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Form 990-PF

21-70-001-0185064

Return of Private Foundation

OMB No 1545-0052

Department of the Treasury
Internal Revenue Serviceor Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2010

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2010, or tax year beginning OCT 1, 2010, and ending SEP 30, 2011

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation JACK J SMITH JR CHARITABLE TRUST		A Employer identification number 31-0912146
Number and street (or P.O. box number if mail is not delivered to street address) PNC BANK 620 LIBERTY AVE	Room/suite 10THFL	B Telephone number (412) 762-3792
City or town, state, and ZIP code PITTSBURGH, PA 15222		C If exemption application is pending, check here ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 7,266,151.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		86.	86.		STATEMENT 2
4 Dividends and interest from securities		172,233.	172,243.		STATEMENT 3
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		408,455.			STATEMENT 1
b Gross sales price for all assets on line 6a 3,900,756.					
7 Capital gain net income (from Part IV, line 2)			361,268.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		1,140.	0.		STATEMENT 4
12 Total Add lines 1 through 11		581,914.	533,597.		
13 Compensation of officers, directors, trustees, etc.		66,239.	62,927.		3,312.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees					
c Other professional fees					
17 Interest					
18 Taxes STMT 5		7,311.	215.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 6		4,740.	610.		4,130.
24 Total operating and administrative expenses Add lines 13 through 23		78,290.	63,752.		7,442.
25 Contributions, gifts, grants paid		405,500.			405,500.
26 Total expenses and disbursements. Add lines 24 and 25		483,790.	63,752.		412,942.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		98,124.			
b Net investment income (if negative, enter -0-)			469,845.		
c Adjusted net income (if negative, enter -0-)				N/A	

ENVELOPE POSTMARK DATE FEB 10 2012

Revenue

Operating and Administrative Expenses

A
E
184

Part II Balance Sheets		Beginning of year (a) Book Value	End of year	
			(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	591,945.	67,960.	67,960.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	3,759,477.	3,533,102.	3,764,203.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
Liabilities	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 8	2,689,710.	3,576,466.	3,433,988.
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)	38,272.	0.	0.
	16 Total assets (to be completed by all filers)	7,079,404.	7,177,528.	7,266,151.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	and complete lines 24 through 26 and lines 30 and 31			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds	6,988,372.	7,086,803.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	91,032.	90,725.	
	30 Total net assets or fund balances	7,079,404.	7,177,528.	
	31 Total liabilities and net assets/fund balances	7,079,404.	7,177,528.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	7,079,404.
2 Enter amount from Part I, line 27a	2	98,124.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	7,177,528.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	7,177,528.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a L/T CAPITAL GAIN DISTRIBUTIONS	P		
b S/T CAPITAL GAIN DISTRIBUTIONS	P		
c SALES OF PUBLICLY TRADED SECURITIES			
d CLASS ACTION SETTLEMENTS	P		
e ENTERPRISE PRODUCTS PARTNERS	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 6,109.			6,109.
b 9,190.			9,190.
c 3,885,457.		3,539,618.	345,839.
d 160.			160.
e		30.	-30.

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			6,109.
b			9,190.
c			345,839.
d			160.
e			-30.

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	361,268.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	302,597.	7,412,553.	.040822
2008	523,972.	6,758,721.	.077525
2007	518,461.	9,908,373.	.052326
2006	436,587.	10,153,708.	.042998
2005	415,933.	9,169,234.	.045362

2 Total of line 1, column (d)	2	.259033
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.051807
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	8,213,966.
5 Multiply line 4 by line 3	5	425,541.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	4,698.
7 Add lines 5 and 6	7	430,239.
8 Enter qualifying distributions from Part XII, line 4	8	412,942.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	9,397.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	9,397.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	9,397.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	4,240.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	4,240.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	5,157.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2011 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ OH		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation		X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

SEE STATEMENT 9

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.PNC.COM</u>	13	X	
14	The books are in care of ► <u>PNC BANK</u> Telephone no. ► <u>(412) 762-3792</u> Located at ► <u>620 LIBERTY AVE, 10TH FLOOR, PITTSBURGH, PA</u> ZIP+4 ► <u>15222</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No If "Yes," list the years ► _____ , _____ , _____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____ , _____ , _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

Form 990-PF (2010)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?**6b****X**

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PNC BANK	CO-TRUSTEE			
620 LIBERTY AVENUE				
PITTSBURGH, PA 152222705	10.00	50,953.	0.	0.
KAREN WACHS	CO-TRUSTEE			
8 BAT CAVE LANE				
COVINGTON, KY 410159507	3.00	15,286.	0.	0.
GREATER CINCINNATI FOUNDATION	GRANTS MANAGER			
200 WEST FOURTH STREET				
CINCINNATI, OH 45202	1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000**0**

Form 990-PF (2010)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	8,339,052.
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	8,339,052.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	8,339,052.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	125,086.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	8,213,966.
6	Minimum investment return. Enter 5% of line 5	6	410,698.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	410,698.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	9,397.
b	Income tax for 2010. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	9,397.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	401,301.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	401,301.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	401,301.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	412,942.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	412,942.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	412,942.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				401,301.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			390,972.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005				
b From 2006				
c From 2007				
d From 2008				
e From 2009				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2010 from Part XII, line 4: ▶ \$ 412,942.				
a Applied to 2009, but not more than line 2a			390,972.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				21,970.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus: Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instructions			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				379,331.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008				
d Excess from 2009				
e Excess from 2010				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	86.	
4 Dividends and interest from securities			14	172,233.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	980.	
8 Gain or (loss) from sales of assets other than inventory			18	408,455.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a CLASS ACTION SETTLEMENT			14	160.	
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)		0.		581,914.	0.
13 Total Add line 12, columns (b), (d), and (e)				13	581,914.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

FORM 990-PF

GAIN OR (LOSS) FROM SALE OF ASSETS

STATEMENT 1

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED PURCHASED	(F) DATE ACQUIRED DATE SOLD
L/T CAPITAL GAIN DISTRIBUTIONS	6,109.	0.	0.	0.	6,109.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED PURCHASED	(F) DATE ACQUIRED DATE SOLD
S/T CAPITAL GAIN DISTRIBUTIONS	9,190.	0.	0.	0.	9,190.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED PURCHASED	(F) DATE ACQUIRED DATE SOLD
SALES OF PUBLICLY TRADED SECURITIES	3,885,457.	3,492,301.	0.	0.	393,156.

CAPITAL GAINS DIVIDENDS FROM PART IV

0.

TOTAL TO FORM 990-PF, PART I, LINE 6A

408,455.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	AMOUNT
MONEY MARKET FUND DIVIDENDS	86.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	86.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 3

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
TRUST INVESTMENT INCOME	172,233.	0.	172,233.
TOTAL TO FM 990-PF, PART I, LN 4	172,233.	0.	172,233.

FORM 990-PF OTHER INCOME STATEMENT 4

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ENTERPRISE PRODUCTS PARTNERS, L.P.	980.	0.	
CLASS ACTION SETTLEMENT	160.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	1,140.	0.	

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN DIVIDEND TAX	215.	215.		0.
ESTIMATED TAX PAYMENTS FOR 9/30/11	4,240.	0.		0.
BALANCE 9/30/10 EXCISE TAX DUE	2,856.	0.		0.
TO FORM 990-PF, PG 1, LN 18	7,311.	215.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OHIO ATTORNEY GENERAL FILING FEE	200.	0.		200.
ACCOUNT MAINTENANCE FEE	500.	500.		0.
CONSULTANT FEE FOR REVIEW OF GRANTS	3,930.	0.		3,930.
FOREIGN TAX PROCESSING FEES	110.	110.		0.
TOTAL TO FORM 990-PF, PG 1, LN 23	4,740.	610.		4,130.

FORM 990-PF	CORPORATE STOCK	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCKS	3,533,102.	3,764,203.
TOTAL TO FORM 990-PF, PART II, LINE 10B	3,533,102.	3,764,203.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	8
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MUTUAL FUNDS-FIXED INCOME	COST	1,578,099.	1,564,025.
MUTUAL FUNDS-EQUITY	COST	1,998,367.	1,869,963.
TOTAL TO FORM 990-PF, PART II, LINE 13		3,576,466.	3,433,988.

FORM 990-PF	EXPLANATION CONCERNING PART VII-A, LINE 8B	STATEMENT	9
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EXPLANATION

THIS TRUST IS NOT REQUIRED TO PROVIDE A COPY OF THE RETURN TO THE OHIO ATTORNEY GENERAL BECAUSE, IN LIEU THEREOF, IT IS DIRECTED TO ANNUALLY COMPLETE AN OHIO VERIFICATION OF FILING WITH THE I.R.S. FORM

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 10

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

JACK J SMITH JR CHARITABLE TRUST, C/O PNC ADVISORS
P O BOX 1198
CINCINNATI, OH 45201

TELEPHONE NUMBER

513-651-8463

FORM AND CONTENT OF APPLICATIONS

APPLICATIONS MUST BE SUBMITTED IN ACCORDANCE WITH THE INSTRUCTIONS
CONTAINED IN THE "INFORMATION FOR GRANT APPLICATIONS" USING A GREATER
CINCINNATI COMMON GRANT APPLICATION. THESE DOCUMENTS MAY BE OBTAINED BY
CONTACTING THE FOUNDATION AT THE ADDRESS AND PHONE NUMBER PROVIDED IN PART
XV, LINE 2A, OF THIS FORM.

ANY SUBMISSION DEADLINES

SEE INSTRUCTIONS FOR GRANT APPLICATION

RESTRICTIONS AND LIMITATIONS ON AWARDS

SEE INSTRUCTIONS FOR GRANT APPLICATION

FORM 990-PF

GRANTS AND CONTRIBUTIONS
PAID DURING THE YEAR

STATEMENT 11

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
ASSITANCE LEAGUE OF GREATER CINCINNATI 1057 META DRIVE CINCINNATI, OH 45237	NONE OPERATION SCHOOL BELL	PUBLIC CHARITY	10,000.
BEECH ACRES PARENTING CENTER 6881 BEECHMONT AVENUE CINCINNATI, OH 45230	NONE SCHOOL & COMMUNITY BASED SERVICES	PUBLIC CHARITY	7,500.
BREAKTHROUGH CINCINNATI - SUMMERBRIDGE CINCINNATI 6905 GIVEN ROAD CINCINNATI, OH 45243	NONE SCHOOL YEAR PROGRAM	PUBLIC CHARITY	5,000.
BRIGHTON CENTER. INC 706 PARK AVENUE NEWPORT , KY 41071	NONE STABILIZED CHILD CARE	PUBLIC CHARITY	15,000.
C W F F CHILD DEVELOPMENT CENTER 430 FOREST AVENUE CINCINNATI, OH 45229	NONE CARING FOR HOMELESS CHILDREN	PUBLIC CHARITY	7,500.
CENTRAL CLINIC, INC 311 ALBERT SABIN WAY CINCINNATI, OH 45229	NONE READY TO LEARN	PUBLIC CHARITY	10,000.
CEREBRAL PALSY SERVICES CENTER 3601 VICTORY PARKWAY CINCINNATI, OH 45229-2298	NONE TECHNOLOGY SUMMER CAMP	PUBLIC CHARITY	10,000.
CHILDHOOD FOOD SOLUTIONS 2573 ST. LEO PLACE CINCINNATI, OH 45225	NONE 2011 SUMMER BREAK FOOD SACK PROGRAM - ROLL HILL ACADEMY	PUBLIC CHARITY	5,000.

CINCINNATI ATRS & TECHNOLOGY CENTER 700 W. PETE ROSE WAY CINCINNATI, OH 45203-1869	NONE HIGH SCHOOL ARTS PROGRAM	PUBLIC CHARITY	25,000.
CINCINNATI WORKS, INC. 708 WALNUT STREET, FLOOR 2 CINCINNATI, OH 45202	NONE JOB READINESS / CHILD CARE PROGRAM	PUBLIC CHARITY	20,000.
CRAYONS TO COMPUTERS 1350 TENNESSEE AVENUE CINCINNATI, OH 45229	NONE SPONSOR OF THE MONTH PROGRAM	PUBLIC CHARITY	5,000.
DEPAUL CRISTO REY HS - US CONFERENCE OF CATHOLIC BISHOPS 1133 CLIFTON HILLS AVENUE CINCINNATI, OH 45220	NONE EDUCATIONAL RESOURCES	PUBLIC CHARITY	10,000.
FERNSIDE: A CENTER FOR GRIEVING CHILDREN C/O BETHESDA HOSPITAL CINCINNATI, OH 45242	NONE SUPPORT GROUPS	PUBLIC CHARITY	10,000.
FOUNDATION OF COMPASSIONATE AMERICAN P.O. BOX 428780 CINCINNATI, OH 45242	NONE 12 WEEK SUMMER EMPLOYMENT FOR 8 YOUTH	PUBLIC CHARITY	2,000.
GR. CINCINNAT CENTER FOR ECONOMIC EDUCATION 90 WEST DANIELS CINCINNATI, OH 45221-0223	NONE STUDENT ENTERPRISE PROGRAM	PUBLIC CHARITY	10,000.
HEARING SPEECH & DEAF CENTER OF GREATER CINCINNATI 2825 BURNET AVENUE CINCINNATI, OH 45219	NONE CHARITABLE SERVICES FOR CHILDREN	PUBLIC CHARITY	25,000.
JOBS FOR CINCINNATI GRADUATES 7162 READING ROAD CINCINNATI, OH 45237	NONE HIGH SCHOOL TO CAREER	PUBLIC CHARITY	10,000.
JOSH CARE, INC P.O. BOX 43295 CINCINNATI, OH 45243	NONE CHILD LIFE FELLOWSHIP PROGRAM	PUBLIC CHARITY	18,500.

MINDPEACE CINCINNATI 5642 HAMILTON AVENUE CINCINNATI, OH 45224	NONE SCHOOL BASED MENTAL HEALTH EXPANSION	PUBLIC CHARITY	10,000.
NAMI OF HAMILTON COUNTY 4790 RED BANK EXPRESSWAY #218 CINCINNATI, OH 45209	NONE NAMI BASICS	PUBLIC CHARITY	5,000.
OHIO VALLEY VOICES 6642 BRANCH HILL GUINEA PIKE LOVELAND, OH 45140	NONE AUDIOLOGY PROGRAM	PUBLIC CHARITY	20,000.
PEASLEE NEIGHBORHOOD CTR., INC 215 E. 14TH STREET CINCINNATI, OH 45202	NONE CHILD DEVELOPMENT CENTER	PUBLIC CHARITY	15,000.
SANTA MARIA COMMUNITY SERVICES 2918 PRICE AVENUE CINCINNATI, OH 45204	NONE BUILDING YOUTH ASSETS	PUBLIC CHARITY	15,000.
ST. JOSEPH INFANT & MATERNITY HOME 10722 WYSCARVER ROAD CINCINNATI, OH 45241	NONE CARPETING REPLACEMENT	PUBLIC CHARITY	15,000.
ST. RITA SCHOOL FOR THE DEAF 1720 GLENDALE MILFORD ROAD CINCINNATI, OH 45215	NONE WIRELESS MOBILE LAB	PUBLIC CHARITY	5,000.
STEPPING STONES CENTER FOR HANDICAPPED 5650 GIVEN ROAD CINCINNATI, OH 45243	NONE EARLY CHILDHOOD EDUCATION	PUBLIC CHARITY	20,000.
SURVIVE & THRIVE FOUNDATION 519 LICKING PIKE WLIDER, KY 41071-2941	NONE SUMMER DAY CAMP	PUBLIC CHARITY	5,000.
THE ARC HAMILTON COUNTY 2368 VICTORY PARKWAY CINCINNATI, OH 45206	NONE SELF- ADVOCACY TRAINING FOR DISABLED STUDENTS	PUBLIC CHARITY	15,000.

THE RESIDENT HOME CORP. 3030 WEST FORK ROAD CINCINNATI, OH 45211	NONE COMMUNITY ENGAGEMENT PROGRAM	PUBLIC CHARITY	12,500.
U S CONFERENCE OF CATHOLIC BISHOPS 100 E. 8TH STREET CINCINNATI, OH 45202	NONE SUMMER SERVICE INTERNSHIP	PUBLIC CHARITY	10,000.
U S CONFERENCE OF CATHOLIC BISHOPS 100 E. 8TH STREET CINCINNATI, OH 45202	NONE 2011 SUPPORT TO C I S E SCHOOLS	PUBLIC CHARITY	10,000.
UNITED CHURCH OF CHRIST 2950 SIDNEY AVENUE CINCINNATI, OH 45225	NONE AID IN PURCHASE OF 12 PASSENGER VAN	PUBLIC CHARITY	2,500.
UNITED WAY OF GREATER CINCINNATI 2400 READING ROAD CINCINNATI, OH 45202	NONE SUCCESS BY 6	PUBLIC CHARITY	25,000.
Y M C A OF GREATER CINCINNATI 1105 ELM STREET CINCINNATI, OH 45202	NONE YOUTH PROGRAMS FOR CHILDREN IN NEED	PUBLIC CHARITY	15,000.

TOTAL TO FORM 990-PF, PART XV, LINE 3A

405,500.

-TRANSACTION- DATE		-CODES- TRAN TAX INDV		HISTORY	- C A S H - INCOME		PRINCIPAL	INVEST/UNITS
TOTALS FOR TAX CODE 081	LONG TERM CAPITAL GAIN DISTRIBUTION					686.66	.20	6,108.34 P
TOTALS FOR TAX CODE 082	SHORT TERM CAPITAL GAIN DISTRIBUTION							
TOTALS FOR TAX CODE 830	SALES					19,372.82		13,231.54-P
TOTALS FOR TAX CODE 831	SALES-COLLECTIVE FUNDS					839,223.25		751,624.30-P

273,894.20

764,855.84

109,038.36

233,736.48

65,480.28

408,455.12

BANK 21
REGION 70
OFFICE 001

- T R A N S A C T I O N A N A L Y S I S / S U M M A R Y -
10/01/10 THROUGH 09/30/11
ACCOUNT 0185064 JACK J SMITH JR CHARITABLE TRUST

DATE 01/19/12
TIME 07:19
PAGE 0001

DATE	-TRANSACTION- DESCRIPTION	-CODES- TRAN TAX INDV	HISTORY	- C A S H - INCOME	PRINCIPAL	INVEST/UNITS
12-09-10	PURC 106.849 SHS THRU REINVESTMENT OF CAP GAIN PAYABLE 12/09/10 @ 24.0100 GOLDMAN SACHS GRTH OPPOR INSTIT CLASS FD #1132	083 081	41043-00002 12-09-10			2,565.45 106.849
12-14-10	PURC 602.585 SHS THRU REINV OF SHORT TERM CAP GAIN DUE 12/13/10 @ \$ 12.4100 WELLS FARGO ADVANTAGE TOTAL RETURN BOND FUND I	073 082	41048-00001 12-14-10			7,478.08 602.585
12-14-10	PURC 263.264 SHS THRU REINVESTMENT OF CAP GAIN PAYABLE 12/13/10 @ 12.4100 WELLS FARGO ADVANTAGE TOTAL RETURN BOND FUND I	083 081	41048-00002 12-14-10			3,267.11 263.264
12-23-10	SHORT TERM CAPITAL GAINS DISTRIBUTION PAYABLE 12/23/10 AT .0108 PER SHARE BLACKROCK TOTAL RETURN PORTFOLIO II INSTL FUND 332 INSTITUTIONAL CLASS	071 082 00-0000	41057-00001 12-23-10	686.66		
12-23-10	PURC 94.369 SHS THRU REINV OF SHORT TERM CAP GAIN DUE 12/23/10 @ \$ 10.8600 BLACKROCK INFLATION PROTECTED BD PORTFOLIO INST CLASS FD #360	073 082	41057-00002 12-23-10			1,024.85 94.369
12-23-10	PURC 25.394 SHS THRU REINVESTMENT OF CAP GAIN PAYABLE 12/23/10 @ 10.8600 BLACKROCK INFLATION PROTECTED BD PORTFOLIO INST CLASS FD #360	083 081	41057-00003 12-23-10			275.78 25.394
12-28-10	LONG TERM CAPITAL GAINS DISTRIBUTION PAYABLE 12/21/10 AT .0000 PER SHARE BLACKROCK LIQUIDITY FUNDS TEMPFUND ADMINISTRATION SHARES #H1	081 081 00-0000	41062-00001 12-28-10		.20	
12-29-10	MUTUAL FUND AGENT 12/28 SALE 400.186 SHS @ 21.4899 ARTISAN FDS INC INTERNATIONAL FUND # 661	085 831	9464 41063-00001 12-29-10		8,600.00	9,726.02- 400.186-

BANK 21
REGION 70
OFFICE 001

- TRANSACTION ANALYSIS / SUMMARY -
10/01/10 THROUGH 09/30/11
ACCOUNT 0185064 JACK J SMITH JR CHARITABLE TRUST

DATE 01/19/12
TIME 07:19
PAGE 0002

DATE	TRANSACTION- DESCRIPTION	-CODES- TRAN TAX INDV	HISTORY	- C A S H - INCOME	PRINCIPAL	INVEST/UNITS
12-29-10	MUTUAL FUND AGENT 12/28 SALE 1,452,561 SHS @ 23.82 BARON SMALL CAP FUND FUND #583	085 831	9464 41063-00002 12-29-10		34,600.00	26,653.19- 1,452,561-
12-29-10	MUTUAL FUND AGENT 12/28 SALE 399,016 SHS @ 34.56 BLACKROCK FUNDS INTL OPPORTUNITIES PORTFOLIO FUND 334 INSTITUTIONAL SHARES	085 831	9464 41063-00003 12-29-10		13,790.00	12,132.59- 399,016-
12-29-10	MUTUAL FUND AGENT 12/28 SALE 1,711.12 SHS @ 24.3699 GOLDMAN SACHS GRTH OPPOR INSTIT CLASS FD #1132	085 831	9464 41063-00006 12-29-10		41,700.00	36,183.27- 1,711.120-
12-29-10	MUTUAL FUND AGENT 12/28 SALE 5,748,355 SHS @ 12.16 ROYCE OPPORTUNITY FUND INVESTMENT CLASS FD # 249	085 831	9464 41063-00007 12-29-10		69,900.00	40,381.59- 5,748,355-
04-07-11	MUTUAL FUND AGENT 04/06 SALE 82,372 SHS @ 36.4201 BLACKROCK FUNDS INTL OPPORTUNITIES PORTFOLIO FUND 334 INSTITUTIONAL SHARES	085 831	9464 41167-00001 04-07-11		3,000.00	2,504.63- 82,372-
04-07-11	MUTUAL FUND AGENT 04/06 SALE 3,139,535 SHS @ 18.9199 BLACKROCK FUNDS EQUITY DIVIDEND INSTITUTIONAL CLASS FUND 383	085 831	9464 41167-00002 04-07-11		59,400.00	53,163.08- 3,139,535-
04-07-11	MUTUAL FUND AGENT 04/06 SALE 1,902,383 SHS @ 26.0199 GOLDMAN SACHS GRTH OPPOR INSTIT CLASS FD #1132	085 831	9464 41167-00003 04-07-11		49,500.00	41,304.87- 1,902,383-
04-07-11	MUTUAL FUND AGENT 04/06 SALE 283.15 SHS @ 64.63 HARBOR INTERNATIONAL FUND CLASS INS	085 831	9464 41167-00004 04-07-11		18,300.00	14,429.35- 283.150-
04-07-11	MUTUAL FUND AGENT 04/06 SALE 3,944,773 SHS @ 25.35 T ROWE PRICE MID CAP VALUE FD #115	085 831	9464 41167-00005 04-07-11		100,000.00	76,551.35- 3,944,773-

BANK 21
REGION 70
OFFICE 001

- T R A N S A C T I O N A N A L Y S I S / S U M M A R Y -
10/01/10 THROUGH 09/30/11
ACCOUNT 0185064 JACK J SMITH JR CHARITABLE TRUST

DATE 01/19/12
TIME 07:19
PAGE 0003

-TRANSACTION- DATE DESCRIPTION		-CODES- TRAN TAX INDV		HISTORY	- C A S H - INCOME PRINCIPAL		INVEST/UNITS
04-11-11	INSTINET 04/06 SALE 385 SHS @ 50.35 MISC .38 COMM 11.55 VANGUARD MSCI EMERGING MARKETS ETF	085	830	6826	41171-00021 04-11-11	19,372.82	13,231.54- 385.000-
07-15-11	MUTUAL FUND AGENT 07/14 SALE 12,585.47 SHS @ 9.36 BLACKROCK TOTAL RETURN PORTFOLIO II INSTL FUND 332 INSTITUTIONAL CLASS	085	831	9464	41266-00001 07-15-11	117,800.00	118,020.59- 12,585.470-
07-15-11	MUTUAL FUND AGENT 07/14 SALE 3,664.636 SHS @ 11.23 BLACKROCK INFLATION PROTECTED BD PORTFOLIO INST CLASS FD #360	085	831	9464	41266-00002 07-15-11	41,153.86	37,287.56- 3,664.636-
07-15-11	MUTUAL FUND AGENT 07/14 SALE 4,732.541 SHS @ 13.46 DODGE & COX INCOME FUND	085	831	9464	41266-00003 07-15-11	63,700.00	63,088.01- 4,732.541-
07-15-11	MUTUAL FUND AGENT 07/14 SALE 2,262.997 SHS @ 9.8099 FIDELITY ADVISOR FLOATING HIGH INCOME FUND I FUND #279	085	831	9464	41266-00004 07-15-11	22,200.00	21,833.10- 2,262.997-
09-26-11	MUTUAL FUND AGENT 09/23 SALE 3,164.558 SHS @ 9.4799 BLACKROCK TOTAL RETURN PORTFOLIO II INSTL FUND 332 INSTITUTIONAL CLASS	085	831	9464	41339-00001 09-26-11	30,000.00	29,675.73- 3,164.558-
09-26-11	MUTUAL FUND AGENT 09/23 SALE 17,484.624 SHS @ 9.47 FIDELITY ADVISOR FLOATING HIGH INCOME FUND I FUND #279	085	831	9464	41339-00002 09-26-11	165,579.39	168,689.37- 17,484.624-

DATE	-TRANSACTION- DESCRIPTION	-CODES- TRAN TAX INDV	HISTORY	- C A S H -		INVEST/UNITS
				INCOME	PRINCIPAL	
TOTALS FOR TAX CODE 081	LONG TERM CAPITAL GAIN DISTRIBUTION				.11	
TOTALS FOR TAX CODE 830	SALES			1,727,378.43	1,493,442.06-P	

1,727,378.54

233,936.48

-TRANSACTION- DATE DESCRIPTION		-CODES- TRAN TAX INDV		HISTORY	- C A S H - INCOME		INVEST/UNITS
10-08-10	MACQUARIE SECURITIES (USA) INC 10/05 SALE 270 SHS @ 86.9556 MISC .40 COMM 10.80 CORE LABORATORIES N V	085	830	8920	40981-00001 10-08-10	23,466.82	18,370.32- 270.000-
10-08-10	MKM PARTNERS LLC 10/05 SALE 280 SHS @ 92.7551 MISC .44 COMM 7.00 WYNN RESORTS LTD	085	830	8046	40981-00006 10-08-10	25,963.99	22,397.03- 280.000-
10-26-10	BARCLAYS CAPITAL LE 10/21 SALE 320 SHS @ 48.7149 MISC .26 COMM 8.00 CREE INC	085	830	9299	40999-00001 10-26-10	15,580.50	22,636.86- 320.000-
11-22-10	BARCLAYS CAPITAL LE 11/17 SALE 70 SHS @ 300.7901 MISC .36 COMM 1.75 APPLE INC	085	830	9299	41026-00002 11-22-10	21,053.20	6,353.21- 70.000-
11-22-10	JANNEY MONTGOMERY, SCOTT 11/17 SALE 1,240 SHS @ 19.5279 MISC .41 COMM 49.60 CISCO SYSTEMS INC	085	830	0374	41026-00004 11-22-10	24,164.59	25,931.37- 1,240.000-
12-07-10	WELLS FARGO SECS LLC 12/02 SALE 240 SHS @ 31.7941 MISC .13 COMM 6.00 AMERISOURCEBERGEN CORP	085	830	9252	41041-00001 12-07-10	7,624.46	6,783.77- 240.000-
12-07-10	BARCLAYS CAPITAL LE 12/02 SALE 20 SHS @ 251.9113 MISC .09 COMM .50 CHIPOTLE MEXICAN GRIL CL A	085	830	9299	41041-00002 12-07-10	5,037.64	2,368.27- 20.000-
12-07-10	BARCLAYS CAPITAL LE 12/02 SALE 555 SHS @ 41.1928 MISC .39 COMM 13.88 ENTERPRISE PRODS PARTNERS L P COM UNIT	085	830	9299	41041-00003 12-07-10	22,847.74	19,637.79- 555.000-
12-07-10	JANNEY MONTGOMERY, SCOTT 12/02 SALE 559 SHS @ 35.1728 MISC .33 COMM 22.36 MERCK & CO INC	085	830	0374	41041-00005 12-07-10	19,638.90	20,864.23- 559.000-

