | 4,317.00 | 4,300.00 | 17.00 |
| 26. JP MORGAN CHASE & CO COM | 12/17/2010 | 06/23/2011 | 1,043.00 | 818.00 | 225.00 |
| 130. NIKE INC CL B COM | 04/08/2011 | 06/23/2011 | 10,590.00 | 10,055.00 | 535.00 |
| 85. FIRST SOLAR INC | 03/31/2011 | 06/24/2011 | 9,935.00 | 13,296.00 | -3,361.00 |
| 360. SANDISK CORP COM W/RTS ATTACHED EXP 04/28/20 | 02/15/2011 | 06/24/2011 | 14,239.00 | 17,572.00 | -3,333.00 |
| 159. DEVON ENERGY CORP NEW COM | 03/09/2011 | 06/27/2011 | 12,059.00 | 14,290.00 | -2,231.00 |
| 263. NORDSTROM INC COM | 12/17/2010 | 06/28/2011 | 12,068.00 | 9,586.00 | 2,482.00 |
| 50000. U S TREASURY NOTE 4.250% 8/15/13 | 05/05/2011 | 06/28/2011 | 53,957.00 | 54,082.00 | -125.00 |
| 14. NETFLIX.COM INC COM | 08/06/2010 | 07/05/2011 | 4,015.00 | 2,016.00 | 1,999.00 |
| 34. SINA.COM SHS | 01/13/2011 | 07/12/2011 | 3,628.00 | 2,888.00 | 740.00 |
| 12. NETFLIX.COM INC COM | 09/01/2010 | 07/13/2011 | 3,588.00 | 1,728.00 | 1,860.00 |
| 348. COMCAST CORP NEW CL A | 08/12/2010 | 07/15/2011 | 8,376.00 | 6,245.00 | 2,131.00 |
| 28. SINA.COM SHS | 01/13/2011 | 07/19/2011 | 3,390.00 | 2,378.00 | 1,012.00 |
| 370. PNC FINL SVCS GROUP INC COM W/RIGHTS ATTACHED E | 12/13/2010 | 07/20/2011 | 20,827.00 | 20,117.00 | 710.00 |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . . . . . . . . . . . . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

# Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2010

Name of estate or trust: ARTHUR F & ALICE E ADAMS

Employer identification number: 65-6003785

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co) | (b) Date acquired (mo, day, yr) | (c) Date sold (mo, day, yr) | (d) Sales price | (e) Cost or other basis (see instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 1a 74. CLIFFS NAT RES INC | 01/13/2011 | 07/27/2011 | 6,929.00 | 6,708.00 | 221.00 |
| 14. SALESFORCE COM INC | 11/10/2010 | 07/27/2011 | 2,070.00 | 1,305.00 | 765.00 |
| 34. SALESFORCE COM INC | 12/17/2010 | 07/28/2011 | 4,986.00 | 3,170.00 | 1,816.00 |
| 98. COGNIZANT TECH SOLUTIONS CRP COM | 04/27/2011 | 08/02/2011 | 6,960.00 | 7,701.00 | -741.00 |
| 262. CBS CORP CL B COM | 12/08/2010 | 08/03/2011 | 6,939.00 | 4,285.00 | 2,654.00 |
| 75. STRYKER CORP COM | 05/18/2011 | 08/03/2011 | 3,869.00 | 4,793.00 | -924.00 |
| 35000. U S TREASURY NOTE 4.250% 8/15/13 | 05/05/2011 | 08/03/2011 | 37,769.00 | 37,857.00 | -88.00 |
| 38. SINA.COM SHS | 01/13/2011 | 08/03/2011 | 3,745.00 | 3,228.00 | 517.00 |
| 228. DOW CHEM CO COM | 10/12/2010 | 08/04/2011 | 6,994.00 | 5,312.00 | 1,682.00 |
| 185. CHECK POINT SOFTWARE TECH COM | 12/17/2010 | 08/04/2011 | 10,055.00 | 6,214.00 | 3,841.00 |
| 341. CBS CORP CL B COM | 12/13/2010 | 08/05/2011 | 7,918.00 | 5,576.00 | 2,342.00 |
| 53. BAKER HUGHES INC COM | 01/28/2011 | 08/10/2011 | 3,114.00 | 3,555.00 | -441.00 |
| 78. COGNIZANT TECH SOLUTIONS CRP COM | 04/27/2011 | 08/10/2011 | 4,884.00 | 6,129.00 | -1,245.00 |
| 10. CUMMINS INC COM | 12/17/2010 | 08/10/2011 | 882.00 | 481.00 | 401.00 |
| 410. FIFTH THIRD BANCORP COM | 07/20/2011 | 08/10/2011 | 4,044.00 | 5,092.00 | -1,048.00 |
| 68. STRYKER CORP COM | 05/18/2011 | 08/10/2011 | 3,178.00 | 4,346.00 | -1,168.00 |
| 85. SUNTRUST BKS INC COM | 12/20/2010 | 08/10/2011 | 1,564.00 | 2,233.00 | -669.00 |
| 29. ROCKWELL COLLINS-WI COM | 12/17/2010 | 08/11/2011 | 1,286.00 | 1,779.00 | -493.00 |
| 67. SCHLUMBERGER LTD COM | 11/01/2010 | 08/11/2011 | 4,877.00 | 5,122.00 | -245.00 |
| 94. CONOCOPHILLIPS COM | 03/23/2011 | 08/12/2011 | 6,260.00 | 7,420.00 | -1,160.00 |
| 138. CONOCOPHILLIPS COM | 03/28/2011 | 08/15/2011 | 9,270.00 | 10,893.00 | -1,623.00 |
| 75. DEVON ENERGY CORP NEW COM | 01/20/2011 | 08/15/2011 | 5,212.00 | 6,420.00 | -1,208.00 |
| 63. CATERPILLAR INC COM W/RTS EXP 12/11/2006 | 02/18/2011 | 08/16/2011 | 5,671.00 | 4,779.00 | 892.00 |
| 137. BAKER HUGHES INC COM | 05/31/2011 | 08/19/2011 | 7,516.00 | 10,223.00 | -2,707.00 |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . . . . . . . . . . . . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

# Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2010

Name of estate or trust: ARTHUR F & ALICE E ADAMS

Employer identification number: 65-6003785

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example 100 sh. 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price | (e) Cost or other basis (see instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 1a 351. POTASH CORP SASK INC COM W/RTS ATTACHED | 01/06/2011 | 08/22/2011 | 17,983.00 | 16,918.00 | 1,065.00 |
| 249. STRYKER CORP COM | 05/20/2011 | 08/22/2011 | 11,209.00 | 15,914.00 | -4,705.00 |
| 141. ROCKWELL INTL CORP NEW COM | 02/10/2011 | 08/23/2011 | 8,030.00 | 12,215.00 | -4,185.00 |
| 40. EOG RES INC COM | 10/13/2010 | 09/22/2011 | 3,138.00 | 4,005.00 | -867.00 |
| 95. FREEPORT MCMORAN COPPER & GOLD CL B W/RTS AT | 10/29/2010 | 09/22/2011 | 3,108.00 | 4,539.00 | -1,431.00 |
| 143. SCHLUMBERGER LTD COM | 07/08/2011 | 09/23/2011 | 8,734.00 | 10,932.00 | -2,198.00 |
| 179. BAKER HUGHES INC COM | 01/28/2011 | 09/28/2011 | 8,888.00 | 12,006.00 | -3,118.00 |
| 394. FREEPORT MCMORAN COPPER & GOLD CL B W/RTS AT | 12/17/2010 | 09/28/2011 | 12,807.00 | 18,826.00 | -6,019.00 |
| 334. GENERAL MOTORS CO | 11/18/2010 | 09/28/2011 | 6,925.00 | 11,838.00 | -4,913.00 |
| 169. GILEAD SCIENCES INC COM | 07/21/2011 | 09/28/2011 | 6,747.00 | 7,283.00 | -536.00 |
| 78. RANGE RES CORP COM | 07/28/2011 | 09/28/2011 | 4,756.00 | 4,713.00 | 43.00 |
| 94. CLIFFS NAT RES INC | 03/01/2011 | 09/29/2011 | 5,402.00 | 8,521.00 | -3,119.00 |
| 75. NETFLIX.COM INC COM | 07/26/2011 | 09/29/2011 | 8,272.00 | 12,044.00 | -3,772.00 |
| 42. RANGE RES CORP COM | 07/28/2011 | 09/29/2011 | 2,515.00 | 2,538.00 | -23.00 |
| 103. SINA.COM SHS | 01/13/2011 | 09/29/2011 | 7,495.00 | 8,749.00 | -1,254.00 |
| 98. YUM! BRANDS INC COM | 05/26/2011 | 09/30/2011 | 4,769.00 | 5,468.00 | -699.00 |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . . . . . . . . . . . . . . . 31,886.00

