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# Return of Private Foundation

## or Section 4947(a)(1) Nonexempt Charitable Trust

### Treated as a Private Foundation

2010

Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning OCT 1, 2010, and ending SEP 30, 2011

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

Name of foundation <b>IRVIN STERN FOUNDATION</b>		A Employer identification number <b>36-6047947</b>
Number and street (or P O box number if mail is not delivered to street address) <b>4 EAST OHIO</b>	Room/suite <b>APT 6</b>	B Telephone number <b>312-321-9402</b>
City or town, state, and ZIP code <b>CHICAGO, IL 60611</b>		C If exemption application is pending, check here <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$ 10,331,564.</b> (Part I, column (d) must be on cash basis)	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		46.	46.		STATEMENT 1
4 Dividends and interest from securities		267,497.	267,497.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		835,664.			
b Gross sales price for all assets on line 6a		2,456.			
7 Capital gain net income (from Part IV, line 2)			835,664.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		1,103,207.	1,103,207.		
13 Compensation of officers, directors, trustees, etc		3,700.	370.		3,230.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees		11,435.	8,576.		2,859.
c Other professional fees		121,109.	99,412.		21,697.
17 Interest					
18 Taxes		12,689.	12,689.		0.
19 Depreciation and depletion		792.	0.		
20 Occupancy		22,545.	2,254.		20,291.
21 Travel, conferences, and meetings		27,170.	2,717.		24,453.
22 Printing and publications					
23 Other expenses		9,812.	4,914.		4,898.
24 Total operating and administrative expenses. Add lines 13 through 23		209,252.	130,932.		77,428.
25 Contributions, gifts, grants paid		898,000.			898,000.
26 Total expenses and disbursements. Add lines 24 and 25		1,107,252.	130,932.		975,428.
27 Subtract line 26 from line 12		<4,045.>			
a Excess of revenue over expenses and disbursements					
b Net investment income (if negative, enter -0-)			972,275.		
c Adjusted net income (if negative, enter -0-)				N/A	

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**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing	28,132.	6,651.	6,651.
	2 Savings and temporary cash investments	278,938.	718,912.	718,912.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable .....			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use .....			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 7	1,016,640.	670,358.	695,999.
	b Investments - corporate stock STMT 8	7,121,560.	7,048,993.	7,890,550.
	c Investments - corporate bonds STMT 9	557,142.	537,834.	622,293.
11 Investments - land, buildings, and equipment basis ▶				
Less: accumulated depreciation .....				
12 Investments - mortgage loans .....				
13 Investments - other STMT 10	337,747.	354,158.	391,818.	
14 Land, buildings, and equipment basis ▶ 16,897.				
Less: accumulated depreciation .....	2,533.	1,741.	1,741.	
15 Other assets (describe ▶ SECURITY DEPOSIT)	3,600.	3,600.	3,600.	
16 Total assets (to be completed by all filers)	9,346,292.	9,342,247.	10,331,564.	
<b>Liabilities</b>	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
<b>Net Assets or Fund Balances</b>	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	9,346,292.	9,342,247.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	9,346,292.	9,342,247.	
	31 Total liabilities and net assets/fund balances	9,346,292.	9,342,247.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	9,346,292.
2 Enter amount from Part I, line 27a	2	<4,045.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	9,342,247.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	9,342,247.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a SEE ATTACHMENT D LONG TERM</b>		VARIOUS	VARIOUS
<b>b SEE ATTACHMENT D SHORT TERM</b>		VARIOUS	VARIOUS
<b>c CAPITAL GAINS DIVIDENDS</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b>			757,492.
<b>b</b>			75,716.
<b>c</b> 2,456.			2,456.
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FM V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
<b>a</b>			757,492.
<b>b</b>			75,716.
<b>c</b>			2,456.
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	835,664.
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8 }	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	949,931.	10,868,290.	.087404
2008	767,068.	9,468,505.	.081013
2007	986,640.	12,182,951.	.080985
2006	1,020,611.	12,536,612.	.081410
2005	944,321.	12,027,296.	.078515

<b>2</b> Total of line 1, column (d)	2	.409327
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.081865
<b>4</b> Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	11,671,267.
<b>5</b> Multiply line 4 by line 3	5	955,468.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	6	9,723.
<b>7</b> Add lines 5 and 6	7	965,191.
<b>8</b> Enter qualifying distributions from Part XII, line 4	8	975,428.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	9,723.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	9,723.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	9,723.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments.			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	8,154.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	8,154.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	7.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,576.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2011 estimated tax	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation. \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>IL</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>WWW.IRVINSTERN.ORG</b>	13	X	
14	The books are in care of <b>GIMBEL SINGER + ABRAMS</b> Telephone no <b>312-782-1010</b> Located at <b>10 S. RIVERSIDE PLAZA, CHICAGO, IL</b> ZIP+4 <b>60606</b>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <b>15</b> N/A			
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country	16	Yes	No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11	AS NEEDED	3,700.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
HARRIS ASSOCIATES L.P. 2 N. LASALLE ST. STE. 500, CHICAGO, IL 60606	INVESTMENT SERVICES	65,639.
Total number of others receiving over \$50,000 for professional services		0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3	0.



**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	11,239,735.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	609,267.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	
<b>d</b>	Total (add lines 1a, b, and c)	<b>1d</b>	11,849,002.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	11,849,002.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	177,735.
<b>5</b>	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	11,671,267.
<b>6</b>	Minimum investment return. Enter 5% of line 5	<b>6</b>	583,563.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	583,563.
<b>2a</b>	Tax on investment income for 2010 from Part VI, line 5	<b>2a</b>	9,723.
<b>b</b>	Income tax for 2010. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	9,723.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	573,840.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0.
<b>5</b>	Add lines 3 and 4	<b>5</b>	573,840.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	573,840.

