Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2010

For calendar year 2010, or tax year beginning OCT 1, 2010 , and ending SEP 30, 2011

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation
MAURICE A. ROTHMAN & THELMA P. ROTHMAN
FAMILY FOUNDATION, INC.

A Employer identification number
59-2061386

Number and street (or P O box number if mail is not delivered to street address) / Room/suite
5700 70TH AVENUE NORTH

B Telephone number
727-545-9555

City or town, state, and ZIP code
PINELLAS PARK, FL 33781

C If exemption application is pending, check here ▶ ☐
D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 5,331,215.

J Accounting method ☐ Cash [X] Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received	200,000.		N/A	
	2	Check ▶ ☐ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	12,409.	12,409.		Statement 1
	4	Dividends and interest from securities	185,740.	183,964.		Statement 2
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	275,804.			
	b	Gross sales price for all assets on line 6a 3,255,954.				
	7	Capital gain net income (from Part IV, line 2)		275,804.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income				
	12	Total. Add lines 1 through 11	673,953.	472,177.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0.	0.		0.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees				
	b	Accounting fees				
	c	Other professional fees				
	17	Interest				
	18	Taxes Stmt 3	3,702.	168.		0.
	19	Depreciation and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses Stmt 4	33,319.	31,408.		0.
	24	Total operating and administrative expenses. Add lines 13 through 23	37,021.	31,576.		0.
	25	Contributions, gifts, grants paid	292,453.			292,453.
	26	Total expenses and disbursements. Add lines 24 and 25	329,474.	31,576.		292,453.
	27	Subtract line 26 from line 12:				
	a	Excess of revenue over expenses and disbursements	344,479.			
	b	Net investment income (if negative, enter -0-)		440,601.		
	c	Adjusted net income (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	650,781.	415,037.	420,402.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations Stmt 5	299,941.	100,000.	101,346.
	b Investments - corporate stock Stmt 6	754,731.	917,472.	795,777.
	c Investments - corporate bonds Stmt 7	1,374,846.	1,719,041.	1,712,946.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other Stmt 8	2,126,466.	2,398,288.	2,288,098.
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶ Statement 9)	11,240.	12,646.	12,646.
	16 **Total assets** (to be completed by all filers)	5,218,005.	5,562,484.	5,331,215.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ ADVANCES)	28,264.	28,264.	
	23 **Total liabilities** (add lines 17 through 22)	28,264.	28,264.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	5,189,741.	5,534,220.	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 **Total net assets or fund balances**	5,189,741.	5,534,220.	
	31 **Total liabilities and net assets/fund balances**	5,218,005.	5,562,484.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,189,741.
2	Enter amount from Part I, line 27a	2	344,479.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	5,534,220.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,534,220.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b	See Attached Statements			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	3,255,954.		2,980,150.	275,804.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				275,804.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 275,804.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8 } 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	275,933.	5,322,657.	.051841
2008	202,124.	4,899,543.	.041254
2007	324,425.	6,293,189.	.051552
2006	245,431.	6,612,940.	.037114
2005	9,099.	5,686,310.	.001600

2	Total of line 1, column (d)	2	.183361
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.036672
4	Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	5,808,118.
5	Multiply line 4 by line 3	5	212,995.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	4,406.
7	Add lines 5 and 6	7	217,401.
8	Enter qualifying distributions from Part XII, line 4	8	292,453.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b		1	4,406.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	4,406.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	4,406.
6 Credits/Payments			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a 3,476.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d		7	3,476.
8 Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	930.
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11 Enter the amount of line 10 to be **Credited to 2011 estimated tax** ▶	Refunded ▶	11	

Part VII-A **Statements Regarding Activities**

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? *If the answer is "Yes" to* **1a** *or* **1b**, *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*	1b		X
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ FL			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

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Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ LESLIE M. ROGAS Telephone no ▶ 727-545-9555

Located at ▶ 5700 70TH AVENUE NORTH, PINELLAS PARK, FL ZIP+4 ▶ 33781

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 | N/A

			Yes	No
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2010 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?		4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | No: X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARGIE R. GREEN 5700 70TH AVENUE NORTH PINELLAS PARK, FL 33781	CHAIRMAN/TRUSTEE 4.00	0.	0.	0.
CAROL R. LANE 5700 70TH AVENUE NORTH PINELLAS PARK, FL 33781	SECRETARY/TRUSTEE 1.00	0.	0.	0.
KEVIN A. LANE 5700 70TH AVENUE NORTH PINELLAS PARK, FL 33781	VICE PRESIDENT/TRUSTEE 1.00	0.	0.	0.
JASON E. GREEN 5700 70TH AVENUE NORTH PINELLAS PARK, FL 33781	TRUSTEE 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	0.
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	0.
2	
All other program-related investments See instructions.	
3 NONE	0.
Total. Add lines 1 through 3 ▶	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	5,651,325.
b	Average of monthly cash balances	1b	242,950.
c	Fair market value of all other assets	1c	2,291.
d	**Total** (add lines 1a, b, and c)	1d	5,896,566.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	5,896,566.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	88,448.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	5,808,118.
6	**Minimum investment return.** Enter 5% of line 5	6	290,406.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	290,406.
2a	Tax on investment income for 2010 from Part VI, line 5 — 2a: 4,406.		
b	Income tax for 2010 (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	4,406.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	286,000.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	286,000.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	286,000.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	292,453.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	292,453.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	4,406.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	288,047.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				286,000.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			2,879.	
b Total for prior years ______ , ______ , ______		0.		
3 Excess distributions carryover, if any, to 2010				
a From 2005				
b From 2006				
c From 2007				
d From 2008				
e From 2009				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ $ 292,453.				
a Applied to 2009, but not more than line 2a			2,879.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				286,000.
e Remaining amount distributed out of corpus	3,574.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,574.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a	3,574.			
10 Analysis of line 9				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008				
d Excess from 2009				
e Excess from 2010 . 3,574.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Statement 10				
Total			▶ **3a**	292,453.
b *Approved for future payment*				
None				
Total			▶ **3b**	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	12,409.	
4 Dividends and interest from securities			14	185,740.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	275,804.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		473,953.	0.

