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Form **990-PF**

# Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

**2010**Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning **09/01/10**, and ending **08/31/11**

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

Name of foundation

**WILLIAM & ELLEN E. MACRISTY FND.**

Number and street (or P O box number if mail is not delivered to street address)

**259 WOOD POND ROAD**

Room/suite

City or town, state, and ZIP code

**GLASTONBURY****CT 06033**H Check type of organization ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at

end of year (from Part II, col (c),

line 16) **\$ 1,546,682** (Part I, column (d) must be on cash basis)J Accounting method ☒ Cash ☐ Accrual☐ Other (specify)

A Employer identification number

**06-6034030**

B Telephone number (see page 10 of the instructions)

C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the  
85% test, check here and attach computation ☐E If private foundation status was terminated under  
section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination  
under section 507(b)(1)(B), check here ☐

**Part I Analysis of Revenue and Expenses** (The total of  
amounts in columns (b), (c), and (d) may not necessarily equal  
the amounts in column (a) (see page 11 of the instructions))

(a) Revenue and  
expenses per  
books(b) Net investment  
income(c) Adjusted net  
income(d) Disbursements  
for charitable  
purposes  
(cash basis only)

1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	68,042	68,042		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10 <b>STMT 1</b>	-38,284			
b	Gross sales price for all assets on line 6a <b>165,782</b>				
7	Capital gain net income (from Part IV, line 2)		0		
8	Net short-term capital gain			0	
9	Income modifications				
10a	Gross sales less returns & allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	<b>Total.</b> Add lines 1 through 11	29,758	68,042	0	
13	Compensation of officers, directors, trustees, etc	0			
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule) <b>STMT 2</b>	1,250			1,250
c	Other professional fees (attach schedule)				
17	Interest				
18	Taxes (attach schedule) (see page 14 of the instructions) <b>STMT 3</b>	1,912			
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule) <b>STMT 4</b>	50			50
24	<b>Total operating and administrative expenses.</b> Add lines 13 through 23	3,212	0	0	1,300
25	Contributions, gifts, grants paid	66,815			66,815
26	<b>Total expenses and disbursements.</b> Add lines 24 and 25	70,027	0	0	68,115
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	-40,269			
b	Net investment income (if negative, enter -0-)		68,042		
c	Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see page 30 of the instructions.

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	96,749	13,896	13,896
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (att schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) <b>SEE STMT 5</b>	1,341,163	1,383,747	1,532,786
	c	Investments—corporate bonds (attach schedule) <b>SEE STMT 6</b>	10,000	10,000	
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶			
	15	Other assets (describe ▶)			
	16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item i)	1,447,912	1,407,643	1,546,682
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	<b>Total liabilities</b> (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		<b>Foundations that follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 27 through 31.</b>			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	1,447,912	1,407,643	
	30	<b>Total net assets or fund balances</b> (see page 17 of the instructions)	1,447,912	1,407,643	
	31	<b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions)	1,447,912	1,407,643	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,447,912
2	Enter amount from Part I, line 27a	2	-40,269
3	Other increases not included in line 2 (itemize) ▶ <b>SEE STATEMENT 7</b>	3	
4	Add lines 1, 2, and 3	4	1,407,643
5	Decreases not included in line 2 (itemize) ▶	5	
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5)—Part II, column (b), line 30	6	1,407,643

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b>	<b>N/A</b>			
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

<b>2</b> Capital gain net income or (net capital loss)	<div style="border: 1px solid black; padding: 2px;">           If gain, also enter in Part I, line 7            If (loss), enter -0- in Part I, line 7         </div>	<b>2</b>	
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	35,831	1,349,684	0.026548
2008	66,686	1,213,251	0.054965
2007	71,900	1,677,183	0.042870
2006	81,705	1,831,104	0.044621
2005	98,562	1,676,907	0.058776

  

<b>2</b> Total of line 1, column (d)	<b>2</b>	0.227780
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0.045556
<b>4</b> Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	<b>4</b>	1,568,345
<b>5</b> Multiply line 4 by line 3	<b>5</b>	71,448
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	680
<b>7</b> Add lines 5 and 6	<b>7</b>	72,128
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.	<b>8</b>	68,115

