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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2010

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning 10/1/2010, and ending 9/30/2011

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation

TARTAGLINO RICHARDS FOUNDATION

A Employer identification number

30-0256097

Number and street (or P O box number if mail is not delivered to street address)

4419 Normandy

Room/suite

B Telephone number (see page 10 of the instructions)

(214) 526-5435

City or town, state, and ZIP code

Dallas

TEXAS

75205

H Check type of organization ☐ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationC If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 6,563,760

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis)**Part I** Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)	500,000			
2 Check ☐ if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	81,427	81,427		
4 Dividends and interest from securities	21,390	21,390		
5 a Gross rents		0		
b Net rental income or (loss)	0			
6 a Net gain or (loss) from sale of assets not on line 10	72,137			
b Gross sales price for all assets on line 6a	579,043			
7 Capital gain net income (from Part IV, line 2)		72,137		
8 Net short-term capital gain			0	
9 Income modifications				
10 a Gross sales less returns and allowances	0			
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)	0			
11 Other income (attach schedule)	46,262	0	0	
12 Total. Add lines 1 through 11	628,692	174,954	0	
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc.	0			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16 a Legal fees (attach schedule)	0	0	0	0
b Accounting fees (attach schedule)	3,731	1,865	1,866	0
c Other professional fees (attach schedule)	28,959	0	0	0
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions)	0	0	0	0
19 Depreciation (attach schedule) and depletion	0	0	0	0
20 Occupancy	0		0	
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)	11,504	201	11,303	
24 Total operating and administrative expenses. Add lines 13 through 23	44,194	2,066	13,169	0
25 Contributions, gifts, grants paid	668,550			668,550
26 Total expenses and disbursements. Add lines 24 and 25	712,744	2,066	13,169	668,550
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	-184,052			
b Net investment income (if negative, enter -0-)		172,888		
c Adjusted net income (if negative, enter -0-)			0	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash—non-interest-bearing		3,172,982	2,288,071	2,288,071
	2	Savings and temporary cash investments				
	3	Accounts receivable	0			
		Less allowance for doubtful accounts	0	0	0	0
	4	Pledges receivable	0			
		Less allowance for doubtful accounts	0	0	0	0
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)		0	0	0
	7	Other notes and loans receivable (attach schedule)	0			
		Less allowance for doubtful accounts	0	0	0	0
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments—U S and state government obligations (attach schedule)		0	0	0
	b	Investments—corporate stock (attach schedule)		0	0	0
	c	Investments—corporate bonds (attach schedule)		3,562,753	4,273,953	4,273,953
	11	Investments—land, buildings, and equipment basis	0			
	Less accumulated depreciation (attach schedule)	0	0	0	0	
12	Investments—mortgage loans					
13	Investments—other (attach schedule)		0	0	0	
14	Land, buildings, and equipment basis	4,319				
	Less accumulated depreciation (attach schedule)	4,319	0	0	0	
15	Other assets (describe Purchased interest on bonds)		12,076	1,736	1,736	
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)		6,747,811	6,563,760	6,563,760	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons		0	0	
	21	Mortgages and other notes payable (attach schedule)		0	0	
	22	Other liabilities (describe)		0	0	
	23	Total liabilities (add lines 17 through 22)		0	0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.	☐			
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.	☐			
	27	Capital stock, trust principal, or current funds			0	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds		6,747,811	6,563,760	
30	Total net assets or fund balances (see page 17 of the instructions)		6,747,811	6,563,760		
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)		6,747,811	6,563,760		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,747,811
2	Enter amount from Part I, line 27a	2	-184,052
3	Other increases not included in line 2 (itemize) Rounding	3	1
4	Add lines 1, 2, and 3	4	6,563,760
5	Decreases not included in line 2 (itemize)	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	6,563,760

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a Goldman Sachs # 13669	P	various	various
b Goldman Sachs # 13688	P	various	various
c Investment adjustments	P	various	various
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 132,057	0	105,161	26,896
b 446,670	0	401,745	44,925
c 316	0	0	316
d 0	0	0	0
e 0	0	0	0

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a 0	0	0	26,896
b 0	0	0	44,925
c 0	0	0	316
d 0	0	0	0
e 0	0	0	0

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	72,137
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8 }	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	136,761	5,817,394	0.023509
2008	328,147	4,502,988	0.072873
2007	194,365	5,051,558	0.038476
2006	146,716	3,918,399	0.037443
2005	119,013	3,233,066	0.036811

2 Total of line 1, column (d)	2	0.209112
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.041822
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	6,625,628
5 Multiply line 4 by line 3	5	277,097
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,729
7 Add lines 5 and 6	7	278,826
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.	8	668,550

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	1,729
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0
3 Add lines 1 and 2		3	1,729
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,729
6 Credits/Payments			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	250	
b Exempt foreign organizations—tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	0	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	250	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	0	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,479	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	0	
11 Enter the amount of line 10 to be Credited to 2011 estimated tax ☐ 0 Refunded ☐	11	0	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ None (2) On foundation managers ☐ \$ None		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ☐ TX		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X	
14	The books are in care of ▶ A. James Lynn, Attorney, CPA Telephone no ▶ (214) 341-0000 Located at ▶ 6820 Walling Lane Dallas TX ZIP+4 ▶ 75231			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ ☐	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No If "Yes," list the years ▶ 20 , 20 , 20 , 20		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 22 of the instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20 , 20 , 20 , 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

If "Yes," attach the statement required by Regulations section 53.4945–5(d). ☐ Yes ☒ No

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

5b	N/A
6b	
7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Attached Statement		00	0	0
		00	0	0
		00	0	0
		00	0	0
		00	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		0
		0
		0
		0
		0
		0
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 See attached statement	None
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1	
2	
All other program-related investments See page 24 of the instructions	
3	0
Total. Add lines 1 through 3	0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		0
		0
		0
		0
		0
		0
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 See attached statement	None
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 Funds are invested into four brokerage accounts with Goldman Sachs	4,273,953
2	
All other program-related investments See page 24 of the instructions	0
3	
Total. Add lines 1 through 3	4,273,953

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	4,071,968
b	Average of monthly cash balances	1b	2,654,558
c	Fair market value of all other assets (see page 25 of the instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	6,726,526
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	6,726,526
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	4	100,898
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	6,625,628
6	Minimum investment return. Enter 5% of line 5	6	331,281

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	331,281
2a	Tax on investment income for 2010 from Part VI, line 5	2a	1,729
b	Income tax for 2010 (This does not include the tax from Part VI)	2b	0
c	Add lines 2a and 2b	2c	1,729
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	329,552
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	329,552
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	329,552

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	668,550
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	668,550
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	1,729
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	666,821

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				329,552
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			289,164	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2010				
a From 2005	0			
b From 2006	0			
c From 2007	0			
d From 2008	0			
e From 2009	0			
f Total of lines 3a through e	0			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ <u>668,550</u>				
a Applied to 2009, but not more than line 2a			289,164	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c Treated as distributions out of corpus (Election required—see page 26 of the instructions)	0			
d Applied to 2010 distributable amount				329,552
e Remaining amount distributed out of corpus	49,834			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	49,834			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions		0		
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions			0	
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions)	0			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	49,834			
10 Analysis of line 9				
a Excess from 2006	0			
b Excess from 2007	0			
c Excess from 2008	0			
d Excess from 2009	0			
e Excess from 2010	49,834			

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) **N/A**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2010	(b) 2009	(c) 2008	(d) 2007	
0				0
0	0	0	0	0
0				0
				0
0	0	0	0	0
0	0	0	0	0
				0
0				0
				0
				0
				0
				0
				0

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

Nancy G Tartaglino

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

Judy Abington 4419 Normandy Dallas TX 75205 (214) 526-5434

b The form in which applications should be submitted and information and materials they should include

Two or three page grant request including non-profit status and financial statements

c Any submission deadlines

April 1 and November 1

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

No restrictions All grants are considered and voted upon by the board.

