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**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

2010

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

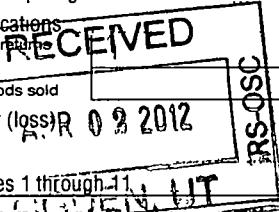
For calendar year 2010, or tax year beginning **OCT 1, 2010**, and ending **SEP 30, 2011**

G Check all that apply: Initial return Initial return of a former public charity Final return
 Amended return Address change Name change

| | | |
|---|--|--|
| Name of foundation DANA BROWN CHARITABLE TRUST FOUNDATION | | A Employer identification number 43-6331557 |
| Number and street (or P O box number if mail is not delivered to street address) LELA RICE, TRUSTEE, P.O. BOX 3688 | Room/suite | B Telephone number (636)227-9940 |
| City or town, state, and ZIP code BALLWIN, MO 63022-3688 | | C If exemption application is pending, check here <input type="checkbox"/> |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation | | D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |
| I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 495,916. (Part I, column (d) must be on cash basis.) | J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> |
| | | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/> |

| Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))</small> | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|--|------------------------------------|---------------------------|-------------------------|---|
| 1 Contributions, gifts, grants, etc., received | | | | N/A | |
| 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B | | | | | |
| 3 Interest on savings and temporary cash investments | | 29. | 29. | | STATEMENT 1 |
| 4 Dividends and interest from securities | | 12,118. | 12,118. | | STATEMENT 2 |
| 5a Gross rents | | | | | |
| b Net rental income or (loss) | | | | | |
| 6a Net gain or (loss) from sale of assets not on line 10 | | 55,228. | | | |
| b Gross sales price for all assets on line 6a 1,283,591. | | | | | |
| 7 Capital gain net income (from Part IV, line 2) | | | 55,228. | | |
| 8 Net short-term capital gain | | | | | |
| 9 Income modifications | | | | | |
| 10a Gross sales less returns and allowances | | | | | |
| b Less Cost of goods sold | | | | | |
| c Gross profit or (loss) | | | | | |
| 11 Other income | | | | | |
| 12 Total Add lines 1 through 11 | | 67,375. | 67,375. | | |
| 13 Compensation of officers, directors, trustees, etc | | 15,100. | 1,510. | | 0. |
| 14 Other employee salaries and wages | | | | | |
| 15 Pension plans, employee benefits | | | | | |
| 16a Legal fees | | | | | |
| b Accounting fees STMT 3 | | 2,289. | 2,289. | | 0. |
| c Other professional fees STMT 4 | | 8,478. | 8,478. | | 0. |
| 17 Interest | | | | | |
| 18 Taxes STMT 5 | | 3,603. | 331. | | 0. |
| 19 Depreciation and depletion | | | | | |
| 20 Occupancy | | | | | |
| 21 Travel, conferences, and meetings | | | | | |
| 22 Printing and publications | | | | | |
| 23 Other expenses STMT 6 | | 293. | 293. | | 0. |
| 24 Total operating and administrative expenses. Add lines 13 through 23 | | 29,763. | 12,901. | | 0. |
| 25 Contributions, gifts, grants paid | | 28,439. | | | 28,439. |
| 26 Total expenses and disbursements. Add lines 24 and 25 | | 58,202. | 12,901. | | 28,439. |
| 27 Subtract line 26 from line 12: | | | | | |
| a Excess of revenue over expenses and disbursements | | 9,173. | | | |
| b Net investment income (if negative, enter -0-) | | | 54,474. | | |
| c Adjusted net income (if negative, enter -0-) | | | | N/A | |

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| Part II Balance Sheets <small>Attached schedules and amounts in the description column should be for end-of-year amounts only</small> | | Beginning of year | End of year | |
|--|--|-------------------|-----------------|-----------------------|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 Cash - non-interest-bearing | | | |
| | 2 Savings and temporary cash investments | 17,970. | 33,636. | 33,636. |
| | 3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶ | | | |
| | 4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments - U.S. and state government obligations | | | |
| | b Investments - corporate stock STMT 7 | 635,082. | 628,589. | 462,280. |
| | c Investments - corporate bonds | | | |
| | 11 Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation ▶ | | | |
| | 12 Investments - mortgage loans | | | |
| | 13 Investments - other | | | |
| 14 Land, buildings, and equipment: basis ▶ Less accumulated depreciation ▶ | | | | |
| 15 Other assets (describe ▶ _____) | | | | |
| 16 Total assets (to be completed by all filers) | 653,052. | 662,225. | 495,916. | |
| Liabilities | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable | | | |
| 22 Other liabilities (describe ▶ _____) | | | | |
| 23 Total liabilities (add lines 17 through 22) | 0. | 0. | | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. | | | |
| | 24 Unrestricted | | | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31. | | | |
| | 27 Capital stock, trust principal, or current funds | 653,052. | 662,225. | |
| | 28 Paid-in or capital surplus, or land, bldg., and equipment fund | 0. | 0. | |
| 29 Retained earnings, accumulated income, endowment, or other funds | 0. | 0. | | |
| 30 Total net assets or fund balances | 653,052. | 662,225. | | |
| 31 Total liabilities and net assets/fund balances | 653,052. | 662,225. | | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | |
|---|---|----------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 653,052. |
| 2 Enter amount from Part I, line 27a | 2 | 9,173. |
| 3 Other increases not included in line 2 (itemize) ▶ _____ | 3 | 0. |
| 4 Add lines 1, 2, and 3 | 4 | 662,225. |
| 5 Decreases not included in line 2 (itemize) ▶ _____ | 5 | 0. |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 662,225. |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|--|--------------------------------------|----------------------------------|
| 1a MERRILL LYNCH SEE ATTACHED | P | | |
| b MERRILL LYNCH SEE ATTACHED | P | | |
| c | | | |
| d | | | |
| e | | | |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 1,212,387. | | 1,134,666. | 77,721. |
| b 71,204. | | 93,697. | <22,493.> |
| c | | | |
| d | | | |
| e | | | |

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|---------------------------|--------------------------------------|---|---|
| a | | | 77,721. |
| b | | | <22,493.> |
| c | | | |
| d | | | |
| e | | | |

| | | | |
|---|---|---|---------|
| 2 Capital gain net income or (net capital loss) | { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 | 2 | 55,228. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 | | 3 | N/A |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|---|---------------------------------------|---|--|
| 2009 | 27,237. | 606,066. | .044941 |
| 2008 | 36,749. | 545,182. | .067407 |
| 2007 | 34,625. | 747,494. | .046321 |
| 2006 | 32,763. | 750,212. | .043672 |
| 2005 | 24,882. | 678,815. | .036655 |

| | | |
|--|---|----------|
| 2 Total of line 1, column (d) | 2 | .238996 |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .047799 |
| 4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5 | 4 | 632,370. |
| 5 Multiply line 4 by line 3 | 5 | 30,227. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 545. |
| 7 Add lines 5 and 6 | 7 | 30,772. |
| 8 Enter qualifying distributions from Part XII, line 4 | 8 | 28,439. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | |
|----|---|----|--------|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions) | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b | 1 | 1,089. |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 2 | 0. |
| 3 | Add lines 1 and 2 | 3 | 1,089. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 4 | 0. |
| 5 | Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | 5 | 1,089. |
| 6 | Credits/Payments: | | |
| a | 2010 estimated tax payments and 2009 overpayment credited to 2010 | 6a | 754. |
| b | Exempt foreign organizations - tax withheld at source | 6b | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | |
| d | Backup withholding erroneously withheld | 6d | |
| 7 | Total credits and payments. Add lines 6a through 6d | 7 | 754. |
| 8 | Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached | 8 | 6. |
| 9 | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed SEE STATEMENT 8 | 9 | 341. |
| 10 | Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | 10 | |
| 11 | Enter the amount of line 10 to be: Credited to 2011 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/> | 11 | |

