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Form **990-PF**

**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

OMB No 1545-0052

2010

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning **09/01/10**, and ending **08/31/11**

G Check all that apply Initial return Initial return of a former public charity Final return
 Amended return Address change Name change

Name of foundation JOHN N. BLACKMAN SR. FOUNDATION C/O SCHAIN LEIFER GURALNICK		A Employer identification number 22-2938619
Number and street (or P O box number if mail is not delivered to street address) 10 EAST 40TH STREET		B Telephone number (see page 10 of the instructions) 212-679-0380
Room/suite 2710		C If exemption application is pending, check here <input type="checkbox"/>
City or town, state, and ZIP code NEW YORK NY 10016-0348		D 1. Foreign organizations, check here <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 1,364,845	J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)	200,000			
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments	10,850	10,850	10,850	
4 Dividends and interest from securities	22,266	22,266	22,266	
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	45,335			
b Gross sales price for all assets on line 6a 1,360,410				
7 Capital gain net income (from Part IV, line 2)		45,335		
8 Net short-term capital gain			9,760	
9 Income modifications				
10a Gross sales less returns & allowances				
b Less. Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	278,451	78,451	42,876	
13 Compensation of officers, directors, trustees, etc	0			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule) Stmt 1	22,320	8,928	13,392	
c Other professional fees (attach schedule) Stmt 2	19,075	19,075		
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions) Stmt 3	352	352		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (att sch) Stmt 4	1,250		1,250	
24 Total operating and administrative expenses. Add lines 13 through 23	42,997	28,355	14,642	0
25 Contributions, gifts, grants paid	203,000			203,000
26 Total expenses and disbursements. Add lines 24 and 25	245,997	28,355	14,642	203,000
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	32,454			
b Net investment income (if negative, enter -0-)		50,096		
c Adjusted net income (if negative, enter -0-)			28,234	

SCANNED JUN 13 2012 Revenue

Operating and Administrative Expenses

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Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	25,939	199,368	199,368
	2 Savings and temporary cash investments	63,710	72,541	72,541
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7 Other notes and loans receivable (att. schedule) ▶ Less: allowance for doubtful accounts ▶	0		
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule) Stmt 5	387,767	351,806	364,250
	b Investments—corporate stock (attach schedule) See Stmt 6	798,354	684,509	721,640
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ Less: accumulated depreciation (attach sch.) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule) See Statement 7	6,000	6,000	6,000
	14 Land, buildings, and equipment basis ▶ Less: accumulated depreciation (attach sch.) ▶			
15 Other assets (describe ▶ See Statement 8)	1,046	1,046	1,046	
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,282,816	1,315,270	1,364,845	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	1,282,816	1,315,270	
30 Total net assets or fund balances (see page 17 of the instructions)	1,282,816	1,315,270		
31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	1,282,816	1,315,270		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,282,816
2 Enter amount from Part I, line 27a	2	32,454
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	1,315,270
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,315,270

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	See Worksheet			
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	45,335
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8	{ }		3	9,760

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	193,111	1,444,756	0.133663
2008	190,879	1,547,754	0.123326
2007	202,821	2,207,821	0.091865
2006	219,807	2,357,913	0.093221
2005	206,279	2,371,724	0.086974
2 Total of line 1, column (d)			0.529049
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			0.105810
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5			1,520,237
5 Multiply line 4 by line 3			160,856
6 Enter 1% of net investment income (1% of Part I, line 27b)			501
7 Add lines 5 and 6			161,357
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18			203,000

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: (attach copy of letter if necessary—see instructions)		1	501
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3 Add lines 1 and 2		3	501
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	501
6 Credits/Payments:			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	430	
b Exempt foreign organizations—tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	400	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	830	
8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	329	
11 Enter the amount of line 10 to be Credited to 2011 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
4b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) NY, DE		
8b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

<p>11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)</p>	11		X
<p>12 Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?</p>	12		X
<p>13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A</p>	13	X	
<p>14 The books are in care of ▶ SCHAIN LEIFER GURALNICK Telephone no ▶ 212-679-0380 10 E40TH ST Located at ▶ NEW YORK NY ZIP+4 ▶ 10016</p>			
<p>15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year</p>	▶ 15		▶ <input type="checkbox"/>
<p>16 At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶</p>	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
<p>1a During the year did the foundation (either directly or indirectly)</p> <p>(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>			
<p>b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here</p>	N/A	1b	
<p>c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?</p>	N/A	1c	
<p>2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5):</p> <p>a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? If "Yes," list the years ▶ 20 , 20 , 20 , 20 <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 22 of the instructions) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20 , 20 , 20 , 20</p>	N/A	2b	
<p>3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	N/A	3b	
<p>4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?</p>	N/A	4a	X
<p>b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?</p>	N/A	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here **N/A** 5b

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** Yes No
If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No 6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No 6b **X**

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No 7b

b If Yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
HOWARD SCHAIN 10 EAST 40TH STREET NEW YORK NY 10016	PRESIDENT 1.00	0	0	0
MARK W. BLACKMAN 10 EAST 40TH STREET NEW YORK NY 10016	TRUSTEE 0.00	0	0	0
JOHN N. BLACKMAN, JR. 10 EAST 40TH STREET NEW YORK NY 10016	TRUSTEE 0.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 — see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
3 All other program-related investments See page 24 of the instructions	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	1,299,147
b	Average of monthly cash balances	1b	238,241
c	Fair market value of all other assets (see page 25 of the instructions)	1c	6,000
d	Total (add lines 1a, b, and c)	1d	1,543,388
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	1,543,388
4	Cash deemed held for charitable activities Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	4	23,151
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,520,237
6	Minimum investment return. Enter 5% of line 5	6	76,012

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part)

1	Minimum investment return from Part X, line 6	1	76,012
2a	Tax on investment income for 2010 from Part VI, line 5	2a	501
b	Income tax for 2010 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	501
3	Distributable amount before adjustments Subtract line 2c from line 1	3	75,511
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	75,511
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	75,511

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	203,000
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	203,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	501
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	202,499
Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				75,511
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only				
b Total for prior years. 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2010.				
a From 2005				
b From 2006				102,297
c From 2007				92,788
d From 2008				113,733
e From 2009				122,651
f Total of lines 3a through e	431,469			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ <u>203,000</u>				
a Applied to 2009, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required—see page 26 of the instructions)				
d Applied to 2010 distributable amount				75,511
e Remaining amount distributed out of corpus	127,489			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	558,958			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see page 27 of the instructions				
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount—see page 27 of the instructions				
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions)				
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	558,958			
10 Analysis of line 9:				
a Excess from 2006				102,297
b Excess from 2007				92,788
c Excess from 2008				113,733
d Excess from 2009				122,651
e Excess from 2010				127,489

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon.					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)
N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed
**HOWARD SCHAIN 212-679-0380
10 EAST 40TH STREET NEW YORK NY 100196**

b The form in which applications should be submitted and information and materials they should include:
BY LETTER

c Any submission deadlines:
NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHED SCHEDULE				203,000
Total			▶ 3a	203,000
b Approved for future payment N/A				
Total			▶ 3b	

Schedule B
(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

OMB No 1545-0047

2010

▶ Attach to Form 990, 990-EZ, or 990-PF.

Name of the organization JOHN N. BLACKMAN SR. FOUNDATION C/O SCHAIN LEIFER GURALNICK	Employer identification number 22-2938619
---	--

Organization type (check one):

- | | | |
|--------------------|-------------------------------------|---|
| Filers of: | Section: | |
| Form 990 or 990-EZ | <input type="checkbox"/> | 501(c)() (enter number) organization |
| | <input type="checkbox"/> | 4947(a)(1) nonexempt charitable trust not treated as a private foundation |
| | <input type="checkbox"/> | 527 political organization |
| Form 990-PF | <input checked="" type="checkbox"/> | 501(c)(3) exempt private foundation |
| | <input type="checkbox"/> | 4947(a)(1) nonexempt charitable trust treated as a private foundation |
| | <input type="checkbox"/> | 501(c)(3) taxable private foundation |

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2010)

Name of organization

JOHN N. BLACKMAN SR. FOUNDATION

Employer identification number

22-2938619

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	MARK BLACKMAN 80 DEEPWOOD ROAD DARIEN CT 06820	\$ 100,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
2	JOHN BLACKMAN 15368 MILAN LANE NAPLES FL 34110	\$ 100,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

