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Form <b>990</b>   Department of the Treasury Internal Revenue Service	<b>Return of Organization Exempt From Income Tax</b>  <b>Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)</b>  ▶ The organization may have to use a copy of this return to satisfy state reporting requirements	OMB No 1545-0047
		<b>2010</b>
		<b>Open to Public Inspection</b>

<b>A For the 2010 calendar year, or tax year beginning 10-01-2010 and ending 09-30-2011</b>		
<b>B</b> Check if applicable <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	<b>C</b> Name of organization Smithsonian Institution	<b>D</b> Employer identification number  53-0206027
	Doing Business As	<b>E</b> Telephone number  (202) 633-1000
	Number and street (or P O box if mail is not delivered to street address) 1000 Jefferson Drive S W	Room/suite
	<b>G</b> Gross receipts \$ 1,936,887,084	
	City or town, state or country, and ZIP + 4 Washington, DC 20560	
	<b>F</b> Name and address of principal officer Dr G Wayne Clough 1000 Jefferson Dr SW Washington, DC 20560	<b>H(a)</b> Is this a group return for affiliates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  <b>H(b)</b> Are all affiliates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list (see instructions) <b>H(c)</b> Group exemption number ▶
<b>I</b> Tax-exempt status <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) ( ) ◀ (Insert no ) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527		
<b>J Website:</b> ▶ www.Smithsonian.org		
<b>K</b> Form of organization <input type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input checked="" type="checkbox"/> Other ▶ Sch O		<b>L</b> Year of formation 1846
<b>M</b> State of legal domicile		

<b>Part I</b>	<b>Summary</b>
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Activities & Governance	<b>1</b> Briefly describe the organization's mission or most significant activities "Increase and diffusion of knowledge" is the mission set forth by James Smithson		
<b>2</b> Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets			
<b>3</b> Number of voting members of the governing body (Part VI, line 1a) . . . . .	<b>3</b> 17		
<b>4</b> Number of independent voting members of the governing body (Part VI, line 1b) . . . . .	<b>4</b> 17		
<b>5</b> Total number of individuals employed in calendar year 2010 (Part V, line 2a) . . . . .	<b>5</b> 6,477		
<b>6</b> Total number of volunteers (estimate if necessary) . . . . .	<b>6</b> 6,637		
<b>7a</b> Total unrelated business revenue from Part VIII, column (C), line 12 . . . . .	<b>7a</b> 15,732,991		
<b>b</b> Net unrelated business taxable income from Form 990-T, line 34 . . . . .	<b>7b</b> -622,908		
Revenue	<b>8</b> Contributions and grants (Part VIII, line 1h) . . . . .	<b>Prior Year</b> 1,032,198,872	<b>Current Year</b> 1,040,181,349
	<b>9</b> Program service revenue (Part VIII, line 2g) . . . . .	93,025,171	90,321,275
	<b>10</b> Investment income (Part VIII, column (A), lines 3, 4, and 7d ) . . . . .	17,362,923	51,436,683
	<b>11</b> Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	60,587,462	59,039,102
	<b>12</b> Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12) . . . . .	1,203,174,428	1,240,978,409
Expenses	<b>13</b> Grants and similar amounts paid (Part IX, column (A), lines 1–3 ) . . . . .	15,340,126	16,118,927
	<b>14</b> Benefits paid to or for members (Part IX, column (A), line 4) . . . . .	0	0
	<b>15</b> Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	587,102,709	591,531,002
	<b>16a</b> Professional fundraising fees (Part IX, column (A), line 11e) . . . . .	5,827,588	3,854,914
	<b>b</b> Total fundraising expenses (Part IX, column (D), line 25) ▶29,238,921		
	<b>17</b> Other expenses (Part IX, column (A), lines 11a–11d, 11f–24f) . . . . .	485,138,031	489,899,380
	<b>18</b> Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	1,093,408,454	1,101,404,223
	<b>19</b> Revenue less expenses Subtract line 18 from line 12 . . . . .	109,765,974	139,574,186
Net Assets or Fund Balances		<b>Beginning of Current Year</b>	<b>End of Year</b>
	<b>20</b> Total assets (Part X, line 16) . . . . .	3,415,561,229	3,516,523,060
	<b>21</b> Total liabilities (Part X, line 26) . . . . .	739,927,756	741,196,747
	<b>22</b> Net assets or fund balances Subtract line 21 from line 20 . . . . .	2,675,633,473	2,775,326,313

<b>Part II</b>	<b>Signature Block</b>
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Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	***** Signature of officer	2012-08-13 Date		
	Dr G Wayne Clough Secretary Type or print name and title			
Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check if self-employed <input type="checkbox"/> PTIN
	Firm's name ▶	Firm's EIN ▶		
	Firm's address ▶	Phone no ▶		

May the IRS discuss this return with the preparer shown above? (see instructions) . . . . . ☐ Yes ☐ No

Part III

Statement of Program Service Accomplishments

Check if Schedule O contains a response to any question in this Part III

☐

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1

Briefly describe the organization's mission

"Increase and diffusion of knowledge" is the mission set forth by James Smithson Today, we strive to offer exciting learning experiences for our museum visitors and for the millions who come to Smithsonian's vast websites

2

Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

☐ Yes ☒ No

If "Yes," describe these new services on Schedule O

3

Did the organization cease conducting, or make significant changes in how it conducts, any program services?

☐ Yes ☒ No

If "Yes," describe these changes on Schedule O

4

Describe the exempt purpose achievements for each of the organization's three largest program services by expenses

Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a

(Code ) (Expenses \$ 502,420,270 including grants of \$ 13,776,152 ) (Revenue \$ 33,265,268 )

Research and Collections - The Smithsonian collection of nearly 137 million objects (art, artifacts and scientific specimens) are the heart of the Institution Research, public programs and the exhibitions are based on the collections Care of collections involves the work of registrars, conservators, museum specialists, designers, curators and editors About 126 million objects are part of the natural history collections and primarily used for research purposes, by both Smithsonian scientists and researchers from around the world In some cases, the museum has the definitive, irreplaceable collection of a certain species which is essential for comparative studies The collections represent our rich national heritage and the immense diversity of the natural and cultural worlds The collections are fundamental to our work and to that of countless scholars and many federal agencies, it is our responsibility to preserve them for future generations The Smithsonian brought together more than 202,000 wildlife camera trap photos from seven projects conducted by Smithsonian researchers into one searchable website, www.si.edu In April 2011, Smithsonian scientists discovered a new species of dinosaur, Daemonosaurus chauliodus, which translates as "buck-toothed demon at Ghost Ranch, NM" Captive breeding programs have great successes at the Smithsonian Conservation Biology Institute in the spring of 2011 with the births of red pandas, white-naped cranes, scimitar-horned oryx, tufted deer and clouded leopards

4b

(Code ) (Expenses \$ 247,628,475 including grants of \$ 2,342,774 ) (Revenue \$ 47,659,747 )

Education, Public Programs and Exhibitions - The Smithsonian opened more than 100 new exhibitions this year and sent traveling shows around the country Among the significant exhibitions were the National Portrait Gallery's "Elvis at 21 Photographs by Alfred Wertheimer", offering viewers an intimate look at Elvis's public and private life and documenting classic American life -- from the diners to the train stops -- in 1956, and the Norman Rockwell exhibition at the Smithsonian American Art Museum which nearly doubles the museum's attendance during its six month run The National Museum of Natural History hosted the traveling exhibition "Race Are We So Different?" to explore race and racism in the United States through biological, cultural, and historical points of view and reveals the profound effects of race and racism on everyone's life It explores the history of the idea of race and how race is viewed today and discusses the role of science, particularly the findings of contemporary science that are overturning beliefs about race The Smithsonian welcomed four red pandas this year - two were born at the National Zoo and two at the Smithsonian Conservation Biology Institute The Smithsonian Channel is now available for Comcast Digital TV users Highlights from the programming include Mystery of the Hope Diamond, Women in Science, The Accordion Kings, Seizing Justice The Greensboro Four, and The Rivals

4c

(Code ) (Expenses \$ 50,901,823 including grants of \$ ) (Revenue \$ 59,365,750 )

Associate Membership - The Smithsonian Associates provides educational and cultural programs that highlight and complement the work of the Smithsonian Institution through a wide variety of formats including performances, lectures, courses, workshops and special events on the National Mall and across the country During its more than 40 year history, The Smithsonian Associates has become the nation's largest museum-based continuing education program Each year The Smithsonian Associates creates and presents nearly 1,000 educational and cultural events www.SmithsonianAssociates.org

4d

Other program services (Describe in Schedule O )

























(Expenses \$ including grants of \$ ) (Revenue \$ )

4e

Total program service expenses

\$ 800,950,568

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A 	1 Yes	
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instruction)? 	2 Yes	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I 	3	No
4 <b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II 	4 Yes	
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III 	5	No
6 Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I 	6	No
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? If "Yes," complete Schedule D, Part II 	7	No
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III 	8 Yes	
9 Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV 	9	No
10 Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? If "Yes," complete Schedule D, Part V 	10 Yes	
11 If the organization's answer to any of the following questions is 'Yes,' then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI. 	11a Yes	
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII. 	11b Yes	
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII. 	11c	No
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX. 	11d	No
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X. 	11e	No
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X. 	11f Yes	
12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI, XII, and XIII 	12a Yes	
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered 'No' to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional 	12b	No
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13	No
14a Did the organization maintain an office, employees, or agents outside of the United States? . . . .	14a Yes	
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the United States? If "Yes," complete Schedule F, Parts I and IV 	14b Yes	
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the U S ? If "Yes," complete Schedule F, Parts II and IV 	15 Yes	
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the U S ? If "Yes," complete Schedule F, Parts III and IV 	16 Yes	
17 Did the organization report a total of more than \$15,000, of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions) 	17 Yes	
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II 	18 Yes	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III 	19	No
20a Did the organization operate one or more hospitals? If "Yes," complete Schedule H . . . .	20a	No
b If "Yes" to line 20a, did the organization attach its audited financial statement to this return? <b>Note.</b> Some Form 990 filers that operate one or more hospitals must attach audited financial statements (see instructions)	20b	

Part IV

Checklist of Required Schedules (continued)

21	Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i> . . . . .	21	Yes	
22	Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i> . . . . .	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i> . . . . .	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b-24d and complete Schedule K. If "No," go to line 25</i> . . . . .	24a	Yes	
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? . . . .	24b		No
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? . . . . .	24c		No
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? . . . .	24d		No
25a	<b>Section 501(c)(3) and 501(c)(4) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i> . . . . .	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i> . . . . .	25b		No
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i> . . . . .	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? <i>If "Yes," complete Schedule L, Part III</i> . . . . .	27		No
28	Was the organization a party to a business transaction with one of the following parties? (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> . . . . .	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> . . . . .	28b		No
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i> . . . . .	28c		No
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i> . . . . .	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i> . . . . .	30	Yes	
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i> . . . . .	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i> . . . . .	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i> . . . . .	33		No
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1</i> . . . . .	34	Yes	
35	Is any related organization a controlled entity within the meaning of section 512(b)(13)? . . . . .	35		No
a	Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i> . . . . .			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
36	<b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i> . . . . .	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i> . . . . .	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O . . . . .	38	Yes	