Part VII-A Statements Regarding Activities

| | Yes | No |
|--|--|----|
| 1a | | X |
| 1b | | X |
| If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities | | |
| 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0. | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0. | |
| 2 | | X |
| If "Yes," attach a detailed description of the activities. | | |
| 3 | | X |
| If "Yes," attach a conformed copy of the changes | | |
| 4a | | X |
| 4b | | |
| N/A | | |
| 5 | | X |
| If "Yes," attach the statement required by General Instruction T. | | |
| 6 | X | |
| By language in the governing instrument, or | | |
| By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | | |
| 7 | X | |
| If "Yes," complete Part II, col (c), and Part XV. | | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> <u>MO</u> | |
| 8b | X | |
| If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation | | |
| 9 | | X |
| Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV | | |
| 10 | | X |
| Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | | |

Part VII-A Statements Regarding Activities (continued)

| | | | | |
|----|--|----|-----|----|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | | | X |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? | | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u> | X | | |
| 14 | The books are in care of ▶ <u>LELA RICE, TRUSTEE</u> Telephone no. ▶ <u>(636) 227-9940</u> Located at ▶ <u>P.O. BOX 3688, BALLWIN, MO</u> ZIP+4 ▶ <u>63022-3688</u> | | | |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ <u>15</u> <u>N/A</u> | | | |
| 16 | At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶ | | Yes | No |
| | | 16 | | X |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

| | | Yes | No |
|-----|--|-----|----|
| 1a | During the year did the foundation (either directly or indirectly): | | |
| (1) | Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (2) | Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (3) | Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (4) | Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (5) | Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (6) | Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b | If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here <u>N/A</u> <input type="checkbox"/> | 1b | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010? | 1c | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | |
| a | At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ _____, _____, _____, _____ | | |
| b | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <u>N/A</u> | 2b | |
| c | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ _____, _____, _____, _____ | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b | If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.) <u>N/A</u> | 3b | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010? | 4b | X |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945-5(d). N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---------------------------------------|
| LELA RICE 619 TWIGWOOD DRIVE BALLWIN, MO 63021 | TRUSTEE 1.00 | 7,550. | 0. | 0. |
| AUGUST C. LAMARCA 414 NORTH BALLAS ROAD CREVE COEUR, MO 63141 | TRUSTEE 1.00 | 7,550. | 0. | 0. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

Table with 3 columns: (a) Name and address of each person paid more than \$50,000, (b) Type of service, (c) Compensation. Row 1 contains 'NONE'.

Total number of others receiving over \$50,000 for professional services 0

Part IX-A Summary of Direct Charitable Activities

Table with 2 columns: Description of activities, Expenses. Row 1 contains 'N/A'.

Part IX-B Summary of Program-Related Investments

Table with 2 columns: Description of investments, Amount. Row 1 contains 'N/A'.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|----|----------|
| 1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | | |
| a | Average monthly fair market value of securities | 1a | 620,511. |
| b | Average of monthly cash balances | 1b | 21,489. |
| c | Fair market value of all other assets | 1c | |
| d | Total (add lines 1a, b, and c) | 1d | 642,000. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | 0. |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 642,000. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 9,630. |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 632,370. |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 | 31,619. |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

| | | | |
|----|---|----|---------|
| 1 | Minimum investment return from Part X, line 6 | 1 | 31,619. |
| 2a | Tax on investment income for 2010 from Part VI, line 5 | 2a | 1,089. |
| b | Income tax for 2010. (This does not include the tax from Part VI.) | 2b | |
| c | Add lines 2a and 2b | 2c | 1,089. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 30,530. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 0. |
| 5 | Add lines 3 and 4 | 5 | 30,530. |
| 6 | Deduction from distributable amount (see instructions) | 6 | 0. |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 30,530. |

Part XII Qualifying Distributions (see instructions)

| | | | |
|--|---|----|---------|
| 1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 28,439. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 28,439. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5 | 0. |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 28,439. |

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2009 | (c) 2009 | (d) 2010 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2010 from Part XI, line 7 | | | | 30,530. |
| 2 Undistributed income, if any, as of the end of 2010 | | | | |
| a Enter amount for 2009 only | | | 28,439. | |
| b Total for prior years: | | 0. | | |
| 3 Excess distributions carryover, if any, to 2010: | | | | |
| a From 2005 | | | | |
| b From 2006 | | | | |
| c From 2007 | | | | |
| d From 2008 | | | | |
| e From 2009 | | | | |
| f Total of lines 3a through e | 0. | | | |
| 4 Qualifying distributions for 2010 from Part XII, line 4: ▶ \$ 28,439. | | | | |
| a Applied to 2009, but not more than line 2a | | | 28,439. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2010 distributable amount | | | | 0. |
| e Remaining amount distributed out of corpus | 0. | | | |
| 5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a)) | 0. | | | 0. |
| 6 Enter the net total of each column as indicated below: | 0. | | | |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | 0. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011 | | | | 30,530. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) | 0. | | | |
| 8 Excess distributions carryover from 2005 not applied on line 5 or line 7 | 0. | | | |
| 9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a | 0. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2006 | | | | |
| b Excess from 2007 | | | | |
| c Excess from 2008 | | | | |
| d Excess from 2009 | | | | |
| e Excess from 2010 | | | | |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

- 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶
- b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

| | Tax year | | Prior 3 years | | (e) Total |
|---|----------|----------|---------------|----------|-----------|
| | (a) 2010 | (b) 2009 | (c) 2008 | (d) 2007 | |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: | | | | | |
| a "Assets" alternative test - enter: | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter: | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)

- 1 **Information Regarding Foundation Managers:**
- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

- 2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number of the person to whom applications should be addressed:
- b The form in which applications should be submitted and information and materials they should include:
- c Any submission deadlines:
- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

| 3 Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|---|---|--------------------------------|----------------------------------|----------------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> KETC / CHANNEL 9 3655 OLIVE STREET ST. LOUIS, MO 63108 | PUBLIC CHARITY | NOT RELATED | PUBLIC TELEVISION | 5,688. |
| SALVATION ARMY 1130 HAMPTON ST. LOUIS, MO 63139 | PUBLIC CHARITY | NOT RELATED | CARE OF INDIGENTS | 5,688. |
| ST. LOUIS CHILDREN'S HOSPITAL ONE CHILDREN'S PLACE ST. LOUIS, MO 63110 | PUBLIC CHARITY | NOT RELATED | MEDICAL CARE FOR CHILDREN | 5,688. |
| ST. LOUIS ZOO 1 GOVERNMENT DRIVE ST. LOUIS, MO 63110 | PUBLIC CHARITY | NOT RELATED | SUPPORT FOR PUBLIC ZOO | 5,688. |
| YMCA OF GREATER ST. LOUIS 1528 LOCUST STREET ST. LOUIS, MO 63103 | PUBLIC CHARITY | NOT RELATED | YMCA | 5,687. |
| Total | | | ▶ 3a | 28,439. |
| b <i>Approved for future payment</i> | | | | |
| NONE | | | | |
| Total | | | ▶ 3b | 0. |

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

| SOURCE | AMOUNT |
|--|--------|
| BANK OF AMERICA | 29. |
| TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A | 29. |

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

| SOURCE | GROSS AMOUNT | CAPITAL GAINS DIVIDENDS | COLUMN (A) AMOUNT |
|--------------------------------------|--------------|-------------------------|-------------------|
| MERRILL LYNCH - DIVIDENDS | 11,913. | 0. | 11,913. |
| MERRILL LYNCH - INTEREST | 45. | 0. | 45. |
| MERRILL LYNCH - TAX EXEMPT DIVIDENDS | 160. | 0. | 160. |
| TOTAL TO FM 990-PF, PART I, LN 4 | 12,118. | 0. | 12,118. |

