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SCANNED WAR 0 8 2012

990-PF

Department of the Treasury

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2010

_		dar year 2010, or tax year beginning $10/01/10$, a	and ending 09/3		ate reporting	requirements	
				-	ſ	Final action	
G	эпеск а		turn of a former public			Final return	
			ress change	Name	change		
Na	ame of fo	oundation				loyer identification nun	nber
T 1	*DO	ID C MANCY MADIAN ECHNICATION				-2831054	
		LD & NANCY KAPLAN FOUNDATION	: 		1	hone number (see page 1	10 of the instructions)
		nd street (or P O box number if mail is not delivered to street addre	ss) Ro	oom/suite		0-347-3377	····
		WINDEMERE CIRCLE			1	mption application is pend	· . —
	-	vn, state, and ZIP code ON PA 18414			D 1. Fo	oreign organizations, chec	k here . P
	ALT					reign organizations meeti	_
		type of organization: X Section 501(c)(3) exempt private				% test, check here and a	
		11	e private foundation			rate foundation status was on 507(b)(1)(A), check her	
		rket value of all assets at J Accounting method	Cash X Acc	ruai			_
		ear (from Part II, col. (c), Uther (specify) \$ 669,321 (Part I, column (d) must)	hh b!. \			foundation is in a 60-mon r section 507(b)(1)(B), che	
	ne 16) art i			l	455		
£.	alli	Analysis of Revenue and Expenses. The total of amounts in columns (b), (c), and (d) may not necessarily equal	(a) Revenue and expenses per	(b) Net in	vestment	(c) Adjusted net	(d) Disburser ents for chantet e
		the amounts in column (a) (see page 11 of the instructions)	books	inco	me	ıncome	purposes
	14	· · · · · · · · · · · · · · · · · · ·		<u></u>			(cash basis only)
	1 2	Contributions, gifts, grants, etc , received (attach schedule) Check ► If the foundation is not required to attach Sch B					
		_					
	3 4	Interest on savings and temporary cash investments Dividends and interest from securities	24,416		24,416		
	5a	Gross rents	24,410	'	24,410		
_	b	Net rental income or (loss)					
Ę	6a	Net gain or (loss) from sale of assets not on line 10 STMT 1	-3,002				
e.	b	Gross sales price for all assets on line 6a 29,759	3,002				
Revenue	7	Capital gain net income (from Part IV, line 2)		[54		
Œ	8	Net short-term capital gain			73	0	
	9	Income modifications					
	10a	Gross sales less returns & allowances					
	b	Less: Cost of goods sold					
	c	Gross profit or (loss) (attach schedule)					
	11	Other income (attach schedule)					
	12	Total. Add lines 1 through 11	21,414		24,470	0	
	13	Compensation of officers, directors, trustees, etc	0				
enses	14	Other employee salaries and wages					
ë	15	Pension plans, employee benefits				-	
Exp	16a	Legal fees (attach schedule)					·
Ш	b	Accounting fees (attach schedule) STMT 2	1,000				
.≝	С	Other professional fees (attach schedule)			·		
Operating and Administrative	17	Interest					
ist	18	Texes (attach schedule) (see page 14 of the instructions) STMT 3	360				
n <u>i</u> n	19	Depreciation (attach-schedule) and depletion					
۱d	20	1 1 (0)1					
ΨÞ	21	Occupancy Travel, conferences, and meetings					
an	22	Printing and publications					
ğ	23	Other expenses at SCIEN. UI STMT 4	6,013		6,013		
atir	24	Total operating and administrative expenses.	· • • •				
era		Add lines 13 through 23	7,373	ļ	6,013	0	0
Q	25	Contributions, gifts, grants paid .	21,600				21,600
_	26	Total expenses and disbursements. Add lines 24 and 25	28,973		6,013	0	21,600
	27	Subtract line 26 from line 12 ⁻					
	а	Excess of revenue over expenses and disbursements	-7,559				
	Ь	Net investment income (if negative, enter -0-)		ļ	18,457		
	С	Adjusted net income (if negative, enter -0-)		L		0	· · · · · · · · · · · · · · · · · · ·
_	_	well Deduction Act Notice and name 20 of the instructi					F 000 DE (0040)