**For Paperwork Reduction Act Notice, see the Instructions for Form 1041.** **Schedule D-1 (Form 1041) 2010**

JSA 0F1221 3 000

* INDICATES WASH SALE

DMD257 5892 03/22/2012 07:57:40

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

ARTHUR F & ALICE E ADAMS

**Employer identification number**

65-6003785

## Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price | (e) Cost or other basis (see instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a 3854.24 AMERICREDIT AUTOMOBILE REVEIVABLES TR S | 05/09/2006 | 10/06/2010 | 3,854.00 | 3,854.00 | |
| 375. FIRSTENERGY CORP COM 12/01/2004 | 11/05/2008 | 10/12/2010 | 14,374.00 | 24,856.00 | -10,482.00 |
| 50000. DEERE & CO 6.950% 4/25/14 | 02/24/2006 | 10/15/2010 | 59,581.00 | 55,612.00 | 3,969.00 |
| 953.67 FHLMC POOL #G18106 5.500% 3/01/21 | 03/24/2006 | 10/15/2010 | 954.00 | 953.00 | 1.00 |
| 715.55 FHLMC POOL #J01366 5.500% 4/01/21 | 10/15/2007 | 10/15/2010 | 716.00 | 713.00 | 3.00 |
| 18.23 FEDERAL HOME LN MTG CORP PARTN CTFS POOL #C5935 | 10/01/2001 | 10/15/2010 | 18.00 | 18.00 | |
| 211.08 FEDERAL HOME LN MTG CORP PARTN CTFS POOL #C6074 | 11/02/2001 | 10/15/2010 | 211.00 | 213.00 | -2.00 |
| 2.87 GOVERNMENT NATL MTG ASSN MTG PASS THRU CTF POOL | 05/14/2001 | 10/15/2010 | 3.00 | 3.00 | |
| 35000. XTO ENERGY INC NT DTD 08/07/07 6.5% 12/1 | 08/05/2008 | 10/19/2010 | 44,576.00 | 34,912.00 | 9,664.00 |
| 104. TARGET CORP COM | 10/09/2009 | 10/21/2010 | 5,623.00 | 5,248.00 | 375.00 |
| 75. UNITED TECHNOLOGIES CORP COM | 05/21/2009 | 10/21/2010 | 5,640.00 | 4,014.00 | 1,626.00 |
| 103. AFLAC INC COM | 05/08/2009 | 10/25/2010 | 5,720.00 | 4,004.00 | 1,716.00 |
| 15.24 FEDERAL NATL MTG ASSN GTD MTG PASS THRU CTF | 04/06/2001 | 10/25/2010 | 15.00 | 15.00 | |
| 540.85 FEDERAL NATL MTG ASSN GTD MTG PASS THRU CTF | 07/02/2001 | 10/25/2010 | 541.00 | 533.00 | 8.00 |
| 12.44 FEDERAL NATL MTG ASSN GTD MTG PASS THRU CTF | 03/05/2002 | 10/25/2010 | 12.00 | 12.00 | |
| 163.82 FNMA POOL #836631 5.000% 10/01/20 | 10/15/2007 | 10/25/2010 | 164.00 | 161.00 | 3.00 |
| 182.72 FNMA POOL #880971 5.500% 10/01/21 | 01/08/2007 | 10/25/2010 | 183.00 | 183.00 | |
| 373. TIME WARNER INC | 05/13/2009 | 10/25/2010 | 11,843.00 | 8,452.00 | 3,391.00 |
| 15000. U S TREASURY NOTE 4.500% 2/28/11 | 10/02/2008 | 10/26/2010 | 15,223.00 | 15,973.00 | -750.00 |
| 182.854 ST JUDE MED INC COM W/RTS ATTACHED EXP 0 | 05/12/2009 | 10/29/2010 | 6,974.00 | 7,077.00 | -103.00 |
| 193. HALLIBURTON CO COM W/RIGHTS EXP 12/15/2005 | 10/16/2009 | 11/01/2010 | 6,248.00 | 5,287.00 | 961.00 |
| 46.0443 SELECTINVEST INST ARV ASP U/INT I2 | 10/30/2007 | 11/01/2010 | 44,700.00 | 48,883.00 | -4,183.00 |
| 172. STAPLES INC COM W/INVISIBLE RTS TO PUR SHS | 08/17/2009 | 11/05/2010 | 3,569.00 | 3,850.00 | -281.00 |
| 182.98 AMERICREDIT AUTOMOBILE REVEIVABLES TR S | 05/09/2006 | 11/08/2010 | 183.00 | 183.00 | |
| 190. TEVA PHARMACEUTICAL INDS LTD ADR | 06/29/2009 | 11/10/2010 | 9,526.00 | 9,240.00 | 286.00 |

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side: ARTHUR F & ALICE E ADAMS