<div>Part V</div> <div>Statements Regarding Other IRS Filings and Tax Compliance</div>			
Check if Schedule O contains a response to any question in this Part V <input type="checkbox"/>			
		Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable.	1a	3,919
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable.	1b	0
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c	Yes
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements filed for the calendar year ending with or within the year covered by this return.	2a	6,477
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?	2b	Yes
Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions).			
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a	Yes
b	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O.	3b	Yes
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a	Yes
b	If "Yes," enter the name of the foreign country: PM See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a	No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b	No
c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?	5c	
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible?	6a	No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b	
7 Organizations that may receive deductible contributions under section 170(c).			
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a	Yes
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b	Yes
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c	No
d	If "Yes," indicate the number of Forms 8282 filed during the year.	7d	
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e	No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f	No
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g	
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h	
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	8	Yes
9 Sponsoring organizations maintaining donor advised funds.			
a	Did the organization make any taxable distributions under section 4966?	9a	
b	Did the organization make a distribution to a donor, donor advisor, or related person?	9b	
10 Section 501(c)(7) organizations. Enter			
a	Initiation fees and capital contributions included on Part VIII, line 12.	10a	
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities.	10b	
11 Section 501(c)(12) organizations. Enter			
a	Gross income from members or shareholders.	11a	
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them).	11b	
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a	
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year.	12b	
13 Section 501(c)(29) qualified nonprofit health insurance issuers.			
a	Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O.	13a	
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans.	13b	
c	Enter the amount of reserves on hand.	13c	
14a	Did the organization receive any payments for indoor tanning services during the tax year?	14a	No
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O.	14b	

Part VI

Governance, Management, and Disclosure

For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.  
Check if Schedule O contains a response to any question in this Part VI ☒

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year . . . . .		
1b	Enter the number of voting members included in line 1a, above, who are independent . . . . .		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? . . . . .	Yes	
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? . . . . .		No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? . . . . .		No
5	Did the organization become aware during the year of a significant diversion of the organization's assets? . . . . .		No
6	Does the organization have members or stockholders? . . . . .		No
7a	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body? . . . . .	Yes	
7b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons? . . . . .		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following . . . . .		
8a	The governing body? . . . . .	Yes	
8b	Each committee with authority to act on behalf of the governing body? . . . . .	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O . . . . .		No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
10a	Does the organization have local chapters, branches, or affiliates? . . . . .		No
10b	If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization? . . . . .		
11a	Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form? . . . . .	Yes	
11b	Describe in Schedule O the process, if any, used by the organization to review this Form 990 . . . . .		
12a	Does the organization have a written conflict of interest policy? If "No," go to line 13 . . . . .	Yes	
12b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts? . . . . .	Yes	
12c	Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done . . . . .	Yes	
13	Does the organization have a written whistleblower policy? . . . . .	Yes	
14	Does the organization have a written document retention and destruction policy? . . . . .	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? . . . . .		
15a	The organization's CEO, Executive Director, or top management official . . . . .	Yes	
15b	Other officers or key employees of the organization . . . . . If "Yes" to line 15a or 15b, describe the process in Schedule O (See instructions )	Yes	
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? . . . . .	Yes	
16b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements? . . . . .	Yes	

Section C. Disclosure

17	List the States with which a copy of this Form 990 is required to be filed▶
18	Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c) (3)s only) available for public inspection. Indicate how you make these available. Check all that apply. <input checked="" type="checkbox"/> Own website <input type="checkbox"/> Another's website <input checked="" type="checkbox"/> Upon request
19	Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public. See Additional Data Table.
20	State the name, physical address, and telephone number of the person who possesses the books and records of the organization ▶ Andrew J Zino 2011 Crystal Dr Arlington, VA 22202 (202) 633-7250

Check if Schedule O contains a response to any question in this Part VII ☐ ☒

**1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation, and **current** key employees Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees, if any See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

[illegible]



Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and Title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
See Additional Data Table										
1b Sub-Total . . . . .										
c Total from continuation sheets to Part VII, Section A . . . . .										
d Total (add lines 1b and 1c) . . . . .								8,501,704	0	1,212,715

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 in reportable compensation from the organization▶1,152

3 Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual* . . . . .

4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? *If "Yes," complete Schedule J for such individual* . . . . .

5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person* . . . . .

YesNo

3Yes

4Yes

5No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization

(A) Name and business address	(B) Description of services	(C) Compensation
Hensel Phelps Construction Company 4437 Brookfield Corp Drive Chantilly, VA 201511691	Construction (See Schedule O)	27,816,351
Associated Builders 4903 Baltimore Ave Hyattsville, MD 20781	Construction (See Schedule O)	12,269,481
Forrester Construction Company 12231 Parklawn Drive Rockville, MD 208521792	Construction (See Schedule O)	8,973,971
Ewing Cole Federal Reserve Bank Bldg Philadelphia, PA 19106	Architect-Eng (See Schedule O)	7,637,062
Northrop Grumman Systems Corporation Northrop Grumman Space Technology Redondo Beach, CA 90278	Systems Eng Support (See Schedule O)	6,870,337

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization ▶451

Form 990 (2010)

Part VIII

Statement of Revenue

		(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514	
Contributions, gifts, grants and other similar amounts	1a Federated campaigns . . . . . 1a	61,293				
	b Membership dues . . . . . 1b	12,211,338				
	c Fundraising events . . . . . 1c	1,308,021				
	d Related organizations . . . . . 1d					
	e Government grants (contributions) 1e	878,796,172				
	f All other contributions, gifts, grants, and similar amounts not included above 1f	147,804,525				
	g Noncash contributions included in lines 1a-1f \$	5,180,304				
	h Total. Add lines 1a-1f . . . . .	1,040,181,349				
Program Service Revenue	Business Code					
	2a Subscriptions-magazine	511120	36,716,428	36,716,428		
	b Visitors/Members/Emple	900099	32,377,880	15,685,102	16,692,778	
	c Theater Income	711110	9,615,724	9,112,817	502,907	
	d Tours/Classes	900099	9,151,926	9,142,605	9,321	
	e Traveling Exhibitions	900099	1,571,929	1,571,929		
	f All other program service revenue		887,388	887,388		
	g Total. Add lines 2a-2f . . . . .	90,321,275				
Other Revenue	3 Investment income (including dividends, interest and other similar amounts) . . . . .					
	4 Income from investment of tax-exempt bond proceeds . . .		8,110,080		8,110,080	
	5 Royalties . . . . .		9,437,573		9,437,573	
	6a Gross Rents	(i) Real	(ii) Personal			
		b Less rental expenses				
		c Rental income or (loss)				
	d Net rental income or (loss) . . . . .					
	7a Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other			
		713,844,258	969,541			
		b Less cost or other basis and sales expenses	671,487,196			
		c Gain or (loss)	42,357,062	969,541		
	d Net gain or (loss) . . . . .		43,326,603		43,326,603	
	8a Gross income from fundraising events (not including \$ 1,308,021 of contributions reported on line 1c) See Part IV, line 18 . . . . .	a				
		b Less direct expenses . . . . . b	376,792			
		c Net income or (loss) from fundraising events . . .	744,753	-367,961		-367,961
	9a Gross income from gaming activities See Part IV, line 19 . . . a					
		b Less direct expenses . . . . . b				
		c Net income or (loss) from gaming activities . . .				
	10a Gross sales of inventory, less returns and allowances . . . . . a					
b Less cost of goods sold . . . . . b		60,148,820				
c Net income or (loss) from sales of inventory . . .		23,676,726	36,472,094	35,636,115	835,979	
Miscellaneous Revenue		Business Code				
11a Magazine & Online Adve	541800	13,497,396		13,497,396		
b						
c						
d All other revenue . . . . .						
e Total. Add lines 11a-11d . . . . .		13,497,396				
12 Total revenue. See Instructions . . . . .		1,240,978,409	107,864,996	15,732,991	77,199,073	

Part IX

Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.

All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the U S See Part IV, line 21	36,904	36,904		
2	Grants and other assistance to individuals in the U S See Part IV, line 22	10,048,175	10,048,175		
3	Grants and other assistance to governments, organizations, and individuals outside the U S See Part IV, lines 15 and 16	6,033,848	6,033,848		
4	Benefits paid to or for members				
5	Compensation of current officers, directors, trustees, and key employees . . . . .	7,217,871	1,999,117	4,826,406	392,348
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) . . . . .	2,894,810	2,720,433	174,377	
7	Other salaries and wages	450,571,705	236,292,959	201,822,948	12,455,798
8	Pension plan contributions (include section 401(k) and section 403(b) employer contributions) . . . . .	55,499,399	29,740,989	24,197,653	1,560,757
9	Other employee benefits . . . . .	45,488,277	21,948,765	22,237,607	1,301,905
10	Payroll taxes . . . . .	29,858,940	14,729,807	14,291,824	837,309
a	Fees for services (non-employees) Management . . . . .				
b	Legal . . . . .	442,243	229,446	205,003	7,794
c	Accounting . . . . .	1,261,183		1,261,183	
d	Lobbying . . . . .	9,499	9,499		
e	Professional fundraising services See Part IV, line 17 . . . . .	3,854,914			3,854,914
f	Investment management fees . . . . .	1,124,166		1,124,166	
g	Other . . . . .	77,485,505	55,579,642	19,456,997	2,448,866
12	Advertising and promotion . . . . .	5,993,294	5,370,531	162,503	460,260
13	Office expenses . . . . .	44,858,289	22,973,707	21,183,530	701,052
14	Information technology . . . . .	37,386,641	6,141,321	30,775,387	469,933
15	Royalties . . . . .	501,787	501,787		
16	Occupancy . . . . .	113,650,903	14,685,684	98,331,791	633,428
17	Travel . . . . .	12,656,325	10,409,987	1,733,055	513,283
18	Payments of travel or entertainment expenses for any federal, state, or local public officials . . . . .				
19	Conferences, conventions, and meetings . . . . .	3,857,512	2,001,421	1,798,026	58,065
20	Interest . . . . .	1,257,899	1,257,899		
21	Payments to affiliates . . . . .				
22	Depreciation, depletion, and amortization . . . . .	106,713,744	4,472,671	102,241,073	
23	Insurance . . . . .	913,602	379,641	533,961	
24	Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24f If line 24f amount exceeds 10% of line 25, column (A) amount, list line 24f expenses on Schedule O )				
a	Program Production	75,674,014	74,038,546	1,476,691	158,777
b	Collections, Equipment,	6,112,774	5,937,946	93,596	81,232
c	Cost Allocation	0	273,409,843	-276,713,043	3,303,200
d					
e					
f	All other expenses				
25	Total functional expenses. Add lines 1 through 24f	1,101,404,223	800,950,568	271,214,734	29,238,921
26	Joint costs. Check here <input checked="" type="checkbox"/> if following SOP 98-2 (ASC 958-720) Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation	10,574,172	6,394,234	0	4,179,938