**Part XII** Qualifying Distributions (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	975,428.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	975,428.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	9,723.
<b>6</b>	Adjusted qualifying distributions. Subtract line 5 from line 4	<b>6</b>	965,705.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7*				573,840.
2 Undistributed Income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2010.				
a From 2005	372,915.			
b From 2006	415,052.			
c From 2007	398,908.			
d From 2008	295,965.			
e From 2009	417,900.			
f Total of lines 3a through e	1,900,740.			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$	975,428.			
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				573,840.
e Remaining amount distributed out of corpus	401,588.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	2,302,328.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	372,915.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	1,929,413.			
10 Analysis of line 9				
a Excess from 2006	415,052.			
b Excess from 2007	398,908.			
c Excess from 2008	295,965.			
d Excess from 2009	417,900.			
e Excess from 2010	401,588.			

## N/A

- (4) Gross investment income**

[illegible]

SEE ATTACHMENT E

<b>Part XV</b>	<b>Supplementary Information</b> (continued)
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### 3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year <b>SEE ATTACHED</b>		<b>PUBLIC CHARITY</b>	<b>GENERAL PURPOSE</b>	<b>898,000.</b>
<b>Total</b>			<b>► 3a</b>	<b>898,000.</b>
b Approved for future payment  <b>NONE</b>				
<b>Total</b>			<b>► 3b</b>	<b>0.</b>

**Part XVI-A      Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue:					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	46.	
4	Dividends and interest from securities			14	267,497.	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	835,664.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue:					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)		0.		1,103,207.	0.
13	Total. Add line 12, columns (b), (d), and (e)					1,103,207.

(See worksheet in line 13 instructions to verify calculations )

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]



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FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

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SOURCE	AMOUNT
VARIOUS	46.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	46.

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FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
VARIOUS	269,953.	2,456.	267,497.
TOTAL TO FM 990-PF, PART I, LN 4	269,953.	2,456.	267,497.

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FORM 990-PF ACCOUNTING FEES STATEMENT 3

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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	11,435.	8,576.		2,859.
TO FORM 990-PF, PG 1, LN 16B	11,435.	8,576.		2,859.

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FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	99,412.	99,412.		0.
ADMINISTRATIVE SECRETARY	21,697.	0.		21,697.
TO FORM 990-PF, PG 1, LN 16C	121,109.	99,412.		21,697.

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FORM 990-PF	TAXES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX PAID	1,129.	1,129.		0.
FEDERAL EXCISE TAX	11,560.	11,560.		0.
TO FORM 990-PF, PG 1, LN 18	12,689.	12,689.		0.

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FORM 990-PF	OTHER EXPENSES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OFFICE EXPENSE	2,035.	1,018.		1,017.
INSURANCE - D&O	1,101.	550.		551.
STATE FILING FEES	15.	15.		0.
COMPUTER EXPENSE	6,661.	3,331.		3,330.
TO FORM 990-PF, PG 1, LN 23	9,812.	4,914.		4,898.

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FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	7
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT A	X		514,993.	540,055.
150000 SHARES ILLINOIS STATE 4.071%		X	155,365.	155,944.
TOTAL U.S. GOVERNMENT OBLIGATIONS			514,993.	540,055.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			155,365.	155,944.
TOTAL TO FORM 990-PF, PART II, LINE 10A			670,358.	695,999.



FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT B	7,048,993.	7,890,550.
TOTAL TO FORM 990-PF, PART II, LINE 10B	7,048,993.	7,890,550.

FORM 990-PF	CORPORATE BONDS	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT C	537,834.	622,293.
TOTAL TO FORM 990-PF, PART II, LINE 10C	537,834.	622,293.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	10
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
650 ISHARES TR BARCLAYS BOND	COST	68,120.	67,587.
3700 SHRS VANGUARD BOND INDEX FUND	COST	286,038.	324,231.
TOTAL TO FORM 990-PF, PART II, LINE 13		354,158.	391,818.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS  
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 11

NAME AND ADDRESS	TITLE AND	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
STUART EPSTEIN 3 NORTH MAIN STREET EAST HAMPTON, NY 11937	TRUSTEE	1,600.	0.	0.
JEFFREY EPSTEIN 25 WEST HUBBARD CHICAGO, IL 60610	TRUSTEE	400.	0.	0.
KRISTEN BONCHER 106 EAST 85TH STREET APT 1S NEW YORK, NY 10028	TRUSTEE	250.	0.	0.
HEIDI BONCHER 315 DORSET HEIGHTS SOUTH BURLINGTON, VT 04503	TRUSTEE	400.	0.	0.
NICHOLAS EPSTEIN 3 NORTH MAIN STREET E HAMPTON, NY 11937	TRUSTEE	800.	0.	0.
ARTHUR WINTER 1800 SHERMAN AVE. EVANSTON, IL 60201	TRUSTEE	0.	0.	0.
DOROTHY WINTER 1001 GENTER APT 8G LA JOLLA, CA 90237	TRUSTEE	0.	0.	0.
SAMANTHA EPSTEIN 326 LESTER AVE. OAKLAND, CA 94606	TRUSTEE	250.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		3,700.	0.	0.

IRVIN STERN FOUNDATION  
FEIN 36-6047947  
990-PF, PAGE 2, LINE 10A

ATTACHMENT A

Shares	Name of Security	Ending Cost	Market Value
250,000	Federal Home Loan Bk 4.875%	\$ 261,077	\$ 277,145
250,000	U.S. Treasury Notes 3.625%	253,916	262,910
	Totals	<u>\$ 514,993</u>	<u>\$ 540,055</u>