FORM 990-PF ACCOUNTING FEES STATEMENT 3

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|------------------------------|------------------------|---------------------------|-------------------------|-------------------------|
| ACCOUNTING AND TAX SERVICES | 2,289. | 2,289. | | 0. |
| TO FORM 990-PF, PG 1, LN 16B | 2,289. | 2,289. | | 0. |

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|------------------------------|------------------------|---------------------------|-------------------------|-------------------------|
| BROKERAGE FEES | 8,478. | 8,478. | | 0. |
| TO FORM 990-PF, PG 1, LN 16C | 8,478. | 8,478. | | 0. |

| FORM 990-PF | TAXES | | | STATEMENT | 5 |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|----|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES | |
| FOREIGN TAXES PAID | 331. | 331. | | | 0. |
| FEDERAL INCOME TAX | 3,272. | 0. | | | 0. |
| TO FORM 990-PF, PG 1, LN 18 | 3,603. | 331. | | | 0. |

| FORM 990-PF | OTHER EXPENSES | | | STATEMENT | 6 |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|----|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES | |
| MISCELLANEOUS EXPENSE | 293. | 293. | | | 0. |
| TO FORM 990-PF, PG 1, LN 23 | 293. | 293. | | | 0. |

| FORM 990-PF | CORPORATE STOCK | | STATEMENT | 7 |
|---|-----------------|----------------------|-----------|---|
| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE | | |
| MERRILL LYNCH - EQUITIES (SEE ATTACHED) | 620,142. | 453,833. | | |
| MERRILL LYNCH - MONEY MARKET (SEE ATTACHED) | 8,447. | 8,447. | | |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 628,589. | 462,280. | | |

| FORM 990-PF | INTEREST AND PENALTIES | STATEMENT | 8 |
|-----------------------------------|------------------------|-----------|------|
| TAX DUE FROM FORM 990-PF, PART VI | | | 335. |
| UNDERPAYMENT PENALTY | | | 6. |
| LATE PAYMENT INTEREST | | | 1. |
| LATE PAYMENT PENALTY | | | 3. |
| TOTAL AMOUNT DUE | | | 345. |

* Cash held in Bank of America: \$33,636.30

LELA G RICE TTEE

Account Number: 788-11869

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST BANK DEPOSIT INTEREST SUMMARY

September 01, 2011 - September 30, 2011

| Money Account Description | Opening Balance | Average Deposit Balance | Current Yield% | Interest on Deposits | Closing Balance |
|--------------------------------------|-----------------|-------------------------|----------------|----------------------|-----------------|
| FIA Card Services, N.A. | 6,985 | 7,802 | .08 | 0.51 | 8,328 |
| Bank of America RI, N.A. | 2 | 2 | 08 | 0.00 | 2 |
| TOTAL ML Bank Deposit Program | 6,987 | | | 0.51 | 8,330 |

ITEMS FOR ATTENTION

| Security | Message | Date | Security | Message | Date |
|--------------------|--------------------|------|----------|---------|------|
| TEEKAY TANKERS LTD | Opinion Downgraded | | | | |

YOUR CMA FOR TRUST ASSETS

| CASH/MONEY ACCOUNTS | Description | Quantity | Total Cost Basis | Estimated Market Price | Estimated Market Value | Estimated Annual Income | Est. Annual Yield% |
|-------------------------|-------------|-----------------|------------------|------------------------|------------------------|-------------------------|--------------------|
| CASH | | 116.49 | 116.49 | | 116.49 | | |
| ML BANK DEPOSIT PROGRAM | | 8,330.00 | 8,330.00 | 1.0000 | 8,330.00 | 7 | 08 |
| TOTAL | | 8,446.49 | 8,446.49 | | 8,446.49 | 7 | .08 |

| EQUITIES | Description | Symbol | Acquired | Quantity | Unit Cost Basis | Total Cost Basis | Estimated Market Price | Estimated Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Estimated Current Yield% |
|----------|-------------------------|--------|----------|----------|-----------------|------------------|------------------------|------------------------|------------------------|-------------------------|--------------------------|
| Subtotal | AMERICAN AXLE&MFG HLDGS | AXL | 02/09/11 | 1,200 | 14.0158 | 16,818.96 | 7.6300 | 9,156.00 | (7,662.96) | | |
| | | | 02/25/11 | 1,200 | 13.6073 | 16,328.76 | 7.6300 | 9,156.00 | (7,172.76) | | |
| | | | | 2,400 | | 33,147.72 | | 18,312.00 | (14,835.72) | | |
| | AVON PROD INC | AVP | 05/27/11 | 500 | 29.2453 | 14,622.65 | 19.6000 | 9,800.00 | (4,822.65) | | 460 4.69 |
| | BAXTER INTERNTL INC | BAX | 05/27/11 | 300 | 58.9355 | 17,680.65 | 56.1400 | 16,842.00 | (838.65) | | 373 2.20 |
| | BLACKSTONE GROUP LP | BX | 04/26/11 | 800 | 19.4038 | 15,523.04 | 11.9800 | 9,584.00 | (5,939.04) | | 320 3.33 |
| | COM UNIT REPSTG L P | | | | | | | | | | |
| | CENTURYLINK INC SHS | CTL | 11/24/10 | 400 | 42.3853 | 16,954.12 | 33.1200 | 13,248.00 | (3,706.12) | | 1,160 8.75 |