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i>				
Dallas Women's Foundation 4300 MacArthur, Suite 255 Dallas TX 75209	None	501(c)(3)	General use	200,000
Lena Pope Home 3131 Sanquinet Street Fort Worth TX 76107	None	501(c)(3)	General use	10,000
Visiting Nurse Association 1600 Viceroy Drive, Suite 400 Dallas TX 75235	None	501(c)(3)	General use	1,000
Salesmanship Club 106 E Tenth Street Dallas TX 75203	None	501(c)(3)	50% School / 50% family therapy	10,000
University of Texas Foundation 106 East 6th Street, Suite 800 Austin TX 78701	None	501(c)(3)	School of Nursing for equipment	2,800
City House 1947 Avenue K, Suite 400 Plano TX 75074	None	501(c)(3)	Emergency Shelter	2,500
La Fiesta De Las Seis Banderas 5500 Caruth Haven Lane Dallas TX 75225	None	501(c)(3)	General use	1,000
Max Hulse Family Fund Communities Foundation 5500 Caruth Haven Lane Dallas TX 75225	None	501(c)(3)	General use	1,000
Ranching Heritage Association Box 43200, Texas Tech University Lubbock TX 79409	None	501(c)(3)	General use	5,000
Camp John Marc 2824 Swiss Avenue Dallas TX 75204	None	501(c)(3)	Summer Camp Cabin	3,500
Becky R Sykes Operational Endowment 4300 MacArthur, Suite 255 Dallas TX 75209	None	501(c)(3)	General use	5,000
Total See Attached Statement			3a	668,550
b <i>Approved for future payment</i>				
Total			3b	0

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**Recipient(s) paid during the year**

Name

Cornerstone Community Dev. Corp

Street

P O Box 152551

City	State	Zip Code	Foreign Country
Dallas	TX	75315	
Relationship	Foundation Status		
None	501(c)(3)		
Purpose of grant/contribution			Amount
Full time staff for summer camp			3,500

Name

Cornerstone Community Dev. Corp

Street

P O Box 152251

City	State	Zip Code	Foreign Country
Dallas	TX	75315	
Relationship	Foundation Status		
None	501(c)(3)		
Purpose of grant/contribution			Amount
Clinic			2,500

Name

Sky Harbor Chaplaincy, Inc

Street

3800 E Sky Harbor Blvd, # 4351

City	State	Zip Code	Foreign Country
Phoenix	AZ	85034	
Relationship	Foundation Status		
None	501(c)(3)		
Purpose of grant/contribution			Amount
General use			250

Name

E3 Partners Ministry

Street

2001 W Plano Pkw, Suite 2600

City	State	Zip Code	Foreign Country
Plano	TX	75075	
Relationship	Foundation Status		
None	501(c)(3)		
Purpose of grant/contribution			Amount
Habor Chapel Cross			1,000

Name

Farmersville Education Foundation

Street

P O Box 592

City	State	Zip Code	Foreign Country
Farmersville	TX	75442	
Relationship	Foundation Status		
None	501(c)(3)		
Purpose of grant/contribution			Amount
Best Memorial Scholarship for 2011			5,000

Name

Texas Christian University

Street

P O Box 298530

City	State	Zip Code	Foreign Country
Fort Worth	TX	76129	
Relationship	Foundation Status		
None	501(c)(3)		
Purpose of grant/contribution			Amount
Neeley School of Business			375,000

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**Recipient(s) paid during the year**

Name

University of Texas

Street

P O Box 7758, UT Station

City

Austin

State

VI

Zip Code

78713

Foreign Country

Relationship

None

Foundation Status

501(c)(3)

Purpose of grant/contribution

Wesley Code EID # wk587 Scholarship

Amount

5,000

Name

Children's Education Fund

Street

P O Box 225748

City

Dallas

State

TX

Zip Code

75225

Foreign Country

Relationship

None

Foundation Status

501(c)(3)

Purpose of grant/contribution

Scholarship grant - 2011

Amount

1,500

Name

Farmersville Historical Society

Street

5004 County Road 660

City

Farmersville

State

TX

Zip Code

75442

Foreign Country

Relationship

None

Foundation Status

501(c)(3)

Purpose of grant/contribution

Oral history project

Amount

1,500

Name

YWCA of Metropolitan Dallas

Street

4144 N Central Expressway, Suite 580

City

Dallas

State

TX

Zip Code

75204

Foreign Country

Relationship

None

Foundation Status

501(c)(3)

Purpose of grant/contribution

General operating support

Amount

10,000

Name

HELPS International

Street

14340 County Road 660

City

Farmersville

State

TX

Zip Code

75442

Foreign Country

Relationship

None

Foundation Status

501(c)(3)

Purpose of grant/contribution

Santa Avelina School project for 2012

Amount

2,500

Name

Clayton Dabney Foundation for Kid

Street

6500 Greenville Ave, Suite 342

City

Dallas

State

TX

Zip Code

75206

Foreign Country

Relationship

None

Foundation Status

501(c)(3)

Purpose of grant/contribution

Medicine of the Heart

Amount

5,000

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**Recipient(s) paid during the year**

Name

Jubilee Part & Community Center Corp

Street

907 Bank Street

City

Dallas

State

TX

Zip Code

75223

Foreign Country

Relationship

None

Foundation Status

501(c)(3)

Purpose of grant/contribution

General use

Amount

1,500

Name

Buckner Family Pathways Program

Street

600 N Pearl St, Suite 2260

City

Dallas

State

TX

Zip Code

75201

Foreign Country

Relationship

None

Foundation Status

501(c)(3)

Purpose of grant/contribution

Pathways Program

Amount

10,000

Name

Spirthorse International

Street

1960 Post Oak Drive

City

Conrith

State

TX

Zip Code

76210

Foreign Country

Relationship

None

Foundation Status

501(c)(3)

Purpose of grant/contribution

Riding instructors

Amount

2,500

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

0

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

0

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

0

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2010

Name of the organization

Employer identification number

TARTAGLINO RICHARDS FOUNDATION

30-0256097

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

TARTAGLINO RICHARDS FOUNDATION

Employer identification number

30-0256097

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	First Preston Management, Inc. 5040 Addison Circle, Suite 400 Addison TX 75001 Foreign State or Province Foreign Country	\$ 500,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
2	 Foreign State or Province Foreign Country	\$ 0	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
3	 Foreign State or Province Foreign Country	\$ 0	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
4	 Foreign State or Province Foreign Country	\$ 0	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
5	 Foreign State or Province Foreign Country	\$ 0	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
6	 Foreign State or Province Foreign Country	\$ 0	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization

TARTAGLINO RICHARDS FOUNDATION

Employer identification number

30-0256097

Part II Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ ----- 0	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ ----- 0	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ ----- 0	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ ----- 0	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ ----- 0	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ ----- 0	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ ----- 0	-----

Name of organization

Employer identification number

TARTAGLINO RICHARDS FOUNDATION

30-0256097

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once. See instructions.) ▶ \$ 0

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
			
	For Prov	Country	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
			
	For Prov	Country	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
			
	For Prov	Country	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
			
	For Prov	Country	

Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Index	Check "X" if Sale of Security	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Totals				
									Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Net Gain or Loss
1	X	Goldman Sachs # 13669				various	P	various	132,057	105,161			26,896
2	X	Goldman Sachs # 13688				various	P	various	446,670	401,745			44,925
3	X	Basis adjustments				various	P	various	316				316
4													0
5													0
6													0
7													0
8													0
9													0
10													0
Amount									579,043	506,906	72,137		
Long Term CG Distributions													
Short Term CG Distributions													

Line 11 (990-PF) - Other Income

		-146,262	0	0
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
1	Unrealized gain/loss on securities	-146,262	0	
2			0	
3			0	
4			0	
5			0	
6			0	
7			0	
8			0	
9			0	
10			0	

Line 16b (990-PF) - Accounting Fees

		3,731	1,865	1,866	0
	Name of Organization or Person Providing Service	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	A. James Lynn, JD, CPA	3,731	1,865	1,866	
2					
3					
4					
5					
6					
7					
8					
9					
10					

Line 16c (990-PF) - Other Fees

		28,959	0	0	0
	Name of Organization or Person Providing Service	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	Goldman Sachs - Investment Mgt Svc	28,959			
2					
3					
4					
5					
6					
7					
8					
9					
10					