Part X

Balance Sheet

					(A)		(B)
					Beginning of year		End of year
Assets	1	Cash—non-interest-bearing . . . . .			438,938,013	1	505,378,870
	2	Savings and temporary cash investments . . . . .			63,168,351	2	11,641,397
	3	Pledges and grants receivable, net . . . . .			176,091,058	3	181,543,964
	4	Accounts receivable, net . . . . .			37,965,998	4	37,752,690
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L . . . . .				5	
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1 )), persons described in section 4958(c)(3)(B), and contributing employers, and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Schedule L . . . . .				6	
	7	Notes and loans receivable, net . . . . .				7	
	8	Inventories for sale or use . . . . .			9,953,460	8	9,376,215
	9	Prepaid expenses and deferred charges . . . . .			11,872,462	9	10,285,455
	10a	Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D	10a	3,116,437,897			
	b	Less accumulated depreciation . . . . .	10b	1,426,144,386	1,627,410,184	10c	1,690,293,511
	11	Investments—publicly traded securities . . . . .			288,217,629	11	285,117,024
	12	Investments—other securities See Part IV, line 11 . . . . .			750,295,455	12	764,529,432
	13	Investments—program-related See Part IV, line 11 . . . . .				13	
	14	Intangible assets . . . . .				14	
	15	Other assets See Part IV, line 11 . . . . .			11,648,619	15	20,604,502
16	Total assets. Add lines 1 through 15 (must equal line 34) . . . . .			3,415,561,229	16	3,516,523,060	
Liabilities	17	Accounts payable and accrued expenses . . . . .			263,204,068	17	269,201,459
	18	Grants payable . . . . .				18	
	19	Deferred revenue . . . . .			365,494,359	19	362,661,956
	20	Tax-exempt bond liabilities . . . . .			111,229,329	20	109,333,332
	21	Escrow or custodial account liability Complete Part IV of Schedule D . . . . .				21	
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L . . . . .				22	
	23	Secured mortgages and notes payable to unrelated third parties . . . . .				23	
	24	Unsecured notes and loans payable to unrelated third parties . . . . .				24	
	25	Other liabilities Complete Part X of Schedule D . . . . .				25	
	26	Total liabilities. Add lines 17 through 25 . . . . .			739,927,756	26	741,196,747
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.						
	27	Unrestricted net assets . . . . .			1,914,018,614	27	1,898,863,964
	28	Temporarily restricted net assets . . . . .			463,757,926	28	557,265,417
	29	Permanently restricted net assets . . . . .			297,856,933	29	319,196,932
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 30 through 34.						
	30	Capital stock or trust principal, or current funds . . . . .				30	
	31	Paid-in or capital surplus, or land, building or equipment fund . . . . .				31	
	32	Retained earnings, endowment, accumulated income, or other funds . . . . .				32	
	33	Total net assets or fund balances . . . . .			2,675,633,473	33	2,775,326,313
	34	Total liabilities and net assets/fund balances . . . . .			3,415,561,229	34	3,516,523,060

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response to any question in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	1,240,978,409
2	Total expenses (must equal Part IX, column (A), line 25)	2	1,101,404,223
3	Revenue less expenses Subtract line 2 from line 1	3	139,574,186
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	2,675,633,473
5	Other changes in net assets or fund balances (explain in Schedule O)	5	-39,881,346
6	Net assets or fund balances at end of year Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B))	6	2,775,326,313

Part XII Financial Statements and Reporting

Check if Schedule O contains a response to any question in this Part XII

		Yes	No
1	Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?		No
b	Were the organization's financial statements audited by an independent accountant?	Yes	
c	If "Yes," to 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	Yes	
d	If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both <input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separated basis		
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	Yes	
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	Yes	

SCHEDULE A  
(Form 990 or 990EZ)

Department of the Treasury  
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2010

Open to Public  
Inspection

Name of the organization Smithsonian Institution	Employer identification number 53-0206027
---	--

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions

The organization is not a private foundation because it is (For lines 1 through 11, check only one box )

- 1

☐

A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2

☐

A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E )
- 3

☐

A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4

☐

A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state
- 5

☐

An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II )
- 6

☐

A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7

☒

An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)** (Complete Part II )
- 8

☐

A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II )
- 9

☐

An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III )
- 10

☐

An organization organized and operated exclusively to test for public safety See**section 509(a)(4).**
- 11

☐

An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h  

a

☐

Type I

b

☐

Type II

c

☐

Type III - Functionally integrated

d

☐

Type III - Other

e

☐

By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

f

☐

If the organization received a written determination from the IRS that it is a Type I, Type II or Type III supporting organization, check this box

g

☐

Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?  

(i)

a person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the the supported organization?

(ii)

a family member of a person described in (i) above?

(iii)

a 35% controlled entity of a person described in (i) or (ii) above?

h

☐

Provide the following information about the supported organization(s)

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document?		(v) Did you notify the organization in col (i) of your support?		(vi) Is the organization in col (i) organized in the U S ?		(vii) Amount of support
			Yes	No	Yes	No	Yes	No	
Total									






Part II

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")	319,342,958	292,705,489	987,555,559	1,032,198,872	1,040,181,349	3,671,984,227
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3	319,342,958	292,705,489	987,555,559	1,032,198,872	1,040,181,349	3,671,984,227
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public Support. Subtract line 5 from line 4						3,671,984,227



Section B. Total Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
7 Amounts from line 4	319,342,958	292,705,489	987,555,559	1,032,198,872	1,040,181,349	3,671,984,227
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	26,450,773	15,896,019	13,846,365	11,222,007	17,612,715	85,027,879
9 Net income from unrelated business activities, whether or not the business is regularly carried on	879,612	291,563	910,609	-39,911	-622,908	1,418,965
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV )						
11 Total support (Add lines 7 through 10)						3,758,431,071
12 Gross receipts from related activities, etc (See instructions )					12	706,332,567
13 First Five Years If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here ▶						

Section C. Computation of Public Support Percentage		
14	Public Support Percentage for 2010 (line 6 column (f) divided by line 11 column (f))	14 97 700 %
15	Public Support Percentage for 2009 Schedule A, Part II, line 14	15 96 840 %
16a	33 1/3% support test—2010. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and <b>stop here</b> . The organization qualifies as a publicly supported organization 	
b	33 1/3% support test—2009. If the organization did not check the box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and <b>stop here</b> . The organization qualifies as a publicly supported organization 	
17a	10%-facts-and-circumstances test—2010. If the organization did not check a box on line 13, 16a, or 16b and line 14 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and <b>stop here</b> . Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization 	
b	10%-facts-and-circumstances test—2009. If the organization did not check a box on line 13, 16a, 16b, or 17a and line 15 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and <b>stop here</b> . Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization 	
18	Private Foundation If the organization did not check a box on line 13, 16a, 16b, 17a or 17b, check this box and see instructions 	




Part IIIPart III

Support Schedule for Organizations Described in Section 509(a)(2)  
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support						
Calendar year (or fiscal year beginning in) 	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public Support (Subtract line 7c from line 6 )						

Section B. Total Support						
Calendar year (or fiscal year beginning in) 	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV )						
13 Total support (Add lines 9, 10c, 11 and 12 )						
14 First Five Years If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and <b>stop here</b> 						

Section C. Computation of Public Support Percentage		
15 Public Support Percentage for 2010 (line 8 column (f) divided by line 13 column (f))	15	
16 Public support percentage from 2009 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage		
17 Investment income percentage for 2010 (line 10c column (f) divided by line 13 column (f))	17	
18 Investment income percentage from 2009 Schedule A, Part III, line 17	18	
19a 33 1/3% support tests—2010. If the organization did not check the box on line 14, and line 15 is more than 33 1/3% and line 17 is not more than 33 1/3%, check this box and <b>stop here</b> . The organization qualifies as a publicly supported organization 		
b 33 1/3% support tests—2009. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and <b>stop here</b> . The organization qualifies as a publicly supported organization 		
20 Private Foundation If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions 		



Part IV

**Supplemental Information.** Supplemental Information. Complete this part to provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

Additional Data

Software ID:  
Software Version:  
EIN: 53-0206027  
Name: Smithsonian Institution

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099- MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
Honorable John Roberts Jr Regent	50	X						0	0	0
Honorable Joseph Biden Regent	50	X						0	0	0
Honorable Xavier Becerra Regent	2 00	X						0	0	0
Honorable Thad Cochran Regent	2 00	X						0	0	0
Honorable Chris Dodd Former Regent	2 00	X						0	0	0
Honorable Sam Johnson Regent	2 00	X						0	0	0
Honorable Steven LaTourette Regent	2 00	X						0	0	0
Honorable Patrick Leahy Regent	2 00	X						0	0	0
Honorable Doris Matsui Former Regent	2 00	X						0	0	0
Honorable Jack Reed Regent	2 00	X						0	0	0
Mr Steve Case Regent	2 00	X						0	0	0
Dr France Cordova Regent	2 00	X						0	0	0
Dr Philip Frost Former Regent	2 00	X						0	0	0
Dr Shirley Ann Jackson Regent	2 00	X						0	0	0
Mr Robert Kogod Regent	2 00	X						0	0	0
Mr John McCarter Regent	2 00	X						0	0	0
Mr David Rubenstein Regent	2 00	X						0	0	0
Mr Roger Sant Regent	2 00	X						0	0	0
Mr Alan G Spoon Regent	4 00	X						0	0	0
Ms Patricia Stonesifer Regent	6 00	X						0	0	0
G Wayne Clough Secretary	50 00			X				517,318	0	37,496
John Lapiana Chief of Staff-Regents	50 00			X				178,020	0	29,902
Judith Leonard General Counsel	50 00			X				176,546	0	31,739
Alice Maroni Chief Fin Officer (10/1-11/18/10)	50 00			X				289,287	0	51,320
Anne Ryan Inspector General	50 00			X				167,364	0	40,535

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099- MISC )	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
Bruce Dauer Former CFO/Dir-OPMB (see Schedule O)	50 00			X				246,691	0	38,364
Albert Horvath DOH 92611 Under Secretary - Fin & Adm/CFO	50 00			X				0	0	0
Charles R Alcock Dir-Smith Astrophysical Observatory	50 00				X			156,386	0	20,222
Virginia Clark Director-Advancement & Philanthropic Giving	50 00				X			323,723	0	51,431
Richard Kurin Under Sec-History, Art, and Culture	50 00				X			298,739	0	49,112
Evelyn Lieberman Director-Comm & External Affairs	50 00				X			259,758	0	36,310
Era Marshall Dir - Equal Emplmt & Minority Affrs	50 00				X			164,807	0	13,090
Alison McNally Under Secretary - Finance & Admin	50 00				X			187,886	0	5,071
Thomas Ott President - Smithsonian Enterprises	50 00				X			425,274	0	51,516
Sudeep Anand Treasurer	50 00				X			222,499	0	41,789
Gregory Bokman CFO - Smithsonian Enterprises	50 00				X			238,688	0	45,856
Amy Chen Chief Investment Officer	50 00				X			285,895	0	37,812
James Douglas Director - Office of Human Resources	50 00				X			215,467	0	34,171
Mary Payne Director - Office of Govt Relations	50 00				X			219,623	0	43,404
Ann Speyer Chief Information Officer	50 00				X			246,306	0	55,235
Andrew Zino Comptroller	50 00				X			161,915	0	22,777
Dorothy Leffler Dir - Office of Contracting	50 00				X			138,037	0	14,545
Eva Pell Under Secretary - Science	50 00				X			347,391	0	36,280
Claudine Brown Asst Sec - Education and Access	50 00				X			165,986	0	17,589
Sallie Morse Acting Dir OPMB (11/19/10-3/26/11)	50 00				X			189,630	0	20,785
James Bruce Kendall Dir - Facilities, Engineering, & Ops	50 00				X			180,502	0	25,169
George VanDyke Dir - Information Technology Ops	50 00				X			172,946	0	41,003
Peter Gibbons Dir Retail - Smithsonian Enterprises	50 00					X		390,075	0	51,516
John Dailey Director - Natl Air and Space Museum	50 00					X		286,901	0	36,310
Dennis Kelly Director - National Zoological Park	50 00					X		317,290	0	44,575

**Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
Richard Koshalek Director-Hirshhorn Museum & Garden	50 00					X		313,417	0	51,431
Christian Samper Dir - Natl Museum Natural History	50 00					X		305,534	0	51,431
Marsha Shaines Former Acting General Counsel	50 00						X	171,054	0	6,436
Scott Miller Former Acting Under Sec - Science	50 00						X	153,590	0	24,858
Robert Fraga Director - Office of Contracting	50 00						X	153,401	0	15,169
Clair Gill Former Acting Director - OFEO	50 00						X	214,907	0	32,121
Ira Rubinoff Former Acting Under Sec - Science	50 00						X	156,888	0	20,890

SCHEDULE C  
(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ **Complete if the organization is described below.**  
▶ **Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.**

OMB No 1545-0047

2010

Open to Public Inspection

**If the organization answered “Yes,” to Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then**

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

**If the organization answered “Yes,” to Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then**

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

**If the organization answered “Yes,” to Form 990, Part IV, Line 5 (Proxy Tax) or Form 990-EZ, Part V, line 35a (Proxy Tax), then**

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization Smithsonian Institution	Employer identification number 53-0206027
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**Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.**

1	Provide a description of the organization’s direct and indirect political campaign activities in Part IV	
2	Political expenditures	▶ \$ 0
3	Volunteer hours	

**Part I-B Complete if the organization is exempt under section 501(c)(3).**

1	Enter the amount of any excise tax incurred by the organization under section 4955	▶ \$ 0
2	Enter the amount of any excise tax incurred by organization managers under section 4955	▶ \$ 0
3	If the organization incurred a section 4955 tax, did it file Form 4720 for this year?	<input type="checkbox"/> Yes <input type="checkbox"/> No
4a	Was a correction made?	<input type="checkbox"/> Yes <input type="checkbox"/> No
b	If “Yes,” describe in Part IV	

**Part I-C Complete if the organization is exempt under section 501(c) except section 501(c)(3).**

1	Enter the amount directly expended by the filing organization for section 527 exempt function activities	▶ \$
2	Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt funtion activities	▶ \$
3	Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b	▶ \$
4	Did the filing organization file <b>Form 1120-POL</b> for this year?	<input type="checkbox"/> Yes <input type="checkbox"/> No
5	Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization’s funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV	

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-

Part II-A

Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

A

Check

☐

if the filing organization belongs to an affiliated group

B

Check

☐

if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing Organization's Totals	(b) Affiliated Group Totals												
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)															
b Total lobbying expenditures to influence a legislative body (direct lobbying)															
c Total lobbying expenditures (add lines 1a and 1b)															
d Other exempt purpose expenditures															
e Total exempt purpose expenditures (add lines 1c and 1d)															
f Lobbying nontaxable amount Enter the amount from the following table in both columns															
<table><thead><tr><th>If the amount on line 1e, column (a) or (b) is:</th><th>The lobbying nontaxable amount is:</th></tr></thead><tbody><tr><td>Not over \$500,000</td><td>20% of the amount on line 1e</td></tr><tr><td>Over \$500,000 but not over \$1,000,000</td><td>\$100,000 plus 15% of the excess over \$500,000</td></tr><tr><td>Over \$1,000,000 but not over \$1,500,000</td><td>\$175,000 plus 10% of the excess over \$1,000,000</td></tr><tr><td>Over \$1,500,000 but not over \$17,000,000</td><td>\$225,000 plus 5% of the excess over \$1,500,000</td></tr><tr><td>Over \$17,000,000</td><td>\$1,000,000</td></tr></tbody></table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000	Over \$17,000,000	\$1,000,000		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000														
Over \$17,000,000	\$1,000,000														
g Grassroots nontaxable amount (enter 25% of line 1f)															
h Subtract line 1g from line 1a If zero or less, enter -0-															
i Subtract line 1f from line 1c If zero or less, enter -0-															
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?		<input type="checkbox"/> Yes <input type="checkbox"/> No													

4-Year Averaging Period Under Section 501(h)  
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) Total
2a Lobbying non-taxable amount					
b Lobbying ceiling amount (150% of line 2a, column(e))					
c Total lobbying expenditures					
d Grassroots non-taxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B

Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

		(a)		(b)
		Yes	No	Amount
<b>1</b>	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
	<b>a</b> Volunteers?		No	
	<b>b</b> Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?	Yes		
	<b>c</b> Media advertisements?		No	
	<b>d</b> Mailings to members, legislators, or the public?		No	
	<b>e</b> Publications, or published or broadcast statements?		No	
	<b>f</b> Grants to other organizations for lobbying purposes?		No	
	<b>g</b> Direct contact with legislators, their staffs, government officials, or a legislative body?	Yes		
	<b>h</b> Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?		No	
	<b>i</b> Other activities? If "Yes," describe in Part IV		No	
<b>j</b>	Total lines 1c through 1i			9,499
<b>2a</b>	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		No	
<b>b</b>	If "Yes," enter the amount of any tax incurred under section 4912			
<b>c</b>	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
<b>d</b>	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

		Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1	
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3	Did the organization agree to carryover lobbying and political expenditures from the prior year?	3	

Part III-B

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, lines 1 and 2 are answered "No" OR if Part III-A, line 3 is answered "Yes".

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) non-deductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).	2a	
a	Current year		
b	Carryover from last year		
c	Total		
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV

Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, and Part II-B, line 1i. Also, complete this part for any additional information.

Identifier	Return Reference	Explanation
Explanation of Other Lobbying Activities	Part II-B, Line 1i	The Smithsonian Institution solicited support for capital improvements and educational programs for the Cooper-Hewitt National Design Museum in New York City from New York City and the State of New York. Smithsonian staff met with public officials on a limited number of occasions, and the value of staff time and direct expenses spent in connection with these meetings was \$9,499.

SCHEDULE D

(Form 990)

Department of the Treasury  
Internal Revenue Service

Supplemental Financial Statements

▶ **Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.**  
▶ **Attach to Form 990. ▶ See separate instructions.**

OMB No 1545-0047

2010

Open to Public  
Inspection

**Name of the organization**  
Smithsonian Institution

**Employer identification number**  
53-0206027

Part I

Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year	
2	Aggregate contributions to (during year)	
3	Aggregate grants from (during year)	
4	Aggregate value at end of year	
5	Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? <div><input type="checkbox"/> Yes <input type="checkbox"/> No</div>	
6	Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? <div><input type="checkbox"/> Yes <input type="checkbox"/> No</div>	

Part II

Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1

Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or pleasure)

☐ Preservation of an historically importantly land area

☐ Protection of natural habitat

☐ Preservation of a certified historic structure

☐ Preservation of open space

2

Complete lines 2a–2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Year
a	Total number of conservation easements
b	Total acreage restricted by conservation easements
c	Number of conservation easements on a certified historic structure included in (a)
d	Number of conservation easements included in (c) acquired after 8/17/06

3

Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the taxable year ▶ \_\_\_\_\_

4

Number of states where property subject to conservation easement is located ▶ \_\_\_\_\_

5

Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

☐ Yes ☐ No

6

Staff and volunteer hours devoted to monitoring, inspecting and enforcing conservation easements during the year ▶ \_\_\_\_\_

7

Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ \_\_\_\_\_

8

Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)?

☐ Yes ☐ No

9

In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a

If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b

If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1

▶ \$ \_\_\_\_\_

(ii) Assets included in Form 990, Part X

▶ \$ \_\_\_\_\_

2

If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items

a

Revenues included in Form 990, Part VIII, line 1

▶ \$ \_\_\_\_\_

b

Assets included in Form 990, Part X

▶ \$ \_\_\_\_\_



**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** *(continued)*

3

Using the organization's accession and other records, check any of the following that are a significant use of its collection items (check all that apply)

a

☒ Public exhibition

b

☒ Scholarly research

c

☒ Preservation for future generations

d

☒ Loan or exchange programs

e

☐ Other

4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☒ Yes

☐ No

**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIV and complete the following table

	Amount
1c	
1d	
1e	
1f	

c

Beginning balance

d

Additions during the year

e

Distributions during the year

f

Ending balance

2a

Did the organization include an amount on Form 990, Part X, line 21?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIV

**Part V Endowment Funds.** Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a)Current Year	(b)Prior Year	(c)Two Years Back	(d)Three Years Back	(e)Four Years Back
1a	Beginning of year balance . . . . .	990,868,000	883,509,000	906,268,000	
b	Contributions . . . . .	23,507,002	56,665,000	26,942,000	
c	Investment earnings or losses . . . . .	6,268,193	101,203,000	-405,000	
d	Grants or scholarships . . . . .				
e	Other expenditures for facilities and programs . . . . .	47,426,653	49,782,000	48,536,000	
f	Administrative expenses . . . . .	1,937,499	727,000	760,000	
g	End of year balance . . . . .	971,279,043	990,868,000	883,509,000	

2

Provide the estimated percentage of the year end balance held as

a

Board designated or quasi-endowment ▶ 51 000 %

b

Permanent endowment ▶ 29 000 %

c

Term endowment ▶ 20 000 %

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by

(i)

unrelated organizations . . . . .

3a(i)

☐ Yes

☐ No

(ii)

related organizations . . . . .

3a(ii)

☐ Yes

☐ No

b

If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

3b

☐ Yes

☐ No

4

Describe in Part XIV the intended uses of the organization's endowment funds

**Part VI Investments—Land, Buildings, and Equipment.** See Form 990, Part X, line 10.

Description of investment	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land . . . . .		12,582,149		12,582,149
b Buildings . . . . .		2,253,123,570	1,205,607,017	1,047,516,553
c Leasehold improvements . . . . .		114,649,336	34,285,042	80,364,294
d Equipment . . . . .		260,423,683	186,252,327	74,171,356
e Other . . . . .		475,659,159		475,659,159
Total. Add lines 1a-1e (Column (d) should equal Form 990, Part X, column (B), line 10(c).) ▶				1,690,293,511



Part XIReconciliation of Change in Net Assets from Form 990 to Financial Statements			
1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	1,240,978,409
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	1,101,404,223
3	Excess or (deficit) for the year Subtract line 2 from line 1	3	139,574,186
4	Net unrealized gains (losses) on investments	4	-43,713,516
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	3,832,170
9	Total adjustments (net) Add lines 4 - 8	9	-39,881,346
10	Excess or (deficit) for the year per financial statements Combine lines 3 and 9	10	99,692,840

Part XIIReconciliation of Revenue per Audited Financial Statements With Revenue per Return			
1	Total revenue, gains, and other support per audited financial statements . . . . .	1	1,232,047,370
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12		
a	Net unrealized gains on investments . . . . .	2a	-43,713,516
b	Donated services and use of facilities . . . . .	2b	7,652,994
c	Recoveries of prior year grants . . . . .	2c	
d	Other (Describe in Part XIV) . . . . .	2d	3,832,170
e	Add lines 2a through 2d . . . . .	2e	-32,228,352
3	Subtract line 2e from line 1 . . . . .	3	1,264,275,722
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1		
a	Investment expenses not included on Form 990, Part VIII, line 7b . . . . .	4a	1,124,166
b	Other (Describe in Part XIV) . . . . .	4b	-24,421,479
c	Add lines 4a and 4b . . . . .	4c	-23,297,313
5	Total Revenue Add lines 3 and 4c. (This should equal Form 990, Part I, line 12 ) . . . . .	5	1,240,978,409

Part XIIIReconciliation of Expenses per Audited Financial Statements With Expenses per Return			
1	Total expenses and losses per audited financial statements . . . . .	1	1,132,354,530
2	Amounts included on line 1 but not on Form 990, Part IX, line 25		
a	Donated services and use of facilities . . . . .	2a	7,652,994
b	Prior year adjustments . . . . .	2b	
c	Other losses . . . . .	2c	
d	Other (Describe in Part XIV) . . . . .	2d	24,421,479
e	Add lines 2a through 2d . . . . .	2e	32,074,473
3	Subtract line 2e from line 1 . . . . .	3	1,100,280,057
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b . . . . .	4a	1,124,166
b	Other (Describe in Part XIV) . . . . .	4b	
c	Add lines 4a and 4b . . . . .	4c	1,124,166
5	Total expenses Add lines 3 and 4c. (This should equal Form 990, Part I, line 18 ) . . . . .	5	1,101,404,223

Part XIVSupplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information.

