Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2010

For calendar year 2010, or tax year beginning 10/1/2010 **, and ending** 9/30/2011

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation
The Wasserstrom Foundation

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
477 S FRONT ST

City or town, state, and ZIP code
COLUMBUS OH 43215

A Employer identification number
31-6041116

B Telephone number (see page 10 of the instructions)
614-228-6525

C If exemption application is pending, check here ▶ ☐
D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐
E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 3,551,772

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	152,200			
	2 Check ▶☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	182	182	182	
	4 Dividends and interest from securities	124,158	124,158	124,158	
	5 a Gross rents		0		
	b Net rental income or (loss) 0				
	6 a Net gain or (loss) from sale of assets not on line 10	0			
	b Gross sales price for all assets on line 6a 0				
	7 Capital gain net income (from Part IV, line 2)		19,317		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10 a Gross sales less returns and allowances 0				
	b Less Cost of goods sold 0				
	c Gross profit or (loss) (attach schedule)	0			
	11 Other income (attach schedule)	0	0	0	
	12 **Total.** Add lines 1 through 11	276,540	143,657	124,340	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16 a Legal fees (attach schedule)	0	0	0	0
	b Accounting fees (attach schedule)	2,203	110	0	2,093
	c Other professional fees (attach schedule)	16,077	16,077	0	0
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)	2,561	2,561	0	0
	19 Depreciation (attach schedule) and depletion	0	0	0	
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	1,361	1,361	0	0
	24 **Total operating and administrative expenses.** Add lines 13 through 23	22,202	20,109	0	2,093
	25 Contributions, gifts, grants paid	231,525			231,525
	26 **Total expenses and disbursements.** Add lines 24 and 25	253,727	20,109	0	233,618
	27 Subtract line 26 from line 12				
	a **Excess of revenue over expenses and disbursements**	22,813			
	b **Net investment income** (if negative, enter -0-)		123,548		
	c **Adjusted net income** (if negative, enter -0-)			124,340	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets				
1	Cash—non-interest-bearing	28,987	9,700	9,700
2	Savings and temporary cash investments	1,452,680	1,445,301	1,476,831
3	Accounts receivable ▶ 0			
	Less allowance for doubtful accounts ▶ 0	0	0	0
4	Pledges receivable ▶ 0			
	Less allowance for doubtful accounts ▶ 0	0	0	0
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)	0	0	0
7	Other notes and loans receivable (attach schedule) ▶ 0			
	Less allowance for doubtful accounts ▶ 0	0	0	0
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10 a	Investments—U S and state government obligations (attach schedule)	24	18	0
b	Investments—corporate stock (attach schedule)	1,041,145	1,072,194	1,116,972
c	Investments—corporate bonds (attach schedule)	877,014	916,970	948,269
11	Investments—land, buildings, and equipment basis ▶ 0			
	Less accumulated depreciation (attach schedule) ▶ 0	0	0	0
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	0	0	0
14	Land, buildings, and equipment basis ▶ 0			
	Less accumulated depreciation (attach schedule) ▶ 0	0	0	0
15	Other assets (describe ▶)	0	0	0
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	3,399,850	3,444,183	3,551,772
Liabilities				
17	Accounts payable and accrued expenses		2,203	
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons	0	0	
21	Mortgages and other notes payable (attach schedule)	0	0	
22	Other liabilities (describe ▶)	0	0	
23	**Total liabilities** (add lines 17 through 22)	0	2,203	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted	3,399,850	3,441,980	
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds		0	
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see page 17 of the instructions)	3,399,850	3,441,980	
31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	3,399,850	3,444,183	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,399,850
2	Enter amount from Part I, line 27a	2	22,813
3	Other increases not included in line 2 (itemize) ▶ See Attached Statement	3	21,520
4	Add lines 1, 2, and 3	4	3,444,183
5	Decreases not included in line 2 (itemize) ▶ Loss on Sales of Stock/Securities	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	3,444,183

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Attached Statement #6			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	93,075	0	73,758	19,317
b	0	0	0	0
c	0	0	0	0
d	0	0	0	0
e	0	0	0	0

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a	0	0	0	19,317
b	0	0	0	0
c	0	0	0	0
d	0	0	0	0
e	0	0	0	0