Capital Gains and Losses for Tax on Investment Income

Form **990-PF**

2010

For calendar year 2010, or tax year beginning

09/01/10, and ending **08/31/11**

Name

**JOHN N. BLACKMAN SR. FOUNDATION
C/O SCHAIN LEIFER GURALNICK**

Employer Identification Number

22-2938619

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) SEE ATTACHED-UBS - 67280	P		
(2) SEE ATTACHED-UBS - 67280	P		
(3) SEE ATTACHED-UBS - 67281	P		
(4) SEE ATTACHED-UBS - 67281	P		
(5) SEE ATTACHED-UBS - 67283	P		
(6) SEE ATTACHED-UBS - 67283	P		
(7) LT CAP GAIN DIV: UBS 67280			
(8)			
(9)			
(10)			
(11)			
(12)			
(13)			
(14)			
(15)			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 556,359		550,949	5,410
(2) 228,203		200,172	28,031
(3) 309,952		306,286	3,666
(4) 92,871		92,116	755
(5) 35,874		35,190	684
(6) 137,150		130,362	6,788
(7) 1			1
(8)			
(9)			
(10)			
(11)			
(12)			
(13)			
(14)			
(15)			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			5,410
(2)			28,031
(3)			3,666
(4)			755
(5)			684
(6)			6,788
(7)			1
(8)			
(9)			
(10)			
(11)			
(12)			
(13)			
(14)			
(15)			

Name	Address 1	Address 2	Address 3	Amount	Description
ALBERT G OLIVER PROGRAM	80 Mauden Lane	Suite 706	New York, NY 10038	3,000.00	MINORITY HIGH SCHOOL SCHOLARSHIPS
AMERICAN BALLROOM THEATER COMPANY, INC	25 West 31st Street	4th Floor	New York, NY 10001	7,500.00	INNER CITY CHILDREN DANCE TRAINING
ANDREW GLOVER YOUTH PROGRAM, INC	100 Centre Street	Room 1541	New York, NY 10013	3,000.00	LOWER EAST SIDE YOUTH
ANGEL FLIGHT NORTEAST	492 Sutton Street	Lawrence Airport	North Andover, MA 01845	3,000.00	MEDICAL TRANSPORTATION
BALLET TECH FOUNDATION	890 Broadway		New York, NY 10003	5,000.00	INNER CITY CHILDREN DANCE TRAINING
BBB WISE GIVING ALLIANCE	4200 Wilson Blvd	Suite 800	Arlington, VA 22203-1804	2,000.00	CHARITY SCREENING
BOWER Y MISSION	132 Madison Avenue		New York, NY 10016	3,000.00	HUNGER
BROOKLYN BALLET, INC	16 Schermerhorn Street		Brooklyn, NY 11201	3,000.00	CHILDREN'S DANCE OUTREACH PROGRAM
CENTER FOR HEARING & COMMUNICATION	50 Broadway	6th Floor	New York, NY 10004-1694	2,000.00	HEARING LOSS
CHILDREN'S AID SOCIETY	105 East 22nd Street	Suite 102	New York, NY 10010	3,000.00	AID TO CHILDREN
CITY HARVEST	575 Eighth Avenue	4th Floor	New York, NY 10018	3,000.00	HUNGER
CITY PARKS FOUNDATION	830 Fifth Avenue		New York, NY 10065-7001	3,000.00	PARKS PROGRAMS
CITYMEALS ON WHEELS	355 Lexington Avenue		New York, NY 10017-6603	3,000.00	HUNGER
COALITION FOR THE HOMELESS	129 Fulton Street		New York, NY 10038	3,000.00	HOMELESS
COALITION AGAINST CHILD ABUSE & NEGLECT	15 Gunman Rd W	Suite 900	Bethpage, NY 11714	3,000.00	CHILD ABUSE
COMMUNITY-WORD PROJECT	42 Broadway	18th Floor	New York, NY 10004-1694	3,000.00	IN SCHOOL ARTS PROGRAMS
COURT APPOINTED SPECIAL ADVOCATES	50 Broadway	31st Floor	New York, NY 10004-1694	3,000.00	ABANDONED BABIES
D H R, INC	160 West 96th Street	Apt 5	New York, NY 10023	5,000.00	ADVOCACY FOR THE DISABLED
DOE FUND	232 East 84th Street		New York, NY 10028	3,000.00	JOB DEVELOPMENT
EAST SIDE SETTLEMENT HOUSE	337 Alexander Avenue		Bronx, NY 10454	3,000.00	S BRONX SELF HELP PROGRAMS
ELEANOR ROOSEVELT CENTER AT VAL-KILL	P O Box 255		Hyde Park, NY 12538	3,000.00	GIRLS' LEADERSHIP PROGRAM
EYE-BANK FOR SIGHT RESTORATION, INC	120 Wall Street		New York, NY 10005-3902	3,000.00	BLIND-CORNEA TRANSPLANTS
FRESH AIR FUND	633 Third Avenue	14th Floor	New York, NY 10017	3,000.00	CAMPING FOR DISABLED & NEEDY CHILDREN
GAY MEN'S HEALTH CENTER	446 West 33 Street		New York, NY 10001-2601	3,000.00	SERVICES FOR HIV/AIDS
GIRL SCOUT COUNCIL OF GREATER OF NEW YORK	43 West 23rd Street		New York, NY 10010-4283	2,500.00	SCOUTING FOR GIRLS
GOD'S LOVE WE DELIVER	166 Avenue Of The Americas	Suite 430	New York, NY 10013	3,000.00	HUNGER/MALNUTRITION FOR HIV/AIDS
GREATER NEW YORK COUNCILS, B S A	350 Fifth Avenue		New York, NY 10018-0199	2,500.00	SCOUTING FOR BOYS
GUIDING EYES FOR THE BLIND	611 Granite Springs Road	MetLife Bldg 14th Fl	Yorktown Heights NY 10598-9986	3,000.00	BLIND
HEART & SOUL CHARITABLE FUND	200 Park Avenue		New York, NY 10166	5,000.00	FEEDING THE HOMELESS
HOLE IN THE WALL GANG FUND, INC	555 Long Wharf Drive		New Haven, CT 06511	3,000.00	CAMP FOR CANCER & BLOOD DISEASE
HOLY APOSTLES SOUP KITCHEN	296 Ninth Avenue		New York, NY 10001-5703	3,000.00	FEEDING THE HOMELESS
INNER CITY SCHOLARSHIP FUND	1011 First Avenue	Suite 1400	New York, NY 10022-4112	3,000.00	SCHOLARSHIPS
LAGUARDIA HIGH SCHOOL DANCE DEPARTMENT	100 Amsterdam Avenue		New York, NY 10023	5,000.00	CITY YOUTH DANCE EDUCATION PROGRAM
LIFE SERVICES FOR THE HANDICAPPED	61 Broadway	Suite 510	New York, NY 10006	3,000.00	AID TO HANDICAPPED PERSONS
LOTHORION THERAPEUTIC RIDING CENTER	15 Renter Road		East Aurora, NY 14052	3,000.00	THERAPEUTIC RIDING
LOWER MANHATTAN COMMUNITY MIDDLE SCHOOL, PTA	26 Broadway	6th Floor	New York, NY 10004	5,000.00	CHILDREN'S MUSIC PROGRAMS
MIDNIGHT RUN	97 Main Street		Dobbs Ferry, NY 10522	3,000.00	HOMELESS
NATHANIEL RAND FUND AT THE CHILDREN'S HOSPITAL AT MONTEFIORE MEDICAL CENTER	111 East 210th Street	Attn Ms Beas Chazur	Bronx, NY 10467	5,000.00	CHILD LIFE PROGRAM
NATIONAL RESEARCH FUND FOR TICK-BORNE DISEASES	P O Box 643		Wilton, CT 06897	10,000.00	LYME DISEASE
NEW YORK CHORAL SOCIETY	119 West 57th St	Suite 1215	New York, NY 10019	4,000.00	YOUTH MUSIC PROGRAMS
NEW YORKERS FOR PARKS	55 Broad St	25th Floor	New York, NY 10004	3,000.00	LOCAL PARK RESTORATION
OCEANSIDE BEST BUDDIES	1133 Avenue of the Americas	Attn Rudy J Bready State Director	New York, NY 10036	2,000.00	CHILDREN'S PROGRAM
OFFICE FOR PERSONS WITH DISABILITIES (Catholic Charities)	90 Cherry Lane		Hicksville, NY 11801-6299	2,000.00	BLIND & VISUALLY IMPAIRED
PARENTS & TEACHERS ASSN-PS29	425 Henry Street	C/O P S 29	Brooklyn, NY 11201	3,000.00	INNER CITY CHILDREN DANCE TRAINING
PARENTS & TEACHERS ASSN-PS58	330 Smith Street	G Gault	Brooklyn, NY 11231	3,000.00	LEARNING DISABILITIES
PERCEPTUAL DEVELOPMENT CENTER	441 West End Avenue	Suite 1K	New York, NY 10024	4,000.00	DRUG ABUSE SERVICES
PHOENIX HOUSE DEVELOPMENT FUND	164 West 74th Street		New York, NY 10023	3,000.00	PARENT COUNSELING & SERVICES
PLANNED PARENTHOOD OF N Y C	26 Bleeker Street		New York, NY 10012-2413	2,500.00	INNER CITY COLLEGE TRAINING
POSSE FOUNDATION, INC	14 Wall Street	Suite 8A-60	New York, NY 10005	3,000.00	NEW PLAYWRIGHTS MENTORING
RATTLESTICK PRODUCTIONS, INC	224 Waverly Place		New York, NY 10014	3,000.00	DISABLED CHILDREN & FAMILIES
RESOURCES FOR CHILDREN WITH SPECIAL NEEDS, INC	116 East 16th Street	5th Floor	New York, NY 10003	3,000.00	CHILD HEALTH PROTECTION
SAFE SPACE	89-74 162nd Street		Jamaica, NY 11432	3,000.00	AFTER SCHOOL PROGRAMS
SALVATION ARMY OF GREATER NEW YORK	120 West 14th Street	Wall Street Station	New York, NY 10011-7993	2,000.00	DOMESTIC VIOLENCE
SANCTUARY FOR FAMILIES	P O Box 1406		New York, NY 10268-1406	3,000.00	CHILDREN'S CANCER CARE
SMILES FOR SCOTT FOUNDATION	P O Box 643		Plainview, NY 11803	4,000.00	FAMILIES IN CRISIS
ST CHRISTOPHER CHILD CARE SERVICES	71 South Broadway		Dobbs Ferry, NY 10522-2800	3,000.00	CHILDREN'S PROGRAM
SUNRISE DAY CAMP	15 Neil Court		Oceanside, NY 11572	2,500.00	HOMELESS, AIDS, ADDICTION, DISABILITIES
VOLUNTEERS OF AMERICA	340 West 85th Street		New York, NY 10024	3,000.00	LIFE SKILLS FOR PRISONERS
WOMEN'S PRISON ASSOCIATION	110 Second Avenue		New York, NY 10003	3,000.00	DISABLED CHILDREN & FAMILIES
YAUNTL	460 West 34th Street		New York, NY 10001-2382	3,000.00	INNER CITY DELINQUENCY PREVENTION
YOUTH SERVICES LEAGUE, INC	181A 29th Street		Brooklyn, NY 11232	3,000.00	
				203,000.00	