BANK 21
REGION 70
OFFICE 884

- TRANSACTION -
DATE DESCRIPTION

- TRANSACTIONS / SUMMARY -
10/01/10 THROUGH 09/30/11
ACCOUNT 0185064 JACK J SMITH JR CHARITABLE APTG

DATE 01/19/12
TIME 07:20
PAGE 0002

-TRANSACTION-		-CODES-		HISTORY		- C A S H -		INVEST/UNITS	
DATE	DESCRIPTION	TRAN	TAX	INDV		INCOME	PRINCIPAL		
12-08-10	BARCLAYS CAPITAL LE 12/03 SALE 50 SHS @ 233.129 MISC .20 COMM 1.25 CHIPOTLE MEXICAN GRIL CL A	085	830	9299	41042-00003 12-08-10		11,655.00	5,920.67- 50.000-	
12-08-10	INSTINET 12/03 SALE 720 SHS @ 16.7203 MISC .20 COMM 14.40 FORD MOTOR COMPANY	085	830	0067	41042-00004 12-08-10		12,024.01	7,668.73- 720.000-	
12-09-10	BARCLAYS CAPITAL LE 12/06 SALE 280 SHS @ 87.1506 MISC .41 COMM 11.20 3M COMPANY	085	830	9299	41043-00004 12-09-10		24,390.56	20,025.08- 280.000-	
12-28-10	LONG TERM CAPITAL GAINS DISTRIBUTION PAYABLE 12/21/10 AT .0000 PER SHARE BLACKROCK LIQUIDITY FUNDS TEMPFUND ADMINISTRATION SHARES #H1	081	081	00-0000	41062-00001 12-28-10		.04		
12-28-10	LONG TERM CAPITAL GAINS DISTRIBUTION PAYABLE 12/21/10 AT .0000 PER SHARE BLACKROCK LIQUIDITY FUNDS TEMPFUND ADMINISTRATION SHARES #H1	081	081	00-0000	41062-00002 12-28-10		.07		
01-11-11	CITATION GROUP/EQUITY & MUNIS 01/06 SALE 530 SHS @ 70.2497 MISC .63 COMM 21.20 BALL CORPORATION	085	830	9053	41081-00002 01-11-11		37,210.51	30,176.89- 530.000-	
01-11-11	MKM PARTNERS LLC 01/06 SALE 340 SHS @ 85.8749 MISC .49 COMM 8.50 3M COMPANY	085	830	8046	41081-00005 01-11-11		29,188.47	24,316.16- 340.000-	
01-20-11	GOLDMAN, SACHS & CO. 01/14 SALE 193 SHS @ 62.0107 MISC .20 COMM 7.72 DOLBY LABORATORIES INC CLASS A	085	830	0005	41090-00002 01-20-11		11,960.14	9,411.50- 193.000-	
01-20-11	BARCLAYS CAPITAL LE 01/14 SALE 653 SHS @ 58.0419 MISC .64 COMM 16.33 EMERSON ELECTRIC CO	085	830	9299	41090-00005 01-20-11		37,884.40	31,037.07- 653.000-	

BANK 21
REGION 70
OFFICE 884

- TRANSACTION-
DESCRIPTION

DATE

01-21-11

GOLDMAN, SACHS & CO.
01/18 SALE 188 SHS @ 60.0741
MISC .19 COMM 7.52
DOLBY LABORATORIES INC
CLASS A

02-07-11 CITATION GROUP/EQUITY & MUNIS
02/02 SALE 592 SHS @ 45.4785
MISC .46 COMM 23.68
ABBOTT LABORATORIES INC

02-07-11 DEUTSCHE MORG GRENFELL
02/02 SALE 330 SHS @ 66.9064
MISC .37 COMM 13.20
ENERGIZER HLDGS INC

02-07-11 INSTINET
02/02 SALE 1,955 SHS @ 15.4796
MISC .58 COMM 19.55
FORD MOTOR COMPANY

02-17-11 BARCLAYS CAPITAL LE
02/14 SALE 688 SHS @ 43.2285
MISC .50 COMM 27.52
BROADCOM CORP

02-17-11 BARCLAYS CAPITAL LE
02/14 SALE 520 SHS @ 63.3351
MISC .56 COMM 13.00
PEPSICO INC

02-17-11 MKM PARTNERS LLC
02/14 SALE 390 SHS @ 51.2409
MISC .34 COMM 9.75
TEVA PHARMACEUTICAL INDS LTD
ADR

02-17-11 CITATION GROUP/EQUITY & MUNIS
02/14 SALE 300 SHS @ 42.3117
MISC .21 COMM 12.00
UNITEDHEALTH GROUP INC

02-17-11 BARCLAYS CAPITAL LE
02/14 SALE 470 SHS @ 54.9022
MISC .44 COMM 11.75
WAL-MART STORES INC

DATE 01/19/12
TIME 07:20
PAGE 0003

- C A S H -
INCOME

INVEST/UNITS

11,286.22

9,167.77-
188,000-

26,899.14

30,855.11-
592,000-

22,065.54

21,286.28-
330,000-

30,242.49

20,822.73-
1,955,000-

29,713.19

17,869.50-
688,000-

32,920.70

30,959.91-
520,000-

19,973.86

21,193.07-
390,000-

12,681.30

9,632.34-
300,000-

25,791.85

24,589.82-
470,000-

-TRANSACTION- DATE DESCRIPTION		-CODES- TRAN TAX INDV		HISTORY	- C A S H - INCOME		INVEST/UNITS
03-04-11	INSTINET 03/01 SALE 120 SHS @ 51.44 MISC .12 COMM 1.20 COVIDIEN PLC SEDOL:B3QN1M2 ISIN:IE00B3QN1M21	085	830	0067 41133-00001 03-04-11	6,171.48	5,983.59- 120,000-	
03-04-11	INSTINET 03/01 SALE 180 SHS @ 18.2403 MISC .07 COMM 1.80 MARVELL TECHNOLOGY GROUP LTD	085	830	0067 41133-00002 03-04-11	3,281.38	3,822.87- 180,000-	
03-04-11	INSTINET 03/01 SALE 50 SHS @ 101.57 MISC .10 COMM .50 CORE LABORATORIES N V	085	830	0067 41133-00003 03-04-11	5,077.90	3,401.91- 50,000-	
03-04-11	INSTINET 03/01 SALE 60 SHS @ 73.48 MISC .09 COMM .60 ALLERGAN INC	085	830	0067 41133-00004 03-04-11	4,408.11	3,168.84- 60,000-	
03-04-11	INSTINET 03/01 SALE 30 SHS @ 169.9113 MISC .10 COMM .30 AMAZON COM INC	085	830	0067 41133-00005 03-04-11	5,096.94	3,723.36- 30,000-	
03-04-11	INSTINET 03/01 SALE 90 SHS @ 43.34 MISC .08 COMM .90 AMERICAN EXPRESS CO	085	830	0067 41133-00006 03-04-11	3,899.62	3,814.55- 90,000-	
03-04-11	INSTINET 03/01 SALE 160 SHS @ 37.65 MISC .12 COMM 1.60 AMERISOURCEBERGEN CORP	085	830	0067 41133-00007 03-04-11	6,022.28	4,522.51- 160,000-	
03-04-11	INSTINET 03/01 SALE 80 SHS @ 62.57 MISC .10 COMM .80 AMERIPRISE FINANCIAL INC-W/I	085	830	0067 41133-00008 03-04-11	5,004.70	3,438.98- 80,000-	
03-04-11	INSTINET 03/01 SALE 60 SHS @ 349.42 MISC .41 COMM .60 APPLE INC	085	830	0067 41133-00009 03-04-11	20,964.19	5,445.61- 60,000-	

DATE 01/19/12
TIME 07:20
PAGE 0005

- T R A N S A C T I O N A N A L Y S I S / S U M M A R Y -
10/01/10 THROUGH 09/30/11
ACCOUNT 0185064 JACK J SMITH JR CHARITABLE APTG

BANK 21
REGION 70
OFFICE 884

-TRANSACTION-		- C A S H -	
DATE	DESCRIPTION	INCOME	INVEST/UNITS
03-04-11	INSTINET		
	03/01 SALE 60 SHS @ 75.82		
	MISC .09 COMM .60	4,548.51	3,982.40-
	BORG WARNER INC.		60.000-
03-04-11	INSTINET		
	03/01 SALE 110 SHS @ 73.15	8,045.24	6,151.42-
	MISC .16 COMM 1.10		110.000-
	CSX CORP		
03-04-11	INSTINET		
	03/01 SALE 80 SHS @ 100.82	8,064.64	4,935.80-
	MISC .16 COMM .80		80.000-
	CATERPILLAR INC		
03-04-11	INSTINET		
	03/01 SALE 120 SHS @ 41.2523	4,948.98	2,579.02-
	MISC .10 COMM 1.20		120.000-
	CELANESE CORP-SERIES A		
03-04-11	INSTINET		
	03/01 SALE 60 SHS @ 53.20	3,191.34	3,445.02-
	MISC .06 COMM .60		60.000-
	CELGENE CORP		
03-04-11	INSTINET		
	03/01 SALE 20 SHS @ 239.01	4,779.90	2,368.27-
	MISC .10 COMM .20		20.000-
	CHIPOTLE MEXICAN GRIL CL A		
03-04-11	INSTINET		
	03/01 SALE 200 SHS @ 18.56	3,709.93	4,182.48-
	MISC .07 COMM 2.00		200.000-
	CISCO SYSTEMS INC		
03-04-11	INSTINET		
	03/01 SALE 120 SHS @ 53.78	6,452.27	3,474.01-
	MISC .13 COMM 1.20		120.000-
	COACH INC		
03-04-11	INSTINET		
	03/01 SALE 130 SHS @ 65.07	8,457.63	6,826.05-
	MISC .17 COMM 1.30		130.000-
	COCA COLA CO		
03-04-11	INSTINET		
	03/01 SALE 300 SHS @ 22.46	6,734.86	4,523.36-
	MISC .14 COMM 3.00		300.000-
	CORNING INC		

BANK 21
REGION 70
OFFICE 884

- TRANSACTION-
DESCRIPTION

DATE 01/19/12
TIME 07:20
PAGE 0006

DATE	DESCRIPTION	-TRANSACTION- DESCRIPTION	-CODES- TRAN TAX INDV	HISTORY	- C A S H - INCOME	PRINCIPAL	INVEST/UNITS
03-04-11	INSTINET 03/01 SALE 60 SHS @ 99.59 MISC .12 COMM .60 CUMMINS INC		085 830	0067 41133-00020 03-04-11		5,974.68	3,684.44- 60,000-
03-04-11	INSTINET 03/01 SALE 90 SHS @ 64.24 MISC .12 COMM .90 DOVER CORP		085 830	0067 41133-00021 03-04-11		5,780.58	4,696.73- 90,000-
03-04-11	INSTINET 03/01 SALE 200 SHS @ 26.6924 MISC .11 COMM 2.00 EMC CORP		085 830	0067 41133-00022 03-04-11		5,336.37	3,398.71- 200,000-
03-04-11	INSTINET 03/01 SALE 70 SHS @ 92.00 MISC .13 COMM .70 EASTMAN CHEM CO		085 830	0067 41133-00023 03-04-11		6,439.17	4,337.04- 70,000-
03-04-11	INSTINET 03/01 SALE 130 SHS @ 53.8671 MISC .14 COMM 1.30 EATON CORP		085 830	0067 41133-00024 03-04-11		7,001.28	5,359.11- 130,000-
03-04-11	INSTINET 03/01 SALE 140 SHS @ 32.76 MISC .09 COMM 1.40 EBAY INC		085 830	0067 41133-00025 03-04-11		4,584.91	4,754.37- 140,000-
03-04-11	INSTINET 03/01 SALE 250 SHS @ 85.06 MISC .42 COMM 2.50 EXXON MOBIL CORP		085 830	0067 41133-00026 03-04-11		21,262.08	16,808.94- 250,000-
03-04-11	INSTINET 03/01 SALE 80 SHS @ 93.04 MISC .15 COMM .80 FMC TECHNOLOGIES INC		085 830	0067 41133-00027 03-04-11		7,442.25	4,678.52- 80,000-
03-04-11	INSTINET 03/01 SALE 110 SHS @ 38.87 MISC .08 COMM 1.10 GILEAD SCIENCES INC		085 830	0067 41133-00028 03-04-11		4,274.52	4,128.75- 110,000-
03-04-11	INSTINET 03/01 SALE 20 SHS @ 604.38 MISC .24 COMM .20 GOOGLE INC-CL A		085 830	0067 41133-00029 03-04-11		12,087.16	9,437.48- 20,000-

BANK 21
REGION 70
OFFICE 884

- TRANSACTION ANALYSIS / SUMMARY -
10/01/10 THROUGH 09/30/11
ACCOUNT 0185064 JACK J SMITH JR CHARITABLE APTG

DATE 01/19/12
TIME 07:20
PAGE 0007

DATE	-TRANSACTION- DESCRIPTION	-CODES- TRAN TAX INDV	HISTORY	- C A S H - INCOME	PRINCIPAL	INVEST/UNITS
03-04-11	INSTINET 03/01 SALE 120 SHS @ 52.3777 MISC .13 COMM 1.20 THE HERSEY COMPANY	085 830	0067 41133-00030 03-04-11		6,283.99	5,724.47- 120.000-
03-04-11	INSTINET 03/01 SALE 100 SHS @ 43.05 MISC .09 COMM 1.00 HEWLETT-PACKARD CO	085 830	0067 41133-00031 03-04-11		4,303.91	5,025.59- 100.000-
03-04-11	INSTINET 03/01 SALE 120 SHS @ 45.8423 MISC .11 COMM 1.20 INFORMATICA CORP	085 830	0067 41133-00032 03-04-11		5,499.77	4,065.25- 120.000-
03-04-11	INSTINET 03/01 SALE 380 SHS @ 21.49 MISC .16 COMM 3.80 INTEL CORP	085 830	0067 41133-00033 03-04-11		8,162.24	9,181.19 380.000
03-04-11	INSTINET 03/01 SALE 110 SHS @ 160.73 MISC .35 COMM 1.10 INTERNATIONAL BUSINESS MACHS CORP	085 830	0067 41133-00034 03-04-11		17,678.85	11,053.95- 110.000-
03-04-11	INSTINET 03/01 SALE 60 SHS @ 95.97 MISC .11 COMM .60 JOY GLOBAL INC	085 830	0067 41133-00035 03-04-11		5,757.49	4,957.17- 60.000-
03-04-11	INSTINET 03/01 SALE 40 SHS @ 92.56 MISC .07 COMM .40 LAUDER ESTEE COS INC CL A	085 830	0067 41133-00036 03-04-11		3,701.93	3,791.46- 40.000-
03-04-11	INSTINET 03/01 SALE 130 SHS @ 31.55 MISC .08 COMM 1.30 LIMITED BRANDS INC	085 830	0067 41133-00037 03-04-11		4,100.12	3,786.93 130.000
03-04-11	INSTINET 03/01 SALE 90 SHS @ 75.12 MISC .14 COMM .90 MC DONALDS CORP	085 830	0067 41133-00038 03-04-11		6,759.77	6,108.89- 90.000-

BANK 21
REGION 70
OFFICE 884

- TRANSACTION-
DATE DESCRIPTION

ACCOUNT 0185064 JACK J SMITH JR CHARITABLE APTG

DATE 01/19/12
TIME 07:20
PAGE 0008

-TRANSACTION- DATE DESCRIPTION		-CODES- TRAN TAX INDV		HISTORY		- C A S H - INCOME		PRINCIPAL		INVEST/UNITS	
03-04-11	INSTINET 03/01 SALE 70 SHS @ 62.33 MISC .08 COMM .70 MEDCO HEALTH SOLUTIONS INC	085	830	0067	41133-00039 03-04-11			4,362.32		3,281.93- 70.000-	
03-04-11	INSTINET 03/01 SALE 520 SHS @ 26.375 MISC .27 COMM 10.40 MICROSOFT CORP	085	830	0067	41133-00040 03-04-11			13,704.34		10,197.92- 520.000-	
03-04-11	INSTINET 03/01 SALE 80 SHS @ 84.21 MISC .13 COMM .80 MOSAIC CO	085	830	0067	41133-00041 03-04-11			6,735.87		6,237.25- 80.000-	
03-04-11	INSTINET 03/01 SALE 80 SHS @ 77.98 MISC .12 COMM .80 NATIONAL OILWELL VARCO INC	085	830	0067	41133-00042 03-04-11			6,237.48		3,674.31- 80.000-	
03-04-11	INSTINET 03/01 SALE 90 SHS @ 50.75 MISC .09 COMM .90 NETAPP INC	085	830	0067	41133-00043 03-04-11			4,566.51		3,658.48- 90.000-	
03-04-11	INSTINET 03/01 SALE 70 SHS @ 71.54 MISC .10 COMM .70 NEWFIELD EXPLORATION CO	085	830	0067	41133-00044 03-04-11			5,007.00		4,855.42- 70.000-	
03-04-11	INSTINET 03/01 SALE 50 SHS @ 55.95 MISC .05 COMM .50 NEWMONT MINING CORP	085	830	0067	41133-00045 03-04-11			2,796.95		3,170.14- 50.000-	
03-04-11	INSTINET 03/01 SALE 90 SHS @ 55.11 MISC .10 COMM .90 O REILLY AUTOMOTIVE INC	085	830	0067	41133-00046 03-04-11			4,958.90		4,235.01- 90.000-	
03-04-11	INSTINET 03/01 SALE 310 SHS @ 32.05 MISC .19 COMM 3.10 ORACLE CORP	085	830	0067	41133-00047 03-04-11			9,932.21		6,211.77- 310.000-	
03-04-11	INSTINET 03/01 SALE 120 SHS @ 53.75 MISC .13 COMM 1.20 PALL CORP	085	830	0067	41133-00048 03-04-11			6,448.67		5,970.81- 120.000-	

-TRANSACTION-		-CODES-		HISTORY		- C A S H -	
DATE	DESCRIPTION	TRAN	TAX	INDV	INCOME	PRINCIPAL	INVEST/UNITS
03-04-11	INSTINET 03/01 SALE 110 SHS @ 62.74 MISC .14 COMM 1.10 PHILIP MORRIS INTERNAT-W/I	085	830	0067	41133-00049 03-04-11	6,900.16	5,123.67- 110.000-
03-04-11	INSTINET 03/01 SALE 30 SHS @ 110.85 MISC .07 COMM .30 PUBLIC STORAGE REITS	085	830	0067	41133-00050 03-04-11	3,325.13	2,947.64- 30.000-
03-04-11	INSTINET 03/01 SALE 150 SHS @ 57.97 MISC .17 COMM 1.50 QUALCOMM INC	085	830	0067	41133-00051 03-04-11	8,693.83	7,339.45- 150.000-
03-04-11	INSTINET 03/01 SALE 140 SHS @ 47.99 MISC .14 COMM 1.40 ST JUDE MEDICAL INC	085	830	0067	41133-00052 03-04-11	6,717.06	5,569.29- 140.000-
03-04-11	INSTINET 03/01 SALE 50 SHS @ 85.01 MISC .08 COMM .50 SHIRE PLC SPONSORED ADR	085	830	0067	41133-00053 03-04-11	4,249.92	4,135.63- 50.000-
03-04-11	INSTINET 03/01 SALE 130 SHS @ 32.54 MISC .08 COMM 1.30 STARBUCKS CORP	085	830	0067	41133-00054 03-04-11	4,228.82	3,506.19- 130.000-
03-04-11	INSTINET 03/01 SALE 70 SHS @ 58.61 MISC .08 COMM .70 STARWOOD HOTELS & RESORTS WORLDWIDE INC	085	830	0067	41133-00055 03-04-11	4,101.92	3,494.97- 70.000-
03-04-11	INSTINET 03/01 SALE 100 SHS @ 60.7991 MISC .12 COMM 1.00 TIFFANY & CO NEW	085	830	0067	41133-00056 03-04-11	6,078.79	4,326.01- 100.000-
03-04-11	INSTINET 03/01 SALE 50 SHS @ 72.58 MISC .07 COMM .50 UNITED PARCEL SERVICE CL B	085	830	0067	41133-00057 03-04-11	3,628.43	3,226.07- 50.000-

- T R A N S A C T I O N A N A L Y S I S / S U M M A R Y -
10/01/10 THROUGH 09/30/11
ACCOUNT 0185064 JACK J SMITH JR CHARITABLE APTG

DATE 01/19/12
TIME 07:20
PAGE 0010

BANK 21
REGION 70
OFFICE 884

-TRANSACTION- DATE DESCRIPTION		-CODES- TRAN TAX INDV		HISTORY	- C A S H - INCOME	INVEST/UNITS
03-04-11	INSTINET 03/01 SALE 90 SHS @ 82.71 MISC .14 COMM .90 UNITED TECHNOLOGIES CORP	085	830	0067 41133-00058 03-04-11	7,442.86	3,743.06- 90.000-
03-04-11	INSTINET 03/01 SALE 110 SHS @ 42.69 MISC .09 COMM 1.10 UNITEDHEALTH GROUP INC	085	830	0067 41133-00059 03-04-11	4,694.71	3,531.86- 110.000-
03-04-11	INSTINET 03/01 SALE 150 SHS @ 44.60 MISC .14 COMM 1.50 VIACOM INC CLASS B WI	085	830	0067 41133-00060 03-04-11	6,688.36	3,712.74- 150.000-
03-04-11	INSTINET 03/01 SALE 50 SHS @ 73.66 MISC .07 COMM .50 VISA INC CLASS A SHARES	085	830	0067 41133-00061 03-04-11	3,682.43	4,285.89- 50.000-
03-04-11	INSTINET 03/01 SALE 70 SHS @ 52.32 MISC .07 COMM .70 WAL-MART STORES INC	085	830	0067 41133-00062 03-04-11	3,661.63	3,662.31- 70.000-
03-04-11	INSTINET 03/01 SALE 130 SHS @ 43.71 MISC .11 COMM 1.30 WALGREEN CO	085	830	0067 41133-00063 03-04-11	5,680.89	5,462.98- 130.000-
03-04-11	INSTINET 03/01 SALE 150 SHS @ 30.77 MISC .09 COMM 1.50 WYNDHAM WORLDWIDE CORP - W/I	085	830	0067 41133-00064 03-04-11	4,613.91	4,247.61- 150.000-
03-11-11	CREDIT SUISSE FIRST BOSTON LLC 03/08 SALE 933 SHS @ 16.101 MISC .29 COMM 23.33 MARVELL TECHNOLOGY GROUP LTD	085	830	0355 41140-00001 03-11-11	14,998.62	19,815.21- 933.000-
03-11-11	KNIGHT DIRECT LLC 03/08 SALE 294 SHS @ 71.8014 MISC .41 COMM 2.94 ALLERGAN INC	085	830	0295 41140-00003 03-11-11	21,106.27	15,527.33- 294.000-

BANK 21
REGION 70
OFFICE 884

- TRANSACTION-
DESCRIPTION

DATE 01/19/12
TIME 07:20
PAGE 0011

- T R A N S A C T I O N A N A L Y S I S / S U M M A R Y -
10/01/10 THROUGH 09/30/11
ACCOUNT 0185064 JACK J SMITH JR CHARITABLE APTG

DATE	-TRANSACTION- DESCRIPTION	-CODES- TRAN TAX INDV	HISTORY	- C A S H - INCOME	PRINCIPAL	INVEST/UNITS
03-11-11	CITATION GROUP/EQUITY & MUNIS 03/08 SALE 136 SHS @ 168.627 MISC .44 COMM 5.44 AMAZON COM INC	085 830 9053	41140-00004 03-11-11		22,927.39	16,879.24- 136,000-
03-11-11	STIFEL NICOLAUS & CO INC 03/08 SALE 340 SHS @ 70.1921 MISC .46 COMM 13.60 NEWFIELD EXPLORATION CO	085 830 0793	41140-00013 03-11-11		23,851.26	23,583.45- 340,000-
03-11-11	BARCLAYS CAPITAL LE 03/08 SALE 368 SHS @ 52.2815 MISC .37 COMM 14.72 WAL-MART STORES INC	085 830 9299	41140-00017 03-11-11		19,224.50	19,253.30- 368,000-
03-18-11	CREDIT SUISSE FIRST BOSTON LLC 03/15 SALE 240 SHS @ 51.5007 MISC .21 COMM 6.00 COACH INC	085 830 0355	41147-00001 03-18-11		12,353.96	6,948.01- 240,000-
03-18-11	KNIGHT DIRECT LLC 03/15 SALE 200 SHS @ 57.4391 MISC .19 COMM 2.00 TIFFANY & CO NEW	085 830 0295	41147-00004 03-18-11		11,485.63	8,652.02- 200,000-
03-25-11	BARCLAYS CAPITAL LE 03/22 SALE 260 SHS @ 72.1193 MISC .32 COMM 6.50 UNITED PARCEL SERVICE CL B	085 830 9299	41154-00005 03-25-11		18,744.20	16,775.54- 260,000-
04-07-11	ABEL NOSER CORP 04/04 SALE 270 SHS @ 55.4577 MISC .29 COMM 10.80 NEWMONT MINING CORP	085 830 3621	41167-00003 04-07-11		14,962.49	17,118.76- 270,000-
04-11-11	INSTINET 04/06 SALE 10 SHS @ 52.72 MISC .01 COMM .10 COVIDIEN PLC ISIN IE00B68SQD29 SEDOL B68SQD2	085 830 0067	41171-00001 04-11-11		527.09	498.63- 10,000-
04-11-11	INSTINET 04/06 SALE 10 SHS @ 46.37 MISC .01 COMM .10 AMERICAN EXPRESS CO	085 830 0067	41171-00003 04-11-11		463.59	423.84- 10,000-

BANK 21
REGION 70
OFFICE 884

- T R A N S A C T I O N A N A L Y S I S / S U M M A R Y -
10/01/10 THROUGH 09/30/11
ACCOUNT 0185064 JACK J SMITH JR CHARITABLE APTG

DATE 01/19/12
TIME 07:20
PAGE 0012

-TRANSACTION- DATE DESCRIPTION		-CODES- TRAN TAX INDV	HISTORY	- C A S H - INCOME	PRINCIPAL	INVEST/UNITS
04-11-11	INSTINET 04/06 SALE 10 SHS @ 40.50 MISC .01 COMM .10 AMERISOURCEBERGEN CORP	085 830	0067 41171-00004 04-11-11		404.89	282.66- 10.000-
04-11-11	INSTINET 04/06 SALE 10 SHS @ 61.51 MISC .01 COMM .10 AMERIPRISE FINANCIAL INC	085 830	0067 41171-00005 04-11-11		614.99	429.87- 10.000-
04-11-11	INSTINET 04/06 SALE 10 SHS @ 337.53 MISC .07 COMM .10 APPLE INC	085 830	0067 41171-00006 04-11-11		3,375.13	907.60- 10.000-
04-11-11	INSTINET 04/06 SALE 10 SHS @ 77.77 MISC .02 COMM .10 CSX CORP	085 830	0067 41171-00007 04-11-11		777.58	559.22- 10.000-
04-11-11	INSTINET 04/06 SALE 10 SHS @ 110.99 MISC .02 COMM .10 CATERPILLAR INC	085 830	0067 41171-00008 04-11-11		1,109.78	616.98- 10.000-
04-11-11	INSTINET 04/06 SALE 10 SHS @ 46.31 MISC .01 COMM .10 CELANESE CORP-SERIES A	085 830	0067 41171-00009 04-11-11		462.99	254.39- 10.000-
04-11-11	INSTINET 04/06 SALE 10 SHS @ 55.72 MISC .01 COMM .10 CELGENE CORP	085 830	0067 41171-00010 04-11-11		557.09	567.59- 10.000-
04-11-11	INSTINET 04/06 SALE 20 SHS @ 18.1502 MISC .01 COMM .20 CISCO SYSTEMS INC	085 830	0067 41171-00011 04-11-11		362.79	418.25- 20.000-
04-11-11	INSTINET 04/06 SALE 10 SHS @ 52.39 MISC .01 COMM .10 COACH INC	085 830	0067 41171-00012 04-11-11		523.79	289.50- 10.000-
04-11-11	INSTINET 04/06 SALE 10 SHS @ 67.74 MISC .02 COMM .10 COCA COLA CO	085 830	0067 41171-00013 04-11-11		677.28	525.08- 10.000-

BANK	21
REGION	70
OFFICE	884

- TRANSACTION ANALYSIS / SUMMARY -
10/01/10 THROUGH 09/30/11
ACCOUNT 0185064 JACK J SMITH JR CHARITABLE APTG

DATE 01/19/12
TIME 07:20
PAGE 0014

DATE	-TRANSACTION-	DESCRIPTION
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-CODES-	TRAN	TAX	INDV
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HISTORY