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } — **2** — 19,317

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8 — **3** — 0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	137,641	3,275,354	0 042023
2008	151,966	2,787,627	0 054514
2007	184,548	3,056,215	0 060384
2006	139,646	3,018,839	0 046258
2005	196,099	2,766,291	0 070889

2	**Total** of line 1, column (d)	2	0.274068
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 054814
4	Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	3,646,160
5	Multiply line 4 by line 3	5	199,861
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,235
7	Add lines 5 and 6	7	201,096
8	Enter qualifying distributions from Part XII, line 4	8	233,618

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ________________ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	1,235
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	1,235
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	1,235
6	Credits/Payments		
a	2010 estimated tax payments and 2009 overpayment credited to 2010 — 6a: 1,536		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 0		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	1,536
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	0
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	301
11	Enter the amount of line 10 to be **Credited to 2011 estimated tax** ▶ 0 **Refunded** ▶	11	301

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ OH			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ www wasserstrom com

14 The books are in care of ▶ The Wasserstrom Company Telephone no ▶ 614-228-6525

Located at ▶ 477 South Front Street Columbus OH ZIP+4 ▶ 43215

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	1b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 22 of the instructions)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2010 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)*	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to
- **(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- **(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- **(3)** Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- **(4)** Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No
- **(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☐ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Rodney Wasserstrom 477 South Front Street Columbus OH 43215	Trustee 00	0	0	0
Alan Wasserstrom 477 South Front Street Columbus OH 43215	Trustee 00	0	0	0
	00	0	0	0
	00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE	.00	0	0	0
NONE	00	0	0	0
	00	0	0	0
	00	0	0	0
	.00	0	0	0

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		0
		0
		0
		0
		0
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions 3	0
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	3,591,247
b	Average of monthly cash balances	1b	110,438
c	Fair market value of all other assets (see page 25 of the instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	3,701,685
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	3,701,685
4	Cash deemed held for charitable activities Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	4	55,525
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,646,160
6	**Minimum investment return.** Enter 5% of line 5	6	182,308

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	182,308
2a	Tax on investment income for 2010 from Part VI, line 5	2a	1,235		
b	Income tax for 2010 (This does not include the tax from Part VI)	2b	0		
c	Add lines 2a and 2b			2c	1,235
3	Distributable amount before adjustments Subtract line 2c from line 1			3	181,073
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	181,073
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	181,073

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	233,618
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	233,618
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	1,235
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	232,383

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1	Distributable amount for 2010 from Part XI, line 7				181,073
2	Undistributed income, if any, as of the end of 2010				
a	Enter amount for 2009 only			0	
b	Total for prior years 20____, 20____, 20____		0		
3	Excess distributions carryover, if any, to 2010.				
a	From 2005 — 47,066				
b	From 2006 — 0				
c	From 2007 — 34,345				
d	From 2008 — 14,506				
e	From 2009 — 0				
f	**Total** of lines 3a through e	95,917			
4	Qualifying distributions for 2010 from Part XII, line 4 ▶ $ 233,618				
a	Applied to 2009, but not more than line 2a			0	
b	Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c	Treated as distributions out of corpus (Election required—see page 26 of the instructions)	0			
d	Applied to 2010 distributable amount				181,073
e	Remaining amount distributed out of corpus	52,545			
5	Excess distributions carryover applied to 2010 *(If an amount appears in column (d), the same amount must be shown in column (a))*	0			0
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	148,462			
b	Prior years' undistributed income Subtract line 4b from line 2b		0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions		0		
e	Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions			0	
f	Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8	Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions)	47,066			
9	**Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a	101,396			
10	Analysis of line 9				
a	Excess from 2006 — 0				
b	Excess from 2007 — 34,345				
c	Excess from 2008 — 14,506				
d	Excess from 2009 — 0				
e	Excess from 2010 — 52,545				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	0	0	0	0	0
b 85% of line 2a	0	0	0	0	0
c Qualifying distributions from Part XII, line 4 for each year listed	0	0	0	0	0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c	0	0	0	0	0
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	0	0	0	0	0
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5 000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

Rodney Wasserstrom 477 South Front Street Columbus OH 43215 614-228-6525

b The form in which applications should be submitted and information and materials they should include

