21-80-001-5847005

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2010

For calendar year 2010, or tax year beginning SEP 1, 2010 , and ending AUG 31, 2011

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation MARGARET D. SMOCK CHARITABLE TRUST TRUST UNDER AGREEMENT	**A Employer identification number** 61-6155883
Number and street (or P O box number if mail is not delivered to street address) C/O PNC BANK, 620 LIBERTY AVENUE — Room/suite 10THFL	B Telephone number (412) 762-3779
City or town, state, and ZIP code PITTSBURGH, PA 15222-2705	C If exemption application is pending, check here ▶ ☐ D 1. Foreign organizations, check here ▶ ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation	E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 4,216,723. J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	61.	61.		STATEMENT 2
	4 Dividends and interest from securities	76,774.	76,774.		STATEMENT 3
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	411,058.			STATEMENT 1
	b Gross sales price for all assets on line 6a 1,633,758.				
	7 Capital gain net income (from Part IV, line 2)		413,968.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	2,370.	0.		STATEMENT 4
	12 **Total.** Add lines 1 through 11	490,263.	490,803.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	32,534.	30,907.		1,627.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 5	700.	0.		700.
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 6	6,062.	68.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 7	6,442.	6,442.		0.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	45,738.	37,417.		2,327.
	25 Contributions, gifts, grants paid	229,315.			229,315.
	26 **Total expenses and disbursements.** Add lines 24 and 25	275,053.	37,417.		231,642.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	215,210.			
	b **Net investment income** (if negative, enter -0-)		453,386.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

5

		Part II Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	84,199.	44,954.	44,954.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock STMT 8	806,047.	423,947.	522,172.
	c	Investments - corporate bonds			
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 9	2,723,257.	3,359,812.	3,649,597.
	14	Land, buildings, and equipment: basis ▶			
		Less accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers)	3,613,503.	3,828,713.	4,216,723.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	3,582,238.	3,793,597.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	31,265.	35,116.	
	30	**Total net assets or fund balances**	3,613,503.	3,828,713.	
	31	**Total liabilities and net assets/fund balances**	3,613,503.	3,828,713.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,613,503.
2	Enter amount from Part I, line 27a	2	215,210.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	3,828,713.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,828,713.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	LONG-TERM CAPITAL GAIN DIVIDENDS			
b	SHORT-TERM CAPITAL GAIN DIVIDENDS			
c	SALES OF PUBLICLY TRADED SECURITIES			
d	CLASS ACTION SETTLEMENTS			
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	8,025.			8,025.
b	4,461.			4,461.
c	1,621,272.		1,222,160.	399,112.
d	2,413.		43.	2,370.
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				8,025.
b				4,461.
c				399,112.
d				2,370.
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } 2 413,968.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 } 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	247,953.	3,934,269.	.063024
2008			
2007			
2006			
2005			

2	**Total** of line 1, column (d)	2	.063024
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.063024
4	Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	4,157,521.
5	Multiply line 4 by line 3	5	262,024.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	4,534.
7	Add lines 5 and 6	7	266,558.
8	Enter qualifying distributions from Part XII, line 4	8	231,642.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	9,068.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3	Add lines 1 and 2			3	9,068.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	9,068.
6	Credits/Payments:				
a	2010 estimated tax payments and 2009 overpayment credited to 2010	6a	3,000.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	3,000.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	6,068.
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be: **Credited to 2011 estimated tax** ▶	**Refunded** ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*	1b		X
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV.*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ KY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ PNC BANK,N.A. Telephone no. ▶ (412)762-3779

Located at ▶ 620 LIBERTY AVENUE, 10TH FL, PITTSBURGH, PA ZIP+4 ▶ 15222-2705

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b		X

Part VII-B Statements Regarding Act[illegible]es for Which Form 4720 May Be Requi[illegible] *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation (If not paid, enter -0-)	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
PNC BANK, N.A. 620 LIBERTY AVENUE PITTSBURGH, PA 152222705	TRUSTEE 7.00	32,534.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	0.
2	
All other program-related investments. See instructions. 3 N/A	0.
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	4,220,833.
b	Average of monthly cash balances		1b	
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	4,220,833.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	4,220,833.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	63,312.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	4,157,521.
6	**Minimum investment return.** Enter 5% of line 5		6	207,876.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	207,876.
2a	Tax on investment income for 2010 from Part VI, line 5	2a 9,068.		
b	Income tax for 2010. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	9,068.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	198,808.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	198,808.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	198,808.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	231,642.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	231,642.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	231,642.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7					198,808.
2 Undistributed income, if any, as of the end of 2010					
a Enter amount for 2009 only				0.	
b Total for prior years: ______ , ______ , ______			0.		
3 Excess distributions carryover, if any, to 2010:					
a From 2005					
b From 2006					
c From 2007					
d From 2008					
e From 2009	54,234.				
f **Total** of lines 3a through e		54,234.			
4 Qualifying distributions for 2010 from Part XII, line 4: ▶ $	231,642.				
a Applied to 2009, but not more than line 2a				0.	
b Applied to undistributed income of prior years (Election required - see instructions)			0.		
c Treated as distributions out of corpus (Election required - see instructions)		0.			
d Applied to 2010 distributable amount					198,808.
e Remaining amount distributed out of corpus		32,834.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)		0.			0.
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		87,068.			
b Prior years' undistributed income. Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions			0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr.				0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)		0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7		0.			
9 **Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a		87,068.			
10 Analysis of line 9:					
a Excess from 2006					
b Excess from 2007					
c Excess from 2008					
d Excess from 2009	54,234.				
e Excess from 2010	32,834.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	(a) 2010	(b) 2009	(c) 2008	(d) 2007	(e) Total
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
UNIVERSITY OF LOUISVILLE FOUNDATION 201 ABRAHAM FLEXNER WAY LOUISVILLE, KY 40202	NONE	PUBLIC CHARITY	TO PROVIDE SUPPORT TO THE SCHOOL OF MEDICINE @ THE U	229,315.
Total			▶ 3a	229,315.
b *Approved for future payment*				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	61.	
4 Dividends and interest from securities			14	76,774.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	411,058.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a CLASS ACTION PROCEEDS			14	2,370.	
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		490,263.	0.

13 **Total**. Add line 12, columns (b), (d), and (e) 13 490,263.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

▶ Signature of officer or trustee — Date: 11-6-2012 — ▶ Title: ASSISTANT VICE-PRESIDEN

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self- employed	PTIN

Firm's name ▶

Firm's EIN ▶

Firm's address ▶

Phone no.

023622
04-26-11

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LONG-TERM CAPITAL GAIN DIVIDENDS	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
8,025.	0.	0.	0.	8,025.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SHORT-TERM CAPITAL GAIN DIVIDENDS	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
4,461.	0.	0.	0.	4,461.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SALES OF PUBLICLY TRADED SECURITIES	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,621,272.	1,222,700.	0.	0.	398,572.

CAPITAL GAINS DIVIDENDS FROM PART IV	0.
TOTAL TO FORM 990-PF, PART I, LINE 6A	411,058.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	AMOUNT
MONEY MARKET & OTHER TEMPORARY INVESTMENTS	61.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	61.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 3

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
TRUST INVESTMENT INCOME	76,774.	0.	76,774.
TOTAL TO FM 990-PF, PART I, LN 4	76,774.	0.	76,774.

FORM 990-PF OTHER INCOME STATEMENT 4

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
CLASS ACTION PROCEEDS	2,370.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	2,370.	0.	

FORM 990-PF ACCOUNTING FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREP FEE	700.	0.		700.
TO FORM 990-PF, PG 1, LN 16B	700.	0.		700.

FORM 990-PF TAXES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID	68.	68.		0.
BALANCE DUE 2010 FEDERAL EXCISE TAX	2,994.	0.		0.
ESTIMATED 2011 FEDERAL EXCISE TAX	3,000.	0.		0.
TO FORM 990-PF, PG 1, LN 18	6,062.	68.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MAP MANAGER FEES	6,230.	6,230.		0.
PROCESSING FEES	12.	12.		0.
MUTUAL FUND REDEMPTION FEES	200.	200.		0.
TO FORM 990-PF, PG 1, LN 23	6,442.	6,442.		0.

FORM 990-PF CORPORATE STOCK STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
COMMON STOCKS	423,947.	522,172.
TOTAL TO FORM 990-PF, PART II, LINE 10B	423,947.	522,172.

FORM 990-PF OTHER INVESTMENTS STATEMENT 9

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MUTUAL FUNDS - EQUITY	COST	1,932,764.	2,116,706.
MUTUAL FUNDS - FIXED	COST	1,426,664.	1,532,511.
LIMITED PARTNERSHIPS	COST	384.	380.
TOTAL TO FORM 990-PF, PART II, LINE 13		3,359,812.	3,649,597.

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BANK      21              - T R A N S A C T I O N  A N A L Y S I S / S U M M A R Y -          DATE 11/08/11
REGION    80                               09/01/10 THROUGH 08/31/11                          TIME 10:49
OFFICE   001                  ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA CHAR                PAGE 0004

     -TRANSACTION-                           -CODES-      HISTORY               - C A S H -
  DATE        DESCRIPTION                  TRAN TAX INDV                  INCOME        PRINCIPAL        INVEST/UNITS