Capital Gains - 2011:19

9/1/2010 through 8/31/2011

1/11/2012

Page 1

Account	Security	Shares	Bought	Sold	Gross Pr...	Cost Basis	Realized ...
SHORT TERM							
UBS - JG 67280 ...	MEDCO HEALTH SOLUTIO...	39.000	10/26/2009	9/9/2010	1,748.95	2,220.57	-471.62
UBS - JG 67280 ...	MEDCO HEALTH SOLUTIO...	72.000	12/3/2009	9/9/2010	3,228.83	4,542.70	-1,313.87
UBS - JG 67280 ...	MEDCO HEALTH SOLUTIO...	84.000	12/16/2009	9/9/2010	3,766.96	5,517.40	-1,750.44
UBS - JG 67280 ...	COCA COLA ENTERPRISE...	334.000	6/9/2010	10/4/2010	8,554.68	8,554.68	0.00
UBS - JG 67280 ...	MICROSOFT CORP	614.000	1/29/2010	10/6/2010	14,989.32	18,308.80	-3,319.48
UBS - JG 67280 ...	NORFOLK STHN CORP	42.000	10/27/2009	10/8/2010	2,482.46	2,012.67	469.79
UBS - JG 67280 ...	PARTNERRE LTD ORD	45.000	11/16/2009	10/8/2010	3,591.43	3,464.84	126.59
UBS - JG 67280 ...	CH ROBINSON WORLDWI...	51.000	10/27/2009	10/15/2010	3,600.14	2,991.56	608.58
UBS - JG 67280 ...	CH ROBINSON WORLDWI...	56.000	11/16/2009	10/15/2010	3,953.10	3,251.24	701.86
UBS - JG 67280 ...	CH ROBINSON WORLDWI...	112.000	12/22/2009	10/15/2010	7,906.20	6,510.50	1,395.70
UBS - JG 67280 ...	JP MORGAN CHASE & CO	180.000	1/8/2010	10/20/2010	6,828.47	7,844.40	-1,015.93
UBS - JG 67280 ...	JP MORGAN CHASE & CO	236.000	10/7/2010	10/20/2010	8,952.88	9,276.85	-323.97
UBS - JG 67280 ...	ECOLAB INC	53.000	5/4/2010	10/28/2010	2,764.68	2,595.52	169.16
UBS - JG 67280 ...	EBAY INC	217.000	5/4/2010	11/1/2010	6,324.01	5,257.26	1,066.75
UBS - JG 67280 ...	MEAD JOHNSON NUTRITI...	272.000	1/22/2010	11/15/2010	16,296.33	12,751.77	3,544.56
UBS - JG 67280 ...	OCCIDENTAL PETROLEU...	71.000	2/17/2010	11/19/2010	6,007.92	5,684.86	323.06
UBS - JG 67280 ...	ECOLAB INC	25.000	5/4/2010	12/15/2010	1,202.59	1,224.30	-21.71
UBS - JG 67280 ...	ECOLAB INC	111.000	7/7/2010	12/15/2010	5,339.52	4,988.53	350.99
UBS - JG 67280 ...	VISA INC CL A	70.000	2/17/2010	12/15/2010	5,602.67	5,914.81	-312.14
UBS - JG 67280 ...	VISA INC CL A	38.000	5/4/2010	12/15/2010	3,041.45	3,581.91	-540.46
UBS - JG 67280 ...	VISA INC CL A	20.000	5/4/2010	12/17/2010	1,611.07	1,885.22	-274.15
UBS - JG 67280 ...	VISA INC CL A	138.000	5/6/2010	12/17/2010	11,116.41	12,294.85	-1,178.44
UBS - JG 67280 ...	VISA INC CL A	111.000	8/27/2010	12/17/2010	8,941.46	7,761.01	1,180.45
UBS - JG 67280 ...	RANGE RESOURCES CORP	62.000	4/15/2010	12/21/2010	2,615.83	3,122.93	-507.10
UBS - JG 67280 ...	COCA COLA ENTERPRISE...	334.000	6/9/2010	1/11/2011	8,150.03	7,374.72	775.31
UBS - JG 67280 ...	COCA COLA ENTERPRISE...	266.000	10/13/2010	1/11/2011	6,490.74	5,973.70	517.04
UBS - JG 67280 ...	ECOLAB INC	177.000	7/7/2010	1/11/2011	8,773.12	7,954.68	818.44
UBS - JG 67280 ...	ECOLAB INC	42.000	7/7/2010	1/18/2011	2,075.78	1,887.55	188.23
UBS - JG 67280 ...	ECOLAB INC	36.000	7/7/2010	1/19/2011	1,767.25	1,617.90	149.35
UBS - JG 67280 ...	BOEING CO.	145.000	11/23/2010	1/25/2011	10,291.38	9,329.11	962.27
UBS - JG 67280 ...	COCA COLA ENTERPRISE...	158.000	10/13/2010	1/25/2011	3,939.78	3,548.29	391.49
UBS - JG 67280 ..	OCCIDENTAL PETROLEU...	45.000	2/17/2010	1/25/2011	4,322.86	3,603.08	719.78
UBS - JG 67280 ...	OCCIDENTAL PETROLEU...	5.000	2/17/2010	2/2/2011	472.66	400.34	72.32
UBS - JG 67280 ...	OCCIDENTAL PETROLEU...	160.000	8/16/2010	2/2/2011	15,124.99	12,251.87	2,873.12
UBS - JG 67280 ...	EBAY INC	102.000	5/4/2010	2/10/2011	3,297.36	2,471.15	826.21
UBS - JG 67280 ...	ROVI CORP COM	89.000	8/19/2010	2/17/2011	5,812.24	3,735.65	2,076.59
UBS - JG 67280 ...	PROCTER & GAMBLE CO	75.000	8/19/2010	2/18/2011	4,806.76	4,480.72	326.04
UBS - JG 67280 ...	PROCTER & GAMBLE CO	147.000	12/23/2010	2/18/2011	9,421.25	9,578.48	-157.23
UBS - JG 67280 ..	BOEING CO.	39.000	11/23/2010	3/7/2011	2,690.04	2,509.21	180.83
UBS - JG 67280 ...	BOEING CO	1.000	12/10/2010	3/7/2011	68.98	67.19	1.79
UBS - JG 67280 ...	BORG WARNER INC	39.000	8/25/2010	3/7/2011	2,962.72	1,763.14	1,199.58
UBS - JG 67280 ..	BLACKROCK INC	31.000	10/14/2010	3/7/2011	6,188.83	5,480.45	708.38
UBS - JG 67280 ..	DEERE & CO	46.000	12/14/2010	3/7/2011	4,127.22	3,720.21	407.01
UBS - JG 67280 ..	MONSANTO CO NEW DEL	56.000	10/22/2010	3/7/2011	3,904.87	3,227.02	677.85
UBS - JG 67280 ...	ITT CORP	199.000	1/18/2011	3/9/2011	11,389.25	12,097.09	-707.84
UBS - JG 67280 ...	LUBRIZOL	68.000	12/3/2010	3/25/2011	9,099.80	7,128.20	1,971.60
UBS - JG 67280 ...	LUBRIZOL	43.000	12/3/2010	3/28/2011	5,753.04	4,507.54	1,245.50
UBS - JG 67280 ...	LUBRIZOL	61.000	12/20/2010	3/28/2011	8,161.30	6,548.28	1,613.02
UBS - JG 67280 ...	MONSANTO CO NEW DEL	94.000	10/22/2010	4/7/2011	6,958.58	5,416.78	1,541.80
UBS - JG 67280 ...	DEERE & CO	68.000	12/14/2010	4/21/2011	6,215.29	5,499.44	715.85