orm 990	P-PF (2010) HAROLD & NANCY KAPLAN FOUNDATION	23-283105		Page
Part I	Balance Sheets Attached schedules and amounts in the description column	Beginning of year	End o	of year
т	should be for end-of-year amounts only (See instructions)	(a) Book Value	(b) Book Value	(c) Fair Market Value
1	Cash—non-interest-bearing	10.054	10.00	
3	Savings and temporary cash investments	10,854	19,287	19,28
"	Accounts receivable			
4	Less: allowance for doubtful accounts			<u></u>
•	Pledges receivable			
5	Less allowance for doubtful accounts ▶ Grants receivable			
6	Receivables due from officers, directors, trustees, and other			
•	disqualified persons (attach schedule) (see page 15 of the			
	instructions)			
7	Other notes and loans receivable (att schedule)			
•	Less. allowance for doubtful accounts			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U.S. and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule) SEE STMT 5	549,390	533,398	650,03
c	Investments—corporate bonds (attach schedule)	343,330		650,03
11	Investments—land, buildings, and equipment basis			-
•	Less accumulated depreciation (attach sch)			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	-		
14	Land, buildings, and equipment basis			
	Less accumulated depreciation (attach sch)		l	
15	Other assets (describe ▶			
16	Total assets (to be completed by all filers—see the			· · · · · · · · · · · · · · · · · · ·
	instructions Also, see page 1, item I)	560,244	552,685	669,32
17	Accounts payable and accrued expenses			000,02
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons	· · · · · · · · · · · · · · · · · · ·		
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	Total liabilities (add lines 17 through 22)	0	0	
	Foundations that follow SFAS 117, check here			
	and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted			
25	Temporanly restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here			
	and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg., and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	560,244	552,685	
30	Total net assets or fund balances (see page 17 of the			
	instructions)	560,244	552,685	
31	Total liabilities and net assets/fund balances (see page 17			
	of the instructions)	560,244	552,685	
art II	····			
	net assets or fund balances at beginning of year—Part II, column (a), line 30 (mus	t agree with		
	f-year figure reported on prior year's return)		. 1	560,24
Entor	amount from Part Line 27a		1 -	

552,685 Form **990-PF** (2010)

552,685

3

4

5

Other increases not included in line 2 (itemize)

6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30

5 Decreases not included in line 2 (itemize)

Add lines 1, 2, and 3

Part IV Capital Gains a	and Losses for Tax on Investm	ent Income			<u>1 agc </u>
	be the kind(s) of property sold (e.g., real estate ehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a CAPITAL GAIN					
_ b					
<u>c</u>					
d					
_e	T				
(e) Gross sales price	(f) Depreciation allowed (or allowable)		r other basis inse of sale		or (loss) minus (g)
_a54					54
<u>b</u>					
<u>c</u>		 			
d					
Complete only for accets chause	a gain in column (b) and armed by the	foundation on 12/21/6	20		
Complete only for assets showin	ng gain in column (h) and owned by the	<u> </u>			(h) gain minus less than -0-) or
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69		s of col (i) (j), if any		om col (h))
a					54
<u>b</u>					
_ с					
d					
е		<u> </u>	 	····	
2 Capital gain net income or (net o	eapital loss) If gain, also enter in If (loss), enter -0- in	•		2	54
3 Net short-term capital gain or (lo	ss) as defined in sections 1222(5) and (—			
	3, column (c) (see pages 13 and 17 of th	e instructions)			
If (loss), enter -0- in Part I, line 8 Part V Qualification U	nder Section 4940(e) for Redu	ced Tay on Net	Investment Inco	3	
	e foundations subject to the section 494				
	-	o(a) tax on net invest	inch income.)		
If section 4940(d)(2) applies, leave the	nis part diank				
	ction 4942 tax on the distributable amount	• •	pase period?		X Yes No
· · · · · · · · · · · · · · · · · · ·	alify under section 4940(e) Do not comp	<u> </u>	ofore making any an	Am	
1 Enter the appropriate amount in (a)	each column for each year, see page 1	8 of the instructions t	<u> </u>	itnes	(d)
Base penod years Calendar year (or tax year beginning	(b) Adjusted qualifying distribution	ons Net value	(c) of noncharitable-use as:		ibution ratio ivided by col (c))
2009			 -		
2008					
2007					
2006					
2005					
0 T-4-1-61 41 (4)					
2 Total of line 1, column (d)	E very book power of the database of	luna O hu E an hu tha		2	
	5-year base period—divide the total on	•			
number of years the foundation	has been in existence if less than 5 year	· .		3	
4 Enter the net value of noncharita	able-use assets for 2010 from Part X, lin	e 5		4	
5 Multiply line 4 by line 3				5	
6 Enter 1% of net investment inco	me (1% of Part I, line 27b)			6	
7 Add lines 5 and 6				7	
					
8 Enter qualifying distributions from	•••			8	
· · · · · · · · · · · · · · · · · · ·	in line 7, check the box in Part VI, line 1	b, and complete that	part using a 1% tax r	ate. See the	
Part VI instructions on page 18	·····				000 55
				1	orm 990-PF (2010)

