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Form **990-PF****Return of Private Foundation**

OMB No 1545-0052

Department of the Treasury
Internal Revenue Service**or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation****2010**

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2010, or tax year beginning **OCT 1, 2010**, and ending **SEP 30, 2011**G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation

THALENFELD FAMILY CHARITABLE TRUST

A Employer identification number

27-6379432

Number and street (or P O box number if mail is not delivered to street address)

C/O PNC BANK, 620 LIBERTY AVENUE

Room/suite

10THFL

B Telephone number

412-762-3787

City or town, state, and ZIP code

PITTSBURGH, PA 15222-2705Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationFair market value of all assets at end of year
(from Part II, col. (c), line 16)J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____▶ \$ **562,504.** (Part I, column (d) must be on cash basis.)C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses**

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	9.	9.		STATEMENT 2
	4 Dividends and interest from securities	11,784.	11,784.		STATEMENT 3
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	108,375.			STATEMENT 1
	b Gross sales price for all assets on line 6a	710,457.			
	7 Capital gain net income (from Part IV, line 2)		114,541.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 Total. Add lines 1 through 11	120,168.	126,334.		
	13 Compensation of officers, directors, trustees, etc.	8,309.	7,894.		415.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 4	1,099.	1.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 5	500.	500.		0.
	24 Total operating and administrative expenses. Add lines 13 through 23	9,908.	8,395.		415.
	25 Contributions, gifts, grants paid	30,000.			30,000.
	26 Total expenses and disbursements. Add lines 24 and 25	39,908.	8,395.		30,415.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	80,260.			
	b Net investment income (if negative, enter -0-)		117,939.		
	c Adjusted net income (if negative, enter -0-)			N/A	

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Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	8,296.	23,426.	23,426.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	296,598.	0.	0.
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 7	237,504.	599,232.	539,078.
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers)	542,398.	622,658.	562,504.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
	Foundations that follow SFAS 117, check here ▶ ☐			
	and complete lines 24 through 26 and lines 30 and 31.			
Net Assets or Fund Balances	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	540,699.	617,857.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
Net Assets or Fund Balances	29 Retained earnings, accumulated income, endowment, or other funds	1,699.	4,801.	
	30 Total net assets or fund balances	542,398.	622,658.	
	31 Total liabilities and net assets/fund balances	542,398.	622,658.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	542,398.
2 Enter amount from Part I, line 27a	2	80,260.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	622,658.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	622,658.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SALE OF PUBLICALLY TRADED SECURITIES			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 710,457.		595,916.	114,541.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			114,541.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	114,541.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	35,273.	430,958.	.081848
2008			
2007			
2006			
2005			

2 Total of line 1, column (d)	2	.081848
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.081848
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	613,466.
5 Multiply line 4 by line 3	5	50,211.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,179.
7 Add lines 5 and 6	7	51,390.
8 Enter qualifying distributions from Part XII, line 4	8	30,415.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	2,359.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,359.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,359.
6 Credits/Payments:			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	600.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	600.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,759.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2011 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>PA</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.PNC.COM	13	X	
14	The books are in care of ► PNC BANK, N.A. Telephone no. ► 412-762-3787 Located at ► 620 LIBERTY AVENUE, PITTSBURGH, PA ZIP+4 ► 15222-2705			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? If "Yes," list the years ► _____ ☐ Yes ☒ No		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PNC BANK, N.A. 620 LIBERTY AVENUE PITTSBURGH, PA 15222-2705	TRUSTEE 1.00	8,309.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2010)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	622,808.
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	622,808.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	622,808.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	9,342.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	613,466.
6	Minimum investment return. Enter 5% of line 5	6	30,673.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	30,673.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	2,359.
b	Income tax for 2010. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,359.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	28,314.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	28,314.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	28,314.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	30,415.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	30,415.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions Subtract line 5 from line 4	6	30,415.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				28,314.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005				
b From 2006				
c From 2007				
d From 2008				
e From 2009				19,477.
f Total of lines 3a through e	19,477.			
4 Qualifying distributions for 2010 from Part XII, line 4: ► \$	30,415.			
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				28,314.
e Remaining amount distributed out of corpus	2,101.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	21,578.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	21,578.			
10 Analysis of line 9:				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008				
d Excess from 2009	19,477.			
e Excess from 2010	2,101.			

N/A

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section
- ☐
- 4942(j)(3) or
- ☐
- 4942(j)(5)

- 3** Complete 3a, b, or c for the alternative test relied upon:
 - a** "Assets" alternative test - enter:
 - (1)** Value of all assets
 - (2)** Value of assets qualifying under section 4942(j)(3)(B)(i)
 - b** "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed
 - c** "Support" alternative test - enter:
 - (1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)
 - (2)** Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)
 - (3)** Largest amount of support from an exempt organization
 - (4)** Gross investment income

1 Information Regarding Foundation Managers:

- NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

- c Any submission deadlines:**

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|---|---|--------------|----------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| | a Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| | b Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | X | |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X | |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

complete Declaration of preparer (other than taxpayer or fiduciary) is based
Nale M. Kohser

2-7-2012

ASSISTANT VICE PRESIDEN

Signature of officer or trustee

Date _____

Title

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date _____

Check ☐ if self-employed	PTIN
--	------

Firm's name ►

Firm's EIN ▶

Firm's address ►

Phone no.

FORM 990-PF	GAIN OR (LOSS) FROM SALE OF ASSETS	STATEMENT	1
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(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SALE OF PUBLICALLY TRADED SECURITIES			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.
(F) GAIN OR LOSS			
710,457.	602,082.	0.	0.
			108,375.
CAPITAL GAINS DIVIDENDS FROM PART IV			0.
TOTAL TO FORM 990-PF, PART I, LINE 6A			108,375.

FORM 990-PF	INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS	STATEMENT	2
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SOURCE	AMOUNT
MONEY MARKET & OTHER TEMPORARY INVESTMENTS	9.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	9.

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	3
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
TRUST INVESTMENT INCOME	11,784.	0.	11,784.
TOTAL TO FM 990-PF, PART I, LN 4	11,784.	0.	11,784.

FORM 990-PF	TAXES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAXES	1.	1.			0.
BALANCE OF FEDERAL EXCISE TAX	498.	0.			0.
ESTIMATED FEDERAL EXCISE TAX	600.	0.			0.
TO FORM 990-PF, PG 1, LN 18	1,099.	1.			0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNT MAINTENANCE FEE	500.	500.			0.
TO FORM 990-PF, PG 1, LN 23	500.	500.			0.

FORM 990-PF	CORPORATE STOCK		STATEMENT	6
DESCRIPTION	BOOK VALUE		FAIR MARKET VALUE	
COMMON STOCKS	0.			0.
TOTAL TO FORM 990-PF, PART II, LINE 10B	0.			0.

FORM 990-PF	OTHER INVESTMENTS		STATEMENT	7
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE	
MUTUAL FUNDS - FIXED	COST	196,877.	193,165.	
MUTUAL FUNDS - EQUITY	COST	402,355.	345,913.	
TOTAL TO FORM 990-PF, PART II, LINE 13		599,232.	539,078.	

FORM 990-PF	GRANT APPLICATION SUBMISSION INFORMATION	STATEMENT	8
	PART XV, LINES 2A THROUGH 2D		

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

PNC CHARITABLE TRUST GRANT REVIEW COMMITTEE
 ONE PNC PLAZA, 249 5TH AVENUE, 20TH FLOOR
 PITTSBURGH, PA 15222

TELEPHONE NUMBER

412-762-4106

FORM AND CONTENT OF APPLICATIONS

SEE WEBSITE:WWW.PNC.COM FOR APPLICATION SUBMISSION GUIDELINES.
 ORGANIZATIONS INTERESTED IN SUBMITTING A GRANT PROPOSAL MUST SUBMIT A
 PRELIMINARY LETTER OF INQUIRY,OR MAY CALL FOR SUBMISSION PRE APPROVAL.

ANY SUBMISSION DEADLINES

SEE WEBSITE: WWW.PNC.COM UNDER SPECIAL SEGMENTS,"NON-PROFITS & GOVT,INST."-
 SELECT "CHAR TR GRANTS"

RESTRICTIONS AND LIMITATIONS ON AWARDS

SEE WEBSITE:WWW.PNC.COM UNDER SPECIAL SEGMENTS,"NON-PROFITS & GOVT. INST.",
 SELECT "CHAR. TR GRANTS".

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OFFICE 001

- TRANSACTION-
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- T R A N S A C T I O N A N A L Y S I S / S U M M A R Y -
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ACCOUNT 3842809 THALENFELD CHARITABLE TRUST

-TRANSACTION-
DESCRIPTION

-CODES-
TRAN TAX INDV

- C A S H -
INCOME

PRINCIPAL INVEST/UNJTS

TOTALS FOR TAX CODE 081 LONG TERM CAPITAL GAIN DISTRIBUTION

.03

MAP 741 72,037.25

MAP 880 36,337.54

108,374.82

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- TRANSACTION-
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- TRANSACTIONS ANALYSIS / SUMMARY -
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-TRANSACTION-
DESCRIPTION

-CODES-
TRAN TAX INDV

- C A S H -
INCOME

PRINCIPAL

INVEST/UNITS

TOTALS FOR TAX CODE 830 SALES

398,029.25 336,049.98-P

TOTALS FOR TAX CODE 831

SALES-COLLECTIVE FUNDS

135,188.91 125,130.93-P

533,218.16 461,180.91-



72,037.25

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- T R A N S A C T I O N A N A L Y S I S / S U M M A R Y -

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DATE	-TRANSACTION- DESCRIPTION	-CODES- TRAN TAX INDV	HISTORY	- C A S H - INCOME	PRINCIPAL	INVEST/UNITS
01-27-11	MUTUAL FUND AGENT 01/26 SALE 638.872 SHS @ 24.37 BARON SMALL CAP FUND FUND #583	085 831	9464 41097-00003 01-27-11	15,569.31	11,664.46- 638.872-	
01-27-11	MUTUAL FUND AGENT 01/26 SALE 1,059.535 SHS @ 9.67 BLACKROCK LOW DURATION INSTITUTIONAL CLASS FUND #331	085 831	9464 41097-00004 01-27-11	10,245.70	10,139.75- 1,059.535-	
01-27-11	MUTUAL FUND AGENT 01/26 SALE 351.206 SHS @ 54.44 CALAMOS GROWTH FUND CL - A FD # 606	085 831	9464 41097-00007 01-27-11	19,119.66	15,000.00- 351.206-	
01-27-11	MUTUAL FUND AGENT 01/26 SALE 804.889 SHS @ 62.14 HARBOR INTERNATIONAL FUND CLASS INS	085 831	9464 41097-00013 01-27-11	50,015.80	48,540.16- 804.889-	
01-31-11	BNY CONVERGEX 01/26 SALE 68 SHS @ 60.97 MISC .08 COMM 2.04 COOPER INDUSTRIES PLC SEDOL B40K911 ISIN IE00B40K9117	085 830	9333 41101-00001 01-31-11	4,143.84	3,062.97- 68,000-	
01-31-11	BNY CONVERGEX 01/26 SALE 76 SHS @ 47.62 MISC .07 COMM 2.28 COVIDIEN PLC SEDOL:B3QN1M2 ISIN:IE00B3QN1M21	085 830	9333 41101-00002 01-31-11	3,616.77	3,721.39- 76,000-	
01-31-11	BNY CONVERGEX 01/26 SALE 26 SHS @ 86.39 MISC .05 COMM .78 CORE LABORATORIES N V	085 830	9333 41101-00003 01-31-11	2,245.31	1,765.37- 26,000-	
01-31-11	BNY CONVERGEX 01/26 SALE 105 SHS @ 28.76 MISC .06 COMM 3.15 AT&T INC	085 830	9333 41101-00004 01-31-11	3,016.59	3,007.48- 105,000-	
01-31-11	BNY CONVERGEX 01/26 SALE 47 SHS @ 70.92 MISC .07 COMM 1.41 ALLERGAN INC	085 830	9333 41101-00005 01-31-11	3,331.76	1,836.99- 47,000-	

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-TRANSACTION-		-CODES-		HISTORY	- C A S H -	
DATE	DESCRIPTION	TRAN	TAX	INDV	INCOME	PRINCIPAL
						INVEST/UNITS
01-31-11	BNY CONVERGEX 01/26 SALE 17 SHS @ 176.50 MISC .06 COMM .51 AMAZON COM INC	085	830	9333 41101-00006 01-31-11	2,999.93	2,019.63- 17,000-
01-31-11	BNY CONVERGEX 01/26 SALE 44 SHS @ 44.60 MISC .04 COMM 1.32 AMERICAN EXPRESS CO	085	830	9333 41101-00007 01-31-11	1,961.04	1,820.36- 44,000-
01-31-11	BNY CONVERGEX 01/26 SALE 98 SHS @ 36.34 MISC .07 COMM 2.94 AMERISOURCEBERGEN CORP	085	830	9333 41101-00008 01-31-11	3,558.31	3,155.60- 98,000-
01-31-11	BNY CONVERGEX 01/26 SALE 65 SHS @ 61.51 MISC .08 COMM 1.95 AMERIPRISE FINANCIAL INC-W/I	085	830	9333 41101-00009 01-31-11	3,996.12	2,651.17- 65,000-
01-31-11	BNY CONVERGEX 01/26 SALE 18 SHS @ 122.67 MISC .05 COMM .54 APACHE CORPORATION	085	830	9333 41101-00010 01-31-11	2,207.47	1,540.58- 18,000-
01-31-11	BNY CONVERGEX 01/26 SALE 31 SHS @ 345.23 MISC .21 COMM .93 APPLE INC	085	830	9333 41101-00011 01-31-11	10,700.99	2,707.92- 31,000-
01-31-11	BNY CONVERGEX 01/26 SALE 172 SHS @ 13.69 MISC .05 COMM 5.16 BANK OF AMERICA CORP	085	830	9333 41101-00012 01-31-11	2,349.47	2,835.86- 172,000-
01-31-11	BNY CONVERGEX 01/26 SALE 72 SHS @ 45.45 MISC .07 COMM 2.16 BROADCOM CORP	085	830	9333 41101-00013 01-31-11	3,270.17	1,990.01- 72,000-
01-31-11	BNY CONVERGEX 01/26 SALE 72 SHS @ 48.63 MISC .07 COMM 2.16 CAPITAL ONE FINANCIAL CORP	085	830	9333 41101-00014 01-31-11	3,499.13	2,829.24- 72,000-
01-31-11	BNY CONVERGEX 01/26 SALE 84 SHS @ 41.44 MISC .07 COMM 2.52 CELANESE CORP-SERIES A	085	830	9333 41101-00015 01-31-11	3,478.37	2,161.97- 84,000-