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UBS - JG 67280 ...	DEERE & CO	73.000	12/16/2010	4/21/2011	6,672.29	6,017.72	654.57
UBS - JG 67280 ...	DEERE & CO	74.000	12/27/2010	4/21/2011	6,763.69	6,209.57	554.12
UBS - JG 67280 ...	SCHLUMBERGER LTD	138.000	10/22/2010	4/27/2011	12,422.75	8,750.64	3,672.11
UBS - JG 67280 ...	CUMMINS INC	62.000	10/7/2010	5/9/2011	7,069.59	5,586.94	1,482.65
UBS - JG 67280 ...	TECK RESOURCES LTD	239.000	3/24/2011	5/12/2011	12,079.37	12,782.96	-703.59
UBS - JG 67280 ...	ROVI CORP COM	116.000	8/19/2010	5/16/2011	6,828.06	4,868.94	1,959.12
UBS - JG 67280 ...	ROVI CORP COM	140.000	11/19/2010	5/16/2011	8,240.76	7,228.61	1,012.15
UBS - JG 67280 ...	HEWLETT PACKARD CO	528.000	3/22/2011	5/27/2011	19,008.37	21,987.93	-2,979.56
UBS - JG 67280 ...	HEWLETT PACKARD CO	161.000	3/25/2011	5/27/2011	5,796.11	6,730.20	-934.09
UBS - JG 67280 ...	HEWLETT PACKARD CO	83.000	3/28/2011	5/27/2011	2,988.06	3,491.88	-503.82
UBS - JG 67280 ...	CUMMINS INC	31.000	10/7/2010	6/1/2011	3,285.53	2,793.47	492.06
UBS - JG 67280 ...	CUMMINS INC	70.000	10/29/2010	6/1/2011	7,418.94	6,229.41	1,189.53
UBS - JG 67280 ...	CUMMINS INC	31.000	11/2/2010	6/1/2011	3,285.53	2,750.07	535.46
UBS - JG 67280 ...	EBAY INC	293.000	9/27/2010	6/29/2011	8,615.79	7,123.56	1,492.23
UBS - JG 67280 ...	EBAY INC	34.000	3/22/2011	6/29/2011	999.78	1,019.00	-19.22
UBS - JG 67280 ...	EBAY INC	101.000	3/22/2011	6/30/2011	2,912.98	3,027.03	-114.05
UBS - JG 67280 ...	EBAY INC	336.000	6/6/2011	6/30/2011	9,690.72	10,302.77	-612.05
UBS - JG 67280 ...	BOEING CO.	37.000	12/10/2010	7/1/2011	2,661.79	2,486.06	175.73
UBS - JG 67280 ...	BOEING CO.	64.000	12/17/2010	7/1/2011	4,604.17	4,161.66	442.51
UBS - JG 67280 ...	BOEING CO.	95.000	12/21/2010	7/1/2011	6,834.32	6,148.27	686.05
UBS - JG 67280 ...	BOEING CO.	80.000	12/31/2010	7/1/2011	5,755.21	5,178.93	576.28
UBS - JG 67280 ...	GOLDMAN SACHS GROU...	53.000	4/20/2011	8/8/2011	7,019.48	8,214.65	-1,195.17
UBS - JG 67280 ...	BHP BILLITON LTD SPON ...	96.000	12/28/2010	8/15/2011	5,787.92	7,694.55	-1,906.63
UBS - JG 67280 ...	EATON VANCE CORP	315.000	7/15/2011	8/15/2011	12,455.05	16,305.79	-3,850.74
UBS - JG 67280 ...	MORGAN STANLEY	772.000	5/4/2011	8/15/2011	13,180.71	20,145.96	-6,965.25
UBS - JG 67280 ...	EOG RESOURCES INC	151.000	3/7/2011	8/16/2011	13,600.69	16,291.71	-2,691.02
UBS - JG 67280 ...	INGERSOLL RAND CO CL A	430.000	7/18/2011	8/16/2011	12,375.85	19,838.14	-7,462.29
UBS - JG 67280 ...	INTL FLAVORS & FRGRNCS	276.000	3/16/2011	8/16/2011	15,180.83	15,945.49	-764.66
UBS - JG 67280 ...	CATERPILLAR INC	83.000	12/27/2010	8/19/2011	7,475.77	7,850.09	-374.32
UBS - JG 67280 ...	BORG WARNER INC	51.000	8/25/2010	8/24/2011	3,307.71	2,305.65	1,002.06
UBS - JG 67280 ...	DISCOVERY COMMUNICA...	71.000	12/2/2010	8/24/2011	2,394.78	2,537.19	-142.41
UBS - JG 67280 ...	EL PASO CORPORATION	165.000	5/27/2011	8/24/2011	2,924.24	3,355.49	-431.25
UBS - JG 67280 ...	MSCI INC CL A	101.000	2/22/2011	8/24/2011	3,239.79	3,659.30	-419.51
UBS - JG 67280 ...	MONSANTO CO NEW DEL	2.000	10/22/2010	8/24/2011	134.61	115.25	19.36
UBS - JG 67280 ...	MONSANTO CO NEW DEL	45.000	11/8/2010	8/24/2011	3,028.67	2,656.67	372.00
UBS - JG 67280 ...	MONSANTO CO NEW DEL	31.000	11/9/2010	8/24/2011	2,086.42	1,924.22	162.20
UBS - JG 67280 ...	NIELSON HOLDINGS BV E...	180.000	3/23/2011	8/24/2011	4,850.04	4,767.57	82.47
UBS - JG 67280 ...	SOLERA HOLDINGS INC	46.000	8/11/2011	8/24/2011	2,468.19	2,302.01	166.18
UBS - JG 67280 ...	TRANSCANADA CORP	62.000	8/24/2011	8/24/2011	2,540.71	2,648.63	-107.92
UBS - JG 67280 ...	VISA INC CL A	43.000	7/14/2011	8/24/2011	3,480.26	3,784.22	-303.96
TOTAL SHORT TERM					554,199.01	550,949.17	3,249.84
<i>COCA COLA MERGER ADJUSTMENT</i>					<i>2160.04</i>		<i>2160.04</i>
LONG TERM					556,359.05		5,499.88
UBS - JG 67280 ...	PLUM CREEK TIMBER CO ...	230.000	8/13/2009	9/9/2010	8,146.83	7,682.51	464.32
UBS - JG 67280 ...	NEXTERA ENERGY INC C...	96.000	3/23/2009	10/7/2010	5,174.25	4,760.62	413.63
UBS - JG 67280 ...	PROCTER & GAMBLE CO	88.000	7/19/2007	10/7/2010	5,270.20	5,560.93	-290.73
UBS - JG 67280 ...	PROCTER & GAMBLE CO	60.000	8/22/2007	10/7/2010	3,593.31	3,900.32	-307.01
UBS - JG 67280 ...	ITC HOLDINGS CORP	54.000	3/25/2009	10/8/2010	3,311.84	2,148.55	1,163.29
UBS - JG 67280 ...	PHILIP MORRIS INTL INC	202.000	7/29/2009	10/8/2010	11,192.08	9,417.95	1,774.13
UBS - JG 67280 ...	JP MORGAN CHASE & CO	2.000	11/10/2008	10/20/2010	75.87	82.11	-6.24
UBS - JG 67280 ...	JP MORGAN CHASE & CO	106.000	4/7/2009	10/20/2010	4,021.21	2,998.75	1,022.46
UBS - JG 67280 ...	JP MORGAN CHASE & CO	58.000	5/12/2009	10/20/2010	2,200.28	2,026.50	173.78