Employer identification number: 65-6003785

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price | (e) Cost or other basis (see instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a 440. CISCO SYS INC COM W/RIGHTS ATTACHED EXPIRING | 11/05/2008 | 11/11/2010 | 9,118.00 | 10,434.00 | -1,316.00 |
| 298. DIRECTV-CLASS A | 10/30/2009 | 11/15/2010 | 12,603.00 | 7,571.00 | 5,032.00 |
| 1022.53 FHLMC POOL #G18106 5.500% 3/01/21 | 03/24/2006 | 11/15/2010 | 1,023.00 | 1,022.00 | 1.00 |
| 847.98 FHLMC POOL #J01366 5.500% 4/01/21 | 10/15/2007 | 11/15/2010 | 848.00 | 845.00 | 3.00 |
| 18.35 FEDERAL HOME LN MTG CORP PARTN CTFS POOL #C5935 | 10/01/2001 | 11/15/2010 | 18.00 | 18.00 | |
| 504.46 FEDERAL HOME LN MTG CORP PARTN CTFS POOL #C6074 | 11/02/2001 | 11/15/2010 | 504.00 | 509.00 | -5.00 |
| 2.84 GOVERNMENT NATL MTG ASSN MTG PASS THRU CTF POOL | 05/14/2001 | 11/15/2010 | 3.00 | 3.00 | |
| 141. JOHNSON & JOHNSON COM | 01/22/2008 | 11/15/2010 | 9,052.00 | 7,650.00 | 1,402.00 |
| 455. MICROSOFT CORP COM | 07/06/2009 | 11/15/2010 | 11,943.00 | 7,841.00 | 4,102.00 |
| 570. CISCO SYS INC COM W/RIGHTS ATTACHED EXPIRING | 09/30/2009 | 11/18/2010 | 11,212.00 | 13,012.00 | -1,800.00 |
| 151. HONEYWELL INTL INC COM | 02/12/2009 | 11/18/2010 | 7,505.00 | 5,593.00 | 1,912.00 |
| 15.32 FEDERAL NATL MTG ASSN GTD MTG PASS THRU CTF | 04/06/2001 | 11/25/2010 | 15.00 | 15.00 | |
| 274.77 FEDERAL NATL MTG ASSN GTD MTG PASS THRU CTF | 07/02/2001 | 11/25/2010 | 275.00 | 271.00 | 4.00 |
| 12.51 FEDERAL NATL MTG ASSN GTD MTG PASS THRU CTF | 03/05/2002 | 11/25/2010 | 13.00 | 12.00 | 1.00 |
| 1168.19 FNMA POOL #836631 5.000% 10/01/20 | 10/15/2007 | 11/25/2010 | 1,168.00 | 1,149.00 | 19.00 |
| 194.63 FNMA POOL #880971 5.500% 10/01/21 | 01/08/2007 | 11/25/2010 | 195.00 | 195.00 | |
| 626. CISCO SYS INC COM W/RIGHTS ATTACHED EXPIRING | 07/30/2009 | 12/02/2010 | 11,991.00 | 14,844.00 | -2,853.00 |
| 183. AMERICAN ELEC PWR CO INC COM | 04/07/2009 | 12/03/2010 | 6,569.00 | 5,573.00 | 996.00 |
| 20000. BANK OF AMERICA CORP 7.375% 5/15/14 | 05/08/2009 | 12/10/2010 | 22,036.00 | 19,889.00 | 2,147.00 |
| 120000. CITIGROUP INC 2.125% 4/30/12 | 08/04/2009 | 12/10/2010 | 122,453.00 | 120,490.00 | 1,963.00 |
| 50000. GENERAL ELEC CAP COR 5.625% 9/15/17 | 10/03/2007 | 12/10/2010 | 54,300.00 | 50,401.00 | 3,899.00 |
| 40000. HSBC FINANCE CORP 6.750% 5/15/11 | 06/12/2001 | 12/10/2010 | 40,945.00 | 39,965.00 | 980.00 |
| 40000. IBM CORPORATION 5.700% 9/14/17 | 03/27/2008 | 12/10/2010 | 45,890.00 | 41,830.00 | 4,060.00 |
| 75000. KEY BANK NA 3.200% 6/15/12 | 12/11/2008 | 12/10/2010 | 77,851.00 | 74,929.00 | 2,922.00 |
| 25000. NATIONAL RURAL UTILS 7.250% 3/01/12 | 05/23/2005 | 12/10/2010 | 26,854.00 | 28,836.00 | -1,982.00 |

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . . . .

| Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side | Employer identification number |
|---|---|
| ARTHUR F & ALICE E ADAMS | 65-6003785 |

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price | (e) Cost or other basis (see instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a 25000. SBC COMMUNICATIONS 5.625% 6/15/16 | 06/04/2007 | 12/10/2010 | 28,144.00 | 24,718.00 | 3,426.00 |
| 25000. TARGET CORP NT DTD 3/11/2002 5.875% 03/0 | 04/19/2005 | 12/10/2010 | 26,511.00 | 26,855.00 | -344.00 |
| 70000. UNITED STATES TREAS BD DTD 02/15/2002 4. | 08/28/2009 | 12/10/2010 | 73,680.00 | 75,268.00 | -1,588.00 |
| 40000. US TREASURY NOTES 4.500% 4/30/12 | 11/17/2008 | 12/10/2010 | 42,223.00 | 43,838.00 | -1,615.00 |
| 90000. US TREASURY NOTE 3.500% 2/15/18 | 09/28/2009 | 12/10/2010 | 94,802.00 | 88,805.00 | 5,997.00 |
| 25000. US TREASURY NOTE 4.000% 8/15/18 | 10/30/2008 | 12/10/2010 | 27,115.00 | 25,168.00 | 1,947.00 |
| 55000. US TREASURY NOTE 2.750% 2/15/19 | 05/07/2009 | 12/10/2010 | 54,220.00 | 53,843.00 | 377.00 |
| 65000. US TREASURY NOTE 3.125% 5/15/19 | 07/29/2009 | 12/10/2010 | 65,554.00 | 62,400.00 | 3,154.00 |
| 188. BANK NEW YORK MELLON CORP COM | 10/23/2009 | 12/13/2010 | 5,484.00 | 5,398.00 | 86.00 |
| 90. JOHNSON & JOHNSON COM | 04/06/2009 | 12/13/2010 | 5,558.00 | 4,883.00 | 675.00 |
| 2418.38 AMER CENT SMALL CAP GRWTH INST #336 | 11/07/2006 | 12/15/2010 | 20,000.00 | 15,792.00 | 4,208.00 |
| 810. FHLMC POOL #G18106 5.500% 3/01/21 | 03/24/2006 | 12/15/2010 | 810.00 | 810.00 | |
| 461.58 FHLMC POOL #J01366 5.500% 4/01/21 | 10/15/2007 | 12/15/2010 | 462.00 | 460.00 | 2.00 |
| 478.79 FEDERAL HOME LN MTG CORP PARTN CTFS POOL #C5935 | 10/01/2001 | 12/15/2010 | 479.00 | 478.00 | 1.00 |
| 201.96 FEDERAL HOME LN MTG CORP PARTN CTFS POOL #C6074 | 11/02/2001 | 12/15/2010 | 202.00 | 204.00 | -2.00 |
| 2.85 GOVERNMENT NATL MTG ASSN MTG PASS THRU CTF POOL | 05/14/2001 | 12/15/2010 | 3.00 | 3.00 | |
| 2990. ISHARES MIDCAP INDEX FD | 11/08/2006 | 12/15/2010 | 300,883.00 | 253,995.00 | 46,888.00 |
| 24024.024 ROWE T PRICE REAL ESTATE FD COM | 05/30/2008 | 12/15/2010 | 400,000.00 | 399,795.00 | 205.00 |
| 2994.012 ROYCE PREMIER FUND W CLASS #566 | 08/21/2008 | 12/15/2010 | 60,000.00 | 46,841.00 | 13,159.00 |
| 87. JP MORGAN CHASE & CO COM | 03/13/2009 | 12/16/2010 | 3,477.00 | 2,675.00 | 802.00 |
| 44.997 HALLIBURTON CO COM W/RIGHTS EXP 12/15/2005 | 10/16/2009 | 12/21/2010 | 1,803.00 | 1,233.00 | 570.00 |
| 110. EMERSON ELEC CO COM W/RTS ATTACHED EXP 11/01/20 | 07/10/2009 | 12/23/2010 | 6,361.00 | 3,609.00 | 2,752.00 |
| 20.37 FEDERAL NATL MTG ASSN GTD MTG PASS THRU CTF | 04/06/2001 | 12/25/2010 | 20.00 | 20.00 | |
| 375.84 FEDERAL NATL MTG ASSN GTD MTG PASS THRU CTF | 07/02/2001 | 12/25/2010 | 376.00 | 370.00 | 6.00 |
| 12.58 FEDERAL NATL MTG ASSN GTD MTG PASS THRU CTF | 03/05/2002 | 12/25/2010 | 13.00 | 12.00 | 1.00 |