     TOTALS FOR TAX CODE 081    LONG TERM CAPITAL GAIN DISTRIBUTION                          5,619.22          2,405.61 P

     TOTALS FOR TAX CODE 082    SHORT TERM CAPITAL GAIN DISTRIBUTION           2,539.98
                                                                                                               1,920.62 I
     TOTALS FOR TAX CODE 831    SALES-COLLECTIVE FUNDS                                     826,735.30        610,155.73-P
```

	LONG-TERM CAPITAL GAIN	SHORT-TERM CAPITAL GAIN	SALES	INVESTMENT
21-80-001-5847005	5,619.22 2,405.61	2,539.98 1,920.62	826,735.30	~~1,92[illegible]~~ 610,155.73
21-80-633-5847005	.46	—	498,227.73	379,841.79
21-80-640-5847005	.03	—	261,016.19 .82 40.16	211,158.97 39.66
21-80-672-5847005	.02	—	26,785.88	17,049.36
21-80-690-5847005	.03	—	8,465.46	4,454.61
TOTALS	8,025.37	4,460.60	#1,621,271.54	1,222,700.12

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BANK     21                    - T R A N S A C T I O N   A N A L Y S I S / S U M M A R Y -           DATE 11/08/11
REGION   80                                   09/01/10 THROUGH 08/31/11                                TIME 10:51
OFFICE  633                         ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA LCVA                  PAGE 0008
    -TRANSACTION-                                 -CODES-       HISTORY                 - C A S H -
  DATE        DESCRIPTION                      TRAN TAX INDV                       INCOME          PRINCIPAL       INVEST/UNITS

     TOTALS FOR TAX CODE 081    LONG TERM CAPITAL GAIN DISTRIBUTION                                       .46

     TOTALS FOR TAX CODE 830    SALES                                                              498,227.73        379,841.79-P
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BANK     21                 - T R A N S A C T I O N   A N A L Y S I S / S U M M A R Y -             DATE 01/03/12
REGION   80                                 09/01/10 THROUGH 08/31/11                               TIME 10:03
OFFICE  640                      ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA MCGR                   PAGE 0022
     -TRANSACTION-                                 -CODES-      HISTORY                - C A S H -
 DATE        DESCRIPTION                         TRAN TAX INDV                   INCOME          PRINCIPAL       INVEST/UNITS

    TOTALS FOR TAX CODE 081    LONG TERM CAPITAL GAIN DISTRIBUTION                                     .03

    TOTALS FOR TAX CODE 830    SALES                                                            261,016.19       211,158.97-P

    TOTALS FOR TAX CODE 833    SALE OF RIGHTS/FRACTIONAL SHARE OF STOCK                  .82         40.16           39.66-P
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BANK     21                - T R A N S A C T I O N   A N A L Y S I S / S U M M A R Y -            DATE 11/08/11
REGION   80                              09/01/10 THROUGH 08/31/11                                TIME 11:02
OFFICE  672                     ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA SCGR                  PAGE 0005

   -TRANSACTION-                             -CODES-        HISTORY                   - C A S H -
 DATE       DESCRIPTION                    TRAN TAX INDV                         INCOME          PRINCIPAL       INVEST/UNITS

    TOTALS FOR TAX CODE 081    LONG TERM CAPITAL GAIN DISTRIBUTION                                      .02

    TOTALS FOR TAX CODE 830    SALES                                                             26,785.88        17,049.36-P
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BANK     21                   - T R A N S A C T I O N   A N A L Y S I S / S U M M A R Y -          DATE 11/08/11
REGION   80                              09/01/10 THROUGH 08/31/11                                  TIME 11:03
OFFICE  690                       ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA SCVA                  PAGE 0003

    -TRANSACTION-                             -CODES-      HISTORY                 - C A S H -
 DATE      DESCRIPTION                    TRAN TAX INDV                    INCOME          PRINCIPAL       INVEST/UNITS

   TOTALS FOR TAX CODE 081    LONG TERM CAPITAL GAIN DISTRIBUTION                               .03

   TOTALS FOR TAX CODE 830    SALES                                                      8,465.46          4,454.61-P
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BANK     21                -T R A N S A C T I O N  A N A L Y S I S / S U M M A R Y-              DATE 11/08/11
REGION   80                              09/01/10 THROUGH 08/31/11                                TIME 10:49
OFFICE  001                     ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA CHAR                 PAGE 0001

     -TRANSACTION-                        -CODES-        HISTORY                   - C A S H -
  DATE       DESCRIPTION               TRAN TAX INDV                         INCOME         PRINCIPAL       INVEST/UNITS

09-22-10 MUTUAL FUND AGENT              085 831     9464 40965-00001                         33,000.00          25,925.14-
         09/21 SALE 2,030.769 SHS @ 16.25                  09-22-10                                           2,030.769-
         BLACKROCK FUNDS
         EQUITY DIVIDEND INSTITUTIONAL
         CLASS FUND 383

09-22-10 MUTUAL FUND AGENT              085 831     9464 40965-00002                         66,000.00          50,949.71-
         09/21 SALE 4,002.426 SHS @ 16.4899                09-22-10                                           4,002.426-
         MFS CORE GROWTH FUND
         CLASS I

09-22-10 MUTUAL FUND AGENT              085 831     9464 40965-00004                         33,000.00          29,960.02-
         09/21 SALE 1,159.93 SHS @ 28.45                   09-22-10                                           1,159.930-
         T ROWE PRICE GROWTH STOCK
         FD # 40

09-27-10 MUTUAL FUND AGENT              085 831     9464 40970-00002                         52,000.00          39,732.58-
         09/24 SALE 3,121.249 SHS @ 16.6599                09-27-10                                           3,121.249-
         MFS CORE GROWTH FUND
         CLASS I

12-09-10 PURC 177.835 SHS THRU REINV OF SHORT  073 082          41043-00001                                         1,920.62 I
         TERM CAP GAIN DUE 12/08/10 @ $ 10.8000                 12-09-10                                            177.835
         PIMCO FDS
         TOTAL RETURN BD FUND
         INSTL CLASS, FD #35

12-09-10 PURC 81.315 SHS THRU REINVESTMENT     083 081          41043-00002                                           878.20
         OF CAP GAIN PAYABLE 12/08/10 @ 10.8000                 12-09-10                                             81.315
         PIMCO FDS
         TOTAL RETURN BD FUND
         INSTL CLASS, FD #35

12-15-10 LONG TERM CAPITAL GAINS DISTRIBUTION  081 081 00-0000 41049-00003                           594.75
         PAYABLE 12/15/10 AT .7720 PER SHARE                    12-15-10
         BARON ASSET FUND
         FD #585

12-15-10 PURC 26.303 SHS THRU REINVESTMENT     083 081          41049-00005                                         1,527.41
         OF CAP GAIN PAYABLE 12/15/10 @ 58.0700                 12-15-10                                             26.303
         T ROWE PRICE MID CAP GROWTH
         INC FD #64

12-17-10 SHORT TERM CAPITAL GAINS DISTRIBUTION 071 082 00-0000 41051-00001         562.21
         PAYABLE 12/16/10 AT .1023 PER SHARE                    12-17-10
         ARTISAN MID CAP VALUE FUND
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BANK     21                  - T R A N S A C T I O N   A N A L Y S I S / S U M M A R Y -                  DATE 11/08/11
REGION   80                                 09/01/10 THROUGH 08/31/11                                      TIME 10:49
OFFICE  001                      ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA CHAR                          PAGE 0002

   -TRANSACTION-                            -CODES-        HISTORY                    - C A S H -
 DATE        DESCRIPTION                  TRAN TAX INDV                          INCOME        PRINCIPAL        INVEST/UNITS

12-17-10 LONG TERM CAPITAL GAINS DISTRIBUTION   081 081 00-0000 41051-00003                      1,192.03
         PAYABLE 12/16/10 AT .2169 PER SHARE                       12-17-10
         ARTISAN MID CAP VALUE FUND

12-28-10 SHORT TERM CAPITAL GAINS DISTRIBUTION  071 082 60-6440 41062-00001         1,977.77
         PAYABLE 12/27/10 AT .0160 PER SHARE                       12-28-10
         VANGUARD TOTAL BOND MARKET
         INDEX FUND CL SIG
         FD #1351

12-28-10 LONG TERM CAPITAL GAINS DISTRIBUTION   081 081 60-6040 41062-00002                      3,831.92
         PAYABLE 12/27/10 AT .0310 PER SHARE                       12-28-10
         VANGUARD TOTAL BOND MARKET
         INDEX FUND CL SIG
         FD #1351

12-28-10 LONG TERM CAPITAL GAINS DISTRIBUTION   081 081 00-0000 41062-00003                           .10
         PAYABLE 12/21/10 AT .0000 PER SHARE                       12-28-10
         BLACKROCK LIQUIDITY FUNDS
         TEMPFUND
         ADMINISTRATION SHARES #H1

12-28-10 LONG TERM CAPITAL GAINS DISTRIBUTION   081 081 00-0000 41062-00004                           .42
         PAYABLE 12/21/10 AT .0000 PER SHARE                       12-28-10
         BLACKROCK LIQUIDITY FUNDS
         TEMPFUND
         ADMINISTRATION SHARES #H1

12-30-10 MUTUAL FUND AGENT                      085 831    9464 41064-00001                     88,000.00        66,270.53-
         12/29 SALE 1,452.625 SHS @ 60.5799                        12-30-10                                       1,452.625-
         HARBOR INTERNATIONAL FUND
         CLASS INS

12-30-10 MUTUAL FUND AGENT                      085 831    9464 41064-00003                     44,000.00        30,556.85-
         12/29 SALE 2,400.436 SHS @ 18.33                          12-30-10                                       2,400.436-
         MFS CORE GROWTH FUND
         CLASS I

01-13-11 MUTUAL FUND AGENT                      085 831    9464 41083-00001                    424,743.70       290,223.43-
         01/12 SALE 22,798.91 SHS @ 18.63                          01-13-11                                      22,798.910-
         MFS CORE GROWTH FUND
         CLASS I

02-28-11 MUTUAL FUND AGENT                      085 831    9464 41129-00001                     44,991.60        41,188.87-
         02/25 SALE 770.404 SHS @ 58.40                            02-28-11                                         770.404-
         BARON ASSET FUND
         FD #585
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BANK     21                -T R A N S A C T I O N  A N A L Y S I S / S U M M A R Y-           DATE 11/08/11
REGION   80                          09/01/10 THROUGH 08/31/11                                   TIME 10:49
OFFICE  001                 ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA CHAR                     PAGE 0003

   -TRANSACTION-                        -CODES-      HISTORY                    - C A S H -
 DATE        DESCRIPTION              TRAN TAX INDV                       INCOME       PRINCIPAL       INVEST/UNITS

03-23-11 MUTUAL FUND AGENT            085 831    9464 41152-00001                      10,000.00         6,435.88-
         03/22 SALE 463.822 SHS @ 21.5599               03-23-11                                          463.822-
         ARTISAN MID CAP VALUE FUND

03-23-11 MUTUAL FUND AGENT            085 831    9464 41152-00002                      10,000.00        10,169.48-
         03/22 SALE 235.35 SHS @ 42.4899                03-23-11                                          235.350-
         BLACKROCK FDS
         US OPPORTUNITIES PORTFOLIO
         FUND 339 INSTITUTIONAL CLASS

03-23-11 MUTUAL FUND AGENT            085 831    9464 41152-00003                      10,000.00         8,278.56-
         03/22 SALE 162.92 SHS @ 61.3799                03-23-11                                          162.920-
         T ROWE PRICE MID CAP GROWTH
         INC FD #64

03-23-11 MUTUAL FUND AGENT            085 831    9464 41152-00004                      11,000.00        10,464.68-
         03/22 SALE 1,038.716 SHS @ 10.5899             03-23-11                                        1,038.716-
         VANGUARD TOTAL BOND MARKET
         INDEX FUND CL SIG
         FD #1351
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BANK     21                -T R A N S A C T I O N  A N A L Y S I S / S U M M A R Y-               DATE 11/08/11
REGION   80                                09/01/10 THROUGH 08/31/11                                 TIME 10:51
OFFICE  633                   ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA LCVA                       PAGE 0001

   -TRANSACTION-                              -CODES-      HISTORY                   - C A S H -
 DATE       DESCRIPTION                     TRAN TAX INDV                       INCOME         PRINCIPAL        INVEST/UNITS

09-23-10 BNY CONVERGEX                        085 830     9333 40966-00001                         10,639.82          13,438.55-
         09/20 SALE 760 SHS @ 14.00                             09-23-10                                                760.000-
         MISC .18
         NEWS CORPORATION CL A

10-12-10 BNY CONVERGEX                        085 830     9333 40985-00001                          7,215.32           6,956.45-
         10/06 SALE 215 SHS @ 33.5602                           10-12-10                                                215.000-
         MISC .12
         DISNEY WALT CO

12-10-10 BNY CONVERGEX                        085 830     9333 41044-00004                          4,245.31           4,757.00-
         12/07 SALE 100 SHS @ 42.4538                           12-10-10                                                100.000-
         MISC .07
         VULCAN MATERIALS CO

12-28-10 LONG TERM CAPITAL GAINS DISTRIBUTION 081 081 00-0000 41062-00001                               .01
         PAYABLE 12/21/10 AT .0000 PER SHARE                    12-28-10
         BLACKROCK LIQUIDITY FUNDS
         TEMPFUND
         ADMINISTRATION SHARES #H1

12-28-10 LONG TERM CAPITAL GAINS DISTRIBUTION 081 081 00-0000 41062-00002                               .45
         PAYABLE 12/21/10 AT .0000 PER SHARE                    12-28-10
         BLACKROCK LIQUIDITY FUNDS
         TEMPFUND
         ADMINISTRATION SHARES #H1

01-04-11 BNY CONVERGEX                        085 830     9333 41074-00001                          3,010.26           3,472.21-
         12/30 SALE 72 SHS @ 41.81                              01-04-11                                                 72.000-
         MISC .06
         TYCO INTERNATIONAL LTD
         SEDOL: B64GC98
         ISIN: CH0100383485

01-04-11 BNY CONVERGEX                        085 830     9333 41074-00003                          1,797.96           1,030.79-
         12/30 SALE 31 SHS @ 58.00                              01-04-11                                                 31.000-
         MISC .04
         AMERIPRISE FINANCIAL INC-W/I

01-04-11 BNY CONVERGEX                        085 830     9333 41074-00004                          2,572.05           2,774.79-
         12/30 SALE 85 SHS @ 30.26                              01-04-11                                                 85.000-
         MISC .05
         BANK NEW YORK MELLON CORP COM

01-04-11 BNY CONVERGEX                        085 830     9333 41074-00005                         14,960.82          10,828.80-
         12/30 SALE 188 SHS @ 79.5802                           01-04-11                                                188.000-
         MISC .26
         BERKSHIRE HATHAWAY INC
         CLASS B
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BANK 21
REGION 80
OFFICE 633

-TRANSACTION ANALYSIS/SUMMARY-

09/01/10 THROUGH 08/31/11
ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA LCVA

DATE 11/08/11
TIME 10:51
PAGE 0002

-TRANSACTION- DATE	DESCRIPTION	-CODES- TRAN	TAX	INDV	HISTORY	- C A S H - INCOME	PRINCIPAL	INVEST/UNITS
01-04-11	BNY CONVERGEX 12/30 SALE 70 SHS @ 35.00 MISC .05 CVS CAREMARK CORPORATION	085	830	9333	41074-00006 01-04-11		2,449.95	2,168.34- 70.000-
01-04-11	BNY CONVERGEX 12/30 SALE 63 SHS @ 72.91 MISC .08 COSTCO WHOLESALE CORP	085	830	9333	41074-00009 01-04-11		4,593.25	2,684.70- 63.000-
01-04-11	BNY CONVERGEX 12/30 SALE 130 SHS @ 78.3704 MISC .18 DEVON ENERGY CORP NEW	085	830	9333	41074-00010 01-04-11		10,187.97	4,227.60- 130.000-
01-04-11	BNY CONVERGEX 12/30 SALE 44 SHS @ 74.21 MISC .06 DIAGEO PLC SPONSORED ADR	085	830	9333	41074-00011 01-04-11		3,265.18	2,184.60- 44.000-
01-04-11	BNY CONVERGEX 12/30 SALE 23 SHS @ 91.60 MISC .04 EOG RES INC	085	830	9333	41074-00012 01-04-11		2,106.76	509.31- 23.000-
01-04-11	BNY CONVERGEX 12/30 SALE 65 SHS @ 42.39 MISC .05 HEWLETT-PACKARD CO	085	830	9333	41074-00013 01-04-11		2,755.30	2,462.54- 65.000-
01-04-11	BNY CONVERGEX 12/30 SALE 66 SHS @ 24.93 MISC .03 IRON MTN INC PA	085	830	9333	41074-00014 01-04-11		1,645.35	1,300.31- 66.000-
01-04-11	BNY CONVERGEX 12/30 SALE 77 SHS @ 36.10 MISC .05 MERCK & CO INC	085	830	9333	41074-00016 01-04-11		2,779.65	2,280.43- 77.000-
01-04-11	BNY CONVERGEX 12/30 SALE 140 SHS @ 27.9611 MISC .07 MICROSOFT CORP	085	830	9333	41074-00017 01-04-11		3,914.48	2,983.09- 140.000-

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BANK     21                       - T R A N S A C T I O N  A N A L Y S I S / S U M M A R Y -               DATE 11/08/11
REGION   80                                   09/01/10 THROUGH 08/31/11                                    TIME 10:51
OFFICE  633                          ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA LCVA                      PAGE 0003

   -TRANSACTION-                              -CODES-       HISTORY                    - C A S H -
 DATE        DESCRIPTION                    TRAN TAX INDV                          INCOME        PRINCIPAL        INVEST/UNITS

01-04-11 BNY CONVERGEX                       085 830    9333 41074-00019                           7,397.12           1,909.13-
         12/30 SALE 75 SHS @ 98.63                           01-04-11                                                  75.000-
         MISC .13
         OCCIDENTAL PETROLEUM CORP

01-04-11 BNY CONVERGEX                       085 830    9333 41074-00020                           2,248.01           1,953.00-
         12/30 SALE 35 SHS @ 64.23                           01-04-11                                                  35.000-
         MISC .04
         PROCTER & GAMBLE CO

01-04-11 BNY CONVERGEX                       085 830    9333 41074-00021                           2,966.07           2,838.52-
         12/30 SALE 116 SHS @ 25.5701                        01-04-11                                                 116.000-
         MISC .06
         SEALED AIR CORP

01-04-11 BNY CONVERGEX                       085 830    9333 41074-00022                           6,653.70           5,352.17-
         12/30 SALE 206 SHS @ 32.3001                        01-04-11                                                 206.000-
         MISC .12
         TEXAS INSTRUMENTS INC

01-04-11 BNY CONVERGEX                       085 830    9333 41074-00023                           5,884.22           3,802.21-
         12/30 SALE 190 SHS @ 30.9701                        01-04-11                                                 190.000-
         MISC .10
         WELLS FARGO & COMPANY

02-11-11 BNY CONVERGEX                       085 830    9333 41112-00001                           6,924.53           5,671.64-
         02/08 SALE 231 SHS @ 29.9769                        02-11-11                                                 231.000-
         MISC .13
         MOODY'S CORP

04-29-11 BNY CONVERGEX                       085 830    9333 41189-00001                           3,136.93           3,086.40-
         04/26 SALE 64 SHS @ 49.0154                         04-29-11                                                  64.000-
         MISC .06
         TYCO INTERNATIONAL LTD
         SEDOL: B64GC98
         ISIN: CH0100383485

06-24-11 BNY CONVERGEX                       085 830    9333 41245-00001                           2,761.14           5,139.60-
         06/21 SALE 45 SHS @ 61.36                           06-24-11                                                  45.000-
         MISC .06
         TRANSOCEAN LTD
         SEDOL B3KFWW1
         ISIN CH0048265513

06-24-11 BNY CONVERGEX                       085 830    9333 41245-00003                          11,437.73           6,550.87-
         06/21 SALE 230 SHS @ 49.7302                        06-24-11                                                 230.000-
         MISC .22
         AGILENT TECHNOLOGIES (IPO)
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BANK 21
REGION 80
OFFICE 633

- TRANSACTION ANALYSIS/SUMMARY -

09/01/10 THROUGH 08/31/11
ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA LCVA

DATE 11/08/11
TIME 10:51
PAGE 0004

-TRANSACTION- DATE	DESCRIPTION	-CODES- TRAN TAX INDV	HISTORY	- C A S H - INCOME	PRINCIPAL	INVEST/UNITS
06-24-11	BNY CONVERGEX 06/21 SALE 550 SHS @ 48.97 MISC .52 AMERICAN EXPRESS CO	085 830 9333	41245-00004 06-24-11		26,932.98	24,657.76- 550.000-
06-24-11	BNY CONVERGEX 06/21 SALE 79 SHS @ 57.14 MISC .09 AMERIPRISE FINANCIAL INC	085 830 9333	41245-00005 06-24-11		4,513.97	2,626.85- 79.000-
06-24-11	BNY CONVERGEX 06/21 SALE 365 SHS @ 26.1402 MISC .19 BANK NEW YORK MELLON CORP COM	085 830 9333	41245-00006 06-24-11		9,540.98	11,843.94- 365.000-
06-24-11	BNY CONVERGEX 06/21 SALE 70 SHS @ 60.10 MISC .09 BAXTER INTERNATIONAL INC	085 830 9333	41245-00007 06-24-11		4,206.91	3,113.31- 70.000-
06-24-11	BNY CONVERGEX 06/21 SALE 39 SHS @ 86.02 MISC .07 BECTON DICKINSON & CO	085 830 9333	41245-00008 06-24-11		3,354.71	2,612.36- 39.000-
06-24-11	BNY CONVERGEX 06/21 SALE 139 SHS @ 54.0502 MISC .15 BED BATH AND BEYOND	085 830 9333	41245-00009 06-24-11		7,512.83	4,850.88- 139.000-
06-24-11	BNY CONVERGEX 06/21 SALE 195 SHS @ 76.1802 MISC .29 BERKSHIRE HATHAWAY INC CLASS B	085 830 9333	41245-00010 06-24-11		14,854.85	11,232.00- 195.000-
06-24-11	BNY CONVERGEX 06/21 SALE 490 SHS @ 37.8216 MISC .36 CVS CAREMARK CORPORATION	085 830 9333	41245-00011 06-24-11		18,532.22	15,178.41- 490.000-
06-24-11	BNY CONVERGEX 06/21 SALE 310 SHS @ 39.5902 MISC .24 CANADIAN NATURAL RESOURCES SEDOL 2171573 ISIN CA1363851017	085 830 9333	41245-00012 06-24-11		12,272.72	10,654.39- 310.000-

BANK 21
REGION 80
OFFICE 633

- TRANSACTION ANALYSIS/SUMMARY -

09/01/10 THROUGH 08/31/11
ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA LCVA

DATE 11/08/11
TIME 10:51

-TRANSACTION- DATE	DESCRIPTION	-CODES- TRAN	TAX	INDV	HISTORY	- CASH - INCOME	PRINCIPAL	INVEST/UNITS
06-24-11	BNY CONVERGEX 06/21 SALE 105 SHS @ 65.8302 MISC .14 COCA COLA CO	085	830	9333	41245-00013 06-24-11		6,912.03	5,543.89- 105.000-
06-24-11	BNY CONVERGEX 06/21 SALE 355 SHS @ 80.9403 MISC .56 COSTCO WHOLESALE CORP	085	830	9333	41245-00014 06-24-11		28,733.25	15,128.06- 355.000-
06-24-11	BNY CONVERGEX 06/21 SALE 163 SHS @ 77.8103 MISC .25 DEVON ENERGY CORP NEW	085	830	9333	41245-00015 06-24-11		12,682.83	5,300.76- 163.000-
06-24-11	BNY CONVERGEX 06/21 SALE 89 SHS @ 81.80 MISC .14 DIAGEO PLC SPONSORED ADR	085	830	9333	41245-00016 06-24-11		7,280.06	4,418.85- 89.000-
06-24-11	BNY CONVERGEX 06/21 SALE 175 SHS @ 101.3803 MISC .35 EOG RES INC	085	830	9333	41245-00017 06-24-11		17,741.20	3,875.17- 175.000-
06-24-11	BNY CONVERGEX 06/21 SALE 183 SHS @ 54.2502 MISC .20 EXPRESS SCRIPTS INC	085	830	9333	41245-00018 06-24-11		9,927.59	7,938.13- 183.000-
06-24-11	BNY CONVERGEX 06/21 SALE 8 SHS @ 491.55 MISC .08 GOOGLE INC-CL A	085	830	9333	41245-00019 06-24-11		3,932.32	3,531.05- 8.000-
06-24-11	BNY CONVERGEX 06/21 SALE 160 SHS @ 23.2402 MISC .08 GRUPO TELEVISA SA DE CV SPONSORED ADR REPSTG ORD PARTN CTF	085	830	9333	41245-00020 06-24-11		3,718.35	2,641.07- 160.000-
06-24-11	BNY CONVERGEX 06/21 SALE 185 SHS @ 37.6602 MISC .14 HARLEY DAVIDSON INC	085	830	9333	41245-00021 06-24-11		6,967.00	8,924.32- 185.000-

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BANK     21                  - T R A N S A C T I O N   A N A L Y S I S / S U M M A R Y -                DATE 11/08/11
REGION   80                                 09/01/10 THROUGH 08/31/11                                   TIME 10:51
OFFICE  633                    ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA LCVA                         PAGE 0006

     -TRANSACTION-                               -CODES-       HISTORY                 - C A S H -
 DATE        DESCRIPTION                       TRAN TAX INDV                      INCOME         PRINCIPAL         INVEST/UNITS

06-24-11 BNY CONVERGEX                         085 830    9333 41245-00022                          4,185.43           4,470.46-
         06/21 SALE 118 SHS @ 35.4705                               06-24-11                                            118.000-
         MISC .09
         HEWLETT-PACKARD CO

06-24-11 BNY CONVERGEX                         085 830    9333 41245-00023                         13,389.12           7,979.18-
         06/21 SALE 405 SHS @ 33.0602                               06-24-11                                            405.000-
         MISC .26
         IRON MTN INC PA

06-24-11 BNY CONVERGEX                         085 830    9333 41245-00024                         13,935.37          12,533.29-
         06/21 SALE 210 SHS @ 66.3602                               06-24-11                                            210.000-
         MISC .27
         JOHNSON & JOHNSON

06-24-11 BNY CONVERGEX                         085 830    9333 41245-00025                          3,481.95           3,055.72-
         06/21 SALE 100 SHS @ 34.8202                               06-24-11                                            100.000-
         MISC .07
         KRAFT FOODS INC - A
         SEDOL 2764296 ISIN US50075N1046

06-24-11 BNY CONVERGEX                         085 830    9333 41245-00027                          3,946.38           3,441.79-
         06/21 SALE 49 SHS @ 80.54                                  06-24-11                                             49.000-
         MISC .08
         LOCKHEED MARTIN CORP

06-24-11 BNY CONVERGEX                         085 830    9333 41245-00028                         14,792.18          17,755.99-
         06/21 SALE 360 SHS @ 41.0902                               06-24-11                                            360.000-
         MISC .29
         LOEWS CORPORATION

06-24-11 BNY CONVERGEX                         085 830    9333 41245-00029                         13,701.27          11,372.52-
         06/21 SALE 384 SHS @ 35.6811                               06-24-11                                            384.000-
         MISC .27
         MERCK & CO INC

06-24-11 BNY CONVERGEX                         085 830    9333 41245-00030                          3,580.01           3,089.62-
         06/21 SALE 145 SHS @ 24.6902                               06-24-11                                            145.000-
         MISC .07
         MICROSOFT CORP

06-24-11 BNY CONVERGEX                         085 830    9333 41245-00031                          5,229.01           5,612.44-
         06/21 SALE 78 SHS @ 67.04                                  06-24-11                                             78.000-
         MISC .11
         MONSANTO CO
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- T R A N S A C T I O N A N A L Y S I S / S U M M A R Y -

09/01/10 THROUGH 08/31/11
ACCOUNT 5847005 M D SHOCK CHARITABLE TR TUA LCVA

-TRANSACTION- DATE	DESCRIPTION	-CODES- TRAN	TAX	INDV	HISTORY	- C A S H - INCOME	PRINCIPAL	INVEST/UNITS
06-24-11	BNY CONVERGEX 06/21 SALE 245 SHS @ 103.0503 MISC .49 OCCIDENTAL PETROLEUM CORP	085	830	9333	41245-00032 06-24-11		25,246.83	6,236.47- 245.000-
06-24-11	BNY CONVERGEX 06/21 SALE 535 SHS @ 20.2602 MISC .21 PFIZER INC	085	830	9333	41245-00033 06-24-11		10,839.00	8,490.64- 535.000-
06-24-11	BNY CONVERGEX 06/21 SALE 91 SHS @ 64.85 MISC .12 PROCTER & GAMBLE CO	085	830	9333	41245-00034 06-24-11		5,901.23	5,077.80- 91.000-
06-24-11	BNY CONVERGEX 06/21 SALE 610 SHS @ 20.8105 MISC .25 PROGRESSIVE CORP OHIO	085	830	9333	41245-00035 06-24-11		12,694.16	12,312.85- 610.000-
06-24-11	BNY CONVERGEX 06/21 SALE 415 SHS @ 22.9105 MISC .19 SEALED AIR CORP	085	830	9333	41245-00036 06-24-11		9,507.67	10,155.05- 415.000-
06-24-11	BNY CONVERGEX 06/21 SALE 275 SHS @ 31.7205 MISC .17 TEXAS INSTRUMENTS INC	085	830	9333	41245-00037 06-24-11		8,722.97	7,144.90- 275.000-
06-24-11	BNY CONVERGEX 06/21 SALE 655 SHS @ 27.1401 MISC .35 WELLS FARGO & COMPANY	085	830	9333	41245-00038 06-24-11		17,776.42	13,107.62- 655.000-
07-22-11	BNY CONVERGEX 07/19 SALE 64 SHS @ 64.5802 MISC .08 PROCTER & GAMBLE CO	085	830	9333	41273-00001 07-22-11		4,133.05	3,571.20- 64.000-

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BANK      21                  - T R A N S A C T I O N  A N A L Y S I S / S U M M A R Y -          DATE 01/03/12
REGION    80                            09/01/10 THROUGH 08/31/11                                 TIME 10:03
OFFICE   640                  ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA MCGR                    PAGE 0001

      -TRANSACTION-                          -CODES-         HISTORY                  - C A S H -
  DATE          DESCRIPTION                 TRAN TAX INDV                        INCOME          PRINCIPAL        INVEST/UNITS

09-01-10 BNY CONVERGEX                       085 830      9333 40944-00004                          1,707.74           2,008.31-
         08/27 SALE 51 SHS @ 33.4856                           09-01-10                                                  51.000-
         MISC .03
         GUESS INC

09-02-10 BNY CONVERGEX                       085 830      9333 40945-00004                          1,378.59           1,249.20-
         08/30 SALE 107 SHS @ 12.8842                          09-02-10                                                 107.000-
         MISC .02
         STERLITE INDUSTRIES INDI ADS
         ADT ISIN US8597372072
         SEDOL B1YX3G8

09-03-10 BNY CONVERGEX                       085 830      9333 40946-00002                          1,037.81           1,392.56-
         08/31 SALE 33 SHS @ 31.4494                           09-03-10                                                  33.000-
         MISC .02
         MEDTRONIC INC

09-14-10 BNY CONVERGEX                       085 830      9333 40957-00002                            976.88           1,455.77-
         09/09 SALE 37 SHS @ 26.4025                           09-14-10                                                  37.000-
         MISC .02
         ATWOOD OCEANICS INC

09-16-10 BNY CONVERGEX                       085 830      9333 40959-00001                          1,070.17           1,088.26-
         09/13 SALE 23 SHS @ 46.53                             09-16-10                                                  23.000-
         MISC .02
         AMPHENOL CORP NEW
         CL A

09-16-10 BNY CONVERGEX                       085 830      9333 40959-00003                          1,126.51           1,078.46-
         09/13 SALE 72 SHS @ 15.6463                           09-16-10                                                  72.000-
         MISC .02
         NUANCE COMMUNICATIONS INC

09-29-10 BNY CONVERGEX                       085 830      9333 40972-00001                          1,380.70           1,348.07-
         09/24 SALE 90 SHS @ 15.3414                           09-29-10                                                  90.000-
         MISC .02
         NUANCE COMMUNICATIONS INC

10-06-10 BNY CONVERGEX                       085 830      9333 40979-00002                          1,390.45           1,121.67-
         10/01 SALE 36 SHS @ 38.6242                           10-06-10                                                  36.000-
         MISC .02
         NUCOR CORP

10-06-10 BNY CONVERGEX                       085 830      9333 40979-00003                          2,828.75           2,876.67-
         10/01 SALE 35 SHS @ 80.8229                           10-06-10                                                  35.000-
         MISC .05
         OCCIDENTAL PETROLEUM CORP
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BANK 21
REGION 80
OFFICE 640

- T R A N S A C T I O N A N A L Y S I S / S U M M A R Y -

09/01/10 THROUGH 08/31/11
ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA MCGR

DATE 01/03/12
TIME 10:03
PAGE 0002

-TRANSACTION-		-CODES-			HISTORY	- C A S H -		
DATE	DESCRIPTION	TRAN	TAX	INDV		INCOME	PRINCIPAL	INVEST/UNITS
10-08-10	BNY CONVERGEX 10/05 SALE 45 SHS @ 42.5684 MISC .03 ANSYS INC	085	830	9333	40981-00001 10-08-10		1,915.55	1,936.42- 45.000-
10-08-10	BNY CONVERGEX 10/05 SALE 40 SHS @ 72.0085 MISC .05 UNITED TECHNOLOGIES CORP	085	830	9333	40981-00003 10-08-10		2,880.29	2,934.61- 40.000-
10-25-10	BNY CONVERGEX 10/20 SALE 54 SHS @ 30.4525 MISC .03 LINEAR TECHNOLOGY CORP	085	830	9333	40998-00003 10-25-10		1,644.41	1,954.61- 54.000-
11-01-10	BNY CONVERGEX 10/27 SALE 84 SHS @ 16.919 MISC .02 ALIGN TECHNOLOGY INC	085	830	9333	41005-00001 11-01-10		1,421.17	1,414.80- 84.000-
11-01-10	BNY CONVERGEX 10/27 SALE 29 SHS @ 66.0073 MISC .03 BUCYRUS INTL INC	085	830	9333	41005-00002 11-01-10		1,914.18	1,448.23- 29.000-
11-02-10	BNY CONVERGEX 10/28 SALE 39 SHS @ 19.4235 MISC .01 SKECHERS U S A INC CL A	085	830	9333	41006-00002 11-02-10		757.50	1,349.10- 39.000-
11-05-10	BNY CONVERGEX 11/02 SALE 18 SHS @ 77.4434 MISC .02 CORE LABORATORIES N V	085	830	9333	41009-00001 11-05-10		1,393.96	1,570.15- 18.000-
11-05-10	BNY CONVERGEX 11/02 SALE 30 SHS @ 88.9254 MISC .05 CUMMINS INC	085	830	9333	41009-00002 11-05-10		2,667.72	1,416.32- 30.000-
11-05-10	BNY CONVERGEX 11/02 SALE 9 SHS @ 265.5328 MISC .04 INTUITIVE SURGICAL INC	085	830	9333	41009-00003 11-05-10		2,389.75	2,387.08- 9.000-

BANK 21
REGION 80
OFFICE 640

- T R A N S A C T I O N A N A L Y S I S / S U M M A R Y -

09/01/10 THROUGH 08/31/11
ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA MCGR

-TRANSACTION-		-CODES-			HISTORY	- C A S H -		
DATE	DESCRIPTION	TRAN	TAX	INDV		INCOME	PRINCIPAL	INVEST/UNITS
11-09-10	BNY CONVERGEX 11/04 SALE 55 SHS @ 27.7861 MISC .03 XILINX INC	085	830	9333	41013-00002 11-09-10		1,528.21	1,518.90- 55.000-
11-12-10	BNY CONVERGEX 11/08 SALE 137 SHS @ 32.9153 MISC .08 HALLIBURTON CO	085	830	9333	41016-00001 11-12-10		4,509.32	4,123.04- 137.000-
11-15-10	BNY CONVERGEX 11/09 SALE 18 SHS @ 104.8174 MISC .03 NEW ORIENTAL ED & TECHNOLOGY GROUP INC SPONSORED ADR	085	830	9333	41019-00003 11-15-10		1,886.68	1,325.96- 18.000-
11-16-10	BNY CONVERGEX 11/10 SALE 54 SHS @ 20.3923 MISC .02 WARNER CHILCOTT PLC CL A SEDOL B446CM7 ISIN IE00B446CM77	085	830	9333	41020-00001 11-16-10		1,101.17	1,414.83- 54.000-
11-16-10	BNY CONVERGEX 11/10 SALE 54 SHS @ 28.5161 MISC .03 AVON PRODUCTS INC	085	830	9333	41020-00002 11-16-10		1,539.84	1,536.57- 54.000-
11-23-10	BNY CONVERGEX 11/18 SALE 79 SHS @ 19.5501 MISC .03 CISCO SYSTEMS INC	085	830	9333	41027-00001 11-23-10		1,544.43	2,187.32- 79.000-
11-29-10	BNY CONVERGEX 11/23 SALE 81 SHS @ 19.644 MISC .03 ABB LTD SPONSORED ADR	085	830	9333	41033-00002 11-29-10		1,591.14	1,611.54- 81.000-
12-20-10	BNY CONVERGEX 12/15 SALE 25 SHS @ 55.38 MISC .02 ITRON, INC.	085	830	9333	41054-00002 12-20-10		1,384.48	1,527.90- 25.000-
12-23-10	BNY CONVERGEX 12/20 SALE 54 SHS @ 34.9098 MISC .03 ATHEROS COMMUNICATIONS	085	830	9333	41057-00001 12-23-10		1,885.10	1,423.58- 54.000-

BANK 21
REGION 80
OFFICE 640

- TRANSACTION ANALYSIS/SUMMARY -

09/01/10 THROUGH 08/31/11
ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA MCGR

DATE 01/03/12
TIME 10:03
PAGE 0004

-TRANSACTION-		-CODES-				- C A S H -		
DATE	DESCRIPTION	TRAN	TAX	INDV	HISTORY	INCOME	PRINCIPAL	INVEST/UNITS
12-28-10	LONG TERM CAPITAL GAINS DISTRIBUTION PAYABLE 12/21/10 AT .0000 PER SHARE BLACKROCK LIQUIDITY FUNDS TEMPFUND ADMINISTRATION SHARES #H1	081	081	00-0000	41062-00002 12-28-10		.03	
12-29-10	BNY CONVERGEX 12/23 SALE 56 SHS @ 29.0614 MISC .03 AVON PRODUCTS INC	085	830	9333	41063-00001 12-29-10		1,627.41	1,593.48- 56.000-
01-12-11	BNY CONVERGEX 01/07 SALE 124 SHS @ 21.9568 MISC .05 ABB LTD SPONSORED ADR	085	830	9333	41082-00001 01-12-11		2,722.60	2,467.06- 124.000-
01-13-11	BNY CONVERGEX 01/10 SALE 99 SHS @ 17.0234 MISC .03 BRUKER CORP	085	830	9333	41083-00003 01-13-11		1,685.29	1,257.06- 99.000-