13 **Total.** Add line 12, columns (b), (d), and (e) 13 473,953.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Marjie B Green — 1/31/12 — Chairman

Signature of officer or trustee — Date — Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
LESLIE M. ROGAS	Leslie M Rogas	1/31/12		

Firm's name ▶ LESLIE M. ROGAS — Firm's EIN ▶

Firm's address ▶ 2926 BAYSHORE VISTA DRIVE TAMPA, FL 33611 — Phone no 813-786-7942

023622 04-26-11

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**

OMB No 1545-0047

2010

Name of the organization
MAURICE A. ROTHMAN & THELMA P. ROTHMAN
FAMILY FOUNDATION, INC.

Employer identification number
59-2061386

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of $5,000 or more during the year. . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
MAURICE A. ROTHMAN & THELMA P. ROTHMAN FAMILY FOUNDATION, INC.

Employer identification number
59-2061386

Part I **Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	ROTHMAN CHARITABLE LEAD TRUST 5700 70TH AVENUE NORTH PINELLAS PARK, FL 33781	$ 200,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)

Name of organization	Employer identification number
MAURICE A. ROTHMAN & THELMA P. ROTHMAN FAMILY FOUNDATION, INC.	59-2061386

Part II Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization	Employer identification number
MAURICE A. ROTHMAN & THELMA P. ROTHMAN FAMILY FOUNDATION, INC.	59-2061386

Part III **Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than $1,000 for the year.** Complete columns **(a)** through **(e) and** the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ $

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	5000 SH BAC ARN SLVRLN	P	03/05/10	10/18/10
b	250 SH ISHARES IBOXX $	P	04/08/09	10/26/10
c	100 SH ISHARES BARCLAYS AGGRGT	P	04/08/09	10/26/10
d	150 SH ISHARES BARCLAYS AGGRGT	P	04/08/09	10/26/10
e	50,000 SH FEDERAL HOME LN MTG CORP	P	11/09/09	11/15/10
f	250 SH ISHARES BARCLAYS AGGRGT	P	04/08/09	11/22/10
g	50,000 SH ROYAL BANK OF CANADA	P	06/07/10	12/09/10
h	100,000 SH US TSY 1.750% JAN 31 2014	P	02/10/09	12/08/10
i	5000 SH EKSPORT ARN SLVRLN	P	07/29/10	12/07/10
j	1338 SH AMERIPRISE FINANCIAL INC	P	05/27/09	01/07/11
k	662 SH AMERIPRISE FINANCIAL INC	P	05/27/09	01/07/11
l	5000 SH BAC CLIRN GOLD SPOT	P	10/29/09	01/19/11
m	717 SH GOLDMAN SACHS GROUP INC	P	10/26/10	01/07/11
n	683 SH GOLDMAN SACHS GROUP INC	P	10/26/10	01/07/11
o	500 SH GOLDMAN SACHS GROUP INC	P	10/26/10	01/07/11

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	61,749.		50,000.	11,749.
b	28,006.		23,398.	4,608.
c	10,823.		10,039.	784.
d	16,234.		15,058.	1,176.
e	50,000.		50,000.	0.
f	26,893.		25,097.	1,796.
g	50,000.		50,000.	0.
h	102,218.		99,941.	2,277.
i	61,599.		50,000.	11,599.
j	35,042.		33,450.	1,592.
k	17,384.		16,550.	834.
l	62,499.		50,000.	12,499.
m	16,878.		17,925.	<1,047.>
n	16,084.		17,075.	<991.>
o	11,780.		12,500.	<720.>

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col. (h) gain over col (k), but not less than "-0-")
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				11,749.
b				4,608.
c				784.
d				1,176.
e				0.
f				1,796.
g				0.
h				2,277.
i				11,599.
j				1,592.
k				834.
l				12,499.
m				<1,047.>
n				<991.>
o				<720.>

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	100 SH GOLDMAN SACHS GROUP INC	P	10/26/10	01/07/11
b	50,000 SH CATERPILLAR FINANCIA CLD	P	02/17/09	02/15/11
c	98,000 SH CD AMER EXPRESS CENT BK	P	01/26/09	02/04/11
d	50,000 SH JOHN DEERE CAPITAL CORP	P	03/13/09	03/15/11
e	100,000 SH FEDERAL HOME LN MTG CORP	P	03/09/09	03/15/11
f	50,000 SH FEDERAL HOME LN MTG CORP	P	03/16/09	03/15/11
g	5000 SH EKSPORT ARN GOLD SPOT	P	07/29/10	04/21/11
h	5000 SH SEK ARN IXE	P	02/24/10	04/29/11
i	50,000 SH DOW CHEMICAL CO/THE CLD	P	05/26/09	05/16/11
j	50,000 SH INDEP BK SO MICH CLD	P	04/21/09	05/09/11
k	50,000 SH DN INDEPENDENT BK IO CLD	P	04/21/09	05/09/11
l	5000 SH BAC CLIRN GOLD SPOT	P	12/21/09	05/20/11
m	5000 SH BAC CLIRN MLCX4COER	P	01/28/10	05/24/11
n	10,000 SH BAC CURR MITTS-ERM	P	05/28/09	06/01/11
o	116 SH COHEN & STEERS SELECT	P	12/16/09	06/02/11

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,358.		2,500.	<142.>
b	50,000.		50,000.	0.
c	98,000.		98,000.	0.
d	50,000.		50,000.	0.
e	100,000.		100,000.	0.
f	50,000.		50,000.	0.
g	58,299.		50,000.	8,299.
h	61,025.		50,000.	11,025.
i	50,000.		50,000.	0.
j	50,000.		50,000.	0.
k	50,000.		50,000.	0.
l	63,899.		50,000.	13,899.
m	64,049.		50,000.	14,049.
n	121,476.		121,476.	0.
o	2,088.		1,886.	202.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a				<142.>
b				0.
c				0.
d				0.
e				0.
f				0.
g				8,299.
h				11,025.
i				0.
j				0.
k				0.
l				13,899.
m				14,049.
n				0.
o				202.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 } 2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8 3