ATTACHMENT A

Shares	Name of Security	Ending Cost	Market Value
4,625	Akamai Technologies	112,074	91,945
10,000	Belo Corporation	57,770	48,900
10,000	H & R Block Inc	159,849	133,100
1,700	Borg Warner	44,570	102,901
20,000	Boston Scientific Corp	120,868	118,200
1,600	Bunge Ltd	82,311	93,264
2,800	CGG Veritas	34,792	49,336
2,500	CA Inc	55,230	48,525
2,050	Charles River Lab	73,147	58,671
3,700	Chicago Bridge & Iron	23,108	105,931
4,950	Cincinnati Financial	138,651	130,334
1,700	Con Way, Inc	56,723	37,621
4,400	Denbury Resources	65,558	50,600
2,000	Eaton Vance	56,504	44,540
1,500	FMC Corp	54,804	103,740
650	Factset Reasearch System	33,477	57,831
2,700	FMC Technologies	41,463	101,520
3,050	Forest Laboratories, inc	83,092	93,910
6,800	Gannett Company	24,766	64,804
2,400	Harris Corp	87,743	82,008
1,409	ITT Corp	64,388	59,178
9,000	Interpublic Group	58,649	64,800
1,600	Itron Inc	82,152	47,200
5,900	Jabil Circuit	55,474	104,961
2,650	Lexmark Intl	105,039	71,630
1,650	Manpower Inc	100,652	55,473
4,000	Mattel	70,861	103,560
3,900	Mcgraw-Hill Co	125,037	159,900
5,600	Molex Inc	143,491	114,072
1,650	Molson Coors	62,991	65,357
14,400	New York Times	171,632	83,664
1,000	Northern Trust Corp	38,828	34,980
5,800	Nuance Communications	96,108	117,972
2,900	Pearson PLC	28,668	50,779
3,200	Perkinelmer Inc	50,030	61,472
2,000	Scholastic Corp	64,247	56,060
1,850	Sigma Aldrich Corp	100,830	114,312
9,100	Southwest Airlines	110,812	73,164
1,600	URS Corp	66,311	47,456
3,300	Unisys Corp	93,316	51,777
1,200	Varian Medical	42,774	62,592
3,800	Werner Enterprises	69,697	79,154
2,900	Zebra Technologies	94,937	89,726
6,000	Abbott Labs Com	1,063	306,840
2,600	Apache	188,716	208,624
17,000	Applied Materials	210,510	175,950
10,600	Broadridge Financial Solutions	224,522	213,484
8,000	Cenovus Energy	200,848	245,680
5,000	Covidien Ltd	188,022	220,500
3,600	Diageo	189,411	273,348
3,600	General Dynamics	204,347	204,804
6,000	Illinois Tool Works	172,268	249,600
12,500	Intel Corp	285,829	266,625
3,400	Lab Corp of America	226,260	268,770
10,000	Oracle Corp	125,781	287,400
7,000	Pentair, Inc Com	211,840	224,070
3,400	Pepsico	213,345	210,460
9,000	Republic Services	272,873	252,540
13,000	Sara Lee	181,091	212,550
5,000	Snap on Tools	156,228	222,000
8,300	Texas Instruments	257,123	221,195
8,500	TE Connectivity	235,492	239,190
		<u>\$ 7,048,993</u>	<u>\$ 7,890,550</u>

IRVIN STERN FOUNDATION  
FEIN 36-6047947  
990-PF, PAGE 2, LINE 10C

ATTACHMENT C

Shares	Name of Security	Ending Cost	Market Value
200,000	Partnerre Fin A LLC	\$ 197,368	\$ 222,450
48,000	Ominicare 6.975%	\$ 49,442	\$ 49,872
100,000	Foot Locker	103,260	112,987
200,000	Yum Brands	187,764	236,984
	Totals	\$ 537,834	\$ 622,293

ATTACHMENT C

IRVIN STERN FOUNDATION  
FEIN 36-6047947  
CAPITAL GAINS AND (LOSSES)