1 of 2

Account Number: 788-11869

YOUR CMA FOR TRUST ASSETS

September 01, 2011 - September 30, 2011

LELA G RICE TTEE

| EQUITIES (continued) | Description | Symbol | Acquired | Quantity | Unit Cost Basis | Total Cost Basis | Estimated Market Price | Estimated Market Value | Unrealized Gain/(Loss) | Annual Income | Current Yield% |
|--------------------------|-------------|----------|----------|----------|-----------------|------------------|------------------------|------------------------|------------------------|---------------|----------------|
| CNH GLOBAL INV | CNH | 11/24/10 | 400 | 44.2955 | 17,718.20 | 26.2400 | 10,496.00 | (7,222.20) | | | |
| COCA COLA COM | KO | 05/27/11 | 300 | 66.8664 | 20,059.92 | 67.5600 | 20,268.00 | 208.08 | 564 | 2.78 | |
| COMCAST CRP NEW CL A SPL | CMCSK | 02/09/11 | 600 | 22.1982 | 13,318.92 | 20.7200 | 12,432.00 | (886.92) | 270 | 2.17 | |
| CORNING INC | GLW | 07/01/10 | 1,000 | 16.0664 | 16,066.40 | 12.3600 | 12,360.00 | (3,706.40) | 200 | 1.61 | |
| COSTCO WHOLESALE CRP DEL | COST | 05/27/11 | 200 | 81.6200 | 16,324.00 | 82.1300 | 16,426.00 | 102.00 | 192 | 1.16 | |
| CSX CORP | CSX | 04/26/11 | 600 | 25.5266 | 15,316.00 | 18.6700 | 11,202.00 | (4,114.00) | 288 | 2.57 | |
| DU PONT E IDE NEMOURS | DD | 12/31/10 | 300 | 49.9153 | 14,974.59 | 39.9700 | 11,991.00 | (2,983.59) | 492 | 4.10 | |
| EMERSON ELEC CO | EMR | 04/26/11 | 300 | 59.8400 | 17,952.00 | 41.3100 | 12,393.00 | (5,559.00) | 414 | 3.34 | |
| EQUINIX INC | EQIX | 05/27/11 | 200 | 100.5224 | 20,104.48 | 88.8300 | 17,766.00 | (2,338.48) | | | |
| EXPRESS SCRIPTS INC COM | ESRX | 05/27/11 | 300 | 58.4163 | 17,524.89 | 37.0700 | 11,121.00 | (6,403.89) | 100 | 1.07 | |
| FLUOR CORP NEW DEL COM | FLR | 04/26/11 | 200 | 69.2200 | 13,844.00 | 46.5500 | 9,310.00 | (4,534.00) | | | |
| FORD MOTOR CO | F | 02/09/11 | 1,000 | 16.2200 | 16,220.00 | 9.6700 | 9,670.00 | (6,550.00) | | | |
| GENERAL MILLS | GIS | 05/27/11 | 400 | 39.3164 | 15,726.56 | 38.4900 | 15,396.00 | (330.56) | 489 | 3.16 | |
| GENL DYNAMICS CORP COM | GD | 05/16/11 | 200 | 74.4326 | 14,886.52 | 56.8900 | 11,378.00 | (3,508.52) | 376 | 3.30 | |
| HALLIBURTON COMPANY | HAL | 05/27/11 | 300 | 50.4164 | 15,124.92 | 30.5200 | 9,156.00 | (5,968.92) | 108 | 1.17 | |
| HESS CORP | HES | 12/31/10 | 200 | 76.4800 | 15,296.00 | 52.4600 | 10,492.00 | (4,804.00) | 80 | 7.6 | |
| HONEYWELL INTL INC DEL | HON | 12/31/10 | 300 | 53.1546 | 15,946.38 | 43.9100 | 13,173.00 | (2,773.38) | 399 | 3.02 | |
| JACOBS ENGN GRP INC DELA | JFC | 01/20/11 | 300 | 49.3154 | 14,794.62 | 32.2900 | 9,687.00 | (5,107.62) | 256 | 2.42 | |
| JOHNSON CONTROLS INC | JCI | 12/31/10 | 400 | 38.2546 | 15,301.84 | 26.3700 | 10,548.00 | (4,753.84) | 108 | .81 | |
| KINROSS GOLD CORP | KGC | 10/25/10 | 900 | 17.8044 | 16,023.96 | 14.7800 | 13,302.00 | (2,721.96) | 760 | 4.64 | |
| MERCK AND CO INC SHS | MRK | 05/27/11 | 500 | 36.2472 | 18,123.60 | 32.7000 | 16,350.00 | (1,773.60) | 296 | 2.64 | |
| METLIFE INC COM | MET | 05/27/11 | 400 | 44.0544 | 17,621.76 | 28.0100 | 11,204.00 | (6,417.76) | 368 | 2.57 | |
| MICRON TECHNOLOGY INC | MU | 02/25/11 | 1,400 | 11.3784 | 15,929.76 | 5.0400 | 7,056.00 | (8,873.76) | 84 | .64 | |
| OCCIDENTAL PETE CORP CAL | OXY | 12/31/10 | 200 | 98.2046 | 19,640.92 | 71.5000 | 14,300.00 | (5,340.92) | 259 | 1.76 | |
| POTASH CORP SASKATCHEWAN | POT | 02/09/11 | 300 | 60.3428 | 18,102.84 | 43.2200 | 12,966.00 | (5,136.84) | | | |
| QUALCOMM INC | QCOM | 02/09/11 | 300 | 56.2431 | 16,872.93 | 48.6300 | 14,589.00 | (2,283.93) | | | |
| RACKSPACE HOSTING INC | RAX | 05/27/11 | 300 | 43.5833 | 13,074.99 | 34.1400 | 10,242.00 | (2,832.99) | | | |
| SANDISK CORP INC | SNDK | 12/31/10 | 300 | 49.8063 | 14,941.89 | 40.3550 | 12,106.50 | (2,835.39) | | | |
| SEAGATE TECH PLC SHS | STX | 05/16/11 | 1,000 | 16.9477 | 16,947.70 | 10.2750 | 10,275.00 | (6,672.70) | 720 | 7.00 | |
| ↑ TEEKAY TANKERS LTD | TNK | 07/26/10 | 1,200 | 13.2743 | 15,929.16 | 4.6000 | 5,520.00 | (10,409.16) | 1,188 | 21.52 | |
| TELEFONICA SA SPAIN ADR | TEF | 02/09/11 | 600 | 25.4074 | 15,244.44 | 19.1200 | 11,472.00 | (3,772.44) | 956 | 8.33 | |

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LELA G RICE TTEE

Account Number 788-11869

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

September 01, 2011 - September 30, 2011

| EQUITIES (continued) Description | Symbol | Acquired | Quantity | Unit Cost Basis | Total Cost Basis | Estimated Market Price | Estimated Market Value | Unrealized Gain/(Loss) | Annual Income | Estimated Current Yield% |
|-------------------------------------|--------|----------|----------|--------------------|---------------------|---------------------------|---------------------------|---------------------------|---------------|-----------------------------|
| VALE SA | VALE | 12/31/10 | 500 | 34.5199 | 17,259.95 | 22.8000 | 11,400.00 | (5,859.95) | 186 | 1.62 |
| TOTAL | | | | | 620,142.32 | | 453,833.50 | (166,308.82) | 11,466 | 2.53 |

RESEARCH RATINGS

| Security | Symbol | BofAML Research | Morningstar | S&P |
|--------------------------|--------|-----------------|-------------|-------------|
| AMERICAN AXLE&MFG HLDGS | AXL | Buy (C19) | No Coverage | No Coverage |
| AVON PROD INC | AVP | Buy (C17) | Buy | Hold |
| BAXTER INTERNTL INC | BAX | Buy (B17) | Buy | Buy |
| CSX CORP | CSX | Buy (B17) | Buy | Buy |
| COMCAST CRP NEW CL A SPL | CMCSK | Buy (B17) | Buy | Buy |
| CENTURYLINK INC SHS | CTL | Buy (B17) | Buy | Hold |
| CNH GLOBAL NV | CNH | Buy (C19) | Buy | Buy |
| COSTCO WHOLESALE CRP DEL | COST | Buy (B17) | Hold | Hold |
| COCA COLA COM | KO | N/A | Hold | Buy |
| CORNING INC | GLW | Buy (C17) | Buy | Buy |
| DU PONT E I DE NEMOURS | DD | Buy (B17) | Buy | Buy |
| EXPRESS SCRIPTS INC COM | ESRX | Buy (B19) | Buy | Buy |
| EQUINIX INC | EQIX | Buy (B19) | Buy | Buy |
| EMERSON ELEC CO | EMR | Buy (B17) | Buy | No Coverage |
| FLUOR CORP NEW DEL COM | FLR | Buy (B17) | Hold | Hold |
| FORD MOTOR CO | F | Buy (C19) | Buy | Buy |
| GENL DYNAMICS CORP COM | GD | Buy (B17) | Buy | Hold |
| GENERAL MILLS | GIS | Buy (B17) | Hold | Buy |
| HALLIBURTON COMPANY | HAL | Buy (C17) | Buy | Buy |
| HONEYWELL INTL INC DEL | HON | Buy (B17) | Buy | Buy |
| HESS CORP | HES | Buy (B17) | Buy | Buy |
| JACOBS ENGN GRP INC DELA | JEC | Buy (B19) | Buy | Buy |

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7155

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Dana Brown Charitable Trust Foundation