REQUEST LETTER ON LETTERHEAD PAPER

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Attached Statement #4				231,525
Total ▶ 3a				231,525
b *Approved for future payment*				
See Attached Statement #5				117,750
Total ▶ 3b				117,750

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See page 28 of the instructions)
1 Program service revenue					
a		0		0	0
b		0		0	0
c		0		0	0
d		0		0	0
e		0		0	0
f		0		0	0
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	182	
4 Dividends and interest from securities			14	124,158	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory				0	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a		0		0	0
b		0		0	0
c		0		0	0
d		0		0	0
e		0		0	0
12 Subtotal Add columns (b), (d), and (e)		0		124,340	0

13 **Total.** Add line 12, columns (b), (d), and (e) 13 124,340

(See worksheet in line 13 instructions on page 29 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 29 of the instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Rodney Wasserstrom 11-30-12 TRUSTEE

Signature of officer or trustee | Date | Title

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	Firm's name ▶			Firm's EIN ▶	
	Firm's address ▶			Phone no	

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**

OMB No 1545-0047

2010

Name of the organization

The Wasserstrom Foundation

Employer identification number

31-6041116

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☐ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not aggregate to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
The Wasserstrom Foundation	31-6041116

Part I **Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	Foreign State or Province Foreign Country	$ 0	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
2	Foreign State or Province Foreign Country	$ 0	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
3	Foreign State or Province Foreign Country	$ 0	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
4	Foreign State or Province Foreign Country	$ 0	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
5	Foreign State or Province Foreign Country	$ 0	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
6	Foreign State or Province Foreign Country	$ 0	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization	Employer identification number
The Wasserstrom Foundation	31-6041116

Part II Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$ 0	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$ 0	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$ 0	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$ 0	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$ 0	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$ 0	

Name of organization	Employer identification number
The Wasserstrom Foundation	31-6041116

Part III ***Exclusively*** **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than $1,000 for the year.** Complete columns **(a)** through **(e)** and the following line entry
For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this information once See instructions) ▶ $ 0

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
For Prov Country	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
For Prov Country	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
For Prov Country	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
For Prov Country	

Line 16b (990-PF) - Accounting Fees

		2,203	110	0	2,093
	Name of Organization or Person Providing Service	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	The Wasserstrom Company	2,203	110		2,093
2					
3					
4					
5					
6					
7					
8					
9					
10					

Line 16c (990-PF) - Other Fees

	Name of Organization or Person Providing Service	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
		16,077	16,077	0	0
1	Fort Washington Investment Advisors	16,077	16,077		
2					
3					
4					
5					
6					
7					
8					
9					
10					

Line 18 (990-PF) - Taxes

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
		2,561	2,561	0	0
1	Real estate tax not included in line 20				
2	Tax on investment income				
3	Income tax	2,561	2,561		
4					
5					
6					
7					
8					
9					
10					

Line 23 (990-PF) - Other Expenses

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
		1,361	1,361	0	0
1	Amortization See attached statement	0	0	0	0
2	Fund Raising				
3	Trade Assocation membership	50	50		
4	Bank Fees	419	419		
5	Tax Software	471	471		
6	Filing Fee	400	400		
7	Checks				
8	Repairs & Maintenance				
9	Postage	21	21		
10	Interest				

Part II, Line 10a (990-PF) - Investments - U.S. and State Government Obligations

	Description	Book Value Beg of Year	Book Value End of Year	FMV Beg of Year	FMV End of Year	Check "X" if Federal Obligation	Check "X" if State/ Local Obligation
		24	18	0	0		
1	FNMA 5 25%						
2	FHLMC 5.125%						
3		24	18				
4							
5							
6							
7							
8							
9							
10							
11							
12							
13							
14							
15							
16							
17							

Part II, Line 10b (990-PF) - Investments - Corporate Stock

	Description	Num Shares/ Face Value	Book Value Beg. of Year	Book Value End of Year	FMV Beg of Year	FMV End of Year
			1,041,145	1,072,194	1,114,292	1,116,972
1	SEE ATTACHED SCHEDULE		1,041,145	1,072,194	1,114,292	1,116,972
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13						
14						
15						
16						
17						

