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12/12/2011 10:29:37 AM - 10145500005 - 411 - BOS - CHASE ALICE P TR U-IND

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2010

ENVELOPE POSTMARK DATE DEC 14 2011

For calendar year 2010, or tax year beginning 9/1/2010 **, and ending** 8/31/2011

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation: CHASE ALICE P TR U/IND

A Employer identification number: 04-6093897

Number and street (or P O box number if mail is not delivered to street address): BNY Mellon, N A - P O BOX 185

Room/suite:

B Telephone number (see page 10 of the instructions): (617) 722-7121

City or town, state, and ZIP code: Pittsburgh PA 15230-0185

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 6,077,411

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) ________
(Part I, column (d) must be on cash basis)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	35			
	2 Check ▶ ☒ if the foundation is **not** required to attach Sch B				
	3 Interest on savings and temporary cash investments	0	0		
	4 Dividends and interest from securities	107,388	107,583		
	5 a Gross rents		0		
	b Net rental income or (loss) 0				
	6 a Net gain or (loss) from sale of assets not on line 10	-4,550			
	b Gross sales price for all assets on line 6a 771,760				
	7 Capital gain net income (from Part IV, line 2)		0		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10 a Gross sales less returns and allowances 0				
	b Less Cost of goods sold 0				
	c Gross profit or (loss) (attach schedule)	0			
	11 Other income (attach schedule)	-1,364	-1,343	0	
	12 **Total.** Add lines 1 through 11	101,509	106,240	0	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	25,400	15,240		10,160
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16 a Legal fees (attach schedule)	0	0	0	0
	b Accounting fees (attach schedule)	0	0	0	0
	c Other professional fees (attach schedule)	0	0	0	0
	17 Interest	1,375	1,375		
	18 Taxes (attach schedule) (see page 14 of the instructions)	5,767	3,328	0	0
	19 Depreciation (attach schedule) and depletion	0	0	0	
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	2,751	2,716	0	35
	24 **Total operating and administrative expenses.** Add lines 13 through 23	35,293	22,659	0	10,195
	25 Contributions, gifts, grants paid	340,000			340,000
	26 **Total expenses and disbursements** Add lines 24 and 25	375,293	22,659	0	350,195
	27 Subtract line 26 from line 12				
	a **Excess of revenue over expenses and disbursements**	-273,784			
	b **Net investment income** (if negative, enter -0-)		83,581		
	c **Adjusted net income** (if negative, enter -0-)			0	

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For Paperwork Reduction Act Notice, see page 30 of the instructions.

(HTA)

Form **990-PF** (2010)

G14 8

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

				Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			0	
	2	Savings and temporary cash investments		67,769	83,989	83,989
	3	Accounts receivable ▶	0			
		Less allowance for doubtful accounts ▶	0	0	0	0
	4	Pledges receivable ▶	0			
		Less allowance for doubtful accounts ▶	0	0	0	0
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)		0	0	0
	7	Other notes and loans receivable (attach schedule) ▶	0			
		Less allowance for doubtful accounts ▶	0	0	0	0
	8	Inventories for sale or use			0	
	9	Prepaid expenses and deferred charges			0	
	10 a	Investments—U S and state government obligations (attach schedule)		0	0	0
	b	Investments—corporate stock (attach schedule)		0	0	0
	c	Investments—corporate bonds (attach schedule)		0	0	0
	11	Investments—land, buildings, and equipment basis ▶	0			
		Less accumulated depreciation (attach schedule) ▶	0	0	0	0
	12	Investments—mortgage loans				
	13	Investments—other (attach schedule)		5,564,397	5,275,903	5,849,953
	14	Land, buildings, and equipment basis ▶	0			
		Less accumulated depreciation (attach schedule) ▶	0	0	0	0
	15	Other assets (describe ▶ MELLON OPTIMA L/S STRATEG`)		115,675	115,675	143,469
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)		5,747,841	5,475,567	6,077,411
Liabilities	17	Accounts payable and accrued expenses			0	
	18	Grants payable				
	19	Deferred revenue			0	
	20	Loans from officers, directors, trustees, and other disqualified persons		0	0	
	21	Mortgages and other notes payable (attach schedule)		0	0	
	22	Other liabilities (describe ▶)		0	0	
	23	**Total liabilities** (add lines 17 through 22)		0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.** ▶	☐			
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶	☒			
	27	Capital stock, trust principal, or current funds		5,747,841	5,475,567	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	**Total net assets or fund balances** (see page 17 of the instructions)		5,747,841	5,475,567	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)		5,747,841	5,475,567	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,747,841
2	Enter amount from Part I, line 27a	2	-273,784
3	Other increases not included in line 2 (itemize) ▶ OPTIMA INCOME ADJUSTMENT	3	1,510
4	Add lines 1, 2, and 3	4	5,475,567
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	5,475,567