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Account	Security	Shares	Bought	Sold	Gross Pr...	Cost Basis	Realized ...
UBS - JG 67280 ...	VERISK ANALYTICS INC C...	89.000	10/15/2009	11/24/2010	2,761.65	2,388.48	373.17
UBS - JG 67280 ...	PARTNERRE LTD ORD	49.000	11/16/2009	12/10/2010	3,797.44	3,772.83	24.61
UBS - JG 67280 ...	PARTNERRE LTD ORD	126.000	12/9/2009	12/10/2010	9,764.83	9,567.70	197.13
UBS - JG 67280 ...	PHILIP MORRIS INTL INC	176.000	7/29/2009	12/15/2010	10,414.25	8,205.73	2,208.52
UBS - JG 67280 ...	PHILIP MORRIS INTL INC	126.000	9/17/2009	12/15/2010	7,455.66	6,014.11	1,441.55
UBS - JG 67280 ...	RANGE RESOURCES CORP	122.000	3/13/2009	12/21/2010	5,147.28	4,674.05	473.23
UBS - JG 67280 ...	RANGE RESOURCES CORP	116.000	4/1/2009	12/21/2010	4,894.13	4,949.66	-55.53
UBS - JG 67280 ...	RANGE RESOURCES CORP	108.000	4/8/2009	12/21/2010	4,556.60	4,813.92	-257.32
UBS - JG 67280 ...	RANGE RESOURCES CORP	80.000	10/26/2009	12/21/2010	3,375.26	4,660.14	-1,284.88
UBS - JG 67280 ...	GOLDMAN SACHS GROU...	28.000	4/7/2009	1/25/2011	4,631.36	3,201.89	1,429.47
UBS - JG 67280 ...	INTL BUSINESS MACH	23.000	10/23/2009	1/25/2011	3,561.28	2,815.02	746.26
UBS - JG 67280 ...	NORFOLK STHN CORP	67.000	10/27/2009	2/9/2011	4,078.06	3,210.69	867.37
UBS - JG 67280 ...	NORFOLK STHN CORP	170.000	1/12/2010	2/9/2011	10,347.30	8,925.36	1,421.94
UBS - JG 67280 ...	PROCTER & GAMBLE CO	20.000	8/22/2007	2/18/2011	1,281.80	1,300.11	-18.31
UBS - JG 67280 ...	PROCTER & GAMBLE CO	140.000	4/22/2009	2/18/2011	8,972.62	7,234.39	1,738.23
UBS - JG 67280 ...	CATERPILLAR INC	61.000	12/15/2009	5/9/2011	6,794.29	3,477.71	3,316.58
UBS - JG 67280 ..	CATERPILLAR INC	2.000	1/8/2010	5/9/2011	222.76	118.33	104.43
UBS - JG 67280 ...	INTL BUSINESS MACH	47.000	10/23/2009	6/16/2011	7,675.19	5,752.44	1,922.75
UBS - JG 67280 ...	UNITED PARCEL SERVICE	88.000	5/24/2010	6/16/2011	6,026.35	5,689.75	336.60
UBS - JG 67280 ...	UNITED PARCEL SERVICE	34.000	5/27/2010	6/16/2011	2,328.36	2,105.31	223.05
UBS - JG 67280 ..	EBAY INC	307.000	5/4/2010	6/29/2011	9,027.47	7,437.69	1,589.78
UBS - JG 67280 ..	GOLDMAN SACHS GROU...	21.000	4/7/2009	8/8/2011	2,781.30	2,401.42	379.88
UBS - JG 67280 ...	GOLDMAN SACHS GROU...	14.000	8/24/2009	8/8/2011	1,854.20	2,232.72	-378.52
UBS - JG 67280 ...	GOLDMAN SACHS GROU...	81.000	7/23/2010	8/8/2011	10,727.89	11,509.52	-781.63
UBS - JG 67280 ...	BHP BILLITON LTD SPON ...	98.000	12/23/2009	8/15/2011	5,908.50	6,017.92	-109.42
UBS - JG 67280 ...	BHP BILLITON LTD SPON ...	82.000	1/11/2010	8/15/2011	4,943.85	5,534.87	-591.02
UBS - JG 67280 ...	CATERPILLAR INC	52.000	1/8/2010	8/19/2011	4,683.62	3,076.60	1,607.02
UBS - JG 67280 ...	AMER TOWER CORP	240.000	12/19/2007	8/24/2011	11,738.22	9,791.60	1,946.62
UBS - JG 67280 ...	INTL BUSINESS MACH	21.000	10/23/2009	8/24/2011	3,392.48	2,570.24	822.24
UBS - JG 67280 ...	ITC HOLDINGS CORP	55.000	3/25/2009	8/24/2011	3,937.37	2,188.34	1,749.03
UBS - JG 67280 ...	NEXTERA ENERGY INC C...	42.000	3/23/2009	8/24/2011	2,289.87	2,082.77	207.10
UBS - JG 67280 ...	PALL CORP	38.000	6/7/2010	8/24/2011	1,687.54	1,297.59	389.95
UBS - JG 67280 ...	PALL CORP	9.000	6/8/2010	8/24/2011	399.68	313.16	86.52
UBS - JG 67280 ...	UNITED PARCEL SERVICE	46.000	5/27/2010	8/24/2011	2,864.64	2,848.36	16.28
UBS - JG 67280 ...	VERISK ANALYTICS INC C...	77.000	10/15/2009	8/24/2011	2,456.34	2,066.44	389.90
UBS - JG 67280 ...	VERISK ANALYTICS INC C...	39.000	11/25/2009	8/24/2011	1,244.12	1,111.40	132.72
UBS - JG 67280 ...	PRAXAIR	22.000	8/5/2009	8/24/2011	2,029.00	1,716.08	312.92
UBS - JG 67280 ...	PRAXAIR	30.000	1/13/2010	8/24/2011	2,766.82	2,421.44	345.38
UBS - JG 67280 ...	PRAXAIR	26.000	1/21/2010	8/24/2011	2,397.91	2,098.41	299.50
TOTAL LONG TERM					228,203.16	200,172.43	28,030.73
OVERALL TOTAL					782,402.17	51,121.60	31,280.57