Line 23 (990-PF) - Other Expenses

		11,504	201	11,303	0
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Amortization. See attached statement	0	0	0	0
2	Fund Raising				
3	Rent	1,751		1,751	
4	Utilities	4,565		4,565	
5	Telephone, fax, DSL	1,829		1,829	
6	Grounds supplies, maintenance	1,544		1,544	
7	Repairs	101		101	
8	Office supplies	1,036		1,036	
9	Excise tax	477		477	
10	Foreign tax withheld	201	201		

Part II, Line 10c (990-PF) - Investments - Corporate Bonds

					3,562,753	4,273,953	3,562,753	4,273,953
	Description	Interest Rate	Maturity Date	Book Value Beg. of Year	Book Value End of Year	FMV Beg. of Year	FMV End of Year	
1	Goldman Sachs # 13615 (various)			246,730	250,435	246,730	250,435	
2	Goldman Sachs # 13669 (various)			661,398	769,259	661,398	769,259	
3	Goldman Sachs # 13688 (various)			613,003	661,566	613,003	661,566	
4	Goldman Sachs # 21599 (various)			2,041,622	2,592,693	2,041,622	2,592,693	
5								
6								
7								
8								
9								
10								

Part II, Line 14 (990-PF) - Land, Buildings, and Equipment

		4,319	4,319	4,319	4,319	0	0	0	0
	Item or Category	Cost or Other Basis	Accumulated Depreciation Beg. of Year	Accumulated Depreciation End of Year	Book Value Beg. of Year	Book Value End of Year	FMV End of Year		
1	Furniture & fixtures	4,319	4,319	4,319	0	0	0		
2					0	0	0		
3					0	0	0		
4					0	0	0		
5					0	0	0		
6					0	0	0		
7					0	0	0		
8					0	0	0		
9					0	0	0		
10					0	0	0		

Part II, Line 15 (990-PF) - Other Assets

	Description	Beginning Balance	Ending Balance	Fair Market Value
1	Purchased interest on bonds	12,076	1,736	1,736
2				
3				
4				
5				
6				
7				
8				
9				
10				

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

1	Rounding	1	1
2	Total	2	1

[illegible]

[illegible]

Part

	0	0	0	0	0
	Compensation	Benefits	Expense Account	Explanation	
1	None	None	None		
2	None	None	None		
3	None	None	None		
4	None	None	None		
5	None	None	None		
6	None	None	None		
7					
8					
9					
10					

Part XV, Lines 1a-1b (990-PF) - Information Regarding Foundation Managers

List Managers who contributed more than 2%
of the total contributions received by the foundation

- 1 Nancy G Tartaglino
- 2 _____
- 3 _____
- 4 _____
- 5 _____
- 6 _____
- 7 _____
- 8 _____
- 9 _____
- 10 _____

List Managers who own 10% or more of the stock
of a corporation of which the foundation has a 10%
or greater interest

- 1 _____
- 2 _____
- 3 _____
- 4 _____
- 5 _____
- 6 _____
- 7 _____
- 8 _____
- 9 _____
- 10 _____

Tartaglino Richards Foundation - September 30, 2011

TRF09302011

	Cash	Securities	Other	Total Assets	Exempt Assets	Min cash 1.50%	Excludible Assets	Assets FMV
October 2010	2,972,539	3,613,989		6,586,528	0	98,798	98,798	6,487,730
November 2010	2,962,222	3,614,659		6,576,881	0	98,653	98,653	6,478,228
December 2010	2,962,485	3,687,741		6,650,226	0	99,753	99,753	6,550,473
January 2011	2,754,073	3,946,189		6,700,262	0	100,504	100,504	6,599,758
February 2011	2,753,694	4,050,048		6,803,742	0	102,056	102,056	6,701,686
March 2011	2,737,451	3,998,420		6,735,871	0	101,038	101,038	6,634,833
April 2011	2,735,029	4,076,291		6,811,320	0	102,170	102,170	6,709,150
May 2011	2,707,874	4,082,311		6,790,185	0	101,853	101,853	6,688,332
June 2011	2,330,325	4,543,797		6,874,122	0	103,112	103,112	6,771,010
July 2011	2,329,083	4,539,889		6,868,972	0	103,035	103,035	6,765,937
August 2011	2,321,849	4,434,599		6,756,448	0	101,347	101,347	6,655,101
September 2011	2,288,070	4,275,689		6,563,758	0	98,456	98,456	6,465,302
Average for 12 months	2,654,558	4,071,968	0	6,726,526				6,625,628 (2)
Applicable percentage						5.00%		5%
Minimum investment return								<u>331,281 (1)</u>

Notes"

(1) Unless set-a-side rules applicable - distribute by 9/30/12

(2) MIR base computed on monthly average as determined by financial statements

TARTAGLINO RICHARDS FOUNDATION (30-0256097)
Return of Private Foundation
Fiscal year ending September 30, 2011

Part IX-A - Summary of Direct Charitable Activities

The Board of Directors holds semi-annual meetings to review proposed grants which have been submitted to the Foundation.

The Foundation does support some fund raising projects such as Habitat for Humanity through grants and volunteer work. However the mission of the Foundation is predominately to provide for women, girls, poverty stricken individuals and housing for the needy. This mission is fulfilled by making grants to IRC 501(c)(3) organizations.

Grants during the current tax year were made to twenty-five different organizations. The largest receipant, Dallas Women's Foundation, which received \$200,000, works with the Foundation as an advisor and distributor to agencies that are 75% beneficial to women and girls.

TARTAGLINO RICHARDS FOUNDATION 08112796

From 01-Oct-2010 To 30-Sep-2011

Realized Gains/Losses

Base Currency: USD

Gain Type		Gains		Losses		Total
Short-Term		39,914.29		-18,217.14		21,697.15
Long-Term		54,866.41		-14,761.40		50,124.01
Total Realized Gains/Losses		104,779.70		-32,958.54		71,821.16

Realized Gains/Losses

Acct Number	Acct Name	Symbol	Description	Trade Date	Date Sold/ Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	ETR	ENTERGY CORPORATION CMN	04-Nov-2004	11-Apr-2011	56	3,681.78	3,687.840	-26.06	2,349	Long-Term
012-13689-3	TARTAGLINO-RICHARDS FND DEL	INTU	INTUIT INC CMN	04-Nov-2004	11-Jan-2011	64	3,051.36	1,462.720	1,588.64	2,259	Long-Term
012-13689-3	TARTAGLINO-RICHARDS FND DEL	PG	PROCTER & GAMBLE COMPANY (THE) CMN	04-Nov-2004	11-May-2011	103	6,789.41	4,483.750	2,305.66	2,379	Long-Term
012-13689-3	TARTAGLINO-RICHARDS FND DEL	INTU	INTUIT INC CMN	07-Jan-2005	11-Jan-2011	34	1,621.04	702.950	918.09	2,195	Long-Term
012-13689-3	TARTAGLINO-RICHARDS FND DEL	INTU	INTUIT INC CMN	07-Jan-2005	19-Jan-2011	71	3,362.21	1,467.930	1,894.29	2,203	Long-Term
012-13689-3	TARTAGLINO-RICHARDS FND DEL	INTU	INTUIT INC CMN	07-Jan-2005	19-Apr-2011	20	1,085.88	413.500	672.38	2,293	Long-Term
012-13689-3	TARTAGLINO-RICHARDS FND DEL	INTU	INTUIT INC CMN	07-Jan-2005	09-May-2011	76	4,120.14	1,571.300	2,548.84	2,313	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	ETR	ENTERGY CORPORATION CMN	03-Jan-2006	11-Apr-2011	15	980.83	1,043.770	-62.94	1,924	Long-Term
012-13689-3	TARTAGLINO-RICHARDS FND DEL	PG	PROCTER & GAMBLE COMPANY (THE) CMN	01-Feb-2006	11-May-2011	55	3,825.41	3,264.280	561.13	1,925	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	JPM	JPMORGAN CHASE & CO CMN	16-Feb-2006	01-Feb-2011	22	1,009.12	894.200	114.92	1,811	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FND DEL	GOOG	GOOGLE INC CMN CLASS A	22-Mar-2006	18-Jan-2011	2	1,280.29	683.970	596.32	1,763	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	ETR	ENTERGY CORPORATION CMN	11-Apr-2006	11-Apr-2011	25	1,634.72	1,718.600	-83.88	1,826	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	ETR	ENTERGY CORPORATION CMN	11-Apr-2006	12-Apr-2011	10	663.67	687.440	-23.77	1,827	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	BAX	BAXTER INTERNATIONAL INC CMN	26-May-2006	27-Oct-2010	58	2,805.91	2,222.560	583.35	1,615	Long-Term