Identifier	Return Reference	Explanation
	Part III, Line 1a	In conformity with the practice generally followed by museums, no value is assigned to the collections in the statement of financial position. Purchases of collection items are recognized as reductions in unrestricted net assets in the period of acquisition. Proceeds from deaccessions or insurance recoveries for lost or destroyed collection items are recognized as increases in the appropriate net asset class and are generally designated for future collection acquisitions.
	Part III, Line 4	The acquisition, preservation, management, and study of collections are fundamental to the Smithsonian's mission to increase and diffuse knowledge and have been the foundation upon which its reputation rests. Smithsonian collections are a national and global resource accessed each year by millions of visitors and researchers who use traditional methods and cutting-edge technologies to explore subjects from aeronautics to zoology. Through its collections, the Smithsonian presents the astonishing record of American and international artistic, historical, cultural, and scientific achievement, with a scope and depth no other institution in the world can match. Assembled over more than 160 years, the collections are central to the core activities and to the vitality and significance of the Smithsonian. Smithsonian collections contribute to population recovery of endangered species, advances in reproductive biology, genome resource banking, medical research, forensic analysis, bio-security, and conservation policy worldwide.
Description of Intended Use of Endowment Funds	Part V, Line 4	The Endowment includes approximately 500 individual endowment funds. The Endowment provides stable financial support for scholarship, research activities, other programs, acquisitions for collections and other Institutional activities. It plays a critical role in enabling the Institution to achieve its mission - "the increase and diffusion of knowledge." The Endowment includes both Donor-restricted endowment funds and funds designated by the Board of Regents to function as endowments and are consistent with the Institution's mission. Classification and reporting of net assets associated with the Endowment reflect Donor-imposed restrictions.
Description of Uncertain Tax Positions Under FIN 48	Part X	Line 2 The Smithsonian recognizes the effect of income tax positions only if those positions are more likely than not of being sustained. The Smithsonian does not believe its financial statements include any uncertain tax positions.
Part XI, Line 8 - Other Adjustments		Change in FONZ net assets -927,416 Deferred gain on building 3,908,586 Change in minority interest-SI Network 851,000
Part XII, Line 2d - Other Adjustments		Deferred gain on building 3,908,586 Change in FONZ net assets -927,416 Change in minority interest - SI Networks 851,000
Part XII, Line 4b - Other Adjustments		Direct expenses - fundraising and cost of goods sold - 24,421,479
Part XIII, Line 2d - Other Adjustments		Direct expenses - fundraising and cost of goods sold 24,421,479

▶ **Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.**  
▶ **Attach to Form 990. ▶ See separate instructions.**

# 2010

## Open to Public Inspection

**Employer identification number**  
53-0206027

**1 For grantmakers.** Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☒ **Yes** ☐ **No**

**2 For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of grant funds outside the United States

**3** Activities per Region (Use Part V if additional space is needed )

**For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.** Cat No 50082W **Schedule F (Form 990) 2010**

Part II

Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Check this box if no one recipient received more than \$5,000 . . . . . ☐

Use Part V if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
			East Asia and the Pacific	Academic appointment stipend	30,289	EFT			
			South America	Academic appointment stipend	13,150	EFT			
			East Asia and the Pacific -	Academic appointment stipend	20,117	EFT			
			South Asia	Academic appointment stipend	36,068	EFT			
			South America	Academic appointment stipend	35,973	EFT			

2

Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . . ☐

3

Enter total number of other organizations or entities . . . . . ☐

Part III

Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 16.  
Use Part V if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
Academic Appointment Stipends	Central America and the Caribbean	125	1,321,853	Direct Deposits & Checks			
Academic Appointment Stipends	East Asia and the Pacific	48	943,225	Direct Deposits & Checks			
Academic Appointment Stipends	Europe	117	2,232,221	Direct Deposits & Checks			
Academic Appointment Stipends	Middle East and North Africa	6	218,897	Direct Deposits & Checks			
Academic Appointment Stipends	North America	23	292,505	Direct Deposits & Checks			
Academic Appointment Stipends	Russia and the Newly Independent States	9	102,352	Direct Deposits & Checks			
Academic Appointment Stipends	South America	60	671,709	Direct Deposits & Checks			
Academic Appointment Stipends	South Asia	8	106,320	Direct Deposits & Checks			
Academic Appointment Stipends	Sub-Saharan Africa	4	9,169	Direct Deposits & Checks			

**Part IV Foreign Forms**

- 1

Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926 (see instructions for Form 926)*

☒ Yes ☐ No
- 2

Did the organization have an interest in a foreign trust during the tax year? *If " Yes," the organization may be required to file Form 3520 and/or Form 3520-A. (see instructions for Forms 3520 and 3520-A)*

☐ Yes ☒ No
- 3

Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with respect to Certain Foreign Corporations. (see instructions for Form 5471)*

☒ Yes ☐ No
- 4

Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see instructions for Form 8621)*

☒ Yes ☐ No
- 5

Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with respect to Certain Foreign Partnerships. (see instructions for Form 8865)*

☒ Yes ☐ No
- 6

Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report (see instructions for Form 5713).*

☒ Yes ☐ No

**Part V Supplemental Information**

Complete this part to provide the information (see instructions) required in Part I, line 2, and any additional information.

Identifier	Return Reference	Explanation
Procedure for Monitoring Grants Outside the U S		<p>Schedule F, Part I, Line 2 The Office of Fellow ships has central management and administrative responsibility for the Smithsonian programs of research fellow ships and other academic appointments for undergraduate, graduate students, postdoctoral and senior scholars The Smithsonian Institution Fellow ship Program is a competitive fellow ship program for graduate and postdoctoral fellow s, who apply to conduct research at the Institution with research staff serving as advisors The review process is made up of disciplinary committees comprised of the Institution's staff who evaluate the canadates and then select the fellow s There are other competitive and non-competitive fellow ship, visiting scholar and student appointees selected through the Smithsonian units that go through a variety of other selection processes before an award is made An official letter /agreement is provided to each award recipient identifying the award title, dates of tenure, stipend allow ances, and required responsibilities for holding this position All recipients either will identify a financial institution for receipt of their monetary award or they will receive US Treasury checks Depending on the tenure of the appointment, payments can range from one lump sum, bw weekly payments or monthly payments Most fellow ship appointments are awarded for one to two years On occasion, we have visiting scholars or fellow s who are conducting research in another country Payments are usually submitted as stated above, however, on occasion, there may be one or two who request that their payments be sent to the country of their research At the end of tenure, a final report of their research accomplishments is provided for our records Smithsonian research staff who serve as advisors to these fellow s, students and scholars are usually in the field during their tenure The individual Smithsonian research centers monitor the progress of their fellow s especially those in other countries, and the administrative management of funds is managed through the Institution's central administration for accountability Investments in particular regions include investments in foreign partnerships and foreign corporations determined by its legal domicile The value reported represents the fair market value of the investment at the end of the fiscal year</p>



Identifier	Return Reference	Explanation
Other Information	Schedule F, Part V	Per Form 990 instructions, stipends are reported on Schedule F if the person receiving the stipend is living or residing outside the United States at the time the stipend is paid or distributed. However, many of these stipend recipients later travelled to the Smithsonian in the U.S. to perform their research.

Schedule F (Form 990) 2010

Additional Data

Software ID:  
Software Version:  
EIN: 53-0206027  
Name: Smithsonian Institution

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
Central America and the Caribbean	0	0	Academic appointment stipends to recipients located in the region		1,321,853
Central America and the Caribbean	7	737	Program services	Research facilities	19,843,770
East Asia & Pacific	0	0	Academic appointment stipends to recipients located in the region		993,631
Europe	0	0	Academic appointment stipends to recipients located in the region		2,232,221
Middle East & North Africa	0	0	Academic appointment stipends to recipients located in the region		218,897
North America	0	0	Academic appointment stipends to recipients located in the region		292,505
Russia & Newly Independent States	0	0	Academic appointment stipends to recipients located in the region		102,352
South America	0	0	Academic appointment stipends to recipients located in the region		720,832
South Asia	0	0	Academic appointment stipends to recipients located in the region		142,388
Sub-Saharan Africa	0	0	Academic appointment stipends to recipients located in the region		9,169
Europe	0	0	Research		274,082
Sub-Saharan Africa	0	0	Research		105,517
South America	0	0	Research		342,298
East Asia & Pacific	0	0	Research		34,463
East Asia & Pacific	0	0	Investments		672,909
Europe (Including Iceland & Greenland)	0	0	Investments		27,253,625
North America	0	0	Investments		9,151,849
South America	0	0	Investments	/	267,288
Central America and the Caribbean -	0	0	Investments		456,348,272



Part II Fundraising Events.

Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 on Form 990-EZ, line 6a. List events with gross receipts greater than \$5,000.

Revenue			(a) Event #1	(b) Event #2	(c) Other Events	(d) Total Events	
			<u>NDA Dinner &amp; Program</u>	<u>AAA Benefit</u>	<u>7</u>	(Add col (a) through col (c))	
			(event type)	(event type)	(total number)		
1	Gross receipts	. . .	921,232	339,385	424,196	1,684,813	
2	Less Charitable contributions	. . .	787,462	279,065	241,494	1,308,021	
3	Gross income (line 1 minus line 2)	. . .	133,770	60,320	182,702	376,792	
Direct Expenses	4	Cash prizes	. . .				
	5	Non-cash prizes	. .				
	6	Rent/facility costs	. .		10,129	10,129	
	7	Food and beverages	. .	317,406	80,185	81,177	478,768
	8	Entertainment	. . .	69,225		8,500	77,725
	9	Other direct expenses	.	113,227	36,043	28,861	178,131
	10	Direct expense summary Add lines 4 through 9 in column (d). . . . . ▶					744,753
	11	Net income summary Combine lines 3 and 10 in column (d). . . . . ▶					-367,961

Part III Gaming.

Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming
					(Add col (a) through col (c))
Revenue	1	Gross revenue . . . . .			
	2	Cash prizes . . . . .			
Direct Expenses	3	Non-cash prizes . . . . .			
	4	Rent/facility costs . . . . .			
	5	Other direct expenses . . . . .			
	6	Volunteer labor . . . . . <input type="checkbox"/> Yes _____% <input type="checkbox"/> No	<input type="checkbox"/> Yes _____% <input type="checkbox"/> No	<input type="checkbox"/> Yes _____% <input type="checkbox"/> No	
	7	Direct expense summary Add lines 2 through 5 in column (d) . . . . . ▶			
	8	Net gaming income summary Combine lines 1 and 7 in column (d) . . . . . ▶			

9 Enter the state(s) in which the organization operates gaming activities \_\_\_\_\_

a Is the organization licensed to operate gaming activities in each of these states? . . . . . ☐ Yes ☐ No

b If "No," Explain \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? . . . . . ☐ Yes ☐ No

b If "Yes," Explain \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

11

Does the organization operate gaming activities with nonmembers?

☐ Yes ☐ No

12

Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?

☐ Yes ☐ No

13

Indicate the percentage of gaming activity operated in

a	The organization's facility	13a	
b	An outside facility	13b	

14

Provide the name and address of the person who prepares the organization's gaming/special events books and records

Name

Address

15a

Does the organization have a contract with a third party from whom the organization receives gaming revenue?

☐ Yes ☐ No

b

If "Yes," enter the amount of gaming revenue received by the organization \$ and the amount of gaming revenue retained by the third party \$

c

If "Yes," enter name and address

Name

Address

16

Gaming manager information

Name

Gaming manager compensation

\$

Description of services provided

☐ Director/officer

☐ Employee

☐ Independent contractor

17

Mandatory distributions

a

Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?

☐ Yes ☐ No

b

Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year \$

Part IV

Complete this part to provide additional information for responses to question on Schedule G (see instructions.)

Identifier	ReturnReference	Explanation
Explanation of Fundraising Payments	Schedule G, Part I, Line 2b, Column (v)	As the Smithsonian has multiple fundraisers, some of whom work on the same campaign, it is not possible to accurately report the exact amount of revenue associated with each fundraiser. Please note that none of the fundraisers had control of any contributions, all were paid under the terms of negotiated contracts.

Schedule I  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Name of the organization  
Smithsonian Institution

Grants and Other Assistance to Organizations,  
Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.  
▶ Attach to Form 990

OMB No 1545-0047

2010

Open to Public  
Inspection

Employer identification number  
53-0206027

Part I General Information on Grants and Assistance

1

Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . .

☒ Yes ☐ No

2

Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21 for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Part II can be duplicated if additional space is needed. . . . . ▶ ☐

1 (a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1) University of Miami1300 Memorial Drive Coral Gables, FL 33124	59-0624458	501(c)(3)	18,385				Academic Appointment Stipend
(2) Miami University7 Roundebush Hall Oxford, OH 45056	31-6402089	501(c)(3)	12,000				Academic Appointment Stipend

2

Enter total number of section 501(c)(3) and government organizations . . . . .

2

3

Enter total number of other organizations . . . . .

Part III

Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 22.  
Use Schedule I-1 (Form 990) if additional space is needed.

(a)Type of grant or assistance	(b)Number of recipients	(c)Amount of cash grant	(d)Amount of non-cash assistance	(e)Method of valuation (book, FMV, appraisal, other)	(f)Description of non-cash assistance
(1) Academic Appointment Stipends	928	10,048,175			

Part IV

Supplemental Information. Complete this part to provide the information required in Part I, line 2, and any other additional information.

Identifier	Return Reference	Explanation
Procedure for Monitoring Grants in the U S	Part I, Line 2	Schedule I, Part I, Line 2 The Office of Fellowships has central management and administrative responsibility for the Smithsonian programs of research fellowships and other academic appointments for undergraduate, graduate students, postdoctoral and senior scholars The Smithsonian Institution Fellowship Program is a competitive fellowship program for graduate and postdoctoral fellows, who apply to conduct research at the Institution with research staff serving as advisors The review process is made up of disciplinary committees comprised of the Institution's research staff who evaluate the candidates and then select the fellows There are other competitive and non-competitive fellowship, visiting scholar and student appointees selected through the Smithsonian units that go through a variety of other selections prosesesses before an award is made An official letter/agreement is provided to each award recipient identifying the award title, dates of tenure, stipend allowances, and required responsibilities for holding this position All recipients either will identify a financial institution for receipt of their monetary award or they will receive US Treasury checks Depending on the tenure of the appointment, payments may be made in a lump sum or in periodic payments Most fellowship appointments are awarded for one to two years At the end of tenure, a final report of their research accomplishments is provided for our records Smithsonian research staff who serve as advisors to these fellows, students and scholars are usually in the field during their tenure The individual Smithsonian research centers monitor the progress of their fellows, and the funds are managed through the Institution's central administration to ensure accountability Similiar processes are followed for awards made to organizations whose academic staff perfoms the related research

Schedule J  
(Form 990)

Compensation Information

OMB No 1545-0047

2010

Open to Public Inspection

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990, Part IV, question 23.

▶ Attach to Form 990. ▶ See separate instructions.

Name of the organization  
Smithsonian Institution

Employer identification number  
53-0206027

Part I

Questions Regarding Compensation

		Yes	No
1a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items		
	<div><div><input checked="" type="checkbox"/> First-class or charter travel</div><div><input type="checkbox"/> Housing allowance or residence for personal use</div></div> <div><div><input checked="" type="checkbox"/> Travel for companions</div><div><input type="checkbox"/> Payments for business use of personal residence</div></div> <div><div><input type="checkbox"/> Tax idemnification and gross-up payments</div><div><input type="checkbox"/> Health or social club dues or initiation fees</div></div> <div><div><input type="checkbox"/> Discretionary spending account</div><div><input type="checkbox"/> Personal services (e g , maid, chauffeur, chef)</div></div>		
b	If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all the expenses described above? If "No," complete Part III to explain	1b	Yes
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?	2	Yes
3	Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director Check all that apply		
	<div><div><input checked="" type="checkbox"/> Compensation committee</div><div><input type="checkbox"/> Written employment contract</div></div> <div><div><input checked="" type="checkbox"/> Independent compensation consultant</div><div><input checked="" type="checkbox"/> Compensation survey or study</div></div> <div><div><input type="checkbox"/> Form 990 of other organizations</div><div><input checked="" type="checkbox"/> Approval by the board or compensation committee</div></div>		
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization		
a	Receive a severance payment or change-of-control payment from the organization or a related organization?	4a	No
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b	No
c	Participate in, or receive payment from, an equity-based compensation arrangement?	4c	No
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III		
	Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.		
5	For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of		
a	The organization?	5a	No
b	Any related organization?	5b	No
	If "Yes," to line 5a or 5b, describe in Part III		
6	For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of		
a	The organization?	6a	Yes
b	Any related organization?	6b	No
	If "Yes," to line 6a or 6b, describe in Part III		
7	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	7	No
8	Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regs section 53 4958-4(a)(3)? If "Yes," describe in Part III	8	No
9	If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?	9	



**Part II** **Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

**Note.** The sum of columns (B)(i)-(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
See Additional Data Table								
( 2 )								
( 3 )								
( 4 )								
( 5 )								
( 6 )								
( 7 )								
( 8 )								
( 9 )								
( 10 )								
( 11 )								
( 12 )								
( 13 )								
( 14 )								
( 15 )								
( 16 )								

Part IIISupplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8. Also complete this part for any additional information.

Identifier	Return Reference	Explanation
	Part I, Line 1a	First class travel. Certain persons listed in Part VII, Section A were authorized for first class travel when the circumstances of their travel met the requirements of the Smithsonian's travel policy for such travel and first class travel was approved in each instance by that individual's designated "approving official" for travel. The Smithsonian's travel policy is guided by the Federal Travel Regulations as supplemented by the Smithsonian's Travel handbook. Those circumstances included (i) two travelers who have a documented medical condition that permits non-coach travel, when business class travel was not available on scheduled appropriate flight. Travel for companions. The Smithsonian's policy for payment of spousal or dependent family member travel permits such payment only in limited, exceptional cases, when there is a demonstrated bona fide business purpose for the travel and the travel furthers the mission of the Smithsonian, beyond mere attendance at an event or the performance of incidental services. Such travel is authorized only if approved by the Office of General Counsel and the Office of Under Secretary for Finance and Administration/Chief Financial Officer in advance of travel. In the calendar year 2010, five trips were approved for the wife of Secretary Wayne Clough, and one trip was approved for the wife of John Dailey, Director of the National Air and Space Museum. Each trip was authorized consistent with policy. Because it was determined that there was a bona fide business purpose for each trip, the cost of the trips was not treated as taxable income.
	Part I, Line 6	Certain employees of Smithsonian Enterprises (SE) are eligible to participate in the Smithsonian Enterprises Management Incentive Plan. This eligibility is determined on an annual basis. Even if eligible to participate, a performance review rating of "Fully Successful" must be received. The Plan includes both financial and individual (operational) goals, and these goals must be met in order to receive an incentive payment. There are different performance levels, with each successive level giving a higher incentive payment. In addition, the eligible person must be employed by the organization on the last day of the fiscal year in order to receive payment. Certain employees of the Office of Investments (OI) are eligible to participate in the Investments Incentive plan. This eligibility is determined on an annual basis. The plan includes both quantitative and qualitative goals. The quantitative payment is based on portfolio performance compared to benchmark, and has both single year and multi-year components. The qualitative portion is based on the individual's performance review, and a rating of at least "Successful" is required to receive the qualitative portion of the incentive. The quantitative portion is paid based on the number of basis points earned, with each basis point earning a specific percentage of salary. The overall incentive is capped at 150% of salary.
Supplemental Information	Part III	Part II. Certain of the Institution's current key employees began their service after December 31, 2010 and, accordingly, received no compensation for the reporting period.