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Attached Statement			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	0	0	0	0
b	0	0	0	0
c	0	0	0	0
d	0	0	0	0
e	0	0	0	0

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a	0	0	0	0
b	0	0	0	0
c	0	0	0	0
d	0	0	0	0
e	0	0	0	0

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 -4,895

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8 } 3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	274,607	5,970,942	0 045991
2008	251,885	5,269,538	0 047800
2007	337,472	6,900,392	0 048906
2006	328,608	6,910,407	0 047553
2005	313,530	6,713,484	0 046702

2	**Total** of line 1, column (d)	2	0 236952
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 047390
4	Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	6,422,911
5	Multiply line 4 by line 3	5	304,382
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	836
7	Add lines 5 and 6	7	305,218
8	Enter qualifying distributions from Part XII, line 4	8	350,195

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1	a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ______ (attach copy of letter if necessary—see instructions)				
	b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	836
	c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2			3	836
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	836
6	Credits/Payments:				
	a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	1,768		
	b Exempt foreign organizations—tax withheld at source	6b			
	c Tax paid with application for extension of time to file (Form 8868)	6c	0		
	d Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	1,768
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	0
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	932
11	Enter the amount of line 10 to be **Credited to 2011 estimated tax** ▶ 932 **Refunded** ▶			11	0

Part VII-A Statements Regarding Activities

			Yes	No
1	a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
	b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
	c Did the foundation file **Form 1120-POL** for this year?	1c		X
	d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ______ (2) On foundation managers ▶ $ ______			
	e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4	a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
	b If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8	a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ MA			
	b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ BNY MELLON, N A Telephone no ▶ (617) 722-7121

Located at ▶ P O BOX 185, PITTSBURGH, PA ZIP+4 ▶ 15230-0185

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

				Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.				
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010?	☐ Yes ☒ No			
	If "Yes," list the years ▶ 20_____ , 20_____ , 20_____ , 20_____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 22 of the instructions)		2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20_____ , 20_____ , 20_____ , 20_____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2010 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)*		3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BNY Mellon, N A P O BOX 185 Pittsburgh PA 15230-0185	TRUSTEE SEE ATTACHED	59,041	0	0
BNY Mellon, N A P O BOX 185 Pittsburgh PA 15230-0185	FEE REIMBURSEMENT	-33,641	0	0
	00	0	0	0
	00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ NONE

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		0
		0
		0
		0
		0
Total number of others receiving over $50,000 for professional services ▶		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions 3 NONE	0
Total. Add lines 1 through 3 ▶	NONE

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see page 24 of the instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	6,336,998
b	Average of monthly cash balances	1b	183,724
c	Fair market value of all other assets (see page 25 of the instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	6,520,722
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	6,520,722
4	Cash deemed held for charitable activities Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	4	97,811
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	6,422,911
6	**Minimum investment return.** Enter 5% of line 5	6	321,146