01-31-11	BNY CONVERGEX 01/26 SALE 44 SHS @ 32.0694 MISC .03 LONGTOP FINL TECHNOLOGIES LTD ADR ISIN US54318P1084 SEDOL B28G1Z5	085	830	9333	41101-00001 01-31-11		1,411.03	1,596.47- 44.000-
02-01-11	BNY CONVERGEX 01/27 SALE 39 SHS @ 77.664 MISC .06 WATERS CORP	085	830	9333	41102-00003 02-01-11		3,028.84	2,158.44- 39.000-
02-02-11	BNY CONVERGEX 01/28 SALE 67 SHS @ 32.7793 MISC .04 CARMAX INC	085	830	9333	41103-00002 02-02-11		2,196.17	1,427.17- 67.000-
02-02-11	BNY CONVERGEX 01/28 SALE 13 SHS @ 108.8382 MISC .03 F5 NETWORKS INC	085	830	9333	41103-00003 02-02-11		1,414.87	670.68- 13.000-
02-08-11	BNY CONVERGEX 02/03 SALE 25 SHS @ 68.356 MISC .03 INFOSYS TECHNOLOGIES LTD SPONSORED ADR REPSTG 1/4	085	830	9333	41109-00001 02-08-11		1,708.87	1,554.96- 25.000-

BANK 21
REGION 80
OFFICE 640

- T R A N S A C T I O N   A N A L Y S I S / S U M M A R Y -

09/01/10 THROUGH 08/31/11
ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA MCGR

-TRANSACTION- DATE	DESCRIPTION	-CODES- TRAN	TAX	INDV	HISTORY	- C A S H - INCOME	PRINCIPAL	INVEST/UNITS
02-09-11	BNY CONVERGEX 02/04 SALE 30 SHS @ 57.1457 MISC .03 AMPHENOL CORP NEW CL A	085	830	9333	41110-00001 02-09-11		1,714.34	1,419.47- 30.000-
02-10-11	BNY CONVERGEX 02/07 SALE 29 SHS @ 67.7484 MISC .04 VARIAN MEDICAL SYSTEMS INC	085	830	9333	41111-00002 02-10-11		1,964.67	850.18- 29.000-
02-14-11	BNY CONVERGEX 02/09 SALE 43 SHS @ 35.4018 MISC .03 PLANTRONICS INC NEW	085	830	9333	41115-00003 02-14-11		1,522.25	1,369.07- 43.000-
02-22-11	BNY CONVERGEX 02/16 SALE 48 SHS @ 82.1147 MISC .08 LULULEMON ATHLETICA INC	085	830	9333	41123-00002 02-22-11		3,941.43	1,514.45- 48.000-
02-24-11	BNY CONVERGEX 02/18 SALE 125 SHS @ 20.9046 MISC .05 EXPEDIA INC	085	830	9333	41125-00001 02-24-11		2,613.02	3,056.47- 125.000-
02-24-11	BNY CONVERGEX 02/18 SALE 41 SHS @ 52.7591 MISC .04 NETAPP INC	085	830	9333	41125-00004 02-24-11		2,163.08	984.00- 41.000-
03-07-11	BNY CONVERGEX 03/02 SALE 50 SHS @ 54.4408 MISC .05 TECK RESOURCES LTD CL B ISIN CA8787422044 SEDOL 2124533	085	830	9333	41136-00001 03-07-11		2,721.99	2,063.59- 50.000-
03-08-11	BNY CONVERGEX 03/03 SALE 110 SHS @ 19.9555 MISC .04 GRAFTECH INTERNATIONAL LTD	085	830	9333	41137-00003 03-08-11		2,195.06	1,659.95- 110.000-
03-10-11	BNY CONVERGEX 03/07 SALE 135 SHS @ 15.7403 MISC .04 MARVELL TECHNOLOGY GROUP LTD	085	830	9333	41139-00001 03-10-11		2,124.90	1,484.82- 135.000-

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BANK     21                    - T R A N S A C T I O N  A N A L Y S I S / S U M M A R Y -           DATE 01/03/12
REGION   80                                  09/01/10 THROUGH 08/31/11                            . TIME 10:03
OFFICE  640                      ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA MCGR                   PAGE 0006

   -TRANSACTION-                                  -CODES-       HISTORY                   - C A S H -
  DATE        DESCRIPTION                      TRAN TAX INDV                         INCOME      PRINCIPAL        INVEST/UNITS

03-11-11 BNY CONVERGEX                          085 830     9333 41140-00001                      2,832.68          1,628.49-
         03/08 SALE 109 SHS @ 25.9884                             03-11-11                                          109.000-
         MISC .05
         ANGLO AMERICAN PLC
         UNSP ADR

03-15-11 BNY CONVERGEX                          085 830     9333 41144-00005                      1,518.33          1,804.66-
         03/10 SALE 28 SHS @ 54.2271                              03-15-11                                           28.000-
         MISC .03
         ROVI CORP

03-18-11 BNY CONVERGEX                          085 830     9333 41147-00001                      1,819.06            936.00-
         03/15 SALE 39 SHS @ 46.6435                              03-18-11                                           39.000-
         MISC .03
         NETAPP INC

03-22-11 BNY CONVERGEX                          085 830     9333 41151-00002                        902.57            689.89-
         03/17 SALE 30 SHS @ 30.0861                              03-22-11                                           30.000-
         MISC .02
         BABCOCK & WILCOX CO

03-23-11 BNY CONVERGEX                          085 830     9333 41152-00001                      1,399.26            722.26-
         03/18 SALE 14 SHS @ 99.949                               03-23-11                                           14.000-
         MISC .03
         F5 NETWORKS INC

03-24-11 BNY CONVERGEX                          085 830     9333 41153-00002                      1,585.96          1,398.16-
         03/21 SALE 41 SHS @ 38.6826                              03-24-11                                           41.000-
         MISC .03
         NII HOLDINGS INC
         CL B

03-31-11 BNY CONVERGEX                          085 830     9333 41160-00001                      1,740.98          1,858.91-
         03/28 SALE 65 SHS @ 26.7848                              03-31-11                                           65.000-
         MISC .03
         COMPANHIA DE BEBIDAS
         PR ADR

04-04-11 BNY CONVERGEX                          085 830     9333 41164-00002                      2,249.09          1,238.37-
         03/30 SALE 43 SHS @ 52.3054                              04-04-11                                           43.000-
         MISC .04
         COACH INC

04-06-11 BNY CONVERGEX                          085 830     9333 41166-00001                      2,198.16          1,767.53-
         04/01 SALE 283 SHS @ 7.7675                              04-06-11                                          283.000-
         MISC .04
         MELCO CROWN ENTERTAINMENT LTD
         ADR ISIN US5854641009
         SEDOL B1KYHF2
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BANK 21
REGION 80
OFFICE 640

- TRANSACTION ANALYSIS/SUMMARY -

09/01/10 THROUGH 08/31/11
ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA MCGR

DATE 01/03/12
TIME 10:03
PAGE 0007

-TRANSACTION- DATE	DESCRIPTION	-CODES- TRAN	TAX	INDV	HISTORY	- CASH - INCOME	PRINCIPAL	INVEST/UNITS
04-07-11	BNY CONVERGEX 04/04 SALE 52 SHS @ 33.8704 MISC .03 RIVERBED TECHNOLOGY INC	085	830	9333	41167-00001 04-07-11		1,761.23	746.93- 52.000-
04-08-11	BNY CONVERGEX 04/05 SALE 2 SHS @ 516.0103 MISC .02 PRICELINE.COM INC	085	830	9333	41168-00002 04-08-11		1,032.00	468.00- 2.000-
04-25-11	BNY CONVERGEX 04/19 SALE 83 SHS @ 15.2362 MISC .02 CIRRUS LOGIC INC	085	830	9333	41185-00001 04-25-11		1,264.58	1,431.54- 83.000-
05-05-11	BNY CONVERGEX 05/02 SALE 26 SHS @ 93.3221 MISC .05 PARKER HANNIFIN CORP	085	830	9333	41195-00001 05-05-11		2,426.33	1,717.88- 26.000-
05-10-11	BNY CONVERGEX 05/05 SALE 40 SHS @ 58.2328 MISC .04 HELMERICH & PAYNE INC	085	830	9333	41200-00005 05-10-11		2,329.27	2,124.92- 40.000-
05-10-11	BNY CONVERGEX 05/05 SALE 58 SHS @ 43.6706 MISC .05 TRIMBLE NAVIGATION LTD	085	830	9333	41200-00006 05-10-11		2,532.85	1,682.32- 58.000-
05-11-11	BNY CONVERGEX 05/06 SALE 22 SHS @ 76.4407 MISC .03 COGNIZANT TECHNOLOGY SOLUTIONS	085	830	9333	41201-00001 05-11-11		1,681.66	915.15- 22.000-
05-13-11	BNY CONVERGEX 05/10 SALE 3 SHS @ 527.3353 MISC .03 PRICELINE.COM INC	085	830	9333	41203-00002 05-13-11		1,581.98	702.00- 3.000-
05-18-11	BNY CONVERGEX 05/13 SALE 10 SHS @ 125.6693 MISC .02 FIRST SOLAR INC	085	830	9333	41208-00002 05-18-11		1,256.67	2,153.95- 10.000-

BANK 21
REGION 80
OFFICE 640

- T R A N S A C T I O N A N A L Y S I S / S U M M A R Y -

09/01/10 THROUGH 08/31/11
ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA MCGR

DATE 01/03/12
TIME 10:03
PAGE 0008

DATE	-TRANSACTION- DESCRIPTION	-CODES- TRAN	TAX	INDV	HISTORY	- CASH - INCOME	PRINCIPAL	INVEST/UNITS
05-20-11	BNY CONVERGEX 05/17 SALE 31 SHS @ 72.0189 MISC .04 ABERCROMBIE & FITCH CO CL A	085	830	9333	41210-00002 05-20-11		2,232.54	1,548.67- 31.000-
05-20-11	BNY CONVERGEX 05/17 SALE 54 SHS @ 35.1324 MISC .04 STARBUCKS CORP	085	830	9333	41210-00003 05-20-11		1,897.11	1,060.05- 54.000-
05-25-11	BNY CONVERGEX 05/20 SALE 81 SHS @ 16.5394 MISC .03 CISCO SYSTEMS INC	085	830	9333	41215-00001 05-25-11		1,339.67	2,242.69- 81.000-
05-26-11	BNY CONVERGEX 05/23 SALE 35 SHS @ 49.0645 MISC .03 SCRIPPS NETWORKS INTERAC CL A	085	830	9333	41216-00001 05-26-11		1,717.22	1,838.54- 35.000-
06-01-11	BNY CONVERGEX 05/26 SALE 10 SHS @ 192.3865 MISC .04 AMAZON COM INC	085	830	9333	41222-00002 06-01-11		1,923.83	656.90- 10.000-
06-10-11	BNY CONVERGEX 06/07 SALE 2 SHS @ 241.4545 MISC .01 CNOOC LTD SPON ADR	085	830	9333	41231-00001 06-10-11		482.90	399.46- 2.000-
06-13-11	BNY CONVERGEX 06/08 SALE 7 SHS @ 263.181 MISC .04 CME GROUP INC A DERIVATIVIES EXCHANGE	085	830	9333	41234-00001 06-13-11		1,842.23	1,534.90- 7.000-
06-13-11	BNY CONVERGEX 06/08 SALE 8 SHS @ 241.1423 MISC .04 CNOOC LTD SPON ADR	085	830	9333	41234-00002 06-13-11		1,929.10	1,597.84- 8.000-
06-13-11	PROCEEDS RECEIVED FROM RIGHTS REDEMPTI OF 67.000 RIGHTS AT .0122 COMPANHIA DE BEBIDAS PR ADR	071	833	60-6477	41234-00003 06-13-11	.82		

BANK 21
REGION 80
OFFICE 640

- TRANSACTION ANALYSIS/SUMMARY -

09/01/10 THROUGH 08/31/11
ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA MCGR

-TRANSACTION- DATE	DESCRIPTION	-CODES- TRAN	TAX	INDV	HISTORY	- C A S H - INCOME	PRINCIPAL	INVEST/UNITS
06-14-11	BNY CONVERGEX 06/09 SALE 21 SHS @ 92.4349 MISC .04 SINA CORPORATION	085	830	9333	41235-00001 06-14-11		1,941.10	1,934.73- 21.000-
06-16-11	BNY CONVERGEX 06/13 SALE 61 SHS @ 17.9172 MISC .02 MC DERMOTT INTERNATIONAL INC	085	830	9333	41237-00003 06-16-11		1,092.93	765.79- 61.000-
06-16-11	BNY CONVERGEX 06/13 SALE 29 SHS @ 55.6525 MISC .03 UNITED THERAPEUTICS CORP DEL	085	830	9333	41237-00004 06-16-11		1,613.89	1,644.51- 29.000-
06-17-11	BNY CONVERGEX 06/14 SALE 49 SHS @ 37.7431 MISC .04 ASML HOLDING NV F ISIN USN070591862	085	830	9333	41238-00001 06-17-11		1,849.38	1,442.95- 49.000-
06-17-11	BNY CONVERGEX 06/14 SALE 46 SHS @ 47.3517 MISC .04 EATON CORP	085	830	9333	41238-00002 06-17-11		2,178.14	1,952.34- 46.000-
06-22-11	BNY CONVERGEX 06/17 SALE 41 SHS @ 38.1027 MISC .03 KLA TENCOR CORP	085	830	9333	41243-00001 06-22-11		1,562.18	2,036.07- 41.000-
06-23-11	BNY CONVERGEX 06/20 SALE 24 SHS @ 67.9281 MISC .03 COGNIZANT TECHNOLOGY SOLUTIONS	085	830	9333	41244-00001 06-23-11		1,630.24	998.35- 24.000-
06-24-11	BNY CONVERGEX 06/21 SALE 13 SHS @ 55.11 MISC .02 ACCENTURE PLC CLASS A ISIN IE00B4BNMY34 SEDOL B4BNMY3	085	830	9333	41245-00001 06-24-11		716.41	733.32- 13.000-
06-24-11	BNY CONVERGEX 06/21 SALE 13 SHS @ 53.51 MISC .02 COVIDIEN PLC ISIN IE00B68SQD29 SEDOL B68SQD2	085	830	9333	41245-00002 06-24-11		695.61	695.81- 13.000-

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   -TRANSACTION-                               -CODES-      HISTORY                - C A S H -
 DATE      DESCRIPTION                      TRAN TAX INDV                   INCOME       PRINCIPAL       INVEST/UNITS

06-24-11 BNY CONVERGEX                       085 830    9333 41245-00003                    2,089.95         1,539.64-
         06/21 SALE 38 SHS @ 55.00                             06-24-11                                        38.000-
         MISC .05
         HERBALIFE LTD

06-24-11 BNY CONVERGEX                       085 830    9333 41245-00004                      651.08           761.16-
         06/21 SALE 17 SHS @ 38.30                             06-24-11                                        17.000-
         MISC .02
         NOBLE CORPORATION
         SEDOL: B65Z9D7
         ISIN: CH0033347318

06-24-11 BNY CONVERGEX                       085 830    9333 41245-00005                    1,742.04         1,368.03-
         06/21 SALE 32 SHS @ 54.44                             06-24-11                                        32.000-
         MISC .04
         CHECK POINT SOFTWARE TECH
         SEDOL 2181334
         ISIN IL0010824113

06-24-11 BNY CONVERGEX                       085 830    9333 41245-00006                      641.50           530.07-
         06/21 SALE 18 SHS @ 35.64                             06-24-11                                        18.000-
         MISC .02
         ASML HOLDING NV F
         ISIN USN070591862

06-24-11 BNY CONVERGEX                       085 830    9333 41245-00007                      883.98           649.44-
         06/21 SALE 13 SHS @ 68.00                             06-24-11                                        13.000-
         MISC .02
         ABERCROMBIE & FITCH CO
         CL A

06-24-11 BNY CONVERGEX                       085 830    9333 41245-00008                      747.73           738.36-
         06/21 SALE 15 SHS @ 49.85                             06-24-11                                        15.000-
         MISC .02
         AGILENT TECHNOLOGIES (IPO)

06-24-11 BNY CONVERGEX                       085 830    9333 41245-00009                    1,268.82         1,083.33-
         06/21 SALE 15 SHS @ 84.59                             06-24-11                                        15.000-
         MISC .03
         AGRIUM INC
         SEDOL 2015530
         ISIN CA0089161081

06-24-11 BNY CONVERGEX                       085 830    9333 41245-00010                      789.28           395.74-
         06/21 SALE 18 SHS @ 43.85                             06-24-11                                        18.000-
         MISC .02
         ALTERA CORP
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BANK      21                    - T R A N S A C T I O N  A N A L Y S I S / S U M M A R Y -              DATE 01/03/12
REGION    80                                   09/01/10 THROUGH 08/31/11                                   TIME 10:03
OFFICE   640                          ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA MCGR                     PAGE 0011

    -TRANSACTION-                                     -CODES-        HISTORY                    - C A S H -
  DATE       DESCRIPTION                            TRAN TAX INDV                           INCOME        PRINCIPAL        INVEST/UNITS

06-24-11 BNY CONVERGEX                               085 830     9333 41245-00011                          3,680.60          1,248.11-
         06/21 SALE 19 SHS @ 193.72                                   06-24-11                                                   19.000-
         MISC .08
         AMAZON COM INC

06-24-11 BNY CONVERGEX                               085 830     9333 41245-00012                          2,019.39          1,775.15-
         06/21 SALE 17 SHS @ 118.79                                   06-24-11                                                   17.000-
         MISC .04
         APACHE CORPORATION

06-24-11 BNY CONVERGEX                               085 830     9333 41245-00013                          4,533.11            290.31-
         06/21 SALE 14 SHS @ 323.80                                   06-24-11                                                   14.000-
         MISC .09
         APPLE INC

06-24-11 BNY CONVERGEX                               085 830     9333 41245-00014                          1,024.51            592.40-
         06/21 SALE 37 SHS @ 27.69                                    06-24-11                                                   37.000-
         MISC .02
         ARM HOLDINGS PLC
         SPONSORED ADR

06-24-11 BNY CONVERGEX                               085 830     9333 41245-00015                          1,386.21          1,484.60-
         06/21 SALE 38 SHS @ 36.48                                    06-24-11                                                   38.000-
         MISC .03
         AUTODESK INC

06-24-11 BNY CONVERGEX                               085 830     9333 41245-00016                          1,757.24            195.72-
         06/21 SALE 14 SHS @ 125.52                                   06-24-11                                                   14.000-
         MISC .04
         BAIDU INC

06-24-11 BNY CONVERGEX                               085 830     9333 41245-00017                            775.59            781.00-
         06/21 SALE 11 SHS @ 70.51                                    06-24-11                                                   11.000-
         MISC .02
         BAKER HUGHES INC

06-24-11 BNY CONVERGEX                               085 830     9333 41245-00018                          1,099.72            897.43-
         06/21 SALE 25 SHS @ 43.99                                    06-24-11                                                   25.000-
         MISC .03
         BARRICK GOLD CORP
         SEDOL 2024677
         ISIN CA0679011084

06-24-11 BNY CONVERGEX                               085 830     9333 41245-00019                            813.54            558.69-
         06/21 SALE 44 SHS @ 18.49                                    06-24-11                                                   44.000-
         MISC .02
         BRUKER CORP
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   -TRANSACTION-                               -CODES-      HISTORY                - C A S H -
 DATE        DESCRIPTION                      TRAN TAX INDV                    INCOME      PRINCIPAL      INVEST/UNITS

06-24-11 BNY CONVERGEX                        085 830    9333 41245-00020                  1,167.92          998.65-
         06/21 SALE 5 SHS @ 233.59                            06-24-11                                        5.000-
         MISC .03
         CNOOC LTD
         SPON ADR

06-24-11 BNY CONVERGEX                        085 830    9333 41245-00021                    829.90          836.48-
         06/21 SALE 7 SHS @ 118.56                            06-24-11                                        7.000-
         MISC .02
         CERNER CORP

06-24-11 BNY CONVERGEX                        085 830    9333 41245-00022                    689.26          742.85-
         06/21 SALE 24 SHS @ 28.72                            06-24-11                                       24.000-
         MISC .02
         CHESAPEAKE ENERGY CORP

06-24-11 BNY CONVERGEX                        085 830    9333 41245-00023                    843.78          612.42-
         06/21 SALE 10 SHS @ 84.3801                          06-24-11                                       10.000-
         MISC .02
         CLIFFS NATURAL RESOURCES INC

06-24-11 BNY CONVERGEX                        085 830    9333 41245-00024                  1,036.47          489.59-
         06/21 SALE 17 SHS @ 60.97                            06-24-11                                       17.000-
         MISC .02
         COACH INC

06-24-11 BNY CONVERGEX                        085 830    9333 41245-00025                    839.00          743.56-
         06/21 SALE 26 SHS @ 32.27                            06-24-11                                       26.000-
         MISC .02
         COMPANHIA DE BEBIDAS
         PR ADR

06-24-11 BNY CONVERGEX                        085 830    9333 41245-00026                    742.30          664.88-
         06/21 SALE 24 SHS @ 30.93                            06-24-11                                       24.000-
         MISC .02
         COMPLETE PRODTN SERVICES

06-24-11 BNY CONVERGEX                        085 830    9333 41245-00027                    751.21          719.71-
         06/21 SALE 9 SHS @ 83.47                             06-24-11                                        9.000-
         MISC .02
         DECKERS OUTDOOR CORP

06-24-11 BNY CONVERGEX                        085 830    9333 41245-00028                  1,539.33        1,418.70-
         06/21 SALE 24 SHS @ 64.14                            06-24-11                                       24.000-
         MISC .03
         DOVER CORP
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BANK 21
REGION 80
OFFICE 640

- T R A N S A C T I O N A N A L Y S I S / S U M M A R Y -

09/01/10 THROUGH 08/31/11
ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA MCGR

-TRANSACTION-		-CODES-			HISTORY	- C A S H -		
DATE	DESCRIPTION	TRAN	TAX	INDV		INCOME	PRINCIPAL	INVEST/UNITS
06-24-11	BNY CONVERGEX 06/21 SALE 39 SHS @ 26.78 MISC .03 EMC CORP	085	830	9333	41245-00029 06-24-11		1,044.39	710.73- 39.000-
06-24-11	BNY CONVERGEX 06/21 SALE 15 SHS @ 50.76 MISC .02 EQT CORPORATION	085	830	9333	41245-00030 06-24-11		761.38	714.12- 15.000-
06-24-11	BNY CONVERGEX 06/21 SALE 22 SHS @ 48.62 MISC .03 EATON CORP	085	830	9333	41245-00031 06-24-11		1,069.61	933.73- 22.000-
06-24-11	BNY CONVERGEX 06/21 SALE 25 SHS @ 62.34 MISC .03 FLUOR CORP	085	830	9333	41245-00032 06-24-11		1,558.47	1,143.22- 25.000-
06-24-11	BNY CONVERGEX 06/21 SALE 38 SHS @ 24.45 MISC .02 FORTINET INC	085	830	9333	41245-00033 06-24-11		929.08	772.69- 38.000-
06-24-11	BNY CONVERGEX 06/21 SALE 8 SHS @ 112.69 MISC .02 FOSSIL INC	085	830	9333	41245-00034 06-24-11		901.50	864.00- 8.000-
06-24-11	BNY CONVERGEX 06/21 SALE 6 SHS @ 126.38 MISC .02 FRANKLIN RESOURCES INC	085	830	9333	41245-00035 06-24-11		758.26	556.14- 6.000-
06-24-11	BNY CONVERGEX 06/21 SALE 15 SHS @ 48.90 MISC .02 FREEPORT MCMORAN COPPER & GOLD INC	085	830	9333	41245-00036 06-24-11		733.48	700.49- 15.000-
06-24-11	BNY CONVERGEX 06/21 SALE 29 SHS @ 31.91 MISC .02 FRONTIER OIL CORP	085	830	9333	41245-00037 06-24-11		925.37	814.97- 29.000-

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   -TRANSACTION-                                 -CODES-        HISTORY                    - C A S H -
 DATE        DESCRIPTION                      TRAN TAX INDV                         INCOME          PRINCIPAL       INVEST/UNITS

06-24-11 BNY CONVERGEX                         085 830      9333 41245-00038                           824.86            854.67-
         06/21 SALE 12 SHS @ 68.74                               06-24-11                                                 12.000-
         MISC .02
         GEN PROBE INC

06-24-11 BNY CONVERGEX                         085 830      9333 41245-00039                         2,958.06          1,952.68-
         06/21 SALE 6 SHS @ 493.02                               06-24-11                                                  6.000-
         MISC .06
         GOOGLE INC-CL A

06-24-11 BNY CONVERGEX                         085 830      9333 41245-00040                         1,049.41            661.23-
         06/21 SALE 14 SHS @ 74.96                               06-24-11                                                 14.000-
         MISC .03
         HANSEN NATURAL CORP

06-24-11 BNY CONVERGEX                         085 830      9333 41245-00041                         1,153.84          1,009.34-
         06/21 SALE 19 SHS @ 60.73                               06-24-11                                                 19.000-
         MISC .03
         HELMERICH & PAYNE INC

06-24-11 BNY CONVERGEX                         085 830      9333 41245-00042                         1,179.17            645.83-
         06/21 SALE 16 SHS @ 73.70                               06-24-11                                                 16.000-
         MISC .03
         ILLUMINA INC

06-24-11 BNY CONVERGEX                         085 830      9333 41245-00043                           926.57            612.85-
         06/21 SALE 31 SHS @ 29.89                               06-24-11                                                 31.000-
         MISC .02
         IMAX CORP
         ISIN CA45245E1097 SEDOL 2473859

06-24-11 BNY CONVERGEX                         085 830      9333 41245-00044                           903.18            879.75-
         06/21 SALE 16 SHS @ 56.45                               06-24-11                                                 16.000-
         MISC .02
         INFORMATICA CORP

06-24-11 BNY CONVERGEX                         085 830      9333 41245-00045                           881.48            805.38-
         06/21 SALE 41 SHS @ 21.50                               06-24-11                                                 41.000-
         MISC .02
         INTEL CORP

06-24-11 BNY CONVERGEX                         085 830      9333 41245-00046                         1,096.53            684.99-
         06/21 SALE 9 SHS @ 121.84                               06-24-11                                                  9.000-
         MISC .03
         INTERCONTINENTAL EXCHANGE INC
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BANK     21                 - T R A N S A C T I O N   A N A L Y S I S / S U M M A R Y -           DATE 01/03/12
REGION   80                                09/01/10 THROUGH 08/31/11                               TIME 10:03
OFFICE  640                      ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA MCGR                  PAGE 0015

     -TRANSACTION-                              -CODES-         HISTORY                - C A S H -
  DATE        DESCRIPTION                     TRAN TAX INDV                        INCOME        PRINCIPAL       INVEST/UNITS

06-24-11 BNY CONVERGEX                        085 830     9333 41245-00047                       2,112.01         1,978.01-
         06/21 SALE 6 SHS @ 352.01                             06-24-11                                              6.000-
         MISC .05
         INTUITIVE SURGICAL INC

06-24-11 BNY CONVERGEX                        085 830     9333 41245-00048                         703.62           676.66-
         06/21 SALE 14 SHS @ 50.26                             06-24-11                                             14.000-
         MISC .02
         INTUIT SOFTWARE

06-24-11 BNY CONVERGEX                        085 830     9333 41245-00049                         708.30           583.70-
         06/21 SALE 38 SHS @ 18.64                             06-24-11                                             38.000-
         MISC .02
          JABIL CIRCUIT

06-24-11 BNY CONVERGEX                        085 830     9333 41245-00050                       1,535.58         1,529.20-
         06/21 SALE 51 SHS @ 30.11                             06-24-11                                             51.000-
         MISC .03
         KOMATSU LTD
         SPON ADR

06-24-11 BNY CONVERGEX                        085 830     9333 41245-00051                         875.41           845.17-
         06/21 SALE 9 SHS @ 97.27                              06-24-11                                              9.000-
         MISC .02
         LABORATORY CORP OF AMERICA HLDG

06-24-11 BNY CONVERGEX                        085 830     9333 41245-00052                         785.86           809.14-
         06/21 SALE 12 SHS @ 65.49                             06-24-11                                             12.000-
         MISC .02
         MEAD JOHNSON NUTRITION CO

06-24-11 BNY CONVERGEX                        085 830     9333 41245-00053                       1,080.63           581.90-
         06/21 SALE 14 SHS @ 77.19                             06-24-11                                             14.000-
         MISC .03
         MERCADOLIBRE INC

06-24-11 BNY CONVERGEX                        085 830     9333 41245-00054                         682.00           744.57-
         06/21 SALE 18 SHS @ 37.89                             06-24-11                                             18.000-
         MISC .02
         MOODY'S CORP

06-24-11 BNY CONVERGEX                        085 830     9333 41245-00056                       1,354.67           662.34-
         06/21 SALE 19 SHS @ 71.30                             06-24-11                                             19.000-
         MISC .03
         NATIONAL OILWELL VARCO INC
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-TRANSACTION-		-CODES-			HISTORY	- C A S H -		
DATE	DESCRIPTION	TRAN	TAX	INDV		INCOME	PRINCIPAL	INVEST/UNITS
06-24-11	BNY CONVERGEX 06/21 SALE 5 SHS @ 253.12 MISC .03 NETFLIX INC	085	830	9333	41245-00057 06-24-11		1,265.57	633.96- 5.000-
06-24-11	BNY CONVERGEX 06/21 SALE 19 SHS @ 37.58 MISC .02 NETLOGIC MICROSYSTEMS INC	085	830	9333	41245-00058 06-24-11		714.00	741.05- 19.000-
06-24-11	BNY CONVERGEX 06/21 SALE 15 SHS @ 120.32 MISC .04 NOVO NORDISK A S ADR	085	830	9333	41245-00059 06-24-11		1,804.76	1,141.41- 15.000-
06-24-11	BNY CONVERGEX 06/21 SALE 31 SHS @ 15.94 MISC .01 NVIDIA CORP	085	830	9333	41245-00060 06-24-11		494.13	756.55- 31.000-
06-24-11	BNY CONVERGEX 06/21 SALE 29 SHS @ 30.35 MISC .02 OMNIVISION TECHNOLOGIES INC	085	830	9333	41245-00061 06-24-11		880.13	662.84- 29.000-
06-24-11	BNY CONVERGEX 06/21 SALE 13 SHS @ 61.01 MISC .02 OPEN TEXT CORP	085	830	9333	41245-00062 06-24-11		793.11	829.37- 13.000-
06-24-11	BNY CONVERGEX 06/21 SALE 68 SHS @ 32.54 MISC .05 ORACLE CORP	085	830	9333	41245-00063 06-24-11		2,212.67	1,524.91- 68.000-
06-24-11	BNY CONVERGEX 06/21 SALE 22 SHS @ 30.13 MISC .02 PAN AMERICAN SILVER CORP ISIN CA6979001089 SEDOL 2669272	085	830	9333	41245-00064 06-24-11		662.84	690.64- 22.000-
06-24-11	BNY CONVERGEX 06/21 SALE 12 SHS @ 85.76 MISC .02 PARKER HANNIFIN CORP	085	830	9333	41245-00065 06-24-11		1,029.10	792.86- 12.000-

- TRANSACTION ANALYSIS/SUMMARY -

09/01/10 THROUGH 08/31/11
ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA MCGR

-TRANSACTION-		-CODES-			HISTORY	- C A S H -		
DATE	DESCRIPTION	TRAN	TAX	INDV		INCOME	PRINCIPAL	INVEST/UNITS
06-24-11	BNY CONVERGEX 06/21 SALE 31 SHS @ 28.49 MISC .02 PATTERSON-UTI ENERGY INC	085	830	9333	41245-00066 06-24-11		883.17	794.06- 31.000-
06-24-11	BNY CONVERGEX 06/21 SALE 21 SHS @ 59.07 MISC .03 POLYCOM INC	085	830	9333	41245-00067 06-24-11		1,240.44	745.48- 21.000-
06-24-11	BNY CONVERGEX 06/21 SALE 23 SHS @ 58.63 MISC .03 PRICE T ROWE GROUP INC	085	830	9333	41245-00068 06-24-11		1,348.46	1,309.40- 23.000-
06-24-11	BNY CONVERGEX 06/21 SALE 8 SHS @ 480.90 MISC .08 PRICELINE.COM INC	085	830	9333	41245-00069 06-24-11		3,847.12	1,872.01- 8.000-
06-24-11	BNY CONVERGEX 06/21 SALE 57 SHS @ 54.60 MISC .06 QUALCOMM INC	085	830	9333	41245-00071 06-24-11		3,112.14	2,412.80- 57.000-
06-24-11	BNY CONVERGEX 06/21 SALE 22 SHS @ 33.65 MISC .02 RIVERBED TECHNOLOGY INC	085	830	9333	41245-00072 06-24-11		740.28	316.01- 22.000-
06-24-11	BNY CONVERGEX 06/21 SALE 16 SHS @ 49.62 MISC .02 ST JUDE MEDICAL INC	085	830	9333	41245-00073 06-24-11		793.90	823.21- 16.000-
06-24-11	BNY CONVERGEX 06/21 SALE 10 SHS @ 83.65 MISC .02 SCHLUMBERGER LTD SEDOL 2779201 ISIN AN8068571086	085	830	9333	41245-00074 06-24-11		836.48	744.09- 10.000-
06-24-11	BNY CONVERGEX 06/21 SALE 36 SHS @ 25.89 MISC .02 SOLARWINDS INC	085	830	9333	41245-00075 06-24-11		932.02	857.50- 36.000-

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BANK      21                  - T R A N S A C T I O N   A N A L Y S I S / S U M M A R Y -            DATE 01/03/12
REGION    80                               09/01/10 THROUGH 08/31/11                                  TIME 10:03
OFFICE   640                    ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA MCGR                      PAGE 0018
     -TRANSACTION-                                 -CODES-      HISTORY                   - C A S H -
  DATE        DESCRIPTION                        TRAN TAX INDV                       INCOME       PRINCIPAL        INVEST/UNITS

06-24-11 BNY CONVERGEX                            085 830     9333 41245-00076                      1,800.22             961.89-
         06/21 SALE 49 SHS @ 36.74                                 06-24-11                                              49.000-
         MISC .04
         STARBUCKS CORP

06-24-11 BNY CONVERGEX                            085 830     9333 41245-00077                        742.54             537.88-
         06/21 SALE 21 SHS @ 35.36                                 06-24-11                                              21.000-
         MISC .02
         TALEO CORP CLASS A

06-24-11 BNY CONVERGEX                            085 830     9333 41245-00078                        802.55             801.00-
         06/21 SALE 17 SHS @ 47.21                                 06-24-11                                              17.000-
         MISC .02
         TIM PARTICIPACOES S A

06-24-11 BNY CONVERGEX                            085 830     9333 41245-00079                        769.48             784.44-
         06/21 SALE 9 SHS @ 85.50                                  06-24-11                                               9.000-
         MISC .02
         UNITED TECHNOLOGIES CORP

06-24-11 BNY CONVERGEX                            085 830     9333 41245-00080                        769.63             791.69-
         06/21 SALE 15 SHS @ 51.31                                 06-24-11                                              15.000-
         MISC .02
         VALEANT PHARMACEUTICALS INTE
         ISIN CA91911K1021 SEDOL B41NYV4

06-24-11 BNY CONVERGEX                            085 830     9333 41245-00081                        654.53             593.58-
         06/21 SALE 13 SHS @ 50.35                                 06-24-11                                              13.000-
         MISC .02
         VEECO INSTRUMENTS INC DEL

06-24-11 BNY CONVERGEX                            085 830     9333 41245-00082                        778.79             778.34-
         06/21 SALE 19 SHS @ 40.99                                 06-24-11                                              19.000-
         MISC .02
         VERIFONE SYSTEMS INC

06-24-11 BNY CONVERGEX                            085 830     9333 41245-00083                        594.21             729.51-
         06/21 SALE 13 SHS @ 45.71                                 06-24-11                                              13.000-
         MISC .02
         VERTEX PHARMACEUTICALS INC

06-24-11 BNY CONVERGEX                            085 830     9333 41245-00084                        693.29             771.62-
         06/21 SALE 19 SHS @ 36.49                                 06-24-11                                              19.000-
         MISC .02
         WADDELL & REED FINL INC
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BANK 21
REGION 80
OFFICE 640

- TRANSACTION ANALYSIS/SUMMARY -

09/01/10 THROUGH 08/31/11
ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA MCGR

-TRANSACTION-		-CODES-			HISTORY	- CASH -		
DATE	DESCRIPTION	TRAN	TAX	INDV		INCOME	PRINCIPAL	INVEST/UNITS
06-24-11	BNY CONVERGEX 06/21 SALE 9 SHS @ 94.18 MISC .02 WATERS CORP	085	830	9333	41245-00085 06-24-11		847.60	807.62- 9.000-
07-08-11	BNY CONVERGEX 07/05 SALE 28 SHS @ 38.6993 MISC .02 AUTODESK INC	085	830	9333	41259-00001 07-08-11		1,083.56	1,093.91- 28.000-
07-08-11	BNY CONVERGEX 07/05 SALE 8 SHS @ 236.0873 MISC .04 CNOOC LTD SPON ADR	085	830	9333	41259-00002 07-08-11		1,888.66	1,597.84- 8.000-
07-11-11	BNY CONVERGEX 07/06 SALE 57 SHS @ 20.4424 MISC .02 JABIL CIRCUIT	085	830	9333	41262-00002 07-11-11		1,165.19	875.54- 57.000-
07-12-11	BNY CONVERGEX 07/07 SALE 20 SHS @ 45.3716 MISC .02 VEECO INSTRUMENTS INC DEL	085	830	9333	41263-00003 07-12-11		907.41	913.21- 20.000-
07-13-11	CASH IN LIEU OF .206 FRACTIONAL SHARES/PAR AT 71.9000 PER SHARE/BOND HOLLYFRONTIER CORP	085	833	9999	41264-00001 07-13-11		14.81	12.03- .206-
07-15-11	BNY CONVERGEX 07/12 SALE 27 SHS @ 36.4945 MISC .02 NOBLE CORPORATION SEDOL: B65Z9D7 ISIN: CH0033347318	085	830	9333	41266-00001 07-15-11		985.33	1,208.89- 27.000-
07-18-11	BNY CONVERGEX 07/13 SALE 46 SHS @ 28.2533 MISC .02 IMAX CORP ISIN CA45245E1097 SEDOL 2473859	085	830	9333	41269-00002 07-18-11		1,299.63	909.38- 46.000-
07-20-11	BNY CONVERGEX 07/15 SALE 29 SHS @ 34.152 MISC .02 ASML HOLDING NV F ISIN USN070591862	085	830	9333	41271-00001 07-20-11		990.39	853.99- 29.000-

BANK 21
REGION 80
OFFICE 640

- TRANSACTION ANALYSIS/SUMMARY -

09/01/10 THROUGH 08/31/11
ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA MCGR

-TRANSACTION-		-CODES-				- C A S H -		
DATE	DESCRIPTION	TRAN	TAX	INDV	HISTORY	INCOME	PRINCIPAL	INVEST/UNITS
07-25-11	BNY CONVERGEX 07/20 SALE 18 SHS @ 62.9619 MISC .02 GEN PROBE INC	085	830	9333	41276-00001 07-25-11		1,133.29	1,282.01- 18.000-
07-28-11	BNY CONVERGEX 07/25 SALE 32 SHS @ 31.48 MISC .02 RIVERBED TECHNOLOGY INC	085	830	9333	41279-00001 07-28-11		1,007.34	459.64- 32.000-
07-29-11	BNY CONVERGEX 07/26 SALE 58 SHS @ 20.5509 MISC .02 FORTINET INC	085	830	9333	41280-00003 07-29-11		1,191.93	1,179.37- 58.000-
08-03-11	BNY CONVERGEX 07/29 SALE 1 SHS @ 391.4256 MISC .01 APPLE INC	085	830	9333	41285-00001 08-03-11		391.42	20.74- 1.000-
08-08-11	BNY CONVERGEX 08/03 SALE 13 SHS @ 81.4422 MISC .02 WATERS CORP	085	830	9333	41290-00003 08-08-11		1,058.73	1,166.55- 13.000-
08-10-11	CASH IN LIEU OF .986 FRACTIONAL SHARES/PAR AT 25.7100 PER SHARE/BOND TIM PARTICIPACOES SA ADR	085	833	9999	41292-00001 08-10-11		25.35	27.63- .986-
08-15-11	BNY CONVERGEX 08/10 SALE 3 SHS @ 498.0259 MISC .03 PRICELINE.COM INC	085	830	9333	41297-00002 08-15-11		1,494.05	702.01- 3.000-