	1990-PF (2010) HAROLD & NANCY KAPLAN FOUNDATION 23-2831054		F	age 4	
·Pa	Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instruction	ıs)			
1a					
	Date of ruling or determination letter: (attach copy of letter if necessary—see instructions) Domestic foundations that meet the section 4940(e) requirements in Part V, check 1 36				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check					
	here ▶ ☐ and enter 1% of Part I, line 27b				
С	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of				
	Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			0	
3	Add lines 1 and 2			369	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			0	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			369	
6	Credits/Payments:				
а	2010 estimated tax payments and 2009 overpayment credited to 2010				
b	Exempt foreign organizations—tax withheld at source 6b				
С	Tax paid with application for extension of time to file (Form 8868)				
d	Backup withholding erroneously withheld 6d				
7	Total credits and payments Add lines 6a through 6d				
8	Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached 8				
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			<u> 369</u>	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid				
11	Enter the amount of line 10 to be: Credited to 2011 estimated tax ▶ Refunded ▶ 11				
Pa	ert VII-A Statements Regarding Activities				
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it	<u> </u>	Yes	No	
_	participate or intervene in any political campaign?	1a		X	
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19			l	
	of the instructions for definition)?	1b	ļ	X	
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials				
	published or distributed by the foundation in connection with the activities.			x	
С	ļ _i				
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year					
	(1) On the foundation ▶ \$ (2) On foundation managers. ▶ \$				
е	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed				
	on foundation managers \$				
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X	
_	If "Yes," attach a detailed description of the activities				
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of				
_	incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X	
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		X	
b	If "Yes," has it filed a tax return on Form 990-T for this year?		<u> </u>	 	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5	<u> </u>	X	
_	If "Yes," attach the statement required by General Instruction T				
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:				
	By language in the governing instrument, or				
	By state legislation that effectively amends the governing instrument so that no mandatory directions that				
_	conflict with the state law remain in the governing instrument?	6	X	-	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X	 -	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the				
L	instructions) PA				
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General		v		
0	(or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	 -	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or		1	1	
	4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page	_			
10	27)? If "Yes," complete Part XIV	9	 - -	<u> </u>	
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	40		x	
	names and addresses	10 Form 9 9	n.DE		
		1 OIIII 3 73	7-F F	(2010)	

