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. Form 990-PF

## Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust

CIVID	140	10	75-0	JUJ2	
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Departn Internal	nent of the Treasury Revenue Service	Note. The foundation may b	Treated as a Prive able to use a copy of	rate Foundation	eportina requirements	2010
	alendar year 2010, o			1/01 , 2010, and end		09/30,2011
	neck all that apply	Initial return	Initial return	of a former public char	ty	Final return
		Amended return	Addr	ess change [	Name change	_
Name	of foundation				A Employer identif	ication number
	•				-	
		HEALTH FOUNDATI	<del></del>			-1210140
Numb	per and street (or P O box	number if mail is not delivered	I to street address)	Room/si	Jite B Telephone number (	see page 10 of the instructions)
07.5						
	NORTH MICHIGA			3516	<u>`</u>	12) 664-6488
City o	r town, state, and ZIP co	ode			C If exemption applica pending, check here	tion is
СВТ	CAGO, IL 60611				D 1 Foreign organiza	
	neck type of organizat	Y Section FO1/	c)(3) exempt private f	aundation	2 Foreign organiza 85% test, check f	
ΪĬ	Section 4947(a)(1) none		1 1	rivate foundation	computation .	▶ ∟
l Fa	air market value of all			Cash X Accrual	E If private foundation	
	year (from Part II, col		ther (specify)	Casii Accidai	,	)(1)(A) check here . P
			column (d) must be	on cash basis )	F If the foundation is ii under section 507(b	n a 60-month termination
	<del></del>	nue and Expenses (The			1 0.120. 00000.7007.72	(d) Disbursements
	total of amounts in c	olumns (b), (c), and (d)	(a) Revenue and expenses per	(b) Net investment	(c) Adjusted net	for charitable
	may not necessanly column (a) (see page	equal the amounts in e 11 of the instructions) )	books	income	income	purposes (cash basis only)
1		tc , received (attach schedule)	6,808	of the state of the state of the	7-13 4 7 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	Cash basis only
2	l vel of the fo	oundation is not required to	· · · · · · · · · · · · · · · · · · ·			TENTO EN CONTRA
3		emporary cash investments				1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
4	Dividends and interes	t from securities	309,006	. 295,237.		· · · · · · · · · · · · · · · · · · ·
5	a Gross rents					12年の原門は20万
	b Net rental income or (los	s)	Broken de no sientento e de sind		「まかたというないが	聖·元 不成本 · · ·
լ 6.	a Net gain or (loss) from sa		-270,209		是一个	<b>网络 网络人名英</b>
5	b Gross sales price for all assets on line 6a	2,866,680.	The state of the state of		· 经有一个	1 34 1 1 2 2 4 ( (1/2 ) 2 mm - 1 4 1 mm
7		ne (from Part-IV-line 2) .	The state of the s	1" 1" 1" 1" 1" 1" 1" 1" 1" 1" 1" 1" 1" 1	and 中国的1855、1855	· 李 · 公 · 李 · 公 · 李
8	Net short term capital	₩ED	The second of th	· 医二十二十二十二十二十二十二十二十二十二十二十二十二十二十二十二十二十二十二十	<u> </u>	· · · · · · · · · · · · · · · · · · ·
10	Income modifications a Gross sales less returns			1 - HT 1 - 1 - 1	The Start of the state	The state of the state of
	and allowances R: 1:	5· 1012   9	The first of the first		THE SALES CONTRACT	1
	101	13/1	, and spin he region		May - 1 Ten and Ten makes	1 7 1 2 1 2 1 2 1 5 1 5 1 5 1 5 1 5 1 5 1 5
11	c Gross profit or (loss) (			19,560.	0	ATCH" 1
12	Other income (attach	Scriedule)	45,605		0	
13	Compensation of officers		257,707		<u> </u>	231,93
14	•	ries and wages	72,041	<del></del>	<del> </del>	64,83
3 15		yee benefits	99,598			89,63
16.		nedule)	4,816			4,33
<u>{</u>		ch schedule)	33,977	. 3,398.		30,57
		es (attach schedule) .* .	76,215	. 70,068.		6,14
17	Interest				-	
18		(see page 14 of the instructions)**	15,773	<del></del>	0	. 13,47
19	Depreciation (attach s	schedule) and depletion .	3,692	<del>-</del>		
19 20 21			48,248	<del></del>		48,24
21 22		and meetings	13,898	. 1,390.		12,50
22		ons				
23	•	ch schedule) ATCH 4	91,535	9,154	. 0	. 82,38
23 24	· -	administrative expenses.	737 500	100 505		50.00
o i c	Add lines 13 through 3		717,500		0	<del></del>
25		rants paid	382,954 1,100,454		0	382,95
26 27		sements Add lines 24 and 25	1,100,454	129,365.	0	967,03
- 1	Subtract line 26 from	penses and disbursements	-1,054,849		. '-' :	, , ,
- 1		ne (if negative, enter -0-)	1,054,045	185,212.	·	
- 1		(if negative, enter -0-).		100/212.	0	<del>                                     </del>
	, moonie	1	l	_1	<del></del>	1

For Paperwork Reduction Act Notice, see page 30 of the instructions.

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\*ATCH 2 JSA \*\* ATCH 3

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P	art li	Attached schedules and amounts in the description column should be for end-of-year	Beginning of year		of year
_	-	amounts only (See instructions )	(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	Cash - non-interest-bearing	1,387,836.	1,701,749.	1,701,749
	2	Savings and temporary cash investments	2,064,339.	1,395,033.	1,395,033
	3	Accounts receivable		,	
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable		- 1	
	·	Less allowance for doubtful accounts	-	·	•
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other			
	٥	, , , , , , , , , , , , , , , , , , , ,			
	_	disqualified persons (attach schedule) (see page 15 of the instructions)		e : : : : :	<u> </u>
	7	Other notes and loans receivable (attach schedule)		,	
		Less allowance for doubtful accounts			
क	8	Inventories for sale or use	16 754	04.700	04.700
Assets	9	Prepaid expenses and deferred charges			. 24,720
⋖	10 a	Investments - U S and state government obligations (attach schedule) . * *	987,805.		759,788
		Investments - corporate stock (attach schedule) ATCH 6.		10,625,121.	
	11 C	Investments - corporate bonds (attach schedule) ATCH .7 Investments - land, buildings,	612,048.		
	••	and equipment basis Less accumulated depreciation (attach schedule)	EN TOPY TO COUNTY OF	出意的""特别基础"的是特征	Charles of the
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule) ATCH 8	2,967,894.	1,701,236.	1,701,236
	14	Land, buildings, and equipment hasis	三人姓 中公子子等少世	3966 Jan 1987 · 原	1 1 7 7 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
		Land, buildings, and equipment basis Less accumulated depreciation (attach schedule)  35, 952.  14, 589.	10,232.	21,363	. 21,363
	15	Other assets (describe  ATCH 9 )	689,554.		805,662
	16	Total assets (to be completed by all filers - see the	,		
		instructions Also, see page 1, item I)	19,740,248.	18,576,672.	18,576,672
_	17	Accounts payable and accrued expenses	<del></del>		<del></del>
	18	Grants payable	· · · · · · · · · · · · · · · · · · ·		
Ø	19	Deferred revenue			一种"是这个人"的
iabilities	20	Loans from officers, directors, trustees, and other disqualified persons			
Ē	21	Mortgages and other notes payable (attach schedule)			
ڐ	22	Other liabilities (describe ATCH 10 )	552,114.	607,053.	
	~~	Other headings (describe >AIDIL_IV_)		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
	23	Total liabilities (add lines 17 through 22)	592,035.	640,415.	
_		Foundations that follow SFAS 117, check here		010,120	
		and complete lines 24 through 26 and lines 30 and 31.			
ģ	24	Unrestricted	19,148,213.	17,936,257.	
ances			13/110/2131	17,330,237.	
_	25	Temporarily restricted			
ä	26	Permanently restricted			
힡		Foundations that do not follow SFAS 117,			The state of the s
or Fund Ba	~=	check here and complete lines 27 through 31. ▶ □			- 年度 大學 不及
	27	Capital stock, trust principal, or current funds			
Net Assets	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
Ass	29	Retained earnings, accumulated income, endowment, or other funds			
et	30	Total net assets or fund balances (see page 17 of the	10 140 012	17 026 267	
Z		instructions)	19,148,213.	17,936,257.	
	31	Total liabilities and net assets/fund balances (see page 17	10 740 040	10 576 670	1. 4, 5 6 7 7
		of the instructions)		18,576,672.	
_		Analysis of Changes in Net Assets or Fund	<del></del>	,	<del></del>
1		net assets or fund balances at beginning of year - Part	II, column (a), line 30	·	10.1.
					19,148,213
					-1,054,849
		lines 1, 2, and 3		4	18,093,364
		eases not included in line 2 (itemize) ▶ATTACH	IMENT 11		157,107
<u>6</u>	Tota	net assets or fund balances at end of year (line 4 minus line	e 5) - Part II, column (b),	line 30 6	17,936,257
		** \TCU 5			Form 990-PE (2010)

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Part IV Capital Gains	s and Losses for Tax on Inv	estment Income			<u> </u>
	d describe the kind(s) of property sold (e		(b) How acquired	(c) Date acquired	(d) Date sold (mo , day, yr
	2-story brick warehouse, or common stock, 200 shs MLC Co )  P-Purchase D-Donation (mo , day				
1a SEE PART IV SCHE	DULE		-	-	
b			· -		
С			<u> </u>		
d			<del> </del>		
<u>e</u>			<del>                                     </del>	<u></u>	
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		(h) Gain or (lo (e) plus (f) mini	
a					
b					
С	r		1		
d					
е					
Complete only for assets s	showing gain in column (h) and owned b	y the foundation on 12/31/69		Gains (Col (h) g	
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	col	(k), but not less t Losses (from co	
a					
b					
С					
d					
е					
2 Capital gain net income or		gain, also enter in Part I, line 7 (loss), enter -0- in Part I, line 7	2	_	270,209.
Net short-term capital gain	or (loss) as defined in sections 1222				
	line 8, column (c) (see pages 13 and				
_	line 8		`  <sub>3</sub>		
Part V Qualification U	Under Section 4940(e) for Red	uced Tax on Net Investment In	come		
	the section 4942 tax on the distribute	• •	period?		Yes X N
	not qualify under section 4940(e) Do				
	ount in each column for each year, s	ee page 18 of the instructions befor	e making	<del> </del>	
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of nonchantable-use assets		(d) Distribution ra (col (b) divided by	col (c))
2009	1,295,265.	19,178,165.			0.067539
2008	1,254,709.	17,382,277.	<u> </u>		0.072183
2007	1,419,327.	21,373,649.			0.066405
2006	1,795,283.	25,782,349.	-		0.069632
2005	1,988,344.	24,609,817.			0.080795
2 Total of line 1, column (d)			2		0.356554
3 Average distribution ratio f	for the 5-year base period - divide th	ne total on line 2 by 5, or by the			
number of years the found	dation has been in existence if less t	han 5 years	3		0.071311
4 Enter the net value of non	charitable-use assets for 2010 from	Part X, line 5	4	20,	403,914.
5 Multiply line 4 by line 3			5	1,	455,024.
, ,					
6 Enter 1% of net investmen	nt income (1% of Part I, line 27b)		6		1,852.
	· · · · · · · · · · · · · · · · · · ·			<del></del>	
7 Add lines 5 and 6			7	1,	456,876.
8 Enter qualifying distribution	ons from Part XII, line 4		8	1,	320,953.
If line 8 is equal to or gr Part VI instructions on page		in Part VI, line 1b, and complete	that part	using a 1% ta	x rate See t

JSA 0E1430 1 000

	90-Pr (2010)	tructio	ne)	<del></del>			
Par		.ructic	J1137				
1a	Exempt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1						
	Date of ruling or determination letter (attach copy of ruling letter if necessary - see instructions)		3 7	04.			
b	b Domestic foundations that meet the section 4940(e) requirements in Part V, check						
	here ▶ ☐ and enter 1% of Part I, line 27b						
С	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of J Part I, line 12, col (b)						
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) 2						
3	Add lines 1 and 2 3		3,7	04.			
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			0.			
5	Tax based on investment income Subtract line 4 from line 3 If zero or less, enter -0-		3,7	04.			
6	Credits/Payments	, `					
а	2010 estimated tax payments and 2009 overpayment credited to 2010 6a 21,878.		;				
b	Exempt foreign organizations-tax withheld at source 6b 6b	~ ;	: S				
С	Tax paid with application for extension of time to file (Form 8868)	. ۲۰۰۰ ر		- ,			
d	Backup withholding erroneously withheld 6d 6d	1	٠,				
7	Total credits and payments Add lines 6a through 6d		21,8	78.			
8	Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached 8						
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed						
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		18,1				
11	Enter the amount of line 10 to be Credited to 2011 estimated tax   8,174. Refunded   11		10,0	00.			
Par	t VII-A Statements Regarding Activities	·					
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it	£1.25	Yes	No			
	participate or intervene in any political campaign?	1a	<u> </u>	X			
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19						
	of the instructions for definition)?	1b		X			
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials			, .,			
	published or distributed by the foundation in connection with the activities	1,15	, Co.				
	Did the foundation file Form 1120-POL for this year?	1c	2.15	, -			
d	d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year						
	(1) On the foundation  \$\)\$ (2) On foundation managers \$\)\$	20"Y	-11	1			
е	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed						
	on foundation managers  \$	<sub>2</sub>	, , -	×			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	1 2 2 2	n- •	' :			
_	If "Yes," attach a detailed description of the activities		- J.	: ; ; ;			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes. ATCH. 12	3	X				
4-		4a	Х				
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?  If "Yes," has it filed a tax return on Form 990-T for this year?	4b	Х				
D	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		Х			
5	If "Yes," attach the statement required by General Instruction T	- , -		* ;			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either	5 %					
•	By language in the governing instrument, or	. ( - `. )					
	By state legislation that effectively amends the governing instrument so that no mandatory directions that		-11-7	1 - L			
	conflict with the state law remain in the governing instrument?	6	Х				
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Х				
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the	. "	- 11				
	instructions) > IL,						
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General	١ ،		Į			
_	(or designate) of each state as required by General Instruction G?If "No," attach explanation	8b	Х				
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or		-				
	4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page						
	27)? If "Yes, "complete Part XIV	9		Х			
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their						
_	names and addresses	10		X			
		06	an.DE	(0040)			