Part II, Line 10c (990-PF) - Investments - Corporate Bonds

	Description	Interest Rate	Maturity Date	Book Value Beg. of Year	Book Value End of Year	FMV Beg of Year	FMV End of Year
				877,014	916,970	935,001	948,269
1	AMERITECH CAP FDG CORP						
2	SHERWIN WILLIAMS						
3	STATE OF ISRAEL BONDS			165,000	165,000	165,000	165,000
4	See Attached Statement			712,014	751,970	770,001	783,269
5							
6							
7							
8							
9							
10							
11							
12							
13							
14							
15							
16							
17							

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

1	Gain on Sales of Stock/Securities	1	19,317
2	Accounts Payable	2	2,203
3	Total	3	21,520

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Average Hours
1	Rodney Wasserstrom		477 South Front Street	Columbus	OH	43215		Trustee	0
2	Alan Wasserstrom		477 South Front Street	Columbus	OH	43215		Trustee	0
3									
4									
5									
6									
7									
8									
9									
10									

Part

	Compensation	Benefits	Expense Account	Explanation
	0	0	0	
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				

PART XV Line 3A
EIN#31-604116

STATEMENT #4

THE WASSERSTROM FOUNDATION
CONTRIBUTIONS

FYE 9-30-2011

DATE	CKECK#	PAID TO	AMOUNT	
11/18/10	3425	United Way of Central Ohio	$1,500 00	NWS contribution
11/18/10	3426	United Way of Central Ohio	$200.00	Quadra Tech Contribution
11/18/10	3427	United Way of Central Ohio	$1,500.00	TWC Contribution
11/18/10	3428	United Way of Central Ohio	$800.00	Amtekco Contribution
12/6/10	3431	Bexley Education Foundation	$1,000.00	Bravo Sponsership
12/16/10	3433	Ohio Foundation of Indep College	$500.00	Education
12/29/10	3434	Bexley Community Foundation	$5,000.00	Community
2/23/11	3438	Buckeye Ranch Foundation	$1,000 00	Help to heal children
3/1/11	3440	Franklintown Board of Trade	$175.00	Neighborhood
3/15/11	3441	Ohio State Medical Center	$100 00	Research
3/24/11	3442	Congregation Tifereth Israel	$2,500.00	Education
4/18/11	3443	St Jude's Research Hospital	$1,000.00	Research
4/21/11	3444	Columbus Jewish Foundation	$5,000.00	Mentoring Programs
6/30/11	3446	United Way of Central Ohio	$6,000.00	Rodney Pledge
8/30/11	3449	March of Dimes	$500.00	Night Moves
09/15/11	3450	Columbus Jewish Federation	$95,000.00	Support 18 Jewish Org
09/22/11	3451	OSU Hillel	$500 00	Education
09/27/11	3452	Nationwide Children's Hospital	$50,000.00	Research
09/27/11	3453	Ohio State Medical Center	$50,000 00	Research
09/27/11	3454	Wexner Heritage Village	$6,750.00	Endowment
09/27/11	3455	United Way of Central Ohio	$2,500.00	Alan Pledge
			$231,525.00	

PART XV - LINE 3B
EI #31-6041116

STATEMENT 5
WASSERSTROM FOUNDATION
FUTURE FINANCIAL COMMITMENTS
09/30/11

2012 Commitment

Bexley Community Foundation	$5,000	12/01/11
United Way RODNEY	$6,000	06/15/12
United Way ALAN	$2,500	06/15/12
Nationwide Children's Hospital	$50,000	09/23/12
Ohio State University Medical Center	$50,000	09/23/12
Wexner Heritage Village	$6,750	09/23/12
	$115,250	

2013 Commitment

Bexley Community Foundation	$5,000	12/01/12
United Way Alan	$2,500	06/15/13
United Way Rodney	$6,000	06/15/13

PART IV - LINE 1
E. I. #31-6041116

STATEMENT #6

THE WASSERSTROM FOUNDATION
FORM 990-PF 09-30-11

FORT WASHINGTON INVESTMENTS

DATE	SECURITY	QUANTITY	COST	PROCEEDS	GAIN/(LOSS)
02/17/11	GAIN SALE OF GENZYME	300	15,115 85	22,587.56	7,471.71
05/10/11	GAIN SALE OF BANK OF AMERICA	40,000	40,723.93	42,669.40	1,945.47
05/31/11	GAIN SALE OFAMGEN	150	8,699.25	9,058.26	359.01
05/31/11	GAIN SALE OF CHEVRON	100	3,954.50	10,374 09	6,419 59
05/31/11	GAIN SALE OF IBM	50	5,264 00	8,385 33	3,121.33
	TOTAL GAIN/(LOSS)		73,757.53	93,074.64	19,317.11