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	934 SH COHEN & STEERS SELECT	P	12/16/09	06/02/11
b	1950 SH COHEN & STEERS SELECT	P	12/16/09	06/02/11
c	106 SH FIRST TR SR FL II	P	01/07/11	06/02/11
d	2575 SH FIRST TR SR FL II	P	01/07/11	06/02/11
e	225 SH FIRST TR SR FL II	P	01/07/11	06/02/11
f	75 SH FIRST TR SR FL II	P	01/07/11	06/02/11
g	19 SH FIRST TR SR FL II	P	01/07/11	06/02/11
h	1300 SH LLOYDS BANKING GRP PLC	P	06/30/10	07/01/11
i	700 SH LLOYDS BANKING GRP PLC	P	06/30/10	07/01/11
j	5000 SH BAC STEP UP NOTES EM	P	07/29/09	08/02/11
k	5000 SH BAC CURR MITTS	P	05/05/10	09/21/11
l	5000 SH RICI LIRN ISSUER BAC	P	07/30/09	09/07/11
m	.316 SH FEDERATED PRUDENT BEAR	P	06/28/10	10/12/10
n	2287 SH FEDERATED PRUDENT BEAR	P	06/28/10	10/12/10
o	500 SH LABORATORY CP AMER HLDGS	P	05/11/10	11/05/10

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	16,812.		15,215.	1,597.
b	35,100.		31,785.	3,315.
c	1,551.		1,481.	70.
d	37,672.		35,986.	1,686.
e	3,294.		3,144.	150.
f	1,098.		1,049.	49.
g	279.		266.	13.
h	33,669.		32,500.	1,169.
i	18,137.		17,500.	637.
j	58,000.		58,000.	0.
k	46,399.		51,224.	<4,825.>
l	69,949.		50,000.	19,949.
m	2.		2.	0.
n	11,526.		12,441.	<915.>
o	41,475.		38,453.	3,022.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
	(i) F.M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				1,597.
b				3,315.
c				70.
d				1,686.
e				150.
f				49.
g				13.
h				1,169.
i				637.
j				0.
k				<4,825.>
l				19,949.
m				0.
n				<915.>
o				3,022.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

023591
05-01-10

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	300 SH OCCIDENTAL PETE CORP CAL	P	03/10/10	11/05/10
b	750 SH UNITED CONTL HLDGS INC	P	07/15/10	11/05/10
c	1470 SH UNITED CONTL HLDGS INC	P	08/06/10	11/05/10
d	600 SH UNITED TECHS CORP COM	P	10/20/09	11/05/10
e	320 SH TORCHMARK CORP COM	P	05/12/10	12/15/10
f	350 SH C.H. ROBINSON WORLDWIDE	P	03/10/10	01/04/11
g	400 SH CLIFFS NATURAL RESOURCES	P	04/23/10	01/03/11
h	300 SH HESS CORP	P	10/13/10	01/21/11
i	600 SH ROCKWELL AUTOMATION INC	P	08/06/10	01/26/11
j	350 SH AFLAC INC COM	P	08/06/10	02/02/11
k	650 SH CONSOL ENERGY INC COM	P	02/03/10	01/28/11
l	325 SH CONSOL ENERGY INC COM	P	02/05/10	01/28/11
m	700 SH INCYTE CORPORATION	P	12/22/10	02/18/11
n	1000 SH KANSAS CITY SOUTHERN	P	03/31/10	03/16/11
o	700 SH QUEST DIAGNOSTICS INC	P	11/08/10	03/15/11

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	25,141.		24,619.	522.
b	20,787.		16,769.	4,018.
c	40,743.		32,947.	7,796.
d	45,929.		38,918.	7,011.
e	19,469.		16,985.	2,484.
f	28,048.		18,778.	9,270.
g	32,054.		28,913.	3,141.
h	23,636.		18,978.	4,658.
i	48,335.		33,221.	15,114.
j	19,936.		17,632.	2,304.
k	30,922.		32,579.	<1,657.>
l	15,461.		14,565.	896.
m	9,872.		12,002.	<2,130.>
n	51,054.		36,533.	14,521.
o	38,145.		36,469.	1,676.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	
a				522.
b				4,018.
c				7,796.
d				7,011.
e				2,484.
f				9,270.
g				3,141.
h				4,658.
i				15,114.
j				2,304.
k				<1,657.>
l				896.
m				<2,130.>
n				14,521.
o				1,676.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	100 SH QUEST DIAGNOSTICS INC	P	12/15/10	03/15/11
b	600 SH WELLPOINT INC	P	01/27/10	03/01/11
c	350 SH ALBEMARBLE CORP COM	P	11/05/10	04/26/11
d	500 SH CHINA PETE CHEM SPN ADR	P	10/09/09	04/01/11
e	1000 SH JPMORGAN CHASE & CO	P	11/30/09	05/16/11
f	1250 SH TENNECO INC DEL	P	08/06/10	05/04/11
g	1600 SH VALERO ENERGY CORP NEW	P	03/15/11	05/04/11
h	1100 SH ANNALY CAP MGMT INC	P	04/12/11	06/21/11
i	750 SH AMER EXPRESS COMPANY	P	07/28/10	06/09/11
j	1400 SH INTL PAPER CO	P	05/04/11	06/08/11
k	700 SH PETRLEO BRAS VTG SPD ADR	P	05/19/09	06/21/11
l	125 SH AMAZON COM INC COM	P	10/21/10	07/05/11
m	900 SH DISCOVERY COMMUNICATN	P	02/07/11	07/01/11
n	350 SH ALBEMARLE CORP COM	P	11/05/10	08/10/11
o	700 SH CABOT OIL & GAS CORP	P	06/21/11	08/23/11

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	5,449.		5,278.	171.
b	39,990.		38,757.	1,233.
c	24,020.		18,580.	5,440.
d	50,539.		44,192.	6,347.
e	43,211.		41,706.	1,505.
f	53,966.		35,231.	18,735.
g	42,293.		45,001.	<2,708.>
h	20,396.		18,968.	1,428.
i	36,224.		33,541.	2,683.
j	40,197.		44,670.	<4,473.>
k	22,854.		28,433.	<5,579.>
l	26,567.		20,673.	5,894.
m	37,380.		38,029.	<649.>
n	19,059.		18,580.	479.
o	48,061.		43,191.	4,870.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a				171.
b				1,233.
c				5,440.
d				6,347.
e				1,505.
f				18,735.
g				<2,708.>
h				1,428.
i				2,683.
j				<4,473.>
k				<5,579.>
l				5,894.
m				<649.>
n				479.
o				4,870.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