Par	t VII-A Statements Regarding Activities (continued)			
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the			
	meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before			
	August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Х	<u> </u>
	Website address WWW.WSHF.ORG			
14	The books are in care of ► HOWARD NOCHUMSON Telephone no ►312-664	-648	8	
	Located at ▶875 NORTH MICHIGAN AVENUE, SUITE 3516 CHICAGO, IL ZIP+4 ▶ 60611			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here · · · · · · · · · · · · · · · · · ·		•	. 🔲
	and enter the amount of tax-exempt interest received or accrued during the year			
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority	i	Yes	No
	over a bank, securities, or other financial account in a foreign country?	16	<u> </u>	X
	See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter		,	- 41
_	the name of the foreign country	1	,	
Par	t VII-B Statements Regarding Activities for Which Form 4720 May Be Required	157.5	1	
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.	\$2 miny	Yes	No
1 a	During the year did the foundation (either directly or indirectly)	(4)		**************************************
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes X No	ehr.	•7	
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a	, and	143 mg = 1	
	disqualified person?		\$ 5	33
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	بر المراجعة	4 1, 1	4.
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?		2 . Y	1957.
	(5) Transfer any income or assets to a disqualified person (or make any of either available for	13	1.70	of.
	the benefit or use of a disqualified person)? Yes X No	1	100	15
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the	ريد جيد دورون دورون	27 .	10.00
	foundation agreed to make a grant to or to employ the official for a period after	ا مِنْ اللهِ ا المعارف اللهِ		
	termination of government service, it terminating within 30 days / 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1			13. 15
b	off any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations	1	1	X
	section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	1b	يا ۾ عامد	7. S
	Organizations relying on a current notice regarding disaster assistance check here	1	压。"	1232 2
C	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that	1c	1	X
•	were not corrected before the first day of the tax year beginning in 2010?	- 150 ·	3.3	180
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private	7 3	779 647	ر مرمد م
_	operating foundation defined in section 4942(j)(3) or 4942(j)(5))	, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010?	3327	247	[",```
	If "Yes," list the years	1.7	1. 2	1 2 2
	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2)			
ı.	(relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to	3.4	٦,٠	100
	all years listed, answer "No" and attach statement - see page 22 of the instructions)	2b		
	: If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here	1, 5,50	25,00	375
`	Title provisions of section 4342(a)(2) are being applied to fairly of the years indeed in Eq. (is, the years note)		13.5	35.15
3 =	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise	2.	٠.	3
	at any time during the year?		l ;.,	
ŧ	of "Yes." did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or	,	1.40	1.
-	disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the	2 1		£**
	Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of	1 . ~	'.	. ~ ~
	the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the	£, .	"	'
	foundation had excess business holdings in 2010)	3b		
4 a	a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		Х
	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its	ž.		-
	charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	<u> </u>	X

	r Which Form 4/	20 May Be Require	ed (continu	<u>ed)</u>				
5 a During the year did the foundation pay or incur any amount (1) Carry on propaganda, or otherwise attempt to influence (2) Influence the outcome of any specific public election (s	e legislation (section 4 ee section 4955), or to	carry on,	Yes	X No				
(c) Trotted a grant to an interval and in according to built difficult parposes								
(4) Provide a grant to an organization other than a charitat	· · · · · ·		□ v	X No		7		
section 509(a)(1), (2), or (3), or section 4940(d)(2)? (se	, <del>-</del>		Yes	X No	1.7	7 (4)	٠,	
(5) Provide for any purpose other than religious, charitable				X No	32	:3·	-44.04 31, 7 7-	
purposes, or for the prevention of cruelty to children or				^ No	4	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
b If any answer is "Yes" to 5a(1)-(5), did any of the trans						`	, .	
Regulations section 53 4945 or in a current notice regard	_	· · ·		<u></u>	5b		7.1.	
Organizations relying on a current notice regarding disaster			•		13 = 5			
c If the answer is "Yes" to question 5a(4), does the foundation	n claim exemption from	m the tax	<b>—</b> I	——————————————————————————————————————			100	
because it maintained expenditure responsibility for the gra	ınt?		Yes	No	٠٠٠٠ - ٢٠٠٠ ١٠٠١ - ١١٥٠			
If "Yes," attach the statement required by Regulations secti	ion 53 4945-5(d)					14 (4. ). 14 (4. ).	1	
6 a Did the foundation, during the year, receive any funds, dire	ctly or indirectly, to pa	y premiums				響。	1	
on a personal benefit contract?			Yes	X No	1 1	587	· * <u>* * </u>	
<b>b</b> Did the foundation, during the year, pay premiums, directly	or indirectly, on a per-	sonal benefit contract?			6b	N-F	X	
If "Yes" to 6b, file Form 8870						変変		
7a At any time during the tax year, was the foundation a party	•	• •	Yes	X No		F 197		
b If "Yes," did the foundation receive any proceeds or have a			Histor Deli	<u> </u>	. 7b			
-Part-VIII- Information About Officers, Directors and Contractors	s, Trustees, Four	idation Managers,	Hignly Paid	z Embio	yees,			
1 List all officers, directors, trustees, foundation mar	nagers and their co		ge 22 of the in	struction	ns).			
(a) Name and address	(b) Title, and average	(c) Compensation (If not paid, enter	(d) Contribution	s to	(e) Expens			
(4) / 14/10	hours per week devoted to position	(if not paid, enter -0-)	employee benefit and deferred compe		other allo	wances		
ATTACHMENT 13	devoted to position	257,707.		ensation	other allo	wances	0	
	devoted to position	-0-)	and deferred compe	ensation	otner allo	owances		
ATTACHMENT 13  2 Compensation of five highest-paid employees (other		257,707.	and deferred compe	976.		wances		
ATTACHMENT 13		257,707.	and deferred compe	976.		wances		
ATTACHMENT 13  2 Compensation of five highest-paid employees (other		257,707.	and deferred compe	instructions to nefit erred		e accou		
ATTACHMENT 13  2 Compensation of five highest-paid employees (other if none, enter "NONE."	er than those inclu	257,707.	age 23 of the  (d) Contributio employee ber plans and defectors.	instructions to nefit erred	ons).	e accou		
ATTACHMENT 13  2 Compensation of five highest-paid employees (other if none, enter "NONE."  (a) Name and address of each employee paid more than \$50,000	er than those inclu	ded on line 1 - see pa	age 23 of the  (d) Contributio employee ber plans and defectors.	instructions to nefit erred on	ons).	e accou	O	
ATTACHMENT 13  2 Compensation of five highest-paid employees (other if none, enter "NONE."  (a) Name and address of each employee paid more than \$50,000	er than those inclu	ded on line 1 - see pa	age 23 of the  (d) Contributio employee ber plans and defectors.	instructions to nefit erred on	ons).	e accou	O	
ATTACHMENT 13  2 Compensation of five highest-paid employees (other if none, enter "NONE."  (a) Name and address of each employee paid more than \$50,000	er than those inclu	ded on line 1 - see pa	age 23 of the  (d) Contributio employee ber plans and defectors.	instructions to nefit erred on	ons).	e accou	O	
ATTACHMENT 13  2 Compensation of five highest-paid employees (other if none, enter "NONE."  (a) Name and address of each employee paid more than \$50,000	er than those inclu	ded on line 1 - see pa	age 23 of the  (d) Contributio employee ber plans and defectors.	instructions to nefit erred on	ons).	e accou	O	

Part VIII	Information About Officers, Directors, Trustees, Foundation Man and Contractors (continued)	agers, Highly Paid Emplo	yees,
3 Five h	ighest-paid independent contractors for professional services (see page	23 of the instructions). If no	one, enter "NONE."
	(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE			
		-	- · · · · · · · · · · · · · · · · · · ·
Total number	er of others receiving over \$50,000 for professional services	<u></u>	NONE
Part IX-A	Summary of Direct Charitable Activities		
	dation's four largest direct charitable activities during the tax year include relevant statistical in and other beneficiaries served, conferences convened, research papers produced, etc	formation such as the number of	Expenses
1 N/A			
2			
3			
·			
4			
Part IX-B	Summary of Program-Related Investments (see page 24 of the inst	ruotiono)	
	two largest program-related investments made by the foundation during the tax year on lines 1 and 2	ructions)	Amount
	LAND INTERNATIONAL		
	NCE OF CHICAGO COMMUNITY HEALTH SERVICES HEALTH		
	RMATION TECHNOLOGY ENHANCEMENT PROJECT.		353,920
2			
All other prog	ram-related investments See page 24 of the instructions		
3 NONE			

36-	12	21	0	1	4	0	_
		_	_	=			_

	990-PF (2010)		1 age 0
Pa	Minimum Investment Return (All domestic foundations must complete this part Foreig see page 24 of the instructions)	n foundation	ons,
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
а	Average monthly fair market value of securities	1a	19,247,463.
	Average of monthly cash balances	1b	1,405,875.
c	Fair market value of all other assets (see page 25 of the instructions)	1c	61,296.
ď		1d	20,714,634.
e		4 [ -	
·	1c (attach detailed explanation)		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	20,714,634.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see page 25 of		
		4	310,720.
5	the instructions)  Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	20,403,914.
6	Minimum investment return. Enter 5% of line 5	6	1,020,196.
	T XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private	operating	<del>_</del>
	foundations and certain foreign organizations check here  and do not complete this particle.	art)	
1	Minimum investment return from Part X, line 6	1	1,020,196.
2а	Tax on investment income for 2010 from Part VI, line 5 2a 3,704.	-::	
b	Income tax for 2010 (This does not include the tax from Part VI )	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	
c	Add the Open Open Open	2c	3,704.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	1,016,492.
4	Recoveries of amounts treated as qualifying distributions	4	336,123.
5	Add lines 3 and 4	5	1,352,615.
6	Deduction from distributable amount (see page 25 of the instructions)	6	· · · · · · · · · · · · · · · · · · ·
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII,		
•	line 1	7	1,352,615.
_			
Pa	rt XII Qualifying Distributions(see page 25 of the instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes	8.2.	
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	967,033.
b	E IN B	1b	353,920.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc.,		· · · · · · · · · · · · · · · · · · ·
-	purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the	1,78	
а	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,320,953.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income		
-	Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,320,953.
٠	Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when ca	lculating w	<del></del>
	qualifies for the section 4940(e) reduction of tax in those years		

	rt XIII Undistributed Income (see page	26 of the instruction		.10140	Page 5
		(a)	(b)	(c)	(d)
1	Distributable amount for 2010 from Part XI,	Corpus	Years prior to 2009	2009	2010
	line 7		and the survey	for a company of the	1,352,615.
2	Undistributed income, if any, as of the end of 2010			The second of the second	
а	Enter amount for 2009 only		<u> </u>		
b	Total for prior years 20 08,20 07,20 06		7 5,555	را د باد ما ما الله و المستخداد	
3	Excess distributions carryover, if any, to 2010				
а	From 2005				
Ь	From 2006	4 1 4 4 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	<b>一种的现在分词</b>	e and or start.	30
С.	205 152				
đ	227 470		三、四、四、四、四、四、四、四、四、四、四、四、四、四、四、四、四、四、四、四		
e	• • • • • • • • • • • • • • • • • • • •	1,794,839.			
4	Total of lines 3a through e	FO # " / T \$ 1.0.00	1255 X 1	F. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1.	The first of the second of the second
4	line 4 > \$ 1,320,953.				
2	Applied to 2009, but not more than line 2a			2 M F = 1924 6 2 4 14 14 14	
	•••	- TOTAL TELES	ा का लगा के कारण स्टेन्ड	milion a Comment of	· · · · · · · · · · · · · · · · · · ·
D	Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				· 一页
				はから、シャラン 多とか。	点: 新加斯斯斯斯斯斯斯斯斯斯斯斯斯斯斯斯斯斯斯斯斯斯斯斯斯斯斯斯斯斯斯斯斯斯斯斯
C	Treated as distributions out of corpus (Election required - see page 26 of the instructions)			医乳头 经合金	
d	Applied to 2010 distributable amount	祖位了学生是激发。	高级发展等 ( ) ( ) ( ) ( ) ( ) ( ) ( )	的国际政策、赞美	1,320,953.
е	Remaining amount distributed out of corpus	0.	これが からは 大学 かっとう	The state of the s	15 - 15 - 15 - 15 - 15 - 15 - 15 - 15 -
5	Excess distributions carryover applied to 2010	31,662.	小路が ひばむり		31,662.
	(If an amount appears in column (d), the same amount must be shown in column (a))	经验的证明	STATE OF THE PARTY	<b>原来以上等於</b>	· 1987年 1
6	Enter the net total of each column as				
	indicated below:	<b>国际的影响等是有意</b>	高祖 清朝流流的		
а	Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,763,177.		<b>"</b> 是是"	
b	Prior years' undistributed income Subtract			The transfer of the same	AND THE STATE OF T
	line 4b from line 2b	The state of the s		1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	
С	Enter the amount of prior years' undistributed income for which a notice of deficiency has been	(1) (1) (1) (1) (1) (1) (1) (1) (1) (1)			(数) 5 7 5 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6
	issued, or on which the section 4942(a) tax has	<b>计和多种类证</b>			
	been previously assessed			RECT ST. SELECTION	できるとかがました。
d	Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions				
е	Undistributed income for 2009 Subtract line	TO THE PROPERTY OF THE	THE THE STATE OF	126 - 12 % S C \$1-50 %	# 5. 00 Co 400 C
	4a from line 2a Taxable amount - see page 27 of the instructions	自己的 TEN 通知 TEN EN			
		TO BELLINE	ST STATE THE	The state of the s	3 - 3
f	Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be				
	distributed in 2011	<b>第二十五十八十五十</b>		4.50	
7	Amounts treated as distributions out of corpus		SERVER PROPERTY.	· · · · · · · · · · · · · · · · · · ·	
	to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the			1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	為語音學生
	instructions)		(2) (1) (2) (2) (2) (3) (4) (4) (4) (4) (4) (4) (4) (4) (4) (4	1	
8	Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the				
	instructions)	570,721.		2 (4)	
9	Excess distributions carryover to 2011.	1 100 456			
	Subtract lines 7 and 8 from line 6a	1,192,456.	The same of the sa	A CONTRACTOR	
10	Analysis of line 9				
a			A STATE OF THE STA	The same of the same	14267
b	Excess from 2007 289,834.  Excess from 2008 265,153.			الله المراجعة	
ر د	337 470				
	Excess from 2009				-
		<u> </u>	I · · · · · · · ·	<del></del>	Form <b>990-PF</b> (2010)