9/30/2011

| Description | Acquired | Liquidation | Proceeds | Cost Basis | Gain/Loss |
|---------------------------------|----------|-------------|-----------|------------|------------|
| SHORT TERM CAPITAL GAINS | | | | | |
| Oct-10 | | | | | |
| CALL SLB OCT 00065.00 3.0000 | 10/18/10 | 10/04/10 | 68 99 | - | 68 99 |
| CALL PRU OCT 00055.00 | 10/18/10 | 10/04/10 | 164 99 | - | 164 99 |
| CALL LH OCT 00080.00 | 10/18/10 | 10/04/10 | 89 99 | - | 89 99 |
| CALL ETN OCT 00085 00 | 10/18/10 | 10/04/10 | 129 99 | - | 129 99 |
| CALL SNDK OCT 00040.00 | 10/18/10 | 10/04/10 | 111 99 | - | 111 99 |
| AVON PROD INC | 10/04/10 | 10/18/10 | 19,409 67 | 18,831 48 | 578 19 |
| JOHNSON CONTROLS INC | 10/04/10 | 10/18/10 | 15,624 73 | 14,983 35 | 641.38 |
| KINROSS GOLD CORP | 07/26/10 | 10/18/10 | 19,299 67 | 16,309 90 | 2,989.77 |
| MICROSOFT CORP | 10/04/10 | 10/18/10 | 19,455 67 | 19,055 52 | 400.15 |
| PEABODY ENERGY CORP COM | 03/02/10 | 10/18/10 | 15,329 74 | 14,402.40 | 927 34 |
| SILVER WHEATON CORP | 10/04/10 | 10/18/10 | 16,463 72 | 15,578.64 | 885.08 |
| UNITED TECHS CORP COM | 07/01/10 | 10/18/10 | 21,917 62 | 19,310 01 | 2,607.61 |
| Nov-10 | | | | | |
| CALL PBR NOV 00040.00 | 11/22/10 | 10/04/10 | 143 99 | - | 143 99 |
| CALL WMT NOV 00055 00 | 11/22/10 | 10/04/10 | 170.99 | - | 170 99 |
| CALL PFE NOV 00019.00 | 11/22/10 | 10/04/10 | 39 99 | - | 39 99 |
| CALL PRU NOV 00055 00 | 11/22/10 | 10/25/10 | 308 99 | - | 308.99 |
| CALL NSC NOV 00065.00 | 11/22/10 | 10/25/10 | 259 99 | - | 259 99 |
| CALL NOK NOV 00011.00 | 11/22/10 | 10/04/10 | 499 99 | - | 499 99 |
| CALL MYI NOV 00021.00 | 11/22/10 | 10/04/10 | 116 99 | - | 116 99 |
| CALL MSFT NOV 00026.00 | 11/22/10 | 10/25/10 | 230.99 | - | 230 99 |
| CALL HK NOV 00020.00 | 11/22/10 | 10/04/10 | 83 99 | - | 83 99 |
| CALL KGC NOV 00018 00 | 11/22/10 | 10/25/10 | 557 99 | - | 557 99 |
| CALL GIW NOV 00019.00 | 11/22/10 | 10/25/10 | 479 99 | - | 479 99 |
| CALL BAX NOV 00052 50 | 11/22/10 | 10/25/10 | 155.99 | - | 155 99 |
| CALL BA NOV 00072.50 | 11/22/10 | 10/25/10 | 434 99 | - | 434 99 |
| CALL BA NOV 00067 50 | 11/22/10 | 10/04/10 | 620 99 | - | 620 99 |
| CALL DITR NOV 00055.00 | 11/22/10 | 10/25/10 | 139.99 | - | 139.99 |
| CALL MRO NOV 00037 00 | 11/22/10 | 10/25/10 | 264.99 | - | 264.99 |
| AMR CORP DEL | 03/23/10 | 11/22/10 | 14,359.75 | 17,770 00 | (3,410 25) |
| AMERICA MOVII SAB DE CV | 10/04/10 | 11/16/10 | 16,889 71 | 16,212.00 | 677.71 |
| BAXTERINTERNTIINC | 1/26/10 | 11/22/10 | 15,248 74 | 17,735 70 | (2,486 96) |
| CREE INC | 4/22/10 | 11/22/10 | 17,456 70 | 23,267 97 | (5,811.27) |
| EATON CORP | 4/22/10 | 11/22/10 | 18,309 69 | 15,569 00 | 2,740.69 |
| FORD MOTOR CO NEW | 3/23/10 | 11/22/10 | 19,907.66 | 19,444 18 | 463 48 |
| GENI DYNAMICS CORP COM | 10/4/10 | 11/22/10 | 19,769 66 | 18,616 50 | 1,153 16 |
| HESS CORP | 1/19/10 | 11/22/10 | 32,889.44 | 31,096 75 | 1,792.69 |
| JOHNSON CONTROLS INC | 10/25/10 | 11/22/10 | 17,949 69 | 17,257 20 | 692.49 |
| OCCIDENTAL PETE CORP CAL | 10/04/10 | 11/22/10 | 17,301 70 | 16,171 98 | 1,129 72 |
| QUAICOMM INC | 1/26/10 | 11/22/10 | 14,414 75 | 14,129.82 | 284 93 |
| STEEL DYNAMICS INC COM | 03/02/10 | 11/22/10 | 12,999 77 | 13,487 92 | (488 15) |
| SCHIUMBERGER ITD | 04/22/10 | 11/22/10 | 21,995.62 | 20,337 00 | 1,658 62 |
| Dec-10 | | | | | |
| CALL HK DEC 00020 00 | 12/20/10 | 11/24/10 | 101 99 | - | 101 99 |
| CALL VOD DEC 00028 00 | 12/20/10 | 10/25/10 | 139 99 | - | 139 99 |
| CALL CNH DEC 00050 00 | 12/20/10 | 11/24/10 | 139 99 | - | 139 99 |