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>	<b>1,361</b>
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	<b>0</b>
<b>3</b>	Add lines 1 and 2	<b>3</b>	<b>1,361</b>
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	<b>0</b>
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	<b>5</b>	<b>1,361</b>
<b>6</b>	<b>Credits/Payments:</b>		
<b>a</b>	2010 estimated tax payments and 2009 overpayment credited to 2010	<b>6a</b>	<b>1,400</b>
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d	<b>7</b>	<b>1,400</b>
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>	<b>39</b>
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2011 estimated tax</b> <b>39</b> <b>Refunded</b>	<b>11</b>	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		<b>X</b>
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to <b>1a</b> or <b>1b</b> , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		<b>X</b>
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		<b>X</b>
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <b>\$</b> _____ (2) On foundation managers <b>\$</b> _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <b>\$</b> _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		<b>X</b>
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		<b>X</b>
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		<b>X</b>
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		<b>X</b>
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	<b>X</b>	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	<b>X</b>	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <b>CT</b>		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	<b>X</b>	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		<b>X</b>
<b>10</b> Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		<b>X</b>

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		<b>X</b>
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		<b>X</b>
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <b>N/A</b>	13	<b>X</b>	
14	The books are in care of ► <b>COURTNEY FINK &amp; FORBES</b> <b>719 COTTAGE GROVE RD</b> Located at ► <b>BLOOMFIELD</b> CT ZIP+4 ► <b>06002</b> Telephone no ► <b>860-242-9400</b>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ► <b>15</b>			
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No <b>X</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here <b>N/A</b> <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010? <b>N/A</b>	1c	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? If "Yes," list the years ► 20 , 20 , 20 , 20 <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 22 of the instructions ) <b>N/A</b>	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010 ) <b>N/A</b>	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	<b>X</b>
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	<b>X</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **N/A** ☐ **5b**

Organizations relying on a current notice regarding disaster assistance check here ☐

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ☒

If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If Yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A** **7b**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
J. BRUCE BARLOW 259 WOOD POND ROAD GLASTONBURY CT 06033	PRESIDENT 5.00	0	0	0
CAROL-ANN BARLOW 259 WOOD POND ROAD GLASTONBURY CT 06033	SECRETARY 2.00	0	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1 — see page 23 of the instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

**Part VIII**
 Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

**3**
 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

**Part IX-A**
 Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b> N/A	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B**
 Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
<b>1</b> N/A	
<b>2</b>	
All other program-related investments See page 24 of the instructions	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3	



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	1,551,670
<b>b</b>	Average of monthly cash balances	<b>1b</b>	40,558
<b>c</b>	Fair market value of all other assets (see page 25 of the instructions)	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	1,592,228
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	1,592,228
<b>4</b>	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	<b>4</b>	23,883
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	1,568,345
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	78,417

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	78,417
<b>2a</b>	Tax on investment income for 2010 from Part VI, line 5	<b>2a</b>	1,361
<b>b</b>	Income tax for 2010. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	1,361
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	77,056
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	77,056
<b>6</b>	Deduction from distributable amount (see page 25 of the instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	77,056

**Part XII Qualifying Distributions** (see page 25 of the instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	<b>1a</b>	68,115
<b>b</b>	Program-related investments—total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	68,115
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	<b>5</b>	0
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	68,115

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
<b>1</b> Distributable amount for 2010 from Part XI, line 7				<b>77,056</b>
<b>2</b> Undistributed income, if any, as of the end of 2010				
<b>a</b> Enter amount for 2009 only			<b>65,535</b>	
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2010				
<b>a</b> From 2005				
<b>b</b> From 2006				
<b>c</b> From 2007				
<b>d</b> From 2008				
<b>e</b> From 2009				
<b>f</b> Total of lines 3a through e				
<b>4</b> Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ <b>68,115</b>				
<b>a</b> Applied to 2009, but not more than line 2a			<b>65,535</b>	
<b>b</b> Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
<b>c</b> Treated as distributions out of corpus (Election required—see page 26 of the instructions)				
<b>d</b> Applied to 2010 distributable amount				<b>2,580</b>
<b>e</b> Remaining amount distributed out of corpus				
<b>5</b> Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5				
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions				
<b>e</b> Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions				
<b>f</b> Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				<b>74,476</b>
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
<b>8</b> Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions)				
<b>9</b> Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a				
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2006				
<b>b</b> Excess from 2007				
<b>c</b> Excess from 2008				
<b>d</b> Excess from 2009				
<b>e</b> Excess from 2010				