Acct Number	Acct Name	Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
012-13688-3	TARTAGLINO RICHARDS FON LC VAL	JPM	JPMORGAN CHASE & CO CMN	15-Jun-2008	01-Feb-2011	6	375.21	243.220	32.00	1,607	Long-Term
012-13689-3	TARTAGLINO RICHARDS FND DEC	PG	PROCTER & GAMBLE COMPANY THE CMN	16-Oct-2008	11-May-2011	30	1,977.50	1,653.920	113.58	1,668	Long-Term
012-13689-3	TARTAGLINO RICHARDS FND DEL	TDC	TERADATA CORPORATION CMN	11-Oct-2007	18-Oct-2010	49	1,876.12	1,321.680	554.45	1,103	Long-Term
012-13689-3	TARTAGLINO RICHARDS FND DEL	TDC	TERADATA CORPORATION CMN	11-Oct-2007	19-Oct-2010	15	568.44	404.600	153.85	1,104	Long-Term
012-13689-3	TARTAGLINO RICHARDS FND DEL	TDC	TERADATA CORPORATION CMN	19-Oct-2007	19-Oct-2010	12	446.76	332.850	113.91	1,096	Long-Term
012-13689-3	TARTAGLINO RICHARDS FND DEL	TDC	TERADATA CORPORATION CMN	19-Oct-2007	23-Feb-2011	64	2,990.22	1,775.180	1,215.04	1,223	Long-Term
012-13688-3	TARTAGLINO RICHARDS FON LC VAL	TRV	THE TRAVELERS COMPANIES, INC CMN	29-Nov-2007	19-Nov-2010	70	3,877.35	3,634.270	243.08	1,086	Long-Term
012-13688-3	TARTAGLINO RICHARDS FON LC VAL	BAX	BAXTER INTERNATIONAL INC CMN	11-Jan-2008	27-Oct-2010	40	2,024.77	2,546.710	-521.94	1,020	Long-Term
012-13688-3	TARTAGLINO RICHARDS FON LC VAL	BAX	BAXTER INTERNATIONAL INC CMN	11-Jan-2008	13-Jan-2011	5	251.72	318.340	-66.62	1,098	Long-Term
012-13688-3	TARTAGLINO RICHARDS FON LC VAL	BAX	BAXTER INTERNATIONAL INC CMN	16-Jan-2008	13-Jan-2011	25	1,762.03	2,256.730	-494.71	1,093	Long-Term
012-13688-3	TARTAGLINO RICHARDS FON LC VAL	BAX	BAXTER INTERNATIONAL INC CMN	23-Jan-2008	13-Jan-2011	55	2,768.90	3,311.080	-542.18	1,086	Long-Term
012-13688-3	TARTAGLINO RICHARDS FON LC VAL	SLM	SLM CORPORATION CMN	29-Jan-2008	01-Feb-2011	10	148.40	202.150	-52.75	1,099	Long-Term
012-13688-3	TARTAGLINO RICHARDS FON LC VAL	TRV	THE TRAVELERS COMPANIES, INC CMN	31-Jan-2008	19-Nov-2010	3	166.17	141.750	24.42	1,023	Long-Term
012-13688-3	TARTAGLINO RICHARDS FON LC VAL	TRV	THE TRAVELERS COMPANIES, INC CMN	31-Jan-2008	25-Jan-2011	57	3,202.86	2,693.250	509.63	1,080	Long-Term
012-13688-3	TARTAGLINO RICHARDS FON LC VAL	SLM	SLM CORPORATION CMN	29-Feb-2008	01-Feb-2011	6	89.84	125.010	-35.37	1,068	Long-Term
012-13688-3	TARTAGLINO RICHARDS FON LC VAL	SLM	SLM CORPORATION CMN	07-Mar-2008	01-Feb-2011	45	672.29	808.700	-136.41	1,061	Long-Term
012-13688-3	TARTAGLINO RICHARDS FON LC VAL	SLM	SLM CORPORATION CMN	07-Mar-2008	30-Mar-2011	74	1,145.97	1,329.860	-183.89	1,118	Long-Term
012-13688-3	TARTAGLINO RICHARDS FON LC VAL	SLM	SLM CORPORATION CMN	11-Mar-2008	30-Mar-2011	55	851.74	976.310	-124.57	1,114	Long-Term
012-13688-3	TARTAGLINO RICHARDS FON LC VAL	SLM	SLM CORPORATION CMN	22-Apr-2008	30-Mar-2011	30	464.56	526.340	-61.76	1,072	Long-Term

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012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	SLM	SLM CORPORATION CMN	23-May-2008	30-Mar-2011	21	325.21	486.770	-161.56	1,007	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	SLM	SLM CORPORATION CMN	27-May-2008	30-Mar-2011	55	851.74	1,293.140	-441.40	1,007	Long-Term
012-13689-3	TARTAGLINO-RICHARDS FND DEL	VRSN	VERISIGN INC CMN	23-Jun-2008	03-Jan-2011	28	934.28	1,097.760	-163.48	924	Long-Term
012-13689-3	TARTAGLINO-RICHARDS FND DEL	VRSN	VERISIGN INC CMN	15-Jul-2008	03-Jan-2011	71	2,369.08	2,409.020	-39.94	802	Long-Term
012-13689-3	TARTAGLINO-RICHARDS FND DEL	VRSN	VERISIGN INC CMN	28-Jul-2008	03-Jan-2011	16	600.61	599.140	1.47	889	Long-Term
012-13689-3	TARTAGLINO-RICHARDS FND DEL	VRSN	VERISIGN INC CMN	28-Jul-2008	24-Feb-2011	58	2,044.38	1,900.570	113.82	941	Long-Term
012-13689-3	TARTAGLINO-RICHARDS FND DEL	VRSN	VERISIGN INC CMN	12-Aug-2008	24-Feb-2011	47	1,656.66	1,544.500	112.16	926	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	SLM	SLM CORPORATION CMN	22-Aug-2008	30-Mar-2011	83	1,285.35	1,178.160	109.19	930	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	HON	HONEYWELL INTL INC CMN	18-Sep-2008	19-Nov-2010	66	3,260.10	2,872.960	387.14	792	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	HON	HONEYWELL INTL INC CMN	18-Sep-2008	26-Jan-2011	51	2,829.84	2,220.020	609.82	860	Long-Term
012-13689-3	TARTAGLINO-RICHARDS FND DEL	BK	THE BANK OF NY MELLON CORP CMN	15-Oct-2008	19-Aug-2011	182	3,537.76	5,694.050	-2,156.29	1,008	Long-Term
012-13689-3	TARTAGLINO-RICHARDS FND DEL	BK	THE BANK OF NY MELLON CORP CMN	15-Oct-2008	24-Aug-2011	37	732.01	1,157.580	-425.57	1,043	Long-Term
012-13689-3	TARTAGLINO-RICHARDS FND DEL	BK	THE BANK OF NY MELLON CORP CMN	24-Nov-2008	24-Aug-2011	31	613.31	942.890	-329.58	1,000	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	HON	HONEYWELL INTL INC CMN	30-Dec-2008	26-Jan-2011	72	3,985.06	2,313.480	1,681.59	757	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	HON	HONEYWELL INTL INC CMN	30-Dec-2008	21-Apr-2011	1	60.03	32.130	27.90	842	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	TRV	THE TRAVELERS COMPANIES, INC CMN	22-Jan-2009	25-Jan-2011	52	2,921.93	2,046.970	874.96	733	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	TRV	THE TRAVELERS COMPANIES, INC CMN	22-Jan-2009	25-Jan-2011	8	450.36	314.920	135.44	734	Long-Term
012-13689-3	TARTAGLINO-RICHARDS FND DEL	BK	THE BANK OF NY MELLON CORP CMN	29-Jan-2009	24-Aug-2011	85	1,681.65	2,302.510	-620.86	937	Long-Term
012-13689-3	TARTAGLINO-RICHARDS FND DEL	MHS	MEDCO HEALTH SOLUTIONS, INC CMN	30-Jan-2009	01-Dec-2010	61	3,807.76	2,759.450	1,048.31	670	Long-Term