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|--------------------------|-----------|----------|-----------|-----------|------------|
| CALL DLTR DEC 00060 00 | 12/20/10 | 11/24/10 | 79 99 | - | 79.99 |
| CALL KGC DEC 00019 00 | 12/20/10 | 11/24/10 | 305.99 | - | 305.99 |
| CALL HPQ DEC 00045 00 | 12/20/10 | 11/24/10 | 211.99 | - | 211.99 |
| CALL WMT DEC 00055 00 | 12/20/10 | 11/24/10 | 83 99 | - | 83 99 |
| CALL C DEC 00005.00 | 12/20/10 | 10/04/10 | 89 99 | - | 89 99 |
| COSTCO WHOLESALE CRP DEL | 11/24/10 | 12/20/10 | 21,179.64 | 20,361 99 | 817 65 |
| DU PONT E I DE NEMOURS | 11/24/10 | 12/20/10 | 19,447.67 | 18,914.12 | 533.55 |
| ENER1 INC | 1/26/10 | 12/20/10 | 12,449.79 | 12,479 70 | (29 91) |
| FORD MOTOR CO NEW | 11/24/10 | 12/20/10 | 16,529.72 | 15,886 30 | 643 42 |
| HONEYWELL INTL INC DEL | 11/24/10 | 12/20/10 | 15,833 72 | 15,058 53 | 775 19 |
| HESS CORP | 11/24/10 | 12/20/10 | 14,727.74 | 14,054 00 | 673 74 |
| JOHNSON CONTROLS INC | 11/24/10 | 12/20/10 | 15,439.73 | 14,966 52 | 473 21 |
| LABORATORY CP AMER HLDGS | 04/22/10 | 12/20/10 | 17,079.70 | 16,080 92 | 998.78 |
| MAGNA INTL INC CL A VTG | 11/24/10 | 12/20/10 | 19,999 66 | 19,374 00 | 625 66 |
| MICROSOFT CORP | 10/25/10 | 12/20/10 | 18,381 68 | 17,689 00 | 692 68 |
| OCCIDENTAL PETE CORP CAL | 11/24/10 | 12/20/10 | 18,295 69 | 17,710 00 | 585 69 |
| PRUDENTIAL FINANCIAL INC | 07/01/10 | 12/20/10 | 16,637 71 | 16,044 90 | 592 81 |
| PEPSICO INC | 11/24/10 | 12/20/10 | 19,589 66 | 19,225 53 | 364.13 |
| SANDISK CORP INC | 07/01/10 | 12/20/10 | 17,507 70 | 17,017 84 | 489 86 |
| SCHLUMBERGER LTD | 11/24/10 | 12/20/10 | 16,163 72 | 15,393 02 | 770 70 |
| Jan-11 | | | | | |
| CALL GLW JAN 00020 00 | 01/24/11 | 11/24/10 | 149 99 | - | 149.99 |
| CALL HES JAN 00080 00 | 01/24/11 | 12/31/10 | 115.99 | - | 115 99 |
| CALL DD JAN 00050 00 | 01/24/11 | 12/31/10 | 248 99 | - | 248 99 |
| CALL HON JAN 00055 00 | 01/24/11 | 12/31/10 | 92 99 | - | 92 99 |
| CALL DLTR JAN 00060 00 | 01/24/11 | 12/31/10 | 79 99 | - | 79 99 |
| CALL KFT JAN 00032.00 | 01/24/11 | 11/24/10 | 79 99 | - | 79 99 |
| CALL CNH JAN 00050 00 | 01/24/11 | 12/31/10 | 239 99 | - | 239 99 |
| CALL BAX JAN 00055.00 | 01/24/11 | 11/24/10 | 53 99 | - | 53 99 |
| CALL NOK JAN 00011.00 | 01/24/11 | 11/24/10 | 199 99 | - | 199 99 |
| CALL CTL JAN 00043 00 | 01/24/11 | 11/24/10 | 179.99 | - | 179.99 |
| CALL COST JAN 00075 00 | 01/24/11 | 12/31/10 | 51.99 | - | 51 99 |
| CALL C JAN 00005 00 | 01/24/11 | 12/31/10 | 179 99 | - | 179.99 |
| CALL NSC JAN 00065 00 | 01/24/11 | 11/24/10 | 279 99 | - | 279 99 |
| CALL OXY JAN 00100 00 | 01/24/11 | 12/31/10 | 273 99 | - | 273 99 |
| CALL KGC JAN 00020.00 | 01/24/11 | 12/31/10 | 206.99 | - | 206 99 |
| CALL JCI JAN 00040 00 | 01/24/11 | 12/31/10 | 139.99 | - | 139.99 |
| CALL VALEI JAN 00036 00 | 01/24/11 | 12/31/10 | 184 99 | - | 184 99 |
| BOEING COMPANY | 10/04/10 | 1/24/11 | 21,293 58 | 20,017 50 | 1,276 08 |
| BOEING COMPANY | 10/25/10 | 1/24/11 | 21,293 60 | 21,634 50 | (340.90) |
| FORD MOTOR CO NEW | 12/31/10 | 1/24/11 | 19,491 62 | 18,499 80 | 991.82 |
| MARATHON OIL CORP | 10/25/10 | 1/24/11 | 18,199 64 | 18,047 00 | 152 64 |
| MYLAN INC | 04/22/10 | 1/24/11 | 20,528 60 | 19,628.91 | 899 69 |
| PFIZER INC | 01/26/10 | 1/24/11 | 14,151 72 | 15,008 00 | (856.28) |
| TOTAL S A SP ADR | 01/26/10 | 1/24/11 | 16,679 67 | 18,068 46 | (1,388 79) |
| Feb-11 | | | | | |
| CALL PEP FEB 00067 50 | 2/22/2011 | 12/31/10 | 152 99 | - | 152 99 |
| CALLAVP FEB 00030 00 | 2/22/2011 | 01/20/11 | 269.99 | - | 269.99 |
| CALL SNDK FEB 00055.00 | 2/22/2011 | 12/31/10 | 440 99 | - | 440.99 |
| CALLCTL FEB 00045 00 | 2/22/2011 | 02/09/11 | 79 99 | - | 79 99 |
| CALL MSFT FEB 00029 00 | 2/22/2011 | 12/31/10 | 97 99 | - | 97.99 |
| CALLJEC FEB 00055 00 | 2/22/2011 | 01/20/11 | 74.99 | - | 74 99 |

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|--------------------------|------------|----------|-----------|-----------|----------|
| HEWLETT PACKARD CO DEL | 11/24/2010 | 02/22/11 | 18,167 64 | 17,466 12 | 701 52 |
| MAGNA INTL INC CL A VTG | 12/31/2010 | 02/22/11 | 16,784 67 | 15,586 26 | 1,198 41 |
| VODAFONE GROU PLC SP ADR | 10/4/2010 | 02/22/11 | 19,319 63 | 17,751 93 | 1,567.70 |
| BLACKSTONE GROUP L | 1/20/2011 | 02/22/11 | 16,289 68 | 15,126 50 | 1,163 18 |
| Mar-11 | | | | | |
| CALL POT MAR 00066 67 | 03/21/11 | 02/09/11 | 199 99 | - | 199 99 |
| CALL UNH MAR 00046 00 | 03/21/11 | 02/09/11 | 83 99 | - | 83 99 |
| CALL EQIX MAR 00100 00 | 03/21/11 | 02/09/11 | 269 99 | - | 269.99 |
| CALL HON MAR 00060 00 | 03/21/11 | 02/09/11 | 104 99 | - | 104 99 |
| CALL F MAR 00017 00 | 03/21/11 | 02/09/11 | 349 99 | - | 349 99 |
| CALL OXY MAR 00105 00 | 03/21/11 | 02/09/11 | 107 99 | - | 107 99 |
| CALL NOK MAR 00014.00 | 03/21/11 | 02/09/11 | 139 99 | - | 139 99 |
| CALLKGC MAR 00020 00 | 03/21/11 | 02/09/11 | 80 99 | - | 80 99 |
| CALLJCI MAR 00042.00 | 03/21/11 | 02/09/11 | 199 99 | - | 199 99 |
| CALLGLW MAR 00024 00 | 03/21/11 | 02/09/11 | 319.99 | - | 319.99 |
| CALL HES MAR 00090 00 | 03/21/11 | 02/09/11 | 93 99 | - | 93 99 |
| CALLCNH MAR 00055 00 | 03/21/11 | 02/09/11 | 259 99 | - | 259.99 |
| CALL DLTR MAR 00055 00 | 03/21/11 | 02/09/11 | 119 99 | - | 119 99 |
| CALLDD MAR 00055 00 | 03/21/11 | 02/09/11 | 194 99 | - | 194 99 |
| CALL COST MAR 00080 00 | 03/21/11 | 02/09/11 | 37 99 | - | 37 99 |
| CALLBAX MAR 00052 50 | 03/21/11 | 02/09/11 | 80 99 | - | 80 99 |
| CALLAXL MAR 00015 00 | 03/21/11 | 02/09/11 | 179 99 | - | 179 99 |
| CALL TEF MAR 00026.67 | 03/21/11 | 02/09/11 | 119 99 | - | 119.99 |
| CALL KFT MAR 00032 00 | 03/21/11 | 02/09/11 | 119 99 | - | 119 99 |
| CALL QCOM MAR 00060 00 | 03/21/11 | 02/09/11 | 101 99 | - | 101 99 |
| CALL PRU MAR 00067 50 | 03/21/11 | 02/09/11 | 215.99 | - | 215 99 |
| LORILLARD INC | 02/24/11 | 03/21/11 | 16,409 68 | 15,650 00 | 759 68 |
| NEW GOLD INC CDA | 02/09/11 | 03/21/11 | 16,479 68 | 14,713 28 | 1,766 40 |
| NORFOLK SOUTHERN CORP | 10/25/10 | 03/21/11 | 25,432.90 | 24,304 32 | 1,128 58 |
| NORFOLK SOUTHERN CORP | 10/25/10 | 03/21/11 | 786 59 | 751 80 | 34 79 |
| Apr-11 | | | | | |
| CALL SNDK APR 00055.00 | 04/18/11 | 02/25/11 | 419 99 | - | 419 99 |
| CALL C APR 00005 50 | 04/18/11 | 02/08/11 | 179 99 | - | 179 99 |
| CALL PEP APR 00067 50 | 04/18/11 | 02/25/11 | 38 99 | - | 38 99 |
| CALL AVP APR 00031.00 | 04/18/11 | 02/25/11 | 239 99 | - | 239 99 |
| CALL ESRX APR 00057 50 | 04/18/11 | 02/25/11 | 347 99 | - | 347 99 |
| CALL CTL APR 00043 00 | 04/18/11 | 02/25/11 | 99 99 | - | 99.99 |
| CALL VALE APR 00037 00 | 04/18/11 | 02/09/11 | 319 99 | - | 319 99 |
| CALL MU APR 00013 00 | 04/18/11 | 02/25/11 | 335 99 | - | 335 99 |
| CALL AXL APR 00016 00 | 04/18/11 | 02/09/11 | 539.99 | - | 539 99 |
| CALL MSFr APR 00031 00 | 04/18/11 | 02/09/11 | 65 99 | - | 65.99 |
| CALL CMCSK APR 00024 00 | 04/18/11 | 02/09/11 | 119 99 | - | 119 99 |
| CALL ADM APR 00040 00 | 04/18/11 | 02/25/11 | 184.99 | - | 184 99 |
| May-11 | | | | | |
| CALL VALE MAY00035 00 | 05/23/11 | 04/26/11 | 199.99 | - | 199 99 |
| CALL STX MAY 00017 00 | 05/23/11 | 05/16/11 | 249 99 | - | 249 99 |
| CALL OXY MAY 00105 00 | 05/23/11 | 04/26/11 | 263 99 | - | 263.99 |
| CALL GLW MAY 00022 00 | 05/23/11 | 04/26/11 | 179 99 | - | 179 99 |
| CALL QCOM MAY 00057.50 | 05/23/11 | 04/26/11 | 293 99 | - | 293 99 |
| CALL MU MAY 00012.00 | 05/23/11 | 04/26/11 | 475 99 | - | 475 99 |
| CALL MSFT MAY 00028 00 | 05/23/11 | 04/26/11 | 155 99 | - | 155 99 |
| CALL JEC MAY 00050 00 | 05/23/11 | 04/26/11 | 494 99 | - | 494 99 |