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Account	Security	Shares	Bought	Sold	Gross Pro...	Cost Basis	Realize..
SHORT TERM							
UBS - JG 67281 ...	FHLMC 2.875% 2/9/15	42,000....	2/10/2010	9/1/2010	42,433.44	42,433.44	0 00
UBS - JG 67281 ...	US T-NOTE 3.625% 2/15/20	1,000.000	5/6/2010	9/9/2010	1,085.94	1,009.06	76.88
UBS - JG 67281 ...	US T-NOTE 3.625% 2/15/20	20,000....	5/12/2010	9/9/2010	21,718 80	20,131.20	1,587.60
UBS - JG 67281 ...	US T-NOTE 4.25% 8/15/15	44,000....	5/28/2010	10/4/2010	50,330 15	48,365.64	1,964 51
UBS - JG 67281 ...	US T-NOTE 3.500% 5/15/20	20,000....	8/2/2010	12/3/2010	21,014.06	21,013.20	0.86
UBS - JG 67281 ...	US T-NOTE .625% 6/30/12	35,000....	8/2/2010	12/3/2010	35,091.60	35,073.85	17.75
UBS - JG 67281 ...	US T-NOTE 1.125% 12/15/...	36,000....	12/3/2010	12/30/2010	36,271 44	36,407.81	-136.37
UBS - JG 67281 ..	US T-NOTE 2.625% 8/15/20	7,000.000	10/4/2010	12/30/2010	6,532.42	7,067.54	-535.12
UBS - JG 67281 ..	US T-NOTE 2.625% 11/15/...	21,000....	12/3/2010	12/30/2010	19,494.72	20,370.00	-875.28
UBS - JG 67281 ...	US T-NOTE 1.25% 7/15/20	5,000.000	9/9/2010	1/6/2011	5,117.55	5,129.84	-12.29
UBS - JG 67281 ...	US T-NOTE 1.25% 7/15/20	8,000.000	9/9/2010	1/13/2011	8,251.49	8,207.74	43.75
UBS - JG 67281 ..	US T-NOTE 1.25% 7/15/20	4,000.000	9/9/2010	2/4/2011	4,062.85	4,103.87	-41.02
UBS - JG 67281 ...	US T-NOTE 1.25% 7/15/20	4,000.000	9/9/2010	2/11/2011	4,018.61	4,103.87	-85.26
UBS - JG 67281 ...	US T-NOTE 2.75% 10/31/13	2,000.000	10/4/2010	3/17/2011	2,101.32	2,127.58	-26.26
UBS - JG 67281 ...	US T-NOTE 2.625% 11/15/...	4,000.000	1/13/2011	3/24/2011	3,765.94	3,754.38	11.56
UBS - JG 67281 ...	US T-NOTE 3.625% 2/15/21	4,000.000	3/9/2011	5/6/2011	4,156 24	4,034.36	121.88
UBS - JG 67281 ...	US T-NOTE 4 5% 2/15/16	7,000.000	12/30/2010	6/17/2011	7,995.61	7,780.92	214 69
UBS - JG 67281 ...	US T-NOTE .750% 11/30/11	4,000.000	3/24/2011	6/29/2011	4,010.92	4,015.32	-4.40
UBS - JG 67281 ...	US T-NOTE 2.75% 10/31/13	1,000.000	10/4/2010	8/22/2011	1,054.84	1,063.79	-8 95
UBS - JG 67281 ...	US T-NOTE 2.625% 2/29/16	1,000.000	11/1/2010	8/22/2011	1,081.91	1,067 93	13.98
UBS - JG 67281 ...	US T-NOTE 1.375% 9/15/12	2,000.000	9/2/2010	8/22/2011	2,026 09	2,034.46	-8.37
UBS - JG 67281 ...	US T-NOTE 1.375% 10/15/...	3,000.000	10/4/2010	8/22/2011	3,041 72	3,056.95	-15.23
UBS - JG 67281 ...	US T-NOTE 2.625% 8/15/20	5,000.000	10/4/2010	8/22/2011	5,288.28	5,048 24	240.04
UBS - JG 67281 ...	FNMA 1.000% 9/23/13	2,000.000	3/18/2011	8/22/2011	2,025.72	2,002 18	23 54
UBS - JG 67281 ...	US T-NOTE 2.625% 11/15/...	2,000.000	1/13/2011	8/22/2011	2,110.63	1,877.19	233 44
UBS - JG 67281 ...	US T-NOTE 3.625% 2/15/21	1,000.000	3/9/2011	8/22/2011	1,140.90	1,008.59	132.31
UBS - JG 67281 ...	FREDDIE MAC MED TER...	2,000.000	6/3/2011	8/22/2011	2,126.47	2,060.30	66.17
UBS - JG 67281 ...	US T-NOTE 4.5% 2/15/16	8,000.000	12/30/2010	8/22/2011	9,320.94	8,892.48	428.46
UBS - JG 67281 ...	US T-NOTE 3.125% 5/15/21	3,000.000	6/29/2011	8/22/2011	3,281.84	3,043.83	238 01
TOTAL SHORT TERM					309,952.44	306,285.56	3,666.88
LONG TERM							
UBS - JG 67281 ...	US T-NOTE .875% 12/31/10	14,000....	5/5/2009	11/1/2010	14,015.82	14,009.89	5.93
UBS - JG 67281 ...	US T-NOTE 1.125% 6/30/11	7,000.000	7/1/2009	1/13/2011	7,029 82	7,000.55	29.27
UBS - JG 67281 ...	US T-NOTE 1.125% 6/30/11	7,000.000	7/1/2009	3/4/2011	7,022.12	7,000.55	21.57
UBS - JG 67281 ...	US T-NOTE 2.75% 10/31/13	10,000....	5/5/2009	3/17/2011	10,506.60	10,376.59	130.01
UBS - JG 67281 ...	US T-NOTE 4.875% 2/15/12	7,000.000	5/6/2010	6/3/2011	7,231.07	7,513.52	-282.45
UBS - JG 67281 ...	US T-NOTE 4.625% 8/31/11	3,000.000	5/5/2009	6/10/2011	3,030.60	3,240.72	-210.12
UBS - JG 67281 ...	US T-NOTE 1.375% 4/15/12	8,000.000	7/1/2009	7/25/2011	8,067.52	7,972.50	95.02
UBS - JG 67281 ...	US T-NOTE 1.375% 4/15/12	5,000.000	7/1/2009	8/12/2011	5,042 19	4,982.81	59.38
UBS - JG 67281 ...	US T-NOTE 1.375% 4/15/12	1,000.000	7/1/2009	8/22/2011	1,008.32	996.56	11.76
UBS - JG 67281 ...	US T-NOTE 1.875% 6/15/12	2,000 000	10/2/2009	8/22/2011	2,028 67	2,035.94	-7.27
UBS - JG 67281 ...	FNMA NTS 2.625% 11/20/...	6,000.000	2/2/2010	8/22/2011	6,382.80	6,013.20	369.60
UBS - JG 67281 ..	FREDDIE MAC MED TER...	6,000.000	2/10/2010	8/22/2011	6,446.58	6,061.92	384.66
UBS - JG 67281 ...	US T-NOTE 4.25% 11/15/13	2,000.000	5/5/2009	8/22/2011	2,178.67	2,207.19	-28.52
UBS - JG 67281 ...	US T-NOTE 4.25% 8/15/15	4,000.000	5/28/2010	8/22/2011	4,569.53	4,396.88	172.65
UBS - JG 67281 ...	US T NOTE 2.75% 2/28/13	8,000.000	9/2/2009	8/22/2011	8,310.63	8,306.87	3.76
TOTAL LONG TERM					92,870.94	92,115.69	755.25
OVERALL TOTAL					402,823.38	398,401.25	4,422.13

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Account	Security	Shares	Bought	Sold	Gross Pr...	Cost Basis	Realiz ..
SHORT TERM							
UBS - JG 67283 ...	GOLDMAN SACHS GROU...	30.000	10/9/2009	9/1/2010	4,169.29	5,614.06-1,444....	
UBS - JG 67283 ..	GOLDMAN SACHS GROU...	12 000	5/19/2010	9/1/2010	1,667.71	1,714.36	-46.65
UBS - JG 67283 ...	ALTRIA GROUP INC	90.000	4/19/2010	9/1/2010	360.73	360.73	0.00
UBS - JG 67283 ...	MCGRAW HILL COMPANIE..	10.000	8/25/2010	11/18/2010	367.68	281.10	86.58
UBS - JG 67283 ..	MCGRAW HILL COMPANIE..	25.000	8/25/2010	11/19/2010	898.52	702.76	195.76
UBS - JG 67283 ...	COCA COLA ENTERPRISE...	60.000	6/8/2010	12/10/2010	3,863.99	3,161.06	702.93
UBS - JG 67283 ...	COCA COLA ENTERPRISE ..	50 000	6/9/2010	12/10/2010	3,220.00	2,566.49	653.51
UBS - JG 67283 ...	BAXTER INTERNATL INC	66.000	5/24/2010	3/8/2011	3,555.28	2,829.04	726.24
UBS - JG 67283 ...	BAXTER INTERNATL INC	64.000	5/24/2010	3/15/2011	3,347.51	2,743.31	604.20
UBS - JG 67283 ...	AMERIPRISE FINANCIAL INC	20.000	9/2/2010	3/23/2011	1,214.10	866.80	347.30
UBS - JG 67283 ...	INTL PAPER CO	71.000	3/23/2011	8/24/2011	1,678.45	1,903.60	-225.15
UBS - JG 67283 ...	JP MORGAN CHASE & CO	21 000	5/6/2011	8/24/2011	724.12	965.87	-241.75
UBS - JG 67283 ...	LILLY ELI CO	51.000	5/10/2011	8/24/2011	1,782.03	1,947.42	-165.39
UBS - JG 67283 ...	MICROSOFT CORP	34.000	12/2/2010	8/24/2011	817.37	852.64	-35.27
UBS - JG 67283 ...	PEPSICO INC	28.000	3/8/2011	8/24/2011	1,736.91	1,773.41	-36.50
UBS - JG 67283 ...	PITNEY BOWES INC	14 000	12/16/2010	8/24/2011	254.24	333.48	-79.24
UBS - JG 67283 ...	SANOFI-AVENTIS SA	40 000	10/28/2010	8/24/2011	1,364.77	1,398.61	-33.84
UBS - JG 67283 ...	SANOFI-AVENTIS SA	17.000	11/1/2010	8/24/2011	580.03	582.64	-2.61
UBS - JG 67283 ...	TIME WARNER INC	32.000	12/10/2010	8/24/2011	894.80	1,006.07	-111.27
UBS - JG 67283 ..	WAL MART STORES INC	38.000	7/18/2011	8/24/2011	1,990.12	2,053.10	-62.98
UBS - JG 67283 ..	WHIRLPOOL CORP	15.000	8/10/2011	8/24/2011	847.54	985.54	-138.00
UBS - JG 67283 ...	WELLS FARGO & CO NEW	15.000	8/31/2010	8/24/2011	351.14	352.46	-1.32
UBS - JG 67283 ...	WELLS FARGO & CO NEW	8.000	9/7/2010	8/24/2011	187.27	195.93	-8.66
TOTAL SHORT TERM					35,873.60	35,190.48	683.12
LONG TERM							
UBS - JG 67283 ...	ALTRIA GROUP INC	20 000	4/30/2007	9/1/2010	267.89	267.89	0.00
UBS - JG 67283 ...	ALTRIA GROUP INC	180.000	4/16/2008	9/1/2010	740.05	740.05	0.00
UBS - JG 67283 ...	ALTRIA GROUP INC	90.000	4/21/2008	9/1/2010	365.30	365.30	0.00
UBS - JG 67283 ..	ALTRIA GROUP INC	5.000	3/16/2009	9/1/2010	15.70	15.70	0.00
UBS - JG 67283 ...	ALTRIA GROUP INC	115.000	3/18/2009	9/1/2010	366.25	366.25	0.00
UBS - JG 67283 ...	MATTEL	50.000	12/15/2005	9/2/2010	1,051.84	840.25	211.59
UBS - JG 67283 ...	MATTEL	130.000	12/20/2005	9/2/2010	2,734.77	2,183.12	551.65
UBS - JG 67283 ...	ALTRIA GROUP INC NEW	165.000	4/30/2007	9/3/2010	3,722.15	3,485.68	236.47
UBS - JG 67283 ...	ALTRIA GROUP INC NEW	90.000	4/30/2007	9/3/2010	2,017.48	1,901.28	116.20
UBS - JG 67283 ...	MCGRAW HILL COMPANIE...	35.000	8/31/2009	11/1/2010	1,301.48	1,117.44	184.04
UBS - JG 67283 ...	GLAXO SMITHKLINE	35 000	9/15/2005	11/4/2010	1,374.98	1,761.80	-386.82
UBS - JG 67283 ...	GLAXO SMITHKLINE	90.000	6/4/2007	11/4/2010	3,535.65	4,595.56-1,059....	
UBS - JG 67283 ...	GLAXO SMITHKLINE	23.000	6/11/2007	11/4/2010	903.56	1,172.44	-268.88
UBS - JG 67283 ...	MCGRAW HILL COMPANIE...	35.000	8/31/2009	11/15/2010	1,327.61	1,117.44	210.17
UBS - JG 67283 ...	MCGRAW HILL COMPANIE...	100 000	8/31/2009	11/18/2010	3,676.83	3,192.68	484.15
UBS - JG 67283 ...	WASTE MGMT INC	35.000	1/29/2008	12/6/2010	1,208.57	1,049.95	158.62
UBS - JG 67283 ..	WASTE MGMT INC	10.000	1/29/2008	12/7/2010	348.74	299.98	48.76
UBS - JG 67283 ...	WASTE MGMT INC	65.000	2/5/2008	12/7/2010	2,266.79	2,077.88	188.91
UBS - JG 67283 ...	WASTE MGMT INC	60.000	2/12/2008	12/7/2010	2,092.42	1,943.15	149.27
UBS - JG 67283 ...	DIAMOND OFFSHORE DRI...	20.000	12/26/2007	12/16/2010	1,319.30	2,637.63-1,318...	
UBS - JG 67283 ..	DIAMOND OFFSHORE DRI...	15.000	1/2/2008	12/16/2010	989.47	2,220.99-1,231....	
UBS - JG 67283 ...	DIAMOND OFFSHORE DRI..	15 000	1/7/2008	12/16/2010	989.47	2,098.25-1,108....	
UBS - JG 67283 ...	DIAMOND OFFSHORE DRI..	15.000	1/9/2008	2/2/2011	1,067.53	2,028.12	-960.59
UBS - JG 67283 ...	DIAMOND OFFSHORE DRI. .	20.000	1/15/2009	2/2/2011	1,423.37	1,176.25	247.12