**Part XIV Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

**b** 85% of line 2a

**c** Qualifying distributions from Part XII,  
line 4 for each year listed

**d** Amounts included in line 2c not used directly for active conduct of exempt activities

**e** Qualifying distributions made directly for active conduct of exempt activities  
Subtract line 2d from line 2c

**3** Complete 3a, b, or c for the alternative test relied upon

**a** "Assets" alternative test—enter

**(1) Value of all assets**

**(2) Value of assets qualifying under section 4942(j)(3)(B)(i)**

**b** "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

**c** "Support" alternative test—enter

**(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)**

**(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)**

**(3) Largest amount of support from an exempt organization**

**(4) Gross investment income**

[illegible]

**Part XV**      **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see page 28 of the instructions.)**

### 1 Information Regarding Foundation Managers:

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

**N/A**

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**N/A**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number of the person to whom applications should be addressed

J BRUCE BARLOW

259 WOOD POND RD GLASTONBURY CT 06033

**b** The form in which applications should be submitted and information and materials they should include

**SEE ATTACHED**

**c** Any submission deadlines

SEE ATTACHED

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**SEE ATTACHED**

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year <b>SEE STATEMENT 8</b>				66,815
<b>Total</b>			▶ <b>3a</b>	66,815
<b>b</b> Approved for future payment <b>N/A</b>				
<b>Total</b>			▶ <b>3b</b>	





## Federal Statements

## Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets

Description		How Received		Cost	Expense	Depreciation	Net Gain / Loss
		Date Acquired	Date Sold	Sale Price			
COBALTS SPRINT		5/09/02	6/06/11	\$		\$	5,665
AIG CASH IN LIEU		VARIOUS	1/20/11	PURCHASE 11			11
WALMART		10/19/09	7/26/11	PURCHASE 26,469	26,478		-9
COMCAST		5/05/00	9/01/10	PURCHASE 10,632	33,926		-23,294
DEUTSCHE TELEKOM		6/19/00	9/01/10	PURCHASE 7,813	19,002		-11,189
FAIRPOINT COMM		VARIOUS	9/01/10	PURCHASE 1	86		-85
FIRST TRUST VALUE		6/12/03	9/01/10	PURCHASE 10,450	15,000		-4,550
GMAC SR NOTES		12/08/04	9/01/10	PURCHASE 12,962	15,000		-2,038
HIGHWOODS PROP		3/31/98	9/01/10	PURCHASE 6,148	6,182		-34
NEUBERGER BERMAN		10/28/03	9/01/10	PURCHASE 3,429	15,000		-11,571
NUVEEN EQUITY PREM		5/25/05	9/01/10	PURCHASE 13,193	20,000		-6,807
PHOENIX COS SR DEBT		12/19/01	9/01/10	PURCHASE 6,915	10,000		-3,085
REALTY IN CORP		5/06/04	9/01/10	PURCHASE 7,648	7,500		148
XEROX		11/07/89	9/01/10	PURCHASE 5,212	5,790		-578
VODAPHONE		7/02/10	9/01/10	PURCHASE 20,961	3,267		17,694
QWEST		VARIOUS	5/20/11	PURCHASE 185			185
KINDER MORGAN		VARIOUS	5/10/11	PURCHASE 1,253			1,253

## Federal Statements

## Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Whom Sold	Description	Date Acquired	Date Sold	How Received		Cost	Expense	Depreciation	Net Gain / Loss
					Sale Price				
TOTAL				\$	165,782	\$	204,066	\$	0
									\$ -38,284

## Statement 2 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
RETURN PREPARATION FEE	\$ 1,250	\$	\$	\$ 1,250
TOTAL	\$ 1,250	\$ 0	\$ 0	\$ 1,250

## Statement 3 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
FEDERAL EXCISE TAX	\$ 1,912	\$	\$	\$
TOTAL	\$ 1,912	\$ 0	\$ 0	\$ 0

## Statement 4 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
EXPENSES		\$	\$	\$
ANNUAL REPORT	50			50
TOTAL	\$ 50	\$ 0	\$ 0	\$ 50



## Federal Statements

Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
SCHEDULE ATTACHED	\$ 1,341,163	\$ 1,383,747	COST	\$ 1,532,786
TOTAL	\$ 1,341,163	\$ 1,383,747		\$ 1,532,786

Statement 6 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
SCHEDULE ATTACHED	\$ 10,000	\$ 10,000	COST	\$
TOTAL	\$ 10,000	\$ 10,000		\$ 0