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- T R A N S A C T I O N A N A L Y S I S / S U M M A R Y -
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-TRANSACTION-		-CODES-		HISTORY		- C A S H -	
DATE	DESCRIPTION	TRAN	TAX	INDV	INCOME	PRINCIPAL	INVEST/UNITS
01-31-11	BNY CONVERGEX 01/26 SALE 63 SHS @ 43.73 MISC .06 COMM 1.89 CENTURYLINK INC	085	830	9333	41101-00016 01-31-11	2,753.04	2,136.23- 63.000-
01-31-11	BNY CONVERGEX 01/26 SALE 74 SHS @ 94.69 MISC .14 COMM 2.22 CHEVRON CORPORATION	085	830	9333	41101-00017 01-31-11	7,004.70	5,489.97- 74.000-
01-31-11	BNY CONVERGEX 01/26 SALE 54 SHS @ 58.89 MISC .07 COMM 1.62 CHUBB CORP	085	830	9333	41101-00018 01-31-11	3,178.37	2,849.33- 54.000-
01-31-11	BNY CONVERGEX 01/26 SALE 141 SHS @ 21.4822 MISC .06 COMM 4.23 CISCO SYSTEMS INC	085	830	9333	41101-00019 01-31-11	3,024.70	2,760.32- 141.000-
01-31-11	BNY CONVERGEX 01/26 SALE 752 SHS @ 4.85 MISC .08 COMM 22.56 CITIGROUP INC	085	830	9333	41101-00020 01-31-11	3,624.56	3,194.74- 752.000-
01-31-11	BNY CONVERGEX 01/26 SALE 72 SHS @ 54.40 MISC .08 COMM 2.16 COACH INC	085	830	9333	41101-00021 01-31-11	3,914.56	2,179.88- 72.000-
01-31-11	BNY CONVERGEX 01/26 SALE 40 SHS @ 63.16 MISC .05 COMM 1.20 COCA COLA CO	085	830	9333	41101-00022 01-31-11	2,525.15	2,233.29- 40.000-
01-31-11	BNY CONVERGEX 01/26 SALE 25 SHS @ 109.82 MISC .06 COMM .75 CUMMINS INC	085	830	9333	41101-00023 01-31-11	2,744.69	1,209.26- 25.000-
01-31-11	BNY CONVERGEX 01/26 SALE 37 SHS @ 91.04 MISC .07 COMM 1.11 DEERE & CO	085	830	9333	41101-00024 01-31-11	3,367.30	3,029.29- 37.000-
01-31-11	BNY CONVERGEX 01/26 SALE 56 SHS @ 51.70 MISC .06 COMM 1.68 DOLLAR TREE INC	085	830	9333	41101-00025 01-31-11	2,893.46	1,442.16- 56.000-

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-TRANSACTION-		-CODES-		HISTORY	- C A S H -	
DATE	DESCRIPTION	TRAN	TAX	INDV	INCOME	PRINCIPAL
						INVEST/UNITS
01-31-11	BNY CONVERGEX	085	830	9333	41101-00026	
	01/26 SALE 59 SHS @ 59.17				01-31-11	2,933.67-
	MISC .07 COMM 1.77					59.000-
	DOVER CORP					
01-31-11	BNY CONVERGEX	085	830	9333	41101-00027	
	01/26 SALE 48 SHS @ 50.45				01-31-11	1,999.76-
	MISC .05 COMM 1.44					48.000-
	DUPONT E I DE NEMOURS & CO					
01-31-11	BNY CONVERGEX	085	830	9333	41101-00028	
	01/26 SALE 154 SHS @ 24.8402				01-31-11	2,677.60-
	MISC .08 COMM 4.62					154.000-
	EMC CORP					
01-31-11	BNY CONVERGEX	085	830	9333	41101-00029	
	01/26 SALE 31 SHS @ 104.97				01-31-11	3,126.49-
	MISC .07 COMM .93					31.000-
	EATON CORP					
01-31-11	BNY CONVERGEX	085	830	9333	41101-00030	
	01/26 SALE 43 SHS @ 52.86				01-31-11	1,941.90-
	MISC .05 COMM 1.29					43.000-
	EQUITY RESIDENTIAL					
	SH BEN INT REIT					
01-31-11	BNY CONVERGEX	085	830	9333	41101-00031	
	01/26 SALE 88 SHS @ 79.17				01-31-11	4,736.56-
	MISC .14 COMM 2.64					88.000-
	EXXON MOBIL CORP					
01-31-11	BNY CONVERGEX	085	830	9333	41101-00032	
	01/26 SALE 274 SHS @ 18.3702				01-31-11	3,319.28-
	MISC .10 COMM 8.22					274.000-
	FORD MOTOR COMPANY					
01-31-11	BNY CONVERGEX	085	830	9333	41101-00033	
	01/26 SALE 183 SHS @ 20.00				01-31-11	3,654.43
	MISC .08 COMM 5.49					3,050.85-
	GENERAL ELECTRIC CO					183.000-
01-31-11	BNY CONVERGEX	085	830	9333	41101-00034	
	01/26 SALE 96 SHS @ 35.69				01-31-11	3,423.29
	MISC .07 COMM 2.88					3,059.52-
	GENERAL MILLS INC					96.000-

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-TRANSACTION-		-CODES-		HISTORY		- C A S H -	
DATE	DESCRIPTION	TRAN	TAX	INDV	INCOME	PRINCIPAL	INVEST/UNITS
01-31-11	BNY CONVERGEX 01/26 SALE 9 SHS @ 619.67 MISC .11 COMM .27 GOOGLE INC-CL A	085	830	9333	41101-00035 01-31-11	5,576.65	3,423.07- 9,000-
01-31-11	BNY CONVERGEX 01/26 SALE 74 SHS @ 48.66 MISC .07 COMM 2.22 THE HERSHEY COMPANY	085	830	9333	41101-00036 01-31-11	3,598.55	3,553.83- 74,000-
01-31-11	BNY CONVERGEX 01/26 SALE 53 SHS @ 46.81 MISC .05 COMM 1.59 HEWLETT-PACKARD CO	085	830	9333	41101-00037 01-31-11	2,479.29	2,519.44- 53,000-
01-31-11	BNY CONVERGEX 01/26 SALE 115 SHS @ 21.8026 MISC .05 COMM 3.45 INTEL CORP	085	830	9333	41101-00038 01-31-11	2,503.80	2,371.26- 115,000-
01-31-11	BNY CONVERGEX 01/26 SALE 30 SHS @ 161.81 MISC .10 COMM .90 INTERNATIONAL BUSINESS MACHS CORP	085	830	9333	41101-00039 01-31-11	4,853.30	3,365.57- 30,000-
01-31-11	BNY CONVERGEX 01/26 SALE 1,485 SHS @ 107.07 MISC 3.06 COMM 44.55 ISHARES BARCLAYS TR U S TIP ETF	085	830	9333	41101-00040 01-31-11	158,951.34	152,159.59- 1,485,000-
01-31-11	BNY CONVERGEX 01/26 SALE 136 SHS @ 45.20 MISC .12 COMM 4.08 JPMORGAN CHASE & CO	085	830	9333	41101-00043 01-31-11	6,143.00	5,097.68- 136,000-
01-31-11	BNY CONVERGEX 01/26 SALE 44 SHS @ 60.94 MISC .06 COMM 1.32 JOHNSON & JOHNSON	085	830	9333	41101-00044 01-31-11	2,679.98	2,695.77- 44,000-
01-31-11	BNY CONVERGEX 01/26 SALE 109 SHS @ 28.8402 MISC .07 COMM 3.27 LIMITED BRANDS INC	085	830	9333	41101-00045 01-31-11	3,140.24	2,720.58- 109,000-

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-TRANSACTION-		-CODES-		HISTORY		- C A S H -	
DATE	DESCRIPTION	TRAN	TAX	INDV	INCOME	PRINCIPAL	INVEST/UNITS
01-31-11	BNY CONVERGEX 01/26 SALE 128 SHS @ 24.0401 MISC .06 COMM 3.84 MATTEL INC	085	830	9333	41101-00046 01-31-11	3,073.23	2,771.25- 128.000-
01-31-11	BNY CONVERGEX 01/26 SALE 52 SHS @ 33.29 MISC .04 COMM 1.56 MERCK & CO INC	085	830	9333	41101-00047 01-31-11	1,729.48	1,995.76- 52.000-
01-31-11	BNY CONVERGEX 01/26 SALE 199 SHS @ 28.92 MISC .12 COMM 5.97 MICROSOFT CORP	085	830	9333	41101-00048 01-31-11	5,748.99	5,464.29- 199.000-
01-31-11	BNY CONVERGEX 01/26 SALE 94 SHS @ 71.52 MISC .13 COMM 2.82 NATIONAL OILWELL VARCO INC	085	830	9333	41101-00049 01-31-11	6,719.93	3,960.16- 94.000-
01-31-11	BNY CONVERGEX 01/26 SALE 37 SHS @ 70.11 MISC .05 COMM 1.11 NEWFIELD EXPLORATION CO	085	830	9333	41101-00050 01-31-11	2,592.91	2,484.94- 37.000-
01-31-11	BNY CONVERGEX 01/26 SALE 69 SHS @ 32.63 MISC .05 COMM 2.07 NORTHEAST UTILITIES	085	830	9333	41101-00051 01-31-11	2,249.35	2,230.49- 69.000-
01-31-11	BNY CONVERGEX 01/26 SALE 158 SHS @ 32.61 MISC .10 COMM 4.74 ORACLE CORP	085	830	9333	41101-00052 01-31-11	5,147.54	2,294.68- 158.000-
01-31-11	BNY CONVERGEX 01/26 SALE 31 SHS @ 84.81 MISC .06 COMM .93 PPG INDUSTRIES INC	085	830	9333	41101-00053 01-31-11	2,628.12	1,969.89- 31.000-
01-31-11	BNY CONVERGEX 01/26 SALE 62 SHS @ 65.97 MISC .08 COMM 1.86 PEPSICO INC	085	830	9333	41101-00054 01-31-11	4,088.20	3,774.95- 62.000-
01-31-11	BNY CONVERGEX 01/26 SALE 260 SHS @ 18.49 MISC .10 COMM 7.80 PFIZER INC	085	830	9333	41101-00055 01-31-11	4,799.50	5,107.63- 260.000-

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-TRANSACTION-		-CODES-		HISTORY		- C A S H -	
DATE	DESCRIPTION	TRAN	TAX	INDV	INCOME	PRINCIPAL	INVEST/UNITS
01-31-11	BNY CONVERGEX 01/26 SALE 53 SHS @ 67.42 MISC .07 COMM 1.59 PRICE T ROWE GROUP INC	085	830	9333	41101-00056 01-31-11	3,571.60	2,204.84- 53.000-
01-31-11	BNY CONVERGEX 01/26 SALE 75 SHS @ 66.34 MISC .10 COMM 2.25 PROCTER & GAMBLE CO	085	830	9333	41101-00057 01-31-11	4,973.15	4,388.17- 75.000-
01-31-11	BNY CONVERGEX 01/26 SALE 50 SHS @ 51.65 MISC .05 COMM 1.50 QUALCOMM INC	085	830	9333	41101-00058 01-31-11	2,580.95	2,599.77- 50.000-
01-31-11	BNY CONVERGEX 01/26 SALE 43 SHS @ 80.46 MISC .07 COMM 1.29 SHIRE PLC SPONSORED ADR	085	830	9333	41101-00059 01-31-11	3,458.42	2,925.16- 43.000-
01-31-11	BNY CONVERGEX 01/26 SALE 45 SHS @ 62.33 MISC .06 COMM 1.35 JM SMUCKER CO/THE-NEW COM WI	085	830	9333	41101-00060 01-31-11	2,803.44	2,732.56- 45.000-
01-31-11	BNY CONVERGEX 01/26 SALE 84 SHS @ 32.87 MISC .06 COMM 2.52 STARBUCKS CORP	085	830	9333	41101-00061 01-31-11	2,758.50	2,327.85- 84.000-
01-31-11	BNY CONVERGEX 01/26 SALE 37 SHS @ 94.40 MISC .07 COMM 1.11 UNION PACIFIC CORP	085	830	9333	41101-00062 01-31-11	3,491.62	2,749.87- 37.000-
01-31-11	BNY CONVERGEX 01/26 SALE 45 SHS @ 81.77 MISC .08 COMM 1.35 UNITED TECHNOLOGIES CORP	085	830	9333	41101-00063 01-31-11	3,678.22	2,291.07- 45.000-
01-31-11	BNY CONVERGEX 01/26 SALE 87 SHS @ 40.23 MISC .07 COMM 2.61 UNITEDHEALTH GROUP INC	085	830	9333	41101-00064 01-31-11	3,497.33	2,403.52- 87.000-