INCOME

1

PRINCIPAL

INVEST/UNITS

04-11-11 INSTINET
04/06 SALE 10 SHS @ 41.255
MISC .01 COMM .10
HEWLETT-PACKARD CO

412.44
502.56-
10.000-

04-11-11 INSTINET
04/06 SALE 10 SHS @ 37.58
MISC .01 COMM .10
HOME DEPOT INC

375.69
363.80-
10.000-

04-11-11 INSTINET
04/06 SALE 10 SHS @ 51.42
MISC .01 COMM .10
INFORMATICA CORP

514.09 338.77-
10.000-

04-11-11 INSTINET
04/06 SALE 30 SHS @ 19.91
MISC .01 COMM .30
INTEL CORP

596.99 724.83 30.000

04-11-11 INSTINET
04/06 SALE 10 SHS @ 164.30
MISC .03 COMM .10
INTERNATIONAL BUSINESS MACHS
CORP

1,642.87	1,004.90-
	10.000-

04-11-11 INSTINET
04/06 SALE 10 SHS @ 38.17
MISC .01 COMM .10
KBR INC

381.59 365.54-10.000-

04-11-11 INSTINET
04/06 SALE 10 SHS @ 35.20
MISC .01 COMM .10
LIMITED BRANDS INC

351.89 291.30-
10.000-

04-11-11 INSTINET
04/06 SALE 10 SHS @ 76.77
MISC .02 COMM .10
MC DONALDS CORP

767.58 678.77-10 000

04-11-11 INSTINET
04/06 SALE 40 SHS @ 26.2105
MISC .03 COMM .40
MICROSOFT CORP

1,047.99
784.46-
40 000-

BANK 21
REGION 70
OFFICE 884

- T R A N S A C T I O N A N A L Y S I S / S U M M A R Y -
10/01/10 THROUGH 09/30/11
ACCOUNT 0185064 JACK J SMITH JR CHARITABLE APTG

DATE 01/19/12
TIME 07:20
PAGE 0015

-TRANSACTION- DATE DESCRIPTION		-CODES- TRAN TAX INDV	HISTORY	- C A S H - INCOME PRINCIPAL		INVEST/UNITS
04-11-11	INSTINET 04/06 SALE 10 SHS @ 80.51 MISC .02 COMM .10 MOSAIC CO	085 830	0067 41171-00033 04-11-11	804.98		779.66- 10.000-
04-11-11	INSTINET 04/06 SALE 10 SHS @ 78.43 MISC .02 COMM .10 NATIONAL OILWELL VARCO INC	085 830	0067 41171-00034 04-11-11	784.18		459.29- 10.000-
04-11-11	INSTINET 04/06 SALE 10 SHS @ 46.60 MISC .01 COMM .10 NETAPP INC	085 830	0067 41171-00035 04-11-11	465.89		406.50- 10.000-
04-11-11	INSTINET 04/06 SALE 10 SHS @ 57.70 MISC .01 COMM .10 O REILLY AUTOMOTIVE INC	085 830	0067 41171-00036 04-11-11	576.89		470.56- 10.000-
04-11-11	INSTINET 04/06 SALE 30 SHS @ 33.69 MISC .02 COMM .30 ORACLE CORP	085 830	0067 41171-00037 04-11-11	1,010.38		625.68- 30.000-
04-11-11	INSTINET 04/06 SALE 10 SHS @ 58.84 MISC .01 COMM .10 PALL CORP	085 830	0067 41171-00038 04-11-11	588.29		497.57- 10.000-
04-11-11	INSTINET 04/06 SALE 10 SHS @ 65.91 MISC .02 COMM .10 PHILIP MORRIS INTERNAT-W/I	085 830	0067 41171-00040 04-11-11	658.98		489.16- 10.000-
04-11-11	INSTINET 04/06 SALE 10 SHS @ 53.61 MISC .01 COMM .10 QUALCOMM INC	085 830	0067 41171-00041 04-11-11	535.99		498.47- 10.000-
04-11-11	INSTINET 04/06 SALE 10 SHS @ 53.43 MISC .01 COMM .10 ST JUDE MEDICAL INC	085 830	0067 41171-00042 04-11-11	534.19		397.81- 10.000-
04-11-11	INSTINET 04/06 SALE 10 SHS @ 36.42 MISC .01 COMM .10 STARBUCKS CORP	085 830	0067 41171-00043 04-11-11	364.09		269.71- 10.000-

BANK 21
REGION 70
OFFICE 884

- TRANSACTION-
DESCRIPTION

DATE 01/19/12
TIME 07:20
PAGE 0016

- T R A N S A C T I O N A N A L Y S I S / S U M M A R Y -
10/01/10 THROUGH 09/30/11
ACCOUNT 0185064 JACK J SMITH JR CHARITABLE APTG

DATE	DESCRIPTION	-TRANSACTION- DESCRIPTION	-CODES- TRAN TAX INDV	HISTORY	INCOME	PRINCIPAL	INVEST/UNITS
04-11-11	INSTINET 04/06 SALE 10 SHS @ 57.35 MISC .02 COMM .10 STARWOOD HOTELS & RESORTS WORLDWIDE INC		085 830	0067 41171-00044 04-11-11		573.38	499.28- 10,000-
04-11-11	INSTINET 04/06 SALE 10 SHS @ 85.61 MISC .02 COMM .10 UNITED TECHNOLOGIES CORP		085 830	0067 41171-00046 04-11-11		855.98	415.90- 10,000-
04-11-11	INSTINET 04/06 SALE 10 SHS @ 45.05 MISC .01 COMM .10 UNITEDHEALTH GROUP INC		085 830	0067 41171-00047 04-11-11		450.39	321.08- 10,000-
04-11-11	INSTINET 04/06 SALE 10 SHS @ 47.29 MISC .01 COMM .10 VIACOM INC CLASS B W I		085 830	0067 41171-00048 04-11-11		472.79	247.52- 10,000-
04-11-11	INSTINET 04/06 SALE 10 SHS @ 41.21 MISC .01 COMM .10 WALGREEN CO		085 830	0067 41171-00049 04-11-11		411.99	420.23- 10,000-
04-11-11	INSTINET 04/06 SALE 10 SHS @ 32.53 MISC .01 COMM .10 WYNDHAM WORLDWIDE CORP - W/I		085 830	0067 41171-00050 04-11-11		325.19	283.17- 10,000-
04-12-11	MERRILL LYNCH PROFESSIONAL CLR 04/07 SALE 270 SHS @ 100.0231 MISC .52 COMM 10.80 CORE LABORATORIES N V		085 830	0551 41172-00001 04-12-11		26,994.92	18,370.32- 270,000-
04-12-11	CITATION GROUP/EQUITY & MUNIS 04/07 SALE 380 SHS @ 55.9244 MISC .41 COMM 15.20 MEDCO HEALTH SOLUTIONS INC		085 830	9053 41172-00004 04-12-11		21,235.66	17,816.19- 380,000-
05-02-11	BROWN BROTHERS HARRIMAN & CO 04/27 SALE 393 SHS @ 57.9514 MISC .44 COMM 15.72 COACH INC		085 830	0010 41192-00002 05-02-11		22,758.74	11,377.36- 393,000-

BANK 21
REGION 70
OFFICE 884

- TRANSACTION-
DESCRIPTION

DATE 01/19/12
TIME 07:20
PAGE 0017

- T R A N S A C T I O N A N A L Y S I S / S U M M A R Y -
10/01/10 THROUGH 09/30/11
ACCOUNT 0185064 JACK J SMITH JR CHARITABLE APTG

DATE	-TRANSACTION- DESCRIPTION	-CODES- TRAN TAX INDV	HISTORY	INCOME	PRINCIPAL	INVEST/UNITS
05-23-11	MKM PARTNERS LLC 05/18 SALE 860 SHS @ 42.345 MISC .70 COMM 21.50 FMC TECHNOLOGIES INC	085 830 8046	41213-00003 05-23-11		36,394.50	25,147.07- 860.000-
05-23-11	INVESTMENT TECHNOLOGY GROUP 05/18 SALE 490 SHS @ 36.1897 MISC .34 COMM 12.25 HEWLETT-PACKARD CO	085 830 0099	41213-00004 05-23-11		17,720.36	24,625.36- 490.000-
05-23-11	CITATION GROUP/EQUITY & MUNIS 05/18 SALE 660 SHS @ 35.835 MISC .45 COMM 26.40 STARBUCKS CORP	085 830 9053	41213-00009 05-23-11		23,624.25	17,800.66- 660.000-
06-02-11	INVESTMENT TECHNOLOGY GROUP 05/27 SALE 100 SHS @ 40.7659 MISC .08 COMM 1.00 AMERISOURCEBERGEN CORP	085 830 0099	41223-00001 06-02-11		4,075.51	2,826.57- 100.000-
06-02-11	CITATION GROUP/EQUITY & MUNIS 05/27 SALE 730 SHS @ 50.4997 MISC .71 COMM 29.20 ST JUDE MEDICAL INC	085 830 9053	41223-00004 06-02-11		36,834.87	29,039.85- 730.000-
06-02-11	INVESTMENT TECHNOLOGY GROUP 05/27 SALE 370 SHS @ 60.4079 MISC .43 COMM 3.70 STARWOOD HOTELS & RESORTS WORLDWIDE INC	085 830 0099	41223-00005 06-02-11		22,346.79	18,473.44- 370.000-
06-13-11	INSTINET 06/08 SALE 580 SHS @ 34.145 MISC .38 COMM 5.80 HOME DEPOT INC	085 830 0067	41234-00001 06-13-11		19,797.92	21,100.16- 580.000-
06-13-11	STIFEL NICOLAUS & CO INC 06/08 SALE 380 SHS @ 65.686 MISC .48 COMM 15.20 MOSAIC CO/THE	085 830 0793	41234-00006 06-13-11		24,945.00	29,626.92- 380.000-
06-28-11	CITATION GROUP/EQUITY & MUNIS 06/23 SALE 660 SHS @ 42.3099 MISC .54 COMM 26.40 WALGREEN CO	085 830 9053	41249-00004 06-28-11		27,897.60	27,735.10- 660.000-

BANK 21
REGION 70
OFFICE 884

- T R A N S A C T I O N A N A L Y S I S / S U M M A R Y -
10/01/10 THROUGH 09/30/11
ACCOUNT 0185064 JACK J SMITH JR CHARITABLE APTG

DATE 01/19/12
TIME 07:20
PAGE 0018

DATE	-TRANSACTION- DESCRIPTION	-CODES- TRAN TAX INDV		HISTORY	- C A S H -		INVEST/UNITS
		085	830		INCOME	PRINCIPAL	
07-01-11	CITATION GROUP/EQUITY & MUNIS 06/28 SALE 10 SHS @ 302.5229 MISC .06 COMM .40 CHIPOTLE MEXICAN GRIL CL A	085	830	9053 41252-00001 07-01-11		3,024.77	1,184.13- 10,000-
07-01-11	CITATION GROUP/EQUITY & MUNIS 06/28 SALE 1,043 SHS @ 15.0323 MISC .30 COMM 41.72 CISCO SYSTEMS INC	085	830	9053 41252-00002 07-01-11		15,636.67	21,811.63- 1,043,000-
07-01-11	INVESTMENT TECHNOLOGY GROUP 06/28 SALE 1,519 SHS @ 17.5598 MISC .51 COMM 15.19 CORNING INC	085	830	0099 41252-00004 07-01-11		26,657.63	22,903.25- 1,519,000-
07-01-11	INVESTMENT TECHNOLOGY GROUP 06/28 SALE 30 SHS @ 493.7403 MISC .28 COMM .30 GOOGLE INC-CL A	085	830	0099 41252-00007 07-01-11		14,811.62	14,156.22- 30,000
07-01-11	SANFORD C. BERNSTEIN AND CO. 06/28 SALE 120 SHS @ 83.5726 MISC .19 COMM 4.80 MC DONALDS CORP	085	830	0013 41252-00011 07-01-11		10,023.72	8,145.19- 120,000-
07-18-11	CREDIT SUISSE FIRST BOSTON LLC 07/13 SALE 50 SHS @ 83.0019 MISC .08 COMM .50 EXXON MOBIL CORP	085	830	0355 41269-00002 07-18-11		4,149.52	3,406.60- 50,000-
07-18-11	SANFORD C. BERNSTEIN AND CO. 07/13 SALE 290 SHS @ 72.167 MISC .40 COMM 11.60 HESS CORPORATION	085	830	0013 41269-00003 07-18-11		20,916.43	24,158.68- 290,000-
07-29-11	CITIGROUP GLOBAL MKTS INC 07/26 SALE 130 SHS @ 62.0105 MISC .15 COMM 5.20 O REILLY AUTOMOTIVE INC	085	830	0418 41280-00002 07-29-11		8,056.01	6,117.23- 130,000-
07-29-11	INVESTMENT TECHNOLOGY GROUP 07/26 SALE 350 SHS @ 55.8603 MISC .38 COMM 3.50 QUEST DIAGNOSTICS INC	085	830	0099 41280-00003 07-29-11		19,547.23	20,396.64- 350,000-
07-29-11	BARCLAYS CAPITAL LE 07/26 SALE 80 SHS @ 81.5629 MISC .13 COMM 3.20 TIFFANY & CO NEW	085	830	9299 41280-00004 07-29-11		6,521.71	3,781.31- 80,000-

BANK 21
REGION 70
OFFICE 884

- TRANSACTION-
DESCRIPTION

DATE 01/19/12
TIME 07:20
PAGE 0019

- C A S H -
INCOME

- C A S H -
INCOME

- C A S H -
INCOME

INVEST/UNITS

08-08-11 CITATION GROUP/EQUITY & MUNIS
08/03 SALE 1,110 SHS @ 10.094
MISC .22 COMM 44.40
METROPOLIS COMMUNICATIONS INC

085 830 9053 41290-00004
08-08-11

11,159.72

19,164.37-
1,110.000-

08-19-11 CITIGROUP GLOBAL MKTS INC
08/16 SALE 280 SHS @ 44.0246
MISC .24 COMM 11.20
CELANESE CORP-SERIES A

085 830 0418 41301-00004
08-19-11

12,315.45

7,123.01-
280.000-

08-19-11 CITATION GROUP/EQUITY & MUNIS
08/16 SALE 810 SHS @ 27.6569
MISC .43 COMM 32.40
MEADWESTVACO CORP

085 830 9053 41301-00007
08-19-11

22,369.26

26,140.40-
810.000-

08-22-11 CREDIT SUISSE FIRST BOSTON LLC
08/17 SALE 145 SHS @ 88.0043
MISC .25 COMM 1.45
CATERPILLAR INC

085 830 0355 41304-00003
08-22-11

12,758.93

8,946.13-
145.000-

08-22-11 CITATION GROUP/EQUITY & MUNIS
08/17 SALE 910 SHS @ 27.8428
MISC .49 COMM 36.40
SYSCO CORP

085 830 9053 41304-00006
08-22-11

25,300.06

28,143.02-
910.000-

08-23-11 INVESTMENT TECHNOLOGY GROUP
08/18 SALE 410 SHS @ 41.1994
MISC .32 COMM 10.25
AMERIPRISE FINANCIAL INC

085 830 0099 41305-00001
08-23-11

16,881.18

17,624.80-
410.000-

08-24-11 MKM PARTNERS LLC
08/19 SALE 275 SHS @ 81.4194
MISC .43 COMM 6.88
CATERPILLAR INC

085 830 8046 41306-00002
08-24-11

22,383.63

16,966.80-
275.000-

08-24-11 BARCLAYS CAPITAL LE
08/19 SALE 620 SHS @ 19.2798
MISC .23 COMM 24.80
INTEL CORP

085 830 9299 41306-00003
08-24-11

11,928.45

14,953.50-
620.000-

09-15-11 CITATION GROUP/EQUITY & MUNIS
09/12 SALE 480 SHS @ 20.0335
MISC .18 COMM 19.20
INTEL CORP

085 830 9053 41328-00005
09-15-11

9,596.70

11,576.91-
480.000-

09-15-11 JP MORGAN SECURITIES INC
09/12 SALE 610 SHS @ 41.654
MISC .49 COMM 24.40
PALL CORP

085 830 9211 41328-00008
09-15-11

25,384.05

30,351.61-
610.000-

-TRANSACTION- DESCRIPTION		-CODES- TRAN TAX INDV		HISTORY		- C A S H -		INCOME		PRINCIPAL		INVEST/UNITS	
TOTALS FOR TAX CODE 081	LONG TERM CAPITAL GAIN DISTRIBUTION												
TOTALS FOR TAX CODE 830	SALES							1,299,462.63				1,233,980.80-P	
TOTALS FOR TAX CODE 833	SALE OF RIGHTS/FRACTIONAL SHARE OF STOCK							20.18				21.87-P	
								<u>1299,482.95</u>				<u>1,234,002.67-</u>	

✓
65,480.28

BANK 21
REGION 70
OFFICE 885

- T R A N S A C T I O N A N A L Y S I S / S U M M A R Y -
10/01/10 THROUGH 09/30/11
ACCOUNT 0185064 JACK J SMITH JR CHARITABLE APTV

DATE 01/19/12
TIME 07:20
PAGE 0001

DATE	-TRANSACTION- DESCRIPTION	-CODES- TRAN TAX INDV	HISTORY	- C A S H - INCOME	PRINCIPAL	INVEST/UNITS
10-04-10	BARCLAYS CAPITAL LE 09/29 SALE 690 SHS @ 58.7877 MISC .69 COMM 17.25 HESS CORPORATION	085 830	9299 40977-00004 10-04-10	40,545.58	37,279.92- 690.000-	
10-04-10	MERRILL LYNCH PROFESSIONAL CLR 09/29 SALE 300 SHS @ 68.6701 MISC .35 COMM 6.00 SHIRE PLC SPONSORED ADR	085 830	0551 40977-00007 10-04-10	20,594.68	13,651.99- 300.000-	
10-07-10	INSTINET 10/04 SALE 100 SHS @ 48.4043 MISC .08 COMM 1.00 COOPER INDUSTRIES PLC SEDOL B40K911 ISIN IE00B40K9117	085 830	0067 40980-00001 10-07-10	4,839.35	4,424.99- 100.000-	
10-07-10	BROWN BROTHERS HARRIMAN & CO 10/04 SALE 170 SHS @ 28.5908 MISC .08 COMM 6.80 SEADRILL LTD SEDOL B09RMQ1 ISIN BMG7945E1057	085 830	0010 40980-00002 10-07-10	4,853.55	4,876.96- 170.000-	
10-07-10	ISI GROUP INC 10/04 SALE 470 SHS @ 28.8409 MISC .23 COMM 18.80 AT&T INC	085 830	9197 40980-00004 10-07-10	13,536.19	11,631.50- 470.000-	
10-07-10	BROWN BROTHERS HARRIMAN & CO 10/04 SALE 160 SHS @ 38.9312 MISC .11 COMM 6.40 AMERICAN EXPRESS CO	085 830	0010 40980-00005 10-07-10	6,222.49	4,486.02- 160.000-	
10-07-10	BROWN BROTHERS HARRIMAN & CO 10/04 SALE 310 SHS @ 23.4308 MISC .12 COMM 12.40 AMERICAN WATER WORKS CO INC	085 830	0010 40980-00006 10-07-10	7,251.02	7,057.21- 310.000-	
10-07-10	BROWN BROTHERS HARRIMAN & CO 10/04 SALE 190 SHS @ 30.7311 MISC .10 COMM 7.60 AMERISOURCEBERGEN CORP	085 830	0010 40980-00007 10-07-10	5,831.21	5,283.84- 190.000-	
10-07-10	BROWN BROTHERS HARRIMAN & CO 10/04 SALE 170 SHS @ 47.8308 MISC .14 COMM 6.80 AMERIPRISE FINANCIAL INC-W/I	085 830	0010 40980-00008 10-07-10	8,124.30	7,396.36- 170.000-	

BANK 21
REGION 70
OFFICE 885

- T R A N S A C T I O N A N A L Y S I S / S U M M A R Y -
10/01/10 THROUGH 09/30/11
ACCOUNT 0185064 JACK J SMITH JR CHARITABLE APTV

DATE 01/19/12
TIME 07:20
PAGE 0002

-TRANSACTION- DATE DESCRIPTION		-CODES- TRAN TAX INDV	HISTORY	- C A S H - INCOME	PRINCIPAL	INVEST/UNITS
10-07-10	INSTINET 10/04 SALE 80 SHS @ 54.93 MISC .08 COMM .80 AMGEN INC	085 830	0067 40980-00009 10-07-10		4,393.52	4,337.07- 80.000-
10-07-10	INSTINET 10/04 SALE 50 SHS @ 97.08 MISC .08 COMM .50 APACHE CORPORATION	085 830	0067 40980-00010 10-07-10		4,853.42	4,107.64- 50.000-
10-07-10	INSTINET 10/04 SALE 50 SHS @ 105.90 MISC .09 COMM .50 AVALONBAY COMMUNITIES INC REIT	085 830	0067 40980-00011 10-07-10		5,294.41	4,833.05- 50.000-
10-07-10	BROWN BROTHERS HARRIMAN & CO 10/04 SALE 170 SHS @ 32.6801 MISC .09 COMM 6.80 BCE INC ISIN CA05534B7604 SEDOL B188TJ4	085 830	0010 40980-00012 10-07-10		5,548.72	4,760.06- 170.000-
10-07-10	ISI GROUP INC 10/04 SALE 470 SHS @ 13.1509 MISC .11 COMM 18.80 BANK OF AMERICA CORP	085 830	9197 40980-00013 10-07-10		6,162.02	7,633.07- 470.000-
10-07-10	BROWN BROTHERS HARRIMAN & CO 10/04 SALE 150 SHS @ 32.1601 MISC .08 COMM 6.00 BEMIS COMPANY	085 830	0010 40980-00014 10-07-10		4,817.93	4,207.77- 150.000-
10-07-10	BROWN BROTHERS HARRIMAN & CO 10/04 SALE 200 SHS @ 26.7301 MISC .09 COMM 8.00 BRISTOL MYERS SQUIBB CO	085 830	0010 40980-00015 10-07-10		5,337.93	4,070.90- 200.000-
10-07-10	INSTINET 10/04 SALE 90 SHS @ 54.49 MISC .08 COMM .90 CSX CORP	085 830	0067 40980-00016 10-07-10		4,903.12	4,865.81- 90.000-
10-07-10	BROWN BROTHERS HARRIMAN & CO 10/04 SALE 180 SHS @ 38.5208 MISC .12 COMM 7.20 CAPITAL ONE FINANCIAL CORP	085 830	0010 40980-00017 10-07-10		6,926.43	7,485.01- 180.000-

BANK 21
REGION 70
OFFICE 885

- T R A N S A C T I O N A N A L Y S I S / S U M M A R Y -
10/01/10 THROUGH 09/30/11
ACCOUNT 0185064 JACK J SMITH JR CHARITABLE APTV

DATE 01/19/12
TIME 07:20
PAGE 0003

DATE	-TRANSACTION- DESCRIPTION	-CODES- TRAN TAX INDV	HISTORY	- C A S H - INCOME	PRINCIPAL	INVEST/UNITS
10-07-10	BROWN BROTHERS HARRIMAN & CO 10/04 SALE 150 SHS @ 39.4308 MISC .10 COMM 6.00 CENTURLINK INC	085 830	0010 40980-00018 10-07-10	5,908.52		4,854.18- 150.000-
10-07-10	BROWN BROTHERS HARRIMAN & CO 10/04 SALE 260 SHS @ 81.21 MISC .36 COMM 10.40 CHEVRON CORPORATION	085 830	0010 40980-00019 10-07-10	21,103.84		17,367.33- 260.000-
10-07-10	JEFFERIES & CO 10/04 SALE 2,660 SHS @ 4.03 MISC .18 COMM 66.50 CITIGROUP INC	085 830	0019 40980-00020 10-07-10	10,653.12		11,497.42- 2,660.000-
10-07-10	BROWN BROTHERS HARRIMAN & CO 10/04 SALE 150 SHS @ 58.7902 MISC .15 COMM 6.00 COCA COLA CO	085 830	0010 40980-00021 10-07-10	8,812.38		7,132.20- 150.000-
10-07-10	BROWN BROTHERS HARRIMAN & CO 10/04 SALE 390 SHS @ 17.612 MISC .12 COMM 15.60 COMCAST CORPORATION CL A	085 830	0010 40980-00022 10-07-10	6,852.96		6,474.89- 390.000-
10-07-10	BROWN BROTHERS HARRIMAN & CO 10/04 SALE 120 SHS @ 37.0608 MISC .08 COMM 4.80 COMERICA INC	085 830	0010 40980-00023 10-07-10	4,442.42		5,304.13- 120.000-
10-07-10	ISI GROUP INC 10/04 SALE 250 SHS @ 17.8708 MISC .08 COMM 10.00 CORNING INC	085 830	9197 40980-00024 10-07-10	4,457.62		4,634.78- 250.000-
10-07-10	INSTINET 10/04 SALE 50 SHS @ 89.81 MISC .08 COMM .50 CUMMINS INC	085 830	0067 40980-00025 10-07-10	4,489.92		2,495.65- 50.000-
10-07-10	BROWN BROTHERS HARRIMAN & CO 10/04 SALE 110 SHS @ 48.162 MISC .09 COMM 4.40 DOLLAR TREE INC	085 830	0010 40980-00026 10-07-10	5,293.33		3,000.61- 110.000-
10-07-10	BROWN BROTHERS HARRIMAN & CO 10/04 SALE 110 SHS @ 44.3408 MISC .08 COMM 4.40 DUPONT E I DE NEMOURS & CO	085 830	0010 40980-00027 10-07-10	4,873.00		4,641.31- 110.000-

-TRANSACTION-		-CODES-		HISTORY		- C A S H -	
DATE	DESCRIPTION	TRAN	TAX	INDV		INCOME	PRINCIPAL
10-07-10	BROWN BROTHERS HARRIMAN & CO 10/04 SALE 360 SHS @ 19.9606 MISC .12 COMM 14.40 EMC CORP	085	830	0010	40980-00028 10-07-10	7,171.29	5,997.46- 360.000-
10-07-10	INSTINET 10/04 SALE 80 SHS @ 81.42 MISC .11 COMM .80 EATON CORP	085	830	0067	40980-00029 10-07-10	6,512.69	5,956.37- 80.000-
10-07-10	INSTINET 10/04 SALE 70 SHS @ 68.65 MISC .08 COMM .70 ENERGIZER HLDGS INC	085	830	0067	40980-00030 10-07-10	4,804.72	4,365.68- 70.000-
10-07-10	BROWN BROTHERS HARRIMAN & CO 10/04 SALE 160 SHS @ 40.1308 MISC .11 COMM 6.40 ENTERPRISE PRODS PARTNERS L P COM UNIT	085	830	0010	40980-00031 10-07-10	6,414.42	5,635.27- 160.000-
10-07-10	BROWN BROTHERS HARRIMAN & CO 10/04 SALE 180 SHS @ 47.9904 MISC .15 COMM 7.20 EQUITY RESIDENTIAL SH BEN INT REIT	085	830	0010	40980-00032 10-07-10	8,630.93	6,861.82- 180.000-
10-07-10	BROWN BROTHERS HARRIMAN & CO 10/04 SALE 100 SHS @ 62.1208 MISC .11 COMM 4.00 EXXON MOBIL CORP	085	830	0010	40980-00033 10-07-10	6,207.97	6,437.32- 100.000-
10-07-10	ISI GROUP INC 10/04 SALE 540 SHS @ 12.84 MISC .12 COMM 21.60 FORD MOTOR COMPANY	085	830	9197	40980-00034 10-07-10	6,911.88	6,003.18- 540.000-
10-07-10	ISI GROUP INC 10/04 SALE 990 SHS @ 16.05 MISC .27 COMM 39.60 GENERAL ELECTRIC CO	085	830	9197	40980-00035 10-07-10	15,849.68	15,026.07- 990.000-
10-07-10	BROWN BROTHERS HARRIMAN & CO 10/04 SALE 180 SHS @ 36.9702 MISC .11 COMM 7.20 GENERAL MILLS INC	085	830	0010	40980-00036 10-07-10	6,647.32	6,180.68- 180.000-

BANK 21
REGION 70
OFFICE 885

- TRANSACTION-
DESCRIPTION

DATE 01/19/12
TIME 07:20
PAGE 0005

- T R A N S A C T I O N A N A L Y S I S / S U M M A R Y -
10/01/10 THROUGH 09/30/11
ACCOUNT 0185064 JACK J SMITH JR CHARITABLE APTV