THE WASSERSTROM FOUNDATION
FORM 990-PF 9-30-2011
E.I #31-6041116

STATEMENT #1

Page1-Part1
Line 1: CONTRIBUTIONS, GIFTS, ETC. RECEIVED

A)	AMTEKCO INDUSTRIES 1675 S. HIGH STREET COLUMBUS, OHIO 43207 RECEIVED JANUARY 2011	$ 50,000.00
B)	THE WASSERSTROM COMPANY 477 S. FRONT STREET COLUMBUS, OH 43215	$ -
C)	BRUCE WASSERSTROM 1675 S. HIGH STREET COLUMBUS, OH 43207 RECEIVED DECEMBER , 2010	$ 700 00
E)	N WASSERSTROM & SONS 2300 LOCKBOURNE ROAD COLUMBUS, OH 43207 RECEIVED JANUARY 2011	$ 75,000 00
F)	JAMES WASSERSTROM 2300 LOCKBOURNE ROAD COLUMBUS, OH 43207 RECEIVED DECEMBER 2010	$ 250 00
G)	ERIC WASSERSTROM 2300 LOCKBOURNE ROAD COLUMBUS, OHIO 43207	$ 250.00
H)	QUADRA-TECH 864 JENKINS AVE. COLUMBUS, OHIO 43207 RECEIVED November 2010	$ 15,000.00
I)	RODNEY WASSERSTROM ~~386 N DREXEL AVE~~ 2655 SHERWOOD RD COLUMBUS, OHIO 43209 RECEIVED,NOVEMBER 2010	$ 6,000 00
J)	ALAN WASSERSTROM 2300 LOCKBOURNE ROAD COLUMBUS, OH 43207 RECEIVED NOVEMBER 2010 RECEIVED SEPTEMBER 2011	$ 2,500.00 $ 2,500.00
	TOTAL	**$ 152,200.00**

Fort Washington
Investment Advisors, Inc.

FWIA Client Appraisal
Current Holdings

The Wasserstrom Foundation
FWIA No.: 81038
September 30, 2011

9/30/2011 31-6041116

Current Holdings

	Quantity	Adjusted Cost	Current Price	Market Total Value	% of Portfolio	Cur. Yield	Est. Annual Income	Unrealized Gain/(Loss)
Discretionary Assets								
Cash & Equivalents								
Cash & Equivalents								
FEDERATED CAPITAL RESERVES	45,752	$45,752	$1 00	$45,752	1 4	0.0	$0	$0
Total Cash & Equivalents		**$45,752**		**$45,752**	**1.4**	**0.0**	**$0**	**$0**
Total Cash & Equivalents		**$45,752**		**$45,752**	**1.4**	**0.0**	**$0**	**$0**
Fixed Income								
Investment Grade								
AMERICAN EXPRESS CR CORP MTNBE 5 9%, 05/02/2013	35,000	$37,926	$106 05	$37,118	1 1	5 5	$2,056	($808)
APACHE CORP 6 0%, 09/15/2013	40,000	$44,937	$109 41	$43,765	1.3	5 5	$2,400	($1,172)
BANK OF AMERICA CORP 5 0%, 05/13/2021	40,000	$39,841	$89.22	$35,686	1.1	5 6	$2,000	($4,155)
BARRICK GOLD CORP 7.0%, 04/01/2019	35,000	$39,873	$121 25	$42,437	1 3	5 7	$2,433	$2,564
CISCO SYS INC 4 5%, 01/15/2020	40,000	$39,588	$110 57	$44,228	1 3	4.0	$1,780	$4,640
COLUMBUS SOUTHERN PWR CO 6 1%, 05/01/2018	40,000	$43,571	$116 27	$46,507	1 4	5 2	$2,420	$2,936
COMCAST CORP NEW 5 7%, 07/01/2019	35,000	$36,722	$116 58	$40,803	1 2	4 9	$1,995	$4,081
ENTERPRISE PRODS OPER LLC 5 7%, 04/01/2013	35,000	$37,845	$105 78	$37,022	1 1	5.3	$1,978	($823)
GENERAL ELEC CAP CORP MTN BE 5 6%, 05/01/2018	35,000	$36,654	$109 32	$38,262	1 1	5 1	$1,969	$1,608
GLAXOSMITHKLINE CAP INC 5 7%, 05/15/2018	35,000	$37,863	$120 93	$42,324	1 3	4.7	$1,978	$4,461
GOLDMAN SACHS GRP INC MTN BE 7 5%, 02/15/2019	35,000	$40,020	$111 59	$39,057	1 2	6 7	$2,625	($963)
KRAFT FOODS INC 4 1%, 02/09/2016	40,000	$40,480	$106 49	$42,595	1 3	3 9	$1,650	$2,114
NEVADA POWER CO 6 5%, 05/15/2018	40,000	$43,947	$119 99	$47,998	1 4	5.4	$2,600	$4,051
ORACLE CORP 5 0%, 07/08/2019	35,000	$41,003	$115 41	$40,393	1 2	4 3	$1,750	($610)
PIMCO LOW DURATION FUND III 0 0%,	12,704	$121,732	$9 79	$124,368	3.7	1 9	$2,387	$2,636
SIMON PROPERTY GROUP LP 4.2%, 02/01/2015	40,000	$40,119	$105 52	$42,209	1 2	4 0	$1,680	$2,089
TIME WARNER CABLE 3 5%, 02/01/2015	40,000	$40,119	$103 98	$41,594	1 2	3 4	$1,400	$1,475