meaning.of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions) 12 Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? 12 X 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A 14 The books are in care of ► ERIC DAVIS, CPA 321 SPRUCE STREET Located at ► scranton PA ZIP+4 ► 18503 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year 10 X X 11 X X X 12 X 13 X Telephone no. ► 570-347-3377 321 SPRUCE STREET Located at ► scranton PA ZIP+4 ► 18503 ► []	Form	1 990-PF (2010) HAROLD & NANCY KAPLAN FOUNDATION 23-2831054			_ Pa	age 5
meaning of section 512(http://lines.rations.handering see page 20 of the instructions) Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? Websiles address ► N/A Tolephone no. ► 570 – 347 – 3377 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here and enter the amount of tax-exempt interest received or accrued during the year At any time during calendar year 2010, dut the foundation have an interest in or a significance or other authority over a bank, securities, or other financial account in a foreign country? Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. Jouring the year did the foundation (either directly) or indirectly). (1) Engage in the sale or exchange, or lessing of property with a disqualified person? (2) Borrow money fron. lend money to, or otherwise extend crodid to for accept it from a disqualified person? (3) Furnish goods, services, or facilities to (or accept them fron) a disqualified person? (4) Pay compensation to, or ayer reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person? when the term of the benefit or use of a disqualified person? (6) Agree to pay money or property to a government official? (Exception, Check "No" if the foundation argeed to make a grant to or to employ the official for a pend after the term of the ordering of the services of the foundation of greed to make a grant to or to employ the official for a pend after the term of ordered before the first day of the axy services of the production of the year 20 20 20 20 20 20 20 20 20 20 20 20 20	ŀPa	art VII-A Statements Regarding Activities (continued)	_			
12	11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the				
August 17, 2008? Telephone no. > 570 - 347 - 3377 Telephone no. > 570 - 347 - 3477 Telephone no. Telephone no. Include no file no. Telephone no. Telephone no. Telephone no. Telephone no. Telephone no. Telephone no. Tele		meaning.of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)		11		X
13 Marchesters N/A 14 The books are in care of ERIC DAVIS CPA Telephone no. \$70 - 347 - 337 15 Section 4947(a)(1) nonexempt charitable trusts filing form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year 16 At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? 16 At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? 16 See page 20 of the instructions for exceptions and filing requirements for from T0 F 90.22 1, if "Yes," enter the name of the foreign country? 16 Statements Regarding Activities for Which Form 4720 May Be Required 17 File Form 4720 fl any item is checked in the "Yes" column, unless an exception applies. 18 During the year did the foundation (either directly or indirectly). 19 The properties of the foundation (either directly or indirectly). 19 The properties of the foundation (either directly or indirectly). 19 The properties of the properties of the foundation and the foundation (either directly or indirectly). 19 The properties of the properties of the properties of the foundation and the foundation of property with a disqualified person? Yes	12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before				
Website address ▶ N/A The books are in care of ▶ ERIC DAVIS, CPA 321 SPRUCE STREET Located at ▶ excavaron 72 ZIP+4 ▶ 18503 Section 4947(a)(1) nonexempt charitable trusts filing form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year 14 All sy time during calendar year 2010, dut the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22 1. If "Yes," enter the name of the foreign country ▶ Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 10 During the year did the foundation (either directly or indirectly). (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Fave compensation, to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person (or make any of either available for the benefit or use of a disqualified person? (6) Agree to pay money or property to a government official? (Exception, Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminaling within 90 days of the exceptions described in Regulations section 53 4941(0)3 or in a current notice regarding dissater assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding dissater assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding dissater		August 17, 2008?		12		X
14. The books are in care of ▶ ERIC DAVIS CPA 321 SPRUCE STREET 15. Section 4947(4)1 nonexempt charitable trusts filing from 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exampt interest received or accrued during the year 16. At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form 10 F 90-22 1. If "Yes," enter the name of the foreign country? Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 If any lims in schedal in the "Yes" column, unless an exception applies. 16. During the year did the foundation (either directly or indirectly). 17. (1) Engage in the sale or exchange, or leasing of property with a disqualified person? 18. Form when year did the foundation (either directly or indirectly). 19. (2) Borrow money from, lend money to, or otherwise extend credit to (or accept them) a disqualified person? 10. Furnish goods, services, or facilities to (or accept them from) a disqualified person? 10. Furnish grows, or seasons to a disqualified person or make any of either available for the benefit or use of a disqualified person or make any of either available for the benefit or use of a disqualified person or make any of either available for the benefit or use of a disqualified person or make any of either available for the benefit or use of a disqualified person or making or an activation of government escree, at ferminating within 90 days) 18. If any answer is "Yes" to 1a(1)-(6), did any of the acts fall to qualify under the excepted acts, that were not corrected before the first day of the tex year beginning in 2010? 19. Transfer forced before the first day of the tex year beginning in 2010? 19. Transfer forced before the first day of the tex year beginning in 2010? 19. Transfer forced before the first day of the tex year b	13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?		13	X	
15 Section 4947(a)(1) nonexempt charitable trusts fling Form 990-PF in Neu of Form 1041—Check here and enter the amount of the xeexempt interest received or account of the section 4947(a)(1) nonexempt charitable trusts fling Form 990-PF in Neu of Form 1041—Check here and enter the amount of the xeexempt interest received or account or a signature or other authority over a bank, securities, or other financial account in a foreign country? At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form 1D F 90-22 1. If "Yes," enter the name of the foreign country ▶ Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 10 During the year did the foundation (either directly or indirectly). 11 Engage in the sale or exchange, or leasing of property with a disqualified person? 12 Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? 13 Furnish goods, services, or facilities to (or accept them from) a disqualified person? 14 Pay compensation to, or pay or reimburse the expenses of, a disqualified person? 15 Furnish goods, services, or facilities to (or accept them from) a disqualified person? 16 Furnish goods, services, or facilities to (or accept them from) a disqualified person? 17 Furnish goods, services, or facilities to or or expension (or make any of either available for the benefit or use of a disqualified person? 18 Furnish goods, services, or facilities to or or employ the official for a pende after termination of government service, if terminating within 90 days.) 19 Furnish goods, services, or terminating within 90 days.) 10 Furnish goods, services, or terminating within 90 days.) 11 Furnish goods and the services of the services						
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If "Yes," list the years ▶ 20	а					
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 22 of the instructions.) c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ≥ 20			X No			
(relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 22 of the instructions.) c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ≥ 20 , 20 , 20 , 20 , 20 Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? □ Yes ▼ No b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010) 4a Did the foundation invest during the year any amount in a manner that would jeopardize its chantable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its chantable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?						
all years listed, answer "No" and attach statement—see page 22 of the instructions.) c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20 , 20 , 20 , 20 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? □ Yes No b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010) N/A ab Did the foundation invest during the year any amount in a manner that would jeopardize its chantable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its chantable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	D	****				
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20			NT / 7		ŀ	
Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010) N/A Did the foundation invest during the year any amount in a manner that would jeopardize its chantable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its chantable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	_	- · · · · · · · · · · · · · · · · · · ·	N/A	2b		
Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010) N/A Did the foundation invest during the year any amount in a manner that would jeopardize its chantable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its chantable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010? X No N/A X	C					
at any time during the year? If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010) All Did the foundation invest during the year any amount in a manner that would jeopardize its chantable purposes? But the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its chantable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	3-2	·				
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010) 4a Did the foundation invest during the year any amount in a manner that would jeopardize its chantable purposes? 4a X Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its chantable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	Ja		₹ Na			
disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010) All Did the foundation invest during the year any amount in a manner that would jeopardize its chantable purposes? But Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its chantable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	h		NO NO			
Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding penod? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010) An Did the foundation invest during the year any amount in a manner that would jeopardize its chantable purposes? But the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its chantable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010? Ab X	U					
the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010) N/A Did the foundation invest during the year any amount in a manner that would jeopardize its chantable purposes? Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its chantable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010? 4b X						
foundation had excess business holdings in 2010) All Did the foundation invest during the year any amount in a manner that would jeopardize its chantable purposes? Build the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its chantable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010? Shape In					1	
Did the foundation invest during the year any amount in a manner that would jeopardize its chantable purposes? 4a X Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its chantable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010? 4b X			NI/A	26	ŀ	
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010? 4b X	42	- · · · · · · · · · · · · · · · · · · ·	,41/ A	1		
charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	_	• •	•	+d		
	~			45		x
		2010?	Fo)-PF	