023591
05-01-10

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	400 SH EMERSON ELEC CO	P	04/26/11	07/28/11
b	200 SH EMERSON ELEC CO	P	06/09/11	07/28/11
c	300 SH HESS CORP	P	10/13/10	08/05/11
d	1500 SH JABIL CIRCUIT INC	P	01/03/11	08/19/11
e	1000 SH AUTOMATIC DATA PROC	P	02/02/11	09/09/11
f	750 SH PRUDENTIAL FINANCIAL INC	P	12/15/10	09/07/11
g	900 SH WSTN DIGITAL CORP DEL	P	01/27/11	09/06/11
h	7 SH ISHARES TRUST S&P MIDCAP	P	02/05/09	09/30/10
i	38 SH ISHARES MSCI EAFE INDEX	P	02/05/09	09/30/10
j	28 SH ISHARES MSCI EMERGING	P	07/14/09	09/30/10
k	85 SH ISHARES FTSE EPRA/NAREIT	P	02/05/09	09/30/10
l	14 SH ISHARES DOW JONES US	P	02/05/09	09/30/10
m	88 SH RS EMERGING MARKETS CL Y	P	03/23/10	09/30/10
n	44 SH ISHARES TRUST S&P MIDCAP	P	02/05/09	02/08/11
o	97 SH ISHARES TRUST S&P MIDCAP	P	02/10/09	02/08/11

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	19,986.		23,939.	<3,953.>
b	9,993.		10,381.	<388.>
c	18,053.		18,978.	<925.>
d	21,812.		31,139.	<9,327.>
e	47,686.		48,839.	<1,153.>
f	35,381.		42,551.	<7,170.>
g	23,868.		30,897.	<7,029.>
h	558.		357.	201.
i	2,079.		1,513.	566.
j	1,246.		881.	365.
k	2,592.		1,638.	954.
l	882.		767.	115.
m	2,268.		2,033.	235.
n	4,194.		2,246.	1,948.
o	9,246.		5,002.	4,244.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a				<3,953.>
b				<388.>
c				<925.>
d				<9,327.>
e				<1,153.>
f				<7,170.>
g				<7,029.>
h				201.
i				566.
j				365.
k				954.
l				115.
m				235.
n				1,948.
o				4,244.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	110 SH ISHARES TRUST S&P MIDCAP	P	03/17/09	02/08/11
b	59 SH ISHARES TRUST S&P MIDCAP	P	07/14/09	02/08/11
c	265 SH ISHARES RUSSELL 1000	P	02/05/09	02/08/11
d	366 SH ISHARES RUSSELL 1000	P	02/10/09	02/08/11
e	215 SH ISHARES RUSSELL 1000	P	03/17/09	02/08/11
f	4 SH ISHARES MSCI EAFE INDEX	P	02/05/09	02/08/11
g	160 SH ISHARES MSCI EMERGING	P	07/14/09	02/08/11
h	107 SH ISHARES MSCI EMERGING	P	09/30/09	02/08/11
i	489 SH ISHARES MSCI EMERGING	P	03/16/10	02/08/11
j	21 SH ISHARES MSCI EMERGING	P	04/01/10	02/08/11
k	46 SH ISHARES MSCI EMERGING	P	07/22/10	02/08/11
l	171 SH ISHARES FTSE EPRA/NAREIT	P	02/05/09	02/08/11
m	259 SH ISHARES FTSE EPRA/NAREIT	P	02/10/09	02/08/11
n	286 SH ISHARES FTSE EPRA/NAREIT	P	03/17/09	02/08/11
o	462 SH ISHARES FTSE EPRA/NAREIT	P	07/14/09	02/08/11

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	10,485.		5,004.	5,481.
b	5,624.		3,318.	2,306.
c	18,037.		11,696.	6,341.
d	24,911.		16,119.	8,792.
e	14,633.		8,397.	6,236.
f	245.		159.	86.
g	7,427.		5,033.	2,394.
h	4,967.		4,176.	791.
i	22,699.		20,224.	2,475.
j	975.		904.	71.
k	2,135.		1,877.	258.
l	5,400.		3,295.	2,105.
m	8,179.		5,025.	3,154.
n	9,032.		4,979.	4,053.
o	14,590.		11,259.	3,331.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a				5,481.
b				2,306.
c				6,341.
d				8,792.
e				6,236.
f				86.
g				2,394.
h				791.
i				2,475.
j				71.
k				258.
l				2,105.
m				3,154.
n				4,053.
o				3,331.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

023591
05-01-10

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo , day, yr)
1a	185 SH ISHARES FTSE EPRA/NAREIT	P	09/30/09	02/08/11
b	133 SH ISHARES FTSE EPRA/NAREIT	P	01/21/10	02/08/11
c	27 SH ISHARES FTSE EPRA/NAREIT	P	07/22/10	02/08/11
d	376 SH ISHARES S&P 500	P	03/17/09	02/08/11
e	147 SH ISHARES S&P 500	P	07/14/09	02/08/11
f	143 SH ISHARES DOW JONES US	P	02/05/09	02/08/11
g	81 SH ISHARES DOW JONES US	P	02/10/09	02/08/11
h	400 SH ISHARES S&P SMALLCAP 600	P	01/20/10	02/08/11
i	135 SH RS EMERGING MARKETS CL Y	P	03/23/10	02/08/11
j	127 SH ELEMENTS - ROGERS TR	P	02/08/11	03/31/11
k	5 SH ISHARES TRUST S&P MIDCAP	P	07/14/09	03/31/11
l	9 SH ISHARES BARCLAYS TIPS BO	P	02/05/09	03/31/11
m	6 SH POWERSHARES FTSE RAFI US	P	02/08/11	03/31/11
n	14 SH REVENUESHARES MID CAP ET	P	02/08/11	03/31/11
o	71 SH ISHARES DOW JONES US	P	02/10/09	03/31/11