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	321,146
2a	Tax on investment income for 2010 from Part VI, line 5	2a	836		
b	Income tax for 2010 (This does not include the tax from Part VI)	2b	0		
c	Add lines 2a and 2b			2c	836
3	Distributable amount before adjustments Subtract line 2c from line 1			3	320,310
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	320,310
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	320,310

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	350,195
b	Program-related investments—total from Part IX-B	1b	NONE
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	350,195
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	836
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	349,359

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

				(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1		Distributable amount for 2010 from Part XI, line 7					320,310
2		Undistributed income, if any, as of the end of 2010					
	a	Enter amount for 2009 only				48,030	
	b	Total for prior years 20____, 20____, 20____			0		
3		Excess distributions carryover, if any, to 2010					
	a	From 2005	NONE				
	b	From 2006	NONE				
	c	From 2007	NONE				
	d	From 2008	NONE				
	e	From 2009	NONE				
	f	**Total** of lines 3a through e		0			
4		Qualifying distributions for 2010 from Part XII, line 4 ▶ $ 350,195					
	a	Applied to 2009, but not more than line 2a				48,030	
	b	Applied to undistributed income of prior years (Election required—see page 26 of the instructions)			0		
	c	Treated as distributions out of corpus (Election required—see page 26 of the instructions)		0			
	d	Applied to 2010 distributable amount					302,165
	e	Remaining amount distributed out of corpus		0			
5		Excess distributions carryover applied to 2010 *(If an amount appears in column (d), the same amount must be shown in column (a))*		0			0
6		**Enter the net total of each column as indicated below:**					
	a	Corpus Add lines 3f, 4c, and 4e Subtract line 5		0			
	b	Prior years' undistributed income Subtract line 4b from line 2b			0		
	c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
	d	Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions			0		
	e	Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions				0	
	f	Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011					18,145
7		Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)					
8		Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions)		0			
9		**Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a		0			
10		Analysis of line 9					
	a	Excess from 2006	0				
	b	Excess from 2007	0				
	c	Excess from 2008	0				
	d	Excess from 2009	0				
	e	Excess from 2010	0				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	0				0
b 85% of line 2a	0	0	0	0	0
c Qualifying distributions from Part XII, line 4 for each year listed	0				0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c	0	0	0	0	0
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	0				0
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

NOT APPLICABLE

b The form in which applications should be submitted and information and materials they should include

THERE IS NO SET FORM

c Any submission deadlines

NOT APPLICABLE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

THIS CHARITABLE TRUST MAKES NO GRANTS TO INDIVIDUALS

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Attached Statement				
Total			▶ 3a	340,000
b *Approved for future payment*				
NONE				
Total			▶ 3b	NONE

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a		0		0	0
b		0		0	0
c		0		0	0
d		0		0	0
e		0		0	0
f		0		0	0
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	107,388	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory	900001	-174	18	-4,376	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a OTHER INCOME	900001	-21	41	-1,343	0
b		0		0	0
c		0		0	0
d		0		0	0
e		0		0	0
12 Subtotal Add columns (b), (d), and (e)		-195		101,669	0

13 Total. Add line 12, columns (b), (d), and (e) 13 101,474

(See worksheet in line 13 instructions on page 29 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 29 of the instructions)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	0	NOT APPLICABLE	
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee	Date	Title
	12/12/2011	VICE PRESIDENT

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
Shawn P Hanlon	, CPA	12/12/2011		P00965923

Firm's name ▶ PRICEWATERHOUSECOOPERS LLP — Firm's EIN ▶ 13-4008324

Firm's address ▶ 600 GRANT STREET, PITTSBURGH, PA 15219 — Phone no (412) 355-6000