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- T R A N S A C T I O N A N A L Y S I S / S U M M A R Y -
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-TRANSACTION-		-CODES-		HISTORY		- C A S H -	
DATE	DESCRIPTION	TRAN	TAX	INDV	INCOME	PRINCIPAL	INVEST/UNITS
01-31-11	BNY CONVERGEX 01/26 SALE 74 SHS @ 42.41 MISC .07 COMM 2.22 VIACOM INC CLASS B W I	085	830	9333	41101-00065 01-31-11	3,136.05	1,724.05- 74.000-
01-31-11	BNY CONVERGEX 01/26 SALE 63 SHS @ 57.46 MISC .07 COMM 1.89 WAL-MART STORES INC	085	830	9333	41101-00066 01-31-11	3,618.02	3,419.95- 63.000-
01-31-11	BNY CONVERGEX 01/26 SALE 134 SHS @ 32.61 MISC .09 COMM 4.02 WELLS FARGO & COMPANY	085	830	9333	41101-00067 01-31-11	4,365.63	3,432.29- 134.000-
01-31-11	BNY CONVERGEX 01/26 SALE 61 SHS @ 60.12 MISC .08 COMM 1.83 WISCONSIN ENERGY CORP	085	830	9333	41101-00068 01-31-11	3,665.41	2,413.41- 61.000-
02-25-11	MUTUAL FUND AGENT 02/24 SALE 335.977 SHS @ 24.30 BLACKROCK CAPITAL APPRECIATION FUND, INC. FUND #794	085	831	9464	41126-00004 02-25-11	8,164.23	8,160.88- 335.977-
02-25-11	MUTUAL FUND AGENT 02/24 SALE 603.752 SHS @ 10.5799 TOUCHSTONE SANDS CAPITAL SELECT GROWTH FD CLASS Y	085	831	9464	41126-00006 02-25-11	6,387.70	6,272.98- 603.752-
07-06-11	MUTUAL FUND AGENT 07/05 SALE 938.771 SHS @ 13.40 DODGE & COX INCOME FUND	085	831	9464	41257-00001 07-06-11	12,579.53	12,448.10- 938.771-
07-06-11	MUTUAL FUND AGENT 07/05 SALE 1,190.461 SHS @ 11.01 PIMCO FDS TOTAL RETURN BD FUND INSTL CLASS, FD #35	085	831	9464	41257-00002 07-06-11	13,106.98	12,904.60- 1,190.461-

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-TRANSACTION-
DESCRIPTION

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TRAN TAX INDV

- C A S H -
INCOME

PRINCIPAL INVEST/UNITS

TOTALS FOR TAX CODE 081 LONG TERM CAPITAL GAIN DISTRIBUTION

.02

TOTALS FOR TAX CODE 830 SALES

177,238.78

140,901.26-P

177,238.80

36,337.54

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-TRANSACTION- DATE	DESCRIPTION	-CODES- TRAN TAX INDV	HISTORY	- C A S H - INCOME	PRINCIPAL	INVEST/UNITS
10-08-10	ABEL NOSER CORP 10/05 SALE 55 SHS @ 71.2779 MISC .07 COMM 1.10 PARKER HANNIFIN CORP	085 830	3621 40981-00006 10-08-10	3,919.12		3,220.52- 55,000-
11-29-10	BROWN BROTHERS HARRIMAN & CO 11/23 SALE 44 SHS @ 53.6735 MISC .04 COMM 1.76 AMGEN INC	085 830	0010 41033-00003 11-29-10	2,359.83		2,593.89- 44,000-
11-29-10	ABEL NOSER CORP 11/23 SALE 41 SHS @ 105.7529 MISC .07 COMM .82 APACHE CORPORATION	085 830	3621 41033-00004 11-29-10	4,334.98		3,509.10- 41,000-
11-29-10	BARCLAYS CAPITAL LE 11/23 SALE 68 SHS @ 37.9827 MISC .04 COMM 1.70 METLIFE INC.	085 830	9299 41033-00006 11-29-10	2,581.08		2,419.47- 68,000-
11-30-10	BARCLAYS CAPITAL LE 11/24 SALE 2 SHS @ 38.0961 COMM .08 METLIFE INC.	085 830	9299 41034-00001 11-30-10	76.11		71.16- 2,000-
12-10-10	LOOP CAPITAL MARKETS LLC 12/07 SALE 69 SHS @ 56.8393 MISC .07 COMM 1.38 EMERSON ELECTRIC CO	085 830	9126 41044-00006 12-10-10	3,920.47		3,305.36- 69,000-
12-10-10	CITATION GROUP/EQUITY & MUNIS 12/07 SALE 62 SHS @ 84.2922 MISC .09 COMM 2.48 3M COMPANY	085 830	9053 41044-00011 12-10-10	5,223.55		4,391.49- 62,000-
12-28-10	LONG TERM CAPITAL GAINS DISTRIBUTION PAYABLE 12/21/10 AT .0000 PER SHARE BLACKROCK LIQUIDITY FUNDS TEMPFUND ADMINISTRATION SHARES #H1	081 081 00-0000	41062-00002 12-28-10	.02		
01-07-11	INSTINET 01/04 SALE 44 SHS @ 58.40 MISC .05 COMM .44 COOPER INDUSTRIES PLC SEDOL B40K911 ISIN IE00B40K9117	085 830	0067 41077-00001 01-07-11	2,569.11		1,981.93- 44,000-

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-TRANSACTION-		-CODES-		HISTORY		- C A S H -	
DATE	DESCRIPTION	TRAN	TAX	INDV	INCOME	PRINCIPAL	INVEST/UNITS
01-07-11	INSTINET 01/04 SALE 49 SHS @ 47.02 MISC .04 COMM .49 COVIDIEN PLC SEDOL:B3QN1M2 ISIN:JE00B3QN1M21	085	830	0067	41077-00002 01-07-11	2,303.45	2,399.31- 49.000-
01-07-11	INSTINET 01/04 SALE 16 SHS @ 89.03 MISC .03 COMM .16 CORE LABORATORIES N V	085	830	0067	41077-00003 01-07-11	1,424.29	1,086.39- 16.000-
01-07-11	INSTINET 01/04 SALE 67 SHS @ 29.8608 MISC .03 COMM .67 AT&T INC	085	830	0067	41077-00004 01-07-11	1,999.97	1,919.06- 67.000-
01-07-11	INSTINET 01/04 SALE 30 SHS @ 70.02 MISC .04 COMM .30 ALLERGAN INC	085	830	0067	41077-00005 01-07-11	2,100.26	1,172.55- 30.000-
01-07-11	INSTINET 01/04 SALE 11 SHS @ 185.13 MISC .04 COMM .11 AMAZON COM INC	085	830	0067	41077-00006 01-07-11	2,036.28	1,306.82- 11.000-
01-07-11	INSTINET 01/04 SALE 47 SHS @ 36.50 MISC .03 COMM .47 AMERICAN ELECTRIC POWER INC	085	830	0067	41077-00007 01-07-11	1,715.00	1,656.46- 47.000-
01-07-11	INSTINET 01/04 SALE 29 SHS @ 44.01 MISC .03 COMM .29 AMERICAN EXPRESS CO	085	830	0067	41077-00008 01-07-11	1,275.97	1,199.79- 29.000-
01-07-11	INSTINET 01/04 SALE 63 SHS @ 33.94 MISC .04 COMM .63 AMERISOURCEBERGEN CORP	085	830	0067	41077-00009 01-07-11	2,137.55	2,028.60- 63.000-
01-07-11	INSTINET 01/04 SALE 42 SHS @ 58.94 MISC .04 COMM .42 AMERIPRISE FINANCIAL INC-W/I	085	830	0067	41077-00010 01-07-11	2,475.02	1,713.06- 42.000-

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-TRANSACTION- DATE DESCRIPTION		-CODES- TRAN TAX INDV	HISTORY	- C A S H - INCOME	PRINCIPAL	INVEST/UNITS
01-07-11	INSTINET 01/04 SALE 11 SHS @ 121.67 MISC .03 COMM .11 APACHE CORPORATION	085 830	0067 41077-00011 01-07-11		1,338.23	941.47- 11.000-
01-07-11	INSTINET 01/04 SALE 20 SHS @ 330.93 MISC .11 COMM .20 APPLE INC	085 830	0067 41077-00012 01-07-11		6,618.29	1,747.04- 20.000-
01-07-11	INSTINET 01/04 SALE 109 SHS @ 14.21 MISC .03 COMM 1.09 BANK OF AMERICA CORP	085 830	0067 41077-00013 01-07-11		1,547.77	1,797.14- 109.000-
01-07-11	INSTINET 01/04 SALE 46 SHS @ 43.07 MISC .03 COMM .46 BROADCOM CORP	085 830	0067 41077-00014 01-07-11		1,980.73	1,271.40- 46.000-
01-07-11	INSTINET 01/04 SALE 47 SHS @ 43.62 MISC .04 COMM .47 CAPITAL ONE FINANCIAL CORP	085 830	0067 41077-00015 01-07-11		2,049.63	1,846.86- 47.000-
01-07-11	INSTINET 01/04 SALE 55 SHS @ 41.75 MISC .04 COMM .55 CELANESE CORP-SERIES A	085 830	0067 41077-00016 01-07-11		2,295.66	1,415.58- 55.000-
01-07-11	INSTINET 01/04 SALE 41 SHS @ 46.63 MISC .03 COMM .41 CENTURYLINK INC	085 830	0067 41077-00017 01-07-11		1,911.39	1,390.25- 41.000-
01-07-11	INSTINET 01/04 SALE 47 SHS @ 91.50 MISC .08 COMM .47 CHEVRON CORPORATION	085 830	0067 41077-00018 01-07-11		4,299.95	3,486.87- 47.000-
01-07-11	INSTINET 01/04 SALE 35 SHS @ 60.04 MISC .04 COMM .35 CHUBB CORP	085 830	0067 41077-00019 01-07-11		2,101.01	1,846.79- 35.000-
01-07-11	INSTINET 01/04 SALE 91 SHS @ 20.52 MISC .03 COMM .91 CISCO SYSTEMS INC	085 830	0067 41077-00020 01-07-11		1,866.38	1,781.49- 91.000-

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-TRANSACTION- DATE DESCRIPTION		-CODES- TRAN TAX INDV		HISTORY	- C A S H - INCOME		PRINCIPAL	INVEST/UN.FTS
01-07-11	INSTINET 01/04 SALE 483 SHS @ 4.89 MISC .04 COMM 9.66 CITIGROUP INC	085	830	0067	41077-00021 01-07-11		2,352.17	2,051.94- 483.000-
01-07-11	INSTINET 01/04 SALE 46 SHS @ 52.26 MISC .04 COMM .46 COACH INC	085	830	0067	41077-00022 01-07-11		2,403.46	1,392.71- 46.000-
01-07-11	INSTINET 01/04 SALE 25 SHS @ 63.83 MISC .03 COMM .25 COCA COLA CO	085	830	0067	41077-00023 01-07-11		1,595.47	1,395.80- 25.000-
01-07-11	INSTINET 01/04 SALE 16 SHS @ 111.36 MISC .03 COMM .16 CUMMINS INC	085	830	0067	41077-00024 01-07-11		1,781.57	773.92- 16.000-
01-07-11	INSTINET 01/04 SALE 24 SHS @ 82.88 MISC .03 COMM .24 DEERE & CO	085	830	0067	41077-00025 01-07-11		1,988.85	1,964.94- 24.000-
01-07-11	INSTINET 01/04 SALE 25 SHS @ 67.50 MISC .03 COMM .25 DOLBY LABORATORIES INC CLASS A	085	830	0067	41077-00026 01-07-11		1,687.22	837.98- 25.000-
01-07-11	INSTINET 01/04 SALE 36 SHS @ 54.52 MISC .03 COMM .36 DOLLAR TREE INC	085	830	0067	41077-00027 01-07-11		1,962.33	927.11- 36.000-
01-07-11	INSTINET 01/04 SALE 38 SHS @ 58.71 MISC .04 COMM .38 DOVER CORP	085	830	0067	41077-00028 01-07-11		2,230.56	1,889.48- 38.000-
01-07-11	INSTINET 01/04 SALE 31 SHS @ 49.92 MISC .03 COMM .31 DUPONT E I DE NEMOURS & CO	085	830	0067	41077-00029 01-07-11		1,547.18	1,291.51- 31.000-