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	5,842.		5,489.	353.
b	4,200.		3,805.	395.
c	853.		739.	114.
d	25,715.		15,066.	10,649.
e	10,054.		6,912.	3,142.
f	6,077.		4,112.	1,965.
g	3,442.		2,337.	1,105.
h	29,592.		23,771.	5,821.
i	3,532.		3,118.	414.
j	1,279.		1,206.	73.
k	492.		281.	211.
l	983.		890.	93.
m	413.		401.	12.
n	451.		439.	12.
o	3,240.		2,048.	1,192.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
	(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				353.
b				395.
c				114.
d				10,649.
e				3,142.
f				1,965.
g				1,105.
h				5,821.
i				414.
j				73.
k				211.
l				93.
m				12.
n				12.
o				1,192.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 } . 2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8 3

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	13 SH ISHARES DOW JONES US	P	02/05/09	03/31/11
b	4 SH ISHARES S & P SMALLCAP 600	P	01/20/10	03/31/11
c	28 SH ISHARES IBOXX $	P	02/05/09	07/27/11
d	22 SH ISHARES BARCLAYS AGGRGT	P	02/05/09	07/27/11
e	11 SH ISHARES BARCLAYS TIPS BO	P	02/05/09	07/27/11
f	3 SH ISHARES BARCLAYS TIPS BO	P	02/10/09	07/27/11
g	37 SH ISHARES S&P 500	P	07/14/09	07/27/11
h	5 SH ISHARES DOW JONES US	P	02/05/09	07/27/11
i	26 SH ISHARES DOW JONES US	P	02/10/09	07/27/11
j	1080 SH RS EMERGING MARKETS CL Y	P	03/23/10	09/28/11
k	72 SH RS EMERGING MARKETS CL Y	P	04/01/10	09/28/11
l	.563 SH RS EMERGING MARKETS CL Y	P	12/17/10	09/28/11
m	32 SH RS EMERGING MARKETS CL Y	P	12/17/10	09/28/11
n	CARDINAL HEALTH INC. SECURITIES LITIGATION	P	Various	11/05/10
o	Capital Gains Dividends			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	898.		712.	186.
b	303.		238.	65.
c	3,115.		2,743.	372.
d	2,364.		2,215.	149.
e	1,236.		1,088.	148.
f	337.		302.	35.
g	2,589.		1,740.	849.
h	359.		274.	85.
i	1,864.		1,402.	462.
j	21,665.		24,964.	<3,299.>
k	1,444.		1,719.	<275.>
l	11.		14.	<3.>
m	642.		820.	<178.>
n	89.			89.
o	606.			606.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a				186.
b				65.
c				372.
d				149.
e				148.
f				35.
g				849.
h				85.
i				462.
j				<3,299.>
k				<275.>
l				<3.>
m				<178.>
n				89.
o				606.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	275,804.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	N/A

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	Amount
MERRILL LYNCH	12,351.
NORTHERN TRUST BANK	58.
Total to Form 990-PF, Part I, line 3, Column A	12,409.

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	Column (A) Amount
MERRILL LYNCH	183,336.	606.	182,730.
STATE OF ISRAEL	3,010.	0.	3,010.
Total to Fm 990-PF, Part I, ln 4	186,346.	606.	185,740.

Form 990-PF Taxes Statement 3

Description	(a) Expenses Per Books	(b) Net Invest-ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
EXCISE TAX ON INVESTMENT INCOME	3,473.	0.		0.
FOREIGN TAXES	168.	168.		0.
STATE OF FLORIDA ANNUAL FILING FEE	61.	0.		0.
To Form 990-PF, Pg 1, ln 18	3,702.	168.		0.

Form 990-PF Other Expenses Statement 4

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
INVESTMENT ADVISOR FEES	31,408.	31,408.		0.
MISCELLANEOUS DEDUCTIONS	421.	0.		0.
MEALS AND EVENTS	1,490.	0.		0.
To Form 990-PF, Pg 1, ln 23	33,319.	31,408.		0.

Form 990-PF U.S. and State/City Government Obligations Statement 5

Description	U.S. Gov't	Other Gov't	Book Value	Fair Market Value
SEE STATEMENT ATTACHED	X		100,000.	101,346.
Total U.S. Government Obligations			100,000.	101,346.
Total State and Municipal Government Obligations				
Total to Form 990-PF, Part II, line 10a			100,000.	101,346.

Form 990-PF Corporate Stock Statement 6

Description	Book Value	Fair Market Value
SEE STATEMENT ATTACHED	917,472.	795,777.
Total to Form 990-PF, Part II, line 10b	917,472.	795,777.

Form 990-PF	Corporate Bonds	Statement 7

Description	Book Value	Fair Market Value
SEE STATEMENT ATTACHED	1,719,041.	1,712,946.
Total to Form 990-PF, Part II, line 10c	1,719,041.	1,712,946.

Form 990-PF	Other Investments	Statement 8

Description	Valuation Method	Book Value	Fair Market Value
SEE STATEMENT ATTACHED	COST	2,398,288.	2,288,098.
Total to Form 990-PF, Part II, line 13		2,398,288.	2,288,098.

Form 990-PF	Other Assets	Statement 9

Description	Beginning of Yr Book Value	End of Year Book Value	Fair Market Value
ESTIMATED TAX DEPOSITS	870.	3,476.	3,476.
INTEREST INCOME RECEIVABLE	10,370.	9,170.	9,170.
To Form 990-PF, Part II, line 15	11,240.	12,646.	12,646.