Date Purch	Date Sold	# of Shares	Security name	Sale of Securities	Cost of Securities	Long term Gain/(Loss)	Short Term Gain/(Loss)
9/28/2009	10/22/2010	250000	FNMA QC10 MSTEP	250,000 00	249,000 00	1,000 00	
8/23/2005	11/22/2010	2000	Tyco Electronics	61,960 75	65,921 28	(3,960 53)	
Various	11/22/2010	5000	Fortune Brands Inc	291,966 06	143,132 70	148,833 36	
2/11/2009	11/22/2010	3500	Goodrich Corp	288,635 57	137,635 05	151,000 52	
12/6/2005	11/22/2010	2500	Intel Corp	52,782 85	67,569 59	(14,786 74)	
1/20/2005	11/22/2010	1400	Snap on Tools	72,018 70	47,018 42	25,000 28	
11/22/2010	12/7/2010	17800	Del Monte Foods	334,090 35	259,046 96		75,043 39
5/27/2009	2/14/2011	4600	Torchmark Corp	295,475 44	173,853 20	121,622 24	
VAR - LT	4/12/2011	1000	ABBOTT LABS	50,391 93	177 24	50,214 69	
VAR - LT	4/12/2011	1000	APPLIED MATERIAL INC	15,472 60	12,382 96	3,089 64	
VAR - LT	4/12/2011	2000	CENOVUS ENERGY INC	78,600 89	50,212 00	28,388 89	
VAR - LT	5/25/2011	20000	VAIL RESORTS INC 6 75%	202,250 00	172,010 00	30,240 00	
VAR - LT	6/29/2011	3000	SARA LEE CORP	56,434 01	41,807 41	14,626 60	
VAR - LT	6/30/2011	250000	UNITED STATES TREAS 3 625%	250,000 00	252,646 72	(2,646 72)	
7/8/2011	9/6/2011	96000	OMNICARE INC 6 875%	99,300 48	98,889 60		410 88
7/8/2011	9/23/2011	56000	OMNICARE INC 6 875%	57,925 28	57,678 00		247 28
9/14/2006	10/6/2010	600	PEARSON PLC ADR	9,567 49	8,457 20	1,110 29	
9/26/2006	10/13/2010	600	CHICAGO BRIDGE & IRON	15,575 77	14,196 38	1,379 39	
12/27/2006	10/13/2010	300	CHICAGO BRIDGE & IRON	7,787 88	8,104 98	(317 10)	
9/6/2006	10/15/2010	250	LEXMARK INTL INC CL A	11,088 86	11,982 00	(893 14)	
7/31/2008	10/27/2010	300	AKAMAI TECHNOLOGIES	15,129 00	7,435 18	7,693 82	
9/26/2006	10/27/2010	200	BECKMAN COULTER INC	10,203 86	11,434 97	(1,231 11)	
12/11/2006	10/27/2010	100	BECKMAN COULTER INC	5,101 93	5,945 70	(843 77)	
9/11/2006	11/3/2010	500	A H BELO CORPORATION	4,317 97	7,855 37	(3,537 40)	
2/9/2007	11/3/2010	100	A H BELO CORPORATION	863 59	1,846 63	(983 04)	
8/14/2007	11/5/2010	150	INTUIT INC	7,214 52	4,217 00	2,997 52	
5/20/2008	11/5/2010	750	INTUIT INC	36,072 59	20,470 45	15,602 14	
5/18/2009	11/5/2010	500	INTUIT INC	24,048 40	12,173 45	11,874 95	
1/29/2007	11/30/2010	100	FMC TECHNOLOGIES INC	8,484 31	2,705 74	5,778 57	
9/6/2006	12/7/2010	200	BORG WARNER INC	13,271 63	5,847 51	7,424 12	
1/29/2007	12/7/2010	200	FMC TECHNOLOGIES INC	17,732 95	5,411 48	12,321 47	
12/27/2006	12/29/2010	200	CHICAGO BRIDGE & IRON	6,555 14	5,403 31	1,151 83	
6/14/2007	12/29/2010	400	JABIL CIRCUIT INC	7,987 51	8,129 95	(142 44)	
4/29/2009	12/29/2010	200	PERKINELMER INC	5,177 06	2,763 38	2,413 68	
10/1/2007	1/25/2011	400	MANPOWER INC	26,584 46	26,730 95	(146 49)	
7/16/2007	1/25/2011	200	VARIAN MEDICAL SYSTEMS	14,165 98	8,919 58	5,246 40	
1/29/2007	1/27/2011	150	FMC TECHNOLOGIES INC	14,255 15	4,058 61	10,196 54	
2/12/2008	1/27/2011	100	FMC TECHNOLOGIES INC	9,503 44	2,705 74	6,797 70	
12/27/2006	2/1/2011	100	CHICAGO BRIDGE & IRON	3,390 33	2,701 66	688 67	
2/4/2008	2/1/2011	200	CHICAGO BRIDGE & IRON	6,780 66	8,972 63	(2,191 97)	
6/19/2007	2/1/2011	200	JABIL CIRCUIT INC	4,137 37	4,061 95	75 42	
4/29/2009	2/1/2011	100	PERKINELMER INC	2,577 10	1,381 69	1,195 41	
9/6/2006	2/1/2011	100	ZEBRA TECHNOLOGIES CL A	3,984 57	3,038 53	946 04	
9/29/2006	3/28/2011	100	BORG WARNER INC	7,639 50	2,878 74	4,760 76	
2/4/2008	3/28/2011	100	CHICAGO BRIDGE & IRON	3,970 65	4,486 32	(515 67)	
9/18/2008	3/28/2011	100	CHICAGO BRIDGE & IRON	3,970 65	2,057 28	1,913 37	
11/9/2007	3/28/2011	300	JABIL CIRCUIT INC	6,450 53	5,761 98	688 55	
9/29/2006	4/6/2011	100	BORG WARNER INC	8,114 09	2,878 75	5,235 34	
9/18/2008	4/6/2011	100	CHICAGO BRIDGE & IRON	4,100 07	2,057 28	2,042 79	
9/11/2006	4/6/2011	100	DENBURY RES INC	2,438 20	1,440 88	997 32	
9/6/2006	4/6/2011	100	HARRIS CORPORATION	4,982 15	3,958 05	1,024 10	
9/18/2008	4/25/2011	500	CHICAGO BRIDGE & IRON	20,101 26	10,286 39	9,814 87	
9/29/2006	6/22/2011	100	BORG WARNER INC	7,539 01	2,878 75	4,660 26	
1/5/2007	6/27/2011	505	CGG VERITAS	17,796 00	15,054 48	2,741 52	
9/6/2006	6/27/2011	500	INTERPUBLIC GROUP OF COS	5,926 94	4,020 68	1,906 26	
9/14/2006	6/27/2011	100	MATTEL INCORPORATED	2,714 85	1,919 00	795 85	
9/14/2006	6/27/2011	100	MATTEL INCORPORATED	2,714 67	1,919 00	795 67	
9/14/2006	6/27/2011	100	MATTEL INCORPORATED	2,714 91	1,919 00	795 91	
9/14/2006	6/27/2011	200	MATTEL INCORPORATED	5,429 54	3,838 00	1,591 54	
9/14/2006	6/27/2011	200	MATTEL INCORPORATED	5,429 55	3,837 96	1,591 59	
9/14/2006	6/27/2011	100	PEARSON PLC	1,855 59	1,409 54	446 05	
9/14/2006	6/27/2011	200	PEARSON PLC	3,709 21	2,819 07	890 14	
9/14/2006	6/27/2011	200	PEARSON PLC	3,711 01	2,819 07	891 94	
9/14/2006	6/27/2011	400	PEARSON PLC	7,418 43	5,638 12	1,780 31	
8/28/2007	6/27/2011	700	PEARSON PLC	12,982 23	10,292 95	2,689 28	
7/31/2007	6/27/2011	200	VARIAN MEDICAL SYSTEMS	13,707 19	8,229 95	5,477 24	
VAR - LT	6/27/2011	1300	BECKMAN COULTER INC	108,540 05	68,689 73	39,850 32	

IRVIN STERN FOUNDATION  
FEIN 36-6047947  
CAPITAL GAINS AND (LOSSES)