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012-13668-3	TARTAGLINO-RICHARDS FND DEL	NIKE	MEDCO HEALTH SOLUTIONS, INC CMN	30-Jan-2009	21-Jul-2011	138	8,765.50	6,242.690	2,522.81	902	Long-Term
012-13668-3	TARTAGLINO-RICHARDS FND DEL	NIKE	MEDCO HEALTH SOLUTIONS, INC CMN	05-Feb-2009	21-Jul-2011	28	1,778.51	1,350.600	427.91	896	Long-Term
012-13668-3	TARTAGLINO-RICHARDS FND DEL	BK	THE BANK OF NY MELLON CORP CMN	05-Feb-2009	24-Aug-2011	47	929.85	1,314.390	-384.54	900	Long-Term
012-13668-3	TARTAGLINO-RICHARDS FND LC VAL	BIIB	BIOMERIE IDEC INC CMN	25-Feb-2009	19-Nov-2010	9	584.20	461.880	122.32	632	Long-Term
012-13668-3	TARTAGLINO-RICHARDS FND DEL	SYT	SYNGENTA AG SPONSORED ADR CMN	26-Feb-2009	09-May-2011	12	839.72	490.430	349.29	802	Long-Term
012-13668-3	TARTAGLINO-RICHARDS FND DEL	SYT	SYNGENTA AG SPONSORED ADR CMN	27-Feb-2009	09-May-2011	13	809.70	547.750	261.95	801	Long-Term
012-13668-3	TARTAGLINO-RICHARDS FND DEL	PG	PROCTER & GAMBLE COMPANY (THE) CMN	02-Mar-2009	11-May-2011	16	1,064.67	759.710	294.96	800	Long-Term
012-13668-3	TARTAGLINO-RICHARDS FND DEL	NIKE	MEDCO HEALTH SOLUTIONS, INC CMN	02-Mar-2009	21-Jul-2011	38	2,413.69	1,443.380	970.31	871	Long-Term
012-13668-3	TARTAGLINO-RICHARDS FND DEL	BK	THE BANK OF NY MELLON CORP CMN	02-Mar-2009	24-Aug-2011	70	1,364.89	1,503.970	-125.08	805	Long-Term
012-13668-3	TARTAGLINO-RICHARDS FND DEL	NVO	NOVO-NORDISK A/S ADR ADR CMN	04-Mar-2009	19-Jan-2011	8	910.67	372.800	537.77	846	Long-Term
012-13668-3	TARTAGLINO-RICHARDS FND DEL	NIKE	MEDCO HEALTH SOLUTIONS, INC CMN	30-Mar-2009	21-Jul-2011	26	1,651.47	1,025.120	626.35	843	Long-Term
012-13668-3	TARTAGLINO-RICHARDS FND DEL	NIKE	NIKE CLASS B CMN CLASS B	01-Apr-2009	14-Jan-2011	52	4,321.47	2,443.460	1,877.99	833	Long-Term
012-13668-3	TARTAGLINO-RICHARDS FND DEL	NIKE	NIKE CLASS-B CMN CLASS B	01-Apr-2009	18-Jan-2011	1	83.29	46.990	36.30	658	Long-Term
012-13668-3	TARTAGLINO-RICHARDS FND DEL	NIKE	NIKE CLASS-B CMN CLASS B	09-Apr-2009	19-Jan-2011	10	832.89	525.950	306.94	650	Long-Term
012-13668-3	TARTAGLINO-RICHARDS FND LC VAL	BAC	BANK OF AMERICA CORP CMN	13-Apr-2009	01-Feb-2011	74	1,057.43	608.900	248.54	659	Long-Term
012-13668-3	TARTAGLINO-RICHARDS FND LC VAL	ENR	EMERSON ELECTRIC CO CMN	21-Apr-2009	23-Dec-2010	46	2,642.40	1,464.050	1,178.36	611	Long-Term
012-13668-3	TARTAGLINO-RICHARDS FND LC VAL	AFL	AFLAC INCORPORATED CMN	07-May-2009	19-Nov-2010	68	3,687.90	2,269.080	1,418.82	561	Long-Term
012-13668-3	TARTAGLINO-RICHARDS FND LC VAL	AFL	AFLAC INCORPORATED CMN	07-May-2009	18-Mar-2011	47	2,392.14	1,569.330	823.81	680	Long-Term
012-13668-3	TARTAGLINO-RICHARDS FND LC VAL	BAX	BAXTER INTERNATIONAL INC CMN	05-Jun-2009	13-Jan-2011	25	1,258.59	1,168.830	89.76	587	Long-Term

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012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	BOXX	BOXER INTERNATIONAL INC CMN	05-Jun-2009	09-Feb-2011	46	1,958.46	1,962.150	66.26	612	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	OXY	OCCIDENTAL PETROLEUM CORP CMN	25-Jun-2009	21-Oct-2010	11	866.96	716.600	150.39	483	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	OXY	OCCIDENTAL PETROLEUM CORP CMN	26-Jun-2009	21-Oct-2010	34	2,879.76	2,189.160	480.60	482	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	OXY	OCCIDENTAL PETROLEUM CORP CMN	26-Jun-2009	25-Jan-2011	27	2,602.49	1,746.400	856.10	578	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	OXY	OCCIDENTAL PETROLEUM CORP CMN	26-Jun-2009	04-Feb-2011	39	3,812.63	2,522.570	1,290.06	588	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	DISH	DISH NETWORK CORPORATION CMN CLASS A	29-Jun-2009	22-Jun-2011	119	3,812.66	1,911.060	1,901.60	753	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	DISH	DISH NETWORK CORPORATION CMN CLASS A	30-Jun-2009	22-Jul-2011	42	1,345.64	672.130	673.51	752	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	HON	HONEYWELL INTL INC CMN	08-Jul-2009	21-Apr-2011	69	4,142.16	2,031.100	2,111.06	662	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	OXY	OCCIDENTAL PETROLEUM CORP CMN	09-Jul-2009	04-Feb-2011	12	1,173.12	741.010	432.10	575	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	OXY	OCCIDENTAL PETROLEUM CORP CMN	09-Jul-2009	24-Feb-2011	13	1,351.45	802.770	548.68	595	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	OXY	OCCIDENTAL PETROLEUM CORP CMN	30-Jul-2009	24-Feb-2011	24	2,484.99	1,707.830	787.10	574	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	BIIB	BIDGEN IDEC INC CMN	03-Aug-2009	19-Nov-2010	24	1,567.85	1,155.100	402.75	473	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	BIIB	BIDGEN IDEC INC CMN	04-Aug-2009	19-Nov-2010	18	1,168.39	872.040	296.35	472	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	BIIB	BIDGEN IDEC INC CMN	04-Aug-2009	23-Feb-2011	22	1,181.56	1,065.820	415.73	568	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	ENR	EMERSON ELECTRIC CO CMN	05-Aug-2009	23-Dec-2010	106	6,089.02	3,705.940	2,383.08	503	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	OXY	OCCIDENTAL PETROLEUM CORP CMN	13-Aug-2009	24-Feb-2011	15	1,559.37	1,066.390	502.98	560	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	OXY	OCCIDENTAL PETROLEUM CORP CMN	13-Aug-2009	30-Sep-2011	16	1,156.36	1,126.810	29.55	778	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	CSCO	CISCO SYSTEMS, INC CMN	19-Aug-2009	19-Nov-2010	121	2,365.94	2,578.900	-212.96	457	Long-Term