**6b Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side: ARTHUR F & ALICE E ADAMS

Employer identification number: 65-6003785

## Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price | (e) Cost or other basis (see instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a 1064.56 FNMA POOL #836631 5.000% 10/01/20 | 10/15/2007 | 12/25/2010 | 1,065.00 | 1,048.00 | 17.00 |
| 302.21 FNMA POOL #880971 5.500% 10/01/21 | 01/08/2007 | 12/25/2010 | 302.00 | 303.00 | -1.00 |
| 1065.72 FHLMC POOL #G18106 5.500% 3/01/21 | 03/24/2006 | 12/31/2010 | 1,066.00 | 1,065.00 | 1.00 |
| 1786.79 FHLMC POOL #J01366 5.500% 4/01/21 | 10/15/2007 | 12/31/2010 | 1,787.00 | 1,781.00 | 6.00 |
| 17.67 FEDERAL HOME LN MTG CORP PARTN CTFS POOL #C5935 | 10/01/2001 | 12/31/2010 | 18.00 | 18.00 | |
| 299.77 FEDERAL HOME LN MTG CORP PARTN CTFS POOL #C6074 | 11/02/2001 | 12/31/2010 | 300.00 | 302.00 | -2.00 |
| 20.52 FEDERAL NATL MTG ASSN GTD MTG PASS THRU CTF | 04/06/2001 | 12/31/2010 | 21.00 | 20.00 | 1.00 |
| 214.45 FEDERAL NATL MTG ASSN GTD MTG PASS THRU CTF | 07/02/2001 | 12/31/2010 | 214.00 | 211.00 | 3.00 |
| 12.65 FEDERAL NATL MTG ASSN GTD MTG PASS THRU CTF | 03/05/2002 | 12/31/2010 | 13.00 | 13.00 | |
| 761.59 FNMA POOL #836631 5.000% 10/01/20 | 10/15/2007 | 12/31/2010 | 762.00 | 750.00 | 12.00 |
| 525.8 FNMA POOL #880971 5.500% 10/01/21 | 01/08/2007 | 12/31/2010 | 526.00 | 527.00 | -1.00 |
| 2.88 GOVERNMENT NATL MTG ASSN MTG PASS THRU CTF POOL | 05/14/2001 | 12/31/2010 | 3.00 | 3.00 | |
| 267. WAL MART STORES INC COM | 04/06/2009 | 12/31/2010 | 14,372.00 | 14,596.00 | -224.00 |
| 225. BAXTER INTL INC COM W/RIGHTS ATTACHED EXP 3/23/ | 01/16/2008 | 01/13/2011 | 11,328.00 | 11,756.00 | -428.00 |
| 178. VISA INC-CLASS A SHRS | 04/14/2009 | 01/13/2011 | 12,892.00 | 11,108.00 | 1,784.00 |
| 70. OCCIDENTAL PETE CORP COM | 11/05/2008 | 01/25/2011 | 6,762.00 | 4,896.00 | 1,866.00 |
| 211. DANAHER CORP COM | 04/18/2008 | 01/26/2011 | 9,974.00 | 8,153.00 | 1,821.00 |
| 97. DANAHER CORP COM | 04/06/2009 | 01/27/2011 | 4,525.00 | 3,748.00 | 777.00 |
| 50000. FED HOME LN BK 4.500% 11/15/12 | 01/27/2006 | 01/31/2011 | 53,458.00 | 49,654.00 | 3,804.00 |
| 81. OCCIDENTAL PETE CORP COM | 03/27/2009 | 01/31/2011 | 7,760.00 | 5,665.00 | 2,095.00 |
| 150. 3M CO COM | 12/14/2009 | 01/31/2011 | 13,159.00 | 12,041.00 | 1,118.00 |
| 20000. U S TREASURY NOTE 4.500% 2/28/11 | 10/02/2008 | 01/31/2011 | 20,064.00 | 21,298.00 | -1,234.00 |
| 226. SOUTHWESTERN ENERGY CO COM | 12/22/2009 | 02/08/2011 | 8,742.00 | 10,790.00 | -2,048.00 |
| 41. CISCO SYS INC COM W/RIGHTS ATTACHED EXPIRING | 07/30/2009 | 02/11/2011 | 773.00 | 960.00 | -187.00 |
| 772.67 FHLMC POOL #G18106 5.500% 3/01/21 | 03/24/2006 | 02/15/2011 | 773.00 | 772.00 | 1.00 |

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . . .

| Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side | Employer identification number |
|---|---|
| ARTHUR F & ALICE E ADAMS | 65-6003785 |

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price | (e) Cost or other basis (see instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a 438.28 FHLMC POOL #J01366 5.500% 4/01/21 | 10/15/2007 | 02/15/2011 | 438.00 | 437.00 | 1.00 |
| 18.55 FEDERAL HOME LN MTG CORP PARTN CTFS POOL #C5935 | 10/01/2001 | 02/15/2011 | 19.00 | 19.00 | |
| 162.65 FEDERAL HOME LN MTG CORP PARTN CTFS POOL #C6074 | 11/02/2001 | 02/15/2011 | 163.00 | 164.00 | -1.00 |
| 2.9 GOVERNMENT NATL MTG ASSN MTG PASS THRU CTF POOL | 05/14/2001 | 02/15/2011 | 3.00 | 3.00 | |
| 25000. NORTHROP GRUMMAN COR 7.125% 2/15/11 | 08/28/2008 | 02/15/2011 | 25,000.00 | 26,544.00 | -1,544.00 |
| 229. BIOGEN IDEC INC | 03/10/2009 | 02/16/2011 | 15,427.00 | 10,996.00 | 4,431.00 |
| 25000. NEWS AMER HLDGS INC 9.250% 2/01/13 | 01/12/2004 | 02/23/2011 | 28,837.00 | 32,815.00 | -3,978.00 |
| 75. OCCIDENTAL PETE CORP COM | 04/06/2009 | 02/24/2011 | 7,798.00 | 5,246.00 | 2,552.00 |
| 15.63 FEDERAL NATL MTG ASSN GTD MTG PASS THRU CTF | 04/06/2001 | 02/25/2011 | 16.00 | 15.00 | 1.00 |
| 265.21 FEDERAL NATL MTG ASSN GTD MTG PASS THRU CTF | 07/02/2001 | 02/25/2011 | 265.00 | 261.00 | 4.00 |
| 12.72 FEDERAL NATL MTG ASSN GTD MTG PASS THRU CTF | 03/05/2002 | 02/25/2011 | 13.00 | 13.00 | |
| 2013.58 FNMA POOL #836631 5.000% 10/01/20 | 10/15/2007 | 02/25/2011 | 2,014.00 | 1,984.00 | 30.00 |
| 1104.84 FNMA POOL #880971 5.500% 10/01/21 | 01/08/2007 | 02/25/2011 | 1,105.00 | 1,107.00 | -2.00 |
| 125. AFLAC INC COM | 08/26/2009 | 03/01/2011 | 7,300.00 | 4,859.00 | 2,441.00 |
| 200. BAXTER INTL INC COM W/RIGHTS ATTACHED EXP 3/23/ | 06/05/2009 | 03/01/2011 | 10,441.00 | 10,450.00 | -9.00 |
| 176. EMERSON ELEC CO COM W/RTS ATTACHED EXP 11/01/20 | 11/17/2009 | 03/01/2011 | 10,374.00 | 5,774.00 | 4,600.00 |
| 190. GENERAL MILLS INC COM W/RTS EXP 02/01/2006 | 12/15/2008 | 03/01/2011 | 7,015.00 | 6,366.00 | 649.00 |
| 105. HONEYWELL INTL INC COM | 07/15/2009 | 03/01/2011 | 5,984.00 | 3,889.00 | 2,095.00 |
| 267. ORACLE CORP COM | 12/24/2009 | 03/01/2011 | 8,587.00 | 6,472.00 | 2,115.00 |
| 21. AMAZON COM INC COM | 12/04/2008 | 03/02/2011 | 3,562.00 | 1,684.00 | 1,878.00 |
| 215. TARGET CORP COM | 01/21/2010 | 03/04/2011 | 11,141.00 | 10,967.00 | 174.00 |
| 121. ADOBE SYS INC COM | 04/30/2009 | 03/15/2011 | 3,994.00 | 3,487.00 | 507.00 |
| 566.1 FHLMC POOL #G18106 5.500% 3/01/21 | 03/24/2006 | 03/15/2011 | 566.00 | 566.00 | |
| 436.48 FHLMC POOL #J01366 5.500% 4/01/21 | 10/15/2007 | 03/15/2011 | 436.00 | 435.00 | 1.00 |
| 921.99 FEDERAL HOME LN MTG CORP PARTN CTFS POOL #C5935 | 10/01/2001 | 03/15/2011 | 922.00 | 920.00 | 2.00 |