Maurice A. and Thelma P. Rothman Family Foundation, Inc.
Fiscal Year Ended September 30, 2011
EIN 59-2061386

Form 990-PF, Part II

Description	Beginning	Ending	Market Value
Line 10a, Investments - U.S. and State Government Obligations			
U.S. Treasury Note	99,941		
Federal Home Ln Mtg Corp - Callable Sr Note	100,000	100,000	101,346
Federal Home Ln Mtg Corp - Callable Sr Note	50,000		
Federal Home Ln Mtg Corp - Callable Sr Note	50,000		
Total	299,941	100,000	101,346
Line 10b, Investments - Corporate Stock			
Aflac Inc	17,632	-	-
Alcoa Inc	-	51,506	34,452
American Express Company	33,541	-	-
Anadarko Pete Corp	-	15,433	12,610
Apache Corp	-	26,916	20,060
Apple Inc	9,655	32,422	47,665
Baker Hughes Inc	-	76,502	55,380
Boeing Company	12,899	12,899	12,102
C.H. Robinson Worldwide. Inc.	18,778	-	-
China Pete Chem Spn ADR	44,192	-	-
Cliffs Natural Resources Inc	28,913	-	-
Consol Energy Inc	47,144	-	-
Continental Airls Cl B	32,947	-	-
Deere Co	20,579	20,579	22,600
EMC Corporation Mass	-	47,174	35,683
Fluor Corp New Del	-	35,368	27,930
Ford Motor Co New	29,735	29,735	22,241
Goodyear Tire Rubber	-	38,083	26,234
JPMorgan Chase & Co	41,706	-	-
Kansas City Southern	36,533	-	-
Kinross Gold Corp	-	21,746	17,736
Laboratory Cp Amer Hldgs	38,453	-	-
Marathon Oil Corp	-	28,814	19,422
Marathon Petroleum Corp	-	19,491	12,177
Mosaic Co	33,361	33,361	26,934
Occidental Pete Corp Cal	24,619	-	-
Peabody Energy Corp Com	26,875	26,875	33,880
Petrleo Bras Vtg Spd ADR	28,433	-	-
Potash Corp Saskatchewan	-	42,031	34,576
Proctor & Gamble Co	-	37,732	37,908
Rockwell Automation Inc	33,221	-	-
SPX Corp Com	-	73,912	54,372

Maurice A. and Thelma P. Rothman Family Foundation, Inc.
Fiscal Year Ended September 30, 2011
EIN 59-2061386

Form 990-PF, Part II

Description	Beginning	Ending	Market Value
Susser Holdings Corporat	-	20,433	18,934
Tenneco Inc Del	35,231	-	-
Teradata Corp Del	-	29,184	32,118
Timken Company	-	39,765	34,461
Torchmark Corp Com	16,985	-	-
Transdigm Group Inc	-	23,470	20,418
TRW Automotive Hldgs Crp	31,965	31,965	32,730
UAL Corp Com New	16,769	-	-
Union Pacific Corp	-	38,149	32,668
UnitedHealth Group Inc	-	47,036	50,732
United Techs Corp Com	38,918	-	-
Wellpoint Inc	38,757	-	-
Yum Brands Inc	16,890	16,890	19,756
Total	754,731	917,472	795,777

Line 10c, Investments - Corporate Bonds

AT&T Inc	50,749	50,573	54,910
American Financial Group	50,000	50,000	51,360
Ameriprise Financial Inc	100,000	50,000	54,340
BB&T Capital Trust VI	50,000	50,000	53,100
Bank of America Corp	-	25,000	22,344
Bank of America Corp	98,319	94,748	85,875
Bank of America Corp	100,000	100,000	92,250
Bank of America Corp	50,000	50,000	46,890
Bank of America Corp	50,000	50,000	41,813
Bank of America Corp	50,000	50,000	36,938
Bank of America Corp	25,000	24,683	20,500
Bank of America Corp	50,000	50,000	45,813
Barclays Bank PLC	49,375	49,375	44,535
Barclays Bank PLC	-	48,751	46,023
BNP Paribas	-	25,000	23,397
Caterpillar Financial Se	50,000	-	-
Digital Realty Trust Inc	-	25,000	24,940
Dow Chemical CO	50,000	50,000	50,554
Dow Chemical CO	-	50,000	50,601
General Elec Cap Corp	50,000	50,000	53,034
General Elec Cap Corp	50,000	50,000	53,994
General Elec Cap Corp	50,000	50,000	54,199
Goldman Sachs Group Inc.	-	50,000	48,519
HSBC Finance Corp	50,000	50,000	48,634
John Deere Capital Corp	50,000	-	-
Kayne Andereson MLP	-	25,000	25,510
Kraft Foods Inc	51,553	51,392	58,942

Maurice A. and Thelma P. Rothman Family Foundation, Inc
Fiscal Year Ended September 30, 2011
EIN 59-2061386

Form 990-PF, Part II

Description	Beginning	Ending	Market Value
Lloyds Banking Group PLC	50,000	-	-
Montepelier RE Holdings	-	25,000	25,650
Public Storage Pfd Shr	-	50,000	51,620
Public Storage (PSA)	-	50,000	50,980
Royal Bank of Canada	50,000	-	-
SBC Communications	51,344	51,135	57,002
SBC Communications	50,781	50,659	57,002
Qwest Corp (Ctl)	-	25,000	24,854
Telephone & Data System	-	50,000	50,900
Telephone & Data System	-	50,000	51,660
US Cellular Corp	-	50,000	51,160
Vornado Realty Tr Ser J	-	50,000	51,320
Wells Fargo Company	47,726	47,726	51,788
Total	1,374,846	1,719,041	1,712,946

Line 13, Investments - Other

BAC ARN SLVRLN	50,000	-	-
BAC CLIRN Gold Spot	50,000	-	-
BAC CLIRN Gold Spot	50,000	-	-
BAC CLIRN MLCX4COER	50,000	-	-
BAC Curr MITTS	50,342	-	-
BAC Curr MITTS-ERM	104,282	-	-
BAC Step Up Notes EM	52,020	-	-
Blackrock Core Bond TR	81,198	81,198	97,275
Blackrock Res & Commodity Strategy TR	-	100,000	67,250
BRC CLIRN Issuer BAC	-	50,000	41,820
Citi Steps Issuer BAC	-	50,000	36,650
Clough Glbl Opptys	53,997	53,997	63,300
Cohen & Steers Select	48,886	-	-
Comdty ARN Issuer BAC	-	50,000	36,933
Copper ARN Issuer EKS	-	50,000	36,450
Copper CLIRN Issuer BAC	-	50,000	33,550
DE Steps Issuer BARC	-	50,000	43,450
Eaton Vance Rchrd Brstn Equity Stratgy FD	-	55,483	46,174
EKSPORT ARN Gold Spot	50,000	-	-
EKSPORT ARN Slvrln	50,000	-	-
Elements - Rogers TR	-	25,736	21,854
Energy ARN Issuer EKS	-	50,000	35,005
F&C/Claymore Total Rtn	77,494	77,494	87,100
Federated Prudent Bear	12,443	-	-
Global CLIRN Issuer BAC	50,000	50,000	50,550
Gold ARN Issuer SEK	-	50,000	52,800
Ishares Barclays Aggrgt	134,508	94,664	100,751
Ishares Barclays TIPS Bo	42,036	44,649	50,063