TAX MARKET VALUE DETAIL REPORT FOR PFSO TO MOBIUS

ACCOUNT NO	ACCOUNT NAME	CUSIP ID	ASSET SHORT NAME	SHARES	MARKET VALUE	FEDERAL TAX COST
10145500005	CHASE ALICE P T	05569M103	BNY MELLON LARGE CAP STK-M	121,579 44	989,656 64	837,541 50
10145500005	CHASE ALICE P T	05569M442	BNY MELLON SM/MID CAP-M	17,576 62	230,956 79	193,422 19
10145500005	CHASE ALICE P T	05569M475	BNY MELLON FOC EQ OPP-M	34,819 37	419,225 26	361,425 12
10145500005	CHASE ALICE P T	05569M509	BNY MELLON MID CAP STOCK-M	30,769 33	351,078 10	278,837 10
10145500005	CHASE ALICE P T	05569M582	BNY MEL US CORE EQ 130/30-M	37,075 28	401,525 38	357,035 03
10145500005	CHASE ALICE P T	05569M780	BNY MELLON S/T US GOV SECS-M	26,253 25	322,914 99	324,703 42
10145500005	CHASE ALICE P T	05569M806	BNY MELLON SMALL CAP STK-M	16,148 16	174,077 25	164,955 55
10145500005	CHASE ALICE P T	05569M814	BNY MELLON INTERMEDIATE BD-M	30,040.61	393,231 66	369,186 42
10145500005	CHASE ALICE P T	05569M830	BNY MELLON BOND FUND-M	113,640 57	1,520,510.85	1,320,766 98
10145500005	CHASE ALICE P T	05569M855	BNY MELLON EMERGING MKTS-M	49,753 35	529,873.18	549,429 93
10145500005	CHASE ALICE P T	05569M871	BNY MELLON INTERNATIONAL-M	22,524 53	223,893.91	195,747 76
10145500005	CHASE ALICE P T	261986632	DREYFUS DIVERSIFIED INTL-I	30,144 96	293,009 10	322,852.62
10145500005	CHASE ALICE P T	991061052	CRA (BNY MELLON, N A , MEMBE	83,988 62	83,988 62	83,988 62
10145500005	CHASE ALICE P T	999Z86567	MELLON OPTIMA L/S STRATEGY F	1,445 96	143,469 23	115,674 58
TOTAL FOR ACCT#10145500005					6,077,410 96	5,475,566 82

Attachment to Form 990-PF Part VIII, Column (b) Title and average hours per week devoted to position

As Trustee, the Bank of New York Mellon provides administrative services such as acting as investment manager for the named organization These services require numerous individual bank employees' involvement in the activities of the organization and thus cannot be quantified on an hourly basis Therefore, the Trustee's fees are not based upon an hourly basis but are calculated based upon factors such as the market value of the account and in accordance with our agreement with the client.

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name	AFC Mentoring
Street	727 Atlantic Avenue, 3rd Floor
City	Boston
State	MA
Zip Code	02111
Foreign Country	
Relationship	NONE
Foundation Status	CHARITABLE
Purpose of grant/contribution	OPERATIONAL
Amount	25,000

Name	Hull Lifesaving Museum
Street	1117 Nantasket Avenue
City	Hull
State	MA
Zip Code	02045
Foreign Country	
Relationship	NONE
Foundation Status	CHARITABLE
Purpose of grant/contribution	OPERATIONAL
Amount	30,000

Name	Museum of Science
Street	1 Science Park
City	Boston
State	MA
Zip Code	02114
Foreign Country	
Relationship	NONE
Foundation Status	CHARITABLE
Purpose of grant/contribution	OPERATIONAL
Amount	25,000

Name	Asian Task Force Against Domestic Violence
Street	P O Box 120108
City	Boston
State	MA
Zip Code	02112
Foreign Country	
Relationship	NONE
Foundation Status	CHARITABLE
Purpose of grant/contribution	OPERATIONAL
Amount	25,000

Name	HOPEFOUND
Street	170 Morton St
City	Jamaica Plain
State	MA
Zip Code	02130
Foreign Country	
Relationship	NONE
Foundation Status	CHARITABLE
Purpose of grant/contribution	OPERATIONAL
Amount	50,000