om	n 990-PF (2010) HAROLD & NA	<u>NCY KAPLAN FOUNDA</u>	TION 23-2	831054			Page 6
·P	art VII-B Statements Regard	ding Activities for Which Fo	orm 4720 May Be R	equired (conf	inued)		
5a	During the year did the foundation pay	or incur any amount to:					
	(1) Carry on propaganda, or otherwise	attempt to influence legislation (sec	ction 4945(e))?		′es 🗓 No	. }	
	(2) Influence the outcome of any spec	ific public election (see section 4955	5); or to carry on,	_			
	directly or indirectly, any voter regi	stration drive?			′es 🛛 No		
	(3) Provide a grant to an individual for	travel, study, or other similar purpos	ses?		∕es Ϊ No		
	(4) Provide a grant to an organization		• •	-	J		
		ction 4940(d)(2)? (see page 22 of the			res 🕱 No		
	(5) Provide for any purpose other than		•				
	purposes, or for the prevention of	-	7,		res 🕱 No		
b	If any answer is "Yes" to 5a(1)–(5), did	•	v under the exceptions d	escribed in			
	Regulations section 53 4945 or in a cu				N/A	5b	Ì
	Organizations relying on a current notice			,	▶ ☐ ·		
С	If the answer is "Yes" to question 5a(4		•				
-	because it maintained expenditure res	•		N/A 🗌	res No		
	If "Yes," attach the statement required	,	,				
6a	Did the foundation, during the year, red	• •	•				
-	on a personal benefit contract?	serve any rando, an easily or maneous	, to pay promisino		res X No		
b	Did the foundation, during the year, pa	v premiums, directly or indirectly, on	a nersonal benefit contr			6b	x
-	If "Ves" to 6b, file Form 8870	y promiumo, amoday or manoday, on	a percenai beneni centi				
7 a	At any time during the tax year, was th	e foundation a party to a prohibited t	tay shelter transaction?		res X No		
	If Yes, did the foundation receive any				N/A	7b	ĺ
		Officers, Directors, Trustees			·····		
Χ,	and Contractors	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	, i odiladilon man	agoro, mgmy	. a.a z.i.p.oy	,	
1	List all officers, directors, trustees, for	indation managers and their comp	ensation (see page 22	of the instruction	ıs).		
	,				(d) Contributions to		
	(a) Name an	d address	(b) Title, and average hours per week	(c) Compensation (If not paid, enter	employee benefit		ense account,
	· · ·		devoted to position	-0-)	plans and deferred compensation	other	allowances
н	AROLD KAPLAN	DALTON	PRESIDENT			1	
	003 WINDEMERE CIRCLE	PA 18414	20.00	0	0		0
	ANCY KAPLAN	DALTON	VICE PRES		•		<u>_</u>
	003 WINDEMERE CIRCLE	PA 18414	20.00	o	۰ ا		0
	OU WINDERENG CINCOL	111 10111	20.00				·
	•						
2	Compensation of five highest-paid e	mployees (other than those includ	ed on line 1 — see nag	e 23 of the instru	ctions)	<u> </u>	
-	If none, enter "NONE."	inproyees totale than those morad	ed on mie 1 see pag	20 01 1110 111311 0	otions).		
			4.5-4		(d) Contributions to		
	(a) Name and address of each em	ployee paid more than \$50,000	(b) Title, and average hours per week	(c) Compensation	employee benefit		ense account,
	(=, = a = a =	p. 5, 55 part marc aram \$55,555	devoted to position	(0,00	plans and deferred compensation	other	allowances
NO	ONE				i	1	
"	 .		•				
	•	•					
						 	
	•						
							
						 	
	•						
r _e 4	al number of other employees	250,000		<u> </u>	L		0
Ota	I number of other employees paid over) UUU,UCQ					<u> </u>

Form 990-PF (2010) HAROLD & NANCY KAPLAN FOUNDATION 23-2	2831054	Page 7
Part VIII	Information About Officers, Directors, Trustees, Foundation Man and Contractors (continued)	agers, Highly Paid Em	oloyees,
3 Five hig	hest-paid independent contractors for professional services (see page 23 of the instr	uctions). If none, enter "NON	E."
	(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE			
Total number of	of others receiving over \$50,000 for professional services	•	>
Part IX-A	Summary of Direct Charitable Activities		
List the founda organizations a	tion's four largest direct charitable activities during the tax year. Include relevant statistical information su and other beneficiaries served, conferences convened, research papers produced, etc	ch as the number of	Expenses
1 N/A		•	
	· · · · · · · · · · · · · · · · · · ·	· ·	
2			
3			
4			
Part IX-B	Summary of Program-Related Investments (see page 24 of the i	nstructions)	
	vo largest program-related investments made by the foundation during the tax year on lines 1 and 2		Amount
1 N/A			
2			
•			
_	am-related investments. See page 24 of the instructions		