DATE	DESCRIPTION	-TRANSACTION- DESCRIPTION	-CODES- TRAN TAX INDV	HISTORY	- C A S H - INCOME	PRINCIPAL	INVEST/UNITS
10-07-10	INSTINET 10/04 SALE 40 SHS @ 146.396 MISC .10 COMM .40 GOLDMAN SACHS GROUP INC		085 830	0067 40980-00037 10-07-10	5,855.34		4,483.27- 40.000-
10-07-10	BROWN BROTHERS HARRIMAN & CO 10/04 SALE 420 SHS @ 18.8114 MISC .14 COMM 16.80 INTEL CORP		085 830	0010 40980-00038 10-07-10	7,883.85		7,910.35- 420.000-
10-07-10	ISI GROUP INC 10/04 SALE 470 SHS @ 38.9609 MISC .31 COMM 18.80 JPMORGAN CHASE & CO		085 830	9197 40980-00039 10-07-10	18,292.51		17,166.91- 470.000-
10-07-10	INSTINET 10/04 SALE 90 SHS @ 61.5706 MISC .10 COMM .90 JOHNSON & JOHNSON		085 830	0067 40980-00040 10-07-10	5,540.36		5,650.70- 90.000-
10-07-10	BROWN BROTHERS HARRIMAN & CO 10/04 SALE 320 SHS @ 26.5903 MISC .14 COMM 12.80 LIMITED BRANDS INC		085 830	0010 40980-00041 10-07-10	8,495.95		7,607.53- 320.000-
10-07-10	INSTINET 10/04 SALE 100 SHS @ 82.28 MISC .14 COMM 1.00 M&T BK CORP		085 830	0067 40980-00042 10-07-10	8,226.86		7,944.17- 100.000-
10-07-10	BROWN BROTHERS HARRIMAN & CO 10/04 SALE 300 SHS @ 36.4608 MISC .19 COMM 12.00 MERCK & CO INC		085 830	0010 40980-00044 10-07-10	10,926.05		9,272.36- 300.000-
10-07-10	BROWN BROTHERS HARRIMAN & CO 10/04 SALE 200 SHS @ 38.7308 MISC .13 COMM 8.00 METLIFE INC.		085 830	0010 40980-00045 10-07-10	7,738.03		7,620.79- 200.000-
10-07-10	BROWN BROTHERS HARRIMAN & CO 10/04 SALE 200 SHS @ 23.8501 MISC .08 COMM 8.00 MICROSOFT CORP		085 830	0010 40980-00046 10-07-10	4,761.94		5,113.26- 200.000-
10-07-10	BROWN BROTHERS HARRIMAN & CO 10/04 SALE 180 SHS @ 43.8508 MISC .13 COMM 7.20 NATIONAL OILWELL VARCO INC		085 830	0010 40980-00047 10-07-10	7,885.81		7,713.05- 180.000-

DATE	-TRANSACTION- DESCRIPTION	-CODES- TRAN TAX INDV	HISTORY	- C A S H -	
				INCOME	PRINCIPAL
10-07-10	INSTINET 10/04 SALE 90 SHS @ 57.01 MISC .09 COMM .90 NOVARTIS AG SPONSORED ADR	085 830 0067	40980-00048 10-07-10	5,129.91	5,215.74- 90,000-
10-07-10	BROWN BROTHERS HARRIMAN & CO 10/04 SALE 210 SHS @ 40.4308 MISC .15 COMM 8.40 OGE ENERGY CORP	085 830 0010	40980-00049 10-07-10	8,481.92	8,198.42- 210,000-
10-07-10	INSTINET 10/04 SALE 100 SHS @ 72.29 MISC .12 COMM 1.00 PPG INDUSTRIES INC	085 830 0067	40980-00050 10-07-10	7,227.88	6,312.13- 100,000-
10-07-10	BROWN BROTHERS HARRIMAN & CO 10/04 SALE 110 SHS @ 66.7401 MISC .13 COMM 4.40 PEPSICO INC	085 830 0010	40980-00051 10-07-10	7,336.89	6,829.96- 110,000-
10-07-10	ISI GROUP INC 10/04 SALE 920 SHS @ 16.9005 MISC .26 COMM 36.80 PFIZER INC	085 830 9197	40980-00052 10-07-10	15,511.42	18,339.15- 920,000-
10-07-10	BROWN BROTHERS HARRIMAN & CO 10/04 SALE 150 SHS @ 59.9408 MISC .15 COMM 6.00 PROCTER & GAMBLE CO	085 830 0010	40980-00053 10-07-10	8,984.97	9,150.16- 150,000-
10-07-10	BROWN BROTHERS HARRIMAN & CO 10/04 SALE 150 SHS @ 52.4802 MISC .13 COMM 6.00 PRUDENTIAL FINANCIAL, INC.	085 830 0010	40980-00054 10-07-10	7,865.90	8,196.46- 150,000-
10-07-10	BROWN BROTHERS HARRIMAN & CO 10/04 SALE 200 SHS @ 32.98 MISC .11 COMM 8.00 PUBLIC SERVICE ENTERPRISE GROUP INC	085 830 0010	40980-00055 10-07-10	6,587.89	6,436.10- 200,000-
10-07-10	BROWN BROTHERS HARRIMAN & CO 10/04 SALE 150 SHS @ 60.7516 MISC .15 COMM 6.00 JM SMUCKER CO/THE-NEW COM WI	085 830 0010	40980-00057 10-07-10	9,106.58	8,494.51- 150,000-

BANK 21
REGION 70
OFFICE 885

- T R A N S A C T I O N A N A L Y S I S / S U M M A R Y -
10/01/10 THROUGH 09/30/11
ACCOUNT 0185064 JACK J SMITH JR CHARITABLE APTV

DATE 01/19/12
TIME 07:20
PAGE 0007

-TRANSACTION- DATE DESCRIPTION		-CODES- TRAN TAX INDV		HISTORY	- C A S H - INCOME		INVEST/UNITS
10-07-10	INSTINET 10/04 SALE 80 SHS @ 80.03 MISC .11 COMM .80 UNION PACIFIC CORP	085	830	0067 40980-00058 10-07-10	6,401.49		6,228.94- 80.000-
10-07-10	INSTINET 10/04 SALE 70 SHS @ 70.49 MISC .08 COMM .70 UNITED TECHNOLOGIES CORP	085	830	0067 40980-00059 10-07-10	4,933.52		3,262.72- 70.000-
10-07-10	BROWN BROTHERS HARRIMAN & CO 10/04 SALE 220 SHS @ 34.5308 MISC .13 COMM 8.80 UNITEDHEALTH GROUP INC	085	830	0010 40980-00060 10-07-10	7,587.85		6,588.91- 220.000-
10-07-10	INSTINET 10/04 SALE 90 SHS @ 52.29 MISC .08 COMM .90 VENTAS INC	085	830	0067 40980-00061 10-07-10	4,705.12		4,483.25- 90.000-
10-07-10	BROWN BROTHERS HARRIMAN & CO 10/04 SALE 220 SHS @ 36.1808 MISC .14 COMM 8.80 VIACOM INC CLASS B W1	085	830	0010 40980-00062 10-07-10	7,950.84		5,592.77- 220.000-
10-07-10	INSTINET 10/04 SALE 90 SHS @ 53.565 MISC .08 COMM .90 WAL-MART STORES INC	085	830	0067 40980-00063 10-07-10	4,819.87		4,470.16- 90.000-
10-07-10	BROWN BROTHERS HARRIMAN & CO 10/04 SALE 150 SHS @ 43.1808 MISC .11 COMM 6.00 WATSON PHARMACEUTICAL	085	830	0010 40980-00064 10-07-10	6,471.01		6,556.05- 150.000-
10-07-10	ISI GROUP INC 10/04 SALE 590 SHS @ 25.3409 MISC .25 COMM 23.60 WELLS FARGO & COMPANY	085	830	9197 40980-00065 10-07-10	14,927.28		15,587.15- 590.000-
10-07-10	INSTINET 10/04 SALE 50 SHS @ 79.7577 MISC .07 COMM .50 WHIRLPOOL CORP	085	830	0067 40980-00066 10-07-10	3,987.32		5,292.37- 50.000-
10-07-10	BROWN BROTHERS HARRIMAN & CO 10/04 SALE 210 SHS @ 57.7116 MISC .20 COMM 8.40 WISCONSIN ENERGY CORP	085	830	0010 40980-00067 10-07-10	12,110.83		8,797.94- 210.000-

BANK 21
REGION 70
OFFICE 885

- TRANSACTION-
DESCRIPTION

DATE 01/19/12
TIME 07:20
PAGE 0008

BANK 21
REGION 70
OFFICE 885

- C A S H -

-CODES-
TRAN TAX INDV

HISTORY

INCOME

PRINCIPAL

INVEST/UNITS

10-26-10 BROWN BROTHERS HARRIMAN & CO
10/21 SALE 410 SHS @ 35.5224
MISC .25 COMM 16.40
COMERICA INC

14,547.54

18,122.42-
410,000-

11-04-10 INSTINET
11/01 SALE 490 SHS @ 31.1614
MISC .26 COMM 9.80
BEMIS COMPANY

15,259.03

13,745.38-
490,000-

11-04-10 ABEL NOSER CORP
11/01 SALE 687 SHS @ 40.1555
MISC .47 COMM 13.74
METLIFE INC.

27,572.62

26,177.39-
687,000-

11-04-10 MKM PARTNERS LLC
11/01 SALE 190 SHS @ 75.4099
MISC .24 COMM 4.75
WHIRLPOOL CORP

14,322.89

20,110.98-
190,000-

12-28-10 LONG TERM CAPITAL GAINS DISTRIBUTION
PAYABLE 12/21/10 AT .0000 PER SHARE
BLACKROCK LIQUIDITY FUNDS
TEMPFUND
ADMINISTRATION SHARES #H1

.03

12-28-10 LONG TERM CAPITAL GAINS DISTRIBUTION
PAYABLE 12/21/10 AT .0000 PER SHARE
BLACKROCK LIQUIDITY FUNDS
TEMPFUND
ADMINISTRATION SHARES #H1

.11

02-07-11 DEUTSCHE MORG GRENFELL
02/02 SALE 250 SHS @ 65.8557
MISC .28 COMM 10.00
ENERGIZER HLDGS INC

16,453.65

15,591.73-
250,000-

02-07-11 INSTINET
02/02 SALE 1,827 SHS @ 15.4796
MISC .54 COMM 18.27
FORD MOTOR COMPANY

28,262.42

20,310.77-
1,827,000-

02-07-11 BROWN BROTHERS HARRIMAN & CO
02/02 SALE 670 SHS @ 32.4943
MISC .37 COMM 26.80
PUBLIC SERVICE ENTERPRISE
GROUP INC

21,744.01

21,560.92-
670,000-

BANK 21
REGION 70
OFFICE 885

- TRANSACTION-
DESCRIPTION

DATE

DATE 01/19/12
TIME 07:20
PAGE 0009

-TRANSACTION-
DESCRIPTION

-CODES-
TRAN TAX INDV

HISTORY

- C A S H -
INCOME

PRINCIPAL
INVEST/UNITS

02-16-11 BARCLAYS CAPITAL LE
02/11 SALE 315 SHS @ 21.7545
MISC .12 COMM 7.87
INTEL CORP

085 830 9299 41117-00005
02-16-11

6,844.68
5,932.76-
315.000-

02-16-11 BARCLAYS CAPITAL LE
02/11 SALE 380 SHS @ 63.5241
MISC .41 COMM 9.50
PEPSICO INC

085 830 9299 41117-00006
02-16-11

24,129.25
23,594.39-
380.000-

03-11-11 INSTINET
03/08 SALE 7 SHS @ 83.94
MISC .02 COMM .07
HESS CORPORATION

085 830 0067 41140-00039
03-11-11

587.49
378.20-
7.000-

03-11-11 INSTINET
03/08 SALE 2 SHS @ 85.10
COMM .02
SHIRE PLC
SPONSORED ADR

085 830 0067 41140-00057
03-11-11

170.18
91.01-
2.000-

03-16-11 BNY CONVERGEX
03/11 SALE 1,080 SHS @ 28.3792
MISC .52 COMM 10.80
AT&T INC

085 830 0100 41145-00004
03-16-11

30,638.22
27,329.11-
1,080.000-

03-16-11 SANFORD C. BERNSTEIN AND CO.
03/11 SALE 640 SHS @ 43.9222
MISC .48 COMM 25.60
AMERICAN EXPRESS CO

085 830 0013 41145-00005
03-16-11

28,084.13
19,510.26-
640.000-

03-16-11 BNY CONVERGEX
03/11 SALE 320 SHS @ 53.6092
MISC .29 COMM 8.00
AMGEN INC

085 830 0100 41145-00006
03-16-11

17,146.65
17,271.12-
320.000-

03-16-11 CREDIT SUISSE FIRST BOSTON LLC
03/11 SALE 540 SHS @ 31.5593
MISC .29 COMM 13.50
LIMITED BRANDS INC

085 830 0355 41145-00011
03-16-11

17,028.23
13,450.19-
540.000-

03-16-11 CITATION GROUP/EQUITY & MUNIS
03/11 SALE 240 SHS @ 45.2993
MISC .18 COMM 9.60
VIACOM INC CLASS B W I

085 830 9053 41145-00013
03-16-11

10,862.05
7,417.32-
240.000-

BANK 21
REGION 70
OFFICE 885

- TRANSACTION-
DESCRIPTION

DATE 01/19/12
TIME 07:20
PAGE 0010

- T R A N S A C T I O N A N A L Y S I S / S U M M A R Y -
10/01/10 THROUGH 09/30/11
ACCOUNT 0185064 JACK J SMITH JR CHARITABLE APTV

DATE	DESCRIPTION	-TRANSACTION- DESCRIPTION	-CODES- TRAN TAX INDV	HISTORY	INCOME	PRINCIPAL	INVEST/UNITS
03-21-11	BROWN BROTHERS HARRIMAN & CO 03/16 SALE 655 SHS @ 39.926 MISC .44 COMM 26.20 ENTERPRISE PRODS PARTNERS L P COM UNIT		085 830 0010	41150-00001 03-21-11	26,124.89		23,770.41- 655.000-
03-30-11	INSTINET 03/25 SALE 315 SHS @ 62.4453 MISC .38 COMM 6.30 ACE LIMITED ISIN CH0044328745 SEDOL B3BQMF6		085 830 0067	41159-00001 03-30-11	19,663.59		19,155.92- 315.000-
05-20-11	INVESTMENT TECHNOLOGY GROUP 05/17 SALE 990 SHS @ 24.2825 MISC .46 COMM 9.90 INVESCO LTD ISIN BMG491BT1088 SEDOL B28XP76		085 830 0099	41210-00001 05-20-11	24,029.31		25,492.61- 990.000-
05-20-11	CREDIT SUISSE FIRST BOSTON LLC 05/17 SALE 679 SHS @ 39.8095 MISC .52 COMM 16.98 GENERAL MILLS INC		085 830 0355	41210-00004 05-20-11	27,013.16		23,590.67- 679.000-
05-20-11	CITATION GROUP/EQUITY & MUNIS 05/17 SALE 830 SHS @ 21.0904 MISC .34 COMM 33.20 PFIZER INC		085 830 9053	41210-00006 05-20-11	17,471.50		16,520.40- 830.000-
05-26-11	CASH IN LIEU OF .500 FRACTIONAL SHARES/PAR AT 40.3500 PER SHARE/BOND CITIGROUP INC		085 833 9999	41216-00001 05-26-11	20.18		21.87- .500-
07-01-11	CREDIT SUISSE FIRST BOSTON LLC 06/28 SALE 310 SHS @ 65.9036 MISC .39 COMM 3.10 COCA COLA CO		085 830 0355	41252-00003 07-01-11	20,426.62		16,080.01- 310.000-
07-01-11	BARCLAYS CAPITAL LE 06/28 SALE 402 SHS @ 88.037 MISC .68 COMM 16.08 PPG INDUSTRIES INC		085 830 9299	41252-00011 07-01-11	35,374.11		27,062.71- 402.000-
07-01-11	BARCLAYS CAPITAL LE 06/28 SALE 120 SHS @ 76.0195 MISC .18 COMM 4.80 JM SMUCKER CO/THE-NEW COM WI		085 830 9299	41252-00012 07-01-11	9,117.36		7,147.12- 120.000-

BANK 21
REGION 70
OFFICE 885

- TRANSACTION-
DESCRIPTION

DATE 01/19/12
TIME 07:20
PAGE 0011

- T R A N S A C T I O N A N A L Y S I S / S U M M A R Y -
10/01/10 THROUGH 09/30/11
ACCOUNT 0185064 JACK J SMITH JR CHARITABLE APTV

DATE	-TRANSACTION- DESCRIPTION	-CODES- TRAN TAX INDV		HISTORY	- C A S H -		INVEST/UNITS
		085	830		INCOME	PRINCIPAL	
07-01-11	CREDIT SUISSE FIRST BOSTON LLC 06/28 SALE 370 SHS @ 52.3423 MISC .37 COMM 3.70 WAL-MART STORES INC	085	830	0355 41252-00015 07-01-11	19,362.58	18,520.42- 370,000-	
07-29-11	INVESTMENT TECHNOLOGY GROUP 07/26 SALE 360 SHS @ 73.5209 MISC .51 COMM 9.00 HESS CORPORATION	085	830	0099 41280-00002 07-29-11	26,458.02	28,315.90- 360,000-	
08-08-11	CITATION GROUP/EQUITY & MUNIS 08/03 SALE 1,540 SHS @ 10.094 MISC .30 COMM 61.60 METROPCS COMMUNICATIONS INC	085	830	9053 41290-00004 08-08-11	15,482.86	23,047.95- 1,540,000-	
08-12-11	JANNEY MONTGOMERY, SCOTT 08/09 SALE 975 SHS @ 26.7314 MISC .50 COMM 39.00 ARCHER DANIELS MIDLAND CO	085	830	0374 41294-00001 08-12-11	26,023.61	34,891.52- 975,000-	
08-12-11	CREDIT SUISSE FIRST BOSTON LLC 08/09 SALE 160 SHS @ 39.1262 MISC .12 COMM 4.00 EATON CORP	085	830	0355 41294-00002 08-12-11	6,256.07	6,297.54- 160,000-	
08-15-11	BARCLAYS CAPITAL LE 08/10 SALE 604 SHS @ 33.5747 MISC .39 COMM 24.16 CENTURYLINK INC	085	830	9299 41297-00001 08-15-11	20,254.57	20,254.97- 604,000-	
08-19-11	CITATION GROUP/EQUITY & MUNIS 08/16 SALE 665 SHS @ 44.8946 MISC .57 COMM 26.60 AMERIPRISE FINANCIAL INC	085	830	9053 41301-00002 08-19-11	29,827.74	31,051.91- 665,000-	
08-23-11	INVESTMENT TECHNOLOGY GROUP 08/18 SALE 640 SHS @ 25.9184 MISC .32 COMM 16.00 MEADWESTVACO CORP	085	830	0099 41305-00002 08-23-11	16,571.46	20,857.41- 640,000-	
09-02-11	CREDIT SUISSE FIRST BOSTON LLC 08/30 SALE 100 SHS @ 65.4554 MISC .13 COMM 1.00 NATIONAL OILWELL VARCO INC	085	830	0355 41315-00005 09-02-11	6,544.41	4,890.05- 100,000-	
09-02-11	CREDIT SUISSE FIRST BOSTON LLC 08/30 SALE 380 SHS @ 52.5469 MISC .38 COMM 3.80 VENTAS INC	085	830	0355 41315-00006 09-02-11	19,963.64	19,141.45- 380,000-	

BANK 21
REGION 70
OFFICE 885

- TRANSACTION-
DATE DESCRIPTION

- T R A N S A C T I O N A N A L Y S I S / S U M M A R Y -

DATE 01/19/12
TIME 07:20
PAGE 0012

ACCOUNT 0185064 JACK J SMITH JR CHARITABLE APTV

- C A S H -
INCOME PRINCIPAL INVEST/UNITS

09-02-11 CREDIT SUISSE FIRST BOSTON LLC
08/30 SALE 310 SHS @ 66.7328
MISC .40 COMM 3.10
WATSON PHARMACEUTICAL

085 830 0355 41315-00007
09-02-11

20,683.67 14,416.58-
310.000-

09-09-11 GOLDMAN, SACHS & CO.
09/06 SALE 394 SHS @ 43.1856
MISC .33 COMM 15.76
COOPER INDUSTRIES PLC
SEDOL B40K911 ISIN IE00B40K9117

085 830 0005 41322-00001
09-09-11

16,999.04 18,610.45-
394.000-

09-09-11 INVESTMENT TECHNOLOGY GROUP
09/06 SALE 70 SHS @ 62.3201
MISC .08 COMM .70
NATIONAL OILWELL VARCO INC

085 830 0099 41322-00006
09-09-11

4,361.62 3,423.03-
70.000-

09-09-11 INVESTMENT TECHNOLOGY GROUP
09/06 SALE 70 SHS @ 70.103
MISC .09 COMM .70
JM SMUCKER CO/THE-NEW COM WI

085 830 0099 41322-00007
09-09-11

4,906.42 4,169.16-
70.000-

09-16-11 CITATION GROUP/EQUITY & MUNIS
09/13 SALE 1,465 SHS @ 13.6636
MISC .38 COMM 58.60
CORNING INC

085 830 9053 41329-00002
09-16-11

19,958.19 29,822.34-
1,465.000-

09-16-11 JP MORGAN SECURITIES INC
09/13 SALE 410 SHS @ 21.8622
MISC .17 COMM 16.40
EMC CORP

085 830 9211 41329-00003
09-16-11

8,946.93 7,524.67-
410.000-

09-30-11 INVESTMENT TECHNOLOGY GROUP
09/27 SALE 665 SHS @ 37.953
MISC .48 COMM 16.63
BCE INC
ISIN CA05534B7604 SEDOL B188TJ4

085 830 0099 41343-00001
09-30-11

25,221.64 19,447.19-
665.000-

09-30-11 CITATION GROUP/EQUITY & MUNIS
09/27 SALE 540 SHS @ 31.4434
MISC .33 COMM 21.60
DISNEY WALT CO

085 830 9053 41343-00003
09-30-11

16,957.51 23,113.66-
540.000-

TAX		DATES		GAIN / LOSS		FEDERAL		STATE	
CODE	OR-OFF SERIAL	SEQ LOT#	ACQUIRED	TRADE	UNITS	PROCEEDS	TAX COST	G/L	G/L
ASSET 963320106 (CONT'D)		TOTAL-SHORT							
ASSET 976657106 WISCONSIN ENERGY CORP									
830	885	40980-00067	00000	03/16/10	10/04/10				
830	885	40980-00067	00000	02/19/09	10/04/10				
TOTAL-SHORT									
TOTAL-SHORT									
TOTAL-LONG									
ASSET 98310W108 WYNDHAM WORLDWIDE CORP - W/I									
830	884	41133-00064	00000	10/05/10	03/01/11				
830	884	41171-00050	00000	10/05/10	04/06/11				
TOTAL-SHORT									
ASSET 983134107 WYNN RESORTS LTD									
830	884	40981-00006	00000	05/17/10	10/05/10				
TOTAL-SHORT									
LISTED SALES-TOTAL SHORT									
LONG									
CAPITAL GAIN DISTRIBUTIONS									
GRAND TOTAL-SHORT									
-LONG									
CARRYOVER (CURRENT)									

CAP. GAIN Dist. 345,839.54
15,298.13
361,137.67

REPORT: TMS-TX-23
BANK 21 PNC BANK (21)
REGION 70 OHIO
OFFICE 001 C & E

GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
ACCOUNT 0185064 JACK J SMITH JR CHARITABLE TRUST
FROM: 10/01/10 TO: 09/30/11

PAGE: 1
RUN DATE: 01/19/12

TAX CODE	OR-OFF	SERIAL	SEQ	LOT#	DATES	ACQUIRED	TRADE	UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L
ASSET G24140108 COOPER INDUSTRIES PLC												
SEDOL B40K911 ISIN IE00B40K9117												
830	885	40980-00001	00000	12/02/09	10/04/10			100.000	4,839.35	4,419.82	419.53 S	.00
830	885	41322-00001	00000	03/08/11	09/06/11			60.000	2,588.69	3,830.99	1,242.30-S	.00
830	885	41322-00001	00000	12/02/09	09/06/11			211.000	9,103.54	9,325.82	222.28-L	.00
830	885	41322-00001	00000	02/05/10	09/06/11			123.000	5,306.81	5,208.32	98.49 L	.00
TOTAL-SHORT									21,838.39	22,784.95	822.77-	.00
TOTAL-LONG										123.79-		.00
ASSET G2554F105 COVIDIEN PLC												
EXCH 03/18/11												
SEE G2554F113												
830	884	41133-00001	00000	02/14/11	03/01/11			120.000	6,171.48	6,103.82	67.66 S	.00
TOTAL-SHORT									6,171.48	6,103.82	67.66	.00
ASSET G2554F113 COVIDIEN PLC												
ISIN IE00B68SQD29 SEDOL B68SQD2												
830	884	41171-00001	00000	02/14/11	04/06/11			10.000	527.09	508.65	18.44 S	.00
TOTAL-SHORT									527.09	508.65	18.44	.00
ASSET G491BT108 INVESCO LTD												
ISIN BMG491BT1088 SEDOL B28XP76												
830	885	41210-00001	00000	03/11/11	05/17/11			950.000	23,058.43	24,500.21	1,441.78-S	.00
830	885	41210-00001	00000	04/21/11	05/17/11			40.000	970.88	992.40	21.52-S	.00
TOTAL-SHORT									24,029.31	25,492.61	1,463.30-	.00
ASSET G5876H105 MARVELL TECHNOLOGY GROUP LTD												
830	884	41133-00002	00000	01/08/10	03/01/11			180.000	3,281.38	3,841.13	559.75-L	.00
830	884	41140-00001	00000	01/08/10	03/08/11			808.000	12,989.16	17,242.39	4,253.23-L	.00
830	884	41140-00001	00000	03/16/10	03/08/11			125.000	2,009.46	2,553.54	544.08-S	.00
TOTAL-SHORT									18,280.00	23,637.06	544.08-	.00
TOTAL-LONG											4,812.98-	.00
ASSET G7945E105 SEADRILL LTD												
SEDOL B09RMQ1												
ISIN BMG7945E1057												
830	885	40980-00002	00000	09/29/10	10/04/10			170.000	4,853.55	4,876.96	23.41-S	.00

TAX CODE	OR-OFF	SERIAL	SEQ	LOT#	DATES	ACQUIRED	TRADE	G A I N / L O S S				I N F O R M A T I O N	
								UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L	
TOTAL-SHORT								170.000	4,853.55	4,876.96	23.41-	.00	
ASSET H0023R105 ACE LIMITED													
ISIN CH0044328745 SEDOL B3BQMF6													
830		885	41159-00001	00000	03/08/11	03/25/11		50.000	3,121.20	3,131.00	9.80-S	.00	
830		885	41159-00001	00000	10/21/10	03/25/11		265.000	16,542.39	16,024.92	517.47 S	.00	
TOTAL-SHORT								315.000	19,663.59	19,155.92	507.67	.00	
ASSET N22717107 CORE LABORATORIES N V													
830		884	40981-00001	00000	05/04/10	10/05/10		100.000	8,691.41	7,357.45	1,333.96 S	.00	
830		884	40981-00001	00000	06/04/10	10/05/10		170.000	14,775.41	11,610.79	3,164.62 S	.00	
830		884	41133-00003	00000	06/04/10	03/01/11		10.000	1,015.58	682.99	332.59 S	.00	
830		884	41133-00003	00000	03/16/10	03/01/11		30.000	3,046.74	2,009.39	1,037.35 S	.00	
830		884	41133-00003	00000	03/11/10	03/01/11		10.000	1,015.58	660.40	355.18 S	.00	
830		884	41172-00001	00000	03/11/10	04/07/11		50.000	4,999.06	3,302.00	1,697.06 L	.00	
830		884	41172-00001	00000	03/10/10	04/07/11		220.000	21,995.86	14,519.53	7,476.33 L	.00	
TOTAL-SHORT								590.000	55,539.64	40,142.55	6,223.70	.00	
TOTAL-LONG											9,173.39	.00	
ASSET 00206R102 AT&T INC													
830		885	40980-00004	00000	03/16/10	10/04/10		190.000	5,472.08	4,915.09	556.99 S	.00	
830		885	40980-00004	00000	04/26/05	10/04/10		146.825	4,228.62	3,564.10	664.52 L	.00	
830		885	40980-00004	00000	04/26/05	10/04/10		133.175	3,835.49	2,626.23	1,209.26 L	.00	
830		885	41145-00004	00000	03/08/11	03/11/11		270.000	7,659.55	7,716.57	57.02-S	.00	
830		885	41145-00004	00000	04/26/05	03/11/11		810.000	22,978.67	15,973.33	7,005.34 L	.00	
TOTAL-SHORT								1,550.000	44,174.41	34,795.32	499.97	.00	
TOTAL-LONG											8,879.12	.00	
ASSET 002824100 ABBOTT LABORATORIES INC													
830		884	41108-00002	00000	03/16/10	02/02/11		36.000	1,635.76	1,971.68	335.92-S	.00	
830		884	41108-00002	00000	11/24/09	02/02/11		113.000	5,134.46	6,136.28	1,001.82-L	.00	
830		884	41108-00002	00000	12/17/08	02/02/11		15.000	681.57	783.15	101.58-L	.00	
830		884	41108-00002	00000	10/22/09	02/02/11		214.000	9,723.67	11,144.76	1,421.09-L	.00	
830		884	41108-00002	00000	06/16/09	02/02/11		214.000	9,723.68	9,609.95	113.73 L	.00	