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side: ARTHUR F & ALICE E ADAMS

Employer identification number: 65-6003785

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co.) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price | (e) Cost or other basis (see instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a 168.15 FEDERAL HOME LN MTG CORP PARTN CTFS POOL #C6074 | 11/02/2001 | 03/15/2011 | 168.00 | 170.00 | -2.00 |
| 2.91 GOVERNMENT NATL MTG ASSN MTG PASS THRU CTF POOL | 05/14/2001 | 03/15/2011 | 3.00 | 3.00 | |
| 35000. UNITEDHEALTH GROUP 5.250% 3/15/11 | 10/30/2007 | 03/15/2011 | 35,000.00 | 35,330.00 | -330.00 |
| 87. COLGATE-PALMOLIVE CO COM | 01/25/2010 | 03/18/2011 | 6,670.00 | 7,039.00 | -369.00 |
| 60. ROCKWELL COLLINS-WI COM | 03/08/2010 | 03/21/2011 | 3,830.00 | 3,680.00 | 150.00 |
| 33. NEWFIELD EXPL CO COM W/RIGHTS ATTACHED EXP 2/22/ | 10/06/2009 | 03/23/2011 | 2,423.00 | 1,548.00 | 875.00 |
| 60. OCCIDENTAL PETE CORP COM | 06/25/2009 | 03/23/2011 | 6,012.00 | 4,197.00 | 1,815.00 |
| 98. ROCKWELL COLLINS-WI COM | 03/08/2010 | 03/23/2011 | 6,198.00 | 6,010.00 | 188.00 |
| 171. BIOGEN IDEC INC | 08/04/2009 | 03/25/2011 | 12,276.00 | 8,211.00 | 4,065.00 |
| 197. CBS CORP CL B COM | 11/17/2009 | 03/25/2011 | 4,913.00 | 3,222.00 | 1,691.00 |
| 285. DISH NETWORK CORP | 04/24/2009 | 03/25/2011 | 7,014.00 | 4,350.00 | 2,664.00 |
| 15.73 FEDERAL NATL MTG ASSN GTD MTG PASS THRU CTF | 04/06/2001 | 03/25/2011 | 16.00 | 15.00 | 1.00 |
| 1051.84 FEDERAL NATL MTG ASSN GTD MTG PASS THRU CTF | 07/02/2001 | 03/25/2011 | 1,052.00 | 1,036.00 | 16.00 |
| 12.79 FEDERAL NATL MTG ASSN GTD MTG PASS THRU CTF | 03/05/2002 | 03/25/2011 | 13.00 | 13.00 | |
| 934.9 FNMA POOL #836631 5.000% 10/01/20 | 10/15/2007 | 03/25/2011 | 935.00 | 922.00 | 13.00 |
| 352.76 FNMA POOL #880971 5.500% 10/01/21 | 01/08/2007 | 03/25/2011 | 353.00 | 354.00 | -1.00 |
| 280. INVESCO LIMITED | 03/20/2008 | 03/25/2011 | 7,230.00 | 6,589.00 | 641.00 |
| 133. AMGEN INC COM W/RTS ATTACHED EXP 03/21/2007 | 01/27/2010 | 03/31/2011 | 7,158.00 | 7,292.00 | -134.00 |
| 20000. OCCIDENTAL PETROLEUM 6.750% 1/15/12 | 11/21/2006 | 03/31/2011 | 20,965.00 | 21,443.00 | -478.00 |
| 40000. KELLOGG CO NT DTD 3/29/2001 6.6% 04/01/2 | 04/04/2002 | 04/01/2011 | 40,000.00 | 40,706.00 | -706.00 |
| 90. NEWFIELD EXPL CO COM W/RIGHTS ATTACHED EXP 2/22/ | 10/06/2009 | 04/05/2011 | 6,839.00 | 4,222.00 | 2,617.00 |
| 93. BAXTER INTL INC COM W/RIGHTS ATTACHED EXP 3/23/ | 06/05/2009 | 04/08/2011 | 4,978.00 | 4,859.00 | 119.00 |
| 61. CANADIAN NAT RES LTD COM CN$ | 11/12/2007 | 04/14/2011 | 2,712.00 | 2,234.00 | 478.00 |
| 577.25 FHLMC POOL #G18106 5.500% 3/01/21 | 03/24/2006 | 04/15/2011 | 577.00 | 577.00 | |
| 963.38 FHLMC POOL #J01366 5.500% 4/01/21 | 10/15/2007 | 04/15/2011 | 963.00 | 960.00 | 3.00 |

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side
ARTHUR F & ALICE E ADAMS