Software ID:

Software Version:

EIN: 53-0206027

Name: Smithsonian Institution

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other compensation				
G Wayne Clough	(i) (ii)	501,984 0	10,000 0	5,334 0	36,310 0	2,934 0	556,562 0	0 0
John Lapiana	(i) (ii)	173,673 0	4,000 0	347 0	24,417 0	6,589 0	209,026 0	0 0
Judith Leonard	(i) (ii)	175,510 0	0 0	1,036 0	25,169 0	8,978 0	210,693 0	0 0
Alice Maroni	(i) (ii)	288,018 0	0 0	1,269 0	36,310 0	17,461 0	343,058 0	0 0
Anne Ryan	(i) (ii)	165,901 0	1,229 0	234 0	25,100 0	22,840 0	215,304 0	0 0
Bruce Dauer	(i) (ii)	245,124 0	0 0	1,567 0	36,310 0	4,627 0	287,628 0	0 0
Charles R Alcock	(i) (ii)	143,896 0	12,000 0	490 0	19,167 0	1,934 0	177,487 0	0 0
Virginia Clark	(i) (ii)	321,507 0	0 0	2,216 0	36,310 0	17,890 0	377,923 0	0 0
Richard Kurin	(i) (ii)	286,849 0	10,000 0	1,890 0	41,260 0	9,078 0	349,077 0	0 0
Evelyn Lieberman	(i) (ii)	246,742 0	10,000 0	3,016 0	36,310 0	1,133 0	297,201 0	0 0
Era Marshall	(i) (ii)	159,807 0	5,000 0	0 0	0 0	14,530 0	179,337 0	0 0
Alison McNally	(i) (ii)	187,886 0	0 0	0 0	0 0	5,985 0	193,871 0	0 0
Thomas Ott	(i) (ii)	374,363 0	50,000 0	911 0	36,310 0	16,583 0	478,167 0	0 0
Sudeep Anand	(i) (ii)	217,114 0	4,000 0	1,385 0	32,668 0	13,427 0	268,594 0	0 0
Gregory Bokman	(i) (ii)	191,323 0	47,185 0	180 0	28,585 0	18,360 0	285,633 0	0 0
Amy Chen	(i) (ii)	254,511 0	30,832 0	552 0	36,310 0	5,568 0	327,773 0	0 0
James Douglas	(i) (ii)	214,129 0	0 0	1,338 0	31,617 0	5,056 0	252,140 0	0 0
Mary Payne	(i) (ii)	219,132 0	0 0	491 0	32,920 0	13,008 0	265,551 0	0 0
Ann Speyer	(i) (ii)	245,934 0	0 0	372 0	36,310 0	20,080 0	302,696 0	0 0
Andrew Zino	(i) (ii)	157,915 0	4,000 0	0 0	8,232 0	16,727 0	186,874 0	0 0
Eva Pell	(i) (ii)	305,335 0	40,000 0	2,056 0	34,225 0	3,746 0	385,362 0	0 0
Claudine Brown	(i) (ii)	120,214 0	45,000 0	772 0	13,818 0	4,335 0	184,139 0	0 0
Sallie Morse	(i) (ii)	181,630 0	8,000 0	0 0	8,232 0	14,321 0	212,183 0	0 0
James Bruce Kendall	(i) (ii)	179,466 0	0 0	1,036 0	25,169 0	969 0	206,640 0	0 0
George VanDyke	(i) (ii)	166,973 0	5,000 0	973 0	28,740 0	13,212 0	214,898 0	0 0
Peter Gibbons	(i) (ii)	287,585 0	101,235 0	1,255 0	36,310 0	16,414 0	442,799 0	0 0
John Dailey	(i) (ii)	279,171 0	2,000 0	5,730 0	36,310 0	2,654 0	325,865 0	0 0
Dennis Kelly	(i) (ii)	226,308 0	90,000 0	982 0	32,293 0	13,298 0	362,881 0	0 0
Richard Koshalek	(i) (ii)	309,352 0	0 0	4,065 0	36,310 0	16,418 0	366,145 0	0 0
Christian Samper	(i) (ii)	302,068 0	3,000 0	466 0	36,310 0	16,396 0	358,240 0	0 0
Marsha Shaines	(i) (ii)	161,054 0	10,000 0	0 0	0 0	8,204 0	179,258 0	0 0
Scott Miller	(i) (ii)	143,590 0	10,000 0	0 0	7,557 0	17,301 0	178,448 0	0 0
Robert Fraga	(i) (ii)	145,401 0	8,000 0	0 0	0 0	16,818 0	170,219 0	0 0
Clair Gill	(i) (ii)	212,393 0	0 0	2,514 0	31,067 0	3,549 0	249,523 0	0 0
Ira Rubinoff	(i) (ii)	154,293 0	0 0	2,595 0	20,890 0	908 0	178,686 0	0 0

Schedule K  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Name of the organization  
Smithsonian Institution

Supplemental Information on Tax Exempt Bonds

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Schedule O (Form 990).  
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2010

Open to Public Inspection

Employer identification number

53-0206027

Part I

Bond Issues

(a) Issuer Name	(b) Issuer EIN	(c) CUSIP #	(d) Date Issued	(e) Issue Price	(f) Description of Purpose	(g) Defeased		(h) On Behalf of Issuer		(i) Pool financing	
						Yes	No	Yes	No	Yes	No
A Fairfax County Economic Development Authority	54-0787833	30382EC75	12-03-2003	77,545,000	To construct a museum		X	X			X
B District of Columbia	52-1834528	254839756	04-26-2010	30,500,000	Refunding of 1997 Bonds		X	X			X

Part II

Proceeds

		A		B		C		D	
1	Amount of bonds retired	1,570,000		1,570,000					
2	Amount of bonds legally defeased								
3	Total proceeds of issue	77,545,000		33,825,749					
4	Gross proceeds in reserve funds								
5	Capitalized interest from proceeds								
6	Proceeds in refunding escrow	33,212,755		33,212,755					
7	Issuance costs from proceeds	530,475		592,621					
8	Credit enhancement from proceeds								
9	Working capital expenditures from proceeds								
10	Capital expenditures from proceeds	77,014,525							
11	Other spent proceeds								
12	Other unspent proceeds	20,373		20,373					
13	Year of substantial completion	2003		2010					
		Yes	No	Yes	No	Yes	No	Yes	No
14	Were the bonds issued as part of a current refunding issue?		X	X					
15	Were the bonds issued as part of an advance refunding issue?		X		X				
16	Has the final allocation of proceeds been made?	X			X				
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X					

Part III

Private Business Use

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X						
2	Are there any lease arrangements that may result in private business use of bond-financed property?		X						

Part IIIPrivate Business Use (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use?		X						
b	Are there any research agreements that may result in private business use of bond-financed property?		X						
c	Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property?		X						
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government	0 %							
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government	0 %							
6	Total of lines 4 and 5	0 %							
7	Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities?	X							

Part IVArbitrage

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue?		X		X				
2	Is the bond issue a variable rate issue?	X			X				
3a	Has the organization or the governmental issuer entered into a hedge with respect to the bond issue?		X		X				
b	Name of provider								
c	Term of hedge								
d	Was the hedge superintegrated?								
e	Was a hedge terminated?								
4a	Were gross proceeds invested in a GIC?		X		X				
b	Name of provider								
c	Term of GIC								
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
5	Were any gross proceeds invested beyond an available temporary period?		X		X				
6	Did the bond issue qualify for an exception to rebate?		X		X				

Part VSupplemental Information

Complete this part to provide additional information for responses to questions on Schedule K (see instructions)

Identifier	Return Reference	Explanation

SCHEDULE M  
(Form 990)

Department of the Treasury  
Internal Revenue Service

NonCash Contributions

►Complete if the organization answered "Yes" on Form 990, Part IV, lines 29 or 30.  
► Attach to Form 990.

OMB No 1545-0047

2010

Open to Public Inspection

Name of the organization  
Smithsonian Institution

Employer identification number  
53-0206027

Part I Types of Property

	(a) Check if applicable	(b) Number of Contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining oncash contribution amounts
1 Art—Works of art . . . .	X	3,313		
2 Art—Historical treasures				
3 Art—Fractional interests	X	3		
4 Books and publications				
5 Clothing and household goods . . . . .				
6 Cars and other vehicles .				
7 Boats and planes . . . .				
8 Intellectual property . .				
9 Securities—Publicly traded	X	108	4,304,315	Selling Price
10 Securities—Closely held stock . . . . .				
11 Securities—Partnership, LLC, or trust interests .				
12 Securities—Miscellaneous				
13 Qualified conservation contribution—Historic structures . . . . .				
14 Qualified conservation contribution—Other . .				
15 Real estate—Residential .				
16 Real estate—Commercial				
17 Real estate—Other . .				
18 Collectibles . . . . .	X	8,435		
19 Food inventory . . . . .				
20 Drugs and medical supplies				
21 Taxidermy . . . . .				
22 Historical artifacts . .	X	3,431		
23 Scientific specimens . .	X	125,581		
24 Archeological artifacts .	X	155		
Archival Records- Cubic/Linear				
25 Other ► ( Feet )	X	1,146		
Archival Records-				
26 Other ► ( Items )	X	3,601		
Art-non				
27 Other ► ( collection )	X	1	6,000	Fair market value
28 Other ► ( )				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement . . . .

2948

30a	During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period? . . . . .	Yes	No
b	If "Yes," describe the arrangement in Part II		No
31	Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?	Yes	
32a	Does the organization hire or use third parties or related organizations to solicit, process, or sell non-cash contributions? . . . . .	Yes	
b	If "Yes," describe in Part II		
33	If the organization did not report revenues in column (c) for a type of property for which column (a) is checked, describe in Part II		

Part II

**Supplemental Information.** Complete this part to provide the information required by Part I, lines 30b, 32b, and 33. Also complete this part for any additional information.

Identifier	Return Reference	Explanation
Third Party Use	Part I, Line 32b	Although the Smithsonian acquires collections with good faith intention of retaining them for an indefinite period of time, prudent collections management includes judicious consideration of appropriate deaccessioning and disposal to refine and improve the quality and relevance of the collections with respect to the Smithsonian's mission and purpose. When objects are deaccessioned for disposal by sale, the Smithsonian contracts with commercial galleries or auction houses to sell the objects in order to assure the best return from the sale.
Non Reporting of Revenue	Part I, Line 33	In accordance with professional practice, as allowed by SFAS 116, the Smithsonian does not assign value to collection items acquired by donation.

SCHEDULE O

(Form 990 or 990-EZ)

Department of the Treasury

Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

OMB No 1545-0047

2010

Open to Public Inspection

Name of the organization Smithsonian Institution	Employer identification number 53-0206027
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Identifier	Return Reference	Explanation
Form 990, Part VI, Section A, line 2		Steve Case and Patricia Stonesifer who are members of the Board serve as directors in the same business entity



Identifier	Return Reference	Explanation
Form 990, Part VI, Section A, line 7a		Pursuant to federal statute, the Board of Regents consists of the Vice President of the United States, the Chief Justice of the United States, three members of the U S Senate, three members of the U S House of Representatives, and nine additional citizen Regents. The three Senators are appointed by the President Pro Tempore of the U S Senate, and the three members of the House of Representatives are appointed by the Speaker of the House of Representatives. Their appointment terms coincide with the terms for which they are elected, and they may be reappointed if re-elected. The citizen Regents are nominated by the Board of Regents, and appointed by a Joint Resolution of Congress which is signed by the President of the United States.

Identifier	Return Reference	Explanation
Form 990, Part VI, Section B, line 11		The Form 990 return is prepared by staff within the Office of the Comptroller (OC). The Comptroller performs a detailed review of the entire return. The Comptroller consults with our outside auditors on any issues or questions that may arise in the preparation of the Form 990, as deemed necessary. The Under Secretary for Finance & Administration/Chief Financial Officer (CFO) performs a detailed review of the return. A sub-committee of the Audit & Review Committee reviews the return and any items noted are addressed. The Office of General Counsel (OGC) then reviews the return and provides additional guidance, as necessary. A copy of the return, containing feedback from all prior reviewers, is then reviewed with the Secretary for his review and comments. The Board's Audit & Review Committee then conducts a final review of the return at a regularly scheduled Board meeting, prior to submission.