11/20/2008	7/20/2011	300	CHICAGO BRIDGE & IRON	12,234 11	1,680 72	10,553 39	
10/4/2006	9/6/2011	300	FMC TECHNOLOGIES INC	13,422 39	4,058 61	9,363 78	
2/1/2008	9/6/2011	100	PEARSON PLC	1,817 43	1,377 88	439 55	
2/1/2008	9/6/2011	100	PEARSON PLC	1,817 53	1,377 88	439 65	
2/1/2008	9/6/2011	100	PEARSON PLC	1,817 53	1,377 88	439 65	
2/1/2008	9/6/2011	7	PEARSON PLC	127 23	96 46	30 77	
2/1/2008	9/6/2011	100	PEARSON PLC	1,817 43	1,377 88	439 55	
2/1/2008	9/6/2011	100	PEARSON PLC	1,814 63	1,377 88	436 75	
2/1/2008	9/6/2011	100	PEARSON PLC	1,812 42	1,377 88	434 54	
2/1/2008	9/6/2011	100	PEARSON PLC	1,812 42	1,377 88	434 54	
2/1/2008	9/6/2011	93	PEARSON PLC	1,685 56	1,281 37	404 19	
5/14/2008	9/6/2011	207	PEARSON PLC	3,751 72	2,752 05	999 67	
5/14/2008	9/6/2011	300	PEARSON PLC	5,437 28	3,988 48	1,448 80	
5/14/2008	9/6/2011	93	PEARSON PLC	1,685 56	1,236 42	449 14	
11/26/2010	11/26/2010	14	FIDELITY INVESTMENTS	14 01	-		14 01
VAR - LT	11/15/2010		CARDNAL FITNESS (SEC LITIGATION)	1,083 07	-	1,083 07	
Totals				3,064,971 03	2,231,763 39	757,492 08	75,715 56

G:\Stern Foundation\9-30-11\investment recap-9-30-11.xls]990 TOTAL G-L

IRVIN STERN FOUNDATION  
*A private charitable trust*

Letter of Inquiry

Organizations meeting the Guidelines of the Irvin Stern Foundation are invited to submit a Letter of Inquiry that includes the following information:

*Organization name and overview*

*A brief statement of the organization's activities, history and tax-exempt status*

*Contact information including the submitting official's name, title, mailing address, e-mail address, and telephone and fax numbers*

*Grant purpose, including whether the grant is for annual operating support or a proposed project, and the major issues and problems to be addressed*

*Grant amount requested from the Foundation*

*Budget for the organization and the proposed project*

The Irvin Stern Foundation will review and respond to your organization's letter of inquiry promptly. If the Trustees wish to consider your proposal for funding, your organization will be invited to submit a full application and supporting materials. The Trustees of the Irvin Stern Foundation meet twice yearly, in the spring and fall.

On-line submissions of grant inquiry letters are strongly encouraged. Please visit the Irvin Stern Foundation website at [www.irvinstern.org](http://www.irvinstern.org) for more information.

Organizations may also submit a brief one to two page Letter of Inquiry including the above information via snail mail at:

The Irvin Stern Foundation  
4 EAST OHIO ST #16  
CHICAGO, IL 60611

ATTACHMENT E



# IRVIN STERN FOUNDATION

*A private charitable trust.*

## Grant Guidelines

The Irvin Stern Foundation makes grants to tax-exempt organizations in the areas of human services, civic affairs, and Jewish life. Priority is given to projects serving communities in metropolitan Chicago and New York within the following areas of emphasis:

**Human Services** Aiding the underserved, poor, and disadvantaged via innovative programs in social services, physical and mental health outreach, literacy, and vocational training.

**Civic Affairs** Helping to improve the quality of life in urban communities through grass roots and neighborhood organizations.

**Jewish Life** Enhancing Jewish community, education and spirituality.

The Irvin Stern Foundation does not contribute to endowments, capital campaigns, capital construction projects, and academic or medical research programs.

Organizations meeting the Guidelines of the Irvin Stern Foundation are invited to submit a Letter of Inquiry either on-line at the Irvin Stern Foundation website or via the US mail.

# IRVIN STERN FOUNDATION

*A private charitable trust.*

## GRANT REQUEST SUMMARY

Name of Organization: \_\_\_\_\_

Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Phone: \_\_\_\_\_ Fax Phone: \_\_\_\_\_

Name of Director or Contact Person: \_\_\_\_\_

Their Position or Title: \_\_\_\_\_

Briefly Describe Your Organization's Goals: \_\_\_\_\_

Amount Requested: \_\_\_\_\_ For the Period From: \_\_\_\_\_ To: \_\_\_\_\_

For What Program or Purpose? \_\_\_\_\_

What is your Organization's Total Operating Budget For the Current Year? \_\_\_\_\_

Please list last year's contributions (including the names of specific government agency) in the space provided below:

	Amount		Amount
Fed. Gov't: ( )	_____	United Way:	_____
State Gov't: ( )	_____	Jewish Federation:	_____
Local Gov't: ( )	_____	Catholic Charities:	_____
Foundation Gifts:	_____	Individual Gifts:	_____
Earned Income/Fees/Rents:	_____	Legacies/Bequests:	_____
Interest/Dividends:	_____	Other:	_____

Have you received a Grant from the ISF in the past? **Yes** **No** If yes, list last 5 years History in spaces below:

Year Received (starting with most recent):	19 _____	Amount: _____
	19 _____	_____
	19 _____	_____
	19 _____	_____
	19 _____	_____

Also, make sure the following information is included with your application: 1) Most recent Financial Statement (audited). 2) List of current Board of Directors. 3) List of current Foundation Donors and Grants made. 4) IRS 501(c) Letter.