**Employer identification number**
65-6003785

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price | (e) Cost or other basis (see instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| **6a** 16.24 FEDERAL HOME LN MTG CORP PARTN CTFS POOL #C5935 | 10/01/2001 | 04/15/2011 | 16.00 | 16.00 | |
| 372.99 FEDERAL HOME LN MTG CORP PARTN CTFS POOL #C6074 | 11/02/2001 | 04/15/2011 | 373.00 | 376.00 | -3.00 |
| 2.94 GOVERNMENT NATL MTG ASSN MTG PASS THRU CTF POOL | 05/14/2001 | 04/15/2011 | 3.00 | 3.00 | |
| 130. AMGEN INC COM W/RTS ATTACHED EXP 03/21/2007 | 02/09/2010 | 04/18/2011 | 7,156.00 | 7,127.00 | 29.00 |
| 15.81 FEDERAL NATL MTG ASSN GTD MTG PASS THRU CTF | 04/06/2001 | 04/25/2011 | 16.00 | 15.00 | 1.00 |
| 202.24 FEDERAL NATL MTG ASSN GTD MTG PASS THRU CTF | 07/02/2001 | 04/25/2011 | 202.00 | 199.00 | 3.00 |
| 12.86 FEDERAL NATL MTG ASSN GTD MTG PASS THRU CTF | 03/05/2002 | 04/25/2011 | 13.00 | 13.00 | |
| 152.87 FNMA POOL #836631 5.000% 10/01/20 | 10/15/2007 | 04/25/2011 | 153.00 | 151.00 | 2.00 |
| 222.15 FNMA POOL #880971 5.500% 10/01/21 | 01/08/2007 | 04/25/2011 | 222.00 | 223.00 | -1.00 |
| 218. ADOBE SYS INC COM | 07/20/2009 | 04/26/2011 | 7,214.00 | 6,283.00 | 931.00 |
| 114. COCA-COLA CO COM | 01/13/2010 | 04/28/2011 | 7,613.00 | 6,378.00 | 1,235.00 |
| 360. DENBURY RESOURCES INC COM | 03/10/2010 | 04/28/2011 | 7,884.00 | 5,820.00 | 2,064.00 |
| 84. NEWFIELD EXPL CO COM W/RIGHTS ATTACHED EXP 2/22/ | 10/06/2009 | 04/28/2011 | 5,869.00 | 3,941.00 | 1,928.00 |
| 64. NEWFIELD EXPL CO COM W/RIGHTS ATTACHED EXP 2/22/ | 10/06/2009 | 05/04/2011 | 4,246.00 | 3,003.00 | 1,243.00 |
| 36. CUMMINS INC COM | 04/27/2009 | 05/11/2011 | 4,112.00 | 1,730.00 | 2,382.00 |
| 50. SCHLUMBERGER LTD COM | 01/11/2010 | 05/11/2011 | 4,116.00 | 3,777.00 | 339.00 |
| 323. BANK NEW YORK MELLON CORP COM | 10/28/2009 | 05/12/2011 | 9,199.00 | 9,274.00 | -75.00 |
| 123. CANADIAN NAT RES LTD COM CN$ | 11/12/2007 | 05/12/2011 | 5,106.00 | 4,504.00 | 602.00 |
| 262. EMERSON ELEC CO COM W/RTS ATTACHED EXP 11/01/20 | 09/10/2009 | 05/12/2011 | 14,204.00 | 9,745.00 | 4,459.00 |
| 32. CUMMINS INC COM | 04/27/2009 | 05/13/2011 | 3,533.00 | 1,538.00 | 1,995.00 |
| 30000. MELLON FUNDING BD DTD 05/14/2001 CALLABL | 05/08/2002 | 05/14/2011 | 30,000.00 | 30,819.00 | -819.00 |
| 512.32 FHLMC POOL #G18106 5.500% 3/01/21 | 03/24/2006 | 05/15/2011 | 512.00 | 512.00 | |
| 277.83 FHLMC POOL #J01366 5.500% 4/01/21 | 10/15/2007 | 05/15/2011 | 278.00 | 277.00 | 1.00 |
| 16.33 FEDERAL HOME LN MTG CORP PARTN CTFS POOL #C5935 | 10/01/2001 | 05/15/2011 | 16.00 | 16.00 | |
| 11.64 FEDERAL HOME LN MTG CORP PARTN CTFS POOL #C6074 | 11/02/2001 | 05/15/2011 | 12.00 | 12.00 | |

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

ARTHUR F & ALICE E ADAMS

**Employer identification number** 65-6003785

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price | (e) Cost or other basis (see instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a 2.96 GOVERNMENT NATL MTG ASSN MTG PASS THRU CTF POOL | 05/14/2001 | 05/15/2011 | 3.00 | 3.00 | |
| 255. ALLEGHENY TECHNOLOGIE S INC COM | 05/04/2010 | 05/17/2011 | 17,006.00 | 12,990.00 | 4,016.00 |
| 55. CUMMINS INC COM | 07/20/2009 | 05/17/2011 | 5,874.00 | 2,644.00 | 3,230.00 |
| 448. DOW CHEM CO COM | 09/10/2009 | 05/17/2011 | 16,567.00 | 10,437.00 | 6,130.00 |
| 569. SLM CORP COM | 08/22/2008 | 05/17/2011 | 9,149.00 | 8,185.00 | 964.00 |
| 23. AMAZON COM INC COM | 12/04/2008 | 05/18/2011 | 4,489.00 | 1,844.00 | 2,645.00 |
| 37. CITRIX SYS INC COM | 10/09/2009 | 05/18/2011 | 3,057.00 | 1,771.00 | 1,286.00 |
| 89. ORACLE CORP COM | 05/04/2007 | 05/18/2011 | 2,998.00 | 2,260.00 | 738.00 |
| 192. STARBUCKS CORP COM | 05/07/2010 | 05/18/2011 | 6,969.00 | 5,112.00 | 1,857.00 |
| 65. ALLERGAN INC COM W/RIGHTS ATTACHED EXP 2/18/ | 11/04/2009 | 05/19/2011 | 5,398.00 | 4,168.00 | 1,230.00 |
| 45. CITRIX SYS INC COM | 10/09/2009 | 05/19/2011 | 3,741.00 | 2,153.00 | 1,588.00 |
| 74. RANGE RES CORP COM | 05/01/2008 | 05/19/2011 | 3,848.00 | 4,200.00 | -352.00 |
| 122. UNITEDHEALTH GRP INC COM | 12/16/2009 | 05/19/2011 | 6,178.00 | 4,101.00 | 2,077.00 |
| 64. CUMMINS INC COM | 04/29/2010 | 05/20/2011 | 6,842.00 | 3,076.00 | 3,766.00 |
| 42. ALLERGAN INC COM W/RIGHTS ATTACHED EXP 2/18/ | 11/04/2009 | 05/24/2011 | 3,451.00 | 2,693.00 | 758.00 |
| 200. ORACLE CORP COM | 05/04/2007 | 05/24/2011 | 6,637.00 | 5,079.00 | 1,558.00 |
| 35. SALESFORCE COM INC | 05/21/2010 | 05/24/2011 | 5,228.00 | 3,263.00 | 1,965.00 |
| 15.9 FEDERAL NATL MTG ASSN GTD MTG PASS THRU CTF POOL | 04/06/2001 | 05/25/2011 | 16.00 | 16.00 | |
| 567.22 FEDERAL NATL MTG ASSN GTD MTG PASS THRU CTF | 07/02/2001 | 05/25/2011 | 567.00 | 558.00 | 9.00 |
| 13.1 FEDERAL NATL MTG ASSN GTD MTG PASS THRU CTF POOL | 03/05/2002 | 05/25/2011 | 13.00 | 13.00 | |
| 133.37 FNMA POOL #836631 5.000% 10/01/20 | 10/15/2007 | 05/25/2011 | 133.00 | 132.00 | 1.00 |
| 1278.14 FNMA POOL #880971 5.500% 10/01/21 | 01/08/2007 | 05/25/2011 | 1,278.00 | 1,281.00 | -3.00 |
| 66. OCCIDENTAL PETE CORP COM | 06/25/2009 | 05/27/2011 | 7,093.00 | 5,035.00 | 2,058.00 |
| 4.8351 SELECTINVEST INST ARV ASP U/INT I2 | 10/30/2007 | 06/01/2011 | 4,900.00 | 5,110.00 | -210.00 |
| 238. CBS CORP CL B COM | 03/08/2010 | 06/03/2011 | 6,458.00 | 3,892.00 | 2,566.00 |