Maurice A. and Thelma P. Rothman Family Foundation, Inc.
Fiscal Year Ended September 30, 2011
EIN 59-2061386

Form 990-PF, Part II

Description	Beginning	Ending	Market Value
Ishares DJ US Finl Sector Index Fund	-	57,057	41,747
Ishares Dow Jones US Energy Sector Index Fund	41,317	34,442	39,741
Ishares Dow Jones US Healthcare Sector Index	41,354	40,681	47,546
Ishares FTSE EPRA/NAREIT	36,228	-	-
Ishares Iboxx $	128,495	113,930	127,247
Ishares MSCI EAFE Index	107,700	111,708	113,764
Ishares MSCI Emerging	33,095	-	-
Ishares Russell 1000	116,098	85,671	97,391
Ishares S&P 500	98,197	76,014	91,248
Ishares S&P Smallcap 600	44,209	21,516	21,249
Ishares Trust S&P Midcap	32,937	17,301	21,291
JPM Stars Issuer BAC	-	50,000	33,150
ML Systematic Momentum Futures Access LLC	-	99,999	95,486
Nuveen Prem Muni Inc	-	38,578	42,030
Oil ARN Issuer BAC	-	50,000	37,600
Oil Svcs ARN Issuer SEK	-	100,000	66,930
Powershares FTSE RAFI US 150	-	26,347	20,653
Revenueshares Mid Cap ET	-	26,577	21,157
RICI CLIRN Issuer BAC	50,000	50,000	56,900
RICI LIRN Issuer BAC	100,000	50,000	58,650
RS Emerging Markets Fund	31,834	-	-
RS Technology Fund	-	57,441	44,474
SEK ARN IXE	50,000	-	-
Silver CLIRN Issuer BAC	-	50,000	51,450
SPDR Gold Trust	32,795	32,805	47,418
State of Israel Bonds	75,000	75,000	75,000
Stone HBR Emerging Mkts Income Fund	-	50,000	44,760
Tortoise MLP Fd Inc	100,000	100,000	90,240
Total	2,126,466	2,398,288	2,288,098

Form 990-PF Grants and Contributions Paid During the Year Statement 10

Recipient Name and Address	Recipient Relationship and Purpose of Grant	Recipient Status	Amount
THE FOUNDATION FIGHTING BLINDNESS 7168 COLUMBIA GATEWAY DRIVE, SUITE 100 COLUMBIA, MD 21046	N/A RESEARCH FOR TREATMENTS AND CURES OF BLINDING RETINAL DISEASES	PUBLIC	1,900.
ALL CHILDREN'S HOSPITAL FOUNDATION P.O. BOX 3142 ST PETERSBURG, FL 33731	N/A MEDICAL RESEARCH AND SUPPORT	PUBLIC	1,000.
BENJAMIN TOWER FOUNDATION 250 58TH STREET NORTH ST PETERSBURG, FL 33710	N/A SUPPORT SENIOR RESIDENTIAL FACILITY	PUBLIC	50.
ART FESTIVAL BETH-EL 400 PASADENA AVENUE SOUTH ST PETERSBURG, FL 33707	N/A ADVANCEMENT OF ART	PUBLIC	500.
BROOKWOOD FLORIDA-CENTRAL, INC. 901 SEVENTH AVENUE SOUTH ST PETERSBURG, FL 33705	N/A RESIDENTIAL PROGRAM TO HELP ABUSED & NEGLECTED TEEN GIRLS	PUBLIC	500.
CHABAD JEWISH CENTER OF GREATER ST. PETERSBURG 4010 PARK ST NORTH ST PETERSBURG, FL 33709	N/A ADVANCEMENT OF RELIGION	PUBLIC	4,610.
CLOTHES TO KIDS, INC. 1059 NORTH HERCULES AVENUE CLEARWATER, FL 33765	N/A PROVIDE CLOTHING TO LOW-INCOME SCHOOL CHILDREN	PUBLIC	10,000.
DOMA INTERNATIONAL P.O. BOX 21987 COLUMBUS, OH 43221	N/A MEDICAL TRIP TO SET UP MEDICAL CLINICS IN ETHIOPIA	PUBLIC	500.

CONGREGATION BETH HATEPHILA 43 NORTH LIBERTY STREET ASHEVILLE, NC 28801	N/A CAPITAL CAMPAIGN/BUILDING FUND	PUBLIC	35,000.
COMMUNITY TAMPA BAY 9535 INTERNATIONAL COURT N. ST PETERSBURG, FL 33716	N/A PROMOTE DIALOGUE & RESPECT AMONG ALL CULTURES/RELIGI	PUBLIC	22,500.
CONGREGATION B'NAI ISRAEL 300 58TH STREET NORTH ST PETERSBURG, FL 33710	N/A ADVANCEMENT OF RELIGION	PUBLIC	1,100.
FLORIDA BLOOD SERVICES FOUNDATION 10100 DR. MARTIN LUTHER KING JR STREET N ST PETERSBURG, FL	N/A SUPPORT COLLECTION OF BLOOD AND PLATELETS	PUBLIC	1,000.
FLORIDA WHEELCHAIR AWARENESS 1764 EZELLE AVENUE LARGO, FL 33770	N/A SPONSOR WHEELCHAIR SPORT ACTIVITIES	PUBLIC	100.
DRUG FREE AMERICA FOUNDATION, INC. 5999 CENTRAL AVENUE, SUITE 301 ST PETERSBURG, FL 33710	N/A REDUCE ILLEGAL DRUG USE AND DRUG ADDICTION	PUBLIC	1,809.
GIRL SCOUTS OF WEST CENTRAL FLORIDA P.O. BOX 18066 TAMPA, FL 33679	N/A WOMEN OF DISTINCTION PROGRAM	PUBLIC	500.
FREEFALL THEATRE COMPANY 6099 CENTRAL AVENUE ST PETERSBURG, FL 33710	N/A SUPPORT OF THE PERFORMING ARTS	PUBLIC	50,000.
GREAT EXPLORATIONS CHILDREN'S MUSEUM 1925 FOURTH STREET NORTH ST PETERSBURG, FL 33704	N/A WORLD OF HOLIDAYS PROGRAMMING FOR CHILDREN	PUBLIC	1,125.
GULF COAST JEWISH FAMILY SERVICES, INC. 14041 ICOT BOULEVARD CLEARWATER, FL 33760	N/A PROVIDE ESSENTIAL SERVICES TO FAMILIES IN NEED	PUBLIC	2,000.