Fort Washington
Investment Advisors, Inc.

FWIA Client Appraisal
Current Holdings

The Wasserstrom Foundation
FWIA No.: 81038
September 30, 2011

9/30/2011 31-6041116

Current Holdings

	Quantity	Cost	Current Price	Total Value	% of Portfolio	Cur. Yield	Est. Annual Income	Unrealized Gain/(Loss)
VANGUARD FIXED INCOME SHORT TERM 0 4%,	16,020	$162,250	$10 66	$170,775	5 1	2 6	$4,491	$8,525
VANGUARD INTRM TRM BD IDX - IV 0 0%,	24,176	$238,347	$11 83	$286,001	8 5	3.5	$9,994	$47,654
VERIZON COMMUNICATIONS 5 5%, 02/15/2018	35,000	$36,834	$115.96	$40,585	1 2	4.7	$1,925	$3,751
VIACOM INC 6.3%, 04/30/2016	35,000	$38,528	$115 17	$40,310	1 2	5 4	$2,188	$1,782
VIRGINIA ELEC & PWR CO 5.0%, 06/30/2019	35,000	$36,101	$115 37	$40,379	1 2	4.3	$1,750	$4,278
Total Investment Grade		**$1,274,299**		**$1,364,414**	**40.4**	**4.1**	**$55,447**	**$90,115**
High Yield								
VANGUARD HIGH YIELD CORP BOND FD 0 4%,	26,494	$147,985	$5 46	$144,658	4 3	7 4	$10,638	($3,327)
Total High Yield		**$147,985**		**$144,658**	**4.3**	**7.4**	**$10,638**	**($3,327)**
Preferred Stocks								
CITIGROUP CAP IX ,	3,000	$76,590	$21 57	$64,710	1 9	1 7	$1,125	($11,880)
JPMORGAN CHASE CAP XI 5 875% ,	2,000	$49,660	$24 89	$49,780	1 5	1 5	$734	$120
KEYCORP CAP IX ,	2,000	$50,431	$25 13	$50,250	1 5	1 7	$844	($181)
MORGAN STANLEY CAP TR III ,	2,000	$50,250	$20 70	$41,400	1 2	1 9	$781	($8,850)
Total Preferred Stocks		**$226,931**		**$206,140**	**6.1**	**1.7**	**$3,484**	**($20,791)**
Total Fixed Income		**$1,649,214**		**$1,715,212**	**50.8**	**4.1**	**$69,570**	**$65,998**
Equity								
Large Cap United States								
Consumer Discretionary								
HOME DEPOT INC	700	$21,343	$32.87	$23,009	0 7	3 0	$700	$1,666
MCDONALDS CORP	500	$11,063	$87 82	$43,910	1 3	2 8	$1,220	$32,847
TJX COS	400	$16,364	$55 47	$22,188	0 7	1 4	$304	$5,824

Fort Washington
Investment Advisors, Inc.