TAX		DATES		TRADE		UNITS	PROCEEDS	TAX COST	FEDERAL		STATE
CODE	OR-OFF SERIAL	SEQ LOT#	ACQUIRED						G/L	G/L	
ASSET 002824100 (CONT'D)						592.000	26,899.14	29,645.82	335.92-	2,410.76-	.00
TOTAL-SHORT											.00
TOTAL-LONG											.00
ASSET 018490102 ALLERGAN INC											
830	884	41133-00004	00000	03/16/10	03/01/11	30.000	2,204.06	1,886.40	317.66 S		.00
830	884	41133-00004	00000	09/29/03	03/01/11	30.000	2,204.05	1,181.25	1,022.80 L		.00
830	884	41140-00003	00000	09/29/03	03/08/11	229.000	16,439.92	9,016.87	7,423.05 L		.00
830	884	41140-00003	00000	07/15/04	03/08/11	50.000	3,589.50	1,900.50	1,689.00 L		.00
830	884	41140-00003	00000	12/17/08	03/08/11	15.000	1,076.85	552.45	524.40 L		.00
TOTAL-SHORT						354.000	25,514.38	14,537.47	317.66		.00
TOTAL-LONG									10,659.25		.00
ASSET 023135106 AMAZON COM INC											
830	884	41133-00005	00000	01/29/10	03/01/11	30.000	5,096.94	3,831.07	1,265.87 L		.00
830	884	41140-00004	00000	01/29/10	03/08/11	31.000	5,226.10	3,958.78	1,267.32 L		.00
830	884	41140-00004	00000	02/09/10	03/08/11	50.000	8,429.19	5,928.04	2,501.15 L		.00
830	884	41140-00004	00000	02/17/10	03/08/11	55.000	9,272.10	6,382.83	2,889.27 L		.00
TOTAL-SHORT						166.000	28,024.33	20,100.72	7,923.61		.00
ASSET 025816109 AMERICAN EXPRESS CO											
830	885	40980-00005	00000	03/16/10	10/04/10	85.000	3,305.70	3,467.07	161.37-S		.00
830	885	40980-00005	00000	05/07/09	10/04/10	75.000	2,916.79	1,972.76	944.03 L		.00
830	884	41133-00006	00000	07/09/10	03/01/11	90.000	3,899.62	3,814.55	85.07 S		.00
830	885	41145-00005	00000	03/08/11	03/11/11	90.000	3,949.33	4,089.58	140.25-S		.00
830	885	41145-00005	00000	05/07/09	03/11/11	550.000	24,134.80	14,466.87	9,667.93 L		.00
830	884	41171-00003	00000	07/09/10	04/06/11	10.000	463.59	423.84	39.75 S		.00
TOTAL-SHORT						900.000	38,669.83	28,234.67	176.80-		.00
TOTAL-LONG									10,611.96		.00
ASSET 030420103 AMERICAN WATER WORKS CO INC											
830	885	40980-00006	00000	09/29/10	10/04/10	290.000	6,783.21	6,762.54	20.67 S		.00
830	885	40980-00006	00000	08/25/10	10/04/10	20.000	467.81	455.10	12.71 S		.00

TAX CODE	OR-OFF ASSET	SERIAL	SEQ (CONT'D)	DATES LOT#	ACQUIRED	TRADE	G A I N / L O S S				I N F O R M A T I O N	
							UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L	
ASSET 030420103 (CONT'D)							310.000	7,251.02	7,217.64	33.38	.00	
TOTAL-SHORT												
ASSET 03073E105 AMERISOURCEBERGEN CORP							20.000	613.81	621.77	7.96-S	.00	
830	885	40980-00007	00000	05/03/10	10/04/10	90.000	2,762.15	2,557.80	204.35 S	.00		
830	885	40980-00007	00000	03/16/10	10/04/10	80.000	2,455.25	2,068.62	386.63 S	.00		
830	885	40980-00007	00000	01/07/10	10/04/10	200.000	6,353.72	6,204.89	148.83 S	.00		
830	884	41041-00001	00000	04/23/10	12/02/10	40.000	1,270.74	1,136.80	133.94 S	.00		
830	884	41041-00001	00000	03/16/10	12/02/10	90.000	3,387.53	2,557.80	829.73 S	.00		
830	884	41133-00007	00000	03/16/10	03/01/11	70.000	2,634.75	1,931.35	703.40 L	.00		
830	884	41133-00007	00000	02/04/10	03/01/11	10.000	404.89	275.91	128.98 L	.00		
830	884	41171-00004	00000	02/04/10	04/06/11	100.000	4,075.51	2,759.07	1,316.44 L	.00		
830	884	41223-00001	00000	02/04/10	05/27/11							
TOTAL-SHORT							700.000	23,958.35	20,114.01	1,695.52	.00	
TOTAL-LONG										2,148.82	.00	
ASSET 03076C106 AMERIPRISE FINANCIAL INC							170.000	8,124.30	7,396.36	727.94 S	.00	
830	885	40980-00008	00000	08/02/10	10/04/10	80.000	5,004.70	3,438.98	1,565.72 S	.00		
830	884	41133-00008	00000	08/20/10	03/01/11	10.000	614.99	429.87	185.12 S	.00		
830	884	41171-00005	00000	08/20/10	04/06/11	105.000	4,709.64	6,687.43	1,977.79-S	.00		
830	885	41301-00002	00000	03/08/11	08/16/11	560.000	25,118.10	24,364.48	753.62 L	.00		
830	885	41301-00002	00000	08/02/10	08/16/11	410.000	16,881.18	17,624.80	743.62-S	.00		
830	884	41305-00001	00000	08/20/10	08/18/11							
TOTAL-SHORT							1,335.000	60,452.91	59,941.92	242.63-	.00	
TOTAL-LONG										753.62	.00	
ASSET 031162100 AMGEN INC							80.000	4,393.52	4,337.07	56.45 S	.00	
830	885	40980-00009	00000	06/29/10	10/04/10	270.000	14,467.49	14,637.62	170.13-S	.00		
830	885	41145-00006	00000	06/29/10	03/11/11	50.000	2,679.16	2,633.50	45.66 S	.00		
830	885	41145-00006	00000	03/08/11	03/11/11							
TOTAL-SHORT							400.000	21,540.17	21,608.19	68.02-	.00	
ASSET 037411105 APACHE CORPORATION							50.000	4,853.42	3,862.49	990.93 L	.00	
830	885	40980-00010	00000	07/21/09	10/04/10							

TAX		DATES		G A I N / L O S S		I N F O R M A T I O N	
CODE	OR-OFF	SERIAL	SEQ LOT#	ACQUIRED	TRADE	FEDERAL	STATE
ASSET		037411105	(CONT'D)			G/L	G/L
TOTAL-LONG							
ASSET 037833100 APPLE INC							
830	884	41026-00002	00000	01/24/06	11/17/10	990.93	.00
830	884	41133-00009	00000	01/24/06	03/01/11		.00
830	884	41171-00006	00000	01/24/06	04/06/11		.00
TOTAL-LONG							.00
ASSET 039483102 ARCHER DANIELS MIDLAND CO							
830	885	41294-00001	00000	03/08/11	08/09/11	1,694.38-S	.00
830	885	41294-00001	00000	02/11/11	08/09/11	2,954.48-S	.00
830	885	41294-00001	00000	02/02/11	08/09/11	4,219.05-S	.00
TOTAL-SHORT							.00
ASSET 04314H204 ARTISAN FDS INC							
INTERNATIONAL FUND # 661							
831	000	41063-00001	00000	06/23/06	12/28/10	1,956.91-L	.00
TOTAL-LONG							.00
ASSET 053484101 AVALONBAY COMMUNITIES INC							
REIT							
830	885	40980-00011	00000	05/26/10	10/04/10	461.36 S	.00
TOTAL-SHORT							.00
ASSET 05534B760 BCE INC							
ISIN CA05534B7604 SEDOL B188TJ4							
830	885	40980-00012	00000	03/16/10	10/04/10	151.94 S	.00
830	885	40980-00012	00000	02/17/10	10/04/10	489.90 S	.00
830	885	41343-00001	00000	03/08/11	09/27/11	165.74 S	.00
830	885	41343-00001	00000	02/17/10	09/27/11	5,755.53 L	.00

TAX		DATES		G A I N / L O S S		I N F O R M A T I O N			
CODE	OR-OFF SERIAL	SEQ LOT#	ACQUIRED	TRADE	UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L
ASSET 055348760 (CONT'D)									
TOTAL-SHORT					835.000	30,770.36	24,207.25	807.58	.00
TOTAL-LONG								5,755.53	.00
ASSET 058498106 BALL CORPORATION									
830	884	41081-00002	00000	08/12/10	180.000	12,637.53	10,306.06	2,331.47 S	.00
830	884	41081-00002	00000	07/23/10	350.000	24,572.98	19,870.83	4,702.15 S	.00
TOTAL-SHORT					530.000	37,210.51	30,176.89	7,033.62	.00
ASSET 060505104 BANK OF AMERICA CORP									
830	885	40980-00013	00000	04/29/10	225.000	2,949.90	4,123.91	1,174.01-S	.00
830	885	40980-00013	00000	03/16/10	185.000	2,425.48	3,155.98	730.50-S	.00
830	885	40980-00013	00000	05/07/09	60.000	786.64	804.96	18.32-L	.00
TOTAL-SHORT					470.000	6,162.02	8,084.85	1,904.51-	.00
TOTAL-LONG								18.32-	.00
ASSET 068278308 BARON SMALL CAP FUND									
FUND #583									
831	000	41063-00002	00000	02/12/04	444.267	10,582.44	8,867.57	1,714.87 L	.00
831	000	41063-00002	00000	04/15/03	1,008.294	24,017.56	14,025.37	9,992.19 L	.00
TOTAL-SHORT					1,452.561	34,600.00	22,892.94	11,707.06	.00
TOTAL-LONG									.00
ASSET 081437105 BEMIS COMPANY									
830	885	40980-00014	00000	06/04/10	150.000	4,817.93	4,207.77	610.16 S	.00
830	885	41008-00001	00000	06/04/10	490.000	15,259.03	13,745.38	1,513.65 S	.00
TOTAL-SHORT					640.000	20,076.96	17,953.15	2,123.81	.00
TOTAL-LONG									.00
ASSET 091928747 BLACKROCK									
TOTAL RETURN PORTFOLIO II INSTL									
FUND 332 INSTITUTIONAL CLASS									
831	000	41266-00001	00000	09/14/10	11,566.772	108,264.99	110,000.00	1,735.01-S	.00
831	000	41266-00001	00000	06/16/06	1,018.698	9,535.01	9,453.52	81.49 L	.00
831	000	41339-00001	00000	06/16/06	3,164.558	30,000.00	29,367.10	632.90 L	.00

REPORT: TMS-TX-23
BANK 21 PNC BANK (21)
REGION 70 OHIO
OFFICE 001 C & E

GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
ACCOUNT 0185064 JACK J SMITH JR CHARITABLE TRUST
FROM: 10/01/10 TO: 09/30/11

PAGE: 7
RUN DATE: 01/19/12

TAX CODE OR-OFF SERIAL SEQ LOT# ACQUIRED TRADE
ASSET 091928747 (CONT'D)

G A I N / L O S S I N F O R M A T I O N

STATE G/L

FEDERAL G/L

TAX COST

PROCEEDS

UNITS

DATES
TOTAL-SHORT
TOTAL-LONG

1,735.01-
714.39

148,820.62

147,800.00

15,750.028

15,750.028

0.00

0.00

ASSET 091929109 BLACKROCK FUNDS

INTL OPPORTUNITIES PORTFOLIO
FUND 334 INSTITUTIONAL SHARES

831 000 41063-00003 00000 12/07/07 12/28/10
831 000 41063-00003 00000 03/16/10 12/28/10
831 000 41167-00001 00000 03/16/10 04/06/11

11,618.53
3,976.59
2,630.14

9,485.86
4,304.14
3,000.00

274.475
124.541
82.372

274.475
124.541
82.372

0.00

0.00

TOTAL-SHORT
TOTAL-LONG

327.55
1,762.81-

18,225.26

16,790.00

481.388

481.388

0.00

0.00

ASSET 091937748 BLACKROCK INFLATION PROTECTED
BD PORTFOLIO

INST CLASS FD #360
831 000 41266-00002 00000 12/23/10 07/14/11
831 000 41266-00002 00000 12/23/09 07/14/11
831 000 41266-00002 00000 06/18/09 07/14/11

275.78
11.78
37,000.00

285.17
12.25
40,856.44

25.394
1.091
3,638.151

25.394
1.091
3,638.151

0.00

0.00

TOTAL-SHORT
TOTAL-LONG

9.39 S
47 L
3,856.44 L

37,287.56

41,153.86

3,664.636

3,664.636

0.00

0.00

ASSET 09251M504 BLACKROCK FUNDS
EQUITY DIVIDEND INSTITUTIONAL
CLASS FUND 383

831 000 41167-00002 00000 12/28/10 04/06/11
831 000 41167-00002 00000 10/13/10 04/06/11

50,000.00
4,856.54

53,933.86
5,466.14

2,850.627
288.908

2,850.627
288.908

0.00

0.00

TOTAL-SHORT

4,543.46

54,856.54

59,400.00

3,139.535

3,139.535

0.00

ASSET 099724106 BORG WARNER INC.

830 884 41133-00010 00000 12/03/10 03/01/11

566.11 S

4,548.51

60.000

60.000

0.00

0.00

TOTAL-SHORT

566.11

3,982.40

4,548.51

60.000

60.000

0.00

0.00

ASSET 110122108 BRISTOL MYERS SQUIBB CO

830 885 40980-00015 00000 03/16/10 10/04/10
830 885 40980-00015 00000 12/17/08 10/04/10
830 885 40980-00015 00000 10/28/08 10/04/10

60.04 S
161.99 L
626.55 L

2,001.72
1,067.59
2,268.62

75.000
40.000
85.000

75.000
40.000
85.000

0.00

0.00

REPORT: TMS-TX-23
BANK 21 PNC BANK (21)
REGION 70 OHIO
OFFICE 001 C & E

GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
ACCOUNT 0185064 JACK J SMITH JR CHARITABLE TRUST
FROM: 10/01/10 TO: 09/30/11

PAGE: 8
RUN DATE: 01/19/12

TAX		DATES			G A I N / L O S S		I N F O R M A T I O N			
CODE	OR-OFF	SERIAL	SEQ LOT#	ACQUIRED	TRADE	UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L
ASSET 110122108 (CONT'D)										
TOTAL-SHORT										
TOTAL-LONG										
ASSET 111320107 BROADCOM CORP										
830	884	41118-00002	00000	03/16/10	02/14/11	45.000	1,943.45	1,508.33	435.12 S	.00
830	884	41118-00002	00000	05/29/09	02/14/11	643.000	27,769.74	16,285.71	11,484.03 L	.00
TOTAL-SHORT										
TOTAL-LONG										
ASSET 126408103 CSX CORP										
830	885	40980-00016	00000	08/04/10	10/04/10	90.000	4,903.12	4,865.81	37.31 S	.00
830	884	41133-00011	00000	01/14/11	03/01/11	80.000	5,851.08	5,532.45	318.63 S	.00
830	884	41133-00011	00000	08/04/10	03/01/11	30.000	2,194.16	1,621.94	572.22 S	.00
830	884	41171-00007	00000	08/04/10	04/06/11	10.000	777.58	540.65	236.93 S	.00
TOTAL-SHORT										
TOTAL-LONG										
ASSET 14040H105 CAPITAL ONE FINANCIAL CORP										
830	885	40980-00017	00000	04/23/10	10/04/10	180.000	6,926.43	8,402.22	1,475.79-S	.00
TOTAL-SHORT										
ASSET 149123101 CATERPILLAR INC										
830	884	41133-00012	00000	07/16/10	03/01/11	80.000	8,064.64	5,161.87	2,902.77 S	.00
830	884	41171-00008	00000	07/16/10	04/06/11	10.000	1,109.78	645.23	464.55 S	.00
830	884	41304-00003	00000	07/16/10	08/17/11	80.000	7,039.41	5,161.88	1,877.53 L	.00
830	884	41304-00003	00000	07/06/10	08/17/11	65.000	5,719.52	3,918.49	1,801.03 L	.00
830	884	41306-00002	00000	07/06/10	08/19/11	275.000	22,383.03	16,578.24	5,804.79 L	.00
TOTAL-SHORT										
TOTAL-LONG										
ASSET 150870103 CELANESE CORP-SERIES A										
830	884	41133-00013	00000	05/28/09	03/01/11	120.000	4,948.98	2,339.16	2,609.82 L	.00
830	884	41171-00009	00000	04/04/11	04/06/11	10.000	462.99	455.71	7.28 S	.00
830	884	41301-00004	00000	04/04/11	08/16/11	110.000	4,838.21	5,012.86	174.65-S	.00
830	884	41301-00004	00000	05/28/09	08/16/11	170.000	7,477.24	3,313.81	4,163.43 L	.00

REPORT: TMS-TX-23
BANK 21 PNC BANK (21)
REGION 70 OHIO
OFFICE 001 C & E

GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
ACCOUNT 0185064 JACK J SMITH JR CHARITABLE TRUST
FROM: 10/01/10 TO: 09/30/11

PAGE: 9
RUN DATE: 01/19/12

TAX CODE	OR-OFF ASSET	SERIAL	SEQ LOT#	DATES		ACQUIRED	TRADE	G A I N / L O S S				I N F O R M A T I O N	
				(CONT'D)				UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L	
ASSET 150870103								410.000	17,727.42	11,121.54	167.37-	.00	
TOTAL-SHORT											6,773.25	.00	
TOTAL-LONG													
ASSET 151020104 CELGENE CORP								60.000	3,191.34	3,419.37	228.03-L	.00	
830	884	41133-00014	00000	07/31/09	03/01/11	10.000	557.09	569.89	12.80-L	.00			
830	884	41171-00010	00000	07/31/09	04/06/11								
TOTAL-LONG								70.000	3,748.43	3,989.26	240.83-	.00	
ASSET 156700106 CENTURYLINK INC								80.000	3,151.21	2,787.92	363.29 S	.00	
830	885	40980-00018	00000	03/16/10	10/04/10	70.000	2,757.31	2,351.62	405.69 S	.00			
830	885	40980-00018	00000	02/05/10	10/04/10	85.000	2,850.39	3,459.49	609.10-S	.00			
830	885	41297-00001	00000	03/08/11	08/10/11	87.000	2,917.46	2,922.72	5.26-L	.00			
830	885	41297-00001	00000	02/05/10	08/10/11	432.000	14,486.72	13,587.40	899.32 L	.00			
830	885	41297-00001	00000	09/08/09	08/10/11								
TOTAL-SHORT								754.000	26,163.09	25,109.15	159.88	.00	
TOTAL-LONG											894.06	.00	
ASSET 166764100 CHEVRON CORPORATION								120.000	9,740.23	8,884.68	855.55 S	.00	
830	885	40980-00019	00000	03/16/10	10/04/10	140.000	11,363.61	9,777.43	1,586.18 L	.00			
830	885	40980-00019	00000	11/13/08	10/04/10								
TOTAL-SHORT								260.000	21,103.84	18,662.11	855.55	.00	
TOTAL-LONG											1,586.18	.00	
ASSET 169656105 CHIPOTLE MEXICAN GRIL CL A								20.000	5,037.64	2,295.45	2,742.19 S	.00	
830	884	41041-00002	00000	03/16/10	12/02/10	50.000	11,655.00	5,738.64	5,916.36 S	.00			
830	884	41042-00003	00000	03/16/10	12/03/10	20.000	4,779.90	2,295.45	2,484.45 S	.00			
830	884	41133-00015	00000	03/16/10	03/01/11	10.000	3,024.77	1,147.73	1,877.04 L	.00			
830	884	41252-00001	00000	03/16/10	06/28/11								
TOTAL-SHORT								100.000	24,497.31	11,477.27	11,143.00	.00	
TOTAL-LONG											1,877.04	.00	
ASSET 17275R102 CISCO SYSTEMS INC								583.000	11,361.25	12,143.89	782.64-L	.00	
830	884	41026-00004	00000	03/01/06	11/17/10	657.000	12,803.34	11,477.79	1,325.55 L	.00			
830	884	41026-00004	00000	04/26/05	11/17/10	200.000	3,709.93	3,494.00	215.93 L	.00			
830	884	41133-00016	00000	04/26/05	03/01/11	20.000	362.79	349.40	13.39 L	.00			
830	884	41171-00011	00000	04/26/05	04/06/11	883.000	13,237.95	15,426.01	2,188.06-L	.00			
830	884	41252-00002	00000	04/26/05	06/28/11								

REPORT: TMS-TX-23
BANK 21 PNC BANK (21)
REGION 70 OHIO
OFFICE 001 C & E

GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
ACCOUNT 0185064 JACK J SMITH JR CHARITABLE TRUST
FROM: 10/01/10 TO: 09/30/11

PAGE: 10
RUN DATE: 01/19/12

TAX				G A I N / L O S S				I N F O R M A T I O N			
CODE	OR-OFF	SERIAL	SEQ LOT#	ACQUIRED	TRADE	UNITS	PROCEEDS	TAX COST	FEDERAL	G/L	STATE
830	884	41252-00002	00000	12/27/05	06/28/11	75.000	1,124.40	1,305.00	180.60-L	.00	.00
830	884	41252-00002	00000	12/17/08	06/28/11	85.000	1,274.32	1,445.85	171.53-L	.00	.00
TOTAL-LONG						2,503.000	43,873.98	45,641.94	1,767.96-	.00	.00
ASSET 172967101 CITIGROUP INC											
REV SPLIT 05/06/11											
SEE 172967424											
830	885	40980-00020	00000	04/23/10	10/04/10	2,660.000	10,653.12	12,989.85	2,336.73-S	.00	.00
TOTAL-SHORT						2,660.000	10,653.12	12,989.85	2,336.73-	.00	.00
ASSET 172967424 CITIGROUP INC											
833	885	41216-00001	00000	03/10/10	05/26/11	.500	20.18	19.85	.33 L	.00	.00
TOTAL-LONG						.500	20.18	19.85	.33	.00	.00
ASSET 189754104 COACH INC											
830	884	41133-00017	00000	03/16/10	03/01/11	60.000	3,226.14	2,276.94	949.20 S	.00	.00
830	884	41133-00017	00000	02/17/10	03/01/11	60.000	3,226.13	2,161.05	1,065.08 L	.00	.00
830	884	41147-00001	00000	02/17/10	03/15/11	115.000	5,919.61	4,142.00	1,777.61 L	.00	.00
830	884	41147-00001	00000	07/13/09	03/15/11	125.000	6,434.35	2,971.91	3,462.44 L	.00	.00
830	884	41171-00012	00000	07/13/09	04/06/11	10.000	523.79	237.75	286.04 L	.00	.00
830	884	41192-00002	00000	07/13/09	04/27/11	393.000	22,758.74	9,343.70	13,415.04 L	.00	.00
TOTAL-SHORT						763.000	42,088.76	21,133.35	949.20	.00	.00
TOTAL-LONG									20,006.21	.00	.00
ASSET 191216100 COCA COLA CO											
830	885	40980-00021	00000	03/16/10	10/04/10	30.000	1,762.48	1,613.28	149.20 S	.00	.00
830	885	40980-00021	00000	06/29/10	10/04/10	120.000	7,049.90	6,040.50	1,009.40 S	.00	.00
830	884	41133-00018	00000	08/02/10	03/01/11	130.000	8,457.63	7,323.66	1,133.97 S	.00	.00
830	884	41171-00013	00000	08/02/10	04/06/11	10.000	677.28	563.36	113.92 S	.00	.00
830	885	41252-00003	00000	03/08/11	06/28/11	115.000	7,577.62	7,558.93	18.69 S	.00	.00
830	885	41252-00003	00000	02/11/11	06/28/11	45.000	2,965.15	2,854.39	110.76 S	.00	.00
830	885	41252-00003	00000	06/29/10	06/28/11	150.000	9,883.85	7,550.62	2,333.23 S	.00	.00
TOTAL-SHORT						600.000	38,373.91	33,504.74	4,869.17	.00	.00
ASSET 20030N101 COMCAST CORPORATION CL A											
830	885	40980-00022	00000	03/16/10	10/04/10	190.000	3,338.62	3,330.34	8.28 S	.00	.00
830	885	40980-00022	00000	01/08/10	10/04/10	200.000	3,514.34	3,390.72	123.62 S	.00	.00

REPORT: TMS-TX-23
BANK 21 PNC BANK (21)
REGION 70 OHIO
OFFICE 001 C & E

GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
ACCOUNT 0185064 JACK J SMITH JR CHARITABLE TRUST
FROM: 10/01/10 TO: 09/30/11

PAGE: 11
RUN DATE: 01/19/12

TAX CODE	OR-OFF SERIAL	SEQ LOT#	D A T E S (CONT'D)	ACQUIRED	T R A D E	G A I N / L O S S I N F O R M A T I O N				
						UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L
ASSET 20030N101 (CONT'D)										
TOTAL-SHORT						390.000	6,852.96	6,721.06	131.90	.00
ASSET 200340107 COMERICA INC										
830	885	40980-00023	00000	04/23/10	10/04/10	120.000	4,442.42	5,305.94	863.52-S	.00
830	885	40999-00002	00000	04/23/10	10/21/10	190.000	6,741.54	8,401.08	1,659.54-S	.00
830	885	40999-00002	00000	04/23/10	10/21/10	220.000	7,806.00	9,719.53	1,913.53-S	.00
TOTAL-SHORT						530.000	18,989.96	23,426.55	4,436.59-	.00
ASSET 219350105 CORNING INC										
830	885	40980-00024	00000	08/10/10	10/04/10	250.000	4,457.62	4,634.78	177.16-S	.00
830	884	41133-00019	00000	03/16/10	03/01/11	110.000	2,469.45	2,028.33	441.12 S	.00
830	884	41133-00019	00000	05/17/10	03/01/11	190.000	4,265.41	3,440.21	825.20 S	.00
830	884	41171-00014	00000	05/17/10	04/06/11	20.000	408.19	362.13	46.06 S	.00
830	884	41252-00004	00000	05/17/10	06/28/11	150.000	2,632.42	2,715.96	83.54-L	.00
830	884	41252-00004	00000	05/15/09	06/28/11	1,369.000	24,025.21	19,147.93	4,877.28 L	.00
830	885	41329-00002	00000	02/02/11	09/13/11	335.000	4,563.82	7,665.00	3,101.18-S	.00
830	885	41329-00002	00000	03/08/11	09/13/11	280.000	3,814.53	6,380.59	2,566.06-S	.00
830	885	41329-00002	00000	04/21/11	09/13/11	10.000	136.23	203.90	67.67-S	.00
830	885	41329-00002	00000	08/10/10	09/13/11	840.000	11,443.61	15,572.85	4,129.24-L	.00
TOTAL-SHORT						3,554.000	58,216.49	62,151.68	4,599.69-	.00
TOTAL-LONG									664.50	.00
ASSET 225447101 CREE INC										
830	884	40999-00001	00000	03/16/10	10/21/10	290.000	14,119.83	20,514.66	6,394.83-S	.00
830	884	40999-00001	00000	03/16/10	10/21/10	30.000	1,460.67	2,122.20	661.53-S	.00
TOTAL-SHORT						320.000	15,580.50	22,636.86	7,056.36-	.00
ASSET 231021106 CUMMINS INC										
830	885	40980-00025	00000	10/23/09	10/04/10	50.000	4,489.92	2,436.65	2,053.27 S	.00
830	884	41133-00020	00000	03/16/10	03/01/11	5.000	497.89	301.95	195.94 S	.00
830	884	41133-00020	00000	03/02/10	03/01/11	55.000	5,476.79	3,258.89	2,217.90 S	.00
TOTAL-SHORT						110.000	10,464.60	5,997.49	4,467.11	.00
ASSET 254687106 DISNEY WALT CO										
830	885	41343-00003	00000	03/11/11	09/27/11	520.000	16,329.45	22,268.06	5,938.61-S	.00
830	885	41343-00003	00000	04/21/11	09/27/11	20.000	628.06	845.60	217.54-S	.00