**Form 990-PF, Part XV, Line 2b - Application Format and Required Contents**

Description

SEE ATTACHED

**Form 990-PF, Part XV, Line 2c - Submission Deadlines**

Description

SEE ATTACHED

**Form 990-PF, Part XV, Line 2d - Award Restrictions or Limitations**

Description

SEE ATTACHED

06-6034030

## Federal Statements

Statement 7 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the  
Year

Name	Address	Relationship	Status	Purpose	Amount
NEW BRITAIN MUSEUM	56 LEXINGTON STREET				
NEW BRITAIN CT 06052	NONE		PUBLIC	CHARITY	3,000
MARINE CORP SCHOLARSHIP F	P.O.BOX 3070				
MERRIFIELD VA 22116	NONE		PUBLIC	CHARITY	5,000
YWCA	29 WELLES STREET				
GLASTONBURY CT 06033	NONE		PUBLIC	CHARITY	3,000
FRIENDSHIP SERVICE CENTER	P.O.BOX 1896				
NEW BRITAIN CT 06050-1896	NONE		PUBLIC	CHARITY	2,000
NEW BRITAIN PUBLIC LIBRAR	20 HIGH STREET				
NEW BRITAIN CT 06051	NONE		PUBLIC	CHARITY	500
YMCA	9 WELLES STREET				
GLASTONBURY CT 06033	NONE		PUBLIC	CHARITY	1,000
HIGH HOPES THERAPEUTIC	36 TOWN WOOD ROAD				
OLD LYME CT 06371	NONE		PUBLIC	CHARITY	750
PRUDENCE CRANDALL CENTER	P.O. BOX 895				
NEW BRITAIN CT 06050-0895	NONE		PUBLIC	CHARITY	2,000
MARIINE CORPS LEAGUE	P.O.BOX 3070				
MERRIFIELD VA 22116	NONE		PUBLIC	CHARITY	650
FIDELCO GUIDE DOG FOUNDAT	103 OLD IRON ORE RD				
BLOOMFIELD CT 06002	NONE		PUBLIC	CHARITY	1,500
MIDDLEBURY COLLEGE	MIDDLEBURY COLLEGE				
MIDDLEBURY VT 05753	NONE		PUBLIC	EDUCATION	2,000
KIMBALL UNION ACADEMY	P.O. BOX 188				
MERIDEN NH 03770	NONE		PUBLIC	EDUCATION	2,000
CHASE COLLEGIATE SCHOOL	565 CHASE PARKWAY				
WATERBURY CT 06708	NONE		PUBLIC	EDUCATION	500
CHERISH THE CHILDREN FNDT	PO BOX 128				
GLASTONBURY CT 06033	NONE		PUBLIC	CHARITY	2,750
CHRIS ZANNONI	16 1/2 HIGHLAND AVE				
VERNON CT 06066	NONE		STUDENT	SCHOLARSHIP - UCONN	3,300
TAYLOR BARLOW	122 SHERWOOD DR				
GLASTONBURY CT 06033	GRANDCHILD		STUDENT	SCHOLARSHIP - BENTLEY UNIV	3,000
DANA FARBER CANCER INST	10 BROOKLINE PL WEST				
BROOKLINE MA 02445	NONE		PUBLIC	CHARITY	1,500
NEW BRITAIN EMS	225 ARCH ST				
NEW BRITAIN CT 06051	NONE		PUBLIC	CHARITY	500
PROGRESSIVE LACROSSE INC	P O BOX 1296				
WESTON CT 06883	NONE		PUBLIC	CHARITY	500

## Federal Statements

Statement 7 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the  
Year (continued)