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012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	AFL	AFLAC INCORPORATED CMN	18-Aug-2009	18-Mar-2011	56	2,850.31	2,852.870	257.34	516	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FND DEL	PCLN	PRICELINE COM INC CMN	21-Sep-2009	18-Jan-2011	6	2,634.73	988.610	1,646.12	484	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	PRU	PRUDENTIAL FINANCIAL INC CMN	23-Sep-2009	01-Feb-2011	17	1,078.45	868.950	209.50	416	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FND DEL	PCLN	PRICELINE COM INC CMN	24-Sep-2009	18-Jan-2011	4	1,756.49	638.610	1,117.88	481	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FND DEL	PCLN	PRICELINE COM INC CMN	24-Sep-2009	23-Feb-2011	3	1,267.07	478.960	788.11	517	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	NFX	NEWFIELD EXPLORATION CO CMN	28-Sep-2009	01-Feb-2011	13	963.02	544.330	418.69	491	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	NFX	NEWFIELD EXPLORATION CO CMN	28-Sep-2009	30-Mar-2011	22	1,683.71	921.170	762.54	548	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	CSCO	CISCO SYSTEMS, INC CMN	30-Sep-2009	19-Nov-2010	137	2,678.79	3,249.910	-571.12	415	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FND DEL	PCLN	PRICELINE COM INC CMN	30-Sep-2009	23-Feb-2011	1	422.36	163.460	258.90	511	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FND DEL	PCLN	PRICELINE COM INC CMN	30-Sep-2009	15-Mar-2011	6	2,747.70	960.760	1,786.94	531	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	CSCO	CISCO SYSTEMS, INC CMN	01-Oct-2009	19-Nov-2010	195	3,812.88	4,535.960	-723.08	414	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	ENR	EMERSON ELECTRIC CO CMN	07-Oct-2009	23-Dec-2010	2	114.89	78.440	36.45	442	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	ENR	EMERSON ELECTRIC CO CMN	07-Oct-2009	27-Dec-2010	14	800.12	549.080	251.04	446	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	CSCO	CISCO SYSTEMS, INC CMN	07-Oct-2009	19-Nov-2010	44	860.34	1,043.660	-183.31	408	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	TRV	THE TRAVELERS COMPANIES INC CMN	07-Oct-2009	26-Jan-2011	19	1,068.60	936.320	132.28	476	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	BAX	BAXTER INTERNATIONAL INC CMN	07-Oct-2009	08-Feb-2011	19	930.28	1,085.280	-155.00	489	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	BIIB	BIOGEN IDEC INC CMN	07-Oct-2009	23-Feb-2011	12	808.12	588.000	220.12	504	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	AFL	AFLAC INCORPORATED CMN	07-Oct-2009	18-Mar-2011	18	916.14	778.190	137.95	527	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	NFX	NEWFIELD EXPLORATION CO CMN	07-Oct-2009	30-Mar-2011	14	1,071.45	638.860	432.59	539	Long-Term

Acct Number	Acct Name	Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	ENR	ENERGY CORPORATION CMN	07-Oct-2009	13-Apr-2011	12	184.40	845.840	161.44	552	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	HON	HONEYWELL INTL INC CMN	07-Oct-2009	21-Apr-2011	16	960.50	588.320	372.18	561	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	OXY	OCCIDENTAL PETROLEUM CORP CMN	07-Oct-2009	30-Sep-2011	20	1,445.45	1,555.600	-110.15	723	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FND DEL	MINS	MEDCO HEALTH SOLUTIONS, INC CMN	07-Oct-2009	21-Jul-2011	13	825.74	708.780	117.04	652	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FND DEL	MINS	MEDCO HEALTH SOLUTIONS, INC CMN	07-Oct-2009	25-Jul-2011	51	3,349.97	2,780.270	569.71	656	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	NFX	NEWFIELD EXPLORATION CO CMN	20-Oct-2009	30-Mar-2011	34	2,602.10	1,666.740	935.36	526	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	NFX	NEWFIELD EXPLORATION CO CMN	20-Oct-2009	04-May-2011	16	1,188.90	882.390	306.51	561	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	BK	THE BANK OF NY MELLON CORP CMN	22-Oct-2009	13-Dec-2010	86	2,563.57	2,547.790	15.78	417	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	BK	THE BANK OF NY MELLON CORP CMN	22-Oct-2009	19-Nov-2010	120	3,321.27	3,474.260	-152.99	393	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	BK	THE BANK OF NY MELLON CORP CMN	23-Oct-2009	13-Dec-2010	3	87.40	88.330	0.93	416	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	BK	THE BANK OF NY MELLON CORP CMN	23-Oct-2009	28-Apr-2011	56	1,657.58	1,707.620	-50.03	552	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	WMT	WAL MART STORES INC CMN	27-Oct-2009	06-Oct-2010	14	758.24	698.210	60.03	346	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	WMT	WAL MART STORES INC CMN	27-Oct-2009	11-Oct-2010	29	1,583.18	1,446.300	136.88	349	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	WMT	WAL MART STORES INC CMN	27-Oct-2009	12-Oct-2010	16	863.52	797.960	65.56	360	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	BIB	BIODER IDEC INC CMN	28-Oct-2009	23-Feb-2011	60	4,040.60	2,624.490	1,416.12	483	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	BIB	BIODER IDEC INC CMN	28-Oct-2009	01-Apr-2011	38	2,770.92	1,862.170	1,108.74	520	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	BK	THE BANK OF NY MELLON CORP CMN	28-Oct-2009	28-Apr-2011	81	2,314.90	2,243.100	71.80	547	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	BAX	BAXTER INTERNATIONAL INC CMN	04-Dec-2009	08-Feb-2011	40	1,968.48	2,256.940	-288.46	431	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FON LC VAL	NFX	NEWFIELD EXPLORATION CO CMN	15-Dec-2009	04-May-2011	37	2,443.86	1,684.900	758.96	505	Long-Term

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012-13688-3	TARTAGLINO RICHARDS FON LC VAL	NFA	NEWFIELD EXPLORATION CORP CMN	15-Dec-2009	23-Aug-2011	23	1,200.38	1,200.620	-0.24	535	Long-Term
012-13688-3	TARTAGLINO RICHARDS FON LC VAL	MRK	MERCK & CO. INC. CMN	16-Dec-2009	01-Feb-2011	30	1,015.78	1,133.170	-117.39	412	Long-Term
012-13688-3	TARTAGLINO RICHARDS FON LC VAL	GE	GENERAL ELECTRIC CO CMN	23-Dec-2009	01-Feb-2011	61	1,264.50	939.290	325.21	405	Long-Term
012-13688-3	TARTAGLINO RICHARDS FON LC VAL	ORCL	ORACLE CORPORATION CMN	24-Dec-2009	22-Oct-2010	91	2,633.83	2,265.860	367.97	302	Short-Term
012-13688-3	TARTAGLINO RICHARDS FON LC VAL	ORCL	ORACLE CORPORATION CMN	28-Dec-2009	22-Oct-2010	248	7,177.91	6,177.770	1,000.14	298	Short-Term
012-13688-3	TARTAGLINO RICHARDS FON LC VAL	RRC	RANGE RESOURCES CORPORATION CMN	19-Jan-2010	14-Jan-2011	58	2,785.95	3,051.280	-265.33	360	Short-Term
012-13688-3	TARTAGLINO RICHARDS FND DEL	BK	THE BANK OF NY MELLON CORP CMN	19-Jan-2010	24-Aug-2011	95	1,879.49	2,789.000	-909.51	382	Long-Term
012-13688-3	TARTAGLINO RICHARDS FON LC VAL	BK	THE BANK OF NY MELLON CORP CMN	01-Feb-2010	28-Apr-2011	124	3,543.80	3,660.220	-116.43	451	Long-Term
012-13688-3	TARTAGLINO RICHARDS FON LC VAL	OXY	OCCIDENTAL PETROLEUM CORP CMN	11-Feb-2010	30-Sep-2011	27	1,951.36	2,142.680	-191.32	596	Long-Term
012-13688-3	TARTAGLINO RICHARDS FON LC VAL	RRC	RANGE RESOURCES CORPORATION CMN	25-Feb-2010	14-Jan-2011	19	912.64	967.010	-54.37	323	Short-Term
012-13688-3	TARTAGLINO RICHARDS FON LC VAL	RRC	RANGE RESOURCES CORPORATION CMN	28-Feb-2010	14-Jan-2011	32	1,537.08	1,523.780	13.30	322	Short-Term
012-13688-3	TARTAGLINO RICHARDS FON LC VAL	RRC	RANGE RESOURCES CORPORATION CMN	04-Mar-2010	14-Jan-2011	43	2,065.45	2,135.030	-69.58	316	Short-Term
012-13688-3	TARTAGLINO RICHARDS FON LC VAL	RRC	RANGE RESOURCES CORPORATION CMN	04-Mar-2010	21-Jan-2011	14	638.00	695.130	-57.13	323	Short-Term
012-13688-3	TARTAGLINO RICHARDS FON LC VAL	RRC	RANGE RESOURCES CORPORATION CMN	05-Mar-2010	21-Jan-2011	31	1,412.71	1,589.180	-176.48	318	Short-Term
012-13688-3	TARTAGLINO RICHARDS FON LC VAL	RRC	RANGE RESOURCES CORPORATION CMN	10-Mar-2010	21-Jan-2011	26	1,184.85	1,336.790	-151.94	317	Short-Term
012-13688-3	TARTAGLINO RICHARDS FON LC VAL	EMR	EMERSON ELECTRIC CO CMN	12-Apr-2010	27-Dec-2010	40	2,286.05	2,037.640	248.41	259	Short-Term
012-13688-3	TARTAGLINO RICHARDS FON LC VAL	WMT	WAL MART STORES INC CMN	12-Apr-2010	12-Oct-2010	20	1,079.40	1,094.700	-14.80	183	Short-Term
012-13688-3	TARTAGLINO RICHARDS FON LC VAL	ORCL	ORACLE CORPORATION CMN	12-Apr-2010	22-Oct-2010	82	2,373.34	2,121.340	252.00	193	Short-Term
012-13688-3	TARTAGLINO RICHARDS FON LC VAL	CSCO	CISCO SYSTEMS, INC CMN	12-Apr-2010	19-Nov-2010	107	2,092.19	2,848.380	-756.19	271	Short-Term