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Total. Add lines 1 through 3

Fom	n 990-PF (2010) HAROLD & NANCY KAPLAN FOUNDATION 23-2831054		Page 8
.P	art X' Minimum Investment Return (All domestic foundations must complete this part. Fore	eign fo	oundations,
	see page 24 of the instructions.)	•	
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc.,		
	purposes:		
а	Average monthly fair market value of securities	1a	679,924
b	Average of monthly cash balances	1b	175
С	Fair market value of all other assets (see page 25 of the instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	680,099
е	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation) 1e 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	680,099
4	Cash deemed held for chantable activities Enter 1½ % of line 3 (for greater amount, see page 25 of		
	the instructions)	4	10,201
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	669,898
6	Minimum investment return. Enter 5% of line 5	6	33,495
Pa	art XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private of	perating	
	foundations and certain foreign organizations check here and do not complete this part.)	p	•
1	Minimum investment return from Part X, line 6	1	33,495
2a	Tax on investment income for 2010 from Part VI, line 5		
b	Income tax for 2010 (This does not include the tax from Part VI)		
c	Add lines 2a and 2b	2c	369
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	33,126
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	33,126
6	Deduction from distributable amount (see page 25 of the instructions)	6	33,120
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII,	-	
•	line 1	7	33,126
	inie i		33,120
Pa	art XII Qualifying Distributions (see page 25 of the instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
' a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	21,600
b	Program-related investments—total from Part IX-B	1b	21,000
2	Amounts paid to acquire assets used (or held for use) directly in carrying out chantable, etc.,	10	··········
-		۱ .	
3	purposes Amounts set aside for specific chantable projects that satisfy the.	2	·······
٠ -		2-	
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	21 600
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	21,600
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income.		^
_	Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	01 600
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	21,600
	Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation	ition	

qualifies for the section 4940(e) reduction of tax in those years

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P	art XIII Undistributed Income (see page 26 o	the instructions)		
_	Destribute like a visual for a control of the contr	(a)	(b)	(c)	(d)
1	Distributable amount for 2010 from Part XI,	Corpus	Years prior to 2009	2009	2010
_	line 7				33,126
2	Undistributed income, if any, as of the end of 2010				
	Enter amount for 2009 only			7,255	
	Total for prior years: 20 , 20 , 20				
3	Excess distributions carryover, if any, to 2010		}		
	From 2005				
þ					
С					
d	From 2008				
	From 2009				
	Total of lines 3a through e				
4	Qualifying distributions for 2010 from Part XII,	Ì			
	line 4: ▶ \$ 21,600				
	Applied to 2009, but not more than line 2a			7,255	
b	Applied to undistributed income of prior years				
	(Election required—see page 26 of the instructions)				
С	Treated as distributions out of corpus (Election				
	required—see page 26 of the instructions)				
	Applied to 2010 distributable amount				14,345
е	Remaining amount distributed out of corpus				
5	Excess distributions carryover applied to 2010				
	(If an amount appears in column (d), the same				
	amount must be shown in column (a).)				
6	Enter the net total of each column as				
	indicated below:				
а	Corpus Add lines 3f, 4c, and 4e. Subtract line 5				
b	Pnor years' undistributed income Subtract				
	line 4b from line 2b				
С	Enter the amount of prior years' undistributed				
	income for which a notice of deficiency has				
	been issued, or on which the section 4942(a)				
	tax has been previously assessed				
d	Subtract line 6c from line 6b. Taxable				
	amount—see page 27 of the instructions				
е	Undistributed income for 2009. Subtract line				
	4a from line 2a. Taxable amount—see page				
	27 of the instructions	***************************************			
f	Undistributed income for 2010. Subtract lines				
	4d and 5 from line 1. This amount must be				
	distributed in 2011				18,781
7	Amounts treated as distributions out of corpus				
	to satisfy requirements imposed by section				
	170(b)(1)(F) or 4942(g)(3) (see page 27 of the				
	instructions)				
8	Excess distributions carryover from 2005 not				
	applied on line 5 or line 7 (see page 27 of the				
	instructions)				
9	Excess distributions carryover to 2011.				
	Subtract lines 7 and 8 from line 6a				
0	Analysis of line 9:				
а	Excess from 2006	ŀ			
b	Excess from 2007				
С	Excess from 2008				
d	Excess from 2009				
е	Excess from 2010				