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-TRANSACTION-		- C A S H -		INVEST/UNITS	
DATE	DESCRIPTION	TRAN TAX INDV	HISTORY	INCOME	PRINCIPAL
01-07-11	INSTINET 01/04 SALE 100 SHS @ 23.05 MISC .04 COMM 1.00 EMC CORP	085 830	0067 41077-00030 01-07-11		2,303.96
01-07-11	INSTINET 01/04 SALE 20 SHS @ 102.91 MISC .04 COMM .20 EATON CORP	085 830	0067 41077-00031 01-07-11		2,057.96
01-07-11	INSTINET 01/04 SALE 28 SHS @ 51.24 MISC .03 COMM .28 EQUITY RESIDENTIAL SH BEN INT REIT	085 830	0067 41077-00032 01-07-11		1,434.41
01-07-11	INSTINET 01/04 SALE 56 SHS @ 74.82 MISC .08 COMM .56 EXXON MOBIL CORP	085 830	0067 41077-00033 01-07-11		4,189.28
01-07-11	INSTINET 01/04 SALE 176 SHS @ 17.31 MISC .06 COMM 1.76 FORD MOTOR COMPANY	085 830	0067 41077-00034 01-07-11		3,044.74
01-07-11	INSTINET 01/04 SALE 118 SHS @ 18.495 MISC .04 COMM 1.18 GENERAL ELECTRIC CO	085 830	0067 41077-00035 01-07-11		2,181.19
01-07-11	INSTINET 01/04 SALE 62 SHS @ 35.695 MISC .04 COMM .62 GENERAL MILLS INC	085 830	0067 41077-00036 01-07-11		2,212.43
01-07-11	INSTINET 01/04 SALE 5 SHS @ 602.81 MISC .06 COMM .05 GOOGLE INC-CL A	085 830	0067 41077-00037 01-07-11		3,013.94
01-07-11	INSTINET 01/04 SALE 48 SHS @ 46.39 MISC .04 COMM .48 THE HERSHEY COMPANY	085 830	0067 41077-00038 01-07-11		2,226.20

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-TRANSACTION-		-CODES-		HISTORY		- C A S H -	
DATE	DESCRIPTION	TRAN	TAX	INDV	INCOME	PRINCIPAL	INVEST/UNITS
01-07-11	INSTINET 01/04 SALE 35 SHS @ 43.64 MISC .03 COMM .35 HEWLETT-PACKARD CO	085	830	0067	41077-00039 01-07-11	1,527.02	1,663.78- 35,000-
01-07-11	INSTINET 01/04 SALE 74 SHS @ 21.16 MISC .03 COMM .74 INTEL CORP	085	830	0067	41077-00040 01-07-11	1,565.07	1,525.85- 74,000-
01-07-11	INSTINET 01/04 SALE 20 SHS @ 147.70 MISC .05 COMM .20 INTERNATIONAL BUSINESS MACHS CORP	085	830	0067	41077-00041 01-07-11	2,953.75	2,243.71- 20,000-
01-07-11	INSTINET 01/04 SALE 87 SHS @ 44.26 MISC .07 COMM .87 JPMORGAN CHASE & CO	085	830	0067	41077-00042 01-07-11	3,849.68	3,261.02- 87,000-
01-07-11	INSTINET 01/04 SALE 28 SHS @ 63.26 MISC .03 COMM .28 JOHNSON & JOHNSON	085	830	0067	41077-00043 01-07-11	1,770.97	1,715.49- 28,000-
01-07-11	INSTINET 01/04 SALE 71 SHS @ 29.93 MISC .04 COMM .71 LIMITED BRANDS INC	085	830	0067	41077-00044 01-07-11	2,124.28	1,772.12- 71,000-
01-07-11	INSTINET 01/04 SALE 82 SHS @ 25.26 MISC .04 COMM .82 MATTEL INC	085	830	0067	41077-00045 01-07-11	2,070.46	1,775.33- 82,000-
01-07-11	INSTINET 01/04 SALE 33 SHS @ 36.33 MISC .03 COMM .33 MERCK & CO INC	085	830	0067	41077-00047 01-07-11	1,198.53	1,266.54- 33,000-
01-07-11	INSTINET 01/04 SALE 129 SHS @ 28.04 MISC .07 COMM 1.29 MICROSOFT CORP	085	830	0067	41077-00048 01-07-11	3,615.80	3,542.18- 129,000-

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-TRANSACTION-		- C A S H -	
DATE	DESCRIPTION	INCOME	PRINCIPAL
01-07-11	INSTINET		
	01/04 SALE 60 SHS @ 66.41		
	MISC .07 COMM .60		
	NATIONAL OILWELL VARCO INC		
		085 830	0067 41077-00049
			01-07-11
01-07-11	INSTINET		
	01/04 SALE 24 SHS @ 71.34		
	MISC .03 COMM .24		
	NEWFIELD EXPLORATION CO		
		085 830	0067 41077-00050
			01-07-11
01-07-11	INSTINET		
	01/04 SALE 102 SHS @ 31.41		
	MISC .06 COMM 1.02		
	ORACLE CORP		
		085 830	0067 41077-00051
			01-07-11
01-07-11	INSTINET		
	01/04 SALE 20 SHS @ 83.43		
	MISC .03 COMM .20		
	PPG INDUSTRIES INC		
		085 830	0067 41077-00052
			01-07-11
01-07-11	INSTINET		
	01/04 SALE 40 SHS @ 65.36		
	MISC .05 COMM .40		
	PEPSICO INC		
		085 830	0067 41077-00053
			01-07-11
01-07-11	INSTINET		
	01/04 SALE 168 SHS @ 17.97		
	MISC .06 COMM 1.68		
	PFIZER INC		
		085 830	0067 41077-00054
			01-07-11
01-07-11	INSTINET		
	01/04 SALE 35 SHS @ 64.35		
	MISC .04 COMM .35		
	PRICE T ROWE GROUP INC		
		085 830	0067 41077-00055
			01-07-11
01-07-11	INSTINET		
	01/04 SALE 48 SHS @ 64.875		
	MISC .06 COMM .48		
	PROCTER & GAMBLE CO		
		085 830	0067 41077-00056
			01-07-11
01-07-11	INSTINET		
	01/04 SALE 28 SHS @ 73.27		
	MISC .04 COMM .28		
	SHIRE PLC		
	SPONSORED ADR		
		085 830	0067 41077-00057
			01-07-11

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INCOME	PRINCIPAL
3,983.93	
1,711.89	
3,202.74	
1,668.37	
2,613.95	
3,017.22	
2,251.86	
3,113.46	
2,051.24	

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2,527.76-	
60.000-	
1,611.85-	
24.000-	
1,481.38-	
102.000-	
1,270.89-	
20.000-	
2,435.45-	
40.000-	
3,300.31-	
168.000-	
1,456.02-	
35.000-	
2,808.43-	
48.000-	
1,904.76-	
28.000-	

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DATE	DESCRIPTION	-CODES- TRAN TAX INDV	HISTORY	INCOME	PRINCIPAL
01-07-11	INSTINET 01/04 SALE 29 SHS @ 63.16 MISC .03 COMM .29 JM SMUCKER CO/THE-NEW COM W1	085 830	0067 41077-00058 01-07-11		1,831.32
01-07-11	INSTINET 01/04 SALE 54 SHS @ 32.57 MISC .03 COMM .54 STARBUCKS CORP	085 830	0067 41077-00059 01-07-11		1,758.21
01-07-11	INSTINET 01/04 SALE 24 SHS @ 93.03 MISC .04 COMM .24 UNION PACIFIC CORP	085 830	0067 41077-00060 01-07-11		2,232.44
01-07-11	INSTINET 01/04 SALE 29 SHS @ 79.12 MISC .04 COMM .29 UNITED TECHNOLOGIES CORP	085 830	0067 41077-00061 01-07-11		2,294.15
01-07-11	INSTINET 01/04 SALE 56 SHS @ 37.455 MISC .04 COMM .56 UNITEDHEALTH GROUP INC	085 830	0067 41077-00062 01-07-11		2,096.88
01-07-11	INSTINET 01/04 SALE 47 SHS @ 39.81 MISC .03 COMM .47 VIACOM INC CLASS B W1	085 830	0067 41077-00063 01-07-11		1,870.57
01-07-11	INSTINET 01/04 SALE 40 SHS @ 54.76 MISC .04 COMM .40 WAL-MART STORES INC	085 830	0067 41077-00064 01-07-11		2,189.96
01-07-11	INSTINET 01/04 SALE 86 SHS @ 31.64 MISC .05 COMM .86 WELLS FARGO & COMPANY	085 830	0067 41077-00065 01-07-11		2,720.13
01-07-11	INSTINET 01/04 SALE 40 SHS @ 57.98 MISC .04 COMM .40 WISCONSIN ENERGY CORP	085 830	0067 41077-00066 01-07-11		2,318.76
01-20-11	BANC/AMERICA SEC.LLC.MONTGOMERY 01/14 SALE 73 SHS @ 35.4121 MISC .04 COMM 2.92 AMERICAN ELECTRIC POWER INC	085 830	0773 41090-00001 01-20-11		2,582.12

BANK 21
REGION 60
OFFICE 880

- T R A N S A C T I O N A N A L Y S I S / S U M M A R Y -

10/01/10 THROUGH 09/30/11
ACCOUNT 3842809 THALENFELD CHARITABLE TRUST APCO

DATE 01/24/12
TIME 13:30
PAGE 0009

DATE	TRANSACTION- DESCRIPTION	-CODES- TRAN TAX INDV	HISTORY	- C A S H - INCOME	PRINCIPAL	INVEST/UNITS
01-20-11	GOLDMAN, SACHS & CO. 01/14 SALE 20 SHS @ 62.0107 MISC .02 COMM .80 DOLBY LABORATORIES INC CLASS A	085 830	0005 41090-00002 01-20-11		1,239.39	670.38- 20.000-
01-21-11	GOLDMAN, SACHS & CO. 01/18 SALE 19 SHS @ 60.0741 MISC .02 COMM .76 DOLBY LABORATORIES INC CLASS A	085 830	0005 41091-00027 01-21-11		1,140.63	636.86- 19.000-

TAX CODE		DATES		TRADE		G A I N / L O S S		I N F O R M A T I O N		S T A T E	
OR-OFF	SERIAL	SEQ	LOT#	ACQUIRED	TRADE	UNITS	PROCEEDS	TAX COST	FEDERAL G/L		G/L
ASSET 976657106 (CONT'D)											
TOTAL-SHORT											
TOTAL-LONG											
LISTED SALES-TOTAL											
SHORT											
LONG											
CAPITAL GAIN DISTRIBUTIONS											
GRAND TOTAL-SHORT											
-LONG											
CARRYOVER (CURRENT)											

CAP. GAIN DIST. 114, 541.48 .05 L

114, 541.53

TAX CODE	OR-OFF	SERIAL	SEQ	LOT#	DATES	ACQUIRED	TRADE	UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L
ASSET G24140108 COOPER INDUSTRIES PLC												
SEDOL B40K911 ISIN IE00B40K9117												
830	880	41077-00001	00000	01/27/10	01/04/11			44.000	2,569.11	1,911.36	657.75 S	.00
830	741	41101-00001	00000	01/27/10	01/26/11			35.000	2,132.86	1,520.40	612.46 S	.00
830	741	41101-00001	00000	06/30/10	01/26/11			6.000	365.63	269.22	96.41 S	.00
830	741	41101-00001	00000	10/05/10	01/26/11			27.000	1,645.35	1,343.92	301.43 S	.00
TOTAL-SHORT								112.000	6,712.95	5,044.90	1,668.05	.00
ASSET G2554F105 COVIDIEN PLC												
EXCH 03/18/11												
SEE G2554F113												
830	880	41077-00002	00000	01/27/10	01/04/11			49.000	2,303.45	2,492.57	189.12-S	.00
830	741	41101-00002	00000	01/27/10	01/26/11			49.000	2,331.86	2,492.57	160.71-S	.00
830	741	41101-00002	00000	06/30/10	01/26/11			2.000	95.18	80.94	14.24 S	.00
830	741	41101-00002	00000	11/23/10	01/26/11			25.000	1,189.73	1,054.62	135.11 S	.00
TOTAL-SHORT								125.000	5,920.22	6,120.70	200.48-	.00
ASSET N22717107 CORE LABORATORIES N V												
830	880	41077-00003	00000	06/08/10	01/04/11			16.000	1,424.29	1,081.22	343.07 S	.00
830	741	41101-00003	00000	06/08/10	01/26/11			20.000	1,727.16	1,351.53	375.63 S	.00
830	741	41101-00003	00000	06/09/10	01/26/11			6.000	518.15	419.01	99.14 S	.00
TOTAL-SHORT								42.000	3,669.60	2,851.76	817.84	.00
ASSET 00206R102 AT&T INC												
830	880	41077-00004	00000	02/07/06	01/04/11			67.000	1,999.97	1,806.32	193.65 L	.00
830	741	41101-00004	00000	02/07/06	01/26/11			30.000	861.88	808.80	53.08 L	.00
830	741	41101-00004	00000	03/16/06	01/26/11			40.000	1,149.18	1,086.40	62.78 L	.00
830	741	41101-00004	00000	06/26/06	01/26/11			10.000	287.29	273.60	13.69 L	.00
830	741	41101-00004	00000	11/18/08	01/26/11			10.000	287.30	256.45	30.85 L	.00
830	741	41101-00004	00000	03/17/09	01/26/11			10.000	287.29	252.40	34.89 L	.00
830	741	41101-00004	00000	06/30/10	01/26/11			5.000	143.65	121.95	21.70 S	.00
TOTAL-SHORT								172.000	5,016.56	4,605.92	21.70	.00
TOTAL-LONG											388.94	.00
ASSET 018490102 ALLERGAN INC												
830	880	41077-00005	00000	02/27/09	01/04/11			30.000	2,100.26	1,172.55	927.71 L	.00
830	741	41101-00005	00000	02/27/09	01/26/11			47.000	3,331.76	1,836.99	1,494.77 L	.00

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BANK 21 PNC BANK (21)
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GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
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FROM: 10/01/10 TO: 09/30/11