ATTACHMENT E

IRVIN STERN FOUNDATION  
FEIN #36-6047947  
990-PF PAGE 1 LINE 25: CONTRIBUTIONS

Lakeview Pantry	\$10,000.00
3851 N. Broadway	
Chicago, IL, 60613	

Lincoln Park Community Shelter	\$5,000.00
600 W. Fullerton Parkway	
Chicago, IL, 60614	

Albany Park Theater Project	\$10,000 00
ORGANIZATION NAME	
P.O. Box 257995	
STREET ADDRESS	
Chicago	
CITY	
IL	
STATE	
60625	
ZIP CODE	

Barnes Jewish Hospital	\$5,000.00
ORGANIZATION NAME	
1001 Highlands Plaza	
Drive West	
Suite 140	
STREET ADDRESS	
St. Louis	
CITY	
MO	
STATE	
63110	
ZIP CODE	

Beth Emet Synagogue	\$5,000.00
ORGANIZATION NAME	
1224 Dempster Ave.	
STREET ADDRESS	
Evanston	
CITY	
IL	
STATE	
60202	
ZIP CODE	

Celiac Disease Center at Columbia University Medical Center	\$10,000.00
ORGANIZATION NAME	
180 Fort Washington Ave,	
Suite 934	
STREET ADDRESS	
New York	
CITY	
NY	
STATE	
10032	
ZIP CODE	

Children's Storefront School	\$5,000 00
ORGANIZATION NAME	
70 East 129th Street	
STREET ADDRESS	
New York	
CITY	
NY	
STATE	
10035	
ZIP CODE	

IRVIN STERN FOUNDATION  
FEIN #36-6047947  
990-PF PAGE 1 LINE 25: CONTRIBUTIONS

<b>Corazon a Corazon</b> ORGANIZATION NAME <b>8235 South Shore Drive</b> STREET ADDRESS <b>Chicago</b> CITY <b>IL</b> STATE <b>60617</b> District #65 Dewey Elementary School ORGANIZATION NAME <b>1551 Wesley Street</b> STREET ADDRESS <b>Evanston</b> CITY <b>IL</b> STATE <b>60201</b> ZIP CODE	<b>\$10,000 00</b>          <b>\$7,500.00</b>
<b>East Village Youth Center</b> ORGANIZATION NAME <b>3643 West Belmont</b> STREET ADDRESS <b>Chicago</b> CITY <b>IL</b> STATE <b>60618</b> ZIP CODE	<b>\$10,000.00</b>
<b>Generation Rescue</b> ORGANIZATION NAME <b>190528 Ventura Blvd</b> STREET ADDRESS <b>Tarzana</b> State CITY <b>CA</b> STATE <b>91356</b> ZIP CODE	<b>\$5,000 00</b>
<b>Greater Chicago Food Depository</b> ORGANIZATION NAME <b>4100 W. Ann Lurie Pl</b> STREET ADDRESS <b>Chicago</b> CITY <b>IL</b> STATE <b>60632</b> ZIP CODE	<b>\$10,000.00</b>
<b>Harlem Educational Activities Fund, Inc. (HEAF)</b> ORGANIZATION NAME <b>2090 7th Avenue</b> STREET ADDRESS <b>New York</b> CITY <b>NY</b> STATE <b>10027</b> ZIP CODE	<b>\$5,000.00</b>
<b>Heartland Alliance</b> ORGANIZATION NAME <b>208 South LaSalle #1818</b>	<b>\$10,000.00</b>

IRVIN STERN FOUNDATION  
FEIN #36-6047947  
990-PF PAGE 1 LINE 25: CONTRIBUTIONS

STREET ADDRESS

**Chicago**

CITY

**IL**

STATE

**60604**

ZIP CODE

**J Street Education Fund**

\$25,000.00

ORGANIZATION NAME

**P.O. Box 66073**

STREET ADDRESS

**Washington**

CITY

**DC**

STATE

**20035**

ZIP CODE

**Jewish Center of the Hamptons**

\$3,500 00

ORGANIZATION NAME

**44 Woods Lane**

STREET ADDRESS

**East**

**Hampton**

CITY

**NY**

STATE

**11937**

ZIP CODE

**Jewish Community Centers Association**

\$5,000.00.

ORGANIZATION NAME

**2 Millstone Campus Drive**

STREET ADDRESS

**St. Louis**

CITY

**MO**

STATE

**63146**

ZIP CODE

**Jewish Family Services of San Diego**

\$40,000.00

ORGANIZATION NAME

**8804 Balboa Avenue**

STREET ADDRESS

**San Diego**

CITY

**CA**

STATE

**92123**

ZIP CODE

**Jewish Federation of San Diego County**

\$25,000.00

ORGANIZATION NAME

**4950 Murphy Canyon**

STREET ADDRESS

**San Diego**

CITY

**CA**

STATE

**92123**

ZIP CODE

**Jewish Federation of St. Louis**

\$5,000.00

ORGANIZATION NAME

**12 Millstone Campus**

**Drive**

STREET ADDRESS

**St.Louis**

IRVIN STERN FOUNDATION  
FEIN #36-6047947  
990-PF PAGE 1 LINE 25: CONTRIBUTIONS

CITY  
**MO**  
STATE  
**63146**  
ZIP CODE

**Jewish United Fund of Chicago** \$120,000 00  
ORGANIZATION NAME  
**One South Franklin**  
STREET ADDRESS  
**Chicago**  
CITY  
**IL**  
STATE  
**60606**  
ZIP CODE

**La Casa Norte** \$10,000.00  
ORGANIZATION NAME  
**3533 W. North Avenue**  
STREET ADDRESS  
**Chicago**  
CITY  
**IL**  
STATE  
**60647**  
ZIP CODE

**Let's Get Ready (LGR)** \$10,000.00  
ORGANIZATION NAME  
**50 Broadway, Suite 806**  
STREET ADDRESS  
**New York**  
CITY  
**NY**  
STATE  
**10004**  
ZIP CODE

**Levine Hillel Center at the University of Chicago** \$3,000.00  
ORGANIZATION NAME  
**924 S. Morgan**  
STREET ADDRESS  
**Chicago**  
CITY  
**IL**  
STATE  
**60607**  
ZIP CODE

**Northern Lights - Howard Center** \$5,000.00  
ORGANIZATION NAME  
**76 Cherry Street**  
STREET ADDRESS  
**Burlington**  
CITY  
**VT**  
STATE  
**05401**  
ZIP CODE