Pa	rt XIV Private Oper	ating Foundations	see page 27 of the	instructions and Pa	rt VII-A, question 9)	NOT APPLICABLE
1 a	If the foundation has	received a ruling or d	etermination letter that	it it is a private ope	rating	
	foundation, and the ruling	is effective for 2010, enter	r the date of the ruling		. >	
b	Check box to indicate whe	ther the foundation is a pi	rivate operating foundation		4942()	)(3) or 4942(J)(5)
2 a	Enter the lesser of the ad-	Tax year		Prior 3 years		(e) Total
_ u	justed net income from Part	(a) 2010	(b) 2009	(c) 2008	(d) 2007	(5) 1 5141
	I or the minimum investment					
	return from Part X for each year listed					
b	85% of line 2a					
_	Qualifying distributions from Part					
·	XII, line 4 for each year listed					
d	Amounts included in line 2c not					
	used directly for active conduct					
e	of exempt activities					
_	directly for active conduct of	,				
	exempt activities Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the					
_	alternative test relied upon					
а	"Assets" alternative test - enter					
	<ul><li>(1) Value of all assets</li><li>(2) Value of assets qualifying</li></ul>					
	under section					
ь	4942(j)(3)(B)(i)	· · · · · · · · · · · · · · · · · · ·				
-	enter 2/3 of minimum invest-				İ	
	ment return shown in Part X,					
С	line 6 for each year listed					
·	"Support" alternative test - enter  (1) Total support other than					
	gross investment income					
	(interest dividends, rents, payments on securities					
	loans (section 512(a)(5)),					
	or royalties)					-
	(2) Support from general public and 5 or more					
	exempt organizations as provided in section 4942				·	
	(j)(3)(B)(iii)			<del> </del>	-	
	<li>(3) Largest amount of sup- port from an exempt</li>					
	organization		ļ	-		
	(4) Gross investment income	en Information (C	lemplete this port	anhy if the found	dation had \$5,000	or more in assets
	Supplement	during the year - se	e nage 28 of the ir	structions.)	uation nau \$5,000	or more in assets
1	Information Regarding					
' a				e than 2% of the tot	tal contributions receiv	ed by the foundation
_	before the close of any	y tax year (but only if t	hey have contributed	more than \$5,000) (	See section 507(d)(2))	,
	N/A					
	List any managers of	the foundation who	our 100/ or more o	of the steek of a corr	poration (or an equal)	Large portion of the
D	ownership of a partner					y large portion of the
	Ownership of a partitor	iomp of other ontity) o	The real case	g,		
	N/A					
2		g Contribution, Grant,	Gift, Loan, Scholarsh	ııp, etc., Programs:		
		•			ritable organizations	and does not accept
						ons) to individuals or
		ther conditions, compl			,	•
_	The name, address, ar	nd telephone number o	f the person to whom:	applications should be	addressed	<del></del>
а	ATTACHM		Title person to whom	applications should be	addicoscu	
_	The form in which app		mitted and information	and materials they sh	ould include	
U	The form in which app	iications snould be sub	milled and imprination	and materials they si	iodia iriciade	
	SEE ATTACH	ED STATEMENT				
					······································	
_	,					
	ATTACHM					
d	Any restrictions or I	imitations on awards	s, such as by geogr	aphical areas, chari	table fields, kinds of	institutions, or other
	factors	ድርጎ ሮሞንመድአለድኣነው				
JSA		ED STATEMENT				Form 990-PF (2010)

orm 990-PF (2010)			36-1210140	Page 1
art XV Supplementary Information				
3 Grants and Contributions Paid D	uring the Year or App		uture Payment	
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	any foundation manager or substantial contributor	recipient	contribution	
a Paid during the year				
SEE ATTACHED STATEMENT		]		448,639
-		,		
		i	~	
		l		
		1	-	
			-	
Total			▶ 3a	448,63
<b>b</b> Approved for future payment				
NONE				
•				-
	1	1		1

Part XVI	-A Analysis of Income-Produ	ucing Act	ivities			
Enter gross	amounts unless otherwise indicated	Unrela	ated business income	Excluded by	section 512, 513, or 514	(e) Related or exempt
1 Program	n service revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	function income (See page 28 of the instructions)
•				<u> </u>		,
е						
f						
-	and contracts from government agencies					
	ship dues and assessments					
	n savings and temporary cash investments			1.4	300 006	
	ds and interest from securities	4	_ 14 * 48	14	309,006.	ne k i ji ku i juli e
	al income or (loss) from real estate		1 -1 - 1 - 1 - 1 - 1 - 1			F 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
	t-financed property			· · · · · · · · · · · · · · · · · · ·		
	I income or (loss) from personal property			-		
	vestment income					
	oss) from sales of assets other than inventory			18	-270,209.	
	me or (loss) from special events			<del> </del>		
	rofit or (loss) from sales of inventory					
	evenue a					
				-		
_						
е	<u> </u>					
12 Subtotal	Add columns (b), (d), and (e)	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		12. 2	38,797.	
13 Total. A	dd line 12, columns (b), (d), and (e)				13	38,797.
	neet in line 13 instructions on page 29 to					
Part XVI	Relationship of Activities  Explain below how each activity					uted importantly to th
₩	accomplishment of the foundation instructions )					
N/A	NOT APPLICABLE	·				
					-	
					-	
					· · · · · · · · · · · · · · · · · · ·	
						·
				·	· · · · · · · · · · · · · · · · · · ·	
						<del></del>
<u></u>			<del></del>			
			<del></del>			<del></del>
						<del></del>
	<del>                                     </del>				<del></del>	
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		·		<del></del>		
	<del>                                     </del>	_ <del></del>	<del></del>		<del> </del>	
				<del></del>		
	<u> </u>					

Form 990	0-PF (20	10)				36	5-1210140	)		Pa	age 13
Part 2				ransfers To and	Transact	ions a	nd Relation	onships With	Nonc	harit	able
0	n sectio rganizat	organization directly on 501(c) of the C ions?	or indirectly ode (other than	engage in any of the section 501(c)(3) org	anizations) (					Yes	No
		· -									X
		r assets							. 1a(2)	, -	, X
				ganization						<b>-</b>	X
(3	3) Rent	al of facilities, equipme	ent, or other asset	s					. 1b(3)		X
									. 1b(4) . 1b(5)		Х
•	•		•	•				• • • • • • • • • •		<del> </del>	X
d If	the a	answer to any of	the above is "	er assets, or paid employer Yes," complete the fo	llowing sch	edule C	olumn (b) s		w the		market
				ices given by the re							
(a) Line		any transaction of (b) Amount involved	1	gement, show in colu- oncharitable exempt organiz	1			<ul> <li>otner assets, o</li> <li>transactions, and shape</li> </ul>			
<u> </u>						N/A					
				,		-					
	-							<del></del>			
						-					
								-			
		•	-	ffiliated with, or relate than section 501(c)(3)) or			e tax-exemp	t organizations	Y	es 🗀	No №
<u>b</u> !	f "Yes,"	complete the following (a) Name of organization	·	(b) Type of orga	anization		(	c) Description of relatio	nship		
				, , , , , , , , , , , , , , , , , , ,				~			
		· · · · · · · · · · · · · · · · · · ·	<u></u>				<del></del>				
					_						
Sign	Under belief,	penalties of perjury, I d it is true, correct, and cor	leclare that I have implete Declaration	examined this return, include of preparer (other than taxpo	ling accompan ayer or fiducian	ying sched y) is based	dules and state on all information	ments, and to the bes on of which preparer h	t of my l as any kr	enowled nowledg	ge and e
Here		U miseelecter	une Co	^ <b>%</b>	1021	08/201	<u>z</u>	Prosiden	<u>t_</u>		
	Si	gnature of officer or truster		l D	Date		Tit	le I	PTIN		
Paid		Print/Type preparer's	name	Preparer's signature	0. 11	1 Pm	Date	Check If		20504	
Prep	arer	Firm's name > CE	ROWE HORWAT	H ILLP	vocusy	100	1- 1 / / 1	self-employed			28
Use		Firm's name CF Firm's address > 7(		·· 7F-	ITE 700			. amg Lit ► 33			
			HICAGO, IL			606	02-4903	Phone no 312-	899-7	000	
									Form 99	30-PF	(2010)

WASHINGTON SQUARE HEALTH FOUNDATION, INC. FORM 990-PF - PART IV

CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME Date Date sold Description Kind of Property acquired Gain Gross sale Depreciation Cost or Adı basıs Excess of FMV over allowed/ or price less other as of as of adı basıs (loss) allowable 12/31/69 expenses of sale 12/31/69 basis CREDIT SUISSE COMMODITY RETURN VAR 11/15/2010 PROPERTY TYPE: SECURITIES 50,000. 44,858. 5,142. DODGE & COX STK FD COM VAR 11/15/2010 PROPERTY TYPE: SECURITIES 173,299. -13,299.160,000. 11/15/2010 LKCM SMALL CAP EQUITY FUND VAR PROPERTY TYPE: SECURITIES 22,615. 270,000. 247,385. 11/15/2010 PRUDENTIAL JENNISIN NATURAL VAR PROPERTY TYPE: SECURITIES 7,689. 50,000. 42,311. THORNBURG INTERNATIONAL VALUE 11/15/2010 VAR PROPERTY TYPE: SECURITIES 675,000. 686,787. -11,787.12/01/2010 CASTLE ARK INVESTMENT TRUST VAR PROPERTY TYPE: SECURITIES 1,717. 320,000. 318,283. VANGUARD INFLATION-PROTECTED 11/15/2010 VAR PROPERTY TYPE: SECURITIES 25,000. 23,966. 1,034. COMMON SENSE PARTNERS II LP 01/15/2011 VAR PROPERTY TYPE: SECURITIES -349,154.1,600,000. 1,250,846. COMMON SENSE PARTNERS II LP VAR 06/15/2011 PROPERTY TYPE: SECURITIES 65,834. 65,834. -270,209.TOTAL GAIN (LOSS) ......

PAGE 16

PART I - OTHER INCOME FORM 990PF,

PER BOOKS AND EXPENSES REVENUE

NET INVESTMENT INCOME 19,560.

ADJUSTED NET INCOME

0

DESCRIPTION INCOME FROM SCHEDULES K-1

0

TOTALS

0

19,560.

0

# FORM 990PF, PART I - OTHER PROFESSIONAL FEES

CHARITABLE <u>PURPOSES</u>	0. 6,147.	6,147.
NET INVESTMENT INCOME	69,385. 683.	70,068.
REVENUE AND EXPENSES PER BOOKS	69,385.	76,215.
DESCRIPTION	ADVISORY MANAGEMENT FEES CONSULTING FEES	TOTALS

- TAXES
Н
PART
990PF,
FORM 9

CHARITABLE <u>PURPOSES</u>	0. 13,471. 0.	13,471.
ADJUSTED NET INCOME		0
NET INVESTMENT INCOME	0. 1,497. 661.	2,158.
REVENUE AND EXPENSES PER BOOKS	805. 14,968. 0.	15,773.
-		TOTALS
DESCRIPTION	FEDERAL EXCISE TAX PAYROLL TAX FOREIGN TAXES	

## FORM 990PF, PART I - OTHER EXPENSES

CHARITABLE PHRPOSES	32,709.	14,228.	15,786.	0.5	2,638.	1,174.	952.	1,168.	501.	4,982.	190.	82,381.
ADJUSTED NET INCOME	0.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	0
NET INVESTMENT		1,581.	1,754.	895.	293.	130.	106.	130.	56.	554.	21.	9,154.
REVENUE AND EXPENSES	36,343.	15,809.	17,540.	8,948.	2,931.	1,304.	1,058.	1,298.	557.	5,536.	211.	91,535.
												TOTALS
	DESCRIPTION INSURANCE	OFFICE SUPPLIES	BOARD EXPENSES	STAFF DEVELOPMENT	DUES AND SUBSCRIPTIONS	SERVICE CONTRACTS	DELIVERY EXPENSE	MISCELLANEOUS EXPENSE	BANK CHARGES	DIABETES PROGRAM MEETING	REPAIR AND MAINTENANCE	

- U.S. AND STATE OBLIGATIONS PART II FORM 990PE, ENDING BOOK VALUE

ATTACHMENT 5

ENDING FMV

DESCRIPTION

U.S GOVERNMENT AGENCY ISSUES

US OBLIGATIONS TOTAL

759,788.

759,788.

759,788.

759,788.

STOCK
CORPORATE
- I
PART I
990PF,
FORM

DESCRIPTION	ENDING BOOK VALUE	ENDING <u>FMV</u>
INTERNATIONAL AND GLOBAL	800 573 7	4.573.298
MOIOAL FONDS DOMESTIC LARGE CAP		
MUTUAL FUNDS	3,312,258.	3,312,258.
DOMESTIC SMALL CAP MUTUAL FUNDS	1,542,108.	1,542,108.
DOMESTIC EQUITY FUNDS	607,053.	607,053.
COMMODITIES AND NATURAL RESOURCES MUTITAL FUNDS	360,952.	360,952.
FIXED INCOME	229,452.	229, 452.
8.14TOT	10.625.121.	10,625,121.

DESCRIPTION

BOND MUTUAL FUNDS

ENDING BOOK VALUE

ENDING FMV

1,542,000.

1,542,000.

TOTALS

1,542,000.

1,542,000.

ATTACHMENT 8

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION

FUND OF HEDGE FUNDS

ENDING BOOK VALUE

ENDING FMV

1,701,236.

1,701,236.

1,701,236.

TOTALS

1,701,236.

ATTACHMENT 9

FORM 990PF, PART II - OTHER ASSETS

DESCRIPTION

ACCRUED INTEREST/DIVIDENDS RENT SECURITY DEPOSIT PROGRAM RELATED INVESTMENTS

ENDING BOOK VALUE

ENDING

25,050. 6,971. 773,641.