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TAX		DATES		G A I N / L O S S		I N F O R M A T I O N			
CODE	OR-OFF SERIAL	SEQ LOT#	ACQUIRED	TRADE	UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L
ASSET 018490102		(CONT'D)							
TOTAL-LONG					77.000	5,432.02	3,009.54	2,422.48	.00
ASSET 023135106 AMAZON COM INC									
830	880	41077-00006	00000	02/24/10	01/04/11	2,036.28	1,310.07	726.21 S	.00
830	741	41101-00006	00000	02/24/10	01/26/11	2,823.46	1,905.55	917.91 S	.00
830	741	41101-00006	00000	06/30/10	01/26/11	176.47	110.83	65.64 S	.00
TOTAL-SHORT					28.000	5,036.21	3,326.45	1,709.76	.00
ASSET 025537101 AMERICAN ELECTRIC POWER INC									
830	880	41077-00007	00000	01/27/10	01/04/11	1,715.00	1,658.56	56.44 S	.00
830	880	41090-00001	00000	01/27/10	01/14/11	2,511.38	2,505.49	5.89 S	.00
830	880	41090-00001	00000	06/30/10	01/14/11	70.74	65.20	5.54 S	.00
TOTAL-SHORT					120.000	4,297.12	4,229.25	67.87	.00
ASSET 025816109 AMERICAN EXPRESS CO									
830	880	41077-00008	00000	11/18/09	01/04/11	1,275.97	1,201.47	74.50 L	.00
830	741	41101-00007	00000	11/18/09	01/26/11	1,782.76	1,657.20	125.56 L	.00
830	741	41101-00007	00000	06/30/10	01/26/11	178.28	161.48	16.80 S	.00
TOTAL-SHORT					73.000	3,237.01	3,020.15	16.80	.00
TOTAL-LONG								200.06	.00
ASSET 03073E105 AMERISOURCEBERGEN CORP									
830	880	41077-00009	00000	06/30/10	01/04/11	2,137.55	2,028.60	108.95 S	.00
830	741	41101-00008	00000	06/30/10	01/26/11	3,558.31	3,155.60	402.71 S	.00
TOTAL-SHORT					161.000	5,695.86	5,184.20	511.66	.00
ASSET 03076C106 AMERIPRISE FINANCIAL INC									
830	880	41077-00010	00000	11/18/09	01/04/11	2,475.02	1,617.00	858.02 L	.00
830	741	41101-00009	00000	11/18/09	01/26/11	2,828.02	1,771.00	1,057.02 L	.00
830	741	41101-00009	00000	11/23/10	01/26/11	1,168.10	976.23	191.87 S	.00
TOTAL-SHORT					107.000	6,471.14	4,364.23	191.87	.00
TOTAL-LONG								1,915.04	.00
ASSET 031162100 AMGEN INC									
830	880	41033-00003	00000	08/18/09	11/23/10	2,091.67	2,326.74	235.07-L	.00
830	880	41033-00003	00000	06/30/10	11/23/10	268.16	267.15	1.01 S	.00

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					UNITS	PROCEEDS			TAX COST	FEDERAL G/L	STATE G/L		
ASSET 037411105 APACHE CORPORATION													
830	880	41033-00004	00000	08/18/09	11/23/10				44.000	2,359.83	2,593.89	1.01	.00
830	880	41077-00011	00000	08/18/09	01/04/11							235.07-	.00
830	741	41101-00010	00000	08/18/09	01/26/11								
830	741	41101-00010	00000	06/30/10	01/26/11								
TOTAL-SHORT													
TOTAL-LONG													
ASSET 037833100 APPLE INC													
830	880	41077-00012	00000	08/14/06	01/04/11				19.000	6,287.38	1,217.71	5,069.67	.00
830	880	41077-00012	00000	02/27/09	01/04/11				1.000	330.91	89.30	241.61	.00
830	741	41101-00011	00000	02/27/09	01/26/11				19.000	6,558.67	1,696.70	4,861.97	.00
830	741	41101-00011	00000	03/17/09	01/26/11				10.000	3,451.93	979.70	2,472.23	.00
830	741	41101-00011	00000	06/30/10	01/26/11				2.000	690.39	511.38	179.01	.00
TOTAL-SHORT													
TOTAL-LONG													
ASSET 060505104 BANK OF AMERICA CORP													
830	880	41077-00013	00000	02/24/10	01/04/11				109.000	1,547.77	1,776.31	228.54-S	.00
830	741	41101-00012	00000	02/24/10	01/26/11				91.000	1,243.03	1,482.97	239.94-S	.00
830	741	41101-00012	00000	05/12/10	01/26/11				76.000	1,038.14	1,300.72	262.58-S	.00
830	741	41101-00012	00000	06/30/10	01/26/11				5.000	68.30	73.00	4.70-S	.00
TOTAL-SHORT													
TOTAL-LONG													
ASSET 068278308 BARON SMALL CAP FUND													
FUND #583													
831	741	41097-00003	00000	04/18/02	01/26/11				564.451	13,755.67	9,618.25	4,137.42	.00
831	741	41097-00003	00000	11/22/02	01/26/11				32.546	793.15	447.18	345.97	.00
831	741	41097-00003	00000	12/21/07	01/26/11				2.685	65.43	63.88	1.55	.00
831	741	41097-00003	00000	12/21/07	01/26/11				39.148	954.04	931.32	22.72	.00
831	741	41097-00003	00000	05/29/09	01/26/11				.042	1.02	.64	.38	.00

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TAX CODE	OR-OFF SERIAL	SEQ LOT#	DATES ACQUIRED	TRADE (CONT'D)	UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L
ASSET	068278308				638.872	15,569.31	11,061.27	4,508.04	.00
TOTAL-LONG									
ASSET	091928283								
BLACKROCK LOW DURATION INSTITUTIONAL CLASS FUND #331									
831	741	41097-00004	00000	03/04/10 01/26/11	1,059.535	10,245.70	10,139.75	105.95 S	.00
TOTAL-SHORT					1,059.535	10,245.70	10,139.75	105.95	.00
ASSET	09251R602								
BLACKROCK CAPITAL APPRECIATION FUND, INC. FUND #794									
831	741	41126-00004	00000	01/26/11 02/24/11	335.977	8,164.23	8,160.88	3.35 S	.00
TOTAL-SHORT					335.977	8,164.23	8,160.88	3.35	.00
ASSET	111320107								
BROADCOM CORP									
830	880	41077-00014	00000	08/18/09 01/04/11	46.000	1,980.73	1,219.92	760.81 L	.00
830	741	41101-00013	00000	08/18/09 01/26/11	53.000	2,407.21	1,405.56	1,001.65 L	.00
830	741	41101-00013	00000	06/30/10 01/26/11	19.000	862.96	635.93	227.03 S	.00
TOTAL-SHORT					118.000	5,250.90	3,261.41	227.03	.00
TOTAL-LONG								1,762.46	.00
ASSET	128119302								
CALAMOS GROWTH FUND CL - A FD # 606									
831	741	41097-00007	00000	08/30/10 01/26/11	351.206	19,119.66	15,000.00	4,119.66 S	.00
TOTAL-SHORT					351.206	19,119.66	15,000.00	4,119.66	.00
ASSET	14040H105								
CAPITAL ONE FINANCIAL CORP									
830	880	41077-00015	00000	01/27/10 01/04/11	47.000	2,049.63	1,688.24	361.39 S	.00
830	741	41101-00014	00000	01/27/10 01/26/11	30.000	1,457.97	1,077.60	380.37 S	.00
830	741	41101-00014	00000	05/04/10 01/26/11	19.000	923.38	854.70	68.68 S	.00
830	741	41101-00014	00000	05/12/10 01/26/11	23.000	1,117.78	1,065.69	52.09 S	.00
TOTAL-SHORT					119.000	5,548.76	4,686.23	862.53	.00
ASSET	150870103								
CELANESE CORP-SERIES A									
830	880	41077-00016	00000	08/18/09 01/04/11	55.000	2,295.66	1,414.05	881.61 L	.00
830	741	41101-00015	00000	08/18/09 01/26/11	53.000	2,194.69	1,362.63	832.06 L	.00
830	741	41101-00015	00000	06/08/10 01/26/11	31.000	1,283.68	800.87	482.81 S	.00

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								UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L	
ASSET 156700106 CENTURYLINK INC													
830		880	41077-00017	00000	05/24/10	01/04/11		139.000	5,774.03	3,577.55	482.81	.00	
830		741	41101-00016	00000	05/24/10	01/26/11					1,713.67	.00	
830		741	41101-00016	00000	06/30/10	01/26/11							
TOTAL-SHORT													
TOTAL-LONG													
TOTAL-SHORT								104.000	4,664.43	3,526.48	1,137.95	.00	
ASSET 166764100 CHEVRON CORPORATION													
830		880	41077-00018	00000	07/16/10	01/04/11		47.000	4,299.95	3,372.35	927.60 S	.00	
830		741	41101-00017	00000	07/16/10	01/26/11		37.000	3,502.35	2,654.82	847.53 S	.00	
830		741	41101-00017	00000	08/04/10	01/26/11		17.000	1,609.19	1,341.03	268.16 S	.00	
830		741	41101-00017	00000	09/27/10	01/26/11		20.000	1,893.16	1,608.64	284.52 S	.00	
TOTAL-SHORT								121.000	11,304.65	8,976.84	2,327.81	.00	
ASSET 171232101 CHUBB CORP													
830		880	41077-00019	00000	11/28/07	01/04/11		19.000	1,140.55	1,038.25	102.30 L	.00	
830		880	41077-00019	00000	01/17/08	01/04/11		16.000	960.46	816.56	143.90 L	.00	
830		741	41101-00018	00000	01/17/08	01/26/11		24.000	1,412.61	1,224.84	187.77 L	.00	
830		741	41101-00018	00000	05/06/09	01/26/11		10.000	588.59	398.50	190.09 L	.00	
830		741	41101-00018	00000	06/30/10	01/26/11		1.000	58.86	50.58	8.28 S	.00	
830		741	41101-00018	00000	11/23/10	01/26/11		19.000	1,118.31	1,081.90	36.41 S	.00	
TOTAL-SHORT								89.000	5,279.38	4,610.63	44.69	.00	
TOTAL-LONG											624.06	.00	
ASSET 17275R102 CISCO SYSTEMS INC													
830		880	41077-00020	00000	11/02/04	01/04/11		27.000	553.76	522.45	31.31 L	.00	
830		880	41077-00020	00000	08/11/05	01/04/11		64.000	1,312.62	1,155.84	156.78 L	.00	
830		741	41101-00019	00000	08/11/05	01/26/11		16.000	343.23	288.96	54.27 L	.00	
830		741	41101-00019	00000	07/19/06	01/26/11		40.000	858.07	735.20	122.87 L	.00	
830		741	41101-00019	00000	11/18/08	01/26/11		30.000	643.55	472.65	170.90 L	.00	
830		741	41101-00019	00000	03/17/09	01/26/11		30.000	643.55	476.10	167.45 L	.00	
830		741	41101-00019	00000	06/30/10	01/26/11		25.000	536.30	540.25	3.95-S	.00	

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ASSET 17275R102 (CONT'D)

G A I N / L O S S I N F O R M A T I O N

UNITS

PROCEEDS

TAX COST

FEDERAL

STATE

TOTAL-SHORT
TOTAL-LONG

4,191.45

3.95-
703.58

.00
.00

ASSET 172967101 CITIGROUP INC
REV SPLIT 05/06/11
SEE 172967424

830 880 41077-00021 00000 05/04/10 01/04/11
830 741 41101-00020 00000 05/04/10 01/26/11
830 741 41101-00020 00000 05/12/10 01/26/11
830 741 41101-00020 00000 06/30/10 01/26/11

TOTAL-SHORT

5,976.73

285.66 S

.00

ASSET 189754104 COACH INC

830 880 41077-00022 00000 08/18/09 01/04/11
830 741 41101-00021 00000 08/18/09 01/26/11
830 741 41101-00021 00000 02/24/10 01/26/11
830 741 41101-00021 00000 06/30/10 01/26/11

TOTAL-SHORT
TOTAL-LONG

3,576.60

521.11
2,220.31

.00
.00

ASSET 191216100 COCA COLA CO

830 880 41077-00023 00000 08/13/10 01/04/11
830 741 41101-00022 00000 08/13/10 01/26/11

TOTAL-SHORT

3,629.09

491.53

.00

ASSET 231021106 CUMMINS INC

830 880 41077-00024 00000 01/27/10 01/04/11
830 741 41101-00023 00000 01/27/10 01/26/11
830 741 41101-00023 00000 06/30/10 01/26/11

TOTAL-SHORT

2,070.15

2,456.11

.00

ASSET 244199105 DEERE & CO

830 880 41077-00025 00000 12/07/10 01/04/11
830 741 41101-00024 00000 12/07/10 01/26/11
830 741 41101-00024 00000 12/08/10 01/26/11