08-15-11	BNY CONVERGEX 08/10 SALE 38 SHS @ 35.3976 MISC .03 STARBUCKS CORP	085	830	9333	41297-00003 08-15-11		1,345.08	745.96- 38.000-
08-16-11	BNY CONVERGEX 08/11 SALE 24 SHS @ 54.4791 MISC .03 HERBALIFE LTD	085	830	9333	41298-00001 08-16-11		1,307.47	972.41- 24.000-
08-16-11	BNY CONVERGEX 08/11 SALE 4 SHS @ 196.4307 MISC .02 AMAZON COM INC	085	830	9333	41298-00002 08-16-11		785.71	262.76- 4.000-

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BANK     21                 - T R A N S A C T I O N  A N A L Y S I S / S U M M A R Y -          DATE 01/03/12
REGION   80                            09/01/10 THROUGH 08/31/11                                TIME 10:03
OFFICE  640                    ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA MCGR                 PAGE 0021

      -TRANSACTION-                              -CODES-       HISTORY                 - C A S H -
  DATE       DESCRIPTION                       TRAN TAX INDV                      INCOME          PRINCIPAL        INVEST/UNITS

08-17-11 BNY CONVERGEX                         085 830     9333 41299-00001                          848.58            1,108.36-
         08/12 SALE 21 SHS @ 40.4093                             08-17-11                                              21.000-
         MISC .02
         VALEANT PHARMACEUTICALS INTE
         ISIN CA91911K1021 SEDOL B41NYV4

08-23-11 BNY CONVERGEX                         085 830     9333 41305-00001                          897.90            1,131.91-
         08/18 SALE 22 SHS @ 40.8145                             08-23-11                                              22.000-
         MISC .02
         ST JUDE MEDICAL INC

08-24-11 BNY CONVERGEX                         085 830     9333 41306-00001                          726.78               41.47-
         08/19 SALE 2 SHS @ 363.399                              08-24-11                                               2.000-
         MISC .01
         APPLE INC

08-24-11 BNY CONVERGEX                         085 830     9333 41306-00002                          830.78              812.64-
         08/19 SALE 64 SHS @ 12.9812                             08-24-11                                              64.000-
         MISC .02
         BRUKER CORP

08-24-11 BNY CONVERGEX                         085 830     9333 41306-00004                          689.98              794.00-
         08/19 SALE 31 SHS @ 22.258                              08-24-11                                              31.000-
         MISC .01
         TALEO CORP CLASS A

08-25-11 BNY CONVERGEX                         085 830     9333 41307-00001                          742.18            1,080.01-
         08/22 SALE 10 SHS @ 74.2192                             08-25-11                                              10.000-
         MISC .01
         FOSSIL INC
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BANK     21                 - T R A N S A C T I O N   A N A L Y S I S / S U M M A R Y -          DATE 11/08/11
REGION   80                              09/01/10 THROUGH 08/31/11                                TIME 11:02
OFFICE  672                    ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA SCGR                   PAGE 0001

    -TRANSACTION-                              -CODES-      HISTORY                 - C A S H -
  DATE        DESCRIPTION                    TRAN TAX INDV                     INCOME      PRINCIPAL       INVEST/UNITS

09-15-10 BNY CONVERGEX                        085 830    9333 40958-00001                    678.05           501.99-
         09/10 SALE 30 SHS @ 22.6019                          09-15-10                                        30.000-
         MISC .01
         LINCARE HOLDINGS INC

09-16-10 BNY CONVERGEX                        085 830    9333 40959-00001                  1,177.66           934.98-
         09/13 SALE 65 SHS @ 18.1181                          09-16-10                                        65.000-
         MISC .02
         AMERICAN MEDICAL SYS HLDGS

09-30-10 BNY CONVERGEX                        085 830    9333 40973-00002                    810.29           297.55-
         09/27 SALE 30 SHS @ 27.01                            09-30-10                                        30.000-
         MISC .01
         NETEZZA CORP

10-12-10 BNY CONVERGEX                        085 830    9333 40985-00001                    647.80           339.96-
         10/06 SALE 10 SHS @ 64.7807                          10-12-10                                        10.000-
         MISC .01
         QUALITY SYSTEMS INC

10-14-10 BNY CONVERGEX                        085 830    9333 40987-00001                    600.12           458.58-
         10/08 SALE 85 SHS @ 7.0603                           10-14-10                                        85.000-
         MISC .01
         ON SEMICONDUCTOR CORPORATION

10-21-10 BNY CONVERGEX                        085 830    9333 40994-00001                    538.19           198.37-
         10/18 SALE 20 SHS @ 26.91                            10-21-10                                        20.000-
         MISC .01
         NETEZZA CORP

10-25-10 BNY CONVERGEX                        085 830    9333 40998-00003                    417.24           425.95-
         10/20 SALE 20 SHS @ 20.8625                          10-25-10                                        20.000-
         MISC .01
         ICON PUB LTD CO
         SPONSORED ADR

11-12-10 BNY CONVERGEX                        085 830    9333 41016-00001                    568.12           347.01-
         11/08 SALE 30 SHS @ 18.9375                          11-12-10                                        30.000-
         MISC .01
         ALLSCRIPTS HEALTHCARE
         SOLUTIONS INC

11-16-10 BNY CONVERGEX                        085 830    9333 41020-00001                    858.87         1,236.28-
         11/10 SALE 105 SHS @ 8.1799                          11-16-10                                       105.000-
         MISC .01
         DEAN FOODS CO NEW
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BANK 21
REGION 80
OFFICE 672

- TRANSACTION ANALYSIS/SUMMARY -

09/01/10 THROUGH 08/31/11
ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA SCGR

DATE 11/08/11
TIME 11:02

-TRANSACTION-		-CODES-			HISTORY	- C A S H -		
DATE	DESCRIPTION	TRAN	TAX	INDV		INCOME	PRINCIPAL	INVEST/UNITS
12-16-10	BNY CONVERGEX 12/13 SALE 27 SHS @ 15.9869 MISC .01 HUNTSMAN CORP	085	830	9333	41050-00001 12-16-10		431.64	138.67- 27.000-
12-20-10	BNY CONVERGEX 12/15 SALE 10 SHS @ 37.9449 MISC .01 CHOICE HOTELS INTL INC	085	830	9333	41054-00001 12-20-10		379.44	337.10- 10.000-
12-22-10	BNY CONVERGEX 12/17 SALE 41 SHS @ 12.05 MISC .01 CHICOS FAS INC	085	830	9333	41056-00001 12-22-10		494.04	485.26- 41.000-
12-28-10	LONG TERM CAPITAL GAINS DISTRIBUTION PAYABLE 12/21/10 AT .0000 PER SHARE BLACKROCK LIQUIDITY FUNDS TEMPFUND ADMINISTRATION SHARES #H1	081	081	00-0000	41062-00002 12-28-10		.02	
12-29-10	BNY CONVERGEX 12/23 SALE 7 SHS @ 40.7497 LANDSTAR SYS INC	085	830	9333	41063-00001 12-29-10		285.24	233.15- 7.000-
12-31-10	BNY CONVERGEX 12/28 SALE 10 SHS @ 38.9784 MISC .01 CHOICE HOTELS INTL INC	085	830	9333	41065-00001 12-31-10		389.78	337.09- 10.000-
01-13-11	BNY CONVERGEX 01/10 SALE 13 SHS @ 60.7502 MISC .01 ROVI CORP	085	830	9333	41083-00001 01-13-11		789.74	212.09- 13.000-
02-02-11	BNY CONVERGEX 01/28 SALE 5 SHS @ 87.7421 MISC .01 HEARTWARE INTERNATIONAL INC	085	830	9333	41103-00001 02-02-11		438.70	429.47- 5.000-
02-24-11	BNY CONVERGEX 02/18 SALE 60 SHS @ 9.3369 MISC .01 MGIC INVT CORP WIS	085	830	9333	41125-00001 02-24-11		560.20	717.09- 60.000-
03-31-11	BNY CONVERGEX 03/28 SALE 48 SHS @ 25.8234 MISC .02 TIBCO SOFTWARE INC	085	830	9333	41160-00002 03-31-11		1,239.50	369.07- 48.000-

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BANK     21                   - T R A N S A C T I O N  A N A L Y S I S / S U M M A R Y -              DATE 11/08/11
REGION   80                                     09/01/10 THROUGH 08/31/11                              TIME 11:02
OFFICE  672                         ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA SCGR                   PAGE 0003

     -TRANSACTION-                                 -CODES-        HISTORY                   - C A S H -
  DATE        DESCRIPTION                       TRAN TAX INDV                         INCOME         PRINCIPAL        INVEST/UNITS

04-01-11 BNY CONVERGEX                          085 830    9333 41161-00002                             814.86             160.14-
         03/29 SALE 9 SHS @ 90.5418                              04-01-11                                                    9.000-
         MISC .02
         LUFKIN INDS, INC.

04-08-11 BNY CONVERGEX                          085 830    9333 41168-00001                             769.45             645.32-
         04/05 SALE 55 SHS @ 13.9903                             04-08-11                                                   55.000-
         MISC .01
         PINNACLE ENTERTAINMENT INC

04-12-11 BNY CONVERGEX                          085 830    9333 41172-00001                             327.98             120.09-
         04/07 SALE 10 SHS @ 32.7989                             04-12-11                                                   10.000-
         MISC .01
         RIVERBED TECHNOLOGY INC

05-09-11 BNY CONVERGEX                          085 830    9333 41199-00001                           1,271.65           1,303.87-
         05/04 SALE 19 SHS @ 66.9302                             05-09-11                                                   19.000-
         MISC .02
         ALBEMARLE CORP

05-10-11 BNY CONVERGEX                          085 830    9333 41200-00002                             537.83             235.76-
         05/05 SALE 11 SHS @ 48.8945                             05-10-11                                                   11.000-
         MISC .01
         REGENERON PHARMACEUTICALS INC

05-16-11 BNY CONVERGEX                          085 830    9333 41206-00001                           2,752.15             892.34-
         05/11 SALE 45 SHS @ 61.16                               05-16-11                                                   45.000-
         MISC .05
         VARIAN SEMICONDUCTOR EQUIP W/I

05-27-11 BNY CONVERGEX                          085 830    9333 41217-00002                             418.31             508.29-
         05/24 SALE 42 SHS @ 9.96                                05-27-11                                                   42.000-
         MISC .01
         JANUS CAPITAL GROUP INC

06-27-11 BNY CONVERGEX                          085 830    9333 41248-00001                             870.08             617.01-
         06/22 SALE 80 SHS @ 10.8762                             06-27-11                                                   80.000-
         MISC .02
         AMERICAN AXLE & MFG HOLDINGS

07-13-11 BNY CONVERGEX                          085 830    9333 41264-00001                             521.40             130.71-
         07/08 SALE 17 SHS @ 30.671                              07-13-11                                                   17.000-
         MISC .01
         TIBCO SOFTWARE INC

07-18-11 BNY CONVERGEX                          085 830    9333 41269-00001                             997.31             357.61-
         07/13 SALE 17 SHS @ 58.6664                             07-18-11                                                   17.000-
         MISC .02
         INFORMATICA CORP
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BANK 21
REGION 80
OFFICE 672

- T R A N S A C T I O N A N A L Y S I S / S U M M A R Y -

09/01/10 THROUGH 08/31/11
ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA SCGR

-TRANSACTION- DATE	DESCRIPTION	-CODES- TRAN	TAX	INDV	HISTORY	- C A S H - INCOME	PRINCIPAL	INVEST/UNITS
08-03-11	BNY CONVERGEX 07/29 SALE 54 SHS @ 15.0526 MISC .02 PANDORA MEDIA INC	085	830	9333	41285-00001 08-03-11		812.82	863.40- 54.000-
08-04-11	BNY CONVERGEX 08/01 SALE 35 SHS @ 14.3439 MISC .01 REALD INC	085	830	9333	41286-00001 08-04-11		502.03	883.71- 35.000-
08-08-11	BNY CONVERGEX 08/03 SALE 19 SHS @ 48.7722 MISC .02 ANSYS INC	085	830	9333	41290-00001 08-08-11		926.65	456.16- 19.000-
08-08-11	BNY CONVERGEX 08/03 SALE 14 SHS @ 38.2984 MISC .01 TENNECO INC	085	830	9333	41290-00002 08-08-11		536.17	286.34- 14.000-
08-10-11	BNY CONVERGEX 08/05 SALE 13 SHS @ 47.8141 MISC .01 ROVI CORP	085	830	9333	41292-00001 08-10-11		621.57	212.09- 13.000-
08-24-11	PROCEEDS RECEIVED FROM TENDER OF 45.000 SHARES AT 33.0000 EMS TECHNOLOGIES INC	085	830	9999	41306-00001 08-24-11		1,485.00	644.95- 45.000-
08-26-11	PROCEEDS RECEIVED FROM TENDER OF 47.000 SHARES AT 28.0000 RADIANT SYS INC	085	830	9999	41308-00005 08-26-11		1,316.00	731.91- 47.000-

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BANK     21                    - T R A N S A C T I O N   A N A L Y S I S / S U M M A R Y -                DATE 11/08/11
REGION   80                                   09/01/10 THROUGH 08/31/11                                   TIME 11:03
OFFICE  690                     ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA SCVA                          PAGE 0001

    -TRANSACTION-                                       -CODES-        HISTORY                   - C A S H -
  DATE         DESCRIPTION                            TRAN TAX INDV                        INCOME            PRINCIPAL          INVEST/UNITS

09-08-10 BNY CONVERGEX                                085 830     9333 40951-00001                              595.64              485.27-
         09/02 SALE 16 SHS @ 37.2281                                   09-08-10                                                      16.000-
         MISC .01
         GEOEYE INC

11-03-10 BNY CONVERGEX                                085 830     9333 41007-00001                              353.08              666.61-
         10/29 SALE 40 SHS @ 8.8271                                    11-03-10                                                      40.000-
         MISC .01
         SONIC CORP

11-30-10 BNY CONVERGEX                                085 830     9333 41034-00001                              597.75              614.40-
         11/24 SALE 30 SHS @ 19.9253                                   11-30-10                                                      30.000-
         MISC .01
         BRINKER INTERNATIONAL INC

12-13-10 BNY CONVERGEX                                085 830     9333 41047-00004                              928.24              437.55-
         12/08 SALE 15 SHS @ 61.8837                                   12-13-10                                                      15.000-
         MISC .02
         WATSCO INC

12-28-10 LONG TERM CAPITAL GAINS DISTRIBUTION         081 081 00-0000 41062-00001                                  .03
         PAYABLE 12/21/10 AT .0000 PER SHARE                           12-28-10
         BLACKROCK LIQUIDITY FUNDS
         TEMPFUND
         ADMINISTRATION SHARES #H1

12-31-10 BNY CONVERGEX                                085 830     9333 41065-00001                              536.81               87.70-
         12/28 SALE 6 SHS @ 89.47                                      12-31-10                                                       6.000-
         MISC .01
         BUCYRUS INTL INC

01-13-11 BNY CONVERGEX                                085 830     0100 41083-00001                              558.00              349.92-
         01/10 SALE 9 SHS @ 62.0013                                    01-13-11                                                       9.000-
         MISC .01
         TORO CO

01-14-11 BNY CONVERGEX                                085 830     0100 41084-00002                              683.06              427.68-
         01/11 SALE 11 SHS @ 62.0976                                   01-14-11                                                      11.000-
         MISC .01
         TORO CO

04-18-11 BNY CONVERGEX                                085 830     9333 41178-00003                            1,084.88              888.52-
         04/13 SALE 35 SHS @ 30.9972                                   04-18-11                                                      35.000-
         MISC .02
         SRA INTERNATIONAL INC
         CL A
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BANK      21                 - T R A N S A C T I O N   A N A L Y S I S / S U M M A R Y -            DATE 11/08/11
REGION    80                                   09/01/10 THROUGH 08/31/11                             TIME 11:03
OFFICE   690                    ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA SCVA                     PAGE 0002

     -TRANSACTION-                            -CODES-       HISTORY                  - C A S H -
  DATE        DESCRIPTION                   TRAN TAX INDV                        INCOME        PRINCIPAL        INVEST/UNITS

07-12-11 PROCEEDS DUE FROM MANDATORY MERGER OF  085 830     9999 41263-00001                       3,128.00              496.96-
         34.000 SHARES AT 92.0000                                07-12-11                                             34.000-
         BUCYRUS INTL INC
         MERGED 07/11/2011 @ $92.00 P/S
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REPORT:   TMS-TX-23
BANK     21 PNC BANK (21)                           GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
REGION   80 KENTUCKY                      ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA CHAR                        PAGE:          1
OFFICE 001 C & E                                  FROM: 09/01/10 TO: 08/31/11                                    RUN DATE:   01/03/12

                                                                                G A I N / L O S S   I N F O R M A T I O N
 TAX  ------------------ DATES ------------------                                                        FEDERAL        STATE
CODE  OR-OFF SERIAL   SEQ LOT# ACQUIRED    TRADE          UNITS        PROCEEDS       TAX COST             G/L            G/L

  ASSET G1151C101 ACCENTURE PLC CLASS A
                ISIN IE00B4BNMY34 SEDOL B4BNMY3
 830      640  41245-00001 00000 05/17/11 06/21/11         13.000          716.41         733.32          16.91-S          .00
                                                       ----------     -----------    -----------     ------------    ---------
                TOTAL-SHORT                                13.000          716.41         733.32          16.91-           .00

  ASSET G2554F113 COVIDIEN PLC
                ISIN IE00B68SQD29 SEDOL B68SQD2
 830      640  41245-00002 00000 06/13/11 06/21/11         13.000          695.61         695.81            .20-S          .00
                                                       ----------     -----------    -----------     ------------    ---------
                TOTAL-SHORT                                13.000          695.61         695.81            .20-           .00

  ASSET G4412G101 HERBALIFE LTD
 830      640  41245-00003 00000 09/14/10 06/21/11         38.000        2,089.95       1,131.02          958.93 S         .00
 830      640  41298-00001 00000 09/14/10 08/11/11         14.000          762.69         416.69          346.00 S         .00
 830      640  41298-00001 00000 06/15/11 08/11/11         10.000          544.78         544.96            .18-S          .00
                                                       ----------     -----------    -----------     ------------    ---------
                TOTAL-SHORT                                62.000        3,397.42       2,092.67        1,304.75           .00

  ASSET G5876H105 MARVELL TECHNOLOGY GROUP LTD
 830      640  41139-00001 00000 05/07/09 03/07/11        135.000        2,124.90       1,484.82          640.08 L         .00
                                                       ----------     -----------    -----------     ------------    ---------
                TOTAL-LONG                                135.000        2,124.90       1,484.82          640.08           .00

  ASSET G81477104 SINA CORPORATION
 830      640  41235-00001 00000 02/07/11 06/09/11         21.000        1,941.10       1,934.73            6.37 S         .00
                                                       ----------     -----------    -----------     ------------    ---------
                TOTAL-SHORT                                21.000        1,941.10       1,934.73            6.37           .00

  ASSET G94368100 WARNER CHILCOTT PLC CL A
                SEDOL B446CM7
                ISIN IE00B446CM77
 830      640  41020-00001 00000 02/03/10 11/10/10         54.000        1,101.17       1,414.83          313.66-S         .00
                                                       ----------     -----------    -----------     ------------    ---------
                TOTAL-SHORT                                54.000        1,101.17       1,414.83          313.66-          .00

  ASSET H5833N103 NOBLE CORPORATION
                SEDOL: B65Z9D7
                ISIN: CH0033347318
 830      640  41245-00004 00000 03/07/11 06/21/11         17.000          651.08         761.16          110.08-S         .00
 830      640  41266-00001 00000 03/07/11 07/12/11         27.000          985.33       1,208.89          223.56-S         .00
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REPORT:    TMS-TX-23
BANK      21 PNC BANK (21)                                GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
REGION    80 KENTUCKY                          ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA CHAR                         PAGE:            2
OFFICE   001 C & E                                                FROM: 09/01/10 TO: 08/31/11                          RUN DATE:    01/03/12
                                                                                          G A I N / L O S S   I N F O R M A T I O N
TAX   ------------------ DATES -------------------                                                              FEDERAL           STATE
CODE  OR-OFF SERIAL    SEQ LOT#  ACQUIRED    TRADE              UNITS       PROCEEDS       TAX COST           G/L               G/L
   ASSET H5833N103  (CONT'D)
                                                           ----------   ------------   ------------   ------------    ----------
                   TOTAL-SHORT                                44.000       1,636.41       1,970.05        333.64-           .00

   ASSET H8817H100 TRANSOCEAN LTD
                   SEDOL B3KFWW1
                   ISIN CH0048265513
 830      633  41245-00001 00000 06/06/06 06/21/11            45.000       2,761.14       3,587.67        826.53-L          .00
                                                           ----------   ------------   ------------   ------------    ----------
                   TOTAL-LONG                                 45.000       2,761.14       3,587.67        826.53-           .00

   ASSET H89128104 TYCO INTERNATIONAL LTD
                   SEDOL: B64GC98
                   ISIN: CH0100383485
 830      633  41074-00001 00000 08/27/04 12/30/10            72.000       3,010.26       3,605.28        595.02-L          .00
 830      633  41189-00001 00000 08/27/04 04/26/11            64.000       3,136.93       3,204.69         67.76-L          .00
                                                           ----------   ------------   ------------   ------------    ----------
                   TOTAL-LONG                                136.000       6,147.19       6,809.97        662.78-           .00

   ASSET M22465104 CHECK POINT SOFTWARE TECH
                   SEDOL 2181334
                   ISIN IL0010824113
 830      640  41245-00005 00000 11/03/10 06/21/11            32.000       1,742.04       1,368.03        374.01 S          .00
                                                           ----------   ------------   ------------   ------------    ----------
                   TOTAL-SHORT                                32.000       1,742.04       1,368.03        374.01            .00

   ASSET N07059186 ASML HOLDING NV F
                   ISIN USN070591862
 830      640  41238-00001 00000 08/20/10 06/14/11            49.000       1,849.38       1,376.50        472.88 S          .00
 830      640  41245-00006 00000 08/20/10 06/21/11             2.000          71.28          56.18         15.10 S          .00
 830      640  41245-00006 00000 07/19/10 06/21/11            16.000         570.22         495.76         74.46 S          .00
 830      640  41271-00001 00000 07/19/10 07/15/11            29.000         990.39         898.57         91.82 S          .00
                                                           ----------   ------------   ------------   ------------    ----------
                   TOTAL-SHORT                                96.000       3,481.27       2,827.01        654.26            .00

   ASSET N22717107 CORE LABORATORIES N V
 830      640  41009-00001 00000 09/20/10 11/02/10            18.000       1,393.96       1,570.15        176.19-S          .00
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REPORT:   TMS-TX-23
BANK     21 PNC BANK (21)                           GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
REGION   80 KENTUCKY                          ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA CHAR                    PAGE:         3
OFFICE 001 C & E                                        FROM: 09/01/10 TO: 08/31/11                               RUN DATE:    01/03/12
                                                                                G A I N / L O S S   I N F O R M A T I O N
 TAX  ─────────────── DATES ──────────────                                                                FEDERAL          STATE
CODE  OR-OFF SERIAL   SEQ LOT# ACQUIRED     TRADE         UNITS         PROCEEDS        TAX COST            G/L              G/L
   ASSET N22717107  (CONT'D)
                                                    ____________   ____________   ____________   ____________   ____________
                    TOTAL-SHORT                           18.000        1,393.96       1,570.15        176.19-            .00

   ASSET 000375204 ABB LTD
                   SPONSORED ADR
 830       640  41033-00002 00000 08/03/09 11/23/10       70.000        1,375.06       1,310.57         64.49 L           .00
 830       640  41033-00002 00000 01/11/10 11/23/10       11.000          216.08         225.54          9.46-S           .00
 830       640  41082-00001 00000 01/11/10 01/07/11      124.000        2,722.60       2,542.49        180.11 S           .00
                                                    ____________   ____________   ____________   ____________   ____________
                    TOTAL-SHORT                          205.000        4,313.74       4,078.60        170.65             .00
                    TOTAL-LONG                                                                          64.49             .00

   ASSET 002896207 ABERCROMBIE & FITCH CO
                   CL A
 830       640  41210-00002 00000 11/17/09 05/17/11       31.000        2,232.54       1,292.66        939.88 L           .00
 830       640  41245-00007 00000 11/17/09 06/21/11        4.000          271.99         166.79        105.20 L           .00
 830       640  41245-00007 00000 03/03/11 06/21/11        9.000          611.99         533.53         78.46 S           .00
                                                    ____________   ____________   ____________   ____________   ____________
                    TOTAL-SHORT                           44.000        3,116.52       1,992.98         78.46             .00
                    TOTAL-LONG                                                                       1,045.08             .00

   ASSET 00846U101 AGILENT TECHNOLOGIES (IPO)
 830       633  41245-00003 00000 02/20/09 06/21/11       80.000        3,978.34       1,219.77      2,758.57 L           .00
 830       633  41245-00003 00000 08/01/08 06/21/11      120.000        5,967.51       4,313.27      1,654.24 L           .00
 830       633  41245-00003 00000 01/15/08 06/21/11       30.000        1,491.88       1,079.94        411.94 L           .00
 830       640  41245-00008 00000 05/05/11 06/21/11       15.000          747.73         738.36          9.37 S           .00
                                                    ____________   ____________   ____________   ____________   ____________
                    TOTAL-SHORT                          245.000       12,185.46       7,351.34          9.37             .00
                    TOTAL-LONG                                                                       4,824.75             .00

   ASSET 008916108 AGRIUM INC
                   SEDOL 2015530
                   ISIN CA0089161081
 830       640  41245-00009 00000 05/27/09 06/21/11       15.000        1,268.82         763.33        505.49 L           .00
                                                    ____________   ____________   ____________   ____________   ____________
                    TOTAL-LONG                            15.000        1,268.82         763.33        505.49             .00

   ASSET 012653101 ALBEMARLE CORP
 830       672  41199-00001 00000 04/26/11 05/04/11       19.000        1,271.65       1,303.87         32.22-S           .00
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REPORT:   TMS-TX-23
BANK      21 PNC BANK (21)                           GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
REGION    80 KENTUCKY                          ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA CHAR                    PAGE:            4
OFFICE 001 C & E                                          FROM: 09/01/10 TO: 08/31/11                           RUN DATE:     01/03/12
                                                                                   G A I N / L O S S   I N F O R M A T I O N
 TAX  ------------------ DATES ------------------                                                          FEDERAL          STATE
CODE  OR-OFF SERIAL   SEQ LOT# ACQUIRED     TRADE        UNITS        PROCEEDS        TAX COST              G/L              G/L
   ASSET 012653101   (CONT'D)
                                                       ------------  ------------  ------------  ------------  ------------
                       TOTAL-SHORT                          19.000      1,271.65      1,303.87        32.22-           .00

   ASSET 016255101 ALIGN TECHNOLOGY INC
 830      640  41005-00001 00000 05/19/10 10/27/10          84.000      1,421.17      1,414.80         6.37 S          .00
                                                       ------------  ------------  ------------  ------------  ------------
                       TOTAL-SHORT                          84.000      1,421.17      1,414.80         6.37            .00

   ASSET 01988P108 ALLSCRIPTS HEALTHCARE
                   SOLUTIONS INC
 830      672  41016-00001 00000 08/04/06 11/08/10          12.000        227.25        142.13        85.12 L          .00
 830      672  41016-00001 00000 09/08/06 11/08/10          18.000        340.87        247.82        93.05 L          .00
                                                       ------------  ------------  ------------  ------------  ------------
                       TOTAL-LONG                           30.000        568.12        389.95       178.17            .00

   ASSET 021441100 ALTERA CORP
 830      640  41245-00010 00000 05/06/08 06/21/11          18.000        789.28        395.74       393.54 L          .00
                                                       ------------  ------------  ------------  ------------  ------------
                       TOTAL-LONG                           18.000        789.28        395.74       393.54            .00

   ASSET 023135106 AMAZON COM INC
 830      640  41222-00002 00000 04/27/07 05/26/11          10.000      1,923.83        621.13     1,302.70 L          .00
 830      640  41245-00011 00000 04/27/07 06/21/11           2.000        387.43        124.23       263.20 L          .00
 830      640  41245-00011 00000 03/11/08 06/21/11          15.000      2,905.74        984.83     1,920.91 L          .00
 830      640  41245-00011 00000 05/29/07 06/21/11           2.000        387.43        137.82       249.61 L          .00
 830      640  41298-00002 00000 05/29/07 08/11/11           4.000        785.71        275.65       510.06 L          .00
                                                       ------------  ------------  ------------  ------------  ------------
                       TOTAL-LONG                           33.000      6,390.14      2,143.66     4,246.48            .00

   ASSET 024061103 AMERICAN AXLE & MFG HOLDINGS
 830      672  41248-00001 00000 12/08/09 06/22/11          80.000        870.08        617.01       253.07 L          .00
                                                       ------------  ------------  ------------  ------------  ------------
                       TOTAL-LONG                           80.000        870.08        617.01       253.07            .00

   ASSET 025816109 AMERICAN EXPRESS CO
 830      633  41245-00004 00000 12/30/10 06/21/11          50.000      2,448.45      2,138.00       310.45 S          .00
 830      633  41245-00004 00000 08/27/04 06/21/11         500.000     24,484.53     21,872.27     2,612.26 L          .00
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REPORT:   TMS-TX-23
BANK     21 PNC BANK (21)                                GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
REGION   80 KENTUCKY                               ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA CHAR                          PAGE:            5
OFFICE  001 C & E                                              FROM: 09/01/10 TO: 08/31/11                                  RUN DATE:    01/03/12
                                                                                        G A I N / L O S S   I N F O R M A T I O N
 TAX   ------------------ DATES ------------------                                                                      FEDERAL           STATE
CODE  OR-OFF SERIAL    SEQ LOT#  ACQUIRED     TRADE              UNITS          PROCEEDS          TAX COST            G/L               G/L
   ASSET 025816109  (CONT'D)

                            TOTAL-SHORT                        550.000         26,932.98         24,010.27            310.45               .00
                            TOTAL-LONG                                                                               2,612.26               .00

   ASSET 02744M108 AMERICAN MEDICAL SYS HLDGS
                   MERGED 06/17/11 @ $30.00 P/S
 830     672  40959-00001 00000 11/08/07 09/13/10               25.000            452.95            350.52            102.43 L             .00
 830     672  40959-00001 00000 12/26/07 09/13/10               40.000            724.71            595.36            129.35 L             .00

                            TOTAL-LONG                          65.000          1,177.66            945.88            231.78               .00

   ASSET 03076C106 AMERIPRISE FINANCIAL INC
 830     633  41074-00003 00000 08/27/04 12/30/10               31.000          1,797.96            978.90            819.06 L             .00
 830     633  41245-00005 00000 08/27/04 06/21/11               33.000          1,885.58          1,042.05            843.53 L             .00
 830     633  41245-00005 00000 11/08/05 06/21/11               46.000          2,628.39          1,699.70            928.69 L             .00

                            TOTAL-LONG                         110.000          6,311.93          3,720.65          2,591.28               .00

   ASSET 032095101 AMPHENOL CORP NEW
                   CL A
 830     640  40959-00001 00000 06/11/08 09/13/10               23.000          1,070.17          1,088.26             18.09-L             .00
 830     640  41110-00001 00000 06/11/08 02/04/11               30.000          1,714.34          1,419.47            294.87 L             .00

                            TOTAL-LONG                          53.000          2,784.51          2,507.73            276.78               .00

   ASSET 03485P201 ANGLO AMERICAN PLC
                   UNSP ADR