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|--------------------------|----------|----------|-----------|-----------|----------|
| CALLJCI MAY00041.00 | 05/23/11 | 04/26/11 | 259.99 | - | 259.99 |
| CALL HON MAY 00062 50 | 05/23/11 | 04/26/11 | 212.99 | - | 212.99 |
| CALL FLR MAY00070 00 | 05/23/11 | 04/26/11 | 359.99 | - | 359.99 |
| CALL EMR MAY 00060.00 | 05/23/11 | 04/26/11 | 299.99 | - | 299.99 |
| CALL GD MAY 00075.00 | 05/23/11 | 05/16/11 | 79.99 | - | 79.99 |
| CALL CNH MAY 00050 00 | 05/23/11 | 04/26/11 | 339.99 | - | 339.99 |
| CALL CMCSK MAY 00024 00 | 05/23/11 | 04/26/11 | 329.99 | - | 329.99 |
| CALL BX MAY 00020.00 | 05/23/11 | 04/26/11 | 239.99 | - | 239.99 |
| CALL POT MAY 00062 50 | 05/23/11 | 04/26/11 | 203.99 | - | 203.99 |
| CALL SNDK MAY00052 50 | 05/23/11 | 04/26/11 | 329.99 | - | 329.99 |
| CALL DD MAY 00057 50 | 05/23/11 | 04/26/11 | 68.99 | - | 68.99 |
| CALL HES MAY00082.50 | 05/23/11 | 04/26/11 | 329.99 | - | 329.99 |
| CALL CSX MAY 00077 50 | 05/23/11 | 04/26/11 | 215.99 | - | 215.99 |
| CALL ADM MAY 00037.00 | 05/23/11 | 04/26/11 | 364.99 | - | 364.99 |
| AVON PROD INC | 01/20/11 | 05/23/11 | 18,179.64 | 17,103.48 | 1,076.16 |
| BAXTERINTERNTLINC | 10/25/10 | 05/23/11 | 17,453.66 | 15,300.03 | 2,153.63 |
| COSTCO WHOLESALE CRP DEL | 12/31/10 | 05/23/11 | 16,201.68 | 14,441.98 | 1,759.70 |
| DOLLAR TREE INC | 05/27/10 | 05/16/11 | 27,138.31 | 18,713.70 | 8,424.61 |
| EXPRESS SCRIPTS INC COM | 02/25/11 | 05/23/11 | 17,330.66 | 16,680.00 | 650.66 |
| EQUINIX INC | 02/09/11 | 05/23/11 | 19,439.63 | 17,809.22 | 1,630.41 |
| KRAFT FOODS INC VA CL A | 11/24/10 | 05/23/11 | 17,149.66 | 15,215.00 | 1,934.66 |
| MERCK AND CO INC SHS | 04/26/11 | 05/23/11 | 17,834.65 | 17,528.25 | 306.40 |
| PRUDENTIAL FINANCIAL INC | 12/31/10 | 05/23/11 | 19,085.63 | 17,617.59 | 1,468.04 |
| PEPSICO INC | 12/31/10 | 05/23/11 | 21,059.59 | 19,588.59 | 1,471.00 |
| RACKSPACE HOSTING INC | 05/16/11 | 05/23/11 | 17,119.67 | 16,651.76 | 467.91 |
| UNITEDHEALTH GROUP INC | 02/09/11 | 05/23/11 | 19,535.62 | 16,832.00 | 2,703.62 |
| | Jun-11 | | | | |
| CALL 8NDK JUN 00050.00 | 06/20/11 | 05/27/11 | 68.99 | - | 68.99 |
| CALL KO JUN 00067 50 | 06/20/11 | 05/27/11 | 134.99 | - | 134.99 |
| CALL EQIX JUN 00105 00 | 06/20/11 | 05/27/11 | 169.99 | - | 169.99 |
| CALL HE8 JUN 00082 50 | 06/20/11 | 05/27/11 | 153.99 | - | 153.99 |
| CALL HAL JUN 00052 50 | 06/20/11 | 05/27/11 | 158.99 | - | 158.99 |
| CALL G18 JUN 00040.00 | 06/20/11 | 05/27/11 | 119.99 | - | 119.99 |
| CALL GD JUN 00075.00 | 06/20/11 | 05/27/11 | 29.99 | - | 29.99 |
| CALL C08T JUN 00082 50 | 06/20/11 | 05/27/11 | 175.99 | - | 175.99 |
| CALL BAX JUN 00060.00 | 06/20/11 | 05/27/11 | 104.99 | - | 104.99 |
| CALLAXL JUN 00014 00 | 06/20/11 | 04/26/11 | 719.99 | - | 719.99 |
| CALLF JUN 00017 00 | 06/20/11 | 04/26/11 | 279.99 | - | 279.99 |
| CALLAVP JUN 00030.00 | 06/20/11 | 05/27/11 | 149.99 | - | 149.99 |
| CALL CNH JUN 00045 00 | 06/20/11 | 05/27/11 | 119.99 | - | 119.99 |
| CALL RAX JUN 00045.00 | 06/20/11 | 05/27/11 | 299.99 | - | 299.99 |
| CALLOXY JUN 00110 00 | 06/20/11 | 05/27/11 | 259.99 | - | 259.99 |
| CALL MET JUN 00045 00 | 06/20/11 | 05/27/11 | 191.99 | - | 191.99 |
| | Jul-11 | | | | |
| CALL POT JUL 00062.50 | 07/18/11 | 05/27/11 | 203.99 | - | 203.99 |
| CALL STX JUL 00018.00 | 07/18/11 | 05/27/11 | 329.99 | - | 329.99 |
| CALLJEC JUL 00050 00 | 07/18/11 | 05/27/11 | 119.99 | - | 119.99 |
| CALL MU JUL 00012.00 | 07/18/11 | 05/27/11 | 223.99 | - | 223.99 |
| CALL VALE JUL 00035.00 | 07/18/11 | 05/27/11 | 119.99 | - | 119.99 |
| CALL MRK JUL 00037 00 | 07/18/11 | 05/27/11 | 199.99 | - | 199.99 |
| CALL HON JUL 00062 50 | 07/18/11 | 05/27/11 | 158.99 | - | 158.99 |
| CALL KGC JUL 00018.00 | 07/18/11 | 05/27/11 | 143.99 | - | 143.99 |