Name	Interseminarian Project Place
Street	1145 Washington Street
City	Boston
State	MA
Zip Code	02118
Foreign Country	
Relationship	NONE
Foundation Status	CHARITABLE
Purpose of grant/contribution	OPERATIONAL
Amount	50,000

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name
RESPOND, Inc

Street
PO Box 555

City	State	Zip Code	Foreign Country
Somerville	MA	02143	

Relationship	Foundation Status
NONE	CHARITABLE

Purpose of grant/contribution	Amount
OPERATIONAL	20,000

Name
ACCESS

Street
31 St James Avenue, Suite 520

City	State	Zip Code	Foreign Country
Boston	MA	02116	

Relationship	Foundation Status
NONE	CHARITABLE

Purpose of grant/contribution	Amount
OPERATIONAL	40,000

Name
Catholic Charities Boston - Haitian Multi-Service Center

Street
51 Sleeper Street

City	State	Zip Code	Foreign Country
Boston	MA	02210	

Relationship	Foundation Status
NONE	CHARITABLE

Purpose of grant/contribution	Amount
OPERATIONAL	25,000

Name
Pine Street Inn

Street
444 Harrison Avenue

City	State	Zip Code	Foreign Country
Boston	MA	02118	

Relationship	Foundation Status
NONE	CHARITABLE

Purpose of grant/contribution	Amount
OPERATIONAL	40,000

Name
ROCA INC

Street
101 Park Street

City	State	Zip Code	Foreign Country
CHELSEA	MA	02150	

Relationship	Foundation Status
NONE	CHARITABLE

Purpose of grant/contribution	Amount
OPERATIONAL	10,000

Name

Street

City	State	Zip Code	Foreign Country

Relationship	Foundation Status

Purpose of grant/contribution	Amount
	0

Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount
Long Term CG Distributions	1,792
Short Term CG Distributions	0

Totals	Gross Sales	Cost, Other Basis and Expenses	Net Gain or Loss
Securities	771,760	776,310	-4,550
Other sales	0	0	0

Index	Check "X" if Sale of Security	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Net Gain or Loss
1	X	BNY MELLON LARGE CAP ST	05569M103			6/30/1983		9/9/2010	8,500	10,978				-2,478
2	X	BNY MELLON LARGE CAP ST	05569M103			6/30/1983		2/3/2011	147,745	160,638				-12,893
3	X	BNY MELLON SMALL/MID CA	05569M442			2/11/2010		9/9/2010	1,500	1,386				114
4	X	BNY MELLON FOCUSED EQU	05569M475			2/11/2010		9/9/2010	3,500	3,392				108
5	X	BNY MELLON FOCUSED EQU	05569M475			2/11/2010		2/3/2011	49,549	39,654				9,895
6	X	BNY MELLON MID CAP STK F	05569M509			12/6/2007		9/9/2010	3,000	3,811				-811
7	X	BNY MELLON MID CAP STK F	05569M509			12/6/2007		2/3/2011	12,244	12,196				48
8	X	BNY MELLON MID CAP STK F	05569M509			12/28/2007		2/3/2011	73,222	71,831				1,391
9	X	BNY MELLON U S CORE EQU	05569M582			2/11/2010		9/9/2010	3,500	3,222				278
10	X	BNY MELLON U S CORE EQU	05569M582			2/11/2010		2/3/2011	55,922	44,214				11,708
11	X	BNY MELLON S/T US GVT SE	05569M780			2/11/2010		9/9/2010	2,500	2,500				0
12	X	BNY MELLON SMALL CAP ST	05569M806			12/6/2006		9/9/2010	1,500	2,255				-755
13	X	BNY MELLON SMALL CAP ST	05569M806			12/6/2006		2/3/2011	10,282	12,164				-1,882
14	X	BNY MELLON SMALL CAP ST	05569M806			12/28/2007		2/3/2011	11,635	12,284				-649
15	X	BNY MELLON SMALL CAP ST	05569M806			12/5/2007		2/3/2011	18,013	19,017				-1,004
16	X	BNY MELLON INTERMEDIATE	05569M814			7/9/2004		9/9/2010	3,000	2,901				99
17	X	BNY MELLON BOND FD CL M	05569M830			2/11/2010		9/9/2010	12,500	12,208				292
18	X	BNY MELLON EMERGING MA	05569M855			12/28/2007		9/9/2010	4,000	7,831				-3,831
19	X	BNY MELLON INTERNATIONA	05569M871			12/28/2007		9/9/2010	4,500	6,882				-2,382
20	X	BNY MELLON INTERNATIONA	05569M871			12/18/2007		2/3/2011	61,118	79,266				-18,148
21	X	BNY MELLON INTERNATIONA	05569M871			12/28/2007		2/3/2011	9,245	12,284				-3,039
22	X	BNY MELLON INTERNATIONA	05569M871			8/7/2008		2/3/2011	38,754	42,681				-3,927
23	X	BNY MELLON INTERNATIONA	05569M871			11/22/2002		2/3/2011	231,921	212,713				19,208
24	X	MELLON OPTIMA FUND							1,971	0				1,971