TAX		DATES		G A I N / L O S S		I N F O R M A T I O N			
CODE	OR-OFF SERIAL	SEQ LOT#	ACQUIRED	TRADE	UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L
ASSET 254687106 (CONT'D)									
TOTAL-SHORT					540.000	16,957.51	23,113.66	6,156.15-	.00
ASSET 256210105 DODGE & COX INCOME FUND									
831	000	41266-00003	00000	10/13/10 07/14/11	4,732.541	63,700.00	63,652.67	47.33 S	.00
TOTAL-SHORT					4,732.541	63,700.00	63,652.67	47.33	.00
ASSET 25659T107 DOLBY LABORATORIES INC									
CLASS A									
830	884	41090-00002	00000	01/10/08 01/14/11	193.000	11,960.14	9,946.21	2,013.93 L	.00
830	884	41091-00001	00000	01/10/08 01/18/11	11.000	660.36	566.88	93.48 L	.00
830	884	41091-00001	00000	12/17/08 01/18/11	20.000	1,200.66	645.80	554.86 L	.00
830	884	41091-00001	00000	02/05/09 01/18/11	157.000	9,425.20	4,742.99	4,682.21 L	.00
TOTAL-LONG					381.000	23,246.36	15,901.88	7,344.48	.00
ASSET 256746108 DOLLAR TREE INC									
830	885	40980-00026	00000	11/13/08 10/04/10	110.000	5,293.33	2,809.06	2,484.27 L	.00
TOTAL-LONG					110.000	5,293.33	2,809.06	2,484.27	.00
ASSET 260003108 DOVER CORP									
830	884	41133-00021	00000	01/14/11 03/01/11	90.000	5,780.58	5,328.57	452.01 S	.00
830	884	41171-00015	00000	01/14/11 04/06/11	10.000	664.29	592.07	72.22 S	.00
TOTAL-SHORT					100.000	6,444.87	5,920.64	524.23	.00
ASSET 263534109 DUPONT E I DE NEMOURS & CO									
830	885	40980-00027	00000	08/04/10 10/04/10	110.000	4,873.00	4,641.31	231.69 S	.00
TOTAL-SHORT					110.000	4,873.00	4,641.31	231.69	.00
ASSET 268648102 EMC CORP									
830	885	40980-00028	00000	05/17/10 10/04/10	335.000	6,673.28	6,223.63	449.65 S	.00
830	885	40980-00028	00000	09/01/09 10/04/10	25.000	498.01	393.00	105.01 L	.00
830	884	41133-00022	00000	03/16/10 03/01/11	140.000	3,735.46	2,634.80	1,100.66 S	.00
830	884	41133-00022	00000	01/29/10 03/01/11	60.000	1,600.91	1,004.79	596.12 L	.00
830	884	41171-00016	00000	03/08/11 04/06/11	20.000	521.59	537.02	15.43-S	.00
830	885	41329-00003	00000	04/21/11 09/13/11	10.000	218.22	285.60	67.38-S	.00
830	885	41329-00003	00000	03/08/11 09/13/11	225.000	4,909.90	6,065.95	1,156.05-S	.00
830	885	41329-00003	00000	09/01/09 09/13/11	175.000	3,818.81	2,750.98	1,067.83 L	.00

REPORT: TMS-TX-23
BANK 21 PNC BANK (21)
REGION 70 OHIO
OFFICE 001 C & E

GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
ACCOUNT 0185064 JACK J SMITH JR CHARITABLE TRUST
FROM: 10/01/10 TO: 09/30/11

PAGE: 13
RUN DATE: 01/19/12

TAX CODE	OR-OFF	SERIAL	SEQ	LOT#	DATES		ACQUIRED	TRADE	G A I N / L O S S				I N F O R M A T I O N	
					UNITS	PROCEEDS			TAX COST	FEDERAL G/L	STATE G/L			
ASSET 277432100 EASTMAN CHEM CO														
830		884	41133-00023	00000	09/14/10	03/01/11		70.000	6,439.17	4,695.41	1,743.76 S	.00		
830		884	41171-00017	00000	04/04/11	04/06/11		10.000	996.78	1,009.50	12.72-S	.00		
TOTAL-SHORT										80.000	7,435.95	5,704.91	1,731.04	.00
TOTAL-LONG														
ASSET 278058102 EATON CORP														
830		885	40980-00029	00000	03/19/10	10/04/10		80.000	6,512.69	5,956.37	556.32 S	.00		
830		884	41133-00024	00000	01/14/11	03/01/11		130.000	7,001.28	6,768.29	232.99 S	.00		
830		884	41171-00018	00000	01/14/11	04/06/11		10.000	550.99	520.64	30.35 S	.00		
830		885	41294-00002	00000	03/08/11	08/09/11		85.000	3,323.54	4,582.33	1,258.79-S	.00		
830		885	41294-00002	00000	03/19/10	08/09/11		75.000	2,932.53	2,792.05	140.48 L	.00		
TOTAL-SHORT										380.000	20,321.03	20,619.68	439.13-	.00
TOTAL-LONG												140.48		.00
ASSET 278642103 EBAY INC														
830		884	41133-00025	00000	02/14/11	03/01/11		140.000	4,584.91	4,754.37	169.46-S	.00		
830		884	41171-00019	00000	02/14/11	04/06/11		10.000	318.39	339.60	21.21-S	.00		
TOTAL-SHORT										150.000	4,903.30	5,093.97	190.67-	.00
TOTAL-LONG														
ASSET 291011104 EMERSON ELECTRIC CO														
830		884	41090-00005	00000	04/23/10	01/14/11		120.000	6,961.91	6,299.53	662.38 S	.00		
830		884	41090-00005	00000	03/16/10	01/14/11		90.000	5,221.43	4,373.01	848.42 S	.00		
830		884	41090-00005	00000	03/02/10	01/14/11		95.000	5,511.51	4,536.62	974.89 S	.00		
830		884	41090-00005	00000	02/04/10	01/14/11		348.000	20,189.55	15,778.25	4,411.30 S	.00		
TOTAL-SHORT										653.000	37,884.40	30,987.41	6,896.99	.00
TOTAL-LONG														
ASSET 29266R108 ENERGIZER HLDGS INC														
830		885	40980-00030	00000	08/12/10	10/04/10		70.000	4,804.72	4,365.68	439.04 S	.00		
830		884	41108-00003	00000	08/20/10	02/02/11		104.000	6,953.99	6,741.45	212.54 S	.00		
830		884	41108-00003	00000	08/23/10	02/02/11		79.000	5,282.36	5,097.80	184.56 S	.00		
830		884	41108-00003	00000	08/20/10	02/02/11		147.000	9,829.19	9,447.03	382.16 S	.00		
830		885	41108-00004	00000	08/12/10	02/02/11		250.000	16,453.65	15,591.73	861.92 S	.00		

REPORT: TMS-TX-23
BANK 21 PNC BANK (21)
REGION 70 OHIO
OFFICE 001 C & E

GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY

ACCOUNT 0185064 JACK J SMITH JR CHARITABLE TRUST
FROM: 10/01/10 TO: 09/30/11

PAGE: 14
RUN DATE: 01/19/12

TAX CODE OR-OFF SERIAL SEQ LOT# ACQUIRED TRADE
ASSET 29266R108 (CONT'D)

DATES
TOTAL-SHORT

ASSET 293792107 ENTERPRISE PRODS PARTNERS L P
COM UNIT

830	885	40980-00031	00000	09/29/10	10/04/10
830	884	41041-00003	00000	07/06/10	12/02/10
830	885	41150-00001	00000	03/08/11	03/16/11
830	885	41150-00001	00000	09/29/10	03/16/11
830	885	41150-00001	00000	05/10/10	03/16/11

TOTAL-SHORT

ASSET 29476L107 EQUITY RESIDENTIAL
SH BEN INT REIT

830	885	40980-00032	00000	04/29/10	10/04/10
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TOTAL-SHORT

ASSET 30231G102 EXXON MOBIL CORP

830	885	40980-00033	00000	04/26/05	10/04/10
830	884	41133-00026	00000	07/02/07	03/01/11
830	884	41171-00020	00000	03/08/11	04/06/11
830	884	41269-00002	00000	03/08/11	07/13/11

TOTAL-SHORT
TOTAL-LONG

ASSET 30249U101 FMC TECHNOLOGIES INC

830	884	41133-00027	00000	07/23/10	03/01/11
830	884	41171-00021	00000	07/23/10	04/06/11
830	884	41213-00003	00000	07/23/10	05/18/11
830	884	41213-00003	00000	07/06/10	05/18/11

TOTAL-SHORT

ASSET 315807552 FIDELITY ADVISOR FLOATING HIGH
INCOME FUND I
FUND #279

831	000	41266-00004	00000	04/21/11	07/14/11
831	000	41339-00002	00000	04/21/11	09/23/11
831	000	41339-00002	00000	03/24/11	09/23/11

G A I N / L O S S I N F O R M A T I O N
FEDERAL G/L STATE G/L
43,323.91 41,243.69 2,080.22 .00

6,414.42 6,328.91 85.51 S .00
22,847.74 19,637.79 3,209.95 S .00
3,789.11 4,046.98 257.87-S .00
398.85 395.56 3.29 S .00
21,936.93 18,634.23 3,302.70 S .00

55,387.05 49,043.47 6,343.58 .00

8,630.93 8,462.39 168.54 S .00
8,630.93 8,462.39 168.54 .00

6,207.97 6,004.00 203.97 L .00
21,262.08 21,121.97 140.11 L .00
1,705.96 1,692.67 13.29 S .00
4,149.52 4,231.66 82.14-S .00

33,325.53 33,050.30 68.85- .00
33,325.53 33,050.30 344.08 .00

7,442.25 5,066.00 2,376.25 S .00
477.69 316.63 161.06 S .00
5,501.49 4,116.12 1,385.37 S .00
30,893.01 20,619.25 10,273.76 S .00

44,314.44 30,118.00 14,196.44 .00

22,200.00 22,381.04 181.04-S .00
36,021.39 37,618.96 1,597.57-S .00
24,035.53 25,000.00 964.47-S .00

REPORT: TMS-TX-23
BANK 21 PNC BANK (21)
REGION 70 OHIO
OFFICE 001 C & E

GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
ACCOUNT 0185064 JACK J SMITH JR CHARITABLE TRUST
FROM: 10/01/10 TO: 09/30/11

PAGE: 15
RUN DATE: 01/19/12

TAX CODE OR-OFF SERIAL SEQ LOT# ACQUIRED TRADE
831 000 41339-00002 00000 03/01/10 09/23/11

UNIT S
11,142.816
19,747.621
PROCEEDS
105,522.47
187,779.39
TAX COST
105,522.47
190,522.47
FEDERAL G/L
.00 L
2,743.08-

STATE G/L
.00
.00

TOTAL-SHORT

ASSET 345370860 FORD MOTOR COMPANY

830 885 40980-00034 00000 08/02/10 10/04/10
830 884 41042-00004 00000 07/16/10 12/03/10
830 884 41108-00005 00000 07/16/10 02/02/11
830 884 41108-00005 00000 07/06/10 02/02/11
830 885 41108-00006 00000 08/02/10 02/02/11
830 885 41108-00006 00000 12/01/09 02/02/11

540.000
720.000
180.000
1,775.000
60.000
1,767.000
6,911.88
12,024.01
2,784.47
27,458.02
928.16
27,334.26
7,109.75
8,235.65
2,058.91
18,181.15
789.97
15,904.76
52,280.19
13,731.11
11,429.50

TOTAL-SHORT
TOTAL-LONG

ASSET 369604103 GENERAL ELECTRIC CO

830 885 40980-00035 00000 05/03/10 10/04/10
830 885 40980-00035 00000 03/16/10 10/04/10

680.000
310.000
990.000
10,886.65
4,963.03
15,849.68
13,112.58
5,489.97
18,602.55
2,225.93-S
526.94-S
2,752.87-

TOTAL-SHORT

ASSET 370334104 GENERAL MILLS INC

830 885 40980-00036 00000 06/28/10 10/04/10
830 885 40980-00036 00000 03/16/10 10/04/10
830 885 41210-00004 00000 03/08/11 05/17/11
830 885 41210-00004 00000 03/16/10 05/17/11
830 885 41210-00004 00000 11/06/09 05/17/11

160.000
20.000
95.000
50.000
534.000
5,908.73
738.59
3,779.46
1,989.19
21,244.51
6,003.86
732.09
3,537.78
1,830.23
17,667.39
95.13-S
6.50 S
241.68 S
158.96 L
3,577.12 L
153.05
3,736.08

TOTAL-SHORT
TOTAL-LONG

ASSET 375558103 GILEAD SCIENCES INC

830 884 41133-00028 00000 12/02/10 03/01/11
830 884 41171-00022 00000 03/08/11 04/06/11

110.000
10.000
120.000
4,274.52
418.39
4,692.91
4,128.75
412.37
4,541.12
145.77 S
6.02 S
151.79

TOTAL-SHORT

ASSET 38141G104 GOLDMAN SACHS GROUP INC

830 885 40980-00037 00000 10/12/04 10/04/10

40.000
5,855.34
3,747.20
2,108.14 L

TAX				D A T E S				G A I N / L O S S				F E D E R A L		S T A T E	
CODE	OR-OFF	SERIAL	SEQ LOT#	ACQUIRED	TRADE		UNITS	PROCEEDS	TAX COST		G/L	G/L			
ASSET 38141G104 (CONT'D)															
TOTAL-LONG															
ASSET 38142Y401 GOLDMAN SACHS GRTH OPPOR															
INSTIT CLASS															
FD #1132															
831	000	41063-00006	00000	12/09/10	12/28/10		106.849	2,603.91	2,565.45		38.46 S	.00			
831	000	41063-00006	00000	09/02/10	12/28/10		1,604.271	39,096.09	33,882.20		5,213.89 S	.00			
831	000	41167-00003	00000	03/24/11	04/06/11		1,576.665	41,024.82	40,000.00		1,024.82 S	.00			
831	000	41167-00003	00000	09/02/10	04/06/11		325.718	8,475.18	6,879.16		1,596.02 S	.00			
TOTAL-SHORT															
ASSET 38259P508 GOOGLE INC-CL A															
830	884	41133-00029	00000	10/21/10	03/01/11		20.000	12,087.16	12,195.81		108.65-S	.00			
830	884	41252-00007	00000	10/21/10	06/28/11		10.000	4,937.21	6,097.91		1,160.70-S	.00			
830	884	41252-00007	00000	11/17/10	06/28/11		20.000	9,874.41	11,691.03		1,816.62-S	.00			
TOTAL-SHORT															
ASSET 411511306 HARBOR INTERNATIONAL FUND															
CLASS INS															
831	000	41167-00004	00000	10/27/09	04/06/11		283.150	18,300.00	15,159.85		3,140.15 L	.00			
TOTAL-LONG															
ASSET 427866108 THE HERSHEY COMPANY															
830	884	41133-00030	00000	06/08/10	03/01/11		120.000	6,283.99	6,009.49		274.50 S	.00			
830	884	41171-00023	00000	06/08/10	04/06/11		10.000	556.59	500.79		55.80 S	.00			
TOTAL-SHORT															
ASSET 42809H107 HESS CORPORATION															
830	885	40977-00004	00000	03/16/10	09/29/10		60.000	3,525.70	3,677.40		151.70-S	.00			
830	885	40977-00004	00000	03/19/10	09/29/10		70.000	4,113.32	4,258.98		145.66-S	.00			
830	885	40977-00004	00000	08/13/09	09/29/10		560.000	32,906.56	29,354.80		3,551.76 L	.00			
830	885	41140-00039	00000	08/13/09	03/08/11		7.000	587.49	366.94		220.55 L	.00			
830	884	41269-00003	00000	03/08/11	07/13/11		290.000	20,916.43	24,158.68		3,242.25-S	.00			
830	885	41280-00002	00000	04/21/11	07/26/11		10.000	734.95	806.60		71.65-S	.00			
830	885	41280-00002	00000	03/16/11	07/26/11		350.000	25,723.07	27,509.30		1,786.23-S	.00			

TAX		D A T E S		G A I N / L O S S		F E D E R A L		S T A T E	
CODE	OR-OFF SERIAL	SEQ LOT#	ACQUIRED	TRADE	UNITS	PROCEEDS	TAX COST	G/L	G/L
ASSET 42809H107 (CONT'D)									
TOTAL-SHORT					1,347.000	88,507.52	90,132.70	5,397.49-	.00
TOTAL-LONG								3,772.31	.00
ASSET 428236103 HEWLETT-PACKARD CO									
830	884	41133-00031	00000	03/16/10	03/01/11	1,506.37	1,835.01	328.64-S	.00
830	884	41133-00031	00000	03/02/10	03/01/11	2,797.54	3,335.67	538.13-S	.00
830	884	41171-00024	00000	03/02/10	04/06/11	412.44	513.18	100.74-L	.00
830	884	41213-00004	00000	03/02/10	05/18/11	9,764.28	13,855.86	4,091.58-L	.00
830	884	41213-00004	00000	02/14/11	05/18/11	7,956.08	10,613.79	2,657.71-S	.00
TOTAL-SHORT					600.000	22,436.71	30,153.51	3,524.48-	.00
TOTAL-LONG								4,192.32-	.00
ASSET 437076102 HOME DEPOT INC									
830	884	41171-00025	00000	03/15/11	04/06/11	375.69	363.80	11.89 S	.00
830	884	41234-00001	00000	03/15/11	06/08/11	19,797.92	21,100.16	1,302.24-S	.00
TOTAL-SHORT					590.000	20,173.61	21,463.96	1,290.35-	.00
ASSET 45666Q102 INFORMATICA CORP									
830	884	41133-00032	00000	09/03/10	03/01/11	5,499.77	4,150.68	1,349.09 S	.00
830	884	41171-00026	00000	09/03/10	04/06/11	514.09	345.89	168.20 S	.00
TOTAL-SHORT					130.000	6,013.86	4,496.57	1,517.29	.00
ASSET 458140100 INTEL CORP									
830	885	40980-00038	00000	04/29/10	10/04/10	7,883.85	9,844.59	1,960.74-S	.00
830	885	41117-00005	00000	03/16/10	02/11/11	3,585.31	3,609.36	24.05-S	.00
830	885	41117-00005	00000	02/05/10	02/11/11	3,259.37	2,897.74	361.63 L	.00
830	884	41133-00033	00000	03/16/10	03/01/11	3,866.32	3,937.50	71.18-S	.00
830	884	41133-00033	00000	02/03/98	03/01/11	4,295.92	4,303.13	7.21-L	.00
830	884	41171-00027	00000	02/03/98	04/06/11	596.99	645.47	48.48-L	.00
830	884	41306-00003	00000	05/18/11	08/19/11	6,541.41	8,118.86	1,577.45-S	.00
830	884	41306-00003	00000	02/03/98	08/19/11	5,387.04	6,024.37	637.33-L	.00
830	884	41328-00005	00000	02/03/98	09/12/11	7,677.36	8,262.00	584.64-L	.00
830	884	41328-00005	00000	01/14/11	09/12/11	1,919.34	2,029.77	110.43-S	.00

TAX		DATES		G A I N / L O S S		F E D E R A L		S T A T E	
CODE	OR-OFF SERIAL	SEQ LOT#	ACQUIRED	TRADE	UNITS	PROCEEDS	TAX COST	G/L	G/L
ASSET 458140100 (CONT'D)									
TOTAL-SHORT					2,245.000	45,012.91	49,672.79	3,743.85-	.00
TOTAL-LONG								916.03-	.00
ASSET 459200101 INTERNATIONAL BUSINESS MACHS									
CORP									
830	884	41133-00034	00000	03/16/10	03/01/11	8,839.42	7,065.19	1,774.23 S	.00
830	884	41133-00034	00000	11/08/06	03/01/11	5,625.09	3,243.35	2,381.74 L	.00
830	884	41133-00034	00000	11/02/06	03/01/11	3,214.34	1,831.29	1,383.05 L	.00
830	884	41171-00028	00000	11/02/06	04/06/11	1,642.87	915.64	727.23 L	.00
TOTAL-SHORT					120.000	19,321.72	13,055.47	1,774.23	.00
TOTAL-LONG							4,492.02		.00
ASSET 46625H100 JPMORGAN CHASE & CO									
830	885	40980-00039	00000	03/16/10	10/04/10	9,535.46	10,542.35	1,006.89-S	.00
830	885	40980-00039	00000	12/14/04	10/04/10	8,757.05	8,680.50	76.55 L	.00
TOTAL-SHORT					470.000	18,292.51	19,222.85	1,006.89-	.00
TOTAL-LONG							76.55		.00
ASSET 478160104 JOHNSON & JOHNSON									
830	885	40980-00040	00000	03/16/10	10/04/10	1,846.79	1,934.98	88.19-S	.00
830	885	40980-00040	00000	01/23/08	10/04/10	3,693.57	3,819.75	126.18-L	.00
TOTAL-SHORT					90.000	5,540.36	5,754.73	88.19-	.00
TOTAL-LONG								126.18-	.00
ASSET 481165108 JOY GLOBAL INC									
830	884	41133-00035	00000	01/14/11	03/01/11	5,757.49	5,424.80	332.69 S	.00
TOTAL-SHORT					60.000	5,757.49	5,424.80	332.69	.00
ASSET 48242W106 KBR INC									
830	884	41171-00029	00000	03/22/11	04/06/11	381.59	365.54	16.05 S	.00
TOTAL-SHORT					10.000	381.59	365.54	16.05	.00
ASSET 518439104 LAUDER ESTEE COS INC									
CL A									
830	884	41133-00036	00000	02/14/11	03/01/11	3,701.93	3,791.46	89.53-S	.00

REPORT: TMS-TX-23
BANK 21 PNC BANK (21)
REGION 70 OHIO
OFFICE 001 C & E

GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
ACCOUNT 0185064 JACK J SMITH JR CHARITABLE TRUST
FROM: 10/01/10 TO: 09/30/11

PAGE: 19
RUN DATE: 01/19/12

TAX CODE OR-OFF SERIAL SEQ LOT# ACQUIRED TRADE
ASSET 518439104 (CONT'D)

UNIT PROCEEDS TAX COST FEDERAL G/L STATE G/L

40.000 3,701.93 3,791.46 89.53- .00

TOTAL-SHORT

ASSET 532716107 LIMITED BRANDS INC

830 885 40980-00041 00000 03/16/10 10/04/10
830 885 40980-00041 00000 05/20/10 10/04/10
830 885 40980-00041 00000 03/10/10 10/04/10
830 884 41133-00037 00000 02/02/11 03/01/11
830 885 41145-00011 00000 03/08/11 03/11/11
830 885 41145-00011 00000 03/10/10 03/11/11
830 884 41171-00030 00000 02/02/11 04/06/11

TOTAL-SHORT
TOTAL-LONG

1,000.000

29,976.19

26,087.11

1,074.30
2,814.78

ASSET 55261F104 M&T BK CORP

830 885 40980-00042 00000 04/23/10 10/04/10

TOTAL-SHORT

100.000

8,226.86

8,784.08

557.22-S

ASSET 580135101 MCDONALD'S CORP

830 884 41133-00038 00000 08/20/10 03/01/11
830 884 41171-00031 00000 08/20/10 04/06/11
830 884 41252-00011 00000 08/20/10 06/28/11
830 884 41252-00011 00000 04/23/10 06/28/11

TOTAL-SHORT
TOTAL-LONG

90.000

6,759.77

6,569.25

190.52 S
37.66 S
105.40 S
1,374.31 L

ASSET 583334107 MEADWESTVACO CORP

830 884 41301-00007 00000 06/08/11 08/16/11
830 885 41305-00002 00000 06/28/11 08/18/11

TOTAL-SHORT

810.000

22,369.26

26,140.40

3,771.14-S

ASSET 58405U102 MEDCO HEALTH SOLUTIONS INC

830 884 41133-00039 00000 09/09/10 03/01/11
830 884 41172-00004 00000 09/09/10 04/07/11

4,362.32

3,281.93

1,080.39 S
3,419.47 S

TAX		DATES		TRADE		G A I N / L O S S		F E D E R A L		S T A T E	
CODE	OR-OFF	SERIAL	SEQ LOT#	ACQUIRED	TRADE	UNITS	PROCEEDS	TAX COST	G/L	G/L	G/L
ASSET 58405U102 (CONT'D)											
TOTAL-SHORT						450.000	25,597.98	21,098.12	4,499.86		.00
ASSET 58933Y105 MERCK & CO INC											
830	885	40980-00044	00000	03/16/10	10/04/10	120.000	4,370.42	4,545.48	175.06-S		.00
830	885	40980-00044	00000	12/10/09	10/04/10	180.000	6,555.63	6,791.22	235.59-S		.00
830	884	41041-00005	00000	03/16/10	12/02/10	60.000	2,107.93	2,272.74	164.81-S		.00
830	884	41041-00005	00000	12/23/09	12/02/10	499.000	17,530.97	18,591.49	1,060.52-S		.00
TOTAL-SHORT						859.000	30,564.95	32,200.93	1,635.98-		.00
ASSET 59156R108 METLIFE INC.											
830	885	40980-00045	00000	03/16/10	10/04/10	90.000	3,482.11	3,824.91	342.80-S		.00
830	885	40980-00045	00000	08/25/09	10/04/10	110.000	4,255.92	4,336.42	80.50-L		.00
830	885	41008-00004	00000	08/25/09	11/01/10	35.000	1,404.72	1,379.77	24.95 L		.00
830	885	41008-00004	00000	09/29/09	11/01/10	153.000	6,140.63	5,950.31	190.32 L		.00
830	885	41008-00004	00000	08/10/09	11/01/10	499.000	20,027.27	18,170.69	1,856.58 L		.00
TOTAL-SHORT						887.000	35,310.65	33,662.10	342.80-		.00
TOTAL-LONG									1,991.35		.00
ASSET 591708102 METROPCS COMMUNICATIONS INC											
830	884	41290-00004	00000	06/28/11	08/03/11	1,110.000	11,159.72	19,164.37	8,004.65-S		.00
830	885	41290-00004	00000	04/21/11	08/03/11	50.000	502.69	846.50	343.81-S		.00
830	885	41290-00004	00000	03/11/11	08/03/11	1,490.000	14,980.17	22,201.45	7,221.28-S		.00
TOTAL-SHORT						2,650.000	26,642.58	42,212.32	15,569.74-		.00
ASSET 594918104 MICROSOFT CORP											
830	885	40980-00046	00000	07/23/10	10/04/10	200.000	4,761.94	5,113.26	351.32-S		.00
830	884	41133-00040	00000	03/16/10	03/01/11	275.000	7,247.49	8,065.75	818.26-S		.00
830	884	41133-00040	00000	10/26/09	03/01/11	245.000	6,456.85	7,067.66	610.81-L		.00
830	884	41171-00032	00000	10/26/09	04/06/11	40.000	1,047.99	1,153.90	105.91-L		.00
TOTAL-SHORT						760.000	19,514.27	21,400.57	1,169.58-		.00
TOTAL-LONG									716.72-		.00
ASSET 61945A107 MOSAIC CO											
MERGED 5/25/2011 SEE 61945C103											
830	884	41133-00041	00000	01/06/11	03/01/11	80.000	6,735.87	6,237.25	498.62 S		.00
830	884	41171-00033	00000	01/06/11	04/06/11	10.000	804.98	779.66	25.32 S		.00

REPORT: TMS-TX-23
BANK 21 PNC BANK (21)
REGION 70 OHIO
OFFICE 001 C & E

GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
ACCOUNT 0185064 JACK J SMITH JR CHARITABLE TRUST
FROM: 10/01/10 TO: 09/30/11

PAGE: 21
RUN DATE: 01/19/12

TAX CODE	OR-OFF	SERIAL	SEQ	LOT#	DATES	ACQUIRED	TRADE	UNITS	PROCEEDS	TAX COST	GAIN / LOSS	FEDERAL G/L	STATE G/L
ASSET 61945A107 (CONT'D)													
TOTAL-SHORT													
830	ASSET	61945C103	MOSAIC	CO/THE									
884		41234-00006	00000	01/06/11	06/08/11			380.000	24,945.00	29,626.92	4,681.92-S		.00
TOTAL-SHORT													
830	ASSET	637071101	NATIONAL	OILWELL	VARCO	INC		140.000	6,133.41	6,284.28	150.87-S		.00
885		40980-00047	00000	09/29/10	10/04/10			40.000	1,752.40	1,696.72	55.68 S		.00
830		40980-00047	00000	03/19/10	10/04/10			80.000	6,237.48	3,674.31	2,563.17 S		.00
830		41133-00042	00000	10/05/10	03/01/11			10.000	784.18	459.29	324.89 S		.00
830		41171-00034	00000	10/05/10	04/06/11			100.000	6,544.41	8,015.98	1,471.57-S		.00
830		41315-00005	00000	03/08/11	08/30/11			20.000	1,246.18	1,603.20	357.02-S		.00
830		41322-00006	00000	03/08/11	09/06/11			50.000	3,115.44	2,120.91	994.53 L		.00
TOTAL-SHORT													
TOTAL-LONG													
830	ASSET	64110D104	NETAPP	INC				90.000	4,566.51	3,658.48	908.03 S		.00
884		41133-00043	00000	07/16/10	03/01/11			10.000	465.89	406.50	59.39 S		.00
830		41171-00035	00000	07/16/10	04/06/11			100.000	5,032.40	4,064.98	967.42		.00
TOTAL-SHORT													
830	ASSET	651290108	NEWFIELD	EXPLORATION	CO			70.000	5,007.00	4,855.42	151.58 S		.00
884		41133-00044	00000	12/02/10	03/01/11			340.000	23,851.26	23,583.45	267.81 S		.00
830		41140-00013	00000	12/02/10	03/08/11			410.000	28,858.26	28,438.87	419.39		.00
TOTAL-SHORT													
830	ASSET	651639106	NEWMONT	MINING	CORP			50.000	2,796.95	3,170.14	373.19-S		.00
884		41133-00045	00000	09/14/10	03/01/11			270.000	14,962.49	17,118.76	2,156.27-S		.00
830		41167-00003	00000	09/14/10	04/04/11			320.000	17,759.44	20,288.90	2,529.46-		.00
TOTAL-SHORT													
830	ASSET	66987V109	NOVARTIS	AG				90.000	5,129.91	5,215.74	85.83-S		.00
885		40980-00048	00000	09/29/10	10/04/10								