Name	Address	Relationship	Status	Purpose	Amount
GLASTONBURY ABC	P O BOX 502	NONE	PUBLIC	CHARITY	1,000
GLASTONBURY CT 06033	215 GLASTONBURY BLVD	NONE	PUBLIC	CHARITY	3,315
STOP & SHOP FOOD SHARE	35 COLD SPRING	NONE	PUBLIC	CHARITY	1,500
GLASTONBURY CT 06033	135 BROAD ST	NONE	PUBLIC	CHARITY	300
ARTHRTIS FNDTN	333 7TH AVE	NONE	PUBLIC	CHARITY	3,000
ROCKY HILL CT 06067	69 LYME ST	NONE	PUBLIC	CHARITY	200
COVENANT HOUSE	PO BOX 378	NONE	PUBLIC	EDUCATION	1,000
HARTFORD CT 06105	100 GRAND ST	NONE	PUBLIC	CHARITY	2,000
DOCTORS WITHOUT BORDERS	PO BOX 355	NONE	PUBLIC	CHARITY	250
NEW YORK NY 10001	103 WOODLAND ST	NONE	PUBLIC	CHARITY	500
FIREFIGHTERS FUND OLD LYM	170 KINGSWOOD RD	NONE	PUBLIC	EDUCATION	300
OLD LYME CT 06371	122 SHERWOOD DR	NONE	STUDENT	SCHOLARSHIP-TOWSON	3,000
GLASTONBURY PRNRS IN PLA	183 SUNNADALE DR	NONE	STUDENT	SCHOLARSHIP-CENTRAL CT STATE	6,000
SOUTH GLASTONBURY CT 0607	75 STEEP HOLLOW DR	NONE	STUDENT	SCHOLARSHIP-FORDHAM	3,000
NEW BRITAIN GENL HOSPITAL	106 BIRDSEYE RD	NONE	STUDENT	SCHOLARSHIP-BENTLEY	3,000
NEW BRITAIN CT 06050	234 OVERLOOK AVE	NONE	STUDENT	SCHOLARSHIP-CENTRAL CT STATE	3,000
USET FOUNDATION	NEW BRITAIN CT 06053				
GLADSTONE NJ 07934					
VNA HEALTHCARE					
HARTFORD CT 06105					
KINGSWOOD OXFORD SCHOOL					
WEST HARTFORD CT 06119					
JAMES BARLOW					
GLASTONBURY CT 06033					
MICHAEL MUCHA					
BRISTOL CT 06010					
NICOLE BARLOW					
GLASTONBURY CT 06033					
SYLVIA WISNIEWSKI					
FARMINGTON CT 06032					
PAWEL ZAROW					
NEW BRITAIN CT 06053					
TOTAL					66,815

I. PURPOSE

The William & Ellen E. Macristy Foundation will provide an annual scholarship. Scholarships will be awarded annually to a selected applicant who will be working towards a Bachelor's Degree at an accredited College or University. This will be a continuing scholarship, if the recipient meets the requirements of Paragraph IV 4.

II. ELIGIBILITY

1. Candidates will not be judged on race, religion, national origin, political, social or economic beliefs.
2. The applicant's parent must have at least two (2) years continuous service with Connecticut Stamping & Bending or Tube Bends as of January 1st of the year in which the application is made. Children of deceased employees may apply if the parent had two (2) years continuous service with the companies immediately prior to death.

III. QUALIFICATIONS

An applicant will be judged on his total qualifications; including character, attitude, academic standing and need.

- A. The applicant must be in his senior year in a secondary school at the time of his application, and have applied and been accepted to an accredited college, university or engineering school with a curriculum leading to a Bachelor's Degree.  
or
  - B. An under-graduate at the time of his application at an accredited college, university or engineering school, following a curriculum leading to a Bachelor's Degree.
1. Applicants are required to submit a completed application form as designated by the Foundation. This application must be made prior to February 1st of the year for which the applicant is seeking scholarship.
    - A. A transcript of the applicant's secondary school record, complete to the last full semester marking period must be mailed to the Selection Committee, prior to the closing date for acceptance of applications. This transcript must come directly from the school.
    - B. Letters must be mailed to the Selection Committee from two (2) teachers who have taught the applicant during at least one full semester. These letters of recommendation should cover character, attitude, academic standing, extra curricular activities and any significant reasons why this applicant should be considered.

### III. QUALIFICATIONS (Cont'd)

- c. Letters of recommendation from three (3) personal adult acquaintances of the applicant must be mailed directly to the Selection Committee. These letters should cover character, attitude and any significant reasons why the applicant should be considered.
- d. A letter from the applicant outlining his reasons for applying for a scholarship must accompany the application.

### IV. RESTRICTIONS

1. Recipients are allowed to attend the college or university of their choice within the Continental Limits of the United States. (See Sub. Par. 5 for exceptions).
2. Recipients are free to select major field of study.
3. Recipients are not restricted to any general field of employment or obligated to enter the employ of any organization.
4. Recipients must attain the following goals for perpetuation of scholarship.
  - a. Remain in good standing i.e. not on academic or disciplinary probation.
  - b. Earn the following amounts of money through outside vacation employment:

	<u>Male</u>	<u>Female</u>
Freshman	\$300	<del>\$200</del> 300
Sophomore	400	<del>200</del> 400
Junior	600	<del>200</del> 600

The Scholarship Committee may modify this requirement under appropriate circumstances.