Line 11 (990-PF) - Other Income

		-1,364	-1,343	0
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
1	OPTIMA FUND INCOME	-1,364	-1,343	
2			0	
3			0	
4			0	
5			0	
6			0	
7			0	
8			0	
9			0	
10			0	

Line 18 (990-PF) - Taxes

		5,767	3,328	0	0
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAXES WITHHELD	3,328	3,328		
2	PY EXCISE TAX DUE	841			
3	ESTIMATED EXCISE PAYMENTS	1,598			
4					
5					
6					
7					
8					
9					
10					

Line 23 (990-PF) - Other Expenses

		2,751	2,716	0	35
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Amortization. See attached statement	0	0	0	0
2	MA PC FILING FEE	35			35
3		2,716	2,716		
4					
5					
6					
7					
8					
9					
10					

Part II, Line 13 (990-PF) - Investments - Other

			5,564,397	5,275,903	5,849,953
	Item or Category	Basis of Valuation	Book Value Beg of Year	Book Value End of Year	FMV End of Year
1	INVESTMENT OTHER		5,564,397	5,275,903	5,849,953
2					
3					
4					
5					
6					
7					
8					
9					
10					

Part II, Line 15 (990-PF) - Other Assets

		115,675	115,675	143,469
	Description	Beginning Balance	Ending Balance	Fair Market Value
1	MELLON OPTIMA L/S STRATEGY	115,675	115,675	143,469
2				
3				
4				
5				
6				
7				
8				
9				
10				

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount
Long Term CG Distributions	1,792
Short Term CG Distributions	0