REPORT: TMS-TX-23
BANK 21 PNC BANK (21)
REGION 70 OHIO
OFFICE 001 C & E

GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
ACCOUNT 0185064 JACK J SMITH JR CHARITABLE TRUST
FROM: 10/01/10 TO: 09/30/11

PAGE: 22
RUN DATE: 01/19/12

TAX		DATES		G A I N / L O S S		I N F O R M A T I O N				
CODE	OR-OFF	SERIAL	SEQ LOT#	ACQUIRED	TRADE	UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L
ASSET		66987V109		(CONT'D)						
TOTAL-SHORT						90.000	5,129.91	5,215.74	85.83-	.00
ASSET 670837103 OGE ENERGY CORP										
830	885	40980-00049	00000	05/17/10	10/04/10	210.000	8,481.92	8,198.42	283.50 S	.00
TOTAL-SHORT						210.000	8,481.92	8,198.42	283.50	.00
ASSET 67103H107 O REILLY AUTOMOTIVE INC										
830	884	41133-00046	00000	08/20/10	03/01/11	90.000	4,958.90	4,283.59	675.31 S	.00
830	884	41171-00036	00000	08/20/10	04/06/11	10.000	576.89	475.96	100.93 S	.00
830	884	41280-00002	00000	08/20/10	07/26/11	70.000	4,337.85	3,331.68	1,006.17 S	.00
830	884	41280-00002	00000	07/06/10	07/26/11	60.000	3,718.16	2,810.07	908.09 L	.00
TOTAL-SHORT						230.000	13,591.80	10,901.30	1,782.41	.00
TOTAL-LONG									908.09	.00
ASSET 68389X105 ORACLE CORP										
830	884	41133-00047	00000	01/14/11	03/01/11	240.000	7,689.45	7,475.78	213.67 S	.00
830	884	41133-00047	00000	09/14/10	03/01/11	70.000	2,242.76	1,784.52	458.24 S	.00
830	884	41171-00037	00000	03/08/11	04/06/11	30.000	1,010.38	985.54	24.84 S	.00
TOTAL-SHORT						340.000	10,942.59	10,245.84	696.75	.00
ASSET 693506107 PPG INDUSTRIES INC										
830	885	40980-00050	00000	08/02/10	10/04/10	90.000	6,505.09	6,395.45	109.64 S	.00
830	885	40980-00050	00000	03/16/10	10/04/10	10.000	722.79	645.58	77.21 S	.00
830	885	41252-00011	00000	03/08/11	06/28/11	65.000	5,719.69	5,790.83	71.14-S	.00
830	885	41252-00011	00000	03/16/10	06/28/11	30.000	2,639.86	1,936.74	703.12 L	.00
830	885	41252-00011	00000	11/17/09	06/28/11	230.000	20,238.92	14,057.62	6,181.30 L	.00
830	885	41252-00011	00000	12/10/09	06/28/11	77.000	6,775.64	4,548.62	2,227.02 L	.00
TOTAL-SHORT						502.000	42,601.99	33,374.84	115.71	.00
TOTAL-LONG									9,111.44	.00
ASSET 696429307 PALL CORP										
830	884	41133-00048	00000	01/14/11	03/01/11	120.000	6,448.67	6,020.67	428.00 S	.00
830	884	41171-00038	00000	01/14/11	04/06/11	10.000	588.29	501.72	86.57 S	.00
830	884	41328-00008	00000	01/14/11	09/12/11	10.000	416.13	501.72	85.59-S	.00
830	884	41328-00008	00000	01/06/11	09/12/11	600.000	24,967.92	29,795.88	4,827.96-S	.00

TAX		DATES		G A I N / L O S S		I N F O R M A T I O N	
CODE	OR-OFF SERIAL	SEQ LOT#	ACQUIRED	TRADE	UNITS	PROCEEDS	TAX COST
ASSET 696429307 (CONT'D)		TOTAL-SHORT		FEDERAL		STATE	
				G/L		G/L	
830	ASSET 713448108 PEPSICO INC	885	40980-00051	00000	06/28/10	10/04/10	
830	885	41117-00006	00000	06/28/10	02/11/11		
830	884	41118-00007	00000	03/16/10	02/14/11		
830	884	41118-00007	00000	10/26/05	02/14/11		
830	884	41118-00007	00000	10/12/05	02/14/11		
830	884	41118-00007	00000	12/17/08	02/14/11		
		TOTAL-SHORT		740.000		32,421.01	
						36,819.99	
						4,398.98-	
830	ASSET 717081103 PFIZER INC	885	40980-00052	00000	07/07/05	10/04/10	
830	885	40980-00052	00000	09/30/05	10/04/10		
830	885	40980-00052	00000	12/27/05	10/04/10		
830	885	41210-00006	00000	12/04/06	05/17/11		
830	885	41210-00006	00000	03/08/11	05/17/11		
830	885	41210-00006	00000	04/21/11	05/17/11		
830	885	41210-00006	00000	01/07/10	05/17/11		
		TOTAL-SHORT		441.000		7,435.37	
						11,742.55	
						4,307.18-L	
						3,903.09-L	
						21.09-L	
						529.20-L	
						730.01 S	
						27.41 S	
						96.85 L	
		TOTAL-SHORT		1,750.000		757.42	
						8,663.71-	
830	ASSET 718172109 PHILIP MORRIS INTERNAT-W/I	884	41133-00049	00000	07/13/09	03/01/11	
830	884	41171-00040	00000	03/08/11	04/06/11		
		TOTAL-SHORT		110.000		6,900.16	
						4,729.17	
						16.61 S	
		TOTAL-SHORT		120.000		16.61	
						2,170.99	
830	ASSET 742718109 PROCTER & GAMBLE CO	885	40980-00053	00000	03/16/10	10/04/10	
830	885	40980-00053	00000	02/05/10	10/04/10		
		TOTAL-SHORT		85.000		5,422.03	
						3,969.72	
						330.55-S	
						76.23-S	

TAX		DATES		GAIN / LOSS		FEDERAL		STATE	
CODE	OR-OFF SERIAL	SEQ LOT#	ACQUIRED	TRADE	UNITS	PROCEEDS	TAX COST	G/L	G/L
ASSET 742718109		(CONT'D)							
TOTAL-SHORT					150.000	8,984.97	9,391.75	406.78-	.00
ASSET 744320102 PRUDENTIAL FINANCIAL, INC.					150.000	7,865.90	8,196.46	330.56-S	.00
830	885	40980-00054	00000	06/29/10 10/04/10	150.000	7,865.90	8,196.46	330.56-	.00
TOTAL-SHORT									
ASSET 744573106 PUBLIC SERVICE ENTERPRISE GROUP INC					200.000	6,587.89	6,664.38	76.49-S	.00
830	885	40980-00055	00000	07/09/10 10/04/10	80.000	2,596.30	2,665.75	69.45-S	.00
830	885	41108-00008	00000	07/09/10 02/02/11	590.000	19,147.71	18,666.89	480.82 S	.00
TOTAL-SHORT					870.000	28,331.90	27,997.02	334.88	.00
ASSET 74460D109 PUBLIC STORAGE REITS					30.000	3,325.13	2,947.64	377.49 S	.00
830	884	41133-00050	00000	04/30/10 03/01/11	30.000	3,325.13	2,947.64	377.49	.00
TOTAL-SHORT									
ASSET 747525103 QUALCOMM INC					150.000	8,693.83	7,799.31	894.52 S	.00
830	884	41133-00051	00000	01/14/11 03/01/11	10.000	535.99	571.87	35.88-S	.00
830	884	41171-00041	00000	03/08/11 04/06/11	160.000	9,229.82	8,371.18	858.64	.00
TOTAL-SHORT									
ASSET 74834L100 QUEST DIAGNOSTICS INC					350.000	19,547.23	20,396.64	849.41-S	.00
830	884	41280-00003	00000	04/07/11 07/26/11	350.000	19,547.23	20,396.64	849.41-	.00
TOTAL-SHORT									
ASSET 77957Y106 T ROWE PRICE MID CAP VALUE FD #115					409.415	10,378.67	9,121.77	1,256.90 L	.00
831	000	41167-00005	00000	12/20/07 04/06/11	814.617	20,650.54	18,108.93	2,541.61 L	.00
831	000	41167-00005	00000	12/19/07 04/06/11	2,720.741	68,970.79	49,925.60	19,045.19 L	.00

TAX		DATES		G A I N / L O S S		I N F O R M A T I O N		STATE	
CODE	OR-OFF SERIAL	SEQ LOT#	ACQUIRED	TRADE	UNITS	PROCEEDS	TAX COST	FEDERAL G/L	G/L
ASSET 77957Y106		(CONT'D)							
TOTAL-LONG									
ASSET 780905832 ROYCE OPPORTUNITY FUND									
INVESTMENT CLASS FD # 249									
831	000	41063-00007	00000	11/07/01 12/28/10	497.438	6,048.85	3,989.45	2,059.40	L
831	000	41063-00007	00000	12/05/02 12/28/10	134.021	1,629.70	1,010.52	619.18	L
831	000	41063-00007	00000	12/05/02 12/28/10	50.259	611.15	378.95	232.20	L
831	000	41063-00007	00000	11/18/08 12/28/10	5,066.637	61,610.30	27,359.84	34,250.46	L
TOTAL-LONG									
					3,944.773	100,000.00	77,156.30	22,843.70	.00
ASSET 790849103 ST JUDE MEDICAL INC									
830	884	41133-00052	00000	04/29/10 03/01/11	140.000	6,717.06	5,713.99	1,003.07	S
830	884	41171-00042	00000	04/29/10 04/06/11	10.000	534.19	408.14	126.05	S
830	884	41223-00004	00000	04/29/10 05/27/11	460.000	23,211.01	18,774.53	4,436.48	L
830	884	41223-00004	00000	08/12/10 05/27/11	270.000	13,623.86	10,110.29	3,513.57	S
TOTAL-SHORT									
					880.000	44,086.12	35,006.95	4,642.69	.00
TOTAL-LONG								4,436.48	.00
ASSET 82481R106 SHIRE PLC									
SPONSORED ADR									
830	885	40977-00007	00000	01/30/09 09/29/10	300.000	20,594.68	13,069.65	7,525.03	L
830	884	41133-00053	00000	02/16/11 03/01/11	50.000	4,249.92	4,164.83	85.09	S
830	885	41140-00057	00000	01/30/09 03/08/11	2.000	170.18	87.13	83.05	L
TOTAL-SHORT									
					352.000	25,014.78	17,321.61	85.09	.00
TOTAL-LONG								7,608.08	.00
ASSET 832696405 JM SMUCKER CO/THE-NEW COM W I									
830	885	40980-00057	00000	06/28/10 10/04/10	100.000	6,071.05	6,218.29	147.24	-S
830	885	40980-00057	00000	01/28/10 10/04/10	50.000	3,035.53	3,055.61	20.08	-S
830	885	41252-00012	00000	04/21/11 06/28/11	10.000	759.78	736.00	23.78	S
830	885	41252-00012	00000	03/08/11 06/28/11	110.000	8,357.58	7,746.18	611.40	S
830	885	41322-00007	00000	02/11/11 09/06/11	40.000	2,803.67	2,511.97	291.70	S
830	885	41322-00007	00000	01/28/10 09/06/11	30.000	2,102.75	1,833.37	269.38	L

TAX					DATES		G A I N / L O S S		I N F O R M A T I O N	
CODE	OR-OFF	SERIAL	SEQ LOT#	ACQUIRED	TRADE	UNITS	PROCEEDS	TAX COST	FEDERAL	STATE
ASSET 832696405 (CONT'D)									G/L	G/L
TOTAL-SHORT						340.000	23,130.36	22,101.42	759.56	.00
TOTAL-LONG									269.38	.00
ASSET 855244109 STARBUCKS CORP										
830	884	41133-00054	00000	05/11/10	03/01/11	130.000	4,228.82	3,506.19	722.63 S	.00
830	884	41171-00043	00000	05/11/10	04/06/11	10.000	364.09	269.71	94.38 S	.00
830	884	41213-00009	00000	05/11/10	05/18/11	660.000	23,624.25	17,800.66	5,823.59 L	.00
TOTAL-SHORT						800.000	28,217.16	21,576.56	817.01	.00
TOTAL-LONG								5,823.59		.00
ASSET 85590A401 STARWOOD HOTELS & RESORTS										
WORLDWIDE INC										
830	884	41133-00055	00000	08/02/10	03/01/11	70.000	4,101.92	3,496.01	605.91 S	.00
830	884	41171-00044	00000	08/02/10	04/06/11	10.000	573.38	499.43	73.95 S	.00
830	884	41223-00005	00000	08/02/10	05/27/11	295.000	17,817.04	14,733.19	3,083.85 S	.00
830	884	41223-00005	00000	08/02/10	05/27/11	75.000	4,529.75	3,739.06	790.69 S	.00
TOTAL-SHORT						450.000	27,022.09	22,467.69	4,554.40	.00
ASSET 871829107 SYSCO CORP										
830	884	41304-00006	00000	06/28/11	08/17/11	910.000	25,300.06	28,143.02	2,842.96-S	.00
TOTAL-SHORT						910.000	25,300.06	28,143.02	2,842.96-	.00
ASSET 881624209 TEVA PHARMACEUTICAL INDS LTD										
ADR										
830	884	41118-00009	00000	09/09/10	02/14/11	390.000	19,973.86	21,193.07	1,219.21-S	.00
TOTAL-SHORT						390.000	19,973.86	21,193.07	1,219.21-	.00
ASSET 88579Y101 3M COMPANY										
830	884	41043-00004	00000	03/16/10	12/06/10	45.000	3,919.91	3,663.00	256.91 S	.00
830	884	41043-00004	00000	08/25/09	12/06/10	3.000	261.33	218.10	43.23 L	.00
830	884	41043-00004	00000	09/01/09	12/06/10	122.000	10,627.31	8,566.85	2,060.46 L	.00
830	884	41043-00004	00000	08/19/09	12/06/10	110.000	9,582.01	7,692.38	1,889.63 L	.00
830	884	41081-00005	00000	08/19/09	01/06/11	340.000	29,188.47	23,776.43	5,412.04 L	.00

TAX		DATES		G A I N / L O S S		I N F O R M A T I O N			
CODE	OR-OFF SERIAL	SEQ LOT#	ACQUIRED	TRADE	UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L
ASSET 88579Y101 (CONT'D)									
TOTAL-SHORT					620.000	53,579.03	43,916.76	256.91	.00
TOTAL-LONG								9,405.36	.00
ASSET 886547108 TIFFANY & CO NEW									
830	884	41133-00056	00000	03/16/10	03/01/11	2,735.46	2,113.15	622.31 S	.00
830	884	41133-00056	00000	02/17/10	03/01/11	3,343.33	2,365.00	978.33 L	.00
830	884	41147-00004	00000	02/17/10	03/15/11	5,455.67	4,085.01	1,370.66 L	.00
830	884	41147-00004	00000	12/03/09	03/15/11	6,029.96	4,502.25	1,527.71 L	.00
830	884	41280-00004	00000	06/08/11	07/26/11	4,076.07	3,669.35	406.72 S	.00
830	884	41280-00004	00000	12/03/09	07/26/11	2,445.64	1,286.36	1,159.28 L	.00
TOTAL-SHORT					380.000	24,086.13	18,021.12	1,029.03	.00
TOTAL-LONG								5,035.98	.00
ASSET 907818108 UNION PACIFIC CORP									
830	885	40980-00058	00000	04/29/10	10/04/10	6,401.49	6,228.94	172.55 S	.00
TOTAL-SHORT					80.000	6,401.49	6,228.94	172.55	.00
ASSET 911312106 UNITED PARCEL SERVICE CL B									
830	884	41133-00057	00000	08/12/10	03/01/11	3,628.43	3,226.07	402.36 S	.00
830	884	41154-00005	00000	08/12/10	03/22/11	18,744.20	16,775.54	1,968.66 S	.00
TOTAL-SHORT					310.000	22,372.63	20,001.61	2,371.02	.00
ASSET 913017109 UNITED TECHNOLOGIES CORP									
830	885	40980-00059	00000	03/19/03	10/04/10	4,933.52	2,159.42	2,774.10 L	.00
830	884	41133-00058	00000	03/16/10	03/01/11	4,961.91	4,346.94	614.97 S	.00
830	884	41133-00058	00000	03/19/03	03/01/11	2,480.95	925.47	1,555.48 L	.00
830	884	41171-00046	00000	03/19/03	04/06/11	855.98	308.49	547.49 L	.00
TOTAL-SHORT					170.000	13,232.36	7,740.32	614.97	.00
TOTAL-LONG								4,877.07	.00
ASSET 91324P102 UNITEDHEALTH GROUP INC									
830	885	40980-00060	00000	06/04/10	10/04/10	7,587.85	6,771.86	815.99 S	.00
830	884	41118-00010	00000	08/12/10	02/14/11	12,681.30	9,632.34	3,048.96 S	.00
830	884	41133-00059	00000	08/12/10	03/01/11	4,694.71	3,531.86	1,162.85 S	.00
830	884	41171-00047	00000	08/12/10	04/06/11	450.39	321.08	129.31 S	.00

G A I N / L O S S I N F O R M A T I O N														
TAX		D A T E S			P R O C E E D S		T A X C O S T		F E D E R A L		S T A T E			
C O D E	O R - O F F	S E R I A L	S E Q	L O T #	A C Q U I R E D	T R A D E	U N I T S			G / L	G / L	G / L		
A S S E T 9 1 3 2 4 P 1 0 2 (C O N T ' D)														
T O T A L - S H O R T														
A S S E T 9 2 2 0 4 2 8 5 8 V A N G U A R D M S C I E M E R G I N G M A R K E T S E T F														
830	000	41171-00021	00000	10/27/09	04/06/11		640.000	25,414.25	20,257.14	5,157.11		.00		
T O T A L - L O N G														
A S S E T 9 2 2 7 6 F 1 0 0 V E N T A S I N C														
830	885	40980-00061	00000	08/26/10	10/04/10		90.000	4,705.12	4,483.25	221.87 S		.00		
830	885	41315-00006	00000	03/08/11	08/30/11		60.000	3,152.15	3,201.00	48.85-S		.00		
830	885	41315-00006	00000	08/26/10	08/30/11		320.000	16,811.49	15,940.45	871.04 L		.00		
T O T A L - S H O R T														
T O T A L - L O N G														
A S S E T 9 2 5 5 3 P 2 0 1 V I A C O M I N C C L A S S B W I														
830	885	40980-00062	00000	03/16/10	10/04/10		85.000	3,071.92	2,638.30	433.62 S		.00		
830	885	40980-00062	00000	10/05/09	10/04/10		111.000	4,011.56	3,085.19	926.37 S		.00		
830	885	40980-00062	00000	07/21/09	10/04/10		24.000	867.36	547.04	320.32 L		.00		
830	884	41133-00060	00000	03/16/10	03/01/11		75.000	3,344.18	2,327.92	1,016.26 S		.00		
830	884	41133-00060	00000	06/19/09	03/01/11		75.000	3,344.18	1,755.22	1,588.96 L		.00		
830	885	41145-00013	00000	03/08/11	03/11/11		190.000	8,599.12	8,785.58	186.46-S		.00		
830	885	41145-00013	00000	02/02/11	03/11/11		50.000	2,262.93	2,170.11	92.82 S		.00		
830	884	41171-00048	00000	06/19/09	04/06/11		10.000	472.79	234.03	238.76 L		.00		
T O T A L - S H O R T														
T O T A L - L O N G														
A S S E T 9 2 8 2 6 C 8 3 9 V I S A I N C														
C L A S S A S H A R E S														
830	884	41133-00061	00000	03/16/10	03/01/11		35.000	2,577.70	3,226.65	648.95-S		.00		
830	884	41133-00061	00000	05/01/08	03/01/11		15.000	1,104.73	1,292.58	187.85-L		.00		
T O T A L - S H O R T														
T O T A L - L O N G														
A S S E T 9 3 1 1 4 2 1 0 3 W A L - M A R T S T O R E S I N C														
830	885	40980-00063	00000	06/28/10	10/04/10		90.000	4,819.87	4,470.16	349.71 S		.00		
830	884	41118-00011	00000	07/08/08	02/14/11		38.000	2,085.30	2,225.84	140.54-L		.00		
830	884	41118-00011	00000	03/16/10	02/14/11		75.000	4,115.72	4,192.43	76.71-S		.00		

G A I N / L O S S I N F O R M A T I O N										
							FEDERAL		STATE	
TAX	OR-OFF	SERIAL	SEQ	LOT#	ACQUIRED	TRADE	UNITS	PROCEEDS	TAX COST	G/L
830	884	41118-00011	00000	12/17/08	02/14/11		25.000	1,371.91	1,380.75	8.84-L
830	884	41118-00011	00000	09/22/99	02/14/11		332.000	18,218.92	15,734.31	2,484.61 L
830	884	41133-00062	00000	09/22/99	03/01/11		70.000	3,661.63	3,317.48	344.15 L
830	884	41140-00017	00000	09/22/99	03/08/11		172.000	8,985.36	8,151.51	833.85 L
830	884	41140-00017	00000	04/26/05	03/08/11		196.000	10,239.14	9,227.68	1,011.46 L
830	885	41252-00015	00000	03/08/11	06/28/11		50.000	2,616.56	2,626.50	9.94-S
830	885	41252-00015	00000	06/28/10	06/28/11		320.000	16,746.02	15,893.92	852.10 S
TOTAL-SHORT							1,368.000	72,860.43	67,220.58	1,115.16
TOTAL-LONG										4,524.69
ASSET 931422109 WALGREEN CO										
830	884	41133-00063	00000	02/14/11	03/01/11		130.000	5,680.89	5,487.74	193.15 S
830	884	41171-00049	00000	02/14/11	04/06/11		10.000	411.99	422.13	10.14-S
830	884	41249-00004	00000	02/14/11	06/23/11		140.000	5,917.67	5,909.88	7.79 S
830	884	41249-00004	00000	02/02/11	06/23/11		520.000	21,979.93	21,798.56	181.37 S
TOTAL-SHORT							800.000	33,990.48	33,618.31	372.17
ASSET 942683103 WATSON PHARMACEUTICAL										
830	885	40980-00064	00000	06/15/10	10/04/10		150.000	6,471.01	6,556.05	85.04-S
830	885	41315-00007	00000	03/08/11	08/30/11		145.000	9,674.62	8,198.24	1,476.38 S
830	885	41315-00007	00000	06/15/10	08/30/11		165.000	11,009.05	7,211.66	3,797.39 L
TOTAL-SHORT							460.000	27,154.68	21,965.95	1,391.34
TOTAL-LONG										3,797.39
ASSET 949746101 WELLS FARGO & COMPANY										
830	885	40980-00065	00000	03/16/10	10/04/10		225.000	5,692.61	6,781.50	1,088.89-S
830	885	40980-00065	00000	04/26/05	10/04/10		365.000	9,234.67	10,782.10	1,547.43-L
TOTAL-SHORT							590.000	14,927.28	17,563.60	1,088.89-
TOTAL-LONG										1,547.43-
ASSET 963320106 WHIRLPOOL CORP										
830	885	40980-00066	00000	05/17/10	10/04/10		50.000	3,987.32	5,292.36	1,305.04-S
830	885	41008-00005	00000	05/17/10	11/01/10		190.000	14,322.89	20,110.99	5,788.10-S

SUMMARY OF INVESTMENTS

AS OF 09/30/11

PAGE 1

ACCOUNT
21-70-501-0185064

JACK J SMITH JR CHARITABLE CONS

	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET
PRINCIPAL CASH						
CASH EQUIVALENTS	67,959.63	67,959.63	67,959.63	.945	36.70	.054
FIXED INCOME MUTUAL FUNDS - FIXED	1,568,806.17	1,560,270.35	1,554,279.72	21.611	57,680.82	3.711
EQUITIES COMMON STOCK MUTUAL FUNDS - EQUITY	3,533,102.67 1,916,934.70	3,352,420.62 1,787,608.48	3,764,203.74 1,805,534.43	52.339 25.105	88,088.18 30,343.93	2.340 1.681
TOTAL EQUITIES	5,450,037.37	5,140,029.10	5,569,738.17	77.444	118,432.11	2.126
TOTAL PRINCIPAL ASSETS	7,086,803.17	6,768,259.08	7,191,977.52	100.000	176,149.63	2.449
FIXED INCOME MUTUAL FUNDS - FIXED	9,292.46	9,292.46	9,745.20	13.138	277.32	2.846
EQUITIES MUTUAL FUNDS - EQUITY	81,432.66	81,432.66	64,428.54	86.862	820.64	1.274
ACCOUNT TOTAL	7,177,528.29	6,858,984.20	7,266,151.26		177,247.59	2.439



STATEMENT OF INVESTMENTS

AS OF 09/30/11

PAGE 2

JACK J SMITH JR CHARITABLE CONS

ACCOUNT
21-70-501-0185064

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
CASH EQUIVALENTS								
15,186.260	BLACKROCK LIQUIDITY FUNDS TEMPFUND ADMINISTRATION SHARES #H1 ACCOUNT 21-70-885-0185064	15,186.26	15,186.26	15,186.26	.209	8.20	.054	1.000
28,531.570	BLACKROCK LIQUIDITY FUNDS TEMPFUND ADMINISTRATION SHARES #H1 ACCOUNT 21-70-884-0185064	28,531.57	28,531.57	28,531.57	.393	15.41	.054	1.000
24,241.800	BLACKROCK LIQUIDITY FUNDS TEMPFUND ADMINISTRATION SHARES #H1 ACCOUNT 21-70-001-0185064	24,241.80	24,241.80	24,241.80	.334	13.09	.054	1.000
TOTAL CASH EQUIVALENTS		67,959.63	67,959.63	67,959.63	.936	36.70	.054	
COMMON STOCK								
418.000	APACHE CORPORATION	43,764.46	42,842.68	33,540.32	.462	250.80	.748	80.240
710.000	EASTMAN CHEM CO	52,870.21	52,183.95	48,656.30	.670	738.40	1.518	68.530
440.000	NETAPP INC	17,885.91	17,885.91	14,929.20	.205			33.930
1,560.000	VIACOM INC CLASS B WI	44,228.98	37,760.16	60,434.40	.832	1,560.00	2.581	38.740
310.000	GREEN MTN COFFEE ROASTERS INC	26,342.55	26,342.55	28,811.40	.397			92.000
427.000	M&T BK CORP	34,157.07	33,317.16	29,847.30	.411	1,195.60	4.006	69.900

STATEMENT OF INVESTMENTS

AS OF 09/30/11

PAGE 3

JACK J SMITH JR CHARITABLE CONS

ACCOUNT
21-70-501-0185064

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
195.000	AVALONBAY COMMUNITIES INC REIT	19,501.96	19,501.96	22,239.75	.306	696.15	3.130	114.050
590.000	PRUDENTIAL FINANCIAL, INC.	33,114.83	33,114.83	27,647.40	.380	855.50	3.094	46
860.000	BAKER HUGHES INC	64,199.37	64,199.37	39,689.00	.546	516.00	1.300	46.150
2,625.000	CSX CORP	49,490.22	48,505.82	49,008.75	.674	1,260.00	2.571	18.670
720.000	CHESAPEAKE ENERGY CORP	23,635.93	23,635.93	18,396.00	.253	252.00	1.370	25.550
700.000	GILEAD SCIENCES INC	26,748.41	26,718.16	27,160.00	.374			38.800
296.000	TIFFANY & CO NEW	13,990.84	12,692.07	18,002.72	.248	343.36	1.907	60.820
1,480.000	CAMPBELL SOUP CO	45,477.17	45,477.17	47,907.60	.659	1,716.80	3.584	32.370
355.000	WATSON PHARMACEUTICAL	16,509.30	15,515.98	24,228.75	.333			68.250
420.000	TARGET CORP	21,573.59	21,573.59	20,596.80	.283	504.00	2.447	49.040
600.000	COVIDIEN PLC ISIN IE00868SQD29 SEDOL B68SQD2 ACCOUNT 21-70-885-0185064	29,917.96	29,787.71	26,460.00	.364	540.00	2.041	44.100
685.000	SEADRILL LTD SEDOL B09RMQ1 ISIN BMG7945E1057 ACCOUNT 21-70-885-0185064	20,620.50	20,620.50	18,858.05	.260	2,082.40	11.042	27.530
420.000	ACE LIMITED ISIN CH0044328745 SEDOL B3BQNF6 ACCOUNT 21-70-885-0185064	27,042.50	27,042.50	25,452.00	.350	789.60	3.102	60.600