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|----------------------------------|----------|----------|---------------------|---------------------|------------------|
| CALL CTL JUL 00043 00 | 07/18/11 | 05/27/11 | 259.99 | - | 259.99 |
| CALL GLW JUL 00021.00 | 07/18/11 | 05/27/11 | 249.99 | - | 249.99 |
| CALL ESRX JUL 00062 50 | 07/18/11 | 05/27/11 | 134.99 | - | 134.99 |
| CALL ADM JUL 00034 00 | 07/18/11 | 05/27/11 | 174.99 | - | 174.99 |
| CALL CSX JUL 00027.50 | 07/18/11 | 05/27/11 | 217.99 | - | 217.99 |
| CALL FLR JUL 00072 50 | 07/18/11 | 05/27/11 | 219.99 | - | 219.99 |
| CALL JCI JUL 00042 00 | 07/18/11 | 05/27/11 | 99.99 | - | 99.99 |
| CALL QCOM JUL 00062 50 | 07/18/11 | 05/27/11 | 101.99 | - | 101.99 |
| COLGATE PALMOLIVE | 05/27/11 | 07/18/11 | 17,721.65 | 17,156.88 | 564.77 |
| MICROSOFT CORP | 12/31/10 | 07/18/11 | 15,743.69 | 16,721.94 | (978.25) |
| MICROSOFT CORP | 02/09/11 | 07/18/11 | 15,743.70 | 16,858.98 | (1,115.28) |
| Aug-11 | | | | | |
| CALL BAX AUG 00062 50 | 08/22/11 | 06/30/11 | 209.99 | - | 209.99 |
| CALL TEF AUG 00022.50 | 08/22/11 | 07/28/11 | 299.99 | - | 299.99 |
| CALL SNDK AUG 00050 00 | 08/22/11 | 06/30/11 | 89.99 | - | 89.99 |
| CALL QCOM AUG 00057 50 | 08/22/11 | 07/28/11 | 161.99 | - | 161.99 |
| CALL FLR AUG 00070 00 | 08/22/11 | 07/28/11 | 69.99 | - | 69.99 |
| CALL VALE2 AUG 00035 00 | 08/22/11 | 07/28/11 | 99.99 | - | 99.99 |
| CALL KO AUG 00070.00 | 08/22/11 | 06/30/11 | 95.99 | - | 95.99 |
| CALLJEC AUG 00041.00 | 08/22/11 | 07/28/11 | 134.99 | - | 134.99 |
| CALLSTX AUG 00015 00 | 08/22/11 | 07/28/11 | 269.99 | - | 269.99 |
| CALL HES AUG 00080 00 | 08/22/11 | 06/30/11 | 253.99 | - | 253.99 |
| CALL MU AUG 00008 00 | 08/22/11 | 07/28/11 | 195.99 | - | 195.99 |
| CALL GD AUG 00080.00 | 08/22/11 | 06/30/11 | 69.99 | - | 69.99 |
| CALL HON AUG 00055 00 | 08/22/11 | 07/28/11 | 179.99 | - | 179.99 |
| CALL HAL AUG 00055 00 | 08/22/11 | 06/30/11 | 257.99 | - | 257.99 |
| CALLF AUG 00015 00 | 08/22/11 | 06/30/11 | 189.99 | - | 189.99 |
| CALL EQIX AUG 00105 00 | 08/22/11 | 06/30/11 | 479.99 | - | 479.99 |
| CALL ESRX AUG 00057.50 | 08/22/11 | 07/28/11 | 53.99 | - | 53.99 |
| CALLEMR AUG 00060 00 | 08/22/11 | 06/30/11 | 104.99 | - | 104.99 |
| CALL DD AUG 00057 50 | 08/22/11 | 06/30/11 | 113.99 | - | 113.99 |
| CALL CMC5K AUG 00026 00 | 08/22/11 | 06/30/11 | 89.99 | - | 89.99 |
| CALL COST AUG 00085 00 | 08/22/11 | 06/30/11 | 131.99 | - | 131.99 |
| CALL ADM AUG 00033 00 | 08/22/11 | 07/28/11 | 124.99 | - | 124.99 |
| CALLCSX AUG 00025 83 | 08/22/11 | 07/28/11 | 26.99 | - | 26.99 |
| CALLAVP AUG 00030 00 | 08/22/11 | 06/30/11 | 149.99 | - | 149.99 |
| CALL POT AUG 00062 50 | 08/22/11 | 07/28/11 | 227.99 | - | 227.99 |
| CALLAXL AUG 00012.00 | 08/22/11 | 06/30/11 | 959.98 | - | 959.98 |
| CALL RAX AUG 00047 00 | 08/22/11 | 06/30/11 | 299.99 | - | 299.99 |
| CALL MET AUG 00047 00 | 08/22/11 | 06/30/11 | 175.99 | - | 175.99 |
| CALLOXY AUG 00115 0 | 08/22/11 | 06/30/11 | 151.99 | - | 151.99 |
| ARCHER DANIELS MIDLD | 02/25/11 | 08/22/11 | 13,732.04 | 18,368.70 | (4,636.66) |
| Sep-11 | | | | | |
| CALL GIS SEP 00039.00 | 09/19/11 | 07/28/11 | 99.99 | - | 99.99 |
| CALL MRK SEP 00037.00 | 09/19/11 | 07/28/11 | 139.99 | - | 139.99 |
| SHORT CAPITAL GAINS TOTAL | | | 1,212,386.90 | 1,134,666.17 | 77,720.73 |
| LONG TERM CAPITAL GAINS | | | | | |
| PETROLEO BRAS VTG SPD ADR | 10/19/09 | 01/24/11 | 10,709.79 | 15,398.82 | (4,689.03) |
| WAL-MART STORES INC | 11/30/09 | 01/24/11 | 16,574.67 | 16,319.19 | 255.48 |

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|--------------------------------------|----------|----------|--------------|--------------|-------------|
| PETROHAWK ENERGY CORP | 10/19/09 | 02/22/11 | 12,269 76 | 16,769 46 | (4,499 70) |
| CITIGROUP INC | 08/28/09 | 04/26/11 | 13,469 74 | 15,449 70 | (1,979 96) |
| NOKIA CORP SPON ADR | 08/28/09 | 05/04/11 | 9,089 81 | 13,878 40 | (4,788 59) |
| NOKIA CORP SPON ADR | 09/23/09 | 05/04/11 | 9,089 83 | 15,880.00 | (6,790 17) |
| LONG TERM CAPITAL GAINS TOTAL | | | 71,203 60 | 93,695 57 | (22,491 97) |
| TOTAL | | | 1,283,590 50 | 1,228,361 74 | 55,228 76 |