Page	10

Pa	Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)							
·1a	If the foundation has received a ruling or				.			
	foundation, and the ruling is effective for			•				
b	Check box to indicate whether the found		•	hed in section	942(j)(3) or 494	2(j)(5)		
2a	Enter the lesser of the adjusted net	Tax year	lung loundation descri	Prior 3 years	742()(3) 01 434.	2(1)(3)		
20		(a) 2010	(b) 2009	(c) 2008	(d) 2007	(e) Total		
	income from Part I or the minimum	(a) 2010	(0) 2009	(0) 2008	(a) 2007			
	investment return from Part X for							
	each year listed	~	ν					
b	85% of line 2a							
С	Qualifying distributions from Part XII,							
	line 4 for each year listed							
d	Amounts included in line 2c not used directly							
	for active conduct of exempt activities .			-,-,				
е	Qualifying distributions made directly							
	for active conduct of exempt activities							
	Subtract line 2d from line 2c							
3	Complete 3a, b, or c for the							
	alternative test relied upon:							
а	"Assets" alternative test—enter:							
	(1) Value of all assets							
	(2) Value of assets qualifying under	-				_		
	section 4942(j)(3)(B)(i)							
ь	"Endowment" alternative test—enter 2/3	·						
	of minimum investment return shown in							
	Part X, line 6 for each year listed							
c	"Support" alternative test—enter	-						
·	(1) Total support other than gross							
	investment income (interest,							
	dividends, rents, payments on							
	securities loans (section							
	512(a)(5)), or royalties)							
	(2) Support from general public		•			_		
	and 5 or more exempt							
	organizations as provided in							
	section 4942(j)(3)(B)(iii)							
	(3) Largest amount of support from							
	an exempt organization							
	(4) Gross investment income							
Pa	rt XV Supplementary Inform				ad \$5,000 or more	e in assets at		
	any time during the y		of the instruction	ns.)				
1	Information Regarding Foundation Ma	_						
а	List any managers of the foundation who							
	before the close of any tax year (but only	of they have contribute	ed more than \$5,000)	(See section 507(d)(2)).)			
	SEE STATEMENT 6							
b	List any managers of the foundation who				portion of the			
	ownership of a partnership or other entity	 of which the foundati 	ion has a 10% or grea	ter interest				
	N/A							
2	Information Regarding Contribution, G							
	Check here ▶ X if the foundation only							
	unsolicited requests for funds. If the foun	idation makes gifts, gra	ants, etc (see page 28	3 of the instructions) to	individuals or			
	organizations under other conditions, cor	mplete items 2a, b, c, a	and d.					
а	The name, address, and telephone numl	per of the person to wh	nom applications shoul	d be addressed:				
	N/A							
						·		
b	The form in which applications should be	submitted and inform	ation and materials the	ey should include				
	N/A							
С	Any submission deadlines.			<u></u>				
	N/A							
d	Any restrictions or limitations on awards,	such as by geographic	cal areas, chantable fi	elds, kinds of institution	ns, or other	_		
	factors:							
	N/A							
DAA						Form 990-PF (2010)		

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√Part XV Supplementary Information (continued) 3 Grants and Contributions Paid During the Year or Approved for Future Payment If recipient is an individual, Foundation Recipient Purpose of grant or show any relationship to status of Amount any foundation manager contribution recipient Name and address (home or business) or substantial contributor Paid during the year JEWISH FEDERATION NONE NON-PROFIT 601 JEFFERSON AVENUE PROGRAM SERVICES 19,800 SCRANTON PA 18510 KEYSTONE COLLEGE NONE NON-PROFIT ONE COLLEGE GREEN EDUCATIONAL 500 LA PLUME PA 18419 UNITED WAY NONE NON-PROFIT 615 JEFFERSON AVENUE UNITED WAY CAMPAIGN 500 SCRANTON PA 18510 MERCY HOSPITAL REHAB NONE NON-PROFIT 746 JEFFERSON AVENUE **PROGRAM SERVICES** 500 SCRANTON PA 18510 JEWISH FAMILY SERVICE NONE NON-PROFIT 615 JEFFERSON AVENUE PROGRAM SERVICES 300 SCRANTON PA 18510 ▶ 3a 21,600 Approved for future payment N/A **Total** ▶ 3b

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4	art XVI-A	Analysis of Income-Producing Act	ivities				
_		ounts unless otherwise indicated		d business income	Exclude	d by section 512, 513, or 514	(e)
		`	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See page 28 of
1	Program se	rvice revenue.	<u> </u>		coce		the instructions)
					ļ		
					<u> </u>		
							
	f				<u> </u>		
2		d contracts from government agencies			1		·
		o dues and assessments savings and temporary cash investments			-		
4		nd interest from securities			14	24,416	
5		come or (loss) from real estate				24,410	
		anced property			<u> </u>		
		t-financed property					
6		come or (loss) from personal property			<u> </u>		
		ment income					
		s) from sales of assets other than inventory			14	-3,002	
9	Net income	or (loss) from special events					
10	Gross profit	or (loss) from sales of inventory					
11	Other reven	ue: a					
	b						- " <u>-,</u>
			ļ				
	d						
	e	(1) (1) (1)				0.1.1.1	
		Id columns (b), (d), and (e)	L	0		21,414	
		ne 12, columns (b), (d), and (e)				13	21,414
	Part XVI-B	in line 13 instructions on page 29 to verify calculation Relationship of Activities to the Activities		ant of Exampt D	urposs	·	
	Line No.	Explain below how each activity for which income					
	V V	accomplishment of the foundation's exempt purpor instructions)					
_	N/A						
_							
_		***					
_			_			<u>.</u>	
				······································			
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DA	4						Form 990-PF (2010