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Account	Security	Shares	Bought	Sold	Gross Pr...	Cost Basis	Realiz...
UBS - JG 67283 ...	DIAMOND OFFSHORE DRI...	21.000	7/31/2009	2/2/2011	1,494.54	1,940.32	-445.78
UBS - JG 67283 ...	CHESAPEAKE ENERGY C .	65.000	11/16/2009	2/15/2011	1,986.21	1,645.78	340.43
UBS - JG 67283 ...	LUBRIZOL	15.000	1/14/2010	3/18/2011	2,008.87	1,147.24	861.63
UBS - JG 67283 ...	LUBRIZOL	25.000	1/29/2010	3/18/2011	3,348.12	2,040.34	1,307.78
UBS - JG 67283 ...	LUBRIZOL	20.000	2/1/2010	3/18/2011	2,678.49	1,624.43	1,054.06
UBS - JG 67283 ...	CHESAPEAKE ENERGY C...	21.000	11/16/2009	3/23/2011	702.51	531.71	170.80
UBS - JG 67283 ...	CONOCOPHILLIPS	15.000	2/28/2006	3/23/2011	1,148.56	919.84	228.72
UBS - JG 67283 ...	CONOCOPHILLIPS	22.000	3/2/2006	3/23/2011	1,684.56	1,356.83	327.73
UBS - JG 67283 ...	MARATHON OIL CORP	42.000	9/15/2005	3/23/2011	2,105.10	1,401.96	703.14
UBS - JG 67283 .	HUNTINGTON INGALLS IN...	0.333	10/28/2009	4/1/2011	13.28	10.73	2.55
UBS - JG 67283 ...	PFIZER INC DEL	20.000	7/23/2003	5/6/2011	405.92	667.00	-261.08
UBS - JG 67283 ...	PFIZER INC DEL	115.000	4/21/2005	5/6/2011	2,334.02	3,144.15	-810.13
UBS - JG 67283 ...	PFIZER INC DEL	80.000	4/22/2005	5/6/2011	1,623.66	2,189.62	-565.96
UBS - JG 67283 ...	PFIZER INC DEL	125.000	4/27/2006	5/6/2011	2,536.97	3,096.18	-559.21
UBS - JG 67283 .	PFIZER INC DEL	38.000	5/2/2006	5/6/2011	771.24	955.78	-184.54
UBS - JG 67283 ...	VERIZON COMMUNICATIO...	210.000	1/28/2003	5/9/2011	7,919.00	6,763.69	1,155.31
UBS - JG 67283 ..	HUNTINGTON INGALLS IN ..	7.167	10/28/2009	5/13/2011	287.92	231.01	56.91
UBS - JG 67283 ...	HUNTINGTON INGALLS IN...	3.333	10/29/2009	5/13/2011	133.91	109.88	24.03
UBS - JG 67283 .	HUNTINGTON INGALLS IN .	6.667	11/2/2009	5/13/2011	267.82	220.05	47.77
UBS - JG 67283 .	HUNTINGTON INGALLS IN ..	0.833	11/4/2009	5/13/2011	33.48	27.46	6.02
UBS - JG 67283 .	REYNOLDS AMERN INC	20.000	9/15/2005	6/3/2011	794.14	414.65	379.49
UBS - JG 67283 ...	NEW YORK COMUNITY BA...	100.000	1/23/2009	6/29/2011	1,497.04	1,263.31	233.73
UBS - JG 67283 ...	NEW YORK COMUNITY BA .	90.000	1/30/2009	6/29/2011	1,347.34	1,079.69	267.65
UBS - JG 67283 .	NEW YORK COMUNITY BA...	3.000	2/3/2009	6/29/2011	44.91	39.21	5.70
UBS - JG 67283 ...	NEW YORK COMUNITY BA .	82.000	2/3/2009	7/1/2011	1,206.79	1,071.88	134.91
UBS - JG 67283 ..	NEW YORK COMUNITY BA...	55.000	2/6/2009	7/1/2011	809.43	718.89	90.54
UBS - JG 67283 ...	NEW YORK COMUNITY BA...	25.000	2/9/2009	7/1/2011	367.92	314.48	53.44
UBS - JG 67283 ...	MARATHON OIL CORP	66.000	9/15/2005	7/1/2011	2,203.08	2,203.08	0.00
UBS - JG 67283 .	MARATHON OIL CORP	1.000	9/15/2005	7/3/2011	16.69	16.69	0.00
UBS - JG 67283 ...	MARATHON PETROLIUM	1.000	9/15/2005	7/4/2011	21.40	13.15	8.25
UBS - JG 67283 ..	MARATHON PETROLIUM	66.000	7/1/2005	7/18/2011	2,700.21	1,735.42	964.79
UBS - JG 67283 ...	VF CORP	70.000	9/15/2005	7/21/2011	7,925.11	4,264.94	3,660.17
UBS - JG 67283 ...	ALTRIA GROUP INC NEW	123.000	4/30/2007	8/10/2011	3,159.68	2,598.42	561.26
UBS - JG 67283 ..	ALTRIA GROUP INC NEW	122.000	4/30/2007	8/15/2011	2,994.09	2,577.29	416.80
UBS - JG 67283 ...	AT&T INC	40.000	9/15/2005	8/24/2011	1,122.87	1,006.90	115.97
UBS - JG 67283 ...	AMEREN CORP	30.000	9/4/2007	8/24/2011	851.77	1,506.00	-654.23
UBS - JG 67283 ..	AMEREN CORP	5.000	9/5/2007	8/24/2011	141.96	253.00	-111.04
UBS - JG 67283 ...	AMEREN CORP	29.000	9/18/2007	8/24/2011	823.38	1,496.39	-673.01
UBS - JG 67283 ...	ANNALY CAPITAL MANAG...	70.000	7/10/2008	8/24/2011	1,245.98	1,051.51	194.47
UBS - JG 67283 ...	ANNALY CAPITAL MANAG...	145.000	7/18/2008	8/24/2011	2,580.95	2,113.04	467.91
UBS - JG 67283 ...	ANNALY CAPITAL MANAG. .	16.000	7/22/2008	8/24/2011	284.79	231.93	52.86
UBS - JG 67283 ...	CHESAPEAKE ENERGY C...	19.000	11/16/2009	8/24/2011	557.11	481.07	76.04
UBS - JG 67283 ...	CHESAPEAKE ENERGY C. .	21.000	11/19/2009	8/24/2011	615.76	535.79	79.97
UBS - JG 67283 ..	CENTURYLINK INC	11.000	2/26/2009	8/24/2011	371.93	274.61	97.32
UBS - JG 67283 ...	CHEVRON CORP	30.000	1/28/2003	8/24/2011	2,798.38	976.20	1,822.18
UBS - JG 67283 .	CONOCOPHILLIPS	13.000	3/2/2006	8/24/2011	825.49	801.77	23.72
UBS - JG 67283 ...	CONOCOPHILLIPS	12.000	3/7/2006	8/24/2011	761.99	743.95	18.04
UBS - JG 67283 ...	DIAMOND OFFSHORE DRI...	4.000	7/31/2009	8/24/2011	233.99	369.59	-135.60
UBS - JG 67283 .	DIAMOND OFFSHORE DRI...	5.000	8/3/2009	8/24/2011	292.48	453.49	-161.01
UBS - JG 67283 ...	DONNELLEY (RR) & SONS	40.000	1/30/2006	8/24/2011	550.01	1,269.58	-719.57
UBS - JG 67283 ...	EDISON INTL	30.000	3/9/2009	8/24/2011	1,077.66	746.13	331.53
UBS - JG 67283 .	EDISON INTL	24.000	3/13/2009	8/24/2011	862.12	596.51	265.61