23.35 S
309.81 S
28.76 S

.00
.00
.00

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TAX CODE	OR-OFF ASSET	SERIAL	SEQ LOT#	DATES		TRADE	UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L
				ACQUIRED							
ASSET 244199105 (CONT'D)											
TOTAL-SHORT							61.000	5,356.15	4,994.23	361.92	.00
ASSET 256210105 DODGE & COX INCOME FUND											
831	741	41257-00001	00000	01/26/11	07/05/11		938.771	12,579.53	12,448.10	131.43 S	.00
TOTAL-SHORT							938.771	12,579.53	12,448.10	131.43	.00
ASSET 25659T107 DOLBY LABORATORIES INC											
CLASS A											
830	880	41077-00026	00000	03/17/09	01/04/11		25.000	1,687.22	809.00	878.22 L	.00
830	880	41090-00002	00000	03/17/09	01/14/11		20.000	1,239.39	647.20	592.19 L	.00
830	880	41091-00027	00000	03/17/09	01/18/11		17.000	1,020.56	550.12	470.44 L	.00
830	880	41091-00027	00000	06/30/10	01/18/11		2.000	120.07	126.92	6.85-S	.00
TOTAL-SHORT							64.000	4,067.24	2,133.24	6.85-	.00
TOTAL-LONG										1,940.85	.00
ASSET 256746108 DOLLAR TREE INC											
830	880	41077-00027	00000	11/18/08	01/04/11		36.000	1,962.33	887.16	1,075.17 L	.00
830	741	41101-00025	00000	11/18/08	01/26/11		52.000	2,686.78	1,281.45	1,405.33 L	.00
830	741	41101-00025	00000	06/30/10	01/26/11		4.000	206.68	167.56	39.12 S	.00
TOTAL-SHORT							92.000	4,855.79	2,336.17	39.12	.00
TOTAL-LONG										2,480.50	.00
ASSET 260003108 DOVER CORP											
830	880	41077-00028	00000	08/17/10	01/04/11		38.000	2,230.56	1,820.31	410.25 S	.00
830	741	41101-00026	00000	08/17/10	01/26/11		31.000	1,833.30	1,484.99	348.31 S	.00
830	741	41101-00026	00000	10/05/10	01/26/11		28.000	1,655.89	1,517.85	138.04 S	.00
TOTAL-SHORT							97.000	5,719.75	4,823.15	896.60	.00
ASSET 263534109 DUPONT E I DE NEMOURS & CO											
830	880	41077-00029	00000	08/17/10	01/04/11		31.000	1,547.18	1,291.51	255.67 S	.00
830	741	41101-00027	00000	08/17/10	01/26/11		48.000	2,420.11	1,999.76	420.35 S	.00

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			ASSET 263534109	(CONT'D)			

FEDERAL

FEDERAL
G/L

TAX COST

PROCEEDS

UNITS

TRADE

ACQUIRED

DATE#

08-OFF SERIAL

TAX
CODE

TOTAL-SHORT

ASSET 268648102 EMC CORP

830	880	4 1077-00030	0000	09/28/09	01/04/11
830	741	4 1101-00028	0000	09/28/09	01/26/11
830	741	4 1101-00028	0000	06/30/10	01/26/11

TOTAL-SHORT
TOTAL-LONG

ASSET 278058102 EATON CORP

NO.	NAME	DATE	TIME
830	41077-00031	00000	12/07/10 01/04/11
830	41101-00029	00000	12/07/10 01/26/11

TOTAL-SHORT

ASSET 291011104 EMERSON ELECTRIC CO

NO.	NAME	DATE	TIME	LOCATION	REMARKS
830					
880	41044-00006	00000	02/24/10		12/07/10
830					
880	41044-00006	00000	06/30/10		12/07/10

TOTAL -SHORT

ASSET 29476L107 EQUITY RESIDENTIAL

ASSET	234706107	SH BEN	INT REIT
830	880	41077-00032	00000 05/27/10 01/04/11
830	880	41077-00032	00000 05/28/10 01/04/11
830	741	41101-00030	00000 05/28/10 01/26/11
830	741	41101-00030	00000 06/30/10 01/26/11

TOTAL-SHORT

ASSET 30231G102 EXXON MOBIL CORP

830	880	4 1077-00033	00000	04/18/02	01/04/11
830	741	4 1101-00031	00000	04/18/02	01/26/11
830	741	4 1101-00031	00000	03/26/04	01/26/11
830	741	4 1101-00031	00000	04/27/04	01/26/11
830	741	4 1101-00031	00000	06/30/10	01/26/11

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TAX				D A T E S				G A I N / L O S S				I N F O R M A T I O N	
CODE	OR-OFF	SERIAL	SEQ LOT#	ACQUIRED	TRADE	UNITS	PROCEEDS	TAX COST	FEDERAL	STATE			
ASSET 30231G102 (CONT'D)								G/L	G/L				
TOTAL-SHORT													
TOTAL-LONG													
ASSET 345370860 FORD MOTOR COMPANY													
830	880	41077-00034	00000	01/27/10	01/04/11	176.000	3,044.74	2,022.02	1,022.72 S	.00			
830	741	41101-00032	00000	01/27/10	01/26/11	99.000	1,815.64	1,137.38	678.26 S	.00			
830	741	41101-00032	00000	08/04/10	01/26/11	102.000	1,870.66	1,339.20	531.46 S	.00			
830	741	41101-00032	00000	10/05/10	01/26/11	73.000	1,338.81	952.79	386.02 S	.00			
TOTAL-SHORT													
TOTAL-LONG													
ASSET 369604103 GENERAL ELECTRIC CO													
830	880	41077-00035	00000	01/27/10	01/04/11	118.000	2,181.19	1,918.51	262.68 S	.00			
830	741	41101-00033	00000	01/27/10	01/26/11	125.000	2,496.20	2,032.33	463.87 S	.00			
830	741	41101-00033	00000	05/12/10	01/26/11	58.000	1,158.23	1,070.12	88.11 S	.00			
TOTAL-SHORT													
TOTAL-LONG													
ASSET 370334104 GENERAL MILLS INC													
830	880	41077-00036	00000	11/18/08	01/04/11	62.000	2,212.43	1,979.50	232.93 L	.00			
830	741	41101-00034	00000	11/18/08	01/26/11	16.000	570.55	510.84	59.71 L	.00			
830	741	41101-00034	00000	03/17/09	01/26/11	20.000	713.19	535.00	178.19 L	.00			
830	741	41101-00034	00000	10/30/09	01/26/11	20.000	713.18	663.00	50.18 L	.00			
830	741	41101-00034	00000	11/18/09	01/26/11	40.000	1,426.37	1,347.00	79.37 L	.00			
TOTAL-SHORT													
TOTAL-LONG													
ASSET 38259P508 GOOGLE INC-CL A													
830	880	41077-00037	00000	02/27/09	01/04/11	5.000	3,013.94	1,695.58	1,318.36 L	.00			
830	741	41101-00035	00000	02/27/09	01/26/11	5.000	3,098.14	1,695.57	1,402.57 L	.00			
830	741	41101-00035	00000	06/30/10	01/26/11	4.000	2,478.51	1,803.32	675.19 S	.00			
TOTAL-SHORT													
TOTAL-LONG													
ASSET 411511306 HARBOR INTERNATIONAL FUND													
TOTAL-SHORT													
TOTAL-LONG													
ASSET 411511306 HARBOR INTERNATIONAL FUND													
831	741	41097-00013	00000	05/04/06	01/26/11	741.441	46,073.14	44,404.90	1,668.24 L	.00			
831	741	41097-00013	00000	12/19/06	01/26/11	25.778	1,601.85	1,573.48	28.37 L	.00			
831	741	41097-00013	00000	12/19/07	01/26/11	4.296	266.95	296.59	29.64-L	.00			
831	741	41097-00013	00000	12/19/07	01/26/11	33.374	2,073.86	2,304.12	230.26-L	.00			

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TOTAL-LONG							
ASSET 427866108 THE HERSHEY COMPANY							
830		880	41077-00038	00000	05/27/10	01/04/11	
830		880	41077-00038	00000	05/28/10	01/04/11	
830		741	41101-00036	00000	05/28/10	01/26/11	
830		741	41101-00036	00000	06/08/10	01/26/11	
830		741	41101-00036	00000	06/30/10	01/26/11	
TOTAL-SHORT							
ASSET 428236103 HEWLETT-PACKARD CO							
830		880	41077-00039	00000	06/16/10	01/04/11	
830		741	41101-00037	00000	06/16/10	01/26/11	
830		741	41101-00037	00000	06/30/10	01/26/11	
TOTAL-SHORT							
ASSET 458140100 INTEL CORP							
830		880	41077-00040	00000	11/02/04	01/04/11	
830		741	41101-00038	00000	11/02/04	01/26/11	
830		741	41101-00038	00000	06/26/06	01/26/11	
830		741	41101-00038	00000	07/19/06	01/26/11	
830		741	41101-00038	00000	11/10/06	01/26/11	
830		741	41101-00038	00000	11/18/08	01/26/11	
830		741	41101-00038	00000	03/17/09	01/26/11	
830		741	41101-00038	00000	04/06/09	01/26/11	
830		741	41101-00038	00000	06/30/10	01/26/11	
TOTAL-SHORT							
TOTAL-LONG							
ASSET 459200101 INTERNATIONAL BUSINESS MACHS							
CORP							
830		880	41077-00041	00000	08/29/08	01/04/11	
830		880	41077-00041	00000	02/27/09	01/04/11	
830		741	41101-00039	00000	02/27/09	01/26/11	
830		741	41101-00039	00000	03/17/09	01/26/11	
830		741	41101-00039	00000	09/28/09	01/26/11	
830		741	41101-00039	00000	06/30/10	01/26/11	

G A I N / L O S S				I N F O R M A T I O N			
UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L			
804.889	50,015.80	48,579.09	1,436.71	.00			
22.000	1,020.34	1,030.26	9.92-S	.00			
26.000	1,205.86	1,224.89	19.03-S	.00			
29.000	1,410.24	1,366.23	44.01 S	.00			
36.000	1,750.65	1,802.85	52.20-S	.00			
9.000	437.66	434.79	2.87 S	.00			
122.000	5,824.75	5,859.02	34.27-	.00			
35.000	1,527.02	1,669.77	142.75-S	.00			
49.000	2,292.17	2,337.67	45.50-S	.00			
4.000	187.12	175.78	11.34 S	.00			
88.000	4,006.31	4,183.22	176.91-	.00			
74.000	1,565.07	1,672.40	107.33-L	.00			
24.000	522.53	542.40	19.87-L	.00			
10.000	217.72	183.50	34.22 L	.00			
10.000	217.72	183.40	34.32 L	.00			
10.000	217.72	206.50	11.22 L	.00			
10.000	217.72	125.95	91.77 L	.00			
10.000	217.72	147.50	70.22 L	.00			
10.000	217.72	156.30	61.42 L	.00			
31.000	674.95	611.94	63.01 S	.00			
189.000	4,068.87	3,829.89	63.01	.00			
			175.97	.00			

19.000	2,806.06	2,315.43	490.63 L	.00			
1.000	147.69	92.19	55.50 L	.00			
9.000	1,455.99	829.66	626.33 L	.00			
10.000	1,617.77	919.20	698.57 L	.00			
10.000	1,617.76	1,198.90	418.86 L	.00			
1.000	161.78	124.71	37.07 S	.00			

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ASSET 459200101 (CONT'D)												
TOTAL-SHORT								50.000	7,807.05	5,480.09	37.07	.00
TOTAL-LONG											2,289.89	.00
ASSET 464287176 ISHARES BARCLAYS TR U S T I P ETF												
830	741	41101-00040	00000	03/30/06	01/26/11			410.000	43,885.56	41,299.30	2,586.26 L	.00
830	741	41101-00040	00000	07/19/06	01/26/11			504.000	53,947.12	50,188.32	3,758.80 L	.00
830	741	41101-00040	00000	04/21/08	01/26/11			456.000	48,809.30	48,994.92	185.62-L	.00
830	741	41101-00040	00000	11/18/08	01/26/11			115.000	12,309.36	10,969.28	1,340.08 L	.00
TOTAL-LONG								1,485.000	158,951.34	151,451.82	7,499.52	.00
ASSET 46625H100 JPMORGAN CHASE & CO												
830	880	41077-00042	00000	10/08/04	01/04/11			87.000	3,849.68	3,426.93	422.75 L	.00
830	741	41101-00043	00000	10/08/04	01/26/11			20.000	903.38	787.80	115.58 L	.00
830	741	41101-00043	00000	11/18/08	01/26/11			30.000	1,355.07	913.95	441.12 L	.00
830	741	41101-00043	00000	03/17/09	01/26/11			10.000	451.69	243.60	208.09 L	.00
830	741	41101-00043	00000	05/06/09	01/26/11			50.000	2,258.46	1,825.00	433.46 L	.00
830	741	41101-00043	00000	05/13/09	01/26/11			20.000	903.38	691.40	211.98 L	.00
830	741	41101-00043	00000	06/30/10	01/26/11			6.000	271.02	222.60	48.42 S	.00
TOTAL-SHORT								223.000	9,992.68	8,111.28	48.42	.00
TOTAL-LONG											1,832.98	.00
ASSET 478160104 JOHNSON & JOHNSON												
830	880	41077-00043	00000	04/27/04	01/04/11			19.000	1,201.73	1,034.55	167.18 L	.00
830	880	41077-00043	00000	06/17/05	01/04/11			9.000	569.24	597.33	28.09-L	.00
830	741	41101-00044	00000	06/17/05	01/26/11			1.000	60.91	66.37	5.46-L	.00
830	741	41101-00044	00000	07/19/06	01/26/11			10.000	609.09	609.80	.71-L	.00
830	741	41101-00044	00000	11/10/06	01/26/11			10.000	609.08	659.60	50.52-L	.00
830	741	41101-00044	00000	11/18/08	01/26/11			10.000	609.09	589.35	19.74 L	.00
830	741	41101-00044	00000	03/17/09	01/26/11			10.000	609.08	502.30	106.78 L	.00
830	741	41101-00044	00000	06/30/10	01/26/11			3.000	182.73	178.26	4.47 S	.00
TOTAL-SHORT								72.000	4,450.95	4,237.56	4.47	.00
TOTAL-LONG											208.92	.00
ASSET 532716107 LIMITED BRANDS INC												
830	880	41077-00044	00000	05/24/10	01/04/11			71.000	2,124.28	1,775.09	349.19 S	.00
830	741	41101-00045	00000	05/24/10	01/26/11			106.000	3,053.81	2,650.14	403.67 S	.00
830	741	41101-00045	00000	06/30/10	01/26/11			3.000	86.43	67.47	18.96 S	.00