Part X	(VII	Information Reg Exempt Organiz	_	ansfers To an	d Transactio	ns and Relat	ionships Wi	th Noncha	ritable	•	
1 Did	the .org	anization directly or ind		ge in any of the foll	owing with any	other organization	described			Yes	No
ın s	ection 5	01(c) of the Code (other				-					
•	anızatıoı		4-44								
		om the reporting found	ation to a no	oncharitable exemp	ot organization o	T:					77
• •	Cash								1a(1)		X
• •	Other a								1a(2)		X
		actions:									
		f assets to a nonchant						•	1b(1)		X
		ses of assets from a no			on				1b(2)		X
		of facilities, equipment		sets					. 1b(3)		X
		rsement arrangements	S						1b(4)		X
		or loan guarantees				•	•	•	1b(5)		X
		ance of services or me				•			1b(6)		X
		acilities, equipment, m							1c		X
		er to any of the above i									
		goods, other assets, o	_		-						
		transaction or sharing	1					****			
(a) Lin	e no	(b) Amount involved	(c) Name	of nonchantable exen	npt organization	(a) Descript	on of transfers, tra	nsactions, and	sharing arrange	ements	
N/A		·									
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des	cnbed ir	ation directly or indirect section 501(c) of the	Code (other				itions		Ye	es X	No
D IT Y		nplete the following so Name of organization	nedule	(h) Tuna af a			(-) D				
N/A		Name of organization		(b) Type of o	rganization		(c) Descrip	ion of relationsh	<u> </u>		
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	belief, it i	enalties of penjury, I declare s true, correct, and comple	e tnat i nave ex et e Declaratio	kamined this return, ir n of preparer (other th	iciuding accompan ian taxpayer or fidi	ying schedules and iciąry) is based on a	statements, and to Il information of wh	i the best of my nich preparer ha	knowledge and s any knowled	d ge	
Sign	.	Hall	V		1/	10/12		IDENT	,	•	
Here	Sign	nature of officer or trustee	COPPE			10/15	Title	IDENT			
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									Form 99	ひ-ピト	(2010)

1,538 -4,594 -3,056Gain / Loss Charitable Charitable Purpose Purpose ሪን S Depreciation Adjusted Net Adjusted Ś ሪን 0 Statement 2 - Form 990-PF, Part I, Line 16b - Accounting Fees Expense Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets Statement 3 - Form 990-PF, Part I, Line 18 - Taxes Ś S 32,761 Investment Investment 14,637 18,124 Federal Statements Cost PURCHASE 16,175 \$ PURCHASE Received ¥o∧ 29,705 13,530 360 360 1,000 1,000 Sale Price Total Total 4470PF HAROLD & NANCY KAPLAN FOUNDATION ٠Ŋ-9/27/11 5/27/11 Date Sold INC, 574 SH 9/16/04 3/08/02 Date Acquired Description MEDTRONIC INC, 400 SH Description Description COMMUNITY BANK SYS, FEDERAL EXCISE TAX ACCOUNTING FEES Whom Sold FYE: 9/30/2011 TOTAL 23-2831054 TOTAL TOTAL

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4470PF HAROLD & NANCY KAPLAN FOUNDATION 23-2831054 FYE: 9/30/2011

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Total			6,013	6,013
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Description		EXPENSES	INVESTMENT MANAGEMENT FEES	TOTAL

4470PF HAROLD & NANCY KAPLAN FOUNDATION Federal Statements

FYE: 9/30/2011

Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

%ear 5,573 \$ 6,852 7,826 7,826 7,826 10,948 3,095 8,453 8,453 8,453 11,350 11,350 3,577 12,381 7,897 9,234 9,180		Beginning		End of	Basis of	ш.	Fair Market
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4470PF HAROLD & NANCY KAPLAN FOUNDATION 23-2831054

Federal Statements

FYE: 9/30/2011

Statement 6 - Form 990-PF, Part XV, Line 1a - Managers Who Contributed Over 2% or \$5,000

	Name of Manager					Amount
HAROLD	KAPLAN,	EQUITY	SINCE	INCEPTION	\$	353,114
NANCY	KAPLAN,	EQUITY	SINCE	INCEPTION	_	353,113
TO	OTAL				\$_	706,227

4470PF HAROLD & NANCY KAPLAN FOUNDATION
23-2831054 Federal Statements

'FYE: 9/30/2011

Taxable Dividends from Securities

Description	 Amount	Unrelated Business Code	Exclusion Code	Postal Code	US _Obs (\$ or %)
COMMUNITY BANK, N.A.	\$ 24,416		14	PA	
TOTAL	\$ 24,416				