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Account	Security	Shares	Bought	Sold	Gross Pr...	Cost Basis	Realiz...
UBS - JG 67283 ...	FREEMPORT-MCMORAN C...	30.000	1/19/2010	8/24/2011	1,266.61	1,272.01	-5.40
UBS - JG 67283 ...	GENERAL ELECTRIC	50 000	8/2/2010	8/24/2011	757.51	801 06	-43.55
UBS - JG 67283 ...	GLAXO SMITHKLINE	27.000	6/11/2007	8/24/2011	1,100.24	1,376.35	-276 11
UBS - JG 67283 ...	GLAXO SMITHKLINE	14 000	6/15/2007	8/24/2011	570.50	729.64	-159.14
UBS - JG 67283 ...	INTEL CORP	176.000	11/16/2009	8/24/2011	3,379.27	3,489.10	-109.83
UBS - JG 67283 ...	INTL BUSINESS MACH	10.000	4/7/2009	8/24/2011	1,577.29	992 79	584.50
UBS - JG 67283 ...	INTL BUSINESS MACH	3.000	4/13/2009	8/24/2011	473.19	298 32	174.87
UBS - JG 67283 ...	JOHNSON & JOHNSON C...	30.000	5/7/2009	8/24/2011	1,897.84	1,609.91	287.93
UBS - JG 67283 ...	JOHNSON & JOHNSON C...	7.000	5/20/2009	8/24/2011	442.83	386.92	55.91
UBS - JG 67283 ...	KRAFT FOODS, INC. CL A	24 000	4/26/2007	8/24/2011	805.68	795.95	9.73
UBS - JG 67283 ...	KRAFT FOODS, INC. CL A	14.000	5/7/2007	8/24/2011	469.98	469 25	0.73
UBS - JG 67283 ...	KIMBERLY CLARK	33 000	1/28/2003	8/24/2011	2,160 55	1,480 69	679.86
UBS - JG 67283 ...	LOCKHEED MARTIN CORP	17.000	3/18/2010	8/24/2011	1,183.09	1,438.48	-255.39
UBS - JG 67283 ...	MARATHON OIL CORP	32.000	9/15/2005	8/24/2011	806 48	534.08	272.40
UBS - JG 67283 ...	MEDTRONIC INC	15.000	2/23/2009	8/24/2011	468.00	516.31	-48.31
UBS - JG 67283 ...	METLIFE INC	15.000	4/28/2009	8/24/2011	467.84	411.59	56.25
UBS - JG 67283 ...	NORTHROP GRUNMAN C...	15.000	10/28/2009	8/24/2011	740.85	663 39	77.46
UBS - JG 67283 ...	PFIZER INC DEL	47.000	5/2/2006	8/24/2011	832.39	1,182 15	-349 76
UBS - JG 67283 ...	PFIZER INC DEL	33.000	5/4/2006	8/24/2011	584.44	835 19	-250.75
UBS - JG 67283 ...	REYNOLDS AMERN INC	48.000	9/15/2005	8/24/2011	1,719 84	995 17	724.67
UBS - JG 67283 ...	ROYAL DUTCH SHELL PL...	30.000	9/11/2007	8/24/2011	1,854.29	2,417.14	-562.85
UBS - JG 67283 ...	ROYAL DUTCH SHELL PL .	14.000	9/28/2007	8/24/2011	865.34	1,170.64	-305.30
UBS - JG 67283 ...	TRAVELERS COS INC	20.000	9/15/2005	8/24/2011	992.60	838 00	154.60
UBS - JG 67283 ..	TOTAL S.A. FRANCE SPO...	30 000	12/18/2007	8/24/2011	1,370 69	2,493 63	-1,122....
UBS - JG 67283 ...	TOTAL S.A. FRANCE SPO...	5 000	12/27/2007	8/24/2011	228 45	401.84	-173.39
UBS - JG 67283 ...	XEROX CORP	55.000	6/25/2008	8/24/2011	404.84	769.92	-365.08
UBS - JG 67283 ...	XEROX CORP	7.000	7/1/2008	8/24/2011	51 52	95.56	-44.04
TOTAL LONG TERM					137,149.98	130,362.726,787.26	
OVERALL TOTAL					173,023.58	165,553.207,470.38	

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
	\$ 22,320	\$ 8,928	\$ 13,392	\$
Total	\$ 22,320	\$ 8,928	\$ 13,392	\$ 0

Statement 2 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
INVESTMENT ADVISORY FEES	\$ 19,075	\$ 19,075	\$	\$
Total	\$ 19,075	\$ 19,075	\$ 0	\$ 0

Statement 3 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
FOREIGN TAX WITHHELD	\$ 352	\$ 352	\$	\$
Total	\$ 352	\$ 352	\$ 0	\$ 0

Statement 4 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Expenses	\$	\$	\$	\$
DUES AND SUBSCRIPTIONS	695		695	
NEW YORK STATE FILING FEES	250		250	
ADVERTISING	305		305	
Total	\$ 1,250	\$ 0	\$ 1,250	\$ 0

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Statement 5 - Form 990-PF, Part II, Line 10a - US and State Government Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
UBS - JG 67281-55: US GOV'T	\$ 387,767	\$ 351,806	Cost	\$ 364,250
Total	\$ 387,767	\$ 351,806		\$ 364,250

Statement 6 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
UBS - JG 67280-55: COMMON STOCK	\$ 495,624	\$ 426,762	Cost	\$ 467,906
UBS - JG 67283-55: COMMON STOCK	302,730	257,747	Cost	253,734
Total	\$ 798,354	\$ 684,509		\$ 721,640

Statement 7 - Form 990-PF, Part II, Line 13 - Other Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
BARNWELL INDUSTRIES	\$ 6,000	\$ 6,000	Cost	\$ 6,000
Total	\$ 6,000	\$ 6,000		\$ 6,000

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Statement 8 - Form 990-PF, Part II, Line 15 - Other Assets

<u>Description</u>	<u>Beginning of Year</u>	<u>End of Year</u>	<u>Fair Market Value</u>
PREPAID INTEREST	\$ 1,046	\$ 1,046	\$ 1,046
Total	\$ 1,046	\$ 1,046	\$ 1,046

Form 990-PF, Part XV, Line 2b - Application Format and Required Contents

Description

BY LETTER

Form 990-PF, Part XV, Line 2c - Submission Deadlines

Description

NONE

Form 990-PF, Part XV, Line 2d - Award Restrictions or Limitations

Description

NONE

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Taxable Interest on Investments

<u>Description</u>	<u>Amount</u>	<u>Unrelated Business Code</u>	<u>Exclusion Code</u>	<u>Postal Code</u>	<u>US Obs (\$ or %)</u>
INTEREST - UBS 67281: US GOVT	\$ 10,850		14	NY	
Total	<u>\$ 10,850</u>				

Taxable Dividends from Securities

<u>Description</u>	<u>Amount</u>	<u>Unrelated Business Code</u>	<u>Exclusion Code</u>	<u>Postal Code</u>	<u>US Obs (\$ or %)</u>
DIVIDENDS: UBS 67280	\$ 8,955		14	NY	
DIVIDENDS: UBS 67283	13,257		14	NY	
DIVIDENDS: UBS 67284	54		14	NY	
Total	<u>\$ 22,266</u>				