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

ARTHUR F & ALICE E ADAMS

**Employer identification number** 65-6003785

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co) | (b) Date acquired (mo, day, yr) | (c) Date sold (mo., day, yr) | (d) Sales price | (e) Cost or other basis (see instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a 713. NEWELL RUBBERMAID INC COM | 09/28/2009 | 06/07/2011 | 10,324.00 | 11,122.00 | -798.00 |
| 205. E M C CORP MASS COM | 04/09/2009 | 06/13/2011 | 5,416.00 | 2,877.00 | 2,539.00 |
| 495.28 FHLMC POOL #G18106 5.500% 3/01/21 | 03/24/2006 | 06/15/2011 | 495.00 | 495.00 | |
| 1332.71 FHLMC POOL #J01366 5.500% 4/01/21 | 10/15/2007 | 06/15/2011 | 1,333.00 | 1,328.00 | 5.00 |
| 16.4 FEDERAL HOME LN MTG CORP PARTN CTFS POOL #C5935 | 10/01/2001 | 06/15/2011 | 16.00 | 16.00 | |
| 11.47 FEDERAL HOME LN MTG CORP PARTN CTFS POOL #C6074 | 11/02/2001 | 06/15/2011 | 11.00 | 12.00 | -1.00 |
| 3.18 GOVERNMENT NATL MTG ASSN MTG PASS THRU CTF POOL | 05/14/2001 | 06/15/2011 | 3.00 | 3.00 | |
| 2479.14 MORGAN STANLEY DEAN 5.080% 9/15/37 | 11/28/2007 | 06/15/2011 | 2,479.00 | 2,469.00 | 10.00 |
| 74. CITRIX SYS INC COM | 10/09/2009 | 06/17/2011 | 5,473.00 | 3,541.00 | 1,932.00 |
| 97. PEPSICO INC COM | 05/07/2010 | 06/22/2011 | 6,704.00 | 6,072.00 | 632.00 |
| 97. BOEING CO COM | 06/01/2010 | 06/23/2011 | 6,886.00 | 6,213.00 | 673.00 |
| 310. JP MORGAN CHASE & CO COM | 04/15/2010 | 06/23/2011 | 12,437.00 | 9,748.00 | 2,689.00 |
| 52. ALLEGHENY TECHNOLOGIES INC COM | 05/04/2010 | 06/24/2011 | 3,161.00 | 2,649.00 | 512.00 |
| 20.96 FEDERAL NATL MTG ASSN GTD MTG PASS THRU CTF | 04/06/2001 | 06/25/2011 | 21.00 | 20.00 | 1.00 |
| 184.98 FEDERAL NATL MTG ASSN GTD MTG PASS THRU CTF | 07/02/2001 | 06/25/2011 | 185.00 | 182.00 | 3.00 |
| 13.18 FEDERAL NATL MTG ASSN GTD MTG PASS THRU CTF | 03/05/2002 | 06/25/2011 | 13.00 | 13.00 | |
| 138.27 FNMA POOL #836631 5.000% 10/01/20 | 10/15/2007 | 06/25/2011 | 138.00 | 136.00 | 2.00 |
| 372.77 FNMA POOL #880971 5.500% 10/01/21 | 01/08/2007 | 06/25/2011 | 373.00 | 374.00 | -1.00 |
| 101. ENTERGY CORP NEW COM | 11/12/2007 | 07/01/2011 | 6,901.00 | 11,193.00 | -4,292.00 |
| 98. PEPSICO INC COM | 07/01/2010 | 07/08/2011 | 6,837.00 | 6,135.00 | 702.00 |
| 15. AMAZON COM INC COM | 12/04/2008 | 07/13/2011 | 3,228.00 | 1,203.00 | 2,025.00 |
| 1008. COMCAST CORP NEW CL A | 07/02/2010 | 07/15/2011 | 24,262.00 | 18,089.00 | 6,173.00 |
| 536.95 FHLMC POOL #G18106 5.500% 3/01/21 | 03/24/2006 | 07/15/2011 | 537.00 | 537.00 | |
| 285.91 FHLMC POOL #J01366 5.500% 4/01/21 | 10/15/2007 | 07/15/2011 | 286.00 | 285.00 | 1.00 |
| 16.51 FEDERAL HOME LN MTG CORP PARTN CTFS POOL #C5935 | 10/01/2001 | 07/15/2011 | 17.00 | 16.00 | 1.00 |

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . . . .

| Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side | Employer identification number |
|---|---|
| ARTHUR F & ALICE E ADAMS | 65-6003785 |

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example: 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price | (e) Cost or other basis (see instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a 11.63 FEDERAL HOME LN MTG CORP PARTN CTFS POOL #C6074 | 11/02/2001 | 07/15/2011 | 12.00 | 12.00 | |
| 3. GOVERNMENT NATL MTG ASSN MTG PASS THRU CTF POOL | 05/14/2001 | 07/15/2011 | 3.00 | 3.00 | |
| 705.99 MORGAN STANLEY DEAN 5.080% 9/15/37 | 11/28/2007 | 07/15/2011 | 706.00 | 703.00 | 3.00 |
| 45. SALESFORCE COM INC | 05/21/2010 | 07/21/2011 | 6,737.00 | 4,196.00 | 2,541.00 |
| 340. TEXAS INSTRS INC COM | 06/25/2010 | 07/22/2011 | 10,703.00 | 8,151.00 | 2,552.00 |
| 1087.17 FEDERAL NATL MTG ASSN GTD MTG PASS THRU CTF | 04/06/2001 | 07/25/2011 | 1,087.00 | 1,060.00 | 27.00 |
| 191.66 FEDERAL NATL MTG ASSN GTD MTG PASS THRU CTF | 07/02/2001 | 07/25/2011 | 192.00 | 189.00 | 3.00 |
| 13.25 FEDERAL NATL MTG ASSN GTD MTG PASS THRU CTF | 03/05/2002 | 07/25/2011 | 13.00 | 13.00 | |
| 133.75 FNMA POOL #836631 5.000% 10/01/20 | 10/15/2007 | 07/25/2011 | 134.00 | 132.00 | 2.00 |
| 249.59 FNMA POOL #880971 5.500% 10/01/21 | 01/08/2007 | 07/25/2011 | 250.00 | 250.00 | |
| 30. AMAZON COM INC COM | 12/04/2008 | 07/27/2011 | 6,738.00 | 2,405.00 | 4,333.00 |
| 18. SALESFORCE COM INC | 05/21/2010 | 07/27/2011 | 2,661.00 | 1,678.00 | 983.00 |
| 172. ENTERGY CORP NEW COM | 04/06/2009 | 08/03/2011 | 11,087.00 | 19,061.00 | -7,974.00 |
| 63. EXPRESS SCRIPTS INC CL A W/RIGHTS ATTACHED EXP 7/2 | 06/14/2010 | 08/03/2011 | 3,216.00 | 3,496.00 | -280.00 |
| 125. DOW CHEM CO COM | 12/11/2009 | 08/04/2011 | 3,835.00 | 2,912.00 | 923.00 |
| 84. CHECK POINT SOFTWARE TECH COM | 07/12/2010 | 08/04/2011 | 4,566.00 | 2,821.00 | 1,745.00 |
| 653. BANK AMER CORP COM | 04/14/2009 | 08/08/2011 | 4,892.00 | 7,277.00 | -2,385.00 |
| 98. NEWFIELD EXPL CO COM W/RIGHTS ATTACHED EXP 2/22/ | 10/06/2009 | 08/08/2011 | 5,180.00 | 4,598.00 | 582.00 |
| 106. PEPSICO INC COM | 07/01/2010 | 08/09/2011 | 6,577.00 | 6,636.00 | -59.00 |
| 95. CANADIAN NAT RES LTD COM CN$ | 11/12/2007 | 08/10/2011 | 3,381.00 | 3,479.00 | -98.00 |
| 57. CUMMINS INC COM | 04/29/2010 | 08/10/2011 | 5,029.00 | 2,740.00 | 2,289.00 |
| 221. SUNTRUST BKS INC COM | 02/03/2010 | 08/10/2011 | 4,066.00 | 5,806.00 | -1,740.00 |
| 116. CITRIX SYS INC COM | 04/22/2010 | 08/11/2011 | 7,146.00 | 5,551.00 | 1,595.00 |
| 190. ROCKWELL COLLINS-WI COM | 04/28/2010 | 08/11/2011 | 8,426.00 | 11,653.00 | -3,227.00 |
| 300.92 FHLMC POOL #G18106 5.500% 3/01/21 | 03/24/2006 | 08/15/2011 | 301.00 | 301.00 | |

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . . .

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ARTHUR F & ALICE E ADAMS

**Employer identification number**
65-6003785

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price | (e) Cost or other basis (see instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a 401.73 FHLMC POOL #J01366 5.500% 4/01/21 | 10/15/2007 | 08/15/2011 | 402.00 | 400.00 | 2.00 |
| 16.6 FEDERAL HOME LN MTG CORP PARTN CTFS POOL #C5935 | 10/01/2001 | 08/15/2011 | 17.00 | 17.00 | |
| 11.73 FEDERAL HOME LN MTG CORP PARTN CTFS POOL #C6074 | 11/02/2001 | 08/15/2011 | 12.00 | 12.00 | |
| 3.02 GOVERNMENT NATL MTG ASSN MTG PASS THRU CTF POOL | 05/14/2001 | 08/15/2011 | 3.00 | 3.00 | |
| 1257.16 MORGAN STANLEY DEAN 5.080% 9/15/37 | 11/28/2007 | 08/15/2011 | 1,257.00 | 1,252.00 | 5.00 |
| 65. CATERPILLAR INC COM W/RTS EXP 12/11/2006 | 07/27/2010 | 08/16/2011 | 5,851.00 | 4,930.00 | 921.00 |
| 164. STATE STR CORP COM | 12/15/2009 | 08/16/2011 | 5,628.00 | 6,564.00 | -936.00 |
| 8000. NATIONAL RURAL UTILS 7.250% 3/01/12 | 05/23/2005 | 08/19/2011 | 8,295.00 | 9,228.00 | -933.00 |
| 56. OCCIDENTAL PETE CORP COM | 08/13/2009 | 08/19/2011 | 4,608.00 | 4,272.00 | 336.00 |
| 107. NEWFIELD EXPL CO COM W/RIGHTS ATTACHED EXP 2/22/ | 07/07/2010 | 08/22/2011 | 4,883.00 | 5,020.00 | -137.00 |
| 14. FEDERAL NATL MTG ASSN GTD MTG PASS THRU CTF POOL | 04/06/2001 | 08/25/2011 | 14.00 | 14.00 | |
| 184.6 FEDERAL NATL MTG ASSN GTD MTG PASS THRU CTF | 07/02/2001 | 08/25/2011 | 185.00 | 182.00 | 3.00 |
| 629.13 FEDERAL NATL MTG ASSN GTD MTG PASS THRU CTF | 03/05/2002 | 08/25/2011 | 629.00 | 621.00 | 8.00 |
| 132.94 FNMA POOL #836631 5.000% 10/01/20 | 10/15/2007 | 08/25/2011 | 133.00 | 131.00 | 2.00 |
| 242.96 FNMA POOL #880971 5.500% 10/01/21 | 01/08/2007 | 08/25/2011 | 243.00 | 243.00 | |
| 12. GOOGLE INC CL A | 03/31/2010 | 09/14/2011 | 6,349.00 | 6,683.00 | -334.00 |
| 585.62 FHLMC POOL #G18106 5.500% 3/01/21 | 03/24/2006 | 09/15/2011 | 586.00 | 585.00 | 1.00 |
| 1791.84 FHLMC POOL #J01366 5.500% 4/01/21 | 10/15/2007 | 09/15/2011 | 1,792.00 | 1,786.00 | 6.00 |
| 20.47 FEDERAL HOME LN MTG CORP PARTN CTFS POOL #C5935 | 10/01/2001 | 09/15/2011 | 20.00 | 20.00 | |
| 12.7 FEDERAL HOME LN MTG CORP PARTN CTFS POOL #C6074 | 11/02/2001 | 09/15/2011 | 13.00 | 13.00 | |
| 3.04 GOVERNMENT NATL MTG ASSN MTG PASS THRU CTF POOL | 05/14/2001 | 09/15/2011 | 3.00 | 3.00 | |
| 3080.64 MORGAN STANLEY DEAN 5.080% 9/15/37 | 11/28/2007 | 09/15/2011 | 3,081.00 | 3,068.00 | 13.00 |
| 16. NETFLIX.COM INC COM | 09/01/2010 | 09/15/2011 | 2,802.00 | 2,569.00 | 233.00 |
| 39. V F CORP COM W/RTS ATTACHED EXP 01/25/2008 | 04/22/2010 | 09/15/2011 | 4,832.00 | 3,208.00 | 1,624.00 |
| 259. ALLSTATE CORP COM W/RIGHTS ATTACHED EXP 2/12/ | 06/23/2010 | 09/20/2011 | 6,381.00 | 8,044.00 | -1,663.00 |

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . . .

| Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side | Employer identification number |
|---|---|
| ARTHUR F & ALICE E ADAMS | 65-6003785 |

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price | (e) Cost or other basis (see instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a 72. ALLEGHENY TECHNOLOGIES INC COM | 05/07/2010 | 09/22/2011 | 2,848.00 | 3,668.00 | -820.00 |
| 58. BOEING CO COM | 06/10/2010 | 09/22/2011 | 3,398.00 | 3,715.00 | -317.00 |
| 14.24 FEDERAL NATL MTG ASSN GTD MTG PASS THRU CTF | 04/06/2001 | 09/25/2011 | 14.00 | 14.00 | |
| 792.84 FEDERAL NATL MTG ASSN GTD MTG PASS THRU CTF | 07/02/2001 | 09/25/2011 | 793.00 | 781.00 | 12.00 |
| 12.22 FEDERAL NATL MTG ASSN GTD MTG PASS THRU CTF | 03/05/2002 | 09/25/2011 | 12.00 | 12.00 | |
| 134.35 FNMA POOL #836631 5.000% 10/01/20 | 10/15/2007 | 09/25/2011 | 134.00 | 133.00 | 1.00 |
| 547.66 FNMA POOL #880971 5.500% 10/01/21 | 01/08/2007 | 09/25/2011 | 548.00 | 549.00 | -1.00 |
| 163. STATE STR CORP COM | 05/18/2010 | 09/26/2011 | 5,083.00 | 6,524.00 | -1,441.00 |
| 111. BOEING CO COM | 06/10/2010 | 09/27/2011 | 7,043.00 | 7,110.00 | -67.00 |
| 50000. FED HOME LN BK 4.500% 11/15/12 | 01/27/2006 | 09/27/2011 | 52,362.00 | 49,654.00 | 2,708.00 |
| 65. PROCTER & GAMBLE CO COM | 06/25/2009 | 09/27/2011 | 4,118.00 | 3,857.00 | 261.00 |
| 9. NETFLIX.COM INC COM | 09/01/2010 | 09/29/2011 | 993.00 | 1,445.00 | -452.00 |
| 45. MEAD JOHNSON NUTRITION CO | 12/21/2009 | 09/30/2011 | 3,155.00 | 2,034.00 | 1,121.00 |
| 64. OCCIDENTAL PETE CORP COM | 05/03/2010 | 09/30/2011 | 4,649.00 | 4,882.00 | -233.00 |
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**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . . . . 215,579.00

ARTHUR F & ALICE E ADAMS 65-6003785

FEDERAL CAPITAL GAIN DIVIDENDS

SHORT-TERM CAPITAL GAIN DIVIDENDS

| | | |
|---|---|---|
| PIMCO FOREIGN BOND FUND-INST | 6,711.00 | |
| TOTAL SHORT-TERM CAPITAL GAIN DIVIDENDS | | 6,711.00 |

LONG-TERM CAPITAL GAIN DIVIDENDS

15% RATE CAPITAL GAIN DIVIDENDS

| | | |
|---|---|---|
| BOSTON PPTYS INC COM | 14,366.00 | |
| PIMCO FOREIGN BOND FUND-INST | 3,327.00 | |
| ROYCE PREMIER FUND W CLASS #566 | 4,737.00 | |
| TOTAL 15% RATE CAPITAL GAIN DIVIDENDS | | 22,430.00 |
| TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS | | 22,430.00 |