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								UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L
TOTAL-SHORT								180.000	5,264.52	4,492.70	771.82	.00
ASSET 577081102 MATTEL INC												
830		880	41077-00045	00000	05/24/10	01/04/11		82.000	2,070.46	1,775.55	294.91 S	.00
830		741	41101-00046	00000	05/24/10	01/26/11		124.000	2,977.19	2,684.99	292.20 S	.00
830		741	41101-00046	00000	06/30/10	01/26/11		4.000	96.04	86.04	10.00 S	.00
TOTAL-SHORT								210.000	5,143.69	4,546.58	597.11	.00
ASSET 58933Y105 MERCK & CO INC												
830		880	41077-00047	00000	01/27/10	01/04/11		33.000	1,198.53	1,266.54	68.01-S	.00
830		741	41101-00047	00000	01/27/10	01/26/11		52.000	1,729.48	1,995.76	266.28-S	.00
TOTAL-SHORT								85.000	2,928.01	3,262.30	334.29-	.00
ASSET 59156R108 METLIFE INC.												
830		880	41033-00006	00000	09/02/09	11/23/10		68.000	2,581.08	2,416.72	164.36 L	.00
830		880	41034-00001	00000	09/02/09	11/24/10		1.000	38.06	35.54	2.52 L	.00
830		880	41034-00001	00000	06/30/10	11/24/10		1.000	38.05	38.37	.32-S	.00
TOTAL-SHORT								70.000	2,657.19	2,490.63	.32-	.00
TOTAL-LONG											166.88	.00
ASSET 594918104 MICROSOFT CORP												
830		880	41077-00048	00000	04/27/04	01/04/11		125.000	3,503.68	3,421.25	82.43 L	.00
830		880	41077-00048	00000	06/26/06	01/04/11		4.000	112.12	91.52	20.60 L	.00
830		741	41101-00048	00000	06/26/06	01/26/11		6.000	173.34	137.28	36.06 L	.00
830		741	41101-00048	00000	07/19/06	01/26/11		30.000	866.68	693.90	172.78 L	.00
830		741	41101-00048	00000	11/18/08	01/26/11		30.000	866.68	568.35	298.33 L	.00
830		741	41101-00048	00000	03/17/09	01/26/11		20.000	577.79	334.20	243.59 L	.00
830		741	41101-00048	00000	11/18/09	01/26/11		110.000	3,177.83	3,304.40	126.57-L	.00
830		741	41101-00048	00000	06/30/10	01/26/11		3.000	86.67	70.37	16.30 S	.00
TOTAL-SHORT								328.000	9,364.79	8,621.27	16.30	.00
TOTAL-LONG											727.22	.00
ASSET 637071101 NATIONAL OILWELL VARCO INC												
830		880	41077-00049	00000	08/04/10	01/04/11		60.000	3,983.93	2,502.80	1,481.13 S	.00
830		741	41101-00049	00000	08/04/10	01/26/11		64.000	4,575.27	2,669.65	1,905.62 S	.00
830		741	41101-00049	00000	09/27/10	01/26/11		30.000	2,144.66	1,315.47	829.19 S	.00

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ASSET 637071101 (CONT'D)

UNIT PROCEEDS TAX COST FEDERAL G/L STATE G/L

154.000 10,703.86 6,487.92 4,215.94 .00

TOTAL-SHORT

ASSET 651290108 NEWFIELD EXPLORATION CO
830 880 41077-00050 00000 11/23/10 01/04/11
830 741 41101-00050 00000 11/23/10 01/26/11
830 741 41101-00050 00000 11/24/10 01/26/11

UNIT PROCEEDS TAX COST FEDERAL G/L STATE G/L

24.000 1,711.89 1,601.98 109.91 S .00
6.000 420.47 400.49 19.98 S .00
31.000 2,172.44 2,094.32 78.12 S .00

61.000 4,304.80 4,096.79 208.01 .00

TOTAL-SHORT

ASSET 664397106 NORTHEAST UTILITIES
830 741 41101-00051 00000 01/14/11 01/26/11
830 741 41101-00051 00000 01/18/11 01/26/11

UNIT PROCEEDS TAX COST FEDERAL G/L STATE G/L

26.000 847.58 830.66 16.92 S .00
43.000 1,401.77 1,399.83 1.94 S .00

69.000 2,249.35 2,230.49 18.86 .00

TOTAL-SHORT

ASSET 68389X105 ORACLE CORP
830 880 41077-00051 00000 01/21/05 01/04/11
830 741 41101-00052 00000 01/21/05 01/26/11
830 741 41101-00052 00000 06/17/05 01/26/11
830 741 41101-00052 00000 06/30/10 01/26/11

UNIT PROCEEDS TAX COST FEDERAL G/L STATE G/L

102.000 3,202.74 1,364.76 1,837.98 L .00
64.000 2,085.08 856.32 1,228.76 L .00
90.000 2,932.14 1,116.81 1,815.33 L .00
4.000 130.32 87.32 43.00 S .00

260.000 8,350.28 3,425.21 43.00 .00
4,882.07

TOTAL-SHORT
TOTAL-LONG

ASSET 693390700 PIMCO FDS
TOTAL RETURN BD FUND
INSTL CLASS, FD #35

831 741 41257-00002 00000 01/26/11 07/05/11
UNIT PROCEEDS TAX COST FEDERAL G/L STATE G/L

1,190.461 13,106.98 12,904.60 202.38 S .00
1,190.461 13,106.98 12,904.60 202.38 .00

TOTAL-SHORT

ASSET 693506107 PPG INDUSTRIES INC
830 880 41077-00052 00000 03/10/10 01/04/11
830 741 41101-00053 00000 03/10/10 01/26/11
830 741 41101-00053 00000 06/30/10 01/26/11

UNIT PROCEEDS TAX COST FEDERAL G/L STATE G/L

20.000 1,668.37 1,271.85 396.52 S .00
30.000 2,543.34 1,907.77 635.57 S .00
1.000 84.78 61.16 23.62 S .00

REPORT: TMS-TX-23
BANK 21 PNC BANK (21)
REGION 60 NE PENNSYLVANIA
OFFICE 001 C & E

GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
ACCOUNT 3842809 THALENFELD CHARITABLE TRUST
FROM: 10/01/10 TO: 09/30/11

TAX CODE	OR-OFF	SERIAL	SEQ	LOT#	ACQUIRED	TRADE	UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L
ASSET 693506107 (CONT'D)							-----	-----	-----	-----	-----
TOTAL-SHORT							51.000	4,296.49	3,240.78	1,055.71	.00
ASSET 701094104 PARKER HANNIFIN CORP							-----	-----	-----	-----	-----
830		880	40981-00006	00000	02/24/10	10/05/10	53.000	3,776.61	3,107.60	669.01 S	.00
830		880	40981-00006	00000	06/30/10	10/05/10	2.000	142.51	112.92	29.59 S	.00
TOTAL-SHORT							55.000	3,919.12	3,220.52	698.60	.00
ASSET 713448108 PEPSICO INC							-----	-----	-----	-----	-----
830		880	41077-00053	00000	10/12/05	01/04/11	40.000	2,613.95	2,303.60	310.35 L	.00
830		741	41101-00054	00000	10/12/05	01/26/11	8.000	527.51	460.72	66.79 L	.00
830		741	41101-00054	00000	08/29/08	01/26/11	30.000	1,978.16	2,065.05	86.89-L	.00
830		741	41101-00054	00000	11/18/08	01/26/11	10.000	659.39	512.55	146.84 L	.00
830		741	41101-00054	00000	03/17/09	01/26/11	10.000	659.39	489.00	170.39 L	.00
830		741	41101-00054	00000	06/30/10	01/26/11	4.000	263.75	245.80	17.95 S	.00
TOTAL-SHORT							102.000	6,702.15	6,076.72	17.95	.00
TOTAL-LONG										607.48	.00
ASSET 717081103 PFIZER INC							-----	-----	-----	-----	-----
830		880	41077-00054	00000	03/06/07	01/04/11	136.000	2,442.51	3,397.28	954.77-L	.00
830		880	41077-00054	00000	08/29/08	01/04/11	10.000	179.60	192.55	12.95-L	.00
830		880	41077-00054	00000	11/18/08	01/04/11	10.000	179.60	157.25	22.35 L	.00
830		880	41077-00054	00000	03/17/09	01/04/11	10.000	179.59	142.00	37.59 L	.00
830		880	41077-00054	00000	10/30/09	01/04/11	2.000	35.92	34.26	1.66 L	.00
830		741	41101-00055	00000	10/30/09	01/26/11	68.000	1,255.25	1,164.84	90.41 L	.00
830		741	41101-00055	00000	01/27/10	01/26/11	70.000	1,292.17	1,334.20	42.03-S	.00
830		741	41101-00055	00000	08/13/10	01/26/11	122.000	2,252.08	1,969.80	282.28 S	.00
TOTAL-SHORT							428.000	7,816.72	8,392.18	240.25	.00
TOTAL-LONG										815.71-	.00
ASSET 74144T108 PRICE T ROWE GROUP INC							-----	-----	-----	-----	-----
830		880	41077-00055	00000	05/13/09	01/04/11	35.000	2,251.86	1,310.75	941.11 L	.00
830		741	41101-00056	00000	05/13/09	01/26/11	34.000	2,291.22	1,273.30	1,017.92 L	.00
830		741	41101-00056	00000	06/30/10	01/26/11	1.000	67.39	45.07	22.32 S	.00
830		741	41101-00056	00000	11/23/10	01/26/11	18.000	1,212.99	1,031.74	181.25 S	.00

REPORT: TMS-TX-23
BANK 21 PNC BANK (21)
REGION 60 NE PENNSYLVANIA
OFFICE 001 C & E

GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
ACCOUNT 3842809 THALENFELD CHARITABLE TRUST
FROM: 10/01/10 TO: 09/30/11

PAGE: 15
RUN DATE: 01/10/12

TAX CODE	OR-OFF SERIAL	SEQ LOT#	DATES ACQUIRED	TRADE DATE	UNITS	PROCEEDS	TAX COST	GAIN / LOSS	FEDERAL G/L	STATE G/L
ASSET	74144T108	(CONT'D)			88.000	5,823.46	3,660.86		203.57 1,959.03	
		TOTAL-SHORT								.00
		TOTAL-LONG								.00
ASSET	742718109	PROCTER & GAMBLE CO			48.000	3,113.46	2,676.00		437.46 L	.00
830	880	41077-00056	00000	08/26/04	30.000	1,989.26	1,600.20		389.06 L	.00
830	741	41101-00057	00000	10/08/04	20.000	1,326.17	1,247.40		78.77 L	.00
830	741	41101-00057	00000	11/18/09	25.000	1,657.72	1,508.75		148.97 S	.00
830	741	41101-00057	00000	06/30/10	123.000	8,086.61	7,032.35		148.97 905.29	.00
		TOTAL-SHORT								.00
		TOTAL-LONG								.00
ASSET	747525103	QUALCOMM INC			50.000	2,580.95	2,599.77		18.82-S	.00
830	741	41101-00058	00000	01/14/11	50.000	2,580.95	2,599.77		18.82-	.00
		TOTAL-SHORT								.00
ASSET	82481R106	SHIRE PLC			26.000	1,904.72	1,734.08		170.64 S	.00
830	880	41077-00057	00000	03/03/10	2.000	146.52	133.51		13.01 S	.00
830	880	41077-00057	00000	03/04/10	25.000	2,010.71	1,668.86		341.85 S	.00
830	741	41101-00059	00000	03/04/10	2.000	160.86	123.70		37.16 S	.00
830	741	41101-00059	00000	06/30/10	16.000	1,286.85	1,169.80		117.05 S	.00
830	741	41101-00059	00000	11/23/10	71.000	5,509.66	4,829.95		679.71	.00
		TOTAL-SHORT								.00
ASSET	832696405	JM SMUCKER CO/THE-NEW COM W1			29.000	1,831.32	1,761.75		69.57 S	.00
830	880	41077-00058	00000	01/27/10	30.000	1,868.96	1,822.50		46.46 S	.00
830	741	41101-00060	00000	01/27/10	15.000	934.48	909.30		25.18 S	.00
830	741	41101-00060	00000	06/30/10	74.000	4,634.76	4,493.55		141.21	.00
		TOTAL-SHORT								.00
ASSET	855244109	STARBUCKS CORP			54.000	1,758.21	1,498.71		259.50 S	.00
830	880	41077-00059	00000	05/12/10	82.000	2,692.82	2,275.81		417.01 S	.00
830	741	41101-00061	00000	05/12/10	2.000	65.68	49.80		15.88 S	.00
830	741	41101-00061	00000	06/30/10						.00

REPORT: TMS-TX-23

BANK 21 PNC BANK (21)
REGION 60 NE PENNSYLVANIA
OFFICE 001 C & EGAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
ACCOUNT 3842809 THALENFELD CHARITABLE TRUST
FROM: 10/01/10 TO: 09/30/11PAGE: 16
RUN DATE: 01/10/12