**Prevent Human Trafficking - Project Hope International** \$7,500 00  
ORGANIZATION NAME  
**4410 Massachusetts Ave**  
STREET ADDRESS  
**#210**  
STREET ADDRESS  
**Washington**  
CITY  
**DC**  
STATE

IRVIN STERN FOUNDATION  
FEIN #36-6047947  
990-PF PAGE 1 LINE 25: CONTRIBUTIONS

20016  
ZIR CODE

<b>Prison University Project</b>	\$10,000 00
ORGANIZATION NAME	
<b>P.O Box 492</b>	
STREET ADDRESS	
<b>San Quentin</b>	
CITY	
<b>CA</b>	
STATE	
<b>94964</b>	
ZIP CODE	

<b>Retreat Inc.</b>	\$30,000.00
ORGANIZATION NAME	
<b>13 Goodfriend Drive</b>	
STREET ADDRESS	
<b>East</b>	
<b>Hampton</b>	
CITY	
<b>NY</b>	
STATE	
<b>11937</b>	
ZIP CODE	

<b>San Diego Hospice</b>	\$10,000.00
ORGANIZATION NAME	
<b>4311 Third Avenue</b>	
STREET ADDRESS	
<b>San Diego</b>	
CITY	
<b>CA</b>	
STATE	
<b>92103</b>	
ZIP CODE	

<b>Sargent Shriver National Center on Poverty Law</b>	\$10,000.00
ORGANIZATION NAME	
<b>50 East Washington</b>	
Street	
STREET ADDRESS	
<b>Chicago</b>	
CITY	
<b>IL</b>	
STATE	
<b>60602</b>	
ZIP CODE	

<b>Seeds of Peace</b>	\$10,000 00
ORGANIZATION NAME	
<b>370 Lexington Avenue</b>	
Suite 2103	
STREET ADDRESS	
<b>New York</b>	
CITY	
<b>NY</b>	
STATE	
<b>10017</b>	
ZIP CODE	

<b>The Common Good Institute, Inc.</b>	\$12,500.00
ORGANIZATION NAME	
<b>One Metrotech Center</b>	
STREET ADDRESS	
<b>Brooklyn</b>	
CITY	
<b>NY</b>	
STATE	

IRVIN STERN FOUNDATION  
FEIN #36-6047947  
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11201  
ZIP CODE

<b>The ISEF Foundation</b>	\$15,000.00
ORGANIZATION NAME	
<b>135 West 29th Street #303</b>	
STREET ADDRESS	
<b>New York</b>	
CITY	
<b>NY</b>	
STATE	
<b>10001</b>	
ZIP CODE	

<b>The New York Community Trust (for Wellmet Group)</b>	\$5,000.00
ORGANIZATION NAME	
<b>909 Third Avenue</b>	
STREET ADDRESS	
<b>New York</b>	
CITY	
<b>NY</b>	
STATE	
<b>10022</b>	
ZIP CODE	

<b>Turnaround for Children</b>	\$40,000.00
ORGANIZATION NAME	
<b>25 West 45th Street 6th</b>	
Floor	
STREET ADDRESS	
<b>New York</b>	
CITY	
<b>NY</b>	
STATE	
<b>10036</b>	
ZIP CODE	

<b>Vermont Children's Aid Society</b>	\$5,000.00
ORGANIZATION NAME	
<b>13 Kilburn Street</b>	
STREET ADDRESS	
<b>Burlington</b>	
CITY	
<b>VT</b>	
STATE	
<b>05401</b>	
ZIP CODE	

<b>Give 2 Asia</b>	\$15,000.00
ORGANIZATION NAME	
<b>19 Toa Payoh West</b>	
STREET ADDRESS	
<b>Singapore</b>	
CITY	
<b>China</b>	
STATE	
<b>318876</b>	
ZIP COD	

<b>A Just Harvest</b>	\$15,000.00
ORGANIZATION NAME	
<b>P.O. Box 608033</b>	
STREET ADDRESS	
<b>Chicago</b>	
CITY	
<b>IL</b>	
STATE	
<b>60626</b>	



IRVIN STERN FOUNDATION  
FEIN #36-6047947  
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ZIP CODE

**Access Community Health Network**

\$15,000.00

ORGANIZATION NAME

**222 North Canal Street**

STREET ADDRESS

**Chicago**

CITY

**IL**

STATE

**60606**

ZIP CODE

**Association of Community Employment Programs for the Homeless**

\$30,000.00

ORGANIZATION NAME

**598 Broadway, 7th Floor**

STREET ADDRESS

**New York**

CITY

**NY**

STATE

**10012**

ZIP CODE

**Bay Street Theatre Inc.**

\$7,500 00

ORGANIZATION NAME

**P.O. Box 810**

STREET ADDRESS

**Sag Harbor**

CITY

**NY**

STATE

**11963**

ZIP CODE

**Chicago Project for Violence Prevention "Ceasefire"**

\$25,000.00

ORGANIZATION NAME

**1603 west taylor**

STREET ADDRESS

**Chicago**

CITY

**IL**

STATE

**60612**

ZIP CODE

**Childrens Museum of the East End (CMEE)**

\$10,000 00

ORGANIZATION NAME

**P.O. Box 316, 376 Sag**

STREET ADDRESS

**Bridgehampton**

CITY

**NY**

STATE

**11932**

ZIP CODE

**Counseling Center of Lakeview**

\$10,000.00

ORGANIZATION NAME

**3225 North Sheffield**

STREET ADDRESS

**Chicago**

CITY

**IL**

STATE

**60657**

IRVIN STERN FOUNDATION  
FEIN #36-6047947  
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ZIP CODE