5. Recipients pursuing a course of study in which the college offers an alternate year of study outside the Continental Limits of the United States will be continued on Scholarship providing they attain goals as outlined in Para. IV 4.

### V. SELECTION OF RECIPIENTS

An independent committee of qualified individuals other than the members of the Board of The William & Ellen E. Macristy Foundation will select the recipient. The Committee will be guided but not necessarily bound by the following guide:

1. Need
2. Academic record in Secondary School
3. Extra-curricular activities
4. Community activities

The announcement of scholarship recipient will be made during the last week of March each year.

The committee may recommend rejection of all candidates if those applying do not meet qualifications set forth in Par. III or are not deserving of this scholarship.

#### STIPENDS

Financial assistance will not exceed tuition and fees at the college or university of the recipient's choice. The amount of a recipient's award will be determined on the basis of his individual and family resources in relation to his annual tuition and fees. Recipients are allowed to receive additional awards as long as the total amount accepted does not exceed his needs.

#### VI. PAYMENT OF SCHOLARSHIP

Scholarship monies provided by the Fund will be paid directly to the institution to be attended by the recipient.

#### VII. INFORMATION TO FOUNDATION

1. Scholarship Committee will request annual reports on progress of scholarship recipient from college or university. These reports will consist of a transcript and a short statement of recipient's progress from a college official.
2. Recipient will submit report of progress at end of each semester to Scholarship Committee.

#### INFORMATION

Admission to college concerns the recipient and the college. Acceptance and receipt of the Macristy Foundation Scholarship does not relieve the recipient of the personal responsibility of applying for admission nor guarantees his admission. After a recipient is enrolled in a college or university, his academic program, social and personal behavior and involvement in campus affairs are subject only to the policies of the college. The Foundation does not require particular courses in the recipient's academic programs, involvement in extra curricular activities or personal behavior inconsistent with college policies.

PERSONAL INFORMATION

1. Name (Mr. Miss) \_\_\_\_\_  
(Last) (First) (Middle)
2. Home Address \_\_\_\_\_  
Street & Number City County State
3. Date of Birth \_\_\_\_\_ 4. Marital Status Single \_\_\_\_\_  
Married \_\_\_\_\_
5. Applicant Normally Lives With (Check all that apply)
- (1) \_\_\_\_\_ Father (3) \_\_\_\_\_ Stepfather (5) \_\_\_\_\_ Maintains  
(2) \_\_\_\_\_ Mother (4) \_\_\_\_\_ Stepmother Own  
Residence
6. Check if any apply:
- \_\_\_\_\_ Father is Deceased \_\_\_\_\_ Father Unable to Work  
\_\_\_\_\_ Mother Deceased \_\_\_\_\_ Parents are Separated or  
Divorced  
\_\_\_\_\_ Other Special Circumstances (Explain)

INFORMATION CONCERNING FAMILY

1. Father or Male Guardian
- Name of Father \_\_\_\_\_ Age \_\_\_\_\_  
Home Address \_\_\_\_\_  
Occupation & Title \_\_\_\_\_ Years with Firm \_\_\_\_\_  
Employed By \_\_\_\_\_
2. Mother or Female Guardian
- Name of Mother \_\_\_\_\_ Age \_\_\_\_\_  
Home Address \_\_\_\_\_  
Occupation & Title \_\_\_\_\_  
Employed By \_\_\_\_\_ Years with Firm \_\_\_\_\_
3. Enter Number of Children Parents Will Claim as Tax Dependents  
on their Federal Income Tax Return for 19 \_\_\_\_\_
4. Enter Number of Other Dependents Receiving Financial  
Support From Family \_\_\_\_\_



### III. FAMILY INCOME

Gross Income for  
the twelve months  
ending last  
December 31

Father		Mother	
Salary	\$ _____	Salary	\$ _____
Other Inc.	_____	Other Inc.	_____
Total	\$ _____	Total	\$ _____

Anticipated gross  
income for twelve  
months beginning  
last January 1

Salary	\$ _____	Salary	\$ _____
Other Inc.	_____	Other Inc.	_____
Total	\$ _____	Total	\$ _____

Other assets and resources of parents or yourself

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Real Estate owned in the  
value of

\$ \_\_\_\_\_ \$ \_\_\_\_\_

What is the amount of mortgage, if any  
on this real estate

\$ \_\_\_\_\_ \$ \_\_\_\_\_

Amount of bank accounts, saving accounts,  
cash assets

\$ \_\_\_\_\_ \$ \_\_\_\_\_

Amount of other investments, such as bonds,  
stocks, etc.