 830     640  41140-00001 00000 07/15/09 03/08/11              109.000          2,832.68          1,628.49          1,204.19 L             .00

                            TOTAL-LONG                         109.000          2,832.68          1,628.49          1,204.19               .00

   ASSET 03662Q105 ANSYS INC
 830     640  40981-00001 00000 05/09/08 10/05/10               45.000          1,915.55          1,936.42             20.87-L             .00
 830     672  41290-00001 00000 06/06/06 08/03/11               15.000            731.57            353.27            378.30 L             .00
 830     672  41290-00001 00000 08/04/06 08/03/11                4.000            195.08             98.77             96.31 L             .00

                            TOTAL-LONG                          64.000          2,842.20          2,388.46            453.74               .00

   ASSET 037411105 APACHE CORPORATION
 830     640  41245-00012 00000 02/17/10 06/21/11               11.000          1,306.66          1,139.72            166.94 L             .00
 830     640  41245-00012 00000 11/06/07 06/21/11                6.000            712.73            626.86             85.87 L             .00
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REPORT:    TMS-TX-23
BANK      21 PNC BANK (21)                          GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
REGION   80 KENTUCKY                       ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA CHAR                          PAGE:          6
OFFICE  001 C & E                                          FROM: 09/01/10 TO: 08/31/11                            RUN DATE:    01/03/12

                                                                                    G A I N / L O S S   I N F O R M A T I O N
TAX  ------------------ DATES ------------------                                                              FEDERAL           STATE
CODE  OR-OFF SERIAL   SEQ LOT#  ACQUIRED    TRADE            UNITS       PROCEEDS      TAX COST         G/L               G/L
  ASSET 037411105   (CONT'D)
                                                         ----------    ----------    ----------    ----------       ----------
                  TOTAL-LONG                                 17.000      2,019.39      1,766.58        252.81              .00

  ASSET 037833100 APPLE INC
830      640  41245-00013 00000 10/28/04 06/21/11            14.000      4,533.11        363.42      4,169.69 L            .00
830      640  41285-00001 00000 10/28/04 07/29/11             1.000        391.42         25.96        365.46 L            .00
830      640  41306-00001 00000 10/28/04 08/19/11             2.000        726.78         51.92        674.86 L            .00
                                                         ----------    ----------    ----------    ----------       ----------
                  TOTAL-LONG                                 17.000      5,651.31        441.30      5,210.01              .00

  ASSET 042068106 ARM HOLDINGS PLC
                   SPONSORED ADR
830      640  41245-00014 00000 08/30/10 06/21/11            37.000      1,024.51        592.40        432.11 S            .00
                                                         ----------    ----------    ----------    ----------       ----------
                  TOTAL-SHORT                                37.000      1,024.51        592.40        432.11              .00

  ASSET 04314H709 ARTISAN MID CAP VALUE FUND
                   FD #464
831      000  41152-00001 00000 12/30/08 03/22/11            95.082      2,049.97      1,206.59        843.38 L            .00
831      000  41152-00001 00000 12/19/07 03/22/11           368.740      7,950.03      6,666.83      1,283.20 L            .00
                                                         ----------    ----------    ----------    ----------       ----------
                  TOTAL-LONG                                463.822     10,000.00      7,873.42      2,126.58              .00

  ASSET 04743P108 ATHEROS COMMUNICATIONS
                   MERGED 05/24/11 @ $45.00 P/S
830      640  41057-00001 00000 08/26/10 12/20/10            54.000      1,885.10      1,423.58        461.52 S            .00
                                                         ----------    ----------    ----------    ----------       ----------
                  TOTAL-SHORT                                54.000      1,885.10      1,423.58        461.52              .00

  ASSET 050095108 ATWOOD OCEANICS INC
830      640  40957-00002 00000 10/23/09 09/09/10            37.000        976.88      1,455.77        478.89-S            .00
                                                         ----------    ----------    ----------    ----------       ----------
                  TOTAL-SHORT                                37.000        976.88      1,455.77        478.89-             .00

  ASSET 052769106 AUTODESK INC
830      640  41245-00015 00000 12/15/10 06/21/11            38.000      1,386.21      1,455.37         69.16-S            .00
830      640  41259-00001 00000 12/15/10 07/05/11             9.000        348.29        344.69          3.60 S            .00
830      640  41259-00001 00000 01/28/11 07/05/11            19.000        735.27        757.23         21.96-S            .00
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REPORT:   TMS-TX-23
BANK     21 PNC BANK (21)                          GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
REGION   80 KENTUCKY                      ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA CHAR                        PAGE:          7
OFFICE  001 C & E                                  FROM: 09/01/10 TO: 08/31/11                                  RUN DATE:     01/03/12

                                                                                 G A I N / L O S S   I N F O R M A T I O N
TAX  ------------------- DATES ---------------------                                                           FEDERAL          STATE
CODE  OR-OFF SERIAL    SEQ LOT#  ACQUIRED    TRADE           UNITS          PROCEEDS          TAX COST            G/L             G/L
  ASSET 052769106  (CONT'D)                                ___________     ___________     ___________     ___________     ___________

                  TOTAL-SHORT                                66.000        2,469.77        2,557.29          87.52-             .00

  ASSET 054303102 AVON PRODUCTS INC
830       640  41020-00002 00000 12/23/08 11/10/10           42.000        1,197.65          962.38         235.27 L            .00
830       640  41020-00002 00000 08/03/10 11/10/10           12.000          342.19          378.47          36.28-S            .00
830       640  41063-00001 00000 08/03/10 12/23/10           21.000          610.28          662.32          52.04-S            .00
830       640  41063-00001 00000 01/20/10 12/23/10           35.000        1,017.13        1,126.88         109.75-S            .00
                                                           ___________     ___________     ___________     ___________     ___________
                  TOTAL-SHORT                               110.000        3,167.25        3,130.05         198.07-             .00
                  TOTAL-LONG                                                                                235.27              .00

  ASSET 05615F102 BABCOCK & WILCOX CO
830       640  41151-00002 00000 01/20/10 03/17/11           30.000          902.57          689.89         212.68 L            .00
                                                           ___________     ___________     ___________     ___________     ___________
                  TOTAL-LONG                                 30.000          902.57          689.89         212.68              .00

  ASSET 056752108 BAIDU INC
830       640  41245-00016 00000 02/24/09 06/21/11           14.000        1,757.24          195.72       1,561.52 L            .00
                                                           ___________     ___________     ___________     ___________     ___________
                  TOTAL-LONG                                 14.000        1,757.24          195.72       1,561.52              .00

  ASSET 057224107 BAKER HUGHES INC
830       640  41245-00017 00000 05/05/11 06/21/11           11.000          775.59          781.00           5.41-S            .00
                                                           ___________     ___________     ___________     ___________     ___________
                 ,TOTAL-SHORT                                11.000          775.59          781.00           5.41-             .00

  ASSET 064058100 BANK NEW YORK MELLON CORP COM
830       633  41074-00004 00000 06/08/10 12/30/10           25.000          756.49          629.99         126.50 S            .00
830       633  41074-00004 00000 10/07/10 12/30/10           60.000        1,815.56        1,593.79         221.77 S            .00
830       633  41245-00006 00000 10/07/10 06/21/11           60.000        1,568.38        1,593.79          25.41-S            .00
830       633  41245-00006 00000 12/17/09 06/21/11          160.000        4,182.35        4,286.03         103.68-L            .00
830       633  41245-00006 00000 11/18/08 06/21/11          145.000        3,790.25        3,915.85         125.60-L            .00
                                                           ___________     ___________     ___________     ___________     ___________
                  TOTAL-SHORT                               450.000       12,113.03       12,019.45         322.86              .00
                  TOTAL-LONG                                                                                229.28-             .00

  ASSET 067901108 BARRICK GOLD CORP
                  SEDOL 2024677
                  ISIN CA0679011084
830       640  41245-00018 00000 03/16/09 06/21/11           25.000        1,099.72          742.05         357.67 L            .00
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REPORT:   TMS-TX-23
BANK     21 PNC BANK (21)                              GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
REGION   80 KENTUCKY                              ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA CHAR                          PAGE:          8
OFFICE 001 C & E                                           FROM: 09/01/10 TO: 08/31/11                                RUN DATE:    01/03/12
                                                                                     G A I N / L O S S   I N F O R M A T I O N
 TAX  ──────────────── DATES ────────────────                                                                 FEDERAL          STATE
CODE  OR-OFF SERIAL   SEQ LOT#  ACQUIRED    TRADE          UNITS        PROCEEDS        TAX COST              G/L              G/L
   ASSET 067901108  (CONT'D)                           ──────────    ──────────     ──────────       ──────────       ──────────
                     TOTAL-LONG                             25.000       1,099.72         742.05           357.67              .00

   ASSET 068278100 BARON ASSET FUND
                    FD #585
831      000  41129-00001 00000 03/15/07 02/25/11          711.476      41,550.20      43,072.77        1,522.57-L             .00
831      000  41129-00001 00000 12/21/07 02/25/11           58.928       3,441.40       3,741.36          299.96-L             .00
                                                       ──────────    ──────────     ──────────       ──────────       ──────────
                     TOTAL-LONG                            770.404      44,991.60      46,814.13        1,822.53-              .00

   ASSET 071813109 BAXTER INTERNATIONAL INC
830      633  41245-00007 00000 07/29/10 06/21/11           70.000       4,206.91       3,113.31        1,093.60 S             .00
                                                       ──────────    ──────────     ──────────       ──────────       ──────────
                     TOTAL-SHORT                            70.000       4,206.91       3,113.31        1,093.60               .00

   ASSET 075887109 BECTON DICKINSON & CO
830      633  41245-00008 00000 06/08/09 06/21/11           39.000       3,354.71       2,612.35          742.36 L             .00
                                                       ──────────    ──────────     ──────────       ──────────       ──────────
                     TOTAL-LONG                             39.000       3,354.71       2,612.35          742.36               .00

   ASSET 075896100 BED BATH AND BEYOND
830      633  41245-00009 00000 02/01/08 06/21/11           86.000       4,648.23       2,765.13        1,883.10 L             .00
830      633  41245-00009 00000 10/26/09 06/21/11           53.000       2,864.60       1,921.13          943.47 L             .00
                                                       ──────────    ──────────     ──────────       ──────────       ──────────
                     TOTAL-LONG                            139.000       7,512.83       4,686.26        2,826.57               .00

   ASSET 084670702 BERKSHIRE HATHAWAY INC
                    CLASS B
830      633  41074-00005 00000 08/27/04 12/30/10          188.000      14,960.82      10,828.80        4,132.02 L             .00
830      633  41245-00010 00000 08/27/04 06/21/11          195.000      14,854.85      11,232.00        3,622.85 L             .00
                                                       ──────────    ──────────     ──────────       ──────────       ──────────
                     TOTAL-LONG                            383.000      29,815.67      22,060.80        7,754.87               .00

   ASSET 091929760 BLACKROCK FDS
                    US OPPORTUNITIES PORTFOLIO
                    FUND 339 INSTITUTIONAL CLASS
831      000  41152-00002 00000 02/25/11 03/22/11          235.350      10,000.00      10,169.48          169.48-S             .00
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REPORT:    TMS-TX-23
BANK      21 PNC BANK (21)                   GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
REGION   80 KENTUCKY               ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA CHAR                          PAGE:          9
OFFICE 001 C & E                                FROM: 09/01/10 TO: 08/31/11                               RUN DATE:    01/03/12
                                                                                 G A I N / L O S S   I N F O R M A T I O N
 TAX  ------------------- DATES ------------------                                                          FEDERAL          STATE
CODE  OR-OFF SERIAL   SEQ LOT# ACQUIRED     TRADE          UNITS       PROCEEDS        TAX COST          G/L              G/L
   ASSET 091929760  (CONT'D)
                                                      ____________  ___________  ______________  ___________  ______________
                    TOTAL-SHORT                          235.350      10,000.00       10,169.48      169.48-             .00

   ASSET 09251M504 BLACKROCK FUNDS
                   EQUITY DIVIDEND INSTITUTIONAL
                   CLASS FUND 383
 831      000   40965-00001 00000 12/30/08 09/21/10    2,030.769      33,000.00       26,562.46     6,437.54 L            .00
                                                      ____________  ___________  ______________  ___________  ______________
                    TOTAL-LONG                         2,030.769      33,000.00       26,562.46     6,437.54              .00

   ASSET 109641100 BRINKER INTERNATIONAL INC
 830      690   41034-00001 00000 09/02/04 11/24/10       30.000         597.75          614.40        16.65-L            .00
                                                      ____________  ___________  ______________  ___________  ______________
                    TOTAL-LONG                            30.000         597.75          614.40        16.65-             .00

   ASSET 116794108 BRUKER CORP
 830      640   41083-00003 00000 06/10/10 01/10/11       99.000       1,685.29        1,247.88       437.41 S            .00
 830      640   41245-00019 00000 06/10/10 06/21/11       15.000         277.34          189.07        88.27 L            .00
 830      640   41245-00019 00000 07/29/10 06/21/11       29.000         536.20          371.52       164.68 S            .00
 830      640   41306-00002 00000 07/29/10 08/19/11       64.000         830.78          819.92        10.86 L            .00
                                                      ____________  ___________  ______________  ___________  ______________
                    TOTAL-SHORT                          207.000       3,329.61        2,628.39       602.09              .00
                    TOTAL-LONG                                                                      99.13              .00

   ASSET 118759109 BUCYRUS INTL INC
                   MERGED 07/11/2011 @ $92.00 P/S
 830      640   41005-00002 00000 05/21/10 10/27/10       29.000       1,914.18        1,448.23       465.95 S            .00
 830      690   41065-00001 00000 09/22/05 12/28/10        6.000         536.81          135.21       401.60 L            .00
 830      690   41263-00001 00000 09/22/05 07/12/11       34.000       3,128.00          766.19     2,361.81 L            .00
                                                      ____________  ___________  ______________  ___________  ______________
                    TOTAL-SHORT                           69.000       5,578.99        2,349.63       465.95              .00
                    TOTAL-LONG                                                                    2,763.41              .00

   ASSET 12572Q105 CME GROUP INC
                   A DERIVATIVIES EXCHANGE
 830      640   41234-00001 00000 11/13/08 06/08/11        7.000       1,842.23        1,534.90       307.33 L            .00
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REPORT:    TMS-TX-23
BANK     21 PNC BANK (21)                              GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
REGION   80 KENTUCKY                         ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA CHAR                         PAGE:           10
OFFICE 001 C & E                                           FROM: 09/01/10 TO: 08/31/11                               RUN DATE:     01/03/12
                                                                                    G A I N / L O S S   I N F O R M A T I O N
 TAX  ------------------ DATES ------------------                                                             FEDERAL          STATE
CODE  OR-OFF SERIAL    SEQ LOT#  ACQUIRED     TRADE          UNITS       PROCEEDS       TAX COST          G/L              G/L
   ASSET 12572Q105  (CONT'D)
                                                          ___________  ___________  ___________  ___________  ___________
                   TOTAL-LONG                                  7.000     1,842.23     1,534.90       307.33              .00

   ASSET 126132109 CNOOC LTD
                   SPON ADR
 830     640  41231-00001 00000 08/30/10 06/07/11            2.000       482.90       341.42       141.48 S            .00
 830     640  41234-00002 00000 08/30/10 06/08/11            6.000     1,446.83     1,024.24       422.59 S            .00
 830     640  41234-00002 00000 10/04/10 06/08/11            2.000       482.27       406.40        75.87 S            .00
 830     640  41245-00020 00000 10/04/10 06/21/11            5.000     1,167.92     1,016.01       151.91 S            .00
 830     640  41259-00002 00000 10/04/10 07/05/11            1.000       236.08       203.20        32.88 S            .00
 830     640  41259-00002 00000 11/09/10 07/05/11            7.000     1,652.58     1,602.52        50.06 S            .00
                                                          ___________  ___________  ___________  ___________  ___________
                   TOTAL-SHORT                                23.000     5,468.58     4,593.79       874.79              .00

   ASSET 126650100 CVS CAREMARK CORPORATION
 830     633  41074-00006 00000 12/01/08 12/30/10           70.000     2,449.95     1,948.63       501.32 L            .00
 830     633  41245-00011 00000 12/01/08 06/21/11           57.000     2,155.79     1,586.74       569.05 L            .00
 830     633  41245-00011 00000 08/19/10 06/21/11           65.000     2,458.36     1,868.75       589.61 S            .00
 830     633  41245-00011 00000 06/11/10 06/21/11          140.000     5,294.92     4,402.62       892.30 L            .00
 830     633  41245-00011 00000 12/14/05 06/21/11          228.000     8,623.15     7,285.08     1,338.07 L            .00
                                                          ___________  ___________  ___________  ___________  ___________
                   TOTAL-SHORT                               560.000    20,982.17    17,091.82       589.61              .00
                   TOTAL-LONG                                                                    3,300.74              .00

   ASSET 136385101 CANADIAN NATURAL RESOURCES
                   SEDOL 2171573
                   ISIN CA1363851017
 830     633  41245-00012 00000 12/17/07 06/21/11          310.000    12,272.72    10,654.39     1,618.33 L            .00
                                                          ___________  ___________  ___________  ___________  ___________
                   TOTAL-LONG                                310.000    12,272.72    10,654.39     1,618.33              .00

   ASSET 143130102 CARMAX INC
 830     640  41103-00002 00000 06/28/10 01/28/11           67.000     2,196.17     1,427.17       769.00 S            .00
                                                          ___________  ___________  ___________  ___________  ___________
                   TOTAL-SHORT                                67.000     2,196.17     1,427.17       769.00              .00

   ASSET 156782104 CERNER CORP
 830     640  41245-00021 00000 05/10/11 06/21/11            7.000       829.90       836.48         6.58-S            .00
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REPORT:   TMS-TX-23
BANK      21 PNC BANK (21)                    GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
REGION    80 KENTUCKY                     ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA CHAR                PAGE:          11
OFFICE   001 C & E                                FROM: 09/01/10 TO: 08/31/11                          RUN DATE:     01/03/12
                                                                          G A I N / L O S S   I N F O R M A T I O N
 TAX  ------------------ DATES ------------------                                                     FEDERAL          STATE
 CODE  OR-OFF SERIAL    SEQ LOT#  ACQUIRED     TRADE        UNITS        PROCEEDS        TAX COST          G/L              G/L
   ASSET 156782104  (CONT'D)
                                                         ----------     ----------     ----------     ----------     ----------
                    TOTAL-SHORT                               7.000         829.90         836.48          6.58-            .00

   ASSET 165167107 CHESAPEAKE ENERGY CORP
 830       640  41245-00022 00000 05/11/11 06/21/11          24.000         689.26         742.85         53.59-S           .00
                                                         ----------     ----------     ----------     ----------     ----------
                    TOTAL-SHORT                              24.000         689.26         742.85         53.59-            .00

   ASSET 168615102 CHICOS FAS INC
 830       672  41056-00001 00000 08/18/10 12/17/10          41.000         494.04         374.71        119.33 S           .00
                                                         ----------     ----------     ----------     ----------     ----------
                    TOTAL-SHORT                              41.000         494.04         374.71        119.33             .00

   ASSET 169905106 CHOICE HOTELS INTL INC
 830       672  41054-00001 00000 08/28/09 12/15/10          10.000         379.44         302.49         76.95 L           .00
 830       672  41065-00001 00000 04/13/10 12/28/10          10.000         389.78         371.70         18.08 S           .00
                                                         ----------     ----------     ----------     ----------     ----------
                    TOTAL-SHORT                              20.000         769.22         674.19         18.08             .00
                    TOTAL-LONG                                                                            76.95             .00

   ASSET 17275R102 CISCO SYSTEMS INC
 830       640  41027-00001 00000 12/13/06 11/18/10          19.000         371.45         514.65        143.20-L           .00
 830       640  41027-00001 00000 12/12/06 11/18/10          50.000         977.48       1,358.07        380.59-L           .00
 830       640  41027-00001 00000 01/16/07 11/18/10          10.000         195.50         283.00         87.50-L           .00
 830       640  41215-00001 00000 01/16/07 05/20/11          81.000       1,339.67       2,292.30        952.63-L           .00
                                                         ----------     ----------     ----------     ----------     ----------
                    TOTAL-LONG                              160.000       2,884.10       4,448.02      1,563.92-            .00

   ASSET 172755100 CIRRUS LOGIC INC
 830       640  41185-00001 00000 08/23/10 04/19/11          83.000       1,264.58       1,431.54        166.96-S           .00
                                                         ----------     ----------     ----------     ----------     ----------
                    TOTAL-SHORT                              83.000       1,264.58       1,431.54        166.96-            .00

   ASSET 18683K101 CLIFFS NATURAL RESOURCES INC
 830       640  41245-00023 00000 08/31/10 06/21/11          10.000         843.78         612.42        231.36 S           .00
                                                         ----------     ----------     ----------     ----------     ----------
                    TOTAL-SHORT                              10.000         843.78         612.42        231.36             .00

   ASSET 189754104 COACH INC
 830       640  41164-00002 00000 10/31/08 03/30/11          11.000         575.35         225.24        350.11 L           .00
 830       640  41164-00002 00000 04/28/08 03/30/11          32.000       1,673.74       1,125.90        547.84 L           .00
 830       640  41245-00024 00000 04/28/08 06/21/11          17.000       1,036.47         598.13        438.34 L           .00
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                                                                            G A I N / L O S S   I N F O R M A T I O N
 TAX   ───────────────── DATES ─────────────────                                                       FEDERAL            STATE
 CODE  OR-OFF SERIAL     SEQ LOT# ACQUIRED    TRADE       UNITS        PROCEEDS        TAX COST          G/L               G/L

                        TOTAL-LONG                        60.000        3,285.56        1,949.27        1,336.29             .00

  ASSET 191216100 COCA COLA CO
 830      633  41245-00013 00000 05/25/10 06/21/11        62.000        4,081.39        3,116.28          965.11 L           .00
 830      633  41245-00013 00000 03/18/10 06/21/11        32.000        2,106.52        1,721.44          385.08 L           .00
 830      633  41245-00013 00000 02/04/10 06/21/11        11.000          724.12          599.43          124.69 L           .00

                        TOTAL-LONG                       105.000        6,912.03        5,437.15        1,474.88             .00

  ASSET 192446102 COGNIZANT TECHNOLOGY SOLUTIONS
 830      640  41201-00001 00000 10/21/09 05/06/11        22.000        1,681.66          915.15          766.51 L           .00
 830      640  41244-00001 00000 10/21/09 06/20/11        24.000        1,630.24          998.35          631.89 L           .00

                        TOTAL-LONG                        46.000        3,311.90        1,913.50        1,398.40             .00

  ASSET 20441W203 COMPANHIA DE BEBIDAS
                       PR ADR
 830      640  41160-00001 00000 01/27/11 03/28/11        65.000        1,740.98        1,819.47           78.49-S           .00
 833      640  41234-00003 00000 02/01/11 06/13/11        67.000             .82             .00             .82 S           .00
 830      640  41245-00025 00000 01/27/11 06/21/11         5.000          161.35          139.96           21.39 S           .00
 830      640  41245-00025 00000 01/12/11 06/21/11        21.000          677.65          614.95           62.70 S           .00

                        TOTAL-SHORT                      158.000        2,580.80        2,574.38            6.42             .00

  ASSET 20453E109 COMPLETE PRODTN SERVICES
 830      640  41245-00026 00000 11/23/10 06/21/11        24.000          742.30          664.88           77.42 S           .00

                        TOTAL-SHORT                       24.000          742.30          664.88           77.42             .00

  ASSET 22160K105 COSTCO WHOLESALE CORP
 830      633  41074-00009 00000 08/27/04 12/30/10        63.000        4,593.25        2,651.10        1,942.15 L           .00
 830      633  41245-00014 00000 08/27/04 06/21/11       355.000       28,733.25       14,938.75       13,794.50 L           .00

                        TOTAL-LONG                       418.000       33,326.50       17,589.85       15,736.65             .00

  ASSET 231021106 CUMMINS INC
 830      640  41009-00002 00000 10/08/09 11/02/10        30.000        2,667.72        1,416.32        1,251.40 L           .00
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GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA CHAR
FROM: 09/01/10 TO: 08/31/11

TAX CODE	OR-OFF SERIAL	SEQ LOT#	DATES ACQUIRED	DATES TRADE	UNITS	GAIN/LOSS INFORMATION PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L
ASSET 231021106	(CONT'D)								
	TOTAL-LONG				30.000	2,667.72	1,416.32	1,251.40	.00
ASSET 242370104	DEAN FOODS CO NEW								
830	672 41020-00001	00000	07/20/10	11/10/10	55.000	449.88	639.29	189.41-S	.00
830	672 41020-00001	00000	07/21/10	11/10/10	50.000	408.99	596.99	188.00-S	.00
	TOTAL-SHORT				105.000	858.87	1,236.28	377.41-	.00
ASSET 243537107	DECKERS OUTDOOR CORP								
830	640 41245-00027	00000	03/23/11	06/21/11	9.000	751.21	719.71	31.50 S	.00
	TOTAL-SHORT				9.000	751.21	719.71	31.50	.00
ASSET 25179M103	DEVON ENERGY CORP NEW								
830	633 41074-00010	00000	08/27/04	12/30/10	130.000	10,187.97	4,227.60	5,960.37 L	.00
830	633 41245-00015	00000	08/27/04	06/21/11	163.000	12,682.83	5,300.76	7,382.07 L	.00
	TOTAL-LONG				293.000	22,870.80	9,528.36	13,342.44	.00
ASSET 25243Q205	DIAGEO PLC SPONSORED ADR								
830	633 41074-00011	00000	08/27/04	12/30/10	44.000	3,265.18	2,184.60	1,080.58 L	.00
830	633 41245-00016	00000	08/27/04	06/21/11	89.000	7,280.06	4,418.85	2,861.21 L	.00
	TOTAL-LONG				133.000	10,545.24	6,603.45	3,941.79	.00
ASSET 254687106	DISNEY WALT CO								
830	633 40985-00001	00000	12/22/09	10/06/10	215.000	7,215.32	6,956.45	258.87 S	.00
	TOTAL-SHORT				215.000	7,215.32	6,956.45	258.87	.00
ASSET 260003108	DOVER CORP								
830	640 41245-00028	00000	05/04/10	06/21/11	24.000	1,539.33	1,240.39	298.94 L	.00
	TOTAL-LONG				24.000	1,539.33	1,240.39	298.94	.00
ASSET 268648102	EMC CORP								
830	640 41245-00029	00000	07/01/10	06/21/11	39.000	1,044.39	710.73	333.66 S	.00

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REPORT:    TMS-TX-23
BANK     21 PNC BANK (21)                          GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
REGION   80 KENTUCKY                      ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA CHAR                    PAGE:          14
OFFICE 001 C & E                                        FROM: 09/01/10 TO: 08/31/11                          RUN DATE:    01/03/12
                                                                                  G A I N / L O S S   I N F O R M A T I O N
 TAX  ------------------- DATES --------------------                                                        FEDERAL        STATE
CODE  OR-OFF SERIAL    SEQ LOT#  ACQUIRED      TRADE            UNITS        PROCEEDS        TAX COST          G/L            G/L
   ASSET 268648102   (CONT'D)
                                                          ____________  ____________  ____________  ____________  ____________
                     TOTAL-SHORT                               39.000       1,044.39        710.73        333.66            .00

   ASSET 26873N108 EMS TECHNOLOGIES INC
                   MERGED 08/22/11
                   @ $33.00 P/S
 830       672  41306-00001 00000 01/08/10 08/24/11            20.000         660.00        284.80        375.20 L          .00
 830       672  41306-00001 00000 12/30/09 08/24/11            25.000         825.00        360.15        464.85 L          .00
                                                          ____________  ____________  ____________  ____________  ____________
                     TOTAL-LONG                                45.000       1,485.00        644.95        840.05            .00

   ASSET 26875P101 EOG RES INC
 830       633  41074-00012 00000 06/16/03 12/30/10            23.000       2,106.76        483.35      1,623.41 L          .00
 830       633  41245-00017 00000 06/16/03 06/21/11           175.000      17,741.20      3,677.62     14,063.58 L          .00
                                                          ____________  ____________  ____________  ____________  ____________
                     TOTAL-LONG                               198.000      19,847.96      4,160.97     15,686.99            .00

   ASSET 26884L109 EQT CORPORATION
 830       640  41245-00030 00000 03/21/11 06/21/11            15.000         761.38        714.12         47.26 S          .00
                                                          ____________  ____________  ____________  ____________  ____________
                     TOTAL-SHORT                               15.000         761.38        714.12         47.26            .00

   ASSET 278058102 EATON CORP
 830       640  41238-00002 00000 04/13/10 06/14/11            46.000       2,178.14      1,822.74        355.40 L          .00
 830       640  41245-00031 00000 04/13/10 06/21/11             6.000         291.71        237.75         53.96 L          .00
 830       640  41245-00031 00000 11/03/10 06/21/11            16.000         777.90        727.91         49.99 S          .00
                                                          ____________  ____________  ____________  ____________  ____________
                     TOTAL-SHORT                               68.000       3,247.75      2,788.40         49.99            .00
                     TOTAL-LONG                                                                           409.36            .00

   ASSET 30212P105 EXPEDIA INC
                   EXCH 12/21/11 SEE 30212P303 &
                   896945201
 830       640  41125-00001 00000 10/07/09 02/18/11            58.000       1,212.44      1,396.97        184.53-L          .00
 830       640  41125-00001 00000 08/09/10 02/18/11            67.000       1,400.58      1,659.50        258.92-S          .00
                                                          ____________  ____________  ____________  ____________  ____________
                     TOTAL-SHORT                              125.000       2,613.02      3,056.47        258.92-           .00
                     TOTAL-LONG                                                                           184.53-           .00

   ASSET 302182100 EXPRESS SCRIPTS INC
 830       633  41245-00018 00000 02/21/08 06/21/11            88.000       4,773.92      2,865.11      1,908.81 L          .00
 830       633  41245-00018 00000 07/09/10 06/21/11            95.000       5,153.67      4,441.25        712.42 S          .00
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REPORT:    TMS-TX-23
BANK      21 PNC BANK (21)                   GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
REGION    80 KENTUCKY                   ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA CHAR                     PAGE:          15