25,050. 6,971. 773,641. 805,662.

TOTALS

805,662.

36-1210140

ATTACHMENT 10

FORM 990PF, PART II - OTHER LIABILITIES

DESCRIPTION

ENDING BOOK\_VALUE

EMPLOYEE BENEFIT PLAN

607,053.

TOTALS

607,053.

### FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION		AMOUNT
UNDERLIZED LOCC ON INVECTMENTS		91,422.
UNREALIZED LOSS ON INVESTMENTS FORGIVENESS OF LOAN PREVIOUSLY AS PRI	REPORTED	65,685.
	TOTAL	157,107.

FORM 990PF, PART VII-A, LINE 3 - CONFORMED COPY OF THE CHANGES

PLEASE SEE THE ATTACHED CONFORMED COPY OF THE ORGANIZATION'S BY-LAWS.

WASHINGTON SQUARE HEALTH FOUNDATION, INC.

FORM 990PE, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

EXPENSE ACCT AND OTHER ALLOWANCES	.0	.0	.0	· o	.0
CONTRIBUTIONS E TO EMPLOYEE BENEFIT PLANS	· O	.0	.0	.0	.0
COMPENSATION	2,000.	19,000.	13,000.	11,500.	13,000.
TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	PRESIDENT & DIRECTOR 2.00	PRESIDENT & DIRECTOR 2.00	SECRETARY & DIRECTOR 2.00	TREASURER & DIRECTOR 2.00	VICE PRESIDENT/DIRECTOR 2.00
NAME AND ADDRESS	ANGELO P. CRETICOS, MD 875 NORTH MICHIGAN AVENUE 3516 CHICAGO, IL 60611	WILLIAM N. WERNER, MD, MPH 875 NORTH MICHIGAN AVENUE 3516 CHICAGO, IL 60611	WILLIAM B. FRIEDEMAN 875 NORTH MICHIGAN AVENUE 3516 CHICAGO, IL 60611	JAMES M. SNYDER 875 NORTH MICHIGAN AVENUE 3516 CHICAGO, IL 60611	RICHARD B. PATTERSON, DPM, MSPH 875 NORTH MICHIGAN AVENUE 3516 CHICAGO, IL 60611

5:51:35 PM V 10-8.2

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FOUNDATION,
HEALTH FO
SQUARE
WASHINGTON

ATTACHMENT 13 (CONT'D)	ONS EXPENSE ACCT AND OTHER ANS ALLOWANCES	.0	76. 0.	76.
	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS		111,976.	111,976.
	COMPENSATION	1,000.	198,207.	257,707.
FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	DIRECTOR 2.00	EXEC DIR/ASSIST. SEC. & TREAS. 40.00	GRAND TOTALS
FORM 990PE, PART VIII - LIST OI	NAME AND ADDRESS	BILL WILEY 875 NORTH MICHIGAN AVENUE 3516 CHICAGO, IL 60611	HOWARD NOCHUMSON 875 NORTH MICHIGAN AVENUE 3516 CHICAGO, IL 60611	

IT 14	EXPENSE ACCT AND OTHER ALLOWANCES	.0	0	
ATTACHMENT 14	CONTRIBUTIONS EXPENSE ACCT TO EMPLOYEE AND OTHER BENEFIT PLANS ALLOWANCES	17,296.	17,296.	
MPLOYEES	COMPENSATION	64,729.	64,729.	
990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	PROGRAM OFFICER 40.00	TOTAL COMPENSATION	
990PF, PART VIII - COMPENSAT	NAME AND ADDRESS	NAME AND ADDRESS CATHERINE BAGINSKI 875 NORTH MICHIGAN AVENUE CHICAGO, IL 60611		

### FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

HOWARD NOCHUMSON 875 NORTH MICHIGAN AVE., SUITE 3516 CHICAGO, IL 60611 312-664-6488

ATTACHMENT 16

### 990PF, PART XV - SUBMISSION DEADLINES

FOR THE FISCAL YEAR COVERED BY THE RETURN, GRANT SUBMISSIONS WERE ACCEPTED ON A CONTINUOUS ROLLING BASIS FOR EITHER THE DECEMBER 1 OR OR JUNE 1 DEADLINES.

WASHINGTON SQUARE HEALTH FOUNDATION, INC. FEIN # 36-1210140 09/30/2011 PART VII-A, LINE 3

BY-LAWS

Item XVI.

OF

### WASHINGTON SQUARE HEALTH FOUNDATION, INC.

### ARTICLE I NAME AND PURPOSES

Section 1. Name. The name of the corporation shall be Washington Square Health Foundation, Inc.

Section 2. Offices. The corporation shall maintain a registered office in the State of Illinois and a registered agent at such office and may have other offices within or without the state.

Section 3. Purposes. The purposes for which the corporation is organized are exclusively charitable, scientific and educational purposes, including, for such purposes, the making of distributions to organizations that qualify as exempt organizations under section 501(c)(3) of the Internal Revenue Code of 1986 (or the corresponding provision of any future United States Internal Revenue Law), including, without limitation, exempt organizations that are limited to promoting general health and welfare.

No part of the net earnings of the corporation shall inure to the benefit of, or be distributable to its directors, officers, or other private persons, except that the corporation shall be authorized and empowered to pay reasonable compensation for services rendered and to make payments and distributions in furtherance of the purposes set forth in these by-laws. No substantial part of the activities of the corporation shall be the carrying on of propaganda, or otherwise attempting to influence legislation, and the corporation shall not participate in, or intervene in (including the publishing or distribution of statements) any political campaign on behalf of any candidate for public office. Notwithstanding any other provision of these by-laws, the corporation shall not carry on any other activities not permitted to be carried on (a) by a corporation exempt from federal income tax under section 501(c)(3) of the Internal Revenue Code of 1980 (or the corresponding provision of any future United States Internal Revenue Law) or (b) by a corporation, contributions to which are deductible under section 170(c)(2) of the Internal Revenue Code of 1986 (or the corresponding provision of any future United States Internal Revenue Law).

Upon the dissolution of the corporation, the board of directors shall, after paying or making provision for the payment

washington square Health Foundation, inc. FEIN # 36-1210140 09/30/2011 PART VII-A, LINE 3

of all of the liabilities of the corporation, dispose of all of the assets of the corporation exclusively for the purposes of the corporation in such manner, or to such organization or organizations organized and operated exclusively for charitable, scientific or educational purposes as shall at the time qualify as an exempt organization or organizations under section 501(c)(3) of the Internal Revenue Code of 1986 (or the corresponding provision of any future United States Internal Revenue Law), as the board of directors shall determine. Any such assets not so disposed of shall be disposed of by the Circuit Court or the Court of Common Pleas of the county in which the principal office of the corporation is then located, exclusively for such purposes or to such organization or organizations, as said Court shall determine, which are organized and operated exclusively for such purposes.

The corporation shall distribute its income for each tax year at such time and in such manner as not to become subject to the tax on undistributed income imposed by section 4942 of the Internal Revenue Code of 1986 (or the corresponding provision of any future United States Internal Revenue Law). Further, the corporation shall not engage in any act of self-dealing as defined in section 4941(d) of the Internal Revenue Code of 1986 (or the corresponding provision of any future United States Internal Revenue Law), nor retain any excess business holdings as defined in section 4943(c) of the Internal Revenue code of 1986 (or the corresponding provision of any future United States Internal Revenue Law), nor make any investments in such manner as to incur tax liability under section 4944 of the internal Revenue Code of 1986 (or the corresponding provision of any future United States Internal Revenue Law), nor make any taxable expenditures as defined in section 4945(d) of the Internal Revenue Code of 1986 (or the corresponding provision of any future United States Internal Revenue Law).

### ARTICLE II - MEMBERS

The corporation shall have no members.

### ARTICLE III -BOARD OF DIRECTORS

Section 1. General Powers The affairs of the corporation shall be managed by its board of directors.

Section 2. Number, Tenure and Qualifications. The number of directors shall be fixed by resolution of the board of directors and shall be not fewer than five (5) nor more than twelve ten (120). Directors shall be elected annually by the board of directors at the annual meeting of the board of directors. If the election of directors shall not be held at such meeting, such election shall be held as soon thereafter as conveniently possible. Each director shall hold office until his or her successor shall have been duly elected and shall have qualified or until his or her death or until he or she shall resign. Directors need not be residents of Illinois.

FEIN # 36-1210140

09/30/2011

PART VII-A, LINE 3

(Revised 5/11/2000)

Section 3. Annual Meeting. An annual meeting of the board of directors shall be held in December or on such date and at such and at such time and place as may be fixed by the president of the board, of if the president of the board (or vice president acting in place of the president of the board pursuant to Article V) is for any reason unable to act, by any two (2) directors.

Section 4. Other Regular Meetings. The board of directors may provide by resolution the time and place for the holding of additional regular meetings of the board without other notice than such resolution.

section 5. Special Meetings. Special meetings of the board of directors may be called by or at the request of the chairman of the board or any two directors. The person or persons authorized to call special meetings of the board may fix any place within the city of Chicago, Illinois, as the place for holding any special meeting of the board called by them.

Section 6. Notice. Notice of any special meeting of the board of directors shall be given by any means permitted by applicable law at least ten (10) days prior thereto, or, if the removal of one or more directors will be discussed, at least twenty (20) days prior thereto, in either case by written notice to each director at the address shown for such director on the records of the corporation. If mailed sent by United States mail, such notice shall be deemed to be delivered when deposited in the United States mail in a sealed envelope so addressed, with postage thereon prepaid. If notice shall be given by telegram, such notice shall be deemed to be delivered when the telegram is delivered to the telegraph company. Notice of any special meeting of the board of directors may be waived in writing signed by the person or persons entitled to such notice either before or after the time of the meeting. The attendance of a director at any meeting shall constitute a waiver of notice of such meeting, except where a director attends a meeting for the express purpose of objecting to the transaction of any business because the meeting is not lawfully called or convened. Neither the business to be transacted at, nor the purpose of, any regular or special meeting of the board need be specified in the notice or waiver of notice of such meeting, unless specifically required by law or by these by-laws.

Section 7. Quorum. At all meetings of the board of directors a majority of the total number of directors shall

WASHINGTON SQUARE HEALTH FOUNDATION, INC. FELN # 36-1210140 09/30/2011 PART VII-A, LINE 3

constitute a quorum for the transaction of business, provided that if less than a majority of the directors is present at said meeting, a majority of the directors present may adjourn the meeting to another time without further notice,

Section 8. Manner of Acting. The act of a majority of the directors present at a meeting at which a quorum is present shall be the act of the board of directors, unless the act of a greater number is required by statute, the articles of incorporation or these by-laws.

Section 9. Action Without Meeting. Any action required to be taken at a meeting of the directors of the corporation, or any other action which may be taken at a meeting of directors, may be taken without a meeting if a consent in writing, setting forth the action so taken, shall be signed—delivered by any means permitted by applicable law by all—each of the directors entitled to vote with respect to the subject matter thereof.

Section 10. <u>Attendance by Telephone</u>. Directors may participate in any meeting through the use of a conference telephone or similar communications equipment by means of which all persons participating in the meeting can hear each other, and such participation in a meeting shall constitute presence in person at the meeting.

Section ll. <u>Vacancies</u>. Any vacancy occurring in the board of directors or any directorship to be filled by reason of an increase in *the* number of directors shall be filled by the board or directors. A director elected to fill a vacancy shall serve for the unexpired term of his or her predecessor.

Section 12. <u>Compensation</u>. Directors shall not receive any stated salaries for their services, but by resolution of the board of directors a fixed sum and expenses of attendance, if any, may be paid for each regular or special meeting of the board, provided that nothing herein contained shall be construed to preclude any director from serving the corporation in any other capacity and receiving reasonable compensation therefor.

Section 13. Removal. A director may be removed for cause by a vote of two-thirds (2/3) of all directors then in office. Such action shall be taken at a regular meeting of the board of directors or at a special meeting called for such purpose, and the proposed removal shall be set forth in the notice of any such regular or special meeting, sent at least twenty (20) days prior thereto.

#### ARTICLE IV - COMMITTEES

Section 1. Creation of Committees. The board of directors, by resolution adopted by a majority of the directors in office, may designate one (1) or more committees, each of which shall consist of two (2) or more directors and such other persons as the board shall appoint, provided that a majority of the members of each committee shall be directors.

Section 2. Manner of Acting. Each committee, to the extent provided in the resolution creating such committee and except as limited by law, the articles of incorporation or these by-laws, shall have and exercise the authority of the board of directors in the management of the corporation; but the designation of such committees and the delegation thereto of authority shall not operate to relieve the board of directors, or any individual director, of an responsibility imposed upon it or him or her by lam. Unless otherwise provided in the resolution creating a committee, such committee may select its chairman, fix the time and place of its meetings, specify what notice of meetings, if any, shall be given, and fix its rules of procedure which shall not be inconsistent with these by-laws or with rules adopted by the board of directors. The act of a majority of committee members present at a meeting at which a quorum is present shall be the act of the committee.

Section 3. <u>Term of Office</u>. Each member of a committee shall continue as such until his or her successor is appointed, unless the committee shall be sooner terminated, or unless such member be removed from such committee, or unless such member shall cease to qualify as a member thereof.

Section 4. <u>Vacancies</u>. Vacancies in the membership of any committee may be filled by appointments made in the same manner as provided in the case of the original appointments.

Section 5. Quorum. Unless otherwise provided in the resolution of the board of directors designating a committee, a majority of the whole committee shall constitute a quorum and the act of a majority of the members present at a meeting at which a quorum is present shall he the act of the committee.

Section 6. Action Without Meeting. Any action which may be taken at a meeting of a committee may be taken without a meeting if a consent in writing, setting forth the action so taken, shall be signed delivered by any means permitted by applicable law by all each of the members of the committee entitled to vote with respect to the subject matter thereof.

Section 7. Attendance by Telephone. Members of a committee may participate in any meeting through the use of a conference telephone or similar communications equipment by means of which all persons participating in the meeting can hear each other, and such participation in a meeting shall constitute presence in person at the meeting.