	Kind(s) of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses
					Totals	769,621	0	776,308	-6,687	0	0	0	-6,687
1	BNY MELLON LARGE CAP STK FD CL M	05569M103		6/30/1983	9/9/2010	8,500	0	10,978	-2,478			0	-2,478
2	BNY MELLON LARGE CAP STK FD CL M	05569M103		6/30/1983	2/3/2011	147,745	0	160,638	-12,893			0	-12,893
3	BNY MELLON SMALL/MID CAP FUND-M	05569M442		2/11/2010	9/9/2010	1,500	0	1,386	114			0	114
4	BNY MELLON FOCUSED EQUITY OPP-M	05569M475		2/11/2010	9/9/2010	3,500	0	3,392	108			0	108
5	BNY MELLON FOCUSED EQUITY OPP-M	05569M475		2/11/2010	2/3/2011	49,549	0	39,654	9,895			0	9,895
6	BNY MELLON MID CAP STK FD CL M	05569M509		12/6/2007	9/9/2010	3,000	0	3,811	-811			0	-811
7	BNY MELLON MID CAP STK FD CL M	05569M509		12/6/2007	2/3/2011	12,244	0	12,196	48			0	48
8	BNY MELLON MID CAP STK FD CL M	05569M509		12/28/2007	2/3/2011	73,222	0	71,831	1,391			0	1,391
9	BNY MELLON U S CORE EQUITY 130/30	05569M582		2/11/2010	9/9/2010	3,500	0	3,222	278			0	278
10	BNY MELLON U S CORE EQUITY 130/30	05569M582		2/11/2010	2/3/2011	55,922	0	44,214	11,708			0	11,708
11	BNY MELLON S/T US GVT SECS FD CL	05569M780		2/11/2010	9/9/2010	2,500	0	2,500	0			0	0
12	BNY MELLON SMALL CAP STK FD CL M	05569M806		12/6/2006	9/9/2010	1,500	0	2,255	-755			0	-755
13	BNY MELLON SMALL CAP STK FD CL M	05569M806		12/6/2006	2/3/2011	10,282	0	12,164	-1,882			0	-1,882
14	BNY MELLON SMALL CAP STK FD CL M	05569M806		12/28/2007	2/3/2011	11,635	0	12,284	-649			0	-649
15	BNY MELLON SMALL CAP STK FD CL M	05569M806		12/5/2007	2/3/2011	18,013	0	19,017	-1,004			0	-1,004
16	BNY MELLON INTERMEDIATE BOND FD	05569M814		7/9/2004	9/9/2010	3,000	0	2,901	99			0	99
17	BNY MELLON BOND FD CL M	05569M830		2/11/2010	9/9/2010	12,500	0	12,208	292			0	292
18	BNY MELLON EMERGING MARKETS FD	05569M855		12/28/2007	9/9/2010	4,000	0	7,831	-3,831			0	-3,831
19	BNY MELLON INTERNATIONAL FD CL M	05569M871		12/28/2007	9/9/2010	4,500	0	6,882	-2,382			0	-2,382
20	BNY MELLON INTERNATIONAL FD CL M	05569M871		12/18/2007	2/3/2011	61,118	0	79,266	-18,148			0	-18,148
21	BNY MELLON INTERNATIONAL FD CL M	05569M871		12/28/2007	2/3/2011	9,245	0	12,284	-3,039			0	-3,039
22	BNY MELLON INTERNATIONAL FD CL M	05569M871		8/7/2008	2/3/2011	38,754	0	42,681	-3,927			0	-3,927
23	BNY MELLON INTERNATIONAL FD CL M	05569M871		11/22/2002	2/3/2011	231,921	0	212,713	19,208			0	19,208
24	MELLON OPTIMA FUND					1,971	0	0	1,971			0	1,971

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

25,400

	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Average Hours	Compensation
1	BNY Mellon, N A	X	P O BOX 185	Pittsburgh	PA	15230-0185		TRUSTEE	SEE ATTA	59,041
2	BNY Mellon, N A	X	P O BOX 185	Pittsburgh	PA	15230-0185		FEE	REIMBUR	-33,641
3										
4										
5										
6										
7										
8										
9										
10										

Part

	0	0	
	Benefits	Expense Account	Explanation
1			
2			
3			
4			
5			
6			
7			
8			
9			
10			

Part VI, Line 6a (990-PF) - Estimated Tax Payments

		Date		Amount
1	Credit from prior year return		**1**	170
2	First quarter estimated tax payment		**2**	
3	Second quarter estimated tax payment	2/9/2011	**3**	533
4	Third quarter estimated tax payment	5/10/2011	**4**	623
5	Fourth quarter estimated tax payment	8/9/2011	**5**	442
6	Other payments		**6**	1,598
7	Total		**7**	1,768