Identifier	Return Reference	Explanation
	Form 990, Part VI, Section B, line 12c	<p>All officers, key employees, and certain other employees are required to complete and file annually a Confidential Financial Disclosure Report. These Reports--which require disclosure of certain assets, earned income, liabilities, outside positions, outside contracts and agreements, gifts, hospitality, reimbursements, and other interests related to the employee's Smithsonian position--are reviewed by the Institution's Office of General Counsel for compliance with applicable conflict of interest policies and laws. In addition to this required reporting, all key employees are required to comply with the Institution's Standards of Conduct, which, among other things, requires that employees not engage in private or personal activities that might conflict or appear to conflict with Smithsonian interests, requires that employees obtain Office of General Counsel approval before engaging in a related outside activity for compensation, and requires employees to consult with the Office of General Counsel whenever a doubt exists as to whether an activity or planned activity violates the Standards. All members of the Smithsonian's governing body are required to complete and file annually the Board of Regents Annual Disclosure Statement. These statements - which require reporting by members of outside positions and substantial shareholding in for-profit business entities, outside positions in non-profit entities, and interests and affiliations of the member or the member's immediate family that have or sought to have a relationship to the Smithsonian--are reviewed by the Institution's General Counsel for compliance with applicable conflict of interest policies and laws. In addition to this required reporting, all members of the Smithsonian's governing body are required to comply with the Board of Regents Ethics Guidelines, which, among other things, define conflicts of interest and establish procedures for disclosing and reporting of conflicts and recusal from decision-making.</p>

Identifier	Return Reference	Explanation
	Form 990, Part VI, Section B, line 15	<p>When setting executive compensation levels, the Smithsonian ensures that the compensation paid is both reasonable and comparable to that offered by comparable organizations to executives with similar duties and responsibilities. For those Trust funded positions subject to a market-based compensation approach, the Board's Committee on Compensation and Human Resources prepares for its annual compensation review by evaluating two criteria related to the executives: (i) compensation of similarly situated individuals in other organizations, and (ii) the programmatic and financial accomplishments of the individual and the individual's organizational unit. The Committee's review of comparable compensation of similarly situated individuals in other organizations is aided by a comparative survey performed by a highly regarded, independent outside compensation consultant. The Board of Regents hired Pricewaterhouse Coopers as its consultant for this tax year. To determine compensation comparables, the Compensation and Human Resources Committee, upon considering the recommendations of the compensation consultant and in keeping with accepted practices, determines the types of organizations that are similarly situated, and requires the compensation consultant to compare the duties and responsibilities of the specific Smithsonian positions with those in similarly situated organizations to ensure that the positions are functionally comparable. Those decisions are documented as part of the final compensation recommendation materials. Currently, this survey is performed every two years. During the "off" year, the data is traditionally aged using an aging factor provided by the expert consultant. When determining salaries for Federal executives or Trust executives paid according to a Federal scale, the Institution is limited to its established pay ranges. On an annual basis, salary changes are based on an analysis of programmatic and organizational accomplishment of the individual and the individual's organizational unit, just as is done for Trust executives with market-based compensation. However, market data points are not applicable to these positions, and thus the Institution turns to the established Federal pay scales for minimum and maximum pay. Those pay scales are based on statutory Federal executive pay limits. Annual salary increases are based on achievements against established goals, and salaries of new hires in these categories (Federal executives or Trust executives paid according to a Federal scale) are based on a combination of experience and salary history.</p>

Identifier	Return Reference	Explanation
	Form 990, Part VI, Section C, line 19	Form 990, Part VI, Section C, Line 19 The Smithsonian makes these documents available on its public website (www smithsonian org) The documents can also be view ed at the Comptroller's office or mailed

Identifier	Return Reference	Explanation
Changes in Net Assets or Fund Balances	Form 990, Part XI, line 5	Net unrealized losses on investments -43,713,516 Deferred gain on building 3,908,586 Change in interest in net assets-Friends of Nat'l Zoo -927,416 Change in minority interest - SI Networks 851,000 Total to Form 990, Part XI, Line 5 -39,881,346

Identifier	Return Reference	Explanation
Type of organization (other)	Form 990, Page 1, Line K	The Smithsonian Institution is a trust instrumentality of the United States, created by Congress, and organized pursuant to 20 U S C sec 41 et seq

Identifier	Return Reference	Explanation
	Form 990, Page 1, Line M	As a trust instrumentality of the United States, the Smithsonian Institution is a federal entity that is not domiciled in any state



Identifier	Return Reference	Explanation
	Schedule G, Part I, line 3	The Smithsonian is a trust instrumentality of the United States and as such is exempt from state regulations pursuant to the Supremacy Clause of the U S Constitution All states that have inquired about Smithsonian fundraising solicitation registration have acknowledged this exemption

Identifier	Return Reference	Explanation
Major projects of independent contractors	Form 990, Part VI, Page 8, Section B Independent Contractors	Hensel Phelps Construction Company - construction services SAAM/NPG - Patent Office Building renovation NASM Udvar-Hazy Center - infrastructure/installation of pressure reducing station Associated Builders, Inc - construction services NMNH - Various electrical work, HVAC upgrades, perimeter security MSC - Fire alarm upgrade/construction of laboratories Forrester Construction Company - construction services NZP - Construction of seal and sea lions exhibit Ewing Cole, Inc - architect/engineering services MSC - Design of fire detection and alarm system, laboratories SERC - Design of HVAC, fire protection, water capacity systems, Mathia Replacement Laboratory, road improvements NMAFA - Design of electrical and sprinkler systems, HVAC NMNH - Design of HVAC CHNDM - Assessment and recommendations for conservatory, infrastructure improvements NMAH - Architectural/engineering services for renovations Northrop Grumman Systems Corporation - systems engineering support SAO - Support of Chandra X-Ray Observation Operations

Identifier	Return Reference	Explanation
Officer's position held during the year	Form 990, Part VII, Section A	Per the instructions, only one position should be checked in column (C) One officer, Bruce Dauer reported as an officer on the tax return served in the following positions during the year 10/01/10-11/18/10 Key Employee-Director, Office of Planning, Management & Budget 11/19/10-09/25/11 Acting Chief Financial Officer 09/26/11-09/30/11 Key Employee-Director, Office of Planning, Management & Budget

Identifier	Return Reference	Explanation
Smithsonian Institution abbreviations used in return	Form 990, Part VII and Schedule J-2	The following are Smithsonian Institution abbreviations found in the body of this return CHNDM - Cooper Hewitt National Design Museum FONZ - Friends of the National Zoo MSC - Museum Support Center NASM - National Air and Space Museum NMAFA - National Museum of African Art NMNH - National Museum of Natural History NPG - National Portrait Gallery NZP - National Zoological Park OFEO - Office of Facilities, Engineering and Operations OPMB - Office of Planning, Management and Budget SAAM - Smithsonian American Art Museum SE - Smithsonian Enterprises SERC - Smithsonian Environmental Research Center

SCHEDULE R  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.  
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2010

Open to Public Inspection

Name of the organization  
Smithsonian Institution

Employer identification number  
53-0206027

Part I

Identification of Disregarded Entities (Complete if the organization answered "Yes" on Form 990, Part IV, line 33.)

(a) Name, address, and EIN of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity

Part II

Identification of Related Tax-Exempt Organizations (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled organization	
						Yes	No
(1) Clay Fellowships Charitable Trust  10 Memorial Boulevard  Providence, RI 02903 04-3560268	Support of Smithsonian Astrophysical Observatory	MA	501(c)(3)	Line 11d, III-O	N/A		No

Part III

Identification of Related Organizations Taxable as a Partnership (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512- 514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproporionate allocations?		(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	

Part IV

Identification of Related Organizations Taxable as a Corporation or Trust (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership
See Additional Data Table							

Part V

Transactions With Related Organizations (Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35, 35A, or 36.)

Note. Complete line 1 if any entity is listed in Parts II, III or IV

1

During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

a

Receipt of (i) interest (ii) annuities (iii) royalties (iv) rent from a controlled entity

b

Gift, grant, or capital contribution to other organization(s)

c

Gift, grant, or capital contribution from other organization(s)

d

Loans or loan guarantees to or for other organization(s)

e

Loans or loan guarantees by other organization(s)

f

Sale of assets to other organization(s)

g

Purchase of assets from other organization(s)

h

Exchange of assets

i

Lease of facilities, equipment, or other assets to other organization(s)

j

Lease of facilities, equipment, or other assets from other organization(s)

k

Performance of services or membership or fundraising solicitations for other organization(s)

l

Performance of services or membership or fundraising solicitations by other organization(s)

m

Sharing of facilities, equipment, mailing lists, or other assets

n

Sharing of paid employees

o

Reimbursement paid to other organization for expenses

p

Reimbursement paid by other organization for expenses

q

Other transfer of cash or property to other organization(s)

r

Other transfer of cash or property from other organization(s)

Yes

No

1a

1b

1c

1d

1e

1f

1g

1h

1i

1j

1k

1l

1m

1n

1o

1p

1q

1r

No

No

Yes

No

No

No

No

No

No

No

No

No

No

No

No

No

No

No

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds			
(a) Name of other organization	(b) Transaction type(a-r)	(c) Amount involved	(d) Method of determining amount involved
(1)			
(2)			
(3)			
(4)			
(5)			
(6)			

Schedule R (Form 990) 2010

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

[illegible]



**Part VII**   **Supplemental Information**

Complete this part to provide additional information for responses to questions on Schedule R (see instructions)

Identifier	Return Reference	Explanation
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Software ID:

Software Version:

EIN: 53-0206027

Name: Smithsonian Institution

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income (\$)	(g) Share of end-of-year assets (\$)	(h) Percentage ownership
Lukowski Trust C/O Citzens Bank 101 N Washington A Saginaw, MI48607 38-6677397	Charitable Remainder Trust	MI	N/A	T		100,826	
McVay Charitable Remainder Trust C/O BNY Mellon 1735 Market St Philadelphia, PA19103 20-7399895	Charitable Remainder Trust	MA	N/A	T		26,881	
Peck Trust C/O Charles Schwab PO Box 628291 Orlando, FL32862 94-2737782	Charitable Remainder Trust	VA	N/A	T		244,537	
Purvis Charitable Remainder Trust C/O BNY Mellon One Wall Street New York, NY10286 25-6891638	Charitable Remainder Trust	MA	N/A	T		22,559	
B Schermer Trust C/O Fiduciarty Trust 600 5th St New York, NY10020 13-7062411	Charitable Remainder Trust	NY	N/A	T		122,533	
L Shermer Trust C/O Fiduciarty Trust 600 5th St New York, NY10020 13-7062411	Charitable Remainder Trust	NY	N/A	T		142,279	
Landmark Trust C/O Ashford Advisors 30B Grove St Pittsford, NY14534 34-7179097	Charitable Remainder Trust	NY	N/A	T		4,241,391	
E Wood Trust C/O Tyrrell Mason PC 7250 Woodmont Bethesda, MD20814 52-6697534	Charitable Remainder Trust	DC	N/A	T		168,721	
Huntington Charitable Remainder Trust C/O BNY Mellon One Wall Street New York, NY10286 13-6078590	Charitable Remainder Trust	NY	N/A	T		85,123	
Huntington Charitable Remainder Trust C/O BNY Mellon One Wall Street New York, NY10286 13-6078585	Charitable Remainder Trust	NY	N/A	T		54,923	
Huntington Charitable Remainder Trust C/O JP Morgan 270 Park Avenue New York, NY10017 06-6022343	Charitable Remainder Trust	NY	N/A	T		113,490	
Houchins Charitable Remainder Trust C/O Dunham Trust 241 Ridge St Reno, NV89501 54-6407409	Charitable Remainder Trust	VA	N/A	T		263,233	