OFFICE 001 C & E                                 FROM: 09/01/10 TO: 08/31/11                            RUN DATE:     01/03/12
                                                                                G A I N / L O S S   I N F O R M A T I O N
TAX   ----------------- DATES -------------------                                                           FEDERAL          STATE
CODE  OR-OFF SERIAL    SEQ LOT#  ACQUIRED     TRADE         UNITS       PROCEEDS       TAX COST               G/L              G/L
                                                         ----------   ------------   ------------   ------------   ------------
                     TOTAL-SHORT                          183.000       9,927.59       7,306.36         712.42             .00
                     TOTAL-LONG                                                                       1,908.81             .00

 ASSET 315616102 F5 NETWORKS INC
830      640  41103-00003 00000 01/27/10 01/28/11          13.000       1,414.87         670.67         744.20 L           .00
830      640  41152-00001 00000 01/27/10 03/18/11          14.000       1,399.26         722.27         676.99 L           .00
                                                         ----------   ------------   ------------   ------------   ------------
                     TOTAL-LONG                            27.000       2,814.13       1,392.94       1,421.19             .00

 ASSET 336433107 FIRST SOLAR INC
830      640  41208-00002 00000 11/19/07 05/13/11          10.000       1,256.67       2,153.95         897.28-L           .00
                                                         ----------   ------------   ------------   ------------   ------------
                     TOTAL-LONG                            10.000       1,256.67       2,153.95         897.28-            .00

 ASSET 343412102 FLUOR CORP
830      640  41245-00032 00000 06/30/10 06/21/11          25.000       1,558.47       1,077.76         480.71 S           .00
                                                         ----------   ------------   ------------   ------------   ------------
                     TOTAL-SHORT                           25.000       1,558.47       1,077.76         480.71             .00

 ASSET 34959E109 FORTINET INC
830      640  41245-00033 00000 02/09/11 06/21/11          38.000         929.08         772.69         156.39 S           .00
830      640  41280-00003 00000 02/09/11 07/26/11          58.000       1,191.93       1,179.37          12.56 S           .00
                                                         ----------   ------------   ------------   ------------   ------------
                     TOTAL-SHORT                           96.000       2,121.01       1,952.06         168.95             .00

 ASSET 349882100 FOSSIL INC
830      640  41245-00034 00000 06/14/11 06/21/11           8.000         901.50         864.00          37.50 S           .00
830      640  41307-00001 00000 06/14/11 08/22/11          10.000         742.18       1,080.01         337.83-S           .00
                                                         ----------   ------------   ------------   ------------   ------------
                     TOTAL-SHORT                           18.000       1,643.68       1,944.01         300.33-            .00

 ASSET 354613101 FRANKLIN RESOURCES INC
830      640  41245-00035 00000 08/20/09 06/21/11           6.000         758.26         556.14         202.12 L           .00
                                                         ----------   ------------   ------------   ------------   ------------
                     TOTAL-LONG                             6.000         758.26         556.14         202.12             .00

 ASSET 35671D857 FREEPORT MCMORAN COPPER & GOLD
                INC
830      640  41245-00036 00000 10/06/10 06/21/11          15.000         733.48         700.49          32.99 S           .00
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REPORT:    TMS-TX-23
BANK     21 PNC BANK (21)                         GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
REGION  80 KENTUCKY                        ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA CHAR                         PAGE:          16
OFFICE 001 C & E                                      FROM: 09/01/10 TO: 08/31/11                              RUN DATE:     01/03/12
                                                                                    G A I N / L O S S   I N F O R M A T I O N
 TAX  ------------------- DATES --------------------                                                          FEDERAL            STATE
CODE  OR-OFF SERIAL    SEQ LOT# ACQUIRED     TRADE           UNITS        PROCEEDS        TAX COST            G/L               G/L
   ASSET 35671D857  (CONT'D)
                                                         ----------     ----------      ----------       ----------       ----------
                   TOTAL-SHORT                             15.000          733.48          700.49            32.99              .00

   ASSET 35914P105 FRONTIER OIL CORP
                   MERGED 07/01/2011
                   SEE 436106108
 830      640  41245-00037 00000 02/18/11 06/21/11          29.000          925.37          814.97           110.40 S            .00
                                                         ----------     ----------      ----------       ----------       ----------
                   TOTAL-SHORT                             29.000          925.37          814.97           110.40              .00

   ASSET 36866T103 GEN PROBE INC
 830      640  41245-00038 00000 10/12/07 06/21/11          12.000          824.86          854.67            29.81-L            .00
 830      640  41276-00001 00000 10/12/07 07/20/11          18.000        1,133.29        1,282.01           148.72-L            .00
                                                         ----------     ----------      ----------       ----------       ----------
                   TOTAL-LONG                              30.000        1,958.15        2,136.68           178.53-             .00

   ASSET 37250W108 GEOEYE INC
 830      690  40951-00001 00000 05/26/10 09/02/10          16.000          595.64          485.27           110.37 S            .00
                                                         ----------     ----------      ----------       ----------       ----------
                   TOTAL-SHORT                             16.000          595.64          485.27           110.37              .00

   ASSET 38259P508 GOOGLE INC-CL A
 830      633  41245-00019 00000 10/16/08 06/21/11           2.000          983.08          681.19           301.89 L            .00
 830      633  41245-00019 00000 08/31/09 06/21/11           6.000        2,949.24        2,757.84           191.40 L            .00
 830      640  41245-00039 00000 03/22/05 06/21/11           3.000        1,479.03          542.08           936.95 L            .00
 830      640  41245-00039 00000 10/31/05 06/21/11           3.000        1,479.03        1,121.70           357.33 L            .00
                                                         ----------     ----------      ----------       ----------       ----------
                   TOTAL-LONG                              14.000        6,890.38        5,102.81         1,787.57              .00

   ASSET 384313102 GRAFTECH INTERNATIONAL LTD
 830      640  41137-00003 00000 08/14/09 03/03/11         110.000        2,195.06        1,659.95           535.11 L            .00
                                                         ----------     ----------      ----------       ----------       ----------
                   TOTAL-LONG                             110.000        2,195.06        1,659.95           535.11              .00

   ASSET 40049J206 GRUPO TELEVISA SA DE CV
                   SPONSORED ADR REPSTG ORD PARTN
                   CTF
 830      633  41245-00020 00000 11/20/08 06/21/11          28.000          650.71          384.79           265.92 L            .00
 830      633  41245-00020 00000 02/07/08 06/21/11         132.000        3,067.64        2,733.81           333.83 L            .00
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REPORT: TMS-TX-23
BANK 21 PNC BANK (21)
REGION 80 KENTUCKY
OFFICE 001 C & E

GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA CHAR
FROM: 09/01/10 TO: 08/31/11

TAX CODE	OR-OFF	SERIAL	SEQ LOT#	DATES ACQUIRED	TRADE	UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L
ASSET 40049J206 (CONT'D)										
TOTAL-LONG						160.000	3,718.35	3,118.60	599.75	.00
ASSET 401617105 GUESS INC										
830	640	40944-00004	00000	12/28/07	08/27/10	51.000	1,707.74	2,008.31	300.57-L	.00
TOTAL-LONG						51.000	1,707.74	2,008.31	300.57-	.00
ASSET 406216101 HALLIBURTON CO										
830	640	41016-00001	00000	06/17/09	11/08/10	41.000	1,349.50	894.11	455.39 L	.00
830	640	41016-00001	00000	08/19/10	11/08/10	50.000	1,645.74	1,412.79	232.95 S	.00
830	640	41016-00001	00000	03/27/08	11/08/10	46.000	1,514.08	1,816.14	302.06-L	.00
TOTAL-SHORT						137.000	4,509.32	4,123.04	232.95	.00
TOTAL-LONG									153.33	.00
ASSET 411310105 HANSEN NATURAL CORP										
830	640	41245-00040	00000	09/08/10	06/21/11	14.000	1,049.41	661.23	388.18 S	.00
TOTAL-SHORT						14.000	1,049.41	661.23	388.18	.00
ASSET 411511306 HARBOR INTERNATIONAL FUND CLASS INS										
831	000	41064-00001	00000	08/11/05	12/29/10	801.110	48,531.23	37,884.49	10,646.74 L	.00
831	000	41064-00001	00000	09/03/09	12/29/10	651.515	39,468.77	31,709.24	7,759.53 L	.00
TOTAL-LONG						1,452.625	88,000.00	69,593.73	18,406.27	.00
ASSET 412822108 HARLEY DAVIDSON INC										
830	633	41245-00021	00000	10/19/05	06/21/11	130.000	4,895.73	6,269.91	1,374.18-L	.00
830	633	41245-00021	00000	06/03/05	06/21/11	55.000	2,071.27	2,653.51	582.24-L	.00
TOTAL-LONG						185.000	6,967.00	8,923.42	1,956.42-	.00
ASSET 422368100 HEARTWARE INTERNATIONAL INC										
830	672	41103-00001	00000	12/10/10	01/28/11	5.000	438.70	429.47	9.23 S	.00

		DATES			GAIN / LOSS INFORMATION				
TAX CODE	OR-OFF SERIAL	SEQ LOT#	ACQUIRED	TRADE	UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L
ASSET 422368100 (CONT'D)									
TOTAL-SHORT					5.000	438.70	429.47	9.23	.00
ASSET 423452101 HELMERICH & PAYNE INC									
830	640 41200-00005	00000	10/28/10	05/05/11	40.000	2,329.27	1,709.64	619.63 S	.00
830	640 41245-00041	00000	02/22/11	06/21/11	19.000	1,153.84	1,184.68	30.84-S	.00
TOTAL-SHORT					59.000	3,483.11	2,894.32	588.79	.00
ASSET 428236103 HEWLETT-PACKARD CO									
830	633 41074-00013	00000	10/24/08	12/30/10	65.000	2,755.30	2,112.97	642.33 L	.00
830	633 41245-00022	00000	10/24/08	06/21/11	90.000	3,192.28	2,925.66	266.62 L	.00
830	633 41245-00022	00000	01/15/08	06/21/11	28.000	993.15	1,263.75	270.60-L	.00
TOTAL-LONG					183.000	6,940.73	6,302.38	638.35	.00
ASSET 436106108 HOLLYFRONTIER CORP									
833	640 41264-00001	00000	02/18/11	07/13/11	.206	14.81	12.03	2.78 S	.00
TOTAL-SHORT					.206	14.81	12.03	2.78	.00
ASSET 447011107 HUNTSMAN CORP									
830	672 41050-00001	00000	12/17/08	12/13/10	5.000	79.93	15.14	64.79 L	.00
830	672 41050-00001	00000	03/18/09	12/13/10	22.000	351.71	69.02	282.69 L	.00
TOTAL-LONG					27.000	431.64	84.16	347.48	.00
ASSET 45103T107 ICON PUB LTD CO SPONSORED ADR									
830	672 40998-00003	00000	01/17/07	10/20/10	5.000	104.31	99.97	4.34 L	.00
830	672 40998-00003	00000	12/08/08	10/20/10	15.000	312.93	334.79	21.86-L	.00
TOTAL-LONG					20.000	417.24	434.76	17.52-	.00
ASSET 452327109 ILLUMINA INC									
830	640 41245-00042	00000	03/15/10	06/21/11	16.000	1,179.17	645.83	533.34 L	.00

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REPORT:   TMS-TX-23
BANK     21 PNC BANK (21)                          GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
REGION   80 KENTUCKY                       ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA CHAR                    PAGE:         19
OFFICE 001 C & E                                    FROM: 09/01/10 TO: 08/31/11                                 RUN DATE:     01/03/12
                                                                                      G A I N / L O S S   I N F O R M A T I O N
TAX   ----------------- DATES -----------------                                                              FEDERAL              STATE
CODE  OR-OFF SERIAL    SEQ LOT#  ACQUIRED    TRADE          UNITS         PROCEEDS        TAX COST            G/L                 G/L
  ASSET 452327109  (CONT'D)
                                                         ----------      ----------      ----------      ----------        ----------
                  TOTAL-LONG                                16.000        1,179.17          645.83          533.34               .00

  ASSET 45245E109 IMAX CORP
                 ISIN CA45245E1097 SEDOL 2473859
830     640  41245-00043 00000 05/18/10 06/21/11            31.000          926.57          612.85          313.72 L             .00
830     640  41269-00002 00000 05/18/10 07/13/11            46.000        1,299.63          909.38          390.25 L             .00
                                                         ----------      ----------      ----------      ----------        ----------
                  TOTAL-LONG                                77.000        2,226.20        1,522.23          703.97               .00

  ASSET 45666Q102 INFORMATICA CORP
830     640  41245-00044 00000 05/19/11 06/21/11            16.000          903.18          879.75           23.43 S             .00
830     672  41269-00001 00000 03/20/09 07/13/11            17.000          997.31          238.03          759.28 L             .00
                                                         ----------      ----------      ----------      ----------        ----------
                  TOTAL-SHORT                               33.000        1,900.49        1,117.78           23.43               .00
                  TOTAL-LONG                                                                              759.28               .00

  ASSET 456788108 INFOSYS LTD SPONSORED
                 ADR REPSTG 1/4
830     640  41109-00001 00000 04/20/10 02/03/11            25.000        1,708.87        1,554.96          153.91 S             .00
                                                         ----------      ----------      ----------      ----------        ----------
                  TOTAL-SHORT                               25.000        1,708.87        1,554.96          153.91               .00

  ASSET 458140100 INTEL CORP
830     640  41245-00045 00000 08/18/10 06/21/11            41.000          881.48          805.38           76.10 S             .00
                                                         ----------      ----------      ----------      ----------        ----------
                  TOTAL-SHORT                               41.000          881.48          805.38           76.10               .00

  ASSET 45865V100 INTERCONTINENTAL EXCHANGE INC
830     640  41245-00046 00000 02/25/09 06/21/11             9.000        1,096.53          528.91          567.62 L             .00
                                                         ----------      ----------      ----------      ----------        ----------
                  TOTAL-LONG                                 9.000        1,096.53          528.91          567.62               .00

  ASSET 46120E602 INTUITIVE SURGICAL INC
830     640  41009-00003 00000 03/11/08 11/02/10             9.000        2,389.75        2,387.08            2.67 L             .00
830     640  41245-00047 00000 01/28/11 06/21/11             6.000        2,112.01        1,967.67          144.34 S             .00
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                                                                     G A I N / L O S S   I N F O R M A T I O N
TAX  ------------------ DATES ------------------                                                 FEDERAL         STATE
CODE  OR-OFF SERIAL   SEQ LOT#  ACQUIRED    TRADE        UNITS       PROCEEDS      TAX COST         G/L             G/L
   ASSET 46120E602  (CONT'D)
                                                     -----------  -----------  -----------  -----------     -----------
                         TOTAL-SHORT                      15.000     4,501.76     4,354.75       144.34             .00
                         TOTAL-LONG                                                                2.67             .00

   ASSET 461202103 INTUIT SOFTWARE
 830      640  41245-00048 00000 11/01/10 06/21/11        14.000       703.62       676.66        26.96 S           .00
                                                     -----------  -----------  -----------  -----------     -----------
                         TOTAL-SHORT                      14.000       703.62       676.66        26.96             .00

   ASSET 462846106 IRON MTN INC PA
 830      633  41074-00014 00000 02/01/05 12/30/10        66.000     1,645.35     1,234.92       410.43 L           .00
 830      633  41245-00023 00000 02/01/05 06/21/11        76.000     2,512.53     1,422.03     1,090.50 L           .00
 830      633  41245-00023 00000 11/22/04 06/21/11       329.000    10,876.59     6,697.79     4,178.80 L           .00
                                                     -----------  -----------  -----------  -----------     -----------
                         TOTAL-LONG                      471.000    15,034.47     9,354.74     5,679.73             .00

   ASSET 465741106 ITRON, INC.
 830      640  41054-00002 00000 08/04/10 12/15/10        25.000     1,384.48     1,527.90       143.42-S           .00
                                                     -----------  -----------  -----------  -----------     -----------
                         TOTAL-SHORT                      25.000     1,384.48     1,527.90       143.42-            .00

   ASSET 466313103  JABIL CIRCUIT
 830      640  41245-00049 00000 10/14/09 06/21/11        38.000       708.30       583.70       124.60 L           .00
 830      640  41262-00002 00000 10/14/09 07/06/11        57.000     1,165.19       875.54       289.65 L           .00
                                                     -----------  -----------  -----------  -----------     -----------
                         TOTAL-LONG                       95.000     1,873.49     1,459.24       414.25             .00

   ASSET 47102X105 JANUS CAPITAL GROUP INC
 830      672  41217-00002 00000 03/29/11 05/24/11        12.000       119.52       144.95        25.43-S           .00
 830      672  41217-00002 00000 03/21/11 05/24/11        30.000       298.79       363.34        64.55-S           .00
                                                     -----------  -----------  -----------  -----------     -----------
                         TOTAL-SHORT                      42.000       418.31       508.29        89.98-            .00

   ASSET 478160104 JOHNSON & JOHNSON
 830      633  41245-00024 00000 03/05/09 06/21/11        60.000     3,981.53     2,871.36     1,110.17 L           .00
 830      633  41245-00024 00000 06/14/10 06/21/11        48.000     3,185.23     2,819.92       365.31 L           .00
 830      633  41245-00024 00000 08/31/09 06/21/11        60.000     3,981.54     3,620.40       361.14 L           .00
 830      633  41245-00024 00000 09/24/09 06/21/11        10.000       663.59       607.44        56.15 L           .00
 830      633  41245-00024 00000 10/21/08 06/21/11        32.000     2,123.48     2,043.56        79.92 L           .00
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REPORT:    TMS-TX-23
BANK      21 PNC BANK (21)                    GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
REGION    80 KENTUCKY               ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA CHAR                          PAGE:          21
OFFICE 001 C & E                              FROM: 09/01/10 TO: 08/31/11                                 RUN DATE:      01/03/12
                                                                                      G A I N / L O S S   I N F O R M A T I O N
TAX   ------------------- DATES -------------------                                                          FEDERAL            STATE
CODE  OR-OFF SERIAL    SEQ LOT#  ACQUIRED    TRADE           UNITS        PROCEEDS        TAX COST              G/L                G/L
  ASSET 478160104   (CONT'D)

                    TOTAL-LONG                            210.000       13,935.37       11,962.68          1,972.69               .00

  ASSET 482480100 KLA TENCOR CORP
830     640  41243-00001 00000 02/18/11 06/17/11          41.000        1,562.18        2,036.07            473.89-S              .00
                    TOTAL-SHORT                            41.000        1,562.18        2,036.07            473.89-              .00

  ASSET 500458401 KOMATSU LTD
                  SPON ADR
830     640  41245-00050 00000 11/09/10 06/21/11          51.000        1,535.58        1,430.06            105.52 S              .00
                    TOTAL-SHORT                            51.000        1,535.58        1,430.06            105.52               .00

  ASSET 50075N104 KRAFT FOODS INC - A
                  SEDOL 2764296 ISIN US50075N1046
830     633  41245-00025 00000 02/14/11 06/21/11         100.000        3,481.95        3,055.72            426.23 S              .00
                    TOTAL-SHORT                           100.000        3,481.95        3,055.72            426.23               .00

  ASSET 50540R409 LABORATORY CORP OF AMERICA HLDG
830     640  41245-00051 00000 04/05/11 06/21/11           9.000          875.41          845.17             30.24 S              .00
                    TOTAL-SHORT                             9.000          875.41          845.17             30.24               .00

  ASSET 515098101 LANDSTAR SYS INC
830     672  41063-00001 00000 03/30/05 12/23/10           7.000          285.24          234.23             51.01 L              .00
                    TOTAL-LONG                              7.000          285.24          234.23             51.01               .00

  ASSET 532791100 LINCARE HOLDINGS INC
830     672  40958-00001 00000 10/13/08 09/10/10          30.000          678.05          535.42            142.63 L              .00
                    TOTAL-LONG                             30.000          678.05          535.42            142.63               .00

  ASSET 535678106 LINEAR TECHNOLOGY CORP
830     640  40998-00003 00000 05/08/08 10/20/10          54.000        1,644.41        1,954.61            310.20-L              .00
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REPORT:   TMS-TX-23
BANK     21 PNC BANK (21)                      GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
REGION   80 KENTUCKY                     ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA CHAR                          PAGE:          22
OFFICE  001 C & E                                 FROM: 09/01/10 TO: 08/31/11                                  RUN DATE:    01/03/12
                                                                                  G A I N / L O S S   I N F O R M A T I O N
 TAX  ──────────────── DATES ────────────────                                                           FEDERAL         STATE
CODE  OR-OFF SERIAL   SEQ LOT#  ACQUIRED    TRADE          UNITS        PROCEEDS        TAX COST          G/L            G/L
   ASSET 535678106  (CONT'D)
                                                       ──────────    ──────────     ──────────     ──────────     ──────────
                      TOTAL-LONG                           54.000        1,644.41       1,954.61        310.20-           .00

   ASSET 539830109 LOCKHEED MARTIN CORP
 830      633  41245-00027 00000 11/11/10 06/21/11         49.000        3,946.38       3,441.78        504.60 S          .00
                                                       ──────────    ──────────     ──────────     ──────────     ──────────
                      TOTAL-SHORT                          49.000        3,946.38       3,441.78        504.60            .00

   ASSET 540424108 LOEWS CORPORATION
 830      633  41245-00028 00000 12/30/10 06/21/11         50.000        2,054.47       1,954.00        100.47 S          .00
 830      633  41245-00028 00000 06/18/08 06/21/11        310.000       12,737.71      15,564.54      2,826.83-L          .00
                                                       ──────────    ──────────     ──────────     ──────────     ──────────
                      TOTAL-SHORT                         360.000       14,792.18      17,518.54        100.47            .00
                      TOTAL-LONG                                                                      2,826.83-           .00

   ASSET 54318P108 LONGTOP FINL TECHNOLOGIES LTD
                   ADR
                   ISIN US54318P1084 SEDOL B28G1Z5
 830      640  41101-00001 00000 03/09/10 01/26/11         44.000        1,411.03       1,596.47        185.44-S          .00
                                                       ──────────    ──────────     ──────────     ──────────     ──────────
                      TOTAL-SHORT                          44.000        1,411.03       1,596.47        185.44-           .00

   ASSET 549764108 LUFKIN INDS, INC.
 830      672  41161-00002 00000 03/10/09 03/29/11          9.000          814.86         136.76        678.10 L          .00
                                                       ──────────    ──────────     ──────────     ──────────     ──────────
                      TOTAL-LONG                            9.000          814.86         136.76        678.10            .00

   ASSET 550021109 LULULEMON ATHLETICA INC
 830      640  41123-00002 00000 01/21/10 02/16/11         48.000        3,941.43       1,514.45      2,426.98 L          .00
                                                       ──────────    ──────────     ──────────     ──────────     ──────────
                      TOTAL-LONG                           48.000        3,941.43       1,514.45      2,426.98            .00

   ASSET 552848103 MGIC INVT CORP WIS
 830      672  41125-00001 00000 04/22/10 02/18/11         60.000          560.20         717.09        156.89-S          .00
                                                       ──────────    ──────────     ──────────     ──────────     ──────────
                      TOTAL-SHORT                          60.000          560.20         717.09        156.89-           .00

   ASSET 552983678 MFS CORE GROWTH FUND
                   MERGED 8/26/2011
                   SEE 552985863
 831      000  40965-00002 00000 03/10/09 09/21/10        903.316       14,895.68      10,153.27      4,742.41 L          .00
 831      000  40965-00002 00000 12/30/08 09/21/10      3,099.110       51,104.32      39,947.53     11,156.79 L          .00
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                                                                          G A I N / L O S S  I N F O R M A T I O N
 TAX  ------------------ DATES ------------------                                                          FEDERAL          STATE
CODE  OR-OFF SERIAL      SEQ LOT# ACQUIRED   TRADE            UNITS        PROCEEDS        TAX COST          G/L             G/L
 831    000  40970-00002 00000 12/30/08 09/24/10         3,121.249       52,000.00       40,232.90     11,767.10 L          .00
 831    000  41064-00003 00000 12/30/08 12/29/10         2,400.436       44,000.00       30,941.62     13,058.38 L          .00
 831    000  41083-00001 00000 12/30/08 01/12/11        22,798.910      424,743.70      293,877.95    130,865.75 L          .00
                                                       ------------    ------------    ------------   ------------     ---------
                      TOTAL-LONG                        32,323.021      586,743.70      415,153.27    171,590.43            .00

  ASSET 580037109 MC DERMOTT INTERNATIONAL INC
 830        640  41237-00003 00000 01/20/10 06/13/11            61.000        1,092.93          765.79        327.14 L          .00
                                                       ------------    ------------    ------------   ------------     ---------
                      TOTAL-LONG                            61.000        1,092.93          765.79        327.14            .00

  ASSET 582839106 MEAD JOHNSON NUTRITION CO
 830        640  41245-00052 00000 06/02/11 06/21/11            12.000          785.86          809.14         23.28-S          .00
                                                       ------------    ------------    ------------   ------------     ---------
                      TOTAL-SHORT                           12.000          785.86          809.14         23.28-           .00

  ASSET 585055106 MEDTRONIC INC
 830        640  40946-00002 00000 02/11/10 08/31/10            33.000        1,037.81        1,392.56        354.75-S          .00
                                                       ------------    ------------    ------------   ------------     ---------
                      TOTAL-SHORT                           33.000        1,037.81        1,392.56        354.75-           .00

  ASSET 585464100 MELCO CROWN ENTERTAINMENT LTD
                  ADR ISIN US5854641009
                  SEDOL B1KYHF2
 830        640  41166-00001 00000 11/24/10 04/01/11           283.000        2,198.16        1,767.53        430.63 S          .00
                                                       ------------    ------------    ------------   ------------     ---------
                      TOTAL-SHORT                          283.000        2,198.16        1,767.53        430.63            .00

  ASSET 58733R102 MERCADOLIBRE INC
 830        640  41245-00053 00000 10/08/09 06/21/11            14.000        1,080.63          581.90        498.73 L          .00
                                                       ------------    ------------    ------------   ------------     ---------
                      TOTAL-LONG                            14.000        1,080.63          581.90        498.73            .00

  ASSET 58933Y105 MERCK & CO INC
 830        633  41074-00016 00000 11/07/08 12/30/10            77.000        2,779.65        1,138.07      1,641.58 L          .00
 830        633  41245-00029 00000 11/07/08 06/21/11           154.796        5,523.18        2,287.90      3,235.28 L          .00
 830        633  41245-00029 00000 02/27/09 06/21/11            69.204        2,469.23        1,209.51      1,259.72 L          .00
 830        633  41245-00029 00000 03/18/09 06/21/11           160.000        5,708.86        4,262.43      1,446.43 L          .00
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REPORT:    TMS-TX-23
BANK      21 PNC BANK (21)                            GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
REGION    80 KENTUCKY                       ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA CHAR                         PAGE:          24
OFFICE   001 C & E                                        FROM: 09/01/10 TO: 08/31/11                           RUN DATE:     01/03/12
                                                                                         G A I N / L O S S   I N F O R M A T I O N
TAX   ───────────────── DATES ──────────────────                                                                  FEDERAL        STATE
CODE  OR-OFF SERIAL    SEQ LOT# ACQUIRED     TRADE         UNITS        PROCEEDS        TAX COST          G/L             G/L
   ASSET 58933Y105   (CONT'D)
                                                          ────────    ──────────      ──────────       ──────────      ──────────
                   TOTAL-LONG                              461.000     16,480.92        8,897.91         7,583.01             .00

   ASSET 594918104 MICROSOFT CORP
830      633  41074-00017 00000 03/27/06 12/30/10          140.000      3,914.48        3,799.60           114.88 L           .00
830      633  41245-00030 00000 03/27/06 06/21/11           26.000        641.93          705.64            63.71-L           .00
830      633  41245-00030 00000 12/23/03 06/21/11          100.000      2,468.97        2,719.00           250.03-L           .00
830      633  41245-00030 00000 08/03/05 06/21/11           19.000        469.11          518.32            49.21-L           .00
                                                          ────────    ──────────      ──────────       ──────────      ──────────
                   TOTAL-LONG                              285.000      7,494.49        7,742.56           248.07-            .00

   ASSET 61166W101 MONSANTO CO
830      633  41245-00031 00000 12/30/10 06/21/11           78.000      5,229.01        5,382.00           152.99-S           .00
                                                          ────────    ──────────      ──────────       ──────────      ──────────
                   TOTAL-SHORT                              78.000      5,229.01        5,382.00           152.99-            .00

   ASSET 615369105 MOODY'S CORP
830      633  41112-00001 00000 03/28/03 02/08/11          151.000      4,526.42        3,508.48         1,017.94 L           .00
830      633  41112-00001 00000 08/19/03 02/08/11           80.000      2,398.11        2,080.00           318.11 L           .00
830      640  41245-00054 00000 06/10/11 06/21/11           18.000        682.00          744.57            62.57-S           .00
                                                          ────────    ──────────      ──────────       ──────────      ──────────
                   TOTAL-SHORT                             249.000      7,606.53        6,333.05            62.57-            .00
                   TOTAL-LONG                                                                           1,336.05              .00

   ASSET 62913F201 NII HOLDINGS INC
                   CL B
830      640  41153-00002 00000 01/27/10 03/21/11           41.000      1,585.96        1,398.16           187.80 L           .00
                                                          ────────    ──────────      ──────────       ──────────      ──────────
                   TOTAL-LONG                               41.000      1,585.96        1,398.16           187.80             .00

   ASSET 637071101 NATIONAL OILWELL VARCO INC
830      640  41245-00056 00000 11/03/08 06/21/11            9.000        641.69          274.94           366.75 L           .00
830      640  41245-00056 00000 05/09/07 06/21/11           10.000        712.98          442.75           270.23 L           .00
                                                          ────────    ──────────      ──────────       ──────────      ──────────
                   TOTAL-LONG                               19.000      1,354.67          717.69           636.98             .00

   ASSET 64110D104 NETAPP INC
830      640  41125-00004 00000 06/17/08 02/18/11           41.000      2,163.08          984.00         1,179.08 L           .00
830      640  41147-00001 00000 06/17/08 03/15/11           39.000      1,819.06          936.00           883.06 L           .00
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REPORT:    TMS-TX-23
BANK     21 PNC BANK (21)                          GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
REGION   80 KENTUCKY                         ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA CHAR                            PAGE:            25
OFFICE 001 C & E                                          FROM: 09/01/10 TO: 08/31/11                                RUN DATE:    01/03/12

                                                                                    G A I N / L O S S  I N F O R M A T I O N
TAX   ──────────────── DATES ───────────────────                                                       FEDERAL             STATE
CODE  OR-OFF SERIAL   SEQ LOT# ACQUIRED      TRADE            UNITS       PROCEEDS        TAX COST         G/L                G/L
   ASSET 64110D104  (CONT'D)
                                                      ___________    ___________    ___________    ___________    ___________
                  TOTAL-LONG                               80.000       3,982.14       1,920.00       2,062.14             .00

   ASSET 64110L106 NETFLIX INC
830       640  41245-00057 00000 08/27/10 06/21/11           5.000       1,265.57         633.96         631.61 S            .00
                                                      ___________    ___________    ___________    ___________    ___________