#### ARTICLE V - OFFICERS

Section 1. <u>Enumeration.</u> The officers of the corporation shall be a chairman of the board, a vice-chairman of the board, a president, a vice-president, a secretary, a treasurer and an executive director. The board of directors may also elect one or more additional vice-presidents, one or more assistant secretaries or assistant treasurers and such other officers as it shall deem appropriate. Officers whose authority and duties are not prescribed in these by-laws shall have the authority and perform the duties prescribed, from time to time, by the board of directors. Any two or more offices may be held by the same person, except the offices of chairman of the board, vice-chairman of the board and secretary.

Section 2. Term of. Office. The officers of the corporation shall be elected at the annual meeting of the board of directors and shall hold office until their successors are elected and qualified or until their death, resignation or removal. Vacancies may be filled or new offices created and filled at any meeting of the board of directors. Election of an officer shall not of itself create contract rights. Any officer elected by the board of directors may be removed by the board whenever in its judgment the best interests of the corporation would be served thereby. Such removal shall be without prejudice to the contract rights, if any, of the person so removed.

Section 3. Chairman of the Board. The chairman of the board shall be the principal executive officer of the corporation. Subject to the direction and control of the board of directors, the chairman of the board shall have general supervision, direction and control of the business and affairs of the corporation and shall perform all duties incident to the office of chairman of the board and such other duties as may be assigned to him or her by the board of directors. Except in those instances in which the authority to execute is expressly delegated to another officer or agent of the corporation or a different mode of execution is expressly prescribed by the board of directors, the chairman of the board may execute for the corporation any contracts, deeds, mortgages, bonds, or other instruments which the board of directors has authorized to be executed, and he or she may accomplish such execution either under or without the seal of the corporation and either

individually or with the executive director, president, secretary, any assistant secretary, or any other officer thereunto authorized by the board of directors, according to the requirements of the form of the instrument. The chairman of the board may vote all securities which the corporation is entitled to vote except as and to the extent such authority shall be vested in a different officer or agent of the corporation by the board of directors.

Section 4. Vice-Chairman of the Board. The vice-chairman of the board shall perform such duties and have such other powers as shall be assigned to him or her by the chairman of the board or the board of directors. Further, in the absence of the chairman of the board or in the event of his or her inability or refusal to act, the vice-chairman of the board shall perform the duties of the chairman of the board and when so acting, shall have all the powers of and be subject to all the restrictions upon the chairman of the board.

Section 5. President. The president shall perform such duties and have such other powers as shall be assigned to him or her by the chairman of the board, the vice-chairman of the board or the board of directors. Further, in the absence of the chairman of the board and the vice-chairman of the board or in the event of the inability or refusal to act of the chairman of the board and the vice-chairman of the board, the president shall perform the duties of the chairman of the board and when so acting, shall have all the powers of and be subject to all the restrictions upon the chairman of the board.

Section 6. <u>Vice-President</u>. The vice-president shall perform such duties and have such other powers as shall be assigned to him or her by the chairman of the board, the vice-chairman of the board, the president or the board of directors. Further, in the absence of the president or in the event of his or her inability or refusal to act, the vice-president shall perform the duties of the president and when so acting, shall have all the powers of and be subject to all the restrictions upon the president.

Section 7. Secretary. The secretary shall keep a record of all proceedings of the board of directors in a book to be kept for that purpose; see that all notices are duly given in accordance with the provisions of these bylaws or as required by law; be custodian of the corporate records and of the seal of the corporation; and perform all duties incident to the office of secretary and such other duties as from time to time may be a assigned to him or her by the chairman of the board, the vice-chairman of the board or by the board of directors. With the approval of the board of directors, the secretary may delegate

> specified duties to an assistant secretary or other person for the effective conduct of the affairs of the corporation.

Section 8. Treasurer. The treasurer shall have charge of and be responsible for the maintenance of adequate books of account for the corporation; have charge and custody of all funds and securities of the corporation, and be responsible for the receipt and disbursement thereof; and perform all duties incident to the office of a treasurer and such other duties as may be assigned to him or her by the chairman of the board, the vice-chairman of the board or the board of directors. With the approval of the board of directors, the treasurer may delegate specified duties to an assistant treasurer or other person for the effective conduct of the affairs of the corporation.

Section 9. Executive Director. The executive director shall be the principal staff officer of the corporation. Subject to the direction and control of the board of directors, the executive director shall have day-to-day supervision, direction and control of the business and officers of the corporation and shall perform all duties incident to the office of executive director and such other duties as may be assigned to him or her by the board of directors. Except in those instances in which the authority to execute is expressly delegated to another officer or agent of the corporation or a different mode of execution is required by law or expressly prescribed by the board of directors, the executive director may execute for the corporation any contracts, deeds, mortgages, bonds, or other instruments which the board of directors has authorized to be executed, and he or she may accomplish such execution either under or without the seal of the corporation and either individually or with the chairman of the board, vice-chairman of the board, president, secretary, any assistant secretary, or any other officer thereunto authorized by the board of directors, according to the requirements of the form of the instrument. The executive director may vote all securities which the corporation is entitled to vote except as and to the extent such authority shall be vested in a different officer or agent of the corporation by the board of directors.

#### ARTICLE VI -GENERAL PROVISIONS

Section 1. Contracts. The board of directors may authorize any officer or officers or agent or agents of the corporation to enter into any contract or execute and deliver any instrument in the name of and on behalf of the corporation and such authority may be general or confined to specific instances.

Section 2. Checks, Drafts, Etc. All funds of the corporation shall be deposited from time to time to the

credit of the corporation in such banks, trust companies, or other depositories as the board of directors may select. All checks, drafts or other orders for the payment of money, notes or other evidences of indebtedness issued in the name of the corporation, shall be signed by such officer or officers or agent or agents of the corporation and in such manner as shall from time to time be determined by resolution of the board of directors. In the absence of such determination by the board of directors, such instruments shall be signed by the executive director and countersigned by the chairman of the board of the corporation.

Section 3. Fiscal Year. The fiscal year of the corporation shall be fixed by resolution of the board of directors.

Section 4. Waiver of Notice. Whenever any notice is required to be given under law, the articles of incorporation or the bylaws of the corporation, a waiver thereof in writing signed by the person or persons entitled to such notice, whether before or after the time stated therein, shall be deemed equivalent to the giving of such notice.

Section 5. Amendments. The power to alter, amend, or repeal the by-laws or adopt new by-laws shall be vested in the board of directors. Such action may be taken at a regular or special meeting for which written notice of the purpose shall be given. The by-laws may contain any provisions for the regulation and management of the affairs of the corporation not inconsistent with law or the articles of incorporation.

#### ARTICLE VII - INDEMNIFICATION AND INSURANCE

Section 1. The corporation may indemnify any person who was or is a party, or is threatened to be made a party to any threatened, pending or completed action, suit or proceeding, whether civil, criminal, administrative or investigative (other than an action by or in the right of the corporation) by reason of the fact that he or she is or was a director, officer, employee or agent of the corporation, or who is or was serving at the request of the corporation as a director, officer, employee or, agent of another corporation, partnership, joint venture, trust or other enterprise, against expenses (including attorneys' fees), judgments, fines and amounts paid in settlement actually and reasonably incurred by such person in connection with such action, suit or proceeding, if such person acted in good faith and in a manner he or she reasonably believed to be in, or not opposed to, the best interests of the corporation, and, with respect to any criminal action or proceeding, had no reasonable cause to believe his or her conduct was unlawful. The termination of any action, suit or proceeding by judgment, order, settlement, conviction, or upon a plea of nolo contendere or its

equivalent, shall not, of itself, create a presumption that the person did not act in good faith and in a manner which he or she reasonably believed to be in, or not opposed to, the best interests of the corporation, or, with respect to any criminal action or proceeding, that the person had reasonable cause to believe that his or her conduct was unlawful.

Section 2. The corporation may indemnify any person who was or is a party, or is threatened to be made a party to any threatened, pending or completed action or suit by or in the right of the corporation to procure a judgment in its favor by reason of the fact that such person is or was a director, officer, employee or agent of the corporation, or is or was serving at the request of the corporation as a director, officer, employee or agent of another corporation, partnership, joint venture, trust or other enterprise, against expenses (including attorneys' fees) actually and reasonably incurred by such person in connection with the defense or settlement of such action or suit, if such person acted in good faith and in a manner he or she reasonably believed to be in, or not opposed to, the best interests of the corporation, provided that no indemnification shall be made in respect of any claim, issue or matter as to which such person shall have been adjudged to be liable for negligence or misconduct in the performance of his or her duty to the corporation, unless, and only to the extent that the court in which such action or suit was brought shall determine upon application that, despite the adjudication of liability, but in view of all the circumstances of the case, such person is fairly and reasonably entitled to indemnity for such expenses as the court shall deem proper.

Section 3. To the extent that a director, officer, employee or agent of the corporation has been successful, on the merits or otherwise, in the defense of any action, suit or proceeding referred to in Sections (1) and (2) of this Article VII, or in defense of any claim, issue or matter therein, such person shall be indemnified against expenses (including attorneys' fees) actually and reasonably incurred by such person in connection therewith.

Section 4. Any indemnification under Sections (1) and (2) of this Article VII (unless ordered by a court) shall be made by the corporation only as authorized in the specific case, upon a determination that indemnification of the director, officer, employee or agent is proper in the circumstances because he or she has met the applicable standard of conduct set forth in Sections (1) and (2) of this Article VII. Such determination shall be made (i) by the board of directors by a majority vote of a quorum consisting of directors who were not parties to such action, suit or proceeding, (ii) if such a quorum is not

obtainable, or, even if obtainable, a quorum of disinterested directors so directs, by independent legal counsel in a written opinion, or (iii) by the members entitled to vote, if any.

Section 5. Expenses incurred in defending a civil or criminal action, suit or proceeding may be paid by the corporation in advance of the final disposition of such action, suit or proceeding, as authorized by the board of directors in the specific case, upon receipt of an undertaking by or on behalf of the director, officer, employee or agent to repay such amount, unless it shall ultimately be determined that he or she is entitled to be indemnified by the corporation as authorized in this Article VII.

Section 6. The indemnification provided by this Article VII shall not be deemed exclusive of any other rights to which those seeking indemnification may be entitled under any agreement, vote of disinterested directors, or otherwise, both as to action in his or her official capacity and as to action in another capacity while holding such office, and shall continue as to a person who ha ceased to be a director, officer, employee or agent, and shall inure to the benefit of the heirs, executors and administrators of such a person.

Section 7. The corporation may purchase and maintain insurance on behalf of any person who is or was a director, officer, employee or agent of the corporation, or who is or was serving at the request of the corporation as a director, officer, employee or agent of another corporation, partnership, joint venture, trust or other enterprise, against any liability asserted against such person and incurred by such person in any such capacity, or arising out of his or her status as such, whether or not the corporation would have the power to indemnify such person against such liability under the provisions of this Article VII.

I CERTIFY THAT THE ATTACHED BY-LAWS ARE COMPLETE AND ACCURATE COPIES OF THE ORIGINAL DOCUMENTS.

HOWARD NOCHUMSON

EXECUTIVE DIRECTOR

# PART XV Grant Application Check List



The intent of this check list is not to remove the necessity of reading the General and Specific Grant making Guidelines, and completing the Grant Application in it's entirety, but to highlight the most common omissions

They are as follows

- 1 Failure to provide four copies of the completed and signed application, with all attachments
- 2 Not having the application signed by the appropriate organization official-President or CEO Not having a budget of the project for each year of funding requested (up to three), detailing amounts requested, with appropriate justification and if part of a larger project, the budget for the entire project, including projected expenditures and revenue
- 3. A completed and most recent completed Audit and notes and opinion, including management letter, if available and/or Financial Statement Completed and up to date copy of "Principles for Community Health Care," one copy to also to be on file with the Donors Forum of Chicago See Pages 2 through 6 under General Guidelines, especially pages 4 and 5 for the format for the report. Be sure to provide the statistical information requested under item 4.
- Be sure to identify the type of project you are requesting funds for and complete all required information.

Section A -- Program Support

Section B -- Research Support

Section C -- Equipment Only

- If a project is both program and research, all questions requested must be answered, however, be sure to note the IRB requirement, under Research Support
- If your project involves equipment, and is either a program and/or research request you must complete the required equipment questions You should be aware that, if your request is funded, that funds will not be disbursed until we receive purchase orders or requisitions for all equipment requested, with delivery dates of with in three months of the date of the award announcement letter
- 7 Finally, if you are using the Donors Forum Common Application it is your responsibility to provide all missing information requested by the regular Washington Square Application
- 8 Grant submission deadlines (application must be received in the Foundation office) are as follows. December 1, for the January\February Grant Committee Meeting or June 1 for the July\ August Grant Committee meeting



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# **General Guidelines**

The Washington Square Health Foundation grant making process is conducted by the Board of Directors in an impartial manner based upon an evaluation of each project request Therefore, solicitation of individual members of the Washington Square Health Foundation, Inc. Board of Directors by potential recipients for specific Washington Square Health Foundation, Inc. grant requests is discouraged

Priorities for funding will vary from time to time. Even though a project meets established criteria, it may not be adopted because of limited funds or other priorities.

Washington Square Health Foundation, Inc. Grants do not merely provide funds to an existing project, however worthy. They must be designed to provide significant health related benefits. Projects must have the support of appropriate organizations and/or governmental units and in the community where the project is to be carried out. To be eligible for consideration, projects must be efforts involving a not for profit tax exempt 501(C3) organization or association as sponsor. The grant request must be submitted by an organization exempt from income tax under sec. 501 (c)(3), and designated as a public charity under section 509(a)(1) or 509(a)(2), of the Internal Revenue Code. Washington Square Health Foundation does not provide grants to section 509(a)(3) "supporting organizations." Your most recent IRS Determination Letter should state your status as a 501(c)(3) organization with a 509(a)(1) or 509(a)(2) designation

The following criteria have been established by the Board of Directors of the Washington Square Health Foundation.