**Emergency Fund for Needy People**

\$25,000 00

ORGANIZATION NAME

**651 West Washington**

**Suite 504**

STREET ADDRESS

**Chicago**

CITY

**IL**

STATE

**60604**

ZIP CODE

**Environmental Law & Policy Center**

\$15,000 00

ORGANIZATION NAME

**35 E. Wacker Drive, Suite**

**1300**

STREET ADDRESS

**Chicago**

CITY

**IL**

STATE

**60601**

ZIP CODE

**Family Matters**

\$10,000.00

ORGANIZATION NAME

**7731 North Marshfield**

STREET ADDRESS

**Chicago**

CITY

**IL**

STATE

**60626**

ZIP CODE

**Gan Yeladim Preschool**

\$2,500.00

ORGANIZATION NAME

**57 S. Williams St**

STREET ADDRESS

**Burlington**

CITY

**VT**

STATE

**05401**

ZIP CODE

**Hesed House**

\$10,000.00

ORGANIZATION NAME

**659 River Street**

STREET ADDRESS

**Aurora**

CITY

**IL**

STATE

**60506**

ZIP CODE

**Housing Opportunities for Women**

\$10,000 00

ORGANIZATION NAME

**1607 W. Howard Street**

STREET ADDRESS

**Chicago**

CITY

**IL**

STATE

**60626**

ZIP CODE

IRVIN STERN FOUNDATION  
FEIN #36-6047947  
990-PF PAGE 1 LINE 25: CONTRIBUTIONS

I-PLUS \$5,000.00

ORGANIZATION NAME  
**3001 Green Bay Road**  
**Suite 140**  
STREET ADDRESS  
**North**  
**Chicago**  
CITY  
**IL**  
STATE  
**60645**  
ZIP CODE

**Jobs for Youth** \$5,000 00

ORGANIZATION NAME  
**17 North State Street**  
STREET ADDRESS  
**Chicago**  
CITY  
**IL**  
STATE  
**60602**  
ZIP CODE

**King Street Center** \$5,000.00

ORGANIZATION NAME  
**87 King Street**  
STREET ADDRESS  
**Burlington**  
CITY  
**VT**  
STATE  
**05401**  
ZIP CODE

**Lund Family Center** \$15,000 00

ORGANIZATION NAME  
**76 Glen Road**  
STREET ADDRESS  
**Burlington**  
CITY  
**VT**  
STATE  
**5406**  
ZIP CODE

**Max Cure Foundation** \$1,000 00

ORGANIZATION NAME  
**4 East Ohio**  
STREET ADDRESS  
**New York**  
CITY  
**NY**  
STATE ZIP CODE

**Mercy Home for Boys and Girls** \$10,000.00

ORGANIZATION NAME  
**1140 W. Jackson Blvd**  
STREET ADDRESS  
**Chicago**  
CITY  
**IL**  
STATE  
**60607**  
ZIP CODE

**National Autism Association** \$5,000 00

ORGANIZATION NAME  
**1330 W. Schatz Lane**  
STREET ADDRESS

IRVIN STERN FOUNDATION  
FEIN #36-6047947  
990-PF PAGE 1 LINE 25: CONTRIBUTIONS

Nixa  
CITY  
MO  
STATE  
65714  
ZIP CODE

Ohavi Zedek Synagogue  
ORGANIZATION NAME  
188 N. Prospect St  
STREET ADDRESS  
Burlington  
CITY  
VT  
STATE  
05401  
ZIP CODE

\$3,000.00

Recovery On Water  
ORGANIZATION NAME  
123 N. Jefferson  
Apt. 2F  
STREET ADDRESS  
Chicago  
CITY  
IL  
STATE  
60661  
ZIP CODE

\$5,000 00

Sinergia  
ORGANIZATION NAME  
2082 Lexington Ave. at  
125St.  
STREET ADDRESS  
New York,  
CITY  
N.Y.  
STATE  
10035  
ZIP CODE

\$5,000.00

Sisterhouse  
ORGANIZATION NAME  
851 North Leamington  
Ave  
STREET ADDRESS  
Chicago  
CITY  
IL  
STATE  
60651  
ZIP CODE

\$15,000 00

Telluride Association  
ORGANIZATION NAME  
217 West Ave.  
STREET ADDRESS  
Ithaca  
CITY  
NY  
STATE  
14850  
ZIP CODE

\$10,000 00

The Ark  
ORGANIZATION NAME  
6450 North California  
Avenue  
STREET ADDRESS

\$15,000 00

IRVIN STERN FOUNDATION  
FEIN #36-6047947  
990-PF PAGE 1 LINE 25: CONTRIBUTIONS

Chicago  
CITY  
IL  
STATE  
60645  
ZIP CODE

The East Hampton Healthcare Foundation

\$10,000.00

ORGANIZATION NAME  
200 Pantigo Place, Suite  
M  
STREET ADDRESS  
East  
Hampton  
CITY  
NY  
STATE  
11937  
ZIP CODE

The Rand Corporation

~~\$25,000.00~~

ORGANIZATION NAME  
1776 Main Street  
STREET ADDRESS  
Santa  
Monica  
CITY  
CA  
STATE  
90407  
ZIP CODE

Time for Teens

\$15,000.00

ORGANIZATION NAME  
26 Henry Street  
STREET ADDRESS  
Southampton  
CITY  
NY  
STATE  
11968  
ZIP CODE

Yearning for Learning Center for Jewish Studies, Inc.

\$7,500.00

ORGANIZATION NAME  
33 Loomis St  
STREET ADDRESS  
Montpelier  
CITY  
VT  
STATE  
05602  
ZIP CODE

Youth Organizations Umbrella, Inc.

\$10,000.00

ORGANIZATION NAME  
1027 Sherman Ave.  
STREET ADDRESS  
Evanston  
CITY  
IL  
STATE  
60202  
ZIP CODE

Vermont Food Bank

\$5,000.00

33 Parker Rd  
Barre, VT, 05641

IRVIN STERN FOUNDATION

FEIN #36-6047947

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American Jewish World Service	\$10,000.00
45 West 36th Street, 11th Floor	
New York, NY, 10018-2900	

KIPP Bay Area Schools	\$500.00
426 17th Street, Suite 200	
Oakland, CA, 94612	

Lamoille Family Center	\$2,000.00
480 Cady's Falls Rd.	
Morrisville , VT. 05661	

<b>TOTAL</b>	<b>\$898,000.00</b>
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