                  TOTAL-SHORT                               5.000       1,265.57         633.96         631.61             .00

   ASSET 64111N101 NETEZZA CORP
                 MERGED 11/10/10 @ $27.00 P/S
830       672  40973-00002 00000 01/15/08 09/27/10          30.000         810.29         286.35         523.94 L            .00
830       672  40994-00001 00000 01/15/08 10/18/10           5.000         134.55          47.72          86.83 L            .00
830       672  40994-00001 00000 01/09/08 10/18/10          15.000         403.64         197.41         206.23 L            .00
                                                      ___________    ___________    ___________    ___________    ___________
                  TOTAL-LONG                               50.000       1,348.48         531.48         817.00             .00

   ASSET 64118B100 NETLOGIC MICROSYSTEMS INC
830       640  41245-00058 00000 03/22/11 06/21/11          19.000         714.00         741.05          27.05-S            .00
                                                      ___________    ___________    ___________    ___________    ___________
                  TOTAL-SHORT                              19.000         714.00         741.05          27.05-            .00

   ASSET 647581107 NEW ORIENTAL ED & TECHNOLOGY
                 GROUP INC
                 SPONSORED ADR
830       640  41019-00003 00000 07/31/09 11/09/10          18.000       1,886.68       1,325.96         560.72 L            .00
                                                      ___________    ___________    ___________    ___________    ___________
                  TOTAL-LONG                               18.000       1,886.68       1,325.96         560.72             .00

   ASSET 65248E104 NEWS CORPORATION CL A
830       633  40966-00001 00000 04/07/06 09/20/10         455.000       6,369.89       7,807.07       1,437.18-L            .00
830       633  40966-00001 00000 09/08/06 09/20/10         305.000       4,269.93       5,715.30       1,445.37-L            .00
                                                      ___________    ___________    ___________    ___________    ___________
                  TOTAL-LONG                              760.000      10,639.82      13,522.37       2,882.55-            .00

   ASSET 670100205 NOVO NORDISK A S
                 ADR
830       640  41245-00059 00000 02/09/10 06/21/11          15.000       1,804.76       1,035.85         768.91 L            .00
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REPORT:    TMS-TX-23
BANK       21 PNC BANK (21)                         GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
REGION     80 KENTUCKY                    ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA CHAR                     PAGE:            26
OFFICE 001 C & E                                   FROM: 09/01/10 TO: 08/31/11                              RUN DATE:     01/03/12
                                                                                  G A I N / L O S S   I N F O R M A T I O N
TAX   ------------------ DATES ------------------                                                           FEDERAL            STATE
CODE  OR-OFF SERIAL    SEQ LOT#  ACQUIRED     TRADE          UNITS        PROCEEDS        TAX COST             G/L              G/L
   ASSET 670100205  (CONT'D)

              TOTAL-LONG                                     15.000        1,804.76        1,035.85          768.91              .00

   ASSET 67020Y100 NUANCE COMMUNICATIONS INC
830       640  40959-00003 00000 02/21/07 09/13/10           72.000        1,126.51        1,078.46           48.05 L            .00
830       640  40972-00001 00000 02/21/07 09/24/10           90.000        1,380.70        1,348.07           32.63 L            .00

              TOTAL-LONG                                    162.000        2,507.21        2,426.53           80.68              .00

   ASSET 670346105 NUCOR CORP
830       640  40979-00002 00000 03/03/09 10/01/10           36.000        1,390.45        1,121.67          268.78 L            .00

              TOTAL-LONG                                     36.000        1,390.45        1,121.67          268.78              .00

   ASSET 67066G104 NVIDIA CORP
830       640  41245-00060 00000 02/22/11 06/21/11           31.000          494.13          756.55          262.42-S            .00

              TOTAL-SHORT                                    31.000          494.13          756.55          262.42-             .00

   ASSET 674599105 OCCIDENTAL PETROLEUM CORP
830       640  40979-00003 00000 03/25/10 10/01/10           35.000        2,828.75        2,924.40           95.65-S            .00
830       633  41074-00019 00000 08/27/04 12/30/10           75.000        7,397.12        1,909.13        5,487.99 L            .00
830       633  41245-00032 00000 08/27/04 06/21/11          245.000       25,246.83        6,236.47       19,010.36 L            .00

              TOTAL-SHORT                                   355.000       35,472.70       11,070.00           95.65-             .00
              TOTAL-LONG                                                                                  24,498.35              .00

   ASSET 682128103 OMNIVISION TECHNOLOGIES INC
830       640  41245-00061 00000 10/04/10 06/21/11           29.000          880.13          662.84          217.29 S            .00

              TOTAL-SHORT                                    29.000          880.13          662.84          217.29              .00

   ASSET 682189105 ON SEMICONDUCTOR CORPORATION
830       672  40987-00001 00000 04/29/09 10/08/10           85.000          600.12          458.58          141.54 L            .00

              TOTAL-LONG                                     85.000          600.12          458.58          141.54              .00

   ASSET 683715106 OPEN TEXT CORP
830       640  41245-00062 00000 04/01/11 06/21/11           13.000          793.11          829.37           36.26-S            .00
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REPORT:   TMS-TX-23
BANK      21 PNC BANK (21)                                GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
REGION    80 KENTUCKY                             ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA CHAR                                  PAGE:          27
OFFICE 001 C & E                                          FROM: 09/01/10 TO: 08/31/11                                             RUN DATE:    01/03/12

                                                                                         G A I N / L O S S   I N F O R M A T I O N
TAX  ------------------ DATES ------------------                                                                     FEDERAL          STATE
CODE  OR-OFF SERIAL    SEQ LOT#  ACQUIRED    TRADE              UNITS         PROCEEDS        TAX COST              G/L             G/L
   ASSET 683715106  (CONT'D)
                                                          ------------   ------------   ------------   ------------   ------------
                     TOTAL-SHORT                               13.000          793.11          829.37          36.26-             .00

   ASSET 68389X105 ORACLE CORP
 830      640  41245-00063 00000 02/11/09 06/21/11             68.000        2,212.67        1,204.58        1,008.09 L           .00
                                                          ------------   ------------   ------------   ------------   ------------
                     TOTAL-LONG                                68.000        2,212.67        1,204.58        1,008.09             .00

   ASSET 697900108 PAN AMERICAN SILVER CORP
                   ISIN CA6979001089 SEDOL 2669272
 830      640  41245-00064 00000 10/25/10 06/21/11             22.000          662.84          690.64          27.80-S            .00
                                                          ------------   ------------   ------------   ------------   ------------
                     TOTAL-SHORT                               22.000          662.84          690.64          27.80-             .00

   ASSET 698354107 PANDORA MEDIA INC
 830      672  41285-00001 00000 06/16/11 07/29/11             54.000          812.82          863.40          50.58-S            .00
                                                          ------------   ------------   ------------   ------------   ------------
                     TOTAL-SHORT                               54.000          812.82          863.40          50.58-             .00

   ASSET 701094104 PARKER HANNIFIN CORP
 830      640  41195-00001 00000 03/11/08 05/02/11             26.000        2,426.33        1,623.37          802.96 L           .00
 830      640  41245-00065 00000 03/11/08 06/21/11              1.000           85.76           62.44           23.32 L           .00
 830      640  41245-00065 00000 04/13/10 06/21/11             11.000          943.34          766.90          176.44 L           .00
                                                          ------------   ------------   ------------   ------------   ------------
                     TOTAL-LONG                                38.000        3,455.43        2,452.71        1,002.72             .00

   ASSET 703481101 PATTERSON-UTI ENERGY INC
 830      640  41245-00066 00000 02/09/11 06/21/11             31.000          883.17          794.06           89.11 S           .00
                                                          ------------   ------------   ------------   ------------   ------------
                     TOTAL-SHORT                               31.000          883.17          794.06           89.11             .00

   ASSET 717081103 PFIZER INC
 830      633  41245-00033 00000 03/04/09 06/21/11            410.000        8,306.52        5,145.50        3,161.02 L           .00
 830      633  41245-00033 00000 02/16/10 06/21/11            125.000        2,532.48        2,217.46          315.02 L           .00
                                                          ------------   ------------   ------------   ------------   ------------
                     TOTAL-LONG                               535.000       10,839.00        7,362.96        3,476.04             .00

   ASSET 723456109 PINNACLE ENTERTAINMENT INC
 830      672  41168-00001 00000 06/04/10 04/05/11             55.000          769.45          645.32          124.13 S           .00
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TAX CODE	OR-OFF	SERIAL	SEQ LOT#	DATES ACQUIRED	DATES TRADE	UNITS	GAIN/LOSS INFORMATION PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L
ASSET 723456109 (CONT'D)										
TOTAL-SHORT						55.000	769.45	645.32	124.13	.00
ASSET 727493108 PLANTRONICS INC NEW										
830	640	41115-00003	00000	03/08/10	02/09/11	43.000	1,522.25	1,369.07	153.18 S	.00
TOTAL-SHORT						43.000	1,522.25	1,369.07	153.18	.00
ASSET 73172K104 POLYCOM INC										
830	640	41245-00067	00000	11/11/10	06/21/11	21.000	1,240.44	745.48	494.96 S	.00
TOTAL-SHORT						21.000	1,240.44	745.48	494.96	.00
ASSET 74144T108 PRICE T ROWE GROUP INC										
830	640	41245-00068	00000	11/20/07	06/21/11	23.000	1,348.46	1,377.74	29.28-L	.00
TOTAL-LONG						23.000	1,348.46	1,377.74	29.28-	.00
ASSET 741479109 T ROWE PRICE GROWTH STOCK FD # 40										
831	000	40965-00004	00000	09/02/04	09/21/10	1,159.930	33,000.00	27,861.52	5,138.48 L	.00
TOTAL-LONG						1,159.930	33,000.00	27,861.52	5,138.48	.00
ASSET 741503403 PRICELINE.COM INC										
830	640	41168-00002	00000	11/16/09	04/05/11	2.000	1,032.00	411.49	620.51 L	.00
830	640	41203-00002	00000	11/16/09	05/10/11	3.000	1,581.98	617.24	964.74 L	.00
830	640	41245-00069	00000	11/16/09	06/21/11	2.000	961.78	411.49	550.29 L	.00
830	640	41245-00069	00000	03/03/10	06/21/11	5.000	2,404.45	1,195.92	1,208.53 L	.00
830	640	41245-00069	00000	03/11/10	06/21/11	1.000	480.89	240.56	240.33 L	.00
830	640	41297-00002	00000	03/11/10	08/10/11	3.000	1,494.05	721.69	772.36 L	.00
TOTAL-LONG						16.000	7,955.15	3,598.39	4,356.76	.00
ASSET 742718109 PROCTER & GAMBLE CO										
830	633	41074-00020	00000	05/08/06	12/30/10	35.000	2,248.01	1,953.00	295.01 L	.00
830	633	41245-00034	00000	05/08/06	06/21/11	91.000	5,901.23	5,077.80	823.43 L	.00
830	633	41273-00001	00000	05/08/06	07/19/11	64.000	4,133.05	3,571.20	561.85 L	.00

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REPORT:   TMS-TX-23
BANK     21 PNC BANK (21)                         GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
REGION   80 KENTUCKY                       ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA CHAR                        PAGE:         29
OFFICE 001 C & E                                     FROM: 09/01/10 TO: 08/31/11                              RUN DATE:    01/03/12
                                                                                   G A I N / L O S S   I N F O R M A T I O N
TAX  ------------------ DATES -----------------                                                                FEDERAL         STATE
CODE  OR-OFF SERIAL    SEQ LOT# ACQUIRED    TRADE            UNITS        PROCEEDS        TAX COST             G/L            G/L
  ASSET 742718109  (CONT'D)
                                                        -----------    -----------    -----------     -----------    -----------
                  TOTAL-LONG                               190.000      12,282.29      10,602.00        1,680.29            .00

  ASSET 743315103 PROGRESSIVE CORP OHIO
830       633  41245-00035 00000 08/27/04 06/21/11         610.000      12,694.16      12,312.85          381.31 L          .00
                                                        -----------    -----------    -----------     -----------    -----------
                  TOTAL-LONG                               610.000      12,694.16      12,312.85          381.31            .00

  ASSET 747525103 QUALCOMM INC
830       640  41245-00071 00000 06/11/10 06/21/11          45.000       2,456.95       1,587.74          869.21 L          .00
830       640  41245-00071 00000 08/19/10 06/21/11          12.000         655.19         457.96          197.23 S          .00
                                                        -----------    -----------    -----------     -----------    -----------
                  TOTAL-SHORT                               57.000       3,112.14       2,045.70          197.23            .00
                  TOTAL-LONG                                                                              869.21            .00

  ASSET 747582104 QUALITY SYSTEMS INC
830       672  40985-00001 00000 07/31/06 10/06/10          10.000         647.80         327.49          320.31 L          .00
                                                        -----------    -----------    -----------     -----------    -----------
                  TOTAL-LONG                                10.000         647.80         327.49          320.31            .00

  ASSET 75025N102 RADIANT SYS INC
                         MERGED 8/24/2011 @ $28.00 P/S
830       672  41308-00005 00000 10/29/07 08/26/11           5.000         140.00          70.55           69.45 L          .00
830       672  41308-00005 00000 01/03/08 08/26/11          12.000         336.00         207.24          128.76 L          .00
830       672  41308-00005 00000 01/03/08 08/26/11          18.000         504.00         316.20          187.80 L          .00
830       672  41308-00005 00000 03/29/11 08/26/11          12.000         336.00         213.15          122.85 S          .00
                                                        -----------    -----------    -----------     -----------    -----------
                  TOTAL-SHORT                               47.000       1,316.00         807.14          122.85            .00
                  TOTAL-LONG                                                                              386.01            .00

  ASSET 75604L105 REALD INC
830       672  41286-00001 00000 06/10/11 08/01/11          19.000         272.53         406.07          133.54-S          .00
830       672  41286-00001 00000 05/24/11 08/01/11          16.000         229.50         477.64          248.14-S          .00
                                                        -----------    -----------    -----------     -----------    -----------
                  TOTAL-SHORT                               35.000         502.03         883.71          381.68-           .00

  ASSET 75886F107 REGENERON PHARMACEUTICALS INC
830       672  41200-00002 00000 07/28/09 05/05/11          11.000         537.83         235.76          302.07 L          .00
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REPORT:   TMS-TX-23
BANK     21 PNC BANK (21)                      GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
REGION   80 KENTUCKY                    ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA CHAR                  PAGE:           30
OFFICE 001 C & E                                   FROM: 09/01/10 TO: 08/31/11                           RUN DATE:    01/03/12
                                                                                G A I N / L O S S  I N F O R M A T I O N
TAX  ----------------- DATES ---------------                                                        FEDERAL          STATE
CODE  OR-OFF SERIAL   SEQ LOT# ACQUIRED     TRADE          UNITS       PROCEEDS       TAX COST        G/L              G/L
   ASSET 75886F107  (CONT'D)
                                                      ----------   ------------   ------------   ----------       ----------
                     TOTAL-LONG                           11.000         537.83         235.76       302.07              .00

   ASSET 768573107 RIVERBED TECHNOLOGY INC
 830     640  41167-00001 00000 03/31/10 04/04/11         52.000       1,761.23         746.93     1,014.30 L            .00
 830     672  41172-00001 00000 01/05/10 04/07/11         10.000         327.98         120.09       207.89 L            .00
 830     640  41245-00072 00000 03/31/10 06/21/11         22.000         740.28         316.01       424.27 L            .00
 830     640  41279-00001 00000 03/31/10 07/25/11         32.000       1,007.34         459.64       547.70 L            .00
                                                      ----------   ------------   ------------   ----------       ----------
                     TOTAL-LONG                          116.000       3,836.83       1,642.67     2,194.16              .00

   ASSET 779376102 ROVI CORP
 830     672  41083-00001 00000 02/18/09 01/10/11         13.000         789.74         194.62       595.12 L            .00
 830     640  41144-00005 00000 01/06/11 03/10/11         28.000       1,518.33       1,804.66       286.33-S            .00
 830     672  41292-00001 00000 02/18/09 08/05/11         13.000         621.57         194.63       426.94 L            .00
                                                      ----------   ------------   ------------   ----------       ----------
                     TOTAL-SHORT                          54.000       2,929.64       2,193.91       286.33-             .00
                     TOTAL-LONG                                                                    1,022.06              .00

   ASSET 779556109 T ROWE PRICE MID CAP GROWTH
                   INC FD #64
 831     000  41152-00003 00000 05/27/10 03/22/11        162.920      10,000.00       8,232.34     1,767.66 S            .00
                                                      ----------   ------------   ------------   ----------       ----------
                     TOTAL-SHORT                         162.920      10,000.00       8,232.34     1,767.66              .00

   ASSET 78464R105 SRA INTERNATIONAL INC
                   MERGED 7/20/2011 @ $31.25 P/S
 830     690  41178-00003 00000 04/11/08 04/13/11         35.000       1,084.88         888.52       196.36 L            .00
                                                      ----------   ------------   ------------   ----------       ----------
                     TOTAL-LONG                           35.000       1,084.88         888.52       196.36              .00

   ASSET 790849103 ST JUDE MEDICAL INC
 830     640  41245-00073 00000 03/29/11 06/21/11         16.000         793.90         823.21        29.31-S            .00
 830     640  41305-00001 00000 03/29/11 08/18/11         22.000         897.90       1,131.91       234.01-S            .00
                                                      ----------   ------------   ------------   ----------       ----------
                     TOTAL-SHORT                          38.000       1,691.80       1,955.12       263.32-             .00

   ASSET 806857108 SCHLUMBERGER LTD
                   SEDOL 2779201 ISIN AN8068571086
 830     640  41245-00074 00000 11/10/10 06/21/11         10.000         836.48         744.09        92.39 S            .00
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                                                                          G A I N / L O S S   I N F O R M A T I O N
TAX  ------------------ DATES ------------------                                                            FEDERAL          STATE
CODE  OR-OFF SERIAL    SEQ LOT#  ACQUIRED     TRADE          UNITS        PROCEEDS         TAX COST           G/L              G/L
   ASSET 806857108  (CONT'D)
                                                           ----------   ------------     ------------     ------------     ------------
                TOTAL-SHORT                                    10.000         836.48           744.09            92.39              .00

   ASSET 811065101 SCRIPPS NETWORKS INTERAC
                   CL A
830      640  41216-00001 00000 12/27/10 05/23/11              35.000       1,717.22         1,838.54          121.32-S             .00
                                                           ----------   ------------     ------------     ------------     ------------
                TOTAL-SHORT                                    35.000       1,717.22         1,838.54          121.32-              .00

   ASSET 81211K100 SEALED AIR CORP
830      633  41074-00021 00000 08/27/04 12/30/10             116.000       2,966.07         2,838.52          127.55 L             .00
830      633  41245-00036 00000 08/27/04 06/21/11             415.000       9,507.67        10,155.05          647.38-L             .00
                                                           ----------   ------------     ------------     ------------     ------------
                TOTAL-LONG                                    531.000      12,473.74        12,993.57          519.83-              .00

   ASSET 830566105 SKECHERS U S A INC
                   CL A
830      640  41006-00002 00000 08/03/10 10/28/10              39.000         757.50         1,349.10          591.60-S             .00
                                                           ----------   ------------     ------------     ------------     ------------
                TOTAL-SHORT                                    39.000         757.50         1,349.10          591.60-              .00

   ASSET 83416B109 SOLARWINDS INC
830      640  41245-00075 00000 05/04/11 06/21/11              36.000         932.02           857.50           74.52 S             .00
                                                           ----------   ------------     ------------     ------------     ------------
                TOTAL-SHORT                                    36.000         932.02           857.50           74.52               .00

   ASSET 835451105 SONIC CORP
830      690  41007-00001 00000 09/02/04 10/29/10              30.000         264.81           450.40          185.59-L             .00
830      690  41007-00001 00000 03/01/07 10/29/10              10.000          88.27           216.21          127.94-L             .00
                                                           ----------   ------------     ------------     ------------     ------------
                TOTAL-LONG                                     40.000         353.08           666.61          313.53-              .00

   ASSET 855244109 STARBUCKS CORP
830      640  41210-00003 00000 06/17/09 05/17/11              54.000       1,897.11           753.85        1,143.26 L             .00
830      640  41245-00076 00000 06/17/09 06/21/11              49.000       1,800.22           684.06        1,116.16 L             .00
830      640  41297-00003 00000 06/17/09 08/10/11              10.000         353.97           139.60          214.37 L             .00
830      640  41297-00003 00000 11/09/10 08/10/11              28.000         991.11           853.73          137.38 S             .00
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REPORT:   TMS-TX-23
BANK      21 PNC BANK (21)                        GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
REGION    80 KENTUCKY                   ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA CHAR                          PAGE:          32
OFFICE  001 C & E                                    FROM: 09/01/10 TO: 08/31/11                              RUN DATE:     01/03/12
                                                                               G A I N / L O S S   I N F O R M A T I O N
TAX  ----------------- DATES -----------------                                                                  FEDERAL          STATE
CODE  OR-OFF SERIAL   SEQ LOT#  ACQUIRED    TRADE          UNITS         PROCEEDS         TAX COST           G/L              G/L
   ASSET 855244109  (CONT'D)
                                                         ----------    ------------     ------------     ------------     ------------
                     TOTAL-SHORT                          141.000        5,042.41         2,431.24           137.38              .00
                     TOTAL-LONG                                                                            2,473.79              .00

   ASSET 859737207 STERLITE INDUSTRIES INDI ADS
                   ADT ISIN US8597372072
                   SEDOL B1YX3G8
830      640  40945-00004 00000 07/17/09 08/30/10         107.000        1,378.59         1,249.20           129.39 L            .00
                                                         ----------    ------------     ------------     ------------     ------------
                     TOTAL-LONG                           107.000        1,378.59         1,249.20           129.39              .00

   ASSET 87424N104 TALEO CORP CLASS A
830      640  41245-00077 00000 03/09/10 06/21/11          21.000          742.54           537.87           204.67 L            .00
830      640  41306-00004 00000 03/09/10 08/19/11          31.000          689.98           794.01           104.03-L            .00
                                                         ----------    ------------     ------------     ------------     ------------
                     TOTAL-LONG                            52.000        1,432.52         1,331.88           100.64              .00

   ASSET 878742204 TECK RESOURCES LTD CL B
                   ISIN CA8787422044
                   SEDOL 2124533
830      640  41136-00001 00000 10/04/10 03/02/11          50.000        2,721.99         2,063.59           658.40 S            .00
                                                         ----------    ------------     ------------     ------------     ------------
                     TOTAL-SHORT                           50.000        2,721.99         2,063.59           658.40              .00

   ASSET 880349105 TENNECO INC
830      672  41290-00002 00000 05/25/10 08/03/11          14.000          536.17           286.34           249.83 L            .00
                                                         ----------    ------------     ------------     ------------     ------------
                     TOTAL-LONG                            14.000          536.17           286.34           249.83              .00

   ASSET 882508104 TEXAS INSTRUMENTS INC
830      633  41074-00022 00000 11/06/08 12/30/10         177.000        5,717.01         3,111.25         2,605.76 L            .00
830      633  41074-00022 00000 08/06/08 12/30/10          29.000          936.69           713.06           223.63 L            .00
830      633  41245-00037 00000 08/06/08 06/21/11          66.000        2,093.51         1,622.81           470.70 L            .00
830      633  41245-00037 00000 05/28/08 06/21/11         209.000        6,629.46         6,757.03           127.57-L            .00
                                                         ----------    ------------     ------------     ------------     ------------
                     TOTAL-LONG                           481.000       15,376.67        12,204.15         3,172.52              .00

   ASSET 88632Q103 TIBCO SOFTWARE INC
830      672  41160-00002 00000 09/20/07 03/28/11          30.000          774.69           221.78           552.91 L            .00
830      672  41160-00002 00000 12/28/07 03/28/11          18.000          464.81           144.73           320.08 L            .00
830      672  41264-00001 00000 12/28/07 07/08/11          17.000          521.40           136.69           384.71 L            .00
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                                                                                 G A I N / L O S S   I N F O R M A T I O N
TAX  ------------------ DATES ------------------                                                                 FEDERAL           STATE
CODE  OR-OFF SERIAL   SEQ LOT#  ACQUIRED     TRADE         UNITS          PROCEEDS          TAX COST             G/L              G/L
   ASSET 88632Q103   (CONT'D)
                                                       ____________    ____________    ____________    ___________      ___________
                   TOTAL-LONG                               65.000        1,760.90          503.20        1,257.70              .00

   ASSET 88706P106 TIM PARTICIPACOES S A
                   CONVERTED 08/08/11
                   SEE 88706P205
 830      640  41245-00078 00000 06/17/11 06/21/11          17.000          802.55          801.00            1.55 S            .00
                                                       ____________    ____________    ____________    ___________      ___________
                   TOTAL-SHORT                              17.000          802.55          801.00            1.55              .00

   ASSET 88706P205 TIM PARTICIPACOES SA
                   ADR
 833      640  41292-00001 00000 06/17/11 08/10/11            .986           25.35           27.63            2.28-S            .00
                                                       ____________    ____________    ____________    ___________      ___________
                   TOTAL-SHORT                                .986           25.35           27.63            2.28-             .00

   ASSET 891092108 TORO CO
 830      690  41083-00001 00000 05/23/08 01/10/11           9.000          558.00          349.92          208.08 L            .00
 830      690  41084-00002 00000 05/23/08 01/11/11          11.000          683.06          427.68          255.38 L            .00
                                                       ____________    ____________    ____________    ___________      ___________
                   TOTAL-LONG                               20.000        1,241.06          777.60          463.46              .00

   ASSET 896239100 TRIMBLE NAVIGATION LTD
 830      640  41200-00006 00000 01/05/09 05/05/11           3.000          131.01           65.90           65.11 L            .00
 830      640  41200-00006 00000 05/20/08 05/05/11          55.000        2,401.84        1,883.87          517.97 L            .00
                                                       ____________    ____________    ____________    ___________      ___________
                   TOTAL-LONG                               58.000        2,532.85        1,949.77          583.08              .00

   ASSET 913017109 UNITED TECHNOLOGIES CORP
 830      640  40981-00003 00000 01/28/08 10/05/10          25.000        1,800.18        1,831.52           31.34-L            .00
 830      640  40981-00003 00000 01/31/08 10/05/10          15.000        1,080.11        1,103.09           22.98-L            .00
 830      640  41245-00079 00000 05/18/11 06/21/11           9.000          769.48          784.44           14.96-S            .00
                                                       ____________    ____________    ____________    ___________      ___________
                   TOTAL-SHORT                              49.000        3,649.77        3,719.05           14.96-             .00
                   TOTAL-LONG                                                                                54.32-             .00

   ASSET 91307C102 UNITED THERAPEUTICS CORP DEL
 830      640  41237-00004 00000 09/30/10 06/13/11          29.000        1,613.89        1,644.51           30.62-S            .00
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				DATES			GAIN/LOSS INFORMATION			
TAX CODE	OR-OFF	SERIAL	SEQ LOT#	ACQUIRED	TRADE	UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L
	ASSET 91307C102 (CONT'D)									
		TOTAL-SHORT				29.000	1,613.89	1,644.51	30.62-	.00
	ASSET 91911K102 VALEANT PHARMACEUTICALS INTE ISIN CA91911K1021 SEDOL B41NYV4									
830	640	41245-00080	00000	06/10/11	06/21/11	15.000	769.63	791.69	22.06-S	.00
830	640	41299-00001	00000	06/10/11	08/12/11	21.000	848.58	1,108.36	259.78-S	.00
		TOTAL-SHORT				36.000	1,618.21	1,900.05	281.84-	.00
	ASSET 921937868 VANGUARD TOTAL BOND MARKET INDEX FUND CL SIG FD #1351									
831	000	41152-00004	00000	11/28/08	03/22/11	1,038.716	11,000.00	10,272.90	727.10 L	.00
		TOTAL-LONG				1,038.716	11,000.00	10,272.90	727.10	.00
	ASSET 92220P105 VARIAN MEDICAL SYSTEMS INC									
830	640	41111-00002	00000	03/03/09	02/07/11	29.000	1,964.67	850.18	1,114.49 L	.00
		TOTAL-LONG				29.000	1,964.67	850.18	1,114.49	.00
	ASSET 922207105 VARIAN SEMICONDUCTOR EQUIP W/I MERGED 11/10/11 @ $63.00 P/S									
830	672	41206-00001	00000	01/12/09	05/11/11	45.000	2,752.15	892.34	1,859.81 L	.00
		TOTAL-LONG				45.000	2,752.15	892.34	1,859.81	.00
	ASSET 922417100 VEECO INSTRUMENTS INC DEL									
830	640	41245-00081	00000	05/07/10	06/21/11	13.000	654.53	593.58	60.95 L	.00
830	640	41263-00003	00000	05/07/10	07/07/11	20.000	907.41	913.21	5.80-L	.00
		TOTAL-LONG				33.000	1,561.94	1,506.79	55.15	.00
	ASSET 92342Y109 VERIFONE SYSTEMS INC									
830	640	41245-00082	00000	12/15/10	06/21/11	19.000	778.79	778.34	.45 S	.00

REPORT: TMS-TX-23
BANK 21 PNC BANK (21)
REGION 80 KENTUCKY
OFFICE 001 C & E

GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA CHAR
FROM: 09/01/10 TO: 08/31/11

RUN DATE: 01/03/12

TAX CODE	OR-OFF	SERIAL	SEQ LOT#	ACQUIRED	TRADE	UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L
ASSET 92342Y109 (CONT'D)										
		TOTAL-SHORT				19.000	778.79	778.34	.45	.00
ASSET 92532F100 VERTEX PHARMACEUTICALS INC										
830	640	41245-00083	00000	05/25/11	06/21/11	13.000	594.21	729.51	135.30-S	.00
		TOTAL-SHORT				13.000	594.21	729.51	135.30-	.00
ASSET 929160109 VULCAN MATERIALS CO										
830	633	41044-00004	00000	08/27/04	12/07/10	100.000	4,245.31	4,757.00	511.69-L	.00
		TOTAL-LONG				100.000	4,245.31	4,757.00	511.69-	.00
ASSET 930059100 WADDELL & REED FINL INC										
830	640	41245-00084	00000	04/25/11	06/21/11	19.000	693.29	771.62	78.33-S	.00
		TOTAL-SHORT				19.000	693.29	771.62	78.33-	.00
ASSET 941848103 WATERS CORP										
830	640	41102-00003	00000	06/30/09	01/27/11	16.000	1,242.60	820.64	421.96 L	.00
830	640	41102-00003	00000	10/01/08	01/27/11	23.000	1,786.24	1,337.80	448.44 L	.00
830	640	41245-00085	00000	04/06/11	06/21/11	9.000	847.60	807.62	39.98 S	.00
830	640	41290-00003	00000	04/06/11	08/03/11	13.000	1,058.73	1,166.55	107.82-S	.00
		TOTAL-SHORT				61.000	4,935.17	4,132.61	67.84-	.00
		TOTAL-LONG							870.40	.00
ASSET 942622200 WATSCO INC										
830	690	41047-00004	00000	09/02/04	12/08/10	15.000	928.24	437.55	490.69 L	.00
		TOTAL-LONG				15.000	928.24	437.55	490.69	.00
ASSET 949746101 WELLS FARGO & COMPANY										
830	633	41074-00023	00000	03/06/09	12/30/10	112.000	3,468.59	972.47	2,496.12 L	.00
830	633	41074-00023	00000	01/26/09	12/30/10	78.000	2,415.63	1,241.26	1,174.37 L	.00