- · Specific Grant Making Guidelines
- Principles for Community Health Care
- Overview
- · Format for Community Health Care Report
- · Additional Grant Making Policies and Guidelines
- Guidelines for funding HIV/AIDS Projects

## Specific Grant Making Guidelines

The grant / project must

- be applicable to one of the following categories: Health Services, Medical Research, or Medical Education (see fundable grants)
- 2 reasonably ensure continued support after involvement by the Washington Square Health Foundation is discontinued
- not involve liability to the Washington Square Health Foundation except to provide
   the amount of the grant
- 4 involve active participation by the sponsor which must be a not for profit tax exempt 501(C3) organization, institution, or association
- 5 demonstrably benefit others beyond the individual organization recipient of the grant
- 6 not benefit a Washington Square Health Foundation Director, an employee of Washington Square Health Foundation, or a spouse, a lineal descendant (child or grandchild by blood or any legally adopted child), a spouse of a lineal descendant, or an ancestor (parent or grandparent by blood) of any living Washington Square Health Foundation Director or Washington Square Health Foundation employee
- 7 not duplicate any existing Foundation grant
- 8 not involve the purchase of land or the payment of general administration costs for the sponsoring organization.
- 9 not involve the establishment of a permanent foundation or trust or a permanent interest-bearing account, or involve support for the general operating costs of any organization
- 10 not involve any of the following
  - carrying on propaganda or otherwise attempt to influence legislation



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 restrict the services or facilities or employment provided by the grant to individuals based on race, creed, color, sex or national origin

- 11 not involve construction or capital fund raising campaigns
- 12 ,if a direct health care service project be located in the Chicago Metropolitan area or impact or be "linked" to the Chicago Metropolitan Area
- 13. have an up-to-date annual community healthcare report for the Principles for Community Health Care on file with the Donors Forum (see below) Until these forms are available on the Donors Forum website, a copy should be included with the grant application

# Principles for Community Health Care

Representatives from Chicago area foundations, with input from public health, hospital, payer and community based health care organizations, have developed *Principles for Community Health Care*. These principles reinforce the value placed by the philanthropic community on activities to increase access to health care among at-risk populations and expand capacity to address important health care needs. They will offer grant makers a consistent approach to assessing the commitment of potential grantees to these community health goals. The criteria are included to clarify the meaning of each broad principle.

- 1 Provides service to high risk, underserved and/or disadvantaged in the community. Criteria - Demonstration that the organization has
  - Collected assessment data about the health care needs of high risk, underserved and/or disadvantaged populations in service area
  - Implemented targeted activities to increase the accessibility of health care services to one of more high risk, underserved and/or disadvantaged populations.
  - Designed and implemented with community involvement new or expanded services to address the health care needs of one or more high risk, underserved and/or disadvantaged populations.
  - Identified opportunities to increase assets of high risk, underserved and/or
    disadvantaged communities such as by employing community members as
    staff in health programs, locating health service delivery sites in the
    community, negotiating purchasing contracts with local businesses for health
    service-related products.
- 2 Establishes decision making links with high-risk, underserved and/or disadvantaged communities

Criteria - Demonstration that the organization has

- Implemented a process to gather input from community leaders representing one or more high risk, underserved and/or disadvantaged populations
- Developed formal mechanisms to include community leaders in decision making roles such as through advisory committees, board representation, or other means
- 3 Partners with relevant providers and other community based organizations. *Criteria* - Demonstration that the organization has
  - Partnered with a wide variety of others including competitors and community based organizations to provide services effectively and efficiently to high risk, underserved and/or disadvantaged populations
  - Developed linkages with other related programs to promote continuity of care
- 4 Utilizes a community-oriented approach program development Criteria - Demonstration that the organization has incorporated the following dimensions into program development for high-risk, underserved and/or disadvantaged population
  - Documentation of need from multiple perspectives including target population
  - Involvement of clients in program decision making and implementation.

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- · Partnerships with other relevant providers and community organizations.
- Incorporation of continuous quality improvement processes to identify/resolve problems and monitor outcomes
- Inclusion of actions to promote financial sustainability of program

#### Overview

The Principle for Community Health Care are NOT specific to an individual grant but will provide background information to complement a general organizational profile

#### **Important Notes**

- 1. Each health care organization applying for grant funds to a Chicago area foundation should complete the narrative report as described on page 2. The actual principles and criteria follow on page 3. The report should be submitted to the Donors Forum of Chicago. Copies of the form may also be included with specific grant applications as an extension of the organizational background section. The information should be updated once a year.
- Grantmakers recognize the diversity of organizations that provide health services. The principles will be applied flexibly, taking these differences into consideration.
- 3 Each grantmaker will use the information provided within the context of their own grantmaking process and priorities
- 4 The terms *high-risk*, *underserved*, *and disadvantaged* are not defined more precisely because these target populations will vary greatly by community and organization

#### Resources

- Call or write each potential donor to obtain a copy of its funding guidelines and/or annual report.
- There are many resources that may be helpful to you in addressing these principles such as

Grantmakers in Health - www gih org

Coalition for Healthier Cities and Communities - www healthycommunities org A Workbook on Community Accountability

St. Louis, MO: The Catholic Health Association of the United States, 1995.

Building Communities from the Inside Out

(JP Kretzmann and JL McKnight) Evanston, IL

Center for Urban Affairs and Policy Research, Northwestern University, 1993.

#### Format for Community Health Care Report

To provide potential funders with information about how your organization is addressing the principles and associated criteria, please answer the following questions in three to five pages (single space, 12 point type or larger, 1 inch margins) and submit to the Donors Forum of Chicago. This information should be updated once a year

NAME OF ORGANIZATION CONTACT ADDRESS PHONE/E-MAIL MISSION OF THE ORGANIZATION

- 1 Identify the high risk/underserved and/or disadvantaged populations in the community(ies) that you serve and describe specifically the actions you have taken, based on relevant assessment data, to increase their accessibility to health services
- 2 Describe specifically the strategies you have used to gather input from high risk, underserved and/or disadvantaged population and their leaders as a basis for program or service development
- 3 Describe specific partnerships with other providers and community-based organizations to promote continuity of health care for high risk/underserved and/or disadvantaged populations
- 4 Provide two examples of how you have used the community-oriented approach to

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program development specified in the attached principles to develop a program of service for high risk/underserved and/or disadvantaged populations specified in the guidelines. Include in each description components of the current program and the following quantitative information for the most recent year available.

- · Number of clients served
- Total amount budgeted by your organization for the program
- · Percent that program budget is of total agency budget
- · Percent of program budget that is directly reimbursed by third party payers
- · Percent of program budget that is covered by public/private grants

Submit this information to

Donors Forum of Chicago Director, Member Services 208 S LaSalle Street, Suite 1540 Chicago, IL 60604

# Additional Grant Making Policies and Guidelines

- If your grant request includes new medical/healthcare equipment, please investigate whether reconditioned and/or used equipment can be substituted
- WASHINGTON SQUARE HEALTH FOUNDATION, INC FUNDS MAY NOT BE USED TO PAY FOR ANY OPERATING AND/OR ADMINISTRATIVE EXPENSES INCLUDING BUT NOT LIMITED TO FRINGE BENEFITS, OFFICE FURNITURE AND COMPUTER EQUIPMENT UTILIZED FOR ADMINISTRATIVE RECORD KEEPING, ETC.
- Hurricane Katrina Disaster Capacity Health Care Grant Guidelines
- Guidelines for funding HIV/AIDS Projects



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# PART XV General Guidelines

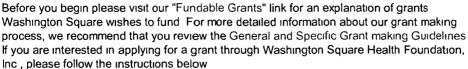
# Guidelines for Funding HIV & AIDS Projects

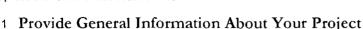
The following guidelines should be used as a way to focus grant funding on areas where the foundation can have a significant impact given the changing face of the epidemic, the multiple providers and funders involved, and the broad range of needs. These guidelines represent overall priorities for funding, not absolute rules about what can and cannot be funded Extraordinary circumstances and/or an extraordinary grant proposal, for example, might result in funding a non-priority activity. The guidelines should be reexamined on an annual basis to assess their match with current needs.

- 1 New funding should address the needs of high risk and underserved populations where current HIV/AIDS transmission is concentrated. These include women, adolescents, undocumented immigrants, and men of color who have sex with men Program strategies to address these priority populations should represent interventions that are accessible, acceptable and effective within these cultures Applicant organizations should demonstrate that they have experience working with these populations and legitimacy within the high risk communities.
- 2 Projects requesting funding for direct health care should be considered, especially for the priority populations described above. Direct health care includes primary medical care, home care, hospice care in residences, mental health services, nutritional care, dentistry, day health care, and ancillary health care services such as physical therapy. Case management services should not be a priority, first because of the support of these services by the AIDs Foundation, and second because of the lack of consensus about their scope., M.D.
- 3 Priority should be given to projects that increase the availability of specialty HIV/AIDS health care through primary care networks. This can increase the cost-effectiveness of care overall and accessibility by those who are unlikely to utilize AIDs-specific agencies or services or through a greater integration of neighborhood clinics with primary care networks.
- 4 Projects requesting funding for supportive services such as peer support should be considered, especially for the priority populations. Homemaker and transportation services are not a priority
- 5 Volunteer programs should be funded only on a limited basis and only when they meet one or more of the following criteria:
  - represent consolidation of volunteer services across agencies
  - demonstrate cost-effective delivery of care including adequate recruitment and retention of volunteers
  - · serve a priority population
  - demonstrate an innovative use of volunteers
  - provide material support such as food for a food pantry within an already existing program
- 6 Projects with alternative therapies should be considered only if they have a strong research/evaluation component that could produce evidence of impact on health status and/or quality of life outcomes
- 7 The following types of projects are not recommended for current funding consideration.
  - Clinical and biomedical research regarding HIV/AIDs because of the availability of federal and pharmaceutical funding
  - Prevention programs because of the availability of state, local and other foundation funding
  - Health services research/policy questions such as HIV/AIDS within managed care because of national projects already underway in this area
  - Medication coverage for patients because of the enormous cost per patient (\$9-12,000 per year)
- 8 All projects should have clearly stated and measurable objectives for which monitoring data can be collected over the course of the project regarding attainment of these objectives



# PART'XV How to Apply





Anyone applying for a grant must provide the information requested in the form below

O Grant Application Form

You can fill out this form online and save it to your computer's hard drive or you can simply save the blank form, complete it off line and print it out

## 2 Prepare a Brief Project Proposal

Identify the type of project for which you are seeking support by reading the descriptions below. Then, depending on the type of project, a series of questions must be answered that will guide you in the preparation of a brief project proposal. If a project is both program and research all questions requested for both types of projects must be answered. If your project involves equipment, and is either a program and/or research request, you must complete the required equipment questions as well

#### O Program Support

These proposals are to support a broad range of NEW program initiatives including (but not limited to) a new health education program, a new type of health care delivery system, a new treatment program, a new medical education program, an institutional change such as a new Emergency Department triage system, a new use of computer technology such as for clinician training or evaluation, or a new health service such as a dental clinic within a community health center. These projects are often being done as pilots or as initial phases of larger projects.

If your project matches this description, please answer all the questions in  ${\bf Section}\;{\bf A}$ 

#### Research Support

These proposals are for support of research activities--usually clinical research, health services research or health education program evaluation All proposals seeking funding for equipment that will be used in a research project should be submitted as this type of project

If your project matches this description, please answer all the questions in Section  ${\bf B}$ 

#### Equipment Only

These proposals are generally for specific pieces of patient care-related equipment such as a neonatal transport unit, a dental x-ray machine, or specialized surgical or laboratory equipment. There are several exclusions

- Requests for resources to purchase computer equipment or information systems should be submitted as a program support or research support grant as appropriate. The Foundation does not provide funding for computers to be used for administrative/operating purposes.
- All requests for equipment that will be used in a research project should be submitted as a research support grant

If your project matches this description, please answer all the questions in Section C



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# PART XV 3 Complete the Budget Form

Anyone applying for a grant, must complete the following form

O Budget Form You can fill out this form online and save it to your computer's hard drive or you can simply save the blank form, complete it off line and print it out

## 4 Attach Appropriate Documentation

Do not forget to attach a copy of the most recent annual report, audited financial statement and official documents certifying the not-for-profit status (IRS 501(c)(3) determination letter) of the sponsoring organization, association or institution

For Research Support grants, IRB approval, if required, must also be submitted to the Foundation office with the completed application

## 5 Verify Application is Complete

To ensure your application materials are complete, please see the **Grant Application Check List** we have provided to highlight some of the most common mistakes or omissions that requestors make

## 6. Submit Application

Please submit four copies of all Grant Request Application materials to Washington Square Health Foundation Be prepared to provide additional copies as needed

Grant submission deadlines (application must be received in the Foundation office) are as follows: December 1, for the January\February Grant Committee Meeting or June 1 for the July\ August Grant Committee meeting



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# WASHINGTON SQUARE HEALTH FOUNDATION, INC.

#### GRANT APPLICATION

	Ordan	All Lioning		
1.	Title of project:			
2.	Location of proposed project: Street: City.	State:	Zıp Code.	
3.	Name/address of organization responsible for project: Name: Street. City. State. Zip Code			
4.	Mission of organization: (may use	·		
5.	Name/address of contact person: Name. Street: City: Office Phone: Email.	State: Home Phone	Zıp code: e.	
6.	Name/address of person respons Name. Street. City: Office Phone Email:	ible for project: State. Home Phone	Zıp code: e	
7.	Concise description of project:			
8.	<b>Expected duration of project:</b> Start Date:	Completion (	Date:	
9.	Contribution Information:		sted from WSHF. \$ ther sources: \$ \$	
Date Signature of President or CEO Title				

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10.	How will the funds for this project be administered and who will direct/monitor the project?
	What other groups or organizations are cooperating in the project or contributing to it? What is the nature of their cooperation or the extent of their anticipated contribution? (If commitment letters of agreement are required, please attach.)
12.	How will the project be continued after support from The Washington Square Health Foundation? Be specific.
13.	What is the total population of the target region or community where the project will be carried out?
	How many people are expected to benefit directly or indirectly from the project?
docume organiz	attach a copy of the most recent annual report, audited financial statement and official ents certifying the not-for-profit status (IRS 501(c)(3) determination letter) of the sponsoring ation, association or institution. The grant request must be submitted by an organization from income tax under sec. 501 (c)(3), and designated as a public charity under section

509(a)(1) or 509(a)(2), of the Internal Revenue Code. Washington Square Health Foundation does not provide grants to section 509(a)(3) "supporting organizations." Your most recent IRS Determination Letter should state your status as a 501(c)(3) organization with a 509(a)(1) or 509(a)(2) designation.