FWIA Client Appraisal
Current Holdings

The Wasserstrom Foundation
FWIA No.: 81038
September 30, 2011

9/30/11 3/-60411/6

Current Holdings

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Consumer Staples								
CVS CORP	600	$23,270	$33 59	$20,154	0 6	1.5	$300	($3,116)
KIMBERLY-CLARK	200	$9,963	$71 01	$14,202	0 4	3 9	$560	$4,239
KRAFT FOODS INC CL A	700	$21,349	$33 58	$23,506	0 7	3 5	$812	$2,157
PROCTER & GAMBLE	600	$33,400	$63.18	$37,908	1 1	3 3	$1,260	$4,508
WAL-MART STORES	400	$18,765	$51.90	$20,760	0 6	2.8	$584	$1,995
Energy								
CHEVRON CORPORATION	300	$11,862	$92 59	$27,777	0 8	3 4	$936	$15,915
CONOCOPHILLIPS	300	$9,603	$63 32	$18,996	0.6	4 2	$792	$9,393
SPECTRA ENERGY CORP	312	$5,429	$24.53	$7,653	0 2	4 2	$324	$2,225
Financials								
ALLSTATE CORP	400	$21,149	$23 69	$9,476	0.3	3 5	$336	($11,673)
BANK NEW YORK MELLON CORP	566	$13,442	$18 59	$10,522	0 3	2 8	$294	($2,920)
BANK OF AMERICA CORP	671	$28,252	$6.12	$4,107	0 1	0 7	$27	($24,145)
JP MORGAN CHASE & CO	500	$18,865	$30 12	$15,060	0 4	3 3	$500	($3,805)
US BANCORP	500	$10,134	$23.54	$11,770	0 3	2 1	$250	$1,636
Health Care								
CELGENE CORP	500	$26,387	$61 91	$30,955	0 9	0 0	$0	$4,568
JOHNSON & JOHNSON	500	$28,553	$63 69	$31,845	0 9	3 6	$1,140	$3,292
MEDTRONIC	400	$18,966	$33 24	$13,296	0 4	2 9	$388	($5,670)
MERCK & COMPANY, INC	600	$18,883	$32 70	$19,620	0 6	4 6	$912	$737

Fort Washington
Investment Advisors, Inc.

FWIA Client Appraisal
Current Holdings

The Wasserstrom Foundation
FWIA No.: 81038
September 30, 2011

9/30/11 31-6041116

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Industrials								
3M COMPANY	300	$21,667	$71 79	$21,537	0 6	3 1	$660	($130)
GENERAL ELECTRIC	800	$29,293	$15.22	$12,176	0 4	3 9	$480	($17,117)
ILL TOOL WORKS	400	$17,458	$41.60	$16,640	0 5	3 5	$576	($818)
NORFOLK SOUTHRN	500	$22,427	$61 02	$30,510	0 9	2 8	$860	$8,083
UNITED TECHNOLGIES CORP	300	$18,650	$70 36	$21,108	0 6	2 7	$576	$2,458
Information Technology								
APPLE INC	50	$16,167	$381.32	$19,066	0 6	0 0	$0	$2,899
CISCO SYSTEMS	950	$16,910	$15 50	$14,725	0 4	1.5	$228	($2,185)
EMC CORP MASS	1,200	$16,131	$20 99	$25,188	0 7	0.1	$17	$9,057
HEWLETT-PACKARD	700	$14,421	$22 45	$15,715	0 5	2 1	$336	$1,294
IBM	250	$22,227	$174 87	$43,718	1 3	1 7	$750	$21,491
INTEL CORPORATION	1,200	$25,957	$21 34	$25,602	0 8	3 9	$1,008	($355)
MICROSOFT CORP	800	$22,079	$24 89	$19,912	0 6	2 6	$512	($2,167)
TEXAS INSTRUMENTS INC	600	$18,769	$26 65	$15,990	0 5	2.6	$408	($2,779)
Materials								
DUPONT	400	$19,233	$39 97	$15,988	0 5	4.1	$656	($3,245)
Telecommunication Services								
AT&T INC	900	$22,331	$28 52	$25,668	0 8	6 0	$1,548	$3,337
COMCAST A SPL	1,050	$19,785	$20 72	$21,756	0 6	2 2	$473	$1,971
FRONTIER COMMUNICATIONS GROUP	168	$1,725	$6 11	$1,026	0 0	12 3	$126	($698)
VERIZON COMMUNICATIONS	700	$26,091	$36 80	$25,760	0 8	5 4	$1,400	($331)

Fort Washington
Investment Advisors, Inc.