830	633	41245-00038	00000	01/26/09	06/21/11	222.000	6,024.99	3,532.82	2,492.17 L	.00
830	633	41245-00038	00000	08/27/04	06/21/11	433.000	11,751.43	12,702.06	950.63-L	.00

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REPORT:   TMS-TX-23
BANK     21 PNC BANK (21)                   GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
REGION   80 KENTUCKY                  ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA CHAR                   PAGE:          36
OFFICE 001 C & E                                FROM: 09/01/10 TO: 08/31/11                          RUN DATE:     01/03/12
                                                                                 G A I N / L O S S   I N F O R M A T I O N
 TAX  ------------------ DATES ------------------                                                       FEDERAL          STATE
CODE  OR-OFF SERIAL   SEQ LOT#  ACQUIRED    TRADE          UNITS       PROCEEDS       TAX COST          G/L              G/L
   ASSET 949746101  (CONT'D)
                                                     ------------   ------------   ------------   ------------   ------------
                       TOTAL-LONG                         845.000      23,660.64      18,448.61       5,212.03            .00

   ASSET 983919101 XILINX INC
 830     640  41013-00002 00000 08/10/10 11/04/10          55.000       1,528.21       1,518.90           9.31 S          .00
                                                     ------------   ------------   ------------   ------------   ------------
                       TOTAL-SHORT                         55.000       1,528.21       1,518.90           9.31            .00
                                                                    ============   ============   ============   ============

                       LISTED SALES-TOTAL SHORT         5,006.462     186,588.44     174,630.48
                                          LONG         53,954.287    1434,683.10    1047,529.34

                       CAPITAL GAIN DISTRIBUTIONS                                                     8,025.37 L        .00 L
                                                                    ============   ============   ============   ============

                       GRAND TOTAL-SHORT               58,960.749    1621,271.54    1222,159.82      11,957.96
                                  -LONG                                                             395,179.13

                       CARRYOVER(CURRENT )                                                                 .00 S

                                                                                                           .00 M

                                                                                                           .00 L
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SUMMARY OF INVESTMENTS

AS OF 08/31/11

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET
PRINCIPAL CASH						
CASH EQUIVALENTS	33,436.99	33,436.99	33,436.99	.800	13.36	.040
FIXED INCOME						
MUTUAL FUNDS - FIXED	1,424,743.33	1,427,050.71	1,530,553.11	36.601	47,202.84	3.084
EQUITIES						
COMMON STOCK	423,947.19	451,263.96	522,171.92	12.487	6,907.66	1.323
MUTUAL FUNDS - EQUITY	1,911,084.84	2,040,912.53	2,095,165.94	50.103	19,662.45	.938
LIMITED PARTNERSHIPS	384.32	384.32	380.60		31.68	8.324
TOTAL EQUITIES	2,335,416.35	2,492,560.81	2,617,718.46	62.599	26,601.79	1.016
TOTAL PRINCIPAL ASSETS	3,793,596.67	3,953,048.51	4,181,708.56	100.000	73,817.99	1.765
CASH EQUIVALENTS	11,516.61	11,516.61	11,516.61	32.891	4.60	.040
FIXED INCOME						
MUTUAL FUNDS - FIXED	1,920.62	1,920.62	1,957.96	5.592	64.91	3.315
EQUITIES						
MUTUAL FUNDS - EQUITY	21,678.93	21,678.93	21,540.35	61.518	144.57	.671
ACCOUNT TOTAL	3,828,712.83	3,988,164.67	4,216,723.48		74,032.07	1.756

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STATEMENT OF INVESTMENTS

AS OF 08/31/11

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
	CASH EQUIVALENTS							
1,382.480	BLACKROCK LIQUIDITY FUNDS TEMPFUND ADMINISTRATION SHARES #H1 ACCOUNT 21-80-690-5847005	1,382.48	1,382.48	1,382.48	.033	.55	.040	1.000
83.050	BLACKROCK LIQUIDITY FUNDS TEMPFUND ADMINISTRATION SHARES #H1 (INCOME INVESTMENT) ACCOUNT 21-80-690-5847005	83.05	83.05	83.05	.002	.03	.036	1.000
46.860	BLACKROCK LIQUIDITY FUNDS TEMPFUND ADMINISTRATION SHARES #H1 (INCOME INVESTMENT) ACCOUNT 21-80-672-5847005	46.86	46.86	46.86	.001	.02	.043	1.000
3,907.820	BLACKROCK LIQUIDITY FUNDS TEMPFUND ADMINISTRATION SHARES #H1 ACCOUNT 21-80-672-5847005	3,907.82	3,907.82	3,907.82	.093	1.56	.040	1.000
9,883.760	BLACKROCK LIQUIDITY FUNDS TEMPFUND ADMINISTRATION SHARES #H1 ACCOUNT 21-80-001-5847005	9,883.76	9,883.76	9,883.76	.234	3.95	.040	1.000
10,486.080	BLACKROCK LIQUIDITY FUNDS TEMPFUND ADMINISTRATION SHARES #H1 (INCOME INVESTMENT) ACCOUNT 21-80-001-5847005	10,486.08	10,486.08	10,486.08	.249	4.19	.040	1[illegible]0

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/11

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
805.070	BLACKROCK LIQUIDITY FUNDS TEMPFUND ADMINISTRATION SHARES #H1 (INCOME INVESTMENT) ACCOUNT 21-80-633-5847005	805.07	805.07	805.07	.019	.32	.040	1.000
12,086.560	BLACKROCK LIQUIDITY FUNDS TEMPFUND ADMINISTRATION SHARES #H1 ACCOUNT 21-80-633-5847005	12,086.56	12,086.56	12,086.56	.287	4.83	.040	1.000
6,176.370	BLACKROCK LIQUIDITY FUNDS TEMPFUND ADMINISTRATION SHARES #H1 ACCOUNT 21-80-640-5847005	6,176.37	6,176.37	6,176.37	.146	2.47	.040	1.000
95.550	BLACKROCK LIQUIDITY FUNDS TEMPFUND ADMINISTRATION SHARES #H1 (INCOME INVESTMENT) ACCOUNT 21-80-640-5847005	95.55	95.55	95.55	.002	.04	.042	1.000
	TOTAL CASH EQUIVALENTS	44,953.60	44,953.60	44,953.60	1.066	17.96	.040	
COMMON STOCK								
41.000	OMNIVISION TECHNOLOGIES INC	937.11	937.11	754.40	.018			18.400
20.000	OPTIONSXPRESS HOLDINGS INC MERGED 9/02/2011 SEE 808513105	320.26	320.26	252.00	.006	6.40	2.540	12.600
28.000	MOODY'S CORP	1,158.21	1,158.21	863.24	.020	15.68	1.816	30.830

STATEMENT OF INVESTMENTS

AS OF 08/31/11

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
12.000	LABORATORY CORP OF AMERICA HLDG	1,126.89	1,126.89	1,002.36	.024			83.530
6.000	NETFLIX INC	760.75	760.75	1,410.06	.033			235.010
9.000	REGENERON PHARMACEUTICALS INC	192.89	192.89	531.27	.013			59[illegible]
20.000	BLACKBOARD INC. MERGED 10/05/11 @ 45.00 P/S	816.64	816.64	844.60	.020			42.230
26.000	BAIDU INC	363.48	363.48	3,790.28	.090			145.780
13.000	UNITED TECHNOLOGIES CORP	1,133.07	1,133.07	965.25	.023	24.96	2.586	74.250
54.000	NETLOGIC MICROSYSTEMS INC	1,821.18	1,821.18	1,621.08	.038			30.020
22.000	COVIDIEN PLC ISIN IE00B68SQD29 SEDOL B68SQD2	1,177.52	1,177.52	1,147.96	.027	19.80	1.725	52.180
11.000	BARD C R INC	1,251.42	1,251.42	1,047.86	.025	8.36	.798	95.260
20.000	ICON PUB LTD CO SPONSORED ADR	425.95	454.87	426.20	.010			21.310
20.000	CERNER CORP	1,194.98	1,194.98	1,319.20	.031			65.960
58.000	POLYCOM INC	1,029.47	1,029.47	1,380.40	.033			23.800
20.000	HOLLYFRONTIER CORP	1,168.28	1,168.28	1,435.20	.034	7.00	.488	71.760
10.000	BRIGHAM EXPL CO	139.33	139.33	291.00	.007			29[illegible]0
21.000	MERCADOLIBRE INC	872.85	872.85	1,414.98	.034	6.72	.475	67.380
16.000	ACME PACKET INC	1,199.23	1,199.23	753.44	.018			47.090

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/11

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
39.000	ACCENTURE PLC CLASS A ISIN IE00B4BNMY34 SEDOL B4BNMY3 ACCOUNT 21-80-640-5847005	2,296.34	2,296.34	2,090.01	.050	52.65	2.519	53.590
30.000	HELEN OF TROY LIMITED ISIN BMG4388N1065 SEDOL 2419530 ACCOUNT 21-80-690-5847005	857.07	857.07	900.60	.021			30[illegible]
30.000	HERBALIFE LTD ACCOUNT 21-80-640-5847005	1,215.51	1,634.89	1,674.00	.040	21.75	1.299	55.800
20.000	HERBALIFE LTD ACCOUNT 21-80-672-5847005	207.00	207.00	1,116.00	.026	14.50	1.299	55.800
42.000	TRANSOCEAN LTD SEDOL B3KFWW1 ISIN CH0048265513 ACCOUNT 21-80-633-5847005	4,796.95	3,348.50	2,352.84	.056	132.72	5.641	56.020
47.000	CHECK POINT SOFTWARE TECH SEDOL 2181334 ISIN IL0010824113 ACCOUNT 21-80-640-5847005	2,009.29	2,009.29	2,558.68	.061			54.440
20.000	CORE LABORATORIES N V ACCOUNT 21-80-690-5847005	639.98	639.98	2,231.60	.053	20.00	.896	111.580
30.000	AAR CORP ACCOUNT 21-80-690-5847005	920.17	920.51	707.70	.017	9.00	1.272	23.590
45.000	AARON'S INC CLASS A ACCOUNT 21-80-690-5847005	771.64	771.64	1,198.80	.028	2.70	.225	26[illegible]0

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/11

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
22.000	ABERCROMBIE & FITCH CO CL A ACCOUNT 21-80-640-5847005	1,099.06	1,304.19	1,399.42	.033	15.40	1.100	63.610
25.000	ACACIA RESEARCH-ACACIA TEC ACCOUNT 21-80-672-5847005	969.28	969.28	1,092.50	.026			43[illegible]
140.000	AGILENT TECHNOLOGIES (IPO) ACCOUNT 21-80-633-5847005	4,506.03	5,370.36	5,161.80	.122			36.870
24.000	AGRIUM INC SEDOL 2015530 ISIN CA0089161081 ACCOUNT 21-80-640-5847005	1,733.33	2,077.69	2,063.04	.049	2.64	.128	85.960
36.000	ALLSCRIPTS HEALTHCARE SOLUTIONS INC ACCOUNT 21-80-672-5847005	416.41	549.72	646.38	.015			17.955
27.000	ALTERA CORP ACCOUNT 21-80-640-5847005	593.62	593.62	982.53	.023	8.64	.879	36.390
23.000	AMAZON COM INC ACCOUNT 21-80-640-5847005	1,510.88	1,636.65	4,950.29	.117			215.230
32.000	AMCOL INTL CORP ACCOUNT 21-80-690-5847005	1,119.15	1,119.15	931.20	.022	23.04	2.474	29.100
23.000	AMEDISYS INC ACCOUNT 21-80-690-5847005	892.55	892.55	390.31	.009			16.970
21.000	AMERICAN EXPRESS CO ACCOUNT 21-80-640-5847005	1,090.35	1,090.35	1,043.91	.025	15.12	1.448	49.710

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/11

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
297.000	AMERICAN EXPRESS CO ACCOUNT 21-80-633-5847005	13,166.32	14,502.39	14,763.87	.350	213.84	1.448	49.710
30.000	AMERCIGROUP CORPORATION ACCOUNT 21-80-690-5847005	792.75	843.20	1,484.10	.035			49.470
59.000	AMERIPRISE FINANCIAL INC ACCOUNT 21-80-633-5847005	1,961.83	2,180.05	2,696.30	.064	54.28	2.013	45.700
16.000	ANSYS INC ACCOUNT 21-80-672-5847005	384.13	395.09	863.68	.020			53.980
22.000	APACHE CORPORATION ACCOUNT 21-80-640-5847005	2,297.26	2,305.83	2,267.54	.054	13.20	.582	103.070
17.000	APPLE INC ACCOUNT 21-80-640-5847005	352.51	441.29	6,542.11	.155			384.830
54.000	ARM HOLDINGS PLC SPONSORED ADR ACCOUNT 21-80-640-5847005	864.59	864.59	1,489.32	.035	7.02	.471	27.580
95.000	ARRIS GROUP INC ACCOUNT 21-80-690-5847005	1,033.76	1,033.76	1,037.40	.025			10.920
65.000	ASTORIA FINL CORP ACCOUNT 21-80-690-5847005	1,145.87	1,150.67	663.65	.016	33.80	5.093	10.210
10.000	ATLAS AIR WORLDWIDE HLDGS INC COM NEW ACCOUNT 21-80-672-5847005	539.99	539.99	490.80	.012			49.080
67.000	AUTODESK INC ACCOUNT 21-80-640-5847005	2,042.73	2,063.95	1,889.40	.045	4.02	.213	28.200

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/11

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
35.000	BAKER HUGHES INC ACCOUNT 21-80-640-5847005	2,353.52	2,353.52	2,138.85	.051	21.00	.982	61.110
25.000	BALLY TECHNOLOGIES INC ACCOUNT 21-80-672-5847005	674.44	825.53	784.50	.019			31.[illegible]
488.000	BANK NEW YORK MELLON CORP COM ACCOUNT 21-80-633-5847005	15,550.71	20,123.79	10,086.96	.239	253.76	2.516	20.670
65.000	BARRICK GOLD CORP SEDOL 2024677 ISIN CA0679011084 ACCOUNT 21-80-640-5847005	2,691.34	2,846.72	3,298.75	.078	39.00	1.182	50.750
37.000	BAXTER INTERNATIONAL INC ACCOUNT 21-80-633-5847005	1,645.60	1,645.60	2,071.26	.049	45.88	2.215	55.980
42.000	BECTON DICKINSON & CO ACCOUNT 21-80-633-5847005	2,813.30	2,813.31	3,417.96	.081	68.88	2.015	81.380
122.000	BED BATH AND BEYOND ACCOUNT 21-80-633-5847005	4,257.60	4,422.22	6,936.92	.165			56.860
122.000	BERKSHIRE HATHAWAY INC CLASS B ACCOUNT 21-80-633-5847005	7,027.20	7,027.20	8,906.00	.211			73.000
40.000	BIOMARIN PHARMACEUTICAL INC ACCOUNT 21-80-672-5847005	699.34	699.34	1,183.40	.028			29.585
50.000	BJ'S RESTAURANTS, INC ACCOUNT 21-80-672-5847005	560.07	560.07	2,308.50	.055			46[illegible]0
28.000	BRISTOW GROUP INC ACCOUNT 21-80-690-5847005	940.37	940.37	1,231.44	.029	16.80	1.364	43.980

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/11

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
12.000	BROADSOFT INC ACCOUNT 21-80-672-5847005	478.81	478.81	363.00	.009			30.250
72.000	BRUKER CORP ACCOUNT 21-80-672-5847005	972.60	972.60	1,024.56	.024			14.230
371.000	CVS CAREMARK CORPORATION ACCOUNT 21-80-633-5847005	11,492.22	12,101.56	13,322.61	.316	185.50	1.392	35.910
20.000	CABOT MICROELECTRONICS CORP ACCOUNT 21-80-690-5847005	947.59	947.59	795.20	.019			39.760
44.000	CABOT OIL & GAS CORP COM ACCOUNT 21-80-690-5847005	609.26	609.26	3,337.84	.079	5.28	.158	75.860
230.000	CANADIAN NATURAL RESOURCES SEDOL 2171573 ISIN CA1363851017 ACCOUNT 21-80-633-5847005	7,904.87	7,904.87	8,664.10	.205	82.11	.948	37.670
18.000	CANTEL MEDICAL CORP ACCOUNT 21-80-690-5847005	304.32	304.32	448.74	.011	2.52	.562	24.930
25.000	CASH AMERICA INTERNATIONAL INC ACCOUNT 21-80-690-5847005	916.92	916.92	1,397.00	.033	3.50	.251	55.880
35.000	CASH AMERICA INTERNATIONAL INC ACCOUNT 21-80-672-5847005	1,016.06	1,149.91	1,955.80	.046	4.90	.251	55.880
15.000	CATALYST HEALTH SOLUTIONS INC ACCOUNT 21-80-672-5847005	662.56	662.56	805.80	.019			53[illegible]0
40.000	CENTENE CORP ACCOUNT 21-80-672-5847005	914.86	1,068.36	1,275.60	.030			31.890

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AS OF 08/31/11

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
42.000	CHECKPOINT SYSTEMS INC ACCOUNT 21-80-690-5847005	759.51	813.61	639.66	.015			15.230
40.000	CHESAPEAKE ENERGY CORP ACCOUNT 21-80-640-5847005	1,238.09	1,238.09	1,295.60	.031	14.00	1.081	32.390
64.000	CHICOS FAS INC ACCOUNT 21-80-672-5847005	757.49	868.04	890.88	.021	12.80	1.437	13.920
14.000	CLIFFS NATURAL RESOURCES INC ACCOUNT 21-80-640-5847005	857.38	857.38	1,159.90	.028	15.68	1.352	82.850
40.000	CLOUD PEAK ENERGY INC ACCOUNT 21-80-672-5847005	723.84	723.84	800.00	.019			20.000
24.000	COACH INC ACCOUNT 21-80-640-5847005	691.19	844.42	1,349.28	.032	21.60	1.601	56.220
63.000	COCA COLA CO ACCOUNT 21-80-633-5847005	3,326.33	3,433.07	4,438.35	.105	118.44	2.669	70.450
12.000	COGNEX CORP ACCOUNT 21-80-672-5847005	368.87	368.87	384.00	.009	4.80	1.250	32.000
30.000	COHERENT INC ACCOUNT 21-80-672-5847005	859.50	918.50	1,326.30	.031			44.210
41.000	COMPANHIA DE BEBIDAS PR ADR ACCOUNT 21-80-640-5847005	1,172.54	1,200.63	1,461.24	.035	30.75	2.104	35.640
66.000	COMPLETE PRODTN SERVICES ACCOUNT 21-80-640-5847005	2,175.60	2,175.60	1,917.96	.045			29.060

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/11

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
10.000	CONCUR TECHNOLOGIES INC ACCOUNT 21-80-672-5847005	377.93	377.93	418.20	.010			41.820
196.000	COSTCO WHOLESALE CORP ACCOUNT 21-80-633-5847005	8,352.40	8,799.80	15,393.84	.365	188.16	1.222	78.560
20.000	COVANCE INC ACCOUNT 21-80-690-5847005	757.40	757.40	991.20	.024			49.560
10.000	CYMER INC ACCOUNT 21-80-672-5847005	453.43	453.43	404.60	.010			40.460
40.000	DTS INC ACCOUNT 21-80-672-5847005	949.16	949.16	1,228.80	.029			30.720
15.000	DECKERS OUTDOOR CORP ACCOUNT 21-80-672-5847005	791.64	791.64	1,334.40	.032			88.960
14.000	DECKERS OUTDOOR CORP ACCOUNT 21-80-640-5847005	1,119.54	1,119.54	1,245.44	.030			88.960
35.000	DELPHI FINL GROUP INC CL A ACCOUNT 21-80-690-5847005	752.91	752.91	846.30	.020	16.80	1.985	24.180
127.000	DEVON ENERGY CORP NEW ACCOUNT 21-80-633-5847005	4,130.04	4,130.04	8,614.41	.204	86.36	1.003	67.830
72.000	DIAGEO PLC SPONSORED ADR ACCOUNT 21-80-633-5847005	3,574.80	3,574.80	5,778.72	.137	186.84	3.233	80.260
30.000	DIGITAL RIV INC ACCOUNT 21-80-690-5847005	1,118.55	1,118.55	603.60	.014			20.120

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/11

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
80.000	DISNEY WALT CO ACCOUNT 21-80-633-5847005	2,585.53	2,585.53	2,724.80	.065	32.00	1.174	34.060
22.000	DIRECTV CLASS A ACCOUNT 21-80-640-5847005	1,168.28	1,168.28	967.34	.023			43[illegible]
36.000	DOVER CORP ACCOUNT 21-80-640-5847005	2,128.06	2,306.37	2,070.72	.049	45.36	2.191	57.520
15.000	DUFF & PHELPS CORP CL A ACCOUNT 21-80-672-5847005	235.92	235.92	170.25	.004	4.80	2.819	11.350
118.000	EMC CORP ACCOUNT 21-80-640-5847005	2,695.78	2,695.78	2,665.62	.063			22.590
132.000	EOG RES INC ACCOUNT 21-80-633-5847005	2,922.99	3,547.98	12,221.88	.290	84.48	.691	92.590
24.000	EQT CORPORATION ACCOUNT 21-80-640-5847005	1,142.59	1,142.59	1,435.68	.034	21.12	1.471	59.820
69.000	EATON CORP ACCOUNT 21-80-640-5847005	2,786.62	2,884.29	2,963.55	.070	93.84	3.166	42.950
35.000	EATON VANCE CORP COM NON VTG ACCOUNT 21-80-690-5847005	702.45	702.45	854.35	.020	26.60	3.113	24.410
44.000	ENERSYS ACCOUNT 21-80-690-5847005	797.13	797.13	988.68	.023			22[illegible]70
117.000	EXPRESS SCRIPTS INC ACCOUNT 21-80-633-5847005	5,075.19	5,706.96	5,491.98	.130			46.940

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/11

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
60.000	FLIR SYSTEM INC ACCOUNT 21-80-690-5847005	914.01	972.99	1,552.20	.037	14.40	.928	25.870
35.000	FTI CONSULTING INC ACCOUNT 21-80-672-5847005	1,212.83	1,212.83	1,273.30	.030	32.66	2.565	36[illegible]0
53.000	FIRST POTOMAC RLTY TR ACCOUNT 21-80-690-5847005	684.18	684.18	686.35	.016	42.40	6.178	12.950
37.000	FLUOR CORP ACCOUNT 21-80-640-5847005	1,691.96	1,757.42	2,246.64	.053	18.50	.823	60.720
24.000	FRANKLIN ELECTRIC INC ACCOUNT 21-80-690-5847005	1,048.89	1,048.89	1,029.84	.024	12.96	1.258	42.910
8.000	FRANKLIN RESOURCES INC ACCOUNT 21-80-640-5847005	741.51	741.51	959.36	.023	8.00	.834	119.920
55.000	FREEPORT MCMORAN COPPER & GOLD INC ACCOUNT 21-80-640-5847005	2,492.08	2,492.08	2,591.05	.061	55.00	2.123	47.110
25.000	FRESH MARKET INC/THE ACCOUNT 21-80-672-5847005	818.75	818.75	965.25	.023			38.610
15.000	FUSION-IO INC ACCOUNT 21-80-672-5847005	440.63	440.63	375.60	.009			25.040
59.000	THE GEO GROUP INC ACCOUNT 21-80-672-5847005	866.07	866.07	1,266.73	.030			21.470
55.000	GNC HOLDINGS INC ACCOUNT 21-80-672-5847005	954.03	954.03	1,331.00	.032			24.200

STATEMENT OF INVESTMENTS

AS OF 08/31/11

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
55.000	GENESCO INC ACCOUNT 21-80-672-5847005	1,149.36	1,236.79	2,916.10	.069			53.020
30.000	GLOBAL PAYMENTS INC-W/I ACCOUNT 21-80-690-5847005	666.45	666.45	1,374.90	.033	2.40	.175	45[illegible]
30.000	GOLDCORP INC SEDOL 2676302 ISIN CA3809564097 ACCOUNT 21-80-640-5847005	1,626.44	1,626.44	1,557.60	.037	12.24	.786	51.920
11.000	GOOGLE INC-CL A ACCOUNT 21-80-640-5847005	3,579.91	4,889.80	5,950.56	.141			540.960
13.000	GOOGLE INC-CL A ACCOUNT 21-80-633-5847005	5,737.96	6,458.55	7,032.48	.167			540.960
60.000	GRAFTECH INTERNATIONAL LTD ACCOUNT 21-80-672-5847005	660.69	660.69	942.00	.022			15.700
108.000	GRUPO TELEVISA SA DE CV SPONSORED ADR REPSTG ORD PARTN CTF ACCOUNT 21-80-633-5847005	1,782.72	2,236.76	2,380.32	.056	15.23	.640	22.040
18.000	HANSEN NATURAL CORP ACCOUNT 21-80-640-5847005	850.16	850.16	1,535.76	.036			85.320
150.000	HARLEY DAVIDSON INC ACCOUNT 21-80-633-5847005	7,235.94	7,236.84	5,799.00	.138	75.00	1.293	38.660
25.000	HARSCO CORP ACCOUNT 21-80-690-5847005	696.12	696.13	571.50	.014	20.50	3.587	22.860

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/11

PAGE 15

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
35.000	HEALTHWAYS INC ACCOUNT 21-80-690-5847005	1,031.62	1,094.84	439.60	.010			12.560
42.000	HELMERICH & PAYNE INC ACCOUNT 21-80-640-5847005	2,334.74	2,574.68	2,394.84	.057	11.76	.491	57[illegible]0
87.000	HEWLETT-PACKARD CO ACCOUNT 21-80-633-5847005	3,296.02	3,926.64	2,264.61	.054	41.76	1.844	26.030
60.000	HEXCEL CORP ACCOUNT 21-80-690-5847005	1,206.89	1,233.76	1,378.20	.033	26.40	1.916	22.970
123.000	HUNTSMAN CORP ACCOUNT 21-80-672-5847005	631.73	763.29	1,612.53	.038	49.20	3.051	13.110
22.000	ILLUMINA INC ACCOUNT 21-80-640-5847005	888.02	888.02	1,146.20	.027			52.100
16.000	IMPAX LABORATORIES INC ACCOUNT 21-80-672-5847005	410.04	410.04	314.72	.007			19.670
28.000	INFORMATICA CORP ACCOUNT 21-80-672-5847005	589.01	708.59	1,169.84	.028			41.780
21.000	INFORMATICA CORP ACCOUNT 21-80-640-5847005	1,154.68	1,154.68	877.38	.021			41.780
58.000	INTEL CORP ACCOUNT 21-80-640-5847005	1,139.32	1,139.32	1,167.54	.028	48.72	4.173	20.130
14.000	INTERCONTINENTAL EXCHANGE INC ACCOUNT 21-80-640-5847005	1,065.55	1,221.63	1,651.30	.039			117[illegible]0
8.000	INTUITIVE SURGICAL INC ACCOUNT 21-80-640-5847005	2,637.35	2,647.69	3,050.80	.072			381.350

PNC

03-312

STATEMENT OF INVESTMENTS

AS OF 08/31/11

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
21.000	INTUIT SOFTWARE ACCOUNT 21-80-640-5847005	1,014.99	1,014.99	1,035.93	.025	12.60	1.216	49.330
25.000	INTREPID POTASH INC ACCOUNT 21-80-672-5847005	643.35	643.35	855.50	.020			34[illegible]
128.000	IRON MTN INC PA ACCOUNT 21-80-633-5847005	2,521.81	2,623.89	4,165.12	.099	128.00	3.073	32.540
20.000	ITRON, INC. ACCOUNT 21-80-690-5847005	968.23	968.23	796.40	.019			39.820
40.000	JEFFRIES GROUP INC ACCOUNT 21-80-690-5847005	670.20	670.20	656.40	.016	12.00	1.828	16.410
100.000	JETBLUE AIRWAYS ACCOUNT 21-80-672-5847005	703.35	703.35	435.00	.010			4.350
127.000	JOHNSON & JOHNSON ACCOUNT 21-80-633-5847005	7,579.65	8,150.26	8,356.60	.198	289.56	3.465	65.800
74.000	KOMATSU LTD SPON ADR ACCOUNT 21-80-640-5847005	2,218.83	2,317.97	1,979.50	.047	32.34	1.634	26.750
80.000	KRAFT FOODS INC - A SEDOL 2764296 ISIN US50075N1046 ACCOUNT 21-80-633-5847005	2,444.58	2,444.58	2,801.60	.066	92.80	3.312	35.020
23.000	KRATON PERFORMANCE POLYMERS ACCOUNT 21-80-672-5847005	883.37	883.37	551.31	.013			23[illegible]0
18.000	LANDSTAR SYS INC ACCOUNT 21-80-672-5847005	599.52	602.29	728.82	.017	3.96	.543	40.490

PNC

03-312

STATEMENT OF INVESTMENTS

AS OF 08/31/11

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
40.000	LIFE TIME FITNESS INC ACCOUNT 21-80-690-5847005	1,193.34	1,193.34	1,533.60	.036			38.340
31.000	LOCKHEED MARTIN CORP ACCOUNT 21-80-633-5847005	2,177.45	2,177.46	2,299.89	.055	124.00	5.392	74[illegible]
268.000	LOEWS CORPORATION ACCOUNT 21-80-633-5847005	13,218.35	13,455.80	10,082.16	.239	67.00	.665	37.620
26.000	LUFKIN INDS, INC. ACCOUNT 21-80-690-5847005	925.56	915.18	1,617.46	.038	13.00	.804	62.210
37.000	LUFKIN INDS, INC. ACCOUNT 21-80-672-5847005	658.34	692.10	2,301.77	.055	18.50	.804	62.210
33.000	MADDEN STEVEN LTD ACCOUNT 21-80-672-5847005	724.38	724.38	1,191.96	.028			36.120
4.000	MASTERCARD INC CL A ACCOUNT 21-80-640-5847005	1,316.47	1,316.47	1,318.84	.031	2.40	.182	329.710
17.000	MEAD JOHNSON NUTRITION CO ACCOUNT 21-80-640-5847005	1,146.29	1,146.29	1,211.25	.029	17.68	1.460	71.250
53.000	MEDASSETS INC ACCOUNT 21-80-672-5847005	912.27	912.27	605.79	.014			11.430
98.000	MEDICAL PROPERTIES TRUST INC ACCOUNT 21-80-690-5847005	713.14	713.14	1,047.62	.025	78.40	7.484	10.690
54.000	MEDIDATA SOLUTIONS INC ACCOUNT 21-80-672-5847005	1,254.37	1,254.37	891.00	.021			16.[illegible]0
20.000	MEDNAX INC ACCOUNT 21-80-690-5847005	1,136.22	1,136.22	1,306.20	.031			65.310

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/11

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
280.000	MERCK & CO INC ACCOUNT 21-80-633-5847005	8,292.47	9,679.23	9,266.60	.220	425.60	4.593	33.095
28.000	MERITAGE HOMES CORPORATION ACCOUNT 21-80-690-5847005	872.69	872.69	524.44	.012	42.00	8.009	18[illegible]
95.000	MERITOR INC ACCOUNT 21-80-672-5847005	1,251.22	1,251.22	802.75	.019			8.450
91.000	MICROSOFT CORP ACCOUNT 21-80-633-5847005	1,939.01	2,642.32	2,420.60	.057	72.80	3.008	26.600
54.000	MONSANTO CO ACCOUNT 21-80-633-5847005	3,885.54	4,115.98	3,722.22	.088	64.80	1.741	68.930
35.000	MONSTER WORLDWIDE INC ACCOUNT 21-80-672-5847005	664.91	664.91	330.40	.008			9.440
22.000	MOOG INC CLASS A ACCOUNT 21-80-690-5847005	569.72	652.02	877.36	.021	1.54	.176	39.880
30.000	NATIONAL OILWELL VARCO INC ACCOUNT 21-80-640-5847005	1,045.81	1,328.24	1,983.60	.047	13.20	.665	66.120
20.000	NORTHWEST PIPE CO ACCOUNT 21-80-672-5847005	596.23	596.23	545.20	.013			27.260
21.000	NOVO NORDISK A S ADR ACCOUNT 21-80-640-5847005	1,597.97	1,703.53	2,239.86	.053	28.52	1.273	106[illegible]
46.000	NVIDIA CORP ACCOUNT 21-80-640-5847005	1,122.62	1,122.62	612.26	.015			13.310

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/11

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
20.000	OYO GEOSPACE ACCOUNT 21-80-672-5847005	1,370.29	1,397.67	1,474.00	.035			73.700
16.000	OASIS PETROLEUM INC ACCOUNT 21-80-672-5847005	363.65	363.65	425.60	.010			26[illegible]0
150.000	OCCIDENTAL PETROLEUM CORP ACCOUNT 21-80-633-5847005	3,818.25	3,818.25	13,011.00	.309	276.00	2.121	86.740
20.000	ONEOK INC NEW ACCOUNT 21-80-690-5847005	474.80	474.80	1,418.00	.034	44.80	3.159	70.900
19.000	OPEN TEXT CORP ACCOUNT 21-80-640-5847005	1,212.16	1,212.16	1,121.00	.027			59.000
154.000	ORACLE CORP ACCOUNT 21-80-640-5847005	3,709.06	4,029.39	4,322.78	.103	36.96	.855	28.070
25.000	PVH CORP ACCOUNT 21-80-690-5847005	498.75	498.75	1,666.50	.040	3.75	.225	66.660
34.000	PAN AMERICAN SILVER CORP ISIN CA6979001089 SEDOL 2669272 ACCOUNT 21-80-640-5847005	1,067.35	1,067.35	1,113.16	.026	3.40	.305	32.740
19.000	PAREXEL INTERNATIONAL CORP ACCOUNT 21-80-672-5847005	399.85	399.85	387.22	.009			20.380
14.000	PARKER HANNIFIN CORP ACCOUNT 21-80-640-5847005	925.01	983.04	1,028.02	.024	20.72	2.016	7[illegible]0
87.000	PATTERSON-UTI ENERGY INC ACCOUNT 21-80-640-5847005	2,291.96	2,291.96	2,126.28	.050	17.40	.818	24.440

PNC

03-312

STATEMENT OF INVESTMENTS

AS OF 08/31/11

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
370.000	PFIZER INC ACCOUNT 21-80-633-5847005	5,872.03	6,999.71	7,022.60	.167	296.00	4.215	18.980
45.000	PHARMACUTICAL PROD DEV INC ACCOUNT 21-80-690-5847005	737.78	737.78	1,416.60	.034	27.00	1.906	31[illegible]
20.000	PLANTRONICS INC NEW ACCOUNT 21-80-672-5847005	662.89	662.89	641.00	.015	4.00	.624	32.050
34.000	PRICE T ROWE GROUP INC ACCOUNT 21-80-640-5847005	1,935.64	2,058.25	1,818.32	.043	42.16	2.319	53.480
9.000	PRICELINE.COM INC ACCOUNT 21-80-640-5847005	2,106.01	2,251.64	4,835.34	.115			537.260
384.000	PROGRESSIVE CORP OHIO ACCOUNT 21-80-633-5847005	7,751.04	7,751.04	7,365.12	.175	153.22	2.080	19.180
35.000	PROTECTIVE LIFE CORP ACCOUNT 21-80-690-5847005	748.18	910.91	664.65	.016	22.40	3.370	18.990
11.000	QUAKER CHEMICAL CORPORATION ACCOUNT 21-80-672-5847005	457.74	457.74	366.74	.009	10.56	2.879	33.340
35.000	QLIK TECHNOLOGIES INC ACCOUNT 21-80-672-5847005	914.25	914.25	888.30	.021			25.380
81.000	QUALCOMM INC ACCOUNT 21-80-640-5847005	3,428.71	3,795.81	4,168.26	.099	69.66	1.671	51.460
23.000	QUALITY SYSTEMS INC ACCOUNT 21-80-672-5847005	1,530.54	1,555.47	2,116.46	.050	16.10	.761	92[illegible]
15.000	RTI INTERNATIONAL METALS ACCOUNT 21-80-672-5847005	489.66	489.66	399.60	.009	11.25	2.815	26.640

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/11

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
42.000	RAYMOND JAMES FINANCIAL INC ACCOUNT 21-80-690-5847005	684.04	684.04	1,179.36	.028	21.84	1.852	28.080
25.000	REDWOOD TR INC ACCOUNT 21-80-672-5847005	366.39	366.39	314.00	.007	25.00	7.962	12[illegible]
20.000	REGAL BELOIT CORP ACCOUNT 21-80-672-5847005	880.72	880.72	1,175.80	.028	14.40	1.225	58.790
25.000	REINSURANCE GROUP OF AMERICA ACCOUNT 21-80-690-5847005	719.80	719.80	1,334.25	.032	18.00	1.349	53.370
30.000	RIVERBED TECHNOLOGY INC ACCOUNT 21-80-672-5847005	360.26	360.26	743.40	.018			24.780
19.000	ROVI CORP ACCOUNT 21-80-672-5847005	309.98	344.91	928.91	.022			48.890
20.000	SBA COMMUNICATIONS CORP (IPO) ACCOUNT 21-80-690-5847005	403.51	403.51	755.80	.018			37.790
22.000	SALIX PHARMACEUTICALS LTD ACCOUNT 21-80-672-5847005	787.64	787.64	669.90	.016			30.450
96.000	SAPIENT CORP ACCOUNT 21-80-672-5847005	1,174.76	1,174.76	1,030.08	.024			10.730
14.000	SCHLUMBERGER LTD SEDOL 2779201 ISIN AN8068571086 ACCOUNT 21-80-640-5847005	1,041.73	1,041.73	1,093.68	.026	14.00	1.280	78.120
25.000	THE SCOTTS MIRACLE-GRO COMPANY ACCOUNT 21-80-690-5847005	915.55	915.55	1,214.00	.029	30.00	2.471	48.560

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/11

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
263.000	SEALED AIR CORP ACCOUNT 21-80-633-5847005	6,435.61	6,435.61	4,844.46	.115	136.76	2.823	18.420
45.000	SEATTLE GENETICS INC / WA ACCOUNT 21-80-672-5847005	625.04	625.04	782.55	.019			17[illegible]
70.000	SHUFFLE MASTER INC ACCOUNT 21-80-672-5847005	200.79	200.79	620.20	.015			8.860
20.000	SIRONA DENTAL SYSTEMS INC ACCOUNT 21-80-672-5847005	744.11	744.11	933.20	.022	50.00	5.358	46.660
20.000	SNAP ON INC ACCOUNT 21-80-690-5847005	638.60	638.60	1,058.20	.025	27.20	2.570	52.910
50.000	SOLARWINDS INC ACCOUNT 21-80-640-5847005	1,190.97	1,190.97	1,238.50	.029			24.770
35.000	SOTHEBY'S ACCOUNT 21-80-672-5847005	446.92	446.92	1,302.35	.031	7.00	.537	37.210
25.000	SOUTH JERSEY INDUSTRIES INC ACCOUNT 21-80-690-5847005	985.77	985.77	1,288.25	.031	36.50	2.833	51.530
31.000	STARBUCKS CORP ACCOUNT 21-80-640-5847005	608.54	945.20	1,197.22	.028	21.08	1.761	38.620
25.000	STATE AUTO FINANCIAL CORP ACCOUNT 21-80-690-5847005	847.57	847.57	352.00	.008	15.00	4.261	14.080
13.000	STIFEL FINL CORP ACCOUNT 21-80-672-5847005	380.09	380.09	391.04	.009	1.56	.399	30.[illegible]
30.000	SUCCESSFACTORS INC ACCOUNT 21-80-672-5847005	644.43	644.43	700.80	.017			23.360

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/11

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
30.000	SWIFT ENERGY CO ACCOUNT 21-80-690-5847005	692.27	692.27	925.50	.022			30.850
20.000	TELEDYNE TECHNOLOGIES INC ACCOUNT 21-80-690-5847005	901.02	901.02	1,091.80	.026			54[illegible]
21.000	TENNECO INC ACCOUNT 21-80-672-5847005	429.51	429.51	689.01	.016	4.20	.610	32.810
50.000	TERADYNE INCORPORATED ACCOUNT 21-80-672-5847005	342.75	343.69	605.00	.014			12.100
156.000	TEXAS INSTRUMENTS INC ACCOUNT 21-80-633-5847005	4,053.10	5,043.53	4,088.76	.097	106.08	2.594	26.210
45.000	THORATEC CORP ACCOUNT 21-80-672-5847005	850.22	917.73	1,541.70	.037			34.260
45.000	TIBCO SOFTWARE INC ACCOUNT 21-80-672-5847005	346.00	361.84	1,007.10	.024			22.380
36.000	TIM PARTICIPACOES SA ADR ACCOUNT 21-80-640-5847005	1,008.96	1,008.96	1,121.04	.027	22.25	1.985	31.140
35.000	TIMKEN CO ACCOUNT 21-80-690-5847005	1,011.50	1,011.50	1,377.25	.033	28.00	2.033	39.350
73.000	TITANIUM METALS CORP ACCOUNT 21-80-672-5847005	1,035.64	1,035.64	1,170.19	.028	21.90	1.871	16[illegible]
183.000	TRANSATLANTIC HOLDINGS INC ACCOUNT 21-80-633-5847005	10,120.17	10,120.17	9,265.29	.220	161.04	1.738	50.630

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/11

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
20.000	TRIUMPH GROUP INC NEW ACCOUNT 21-80-672-5847005	645.00	645.00	1,047.60	.025	3.20	.305	52.380
42.000	TRUSTMARK CORP ACCOUNT 21-80-690-5847005	737.05	737.05	903.00	.021	38.64	4.279	21[illegible]
17.000	TUPPERWARE BRANDS CORP ACCOUNT 21-80-640-5847005	1,139.33	1,139.33	1,130.50	.027	20.40	1.805	66.500
66.000	TWO HARBORS INVESTMENT CORP ACCOUNT 21-80-672-5847005	704.91	704.91	631.62	.015	105.60	16.719	9.570
15.000	UMB FINL CORP ACCOUNT 21-80-672-5847005	689.95	689.95	581.70	.014	12.30	2.114	38.780
20.000	URS CORP NEW ACCOUNT 21-80-690-5847005	810.67	810.67	701.40	.017			35.070
32.000	UNITED BANKSHARES INC W VIRGINIA ACCOUNT 21-80-690-5847005	938.79	938.79	719.04	.017	38.40	5.340	22.470
25.000	UNITED FIRE & CASUALTY CO ACCOUNT 21-80-690-5847005	802.59	802.59	452.75	.011	15.00	3.313	18.110
45.000	UNIVERSAL ELECTRONICS ACCOUNT 21-80-672-5847005	1,145.12	1,185.58	877.95	.021			19.510
37.000	VALSPAR CORP ACCOUNT 21-80-690-5847005	942.41	942.41	1,194.73	.028	26.64	2.230	32.290
20.000	VEECO INSTRUMENTS INC DEL ACCOUNT 21-80-672-5847005	657.60	657.60	727.20	.017			36.[illegible]
27.000	VERIFONE SYSTEMS INC ACCOUNT 21-80-640-5847005	1,106.07	1,106.07	950.94	.023			35.220

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/11

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
21.000	VERTEX PHARMACEUTICALS INC ACCOUNT 21-80-640-5847005	1,178.45	1,178.45	950.67	.023			45.270
40.000	VITAMIN SHOPPE INC ACCOUNT 21-80-672-5847005	823.43	823.43	1,772.00	.042			44[illegible]
30.000	WGL HLDGS INC ACCOUNT 21-80-690-5847005	853.08	853.08	1,240.80	.029	46.50	3.748	41.360
20.000	WABCO HOLDINGS INC ACCOUNT 21-80-672-5847005	695.22	695.22	933.00	.022	5.60	.600	46.650
30.000	WADDELL & REED FINL INC ACCOUNT 21-80-640-5847005	1,218.34	1,218.34	936.60	.022	24.00	2.562	31.220
45.000	WASTE CONNECTIONS INC ACCOUNT 21-80-672-5847005	592.20	592.20	1,556.55	.037	16.20	1.041	34.590
17.000	WATSON PHARMACEUTICAL ACCOUNT 21-80-640-5847005	1,175.52	1,175.52	1,141.04	.027			67.120
507.000	WELLS FARGO & COMPANY ACCOUNT 21-80-633-5847005	10,145.89	14,872.84	13,232.70	.314	243.36	1.839	26.100
	TOTAL COMMON STOCK	423,947.19	451,263.96	522,171.92	12.384	6,907.66	1.323	
	LIMITED PARTNERSHIPS							
44.000	KKR FINANCIAL HOLDINGS LLC REIT ACCOUNT 21-80-672-5847005	384.32	384.32	380.60	.009	31.68	8.324	8[illegible]

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/11

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
	TOTAL LIMITED PARTNERSHIPS	384.32	384.32	380.60	.009	31.68	8.324	
	MUTUAL FUNDS - FIXED							
177.835	PIMCO FDS TOTAL RETURN BD FUND INSTL CLASS, FD #35 (INCOME INVESTMENT) ACCOUNT 21-80-001-5847005	1,920.62	1,920.62	1,957.96	.046	64.91	3.315	11.010
5,271.626	PIMCO FDS TOTAL RETURN BD FUND INSTL CLASS, FD #35 ACCOUNT 21-80-001-5847005	60,878.20	60,878.20	58,040.60	1.376	1,924.14	3.315	11.010
12,647.059	PNC LIMITED MATURITY BOND FUND CLASS I FUND #413 ACCOUNT 21-80-001-5847005	129,000.00	129,000.00	129,126.47	3.062	2,010.88	1.557	10.210
122,571.719	VANGUARD TOTAL BOND MARKET INDEX FUND CL SIG FD #1351 ACCOUNT 21-80-001-5847005	1,234,865.13	1,237,172.51	1,343,386.04	31.859	43,267.82	3.221	10.960
	TOTAL MUTUAL FUNDS - FIXED	1,426,663.95	1,428,971.33	1,532,511.07	36.343	47,267.75	3.084	
	MUTUAL FUNDS - EQUITY							
513.363	ARTISAN MID CAP VALUE FUND FD #464 (INCOME INVESTMENT) ACCOUNT 21-80-001-5847005	9,689.83	9,689.83	10,482.87	.249	82.14	.784	20

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/11

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
4,518.560	ARTISAN MID CAP VALUE FUND FD #464 ACCOUNT 21-80-001-5847005	62,698.39	87,832.88	92,269.00	2.188	722.97	.784	20.420
782.932	BLACKROCK FDS US OPPORTUNITIES PORTFOLIO FUND 339 INSTITUTIONAL CLASS ACCOUNT 21-80-001-5847005	33,830.52	33,830.52	29,547.85	.701			37[illegible]
28,497.459	BLACKROCK FUNDS EQUITY DIVIDEND INSTITUTIONAL CLASS FUND 383 ACCOUNT 21-80-001-5847005	474,610.28	477,722.73	500,130.41	11.861	10,458.57	2.091	17.550
67.160	HARBOR INTERNATIONAL FUND CLASS INS (INCOME INVESTMENT) ACCOUNT 21-80-001-5847005	4,636.75	4,636.75	3,843.57	.091	58.56	1.524	57.230
5,150.357	HARBOR INTERNATIONAL FUND CLASS INS ACCOUNT 21-80-001-5847005	234,965.59	286,244.73	294,754.93	6.990	4,491.11	1.524	57.230
227.353	T ROWE PRICE GROWTH STOCK FD # 40 (INCOME INVESTMENT) ACCOUNT 21-80-001-5847005	7,352.35	7,352.35	7,213.91	.171	3.87	.054	31.730
19,321.111	T ROWE PRICE GROWTH STOCK FD # 40 ACCOUNT 21-80-001-5847005	544,231.21	594,486.60	613,058.85	14.539	328.46	.054	31[illegible]
4,187.817	RS EMERGING MARKETS FUND CLASS A ACCOUNT 21-80-001-5847005	82,500.00	82,500.00	98,204.31	2.329	2,311.67	2.354	23.450

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/11

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
2,127.088	T ROWE PRICE MID CAP GROWTH INC FD #64 ACCOUNT 21-80-001-5847005	127,248.85	127,295.07	121,052.58	2.871			56.910
3,168.800	T ROWE PRICE REAL ESTATE FUND FD #122 ACCOUNT 21-80-001-5847005	52,000.00	52,000.00	57,798.91	1.371	1,267.52	2.193	18[illegible]
20,000.000	TOUCHSTONE SANDS CAPITAL SELECT GROWTH FD CLASS Y ACCOUNT 21-80-001-5847005	210,000.00	210,000.00	208,400.00	4.942			10.420
	TOTAL MUTUAL FUNDS - EQUITY	1,843,763.77	1,973,591.46	2,036,757.19	48.303	19,724.87	.968	
MUTUAL FUNDS - BALANCED								
4,066.587	IVY GLBL NATURAL RESOURCES FD CL Y FUND #722 ACCOUNT 21-80-001-5847005	89,000.00	89,000.00	79,949.10	1.896	82.15	.103	19.660
	TOTAL MUTUAL FUNDS - BALANCED	89,000.00	89,000.00	79,949.10	1.896	82.15	.103	
TOTAL INVESTMENTS		3,828,712.83	3,988,164.67	4,216,723.48	100.000	74,032.07	1.755	

PNC

03-312

ACCOUNT SUMMARY

08/31/11 THROUGH 08/31/11

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

	INCOME CASH	INCOME CARRYING VALUE	PRINCIPAL CASH	PRINCIPAL CARRYING VALUE
YOUR TRUST ACCOUNT BALANCES ON 08/31/11 WERE	0.00	35,116.16	0.00	3,793,596.67
DURING THE PERIOD INCOME WAS RECEIVED FROM NO DIVIDENDS THIS PERIOD NO NON-TAXABLE INTEREST INCOME THIS PERIOD NO TAXABLE INTEREST INCOME THIS PERIOD NO RENTAL INCOME THIS PERIOD				
THUS TOTAL INCOME RECEIVED EQUALED				
NO CONTRIBUTIONS WERE RECEIVED THIS PERIOD				
NO SALES THIS PERIOD				
NO INCOME OR PRINCIPAL TRANSFERS THIS PERIOD				
NO PURCHASES THIS PERIOD				
EXPENSES INCURRED DURING THIS PERIOD WERE NO FEES CHARGED THIS PERIOD NO OTHER EXPENSES THIS PERIOD				
FOR TOTAL EXPENSES OF				
NO PAYMENTS WERE MADE TO OR FOR YOU THIS PERIOD				
YOUR CURRENT BALANCES AS OF 08/31/11 ARE	0.00	35,116.16	0.00	3,793,596.67

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