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<del></del>	BUDGET REQUEST	•		
Number of years for which WSHF		_		
grant support is requested:	1	2	3	
			<del></del>	

**Proposed budget for use of requested WSHF grant:** attach detail as needed. (NOTE: Do not include expenditures to be funded through non-WSHF sources.)

PERSONNEL - No & Type	YEAR 1	YEAR 2	YEAR 3	TOTAL
				<u>-</u>
<del></del>				<u>-</u>
	<u> </u>			-
Total Personnel:				-
OPERATIONS				
				<u>-</u>
				-
				-
Total Operations:			<u>-</u>	-
MATERIALS/SUPPLIES (specify)				
7/		75	Management of the second secon	-
				-
	<del> </del>		<del></del>	
				-
Total Materials/Supplies	-			
EQUIPMENT (specify)				
- Lacin Welt (openly)				With the Control of t
				-
Total Equipment.	_	_	-	_
OTHER COSTS (specify)				
CTTLK GGGTG (Specify)				
				-
	<del> </del>		-	
				-
Total Other Costs:	-	-	-	-
GRAND TOTAL WSHF				
GRANT REQUEST.	<u> </u>	<u> </u>	<u> </u>	<u> </u>

**NOTE:** The number in the box at the lower right should match the first line item on question number 9 of the Grant Application

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# PART XV Grant Application - Section A (Program Support)



Use the following questions to guide you through the preparation of a project brief. The brief should be no more than 10 pages and all questions must be answered. Use the word processing application and format of your choice and attach the brief to the General Application form when submitting the application.

- 1 Why is this project being undertaken?
- 2 Have you involved the target population in shaping the goals and activities associated with this project? If so, how? If not, why not?
- 3 How will the project meet identified needs of the target population?
- What are the goal(s) of this project and what are specific measurable objectives? You should have at least one outcome objective as well as process objectives Please see the examples below of outcome and process objectives NOTE you will be expected to track these objectives and report on level of attainment over the course of the project
- 5. How do you plan to track progress toward reaching these objectives
- 6 What methods wil you use to achieve your objectives?
- 7 Any other information that you think would be important for the Foundation to know about this project

#### SAMPLE GOAL AND OBJECTIVES

Objectives are indicators of achievement that are **measurable** in some way All objectives should include an indicator statement, a target population, a time frame, and the amount of change expected in the indicator. For example, the number of eye surgeries (*indicator*) among older adults (*target population*) will increase by 50% (*amount of change*) in one year (*time frame*)

Outcome and Process objectives are defined below

**OUTCOME OBJECTIVES:** Direct results of the program that are stated in terms of performance and not effort. They should described changes in knowledge, attitude, behavior, skill, community capacity, health status or health care utilazation.

**PROCESS OBJECTIVE:** Activities which must be performed in order to accomplish the outcome objectives

#### **SAMPLE 1:**

**Goal:** To improve the ability of low-income famalies to manage their asthma

#### Objectives:

#### **Outcome**

The average number of days of school absence will decrease by 30% among program participants during the first year of the program and/or
Average number of emergency room visits for asthma among program participants will decrease by 50% during the first year of the program and/or
Ninety percent of parents and

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children seen in the asthma clinic will be able to demonstrate correct use of the peak flow meter

#### **Process**

Clinicians will document patient education provided to asthma patients/families during their visits 80% of the time and/or Patient surveys will be administered every six months to 10% of the clinic population

#### SAMPLE 2:

**Goal:** To reduce the use of emergency department for non-emergency conditions

#### Objectives:

#### **Outcome**

The number of patient visits to the ER for non-emergency conditions will decrease by 20% during the first year of the program and/or At least 75% of follow-up appoinments in primary care clinics for non-emergent emergency department visits will be kept during the first year of the program. and/or At least 80% of patients seen in pediatric, primary care and internal medicine clinics during the firest six months of the program will be able to describe the Ask-A-Nurse program and options for after-hours care

#### **Process**

Utilization of the Ask-A-Nurse Service will increase by 30% during the first six months of the program and/or. The pediatric service will ad 10 additional hours per week of late afternoon, evening and weekend clinic time during the first year of the program.



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# Grant Application - Section B (Research Support)



Use the following questions to guide you through the preparation of a project brief. The brief should be no more than 10 pages and all questions must be answered. Use the word processing application and format of your choice and attach the brief to the General Application form when submitting the application.

- 1 Why is this project being undertaken? How is this related to previous research interests?
- What is the research question to be addressed? What do you expect to be able to report at the end of the project?
- 3 What is the research design? Please describe the sample size rationale, specific experimental or quasi experimental design, subject enrollment procedures, data collection strategies, analysis plans
- 4 What are the strengths and weaknesses of your proposed approach especially regarding threats to internal validity and potential problems related to recruitment of subjects?
- 5 How will you track interim progress of the research?
- 6. Have you applied to the IRB for approval of the research process? (NOTE: IRB approval, if required, must be submitted to the Foundation office with the completed application)
- 7 How will the results be used? (e.g. to improve care, as a pilot for a larger project?)
- 8 What complementary funding do you plan to secure in order to implement the research project?
- 9. Include curriculum vitae of principal investigators (outside of page limit).



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# Grant Application - Section C (Equipment Only)



Use the following questions to guide you through the preparation of a project brief. The brief should be no more than 10 pages and all questions must be answered. Use the word processing application and format of your choice and attach the brief to the General Application form when submitting the application.

- 1 What is the equipment needed? What evidence is there that this equipment will improve patient care/medical education/community health?
- What process did you use to select the specific type/brand of equipment you wish to purchase?
- 3 What are the goal and objectives of the project? You should be specific about who will use this equipment, where it will be installed and how many patients are expected to benefit. Please see the sample goals and objectives below.
  NOTE: you will be expected to track these objectives and report on level of attainment over the course of the project.
- 4 How will staff training needs regarding the new equipment be addressed?
- 5 Will additional staff be necessary for operating the equipment? Where will funds for additional staff and/or maintenance of the equipment be obtained?
- 6 What do you estimate will be the expected useful life of this equipment given usage and changes in technology?
- 7 Any other information that you think would be important for the Foundation to know about this project

#### SAMPLE GOAL AND OBJECTIVES

An objective should be an indicator of achievement that is measurable in some way. All objectives should include an indicator statement, a target population, a time frame and the amount of change expected in the indicator. For example, the number of eye surgeries (indicator) among older adults (target population) will increase by 50% (amount of change) in one year (time frame).

Below is a sample goal and objectives:

**Goal:** To improve the care of elderly eye surgery patients (specify surgical technique)

#### Objectives:

The average surgical time per patient will be reduced by 20% in the first year of equipment use and/or

The number of eye surgeries performed per year will increase by 50%

and/or

The average amount of nursing time per patient during the post-surgery recovery period will decrease by 50% in the first year of equipment use

and/or

The number of patient reporting a specific complication will decrease by 50% in the first year of equipment use.



# WASHINGTON SQUARE HEALTH FOUNDATION, INC. 9/30/2011

## PART XV, GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Check Number	Docket Number	Organization Name	Project Title	Amount
		10	D D II D C I I I I (C) I C: 4 II M	612 100
3973 	1931b	Centro de Salud Esperanza	Paso-a-Paso Un Peso Saludable (Step-by-Step: A Healthy Weight)	\$13,180
3974	1962a	Korean American Community Services	Breast & Cervical Cancer Early Detection Project	\$7,500
5252	1982	Illinois Maternal and Child Health Coalition	Grant in Memory of Mary Driscoll's Mother, Josephine Tarczon	\$500
5294	1983	Torah Academy of Buffalo Grove	Howard Nochumson's Board of Director Grant for the Able First Aid Program	\$603
3922	1984	The Children's Memorial Hospital	"Children Celebrate Children" Project to Support Children Memorial Hospital	\$10,000
9067	1985a	Thresholds	Thresholds Veterans Project	\$11,719
8975	1985b	Thresholds	Thresholds Veterans Project	\$11,719
8976	1986a	Housing Opportunities for Women, Inc (HOW)	HOW's Mental Health Services Program	\$7,500
9068	1986b	Housing Opportunities for Women, Inc (HOW)	HOW's Mental Health Services Program	\$7,500
3977	1987	Erie Family Health Center	Expanding Access to Prenatal Care and Pediatric Screening at Five Erie Family Health Center Sites	\$26,765
3978	1988a	The Children's Memorial Hospital	Hematology, Oncology and Stem Cell Transplantation Research Fellowship	\$30,000
9069	1988Ь	The Children's Memorial Hospital	Hematology, Oncology and Stem Cell Transplantation Research Fellowship	\$29,046
8979	1991	The Lambs, Inc	Strength Training Program	\$8,720
8980	1993	Face the Future	Face the Future Foundation - Forging the Future of Facial	\$17,625
8981	1994a	Little Company of Mary Hospital	Advancing Baby Friendly - Breastfeeding Peer Counseling and Baby TALK Program	\$7,620
8983	1996	Chicago Children's Advocacy Center	The 2011 CCAC Symposium and Training Series	\$30,000
8991	1997	American Lung Association in Greater Chicago	Healthy Lung Expo	\$12,000
8888	2001	Association of Small Foundations	Foundation Designated Grant	\$3,000
8990	2002	Lester and Rosalie Anixter Center	Chicago Hearing Society Audiology Clinic and Hearing  Aid Bank	\$13,400
8985	2005	Rosalind Franklin University	Dr. Richard B Patterson's Board of Director Grant to the Jack Scholl	\$1,500
8986	2006	University of Illinois Foundation	Encapsulation meeting in Bratislava for the Chicago Diabetes Project	\$18,000
8987	2007	Council on Foundation	Foundation Designated Grant	\$2,090
8988	2008	Donors Forum of	Foundation Designated Grant	\$934
8989	2009	Grantmakers in Health	Special Designated Grant - Grantmakers in Health 2011	\$2,750
8995	2010	University of Illinois	Special Designated Grant for the Encapsulation Meeting in	\$3,000

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# PART XV, GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

			Total Grants	\$382,954
5549	2046	Rush University Medical Center	Mr William B Friedeman's Board of Director Grant to The Women's Board	\$1,000
5547	2045	La Rabida Children's Hospital and Research Center	Mr William B Friedeman's Board of Director Grant	\$1,000
5541	2044	Advocacy Center	Mr James M Snyder's Board of Director Grant to the Chicago Children's Advocacy Center	\$1,000
5540	2043	CommunityHealth,NFP	Mr James M Snyder's Board of Director Grant to CommunityHealth's Englewood Clinic	\$1,000
5539	2042	Chicago Chesed Fund	Mr James M Snyder's Board of Director Grant to the Chicago Chesed Fund's Food Pantry	\$1,000
5538	2041	of Medicine and Science	Dr Richard B. Patterson's Board of Director Grant to the CLEAR Research Program at the Dr William M. Scholl College of Podiatric	\$1,000
5537	2040	CommunityHealth,NFP	Dr Richard B Patterson's Board of Director Grant to CommunityHealth's Englewood Clinic	\$500
5536	2039	Samaritan Counseling Center	William B Friedeman's Board of Director Grant to Samaritan Counseling Center	\$1,000
9082	2038	Keshet	Howard Nochumson's Board of Director Grant for the David Nochumson, Charles Nochumson and Sara Wolf Torah Memorial	\$397
9081	2037	Chicago Chesed Fund	Howard Nochumson's Board of Director Grant to the Chicago Chesed Fund	\$500
9080	2036	Project Seed of Suburban Chicago, Inc.	Howard Nochumson's Board of Director Grant to Project Seed of Suburban Chicago	\$500
9079	2035	Torah Academy of Buffalo Grove	Howard Nochumson's Board of Director Grant to the Torah Academy of Buffalo Grove	\$500
9078	2034	Team Spirit Institute	Howard Nochumson's Board of Director Grant to Team	\$500
9085	2033	Chicago Chesed Fund	Expansion of Food Pantry's Frozen Food Capacity	\$25,000
9011	2032	Community Health, NFP	Special Designated Grant	\$500
0075	2031	Mount Sinai Hospital and Medical Center	Gıraffe Shuttle ın Sınaı Children's Hospital's Neonatal Intensive Care Unit	\$7,500
9074	2028a	Centro Comunitario Juan Diego	Healthy Babies Breastfeeding Education	\$10,000
9073	2025	St Bernard Hospital and Health Care Center	St. Bernard Hospital Dental Center	\$7,500
9076	2019	Adult & Child Therapy	Fall Risk Screening and Prevention Program	\$5,000
9072	2017	Saint Anthony Hospital	Monitors for the Pediatrics Intermediate Care Unit	\$10,586
9071	2015	La Rabida Children's Hospital and Research Center	Patient Monitoring Telemetry System	\$9,300
0070	2013	University of Illinois Foundation	7th Annual The Chicago Diabetes Project Scientific Team Meeting	\$18,000
0023	2012	Advocate Illinois Masonic Medical Center	Dr. William N. Werner's Board of Director Grant to the Angelo P Creticos, MD Cancer Center Fund	\$1,000
		Center		1

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### FEDERAL FOOTNOTES

CONTROLLED FOREIGN PARTNERSHIP REPORTING THE TAXPAYER WAS REQUIRED TO FILE FORM 8865, BUT IT IS NOT DOING SO UNDER THE CONSTRUCTIVE OWNERS EXCEPTION. THE TAXPAYER HAS AN INDIRECT INTEREST IN THE FOLLOWING ENTITY WHICH FILED FORM 8865:

PENINSULA FUND, LP 1229 BURLINGAME AVENUE, SUITE 205 BURLINGAME, CA 94010

THE TAXPAYER WOULD HAVE HAD TO FILE FORM 8865 FOR ITS INDIRECT OWNERSHIP IN THE ENTITY LISTED BELOW BUT FOR THIS EXCEPTION:

PENINSULA MASTER FUND, LTD. C/O MOURANT CAYMAN CORPORATE SERVICES, LTD. HARBOUR CENTRE, 42 NORTH CHURCH STREET, PO BOX 1348 GRAND CAYMAN, KY1-1108, CAYMAN ISLANDS

i. Name and address of grantee:

Midwest Palliative & Hospice CareCenter 2050 Claire Court Glenview, IL 60025

ii. Date and amount of grant:

Approved January 22, 2004 Funds disbursed July 15, 2004 \$125,000.00

iii. The WSHF #1422 PRI grant of \$125,000.00 was given to Midwest Palliative & Hospice CareCenter to develop a hospice and palliative care center for Chicago's South Suburbs.