FWIA Client Appraisal
Current Holdings

The Wasserstrom Foundation
FWIA No.: 81038
September 30, 2011

9/30/11 3/-604/11/6

Current Holdings

	Quantity	Cost	Current Price	Total Value	% of Portfolio	Cur. Yield	Est. Annual Income	Unrealized Gain/(Loss)
Utilities								
DUKE ENERGY CORP	1,224	$16,159	$19 99	$24,468	0 7	5 0	$1,224	$8,308
PPL CORPORATION	800	$21,528	$28 54	$22,832	0 7	4 9	$1,120	$1,304
Mutual Fund & Others								
Growth								
BARON GROWTH FUND	1,541	✓ $75,080	$46 18	$71,151	2 1	0 0	$0	($3,929)
ISHARES RUSSELL 1000 GRW	1,800	✓ $90,140	$52 58	$94,644	2 8	1 3	$1,201	$4,504
Total Large Cap United States		**$921,268**		**$991,894**	**29.4**	**2.6**	**$25,798**	**$70,626**
Small Cap United States								
Telecommunication Services								
FAIRPOINT COMMUNICATIONS INC	13	$127	$0 00	$0	0 0	0 0	$0	($127)
Mutual Fund & Others								
Core								
ISHARES RUSSELL 2000	1,400	✓ $78,978	$64 30	$90,020	2 7	1 6	$1,399	$11,042
ROYCE TOTAL RETURN FUND	10,502	✓ $118,282	$11.44	$120,142	3 6	1.2	$1,470	$1,859
Total Small Cap United States		**$197,388**		**$210,162**	**6.2**	**1.4**	**$2,869**	**$12,774**
International Developed								
DIAGEO PLC	150	$7,993	$75 93	$11,390	0 3	4 3	$489	$3,397
ROYAL DUTCH SHELL - B	300	$12,628	$62 05	$18,615	0 6	5.4	$1,008	$5,987
NOVARTIS AG-ADR	400	$23,038	$55 77	$22,308	0.7	3 6	$799	($730)
TEVA PHARMACEUTICAL ADR	600	$21,916	$37 22	$22,332	0 7	2 1	$479	$416

Fort Washington Investment Advisors, Inc.

FWIA Client Appraisal
Current Holdings

The Wasserstrom Foundation
FWIA No.: 81038
September 30, 2011

9/30/11 31-6071116

Current Holdings

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NOKIA ADR A	1,800	$23,292	$5 66	$10,188	0 3	10 0	$1,021	($13,104)
HARBOR INTL FD	2,148	✓$106,081	$50 13	$107,656	3 2	1 7	$1,872	$1,574
ISHARES TR MSCI EAFE IDX	1,350	✓$67,533	$47 78	$64,503	1 9	4 8	$3,081	($3,030)
VANGUARD TOTAL INTERNATIONAL STOCK INDEX	3,714	✓$50,935	$12 92	$47,979	1 4	2 0	$947	($2,956)
NUVEEN TRADEWINDS INT VAL-R	3,709	✓$110,441	$22 34	$82,861	2 5	1 4	$1,126	($27,581)
Total International Developed		**$423,858**		**$387,831**	**11.5**	**2.8**	**$10,822**	**($36,027)**
International Emerging								
ISHARES TR MSCI EMERG MKT	750	✓ $31,557	$35 10	$26,321	0 8	2.6	$691	($5,236)
Total International Emerging		**$31,557**		**$26,321**	**0.8**	**2.6**	**$691**	**($5,236)**
Total Equity		**$1,574,071**		**$1,616,208**	**47.9**	**2.5**	**$40,181**	**$42,137**
Total Portfolio		**$3,269,037**		**$3,377,172**	**100.0**	**3.2**	**$109,751**	**$108,135**
Accrued Income				**$12,023**				
Total Portfolio with Accrued Income				**$3,389,195**				