STATEMENT OF INVESTMENTS

AS OF 09/30/11

JACK J SMITH JR CHARITABLE CONS

PAGE 4

ACCOUNT
21-70-501-0185064

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
818.000	AT&T INC ACCOUNT 21-70-885-0185064	20,915.48	16,570.68	23,329.36	.321	1,439.68	6.171	28.520
430.000	AMERICAN EXPRESS CO ACCOUNT 21-70-884-0185064	18,225.08	18,225.08	19,307.00	.266	309.60	1.604	44.000
1,255.000	AMERICAN WATER WORKS CO INC ACCOUNT 21-70-885-0185064	29,660.41	29,499.98	37,875.90	.521	1,154.60	3.048	30.180
740.000	AMERISOURCEBERGEN CORP ACCOUNT 21-70-885-0185064	21,757.59	20,547.37	27,579.80	.380	384.80	1.395	37.270
708.000	AMERISOURCEBERGEN CORP ACCOUNT 21-70-884-0185064	20,012.10	19,534.21	26,387.16	.363	368.16	1.395	37.270
298.000	APPLE INC ACCOUNT 21-70-884-0185064	27,046.55	22,013.99	113,633.36	1.564			381.320
1,903.000	BANK OF AMERICA CORP ACCOUNT 21-70-885-0185064	30,416.97	22,870.83	11,646.36	.160	76.12	.654	6.120
520.000	BAXTER INTERNATIONAL INC ACCOUNT 21-70-884-0185064	31,573.36	31,573.36	29,192.80	.402	696.80	2.387	56.140
330.000	BORG WARNER INC. ACCOUNT 21-70-884-0185064	21,903.19	21,903.19	19,974.90	.275	158.40	.793	60.530
355.000	BORG WARNER INC. ACCOUNT 21-70-885-0185064	24,410.62	24,410.62	21,488.15	.296	170.40	.793	60.530
500.000	BRISTOL MYERS SQUIBB CO ACCOUNT 21-70-885-0185064	6,115.59	5,390.39	15,690.00	.216	680.00	4.334	31.380
585.000	BRISTOL MYERS SQUIBB CO ACCOUNT 21-70-884-0185064	18,994.60	18,994.60	18,357.30	.253	795.60	4.334	31.380



STATEMENT OF INVESTMENTS

AS OF 09/30/11

JACK J SMITH JR CHARITABLE CONS

PAGE 5

ACCOUNT
21-70-501-0185064

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
-200.000	CF INDUSTRIES HOLDINGS INC ACCOUNT 21-70-885-0185064	-32,804.08	-32,804.08	-24,678.00	.340	-320.00	1.297	123.390
200.000	CF INDUSTRIES HOLDINGS INC ACCOUNT 21-70-884-0185064	32,804.08	32,804.08	24,678.00	.340	320.00	1.297	123.390
853.000	CAPITAL ONE FINANCIAL CORP ACCOUNT 21-70-885-0185064	37,393.36	36,476.15	33,804.39	.465	170.60	.505	39.630
442.000	CELANESE CORP-SERIES A ACCOUNT 21-70-884-0185064	11,244.17	8,615.91	14,378.26	.198	106.08	.738	32.530
395.000	CELGENE CORP ACCOUNT 21-70-884-0185064	22,419.89	22,174.77	24,454.45	.337			61.910
1,024.000	CHEVRON CORPORATION ACCOUNT 21-70-885-0185064	74,372.37	70,226.36	94,812.16	1.305	3,317.76	3.499	92.590
65.000	CHIPOTLE MEXICAN GRIL CL A ACCOUNT 21-70-884-0185064	7,696.86	7,460.23	19,691.75	.271			302.950
630.000	CHUBB CORP ACCOUNT 21-70-885-0185064	36,981.78	36,981.78	37,793.70	.520	982.80	2.600	59.990
1,850.000	CISCO SYSTEMS INC ACCOUNT 21-70-885-0185064	30,005.89	30,005.89	28,675.00	.395	444.00	1.548	15.500
1,067.000	CITIGROUP INC ACCOUNT 21-70-885-0185064	46,669.60	45,179.19	27,331.21	.376	42.68	.156	25.615
339.000	COCA COLA CO ACCOUNT 21-70-885-0185064	17,584.27	15,178.76	22,902.84	.315	637.32	2.783	67.560
903.000	COCA COLA CO ACCOUNT 21-70-884-0185064	51,322.63	49,779.49	61,006.68	.840	1,697.64	2.783	67.560



STATEMENT OF INVESTMENTS

AS OF 09/30/11

JACK J SMITH JR CHARITABLE CONS

PAGE 6

ACCOUNT
21-70-501-0185064

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
-790.000	COCA COLA ENTERPRISES ACCOUNT 21-70-884-0185064	-20,763.02	-20,763.02	-19,655.20	.271	-410.80	2.090	24.880
790.000	COCA COLA ENTERPRISES ACCOUNT 21-70-885-0185064	20,763.02	20,763.02	19,655.20	.271	410.80	2.090	24
1,568.000	COMCAST CORPORATION CL A ACCOUNT 21-70-885-0185064	28,161.90	27,915.73	32,802.56	.451	705.60	2.151	20.920
-465.000	CONOCOPHILLIPS ACCOUNT 21-70-885-0185064	-31,296.13	-31,296.13	-29,443.80	.405	-1,227.60	4.169	63.320
465.000	CONOCOPHILLIPS ACCOUNT 21-70-884-0185064	31,296.13	31,296.13	29,443.80	.405	1,227.60	4.169	63.320
765.000	CROWN HOLDINGS INC ACCOUNT 21-70-884-0185064	28,699.63	28,699.63	23,416.65	.322			30.610
490.000	CROWN HOLDINGS INC ACCOUNT 21-70-885-0185064	16,807.00	16,807.00	14,998.90	.206			30.610
220.000	CUMMINS INC ACCOUNT 21-70-885-0185064	12,500.26	11,923.97	17,965.20	.247	352.00	1.959	81.660
280.000	CUMMINS INC ACCOUNT 21-70-884-0185064	17,194.03	16,590.70	22,864.80	.315	448.00	1.959	81.660
1,560.000	DISCOVER FINANCIAL W/I ACCOUNT 21-70-885-0185064	38,473.54	38,473.54	35,786.40	.493	624.00	1.744	22.940
429.000	DOLLAR TREE INC ACCOUNT 21-70-885-0185064	12,927.97	12,268.00	32,235.06	.444			75.140
480.000	DOVER CORP ACCOUNT 21-70-884-0185064	25,049.23	24,347.18	22,368.00	.308	604.80	2.704	46.600



STATEMENT OF INVESTMENTS

AS OF 09/30/11

JACK J SMITH JR CHARITABLE CONS

PAGE 7

ACCOUNT
21-70-501-0185064

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
505.000	DUPONT E I DE NEMOURS & CO ACCOUNT 21-70-884-0185064	23,703.79	23,703.79	20,184.85	.278	828.20	4.103	39.970
450.000	DUPONT E I DE NEMOURS & CO ACCOUNT 21-70-885-0185064	19,847.19	19,847.19	17,986.50	.248	738.00	4.103	39.970
1,029.000	EMC CORP ACCOUNT 21-70-885-0185064	18,885.07	16,175.78	21,598.71	.297			20.990
1,179.000	EMC CORP ACCOUNT 21-70-884-0185064	21,489.35	21,057.73	24,747.21	.341			20.990
490.000	EQT CORPORATION ACCOUNT 21-70-884-0185064	26,946.57	26,946.57	26,146.40	.360	431.20	1.649	53.360
640.000	EATON CORP ACCOUNT 21-70-884-0185064	26,383.28	24,865.70	22,720.00	.313	870.40	3.831	35.500
505.000	EATON CORP ACCOUNT 21-70-885-0185064	19,876.62	18,799.78	17,927.50	.247	686.80	3.831	35.500
910.000	EBAY INC ACCOUNT 21-70-885-0185064	29,477.53	29,477.53	26,835.90	.369			29.490
720.000	EBAY INC ACCOUNT 21-70-884-0185064	24,451.06	24,451.06	21,232.80	.292			29.490
710.000	EQUITY RESIDENTIAL SH BEN INT REIT ACCOUNT 21-70-885-0185064	28,919.22	27,318.65	36,827.70	.507	1,611.70	4.376	51.870
434.000	EXXON MOBIL CORP ACCOUNT 21-70-885-0185064	29,578.52	28,044.55	31,521.42	.434	815.92	2.588	72.630



STATEMENT OF INVESTMENTS

AS OF 09/30/11

JACK J SMITH JR CHARITABLE CONS

PAGE 8

ACCOUNT
21-70-501-0185064

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
1,289.000	EXXON MOBIL CORP ACCOUNT 21-70-884-0185064	87,822.01	80,731.31	93,620.07	1.288	2,423.32	2.588	72.630
240.000	FOSSIL INC ACCOUNT 21-70-884-0185064	20,085.12	20,085.12	19,454.40	.268			81.000
190.000	FRANKLIN RESOURCES INC ACCOUNT 21-70-885-0185064	23,851.93	23,851.93	18,171.60	.250	205.20	1.129	95.640
3,862.000	GENERAL ELECTRIC CO ACCOUNT 21-70-885-0185064	61,519.03	57,875.46	58,779.64	.809	2,626.16	4.468	15.220
139.000	GOLDMAN SACHS GROUP INC ACCOUNT 21-70-885-0185064	16,813.58	14,198.47	13,142.45	.181	194.60	1.481	94.550
85.000	GOOGLE INC-CL A ACCOUNT 21-70-884-0185064	41,660.34	33,471.49	43,778.40	.602			515.040
620.000	THE HERSHEY COMPANY ACCOUNT 21-70-884-0185064	29,576.44	29,243.92	36,728.80	.505	855.60	2.330	59.240
-840.000	HOME DEPOT INC ACCOUNT 21-70-884-0185064	-36,259.36	-36,259.36	-27,610.80	.380	-974.40	3.529	32.870
840.000	HOME DEPOT INC ACCOUNT 21-70-885-0185064	36,259.36	36,259.36	27,610.80	.380	974.40	3.529	32.870
620.000	INFORMATICA CORP ACCOUNT 21-70-884-0185064	21,003.76	20,911.21	25,389.00	.349			40.950
1,158.000	INTEL CORP ACCOUNT 21-70-884-0185064	27,929.28	23,551.73	24,705.93	.340	972.72	3.937	21.335
2,760.000	INTEL CORP ACCOUNT 21-70-885-0185064	56,282.73	53,774.15	58,884.60	.810	2,318.40	3.937	21.335

STATEMENT OF INVESTMENTS

AS OF 09/30/11

JACK J SMITH JR CHARITABLE CONS

PAGE 9

ACCOUNT
21-70-501-0185064

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
530.000	INTERNATIONAL BUSINESS MACHS CORP ACCOUNT 21-70-884-0185064	53,259.92	48,055.57	92,681.10	1.276	1,590.00	1.716	174.870
2,013.000	JPHORGAN CHASE & CO ACCOUNT 21-70-885-0185064	76,692.84	69,789.06	60,631.56	.834	2,013.00	3.320	30.000
816.000	JOHNSON & JOHNSON ACCOUNT 21-70-885-0185064	52,517.84	51,566.90	51,971.04	.715	1,860.48	3.580	63.690
340.000	JOHNSON & JOHNSON ACCOUNT 21-70-884-0185064	22,603.02	22,603.02	21,654.60	.298	775.20	3.580	63.690
320.000	JOY GLOBAL INC ACCOUNT 21-70-884-0185064	26,438.25	25,970.62	19,961.60	.275	224.00	1.122	62.380
810.000	KBR INC ACCOUNT 21-70-884-0185064	27,342.88	27,342.88	19,140.30	.263	162.00	.846	23.630
1,125.000	KRAFT FOODS INC - A SEDOL 2764296 ISIN US50075N1046 ACCOUNT 21-70-885-0185064	37,827.62	37,827.62	37,777.50	.520	1,305.00	3.454	33.580
310.000	LAUDER ESTEE COS INC CL A ACCOUNT 21-70-884-0185064	29,408.99	29,408.99	27,230.40	.375	325.50	1.195	87.840
670.000	LIMITED BRANDS INC ACCOUNT 21-70-884-0185064	19,517.23	19,517.23	25,801.70	.355	536.00	2.077	38.510
780.000	LIMITED BRANDS INC ACCOUNT 21-70-885-0185064	20,151.15	19,199.99	30,037.80	.413	624.00	2.077	38.510
1,060.000	MACY'S INC ACCOUNT 21-70-884-0185064	30,394.49	30,394.49	27,899.20	.384	848.00	3.040	26.320



STATEMENT OF INVESTMENTS

AS OF 09/30/11

ACCOUNT
21-70-501-0185064

JACK J SMITH JR CHARITABLE CONS

PAGE 10

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
416.000	MCDONALD'S CORP ACCOUNT 21-70-884-0185064	29,625.79	28,715.46	36,533.12	.503	1,164.80	3.188	87.820
1,191.000	MERCK & CO INC ACCOUNT 21-70-885-0185064	37,236.71	35,172.37	38,945.70	.536	2,000.88	5.138	32.000
930.000	MICROSOFT CORP ACCOUNT 21-70-885-0185064	23,984.00	23,984.00	23,147.70	.319	744.00	3.214	24.890
2,626.000	MICROSOFT CORP ACCOUNT 21-70-884-0185064	51,499.46	15,361.33	65,361.14	.900	2,100.80	3.214	24.890
400.000	NATIONAL OILWELL VARCO INC ACCOUNT 21-70-884-0185064	18,371.56	18,371.56	20,488.00	.282	192.00	.937	51.220
570.000	NATIONAL OILWELL VARCO INC ACCOUNT 21-70-885-0185064	27,873.28	24,178.32	29,195.40	.402	273.60	.937	51.220
-465.000	NORFOLK SOUTHERN CORP ACCOUNT 21-70-885-0185064	-32,826.21	-32,826.21	-28,374.30	.390	-799.80	2.819	61.020
465.000	NORFOLK SOUTHERN CORP ACCOUNT 21-70-884-0185064	32,826.21	32,826.21	28,374.30	.390	799.80	2.819	61.020
605.000	NORTHEAST UTILITIES ACCOUNT 21-70-885-0185064	20,537.61	20,537.61	20,358.25	.280	665.50	3.269	33.650
355.000	NOVARTIS AG SPONSORED ADR ACCOUNT 21-70-885-0185064	20,440.51	20,440.51	19,798.35	.272	711.78	3.595	55.770
845.000	OGE ENERGY CORP ACCOUNT 21-70-885-0185064	34,447.30	34,447.30	40,382.55	.556	1,326.65	3.285	47.790



STATEMENT OF INVESTMENTS

AS OF 09/30/11

ACCOUNT
21-70-501-0185064

JACK J SMITH JR CHARITABLE CONS

PAGE 11

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
355.000	0 REILLY AUTOMOTIVE INC ACCOUNT 21-70-884-0185064	16,704.75	16,626.25	23,653.65	.326			66.630
1,793.000	ORACLE CORP ACCOUNT 21-70-884-0185064	38,696.93	33,098.05	51,530.82	.709	430.32	.835	28.
340.000	PVH CORP ACCOUNT 21-70-884-0185064	24,031.00	24,031.00	19,801.60	.273	51.00	.258	58.240
2,868.000	PFIZER INC ACCOUNT 21-70-885-0185064	57,084.96	47,424.97	50,706.24	.698	2,523.84	4.977	17.680
325.000	PHILIP MORRIS INTERNAT-W/I ACCOUNT 21-70-885-0185064	22,367.59	22,367.59	20,273.50	.279	1,001.00	4.937	62.380
965.000	PHILIP MORRIS INTERNAT-W/I ACCOUNT 21-70-884-0185064	52,874.05	50,088.05	60,196.70	.828	2,972.20	4.937	62.380
480.000	POLARIS INDS INC ACCOUNT 21-70-884-0185064	25,011.04	25,011.04	23,985.60	.330	432.00	1.801	49.970
607.000	PROCTER & GAMBLE CO ACCOUNT 21-70-885-0185064	37,126.98	36,539.08	38,350.26	.528	1,274.70	3.324	63.180
190.000	PUBLIC STORAGE REITS ACCOUNT 21-70-884-0185064	18,668.37	18,668.37	21,156.50	.291	722.00	3.413	111.350
950.000	QUALCOMM INC ACCOUNT 21-70-884-0185064	47,740.63	47,207.37	46,198.50	.636	817.00	1.768	48.
270.000	SHIRE PLC SPONSORED ADR ACCOUNT 21-70-884-0185064	22,332.39	22,303.19	25,364.10	.349	108.00	.426	93.930



STATEMENT OF INVESTMENTS

AS OF 09/30/11

ACCOUNT
21-70-501-0185064

JACK J SMITH JR CHARITABLE CONS

PAGE 12

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
145.000	SIMON PROPERTY GROUP INC ACCOUNT 21-70-884-0185064	16,362.95	16,362.95	15,947.10	.219	522.00	3.273	109.980
470.000	JM SHUCKER CO/THE-NEW COM WI ACCOUNT 21-70-885-0185064	27,992.90	25,702.27	34,258.30	.471	902.40	2.634	72.00
295.000	UNION PACIFIC CORP ACCOUNT 21-70-885-0185064	23,812.00	23,812.00	24,092.65	.332	708.00	2.939	81.670
287.000	UNITED TECHNOLOGIES CORP ACCOUNT 21-70-885-0185064	15,418.73	11,762.08	20,193.32	.278	551.04	2.729	70.360
556.000	UNITED TECHNOLOGIES CORP ACCOUNT 21-70-884-0185064	25,802.89	20,851.42	39,120.16	.538	1,067.52	2.729	70.360
540.000	UNITEDHEALTH GROUP INC ACCOUNT 21-70-884-0185064	17,338.21	17,338.21	24,904.80	.343	351.00	1.409	46.120
900.000	UNITEDHEALTH GROUP INC ACCOUNT 21-70-885-0185064	28,925.86	28,742.91	41,508.00	.571	585.00	1.409	46.120
370.000	UNIVERSAL HEALTH SERVICES INC CLASS B ACCOUNT 21-70-884-0185064	20,350.70	20,350.70	12,580.00	.173	74.00	.588	34.000
405.000	VERIZON COMMUNICATIONS INC ACCOUNT 21-70-884-0185064	13,957.42	13,957.42	14,904.00	.205	810.00	5.435	36.800
790.000	VERIZON COMMUNICATIONS INC ACCOUNT 21-70-885-0185064	29,262.13	29,262.13	29,072.00	.400	1,580.00	5.435	36.00
260.000	VISA INC CLASS A SHARES ACCOUNT 21-70-885-0185064	22,234.86	22,234.86	22,287.20	.307	228.80	1.027	85.720



STATEMENT OF INVESTMENTS

AS OF 09/30/11

PAGE 13

ACCOUNT
21-70-501-0185064

JACK J SMITH JR CHARITABLE CONS

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
248.000	VISA INC CLASS A SHARES ACCOUNT 21-70-884-0185064	21,258.04	20,877.45	21,258.56	.293	218.24	1.027	85.720
780.000	VODAFONE GROUP PLC SPONSORED ADR NEW ACCOUNT 21-70-885-0185064	19,789.54	19,789.54	20,014.80	.275	1,125.54	5.624	25.660
2,324.000	WELLS FARGO & COMPANY ACCOUNT 21-70-885-0185064	63,482.45	57,617.65	56,054.88	.771	1,115.52	1.990	24.120
325.000	WHOLE FOODS MKT INC ACCOUNT 21-70-884-0185064	19,913.60	19,913.60	21,225.75	.292	182.00	.857	65.310
1,638.000	WISCONSIN ENERGY CORP ACCOUNT 21-70-885-0185064	36,870.48	36,045.27	51,253.02	.705	1,965.60	3.835	31.290
1,100.000	WYNDHAM WORLDWIDE CORP - W/I ACCOUNT 21-70-885-0185064	33,988.49	33,988.49	31,361.00	.432	660.00	2.105	28.510
700.000	WYNDHAM WORLDWIDE CORP - W/I ACCOUNT 21-70-884-0185064	19,822.18	19,822.18	19,957.00	.275	420.00	2.105	28.510
	TOTAL COMMON STOCK	3,533,102.67	3,352,420.62	3,764,203.74	51.801	88,088.18	2.340	
	MUTUAL FUNDS - FIXED							
104.138	BLACKROCK INFLATION PROTECTED BD PORTFOLIO INST CLASS FD #360 (INCOME INVESTMENT)	1,130.36	1,130.36	1,196.55	.016	44.36	3.707	11.4



STATEMENT OF INVESTMENTS

AS OF 09/30/11

ACCOUNT
21-70-501-0185064

JACK J SMITH JR CHARITABLE CONS

PAGE 14

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
47,680.699	BLACKROCK TOTAL RETURN PORTFOLIO II INSTL FUND 332 INSTITUTIONAL CLASS ACCOUNT 21-70-001-0185064	447,127.07	439,155.91	449,152.18	6.181	17,784.90	3.960	9.420
72.069	BLACKROCK TOTAL RETURN PORTFOLIO II INSTL FUND 332 INSTITUTIONAL CLASS (INCOME INVESTMENT) ACCOUNT 21-70-001-0185064	684.02	684.02	678.89	.009	26.88	3.959	9.420
35,437.943	DODGE & COX INCOME FUND ACCOUNT 21-70-001-0185064	472,411.99	471,847.33	469,907.12	6.467	19,526.31	4.155	13.260
12,338.129	TEMPLETON GLOBAL BOND FUND AD FUND #616 ACCOUNT 21-70-001-0185064	171,500.00	171,500.00	156,077.33	2.148	7,822.37	5.012	12.650
36,687.832	WELLS FARGO ADVANTAGE TOTAL RETURN BOND FUND I ACCOUNT 21-70-001-0185064	477,767.11	477,767.11	479,143.09	6.594	12,547.24	2.619	13.060
602.585	WELLS FARGO ADVANTAGE TOTAL RETURN BOND FUND I (INCOME INVESTMENT) ACCOUNT 21-70-001-0185064	7,478.08	7,478.08	7,869.76	.108	206.08	2.619	13.060
TOTAL MUTUAL FUNDS - FIXED		1,578,098.63	1,569,562.81	1,564,024.92	21.523	57,958.14	3.706	
MUTUAL FUNDS - EQUITY								
602.540	ARTISAN FDS INC INTERNATIONAL FUND # 661 (INCOME INVESTMENT)	17,419.43	17,419.43	11,068.66	.152	169.92	1.535	18.370



STATEMENT OF INVESTMENTS

AS OF 09/30/11

PAGE 15

JACK J SMITH JR CHARITABLE CONS

ACCOUNT
21-70-501-0185064

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
19,661.341	ARTISAN FDS INC INTERNATIONAL FUND # 661 ACCOUNT 21-70-001-0185064	477,844.08	457,190.77	361,178.83	4.971	5,544.50	1.535	18.370
25.194	BARON SHALL CAP FUND FUND #583 (INCOME INVESTMENT) ACCOUNT 21-70-001-0185064	599.37	599.37	525.04	.007			20.840
3,450.069	BARON SMALL CAP FUND FUND #583 ACCOUNT 21-70-001-0185064	63,305.68	47,974.63	71,899.44	.990			20.840
265.368	BLACKROCK FUNDS INTL OPPORTUNITIES PORTFOLIO FUND 334 INSTITUTIONAL SHARES (INCOME INVESTMENT) ACCOUNT 21-70-001-0185064	11,027.39	11,027.39	7,525.84	.104	159.75	2.123	28.360
3,500.745	BLACKROCK FUNDS INTL OPPORTUNITIES PORTFOLIO FUND 334 INSTITUTIONAL SHARES ACCOUNT 21-70-001-0185064	106,444.58	102,856.54	99,281.13	1.366	2,107.45	2.123	28.360
16,330.819	BLACKROCK FUNDS EQUITY DIVIDEND INSTITUTIONAL CLASS FUND 383 ACCOUNT 21-70-001-0185064	277,336.92	275,643.46	268,641.97	3.697	5,879.09	2.188	16.450
9,859.784	GOLDMAN SACHS GRTH OPPOR INSTIT CLASS FD #1132 ACCOUNT 21-70-001-0185064	214,077.31	208,238.64	201,928.38	2.779			20.480



STATEMENT OF INVESTMENTS

AS OF 09/30/11

JACK J SMITH JR CHARITABLE CONS

PAGE 16

ACCOUNT
21-70-501-0185064

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
57.115	HARBOR INTERNATIONAL FUND CLASS INS (INCOME INVESTMENT) ACCOUNT 21-70-001-0185064	3,943.22	3,943.22	2,863.17	.039	75.16	2.625	50.130
7,420.496	HARBOR INTERNATIONAL FUND CLASS INS ACCOUNT 21-70-001-0185064	378,149.21	336,066.82	371,989.46	5.119	9,765.37	2.625	50.130
1,732.552	T ROWE PRICE MID CAP VALUE FD #115 (INCOME INVESTMENT) ACCOUNT 21-70-001-0185064	39,448.87	39,448.87	35,881.15	.494	415.81	1.159	20.710
8,734.310	T ROWE PRICE MID CAP VALUE FD #115 ACCOUNT 21-70-001-0185064	169,495.98	141,404.52	180,887.56	2.489	2,096.23	1.159	20.710
735.951	ROYCE OPPORTUNITY FUND INVESTMENT CLASS FD # 249 (INCOME INVESTMENT) ACCOUNT 21-70-001-0185064	8,994.38	8,994.38	6,564.68	.090			8.920
6,044.474	ROYCE OPPORTUNITY FUND INVESTMENT CLASS FD # 249 ACCOUNT 21-70-001-0185064	42,461.79	32,640.16	53,916.71	.742			8.920
5,465.000	VANGUARD MSCI EMERGING MARKETS ETF ACCOUNT 21-70-001-0185064	187,819.15	185,592.94	195,810.95	2.695	4,951.29	2.529	35.830



STATEMENT OF INVESTMENTS

AS OF 09/30/11

ACCOUNT
21-70-501-0185064

JACK J SMITH JR CHARITABLE CONS

PAGE 17

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
	TOTAL MUTUAL FUNDS - EQUITY	<u>1,998,367.36</u>	<u>1,869,041.14</u>	<u>1,869,962.97</u>	<u>25.734</u>	<u>31,164.57</u>	<u>1.667</u>	
	TOTAL INVESTMENTS	7,177,528.29	6,858,984.20	7,266,151.26	100.000	177,247.59	2.439	



A C C O U N T S U M M A R Y

09/30/11 THROUGH 09/30/11

ACCOUNT
21-70-501-0185064

JACK J SMITH JR CHARITABLE CONS

PAGE 18

	INCOME CASH	INCOME CARRYING VALUE	PRINCIPAL CASH	PRINCIPAL CARRYING VALUE
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	0.00	90,725.12	0.00	7,086,803.17
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YOUR TRUST ACCOUNT BALANCES ON 09/30/11 WERE

DURING THE PERIOD INCOME WAS RECEIVED FROM
NO DIVIDENDS THIS PERIOD
NO NON-TAXABLE INTEREST INCOME THIS PERIOD
NO TAXABLE INTEREST INCOME THIS PERIOD
NO RENTAL INCOME THIS PERIOD

THUS TOTAL INCOME RECEIVED EQUALED

NO CONTRIBUTIONS WERE RECEIVED THIS PERIOD

NO SALES THIS PERIOD

NO INCOME OR PRINCIPAL TRANSFERS THIS PERIOD

NO PURCHASES THIS PERIOD

EXPENSES INCURRED DURING THIS PERIOD WERE
NO FEES CHARGED THIS PERIOD
NO OTHER EXPENSES THIS PERIOD

FOR TOTAL EXPENSES OF

NO PAYMENTS WERE MADE TO OR FOR YOU THIS PERIOD

YOUR CURRENT BALANCES AS OF 09/30/11 ARE

	0.00	90,725.12	0.00	7,086,803.17
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