TAX	OR-OFF	SERIAL	SEQ	LOT#	DATES	ACQUIRED	TRADE	UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L
CODE												
ASSET 855244109 (CONT'D)												
TOTAL-SHORT												
ASSET 88579Y101 3M COMPANY												
830	880	41044-00011	00000	08/18/09	12/07/10			49.000	4,128.29	3,444.21	684.08 L	.00
830	880	41044-00011	00000	09/02/09	12/07/10			10.000	842.51	708.70	133.81 L	.00
830	880	41044-00011	00000	06/30/10	12/07/10			3.000	252.75	238.68	14.07 S	.00
TOTAL-SHORT												
TOTAL-LONG												
ASSET 89155H827 TOUCHSTONE SANDS CAPITAL SELECT												
GROWTH FD CLASS Y												
831	741	41126-00006	00000	01/26/11	02/24/11			603.752	6,387.70	6,272.98	114.72 S	.00
TOTAL-SHORT												
ASSET 907818108 UNION PACIFIC CORP												
830	880	41077-00060	00000	03/30/10	01/04/11			24.000	2,232.44	1,768.88	463.56 S	.00
830	741	41101-00062	00000	03/30/10	01/26/11			23.000	2,170.47	1,695.18	475.29 S	.00
830	741	41101-00062	00000	05/12/10	01/26/11			13.000	1,226.78	998.98	227.80 S	.00
830	741	41101-00062	00000	06/30/10	01/26/11			1.000	94.37	70.53	23.84 S	.00
TOTAL-SHORT												
TOTAL-LONG												
ASSET 913017109 UNITED TECHNOLOGIES CORP												
830	880	41077-00061	00000	04/18/02	01/04/11			29.000	2,294.15	982.66	1,311.49 L	.00
830	741	41101-00063	00000	04/18/02	01/26/11			10.000	817.38	338.85	478.53 L	.00
830	741	41101-00063	00000	09/02/09	01/26/11			30.000	2,452.15	1,769.70	682.45 L	.00
830	741	41101-00063	00000	06/30/10	01/26/11			5.000	408.69	329.00	79.69 S	.00
TOTAL-SHORT												
TOTAL-LONG												
ASSET 91324P102 UNITEDHEALTH GROUP INC												
830	880	41077-00062	00000	05/13/09	01/04/11			56.000	2,096.88	1,545.04	551.84 L	.00
830	741	41101-00064	00000	05/13/09	01/26/11			82.000	3,296.33	2,262.38	1,033.95 L	.00
830	741	41101-00064	00000	06/30/10	01/26/11			5.000	201.00	143.20	57.80 S	.00

REPORT: TMS-TX-23
BANK 21 PNC BANK (21)
REGION 60 NE PENNSYLVANIA
OFFICE 001 C & E

ACCOUNT 3842809 THALENFELD CHARITABLE TRUST
GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
FROM: 10/01/10 TO: 09/30/11

PAGE: 17
RUN DATE: 01/10/12

TAX CODE	OR-OFF ASSET	SERIAL	SEQ (CONT'D)	DATES		TRADE	UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L
				LOT#	ACQUIRED						
ASSET 91324P102											
TOTAL-SHORT							143.000	5,594.21	3,950.62	57.80	.00
TOTAL-LONG										1,585.79	.00
ASSET 92553P201 VIACOM INC CLASS B W I											
830	880	41077-00063	00000	06/09/09	01/04/11	47.000	1,870.57	1,084.76	785.81 L	.00	
830	741	41101-00065	00000	06/09/09	01/26/11	71.000	3,008.91	1,638.68	1,370.23 L	.00	
830	741	41101-00065	00000	06/30/10	01/26/11	3.000	127.14	95.61	31.53 S	.00	
TOTAL-SHORT							121.000	5,006.62	2,819.05	31.53	.00
TOTAL-LONG										2,156.04	.00
ASSET 931142103 WAL-MART STORES INC											
830	880	41077-00064	00000	10/05/00	01/04/11	39.000	2,135.21	1,810.96	324.25 L	.00	
830	880	41077-00064	00000	07/19/06	01/04/11	1.000	54.75	44.17	10.58 L	.00	
830	741	41101-00066	00000	07/19/06	01/26/11	19.000	1,091.15	839.23	251.92 L	.00	
830	741	41101-00066	00000	07/07/08	01/26/11	20.000	1,148.58	1,129.70	18.88 L	.00	
830	741	41101-00066	00000	11/18/08	01/26/11	10.000	574.29	511.15	63.14 L	.00	
830	741	41101-00066	00000	03/17/09	01/26/11	10.000	574.29	498.60	75.69 L	.00	
830	741	41101-00066	00000	06/30/10	01/26/11	4.000	229.71	193.76	35.95 S	.00	
TOTAL-SHORT							103.000	5,807.98	5,027.57	35.95	.00
TOTAL-LONG										744.46	.00
ASSET 949746101 WELLS FARGO & COMPANY											
830	880	41077-00065	00000	05/13/09	01/04/11	86.000	2,720.13	2,102.70	617.43 L	.00	
830	741	41101-00067	00000	05/13/09	01/26/11	21.000	684.17	513.45	170.72 L	.00	
830	741	41101-00067	00000	08/18/09	01/26/11	110.000	3,583.72	2,933.70	650.02 L	.00	
830	741	41101-00067	00000	06/30/10	01/26/11	3.000	97.74	78.12	19.62 S	.00	
TOTAL-SHORT							220.000	7,085.76	5,627.97	19.62	.00
TOTAL-LONG										1,438.17	.00
ASSET 976657106 WISCONSIN ENERGY CORP											
830	880	41077-00066	00000	02/27/09	01/04/11	40.000	2,318.76	1,608.60	710.16 L	.00	
830	741	41101-00068	00000	02/27/09	01/26/11	9.000	540.80	361.93	178.87 L	.00	
830	741	41101-00068	00000	03/17/09	01/26/11	50.000	3,004.43	1,913.00	1,091.43 L	.00	
830	741	41101-00068	00000	06/30/10	01/26/11	2.000	120.18	102.66	17.52 S	.00	

SUMMARY OF INVESTMENTS

AS OF 09/30/11

PAGE 1

ACCOUNT
21-60-501-3842809

THALENFELD CHARITABLE TRUST CONS

	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET
PRINCIPAL CASH						
CASH EQUIVALENTS	18,624.78	18,624.78	18,624.78	3.340	10.06	.054
FIXED INCOME MUTUAL FUNDS - FIXED	196,876.68	196,876.68	193,164.62	34.636	7,669.28	3.970
EQUITIES						
MUTUAL FUNDS - EQUITY	402,355.34	402,355.34	345,912.71	62.025	4,736.46	1.369
TOTAL PRINCIPAL ASSETS	617,856.80	617,856.80	557,702.11	100.000	12,415.80	2.226
CASH EQUIVALENTS	4,801.58	4,801.58	4,801.58	100.000	2.59	.054
ACCOUNT TOTAL	622,658.38	622,658.38	562,503.69		12,418.39	2.208



STATEMENT OF INVESTMENTS

AS OF 09/30/11

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ACCOUNT
21-60-501-3842809

THALENFELD CHARITABLE TRUST CONS

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
CASH EQUIVALENTS								
4,801.580	BLACKROCK LIQUIDITY FUNDS TEMPFUND ADMINISTRATION SHARES #H1 (INCOME INVESTMENT) ACCOUNT 21-60-001-3842809	4,801.58	4,801.58	4,801.58	.854	2.59	.054	1.000
18,624.780	BLACKROCK LIQUIDITY FUNDS TEMPFUND ADMINISTRATION SHARES #H1 ACCOUNT 21-60-741-3842809	18,624.78	18,624.78	18,624.78	3.311	10.06	.054	1.000
	TOTAL CASH EQUIVALENTS	23,426.36	23,426.36	23,426.36	4.165	12.65	.054	
MUTUAL FUNDS - FIXED								
5,806.699	DODGE & COX INCOME FUND ACCOUNT 21-60-741-3842809	76,996.83	76,996.83	76,996.83	13.688	3,199.49	4.155	13.260
2,723.451	EATON VANCE FLOATING RATE FUND CLASS I FUND #924 ACCOUNT 21-60-741-3842809	24,674.47	24,674.47	23,585.09	4.193	996.78	4.226	8.660
6,491.856	PIMCO FDS TOTAL RETURN BD FUND INSTL CLASS, FD #35 ACCOUNT 21-60-741-3842809	70,371.72	70,371.72	70,047.13	12.453	2,343.56	3.346	10.790
1,781.468	TEMPLETON GLOBAL BOND FUND AD FUND #616 ACCOUNT 21-60-741-3842809	24,833.66	24,833.66	22,535.57	4.006	1,129.45	5.012	12.650



STATEMENT OF INVESTMENTS

AS OF 09/30/11

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ACCOUNT
21-60-501-3842809

THALENFELD CHARITABLE TRUST CONS

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
TOTAL MUTUAL FUNDS - FIXED								
		196,876.68	196,876.68	193,164.62	34.340	7,669.28	3.970	
MUTUAL FUNDS - EQUITY								
713.959	AMERICAN BEACON FUNDS INTL EQUITY FD INSTL CL FD #67 ACCOUNT 21-60-741-3842809	12,337.22	12,337.22	9,852.63	1.752	390.54	3.964	13.800
550.769	ARTISAN FDS INC INTERNATIONAL FUND # 661 ACCOUNT 21-60-741-3842809	12,337.23	12,337.23	10,117.63	1.799	155.32	1.535	18.370
4,286.742	BLACKROCK FUNDS EQUITY DIVIDEND INSTITUTIONAL CLASS FUND 383 ACCOUNT 21-60-741-3842809	77,083.78	77,083.78	70,516.91	12.536	1,543.23	2.188	16.450
2,584.529	BLACKROCK CAPITAL APPRECIATION FUND, INC. FUND #794 ACCOUNT 21-60-741-3842809	62,778.20	62,778.20	52,336.71	9.304			20.250
506.731	DODGE & COX INTERNATIONAL STOCK FUND ACCOUNT 21-60-741-3842809	18,505.82	18,505.82	14,588.79	2.594	384.61	2.636	28.790
157.402	EAGLE SMALL CAP GROWTH FUND CL I ACCOUNT 21-60-741-3842809	6,168.59	6,168.59	5,392.59	.959			34.260



STATEMENT OF INVESTMENTS

AS OF 09/30/11

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THALENFELD CHARITABLE TRUST CONS

ACCOUNT
21-60-501-3842809

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
625.366	GOLDMAN SACHS GRTH OPPOR INSTIT CLASS FD #1132 ACCOUNT 21-60-741-3842809	15,421.52	15,421.52	12,807.50	2.277			20.480
1,487.608	HARBOR FUND INTERNATIONAL GROWTH FUND #17 ACCOUNT 21-60-741-3842809	18,505.84	18,505.84	14,697.57	2.613	333.22	2.267	9.880
265.000	ISHARES TR MSCI EMERGING MKTS INDEX FD ETF ACCOUNT 21-60-741-3842809	12,460.27	12,460.27	9,300.18	1.653	214.12	2.302	35.095
85.000	ISHARES TR S&P SMALL CAP 600 VALUE INDEX FD ETF ACCOUNT 21-60-741-3842809	6,224.55	6,224.55	5,031.15	.894	78.37	1.558	59.190
666.157	PERKINS MID CAP VALUE FUND CLASS T SHARES INVESTOR CLASS # 1067 ACCOUNT 21-60-741-3842809	15,421.53	15,421.53	13,276.51	2.360	115.25	.868	19.930
3,276.517	MFS VALUE FUND CLASS I ACCOUNT 21-60-741-3842809	77,360.38	77,360.38	66,316.70	11.790	1,431.84	2.159	20.240
347.332	THIRD AVENUE INTERNATL VALUE FUND #448 ACCOUNT 21-60-741-3842809	6,168.61	6,168.61	5,015.47	.892	89.96	1.794	14.440
5,927.026	TOUCHSTONE SANDS CAPITAL SELECT GROWTH FD CLASS Y ACCOUNT 21-60-741-3842809	61,581.80	61,581.80	56,662.37	10.073			9.560



S T A T E M E N T O F I N V E S T M E N T S

AS OF 09/30/11

ACCOUNT
21-60-501-3842809

THALENFELD CHARITABLE TRUST CONS

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PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
	TOTAL MUTUAL FUNDS - EQUITY	402,355.34	402,355.34	345,912.71	61.496	4,736.46	1.369	
	TOTAL INVESTMENTS	622,658.38	622,658.38	562,503.69	100.000	12,418.39	2.207	



A C C O U N T S U M M A R Y

09/30/11 THROUGH 09/30/11

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ACCOUNT
21-60-501-3842809

THALENFELD CHARITABLE TRUST CONS

INCOME CASH	INCOME CARRYING VALUE	PRINCIPAL CASH	PRINCIPAL CARRYING VALUE
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0.00	4,801.58	0.00	617,856.80
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YOUR TRUST ACCOUNT BALANCES ON 09/30/11 WERE

DURING THE PERIOD INCOME WAS RECEIVED FROM
NO DIVIDENDS THIS PERIOD
NO NON-TAXABLE INTEREST INCOME THIS PERIOD
NO TAXABLE INTEREST INCOME THIS PERIOD
NO RENTAL INCOME THIS PERIOD

THUS TOTAL INCOME RECEIVED EQUALED

NO CONTRIBUTIONS WERE RECEIVED THIS PERIOD

NO SALES THIS PERIOD

NO INCOME OR PRINCIPAL TRANSFERS THIS PERIOD

NO PURCHASES THIS PERIOD

EXPENSES INCURRED DURING THIS PERIOD WERE

NO FEES CHARGED THIS PERIOD
NO OTHER EXPENSES THIS PERIOD

FOR TOTAL EXPENSES OF

NO PAYMENTS WERE MADE TO OR FOR YOU THIS PERIOD

YOUR CURRENT BALANCES AS OF 09/30/11 ARE

0.00	4,801.58	0.00	617,856.80
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