\$ \_\_\_\_\_ \$ \_\_\_\_\_

Loan value of life insurance

\$ \_\_\_\_\_ \$ \_\_\_\_\_

#### Other Information:

Describe below any other pertinent information concerning the financial assets and obligations of your family that would be helpful in assessing your financial need for the Grant requested. (Use additional space, if necessary). If married, make a brief statement about your family financial obligations.

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

## IV. YOUR INCOME AND RESOURCES

### 1. Proposed Budget

Estimated costs and resources for the period of your request:

Tuition and required fees	\$ _____	Personal Savings	\$ _____
Books, instructional equipment & materials	\$ _____	Earnings During Vacation	\$ _____
Subscriptions, Dues	\$ _____	Part-time earnings during academic year	\$ _____
Board	\$ _____		
Room	\$ _____	Aid from parents or guardian	\$ _____
Clothing	\$ _____	Aid from other relatives	\$ _____
Lunches & travel expenses for commuting students	\$ _____	Scholarships	\$ _____
Personal Recreation	\$ _____	Veterans Benefits	\$ _____
Other Costs (Itemize)	\$ _____	Other Resources	\$ _____
<hr/>			
TOTAL	\$ _____	TOTAL	\$ _____

### 2. Personal Borrowing to Date From:

A. Family	\$ _____
B. Banks	\$ _____
C. Foundations or Community Organizations	\$ _____
D. Finance Companies	\$ _____

### 3. Other Information

Describe below any other pertinent information concerning your own earnings or other financial assets or obligations that would be helpful in assessing your financial need for this scholarship.

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## Schedule of Securities

William & Ellen E. Macristy Foundation  
 259 Wood Pond Road  
 Glastonbury, CT 06033

ID# 06-6034030  
 Form 990PF Sch Pt II Ln 10  
 Year Ended August 31, 2011

Stocks	Shares	Date Purchased	Cost or Book Value 31-Aug-11	Market Value 31-Aug-11
ALTRIA GROUP	500	08/15/11	13,021.77	13,595.00
AMER INT'L GROUP WTL	26	01/20/11	423.54	195.00
AMER INT'L GROUP	50	05/29/08	35,594.72	1,266.50
AT & T CORP, NEW	2,728	VARIOUS	21,499.10	77,693.44
BANK OF AMERICA CORP.	888	VARIOUS	23,373.76	7,254.96
BAXTER INTERNATIONAL INC.	1,300	VARIOUS	39,873.55	72,774.00
BOEING CO	200	08/05/11	12,809.30	13,372.00
BRISTOL-MYERS SQUIBB CO.	1,600	VARIOUS	32,005.07	47,600.00
CHEVRON CORPORATION	100	06/12/09	7,255.75	9,884.00
CISCO SYSTEMS, INC.	500	05/16/07	13,348.42	7,835.00
CATERPILLAR INC.	200	09/30/10	15,955.80	18,200.00
CATERPILLAR INC.	200	08/15/11	17,886.48	18,200.00
DELL INC.	1,200	VARIOUS	42,901.90	17,838.00
DUPONT EI DE NEMOUR & CO	2,000	12/13/06	97,131.07	96,540.00
EXXON MOBIL CORP.	928	VARIOUS	7,982.00	68,690.56
FORTUNE BRANDS, INC	500	01/10/05	36,069.68	19,474.00
FRONTIER COMMUNICATIONS	432	VARIOUS	1,190.48	28,560.00
FRONTIER COMMUNICATIONS	2,600	09/01/10	20,661.54	3,235.68
GENERAL ELECTRIC	1,200	VARIOUS	4,555.00	19,572.00
INTEL CORP.	1,000	09/30/10	19,658.09	20,130.00
INTL BUSINESS MACHINES CORP.	500	VARIOUS	37,507.50	85,955.00
JOHNSON & JOHNSON	600	05/16/07	37,954.46	39,480.00
JOHNSON & JOHNSON	400	09/01/10	23,642.02	26,320.00
JPMORGAN CHASE & CO.	900	01/03/01	12,376.00	33,804.00
MCDONALDS	500	10/19/09	29,984.15	45,205.00
MEDCO HEALTH SOLUTIONS, INC.	400	11/24/03	6,772.56	21,656.00
MEDTRONIC, INC.	800	VARIOUS	39,130.32	28,056.00
MICROSOFT	1,000	09/30/10	24,946.20	26,600.00