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012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	RRC	RANGE RESOURCES CORPORATION-GMN	12-Apr-2010	21-Jun-2011	42	2,144.04	2,362.998	-214.45	284	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	TRV	THE TRAVELERS COMPANIES INC CMN	12-Apr-2010	26-Jun-2011	36	2,026.61	1,879.560	147.05	229	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	BAX	BAXTER INTERNATIONAL INC CMN	12-Apr-2010	08-Feb-2011	55	2,682.91	3,190.360	-497.45	302	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	AFL	AFLAC INCORPORATED CMN	12-Apr-2010	18-Mar-2011	43	2,188.56	2,402.840	-214.28	340	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	BIB	BIOGEN IDEC INC CMN	12-Apr-2010	01-Apr-2011	41	2,989.67	2,795.860	683.81	354	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	ETR	ENTERGY CORPORATION CMN	12-Apr-2010	12-Apr-2011	27	1,764.90	2,231.820	-466.92	365	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	BK	THE BANK OF NY MELLON CORP CMN	12-Apr-2010	28-Apr-2011	83	2,372.08	2,676.750	-304.69	381	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	NFX	NEWFIELD EXPLORATION CO CMN	12-Apr-2010	23-Aug-2011	47	2,125.05	2,560.170	-465.12	498	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	OXY	OCCIDENTAL PETROLEUM CORP CMN	12-Apr-2010	30-Sep-2011	49	3,541.36	4,244.370	-703.01	538	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FND DEL	PG	PROCTER & GAMBLE COMPANY (THE) CMN	12-Apr-2010	11-May-2011	58	3,823.16	3,661.100	172.06	384	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FND DEL	MHS	MEDCO HEALTH SOLUTIONS, INC CMN	12-Apr-2010	25-Jul-2011	60	3,941.15	3,658.800	82.55	469	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FND DEL	MHS	MEDCO HEALTH SOLUTIONS, INC CMN	12-Apr-2010	08-Aug-2011	21	1,075.31	1,360.510	-275.20	483	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FND DEL	BK	THE BANK OF NY MELLON CORP CMN	12-Apr-2010	24-Aug-2011	67	1,325.54	2,166.110	-840.58	499	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	EMC	EMC CORPORATION MASS CMN	28-Apr-2010	01-Feb-2011	42	1,063.83	817.230	246.60	279	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	EMC	EMC CORPORATION MASS CMN	28-Apr-2010	30-Mar-2011	139	3,745.72	2,704.640	1,041.08	338	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	EMC	EMC CORPORATION MASS CMN	28-Apr-2010	27-Apr-2011	118	3,318.86	2,298.030	1,022.85	384	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	WMT	WAL MART STORES INC CMN	30-Apr-2010	12-Oct-2010	66	3,562.03	3,562.250	0.22	185	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	GOOG	GOOGLE INC CMN CLASS A	30-Apr-2010	22-Jul-2011	7	4,272.51	3,710.750	561.76	448	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FND DEL	MHS	MEDCO HEALTH SOLUTIONS, INC CMN	03-May-2010	08-Aug-2011	20	1,024.11	1,157.710	-133.61	462	Long-Term

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012-13688-3	TARTAGLINO-RICHARDS FND DEL	MHS	MEDCO HEALTH SOLUTIONS, INC CMN	03-May-2010	10-Aug-2011	0	427.02	469.099	-65.27	464	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	GOOG	GOOGLE, INC CMN CLASS A	04-May-2010	22-Jul-2011	3	1,831.07	1,520.250	310.82	444	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	CVS	CVS CAREMARK CORPORATION CMN	05-May-2010	14-Sep-2011	115	4,204.76	4,047.200	157.56	496	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	AFL	AFLAC INCORPORATED CMN	16-May-2010	18-Mar-2011	72	3,664.56	3,246.610	417.95	304	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	BIIB	BIODEN IDEC INC CMN	16-May-2010	01-Apr-2011	35	2,552.16	1,770.330	781.83	318	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	BIIB	BIODEN IDEC INC CMN	18-May-2010	04-Apr-2011	27	1,972.79	1,365.680	607.10	321	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	OXY	OCCIDENTAL PETROLEUM CORP CMN	24-May-2010	30-Sep-2011	38	2,745.36	3,007.430	-261.07	484	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	HAL	HALLIBURTON COMPANY CMN	27-May-2010	21-Dec-2010	254	10,242.27	6,781.120	3,461.15	208	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	DOW	DOW CHEMICAL CO CMN	27-May-2010	13-Jan-2011	195	6,906.73	5,343.870	1,562.86	231	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	DOW	DOW CHEMICAL CO CMN	27-May-2010	04-May-2011	109	4,333.48	3,009.450	1,324.04	342	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	DOW	DOW CHEMICAL CO CMN	27-May-2010	09-Aug-2011	249	6,984.01	6,874.790	109.23	439	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	AEP	AMERICAN ELECTRIC POWER INC CMN	10-Jun-2010	03-Dec-2010	114	4,083.96	3,894.840	389.12	176	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	ADM	ARCHER DANIELS MIDLAND CO CMN	15-Jun-2010	02-Feb-2011	83	2,925.21	2,212.520	712.69	232	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	ADM	ARCHER DANIELS MIDLAND CO CMN	16-Jun-2010	02-Feb-2011	281	9,196.56	6,954.990	2,241.56	231	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	USB	U S BANCORP CMN	18-Jun-2010	01-Feb-2011	37	1,021.66	868.020	153.53	228	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	PEP	PEPSICO INC CMN	01-Jul-2010	16-Aug-2011	66	4,207.78	4,035.820	171.96	411	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	CNCSA	COMCAST CORPORATION CMN CLASS A VOTING	02-Jul-2010	01-Feb-2011	36	842.18	625.860	216.52	214	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	CNCSA	COMCAST CORPORATION CMN CLASS A VOTING	02-Jul-2010	18-Jul-2011	499	11,861.57	8,675.070	3,186.50	381	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FND DEL	MHS	MEDCO HEALTH SOLUTIONS, INC CMN	22-Jul-2010	10-Aug-2011	13	696.21	643.110	52.10	384	Long-Term