JEWISH FEDERATION OF PINELLAS & PASCO COUNTIES, FL 13191 STARKEY ROAD, SUITE 8 LARGO, FL 33773	N/A PROVIDE CONNECTION, ADVOCACY, EDUCATION AND CARE TO JEWISH COMMUNITY	PUBLIC	37,300.
JCC SUNCOAST P.O. BOX 1093 DUNEDIN, FL 34697	N/A CULTURAL PROGRAMS, SENIOR ACTIVITIES, SUMMER DAY CAMP	PUBLIC	1,000.
HADASSAH THE WOMEN'S ZIONEST ORGANIZATION OF AMERICA, INC. 50 WEST 58 STREET NEW YORK, NY 10019	N/A EDUCATION AND MEDICAL PROGRAMS, HELP DISADVANTAGED CHILDREN	PUBLIC	1,000.
LEEPA-RATTNER MUSEUM OF ART P.O. BOX 1545 TARPON SPRINGS, FL 34688	N/A PROMOTION OF THE ARTS	PUBLIC	465.
ORT AMERICA 75 MAIDEN LANE, 10TH FLOOR NEW YORK, NY 10038	N/A SUPPORT EDUCATION PROGRAMS	PUBLIC	1,000.
THE JEWISH FEDERATION OF SARASOTA-MANATEE 580 MCINTOSH ROAD SARASOTA, FL 34232	N/A ADVANCEMENT OF RELIGION	PUBLIC	90.
MENORAH MANOR FOUNDATION 255 59TH STREET NORTH ST PETERSBURG, FL 33710	N/A SENIOR LIVING FACILITY	PUBLIC	1,500.
MUSEUM OF FINE ARTS 255 BEACH DRIVE NE ST PETERSBURG, FL 33701	N/A PROMOTION OF THE ARTS	PUBLIC	178.
MUSEUM OF FINE ARTS 255 BEACH DRIVE NE ST PETERSBURG, FL 33701	N/A EDUCATION PROGRAM - ANCIENT EGYPT: ART & MAGIC	PUBLIC	10,000.
PERSONAL ENRICHMENT THROUGH MENTAL HEALTH SERVICES, INC. 11254 58TH STREET NORTH PINELLAS PARK, FL 33782	N/A PACE AWARDS/CHILDREN SERVICES PROGRAM	PUBLIC	1,000.

PINELLAS EDUCATION FOUNDATION 12090 STARKEY ROAD LARGO, FL 33773	N/A ROLE MODELS OF EXCELLENCE PROGRAM	PUBLIC	20,000.
PINELLAS PARK MIDDLE SCHOOL 6940 70TH AVENUE NORTH PINELLAS PARK, FL 33781	N/A MENTORING PROGRAM FOR YOUNG GIRLS	PUBLIC	500.
DAVID A. STRAZ, JR. CENTER FOR THE PERFORMING ARTS 1010 NORTH W.C. MACINNES PLACE TAMPA, FL 33602	N/A SUPPORT OF THE PERFORMING ARTS	PUBLIC	6,786.
ST. PETERSBURG FREE CLINIC 863 3RD AVENUE NORTH ST PETERSBURG, FL 33701	N/A PROVIDE BASIC NEEDS - FOOD,SHELTER AND MEDICAL CARE TO THOSE IN NEED	PUBLIC	1,000.
SUNCOAST HOSPICE FOUNDATION 5771 ROOSEVELT BOULEVARD CLEARWATER, FL 33760	N/A PROVIDE HOSPICE SERVICES	PUBLIC	1,000.
TAMPA BAY RESEARCH INSTITUTE 10900 ROOSEVELT BLVD. N. ST PETERSBURG, FL 33716	N/A STUDY, CURE & PREVENTION OF CHRONIC DISEASES	PUBLIC	19,500.
TEMPLE BETH-EL 400 PASADENA AVENUE SOUTH ST PETERSBURG, FL 33707	N/A ADVANCEMENT OF RELIGION	PUBLIC	21,940.
THE BETH DILLINGER FOUNDATION, INC. P.O. BOX 48533 ST PETERSBURG, FL 33743	N/A PROVIDE SUPPORT AND EDUCATION TO CHILDREN IN NEED	PUBLIC	500.
THE FLORIDA ORCHESTRA, INC. 244 SECOND AVE NORTH, SUITE 420 ST PETERSBURG, FL 33701	N/A MUSICIAN SPONSORSHIPS	PUBLIC	20,000.
THE PALLADIUM AT ST. PETERSBURG COLLEGE 253 FIFTH AVENUE NORTH ST PETERSBURG, FL 33701	N/A CULTURALLY DIVERSE MUSICAL ENTERTAINMENT	PUBLIC	1,500.

UNITED WAY OF TAMPA BAY 5201 W. KENNEDY BLVD., SUITE 600 TAMPA, FL 33609	N/A PROMOTE SOCIAL WELFARE	PUBLIC	12,000.
Total to Form 990-PF, Part XV, line 3a			292,453.