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	Stocks	Shares	Date Purchased	Cost or		Market Value
				Book Value	31-Aug-11	31-Aug-11
Pfizer, Inc.		1,000	06/10/08	18,075.64		18,980.00
Pfizer, Inc.		1,500	VARIOUS	36,267.96		28,470.00
Procter & Gamble		475	06/21/07	29,851.25		30,248.00
Progress Energy Inc.		500	10/21/09	19,590.43		24,400.00
SPDR Gold Trust ETF		100	06/12/09	9,356.80		17,772.00
SPORCord Trust ETF		100	09/01/10	12,272.70		17,772.00
Stanley Black & Decker		200	03/07/11	15,209.20		12,396.00
Teco Energy, Inc.		950	VARIOUS	13,946.23		17,385.00
Travelers Cos Inc.		616	07/14/03	19,618.13		31,083.36
United Fire & Casualty Co.		500	08/15/11	8,671.45		9,055.00
United Technologies		100	11/16/09	6,984.75		7,425.00
United Technologies		300	09/30/10	21,704.06		22,275.00
Verizon Communications		1,800	VARIOUS	34,606.65		65,097.00
Walgreen Co.		675	06/21/07	29,871.43		23,766.75
Windstream Corp.		827	07/17/06	7,149.16		10,502.90
Windstream Corp.		1,273	12/04/06	17,905.65		16,167.10
MUTUAL FUNDS						
Claymore Divid & Income Fund		200	01/30/04	20,000.00		3,030.00
Wells Fargo Advntg Gbl Div Opp Fd		1,000	03/28/07	20,000.00		9,190.00
ING Clarion Gbl Real Estate Income Fund SBI		1,650	VARIOUS	28,390.70		12,721.50
ING Risk Mgd Nat RSC Fd		1,000	11/25/06	20,000.00		13,560.00
ING Int'l HI Div		2,000	09/25/07	40,000.00		20,660.00
Nuveen Multi Strategy Income & Growth Fund		2,000	09/01/10	17,302.70		17,380.00
Nuveen Multi Strategy Income & Growth Fund 2		1,000	06/30/03	15,000.00		8,690.00
Nuveen Global Government Enhanced in Fd		2,000	06/27/06	40,000.00		29,820.00
PIMCO High Income Fund Common Shares on Beneficial Interest		2,000	VARIOUS	28,347.92		25,300.00

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Stocks	Shares	Date Purchased	Cost or		Market Value
			Book Value	31-Aug-11	
RBS CAPITAL FDG TR V 5.9% PFD	500	08/02/04	11,693.49		5,455.00
ALLIANCE SE 8.375% PFD	1,000	06/03/08	25,000.00		25,938.00
BANK AMERICA 7% CAP TR II	400	01/31/02	10,000.00		9,540.00
BANK OF AMERICA 8.625% NON CUM PRF	1,000	04/22/08	25,000.00		25,200.00
CITIGROUP CAPITAL XV SEC 6.5% PREF DEBT	1000	09/17/06	25,000.00		23,260.00
CONN NATURAL GAS CORP. 8% PFD	800	VARIOUS	4,343.00		5,120.00
FORD MOTOR CO. DEL 7.5%, DUE 6-10-43	400	06/25/03	10,000.00		10,500.00
FPL GROUP CAPITAL TR I 5.875%, DUE 3-15-44	400	08/02/04	9,592.30		10,252.00
GOLDMAN SACHS GRP PFO FLT RATE	1000	09/01/10	22,484.90		20,650.00
HOSPITALITY PPTYS TRUST 8.87% CUM					
REDEEMABLE PFD SERIES B PERP MAT.	400	12/10/02	10,000.00		10,248.00
ING GROUP 8.5% PFD	1,000	06/10/08	25,000.00		24,490.00

**Total Equities** **1,383,746.73** **1,532,785.75**

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 Year Ended August 31, 2011

	Stocks	Shares	Date Purchased	Cost or		Market Value
				Book Value	31-Aug-11	
				31-Aug-11	31-Aug-11	
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Bonds						
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DELPHI TRUST I 8.25%, DUE 10-15-33		400	10/28/03	10,000.00		0.00
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Total Bonds				10,000.00		0.00
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Total Securities and Bonds.....				1,393,746.73		1,532,785.75
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