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012-13688-3	TARTAGLINO-RICHARDS FND DEL	BMS	MEDCO HEALTH SOLUTIONS, INC CMN	29-Jul-2010	10-Aug-2011	19	1,616.00	947.630	668.37	303	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	RRC	RANGE RESOURCES CORPORATION CMN	28-Jul-2010	21-Jan-2011	76	3,463.41	2,914.280	549.13	177	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	NFX	NEWFIELD EXPLORATION CO CMN	28-Jul-2010	23-Aug-2011	55	2,486.77	2,956.590	-469.83	391	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	BAX	BAXTER INTERNATIONAL INC CMN	30-Jul-2010	08-Feb-2011	67	3,280.45	2,941.630	338.82	193	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	CMCSA	CONCAST CORPORATION CMN CLASS A VOTING	12-Aug-2010	18-Jul-2011	187	4,445.12	3,386.480	1,058.64	340	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	AMGN	AMGEN INC. CMN	03-Sep-2010	11-Nov-2010	169	9,230.81	8,918.540	312.27	69	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	ETR	ENTERGY CORPORATION CMN	03-Sep-2010	12-Apr-2011	14	915.13	1,120.400	-205.27	221	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND DEL	PRGO	PERRIGO COMPANY CMN	07-Sep-2010	12-Apr-2011	52	4,422.94	3,010.210	1,412.73	217	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND DEL	PLCM	POLYCOM INC CMN	07-Sep-2010	13-Apr-2011	44	2,133.19	1,205.420	927.78	218	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND DEL	PLCM	POLYCOM INC CMN	07-Sep-2010	26-Apr-2011	60	3,513.73	1,643.750	1,869.98	231	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND DEL	PLCM	POLYCOM INC CMN	07-Sep-2010	06-May-2011	35	2,019.38	948.850	1,060.53	241	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND DEL	MHS	MEDCO HEALTH SOLUTIONS, INC CMN	07-Sep-2010	10-Aug-2011	57	3,048.23	2,582.970	465.26	337	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND DEL	MHS	MEDCO HEALTH SOLUTIONS, INC CMN	27-Sep-2010	10-Aug-2011	59	3,156.18	3,020.420	134.66	317	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL		DEL MONTE FOODS COMPANY CMN	08-Oct-2010	19-Nov-2010	102	1,154.42	1,376.930	-377.49	42	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL		DEL MONTE FOODS COMPANY CMN	11-Oct-2010	19-Nov-2010	302	5,194.45	4,107.610	1,086.84	39	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL		DEL MONTE FOODS COMPANY CMN	12-Oct-2010	19-Nov-2010	19	328.80	257.790	69.52	30	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL		DEL MONTE FOODS COMPANY CMN	12-Oct-2010	26-Nov-2010	122	2,388.02	1,652.040	636.97	43	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL		DEL MONTE FOODS COMPANY CMN	15-Oct-2010	26-Nov-2010	311	5,833.12	4,656.560	1,176.56	42	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL		DEL MONTE FOODS COMPANY CMN	18-Oct-2010	26-Nov-2010	309	5,797.58	4,627.980	1,169.61	39	Short-Term

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012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	HAL	HALBURTON COMPANIES CMN	21-Dec-2010	21-Dec-2010	30	1,889.42	3,068.920	800.55	18	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	CBS	CBS CORPORATION CMN CLASS B	29-Nov-2010	25-Mar-2011	266	6,603.17	4,292.910	2,310.26	118	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	CBS	CBS CORPORATION CMN CLASS B	29-Nov-2010	01-May-2011	114	2,990.49	1,439.820	1,550.68	156	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	CBS	CBS CORPORATION CMN CLASS B	30-Nov-2010	01-May-2011	54	1,416.55	878.760	537.79	156	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	CBS	CBS CORPORATION CMN CLASS B	06-Dec-2010	01-May-2011	48	1,259.16	841.680	417.47	149	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	CBS	CBS CORPORATION CMN CLASS B	06-Dec-2010	06-Jul-2011	132	3,744.49	2,314.630	1,429.86	212	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	CBS	CBS CORPORATION CMN CLASS B	07-Dec-2010	06-Jul-2011	169	4,794.08	3,021.890	1,772.19	211	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	WFT	WEATHERFORD INTERNATIONAL LTD CMN	21-Dec-2010	02-Mar-2011	279	5,650.79	6,311.290	-660.50	71	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	WFT	WEATHERFORD INTERNATIONAL LTD CMN	22-Dec-2010	02-Mar-2011	265	5,367.24	6,018.180	-650.94	70	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	RRC	RANGE RESOURCES CORPORATION CMN	05-Jan-2011	21-Jan-2011	62	2,825.41	2,753.420	71.99	16	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	BAX	BAKTER INTERNATIONAL INC CMN	05-Jan-2011	08-Feb-2011	62	3,035.64	3,067.600	-31.96	34	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	AFL	AFAC INCORPORATED CMN	05-Jan-2011	18-Mar-2011	40	2,188.56	2,426.920	-238.36	72	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	BIIB	BIOMGEN IDEC INC CMN	05-Jan-2011	04-Apr-2011	43	3,141.64	2,853.640	288.20	89	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	CBS	CBS CORPORATION CMN CLASS B	05-Jan-2011	06-Jul-2011	114	4,094.90	2,779.200	1,305.70	182	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	05-Jan-2011	18-Jul-2011	131	3,113.96	2,935.710	178.25	194	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	DOW	DOW CHEMICAL CO CMN	05-Jan-2011	09-Aug-2011	98	2,748.73	3,393.740	-645.01	216	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	NFX	NEWFIELD EXPLORATION CO CMN	05-Jan-2011	23-Aug-2011	46	2,170.27	3,435.890	-1,265.62	230	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	IOXY	OCCIDENTAL PETROLEUM CORP CMN	05-Jan-2011	30-Sep-2011	49	3,541.38	4,707.430	-1,166.07	284	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND DEL	PG	PROCTER & GAMBLE COMPANY (THE) CMN	05-Jan-2011	11-May-2011	50	3,295.63	3,246.000	49.63	126	Short-Term

Acct Number	Acct Name	Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
012-13689-3	TARTAGLINO-RICHARDS FND DEC	BK	THE BANK OF NY MELLON CORP CMN	05-Jan-2011	24-Aug-2011	69	1,768.79	2,925.449	-1,156.66	231	Short-Term
012-13689-3	TARTAGLINO-RICHARDS FND DEC	BK	THE BANK OF NY MELLON CORP CMN	08-Jan-2011	24-Aug-2011	64	1,266.18	2,010.530	-744.35	230	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	CLF	CLIFFS NATURAL RESOURCES INC CMN	14-Jan-2011	11-Mar-2011	43	3,736.46	3,737.270	0.81	56	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	CLF	CLIFFS NATURAL RESOURCES INC CMN	14-Jan-2011	21-Sep-2011	36	2,344.89	3,128.870	-783.98	250	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	CLF	CLIFFS NATURAL RESOURCES INC CMN	14-Jan-2011	29-Sep-2011	60	3,285.04	5,214.790	-1,929.75	258	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	WFT	WEATHERFORD INTERNATIONAL LTD CMN	01-Feb-2011	02-Mar-2011	73	1,478.52	1,768.960	-289.44	29	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	ETR	ENTERGY CORPORATION CMN	01-Feb-2011	12-Apr-2011	21	1,372.70	1,550.010	-177.31	70	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	BK	THE BANK OF NY MELLON CORP CMN	01-Feb-2011	28-Apr-2011	46	1,314.64	1,472.460	-157.82	86	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	CLF	CLIFFS NATURAL RESOURCES INC CMN	01-Feb-2011	29-Sep-2011	47	2,573.28	4,175.880	-1,602.60	240	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	WFT	WEATHERFORD INTERNATIONAL LTD CMN	04-Feb-2011	02-Mar-2011	174	3,524.15	4,232.160	-708.01	26	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	CAM	CAMERON INTERNATIONAL CORP CMN	07-Mar-2011	30-Sep-2011	112	4,771.56	6,948.580	-2,177.02	207	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FND LC VAL	HES	HESS CORPORATION CMN	22-Mar-2011	04-May-2011	149	11,520.98	12,194.830	-673.85	43	Short-Term
TOTAL							576,168.82		71,821.16		