During the 2007 calendar year, the Olympia Field's south suburban site of Midwest Palliative & Hospice CareCenter (formerly Palliative CareCenter & Hospice of the North Shore) has continued to implement the objectives outlined in its November 2003 proposal to Washington Square Health Foundation, Inc. ("the Foundation"). Midwest CareCenter has assembled a local interdisciplinary team to deliver professional services in the south suburbs, developed relationships with local hospitals and nursing homes, implemented a community education plan and provided hospice services to patients and grief support to both patient family members and community members.

Through 10/31/2008, the number of people that have benefited to date from this project are:

The Foundation, on July 12, 2007, forgave \$24,000 of PRI principal (Grant #1727fPRI) to provide for additional equipment for the Hospice.

The Foundation, on July 30, 2008, forgave \$24,000 of PRI principal (Grant #1814 fPRI) to provide for additional equipment for the Hospice.

The number of hospice patients who have benefited from the two new beds between 12/16/08 and 12/01/09 is 58.

Ownership of the South Suburban operation was transferred as of 10/1/2008 to Horizon Hospice, also, a 501(c)(3) organization. It has accepted the reporting responsibilities, etc of the PRI.

2009 Horizon Hospice: South Suburbs

150 Hospice Patients25 Continuing to be served in 2010330 pieces of information on bereavement sent

138 condolence calls and cards

135 Post death assessments

544 Counseling sessions

477 clients attended support group, composed of 252 adults and 225 children

In the Hospice Kids: How Care Program:

1000 students participated and an increase in hours from 2,900 to 3,300

2010 Horizon Hospice: South Suburbs 22-23 hospice patients per day 50 palliative care patients per day 20+ patient care volunteers 1153 volunteer hours

The Braveheart program, designed to help grieving children, was reinstated by Horizon last year. 804 children and adults participated in group sessions.

2011 Horizon Hospice: South Suburbs 462 individual counseling sessions were provided to 107 individuals.

The Braveheart program provided service to 17 families that included 25 youth and children. In fall 2011, the Braveheart program worked with 16 families that included 21 youth and children.

Kids Who Care celebrated 10 years of service in 2011. 1,100 students who devoted 3,300 hours of their time to record 1,725 patients and elderly people.

- iv. The grantee received the entire PRI of \$125,000.00 on July 15, 2004 and expended all on costs associated with establishment of the "Center" as identified in item iii above.
- v. Based upon the knowledge of the grantor, none of the grant funds have been diverted from the purpose of the grant.
- vi. Reports and follow-up letters and telephone calls dated as follows have been received from the grantee:

Numerous phone calls regarding the progress of the project over the course of the year.

May 13, 2005 - Report
January 3, 2006 - Report
January 4, 2007 - Report
December 21, 2007 - Report
January 14, 2009 - Report
September 30, 2009 - Report

January 19, 2011 - Meeting with Licia Chazim to review progress

of Horizon Hospice acquisition.

February 7, 2012 - Report

February 7, 2012 - Telephone update regarding South Side facility

i. Name and address of grantee:

New Address: Center for Speech and Language Disorders 606 N. Michigan Avenue Elmhurst, IL 60126

Old Address: 195 West Spangler, Suite B Elmhurst, IL 60126

ii. Date and amount of grant:

September 1, 2004 Award Letter \$120,000 Amount/Date 9/21/04 Grant #1490 PRI

August 17, 2005 Deferred second principal payment only for one year to fund a "fund raising consultant" plus printing and meeting costs to help create additional cash flow for the center.

At the August 2005 Board Meeting the Foundation voted to defer \$20,000 of the second year payment principal. Grant #1550 RPRIPS.

iii. The WSHF #1490 PRI grant was made to alleviate the cash flow crisis that The Center for Speech and Language Disorders was experiencing. This program support "cash flow" loan in the amount of \$120,000 would serve as general operating funds and help bring the organization to recovery.

In August 2005, #1550 RPRIPS (Revised Program Related Investment Schedule) grant was approved to delay a \$20,000 payment of principal to help CSLD to achieve their objective of funding the first year of a fundraising consultant for 20 hours per month and associated printing and meeting costs.

Unfortunately, no grant funds received from application.

The Center for Speech and Language Disorders in 2008 provided over 200 "free speech and language screenings" to children under 3.

Clinical staff presented both at the National Convention of Speech Pathologists and National Convention on Autism.

Sponsored several "free parent support" meeting.

In October, the Center for Speech and Language Disorders presented the 24<sup>th</sup> annual conference on The Language Disordered Child for professionals and parents.

- iv. The grantee received the entire PRI of \$120,000 on September 21, 2004 and the deferred amount (\$20,000) in August 2005. The clinic expended the total amount which was used to support working cash operational need (cash flow) and forgiven payment to hire fund raising consultant.
- v. Based upon the knowledge of the grantor, none of the grant funds or deferred funds have been diverted from the purpose of the grant.
- vi. Reports and follow-up letters and telephone calls dated as follows have been received from the grantee:

December 20, 2004 - Report March 22, 2005 - Report

May 12, 2005 - Report (Loan Update)

July 26, 2005 - Report

September 12, 2005 - Report (Grant No. 1550 RPRIPS)

December 12, 2006 - Loan Update and Report (Grant No. 1550 RPRIPS)

October 2, 2007 - Loan Update

October 30, 2007 - Bank letter

November 5, 2007 - Letter

December 12, 2007 - Site Visit to CSLD

December 20, 2007 - Bank Statement of Escrow Account

January 8, 2008 - New repayment plan request submitted

Numerous phone calls over the course of the year.

January 25, 2009 - Report

Numerous phone calls between January and May 2009 to plan fund raiser.

January 10, 2010 - Report

On October 15, 2007, The Center for Speech and Language Disorders paid the interest (\$4,914.15 and principal \$1,085.85) for the second loan payment and requested an extension of the loan payment (principal) to January 2008, because a key therapist had resigned from full time therapy, thereby reducing The Center's cash flow.

The Center made some progress in becoming financially solvent by reducing this year's deficit from \$43,201 to \$19,615. The Center lost some \$45,090 on disposition due to a move build out and moving expense of \$7,130. The move was designed to reduce rent expenditures. Thus, the Center would have had an operating profit of \$32,650, with \$31,679 of financial aid actually expended.

The Foundation received \$15,000 of additional principal on January 10, 2008.

The Foundation re-structured, in July 2008, the remaining loan balance to provide an additional five year loan repayment schedule. The Center is current on their payments under the re-structured loan schedule.

The Foundation forgave \$15,000 (#1864fPRI) of principal on February 11, 2009 to underwrite the Center for Speech and Language Disorder's May 30, 2009 30th Anniversary dinner/dance fund raiser.

Payments received under the previous re-payment plan in 2009 were:

\$6,000.00 on January 29, 2009 \$3,000.00 on February 2, 2009 \$3,000.00 on February 10, 2009

Payment received under the new re-payment plan to date:

\$3,117.00 (interest) on November 9, 2009

<u>2009 Service</u>: The Center for Speech and Language Disorders provided therapy for 336 children and their families. 200+ free speech and language screenings.

The Foundation met with Nevin Hedlund on August 31, 2010 to discuss the Center for Speech and Language Disorder's acquisition of a new site (at no cost to CSLD) and its plan for a capital campaign to rehab the site.

The Board (via ballot by mail) authorized the forgiveness of the remaining FY 09-10 payment of \$40,000, if matched by the CSLD capital campaign by October 2011.

<u>2010 Service</u>: The Center for Speech and Language Disorders provided therapy for 296 children and their families. 200+ "free speech and language screenings" were provided to children under 3.

<u>2011 Service</u>: The Center for Speech and Language Disorders provided over 100 free screenings in the community to reach out to families. The center moved on 12/27/11 and opened for business on 1/3/12. A capital campaign committee was formed by the board to raise money for the center to move into a permanent space.

i. Name and address of grantee:

> Family Christian Health Center 31 West 155<sup>th</sup> Street Harvey, IL 60426

ii. Date and amount of grant:

> May 23, 2006 Award Letter \$300,000 Amount/Date 6/30/06

iii. The WSHF #1635 PRI grant was made to stabilize the operating budget –. Usage January 1, 2010 – December 31, 2011 are as follows:

**Medical Patients** 26,689 Medical Visits 74,449

- The grantee received the entire PRI of \$300,000, Merrill Lynch check no. iv. 7698, and used same to stabilize the operating budget.
- Based upon the knowledge of the grantor, none of the grant funds have v. been diverted from the purpose of the grant. (See usage iii above)
- Reports and follow-up letters and telephone calls dated as follows have vi. been received from the grantee:

December 31, 2011

Final Report January 11, 2008 Email January 14, 2008 Report January 22, 2009 January 20, 2009 Report Report December 31, 2010 Report

i. Name and address of grantee:

> Clearbrook 1835 W. Central Rd. Arlington Heights, IL 60005

ii. Date and amount of grant:

> July 12, 2007 \$125,000.00

- iii. This #1721 PRI grant was made to Clearbrook for completion of the installation of fire suppression systems (sprinklers) in eight CILA residences as required by the Illinois State Fire Marshal.
- iv. The grantee received the entire PRI of \$125,000.00, Merrill Lynch check no. 8085, and used same to help fund the installation of fire suppression systems in 7 CILA residences. (One CILA was sold prior to installation).
- Based upon the knowledge of the grantor, none of the grant funds have been v. diverted from the purpose of the grant.
- Reports and follow-up letters and telephone calls dated as follows have been vi. received from the grantee:

First re-payment received	- October 15, 2008
Discussion lunch meetings with Carl LaMell (President of Clearbrook)	- May 4, 2009 September 21, 2009
Report	- January 24, 2010
Discussion lunch meetings with Carl LaMell (President of Clearbrook)	- January 25, 2010 through December 31, 2010
Second re-payment received	- October 15, 2009
Report	- January 25, 2010 through December 31, 2010. (Received February 1, 2011)

\$18,400 of PRI principal was forgiven (#2003 fPRI)

- February 8, 2011

\$35,284 of PRI principal was forgiven (#2026 fPRI)

- July 29, 2011

Report

- Covering the period from January 1, 2011 through December 31, 2011 (Received January 27, 2012)

i. Name and address of grantee:

Thresholds 4101 N. Ravenswood Ave. Chicago, IL 60613

ii. Date and amount of grant:

July 12, 2007 \$150,000.00

iii. This #1731 PRI grant was made to Thresholds for the renovation of an area located on the first floor of the Young Adult Building. This space was used for the Integrated Health Project Clinic. The space was built to ADA and JCAHO standards, and contains a reception area, a waiting room, a bathroom, a lab and 2-3 exam rooms.

7/1/2008 - 6/30/2009: 500+ clients and over 4,000 patient/client visits.

The clinic for FY 09 and FY 10 averaged 8.8 clinic visits totaling more than 6,200 visits from men and women with severe mental illness. 900 clients actively participating in the project.

The clinic for FY 2011 averaged 9 visits per day, totaling nearly 2,000 visits from men and women with severe mental illness to date. 186 new members relied on this clinic location for their care last year.

- iv. The grantee received the entire PRI of \$150,000.00 and expended all on costs associated with the build out of the Integrated Health Project Clinic
- v. Based upon the knowledge of the grantor, none of the grant funds have been diverted from the purpose of the grant.
- vi. Reports and follow-up letters and telephone calls dated as follows have been received from the grantee:

May 2009 - Site Visit

October 1, 2008 - First Payment Received

January 20, 2010 - Report January 27, 2012 - Report

i. Name and address of grantee:

> **PCC Community Wellness Center** 14 W. Lake Street Oak Park, IL 60302

ii. Date and amount of grant:

> February 11, 2009 \$263,616.00

- iii. This #1733 PRI grant was made to PCC Community Wellness Center for PCC's new Electronic Health Records Implementation Project.
  - 1. Software for GE Centricity practice management software, July 2009.
  - 2. February 2010, PCC's new Austin Family Health Center on the system.
  - 3. Three other PCC sites on system.

- 4. 34,844 clients on system.
- iv. The grantee received the PRI of \$263,616.00 in two payments, Merrill Lynch check no. 8484 in the amount of \$116,311 and Merrill Lynch check no. 8617 in the amount of \$147,305. Grantee used same to help fund the installation of an EHR System at four sites, with plans for another 6 sites.
- v. Based upon the knowledge of the grantor, none of the grant funds have been diverted from the purpose of the grant.
- vi. Reports and follow-up letters and telephone calls dated as follows have been received from the grantee:

Payments received	- May 1, 2010 and September 2010
Board site visit to PCC Austin Family Health Center	- May 11, 2010
Report	- May 1, 2009 to June 30, 2010
Report	- July 1, 2010 to June 30, 2011

i. Name and address of grantee:

Heartland International Health Center 3048 N Wilton Ave Chicago, IL 60657

ii. Date and amount of grant:

February 11, 2009 \$50,000.00

iii. This #1846 PRI grant was made to Heartland International Health Center for equipment purchase for their Lincoln Square location (opened March 2009) and the Wilson site (opened January 2010).

4,973 patients have received services at Heartland Health Center – Lincoln Square since it opened. 1,891 patients have received care at Heartland Health Center – Wilson since it opened.

- iv. The grantee received the PRI of \$50,000.00 in 2 payments (\$13,000 and \$37,000), Merrill Lynch check nos. 8829 and 8830, and used same to help fund equipment for the Lincoln Square and Wilson health center locations.
- v. Based upon the knowledge of the grantor, none of the grant funds have been diverted from the purpose of the grant.
- vi. Reports and follow-up letters and telephone calls dated as follows have been received from the grantee:

Phone Calls Report - January 2012

- Covering the period from September 1, 2010 through December 31 2011 (Received January 2012)